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**Presentation of
the Department of Commerce and Consumer Affairs**

**Before the
Senate Committee on Ways and Means
and
Senate Committee on Commerce and Consumer Protection
Wednesday, January 12, 2022
9:30 a.m.
State Capitol, Conference Room 211**

SUPPLEMENTAL BUDGET REQUEST FOR FISCAL YEAR 2023

Honorable Chairs Dela Cruz and Baker, Vice Chairs Keith-Agaran and Chang, and Members of the Senate Committees on Ways and Means and Commerce and Consumer Protection:

I am Catherine Awakuni Colón, and I am the Director of the Department of Commerce and Consumer Affairs ("DCCA" or "Department"). I appreciate this opportunity to discuss the Department's fiscal year ("FY") 2023 budget with the members of the Committee.

Overview

The Department's mission is to promote a strong and healthy business environment by upholding fairness and public confidence in the marketplace, and by increasing knowledge and opportunity for our businesses and citizens.

DCCA's funding structure was established by the Legislature in 1996 through the creation of the compliance resolution fund ("CRF"). The CRF is the primary funding source for DCCA's programs. The Department's financial strategy is based on ensuring that divisions have revenues to pay their expenses and that they contribute equitably to departmental overhead costs, while maintaining sufficient reserves to address unexpected contingencies. The Legislature's foresight to develop this self-sufficiency model has benefitted our customers and the public we serve and the State over many

years. Self-sufficiency notwithstanding, strong fiscal oversight continues to be important to the Department. Consistent with applicable law, the fees and revenue collected by the Department are provisioned for the purpose of delivering important commerce and consumer protection related services.

Toward that end, I appreciate the Committee's thorough review and consideration of the Department's budget.

Impact of Current Economic and Fiscal Conditions

The Department and its divisions and attached agencies continued throughout FY 2020 and 2021 to address the challenges of operating in a quickly evolving consumer and business environment affected by the global pandemic, technological advances and increased public needs. Notably, DCCA has for decades embraced the use of technology to makes online resources available to consumers, and Business Registration, Insurance and Professional and Vocational Licensing divisions each have mature online portals that have made critical business workflows available to Hawaii's businesses and industries for many years. In the past year, reporting complaints to the Regulated Industries Complaints Office and the Office of Consumer Protection has evolved to user-friendly online complaints filing portals, the Public Utilities Commission has transitioned to all electronic filing processes and the Office of Administrative Hearings provides an option for electronic hearings. The pandemic and resulting shift toward reliance on electronic filings highlighted the critical need to update and replace legacy systems, and to develop robust and redundant IT systems that can effectively support the growing IT needs of the Department.

In addition, the pandemic highlighted the risks for the Professional and Vocational Licensing Division in continuing to acquire additional regulatory responsibility without matching staff and funding. Although the division made significant strides pre-COVID 19 toward providing for online renewals, the sheer volume of work that the division must perform under normal circumstances reached a tipping point during the pandemic due among other things to an increased volume of license applications and delayed license testing. As a result, the Department's supplemental budget request is unusual in that a contains a significant number of requests are for additional positions and matching funding for the Professional and Vocational Licensing Division and the Division of Financial Institutions.

The Department, thus, continues to take a selective approach when developing its budget that aims at addressing the essential needs of the Department, including general cost adjustments felt by all agencies, and makes requests to utilize resources in a manner that most efficiently allows DCCA to carry out its mission.

Federal Funds

None of the Department's programs have lost or are at risk of losing federal funds.

Non-General Funds

<http://cca.hawaii.gov/wp-content/uploads/2022/01/CCA-NGF-Report.pdf>

<http://cca.hawaii.gov/wp-content/uploads/2022/01/CCA-NGF-Form-A.pdf>

<http://cca.hawaii.gov/wp-content/uploads/2022/01/CCA-NGF-Form-B.pdf>

Budget Request

The Department formulated a budget that provides the resources necessary for our programs to perform their functions and meet their goals and objectives. Pursuant to instructions in Finance Memorandum 21-11 issued by the Department of Budget and Finance ("B&F"), notable requests in the current budget include:

- **Positions for the Professional and Vocational Licensing Division (CCA105).** The Professional and Vocational Licensing Division is severely understaffed and given the recent marked increase in license applications, can no longer process license applications in a timely manner without additional personnel. The timely processing of professional and vocational licenses is a key component in effective state oversight of many professions and in the provision of critical services to Hawaii's consumers. Request #1 seeks one exempt position, personal services funding and fringe for Act 119 SLH 2021 for the licensing and regulation of EMT1 licenses. Act 119 authorized only a half position, which was insufficient for purposes of the new license. Similarly, request priority #5 seeks to make permanent two temporary positions for the midwife program established in Act 32 SLH 2019. And request priority #3 seeks four positions, funding and fringe that would help right size the division's staffing.
- **Positions for the Division of Financial Institutions (CCA104).** The Division of Financial Institutions in 2021 acquired jurisdiction over small dollar lenders (also known as payday lenders) through Act 56 SLH 2021 and Non-Depository Trusts through Act 105 SLH 2021. Budget requests #2 and #4 are requests for positions, funding and fringe for these additional oversight responsibilities.
- **IT enhancements.** DCCA's IT budget requests reflect its continued commitment to enhancing the DCCA customer experience by (1) replacing the Insurance Division's existing Insurance Fraud investigations legacy system with a cloud-based system; (2) establishing a hybrid data center (on premises and cloud) and subscription for cloud services; and (3) acquiring user licenses for a cloud-based

case management system for the Office of Administrative Hearings.

Additional Information

Table 1: Department Functions

The Prioritized List of Functions encompasses all of the major functions and associated activities performed by the Department's programs.

Table 2: Department-Wide Totals

The Department's FY22 operating budget is \$93,821,564 (\$90,365,637 in special funds; \$3,455,927 in trust funds). The Department's proposed FY23 operating budget is \$92,836,642 (\$89,455,835 in special funds; \$3,380,807 in trust funds).

Table 3: Program ID Totals

The Department's total appropriated amount for FY22 is \$93,821,564 (\$90,365,637 in special funds; \$3,455,927 in trust funds). The FY23 amount proposed is \$92,836,642 (\$89,455,835 in special funds; \$3,380,807 in trust funds), an increase of 2.02% as compared to the FY23 appropriation.

Table 4: Budget Decisions

The Department's requests, B&F's recommendations, and the Governor's decisions are listed.

Table 5: Proposed Budget Reductions

None.

Table 6: Proposed Budget Additions

1. Special Funds

- CCA-105: Add 1 Permanent Position and \$61,863 for the Professional & Vocational Licensing Division for EMT licensing.
- CCA-104: Add 2 Permanent Positions and \$243,888 for the Division of Financial Institutions for Small Dollar Lending.
- CCA-105: Add 4 Permanent Positions and \$242,881 for the Professional & Vocational Licensing Division for Operational Efficiency.
- CCA-104: Add 1 Permanent Position and \$121,944 for the Division of Financial Institutions for Non-Depository Trusts.
- CCA-105: Make permanent 2 temporary positions and provide \$156,757 for the Professional & Vocational Licensing Division for Midwives Program.
- CCA-110: Add \$50,000 for the Office of Consumer Protection for central services assessment.

- CCA-106: Add \$61,492 for the Insurance Division for a position re-description.
- CCA-191: Add \$10,000 for General Support for software costs.
- CCA-106: Add \$450,000 for the Insurance Division for an IT project.
- CCA-191: Add \$85,000 for General Support for data maintenance.
- CCA-191: Add \$195,922 for General Support for fringe benefits.
- CCA-104: Add \$100,738 for the Division of Financial Institutions for fringe benefits.
- CA-107: Add 2,771 for Hawaii Post-Secondary Education Authorization Program for fringe benefits.
- CCA-112: Add \$89,976 for the Regulated Industries Complaints Office for fringe benefits.
- CCA-191: Add \$16,998 for General Support (Hearings) for fringe benefits.
- CCA-105: Trade in one permanent position and add \$85,460 for the Professional & Vocational Licensing Division for a Program Analyst.

2. Trust Funds

- CCA-105: Add \$7,547 for the Professional & Vocational Licensing Division for fringe benefits.
- CCA-105: Add \$2,793 for the Professional & Vocational Licensing Division for fringe benefits.

Table 7: Restrictions

None.

Table 8: Emergency Appropriation Requests

None.

Table 9: Expenditures Exceeding Appropriation Ceilings

None.

Table 10: Intradepartmental Transfer of Funds

None.

Table 11: Vacancy Summary

Vacancy summaries on November 30, 2021 are listed by Priority to Retain order.

Table 12: Positions Authorized/Established by Other Acts

None.

Table 13: Overtime Expenditures

The percent of overtime expenditures incurred in FY21 was 0.4% of base salary for special funds, and 0.0% for trust funds; FY22 estimated overtime expenditures are 0.8% for special funds and 2.9% for trust funds; budgeted amounts for FY23 are 0.8% and 2.9% of base salary for special funds and trust funds, respectively.

Table 14: Contract Costs

Listing of active contracts by Program ID and MOF is provided.

Table 15: Capital Improvement Program ("CIP") Requests

None.

Table 16: CIP Lapses

None.

Table 17: Program ID Sub-Organizations

Sub-Organizations are listed by Program ID.

Table 18: Organizational Changes and Charts

The Department's organizational chart for the current year (FY21) is attached. All changes that were made are indicated.

<http://cca.hawaii.gov/wp-content/uploads/2022/01/CCA-6-30-21.pdf>

Table 19: ARPA Initiatives

None.

