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DEPT. COMM. NO. 215

CRAIG K. HIRAI
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EMPLOYEES' RETIREMENT SYSTEM
HAWAII EMPLOYER-UNION HEALTH BENEFITS TRUST FUND
OFFICE OF THE PUBLIC DEFENDER

STATE OF HAWAII
DEPARTMENT OF BUDGET AND FINANCE
P.O. BOX 150
HONOLULU, HAWAII 96810-0150

ADMINISTRATIVE AND RESEARCH OFFICE
BUDGET, PROGRAM PLANNING AND
MANAGEMENT DIVISION
FINANCIAL ADMINISTRATION DIVISION
OFFICE OF FEDERAL AWARDS MANAGEMENT (OFAM)

December 21, 2020

The Honorable Ronald D. Kouchi
President of the Senate
Thirty-First State Legislature
State Capitol, Room 409
Honolulu, Hawaii 96813

The Honorable Scott K. Saiki
Speaker of the House of Representatives
Thirty-First State Legislature
State Capitol, Room 431
Honolulu, Hawaii 96813

Dear Senate President Kouchi and Speaker Saiki:

This letter is to inform you of the electronic submittal of the FYs 17-23 Non-General Fund Information reports pursuant to Section 37-47, HRS. Information for the Departments of Accounting and General Services, Hawaiian Home Lands, Labor and Industrial Relations, and Land and Natural Resources will be transmitted separately at a later date.

The reports will be provided to the Legislature electronically via the Department of Budget and Finance's website (<http://budget.hawaii.gov/budget/>). In addition, Excel files of the reports will be transmitted with this memorandum via email to your respective offices.

Aloha,

CRAIG K. HIRAI
Director of Finance

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EC
 Name of Fund: Cooperative National Plant Pest Survey and Detection Program
 Legal Authority: Chapter 29-14, HRS

Contact Name: Darcy Oishi
 Phone: 973-9524
 Fund type (MOF) Federal Fund
 Appropriation Acct. No. S-201-A

Intended Purpose:
 Funds are provided annually by the USDA-APHIS-PPQ for the survey and detection of alien plant pests and diseases and the generation and distribution of related data.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:
 The department's survey entomologist coordinates statewide surveys by cooperators in various state and federal agencies to detect new immigrant organisms (insects and other plant pests, including diseases) and the acquisition and dissemination of information is put into the state database and transmitted to USDA-APHIS-PPQ. Funds are used for primarily for personnel and travel expenses of the State Survey Coordinator role. A small budget for supplies is also allocated.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	663,089	599,025	634,332	263,492	263,492	263,492	263,492
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	63,044	58,269	32,000	32,000	103,500	103,500	103,500
Expenditures	38,920	44,682	26,356	22,617	103,500	103,500	103,500
Transfers							
List each net transfer in/out/or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	24,124	13,587	5,644	9,383	0	0	0
Encumbrances	190	2,211					
Unencumbered Cash Balance	23,934	11,376	5,644	9,383	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Egg Product Inspection
 Legal Authority: Chapter 29-14

Contact Name: Leonard Obaldo
 Phone: 832-0705
 Fund type (MOF): Federal Fund
 Appropriation Acct. No.: S-202-A

Intended Purpose:

Deposit and expend federal funds to conduct shell egg inspections at eggs farms and packing plants required under USDA Egg Products Inspection Act.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:

Inspect egg farms and packing facilities to assure eggs being sold do not contain excessive restricted eggs, restricted eggs are properly handled and labeled, and required refrigeration temperature. Funds expended into general fund to cover salary costs, fringe benefits, expenses, and administrative costs for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Varaiances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	39,424	39,424	39,424	39,424	39,424	39,424	39,424
Beginning Cash Balance	1,751	0	1,209	0	0	250	500
Revenues	10,894	16,075	12,998	3,257	14,250	14,250	14,250
Expenditures	12,645	14,866	14,147	3,257	14,000	14,000	14,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,209	60	0	250	500	750
Encumbrances							
Unencumbered Cash Balance	0	1,209	60	0	250	500	750

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 846/EE
 Name of Fund: Performance Partnership Grant
 Legal Authority: Chapter 29-14, HRS

Contact Name: Kevin Hoffman
 Phone: 973-9535
 Fund type (MOF) Federal Fund
 Appropriation Acct. No. S-205-A

Intended Purpose:

Deposit and expend federal funds to ensure the effective and safe use of pesticides and to minimize the adverse effects on man and the environment. The Pesticide Program work is on the lead of EPA and in performance collaboration for the continuing development and management of the Program with EPA.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:

Conduct investigations and compliance assistance activities relating to the distribution and use of pesticides. Certify pesticide users determined to be competent to apply restricted use pesticides. Conduct chemical analyses in support of pesticide program activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	446,129	444,629	444,629	444,629	444,629	444,629	444,629
Beginning Cash Balance	85,940	327,332	19,801	132,587	237,658	237,658	237,658
Revenues	459,133	126,111	405,631	312,000	332,325	332,325	332,325
Expenditures	373,925	373,435	292,845	206,929	332,325	332,325	332,325
Transfers							
List each net transfer in/out/or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	171,148	80,008	132,587	237,658	237,658	237,658	237,658
Encumbrances	16,340	16,175	12,684	5,638			
Unencumbered Cash Balance	154,808	63,833	119,903	232,020	237,658	237,658	237,658

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Seafood Inspection Program
 Legal Authority Chapter 29-14, HRS

Contact Name: Leonard Obaldo
 Phone: 832-0705
 Fund type (MOF) P
 Appropriation Acct. No. S-220-A

Intended Purpose:
 To deposit and expend federal funds to conduct seafood inspection services.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:
 Conduct seafood inspection upon request per agreement with U.S. Department of Commerce Seafood Inspection Program. Funds expended into general fund to cover salary costs and other expenses for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:
 Inspections are conducted at request of applicants. Variance due to less than anticipated inspection requests received.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	1,217	427	1,872	14	0	0	0
Revenues	11,693	14,064	9,256	7,465	10,000	12,000	14,000
Expenditures	11,071	12,619	11,114	7,479	10,000	12,000	14,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,839	1,872	14	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,839	1,872	14	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 132/DC
 Name of Fund: Animal Health Surveillance -Umbrella
 Legal Authority: Chapter 29-14, HRS

Contact Name: Dr. Isaac Maeda
 Phone: 483-7111
 Fund type (MOF) Federal Fund
 Appropriation Acct. No. S-237-A

Intended Purpose:
 To conduct animal health surveillance activities, especially regarding foreign animal diseases, zoonotic diseases and other diseases of significant public health or economic significance.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:
 Expenses related to the following objectives: Hire livestock inspector and/or contracted worker for assistance with program activities, Identify waste feeding operations within the State and conduct serosurveillance on these herds, and perform surveillance for foreign animal diseases, zoonotic diseases, Pseudorabies, Avian influenza, Scrapie, Classical Swine Fever and bovine Tuberculosis. Provide for professional development in animal health topics opportunities for veterinary medical officers and producers.

Purpose of Proposed Ceiling Increase (if applicable):

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,050	30,746	50,571	0	17,476	17,526	17,626
Revenues	115,654	108,226	108,226	119,050	119,050	119,100	119,100
Expenditures	90,956	88,401	158,797	101,574	119,000	119,000	119,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	30,748	50,571	0	17,476	17,526	17,626	17,726
Encumbrances	3,950	2,275	0	360			
Unencumbered Cash Balance	26,798	48,296	0	17,116	17,526	17,626	17,726

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EC
 Name of Fund: Survey & Detection of the Red Imported Fire Ant in Hawaii
 Legal Authority: Chapter 29-14, HRS

Contact Name: Darcy Oishi
 Phone: 973-9524
 Fund type (MOF) Federal Fund
 Appropriation Acct. No. S-240-A

Intended Purpose:
 Funds are for the survey and detection of the red imported fire ant (RIFA) and input of information into the U.S. Department of Agriculture, National Agricultural Pest Information System (NAPIS) database.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:
 The detection of RIFA will be accomplished through RIFA surveys at high risk areas such as airports, harbors, and nurseries using special baiting techniques and inspections for ant mounds and notifications from other sources of suspected RIFA. Suspected RIFA specimens will be identified by the department's taxonomist, infested areas will be identified, and a RIFA eradication plan will be developed and implemented. Information generated by the surveys and identification process will be documented and distributed to interested parties and the NAPIS database. Funds are used for travel, and supplies.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	3,246	6,728	0	2,500	10,000	10,000	10,000
Expenditures	739	1,896	0	1,184	10,000	10,000	10,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,506	4,832	0	1,316	0	0	0
Encumbrances	0	18					
Unencumbered Cash Balance	2,506	4,814	0	1,316	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 132/DD
 Name of Fund: Animal Disease Traceability
 Legal Authority: Chapter 29-14, HRS

Contact Name: Dr. Isaac Maeda
 Phone: 483-7111
 Fund type (MOF) P
 Appropriation Acct. No. S-245-A

Intended Purpose:

Formerly entitled National Animal Identification System (NAIS), Animal Disease Traceability (ADT) focuses on standardizing animal identification components to facilitate traceback during response activities for significant animal disease outbreaks.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Allowable expenses are related to purchasing equipment to promote radio frequency identification components used in animal disease tracings.

Travel expenses and contract agreements associated with outreach and education of livestock producers to present information regarding official animal identification and disease tracing activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	133,769	133,769	133,769	133,769	133,769	133,769	133,769
Beginning Cash Balance	9,653	14,522	14,786	2,929	4,660	5,508	5,558
Revenues	27,000	25,408	16,272	23,625	26,848	26,850	26,850
Expenditures	22,131	25,144	28,129	21,894	26,000	26,800	26,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	14,522	14,786	2,929	4,660	5,508	5,558	5,608
Encumbrances		5,667					
Unencumbered Cash Balance	14,522	9,119	2,929	4,660	5,508	5,558	5,608

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Country of Origin Labeling
 Legal Authority Chapter 29-14, HRS

Contact Name: Leonard Obaldo
 Phone: 832-0705
 Fund type (MOF) P
 Appropriation Acct. No. S-258-A

Intended Purpose:
 To deposit and expend federal funds to conduct country of origin labeling inspections.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:
 Conduct country of origin labeling inspections upon request per agreement with U.S. Department of Agriculture. Funds expended into general fund to cover salary costs and other expenses for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	35,000	26,000	26,000	26,000	26,000	26,000	26,000
Beginning Cash Balance	0	0	800	0	0	500	1,000
Revenues	34,400	18,600	23,600	10,000	15,000	20,000	25,000
Expenditures	34,400	17,800	24,400	10,000	14,500	19,500	24,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	800	0	0	500	1,000	1,500
Encumbrances							
Unencumbered Cash Balance	0	800	0	0	500	1,000	1,500

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Agricultural Reserve Fund
 Legal Authority: Chapter 155-14, HRS

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-301-A

Intended Purpose:
 Deposit interest and fees collected to carry on the operations of the agricultural loan program.

Source of Revenues: Interest and fees charged on loans, interest on short-term investment of uncommitted funds, lease fees.

Current Program Activities/Allowable Expenses:
 Collect interest on loans, fees from private lenders on insured loans, interest on short term investment of uncommitted funds. Moneys determined to be in excess of operating needs are transferred to the Agricultural Loan Revolving Fund. Funds used to cover operational expenses of the Ag Loan Division.

Purpose of Proposed Ceiling Increase (if applicable): Increased fringe benefit costs

Variances: Revenues less due to several loans paid off early, delay in disbursement of loans, and rate of interest on investments at lower rate.
 Expenditures less due to vacant positions.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,296,844	1,340,775	1,340,775	1,340,775	1,360,982	1,360,982	1,360,982
Beginning Cash Balance	1,344,190	1,211,988	1,176,776	1,171,879	1,059,571	849,456	628,476
Revenues	818,064	943,223	950,809	1,079,286	1,150,867	1,140,002	1,027,037
Expenditures	950,266	978,435	955,706	1,191,594	1,360,982	1,360,982	1,360,982
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,211,988	1,176,776	1,171,879	1,059,571	849,456	628,476	294,531
Encumbrances		33,911	2,602				
Unencumbered Cash Balance	1,211,988	1,142,865	1,169,277	1,059,571	849,456	628,476	294,531

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Certification Services Revolving Fund
 Legal Authority: Chapter 147-101, HRS

Contact Name: Leonard Obaldo
 Phone: 832-0705
 Fund type (MOF) Revolving Fund
 Appropriation Acct. No. S-302-A

Intended Purpose:

Deposit proceeds derived from certification inspection services. Funds are expended to cover the costs of providing the inspection services, including personnel costs and operating expenses.

Source of Revenues: Certification and inspection fees for food safety, traceability, coffee certification, marketing order, identify and purity of seed.

Current Program Activities/Allowable Expenses:

Activities include the inspection and certification of coffee, purity of seed, agricultural commodities covered under Federal Marketing Order, traceability and food safety. Allowable expenses are salary and fringe benefits for Agricultural Commodity Aids, travel, training, mileage, vehicle and maintenance, equipment and supplies related to activities.

Purpose of Proposed Ceiling Increase (if applicable): Increased fringe benefit costs

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	541,535	567,020	567,020	567,020	567,020	567,020	567,020
Beginning Cash Balance	989,532	934,815	826,413	690,467	548,159	408,159	278,159
Revenues	338,043	214,943	215,347	187,823	200,000	210,000	220,000
Expenditures	302,789	323,345	351,293	330,131	340,000	340,000	340,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,024,786	826,413	690,467	548,159	408,159	278,159	158,159
Encumbrances	11,745	2,651		4,587			
Unencumbered Cash Balance	1,013,041	823,762	690,467	543,572	408,159	278,159	158,159

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Agricultural Loan Revolving Fund
 Legal Authority: Chapter 155-14, HRS

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Revolving Fund
 Appropriation Acct. No. S-303-A

Intended Purpose:
 Deposit moneys received to make agricultural loans.

Source of Revenues: Principal repayments of loans and advances.

Current Program Activities/Allowable Expenses:
 Assist farmers and farm organizations in securing credit from private lenders through participation with lenders insuring private lender loans, cooperating with other lenders or on direct basis. Special emphasis is placed on loan servicing, including management & financial counseling on participation and direct loans. Funds used to cover loan disbursements and advances.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenue variance due to loans paid off early and general fund infusion. Expenditure variance due to loans approved later in the year, delay in disbursing loans.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	3,702,958	2,214,519	4,574,353	2,657,740	7,653,840	4,708,664	2,275,574
Revenues	2,418,561	4,057,953	1,838,387	4,809,100	2,054,824	1,566,910	1,874,011
Expenditures	3,907,000	1,698,119	3,755,000	2,063,000	5,000,000	4,000,000	1,875,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. From Gen. Fund, G-00-000-O							
Tr. From Gen. Fund, G-20-360-O				2,250,000			
Net Total Transfers	0		0	2,250,000	0	0	0
Ending Cash Balance	2,214,519	4,574,353	2,657,740	7,653,840	4,708,664	2,275,574	2,274,585
Encumbrances							
Unencumbered Cash Balance	2,214,519	4,574,353	2,657,740	7,653,840	4,708,664	2,275,574	2,274,585

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Pest Inspection, Quarantine, and Eradication Fund
 Legal Authority: Chapter 150A-5.3, HRS

Contact Name: Kevin Hoffman
 Phone: 973-9535
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-304-A

Intended Purpose: To fund the biosecurity program's inspection, quarantine, control and eradication activities to prevent the introduction and spread of pests and invasive species in Hawaii

Source of Revenues: Legislative appropriations; service fees, charges and penalties collected under section 150A-14, HRS; federal funds; grants and gifts; interest earned or accrued on moneys deposited in the fund

Current Program Activities/Allowable Expenses: Operation of pest inspection, quarantine, eradication, and monitoring programs, related facilities and the execution of emergency remedial measures when pests are detected during the course of inspection.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,810,040	7,929,402	8,059,477	8,059,477	8,189,552	8,059,477	8,189,552
Beginning Cash Balance	9,630,334	10,161,178	10,010,239	8,116,374	8,942,968	9,136,611	9,321,752
Revenues	6,720,682	6,134,183	5,893,180	6,202,817	6,135,697	6,235,697	6,335,697
Expenditures	6,189,838	6,285,122	6,639,652	5,376,223	5,942,054	6,050,556	6,159,059
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,161,178	10,010,239	9,263,767	8,942,968	9,136,611	9,321,752	9,498,390
Encumbrances	870,001	1,712,200	1,350,297	574,420	1,000,000	1,000,000	1,000,000
Unencumbered Cash Balance	9,291,177	8,298,039	7,913,470	8,368,548	8,136,611	8,321,752	8,498,390

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Non-Agricultural Park Lands Special Fund
 Legal Authority: Chapter 166E-7, HRS

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-305-A

Intended Purpose:
 Deposit revenues generated from lease rents for use in operating and maintaining the non-agricultural parks.

Source of Revenues: Rental income from lease of non-agricultural parks land.

Current Program Activities/Allowable Expenses:
 Administer lease terms and conditions of existing non-agricultural parks. Funds are used to cover expenses related to the operation and maintenance of the program.

Purpose of Proposed Ceiling Increase (if applicable):
 Requesting additional funds for land appraisals.

Variations: Expenditures lower than estimated due to delay in hiring.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	915,725	941,170	941,170	1,100,950	1,150,950	1,150,950	1,150,950
Beginning Cash Balance	1,548,259	1,852,337	2,220,893	2,378,295	2,434,967	2,504,592	2,432,804
Revenues	788,461	1,068,985	1,102,788	1,037,969	1,155,125	1,198,687	1,246,619
Expenditures	485,138	636,676	854,585	981,297	1,085,500	1,270,475	1,290,585
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,851,582	2,284,646	2,469,096	2,434,967	2,504,592	2,432,804	2,388,838
Encumbrances	94,055	37,876	79,753	1,600			
Unencumbered Cash Balance	1,757,527	2,246,770	2,389,343	2,433,367	2,504,592	2,432,804	2,388,838

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 132
 Name of Fund: Animal Industry Special Fund
 Legal Authority: Ch 142-3.6, HRS

Contact Name: Isaac Maeda
 Phone: 483-7111
 Fund type (MOF) B
 Appropriation Acct. No. S-306-A

Intended Purpose:

Deposit and expend funds received from the use or rental of Animal Industry's property or facilities including the Animal Quarantine Station's property or facilities

Source of Revenues: Rental fees and accrued interest.

Current Program Activities/Allowable Expenses:

To cover costs of the animal industry including salarei, fringe benefits, operating expenses, equipment and motor vehicles.

Purpose of Proposed Ceiling Increase (if applicable):

Requesting additional funds for land appraisals.

Variations: Expenditures lower than estimated due to delay in hiring.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	196,952	196,952	196,952	196,952	196,952	196,952	196,952
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 161/KA
 Name of Fund: Hawaii Agricultural Development Revolving Fund
 Legal Authority: Chapter 163D-17, HRS

Contact Name: James Nakatani
 Phone: 586-0186
 Fund type (MOF): Revolving Fund
 Appropriation Acct. No. S-307-A

Intended Purpose:

Carry out Agribusiness Development Corporation's (ADC) purpose to acquire, preserve and make optimal use of agricultural assets for the economic, environmental, and social benefit of the people of Hawaii.

Source of Revenues: 1) Ag land rents, 2) Other rent (e.g. buildings), 3) use of government land for specific purposes (e.g. removal of soil), 4) sale of services to Navy, 5) WWS administrative fee, 6) investment pool interest, 7) water delivery

Current Program Activities/Allowable Expenses:

1) preparation of newly acquired land for agricultural use; 2) operation, maintenance and repairs of Bott Well/Galbraith Irrigation System; 3) subcontract operation and maintenance services as well as ancillary services for ADC's contract with the U.S. Navy; 4) perform water quality monitoring in response to Earthjustice lawsuit regarding Clean Water Act; 5) processing of required licenses or permits, environmental assessments.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,482,204	2,663,609	2,663,609	2,663,609	2,663,609	2,663,609	2,663,609
Beginning Cash Balance	1,143,464	1,278,945	1,342,962	1,373,047	1,381,291	1,449,284	1,854,076
Revenues	2,047,338	2,028,691	1,989,155	1,701,531	2,244,487	2,679,882	2,432,272
Expenditures	1,911,857	1,964,674	1,959,071	1,693,287	2,176,494	2,275,090	2,061,039
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,278,945	1,342,962	1,373,046	1,381,291	1,449,284	1,854,076	2,225,309
Encumbrances	1,201,087	706,398	539,774	1,177,628			
Unencumbered Cash Balance	77,858	636,564	833,272	203,663	1,449,284	1,854,076	2,225,309

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 171/BE
 Name of Fund: Seal of Quality Special Fund
 Legal Authority: Act 120, SLH 2007

Contact Name: Sharon Hurd
 Phone: 973-9465
 Fund type (MOF): Special Fund
 Appropriation Acct. No.: S-308-A

Intended Purpose:
 Deposit and expend revenues from the operation the Seal of Quality program.

Source of Revenues: Participation fees, fines, appropriations made to the fund.

Current Program Activities/Allowable Expenses:

To conduct trade shows, retail shows, conferences, seminars, and other promotional activities. Allowable expenses include expenses for designs, program labels, items and materials, displays, brochures, media advertisements, inspection and review, and investigative activities relating to application and enforcement of the program, printing, mailing airfare and per diem, lei, decors, rental of facilities and audio visual equipment, display and booth fees, participation fees, general supplies, travel for SOQ members on behalf of SOQ program activities and other expenses necessary to administer the program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

FY20 reflect the impacts of COVID-19 on SOQ activities which are planned to resume in FY21, FY22/FY23.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	34,690	41,921	44,163	38,435	44,324	42,324	38,324
Revenues	8,564	12,933	11,622	9,261	10,000	11,000	12,000
Expenditures	1,333	10,691	18,315	3,372	12,000	15,000	15,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	41,921	44,163	37,470	44,324	42,324	38,324	35,324
Encumbrances							
Unencumbered Cash Balance	41,921	44,163	37,470	44,324	42,324	38,324	35,324

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Aquaculture Loan Reserve Fund
 Legal Authority: Section 219-4 HR

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF): Special Fund
 Appropriation Acct. No. S-310-A

Intended Purpose:
 Deposit interest and fees to carry on the operations of the aquacultural loan program.

Source of Revenues: Interest and fees charged on loans, interest on short-term investments of uncommitted funds.

Current Program Activities/Allowable Expenses:
 Collect interest on loans, fees from private lenders on insured loans, interest on short term investment of uncommitted funds. Moneys determined to be in excess of operating needs are transferred to the Aquacultural Loan Revolving Fund. Funds used to cover operational expenses of the Aquaculture Loan Program.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No new loans were approved. Interest payments and interest on investments less than anticipated.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	181,364	205,972	227,786	246,883	300,683	74,227	9,193
Revenues	24,608	21,814	19,097	53,800	48,544	64,966	68,106
Expenditures	0	0	0	0			
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Tr. to Aqua Revolving S-311	0		0	0	(275,000)	(130,000)	(70,000)
Net Total Transfers	0	0	0	0	(275,000)	(130,000)	(70,000)
Ending Cash Balance	205,972	227,786	246,883	300,683	74,227	9,193	7,299
Encumbrances							
Unencumbered Cash Balance	205,972	227,786	246,883	300,683	74,227	9,193	7,299

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Aquaculture Loan Revolving Fund
 Legal Authority: Chapter 219-4, HRS

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Revolving Fund
 Appropriation Acct. No. S-311-A

Intended Purpose:
 Intended Purpose:
 Deposit moneys received to make aquaculture loans.

Source of Revenues: Principal repayments of loans and advances.

Current Program Activities/Allowable Expenses:
 Assist aquaculturists and aquacultural organizations in securing credit from private lenders, in participation with lenders or on a direct basis. Special emphasis is placed on loan servicing including management and financial counseling on participation and direct loans. Funds are used for loan disbursements and advances.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Repayments more than anticipated. No new loans approved.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	1,031,797	890,528	900,383	904,317	907,223	691,326	357,303
Revenues	8,731	9,855	3,934	2,906	9,103	35,977	74,390
Expenditures	150,000	0	0	0	500,000	500,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from S-310			0	0	275,000	130,000	70,000
Net Total Transfers	0	0	0	0	275,000	130,000	70,000
Ending Cash Balance	890,528	900,383	904,317	907,223	691,326	357,303	101,693
Encumbrances							
Unencumbered Cash Balance	890,528	900,383	904,317	907,223	691,326	357,303	101,693

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Milk Control Special Fund
 Legal Authority: Chapter 157-29, HRS

Contact Name: Leonard Obaldo
 Phone: 832-0705
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-315-A

Intended Purpose:
 Account for revenues and expenditures related to administration and operation of the Milk Control Program.

Source of Revenues: License fees collected from producers and processors.

Current Program Activities/Allowable Expenses:
 Regulate milk industry on Oahu and Hawaii; establish minimum price to producers; establish and manage production quotas; determine monthly payroll; evaluate milk disposition and usage and tabulate monthly retail prices for milk. Funds are used to cover salary and expenses related to administration and operation of the Milk Control program. Act 176, SLH 2014, requires a minimum reserve of not less than \$300,000 to be maintained in the account.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	226,854	226,854	226,854	226,854	226,854	226,854	226,854
Beginning Cash Balance	318,662	401,542	447,096	376,501	288,409	293,409	298,409
Revenues	97,587	114,797	73,405	17,153	20,000	20,000	20,000
Expenditures	14,707	14,800	151,431	105,245	15,000	15,000	15,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	401,542	501,539	369,070	288,409	293,409	298,409	303,409
Encumbrances	491		1,184	395			
Unencumbered Cash Balance	401,051	501,539	367,886	288,014	293,409	298,409	303,409

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Agricultural Park Special Fund
 Legal Authority: Chapter 166-10, HRS

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-317-A

Intended Purpose:
 Deposit revenues generated from lease rents for use in operating and maintaining the agricultural parks.

Source of Revenues: Rental income from lease of agricultural parks land.

Current Program Activities/Allowable Expenses:
 Administer lease terms and conditions of existing agricultural parks. Funds are used to cover expenses related to the operation and maintenance of the program.

Purpose of Proposed Ceiling Increase (if applicable): Increase proposed to cover fringe benefits.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	650,220	658,920	658,920	658,920	658,920	658,920	658,920
Beginning Cash Balance	1,676,703	1,768,092	1,778,313	1,641,303	1,721,289	1,726,096	1,684,774
Revenues	508,191	544,517	546,342	535,364	551,912	595,325	639,659
Expenditures	417,915	560,030	696,744	455,378	547,105	636,648	636,648
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,766,979	1,752,579	1,627,911	1,721,289	1,726,096	1,684,774	1,687,785
Encumbrances	175,398	31,163	132,751	8,230			
Unencumbered Cash Balance	1,591,581	1,721,416	1,495,160	1,713,059	1,726,096	1,684,774	1,687,785

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 131/DB
 Name of Fund: Animal Quarantine Special Fund
 Legal Authority: Chapter 142-28.5, HRS

Contact Name: Dr. Isaac Maeda
 Phone: 483-7144
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-318-A

Intended Purpose:

Account for revenues and expenditures related to administration and operation of the Animal Quarantine program for dogs and cats.

Source of Revenues: Quarantine fees, vet and medical fees, charges for use of kennels by military, investment pool interest.

Current Program Activities/Allowable Expenses:

Conducts a quarantine program for dogs, cats and other carnivores to prevent the entry of rabies. Funds are used to cover operating costs of the program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: In revenue primarily due to economic downturn and post travel quarantine reducing animal entries. Program vacancies and hiring freeze reduced personnel expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,694,907	3,854,907	3,854,907	3,854,907	3,854,907	3,854,907	3,854,907
Beginning Cash Balance	1,949,965	1,238,260	956,029	706,208	565,839	465,839	265,839
Revenues	3,163,767	3,495,304	3,720,208	3,159,995	2,800,000	3,000,000	3,400,000
Expenditures	3,875,472	3,777,535	3,771,134	3,300,364	2,900,000	3,200,000	3,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,238,260	956,029	905,103	565,839	465,839	265,839	365,839
Encumbrances	220,936		238,795	202,656			
Unencumbered Cash Balance	1,017,324	956,029	666,308	363,183	465,839	265,839	365,839

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Irrigation System Revolving Fund
 Legal Authority: Chapter 167-22, HRS

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Revolving Fund
 Appropriation Acct. No. S-320-A

Intended Purpose:

Deposit revenues generated through the sale of irrigation water and acreage assessments for use in operating and maintaining the irrigation systems.

Source of Revenues: Fees from delivery of irrigation water and acreage assessments.

Current Program Activities/Allowable Expenses:

Operate and maintain the existing State irrigation systems. Funds used to cover expenses related to operating and maintaining program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,220,735	1,247,235	1,247,235	1,247,235	1,247,235	1,247,235	1,247,235
Beginning Cash Balance	2,907,269	3,410,744	3,573,130	3,157,381	3,377,073	3,130,997	3,245,126
Revenues	1,171,209	1,080,228	941,904	958,489	923,517	1,483,722	1,483,722
Expenditures	667,734	791,914	793,487	738,797	1,169,593	1,369,593	1,369,593
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,410,744	3,699,058	3,721,547	3,377,073	3,130,997	3,245,126	3,359,255
Encumbrances	453,860	87,491	668,566	112,289			
Unencumbered Cash Balance	2,956,884	3,611,567	3,052,981	3,264,784	3,130,997	3,245,126	3,359,255

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 846/EE
 Name of Fund: Pesticide Use Revolving Fund
 Legal Authority: Chapter 149A-13.5, HRS

Contact Name: Kevin Hoffman
 Phone: 973-9535
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-324-A

Intended Purpose: Deposit and expend revolving funds from licensing, certification, education and compliance monitoring activities.

Source of Revenues: Fees for licensing and registration of pesticide products prior to sale in the State; training fees; all penalties and fines collected by the Department associated with pesticide enforcement and compliance.

Current Program Activities: Funding educational programs, such as publishing "The Pesticides Label", travel associated with registration and licensing activities, ground water monitoring expenses, inventory of pesticides wastes and surpluses. Funds are used to cover the operating expenses associated with pesticide registration, certification, monitoring, and compliance/enforcement.

Purpose of Proposed Ceiling Increase (if applicable):

Fund environmental studies associated with pesticide use in Hawaii.

Variance: FY 2021 revenues will significantly exceed allotment ceiling due to pesticide licensing fee increase in FY 2020.
 Ceiling increase for FY 2021 was requested but not approved.
 Ceiling increase has been requested for FY 2022 and beyond to be able to fund projects.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,791,118	1,861,231	1,903,406	1,903,406	1,903,406	1,903,406	1,903,406
Beginning Cash Balance	697,540	325,811	609,483	143,264	237,672	1,454,395	1,561,118
Revenues	1,384,541	1,088,437	1,062,660	1,574,513	2,943,490	2,943,490	2,943,490
Expenditures	1,756,270	804,765	1,536,203	1,480,105	1,726,767	2,836,767	2,686,767
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to General fund	(27,494)	(181,866)	0	0	0	0	0
Net Total Transfers	(27,494)	(181,866)	0	0	0	0	0
Ending Cash Balance	325,811	609,483	135,940	237,672	1,454,395	1,561,118	1,817,841
Encumbrances	48,315	177,616	6,022	128,431			
Unencumbered Cash Balance	277,496	431,867	129,918	109,241	1,454,395	1,561,118	1,817,841

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 161/KC
 Name of Fund: Waiahole Water System Revolving Fund
 Legal Authority: Chapter 163D-15.5, HRS

Contact Name: James Nakatani
 Phone: 586-1088
 Fund type (MOF) W
 Appropriation Acct. No. S-325-A

Intended Purpose:

Revenues and expenditures related to administration and operation of the Waiahole Water System to ensure reliable delivery of irrigation water to agricultural lands in the central and leeward districts of Oahu and to carry out the purposes of Act 111, SLH 1998.

Source of Revenues: Fees from delivery of water, investment pool interest, administrative fee and periodic assignment to ADC.

Current Program Activities/Allowable Expenses:

Activities include operating, maintaining and repairing the WWS infrastructure; regulating water flow; monitoring water use; repairing access roads; calibrating, repairing and replacing user meters; maintaining easement areas and ADC-owned parcels; performing repairs to reservoirs in compliance with Dam Safety rules; billing water users; and responding to orders from the Commission on Water Resource Management. The fund is also used to pay the debt service on the general obligation reimburseable bonds that were issued to purchase WWS and for ADC administration of WWS.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The variance in revenue is due to an increase in the water rate effective July 2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,363,535	1,363,535	1,363,535	1,363,535	1,363,535	1,363,535	1,363,535
Beginning Cash Balance	1,905,378	1,647,592	1,820,576	1,532,433	1,174,235	1,116,836	1,111,714
Revenues	892,706	1,314,879	857,373	759,339	1,261,852	1,298,435	1,336,104
Expenditures	1,147,400	1,141,895	1,145,516	1,117,537	1,319,251	1,303,557	1,367,092
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,650,684	1,820,576	1,532,433	1,174,235	1,116,836	1,111,714	1,080,726
Encumbrances	3,066	77,222	14,877	6,149			
Unencumbered Cash Balance	1,647,618	1,743,354	1,517,556	1,168,086	1,116,836	1,111,714	1,080,726

Additional Information:

Amount Req. for Bond Conveyance	422,948	423,302	421,714	422,045	422,325	422,774	423,147
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 153/CD
 Name of Fund: Aquaculture Development Special Fund
 Legal Authority: Chapter 141-2.7, HRS

Contact Name: Isaac Maeda
 Phone: 587-0030
 Fund type (MOF): Special Fund
 Appropriation Acct. No.: S-328-A

Intended Purpose:

To deposit and expend funds to implement the aquatic disease management programs and activities and support research and development programs and activities relating to the expansion of the state aquaculture industry.

Source of Revenues: Percentage of offshore aquaculture operations lease fees (via DLNR) and fees for aquatic animal and plant health diagnostic services and other expert services.

Current Program Activities/Allowable Expenses:

Funds used to support aquaculture research and development activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 20223
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	125,000	125,000	125,000	125,000	125,000	125,000	125,000
Beginning Cash Balance	254,910	234,103	183,459	118,923	133,082	133,082	133,082
Revenues	41,733	48,686	41,762	48,431	35,000	35,000	35,000
Expenditures	62,540	99,330	48,208	34,272	35,000	35,000	35,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	234,103	183,459	177,013	133,082	133,082	133,082	133,082
Encumbrances		1,141	62,968	4,193			
Unencumbered Cash Balance	234,103	182,318	114,045	128,889	133,082	133,082	133,082

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Agricultural Development and Food Security Special Fund
 Legal Authority: Chapter141-10, HRS

Contact Name: Warren Takenaka
 Phone: 973-9609
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-331-A

Intended Purpose: Fund activities intended to increase agricultural production or processing that may lead to the reduced importation of food, fodder, or feed outside of the state;

Source of Revenues: Portion of the environmental response, energy, and food security tax specified in section 243-3.5, Investment pool interest

Current Program Activities/Allowable Expenses: Awarding of grants to farmers for agricultural production or processing activities; acquisition of real property for agricultural production or processing activity; improvement of real property, irrigation systems and transportation networks necessary to promote agricultural production or processing activity; purchase of equipment necessary for agricultural production or processing activity; conduct of research on and testing of agricultural products and markets; funding of agricultural inspectors within the department of agriculture; promotion and marketing of agricultural products grown or raised in the state; any other activity intended to increase agricultural production or processing that may lead to reduced importation of food, fodder, or feed from outside the state.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,802,131	5,646,092	5,068,206	5,583,160	6,736,710	7,006,710	7,081,710
Revenues	3,866,281	3,922,114	4,066,954	3,835,010	3,320,000	3,575,000	3,680,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(4,022,320)	(4,500,000)	(3,552,000)	(2,681,460)	(3,050,000)	(3,500,000)	(3,600,000)
Ending Cash Balance	5,646,092	5,068,206	5,583,160	6,736,710	7,006,710	7,081,710	7,161,710
Encumbrances							
Unencumbered Cash Balance	5,646,092	5,068,206	5,583,160	6,736,710	7,006,710	7,081,710	7,161,710

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Hawaii Water Infrastructure Special Fund
 Legal Authority: Chapter 155, HRS (Act 171, SLH 2016)

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Special
 Appropriation Acct. No. S-354-A

Intended Purpose:

Deposit moneys received to make water infrastructure loans and carry on operations of the Hawaii water infrastructure loan program.

Source of Revenues: Principal repayments of loans and advances, interest and fees .

Current Program Activities/Allowable Expenses:

Finance the purchase or installation of water infrastructure equipment. Funds used for loan disbursements and administrative and other related program costs.

Purpose of Proposed Ceiling Increase (if applicable): Request is to establish budget ceiling for fund

Variations: Payment received early, investment pool earnings received.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	800,000		410,000			800,000	800,000
Beginning Cash Balance	0	410,000	436,492	483,222	809,859	812,289	375,976
Revenues	0	26,492	46,730	326,637	2,430	13,687	50,887
Expenditures	350,000		0	0		450,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. fr. Gen. Fund G-00-000-O	760,000						
Net Total Transfers	760,000	0	0	0	0	0	0
Ending Cash Balance	410,000	436,492	483,222	809,859	812,289	375,976	226,863
Encumbrances							
Unencumbered Cash Balance	410,000	436,492	483,222	809,859	812,289	375,976	226,863

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Meat Grading
 Legal Authority: Chapter 29-14, HRS

Contact Name: Leonard Obaldo
 Phone: 832-0705
 Fund type (MOF) P
 Appropriation Acct. No. S-528-A

Intended Purpose:

Deposit and expend funds for meat grading service performed and to pay for travel costs of Federal supervisor for supervisory visit.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Conduct meat grading services upon request per agreement with U.S. Department of Agriculture, Agricultural Marketing Service, Meat Grading Branch. Portion of funds expended into general fund for salary costs and fringe benefits to perform service, balance of funds held and expended for travel costs of federal supervisor as necessary..

Purpose of Proposed Ceiling Increase (if applicable):

Variances: No requests for meat grading services received.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Beginning Cash Balance	959	959	959	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	959	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	959	959	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	959	959	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: OHA Ceded Lands Proceeds
 Legal Authority: Administratively Created

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF): Trust
 Appropriation Acct. No. S-901-A

Intended Purpose:

The fund was administratively established to collect a percentage of ceded land revenues for the purpose of the Office of Hawaiian Affairs (OHA).

Source of Revenues: 20% of ceded land rental revenue.

Current Program Activities/Allowable Expenses:

Payments to OHA

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	40,973	40,973	0	0	0	0	0
Revenues	274,542	331,360	245,735	356,286	350,000	350,000	350,000
Expenditures	274,542	331,360	245,735	356,286	350,000	350,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	40,973	40,973	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	40,973	40,973	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Contribution for Overtime-Plant Quarantine Inspection Services
 Legal Authority: None

Contact Name: Kevin Hoffman
 Phone: 973-9535
 Fund type (MOF) Trust Fund
 Appropriation Acct. No. T-902-A

Intended Purpose:

Established to serve as a holding account into which funds paid by shipping companies are deposited and expended to pay for inspections conducted on an overtime basis on cargo entering the State at sites other than at the docks and piers.

Source of Revenues: Shipping companies reimbursing program's overtime costs.

Current Program Activities:

This account supports the off site inspection of containers as requested by Matson and Pasha using off duty inspectors. Funds used to reimburse program's overtime cost to conduct inspections.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	474,362	474,362	474,362	474,362	474,362	474,362	474,362
Beginning Cash Balance	36,359	36,359	36,359	36,359	36,359	36,359	36,359
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	36,359	36,359	36,359	36,359	36,359	36,359	36,359
Encumbrances							
Unencumbered Cash Balance	36,359	36,359	36,359	36,359	36,359	36,359	36,359

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Temporary Deposit - Marketing
 Legal Authority: Chapter 147-7, HRS

Contact Name: Leonard Obaldo
 Phone: 832-0705
 Fund type (MOF) T
 Appropriation Acct. No. T-903-A

Intended Purpose:

Established as a temporary holding account to deposit fees collected for federal inspection & certification activities. A certain percentage of the fees are transmitted to the federal government and the balance is credited to the credit of the State general fund.

Source of Revenues: Fees from federal inspection and certification activities performed.

Current Program Activities:

Conduct federal inspection and certification of agricultural commodities

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance	104,093	111,609	96,329	91,228	70,959	54,959	42,959
Revenues	17,597	17,600	7,375	0	4,000	8,000	12,000
Expenditures	10,081	12,366	12,476	20,269	20,000	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	111,609	116,843	91,228	70,959	54,959	42,959	34,959
Encumbrances							
Unencumbered Cash Balance	111,609	116,843	91,228	70,959	54,959	42,959	34,959

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122
 Name of Fund: Temporary Deposit Bond for Animals
 Legal Authority: Chapter 150A, HRS

Contact Name: Kevin Hoffman
 Phone: 973-9535
 Fund type (MOF): Trust Fund
 Appropriation Acct. No.: T-904

Intended Purpose:

Holding account to temporarily deposit cash bonds placed on certain animals entering the State to ensure compliance with importation conditions. Bonds are refunded upon death of animal, sale by it's owner, or departure from State. Bond forfeited and deposited into State treasury if animal escapes.

Source of Revenues: Cash bonds on certain animals entering the state.

Current Program Activities/Allowable Expenses: Monkeys and other high risk (for escapes) animals are bonded to create an incentive for the importer to safeguard the animals. Bonds are kept in this trust account, and returned to the importer when the animal is shipped out of Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,600	38,600	38,600	38,600	38,600	38,600	38,600
Beginning Cash Balance	11,000	11,000	11,000	11,000	11,000	11,000	11,000
Revenues	0	0	0	6,000	6,000	6,000	6,000
Expenditures	0	0	0	6,000	6,000	6,000	6,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11,000	11,000	11,000	11,000	11,000	11,000	11,000
Encumbrances							
Unencumbered Cash Balance	11,000	11,000	11,000	11,000	11,000	11,000	11,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Security Deposits-AG Park & Non-AG Park
 Legal Authority: Administratively Created

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF): Trust Fund
 Appropriation Acct. No.: T-905-A

Intended Purpose:

Deposit funds from lessess who chose to place a security deposit instead of taking out a performance bond. This account does not pay interest and the deposit is held until the lease terminates or until all lease terms and conditions are met and the Board of Agriculture authorizes the release of the deposit.

Source of Revenues: Lessee deposit.

Current Program Activities/Allowable Expenses: N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	194,791	196,775	220,486	256,386	278,409	303,409	328,409
Revenues	1,984	23,711	43,040	40,823	25,000	25,000	25,000
Expenditures	0	0	7,140	18,800	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	196,775	220,486	256,386	278,409	303,409	328,409	353,409
Encumbrances							
Unencumbered Cash Balance	196,775	220,486	256,386	278,409	303,409	328,409	353,409

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Producers Settlement Fund
 Legal Authority: Chapter 157-13

Contact Name: Leonard Obaldo
 Phone: 832-0705
 Fund type (MOF): Trust Fund
 Appropriation Acct. No.: T-906-A

Intended Purpose:

To make distribution and adjustment payments to distributor or producer-distributors of milk to effectuate a marketwide pooling of milk produced in the Honolulu milk shed and Hawaii milk shed

Source of Revenues: Payments by distributors or producer-distributors

Current Program Activities/Allowable Expenses: N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR161/KA
 Name of Fund: Security Deposits
 Legal Authority: Contractual

Contact Name: Lynn Owan
 Phone: 586-0187
 Fund type (MOF) Trust fund
 Appropriation Acct. No. T-909

Intended Purpose: Temporary holding account for security deposits for land licenses, leases or revocable permits.

Source of Revenues: Security deposits Source code 1651

Current Program Activities/Allowable Expenses: Upon termination of a rental agreement and satisfactory condition of the premises, the security deposit will be reimbursed to the tenant.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	102,918	108,912	122,720	125,413	126,199	126,199	128,074
Revenues	5,994	13,808	2,768	786	1,875	2,000	2,000
Expenditures			75	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	108,912	122,720	125,413	126,199	128,074	128,199	130,074
Encumbrances							
Unencumbered Cash Balance	108,912	122,720	125,413	126,199	128,074	128,199	130,074

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: DHHL Entitlement Proceeds
 Legal Authority: Administratively Created

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Trust fund
 Appropriation Acct. No. T-912-A

Intended Purpose: Collect a percentage of former sugar lands rental revenues for the use of the Department of Hawaiian Homelands.

Source of Revenues: 30% of former sugar land lease rent.

Current Program Activities/Allowable Expenses: Payments to DHHL.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	106	106	106	0	0	0	0
Revenues	20,949	10,599	31,508	10,003	10,000	10,000	10,000
Expenditures	20,949	10,599	31,614	10,003	10,000	10,000	10,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	106	106	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	106	106	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR161/KA
 Name of Fund: Land Performance Bond
 Legal Authority: Contractual

Contact Name: Lynn Owan
 Phone: 586-0187
 Fund type (MOF) Trust fund
 Appropriation Acct. No. T-914

Intended Purpose: Temporary holding account for performance bonds deposited by land licensees, lessees or revocable permittees

Source of Revenues: Performance Bond Source code 1651

Current Program Activities/Allowable Expenses: Upon termination of the rental agreement and satisfactory performance under the rental agreement, the performance bond amount will be reimbursed to the tenant.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	19,652	25,592	25,992	25,992	25,992	43,492	43,492
Revenues	5,940	400	0	0	17,500	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25,592	25,992	25,992	25,992	43,492	43,492	43,492
Encumbrances							
Unencumbered Cash Balance	25,592	25,992	25,992	25,992	43,492	43,492	43,492

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: Criminal History Record Improvement Revolving Fund
 Legal Authority: Act 7, Special Session 1995, HRS 846-10.6

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Revolving - W
 Appropriation Acct. No.: S-321-N

Intended Purpose:

Act 7, Special Session 1995, established the Criminal History Record Improvement Revolving Fund into which fees for services related to criminal history record information would be deposited.

Source of Revenues:

The fees are being collected by the Hawaii Criminal Justice Data Center (HCJDC) and other state and county criminal justice agencies, which disseminate information from the Criminal Justice Information System-Hawaii (CJIS-Hawaii).

*** NOTE: Revenues and expenditures include FBI and NCIC fees that just pass through this fund. ***

Current Program Activities/Allowable Expenses:

The major activities that are undertaken in this program are the collection of fees for services, which include name-based and fingerprint-based searches, Public Access printouts, internet (eCrim) printouts, and expungement application processing; processing of fingerprints to the Federal Bureau of Investigation for authorized programs and services; data quality research on delinquent and missing dispositions; continuing development and enhancement of CJIS-Hawaii; support for the Hawaii Integrated Justice Information Sharing (HIJIS) program

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,411,841	3,446,804	3,446,804	3,455,603	3,455,603	3,455,603	3,455,603
Beginning Cash Balance	1,663,631	1,553,860	2,170,455	2,605,355	2,785,917	2,785,917	2,785,917
Revenues	2,499,431	3,089,419	3,151,778	3,006,093	2,400,000	2,400,000	2,400,000
Expenditures	2,609,202	2,472,824	2,716,878	2,825,530	2,400,000	2,400,000	2,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,553,860	2,170,455	2,605,355	2,785,917	2,785,917	2,785,917	2,785,917
Encumbrances	27,150	1,102		656			
Unencumbered Cash Balance	1,526,710	2,169,353	2,605,355	2,785,261	2,785,917	2,785,917	2,785,917

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2019
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-20-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems.

Activities planned under NCHIP/CITA are to provide assistance to partner agencies to implement information exchanges, to enhance the criminal history repository and related systems needed to conduct the automated identification process, and provide assistance to the Courts for upgrades to their infrastructure.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				496,182	492,453	302,453	206,183
Beginning Cash Balance		0	0	0	0	0	0
Revenues				3,729	190,000	96,270	100,000
Expenditures				3,729	190,000	96,270	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2018
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-19-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems. Activities planned under NCHIP/CITA are to provide assistance to partner agencies to implement information exchanges and to address delinquent dispositions in CJIS-Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			962,300	953,327	948,106	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues			8,973	5,221	387,523		
Expenditures			8,973	5,221	387,523		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: SMART Grant 2019
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-20-244-N

Intended Purpose:

The Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) Office support for Adam Walsh Implementation Grant Program assists state, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement the Sex Offender Registration and Notification Act (SORNA) under the Adam Walsh Act (AWA).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned for are continued funding of positions assigned to the Sex Offender Registration Unit, Criminal Justice Division and Investigations Division; to provide statewide training on the Sex Offender Registration program for all departments, agencies, and personnel responsible for registration and notifications; to develop an online application that will allow registered offenders to update their registration information before appearing for their in-person verification.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				400,000	400,000	350,000	150,000
Beginning Cash Balance		0	0	0	4,962	(0)	(0)
Revenues				6,052	45,038	200,000	150,000
Expenditures				0	50,000	200,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
S-19-244-N (JV JS6158 / 06-30-20)				(1,091)			
Cash transferred to S-19-244							
Net Total Transfers	0	0	0	(1,091)	0	0	0
Ending Cash Balance	0	0	0	4,962	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	0	4,962	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: SMART Grant 2018
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-19-244-N

Intended Purpose:

The Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) Office support for Adam Walsh Implementation Grant Program assists state, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement the Sex Offender Registration and Notification Act (SORNA) under the Adam Walsh Act (AWA).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned for are continued funding of positions assigned to the Sex Offender Registration Unit, Criminal Justice Division and Investigations Division; to provide statewide training on the Sex Offender Registration program for all departments, agencies, and personnel responsible for registration and notifications; to develop an online application that will allow registered offenders to update their registration information before appearing for their in-person verification.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	400,000	352,696	216,111	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			47,304	135,494	216,111	0	0
Expenditures			47,304	136,585	216,111	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
S-20-244-N (JV JS6158 / 06-30-20) Cash transferred from S-20-244				1,091			
Net Total Transfers	0	0	0	1,091	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: National Mortgage Settlement
 Legal Authority: USDC Case 1:12-cv-00361-RMC

Contact Name: James C. Paige
 Phone: 586-1194
 Fund type (MOF): Trust (T)
 Appropriation Acct. No. T916N

Intended Purpose: Attorney General's Foreclosure Assistance Program

Source of Revenues: Settlement USDC Case 1:12-cv-00361 RMC

Current Program Activities/Allowable Expenses: Training & Education

Purpose of Proposed Ceiling Adjustment (if applicable) N/A

Variances: None

Financial Data								
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	111,900	99,412	66,261	66,015	64,485	55,085	45,685	
Revenues	824	599	651	0	600	600	600	
Expenditures	13,312	33,750	897	1,530	10,000	10,000	10,000	
Transfers	0	0	0	0	0	0	0	
List each net transfer in/out/ or projection in/out; list each account number								
Net Total Transfers	0	0	0	0	0	0	0	
Ending Cash Balance	99,412	66,261	66,015	64,485	55,085	45,685	36,285	
Encumbrances								
Unencumbered Cash Balance	99,412	66,261	66,015	64,485	55,085	45,685	36,285	

Additional Information:

Amount Req. by Bond Covenants	0	0	0	0	0	0	0	0
Amount from Bond Proceeds	0	0	0	0	0	0	0	0
Amount Held in CODs, Escrow Accounts, or Other Investments	0	0	0	0	0	0	0	0

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-17-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ended 9/30/2019.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers and government agencies to provide direct services to crime victims.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 10,147,586.00	\$ 9,701,147.98	\$ 3,040,678.61			
Beginning Cash Balance		\$ -	\$ 7,061.61	\$ 0.00			
Revenues		\$ 453,499.63	\$ 6,653,407.76	\$ 1,973,529.61			
Expenditures		\$ 446,438.02	\$ 6,482,495.47	\$ 1,945,661.53			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers		\$ -	\$ (177,973.90)	\$ (27,868.08)			
Net Total Transfers		\$ -	\$ (177,973.90)	\$ (27,868.08)			
Ending Cash Balance		\$ 7,061.61	\$ 0.00	\$ 0.00			
Encumbrances		\$ -	\$ -	\$ -			
Unencumbered Cash Balance		\$ 7,061.61	\$ 0.00	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-17-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2019**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
219-080	JS3006	01/17/19	\$ 2,633.47	16-VA-Admin
219-107	JS4251	04/02/19	\$ 4,742.43	16-VA-Admin
R19011	JM0286	07/27/18	\$ 870.00	16-VA-Admin
R19085	JS2540	12/13/18	\$ 41,000.00	16-VA-23/3
R19097	JS2757	12/31/18	\$ 16,000.00	16-VA-23/4
R19118	JS3299	01/31/19	\$ 23,000.00	16-VA-23/5
R19136	JS3730	02/28/19	\$ 27,000.00	16-VA-23/6
R19161	JS4554	04/16/19	\$ 7,800.00	16-VA-23/7
R19169	JS4724	04/26/19	\$ 31,600.00	16-VA-23/8
R19169	JS4724	04/26/19	\$ 10,328.00	16-VA-25/4
R19203	JS5454	06/17/19	\$ 13,000.00	16-VA-23/9
			\$ 177,973.90	

**S-17-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2020**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R20017	JS0300	07/26/2019	\$ 15,600.00	16-VA-23/10
R20042	JS1085	09/12/2019	\$ 12,268.08	16-VA-25/8
			\$ 27,868.08	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-18-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ended 9/30/2020.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers and government agencies to provide direct services to crime victims.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 8,450,536.00	\$ 7,757,765.14	\$ 2,681,404		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ 692,770.86	\$ 5,076,361.14	\$ 2,681,404		
Expenditures			\$ 688,275.70	\$ 5,057,274.27	\$ 2,681,404		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ (4,495.16)	\$ (19,086.87)	\$ -		
Net Total Transfers			\$ (4,495.16)	\$ (19,086.87)	\$ -		
Ending Cash Balance			\$ 0.00	\$ 0.00	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-18-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2019**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
219-120	JM5886	04/29/19	\$ 3,160.16	17-VA-Admin
R19180	JS4941	05/13/19	\$ 527.00	17-VA-16/1
R19203	JS5454	06/17/19	\$ 808.00	17-VA-16/2
			\$ 4,495.16	

**S-18-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2020**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
220-100	JM3309	12/12/2019	\$ (1,342.73)	17-VA-Admin
J20057	JM7302	06/09/2020	\$ (566.26)	17-VA-Admin
Fiscal	JM2655	11/15/2019	\$ 4,352.21	17-VA-Admin
220-110	JS3056	01/15/2020	\$ 3,091.06	17-VA-Admin
220-131	JS3604	02/13/2020	\$ 3,709.27	17-VA-Admin
220-158	JS4299	04/02/2020	\$ 1,854.64	17-VA-Admin
220-165	JM6089	04/22/2020	\$ 2,518.64	17-VA-Admin
220-200	JM7685	06/22/2020	\$ 206.04	17-VA-Admin
R20016	JS0364	07/31/2019	\$ 389.00	17-VA-16/3
R20042	JS1085	09/12/2019	\$ 110.00	17-VA-16/5
R20062	JS1646	10/14/2019	\$ 916.00	17-VA-16/6
R20070	JM2276	10/28/2019	\$ 100.00	17-VA-Admin
R20085	JS2140	11/15/2019	\$ 818.00	17-VA-16/7
R20099	JS2394	11/29/2019	\$ 610.00	17-VA-16/8
R20149	JS3831	02/28/2020	\$ 288.00	17-VA-16/10
R20175	JS4454	04/15/2020	\$ 558.00	17-VA-16/12
R20189	JS4903	05/15/2020	\$ 1,025.00	17-VA-16/13
R20206	JS5376	06/12/2020	\$ 450.00	17-VA-16/14
			\$ 19,086.87	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-19-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. The FY 2018 grant ends 9/30/2021.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers and government agencies to provide direct services to crime victims.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 14,803,390.00	\$ 10,057,130	\$ 7,502,120	\$ 7,502,120
Beginning Cash Balance				\$ -	\$ 747.60	\$ 747.60	\$ 747.60
Revenues				\$ 2,312,867.18	\$ 12,490,523	\$ 7,502,120	\$ 7,502,120
Expenditures				\$ 2,122,941.35	\$ 12,490,523	\$ 7,502,120	\$ 7,502,120
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers				\$ (189,178.23)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (189,178.23)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ 747.60	\$ 747.60	\$ 747.60	\$ 747.60
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ 747.60	\$ 747.60	\$ 747.60	\$ 747.60

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-19-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2020**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
220-200	JM7685	06/22/2020	\$ 3,503.23	18-V2-Admin
R20133	JS3304	01/29/2020	\$ 16,150.00	18-V2-05/2
R20133	JS3304	01/29/2020	\$ 16,150.00	18-V2-05/3
R20133	JS3304	01/29/2020	\$ 16,150.00	18-V2-05/4
R20133	JS3304	01/29/2020	\$ 16,150.00	18-V2-05/5
R20133	JS3304	01/29/2020	\$ 40,375.00	18-V2-05/6
R20157	JS4017	03/12/2020	\$ 16,100.00	18-V2-05/7
R20166	JS4297	04/01/2020	\$ 16,100.00	18-V2-05/8
R20189	JS4903	05/15/2020	\$ 16,100.00	18-V2-05/9
R20197	JS5198	05/29/2020	\$ 16,200.00	18-V2-05/10
R20212	JS5783	06/29/2020	\$ 16,200.00	18-V2-05/11
			\$ 189,178.23	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No.: S-15-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2018.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, sex offender management training, technology improvement, specialized units (sex assault), police body-worn cameras, etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 925,907.86	\$ 428,925.00	\$ 96,752.96	\$ -			
Beginning Cash Balance	\$ 851,627.22	\$ 360,249.12	\$ 30,476.20	\$ -			
Revenues	\$ 5,604.76	\$ 2,399.12	\$ 702.19	\$ 262.89			
Expenditures	\$ 419,572.69	\$ 311,975.64	\$ 1,833.44	\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers	\$ (77,410.17)	\$ (20,196.40)	\$ (29,344.95)				
Cash Transfers				\$ (262.89)			
Net Total Transfers	\$ (77,410.17)	\$ (20,196.40)	\$ (29,344.95)	\$ (262.89)			
Ending Cash Balance	\$ 360,249.12	\$ 30,476.20	\$ 0.00	\$ -			
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ 360,249.12	\$ 30,476.20	\$ 0.00	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-15-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2017**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
JV17320	JS3842	02/24/17	\$ (3,000.00)	14-DJ-08
217-068	JS3534	02/01/17	\$ 1,582.20	14-DJ-Admin
217-083	JS4092	03/13/17	\$ 727.97	14-DJ-Admin
R17049	JS1842	10/25/16	\$ 7,000.00	14-DJ-08/3
R17063	JS2428	11/29/16	\$ 25,400.00	14-DJ-08/4
R17073	JS2918	12/29/16	\$ 8,000.00	14-DJ-08/5
R17114	JS4304	03/22/17	\$ 10,500.00	14-DJ-08/8
R17143	JS5086	05/10/17	\$ 10,500.00	14-DJ-08/9
R17150	JS5416	05/31/17	\$ 11,500.00	14-DJ-08/10
R17165	JS6017	06/30/17	\$ 5,200.00	14-DJ-08/11
			\$ 77,410.17	

Fiscal Year 2018

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
JV18079	JS0875	09/06/17	\$ (3,243.95)	14-DJ-08
R18016	JS0238	07/25/17	\$ 5,000.00	14-DJ-08/12
R18028	JS0750	08/31/17	\$ 5,351.00	14-DJ-07/17
R18051	JS1502	10/17/17	\$ 8,753.00	14-DJ-07/18
R18057	JS1775	10/27/17	\$ 4,336.35	14-DJ-07/19
			\$ 20,196.40	

Fiscal Year 2019

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R19063	JS1800	10/23/18	\$ 565.68	14-DJ-06/9 Interest
R19063	JS1800	10/23/18	\$ 28,077.08	14-DJ-06/9
R19083	JS2497	12/10/18	\$ 193.08	Interest
R19098	JS2874	01/09/19	\$ 155.20	Interest
R19106	JS3263	01/29/19	\$ 162.24	Interest
R19127	JS3492	02/13/19	\$ 74.24	Interest
R19137	JS3656	02/25/19	\$ 41.96	Interest
R19143	JS4120	03/25/19	\$ 36.57	Interest
R19152	JS4364	04/08/19	\$ 38.90	Interest
			\$ 29,344.95	

Fiscal Year 2020 Cash Transfers out of the Funds

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R20063	10/11/2019	JS1605	\$ 131.80	Interest
R20073	11/7/2019	JS2082	\$ 131.09	Interest
			\$ 262.89	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No.: S-16-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2019.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, untested sex assault kits, specialized units (drug nuisance, sex trafficking, sexual assault), etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 908,157.00	\$ 843,125.82	\$ 298,650.68	\$ 182,801.04			
Beginning Cash Balance	\$ 799,693.29	\$ 740,776.62	\$ 138,445.54	\$ 92,989.17			
Revenues	\$ 6,114.51	\$ 4,716.06	\$ 89,565.42	\$ 4,053.28			
Expenditures	\$ 65,031.18	\$ 524,391.97	\$ 112,849.64	\$ 93,811.26			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers	\$ -	\$ (20,083.17)	\$ -	\$ -			
Cash Transfers Out		\$ (62,572.00)	\$ (22,874.34)	\$ (3,362.99)			
Cash Transfers In			\$ 702.19	\$ 131.80			
Net Total Transfers	\$ -	\$ (82,655.17)	\$ (22,172.15)	\$ (3,231.19)			
Ending Cash Balance	\$ 740,776.62	\$ 138,445.54	\$ 92,989.17	\$ 0.00			
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ 740,776.62	\$ 138,445.54	\$ 92,989.17	\$ 0.00			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-16-206-N Edward J. Byrne Memorial Justice Assistance Grant
Fiscal Year 2018 JV Transfers**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R18108	CW0272	02/13/18	\$ (424.34)	15-DJ-Admin
281-088	JS3014	01/16/18	\$ 1,420.43	15-DJ-Admin
R18016	JS0238	07/25/17	\$ 13,000.00	15-DJ-07/6
R18043	JS1186	09/25/17	\$ 2,000.00	15-DJ-07/8
R18057	JS1775	10/27/17	\$ 3,653.00	15-DJ-07/9
R18061	JM2488	11/16/17	\$ 51.00	15-DJ-Admin
R18142	JM5187	04/05/18	\$ 383.08	15-DJ-Admin
			\$ 20,083.17	

**S-16-206-N Edward J. Byrne Memorial Justice Assistance Grant
Fiscal Year 2018 Cash Transfers out of the Funds**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R18043	JS1186	09/25/17	\$ 80.00	15-DJ-04/4
R18092	JS2681	12/28/17	\$ 16,386.00	15-DJ-04/7
R18122	JS3696	03/01/18	\$ 1,087.00	15-DJ-04/9
R18140	JS4088	03/22/18	\$ 12,624.00	15-DJ-04/10
R18165	JS4726	05/01/18	\$ 16,198.00	15-DJ-04/11
R18183	JS5120	05/23/18	\$ 16,197.00	15-DJ-04/12
			\$ 62,572.00	

**S-16-206-N Edward J. Byrne Memorial Justice Assistance Grant
Fiscal Year 2019 Cash Transfers out of the Funds**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R19030	JS0718	08/28/18	\$ 20,335.00	15-DJ-04/15
R19047	JS1227	09/25/18	\$ 2,539.34	15-DJ-04/16
			\$ 22,874.34	

**S-16-206-N Edward J. Byrne Memorial Justice Assistance Grant
Fiscal Year 2019 Cash Transfers into the Funds**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R19083	JS2497	12/10/18	\$ 193.08	Interest
R19098	JS2874	01/09/19	\$ 155.20	Interest
R19106	JS3263	01/29/19	\$ 162.24	Interest
R19127	JS3492	02/13/19	\$ 74.24	Interest
R19137	JS3656	02/25/19	\$ 41.96	Interest
R19143	JS4120	03/25/19	\$ 36.57	Interest
R19152	JS4364	04/08/19	\$ 38.90	Interest
			\$ 702.19	

**S-16-206-N Edward J. Byrne Memorial Justice Assistance Grant
Fiscal Year 2020**

Cash Transfers out of the Funds

Comptroller's

Dept. No.	No.	JV Date	Amount	Description/Project
R20073	JS2082	11/07/2019	\$ 535.65	Interest
R20087	JS2325	11/26/2019	\$ 551.69	Interest
R20107	JS2615	12/13/2019	\$ 817.28	Interest
R20190	JS4842	05/08/2020	\$ 721.41	Interest
R20199	JS5128	05/22/2020	\$ 364.06	Interest
R20215	JS5784	06/29/2020	\$ 372.90	Interest
			\$ 3,362.99	

Cash Transfers into the Funds

Comptroller's

Dept. No.	No.	JV Date	Amount	Description/Project
R20063	JS1605	10/11/2019	\$ 131.80	Interest
			\$ 131.80	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No.: S-17-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2020.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, untested sex assault kits, specialized units (drug nuisance, sexual assault), crime lab equipment, etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 908,157.00	\$ 908,157.00	\$ 806,660.85	\$ 399,005.57	\$ 66,913		
Beginning Cash Balance		\$ 864,440.09	\$ 768,509.01	\$ 368,606.97	\$ 54,295		
Revenues	\$ 864,440.09	\$ 5,565.07	\$ 7,753.24	\$ 17,529.13	\$ 387		
Expenditures	\$ -	\$ 101,496.15	\$ 369,134.17	\$ 329,230.88	\$ 54,682		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ -	\$ -	\$ (38,521.11)	\$ (2,861.00)	\$ -		
Cash transfers out	\$ -	\$ -	\$ -	\$ (2,506.21)			
Cash transfers in	\$ -	\$ -	\$ -	\$ 2,757.12			
Net Total Transfers	\$ -	\$ -	\$ (38,521.11)	\$ (2,610.09)	\$ -		
Ending Cash Balance	\$ 864,440.09	\$ 768,509.01	\$ 368,606.97	\$ 54,295.13	\$ 0		
Encumbrances		\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ 864,440.09	\$ 768,509.01	\$ 368,606.97	\$ 54,295.13	\$ 0		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-17-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2019**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
-	JS3697	02/27/19	\$ (1,780.81)	16-DJ-05
219-107	JS4251	04/02/19	\$ 801.92	16-DJ-Admin
R19009	JS0205	07/24/18	\$ 500.00	16-DJ-05/6
R19061	JS1799	10/23/18	\$ 5,000.00	16-DJ-05/9
R19077	JS2344	11/30/18	\$ 25,000.00	16-DJ-05/10
R19096	JS2728	12/28/18	\$ 5,000.00	16-DJ-05/11
R19117	JS3264	01/29/19	\$ 4,000.00	16-DJ-05/12
			\$ 38,521.11	

**S-17-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2020**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R20181	JS4680	04/29/2020	\$ 2,861.00	16-DJ-Admin-Interest
			\$ 2,861.00	

**S-17-206-N Edward J. Byrne Memorial Justice Assistance Grant
Fiscal Year 2020**

Cash Transfers out of the Funds

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R20199	JS5128	05/22/2020	\$ 1,300.30	Interest
R20215	JS5784	06/29/2020	\$ 1,205.91	Interest
			\$ 2,506.21	

Cash Transfers into the Funds

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R20073	JS2082	11/07/2019	\$ 131.09	Interest
R20073	JS2082	11/07/2019	\$ 535.65	Interest
R20087	JS2325	11/26/2019	\$ 551.69	Interest
R20107	JS2615	12/13/2019	\$ 817.28	Interest
R20190	JS4842	05/08/2020	\$ 721.41	Interest
			\$ 2,757.12	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No.: S-18-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. The FY 2017 grant ends on 9/30/2021.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, crime lab equipment, specialized units (cybercrime, computer crime), etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 862,956.00	\$ 812,642.15	\$ 84,198		
Beginning Cash Balance			\$ -	\$ 789,970.15	\$ 84,973		
Revenues			\$ 840,284.00	\$ 20,203.55	\$ 481		
Expenditures			\$ 49,334.19	\$ 698,369.96	\$ 85,454		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV 219-080 / JS3006			\$ (445.30)	\$ -	\$ -		
JV 219-120 / JM5886			\$ (534.36)				
JV Transfers				\$ (30,074.30)			
Cash Transfers In				\$ 3,243.17			
Net Total Transfers			\$ (979.66)	\$ (26,831.13)	\$ -		
Ending Cash Balance			\$ 789,970.15	\$ 84,972.61	\$ (0)		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ 789,970.15	\$ 84,972.61	\$ (0)		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-18-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2020**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
JV20350	JS3762	02/25/2020	\$ (12,047.52)	17-DJ-05
220-110	JS3056	01/15/2020	\$ 181.72	17-DJ-Admin
220-131	JS3604	02/13/2020	\$ 218.07	17-DJ-Admin
220-158	JS4299	04/02/2020	\$ 109.03	17-DJ-Admin
R20048	JS1303	09/25/2019	\$ 5,000.00	17-DJ-05/6
R20068	JS1872	10/24/2019	\$ 12,000.00	17-DJ-05/7
R20115	JS2778	12/24/2019	\$ 7,500.00	17-DJ-05/9
R20132	JS3232	01/24/2020	\$ 17,113.00	17-DJ-05/10
			\$ 30,074.30	

**S-18-206-N Edward J. Byrne Memorial Justice Assistance Grant
Cash Transfers into the Funds
Fiscal Year 2020**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R20199	JS5128	05/22/2020	\$ 364.06	Interest
R20199	JS5128	05/22/2020	\$ 1,300.30	Interest
R20215	JS5784	06/29/2020	\$ 372.90	Interest
R20215	JS5784	06/29/2020	\$ 1,205.91	Interest
			\$ 3,243.17	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No.: S-19-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. The FY 2018 grant ends on 9/30/2021.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, crime lab equipment, specialized units (cybercrime, computer crime), etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 846,920.00	\$ 773,457	\$ 748,592	\$ 748,592
Beginning Cash Balance				\$ -	\$ 779,184	\$ 0	\$ -
Revenues				\$ 852,646.88	\$ 1,582	\$ 748,592	\$ 748,592
Expenditures				\$ 72,237.97	\$ 780,766	\$ 748,592	\$ 748,592
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ (1,224.86)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (1,224.86)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ 779,184.05	\$ 0	\$ 0	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ 779,184.05	\$ 0	\$ 0	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-19-206-N Edward J. Byrne Memorial Justice Assistance Grant
 JV Transfers
 Fiscal Year 2020**

Comptroller's					
Dept. No.	No.	JV Date		Amount	Description/Project
JV20437	JS4652	04/28/2020	\$	(3,730.00)	18-DJ-05
R20181	JS4680	04/29/2020	\$	(2,861.00)	18-DJ-Admin
220-165	JM6089	04/22/2020	\$	148.07	18-DJ-Admin
220-200	JM7685	06/22/2020	\$	218.07	18-DJ-Admin
R20168	JS4221	03/25/2020	\$	5,000.00	18-DJ-05/2
			\$	(1,224.86)	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 CJ
 Name of Fund: FY 2018 SJS Program
 Legal Authority: Justice System Improvement Act of 1979

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-19-208

Intended Purpose:

To support the crime research functions of the Statistical Analysis Center, which is established within CPJAD.

