

DAVID Y. IGE
GOVERNOR

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December 29, 2021

Senator Donovan M. Dela Cruz, Chair
Members of the Senate Committee on Ways and Means

Representative Sylvia Luke, Chair
Members of the House Committee on Finance

Re: DOTAX 2022-2023 Legislative Budget Briefing Testimony

Dear Senator Dela Cruz, Representative Luke and Members of the Committees:

Thank you for the opportunity to present my budget request for FY 2022-2023.

Overview

- A. The mission of the Hawaii State Department of Taxation under my tenure is to “Protect the Revenues of the State of Hawaii.” This provocative and aggressive posture suggests the seriousness of the Department to administer the tax laws of the State in a fair and equitable manner. The voluntary nature of our tax system requires that all taxpayers pay their fair share to fund the basic needs of our community. The Department takes these responsibilities seriously and presents this budget request in order for us to fulfill our mission.

Some may believe that people happily pay their taxes. Hopefully this is true. But the tax department’s strategic objective is to try to be as helpful as possible, to accommodate both the naughty and nice taxpayers to voluntarily comply with their tax obligations.

Monitoring our production and efforts is a small part of assessing our Department’s effectiveness. Our goals and performance metrics can be monitored with the help of the Department’s current software. The milestones and reporting metrics for auditors, collectors, taxpayer service personnel or any other front facing section are done monthly. Audit and collection cases opened, closed, and dollars recovered are easily tracked. Taxpayer Service personnel are monitored by call volume and call pick up percentages (sample reports are included in this budget package). In addition to monitoring outcomes, our managers have the capability to monitor efforts such as time spent with taxpayers on telephone calls, hours spent on audit cases, notes on collection procedures, or time spent with computer vendors.

The above discussion is centered on our front facing efforts but it also takes an incredible amount of effort by our supporting offices to achieve tax compliance. Our Rules Office has researched hundreds of legislative measures and has given their comments and testimonies to you. Our Information Technology Services Office (ITSO) has completed a major upgrade to our computer system during the pandemic and stabilized our relationship with our vendor. Our Tax Research and Planning Office has supported both the Tax Review Commission (TRC) and the Council on Revenues to provide information, including bill scoring, to you, so that you may formulate informed tax policies. Our administrative support operations such as our Administrative Service Office and Human Resource section have kept us in compliance with all bureaucratic requirements. Our neighbor island District Managers and their teams have brought the same level of service to our neighbor island customers.

- B. The current state-wide conditions curtailed our ability to put constant pressure on insuring voluntary compliance. During the pandemic we did not pursue new compliance initiatives. We settled more cases rather than being aggressive in the interpretation of the law. Our materiality threshold was increased. We scaled our efforts to match the resources given us. We prioritized our efforts based on revenue achievement instead of fairness. We curtailed training for our personnel. We delayed plans for the future and sustainability efforts were suspended.

Had this situation continued for whatever reason, the deterioration of the Department would have accelerated exponentially making recovery much lengthier. State revenues would have suffered.

Federal Funds

- C. There are two primary uses for Federal Funds. One is dictated by the Legislature and the other is administered by the Department of Budget and Finance.

The Federal Funds dictated by the Legislature are being used to fund our ITSO and TRC. The ITSO funds are used to pay for maintenance fees, software license fees, and some replacement of hardware. These costs are the usual costs for maintaining our computer section. No new or additional computer related costs will be incurred. In the biennium request, we will ask that traditional funding be restored.

Other Federal Funds dictated by the Legislature are being used to pay for the expenses of the TRC and this is not a recurring expense.

The second Federal Funds which are administered by the Department of Budget and Finance through the American Rescue Plan Act are solely designated for pandemic related expenses. The money is being used for security, cleanup, furniture, and fixtures to retrofit our Department when reopening to the public in this new environment.

Non-General Funds

https://files.hawaii.gov/tax/stats/stats/non-gf-rpts/2021-Non-General-Fund-rpts_rev.pdf

Budget Requests

- D. As mentioned earlier, our requests were prioritized by what we could manage, fixing inoperable sections, and revenue generation for the State for the next fiscal year. Our objective is rebuilding our Department until we meet our voluntary compliance goals, shrink the tax gap, and protect the revenues of our State.

Many times, people are under the impression that Tax Department personnel can be scooped up off the sidewalk and instantaneously be productive in our compliance regime. Even people with private sector experience and technical expertise need to be indoctrinated into the unique State employment regiment and culture. The selection and vetting process is onerous and cannot be circumvented. This budget is the first step towards the rebuilding process and will require at least three (3) more years for the Department to be fully staffed.

- E. Discussion of significant adjustments.¹

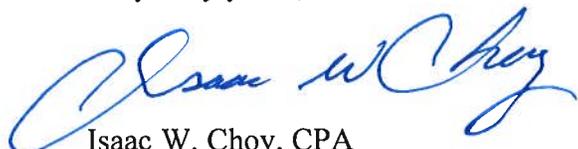
<u>Addition</u>	<u>Cost</u>	<u>Impact</u>
5 Delinquent Tax Collection Assistants	\$242,592	\$15 million additional revenue
3 Tax Return Examiners (Oahu)	\$ 69,408	\$ 7.5 million additional revenue
2 Field Auditors (Oahu)	\$142,788	\$ 6.0 million additional revenue
Entire computer cost	\$6,252,251	Make computers work
Vacant Positions	-0-	Unexpected compliance initiatives

¹ These are significant requests. What would be helpful is to have the vacant unfunded positions available in case opportunities for hiring present themselves or if new compliance initiatives become available then the Department would have an opportunity to react faster, ensuring better compliance.

Re: DOTAX 2022-2023 Legislative Budget Testimony
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December 29, 2021

Thank you for this opportunity to testify on our Department's FY 2022- 2023 budget request.

Very truly yours,

A handwritten signature in blue ink that reads "Isaac W. Choy". The signature is fluid and cursive, with the first name "Isaac" being the most prominent.

Isaac W. Choy, CPA
Director of Taxation

IWC: lhc

Attachments

**2022-23 Legislative Budget Briefing Testimony
 Department of Taxation (DOTAX)
 Program ID & Sub-Organization
 Legend**

Program ID	Sub-Org Code	Program Name
TAX100	CH	Compliance Division - Hawaii District Office
TAX100	CK	Compliance Division - Kauai District Office
TAX100	CM	Compliance Division - Maui District Office
TAX100	CO	Compliance Division - Oahu Office Audit Branch
TAX100	CP	Compliance Division - Oahu Field Audit Branch
TAX100	EO	Compliance Division - Oahu Collection Branch
TAX105	BA	Tax Services & Processing Division - Document Processing Branch
TAX105	BB	Tax Services & Processing Division - Revenue Accounting Branch
TAX105	BC	Tax Services & Processing Division - Taxpayer Services Branch
TAX107	AA	Office of the Director, Rules Office, & Administrative Services Office (ASO)
TAX107	AC	Information Technology Services Office (ITSO)
TAX107	AD	Tax Research & Planning (TRP) Office

Department of Taxation
Functions

Table 1

<u>Division</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Dept-Wide Priority</u>	<u>Statutory Reference</u>
Compliance					
	To promote and maintain a tax system based on self-assessment and voluntary compliance by taxpayers through the consistent and fair application of all State tax laws administered by the Department; and to reduce the amount of outstanding taxes owed to the State.		TAX 100	2	
		Field Audit			Title 14, Chapter 231, 231-3, HRS (generally)
		Office Audit			Title 14, Chapter 231, 231-3, HRS (generally)
		Collection			Title 14, Chapter 231, 231-3, HRS (generally); 231-25 through 231-70, HRS (specifically)
		District Offices			Title 14, Chapter 231, 231-3, HRS (generally); 231-10, HRS (specifically)
		Criminal Investigation			Title 14, Chapter 231, 231-3, HRS (generally); 231-4.3, 231-34 through 231-36, HRS (specifically)
		Special Enforcement			Title 14, Chapter 231, 231-3, HRS (generally); 231-81 through 235-20.5 (specifically)
Tax Services and Processing					
	To process all tax documents received in the most efficient and expeditious manner possible; to maintain accurate accounting records for all tax programs; to promote voluntary taxpayer compliance through timely delivery of information, forms, and responses to questions and inquiries; and to provide assistance to taxpayers' inquiries through call center.		TAX 105	1	
		Document Processing			Title 14, Chapter 231, 231-3, HRS (generally); 231-3, 231-8.5, 231-9.9, HRS (specifically)
		Revenue Accounting			Title 14, Chapter 231, 231-3, HRS (generally)
		Taxpayer Services			Title 14, Chapter 231, 231-3, HRS (generally)

Department of Taxation
Functions

Table 1

<u>Division</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Dept-Wide Priority</u>	<u>Statutory Reference</u>
Supporting	Services-Revenue Collection				
	To provide administrative direction in implementing the Department's tax programs so as to enhance effectiveness and efficiency for formulating policies, allocating resources and providing direction to operations; and to improve the State's policy and decision-making process by providing timely and accurate tax data and interpretive information. This program also provides all of the administrative and technology support for the Department.		TAX 107	3	
		Administrative Services			Title 14, Chapter 231, 231-3, HRS (generally)
		Rules			Title 14, Chapter 231, 231-3, HRS (generally); 231-4.5, HRS (specifically)
		Information Technology Services			Title 14, Chapter 231, 231-3, HRS (generally); 231-8.5, HRS (specifically)
		Tax Research and Planning			Title 14, Chapter 231, 231-3, HRS (generally); 231-3.4, HRS (specifically)
		Council on Revenues			Haw. Const. Art. VII, Sec. 7; Chapter 37 Part VI, HRS (generally)
		Tax Review Commission			Haw. Const. Art. VII, Sec. 3; Chapter 232E, HRS (generally)
		Boards of Review			Title 14, Chapter 232, HRS (generally)

Department of Taxation
Department-Wide Totals

Table 2

Fiscal Year 2022						
Budget Acts Appropriation	Current Restrictions	Additions	Emergency Appropriations	Total FY22	MOF	Notes:
\$ 25,062,628.00	\$ (1,474,382.00)			\$ 23,588,246.00	A	1
\$ 3,567,116.00				\$ 3,567,116.00	B	
\$ 3,241,332.00		\$ 507,300.00		\$ 3,748,632.00	V	2
				\$ -		
				\$ -		
				\$ -		
\$ 31,871,076.00	\$ (1,474,382.00)	\$ 507,300.00	\$ -	\$ 30,903,994.00	Total	
Fiscal Year 2023						
Budget Acts Appropriation	Reductions	Additions		Total FY23	MOF	
\$ 25,062,628.00		\$ 8,443,257.00		\$ 33,505,885.00	A	
\$ 3,567,116.00				\$ 3,567,116.00	B	
				\$ -		
				\$ -		
				\$ -		
				\$ -		
\$ 28,629,744.00	\$ -	\$ 8,443,257.00	\$ -	\$ 37,073,001.00	Total	
Footnotes:						
1. Total reductions is the current restriction on General Funds.						
2. Non-appropriated ARPA-CSFRF received for reopening.						

Department of Taxation
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted (FY22)			As budgeted (FY23)			Governor's Submittal (FY22)				Governor's Submittal (FY23)				Note
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$	
TAX100	Compliance	A	177	3	10,207,853	177	3	10,207,853	147	1	9,641,743	-5.5%	182	3	11,211,217	9.8%	
TAX105	Tax Services & Processing	A	134	76	6,124,987	134	76	6,124,987	118	4	5,934,547	-3.1%	136	76	6,477,667	5.8%	
TAX107	Supporting Services-Rev Collection	A	78	11	8,729,788	78	11	8,729,788	65	0	10,676,156	22.3%	79	9	15,817,001	81.2%	1, 2
TAX107	Supporting Services-Rev Collection	B	0	13	3,567,116	0	13	3,567,116	5	21	5,561,421	55.9%	0	13	3,567,116	0.0%	1
TAX107	Supporting Services-Rev Collection	V			3,241,332						0	-100.0%				0.0%	2
	Total	A	389	90	25,062,628	389	90	25,062,628	330	5	26,252,446	4.7%	397	88	33,505,885	33.7%	
	Total	B	0	13	3,567,116	0	13	3,567,116	5	21	5,561,421	55.9%	0	13	3,567,116	0.0%	
	Total	V	0	0	3,241,332	0	0	0	0	0	0	-100.0%	0	0	0	0.0%	
	Total Department		389	103	31,871,076	389	103	28,629,744	335	26	31,813,867	-39.3%	397	101	37,073,001	33.7%	
Note																	
1	Requested to transfer exempt positions from MOF-A to MOF-B. Legislature did not concur.																
2	Requested MOF-A to fund TSM services in FY 22 and FY 23. Legislature appropriated MOF-V for FY 22 and no appropriation for FY 23.																

Department of Taxation
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Requests						Budget and Finance Recommendations						Governor's Decision						Note
				FY22			FY23			FY22			FY23			FY22			FY23			
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
TAX100	CH	Establish position in Hawaii District Office	A				1		\$ 29,250				1		\$ 29,250				1		\$ 29,250	
TAX100	CK	Establish position in Kauai District Office	A				1		\$ 29,250				1		\$ 29,250				1		\$ 29,250	
TAX100	CM	Fund unfunded positions in Maui District Office	A						\$ 239,076						\$ 125,604						\$ 239,076	
TAX100	CO	Establish positions in Oahu Office Audit Branch	A				3		\$ 69,408				0		\$ -				3		\$ 69,408	
TAX100	CP	Fund unfunded positions in Criminal Investigation Section (CIS)	A						\$ 251,000						\$ 251,000						\$ 251,000	
TAX100	CP	Fund unfunded positions in Oahu Field Audit Branch	A						\$ 142,788						\$ -						\$ 142,788	
TAX100	EO	Fund unfunded positions in Oahu Collection Branch	A						\$ 242,592						\$ 242,592						\$ 242,592	
TAX105	BA	Fund unfunded positions in Tax Services & Processing (TSP) Division	A						\$ 305,748						\$ 103,092						\$ 305,748	
TAX105	BC	Add position to correct FB 21-23 Budget Prep Error	A				1						1						1			
TAX107	AA	Fund Tax System Modernization (TSM) maintenance and services costs	A						\$ 6,252,251						\$ 4,896,022						\$ 6,252,251	
TAX107	AA	Fund replacement of IT infrastructure equipment at colocated datacenter	A						\$ 350,000						\$ 350,000						\$ 350,000	
TAX107	AA	Fund the Business Analyst Manager Position	A						\$ 44,718						\$ -						\$ 44,718	
TAX107	AC	Fund Unfunded Positions in the Information Technology Services Office	A						\$ 285,576						\$ 151,176						\$ 285,576	
TAX107	AC	Fund Unfunded Positions in the Systems Administration section	A				2	(2)	\$ 201,600				2	(2)	\$ 134,400				2	(2)	\$ 201,600	
TAX107	AA	Fund Increase in Fringe Costs for Tax Administration Special Fund	B						\$ (78,402)						\$ (78,402)						\$ (78,402)	
TAX107	AA	Fund Increase in Fringe Costs for Tax Administration Special Fund	B						\$ 78,402						\$ 78,402						\$ 78,402	
TAX105	BA	Fund Underfunded Position in Revenue Accounting	A						\$ (20,514)						\$ (20,514)						\$ (20,514)	
TAX105	BB	Fund Underfunded Position in Revenue Accounting	A						\$ 20,514						\$ 20,514						\$ 20,514	
TAX107	AA	Reorganization to the Tax Registration Section	A				(1)		\$ (46,932)				(1)		\$ (46,932)				(1)		\$ (46,932)	
TAX105	BC	Reorganization to the Tax Registration Section	A				1		\$ 46,932				1		\$ 46,932				1		\$ 46,932	
TAX107	AA	Correct Negative Funding Amount in the Tax Research & Planning Office.	A						\$ (24,849)						\$ (24,849)						\$ (24,849)	
TAX107	AD	Correct Negative Funding Amount in the Tax Research & Planning Office.	A						\$ 24,849						\$ 24,849						\$ 24,849	
TAX107	AA	Fund Unfunded Position in the Tax Research & Planning Office	A						\$ (41,100)						\$ (41,100)						\$ (41,100)	
TAX107	AD	Fund Unfunded Position in the Tax Research & Planning Office	A						\$ 41,100						\$ 41,100						\$ 41,100	
TAX100	CO	Fund Underfunded Positions in the Hawaii District Office	A						\$ (43,328)						\$ (43,328)						\$ (43,328)	
TAX100	CH	Fund Underfunded Positions in the Hawaii District Office	A						\$ 43,328						\$ 43,328						\$ 43,328	
TAX100	CK	Fund Underfunded Position in the Maui District Office	A						\$ (12,695)						\$ (12,695)						\$ (12,695)	
TAX100	CM	Fund Underfunded Position in the Maui District Office	A						\$ 12,695						\$ 12,695						\$ 12,695	
TAX107	AA	Fund Unfunded Positions in the Information Technology Services Office	A						\$ (111,696)						\$ (111,696)						\$ (111,696)	
TAX107	AC	Fund Unfunded Positions in the Information Technology Services Office	A						\$ 111,696						\$ 111,696						\$ 111,696	
		TOTALS	A				8	(2)	\$ 8,443,257				5	(2)	\$ 6,312,386				8	(2)	\$ 8,443,257	
		TOTALS	B				0	0	\$ -				0	0	\$ -				0	0	\$ -	

Department of Taxation
Budget Decisions

Table 4 - Attachment A
TSM/ITSO Budget

TSM / ITSO Budget						
FB 2021-2023						
			FY22			FY23
			MOF	\$	MOF	\$
ITSO	5800	Maintenance, support, subscription	A	\$450,000.00	A	\$450,000.00
TSM	5800	Maintenance, support, subscription	A	\$217,000.00	A	\$217,000.00
TSM	7100	TSM services on a fee basis	A	\$461,000.00	A	\$461,000.00
TSM	7100	TSM Professional Services	V	\$3,033,832.00	V	
				\$4,161,832.00		\$1,128,000.00
Add unbudgeted funds			A	\$65,972.00		
10% restriction						
Budget				\$4,227,804.00		\$1,128,000.00
Budget Request R&M, Prof Svc				\$4,219,940.00		\$7,720,387.00
Budget Request Training, Travel				\$7,864.00		\$9,864.00

Planning							
			FY22			FY23	Note
			MOF	\$	MOF	\$	
ITSO	3200	Office/networking supplies	A	\$1,167.00	A	\$3,000.00	
IT dues, subscriptions							
TSM	3500	FTA annual maintenance fee	A	\$2,488.00	A	\$2,500.00	
ITSO	3500	IT subscription					
ITSO	3500	Zoho password	A	\$476.00	A	\$500.00	
ITSO	3500	Zoom (webinar)	A	\$1,623.00	A	\$2,000.00	
ITSO	3500	Zoho unlock	A	\$608.00	A	\$650.00	
ITSO	3500	Foxit Subscription	A	\$8,600.00	A	\$9,000.00	
ITSO	3500	MS Visio	A	\$600.00	A	\$600.00	
ITSO	3500	MS Office 365 contractor	A	\$3,700.00	A	\$4,000.00	
ITSO	3500	ADSSP (unlock tool)	A	\$611.00	A	\$650.00	
Total IT subscriptions				\$18,706.00		\$19,900.00	
Maintenance, support, subscription					A	\$29,710.00	
ITSO	5800	Tenable.SC (Nessus)	A	\$42,400.00	A	\$44,000.00	
ITSO	5800	Cisco Smartnet maint. 3850/3650	A	\$6,267.00	A	\$6,000.00	

Department of Taxation
Budget Decisions

Table 4 - Attachment A
TSM/ITSO Budget

				FY22		FY23	Note
ITSO	5800	Cisco NI routers/firewall	A	\$6,726.00	A	\$6,726.00	
ITSO	5800	Vmware for Vcenter and 3 hosts	A	\$7,917.00	A	\$8,200.00	
ITSO	5800	Site24x7	A	\$2,500.00	A	\$2,700.00	
TSM	5800	ICS maintenance	A	\$593,347.00	A	\$600,000.00	
TSM	5800	ServPac Datacenter Colocation	A	\$110,000.00	A	\$120,000.00	
TSM	5800	Gentax PW support (contract 7/1/21)	V	\$1,151,832.00	V		
TSM	5800	Gentax PW support (contract 7/1/22)	A		A	\$1,151,832.00	1
Total Repairs & Maintenance				\$1,920,989.00		\$1,969,168.00	
Services on a fee basis							
ITSO	7100	Amazon Web Service (FTP server)	A	\$8,000.00	A	\$9,000.00	
ITSO	7100	Network support services	A	\$11,000.00	A	\$11,000.00	
ITSO	7100	ServPac SIP	A	\$22,000.00	A	\$23,000.00	
ITSO	7100	ServPac AWS networking	A	\$4,400.00	A	\$4,400.00	
ITSO	7100	ServPac DR connections	A	\$44,100.00	A	\$45,000.00	
TSM	7100	Fast Hosted Service (contract 7/1/22)	A		A	\$1,862,190.00	1
TSM	7100	Prof Svcs Gentax (contract 7/1/21)	V	\$1,672,000.00			
TSM	7100	Prof Svcs Gentax (contract 7/1/22)			A	\$2,583,240.00	1
TSM	7100	Prof Svcs Doc Imaging (contract 7/1/22)	A	\$53,402.00			
TSM	7100	Prof Svcs Doc Imaging (contract 7/1/22)	V	\$210,000.00	V		
TSM	7100	Prof Svcs Doc Imaging (contract 7/1/23)	A		A	\$326,702.00	1
TSM	7100	Prof Svcs Central Tech Services (contract 7/1/23)			A	\$328,287.00	1
Total Services on a fee basis				\$2,024,902.00		\$5,192,819.00	
Software/Licenses							
ITSO	7707	Solarwinds Netwk Conf Mgr Lic_Mnt	A	\$2,982.00	A	\$3,500.00	
ITSO	7707	Genesys Cloud Licensing (IVR)	A	\$150,000.00	A	\$150,000.00	
ITSO	7707	DUO mfa	A	\$6,900.00	A	\$7,000.00	
ITSO	7707	AWS services Splunk cloud	A	\$18,461.00	A	\$20,000.00	
ITSO	7707	Sendgrid	A	\$5,700.00	A	\$5,000.00	
ITSO	7707	HTO SMS messaging	A	\$5,000.00	A		
Furniture & Equipment							
ITSO	7709	Datacenter - equipment replacement	A		A	\$350,000.00	2
ITSO	7709	Cisco	A	\$1,833.00	A		
	7709	IBML upgrade	A	\$63,300.00	A		
Total Software, Furniture & Equipment				\$254,176.00		\$535,500.00	

