



## Application Submittal Checklist

*The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.*

- \*  1) Certificate of Good Standing (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
  - a) Budget request by source of funds ([Link](#))
  - b) Personnel salaries and wages ([Link](#))
  - c) Equipment and motor vehicles ([Link](#))
  - d) Capital project details ([Link](#))
  - e) Government contracts, grants, and grants in aid ([Link](#))
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing

\*Lunalilo Home is the tradename for the Trustees Under the Will and of the Estate of William Charles Lunalilo, Deceased, not a corporation. The DCCA does not provide Certificates of Good Standing for tradenames, so we are unable to purchase one. The attached Certificate of Vendor Compliance confirms that we are exempt from that requirement. See also attached our Certificate of Registration of Trade Name which is valid until 07/20/24.

J. K. — D —  
AUTHORIZED SIGNATURE

KEOLAMAICALANI DEAN – CEO  
PRINT NAME AND TITLE

1/20/2023  
DATE



## Application for Grants

**If any item is not applicable to the request, the applicant should enter "not applicable".**

### **I. Certification – Please attach immediately after cover page**

#### **1. Certificate of Good Standing (If the Applicant is an Organization)**

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2022.

Lunalilo Home is the tradename for the Trustees Under the Will and of the Estate of William Charles Lunalilo, Deceased and not a corporation. The State Department of Commerce and Consumer Affairs does not provide Certificates of Good Standing for tradenames, so we are unable to purchase one. See the attached Certificate of Vendor Compliance dated 1/17/23 that confirms we are exempt from that requirement. See also attached our Certificate of Registration of Trade Name which is valid until 07/20/24.

#### **2. Declaration Statement**

The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes.

The Declaration Statement affirming compliance with Section 42F-103, Hawaii Revised Statutes is attached.

#### **3. Public Purpose**

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes.

Pursuant to Section 42F-102, Hawaii Revised Statutes, the funding granted in this proposal will be used for a public purpose.

### **II. Background and Summary**

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. *A brief description of the applicant's background;*

Lunalilo Home was established by the will of King William Charles Lunalilo in 1874 to perpetuate his legacy and honor, tend to, and protect the well-being of kūpuna (elders). As part of its expansion of services to the surrounding community, Lunalilo Home has made its services (residential, day care, meals, etc.) available to both the Native Hawaiian and non-Native Hawaiian populations since 2001. Lunalilo Home is a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code; see our attached IRS determination letter dated March 14, 1988.

2. *The goals and objectives related to the request;*

To provide needed support services for disadvantaged seniors, especially the vulnerable, frail, developmentally disabled, and isolated elderly, including a continuum of integrated care options to age in place in their homes and communities, have nutritious meals, receive needed care, have a supervised, safe, and stimulating environment, have their caregivers supported, and have opportunities to comfortably proceed with their life goals. Lunalilo Home will also collaborate with other organizations to offer opportunities to persons of all ages, through volunteer service, to foster cross-generational interactions and experiences. This community approach is a bold strategic measure to seek out new ways for Lunalilo Home to fulfill the testamentary mandate of King Lunalilo in reaching substantially more disadvantaged elderly Native Hawaiians in their homes and communities as well as expand into and impact a wider network of elders in the community to improve their well-being.

These funds would be specific toward the Kauhale Kūpuna Strategic Plan (see attached) of enhancing and sustaining quality through the support and strengthening of the Nursing Department of Lunalilo Home. As the average level of care for our kūpuna has risen and become more complex, we need to strengthen the clinical oversight and training for our Nursing Department. Having recently hired a new Director of Nursing, we seek to support her on-boarding with a department-wide culture and practice of excellence, including medical reconciliation, advanced practice nursing, hospital integration, geriatric focused medicine, and appropriate clinical staffing would all be supported through this grant.

Native Hawaiians enter their senior years with more chronic conditions and poorer health habits than most other ethnic groups. In addition, Native Hawaiians suffer from adverse health events, such as stroke, about 10 years younger than other ethnicities (Nakagawa et al., 2015). Similar to all older adults, Native Hawaiian prefer to remain in their own homes with an array of assistance from families, friends, and home and community-based services that honor and reflect their culture. Not surprisingly, quality of life is influenced by the education and training of professionals and other service workers who provide the care. Successful interventions for Native Hawaiian elders are predicated on practitioners having an understanding of cultural values and traditions that

influence elder health practices, with cultural preferences that may include involvement of the elder's extended family and use of cultural health promotion approaches reflecting holistic wellness. By offering specialty care in Alzheimer's / dementia with a uniquely Native Hawaiian perspective, combined with the expertise of the Director of Nursing to develop the nursing skills of our direct patient care workers, Lunalilo Home can become a training ground for higher level sites, such as hospitals, as well as serving as home health aides in the community to mitigate the growing shortage of these workers. This issue has critical implications for Native Hawaiians, who may require long-term care services early in life due to earlier onset of disability. See research article by Ka'opua L., Braun K., Browne C., Mokuau N., Park C. "Why are native Hawaiians underrepresented in Hawai(i)s older adult population?" <https://new.hindawi.com/journals/jar/2011/701232/> (2011).

The need for delivery of health care services is increasing in the community and home care services, and with this GIA grant, Lunalilo Home can provide a culturally responsive, community-based long-term care training program that will increase the workforce pipeline of high quality professional nursing staff as well as home health care aides to the underserved Native Hawaiian population.