Source of Revenues:

Federal grant received from Department of Justice, Bureau of Justice Statistics. Grant ended 9/30/2020.

Current Program Activities/Allowable Expenses:

This project will expand the Hawaii SAC's capacity to assess the management and delivery of services to adult parolees, probationers and other criminal offenders in the state. Building on complementary efforts made in previous grants, this project will strengthen Hawaii's efforts to reduce recidivism and improve evidence-based efforts in offender case management and community supervision. Funds will also support attendance by one staff person at the National Forum on Criminal Justice.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 53,670.00	\$ 32,970	\$ 51,722	\$ 51,722
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ 20,700.00	\$ 29,000	\$ 51,722	\$ 51,722
Expenditures				\$ -	\$ -	\$ 51,722	\$ 51,722
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers -- JS 5199				\$ (20,700.00)	\$ (29,000)	\$ -	\$ -
Net Total Transfers				\$ (20,700.00)	\$ (29,000)	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances					\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-16-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 6/30/2019.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 1,061,994.00	\$ 1,041,739.00	\$ 417,530.00	\$ 54,728.09			
Beginning Cash Balance	\$ -	\$ -	\$ -	\$ -			
Revenues	\$ 20,255.00	\$ 624,209.00	\$ 365,801.91	\$ 48,372.00			
Expenditures	\$ 20,255.00	\$ 571,890.00	\$ 319,341.51	\$ 52,324.10			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ -	\$ (52,319.00)	\$ (46,460.40)	\$ 3,952.10			
Net Total Transfers	\$ -	\$ (52,319.00)	\$ (46,460.40)	\$ 3,952.10			
Ending Cash Balance	\$ -	\$ -	\$ -	\$ -			
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ -	\$ -	\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-16-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2018**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R18141	JS4165	04/02/18	\$ 442.00	15-WF-09/8
R18167	JS4728	05/01/18	\$ 32,741.00	15-WF-09/9
R18167	JS4728	05/01/18	\$ 17,850.00	15-WF-10/7
R18184	JS5239	05/31/18	\$ 1,286.00	15-WF-09/10
			\$ 52,319.00	

**S-16-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2019**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
219-080	JS3006	01/17/19	\$ 472.29	15-WF-Admin
219-107	JS4251	04/02/19	\$ 850.52	15-WF-Admin
R19056	JS1618	10/15/18	\$ 8,000.00	15-WF-10/10
R19078	JS2349	11/30/18	\$ 366.00	15-WF-09/16
R19078	JS2349	11/30/18	\$ 1,100.00	15-WF-10/14
R19097	JS2757	12/31/18	\$ 8,000.00	15-WF-10/15
R19118	JS3299	01/31/19	\$ 3,000.00	15-WF-10/16
R19126	JS3491	02/13/19	\$ 9,896.00	15-WF-09/18
R19150	JS4234	04/01/19	\$ 185.00	15-WF-09/20
R19150	JS4234	04/01/19	\$ 12,495.00	15-WF-10/18
R19163	JM5852	04/26/19	\$ 435.00	15-WF-Admin
R19190	JS5186	05/28/19	\$ 1,660.59	15-WF-09/21
			\$ 46,460.40	

**S-16-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2020**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
JV20136	JS0824	09/04/2019	\$ (3,952.10)	15-WF-10
			\$ (3,952.10)	

Report on Non-General Fund Information
for Submittal to the 20201 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-17-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ends 6/30/2021.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 1,061,994.00	\$ 1,138,212.00	\$ 1,138,212.00	\$ 578,725.97	\$ 83,362		
Beginning Cash Balance	\$ -	\$ -	\$ -	\$ 8,524.00	\$ 1		
Revenues	\$ -	\$ -	\$ 568,010.03	\$ 486,840.74	\$ 83,362		
Expenditures	\$ -	\$ -	\$ 527,273.28	\$ 445,589.08	\$ 83,363		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ -	\$ -	\$ (32,212.75)	\$ (49,774.93)	\$ -		
Net Total Transfers	\$ -	\$ -	\$ (32,212.75)	\$ (49,774.93)	\$ -		
Ending Cash Balance	\$ -	\$ -	\$ 8,524.00	\$ 0.73	\$ (0)		
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance	\$ -	\$ -	\$ 8,524.00	\$ 0.73	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-17-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2019**

Comptroller's					
Dept. No.	No.	JV Date	Amount	Description/Project	
DS-074	JS5634	06/24/19	\$ (8,524.00)	16-WF-09	
219-120	JM5886	04/29/19	\$ 566.75	16-WF-Admin	
R19136	JS3730	02/28/19	\$ 6,302.00	16-WF-09/10	
R19161	JS4554	04/16/19	\$ 7,868.00	16-WF-09/12	
R19190	JS5186	05/28/19	\$ 26,000.00	16-WF-09/13	
			\$ 32,212.75		

**S-17-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2020**

Comptroller's					
Dept. No.	No.	JV Date	Amount	Description/Project	
OYS20-124	JM7693	06/22/2020	\$ (0.73)	16-WF-16	
220-110	JS3056	01/15/2020	\$ 311.47	16-WF-Admin	
220-131	JS3604	02/13/2020	\$ 373.76	16-WF-Admin	
220-158	JS4299	04/02/2020	\$ 186.88	16-WF-Admin	
220-165	JM6089	04/22/2020	\$ 253.79	16-WF-Admin	
220-200	JM7685	06/22/2020	\$ 373.76	16-WF-Admin	
R20018	JS0301	07/26/2019	\$ 21,433.00	16-WF-16/3	
R20019	JS0365	07/31/2019	\$ 3,296.00	16-WF-09/15	
R20033	JS0800	08/28/2019	\$ 9,375.00	16-WF-16/4	
R20049	JS1416	10/01/2019	\$ 1,365.00	16-WF-09/17	
R20049	JS1416	10/01/2019	\$ 1,234.00	16-WF-16/5	
R20069	JS1920	10/28/2019	\$ 2,379.00	16-WF-16/6	
R20116	JS2864	01/02/2020	\$ 3,034.00	16-WF-09/20	
R20134	JS3311	01/28/2020	\$ 4,099.00	16-WF-09/21	
R20166	JS4297	04/01/2020	\$ 1,576.00	16-WF-09/23	
R20206	JS5376	06/12/2020	\$ 485.00	16-WF-09/25	
			\$ 49,774.93		

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-18-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ends 6/30/2021.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 1,119,445.00	\$ 592,178		
Beginning Cash Balance				\$ -	\$ 6,633		
Revenues				\$ 533,900.85	\$ 585,544		
Expenditures				\$ 516,894.85	\$ 592,177		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ (10,372.87)	\$ -		
Net Total Transfers				\$ (10,372.87)	\$ -		
Ending Cash Balance				\$ 6,633.13	\$ 0		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ 6,633.13	\$ 0		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-18-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2020**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
DM-291	JM7409	06/15/2020	\$ (6,633.13)	17-WF-09
R20099	JS2394	11/29/2019	\$ 9,141.00	17-WF-09/5
R20116	JS2864	01/02/2020	\$ 908.00	17-WF-09/6
R20166	JS4297	04/01/2020	\$ 6,957.00	17-WF-09/9
			\$ 10,372.87	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-19-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. The FY 2018 grant ends 6/30/2021.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 1,129,162.00	\$ 1,129,162	\$ 1,107,864	\$ 1,107,864
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ -	\$ 1,129,162	\$ 1,125,400	\$ 1,107,864
Expenditures				\$ -	\$ 1,129,162	\$ 1,125,400	\$ 1,107,864
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -	\$ -	\$ -
Net Total Transfers				\$ -	\$ -	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-17-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2019.

Current Program Activities/Allowable Expenses:

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 63,530.00	\$ 7,030.00			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 56,500.00	\$ 7,030.00			
Expenditures			\$ -	\$ 3,720.00			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ (56,500.00)	\$ (3,310.00)			
Net Total Transfers			\$ (56,500.00)	\$ (3,310.00)			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-17-214-N RSAT
JV Transfers
Fiscal Year 2019**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R19150	JS4234	04/01/19	\$ 16,500.00	16-RT-01/1
R19169	JS4724	04/26/19	\$ 16,000.00	16-RT-01/2
R19190	JS5186	05/28/19	\$ 16,000.00	16-RT-01/3
R19222	JS5805	07/01/19	\$ 8,000.00	16-RT-01/4
			\$ 56,500.00	

**S-17-214-N RSAT
JV Transfers
Fiscal Year 2020**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R20017	JS0300	07/26/2019	\$ 3,310.00	16-RT-01/5
			\$ 3,310.00	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-18-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2020.

Current Program Activities/Allowable Expenses:

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 75,376.00			
Beginning Cash Balance				\$ -			
Revenues				\$ 75,376.00			
Expenditures				\$ 145.00			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers				\$ (75,231.00)			
Net Total Transfers				\$ (75,231.00)			
Ending Cash Balance				\$ -			
Encumbrances				\$ -			
Unencumbered Cash Balance				\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-18-214-N RSAT
JV Transfers
Fiscal Year 2020**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R20051	JS1438	10/02/2019	\$ 28,000.00	17-RT-01/2
R20069	JS1920	10/28/2019	\$ 5,000.00	17-RT-01/3
R20099	JS2394	11/29/2019	\$ 10,000.00	17-RT-01/4
R20116	JS2864	01/02/2020	\$ 10,000.00	17-RT-01/5
R20143	JS3593	02/12/2020	\$ 12,000.00	17-RT-01/6
R20149	JS3831	02/28/2020	\$ 10,231.00	17-RT-01/7
			\$ 75,231.00	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-19-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2018 RSAT Grant ends 9/30/2021.

Current Program Activities/Allowable Expenses:

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 162,272.00	\$ 110,269	\$ 164,066	\$ 164,066
Beginning Cash Balance				\$ -	\$ 691	\$ -	\$ -
Revenues				\$ 52,695.02	\$ 109,577	\$ 160,296	\$ 164,066
Expenditures				\$ 3,695.02	\$ 110,268	\$ 160,296	\$ 164,066
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers				\$ (48,308.57)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (48,308.57)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ 691.43	\$ 0	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ 691.43	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-19-214-N RSAT
JV Transfers
Fiscal Year 2020**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R20214	JM7888	06/29/2020	\$ (691.43)	18-J2-Admin
R20167	JS4298	04/01/2020	\$ 12,000.00	18-J2-01/2
R20189	JS4903	05/15/2020	\$ 12,000.00	18-J2-01/3
R20197	JS5198	05/29/2020	\$ 12,500.00	18-J2-01/4
R20212	JS5783	06/29/2020	\$ 12,500.00	18-J2-01/5
			\$ 48,308.57	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 Sex Assault Services Program
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-18-216

Intended Purpose:

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 7/31/2019.

Current Program Activities/Allowable Expenses:

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 391,210.00	\$ 61,911.00			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 329,299.00	\$ 61,911.00			
Expenditures			\$ 329,299.00	\$ 61,911.00			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -			
Net Total Transfers			\$ -	\$ -			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 Sex Assault Services Program
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-19-216

Intended Purpose:

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. FY 2018 grant ends 7/31/2021.

Current Program Activities/Allowable Expenses:

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 386,396.00	\$ 106,858	\$ 389,751	\$ 389,751
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ 279,538.00	\$ 106,858	\$ 389,751	\$ 389,751
Expenditures				\$ 279,538.00	\$ 106,858	\$ 389,751	\$ 389,751
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -	\$ -	\$ -
Net Total Transfers				\$ -	\$ -	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 Coverdell National Forensic Science Improvement Act
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-18-228

Intended Purpose:

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 12/31/2018.

Current Program Activities/Allowable Expenses:

Funds have been subgranted to the Honolulu Department of the Medical Examiner, Honolulu Police Department, and Hawaii Police Department.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 108,332.00	\$ 90,929.38	\$ 4,115.95			
Beginning Cash Balance		\$ -	\$ -	\$ -			
Revenues		\$ 17,402.62	\$ 86,813.43	\$ 500.06			
Expenditures		\$ 16,487.26	\$ 86,813.43	\$ 500.06			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
R18115/JM 4501 -- 3/1/2018		\$ (915.36)	\$ -	\$ -			
Net Total Transfers		\$ (915.36)	\$ -	\$ -			
Ending Cash Balance		\$ -	\$ -	\$ -			
Encumbrances		\$ -	\$ -	\$ -			
Unencumbered Cash Balance		\$ -	\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 Coverdell National Forensic Science Improvement Act
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-19-228

Intended Purpose:

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. The FY 2018 Coverdell Grant ends 6/30/2021.

Current Program Activities/Allowable Expenses:

Funds have been subgranted to the Honolulu, Maui, Kauai, Hawaii Police Departments and the Department of Public Safety.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 271,960.00	\$ 267,424.84	\$ 26,939		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ 4,535.16	\$ 240,485.50	\$ 26,939		
Expenditures			\$ 4,535.16	\$ 219,174.01	\$ 26,939		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers			\$ -	\$ (21,311.49)	\$ -		
Net Total Transfers			\$ -	\$ (21,311.49)	\$ -		
Ending Cash Balance			\$ -	\$ -	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-19-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2020**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
JV20268	JS2595	12/12/2019	\$ (8,973.51)	18-CD-05
R20050	JS1417	10/01/2019	\$ 3,600.00	18-CD-05/8
R20069	JS1920	10/28/2019	\$ 16,000.00	18-CD-05/9
R20134	JS3311	01/28/2020	\$ 8,830.00	18-CD-05/12
R20157	JS4017	03/12/2020	\$ 355.00	18-CD-05/13
R20197	JS5198	05/29/2020	\$ 1,500.00	18-CD-05/16
			\$ 21,311.49	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 Coverdell National Forensic Science Improvement Act
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-20-228

Intended Purpose:

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. The FY 2019 Coverdell Grant ends 12/31/2021.

Current Program Activities/Allowable Expenses:

Funds have been subgranted to the Honolulu, Kauai, and Hawaii Police Departments.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 272,275.00	\$ 272,189	\$ 272,275	\$ 272,275
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ 85.76	\$ 272,189	\$ 272,275	\$ 272,275
Expenditures				\$ 85.76	\$ 272,189	\$ 272,275	\$ 272,275
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -	\$ -	\$ -
Net Total Transfers				\$ -	\$ -	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 John R. Justice Grant Program
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-19-257

Intended Purpose:

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2018 JRJ grant ended 9/30/2020.

Current Program Activities/Allowable Expenses:

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 33,058.00	\$ 32,946.00	\$ 32,902	\$ 32,977	\$ 32,977
Beginning Cash Balance			\$ -	\$ -	\$ -	\$ -	\$ -
Revenues			\$ -	\$ 32,945.39	\$ 32,902	\$ 32,977	\$ 32,977
Expenditures			\$ -	\$ 32,700.00	\$ 32,902	\$ 32,977	\$ 32,977
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
R20074/JM 2654 -- 11/15/2019			\$ -	\$ (245.39)	\$ -	\$ -	\$ -
Net Total Transfers			\$ -	\$ (245.39)	\$ -	\$ -	\$ -
Ending Cash Balance			\$ -	\$ (0.00)	\$ -	\$ -	\$ -
Encumbrances			\$ -	\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance			\$ -	\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 SORNA Reallocation Project
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-19-260 (unearned acct)

Intended Purpose:

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2018 SORNA ends 7/31/2021.

Current Program Activities/Allowable Expenses:

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ -	\$ -	\$ -	\$ -
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ 1,234.00	\$ 46,635	\$ 43,061	\$ 43,061
Expenditures				\$ -	\$ -	\$ -	\$ -
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers							
R201234/JS3311 - 1/28/20				\$ (705.00)	\$ (46,635)	\$ (43,061)	\$ (43,061)
R20149/JS3831 - 2/28/20				\$ (529.00)			
Net Total Transfers				\$ (1,234.00)	\$ (46,635)	\$ (43,061)	\$ (43,061)
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Hawaii HIDTA Award
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-18-262

Intended Purpose:

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

Source of Revenues:

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. FY 2017 HIDTA Grant ended 12/31/2018.

Current Program Activities/Allowable Expenses:

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 847,400.00	\$ 437,425.64	\$ 22,282.56			
Beginning Cash Balance		\$ -					
Revenues		\$ 409,974.36	\$ 415,146.26	\$ 35.87			
Expenditures		\$ 407,956.92	\$ 415,143.08				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers		\$ (2,017.44)	\$ (3.18)				
Cash Transfers				\$ (35.87)			
Net Total Transfers		\$ (2,017.44)	\$ (3.18)	\$ (35.87)			
Ending Cash Balance		\$ 0.00	\$ (0.00)	\$ -			
Encumbrances		\$ -	\$ -	\$ -			
Unencumbered Cash Balance		\$ 0.00	\$ (0.00)	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-18-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18075	JM2741	12/01/17	\$ 234.00	G17HI0007A
R18075	JM2741	12/01/17	\$ 961.94	G17HI0007A
R18178	JM6133	05/15/18	\$ 18.00	G17HI0007A
R18178	JM6133	05/15/18	\$ 40.00	G17HI0007A
R18178	JM6133	05/15/18	\$ 102.00	G17HI0007A
R18178	JM6133	05/15/18	\$ 310.75	G17HI0007A
R18187	JM6494	05/31/18	\$ 40.00	G17HI0007A
R18187	JM6494	05/31/18	\$ 310.75	G17HI0007A
			\$ 2,017.44	

**S-18-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
JV Transfers
Fiscal Year 2019**

Dept. No.	Comptroller's	JV Date	Amount	Description/Project
R19152	JS4364	04/08/19	3.18	G18HI0007A

**S-18-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
Cash Transfers out of the Funds
Fiscal Year 2020**

Dept. No.	Comptroller's	JV Date	Amount	Description/Project
R20063	JS1605	10/11/2019	\$ 3.81	Interest
R20073	JS2082	11/07/2019	\$ 28.08	Interest
R20087	JS2325	11/26/2019	\$ 3.98	Interest
			\$ 35.87	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Hawaii HIDTA Award
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-19-262

Intended Purpose:

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

Source of Revenues:

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. FY 2018 HIDTA Grant ended 12/31/2019.

Current Program Activities/Allowable Expenses:

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 887,362.00	\$ 454,651.57			
Beginning Cash Balance			\$ -	\$ 0.00			
Revenues			\$ 432,811.09	\$ 421,848.79			
Expenditures			\$ 432,811.09	\$ 421,828.22			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers			\$ -	\$ (20.57)			
Net Total Transfers			\$ -	\$ (20.57)			
Ending Cash Balance			\$ 0.00	\$ 0.00			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ 0.00	\$ 0.00			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-19-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
JV Transfers Out
Fiscal Year 2019**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R19128	JM4204	02/13/19	\$ 8.16	G18HI0007A
R19164	JM5840	04/25/19	\$ 3.18	G18HI0007A
			\$ 11.34	

**S-19-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
JV Transfers In
Fiscal Year 2019**

Dept. No.	Comptroller's	JV Date	Amount	Description/Project
R19107	JS3262	01/29/19	\$ 8.16	G17HI0007A
R19152	JS4364	04/08/19	\$ 3.18	G18HI0007A
			\$ 11.34	

**S-19-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
Fiscal Year 2020**

Cash Transfers out of the Funds

Dept. No.	Comptroller's	JV Date	Amount	Description/Project
R20190	JS4842	05/08/20	\$ 26.91	Interest
R20199	JS5128	05/22/20	\$ 7.59	Interest
R20215	JS5784	06/29/20	\$ 21.94	Interest
			\$ 56.44	

Cash Transfers into the Funds

Dept. No.	Comptroller's	JV Date	Amount	Description/Project
R20063	JS1605	10/11/19	\$ 3.81	Interest
R20073	JS2082	11/07/19	\$ 28.08	Interest
R20087	JS2325	11/26/19	\$ 3.98	Interest
			\$ 35.87	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Hawaii HIDTA Award
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-20-262

Intended Purpose:

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

Source of Revenues:

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. FY 2019 HIDTA Grant ends 12/31/2020.

Current Program Activities/Allowable Expenses:

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 853,900.00	\$ 424,527	\$ 1,152,027	\$ 1,152,027
Beginning Cash Balance				\$ -	\$ 49	\$ -	\$ -
Revenues				\$ 429,365.73	\$ 424,527	\$ 961,072	\$ 961,072
Expenditures				\$ 429,373.32	\$ 424,576	\$ 961,072	\$ 961,072
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers in				\$ 56.44	\$ -	\$ -	\$ -
Net Total Transfers				\$ 56.44	\$ -	\$ -	\$ -
Ending Cash Balance				\$ 48.85	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ 48.85	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-20-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
Cash Transfers into the Funds
Fiscal Year 2020**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R20199	JS5128	05/22/20	\$ 26.91	Interest
R20199	JS5128	05/22/20	\$ 7.59	Interest
R20215	JS5784	06/29/20	\$ 21.94	Interest
			\$ 56.44	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 PREA Reallocation Funds
 Legal Authority: FY 14 (BJA-PREA Reallocation) 42 USC 3750
et seq.; 42 USC 15607(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-19-505

Intended Purpose:

Reallocation of penalized funds from the FY 2016 Edward Byrne Memorial Justice Assistance Grant (JAG) Program - State Formula Funds. Funds are to be used to assist Hawaii to achieve full compliance with the Prison Rape Elimination Act (PREA).

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2018 PREA grant ends 5/31/2021.

Current Program Activities/Allowable Expenses:

Funds will be subgranted to the Department of Public Safety to support their efforts towards Hawaii's PREA compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 24,363.00	\$ 23,318	\$ 21,531	\$ 21,531
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ 8,100.00	\$ 23,318	\$ 21,531	\$ 21,531
Expenditures				\$ -	\$ -	\$ -	\$ -
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
R20150/JS3832 - 2/28/20				\$ (4,100.00)	\$ (23,318)	\$ (21,531)	\$ (21,531)
R20166/JS4297 - 4/1/20				\$ (4,000.00)			
Net Total Transfers				\$ (8,100.00)	\$ (23,318)	\$ (21,531)	\$ (21,531)
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Hawaii SAKI
 Legal Authority: Public Law No. 114-113, 129 STAT 2242, 2308

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-17-512

Intended Purpose:

The purpose of this grant is to support multi-disciplinary community response teams engaged in the comprehensive reform of jurisdictions' approaches to sexual assault cases resulting from evidence found in previously unsubmitted sexual assault evidence kits.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2020.

Current Program Activities/Allowable Expenses:

Creation of coordinated community response that ensures just resolution to these cases whenever possible through a victim-centered approach, as well as to build capacity to prevent the development of conditions that lead to high numbers of unsubmitted sexual assault kits in the future. Funding for a full-time Sexual Assault Kit Initiative Coordinator, testing of unsubmitted sexual assault kits, support for the Hawaii SAKI Team, and training for law enforcement and victim service providers.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 2,000,000.00	\$ 1,976,025.87	\$ 1,585,566.94	\$ 969,892.57	\$ 819,925		
Beginning Cash Balance	\$ -	\$ -	\$ (0.00)	\$ -	\$ -		
Revenues	\$ 23,974.13	\$ 390,458.93	\$ 615,674.37	\$ 150,596.70	\$ 819,925		
Expenditures	\$ 22,192.93	\$ 388,865.64	\$ 594,373.37	\$ 150,596.70	\$ 819,925		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers	\$ (1,781.20)	\$ (1,593.29)	\$ (21,301.00)	\$ -	\$ -		
Net Total Transfers	\$ (1,781.20)	\$ (1,593.29)	\$ (21,301.00)	\$ -	\$ -		
Ending Cash Balance	\$ -	\$ (0.00)	\$ -	\$ -	\$ -		
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance	\$ -	\$ (0.00)	\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-17-512-N Hawaii Sexual Assault Kit Initiative (HI SAKI)
JV Transfers
Fiscal Year 2017**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17109	JM5153	03/30/17	\$ 1,581.20	16-AK-Admin
R17123	JM5593	04/17/17	\$ 200.00	16-AK-Admin
			\$ 1,781.20	

**S-17-512-N Hawaii Sexual Assault Kit Initiative (HI SAKI)
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18045	JM1467	10/02/17	\$ 1,087.50	16-AK-Admin
R18113	JM4089	02/13/18	\$ 200.00	16-AK-Admin
R18153	JM5390	04/16/18	\$ 125.79	16-AK-Admin
R18153	JM5390	04/16/18	\$ 180.00	16-AK-Admin
			\$ 1,593.29	

**S-17-512-N Hawaii Sexual Assault Kit Initiative (HI SAKI)
JV Transfers
Fiscal Year 2019**

Dept. No.	Comptroller's	JV Date	Amount	Description/Project
R19011	JM0286	07/27/18	\$ 580.00	16-AK-Admin
R19011	JM0286	07/27/18	\$ 580.00	16-AK-Admin
R19057	JM1765	10/15/18	\$ 1,450.00	16-AK-Admin
R19057	JM1765	10/15/18	\$ 1,848.75	16-AK-Admin
R19182	JM6550	05/28/19	\$ 471.25	16-AK-Admin
R19183	JM6551	05/28/19	\$ 616.25	16-AK-Admin
R19183	JM6551	05/28/19	\$ 616.25	16-AK-Admin
R19183	JM6551	05/28/19	\$ 580.00	16-AK-Admin
R19192	JM7002	06/17/19	\$ 19.36	16-AK-Admin
R19204	JS5455	06/17/19	\$ 9,750.00	16-AK-05/4
R19221	JM7478	07/01/19	\$ 762.77	16-AK-Admin
R19222	JS5805	07/01/19	\$ 4,026.37	16-AK-05/5
			\$ 21,301.00	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 CJ
 Name of Fund: FY 2016 Hawaii NIBRS Program
 Legal Authority: Omnibus Crime Control & Safe Street Acts of 1968, as amended

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-17-513

Intended Purpose:

The purpose of this grant is to support the capacity of Hawaii's UCR program to report incident-based crime data to the FBI's National Incident-Based Reporting System (NIBRS).

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Statistics. Grant ended 9/30/2020.

Current Program Activities/Allowable Expenses:

Support the procurement of a state NIBRS repository system and training for state and local agency staff in working with the new repository software and processes.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 480,268.00	\$ 458,565.79	\$ 411,206.48	\$ 326,419		
Beginning Cash Balance		\$ -	\$ -	\$ -	\$ -		
Revenues		\$ 21,702.21	\$ 47,359.31	\$ 84,787.50	\$ 326,419		
Expenditures		\$ 21,702.21	\$ 47,359.31	\$ 84,787.50	\$ 326,419		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		\$ -	\$ -	\$ -	\$ -		
Net Total Transfers		\$ -	\$ -	\$ -	\$ -		
Ending Cash Balance		\$ -	\$ -	\$ -	\$ -		
Encumbrances		\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance		\$ -	\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 Project Safe Neighborhoods
 Legal Authority: PL 115-141, 132 Statt. 348, 420; Title I of PL 90-351

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-19-514

Intended Purpose:

The purpose of this grant is to create and foster safer neighborhoods through a sustained reduction in violent crime, including, but not limited to addressing criminal gangs and the felonious possession and use of firearms.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends 9/30/2021.

Current Program Activities/Allowable Expenses:

Subawards will be made to county police departments and prosecutor offices to support projects that address the felonious possession and use of firearms, prolific violent offenders, gangs or violent street groups, illegal drug markets, and geographic hot spots of concentrated criminal activity.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 146,006.00	\$ 143,997.51	\$ 134,509		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ 2,008.49	\$ 9,489.27	\$ 134,509		
Expenditures			\$ 2,008.49	\$ 9,489.27	\$ 134,509		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -	\$ -		
Net Total Transfers			\$ -	\$ -	\$ -		
Ending Cash Balance			\$ -	\$ -	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2018 VOCA Discretionary Training and TA
 Legal Authority: Omnibus Crime Control & Safe Streets Act of 1968, PL 90-35

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-19-515

Intended Purpose:

The goal of this program is to provide training and technical assistance to VOCA Victim Assistance service providers and others who work with crime victims.

Source of Revenues:

Federal discretionary grant received from the Department of Justice, Office for Victims of Crime. Grant ends 9/30/2021.

Current Program Activities/Allowable Expenses:

Activities may include, but are not limited to, the establishment or enhancement of state victim assistance academies, statewide training initiatives, crime victim-related conferences, basic training for new programs, or scholarships to attend conferences and/or training for service providers and others who work with victims of crime. A Hawaii State Training Committee has been established to assist in the development and implementation of the Hawaii State Victim Assistance Academy.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 161,866.00	\$ 161,866.00	\$ 161,866		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ -	\$ -	\$ 161,866		
Expenditures			\$ -	\$ -	\$ 161,866		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -	\$ -		
Net Total Transfers			\$ -	\$ -	\$ -		
Ending Cash Balance			\$ -	\$ -	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2020 Coronavirus Emergency Supplemental Funding
 Legal Authority: P.L. No. 116-136, Div. B; 28 USC 530C

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal Funds (P)
 Appropriation Acct. No.: S-20-516

Intended Purpose:

To provide funding to assist eligible states, local units of government and tribes in preventing, preparing for, and responding to the coronavirus.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends 1/31/2022.

Current Program Activities/Allowable Expenses:

Subawards will be made to state and local government agencies to assist in preventing, preparing for, and responding to the coronavirus.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ -	\$ 3,642,919	\$ 1,821,460	
Beginning Cash Balance				\$ -	\$ 3,642,919	\$ 1,821,460	
Revenues				\$ 3,642,919.00	\$ -	\$ -	
Expenditures				\$ -	\$ 1,821,459	\$ 1,821,460	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -	\$ -	
Net Total Transfers				\$ -	\$ -	\$ -	
Ending Cash Balance				\$ 3,642,919.00	\$ 1,821,460	\$ -	
Encumbrances				\$ -	\$ -	\$ -	
Unencumbered Cash Balance				\$ 3,642,919.00	\$ 1,821,460	\$ -	

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Federal Community Restitution
 Legal Authority: Federal Title II Mandatory Victims Restitution Act of the
Anti-Terrorism and Effective Death Penalty Act of 1996

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-912

Intended Purpose:

To support community efforts for a safe and drug free environment and to prevent further drug-related crimes from occurring.

Source of Revenues:

Federal community restitution for certain drug offenses in which there is no identifiable victim; 65% is distributed to the state entity that administers the crime victim assistance grant. CPJAD administers the crime victim assistance grant in Hawaii. It is difficult to predict the amount of restitution that will be received in future years.

Current Program Activities/Allowable Expenses:

Crime prevention efforts, including training and public awareness, to reduce drug crimes and to restore communities impacted by drug dealing and use.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Beginning Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356
Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Net Total Transfers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG100
 Name of Fund: HI Internet Crimes Against Children Task Force
 Legal Authority: 42 USC 17611-17617 (OJJDP-ICAC)

Contact Name: Justin Fukumoto
 Phone: 586-1533
 Fund type (MOF) Other Federal Fund - P
 Appropriation Acct. No. S-223N

Intended Purpose:

To increase the effectiveness and efficiency of investigations and prosecutions of Internet Crimes Against Children (ICAC) and to increase public awareness and prevention of ICAC offenses.

Source of Revenues: Federal grants

Current Program Activities/Allowable Expenses: Activities and expenses related to the enforcement of ICAC

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	255,460	255,460	270,089	667,121	1,325,482	941,473	557,464
Beginning Cash Balance	18,065	44,067	16,386	26,186	34,735	34,735	34,735
Revenues	254,721	219,574	196,962	502,215	384,009	384,009	384,009
Expenditures	228,719	247,255	187,162	493,666	384,009	384,009	384,009
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	44,067	16,386	26,186	34,735	34,735	34,735	34,735
Encumbrances							
Unencumbered Cash Balance	44,067	16,386	26,186	34,735	34,735	34,735	34,735

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: DNA Registry Special Fund
 Legal Authority: HRS §706-603

Contact Name: Justin Fukumoto
 Phone: 586-1533
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-305N

Intended Purpose:

Pursuant to HRS §706-603, moneys in the DNA registry special fund shall be used for DNA collection, DNA testing, and related costs of recording, preserving, and disseminating DNA information pursuant to chapter 844D.

Source of Revenues:

Pursuant to HRD §706-603, every defendant convicted of a felony offense shall be ordered to pay a monetary assessment of \$500 or the actual cost of the DNA analysis, whichever is less. The court may reduce the monetary assessment if the court finds, based on evidence presented by the defendant and not rebutted by the State, that the

Current Program Activities/Allowable Expenses:

Activities and expenses related to DNA collection, DNA testing, and recording, preserving, and disseminating DNA information pursuant to chapter 844D.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data								
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	
Appropriation Ceiling	76,436	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Beginning Cash Balance	649,806	632,037	650,156	326,107	593,578	106,178	118,778	118,778
Revenues	33,446	33,657	29,212	27,901	30,000	30,000	30,000	30,000
Expenditures	46,600	13,841	1,033	15,813	16,000	16,000	16,000	16,000
Transfers								
List each by JV# and date								
JV JS0282 - 7-30-2018			(350,743)					
(S-308 - Sexual Assault Response)								
JV JS0895 - 8-31-2019				257,309				
(S-308 - Sexual Assault Response)								
JV JS1210 - 9-23-2020								
(S-302 - Medicaid Fund)					(500,000)			
JV - transfer to B&F per Sec 36-37 and 36-30 HRS (Attachment A)	(4,615)	(1,697)	(1,485)	(1,926)	(1,400)	(1,400)	(1,400)	(1,400)
Net Total Transfers	(4,615)	(1,697)	(352,228)	255,383	(501,400)	(1,400)	(1,400)	(1,400)
Ending Cash Balance	632,037	650,156	326,107	593,578	106,178	118,778	131,378	131,378
Encumbrances	622,199							
Unencumbered Cash Balance	9,838	650,156	326,107	593,578	106,178	118,778	131,378	131,378

Additional Information:

Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

FY2016	JV#	Date	Appropriation			Total
			S305	S307	S326	
	JM6962	6/14/2015	273.04	105,056.86	5,632.38	110,962.28
			273.04	105,056.86	5,632.38	110,962.28

FY2017	JV#	Date	Appropriation			Total
			S305	S307	S326	
	JM6962	6/30/2016	-	-	9,412.27	9,412.27
	JM5227	3/31/2017	629.81	60,309.56	38,846.93	99,786.30
	JM7739	6/30/2017	1,528.69	21,732.17	24,605.80	47,866.66
	JM7740	6/30/2017	2,456.71	-	6,984.85	9,441.56
			4,615.21	82,041.73	79,849.85	166,506.79

FY2018	JV#	Date	Appropriation			Total
			S305	S307	S326	
	JM2931	12/11/2017	597.72	30,866.13	27,868.52	59,332.37
	JM7440	6/28/2018	1,099.41	-	56,060.13	57,159.54
			1,697.13	30,866.13	83,928.65	116,491.91

FY2019	JV#	Date	Appropriation			Total
			S305	S307	S326	
	JM3203	12/27/2018	257.32	28,144.43	15,892.18	44,293.93
	JM3864	1/29/2019	367.88	31,665.86	29,753.35	61,787.09
	JM6306	5/16/2019	405.92	31,243.61	20,741.25	52,390.78
	JM7645	6/30/2019	454.00	45,143.77	36,948.08	82,545.85
			1,485.12	136,197.67	103,334.86	241,017.65

FY2020	JV#	Date	Appropriation			Total
			S305	S307	S326	
	00JM2299	10/29/2019	324.94	37,733.93	9,165.63	44,293.93
	00JM4335	2/3/2020	425.62	28,240.25	9,106.19	61,787.09
	00JM6301	4/29/2020	852.56	29,017.82	-	52,390.78
	00JM8140	7/8/2020	322.94	41,189.03	-	82,545.85
			1,926.06	136,181.03	18,271.82	156,378.91

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Department of the Attorney General
 Prog ID(s): ATG100
 Name of Fund: Tobacco Enforcement Special Fund
 Legal Authority: §28-15 Haw. Rev. Stat.

Contact Name: _____
 Phone: (808) 586-1289
 Fund type (MOF) Special Fund (B)
 Appropriation Acct. No. S-307N

Intended Purpose: Administer, operate, monitor, and ensure compliance with and enforcement of : Cigarette Tax Stamping program as defined in Chapter 245, Haw. Rev. Stat. and the Master Settlement Agreement Chapter 675, Haw.Rev. Stat., Chapter 486P, Haw. Rev. Stat., and any other statutes or programs related to the fund.

Source of Revenues:

Tobacco Settlement Money in accord with the provisions of §328L-2(a) and Tax Stamp Fees in accord with §245-26, Haw. Rev. Stat.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,316,204	1,364,642	1,572,204	1,629,747	1,629,747	1,629,747	1,629,747
Beginning Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Revenues	2,188,819	2,099,769	1,913,872	1,860,920	1,950,000	1,900,000	1,850,000
Expenditures	1,417,321	1,306,720	1,525,664	1,423,285	1,600,000	1,600,000	1,600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV - Est transfers per Act52, SLH2004					(250,000)	(200,000)	(150,000)
JV - transfers per Act52,SLH2004	(638,738)	(762,183)	(252,010)	(275,737)			
JV - transfer to B&F per Sec 36-37 and 36-30 HRS (Attachment A)	(82,042)	(30,866)	(136,198)	(136,181)	(100,000)	(100,000)	(100,000)
Net Total Transfers	(720,780)	(793,049)	(388,208)	(411,918)	(350,000)	(300,000)	(250,000)
Ending Cash Balance	550,718	500,000	500,000	525,717	500,000	500,000	500,000
Encumbrances	50,718			25,717			
Unencumbered Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

<u>FY2016</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	JM6962	6/14/2015	273.04	105,056.86	5,632.38	110,962.28
			<u>273.04</u>	<u>105,056.86</u>	<u>5,632.38</u>	<u>110,962.28</u>

<u>FY2017</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	JM6962	6/30/2016	-	-	9,412.27	9,412.27
	JM5227	3/31/2017	629.81	60,309.56	38,846.93	99,786.30
	JM7739	6/30/2017	1,528.69	21,732.17	24,605.80	47,866.66
	JM7740	6/30/2017	2,456.71	-	6,984.85	9,441.56
			<u>4,615.21</u>	<u>82,041.73</u>	<u>79,849.85</u>	<u>166,506.79</u>

<u>FY2018</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	JM2931	12/11/2017	597.72	30,866.13	27,868.52	59,332.37
	JM7440	6/28/2018	1,099.41	-	56,060.13	57,159.54
			<u>1,697.13</u>	<u>30,866.13</u>	<u>83,928.65</u>	<u>116,491.91</u>

<u>FY2019</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	JM3203	12/27/2018	257.32	28,144.43	15,892.18	44,293.93
	JM3864	1/29/2019	367.88	31,665.86	29,753.35	61,787.09
	JM6306	5/16/2019	405.92	31,243.61	20,741.25	52,390.78
	JM7645	6/30/2019	454.00	45,143.77	36,948.08	82,545.85
			<u>1,485.12</u>	<u>136,197.67</u>	<u>103,334.86</u>	<u>241,017.65</u>

<u>FY2020</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	00JM2299	10/29/2019	324.94	37,733.93	9,165.63	44,293.93
	00JM4335	2/3/2020	425.62	28,240.25	9,106.19	61,787.09
	00JM6301	4/29/2020	852.56	29,017.82	-	52,390.78
	00JM8140	7/8/2020	322.94	41,189.03	-	82,545.85
			<u>1,926.06</u>	<u>136,181.03</u>	<u>18,271.82</u>	<u>156,378.91</u>

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Notary Public Revolving Fund
 Legal Authority: HRS 456-9.5

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF): Revolving - W
 Appropriation Acct. No.: S-325 N

Intended Purpose:

The funds are used for personnel costs, the acquisition of equipment, operating and administrative costs, travel, and training to administer the notary public program.

Source of Revenues:

Fees charged to Notaries

Current Program Activities/Allowable Expenses:

There are approximately 7,946 Notaries currently regulated by the Notary Public Program. The program responds to countless inquiries from consumers, applicants, notaries, and those who work with notaries - banks, mortgage companies, lawyers, etc. The program also administers notary examinations, including flying to neighbor islands; processing notary commissions and renewals; investigates complaints about notaries; and maintains notary record books. The Notary Public Program is also in the process of drafting rules to facilitate a more thorough, comprehensive regulatory program for notaries; and seeks to computerize its thousands of records that are now manually kept on index cards.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	172,836	178,223	372,836	386,901	400,966	400,966	400,966
Beginning Cash Balance	63,271	174,660	252,993	339,869	439,844	539,844	639,844
Revenues	267,112	255,646	269,246	237,823	240,000	240,000	240,000
Expenditures	155,723	177,313	182,370	137,848	140,000	140,000	140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	174,660	252,993	339,869	439,844	539,844	639,844	739,844
Encumbrances							
Unencumbered Cash Balance	174,660	252,993	339,869	439,844	539,844	639,844	739,844

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Solicitation of Funds for Charitable Purposes Special Fund
 Legal Authority: Section 467B-15, Hawaii Revised Statutes

Contact Name: Gary Suganuma
 Phone: 586-1479
 Fund type (MOF) Special-B
 Appropriation Acct. No. S-326N

Intended Purpose The enforcement of the registration and annual reporting requirements for charitable organizations and professional fundraisers under chapter 467B, HRS, the investigation of unfair and deceptive charitable solicitation practices, and the enforcement thereof; and the development and maintenance of Internet registration, exemption processing, and reporting systems that provide for the dissemination of information to the public, and personnel position necessary to accomplish

Source of Revenues:

Current Program Activities/Allowable Activities and expenses related to the enforcement of chapter 467B, Hawaii Revised Statutes

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data						
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling	1,262,334	1,756,339	1,594,777	1,642,953	1,642,953	1,642,953
Beginning Cash Balance	1,813,870	1,697,322	1,876,794	2,071,464	2,062,016	1,762,016
Revenues	1,227,756	1,456,621	1,483,038	1,267,833	1,000,000	1,000,000
Expenditures	1,264,454	1,193,220	1,185,033	1,259,009	1,300,000	1,300,000
Transfers						
List each net transfer in/out/ or projection in/out; list each account number						
JV - transfer to B&F per Sec 36-37 and 36-30 HRS (Attachment A)	(79,850)	(83,929)	(103,335)	(18,272)	0	0
Net Total Transfers	(79,850)	(83,929)	(103,335)	(18,272)	0	0
Ending Cash Balance	1,697,322	1,876,794	2,071,464	2,062,016	1,762,016	1,462,016
Encumbrances	49,097	176,055	49,949	103,682		
Unencumbered Cash Balance	1,648,225	1,700,739	2,021,515	1,958,334	1,762,016	1,462,016

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

<u>FY2016</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	JM6962	6/14/2015	273.04	105,056.86	5,632.38	110,962.28
			<u>273.04</u>	<u>105,056.86</u>	<u>5,632.38</u>	<u>110,962.28</u>

<u>FY2017</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	JM6962	6/30/2016	-	-	9,412.27	9,412.27
	JM5227	3/31/2017	629.81	60,309.56	38,846.93	99,786.30
	JM7739	6/30/2017	1,528.69	21,732.17	24,605.80	47,866.66
	JM7740	6/30/2017	2,456.71	-	6,984.85	9,441.56
			<u>4,615.21</u>	<u>82,041.73</u>	<u>79,849.85</u>	<u>166,506.79</u>

<u>FY2018</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	JM2931	12/11/2017	597.72	30,866.13	27,868.52	59,332.37
	JM7440	6/28/2018	1,099.41	-	56,060.13	57,159.54
			<u>1,697.13</u>	<u>30,866.13</u>	<u>83,928.65</u>	<u>116,491.91</u>

<u>FY2019</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	JM3203	12/27/2018	257.32	28,144.43	15,892.18	44,293.93
	JM3864	1/29/2019	367.88	31,665.86	29,753.35	61,787.09
	JM6306	5/16/2019	405.92	31,243.61	20,741.25	52,390.78
	JM7645	6/30/2019	454.00	45,143.77	36,948.08	82,545.85
			<u>1,485.12</u>	<u>136,197.67</u>	<u>103,334.86</u>	<u>241,017.65</u>

<u>FY2020</u>	<u>JV#</u>	<u>Date</u>	<u>Appropriation</u>			<u>Total</u>
			<u>S305</u>	<u>S307</u>	<u>S326</u>	
	00JM2299	10/29/2019	324.94	37,733.93	9,165.63	44,293.93
	00JM4335	2/3/2020	425.62	28,240.25	9,106.19	61,787.09
	00JM6301	4/29/2020	852.56	29,017.82	-	52,390.78
	00JM8140	7/8/2020	322.94	41,189.03	-	82,545.85
			<u>1,926.06</u>	<u>136,181.03</u>	<u>18,271.82</u>	<u>156,378.91</u>

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG100
 Name of Fund: Internet Crimes Against Children Special Fund
 Legal Authority: Section 846F-4

Contact Name: Justin Fukumoto
 Phone: 586-1533
 Fund type (MOF) Special Fund - B
 Appropriation Acct. No. S-350N

Intended Purpose: To collect court ordered fees for use in the Internet Crimes Against Children (ICAC) program.

Source of Revenues: Court ordered fees of up to \$100 for every defendant for each felony or misdemeanor conviction.

Current Program Activities/Allowable Expenses: Activities and expenses related to the enforcement of ICAC

Purpose of Proposed Ceiling Adjustment (if applicable): Requesting increase in ceiling to spend down increasing revenues

Variances: Variance from FY18, FY19 and FY20 for revenues is due to increase in fee collections.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	25,000	25,000	25,000	60,000	60,000
Beginning Cash Balance	175	20,086	69,619	126,282	205,841	260,841	280,841
Revenues	19,911	49,533	81,663	104,559	80,000	80,000	80,000
Expenditures	0	0	25,000	25,000	25,000	60,000	60,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	20,086	69,619	126,282	205,841	260,841	280,841	300,841
Encumbrances							
Unencumbered Cash Balance	20,086	69,619	126,282	205,841	260,841	280,841	300,841

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Litigation Settlement Clearance Account
 Legal Authority: Comptroller's Approval

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-901N

Intended Purpose:

This account was established in 1985 to serve as a clearing account to facilitate the holding and timely disbursement of funds for settlements in litigation cases involving the State and other co-defendants.

Source of Revenues:

Settlements for the State and in certain cases, the co-defendants may prefer to provide the State with funds that are deposited in this account to be used to settle the case.

Current Program Activities/Allowable Settlements and/or intended settlement amounts provided by co-defendants.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	618,000	618,000	618,000	618,000	618,000	618,000	618,000
Beginning Cash Balance	119,553	119,553	119,868	120,923	123,041	123,141	123,241
Revenues	0	315	1,055	2,118	100	100	100
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	119,553	119,868	120,923	123,041	123,141	123,241	123,341
Encumbrances							
Unencumbered Cash Balance	119,553	119,868	120,923	123,041	123,141	123,241	123,341

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Temporary Deposits - Payroll Overpayment
 Legal Authority: Comptroller's Approval

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-907N

Intended Purpose:

This trust fund account was created to account semi-monthly employee salary overpayments. Employees are provided an opportunity to either request a hearing to determine the actual salary overpayment amount or choose a repayment plan option

Source of Revenues:

Reimbursements/collections of salary overpayments

Current Program Activities/Allowable Activities and expenses related to employee salary overpayments

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data								
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	
Appropriation Ceiling	0	0	0	0	0	0	0	0
Beginning Cash Balance	13,463	7,968	13,538	13,538	13,538	13,638	13,738	13,738
Revenues	722	5,570	0	0	100	100	100	100
Expenditures	6,217	0	0	0	0	0	0	0
Transfers								
List each by JV# and date								
Net Total Transfers								
Ending Cash Balance	7,968	13,538	13,538	13,538	13,638	13,738	13,838	13,838
Encumbrances								
Unencumbered Cash Balance	7,968	13,538	13,538	13,538	13,638	13,738	13,838	13,838

Additional Information:

Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Antitrust Trust fund
 Legal Authority: HRS §28-13

Contact Name: Rodney I. Kimura
 Phone: 586-1180
 Fund type (MOF): Trust - T
 Appropriation Acct. No. T-908N

Intended Purpose:

To fund expenditures relating to the enforcement of the antitrust laws, including but not limited to expenditures for training, equipment purchases, educational resources, and facilitating participation in antitrust lawsuits and investigations initiated

Source of Revenues:

Ten percent of any antitrust judgment or settlement received by the State except where the deposit is inconsistent with the court order or settlement agreement relating to the amount.

Current Program Activities/Allowable Expenses:

See intended purpose.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	72,504	0	0	0	0	0	0
Beginning Cash Balance	47,544	385,309	246,970	219,160	166,769	233,769	170,769
Revenues	339,013	1,021,466	43	149,083	130,000	0	0
Expenditures	1,248	5,497	27,853	67,299	63,000	63,000	63,000
Transfers							
List each by JV# and date							
JV JM2733							
JV JM2428 dtd 11/14/2019 (To State Treasury)				(134,175)			
JV (9 Various JVs)		(1,154,308)					
Net Total Transfers	0	(1,154,308)	0	(134,175)	0	0	0
Ending Cash Balance	385,309	246,970	219,160	166,769	233,769	170,769	107,769
Encumbrances							
Unencumbered Cash Balance	385,309	246,970	219,160	166,769	233,769	170,769	107,769

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

The Antitrust Trust Fund was established to provide a measure of resources to facilitate the enforcement of the antitrust laws. One of the most important functions of the trust fund is to provide a means for promoting and facilitating the State's participation in multistate antitrust lawsuits and investigations. The fund is also used to cover other expenses relating to antitrust enforcement such as training, equipment purchases, and educational resources.