Note	FY23 Budget Request Items	
1	TSM5800 Gentax PW support (contract 7/1/22)	\$1,151,832.00
	TSM7100 Fast Hosted Service (contract 7/1/22)	\$1,862,190.00
	TSM7100 Prof Svcs Gentax (contract 7/1/22)	\$2,583,240.00
	TSM7100 Prof Svcs Doc Imaging (contract 7/1/23)	\$326,702.00
	TSM7100 Prof Svcs Central Tech Services (contract 7/1/23)	\$328,287.00
	Total	\$6,252,251.00
2	ITSO7709 Datacenter - equipment replacement	\$350,000.00
	Total	\$350,000.00

TAX107AA, fund replacement of IT infrastructure equipment at colocated datacenter, budgeted cost \$350,000

Replacement Schedule

The equipment will be bundled together and purchased at the same time. December 2022, the data center equipment will be replaced, which supports the following essential infrastructure services for DOTAX:	
1. Servers	1) File Servers
	2) Authentication Servers
	3) Database Servers
	4) Applications Servers
	5) Imaging Servers
	6) Security Servers
	7) Remote Access Servers
	8) Infrastructure Management Servers
2. Data Storage	
3. Network	
4. Backup and Recovery	
5. Software Licenses	
6. Vendor Maintenance and Support	
7. Equipment Installation and Configuration	

Department of Taxation
Proposed Budget Reductions

Table 5

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Description of Reduction</u>	<u>Impact of Reduction</u>	<u>MOF</u>	<u>FY22</u>			<u>FY23</u>			<u>FY21 Restriction (Y/N)</u>
					<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$\$</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$\$</u>	
		NONE									

Department of Taxation
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	FY22			FY23			
								Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
TAX100	CP	AR	1	1	Fund three (3) unfunded Criminal Investigator positions in the Criminal Investigation Section (CIS).	High impact. Current resources allow the Department to maintain a minimum level of service. Funding for the unfunded positions will enable CIS to generate additional tax revenue. This will have a substantial effect on voluntary compliance.								251,000
TAX100	EO	AR	2	2	Fund five (5) unfunded Delinquent Tax Collection Assistant (DTCA) positions in the Oahu Collection Branch.	High impact. Funding for the unfunded positions will support DOTAX's efforts in addressing aging accounts receivables. Each additional collector can reduce the delinquent taxes by collecting at least \$3 million in delinquent taxes a year. A collector can file at least 120 tax liens and process 400 levies a year. The collectors are necessary to maintain fairness in our voluntary compliance system.								242,592
TAX107	AC	AR	1	3	Fund four (4) unfunded Information Technology (IT) Band B positions in the Information Technology Services Office (ITSO).	High impact. Funding for the unfunded positions will allow the tax applications staff to support all areas of the Tax System Modernization (TSM), and to become proficient and less dependent on vendors. These positions will add sustainability to our ITSO.								285,576
TAX100	CO	AR	3	4	Establish three (3) Tax Return Examiner (TRE) II positions in the Oahu Office Audit Branch.	High impact. Funding for the unfunded positions will start rebuilding process to support DOTAX's efforts for tax enforcement and increase voluntary compliance. An examiner will increase the number of returns audited by 700 a year, increase the number of assessments made by 500 a year, and bring in \$2.5 million in assessments a year.					3			69,408
TAX100	CP	AR	4	5	Fund two (2) unfunded Auditor positions in the Oahu Field Audit Branch.	High impact. An auditor will increase the number of returns audited by 35 a year, increase the number of assessments made by 30 a year, and bring in \$3 million in assessments a year.								142,788

Department of Taxation
Proposed Budget Additions

Table 6

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Addition Type</u>	<u>Prog ID Priority</u>	<u>Dept-Wide Priority</u>	<u>Description of Addition</u>	<u>Justification</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
TAX107	AC	AR	2	6	Fund three (3) unfunded General Professional positions. Convert two (2) positions from temporary to permanent in the System Administration (SysAd) Office.	High impact. Funding for the unfunded positions will increase its ability to timely implement tax law changes, rules changes, or other operational initiatives in the TSM. This position requires knowledge of Hawaii tax laws, DOTAX's informaton system, and DOTAX's business process.					2	(2)	201,600
TAX100	CH	AR	5	7	Establish one (1) Delinquent Tax Collection Assistant (DTCA) III in the Hawaii District Office.	High Impact. The DTCA III was inadvertently abolished in FB21-23, ACT88, SLH21. The position supervises lower level DTCA's and handles the complex cases. The FTE and funding will allow the office to close more of backlog of cases and generate revenue.					1		29,250
TAX100	CK	AR	6	8	Establish one (1) Delinquent Tax Collection Assistant (DTCA) III in the Kauai District Office.	High Impact. The DTCA III was inadvertently abolished in FB21-23, ACT88, SLH21. The position supervises lower level DTCA's and handles the complex cases. The FTE and funding will allow the office to close more of backlog of cases and generate revenue.					1		29,250
TAX105	BA	AR	1	9	Fund two (2) Management Analyst IV, one (1) Tax Information Technician, one (1) Secretary III, one (1) Cashier II, and one (1) Office Assistant III unfunded positions in the Tax Services & Processing (TSP) Division.	High impact. Funding for the unfunded positions will enable the Document Processing Branch to accurately and timely process returns, mail, and payments.							305,748
TAX100	CM	AR	7	10	Fund one (1) Tax Information Technician II, one (1) Tax Return Examiner III, One (1) Auditor IV, and one (1) Auditor V unfunded positions in the Maui District Office.	High impact. Funding for these positions will support DOTAX's efforts for tax enforcement and voluntary tax compliance in Maui. An auditor will bring in \$3 million in assessments a year.							239,076
TAX105	BC	NR	2	19	Add one (1) permanent position to correct the budget prep error made in FB 21-23 biennium budget, Act 88 SLH21.	Request to correct a duplicate positon reduction error in the FB21-23 executive budget, Act 88 SLH21.					1		

Department of Taxation
Proposed Budget Additions

Table 6

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Addition Type</u>	<u>Prog ID Priority</u>	<u>Dept- Wide Priority</u>	<u>Description of Addition</u>	<u>Justification</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
TAX107	AA	AR	3	20	Fund the Tax System Modernization (TSM) Project for maintenance, cloud hosted services, and professional services. See Table 4 - Attachment A, TSM/ITSO Budget.	High Impact. The Tax System Modernization (TSM) Project is not funded for FY23. The TSM project annual software/hardware maintenance support and cloud hosted services are contracted through FY25. Cloud hosted services was added to the contract in FY20. The GenTax system, and its supporting subsystems such as Captiva, Deposit 21, and IBML are critical to the operation of the State of Hawaii Department of Taxation (DOTAX). DOTAX has continued to improve the system with the goal to digitize the bulk of taxpayer services. The operation and maintenance of these systems require vendor personnel and maintenance contracts.							6,252,251
TAX107	AA	AR	4	21	Fund replacement of IT infrastructure equipment at colocated datacenter. See Table 4 - Attachment B, ITSO Equipment Purchase Schedule.	High Impact. Equipment at the Datacenter was purchased in 2018 and will go out of support in 2023: The existing HCV setup is running at capacity and will need to scale to meet the departments demands in the future.							350,000
TAX107	AA	AR	5	22	Additional funding for a Business Analyst Manager position to oversee the Quality Control (QC) Office and the System Administration (SysAd)Office.	High Impact. The request is to fund a Business Analyst Manager (EM03) position that will oversee the System Administration and the Quality Control Office. If the Business Analyst Manager was not funded and not filled, there will be lack of guidance and coordination of works, delay in answering users' questions regarding the functionality of DOTAX's information system, delay in fixing or addressing issues with DOTAX's information system, lack of documentation of business requirement and change management, and inability to analyze and make recommendation for business process improvement to fully utilize and leverage DOTAX's information system. It may lead to inability to timely implement certain tax law changes, rules changes, or other operational initiatives.							44,718
					TOTALS						8	(2)	8,443,257
					Addition type								
					AR	Additional resources for current programs							
					NR	non-recurring item							

Department of Taxation
 FB 2020 - 2022 Restrictions

Table 7

<u>Fiscal</u> <u>Year</u>	<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by</u> <u>Dept</u>	<u>Restriction</u>	<u>Difference</u> <u>Between</u> <u>Budgeted &</u> <u>Restricted</u>	<u>Percent</u> <u>Difference</u>	<u>Impact</u>
2022	TAX100	CH	A	1,416,256	\$ 36,342	\$ 1,379,914	2.57%	The restrictions reduced the effectiveness of the programs.
2022	TAX100	CK	A	699,422	\$ 111,462	\$ 587,960	15.94%	
2022	TAX100	CM	A	1,147,733	\$ 77,174	\$ 1,070,559	6.72%	
2022	TAX100	CO	A	2,751,776	\$ 166,926	\$ 2,584,850	6.07%	
2022	TAX100	CP	A	2,039,598	\$ 132,552	\$ 1,907,046	6.50%	
2022	TAX100	EO	A	2,153,068	\$ 76,051	\$ 2,077,017	3.53%	
2022	TAX105	BA	A	2,931,825	\$ 227,225	\$ 2,704,600	7.75%	The restrictions reduced the effectiveness of the programs.
2022	TAX105	BB	A	345,918	\$ -	\$ 345,918	0.00%	
2022	TAX105	BC	A	2,847,244	\$ 133,094	\$ 2,714,150	4.67%	
2022	TAX107	AA	A	6,356,867	\$ 327,120	\$ 6,029,747	5.15%	The restrictions reduced the effectiveness of the programs.
2022	TAX107	AC	A	1,940,642	\$ 186,436	\$ 1,754,206	9.61%	
2022	TAX107	AD	A	432,279	\$ -	\$ 432,279	0.00%	
2021	TAX100	CH	A	1,304,975	\$ 135,799	\$ 1,169,176	10.41%	The restrictions reduced the effectiveness of the programs.
2021	TAX100	CK	A	646,124	\$ 67,237	\$ 578,887	10.41%	
2021	TAX100	CM	A	1,104,320	\$ 114,918	\$ 989,402	10.41%	
2021	TAX100	CO	A	2,693,607	\$ 280,304	\$ 2,413,303	10.41%	
2021	TAX100	CP	A	1,884,640	\$ 196,121	\$ 1,688,519	10.41%	
2021	TAX100	EO	A	1,972,590	\$ 205,273	\$ 1,767,317	10.41%	
2021	TAX105	BA	A	2,997,065	\$ 224,002	\$ 2,773,063	7.47%	The restrictions reduced the effectiveness of the programs.
2021	TAX105	BB	A	316,499	\$ -	\$ 316,499	0.00%	
2021	TAX105	BC	A	2,622,371	\$ 195,998	\$ 2,426,373	7.47%	
2021	TAX107	AA	A	12,167,687	\$ 1,031,325	\$ 11,136,362	8.48%	The restrictions reduced the effectiveness of the programs.
2021	TAX107	AC	A	1,687,286	\$ 137,503	\$ 1,549,783	8.15%	
2021	TAX107	AD	A	487,587	\$ -	\$ 487,587	0.00%	
2020	TAX100	CH	A	1,514,951	\$ 159,057	\$ 1,355,894	10.50%	The restrictions reduced the effectiveness of the programs.
2020	TAX100	CK	A	969,800	\$ 101,821	\$ 867,979	10.50%	
2020	TAX100	CM	A	1,306,964	\$ 137,220	\$ 1,169,744	10.50%	
2020	TAX100	CO	A	3,022,275	\$ 317,314	\$ 2,704,961	10.50%	
2020	TAX100	CP	A	2,486,920	\$ 261,106	\$ 2,225,814	10.50%	
2020	TAX100	EO	A	2,539,146	\$ 266,589	\$ 2,272,557	10.50%	
2020	TAX105	BA	A	3,917,046	\$ 431,212	\$ 3,485,834	11.01%	The restrictions reduced the effectiveness of the programs.
2020	TAX105	BB	A	349,475	\$ 38,472	\$ 311,003	11.01%	
2020	TAX105	BC	A	2,887,246	\$ 317,845	\$ 2,569,401	11.01%	
2020	TAX107	AA	A	11,625,699	\$ 1,210,192	\$ 10,415,507	10.41%	The restrictions reduced the effectiveness of the programs.
2020	TAX107	AC	A	2,435,246	\$ 253,500	\$ 2,181,746	10.41%	
2020	TAX107	AD	A	487,587	\$ 50,756	\$ 436,831	10.41%	

Department of Taxation
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
NONE						

Department of Taxation
Expenditures Exceeding Appropriation Ceilings in FY21 and FY22

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
			NONE						

Department of Taxation
 Intradepartmental Transfers in FY21 and FY22

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
6/30/2021	A	1		46,932	TAX107AA	1.3%	TAX105BC	1.6%	This transfer was approved on June 18, 2021 by the Governor to establish the Tax Registration Section. The transfer of the Tax Information Specialist to the new Tax Registration Section is necessary to provide professional level supervision and analysis to direct the activities of managing tax accounts and communications with taxpayers of this section.	N

Department of Taxation
Vacancy Report as of November 30, 2021
In Priority Order

Table 11-A

Prog ID	Sub- Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain	Note: Recruitment status
TAX100	CK	2/11/2021	12/1/2021	00117461	Tax Returns Examiner III	N	SR13	03	P	1.00	A	\$ 46,272.00	\$ 39,540.00	Y	N			1	Filled
TAX100	CM	2/26/2021	12/1/2021	00001582	District Tax Manager	N	EM05	35	P	1.00	A	\$ 102,305.00	\$ 117,000.00	Y	N			2	Filled
TAX107	AC	5/1/2019	12/1/2021	00015253	Secretary II	N	SR14	63	P	1.00	A	\$ 44,496.00	\$ 38,230.40	Y	N			3	Filled
TAX100	CH	12/31/2019	12/16/2021	00005775	Auditor V	N	SR24	13	P	1.00	A	\$ 72,684.00	\$ 86,700.00	Y	N			4	Filled
TAX107	AA	3/1/2021	1/16/2022	00012965	Human Resources Technician VI	N	SR15	63	P	1.00	A	\$ 50,016.00	\$ 50,016.00	Y	N			5	Selection made
TAX107	AA	8/16/2021	1/16/2022	00118035	Administrative Rules Spclt	Y	SRNA	73	T	1.00	A	\$ 100,008.00	\$ 74,604.00	Y	N			6	Selection made
TAX100	EO	2/3/2021	1/16/2022	00039122	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ 50,822.00	\$ 46,272.00	Y	N			7	Selection made
TAX107	AC	10/1/2021	1/16/2022	00118676	General Professional IV	N	SR22	13	P	1.00	A	\$ 67,200.00	\$ 67,200.00	Y	N			8	Selection made
TAX107	AC	7/2/2021	1/16/2022	00036733	General Professional IV	N	SR20	13	P	1.00	A	\$ 51,024.00	\$ 51,024.00	Y	N			9	Selection made
TAX100	CP	2/16/2021	1/31/2021	00001539	Auditor VI	N	SR26	23	P	1.00	A	\$ 79,752.00	\$ 85,032.00	Y	N			10	Interviewing
TAX100	CP	7/1/2020	1/31/2021	00026338	Auditor V	N	SR24	13	P	1.00	A	\$ 72,684.00	\$ 90,144.00	Y	N			11	Interviewing
TAX100	CP	10/1/2021	1/31/2021	00021192	Auditor V	N	SR24	13	P	1.00	A	\$ 69,876.00	\$ 69,876.00	Y	N			12	Interviewing
TAX107	AC	8/6/2021	1/31/2021	00120871	Information Technology Band B	N	SR22	13	P	1.00	A	\$ 69,876.00	\$ 69,876.00	Y	N			13	Interviewing
TAX100	CP	10/1/2021	1/31/2021	00011873	Secretary II	N	SR14	03	P	1.00	A	\$ 42,792.00	\$ 42,792.00	Y	N			14	Interviewing
TAX105	BC	10/1/2021	2/28/2022	00026370	Tax Information Tech II	N	SR13	03	P	1.00	A	\$ 39,540.00	\$ 39,540.00	Y	N			15	Posted
TAX105	BC	11/16/2021	2/28/2022	00026722	Tax Information Specialist I	N	SR20	13	P	1.00	A	\$ 55,200.00	\$ 55,200.00	Y	N			16	Posted
TAX100	EO	8/2/2021	2/28/2022	00021949	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ 58,488.00	\$ 63,288.00	Y	N			17	Posted
TAX100	CO	10/18/2021	2/28/2022	00117463	Tax Returns Examiner II	N	SR15	03	P	1.00	A	\$ 42,792.00	\$ 42,792.00	Y	N			18	Posted
TAX100	CK	3/18/2021	2/28/2022	00001619	District Tax Manager	N	EM05	35	P	1.00	A	\$ 114,900.00	\$ 123,612.00	Y	N			19	Posted
TAX100	EO	12/1/2020	2/28/2022	00047873	Delinquent Tax Coll Asst I	N	SR15	03	P	1.00	A	\$ 42,792.00	\$ 41,364.00	Y	N			20	Posted
TAX107	AA	6/28/2021	2/28/2022	00120984	Admin Rules Spclt -App Case Sp	N	SRNA	73	P	1.00	A	\$ 91,116.00	\$ 104,232.00	Y	N			21	Posted
TAX100	CO	11/16/2021	2/28/2022	00121108	Tax Returns Examiner II	N	SR13	03	P	1.00	A	\$ 42,792.00	\$ 39,540.00	Y	N			22	Posted
TAX100	CO	11/16/2021	2/28/2022	00121107	Tax Returns Examiner II	N	SR15	03	P	1.00	A	\$ 42,792.00	\$ 63,288.00	Y	N			23	Posted
TAX100	CO	11/16/2021	2/28/2022	00003689	Tax Returns Examiner II	N	SR13	03	P	1.00	A	\$ 42,792.00	\$ 39,540.00	Y	N			24	Posted
TAX100	CO	5/1/2021	2/28/2022	00011504	Tax Returns Examiner IV	N	SR20	04	P	1.00	A	\$ 63,216.00	\$ 63,216.00	Y	N			25	Posted
TAX107	AC	5/1/2020	2/28/2022	00042923	Information Technology Band B	N	SR22	13	P	1.00	A	\$ 67,200.00	\$ 80,112.00	Y	N			26	Posted
TAX100	CM	11/16/2021	3/31/2022	00026308	Delinquent Tax Coll Asst I	N	SR15	03	P	1.00	A	\$ 52,044.00	\$ 52,044.00	Y	N			27	Pre-posting
TAX100	CK	11/3/2020	3/31/2022	00004421	Tax Returns Examiner IV	N	SR20	04	P	1.00	A	\$ 61,752.00	\$ 68,580.00	Y	N			28	Pre-posting
TAX107	AC	6/1/2020	3/31/2022	00120344	Information Technology Band B	N	SR18	13	P	1.00	A	\$ 55,200.00	\$ 50,004.00	Y	N			29	Pre-posting
TAX105	BA	9/16/2019	3/31/2022	00118236	Tax Clerk	N	SR12	03	P	1.00	A	\$ 38,004.00	\$ 35,340.00	Y	N			30	Pre-posting
TAX105	BC	2/18/2020	3/31/2022	00118238	Tax Clerk	N	SR12	03	P	1.00	A	\$ 36,100.00	\$ 35,340.00	Y	N			31	Pre-posting
TAX105	BA	6/27/2020	3/31/2022	00121084	Tax Clerk	N	SR12	03	T	1.00	A	\$ 38,004.00	\$ 35,340.00	Y	N			32	Pre-posting
TAX105	BC	12/31/2020	3/31/2022	00016051	Supervising Tax Clerk II	N	SR17	04	P	1.00	A	\$ 52,848.00	\$ 65,904.00	Y	N			33	Pre-posting
TAX105	BA	10/16/2019	3/31/2022	00118230	Tax Clerk	N	SR12	03	P	1.00	A	\$ 38,004.00	\$ 35,340.00	Y	N			34	Pre-posting
TAX105	BC	10/1/2021	3/31/2022	00001510	Tax Information Tech II	N	SR15	03	P	1.00	A	\$ 44,496.00	\$ 44,496.00	Y	N			35	Pre-posting
TAX105	BA	11/1/2021	3/31/2022	00049971	Tax Clerk	N	SR12	03	P	1.00	A	\$ 38,004.00	\$ 38,004.00	Y	N			36	Pre-posting
TAX105	BC	11/1/2021	3/31/2022	00118240	Tax Clerk	N	SR12	03	P	1.00	A	\$ 38,004.00	\$ 38,004.00	Y	N			37	Pre-posting
TAX107	AA	12/31/2018	3/31/2022	00001542	Information Technology Band B	N	SR24	23	P	1.00	A	\$ 71,232.00	\$ 88,248.00	Y	N			38	Pre-posting
TAX107	AA	7/1/2021	3/31/2022	00030106	Income Tax Specialist V	N	SR24	13	P	1.00	A	\$ 84,660.00	\$ 91,968.00	Y	N		TA	39	Pre-posting
TAX107	AA	6/1/2021	3/31/2022	00040345	Human Resources Spclt V	N	SR24	73	P	1.00	A	\$ 69,876.00	\$ 69,876.00	Y	N			40	Pre-posting
TAX107	AC	12/31/2019	3/31/2022	00010930	Information Technology Band B	N	SR24	23	P	1.00	A	\$ 71,232.00	\$ 90,144.00	Y	N			41	Pre-posting
TAX107	AA	6/30/2021	3/31/2022	00001562	Business Analyst	N	SRNA	73	P	1.00	A	\$ 95,364.00	\$ 95,364.00	Y	N			42	Pre-posting
TAX105	BA	9/1/2021	3/31/2022	00003888	Office Assistant IV	N	SR10	03	P	1.00	A	\$ 35,196.00	\$ 35,196.00	Y	N			43	Pre-posting
TAX105	BA	8/11/2021	3/31/2022	00038688	Office Assistant III	N	SR08	03	P	1.00	A	\$ 33,120.00	\$ 33,120.00	Y	N			44	Pre-posting
TAX105	BA	7/1/2020	3/31/2022	00046128	Office Assistant III	N	SR08	03	P	1.00	A	\$ 33,120.00	\$ 34,020.00	Y	N			45	Pre-posting
TAX107	AA	10/1/2021	3/31/2022	0015143	Income Tax Specialist V	N	SR24	13	P	1.00	A	\$ 91,968.00	\$ 91,968.00	Y	N		TA	46	Pre-posting
TAX107	AA	10/18/2019	3/31/2022	00122697	SES Senior Investigator	Y	SRNA	73	T	1.00	B	\$ 59,692.00	\$ 97,044.00	Y	N			47	Pre-posting
TAX107	AA	2/1/2020	3/31/2022	00122266	Spcl Enfc Section Investigator	Y	SRNA	13	T	1.00	B	\$ 45,519.00	\$ 38,792.00	Y	Y	4		48	Pre-posting