Attached to this briefing is information presented in the tables in your prescribed format. My program administrators and I are available to answer any questions you and your committee members may have concerning our programs and the materials submitted for this presentation.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide	Statutory Reference
				Priority	
Office of Consumer Protection	Maintain Complaint Database; Investigate Complaints; Prosecute Legal Actions; Legislation; Consumer Education; Landlord-Tenant Information Center.	<ul style="list-style-type: none"> Investigation of Complaints. Receive all complaints on a statewide basis: walk-ins, mail and telephone; evaluate complaints in relation to investigation threshold criteria; for cases meeting threshold requirements, determine all facts of complaint; determine from facts whether or not there is a violation of any consumer protection law, refer cases which, on their face, fall within the jurisdiction of another agency, and inform complainant of findings of fact and course of action to be taken, if any, by this office or another governmental agency; coordinate fact finding functions with other governmental agencies; monitor advertisements; subpoena witnesses for investigation of facts. 	CCA 110	1	Chapters 209, 290, 436M, 437, 437D, 446, 446E, 457G, 476, 477, 477E, 480, 480D, 480E, 480F, 481A, 481B, 481C, 481D, 481F, 481H, 481K, 481L, 481M, 481P, 486N, 487, 487A, 487J, 487N, 487R, 506, and 521, HRS.
		<ul style="list-style-type: none"> Prosecution. Determine adequacy of evidence to prove violation prior to filing a complaint in court; negotiate Assurance of Voluntary Compliance or Consent Judgment prior to the filing of a complaint in court; take court action against entities that violate consumer protection law; obtain injunction, civil penalty and restitution for consumers in court actions and settlement of cases. 			
		<ul style="list-style-type: none"> Landlord-Tenant Cases. Provide Landlord-Tenant code information to callers. 			
		<ul style="list-style-type: none"> Legislation. Promulgate rules and regulations to protect consumers; draft consumer protection legislation for the Department; recommend consumer protection legislation to the Legislature; and offer testimony on other consumer protection legislation. 			
Professional & Vocational Licensing	Receive and review applications for professional and vocational licenses, condominium, real estate subdivision and time share projects; prepare & analyze legislative proposals to amend professional and vocational licensing laws; and update and evaluate examinations.	<ul style="list-style-type: none"> License and regulate 52 different professions and vocations. Provide administrative support to 25 regulatory licensing boards and, on behalf of the director of the department, administer 27 regulatory licensing programs. The trust funds are dedicated to specific statutory purposes to educate the licensees who are the contributors to the fund, serve as a source of monetary recovery for injured consumers, or support increased government service demands by licensees. One fund is federally mandated to collect fees on its behalf, with revenues going to the federal agency. 	CCA 105	2	Chapters 373, 436B, 436E, 436H, 437, 437B, 438, 439, 440, 440E, 441, 442, 443B, 444, 447, 448, 448E, 448F, 448H, 451A, 451D, 451J, 452, 453, 453D, 455, 457, 457A, 457B, 457G, 457J, 458, 459, 460, 460J, 461, 461J, 462A, 463, 463E, 464, 465, 465D, 466, 466D, 466K, 466L, 467, 467E, 468E, 468L, 468M, 471, 472, 481E, 484, 514A, 514B and 514E, HRS.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide	Statutory Reference
				Priority	
Regulated Industries Complaints Office	Investigation and prosecution of possible (professional and vocational) licensing law violations.	<ul style="list-style-type: none"> Receives complaints, conducts investigations, and prosecutes cases alleging violations of Hawaii's professional and vocational licensing laws. Also investigates and prosecutes unlicensed activity cases. 	CCA 112	3	Section 26-9(h), HRS.
		<ul style="list-style-type: none"> Provides educational information to consumers and industry members; provides real time liceninsing, business registration, and complaint history information to the public. 			
		<ul style="list-style-type: none"> Administers the State Certified Arbitration Program (lemon law program). 			
Business Registration & Securities Regulation	Ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other business registration filings, and provide public access to this information; ensure compliance with and enforcement of securities and franchise laws; educate the public regarding aspects of investment fraud, precautions and where victims can get help, as part of securities enforcement and compliance efforts; and run business centers that offer personalized assistance to small and start-up businesses.	<ul style="list-style-type: none"> Corporations, partnerships, trade names, limited liability companies, etc. Determine that all documents are filed in compliance with applicable statutory requirements. Assist the public in accessing information regarding documents that have been successfully filed with the Division, including implementing online access to public registration services and public documents. Provide personalized assistance to businesses registering with the Division. 	CCA 111	4	Chapters 26-9(g), 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 425R, 428, 474, 482, 482E, 482P, 485A, and 519, HRS.
		<ul style="list-style-type: none"> Registration of securities and franchises. Examine applications for registration of securities and franchises to determine that security or franchise offerings comply with statutory requirements; register securities, sales agents, broker-dealers, investment advisers, investment adviser representatives and franchises; examine financial reports and records of broker-dealers and investment companies; conduct investigations of reported fraud and other securities or franchise law violations and prosecute violations; and conduct investor education outreach to communities throughout Hawaii. 			
Financial Services Regulation	Supervise and regulate, and examine financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, mortgage loan originator companies, and installment lenders.	<ul style="list-style-type: none"> Charter, license, examine and supervise state-chartered and licensed banks, trust companies, savings banks, savings and loan associations, financial services loan companies, credit unions, and licensed escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, mortgage loan originator companies, and installment lenders. 	CCA 104	5	Chapters 412, 449, 454F, 454M, 489D, HRS, and Act 56, 2021 Hawaii State Legislature.
Insurance Regulatory Services	Regulatory oversight of the insurance industry.	<ul style="list-style-type: none"> Examine insurers, health maintenance organizations, rating organizations, mutual and fraternal benefit societies, advisory organizations, agencies, independent claims organizations, guaranty associations. 	CCA 106	6	Chapter 287, 386, 386A, 392, 393, 431, 431E, 431K, 431L, 431M, 431N, 431P, 432, 432C, 432D, 432E, 435C, 435E, 448D, 481R, 481X and 488, HRS.
		<ul style="list-style-type: none"> Investigate complaints of alleged violations of insurance statutes and rules and other consumer requests for assistance. 			
		<ul style="list-style-type: none"> Conduct qualification examinations and license insurers, health maintenance organizations and all categories of producers to transact insurance. 			
		<ul style="list-style-type: none"> Approve/disapprove policies and rate filings. 			

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide Priority	Statutory Reference
		<ul style="list-style-type: none"> • License, examine and regulate captive insurance companies and develop the captive industry in Hawaii. • Review insurance entities' financial, operating and tax statements. • Regulatory surveillance for market conduct and financial condition of all insurers, health maintenance organizations, and mutual and fraternal benefit societies for compliance with insurance laws. • Investigate of violations and enforce motor vehicle claims fraud law. • Administer the Hawaii Joint Underwriting Plan, including the development and promulgation of motor vehicle insurance rates. • Implement Federal Health Care reforms relating to Health Care Exchanges and Premium Rate Review. 			
Public Utilities Commission (transferred to DCCA from B&F as an attached agency pursuant to	Ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.	<ul style="list-style-type: none"> • Establish rules and regulations pertaining to service standards. • Render decisions on rates, fares, and charges. • Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies. • Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction. • Maintain the fiscal integrity of the Public Utilities Commission Special Fund. 	CCA 901	7	HRS Chapter 269-6, 269-7, 269-7.5, 269-16, 269-16.9, 269-33
Consumer Advocate for Comm, Util & Tran Svcs	Ensure the provision of reliable regulated service at reasonable cost to ratepayers, and educate consumers regarding aspects of public utility regulation.	<ul style="list-style-type: none"> • Advocate positions on behalf of consumers of utility and transportation services before the State of Hawaii Public Utilities Commission ("PUC"), the Federal Communications Commission ("FCC"), and other federal, state and local regulatory agencies. Positions are developed and advocated concerning: (a) Applications for authority to offer new services; (b) Rate and fare proposals; (c) Revenue and earnings requirements of regulated utilities; (d) Financing of equipment, plant, and other facilities, (e) Review of capital improvement projects; (f) Transfers, extensions, and cancellations of permits, franchises, and certificates; (g) Regulations governing regulated industries; (h) Formulation of policies and long range planning for telecommunications and energy utilities; (i) service quality; and (j) agreements and contracts between a regulated company and suppliers and/or other parties. 	CCA 103	8	Sections 269-51 through 269-55, HRS.
		<ul style="list-style-type: none"> • Compliance investigations are conducted regarding the adequacy and efficiency of utility services. 			

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide Priority	Statutory Reference
		<ul style="list-style-type: none"> • Education of consumers relating to utility regulation and consumer rights through web site, newsletters, sponsored events, and attendance at trade-shows, fairs, business and community meetings, etc. 			
		<ul style="list-style-type: none"> • Equitably advance and advocate clean energy and broadband initiative goals. 			
General Support	Administration of the Department; conducts administrative hearings for the Department, administers the Medical Inquiry and Conciliation Panel, and the Design Claims Conciliation Panel.	<ul style="list-style-type: none"> • Provides: (1) general policy and administrative leadership, supervision and coordination of the various programs of the Department; (2) assistance in the direction and supervision of operating divisions; and (3) centralized personnel management and organizational analysis support services to operating divisions. 	CCA 191	9	Section 26-9, HRS.
		<ul style="list-style-type: none"> • Provides: (1) centralized budgeting and accounting services; (2) centralized clerical services; (3) building management; and (4) program review, contracting and other administrative support services. 			
		<ul style="list-style-type: none"> • Conducts contested case hearings for all divisions within DCCA that are required by law to provide contested case hearings under the provisions of HRS Chapter 91. 			
		<ul style="list-style-type: none"> • Conducts contested case hearings under Chapter 103D, HRS (procurement law). 			
		<ul style="list-style-type: none"> • Convenes panels of qualified attorneys and medical professionals to review inquiries regarding health care practitioners under the provisions of HRS Chapter 671. 			
		<ul style="list-style-type: none"> • Convenes panels of qualified attorneys and design professionals to review claims against design professionals under the provisions of HRS Chapter 672B. 			
		<ul style="list-style-type: none"> • Conducts contested case hearings for the Employees' Retirement System of the State of Hawaii regarding disputes concerning benefits under the provisions of HAR Title 6, Chapters 20 and 23. 			
		<ul style="list-style-type: none"> • Provides all divisions of DCCA with: (1) information technology hardware, software and custom programming support; (2) DCCA website support, (3) telephone, and other communication services support. Coordination of DCCA's IT roadmap with ETS and State's plan. 			
Cable Television	Compliance with Hawaii's cable television law and rules.	<ul style="list-style-type: none"> • Ensure quality service for cable television subscribers by issuing new franchises, franchise renewals and transfers of franchises. 	CCA 102	10	Chapter 440G, HRS.
		<ul style="list-style-type: none"> • Administer and ensure enhancement of the Institutional Network (INET) 			
		<ul style="list-style-type: none"> • Identify new and improved services, future community needs and interests and enhancing technologies.(i.e., DBS, broadband, etc) 			

Department of Commerce and Consumer Affairs
 Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide Priority	Statutory Reference
		<ul style="list-style-type: none"> Oversee technical inspections and establish reporting requirements. 			
		<ul style="list-style-type: none"> Designate public, educational, and governmental (PEG) organizations to provide PEG services and enter into contracts for such services; and provide support for PEG access and PEG services. 			
		<ul style="list-style-type: none"> Implement activities to promote ubiquitous access to high speed broadband at affordable prices throughout the State. 			
		<ul style="list-style-type: none"> Develop plans and recommend legislation to expand and accelerate deployment of broadband infrastructure; support public-private efforts to develop broadband infrastructure; work with government agencies, broadband providers, and other stakeholders to advance the provision of broadband services to un-served and under-served areas in the State. 			
Hawaii Post-Secondary Education Authorization Program	Authorization of accredited, degree-granting, post-secondary educational institutions in Hawaii and review and processing of student complaints against authorized educational institutions.	<ul style="list-style-type: none"> Review applications from post-secondary educational institutions, issue authorizations and subsequent reauthorizations on a biennial basis. 	CCA 107	11	Chapter 305J, HRS.
		<ul style="list-style-type: none"> Provide information to post-secondary educational institutions seeking authorization or clarification of the law and provide information to students regarding the complaints process. 			
		<ul style="list-style-type: none"> Review complaints, investigate and initiate enforcement action as appropriate. 			