Report on Non-General Fund Information
for Submittal to the 2020 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Community Safety Program
 Legal Authority: Act 6 SLH 2019, Section 3

Contact Name: David T. Moore
 Phone: 586-1289
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-917N

Intended Purpose:
 To fund expenditures relating to the Thirty Meter Telescopes (TMT) in Mauna Kea, HI

Source of Revenues:
 Act 6 SLH 2019, Section 3

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data								
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023	
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	
Appropriation Ceiling								
Beginning Cash Balance				15,000,000	416,252	400,107	400,107	
Revenues				0	0	0	0	
Expenditures				2,190,450	16,145	0	0	
Transfers								
JV's (various Different Counties & State Agencies) see attached				(12,393,298)				
Net Total Transfers	0	0	0	(12,393,298)	0	0	0	
Ending Cash Balance	0	0	0	416,252	400,107	400,107	400,107	
Encumbrances								
Unencumbered Cash Balance	0	0	0	416,252	400,107	400,107	400,107	

Additional Information:

Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

FY20

CITY AND COUNTY OF HONOLULU	134,763.17
COUNTY DIRECTOR OF FINANCE, HAWAII POLICE DEPT.	70.00
COUNTY OF HAWAII	5,083,008.05
COUNTY OF MAUI	86,330.57
DLNR	1,761,616.58
DOD	3,544,153.25
DOT	425,645.81
DPS	1,357,710.39
	<hr/>
	12,393,297.82

ATG Payroll FY20: 781,024.00
ATG Payroll FY21: 16,145.00

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Attorney General
 Prog ID(s): ATG-100
 Name of Fund: Criminal Forfeiture Revolving Fund
 Legal Authority: §712-16(4), HRS

Contact Name: Kern Nishioka
 Phone: 586-1383
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-320-N

Intended Purpose:

To serve an operating account for the Asset Forfeiture Program

Source of Revenues:

Property and currency forfeited under §712A (HRS)

Current Program Activities/Allowable Expenses:

Expenses involved to seize, maintain, and facilitate final disposition of forfeited property; supplemental funds allowed for law enforcement use, e.g. training.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	529,277	284,931	275,329	328,694	479,987	569,987	659,987
Revenues	41,004	40,254	34,195	33,579	40,000	40,000	40,000
Expenditures	499,464	559,106	533,891	523,754	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Refer to Attachment A - Transfer In	522,821	509,250	553,061	641,468	550,000	550,000	550,000
Refer to Attachment B - Transfer out							
Net Total Transfers	522,821	509,250	553,061	641,468	550,000	550,000	550,000
Ending Cash Balance	593,638	275,329	328,694	479,987	569,987	659,987	749,987
Encumbrances							
Unencumbered Cash Balance	593,638	275,329	328,694	479,987	569,987	659,987	749,987

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S20-320 Attachment A (Transfer out)

Dept	Div	Pgm ID	MOF	Appn Type	Trans Code	F-FY-ACCT	Srce Code	CC	Amount	Doc Type	Doc No	Opt Data	FY/FM	Proc Date	Trans ID
N	02	ATG100	W	2	805	S-20-320	1653	0CRD	271,643.92	JV	00JM0622		202002	8/14/2019	ZZT190814399000200
N	02	ATG100	W	2	805	S-20-320	1653	0CRD	160,018.28	JV	00JM3460	TRANSFER FROM	202006	12/19/2019	ZZT191219084000040
N	02	ATG100	W	2	805	S-20-320	1653	0CRD	189,682.00	JV	00JM4457	TRANSFER FROM	202008	2/6/2020	ZZT200206652000290
N	02	ATG100	W	2	805	S-20-320	1653	0CRD	20,124.00	JV	00JM8051	TRANSFER FROM	202012	7/2/2020	ZZT200702642000060

641,468.20 Transfer from T-906

N	02	ATG100	W	2	11	S-20-320	1653	0CRD	33,579.14	JV	00JM7612	TRANSFER TO S-	202012	6/19/2020	ZZT200619418000350
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33,579.14 Revenue (net amount from Judicial decision)

675,047.34 Total

Department: Attorney General
 Prog ID(s): ATG-100
 Name of Fund: Seized Funds - Final Disposition Pending Account
 Legal Authority: Chapter 712A, HRS

Contact Name: Kern Nishioka
 Phone: 586-1383
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-906-N

Intended Purpose:
 Trust account for deposits pending a forfeiture petition
 Source of Revenues:
 Seized funds from various law enforcement agencies
 Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	475,549	599,346	920,813	1,020,983	696,355	821,355	946,355
Revenues	348,592	847,784	674,264	343,801	700,000	700,000	700,000
Expenditures	10,680	17,067	21,033	21,828	25,000	25,000	25,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Refer to Attachment A - Transfer Out	(214,115)	(509,250)	(553,061)	(646,601)	(550,000)	(550,000)	(550,000)
Net Total Transfers	(214,115)	(509,250)	(553,061)	(646,601)	(550,000)	(550,000)	(550,000)
Ending Cash Balance	599,346	920,813	1,020,983	696,355	821,355	946,355	1,071,355
Encumbrances							
Unencumbered Cash Balance	599,346	920,813	1,020,983	696,355	821,355	946,355	1,071,355

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

T20-906 Attachment A (Transfer Out)

Dept	Pgm ID	MOF	Appn Type	Trans Code	F-FY-ACCT	Obj code	CC	Amount	Enc No/Sfx	Vendor No	Vendor Name	Invoice N	Inv Date	Check Da	Check No	Doc Type	Comp No	Dept No	Doc No	Opt Data	FY/FM	nc F	Proc Date	Trans ID
N	ATG100	T	2	804	T-20-906	9000	0CRD	\$271,643.92								JV			00JM0622		202002	2	8/14/2019	ZZT190814399000190
N	ATG100	T	2	562	T-20-906	6800	0CRD	-\$5,133.00								JV			00JM1619	TRANSFER FROM S-320	202004	4	10/3/2019	ZZT191003070000070
N	ATG100	T	2	562	T-20-906	6800	0CRD	\$5,133.00								JV			00JM2228	TRANSFER FROM S-320	202004	4	10/25/2019	ZZT191025361000150
N	ATG100	T	2	561	T-20-906	6800	0CRD	\$5,133.00								JV			00JM2228	PYMNT TRANSFR TO S-320	202004	4	10/25/2019	ZZT191025361000170
N	ATG100	T	2	804	T-20-906	9000	0CRD	\$160,018.28								JV			00JM3460	TRANSFER TO S-20-320	202006	6	12/19/2019	ZZT191219084000030
N	ATG100	T	2	804	T-20-906	9000	0CRD	\$189,682.00								JV			00JM4457	TRANSFER TO S-20-320	202008	8	2/6/2020	ZZT200206652000280
N	ATG100	T	2	804	T-20-906	9000	0CRD	\$20,124.00								JV			00JM8051	TRANSFER TO S-20-320	202012	12	7/2/2020	ZZT200702642000050

\$646,601.20 Transfer to 320

N	ATG100	T	2	221	T-20-906	6800	0CRD	\$21,828.00	00093719-	0000205926	HONOLULU POLIC	RETURN	6/24/2020	6/26/2020	T0016741	VP	3527	220843		6/6/20; AG NO 20-08968	202012	12	6/26/2020	N L200626578000010
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\$21,828.00 Return

\$668,429.20 Total

T20-906 Revenue

Dept	Pgm ID	MOF	Appn Typ	Trans Cod	F-FY-ACC	Srce Code	CC	Amount	Doc Type	Doc No	Opt Data	FY/FM	Proc Date	Trans ID
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,553.00	JV	00JT0083	19-11411 FREIT	202001	7/20/2019	ZZT190719135000290
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$319.00	JV	00JT0083	18-16370 ROBLE	202001	7/20/2019	ZZT190719135000330
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$7,633.00	JV	00JT0083	19-11426 BALAN	202001	7/20/2019	ZZT190719135000280
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,160.00	JV	00JT0083	19-06330 LOVEL	202001	7/20/2019	ZZT190719135000320
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,089.00	JV	00JT0083	19-06843 KINOS	202001	7/20/2019	ZZT190719135000310
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$143.00	JV	00JT0083	18-18552 LEGAS	202001	7/20/2019	ZZT190719135000300
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$13,093.00	JV	00JT0083	18-16370 ROBLE	202001	7/20/2019	ZZT190719135000340
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$11,688.00	TR	300442	19-07506 WILLI	202001	7/26/2019	ZZA190726133000120
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$644.00	TR	300442	19-08798 SHIMO	202001	7/26/2019	ZZA190726133000110
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$7,840.00	TR	300773	19-13308 COOPE	202002	8/12/2019	ZZA190812260000040
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,688.00	TR	300773	19-13257 AWAI	202002	8/12/2019	ZZA190812260000060
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,300.00	TR	300773	19-13263 SNIFF	202002	8/12/2019	ZZA190812260000050
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$16,962.00	TR	300773	19-13482 RODRI	202002	8/12/2019	ZZA190812260000070
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$15,755.00	TR	300998	19-16010 ENCAR	202002	8/22/2019	ZZA190822351000230
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,655.00	TR	300999	19-03475 COSTA	202002	8/22/2019	ZZA190822351000250
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,200.00	TR	300999	19-14021 KAILI	202002	8/22/2019	ZZA190822351000240
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$799.00	TR	300998	19-15933 SPANN	202002	8/22/2019	ZZA190822351000220
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,400.00	TR	301252	19-15967 FALCE	202002	9/4/2019	ZZA190904439000020
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$14,700.00	TR	301252	19-15941 ELLIO	202002	9/4/2019	ZZA190904439000040
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$10,773.00	TR	301252	19-15948 COTTE	202002	9/4/2019	ZZA190904439000030
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$3,200.00	TR	301252	19-16002 FALCE	202002	9/4/2019	ZZA190904439000050
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$7,305.00	TR	301443	19-16671 CRAME	202003	9/12/2019	ZZA190912509000310
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$9,175.00	TR	301681	19-11345 KEKAU	202003	9/23/2019	ZZA190923607000070
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$917.00	TR	301681	19-04918 LAM,	202003	9/23/2019	ZZA190923607000110
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$3,840.00	TR	301681	19-08309 EMORY	202003	9/23/2019	ZZA190923607000050
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$993.00	TR	301681	19-09752 LEORN	202003	9/23/2019	ZZA190923607000090
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$300.00	TR	301681	19-08309 EMORY	202003	9/23/2019	ZZA190923607000060
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,080.00	TR	301681	19-11487 YAP,	202003	9/23/2019	ZZA190923607000100
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$3,000.00	TR	301681	19-12403 MCCRE	202003	9/23/2019	ZZA190923607000080
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,035.00	TR	301681	19-08487 KAWEL	202003	9/23/2019	ZZA190923607000120
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$2,310.00	TR	301878	19-17215 SETZC	202003	10/2/2019	ZZA191002671000110
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$4,916.00	TR	301878	19-16649 BROWN	202003	10/2/2019	ZZA191002671000150
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,820.00	TR	301878	19-17252 KEALO	202003	10/2/2019	ZZA191002671000130
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$2,768.00	TR	301878	19-17291 MAKUA	202003	10/2/2019	ZZA191002671000140
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$3,023.00	TR	301878	19-17238 APO,	202003	10/2/2019	ZZA191002671000120
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,060.00	TR	302436	19-18513 FEATH	202004	10/25/2019	ZZA191025880000370
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$3,345.00	TR	302436	19-17303 BAYLO	202004	10/25/2019	ZZA191025880000380
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$13,073.00	TR	302517	19-19740 CHASE	202004	10/31/2019	ZZA191031911000350
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$5,508.41	TR	302517	19-19677 TEMPL	202004	10/31/2019	ZZA191031911000340
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$4,023.00	TR	302957	19-17608 GASAW	202005	11/21/2019	ZZA191121070000340
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$2,584.00	TR	303557	19-22182 SCHIL	202006	12/19/2019	ZZA191219296000180
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,946.00	TR	303557	19-09697 STEEN	202006	12/19/2019	ZZA191219296000170
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$7,613.00	TR	303649	19-13177 CHEN,	202006	12/23/2019	ZZA191223316000200
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,289.00	TR	303649	19-11498 TENG,	202006	12/23/2019	ZZA191223316000190
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$6,054.00	TR	303649	19-10132 AIPOL	202006	12/23/2019	ZZA191223316000210
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$680.00	TR	303649	18-14506 JAVIE	202006	12/23/2019	ZZA191223316000230
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$6,065.00	TR	303649	19-13187 ATALI	202006	12/23/2019	ZZA191223316000180
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$7,133.00	TR	303649	19-13230 LI, X	202006	12/23/2019	ZZA191223316000220

T20-906 Revenue

Dept	Pgm ID	MOF	Appn Typ	Trans Cod	F-FY-ACC	Srcce Code	CC	Amount	Doc Type	Doc No	Opt Data	FY/FM	Proc Date	Trans ID
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$230.00	TR	304355	19-08837 AH YE	202007	1/27/2020	ZZA200127570000160
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$24,100.00	TR	304355	19-22235 PAULO	202007	1/27/2020	ZZA200127570000200
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$4,259.00	TR	304355	19-17554 COOK,	202007	1/27/2020	ZZA200127570000180
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$4,095.00	TR	304355	19-11473 RAMIR	202007	1/27/2020	ZZA200127570000170
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,576.00	TR	304355	19-22852 GIBSO	202007	1/27/2020	ZZA200127570000210
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$8,065.00	TR	304354	19-25244 RAGAS	202007	1/27/2020	ZZA200127570000150
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$24,931.00	TR	304355	19-19781 ALVAR	202007	1/27/2020	ZZA200127570000190
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$609.50	TR	304428	HCJDC DEPOSIT	202007	1/29/2020	ZZA200129585000050
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$6,585.00	TR	304427	19-204097 HE,	202007	1/29/2020	ZZA200129585000030
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,776.00	TR	304427	19-186304 HUYN	202007	1/29/2020	ZZA200129585000040
N	ATG100	T	2	11	T-20-906	1653	0CRD	-\$609.50	JV	00JM4525	TDR #304428 /	202008	2/10/2020	ZZT200210696000090
N	ATG100	T	2	805	T-20-906	0288		\$5,741.43	JV	00JM6111		202010	4/22/2020	ZZT200422563000240
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,104.00	TR	306247	19-24279 SAKAM	202010	4/24/2020	ZZA200424223000170
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$4,282.00	TR	306247	20-05577 LASWE	202010	4/24/2020	ZZA200424223000190
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$2,310.00	TR	306247	20-05589 LASWE	202010	4/24/2020	ZZA200424223000200
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$2,102.00	TR	306247	20-04357 YAMAS	202010	4/24/2020	ZZA200424223000180
N	ATG100	T	2	805	T-20-906	0288		\$3,991.16	JV	00JM6587		202011	5/12/2020	ZZT200512830000240
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$991.00	TR	306810	19-24300 ROBIN	202011	5/28/2020	ZZA200528454000030
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$915.00	TR	306810	20-04731 A MIL	202011	5/28/2020	ZZA200528454000070
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$1,798.00	TR	306810	20-05503 R SEG	202011	5/28/2020	ZZA200528454000110
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$518.00	TR	306810	19-25356 J FOU	202011	5/28/2020	ZZA200528454000050
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$3,142.00	TR	306810	20-05468 JAKE	202011	5/28/2020	ZZA200528454000090
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$2,300.00	TR	306810	19-23135 FLORE	202011	5/28/2020	ZZA200528454000020
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$700.00	TR	306810	20-04103 K HUI	202011	5/28/2020	ZZA200528454000060
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$670.00	TR	306810	20-05469 JAKE	202011	5/28/2020	ZZA200528454000100
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$740.00	TR	306810	19-25083 BRIAN	202011	5/28/2020	ZZA200528454000040
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$7,644.00	TR	306810	20-04847 C KEK	202011	5/28/2020	ZZA200528454000080
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$807.00	TR	306810	20-05664 EDURA	202011	5/28/2020	ZZA200528454000120
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$4,974.00	TR	306871	19-08629 AUSTI	202011	6/2/2020	ZZA200602476000230
N	ATG100	T	2	805	T-20-906	0288		-\$3,991.16	JV	00JM7256		202012	6/9/2020	ZZT200608229000240
N	ATG100	T	2	11	T-20-906	1653	0CRD	\$33,579.14	TR	306988	18-18669 LLOYD	202012	6/10/2020	ZZA200608532000250
N	ATG100	T	2	805	T-20-906	0288		\$4,031.89	JV	00JM7364		202012	6/10/2020	ZZT200610283000240
N	ATG100	T	2	805	T-20-906	0288		\$4,264.91	JV	00JM7478		202012	6/16/2020	ZZT200616342000260
N	ATG100	T	2	11	T-20-906	1653	0CRD	-\$33,579.14	JV	00JM7612	TRANSFER FROM	202012	6/19/2020	ZZT200619418000340
N	ATG100	T	2	805	T-20-906	0288		\$1,699.84	JV	00JM8175		202012	7/8/2020	ZZT200708707000320

Interest	\$19,729.23	Revenue	\$324,072.25
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Total	\$343,801.48
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Department: Attorney General
 Prog ID(s): ATG-100
 Name of Fund: Criminal Forfeiture Bond Holding Account
 Legal Authority: §§712-10(4) and (9), HRS

Contact Name: Kern Nishioka
 Phone: 586-1383
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-905-N

Intended Purpose:
 Trust account for deposits (claim and bond in Judicial proceedings)
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	12,500	12,500	12,533	18,254	15,219	20,219	25,219
Revenues	6,159	32	5,722		5,000	5,000	5,000
Expenditures	6,159			3,035			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Refer to Attachment A - Transfer In							
Refer to Attachment B - Transfer out							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	12,500	12,532	18,254	15,219	20,219	25,219	30,219
Encumbrances							
Unencumbered Cash Balance	12,500	12,532	18,254	15,219	20,219	25,219	30,219

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

T20-905 (Return)

Dept	Div	Pgm ID	MOF	Appn Type	Trans Cod	F-FY-ACC	Obj code	CC	Amount	Enc No/Sfx	Vendor Name	Invoice No	Inv Date	Check Date	Check No	Doc Type	Comp No	Dept No	Opt Data	1099 Ind	FY/FM	Enc FM	Proc Date	Trans ID	Enc Type
N	02	ATG100	T	2	221	T-20-905	6800	0CRD	\$3,035.00	00093435-	JULIAN, JONATHAN V.	RETURN	1/24/2020	1/28/2020	T0010226	VP	2087	220570	RETRN SEIZED CUR	202007	7	1/28/2020	N L200128132000010		

No T-906 revenue

\$3,035.00 Total Returned

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Attorney General
 Prog ID(s): ATG500
 Name of Fund: Child Support Enforcement Services
 Legal Authority: HRS-576D Child Support Enforcement Services &
 Title IV-D Social Security Act

Contact Name: Sheri Wang
 Phone: (808) 692-7131
 Fund type (MOF) P
 Appropriation Acct. No. S250

Intended Purpose: The Child Support Enforcement Program, Title IV-D is a shared responsibility of the State and the Federal governments. The S-250N federal fund was established to account for the federal share of the agency's operating costs.

Source of Revenues: Sixty six percent (66%) of the total agency's operational expenditures by way of federal grant

Current Program Activities/Allowable Expenses: Title IV-D services are provided to qualifying individuals. These services include: the establishment of paternity; the establishment and modification of child support obligations; locate services; the enforcement services; the collection and disbursement of support payment; and the maintenance of account balances.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	923,584	969,380	1,857,570	1,288,690	263,528	63,528	63,528
Revenues	12,230,697	13,641,683	8,283,051	9,203,419	9,200,000	9,200,000	9,200,000
Expenditures	12,184,901	12,753,493	8,851,931	10,228,581	9,400,000	9,200,000	9,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	969,380	1,857,570	1,288,690	263,528	63,528	63,528	63,528
Encumbrances	479,505	38,343	35,685	36,862			
Unencumbered Cash Balance	489,875	1,819,227	1,253,005	226,666	63,528	63,528	63,528

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Attorney General
 Prog ID(s): ATG500
 Name of Fund: Child Support Enforcement Services
 Legal Authority: HRS-576D Child Support Enforcement Services & Title IV-D Social Security Act

Contact Name: Sheri Wang
 Phone: 808-692-7131
 Fund type (MOF) T
 Appropriation Acct. No. T902

Intended Purpose: The Child Support Enforcement Program, Title IV-D is a shared responsibility of the State and the Federal governments. The trust fund was established to account for the federal incentive fund awarded to the agency based on its annual performance based on the federal fiscal year.

Source of Revenues: The federal incentive payments

Current Program Activities/Allowable Expenses: Title IV-D services are provided to qualifying individuals. These services include: the establishment of paternity; the establishment and modification of child support obligations; locate services; the enforcement services; the collection and disbursement of support payment; and the maintenance of account balances.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	274,195	489,974	724,938	166,478	1,843,813	1,543,813	543,813
Revenues	1,839,616	1,361,319	468,630	2,205,155	1,000,000	500,000	1,000,000
Expenditures	1,623,837	1,126,355	1,027,090	527,820	1,300,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	489,974	724,938	166,478	1,843,813	1,543,813	543,813	43,813
Encumbrances	438,681			792,513			
Unencumbered Cash Balance	51,293	724,938	166,478	1,051,300	1,543,813	543,813	43,813

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Attorney General
 Prog ID(s): ATG500
 Name of Fund: Child Support Enforcement Services
 Legal Authority: HRS-576D Child Support Enforcement Services &
 Title IV-D Social Security Act

Contact Name: Sheri Wang
 Phone: 808-692-7131
 Fund type (MOF) N/A
 Appropriation Acct. No. T-999N

Intended Purpose: The Child Support Enforcement Program, Title IV-D is a shared responsibility of the State and the Federal governments.
 The fund represents child support collections before disbursements.

Source of Revenues: Collections from non-custodial parents, which will be disbursed to custodial parents

Current Program Activities/Allowable Expenses: Title IV-D services are provided to qualifying individuals. These services include: the establishment of paternity; the establishment and modification of child support obligations; locate services; the enforcement services; the collection and disbursement of support payment; and the maintenance of account balances.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	22,575,274	22,042,808	22,055,441	23,231,057	33,149,640	33,149,640	33,149,640
Revenues	125,209,302	122,411,873	119,831,956	141,311,445	120,000,000	120,000,000	120,000,000
Expenditures	125,741,768	122,399,241	118,656,340	131,392,862	120,000,000	120,000,000	120,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	22,042,808	22,055,441	23,231,057	33,149,640	33,149,640	33,149,640	33,149,640
Encumbrances							
Unencumbered Cash Balance	22,042,808	22,055,441	23,231,057	33,149,640	33,149,640	33,149,640	33,149,640

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: ATG
 Prog ID(s): ATG 100AB
 Name of Fund: Medicaid Investigation Recovery Fund
 Legal Authority: HRS 28-91-5

Contact Name: Kelli Kojima
 Phone: 586-1058
 Fund type (MOF): Special fund (B)
 Appropriation Acct. No.: S-302-N

Intended Purpose: Special Fund into which all funds from Medicaid Investigation and Medicaid Fraud Settlements will be deposited
 Money will be used to support a portion of operating expenses of Medicaid Fraud Control Unit.

Source of Revenues: Settlements, Recovery costs from investigations.

Current Program Activities/Allowable Expenses: To cover 25% of payroll & all operating cost of the Medicaid Fraud Control Unit.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: Most of our revenues in the past years were Global Settlements which have decreased steadily.
 The Unit will begin looking into civil settlements to increase revenue.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	594,155	666,165	627,415	655,517	655,517	655,517	655,517
Beginning Cash Balance	1,241,127	832,025	729,485	433,684	83,543	227,769	0
Revenues	86,235	416,758	325,040	205,633	200,000	328,005	555,774
Expenditures	435,325	472,870	590,528	515,704	515,704	515,704	515,704
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
* See Transfer Fund List Tab for details							
Transferred in: DNA Registry Fund per ACT025, SLH 2020, HB1352, HD2 SD1					500,000		
Transferred to: State General Fund, Audit Fees - Office of the Auditor					(40,070)		
Net Total Transfers	(60,013)	(46,428)	(30,313)	(40,070)	459,930	(40,070)	(40,070)
Ending Cash Balance	832,024	729,485	433,684	83,543	227,769	0	0
Encumbrances	5,000	39,865					
Unencumbered Cash Balance	827,024	689,620	433,684	83,543	227,769	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-16-302-N
Transfer out of Funds
Fiscal Year 2016

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
M16002	JM0302	7/13/2015	12,146.64	Assessment Fee FY 14
M16008	JM0947	9/3/2015	6758.00	Assessment Fee FY 15
M16030	JM4847	4/5/2016	7801.95	Assessment Fee FY 16
216-041	JS2015	10/12/2015	502.66	Audit Fee
216-054	JS2735	11/12/2015	386.42	Audit Fee
216-072	JS3239	12/11/2015	706.83	Audit Fee
216-087	JS3710	1/11/2016	243.01	Audit Fee
216-096	JS4397	2/12/2016	592.05	Audit Fee
216-102	JS4871	3/14/2016	365.52	Audit Fee
216-124	JS6038	5/12/2016	147.19	Audit Fee
216-135	JM6642	6/15/2016	9369.9	Indirect Cost
216-117	JM6188	6/8/2016	18,191.10	Indirect Cost
216-136	JM6460	6/20/2016	11,162.38	Indirect Cost
M16039	JM6766	6/28/2016	10,487.67	Assessment Fee FY 16
			78,861.32	

S-17-302-N
Transfer out of Funds
Fiscal Year 2017

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
M17004	JM0718	8/18/2016	9830.25	Assessment Fee FY 16
217-039	JS1747	10/13/2016	518.34	Audit Fee
217-058	JS2771	12/14/2016	781.19	Audit Fee
217-068	JS3534	1/26/2017	798.75	Audit Fee
217-083	JS4092	3/8/2017	153.74	Audit Fee
M17032	JM7254	6/15/2017	3993.45	Assessment Fee FY 17
217-115	JM7613	7/7/2017	43,936.99	Indirect Cost
			60,012.71	
				7150
				7212
				7400

S-18-302-N
Transfer out of Funds
Fiscal Year 2018

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
218-056	JS1911	10/31/2017	749.94	Audit Fee
218-065	JS2388	11/29/2017	449.96	Audit Fee
218-088	JS3014	1/18/2018	299.97	Audit Fee
218-098	JS3209	1/26/2018	599.95	Audit Fee
218-119	JS4182	3/27/2018	749.94	Audit Fee
	JM5935	5/8/2018	22,595.78	Indirect Cost
218-137	JS4967	5/8/2018	149.99	Audit Fee
M18034	JM7439	6/25/2018	20,832.28	Assesment fee FY 18
			46,427.81	

S-19-302-N
Transfer out of Funds
Fiscal Year 2019

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
M19007	JM1315	9/13/2018	15,442.37	Assessment Fee FY19
219-080	JS3006	1/14/2019	749.94	Audit Fee
219-105	JM5206	3/27/2019	27,312.99	Indirect Cost
219-107	JS4251	3/28/2019	1,350.50	Audit Fee
219-120	JM5886	4/24/2019	899.93	Audit Fee
			30,313.36	

S-20-302-N

Transfer out of Funds

Fiscal Year 2020

		Comptroller's			
Dept. No.	No.	JV Date	Amount	Description/Project	
220-110	JS3056	1/8/2020	756.95	Audit Fee	7150
220-131	JS3604	2/10/2020	908.33	Audit Fee	7150
220-158	JS4299	3/30/2020	454.17	Audit Fee	7150
220-165	JM6089	4/15/2020	616.77	Audit Fee	7150
220-169	JM6302	4/27/2020	26,144.10	Indirect Cost	7400
220-200	JM7685	6/17/2020	908.33	Audit Fee	7150
M20032	JM7940	6/25/2020	10,281.67	Assessment Fee FY20	7212
			40,070.32		

S-21-302-N

Transfer into Funds

Fiscal Year 2021

		Comptroller's			
Dept. No.	No.	JV Date	Amount	Description/Project	
	JS1210	9/21/2020	500,000	Transfer cash in from DNA Registry Fund per Act 025 SLH 2020, HB1352 HD2 SD1	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Attorney General
 Prog ID(s): ATG 100AB
 Name of Fund: Medicaid Fraud Control Unit Grant - Legal Services
 Legal Authority: P.L. 95-142, 42 CFR 1007 et.seq.

Contact Name: Kelli Kojima
 Phone: 586-1058
 Fund type (MOF): Other Federal fund (P)
 Appropriation Acct. No.: S-503-N

Intended Purpose: The Federal Grant Program was established under Title XIX of the Social Security Act to strengthen the capability of government to detect, prosecute and punish fraudulent activities and patient abuse under the Medicaid Program.

Source of Revenues: Federal Grant from U.S. Department of Health & Human Services

Current Program Activities/Allowable Expenses: The MFCU currently investigates and prosecutes Medicaid fraud against the Medicaid Program. The Unit, also investigates and prosecutes cases of patient abuse. (75% of operating expenses)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,612,712	1,640,720	1,765,168	1,884,364	1,828,792	1,920,232	2,016,244
Beginning Cash Balance	1,130	129	0	236	0	0	0
Revenues	1,319,353	1,419,782	1,770,808	1,596,598	1,676,428	1,760,249	1,848,261
Expenditures	1,225,734	1,291,455	1,621,203	1,488,579	1,562,760	1,646,581	1,734,593
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
* See Transfer Fund List Tab for details							
Net Total Transfers	(94,621)	(128,456)	(149,369)	(108,255)	(113,668)	(113,668)	(113,668)
Ending Cash Balance	128	0	236	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	128	0	236	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-16-503-N
Transfer out of Funds
Fiscal Year 2016

		Comptrolle			
Dept. No.	r's No.	JV Date	Amount	Description/Project	
M16016	JM1361	9/25/2015	15631.73	Indirect Cost	
M16018	JM2877	12/28/2015	23,129.71	Indirect Cost	
M16028	JM4653	3/29/2016	15,085.33	Indirect Cost	
M16038	JM6599	6/28/2016	23,039.14	Indirect Cost	
M16038	JM6599	6/28/2016	2,536.31	Indirect Cost	
Transfer In	M16037	JM6598	6/21/2016	18,715.60	Over pay Indirect Cos
			83,723.83		

S-17-503-N
Transfer out of Funds
Fiscal Year 2017

		Comptrolle			
Dept. No.	r's No.	JV Date	Amount	Description/Project	
M17010	JM1584	9/28/2016	23,017.21	Indirect Cost	
M17022	JM4276	2/13/2017	24,667.78	Indirect Cost	
M17025	JM5225	3/24/2017	23,192.92	Indirect Cost	
M17033	JM7506	6/22/2017	23,743.12	Indirect Cost	
			94,621.03		

S-18-503-N
Transfer out of Funds
Fiscal Year 2018

		Comptrolle			
Dept. No.	r's No.	JV Date	Amount	Description/Project	
M18009	JM1465	9/20/2017	34,641.64	Indirect Cost	
M18014	JM2740	11/15/2017	3,228.27	Indirect Cost	
M18023	JM3838	1/19/2018	28,985.75	Indirect Cost	
M18027	JM5759	4/24/2018	30,123.09	Indirect Cost	
M18033	JM7438	6/19/2018	31,476.95	Indirect Cost	
			128,455.70		

S-19-503-N
Transfer out of Funds
Fiscal Year 2019

		Comptrolle			
Dept. No.	r's No.	JV Date	Amount	Description/Project	
M19008	JM1453	9/20/2018	33,193.49	Indirect Cost	
M19019	JM4038	2/1/2019	39,791.44	Indirect Cost	
M19025	JM5140	3/27/2019	38,133.27	Indirect Cost	
M19033	JM7404	6/25/2019	38,251.19	Indirect Cost	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED100/SM
 Name of Fund: Hawaii Community-Based Economic Development Revolving Fund
 Legal Authority: Chapter 210D, HRS

Contact Name: Wayne Thom/Mark Ritchie
 Phone: (808) 587-2757
 Fund type (MOF) W
 Appropriation Acct. No. S-344-B

Intended Purpose: To provide training and capacity-building opportunities, and invest in community economic development projects that result in measurable economic impact for some of Hawaii's most socially and economically challenged communities.

Source of Revenues: Loan principal, loan interest, interest from investment pool, fees from workshops and conferences, and other program-related activities

Current Program Activities/Allowable Expenses: Low interest loans, workshops and conferences that provide capacity-building training and technical assistance.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: In FY21 the CBED Revolving Fund anticipates an infusion of grant-loan funds of \$1,000,000.00 through the Federal Economic Development Administration (EDA).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	721,915	721,915	721,915	721,915	721,915	721,915	721,915
Beginning Cash Balance	111,086	115,310	124,954	448,087	628,819	1,500,966	1,300,966
Revenues	5,667	19,480	460,003	314,832	1,022,147	50,000	50,000
Expenditures	36,443	9,836	136,870	134,100	150,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	35,000	0	0	0	0	0	0
Ending Cash Balance	115,310	124,954	448,087	628,819	1,500,966	1,300,966	1,100,966
Encumbrances				25,129			
Unencumbered Cash Balance	115,310	124,954	448,087	603,690	1,500,966	1,300,966	1,100,966

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED100/SM
 Name of Fund: State Disaster Revolving Fund
 Legal Authority: Part III, Chapter 209, HRS

Contact Name: Mark J. Ritchie/Wayne Thom
 Phone: 587-2785/587-2757
 Fund type (MOF) W
 Appropriation Acct. No. S-353-B

Intended Purpose:

To assist businesses and individuals who suffer damages in a state-declared disaster.

Source of Revenues:

Loan repayments(principal and interest) and interest earned on TCD's and/or State Investment Pool.

Current Program Activities/Allowable Expenses:

None.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000
Beginning Cash Balance	276,156	278,189	279,293	279,293	279,372	279,372	279,372
Revenues	2,043	1,147	0	79	0	0	0
Expenditures	10	43	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	278,189	279,293	279,293	279,372	279,372	279,372	279,372
Encumbrances							
Unencumbered Cash Balance	278,189	279,293	279,293	279,372	279,372	279,372	279,372

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED100/SM
 Name of Fund: State Trade Expansion Program
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF) P
 Appropriation Acct. No. S-17-515-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Cooperative Agreement No. SBAHQ-16-IT-0033

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Two-year grant

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	360,690					
Beginning Cash Balance	0	18,409	5,706	5,706	5,706	5,706	5,706
Revenues	357,719	320,426	0	0	0	0	0
Expenditures	339,310	333,129	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	18,409	5,706	5,706	5,706	5,706	5,706	5,706
Encumbrances							
Unencumbered Cash Balance	18,409	5,706	5,706	5,706	5,706	5,706	5,706

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED100/SM
 Name of Fund: State Trade Expansion Program
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF) P
 Appropriation Acct. No. S-18-519-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Cooperative Agreement No. SBAHQ-17-IT-0033

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Two-year grant

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		700,000	700,000	700,000			
Beginning Cash Balance		0	197,475	0	0	0	0
Revenues		329,409	70,591	0			
Expenditures		131,934	268,066	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	197,475	0	0	0	0	0
Encumbrances		110,999	0				
Unencumbered Cash Balance	0	86,476	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED100/SM
 Name of Fund: State Trade Expansion Program
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF) P
 Appropriation Acct. No. S-19-519-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Cooperative Agreement No. SBAHQ-18-IT-0033

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Two-year grant

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			700,000	700,000			
Beginning Cash Balance		0	0	0	24,883	0	0
Revenues			78,095	371,905	0		
Expenditures			78,095	347,022	24,883		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	24,883	0	0	0
Encumbrances			14,500	2,000			
Unencumbered Cash Balance	0	0	(14,500)	22,883	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED100/SM
 Name of Fund: State Trade Expansion Program
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF) P
 Appropriation Acct. No. S-20-519-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Grant SBAHQ19IT0007

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Two-year grant

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				700,000			
Beginning Cash Balance		0	0	0	147,217	0	0
Revenues				289,417	222,369		
Expenditures				142,200	369,586		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	147,217	0	0	0
Encumbrances				135,000			
Unencumbered Cash Balance	0	0	0	12,217	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED100/SM
 Name of Fund: Hawaii Defense Economy
 Legal Authority: Administratively Created

Contact Name: John Greene/Mark Ritchie
 Phone: 808-375-0961
 Fund type (MOF) P
 Appropriation Acct. No. S-20-529-B

Intended Purpose: To refresh the Hawaii Defense Economy website and conduct research of Hawaii's defense economy

Source of Revenues: Grant Agreement with the Office of Economic Adjustment

Current Program Activities/Allowable Expenses: IT services related to website refresh, consultant services related to research.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				399,500	1,200,000		
Beginning Cash Balance		0	0	0	0	0	0
Revenues				182,042	782,542	600,000	
Expenditures				182,042	782,542	600,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				182,043			
Unencumbered Cash Balance	0	0	0	(182,043)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED105/CI
 Name of Fund: Creative Industries Division Special Fund
 Legal Authority: A49/SL17

Contact Name: Georja Skinner
 Phone: 586-2364
 Fund type (MOF) B
 Appropriation Acct. No. S-309-B

Intended Purpose To support repairs and maintenance of the Hawaii Film Studio

Source of Revenues: Transfer from the Hawaii Tourism Authority

Current Program Activities/Allowable Expenses: Funds used for routine repairs and maintenance of the Hawaii film studio.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: No expenditures made in FY2020 as the funds were received in late June 2020 from HTA and it was too late to encumber for R&M.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	0	7,680	14,320	19,320	49,320	49,320	49,320
Revenues	0	0	0	0	0	0	0
Expenditures	22,320	23,360	25,000	0	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
HTA Transfers	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Net Total Transfers	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Ending Cash Balance	7,680	14,320	19,320	49,320	49,320	49,320	49,320
Encumbrances		14,000	14,700	0	30,000	30,000	30,000
Unencumbered Cash Balance	7,680	320	4,620	49,320	19,320	19,320	19,320

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED105/CI
 Name of Fund: Creative Industries Division Special Fund
 Legal Authority: Administratively Created

Contact Name: Georja Skinner
 Phone: 586-2364
 Fund type (MOF) B
 Appropriation Acct. No. T-914-B

Intended Purpose To support repairs and maintenance of the Film Studio

Source of Revenues: Fees from the Kalaeloa Film Studio

Current Program Activities/Allowable Expenses: Funds used for routine repairs and maintenance of the film studio.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	131,787	307,187	592,187	592,187
Revenues			142,827	182,400	325,000	100,000	100,000
Expenditures			11,040	7,000	40,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	131,787	307,187	592,187	592,187	592,187
Encumbrances							
Unencumbered Cash Balance	0	0	131,787	307,187	592,187	592,187	592,187

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED107/BA
 Name of Fund: Foreign-Trade Zone Special Fund (FTZSF)
 Legal Authority: HRS Section 212-9

Contact Name: Tsurumi Hamasu
 Phone: 587-5373
 Fund type (MOF) B
 Appropriation Acct. No. S-302-B

Intended Purpose: To encourage manufacturing and value-added activities in Hawaii, increase the export competitiveness of Hawaii companies incubate and support small businesses engaged in importing and exporting activities, and attract new investment and job opportunities by operating a statewide Foreign-Trade Zone (FTZ) program that reduces the barriers and costs associated with international trade.

Source of Revenues: The Foreign-Trade Zone (FTZ) obtains all its revenue by charging FTZ users for its services, use of facilities, and equipment. The FTZ program is currently self-sufficient and no general funds are required to run the program.

Current Program Activities/Allowable Expenses: Types of expenditures in accordance with Chapter 212-9, HRS include personnel costs, FTZ office equipment and supplies, facility maintenance and repairs, capital improvement, security costs, landscaping costs, etc.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,156,516	2,278,556	2,278,556	2,438,062	2,445,180	2,445,180	2,445,180
Beginning Cash Balance	1,130,468	1,419,762	1,479,899	1,551,675	1,481,553	1,481,553	1,481,553
Revenues	2,204,262	2,173,256	2,317,793	2,268,498	2,421,000	2,424,000	2,424,000
Expenditures	1,914,968	2,113,119	2,246,017	2,338,620	2,421,000	2,424,000	2,424,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,419,762	1,479,899	1,551,675	1,481,553	1,481,553	1,481,553	1,481,553
Encumbrances	310,540	264,538	225,411	284,870	265,000	265,000	265,000
Unencumbered Cash Balance	1,109,222	1,215,361	1,326,264	1,196,683	1,216,553	1,216,553	1,216,553

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED107/BA
 Name of Fund: OHA Ceded Lands Proceeds (FTZ)
 Legal Authority: Exec Order No 06-06 on Ceded Land Revenue

Contact Name: Tsurumi Hamasu
 Phone: 587-5373
 Fund type (MOF) T
 Appropriation Acct. No. T-901-B

Intended Purpose: To satisfy Section 3 of Act 178, 2006 Session Laws of Hawaii that requires each agency of the State that "collects receipts from the lands within the public land trust" to transfer a portion of those receipts to the Office of Hawaii Affairs.

Source of Revenues: rental income

Current Program Activities/Allowable Expenses: n/a

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: n/a

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	2,168	0	0
Revenues	7,303	5,989	7,778	6,577	6,500	6,500	6,500
Expenditures	7,303	5,989	7,778	4,409	8,668	6,500	6,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,168	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	2,168	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 113 TO
 Name of Fund: Tourism Special Fund
 Legal Authority: HRS 201B-11

Contact Name: M. Togashi
 Phone: 973-2267
 Fund type (MOF): B - Special
 Appropriation Acct. No. S-348-B / S-398-B

Intended Purpose: The primary purpose of the Tourism Special Fund is to provide the Hawai'i Tourism Authority with funds to implement the purposes of Chapter 201B,HRS. Program objectives of HTA include: To set tourism policy and direction; develop and implement tourism strategic and brand management plans; develop branding programs that contribute to sustainable economic growth through visitor spending; honor and perpetuate the Hawaiian culture and community; respect, enhance and perpetuate Hawaii's natural resources to ensure a high level of satisfaction for residents and visitors; provide a diverse and quality tourism product, including through support of community programs that enrich the visitor experience and enriches residents' quality of life. This collective effort is aimed at caring for and protecting our beloved home - Malama Kuu Home.

Source of Revenues: HRS 237D provides that beginning July 1, 2018, \$79M of Transient Accommodations Tax shall be allocated to the fund each fiscal year. Pursuant to the Governor's Emergency Proclamation relating to COVID-19, HTA's TAT allocation has been suspended effective May 2020. For purposes of this report, HTA's TAT allocation is assumed to resume in FY 2022.

Current Program Activities/Allowable Expenses: Please see program objectives above. Funds will be expended to support tourism promotion, marketing, and development; research & statistics; branding experiences; Hawaiian culture; natural resources; sports; community programs; safety & security; and career development.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenue coincide with HTA's TAT allocation. HTA's TAT allocation under HRS 237D decreased from \$82M to \$79M in FY19 and was suspended in May 2020 due to the COVID-19 pandemic. The variance in HTA's expenditures followed the amount of available TAT funding.

Note: Unencumbered cash represents \$10M in anticipated funds through self-imposed budget restrictions to be used for post-pandemic recovery effort, \$6.2M for the Center for Hawaiian Music & Dance and \$300K for other liabilities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	87,334,815	88,515,000	87,334,815	87,438,031	87,438,031	87,438,031	87,438,031
Beginning Cash Balance	64,485,700	70,322,427	71,968,017	70,915,450	72,128,814	36,500,000	44,500,000
Revenues	82,195,175	82,199,023	79,415,998	68,762,646	0	79,000,000	79,000,000
Expenditures	76,358,448	75,582,754	80,438,565	67,519,282	35,598,814	70,970,000	78,970,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
S-18-348	-	(4,940,679.00)	-	-	-	-	-
S-18-348	-	(30,000.00)	-	-	-	-	-
S-19-348	-	-	(30,000.00)	-	-	-	-
S-20-348	-	-	-	(30,000.00)	-	-	-
S-21-348	-	-	-	-	(30,000.00)	-	-
S-22-348	-	-	-	-	-	(30,000.00)	-
S-23-348	-	-	-	-	-	-	(30,000.00)
Net Total Transfers	-	(4,970,679.00)	(30,000.00)	(30,000.00)	(30,000.00)	(30,000.00)	(30,000.00)
Ending Cash Balance	70,322,427	71,968,017	70,915,450	72,128,814	36,500,000	44,500,000	44,500,000
Encumbrances	44,294,863	46,055,367	40,697,990	31,763,504	20,000,000	38,000,000	38,000,000
Unencumbered Cash Balance	26,027,564	25,912,650	30,217,460	40,365,309	16,500,000	6,500,000	6,500,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 113 TO
 Name of Fund: Convention Center Enterprise Special Fund
 Legal Authority: HRS 201B-11

Contact Name: M. Togashi
 Phone: 973-2267
 Fund type (MOF) B - Special
 Appropriation Acct. No. S-361-B

Intended Purpose: Moneys in the fund shall be used by the Hawaii Tourism Authority for the payment of the operation, maintenance, repair, improvement and marketing of the Hawai'i Convention Center.

Source of Revenues: HRS 237D, \$16.5 in TAT is allocated to the Convention Center Enterprise Special Fund annually. Pursuant to the Governor's Emergency Proclamation relating to COVID-19, HTA's TAT allocation has been suspended effective May 2020. For purposes of this report, HTA's TAT allocation is assumed to resume in FY 2022.

Current Program Activities/Allowable Expenses: Sales and marketing programs to highlight the convention center and also to aggressively sell in the offshore and corporate markets. Major operational emphasis is high quality operational services and a major repair and maintenance program to maintain a world class facility.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenue and expenditures coincide with HTA's TAT allocation. HTA's TAT allocation under HRS 237D decreased from \$26.5M to \$16.5M in FY19 pursuant to Act 86 SLH 2018 and was suspended in May 2020 due to the COVID-19 pandemic. Also pursuant to Act 86 SLH 2018, effective FY 2019, HTA's annual repayment of its bond debt obligation of \$26.4M was cancelled. The pandemic contributed to a reduction in expenditures due to decreased business activity at the Convention Center.

Note: Unencumbered cash primarily represents funding earmarked for upcoming significant convention center repair and maintenance projects.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	51,342,752	52,854,295	54,034,480	54,045,378	54,045,378	54,045,378	54,045,378
Beginning Cash Balance	21,331,159	17,932,295	20,845,645	23,571,192	26,565,396	15,988,263	16,988,263
Revenues	41,925,495	37,663,201	25,735,041	21,398,889	4,188,144	21,500,000	21,500,000
Expenditures	45,324,359	39,690,530	23,009,494	18,404,685	14,765,277	20,500,000	20,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
S-18-361	-	4,940,679.00	-	-	-	-	-
	-	-	-	-	-	-	-
	-	-	-	-	-	-	-
Net Total Transfers	-	4,940,679.00	-	-	-	-	-
Ending Cash Balance	17,932,295	20,845,645	23,571,192	26,565,396	15,988,263	16,988,263	17,988,263
Encumbrances	1,825,090	2,485,915	2,747,723	3,034,743	-	-	-
Unencumbered Cash Balance	16,107,205	18,359,730	20,823,469	23,530,653	15,988,263	16,988,263	17,988,263

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 113 TO
 Name of Fund: Tourism Emergency Special Fund
 Legal Authority: HRS 201B-11

Contact Name: M. Togashi
 Phone: 973-2267
 Fund type (MOF) B - Special
 Appropriation Acct. No. S-355-B

Intended Purpose: The primary purpose of the Tourism Emergency Special Fund is to provide the Hawai'i Tourism Authority with funds to be used exclusively for the development and implementation of emergency measures to respond to any tourism emergency pursuant to HRS section 201B-9, including providing emergency assistance to tourists during the tourism emergency. Pursuant to HRS 201B-9, use of the tourism emergency fund requires, upon the HTA Board of Directors' request, the Governor to declare that a tourism emergency exists. Program objectives of HTA are noted on the respective Report on Non-General Funds for appropriation account S-348-B. The objectives for the tourism emergency fund is to support those objectives of appropriation account S-348, specifically as it relates to responding to a declared tourism emergency.

Source of Revenues: HRS 237D provides that, of the revenues remaining in the tourism special fund, beginning July 1, 2007, funds shall be deposited into the tourism emergency special fund in a manner sufficient to maintain a fund balance of \$5,000,000 in the tourism emergency special fund.

Current Program Activities/Allowable Expenses: Please see program objectives above. Funds will be expended, in the event a need arises, for the development and implementation of emergency measures to respond to a tourism emergency, under such declaration by the Governor.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	-	-	-	-	-	-	-
	-	-	-	-	-	-	-
	-	-	-	-	-	-	-
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: Energy Security Special Fund
 Legal Authority: Section 201-12.8, HRS

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) B
 Appropriation Acct. No. S-305-B

Intended Purpose: To support the Hawaii Clean Energy Initiative (HCEI) program and projects that promote and advance dependable and affordable energy, renewable energy, energy efficiency, energy self-sufficiency, and greater energy security and resiliency for the State and public facilities; to fund the Climate Commission and the Greenhouse Gas Taskforce; to support achieving the zero emissions clean economy target; to fund the building energy efficiency revolving loan fund; to fund projects and incentives to promote the adoption of clean transportation technologies, develop/upgrade clean vehicle charging infrastructure; and to fund the duties of the State Building Code Council.

Source of Revenues: Environmental Response, Energy and Food Security Tax; moneys appropriated by the legislature, interest attributable to investment of money in the fund; moneys allotted from other sources.

Current Program Activities/Allowable Expenses: Special fund assessments.

Purpose of Proposed Ceiling Adjustment (if applicable): To pay Special Fund Assessments; to provide funds for federal cost match; to continue the Electric Vehicle Charging System Rebate Program; and to provide funds to capitalize HGIA loans for energy infrastructure.

Variances: From FY2019 to FY2020, revenue decreased due to the change in allocation of the Barrel Tax to the ESSF (from \$.15 to \$.05/barrel). Expenditures decreased due to the change in MOF (from B to A) for HSEO positions and the reduction in the appropriation ceiling.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,666,779	18,513,686	18,039,247	151,000	81,000	5,075,000	1,575,000
Beginning Cash Balance	3327352	2,432,790	2,166,677	2,278,932	3,237,356	4,069,356	307,356
Revenues	3,826,350	3,891,654	4,117,177	1,460,547	1,313,000	1,313,000	1,313,000
Expenditures	4,720,912	4,157,767	4,004,922	222,123	231,000	5,075,000	1,575,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer out to S-350-B				(150,000)	(250,000)		
Transfer out to S-354-B				(130,000)			
Net Total Transfers	0	0	0	(280,000)	(250,000)	0	0
Ending Cash Balance	2,432,790	2,166,677	2,278,932	3,237,356	4,069,356	307,356	45,356
Encumbrances	474,439	396,472	325,929	150,000	0	0	0
Unencumbered Cash Balance	1,958,351	1,770,205	1,953,004	3,087,356	4,069,356	307,356	45,356

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: STATE ENERGY PROGRAM-ARRA REPURPOSE
 Legal Authority: Administratively Created (Non-appropriated)

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF): N
 Appropriation Acct. No.: S-17-216-B

Intended Purpose: SEP-ARRA Repurpose.

Source of Revenues: Repurpose of existing federal grant.

Current Program Activities/Allowable Expenses: Technical assistance and a data analytics position.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: Revenue from investment pool interest varies from year to year based on the cash balance in the account. Expenditures vary from year to year based on grant activities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,441,319	1,441,319	1,370,520	1,276,413	1,268,614	918,614	468,614
Revenues	0	4,829	12,113	48,200	10,000	10,000	10,000
Expenditures	0	75,627	106,220	55,999	360,000	460,000	430,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,441,319	1,370,520	1,276,413	1,268,614	918,614	468,614	48,614
Encumbrances	0	0	199,075	182,134	0	0	0
Unencumbered Cash Balance	1,441,319	1,370,520	1,077,339	1,086,480	918,614	468,614	48,614

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							



Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: STATE ENERGY PROGRAM
 Legal Authority: Act 119, SLH 2015

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF): N
 Appropriation Acct. No.: S-16-223-B

Intended Purpose: SEP Formula Grant.

Source of Revenues: Federal Grant.

Current Program Activities/Allowable Expenses: State Energy Program activities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Under the grant, revenue and expenditures vary from year to year based on grant activities during each period of the award.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	37,359	24	91	(0)	(0)	(0)
Revenues	178,921	231,583	262,490	11,500	0	0	0
Expenditures	141,563	268,917	262,424	11,591	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	37,359	24	91	(0)	(0)	(0)	(0)
Encumbrances	214,530	193,178	11,591	0	0	0	0
Unencumbered Cash Balance	(177,171)	(193,153)	(11,500)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: SEP FORMULA - EXXON FUNDS
 Legal Authority: Act 134, SLH 2013

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) P
 Appropriation Acct. No. S-14-236-B

Intended Purpose: SEP Formula Exxon Funds.

Source of Revenues: Petroleum violation escrow settlement.

Current Program Activities/Allowable Expenses: TBD

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	30,839	31,081	31,267	31,541	32,737	32,987	33,237
Revenues	242	186	275	1,196	250	250	250
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	31,081	31,267	31,541	32,737	32,987	33,237	33,487
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	31,081	31,267	31,541	32,737	32,987	33,237	33,487

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: SEP Formula - Stripper Well Funds
 Legal Authority: Act 134, SLH 2013

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) P
 Appropriation Acct. No. S-14-237-B

Intended Purpose: SEP Formula Stripper Well Funds.

Source of Revenues: Petroleum violation escrow settlement.

Current Program Activities/Allowable Expenses: TBD

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	15,004	15,122	15,212	15,346	15,928	16,028	16,128
Revenues	118	90	134	582	100	100	100
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	15,122	15,212	15,346	15,928	16,028	16,128	16,228
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	15,122	15,212	15,346	15,928	16,028	16,128	16,228

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: SEP FORMULA - CHEVRON FUNDS
 Legal Authority: Act 134, SLH 2013

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) P
 Appropriation Acct. No. S-14-239-B

Intended Purpose: SEP Formula Chevron Funds.

Source of Revenues: Petroleum violation escrow settlement.

Current Program Activities/Allowable Expenses: TBD

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The expenditure variance is based on USDOE-approved State Energy Program activity in FY19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	259,428	261,330	263,015	243,440	252,576	254,576	256,576
Revenues	1,901	1,685	2,177	9,136	2,000	2,000	2,000
Expenditures	0	0	21,752	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	261,329	263,015	243,440	252,576	254,576	256,576	258,576
Encumbrances	40,000	40,000	0	0	0	0	0
Unencumbered Cash Balance	221,329	223,015	243,440	252,576	254,576	256,576	258,576

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: STATE ENERGY PROGRAM
 Legal Authority: Administratively Created (Non-appropriated)

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF): N
 Appropriation Acct. No.: S-18-255-B

Intended Purpose: SEP Formula State of Hawaii.

Source of Revenues: Federal Grant.

Current Program Activities/Allowable Expenses: State Energy Program activities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Under the grant, revenue and expenditures vary from year to year based on grant activities during each period of the award.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	0	0	0	0	0
Beginning Cash Balance		0	0	747	42	0	0
Revenues		0	3,606	16,038	1,138,559	0	0
Expenditures		0	2,859	16,743	1,138,601	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	747	42	0	0	0
Encumbrances		0	747	12,567	0	0	0
Unencumbered Cash Balance	0	0	0	(12,525)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: HI ADV VISUALIZATION ENVIRONMENT NEXUS
 Legal Authority: Administratively Created (Non-appropriated)

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) P
 Appropriation Acct. No. S-17-516-B

Intended Purpose: HAVEN program.

Source of Revenues: Federal Grant.

Current Program Activities/Allowable Expenses: expenses associated with HAVEN.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Under the cooperative agreement, revenue and expenditures vary from year to year based on activities during each period of the agreement.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	802	0	0	0
Revenues	18,232	30,741	47,673	84,929	43,501	0	0
Expenditures	18,232	30,741	46,871	85,731	43,501	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	802	0	0	0	0
Encumbrances	145,373	141,588	108,777	31,463	0	0	0
Unencumbered Cash Balance	(145,373)	(141,588)	(107,974)	(31,463)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: EECBG - ARRA REPURPOSE
 Legal Authority: Administratively Created (Non-appropriated)

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) P
 Appropriation Acct. No. S-17-518-B

Intended Purpose: Repurposed funds to be used to contract to promote efficiency retrofits, conservation, and building code adoption.

Source of Revenues: Repurpose of existing federal grant.

Current Program Activities/Allowable Expenses: Financial incentives for energy efficiency, energy efficiency and conservation for buildings and facilities, and building codes and inspection services.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Expenditures vary from year to year based on grant activities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	2,636,071	2,602,597	2,556,884	2,406,884	2,156,884
Revenues	0	2,644,229	0	20,000	0	0	0
Expenditures	0	8,158	33,474	65,713	150,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,636,071	2,602,597	2,556,884	2,406,884	2,156,884	1,906,884
Encumbrances		4,716	189,277	150,130	0	0	0
Unencumbered Cash Balance	0	2,631,355	2,413,319	2,406,754	2,406,884	2,156,884	1,906,884

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: Deposit to PUC Special Fund
 Legal Authority: Act 142, SLH 2019

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) B
 Appropriation Acct. No. S-350-B

Intended Purpose: For deposit into the PUC special fund for an electric vehicle charging system rebate program.

Source of Revenues: Energy Security Special Fund.

Current Program Activities/Allowable Expenses: Electric Vehicle charging system rebate program.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Expenditure amounts are in accordance with Act 142, SLH 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				150,000	250,000	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	0	0	0
Expenditures				150,000	250,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in from S-305-B				150,000	250,000	0	0
Net Total Transfers	0	0	0	150,000	250,000	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: Energy Systems and Technology Training
 Legal Authority: Act 145, SLH 2019

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) B
 Appropriation Acct. No. S-354-B

Intended Purpose: For deposit in the Community Colleges special fund.

Source of Revenues: Energy Security Special Fund.

Current Program Activities/Allowable Expenses: Energy systems and technology training courses.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Expenditure amount is in accordance with Act 145, SLH 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				130,000	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	0	0	0
Expenditures				130,000	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in from S-305-B				130,000	0	0	0
Net Total Transfers	0	0	0	130,000	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: VW Diesel Emissions Environmental Mitigation Trust-Admin
 Legal Authority: Volkswagen Diesel Emissions Environmental Mitigation Trust

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) T
 Appropriation Acct. No. T-909-B

Intended Purpose: Administrative expenses associated with implementing eligible mitigation actions.

Source of Revenues: Volkswagen Diesel Emissions Environmental Mitigation Trust.

Current Program Activities/Allowable Expenses: up to 15% of the total cost of eligible mitigation actions.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: From FY20 to FY21, expenditures are expected to be higher because a trust-funded position was filled in Oct 2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			240,000	241,000	241,000	241,000	241,000
Beginning Cash Balance		0	0	0	229,935	229,935	229,935
Revenues				269,155	241,000	241,000	241,000
Expenditures				39,220	241,000	241,000	241,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	229,935	229,935	229,935	229,935
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	229,935	229,935	229,935	229,935

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: VW Diesel Emissions Environmental Mitigation Trust- Non-Admin Exp
 Legal Authority: Administratively Created (Non-appropriated)

Contact Name: Donna Mau
 Phone: 799-2421
 Fund type (MOF) T
 Appropriation Acct. No. T-910-B

Intended Purpose: Eligible mitigation actions - environmental mitigation projects that reduce emissions of nitrogen oxides.

Source of Revenues: Volkswagen Diesel Emissions Environmental Mitigation Trust.

Current Program Activities/Allowable Expenses: Eligible mitigation actions according to the Beneficiary Mitigation Plan.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Expenditures are expected beginning in FY21 with eligible mitigation actions underway.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	1,507,420	5,662,089	4,662,089
Revenues				1,507,420	5,154,669	0	0
Expenditures				0	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,507,420	5,662,089	4,662,089	3,662,089
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	1,507,420	5,662,089	4,662,089	3,662,089

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED128
 Name of Fund: OFFICE OF AEROSPACE SPECIAL FUND
 Legal Authority: A53/SL18

Contact Name: Chung Chang
 Phone: 586-2388
 Fund type (MOF) B
 Appropriation Acct. No. S-307-B

Intended Purpose: To capture revenue from various sources such as basalt product sales, space launch related revenues, etc.

Source of Revenues: The revenues would come from fees and royalties in these various initiatives.

Current Program Activities/Allowable Expenses: The funds can be used for various aerospace related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance			0	0	0	0	0
Revenues			0	0	0	0	0
Expenditures			0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED128
 Name of Fund: PISCES BASALT CONCRETE PROJECT
 Legal Authority: A53/SL18

Contact Name: Chung Chang
 Phone: 586-2388
 Fund type (MOF) T
 Appropriation Acct. No. T-17-920-B

Intended Purpose: To explore the feasibility of using basalt as a construction material

Source of Revenues: One time grant

Current Program Activities/Allowable Expenses: Program completed, will refund balance

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	2,500	2,500	2,500	0	0	0
Revenues	2,500	0	0	0	0	0	0
Expenditures	0	0	0	2,500	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,500	2,500	2,500	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	2,500	2,500	2,500	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 138
 Name of Fund: Hawaii Green Infrastructure Special Fund
 Legal Authority: Section 196-65, HRS

Contact Name: Gwen Yamamoto Lau
 Phone: 587-2690
 Fund type (MOF) B
 Appropriation Acct. No. S-367 B

Intended Purpose: Making green infrastructure loans; paying administrative costs and other costs related to the Hawai'i green infrastructure loan program; paying financing costs.

Source of Revenues: Proceeds of bonds net issuance costs and reserves or overcollateralization amount; interest earned and other program revenue.

Current Program Activities/Allowable Expenses: Paying administrative and program costs of the Hawaii green infrastructure loan program.
 Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: Variations in revenue are primarily due to the sporadic timing when interest earned is posted to the account.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,000,000	1,000,000	1,122,211	1,122,211	1,122,211	1,122,211
Beginning Cash Balance	1,832,583	3,036,112	2,248,725	1,607,092	846,565	847,565	848,565
Revenues	16,243	78,587	46,911	67,002	1,000	1,000	1,000
Expenditures	659,223	865,974	688,543	827,529	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to Program Custodian	(153,491)	0					
Transfer from Program Custodian	2,000,000	0			1,000,000	1,000,000	1,000,000
Net Total Transfers	1,846,509	0	0	0	1,000,000	1,000,000	1,000,000
Ending Cash Balance	3,036,112	2,248,725	1,607,092	846,565	847,565	848,565	849,565
Encumbrances	363,789	476,379	1,902	349,761	300,000	0	0
Unencumbered Cash Balance	2,672,323	1,772,346	1,605,190	496,804	547,565	848,565	849,565

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 138
 Name of Fund: Hawaii Green Infrastructure Bond Fund, Outside State Treasury
 Legal Authority: Section 196-67, HRS

Contact Name: Gwen Yamamoto Lau
 Phone: 587-2690
 Fund type (MOF): B
 Appropriation Acct. No.: S-368-B

Intended Purpose: To secure the payment of bonds, amounts payable to financing parties and bondholders, amounts payable under any ancillary agreement, and other financing costs.

Source of Revenues: Proceeds of the green infrastructure fee; any other proceeds of green infrastructure property; and other moneys .

Current Program Activities/Allowable Expenses: Expenditures include debt service (Principal and Interest), ongoing costs, and cost of issuance.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: The revenue variance between FY17 and FY18 as well as FY19 and FY20 were due to the slightly delayed timing of when the Q4 JVs were filed for each fiscal year. Additionally, Between FY17 and FY18, only three quarters' worth of revenues were captured, up until FY20 when 5 quarters' worth of revenue was captured, resulting in the significant increase. Had all four quarters been properly posted in each fiscal year, the variance would have been +/- 10%.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	13,300,000	13,300,000	19,815,000	20,100,000	20,250,000	20,250,000
Beginning Cash Balance	8162835	7,973,930	4,534,649	1,501,781	5,137,277	5,137,277	5,137,277
Revenues	13,150,280	9,874,970	10,258,244	17,063,476	13,500,000	13,500,000	13,500,000
Expenditures	13,339,185	13,314,251	13,291,112	13,427,980	13,500,000	13,500,000	13,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,973,930	4,534,649	1,501,781	5,137,277	5,137,277	5,137,277	5,137,277
Encumbrances							
Unencumbered Cash Balance	7,973,930	4,534,649	1,501,781	5,137,277	5,137,277	5,137,277	5,137,277

Additional Information:

Amount Req. by Bond Covenants	16,039,989	13,339,185	19,936,668	20,141,970	20,141,970	20,250,000	20,250,000
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 138
 Name of Fund: Hawaii Green Infrastructure Special Fund
 Legal Authority: Section 196-65, HRS

Contact Name: Gwen Yamamoto Lau
 Phone: 587-2690
 Fund type (MOF): B
 Appropriation Acct. No.: S-370-B

Intended Purpose: State Revolving Loan Fund ("RLF") for Energy Efficiency retrofits established by Act 155 (SLH 2018) to make green infrastructure loans to state

Source of Revenues: Original Source of Revenue: Transfer \$50,000,000 in GEMS bond proceeds from S-395 to capitalize the RLF, within which the \$45,883,600 loan receivable from the Department of Education was also included. Due to the fund's revolving nature, repaid principal are available to be re-loaned for new energy related projects.

Current Program Activities/Allowable Expenses: Finance cost-effective energy efficiency retrofits for state departments and agencies.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Variances in revenues are dependent upon the timing of principal and interest repayments from state agencies.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	N/A	N/A	50,000,000	35,000,000	15,000,000	15,000,000	15,000,000
Beginning Cash Balance	0	0	43,981,027	35,016,817	25,235,284	16,925,284	9,565,284
Revenues	0	0	2,625,806	5,218,467	2,900,000	2,890,000	2,935,000
Bank Interest			331,626	807,513	200,000	140,000	100,000
Loan Principal Repayments			2,294,180	4,250,954	2,500,000	2,500,000	2,600,000
Loan Interest Repayments			0	160,000	200,000	250,000	235,000
Expenditures	0	0	11,590,017	14,999,999	11,210,000	10,250,000	5,300,000
Interest Paid to PUC			0	0	160,000	200,000	250,000
Loans Funded			11,570,166	14,953,347	11,000,000	10,000,000	5,000,000
Bank fees			19,851	46,652	50,000	50,000	50,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to Program Custodian		0					
Transfer from Program Custodian		0	43,981,027				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	35,016,817	25,235,284	16,925,284	9,565,284	7,200,284
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	35,016,817	25,235,284	16,925,284	9,565,284	7,200,284

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds	0						
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 138
 Name of Fund: Hawaii Green Infrastructure Special Fund, Outside State Treasury
 Legal Authority: Section 196-65, HRS

Contact Name: Gwen Yamamoto Lau
 Phone: 587-2690
 Fund type (MOF) B
 Appropriation Acct. No. S-395-B

Intended Purpose: To fund green infrastructure loans under the GEMS program and cover associated costs

Source of Revenues: Original funding from proceeds of GEMS bonds. Ongoing revenues from investment interest and program revenue.

Current Program Activities/Allowable Expenses: Making green infrastructure loans. pay administrative and other related program costs.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Variances in revenues are due to increasing returns on the investment funds as the Authority transferred its relationship from BNYM to FHB. The Interest earned in 2020 is twice as much as 2019 as the Authority missed its deadline to submit Journal Entries for FY19, therefore FY19 amounts will be reported in FY20. Variances in expenditures are primarily due to the timing of when loans are funded and repaid.

Financial Data

	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000,000	50,000,000	50,000,000	15,000,000	50,000,000	15,000,000	15,000,000
Beginning Cash Balance	142,828,433	136,526,428	132,197,074	79,161,740	66,315,818	55,910,818	47,110,818
Revenues	589,347	1,224,278	1,997,522	3,325,084	2,800,000	2,900,000	2,800,000
Interest Earned	589,347	577,549	238,991	1,456,278	250,000	250,000	250,000
Program Fees				92,000	50,000	50,000	50,000
Principal & Interest Repayment		646,729	1,758,531	1,776,806	2,500,000	2,600,000	2,500,000
Expenditures	4,891,352	5,553,632	9,054,307	16,171,006	12,205,000	10,700,000	10,800,000
Loans Funded	4,797,157	5,518,497	9,039,317	15,097,000	8,000,000	8,000,000	8,000,000
Principal & Interest Paid to PUC	0	0	0	646,729	4,005,000	2,500,000	2,600,000
Program Expenses	94,195	35,135	14,990	427,277	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from HGIA Special Fund	0			0	0	0	0
Transfer to HGIA Special Fund	(2,000,000)	0	(1,997,522)	0	(1,000,000)	(1,000,000)	(1,000,000)
Transfer to HGIA State Fund 370			(43,981,027)				
Net Total Transfers	(2,000,000)	0	(45,978,549)	0	(1,000,000)	(1,000,000)	(1,000,000)
Ending Cash Balance	136,526,428	132,197,074	79,161,740	66,315,818	55,910,818	47,110,818	38,110,818
Encumbrances							
Unencumbered Cash Balance	136,526,428	132,197,074	79,161,740	66,315,818	55,910,818	47,110,818	38,110,818

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Innovate Hawaii - Smart Talent
 Legal Authority: Administratively Created (Appropriated via Form E-2)

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-19-202-B

Intended Purpose: Smart Talent Project per US Department of Commerce Grant 70NANB18H233

Source of Revenues: US Department of Commerce, National Institute of Standards and Technology

Current Program Activities/Allowable Expenses: Operations and contracting for workforce related activity

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Under the Federal grant, revenues and expenditures vary year to year on grant activities

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,000,000	800,994	439,651	47,927	0
Beginning Cash Balance	0	0	0	9,270	0	0	0
Revenues			208,276	352,073	391,724	47,927	0
Expenditures			199,006	361,343	391,724	47,927	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	9,270	0	0	0	0
Encumbrances			63,333				
Unencumbered Cash Balance	0	0	(54,063)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: FAST Partnership Program
 Legal Authority: Administratively Created (Appropriated via Form E-2)

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-19-207-B

Intended Purpose: Federal and State Technology (FAST) Partnership Program with the US Small Business Administration award SBAHQ18G0004

Source of Revenues: US Small Business Administration

Current Program Activities/Allowable Expenses: Workshops, events, and associated costs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Under the Federal grant, revenues and expenditures vary year to year on grant activities

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	125,000	112,974	0	0	0
Beginning Cash Balance	0	0	0	0	(0)	(0)	(0)
Revenues			12,026	111,385	0	0	0
Expenditures			12,026	111,483	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Interest transferred to current year				98			
Net Total Transfers	0	0	0	98	0	0	0
Ending Cash Balance	0	0	0	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	0	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Energy Security Integration and Demo Ctr
 Legal Authority: Act 119, SLH 2015

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-16-234-B

Intended Purpose: For use of receiving and expending Federal funds per Air Force grant FA8650-15-2-5601 for a total of \$30,000,000

Source of Revenues: US Air Force Research Lab

Current Program Activities/Allowable Expenses: All operational expenses and contracting

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Under the Federal grant, revenues and expenditures vary year to year on grant activities

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	962,987	15,329,059	10,024,144	8,121,876	2,788,635	788,635	0
Beginning Cash Balance	1,031,511	2,095,187	834,194	305,917	388,635	388,635	0
Revenues	9,478,511	1,521,609	810,019	5,322,969	2,000,000	400,000	0
Expenditures	8,414,835	2,782,602	1,338,296	5,333,241	2,000,000	788,635	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from prior year				92,990			
Net Total Transfers	0	0	0	92,990	0	0	0
Ending Cash Balance	2,095,187	834,194	305,917	388,635	388,635	0	0
Encumbrances	3,125,108	1,107,459	3,445,990	893,748			
Unencumbered Cash Balance	(1,029,921)	(273,265)	(3,140,073)	(505,113)	388,635	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Entrepreneur Sandbox Collab Ctr, Oahu-Con
 Legal Authority: Administratively Created (Appropriated via Form E-2)

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) N
 Appropriation Acct. No. S-14-272-B

Intended Purpose: For construction of a facility for use by HTDC

Source of Revenues: Matching grant from the Federal government (EDA)

Current Program Activities/Allowable Expenses: For construction of a facility for use by HTDC

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			2,700,000	300,000	0	0	0
Expenditures			2,700,000	300,000	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		3,000,000	300,000				
Unencumbered Cash Balance	0	(3,000,000)	(300,000)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: High Technology Development Corporation
 Legal Authority: 206M-15.6

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF): W
 Appropriation Acct. No.: S-342-B

Intended Purpose: To invest in technology development in Hawaii

Source of Revenues: 1) Appropriations from the Legislature 2) moneys received as repayments of loans 3) investment earnings 4) royalties 5) premiums or fees or equity charged by HTDC or otherwise received by HTDC 6) loans that are convertible to equity

Current Program Activities/Allowable Expenses: Any activity for the purpose of investing in technology development in Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: HSDC's authority was transferred to HTDC. All HSDC activities are included in this appropriation. All income and expenses are unknown at this time.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	1,500,000	2,018,203	2,018,203	2,018,203	2,018,203
Beginning Cash Balance		0	6,000	6,000	112,432	117,432	122,432
Revenues		6,000	0	114,055	10,000	10,000	10,000
Expenditures				7,623	5,000	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	6,000	6,000	112,432	117,432	122,432	127,432
Encumbrances							
Unencumbered Cash Balance	0	6,000	6,000	112,432	117,432	122,432	127,432

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: High Technology Special Fund
 Legal Authority: 206M-15.5

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF): B
 Appropriation Acct. No.: S-357-B

Intended Purpose: For the operation, maintenance, and management of its industrial parks, projects, facilities, services and publications, and to pay the expenses in administering the special purpose revenue bonds of HTDC or in carrying out its project agreements.

