

Application Submittal Checklist

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- 1) Certificate of Good Standing (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
 - a) Budget request by source of funds ([Link](#))
 - b) Personnel salaries and wages ([Link](#))
 - c) Equipment and motor vehicles ([Link](#))
 - d) Capital project details ([Link](#))
 - e) Government contracts, grants, and grants in aid ([Link](#))
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing

RUTH LOHR, DIRECTOR OF FINANCE & ADMINISTRATION

RUTH A. LOHR FINANCE

AND ADMINISTRATION DIRECTOR

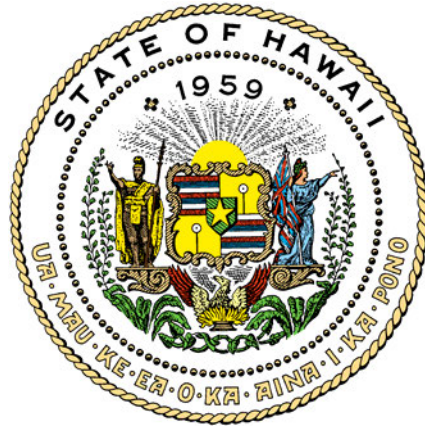
Jan 20, 2023



AUTHORIZED SIGNATURE

PRINT NAME AND TITLE

DATE



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

CENTER FOR CULTURAL AND TECHNICAL INTERCHANGE BETWEEN EAST AND WEST, INC.

was incorporated under the laws of Hawaii on 07/01/1975 ;
that it is an existing nonprofit corporation; and that,
as far as the records of this Department reveal, has complied
with all of the provisions of the Hawaii Nonprofit Corporations
Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set
my hand and affixed the seal of the
Department of Commerce and Consumer
Affairs, at Honolulu, Hawaii.

Dated: January 18, 2023

Director of Commerce and Consumer Affairs

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided; and
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.
- 4) The use of grant-in-aid funding complies with all provisions of the Constitution of the State of Hawaii (for example, pursuant to Article X, section 1, of the Constitution, the State cannot provide "... public funds ... for the support or benefit of any sectarian or nonsectarian private educational institution...").

Pursuant to Section 42F-103, Hawaii'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

East-West Center

(Typed Name of Individual or Organization)



(Signature)
Ruth A. Lohr

Jan 20, 2023

(Date)

Director of Finance and Administration

(Typed Name)

(Title)

Application for Grants

I. Certification – Please attach immediately after cover page

1. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2022.

A copy of a certificate of good standing from the DCCA dated January 18, 2023 is attached.

2. Declaration Statement

The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes.

The East-West Center affirms its compliance with Section 42F-103, Hawaii Revised Statutes.

Specifically, section §42F-103(b)(1)(A)&(C) – incorporated under the laws of the State and Registered with DCCA and in possession of a valid certificate of good standing with the US IRS, dept of taxation, dept of labor and industrial relations, and department of commerce and consumer affairs.

Compliant under section §42F-103(c)(1)&(2) – nonprofit organization designated by the IRS and has a governing board whose members have no material conflict of interest and serve without compensation.

3. Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes.

The grant received will be used for capital projects (safety and compliance) of buildings accessible to a public educational institution and open to the public for events as documented in this application.

Specific citations:

- (1) The name of the requesting organization or individual; - Applicant section
- (2) The public purpose for the grant; - refer to section II(3) of application.
- (3) The services to be supported by the grant; - refer to section II(2) of application.
- (4) The target group – refer to section IV of application.
- (5) The cost of the grant and the budget – refer to section IV of application.

II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

About the East-West Center

The East-West Center (EWC) is an independent, nonprofit public corporation established in 1960 by the U.S. Congress and located on a 21-acre campus along the eastern boundary of the University of Hawai‘i at Mānoa (UHM) campus. EWC, while not administratively part of the UHM, is an integral part of its academic community, collaborating with UHM through joint research appointments, programs, and projects. The East-West Center serves as a vital resource for information and analysis on critical issues of common concern, bringing people together to exchange views, build expertise, and develop policy options.

