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Presentation of the Department of Commerce and Consumer Affairs

**Before the
Senate Committee on Ways and Means
and
Senate Committee on Commerce and Consumer Protection
Thursday, January 14, 2021
12:30 p.m.
State Capitol, Conference Room 211**

Budget Request for Fiscal Biennium 2021-2023

Chairs Dela Cruz and Baker and Members of the Committees:

My name is Catherine Awakuni Colón, and I am the Director of the Department of Commerce and Consumer Affairs (DCCA or Department). I appreciate the opportunity to discuss the Department's fiscal year (FY) 2022 – 2023 budget with the members of the Committees.

Overview

The mission of the DCCA is to protect Hawaii's consumers and service its business community with respect and fairness to the interests of both.

The Legislature established the DCCA's funding structure by creating the compliance resolution fund (CRF) in 1996. This special fund is the primary funding source for the DCCA's programs. As a special-funded department, the DCCA receives no general funds; its divisions secure revenues to cover their expenses and contribute equitably to overhead costs, and they maintain sufficient reserves to address unexpected contingencies and anticipated major improvements. Maintaining adequate

reserves ensures fund solvency and the delivery of critical commerce and consumer protection services. Since the onset of the CRF, the Department's primary fiscal goal has been to implement this strategy through conservative spending and adjusting its expenses to align with projected revenues. Accordingly, the DCCA's financial strategy accounts for current FY expenses, as well as future major projects and expenditures.

The Department's budget requests fall into two primary categories: (1) information technology (IT) resiliency, replacement, and enhancement; and (2) building resiliency. The DCCA's strategic planning over the past year has largely focused on the need to align IT planning and implementation with the evolving needs of its stakeholders. The COVID-19 pandemic has brought renewed urgency to the Department's need to replace critical legacy systems, address pain points in its workflows, and ensure that its work is performed efficiently, regardless of the location of the employee or the customer.

Impact of Current Economic and Fiscal Conditions

Because the Department does not receive general funds, current economic and fiscal conditions have impacted the DCCA primarily through potential reductions in fees and fines that the Department receives. Fortunately, departmental revenues have remained stable, and the DCCA has sufficient projected revenue to fund its budget requests. The Department anticipates that its enforcement divisions will experience an uptick in investigations relating to financial irregularities and consumer scams, and information about landlord-tenant issues will continue to be in strong demand.

Federal Funds

None of the Department's programs have lost or are at risk of losing federal funds.

Non-General Funds

The Department's non-general fund reports are available at:

https://cca.hawaii.gov/wp-content/uploads/2020/12/NGF_12-21-20.pdf.

Budget Requests

The Department formulated a budget that provides the resources necessary for its programs to perform their functions and meet their goals and objectives. Pursuant to

instructions in Finance Memorandum 20-15 issued by the Department of Budget and Finance, notable requests in the current budget include:

- **IT enhancements.** The DCCA's IT budget requests reflect a continued commitment to enhancing the DCCA customer experience by:
 - Replacing the Business Registration Information Management System (BRIMS) and Registration Documents Processing Management System (RDPMS) of the Business Registration Division (BREG) with a unified system, as well as scanning old active files to incorporate them into the replacement system (ceiling increase to BREG of \$3,500,000 for FY 2022; \$500,000 recurring);
 - Replacing the core switch and firewall server hardware (ceiling increase to the Information Systems Communication Office (ISCO) of \$175,000 for FY 2022, \$46,000 recurring);
 - Providing an IT integration platform to facilitate the transition to new systems (ISCO, \$125,000 recurring);
 - Purchasing VxRAIL software (ISCO, \$100,000 recurring); and
 - Replacing an outdated access control system and car printer (ISCO \$75,000 for FY 2022, \$30,000 recurring).
- **King Kalākaua Building.** The DCCA requests a ceiling increase of \$700,000 for FY 2022 and \$1,050,000 for FY 2023 to replace the King Kalākaua Building's cooling towers, repair roof damage caused by Hurricane Lane, and repair supporting columns. In addition, if the Department is awarded a pre-disaster mitigation grant from the Federal Emergency Management Agency to harden the roof and disaster operations center in the King Kalakaua Building, it will be required to provide matching funds.

Additional Information

- **Table 1: Department Functions.** The Prioritized List of Functions encompasses all the major functions and associated activities performed by the Department's programs.

- **Table 2: Departmentwide Totals.** The Department's FY 2021 operating budget is \$89,305,628 (\$85,647,103 in special funds; \$3,407,525 in trust funds; and \$251,000 in competitive federal grants). The DCCA's proposed FY 2022 operating budget is \$93,821,564 (\$90,365,637 in special funds and \$3,455,927 in trust funds). The Department's proposed FY 2023 operating budget is \$90,997,564 (\$87,541,637 in special funds and \$3,455,927 in trust funds).
- **Table 3: Program ID Totals.** The Department's total appropriated amount for FY 2021 in Act 9, Session Laws of Hawaii 2020, is \$89,305,628 (\$85,647,103 in special funds; \$3,407,525 in trust funds; and \$251,000 in competitive federal grants). The FY 2022 amount proposed is \$93,821,564 (\$90,365,637 in special funds and \$3,455,927 in trust funds), which is an increase of 5.06% as compared to its FY 2021 appropriation. The FY 2023 amount proposed is \$90,997,564 (\$87,541,637 in special funds and \$3,455,927 in trust funds), which is an increase of 1.89% as compared to its FY 2021 appropriation.
- **Table 4: Budget Decisions.** The DCCA's requests, the Department of Budget and Finance's recommendations, and the Governor's decisions are listed.
- **Table 5: Proposed Budget Reductions.** The DCCA is abolishing six temporary positions and \$251,000 of ceiling in federal funds.
- **Table 6: Proposed Budget Additions.**
 - **Special Funds**
 - CCA-106: Convert one temporary position to a permanent position for the Insurance Division.
 - CCA-111: \$3,500,000 for FY 2022 and \$500,000 for FY 2023 for an IT project for BREG.
 - CCA-191: \$700,000 for FY 2022 and \$1,050,000 for FY 2023 for building maintenance for the Administrative Services Office.

- CCA-191: \$175,000 for FY 2022 and \$46,000 for FY 2023 for core switch and firewall server software for ISCO.
- CCA-191: \$125,000 for FY 2022 and FY 2023 for iPaaS software for ISCO.
- CCA-191: \$100,000 for FY 2022 and FY 2023 for VxRAIL software for ISCO.
- CCA-191: \$75,000 for FY 2022 and \$30,000 for FY 2023 for security access system items for ISCO.
- \$2,096,534 for FY 2022 and FY 2023 for collective bargaining.
- **Trust Funds**
 - \$49,402 for FY 2022 and FY 2023 for collective bargaining.
- **Table 7: Fiscal Biennium 2019 – 2021 Restrictions.** None.
- **Table 8: Emergency Appropriation Requests.** None.
- **Table 9: Expenditures Exceeding Appropriation Ceilings.** None.
- **Table 10: Intradepartmental Transfer of Funds.** None.
- **Table 11: Vacancy Summary.** Vacancy summaries on November 30, 2020, are listed by program IDs.
- **Table 12: Positions Authorized/Established by Other Acts.** None.
- **Table 13: Overtime Expenditures.** The percent of overtime expenditures incurred in FY 2020 was 0.2% of base salary for special funds, and 0.0% for trust funds. FY 2021-estimated overtime expenditures are 0.8% for special funds and 3.0% for trust funds. Budgeted amounts for FY 2022 are 0.7% and 2.9% of base salary for special funds and trust funds, respectively.
- **Table 14: Contract Costs.** Listing of active contracts by program ID and means of financing is provided.
- **Table 15: Capital Improvement Program Requests.** None.
- **Table 16: Capital Improvement Program Lapses.** None.
- **Table 17: Program ID Sub-Organizations.** Sub-organizations are listed by program ID.

- **Table 18: Organizational Changes and Charts.** The Department's organizational chart for the current year (FY 2021) is available at:
https://cca.hawaii.gov/wp-content/uploads/2020/12/CCA_6-30-20.pdf.

