

**THE THIRTIETH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES**

Type of Grant Request:

Operating Capital

Legal Name of Requesting Organization or Individual: Db:

Hawaii Aquatics Foundation

Hawaii Aquatics Academy

Amount of State Funds Requested: \$ 550,000

Brief Description of Request (Please attach word document to back of page if extra space is needed):

This request will be used to perform a major renovation and modernization of the Hawaii Aquatics Foundation's home pool at Palama Settlement. The Palama pool plays a vital role in the low-income urban community as the only publicly accessible facility offering a high volume of free aquatic safety instruction, learn-to-swim lessons, and other aquatic activities such as early childhood and kupuna fitness programs. In addition to long-term maintenance upgrades, the installation of energy efficient equipment and solar panels for water heating will reduce operating costs and enable a significant expansion of our program offerings by providing the ability to operate year-round.

Amount of Other Funds Available:

State: \$ 0

Federal: \$ 0

County: \$ 0

Private/Other: \$ 50,000

Total amount of State Grants Received in the Past 5 Fiscal Years:

\$ 0

Unrestricted Assets:

\$ 154,993

New Service (Presently Does Not Exist): Existing Service (Presently in Operation):

Type of Business Entity:

- 501(C)(3) Non Profit Corporation
 Other Non Profit
 Other

Mailing Address:

111 Hekili Street A-2763

City: State: Zip:

Kailua Hawaii 96734

Contact Person for Matters Involving this Application

Name:
Dean E. Schmaltz

Title:
President

Email:
deanschmaltz@hawaiiaquatics.org

Phone:
(808) 375-7970

Federal Tax ID#:

State Tax ID#



Authorized Signature

Dean E. Schmaltz, President

Name and Title

January 20, 2023

Date Signed

Application Submittal Checklist

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- 1) Certificate of Good Standing (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
 - a) Budget request by source of funds ([Link](#))
 - b) Personnel salaries and wages ([Link](#))
 - c) Equipment and motor vehicles ([Link](#))
 - d) Capital project details ([Link](#))
 - e) Government contracts, grants, and grants in aid ([Link](#))
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing



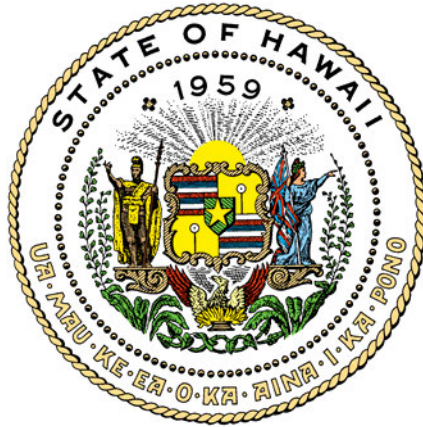
AUTHORIZED SIGNATURE

Dean E. Schmaltz, President

PRINT NAME AND TITLE

January 20, 2023

DATE



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

HAWAII AQUATICS FOUNDATION

was incorporated under the laws of Hawaii on 03/15/2017 ;
that it is an existing nonprofit corporation; and that,
as far as the records of this Department reveal, has complied
with all of the provisions of the Hawaii Nonprofit Corporations
Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set
my hand and affixed the seal of the
Department of Commerce and Consumer
Affairs, at Honolulu, Hawaii.

Dated: January 19, 2023

Director of Commerce and Consumer Affairs

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

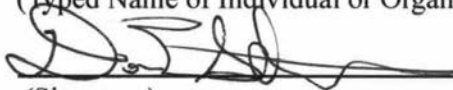
- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided; and
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.
- 4) The use of grant-in-aid funding complies with all provisions of the Constitution of the State of Hawaii (for example, pursuant to Article X, section 1, of the Constitution, the State cannot provide "... public funds ... for the support or benefit of any sectarian or nonsectarian private educational institution...").

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Hawaii Aquatics Foundation (dba Hawaii Aquatics Academy)

(Typed Name of Individual or Organization)



(Signature)

January 20, 2023

(Date)

Dean E. Schmaltz

(Typed Name)

President

(Title)

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Certification – Please attach immediately after cover page

1. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2022.

see attached

2. Declaration Statement

The applicant shall submit a declaration statement affirming its compliance with [Section 42F-103, Hawaii Revised Statutes](#).

see attached

3. Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to [Section 42F-102, Hawaii Revised Statutes](#).

If awarded, the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. The public purpose for the grant, the services to be supported by the grant, the target group, and the cost of the grant and budget are provided in the following sections and attachments.

II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

The Hawaii Aquatics Foundation (HAF) is dedicated to improving the healthy development of Hawaii's children and families by promoting, providing, and supporting aquatic injury prevention education and research. HAF is an IRS 501(c)(3) tax-exempt nonprofit organization that was established in 2017. The

Hawaii Aquatics Academy (HAA), the education branch of HAF, is a leader in the statewide effort to provide comprehensive aquatic safety education content and services to Hawaii's schools and communities.