Department of Commerce and Consumer Affairs
Department-Wide Totals

Table 2

Fiscal Year 2022					
Budget Acts Appropriation	Reductions	Additions	Emergency Appropriations	Total FY22	MOF
\$ 90,365,637.00				\$ 90,365,637.00	B
\$ 3,455,927.00				\$ 3,455,927.00	T
				\$ -	
				\$ -	
				\$ -	
				\$ -	
\$ 93,821,564.00	\$ -	\$ -	\$ -	\$ 93,821,564.00	Total
Fiscal Year 2023					
Budget Acts Appropriation	Reductions	Additions		Total FY23	MOF
\$ 87,541,637.00		\$ 1,914,198.00		\$ 89,455,835.00	B
\$ 3,455,927.00		\$ (75,120.00)		\$ 3,380,807.00	T
				\$ -	
				\$ -	
				\$ -	
				\$ -	
\$ 90,997,564.00	\$ -	\$ 1,839,078.00	\$ -	\$ 92,836,642.00	Total

Department of Commerce and Consumer Affairs
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted (FY22)			As budgeted (FY23)			Governor's Submittal (FY22)				Governor's Submittal (FY23)			
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$
CCA102	Cable Television	B	7.00		\$ 2,566,872	7.00		\$ 2,566,872	7.00		\$ 2,566,872	0	7.00		\$ 2,566,872	0.00%
CCA103	Consumer Advocate for Comm, Util, & Tran Svc	B	25.00		\$ 4,604,311	25.00		\$ 4,604,311	25.00		\$ 4,604,311	0	25.00		\$ 4,604,311	0.00%
CCA104	Financial Services Regulation	B	40.00		\$ 5,336,797	40.00		\$ 5,336,797	40.00		\$ 5,336,797	0	43.00		\$ 5,803,367	8.74%
CCA104	Financial Services Regulation	T			\$ 301,000			\$ 301,000			\$ 301,000	0			\$ 301,000	0.00%
CCA105	Professional & Vocational Licensing	B	63.00	11.00	\$ 8,117,600	63.00	11.00	\$ 8,117,600	63.00	11.00	\$ 8,117,600	0	71.00	11.00	\$ 8,664,561	6.74%
CCA105	Professional & Vocational Licensing	T	8.00	5.00	\$ 2,853,246	8.00	5.00	\$ 2,853,246	8.00	5.00	\$ 2,853,246	0	8.00	4.00	\$ 2,778,126	-2.63%
CCA106	Insurance Regulatory Services	B	95.00		\$ 19,707,597	95.00		\$ 19,707,597	95.00		\$ 19,707,597	0	95.00		\$ 20,157,597	2.28%
CCA106	Insurance Regulatory Services	T			\$ 201,000			\$ 201,000			\$ 201,000	0			\$ 201,000	0.00%
CCA 107	Hawaii Post-Secondary Education Authorization Program	B	2.00		\$ 306,104	2.00		\$ 306,104	2.00		\$ 306,104	0	2.00		\$ 308,875	0.91%
CCA 110	Office of Consumer Protection	B	19.00	1.00	\$ 2,893,211	19.00	1.00	\$ 2,893,211	19.00	1.00	\$ 2,893,211	0	19.00	1.00	\$ 2,943,211	1.73%
CCA 110	Office of Consumer Protection	T			\$ 100,681			\$ 100,681			\$ 100,681	0			\$ 100,681	0.00%
CCA 111	Business Registration and Securities Regulation	B	79.00		\$ 12,221,068	79.00		\$ 9,221,068	79.00		\$ 12,221,068	0	79.00		\$ 9,221,068	0.00%
CCA 112	Regulated Industries Complaints Office	B	66.00	1.00	\$ 7,741,061	66.00	1.00	\$ 7,741,061	66.00	1.00	\$ 7,741,061	0	66.00	1.00	\$ 7,831,037	1.16%
CCA 191	General Support	B	51.00	1.00	\$ 9,952,858	51.00	1.00	\$ 10,128,858	51.00	1.00	\$ 9,952,858	0	51.00	1.00	\$ 10,436,778	3.04%
CCA 901	Public Utilities Commission (PUC)	B	67.00		\$ 16,918,158	67.00		\$ 16,918,158	67.00		\$ 16,918,158	0	67.00		\$ 16,918,158	0.00%
	Special Fund Total	B	514.00	14.00	\$ 90,365,637	514.00	14.00	\$ 87,541,637	514.00	14.00	\$ 90,365,637	0	525.00	14.00	\$ 89,455,835	2.19%
	Trust Fund Total	T	8.00	5.00	\$ 3,455,927	8.00	5.00	\$ 3,455,927	8.00	5.00	\$ 3,455,927	0	8.00	4.00	\$ 3,380,807	-2.17%
	TOTAL		522.00	19.00	\$ 93,821,564	522.00	19.00	\$ 90,997,564	522.00	19.00	\$ 93,821,564	0	533.00	18.00	\$ 92,836,642	2.02%

Department of Commerce and Consumer Affairs
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Requests						Budget and Finance Recommendations						Governor's Decision					
				FY22			FY23			FY22			FY23			FY22			FY23		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA 105	GA	Trade out from Real Estate Branch	T				(1.00)	\$ (85,460)				-		\$ -				-		\$ -	
CCA 105	GA	Trade in from Real Estate Branch	B				1.00	\$ 85,460						\$ -						\$ -	
CCA 105	GA	Add 1 position and funds	B				1.00	\$ 61,863				-		\$ -				1.00		\$ 61,863	
CCA 104	BA	Add 2 positions and funds	B				2.00	\$ 243,888				2.00		\$ 122,145				2.00		\$ 243,888	
CCA 105	GA	Add 4 positions and funds	B				4.00	\$ 242,881				2.00		\$ 59,578				4.00		\$ 242,881	
CCA 104	BA	Add 1 position and funds	B				1.00	\$ 121,944				1.00		\$ 61,073				1.00		\$ 121,944	
CCA 105	GA	Add 2 positions and funds	B				2.00	\$ 156,757				1.00		\$ 89,856				2.00		\$ 156,757	
CCA 110	DA	Add funds for Central Services Assessment	B					\$ 50,000						\$ 50,000						\$ 50,000	
CCA 106	EA	Add funds for Deputy Health Insurance Commissioner	B					\$ 61,492						\$ -						\$ -	
CCA 191	AH	Add funds for Subscription costs	B					\$ 10,000						\$ 10,000						\$ 10,000	
CCA 106	EA	Add funds for Fraud IT system	B					\$ 450,000						\$ 450,000						\$ 450,000	
CCA 191	AI	Add funds for cloud services subscription and maintenance	B					\$ 85,000						\$ 85,000						\$ 85,000	
CCA 191	AA	Add funds for fringe benefits	B					\$ 195,922						\$ 155,234						\$ 195,922	
CCA 104	BA	Add funds for fringe benefits	B					\$ 100,738						\$ 65,748						\$ 100,738	
CCA 105	GA	Add funds for fringe benefits	T					\$ 7,547						\$ -						\$ 7,547	
CCA 105	GA	Add funds for fringe benefits	T					\$ 2,793						\$ 332						\$ 2,793	
CCA 107	IA	Add funds for fringe benefits	B					\$ 2,771						\$ 1,286						\$ 2,771	
CCA 112	AB	Add funds for fringe benefits	B					\$ 89,976						\$ 76,126						\$ 89,976	
CCA 191	AH	Add funds for fringe benefits	B					\$ 16,998						\$ 10,720						\$ 16,998	
CCA 105	GA	Trade in from Real Estate Branch	B											\$ -				1.00		\$ 85,460	
CCA 105	GA	Trade out from Real Estate Branch	T										(1.00)	\$ (85,460)					(1.00)	\$ (85,460)	

Department of Commerce and Consumer Affairs
Proposed Budget Reductions

Table 5

Prog ID	Sub-Org	Description of Reduction	Impact of Reduction	MOF	FY22			FY23			FY21 Restriction (Y/N)
					Pos (P)	Pos (T)	\$\$\$\$	Pos (P)	Pos (T)	\$\$\$\$	
		NONE									

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	FY22			FY23		
								Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA 105	GA	NG	4	1	Add 1 position and funds	Resources needed for EMT licensing	B				1.00		\$ 61,863
CCA 104	BA	NG	1	2	Add 2 positions and funds	Resources needed for Small Dollar Lending	B				2.00		\$ 243,888
CCA 105	GA	NG	2	3	Add 4 positions and funds	Resources needed for increased workload and to implement additional regulatory programs	B				4.00		\$ 242,881
CCA 104	BA	NG	2	4	Add 1 position and funds	Resources needed for Non-Depository Trusts	B				1.00		\$ 121,944
CCA 105	GA	NG	3	5	Add 2 positions and funds	Resources needed for Midwives Program	B				2.00		\$ 156,757
CCA 110	DA	NG	1	6	Add funds for Central Services Assessment	Resources needed for CSA expenses	B						\$ 50,000
CCA 106	EA	NG	2	7	Add funds for Deputy Health Insurance Commissioner	Resources needed for position redescription	B						\$ 61,492
CCA 191	AH	NG	1	8	Add funds for Subscription costs	Resources needed for software costs	B						\$ 10,000
CCA 106	EA	NG	1	9	Add funds for Fraud IT system	Resources needed for IT system for Fraud Branch	B						\$ 450,000
CCA 191	AI	NG	1	10	Add funds for cloud services subscription and maintenance	Resources needed for data maintenance	B						\$ 85,000
CCA 191	AA	NG	1	11	Add funds for fringe benefits	Resources needed for increased fringe costs	B						\$ 195,922
CCA 104	BA	NG	3	12	Add funds for fringe benefits	Resources needed for increased fringe costs	B						\$ 100,738
CCA 105	GA	NG	5	13	Add funds for fringe benefits	Resources needed for increased fringe costs	T						\$ 7,547
CCA 105	GA	NG	6	14	Add funds for fringe benefits	Resources needed for increased fringe costs	T						\$ 2,793
CCA 107	IA	NG	1	15	Add funds for fringe benefits	Resources needed for increased fringe costs	B						\$ 2,771
CCA 112	AB	NG	1	16	Add funds for fringe benefits	Resources needed for increased fringe costs	B						\$ 89,976
CCA 191	AH	NG	2	17	Add funds for fringe benefits	Resources needed for increased fringe costs	B						\$ 16,998
CCA 105	GA	NG	1		Trade in from Real Estate Branch	Resources needed for increased workload and to implement additional regulatory programs	B				1.00		\$ 85,460
CCA 105	GA	NG	1		Trade out from Real Estate Branch	Resources needed for increased workload and to implement additional regulatory programs	T					(1.00)	\$ (85,460)