Enclosed in this briefing are tables presenting information in your prescribed format. My program administrators and I are available to answer any questions you may have concerning the Department or the materials submitted for this presentation.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide		Statutory Reference
				Priority		
Office of Consumer Protection	Maintain Complaint Database; Investigate Complaints; Prosecute Legal Actions; Legislation; Consumer Education; Landlord-Tenant Information Center.	<ul style="list-style-type: none"> Investigation of Complaints. Receive all complaints on a statewide basis: walk-ins, mail and telephone; evaluate complaints in relation to investigation threshold criteria; for cases meeting threshold requirements, determine all facts of complaint; determine from facts whether or not there is a violation of any consumer protection law, refer cases which, on their face, fall within the jurisdiction of another agency, and inform complainant of findings of fact and course of action to be taken, if any, by this office or another governmental agency; coordinate fact finding functions with other governmental agencies; monitor advertisements; subpoena witnesses for investigation of facts. 	CCA 110	1		Chapters 209, 290, 436M, 437, 437D, 446, 446E, 457G, 476, 477, 477E, 480, 480D, 480E, 480F, 481A, 481B, 481C, 481D, 481F, 481H, 481K, 481L, 481M, 481P, 486N, 487, 487A, 487J, 487N, 487R, 506, and 521, HRS.
		<ul style="list-style-type: none"> Prosecution. Determine adequacy of evidence to prove violation prior to filing a complaint in court; negotiate Assurance of Voluntary Compliance or Consent Judgment prior to the filing of a complaint in court; take court action against entities that violate consumer protection law; obtain injunction, civil penalty and restitution for consumers in court actions and settlement of cases. 				
		<ul style="list-style-type: none"> Landlord-Tenant Cases. Provide Landlord-Tenant code information to callers. 				
		<ul style="list-style-type: none"> Legislation. Promulgate rules and regulations to protect consumers; draft consumer protection legislation for the Department; recommend consumer protection legislation to the Legislature; and offer testimony on other consumer protection legislation. 				
Professional & Vocational Licensing	Receive and review applications for professional and vocational licenses, condominium, real estate subdivision and time share projects; prepare & analyze legislative proposals to amend professional and vocational licensing laws; and update and evaluate examinations.	<ul style="list-style-type: none"> License and regulate 52 different professions and vocations. Provide administrative support to 25 regulatory licensing boards and, on behalf of the director of the department, administer 27 regulatory licensing programs. The trust funds are dedicated to specific statutory purposes to educate the licensees who are the contributors to the fund, serve as a source of monetary recovery for injured consumers, or support increased government service demands by licensees. One fund is federally mandated to collect fees on its behalf, with revenues going to the federal agency. 	CCA 105	2		Chapters 373, 436B, 436E, 436H, 437, 437B, 438, 439, 440, 440E, 441, 442, 443B, 444, 447, 448, 448E, 448F, 448H, 451A, 451D, 451J, 452, 453, 453D, 455, 457, 457A, 457B, 457G, 457J, 458, 459, 460, 460J, 461, 461J, 462A, 463, 463E, 464, 465, 465D, 466, 466D, 466K, 466L, 467, 467E, 468E, 468L, 468M, 471, 472, 481Z, 484, 514A, 514B and 514E, HRS.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide	Statutory Reference
				Priority	
Regulated Industries Complaints Office	Investigation and prosecution of possible (professional and vocational) licensing law violations.	<ul style="list-style-type: none"> ● Receives complaints, conducts investigations, and prosecutes cases alleging violations of Hawaii's professional and vocational licensing laws. Also investigates and prosecutes unlicensed activity cases. ● Provides educational information to consumers and industry members; provides real time licensing, business registration, and complaint history information to the public. ● Administers the State Certified Arbitration Program (lemon law program). 	CCA 112	3	Section 26-9(h), HRS.
Business Registration & Securities Regulation	Ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other business registration filings, and provide public access to this information; ensure compliance with and enforcement of securities and franchise laws; educate the public regarding aspects of investment fraud, precautions and where victims can get help, as part of securities enforcement and compliance efforts; and run business centers that offer personalized assistance to small and start-up businesses.	<ul style="list-style-type: none"> ● Corporations, partnerships, trade names, limited liability companies, etc. Determine that all documents are filed in compliance with applicable statutory requirements. Assist the public in accessing information regarding documents that have been successfully filed with the Division, including implementing online access to public registration services and public documents. Provide personalized assistance to businesses registering with the Division. ● Registration of securities and franchises. Examine applications for registration of securities and franchises to determine that security or franchise offerings comply with statutory requirements; register securities, sales agents, broker-dealers, investment advisers, investment adviser representatives and franchises; examine financial reports and records of broker-dealers and investment companies; conduct investigations of reported fraud and other securities or franchise law violations and prosecute violations; and conduct investor education outreach to communities throughout Hawaii. 	CCA 111	4	Chapters 26-9(g), 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 425R, 428, 474, 482, 482E, 482P, 485A, and 519, HRS.
Financial Services Regulation	Supervise and regulate, and examine financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, and mortgage loan originator companies	<ul style="list-style-type: none"> ● Charter, license, examine and supervise state-chartered and licensed banks, trust companies, savings banks, savings and loan associations, financial services loan companies, credit unions, and licensed escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies. 	CCA 104	5	Chapters 412, 449, 454F, 454M, 489D, HRS.
Insurance Regulatory Services	Regulatory oversight of the insurance industry.	<ul style="list-style-type: none"> ● Examine insurers, health maintenance organizations, rating organizations, mutual and fraternal benefit societies, advisory organizations, agencies, independent claims organizations, guaranty associations. ● Investigate complaints of alleged violations of insurance statutes and rules and other consumer requests for assistance. ● Conduct qualification examinations and license insurers, health maintenance organizations and all categories of producers to transact insurance. ● Approve/disapprove policies and rate filings. ● License, examine and regulate captive insurance companies and develop the captive industry in Hawaii. 	CCA 106	6	Chapter 287, 386, 386A, 392, 393, 431, 431E, 431K, 431L, 431M, 431N, 431P, 432, 432C, 432D, 432E, 435C, 435E, 448D, 481R, 481X and 488, HRS.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide Priority	Statutory Reference
		<ul style="list-style-type: none"> Review insurance entities' financial, operating and tax statements. 			
		<ul style="list-style-type: none"> Regulatory surveillance for market conduct and financial condition of all insurers, health maintenance organizations, and mutual and fraternal benefit societies for compliance with insurance laws. 			
		<ul style="list-style-type: none"> Investigate of violations and enforce motor vehicle claims fraud law. 			
		<ul style="list-style-type: none"> Administer the Hawaii Joint Underwriting Plan, including the development and promulgation of motor vehicle insurance rates. 			
		<ul style="list-style-type: none"> Implement Federal Health Care reforms relating to Health Care Exchanges and Premium Rate Review. 			
		<ul style="list-style-type: none"> Licensing of insurance producers, adjusters, surplus lines brokers, managing general agents, limited lines producers, limited lines motor vehicle rental company producers, limited lines, portable electronics producers, reinsurance intermediaries, life settlements providers and brokers, independent bill reviewers, pharmacy benefit managers, service contract providers, and vehicle protection product warrantors. 			
		<ul style="list-style-type: none"> Renews, reactivates, amends, and inactivates licenses, conducts background checks on all resident license applicants, records the creation and termination of appointments, processes CE providers and course renewals, and maintains a database of CE credits completed by producers. 			
Public Utilities Commission (transferred to DCCA from B&F as an attached agency pursuant to Act 108/14):	To provide effective, proactive, and informed oversight of all regulated entities; to ensure that they operate at a high level of performance so as to serve the public fairly, efficiently, safely, and reliably, while addressing the goals and future needs of the State in the most economically, operationally, and environmentally sound manner; and affording the opportunity for regulated entities to achieve and maintain commercial viability.	<ul style="list-style-type: none"> Establish rules and regulations pertaining to service standards. 	CCA 901	7	HRS Chapter 269-6, 269-7, 269-7.5, 269-16, 269-16.9, 269-33, 269-92, 269-96, 269-122
<ul style="list-style-type: none"> Render decisions on rates, fares, and charges. 					
<ul style="list-style-type: none"> Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies. 					
<ul style="list-style-type: none"> Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction. 					
<ul style="list-style-type: none"> Oversight of utility planning, procurement, and operations to meet renewable energy goals 					
<ul style="list-style-type: none"> Oversight of Public Benefits Fee Administrator to meet statewide energy efficiency portfolio standards Maintain the fiscal integrity of the Public Utilities Commission Special Fund. 					

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide Priority	Statutory Reference
Consumer Advocate for Comm, Util & Tran Svcs	Ensure the provision of reliable regulated service at reasonable cost to ratepayers, and educate consumers regarding aspects of public utility regulation.	<ul style="list-style-type: none"> Advocate positions on behalf of consumers of utility and transportation services before the State of Hawaii Public Utilities Commission ("PUC"), the Federal Communications Commission ("FCC"), and other federal, state and local regulatory agencies. Positions are developed and advocated concerning: (a) Applications for authority to offer new services; (b) Rate and fare proposals; (c) Revenue and earnings requirements of regulated utilities; (d) Financing of equipment, plant, and other facilities, (e) Review of capital improvement projects; (f) Transfers, extensions, and cancellations of permits, franchises, and certificates; (g) Regulations governing regulated industries; (h) Formulation of policies and long range planning for telecommunications and energy utilities; (i) service quality; and (j) agreements and contracts between a regulated company and suppliers and/or other parties. 	CCA 103	8	Sections 269-51 through 269-55, HRS.
		<ul style="list-style-type: none"> Compliance investigations are conducted regarding the adequacy and efficiency of utility services. 			
		<ul style="list-style-type: none"> Education of consumers relating to utility regulation and consumer rights through web site, newsletters, sponsored events, and attendance at trade-shows, fairs, business and community meetings, etc. 			
		<ul style="list-style-type: none"> Equitably advance and advocate clean energy and broadband initiative goals. 			
General Support	Administration of the Department; conducts administrative hearings for the Department, administers the Medical Inquiry and Conciliation Panel, and the Design Claims Conciliation Panel.	<ul style="list-style-type: none"> Provides: (1) general policy and administrative leadership, supervision and coordination of the various programs of the Department; (2) assistance in the direction and supervision of operating divisions; and (3) centralized personnel management and organizational analysis support services to operating divisions. Provides: (1) centralized budgeting and accounting services; (2) centralized clerical services; (3) building management; and (4) program review, contracting and other administrative support services. Conducts contested case hearings for all divisions within DCCA that are required by law to provide contested case hearings under the provisions of HRS Chapter 91. Conducts contested case hearings under Chapter 103D, HRS (procurement law). Convenes panels of qualified attorneys and medical professionals to review inquiries regarding health care practitioners under the provisions Convenes panels of qualified attorneys and design professionals to review claims against design professionals under the provisions of HRS Chapter 672B. Conducts contested case hearings for the Employees' Retirement System of the State of Hawaii regarding disputes concerning benefits under the provisions of HAR Title 6, Chapters 20 and 23. 	CCA 191	9	Section 26-9, HRS.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Dept-Wide Priority	Statutory Reference
		<ul style="list-style-type: none"> Provides all divisions of DCCA with: (1) information technology hardware, software and custom programming support; (2) DCCA website support, (3) telephone, and other communication services support. Coordination of DCCA's IT roadmap with ETS and State's plan. 			
Cable Television	Compliance with Hawaii's cable television law and rules.	<ul style="list-style-type: none"> Ensure quality service for cable television subscribers by issuing new franchises and franchise renewals, and approving transfers of franchises. Administer and ensure enhancement of the Institutional Network (INET) Identify new and improved services, future community needs and interests and enhancing technologies.(i.e., DBS, broadband, etc) Oversee technical reviews and establish reporting requirements. Designate public, educational, and governmental (PEG) organizations to provide PEG services and enter into contracts for such services; and provide support for PEG access and PEG services. Implement activities to promote ubiquitous access to high speed broadband at affordable prices throughout the State. Develop plans and recommend legislation to expand and accelerate deployment of broadband infrastructure; support public-private efforts to develop broadband infrastructure; work with government agencies, broadband providers, and other stakeholders to advance the provision of broadband services to un-served and under-served areas in the State. 	CCA 102	10	Chapter 440G, HRS.
Hawaii Post-Secondary Education Authorization Program	Authorization of accredited, degree-granting, post-secondary educational institutions in Hawaii and review and processing of student complaints against authorized educational institutions.	<ul style="list-style-type: none"> Review applications from post-secondary educational institutions, issue authorizations and subsequent reauthorizations on a biennial basis. Provide information to post-secondary educational institutions Review complaints, investigate and initiate enforcement action as appropriate. 	CCA 107	11	Chapter 305J, HRS.