In addition to our youth aquatic safety education services, HAF performs research to support the advocacy and development of a broad range of aquatic injury intervention initiatives. Our data-driven research has been used to affirm long-standing local assumptions, consistent with national studies, that children and families in Hawaii's lower-income areas are at a disadvantage with respect to personal aquatic safety competencies and the protective factors they provide.

HAF's headquarters are located on the Palama Settlement campus. Since 2018, HAF has partnered with Palama Settlement to provide during-school-hours aquatic safety and swim skill instruction programming to local schools. Our partnership goal is to significantly expand programming to include year-round "parent-and-tot" early childhood education, lifeguard training and certification for career pathway education programs, and family and kupuna fitness programs.

2. The goals and objectives related to the request;

This request will be used to perform a major renovation and modernization of the Hawaii Aquatics Foundation's home pool at Palama Settlement. The Palama pool plays a vital role in the low-income urban community as the only publicly accessible facility offering a high volume of free aquatic safety instruction, learn-to-swim lessons, and other aquatic activities, such as early childhood and kupuna fitness programs. The objectives of this capital improvement project are:

- perform much needed long-term maintenance, code, and ADA upgrades
- replace old pump equipment with energy efficient models which utilize photovoltaic panels and energy storage
- install solar panels for pool and shower water heating to enable year-round programming for early childhood and kupuna participants who require warmer water
- install an automated pool cover to reduce annual water and chemical evaporation costs by 50%
- add features beneficial and specific for early childhood, family, and kupuna programs

3. The public purpose and need to be served;

Consistent with long-standing local assumptions, The Aspen Institute’s 2019 “State of Play - Hawaii” report, sponsored by the Liliuokalani Trust, stated that “The inability to swim has become a marker of poverty, with too few programs offering swim lessons. Too few lifeguards at beaches and pools, limit access to an array of water sports.” HAF and Palama Settlement seek to overcome these barriers and provide a safe environment for all ages to experience and enjoy aquatic activities.

4. Describe the target population to be served; and

The target population that will benefit from this Capital Improvement Plan includes multiple generations and demographics within the neighboring Palama and Kalihi areas. Over 5,000 K-12 students are located within a two-mile radius of the facility. In addition, the renovated pool facility will also be used to provide services to families, keiki, and kupuna.

5. Describe the geographic coverage.

The proposed project is located on the Island of Oahu at 810 N. Vineyard Blvd., Honolulu, HI 96817 for the benefit of Kalihi/Palama schools, neighborhoods, and surrounding communities.

III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant’s approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

The president of HAF will oversee the work of the licensed contractors to ensure that the modernization and renovation of the pool meets the objectives outlined in the proposal.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

Obtain Proposals: July 1, 2023 to August 15, 2023

Begin Construction: August 31, 2023

Complete Project: July 31, 2024

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

HAF will contract with professional and licensed engineers and contractors for the development and construction of the project. The President will be the primary person responsible for project oversight, monitoring and adjusting of timelines, quality assurance, budget oversight, and management of the goals.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

The project's effectiveness will be measured and reported utilizing the established objective timelines for completion and cost estimates.

IV. Financial

Budget

1. *The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.*

- a. *Budget request by source of funds (see attached)*
- b. *Personnel salaries and wages (see attached)*
- c. *Equipment and motor vehicles (see attached)*
- d. *Capital project details (see attached)*
- e. *Government contracts, grants, and grants in aid (see attached)*

2. *The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2024.*

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$125,000	\$125,000	\$150,000	\$150,000	\$550,000

3. *The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2024.*

Private donations - \$50,000

4. *The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.*

n/a

5. *The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2024 for program funding.*

n/a

6. *The applicant shall provide the balance of its unrestricted current assets as of December 31, 2022.*

\$154,993

V. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

The President has over 30 years of business and management experience and over 20 years of volunteer service with Hawaii youth-oriented programs. With a background in engineering, the President has specialized in system design, including electronic, software, mechanical, and product design. He has served in various capacities at public and private technology companies, including staff engineer, program manager, executive, and consultant.

The Vice President has over 20 years of direct and supervisory experience working with families through nonprofits in the human services field. This includes 7+ years of experience in quality assurance activities along with government contracts management and program support. Since 2014, the Vice President has also served as the President of an Association of Apartment Owners which has provided oversight to large capital improvement projects, such as pool deck, roof replacement, full plumbing replacements, and spalling repairs for a 120 unit condominium.