Department of Commerce and Consumer Affairs
 FB 2020 - 2022 Restrictions

Table 7

<u>Fiscal Year</u>	<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by Dept</u>	<u>Restriction</u>	<u>Difference Between Budgeted & Restricted</u>	<u>Percent Difference</u>	<u>Impact</u>
					NONE			

Department of Commerce and Consumer Affairs
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
	NONE					

Department of Commerce and Consumer Affairs
Expenditures Exceeding Appropriation Ceilings in FY21 and FY22

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
		NONE							

Department of Commerce and Consumer Affairs
 Intradepartmental Transfers in FY21 and FY22

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
NONE										

Department of Commerce and Consumer Affairs
Vacancy Report as of November 30, 2021

Table 11

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
CCA 105	GA	11/20/21	01/04/22	24956	SECRETARY II	N	14	03	P	1.00	B	\$ 41,100.00	\$ 41,100.00	Y	N	--		1
CCA 191	AI	09/08/21	03/31/22	119346	ISCO DATA PROC SYSTEMS ANALYST IV	Y	NA	13	P	1.00	B	\$ 75,432.00	\$ 78,612.00	Y	N	--		2
CCA 191	AA	-	03/31/22	124104	HUMAN RESOURCES ASSISTANT V	N	13	63	P	1.00	B	\$ 34,020.00	--	Y	N	--		3
CCA 104	BA	07/16/21	01/30/22	4295	FINANCIAL INST EXAMINER IV	N	27	23	P	1.00	B	\$ 81,588.00	\$ 88,464.00	Y	N	--		4
CCA 110	DA	08/13/21	02/01/22	25073	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 59,616.00	\$ 67,200.00	Y	N	--		5
CCA 111	CA	03/01/19	02/15/22	33023	SECURITIES COMPLIANCE SUPERVISOR	N	26	23	P	1.00	B	\$ 64,476.00	\$ 95,436.00	Y	N	--		6
CCA 103	HA	10/14/20	03/01/22	5664	LEGAL CLERK	N	14	03	P	1.00	B	\$ 46,476.00	\$ 39,720.00	Y	N	--		7
CCA 191	AH	03/01/21	06/30/22	119020	LEGAL CLERK	N	14	03	P	1.00	B	\$ 38,220.00	\$ 41,100.00	Y	Y	4		8
CCA 106	EA	11/27/21	03/01/22	110690	INS LEGAL ASSISTANT II	Y	NA	13	P	1.00	B	\$ 49,944.00	\$ 49,944.00	Y	N	--		9
CCA 901	MA	09/01/21	03/01/22	44862	PUC DISTRICT REPRESENTATIVE	N	22	13	P	1.00	B	\$ 64,476.00	\$ 69,876.00	Y	Y	1		10
CCA 105	GA	01/04/21	03/01/22	3769	SUPVG REGULATORY BDS/COMMS ADM ASS	N	28	23	P	1.00	B	\$ 88,248.00	\$ 91,968.00	Y	N	--		11
CCA 104	BA	10/20/21	01/30/22	121948	CRF FINANCIAL INST EXAMINER I	Y	NA	13	P	1.00	B	\$ 57,420.00	\$ 57,420.00	Y	N	--		12
CCA 112	AB	07/16/18	03/01/22	120232	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 52,956.00	\$ 52,956.00	Y	N	--		13
CCA 191	AI	10/02/21	03/31/22	120561	INFORMATION TECHNOLOGY BAND A	N	20	13	P	1.00	B	\$ 72,528.00	\$ 59,748.00	Y	N	--		14
CCA 111	CA	05/01/21	04/01/22	102532	CRF SUPERVISING ATTORNEY	Y	NA	93	P	1.00	B	\$ 95,724.00	\$ 95,724.00	Y	N	--		15
CCA 103	HA	08/02/21	03/01/22	15009	ENGINEER (PU) III	N	22	13	P	1.00	B	\$ 62,004.00	\$ 67,200.00	Y	N	--		16
CCA 901	MA	10/16/21	06/30/22	42690	PUC COMPLIANCE ATTORNEY	Y	NA	73	P	1.00	B	\$ 79,800.00	\$ 52,956.00	Y	N	--		17
CCA 106	EA	07/23/21	03/01/22	110705	IRF PROGRAM ANALYST	Y	NA	13	P	1.00	B	\$ 88,428.00	\$ 61,224.00	Y	N	--		18
CCA 105	GA	06/16/21	03/01/22	33	SECRETARY II	N	14	03	P	1.00	B	\$ 38,220.00	\$ 41,100.00	Y	N	--		19
CCA 112	AB	10/01/19	03/01/22	118045	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 52,956.00	\$ 54,096.00	Y	N	--		20
CCA 106	EA	01/01/20	02/01/22	102731	CRF INSURANCE EXAMINATION MANAGER	Y	NA	23	P	1.00	B	\$ 99,264.00	\$ 99,264.00	Y	N	--		21
CCA 111	CA	04/01/21	02/01/22	26199	CLERICAL SUPERVISOR II	N	12	04	P	1.00	B	\$ 44,724.00	\$ 48,060.00	Y	N	--		22
CCA 103	HA	08/03/21	01/04/22	102758	DCA RESEARCH ANALYST V	Y	NA	13	P	1.00	B	\$ 70,188.00	\$ 73,152.00	Y	N	--		23
CCA 901	MA	04/14/20	06/30/22	120736	PUC ATTORNEY I	Y	NA	73	P	1.00	B	\$ 68,040.00	\$ 68,040.00	Y	N	--		24
CCA 105	GA	10/30/21	03/01/22	119157	SECRETARY II	N	14	03	P	1.00	B	\$ 41,100.00	\$ 41,100.00	Y	N	--		25
CCA 103	HA	--	03/01/22	123245	DCA PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	\$ 80,000.00	--	Y	N	--		26
CCA 901	MA	12/03/20	03/01/22	46166	ACCOUNTANT III	N	24	13	P	1.00	B	\$ 84,876.00	\$ 88,464.00	Y	N	--		27
CCA 112	AB	12/31/20	03/01/22	12734	INVESTIGATOR V	N	24	13	P	1.00	B	\$ 84,876.00	\$ 91,968.00	Y	N	--		28
CCA 106	EA	11/22/21	03/01/22	101970	IRF STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 81,588.00	\$ 83,220.00	Y	N	--		29
CCA 111	CA	10/01/21	02/15/22	102780	CRF STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 88,200.00	\$ 88,200.00	Y	N	--		30
CCA 105	GA	06/16/21	03/01/22	123232	SECRETARY II	N	14	03	T	1.00	B	\$ 61,152.00	\$ 41,100.00	Y	N	--		31
CCA 106	EA	11/19/19	03/01/22	120976	CAPTIVE INSURANCE EXAMINER III	Y	NA	13	P	1.00	B	\$ 64,476.00	\$ 64,476.00	Y	N	--		32
CCA 901	MA	08/01/19	06/30/22	34164	ENGINEER V	N	24	13	P	1.00	B	\$ 75,432.00	\$ 75,432.00	Y	N	--		33
CCA 103	HA	--	02/01/22	123136	DCA ADMINISTRATIVE SPECIALIST	Y	NA	13	P	1.00	B	\$ 45,000.00	--	Y	N	--		34
CCA 111	CA	02/01/21	04/01/22	54544	SECURITIES EXAMINER IV	N	22	13	P	1.00	B	\$ 67,044.00	\$ 69,876.00	Y	N	--		35
CCA 112	AB	-	03/01/22	124140	RI PROGRAM SPECIALIST III	Y	NA	13	P	1.00	B	\$ 30,240.00	--	Y	N	--		36
CCA 105	GA	08/24/21	Subject to hiring freeze	120700	OFFICE ASSISTANT V	N	12	03	T	1.00	B	\$ 35,340.00	\$ 38,004.00	Y	N	--		37
CCA 112	AB	-	03/01/22	124139	RI LEGAL ASSISTANT II	Y	NA	13	P	1.00	B	\$ 31,440.00	--	Y	N	--		38
CCA 901	MA	12/01/20	03/01/22	106174	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 48,948.00	\$ 51,024.00	Y	N	--		39
CCA 111	CA	08/01/20	03/01/22	49523	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 48,348.00	\$ 48,348.00	Y	N	--		40
CCA 106	EA	--	03/01/22	122936	INSURANCE LICENSING PROGRAM SPCLT	Y	NA	13	P	1.00	B	\$ 65,820.00	--	Y	N	--		41
CCA 112	AB	09/01/21	03/01/22	120370	INVESTIGATOR I	N	20	13	P	1.00	B	\$ 52,956.00	\$ 57,420.00	Y	N	--		42
CCA 106	EA	12/01/20	01/01/22	8692	INSURANCE RATE AND POLICY ANALYST IV	N	22	13	P	1.00	B	\$ 69,732.00	\$ 72,684.00	Y	N	--		43
CCA 111	CA	03/08/21	02/15/22	119243	LEGAL CLERK	N	14	03	P	1.00	B	\$ 52,296.00	\$ 56,316.00	Y	N	--		44
CCA 106	EA	06/19/21	03/01/22	110964	CRF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 67,044.00	\$ 69,876.00	Y	N	--		45
CCA 111	CA	03/06/21	Subject to hiring freeze	49521	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 33,120.00	Y	N	--		46
CCA 112	AB	11/23/21	03/01/22	120233	RI INVESTIGATOR IV	Y	NA	13	T	1.00	B	\$ 52,957.00	\$ 55,200.00	Y	N	--		47
CCA 111	CA	07/31/21	03/01/22	31670	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 56,532.00	\$ 42,792.00	Y	N	--		48
CCA 112	AB	09/07/21	Subject to hiring freeze	101305	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 34,020.00	\$ 36,564.00	Y	N	--		49
CCA 106	EA	07/01/21	03/01/22	120979	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 72,528.00	\$ 75,588.00	Y	N	--		50
CCA 111	CA	05/01/20	Subject to hiring freeze	38510	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 30,240.00	Y	N	--		51
CCA 112	AB	10/18/21	Subject to hiring freeze	119155	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 32,664.00	\$ 35,196.00	Y	N	--		52
CCA 106	EA	11/20/21	03/01/22	120977	CIAF INSURANCE EXAMINER I	Y	NA	13	P	1.00	B	\$ 67,200.00	\$ 67,200.00	Y	N	--		53
CCA 106	EA	12/17/19	03/01/22	116590	CIAF INSURANCE EXAMINER I	Y	NA	13	P	1.00	B	\$ 69,564.00	\$ 69,564.00	Y	N	--		54
CCA 106	EA	04/01/21	Subject to hiring freeze	119028	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 39,720.00	\$ 43,620.00	Y	N	--		55
					Postions filled or offers accepted													
CCA 105	GA	07/16/21	12/01/21	42126	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 34,020.00	\$ 36,564.00	Y	N	--		offer accepted
CCA 105	GA	07/01/21	02/01/22	122199	OFFICE ASSISTANT V	N	12	03	T	1.00	B	\$ 32,664.00	\$ 38,004.00	Y	N	--		offer accepted
CCA 105	GA	06/03/21	12/01/21	122760	PVL OFFICE ASSISTANT V	Y	NA	03	P	1.00	B	\$ 35,340.00	\$ 36,564.00	Y	N	--		offer accepted