Department of Commerce and Consumer Affairs
Department-Wide Totals

Table 2

Fiscal Year 2021				
Budget Acts Appropriation	Restrictions	Emergency Appropriations	Total FY21	MOF
\$ 85,647,103.00			\$ 85,647,103.00	B
\$ 3,407,525.00			\$ 3,407,525.00	P
\$ 251,000.00			\$ 251,000.00	T
			\$ -	
			\$ -	
			\$ -	
\$ 89,305,628.00	\$ -	\$ -	\$ 89,305,628.00	Total
Fiscal Year 2022				
Budget Acts Appropriation	Reductions	Additions	Total FY22	MOF
\$ 85,690,637.00		\$ 4,675,000.00	\$ 90,365,637.00	B
\$ 251,000.00	\$ (251,000.00)		\$ -	P
\$ 3,455,927.00			\$ 3,455,927.00	T
			\$ -	
			\$ -	
			\$ -	
\$ 89,397,564.00	\$ (251,000.00)	\$ 4,675,000.00	\$ 93,821,564.00	Total
Fiscal Year 2023				
Budget Acts Appropriation	Reductions	Additions	Total FY23	MOF
\$ 85,690,637.00		\$ 1,851,000.00	\$ 87,541,637.00	B
\$ 251,000.00	\$ (251,000.00)		\$ -	P
\$ 3,455,927.00			\$ 3,455,927.00	T
			\$ -	
			\$ -	
			\$ -	
\$ 89,397,564.00	\$ (251,000.00)	\$ 1,851,000.00	\$ 90,997,564.00	Total

Department of Commerce and Consumer Affairs
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted (FY21)			Governor's Submittal (FY22)				Governor's Submittal (FY23)			
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$
CCA102	Cable Television	B	8.00		\$ 2,648,986	7.00		\$ 2,566,872	-3.10%	7.00		\$ 2,566,872	-3.10%
CCA102	Cable Television	T			\$ 1,000				-100.00%				-100.00%
CCA103	Consumer Advocate for Comm, Util, & Tran Svc	B	25.00		\$ 4,494,086	25.00		\$ 4,604,311	2.45%	25.00		\$ 4,604,311	2.45%
CCA104	Financial Services Regulation	B	40.00		\$ 5,175,488	40.00		\$ 5,336,797	3.12%	40.00		\$ 5,336,797	3.12%
CCA104	Financial Services Regulation	T			\$ 301,000			\$ 301,000	0.00%			\$ 301,000	0.00%
CCA105	Professional & Vocational Licensing	B	63.00	11.00	\$ 7,892,944	63.00	11.00	\$ 8,117,600	2.85%	63.00	11.00	\$ 8,117,600	2.85%
CCA105	Professional & Vocational Licensing	T	8.00	5.00	\$ 2,803,844	8.00	5.00	\$ 2,853,246	1.76%	8.00	5.00	\$ 2,853,246	1.76%
CCA106	Insurance Regulatory Services	B	95.00	1.00	\$ 19,361,950	94.00		\$ 19,613,171	1.30%	94.00		\$ 19,613,171	1.30%
CCA106	Insurance Regulatory Services	P		6.00	\$ 251,000		-	\$ -	-100.00%		-	\$ -	-100.00%
CCA106	Insurance Regulatory Services	T			\$ 201,000			\$ 201,000	0.00%			\$ 201,000	0.00%
CCA 107	Hawaii Post-Secondary Education Authorization Program	B	2.00		\$ 297,904	2.00		\$ 306,104	2.75%	2.00		\$ 306,104	2.75%
CCA 110	Office of Consumer Protection	B	18.00	1.00	\$ 2,695,483	20.00	1.00	\$ 2,987,637	10.84%	20.00	1.00	\$ 2,987,637	10.84%
CCA 110	Office of Consumer Protection	T			\$ 100,681			\$ 100,681	0.00%			\$ 100,681	0.00%
CCA 111	Business Registration and Securities Regulation	B	79.00		\$ 8,470,957	79.00		\$ 12,221,068	44.27%	79.00		\$ 9,221,068	8.86%
CCA 112	Regulated Industries Complaints Office	B	66.00	1.00	\$ 7,500,160	66.00	1.00	\$ 7,741,061	3.21%	66.00	1.00	\$ 7,741,061	3.21%
CCA 191	General Support	B	50.00	1.00	\$ 8,450,388	51.00	1.00	\$ 9,952,858	17.78%	51.00	1.00	\$ 10,128,858	19.86%
CCA 901	Public Utilities Commission (PUC)	B	67.00		\$ 18,658,757	67.00		\$ 16,918,158	-9.33%	67.00		\$ 16,918,158	-9.33%
	Special Fund Total	B	513.00	15.00	\$ 85,647,103	514.00	14.00	\$ 90,365,637	5.51%	514.00	14.00	\$ 87,541,637.00	2.21%
	Trust Fund Total	T	8.00	5.00	\$ 3,407,525	8.00	5.00	\$ 3,455,927	1.42%	8.00	5.00	\$ 3,455,927	1.42%
	Other Federal Fund Total	P	0.00	6.00	\$ 251,000	0.00	0.00	\$ -	-100.00%	0.00	0.00	\$ -	-100.00%
			521.00	26.00	\$ 89,305,628	522.00	19.00	\$ 93,821,564	5.06%	522.00	19.00	\$ 90,997,564	1.89%

Department of Commerce and Consumer Affairs
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Requests						Budget and Finance Recommendations						Governor's Decision					
				FY22			FY23			FY22			FY23			FY22			FY23		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA-102	FA	Transfer 1 position from CATV to OCP	B	(1.00)		\$ (122,093)	(1.00)		\$ (122,093)	(1.00)		\$ (122,093)	(1.00)		\$ (122,093)	(1.00)		\$ (122,093)	(1.00)		\$ (122,093)
CCA-110	DA	Transfer 1 position from CATV to OCP	B	1.00		\$ 122,093	1.00		\$ 122,093	1.00		\$ 122,093	1.00		\$ 122,093	1.00		\$ 122,093	1.00		\$ 122,093
CCA-106	EA	Transfer 1 position from INS to OCP	B	(1.00)		\$ (94,426)	(1.00)		\$ (94,426)	(1.00)		\$ (94,426)	(1.00)		\$ (94,426)	(1.00)		\$ (94,426)	(1.00)		\$ (94,426)
CCA-110	DA	Transfer 1 position from INS to OCP	B	1.00		\$ 94,426	1.00		\$ 94,426	1.00		\$ 94,426	1.00		\$ 94,426	1.00		\$ 94,426	1.00		\$ 94,426
CCA-111	CA	Business Registration Information Management System (BRIMS) and Registration Documents Processing Management System (RDPMS)	B			\$ 3,500,000			\$ 500,000			\$ 3,500,000			\$ 500,000			\$ 3,500,000			\$ 500,000
CCA-191	AI	Core Switch and firewall server hardware	B			\$ 175,000			\$ 46,000			\$ 175,000			\$ 46,000			\$ 175,000			\$ 46,000
CCA-110	DA	Community Outreach Events	B			\$ 50,000			\$ 50,000												
CCA-191	AA	Transfer 1 position from INS to GS/ASO	B	1.00		\$ 59,705	1.00		\$ 59,705	1.00		\$ 51,370	1.00		\$ 51,370	1.00		\$ 51,370	1.00		\$ 51,370
CCA-106	EA	Transfer 1 position from INS to GS/ASO	B	(1.00)		\$ (51,370)	1.00		\$ (51,370)	(1.00)		\$ (51,370)	1.00		\$ (51,370)	(1.00)		\$ (51,370)	1.00		\$ (51,370)
CCA-191	AA	Building Maintenance and emergency preparedness grant matching	B			\$ 700,000			\$ 1,050,000			\$ 700,000			\$ 1,050,000			\$ 700,000			\$ 1,050,000
CCA-191	AI	Intergration Platform (iPaaS) software recurring	B			\$ 125,000			\$ 125,000			\$ 125,000			\$ 125,000			\$ 125,000			\$ 125,000
CCA-106	EA	Insurance Community Outreach	T			\$ 49,000			\$ 49,000												
CCA-191	AI	VX RAIL Server Software	B			\$ 100,000			\$ 100,000			\$ 100,000			\$ 100,000			\$ 100,000			\$ 100,000
CCA-112	AB	Additional Funding for Program Specialist	B			\$ 40,589			\$ 40,589												
CCA-112	AB	Additional Funding for Legal Assistant	B			\$ 23,979			\$ 23,979												
CCA-191	AI	Security Access System	B			\$ 75,000			\$ 30,000			\$ 75,000			\$ 30,000			\$ 75,000			\$ 30,000
CCA-106	EA	Convert 1 position from temp to perm	B	1.00	(1.00)		1.00	(1.00)		1.00	(1.00)		1.00	(1.00)		1.00	(1.00)		1.00	(1.00)	

Department of Commerce and Consumer Affairs
Proposed Budget Reductions

Table 5

Prog ID	Sub-Org	Description of Reduction	Impact of Reduction	MOF	FY22			FY23			FY21 Restriction (Y/N)
					Pos (P)	Pos (T)	\$\$\$\$	Pos (P)	Pos (T)	\$\$\$\$	
CCA	EA	Abolish Federal funds	N/A	P		-6.00	\$ (251,000)		-6.00	\$ (251,000)	N