Source of Revenues: All moneys and fees from tenants, qualified persons or other users of the development corporation's industrial parks, projects, other leased facilities, and other services and publications".

Current Program Activities/Allowable Expenses: Moneys shall be expended for the operation, maintenance, and management of its industrial parks, projects, facilities, services and publications, or in carrying out its project agreements. The primary expenditures include routine administrative expenses, project expenses, building and equipment replacements and repairs, and Central Service Assessment. Consulting fees generated by the MEP program are expended back into the program as a "cash match" requirement of the cooperative agreement. HTDC may also use the funds for feasibility studies and/or to develop programs and new tech centers.

Variances: HTDC lost revenue from the Manoa Innovation Center in FY19

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,805,488	3,858,345	3,898,345	3,898,345	3,898,345	3,898,345	3,898,345
Beginning Cash Balance	684,407	563,295	765,213	463,391	339,480	339,480	339,480
Revenues	944,085	1,182,645	194,313	303,602	200,000	200,000	200,000
Expenditures	1,065,197	1,263,934	693,713	427,513	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		283,207	197,578				
Net Total Transfers	0	283,207	197,578	0	0	0	0
Ending Cash Balance	563,295	765,213	463,391	339,480	339,480	339,480	339,480
Encumbrances	205,787	286,159	414,049	150,649			
Unencumbered Cash Balance	357,508	479,054	49,342	188,831	339,480	339,480	339,480

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Alternative Energy Research & Dev Rev Fund
 Legal Authority: Act 67, SLH 2018

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) W
 Appropriation Acct. No. S-369-B

Intended Purpose: The purpose of the program shall be to promote the research and development of alternative energy in Hawaii by authorizing the Hawaii technology development corporation to provide matching grants to awardees of DOD research of alternative energy.

Source of Revenues: Transferred from G-19-359 appropriated from State of Hawaii general revenues

Current Program Activities/Allowable Expenses: Grant Awards

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,000,000	950,000	0	0	0
Beginning Cash Balance	0	0	0	950,000	0	0	0
Revenues			950,000	0	0	0	0
Expenditures			0	950,000	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	950,000	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	950,000	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Research & Development Special Fund
 Legal Authority: Act 141, SLH 2018

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) B
 Appropriation Acct. No. S-371-B

Intended Purpose: To help Hawaii-based small businesses optimize research and development performed in Hawaii

Source of Revenues: Transferred from G-19-377 appropriated from State of Hawaii general revenues

Current Program Activities/Allowable Expenses: Award grants to qualified applicants

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,000,000	950,000	0	0	0
Beginning Cash Balance	0	0	0	950,000	0	0	0
Revenues			950,000	0	0	0	0
Expenditures				950,000	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	950,000	0	0	0	0
Encumbrances			950,000				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: High Technology Development Center
 Legal Authority: Act 134, SLH 2013

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-14-501-B

Intended Purpose: Activities that assist local manufacturers

Source of Revenues: Grant from Federal government (NIST)

Current Program Activities/Allowable Expenses: Personnel and operational expenses for HTDC's Innovate Hawaii program as approved by NIST

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: Under the Federal grant, revenues and expenditures vary year to year on grant activities

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,006,930	0	0	0	0	0	0
Beginning Cash Balance	23,530	5	98	98	0	0	0
Revenues	450,370	93	0	0	0	0	0
Expenditures	473,895	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
transfer to current year of grant				(98)			
Net Total Transfers	0	0	0	(98)	0	0	0
Ending Cash Balance	5	98	98	0	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	5	98	98	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: HTDC Innovate Hawaii
 Legal Authority: Administratively Created (Appropriated via Form E-2)

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-17-517-B

Intended Purpose: Activities that assist local manufacturers

Source of Revenues: Grant from Federal government (NIST)

Current Program Activities/Allowable Expenses: Personnel and operational expenses for HTDC's Innovate Hawaii program as approved by NIST

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Under the Federal grant, revenues and expenditures vary year to year on grant activities

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	882,955	1,063,691	1,171,138	700,000	700,000	700,000
Beginning Cash Balance	0	40,456	5,567	56,438	107,462	57,462	7,462
Revenues	157,500	429,800	643,425	577,000	600,000	700,000	700,000
Expenditures	117,044	464,689	592,554	525,976	650,000	750,000	707,462
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	40,456	5,567	56,438	107,462	57,462	7,462	0
Encumbrances		93,403	14,909	1,214			
Unencumbered Cash Balance	40,456	(87,836)	41,529	106,248	57,462	7,462	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: HCATT National Demonstration Center
 Legal Authority: Administratively Created (Appropriated via Form E-2)

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-18-525-B

Intended Purpose: Co-operative agreement with US Air Force FA8650-11-2-5605

Source of Revenues: US Air Force

Current Program Activities/Allowable Expenses: Close out of grant

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Under the Federal grant, revenues and expenditures vary year to year on grant activities

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	11,891,956	11,891,956	92,990	0	0	0
Beginning Cash Balance	0	0	0	92,990	(0)	(0)	(0)
Revenues			278,188	0	0	0	0
Expenditures			185,198	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to current year S-234				(92,990)			
Net Total Transfers	0	0	0	(92,990)	0	0	0
Ending Cash Balance	0	0	92,990	(0)	(0)	(0)	(0)
Encumbrances		10,000	0				
Unencumbered Cash Balance	0	(10,000)	92,990	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: HCATT MCCA Air National Guard
 Legal Authority: Administratively Created (Appropriated via Form E-2)

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-526-B

Intended Purpose: Co-operative Agreement W912J6-18-2-2021 with National Guard Bureau

Source of Revenues: US National Guard

Current Program Activities/Allowable Expenses: Contracting for bypass project at Joint Base Pearl Harbor Hickam

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Under the Federal grant, revenues and expenditures vary year to year on grant activities

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	2,700,000	2,700,000	554,876	0	0
Beginning Cash Balance	0	0	0	0	1,217,454	(0)	(0)
Revenues				2,145,124	554,876	0	0
Expenditures				927,671	1,772,330	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,217,454	(0)	(0)	(0)
Encumbrances				1,772,329			
Unencumbered Cash Balance	0	0	0	(554,876)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: FAST Partnership Program
 Legal Authority: Administratively Created (Appropriated via Form E-2)

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-530-B

Intended Purpose: Federal and State Technology (FAST) Partnership Program with the US Small Business Administration award SBAHQ19G0023

Source of Revenues: US Small Business Administration

Current Program Activities/Allowable Expenses: Operations and contracting for workforce related activity

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Under the Federal grant, revenues and expenditures vary year to year on grant activities

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	125,000	105,859	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				19,141	105,859	0	0
Expenditures				19,141	105,859	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Kakaako Sandbox Improvement & Maint
 Legal Authority: Comptroller approval

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) T
 Appropriation Acct. No. T-915-B

Intended Purpose: Trust for the improvement and benefit of the Kakaako Sandbox

Source of Revenues: Private donation

Current Program Activities/Allowable Expenses: Improvement and betterment of the Entrepreneurs Sandbox in Kakaako

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	1,000,000	900,000	800,000
Revenues				1,000,000	0	0	0
Expenditures				0	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,000,000	900,000	800,000	700,000
Encumbrances							
Unencumbered Cash Balance	0	0	0	1,000,000	900,000	800,000	700,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 144/PL
 Name of Fund: Brownfields Cleanup Revolving Loan Fund (BCRLF)
 Legal Authority: HRS § 201-18 (Act 20, SLH 2007; Act 173, SLH 2002)

Contact Name: Ruby Edwards
 Phone: 587-2817
 Fund type (MOF) W
 Appropriation Acct. No. S-359-B

Intended Purpose: The BCRLF was established with a \$2 million US EPA grant to provide low-cost loans for the cleanup of eligible contaminated sites. The low-cost loans are intended to facilitate the reuse of sites whose redevelopment is hindered by the potential liability and cost of cleaning up contamination that may harm human and environmental health.

Source of Revenues: A corpus of \$1.977 million in grant funds was available for direct loans. The grant has ended; the primary source of revenue is repayment of loan principal and interest (if interest is charged) and any program fees.

Current Program Activities/Allowable Expenses: Loans for cleanup of contaminated sites. Other program costs related to cleanup, i.e. public notice ads, community involvement and outreach, contracts for technical assistance, DOH VRP fees, confirmation sampling, environmental insurance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The purpose of the fund ended. OP has proposed legislation to change the loan fund into a grant program which could be used to help with economic recovery.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	1,236,906	987,583	1,535,246	1,535,233	1,535,233	1,535,233	535,233
Revenues	0	547,675	0				
Expenditures	249,323	12	13			1,000,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	987,583	1,535,246	1,535,233	1,535,233	1,535,233	535,233	535,233
Encumbrances	12					535,233	
Unencumbered Cash Balance	987,571	1,535,246	1,535,233	1,535,233	1,535,233	0	535,233

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY15-16
 Legal Authority: Act 119, SLH 2015

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-16-201-B

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA15NOS4190105, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: Under the cooperative agreement, revenue and expenditures vary from year to year based on activities during each period of the award. Award period has ended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,487,159		760,912				
Beginning Cash Balance	39,025	3,257	43,126	(0)	(0)	(0)	(0)
Revenues	664,467	95,217	83,750				
Expenditures	670,899	55,348	126,877				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(29,336)						
Net Total Transfers	(29,336)		0	0	0	0	0
Ending Cash Balance	3,257	43,126	(0)	(0)	(0)	(0)	(0)
Encumbrances	74,200	127,785					
Unencumbered Cash Balance	(70,943)	(84,659)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY16-17
 Legal Authority: Act 124, SLH 2016

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-17-201-B

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA16NOS4190093, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variations: Under the cooperative agreement, revenue and expenditures vary from year to year based on activities during each period of the award. Award period has ended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,413,278	1,654,755	647,448	518,709	0		
Beginning Cash Balance	0	70,160	1,853	16,514	0	0	0
Revenues	801,901	939,000	143,400	53,163	0		
Expenditures	758,523	1,007,307	128,739	69,677	0		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	26,782	0					
Net Total Transfers	26,782	0	0	0	0	0	0
Ending Cash Balance	70,160	1,853	16,514	0	0	0	0
Encumbrances	1,005,501	1,879	68,870	98			
Unencumbered Cash Balance	(935,341)	(26)	(52,356)	(98)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY17-18
 Legal Authority: Act 49, SLH 2017

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-18-201-B

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA17NOS4190102, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: During this period, delays in activities warranted the need to request an award extension which was reviewed and approved by the granting agency. COVID-19 emergency proclamations disrupted existing planned activities, imposed inter- and intra-state travel restrictions, implemented social distancing orders, and other precautions which constrained staff from accomplishing certain tasks within the time allotted. The grant extension also allowed for activities not earlier projected, but that are able to be accomplished with funds previously allocated to travel and other program administration tasks.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		2,385,688	1,470,751	546,027	278,116	147,744	
Beginning Cash Balance	0	0	48,947	8,429	34,038	0	0
Revenues	0	984,000	884,206	293,520	96,334	20,767	
Expenditures	0	935,053	924,724	267,911	130,372	20,767	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	48,947	8,429	34,038	0	0	0
Encumbrances		966,999	204,967	112,187			
Unencumbered Cash Balance	0	(918,052)	(196,538)	(78,149)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY18-19
 Legal Authority: Act 53, SLH 2018

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-19-201-B

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA18NOS4190082, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: During this period, delays in activities warranted the need to request an award extension which was reviewed and approved by the granting agency. COVID-19 emergency proclamations disrupted existing planned activities, imposed inter- and intra-state travel restrictions, implemented social distancing orders, and other precautions which constrained staff from accomplishing certain tasks within the time allotted. The grant extension also allowed for activities not earlier projected, but that are able to be accomplished with funds previously allocated to travel and other program administration tasks.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			2,459,651	3,974,314	1,920,936	1,046,558	
Beginning Cash Balance	0	0	0	87,259	80,519	0	0
Revenues			1,103,238	2,046,639	793,859	248,460	
Expenditures			1,015,979	2,053,379	874,378	248,460	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	87,259	80,519	0	0	0
Encumbrances			985,891	1,113,926			
Unencumbered Cash Balance	0	0	(898,631)	(1,033,407)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 144/PL
 Name of Fund: _____
 Legal Authority _____

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-20-201-B

Intended Purpose: There is no federal funding associated with this appropriation account number. There are no revenue or expenditures to report.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				2,364,264			
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 144
 Name of Fund: Probabilistic Tsunami Design Maps for HI
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-18-205-B

Intended Purpose: Modeling of tsunami inundation risk and preparation of high resolution probabilistic tsunami design zone maps.

Source of Revenues: Award No. NA16NOS4190152 National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Project management; modeling of tsunami inundation risk; preparation of high resolution probabilistic tsunami design zone maps for Oahu excluding the urban core and Haleiwa; technical review and final report and map products.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	245,000	245,000	135,000	0		
Beginning Cash Balance	0	0	0	500	0	0	0
Revenues	0		110,500	134,500	0		
Expenditures	0		110,000	135,000	0		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	500	0	0	0	0
Encumbrances			135,000				
Unencumbered Cash Balance	0	0	(134,500)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 144
 Name of Fund: HI Historical Shoreline Database Update
 Legal Authority: Non Appropriated

Contact Name: Susan Feeny
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-18-217-B

Intended Purpose: Updating the Hawaii Historical Shoreline Database: Modeling Past, Present and Future Shoreline changes to assess and analyze shoreline management policies for greater coastal resilience.

Source of Revenues: Award No. NA17NOS4190171, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: High resolution modeling of historical shoreline change for Oahu, Maui and Kauai islands; modeling of future rates of shoreline changes for these islands; stakeholder input; and communication and dissemination of project products e.g. geospatial databases.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	225,000	225,000	150,000	75,000	0	
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0		75,000	75,000	74,640	0	
Expenditures	0		75,000	75,000	74,640	0	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		225,000	150,000	75,000			
Unencumbered Cash Balance	0	(225,000)	(150,000)	(75,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 144/PL
 Name of Fund: CEDS Update
 Legal Authority: Act 124, SLH 2016

Contact Name: Debra Mendes
 Phone: 587-2840
 Fund type (MOF) N
 Appropriation Acct. No. S-17-510-B

Intended Purpose: To allow the Office of Planning to identify suitable State lands and to identify projects which will support Comprehensive Economic Development Strategy (CEDS) targeted emerging growth cluster industries (emerging growth industries) and which can feasibly be developed on State lands near the rail stations.

Source of Revenues: Award No. 07-79-07394, Economic Development Administration, U.S. Dept. of Commerce.

Current Program Activities/Allowable Expenses: Staff support to assist the development of projects and facilities for emerging growth industries on state lands near the rail stations. Technical assistance, administrative support, facilitation and research.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: OP has received approval from the granting agency to use the remaining funds for staff support.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	140,000	140,000	137,218	67,974	53,774		
Beginning Cash Balance	0	0	985	54	(0)	(0)	(0)
Revenues		3,767	68,313	14,146	43,774		
Expenditures		2,782	69,244	14,200	43,774		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	985	54	(0)	(0)	(0)	(0)
Encumbrances		69,298	0				
Unencumbered Cash Balance	0	(68,313)	54	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 146/EL
 Name of Fund: Natural Energy Laboratory of Hawaii Authority
 Legal Authority: HRS Section 227D

Contact Name: Jerrae Miranda
 Phone: 327-9585 ext. 234
 Fund type (MOF): B
 Appropriation Acct. No. S-345-B

Intended Purpose: To provide for the operation, maintenance, and management of NELHA projects, facilities and services and for the design and construction of new facilities and the renovation of or addition to existing facilities.

Source of Revenues: Fees collected from tenants in the form of: Land use fees, Laboratory space rent, Royalties, Percentage Rent. Administrative, Electrical, Seawater, Freshwater reimbursement from tenants. Interest income and overhead charges from tenants.

Current Program Activities/Allowable Expenses: Operate and maintain transmission and distribution systems for pumping 55,400 gallons per minute of deep and surface seawater; Market sites and resources to potential new projects and businesses for the Keahole sites; Operate a certified water quality laboratory; Provide technical, clerical, operation and construction support to tenants; Operate and maintain construction and operating equipment and the grounds and buildings; Provide informational and educational material and lectures.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,816,399	7,814,459	7,814,459	7,900,628	7,908,896	7,908,896	7,908,896
Beginning Cash Balance	1,725,570	1,304,780	749,546	508,482	526,706	797,409	802,364
Revenues	4,457,648	4,456,349	4,498,818	4,612,410	5,248,250	5,032,277	5,082,599
Expenditures	4,878,438	5,011,583	4,739,882	4,594,185	4,977,547	5,027,322	5,077,596
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,304,780	749,546	508,482	526,706	797,409	802,364	807,367
Encumbrances	1,052,311	358,829	96,753	178,199			
Unencumbered Cash Balance	252,469	390,717	411,729	348,507	797,409	802,364	807,367

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

- Notes:
1. FY 17 Beginning Cash should be 1,725,570 (100 diff as explained in Ryan Y & Sheryll K emails of 11/4/2016)
 2. FY 17 Encumbrances corrected; was 255,515 should be 1,052,312.
 3. FY 19 Revenue corrected; was 4,491,401, should be 4,498,818
 4. FY 19 Expenses corrected; was 4,533,060 should be 4,739,882
 5. OHA transfers not included in totals. Revenue and Expenditures are entered and reported on BED146 T-903.
 6. Estimated amounts for FY 2021 as reported on Multi-Financial Plan submitted 09.24.20

All adjustments are verified with FAMIS MBP430 and now in alignment with Non-General Fund Worksheet BED146 as of 06.30.20

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 146/EL
 Name of Fund: NELHA Grid Scale Energy Storage System
 Legal Authority: 227D-5 HRS

Contact Name: Jerrae Miranda
 Phone: 327-9585 ext. 234
 Fund type (MOF) P
 Appropriation Acct. No. S-18-522-B

Intended Purpose: Grid scale vanadium flow battery demonstration

Source of Revenues: US Department of Energy via Sandia National Laboratory

Current Program Activities/Allowable Expenses: In demonstration mode, collecting data and validating efficiency of system.
 In discussions with Sandia National Laboratory regarding technical difficulties and complication with the technology being tested.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		274,427	146,100	60,177	60,177		
Beginning Cash Balance		0	0	0	0	0	0
Revenues		128,327	85,923	0	50,000	0	0
Expenditures		128,327	85,923	0	50,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		125,000		50,000	0	0	0
Unencumbered Cash Balance	0	(125,000)	0	(50,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 146/EL
 Name of Fund: Natural Energy Laboratory of Hawaii Authority
 Legal Authority: 109-58 Energy Policy Act (2005)

Contact Name: Jerrae Miranda
 Phone: 327-9585 ext. 234
 Fund type (MOF) P
 Appropriation Acct. No. S-19-527-B

Intended Purpose: Solar desalination Demonstration Project

Source of Revenues: US Department of Energy

Current Program Activities/Allowable Expenses: Demonstration project is underway, collecting data, configuring site. Phase 1 is complete. Phase 2 is underway. Phase 3 will be initiated after completion of Phase 2.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	1,928,238	1,928,238	1,928,238	
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	540,148	1,176,000	130,264	
Expenditures			0	540,148	1,176,000	130,264	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				1,306,264	130,264		
Unencumbered Cash Balance	0	0	0	(1,306,264)	(130,264)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 146/EL
 Name of Fund: OHA Ceded Lands Proceeds (NELHA)
 Legal Authority: HRS Section 37-82.5

Contact Name: Jerrae Miranda
 Phone: 327-9585 ext. 234
 Fund type (MOF) T
 Appropriation Acct. No. T-903-B

Intended Purpose: The purpose of this account is to collect and transfer moneys owed to the Office of Hawaiian Affairs (OHA) for ceded land revenue

Source of Revenues: 20 percent of rent revenue from ceded lands is deposited into the fund.

Current Program Activities/Allowable Expenses: Serves as a separate holding account for credit to OHA which is transferred on a quarterly basis

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0			
Beginning Cash Balance	0	3,048	217	0	0	0	0
Revenues	372,761	327,266	361,421	405,400	446,101	427,744	432,021
Expenditures	369,713	330,097	361,638	405,400	446,101	427,744	432,021
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,048	217	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	3,048	217	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 150KL
 Name of Fund: Kalaeloa Community Development Revolving Fund
 Legal Authority: Section 206E-195, HRS

Contact Name: Garet Sasaki
 Phone: 594-0343
 Fund type (MOF): Revolving (W)
 Appropriation Acct. No.: S-326-B/S-356-B

Intended Purpose:

Section 206E-195, HRS, requires all revenues and receipts for the Kalaeloa Community Development District be deposited into this fund and be used to further HCDA's community development objectives for Kalaeloa. Chapter 206E, HRS, identifies programs and objectives similar to those identified for Hawaii Community Development Revolving Fund, appropriation account numbers, S-352-B and S-358-B.

Source of Revenues:

The Kalaeloa Community Development Revolving Fund is set up similar to the Hawaii Community Development Revolving Fund with similar programs established by statute for this district. Currently, all activity of the Kalaeloa Community Development Revolving Fund is captured in the Leasing and Management subaccount as infrastructure constraints have stymied the redevelopment of this district.

Current revenues are limited to assessment payments from all land users, except the federal government, for their fair share of the costs required to administer and operate the Kalaeloa Community Development District. Also, leasing and management revenues generated from HCDA owned/operated properties in the district.

Current Program Activities/Allowable Expenses:

The Kalaeloa Community Development Revolving Fund is set up similar to the Hawaii Community Development Revolving Fund with similar programs established by statute for this district. Currently, all activity of the Kalaeloa Community Development Revolving Fund is captured in the Leasing and Management subaccount as infrastructure constraints have stymied the redevelopment of this district.

Current expenses are limited to administrative expenses for Kalaeloa and long-range planning activities to yield redevelopment and further economic development in the district.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	433,700	506,700	509,458	245,954	200,000	200,000	200,000
Beginning Cash Balance	245,135	280,896	788,329	757,771	1,204,069	650,367	196,665
Revenues	202,935	215,607	316,137	340,701	340,701	340,701	340,701
Expenditures	395,276	444,149	772,709	894,403	894,403	894,403	894,403
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached tab for FY20				1,000,000			
Net Total Transfers	228,102	735,975	426,014	1,000,000	-	100,000	550,000
Ending Cash Balance	280,896	788,329	757,771	1,204,069	650,367	196,665	192,963
Encumbrances	-	620,564	358,958	191,491	191,491	191,491	191,491
Unencumbered Cash Balance	280,896	167,765	398,813	1,012,578	458,876	5,174	1,472

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 150KA
 Name of Fund: Hawaii Community Development Revolving Fund
 Legal Authority: Section 206E-16, HRS

Contact Name: Garet Sasaki
 Phone: 594-0343
 Fund type (MOF): Revolving (W)
 Appropriation Acct. No.: S-352-B/S-358-B

Intended Purpose:
 Section 206E-16, HRS, requires all revenues and receipts of Hawaii Community Development Authority (HCDA) to be deposited into this fund and used to further HCDA's purposes. Certain purposes are identified Chapter 206E include programs for the development/improvement of public facilities for dedication, reserved housing, and improvement districts - each is further discussed in the sections that follow. By statute, the HCDA is also tasked with long-range planning for its community development districts and the maintenance of these plans. The HCDA must also manage/maintain properties that it owns in each community development district.

Source of Revenues:
 The Hawaii Community Development Revolving Fund consists of four sub-accounts established by different sections of Chapter 206E, HRS.
 - The Public Facilities Dedication sub-account consists of fees collected from private developers to mitigate the impacts of their developments to be invested in public facilities.
 - The Reserved Housing sub-account is made up of fees collected from developers or reserved housing unit owners upon re-sale of their unit, to ensure the continued availability of low-income and affordable housing. Funds may also be used for the development of new low-income and affordable housing units.
 - The Improvement District sub-account consists of assessments paid by landowners for their proportionate share of Improvement District project costs.
 - The Leasing & Management sub-account consists of monies collected from the leasing and management of HCDA-owned/operated properties.

Current Program Activities/Allowable Expenses:
 By statute, funds can only be used for purposes contained in Chapter 206E, HRS. The break-down by subaccount allowable activities/expenses follow:
 - Public Facility Dedication - the purchase, creation, expansion or improvement of public facilities within a community development district.
 - Reserved Housing - Increase the supply of housing for residents of low- or moderate- income within a community district and administration of the reserved housing program.
 - Improvement District - Repayment and administration of ID financings.
 - Leasing & Management - Management and maintenance of HCDA-owned property, long-range planning for community districts, HCDA administrative costs, and all other costs that cannot be funded by any other funding source.

Purpose of Proposed Ceiling Adjustment (if applicable): Increased ceiling is to cover 2 general-funded positions converted to revolving (W) funds.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	776,005	866,658	2,313,900	1,625,868	1,625,868	1,895,868	1,895,868
Beginning Cash Balance	26,078,234	26,536,106	27,598,598	25,141,089	23,729,389	23,204,133	22,578,877
Revenues	3,181,752	4,478,810	2,842,359	4,475,137	4,475,137	4,475,137	4,475,137
Expenditures	2,495,778	2,530,344	4,273,854	5,000,393	5,000,393	5,000,393	5,000,393
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached tab for FY20				(886,444)			
Net Total Transfers	(228,102)	(885,974)	(1,026,014)	(886,444)	-	(100,000)	(550,000)
Ending Cash Balance	26,536,106	27,598,598	25,141,089	23,729,389	23,204,133	22,578,877	21,503,621
Encumbrances	3,163,622	2,710,311	1,584,627	2,105,998	2,105,998	2,105,998	2,105,998
Unencumbered Cash Balance	23,372,484	24,888,287	23,556,462	21,623,391	21,098,135	20,472,879	19,397,623

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 150
 Name of Fund: Heeia Community Development Revolving Fund
 Legal Authority: Section 206E-204, HRS

Contact Name: Garet Sasaki
 Phone: 594-0343
 Fund type (MOF): Revolving (W)
 Appropriation Acct. No.: S-364-B

Intended Purpose:

Section 206E-204, HRS, requires all revenues and receipts for the Heeia Community Development District be deposited into this fund and be used to further HCDA's community development objectives for Heeia. Objectives include implementation of policies, programs, and projects that preserve and protect the environment and promote agriculture through varied land uses.

Source of Revenues:

Transfer monies from Hawaii Community Development Revolving Fund and lease rent.

Current Program Activities/Allowable Expenses:

The HCDA is currently working to develop a community development plan for this community development district. Following the adoption of a community development plan, the HCDA will work to establish a set of rules for the district and adopt programs necessary for the implementation of the plan.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,391	5,428	76,028	31,280	25,618	19,956	19,794
Revenues	1,037	100	1,252	4,338	4,338	4,338	4,338
Expenditures	-	79,500	46,000	10,000	10,000	4,500	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
None in FY20				-			
Net Total Transfers	-	150,000	-	-	-	-	-
Ending Cash Balance	5,428	76,028	31,280	25,618	19,956	19,794	24,132
Encumbrances	-	70,500	24,500	14,500	4,500	-	-
Unencumbered Cash Balance	5,428	5,528	6,780	11,118	15,456	19,794	24,132

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 150
 Name of Fund: Emergency Apprn-HCDA Revolving Fund
 Legal Authority: Act 34, SLH 2019

Contact Name: Garet Sasaki
 Phone: 594-0343
 Fund type (MOF) Revolving (W)
 Appropriation Acct. No. S-19-381-B

Intended Purpose:
 Emergency appropriation to provide funds to cover payroll, fringe benefits, and administrative costs.

Source of Revenues:
 No source of revenues. This is a one-time emergency appropriation.

Current Program Activities/Allowable Expenses:
 Reimburse back HCDA's operating fund due to shortage of appropriation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			600,000	-	-	-	-
Beginning Cash Balance			-	129,249	-	-	-
Revenues			-	-	-	-	-
Expenditures			470,751	15,693	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 5458			291,156				
JS 5458			308,844				
JS2028 to S-20-352				(113,556)			
Net Total Transfers	-	-	600,000	(113,556)	-	-	-
Ending Cash Balance	-	-	129,249	-	-	-	-
Encumbrances			-	-	-	-	-
Unencumbered Cash Balance	-	-	129,249	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-352 FY2020 Cash Transfer

Fund	Amount	FYFM	HCDA Log	JV No.	Date	Explanation
S-19-352	(450,000.00)	202001	HCDA0001	JS0008	7/3/2019	To S-20-358 for Admin
S-19-352	(309,000.00)	202001	HCDA0001	JS0008	7/3/2019	To S-20-358 for 3QTR PR
S-19-352	(33,000.00)	202001	HCDA0002	JS0009	7/3/2019	To S-20-356 for 3QTR PR
S-20-352	33,000.00	202004	HCDA0019	JS1887	10/25/2019	From S-20-356 for Return 3QTR PR
S-20-352	(768,415.64)	202004	HCDA0024	JS1977	10/31/2019	To S-20-358 for 2-4QTR Admin/PR
S-20-352	378,439.21	202004	HCDA0024	JS1977	10/31/2019	From S-19-358 Trsf. Available cash
S-20-352	2,242.15	202004	HCDA0024	JS1977	10/31/2019	From S-18-358 Trsf. Available cash
S-20-352	113,556.49	202004	HCDA0028	JS2028	10/31/2019	From S-19-381 Trsf. Available cash
S-20-352	(1,000,000.00)	202005	HCDA0031	JS2356	11/27/2019	To S-20-326 for Stockpile project
Total 352	(2,033,177.79)					

S-358 FY2019 Cash Transfer

S-20-358	450,000.00	202001	HCDA0001	JS0008	7/3/2019	From S-19-352 for Admin
S-20-358	309,000.00	202001	HCDA0001	JS0008	7/3/2019	From S-19-352 for 3QTR PR
S-20-358	768,415.64	202004	HCDA0024	JS1977	10/31/2019	From S-20-352 for 2-4QTR Admin/PR
S-19-358	(378,439.21)	202004	HCDA0024	JS1977	10/31/2019	To S-20-352 for Trsf. Available Cash
S-18-356	(2,242.15)	202004	HCDA0024	JS1977	10/31/2019	To S-20-352 for Trsf. Available Cash
Total 358	1,146,734.28					

Net (886,443.51)

S-326 FY2020 Cash Transfer

Fund	Amount	FYFM	HCDA Log	JV No.	Date	Explanation
S-19-326	(83,592.00)	202001	HCDA0002	JS0009	7/3/2019	To S-20-356 for FY20 Admin
S-19-326	(5,052.59)	202004	HCDA0019	JS1887	10/25/2019	To S-19-356 for short available cash
S-20-326	1,000,000.00	202005	HCDA0031	JS2356	11/27/2019	From S-20-352 for Stockpile project

Total 326 911,355.41

S-356 FY2020 Cash Transfer

S-20-356	33,000.00	202001	HCDA0002	JS0009	7/3/2019	From S-19-352 for FY20 3QTR PR
S-20-356	83,592.00	202001	HCDA0002	JS0009	7/3/2019	From S-19-326 for FY20 Admin
S-20-356	(33,000.00)	202004	HCDA0019	JS1887	10/25/2019	To S-20-352 for Return FY20 3QTR PR
S-19-356	5,052.59	202004	HCDA0019	JS1887	10/25/2019	From S-19-326 for short available cash

Total 356 88,644.59

Net 1,000,000.00

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160HF
 Name of Fund: Home Investment Partnership Program
 Legal Authority: P.L. 101-625, Title II

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Federal Fund "N"
 Appropriation Acct. No.: S-14-212-B

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues: HUD

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variiances: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,740,360	1,325,809	644,640	376,418	376,418		
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,414,551	681,169	268,222	0	0	0	0
Expenditures	1,414,551	681,169	268,222	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160HF
 Name of Fund: Home Investment Partnership Program
 Legal Authority P.L. 101-625, Title II

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF) Federal Fund "N"
 Appropriation Acct. No. S-15-212-B

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues: HUD

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,707,116	242,964	45,682	2,113			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,464,152	197,282	43,569	0	0	0	0
Expenditures	2,464,152	197,282	43,569	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Ties to FAMS

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160HF
 Name of Fund: Home Investment Partnership Program
 Legal Authority: P.L. 101-625, Title II

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Federal Fund "N"
 Appropriation Acct. No.: S-16-212-B

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues: HUD

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variiances: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,809,121	1,542,429	669,883	263,907			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,266,692	872,546	405,976	263,907	0	0	0
Expenditures	1,266,692	872,546	405,976	263,907	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160HF
 Name of Fund: Home Investment Partnership Program
 Legal Authority: P.L. 101-625, Title II

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Federal Fund "N"
 Appropriation Acct. No.: S-17-212-B

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues: HUD

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variiances: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,100,000	2,944,083	2,237,343	1,633,890	335,737		
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	155,917	706,740	603,453	1,298,153	335,737	0	0
Expenditures	155,917	706,740	603,453	1,298,153	335,737	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160HF
 Name of Fund: Home Investment Partnership Program
 Legal Authority: P.L. 101-625, Title II

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Federal Fund "N"
 Appropriation Acct. No.: S-18-212-B

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues: HUD

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variiances: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,100,000	2,957,241	631,119	271,895		
Beginning Cash Balance		0	0	0	0	0	0
Revenues		142,759	2,326,122	359,224	271,895	0	0
Expenditures		142,759	2,326,122	359,224	271,895	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance		0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance		0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160HF
 Name of Fund: Home Investment Partnership Program
 Legal Authority: P.L. 101-625, Title II

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Federal Fund "N"
 Appropriation Acct. No.: S-19-212-B

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues: HUD

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,100,000	2,949,593	2,461,872	1,461,872	461,872
Beginning Cash Balance			0	0	0	0	0
Revenues			150,407	487,721	1,000,000	1,000,000	461,872
Expenditures			150,407	487,721	1,000,000	1,000,000	461,872
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance			0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance			0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160HF
 Name of Fund: Home Investment Partnership Program
 Legal Authority: P.L. 101-625, Title II

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Federal Fund "N"
 Appropriation Acct. No.: S-20-212-B

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues: HUD

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,100,000	2,949,713	1,949,713	949,713
Beginning Cash Balance				0	0	0	0
Revenues				150,287	1,000,000	1,000,000	949,713
Expenditures				150,287	1,000,000	1,000,000	949,713
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance				0	0	0	0
Encumbrances							
Unencumbered Cash Balance				0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160-HD
 Name of Fund: Dwelling Unit Revolving Fund (DURF) (incl 314 & 320)
 Legal Authority: HRS Chapter 201H-191

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Revolving "W"
 Appropriation Acct. No. S-YY-375-B, S-YY-382-B

Intended Purpose:

The Dwelling Unit Revolving Fund was established in accordance with Act 105, SLH 1970. This Act together with Act 195, SLH 1975, and Act 225, SLH 1976 (which repealed Act 239, SLH 1969) authorized the State to issue general obligation bonds of \$125,000,000 for acquiring, developing, selling & leasing rental residential, commercial & industrial properties; & for providing mortgage, interim construction, down payment, participation mortgage & agreement of sale loans. Act 132, SLH 2016 broadened the use of DURF to also fund regional state infrastructure construction in conjunction with housing and mixed-use transit-oriented development projects.

Source of Revenues:

Repayments on loans; Sales of dwelling units, land & other assets; Rental payments & lease rent payments from dwelling owners; investment interest.

Current Program Activities/Allowable Expenses:

Administration of DURF program, which has been used primarily for interim construction financing of affordable infill housing projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Encumbrances: Amount includes unpaid contract amounts primarily for engineering and maintenance for various projects.

Variations: Annual swings in revenue and expenditures are primarily based on anticipated loan repayments and loan advances.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,613,075	9,049,228	8,911,438	9,294,856	9,351,856		
Beginning Cash Balance	95,669,092	91,026,701	123,042,716	155,634,033	195,380,707	162,783,707	175,903,707
Revenues	11,442,603	14,038,872	20,382,813	18,444,256	6,700,000	32,318,000	86,781,000
Expenditures	18,034,374	19,090,379	20,640,059	52,020,614	41,371,000	21,314,000	30,839,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from S-YY-376	1,337,601	1,439,374	1,508,171	899,077	1,363,000	1,390,000	1,418,000
Transfer from S-YY-378	157,527	180,880	178,949	237,811	177,000	181,000	185,000
Transfer from S-YY-380	454,252	447,268	506,369	686,144	534,000	545,000	556,000
Transfer from B-14-404							
Transfer from B-13-406							
Transfer from G-18-374		10,000,000					
Transfer from B-16-411		25,000,000					
Transfer from RHS			30,405,074				
Transfer from G-19-378			250,000				
Transfer from Judiciary				2,500,000			
Transfer from G-20-364				2,000,000			
Transfer from B-17-407				25,000,000			
Transfer from B19-407				42,000,000			
Net Total Transfers	1,949,380	37,067,522	32,848,563	73,323,032	2,074,000	2,116,000	2,159,000
Ending Cash Balance	91,026,701	123,042,716	155,634,033	195,380,707	162,783,707	175,903,707	234,004,707
Encumbrances	3,675,395	7,648,000	8,416,682	27,229,471			
Unencumbered Cash Balance *	87,351,306	115,394,716	147,217,351	168,151,236	162,783,707	175,903,707	234,004,707

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Unencumbered Cash Balance as of 6/30/20 includes outstanding commitments of approximately \$167,007,000.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160 HF
 Name of Fund: Housing Project Bond Special Fund - Multifamily
 Legal Authority: HRS Section 201H Part III A

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Revolving "W"
 Appropriation Acct. No.: S-YY-372-B

Intended Purpose: The Multifamily Housing Revenue bond Fund was created in accordance with Act 291, SLH 1980, which authorized the Corporation to issue revenue bonds of \$122,500,000. This authorization was subsequently increased to \$500,000,000 by Act 304, SLH 1996; Act 185, SLH 2004; Act 231, SLH 2007 and Act 121, SLH 2008. This authorization was further increased to \$750,000,000 by S.B. No. 2740, S.D. 1 in 2012. Current bond authorization is \$3,000,000,000 by Act 42, SLH 2020.

Source of Revenues: Bond proceeds, interest from investments and loans, and loan repayments.

Current Program Activities/Allowable Expenses: The proceeds from the bond issues are used to provide interim construction loans and/or permanent financing to facilitate the construction or rehabilitation of affordable rental housing projects

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Bond issuances and repayments are based on the financing needs of various project developers, thus the swings from year to year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY2020	FY2021	FY2022	FY2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	11	0	0	0
Revenues	88,296,165	140,356,919	99,400,265	238,333,698	283,362,311	211,747,960	98,165,000
Expenditures	88,296,165	140,356,919	99,400,254	238,333,709	283,362,311	211,747,960	98,165,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	11	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	11	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160 HF
 Name of Fund: Housing Loan Prog Revenue Bond Special Fund - HRHSRB
 Legal Authority: HRS Section 201H - 80

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Revolving "W"
 Appropriation Acct. No. S-YY-373-B

Intended Purpose: The Rental Housing System and the State of Hawaii Affordable Program were created under the provision of section 57 of Act 337, SLH 1987. Act 216, SLH 1987, as amended by Act 390, SLH 1988, authorized the Corp to issue up to \$75,000,000 of revenue bonds. This authorization was subsequently increased to \$375,000,000 by Act 316, SLH 1989, Act 299, SLH 1990, and Act 172, SLH 1991. In October 2004, the Corporation issued bonds to refund the outstanding RHS and SHARP bonds. The Hawaii Rental Housing System Revenue Bond (HRHSRB) program was created as a result of the refunding.

Source of Revenues: Interest income from investments, rental income, and parking income.

Current Program Activities/Allowable Expenses: The proceeds from the bond issues are used to finance multifamily rental housing projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: Increases in revenues and expenditures for the fiscal years 2019 and 2020 is reflects the activity related to the defeasance of bonds and payoff.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,525,654	2,669,880	2,771,843	17,908,735	4,028,807	5,659,807	5,659,807
Revenues	20,533,781	21,777,765	97,984,621	286,384,482	2,324,000		
Expenditures	20,389,555	21,675,802	52,442,655	300,264,410	693,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to S-YY-375			(30,405,074)				
Net Total Transfers	0	0	(30,405,074)	0	0	0	0
Ending Cash Balance	2,669,880	2,771,843	17,908,735	4,028,807	5,659,807	5,659,807	5,659,807
Encumbrances							
Unencumbered Cash Balance	2,669,880	2,771,843	17,908,735	4,028,807	5,659,807	5,659,807	5,659,807

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED-160-HF
 Name of Fund: Housing Finance Revolving Fund (incl S-321)
 Legal Authority: HRS Chapter 201H-80

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Revolving "W"
 Appropriation Acct. No.: S-YY-376-B

Intended Purpose: The Housing Finance Revolving Fund was created in 1985 by Act 48, SLH 1984, to be used for long-term and other special financing. All monies received and collected by the Corporation, not otherwise pledged or obligated nor required by law to be placed in another fund are deposited in this fund. Transactions for the Low Income Housing Tax Credit, Mortgage Credit Certificate and Down Payment Loan programs are recorded in the fund.

Source of Revenues: Repayment on loans and investment interest.

Current Program Activities/Allowable Expenses: Funds are used for long-term & special financing of the Corporation and for the payment of the necessary administrative expenses of programs which include the Mortgage Credit program, the Kahana Valley Loan program, and the Low-Income Housing Tax Credit Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Revenue fluctuations are primarily based on anticipated loan repayments. Variance in FY2019 expenditures and fluctuations in encumbrances are primarily due to timing of payment on consulting contracts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,140,472	2,241,913	2,206,538	2,458,895	2,466,895		
Beginning Cash Balance	6,208,909	7,062,785	7,614,436	8,332,209	9,863,528	10,637,528	11,260,528
Revenues	3,834,452	3,687,333	4,273,042	4,043,965	3,337,000	3,237,000	3,110,000
Expenditures	1,642,975	1,696,309	2,047,098	1,613,569	1,646,000	1,679,000	1,713,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers to Disb.(for Admin Cost)	(1,337,601)	(1,439,373)	(1,508,171)	(899,077)	(917,000)	(935,000)	(954,000)
Net Total Transfers	(1,337,601)	(1,439,373)	(1,508,171)	(899,077)	(917,000)	(935,000)	(954,000)
Ending Cash Balance	7,062,785	7,614,436	8,332,209	9,863,528	10,637,528	11,260,528	11,703,528
Encumbrances	357,373	716,057	531,109	628,418	300,000	300,000	300,000
Unencumbered Cash Balance	6,705,412	6,898,379	7,801,100	9,235,110	10,337,528	10,960,528	11,403,528

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160-HF
 Name of Fund: Rental Assistance Revolving fund
 Legal Authority: HRS Section 201H-123

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Revolving "W"
 Appropriation Acct. No. S-YY-378-B

Intended Purpose: The Rental Assistance Fund was created by Act 111, SLH 1981 to assist families and individuals of low and moderate income to obtain safe and sanitary rental housing accommodations at reduced rates. The program was amended by Act 307, SLH 1992, to also provide for interim construction financing for rental projects.

Source of Revenues: Interest income from investments and interim loans.

Current Program Activities/Allowable Expenses: This fund also provides interim construction financing for the development of affordable rental units.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Fluxes are a result of rental assistance payments made based on tenancy, and also changes in investment earnings based on the market.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	18,131,859	7,469,359	10,464,274	28,898,472	28,506,703	26,664,703	24,787,703
Revenues	627,159	4,740,881	20,084,192	1,582,817	130,000	130,000	130,000
Expenditures	1,632,131	1,565,087	1,471,046	1,736,776	1,772,000	1,807,000	1,843,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Schedule attached							
1) Trf to disb acct for admin	(155,693)	(180,879)	(178,948)	(248,711)	(200,000)	(200,000)	(200,000)
2) Trf to disb acct for audit	(1,835)						
3) Trf to S-380B	(9,500,000)						
4) Trf from disb acct for admin				10,901			
Net Total Transfers	(9,657,528)	(180,879)	(178,948)	(237,810)	(200,000)	(200,000)	(200,000)
Ending Cash Balance	7,469,359	10,464,274	28,898,472	28,506,703	26,664,703	24,787,703	22,874,703
Encumbrances							
Unencumbered Cash Balance *	7,469,359	10,464,274	28,898,472	28,506,703	26,664,703	24,787,703	22,874,703

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Unencumbered Cash Balance as of 6/30/19 is committed for future contractual obligations of the Rental Assistance Program.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160-HF
 Name of Fund: Rental Housing Revolving Fund
 Legal Authority HRS Chapter 201H-202, as amended by Act 237, SLH 2015

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF) Revolving "W"
S-YY-380-B, S-YY-390-B, S-19-935, S-19-936, S-
 Appropriation Acct. No. 19-937

Intended Purpose:

Funding is made available to subsidize the development of affordable rental housing (grant or low-interest, long term loan) by establishing the Rental Housing Trust Fund as a continuous renewable resource to assist very low and low income families and individuals, including the homeless and special needs groups, in obtaining rental housing. Revenues are obtained through collection of miscellaneous income and application fees. Prior to July 1, 2015, this fund was a Trust Fund, T-XX-930-B.

Source of Revenues:

Repayment on loans; Conveyance taxes & Investment interest.

Current Program Activities/Allowable Expenses:

To assist low-income families and individuals, including the homeless and special need groups in obtaining affordable rental housing.

Purpose of Proposed Ceiling Increase (if applicable):

Variations:

Fluctuations in revenue and expenditures are primarily based on anticipated loan repayments and loan advances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	88,000,000			92,000,000	50,000,000		
Beginning Cash Balance	125,492,118	156,524,216	430,753,177	362,740,473	395,117,341	395,117,341	394,298,341
Revenues	42,010,597	242,917,062	52,585,251	49,811,746	71,895,000	29,895,000	29,895,000
Expenditures	20,024,247	44,840,833	120,091,585	91,748,733	30,000,000	30,000,000	30,000,000
Transfers							
List each by JV# and date							
Transfer from T-16-930							
Transfer to S-17-314	(454,252)	(447,268)	(506,370)	(686,144)	(700,000)	(714,000)	(728,000)
Transfer from S-17-378	9,500,000						
Transfer from B-14-405							
Transfer from B-15-404		40,000,000					
Transfer from B-16-415		36,600,000					
Transfer from B-17-406				25,000,000			
Transfer from B-19-408				50,000,000			
Transfer to S-20-355-O					(250,000,000)		
Transfer from B-20-414					250,000,000		
Net Total Transfers	9,045,748	76,152,732	(506,370)	74,313,856	(700,000)	(714,000)	(728,000)
Ending Cash Balance	156,524,216	430,753,177	362,740,473	395,117,341	436,312,341	394,298,341	393,465,341
Encumbrances							
Unencumbered Cash Balance *	156,524,216	430,753,177	362,740,473	395,117,341	436,312,341	394,298,341	393,465,341

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* As of 6/30/20, approximately \$270,000,000 was committed to various projects. Additionally, pending applications received totaled approximately \$37,000,000.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED-160-HF
 Name of Fund: Housing Loan Program Revolving Bond Fund
 Legal Authority: HRS Chapter 201H, Part III. B

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF) Revolving "W"
 Appropriation Acct. No. S-YY-397-B

Intended Purpose: The Single Family Mortgage Purchase Revenue Bond Fund was established in accordance with Act 50, SLH 1979, as amended by Act 337, SLH 1987. These Acts, together with Act 288, SLH 1980; Act 13, Special SLH 1981; Act 224, SLH 1984; Act 171, SLH 1991; Act 1, SLH 1995; and Act 189, SLH 1999 authorize the Corporation to issue bonds of up to \$2,275,000,000. The proceeds from bond issues are used to make affordable interest rate mortgage loans to persons & families of low & moderate income for the purchase of owner-occupied detached single-family and condominium dwelling. Funds are held by a trustee outside of the State Treasury.

Source of Revenues: Bond proceeds; repayments on loans; investment interest, and commitment fees.

Current Program Activities/Allowable Expenses: The Corporation has the flexibility to issue bonds from time to time based on the needs of the mortgage lenders & developers. The exact amount of bonds to be issued will be based upon the demand for affordable mortgage money.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Bond issuances and repayments are based on the demand for affordable mortgage money, thus the swings from year to year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,645,960	2,213,957	1,931,536	1,648,695	1,143,000	31,143,000	31,143,000
Expenditures	2,645,960	2,213,957	1,931,536	1,648,695	1,143,000	31,143,000	31,143,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160 (HF)
 Name of Fund: Housing Trust Fund
 Legal Authority: P.L. 110-289

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Other Federal Fund "P"
 Appropriation Acct. No.: S-17-514

Intended Purpose:

The Housing and Economic Recovery Act of 2008 (HERA) authorized formula grants for the rehabilitation or new construction of rental and homebuyer housing in order to expand and preserve the supply of affordable housing, particularly rental housing, for extremely low-income and very low-income households.

Source of Revenues:

HERA

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the Housing Trust Fund program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	2,958,621	2,850,001	457,860	228,279		
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	41,379	108,620	2,392,141	229,581	228,279	0	0
Expenditures	41,379	108,620	2,392,141	229,581	228,279	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160 (HF)
 Name of Fund: Housing Trust Fund
 Legal Authority: P.L. 110-289

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Other Federal Fund "P"
 Appropriation Acct. No.: S-18-521-B

Intended Purpose:

The Housing and Economic Recovery Act of 2008 (HERA) authorized formula grants for the rehabilitation or new construction of rental and homebuyer housing in order to expand and preserve the supply of affordable housing, particularly rental housing, for extremely low-income and very low-income households.

Source of Revenues:

HERA

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the Housing Trust Fund program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,000,000	2,999,102	1,823,803	523,909		
Beginning Cash Balance		0	0	0	0	0	0
Revenues		898	1,175,299	1,299,894	523,909	0	0
Expenditures		898	1,175,299	1,299,894	523,909	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance		0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance		0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160 (HF)
 Name of Fund: Housing Trust Fund
 Legal Authority: P.L. 110-289

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Other Federal Fund "P"
 Appropriation Acct. No.: S-19-521-B

Intended Purpose:

The Housing and Economic Recovery Act of 2008 (HERA) authorized formula grants for the rehabilitation or new construction of rental and homebuyer housing in order to expand and preserve the supply of affordable housing, particularly rental housing, for extremely low-income and very low-income households.

Source of Revenues:

HERA

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the Housing Trust Fund program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,000,000	3,000,000	2,848,756	1,848,756	848,756
Beginning Cash Balance			0	0	0	0	0
Revenues				151,244	1,000,000	1,000,000	848,756
Expenditures				151,244	1,000,000	1,000,000	848,756
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance			0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance			0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160 (HF)
 Name of Fund: Housing Trust Fund
 Legal Authority: P.L. 110-289

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Other Federal Fund "P"
 Appropriation Acct. No.: S-20-521-B

Intended Purpose:

The Housing and Economic Recovery Act of 2008 (HERA) authorized formula grants for the rehabilitation or new construction of rental and homebuyer housing in order to expand and preserve the supply of affordable housing, particularly rental housing, for extremely low-income and very low-income households.

Source of Revenues:

HERA

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the Housing Trust Fund program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Fluxes in revenue and expenditures are a result of the timing of Federal grant award expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,000,000	2,947,963	1,947,963	947,963
Beginning Cash Balance				0	0	0	0
Revenues				52,037	1,000,000	1,000,000	947,963
Expenditures				52,037	1,000,000	1,000,000	947,963
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance				0	0	0	0
Encumbrances							
Unencumbered Cash Balance				0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BED
 Prog ID(s): BED 160 HF
 Name of Fund: OHA CEDED LAND PROCEEDS (HCDCH)
 Legal Authority: Act 134, SLH 2006

Contact Name: Melissa Loy
 Phone: 587-0611
 Fund type (MOF): Trust "T"
 Appropriation Acct. No. T-YY-906-B

Intended Purpose: Under Act 178, SLH 2006 and Executive order 06-06, each agency of the State that collects receipts from the lands within the public land trust are required to transfer a portion of those receipts to the Office of Hawaiian Affairs ("OHA") thirty days after the close of each fiscal quarter.

Source of Revenues: Commercial receipts from lands within the public land trusts

Current Program Activities/Allowable Expenses: The Corporation collects lease rents and disburses a portion to the Office of Hawaiian Affairs

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,095	0	0	0	0	0	0
Revenues	88,785	59,489	69,427	38,224	50,000	50,000	50,000
Expenditures	89,880	59,489	69,427	38,224	50,000	50,000	50,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
OHA Trsfs							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Departmental Administration & Budget (Mass Transit Special Fund)
 Legal Authority: Act 1 SLH 2017 1st Special Session

Contact Name: Tracy Ban
 Phone: 586-1601
 Fund type (MOF) B
 Appropriation Acct. No. S-xx-316-O

Intended Purpose:

Act 1, First Special Session, Session Laws of Hawaii 2017 established the Mass Transit Special Fund from which a portion of the Transit Accommodations Tax and surcharge on State tax revenues are earmarked beginning January 1, 2018 to December 31, 2030 to provide a stable source of funding for the Honolulu Authority for Rapid Transit (HART) to accomplish the purposes as stipulated in Act 1, First Special Session Laws of Hawaii 2017.

Source of Revenues:

A portion of the Transit Accommodations Tax and surcharge on State tax revenues are earmarked beginning Jan 1, 2018 to Dec 31, 2030.

Current Program Activities/Allowable Expenses:

Act 1, SLH 2017 allows for the use of funds for capital costs for a mass transit project.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				363,944,000	377,575,000	377,575,000	377,575,000
Beginning Cash Balance		0	0	0	63,889,675	63,889,675	63,889,675
Revenues				321,589,284	377,575,000	377,575,000	377,575,000
Expenditures				336,452,769	377,575,000	377,575,000	377,575,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
7/30/2019 S-18-350-O				78,753,160			
Net Total Transfers	0	0	0	78,753,160	0	0	0
Ending Cash Balance	0	0	0	63,889,675	63,889,675	63,889,675	63,889,675
Encumbrances							
Unencumbered Cash Balance	0	0	0	63,889,675	63,889,675	63,889,675	63,889,675

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Mass Transit Special Fund
 Legal Authority: Act 1 SLH 2017 1st Special Session

Contact Name: Tracy Ban
 Phone: 586-1601
 Fund type (MOF) B
 Appropriation Acct. No. S-xx-350-O

Intended Purpose:

Act 1, First Special Session, Session Laws of Hawaii 2017 established the Mass Transit Special Fund from which a portion of the Transit Accommodations Tax and surcharge on State tax revenues are earmarked beginning January 1, 2018 to December 31, 2030 to provide a stable source of funding for the Honolulu Authority for Rapid Transit (HART) to accomplish the purposes as stipulated in Act 1, First Special Session Laws of Hawaii 2017.

Source of Revenues:

A portion of the Transit Accommodations Tax and surcharge on State tax revenues are earmarked beginning Jan 1, 2018 to Dec 31, 2030.

Current Program Activities/Allowable Expenses:

The legislature appropriated \$1,000,000,000 in special funds for FY18 to B&F. Extended lapse date of June 30, 2019.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,000,000,000					
Beginning Cash Balance		0	81,793,821	78,753,160	0	0	0
Revenues		157,125,675	324,683,212				
Expenditures		75,331,854	327,723,873				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
7/30/2019 S-20-316-O				(78,753,160)			
Net Total Transfers	0	0	0	(78,753,160)	0	0	0
Ending Cash Balance	0	81,793,821	78,753,160	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	81,793,821	78,753,160	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Temporary Deposits
 Legal Authority: Administratively Established

Contact Name: Tracy Ban
 Phone: 586-1601
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-909-O

Intended Purpose:

To hold temporary deposits related to vendor bids, performance bonds, salary overpayments, and various other administrative type temporary deposits.

Source of Revenues:

Temporary deposits from salary overpayments, performance bonds, vendor bids, and other administrative temporary deposits.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,275	6,275	6,275	6,275	6,275	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
9/1/2020 G-00-000-O					(6,275)		
Net Total Transfers	0	0	0	0	(6,275)	0	0
Ending Cash Balance	6,275	6,275	6,275	6,275	0	0	0
Encumbrances							
Unencumbered Cash Balance	6,275	6,275	6,275	6,275	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Emergency and Budget Reserve Fund Separate Account
 Legal Authority: Section 328L-3 (3), HRS

Contact Name: Neal Miyahira
 Phone: 586-1530
 Fund type (MOF) B
 Appropriation Acct. No. S-xx-354-O

Intended Purpose: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Source of Revenues:

Section 328L-3, HRS, provides that whenever State general fund revenues for each of two successive fiscal years exceeds revenues for each of the preceding fiscal years by 5%, 5% of the State general fund balance at the end of the fiscal year shall be deposited into the EBRF; however, no such transfer shall be made whenever the balance of the EBRF is equal to or more than 10% of general fund revenues for the preceding fiscal year. Article VII, Section 6, of the Hawaii State Constitution, also requires that the general fund balance at the close of each of two successive fiscal years must exceed 5% of general fund revenues for each of the two fiscal years before a deposit into an emergency fund is required. The transfer shall be executed by the director of finance; provided that all moneys deposited into the emergency and budget reserve fund under paragraph paragraph (3) shall be kept in a separate and distinct account.

Current Program Activities/Allowable Expenses: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: None.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	51,534,839	51,896,767	52,326,080	54,256,221	54,386,436	54,516,963
Revenues	51,534,839	361,927	429,314	1,930,141	130,215	130,527	130,841
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	51,534,839	51,896,767	52,326,080	54,256,221	54,386,436	54,516,963	54,647,804
Encumbrances							
Unencumbered Cash Balance	51,534,839	51,896,767	52,326,080	54,256,221	54,386,436	54,516,963	54,647,804

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Emergency and Budget Reserve Fund
 Legal Authority: Section 328L-3, HRS

Contact Name: Neal Miyahira
 Phone: 586-1530
 Fund type (MOF) B
 Appropriation Acct. No. S-xx-355-O

Intended Purpose: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Source of Revenues:

Revenues to the Emergency and Budget Reserve Fund (EBRF) normally include interest income and 15% of tobacco settlement revenues (after the first \$350,000 and special fund assessment are deducted) and any appropriations made by the Legislature; FY 17 includes a \$150 M appropriation to the EBRF (Act 104/16). FY18 includes \$58,711,173.87 from the Tobacco Arbitration Agreement pursuant to Act 12, SLH 2018. FY 20 includes a \$5 M appropriation to the EBRF (Act 44, SLH 2019), \$4,850,000 in FY19 tobacco settlement revenues that was inadvertently not deposited in FY19, only \$1,852,983.93 of \$4,921,385.67 FY20 tobacco settlement revenues were deposited in FY20 due to insufficient appropriation ceiling in the Tobacco Settlement Special Fund, and a \$20.0 M general fund appropriation to the EBRF (Act 4, SLH 2020, as amended by Act 9, SLH 2020).

Current Program Activities/Allowable Expenses: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY17 includes a \$150 M appropriation to the EBRF (Act 104/16). FY18 reflects a deposit to the fund of \$58,711,173.87 from the Tobacco Arbitration Agreement pursuant to Act 12/18. FY20 includes a \$5 M appropriation to EBRF (Act 44/19) plus \$4,850,000, in FY19 tobacco settlement revenues that were inadvertently not deposited in FY19, and only \$1,852,983.93 of the \$4,921,385.67 FY20 tobacco settlement revenues were deposited in FY20 due to insufficient appropriation ceiling for the Tobacco Settlement Special Fund. FY20 includes transfers authorized by the Legislature for FY20, but transacted in FY21 because Act 9, SLH 2020 became law after FY20 ended and due to accounting system limitations. Act 4, SLH 2020, as amended by Act 9, SLH 2020 included transfer of \$250 M from DBEDT-HHFDC's Rental Housing Revolving Fund to the EBRF in FY20, transfer \$25 M from the Mental Health and Substance Abuse Special Fund to the EBRF in FY20, transfer \$8 M from the Hawai'i Tobacco Settlement Special Fund to the EBRF for FY 20, appropriation of \$20 M in general funds to the EBRF for FY 20, and appropriation of \$648 M from the EBRF to the general fund for FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(adjusted actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	100,881,987	259,795,464	323,760,753	325,911,080	4,624,449	9,340,273	13,757,709
Revenues	158,241,073	63,951,075	2,164,540	43,713,369	4,715,824	4,417,436	4,606,823
Expenditures				648,000,000			

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(adjusted actual)	(estimated)	(estimated)	(estimated)
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
9/13/2016 S-11-337-E	3,790						
1/17/2017 S-11-353-K	427,159						
1/17/2017 S-11-359-K	74,779						
1/17/2017 S-11-361-K	14,574						
1/17/2017 S-11-358-K	100,000						
3/3/2017 S-04-330-K	2,500						
3/3/2017 S-06-330-K	44,141						
3/15/2017 S-11-359-K	5,222						
3/15/2017 S-11-366-K	240						
7/14/2017 S-15-308-H		12,472					
7/17/2017 S-10-347-H		1,741					
8/31/2018 S-15-308-H			(12,472)				
8/31/2018 S-10-347-H			(1,741)				
9/23/2020 S-20-335-H				25,000,000			
9/23/2020 S-20-346-H				8,000,000			
9/25/2020 S-20-380-B				239,000,000			
9/25/2020 S-20-390-B				11,000,000			
Net Total Transfers	672,404	14,213	(14,213)	283,000,000	0	0	0
Ending Cash Balance	259,795,464	323,760,753	325,911,080	4,624,449	9,340,273	13,757,709	18,364,532
Encumbrances							
Unencumbered Cash Balance	259,795,464	323,760,753	325,911,080	4,624,449	9,340,273	13,757,709	18,364,532

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Overpayment Collections to OHA-Ceded Lands
 Legal Authority: Executive Order No. 06-06

Contact Name: Neal Miyahira
 Phone: 586-1530
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-xx-955-O

Intended Purpose: Account is used to collect overpayments made to the Office of Hawaiian Affairs for ceded lands, and to make up shortage amounts due to OHA pursuant to Executive Order No. 06-06.

Source of Revenues: Account revenues are quarterly amounts paid to OHA in excess of the \$3,775,000, pursuant to Act 178, SLH 2006.

Current Program Activities/Allowable Expenses: Funds can be expended to make up shortfalls in payments to OHA per Executive Order No. 06-06.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,845,945	11,768,747	13,974,733	21,287,134	23,770,439	23,770,439	23,770,439
Revenues	7,922,801	2,205,987	7,312,401	2,483,305			
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11,768,747	13,974,733	21,287,134	23,770,439	23,770,439	23,770,439	23,770,439
Encumbrances							
Unencumbered Cash Balance	11,768,747	13,974,733	21,287,134	23,770,439	23,770,439	23,770,439	23,770,439

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 141
 Name of Fund: Expense Fund
 Legal Authority: Section 88-116,HRS

Contact Name: Thomas Williams / Kanoe Margol
 Phone: 586-1700
 Fund type (MOF) X
 Appropriation Acct. No. S-xx-315-O

Intended Purpose:

The fund which all money shall be credited to pay for the administrative and operational expenses of the ERS.

Source of Revenues:

ERS' interest and investment earnings of the Pension Trust are allocated for the ERS Administrative budget to the ERS Expense Fund. All unused funds revert back to the Pension Trust. No cash or investments are held in the ERS Expense Fund.

Current Program Activities/Allowable Expenses:

Under the policy and executive direction of its Board of Trustees, the ERS plans, directs, and coordinates statewide retirement, disability, and survivor benefits program for State and county employees; administers the ERS Member Home Loan Program; and safeguards and accounts for ERS investments in stocks, bonds, foreign securities, real estate, alternative investments and mortgage loans.

Purpose of Proposed Ceiling Adjustment (if applicable):

Decrease in FY 2021 and FY 2022 Appropriation Ceiling represents elimination of one-time costs for projects and software license purchases.

Variations: Variance for FY 2020 reflects lower actual one time costs than expected for Oracle software licenses required to remain compliant in migration to the Cloud.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,418,249	17,279,607	18,009,878	20,427,078	18,679,890	18,300,000	18,353,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	20,164,177	16,603,243	17,622,083	17,779,488	18,679,890	18,300,000	18,353,000
Expenditures	20,164,177	16,603,243	17,622,083	17,779,488	18,679,890	18,300,000	18,353,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 143
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund
 Legal Authority: Chapter 87A-30, HRS

Contact Name: Amy Cheung
 Phone: 587-5431
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-906

Intended Purpose: The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and state legal requirements. Act 145, SLH 2017 provided appropriation to the EUTF to employ certain EUTF staff through the civil service system.