Department of Taxation
Vacancy Report as of November 30, 2021
In Priority Order

Table 11-A

Prog ID	Sub- Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain	Note: Recruitment status
TAX107	AA	7/1/2021	3/31/2022	00122698	Spcl Enfc Investigator	Y	SRNA	73	T	1.00	B	\$ 50,916.00	\$ 50,916.00	Y	N		TA	49	Pre-posting
TAX107	AA	7/1/2021	3/31/2022	00122700	Spcl Enfc Investigator	Y	SRNA	73	T	1.00	B	\$ 50,916.00	\$ 48,060.00	Y	N			50	Pre-posting
TAX100	CP	12/1/2018		00004413	Criminal Investigator	Y	SRNA	73	P	1.00	A	\$ -	\$ 95,436.00	N	N			51	1-request to fund
TAX100	CP	12/1/2018		00016049	Criminal Investigator	Y	SRNA	13	P	1.00	A	\$ -	\$ 95,436.00	N	N			52	1-request to fund
TAX100	CP	1/2/2020		00001606	Criminal Investigator	Y	SRNA	13	P	1.00	A	\$ -	\$ 90,144.00	N	N			53	1-request to fund
TAX100	EO	10/1/2020		00039123	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 66,192.00	N	N			54	2-request to fund
TAX100	EO	12/31/2019		00039121	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 61,176.00	N	N			55	2-request to fund
TAX100	EO	10/16/2019		00047881	Delinquent Tax Coll Asst I	N	SR15	03	P	1.00	A	\$ -	\$ 39,720.00	N	N			56	2-request to fund
TAX100	EO	10/15/2019		00026310	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 44,724.00	N	N			57	2-request to fund
TAX100	EO	7/1/2019		00033459	Delinquent Tax Coll Asst I	N	SR15	03	P	1.00	A	\$ -	\$ 43,008.00	N	N			58	2-request to fund
TAX107	AC	1/2/2019		00027600	Information Technology Band B	N	SR24	13	P	1.00	A	\$ -	\$ 88,248.00	N	N			59	3-request to fund
TAX107	AC	1/2/2019		00001502	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 55,092.00	N	N			60	3-request to fund
TAX107	AC	1/14/2020		00042926	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 68,484.00	N	N			61	3-request to fund
TAX107	AC	6/28/2019		00120347	Information Technology Band B	N	SR20	13	P	1.00	A	\$ -	\$ 50,916.00	N	N			62	3-request to fund
TAX100	CP	12/2/2019		00033420	Auditor V	N	SR24	13	P	1.00	A	\$ -	\$ 90,144.00	N	N			63	5-request to fund
TAX100	CP	9/7/2018		00117445	Auditor IV	N	SR22	13	P	1.00	A	\$ -	\$ 57,324.00	N	N			64	5-request to fund
TAX107	AC	8/15/2019		00110184	Management Analyst IV	N	SR16	13	P	1.00	A	\$ -	\$ 56,280.00	N	N			65	6-request to fund
TAX107	AC	8/1/2019		00121074	Information Technology Band B	N	SR16	13	T	1.00	A	\$ -	\$ 44,472.00	N	N			66	6-request to fund
TAX107	AC	10/16/2018		00121076	Information Technology Band B	N	SR16	13	T	1.00	A	\$ -	\$ 41,856.00	N	N			67	6-request to fund
TAX105	BA	7/1/2019		00123039	Management Analyst IV	N	SR22	13	P	1.00	A	\$ -	\$ -	N	N			68	9-request to fund
TAX105	BA	11/15/2019		00001558	Tax Information Tech II	N	SR12	03	P	1.00	A	\$ -	\$ 35,340.00	N	N			69	9-request to fund
TAX105	BA	7/1/2019		00123040	Management Analyst IV	N	SR22	13	P	1.00	A	\$ -	\$ -	N	N			70	9-request to fund
TAX105	BA	5/16/2019		00027115	Cashier II	N	SR12	03	P	1.00	A	\$ -	\$ 35,340.00	N	N			71	9-request to fund
TAX105	BA	3/16/2020		00001513	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,240.00	N	N			72	9-request to fund
TAX105	BA	12/31/2018		00005765	Secretary III	N	SR16	63	P	1.00	A	\$ -	\$ 49,680.00	N	N			73	9-request to fund
TAX100	CM	12/31/2019		00011428	Auditor V	N	SR24	13	P	1.00	A	\$ -	\$ 90,144.00	N	N			74	10-request to fund
TAX100	CM	8/1/2020		00047614	Tax Returns Examiner III	N	SR17	03	P	1.00	A	\$ -	\$ 58,824.00	N	N			75	10-request to fund
TAX100	CM	9/16/2019		00004417	Auditor IV	N	SR20	13	P	1.00	A	\$ -	\$ 56,280.00	N	N			76	10-request to fund
TAX100	CM	2/12/2020		00001574	Tax Information Tech II	N	SR15	03	P	1.00	A	\$ -	\$ 46,476.00	N	N			77	10-request to fund
TAX100	CP	7/1/2019		00001538	Criminal Investigator	Y	SRNA	13	P	1.00	A	\$ -	\$ 90,144.00	N	N			78	
TAX100	CP	3/7/2020		00118027	Criminal Investigator	Y	SRNA	13	T	1.00	A	\$ -	\$ 90,144.00	N	N			79	
TAX100	EO	7/17/2018		00001450	Tax Clerk	N	SR12	03	P	1.00	A	\$ -	\$ 35,339.20	N	N			80	
TAX100	EO	12/16/2018		00120117	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 43,014.40	N	N			81	
TAX100	EO	10/12/2019		00016056	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,243.20	N	N			82	
TAX100	EO	12/31/2019		00117470	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 63,612.00	N	N			83	
TAX100	EO	12/31/2019		00117475	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 63,612.00	N	N			84	
TAX105	BA	7/16/2019		00118445	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,243.20	N	N			85	
TAX105	BA	10/16/2019		00118442	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,243.20	N	N			86	
TAX105	BA	1/31/2020		00001566	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,240.00	N	N			87	
TAX105	BA	3/16/2020		00001492	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,240.00	N	N			88	
TAX107	AA	7/1/2020		00117972	Administrative Rules Spclt	Y	SRNA	73	T	1.00	A	\$ -	\$ 99,228.00	N	N			89	
TAX107	AA	7/10/2020		00104171	Deputy Director Of Taxation	Y	SRNA	00	P	1.00	A	\$ -	\$ 142,416.00	N	N			90	
TAX107	AC	2/7/2019		00120350	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 67,044.00	N	N			91	
TAX107	AC	8/1/2019		00028863	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 56,280.00	N	N			92	
TAX107	AC	10/1/2019		00120348	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 58,560.00	N	N			93	
TAX107	AD	10/1/2020		00003697	Office Assistant IV	N	SR10	03	P	1.00	A	\$ -	\$ -	N	N			94	Removed/HRMS
TOTALS										94.00		\$ 2,908,698							

Department of Taxation
Vacancy Report as of November 30, 2021
By Program ID and Sub-org

Table 11-B

Prog ID	Sub- Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain	Note: Recruitment status
TAX100	CH	12/31/2019	12/16/2021	00005775	Auditor V	N	SR24	13	P	1.00	A	\$ 72,684.00	\$ 86,700.00	Y	N			4	Filled
TAX100	CK	2/11/2021	12/1/2021	00117461	Tax Returns Examiner III	N	SR13	03	P	1.00	A	\$ 46,272.00	\$ 39,540.00	Y	N			1	Filled
TAX100	CK	3/18/2021	2/28/2022	00001619	District Tax Manager	N	EM05	35	P	1.00	A	\$ 114,900.00	\$ 123,612.00	Y	N			19	Posted
TAX100	CK	11/3/2020	3/31/2022	00004421	Tax Returns Examiner IV	N	SR20	04	P	1.00	A	\$ 61,752.00	\$ 68,580.00	Y	N			28	Pre-posting
TAX100	CM	2/26/2021	12/1/2021	00001582	District Tax Manager	N	EM05	35	P	1.00	A	\$ 102,305.00	\$ 117,000.00	Y	N			2	Filled
TAX100	CM	11/16/2021	3/31/2022	00026308	Delinquent Tax Coll Asst I	N	SR15	03	P	1.00	A	\$ 52,044.00	\$ 52,044.00	Y	N			27	Pre-posting
TAX100	CM	12/31/2019		00011428	Auditor V	N	SR24	13	P	1.00	A	\$ -	\$ 90,144.00	N	N			74	10-request to fund
TAX100	CM	8/1/2020		00047614	Tax Returns Examiner III	N	SR17	03	P	1.00	A	\$ -	\$ 58,824.00	N	N			75	10-request to fund
TAX100	CM	9/16/2019		00004417	Auditor IV	N	SR20	13	P	1.00	A	\$ -	\$ 56,280.00	N	N			76	10-request to fund
TAX100	CM	2/12/2020		00001574	Tax Information Tech II	N	SR15	03	P	1.00	A	\$ -	\$ 46,476.00	N	N			77	10-request to fund
TAX100	CO	10/18/2021	2/28/2022	00117463	Tax Returns Examiner II	N	SR15	03	P	1.00	A	\$ 42,792.00	\$ 42,792.00	Y	N			18	Posted
TAX100	CO	11/16/2021	2/28/2022	00121108	Tax Returns Examiner II	N	SR13	03	P	1.00	A	\$ 42,792.00	\$ 39,540.00	Y	N			22	Posted
TAX100	CO	11/16/2021	2/28/2022	00121107	Tax Returns Examiner II	N	SR15	03	P	1.00	A	\$ 42,792.00	\$ 63,288.00	Y	N			23	Posted
TAX100	CO	11/16/2021	2/28/2022	00003689	Tax Returns Examiner II	N	SR13	03	P	1.00	A	\$ 42,792.00	\$ 39,540.00	Y	N			24	Posted
TAX100	CO	5/1/2021	2/28/2022	00011504	Tax Returns Examiner IV	N	SR20	04	P	1.00	A	\$ 63,216.00	\$ 63,216.00	Y	N			25	Posted
TAX100	CP	2/16/2021	1/31/2021	00001539	Auditor VI	N	SR26	23	P	1.00	A	\$ 79,752.00	\$ 85,032.00	Y	N			10	Interviewing
TAX100	CP	7/1/2020	1/31/2021	00026338	Auditor V	N	SR24	13	P	1.00	A	\$ 72,684.00	\$ 90,144.00	Y	N			11	Interviewing
TAX100	CP	10/1/2021	1/31/2021	00021192	Auditor V	N	SR24	13	P	1.00	A	\$ 69,876.00	\$ 69,876.00	Y	N			12	Interviewing
TAX100	CP	10/1/2021	1/31/2021	00011873	Secretary II	N	SR14	03	P	1.00	A	\$ 42,792.00	\$ 42,792.00	Y	N			14	Interviewing
TAX100	CP	12/1/2018		00004413	Criminal Investigator	Y	SRNA	73	P	1.00	A	\$ -	\$ 95,436.00	N	N			51	1-request to fund
TAX100	CP	12/1/2018		00016049	Criminal Investigator	Y	SRNA	13	P	1.00	A	\$ -	\$ 95,436.00	N	N			52	1-request to fund
TAX100	CP	1/2/2020		00001606	Criminal Investigator	Y	SRNA	13	P	1.00	A	\$ -	\$ 90,144.00	N	N			53	1-request to fund
TAX100	CP	12/2/2019		00033420	Auditor V	N	SR24	13	P	1.00	A	\$ -	\$ 90,144.00	N	N			63	5-request to fund
TAX100	CP	9/7/2018		00117445	Auditor IV	N	SR22	13	P	1.00	A	\$ -	\$ 57,324.00	N	N			64	5-request to fund
TAX100	CP	7/1/2019		00001538	Criminal Investigator	Y	SRNA	13	P	1.00	A	\$ -	\$ 90,144.00	N	N			78	
TAX100	CP	3/7/2020		00118027	Criminal Investigator	Y	SRNA	13	T	1.00	A	\$ -	\$ 90,144.00	N	N			79	
TAX100	EO	2/3/2021	1/16/2022	00039122	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ 50,822.00	\$ 46,272.00	Y	N			7	Selection made
TAX100	EO	8/2/2021	2/28/2022	00021949	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ 58,488.00	\$ 63,288.00	Y	N			17	Posted
TAX100	EO	12/1/2020	2/28/2022	00047873	Delinquent Tax Coll Asst I	N	SR15	03	P	1.00	A	\$ 42,792.00	\$ 41,364.00	Y	N			20	Posted
TAX100	EO	10/1/2020		00039123	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 66,192.00	N	N			54	2-request to fund
TAX100	EO	12/31/2019		00039121	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 61,176.00	N	N			55	2-request to fund
TAX100	EO	10/16/2019		00047881	Delinquent Tax Coll Asst I	N	SR15	03	P	1.00	A	\$ -	\$ 39,720.00	N	N			56	2-request to fund
TAX100	EO	10/15/2019		00026310	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 44,724.00	N	N			57	2-request to fund
TAX100	EO	7/1/2019		00033459	Delinquent Tax Coll Asst I	N	SR15	03	P	1.00	A	\$ -	\$ 43,008.00	N	N			58	2-request to fund
TAX100	EO	7/17/2018		00001450	Tax Clerk	N	SR12	03	P	1.00	A	\$ -	\$ 35,339.20	N	N			80	
TAX100	EO	12/16/2018		00120117	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 43,014.40	N	N			81	
TAX100	EO	10/12/2019		00016056	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,243.20	N	N			82	
TAX100	EO	12/31/2019		00117470	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 63,612.00	N	N			83	
TAX100	EO	12/31/2019		00117475	Delinquent Tax Coll Asst II	N	SR17	03	P	1.00	A	\$ -	\$ 63,612.00	N	N			84	
TAX105	BA	9/16/2019	3/31/2022	00118236	Tax Clerk	N	SR12	03	P	1.00	A	\$ 38,004.00	\$ 35,340.00	Y	N			30	Pre-posting
TAX105	BA	6/27/2020	3/31/2022	00121084	Tax Clerk	N	SR12	03	T	1.00	A	\$ 38,004.00	\$ 35,340.00	Y	N			32	Pre-posting
TAX105	BA	10/16/2019	3/31/2022	00118230	Tax Clerk	N	SR12	03	P	1.00	A	\$ 38,004.00	\$ 35,340.00	Y	N			34	Pre-posting
TAX105	BA	11/1/2021	3/31/2022	00049971	Tax Clerk	N	SR12	03	P	1.00	A	\$ 38,004.00	\$ 38,004.00	Y	N			36	Pre-posting
TAX105	BA	9/1/2021	3/31/2022	00003888	Office Assistant IV	N	SR10	03	P	1.00	A	\$ 35,196.00	\$ 35,196.00	Y	N			43	Pre-posting
TAX105	BA	8/11/2021	3/31/2022	00038688	Office Assistant III	N	SR08	03	P	1.00	A	\$ 33,120.00	\$ 33,120.00	Y	N			44	Pre-posting
TAX105	BA	7/1/2020	3/31/2022	00046128	Office Assistant III	N	SR08	03	P	1.00	A	\$ 33,120.00	\$ 34,020.00	Y	N			45	Pre-posting
TAX105	BA	7/1/2019		00123039	Management Analyst IV	N	SR22	13	P	1.00	A	\$ -	\$ -	N	N			68	9-request to fund
TAX105	BA	11/15/2019		00001558	Tax Information Tech II	N	SR12	03	P	1.00	A	\$ -	\$ 35,340.00	N	N			69	9-request to fund

Department of Taxation
Vacancy Report as of November 30, 2021
By Program ID and Sub-org

Table 11-B

Prog ID	Sub- Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain	Note: Recruitment status
TAX105	BA	7/1/2019		00123040	Management Analyst IV	N	SR22	13	P	1.00	A	\$ -	\$ -	N	N			70	9-request to fund
TAX105	BA	5/16/2019		00027115	Cashier II	N	SR12	03	P	1.00	A	\$ -	\$ 35,340.00	N	N			71	9-request to fund
TAX105	BA	3/16/2020		00001513	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,240.00	N	N			72	9-request to fund
TAX105	BA	12/31/2018		00005765	Secretary III	N	SR16	63	P	1.00	A	\$ -	\$ 49,680.00	N	N			73	9-request to fund
TAX105	BA	7/16/2019		00118445	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,243.20	N	N			85	
TAX105	BA	10/16/2019		00118442	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,243.20	N	N			86	
TAX105	BA	1/31/2020		00001566	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,240.00	N	N			87	
TAX105	BA	3/16/2020		00001492	Office Assistant III	N	SR08	03	P	1.00	A	\$ -	\$ 30,240.00	N	N			88	
TAX105	BC	10/1/2021	2/28/2022	00026370	Tax Information Tech II	N	SR13	03	P	1.00	A	\$ 39,540.00	\$ 39,540.00	Y	N			15	Posted
TAX105	BC	11/16/2021	2/28/2022	00026722	Tax Information Specialist I	N	SR20	13	P	1.00	A	\$ 55,200.00	\$ 55,200.00	Y	N			16	Posted
TAX105	BC	2/18/2020	3/31/2022	00118238	Tax Clerk	N	SR12	03	P	1.00	A	\$ 36,100.00	\$ 35,340.00	Y	N			31	Pre-posting
TAX105	BC	12/31/2020	3/31/2022	00016051	Supervising Tax Clerk II	N	SR17	04	P	1.00	A	\$ 52,848.00	\$ 65,904.00	Y	N			33	Pre-posting
TAX105	BC	10/1/2021	3/31/2022	00001510	Tax Information Tech II	N	SR15	03	P	1.00	A	\$ 44,496.00	\$ 44,496.00	Y	N			35	Pre-posting
TAX105	BC	11/1/2021	3/31/2022	00118240	Tax Clerk	N	SR12	03	P	1.00	A	\$ 38,004.00	\$ 38,004.00	Y	N			37	Pre-posting
TAX107	AA	3/1/2021	1/16/2022	00012965	Human Resources Technician VI	N	SR15	63	P	1.00	A	\$ 50,016.00	\$ 50,016.00	Y	N			5	Selection made
TAX107	AA	8/16/2021	1/16/2022	00018035	Administrative Rules Spclt	Y	SRNA	73	T	1.00	A	\$ 100,008.00	\$ 74,604.00	Y	N			6	Selection made
TAX107	AA	6/28/2021	2/28/2022	00120984	Admin Rules Spclt -App Case Sp	N	SRNA	73	P	1.00	A	\$ 91,116.00	\$ 104,232.00	Y	N			21	Posted
TAX107	AA	12/31/2018	3/31/2022	00001542	Information Technology Band B	N	SR24	23	P	1.00	A	\$ 71,232.00	\$ 88,248.00	Y	N			38	Pre-posting
TAX107	AA	7/1/2021	3/31/2022	00030106	Income Tax Specialist V	N	SR24	13	P	1.00	A	\$ 84,660.00	\$ 91,968.00	Y	N		TA	39	Pre-posting
TAX107	AA	6/1/2021	3/31/2022	00040345	Human Resources Spclt V	N	SR24	73	P	1.00	A	\$ 69,876.00	\$ 69,876.00	Y	N			40	Pre-posting
TAX107	AA	6/30/2021	3/31/2022	00121602	Business Analyst	N	SRNA	73	P	1.00	A	\$ 95,364.00	\$ 95,364.00	Y	N			42	Pre-posting
TAX107	AA	10/1/2021	3/31/2022	00015143	Income Tax Specialist V	N	SR24	13	P	1.00	A	\$ 91,968.00	\$ 91,968.00	Y	N		TA	46	Pre-posting
TAX107	AA	10/18/2019	3/31/2022	00122697	SES Senior Investigator	Y	SRNA	73	T	1.00	B	\$ 59,692.00	\$ 97,044.00	Y	N			47	Pre-posting
TAX107	AA	2/1/2020	3/31/2022	00122666	Spcl Enfc Section Investigator	Y	SRNA	13	T	1.00	B	\$ 45,519.00	\$ 38,792.00	Y	Y	4		48	Pre-posting
TAX107	AA	7/1/2021	3/31/2022	00122698	Spcl Enfc Investigator	Y	SRNA	73	T	1.00	B	\$ 50,916.00	\$ 50,916.00	Y	N		TA	49	Pre-posting
TAX107	AA	7/1/2021	3/31/2022	00122700	Spcl Enfc Investigator	Y	SRNA	73	T	1.00	B	\$ 50,916.00	\$ 48,060.00	Y	N			50	Pre-posting
TAX107	AA	7/1/2020		00117972	Administrative Rules Spclt	Y	SRNA	73	T	1.00	A	\$ -	\$ 99,228.00	N	N			89	
TAX107	AA	7/10/2020		00104171	Deputy Director Of Taxation	Y	SRNA	00	P	1.00	A	\$ -	\$ 142,416.00	N	N			90	
TAX107	AC	5/1/2019	12/1/2021	00015253	Secretary II	N	SR14	63	P	1.00	A	\$ 44,496.00	\$ 38,230.40	Y	N			3	Filled
TAX107	AC	10/1/2021	1/16/2022	00118676	General Professional IV	N	SR22	13	P	1.00	A	\$ 67,200.00	\$ 67,200.00	Y	N			8	Selection made
TAX107	AC	7/2/2021	1/16/2022	00036733	General Professional IV	N	SR20	13	P	1.00	A	\$ 51,024.00	\$ 51,024.00	Y	N			9	Selection made
TAX107	AC	8/6/2021	1/31/2021	00120871	Information Technology Band B	N	SR22	13	P	1.00	A	\$ 69,876.00	\$ 69,876.00	Y	N			13	Interviewing
TAX107	AC	5/1/2020	2/28/2022	00042923	Information Technology Band B	N	SR22	13	P	1.00	A	\$ 67,200.00	\$ 80,112.00	Y	N			26	Posted
TAX107	AC	6/1/2020	3/31/2022	00120344	Information Technology Band B	N	SR18	13	P	1.00	A	\$ 55,200.00	\$ 50,004.00	Y	N			29	Pre-posting
TAX107	AC	12/31/2019	3/31/2022	00010930	Information Technology Band B	N	SR24	23	P	1.00	A	\$ 71,232.00	\$ 90,144.00	Y	N			41	Pre-posting
TAX107	AC	1/2/2019		00027600	Information Technology Band B	N	SR24	13	P	1.00	A	\$ -	\$ 88,248.00	N	N			59	3-request to fund
TAX107	AC	1/2/2019		00001502	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 55,092.00	N	N			60	3-request to fund
TAX107	AC	1/14/2020		00042926	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 68,484.00	N	N			61	3-request to fund
TAX107	AC	6/28/2019		00120347	Information Technology Band B	N	SR20	13	P	1.00	A	\$ -	\$ 50,916.00	N	N			62	3-request to fund
TAX107	AC	8/15/2019		00110184	Management Analyst IV	N	SR16	13	P	1.00	A	\$ -	\$ 56,280.00	N	N			65	6-request to fund
TAX107	AC	8/1/2019		00121074	Information Technology Band B	N	SR16	13	T	1.00	A	\$ -	\$ 44,472.00	N	N			66	6-request to fund
TAX107	AC	10/16/2018		00121076	Information Technology Band B	N	SR16	13	T	1.00	A	\$ -	\$ 41,856.00	N	N			67	6-request to fund
TAX107	AC	2/7/2019		00120350	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 67,044.00	N	N			91	
TAX107	AC	8/1/2019		00028863	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 56,280.00	N	N			92	
TAX107	AC	10/1/2019		00120348	Information Technology Band B	N	SR22	13	P	1.00	A	\$ -	\$ 58,560.00	N	N			93	
TAX107	AD	10/1/2020		00003697	Office Assistant IV	N	SR10	03	P	1.00	A	\$ -	\$ -	N	N			94	Removed/HRMS
					TOTALS					94.00		\$ 2,908,698							