3. *The public purpose and need to be served;*

Forbes reports (1/15/2020) that 10,000 baby boomers reach Social Security age every day and 70% of adults age 65 will need long-term care in their lifetime. There are about 238,000 Hawai'i residents aged 60 and over who constitute 18.7% of Hawai'i's total population. However, according to U.S. Census data (2010), 24% of east Honolulu's nearly 50,000 residents are aged 65 and over. While one of the largest issues for kūpuna is senior housing, we realize that the seniors' desire to age in place is growing rapidly. Long term care facilities are at their maximum capacity, and care homes have been under more scrutiny with licensing and regulation. Engel & Volkers (2018) estimates that for the east Honolulu market, only 1.5% of the projected kūpuna population will have a long-term care bed available when needed. This low supply of care homes and beds will drive families toward exhausting all resources to have their elder age in place. For care homes like ours, turnover among nursing staff is high. In one study by the American Health Care Association (2008), annual turnover among CNAs in nursing facilities was 66%. A substantial barrier to recruitment and retention of direct care workers is the low pay and limited fringe benefits that employees receive, with 75% only having a high school education or less and about 42% reporting having been on food stamps in the past. Poor pay and benefits is the most commonly reported reasons for leaving. According to the US Department of Health and Human Services March 2011 report (<https://aspe.hhs.gov/system/files/pdf/76186/CNAchart.pdf>), nurse aides, certified nursing assistants and home health aides are the primary providers of paid hands-on care for more than 13 million elderly and disabled Americans. Although direct care workers like these constitute one of the largest and fastest-

growing sectors of the workforce, there is a documented critical and growing shortage of these workers in every community throughout the United States. There is a significant need to attract many more direct care workers in the near future. Furthermore, the need for better training was recognized by the Patient Protection and Affordable Care Act of 2010; however, training costs and time constraints represent a substantial barrier to becoming a direct care worker.

In addition, one of the most basic determinants of aging in place are regular nutritious meals. Lunalilo Home currently provides 660 meals per month via our Hawaii Meals on Wheels (HMOW) partnership, and an additional 441 meals for private clients unable to qualify for the HMOW program. Special meals are modified via a Registered Dietician to ensure the meal coincides with physician monitored medications, consistencies (soft, minced, or pureed), and provides the necessary nutritive basis for the special needs of the elder.

4. *Describe the target population to be served*

There are about 238,000 Hawai'i residents aged 60 and over and constitute 18.7% of Hawai'i's total population. However, according to US Census data (2010), 24% of east Honolulu's nearly 50,000 residents are aged 65 and over. In the late 1960s and 1970s, the population in the Maunaloa area (Diamond Head to Koko Head) swelled with new subdivisions and young families. Experts have been predicting a "silver tsunami" in the islands as Baby Boomers age and retire. Baby boomers began turning 65 in 2011 and with the expected growth of these older residents, the need and demand for elderly support services, including meal preparation, will continually rise. Furthermore, the cost of housing in east Honolulu is 305% higher than the national average with the median sales price for a home at \$1.3 million (Title Guaranty, 2018). Correspondingly, east O'ahu has some of the highest property taxes in Hawai'i; the cost of living index in east Honolulu is 26% higher than the Hawai'i average and 122% higher than that for the entire country. These conditions severely limit seniors' ability to age in place.

Lunalilo Home directly benefits seniors, predominantly ages 60 and older, especially the vulnerable, frail, developmentally disabled and isolated seniors who otherwise would go hungry, become malnourished or homebound residing in the geographic area ranging from Kaimukī to Kailua. Inquiries and requests for care are not limited to east O'ahu and include placement from all districts of the State of Hawai'i and occasionally out-of-state.

5. *Describe the geographic coverage.*

Lunalilo Home directly benefits seniors, predominantly ages 60 and older, especially the vulnerable, frail, developmentally disabled and isolated seniors who otherwise would go hungry, become malnourished or homebound residing in the geographic area ranging from Kaimukī to Kailua. Inquiries and requests

for care are not limited to east O'ahu and include placement from all districts of the State of Hawai'i and occasionally out-of-state.

### **III. Service Summary and Outcomes**

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. *Describe the scope of work, tasks and responsibilities;*

The scope of work will entail the onboarding of appropriate clinical staff who specialize in geriatric medicine and kūpuna with Alzheimer's and other types of dementias, in order to stabilize and strengthen the Nursing Department at Lunalilo Home. This Director of Nursing will be charged with recruiting, hiring, and training qualified and competent licensed staff (RN and LPN), CNAs and Nurse Aides, and other service workers who provide care, in order to drastically reduce Lunalilo Home's current shortage of full-time staff and the extensive associated expense for hiring temporary agency workers. In addition, the development of current staff to further address the more chronic ailments that we are seeing increase in our resident profile. After a period of nine months, Lunalilo Home will strategize with the new recruits on various ways to retain the professional nursing staff, including providing transportation to work, shift differential pay, workload distribution, education reimbursement, fringe benefits and bonuses. Lunalilo Home envisions providing a culturally responsive, community-based long-term care training program that will increase the workforce pipeline of high-quality professional nursing staff as well as home health care aides to the underserved Native Hawaiian population and fulfill the increasing demand by health care organizations for competent, experienced nursing professionals. In all, by strengthening the core of the skillsets of the care we provide, we would be able to better support the kupuna community at large in the development of a Home Health strategy.

2. *Provide a projected annual timeline for accomplishing the results or outcomes of the service;*

If State GIA funds are awarded, Lunalilo Home will spend the first three months recruiting, and onboarding Licensed Staff (RN and LPN), CNAs and Nurse Assistants. Months 4-9 would be the specific training period and the final three months 10-12 will be spent determining suitable retention strategies, including providing transportation to work, shift differential pay, workload distribution, education reimbursement, fringe benefits and bonuses.