Source of Revenues: Revenues represent an increase in the EUTF FY18 & FY19 budget appropriate for personel costs.

Current Program Activities/Allowable Expenses: Expenditures are limited to payroll costs resulting from the civil service conversion.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures		86,837	104,616	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from T-18-997		86,837					
Transfer from T-19-997			104,616				
Net Total Transfers	0	86,837	104,616	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 143
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund
 Legal Authority: Chapter 87A-30, HRS

Contact Name: Amy Cheung
 Phone: 587-5431
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-942

Intended Purpose: The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and state legal requirements. Effective 7/1/13, there was a transition from T-904 to T-942 (an account outside of the State Treasury).

Source of Revenues: Employer and employee health benefit premium contributions, experience gains returned by carriers and rebates from prescription drug.

Current Program Activities/Allowable Expenses: Premium payments to insurance carriers, Medicare Part B reimbursements to retirees and their spouses and employee refunds. Administrative fees are transferred to T-997.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	175,133,805	191,331,085	251,569,393	293,553,011	134,585,101	134,585,101	134,585,101
Revenues	1,173,430,970	1,240,753,235	1,288,268,228	1,326,446,302	1,228,400,000	1,228,400,000	1,228,400,000
Expenditures	1,143,233,690	1,172,914,927	1,238,684,610	1,276,961,570	1,228,400,000	1,228,400,000	1,228,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to T-17-997	(6,800,000)						
Transfer to T-17-998	(7,200,000)						
Transfer to T-18-997		(7,600,000)					
Transfer to T-19-997			(7,600,000)				
Transfer to T-20-997				(8,800,000)			
Transfer from T-20-998				20,000,000			
Transfer to T-20-998				(219,652,642)			
Net Total Transfers	(14,000,000)	(7,600,000)	(7,600,000)	(208,452,642)	0	0	0
Ending Cash Balance	191,331,085	251,569,393	293,553,011	134,585,101	134,585,101	134,585,101	134,585,101
Encumbrances							
Unencumbered Cash Balance	191,331,085	251,569,393	293,553,011	134,585,101	134,585,101	134,585,101	134,585,101

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 143
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund
 Legal Authority: Chapter 87A-30, HRS

Contact Name: Amy Cheung
 Phone: 587-5431
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-997

Intended Purpose: The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and state legal requirements.

Source of Revenues: Administrative fees collected from employers and employees and interest earned. Effective 1/1/19 and 7/1/19, no administrative fees were assessed to retirees and active employees, respectively.

Current Program Activities/Allowable Expenses: Administrative operating expenses such as personal services, contracted services, lease rent, insurance, and other miscellaneous expenses are included in this appropriation. Administrative fees are transferred from T-942.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: FY20 budget includes \$9.956M for a new Benefits Administration System.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,099,272	7,584,071	7,776,516	18,531,620	9,223,787	8,453,860	8,453,860
Beginning Cash Balance	334,725	387,139	444,150	297,672	764,597	764,597	764,597
Revenues	28,728	53,927	171,810	11,293	9,223,787	8,453,860	8,453,860
Expenditures	5,951,988	6,991,950	7,476,465	8,024,314	9,223,787	8,453,860	8,453,860
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from T-17-942	6,800,000						
Transfer from T-18-942		7,600,000					
Transfer to T-18-906		(86,837)					
Transfer to T-14-997	(484,500)						
Transfer to T-15-997	(301,456)	(20,833)					
Transfer to T-16-997	(38,370)						
Transfer to T-17-997		(497,295)					
Transfer from T-19-942			7,600,000				
Transfer to T-17-997			(22,083)				
Transfer to T-18-997			(315,124)				
Transfer to T-19-906			(104,616)				
Transfer from T-20-942				8,800,000			
Transfer to T-19-997				(320,054)			
Net Total Transfers	5,975,674	6,995,034	7,158,177	8,479,946	0	0	0
Ending Cash Balance	387,139	444,150	297,672	764,597	764,597	764,597	764,597
Encumbrances				10,130,000			
Unencumbered Cash Balance	387,139	444,150	297,672	(9,365,403)	764,597	764,597	764,597

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 143
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund
 Legal Authority: Chapter 87A-30, HRS

Contact Name: Amy Cheung
 Phone: 587-5431
 Fund type (MOF): T
 Appropriation Acct. No.: T-xx-998

Intended Purpose: The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and state legal requirements.

Source of Revenues: Employers make contributions for the pre-funding of the Other Post-Employment Benefits (OPEB) Trust. At the end of FY 2011, T-905 transferred funds into T-998 account which is held outside of the State Treasury. There will be investment earnings due to the investments made with the funds.

Current Program Activities/Allowable Expenses: Custodial fees and investment consulting service fees are paid from this fund.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: Revenues due to Act 268, SLH 2013 required employers to contribute to the EUTF OPEB Trust Fund. The annual required contributions are phased in and employers are required to contribute 100% by fiscal year ending June 30, 2019. Expenditures: As the OPEB trust grows, investment fees will increase.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,278,791,349	1,673,325,875	2,189,336,116	2,859,375,492	3,719,221,392	3,422,238,214	3,161,466,036
Revenues	389,918,750	519,874,091	705,379,093	1,218,558,437	261,382,000	297,593,000	353,669,000
Expenditures	2,584,224	3,863,850	35,339,718	558,365,178	558,365,178	558,365,178	558,365,178
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from T-17-942	7,200,000						
Transfer from T-20-942				219,652,642			
Transfer to T-20-942				(20,000,000)			
Net Total Transfers	7,200,000	0	0	199,652,642	0	0	0
Ending Cash Balance	1,673,325,875	2,189,336,116	2,859,375,492	3,719,221,392	3,422,238,214	3,161,466,036	2,956,769,858
Encumbrances							
Unencumbered Cash Balance	1,673,325,875	2,189,336,116	2,859,375,492	3,719,221,392	3,422,238,214	3,161,466,036	2,956,769,858

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: Interest Earned - Investment Pool
 Legal Authority: Adminstratively established

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-907-O

Intended Purpose:

Account was established as holding account to deposit interest earned from the State Treasury centralized pool investments before distribution to participating accounts.

Source of Revenues:

Interest earned on centralized investment pool investments.

Current Program Activities/Allowable Expenses:

JV's are generated each month to allocate interest earnings to the various appropriation accounts of departments participating in the investment pool. Interest is allocated monthly by the investment pool system, to approx. 2,500 accounts.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,375	6,083,785	43,180,126	117,435,867	12,421,450	0	0
Revenues	44,529,796	70,555,727	117,574,097	120,982,892	119,278,495	120,130,693	119,704,594
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer out to participating agencies	(38,450,386)	(33,459,386)	(43,318,356)	(225,997,309)			
Net Total Transfers	(38,450,386)	(33,459,386)	(43,318,356)	(225,997,309)	(131,699,945)	(120,130,693)	(119,704,594)
Ending Cash Balance	6,083,785	43,180,126	117,435,867	12,421,450	0	0	0
Encumbrances							
Unencumbered Cash Balance	6,083,785	43,180,126	117,435,867	12,421,450	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: Interest Earned - Bond Investment Pool
 Legal Authority: Administratively established

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-908-O

Intended Purpose:

Account was established as holding account to deposit interest earned from the State Treasury centralized Bond Investment Pool before distribution to participating accounts.

Source of Revenues:

Interest earned on centralized Bond Investment Pool investments.

Current Program Activities/Allowable Expenses:

JV's are generated each month to allocate interest earnings to the various appropriation accounts of departments participating in the Bond pool.

Interest is allocated monthly by the Bond Investment pool system.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	23,423	603,586	5,311,146	15,074,321	1,259,399	0	0
Revenues	2,817,666	7,825,554	13,925,014	14,030,325	11,926,964	13,294,101	13,083,797
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer out to participating agencies	(2,239,513)	(3,117,994)	(4,161,839)	(27,845,247)			
Adj, entry	2,010						
Net Total Transfers	(2,237,503)	(3,117,994)	(4,161,839)	(27,845,247)	(13,186,363)	(13,294,101)	(13,083,797)
Ending Cash Balance	603,586	5,311,146	15,074,321	1,259,399	0	0	0
Encumbrances							
Unencumbered Cash Balance	603,586	5,311,146	15,074,321	1,259,399	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: Temporary Deposits - Special Purpose Revenue Bond - Security Deposit
 Legal Authority: Adminstratively established

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No.: T-xx-914-O

Intended Purpose:

To record non-refundable security deposits from project parties for the purpose of reimbursing the State for all the costs and expenses incurred relating to the issuance of special purpose revenue bonds.

Source of Revenues:

Security deposits from the project parties.

Current Program Activities/Allowable Expenses:

Any balance remaining in the Trust Fund is to be transferred to General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,000	9,000	10,000	6,000	2,000	0	0
Revenues	4,000	1,000	6,000	2,000	2,000	2,000	2,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer out to general fund			(10,000)	(6,000)	(4,000)	(2,000)	(2,000)
Net Total Transfers	0	0	(10,000)	(6,000)	(4,000)	(2,000)	(2,000)
Ending Cash Balance	9,000	10,000	6,000	2,000	0	0	0
Encumbrances							
Unencumbered Cash Balance	9,000	10,000	6,000	2,000	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: Taxes Payable to Other State Agencies
 Legal Authority: Adminstratively established

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No. T-xx-916-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of tobacco tax collected by DoTax and distributed per statute to UH per Act 316/SLH2006. It serves as a clearing account for fuel tax revenues pending distribution.

Source of Revenues:

Tobacco Tax collections by state Dept. of Taxation (DoTax)

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer taxes into this account in order to pay UH for their statutory distribution of tobacco tax.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	1,526,488	1,344,914	1,168,238	0	0
Revenues	16,860,243	16,498,821	15,670,035	15,088,352	15,752,403	15,503,597	15,448,117
Expenditures	16,860,243	14,972,334	15,851,609	15,265,027	16,920,641	15,503,597	15,448,117
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,526,488	1,344,914	1,168,238	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	1,526,488	1,344,914	1,168,238	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: Taxes Payable to Counties/HTA - TAT
 Legal Authority: Adminstratively established

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-917-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of TAT distributed monthly to HTA and semi-annually to the counties. It serves as a clearing account for TAT revenues pending distribution per statute.

Source of Revenues:

Transient Accommodations Tax (TAT) collected by the DoTax.

Current Program Activities/Allowable Expenses:

B&F processes SWV's from this account for payment to HTA and the counties of their statutory distribution of TAT.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	213,000,000	216,000,000	203,000,000	169,166,667	196,055,556	189,407,407	184,876,543
Expenditures	213,000,000	216,000,000	203,000,000	169,166,667	196,055,556	189,407,407	184,876,543
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: Taxes Payable to Counties - Fuel Tax
 Legal Authority: Adminstratively established

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No.: T-xx-918-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of liquid fuel tax distributed monthly to the counties. It serves as a clearing account for fuel tax revenues pending distribution per statute.

Source of Revenues:

Liquid Fuel Tax collected by the DoTax.

Current Program Activities/Allowable Expenses:

B&F processes SWV's from this account for payment to the counties of their statutory distribution of fuel tax.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	7,554,132	7,865,039	5,280,080	0	0
Revenues	81,277,583	86,945,807	90,642,707	87,585,548	88,391,354	88,873,203	88,283,368
Expenditures	81,277,583	79,391,675	90,331,800	90,170,507	93,671,434	88,873,203	88,283,368
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	7,554,132	7,865,039	5,280,080	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	7,554,132	7,865,039	5,280,080	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: Taxes Payable to Counties - GET surcharge
 Legal Authority: Adminstratively established

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-919-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of county General Excise Tax (GET) surcharge collected by DoTax and distributed per statute HRS 248-2.6 to the City & County of Honolulu. It serves as a clearing account for the surcharge pending distribution.

Source of Revenues:

General Excise Tax collections by state Dept. of Taxation (DoTax)

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer taxes into this account in order to pay City & County of Honolulu for their statutory distribution of GET

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	53,472,882	0	12,686,546	15,156,473	0	0
Revenues	248,158,469	305,215,298	348,072,486	383,782,784	404,890,837	427,159,833	450,653,624
Expenditures	194,685,587	358,688,180	335,385,940	381,312,856	420,047,310	427,159,833	450,653,624
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	53,472,882	0	12,686,546	15,156,473	0	0	0
Encumbrances							
Unencumbered Cash Balance	53,472,882	0	12,686,546	15,156,473	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: Hawaii Children's Trust Fund
 Legal Authority: Adminstratively established

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No.: T-xx-922-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of taxes collected by DoTax and distributed per statute Act 228/SLH2004 to the Hawaii Children's Trust Fund at the Hawaii Community Foundation.

Source of Revenues:

Income tax refund designation by taxpayer.

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer funds into this account in order to pay HI Community Foundation per income tax refund designation.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	130,085	116,395	0	0
Revenues	154,680	161,865	290,295	130,115	210,205	170,160	190,183
Expenditures	154,680	161,865	160,210	143,805	326,600	170,160	190,183
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	130,085	116,395	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	130,085	116,395	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: Unclaimed Property Trust Fund
 Legal Authority: Adminstratively established

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-932-O

Intended Purpose:

Account was established to deposit and disburse moneys in Unclaimed Property Program. All funds in excess of \$1.3 million remaining on balance at the end of the fiscal year will be transferred to the general fund.

Source of Revenues:

Property that is held, issued, or owing in the ordinary course of a holder's business and has remained unclaimed by the owner for more than five years after it became payable or distributable is presumed abandoned.

Current Program Activities/Allowable Expenses:

Amounts received from abandoned property Holders are received as Revenue and Claims verified and paid out are disbursed as Expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	38,698,147	12,939,216	19,648,008	20,922,134	21,639,488	0	0
Revenues	26,825,417	29,807,415	30,417,719	31,987,575	20,000,000	20,000,000	20,000,000
Expenditures	15,193,285	11,492,440	10,824,808	11,686,428	8,000,000	8,000,000	8,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer out to general fund	(37,391,063)	(11,606,183)	(18,318,785)	(19,583,792)			
Net Total Transfers	(37,391,063)	(11,606,183)	(18,318,785)	(19,583,792)	(33,639,488)	(12,000,000)	(12,000,000)
Ending Cash Balance	12,939,216	19,648,008	20,922,134	21,639,488	0	0	0
Encumbrances							
Unencumbered Cash Balance	12,939,216	19,648,008	20,922,134	21,639,488	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 115
 Name of Fund: College Savings Program Trust Fund
 Legal Authority: Chapter 256,HRS

Contact Name: Roderick Becker
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. N/A *

Intended Purpose:

The College Savings Program Trust Fund was established in 2002 with the commencement of Hawaii's 529 program. Funds are maintained in the Trust Fund on behalf of participants or account owners, and are invested as directed by the participants according to program rules.

Source of Revenues:

Account owner contributions and account investment earnings.

Current Program Activities/Allowable Expenses:

Trust fund for Hawaii's 529 College Savings Program, per program description/rules. Funds may be withdrawn by account owners, intended for designated beneficiaries' college expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable *

Variiances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	72,043,320	77,280,232	80,863,303	86,021,047	89,150,487	94,150,487	99,150,487
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	5,236,912	3,583,071	5,157,744	3,129,440	5,000,000	5,000,000	5,000,000
Net Total Transfers	5,236,912	3,583,071	5,157,744	3,129,440	5,000,000	5,000,000	5,000,000
Ending Cash Balance	77,280,232	80,863,303	86,021,047	89,150,487	94,150,487	99,150,487	104,150,487
Encumbrances							
Unencumbered Cash Balance	77,280,232	80,863,303	86,021,047	89,150,487	94,150,487	99,150,487	104,150,487

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* College Savers Trust fund is held outside of thee State Treasury.

** Net Transfers represent change in net asset value of the total accounts (contributions and withdrawals by program participants) per QE June program report

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Coronavirus Relief Fund (Cares)
 Legal Authority: Non-appropriated (Governor's approval May 22, 2020)

Contact Name: Jessie Inazu
 Phone: 586-2367
 Fund type (MOF) P
 Appropriation Acct. No. S-20-247-O

Intended Purpose: The Coronavirus Aid, Relief, and Economic Security Act, P.L. 116-136 awarded the State of Hawai'i \$867,000,000 to be used for covering necessary expenditures incurred due to the public health emergency with respect to COVID-19.
 Source of Revenues: Coronavirus Relief Fund federal award, funded by PL 116-136.

Current Program Activities/Allowable Expenses: For expenditures incurred by the State of Hawai'i for COVID-19 response during the period March 1, 2020 to December 30, 2020 and were not accounted for in the budget most recently approved as of March 20, 2020 for the state or government.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				862,823,978.80			
Beginning Cash Balance		0	0	0	771,820,893.41	0	0
Revenues				862,842,882.91			
Expenditures				87,661,989.50	87,661,989.50		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
6/2/2020 S-20-525-L				(1,360,000.00)			
6/15/2020 S-20-556-K				(2,000,000.00)			
7/22/2020 S-20-524-G					(10,000,000.00)		
8/12/2020 S-20-527-G					(30,000,000.00)		
9/1/2020 S-20-528-L					(8,154,000.00)		
8/11/2020 S-20-503-O					(635,986,000.00)		
Cash balances will be expended by lapse date of 6/30/2021					(18,903.91)		
Net Total Transfers	0	0	0	(3,360,000.00)	(684,158,903.91)	0	0
Ending Cash Balance	0	0	0	771,820,893.41	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	771,820,893.41	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Emergency & Budget Reserve Fund-Cares Act
 Legal Authority: Act 008, SLH 2020

Contact Name: Jessie Inazu
 Phone: 586-2367
 Fund type (MOF) P
 Appropriation Acct. No. S-20-503-O

Intended Purpose: Section 15 of Act 8, SLH 2020 required the deposit of \$635,968,000 of the Coronavirus Relief Fund award into a separate and distinct account within the Emergency and Budget Reserve Fund (EBRF).

Source of Revenues: Coronavirus Relief Fund federal award, funded by PL 116-136.

\$635,986,000 cash was transferred from Coronavirus Relief Fund S-20-247-O (MOF P).

\$635,986,000 cash was transferred to the Emergency and Budget Reserve Fund S-20-358-O (MOF B).

Current Program Activities/Allowable Expenses: For expenditures incurred by the State of Hawai'i for COVID-19 response during the period March 1, 2020 to December 30, 2020 and were not accounted for in the budget most recently approved as of March 20, 2020 for the state or government.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					635,986,000		
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues					635,986,000		
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
8/11/2020 S-20-358-O					(635,986,000)		
Net Total Transfers	0	0	0	0	(635,986,000)	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Emergency & Budget Reserve FND-CARES Act
 Legal Authority: Act 008, SLH 2020

Contact Name: Jessie Inazu
 Phone: 586-2367
 Fund type (MOF) B
 Appropriation Acct. No. S-20-358-O

Intended Purpose: Section 15 of Act 8, SLH 2020 required the deposit of \$635,968,000 of the Coronavirus Relief Fund award into a separate and distinct account within the Emergency and Budget Reserve Fund (EBRF).

Source of Revenues: Coronavirus Relief Fund federal award, funded by PL 116-136.
 \$635,986,000 cash was transferred from Coronavirus Relief Fund S-20-247-O (MOF P) to the EBRF S-20-503-O (MOF P).
 \$635,986,000 cash was transferred from the EBRF S-20-503-O (MOF P) to the Emergency and Budget Reserve Fund S-20-358-O (MOF B)

Current Program Activities/Allowable Expenses: For expenditures incurred by the State of Hawai'i for COVID-19 response during the period March 1, 2020-December 30, 2020 and were not accounted for in the budget most recently approved as of March 20, 2020 for the state or government.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				635,986,000	635,986,000.00		
Beginning Cash Balance		0	0	0	0.00	0	0
Revenues					635,986,000.00		
Expenditures					11,000,000.00		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
8/12/2020 S-21-353-K					(15,000,000.00)		
8/12/2020 S-21-353-D					(70,000,000.00)		
8/12/2020 S-21-383-B					(50,000,000.00)		
9/11/2020 S-21-363-B					(10,000,000.00)		
8/12/2020 S-21-350-G					(61,000,000.00)		
8/14/2020 S-21-350-Q					(39,986,000.00)		
8/20/2020 S-21-365-B					(10,000,000.00)		
8/25/2020 S-21-384-B					(3,000,000.00)		
9/3/2020 S-21-385-B					(50,000,000.00)		
9/8/2020 S-21-352-L					(5,000,000.00)		
9/25/2020 S-21-361-H					(34,993,325.00)		
9/25/2020 S-21-363-H					(35,000,000.00)		
9/25/2020 S-21-354-K					(4,650,000.00)		
9/25/2020 S-21-352-V1					(3,750,000.00)		
9/25/2020 S-21-353-V1					(5,100,000.00)		
9/29/2020 S-21-390-H					(14,000,000.00)		
9/29/2020 S-21-386-B					(66,600,000.00)		
10/1/2020 S-21-389-H					(891,907.82)		
10/27/2020 S-21-366-B					(25,000,000.00)		
10/27/2020 S-21-356-L					(15,976,400.00)		
10/27/2020 S-21-357-K					(1,950,000.00)		
10/29/2020 S-21-389-B					(70,000.00)		

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Cash balances will be expended by lapse date of 12//28/2020					(103,018,367.18)		
Net Total Transfers	0	0	0	0	(624,986,000.00)	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0.00	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Compliance Resolution Fund - Cable Television
 Legal Authority: Chapter 440G, HRS, Act 199, SLH 2010

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-302-R

Intended Purpose:

To foster the development of responsive and reliable cable communications services for the people of Hawaii, by promoting the public interest in authorizations by the State regarding cable franchises; regulate cable television service to ensure compliance with applicable State and Federal laws and franchise agreements; expand and administratively manage the statewide Institutional Network; and continue the availability of public, education and government cable access. Additional responsibilities due to Act 199 tasked CATV to implement activities to create ubiquitous access to high speed broadband at affordable prices throughout the State.

Source of Revenues:

Franchise Fees (HAR 16-132) paid by cable television operators.

Current Program Activities/Allowable Expenses:

- Issues and enforces cable television franchises for cable communications services.
- Promulgates and enforces rules and regulations governing the practices, procedures, quality and quantity of services.

- Plans and encourages the development of new, improved and more effective utilization of cable communications services, equipment, and facilities including the State's Institutional Network (INET), and public, educational, and government (PEG) access resources and facilities, which will enhance communications services available to Hawaii's citizens.
- Support public and private efforts to enhance or facilitate deployment; making recommendations to establish affordable, accessible broadband services to un-served and underserved areas; increase usage and demand by facilitating deployment of expanded applications such as telework, telemedicine, e-learning; and assist in implementing recommendations in the Hawaii Broadband Task Force Report of December 2008, and the goals of the Governor's Hawaii Broadband Initiative of August 2011.

Purpose of Proposed Ceiling Increase (if applicable):

None.

Variations:

Generally, the variances in revenues each year is due to changes in the gross revenues of the cable operators in the State. For FY19, FY20, FY21 and going forward, the variance in revenues is largely due to DCCA's decision to decrease the annual fee assessed on cable operators from 1% to 0%, effective July 1, 2019, until further order of the DCCA Director (Decision and Order No. 375; May 9, 2019). Variations in expenditures each year including FY19, FY20, FY21 relate to: (1) planned management audits and other cable matters using consulting services (e.g., franchise renewals, PEG designations, federal FCC proceedings, etc.); and (2) increased broadband activities required of the Department. FY21 expenditures also include FY20 encumbrances for consulting/expert services.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,559,971	2,609,370	2,609,370	2,647,986	2,647,986	2,566,872	2,566,872
Beginning Cash Balance	6,755,529	8,429,071	9,804,965	11,023,754	10,104,568	7,179,193	5,246,425
Revenues 1/	3,160,317	2,989,888	3,117,085	532,572	65,000	1,041,000	2,541,000
Expenditures	1,160,764	1,266,628	1,541,021	1,079,052	2,647,986	2,566,872	2,566,872
Transfers							
List each by JV# and date							
JS2023 10/31/2019				(93,176)			
JS2115 11/13/2019				(93,176)			
JS3519 2/7/2020				(93,176)			
JS4829 5/7/2020				(93,178)			
Net Total Transfers	(326,011)	(347,366)	(357,275)	(372,706)	(342,389)	(406,896)	(438,875)
Ending Cash Balance	8,429,071	9,804,965	11,023,754	10,104,568	7,179,193	5,246,425	4,781,678
Encumbrances	562,473	532,788	914,799	916,663	451,500	451,500	451,500
Unencumbered Cash Balance	7,866,598	9,272,177	10,108,955	9,187,905	6,727,693	4,794,925	4,330,178

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Funds held outside the State Treasury by Time Warner
 Legal Authority: Decision & Order No. 346, Section IV.I.4

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Funds held outside of State Treasury
 Appropriation Acct. No. T-xx-907

Intended Purpose:

Franchise fees over the cap amount that is paid by Oceanic Time Warner Cable, LLC ("Oceanic"), now known as Spectrum Oceanic, to Olelo are provided to DCCA for INET/Broadband purposes.

Source of Revenues:

Franchise fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

Expenses related to expansion and upgrade of the Institutional Network ("INET"); and any other expenses/activities relating to broadband.

Purpose of Proposed Ceiling Increase (if applicable):

Increase ceiling to: (1) expand broadband access statewide through the establishment of a Mobile WiFi Hotspot Lending Pilot Program administered by the Hawaii State Public Library System (HSPLS); and (2) provide necessary funds to offset some of the anticipated impacts of the Federal Communications Commission's (FCC) 2019 Franchise Fees Order, issued in FCC Docket No. 05-311, adopted August 1, 2019 (FCC's 2019 Franchise Fees Order).

Variations:

Variance in revenues each year occurred due to changes in Oceanic's (now known as Spectrum) gross revenues, which are based on the number of customers and cable packages to which such customers subscribe. The variance in FY19 expenditure reflects the transfer made by the Department to the DOE in compliance with Act 53, Session Laws of Hawaii which authorized and required the transfer. Revenues (aside from simple interest) for this account is expected to cease since Spectrum is no longer required to hold funds for INET and broadband related purposes in the account, under Decision and Order No. 372, issued by the Department on March 23, 2018. In FY20, due to a review of Spectrum's calculations of franchise fees and true-up requirements, \$67,463 in revenues in addition to interest was added to the account, the \$13 in expenditures in FY20 is due to bank fees.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			7,920,000	0	0	0	0
Beginning Cash Balance	7,569,895	8,231,476	8,729,819	814,687	883,051	883,971	884,896
Revenues	661,581	498,356	4,868	68,377	920	925	930
Expenditures		13	7,920,000	13	0	0	0
Transfers							
List each by JV# and date			0				
Net Total Transfers			0				
Ending Cash Balance	8,231,476	8,729,819	814,687	883,051	883,971	884,896	885,826
Encumbrances					0	0	0
Unencumbered Cash Balance	8,231,476	8,729,819	814,687	883,051	883,971	884,896	885,826

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department:	<u>Commerce and Consumer Affairs</u>	Contact Name:	<u>Dean Hazama</u>
Prog ID(s):	<u>CCA-103</u>	Phone:	<u>586-2844</u>
Name of Fund:	<u>CRF-PUC Spcl Fnd Allocation-Consumer Advocacy</u>	Fund type (MOF):	<u>Special Funds (B)</u>
Legal Authority:	<u>Section 269-33, HRS</u>	Appropriation Acct. No.:	<u>S-XX-303-R</u>

Intended Purpose:

To fund the operations of the Division of Consumer Advocacy.

Source of Revenues:

Public utility fees paid to the public utilities commission pursuant to HRS §269-30, and placed in the public utilities commission special fund. Pursuant to HRS §269-33, on a quarterly basis, an amount is allocated in accordance with legislative appropriations to the DCA and deposited in the compliance resolution fund.

Current Program Activities/Allowable Expenses:

To represent, advance and protect the interests of consumers of regulated utility and transportation services. The division is a party to every proceeding before the Hawaii Public Utilities Commission. The division participates in, among other things, reviews of utility and transportation companies' requests to increase rates, service reliability investigations, energy utility integrated resource plans, and capital improvement projects for utilities. In addition, the division strives to promote effective competition and consumer protection through contested cases and rule making proceedings regarding telecommunications and electric power infrastructure development.

Purpose of Proposed Ceiling Increase (if applicable):

None.

Variances:

Revenue variance(s): Interest revenues will vary depending on the amount of cash balances.

Expenditure variance(s): Actual expenditures have varied from estimates for a number of reasons, including, but not limited to: 1) vacancies that caused lower than budgeted payroll expenditures; and 2) certain dockets not proceeding, which caused anticipated consulting charges and contracts to be lower than expected. The increases in FY 2018 and FY2020 over prior year expenditures were related to consulting services needed for an increasing number of investigations and the additional work around certain key events (e.g., the 2017 Tax Act and the Performance Based Regulation proceedings) and lower expenditures in the prior year. The decrease in FY 2019 from the prior year is due to a decrease in some of the activities in certain dockets, which resulted in less money needed for consultant contracts. For FY 21 and beyond, the Division continues to anticipate greater work levels due to policy and COVID impacts, which would result in consulting services ramping up as certain investigations increase in intensity and require greater allocation of resources. The Division should also have more vacancies filled.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,241,213	4,230,174	4,138,705	4,494,086	4,494,086	4,604,311	4,604,311
Beginning Cash Balance	7,476,675	8,464,730	9,007,735	10,218,187	11,021,839	10,457,491	9,647,922
Revenues 1/	57,954	50,357	69,923	357,252	50,000	50,000	50,000
Expenditures	2,876,023	3,292,626	2,637,783	3,415,378	4,494,086	4,604,311	4,604,311
Transfers							
List each by JV# and date							
JS2023 10/31/2019				(158,077)			
JS2115 11/13/2019				(158,077)			
JS3519 2/7/2020				(158,077)			
JS4829 5/7/2020				(158,077)			
JS31302 9/25/2019				557,261			
JS2473 12/5/2019				557,261			
JS3594 2/12/2020				557,261			
JS5298 6/4/2020				2,822,304			
Net Total Transfers	3,806,124	3,785,274	3,778,312	3,861,778	3,879,738	3,744,742	3,946,753
Ending Cash Balance	8,464,730	9,007,735	10,218,187	11,021,839	10,457,491	9,647,922	9,040,364
Encumbrances	2,057,389	1,684,596	1,794,802	1,277,408	1,400,000	1,400,000	1,400,000
Unencumbered Cash Balance	6,407,341	7,323,139	8,423,385	9,744,431	9,057,491	8,247,922	7,640,364

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA 104, CCA-110
 Name of Fund: Multi-state Enforcement and Education Fund/
Multi-state Mortgage Servicer Agreement Fund
 Legal Authority: Multi-state Mortgage Settlement Agreement,
Multi-state Mortgage Servicer Settlement Agreements

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-xx-932-R

Intended Purpose:

- Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams.
- Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.

Source of Revenues:

- Part of a grant from the Dept. of the Attorney General awarded to the DCCA out of Hawaii's share of the National Mortgage Settlement.
- Funds paid by the five mortgage servicers as required by the terms of the multi-state mortgage servicer settlement agreements.

Current Program Activities/Allowable Expenses:

- The fund permits the Office of Consumer Protection to hire additional legal, investigative and support staff to support ongoing enforcement actions, investigations and outreach programs, as well as related operating expenses.
- Licenses, supervises and regulates mortgage loan originators, mortgage loan originator companies and mortgage servicers. Funds will be used to hire staff to conduct investigations, examine for compliance with applicable laws and rules, and to provide consumer education and outreach. Funds will also be used for related expenses including training of staff.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances:

As stated in recent years, this fund is shared among DO, OCP, and DFI. As such, it is difficult to know primary reasons for variances in expenditures. DFI's settlement fund was for a specific amount, and there were no other revenues. As of September 21, 2020, 2020, DFI's balance was \$129,316. In FY 2021, \$19,000 will be paid to DBEDT to assist with reporting. The balance will be spent on training, and investigations and complaints, the majority of which involve the mortgage industry.

Financial Data							
	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (actual)	FY 2020 (actual)	FY 2021 (estimated)	FY 2022 (estimated)	FY 2023 (estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance	712,427	1,037,538	480,822	368,611	242,215	42,215	42,215
Revenues ^{1/}	501,536	0	0	0			
Expenditures	176,425	556,716	112,211	126,396	200,000		
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	1,037,538	480,822	368,611	242,215	42,215	42,215	42,215
Encumbrances	435,360	0	0	0			
Unencumbered Cash Balance	602,178	480,822	368,611	242,215	42,215	42,215	42,215

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

^{1/} Revenue projections based on Rev Est to B&F August 2020.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: CRF- Financial Institutions
 Legal Authority: Sections 412:2-109, 449-14, 489D-12.5, 454F-18, 454F-23, 454M-11, and 26-9(o), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Account: S-XX-320-R

Intended Purpose:

To allow the Commissioner to appoint examiners to examine the affairs of financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers; to appoint any necessary administrative support personnel; and to pay for any expenses incurred during examinations or necessary for the education and training of personnel and other expenses related to examinations and administrative costs as well as to support the entire program of the Division of Financial Institutions (DFI).

Source of Revenues:

Transfer of \$2 million from taxes paid by banks and other financial corporations (HRS 241-7); and application, examination, licensing, and other fees and fines paid by financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Current Program Activities/Allowable Expenses:

Ensures the safety and soundness of state-chartered financial institutions by fairly administering applicable statutes and rules through a program of supervision and regulation through chartering or licensure, examination, application review, off-site monitoring, investigation, and complaint handling and is also responsible for the licensing and supervision of escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variations:

The 30% increase in Expenditures projected in FY 2018 is primarily due to increased staffing.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,972,852	4,836,792	4,979,192	5,175,488	5,175,488	5,336,797	5,336,797
Beginning Cash Balance	9,480,492	10,910,845	11,365,807	11,498,910	11,198,600	10,094,441	8,472,485
Revenues 1/	5,578,295	5,678,122	5,503,582	5,052,160	4,779,655	4,566,100	4,566,100
Expenditures	3,513,574	4,579,275	4,681,776	4,624,291	5,175,488	5,342,076	5,342,076
Transfers							
List each by JV# and date							
JS2023 10/31/2019				(182,044)			
JS2115 11/13/2019				(182,044)			
JS3519 2/7/2020				(182,044)			
JS4829 5/7/2020				(182,047)			
Net Total Transfers	(634,368)	(643,885)	(688,703)	(728,179)	(708,326)	(845,980)	(912,466)
Ending Cash Balance	10,910,845	11,365,807	11,498,910	11,198,600	10,094,441	8,472,485	6,784,043
Encumbrances	505,001	156,457	184,479	62,395	193,631	195,138	47,500
Unencumbered Cash Balance	10,405,844	11,209,350	11,314,431	11,136,205	9,900,810	8,277,347	6,736,543

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: Mortgage Loan Recovery Fund
 Legal Authority: Section 454F-41, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-926-R

Intended Purpose:

To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.

Source of Revenues:

Fees paid by mortgage loan originator companies, branch offices of mortgage loan originator companies, and mortgage loan originators upon initial licensure and at annual renewal.

Current Program Activities/Allowable Expenses:

Licenses and regulates mortgage loan originator companies and mortgage loan originators. Mortgage Loan Recovery Fund (Recovery Fund) was established to protect consumers by making it easier to recover losses caused by persons that violate Chapter 454F, HRS. Allowable expenses are the payment of claims as ordered by a court and expenses related to the administration of the Recovery Fund.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variations:

As mentioned last year, the drop off in revenue beginning in FY 2020 results from suspension of collection of all fees until the fund reduces to \$750,000. Expenditures will vary based on claims paid or projected to be paid.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	220,000	220,000	110,000	301,000	301,000	301,000	301,000
Beginning Cash Balance	1,578,165	1,813,815	1,990,715	2,155,365	2,207,315	2,087,315	2,087,315
Revenues 1/	235,650	176,900	164,650	51,950	0	0	0
Expenditures	0	0	0	0	120,000	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,813,815	1,990,715	2,155,365	2,207,315	2,087,315	2,087,315	2,087,315
Encumbrances					0	0	0
Unencumbered Cash Balance	1,813,815	1,990,715	2,155,365	2,207,315	2,087,315	2,087,315	2,087,315

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F, August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund - PVL
 Legal Authority: Section 26-9, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-305-R

Intended Purpose:

To fund the operations of the Professional and Vocational Licensing Division.

Source of Revenues:

Application, license, and renewal fees.

Current Program Activities/Allowable Expenses:

For 52 regulated areas - process applications for licensure, examine applicants, license, renew, update licensee files, provide information and guidance to the public, applicants and licensees regarding regulatory areas orally and in writing, assist with or adopt or amend rules, propose and respond to legislative measures, liaison between 25 regulatory boards and DCCA, conduct public meetings, public hearings, produce minutes, facilitate decision-making by the boards in ordering disciplinary action against a licensee and granting, denying or otherwise conditioning license applications, network with outside entities for coordinated activities and keeping abreast of current trends, network with other State agencies for compliance (legislative auditor, ethics commission, procurement office).

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Renewal fees are collected on a biennial basis resulting in revenue variances between FYs.

FY 20 expenditure variance due to increased staffing.

FY 21-23 expenditure variances is based on projection to ceiling.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,484,103	7,085,895	7,237,863	7,892,944	7,892,944	8,117,600	8,117,600
Beginning Cash Balance	5,467,749	6,715,727	9,024,902	9,545,440	10,787,462	9,826,348	9,276,959
Revenues 1/	7,811,810	9,426,050	7,672,015	9,138,171	8,013,000	8,855,000	8,228,000
Expenditures	5,705,641	6,178,013	6,144,357	6,785,630	7,892,944	8,117,600	8,117,600
Transfers							
List each by JV# and date							
JS2023 10/31/2019				(277,629)			
JS2115 11/13/2019				(277,629)			
JS3519 2/7/2020				(277,629)			
JS4829 5/7/2020				(277,632)			
Net Total Transfers	(858,191)	(938,862)	(1,007,120)	(1,110,519)	(1,081,170)	(1,286,789)	(1,387,918)
Ending Cash Balance	6,715,727	9,024,902	9,545,440	10,787,462	9,826,348	9,276,959	7,999,441
Encumbrances	148,363	1,043,284	60,541	948,733			
Unencumbered Cash Balance	6,567,364	7,981,618	9,484,899	9,838,729	9,826,348	9,276,959	7,999,441

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund
 Legal Authority: Act 199, SLH 2015

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-363-R

Intended Purpose: For the Implementation of the Behavior Analyst Program

Source of Revenues: Collection of a \$50 assessment fee upon registration and renewal of behavior analyst.

Current Program Activities/Allowable Expenses: Process applications for registration, renewal and maintenance of registrants and inquiries to the general public.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances: Renewals are on a biennial basis resulting in variances between FYs. Fund folded into S305.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,000	0	0				
Beginning Cash Balance	1,980	4,425	0	0	0	0	0
Revenues	2,576	570	0	0	0	0	0
Expenditures	131	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(4,429)	0	0	0	0	0
Ending Cash Balance	4,425	566	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	4,425	566	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Recovery Fund
 Legal Authority: Sections 467-16-19, 21-24, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-904-R

Intended Purpose:

As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.

Source of Revenues:

Original real estate license fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

None.

Variations:

Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs. No projected fee waiver for FY 2022

Expense variances - Difference between actual and expenditure ceiling

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	504,592	508,128	508,128	509,869	510,534	512,881	512,881
Beginning Cash Balance	740,077	814,981	876,360	910,535	889,100	877,100	861,100
Revenues	121,460	131,921	127,348	68,087	113,000	114,000	114,000
Expenditures	46,556	70,542	93,173	89,522	125,000	130,000	130,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	814,981	876,360	910,535	889,100	877,100	861,100	845,100
Encumbrances		5,695	1,423				
Unencumbered Cash Balance	814,981	870,665	909,112	889,100	877,100	861,100	845,100

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

DCCA Non-General Fund Information - Real Estate Recovery Fund
Page 2

Current Program Activities/Allowable Expenses:

- Defend and administer claims through contracted attorneys who represent the Commission in actions for claims, including administering contracts and payment for services.
- Provide information, advice, and referral on all inquiries concerning the Real Estate Recovery Trust Fund and claims.
- Receive, process, and act on complaint notices, court filed motions, subpoenas, settlement claims, and court ordered claims including record keeping and monitoring the complaints through the courts.
- Arrange and assist in presentation of settlement claims, court ordered claims, and other issues to the Commission at its monthly scheduled meetings or emergency meetings.
- Administer budget, including development and approval of biennium budget, record keeping, any CPA audit, investment of funds, development of statistical and other periodic reports to licensees, Budget and Finance, Governor, and the Legislature.
- Study and develop case summaries for educational purposes.
- Initiate and develop collection program, continue to administer the State Tax Intercept Program with the Department of Taxation and DAGS.
- Research, develop, print, and distribute a simple plain language brochure for claimants.
- Provide funding for clerical position, who services the program.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Education Fund
 Legal Authority: Sections 467-11-19, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-905-R

Intended Purpose:

See attached.

Source of Revenues:

Original licensing and biennial renewals fees. Registration of schools, providers, courses and instructors. Interest due from the real estate recovery fund.

Current Program Activities/Allowable Expenses:

See Attached.

Purpose of Proposed Ceiling Increase (if applicable):

None.

Variances:

Revenues for FY2021-22 biennial renewal and new licenses are projected to be less than the prior two year period due to the economic recessions

Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs.

Expense variances - Difference between actual and expenditure ceiling

FY2021 has nearly \$75,000 in contracts for upcoming mandatory licensee renewal classes

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	713,214	818,958	844,626	863,508	871,744	894,839	894,839
Beginning Cash Balance	394,194	1,218,073	817,810	1,601,167	1,241,776	1,893,032	1,088,193
Revenues	1,355,680	170,907	1,409,993	214,074	1,523,000	90,000	1,577,000
Expenditures	531,801	571,170	626,636	573,465	871,744	894,839	894,839
Transfers							
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	1,218,073	817,810	1,601,167	1,241,776	1,893,032	1,088,193	1,770,354
Encumbrances	1,239	30,929	3,306	17,315			
Unencumbered Cash Balance	1,216,834	786,881	1,597,861	1,224,461	1,893,032	1,088,193	1,770,354

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

DCCA Non-General Fund Information - Real Estate Education Fund
Page 2

Intended Purpose:

As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Real Estate Specialists and clerical positions, who administer the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, real estate licensees, government officials, attorneys, educators, and others via telephone, office walk-ins, written inquiries
- Administer real estate website.
- Administer and provide information as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials, and reference
- Arrange and conduct Real Estate Specialists of the Day at neighbor island sites.

Educational and Informational Materials

- Research, develop, publish and distribute the quarterly real estate bulletin to all real estate licensees, government officials, State Libraries, and others in related areas.
- Printing and distribution of Chapter 467, HRS, as amended to all principal brokers and brokers-in-charge.
- School Files, a quarterly bulletin to real estate prelicense schools, prelicense and continuing education instructors and continuing education providers which provides current
- Various publications and informational sheets for consumers and real estate licensees.
- Research, develop and submit articles for the media.
- Research and report on alternative delivery of educational materials.
- Develop and maintain website on the internet for dissemination of information, publications, forms, agendas, etc. to licensees and the general public.
- Distribute new salesperson and broker start-up kits, consisting of information and educational materials.

Administer Education Review Committee, Laws and Rules Review Committee and Real Estate Commission

- Hold monthly meetings for the two standing committees and the Commission with some meetings at neighbor island sites.
- Administration of the committee meetings, including agenda, distribution materials, room and equipment arrangements, oral testifiers, minutes, committee reports, post-meeting
- Conduct and administer periodic symposiums.
- Administration of Education Evaluation Task Force Subcommittee.

Research, develop or update curriculum and instructional materials for courses and administer courses

- Continuing education core course.
- Prelicensing real estate broker course.
- Prelicensing real estate salesperson course.

Research, develop and conduct seminars, workshops and meetings

- Instructor development workshops, course briefings and other meetings for real estate educators.
- Real estate seminars for real estate licensees.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Condominium Education Trust Fund
 Legal Authority: Sections 514A-40(c), 514-A-95.1, 514B-71,72,73 HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-906-R

Intended Purpose:
See attached.

Source of Revenues:
Developers' final public report fees, association of unit owners registration and biennial re-registration fees.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
None

Variances:
 Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs.
 They also include late fees by deficient associations registering for prior renewals
 Expense variances - Difference between actual and expenditure ceiling.
 Expected expenses include expanded media outreach to inform condominium owners of services available to them.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	865,274	962,165	995,165	1,013,867	1,004,966	1,028,926	1,028,926
Beginning Cash Balance	1,634,488	2,548,224	2,186,051	2,251,082	1,743,637	1,538,671	584,745
Revenues 1/	1,469,887	244,484	780,985	220,220	800,000	75,000	1,952,000
Expenditures	556,151	606,657	715,954	727,665	1,004,966	1,028,926	1,028,926
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	2,548,224	2,186,051	2,251,082	1,743,637	1,538,671	584,745	1,507,819
Encumbrances	1,527	1,162	28,944	1,187			
Unencumbered Cash Balance	2,546,697	2,184,889	2,222,138	1,742,450	1,538,671	584,745	1,507,819

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/Revenue Projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 2

Intended Purpose:

514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Condominium Specialists and clerical positions, who service the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, condominium owners, board of directors, condominium managing agents, real estate licensees, attorneys,
- Administer condominium website.
- Administer condominium reference library program through State Libraries, other venues and in house, providing publications and information to condominium owners and the public.
- Administer and provide information, as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials and reference materials for public review.
- Arrange and conduct Condominium Specialists of the Day at various neighbor island sites.
- Study the feasibility of finding other means of providing access to condominium public information through computer discs or compact discs, State Libraries, webpage, etc.
- Coordinate educational sessions regarding §§514A and 514B and updates.

Educational Research and Informational Materials

- Research, develop, publish and distribute quarterly condominium bulletin to registered condominium associations and condominium managing agents, government officials, State Libraries and others in related areas.
- Research, develop, publish and distribute stand-alone chapters (brochures) for the Condominium Board of Directors Guide to registered condominium associations, condominium managing agents, government officials, State Libraries and others in related areas.
- Print and distribute information and educational materials including start-up kits to new condominium associations and new condominium managing agents.
- Research, develop and submit various articles for media publication. 0
- Develop and maintain website on the internet for dissemination of information, forms, publications, etc. for developers, associations, CMA's, condominium owners, and other interested parties.
- Print and distribute amended Chapters 514A and 514B to all registered condominium associations and condominium managing agents.
- Update, print and distribute updated Condominium Board of Directors series.
- Continue with the recodification of the condominium law educational efforts.

Rulemaking

- Research, develop and initiate Chapter 107, HAR rulemaking for Chapters 514A and 514B, HRS, including public workshops with those affected.
- Research, present, and receive recommendations for amendments to Chapter 107, HAR, and discuss and receive comments at the monthly Condominium Review Committee meetings with the condominium community.
- Research, study, and make recommendations concerning fees for Chapter 53, HAR, and assist the Professional and Vocational Licensing Division in the rulemaking process.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 3

Mediation of Governance and Management Problems

- Administer contracts and provide funding for alternative dispute resolution through mediation, through the procured mediation providers for all islands.
- Secure mediation information and develop report to the Legislature and Governor.
- Continue to seek other mediation service vendors.
- Coordinate and administer joint complaint/mediation program with the Regulated Industries Complaints Office including funding of mediations.
- Research, development, publication, and distribution of brochure on dispute resolution alternatives.

Arbitration of Condominium Problems

- Administer program through American Arbitration Association and other arbitration organizations.

Condominium Project Registration and Public Reports

- Administer registration of new condominium projects, review of documents, owner occupants reservation procedures, and public reports, including records management.
- Administer consultant contracts and the review of project documents and public reports.
- Administer public review of condominium project documents and public reports, including requests for copies.
- Conduct periodic meetings and workshops with the condominium consultants, developers and attorneys.

Administer Condominium Review Committee

- Administer and conduct monthly meetings of the Condominium Review Committee, standing committee of the Commission, including meetings at various neighbor island sites.
- Administration includes agenda, distribution of materials, room and equipment arrangements, receipt of testimony, minutes, Committee Reports, post-meeting responsibilities.
- Conduct and administer periodic educational symposiums.

Administer Seminars, Workshops and Meetings

- Research, develop, procure, contract and conduct condominium seminars, workshops and special meetings with those in the condominium community.
- Administer seminar subsidy program, including contract administration.

Interactive Educational and Research Participation

- Provide Educational speakers to organizations, government entities and others.
- Participate, exchange and network with local, state, national and international organizations and other governmental entities.
- Provide briefings to Legislators, testify on legislative bills and participate in other legislative matters.
- Research and report to the Legislature annually on condominium programs, budget and mediation programs.
- Research, coordinate, and implement Recodification Plan for Chapter 514A, HRS.

Administration of Condominium Association, Condominium Managing Agent Registrations, and Condominium Hotel Operators

- Administer registration and biennial re-registration of condominium associations, condominium managing agents and condominium hotel operators, including applications, review/approval process and records management.
- Conduct periodic workshops and meetings with registrants.

Administration of Budget and Biennial Plans

- Development and approval of the Condominium Education Trust Fund budget.
- Administration of budget, investment of funds and any CPA audits.
- Research, development and submission of information and reports required by the Department, Budget and Finance, Governor and the Legislature.
- Research, development, approval and administration of the Biennial Education and Research Plan.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Recovery Fund
 Legal Authority: Section 444-26, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-908-R

Intended Purpose:

Section 444-26, HRS provides that a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor from the Contractors Recovery Fund.

Source of Revenues:

All new contracting entity licensees (firms/sole proprietors) shall pay \$150 into the fund upon initial licensure and may be required to pay \$10 upon license renewal. At any time the fund balance falls below \$250,000 the Contractors License Board may assess every contractor a fee not to exceed \$500 annually for deposit into the fund.

Current Program Activities/Allowable Expenses:

Allowable expenses include payments to eligible consumers who have obtained an arbitrated award or court judgment against a contractor, and payment of attorney - consultant fees.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variations:

Revenue variances - Dependent upon the number of individuals that are licensed. Expenditure variances - Dependent upon the amount of claims paid.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	791,515	890,827	924,583	984,240	903,577	788,577	664,577
Revenues 1/	154,495	73,202	133,303	58,930	85,000	76,000	85,000
Expenditures	55,183	39,446	73,646	139,593	200,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	890,827	924,583	984,240	903,577	788,577	664,577	549,577
Encumbrances	4,266	1,990	0	0			
Unencumbered Cash Balance	886,561	922,593	984,240	903,577	788,577	664,577	549,577

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Education Fund
 Legal Authority: Section 444-29, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-909-R

Intended Purpose:

Section 444-29, HRS provides that the Contractors Education fund is to be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.

Source of Revenues:

The interest from the investments for the contractors' recovery fund shall be deposited to the credit of the contractors' education fund. In addition, all new contracting entity licensees (firms/sole proprietors) shall pay \$10 into the fund upon initial licensure and may be required to pay \$5 upon license renewal.

Current Program Activities/Allowable Expenses:

Funded activities include: National Association dues; attendance by Executive Officer and Board members at national association meetings; registration fees for attendance at national meetings, travel expense for neighbor island Board members to attend special Board meetings on Oahu; publication of educational materials; and overtime pay for clerical staff as needed.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenue variances - Dependent upon the number if individuals that are licensed. Expenditure variances - Dependent upon participation at national association meetings, special meetings requiring travel for neighbor island board members and publication of educational materials.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,968	16,968	16,968	161,600	161,600	161,600	161,600
Beginning Cash Balance	510,083	551,695	560,524	605,613	655,489	501,389	349,789
Revenues 1/	46,717	13,829	48,064	61,279	7,500	10,000	30,000
Expenditures	5,105	5,000	2,975	11,403	161,600	161,600	161,600
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	551,695	560,524	605,613	655,489	501,389	349,789	218,189
Encumbrances	0	0	0	580			
Unencumbered Cash Balance	551,695	560,524	605,613	654,909	501,389	349,789	218,189

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Appraisers
 Legal Authority: Chapter 466K, HRS; Title 16, Chapter 114, HAR

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-919-R

Intended Purpose:

See attached.

Source of Revenues:

The Federal Financial Institutions Examination Council ("FFIEC") mandates that each state collect a \$40 annual fee from each licensed and certified real estate appraiser to maintain the federal national registry. Appraisers must be on the national registry to perform appraisals in connection with federally related real estate transactions.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances:

The fees collected for the national registry are collected every other year at renewal thus explaining the large variance every other year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	55,000	55,000	55,000	55,000	55,000	55,000	55,000
Beginning Cash Balance	5,250	4,970	3,890	3,530	27,930	26,930	26,930
Revenues 1/	2,000	37,720	2,040	36,720	5,000	55,000	5,000
Expenditures	2,280	38,800	2,400	12,320	6,000	55,000	6,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	4,970	3,890	3,530	27,930	26,930	26,930	25,930
Encumbrances	0	0	0	400			
Unencumbered Cash Balance	4,970	3,890	3,530	27,530	26,930	26,930	25,930

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

1/ Revenue Projections based on Rev Est to B&F August 2020.

DCCA Non-General Fund Information - Real Estate Appraisers Trust Fund
Page 2

Intended Purpose:

The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.

Current Program Activities/Allowable Expenses:

In addition to any other powers and duties authorized by law, the director shall have the following powers and duties:

1. To grant permission to practice as a certified real estate appraiser in this State pursuant to this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto;
2. To adopt, amend, or repeal rules as the director finds necessary to effectuate fully this chapter and 12 United States Code §3301 et seq.;
3. To enforce this chapter and 12 United States Code §3301 et seq. and rules and regulations adopted pursuant thereto;
4. To discipline a certified real estate appraiser for any cause prescribed by this chapter or 12 United States Code §3301 et seq. for any violation of the rules and regulations and refuse to grant a person permission to practice as a certified real estate appraiser for any cause that would be grounds for disciplining a certified real estate appraiser;
5. To act as the designated representative of this State to implement 12 United States Code §3301 et seq.; and
6. To appoint an advisory committee to assist with the implementation of this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Appraisal Management Company Registry Fee
 Legal Authority: Chapter 466L, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-18-930-R

Intended Purpose:

See attached.

Source of Revenues:

The Federal Financial Institutions Examination Council ("FFIEC") mandates that each state collect \$25 per Appraisal Management Company (AMC) panel member who has done appraisal work in this State to maintain the federal national AMC registry. AMCs must be on the national registry to allow panel members to perform appraisals in connection with federally related real estate transactions.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance			23,200	29,750	32,000	57,000	82,000
Revenues 1/		23,200	6,550	2,250	25,000	25,000	25,000
Expenditures					0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	0	23,200	29,750	32,000	57,000	82,000	107,000
Encumbrances	0	0					
Unencumbered Cash Balance	0	23,200	29,750	32,000	57,000	82,000	107,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

DCCA Non-General Fund Information - Real Estate Appraisal Management Companies Trust Fund
Page 2

Intended Purpose:

The Legislature finds that the regulation of Appraisal Management Company (AMC) is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §1222.20 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466L, HRS) are to implement the requirements of 12 United States Code Sec §1222.20 et seq. and to require registration of all federally regulated AMCs who engage licensed or certified appraisers in this State.

Current Program Activities/Allowable Expenses:

In addition to any other powers and duties authorized by law, the director shall have the following powers and duties:

1. To grant permission to register AMCs in this State pursuant to this chapter and 12 United States Code §1222.20 et seq. and the rules and regulations adopted pursuant thereto;
2. To adopt, amend, or repeal rules as the director finds necessary to effectuate fully this chapter and 12 United States Code §1222.20 et seq.;
3. To enforce this chapter and 12 United States Code §1222.20 et seq. and rules and regulations adopted pursuant thereto;
4. To discipline an AMC for any cause prescribed by this chapter or 12 United States Code §1222.20 et seq. for any violation of the rules and regulations and refuse to grant an AMC permission to conduct business in this State for any cause that would be grounds for disciplining an AMC;
5. To act as the designated representative of this State to implement 12 United States Code §1222.20 et seq.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review Cycle II
 Legal Authority: Non-appropriated; CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-XX-205-R, S-19-503

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$3.0 million Federal Grant - Department of Health & Human Services; Grant period 10/1/11 to 9/30/18

Current Program Activities/Allowable Expenses:

Modification to electronic rate filing system. Hiring of additional rate analysts.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations:

Grant ended in FY19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	250,000	251,000	251,000	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	308,857	199,753	218,676	0	0		
Expenditures	308,857	199,753	218,676				
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Driver Education Fund
 Legal Authority: Section 431:10C-115, HRS; Section 431:10G-107, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-309-R

Intended Purpose:

Provide drivers training and education.

Source of Revenues:

An annual fee paid by insurers and self-insurers for each vehicle, motorcycle and motor scooter insured.

Current Program Activities/Allowable Expenses:

All collected revenues disbursed to the Judiciary, Department of Education (DOE), and Department of Transportation (DOT). Judiciary's funds are expended for the operation of the drivers' education program. DOE's funds support drivers' education for high school students. The DOT uses its funds for the operation of a drivers' education program for operators of motorcycles, motor scooters or similar vehicles.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variations:

None.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,100,000	3,100,000	3,100,000	3,600,000	3,600,000	3,600,000	3,600,000
Beginning Cash Balance	548,952	736,675	430,332	115,092	3,754	0	0
Revenues	3,287,723	3,293,657	3,284,760	3,304,866	3,335,000	3,360,000	3,410,000
Expenditures	0	0	0	0	3,338,754	3,360,000	3,410,000
Transfers							
List each by JV# and date							
JS4689 4/29/2020				(3,416,204)			
Net Total Transfers	(3,100,000)	(3,600,000)	(3,600,000)	(3,416,204)			
Ending Cash Balance	736,675	430,332	115,092	3,754	0	0	0
Encumbrances							
Unencumbered Cash Balance	736,675	430,332	115,092	3,754	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Compliance Resolution Fund - Insurance Regulation Fund
 Legal Authority: Sections 431:2-215 & 431:2-216, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-313-R

Intended Purpose:

The fund permits the Insurance Division to be self-sufficient.

Source of Revenues:

Fees, fines, penalties, assessments, and reimbursements collected under Title 24, Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses:

Used to defray any administrative cost, including personnel cost, associated with all of the programs of the Insurance Division, and incurred by supporting offices and divisions.

Purpose of Proposed Ceiling Increase (if applicable):

Convert 1 position from Temporary to Permanent.

Variations:

No assessment of the industry was conducted in FY19, resulting in less revenue.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,818,376	10,818,376	11,675,781	12,264,446	12,264,446	12,442,979	12,442,979
Beginning Cash Balance	5,055,425	4,374,385	4,690,032	3,089,949	3,746,358	3,318,909	3,674,218
Revenues	8,629,084	10,300,449	9,510,730	11,682,147	12,250,850	13,327,750	13,644,904
Expenditures	7,923,193	8,425,016	9,505,993	9,300,159	11,000,000	11,000,000	12,442,979
Transfers							
List each by JV# and date							
JS2023 10/31/2019				(431,394)			
JS2115 11/13/2019				(431,394)			
JS3519 2/7/2020				(431,394)			
JS4829 5/7/2020				(431,397)			
Net Total Transfers	(1,386,931)	(1,559,786)	(1,604,820)	(1,725,579)	(1,678,299)	(1,972,441)	(2,127,456)
Ending Cash Balance	4,374,385	4,690,032	3,089,949	3,746,358	3,318,909	3,674,218	2,748,687
Encumbrances	588,767	725,467	402,928	709,823			
Unencumbered Cash Balance	3,785,618	3,964,565	2,687,021	3,036,535	3,318,909	3,674,218	2,748,687

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Administrative Fund
 Legal Authority: Section 431:19-101.8, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-317-R

Intended Purpose:

The fund shall be expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.

Source of Revenues:

All moneys collected from captive licensees pursuant to article 19, chapter 431, including premium taxes, application and annual licensing fees, examination fees, and other reimbursements.

Current Program Activities/Allowable Expenses:

Licensing, monitoring, regulation, development of captive insurance companies, and promotion of Hawaii as a captive insurance domicile pursuant to article 19, chapter 431, including defrayal of any administrative costs, employment or retention of hearings officers, attorneys, investigators, accountants, examiners, and other necessary professional, technical, and support personnel.

Purpose of Proposed Ceiling Increase (if applicable):

None.

Variations:

Revenue increase in FY20 due to growth in licensee's and a greater than expected interest income credited to the fund. Expenditures increased in FY20 as vacancies were filled and the Captive branch began incurring costs related to IT system development.

	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,725,806	2,802,357	2,844,081	5,497,504	3,497,504	3,570,192	3,570,192
Beginning Cash Balance	6,011,624	6,746,665	7,641,901	8,562,778	9,224,226	9,080,722	8,885,530
Revenues 1/	2,519,340	2,754,503	2,984,597	3,564,868	3,354,000	3,375,000	3,540,000
Expenditures	1,784,299	1,859,267	2,063,720	2,903,420	3,497,504	3,570,192	3,570,192
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,746,665	7,641,901	8,562,778	9,224,226	9,080,722	8,885,530	8,855,338
Encumbrances	26,395	9,150	41,165	142,870	0	0	0
Unencumbered Cash Balance	6,720,270	7,632,751	8,521,613	9,081,356	9,080,722	8,885,530	8,855,338

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Planning/Implementing the Insurance Market Reforms, Part A, Title XXVII, Public Health Service Act,- Cycle I
 Legal Authority Non-appropriated; CFDA: 93.881; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 587-7581

Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-XX-501-R

Intended Purpose:

Planning and implementing insurance market reforms.

Source of Revenues:

\$1,210,906 Federal Grant - Department of Health & Human Services; Grant period 10/31/16 to 10/30/20.

Current Program Activities/Allowable Expenses:

Hiring market reform personnel and contracting with consultants.

Purpose of Proposed Ceiling Increase (if applicable):

None.

Variances:

None.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,210,906	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	6,127	334,954	78,253	36,167	0	0
Expenditures	0	6,127	334,954	78,253	36,167	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	150,000	20,000	0	0	0	0
Unencumbered Cash Balance	0	(150,000)	(20,000)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Market Stability Grant

Contact Name: Jerry Bump
 Phone: 587-7581

Legal Authority Non-appropriated; CFDA: 93.881; Section 1003 of the Affordable Care Act Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-XX-502-R

Intended Purpose:

State Flexibility Grants will provide States with the opportunity to ensure their laws, regulations, and procedures are in line with Federal requirements, and enhance the States' ability to effectively regulate their respective health insurance markets through innovative measures that support the pre-selected market reforms and consumer protections under Part A of Title XXVII of the PHS Act.

Source of Revenues:

\$278,527.95 Federal Grant - Department of Health & Human Services; Grant period 8/20/18-8/19/20.

Current Program Activities/Allowable Expenses:

Contracting with consultants.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Premium Taxes Paid Pending Appeal
 Legal Authority: Sections 431:7-202, 431:7-209, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-916-R

Intended Purpose:

Premium taxes paid in protest due to denial of high tech tax credits.

Source of Revenues:

Premium taxes.

Current Program Activities/Allowable Expenses:

Pending result of appeal.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations:

No premium taxes paid in protest during FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	2,815,842	1,905,274	1,905,274	0	0	0	0
Revenues	0	0	0				
Expenditures	910,568	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			(1,905,274)				
Ending Cash Balance	1,905,274	1,905,274	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,905,274	1,905,274	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Commissioner's Education and Training Fund
 Legal Authority: Section 431:2-214, HRS; Act 348, SLH 1987

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-917-R

Intended Purpose:

This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.

Source of Revenues:

Fees for rate and form filings.

Current Program Activities/Allowable Expenses:

Reimburse staff and personnel for various education and training expenses, including insurance and computer courses, conferences, and seminars. Publish annually, the Report of the Insurance Commissioner for consumer information. Presentations of insurance information to Hawaii consumers. Purchase of books and periodicals for staff education and training.

Purpose of Proposed Ceiling Increase (if applicable):

Increasing trust fund ceiling for additional expected training and educational costs.