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	FY22			FY23		
								Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
					Collective bargaining adjustment to base	Negotiated settlements	B			\$ 2,096,534			\$ 2,096,534
					Collective bargaining adjustment to base	Negotiated settlements	T			\$ 49,402			\$ 49,402
					Total adjustments to base					\$ 2,145,936			\$ 2,145,936
CCA-111	CA	AR	1	1	Business Registration Information Management System (BRIMS) and Registration Documents Processing Management System (RDPMS)	Increase in ceiling is being requested for the replacement of the Business Registration Division's (BREG) Business Registration Information Management (BRIMS) and Registration Documents Processing Management (RDPMS) systems with a unified system.	B			\$ 3,500,000.00			\$ 500,000.00
CCA-191	AI	AR	1	2	Core Switch and firewall server hardware	Increase ceiling to fund replacement of core switch and new firewalls with support at cloud datacenters	B			\$ 175,000.00			\$ 46,000.00
CCA-191	AA	AR	1	7	Building Maintenance and emergency preparedness	One-time ceiling increase requested for: replacement of the cooling tower in the King Kalakaua Building as leaking and constant repairs have damaged other parts of the building; roof repairs to the King Kalakaua Building related to damage from Hurricane Lane; and matching funding if DCCA is awarded a FEMA emergency preparedness grant to harden the roof and disaster operations center in the King Kalakaua Building.	B			\$ 700,000.00			\$ 1,050,000.00
CCA-191	AI	AR	2	8	Intergration Platform (iPaaS) software recurring	The purpose of this software is to provide an IT integration platform to facilitate the transition to new systems.	B			\$ 125,000.00			\$ 125,000.00
CCA-191	AI	AR	3	10	VX Rail Server Software	This request is to purchase a VxRAIL Server to host off site, DCCA's virtual network which serves as a contingency of operations site and to accommodate increased data and server requirements. We will need to replace one VxRail server every year going forward along with corresponding operational system software licenses.	B			\$ 100,000.00			\$ 100,000.00
CCA-191	AI	AR	4	13	Security Access System	This request is to replace outdated access control system and card printer.	B			\$ 75,000.00			\$ 30,000.00
CCA-106	EA	AR	2	14	Convert 1 position from temp to perm	Convert one temporary exempt position to permanent exempt	B	1.00	-1.00		1.00	-1.00	

Department of Commerce and Consumer Affairs
 FB 2019 - 2021 Restrictions

Table 7

<u>Fiscal Year</u>	<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by Dept</u>	<u>Restriction</u>	<u>Difference Between Budgeted & Restricted</u>	<u>Percent Difference</u>	<u>Impact</u>
NONE								

Department of Commerce and Consumer Affairs
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
NONE						

Department of Commerce and Consumer Affairs
Expenditures Exceeding Appropriation Ceilings in FY20 and FY21

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
NONE									

Department of Commerce and Consumer Affairs
 Intradepartmental Transfers in FY20 and FY21

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
NONE										

Department of Commerce and Consumer Affairs
Vacancy Report as of November 30, 2020

Table 11

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain	
CCA 191	AA	09/23/19	02/01/21	21806	HUMAN RESOURCES ASSISTANT V	N	13	63	P	1.00	B	\$ 50,304.00	\$ 50,304.00	Y	N	--		1	
CCA 191	AI	09/16/19	06/01/21	120567	INFORMATION TECHNOLOGY BAND B	N	22	13	P	1.00	B	\$ 59,616.00	\$ 59,616.00	Y	N	--		2	
CCA 103	HA	07/01/19	02/01/21	110962	SUPERVISING (PU) ATTORNEY	Y	NA	73	P	1.00	B	\$ 93,036.00	\$ 93,036.00	Y	N	--		3	
CCA 901	MA	08/01/19	02/01/21	34164	ENGINEER V	N	26	13	P	1.00	B	\$ 75,432.00	\$ 75,432.00	Y	N	--		4	
CCA 106	EA	--	03/01/21	122936	INS LICENSING PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	\$ 65,820.00	--	Y	N	--		5	
CCA 105	GA	11/22/19	01/16/21	33	SECRETARY II	N	14	03	P	1.00	B	\$ 38,220.00	\$ 38,220.00	Y	N	--		6	
CCA 104	BA	11/05/20	04/01/21	121947	FI REG COMPLIANCE INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 62,004.00	\$ 69,876.00	Y	N	--		7	
CCA 111	CA	03/01/19	02/01/21	33023	SECURITIES COMPLIANCE SUPERVISOR	N	26	23	P	1.00	B	\$ 64,476.00	\$ 95,436.00	Y	N	--		8	
CCA 110	DA	11/05/20	01/11/21	120993	CRF INVESTIGATOR I	Y	NA	13	P	1.00	B	\$ 41,856.00	\$ 43,620.00	Y	N	--		9	
CCA 103	HA	10/14/20	02/01/21	5664	LEGAL CLERK	N	14	03	P	1.00	B	\$ 46,476.00	\$ 39,720.00	Y	N	--		10	
CCA 901	MA	11/16/17	02/01/21	42690	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 52,956.00	\$ 51,792.00	Y	N	--		11	
CCA 111	CA	10/01/20	01/16/21	111371	SUPERVISING SECURITIES EXAMINER	N	24	23	P	1.00	B	\$ 78,420.00	\$ 78,420.00	Y	N	--		12	
CCA 110	DA	10/01/20	01/11/21	23118	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 31,440.00	\$ 31,440.00	Y	N	--		13	
CCA 106	EA	01/01/20	05/01/21	102731	CRF INSURANCE EXAMINATION MANAGER	Y	NA	23	P	1.00	B	\$ 99,264.00	\$ 99,264.00	Y	N	--		14	
CCA 105	GA	06/03/19	01/16/21	120570	REGULATORY BDS/COMMS ADMIN ASST I	N	22	13	P	1.00	B	\$ 72,528.00	\$ 78,420.00	Y	N	--		15	
CCA 103	HA	--	03/01/21	123245	DCA PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	\$ 80,000.00	--	Y	N	--		16	
CCA 106	EA	09/16/19	05/01/21	102738	CRF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 75,432.00	\$ 75,432.00	Y	N	--		17	
CCA 111	CA	--	02/16/21	123144	CRF STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 95,473.00	--	Y	N	--		18	
CCA 901	MA	10/01/20	02/01/21	117629	PUC ECONOMIST I	Y	NA	13	P	1.00	B	\$ 62,580.00	\$ 80,004.00	Y	N	--		19	
CCA 112	AB	04/27/19	03/01/21	120233	RI INVESTIGATOR IV	Y	NA	13	T	1.00	B	\$ 52,957.00	\$ 52,956.00	Y	N	--		20	
CCA 103	HA	--	02/01/21	123136	DCA ADMINISTRATIVE SPECIALIST	Y	NA	13	P	1.00	B	\$ 45,000.00	--	Y	N	--		21	
CCA 106	EA	07/02/19	02/01/21	110317	CRF RATE & POLICY ANALYST III	Y	NA	13	P	1.00	B	\$ 52,956.00	\$ 52,956.00	Y	N	--		22	
CCA 111	CA	12/14/19	01/16/21	102006	BUSINESS CENTER SPECIALIST II	Y	NA	13	P	1.00	B	\$ 94,248.00	\$ 94,248.00	Y	N	--		23	
CCA 901	MA	10/17/20	01/04/21	102680	PUC UTILITY ANALYST I	Y	NA	13	P	1.00	B	\$ 71,592.00	\$ 73,740.00	Y	N	--		24	
CCA 901	MA	03/01/17	02/01/21	117632	SECRETARY I	N	12	03	P	1.00	B	\$ 35,340.00	\$ 31,740.00	Y	N	--		25	
CCA 111	CA	11/01/20	01/16/21	119242	LEGAL SECRETARY	N	18	03	P	1.00	B	\$ 66,192.00	\$ 68,796.00	Y	N	--		26	
CCA 105	GA	07/28/18	01/16/21	122199	OFFICE ASSISTANT V	N	10	03	T	1.00	B	\$ 32,664.00	\$ 31,056.00	Y	N	--		27	
CCA 106	EA	03/30/19	05/01/21	120950	CRF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 78,420.00	\$ 78,420.00	Y	N	--		28	
CCA 111	CA	05/12/20	03/01/21	108007	CRF INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 67,044.00	\$ 67,044.00	Y	N	--		29	
CCA 112	AB	11/06/17	03/01/21	119168	LEGAL CLERK	N	14	03	P	1.00	B	\$ 38,220.00	\$ 40,932.00	Y	N	--		30	
CCA 106	EA	12/17/19	05/01/21	116590	CIAF INSURANCE EXAMINER I	Y	NA	13	P	1.00	B	\$ 69,564.00	\$ 69,564.00	Y	N	--		31	
CCA 111	CA	04/01/20	02/01/21	31670	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 56,532.00	\$ 56,532.00	Y	N	--		32	
CCA 112	AB	01/28/20	03/01/21	102087	STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 84,960.00	\$ 84,960.00	Y	N	--		33	
CCA 105	GA	07/30/20	01/16/21	122452	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 32,664.00	\$ 32,664.00	Y	N	--		34	
CCA 106	EA	11/19/19	05/01/21	120976	CIAF INSURANCE EXAMINER I	Y	NA	13	P	1.00	B	\$ 64,476.00	\$ 64,476.00	Y	N	--		35	
CCA 111	CA	06/16/20	03/01/21	29154	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 39,720.00	\$ 39,720.00	Y	N	--		36	
CCA 112	AB	07/16/18	03/01/21	120232	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 52,956.00	\$ 52,956.00	Y	N	--		37	
CCA 105	GA	11/01/20	01/16/21	118944	OFFICE ASSISTANT V	N	12	03	P	1.00	T	\$ 43,008.00	\$ 44,724.00	Y	N	--		38	
CCA 111	CA	08/01/20	02/01/21	49523	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 48,348.00	\$ 48,348.00	Y	N	--		39	
CCA 112	AB	10/01/19	03/01/21	118045	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 52,956.00	\$ 52,956.00	Y	N	--		40	
CCA 107	AA	09/01/20	06/01/21	121759	HPEAP PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	\$ 60,312.00	\$ 60,312.00	Y	N	--		41	
CCA 111	CA	01/02/20	02/01/21	40362	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 30,240.00	Y	N	--		42	
CCA 112	AB	01/25/20	03/01/21	118479	INVESTIGATOR I	N	16	13	P	1.00	B	\$ 41,856.00	\$ 41,856.00	Y	N	--		43	
CCA 111	CA	11/01/20	03/01/21	35709	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 39,720.00	\$ 41,364.00	Y	N	--		44	
CCA 111	CA	02/01/20	02/01/21	32896	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 30,240.00	Y	N	--		45	
CCA 111	CA	03/14/20	03/01/21	46583	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 30,240.00	Y	N	--		46	
CCA 111	CA	08/08/20	02/01/21	29100	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 31,440.00	\$ 31,440.00	Y	N	--		47	
CCA 111	CA	05/01/20	03/01/21	38510	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 30,240.00	Y	N	--		48	
					Positions Pending Budget Request Approval or Re-org														
CCA 106	EA	--	Pending trade-off	122485	CRF INSURANCE LICENSING CLERK	Y	NA	03	P	1.00	B	\$ 34,020.00	--	Y	N	--			
CCA 102	FA	04/03/18	Pending trade-off	120245	CATV PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	\$ 80,856.00	\$ 80,856.00	Y	N	--			
CCA 112	AB	07/16/19	Pending re-org	118553	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 31,440.00	\$ 31,440.00	Y	N	--			
CCA 112	AB	12/31/18	Pending re-org	118554	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 28,728.00	Y	N	--			
					Positons Filled or have offers extended														
CCA 104	BA	09/01/20	02/01/21	26271	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 59,616.00	\$ 59,616.00	Y	N	--		Offer extended	
CCA 104	BA	11/13/20	01/16/21	118658	CRF FINANCIAL INST EXAMINER II	Y	NA	13	P	1.00	B	\$ 67,044.00	\$ 69,876.00	Y	N	--		Offer accepted	