The Center is located midway between Asia and the US mainland and features research, residential, and international conference facilities. EWC also maintains a Washington, DC, office that provides US and regional government stakeholders and program partners with innovative training, analytical and dialogue exchange, and public diplomacy to support needs in the Indo-Pacific region. A portion of EWC funding comes from the U.S. Congress, and additional support is provided by private agencies, individuals, foundations, corporations, and governments in the region. EWC engages an active 68,000+ alumni network in 179 countries across four generations and includes many prominent leaders in the local business, government and nonprofit sector.

The Congress designated the State of Hawai‘i as the location of the East-West Center because of its geographic position and its unique multi-cultural society, which make Hawaii an important link between the United States and the East.

A partial text from the Mutual Security Act of 1960, Public Law 86-472 [H.R. 115510], 74 Stat. 134, approved May 14, 1960, describes the purpose of the Center:

Sec. 702: ...to promote better relations between the United States and the nations of Asia and the Pacific (hereinafter referred to as “the East”) through cooperative study, training, and research, by establishing in Hawaii a Center for Cultural and Technical Interchange Between East and West [dba East-West Center] where scholars and students in various fields from the nations of the East and the West may study, give and receive training, exchange ideas and views, and conduct other activities primarily in support if the objectives of the United States Information and Educational Exchange Act of 1954, and other Acts promoting the international, educational, cultural, and related activities of the United States.”

Sec. 703(1): the establishment and operation in Hawaii of an educational institution to be known as the Center for Cultural and Technical Interchange Between East and West [dba as “East-West Center”], through arrangements with public, educational, and other nonprofit institutions;

Gov. John A. Burns, a co-founder of the East-West Center, dreamed of Hawai‘i becoming “a center for stimulating greater exchanges between the people of the Pacific Basin” based not only its geographical position, but the unique community. Over 60 years now of serving as a US-based institution for public diplomacy in the Indo-Pacific region, Gov. Burns’ dream is alive and well, and thriving, but in need of important safety upgrades of its facilities.

Currently, the East-West Center:

- Nurtures a residential community of 300+ graduate students from 48 countries studying in 77 disciplines at the University Hawai‘i at Mānoa.
- Hosts 1,000+ participants annually for short-term training and exchange programs.
- Reaches a regionwide audience of more than 500,000 people through our informative website, social media, and virtual programming.
- Engages an active 68,000+ alumni network in 179 countries across four generations.
- Hosts regular high-level multilateral diplomacy gatherings that bring together heads of state, top government officials, subject matter experts, and civil society leaders to address complex global issues.

In January 2022, the East-West Center inaugurated Suzanne “Suzy” Puanani Vares-Lum as the Center’s 12th President, the first woman and Native Hawaiian to lead the center. Under her leadership, the Center will focus action over the next five years (2023-2027) in five critical regional needs that support the core mission and values of the East-West Center:

1. Equip and develop leaders,
2. Convene impactful dialogues,
3. Partner with the Pacific Islands,
4. Foster environmental solutions, and
5. Support good governance.

2. The goals and objectives related to the request;

The goals and objectives of this GIA request are to help preserve the East-West Center as a premier public nonprofit institution by improving the safety and function of mission-critical spaces. The Center’s physical environment is open, inclusive, dynamic, connected and purposeful, where the Center’s commitment(s) to cross-cultural collaboration, creativity and sustainability can be realized. EWC facilities have been central to the institution’s achievements and the effective engagement of its constituencies for over 60 years.

To thrive for many decades to come, the facilities are in critical need of comprehensive functional, aesthetic, and technological upgrades. Such capital improvements will ensure the Center is able to advance its mission and continue to attract emerging Indo-Pacific leaders, exceptional personnel, as well as like-minded partners who share EWC’s goal of developing a more peaceful, just, prosperous, and sustainable world. It also will position

the Center to serve as a preferred convening space for high-level meetings, conferences, and exchanges; as a hub for rigorous analysis and impactful dialogues on topics of common concern; and as a gathering place for people to learn, share, develop, and flourish for generations to come.

The vision for the East-West Center's campus in Honolulu draws directly from its geographical surroundings and unique community characteristics. The Center's 21-acre campus serves not only as a place of rigorous academic and professional pursuit but also as a space for reflection and respite, invigorated and illuminated by its environs. Its facilities should reflect these relational aspects, providing necessary and adequate space for its research fellows, staff, and many distinguished visitors.