Variations:

Revenues projected to decrease as the Commissioner has temporarily reduced fees charged for rate and form filings by 50%. Fees were reduced as the fund has sufficient funds in reserves.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	201,000	201,000	250,000	250,000
Beginning Cash Balance	1,218,602	1,344,641	1,408,896	1,418,268	1,468,637	1,347,637	1,177,637
Revenues	182,762	144,802	155,931	153,148	80,000	80,000	80,000
Expenditures	56,723	80,547	146,559	102,779	201,000	250,000	250,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	1,344,641	1,408,896	1,418,268	1,468,637	1,347,637	1,177,637	1,007,637
Encumbrances	13,949	20,612	22,929	20,318			
Unencumbered Cash Balance	1,330,692	1,388,284	1,395,339	1,448,319	1,347,637	1,177,637	1,007,637

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Service Contract Provider's Fin Sec Dep
 Legal Authority: Section 481X-4, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-927-R

Intended Purpose:

The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.

Source of Revenues:

Financial security deposits from service contract providers placed in trust with the Insurance Commissioner.

Current Program Activities/Allowable Expenses:

Current activities include the issuance and renewal of service contract providers registration.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances:

Additional deposit expected in FY21.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	50,000	50,000	50,000	50,000	50,000	75,000	75,000
Revenues	0				25,000	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	50,000	50,000	50,000	50,000	75,000	75,000	75,000
Encumbrances							
Unencumbered Cash Balance	50,000	50,000	50,000	50,000	75,000	75,000	75,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Insurance Division Restitution
 Legal Authority: Section 431:2-203, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-928-R

Intended Purpose:

The fund will hold deposits placed in trust with the Commissioner by defendants from an enforcement action brought by the Insurance Division. If the defendant does not comply with the terms of the settlement agreement, the Insurance Division then has the power to use these funds to provide restitution to the complainants involved.

Source of Revenues:

Deposits from defendants pursuant to a settlement agreement.

Current Program Activities/Allowable Expenses:

No deposits being held.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations:

Varies year to year depending on an insurers' request for appeal.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues		0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Companies LOC Escrow
 Legal Authority: Section 431:19-104(b), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-931-R

Intended Purpose:

The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.

Source of Revenues:

Cash draw from Letter of Credits (LOC) issued on behalf of captive licensees.

Current Program Activities/Allowable Expenses:

The Commissioner may draw upon the LOC when the captive licensee is not in compliance with the provisions of article 19 of chapter 431, including but not limited to its minimum capital and surplus requirements, applicable investment provisions, or otherwise in adverse financial condition, or operating in a manner that is detrimental or its condition unsound with respect to the public or to its policyholders. The cash drawn from the LOC is used to cover liabilities and other obligations of the captive licensee, including policy holder claims, operating expenses, taxes, fees, fines, and other expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Revenue varies based on interest earned.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	519	523	526	531	552	557	562
Revenues	4	3	5	21	5	5	5
Expenditures				0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	523	526	531	552	557	562	567
Encumbrances							
Unencumbered Cash Balance	523	526	531	552	557	562	567

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Hawaii Hurricane Relief Fund
 Legal Authority: Chapter 431P, HRS

Contact Name: Jerry Bump
 Phone: 808-586-0985
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-999-R

Intended Purpose: Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.

Source of Revenues: Investment income.

Current Program Activities/Allowable Expenses:

Program activities - investments. Allowable expenses - financial services and management expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variations:

Transfer of \$116,837 reflected in FY20 was a return of funds from Tsunami & Hurricane Preparedness Efforts Trust Fund (T-06-906-G)

Financial Data							
<i>AI</i>	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Fund Balance	186,936,494	182,159,415	177,856,546	183,840,206	191,355,432	191,172,057	190,988,682
Revenues	(1,311,874)	(526,195)	10,247,597	11,871,844	4,500,000	4,000,000	4,000,000
Expenditures	183,202	181,071	178,248	183,375	183,375	183,375	183,375
Transfers to State General Fund			0	0			
Transfers from State General Fund	-	0	0	116,837	0	0	0
Accrd Interest paid	(3,282,003)	(3,595,603)	(4,085,689)	(4,290,080)	(4,500,000)	(4,000,000)	(4,000,000)
Net Total Transfers	(3,282,003)	(3,595,603)	(4,085,689)	(4,173,243)	(4,500,000)	(4,000,000)	(4,000,000)
Ending Fund Balance	182,159,415	177,856,546	183,840,206	191,355,432	191,172,057	190,988,682	190,805,307
Encumbrances							
Unencumbered Cash Balance	182,440,064	177,856,546	183,840,206	191,355,432	191,172,057	190,988,682	190,805,307

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

AI = Prepared on the accrual basis of accounting as required by the Governmental Accounting Standards Board for Enterprise Funds.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-107
 Name of Fund: Compliance Resolution Fund- HPEAP
 Legal Authority: HRS §305J-19

Contact Name: Bobbi Lum-Mew
 Phone: 586-7327
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-xx-307-R

Intended Purpose:

Per HRS §305J-19 the moneys in the special subaccount shall be used to fund the operations of the department to carry out its duties under this chapter.

Source of Revenues:

Authorization, re-authorization, and complaints administration fees.

Current Program Activities/Allowable Expenses:

Review and authorize qualified post-secondary educational institutions and receive and process complaints against authorized institutions.

Purpose of Proposed Ceiling Increase (if applicable):

None

Variations:

Revenue varies due to renewal cycles. Expenditure variances in FY 21-23 are due to projection to ceiling.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	282,233	282,233	288,611	297,904	297,904	306,104	306,104
Beginning Cash Balance	367,317	228,267	291,195	257,593	295,012	212,255	206,731
Revenues 1/	98,755	283,974	164,433	268,237	168,000	253,000	168,000
Expenditures	201,077	182,625	198,035	188,904	210,000	210,000	210,000
Transfers							
List each by JV# and date							
JS2023 10/31/2019				(10,478)			
JS2115 11/13/2019				(10,478)			
JS3519 2/7/2020				(10,478)			
JS4829 5/7/2020				(10,480)			
Net Total Transfers	(36,728)	(38,421)	0	(41,914)	(40,757)	(48,524)	0
Ending Cash Balance	228,267	291,195	257,593	295,012	212,255	206,731	164,731
Encumbrances	0	0		2,070	0	0	0
Unencumbered Cash Balance	228,267	291,195	257,593	292,942	212,255	206,731	164,731

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191, CCA-110 FY18 and forward
 Name of Fund: MFDR Special Fund
 Legal Authority: Section 667-86, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-316-R

Intended Purpose:

To give a mortgagor the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.

Source of Revenues:

Fees collected from the mortgagee and the mortgagor.

Current Program Activities/Allowable Expenses:

For all expenses of the mortgage foreclosure dispute resolution program to carry out the purposes of Act 48, SLH 2011 and to reimburse the Compliance Resolution Fund for the seed capital.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations:

Revenue varies based on filings received.

FY 17-20 expenditure variances based on revenues collected. FY 21-23 variances based on projection to ceiling.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	306,461	242,282	242,282	152,797	152,797	152,797	152,797
Beginning Cash Balance	153,230	59,868	102,272	112,565	119,935	120,080	112,458
Revenues 1/	60,288	45,367	11,548	8,459	36,000	36,000	36,000
Expenditures	3,650	2,963	1,255	1,089	15,000	15,000	25,000
Transfers							
List each by JV# and date							
Net Total Transfers	(150,000)	0	0	0	(20,855)	(28,622)	(21,926)
Ending Cash Balance	59,868	102,272	112,565	119,935	120,080	112,458	101,532
Encumbrances	137	46	50				
Unencumbered Cash Balance	59,731	102,226	112,515	119,935	120,080	112,458	101,532

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue based on B&F Rev Est August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: CRF-Office Of Consumer Protection
 Legal Authority: Section 487-2, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-323-R

Intended Purpose:

To protect Hawaii consumers utilizing investigative resources, civil enforcement actions and consumer education.

Source of Revenues:

Penalties or fines assessed as a result of actions brought by OCP, and penalties, fines, or reimbursement of costs or attorneys' fees assessed as a result of actions brought for violations of chapters 480 and 487 HRS.

Current Program Activities/Allowable Expenses:

OCP receives and investigates consumer complaints, provides consumer education to the public through oral presentations and the dissemination of consumer literature, and enforces consumer protection laws through legal process coordinated by its attorneys.

Purpose of Proposed Ceiling Increase (if applicable):

1. Community outreach-Increase ceiling to allow for the OCP division to hold more community outreach events.
2. Transfer in from CCA 102- This request consists of a trade-off (transfer in) of one position count and special fund ceiling to CCA-110.
3. Transfer in from CCA 106- This request consists of a trade-off (transfer in) of one position count and special fund ceiling to CCA-110.

Variances:

Revenue varies based on litigation actions.

Expenditure variance in FY 19 based on staffing. FY 21-23 variances based on projection to ceiling.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,109,294	2,301,177	2,363,212	2,492,686	2,542,686	2,884,840	2,884,840
Beginning Cash Balance	6,264,470	7,398,673	9,276,077	11,160,174	10,013,192	8,880,985	7,749,845
Revenues 1/	3,262,847	4,046,701	4,431,926	1,517,215	2,211,000	2,211,000	2,211,000
Expenditures	1,857,842	1,862,959	2,219,150	2,309,482	2,542,686	2,884,840	2,884,840
Transfers							
List each by JV# and date							
JS2023 10/31/2019				(87,678)			
JS2115 11/13/2019				(91,678)			
JS3519 2/7/2020				(87,678)			
JS4829 5/7/2020				(87,681)			
Net Total Transfers	(270,802)	(306,338)	(328,679)	(354,715)	(800,521)	(457,300)	(493,240)
Ending Cash Balance	7,398,673	9,276,077	11,160,174	10,013,192	8,880,985	7,749,845	6,582,765
Encumbrances	64,630	50,774	72,568	46,969			
Unencumbered Cash Balance	7,334,043	9,225,303	11,087,606	9,966,223	8,880,985	7,749,845	6,582,765

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: OCP Restitution Fund
 Legal Authority: Section 487:14(c), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-920-R

Intended Purpose:

To hold and disburse moneys received or recovered by the Office of Consumer Protection payable to consumers as restitution pursuant to settlement or appropriate court orders and judgments.

Source of Revenues:

Consumer restitution paid by respondents and disbursed by OCP pursuant to settlement or court order.

Current Program Activities/Allowable Expenses:

We are continuing to collect and distribute restitution payments from this account pursuant to appropriate court orders and judgments.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations:

Revenues: Restitution recoveries awarded by a court or through voluntary compliance agreements vary greatly from year to year and the circumstances of each OCP case.

Expenditures: Restitution payments distributed in any given year varies widely based upon the resolution of enforcement actions through settlement or court action as well as the manner in which the restitution payments to consumers is structured.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,681	100,681	100,681	100,681	100,681	100,681	100,681
Beginning Cash Balance	91,184	16,272	979	3,979	979	979	979
Revenues 1/	23,403	22,881	4,069	50,032	0	0	0
Expenditures	98,315	38,174	1,069	53,032	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	16,272	979	3,979	979	979	979	979
Encumbrances							
Unencumbered Cash Balance	16,272	979	3,979	979	979	979	979

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on B&F Rev Est Aug 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-111
 Name of Fund: Compliance Resolution Fund - Business Registration
 Legal Authority: Section 26-9, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-306-R

Intended Purpose:

To fund the operations of the Business Registration Division.

Source of Revenues:

HRS Chapters 414, 414D, 415A, 419, 420D, 421, 421C, 421H, 421I, 425, 425E, 425R, 428, 482, and 482P - Business registration fees for: corporations, general and limited partnerships, limited liability partnerships, limited liability limited partnerships, limited liability companies, cooperatives, trademarks, trade names, service marks, and publicity rights.

HRS Chapter 482E - Franchise filing fees and penalties for franchise law violations.

HRS Chapter 485A - Securities fees and penalties: Securities, broker-dealer, sales agents, investment adviser and investment adviser representative filing fees and penalty fees for securities law violations.

Current Program Activities/Allowable Expenses:

Register and maintain the registry for public access for corporations, general and limited partnerships, limited liability partnerships, limited liability limited partnerships, limited liability companies, cooperatives, trademarks, trade names, service marks and publicity rights. Provide point-of-service assistance to the public to help businesses apply for state business and employer registrations and taxpayer IDs. Substantive regulatory oversight over the securities industry and franchises in the State in the following areas: registration of broker-dealers, securities agents, investment advisers, investment adviser representatives, investment companies, and securities and franchise offering for sale in the State; field examinations of broker-dealers and investment advisers in the State; review of securities and franchise offerings for sale in the State; review of securities and franchise offerings for sale in the State; and enforcement of the Hawaii Uniform Securities Act and state franchise laws. Conduct investor education programs and outreach statewide.

Purpose of Proposed Ceiling Increase (if applicable):

Other Current Expenditures

An increase in ceiling of \$3,500,000 in Other Current Expenditures for FY22; and \$500,000 per year thereafter to cover recurring annual costs is being requested for the application replacement of the Business Registration Division's (BREG) Business Registration Information Management System (BRIMS) and Registration Documents Processing Management System (RDPMS), and the scanning of old active files so that they are incorporated into the new system.

Variations:

The variance for FY20-21 revenue can be primarily attributed to the implementation of a temporary 50% fee reduction in securities, franchise, trade name, trademark and service mark filing fees.

The variance for FY20-21 expenditures can be attributed to the comparison of an actual number to an estimated number that is projected to ceiling.

The variance for FY21-22 revenue can be primarily attributed to the comparison of a projected number that incorporates a temporary 50% fee reduction in securities, franchise, trade name, trademark and service mark filing fees to a number that is projected to ceiling, with fees at statutory amounts.

The variance for FY21-22 expenditures can be attributed to projections to ceiling and the inclusion of a (proposed) supplemental budget request for an application replacement project for the business registration branches.

The variance for FY22-23 expenditures can be attributed to a projection that includes a proposed supplemental budget request compared with a projection to ceiling (without a supplemental budget request).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,414,132	8,400,118	8,067,420	8,470,957	8,470,957	12,221,068	9,221,068
Beginning Cash Balance	11,583,995	15,041,283	18,886,669	22,964,782	25,941,393	23,043,168	18,175,236
Revenues 1/	10,397,463	11,377,835	12,227,444	11,661,968	6,732,400	9,290,400	9,290,400
Expenditures	5,969,240	6,414,205	7,029,209	7,493,513	8,470,957	12,221,068	9,221,068
Transfers							
List each by JV# and date							
JS2023 10/31/2019				(297,961)			
JS2115 11/13/2019				(297,961)			
JS3519 2/7/2020				(297,961)			
JS4829 5/7/2020				(297,961)			
Net Total Transfers	(970,935)	(1,118,244)	(1,120,122)	(1,191,844)	(1,159,668)	(1,937,264)	(1,576,585)
Ending Cash Balance	15,041,283	18,886,669	22,964,782	25,941,393	23,043,168	18,175,236	16,667,983
Encumbrances	78,292	452,921	226,873	75,972			
Unencumbered Cash Balance	14,962,991	18,433,748	22,737,909	25,865,421	23,043,168	18,175,236	16,667,983

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: Compliance Resolution Fund - RICO
 Legal Authority: Section 26-9(o), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-312-R

Intended Purpose:

Complaints receipt, arbitration, investigation, prosecution and hearings of matters in areas for which a license, registration or certificate is required from the Department or its boards, commissions or regulatory programs.

Source of Revenues:

Compliance Resolution Fund fee; penalties and fines collected; interest earned on fund balances.

Current Program Activities/Allowable Expenses:

Receipt, resolution and prosecutions of complaints, and consumer and licensee education, related to licenses, registrations or certificates required from the Department or its boards, commissions or programs.

Purpose of Proposed Ceiling Increase (if applicable):

1. Additional funds for a Program Specialist
2. Additional funds for a Legal Assistant

Variations:

Revenues vary due to license renewal cycles. Expenditure variances in FY 21-23 based on projection to ceiling.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,442,820	7,167,144	7,167,144	7,800,160	7,500,160	7,805,629	7,805,629
Beginning Cash Balance	7,542,530	9,767,016	10,668,791	11,929,379	12,570,977	11,883,842	10,680,877
Revenues 1/	9,249,334	8,296,443	8,736,384	8,229,993	7,840,000	7,840,000	7,840,000
Expenditures	6,114,946	6,440,561	6,475,644	6,490,931	7,500,160	7,805,629	7,805,629
Transfers							
List each by JV# and date							
JS2023 10/31/2019				(274,366)			
JS2115 11/13/2019				(274,366)			
JS3519 2/7/2020				(274,366)			
JS4829 5/7/2020				(274,366)			
Net Total Transfers	(909,902)	(954,107)	(1,000,152)	(1,097,464)	(1,026,975)	(1,237,336)	(1,334,579)
Ending Cash Balance	9,767,016	10,668,791	11,929,379	12,570,977	11,883,842	10,680,877	9,380,669
Encumbrances	555,492	100,927	53,510	442,006	0	0	0
Unencumbered Cash Balance	9,211,524	10,567,864	11,875,869	12,128,971	11,883,842	10,680,877	9,380,669

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: State Certified Motor Vehicle Arbitration Fund
 Legal Authority: Chapter 481I, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-915-R

Intended Purpose:

Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Source of Revenues:

Filing fees paid by vehicle manufacturers and consumers.

Current Program Activities/Allowable Expenses:

Implementation and administration of the State Certified Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances:

The Program continues to see increases in Demands for Arbitration statewide. Revenues & expenditures vary based on arbitration fees received and costs paid out including filing-fee refunds to prevailing consumers and costs related to administrator education, arbitrator recruitment & education, and necessary travel.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	123,897	124,127	123,208	124,099	123,618	125,618	127,618
Revenues 1/	4,525	2,925	3,550	3,400	2,000	2,000	2,000
Expenditures	4,295	3,844	2,659	3,881	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	124,127	123,208	124,099	123,618	125,618	127,618	129,618
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	124,127	123,208	124,099	123,618	125,618	127,618	129,618

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Compliance Resolution Fund
 Legal Authority: Section 26-9(o), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-310-R, S-XX-398-R, S-14-360

Intended Purpose:

To enhance program effectiveness and efficiency by providing direction and general support services.

Source of Revenues:

Administrative fee from M CCP claim fees pursuant to HRS §671-11(d), publication/copy fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

1. Increase ceiling for core switch and firewall server.
2. Transfer 1 position from CCA
3. Increase ceiling for building maintenance and emergency preparedness grant matching.
- 4 Increase ceiling for iPaaS software.
- 5 Increase ceiling for VX RAIL Software.
- 6 Increase ceiling for Security Access System.

Variations

Revenue varies based on assessments needed. FY 19 expenditure variance due to contract encumbrance. FY 22-23 variances due to projection to ceiling.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,761,845	8,110,870	8,195,600	8,525,388	8,450,388	9,961,193	10,137,193
Beginning Cash Balance	3,222,005	3,634,523	3,729,011	2,864,534	3,456,302	3,383,074	3,813,440
Revenues 1/	1,209,223	870,272	973,403	1,656,892	879,006	879,000	879,000
Expenditures	6,882,854	7,250,353	8,515,409	8,320,352	8,450,388	9,961,193	10,137,193
Transfers							
List each by JV# and date							
JS2023 10/31/2019				1,812,803			
JS2115 11/13/2019				1,816,803			
JS3519 2/7/2020				1,812,803			
JS4829 5/7/2020				1,812,819			
Net Total Transfers	6,086,149	6,474,569	6,677,529	7,255,228	7,498,154	9,512,559	9,747,227
Ending Cash Balance	3,634,523	3,729,011	2,864,534	3,456,302	3,383,074	3,813,440	4,302,474
Encumbrances	172,417	194,184	43,070	186,780			
Unencumbered Cash Balance	3,462,106	3,534,827	2,821,464	3,269,522	3,383,074	3,813,440	4,302,474

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue based on B&F Rev Est August 2020.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

DCCA Non-General Fund Information - Compliance Resolution Fund (S-310-R, S-398-R)
Page 2

Current Program Activities/Allowable Expenses:

Provide general policy and administrative leadership, supervision and coordination of the various programs of the department. Provide individual programs with financial resources, budgetary direction and control necessary for their operation. Assist individual programs with the supply, development and maintenance of the human resources necessary for staffing their operations. Provide Director with staff assistance in the direction and supervision of the operating division; and provide centralized clerical services and relief necessary to support and supplement the operating division. Plans, directs and coordinates the department's internal and external communications through the sharing and transfer of the information via various mediums. Directs the development, implementation and maintenance of computerized information systems capable of communicating and sharing data and information internally and externally. Administers a program for informed consumerism through the sharing and transfer of information departmentally and statewide.

Provide specialized staff support to all divisions and boards by conducting hearings, as required, to ensure impartial and informed treatment in resolving consumer complaints; and provide a means for prompt and fair disposition or settlement of medical tort claims or claims of professional negligence against an engineer, architect or surveyor licensed under Chapter 464, HRS.

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): Various
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Kay Okimoto
 Phone: 586-2831
 Fund type (MOF) Temporary Deposits
 Appropriation Acct. No. T-XX-902-R

Intended Purpose:

The fund was established by DCCA to account for temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.

Source of Revenues:

Various revenue sources (e.g., Moneys for the Medical Claims Conciliation Panel, Design Claims Conciliation Panel, & Hawaii Joint Underwriting Plan are deposited into this account, and when an invoice is received it is paid out from this account).

Current Program Activities/Allowable Expenses:

See above information.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances:

Revenue and expenditure variances are based on divisions' timing and execution of contracts and projects.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,149,621	1,896,566	1,429,482	1,948,671	2,138,695	2,138,695	2,138,695
Revenues	2,117,028	1,947,327	1,859,744	1,702,797			
Expenditures	1,370,083	2,414,411	1,340,555	1,512,773			
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,896,566	1,429,482	1,948,671	2,138,695	2,138,695	2,138,695	2,138,695
Encumbrances	22,100	44,109	0	69,380			
Unencumbered Cash Balance	1,874,466	1,385,373	1,948,671	2,069,315	2,138,695	2,138,695	2,138,695

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-901
 Name of Fund: Public Benefits Fee ("PBF")

Contact Name: Jan Mulvey
 Phone: 586-2030

Legal Authority Section 269-121, HRS

Fund type (MOF) Funds held outside of state treasury
 Appropriation Acct. No. N/A

Intended Purpose:

The public benefits fee shall be used to support clean energy technology, demand response technology, and energy use reduction, and demand-side management infrastructure, programs, and services, subject to the review and approval of the public utilities commission. (HRS 269-121)

Source of Revenues:

Moneys collected by Hawaiian Electric Companies (HECO/MECO/HELCO) from its ratepayers through a demand-side management surcharge.

Current Program Activities/Allowable Expenses:

The public benefits fee supports the programming efforts of the PBF administrator Hawaii Energy, and the work of the Energy Efficiency Contract Manager, the Evaluation, Measurement, and Verification Contractor, the PBF Fiscal Agent, the PBF Fiscal Auditor. The programs and services offered by Hawaii Energy are the subject of Docket No. 2007-0323.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variations:

Variations in revenues each year are largely due to differences in electric utility sales from amounts forecasted by Hawaiian Electric Company, due to changes in customer electricity consumption patterns and the cost of electricity in Hawaii, as the PBF is collected as on a per-kilowatt-hour basis from electric utility customers. The variations in expenditures from FY17 to FY19 reflect a decrease in the Hawaii Energy budget during that period compared to historical budgets. The Hawaii Energy budget decrease was driven by (1) a re-bid of the PBF administrator contract, which reduced cost compared to prior contracts, and (2) the desire to reduce the overall PBF surcharge given the newly established Green Infrastructure Fee to support the Hawaii Green Infrastructure Authority (GEMS Program). Going forward into FY20-FY22, the Hawaii Energy budget has increased to enhance the programs and services offered to customers, including increased activity to support accessibility and affordability programs, and expansion of programs supporting grid services. Variations in anticipated revenues for FY20-FY21 are due to the PUC's Oct. 25, 2019 order to increase the PBF surcharge from 1.5% of utility sales to 2.0% (net of revenues collected by the Green Infrastructure Fee), beginning January 1, 2020. Budgets for FY21 to FY22 have been adjusted to align with Hawaiian Electric Company's revised revenue forecasting. FY23 is estimated holding revenues and expenses constant (no contract is in place as of yet for FY23).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	32,336,215	25,220,672	16,677,293	12,225,108	10,353,292	8,153,292	6,053,292
Revenues	27,177,493	21,939,512	22,407,327	28,946,151	35,300,000	33,100,000	33,100,000
Expenditures	34,293,036	30,482,891	26,859,512	30,817,967	37,500,000	35,200,000	35,200,000
Net Total Transfers							
Ending Cash Balance	25,220,672	16,677,293	12,225,108	10,353,292	8,153,292	6,053,292	3,953,292
Encumbrances							
Unencumbered Cash Balance	25,220,672	16,677,293	12,225,108	10,353,292	8,153,292	6,053,292	3,953,292
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-901
 Name of Fund: Telecommunications Relay Services ("TRS") Fund

Contact Name: Jan Mulvey
 Phone: 586-2030

Legal Authority Section 269-16.6, HRS

Fund type (MOF) Funds held outside of state treasury
 Appropriation Acct. No. N/A

Intended Purpose:

The Public Utilities Commission shall implement intrastate telecommunications relay services for the deaf, persons with hearing disabilities, and persons with speech disabilities.

Source of Revenues:

Contributions to the fund are made by all telecommunications carriers operating or providing telecommunications service within the State. (PUC Docket 2003-0058, Order No. 20193)

Current Program Activities/Allowable Expenses:

The fund covers the costs of administering and providing telecommunications relay service.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Revenue varies by consumer tastes and preferences.
 Expenditures vary based on service usage.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	244,653	135,546	461,543	557,686	619,273	609,273	599,273
Revenues	618,376	1,028,450	951,680	865,402	820,000	820,000	820,000
Expenditures	727,483	702,453	855,537	803,815	830,000	830,000	830,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	135,546	461,543	557,686	619,273	609,273	599,273	589,273
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	135,546	461,543	557,686	619,273	609,273	599,273	589,273

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-901
 Name of Fund: Public Utilities Commission Special Fund
 Legal Authority: Section 269-33, HRS

Contact Name: Jan Mulvey
 Phone: 586-2030
 Fund type (MOF): B
 Appropriation Acct. No.: S-340-R

Intended Purpose:

The fund shall be used by the Public Utilities Commission ("PUC") and the Division of Consumer Advocacy ("DCA") of the Department Of Commerce and Consumer Affairs ("DCCA") for all expenses incurred in the administration of chapters, 269, 271, 271G, 269E, and 486J.

Source of Revenues:

The Special Fund's sources of income include public utility, motor carrier, application and intervention filing, Hawaii One Call, and duplicating fees; and penalties and interest.

Current Program Activities/Allowable Expenses:

Provide oversight of all regulated entities to ensure that they operate at an acceptable level of performance so as to serve the public fairly, efficiently, safely, and reliably, while addressing the goals and future needs of the State, and affording the regulated entities the opportunity to earn a reasonable rate of return on their investment. All monies in excess of \$1M remaining on balance in the Special Fund on June 30 of each year shall lapse to the credit of the State General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

None

Variations:

None

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,045,272	15,753,197	15,249,248	17,687,157	18,658,757	16,918,158	16,918,158
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	19,176,119	16,905,331	17,563,946	18,300,807	18,500,000	18,500,000	18,500,000
Expenditures	12,285,892	12,705,374	13,010,896	14,024,018	18,500,000	16,900,000	16,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0024 S20340R 7/3/19				1,000,000	1,000,000	1,000,000	1,000,000
Net Total Transfers	188,383	919,100	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Ending Cash Balance	7,078,609	5,119,057	5,553,050	5,276,789	1,000,000	2,600,000	2,600,000
Encumbrances	1,358,965	963,392	1,511,691	2,864,540	0	0	0
Unencumbered Cash Balance	5,719,645	4,155,665	4,041,359	2,412,249	1,000,000	2,600,000	2,600,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-901
 Name of Fund: Electric Vehicle Charging Sys Rebate Program
 Legal Authority: Act 142 SLH 2019

Contact Name: Jan Mulvey
 Phone: 586-2030
 Fund type (MOF) B
 Appropriation Acct. No. S-351-R

Intended Purpose:

To create a program to be administered by the public utilities commission that offers rebates for the installation of new electric vehicle charging systems or the upgrade of existing electric vehicle charging systems.

Source of Revenues:

Transfers from the Energy Security Special Fund (DBEDT) - \$150,000 for FY 20 & \$250,000 for FY 21

Current Program Activities/Allowable Expenses:

To provide rebates to applicants with valid rebate claims beginning January 1, 2020 for eligible installation and upgrades to EV charging stations.

Purpose of Proposed Ceiling Increase (if applicable):

n/a

Variances:

Variances are based on projected program activity.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	150,000	250,000	0	0
Beginning Cash Balance	0	0	0	0	150,000	0	0
Revenues	0	0	0	0	250,000	0	0
Expenditures	0	0	0	0	400,000	0	0
Transfers							
List each by JV# and date							
JS1991 10/31/19				150,000	0	0	
Net Total Transfers	0	0	0	150,000	0	0	0
Ending Cash Balance	0	0	0	150,000	0	0	0
Encumbrances	0	0	0	150,000	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-16-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of p Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues and expenditures in FY 17 are much higher than FY 16's because the grant was awarded in September 2016. Majority of revenue and expenditures were collected and paid to vendors in FY 17. Revenues and expenditures vary year by year depending on grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,876,107.93	3,084,817.34	2,660,348.41	1,595,340.41	0.00	0.00	0.00
Beginning Cash Balance	72,015.10	101,595.05	1,786.49	0.00	(0.49)	(0.49)	(0.49)
Revenues	2,820,870.54	324,660.37	1,063,222.00	1,786.00	0.00	0.00	0.00
Expenditures	2,791,290.59	424,468.93	1,065,008.00	1,786.49	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	101,595.05	1,786.49	0.49	(0.49)	(0.49)	(0.49)	(0.49)
Encumbrances	389,930.96	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	(288,335.91)	1,786.49	0.49	(0.49)	(0.49)	(0.49)	(0.49)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-17-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of p Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues and expenditures vary year by year depending on grant. Majority of the vendors and contracts were paid in FY 17-19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,669,612.00	3,390,787.50	401,787.55	191,515.92	0.00	0.00	0.00
Beginning Cash Balance	0.00	430,858.96	71,381.31	100,132.70	100,132.70	100,132.70	100,132.70
Revenues	709,683.46	2,629,522.30	210,076.24	0.00	0.00	0.00	0.00
Expenditures	278,824.50	2,988,999.95	210,271.63	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1285 10/02/2018 S-17-204			28,946.78				
Net Total Transfers	0.00	0.00	28,946.78	0.00	0.00	0.00	0.00
Ending Cash Balance	430,858.96	71,381.31	100,132.70	100,132.70	100,132.70	100,132.70	100,132.70
Encumbrances	668,512.98	297,320.20	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	(237,654.02)	(225,938.89)	100,132.70	100,132.70	100,132.70	100,132.70	100,132.70

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-18-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Majority of revenue and expenditures were collected and paid to vendors in FY 18-20. Revenues and expenditures vary year by year depending on grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	4,500,000.00	3,872,134.42	1,152,393.62	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	100,206.53	0.00	(68,938.50)	(68,938.50)	(68,938.50)
Revenues	0.00	728,072.11	2,688,472.77	126,123.12	0.00	0.00	0.00
Expenditures	0.00	627,865.58	2,719,740.80	195,061.62	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	100,206.53	68,938.50	(68,938.50)	(68,938.50)	(68,938.50)	(68,938.50)
Encumbrances	0.00	778,683.20	195,061.62	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	(678,476.67)	(126,123.12)	(68,938.50)	(68,938.50)	(68,938.50)	(68,938.50)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-19-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of p Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Majority of revenue and expenditures were collected and paid to vendors in FY 18-20. Revenues and expenditures vary year by year depending on grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00		3,534,992.00	2,870,078.14	452,832.16	0.00	141,749.31
Beginning Cash Balance	0.00	0.00	0.00	0.00	(141,749.31)	(141,749.31)	0.00
Revenues	0.00	0.00	806,663.17	2,275,496.67	452,832.16	0.00	0.00
Expenditures	0.00	0.00	664,913.86	2,417,245.98	452,832.16	(141,749.31)	141,749.31
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	141,749.31	(141,749.31)	(141,749.31)	0.00	(141,749.31)
Encumbrances	0.00	0.00	819,716.43	207,467.59	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	(677,967.12)	(349,216.90)	(141,749.31)	0.00	(141,749.31)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-19-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Majority of revenue and expenditures are anticipated to be collected and paid to vendors in FY 20-22. Revenues and expenditures vary year by year depending on grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	3,526,926.00	3,219,300.30	2,271,778.11	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	307,625.70	947,522.19	2,271,778.11	0.00
Expenditures	0.00	0.00	0.00	307,625.70	947,522.19	2,271,778.11	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-21-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Grant funds may vary by fiscal year depending on awards.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	3,571,396.00	3,571,396.00	2,071,396.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	1,500,000.00	1,000,000.00
Expenditures	0.00	0.00	0.00	0.00	0.00	1,500,000.00	1,000,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-17-208-G
 (Port Security Grant)
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Majority of revenues and expenditures were collected and paid to vendors in FY 18-20. There are variances in revenues and expenditures between FY 16 and FY 20 is due to the full execution of the Federal Grant. FY 20 revenue and expenditures are due to the execution of the FY 18 encumbrance.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	255,606.00	179,735.00	88,844.24	0.00	(80,536.50)	(80,536.50)
Beginning Cash Balance	0.00	0.00	0.00	0.00	(80,536.50)	(80,536.50)	(80,536.50)
Revenues	0.00	75,871.00	171,427.26	4,500.92	0.00	0.00	0.00
Expenditures	0.00	75,871.00	90,890.76	85,037.42	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	80,536.50	(80,536.50)	(80,536.50)	(80,536.50)	(80,536.50)
Encumbrances	0.00	179,735.00	88,844.24	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	(179,735.00)	(8,307.74)	(80,536.50)	(80,536.50)	(80,536.50)	(80,536.50)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-18-208-G
(Port Security Grant)
CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Majority of revenues and expenditures were collected and paid to vendors in FY 19-21. The grant closed in FY 17 and left a small amount of cash to pay for the outstanding expenditures and encumbrance. There are variances in revenues and expenditures between FY 19 and FY 21 is due to the full execution of the Federal Grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	500,000.00	500,000.00	318,042.50	227,063.75	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	181,957.50	90,978.75	227,063.75	0.00	0.00
Expenditures	0.00	0.00	181,957.50	90,978.75	227,063.75	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	778,683.20	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	(778,683.20)	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-19-208-G
(Port Security Grant)
CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Revenues and expenditures vary year by year depending on grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	575,313.00	575,313.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	7,539.00	567,774.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	567,774.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	7,539.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	119,887.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	(112,348.00)	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-20-208-G
(Port Security Grant)
CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Majority of revenues and expenditures were collected and paid to vendors in FY 20 and FY 21.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	1,000,000.00	993,885.91	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	6,114.09	993,885.91	0.00	0.00
Expenditures	0.00	0.00	0.00	6,114.09	993,885.91	0.00	0.00
				0.00			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00			0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-21-208-G
 (Port Security Grant)
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Majority of revenues and expenditures were collected and paid to vendors in FY 21 and FY 22.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	1,268,129.00	768,129.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	500,000.00	768,129.00	0.00
Expenditures	0.00	0.00	0.00	0.00	500,000.00	768,129.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-16-209-G
(Homeland Security Grant)
CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities. will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variiances: Revenues & expenditures in FY 17, FY 18, and FY 19 are much higher than FY 16's because the grant was awarded in September 2016. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. The variance in revenues and expenditures between FY 16 to FY 20 due to the full execution of the Federal Grant.

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,576,674.39	2,614,044.97	582,535.49	62,378.79	0.00	0.00	0.00
Beginning Cash Balance	36,993.73	33,676.97	63,796.15	62,378.79	6,932.54	(0.00)	(0.00)
Revenues	957,708.36	2,006,182.41	574,116.16	0.00	0.00	0.00	0.00
Expenditures	962,629.42	2,031,509.48	582,466.06	55,446.25	6,932.54	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1173 9/20/16	1,604.30						
JS2418 12/07/17		55,446.25					
JS2909 1/11/19			6,932.54				
* all for S-16-209							
Net Total Transfers	1,604.30	55,446.25	6,932.54	0.00	0.00	0.00	0.00
Ending Cash Balance	33,676.97	63,796.15	62,378.79	6,932.54	(0.00)	(0.00)	(0.00)
Encumbrances	354,611.97	31,941.68	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	(320,935.00)	31,854.47	62,378.79	6,932.54	(0.00)	(0.00)	(0.00)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-17-209-G
(Homeland Security Grant)
CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY 18, and FY 19 are much higher than FY 17's because the grant was awarded in FY 2017. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. The variance in revenues and expenditures between FY 17 to FY 21 due to the full execution of the Federal Grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,734,500.00	3,725,339.65	2,808,939.02	14,384,653.94	795,793.65	11,719.97	0.00
Beginning Cash Balance	0.00	1,583.04	355,685.53	322,636.47	320,185.45	11,719.97	11,719.97
Revenues	9,160.35	963,620.68	1,325,585.34	1,436,014.92	0.00	0.00	0.00
Expenditures	9,160.35	916,400.63	1,370,354.37	1,438,465.94	308,465.48	0.00	0.00
Transfers							
JS 5098 05/15/2017	1,583.04						
JS 4477 04/17/2018		302,648.64					
JS 5257 06/04/2018		4,233.80					
JS 1489 10/08/2018			9,317.02				
JS 2395 12/04/2018			881.28				
JS 3108 01/22/2019			1,521.67				
*all for S-17-209							
Net Total Transfers	1,583.04	306,882.44	11,719.97	0.00	0.00	0.00	0.00
Ending Cash Balance	1,583.04	355,685.53	322,636.47	320,185.45	11,719.97	11,719.97	11,719.97
Encumbrances	108,746.82	51,072.99	62,771.65	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	110,329.86	406,758.52	385,408.12	320,185.45	11,719.97	11,719.97	11,719.97

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-18-209-G
(Homeland Security Grant)
CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY 19, and FY 20 are much higher than FY 18's because the grant was awarded in FY 2017. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. The variance in revenues and expenditures between FY 18 to FY 21 due to the full execution of the Federal Grant. Revenues and expenditures vary year by year depending on grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	4,000,000.00	3,957,623.10	2,691,801.31	1,027,374.41	0.00	0.00
Beginning Cash Balance	0.00	0.00	13,131.12	40,478.26	31,715.13	31,715.13	31,715.13
Revenues	0.00	55,508.02	1,293,168.93	1,655,664.28	1,027,374.41	0.00	0.00
Expenditures	0.00	42,376.90	1,265,821.79	1,664,427.41	1,027,374.41	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	13,131.12	40,478.26	31,715.13	31,715.13	31,715.13	31,715.13
Ending Cash Balance	0.00	13,131.12	40,478.26	31,715.13	31,715.13	31,715.13	31,715.13
Encumbrances	0.00	0.00	584,550.41	26,571.80	1,266.80	0.00	0.00
Unencumbered Cash Balance	0.00	13,131.12	(544,072.15)	5,143.33	30,448.33	31,715.13	31,715.13

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-19-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY 19, and FY 20 are much higher than FY 18's because the grant was awarded in FY 2017. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. The variance in revenues and expenditures between FY 18 to FY 21 due to the full execution of the Federal Grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	5,480,000.00	5,309,456.49	3,633,309.64	5,500,000.00	5,500,000.00
Beginning Cash Balance	0.00	0.00	0.00	83,247.41	12,979.47	0.00	0.00
Revenues	0.00	0.00	253,790.51	1,605,878.91	348,322.62	0.00	0.00
Expenditures	0.00	0.00	170,543.10	1,676,146.85	338,079.81	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	83,247.41	12,979.47	23,222.28	0.00	0.00
Encumbrances	0.00	0.00	161,626.49	167,365.67	299,583.62	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	(78,379.08)	(154,386.20)	(276,361.34)	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-19-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Variance in revenues and expenditures is contingent upon the grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	7,327,500.00	7,245,706.49	2,245,706.49	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	10,744.10	0.00	0.00
Revenues	0.00	0.00	0.00	92,537.61	5,000,000.00	2,245,706.49	0.00
Expenditures	0.00	0.00	0.00	81,793.51	5,000,000.00	2,245,706.49	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	10,744.10	10,744.10	0.00	0.00
Encumbrances	0.00	0.00	0.00	431,250.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	(420,505.90)	10,744.10	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-21-209-G
(Homeland Security Grant)
CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities. will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Future variation is contingent upon the grant. Outyear numbers are projections.

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	7,787,500.00	4,287,500.00	1,787,500.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	3,500,000.00	2,500,000.00	1,787,500.00
Expenditures	0.00	0.00	0.00	0.00	3,500,000.00	2,500,000.00	1,787,500.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-18-210-G (Starbase)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the To account for those activities supported by Master Youth Cooperative Agreement to nurture "at

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY 18 was in execution of the grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 19 Cash was forward to S-19-210 to be expended.

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	400,000.00	400,000.00	109,483.65	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	151,101.78	0.00	0.00	0.00	0.00
Revenues	0.00	277,035.72	29,242.53	0.00	0.00	0.00	0.00
Expenditures	0.00	290,516.35	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS3522 02/21/2018		24,082.09					
00JS0250 07/27/2017		140,500.32					
00JS0094 07/16/2018			(140,000.00)				
00JS3850 03/07/2019			(40,344.31)				
*all for S-18-210							
Net Total Transfers	0.00	164,582.41	(180,344.31)	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	151,101.78	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	151,101.78	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-19-210-G (Starbase)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the To account for those activities supported by Master Youth Cooperative Agreement to nurture "at

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY 19 was in execution of the grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 19 Cash was forward From S-18-210 to be expended. FY 20 Cash was forward to S-20-210 to be expended.

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	400,000.00	282,963.46	282,963.46	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	210,219.57	0.00	0.00	0.00
Revenues	0.00	0.00	287,366.72	23,583.50	0.00	0.00	0.00
Expenditures	0.00	0.00	282,963.46	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0094 07/16/2018 S-19-210			165,472.00				
00JS3850 03/07/2019 S-19-210			40,344.31				
00JS0239 07/23/2019 S-19-210				(200,000.00)			
00JS1859 10/24/2019 S-19-210				(33,803.07)			
Net Total Transfers	0.00	0.00	205,816.31	(233,803.07)	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	210,219.57	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	210,219.57	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-20-210-G (Starbase)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applica N/A

Variances: Revenues & expenditures in FY 20 was in execution of the grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	400,000.00	170,617.69	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	208,025.47	0.00	0.00
Revenues	0.00	0.00	0.00	203,604.71	41,546.71	0.00	0.00
Expenditures	0.00	0.00	0.00	229,382.31	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0239 07/23/2019*				200,000.00			
00JS1859 10/24/2019*				33,803.07			
JS0302 07/30/2020*					(249,572.18)		
* for S-20-010							
Net Total Transfers	0.00	0.00	0.00	233,803.07	(249,572.18)	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	208,025.47	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash B	0.00	0.00	0.00	208,025.47	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Defense</u>	Contact Name:	<u>Sherman M. Takao</u>
Prog ID(s):	<u>DEF 110</u>	Phone:	<u>369-3557</u>
Name of Fund:	<u>AMELIORATION OF PHYSICAL DISASTERS</u>	Fund type (MOF)	<u>P</u>
Legal Authority:	<u>Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701</u>	Appropriation Acct. No.	<u>S-21-210-G (Starbase)</u>
			<u>CFDA No. 12.404</u>

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowabl To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increa N/A

Variances: Revenues & expenditures in FY 20 was in execution of the grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	400,000.00	337,716.23	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	21,996.34	21,996.34
Revenues	0.00	0.00	0.00	0.00	43,304.51	150,000.00	21,996.34
Expenditures	0.00	0.00	0.00	0.00	62,283.77	150,000.00	21,996.34
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 0302 7/30/2020 S-21-210					249,572.18		
JS 1244 9/24/2020 S-21-210					(73,079.60)		
Net Total Transfers	0.00	0.00	0.00	0.00	176,492.58	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	21,996.34	21,996.34	21,996.34
Encumbrances	0.00	0.00	0.00	0.00	21,996.34	0.00	0.00
Unencumbered Cash Ba	0.00	0.00	0.00	0.00	0.00	21,996.34	21,996.34

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-18-214-G
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 18 -21 is due to the full execution of the Fed Grant. It takes time to plan and execute agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	543,987.00	362,187.55	106,111.00	2,809.98	0.00	0.00
Beginning Cash Balance	0.00	0.00	4,798.96	2,923.76	(0.00)	(0.00)	(0.00)
Revenues	0.00	183,898.86	254,201.35	92,681.59	0.00	0.00	0.00
Expenditures	0.00	181,799.45	256,076.55	95,605.35	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2830 01/08/2018		2,699.55					
S-18-214							
Net Total Transfers	0.00	2,699.55	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	4,798.96	2,923.76	(0.00)	(0.00)	(0.00)	(0.00)
Encumbrances	0.00	220,505.17	98,442.15	2,809.98	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	(215,706.21)	(95,518.39)	(2,809.98)	(0.00)	(0.00)	(0.00)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-19-214-G
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance;

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 19 and FY 21 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	552,960.00	461,322.27	332,306.69	203,772.05	0.00
Beginning Cash Balance	0.00	0.00	0.00	4,376.33	9,241.24	1,937.50	0.00
Revenues	0.00	0.00	94,711.14	133,880.49	159,801.90	0.00	0.00
Expenditures	0.00	0.00	91,637.73	129,015.58	167,105.64	1,937.50	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2830 S-19-214		0.00	1,302.92				
Net Total Transfers	0.00	0.00	1,302.92	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	4,376.33	9,241.24	1,937.50	0.00	0.00
Encumbrances	0.00	0.00	380,704.24	274,278.29	7,438.65	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	(376,327.91)	(265,037.05)	(5,501.15)	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-20-214-G
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance;

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 20, FY 21 and FY 22 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	575,870.00	515,673.76	207,995.21	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	7,678.55	0.00	0.00
Revenues	0.00	0.00	0.00	67,874.79	300,000.00	215,673.76	0.00
Expenditures	0.00	0.00	0.00	60,196.24	307,678.55	215,673.76	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0.00					
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	7,678.55	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	384,421.42	200,174.55	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	(376,742.87)	(200,174.55)	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-21-214-G
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance;

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Revenues and expenditures vary every fiscal year and are contingent on the type of grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00		0.00	0.00	500,000.00	250,000.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	250,000.00	250,000.00	0.00
Expenditures	0.00	0.00	0.00	0.00	250,000.00	250,000.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-21-216-G
Targeted Violence Terrorism Preparedness

Intended Purpose: FY 16 Countering Violent Extremism (CVE) Grant Program. DHS awarded to 26 state and local government agencies to prevent terrorism in the State of Hawaii.

Source of Revenues: CFDA Program Title - Financial Assistance for Countering Violent Extremism

Current Program Activities/Allowable Expenses: Build and Foster Community Resilience to Violent Extremism Recruitment and radicalization. Expand Resilience and prevention planning Create a less hospitable environment for violent extremism recruitment and radicalization.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Operations in the account are anticipated in FY 21-FY 23.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	500,000.00	250,000.00	100,000.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	250,000.00	150,000.00	100,000.00
Expenditures	0.00	0.00	0.00	0.00	250,000.00	150,000.00	100,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0.00					
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-217-G
(Hawaii Army National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii. clerical support, and installation of telecommunication activities.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The revenues and expenditures approved by MCA in FY 16 are more than double the revenues and expenditures in FY 17. In general, the Federal government released the advanced payments through MCA programs within the first 2 fiscal years and the majority of the advanced payments are released in the 1st fiscal year. There are variances in revenues and expenditures between FY 16 and FY 20 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,966,431.83	9,447,920.23	8,743,381.75	8,562,910.39	0.00	0.00	0.00
Beginning Cash Balance	3,357,258.76	2,216,065.62	862,027.14	381,555.78	363,485.26	2,822.26	0.00
Revenues	5,208,680.46	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	6,518,511.60	704,538.48	180,471.36	18,070.52	0.00	2,822.26	0.00
Transfers							
00JS0917 09/06/2016 S-16-217	168,638.00						
00JS0250 07/27/2017 S-16-217		(649,500.00)					
00JS0094 07/16/2018 S-16-217			(300,000.00)				
00JS0302 07/30/2020 S-16-217					(360,663.00)		
Net Total Transfers	168,638.00	(649,500.00)	(300,000.00)	0.00	(360,663.00)	0.00	0.00
Ending Cash Balance	2,216,065.62	862,027.14	381,555.78	363,485.26	2,822.26	0.00	0.00
Encumbrances	81,506.00	522,182.37	341,531.01	2,821.38	2,821.38	0.00	0.00
Unencumbered Cash Balance	2,134,559.62	339,844.77	40,024.77	360,663.88	0.88	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-17-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.
 clerical support, and installation of telecommunication activities.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures are in FY 16.
 There are variances in revenues and expenditures between FY 17 and FY 21 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000,000.00	17,948,627.85	10,727,315.18	10,304,378.08	9,820,827.83	0.00	0.00
Beginning Cash Balance	0.00	10,052,429.86	1,304,997.67	582,060.57	98,510.32	1,830,537.65	1,830,537.65
Revenues	20,229,029.36	473,880.48	0.00	0.00	1,776,262.33	0.00	0.00
Expenditures	12,051,372.15	7,221,312.67	422,937.10	483,550.25	0.00	0.00	0.00
Transfers							
JS0165 07/21/2016 S-17-217	1,874,772.65						
JS0250 07/27/2017 S-17-217		(2,000,000.00)					
JS0094 07/16/2018 S-17-217			(300,000.00)				
JS0302 07/30/2020 S-17-217					(44,235.00)		
Net Total Transfers	1,874,772.65	(2,000,000.00)	(300,000.00)	0.00	(44,235.00)	0.00	0.00
Ending Cash Balance	10,052,429.86	1,304,997.67	582,060.57	98,510.32	1,830,537.65	1,830,537.65	1,830,537.65
Encumbrances	9,554,945.90	1,123,260.09	616,257.66	93,797.60	0.00	0.00	0.00
Unencumbered Cash Balance	497,483.96	181,737.58	(34,197.09)	4,712.72	1,830,537.65	1,830,537.65	1,830,537.65

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-18-217-G
(Hawaii Army National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.
 clerical support, and installation of telecommunication activities.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 18 and FY 20 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	30,000,000.00	16,615,833.09	11,386,725.48	11,016,429.18	11,012,307.04	11,012,307.04
Beginning Cash Balance	0.00	0.00	10,529,171.58	414,368.09	135,825.43	131,703.29	131,703.29
Revenues	0.00	21,009,666.78	114,304.12	91,753.64	0.00	0.00	0.00
Expenditures	0.00	13,384,166.91	5,229,107.61	370,296.30	4,122.14	0.00	0.00
Transfers							
JS3666 02/28/2018 S-18-217		271.71					
JS0250 07/27/2017 S-18-217		2,903,400.00					
JS0094 07/16/2018 S-18-217			(2,500,000.00)				
JS3287 01/31/2019 S-18-217			(2,500,000.00)				
Net Total Transfers	0.00	2,903,671.71	(5,000,000.00)	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	10,529,171.58	414,368.09	135,825.43	131,703.29	131,703.29	131,703.29
Encumbrances	0.00	6,361,749.52	858,489.67	409,193.37	405,071.23	0.00	0.00
Unencumbered Cash Balance	0.00	4,167,422.06	(444,121.58)	(273,367.94)	(273,367.94)	131,703.29	131,703.29

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-19-217-G
(Hawaii Army National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.
 clerical support, and installation of telecommunication activities.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 19 and FY 20 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	30,000,000.00	16,598,487.00	12,671,889.82	12,557,463.00	12,557,463.00
Beginning Cash Balance	0.00	0.00	0.00	3,159,976.35	243,119.15	128,692.33	128,692.33
Revenues	0.00	0.00	10,961,489.35	4,009,739.98	0.00	0.00	0.00
Expenditures	0.00	0.00	13,401,513.00	3,926,597.18	114,426.82	0.00	0.00
Transfers							
JS0094 07/16/2018 S-20-217			3,100,000.00				
JS3287 01/31/2019 S-19-217			2,500,000.00				
JS0239 07/23/2019 S-19-217				(1,000,000.00)			
JS0514 08/08/2019 S-19-217				(1,000,000.00)			
JS4197 03/24/2020 S-19-217				(1,000,000.00)			
Net Total Transfers	0.00	0.00	5,600,000.00	(3,000,000.00)	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	3,159,976.35	243,119.15	128,692.33	128,692.33	128,692.33
Encumbrances			4,444,549.28	245,773.00	203,393.55	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	(1,284,572.93)	(2,653.85)	(74,701.22)	128,692.33	128,692.33

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-20-217-G
(Hawaii Army National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.
 clerical support, and installation of telecommunication activities.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures FY 20 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	30,000,000.00	16,598,487.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	686,850.81	(1,230,006.39)	(1,344,433.21)
Revenues	0.00	0.00	0.00	10,961,489.35	4,009,739.98	0.00	0.00
Expenditures	0.00	0.00	0.00	13,401,513.00	3,926,597.18	114,426.82	8,000,000.00
Transfers							
JS0239 07/23/2019 S-20-217				1,000,000.00			
JS0302 07/30/2020 S-20-217					(2,000,000.00)		
JS0514 08/08/2019 S-20-217				1,000,000.00			
JS4197 03/24/2020 S-20-217				1,000,000.00			
JS4414 04/09/2020 S-20-217				5,917.00			
JS4751 05/04/2020 S-20-217				120,957.46			
Net Total Transfers	0.00	0.00	0.00	3,126,874.46	(2,000,000.00)	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	686,850.81	(1,230,006.39)	(1,344,433.21)	(9,344,433.21)
Encumbrances	0.00	0.00	0.00	4,616,772.01	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	(3,929,921.20)	(1,230,006.39)	(1,344,433.21)	(9,344,433.21)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-20-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.
 clerical support, and installation of telecommunication activities.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures FY 20 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	30,000,000.00	16,615,833.09	5,615,833.09
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	13,384,166.91	11,000,000.00	5,615,833.09
Expenditures	0.00	0.00	0.00	0.00	13,384,166.91	11,000,000.00	5,615,833.09
Transfers							
00JS0239 07/23/2019 S-17-217							
00JS0514 08/08/2019 S-17-217							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	2,599,680.39	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	(2,599,680.39)	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-17-218-G
(Hawaii Air National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements Services for military facility repairs and maintenance, environmental management, fire protection

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Revenues and expenditures vary by fiscal year, contingent upon the awards and grants.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,265,000.00	5,099,301.50	5,014,359.05	5,014,359.05	3,339,516.60	2,991,893.19	0.00
Beginning Cash Balance	0.00	601,979.82	245,309.57	0.00	397,874.32	943,417.90	(155,082.49)
Revenues	1,177,151.24	228,572.20	0.00	2,072,716.77	893,166.99	1,893,392.80	0.00
Expenditures	1,165,698.50	84,942.45	0.00	1,674,842.45	347,623.41	2,991,893.19	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0165 07/21/2016 S-17-218	158,342.71						
JS0250 07/27/2017 S-17-218		(500,300.00)					
JS0094 07/16/2018 S-17-218			(200,000.00)				
JS0817 08/30/2016 S-17-218	290,000.00						
JS0841 08/31/2016 S-17-218	35,000.00						
JS0916 09/06/2016 S-17-218	(35,000.00)						
JS3157 01/13/2017 S-17-218	7,073.78						
JS3554 02/02/2017 S-17-218	135,110.59						
JS3850 03/07/2019 S-17-218			(45,309.57)				
Net Total Transfers	590,527.08	(500,300.00)	(245,309.57)	0.00	0.00	0.00	0.00
Ending Cash Balance	601,979.82	245,309.57	0.00	397,874.32	943,417.90	(155,082.49)	(155,082.49)
Encumbrances	114,651.53	0.00	0.00	401,777.02	22,928.70	0.00	0.00
Unencumbered Cash Balance	487,328.29	245,309.57	0.00	(3,902.70)	920,489.20	(155,082.49)	(155,082.49)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-18-218-G
(Hawaii Air National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between fiscal years due to the full execution of the Fed Grant. It takes time to plan and execute contracts and agreements, so more revenues and e expenditures were collected and paid to vendors. FY 18 Cash was forward to S-19-218 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	6,300,000.00	4,953,452.18	4,889,893.88	4,884,068.97	4,884,068.97	4,884,068.97
Beginning Cash Balance	0.00	0.00	354,081.85	0.00	(5,824.91)	(5,824.91)	(5,824.91)
Revenues	0.00	1,113,133.65	430,301.36	0.00	0.00	0.00	0.00
Expenditures	0.00	1,346,547.82	63,558.30	5,824.91	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0250 07/27/2017 S-18-218		587,496.02					
JS0094 07/16/2018 S-18-218			(230,000.00)				
JS1837 10/23/2019 S-18-218			(5,824.91)				
JS3850 03/07/2019 S-18-218			(485,000.00)				
Net Total Transfers	0.00	587,496.02	(720,824.91)	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	354,081.85	0.00	(5,824.91)	(5,824.91)	(5,824.91)	(5,824.91)
Encumbrances	0.00	65,489.21	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	288,592.64	0.00	(5,824.91)	(5,824.91)	(5,824.91)	(5,824.91)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-19-218-G
(Hawaii Air National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements Services for military facility repairs and maintenance, environmental management, fire protection

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between fiscal years due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 19 Cash was forward to S-20-218 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	6,300,000.00	4,833,687.71	4,584,179.47	2,750,491.47	1,750,491.47
Beginning Cash Balance	0.00	0.00	0.00	439,683.53	31,391.43	31,391.43	31,391.43
Revenues	0.00	0.00	945,686.25	618,830.36	1,833,688.00	1,000,000.00	1,000,000.00
Expenditures	0.00	0.00	1,466,312.29	249,508.24	1,833,688.00	1,000,000.00	1,000,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0094 07/16/2018 S-19-218			430,000.00				
JS0239 07/23/2019 S-19-218				(100,000.00)			
JS0514 08/08/2019 S-19-218				(400,000.00)			
JS 1768 10/21/2019 S-19-218				(170,000.00)			
JS1837 10/23/2019 S-19-218				(7,614.22)			
JS3850 03/07/2019 S-19-218			530,309.57				
JS4197 03/24/2020 S-19-218				(100,000.00)			
Net Total Transfers	0.00	0.00	960,309.57	(777,614.22)	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	439,683.53	31,391.43	31,391.43	31,391.43	31,391.43
Encumbrances		0.00	279,753.44	26,324.09	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	159,930.09	5,067.34	31,391.43	31,391.43	31,391.43

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-20-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements Services for military facility repairs and maintenance, environmental management, fire protection

Current Program Activities/Allowable Expenses Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: There are variations in revenues and expenditures between FY 20 to FY 23 due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 19 Cash was forward to S-20-218 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	6,300,000.00	4,625,157.55	4,555,893.38	3,555,893.38
Beginning Cash Balance	0.00	0.00	0.00	0.00	1,181,313.45	400,839.37	400,839.37
Revenues	0.00	0.00	0.00	2,072,716.77	13,890.09	1,000,000.00	1,000,000.00
Expenditures	0.00	0.00	0.00	1,674,842.45	69,264.17	1,000,000.00	1,000,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0239 07/23/2 S-20-218				100,000.00			
JS0302 07/30/2 S-20-218					(725,100.00)		
JS0514 08/08/2 S-20-218				400,000.00			
JS 1768 10/21/2 S-20-218				170,000.00			
JS1837 10/23/2 S-20-218				13,439.13			
JS4197 03/24/2 S-20-218				100,000.00			
Net Total Transfers	0.00	0.00	0.00	783,439.13	(725,100.00)	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	1,181,313.45	400,839.37	400,839.37	400,839.37
Encumbrances	0.00	0.00	0.00	276,778.42	330,280.22	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	904,535.03	70,559.15	400,839.37	400,839.37

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-20-218-G
(Hawaii Air National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements Services for military facility repairs and maintenance, environmental management, fire protection

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: There are variations in revenues and expenditures between FY 20 to FY 23 due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 19 Cash was forward to S-20-218 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	6,300,000.00	5,952,376.59	4,952,376.59
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	545,543.58	545,543.58
Revenues	0.00	0.00	0.00	0.00	893,166.99	1,000,000.00	1,000,000.00
Expenditures	0.00	0.00	0.00	0.00	347,623.41	1,000,000.00	1,000,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	545,543.58	545,543.58	545,543.58
Encumbrances	0.00	0.00	0.00	0.00	22,928.70	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	522,614.88	545,543.58	545,543.58

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 17 to FY 19 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 16 Cash was forward to S-17-219 to be expended. FY 17 Cash was forward to S-18-219 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,584,387.00	896,215.99	776,752.30	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	691,524.07	29,364.98	29,364.98	0.00	0.00	0.00
Revenues	5,429,695.08	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	4,688,171.01	119,463.69	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0185 07/21/2 S-17-219		(342,695.40)					
JS0693 08/22/1 S-17-219	250,000.00						
JS0455 08/11/2 S-17-219		(150,000.00)					
JS0881 09/06/2 S-17-219		(50,000.00)					
JS4347 04/03/2 S-17-219				(29,364.98)			
JS6215 06/30/1 S-17-219	(300,000.00)						
Net Total Transfers	(50,000.00)	(542,695.40)	0.00	(29,364.98)	0.00	0.00	0.00
Ending Cash Balance	691,524.07	29,364.98	29,364.98	0.00	0.00	0.00	0.00
Encumbrances	262,388.07	532.65	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	429,136.00	28,832.33	29,364.98	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-18-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applica N/A

Variances: There are variances in revenues and expenditures between FY 17 to FY 19 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 17 Cash was forward to S-18-219 to be expended. FY 18 Cash was forward to S-19-219 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	5,584,387.00	650,550.07	454,862.06	454,862.06	454,862.06	454,862.06
Beginning Cash Balance	0.00	0.00	1,106,837.40	161,149.39	0.00	0.00	0.00
Revenues	0.00	5,490,674.33	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	4,933,836.93	195,688.01	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0185 07/21/2 S-18-219		350,000.00					
JS0233 07/26/2 S-18-219			(350,000.00)				
JS0359 08/02/2 S-18-219			(200,000.00)				
JS0455 08/11/2 S-18-219		150,000.00					
JS0530 08/13/2 S-18-219			(200,000.00)				
JS0881 09/06/2 S-18-219		50,000.00					
JS4347 04/03/2 S-18-219				(161,149.39)			
Net Total Transfers	0.00	550,000.00	(750,000.00)	(161,149.39)	0.00	0.00	0.00
Ending Cash Balance	0.00	1,106,837.40	161,149.39	0.00	0.00	0.00	0.00
Encumbrances	0.00	293,585.37	5,170.00	5,170.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	813,252.03	155,979.39	(5,170.00)	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-19-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable) N/A

Variations: There are variations in revenues and expenditures between FY 17 to FY 19 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 18 Cash was forward to S-19-219 to be expended. FY 19 Cash was forward to S-20-219 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	5,363,962.00	866,813.23	637,598.43	637,598.43	637,598.43
Beginning Cash Balance	0.00	0.00	0.00	822,458.92	0.00	0.00	0.00
Revenues	0.00	0.00	4,569,607.69	400,000.00	0.00	0.00	0.00
Expenditures	0.00	0.00	4,497,148.77	229,214.80	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0233 07/26/2	S-19-219		350,000.00				
JS0359 08/02/2	S-19-219		200,000.00				
JS0167 07/17/2	S-19-219			(370,000.00)			
JS0530 08/13/2	S-19-219		200,000.00				
JS0582 08/13/2	S-19-219			(330,000.00)			
JS4571 04/23/2	S-19-219			(293,244.12)			
Net Total Transfers	0.00	0.00	750,000.00	(993,244.12)	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	822,458.92	0.00	0.00	0.00	0.00
Encumbrances			479,136.46	0.00			
Unencumbered Cash Balance	0.00	0.00	343,322.46	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-20-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable) N/A

Variations: There are variations in revenues and expenditures between FY 17 to FY 19 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 18 Cash was forward to S-19-219 to be expended. FY 19 Cash was forward to S-20-219 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	5,594,962.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	1,032,979.61	1,032,979.61	1,032,979.61
Revenues	0.00	0.00	0.00	4,521,236.70	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	4,672,015.58	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0167 07/17/21 S-20-219				370,000.00			
JS0582 08/13/21 S-20-219				330,000.00			
JS4347 04/03/21 S-20-219				190,514.37			
JS4571 04/23/21 S-20-219				293,244.12			
Net Total Transfers	0.00	0.00	0.00	1,183,758.49	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	1,032,979.61	1,032,979.61	1,032,979.61	1,032,979.61
Encumbrances				1,132,011.02			
Unencumbered Cash Balance	0.00	0.00	0.00	(99,031.41)	1,032,979.61	1,032,979.61	1,032,979.61

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-20-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 17 to FY 19 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. FY 18 Cash was forward to S-19-219 to be expended. FY 19 Cash was forward to S-20-219 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	5,594,962.00	3,094,962.00	1,594,962.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	2,500,000.00	1,500,000.00	1,594,962.00
Expenditures	0.00	0.00	0.00	0.00	2,500,000.00	1,500,000.00	1,594,962.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances							
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fund: Emergency MGT Performance Grant
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-21-224-G

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Revenues and expenditures are expected to vary by fiscal year depending on the grant awards.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	10,759,428.00	5,759,428.00	759,428.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	5,000,000.00	2,500,000.00	759,428.00
Expenditures	0.00	0.00	0.00	0.00	5,000,000.00	2,500,000.00	759,428.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-19-293-G
KIHOLO BAY EARTHQUAKE - PA

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The majority of work in cleaning up and fixing damaged properties have been completed before FY 17. The revenues collected and payments made have declined greatly since FY 16. There are variances in revenues and expenditures between fiscal years due to the full execution of the Fed Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	3,555,000.00	3,026,783.05	2,189,222.04	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	171,548.73	171,548.78	0.00	0.00
Revenues	0.00	0.00	528,216.95	837,561.01	2,189,222.04	0.00	0.00
Expenditures	0.00	0.00	528,216.95	837,561.01	2,360,770.82	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1490 10/05/2 S-19-293			171,548.73				
Net Total Transfers	0.00	0.00	171,548.73	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	171,548.73	171,548.73	0.00	0.00	0.00
Encumbrances	0.00	0.00	540,260.47	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	(368,711.74)	171,548.78	171,548.83	(0.00)	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-17-295-G
SEVERE STORM/FLOOD
DEC 10-16, 2008 - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: The program activities may include removal of wreckage and debris from private and public

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 17 to FY 20 due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	220,000.00	220,000.00	327,359.29	190,257.89	149,833.76	0.00	0.00
Beginning Cash Balance	0.00	115,201.50	115,201.50	0.00	0.00	0.00	0.00
Revenues	19,559.14	7,842.21	21,899.90	40,424.13	0.00	0.00	0.00
Expenditures	0.00	7,842.21	137,101.40	40,424.13	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6096 6/30/17 S-17-295	95,642.36						
Net Total Transfers	95,642.36	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	115,201.50	115,201.50	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	110,248.01	7,125.00	7,125.00	0.00	0.00	0.00
Unencumbered Cash Balance	115,201.50	4,953.49	(7,125.00)	(7,125.00)	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-17-296-G
MARCH 11, 2011 TSUNAMI WAVES-PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: emergency communications; and permanent restoration of eligible facilities. lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 17 to FY 19 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. Grant closed during FY 2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,400,000.00	5,365,951.91	5,342,698.11	5,342,698.11	5,342,698.11	0.00	0.00
Beginning Cash Balance	0.00	0.00	41,166.08	41,166.08	41,166.08	29,211.38	29,211.38
Revenues	0.00	361.64	0.00	0.00	0.00	0.00	0.00
Expenditures	34,048.09	23,253.80	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1517 10/08/2 S-17-296					(11,954.70)		
JS2186 11/21/2 S-17-296		22,892.16					
JS4202 04/03/2 S-17-296		41,166.08					
JS4500 04/05/2 S-17-296	22,000.00						
JS4835 05/01/2 S-17-296	12,048.09						
Net Total Transfers	34,048.09	64,058.24	0.00	0.00	(11,954.70)	0.00	0.00
Ending Cash Balance	0.00	41,166.08	41,166.08	41,166.08	29,211.38	29,211.38	29,211.38
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	41,166.08	41,166.08	41,166.08	29,211.38	29,211.38	29,211.38

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-17-297-G
SEVERE STORM/FLOOD MAR 3-11,
2012 - PA
S-17-297-G replaced S-14-297-G,
lapsed on 6/30/16

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: The program activities may include removal of wreckage and debris from private and public

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 17 to FY 19 due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. Grant closed during FY 2020.