Department of Commerce and Consumer Affairs
 Vacancy Report as of November 30, 2021

Table 11

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date of Vacancy</u>	<u>Expected Fill Date</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>Perm Temp (P/T)</u>	<u>FTE</u>	<u>MOF</u>	<u>Budgeted Amount</u>	<u>Actual Salary Last Paid</u>	<u>Authority to Hire (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>	<u># of 89 Hire Appts</u>	<u>Describe if Filled by other Means</u>	<u>Priority # to Retain</u>
CCA 106	EA	08/01/21	12/16/21	108011	IRF STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 91,080.00	\$ 91,080.00	Y	N	--		offer accepted
CCA 106	EA	07/02/19	01/01/22	110317	CRF RATE & POLICY ANALYST I	Y	NA	13	P	1.00	B	\$ 52,956.00	\$ 52,956.00	Y	N	--		offer accepted
CCA 106	EA	12/01/20	12/16/21	121444	CAPIVE INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 72,528.00	\$ 75,588.00	Y	N	--		offer accepted
CCA 110	DA	10/01/20	12/01/21	23118	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 31,440.00	\$ 31,440.00	Y	N	--		offer accepted
CCA 111	CA	04/10/21	02/01/22	32895	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 33,120.00	Y	N	--		offer accepted
CCA 112	AB	09/01/21	02/01/22	119178	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 38,220.00	\$ 41,976.00	Y	N	--		offer accepted
CCA 112	AB	06/01/21	02/01/22	8869	INVESTIGATOR V	N	24	13	P	1.00	B	\$ 62,004.00	\$ 67,200.00	Y	N	--		offer accepted
CCA 191	AA	08/18/21	01/03/22	112797	CCA ADMINISTRATIVE ASSISTANT	Y	NA	73	P	1.00	B	\$ 86,700.00	\$ 89,928.00	Y	N	--		offer accepted
CCA 901	MA	07/13/21	12/01/21	121829	ACCOUNT CLERK III	N	11	03	P	1.00	B	\$ 35,340.00	\$ 38,004.00	Y	N	--		offer accepted
CCA 901	MA	10/01/21	11/22/21	121918	PROCUREMENT MANAGER	Y	NA	13	P	1.00	B	\$ 93,240.00	\$ 93,240.00	Y	N	--		offer accepted

Positions Filled and/or Established by Acts other than the State Budget as of November 30, 2021

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
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NONE

Department of Commerce and Consumer Affairs
Overtime Expenditure Summary

Table 13

Prog ID	Sub-Org	Program Title	MOF	FY21 (actual)			FY22 (estimated)			FY23 (budgeted)		
				Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent
CCA102	FA	Cable Television	B	\$ 658,044	\$ -	0.0%	\$ 592,776	\$ 5,000	0.8%	\$ 592,776	\$ 5,000	0.8%
CCA103	HA	Consumer Advocate for Commu	B	\$ 1,776,628	\$ -	0.0%	\$ 1,834,044	\$ 25,000	1.4%	\$ 1,822,044	\$ 25,000	1.4%
CCA104	BA	Financial Services Regulation	B	\$ 2,740,164	\$ 3	0.0%	\$ 2,853,478	\$ 13,000	0.5%	\$ 2,866,656	\$ 13,000	0.5%
CCA105	GA	Professional & Vocational Licens	B	\$ 3,640,510	\$ 12,750	0.4%	\$ 3,811,150	\$ 70,101	1.8%	\$ 3,811,320	\$ 70,101	1.8%
CCA105	GA	Professional & Vocational Licens	T	\$ 713,292	\$ -	0.0%	\$ 736,235	\$ 21,360	2.9%	\$ 736,235	\$ 21,360	2.9%
CCA106	EA	Insurance Regulatory Services	B	\$ 6,647,141	\$ -	0.0%	\$ 6,796,748	\$ 21,836	0.3%	\$ 6,767,711	\$ 21,836	0.3%
CCA107	IA	Hawaii Post-Secondary Educatio	B	\$ 141,036	\$ -	0.0%	\$ 144,456	\$ -	0.0%	\$ 145,368	\$ -	0.0%
CCA110	DA	Protection of the Consumer	B	\$ 1,273,032	\$ -	0.0%	\$ 1,382,712	\$ -	0.0%	\$ 1,383,372	\$ -	0.0%
CCA111	CA	Business Registration	B	\$ 4,231,853	\$ 5,530	0.1%	\$ 4,242,357	\$ 60,000	1.4%	\$ 4,245,017	\$ 60,000	1.4%
CCA112	AB	Regulated Industries Complaints	B	\$ 4,042,706	\$ -	0.0%	\$ 4,253,857	\$ 15,000	0.4%	\$ 4,253,669	\$ 15,000	0.4%
CCA191	AA	Director's Office & Administratio	B	\$ 1,760,004	\$ 7,662	0.4%	\$ 1,887,240	\$ 20,000	1.1%	\$ 1,901,784	\$ 20,000	1.1%
CCA191	AH	General Support/Office of Admi	B	\$ 697,680	\$ -	0.0%	\$ 711,048	\$ 5,000	0.7%	\$ 711,048	\$ 5,000	0.7%
CCA191	AI	Info Systems & Communications	B	\$ 1,181,844	\$ 47,928	4.1%	\$ 1,197,612	\$ 20,000	1.7%	\$ 1,197,612	\$ 20,000	1.7%
CCA901	MA	Public Utilities Commission	B	\$ 4,593,308	\$ 56,847	1.2%	\$ 5,006,188	\$ -	0.0%	\$ 5,028,136	\$ -	0.0%
		Totals	B	\$ 33,383,950	\$ 130,720	0.4%	\$ 34,713,666	\$ 276,297	0.8%	\$ 34,726,513	\$ 276,297	0.8%
			T	\$ 713,292	\$ -	0.0%	\$ 736,235	\$ 21,360	2.9%	\$ 736,235	\$ 21,360	2.9%

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						Date Executed	From	To					
CCA102	B	21,950.00	O	120,000.00	98,050.00	07/01/13	07/01/17	06/30/18	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Invoices monitored by CATV staff.	Y	S
CCA102	B	37,100.00	O	120,000.00	82,900.00	07/01/13	06/30/18	06/30/19	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Invoices monitored by CATV staff.	Y	S
CCA102	B	7,625.00	O	120,000.00	112,375.00	07/01/13	06/30/19	06/30/20	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Invoices monitored by CATV staff.	Y	S
CCA102	B	0.00	M	250,000.00	250,000.00	06/25/18	06/25/18	06/30/23	JONES DAY	TO PROVIDE LEGAL SVCS ON BEHALF OF STATE OF HI ON CABLE, TELECOMMUNICATIONS, & BROADBAND MATTERS BEFORE THE FCC & THE FEDERAL COURTS	Invoices monitored by AG Office and CATV staff.	Y	C
CCA102	B	50,553.40	O	200,000.00	149,446.60	06/01/19	06/01/19	06/30/23	MERINA & COMPANY, LLP	TO ASSIST THE DEPT IN ITS ASSESSMENT OF THE FINANCIAL & OPERATION MAGT. POLICIES, PROCEDURES, & PRACTICES OF THE DESIGNATED PUBLIC EDUCATION.	Invoices monitored by CATV staff.	Y	S
CCA102	B	5,273.75	O	200,000.00	194,726.25	06/01/19	06/01/19	06/30/23	MERINA & COMPANY, LLP	TO ASSIST THE DEPT IN ITS ASSESSMENT OF THE FINANCIAL & OPERATION MAGT. POLICIES, PROCEDURES, & PRACTICES OF THE DESIGNATED PUBLIC EDUCATION.	Invoices monitored by CATV staff.	Y	S
CCA102	B	0.00	O	200,000.00	200,000.00	06/01/19	06/01/21	06/30/23	MERINA & COMPANY, LLP	TO ASSIST THE DEPT IN ITS ASSESSMENT OF THE FINANCIAL & OPERATION MAGT. POLICIES, PROCEDURES, & PRACTICES OF THE DESIGNATED PUBLIC EDUCATION.	Invoices monitored by CATV staff.	Y	S
CCA102 Total					1,087,497.85								

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CCA103	B	79,804.91	O	80,000.00	195.09	06/21/12	02/09/21	-	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/ THE PUBLIC UTILITIES COMMISSION (HEREAFTER "COMMISSION") TO EXAMINE & CONDUCT INTEGRATED RESOURCES PLANNING	Monthly Review	Y	S
CCA103	B	25,829.30	O	35,000.00	9,170.70	01/06/14	01/06/14	-	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	Monthly Review	Y	S
CCA103	B	0.00	O	115,000.00	115,000.00	01/06/14	01/06/14	-	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	Monthly Review	Y	S
CCA103	B	0.00	O	50,000.00	50,000.00	01/06/14	01/06/14	-	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	Monthly Review	Y	S
CCA103	B	46,852.63	O	50,000.00	3,147.37	06/27/14	06/27/14	-	UTILITECH, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	Monthly Review	Y	S
CCA103	B	77,053.86	O	77,100.00	46.14	06/30/14	01/27/17	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	Monthly Review	Y	S
CCA103	B	48,077.59	O	55,000.00	6,922.41	06/30/14	09/28/18	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	Monthly Review	Y	S
CCA103	B	123,904.18	O	260,000.00	136,095.82	06/01/15	06/01/15	-	SAWVEL AND ASSOCIATES, INC.	CONTRACTOR TO PROVIDE SVCS SUCH AS GENERAL PREP TO SERVE AS EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION IN THE COURSE OF DIV'S EVAL OF	Monthly Review	Y	S
CCA103	B	28,760.78	O	100,000.00	71,239.22	09/06/16	06/20/17	-	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HELCO INC'S APPLICATION F/APPVL OF GENRATE INCREASE AND REV RATE SCHEDULE AND RULES IN DOC NO 2015-070. THE	Monthly Review	Y	S