Positions Filled and/or Established by Acts other than the State Budget as of November 30, 2021

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
		NONE												

Department of Taxation
Overtime Expenditure Summary

Table 13

Prog ID	Sub-Org	Program Title	MOF	FY21 (actual)			FY22 (estimated)			FY23 (budgeted)			Note
				Base Salary	Overtime	Overtime	Base Salary	Overtime	Overtime	Base Salary	Overtime	Overtime	
				\$\$\$\$	\$\$\$\$	Percent	\$\$\$\$	\$\$\$\$	Percent	\$\$\$\$	\$\$\$\$	Percent	
TAX100	CH	COMPLIANCE DIVISION - HAWAII	A	1,343,787	0	0.0%	1,416,256	0	0.0%	1,488,834	0	0.0%	
TAX100	CK	COMPLIANCE DIVISION - KAUAI	A	565,106	0	0.0%	699,422	0	0.0%	715,977	0	0.0%	
TAX100	CM	COMPLIANCE DIVISION - MAUI	A	999,180	0	0.0%	1,147,733	0	0.0%	1,399,504	0	0.0%	
TAX100	CO	COMPLIANCE ADMIN/OFFICE AUDIT	A	1,577,150	0	0.0%	1,793,542	0	0.0%	1,819,622	0	0.0%	
TAX100	CP	FIELD AUDIT/CRIMINAL INVESTIGATION	A	1,830,334	0	0.0%	2,039,598	0	0.0%	2,433,386	0	0.0%	
TAX100	EO	COLLECTIONS	A	1,857,671	0	0.0%	2,153,068	0	0.0%	2,395,660	0	0.0%	
TAX105	BA	DOCUMENT PROCESSING BRANCH	A	2,239,733	0	0.0%	2,750,025	0	0.0%	3,035,259	0	0.0%	
TAX105	BB	REVENUE ACCOUNTING	A	333,986	0	0.0%	345,918	0	0.0%	366,432	0	0.0%	
TAX105	BC	TAXPAYER SERVICES	A	2,883,773	0	0.0%	2,847,244	0	0.0%	2,894,176	0	0.0%	
TAX107	AA	DIRECTOR'S OFFICE/ADMIN SVCS/RULES/QUALITY CONTROL	A	3,298,324	0	0.0%	3,507,463	0	0.0%	3,327,604	0	0.0%	
TAX107	AC	INFORMATION TECHNOLOGY SERVICES OFFICE	A	1,182,522	914	0.1%	1,940,642	70,000	3.6%	2,539,514	70,000	2.8%	1
TAX107	AD	TAX RESEARCH & PLANNING OFFICE	A	482,453	0	0.0%	432,279	0	0.0%	498,228	0	0.0%	
TAX107	AA	SPECIAL ENFORCEMENT SECTION	B	468,811	0	0.0%	768,610	0	0.0%	\$ 768,610	0	0.0%	
		TOTALS		19,062,828	914	0.0%	21,841,800	70,000	0.3%	23,682,806	70,000	0.3%	
Note													
1	The plan for FY22 and FY23 is to have ITSO staff monitor the TSM system and support unforeseen problems (emergency) instead of vendors, so the OT budget for FY22 and FY23 is increased significantly												

Department of Taxation
Active Contracts as of December 1, 2021

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Term of Contract			Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
						Date Executed	From	To					
TAX 100	A	\$ 105,365.31	M	\$252,000 annually	\$ 146,634.69	7/1/2016	7/1/2016	On-going term 180 days notice	AG	Collection of DOTAX's delinquent tax accounts.	Collections branch receives monthly reports from the AG.	N	S
TAX 100	A	\$ 20,308.86	M	\$46,000 annually	\$ 25,691.14	8/1/2017	8/1/2017	On-going term 60 days notice	AG	Conduct Admin and felony criminal investigations involving misconduct and other issues involving DOTAX.	Personnel section to monitor cases with the AG.	N	S
TAX 107	A	\$ 22,339.74	M	\$83,000 annually	\$ 60,660.00	10/1/2017	10/1/2017	On-going term 30 days notice	Department of Public Safety MOA	Sheriff coverage at the Keelikolani Building	Admin Svcs Office monitors activity and review invoices.	N	S
TAX 107	C,A,V	\$ 59,648,913.73	O	\$ 63,398,877.00	\$ 3,749,963.27	7/15/2015	7/15/2015	6/30/2022	FAST Enterprises	Tax System Modernization (TSM) Project. Goods & services to implement an integrated tax system for DOTAX	Verified with our Tax System Modernization (TSM) team for services rendered.	N	S**
TAX 107	A	\$ 72,994.76	M	\$ 108,253.34	\$ 35,258.58	7/1/2019	7/1/2019	6/30/2022	Pacific Courier, Inc.	Security armored car service for DoTAX	Invoices are reviewed by the Admin Svcs Office.	N	S
TAX 107	A	\$ 724,401.51	M	\$ 1,169,411.69	\$ 445,010.18	8/1/2020	8/1/2020	7/31/2022	Information Capture Solutions, LLC	Annual Maintenance & Support for Software and Scanner for DoTAX	Reviewed by IT Office.	N	S**
TAX 107	A	\$ 81,675.36	M	\$ 326,701.44	\$ 245,026.08	7/1/2021	7/1/2021	6/30/2022	Information Capture Solutions, LLC	Professional services for DOTAX	Reviewed by IT Office.	N	S**
TAX 107	B	\$ 34,816.00	M	\$ 67,392.00	\$ 32,576.00	9/1/2020	9/1/2020	8/31/2022	Meyercord Revenue	To provide heat applied cigarette tax stamps.	The invoice is verified with our Taxpayer Services and Processing section.	N	G
Tax 100	A	\$ 49,090.18	M	\$ 85,311.89	\$ 36,221.71	11/22/2019	11/22/2019	11/21/2022	Fileminders of Hawaii, LLC	To provide shred services for all islands	Admin Svcs Office monitors activity and review invoices.	N	S
TAX 107	A	\$ 17,875.11	M	\$43,152.03 annually	\$ 25,276.92	5/1/2019	5/1/2019	4/30/2024	Xerox (Fleet)	Multi-function copy machines on lease for DOTAX offices	Admin Svcs Office monitors activity and review invoices.	N	S
TAX 107	B	\$ 1,109.37	M	\$2,663 annually	\$ 1,553.63	3/1/2021	3/1/2021	2/28/2026	Xerox (SES)	One multi-function device with scanning and fax capabilities for the Special Enforcement Section (SES) per SPO price Lise Contract	Admin Svcs Office monitors activity and review invoices.	N	S
TAX 107	A	\$ 11,916.90	M	\$32,942.56 annually	\$ 21,025.66	10/1/2019	10/1/2019	9/30/2024	Ricoh	High volume printing machine on lease for DOTAX's printshop.	Admin Svcs Office monitors activity.	N	S
TAX 100 TAX 105	A A	\$ 4,602.76	M	\$9,108.99 annually	\$ 4,506.23	Ongoing	Various	Various	Alert Alarm	Security/Alarm services for DOTAX	Admin Svcs Office monitors activity and review invoices.	N	S
TAX 100 TAX 105	A A	\$ 14,411.53	O	\$28,982.37 annually	\$ 14,570.84	Various	Various	Various	Pitney Bowes	Mailing/postage equipment on lease for DOTAX	Admin Svcs Office monitors activity and review invoices.	N	S
TAX 100 TAX 107	A B	\$ 96,324.42	M	\$143,885.80	\$ 47,561.38	8/1/2019	8/1/2019	7/31/2022	Thomson Reuters - West	Annual Subscription for Clear Proflex for Collections section and SES	Annual Subscription for Clear Proflex for Collections section and SES	N	S

* E = lease of equipment, L = lease of real property including office space, P = public relations, C = legal counsel or services, G = contract for good, & S = contract for service

** Table 4 - Attachment A, TSM/ITSO Budget can be used as reference for this contract.

Department of Taxation
Capital Improvements Program (CIP) Requests

Table 15

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept- Wide</u> <u>Priority</u>	<u>Senate</u> <u>District</u>	<u>Rep.</u> <u>District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY22 \$\$\$</u>	<u>FY23 \$\$\$</u>
					NONE			

Department of Taxation
 CIP Lapses

Table 16

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount</u> <u>\$\$\$\$</u>	<u>Reason</u>
		NONE			

Department of Taxation
Program ID Sub-Organizations

Table 17

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
TAX100	CH	COMPLIANCE DIVISION - HAWAII DISTRICT OFFICE	Be responsible for administrative matters of the district office; performs personnel and fiscal activities and housekeeping functions of the district office; provides assistance, information, and services on all taxes administered by the Department to taxpayers who walk-in, call-in, or correspond; conducts office and field examinations of all tax returns filed for general excise, income, miscellaneous, and transient accommodation taxes; prepares tax assessments by following the established rules; conducts/enforces collection of delinquent taxes with the appropriate collection procedures; represents the Department in appeals to the Board of Review for the district office; and provides administrative/clerical assistance to the Board of Review.
TAX100	CK	COMPLIANCE DIVISION - KAUAI DISTRICT OFFICE	Be responsible for administrative matters of the district office; performs personnel and fiscal activities and housekeeping functions of the district office; provides assistance, information, and services on all taxes administered by the Department to taxpayers who walk-in, call-in, or correspond; conducts office and field examinations of all tax returns filed for general excise, income, miscellaneous, and transient accommodation taxes; prepares tax assessments by following the established rules; conducts/enforces collection of delinquent taxes with the appropriate collection procedures; represents the Department in appeals to the Board of Review for the district office; and provides administrative/clerical assistance to the Board of Review.

Department of Taxation
Program ID Sub-Organizations

Table 17

TAX100	CM	COMPLIANCE DIVISION - MAUI DISTRICT OFFICE	<p>Be responsible for administrative matters of the district office; performs personnel and fiscal activities and housekeeping functions of the district office; provides assistance, information, and services on all taxes administered by the Department to taxpayers who walk-in, call-in, or correspond; conducts office and field examinations of all tax returns filed for general excise, income, miscellaneous, and transient accommodation taxes; prepares tax assessments by following the established rules; conducts/enforces collection of delinquent taxes with the appropriate collection procedures; represents the Department in appeals to the Board of Review for the district office; and provides administrative/clerical assistance to the Board of Review.</p>
TAX100	CO	COMPLIANCE DIVISION - OAHU OFFICE AUDIT BRANCH	<p>Conducts office examinations of all tax returns filed for general excise, income, miscellaneous, and transient accommodation taxes on Oahu; represents the Department in appeals to the Board of Review for cases originating or transferred to the Oahu District; disseminates information through audit contact or taxpayer inquiry about the proper reporting methods or Departmental positions on tax and related matters; and reviews for approval/disapproval request for waiver for the withholding of income tax on the disposition of Hawaii real property by non-resident.</p>

Department of Taxation
Program ID Sub-Organizations

Table 17

TAX100	CP	COMPLIANCE DIVISION - OAHU FIELD AUDIT BRANCH	Conducts field examinations of all types of tax returns and supporting records of larger taxpayers involving complex records and transactions; represents the Department in appeals to the Board of Review for cases originating or transferred to the Oahu District; disseminates information through audit contact or taxpayer inquiry about the proper reporting methods or Departmental positions on tax and related matters; be responsible for administrative matters of the branch; and performs personnel and fiscal activities and housekeeping functions for the branch.
TAX100	EO	COMPLIANCE DIVISION - OAHU COLLECTION BRANCH	Conducts/enforces collection of delinquent taxes with the appropriate collection procedures; secures non-filed returns from taxpayers; conducts investigations to determine compliance with state tax laws; develops policies and procedures, renders guidelines and recommendations and provides coordination and assistance in enforcement activities; participates in resolving complex enforcement cases; and recommends goals and objective; and reviews objectives and accomplishments with operating personnel.
TAX105	BA	TAX SERVICES & PROCESSING (TSP) DIVISION - DOCUMENT PROCESSING BRANCH	Plans, directs and coordinates a comprehensive, centralized system of receiving and processing of tax information and payments (paper documents or electronic data) for the Department of Taxation; eestablishes initial control over monies and documents and provides a system for the rapid update of taxpayer accounts; provides a centralized statewide filing system for paper tax returns; coordinates and oversees electronic processing activities, updates, testing, and new initiatives; and provides support for ongoing EFT development and changes, and support for growth of DOTAX electronic processing capabilities.

Department of Taxation
Program ID Sub-Organizations

Table 17

TAX105	BB	TSP DIVISION - REVENUE ACCOUNTING BRANCH	Be responsible for the maintenance of the revenue control and subsidiary ledgers, the control and accounting for all refunds, regardless of tax type, which are created by either overpayment or adjustment, the maintenance of the accounting system for protested payments and tax appeals, the preparation of the statement of tax operations and related reports, and processing and accounting activities statewide of all Miscellaneous taxes (except Estate & Transfer tax).
TAX105	BC	TSP DIVISION - TAXPAYER SERVICES BRANCH	Provides centralized services on all taxes, licenses, and permits administered by the Department to all customers who walk-in, call-in, correspond, or E-mail; and provides computer-based error correction activities in order to post returns to system.
TAX107	AA	OFFICE OF THE DIRECTOR	Under general direction of the Governor of the State of Hawaii, plans, directs and coordinates the various activities of the Department within the scope of laws and established policies and regulations.
TAX107	AA	RULES OFFICE	Serves as a resource for complex policy recommendations and complex taxpayer support.
TAX107	AA	ADMINISTRATIVE SERVICES OFFICE (ASO)	Provides general internal fiscal and personnel management assistance to the Director in exercising responsibilities as executive of the Department; and advises and provides staff services in the areas of program budgeting and planning, management of resources and facilities management.

Department of Taxation
 Program ID Sub-Organizations

Table 17

TAX107	AC	INFORMATION TECHNOLOGY SERVICES OFFICE (ITSO)	Advises the Director on all matters pertaining to computerization and automation, formulating associated policies and procedures; and enhances the Department of Taxation's program effectiveness and efficiency by automating major program functions deemed feasible.
TAX107	AD	TAX RESEARCH & PLANNING (TRP) OFFICE	Plans, organizes, directs and coordinates a tax research and planning program for the Department; and provides the Department with statistical information and projections as to tax yields, tax impacts, and economic conditions affecting taxes.

Department of Taxation
Organization Changes

Table 18

<u>Year of Change</u> <u>FY22/FY23</u>	<u>Description of Change</u>
FY22	To establish the Tax Registration Section under the Taxpayer Services Branch in the Tax Services & Processing (TSP) Division. This reorganization will consolidate the responsibility of managing tax accounts to improve taxpayer services, increase accuracy of taxpayer account information, and enhance communication with taxpayers.
FY22	Three (3) positions will be transferred from the Document Processing Branch, Tax Services & Processing (TSP) Division to the System Administration (SysAd) Office through reorganization. The three (3) positions are #21195, Management Analyst IV, #4409 and #26280, Tax Information Technician (TIT) II.
FY23	Quality Control (QC) Office will be transferred from Office of the Director to Information Technology Services Office (ITSO) through reorganization, to be combined with the System Administration (SysAd) Office under ITSO.
FY23	Oahu Collection Branch will be transferred out of the Compliance Division and become the Tax Collection Program through reorganization, with its own new Program ID (TAX103) while keeping its current Sub-Org code (EO). This program will be directly under the Director of Taxation.
FY23	<p>Seven (7) exempt positions under Rules Office.</p> <ol style="list-style-type: none"> 1) #120986, Project Development Architect, and #102266, Technical Project Manager have been abolished in ACT88, SLH2021. 2) #120984, Business Analyst Lead, has been redescribed to Appeals Case Specialist, recruitment is in process. 3) #121602, Business Analyst, and #116470, Senior Business Analyst have been redescribed to Administrative Rules Specialist, recruitment is in process. 4) #120985, Financial Business Analyst, and #103171, Administrative Rules Specialist will be converted to civil service position and redescribed to Program Budget Analyst V under Administrative Services Office (ASO). Recruitment will take place in FY23.

Department of Taxation
American Rescue Plan Act Fund Initiatives

Table 19

Prog ID	Amount Allotted	Budget for Personnel	Budget for OCE (Other Than Contracts)	Budget for Contracts	Dates of Initiative		Initiative Description	Appropriating Act or GOV	Is This A New Initiative Or An Enhancement To An Existing Initiative/Program
					From	To			
TAX107	\$ 3,241,332	\$ 61,132	\$ 146,368	\$ 3,033,832	3/3/2021	12/31/2024	Tax System Modernization (TSM): To fund GenTax post warranty maintenance and support, professional services for GenTax, and professional services for document imaging. Tax Review Commission (TRC): The TRC must conduct a review of the State's Tax structure every 5 years.	Act 88 SLH 2021	This is a legislative initiative for FY 2022. For TSM, this is an enhancement to an existing program; and for TRC, it is a new initiative, and this has been occurring every 5 years.
TAX107	\$ 507,300	\$ 0	\$ 399,185	\$ 108,115	3/3/2021	12/31/2024	It is predicted that the pandemic situation will move toward a more positive direction. Funding is for preparations to reopen to the public.	GOV	New initiative
Totals	\$ 3,748,632	\$ 61,132	\$ 545,553	\$ 3,141,947					

**Taxation Services and Processing
Call Center Statistics
2021**

Attachment 1

	Month	Calls Answered	Total Incoming	Answer Rate	# of EEs
TOTAL CALLS	Annual	132,777	172,186	77%	
	Dec	4,559	5,465	83%	29
	Per Day	414			
	Nov	8,092	10,290	79%	32
	Per Day	405			
	Oct	9,189	11,621	79%	28
	Per Day	438			
	Sept	8,986	10,884	83%	31
	Per Day	449			
	Aug	9,920	12,690	78%	36
	Per Day	472			
	Jul	10,918	14,568	75%	33
	Per Day	520			
	Jun	12,737	17,237	74%	34
	Per Day	607			
	May	13,528	18,031	75%	34
	Per Day	676			
	Apr	14,826	19,891	75%	41
	Per Day	706			
	Mar	14,270	18,525	77%	39
	Per Day	649			
	Feb	12,236	16,209	75%	39
	Per Day	644			
	Jan	13,516	16,775	81%	39
	Per Day	711			
By Section					
	Acct Mgmt	Call Center	Customer Inquiry	Tax Registration	Total
Jan - Apr	2,402	32,101	15,256	5,089	54,848
	4%	59%	28%	9%	
May	562	8,262	3,422	1,282	13,528
	4%	61%	25%	9%	
June	473	7,328	3,651	1,285	12,737
	4%	58%	29%	10%	
July	495	6,399	2,882	1,142	10,918
	5%	59%	26%	10%	
Aug	210	6,601	1,928	1,181	9,920
	2%	67%	19%	12%	
Sept	193	5,843	1,822	1,128	8,986
	2%	65%	20%	13%	
Oct	21	6,366	1,746	1,056	9,189
	0%	69%	19%	11%	
Nov	13	5,755	1,289	1,035	8,092
	0%	71%	16%	13%	
Dec	-	3,533	416	610	4,559
	0%	77%	9%	13%	
Total	4,369	82,188	32,412	13,808	132,777
Per EE	624	5,479	2,701	1,973	3,238
	3%	62%	24%	10%	100%
# of EEs	7	15	12	7	41
	54	476	235	172	282
Per Month	380	7,147	2,818	1,201	11,546
EE Percentage	17%	37%	29%	17%	

Hawaii Department of Taxation
Compliance Executive Report
October 2021
Prepared By: Compliance Managers

Hawaii Department of Taxation

COMPLIANCE DIVISION

Consolidated By:

R. Yuen

As Of:

10/31/2021

Consolidated Date:

11/19/2021

FY2022	Jul	Aug	Sep	Oct	Nov	Dec
OFFICE AUDIT						
RAR:						
Taxpayers Audited	47	98	32	26	-	-
Returns Audited	92	162	93	31	-	-
Returns Assessed	91	158	90	27	-	-
\$ Assessments	\$ 898,796	\$ 971,933	\$ 411,374	\$ 214,173	\$ -	\$ -
CP-2000						
Taxpayers Audited	35	68	79	30	-	-
Returns Audited	55	68	117	33	-	-
Returns Assessed	46	60	98	29	-	-
\$ Assessments	\$ 138,324	\$ 111,288	\$ 229,901	\$ 144,132	\$ -	\$ -
CIU/Fraud Referral						
Taxpayers Audited	24	20	14	23	-	-
Returns Audited	93	78	38	90	-	-
Returns Assessed	86	73	36	85	-	-
\$ Assessments	\$ 593,251	\$ 216,243	\$ 135,923	\$ 476,290	\$ -	\$ -
SES Referral						
Taxpayers Audited	23	30	39	28	-	-
Returns Audited	213	256	250	397	-	-
Returns Assessed	193	251	244	397	-	-
\$ Assessments	\$ 901,356	\$ 1,072,870	\$ 3,417,087	\$ 2,338,953	\$ -	\$ -
Net Operating Loss (NOL)						
Taxpayers Audited	26	10	9	6	-	-
Returns Audited	158	103	76	39	-	-
Returns Assessed	126	89	36	36	-	-
\$ Assessments	\$ 1,783,373	\$ 430,469	\$ 3,146,725	\$ 59,888	\$ -	\$ -
Non-Filer						
Taxpayers Audited	56	42	37	27	-	-
Returns Audited	439	154	182	147	-	-
Returns Assessed	380	136	163	107	-	-
\$ Assessments	\$ 3,077,529	\$ 615,593	\$ 465,447	\$ 847,240	\$ -	\$ -
RCO Refund Review						
Taxpayers Audited	64	70	28	32	-	-
Returns Audited	308	268	102	76	-	-
Returns Assessed	274	200	94	64	-	-
\$ Assessments	\$ 811,522	\$ 723,646	\$ 469,653	\$ 265,577	\$ -	\$ -
Discovery						
Taxpayers Audited	90	67	56	59	-	-
Returns Audited	727	627	506	557	-	-
Returns Assessed	623	513	422	486	-	-
\$ Assessments	\$ 1,928,845	\$ 2,282,056	\$ 1,830,223	\$ 1,899,239	\$ -	\$ -
Other						
Taxpayers Audited	38	31	42	21	-	-
Returns Audited	263	168	318	93	-	-
Returns Assessed	226	143	294	64	-	-
\$ Assessments	\$ 1,894,429	\$ 237,690	\$ 1,650,771	\$ 185,153	\$ -	\$ -
Auto CP-2000:						
Taxpayers Audited	-	-	127	7	-	-
Returns Audited	-	-	127	7	-	-
Returns Assessed	-	-	126	7	-	-
\$ Assessments	\$ -	\$ -	\$ 225,102	\$ 13,480	\$ -	\$ -
TSM TOTAL						
Taxpayers Audited	403	436	463	259	-	-
Returns Audited	2,348	1,884	1,809	1,470	-	-
Returns Assessed	2,045	1,623	1,603	1,302	-	-
\$ Assessments	\$ 12,027,424	\$ 6,661,790	\$ 11,982,206	\$ 6,444,126	\$ -	\$ -
Manual Adjustments to TSM Total	\$ -	\$ 6,973	\$ -	\$ 5,591	\$ -	\$ -
Secured Returns in Lieu of Assessments	\$ 497,308	\$ 639,280	\$ 363,256	\$ 708,914	\$ -	\$ -
TOTAL \$ ASSESSMENTS	\$ 12,524,732	\$ 7,308,043	\$ 12,345,462	\$ 7,158,632	\$ -	\$ -