This GIA request will address a number of critical and essential safety and ADA compliance issues at East-West Center facilities, and includes the following projects:

- Complete condition assessment of all Honolulu campus buildings.
- Replace chairs at Jefferson Hall / Imin International Conference Center
- Install handrails in the Seien Japanese Garden
- Modernize Jefferson Hall elevators
- Modernize Lincoln Hall elevator
- Replace electronic locks in housing facilities
- Upgrade end-of-life HVAC equipment at Jefferson Hall
- Replace Lincoln Hall transformer and switchgear

3. The public purpose and need to be served;

The public purpose of the East-West Center remains unchanged since its establishment in 1960: to bring people together to exchange views, build expertise, and develop policy options to common challenges. We bring together experts, scholars, students, and leaders from government, business, and all areas of civil society. They come from all parts of the U.S., from all over the world, and from all corners of our own state. The public purpose of this grant request is to help address a number of critical and essential safety and ADA/OSHA compliance issues at EWC facilities and ensure our facilities are safe for its many participants, staff, and visitors.

4. Describe the target population to be served; and

The East-West Center serves a large and diverse population as an integral part of the larger University of Hawai'i at Mānoa campus community. The target population served by this GIA request includes EWC program participants, staff, community partners, and the public at large.

5. Describe the geographic coverage.

The East-West Center is located at 1601 East-West Road in Honolulu. It utilizes and maintains a campus area of approximately 21 acres along the eastern boundary of the University of Hawai'i at Mānoa campus. Additionally, EWC leases office space in downtown Washington, D.C. for an

EWC-Washington (EWCW) office The geographic coverage of EWC programs and activities is global, national, and throughout the State of Hawai‘i.

III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant’s approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

The EWC Capital Improvement Working Group (a large cohort of key EWC staff) identified dozens of capital improvement projects and categorized them into three areas: essential, desirable/recommended, and acceptable/adequate. All of the items in this GIA request fall under the “Essential” category and address one or more of the following criteria:

- An emergency.
- Remedy a condition dangerous to health or safety.
- Compliance or regulatory issue (*e.g.*, ADA, OSHA, ANSI).
- Significant risk or liability issue.
- Critically needed to support EWC operations or strategic plans (*e.g.*, asset condition or asset longevity).
- Vital economic importance (*e.g.*, annual recurring costs).

This GIA request addresses the most critical and essential safety and ADA/OSHA compliance issues at EWC facilities, and includes the following eight funding priorities:

EWC funding priority #1: Complete condition assessment of all Honolulu campus buildings.

Description: Contract with an architecture and engineering firm to inspect our facilities, recommend and prioritize upgrades, and provide probable costs. Four of the buildings are 60+ years old. While several small-scale repairs and renovations have been performed throughout the years, the buildings are in great need of comprehensive restoration, safety and code upgrades, and modernization improvements to ensure that they support the strategic plan and endure for the next 60 years.

- **Progress:** Received initial proposal for a condition assessment of Hale Mānoa (HM) and Hale Kuahine (HK). Need full proposal to include Burns Hall (BH), Jefferson Hall (JH), Lincoln Hall (LH), and Hale Hālāwai (HH).
- **Cost/Funding:** Estimated cost is \$300,000 based on initial proposal for condition assessment of HM and HK.

EWC funding priority #2: Replace chairs at Jefferson Hall

Description: Most of the existing chairs in the Imin International Conference Center have visible mold growth and require frequent, strenuous cleaning. Unfortunately, the

mold is embedded in the fabric which has resulted in our Indoor Air Quality consultant recommending disposal. Additionally, there are many chairs that are not in compliance with current OSHA regulations. The health and safety issues that the existing chairs present make them a liability and replacement is necessary in the very near future.

- **Progress:** The new chairs are specified, and budget quotes have been received.
- **Cost/Funding:** Estimated cost is \$400,000 based on three budget quotes.

EWC funding priority #3: Install handrails in the Seien Japanese Garden

Description: In 1963, Kenzo Ogata designed the sloping Japanese botanical garden in between Jefferson Hall and Mānoa stream. The area is naturally uneven which has resulted in several falls over the years and the garden is visited regularly by visiting dignitaries and senior citizens. A project to add handrails is needed to alleviate safety concerns and prevent legal liability.