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500,000.00	2,473,500.00	2,439,051.05	2,436,826.05	2,434,018.86	2,422,064.16	2,422,064.16
Beginning Cash Balance	0.00	0.00	32,052.25	2,807.19	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	26,500.00	34,448.95	2,225.00	2,807.19	11,954.70	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1517 10/08/2 S-17-297					11,954.70		
JM3343 01/04/2 S-17-297	26,500.00						
JS3475 02/13/2 S-17-297			43,583.00				
JS3768 03/04/2 S-17-297			(70,603.06)				
JS6097 07/03/2 S-17-297		66,501.20					
Net Total Transfers	26,500.00	66,501.20	(27,020.06)	0.00	11,954.70	0.00	0.00
Ending Cash Balance	0.00	32,052.25	2,807.19	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	(32,052.25)	(2,807.19)	(0.00)	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-21-350-G

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Operations for this account are anticipated between FY 21-23.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	61,000,000.00	58,270,143.57	58,270,143.57
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	51,270,143.57	51,270,143.57
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	2,729,856.43	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0535 08/12/2 S-21-350					61,000,000.00		
JS1978 10/30/2 S-21-350					(7,000,000.00)		
Net Total Transfers	0.00	0.00	0.00	0.00	54,000,000.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	51,270,143.57	51,270,143.57	51,270,143.57
Encumbrances	0.00	0.00	0.00	0.00	51,221,252.67	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	48,890.90	51,270,143.57	51,270,143.57

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-15-505-G
Tropical Storm Iselle -9/12/14
CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: After the disaster hit in FY 15, the spending for fixing damaged properties has gone down tremendously year after year. In FY 17, less revenues received and less expenditures incurred than FY 16. There are variances in revenues and expenditures between FY 16 and FY 20 from Fiscal Year to Fiscal Year is based on claims.

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,561,608.39	4,539,646.34	4,515,609.92	4,417,536.91	3,891,830.98	3,891,830.98	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	21,962.05	24,036.42	98,073.01	525,705.93	0.00	0.00	0.00
Expenditures	21,962.05	24,036.42	98,073.01	525,705.93	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	1,318,050.39	1,315,550.56	1,274,967.90	1,235,375.26	1,235,375.26	0.00	0.00
Unencumbered Cash Balance	(1,318,050.39)	(1,315,550.56)	(1,274,967.90)	(1,235,375.26)	(1,235,375.26)	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-15-506-G
PU'U O'O Lava Flow - 11/3/14
CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: emergency communications; and permanent restoration of eligible facilities.
 lands; performance of emergency protective measures; emergency transportation assistance;
 emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: After the disaster hit in FY 15, the spending for fixing damaged properties has gone down tremendously year after year. The majority of payments were made in FY 16. After that, revenues and expenditures become very small. The variance from Fiscal Year to Fiscal Year is due to payments to be made.

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,764,274.68	16,751,385.06	16,751,385.06	16,751,385.06	16,438,171.22	16,751,385.06	16,751,385.06
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	12,889.62	0.00	0.00	313,213.84	0.00	0.00	0.00
Expenditures	12,889.62	0.00	0.00	313,213.84	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	3,235,725.39	3,235,725.39	3,235,725.39	3,235,725.39	6,463,655.40	0.00	0.00
Unencumbered Cash Balance	(3,235,725.39)	(3,235,725.39)	(3,235,725.39)	(3,235,725.39)	(6,463,655.40)	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-21-508-G

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: emergency communications; and permanent restoration of eligible facilities. lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues and expenditure only occurred in FY 21, resulting in variance.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	31,026,768.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	31,026,768.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	31,026,768.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: EDA - BUSINESS RECOVERY CENTER
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701 of 1965, as amended (PWEDA), (42 U.S.C. 3149)

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-510-G
 Economic Adjustment Assistance: CFDA #11.307

Intended Purpose: To provide a wide range of technical, planning and public works and infrastructure assistance in regions experiencing adverse economic changes that may occur suddenly or over time. This program is designed to respond flexibly to pressing economic recovery issues and is well suited to help address challenges faced by U.S. communities and regions.

Source of Revenues: Economic Development Administration, Department of Commerce

Current Program Activities/Allowable Expenses: Environmentally-Sustainable Development, Economically Distressed and Underserved Communities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: No variations because both FY 16 & FY 17 have no revenues or expenditures. There are variations in revenues and expenditures between FY 17 to FY 19 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. Grant closed during FY 2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,312,000.00	1,312,000.00	574,711.57	536,241.94	373,269.19	373,269.19	373,269.19
Beginning Cash Balance	0.00	0.00	45,706.24	162,972.75	0.00	0.00	0.00
Revenues	0.00	782,994.67	155,736.14	0.00	0.00	0.00	0.00
Expenditures	0.00	737,288.43	38,469.63	162,972.75	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	45,706.24	162,972.75	0.00	0.00	0.00	0.00
Encumbrances	689,506.00	49,743.81	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	(689,506.00)	(4,037.57)	162,972.75	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-17-513-G
(Pre-Disaster Mitigation)
CFDA #97.047

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances because both FY 16 & FY 17 have no revenues or expenditures. There are variances in revenues and expenditures between FY 17 to FY 19 is due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. Grant closed during FY 2020.

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	369,075.00	369,075.00	198,979.72	97,514.90	15,014.90	15,014.90	15,014.90
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	170,095.28	101,464.82	82,500.00	0.00	0.00	0.00
Expenditures	0.00	170,095.28	101,464.82	82,500.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	44,899.72	93,750.00	11,250.00	11,250.00	0.00	0.00
Unencumbered Cash Balance	0.00	(44,899.72)	(93,750.00)	(11,250.00)	(11,250.00)	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-21-513-G
(Pre-Disaster Mitigation)
CFDA #97.047

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Operations are anticipated in FY 21 and FY 22, resulting in variance.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	350,000.00	100,000.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	250,000.00	100,000.00	0.00
Expenditures	0.00	0.00	0.00	0.00	250,000.00	100,000.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-21-515-G

Intended Purpose: To facilitate operations and activities in the program relating to security.

Source of Revenues: Non-Profit Security Program

Current Program Activities/Allowable Expenses: various

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Operations are anticipated in FY 21- FY 23, resulting in variance.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	450,000.00	200,000.00	100,000.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	250,000.00	100,000.00	100,000.00
Expenditures	0.00	0.00	0.00	0.00	250,000.00	100,000.00	100,000.00
Transfers				0.00			
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-21-519-G

Intended Purpose: To facilitate operations and activities in the program.
 Source of Revenues: STOP School Violence
 Current Program Activities/Allowable Expenses: various
 Purpose of Proposed Ceiling Increase (if applicable): various
 Variances: Operations are anticipated in FY 21- FY 23, resulting in variance.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	900,000.00	550,000.00	200,000.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	350,000.00	350,000.00	200,000.00
Expenditures	0.00	0.00	0.00	0.00	350,000.00	350,000.00	200,000.00
Transfers				0.00			
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-21-526-G

Intended Purpose: To facilitate operations and activities in the program.
 Source of Revenues: Regional Catastrophic Preparedness Grant Program
 Current Program Activities/Allowable Expenses: N/A
 Purpose of Proposed Ceiling Increase (if applicable): N/A
 Variances: Operations vary by year as revenues come in.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	300,000.00	150,000.00	50,000.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	150,000.00	100,000.00	50,000.00
Expenditures	0.00	0.00	0.00	0.00	150,000.00	100,000.00	50,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							-

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: DISASTER PROJECTS - CIVIL DEFENSE
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-17-580-G
 Disaster Grants - Public Assistance
 (Presidentially Declared Disasters) CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, restoration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: emergency communications; and permanent restoration of eligible facilities. lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY 17 to FY 20 are due to the full execution of the Federal Grant. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors. Grant closed during FY 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,000,000.00	7,993,961.07	7,993,961.07	7,939,444.61	7,390,423.42	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	6,038.93	0.00	54,516.46	549,021.19	0.00	0.00	0.00
Expenditures	6,038.93	0.00	54,516.46	549,021.19	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	225,000.00	225,000.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	(225,000.00)	(225,000.00)	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Defense</u>	Contact Name:	<u>Sherman M. Takao</u>
Prog ID(s):	<u>DEF 110</u>	Phone:	<u>369-3557</u>
Name of Fund:	<u>Security Deposits - Use Permits</u>	Fund type (MOF):	<u>T</u>
Legal Authority:	<u>Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701</u>	Appropriation Acct. No.:	<u>T-901-G</u>

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: There are variances in revenues and expenditures due to the full execution of the Trust Fund. FY 2020 Cash was forward to T-21-901 to be expended.

Financial Data								
		FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
		(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0.00	0.00	0.00	0.00	0.00	0.00	0.00
Beginning Cash Balance		978,473.57	1,091,265.90	1,148,292.52	718,517.80	10,323.11	509,822.74	509,822.74
Revenues		166,080.50	86,983.00	61,973.00	0.00	310.00	0.00	0.00
Expenditures		50,593.77	28,681.38	491,747.72	0.00	0.00	0.00	0.00
Transfers								
List each net transfer in/out/ or projection in/out; list each account number								
00JT1211	T-16-901	(3,805.00)						
00JT1211	T-17-901	3,805.00						
00JT1238	T-17-901	(420.00)						
00JT1239	T-17-901	(440.00)						
00JT0067	T-16-901	(100,000.00)						
00JT0067	T-17-901	100,000.00						
00JT0115	T-16-901	(875,159.71)						
00JT0115	T-17-901	875,159.71						
00JT0375	T-17-901	(1,834.40)						
T-17-901			(2,179.37)					
T-18-901			2,179.37					
T-17-901			(1,086,992.76)					
T-18-901			1,086,992.76					
T-18-901			(275.00)					
T-18-901			(1,000.00)					
JT0011 07/08/2019	T-19-901				(708,194.69)			
JT0055 07/14/2020	T-21-901					499,189.63		
Net Total Transfers		(2,694.40)	(1,275.00)	0.00	(708,194.69)	499,189.63	0.00	0.00
Ending Cash Balance		1,091,265.90	1,148,292.52	718,517.80	10,323.11	509,822.74	509,822.74	509,822.74
Encumbrances		4,273.14	17,032.52	10,323.11	0.00	0.00	0.00	0.00
Unencumbered Cash Balance		1,086,992.76	1,131,260.00	708,194.69	10,323.11	509,822.74	509,822.74	509,822.74

Additional Information:

Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No.: T-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees
 Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees
 Current Program Activities/Allowable Expenses: various
 Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY 21 Cash was forward to T-21-903 to be expended.
It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.
FY 21 Cash was forward to T-21-903 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	1,114,842.03	1,048,288.65	1,008,308.23	986,886.78	986,886.78	986,886.78	986,886.78
Revenues	2,337.60	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	68,890.98	39,980.42	21,421.45	0.00	954,328.66	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0055 07/14/2020		T-20-903			954,328.66		
Net Total Transfers	0.00	0.00	0.00	0.00	954,328.66	0.00	0.00
Ending Cash Balance	1,048,288.65	1,008,308.23	986,886.78	986,886.78	986,886.78	986,886.78	986,886.78
Encumbrances	0.00	0.00	0.00	18,073.13	0.00	0.00	0.00
Unencumbered Cash Balance	1,048,288.65	1,008,308.23	986,886.78	968,813.65	986,886.78	986,886.78	986,886.78

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Tsunami & Hurricane Preparedness Efforts
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-906-G

Intended Purpose: Install & Maintain new siren warning systems, update tsunami evacuation maps, construct additional emergency shelter space and retrofit existing public buildings to serve as emergency shelters, develop statewide residential safe room design standards, provide 24 hour watch capability in the Emergency Operation Center.

Source of Revenues: Interest on the Hawaii Hurricane Reserve Trust Fund Principal

Current Program Activities/Allowable Expenses: various

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: No variances in revenues and expenditures between FY 16 and FY 17.
 There are variances in revenues and expenditures FY 20 due to the full execution of the Trust Fund.
 It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	70,189.57	70,189.57	24,980.92	0.00	0.00	0.00	0.00
Beginning Cash Balance	162,045.42	162,045.42	116,836.77	116,836.77	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	45,208.65	0.00	116,836.77	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	162,045.42	116,836.77	116,836.77	0.00	0.00	0.00	0.00
Encumbrances	70,189.57	24,980.92	24,980.92	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	91,855.85	91,855.85	91,855.85	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: OHA Ceded Lands Proceeds
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No: T-907-G

Intended Purpose: Payout to OHA for their share of the use of Department of Defense facilities

Source of Revenues: OHA share for OHA Ceded Lands Revenues for use of Department of Defense facilities

Current Program Activities/Allowable Expenses: various

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Prior to FY 18, parking rental income Department of Defense collected was reported in T-901-G as revenues and 20% of the total parking rental income Department of Defense paid to OHA was recorded as expenditures in T-901-G. The only transactions shown in T-907-G are 20% of the cash payments Department of Defense paid to OHA. No variances in revenues can be calculated based on the setup. From FY 21, T-907-G will be exclusively used to record both the parking rental income Department of Defense collects and expenditures (that is the 20% of the parking rentals Department of Defense collects and paid to OHA) in the same account. Then we can calculate the variances in revenues and expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	34,230.83	0.00
Revenues	0.00	13,483.50	7,718.00	20,030.00	1,000.00	0.00	0.00
Expenditures	2,694.40	3,007.27	1,881.20	3,762.20	625.00	34,230.83	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT1238 05/15/2017 T-17-907	420.00						
JT1239 05/15/2017 T-17-907	440.00						
JT0375 10/17/2016 T-17-907	1,834.40						
00JT0098 08/07/2017 T-18-907		275.00					
00JT0208 08/30/2017 T-18-907		1,000.00					
00JT0083 07/23/2018 T-18-907		(11,751.23)					
00JT0083 07/23/2018 T-19-907			11,751.23				
00JT0011 0708/2019 T-20-907			(17,588.03)	17,588.03			
JT0055 07/14/2020 T-21-907				(33,855.83)	33,855.83		
Net Total Transfers	2,694.40	(10,476.23)	(5,836.80)	(16,267.80)	33,855.83	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	34,230.83	0.00	0.00
Encumbrances							
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	34,230.83	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) T
 Appropriation Acct. No. T-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance

Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: FY 20 Cash was forward to T-21-908 to be expended.
 It takes time to plan and execute agreements, so more revenues and expenditures were collected and paid to vendors.
 FY 20 Cash was forward to T-21-908 to be expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	366,993.15	341,764.43	341,764.43	1,861,895.28	3,046,622.49	9,615,125.81	9,615,125.81
Revenues	0.00	0.00	1,520,130.85	1,184,727.21	3,738,776.04	0.00	0.00
Expenditures	25,228.72	0.00	0.00	0.00	53,315.98	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0098 08/07/2017 T-18-908		341,379.72					
JT0083 07/23/2018 T-19-908		(341,379.72)					
JT0055 07/14/2020 T-20-908					2,883,043.26		
Net Total Transfers	0.00	0.00	0.00	0.00	2,883,043.26	0.00	0.00
Ending Cash Balance	341,764.43	341,764.43	1,861,895.28	3,046,622.49	9,615,125.81	9,615,125.81	9,615,125.81
Encumbrances	384.71			100,000.00			
Unencumbered Cash Balance	341,379.72	341,764.43	1,861,895.28	2,946,622.49	9,615,125.81	9,615,125.81	9,615,125.81

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: JUVENILE ACCOUNTABILITY BLOCK GRANT
 Legal Authority: Earthquake Hazards Reduction Act of 1977, Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No.: T-911-G

Intended Purpose: The Juvenile Accountability Block Grants (JABG) program is administered by the State Relations and Assistance Division of the Office of Juvenile Justice and Delinquency Prevention (OJJDP), Office of Justice Programs, U.S. Department of Justice. The goal of this program is to provide state & units of local government with means to implement state-of-the-art accountability-based programs.

Source of Revenues: Funding amounts for tribes or local government are based on a formula that takes into account local criminal justice expenditures and the level of violent crime. The JABG Technical Support Center provides

Current Program Activities/Allowable Expenses: N/A

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Variances occur in the fiscal years contingent upon use of the purposes or expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	46,065.89	46,065.89	47,740.65	0.00	(46,065.89)	(46,065.89)	(46,065.89)
Revenues	0.00	162,127.33	135,568.72	0.00	0.00	0.00	0.00
Expenditures	0.00	160,452.57	135,057.18	46,065.89	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0231 09/08/2017 T-18-911			(44,407.32)				
00JT0083 07/23/2018 T-19-911			(2,186.30)				
00JT1546 06/27/2019 T-19-911			44,407.32	0.00			
Net Total Transfers	0.00	0.00	(2,186.30)	0.00	0.00	0.00	0.00
Ending Cash Balance	46,065.89	47,740.65	46,065.89	(46,065.89)	(46,065.89)	(46,065.89)	(46,065.89)
Encumbrances		3,333.33					
Unencumbered Cash Balance	46,065.89	44,407.32	46,065.89	(46,065.89)	(46,065.89)	(46,065.89)	(46,065.89)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Federal Funds - EDN 100
 Legal Authority: Elementary and Secondary Education Act

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-210-E

Intended Purpose:

Reimbursement for allowable expenditures for lower education.

Source of Revenues:

US Department of Education; US Department of Defense

Current Program Activities/Allowable Expenses:

Impact Aid program expenditures for substitutes and allocation to schools, Title I programs to support schools with high rates of students that qualify for free or reduced price lunch, migrant education programs, neglected and delinquent student programs, improving teacher and administrator programs, math and science partnerships, English language learner programs, community learning centers, and assessment programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	138,331,226	141,470,617	138,670,617	138,670,617	138,670,617	138,670,617	138,670,617
Beginning Cash Balance	76,577,393	66,166,852	89,855,835	110,226,555	96,568,038	30,568,038	15,568,038
Revenues	121,230,754	125,564,759	136,392,392	117,398,354	117,000,000	115,000,000	115,000,000
Expenditures	131,641,290	101,993,985	116,020,025	132,311,020	183,000,000	130,000,000	130,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00007 (From: S-210 / To: S-241,S-270,S-815 & S-215)		(5,100,000)					
AJV00011 (From: S-210 / To: S-830)		(2,000,000)					
AJV00175 (From: S-210 / To: S-275)		(1,000,000)					
AJV00176 (From: S-210 / To: S-815)		(1,000,000)					
AJV00236 (From: S-240 / To: S-210)		118,120					
AJV00449 (From: S-240 / To: S-210)		80					
AJV03011 (From: S-830 / To: S-210)		2,000,000					
AJV03029 (From: S-215, S-241, S-270, S-275 & S-815 / To: S:210)		7,100,000					
AJV01216 (From: S-230 / To: S-210)				1,254,149			
Rounding/misc adjustment	(5)	9	(1,647)				
Net Total Transfers	(5)	118,209	(1,647)	1,254,149	-	-	-
Ending Cash Balance	66,166,852	89,855,835	110,226,555	96,568,038	30,568,038	15,568,038	568,038
Encumbrances	12,135,562	17,098,231	22,879,851	20,769,695	-	-	-
Unencumbered Cash Balance	54,031,291	72,757,604	87,346,704	75,798,343	30,568,038	15,568,038	568,038

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Federal Funds - EDN 150
 Legal Authority: I.D.E.A., SPED Pre-school, and Impact Aid Disabilities

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-215-E

Intended Purpose:

Reimbursement for allowable expenditures for special education.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

To provide special education and related services to eligible students with disabilities in accordance with Federal and State regulations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	48,899,355	55,728,383	52,128,383	52,164,701	52,164,701	52,164,701	52,164,701
Beginning Cash Balance	2,092,570	1,707,369	2,295,885	2,546,207	581,020	581,020	581,020
Revenues	42,171,587	43,699,297	44,913,483	42,697,061	43,500,000	43,500,000	43,500,000
Expenditures	42,569,458	43,110,781	44,663,090	44,662,248	43,500,000	43,500,000	43,500,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	12,670	1	71				
Net Total Transfers	12,670	1	71				
Ending Cash Balance	1,707,369	2,295,885	2,546,207	581,020	581,020	581,020	581,020
Encumbrances	3,188,519	3,099,025	2,353,287	1,923,984	2,300,000	2,300,000	2,300,000
Unencumbered Cash Balance	(1,481,150)	(803,139)	192,920	(1,342,964)	(1,718,980)	(1,718,980)	(1,718,980)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Federal Funds - EDN 200
 Legal Authority: Education for Homeless Children & Youth Grant

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-220-E

Intended Purpose:

Reimbursement for allowable expenditures for lower education.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

To ensure that homeless children and youth have access to a free and appropriate education.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	442,579	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	390,350		392,879	386,074	369,764	369,764	369,764
Revenues	171,397	(21,000)	196,839	275,671	200,000	200,000	200,000
Expenditures	119,168	28,701	203,644	291,981	200,000	200,000	200,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment		1	1				
Net Total Transfers	-	1	1	-	-	-	-
Ending Cash Balance	442,579	392,879	386,074	369,764	369,764	369,764	369,764
Encumbrances	-	6,689	58,996	35,461	-	-	-
Unencumbered Cash Balance	442,579	386,191	327,077	334,303	369,764	369,764	369,764

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 300
 Name of Fund: Federal Funds - EDN 300
 Legal Authority: P.L. 100-297 National Cooperative Education Statistics

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Federal (N)
 Appropriation Acct. No.: S-230-E

Intended Purpose:

Reimbursement for allowable expenditures for lower education.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

To support activities that will enable State Education Agencies to designate representatives to the Cooperative System who can speak for state interests, provide recommendations about Cooperative System activities, and initiate action at both state and national levels to further the Cooperative System's goals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	877,647	870,439	-	-	-	-	-
Beginning Cash Balance	1,571,115	1,275,353	1,274,951	1,260,904	556	-	-
Revenues	-	8,121	(8,121)	-	-	-	-
Expenditures	295,763	8,524	5,926	6,199	556	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV01216 (From: S-230 / To: S-210)				(1,254,149)			
Rounding/misc adjustment		2					
Net Total Transfers	-	2	-	(1,254,149)	-	-	-
Ending Cash Balance	1,275,353	1,274,951	1,260,904	556	0	-	-
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	1,275,353	1,274,951	1,260,904	556	0	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Federal Funds - EDN 400
 Legal Authority: USDA Child Nutrition Program

Contact Name: Albert Scales
 Phone: 733-8400
 Fund type (MOF): Federal (N)
 Appropriation Acct. No.: S-240-E

Intended Purpose:

Reimbursement for allowable expenditures for school food services.

Source of Revenues:

US Department of Agriculture; US Department of Education

Current Program Activities/Allowable Expenses:

Child Nutrition programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,205,300	64,205,300	64,205,300	64,205,300	64,205,300	64,205,300	64,205,300
Beginning Cash Balance	25,567,137	20,084,059	21,435,098	12,793,756	128,960	2,128,960	4,128,960
Revenues	71,388	416,458	69,432	-	16,000,000	16,000,000	16,000,000
Expenditures	60,090,534	54,438,256	64,072,729	63,223,571	64,000,000	64,000,000	64,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00034 (From: S-241 / To: S-240)	5,368,254						
AJV00248 (From: S-241 / To: S-240)	608,512						
	208,918						
AJV00571 (From: S-241 / To: S-240)	12,880,606						
AJV00787 (From: S-241 / To: S-240)	(2,804,360)						
AJV00795 (From: S-241 / To: S-240)	7,533,939						
AJV00955 (From: S-241 / To: S-240)	5,377,890						
AJV01110 (From: S-241 / To: S-240)	4,302,552						
AJV01387 (From: S-241 / To: S-240)	4,637,879						
AJV01545 (From: S-241 / To: S-240)	5,355,745						
AJV01690 (From: S-241 / To: S-240)	5,169,414						
AJV01909 (From: S-241 / To: S-240)	5,905,131						
AJV00022 (From: S-241 / To: S-240)		5,602,745					
AJV00137 (From: S-241 / To: S-240)		613,206					
AJV00236 (From: S-240 / To: S-210)		(118,120)					
AJV00248 (From: S-241 / To: S-240)		198,519					
AJV00449 (From: S-240 / To: S-210)		(80)					
AJV00583 (From: S-241 / To: S-240)		11,649,214					
AJV00781 (From: S-241 / To: S-240)		5,180,932					
AJV00807 (From: S-241 / To: S-240)		5,930,375					
AJV00975 (From: S-241 / To: S-240)		4,494,745					
AJV01170 (From: S-241 / To: S-240)		5,174,624					
AJV01400 (From: S-241 / To: S-240)		5,503,615					
AJV01573 (From: S-241 / To: S-240)		4,592,496					
AJV01811 (From: S-241 / To: S-240)		6,550,565					

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for Submittal to the 2021 Legislature**

AJV00130 (From: S-241 / To: S-240)			6,238,653				
AJV00133 (From: S-241 / To: S-240)			496,921				
AJV00259 (From: S-241 / To: S-240)			252,857				
AJV00340 (From: S-241 / To: S-240)			5,021,015				
AJV00429 (From: S-241 / To: S-240)			6,021,931				
AJV00536 (From: S-241 / To: S-240)			5,700,722				
AJV00647 (From: S-241 / To: S-240)			5,646,470				
AJV00860 (From: S-241 / To: S-240)			4,559,698				
AJV01015 (From: S-241 / To: S-240)			5,139,408				
AJV01084 (From: S-241 / To: S-240)			5,408,190				
AJV01304 (From: S-241 / To: S-240)			4,457,173				
AJV01498 (From: S-241 / To: S-240)			6,418,915				
AJV00040 (From: S-241 / To: S-240)				6,214,179			
AJV00138 (From: S-241 / To: S-240)				508,083			
AJV00254 (From: S-241 / To: S-240)				306,449			
AJV00436 (From: S-241 / To: S-240)				5,694,395			
AJV00587 (From: S-241 / To: S-240)				6,299,352			
AJV00796 (From: S-241 / To: S-240)				10,869,526			
AJV00904 (From: S-241 / To: S-240)				4,420,026			
AJV01070 (From: S-241 / To: S-240)				5,296,573			
AJV01208 (From: S-241 / To: S-240)				5,424,489			
AJV01306 (From: S-241 / To: S-240)				3,321,337			
AJV01311 (From: S-241 / To: S-240)				1			
AJV01535 (From: S-241 / To: S-240)				2,204,365			
Estimated (From: S-241 / To: S-240)					50,000,000	50,000,000	50,000,000
Rounding/misc adjustment	(8,414)	1					
Net Total Transfers	54,536,067	55,372,837	55,361,954	50,558,775	50,000,000	50,000,000	50,000,000
Ending Cash Balance	20,084,059	21,435,098	12,793,756	128,960	2,128,960	4,128,960	6,128,960
Encumbrances	2,989,991	1,090,739	1,055,362	2,504,780	-	-	-
Unencumbered Cash Balance	17,094,068	20,344,360	11,738,394	(2,375,820)	2,128,960	4,128,960	6,128,960

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Prog ID(s): EDN
 Name of Fund: EDN 400
 Legal Authority: Federal Funds - EDN 400
USDA Child Nutrition Program

Contact Name: Sharlene Wong
 Phone: 587-3600
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-241-E

Intended Purpose:

To provide each State agency with funds for its administrative expenses in supervising and giving technical assistance to local schools, school districts and institutions in their conduct of Child Nutrition Programs. State agencies that administer the distribution of USDA Foods to schools or adult care institutions are also provided with State Administrative Expense Funds (SAE). To administer the USDA's Child Nutrition Programs (CNP) at the State Agency (SA) level known as the Hawaii Child Nutrition Programs (HCNP).

Source of Revenues:

US Department of Agriculture

Current Program Activities/Allowable Expenses:

Funding will be expended for HCNP responsibilities such as the statewide administration of all CNP in Hawaii, monitoring, technical assistance, training, audits, and general operation expenses including the salary of staff, contracts for goods or services, etc. Includes transfers from S-241 to S-240.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Negative cash balances may result from encumbrances not getting paid due to timing of the grant drawdown. Note: The estimated expenditures do not take into account the transfers from S-241 to S-240 for monthly reimbursements (as seen in the prior fiscal years).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,892,000	1,892,000	1,892,000	1,892,000	1,892,000	1,892,000	1,892,000
Beginning Cash Balance	(70,032)	(1,322,825)	(1,254,949)	(1,174,034)	(711,337)	820,348	2,867,349
Revenues	54,778,711	56,875,995	56,882,849	52,254,159	52,776,701	53,304,468	53,837,512
Expenditures	1,493,558	1,317,084	1,450,309	1,232,689	1,245,016	1,257,466	1,270,041
Transfers							
List each net transfer in/out; list each account number							
AJV00034 (From: S-241 / To: S-240)	(5,368,254)						
AJV00097 (From: S-241 / To: S-240)	(608,512)						
AJV00248 (From: S-241 / To: S-240)	(208,918)						
AJV00571 (From: S-241 / To: S-240)	(12,880,606)						
AJV00787 (From: S-241 / To: S-240)	2,804,360						
AJV00795 (From: S-241 / To: S-240)	(7,533,939)						
AJV00955 (From: S-241 / To: S-240)	(5,377,890)						
AJV01110 (From: S-241 / To: S-240)	(4,302,552)						
AJV01387 (From: S-241 / To: S-240)	(4,637,879)						
AJV01545 (From: S-241 / To: S-240)	(5,355,745)						
AJV01690 (From: S-241 / To: S-240)	(5,169,414)						
AJV01909 (From: S-241 / To: S-240)	(5,905,131)						
AJV00007 (From: S-210 / To: S-241)		2,000,000					
AJV00022 (From: S-241 / To: S-240)		(5,602,745)					
AJV00137 (From: S-241 / To: S-240)		(613,206)					
AJV00248 (From: S-241 / To: S-240)		(198,519)					
AJV00583 (From: S-241 / To: S-240)		(11,649,214)					
AJV00781 (From: S-241 / To: S-240)		(5,180,932)					
AJV00807 (From: S-241 / To: S-240)		(5,930,375)					
AJV00975 (From: S-241 / To: S-240)		(4,494,745)					

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

AJV01170 (From: S-241 / To: S-240)		(5,174,624)					
AJV01400 (From: S-241 / To: S-240)		(5,503,615)					
AJV01573 (From: S-241 / To: S-240)		(4,592,496)					
AJV01811 (From: S-241 / To: S-240)		(6,550,565)					
AJV03029 (From: S-241 / To: S-210)		(2,000,000)					
AJV00009 (From: S-210 / To: S-241)			2,000,000				
AJV00130 (From: S-241 / To: S-240)			(6,238,653)				
AJV00133 (From: S-241 / To: S-240)			(496,921)				
AJV00259 (From: S-241 / To: S-240)			(252,857)				
AJV00340 (From: S-241 / To: S-240)			(5,021,015)				
AJV00429 (From: S-241 / To: S-240)			(6,021,931)				
AJV00536 (From: S-241 / To: S-240)			(5,700,722)				
AJV00647 (From: S-241 / To: S-240)			(5,646,470)				
AJV00860 (From: S-241 / To: S-240)			(4,559,698)				
AJV01015 (From: S-241 / To: S-240)			(5,139,408)				
AJV01084 (From: S-241 / To: S-240)			(5,408,190)				
AJV01304 (From: S-241 / To: S-240)			(4,457,173)				
AJV01498 (From: S-241 / To: S-240)			(6,418,915)				
AJV03008 (From: S-241 / To: S-210)			(2,000,000)				
AJV00040 (From: S-241 / To: S-240)				(6,214,179)			
AJV00138 (From: S-241 / To: S-240)				(508,083)			
AJV00254 (From: S-241 / To: S-240)				(306,449)			
AJV00436 (From: S-241 / To: S-240)				(5,694,395)			
AJV00587 (From: S-241 / To: S-240)				(6,299,352)			
AJV00796 (From: S-241 / To: S-240)				(10,869,526)			
AJV00904 (From: S-241 / To: S-240)				(4,420,026)			
AJV01070 (From: S-241 / To: S-240)				(5,296,573)			
AJV01208 (From: S-241 / To: S-240)				(5,424,490)			
AJV01306 (From: S-241 / To: S-240)				(3,321,337)			
AJV01535 (From: S-241 / To: S-240)				(2,204,365)			
Estimated (From: S-241 / To: S-240)					(50,000,000)	(50,000,000)	(50,000,000)
Rounding/misc adjustment	6,536	-	10,329	2			
Net Total Transfers	(54,537,945)	(55,491,036)	(55,351,625)	(50,558,773)	(50,000,000)	(50,000,000)	(50,000,000)
Ending Cash Balance	(1,322,825)	(1,254,949)	(1,174,034)	(711,337)	820,348	2,867,349	5,434,821
Encumbrances	15,206	45,647	67,039	101,364	68,386	67,712	67,936
Unencumbered Cash Balance	(1,338,031)	(1,300,596)	(1,241,073)	(812,701)	751,961	2,799,638	5,366,885

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: Federal Funds - EDN 500
 Legal Authority: P.L. 105-220 Workforce Investment Act (Adult Education)

Contact Name: Dan Miyamoto
 Phone: 305-9701
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-250-E

Intended Purpose:

Reimbursement for allowable expenditures for adult education.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

Integrated English literacy and civics education services to individuals who are immigrants and other limited proficient populations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,266,540	3,266,757	3,266,757	3,266,757	3,266,757	3,266,757	3,266,757
Beginning Cash Balance	190,146	188,514	140,621	69,445	99,344	99,344	99,344
Revenues	1,858,911	1,922,142	2,077,669	1,741,524	2,049,573	2,049,573	2,049,573
Expenditures	1,860,544	1,970,036	2,148,844	1,711,625	2,049,573	2,049,573	2,049,573
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment		1	1				
Net Total Transfers	-	1	1	-	-	-	-
Ending Cash Balance	188,514	140,621	69,445	99,344	99,344	99,344	99,344
Encumbrances	49,649	126,031	64,274	87,327	-	-	-
Unencumbered Cash Balance	138,864	14,590	5,171	12,017	99,344	99,344	99,344

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 700
 Name of Fund: Federal Funds - EDN 700
 Legal Authority: Head Start Act

Contact Name: Lauren Moriguchi
 Phone: 586-3811
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-270-E

Intended Purpose:

Hawaii Head Start Collaboration Coordination program.

Source of Revenues:

Office of Head Start, DHHS

Current Program Activities/Allowable Expenses:

To provide information and establish connections on behalf of the Head Start and Early Head Start grantees with child care licensing, tuition subsidies programs, and the Homeless Programs Office.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Negative cash balances may result from encumbrances not getting paid due to timing of the grant drawdown.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	125,628	125,628	125,628	125,628	125,628	125,628	125,628
Beginning Cash Balance	-	(4,382)	(13,546)	(15,105)	(10,377)	7,623	17,623
Revenues	106,488	97,204	118,744	119,288	125,000	125,000	125,000
Expenditures	110,870	106,368	120,303	114,560	107,000	115,000	115,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	(4,382)	(13,546)	(15,105)	(10,377)	7,623	17,623	27,623
Encumbrances	11,797	6,751	(3,823)	254	-	-	-
Unencumbered Cash Balance	(16,179)	(20,297)	(11,282)	(10,631)	7,623	17,623	27,623

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: School Level Ag & Industrial Pursuits
 Legal Authority: Section 302A-420, HRS

Contact Name: Dan Miyamoto
 Phone: 305-9777
 Fund type (MOF): Special
 Appropriation Acct. No.: S-301-E

Intended Purpose:

The fund was established to hold revenues collected by any school arising from agricultural and industrial pursuits. Originally the Lahainaluna Boarding Special Fund.

Source of Revenues:

Revenues are from the sale of agricultural products.

Current Program Activities/Allowable Expenses:

Allowable expenses include the purchase of equipment and material not otherwise in a school's budget.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	31,104	31,819	31,927	32,305	79	79	79
Revenues	794	114	395	1,084	500	30,000	30,000
Expenditures	79	7	19	33,310	500	30,000	30,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment		1	1				
Net Total Transfers		1	1	-	-	-	-
Ending Cash Balance	31,819	31,927	32,305	79	79	79	79
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	31,819	31,927	32,303	79	79	79	79

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: Adult Education Special Fund
 Legal Authority: Section 302A-435, HRS

Contact Name: Dan Miyamoto
 Phone: 305-9777
 Fund type (MOF): Special
 Appropriation Acct. No.: S-302-E

Intended Purpose:

To finance the adult and community education program in part through fees collected from students enrolled.

Source of Revenues:

Revenues are from the Adult Education student tuition for special interest classes and GED test fees.

Current Program Activities/Allowable Expenses:

Allowable expenses include administrative costs and all other expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,631,000	1,631,000	1,631,000	1,631,000	1,631,000	1,631,000	1,631,000
Beginning Cash Balance	730,246	720,103	728,857	572,504	601,578	613,578	425,578
Revenues	199,903	148,341	(37,369)	133,111	105,000	105,000	105,000
Expenditures	210,263	139,527	119,342	104,090	93,000	293,000	93,000
Transfers							
List each net transfer in/out; list each account number							
AJV00165 (From: T-913 / To: S-302)	168	-					
AJV00704 (From: S-322 / To: S-302)				53			
Rounding/misc adjustment	49	(60)	359	-			
Net Total Transfers	217	(60)	359	53	-	-	-
Ending Cash Balance	720,103	728,857	572,504	601,578	613,578	425,578	437,578
Encumbrances	1,901	5,196	1,372	1,362	-	-	-
Unencumbered Cash Balance	718,202	723,661	571,132	600,216	613,578	425,578	437,578

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: School Food Service
 Legal Authority: Section 302A-405, HRS

Contact Name: Albert Scales
 Phone: 733-8400
 Fund type (MOF): Special
 Appropriation Acct. No.: S-304-E

Intended Purpose:

Created in 1960, this fund supports the expense of operating public school cafeterias.

Source of Revenues:

Revenues are from the sale of lunch, breakfast, and snacks primarily to students who pay either regular or reduced prices. Meal sales to others, such as teachers, are also deposited into this fund.

Current Program Activities/Allowable Expenses:

Allowable expenses are those that support the school lunch program. The program is also supported by general and Federal funds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,710,674	39,342,703	39,656,831	40,881,817	40,881,817	40,881,817	40,881,817
Beginning Cash Balance	5,459,808	9,206,047	5,401,497	5,201,721	4,390,100	(14,509,900)	(19,409,900)
Revenues	23,748,287	23,443,649	23,640,097	18,692,752	5,000,000	19,000,000	23,500,000
Expenditures	20,014,629	27,250,523	23,839,886	19,505,554	23,900,000	23,900,000	23,900,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	12,581	2,324	13	1,181			
Net Total Transfers	12,581	2,324	13	1,181	-	-	-
Ending Cash Balance	9,206,047	5,401,497	5,201,721	4,390,100	(14,509,900)	(19,409,900)	(19,809,900)
Encumbrances	341	-	1,197	262	-	-	-
Unencumbered Cash Balance	9,205,707	5,401,497	5,200,524	4,389,838	(14,509,900)	(19,409,900)	(19,809,900)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>EDN</u>	Contact Name:	<u>Sean Arai</u>
Prog ID(s):	<u>EDN 150</u>	Phone:	<u>441-8344</u>
Name of Fund:	<u>Comprehensive Student Support Services Human Resources Stipend Program</u>	Fund type (MOF):	<u>Special</u>
Legal Authority:	<u>Section 302A-0707, HRS</u>	Appropriation Acct. No.:	<u>S-305-E</u>

Intended Purpose:

This fund was established to create a special fund into which shall be deposited moneys received as repayment from students who have breached their contractual agreements under the Felix stipend program.

Source of Revenues:

Revenues are from moneys received as repayment from students who have breached their contractual agreements under the Felix stipend program.

Current Program Activities/Allowable Expenses:

Allowable expenses are to provide tuition assistance to students for the Felix consent decree recruitment and retention program, or any successor programs, and related costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	250,000	250,000	250,000	250,000
Beginning Cash Balance	355,390	495,605	639,008	752,815	859,843	709,843	549,843
Revenues	147,282	205,147	120,725	122,489	100,000	90,000	80,000
Expenditures	7,067	61,744	6,918	15,461	250,000	250,000	250,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment		1					
Net Total Transfers		1		-	-	-	-
Ending Cash Balance	495,605	639,008	752,815	859,843	709,843	549,843	379,843
Encumbrances	90,000	50,000	50,000	165,000	-	-	-
Unencumbered Cash Balance	405,605	589,008	702,815	694,843	709,843	549,843	379,843

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Teacher Housing
 Legal Authority: Section 302A-833, HRS

Contact Name: Christian Butt
 Phone: 784-5012
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-310-E

Intended Purpose:

The fund was established to fund the activities of the DOE's Teacher Housing Program.

Source of Revenues:

Revenues are from the monthly rental collected from the teacher cottage occupants.

Current Program Activities/Allowable Expenses:

Allowable expenses are for any and all of the purposes of teachers' housing, including the planning, construction, maintenance, and operation of teachers' housing, as well as for the salaries of the necessary personnel in charge thereof.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	480,000	504,189	504,189	511,017	511,017	511,017	511,017
Beginning Cash Balance	348,847	340,367	500,613	598,246	838,148	824,148	807,148
Revenues	340,268	395,934	358,637	486,358	383,000	383,000	383,000
Expenditures	348,748	235,688	261,005	246,456	397,000	400,000	383,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment		(1)	-				
Net Total Transfers		(1)	-	-	-	-	-
Ending Cash Balance	340,367	500,613	598,246	838,148	824,148	807,148	807,148
Encumbrances	21,284	14,755	16,177	17,473	-	-	-
Unencumbered Cash Balance	319,083	485,858	582,069	820,675	824,148	807,148	807,148

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Hawaii Teachers Standard Board
 Legal Authority: Section 302A-806, HRS

Contact Name: Lynn Hammonds
 Phone: 586-2602
 Fund type (MOF) Special
 Appropriation Acct. No. S-321-E

Intended Purpose:

This fund was created in 1998 to support the Hawaii Teachers Standard Board. The board establishes standards governing teacher licensing and credentialing within the department, conducts cyclical review of standards, and suggests revisions for their improvement. ACT 161/SLH 2019 repeals the HTSB Special Fund effective 7/1/19 with any balances reverting to the Treasury.

Source of Revenues:

Revenues are primarily from teacher licensing fees.

Current Program Activities/Allowable Expenses:

Allowable expenses are to finance the Board's operational and personnel costs, and any reimbursement for members' travel expenses incurred while on official board business.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,361,169	2,337,333	2,321,746	2,369,088	2,382,128	2,382,128	2,382,128
Beginning Cash Balance	1,679,299	889,826	892,878	1,211,450	0	0	0
Revenues	475,802	1,063,539	988,986	-	-	-	-
Expenditures	1,265,334	1,060,488	670,413	55,179	-	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV00571 (From: S-321 / To: G-000)				(1,140,967)			
AJV00812 (From: G-000 / To: S-321)				7,028			
AJV01410 (From: S-321 / To: G-000)	60	1	(1)	(22,332)			
Net Total Transfers	60	1	(1)	(1,156,271)	-	-	-
Ending Cash Balance	889,826	892,878	1,211,450	0	0	0	0
Encumbrances	95,791	53,085	77,511	-	-	-	-
Unencumbered Cash Balance	794,035	839,794	1,133,939	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: Adult Education Revolving Fund
 Legal Authority: Section 302A-435, HRS

Contact Name: Dan Miyamoto
 Phone: 305-9777
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-322-E

Intended Purpose:

This fund was established in 1970 to receive fees assessed for Adult Education courses.

Source of Revenues:

Revenues are from the sale of books and supplies to Adult Education students.

Current Program Activities/Allowable Expenses:

Allowable expenses include the purchase of supplies and books for the Adult and Community Education Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	525,903	508,737	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	480,143	488,568	506,123	567,985	604,804	641,804	478,804
Revenues	129,005	137,306	97,812	80,084	80,000	80,000	80,000
Expenditures	120,580	119,752	35,950	43,210	43,000	243,000	43,000
Transfers							
List each net transfer in/out; list each account number							
AJV00704 (From: S-322 / To: S-302)				(53)			
Rounding/misc adjustment		1		(2)			
Net Total Transfers		1		(55)	-	-	-
Ending Cash Balance	488,568	506,123	567,985	604,804	641,804	478,804	515,804
Encumbrances	11,667	3,111	5,740	280	-	-	-
Unencumbered Cash Balance	476,901	503,012	562,245	604,524	641,804	478,804	515,804

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Summer School and Intersession Fund
 Legal Authority: Section 302A-1310, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Special
 Appropriation Acct. No. S-323-E

Intended Purpose:

The summer school fund was originally established in 1971 to finance summer school programs. Amended in 1996, the fund currently serves intersession programs for year-round school in addition to summer school programs.

Source of Revenues:

Revenues are from summer school and intersession program tuition.

Current Program Activities/Allowable Expenses:

Allowable expenses include summer school and intersession program support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	5,500,347	4,000,000	4,014,829	4,015,466	4,015,466	4,015,466
Beginning Cash Balance	1,588,665	1,675,760	1,626,192	1,752,839	1,518,556	1,518,556	1,518,556
Revenues	1,772,487	1,724,066	1,873,175	1,366,586	1,250,000	1,250,000	1,250,000
Expenditures	1,687,287	1,775,540	1,746,892	1,600,472	1,250,000	1,250,000	1,250,000
Transfers							
List each net transfer in/out; list each account number							
AJV00715 (From: S-348 / To: S-325)	621						
AJV02026 (From: S-325 / To: S-323)		1,146					
Rounding/misc adjustment	1,274	760	364	(397)			
Net Total Transfers	1,895	1,906	364	(397)	-	-	-
Ending Cash Balance	1,675,760	1,626,192	1,752,839	1,518,556	1,518,556	1,518,556	1,518,556
Encumbrances	55,567	87,121	89,408	7,575	-	-	-
Unencumbered Cash Balance	1,620,193	1,539,071	1,663,431	1,510,981	1,518,556	1,518,556	1,518,556

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Community Use of School Facilities
 Legal Authority: Section 302A-1148, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Special
 Appropriation Acct. No. S-325-E

Intended Purpose:

The fund was established in 1982 to collect fees and charges from those who use school buildings, facilities, grounds, and equipment for recreational and community purposes.

Source of Revenues:

Revenues are from use of school facility charges.

Current Program Activities/Allowable Expenses:

Allowable expenses include payment of custodial services, replacement of custodial and janitorial supplies, and the repair, maintenance, and replacement of equipment used.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	4,916,405	5,828,506	6,399,380	6,613,096	6,922,233	6,922,233	6,922,233
Revenues	2,522,876	2,370,007	2,113,928	2,070,050	1,500,000	1,500,000	1,500,000
Expenditures	1,611,709	1,801,351	1,900,212	1,761,113	1,500,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out; list each account number							
AJV02026 (From: S-325 / To: S-323)		(1,146)					
Rounding/misc adjustment	934	3,364	-	200			
Net Total Transfers	934	2,218	-	200	-	-	-
Ending Cash Balance	5,828,506	6,399,380	6,613,096	6,922,233	6,922,233	6,922,233	6,922,233
Encumbrances	312,494	416,515	396,410	331,808	-	-	-
Unencumbered Cash Balance	5,516,012	5,982,865	6,216,686	6,590,425	6,922,233	6,922,233	6,922,233

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: School Bus Fare Revolving Fund
 Legal Authority: Section 302A-407.5, HRS

Contact Name: James Kauhi
 Phone: 586-0174
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-326-E

Intended Purpose:

This fund was created in FY2002-03 to deposit school bus fares collected from students, parents, or guardians for state-provided school busing services.

Source of Revenues:

Revenues are from bus fares received from students or student's parents/guardians.

Current Program Activities/Allowable Expenses:

Allowable expenses include bus transportation for students.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,008,886	3,007,033	3,000,000	3,020,664	3,020,664	3,020,664	3,020,664
Beginning Cash Balance	2,182,743	2,173,461	2,629,577	3,018,029	3,843,727	2,343,727	2,343,727
Revenues	2,572,697	2,336,110	2,718,809	2,412,124	1,000,000	2,500,000	2,500,000
Expenditures	2,581,979	1,879,993	2,330,358	1,586,424	2,500,000	2,500,000	2,500,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment		(1)	1	(2)			
Net Total Transfers	-	(1)	1	(2)	-	-	-
Ending Cash Balance	2,173,461	2,629,577	3,018,029	3,843,727	2,343,727	2,343,727	2,343,727
Encumbrances	780,575	982,293	538,103	73,462	-	-	-
Unencumbered Cash Balance	1,392,886	1,647,284	2,479,926	3,770,265	2,343,727	2,343,727	2,343,727

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Hawaii School-Level Minor R&M Spl Fnd
 Legal Authority: Section 302A-1504.5, HRS

Contact Name: Riki Fujitani
 Phone: 586-3452
 Fund type (MOF): Special
 Appropriation Acct. No.: S-327-E

Intended Purpose:

This fund was established in 2001 to deposit funds received for school-level minor repairs and maintenance.

Source of Revenues:

Revenues are from funds collected pursuant to section 235-102.5(b), HRS and from grants and donations.

Current Program Activities/Allowable Expenses:

Allowable expenses include school-level minor repairs and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	36,027	5,743	76,380	7,729	123,322	174	1,278
Revenues	75,997	77,270	76,427	123,941	16,852	81,104	81,104
Expenditures	106,281	6,633	145,078	8,348	140,000	80,000	80,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers				-	-	-	-
Ending Cash Balance	5,743	76,380	7,729	123,322	174	1,278	2,382
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	5,743	76,380	7,729	123,322	174	1,278	2,382

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: School Special Fee Revolving Account (Reimb for Lost Textbook & Equip)
 Legal Authority: Section 302A-1130.5-6, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Special
 Appropriation Acct. No. S-330-E

Intended Purpose:

This fund was established to deposit fees collected to replace damaged or lost books and supplies.

Source of Revenues:

Revenues are from fees collected from students who negligently break, damage, lose, or destroy equipment, textbooks, library books, and supplies.

Current Program Activities/Allowable Expenses:

Allowable expenses include the replacement of textbooks, library books, and supplies that are either broken, damaged, lost, or destroyed.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000
Beginning Cash Balance	1,436,674	1,492,365	1,504,876	1,505,024	1,575,563	1,575,563	1,575,563
Revenues	167,137	150,395	143,190	144,221	150,000	150,000	150,000
Expenditures	111,839	138,144	143,265	73,865	150,000	150,000	150,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	393	260	223	183			
Net Total Transfers	393	260	223	183	-	-	-
Ending Cash Balance	1,492,365	1,504,876	1,505,024	1,575,563	1,575,563	1,575,563	1,575,563
Encumbrances	15,520	55,276	27,402	7,144	-	-	-
Unencumbered Cash Balance	1,476,845	1,449,600	1,477,622	1,568,419	1,575,563	1,575,563	1,575,563

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): Specific Appropriations Act FY18 & 19; FY20 and beyond EDN 500
 Name of Fund: Private Trade, Vocational & Technical Licensing (PTVT) Special Fund
 Legal Authority: Act 164, SLH 2017

Contact Name: Christy Sato
 Phone: 305-9762
 Fund type (MOF) Special
 Appropriation Acct. No. S-333-E

Intended Purpose:

For administrative costs associated with licensure of private trade, vocational, or technical schools, including establishing one permanent full-time equivalent (1.0 FTE) position within the Department of Education.

Source of Revenues:

All revenues and fees collected by the Department pursuant to section 302A-425 and appropriations from the general fund of the State.

Current Program Activities/Allowable Expenses:

Moneys in the private trade, vocational, and technical school licensure special fund shall be used to fund activities related to the licensure requirements established under section 302A 425, including funding for permanent staff positions and administrative and operational costs. Applications for licensure usually done in "odd years".

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		100,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance		(0)	55,450	50,539	45,858	14,858	17,858
Revenues		55,450	6,000	37,828	2,000	36,000	2,000
Expenditures	-	-	10,911	42,509	33,000	33,000	33,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers				-	-	-	-
Ending Cash Balance	(0)	55,450	50,539	45,858	14,858	17,858	(13,142)
Encumbrances	-	-	2,692	-	-	-	-
Unencumbered Cash Balance	(0)	55,450	47,847	45,858	14,858	17,858	(13,142)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): Not applicable - specific appropriation bill
 Name of Fund: Families for R.E.A.L.
 Legal Authority: Act 191, SLH 2010 / HRS 328L-Emer & Budget Reserve Fund

Contact Name: Budget Branch
 Phone: 586-3450
 Fund type (MOF): Special
 Appropriation Acct. No.: S-337-E

Intended Purpose:

In Session 2010, via Act 191, SLH 2010, the Legislature provided \$300,000 or so much thereof as may be necessary for FY2010-11, from the emergency and budget reserve fund (EBRF), for the Families for R.E.A.L program. NOTE: S-337 closed in FY 2017. DOE returned the remaining cash to the Emergency and Budget Reserve Fund on 9/13/2016 via Journal Voucher (Controller No. JS1045, dated 9/13/2016).

Source of Revenues:

The appropriation of EBRF special funds.

Current Program Activities/Allowable Expenses:

Payment to the Families for R.E.A.L. program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	3,790	-	-	-	-	-	-
Revenues	(3,790)	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers				-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Education Design and Construction Project Assessment Fund
 Legal Authority: Section 302A-1508, HRS

Contact Name: Derek Shigano
 Phone: 784-5047
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-339-E

Intended Purpose:

Pursuant to Act 51, SLH 2004 this fund was transferred from the Department of Accounting and General Services to the DOE effective July 1, 2005. The fund was established to defray the costs of carrying out construction projects.

Source of Revenues:

Revenues are from assessed fees based on the Superintendent's evaluation of capital improvement, repair and maintenance, and alteration costs.

Current Program Activities/Allowable Expenses:

Allowable expenses are for carrying out construction projects managed by the department.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,970,000	3,000,000	3,000,000	4,500,000	4,500,000	4,500,000	4,500,000
Beginning Cash Balance	3,045,459	3,156,374	2,591,729	2,789,322	5,409,303	5,409,303	5,409,303
Revenues	2,201,942	1,873,690	2,900,876	4,697,421	4,500,000	4,500,000	4,500,000
Expenditures	2,091,025	2,438,336	2,703,283	2,077,441	4,500,000	4,500,000	4,500,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(2)	2		1			
Net Total Transfers	(2)	2	-	1	-	-	-
Ending Cash Balance	3,156,374	2,591,729	2,789,322	5,409,303	5,409,303	5,409,303	5,409,303
Encumbrances	761,854	1,025,474	1,249,356	1,879,325	-	-	-
Unencumbered Cash Balance	2,394,519	1,566,255	1,539,966	3,529,978	5,409,303	5,409,303	5,409,303

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: State Educational Facilities Improvement Special Fund
 Legal Authority: Section 36-32, HRS

Contact Name: Tracy Okumura
 Phone: 784-5000
 Fund type (MOF): Special
 Appropriation Acct. No.: S-340-E

Intended Purpose:

The State Educational Facilities Improvement (SEFI) Special Fund is used solely to plan, design, acquire lands for, and to construct public school facilities and to provide equipment and technology infrastructure to improve public schools and other facilities under the jurisdiction of the DOE, except public libraries. In addition, activities of the DOE intended to eliminate the gap between the facilities needs of schools and available resources shall be eligible for funding from the special fund.

Source of Revenues:

Revenues are from the deposit of state funds, either general funds or general obligation bond funds.

Current Program Activities/Allowable Expenses:

The State Educational Facilities Improvement (SEFI) Special Fund is used solely to plan, design, acquire lands for, and to construct public school facilities and to provide equipment and technology infrastructure to improve public schools and other facilities under the jurisdiction of the DOE, except public libraries.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

The \$34.4M balance (FY 18) is required to cover the unliquidated encumbrances for ongoing projects that were funded through SEFI. We do not anticipate SEFI revenues in the future and the cash balance/unliquidated encumbrances should be exhausted by the end of FY 23.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	133,440,875	87,317,295	34,433,334	21,207,870	17,210,776	17,210,776	7,210,776
Revenues	-	(26,914,870)	(2,309,898)	-	-	-	-
Expenditures	-	-	-	-	-	10,000,000	7,210,776
Transfers							
List each net transfer in/out; list each account number							
AJV00210							
AJV00408							
AJV00483							
AJV00524							
SEFI - Cash Out							
AJV00665	2,653						
AJV01756	4,784						
AJV01554	(2,000)						
SEFI - Cash Out	(46,129,016)						
SEFI - Cash Out	-	(25,969,091)					
SEFI - Cash Out			(10,915,566)				
SEFI - Cash Out				(3,997,094)			
Rounding/misc adjustment	(1)	-	-				
Net Total Transfers	(46,123,580)	(25,969,091)	(10,915,566)	(3,997,094)	-	-	-
Ending Cash Balance	87,317,295	34,433,334	21,207,870	17,210,776	17,210,776	7,210,776	0
Encumbrances	-	-			17,210,776	7,210,776	
Unencumbered Cash Balance	87,317,295	34,433,334	21,207,870	17,210,776	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Recovery of Federal Reimbursement
 Legal Authority: Section 302A-1406, HRS

Contact Name: Jennifer Ryan
 Phone: 305-9750
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-345-E

Intended Purpose:

The fund was established to enhance the health and welfare of Hawaii's public school children by creating a revolving fund for the collection and disbursement of generated revenue to support the administration and operations of the DOE revenue maximization program.

Source of Revenues:

Revenues are from Federal reimbursements received by the department relating to the Medicaid Program.

Current Program Activities/Allowable Expenses:

Moneys from the revolving fund shall be expended by the department for Medicaid-eligible services provided by the department and administrative costs related to the Department of Education federal revenue maximization program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,506,485	3,505,104	3,500,000	3,534,956	3,536,708	3,536,708	3,536,708
Beginning Cash Balance	886,674	1,107,529	1,150,578	1,380,625	1,407,405	1,657,405	1,657,405
Revenues	596,211	727,559	346,393	877,398	1,750,000	2,500,000	3,250,000
Expenditures	375,355	684,509	116,346	850,618	1,500,000	2,500,000	3,200,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment		(1)					
Net Total Transfers		(1)		-	-	-	-
Ending Cash Balance	1,107,529	1,150,578	1,380,625	1,407,405	1,657,405	1,657,405	1,707,405
Encumbrances	3,842	-	2,510	51,922	-	-	-
Unencumbered Cash Balance	1,103,688	1,150,578	1,378,115	1,355,483	1,657,405	1,657,405	1,707,405

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: After-School Plus Program Revolving Fund
 Legal Authority: Section 302A-1149.5, HRS

Contact Name: Jenna Pak
 Phone: 305-0692
 Fund type (MOF): Revolving / Interdepartmental Xfer
 Appropriation Acct. No.: S-346-E

Intended Purpose:

The fund was established in 2004 to deposit fees collected to support the after-school program.

Source of Revenues:

Revenues are from fees for after-school program participants and from DHS reimbursements.

Current Program Activities/Allowable Expenses:

Allowable expenses include operating the after-school program including personnel and operating costs with Federal grant reporting requirements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Due to distance learning and transition to in-person schooling during Quarter 1 and 2, FY 21 Revenues and Expenditures estimates are significantly different from past years.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,200,000	11,200,000	11,200,000	11,200,000	11,200,000	11,200,000	11,200,000
Beginning Cash Balance	5,976,920	6,702,839	7,627,439	8,686,179	8,213,773	7,669,258	8,169,258
Revenues	8,553,966	9,010,594	10,001,208	7,365,622	3,682,811	8,500,000	9,000,000
Expenditures	7,830,853	8,085,993	8,942,469	7,838,027	4,227,326	8,000,000	8,500,000
Transfers							
List each net transfer in/out; list each account number							
AJV00135 (From: S-355 / To: S-346)	2,807	-					
Rounding/misc adjustment		(1)	1	(1)			
Net Total Transfers	2,807	(1)	1	(1)	-	-	-
Ending Cash Balance	6,702,839	7,627,439	8,686,179	8,213,773	7,669,258	8,169,258	8,669,258
Encumbrances	468,515	1,557,177	2,311,040	1,836,473	-	-	-
Unencumbered Cash Balance	6,234,324	6,070,261	6,375,139	6,377,300	7,669,258	8,169,258	8,669,258

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Federal Grants Search, Development, and Application Revolving Fund
 Legal Authority: Section 302A-1405, HRS

Contact Name: Wanelle Kaneshiro
 Phone: 586-3800
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-347-E

Intended Purpose:

This fund was established in FY 2000-01 to deposit the recovery of administrative or central service costs incurred to carry out Federal grant awards through an indirect cost assessment authorized by the Federal government.