Department of Commerce and Consumer Affairs
Vacancy Report as of November 30, 2020

Table 11

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
CCA 104	BA	12/31/19	12/14/20	119531	CRF FINANCIAL INST EXAMINER I	Y	NA	13	P	1.00	B	\$ 59,616.00	\$ 59,616.00	Y	N	--		On board
CCA 104	BA	07/11/20	12/16/20	121528	CRF FINANCIAL INST EXAMINER I	Y	NA	13	P	1.00	B	\$ 59,616.00	\$ 59,616.00	Y	N	--		On board
CCA 105	GA	03/18/20	01/16/21	9577	REGULATORY BDS/COMMS ADMIN ASST I	N	22	13	P	1.00	B	\$ 52,956.00	\$ 52,956.00	Y	N	--		Offer accepted
CCA 105	GA	11/01/20	01/16/21	36373	OFFICE ASSISTANT V	N	12	03	P	1.00	B	\$ 48,348.00	\$ 50,304.00	Y	N	--		Offer accepted
CCA 105	GA	11/26/19	01/16/21	120700	OFFICE ASSISTANT V	N	12	03	T	1.00	B	\$ 35,340.00	\$ 35,340.00	Y	N	--		Offer accepted
CCA 106	EA	--	02/01/21	122974	INS HEALTH ACTUARY	Y	NA	13	P	1.00	B	\$ 168,498.00	--	Y	N	--		Offer accepted
CCA 106	EA	01/04/20	01/15/21	110212	CRF MULTI-MEDIA EDUCATION SPECIALIST	Y	NA	13	P	1.00	B	\$ 55,092.00	\$ 55,092.00	Y	N	--		Offer accepted
CCA 111	CA	11/29/19	12/01/20	35643	SECRETARY I	N	12	03	P	1.00	B	\$ 46,476.00	\$ 46,476.00	Y	N	--		On board
CCA 111	CA	04/01/20	01/01/21	102530	CRF INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 78,420.00	\$ 78,420.00	Y	N	--		Offer accepted
CCA 111	CA	10/01/20	12/16/20	102531	CRF STAFF ATTORNEY III	Y	NA	73	P	1.00	B	\$ 82,008.00	\$ 82,008.00	Y	N	--		On board
CCA 111	CA	03/14/20	01/01/21	117393	SECURITIES EDUCATION ASSISTANT	Y	NA	03	P	1.00	B	\$ 35,344.00	\$ 36,732.00	Y	N	--		Offer accepted
CCA 112	AB	07/16/19	12/03/20	101311	STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 84,960.00	\$ 84,960.00	Y	N	--		Offer accepted
CCA 112	AB	10/01/20	12/16/20	119179	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 30,240.00	Y	N	--		Offer accepted
CCA 191	AI	01/18/20	12/01/20	113111	ISCO DATA PROC SYSTEMS ANALYST IV	Y	NA	13	P	1.00	B	\$ 75,432.00	\$ 75,432.00	Y	N	--		On board
CCA 191	AI	10/19/19	12/01/20	120562	INFORMATION TECHNOLOGY BAND B	N	22	13	P	1.00	B	\$ 75,432.00	\$ 75,432.00	Y	N	--		On board
CCA 901	MA	11/05/19	12/03/20	28477	AUDITOR VII	N	28	13	P	1.00	B	\$ 84,876.00	\$ 84,876.00	Y	N	--		On board
CCA 901	MA	02/20/20	12/01/20	102100	PUC UTILITY ANALYST I	Y	NA	13	P	1.00	B	\$ 76,344.00	\$ 78,636.00	Y	N	--		On board
CCA 901	MA	06/16/20	12/01/20	118029	ENGINEER III (PU)	N	22	13	P	1.00	B	\$ 64,476.00	\$ 64,476.00	Y	N	--		On board
CCA 901	MA	09/24/20	12/14/20	121748	PUC ECONOMIST I	Y	NA	13	P	1.00	B	\$ 52,008.00	\$ 53,568.00	Y	N	--		On board
CCA 901	MA	10/30/20	01/11/21	102155	PUC ATTORNEY TRAINEE	Y	NA	73	P	1.00	B	\$ 92,184.00	\$ 68,040.00	Y	N	--		Offer accepted

Positions Established by Acts other than the State Budget as of November 30, 2020

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
NONE														

Department of Commerce and Consumer Affairs
Overtime Expenditure Summary

Table 13

Prog ID	Sub-Org	Program Title	MOF	FY20 (actual)			FY21 (estimated)			FY22 (budgeted)		
				Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent
CCA102	FA	Cable Television	B	\$ 658,044		0.0%	\$ 658,044	\$ 5,000	0.8%	\$ 606,403	\$ 5,000	0.8%
CCA103	HA	Consumer Advocate for Commu	B	\$ 1,776,628	\$ 590	0.0%	\$ 1,776,628	\$ 25,000	1.4%	\$ 1,835,544	\$ 25,000	1.4%
CCA104	BA	Financial Services Regulation	B	\$ 2,740,164	\$ 326	0.0%	\$ 2,740,164	\$ 13,000	0.5%	\$ 2,886,264	\$ 13,000	0.5%
CCA105	GA	Professional & Vocational Licens	B	\$ 3,640,510	\$ 22,064	0.6%	\$ 3,640,510	\$ 70,101	1.9%	\$ 3,867,622	\$ 70,101	1.8%
CCA105	GA	Professional & Vocational Licens	T	\$ 713,292		0.0%	\$ 713,292	\$ 21,360	3.0%	\$ 738,060	\$ 21,360	2.9%
CCA106	EA	Insurance Regulatory Services	B	\$ 6,685,361	\$ 25,537	0.4%	\$ 6,685,361	\$ 21,836	0.3%	\$ 6,835,096	\$ 21,836	0.3%
CCA107	IA	Hawaii Post-Secondary Educatio	B	\$ 141,036		0.0%	\$ 141,036	\$ -	0.0%	\$ 144,445	\$ -	0.0%
CCA110	DA	Protection of the Consumer	B	\$ 1,273,032		0.0%	\$ 1,273,032	\$ -	0.0%	\$ 1,473,037	\$ -	0.0%
CCA111	CA	Business Registration	B	\$ 4,231,853		0.0%	\$ 4,231,853	\$ 60,000	1.4%	\$ 4,370,180	\$ 60,000	1.4%
CCA112	AB	Regulated Industries Complaints	B	\$ 4,042,706	\$ 39	0.0%	\$ 4,042,706	\$ 15,000	0.4%	\$ 4,324,087	\$ 15,000	0.3%
CCA191	AA	Director's Office & Administratio	B	\$ 1,760,004	\$ 447	0.0%	\$ 1,760,004	\$ 20,000	1.1%	\$ 1,924,217	\$ 20,000	1.0%
CCA191	AH	General Support/Office of Admi	B	\$ 697,680		0.0%	\$ 697,680	\$ 5,000	0.7%	\$ 733,037	\$ 5,000	0.7%
CCA191	AI	Info Systems & Communications	B	\$ 1,181,844	\$ 23,180	2.0%	\$ 1,181,844	\$ 20,000	1.7%	\$ 1,219,417	\$ 20,000	1.6%
CCA901	MA	Public Utilities Commission	B	\$ 4,593,308		0.0%	\$ 4,593,308	\$ -	0.0%	\$ 5,015,803	\$ -	0.0%
		Totals	B	\$ 33,422,170	\$ 72,184	0.2%	\$ 33,422,170	\$ 254,937	0.8%	\$ 35,235,152	\$ 254,937	0.7%
			T	\$ 713,292	\$ -	0.0%	\$ 713,292	\$ 21,360	3.0%	\$ 738,060	\$ 21,360	2.9%