- **Progress:** Awaiting funding.
- **Cost/Funding:** Our landscape architect, Umemoto Cassandro Corp., estimates a maximum cost of \$50,000.

EWC funding priority #4: Modernize Jefferson Hall elevators

Description: The Imin International Conference Center at Jefferson Hall has two elevators – one was installed when the building was constructed and the other in 1985 when the conference center was created. Neither have been modernized since. Both elevators experience regular outages and the Center’s elevator maintenance provider has determined that servicing the units is becoming increasingly difficult due to both the lack of materials and the availability of trained and experienced technicians certified on repairing the physical logic board controllers used in both units. A modernization project is critically needed to support operations in Jefferson Hall.

- **Progress:** Awaiting funding.
- **Cost/Funding:** Estimated cost is \$500,000 based on modernization proposals from our current vendor, Otis Elevator.

EWC funding priority #5: Modernize Lincoln Hall elevator

Description: Lincoln Hall has one elevator servicing the building that has not been modernized since the building’s commissioning. A leak in 2021 caused damage to some of the existing equipment and the Center’s elevator maintenance provider has determined that this equipment should be replaced in the near future to avoid complete failure. A modernization project is critically needed to support operations in Lincoln Hall.

- **Progress:** Awaiting funding.
- **Cost/Funding:** Estimated cost is \$250,000 based on modernization proposals from our current vendor, Otis Elevator.

EWC funding priority #6: Replace electronic locks in housing facilities

Description: The Center installed an electronic key system in 2006 in Hale Mānoa, Hale Kuahine, and Lincoln Hall. The system will no longer be supported in 2024 by the vendor; ending both system software updates and replacement hardware purchases. Over eight hundred locks must be replaced to ensure the safety and security of our residents.

- **Progress:** Awaiting funding.
- **Cost/Funding:** Estimated cost is \$400,000.

EWC funding priority #7: Upgrade end-of-life HVAC equipment at Jefferson Hall

Description: The 1985 renovation of Jefferson Hall in the Imin International Conference Center added air conditioning to the interior offices and meeting rooms. While there have been some small renovation projects since, Jefferson Hall’s HVAC system is in disrepair with several units requiring replacement as they are far beyond their service life and are using refrigerants that are not friendly to the environment.

- **Progress:** Awaiting funding.
- **Cost/Funding:** Estimated cost is \$50,000.

EWC funding priority #8: Replace the Lincoln Hall transformer and switchgear

Description: Lincoln Hall has an internal utility transformer and primary switchgear used to provide electrical service to the building as part of the original infrastructure. The equipment is well past the recommended service life and could fail at any time, resulting in, at the very least, a prolonged building outage with the possibility of significant property damage and risk of bodily injury should the transformer cause a fire. Replacement of the transformer and switch gear with larger capacity units exterior to Lincoln Hall is urgently needed.

- **Progress:** This project is in the permitting phase.
- **Cost/Funding:** Estimated cost is \$600,000 based on a professional estimate by InSynergy Engineering.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

Quarter 1

- Finalize design and specifications
- Bidding
- Execute contracts
- Project submittals
- Order equipment

Quarter 2

- Project submittals

- Order equipment
- Begin receiving and installing equipment

Quarter 3

- Receive and install equipment

Quarter 4

- Receive and install equipment
- Final inspections
- Punchlists
- Complete all projects

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

The East-West Center utilizes an internal review process for quality assurance, monitoring and evaluation of all projects and activities:

- Contract negotiations to include Key Performance Indicators and milestones to track the status
- Contract management from cradle to grave with a quarterly review in the first half of the year and monthly review of progress the remainder of the year (Finance and PI)
- Approval of all progress prior to payment of milestones or progress payments (depending on the final contract negotiations and project-type).

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

Reports will be made to the Legislature describing the success of all proposed activities. Measures of effectiveness will include:

- Project completion analysis: milestones or progress achieved to contracted scope of the work
- Budget to progress
- Projected delays impact

State of Hawaii GIA Requests for Reimbursement submissions: EWC Center will provide State required documentation both for work completed invoices and project status tracking as required by the awarded grant.

Project and Expenditure Tracking: All contractors will provide a timeline tracking schedule for monthly tracking of project progress and associated expenditures. Regular meetings will be

held between contractors and EWC staff to review the tracking status to ensure that the design, planning and construction will be executed within the project timeline and on Budget. This tracking will be provided to the State upon request.