3. *Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results;*



Lunalilo Home will continue to evaluate overall patient satisfaction, including staff competency, overall quality, staff and community education as part of its licensure with the Department of Health. The evaluation is a collaborative process that identifies risks and helps address challenging issues at the site along with social determinants of health such as family support, home safety, community-based support, nutrition, income and education evaluated to customize care for the elderly. Individual health care assessments are done constantly and summarized monthly. Instilling a culture of preventive care and active aging has been substantiated by evidence-based programs such as chronic disease self-management classes that have demonstrably reduced health risks, disabilities, and premature deaths. Our providing seniors with physical fitness, educational and recreational classes and activities will help maintain and improve their cognitive, social, emotional and physical health in to allow them to age in place, saving them and the government costs related to not just physical health but mental health as well. The National Council on Aging (NCOA) reports that malnutrition affects all groups of people and is a significant problem in the United States. Older adults are particularly at risk due to the changes in their bodies as they age, including depression, dementia, decreased appetite, decreased mobility, poor diets, limited access to healthy food, and medications that affect their appetite or ability to absorb key nutrients or afford to spend money on food. NCOA also found that both low-income and socially-isolated seniors also need help with transportation. Our Nursing Department clinical staff serve as our primary transporters and patient advocates for our residents and oversees the implementation of any medical care prescribed by their physicians.

4. *List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.*

Lunalilo Home will submit quarterly reports to the State Department of Human Services with all required information, including the demographics on the number of seniors served at Lunalilo Home, Lunalilo Home's Adult Day Care Center, Meals on Wheels and Meals-to-Go Programs. and detailing our recruitment, hiring, training and retention efforts for Licensed Staff (RN and LPN), CNAs and Nurse Aides.

## **IV. Financial**

### **Budget**

1. *The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.*
  - a. Budget request by source of funds (Link)
  - b. Personnel salaries and wages (Link)
  - c. Equipment and motor vehicles (Link)
  - d. Capital project details (Link)
  - e. Government contracts, grants, and grants in aid (Link)
2. *The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2024.*

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$50,000	\$50,000	\$50,000	\$50,000	\$200,000

3. *The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2024.*

Lunalilo is not seeking any other sources of funding for fiscal year 2024.

4. *The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.*

*Lunalilo Home has received no tax credits from the State of Hawai'i or United States government.*

5. *The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2024 for program funding.*

Lunalilo Home received \$509,931 from the U.S. Health Resources and Services Administration (HRSA) in FY 2019-20 for COVID-19 related expenses.

Lunalilo Home received \$200,000 from the City and County of Honolulu Department of Community Services to expand the Adult Day Care (ADC) program building and upgrade the sprinkler system.

Lunalilo Home received \$150,000 from the State Executive Office on Aging in FY 2019-20 for salaries and related expenses to cover the transition of the nursing department with the retirement of key staff.

Lunalilo Home received \$101,565 in GIA funding from the City and County of Honolulu Department of Community Services for renovation of the commercial kitchen.

Lunalilo Home has a contract to provide adult day care and respite services for seniors to help maintain the independence of elderly persons under the Kūpuna Caregiver Program with the City and County of Honolulu Department of Community Services in the amount of \$32,710.

Lunalilo Home is subcontracted to provide services, facilities and equipment to assist in the successful execution of a kūpuna and caregiver support program that includes classes, individual behavioral health services, hands on activities, outreach and social events with I Ola Lāhui in the amount of \$53,577 per year for two years (total of \$107,154), in conjunction with their grant from the Office of Hawaiian Affairs.

6. *The applicant shall provide the balance of its unrestricted current assets as of December 31, 2022.*

The balance of Lunalilo Home's unrestricted current assets as of December 31, 2022, is \$2,109,872.39.

## **V. Experience and Capability**

### **1. Necessary Skills and Experience**

*The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.*

The legacy of Lunalilo Home stems from 1883 when the first Lunalilo Home in upper Makiki opened for Native Hawaiian kūpuna (elders). The vision of King William Charles Lunalilo gave an opportunity for his royal wealth to be passed down to this special population. Over 139 years later, his legacy continues in Maunaloa (Hawai'i Kai) with a 42-bed adult residential care home and a separate adult day care facility. In addition, we have expanded our reach to include non-Native Hawaiians since 2001 as approved in Probate Court. In this philosophy, kama'āina families are recognized as a part of our community we wish to serve.

We have expanded our services from residential care (including Intermediate and Skilled nursing care) and Adult Day Care services and meal delivery in partnership with HMOW. However, we have found a gap group of kūpuna who are unable to cook or create nutritious meals to complement their prescription medications, yet do not qualify for a HMOW subsidy. This gap group will be increasing and creating a wave of kūpuna dietary needs that will drive demand for in-home meal delivery. As families desire to have their elders age-in-place, meal deliveries are an important component and Lunalilo Home is one of the few community commercial kitchens in east O'ahu. Lunalilo Home

provides over 1,000 meals a month for the nourishment and dietary needs of homebound seniors and disabled elderly with physical or mental disabilities that inhibit their normal daily living including the ability to prepare meals and who otherwise would go hungry or become malnourished. We started with only two routes providing 15 meals a day from 'Āina Haina to Hawai'i Kai and we currently provide an average of 65 meals a day to kūpuna residing in the geographic area ranging Kaimukī to Waimānalo.

