

**THE THIRTIETH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES**

Type of Grant Request:

Operating Capital

Legal Name of Requesting Organization or Individual: 'Aina Ho'okupu O Kilauea DbA:

Amount of State Funds Requested: \$290,000

Brief Description of Request (Please attach word document to back of page if extra space is needed):

Operating funds for staff salary and contracted work for lidar surveying, engineering plans, and professional legal services required to develop a public-private partnership framework and agreements toward remediating the Kalihiwai reservoir to put the surface water into use for agricultural, fire suppression and other non-potable purposes, preserving potable water resources and increasing community resiliency.

Amount of Other Funds Available:

State: \$ _____

Federal: \$ _____

County: \$ _____

Private/Other: \$ 190,000

Total amount of State Grants Received in the Past 5 Fiscal Years:

\$ 504,701.00 _____

Unrestricted Assets:

\$ 11,737.00 _____

New Service (Presently Does Not Exist): Existing Service (Presently in Operation):

Type of Business Entity:

- 501(C)(3) Non Profit Corporation
- Other Non Profit
- Other

Mailing Address:

PO Box 1023

City: State: Zip:

Kilauea HI 96754

Contact Person for Matters Involving this Application

Name:
Yoshito L'Hote

Title:
CEO

Email:
yoshitol@yahoo.com

Phone:
808-652-0069

Federal Tax ID#:

State Tax ID#

Authorized Signature

Yoshito L'Hote, CEO
Name and Title

01/14/2024
Date Signed



STATE OF HAWAII
STATE PROCUREMENT OFFICE

CERTIFICATE OF VENDOR COMPLIANCE

This document presents the compliance status of the vendor identified below on the issue date with respect to certificates required from the Hawaii Department of Taxation (DOTAX), the Internal Revenue Service, the Hawaii Department of Labor and Industrial Relations (DLIR), and the Hawaii Department of Commerce and Consumer Affairs (DCCA).

Vendor Name: `AINA HO`OKUPU O KILAUEA

Issue Date: 01/10/2024

Status: **Compliant**

Hawaii Tax#: [REDACTED]
New Hawaii Tax#: [REDACTED]
FEIN/SSN#: XX-XXX2013
UI#: XXXXXX4357
DCCA FILE#: 246330

Status of Compliance for this Vendor on issue date:

Form	Department(s)	Status
A-6	Hawaii Department of Taxation	Compliant
8821	Internal Revenue Service	Compliant
COGS	Hawaii Department of Commerce & Consumer Affairs	Exempt
LIR27	Hawaii Department of Labor & Industrial Relations	Compliant

Status Legend:

Status	Description
Exempt	The entity is exempt from this requirement
Compliant	The entity is compliant with this requirement or the entity is in agreement with agency and actively working towards compliance
Pending	A status determination has not yet been made
Submitted	The entity has applied for the certificate but it is awaiting approval
Not Compliant	The entity is not in compliance with the requirement and should contact the issuing agency for more information

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided; and
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.
- 4) The use of grant-in-aid funding complies with all provisions of the Constitution of the State of Hawaii (for example, pursuant to Article X, section 1, of the Constitution, the State cannot provide "... public funds ... for the support or benefit of any sectarian or nonsectarian private educational institution...").

Pursuant to Section 42F-103, Hawaii'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

'Aina Ho'okupu O Kilauea _____
(Typed Name of Individual or Organization)

(Signature) 01/14/2024 _____
(Date)

_Yoshito L'Hote _____
(Typed Name) CEO
(Title)

§42F-102 Applications for grants. Requests for grants shall be submitted to the appropriate standing committees of the legislature at the start of each regular session of the legislature. Each request shall state:

- (1) The name of the requesting organization or individual;
'Aina Ho'okupu O Kilauea
- (2) The public purpose for the grant;
Providing a framework to assess the water balance of the ahupua'a in Kilauea / Kalihiwai area, along with a plan and key components of a credible pathway for a public-private partnership to remediate the Kalihiwai Reservoir in order to: enable irrigation for Ag growth, reduce potable water usage on non-potable purposes and preserve it for planned housing/ homestead expansions, provide substantial additional fire suppression, mediate climate change impacts, and enhance the resiliency of Kauai
- (3) The services to be supported by the grant;
Enabling an accelerated set of studies and engineering plans through a public-private partnership model that contemplates the ecological, cultural, legal and engineering facets of water resources in Kilauea and Anahola.
- (4) The target group; and
The Kilauea ahupua'a and surrounding north shore communities
- (5) The cost of the grant and the budget
\$290,000 for the grant, out of \$480,000 for the total project budget

YOSHITO LIHOTE CEO



Jan 12th 2024

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Certification – Please attach immediately after cover page

1. Hawaii Compliance Express Certificate (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a Hawaii Compliance Express Certificate from the Comptroller of the Department of Accounting and General Services that is dated no earlier than December 1, 2023. Attached, from January 10, 2024.

2. Declaration Statement

The applicant shall submit a declaration statement affirming its compliance with [Section 42F-103, Hawaii Revised Statutes](#). See Page 5 form.

3. Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to [Section 42F-102, Hawaii Revised Statutes](#). Included form.

II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

'Aina Ho'okupu o Kilauea (AHK) is a community-based group that was created to assist the County of Kaua'i in developing 75 acres of the Kilauea Agricultural Park, now known as the Kilauea Community Agricultural Center (KCAC). AHK is a continuation of the Kilauea Agricultural Association (KAA) created in the late 80's and discontinued in 2006. AHK has now secured a 60-year lease for the property and has become the first thriving agricultural center in the state where we produce 3000 lbs. of produce a week, raise pigs, sheep, chicken and turkeys that are processed on site. We also now have 6 independent farmers and a continued produce boxes program that feeds approximately 300 families in need a week with a partnership with the foodbank, OHA, and the USDA through

grants. AHK received its 501c3 status (September 2015) and since has managed to generate \$6 million worth of assets with wash station, refrigeration, livestock processing, two market pavilions and an iconic bathroom with a retail market/deli commercial kitchen building to vertically integrate the farm. We also have an internship program out of which some of our on-site farmers came out of and a volunteer garden for the community can grow produce to take home. We cleared over 25 acres of land and have all the equipment to prepare, manage and plant. All that work is now jeopardized because of the potential loss of our water source to expand our production level.

2. The goals and objectives related to the request;

Our goal is to create the water balance for the ahupua'a of Kilauea / Namahana and develop a solution for the future of the Kalihiwai Reservoir to insure the health and prosperity of our Kilauea community. Our goal is to develop the partnership to look at water as a whole and explore the feasibility to use grassroots nonprofit to potentially own and/or manage a reservoir and a surface water system to not only provide for ag uses but also to provide innovative solutions to replace potable water with surface water for non-consumable potable water uses. AHK's goal is to prevent the decommissioning of the Kalihiwai Reservoir to provide water for KCAC and the Kilauea community, and our objectives are to create an MOU or a transfer of assets document to facilitate our goal as well as taking the responsibility to manage long term all of the Kilauea surface water system. We need the staffing and legal counsel to create the proper documents and produce the legal and managerial framework that will allow this evolution in the management of aging assets that are present in most every watershed in the state. With this framework we will approach donors for funding, bring water expertise to management practices and support legislation to incentivize the State and reservoir owners to see and preserve the vital need for those useful reservoirs around the state.

3. The public purpose and need to be served;

In this day and age with climate change considerations looming, it is vital to assess, preserve, and manage our surface water systems for the very survival of our communities. Our water infrastructure that is at our disposition should be looked at, assessed, and properly managed to perpetuate these vital assets. If we decommission all the reservoirs on Kaua'i the burden of providing ag water will fall mostly on the Water Department which is already overtaxed.