Capital Improvement Completion per Specifications: EWC staff will work with all contractors to ensure that the work is both designed and executed for a quality final product and with the values and vision of the EWC’s Capital Improvement Plan.

Safety in Construction: EWC will work with contractors who subcontract with board certified and experienced safety managers to ensure onsite construction is performed in adherence with all Occupational Safety and Health Administration (OSHA) and the American National Standards Institute (ANSI) standards.

Retention of records: All invoices, payment receipts, and tracking of project-related construction work carried out will be kept on file for evaluation. “Before” and “After” photos will also be taken to gauge the impact. All measurement results will be provided to the State upon request.

IV. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
 - a. Budget request by source of funds (Attached)
 - b. Personnel salaries and wages (not applicable)
 - c. Equipment and motor vehicles (not applicable)
 - d. Capital project details (Attached)
 - e. Government contracts, grants, and grants in aid (Attached)

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2024.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$250,000	\$750,000	\$950,000	\$600,000	\$2,550,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2024.

Not applicable.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Not applicable.

5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2024 for program funding.

Federal, state, and county government contracts, grants, and grants in aid received by the East-West Center within the prior three years and that will be receiving for fiscal year 2024 for program funding includes:

- A. Federal: \$13,953,855
- B. State: \$50,000
- C. County: \$100,004

Attached is Budget Form 6(b) with a detailed listing of 36 federal grants, one contract with the State of Hawai'i Department of Education, and one grant from the City & County of Honolulu granted to the Center in the last three years.

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2022.

As of December 31, 2022, the East-West Center had unrestricted current assets of \$28,613,679 (estimated/unaudited).

V. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

The East-West Center is in a continual process of maintenance, upgrading, and renovation to keep the students, staff, program participants, visitors, and the community safe while on campus. The Center installed new roof coating systems at Burns Hall and Jefferson Hall in 2021 2022 and replaced dormitory room chairs in 2022. Additionally, design work has started to replace the Lincoln Hall primary electrical equipment and skylight.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The East-West Center utilizes and maintains a campus area of approximately 21 acres along the eastern boundary of the University of Hawai‘i at Mānoa campus. Additionally, EWC in Washington leases office space in downtown Washington, D.C.

Major building facilities on the Hawai‘i campus include Burns Hall, the central administration building; Jefferson Hall, home of the Imin International Conference Center; Hale Mānoa and Hale Kuahine, student dormitories; Lincoln Hall, an apartment style residence hall; and Hale Hālāwai, a multi-purpose activities building. The total area of all EWC facilities is 449,732 square feet (Hale Mānoa 151,613 sq. ft.; Burns Hall 143,600 sq. ft.; Jefferson Hall 61,558 sq. ft.; Lincoln Hall 48,756 sq. ft.; Hale Kuahine 37,605 sq. ft.; Hale Hālāwai 2,000 sq. ft.; Washington DC Office 4,600 sq. ft.). The Hawai‘i campus also features several gardens, a koi stream, and hardscaped and lawn parking areas.

Jefferson Hall, Hale Mānoa, Hale Kuahine, and Lincoln Hall were all constructed in the early 1960’s. Burns Hall was built in 1977 and Hale Hālāwai was completed in 2002. Several small-scale repairs and renovations have been performed throughout the years to update functional use, address safety and code issues, update systems, and restore assets to their original condition. With the exception of Hale Hālāwai, all buildings are in great need of comprehensive restoration, safety and code upgrades, and modernization improvements to ensure that they support the strategic plan and endure for the next 60 years. This GIA request is thus vital in helping the East-West Center address the most critical and essential repairs and upgrades to address safety and ADA/OSHA compliance issues at all Center facilities.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

EWC staff includes a team of professionals who will coordinate all aspects of the grant:

Ruth A. Lohr, Director of Finance and Administration. Ruth Lohr joined the East-West Center in 2021 and brings extensive experience in both the public and private sector with financial management and strategic planning. In addition to her finance acumen while working as the CFO of the Honolulu transit project, her background includes project and financial management on mega-consent decree projects in the private sector as well as other municipal and state government capital projects. Her portfolio of grant management spans across all levels and project types, from economic development and other block grants to civil construction and public works projects. She will be responsible for coordinating all administrative and financial aspects of the GIA request.