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation

COMPLIANCE DIVISION

Consolidated By:

R. Yuen

As Of:

10/31/2021

Consolidated Date:

11/19/2021

FY2022	Jul	Aug	Sep	Oct	Nov	Dec
Recoveries on Assessments						
\$ Dollars Recovered on Assessments (excl. Auto)	\$ 1,394,418	\$ 1,155,447	\$ 1,159,483	\$ 500,343	\$ -	\$ -
\$ Dollars Recovered on Auto CP-2000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
\$ Dollars Recovered on Secured Returns	\$ 66,228	\$ 322,955	\$ 67,487	\$ 340,123	\$ -	\$ -
TOTAL \$ RECOVERIES	\$ 1,460,646	\$ 1,478,402	\$ 1,226,970	\$ 840,466	\$ -	\$ -
Recovery %	11.66%	20.23%	9.94%	11.74%	0.00%	0.00%
Estate Taxes (not included in \$ Collected)	\$ 2,540,933	\$ 250,000	\$ 1,546,689	\$ 1,675,824	\$ -	\$ -
Adjustments (Waiver/Settlements/Appeal Adj.)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Reviews						
Net Operating Losses						
# of NOL C/B Yrs	7	5	9	15	-	-
HARPTA N-288B Exemptions						
# Reviewed	432	480	533	445	-	-
\$ Exemptions Reviewed (info not avail fr Oahu)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
% Disapproved/Rejected	23%	10%	8%	6%	0%	0%
HARPTA N-288C Adjustments	\$ 131,945.00	\$ 585,577.79	\$ 554,966.00	\$ 257,700.00	\$ -	\$ -
Refund Review - High Dollar						
# Reviewed	172	153	235	202	-	-
Appeals						
Board of Review Cases:						
# New Appeals Filed	-	4	4	-	-	-
# Appeals Withdrawn	-	-	-	-	-	-
# Appeals Settled	-	-	-	-	-	-
Tax Appeal Court Cases:						
# New Appeals Filed	-	-	3	-	-	-
# Appeals Withdrawn	-	-	-	-	-	-
# Appeals Settled	-	-	-	-	-	-
# Office Audit Staff	38	38	38	37	-	-
FIELD AUDIT						
Taxpayers Audited						
Return Years Audited	63	150	133	52	-	-
Return Years Assessed	43	122	98	48	-	-
TSM \$ Assessments	\$ 6,835,338	\$ 5,725,706	\$ 15,605,807	\$ 6,605,513	\$ -	\$ -
Add: Manual Adjustments	\$ 122,820	\$ (1,159)	\$ -	\$ 59,451	\$ -	\$ -
Add: Secured Rtns in Lieu of Asmts	\$ 467	\$ 639,153	\$ 99,588	\$ 865,067	\$ -	\$ -
TOTAL \$ ASSESSMENTS	\$ 6,958,626	\$ 6,363,700	\$ 15,705,395	\$ 7,530,031	\$ -	\$ -
Audits on Hold (Final on hold)	\$ 4,206,658	\$ 4,029,554	\$ 2,987,977	\$ 2,987,977	\$ -	\$ -
\$ Dollars Recovered on Assessments	\$ 3,815,505	\$ 1,654,082	\$ 1,403,728	\$ 1,913,790	\$ -	\$ -
Recovery %	56%	29%	9%	29%	0%	0%
\$ Dollars Recovered on Prev. FY Asmts	\$ 1,866,248	\$ 40,695	\$ 2,835,404	\$ 424,497	\$ -	\$ -
Adjustments (Waiver/Settlements/Appeal Adj.)	\$ (2,291,687)	\$ -	\$ (7,754,498)	\$ (415,002)	\$ -	\$ -
Appeals						
Board of Review Cases:						
# New Appeals Filed	2	-	-	-	-	-
# Appeals Withdrawn	1	-	-	-	-	-
# Appeals Settled	-	-	-	1	-	-
Tax Appeal Court Cases:						
# New Appeals Filed	2	6	2	4	-	-
# Appeals Withdrawn	-	-	-	-	-	-
# Appeals Settled	-	-	-	-	-	-
Special Projects/Assignments:						
Hours	1,193	1,105	1,071	1,159	-	-
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Audit Working Hours (Net)	1,433.25	1,771.25	1,807.25	1,690.75	-	-
# Field Audit Staff	22	22	22	21	17	17

Notes:

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is as of close of fiscal year, adjusted for interest differences.

Hawaii Department of Taxation

COMPLIANCE DIVISION

Consolidated By:

R. Yuen

As Of:

10/31/2021

Consolidated Date:

11/19/2021

FY2022

Jul

Aug

Sep

Oct

Nov

Dec

COLLECTION

Delinquent Balance - Beginning:

No. of Accounts	64,351	85,020	85,218	83,961	-	-
\$ Dollar Amounts	\$ 1,152,782,087	\$ 1,176,675,500	\$ 1,182,442,571	\$ 1,193,235,509	\$ -	\$ -

New Referrals:

No. of Accounts	23,692	5,212	3,222	3,986	-	-
\$ Dollar Amounts	\$ 43,461,199	\$ 24,207,532	\$ 23,098,083	\$ 24,670,666	\$ -	\$ -

Accounts Closed:

Collected - No. of Accounts	6,023	5,014	4,479	4,317	-	-
Collected - \$ Amounts	\$ 19,567,785	\$ 18,440,462	\$ 12,305,145	\$ 22,921,273	\$ -	\$ -
Write Offs - No. of Accounts	-	-	-	-	-	-
Write Offs - \$ Amounts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Delinquent Balance - Ending:

No. of Accounts	76,923	77,105	76,479	76,214	-	-
\$ Dollar Amounts	\$ 1,176,675,499	\$ 1,182,442,571	\$ 1,193,235,509	\$ 1,194,984,902	\$ -	\$ -

New Payments Plans

	2,126	1,334	1,176	975	-	-
--	-------	-------	-------	-----	---	---

New Liens

	14,154	14,177	13,437	13,582	-	-
--	--------	--------	--------	--------	---	---

New Levies

	1,406	1,284	1,517	2,359	-	-
--	-------	-------	-------	-------	---	---

OICs - Completed by Rules:

No. of Accounts	-	-	-	-	-	-
\$ Dollar Amounts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

OICs - Completed by Collection:

No. of Accounts	-	2	-	-	-	-
\$ Dollar Amounts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Special Projects/Assignments:

Hours	-	-	-	-	-	-
\$ Amounts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Collection Staff

	50	50	14	14	-	-
--	----	----	----	----	---	---

DISTRICTS ONLY

TPS (# of times):

- Counter Service	3,383	2,622	2,210	2,099	-	-
- Phone Service	5,378	3,960	4,575	4,481	-	-
- Tax Clearances	600	643	647	635	-	-
- Correspondence	840	673	966	841	-	-

SES

Complaints	30	20	15	18	-	-
Vendors Educated	-	-	-	-	-	-
Site Visitations	-	-	-	-	-	-
Nonfilers Contacted	61	43	19	6	-	-
Investigations Completed	41	27	39	18	-	-
Referrals for Assessments	11	7	30	21	-	-
Returns Secured (# Filed)	1,037	650	649	655	-	-
Returns Secured (\$ Liabilities)	\$ 3,612,368	\$ 2,834,354	\$ 2,617,507	\$ 2,674,796	\$ -	\$ -
Dollars Collected (Total)	\$ 3,595,857	\$ 2,929,573	\$ 2,582,939	\$ 2,320,833	\$ -	\$ -
\$ Collected (TAT Collections)	\$ 925,266	\$ 583,896	\$ 440,902	\$ 335,747	\$ -	\$ -
\$ Collected (TAT Collections - OA/SES TAT Project)	\$ 80,126	\$ 46,343	\$ 30,089	\$ 46,780	\$ -	\$ -
\$ Collected (SES)	\$ 2,495,875	\$ 2,250,367	\$ 2,059,127	\$ 1,864,722	\$ -	\$ -
\$ Collected (SES Collections - OA/SES TAT Project)	\$ 94,590	\$ 48,967	\$ 52,821	\$ 73,584	\$ -	\$ -
# SES Staff	9	9	9	9	-	-

FRAUD SECTION

# Referrals to Leads/Audits	11	4	5	9	-	-
# Add'l Info Review-Created	25	14	16	8	-	-
# Add'l Info Review-Closed	176	75	77	63	-	-
# Misc Mail Review Completed	73	24	28	19	-	-
# Fraudulent Returns Stopped	138	65	64	55	-	-
\$ Fraudulent Returns Stopped	\$ 253,984	\$ 165,178	\$ 124,932	\$ 95,021	\$ -	\$ -
\$ Other	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
# PTINS	-	-	-	-	-	-
\$ PTINS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
# Fraud Staff	2	2	2	2	-	-

Hawaii Department of Taxation

COMPLIANCE DIVISION

Consolidated By:

R. Yuen

As Of:

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Consolidated Date:

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FY2022

OFFICE AUDIT

	Jan	Feb	Mar	Apr	May	Jun	YTD	Audit Adjusted YTD
RAR:								
Taxpayers Audited	-	-	-	-	-	-	203	196
Returns Audited	-	-	-	-	-	-	378	378
Returns Assessed	-	-	-	-	-	-	366	366
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	2,496,276	\$ 2,436,913
CP-2000								
Taxpayers Audited	-	-	-	-	-	-	212	192
Returns Audited	-	-	-	-	-	-	273	273
Returns Assessed	-	-	-	-	-	-	233	233
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	623,645	\$ 600,581
CIU/Fraud Referral								
Taxpayers Audited	-	-	-	-	-	-	81	76
Returns Audited	-	-	-	-	-	-	299	295
Returns Assessed	-	-	-	-	-	-	280	278
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	1,421,707	\$ 1,332,932
SES Referral								
Taxpayers Audited	-	-	-	-	-	-	120	120
Returns Audited	-	-	-	-	-	-	1,116	1,112
Returns Assessed	-	-	-	-	-	-	1,085	1,080
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	7,730,266	\$ 7,603,397
Net Operating Loss (NOL)								
Taxpayers Audited	-	-	-	-	-	-	51	50
Returns Audited	-	-	-	-	-	-	376	385
Returns Assessed	-	-	-	-	-	-	287	287
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	5,420,455	\$ 5,420,266
Non-Filer								
Taxpayers Audited	-	-	-	-	-	-	162	158
Returns Audited	-	-	-	-	-	-	922	878
Returns Assessed	-	-	-	-	-	-	786	756
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	5,005,808	\$ 3,607,435
RCO Refund Review								
Taxpayers Audited	-	-	-	-	-	-	194	162
Returns Audited	-	-	-	-	-	-	754	745
Returns Assessed	-	-	-	-	-	-	632	317
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	2,270,399	\$ 2,183,786
Discovery								
Taxpayers Audited	-	-	-	-	-	-	272	270
Returns Audited	-	-	-	-	-	-	2,417	2,405
Returns Assessed	-	-	-	-	-	-	2,044	2,033
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	7,940,364	\$ 7,743,259
Other								
Taxpayers Audited	-	-	-	-	-	-	132	131
Returns Audited	-	-	-	-	-	-	842	838
Returns Assessed	-	-	-	-	-	-	727	1,026
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	3,968,043	\$ 3,897,529
Auto CP-2000:								
Taxpayers Audited	-	-	-	-	-	-	134	134
Returns Audited	-	-	-	-	-	-	134	134
Returns Assessed	-	-	-	-	-	-	133	133
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	238,582	\$ 238,582
TSM TOTAL								
Taxpayers Audited	-	-	-	-	-	-	1,561	1,489
Returns Audited	-	-	-	-	-	-	7,511	7,443
Returns Assessed	-	-	-	-	-	-	6,573	6,509
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	37,115,546	\$ 35,064,680
Manual Adjustments to TSM Total	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	6,973	\$ 6,973
Secured Returns in Lieu of Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	2,038,869	\$ 2,215,495
TOTAL \$ ASSESSMENTS	\$ -	39,161,388	\$ 37,287,148					

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Hawaii Department of Taxation

COMPLIANCE DIVISION

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R. Yuen

As Of:

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FY2022

	Jan	Feb	Mar	Apr	May	Jun	YTD	Audit Adjusted YTD
Recoveries on Assessments								
\$ Dollars Recovered on Assessments (excl. Auto)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 4,209,691	\$ 5,394,332
\$ Dollars Recovered on Auto CP-2000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
\$ Dollars Recovered on Secured Returns	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,020,936
TOTAL \$ RECOVERIES	\$ -	\$ 4,209,691	\$ 6,415,268					
Recovery %	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	10.75%	17.21%

Estate Taxes (not included in \$ Collected)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,013,446	\$ 6,013,446
Adjustments (Waiver/Settlements/Appeal Adj.)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,651,467)

Reviews

Net Operating Losses								
# of NOL C/B Yrs	-	-	-	-	-	-	36	
HARPTA N-288B Exemptions								
# Reviewed	-	-	-	-	-	-	1,890	
\$ Exemptions Reviewed (info not avail fr Oahu)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
% Disapproved/Rejected	0%	0%	0%	0%	0%	0%	15%	
HARPTA N-288C Adjustments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,530,188.79	
Refund Review - High Dollar								
# Reviewed	-	-	-	-	-	-	762	

Appeals

Board of Review Cases:								
# New Appeals Filed	-	-	-	-	-	-	8	
# Appeals Withdrawn	-	-	-	-	-	-	-	
# Appeals Settled	-	-	-	-	-	-	-	
Tax Appeal Court Cases:								
# New Appeals Filed	-	-	-	-	-	-	3	
# Appeals Withdrawn	-	-	-	-	-	-	-	
# Appeals Settled	-	-	-	-	-	-	-	
# Office Audit Staff	-	-	-	-	-	-	37	

FIELD AUDIT

Taxpayers Audited	-	-	-	-	-	-	398	398
Return Years Audited	-	-	-	-	-	-	311	312
Return Years Assessed	-	-	-	-	-	-	-	-
TSM \$ Assessments	\$ -	\$ 34,772,364	\$ 35,240,079					
Add: Manual Adjustments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 181,112	\$ 181,112
Add: Secured Rtns in Lieu of Asmts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,604,274	\$ 1,607,400
TOTAL \$ ASSESSMENTS	\$ -	\$ 36,557,751	\$ 37,028,592					

Audits on Hold (Final on hold)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,987,977	\$ 2,987,977
\$ Dollars Recovered on Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,787,105	\$ 5,237,148
Recovery %	0%	0%	0%	0%	0%	0%	25%	15%
\$ Dollars Recovered on Prev. FY Asmts	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,166,844	\$ 5,166,844
Adjustments (Waiver/Settlements/Appeal Adj.)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (10,461,186)	\$ (10,461,186)

Appeals

Board of Review Cases:								
# New Appeals Filed	-	-	-	-	-	-	2	
# Appeals Withdrawn	-	-	-	-	-	-	1	
# Appeals Settled	-	-	-	-	-	-	1	
Tax Appeal Court Cases:								
# New Appeals Filed	-	-	-	-	-	-	2	
# Appeals Withdrawn	-	-	-	-	-	-	-	
# Appeals Settled	-	-	-	-	-	-	-	
Special Projects/Assignments:								
Hours	-	-	-	-	-	-	4,528	
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Audit Working Hours (Net)	-	-	-	-	-	-	6,702.50	
# Field Audit Staff	16	-	-	-	-	-	16	

Notes:

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Hawaii Department of Taxation

Prepared By:
As Of:
Prepared Date:

Office Audit - Oahu

D. Kuriki
10/31/21
10/13/21

FY2022

Assessments

	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>
RAR:						
Taxpayers Audited	41	74	17	15		
Returns Audited	86	117	78	17		
Returns Assessed	86	115	78	16		
\$ Assessments	\$ 851,162.00	645,254	\$ 381,200	\$ 66,616.58		
CP-2000						
Taxpayers Audited	24	42	63	12		
Returns Audited	44	42	91	13		
Returns Assessed	42	37	82	12		
\$ Assessments	\$ 125,058.95	\$ 87,423	\$ 204,155	\$ 78,562.27		
CIU/Fraud Referral						
Taxpayers Audited	20	14	8	19		
Returns Audited	86	64	28	79		
Returns Assessed	82	60	26	77		
\$ Assessments	\$ 571,395.50	\$ 166,572	\$ 81,605	\$ 413,209.96		
SES Referral						
Taxpayers Audited	23	30	39	28		
Returns Audited	213	256	250	397		
Returns Assessed	193	251	244	397		
\$ Assessments	\$ 901,355.91	\$ 1,072,870	\$ 3,417,087	\$ 2,338,952.72		
Net Operating Loss (NOL)						
Taxpayers Audited	26	10	9	6		
Returns Audited	158	103	76	39		
Returns Assessed	126	89	36	36		
\$ Assessments	\$ 1,783,372.56	\$ 430,469	\$ 3,146,725	\$ 59,888.48		
Non-Filer						
Taxpayers Audited	31	17	8	12		
Returns Audited	273	73	55	75		
Returns Assessed	233	60	52	63		
\$ Assessments	\$ 2,428,612.61	\$ 237,252	\$ 117,823	\$ 631,512.61		
RCO Refund Review						
Taxpayers Audited	46	38	17	8		
Returns Audited	239	177	77	47		
Returns Assessed	213	149	76	45		
\$ Assessments	\$ 606,473.95	\$ 474,018	\$ 319,630	\$ 83,907.41		
Discovery						
Taxpayers Audited	77	44	37	39		
Returns Audited	649	401	314	375		
Returns Assessed	579	326	251	337		
\$ Assessments	\$ 1,785,136.00	\$ 909,447	\$ 1,097,752	\$ 1,323,116.48		
Other						
Taxpayers Audited	34	18	29	17		
Returns Audited	245	119	213	78		
Returns Assessed	214	98	201	59		
\$ Assessments	\$ 1,874,101.53	\$ 101,552	\$ 1,495,995	\$ 178,047.31		
TSM TOTAL						
Returns Audited	322	287	227	156	-	-
Returns Audited	1,993	1,352	1,182	1,120	-	-
Returns Assessed	1,768	1,185	1,046	1,042	-	-
\$ Assessments	\$ 10,926,669	\$ 4,124,858	\$ 10,261,972	\$ 5,173,814	\$ -	\$ -
Manual Adjustments to TSM Total						
Secured Returns in Lieu of Assessments	\$ 147,063.00	\$256,898	\$144,697	\$277,290		
TOTAL \$ ASSESSMENTS	\$ 11,073,732	\$ 4,381,756	\$ 10,406,669	\$ 5,451,104	\$ -	\$ -
Audits on Hold (Final on hold)						
\$ Dollars Recovered on TSM Assessments						
\$ Dollars Recovered on TSM Assessments	\$ 1,224,296.00	\$772,270	\$845,843	\$ 286,373		
\$ Dollars Recovered on Secured Returns	\$ 58,688.00	\$97,974	\$30,903	\$ 192,530		
TOTAL \$ RECOVERIES	\$ 1,282,984	\$ 870,244	\$ 876,746	\$ 478,903	\$ -	\$ -
Recovery %						
Estate Taxes (not included in \$ Collected)	\$ 12%	\$ 21%	\$ 9%	\$ 9%	\$ 0%	\$ 0%
	\$ 2,540,933	\$ 250,000	\$ 1,546,689	\$ 1,675,824		

Adjustments (Waiver/Settlements/Appeal Adj.)