Department of xxx
Active Contracts as of December 1, 2020

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Term of Contract			Contract #	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
						Date Executed	From	To						
CCA102	B	21,950	O	120,000	98,050	07/01/13	07/01/17	06/30/18	00062114-05	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by CATV Staff.	Y	S
CCA102	B	37,100	O	120,000	82,900	07/01/13	06/30/18	06/30/19	00062114-06	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by CATV Staff.	Y	S
CCA102	B	7,625	O	120,000	112,375	07/01/13	06/30/19	06/30/20	00062114-07	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by CATV Staff.	Y	S
CCA102	B	127,947	M	250,000	122,053	06/25/18	06/25/18	06/30/23	00067149-01	JONES DAY	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by AG's Office and CATV Staff.	N	C
CCA102	B	-	M	250,000	250,000	06/25/18	06/25/18	06/30/23	00067149-02	JONES DAY	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by AG's Office and CATV Staff.	N	C
CCA102	B	50,553	O	200,000	149,447	06/01/19	06/01/19	06/30/23	00068115-01	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by CATV Staff.	Y	S
CCA102	B	-	O	200,000	200,000	06/01/19	06/01/20	06/30/24	00068115-02	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by CATV Staff.	Y	S
CCA103	B	72,215	O	170,000	97,785	06/21/12	06/21/12	-	00061175-04	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/ THE PUBLIC UTILITIES COMMISSION (HERE-	Monthly review	Y	S
CCA103	B	25,829	O	35,000	9,171	01/06/14	01/06/14	-	00062555-02	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	Monthly review	Y	S
CCA103	B	-	O	115,000	115,000	01/06/14	01/06/14	-	00062555-03	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	Monthly review	Y	S
CCA103	B	-	O	50,000	50,000	01/06/14	01/06/14	-	00062555-04	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	Monthly review	Y	S
CCA103	B	46,853	O	50,000	3,147	06/27/14	06/27/14	-	00063074-04	UTILITECH, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE,	Monthly review	Y	S
CCA103	B	77,054	O	77,100	46	06/30/14	01/27/17	-	00063075-02	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	Monthly review	Y	S
CCA103	B	48,078	O	55,000	6,922	06/30/14	09/28/18	-	00063075-03	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	Monthly review	Y	S
CCA103	B	89,340	O	260,000	170,660	06/01/15	06/01/15	-	00063901-01	SAWVEL AND ASSOCIATES, INC.	CONTRACTOR TO PROVIDE SVCS SUCH AS GENERAL PREP TO SERVE AS EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION IN THE COURSE OF DIV'S EVAL OF	Monthly review	Y	S
CCA103	B	28,761	O	100,000	71,239	09/06/16	06/20/17	-	00065180-02	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HELCO INC'S APPLICATION F/APPVL OF GEN RATE INCREASE AND REV RATE SCHEDULE AND RULES IN DOC NO.2015-070. THE	Monthly review	Y	S
CCA103	B	43,111	O	50,000	6,889	11/28/16	06/20/17	-	00065348-02	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO. INC'S APPLICATION F/APPVL OF GENERAL RATE INCREASE AND REVISED RATED SCHEDULES & RULE	Monthly review	Y	S
CCA103	B	199,986	O	200,000	14	12/01/17	12/01/17	-	00066185-01	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN	Monthly review	Y	S
CCA103	B	31,447	O	35,000	3,553	12/01/17	08/27/17	-	00066185-02	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN	Monthly review	Y	S
CCA103	B	249,840	O	265,000	15,160	12/01/17	12/01/17	-	00066186-01	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN	Monthly review	Y	S
CCA103	B	233,670	O	336,000	102,330	12/01/17	08/27/17	-	00066851-02	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN	Monthly review	Y	S
CCA103	B	35,578	O	50,000	14,423	06/27/18	06/24/21	-	00066973-05	SYNAPSE ENERGY ECONOMICS, INC.	TO SERVE AS AN EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION, FOR RESEARCH, AND CONSULTING SERVICES IN THE COURSE OF THE DCA'S EVALUATION OF THE ISSUES TO BE ADDRESSED IN THE PROCEEDINGS OPENED BY THE PUC TO INVESTIGATE PERFORMANCE BASED REGULATION (DOCKET NO.2018-0088).	Monthly review	Y	S
CCA103	B	-	O	25,000	25,000	06/27/01	06/24/22	-	00066973-06	SYNAPSE ENERGY ECONOMICS, INC.	TO SERVE AS AN EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION, FOR RESEARCH, AND CONSULTING SERVICES IN THE COURSE OF THE DCA'S EVALUATION OF THE ISSUES TO BE ADDRESSED IN THE PROCEEDINGS OPENED BY THE PUC TO INVESTIGATE PERFORMANCE BASED REGULATION (DOCKET NO.2018-0088).	Monthly review	Y	S

Department of xxx
Active Contracts as of December 1, 2020

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Contract #	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA103	B	13,781	O	30,000	16,219	11/05/18	10/30/18		00067318-01	ALVAREZ AND ASSOCIATES LLC	PROV SVCS IN CONNECTION W/HAWN ELECTRIC COMPANIES TO COMMIT FUNDS IN EXCESS OF 2,500,000 F/THE PHASE 1 GRID MODERNIZATION PROJ-TO DETER	Monthly review	Y	S
CCA103	B	-	O	16,250	16,250	11/05/18	10/30/19		00067318-02	ALVAREZ AND ASSOCIATES LLC	PROV SVCS IN CONNECTION W/HAWN ELECTRIC COMPANIES TO COMMIT FUNDS IN EXCESS OF 2,500,000 F/THE PHASE 1 GRID MODERNIZATION PROJ-TO DETER	Monthly review	Y	S
CCA103	B	199,467	O	200,000	533	01/08/19	01/08/19		00067428-01	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SERVICES IN CONNECTION WITH THE HAWAII ELECTRIC LIGHT COMPANY, INC.'S APPLICATION FOR A GENERAL RATE CASE AND REVISED RATE SCHEDULES AND RULES. DOCKET NO.2018-0368.	Monthly review	Y	S
CCA103	B	49,265	O	80,000	30,735	01/08/19	09/25/19		00067457-02	UTILITECH, INC.	TO PROVIDE SERVICES WITH THE HAWAII ELECTRIC LIGHT COMPANY, INC.'S APPLICATION FOR APPROVAL OF GENERAL RATE INCREASE AND REVISED RATE SCHEDULES AND RULES. DOCKET NO.2018-0368.	Monthly review	Y	S
CCA103	B	374	O	18,000	17,626	01/23/19	06/24/19		00067473-03	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SERVICES IN CONNECTION WITH THE PUC INSTITUTING A PROCEEDING TO INVESTIGATE ESTABLISHMENT OF A MICROGRID SERVICES TARIFF. DOCKET NO.2018-0163.	Monthly review	Y	S
CCA103	B	43,516	O	75,000	31,484	02/26/19	02/26/19		00067618-01	LARKIN, HUGH JR && SCHULTZ,	TO PROVIDE SERVICES IN CONNECTION WITH THE KONA WATER SERVICE COMPANY, INC.'S APPLICATION FOR A GENERAL RATE CASE & FOR APPROVAL OF REVISION TO ITS TARIFF. DOCKET NO.2018-0388.	Monthly review	Y	S
CCA103	B	1,052	O	6,000	4,948	03/20/19	11/01/19		00067631-02	HABR ECONOMICS	TO PROVIDE SERVICES WITH THE HAWAII ELECTRIC LIGHT COMPANY INC'S APPLICATION FOR APPROVAL OF RATE INCREASES AND REVISED RATE SCHEDULES AND RULES. DOCKET NO. 2018-0368.	Monthly review	Y	S
CCA103	B	9,466	O	50,000	40,534	05/01/19	09/25/19		00067749-02	UTILITECH, INC.	TO PROVIDE SERVICES WITH YOUNG BROTHERS, LIMITED APPLICATION FOR APPROVAL OF RATE INCREASE AND CERTAIN TARIFF CHANGES FOR DOCKET NO.2019-0117.	Monthly review	Y	S
CCA103	B	9,900	O	25,000	15,100	06/19/19	06/19/19		00067857-01	PCMG AND ASSOCIATES, LLC	TO PROVIDE SERVICES IN CONNECTION WITH KALAELOA WATER COMPANY LLC'S APPLICATION FOR APPROVAL OF RATE INCREASES AND REVISED RATE SCHEDULES AND RULES. DOCKET NO.2019-0057.	Monthly review	Y	S
CCA103	B	165,108	O	205,000	39,892	10/08/19	10/08/19		00068152-01	SAWVEL AND ASSOCIATES, INC.	PROVIDE SERVICES WITH HAWAIIAN ELECTRIC COMPANY INC.'S APPLICATION FOR APPROVAL OF A GENERAL RATE CASE AND REVISED RATE SCHEDULES AND RULES. DOCKET NO.2019-0085.	Monthly review	Y	S
CCA103	B	246,797	O	265,000	18,203	10/08/19	10/08/19		00068165-01	UTILITECH, INC.	TO PROVIDE SERVICES WITH HAWAIIAN ELECTRIC COMPANY INC.'S APPLICATION FOR APPROVAL OF A GENERAL RATE CASE AND REVISED RATES SCHEDULE AND RULES. DOCKET NO.2019-0085.	Monthly review	Y	S
CCA103	B	73,700	O	88,000	14,300	11/01/19	11/01/19		00068204-01	PCMG AND ASSOCIATES, LLC	PROVIDE SERVICES IN CONNECTION WITH HAWAIIAN ELECTRIC COMPANY INC.'S APPLICATION FOR APPROVAL OF A GENERAL RATE INCREASE AND REVISED RATE SCHEDULES AND RULES. DOCKET NO. 2019-0085.	Monthly review	Y	S
CCA103	B	109,611	O	125,000	15,389	11/01/19	11/01/19		00068205-01	SAWVEL AND ASSOCIATES, INC.	PROVIDE SERVICES IN CONNECTION WITH HAWAII ELECTRIC LIGHT COMPANY INC'S APPLICATION FOR APPROVAL OF A POWER PURCHASE AGREEMENT FOR RENEWABLE DISPATCHABLE FIRM ENERGY AND CAPACITY. DOCKET NO.2017-0122.	Monthly review	Y	S
CCA103	B	11,749	O	29,000	17,251	02/04/20	02/04/20		00068480-01	HABR ECONOMICS	TO PROVIDE SERVICES WITH THE HAWAII ELECTRIC LIGHT COMPANY INC'S APPLICATION FOR APPROVAL OF RATE INCREASES AND REVISED RATE SCHEDULES AND RULES. DOCKET NO. 2020-0080.	Monthly review	Y	S
CCA103	B	9,265	O	35,000	25,735	09/09/20	09/09/20		00069104-01	ROLKA LOUBE SALTZER ASSOCIATES		Monthly review	Y	S
CCA103	B	-	O	39,000	39,000	12/03/20	12/03/20		00069248-01	PCMG AND ASSOCIATES, LLC	PROVIDE SERVICES IN CONNECTION WITH HAWAIIAN ELECTRIC COMPANY INC.'S APPLICATION FOR APPROVAL OF A GENERAL RATE INCREASE AND REVISED RATE SCHEDULES AND RULES. DOCKET NO. 2020-0135.	Monthly review	Y	S
CCA105	B	19,993.73	M	44,112.76	24,119.03	09/05/17	08/19/20	08/18/21	00065996-05	NEW ANGLE SYSTEMS LLC	TO PROVIDE SCANNING OF PROFESSION & VOCATIONAL LICENSING INTO TIT FILES & SCAN BATCHES OF DOCUMENTS TO SEARCHABLE PDF FILES BID NO. IFB 17-002-O	Monthly review	Y	S
CCA105	B	-	M	44,112.76	44,112.76	09/05/17	08/19/21	08/19/22	00065996-06	NEW ANGLE SYSTEMS LLC	TO PROVIDE SCANNING OF PROFESSION & VOCATIONAL LICENSING INTO TIT FILES & SCAN BATCHES OF DOCUMENTS TO SEARCHABLE PDF FILES BID NO. IFB 17-002-O	Monthly review	Y	S
CCA106	B	40,360.21	O	50,000.00	9,639.79	04/17/09	01/31/18	01/00/00	00058718-05	DALEY & HEFT, LLP	PROVIDE LEGAL SVCS TO THE SOH IN THE FOLLOWING LEGAL ACTION, SUPERIOR CT OF THE STATE OF CA FOR THE COUNTY OF ORANGE #30-200900119128 FILLED 02/28/	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	48,467.92	M	120,000.00	71,532.08	11/01/16	08/01/21	07/31/22	00065332-07	EMPLOYEES' RETIREMENT SYSTEM	OFFICE SPACE LEASE FOR INSURANCE HEALTH BRANCH - MEMORANDUM OF AGREEMENT LEASE No. 72-30-0612 HOLD OVER CLAUSE	N/A	N	L
CCA106	B	56,838.75	O	150,000.00	93,161.25	09/20/17	01/01/20	01/00/00	00066037-03	LEWIS & ELLIS, INC.	1)ANALYZE RATES RESERVES INVESTMENTS INCOME & RELATED MATTERS 2)TO APPEAR AS AN EXPERT WITNESS REPRESENTING THE STATE & HOPA 3) APPEAR AT PUBLIC HEARING	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	69,497.30	O	125,000.00	55,502.70	09/01/18	10/01/19	08/31/20	00067214-02	WYMAN, OLIVER ACTUARIAL	PROVIDE ACTUARIAL SVCS - INCLUDING BUT NOT LIMITED TO ANALYZING RATES ON LONG TERM CARE INSURANCE RATE FILINGS	Completed Work reviewed for accuracy and completeness	Y	S