Lunalilo Home has the distinct advantage of a diversified array of services that bring revenue to support the subsidized care provided for a limited amount of kūpuna. Similar to a hospital, the rising costs of providing skilled nursing is growing. In order to mitigate this rising expense, we seek to operationalize efficiencies in our ancillary services and keep those streams of revenue expanding through higher numbers of residents, day care clients and expansion of our meal delivery program.

**2. Facilities**

*The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.*

Lunalilo Home is the first residential care home in Hawai'i and its facilities are located on 5 acres of land as follows:

Residence/Administration Building	26,000 sq. ft.
Laundry	1,504 sq. ft.
Adult Day Care Building	1,793 sq. ft.
Total	29,297 sq. ft.

Lunalilo Home currently is licensed for 42 residents by the State of Hawaii Department of Health for Adult Residential Care Home (ARCH), Type II, with up to 20% of the beds for Expanded ARCH or EARCH, Type II. Services include residential for 42 (including respite care), adult day care for approximately 40, hospice options, home-delivered meals, caregiver workshops, and elder care assistance to kūpuna, their families, caregivers, and the community.

**VI. Personnel: Project Organization and Staffing**

**1. Proposed Staffing, Staff Qualifications, Supervision and Training**

*The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.*



Jessie Keolamaikalani Dean is the Chief Executive Officer (CEO) of the organization. After graduating from the Kamehameha Schools, Keola obtained his B.A. from Harvard University, M.S. in Accountancy from Bentley University, J.D. from Stanford Law School, and Master of Health Policy & Administration from Washington State University. He practiced public accounting and audit for five years and corporate and securities law for ten years. Most recently, he served for eight years as an executive in the Washington state Medicaid agency, focused on tribal and urban Indian physical and behavioral health care and long-term services and supports. Keola is licensed to practice law in Washington.

Dr. Poki'i Balaz is the Chief Policy and Compliance Officer of the organization. Poki'i is a double board-certified family nurse practitioner who aims to serve our kupuna, those with Alzheimer's, other related dementias, caregivers, and underserved populations such as Native Hawaiians. Poki'i received her Doctorate in Nursing Practice from the University of Hawai'i at Hilo and Executive Master of Business Administration from Shidler College of Business with her focus on improving financial resources and advocacy for caregivers. Her focus includes neurology, brain health, dementia care, and la'au lapa'au, and Native Hawaiian Health.

Sheri Richards is the Director of Nursing of the organization. Sheri is a Hawai'i-registered nurse, with a Master of Science in acute care adult gerontology from Walden University and a Bachelor of Science in nursing from Hawai'i Pacific University. She recently joined Lunalilo Home after 28 years in nursing at Castle Hospital, with 9 of those years in palliative care.

**2. Organization Chart**

*The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.*

See Organizational Chart attached

**3. Compensation**

*The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.*

Chief Executive Officer	\$152,250
Director of Nursing	\$120,000
Director of Human Resources	\$100,000

**VII. Other**

**1. Litigation**

*The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.*

None at this time.

**2. Licensure or Accreditation**

*The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.*

Lunalilo Home is licensed by the Department of Health to operate as an Adult Residential Care Home with an Expanded License for 42 Kupuna. The Home also has a full kitchen, which is licensed by the DOH. The Adult Day Care is a separate facility, which is licensed by the Department of Human Services. See attached copies of our ARCH license, ADC license, Food Establishment Permit and Pass Placard.

**3. Private Educational Institutions**

*The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.*

Not applicable

**4. Future Sustainability Plan**

*The applicant shall provide a plan for sustaining after fiscal year 2023-24 the activity funded by the grant if the grant of this application is:*

- (a) *Received by the applicant for fiscal year 2023-24, but*
- (b) *Not received by the applicant thereafter.*

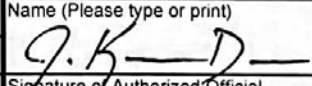
A substantial barrier to recruitment and retention of direct care workers is the low pay and limited fringe benefits that can be provided. Lunalilo Home's workers are motivated not just by the desire to help kūpuna, but to be a part of a unique 139-year-old legacy of delivering culturally competent care emphasizing Hawaiian values to Hawaiian elders and the broader Hawaii senior community. However, successful interventions for Native Hawaiian kūpuna as well as the broader Hawaii senior community are predicated on practitioners having an understanding of cultural values, traditions and preferences. Funding for our Director of Nursing will be sustained through savings realized by having her recruit, hire, train and retain our nursing professionals and other service workers who provide care, drastically reducing the cost of hiring temporary agency workers. Through our Director of Nursing's community outreach and resources, Lunalilo Home

expects to be able to fill the empty beds that have contributed to lower than anticipated revenue and suitable funding for our nursing professionals and service workers who provide care.

## BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2023 to June 30, 2024

Applicant: Lunalilo Home

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
<b>A. PERSONNEL COST</b>				
1. Salaries	170,000			
2. Payroll Taxes & Assessments	9,996			
3. Fringe Benefits	0			20,004
<b>TOTAL PERSONNEL COST</b>	<b>179,996</b>			<b>20,004</b>
<b>B. OTHER CURRENT EXPENSES</b>				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training	5,004			
6. Supplies				
7. Telecommunication				
8. Utilities				
9. Fiscal Sponsor Costs	15,000			
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
<b>TOTAL OTHER CURRENT EXPENSES</b>	<b>20,004</b>			
<b>C. EQUIPMENT PURCHASES</b>				
<b>D. MOTOR VEHICLE PURCHASES</b>				
<b>E. CAPITAL</b>				
<b>TOTAL (A+B+C+D+E)</b>	<b>200,000</b>			<b>20,004</b>
<b>SOURCES OF FUNDING</b>		Budget Prepared By:		
(a) Total State Funds Requested	200,000	J. Keolamaikalani Dean (808) 395-1000 ext 239		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested				
(d) Total Private/Other Funds Requested	20,004	Signature of Authorized Official Date 1/20/2023		
<b>TOTAL BUDGET</b>	<b>220,004</b>	J. Keolamaikalani Dean, Chief Executive Officer Name and Title (Please type or print)		





## BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2023 to June 30, 2024

Applicant: Lunalilo Home

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
<b>TOTAL:</b>				
<b>JUSTIFICATION/COMMENTS:</b>				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
<b>TOTAL:</b>				
<b>JUSTIFICATION/COMMENTS:</b>				

## BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2023 to June 30, 2024

Applicant: Lunalilo Home

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2021-2022	FY: 2022-2023	FY:2023-2024	FY:2023-2024	FY:2024-2025	FY:2025-2026
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION	46,588.25	153,411.75				
EQUIPMENT						
<b>TOTAL:</b>	<b>46,588.25</b>	<b>153,411.75</b>				
<b>JUSTIFICATION/COMMENTS:</b>						
\$200,000 awarded by C&C GIA fund for 2021-2023 for expanding ADC back lanai and updating sprinkler system. \$46,588.25 has been received, while the remaining amount has yet to be invoiced for due to a delay in permitting.						

**GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID**

Applicant: Lunalilo Home

Contracts Total: 1,101,360

	<b>CONTRACT DESCRIPTION</b>	<b>EFFECTIVE DATES</b>	<b>AGENCY</b>	<b>GOVERNMENT ENTITY (U.S./State/Hawaii/ Honolulu/ Kauai/ Maui County)</b>	<b>CONTRACT VALUE</b>
1	CONTRACT NO. OCS-GIA-17-01 - with the State of Hawai'i and the Office of Community Services through our Fiscal Sponsor Papa Ola Lōkahi	7/1/2019 - 6/30/2020	Executive Office on Aging	State of Hawai'i	150,000
2	CONTRACT NO. CT-DCS-2000058 - with the City & County of Honolulu and the Dept. of Community Services for a GIA grant	10/1/2019 - 9/30/2020	Dept. of Community Services	City & County of Honolulu	101,565
3	CONTRACT NO. MA-DCS-1900004 V-24 - with the City & County of Honolulu for Kūpuna Caregiver Services	4/18/2019 - 9/30/2020	Dept. of Community Services	City & County of Honolulu	32,710
4	MOU with I Ola Lahui	10/1/2019 - 11/30/2021	Office of Hawaiian Affairs	State of Hawai'i	107,154
5	Provider Relief Fund-funds used for covid related expenses	7/1/2020 - 3/31/23	HRSA	Federal	509,931
6	Hoola Kupuna-Contract #CT-DCS-2200043- to expand ADC back lanai and upgrade sprinkler system	10/1/21 - 3/31/23	Dept. of Community Services	City & County of Honolulu	200,000
7					
8					
9					
10					
11					
12					
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STATE OF HAWAII  
STATE PROCUREMENT OFFICE

**CERTIFICATE OF VENDOR COMPLIANCE**

This document presents the compliance status of the vendor identified below on the issue date with respect to certificates required from the Hawaii Department of Taxation (DOTAX), the Internal Revenue Service, the Hawaii Department of Labor and Industrial Relations (DLIR), and the Hawaii Department of Commerce and Consumer Affairs (DCCA).

**Vendor Name:** Lunalilo Home

**DBA/Trade Name:** Lunalilo Home

**Issue Date:** 01/17/2023

**Status:** **Compliant**

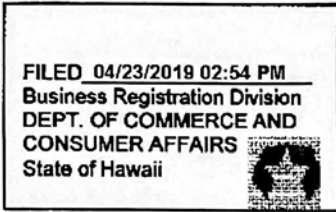
Hawaii Tax#: 20270864-01  
New Hawaii Tax#: GE-0159096832-01  
FEIN/SSN#: XX-XXX5244  
UI#: No record  
DCCA FILE#:

Status of Compliance for this Vendor on issue date:

Form	Department(s)	Status
A-6	Hawaii Department of Taxation	Compliant
8821	Internal Revenue Service	Compliant
COGS	Hawaii Department of Commerce & Consumer Affairs	Exempt
LIR27	Hawaii Department of Labor & Industrial Relations	Compliant

**Status Legend:**

Status	Description
Exempt	The entity is exempt from this requirement
Compliant	The entity is compliant with this requirement or the entity is in agreement with agency and actively working towards compliance
Pending	A status determination has not yet been made
Submitted	The entity has applied for the certificate but it is awaiting approval
Not Compliant	The entity is not in compliance with the requirement and should contact the issuing agency for more information



STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS  
Business Registration Division  
335 Merchant Street  
Mailing Address: P.O. Box 40, Honolulu, Hawaii 96810  
Phone No. (808) 586-2727

**APPLICATION FOR REGISTRATION OF TRADE NAME**

(Chapter 482, Hawaii Revised Statutes)