Kris Thompson, Administrator. Kris Thompson has over 22 years of facilities and project management experience. He has provided leadership on numerous projects at East-West Center including roofing, elevator modernizations, furniture upgrades, electrical upgrades, mechanical upgrades, security enhancements, and general construction. Kris is responsible for the long-term planning and daily maintenance of the EWC campus, the 567-room residential operation, the conference center operation, and mail services.

Burton White, Deputy Administrator. Burton White has over 40 years of experience in administration, management, production, education, and consultation for nonprofits and professional theatre. He has extensive project management experience in restoration, audio/visual systems, technical systems, general construction, and stage management. Burton is responsible for the management of Imin International Conference Center and supports the entire EWC campus.

Geoff Ho, Assistant Facilities Manager. Geoff Ho has over 18 years of facilities and project management experience. He has project management experience in technical systems, concrete restoration, security enhancements, fishponds, and general construction. Geoff is responsible for the daily maintenance of the EWC campus.

2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

Not applicable.

3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.

President: \$350,000-\$500,000

Vice President: \$200,000-\$265,000

Vice President/Chief Operating Officer: \$200,000-\$265,000

VII. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

The East-West Center has no pending litigation or outstanding judgements.

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

No special licensures or accreditation is necessary to complete the project.

3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

The East-West Center confirms that no grant funds will be used to support or benefit a sectarian or non-sectarian private institution.

4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2023-24 the activity funded by the grant if the grant of this application is:


- (a) Received by the applicant for fiscal year 2023-24, but
- (b) Not received by the applicant thereafter.

The East-West Center is supported by an annual appropriation from the U.S. Congress, external grants for cooperative research and activities, income from the use of the Center's lodging and conference facilities, and private donations.

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2023 to June 30, 2024

Applicant: East-West Center

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries				
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST	0	0	0	0
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	0	0	0	0
C. EQUIPMENT PURCHASES	0	0	0	0
D. MOTOR VEHICLE PURCHASES	0	0	0	0
E. CAPITAL	2,550,000	0	0	0
TOTAL (A+B+C+D+E)	2,550,000	0	0	0
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	2,550,000	Ruth Lohr	(808) 944-7195	
(b) Total Federal Funds Requested	0	Name (Please type or print)	Phone	
(c) Total County Funds Requested	0		Jan 20, 2023	
(d) Total Private/Other Funds Requested	0	Signature of Authorized Official	Date	
TOTAL BUDGET	2,550,000	Ruth Lohr, Director of Finance & Administration Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2023 to June 30, 2024

Applicant: **East-West Center**

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
Not applicable.				
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
Not applicable.			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2023 to June 30, 2024

Applicant: **East-West Center**

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2021-2022	FY: 2022-2023	FY:2023-2024	FY:2023-2024	FY:2024-2025	FY:2025-2026
PLANS			300000			
LAND ACQUISITION						
DESIGN						
CONSTRUCTION			50000			
EQUIPMENT			2200000			
TOTAL:	0	0	2,550,000	0	0	0
JUSTIFICATION/COMMENT Plans costs include a condition assessment of all EWC Honolulu campus buildings. Construction costs are for Seien Japanese Garden handrail project. Equipment costs include replacement chairs, elevators, AC transformers, and housing locks.						