Department of xxx
Active Contracts as of December 1, 2020

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Contract #	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA106	B	179,088.00	O	200,000.00	20,912.00	07/01/19	07/01/19	06/30/21	00067989-01	MILLENNIUM ACTUARIAL GROUP LLC	ACTUARIAL SVCS FOR HEALTH ISSUERS & MANAGED CARE PLANS REQUIRED BY STATE INCLUDING ANALYZING RATES, RESERVES, INCOME, EXPENSES, CLAIM HISTORY, TREND	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	O	200,000.00	200,000.00	07/01/19	07/01/20	06/30/22	00067989-02	MILLENNIUM ACTUARIAL GROUP LLC	ACTUARIAL SVCS FOR HEALTH ISSUERS & MANAGED CARE PLANS REQUIRED BY STATE INCLUDING ANALYZING RATES, RESERVES, INCOME, EXPENSES, CLAIM HISTORY, TREND	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	153,067.68	O	154,050.00	982.32	07/19/19	07/19/19	07/18/20	00067990-01	NOBLE CONSULTING SERVICES, INC	SVCS REQUIRED BY STATE IN CONNECTION WITH FINANCIAL EXAM. OF ISLAND INS GROUP. SVCS SHALL INCLUDE BUT ARE NOT LIMITED TO EXAMING THE AFFAIRS	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	351,039.19	O	362,167.00	11,127.81	07/19/19	07/19/19	07/18/20	00067991-01	RISK & REGULATORY CONSULTING	SVCS REQUIRED BY THE STATE IN CONNECTION WITH THE FINANCIAL EXAM OF 1ST INS GROUP. SVCS SHALL INCLUDE BUT ARE NOT LIMITED TO EXAMING THE AFFAIRS	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	31,673.95	O	74,000.00	42,326.05	07/01/19	07/01/19	06/30/22	00068006-01	INS REGULATORY INSURANCE	PERFORM ACTUARIAL SERVICES REQUIRED IN THE EVENT OF CONFLICTS, UNAVAILABILITY, OR INCAPACITY OF THE INS DIV PRIMARY CONSULTING PROPERTY	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	O	74,000.00	74,000.00	07/01/19	07/01/20	06/30/22	00068006-02	INS REGULATORY INSURANCE	PERFORM ACTUARIAL SERVICES REQUIRED IN THE EVENT OF CONFLICTS, UNAVAILABILITY, OR INCAPACITY OF THE INS DIV PRIMARY CONSULTING PROPERTY	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	O	115,000.00	115,000.00	08/01/20	08/01/20	07/31/22	00069034-01	MILLIMAN, INC.	PERFORM ACTUARIAL SVCS REQUIRED BY THE STATE FOR THE INSURANCE DIVISION IN THE AREA OF HURRICANE MODEL EVALUATION	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	9,665.00	O	96,544.00	86,879.00	09/15/20	09/15/20	09/14/21	00069107-01	NOBLE CONSULTING SERVICES, INC	PROV SVCS REQUIRED BY THE STATE IN CONNECTION W/THE FINANCIAL EXAMINATION HAWAII MGMT ALLIANCE ASSOCIATION	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA110	B	11,524.75	M	50,182.57	38,657.82	08/09/19	10/01/19	09/30/25	00068099-05	HUGH MENEFFEE DEVELOPMENT CORP.	LEASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR THE PERIOD FROM 10/01/2019-09/30/25 LEASE #75-30-0647 HOLD OVER CLAUSE	DAGS Leasing monitors agreement	N	L
CCA111	B	21,046.05	M	5,903.83	4,568.76	08/09/19	10/01/19	9/30/2025	00068099-04	HUGH MENEFFEE DEVELOPMENT CORP.	LEASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR THE PERIOD FROM 10/01/2019-06/30/25 LEASE #75-30-0647 HOLD OVER CLAUSE	DAGS Leasing monitors agreement	N	L
CCA112	B	21,046.05	M	43,089.54	22,043.49	01/26/10	08/01/20	7/31/2021	00058863-16	SIGNATURE SERVICES	LEASE OFFICE SPACE FOR RICO-KONA BRANCH DAGS LEASE # 71-30-0579	DAGS Leasing monitors agreement	N	L
CCA112	B	4,174.20	M	16,696.80	12,522.60	05/14/15	09/01/19	8/31/2020	00063874-06	PUUONE DEVELOPMENT	LEASE OFFICE SPACE FOR RICO-MAUI BRANCH FOR THE PERIOD OF JUNE 1, 2015 THROUGH MAY 31, 2021 LEASE NO#75-30-014	DAGS Leasing monitors agreement	N	L
CCA112	B	150,000.00	M	450,000.00	300,000.00	04/10/20	04/10/20	4/10/2022	00068766-01	VOYAGER SYSTEMS, INC.	PROV MAINTENANCE FOR COMPLETED PHASE 1 OF IMPACT & DEVELOPMENT OF PHASE 2 OF IMPACT, INVESTIGATIONS MGMT PROCESSING & CASE TRACKING SYSTEM	Monthly review	Y	S
CCA901	B	2,177.26	M	2,210.00	32.74	02/12/16	01/01/16	11/30/20	00064462-07	MAUI OFFICE, LLC.	RENT, OPTR COST & GET F/PUC MAUI DIST OFC (DAGS LEASE #75-30-0611) LOC: ONE MAIN PLAZA, 2200 MAIN ST. STE 540 WAILUKU, MAUI HI 96793 - MONTHLY	DAGS Leasing monitors agreement	N	L
CCA901	B	245.60	M	800.00	554.40	02/12/16	07/01/15	04/30/25	00064464-09	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720 - MONTHLY TTL 461.43	DAGS Leasing monitors agreement	N	L
CCA901	B	1,442.48	M	5,469.80	4,027.32	02/12/16	07/01/15	04/30/25	00064464-10	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720 - MONTHLY TTL 461.43	DAGS Leasing monitors agreement	N	L
CCA901	B	0.00	M	700.00	700.00	02/12/16	07/01/15	04/30/25	00064464-11	TOLEDO, RICHARD & JOAN	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720 - MONTHLY TTL 461.43	DAGS Leasing monitors agreement	N	L
CCA901	B	55,000.00	M	132,000.00	77,000.00	06/09/16	07/01/16	06/30/21	00064783-03	ONE CALL CONCEPTS, INC.	ONE CALL CENTER PROVIDER TO CONTINUE OPERATION OF THE HI ONE CALL CENTER UNDER THE ADMINISTRATION OF THE PUC RFP-PUC-16-01 OPT TO EXTEND 2 - 12 MOS	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	433,653.57	M	450,000.00	16,346.43	12/22/16	12/31/16	12/30/20	00065386-02	MUNRO TULLOCH, INC.	CONSULTING SVCS IN SPOORT OF PUC AS IT REVIEWS & OVERSEES THE HECO IMPLEMENTATION OF IS ERT/EAMSYSTEM AS PART OF DOCKET#2014-0170	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of xxx
Active Contracts as of December 1, 2020