Hawaii Department of Taxation

Prepared By:
As Of:
Prepared Date:

Office Audit - Oahu

D. Kuriki
10/31/21
10/13/21

FY2022JulAugSepOctNovDec**Reviews**

Net Operating Losses

of NOL C/B Yrs

7

5

9

15

HARPTA N-288B Exemptions

of N-288

129

182

160

131

% Disapproved/Rejected

16%

6%

7%

9%

HARPTA N-288C Adjustments

\$

125,044

\$

50,794

\$

204,180

\$

146,506

Refund Review - High Dollar

Reviewed

66

68

99

109

Appeals

Board of Review Cases:

New Appeals Filed

3

2

Appeals Withdrawn

Appeals Settled

Tax Appeal Court Cases:

New Appeals Filed

1

Appeals Withdrawn

Appeals Settled

Office Audit Staff

24

24

24

23

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation

Prepared By:
As Of:
Prepared Date:

Office Audit - Oahu

D. Kuriki
10/31/21
10/13/21

FY2022	Jan	Feb	Mar	Apr	May	Jun	YTD	Adjusted YTD
Assessments								
RAR:								
Taxpayers Audited							147	147
Returns Audited							298	298
Returns Assessed							295	295
\$ Assessments							\$ 1,944,233	\$ 1,884,871
CP-2000								
Taxpayers Audited							141	141
Returns Audited							190	190
Returns Assessed							173	173
\$ Assessments							\$ 495,199	\$ 472,135
CIU/Fraud Referral								
Taxpayers Audited							61	57
Returns Audited							257	253
Returns Assessed							245	241
\$ Assessments							\$ 1,232,783	\$ 1,153,857
SES Referral								
Taxpayers Audited							120	120
Returns Audited							1,116	1,112
Returns Assessed							1,085	1,080
\$ Assessments							\$ 7,730,266	\$ 7,603,397
Net Operating Loss (NOL)								
Taxpayers Audited							51	50
Returns Audited							376	385
Returns Assessed							287	287
\$ Assessments							\$ 5,420,455	\$ 5,420,266
Non-File								
Taxpayers Audited							68	64
Returns Audited							476	434
Returns Assessed							408	366
\$ Assessments							\$ 3,415,199	\$ 2,061,267
RCO Refund Review								
Taxpayers Audited							109	105
Returns Audited							540	531
Returns Assessed							483	168
\$ Assessments							\$ 1,484,029	\$ 1,411,458
Discovery								
Taxpayers Audited							197	196
Returns Audited							1,739	1,732
Returns Assessed							1,493	1,486
\$ Assessments							\$ 5,115,452	\$ 4,971,980
Other								
Taxpayers Audited							98	98
Returns Audited							655	654
Returns Assessed							572	871
\$ Assessments							\$ 3,649,696	\$ 3,580,858
TSM TOTAL								
Returns Audited	-	-	-	-	-	-	992	978
Returns Audited	-	-	-	-	-	-	5,647	5,589
Returns Assessed	-	-	-	-	-	-	5,041	4,967
\$ Assessments	\$ -	\$ 30,487,312	\$ 28,560,089					
Manual Adjustments to TSM Total								
Secured Returns in Lieu of Assessments							\$ 825,948.00	\$ 949,196
TOTAL \$ ASSESSMENTS	\$ -	\$ 31,313,260	\$ 29,509,285					
Audits on Hold (Final on hold)								
								\$ 177,057,907
\$ Dollars Recovered on TSM Assessments								
\$ Dollars Recovered on Secured Returns							\$3,128,782	\$4,014,440
							\$380,095	\$ 508,649
TOTAL \$ RECOVERIES	\$ -	\$ 3,508,877	\$ 4,523,089					
Recovery %								
Estate Taxes (not included in \$ Collected)	0%	0%	0%	0%	0%	0%	12%	16%
							\$ 6,013,446	\$6,013,446
Adjustments (Waiver/Settlements/Appeal Adj.)								
							\$ -	\$ (1,651,467)

Hawaii Department of Taxation

Field Audit - Oahu

Prepared By:

M. Lai

As Of:

10/31/2021

Prepared Date:

10/13/2021

FY2022

Assessments

	Jul	Aug	Sep	Oct	Nov	Dec
Taxpayers Audited	5	12	9	5		
Return Years Audited	38	126	121	35		
Return Years Assessed	36	122	88	33		
TSM \$ Assessments	\$ 6,813,553	\$ 5,725,705	\$ 15,562,134	\$ 6,139,457		
Add: Manual Adjustments	\$ 82,143	\$ (1,159)	\$ -	\$ 59,451		
Add: Secured Rtns in Lieu of Assmts		\$ 629,004	\$ 99,588	\$ 865,067		
TOTAL \$ ASSESSMENTS	\$ 6,895,697	\$ 6,353,550	\$ 15,661,721	\$ 7,063,975	\$ -	\$ -

Audits on Hold (Final on hold) \$ 4,206,658 \$ 4,029,554 \$ 2,987,977 \$ 2,987,977

\$ Dollars Recovered on Assessments \$ 3,815,505 \$ 1,654,082 \$ 1,360,054 \$ 1,828,638

\$ Dollars Recovered on Secured Returns

TOTAL \$ RECOVERIES \$ 3,815,505 \$ 1,654,082 \$ 1,360,054 \$ 1,828,638 \$ - \$ -

Recovery % 56% 29% 9% 30%

\$ Dollars Recovered on Prev. FY Asmts \$ 1,866,248 \$ 40,695 \$ 2,835,404 \$ 424,497

Adjustments (Waiver/Settlements/Appeal Adj.) \$ (2,291,687) \$ - \$ (7,754,498) \$ (415,002)

Board of Review Cases:

# New Appeals Filed	2			
# Appeals Withdrawn	1			
# Appeals Settled/heard				1
# Appeal not timely filed				

Tax Appeal Court Cases:

# New Appeals Filed	2	6	2	4
# Appeals Withdrawn				
# Appeals resolved/Settled/decided				

AADR Cases:

# New Appeals Filed			3	2
# Accepted by AAO				4
# Denied by AAO			2	1
# Appeals Withdrawn				
# Cases Settled	2			
# AAO Closed without Decision				

Special Projects/Assignments:

Hours 1,193 1,105 1,071 1,159

Audit Working Hours (Net) 1,114 1,406 1,301 1,346

Field Audit Staff auditors (excl gp ch) 18 18 18 17 17 17

Staff on Other Duties Full Time 3 3 3 3 2

Staff on Other Duties Part Time 3 3 3 2

ec, lh, gn, me, ns, sa ec

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation
Prepared By:
As Of:
Prepared Date:

Field Audit - Oahu
M. Lai
10/31/2021
10/13/2021

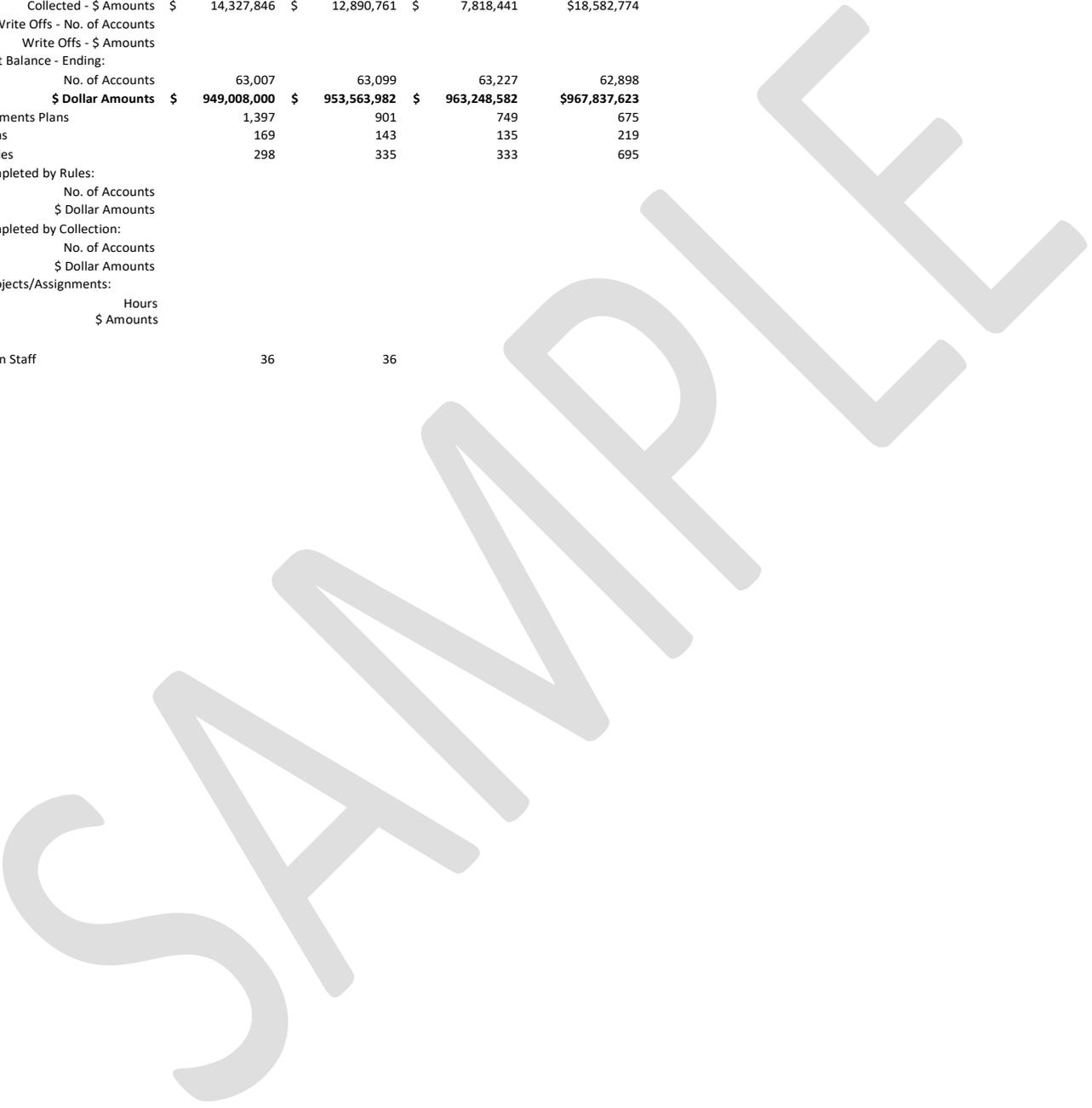
FY2022	Jan	Feb	Mar	Apr	May	Jun	YTD	Adjusted YTD
Assessments								
Taxpayers Audited								
Return Years Audited							320	320
Return Years Assessed							279	279
TSM \$ Assessments							\$ 34,240,849	\$34,708,571
Add: Manual Adjustments							\$ 140,435	\$140,435
Add: Secured Rtns in Lieu of Assmts							\$ 1,593,658	\$1,593,658
TOTAL \$ ASSESSMENTS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 35,974,942	\$36,442,665
Audits on Hold (Final on hold)							\$ 2,987,977	\$2,987,977
\$ Dollars Recovered on Assessments							\$ 8,658,279	\$ 5,106,863
\$ Dollars Recovered on Secured Returns								
TOTAL \$ RECOVERIES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 8,658,279	\$ 5,106,863
Recovery %							25%	15%
\$ Dollars Recovered on Prev. FY Asmts							\$ 5,166,844	\$ 5,166,844
Adjustments (Waiver/Settlements/Appeal Adj.)							\$ (10,461,186)	\$ (10,461,186)
Board of Review Cases:							BOR	
# New Appeals Filed								2
# Appeals Withdrawn								1
# Appeals Settled/heard								1
# Appeal not timely filed								
Tax Appeal Court Cases:							TAC	
# New Appeals Filed								2
# Appeals Withdrawn								-
# Appeals resolved/Settled/decided								-
AADR Cases:							AAO	
# New Appeals Filed								5
# Accepted by AAO								4
# Denied by AAO								3
# Appeals Withdrawn								-
# Cases Settled								2
# AAO Closed without Decision								-
Special Projects/Assignments:								
Hours							4,528	
Audit Working Hours (Net)								5,167
# Field Audit Staff auditors (excl gp ch)	16							
# Staff on Other Duties Full Time								
# Staff on Other Duties Part Time								

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation **Collection - Oahu**
 Prepared By: B. Okamoto
 As Of: 10/31/21
 Prepared Date: 10/13/21

FY2022	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>
Delinquent Tax Balance - Beginning:						
No. of Accounts	48,357	63,007	63,099	63,227		
\$ Dollar Amounts	\$ 933,487,047	\$ 949,008,000	\$ 953,563,982	\$963,248,582		
New Referrals:						
No. of Accounts	16,099	3,361	3,251	2,674		
\$ Dollar Amounts	\$ 29,848,799	\$ 17,446,743	\$ 17,503,041	\$23,171,815		
Accounts Closed:						
Collected - No. of Accounts	4,449	3,269	3,123	3,003		
Collected - \$ Amounts	\$ 14,327,846	\$ 12,890,761	\$ 7,818,441	\$18,582,774		
Write Offs - No. of Accounts						
Write Offs - \$ Amounts						
Delinquent Balance - Ending:						
No. of Accounts	63,007	63,099	63,227	62,898		
\$ Dollar Amounts	\$ 949,008,000	\$ 953,563,982	\$ 963,248,582	\$967,837,623		
# New Payments Plans	1,397	901	749	675		
# New Liens	169	143	135	219		
# New Levies	298	335	333	695		
OICs - Completed by Rules:						
No. of Accounts						
\$ Dollar Amounts						
OICs - Completed by Collection:						
No. of Accounts						
\$ Dollar Amounts						
Special Projects/Assignments:						
Hours						
\$ Amounts						
# Collection Staff	36	36				

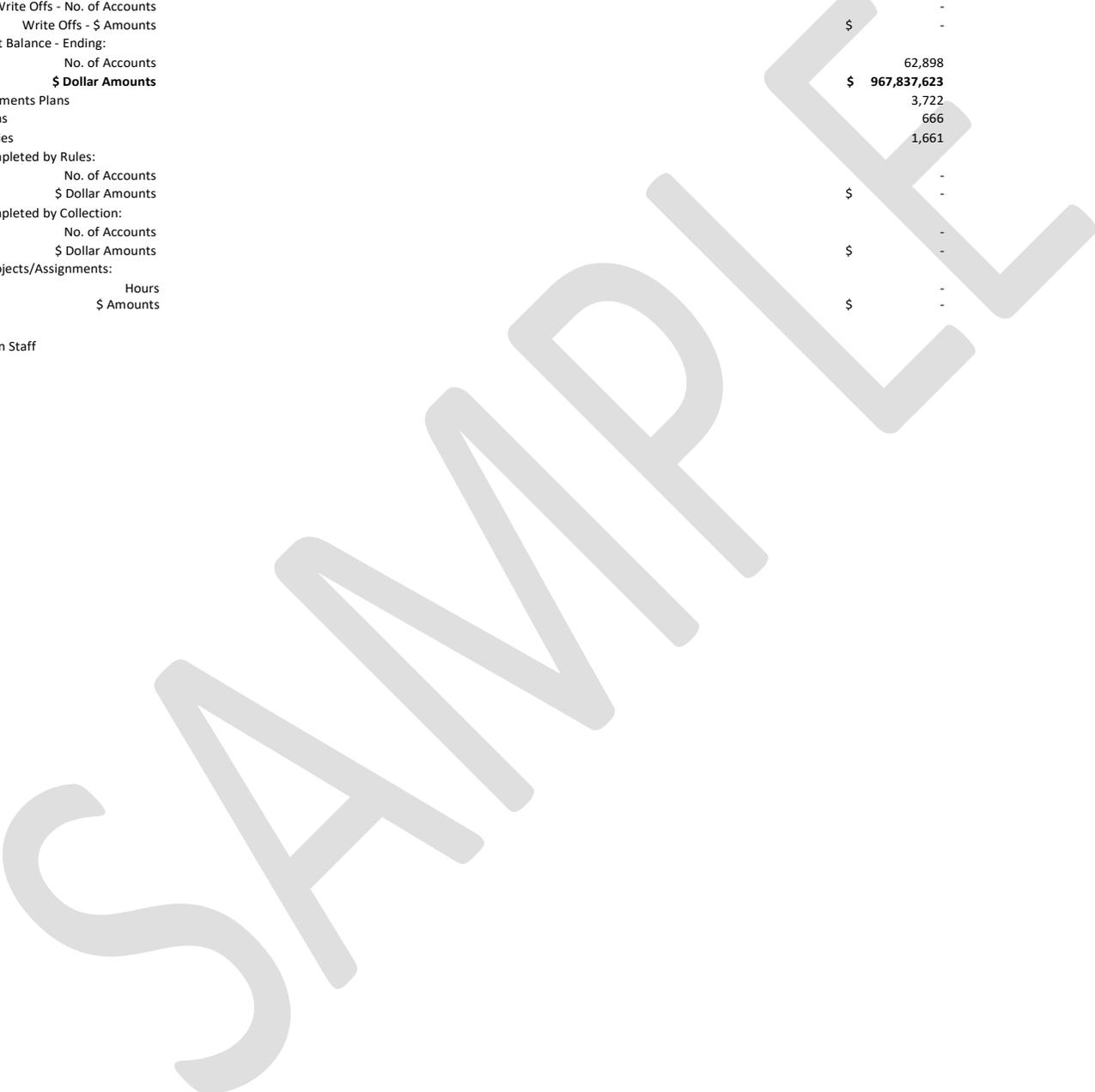
Notes:



Hawaii Department of Taxation Collection - Oahu
 Prepared By: B. Okamoto
 As Of: 10/31/21
 Prepared Date: 10/13/21

FY2022	Jan	Feb	Mar	Apr	May	Jun	YTD
Delinquent Tax Balance - Beginning:							
No. of Accounts							48,357
\$ Dollar Amounts							\$ 933,487,047
New Referrals:							
No. of Accounts							25,385
\$ Dollar Amounts							\$ 87,970,398
Accounts Closed:							
Collected - No. of Accounts							13,844
Collected - \$ Amounts							\$ 53,619,822
Write Offs - No. of Accounts							-
Write Offs - \$ Amounts							\$ -
Delinquent Balance - Ending:							
No. of Accounts							62,898
\$ Dollar Amounts							\$ 967,837,623
# New Payments Plans							3,722
# New Liens							666
# New Levies							1,661
OICs - Completed by Rules:							
No. of Accounts							-
\$ Dollar Amounts							\$ -
OICs - Completed by Collection:							
No. of Accounts							-
\$ Dollar Amounts							\$ -
Special Projects/Assignments:							
Hours							-
\$ Amounts							\$ -
# Collection Staff							

Notes:



Hawaii Department of Taxation

Hawaii District

Prepared By:
As Of:
Prepared Date:

D. Hulihee
10/31/21
11/12/21

FY2022

Jul Aug Sep Oct Nov Dec

OFFICE AUDIT

RAR:

Taxpayers Audited	2	15	3	9		
Returns Audited	2	17	3	12		
Returns Assessed	1	15	1	9		
\$ Assessments	\$ 709	\$ 15,159	\$ 455	\$ 41,584		

CP-2000

Taxpayers Audited	10	13	3	15		
Returns Audited	10	13	10	17		
Returns Assessed	4	11	-	14		
\$ Assessments	\$ 13,265	\$ 11,312	\$ -	\$ 55,775		

CIU/Fraud Referral

Taxpayers Audited	3	4	4	3		
Returns Audited	6	9	8	9		
Returns Assessed	3	8	8	6		
\$ Assessments	\$ 16,935	\$ 41,689	\$ 47,775	\$ 57,663		

SES Referral

Taxpayers Audited	-	-	-	-		
Returns Audited	-	-	-	-		
Returns Assessed	-	-	-	-		
\$ Assessments	\$ -	\$ -	\$ -	\$ -		

Net Operating Loss (NOL)

Taxpayers Audited	-	-	-	-		
Returns Audited	-	-	-	-		
Returns Assessed	-	-	-	-		
\$ Assessments	\$ -	\$ -	\$ -	\$ -		

Non-Filer

Taxpayers Audited	4	3	3	3		
Returns Audited	46	7	35	29		
Returns Assessed	32	7	21	29		
\$ Assessments	\$ 117,727	\$ 8,654	\$ 22,959	\$ 73,270		

RCO Refund Review

Taxpayers Audited	5	18	3	14		
Returns Audited	35	54	3	15		
Returns Assessed	31	15	1	6		
\$ Assessments	\$ 92,557	\$ 47,668	\$ 22,226	\$ 103,294		

Discovery

Taxpayers Audited	13	23	17	19		
Returns Audited	78	226	179	177		
Returns Assessed	44	187	158	144		
\$ Assessments	\$ 143,709	\$ 1,372,609	\$ 635,630	\$ 499,855		

Other

Taxpayers Audited	2	3	1	2		
Returns Audited	6	8	37	6		
Returns Assessed	-	4	28	2		
\$ Assessments	\$ -	\$ 4,774	\$ 45,174	\$ 4,714		

TOTAL:

Taxpayers Audited	39	79	34	65		
Returns Audited	183	334	275	265	-	-
Returns Assessed	115	247	217	210	-	-

\$ Assessments \$ 384,902 \$ 1,501,865 \$ 774,219 \$ 836,155 \$ - \$ -

Manual Adjustments to TSM Total \$0 \$6,973 \$0 \$0

Secured Returns in Lieu of Assessments \$114,113 \$382,382 \$42,027 \$64,150

TOTAL \$ ASSESSMENTS \$ 499,015 \$ 1,891,220 \$ 816,246 \$ 900,305 \$ - \$ -

Audits on Hold (Final on hold) \$ 9,404 \$ - 21,147 51,774 - \$ -

\$ Dollars Recovered on Assessments \$ 43,888 \$ 107,139 \$ 68,371 \$ 183,088

\$ Dollars Recovered on Secured Returns \$ 7,540 \$ 186,143 \$ 36,584 \$ 61,738

TOTAL \$ RECOVERIES \$ 51,428 \$ 293,282 \$ 104,955 \$ 244,826 \$ - \$ -

Recovery % 10% 16% 13% 27% 0% 0%

Adjustments (Waiver/Settlements/Appeal Adj.) \$ - \$ - \$ - \$ -

Hawaii Department of Taxation
Prepared By: D. Hulihee
As Of: 10/31/21
Prepared Date: 11/12/21

FY2022 Jul Aug Sep Oct Nov Dec

Reviews

Net Operating Losses # of NOL C/B Yrs	-	-	-	-	-	-
HARPTA N-288B Exemptions # of N-288B % Disapproved/Rejected	37 65%	43 23%	32 15%	33 6%		
HARPTA N-288C Adjustments	\$ 12,884	\$ 179,563	\$ 58,528	\$ 71,292		
Refund Review - High Dollar # Reviewed	33	39	43	35		

Appeals

Board of Review Cases:						
# New Appeals Filed	-	-	2	-		
# Appeals Withdrawn	-	-	-	-		
# Appeals Settled	-	-	-	-		
Tax Appeal Court Cases:						
# New Appeals Filed	-	-	2	-		
# Appeals Withdrawn	-	-	-	-		
# Appeals Settled	-	-	-	-		
# Office Audit Staff	7	7	7	7		

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

FIELD AUDIT

Taxpayers Audited	2	4	-	3		
Return Years Audited	18	24	-	15		
Return Years Assessed	-	-	-	15		
TSM \$ Assessments	\$ -	\$ 1	\$ -	\$ 466,056		
Add: Manual Adjustments	\$ 40,677	\$ -	\$ -	\$ -		
Add: Secured Rtns in Lieu of Assmts	\$ 467	\$ 10,149	\$ -	\$ -		
TOTAL \$ ASSESSMENTS	\$ 41,144	\$ 10,150	\$ -	\$ 466,056	\$ -	\$ -
Audits on Hold (Final on hold)	\$ -	-	-	-	-	-
\$ Dollars Recovered on Assessments	\$ -	\$ -	\$ -	\$ 85,152		
\$ Dollars Recovered on Secured Returns	\$ 241	\$ 2,677	\$ 4,922	\$ -		
TOTAL \$ RECOVERIES	\$ 241	\$ 2,677	\$ 4,922	\$ 85,152	\$ -	\$ -
Recovery %	0.59%	26.37%	0.00%	18.27%	0.00%	0.00%
\$ Dollars Recovered on Prev. FY Asmts						

Adjustments (Waiver/Settlements/Appeal)	\$ -	-	-	-		
# of N-288Cs	-	-	-	-		
HARPTA N-288C Adjustments	\$ -	\$ -	\$ -	\$ -		

Appeals

Board of Review Cases:						
# New Appeals Filed	-	-	-	-		
# Appeals Withdrawn	-	-	-	-		
# Appeals Settled	-	-	-	-		
Tax Appeal Court Cases:						
# New Appeals Filed	-	-	-	-		
# Appeals Withdrawn	-	-	-	-		
# Appeals Settled	-	-	-	-		