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

Applicant: **East-West Center**

Contracts Total: \$ **14,103,859**

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY (listed alphabetically)		CONTRACT VALUE
1	Asia Pacific Arts Engagement and Learning	01/11/21-06/30/22	Dept of Community Services (DCS)	C&C of Honolulu	\$ 100,004.00
2	Carrying Culture Teacher Training Workshops for State DOE teachers	01/15-21-12/15/22	Department of Education	State	\$ 50,000.00
3	Forced and Truncated Agrarian Transitions in Asia Through the Lens of Field Size	01/01/20-12/31/22	National Aeronautics & Space Administration (NASA)	Federal	\$ 321,542.00
4	Pacific Gathering: Exhibition and Residency Program	07/01/20-10/31/22	National Endowment for the Arts (NEA)	Federal	\$ 30,000.00
5	Women in Buddhism: Religion, Politics and the Arts	10/01/21-09/23/23	National Endowment for the Humanities (NEH)	Federal	\$ 235,000.00
6	NIDIS in Hawaii (w/UCAR)	08/05/22-08/31/24	National Oceanic & Atmospheric Administration (NOAA)	Federal	\$ 295,314.00
7	Climate Adaptation and Mitigation program continuation (Pacific RISA, w/UCAR)	07/01/20-08/31/22	National Oceanic & Atmospheric Administration (NOAA)	Federal	\$ 137,629.00
8	Pacific Islands Regional Climate Assessment (w/UCAR)	07/01/20-08/31/22	National Oceanic & Atmospheric Administration (NOAA)	Federal	\$ 20,000.00
9	Pacific RISA: Building International Adaptation and Resilience to a Changing Climate in the Pacific Islands	09/01/20-08/31/22	National Oceanic & Atmospheric Administration (NOAA)	Federal	\$ 1,287,821.00
10	An Evaluation of the NextGen National Water Model in Tropical Conditions with the Aim of Improving Hyperlocal Flood Forecasting	08/01/22-07/31/24	National Oceanic & Atmospheric Administration (NOAA)	Federal	\$ 110,845.00
11	Climate Change Hawaii: Harnessing the Data Revolution for Island Resilience (w/UHM)	06/01/22-05/31/27	National Science Foundation (NSF)	Federal	\$ 444,296.00
12	PROJECT Governance in the Pacific	05/03/21-05/02/26	US Agency for International Development (USAID)	Federal	\$ 5,000,000.00
13	LASER Partners for University-Led Solutions (PULSE, w/Purdue University)	02/01/22-07/31/23	US Agency for International Development (USAID)	Federal	\$ 35,839.00
14	Pacific RISA: Building equitable and just climate solutions for Pacific Island resilience to compounding disasters and extreme events (w/Arizona State Univ)	09/01/21-08/31/26	US Department of Commerce, National Weather Service (DOC/NWS)	Federal	\$ 675,331.00

15	Indo-Pacific Command Partnerships Matter	09/30/21-06/30/22	US Department of Defense (DOD)	Federal	\$ 25,000.00
16	The United States/Freely Associated States Educational Resources Project	05/01/22-04/30/25	US Department of Interior (DOI)	Federal	\$ 1,000,000.00
17	Pacific Islands Matter to America program	08/11/20-09/30/23	US Department of Interior (DOI)	Federal	\$ 70,198.00
18	Pacific Ecological Security Conference	08/01/22-10/01/22	US Department of Interior (DOI)	Federal	\$ 87,848.00
19	Recognizing Effective Peacebuilding in Pakistan	09/30/21-03/31/24	US Department of State (DOS)	Federal	\$ 599,793.00
20	Human Capital Development Project-BASE	10/01/20-09/30/22	US Department of State (DOS)	Federal	\$ 1,000,000.00
21	2020 South Pacific Islands scholarship program	09/25/20-07/31/25	US Department of State (DOS)	Federal	\$ 375,000.00
22	2021 South Pacific Islands scholarship program	08/12/21-07/31/26	US Department of State (DOS)	Federal	\$ 375,000.00
23	2022 South Pacific Islands scholarship program	08/01/22-07/31/27	US Department of State (DOS)	Federal	\$ 375,000.00
24	2020 US presidential election reporting seminar in support of two Thai journalists	09/28/20-06/30/22	US Department of State (DOS)	Federal	\$ 16,170.00
25	2020 US presidential election reporting seminar in support of one South African journalist	09/28/20-06/30/22	US Department of State (DOS)	Federal	\$ 6,412.00
26	2020 US presidential election reporting seminar in support of three Pakistani journalists	09/28/20-06/30/22	US Department of State (DOS)	Federal	\$ 27,510.00
27	2020 US presidential election reporting seminar in support of two Nigerian journalists	08/07/20-06/30/22	US Department of State (DOS)	Federal	\$ 10,618.00
28	2020 US presidential election reporting seminar in support of two British journalists	09/21/20-06/30/22	US Department of State (DOS)	Federal	\$ 18,840.00
29	2020 US presidential election reporting seminar in support of two Bangladesh journalists	10/15/20-12/31/22	US Department of State (DOS)	Federal	\$ 17,062.00
30	Virtual workshop for Indonesian journalists on threat assessment of PRC So.China Sea policy	05/15/21-06/30/22	US Department of State (DOS)	Federal	\$ 2,800.00
31	Lower Mekong Media Reporting Tour	09/01/21-09/30/22	US Department of State (DOS)	Federal	\$ 80,000.00
32	New York Times licensing project for Pacific Islands media outlets	08/24/20-06/30/22	US Department of State (DOS)	Federal	\$ 195,485.00
33	Growing independent radio broadcast journalism in the Pacific Islands	03/26/21-06/30/22	US Department of State (DOS)	Federal	\$ 300,000.00
34	United States-Republic of Philippines: 75th Anniversary of Diplomatic Relations	08/09/21-08/09/22	US Department of State (DOS)	Federal	\$ 65,219.00
35	Blue Economy Challenge	09/08/21-03/31/23	US Department of State (DOS)	Federal	\$ 70,000.00
36	Indo-Pacific Strategy Public Engagement Program	08/15/22-02/14/24	US Department of State (DOS)	Federal	\$ 500,000.00
37	US-Korea Commercial Relations Beyond Washington and Seoul	08/01/22-07/31/23	US Department of State (DOS)	Federal	\$ 99,996.00