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CCA103	B	43,111.41	O	50,000.00	6,888.59	11/28/16	06/20/17	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO. INC'S APPLICATION F/APPVL OF GENERAL RATE INCREASE AND REVISED RATED SCHEDULES & RULE	Monthly Review	Y	S
CCA103	B	199,986.19	O	200,000.00	13.81	12/01/17	12/01/17	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN	Monthly Review	Y	S
CCA103	B	31,446.81	O	35,000.00	3,553.19	12/01/17	08/27/17	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN	Monthly Review	Y	S
CCA103	B	261,821.96	O	265,000.00	3,178.04	12/01/17	12/01/17	-	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED SCHEDULES & RULES IN DOCKET	Monthly Review	Y	S
CCA103	B	252,878.55	O	336,000.00	83,121.45	12/01/17	08/27/17	-	UTILITECH, INC.	PROV SVCS AS AN EXPERT WITNESS ON BEHALF OF DCCA IN DOCKET #2018-0088 PUC'S PROCEEDINGS TO INVESTIGATE PERFORMANCE - BASED REGULATION	Monthly Review	Y	S
CCA103	B	21,202.37	O	150,000.00	128,797.63	06/27/18	06/02/21	-	SYNAPSE ENERGY ECONOMICS, INC.	SERVE AS EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION F/RESEARCH & CONSULTING SVCS IN THE COURSE OF DCA'S EVALUATION OF ISSUES IN PROCEEDIN	Monthly Review	Y	S
CCA103	B	9,631.25	O	16,250.00	6,618.75	11/05/18	10/30/19	-	ALVAREZ AND ASSOCIATES LLC	PROV SVCS IN CONNECTION W/HAWN ELECTRIC COMPANIES TO COMMIT FUNDS IN EXCESS OF 2,5000,000 F/THE PHASE I GRID MODERNIZATION PROJ-TO DETER	Monthly Review	Y	S

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CCA103	B	0.00	O	45,000.00	45,000.00	10/30/18	06/18/21	-	ALVAREZ AND ASSOCIATES LLC	PROV SVCS IN CONNECTION W/HAWN ELECTRIC COMPANIES TO COMMIT FUNDS IN EXCESS OF 2,5000,000 F/THE PHASE I GRID MODERNIZATION PROJ-TO DETER	Monthly Review	Y	S
CCA103	B	199,467.32	O	200,000.00	532.68	01/08/19	01/08/19	-	SAWVEL AND ASSOCIATES, INC.	PROV SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO INC'S APPLICATION F/A GENERAL RATE CASE & REVISED RATE SCHEDULES & RULES DOCKET#2018-0368	Monthly Review	Y	S
CCA103	B	61,957.95	O	80,000.00	18,042.05	01/08/19	09/25/19	-	UTILITECH, INC.	PROV SVCS IN CONNECTION S/HAWAII ELECTRIC LIGHT CO.,INC'S APPLICATION F/ GENERAL RATE CASE & REVISED RATE SCHEDULE & RULES - DOCKET#2018-0368	Monthly Review	Y	S
CCA103	B	374.02	O	18,000.00	17,625.98	01/23/19	06/24/19	-	SAWVEL AND ASSOCIATES, INC.	PROV SVCS IN CONNECTION W/PUC INSTITUTING A PROCEEDING TO INVESTIGATE ESTABLISHMENT OF A MICROGRID SVCS TARIFF. DOCKET #2018-0163	Monthly Review	Y	S
CCA103	B	43,516.19	O	75,000.00	31,483.81	02/26/19	02/26/19	-	LARKIN, HUGH JR & SCHULTZ,	PROV SVCS IN CONNECTION W/THE KONA WATER SVC CO INC'S APPLICATION F/A GENERAL RATE CASE & F/APPVL OF REVISION TO ITS TARIFF - DOC #2018-0388	Monthly Review	Y	S
CCA103	B	1,052.34	O	6,000.00	4,947.66	03/20/19	11/01/19	-	HABR ECONOMICS	PROV SVCS W/THE HELCO INC APPLICATION F/APPVL OF RATE INCREASES & RATE SCHEDULES & RULES - DOCKET #2018-0368	Monthly Review	Y	S
CCA103	B	11,724.05	O	25,000.00	13,275.95	05/01/19	08/12/21	-	UTILITECH, INC.	PROV SVCS IN CONNECTION W/THE YOUNG BROS LTD APPLICATION F/A GENERAL RATE INCREASE & CERTAIN TARIFF CHANGES. DOCKET #2019-0066	Monthly Review	Y	S
CCA103	B	9,900.00	O	25,000.00	15,100.00	06/19/19	06/19/19	-	PCMG AND ASSOCIATES, LLC	PROV SVCS IN CONNECTION W/KALALELO WATER CO LLC APPLICATION F/APPVL OF RATE INCREASES & REVISED RATE SCHEDULES & RULES - DOC #2019-0057	Monthly Review	Y	S

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CCA103	B	167,991.87	O	205,000.00	37,008.13	10/08/19	10/08/19	-	SAWVEL AND ASSOCIATES, INC.	PROV SVCS W/HAWAIIAN ELECTRIC CO INC'S APPLICATION FOR APPVL OF A GENERAL RATE CASE & REVISED RATE SCHEDULES & RULES - DOCKET #2019-0085	Monthly Review	Y	S
CCA103	B	73,700.00	O	88,000.00	14,300.00	11/01/19	11/01/19	-	PCMG AND ASSOCIATES, LLC	SVCS IN CONNECTION WITH HAWN ELECTRIC CO. INC. APPLICATION FOR APPROVAL OF A GENERAL RATE INCREASE & REVISED RATE SCHEDULES & RULES	Monthly Review	Y	S
CCA103	B	124,752.76	O	125,000.00	247.24	11/01/19	11/01/19	-	SAWVEL AND ASSOCIATES, INC.	SVCS IN CONNECTIN WITH HAWAII ELECTRIC LIGHT CO. INC. APPLICATION FOR APPROVAL OF A POWER PURCHASE AGREEMENT FOR RENEWABLE DISPATCHABLE FIREM	Monthly Review	Y	S
CCA103	B	11,748.68	O	29,000.00	17,251.32	02/04/20	02/04/20	-	HABR ECONOMICS	PROVIDE SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO INC'S APPLICATION F/APPRVL OF RATE INCREASES & REVISED RATE SCHEDULES & RULES	Monthly Review	Y	S
CCA103	B	12,240.00	O	35,000.00	22,760.00	09/09/20	09/09/20	-	ROLKA LOUBE SALTZER ASSOCIATES	TO SERVE AS AN EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION IN THE COURSE OF THE DCA. DOCKET #2020-0080	Monthly Review	Y	S
CCA103	B	63,794.94	O	230,000.00	166,205.06	04/22/21	04/22/21	-	SAWVEL AND ASSOCIATES, INC.	PROV EXPERT WITNESS F/DIV OF CONSUMER ADVOCACY (DCA) DOC #2020-0167,2021-0017,2021-0024	Monthly Review	Y	S
CCA103 Total					1,027,768.09								
CCA105	B	26,721.08	M	44,112.76	17,391.68	09/05/17	08/19/20	08/18/21	NEW ANGLE SYSTEMS LLC	TO PROVIDE SCANNING OF PROFESSION & VOCATIONAL LICENSING INTO TIT FILES & SCAN BATCHES OF DOCUMENTS TO SEARCHABLE PDF FILES BID NO IFB 17-002-O	Monthly review	Y	S
CCA105	B	0.00	M	44,112.76	44,112.76	09/05/17	08/19/21	08/19/22	NEW ANGLE SYSTEMS LLC	TO PROVIDE SCANNING OF PROFESSION & VOCATIONAL LICENSING INTO TIT FILES & SCAN BATCHES OF DOCUMENTS TO SEARCHABLE PDF FILES BID NO IFB 17-002-O	Monthly review	Y	S

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CCA105	B	0.00	M	44,112.76	44,112.76	08/19/17	08/19/21	08/18/22	NEW ANGLE SYSTEMS LLC	TO PROVIDE SCANNING OF PROFESSION & VOCATIONAL LICENSING INTO TIT FILES & SCAN BATCHES OF DOCUMENTS TO SEARCHABLE PDF FILES BID NO IFB 17-002-O	Monthly review	Y	S
CA105 Total					105,617.20								
CCA106	B	33,585.45	M	125,000.00	91,414.55	01/16/16	01/16/16	12/31/20	EMPLOYEES' RETIREMENT SYSTEM	OFFICE SPACE LEASE FOR INSURANCE HEALTH BRANCH - MEMORANDUM OF AGREEMENT LEASE NO 72-30- 0612 - HOLD OVER CLAUSE	DAGS Leasing monitors agreement	N	L
CCA106	B	93,119.37	O	200,000.00	106,880.63	07/01/19	07/01/19	06/30/21	MILLENNIUM ACTUARIAL GROUP LLC	ACTUARIAL SVCS FOR HEALTH ISSUERS & MANAGED CARE PLANS REQUIRED BY STATE INCLUDING ANALYZING RATES,RESERVES,INCOME,EXPENSES, CLAIM HISTORY,TREND	Work reviewed for accuracy and completeness	Y	S
CCA106	B	56,983.85	O	74,000.00	17,016.15	07/01/19	07/01/19	06/30/22	INS REGULATORY INSURANCE	PERFORM ACTUARIAL SERVICES REQUIRED IN THE EVENT OF CONFLICTS, UNAVAILABILITY, OR INCAPACITY OF THE INS. DIV. PRIMARY CONSULTING PROPERTY &	Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	O	74,000.00	74,000.00	07/01/19	07/01/20	06/30/22	INS REGULATORY INSURANCE	PERFORM ACTUARIAL SERVICES REQUIRED IN THE EVENT OF CONFLICTS, UNAVAILABILITY, OR INCAPACITY OF THE INS. DIV. PRIMARY CONSULTING PROPERTY &	Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	O	74,000.00	74,000.00	07/01/19	07/01/19	06/30/22	INS REGULATORY INSURANCE	PERFORM ACTUARIAL SERVICES REQUIRED IN THE EVENT OF CONFLICTS, UNAVAILABILITY, OR INCAPACITY OF THE INS. DIV. PRIMARY CONSULTING PROPERTY &	Work reviewed for accuracy and completeness	Y	S
CCA106	B	112,029.45	O	115,000.00	2,970.55	08/01/20	08/01/20	07/31/22	MILLIMAN, INC.	PERFORM ACTUARIAL SVCS REQUIRED BY THE STATE FOR THE INSURANCE DIVISION IN THE AREA OF HURRICANE MODEL EVALUATION	Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	O	40,000.00	40,000.00	08/01/20	08/01/20	07/31/22	MILLIMAN, INC.	PERFORM ACTUARIAL SVCS REQUIRED BY THE STATE FOR THE INSURANCE DIVISION IN THE AREA OF HURRICANE MODEL EVALUATION	Work reviewed for accuracy and completeness	Y	S