AHK needs the reservoir to be operating for the future success and expansion of KCAC to continue to benefit the island. AHK currently uses over 1M gallons of water a month via four (4) 5/8" County water meters delivering potable water with a special much lower-cost agricultural-use-only rate structure for high-volume ag users, which is already nearing its delivery limits now in terms of being able to provide enough irrigation water. With the recent opening of the market/deli retail

building we have diverted 2 of our 4 meters for use in that facility in order to continue dedicating the other 2 meters to agricultural-use-only to maintain the special low-cost water rate. As the site continues to grow, we anticipate active farming to mature to 5 times its current scale with corresponding water usage growth needs on site and this growth will not be possible given our current water supply constraints. Enabling and continuing the surface water system not only preserves limited potable water for better and higher-value uses, but will also promotes long-term security and risk management considering factors like supply diversification, climate change, supply chain challenges, and the need to be more food sovereign and self-sufficient.

This project is an attempt to support the State agencies to create mechanisms to look at our watersheds as a whole and utilize our grassroots nonprofit assets, intimate knowledge of place, and ability to implement, support the management of watershed. It was made evident during the 2018 floods, that paralyzed the Kauai north shore, that the community has a vital role not only in recovery but also in locally-based long-term management. As our government has more and more duties and responsibilities, we believe the nonprofit world can help at the local scale. The ideas and models to combine community and government with the Public-Private Partnership model has already demonstrated incredible value at Haena State Park. We are dedicated to see a similar solution through for our challenges.

4. Describe the target population to be served; and
AHK will serve populations that are both distinct and overlapping. These populations include:
 - a. The residents of the Kīlauea area (~3500 residents in 2020 census tract 401.05)
 - b. Several family farms and commercial farms and food entrepreneurs
 - c. 300+ north shore families who have an annual income under \$35,000
 - d. 7000 Native Hawaiians who reside on Kauai
 - e. Regional food consumers which include 8,000 north shore residents and hundreds more that work on the north shore.
 - f. Visitor food consumers which include national and worldwide visitations to the island, as much as 1.2 million per year.
 - g. Agricultural and management-minded youth that desire training in this field
 - h. The greater island “in need and Hawaiian” population that currently and have benefited for the last 4 years of our produce boxes (over 60,000 boxes total, supplying over 500K lbs. of locally-grown produce)

5. Describe the geographic coverage.

The core coverage area is focused around Kīlauea, Namahana and Kalihiwai (Senate District #8, House District #14, 2020 Census Tract 401.05) and the peripheral areas of the whole island of Kaua'i that benefit from our ongoing farm production and produce boxes program.

III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

AHK's main project has been to develop KCAC on the 75-acre County of Kauai property and we are now actively farming approximately 10 of those acres which is about 20% of our arable land. We have gotten a previous GIA grant around 2018 and implemented an 8" pipeline to go from the Wai Koa property adjoining the Kalihiwai Reservoir to KCAC based on a promise from the owner that we would have full access to the reservoir water for our ag expansion uses. Due to the change of ownership at Common Ground and Wai Koa, and the study findings for the cost of remediation of the Kalihiwai Reservoir, the owners of the water system were faced with a \$10 million estimated remediation bill and the looming reality of being fined by DLNR if the deficiencies of the reservoir is not being addressed timely.

The scope of work for this project starts with doing the proper hydrology and research and engage with State entities to understand the water balance for Kilauea / Namahana. Then look at the diversion that the reservoir had and the opportunities we might still have to assess the preservation of the reservoir, such as working with the Commission on Water Resource Management (CWRM) and engaging with DLNR to clearly understand what will be required to go from decommissioning to remediation.

Second thing we need to do is to update the existing engineering plans for remediation (already 90% completed) and finish it to 100% to make it ready for permitting.

Third thing to do is developing a Memorandum of Agreement (MOA) between two existing easement holders Common Ground Irrigation LLC and Porter Irrigation LLC, the homeowners association dam and basin landowner Kalihiwai Ridge Community Association (KRCA) and the primary currently-identified water user AHK in order to take ownership of the reservoir via an entity that can manage it and enter discussions directly with State entities.

Fourth thing is to develop the pro formas, roadmap and strategies for the short- and long-term management of the reservoir and the tributaries.