Special Projects/Assignments:						
Hours						
Audit Working Hours (Net)	210.25	240.50	391.25	211.50		
# Field Audit Staff	3	3	3	3		

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation

Hawaii District

Prepared By:
As Of:
Prepared Date:

D. Hulihee
10/31/21
11/12/21

FY2022

Jul Aug Sep Oct Nov Dec

COLLECTION

Delinquent Balance - Beginning:						
No. of Accounts	8,231	10,501	10,612	10,087		
\$ Dollar Amounts	\$ 96,427,814	\$ 101,596,083	\$ 102,079,386	\$ 103,537,925		
New Referrals:						
No. of Accounts	2,822	717	(65)	507		
\$ Dollar Amounts	\$ 7,456,940	\$ 2,602,439	\$ 2,906,081	\$ (1,272,365)		
Accounts Closed:						
Collected - No. of Accounts	552	606	460	453		
Collected - \$ Amounts	\$ 2,288,671	\$ 2,119,137	\$ 1,447,542	\$ 1,814,191		
Write Offs - No. of Accounts	-	-	-	-		
Write Offs - \$ Amounts	\$ -	\$ -	\$ -	\$ -		
Delinquent Balance - Ending:						
No. of Accounts	10,501	10,612	10,087	10,141		
\$ Dollar Amounts	\$ 101,596,083	\$ 102,079,386	\$ 103,537,925	\$ 100,451,369		
# New Payments Plans	227	111	155	103		
# New Liens	52	26	51	57		
# New Levies	174	94	209	379		
OICs - Completed by Rules:						
No. of Accounts						
\$ Dollar Amounts						
OICs - Completed by Collection:						
No. of Accounts						
\$ Dollar Amounts						
Special Projects/Assignments:						
					Hours	
					\$ Amounts	
# Collection Staff	6	6	6	6		
DISTRICTS ONLY						
TPS (# of times):						
- Counter Service	1,250	598	272	139		
- Phone Service	2,582	2,049	2,231	2,493		
- Tax Clearances	66	62	27	27		
- Correspondence	489	494	633	576		

[Notes](#)

Hawaii Department of Taxation
Prepared By:
As Of:
Prepared Date:

Hawaii District
D. Hulihee
10/31/21
11/12/21

FY2022	Jan	Feb	Mar	Apr	May	Jun	YTD	Adjusted YTD
OFFICE AUDIT								
RAR:								
Taxpayers Audited							29	29
Returns Audited							34	34
Returns Assessed							26	26
\$ Assessments							\$ 57,907	\$ 57,906
CP-2000								
Taxpayers Audited							41	41
Returns Audited							50	50
Returns Assessed							29	29
\$ Assessments							\$ 80,352	\$ 80,352
CIU/Fraud Referral								
Taxpayers Audited							14	14
Returns Audited							32	32
Returns Assessed							25	27
\$ Assessments							\$ 164,062	\$ 154,213
SES Referral								
Taxpayers Audited							-	-
Returns Audited							-	-
Returns Assessed							-	-
\$ Assessments							\$ -	\$ -
Net Operating Loss (NOL)								
Taxpayers Audited							-	-
Returns Audited							-	-
Returns Assessed							-	-
\$ Assessments							\$ -	\$ -
Non-Filer								
Taxpayers Audited							13	13
Returns Audited							117	115
Returns Assessed							89	101
\$ Assessments							\$ 222,610	\$ 211,027
RCO Refund Review								
Taxpayers Audited							40	39
Returns Audited							107	107
Returns Assessed							53	53
\$ Assessments							\$ 265,745	\$ 265,745
Discovery								
Taxpayers Audited							72	71
Returns Audited							660	655
Returns Assessed							533	529
\$ Assessments							\$ 2,651,803	\$ 2,598,170
Other								
Taxpayers Audited							8	8
Returns Audited							57	54
Returns Assessed							34	34
\$ Assessments							\$ 54,662	\$ 52,900
TOTAL:								
Taxpayers Audited							217	215
Returns Audited							1,057	1,047
Returns Assessed							789	799
\$ Assessments	\$ -	\$ 3,497,141	\$ 3,420,313					
Manual Adjustments to TSM Total							\$6,973	\$ 6,973
Secured Returns in Lieu of Assessments							\$602,672	\$ 656,050
TOTAL \$ ASSESSMENTS	\$ -	\$ 4,106,786	\$ 4,083,336					
Audits on Hold (Final on hold)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 82,325	\$ 82,325
\$ Dollars Recovered on Assessments							\$ 402,486	\$ 649,165
\$ Dollars Recovered on Secured Returns							\$ 292,005	\$ 453,069
TOTAL \$ RECOVERIES	\$ -	\$ 694,491	\$ 1,102,234					
Recovery %	0%	0%	0%	0%	0%	0%	17%	27%
Adjustments (Waiver/Settlements/Appeal Adj.)							\$ -	\$ -

Hawaii Department of Taxation
Prepared By: D. Hulihee
As Of: 10/31/21
Prepared Date: 11/12/21

FY2022 Jan Feb Mar Apr May Jun YTD Adjusted YTD

Reviews

Net Operating Losses									
# of NOL C/B Yrs	-	-	-	-	-	-	-	-	-
HARPTA N-288B Exemptions									145
# of N-288B									145
% Disapproved/Rejected									27%
HARPTA N-288C Adjustments							\$	322,267	
Refund Review - High Dollar									150
# Reviewed									150

Appeals

Board of Review Cases:									
# New Appeals Filed									2
# Appeals Withdrawn									-
# Appeals Settled									-
Tax Appeal Court Cases:									
# New Appeals Filed									2
# Appeals Withdrawn									-
# Appeals Settled									-
# Office Audit Staff									7

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

FIELD AUDIT

Taxpayers Audited									6	9				
Return Years Audited									57	57				
Return Years Assessed									15	16				
TSM \$ Assessments							\$	466,057	\$	466,058				
Add: Manual Adjustments							\$	40,677	\$	40,677				
Add: Secured Rtns in Lieu of Assmts							\$	10,616	\$	13,742				
TOTAL \$ ASSESSMENTS	\$	-	\$	-	\$	-	\$	-	\$	517,350	\$	520,477		
Audits on Hold (Final on hold)									-	-				
\$ Dollars Recovered on Assessments							\$	85,152	\$	85,152				
\$ Dollars Recovered on Secured Returns							\$	7,840	\$	10,239				
TOTAL \$ RECOVERIES	\$	-	\$	-	\$	-	\$	-	\$	92,992	\$	95,391		
Recovery %		0.00%		0.00%		0.00%		0.00%		0.00%		17.97%		18.33%
\$ Dollars Recovered on Prev. FY Asmts														

Adjustments (Waiver/Settlements/Appeal)								\$	-	\$	-
# of N-288Cs											-
HARPTA N-288C Adjustments							\$	-			-

Appeals

Board of Review Cases:										
# New Appeals Filed									-	
# Appeals Withdrawn									-	
# Appeals Settled									-	
Tax Appeal Court Cases:										
# New Appeals Filed									-	
# Appeals Withdrawn									-	
# Appeals Settled									-	
Special Projects/Assignments:										
Hours										-

Audit Working Hours (Net)										1,053.50
# Field Audit Staff										3

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation

Hawaii District

Prepared By:
As Of:
Prepared Date:

D. Hulihee
10/31/21
11/12/21

FY2022

COLLECTION

	<u>Jan</u>	<u>Feb</u>	<u>Mar</u>	<u>Apr</u>	<u>May</u>	<u>Jun</u>	<u>YTD</u>	<u>Adjusted YTD</u>
Delinquent Balance - Beginning:								
No. of Accounts							8,231	
\$ Dollar Amounts							\$ 96,427,814	
New Referrals:								
No. of Accounts							3,981	
\$ Dollar Amounts							\$ 11,693,095	
Accounts Closed:								
Collected - No. of Accounts							2,071	
Collected - \$ Amounts							\$ 7,669,541	
Write Offs - No. of Accounts							-	
Write Offs - \$ Amounts							\$ -	
Delinquent Balance - Ending:								
No. of Accounts							10,141	
\$ Dollar Amounts							\$ 100,451,369	
# New Payments Plans								
							596	
# New Liens								
							186	
# New Levies								
							856	
OICs - Completed by Rules:								
No. of Accounts							-	
\$ Dollar Amounts							\$ -	
OICs - Completed by Collection:								
No. of Accounts							-	
\$ Dollar Amounts							\$ -	
Special Projects/Assignments:								
Hours							-	
\$ Amounts							\$ -	
# Collection Staff								
DISTRICTS ONLY								
TPS (# of times):								
- Counter Service							2,259	
- Phone Service							9,355	
- Tax Clearances							182	
- Correspondence							2,192	

[Notes](#)



Hawaii Department of Taxation

Maui District

Prepared By:
As Of:
Prepared Date:

D. Cordeiro D. Cordeiro D. Cordeiro D. Cordeiro
10/31/21
10/18/21

11/3/21

FY2022

Jul Aug Sep Oct Nov Dec

OFFICE AUDIT

RAR:

Taxpayers Audited	3	8	8	1		
Returns Audited	3	10	8	1		
Returns Assessed	3	10	7	1		
\$ Assessments	\$ 46,926	\$ 26,100	\$ 5,205	\$ 7,803		

CP-2000

Taxpayers Audited			9	1		
Returns Audited	-	-	9	1		
Returns Assessed	-	-	9	1		
\$ Assessments	\$ -	\$ -	\$ 17,250	\$ 1,340		

CIU/Fraud Referral

Taxpayers Audited		2	2	1		
Returns Audited	-	5	2	2		
Returns Assessed	-	5	2	2		
\$ Assessments	\$ -	\$ 7,981	\$ 6,543	\$ 5,417		

SES Referral

Taxpayers Audited				-		
Returns Audited	-	-	-	-		
Returns Assessed	-	-	-	-		
\$ Assessments	\$ -	\$ -	\$ -	\$ -		

Net Operating Loss (NOL)

Taxpayers Audited				-		
Returns Audited	-	-	-	-		
Returns Assessed	-	-	-	-		
\$ Assessments	\$ -	\$ -	\$ -	\$ -		

Non-Filer

Taxpayers Audited	21	22	26	12		
Returns Audited	120	74	92	43		
Returns Assessed	115	69	90	15		
\$ Assessments	\$ 531,189	\$ 369,688	\$ 324,665	\$ 142,457		

RCO Refund Review

Taxpayers Audited		9	5	4		
Returns Audited	-	10	15	4		
Returns Assessed	-	10	15	3		
\$ Assessments	\$ -	\$ 151,096	\$ 84,603	\$ 20,702		

Discovery

Taxpayers Audited			2	1		
Returns Audited	-	-	13	5		
Returns Assessed	-	-	13	5		
\$ Assessments	\$ -	\$ -	\$ 96,841	\$ 76,268		

Other

Taxpayers Audited	2	10	11	2		
Returns Audited	12	41	54	9		
Returns Assessed	12	41	51	3		
\$ Assessments	\$ 20,328	\$ 131,364	\$ 94,819	\$ 2,392		

TSM TOTAL

Taxpayers Audited	26	51	63	22	-	-
Returns Audited	135	140	193	65	-	-
Returns Assessed	130	135	187	30	-	-

\$ Assessments \$ 598,442 \$ 686,229 \$ 629,926 \$ 256,379 \$ - \$ -

Manual Adjustments to TSM Total

Secured Returns in Lieu of Assessments \$ 236,132 \$ 176,532 \$ 197,585

TOTAL \$ ASSESSMENTS **\$ 834,574** **\$ 686,229** **\$ 806,458** **\$ 453,964** **\$ -** **\$ -**

Audits on Hold (Final on hold)

\$ Dollars Recovered on Assessments	\$ 8,823	\$ 221,797	\$ 154,282	\$ 25,291		
\$ Dollars Recovered on Secured Returns		\$ 38,838		\$ 22,369		
TOTAL \$ RECOVERIES	\$ 8,823	\$ 260,635	\$ 154,282	\$ 47,660	\$ -	\$ -

Recovery % 1% 38% 24% 19% 0% 0%

Adjustments (Waiver/Settlements/Appeal Adj.) \$ -

Reviews

Net Operating Losses
of NOL C/B Yrs

HARPTA N-288B Exemptions						
# of N-288	266	255	341	281		
% Disapproved/Rejected	11%	10%	9%	8%		

HARPTA N-288C Adjustments \$ 119,061.00 \$ 364,412.00 \$ 414,168.00 \$ 89,879.00

Refund Review - High Dollar
Reviewed 60 38 84 52

Appeals

Board of Review Cases:

Hawaii Department of Taxation

Maui District

Prepared By:
As Of:
Prepared Date:

D. Cordeiro D. Cordeiro D. Cordeiro
10/31/21 10/18/21 11/3/21

FY2022	Jul	Aug	Sep	Oct	Nov	Dec
# New Appeals Filed			1	-	-	
# Appeals Withdrawn						
# Appeals Settled						
Tax Appeal Court Cases:						
# New Appeals Filed						
# Appeals Withdrawn						
# Appeals Settled						
# Office Audit Staff	5	5	5	5		

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

FIELD AUDIT

Taxpayers Audited			2	2		
Return Years Audited	-		12	2		
Return Years Assessed	-		10			
TSM \$ Assessments	\$ -		\$ 43,674			
Add: Manual Adjustments	\$ -					
Add: Secured Rtns in Lieu of Assmts	\$ -					
TOTAL \$ ASSESSMENTS	\$ -	\$ -	\$ 43,674	\$ -	\$ -	\$ -

Audits on Hold (Final on hold)

\$ Dollars Recovered on Assessments			\$ 43,674			
\$ Dollars Recovered on Secured Returns						
TOTAL \$ RECOVERIES	\$ -	\$ -	\$ 43,674	\$ -	\$ -	\$ -

Recovery %	0.00%	0.00%	100.00%	0.00%	0.00%	0.00%
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\$ Dollars Recovered on Prev. FY Asmts

Adjustments (Waiver/Settlements/Appeal A \$ -

of N-288Cs
HARPTA N-288C Adjustments

Appeals

Board of Review Cases:
New Appeals Filed
Appeals Withdrawn
Appeals Settled
Tax Appeal Court Cases:
New Appeals Filed
Appeals Withdrawn
Appeals Settled
Special Projects/Assignments:
Hours

Audit Working Hours (Net)	109	125	115	133
# Field Audit Staff	1	1	1	1

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation
 Prepared By: Maui District
 As Of: D. Cordeiro 10/31/21
 Prepared Date: D. Cordeiro 10/18/21 D. Cordeiro 11/3/21

FY2022	Jul	Aug	Sep	Oct	Nov	Dec
COLLECTION						
Delinquent Balance - Beginning:						
No. of Accounts	5,434	8,097	8,113	7,482		
\$ Dollar Amounts	\$ 80,618,693	83,379,879	82,293,123	83,249,445		
New Referrals:						
No. of Accounts	3,370	815	16	527		
\$ Dollar Amounts	\$ 5,118,460	\$ 1,348,669	\$ 3,108,395	\$ 1,336,345		
Accounts Closed:						
Collected - No. of Accounts	707	799	647	593		
Collected - \$ Amounts	\$ 2,357,274	\$ 2,435,425	\$ 2,152,074	\$ 1,636,771		
Write Offs - No. of Accounts	-					
Write Offs - \$ Amounts	\$ -					
Delinquent Balance - Ending:						
No. of Accounts	8,097	8,113	7,482	7,416		
\$ Dollar Amounts	\$ 83,379,879	\$ 82,293,123	\$ 83,249,445	\$ 82,949,019		
# New Payments Plans	401	236	198	134		
# New Liens	69	28	50	47		
# New Levies	746	648	781	1,134		
OICs - Completed by Rules:						
No. of Accounts	-	-				
\$ Dollar Amounts	\$ -					
OICs - Completed by Collection:						
No. of Accounts	-	2	-			
\$ Dollar Amounts	\$ -					
Special Projects/Assignments:						
Hours	-					
\$ Amounts	\$ -					
Collection Working Hours (Net)						
# Collection Staff	6	6	6	6		
DISTRICTS ONLY						
TPS (# of times):						
- Counter Service	42	25	18	23		
- Phone Service	2,770	1,889	2,329	1,961		
- Tax Clearances	49	19	55	42		
- Correspondence	269	127	229	168		

Hawaii Department of Taxation
Prepared By:
As Of:
Prepared Date:

Maui District
D. Cordeiro
10/31/21
10/18/21

FY2022	Jan	Feb	Mar	Apr	May	Jun	YTD	Adjusted YTD
OFFICE AUDIT								
RAR:								
Taxpayers Audited							20	20
Returns Audited							22	22
Returns Assessed							21	21
\$ Assessments							\$ 86,034	\$ 86,034
CP-2000								
Taxpayers Audited							10	10
Returns Audited							10	10
Returns Assessed							10	10
\$ Assessments							\$ 18,590	\$ 18,590
CIU/Fraud Referral								
Taxpayers Audited							5	5
Returns Audited							9	9
Returns Assessed							9	9
\$ Assessments							\$ 19,941	\$ 19,941
SES Referral								
Taxpayers Audited							-	-
Returns Audited							-	-
Returns Assessed							-	-
\$ Assessments							\$ -	\$ -
Net Operating Loss (NOL)								
Taxpayers Audited							-	-
Returns Audited							-	-
Returns Assessed							-	-
\$ Assessments							\$ -	\$ -
Non-Filer								
Taxpayers Audited							81	81
Returns Audited							329	329
Returns Assessed							289	289
\$ Assessments							\$ 1,367,999	\$ 1,335,141
RCO Refund Review								
Taxpayers Audited							18	18
Returns Audited							29	29
Returns Assessed							28	28
\$ Assessments							\$ 256,401	\$ 256,401
Discovery								
Taxpayers Audited							3	3
Returns Audited							18	18
Returns Assessed							18	18
\$ Assessments							\$ 173,109	\$ 173,109
Other								
Taxpayers Audited							25	25
Returns Audited							116	116
Returns Assessed							107	107
\$ Assessments							\$ 248,903	\$ 248,988
TSM TOTAL								
Taxpayers Audited	-	-	-	-	-	-	162	159
Returns Audited	-	-	-	-	-	-	533	515
Returns Assessed	-	-	-	-	-	-	482	464
\$ Assessments	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,170,977	\$ 2,138,204
Manual Adjustments to TSM Total							\$ 610,249	\$ 610,249
Secured Returns in Lieu of Assessments							\$ -	\$ -
TOTAL \$ ASSESSMENTS	\$ -	\$ 2,781,226	\$ 2,748,453					
Audits on Hold (Final on hold)								
\$ Dollars Recovered on Assessments							\$ 410,193	\$ 410,193
\$ Dollars Recovered on Secured Returns							\$ 61,207	\$ 59,218
TOTAL \$ RECOVERIES	\$ -	\$ 471,400	\$ 469,411					
Recovery %	0%	0%	0%	0%	0%	0%	22%	22%
Adjustments (Waiver/Settlements/Appeal Adj.)								
							0	\$ -
Reviews								
Net Operating Losses								
# of NOL C/B Yrs							0	
HARPTA N-288B Exemptions								
# of N-288							1,143	
% Disapproved/Rejected							10%	
HARPTA N-288C Adjustments								
							\$ 987,520.00	
Refund Review - High Dollar								
# Reviewed							234	

Appeals
Board of Review Cases:

Hawaii Department of Taxation
Prepared By:
As Of:
Prepared Date:

Maui District
D. Cordeiro
10/31/21
10/18/21

FY2022	Jan	Feb	Mar	Apr	May	Jun	YTD	Adjusted YTD
# New Appeals Filed							1	
# Appeals Withdrawn							0	
# Appeals Settled							0	
Tax Appeal Court Cases:								
# New Appeals Filed							0	
# Appeals Withdrawn							0	
# Appeals Settled							0	
# Office Audit Staff							5	

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

FIELD AUDIT

Taxpayers Audited							14	14
Return Years Audited							10	10
Return Years Assessed							43,674	\$ 43,675
TSM \$ Assessments								
Add: Manual Adjustments								
Add: Secured Rtns in Lieu of Assmts								
TOTAL \$ ASSESSMENTS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 43,674	\$ 43,675

Audits on Hold (Final on hold)

\$ Dollars Recovered on Assessments							43,674	\$ 43,675
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\$ Dollars Recovered on Secured Returns								
TOTAL \$ RECOVERIES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 43,674	\$ 43,675

Recovery %	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	100.00%	100.00%
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\$ Dollars Recovered on Prev. FY Asmts							\$ -	
--	--	--	--	--	--	--	------	--

Adjustments (Waiver/Settlements/Appeal A							0	-
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# of N-288Cs							0	
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HARPTA N-288C Adjustments							\$ -	
---------------------------	--	--	--	--	--	--	------	--

Appeals

Board of Review Cases:								
# New Appeals Filed							0	
# Appeals Withdrawn							0	
# Appeals Settled							0	
Tax Appeal Court Cases:								
# New Appeals Filed							0	
# Appeals Withdrawn							0	
# Appeals Settled							0	
Special Projects/Assignments:								
Hours							0	