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CCA106	B	95,827.50	O	96,544.00	716.50	09/15/20	09/15/20	09/14/21	NOBLE CONSULTING SERVICES, INC	PROV SVCS REQUIRED BY THE STATE IN CONNECTION W/THE FINANCIAL EXAMINATIO HAWAII MGMT ALLIANCE ASSOCIATION	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	40,668.33	O	157,000.00	116,331.67	06/08/21	06/08/21	06/08/24	WYMAN, OLIVER ACTUARIAL	PROV ACTUARIAL SVCS INCL BUT NOT LIMITED TO ANALYZING RATES ON LONG-TERM CARE INSURANCE RATE FILIGS & PROV SUPPORT TO ENSURE INSURANCE INDUSTRY'S	Work reviewed for accuracy and completeness	Y	S
CA106 Total					523,330.05								
CCA110	B	28,908.56	M	31,494.02	2,585.46	10/01/12	09/01/20	08/31/21	NEW KAIKO'O BUILDING, INC.	OFFICE SPACE LEASE FOR THE RICO HILO BRANCH & OCP HILO BRANCH LEASE AGREEMENT NO. 71-30-0591	DAGS Leasing monitors agreement	N	L
CCA110	B	5,406.69	M	32,913.84	27,507.15	10/12/12	09/01/21	08/31/22	NEW KAIKO'O BUILDING, INC.	OFFICE SPACE LEASE FOR THE RICO HILO BRANCH & OCP HILO BRANCH LEASE AGREEMENT NO. 71-30-0591	DAGS Leasing monitors agreement	N	L
CCA110	B	3,641.41	M	39,202.58	35,561.17	10/01/19	10/01/19	09/30/25	HUGH MENELEE DEVELOPMENT CORP.	LEASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR THE PERIOD FROM 10/01/2019-09/30/2025-LEASE #75-30-0647-HOLD-OVER-CLAUSE	DAGS Leasing monitors agreement	N	L
CA110 Total					65,653.78								
CCA111	B	428.40	M	4,612.07	4,183.67	10/01/19	10/01/19	09/30/25	HUGH MENELEE DEVELOPMENT CORP.	LEASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR THE PERIOD FROM 10/01/2019-09/30/2025-LEASE #75-30-0647-HOLD-OVER-CLAUSE	DAGS Leasing monitors agreement	N	L
CA111 Total					4,183.67								
CCA112	B	6,091.52	M	29,834.76	23,743.24	12/01/09	09/01/21	08/31/22	SIGNATURE SERVICES	LEASE OFFICE SPACE FOR RICO-KONA BRANCH DAGS LEASE # 71-30-0579	DAGS Leasing monitors agreement	N	L
CCA112	B	4,174.20	M	16,696.80	12,522.60	05/14/15	09/01/19	08/31/20	PUUONE DEVELOPMENT	LEASE OFFICE SPACE FOR RICO-MAUI BRANCH FOR THE PERIOD OF JUNE 1, 2015 THROUGH MAY 31, 2021, LEASE NO 75-30-014	DAGS Leasing monitors agreement	N	L
CCA112	B	350,000.00	M	450,000.00	100,000.00	04/10/20	04/10/20	04/10/22	VOYAGER SYSTEMS, INC.	PROV MAINTENANCE FOR COMPLETED PHASE 1 OF IMPACT & DEVELOPMENT OF PHASE 2 OF IMPACT, INVESTIGATIONS MGMT PROCESSING & CASE TRACKING SYSTEM	Department's ASO, RICO's Complaints and Enforcement Officer	Y	S
CA112 Total					136,265.84								

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CCA191	B	13,500.00	O	20,000.00	6,500.00	08/25/21	08/25/21	-	PCMG AND ASSOCIATES, LLC	PROV SVCS W/PROCEEDINGS OPENED BY OAH IN THE MATTER OF PETITION FILED BY HPBS INC, FOR REVIEW & APPROVAL OF CENTRAL SCHEDULING SYST(CSS) CHARGE	Monthly invoices are reviewed. Work progress monitored by DO, PVL, and AG's Office.	Y	S
CA191 Total					6,500.00								
CCA901	B	1,561.00	M	4,298.00	2,737.00	02/12/16	07/01/15	04/30/25	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720-MONTLY TTL 461.43	DAGS Leasing monitors agreement	N	L
CCA901	B	54.90	M	550.00	495.10	02/12/16	07/01/15	04/30/25	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720-MONTLY TTL 461.43	DAGS Leasing monitors agreement	N	L
CCA901	B	434,700.69	M	450,000.00	15,299.31	12/22/16	12/31/16	12/30/20	MUNRO TULLOCH, INC.	CONSULTING SVCS IN SPPORT OF PUC AS IT REVIEWS & OVERSEES THE HECO IMPLEMENTATION OF IS ERP/EAMSYSTEM AS PART OF DOCKET#2014-0170	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	58,676.51	M	85,000.00	26,323.49	11/27/17	11/17/17	11/14/21	GUIDEHOUSE INC.	AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC W/THE HECO COMPANIES' RENEWABLE ENERGY PROCUREMENT	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	40,033.99	M	99,000.00	58,966.01	12/20/17	11/24/17	11/23/20	VILLARREAL, CHRISTOPHER	CONSULTANT SVCS TO ASSIST & ADVISE PUC ON POLICY DECISIONS ON TOPICS RELATED TO DISTRIBUTED ENERGY RESOURCES, INCLUDING THE REVIEW OF THE GRI	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	68,985.00	M	99,000.00	30,015.00	04/01/19	04/01/19	03/31/20	HICKORYLEDGE LLC	CONSULTING SVCS TO ASSIST W/PROCEEDINGS RELATED TO ENERGY SYST PLANNING GRID INTEGRATION & OTHERS	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	0.00	M	60,000.00	60,000.00	03/19/20	03/31/20	03/31/22	HICKORYLEDGE LLC	CONSULTING SVCS TO ASSIST W/PROCEEDINGS RELATED TO ENERGY SYST PLANNING GRID INTEGRATION & OTHERS	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2021

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA901	B	132,580.00	M	329,000.00	196,420.00	07/01/19	07/01/19	06/30/24	DAYHUFF GROUP LLC, THE	CONSULTING SERVICES FOR MAINTENANCE OF THE PUBLIC UTILITIES COMMISSION'S DOCUMENT MANAGEMENT SYSTEM (DMS).	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	127,120.00	M	142,900.00	15,780.00	04/20/21	05/01/21	06/30/24	DAYHUFF GROUP LLC, THE	CONSULTING SERVICES FOR MAINTENANCE OF THE PUBLIC UTILITIES COMMISSION'S DOCUMENT MANAGEMENT SYSTEM (DMS).	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	214.20	M	2,306.03	2,091.83	08/09/19	10/01/19	09/30/25	HUGH MENELEE DEVELOPMENT CORP.	LEASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR THE PERIOD FROM 10/01/2019-09/30/2025-LEASE #75-30-0647-HOLD-OVER-CLAUSE	DAGS Leasing monitors agreement	N	L
CCA901	B	6,921.10	O	9,425.00	2,503.90	11/30/20	01/10/20	01/09/22	COMMUNITY WORKS, LLC	CONSULTANT SVCS FOR THE STRATEGIC PLANNING FOR THE PUBLIC UTILITIES COMMISSION	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	187,000.00	M	250,000.00	63,000.00	05/14/20	07/01/16	12/31/22	LEIDOS, INC.	PUBLIC BENEFITS FEE ADMINISTRATOR TO ASSIST THE PUC W/MGMT OF THE ELECTRIC VEHICLE CHARGING SYSTEM REBATE PROGRAM	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	453,530.00	O	497,382.00	43,852.00	04/17/20	05/01/20	04/30/23	GARTNER, INC.	CONSULTANT SVCS FOR THE PROCUREMENT & CONTRACT MGMT F/NEW DOCUMENT MGMT SYST (DMS) & WORKFLOW SOLUTION F/PUC	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	94,505.00	M	99,980.00	5,475.00	04/14/20	03/01/20	02/28/21	GRIDWORKS ORGANIZATION	CONSULTANT SVCS FOR THE PUC DOCKETS & PROCEEDINGS RELATED TO MAJOR ENERGY PLANNING DOCKETS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	61,118.75	O	79,475.00	18,356.25	04/30/20	05/04/20	05/03/21	BEEZWAX DATATOOLS, INC.	CONSULTANT SVCS FOR THE PUC FOR DECISION MAKING ON UTILITY RATE INCREASE APPLICATION DOCKET #2019-0117	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2021

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA901	B	0.00	O	20,075.00	20,075.00	04/30/21	05/04/21	05/04/22	BEEZWAX DATATOOLS, INC.	CONSULTANT SVCS FOR THE PUC FOR DECISION MAKING ON UTILITY RATE INCREASE APPLICATION DOCKET #2019-0117	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	6,655.77	O	99,000.00	92,344.23	06/04/20	07/01/20	06/30/22	MUNRO TULLOCH, INC.	CONSULTING SVCS IN SUPPORT OF PUC AS IT REVIEWS & OVERSEES THE HECO COMPANIES IMPLEMENTATION OF IT SERP/EAM SYST AS PART OF DOCKET #	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	65,370.39	O	83,000.00	17,629.61	09/24/20	07/20/20	07/19/22	COMMUNITY WORKS, LLC	CONSULTANT SVCS FOR THE PUC F/DECISION MAKING AFFECTING THE UTILITY RATE INCREASE APPLICATION DOCKET #2019-0117	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	0.00	O	75,000.00	75,000.00	06/04/21	06/09/21	07/19/22	COMMUNITY WORKS, LLC	CONSULTANT SVCS FOR THE PUC F/DECISION MAKING AFFECTING THE UTILITY RATE INCREASE APPLICATION DOCKET #2019-0117	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	2,941.25	M	7,679.00	4,737.75	03/01/21	03/01/21	01/31/26	MAUI OFFICE, LLC.	RENT,OPT COST & GE F/PUC MAUI DIST OFC - DAGS LEASE #75-30-0611 - ONE MAIN PLAZA,2200 MAIN ST,STE 540,WAILUKU,HI 96793-141 USABLE SQ FT OFC SP	DAGS Leasing monitors agreement	N	L
CCA901	B	60,426.16	O	1,021,897.67	961,471.51	05/06/21	07/01/21	12/31/22	PACIFIC POINT INC	THE SYSTEMS INTEGRATOR WILL DESIGN, CONFIGURE AND IMPLEMENT NEW CASE AND DOCUMENT MANAGEMENT SYSTEM AND INTEGRATED WORKFLOW SOLUTION	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	297,500.00	O	350,000.00	52,500.00	04/08/21	04/15/21	04/14/22	MUNRO TULLOCH, INC.	CONSULTING SERV IN SUPPORT OF PUC FOR FINANCIAL AND MANAGEMENT AUDIT OF YOUNG BROTHERS LLC, DOCKET NO 2019-0117	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	27,021.09	M	250,000.00	222,978.91	04/08/21	12/08/20	12/07/22	BATES WHITE, LLC	AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC WITH HECO COMPANIES REVEWABLE ENERGY PROCUREMENT OPT TO EXTEND - 1-12MOS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2021