AHK will be responsible for producing the MOA, creating a LLC for temporary or long-term ownership of the assets, fundraising to demonstrate its ability to properly remediate and manage the Kalihiwai Reservoir, and developing all related plans. We also believe in the need to create community stakeholder and expert panels to look at ways to advance the conversation about water management and solutions moving the in the 21st century.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

The first quarter of the year-long project proposal will be dedicated to build the water balance for the ahupua'a of Kilauea / Namahana by collecting all the existing data, hiring a consultant to define the parameters of the water balance and the lidar map, hiring the project coordinator, retaining the legal advisors, identifying the other expertise needed to complete the study, and engaging with the State entities.

Second and third quarters will be focusing on creating a draft MOA from our existing MOI to create the holding entity and transfer processes and considerations, initiate the fundraising, and start regular meetings with stakeholders and the expert panel to look at the Kalihiwai pilot.

Fourth quarter we will produce an initial water balance document, have engineering plans completed or on a glide path to completion, will initiate getting financial commitments in place to satisfy the draft MOA created and allow AHK to go from decommissioning to remediation, provide the DLNR with a timeline and plan for alternative disposition of the reservoir, and a draft of a long-term management plan and the proforma for the surface water system and opportunities presented by our expert panel to bringing innovative solutions and develop a broader base of end users.

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

AHK's track record and performance over the past 8 years is a great measure of quality, capacity, and performance. We will be engaging with national and international experts on freshwater solutions and innovations, and the survival of KCAC is hinged on the proper development and execution of this project to preserve a very critical asset for the Kilauea community. We will be working closely with DLNR and CWRM, they will provide us with the evaluation plans and quality assurances that the state requires for any actions to take place. We will also be held to those experts', local and international, standard of excellence as a quality assurance. We are currently already in conversation with Representative Nakamura of our district, Josh Uyehara the president of the

Kekaha Ag Association (KAA), Bryan Miyamoto of the Farm Bureau, and several large landowners of reservoirs on Kauai including ADC.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

The measures of effectiveness for our proposed project will be delivered as follows:

- 1) Creation of a draft MOU for transfer of Kalihiwai Reservoir assets
- 2) Creation of 100% complete engineering plans for Kalihiwai Reservoir
- 3) Creation of pro formas and management plans for the reservoir
- 4) Creation of a water balance templated roadmap approach
- 5) Identified partners for a multi-stakeholder water-users partnership island-wide

These activities are intended to provide a template to create a water balance for other ahupua'a and how we derive a roadmap of assessments and implementation. We will use the roadmap for the Kalihiwai Reservoir to go from decommissioning to remediation if deemed necessary, engage with private owners and philanthropy to develop funding mechanisms and look at developing a pro forma to identify future end users and to justify the remediation and long term management of those assets. Finally, we will have a report from our expert panel that can make recommendations to DLNR, Department of Agriculture and any other relevant/interested State entities on what major hurdles exist that drives landowners and the State to go down the path of decommissioning and outline possible assessments and valuation methodologies to inform a sustainable financial framework to remediate those reservoirs and aging irrigation systems that have agricultural and community value. These tools and processes can then be applied toward the other 26 reservoirs currently out of compliance on the island of Kauai, and potentially to all reservoirs across the State.

IV. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
 - a. Budget request by source of funds ([Link](#))
 - b. Personnel salaries and wages ([Link](#))
 - c. Equipment and motor vehicles ([Link](#))
 - d. Capital project details ([Link](#))

e. Government contracts, grants, and grants in aid ([Link](#))

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2025.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$69,000	\$75,000	\$78,000	\$68,000	\$290,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2025.

Our plans to apply for additional funding during FY25 are as follows:

- We are in process of applying for \$400K in additional funding from the Department of the Interior - Bureau of Reclamation, by writing a grant in partnership with Hawaii Community Foundation.
- We also plan to apply for a portion of the \$10M set-aside DLNR holds for remediation and decommissioning of reservoirs.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

N/A.

5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2025 for program funding.

See Page 10 form.

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2023.

See Cover Page for balance of unrestricted current assets.

V. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

AHK has demonstrated the capacity to undertake this type of project in terms of financial size, scope, and facilitating a variety of entities to achieve goals. AHK has a demonstrated track record to fundraise toward and successfully manage the projects and contracts that have been entrusted to us. We have cultivated relationships at many levels of government and a large community of support for addressing local issues related to agriculture. We have been approached by many large landowners in the area interested in this topic. We have tackled complex and seemingly difficult projects such as our primary project effort to convert a tree-filled unimproved parcel into the vertically-integrated Kilauea Community Agricultural Center (KCAC) and here we are 8 years later with over \$5M worth of assets and providing food for thousands of people around the island. AHK is bringing the conversation of food sovereignty, economic diversity, environmental stewardship, and now of legacy water system management to the forefront of the communal need that the Kilauea area and island of Kauai has to address in order to overcome future challenges and mitigate long-tail risks. We have been dealing with our own water supply challenges for KCAC since the very beginning and have brought in expertise in the field with board members to advise us such as Brad Seymour of Seymour Resources and Sandi Kato-Klutke with her Agricultural Development Corporation experience. We are partnering with the Department of Agriculture, Kauai Department of Water, Commission on Water Resource Management, and Department of Hawaiian Home Lands among others to address these challenges in our area.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

AHK's main project has been to develop the KCAC 75-acre County property acquired by the community. In creating facilities at this site we have cleared the first 20-acres, create a public space with bathrooms, 2 large pavilions and a recently-opened market/deli commercial kitchen retail building. We have 6 new and seasoned farmers that we support with various farm infrastructure (refrigeration, wash stations, aggregation and propagation buildings, farm equipment and tools) to increase their success rate as beginner farmers. We have office and meeting space with a full complement of related equipment, as well as appropriate software and supplies to manage grants and projects.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

For this important project the CEO plans to dedicate 50% of his time, the Administrative Director 30% of his time, his Administrative Manager 25% of her time, and we will staff a Project Coordinator dedicating 50% of their time over the duration of this effort.

CEO Yoshito L'Hote has led AHK since 2014 and championed the development of the Kilauea Community Agricultural Center (KCAC) from the initial clearing of the overgrown and untended land to the installation of all current infrastructure, educational activities, farmers market, internship program and the ongoing development of a visitor destination space. He manages a staff of 10 employees. Yoshito has been the President of the Kilauea Neighborhood Association for 10 years and possesses a detailed understanding of the history of the area and farming infrastructure implications. He holds a Bachelor of Science in Mathematics from the University of Hilo, Hawaii. Yoshito will be responsible for all aspects of the project and will be involved in a hands-on capacity.

Administrative Director Jeremy Burns joined AHK in April 2019 after volunteering with the north shore community during the 2018 flood relief and recovery efforts. He manages office operations and personnel. He has secured over \$4M in grants and federal contracts during his tenure with AHK. Jeremy leverages a 20-year career as a Strategic Planner and Program Manager for Fortune 100 corporations in the transportation, building efficiency and healthcare fields. He served as Treasurer and as Chairman of the IT and Finance Committees for four years on the Board of Directors of South Shore Yacht Club. He currently serves as Vice Chair on the board of the Kauai Sailing Association, as a Director at Nawiliwili Yacht Club, and is in his 5th term as Secretary of the Kilauea Neighborhood Association. Jeremy holds a Bachelor of Science in Computer Engineering and a Master of Business Administration, both from the University of Michigan – Ann Arbor. Jeremy will provide project structuring and administrative support for this project.

Administrative Manager Gale Gillespie joined AHK in July 2023. She has worked as Senior Business Development Manager, Director, Administrator and Consultant with a proven track record of consulting and advising business and medical practice owners on implementing key initiatives to retain and increase revenue goals. During her time in the healthcare industry she earned a company profit of over 3.5M. Extensive experience in achieving and leading sales teams to increase profit using savvy marketing, promotional and incentive tactics. Recognized as a strong relationship builder, natural communicator, and collaborator with an engaging and personable attitude. Excels in the areas of administration, sales, business partnerships, service excellence training, sales training, staff development, and business consulting. Exceeded sales targets and

trained team to duplicate results. Gale currently serves as Board President for Remarkable Life Ministries, Inc. a non-profit organization and she is in her 3rd term as Secretary for Opportunities for Change, LLC a non-profit organization. Gale brings 30+ years of nonprofit and corporate learning and development experience in her field. Gale will assist with administrative support for this project.