PLEASE TYPE OR PRINT LEGIBLY IN BLACK INK

- Applicant's Name: TRUSTEES UNDER THE WILL AND OF THE ESTATE OF WILLIAM CHARLES LUNALILO, DECEASED  
Applicant's Address: 501 KEKAULUOHI ST, HONOLULU, HI 96825, USA  
(including city, state, and zip code)
- Registration is (check one):  New OR  Renewal (Certificate No. 4074661)
- Status of Applicant (check only one):  Sole Proprietor  Corporation  Partnership  LLC  LLP  
 Unincorporated Association OR  Other (explain): PERPETUAL CHARITABLE TRUST
- If applicant is an entity, list state or country of incorporation/formation/organization: HAWAII
- Trade Name is: LUNALILO HOME
- Applicant is (check one):  Originator of name OR  Assignee (one to whom name was assigned to by another)
- Nature of business for which the trade name is being used: OPERATE AN ELDERLY CARE HOME FACILITY, PROVIDE ADULT DAY CARE AND RESPITE SERVICE PROGRAMS, AND OPERATE A "MEALS ON WHEELS" PROGRAM FOR THE ELDERLY.

I certify, under the penalties set forth in Section 482-51, Hawaii Revised Statutes, that (check one):  I am the applicant OR  I am the TRUSTEE AND CHAIRMAN OF THE BOARD of the applicant named in the foregoing application, I am authorized to sign this application, and that the above statements are true and correct to the best of my knowledge and belief.

HARVEY H. MCINERNY, JR. HARVEY H. MCINERNY, JR. 04/23/2019  
(Print Name) (Signature) (Date)

SEE INSTRUCTIONS ON REVERSE SIDE. Application must be certified by the applicant if an individual. For corporations, application must be signed by an authorized officer of the corporation. General or limited partnerships must be signed by a general partner. For LLC, must be signed and certified by a manager of a manager-managed company or by a member of a member-managed company. LLP must be signed and certified by a partner.

(DEPARTMENTAL USE ONLY)

Certificate of Registration No. 4074661

**CERTIFICATE OF REGISTRATION OF TRADE NAME**

In accordance with the provisions of Chapter 482, Hawaii Revised Statutes, this Certificate of Registration is issued to secure the aforesaid applicant the use of the said TRADE NAME throughout the State of Hawaii for the term of five years from July 2, 2019 to July 1, 2024.

REGISTRATION OF A TRADE NAME WITH THE DEPARTMENT DOES NOT GRANT YOU OWNERSHIP OF THE TRADE NAME

DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS  
STATE OF HAWAII

Dated: April 23, 2019

Catharine P. Awak: Colon  
(Director of Commerce and Consumer Affairs)

04/23/201946210

Internal Revenue Service

Department of Treasury

110 doc. 111 side

15-0069-00-3

REFERRED TO

RECEIVED

Washington, DC 20224

APR 13 1988

ANS. BY \_\_\_\_\_

DATE ANS. \_\_\_\_\_

Person to Contact: **Jim Fillmore**

Telephone Number: **(202) 566-3893**

Refer Reply to: **E:EO:R:3**

Date: **MAR 14 1988**

Lunalilo Home  
P.O. Box 3170  
c/o Hawaiian Trust Co.  
Honolulu, HI 96802

Employer Identification Number: **99-0075244**  
Key District Office: **Los Angeles**

Dear Sir or Madam:

This is in response to a letter dated July 21, 1987, regarding your request that you be classified as an exempt operating foundation within the meaning of section 4940(d)(2) of the Internal Revenue Code.

Our records show that you have been recognized as exempt from federal income tax under section 501(c)(3) of the Code. You have also been classified for private foundation purposes as a private operating foundation within the meaning of section 4942(j)(3) of the Code since at least January 1, 1983. You indicate that no change has occurred in your status, and that you continue to be a private operating foundation.

The governing body of the Home is a three member Board of Trustees. No Trustee or officer of the Home is a "disqualified individual" nor has any Trustee or officer been a "disqualified individual" at any time during the 1987 taxable year. No officer of the Home is a "substantial contributor" as this term is defined in section 507(d)(2) and 4946(d) of the Code.

The information submitted also reveals that the Home's Trustees are persons who have records of community leadership. Thus, the Trustees represent a broad cross-section of the views and interests of the general public.

Section 4940(a) of the Code imposes upon each private foundation which is exempt from taxation under section 501(a) for the taxable year, with respect to the carrying on of its activities, a tax equal to 2 percent of the net investment income of such foundation for the taxable year.

Section 4940(d)(1) of the Code provides that no tax shall be imposed by this section on any private foundation which is an exempt operating foundation for the taxable year.

Section 4940(d)(2) of the Code defines an exempt operating foundation, with respect to any taxable year, as a private foundation which (1) is an operating foundation, as defined in section 4942(j)(3) of the Code; (2) has been publicly supported for at least 10 taxable years, or was an operating foundation as of January 1, 1983 (as provided by the Deficit Reduction Act of 1984, P.L. 98-369, section 302(c)(3), 98 Stat. 781 (1984)); (3) at all

Lunalilo Home

times during the taxable year has a governing body which consists of individuals at least 75 percent of whom are not disqualified individuals, and is broadly representative of the general public; and (4) at no time during the taxable year had an officer who is disqualified individual.

Section 4940(d)(3)(B) of the Code defines a "disqualified individual" as (1) a substantial contributor to the foundation; (2) an owner of more than 20 percent of (a) the total combined voting power of a corporation, (b) the profits interest of a partnership, or (c) the beneficial interest of a trust or unincorporated enterprise, which is a substantial contributor to the foundation; or (3) a member of the family of any individual described above.