Table 14

<u>Prog ID</u>	<u>MOF</u>	<u>Amount</u>	<u>Frequency (M/A/O)</u>	<u>Max Value</u>	<u>Outstanding Balance</u>	<u>Date Executed</u>	<u>From</u>	<u>To</u>	<u>Entity</u>	<u>Contract Description</u>	<u>Explanation of How Contract is Monitored</u>	<u>POS Y/N</u>	<u>Category E/L/P/C/G/S/*</u>
CCA901	B	55,333.70	M	298,995.00	243,661.30	05/12/21	06/14/21	12/13/22	PUBLIC CONSULTING GROUP	IND VERIFICATION & VALIDATION SVCS WILL ASSIST THE PUC IN EFFORTS TO ENSURE QUALITY ASSURANCE & CONTROL EFFORTS F/THE SOH. PUC CASE & DOCS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	68,443.35	M	500,000.00	431,556.65	05/24/21	07/01/21	06/30/24	HAIKU DESIGN & ANALYSIS LLC	CONTRACTOR WILL PROV SVCS TO ASSIST PUC W/ENERGY POLICY DOCKETS - INCL PERFORMANCE BASED RATEMAKING DOCKET #2018-0088 & PROCEEDINGS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	43,200.00	M	345,600.00	302,400.00	05/18/21	07/01/21	06/30/23	ONE CALL CONCEPTS, INC.	CONTRACTOR WILL PROV SVCS TO OPERATE HAWAII ONE CALL CTR STATEWIDE NOTIFICATION SYSTEM TO PROTECT-DURING EXCAVATIONS, ALL SUBSURFACE	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	0.00	O	300,000.00	300,000.00	06/08/21	07/01/21	06/30/23	KIKUCHI, PAUL	CONTRACTOR WILL PROV SUPP TO THE PUC DECISION MAKING ON UTILITY RATE DOCKETS DEV OF ADMIN RULES F/WATER & WASTEWATER ASSIST IN PLNG DESING &	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	31,625.00	M	191,800.00	160,175.00	05/26/21	06/01/21	05/31/23	GRIDWORKS ORGANIZATION	CONTRACTOR WILL PROV SVCS TO ASSIST PUC W/ENERGY POLICY DOCKETS INCLU DISTRIBUTED ENERGY RESOURCES DOC #2019-0323,MACROGRID DOC #2019-0163	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	0.00	O	99,000.00	99,000.00	06/10/21	07/01/21	06/30/23	GUIDEHOUSE INC.	CONTRACTOR WILL PROV SVCS TO PUC AS AN INDEPENDENT OBSERVER TO THE HECO COMPANIES RENEWABLE ENERGY PROCUREMENT ON MOLOKAI -	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	38,701.00	M	200,000.00	161,299.00	09/30/21	10/01/21	06/16/23	MANN, GREGORY L.	CONTRACTOR WILL PROV SVCS TO PUC W/THE EXAMINATION OF DOCKET#2017-0105 PROPOSED ACQUISTION/CHANGE F/TCG&DOCKET #2020-0080	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2021

Table 14

<u>Prog ID</u>	<u>MOF</u>	<u>Amount</u>	<u>Frequency (M/A/O)</u>	<u>Max Value</u>	<u>Outstanding Balance</u>	<u>Date Executed</u>	<u>From</u>	<u>To</u>	<u>Entity</u>	<u>Contract Description</u>	<u>Explanation of How Contract is Monitored</u>	<u>POS Y/N</u>	<u>Category E/L/P/C/G/S/*</u>
CCA901	B	71,598.79	M	250,000.00	178,401.21	06/15/21	07/01/21	12/31/22	ROCKY MOUNTAIN INSTITUTE	CONTRACTOR WILL PROV SVCS TO PUC W/DOCKETS & PROCEEDINGS RELATED TO MAJOR ENERGY POLICY & PLANNING	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	15,674.48	M	50,000.00	34,325.52	08/11/21	07/02/21	07/01/23	STRATEGEN CONSULTING, LLC	PROV ASSISTANCE & ADVISORY SVCS TO COMMISSION RELATED TO THE PENDING CBRE DOCKETS #2015-0389 & DOCKET #2019-0323	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs
 Capital Improvements Program (CIP) Requests

Table 15

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept- Wide Priority</u>	<u>Senate District</u>	<u>Rep. District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY22 \$\$\$</u>	<u>FY23 \$\$\$</u>
					NONE			

Department of Commerce and Consumer Affairs
CIP Lapses

Table 16

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount</u> \$\$\$\$	<u>Reason</u>
		NONE			

Department of Commerce and Consumer Affairs
 Program ID Sub-Organizations

Table 17

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
CCA 102	FA	Cable Television	To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the state regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable state and federal law; expand the statewide institutional network ("INET"); continue the availability of public, education and government ("PEG") cable access; and to engage in activities promoting the expansion of, and accelerating, the deployment of broadband infrastructure.
CCA 103	HA	Consumer Advocate for Comm, Util, & Tran Svc	Through advocacy, education, and long-range planning, to ensure sustainable, reliable, safe and quality communications, utility, and transportation services at fair cost for Hawaii's consumers for the short and long-term.
CCA 104	BA	Financial Services Regulation	To ensure the safety and soundness of state-chartered and state-licensed financial institutions, and ensure regulatory compliance by state-licensed financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies, by fairly administering applicable statutes and rules, in order to protect the rights and funds of depositors, borrowers, consumers and other members of the public.
CCA 105	GA	Professional & Vocational Licensing	To ensure that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity and dependability by establishing and enforcing appropriate service standards; to regulate activities for the protection, welfare and safety of the participants as well as the public.
CCA 106	EA	Insurance Regulatory Services	To ensure that consumers are provided with insurance services meeting acceptable standards of quality, equity, and dependability at fair rates by establishing and enforcing appropriate service standards and fairly administering the Insurance Code.
CCA 107	IA	Hawaii Post-Secondary Education Authorization Program	To ensure the soundness of accredited degree-granting post-secondary educational institutions by fairly administering applicable statutes and rules in order to protect students, consumers, and other members of the community.

Department of Commerce and Consumer Affairs
 Program ID Sub-Organizations

Table 17

CCA 110	DA	Office of Consumer Protection	To protect consumers by investigating alleged violations of consumer protection laws, taking legal action to stop unfair or deceptive trade practices in the marketplace, and educating consumers and businesses about their respective rights and obligations in the marketplace under Hawaii consumer protection laws.
CCA 111	CA	Business Registration and Securities Regulation	To ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other entities; to run business centers to provide personalized assistance to small and startup businesses; and to ensure compliance with and enforcement of securities and franchise laws.
CCA 112	AB	Regulated Industries Complaints Office	To assist the general public through consumer education and by enforcing the state's licensing laws.
CCA 191	AA	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AH	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AI	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 901*	MA	Public Utilities Commission	To ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate.

Department of Commerce and Consumer Affairs
Organization Changes

Table 18

<u>Year of Change</u> <u>FY22/FY23</u>	<u>Description of Change</u>
2022	Redescription of exempt position, Position No. 102438, from PUC Attorney I, to PUC Attorney II, effective July 1, 2021. (CCA 901)
2022	Redescription of exempt position, Position No. 100548, from PUC Attorney I, to PUC Attorney II, effective July 1, 2021. (CCA 901)
2022	Reallocation of civil service position, Position No. 36924, from Office Assistant IV, to Legal Clerk, effective July 1, 2021. (CCA 901)
2022	Reallocation of civil service position, Position No. 42786, from Secretary II, to Secretary III, effective July 16, 2021. (CCA 901)
2022	Reallocation of civil service position, Position No. 46166, from Auditor V, to Accountant III, effective July 16, 2021. (CCA 901)
2022	Reallocation of civil service position, Position No. 36925, from Auditor II, to Auditor III, effective July 16, 2021. (CCA 901)
2022	Reallocation of civil service position, Position No. 49538, from Professional Trainee I, to Professional Trainee II, effective July 16, 2021. (CCA 111)
2022	Abolishment of exempt position, Position No. 120245, CATV Program Specialist, effective July 16, 2021. (CCA 102)
2022	Establishment of exempt position, Position No. 124023, Staff Attorney III, effective July 17, 2021. (CCA 110)
2022	Redescription of exempt position, Position No. 121918, from PUC Financial Officer, to Procurement Manager, effective August 1, 2021. (CCA 901)
2022	Redescription of exempt position, Position No. 110317, CRF Rate and Policy Analyst III, to CRF Rate and Policy Analyst I, effective August 14, 2021. (CCA 106)
2022	Establishment of civil service position, Position No. 124104, Human Resources Assistant V, effective September 17, 2021. (CCA 191)
2022	Establishment of exempt position, Position No. 124139, RI Legal Assistant II, effective September 22, 2021. (CCA 112)

Department of Commerce and Consumer Affairs
 American Rescue Plan Act Fund Initiatives

Table 19

<u>Prog ID</u>	<u>Amount Allotted</u>	<u>Budget for Personnel</u>	<u>Budget for OCE (Other Than Contracts)</u>	<u>Budget for Contracts</u>	<u>Dates of Initiative</u>		<u>Initiative Description</u>	<u>Appropriating Act or GOV</u>	<u>Is This A New Initiative Or An Enhancement To An Existing Initiative/Program</u>
					<u>From</u>	<u>To</u>			
	NONE								