HAF has not managed any construction projects or contracts during the most recent three years.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

HAF's headquarters is located within the Kalihi community on the Palama Settlement campus where the Capital Improvement Project will take place. HAF's presence on campus allows for close supervision of the project. In addition, HAF's office is available to project staff and contractors for meeting purposes and includes broadband internet access.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

The President has over 30 years of business and management experience and over 20 years of volunteer service with Hawaii youth-oriented programs. With a background in engineering, the President has specialized in system design, including electronic, software, mechanical, and product design. He has served in various capacities at public and private technology companies, including staff engineer, program manager, executive, and consultant.

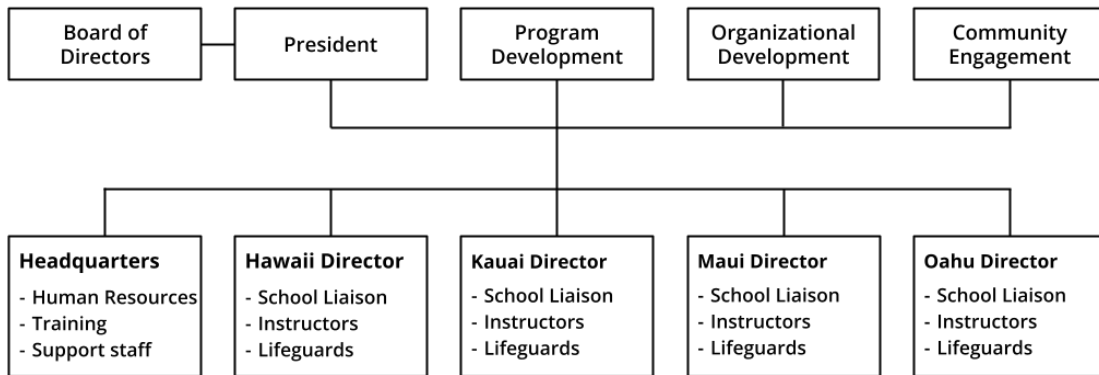
For this project, the President will be responsible for the overall vision, management, and direction of the project with back up support from the Board of Directors who have medical, legal, and aquatic experience and backgrounds. The President will also be responsible for hiring and overseeing professional and licensed contractors to complete the project.

The Vice President has over 20 years of direct and supervisory experience working with families through nonprofits in the human services field. This includes 7+ years of experience in quality assurance activities along with government contracts management and program support. Since 2014, the Vice President has also served as the President of an Association of Apartment Owners which has provided oversight to large capital improvement projects, such as pool deck, roof replacement, full plumbing replacements, and spalling repairs for a 120 unit condominium.

For this project, the Vice President will provide administrative support, such as generating timely reports, coordinating project activities and vendors, and tracking of contractual and insurance obligations. The President provides supervision for the Vice President and serves as back up support.

2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.



A similar organizational structure will be used to support program expansion to Lanai and Molokai.

3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.

Vice President: \$80,000 to \$110,000

Program Director: \$70,000 to \$90,000

Program Manager: \$60,000 to \$80,000

VII. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

n/a

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

Hawaii Aquatics Academy is an American Red Cross (ARC) Local Provider.

3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see [Article X, Section 1, of the State Constitution](#) for the relevance of this question.

n/a

4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2023-24 the activity funded by the grant if the grant of this application is:


- (a) Received by the applicant for fiscal year 2023-24, but*
- (b) Not received by the applicant thereafter.*

The activity funded by this grant request is a one-time expenditure that will not require additional funding after project completion.

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2023 to June 30, 2024

Applicant: Hawaii Aquatics Foundation (dba Hawaii Aquatics Academy)

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries				
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST				
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES				
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL	550,000			50,000
TOTAL (A+B+C+D+E)	550,000			50,000
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	550,000	Dean E. Schmaltz (808) 375-7970		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested				
(d) Total Private/Other Funds Requested	50,000	Signature of Authorized Official January 20, 2023 Date		
TOTAL BUDGET	600,000	Dean E. Schmaltz, President Name and Title (Please type or print)		

Applicant: Hawaii Aquatics Foundation (dba Hawaii Aquatics Academy)

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:
not applicable

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:
not applicable

Applicant: Hawaii Aquatics Foundation (dba Hawaii Aquatics Academy)

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2021-2022	FY: 2022-2023	FY:2023-2024	FY:2023-2024	FY:2024-2025	FY:2025-2026
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION			\$500,000			
EQUIPMENT			\$50,000	\$50,000		
TOTAL:			\$550,000			
JUSTIFICATION/COMMENTS:						
required equipment includes: pumps, filtration, heating, deck fixtures, and other						

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

Applicant: Hawaii Aquatics Foundation (dba Hawaii Aquatics Academy)

Contracts Total: -

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S./State/Hawaii/ Honolulu/ Kauai/ Maui County)	CONTRACT VALUE
1	none				
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