Section 507(d)(2) of the Code defines a "substantial contributor" as a person who contributes an aggregate amount of more than \$5,000 to a private foundation if the amount of the contribution is more than two percent of the total contributions received by the foundation before the close of the tax year during which the donation was made.

Effective January 1, 1985, section 4946(d) of the Code defines "family" as including only spouses, ancestors, children, grandchildren and great-children, and spouses of children, grandchildren and great-grandchildren.

Section 1.170(A)-9(e)(3)(v) of the Income Tax Regulations indicates, in another context, that the governing body of an organization may be considered to represent the broad interests of the general public if it is composed of persons having special knowledge or expertise in the particular field or discipline in which the organization is operating, or of community leaders, such as elected or appointed officials, clergymen, educators, civic leaders, or other such persons representing a broad cross-section of the views and interests of the community.

Because you are a private operating foundation within the meaning of section 4942(j)(3) of the Code, were described as a private operating foundation on January 1, 1983, have a governing body which is broadly representative of the general public and consists of individuals at least 75 percent of whom are not disqualified individuals, and none of your officers is a disqualified individual, your organization meets the requirements of section 4940(d)(2) to qualify as an exempt operating foundation.

Accordingly, we conclude that you qualify as an exempt operating foundation within the meaning of section 4940(d) of the Code.



Lunalilo Hane

You will continue to be classified as an exempt operating foundation provided that (a) you qualify as a private operating foundation, (b) at no time is any officer a disqualified individual, (c) at all times your governing body continues to be broadly representative of the general public, and (d) at all times at least 75 percent of your directors are not disqualified individuals.

We are informing your key District Director of this action. Please keep a copy of this ruling in your permanent records.

A copy of this ruling letter should be attached to your annual return on Form 990-PF, Return of Private Foundation, for tax years ending in 1985 and 1986, if a claim is made on these returns for exemption from the section 4940 tax.