38	Climate Change Variability and Drought in the US-Affiliated Pacific Islands	05/01/20-04/30/23	US Geological Survey (USGS)	Federal	\$ 42,287.00
----	---	-------------------	-----------------------------	---------	--------------












GIA Proposal - EWC CIP - Jan 2023


Final Audit Report


2023-01-20


Created:	2023-01-20
By:	Tina h. Tom (tomt@eastwestcenter.org)
Status:	Signed
Transaction ID:	CBJCHBCAABAAmzXWq3140yT-BbioYB4V0YvN8vycVCuA


"GIA Proposal - EWC CIP - Jan 2023" History


-  Document created by tomt@eastwestcenter.org
2023-01-20 - 7:53:26 PM GMT- IP address: 166.122.5.170
-  Document emailed to Kris Thompson (thomsok@eastwestcenter.org) for signature
2023-01-20 - 8:04:02 PM GMT
-  Document emailed to lohrr@eastwestcenter.org for signature
2023-01-20 - 8:04:02 PM GMT
-  Document emailed to juarezc@eastwestcenter.org for signature
2023-01-20 - 8:04:02 PM GMT
-  Document emailed to tomt@eastwestcenter.org for signature
2023-01-20 - 8:04:02 PM GMT
-  Signer tomt@eastwestcenter.org entered name at signing as Tina h. Tom
2023-01-20 - 8:04:19 PM GMT- IP address: 166.122.5.170
-  Document e-signed by Tina h. Tom (tomt@eastwestcenter.org)
Signature Date: 2023-01-20 - 8:04:21 PM GMT - Time Source: server- IP address: 166.122.5.170
-  Email viewed by Kris Thompson (thomsok@eastwestcenter.org)
2023-01-20 - 8:06:31 PM GMT- IP address: 166.122.5.170
-  Email viewed by lohrr@eastwestcenter.org
2023-01-20 - 8:07:15 PM GMT- IP address: 166.122.5.170
-  Signer lohrr@eastwestcenter.org entered name at signing as Ruth A. Lohr
2023-01-20 - 8:08:31 PM GMT- IP address: 166.122.5.170
-  Document e-signed by Ruth A. Lohr (lohrr@eastwestcenter.org)
Signature Date: 2023-01-20 - 8:08:33 PM GMT - Time Source: server- IP address: 166.122.5.170

 Email viewed by juarezc@eastwestcenter.org
2023-01-20 - 8:09:14 PM GMT- IP address: 76.93.217.60

 Document e-signed by Kris Thompson (thomsok@eastwestcenter.org)
Signature Date: 2023-01-20 - 8:09:55 PM GMT - Time Source: server- IP address: 166.122.5.170

 Signer juarezc@eastwestcenter.org entered name at signing as Carlos Juarez
2023-01-20 - 8:11:24 PM GMT- IP address: 76.93.217.60

 Document e-signed by Carlos Juarez (juarezc@eastwestcenter.org)
Signature Date: 2023-01-20 - 8:11:26 PM GMT - Time Source: server- IP address: 76.93.217.60

 Agreement completed.
2023-01-20 - 8:11:26 PM GMT