The Project Coordinator will be a newly-staffed or allocated role pending grant award. Project management experience and/or related credentials, organizational ability and local knowledge will be key aspects of successfully filling this role.

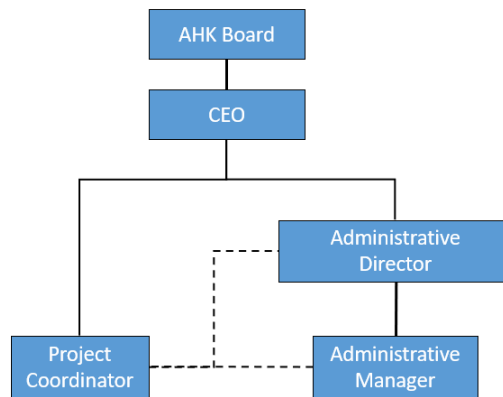
The CEO, Administrative Director (with support from the Administrative Manager) and Project Coordinator will organize and develop governance for all the professional services, consultants and contractors necessary to implement this project and will be supervising and guiding the expertise to reach the objectives that have been set. Because we are dealing with experts in their fields there should be minimal training necessary aside from possible onboarding in the event of a newly-hired Project Coordinator. This GIA focuses on laying the groundwork and setting up the frameworks and plans to be able to enable and engage the State, the landowners, the community, the experts, and the potential funders.

2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

The AHK org chart for this project proposal is depicted below.

AHK Org Chart – FY25 State GIA Proposal



3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.

AHK projected compensation and applicable project percentages are as follows:

CEO: \$84,000 annual unburdened salary, 0.5 FTE = \$42,000 wages + 20% tax & fringe = \$50,400.

Administrative Director: \$46,800 part-time annual unburdened salary, 0.15 FTE = \$11,700 wages + 20% tax & fringe = \$14,000.

Project Coordinator: \$58,000 annual unburdened salary, 0.5 FTE = \$29,000 wages + 20% tax & fringe = \$34,800.

Administrative Manager: \$56,000 annual unburdened salary, 0.25 FTE = \$14,000 wages + 20% tax & fringe = \$16,800.

Fringe set at 20% of salary rate based on average actuals will cover all benefits and payroll administration costs. AHK uses Proservice, Inc. payroll & human resources company.

VII. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

N/A.

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

N/A.

3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see [Article X, Section 1, of the State Constitution](#) for the relevance of this question.

N/A.

4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2024-25 the activity funded by the grant if the grant of this application is:

- (a) Received by the applicant for fiscal year 2024-25, but
- (b) Not received by the applicant thereafter.

AHK is developing a financial plan to fundraise for a portion of the remediation with existing and new donors, which includes redeveloping the Kilauea Ag Association to serve a consortium of water users to provide near-term smaller-scale funding for the ongoing local management and maintenance costs in the near term under new ownership. AHK will pursue federal grants in partnership with State entities to support the remediation, while also working with the State to develop longer-term ownership and management planning of this public trust resource.

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2024 to June 30, 2025

Applicant: 'Aina Ho'okupu O Kilauea

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	92,800			20,800
2. Payroll Taxes & Assessments	16,800			3,750
3. Fringe Benefits	6,400			1,450
TOTAL PERSONNEL COST	116,000			26,000
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9. Professional Services	159,500			164,000
10. Administrative Fee	14,500			
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
TOTAL OTHER CURRENT EXPENSES	174,000			164,000
C. EQUIPMENT PURCHASES	0			
D. MOTOR VEHICLE PURCHASES	0			
E. CAPITAL	0			
TOTAL (A+B+C+D+E)	290,000			190,000
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	290,000	Jeremy Burns 734-657-2493		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested		<i>Yoshio L'Hote</i>		
(d) Total Private/Other Funds Requested	190,000	Signature of Authorized Official Date		
TOTAL BUDGET	480,000	Yoshio L'Hote CEO		
		Name and Title (Please type or print)		

BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

Period: July 1, 2024 to June 30, 2025

Applicant: 'Aina Ho'okupu O Kilauea

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
CEO	0.5	\$100,800.00	50.00%	\$ 50,400.00
Administrative Director	0.2	\$46,800.00	29.91%	\$ 14,000.00
Project Coordinator	0.5	\$69,600.00	50.00%	\$ 34,800.00
Administrative Manager	0.25	\$67,200.00	25.00%	\$ 16,800.00
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
				\$ -
TOTAL:				116,000.00
JUSTIFICATION/COMMENTS: Annual salary is fully-burdened.				

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2024 to June 30, 2025

Applicant: 'Aina Ho'okupu O Kilauea

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
N/A	0.00	\$0.00	\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
N/A	0.00	\$0.00	\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS: No motor vehicles or equipment.				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2024 to June 30, 2025

Applicant: 'Aina Ho'okupu O Kilauea

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2022-2023	FY: 2023-2024	FY:2024-2025	FY:2024-2025	FY:2025-2026	FY:2026-2027
PLANS	0	0	0	0	TBD	TBD
LAND ACQUISITION	0	0	0	0	TBD	TBD
DESIGN	0	0	0	0	TBD	TBD
CONSTRUCTION	0	0	0	0	TBD	TBD
EQUIPMENT	0	0	0	0	TBD	TBD
TOTAL:	0	0	0	0	TBD	TBD
JUSTIFICATION/COMMENTS: No prior capital funding toward this project and no capital requested. Funding needs in future years will be better understood through this effort and may or may not involve State funding.						

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

Applicant: 'Aina Ho'okupu O Kilauea

Contracts Total: 3,825,572

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S./State/Hawaii/ Honolulu/ Kauai/ Maui County)	CONTRACT VALUE
1	66740 Water Lines Off-site	7/1/17 - 6/30/20	Agribusiness Dev Corp	State	250,000
2	66714 Operations Staffing	7/1/17 - 7/31/19	Agribusiness Dev Corp	State	110,000
3	Diversified Ag Support	1/19/18 - 8/30/18	Office of Econ Dev	Kauai	36,500
4	On-site Irrigation	7/24/18 - 6/30/19	Office of Econ Dev	Kauai	100,000
5	Off-site Water Lines	1/19/19 - 12/30/19	Office of Econ Dev	Kauai	136,000
6	Agricultural Internship Program	9/9/19 - 3/1/20	Office of Econ Dev	Kauai	17,000
7	Fish Purchases COVID-19	3/20/20 - 7/31/20	Office of Econ Dev	Kauai	18,000
8	Farmers to Families Produce Boxes	5/15/20 - 9/18/20	USDA	U.S.	1,404,000
9	CARES Act COVID-19	7/14/20 - 12/30/20	Office of Econ Dev	Kauai	659,650
10	OHA Produce Boxes	6/24/21 - 2/7/22	Office of Hawaiian Aff	State	50,201
11	Innovation Pig Pens	3/8/21 - 3/31/22	Office of Econ Dev	Kauai	50,000
12	Innovation Farm Tech	3/8/21 - 3/31/22	Office of Econ Dev	Kauai	45,000
13	NIFA Produce Boxes	11/1/22 - 10/31/25	USDA	U.S.	349,221
14	OHA Produce Boxes 22-23	9/15/22 - 8/31/24	Office of Hawaiian Aff	State	100,000
15	Grand Opening & Operating	10/11/23 - 10/10/24	Office of Econ Dev	Kauai	500,000
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
26					
27					
28					
29					
30					

Application Submittal Checklist

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- 1) Hawaii Compliance Express Certificate (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
 - a) Budget request by source of funds ([Link](#))
 - b) Personnel salaries and wages ([Link](#))
 - c) Equipment and motor vehicles ([Link](#))
 - d) Capital project details ([Link](#))
 - e) Government contracts, grants, and grants in aid ([Link](#))
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing



YOSHITO L'HOTE

01/14/2024

AUTHORIZED SIGNATURE

PRINT NAME AND TITLE

DATE