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Contract #	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA901	B	35,866.91	M	85,000.00	49,133.09	11/27/17	11/17/17	11/14/21	00066159-05	GUIDEHOUSE INC.	AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC W/THE HECO COMPANIES' RENEWABLE ENERGY PROCUREMENT	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	30,314.54	M	50,000.00	19,685.46	12/15/17	11/20/19	11/19/19	00066216-01	ENERGY ALLY CONSULTING LLC	CONSULTANT SVCS FOR THE PUC IN THE ADMIN OF REMAINING PROJECTS IN THE ACTIVE QUEUE OF THE FEED-IN-TARIFF (FIR) PROGRAM OPT TO EXTEND 12 MOS.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	62,459.13	M	200,000.00	137,540.87	12/15/17	12/06/17	12/05/21	00066217-03	HAIKU DESIGN & ANALYSIS LLC	CONSULTANT SVCS TO ASSIST PUC WITH UTILITY REGULATION, RATEMAKING MECHANISMS, RESOURCE PLANNING & PROCUREMENT, & TRAINING OPT TO EXTEND	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	40,033.99	M	99,000.00	58,966.01	12/20/17	11/24/17	11/23/20	00066223-01	VILLARREAL, CHRISTOPHER	CONSULTANT SVCS TO ASSIST & ADVISE PUC ON POLICY DECISIONS ON TOPICS RELATED TO DISTRIBUTED ENERGY RESOURCES INCLUDING THE REVIEW OF THE GRI	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	145,213.23	M	186,500.00	41,286.77	01/04/18	12/08/17	12/07/20	00066262-03	BATES WHITE, LLC	AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC WITH THE HECO COMPANIES RENEWABLE ENERGY PROCUREMENT	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	44,415.00	M	99,000.00	54,585.00	04/01/19	04/01/19	03/31/20	00067666-01	HICKORYLEDGE LLC	CONSULTING SVCS TO ASSIST W/PROCEEDINGS RELATED TO ENERGY SYST PLANNING GRID INTEGRATION & OTHERS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	45,424.01	M	90,000.00	44,575.99	07/01/19	07/01/19	06/30/21	00067737-02	SJI CONSULTING LLC	CONSULTING SVCS F/DECISION MAKING ON UTILITY RATE INCREASE APPLICATION DOCKETS. MOTOR CARRIER DATA COLLECTION & OTHER ACTIVITIES AS REQUESTED	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	66,850.00	M	329,000.00	262,150.00	07/01/19	07/01/19	06/30/24	00067890-01	DAYHUFF GROUP LLC, THE	CONSULTING SERVICES FOR MAINTENANCE OF THE PUBLIC UTILITIES COMMISSION'S DOCUMENT MANAGEMENT SYSTEM (DMS).	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	491.12	M	2,951.92	2,460.80	10/01/19	10/01/19	09/30/25	00068099-06	HUGH MENEFFEE DEVELOPMENT CORP.	LEASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR THE PERIOD FROM 10/01/2019-09/30/2025-LEASE #75-30-0647-HOLD OVER CLAUSE	N/A	N	L
CCA901	B	63,246.04	M	90,000.00	26,753.96	10/09/19	10/09/19	10/08/19	00068170-01	KIKUCHI, PAUL	CONSULTANT SERVICES FOR THE PUC	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	59,865.64	M	62,955.00	3,089.36	01/10/20	01/10/20	01/09/22	00068394-01	COMMUNITY WORKS, LLC	CONSULTANT SVCS FOR THE STRATEGIC PLANNING FOR THE PUBLIC UTILITIES COMMISSION	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	0.00	M	9,425.00	9,425.00	11/30/20	01/10/20	01/09/22	00068394-02	COMMUNITY WORKS, LLC	CONSULTANT SVCS FOR THE STRATEGIC PLANNING FOR THE PUBLIC UTILITIES COMMISSION	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of xxx
Active Contracts as of December 1, 2020

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Contract #	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA901	B	128,500.00	M	150,000.00	21,500.00	01/10/20	07/01/16	12/31/22	00068593-01	LEIDOS, INC.	PUBLIC BENEFITS FEE ADMINISTRTRTOR TO ASSIST THE PUC W/MGMT OF THE ELECTRIC VEHICLE CHARGING SYSTEM REBATE PROGRAM	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	0.00	M	250,000.00	250,000.00	05/14/20	07/01/16	12/31/22	00068593-02	LEIDOS, INC.	PUBLIC BENEFITS FEE ADMINISTRTRTOR TO ASSIST THE PUC W/MGMT OF THE ELECTRIC VEHICLE CHARGING SYSTEM REBATE PROGRAM	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	299,915.00	M	497,382.00	197,467.00	04/17/20	05/01/20	04/30/23	00068664-01	GARTNER, INC.	CONSULTANT SVCS FOR THE PROCUREMENT & CONTRACT MGMT F/NEW DOCUMENT MGMT SYST (DMS) & WORKFLOW SOLUTION F/PUC	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	64,527.50	M	99,980.00	35,452.50	04/14/20	03/01/20	02/28/21	00068665-01	GRIDWORKS ORGANIZATION	CONSULTANT SVCS FOR THE PUC DOCKETS & PROCEEDINGS RELATED TO MAJOR ENERGY PLANNING DOCKETS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	41,525.00	M	79,475.00	37,950.00	04/30/20	05/04/20	05/03/21	00068696-01	BEEZWAX DATATOOLS, INC.	CONSULTANT SVCS FOR THE PUC FOR DECISION MAKING ON UTILITY RATE INCREASE APPLICATION DOCKET #2019-0117	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	0.00	M	99,000.00	99,000.00	06/04/20	07/01/20	06/30/22	00068823-01	MUNRO TULLOCH, INC.	CONSULTING SVCS IN SUPPORT OF PUC AS IT REVIEWS & OVERSEES THE HECO CMONPANIES IMPLEMENTATION OF IT SERP/EAM SYST AS PART OF DOCKET #	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	265,975.00	M	495,000.00	229,025.00	06/05/20	06/08/20	06/07/21	00068824-01	MANN, GREGORY L.	CONSULTANT TO ASSIST THE PUC W/THE EXAMINATION OF ANY PROPOSED ACQUISITIONS OR CHANGE OF CONTROL OF CINCINNATI BELL INC &/OR THE GAS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	30,024.94	M	99,000.00	68,975.06	06/17/20	08/01/20	07/31/21	00068881-01	STRATEGEN CONSULTING, LLC	CONSULTING SVCS TO ANALYZE & RECOMMEND ALTERNATIVE POLICIES FOR MAJOR ENERGY POLICY DOCKETS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	81,372.52	M	250,000.00	168,627.48	06/18/20	07/01/20	06/30/21	00068888-01	ROCKY MOUNTAIN INSTITUTE	CONSULTING SVCS TO ASSIST THE PUC W/MAJOR ENERGY PLANNING DOCKETS.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	21,259.15	M	83,000.00	61,740.85	09/24/20	07/20/20	07/19/22	00069117-01	COMMUNITY WORKS, LLC	CONSULTANT SVCS FOR THE PUC F/DECISION MAKING AFFECTING THE UTILITY RATE INCREASE APPLICATION DOCKET #2019-0117	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs
 Capital Improvements Program (CIP) Requests

Table 15

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept-</u> <u>Wide</u> <u>Priority</u>	<u>Senate</u> <u>District</u>	<u>Rep.</u> <u>District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY22 \$\$\$</u>	<u>FY23 \$\$\$</u>
NONE								

Department of Commerce and Consumer Affairs
CIP Lapses

Table 16

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount</u> \$\$\$\$	<u>Reason</u>
NONE					

Program ID	Sub-Org Code	Name	Objective
CCA 102	FA	Cable Television	To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the state regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable state and federal law; expand the statewide institutional network ("INET"); continue the availability of public, education and government ("PEG") cable access; and to engage in activities promoting the expansion of, and accelerating, the deployment of broadband infrastructure.
CCA 103	HA	Consumer Advocate for Comm, Util, & Tran Svc	Through advocacy, education, and long-range planning, to ensure sustainable, reliable, safe and quality communications, utility, and transportation services at fair cost for Hawaii's consumers for the short and long-term.
CCA 104	BA	Financial Services Regulation	To ensure the safety and soundness of state-chartered and state-licensed financial institutions, and ensure regulatory compliance by state-licensed financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies, by fairly administering applicable statutes and rules, in order to protect the rights and funds of depositors, borrowers, consumers and other members of the public.
CCA 105	GA	Professional & Vocational Licensing	To ensure that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity and dependability by establishing and enforcing appropriate service standards; to regulate activities for the protection, welfare and safety of the participants as well as the public.
CCA 106	EA	Insurance Regulatory Services	To ensure that consumers are provided with insurance services meeting acceptable standards of quality, equity, and dependability at fair rates by establishing and enforcing appropriate service standards and fairly administering the Insurance Code.
CCA 107	IA	Hawaii Post-Secondary Education Authorization Program	To ensure the soundness of accredited degree-granting post-secondary educational institutions by fairly administering applicable statutes and rules in order to protect students, consumers, and other members of the community.
CCA 110	DA	Office of Consumer Protection	To protect consumers by investigating alleged violations of consumer protection laws, taking legal action to stop unfair or deceptive trade practices in the marketplace, and educating consumers and businesses about their respective rights and obligations in the marketplace under Hawaii consumer protection laws.
CCA 111	CA	Business Registration and Securities Regulation	To ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other entities; to run business centers to provide personalized assistance to small and startup businesses; and to ensure compliance with and enforcement of securities and franchise laws.
CCA 112	AB	Regulated Industries Complaints Office	To assist the general public through consumer education and by enforcing the state's licensing laws.
CCA 191	AA	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AH	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AI	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 901*	MA	Public Utilities Commission	To ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate.

Department of Commerce and Consumer Affairs
Organization Changes

Table 18

<u>Year of Change FY22/FY23</u>	<u>Description of Change</u>		
2021	Redescription of exempt position, CRF Insurance Examiner II, Position No. 120950, to CRF Insurance Market Conduct Examiner II, effective July 1, 2020. (CCA 106)		
2021	Redescription of exempt position, FIERF Financial Institution Examination Manager, Position No. 102765, to CRF Financial Institution Examination Manager, effective July 16, 2020. (CCA 104)		
2021	Reallocation of civil service position, Position No. 28952, from Investigator IV, to Investigator II, effective August 27, 2020. (CCA 110)		
2021	Redescription of exempt position, CRF Investigator III, Position No. 108007, to CRF Investigator IV, effective September 10, 2020. (CCA 111)		
2021	Redescription of exempt position, CRF Financial Institution Examiner I, Position No. 119531, to CRF Financial Institution Examiner II, effective September 24, 2020. (CCA 104)		
2021	Reallocation of civil service position, Position No. 19652, from Financial Institution Examiner III, to Financial Institution Examiner II, effective September 28, 2020. (CCA 104)		
2021	Redescription of exempt position, CIAF Insurance Examiner II, Position No. 112455, to Captive Insurance Examiner II, effective October 12, 2020. (CCA 106)		
2021	Redescription of exempt position, CRF Financial Institution Examiner II, Position No. 121528, to CRF Financial Institution Examiner I, effective November 5, 2020. (CCA 104)		
2021	Redescription of exempt position, CRF Investigator IV, Position No. 102530, to CRF Investigator III, effective November 18, 2020. (CCA 111)		
2021	Redescription of exempt position, INS Health Rate and Policy Analyst IV, Position No. 122936, to Insurance Licensing Program Specialist, effective November 20, 2020. (CCA 106)		