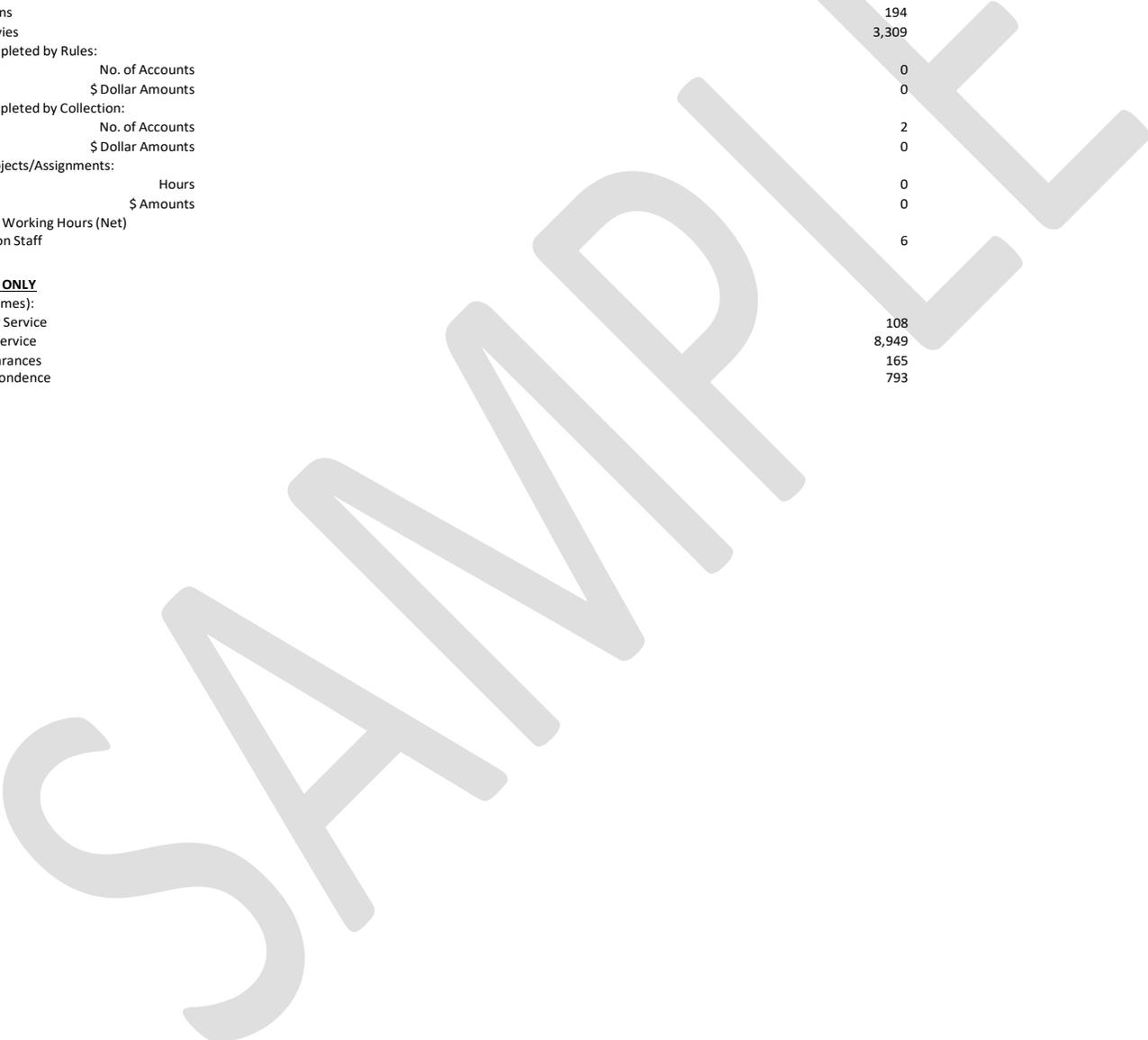
Audit Working Hours (Net)							482.00	
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# Field Audit Staff							1	
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Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation
Prepared By: Maui District
As Of: D. Cordeiro
Prepared Date: 10/31/21
10/18/21

FY2022	Jan	Feb	Mar	Apr	May	Jun	YTD	Adjusted YTD
COLLECTION								
Delinquent Balance - Beginning:								
No. of Accounts							5,434	
\$ Dollar Amounts							80,618,693	
New Referrals:								
No. of Accounts							4,728	
\$ Dollar Amounts							10,911,869	
Accounts Closed:								
Collected - No. of Accounts							2,746	
Collected - \$ Amounts							8,581,544	
Write Offs - No. of Accounts							0	
Write Offs - \$ Amounts							0	
Delinquent Balance - Ending:								
No. of Accounts							7,416	
\$ Dollar Amounts							\$ 82,949,019	
# New Payments Plans							969	
# New Liens							194	
# New Levies							3,309	
OICs - Completed by Rules:								
No. of Accounts							0	
\$ Dollar Amounts							0	
OICs - Completed by Collection:								
No. of Accounts							2	
\$ Dollar Amounts							0	
Special Projects/Assignments:								
Hours							0	
\$ Amounts							0	
Collection Working Hours (Net)								
# Collection Staff							6	
DISTRICTS ONLY								
TPS (# of times):								
- Counter Service							108	
- Phone Service							8,949	
- Tax Clearances							165	
- Correspondence							793	



Hawaii Department of Taxation

Kauai District

Prepared By:

C. Balisacan

As Of:

10/31/21

Prepared Date:

10/13/21

FY2022

Jul Aug Sep Oct Nov Dec

OFFICE AUDIT

RAR:							
Taxpayers Audited	1	1	4	1			
Returns Audited	1	18	4	1			
Returns Assessed	1	18	4	1			
\$ Assessments	\$ (1)	\$ 285,420	\$ 24,514	98,170			
CP-2000							
Taxpayers Audited	1	13	4	2			
Returns Audited	1	13	7	2			
Returns Assessed	-	12	7	2			
\$ Assessments	\$ -	\$ 12,553	\$ 8,496	8,455			
CIU/Fraud Referral							
Taxpayers Audited	1						
Returns Audited	1	-	-	-			
Returns Assessed	1	-	-	-			
\$ Assessments	\$ 4,921	\$ -	\$ -	-			
SES Referral							
Taxpayers Audited							
Returns Audited	-	-	-	-			
Returns Assessed	-	-	-	-			
\$ Assessments	\$ -	\$ -	\$ -	-			
Net Operating Loss (NOL)							
Taxpayers Audited							
Returns Audited	-	-	-	-			
Returns Assessed	-	-	-	-			
\$ Assessments	\$ -	\$ -	\$ -	-			
Non-Filer							
Taxpayers Audited							
Returns Audited	-	-	-	-			
Returns Assessed	-	-	-	-			
\$ Assessments	\$ -	\$ -	\$ -	-			
RCO Refund Review							
Taxpayers Audited	\$ 13	\$ 5	3	6			
Returns Audited	34	27	7	10			
Returns Assessed	30	26	2	10			
\$ Assessments	\$ 112,491	\$ 50,865	\$ 43,194	57,674			
Discovery							
Taxpayers Audited							
Returns Audited	-	-	-	-			
Returns Assessed	-	-	-	-			
\$ Assessments	\$ -	\$ -	\$ -	-			
Other							
Taxpayers Audited			1	-			
Returns Audited	-	-	14	-			
Returns Assessed	-	-	14	-			
\$ Assessments	-	-	14,783	-			
TSM TOTAL							
Taxpayers Audited	16	19	12	9	-	-	
Returns Audited	37	58	32	13	-	-	
Returns Assessed	32	56	27	13	-	-	
\$ Assessments	\$ 117,411	\$ 348,838	\$ 90,987	\$ 164,298	\$ -	\$ -	
Manual Adjustments to TSM Total							
Secured Returns in Lieu of Assessments				\$ 5,591			
TOTAL \$ ASSESSMENTS	\$ 117,411	\$ 348,838	\$ 90,987	\$ 169,889	\$ -	\$ -	
Audits on Hold (Final on hold)							
		8,420.77	-				
\$ Dollars Recovered on Assessments							
	\$ 117,411	\$ 54,241	\$ 90,987	\$ 57,895			
\$ Dollars Recovered on Secured Returns							
		\$ -	\$ -	\$ 5,591			
TOTAL \$ RECOVERIES	\$ 117,411	\$ 54,241	\$ 90,987	\$ 63,486	\$ -	\$ -	
Recovery %	100%	16%	100%	39%	0%	0%	
Adjustments (Waiver/Settlements/Appeal Adj.)							
	\$ -	0	0	0			

Hawaii Department of Taxation

Kauai District

Prepared By:

C. Balisacan

As Of:

10/31/21

Prepared Date:

10/13/21

FY2022 Jul Aug Sep Oct Nov Dec

Reviews

Net Operating Losses
of NOL C/B Yrs

- - - - -

HARPTA N-288B Exemptions
of N-288
% Disapproved/Rejected

0% 0% 0% 0%

HARPTA N-288C Adjustments

\$ - \$ 41,603 82,270 96,529

Refund Review - High Dollar

Reviewed 13 8 9 6

Appeals

Board of Review Cases:

New Appeals Filed - - -
Appeals Withdrawn - - -
Appeals Settled - - -

Tax Appeal Court Cases:

New Appeals Filed - - -
Appeals Withdrawn - - -
Appeals Settled - - -

Office Audit Staff

2 2 2 2

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

FIELD AUDIT

Taxpayers Audited

7 - - -

Return Years Audited

7 - - -

Return Years Assessed

TSM \$ Assessments

\$ 21,785 \$ - \$ - \$ -

Add: Manual Adjustments

\$ - \$ - \$ - \$ -

Add: Secured Rtns in Lieu of Assmts

\$ - \$ - \$ - \$ -

TOTAL \$ ASSESSMENTS

\$ 21,785 \$ - \$ - \$ - \$ - \$ -

Audits on Hold (Final on hold)

0 0 0 0

\$ Dollars Recovered on Assessments

\$ - \$ - \$ - \$ -

\$ Dollars Recovered on Secured Returns

\$ - \$ - \$ - \$ -

TOTAL \$ RECOVERIES

\$ - \$ - \$ - \$ - \$ - \$ -

Recovery %

0.00% 0.00% 0.00% 0.00% 0.00% 0.00%

\$ Dollars Recovered on Prev. FY Asmts

\$ - - - - -

Adjustments (Waiver/Settlements/Appeal Adj.)

\$ - \$ - \$ - \$ -

of N-288Cs

- - - - -

HARPTA N-288C Adjustments

\$ - \$ - \$ - \$ -

Appeals

Board of Review Cases:

New Appeals Filed - - -
Appeals Withdrawn - - -
Appeals Settled - - -

Tax Appeal Court Cases:

New Appeals Filed - - -
Appeals Withdrawn - - -
Appeals Settled - - -

Special Projects/Assignments:

Hours - - - - -
\$ Assessments \$ - \$ - \$ - \$ -

Audit Working Hours (Net)

- - - - -

Field Audit Staff

- - - - -

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation

Kauai District

Prepared By:

C. Balisacan

As Of:

10/31/21

Prepared Date:

10/13/21

FY2022

Jul

Aug

Sep

Oct

Nov

Dec

COLLECTION

Delinquent Balance - Beginning:

No. of Accounts	2,329	3,415	3,394	3,165
\$ Dollar Amounts	42,248,532	42,691,538	44,506,080	43,199,557

New Referrals:

No. of Accounts	1,401	319	20	278
\$ Dollar Amounts	1,037,000	2,809,681	\$(419,434)	1,434,871

Accounts Closed:

Collected - No. of Accounts	315	340	249	268
Collected - \$ Amounts	\$ 593,994	\$ 995,139	\$ 887,088	\$ 887,537
Write Offs - No. of Accounts		-		
Write Offs - \$ Amounts	\$	-		

Delinquent Balance - Ending:

No. of Accounts	3,415	3,394	3,165	3,175
\$ Dollar Amounts	42,691,538	44,506,080	43,199,557	43,746,891

New Payments Plans

	101	86	74	63
--	-----	----	----	----

New Liens

	25	34	45	31
--	----	----	----	----

New Levies

	188	207	194	151
--	-----	-----	-----	-----

OICs - Completed by Rules:

No. of Accounts	-	-	-	-
\$ Dollar Amounts	\$ -	\$ -	\$ -	\$ -

OICs - Completed by Collection:

No. of Accounts	-	-	-	-
\$ Dollar Amounts	\$ -	\$ -	\$ -	\$ -

Special Projects/Assignments:

Hours

Collection Staff

	2	2	2	2
--	---	---	---	---

DISTRICTS ONLY

TPS (# of times):

- Counter Service	28	21	0	0
- Phone Service	2,091	1,999	1,920	1,937
- Tax Clearances	26	22	15	27
- Correspondence	485	562	565	566
N288B	82	52	104	97

Notes:

OFFICE AUDIT

Net Operating Losses

# of NOL C/B Yrs	0	0	0	0
Gross Net Operating Losses	\$ -	\$ -	\$ -	\$ -
Total NOL Refunds Audited	\$ -	\$ -	\$ -	\$ -
NOL Refunds Adjusted	\$ -	\$ -	\$ -	\$ -

HARPTA N-288B Exemptions (TSP)

# of N-288B	82	52	104	97		
\$ Exemptions Audited (5%)	\$ 490,564	\$ 223,959	\$ 305,296	\$ 298,063		
\$ Exemptions Assessed	\$ 84,917	\$ 16,237	\$ 100,204	\$ 21,610		
% Disapproved/Rejected	17%	7%	33%	7%	#DIV/0!	#DIV/0!

Hawaii Department of Taxation
Prepared By: C. Balisacan
As Of: 10/31/21
Prepared Date: 10/13/21

FY2022 Jan Feb Mar Apr May Jun YTD Adjusted YTD

Reviews
Net Operating Losses
of NOL C/B Yrs -

HARPTA N-288B Exemptions
of N-288 -
% Disapproved/Rejected

HARPTA N-288C Adjustments \$ 220,402

Refund Review - High Dollar
Reviewed 36

Appeals

Board of Review Cases:
New Appeals Filed 0
Appeals Withdrawn 0
Appeals Settled 0

Tax Appeal Court Cases:
New Appeals Filed 0
Appeals Withdrawn 0
Appeals Settled 0

Office Audit Staff 2

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

FIELD AUDIT

Taxpayers Audited 7 7
Return Years Audited 7 7
Return Years Assessed 7 7
TSM \$ Assessments 21,785 \$ 21,775
Add: Manual Adjustments
Add: Secured Rtns in Lieu of Assmts
TOTAL \$ ASSESSMENTS \$ - \$ - \$ - \$ - \$ - \$ - \$ 21,785 \$ 21,775

Audits on Hold (Final on hold)

\$ Dollars Recovered on Assessments 0 \$ 1,457
\$ Dollars Recovered on Secured Returns
TOTAL \$ RECOVERIES \$ - \$ - \$ - \$ - \$ - \$ - \$ - \$ 1,457

Recovery % 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 0.00% 6.69%
\$ Dollars Recovered on Prev. FY Asmts

Adjustments (Waiver/Settlements/Appeal Adj.) \$ - \$ -

of N-288Cs
HARPTA N-288C Adjustments \$ - \$ - \$ -

Appeals

Board of Review Cases:
New Appeals Filed - - - 0
Appeals Withdrawn - - - 0
Appeals Settled - - - 0

Tax Appeal Court Cases:
New Appeals Filed - - - 0
Appeals Withdrawn - - - 0
Appeals Settled - - - 0

Special Projects/Assignments:
Hours - - 0
\$ Assessments \$ - \$ -

Audit Working Hours (Net) -
Field Audit Staff #N/A

Monthly totals are as of close of the particular month. YTD is sum of monthly totals and Adjusted YTD is adjusted for audit reversals and interest differences.

Hawaii Department of Taxation

Prepared By:

As Of:

Prepared by:

As of:

Prepared date:

FY 2022

Special Enforcement Section (SES)

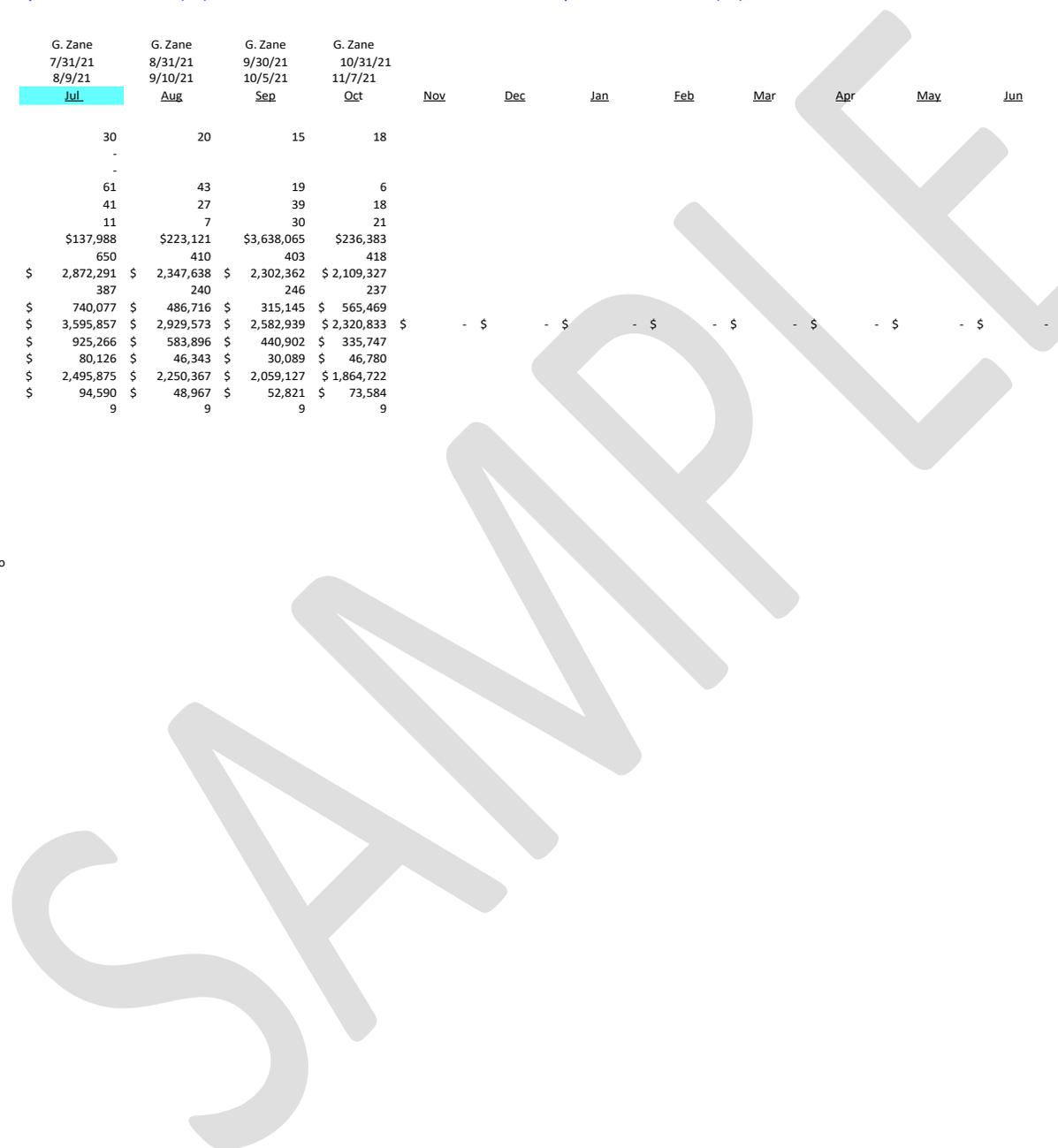
Special Enforcement Section (SES)

	G. Zane 7/31/21 8/9/21	G. Zane 8/31/21 9/10/21	G. Zane 9/30/21 10/5/21	G. Zane 10/31/21 11/7/21	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	YTD
	Jul	Aug	Sep	Oct									
Complaints	30	20	15	18									83
Vendors Educated	-												-
Site Visitations	-												-
Nonfilers Contacted (cases opened)	61	43	19	6									129
Investigations Completed (cases closed)	41	27	39	18									125
Referrals for Assessments (# of cases)*	11	7	30	21									69
Referrals for Assessments (\$ assessed)*	\$137,988	\$223,121	\$3,638,065	\$236,383									\$4,235,557
Returns Secured (# Returns Filed) - SES	650	410	403	418									1,881
\$ Liability for Returns Secured	\$ 2,872,291	\$ 2,347,638	\$ 2,302,362	\$ 2,109,327									\$ 9,631,618
Returns Secured (# Returns Filed) - TAT	387	240	246	237									1,110
\$ Liability for Returns Secured	\$ 740,077	\$ 486,716	\$ 315,145	\$ 565,469									\$ 2,107,406
Dollars Collected (Total)	\$ 3,595,857	\$ 2,929,573	\$ 2,582,939	\$ 2,320,833	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 11,429,201
\$ Collected (TAT Collections)	\$ 925,266	\$ 583,896	\$ 440,902	\$ 335,747									\$ 2,285,810
\$ Collected (TAT Collections - OA/SES TAT Project)	\$ 80,126	\$ 46,343	\$ 30,089	\$ 46,780									\$ 203,338
\$ Collected (SES Collections)**	\$ 2,495,875	\$ 2,250,367	\$ 2,059,127	\$ 1,864,722									\$ 8,670,091
\$ Collected (SES Collections - OA/SES TAT Project)	\$ 94,590	\$ 48,967	\$ 52,821	\$ 73,584									\$ 269,962
# SES Staff	9	9	9	9									9

Notes:

* Numbers for Nonfilers Contacted (cases opened) and Investigations Completed (cases closed) were revised to include cases arising from SES-SP's Airbnb project

**\$Collected (SES Collections) for Jul - Oct revised due to computational error



Hawaii Department of Taxation
Prepared By:
As Of:
Prepared Date:

Fraud Section
R. Fong
10/31/21
10/13/21

FY2022

FRAUD AUDITS

	<u>Jul</u>	<u>Aug</u>	<u>Sep</u>	<u>Oct</u>	<u>Nov</u>	<u>Dec</u>
# Referrals to Leads/Audits	11	4	5	9		
# Add'l Info Review-Created	25	14	16	8		
# Add'l Info Review-Closed	176	75	77	63		
# Misc Mail Review Completed	73	24	28	19		
# Fraudulent Returns Stopped	138	65	64	55		
\$ Fraudulent Returns Stopped	\$ 253,984	\$ 165,178	\$ 124,932	\$ 95,021		
\$ Other						
# PTINs						
\$ PTINs						
# Fraud Staff	2.0	2.0	2.0	2.0		

SAMPLE

Hawaii Department of Taxation
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Fraud Section
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10/31/21
10/13/21

FY2022

Jan Feb Mar Apr May Jun YTD

FRAUD AUDITS

# Referrals to Leads/Audits							29
# Add'l Info Review-Created							63
# Add'l Info Review-Closed							391
# Misc Mail Review Completed							144
# Fraudulent Returns Stopped							322
\$ Fraudulent Returns Stopped						\$	639,115
\$ Other							
# PTINs							
\$ PTINs							
# Fraud Staff							2.0

SAMPLE

Hawaii Department of Taxation

Prepared By: R. Fong
As Of: 10/31/21
Prepared Date: 10/13/21

Criminal Investigation Executive Report - October 2021

The Criminal Investigation Section currently has 6 entities under investigation. 1 case had a change of plea - pleaded guilty to 6 counts of failure to file - Restitution of \$302,000 (to be paid in 1 year), sentenced (postponed due to Covid-19 until January 2022). 2 cases awaiting charges by AG.

Criminal Investigation Executive Report - September 2021

The Criminal Investigation Section currently has 6 entities under investigation. 1 case Sentenced & took Plea (postponed due to Covid-19 until 2021 - Inquiring the status of cases). 3 cases awaiting charges by AG. Assisting in a IRS-Criminal Investigation Department case.

Criminal Investigation Executive Report - August 2021

The Criminal Investigation Section currently has 6 entities under investigation. 1 case Sentenced & took Plea (postponed due to Covid-19 until 2021 - Inquiring the status of cases). 3 cases awaiting charges by AG. Assisting in a IRS-Criminal Investigation Department case.

Criminal Investigation Executive Report - July 2021

The Criminal Investigation Section currently has 6 entities under investigation. 1 cases Sentenced & took Plea (postponed due to Covid-19 until 2021 - Inquiring the status of cases). 3 cases awaiting charges by AG.