Sincerely yours,

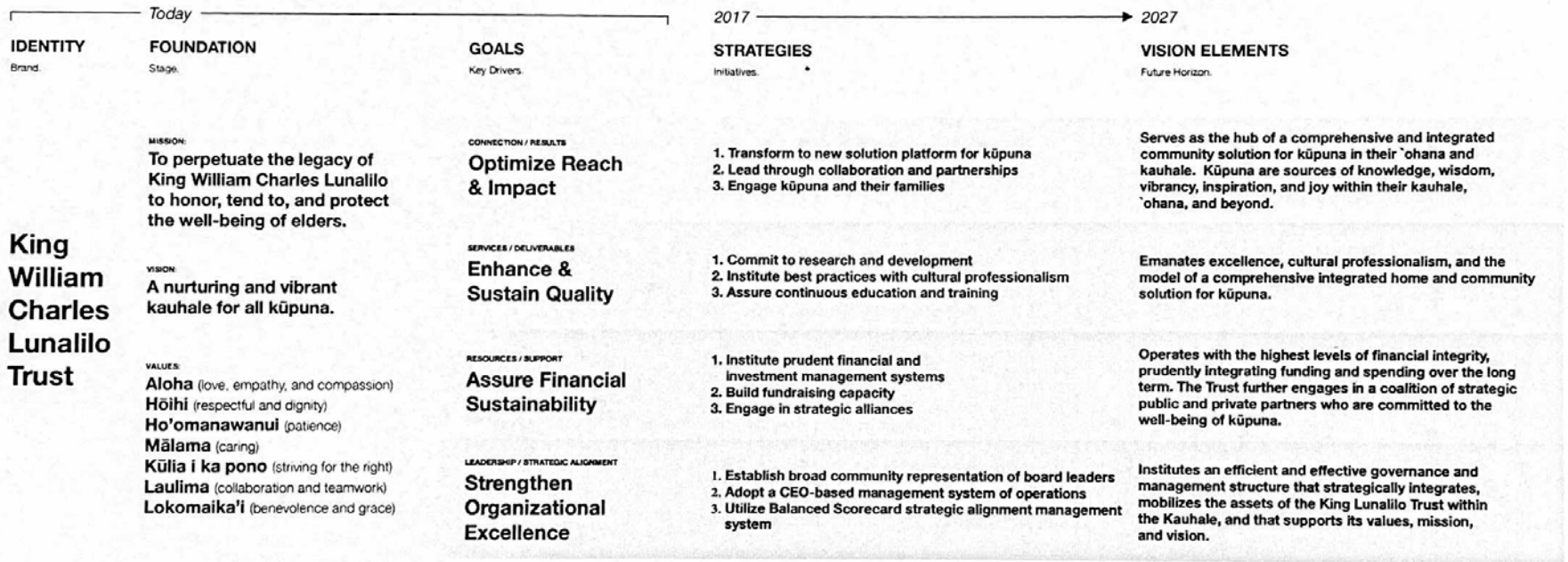
*E. D. Coleman*

E. D. Coleman  
Director, Exempt Organizations  
Technical Division

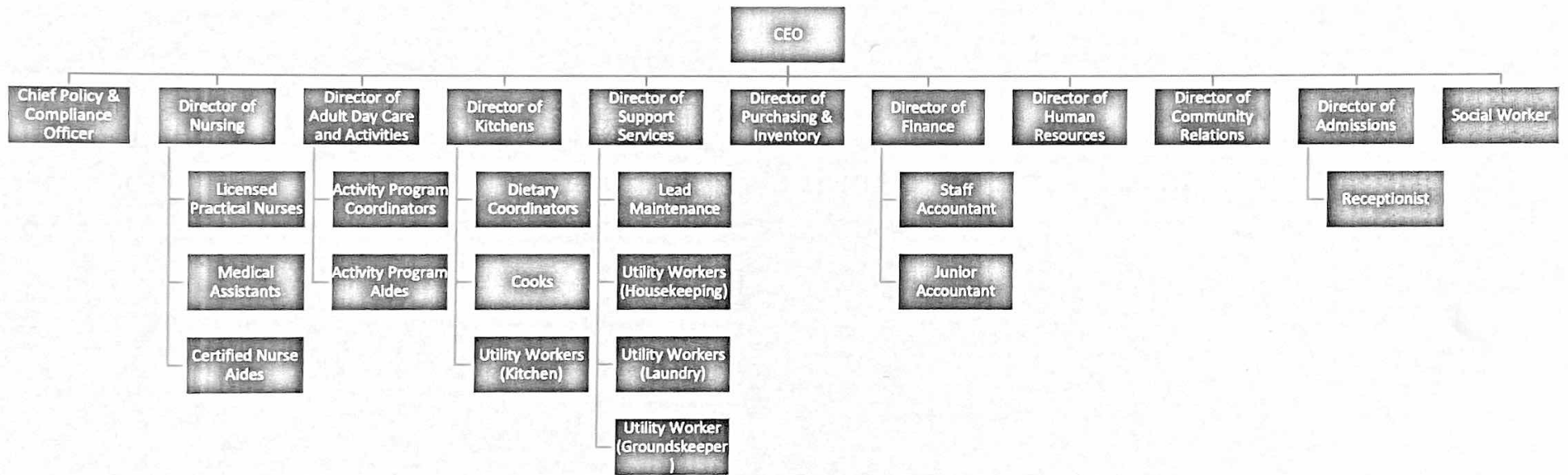
# Kauhale Kūpuna 2017-2027 Strategic Plan.



## King William Charles Lunalilo Trust



King Lunalilo Trust  
Organizational Chart  
January 19, 2023





STATE OF HAWAII  
DEPARTMENT OF HEALTH  
LICENSE

WILLIAM CHARLES LUNALILO TRUST ESTATE is hereby granted a license to operate an  
ADULT RESIDENTIAL CARE HOME (ARCH) TYPE II AND AN EXPANDED ARCH (LUNALILO HOME)  
at 501 KEKAULUOHI STREET, HONOLULU, HAWAII  
with a capacity of 42 beds. This license is valid for ONE YEAR  
ending MAY 31, 2023 unless revoked for just cause.

*This license is granted in accordance with provisions of the state public health laws and regulations.*

Effective Date: JUNE 1, 2022

Date Issued: MAY 25, 2022


OHCA#1295-C

Establish Date: 6/21/01

- Post in a conspicuous place.
- License is not transferable.
- License shall be surrendered upon a suspension or revocation.

Director of Health

By

  
*Office of Health Care Assurance*

APPROVED FOR SPECIAL DIET  
APPROVED FOR WHEELCHAIR RESIDENTS  
NO MORE THAN EIGHT (8) EXPANDED ARCH RESIDENTS



STATE OF HAWAII  
DEPARTMENT OF HEALTH

**CERTIFICATE of APPROVAL**

King Lunalilo Adult Day Care Center is hereby granted a Certificate to operate an

**Adult Day Care Center**

at 501 Kekauluohi Street Honolulu, HI 96825 with a capacity of 44 participants.

This Certificate is valid for 2 Years ending 09/30/2023 unless revoked for just cause.

This Certificate is granted in accordance with provisions of the State Public Health laws and regulations.

Effective Date: 10/01/2021

Director of Health

Date Issued: 10/14/2021

By

  
Office of Health Care Assurance

Certificate Number 1-0501

Post in a conspicuous place.

Certificate is not transferable.

Certificate shall be surrendered upon suspension or revocation.

DOH OHCA 7.1.2014



# FOOD ESTABLISHMENT PERMIT

## LUNALILO HOME

**OWNER NAME:** WILLIAM CHARLES LUNALILO TRUST ESTATE  
**LOCATION ADDRESS:** 501 KEKAULUOHI ST HONOLULU, HI 96825  
**ESTABLISHMENT TYPE:** High Risk Institutional Kitchens (pre-schools, elementary schools, hospitals, other h  
**RISK CATEGORY:** 1  
**PERMIT NUMBER:** 006094  
**PERMIT EXPIRATION:** June 05, 2023

The above entity is hereby permitted to operate, subject to Chapter 11-50. This permit is the property of the Department of Health and is valid unless revoked for cause. No establishment may operate without a valid permit. This permit is non-transferable and must be posted as to be visible to the public.

For Director of Health

State of Hawaii  
Department of Health - Food Safety Program

# PASS

*Lunalilo Home*

FACILITY NAME

*501 Kekauluchi St*

FACILITY ADDRESS

This facility was inspected by the State of Hawaii Department of Health Retail Food Safety Branch in accordance with the Hawaii Administrative Rules, Food Safety Code and passed the inspection conducted on:

*4-2022*

Date

by

*[Signature]*  
Agent of the Department of Health

**A COPY OF THE MOST RECENT INSPECTION  
REPORT IS AVAILABLE FOR REVIEW AT:**

[hi.healthinspections.us/hawaii/](http://hi.healthinspections.us/hawaii/)



**THIS PLACARD IS THE PROPERTY OF THE STATE OF HAWAII DEPARTMENT  
OF HEALTH AND SHALL NOT BE REMOVED, COPIED OR ALTERED IN ANY WAY**  
*Hawaii Administrative Rules Section 11-50-9(b)*