Source of Revenues:

Revenues are from indirect costs assessed on discretionary competitive Federal grants awarded to the department.

Current Program Activities/Allowable Expenses:

The department may expend funds in the federal grants revolving fund to search for discretionary grants, develop program applications to secure additional revenues for the department, monitor grant execution, ensure compliance with grant requirements, and audit grant expenditures. Moneys in the revolving fund may be expended for consultant services and operational expenses, including the creation and hiring of temporary staff.

Purpose of Proposed Ceiling Adjustment (if applicable):

CB revolving fund ceiling increases.

Variances:

Financial Data	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,385,967	2,385,864	2,379,491	2,402,454	2,413,937	2,436,900	2,448,383
Beginning Cash Balance	1,571,916	591,538	557,929	679,760	825,241	717,980	610,719
Revenues	16,124	2,931	7,129	20,766	7,739	7,739	7,739
Expenditures	998,119	108,492	70,453	41,925	115,000	115,000	115,000
Transfers							
List each net transfer in/out; list each account number							
AJV00184 (Federal indirect cost assessments)	377						
AJV01729 (Federal indirect cost assessments)	1,243						
AJV - Various							
AJV00228 (Federal indirect cost assessments)		978					
AJV00303 (Federal indirect cost assessments)		69,375					
AJV01276 (Federal indirect cost assessments)		978					
AJV01559 (Federal indirect cost assessments)		621					
AJV00161 (Federal indirect cost assessments)			570				
AJV01251 (Federal indirect cost assessments)			105,934				
AJV01584 (Federal indirect cost assessments)			78,650				
AJV00028 (Federal indirect cost assessments)				5,764			
AJV00381 (Federal indirect cost assessments)				61,711			
AJV01373 (Federal indirect cost assessments)				94,546			
AJV01376 (Federal indirect cost assessments)				4,619			
Rounding/misc adjustment	(3)	-	1				
Net Total Transfers	1,617	71,952	185,155	166,640	-	-	-
Ending Cash Balance	591,538	557,929	679,760	825,241	717,980	610,719	503,458
Encumbrances	10,087	39,321	50,000	93,075	-	-	-
Unencumbered Cash Balance	581,451	518,608	629,760	732,166	717,980	610,719	503,458

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Education Research and Development Revolving Fund
 Legal Authority: Section 302A-305, HRS ~~eliminated~~ via Act 2, SLH 2017

Contact Name: Budget Branch
 Phone: 784-6022
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-348-E

Intended Purpose:

This fund was established in 2001 to deposit revenues from the commercial exploitation of products and services developed by the department.

Source of Revenues:

Revenues are from the sale of products and services developed by the department. For example, the fund has received royalties from the marketing and sublicensing of the department's Integrated Special Education System.

Current Program Activities/Allowable Expenses:

Allowable expenses include support for research and development activities within the department that will result in innovative curriculum, instructional materials, and information systems that can be marketed and sold to consumers outside the system.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	91,363	0	0	0	0	0	0
Revenues	269	-	-	-	-	-	-
Expenditures	91,011	-	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV00715 (From: S-348 / To: S-325)	(621)	-	-	-	-	-	-
Rounding/misc adjustment							
Net Total Transfers	(621)	-	-	-	-	-	-
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	0	0	-	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Driver Education Fund
 Legal Authority: Section 431:10C-115 and 431:10G-107, HRS

Contact Name: Jan Meeker-Sevilla
 Phone: 305-9773
 Fund type (MOF) Interdepartmental Transfer
 Appropriation Acct. No. S-350-E

Intended Purpose:

This fund was never created by statute, but was established in 1987 by the department to deposit fees collected by the insurance commissioner from motor vehicle insurers under Section 431:10C-115, HRS.

Source of Revenues:

Revenues are primarily received from the Department of Commerce and Consumer Affairs in accordance with Section 431:10C-115 and 431:10G-107, HRS.

Current Program Activities/Allowable Expenses:

Allowable expenses support the drivers education program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,995,605	3,995,605	3,995,605	3,995,605	3,995,605	3,995,605	3,995,605
Beginning Cash Balance	3,664,861	3,872,924	4,078,925	4,670,257	5,249,570	5,049,570	4,749,570
Revenues	2,054,110	2,381,740	2,402,250	2,376,514	2,300,000	2,300,000	2,300,000
Expenditures	1,846,047	2,175,739	1,810,917	1,797,201	2,500,000	2,600,000	2,600,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment		1	(1)				
Net Total Transfers		1	(1)	-	-	-	-
Ending Cash Balance	3,872,924	4,078,925	4,670,257	5,249,570	5,049,570	4,749,570	4,449,570
Encumbrances	230,740	257,281	497,847	281,587	-	-	-
Unencumbered Cash Balance	3,642,184	3,821,644	4,172,410	4,967,983	5,049,570	4,749,570	4,449,570

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Arts in Public Places
 Legal Authority: Section 302A-420, HRS

Contact Name: Una Chan
 Phone: 305-9709
 Fund type (MOF) Interdepartmental Transfer
 Appropriation Acct. No. S-353-E

Intended Purpose:

This fund was established to deposit funds received from the Works of Art Special Fund to implement an integrated visual arts in education program.

Source of Revenues:

Revenues are from the Works of Art Special Fund. The State Foundation on Culture and the Arts has ended its contract with the DOE and will not produce a supplemental contract in the coming fiscal years. See LEG worksheets (Act 5/2019 Seq. #60-001 EDN 200) which eliminated expenditure ceiling.

Current Program Activities/Allowable Expenses:

Allowable expenses include establishing and implementing an integrated visual arts in education program as joint collaboration between the DOE, Artist-in-the-School Program, and State Foundation on Culture and the Arts.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	270,031	270,031	270,031	-	-	-	-
Beginning Cash Balance	18,688	19,155	36,621	9,572	10,224	10,224	10,224
Revenues	215,368	238,693	(11,775)	652	-	-	-
Expenditures	214,901	221,227	15,274	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers			-	-	-	-	-
Ending Cash Balance	19,155	36,621	9,572	10,224	10,224	10,224	10,224
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	19,155	36,621	9,572	10,224	10,224	10,224	10,224

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Workers Compensation
 Legal Authority: Appropriated Annually via Executive Budget

Contact Name: Budget Execution
 Phone: 784-6033
 Fund type (MOF) Interdepartmental Transfer
 Appropriation Acct. No. S-360-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,700,000	1,700,000	1,700,000	1,700,000	1,700,000	1,700,000
Beginning Cash Balance	476,025	1,002,149	195,664	732,309	1,081,026	1,081,026	1,081,026
Revenues	1,445,673	1,024,558	1,354,862	1,367,068	1,350,000	1,350,000	1,350,000
Expenditures	919,549	1,831,043	818,218	1,018,351	1,350,000	1,350,000	1,350,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment			1				
Net Total Transfers			1	-	-	-	-
Ending Cash Balance	1,002,149	195,664	732,309	1,081,026	1,081,026	1,081,026	1,081,026
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	1,002,149	195,664	732,309	1,081,026	1,081,026	1,081,026	1,081,026

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Unemployment Insurance - Interdepartmental Fund
 Legal Authority: Appropriated Annually via Executive Budget

Contact Name: Budget Execution
 Phone: 784-6033
 Fund type (MOF) Interdepartmental Transfer
 Appropriation Acct. No. S-361-E

Intended Purpose:

To administer the Unemployment Insurance (UI) Benefit Program and pay unemployment benefit to eligible employees as determined by Dept. of Labor and Industrial Relations (DLIR).

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500,000	1,800,000	1,800,000	1,800,000	1,800,000	1,800,000	1,800,000
Beginning Cash Balance	1,046,604	1,114,655	1,110,914	1,087,677	1,043,922	1,043,922	1,043,922
Revenues	173,531	96,787	63,892	42,259	100,000	100,000	100,000
Expenditures	105,479	100,528	87,129	86,014	100,000	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers			-	-	-	-	-
Ending Cash Balance	1,114,655	1,110,914	1,087,677	1,043,922	1,043,922	1,043,922	1,043,922
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	1,114,655	1,110,914	1,087,677	1,043,922	1,043,922	1,043,922	1,043,922

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): various
 Name of Fund: CARES ESSER
 Legal Authority: CARES Act

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-801

Intended Purpose:

The Coronavirus Aid, Relief and Economic Security (CARES) Act authorized funding to support public education and provide assistance to state and local governments for expenses incurred due to the Coronavirus Disease 2019 (COVID-19) public health emergency.

Source of Revenues:

The CARES Act provided federal grants to the Department via the Elementary and Secondary School Emergency Relief Fund (ESSER).

Current Program Activities/Allowable Expenses:

Support for activities/expenditures that include those relating to: COVID-19 preparedness and response; educational technology for students; needs of disadvantaged and at-risk youth; and continuity of educational services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance					(109,175)	-	-
Revenues				190,000	20,221,388	21,950,000	1,023,841
Expenditures				299,175	20,112,213	21,950,000	1,023,841
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance				(109,175)	-	-	-
Encumbrances				14,813,061	20,950,000	1,023,841	-
Unencumbered Cash Balance				(14,922,236)	(20,950,000)	(1,023,841)	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Federal Funds - EDN 100
 Legal Authority: Elementary and Secondary Education Act and Perkins Career and Technical Education Act

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Federal (P)
 Appropriation Acct. No. S-810-E

Intended Purpose:

For allowable expenditures for lower education.

Source of Revenues:

US Department of Defense and US Department of Education

Current Program Activities/Allowable Expenses:

Support for lower education including Advanced Placement Fee Payment, Education of Native Hawaiians, and Vocational Education Programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,534,000	8,989,000	8,989,000	9,249,999	9,249,999	9,249,999	9,249,999
Beginning Cash Balance	2,686,629	2,672,744	3,329,158	4,061,892	3,907,108	207,108	207,108
Revenues	5,825,311	7,886,850	7,036,901	10,023,192	7,000,000	7,000,000	7,000,000
Expenditures	5,839,196	7,230,437	6,304,167	10,177,976	10,700,000	7,000,000	7,000,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	2,672,744	3,329,158	4,061,892	3,907,108	207,108	207,108	207,108
Encumbrances	2,247,257	858,342	1,585,421	2,297,469	-	-	-
Unencumbered Cash Balance	425,487	2,470,817	2,476,471	1,609,639	207,108	207,108	207,108

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Federal Funds - EDN 150
 Legal Authority: Individuals with Disabilities Education Act

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Federal (P)
 Appropriation Acct. No.: S-815-E

Intended Purpose:

For allowable expenditures for lower education special education services.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

Support for special education services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Negative cash balances may result due to the timing of the grant drawdown to pay encumbrances.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	(33,547)	(161,857)	(175,999)	(313,580)	(92,106)	407,894	407,894
Revenues	1,354,175	1,760,048	2,285,918	1,503,150	2,500,000	2,000,000	2,000,000
Expenditures	1,482,485	1,774,192	2,423,499	1,281,676	2,000,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment		1					
Net Total Transfers	-	1	-	-	-	-	-
Ending Cash Balance	(161,857)	(175,999)	(313,580)	(92,106)	407,894	407,894	407,894
Encumbrances	224,091	336,433	266,560	189,832	-	-	-
Unencumbered Cash Balance	(385,948)	(512,432)	(580,140)	(281,938)	407,894	407,894	407,894

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Federal Funds - EDN 200
 Legal Authority: National Assessment of Education Progress (NAEP)

Contact Name: Brian Reiter/Dewey Gottlieb
 Phone: 733-4100
 Fund type (MOF) Federal (P)
 Appropriation Acct. No. S-820-E

Intended Purpose:

To fund the NAEP coordinator and related expenses.

Source of Revenues:

US Department of Education.

Current Program Activities/Allowable Expenses:

NAEP Coordinator and associated costs including attendance at mandatory training sessions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	273,794	273,794	273,794	273,794	273,794	273,794
Beginning Cash Balance	162,905	179,641	136,865	131,708	190,752	190,752	190,752
Revenues	226,581	113,769	308,753	618,675	210,000	215,000	231,000
Expenditures	209,844	156,545	313,910	559,631	210,000	215,000	231,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	179,641	136,865	131,708	190,752	190,752	190,752	190,752
Encumbrances	-	23,318	84,983	(32,971)	-	-	-
Unencumbered Cash Balance	179,641	113,547	46,725	223,723	190,752	190,752	190,752

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 300
 Name of Fund: Federal Funds - EDN 300
 Legal Authority: P.L. 100-297 National Cooperative Education Statistics

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Federal (P)
 Appropriation Acct. No. S-830-E

Intended Purpose:

To contribute to the goal of development comparable, uniform, and timely education statistics across states and the nation as a whole.

Source of Revenues:

US Department of Education - National Center for Education Statistics.

Current Program Activities/Allowable Expenses:

Activities that will enable SEA to designate representatives to the Cooperative System.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Negative cash balances may result due to the timing of the grant drawdown to pay encumbrances.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,390,197	3,338,323	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	69,012	(1,391,472)	9,038	(96,111)	(36,116)	(36,116)	(36,116)
Revenues	1,034,000	2,906,000	1,821,848	5,683,181	30,000	30,000	30,000
Expenditures	2,494,484	1,505,490	1,926,997	5,623,186	30,000	30,000	30,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	(1,391,472)	9,038	(96,111)	(36,116)	(36,116)	(36,116)	(36,116)
Encumbrances	382,559	606,309	3,726,528	537,062	-	-	-
Unencumbered Cash Balance	(1,774,032)	(597,272)	(3,822,639)	(573,178)	(36,116)	(36,116)	(36,116)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: OHA Ceded Land Proceeds
 Legal Authority: Executive Order No. 03-03

Contact Name: Trisha Kaneshiro
 Phone: 586-2861
 Fund type (MOF): Special
 Appropriation Acct. No.: T-901-E

Intended Purpose:

This fund temporarily holds assessments made on the Department's ceded lands, which are remitted to the Office of Hawaiian Affairs (OHA) on a quarterly basis.

Source of Revenues:

Revenues are from ceded land proceeds.

Current Program Activities/Allowable Expenses:

Funds are remitted to the Office of Hawaiian Affairs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	83,901	82,858	85,603	80,378	87,141	87,141	87,141
Revenues	120,205	116,215	118,564	97,670	45,000	45,000	45,000
Expenditures	121,247	113,470	123,789	90,907	45,000	45,000	45,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers			-	-	-	-	-
Ending Cash Balance	82,858	85,603	80,378	87,141	87,141	87,141	87,141
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	82,858	85,603	80,378	87,141	87,141	87,141	87,141

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Donations - Operating
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-902-E

Intended Purpose:

This fund was established in 1961 to account for donations made to schools for specific purposes.

Source of Revenues:

Revenues are from funds donated to schools for specific purposes.

Current Program Activities/Allowable Expenses:

Allowable expenses are those specified by the donations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,410,000	5,060,000	5,060,000	5,060,000	5,060,000	5,060,000	5,060,000
Beginning Cash Balance	11,478,157	14,342,919	15,923,773	17,750,348	2,151,697	2,151,697	2,151,697
Revenues	4,264,891	2,683,026	2,750,785	1,133,872	2,000,000	2,000,000	2,000,000
Expenditures	1,402,230	1,099,397	924,210	942,536	2,000,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV01295 (From: T-913 / To: S-902)	1,500						
AJV01411 (From: T-902 / To: G000)		(5,107)					
AJV02026 (From: T-913 / To: T-902)		1,055					
AJV00566 (From: T-902 / To: T-903)				(8,654,825)			
AJV00563 (From: T-902 / To: T-903)				(6,592,869)			
AJV00609 (From: T-902 / To: T-903)				(542,294)			
Rounding/misc adjustment	601	1,277		1			
Net Total Transfers	2,101	(2,775)	-	(15,789,987)	-	-	-
Ending Cash Balance	14,342,919	15,923,773	17,750,348	2,151,697	2,151,697	2,151,697	2,151,697
Encumbrances	171,287	70,052	52,306	26,514	-	-	-
Unencumbered Cash Balance	14,171,633	15,853,721	17,698,042	2,125,183	2,151,697	2,151,697	2,151,697

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Donations - Facilities
 Legal Authority: Section 302A-1122, HRS

Contact Name: Tracy Okumura
 Phone: 784-5000
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-903-E

Intended Purpose:

This fund was established in 1961 to account for donations made to schools for specific purposes.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Kauai HS Foundation Building T Tech Center.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable. Trust fund ceiling transferred in from EDN100.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	-	48,784	57,822	9,120	18,704,723	18,704,723	18,704,723
Revenues	48,784	9,038	82	2,905,616	-	-	-
Expenditures	-	-	48,784	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV00566 (From: T-902 / To: T-903)				8,654,825			
AJV00563 (From: T-902 / To: T-903)				6,592,869			
AJV00609 (From: T-902 / To: T-903)				542,294			
Rounding/misc adjustment				(1)			
Net Total Transfers			-	15,789,987	-	-	-
Ending Cash Balance	48,784	57,822	9,120	18,704,723	18,704,723	18,704,723	18,704,723
Encumbrances	48,784	57,822	-	-	-	-	-
Unencumbered Cash Balance	-	-	9,120	18,704,723	18,704,723	18,704,723	18,704,723

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Foundations & Other Grants - Operating
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Trust
 Appropriation Acct. No. T-913-E

Intended Purpose:

This fund was established in 1961 to account for grants received from foundations, other non-profit organizations, and other state agencies for various projects.

Source of Revenues:

Revenues are from grants received from foundations and other non-profit organizations and agencies for various programs and projects.

Current Program Activities/Allowable Expenses:

Allowable expenses are those specified by the grants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	8,645,779	7,487,177	7,223,862	11,808,515	8,257,561	7,257,561	6,257,561
Revenues	3,989,796	2,649,903	11,580,220	3,841,929	4,000,000	4,000,000	4,000,000
Expenditures	5,092,293	2,912,217	6,997,942	7,392,864	5,000,000	5,000,000	5,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00165 (From: T-913 / To: S-302)	(168)						
AJV00793 (From: T-913 / To: T-936)	(28)						
AJV01295 (From: T-913 / To: S-902)	(1,500)						
AJV02026 (From: T-913 / To: T-902)		(1,055)					
AJV00704 (From: T-913 / To: T-936)				(19)			
Rounding/misc adjustment	(54,410)	55	2,375				
Net Total Transfers	(56,106)	(1,000)	2,375	(19)	-	-	-
Ending Cash Balance	7,487,177	7,223,862	11,808,515	8,257,561	7,257,561	6,257,561	5,257,561
Encumbrances	1,159,484	1,247,553	5,072,913	(4,211,847)	5,100,000	5,100,000	5,100,000
Unencumbered Cash Balance	6,327,693	5,976,309	6,735,602	12,469,408	2,157,561	1,157,561	157,561

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Foundations & Other Grants - Facilities
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No. T-914-E

Intended Purpose:

This fund was established in 1961 to account for grants received from foundations, other non-profit organizations, and other state agencies for various projects.

Source of Revenues:

Revenues are from grants received from foundations and other non-profit organizations and agencies for various programs and projects.

Current Program Activities/Allowable Expenses:

Allowable expenses are those specified by the grants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers			-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Athletic Trust Fund
 Legal Authority: Section 302A-1122, HRS

Contact Name: Raymond Fujino
 Phone: 421-4394
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-915-E

Intended Purpose:

This fund was established 30 years ago to account for funds collected from athletic event-related activities.

Source of Revenues:

Revenues are from athletic event admission fees, league shares, activity book sales, and donations.

Current Program Activities/Allowable Expenses:

Allowable expenses are for school athletic programs. Funds are expended for supplies, equipment, travel, and other sports program expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,350,000	1,215,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	1,190,794	1,252,014	1,383,086	1,576,022	1,746,292	1,416,292	1,436,292
Revenues	837,127	918,029	887,012	758,477	450,000	800,000	800,000
Expenditures	775,908	786,957	694,075	588,207	780,000	780,000	780,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment			(1)				
Net Total Transfers			(1)	-	-	-	-
Ending Cash Balance	1,252,014	1,383,086	1,576,022	1,746,292	1,416,292	1,436,292	1,456,292
Encumbrances	71,907	93,466	70,791	20,970	-	-	-
Unencumbered Cash Balance	1,180,107	1,289,621	1,505,231	1,725,322	1,416,292	1,436,292	1,456,292

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: OLELO - Educational Program Public TV
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Trust
 Appropriation Acct. No. T-916-E

Intended Purpose:

This fund was established in 1992 to account for funds received under contract with Olelo: The Corporation for Community Television.

Source of Revenues:

Revenues are from funds received under contract with Olelo.

Current Program Activities/Allowable Expenses:

Allowable expenses are for educational programs for the community. Expenses are for (1) live and interactive instruction, (2) supplementary classroom instruction, (3) instructional programs for home viewers, (4) student exhibits and school productions, and (5) information dissemination and networking.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000
Beginning Cash Balance	1,126,316	1,387,353	1,695,041	1,994,689	2,386,968	2,386,968	2,386,968
Revenues	761,255	795,716	828,129	888,985	800,000	800,000	800,000
Expenditures	500,216	488,028	528,481	496,706	800,000	800,000	800,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(2)	-					
Net Total Transfers	(2)	-	-	-	-	-	-
Ending Cash Balance	1,387,353	1,695,041	1,994,689	2,386,968	2,386,968	2,386,968	2,386,968
Encumbrances	33,324	38,480	2,361	31,369	-	-	-
Unencumbered Cash Balance	1,354,028	1,656,561	1,992,328	2,355,599	2,386,968	2,386,968	2,386,968

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN500
 Name of Fund: Adult Education Enrollment/Testing Fund
 Legal Authority: Act 164, SLH 2011 (Executive Biennium Budget)

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-921-E

Intended Purpose:

Adult community education.

Source of Revenues:

Fees

Current Program Activities/Allowable Expenses:

December 2016 Legislative Auditor Report recommended this fund be closed. Elimination of the EDN500 trust fund ceiling would achieve this.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

None

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	2,260,000	2,260,000	2,260,000	2,260,000	2,260,000	2,260,000
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Office of Hawaiian Affairs
 Legal Authority: Section 302A-1122, HRS

Contact Name: Dawn Kauai Sang
 Phone: 784-6073
 Fund type (MOF): Trust
 Appropriation Acct. No. T-936-E

Intended Purpose:

This fund was established in 1991 to account for grants received from the Office of Hawaiian Affairs (OHA) for tutorial and other educational projects at various schools.

Source of Revenues:

Revenues are from OHA.

Current Program Activities/Allowable Expenses:

Allowable expenses are those spent for students of Hawaiian ancestry. The funds support tutorial and other educational programs at various schools focused on improving and uplifting educational conditions for students of Hawaiian ancestry.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	350,000	350,000	350,000	350,000
Beginning Cash Balance	402,921	390,811	377,307	339,681	328,927	293,927	258,927
Revenues	-	-	-	11,069	-	-	-
Expenditures	12,137	13,505	37,625	21,842	35,000	35,000	35,000
Transfers							
List each net transfer in/out; list each account number							
AJV00793 (From: T-916 / To: T-936)	28	-					
AJV00704 (From: T-913 / To: T-936)				19			
Rounding/misc adjustment		1	(1)				
Net Total Transfers	28	1	(1)	19	-	-	-
Ending Cash Balance	390,811	377,307	339,681	328,927	293,927	258,927	223,927
Encumbrances	1,403	(1,003)	(1,804)	792	-	-	-
Unencumbered Cash Balance	389,408	378,310	341,485	328,135	293,927	258,927	223,927

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Alu Like Projects
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution
 Phone: 784-6031
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-938-E

Intended Purpose:

This fund was established in 1992 to account for moneys received through a memorandum of agreement with Alu Like, Inc.'s Native Hawaiian Vocational Education Program.

Source of Revenues:

Revenues are from funds received under contract with Alu Like.

Current Program Activities/Allowable Expenses:

Allowable expenses are for students of Hawaiian ancestry who are participating in vocational educational programs. Educational programs provide career education counseling for students, staff development programs, and curriculum development.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Note: Program will continue under a different appropriation.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	330,000	280,000	280,000	280,000	280,000	280,000	280,000
Beginning Cash Balance	55,849	60,286	41,086	31,847	17,529	17,529	17,529
Revenues	269,491	243,407	111,312	-	-	-	-
Expenditures	265,055	262,606	117,832	14,318	-	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV01395 (Transfer excess cash to State Treasury)			(2,005)				
AJV01484 (Transfer excess cash to State Treasury)			(714)				
Rounding/misc adjustment		(1)	-				
Net Total Transfers	-	(1)	(2,719)	-	-	-	-
Ending Cash Balance	60,286	41,086	31,847	17,529	17,529	17,529	17,529
Encumbrances	5,325	5,113	12,304	(253)	-	-	-
Unencumbered Cash Balance	54,961	35,974	19,543	17,782	17,529	17,529	17,529

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Settlements - Operating
 Legal Authority: Administratively established

Contact Name: Budget Execution
 Phone: 586-3452
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-968-E

Intended Purpose:

Receives monetary awards pursuant to court-approved settlement agreements, generally for the benefit of public schools and their students.

Source of Revenues:

Court-approved settlements. Due to the unpredictability of court-approved settlement agreements and insurance payments, future estimated revenues and expenditures will be \$0.

Current Program Activities/Allowable Expenses:

Per the terms of the settlement agreement.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	400,000	-	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	368,675	370,538	371,963	376,283	11,344	11,344	11,344
Revenues	1,863	1,424	4,320	11,344	-	-	-
Expenditures	-	-	-	376,283	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	370,538	371,963	376,283	11,344	11,344	11,344	11,344
Encumbrances	-	-	376,283	-	-	-	-
Unencumbered Cash Balance	370,538	371,963	(0)	11,344	11,344	11,344	11,344

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Settlements - Facilities
 Legal Authority: Administratively established

Contact Name: Tracy Okumura
 Phone: 784-5000
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-969-E

Intended Purpose:

Receives monetary awards pursuant to insurance payments or court-approved settlement agreements, generally for the benefit of public schools and their students.

Source of Revenues:

Department or court-approved settlements. Due to the unpredictability of court-approved settlement agreements and insurance payments, future estimated revenues and expenditures will be \$0.

Current Program Activities/Allowable Expenses:

Per the terms of the settlement agreement.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	-	143,177	5,515,453	1,402,486	654,834	-	-
Revenues	143,177	5,842,685	-	245,401	-	-	-
Expenditures	-	470,409	4,112,967	993,053	654,834	-	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	143,177	5,515,453	1,402,486	654,834	-	-	-
Encumbrances	143,177	4,394,995	1,402,486	387,191	-	-	-
Unencumbered Cash Balance	-	1,120,458	-	267,643	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: EDN
 Prog ID(s): not applicable
 Name of Fund: Agency Fund
 Legal Authority: Section 302A-1130, HRS

Contact Name: Trisha Kaneshiro
 Phone: 586-3450
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-999-E

Intended Purpose:

This Trust Account exists to allow schools to collect and expend funds for co-curricular activities.

Source of Revenues:

Revenues include school registration fees, field trip fees, fundraisers, club dues, sport team concession revenue, athletic activity books, yearbooks, uniforms, student association or student government dues, class dues, and other authorized fees.

Current Program Activities/Allowable Expenses:

Funds collected are to be used to pay for student activities authorized by the school principal and which complement classroom instruction by providing learning experiences that meet individual needs and develop citizenship skills and positive attitudes in less formal educational settings.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	25,904,565	27,115,338	28,746,660	28,796,627	30,265,129	31,265,129	32,265,129
Revenues	32,761,649	33,713,088	33,353,577	28,192,708	15,000,000	15,000,000	15,000,000
Expenditures	31,550,876	32,081,767	33,303,610	26,724,206	14,000,000	14,000,000	14,000,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	27,115,338	28,746,660	28,796,627	30,265,129	31,265,129	32,265,129	33,265,129
Encumbrances			-	-	-	-	-
Unencumbered Cash Balance	27,115,338	28,746,660	28,796,627	30,265,129	31,265,129	32,265,129	33,265,129

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Libraries Services & Technology Act
 Legal Authority LSTA P.L. 104-208

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF) Special (P)
 Appropriation Acct. No. S-247-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Subscriptions to online database, computer hardwares & softwares, systems maintenance.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY16 & FY17 Revenues & Expenditures: Revenue variance is due to additional grant received in FY17; expenditure variance is due to acquisition of more e-books. FY18 & FY17 Revenues: Revenue received in FY18 is less because there's still cash balance left from FY17 to be used to pay for expenditures; less grant is received for the year. FY19: Revenues received at year end was used to pay for expenses at the beginning of FY20. Estimated to receive less grant in FY2020-FY2022.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,365,244.00	1,365,244.00	1,365,244.00	1,365,244.00	1,365,244.00	1,365,244.00	1,365,244.00
Beginning Cash Balance	10,895.85	207.85	359,304.05	914.71	228,812.14	12.14	12.14
Revenues	1,151,422.00	1,653,735.00	794,628.00	1,394,598.00	995,000.00	500,000.00	500,000.00
Expenditures	1,162,110.00	1,294,638.80	1,153,017.34	1,166,700.57	1,223,800.00	500,000.00	500,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	207.85	359,304.05	914.71	228,812.14	12.14	12.14	12.14
Encumbrances	190,168.70	326,774.00	250,313.24	89,713.41	-	-	-
Unencumbered Cash Balance	(189,960.85)	32,530.05	(249,398.53)	139,098.73	12.14	12.14	12.14

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Libraries Special Fund
 Legal Authority 312-3.5 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF) Special (B)
 Appropriation Acct. No. S-335-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable The Statutes only permit for the purchase of books and library materials from this fund.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY16 & FY17 Revenues and Expenses: Revenue variance is due to decreased in collected fines; expense variance is due to increased cost of library materials. FY17 and FY18 expenditures: More library books and materials were purchased using FY18 General funds. Increase in FY19 revenue is from the increase in the taxpayers donation received.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000.00	1,500,000.00	1,500,000.00	2,500,000.00	2,500,000.00	2,500,000.00	2,500,000.00
Beginning Cash Balance	633,236.72	1,558,063.66	1,083,771.03	1,649,978.56	2,027,953.24	2,123,953.24	2,357,953.24
Revenues	963,477.26	873,709.26	760,584.68	872,459.29	846,000.00	834,000.00	834,000.00
Expenditures	1,038,650.32	1,348,001.89	194,377.15	494,484.61	750,000.00	600,000.00	600,000.00
Transfers							
List each net transfer in/out; list each account number							
JS 4511, 02/22/16	1,000,000.00						
Net Total Transfers	1,000,000.00	-	-	-	-	-	-
Ending Cash Balance	1,558,063.66	1,083,771.03	1,649,978.56	2,027,953.24	2,123,953.24	2,357,953.24	2,591,953.24
Encumbrances	96,947.05	42,056.00	4,220.90	35,915.86	-	-	-
Unencumbered Cash Balance	1,461,116.61	1,041,715.03	1,645,757.66	1,992,037.38	2,123,953.24	2,357,953.24	2,591,953.24

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Library Fee for Enhanced Services
 Legal Authority: 312-21 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Special (B)
 Appropriation Acct. No.: S-336-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Ongoing operation of its fee for enhanced services program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 & FY17 Expenditures: Variance due to increased online and ebooks subscription.
 FY18 & FY17 Expenditures: Most books and materials in FY18 were purchased using General Funds.
 FY19 & FY18 Expenditures: FY19 eBooks were purchased using General Funds.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000.00	2,500,000.00	2,500,000.00	1,500,000.00	1,500,000.00	1,500,000.00	1,500,000.00
Beginning Cash Balance	3,275,678.23	2,322,777.57	1,237,315.34	1,428,491.67	1,975,556.82	2,305,756.82	2,650,756.82
Revenues	927,768.16	911,836.68	864,924.47	913,274.90	880,200.00	845,000.00	845,000.00
Expenditures	880,668.82	1,997,298.91	673,748.14	366,209.75	550,000.00	500,000.00	500,000.00
Transfers							
List each net transfer in/out; list each account number							
JS 4511, 02/22/16	(1,000,000.00)						
Net Total Transfers	(1,000,000.00)	-	-	-	-	-	-
Ending Cash Balance	2,322,777.57	1,237,315.34	1,428,491.67	1,975,556.82	2,305,756.82	2,650,756.82	2,995,756.82
Encumbrances	36,178.87	92,296.17	89,481.10	62,630.59			
Unencumbered Cash Balance	2,286,598.70	1,145,019.17	1,339,010.57	1,912,926.23	2,305,756.82	2,650,756.82	2,995,756.82

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Oahu Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-906-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY17 & FY18 Revenues: A one-time donation to HSPLS amounting to \$583,411.66 was received and recorded in FY18.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	368,772.36	373,890.07	379,697.50	969,595.26	983,287.55	988,287.55	998,287.55
Revenues	15,926.82	27,327.27	610,365.60	42,069.83	20,000.00	20,000.00	20,000.00
Expenditures	10,809.11	21,519.84	20,467.84	28,377.54	15,000.00	10,000.00	10,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	373,890.07	379,697.50	969,595.26	983,287.55	988,287.55	998,287.55	1,008,287.55
Encumbrances				87.18			
Unencumbered Cash Balance	373,890.07	379,697.50	969,595.26	983,200.37	988,287.55	998,287.55	1,008,287.55

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: HSPLS Computer Supplies - Donation
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-907-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Computer supplies.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY17 & FY18 Expenses: Variance is due to repair & maintenance of computer equipment and purchase of computer supplies.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	580,998.09	638,995.47	698,982.23	747,399.40	810,365.23	860,365.23	910,365.23
Revenues	120,640.36	126,111.79	119,130.60	123,387.90	125,000.00	125,000.00	125,000.00
Expenditures	62,642.98	66,125.03	70,713.43	60,422.07	75,000.00	75,000.00	75,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	638,995.47	698,982.23	747,399.40	810,365.23	860,365.23	910,365.23	960,365.23
Encumbrances							
Unencumbered Cash Balance	638,995.47	698,982.23	747,399.40	810,365.23	860,365.23	910,365.23	960,365.23

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Maui & Kauai Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-911-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY16 & FY18 Revenues: Variance in revenue due to donations received from private individuals, DA and Friends of the Library of Hawaii in FY16.
 FY17 & FY18 Expenditures: Variance is due to libraries expending less than expected.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	143,785.94	144,966.46	131,487.58	130,693.38	130,397.73	130,397.73	130,597.73
Revenues	10,083.31	2,450.82	3,182.90	3,154.35	3,200.00	3,200.00	3,200.00
Expenditures	8,902.79	15,929.70	3,977.10	3,450.00	3,000.00	3,000.00	3,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	144,966.46	131,487.58	130,693.38	130,397.73	130,597.73	130,597.73	130,797.73
Encumbrances		1,677.10					
Unencumbered Cash Balance	144,966.46	129,810.48	130,693.38	130,397.73	130,597.73	130,597.73	130,797.73

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Hawaii Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-912-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 & FY17 Revenue: Variance is due to two huge donations (\$53K and \$22K) received in FY17.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	96,437.09	99,439.15	185,300.79	187,415.04	189,718.77	189,718.77	191,718.77
Revenues	5,302.06	93,461.64	5,223.20	5,644.42	5,000.00	5,000.00	5,000.00
Expenditures	2,300.00	7,600.00	3,108.95	3,340.69	3,000.00	3,000.00	3,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	99,439.15	185,300.79	187,415.04	189,718.77	191,718.77	191,718.77	193,718.77
Encumbrances							
Unencumbered Cash Balance	99,439.15	185,300.79	187,415.04	189,718.77	191,718.77	191,718.77	193,718.77

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	10,763.79	10,763.79	10,763.79	10,763.79			

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: HSL & LBPH Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-917-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY17 Revenues: Variance due to a \$230k single donation received by LBPH from a Trust Fund.

FY17 Revenues: Variance due to a total donation of \$82,652 received for LBPH.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	312,454.20	328,192.12	577,353.41	665,886.14	669,099.35	669,099.35	674,099.35
Revenues	28,083.98	274,930.90	106,379.37	26,493.22	30,000.00	30,000.00	30,000.00
Expenditures	12,346.06	25,769.61	17,846.64	23,280.01	25,000.00	25,000.00	25,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	328,192.12	577,353.41	665,886.14	669,099.35	674,099.35	674,099.35	679,099.35
Encumbrances		265.41	7,888.22				
Unencumbered Cash Balance	328,192.12	577,088.00	657,997.92	669,099.35	674,099.35	674,099.35	679,099.35

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Friends of the Library of Hawaii - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-918-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Development, use, support and maintenance of libraries and library services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 & FY17 Revenues: Increased in revenue due to the \$35,272 and \$30,727 donation received for the Na'alehu Portable Project respectively.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	336,214.09	369,166.49	399,447.95	402,022.63	404,400.61	407,400.61	410,400.61
Revenues	37,275.71	33,702.06	2,574.68	3,327.98	3,000.00	3,000.00	3,000.00
Expenditures	4,323.31	3,420.60	-	950.00	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	369,166.49	399,447.95	402,022.63	404,400.61	407,400.61	410,400.61	413,400.61
Encumbrances	2,148.84						
Unencumbered Cash Balance	367,017.65	399,447.95	402,022.63	404,400.61	407,400.61	410,400.61	413,400.61

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Hawaii Public Library System - Payroll Collections
 Legal Authority: 41D-4 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-919-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Return funds to DAGS Central Payroll.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Will turn over collected funds back to DAGS Central Payroll, once all balance has been collected.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	-	-	2,777.74	3,701.74	3,901.74	3,901.74	3,901.74
Revenues	-	2,777.74	924.00	200.00	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	2,777.74	3,701.74	3,901.74	3,901.74	3,901.74	3,901.74
Encumbrances							
Unencumbered Cash Balance	-	2,777.74	3,701.74	3,901.74	3,901.74	3,901.74	3,901.74

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2020 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Risk Management - Fire & Casualty Losses - HSPLS
 Legal Authority: 41D-4 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-967-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Repair/replace damages/losses.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY20: Expected to use up remaining funds for the year.

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,667.08	7,061.24	6,647.89	6,647.89	6,647.89	-	-
Revenues	-	812.89	-	-	-	-	-
Expenditures	605.84	1,226.24	-	-	6,647.89	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	7,061.24	6,647.89	6,647.89	6,647.89	-	-	-
Encumbrances							
Unencumbered Cash Balance	7,061.24	6,647.89	6,647.89	6,647.89	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Office of the Governor
 Prog ID(s): GOV 100
 Name of Fund: GOVERNOR DISCRETIONARY FUND
 Legal Authority: Act 9/SLH 2020

Contact Name: Susan Hirai
 Phone: 586-0034
 Fund type (MOF) B
 Appropriation Acct. No. S-21-350

Intended Purpose: Funds are to be used for eligible programs pursuant to Public Law 116-136 and associated guidance issued by appropriate federal agencies. On December 28, 2020, any unexpended funds shall be transferred to the unemployment compensation trust fund established under Section 383-121, HRS.

Source of Revenues: Act 9/SLH 2020

Current Program Activities/Allowable Expenses: Expenditures and transfers to various programs to fund expenditures relating to the Coronavirus Relief Fund.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					39,986,000		
Beginning Cash Balance	0.00	0.00	0.00	0.00	39,986,000	0.00	0.00
Revenues							
Expenditures					16,000.00		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Education (S-21-375 and S-21-376)					(33,000,000.00)		
Agriculture (S-21-355)					(950,000.00)		
Judiciary (S-21-370)					(947,359.00)		
Accounting and General Svcs. (S-21-370)					(11,840.83)		
Projected Transfers to Other Agencies					(5,060,800.17)		
Net Total Transfers	0.00	0.00	0.00	0.00	(39,970,000.00)	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances							
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report of Non-General Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 229
 Name of Fund: HPHA Administration
 Legal Authority : 356D-13

Contact Name: Bennett Liu
 Phone: 832-4486
 Fund type (MOF) W
 Appropriation Acct. No. S-304-K

Intended Purpose: Administration of Public Housing Projects

Source of Revenues: Administration Fees

Current Program Activities/Allowable Expenses: Administration of State and Federal Public Housing Projects

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,339,464	6,339,464	6,339,464	6,339,464	6,339,464	6,339,464	6,339,464
Beginning Cash Balance							
Revenues							
Expenditures							
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance							
Encumbrances							
Unencumbered Cash Balance							

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report of Non-General Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 220
 Name of Fund: Housing Revolving Fund
 Legal Authority : 356D-45

Contact Name: Bennett Liu
 Phone: 832-4486
 Fund type (MOF) W
 Appropriation Acct. No. S-308-K

Intended Purpose: Management, Operation and Maintenance of State Low Income Housing Projects

Source of Revenues: Rentals, Fees Reimbursements and Charges

Current Program Activities/Allowable Expenses: Management, Operation and Maintenance of State Low Income Housing Projects

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: no

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	721,435	1,791,595	1,309,899	1,210,814	1,118,781	798,999	422,896
Revenues	2,590,338	1,281,781	1,471,421	1,730,673	1,557,606	1,557,606	1,604,334
Expenditures	1,520,177	1,763,477	1,570,507	1,822,706	1,877,387	1,933,709	1,991,720
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,791,595	1,309,899	1,210,814	1,118,781	798,999	422,896	35,510
Encumbrances	200,000	200,000	245,159	293,276	302,074	311,137	30,000
Unencumbered Cash Balance	1,591,595	1,109,899	965,654	825,505	496,925	111,759	5,510

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report of Non-General Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 220
 Name of Fund: Rental Housing Augmentation / Assist Revolving
 Legal Authority : 356D-45

Contact Name: Bennett Liu
 Phone: 832-4486
 Fund type (MOF) W
 Appropriation Acct. No. S-332-K

Intended Purpose: Development, Operation and Maintenance of All State Rental Housing Projects

Source of Revenues: All Funds Received by the Authority Under or Pursuant to this Act and / or the Housing Act of 1949

Current Program Activities/Allowable Expenses: Operation and Maintenance of all State Rental Housing Projects

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: no

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,768,344	8,347,302	8,340,148	9,618,000	7,700,109	6,852,559	5,979,582
Revenues	32,919,398	32,540,923	30,508,328	27,428,803	28,251,667	29,099,217	29,972,194
Expenditures	29,340,440	32,548,077	29,230,476	29,346,694	29,099,217	29,972,194	30,871,359
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	8,347,302	8,340,148	9,618,000	7,700,109	6,852,559	5,979,582	5,080,417
Encumbrances	9,510						
Unencumbered Cash Balance	8,337,792	8,340,148	9,618,000	7,700,109	6,852,559	5,979,582	5,080,417

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report of Non-General Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HPHA
 Name of Fund: Vehicle Rental
 Legal Authority: Administratively Created

Contact Name: Bennett Liu
 Phone: 832-4486
 Fund type (MOF): W
 Appropriation Acct. No. S-335-K

Intended Purpose: Fund was established to purchase vehicles for administrative and area offices.

Source of Revenues: Vehicle rental fees and interest earned from the State of Hawaii Investment Pool program
 These vehicles are used by the HPHA's administrative and project offices.

Current Program Activities/Allowable Expenses: To lease and replace (purchase) vehicles of HPHA's motor pool inventory.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: no

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	429,554	430,084	485,193	594,267	723,390	852,400	981,410
Revenues	3,206	57,468	110,135	131,010	131,010	131,010	131,010
Expenditures	2,676	2,359	1,061	1,887	2,000	2,000	2,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	430,084	485,193	594,267	723,390	852,400	981,410	1,110,420
Encumbrances							
Unencumbered Cash Balance	430,084	485,193	594,267	723,390	852,400	981,410	1,110,420

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report of Non-General Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HPHA
 Name of Fund: Equipment Rental
 Legal Authority: Administratively Created

Contact Name: Bennett Liu
 Phone: 832-4486
 Fund type (MOF) W
 Appropriation Acct. No. S-336-K

Intended Purpose: The offices pay rental fees into the fund, which are used to replace equipment after it is retired.

Source of Revenues: Equipment rental fees (Federal and State) and interest earned from the State of Hawaii investment pool program

Current Program Activities/Allowable Expenses: To lease and replace (purchase) equipment of HPHA's administrative and project office

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: no

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	736,360	739,302	750,058	767,116	803,537	839,839	876,141
Revenues	5,617	14,073	19,059	39,302	39,302	39,302	39,302
Expenditures	2,676	3,316	2,001	2,881	3,000	3,000	3,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	739,302	750,058	767,116	803,537	839,839	876,141	912,443
Encumbrances							
Unencumbered Cash Balance	739,302	750,058	767,116	803,537	839,839	876,141	912,443

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report of Non-General Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 220
 Name of Fund: Housing for Elders Revolving Fund
 Legal Authority : 356D-72

Contact Name: Bennett Liu
 Phone: 832-4486
 Fund type (MOF) W
 Appropriation Acct. No. S-337-K

Intended Purpose: Funds are expended for management, operation and maintenance of all Elderly Housing Projects

Source of Revenues: All funds collected pursuant to this act are deposited into this fund

Current Program Activities/Allowable Expenses: Funds are expended for management, operation and maintenance of all Elderly Housing Projects

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: no

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,181,880	3,541,480	3,760,850	4,537,020	5,411,068	5,875,244	6,262,771
Revenues	2,362,733	2,285,475	2,080,515	3,354,572	3,019,115	3,019,115	3,109,688
Expenditures	2,003,133	2,066,105	1,304,345	2,480,524	2,554,940	2,631,588	2,710,535
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	3,541,480	3,760,850	4,537,020	5,411,068	5,875,244	6,262,771	6,661,923
Encumbrances	194,845	278,618	516,094	665,155	665,155	665,155	665,155
Unencumbered Cash Balance	3,346,635	3,482,232	4,020,926	4,745,913	5,210,089	5,597,616	5,996,768

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report of Non-General Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HPHA
 Name of Fund: Payroll Clearance
 Legal Authority: 356D-14

Contact Name: Bennett Liu
 Phone: 832-4486
 Fund type (MOF) T
 Appropriation Acct. No. T-912-K

Intended Purpose: Account used to reconcile payroll payment throughout HPHA

Source of Revenues: Various

Current Program Activities/Allowable Expenses: Payroll disbursement

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: no

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	21,374,640	22,534,773	24,701,693	25,715,961	26,487,440	27,282,063	28,100,525
Expenditures	21,374,640	22,534,773	24,701,693	25,715,961	26,487,440	27,282,063	28,100,525
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants

Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report of Non-General Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HPHA
 Name of Fund: Temporary deposits- payroll
 Legal Authority: Administratively Created

Contact Name: Bennett Liu
 Phone: 832-4486
 Fund type (MOF) T
 Appropriation Acct. No. T-913-K

Intended Purpose: To serve as a temporary deposit account for payroll that is overpaid to employees at HPHA

Source of Revenues: No revenue is generated by this fund. The monies deposited into this account are strictly reimbursement from employees that have been overpaid.

Current Program Activities/Allowable Expenses: No expenses are recorded in this fund.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances: no

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	41,584	41,584	41,584	41,584	41,584	41,584	41,584
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	41,584	41,584	41,584	41,584	41,584	41,584	41,584
Encumbrances							
Unencumbered Cash Balance	41,584	41,584	41,584	41,584	41,584	41,584	41,584

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 401
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-229-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards, federal share from various refunds like TPL, estate/probate, drug rebates

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipient.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,546,210,329	1,643,010,322	1,765,209,546	1,769,745,001	1,769,745,001	1,769,745,001	1,769,745,001
Beginning Cash Balance	0	0	0	50,276,241	7,652,919	0	0
Revenues	1,374,839,846	1,614,347,394	1,363,824,896	1,457,153,308	1,450,000,000	1,450,000,000	1,450,000,000
Expenditures	1,455,972,529	1,643,832,304	1,389,157,883	1,599,913,762	1,457,652,919	1,450,000,000	1,450,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	82,180,209	36,593,641	75,609,229	100,137,132	0	0	0
Ending Cash Balance	1,047,526	7,108,732	50,276,241	7,652,919	0	0	0
Encumbrances	2,756,554	3,065,375	3,517,300				
Unencumbered Cash Balance	(1,709,028)	4,043,356	46,758,941	7,652,919	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 902
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-233-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards, federal share from various refunds like TPL, estate/probate, drug rebates

Current Program Activities/Allowable Expenses: Administrative support to Medical assistance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	33,234,892	53,085,652	71,925,652	68,018,000	68,018,000	68,018,000	68,018,000
Beginning Cash Balance	0	0	407,670	1,072,456	1,162,624	0	0
Revenues	1,586	0	1,458,262	1,162			
Expenditures	16,635,794	50,068,113	49,181,478	51,374,330	51,162,624	50,000,000	50,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	16,634,208	50,475,783	48,388,002	51,463,336	50,000,000	50,000,000	50,000,000
Ending Cash Balance	0	407,670	1,072,456	1,162,624	0	0	0
Encumbrances	25,419,143	35,246,429	31,698,760	36,793,933			
Unencumbered Cash Balance	(25,419,143)	(34,838,759)	(30,626,303)	(35,631,309)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 902
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-237-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards

Current Program Activities/Allowable Expenses: Administrative support to Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		6,000,000	4,500,000	9,000,000	4,500,000	4,500,000	4,500,000
Beginning Cash Balance		0	167,167	252,167	286,167	0	0
Revenues							
Expenditures		1,361,772	1,759,460	340,000	2,086,167	1,800,000	1,800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	1,528,939	1,844,460	374,000	1,800,000	1,800,000	1,800,000
Ending Cash Balance	0	167,167	252,167	286,167	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	167,167	252,167	286,167	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 902
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-243-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards

Current Program Activities/Allowable Expenses: Administrative support to Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		6,000,000	2,588,000	720,000	720,000	720,000	720,000
Beginning Cash Balance		0	0	366,941	463,723	0	0
Revenues			610,435	360,000			
Expenditures		1,412,049	243,494	263,218	463,723		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	1,412,049		0	0	0	0
Ending Cash Balance	0	0	366,941	463,723	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	366,941	463,723	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 401
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-290-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards, federal share from various refunds like TPL, estate/probate, drug rebates

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipient.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		55,379,802	38,700,000	29,500,000	38,700,000	38,700,000	38,700,000
Beginning Cash Balance		0	0	0	267,641	0	0
Revenues		31,587,200	31,125,840	23,688,353	23,688,353	23,688,353	23,688,353
Expenditures		31,587,200	28,229,781	24,477,473	23,955,994	23,688,353	23,688,353
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				1,056,761			
Net Total Transfers	0	0	0	1,056,761	0	0	0
Ending Cash Balance	0	0	2,896,059	267,641	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	2,896,059	267,641	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 401
 Name of Fund: Hospital Sustainability Special Fund
 Legal Authority: Section 346G-4, HRS

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) B
 Appropriation Acct. No. S-378-K

Intended Purpose: Provide medical assistance payments for medicaid recipients and the uninsured.

Source of Revenues: Hospital Sustainability fees

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		70,000,000	70,000,000	88,000,000	70,000,000	70,000,000	70,000,000
Beginning Cash Balance		0	0	0	14,205,191	0	0
Revenues		67,002,902	55,959,983	61,766,644	62,000,000	62,000,000	62,000,000
Expenditures		57,600,000	49,525,961	70,605,535	76,205,191	62,000,000	62,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				23,044,082			
Net Total Transfers	0	0	0	23,044,082	0	0	0
Ending Cash Balance	0	9,402,902	6,434,022	14,205,191	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	9,402,902	6,434,022	14,205,191	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 401
 Name of Fund: Nursing Facility Sustainability Special Fund
 Legal Authority: Section 346F-4, HRS

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) B
 Appropriation Acct. No. S-379-K

Intended Purpose: Provide medical assistance payments for medicaid recipients and the uninsured.

Source of Revenues: Nursing Home Sustainability Fees

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		14,000,000	14,000,000	21,000,000	21,000,000	21,000,000	21,000,000
Beginning Cash Balance		0	0	0	5,246,640	0	0
Revenues		10,586,944	9,921,693	12,460,481	12,000,000	12,000,000	12,000,000
Expenditures		8,813,386	8,700,000	10,685,676	17,246,640	12,000,000	12,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				3,471,836			
Net Total Transfers	0	0	0	3,471,836	0	0	0
Ending Cash Balance	0	1,773,558	1,221,693	5,246,640	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	1,773,558	1,221,693	5,246,640	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 902
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-544-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards

Current Program Activities/Allowable Expenses: Administrative support to Medical assistance Program

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,900,000	1,520,000	2,795,652	1,520,000	1,520,000	1,520,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues		1,324,301	1,519,364	1,434,580	1,500,000	1,500,000	1,500,000
Expenditures		1,324,301	1,519,364	1,434,580	1,500,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: Juvenile Accountability Block Grant
 Legal Authority: PL 107-273

Contact Name: Jack Orimoto
 Phone: 587-5716
 Fund type (MOF): N
 Appropriation Acct. No.: S-212-K

Intended Purpose:

To support states and units of local government in implementing their respective juvenile crime re-education plan in any of twelve areas to assure that as a result of their wrongdoing, juvenile offenders face individualized consequences that makes them aware of and accountable for the loss, damage or injury perpetuated on the victim.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention. Plus the interest earned from the state's investment pool account.

Current Program Activities/Allowable Expenses:

The Office of Youth Services (OYS) continues the planning and program development functions for youth services as mandated by Act 375, SLH 2009.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,112	2,748	0	0	0	0	0
Beginning Cash Balance	168,578	28,102	1,017	0	0	0	0
Revenues	904	194	47	0	0	0	0
Expenditures	141,380	27,279	1,064	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	28,102	1,017	0	0	0	0	0
Encumbrances	24,648						
Unencumbered Cash Balance	3,454	1,017	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: Social Services Block Grant
 Legal Authority: Social Security Act, Title XX, as amended; Omnibus Budget Reconciliation Act of 1981, as amended, PL 97-35; Jobs Training Bill, PL 98-8 and 473; Medicaid and Medicare Patient and Program Act of 1987; Omnibus Budget Reconciliation Act of 1987, PL 100-203; Family Support Act of 1998, PL 100-485, Omnibus Budget Reconciliation Act of 1993, PL 106-66, 42 U.S.C. 1397 ET seq.

Contact Name: Jack Orimoto
 Phone: 587-5716
 Fund type (MOF) N
 Appropriation Acct. No. S-223-K

Intended Purpose:

Provide income eligible and current recipients of public assistance an array of services.

Source of Revenues:

U.S. Dept of Health and Human Services, Title XX Social Services Block Grant. Subgranted from Dept of Human Services, Social Services Division.

Current Program Activities/Allowable Expenses:

Act 375, SLH1989 mandated the to OYS provide a continuum of services ranging from prevention to secure care and assume the responsibilities for juvenile corrections. To that end, on July 1, 1991, the OYS assumed the responsibility for the Hawaii Youth Correctional Facility Program. During the FY 95-97, the OYS continued planning and program development functions for a continuum of services which included community alternative and aftercare components for this target population.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,674,321	1,872,721	1,716,741	2,169,621	1,752,620	1,752,620	1,752,620
Beginning Cash Balance	20,782	16,974	25,434	0	10	10	10
Revenues	0	0	0	0			
Expenditures	1,306,160	1,381,034	895,095	772,181	900,000	900,000	900,000
Transfers	1,302,352	1,389,494	869,661	772,191			
List each net transfer in/out/ or projection in/out; list each account number							
see attachment							
Net Total Transfers	1,302,352	1,389,494	869,661	772,191	900,000	900,000	900,000
Ending Cash Balance	16,974	25,434	0	10	10	10	10
Encumbrances	594,338	559,540	531,131	210,790	400,000	400,000	400,000
Unencumbered Cash Balance	(577,364)	(534,106)	(531,131)	(210,780)	(399,990)	(399,990)	(399,990)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Apprn Acct No.		S-FY-223				
Transfers (List Each Transfer by JV# and Date)						
		Cash Deposits				
OYS No.	JV#	S-18-223	S-19-223		Total Drawdowns	
T20-01	JS0094	20,520.00			20,520.00	
T20-02	JS0132	72,597.29			72,597.29	
T20-03	JS0191	17,030.00			17,030.00	
T20-06	JS1167	12,323.94			12,323.94	
T20-04	JS0929		38,400.00		38,400.00	
T20-05	JS1125		18,620.00		18,620.00	
T20-07	JS1257		12,170.00		12,170.00	
T20-08	JS1394		10,370.00		10,370.00	
T20-09	JS1496		5,700.00		5,700.00	
T20-10	JS2038		14,190.00		14,190.00	
T20-11	JS2020		58,563.55		58,563.55	
T20-12	JS2037		5,700.00		5,700.00	
T20-13	JS2205		41,262.78		41,262.78	
T20-14	JS2302		40,090.00		40,090.00	
T20-15	JS2810		34,960.00		34,960.00	
T20-16	JS3126		41,040.00		41,040.00	
T20-17	JS3399		23,150.00		23,150.00	
T20-18	JS3410		2,280.00		2,280.00	
T20-19	JS3549		42,201.08		42,201.08	
T20-20	JS3675		20,140.00		20,140.00	
T20-21	JS3844		21,550.00		21,550.00	
T20-22	JS4076		19,190.00		19,190.00	
T20-23	JS4167		17,830.00		17,830.00	
T20-24	JS4316		2,910.00		2,910.00	
T20-25	JS4443		54,371.28		54,371.28	
T20-26	JS4543		30,660.00		30,660.00	
T20-27	JS4818		14,060.00		14,060.00	
T20-28	JS4894		18,120.00		18,120.00	
T20-29	JS5171		21,360.86		21,360.86	
T20-30	JS5273		15,970.00		15,970.00	
T20-31	JS5419		18,580.00		18,580.00	
T20-32	JS5609		6,280.00		6,280.00	
					-	
					-	
					-	
					-	
Total		122,471.23	649,719.55		772,190.78	772,190.78

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: Juvenile Justice and Delinquency Prevention Title II Formula Grants
 Juvenile Justice and Delinquency Prevention Act of 2002, Sections 221-223,
 Legal Authority 42 U.S.C. Sections 5631 - 5633

Contact Name: Jack Orimoto
 Phone: 587-5716
 Fund type (MOF) N
 Appropriation Acct. No. S-224-K

Intended Purpose:

To support State and local delinquency prevention and intervention efforts and juvenile justice system improvements.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention.

Current Program Activities/Allowable Expenses:

Program Activities include: Planning and administration, State Advisory Group allocation, compliance monitoring; juvenile justice issues for American Indian tribes; prevention of substance abuse by juveniles, prevention of serious and violent crimes by juveniles, prevention of juvenile gang involvement and illegal youth gang activities; prevention of delinquency acts and identification of youth at risk of delinquency; and improvement of juvenile justice system operations, policies, and procedures including establishing a system of graduated sanctions, treatment programs, and aftercare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	931,008	897,344	929,090	941,726	500,000	500,000	500,000
Beginning Cash Balance	6,696	771	1,716	12,484	1,273	1,273	1,273
Revenues	652,574	427,095	431,303	385,930	400,000	400,000	400,000
Expenditures	658,499	426,150	420,535	397,141	400,000	400,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	771	1,716	12,484	1,273	1,273	1,273	1,273
Encumbrances	244,130	150,270	120,721	126,328	100,000	100,000	100,000
Unencumbered Cash Balance	(243,359)	(148,554)	(108,237)	(125,055)	(98,727)	(98,727)	(98,727)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
Prog ID(s): HMS 501

Contact Name: Jack Orimoto
Phone: 587-5716

Name of Fund: Violence Against Women Formula Grant

Fund type (MOF) N

Legal Authority Violence Against Women Act of 1994 42 U.S.C. 3796gg to 3796gg-5, 3796gg-8

Appropriation Acct. No. S-269-K

Intended Purpose:

To assist States, Indian tribal governments, tribal courts, State and local courts, and units of local government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and develop and strengthen victim services in cases involving crimes against women.

Source of Revenues:

U.S. Department of Justice, Office of Violence Against Women

Current Program Activities/Allowable Expenses:

Violence Against Women Act funds may be used for workshop/conference registration fees, mileage, meals, and lodging expenses for In-State Training and Out-of- State Training in accordance with State Travel Regulation

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2018 (actual)	FY 2018 (actual)	FY 2019 (actual)	FY 2020 (actual)	FY 2020 (estimated)	FY 2021 (estimated)	FY 2022 (estimated)
Appropriation Ceiling	0	0	0	54,007	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				34,420			
Expenditures				34,420			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: PREA Program: Demonstration Projects to Establish "Zero Tolerance"
Cultures for Sexual Assault in Correctional Facilities
 Legal Authority: Prison Rape Elimination Act of 2003, PL 108-79, as amended, codified at 42
USC 15801, et seq.

Contact Name: Jack Orimoto
 Phone: 587-5716
 Fund type (MOF) N
 Appropriation Acct. No. S-550-K, S-224-K (2016 only)

Intended Purpose:

To assist correctional facilities in implementing comprehensive prevention, identification, and response mechanisms that will reduce the incidence of sexual abuse in confinement facilities; promote a culture of "zero tolerance" toward sexual abuse; and support facilities' efforts to achieve compliance with the PREA standards.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Assistance

Current Program Activities/Allowable Expenses:

The PREA Program supports comprehensive demonstration projects with program design elements that are intended to reduce sexual victimization in correctional facilities, promote a "zero tolerance" environment, and assist correctional facilities in meeting the requirements of the PREA standards. Grants may be used to support the following types of design elements as part of a comprehensive approach: 1) policy and practice review and revision; 2) preventative infrastructure and technology enhancements; 3) inmate/detainee/resident education; 4) victim support services; 5) leadership and assessment of organizational culture; 6) data collection and performance measurements; 7) staffing support and training; 8) evaluation; and 9) PREA audit activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,494	12,947	8,000	14,815	8,000	8,000	8,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	12,947	0	4,492	8,000	8,000	8,000
Expenditures	0	12,947	0	4,492	8,000	8,000	8,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: PREA Program: Demonstration Projects to Establish "Zero Tolerance"
 Cultures for Sexual Assault in Correctional Facilities
 Legal Authority: Prison Rape Elimination Act of 2003, PL 108-79, as amended, codified at 42
 USC 15801, et seq.

Contact Name: Jack Orimoto
 Phone: 587-5716
 Fund type (MOF) N
 Appropriation Acct. No. S-553-K

Intended Purpose:

To assist correctional facilities in implementing comprehensive prevention, identification, and response mechanisms that will reduce the incidence of sexual abuse in confinement facilities; promote a culture of "zero tolerance" toward sexual abuse; and support facilities' efforts to achieve compliance with the PREA standards.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Assistance

Current Program Activities/Allowable Expenses:

The PREA Program supports comprehensive demonstration projects with program design elements that are intended to reduce sexual victimization in correctional facilities, promote a "zero tolerance" environment, and assist correctional facilities in meeting the requirements of the PREA standards. Grants may be used to support the following types of design elements as part of a comprehensive approach: 1) policy and practice review and revision; 2) preventative infrastructure and technology enhancements; 3) inmate/detainee/resident education; 4) victim support services; 5) leadership and assessment of organizational culture; 6) data collection and performance measurements; 7) staffing support and training; 8) evaluation; and 9) PREA audit activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	24,172	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			24,172				
Expenditures			24,172				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 503
 Name of Fund: Youth Correctional Facility's Benefit Trust Fund
 Legal Authority: Section 352-21 HRS

Contact Name: Jack Orimoto
 Phone: 587-5716
 Fund type (MOF): T
 Appropriation Acct. No.: T-901-K

Intended Purpose:

Voluntary donations placed in trust for the youth committed to HYCF for their welfare and recreation.

Source of Revenues:

(1) Donations, (2) Any unauthorized money found in the possession of a ward or on the facility premises.

Current Program Activities/Allowable Expenses:

The fund is used for activities that would benefit the welfare and recreation of youth committed to the Hawaii Youth Correctional Facility.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9,577	9,577	9,729	9,145	4,746	4,746	4,746
Revenues	0	500	31	0	0	0	0
Expenditures	0	348	615	4,399	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,577	9,729	9,145	4,746	4,746	4,746	4,746
Encumbrances							
Unencumbered Cash Balance	9,577	9,729	9,145	4,746	4,746	4,746	4,746

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 503
 Name of Fund: Hawaii Youth Correctional Facility Trust Fund
 Legal Authority: Section 352-18, HRS

Contact Name: Jack Orimoto
 Phone: 587-5716
 Fund type (MOF): T
 Appropriation Acct. No.: T-998-K

Intended Purpose:

Trust account for all sums collected to the credit of the youth committed to HYCF.

Source of Revenues:

(1) Money given to wards by their parents, relatives etc. (2) cash earned by wards through the Behavior Modification Program, and (3) pay earned by wards that are on a work release plan.

Current Program Activities/Allowable Expenses:

Withdrawals from a ward's account may be permitted: to pay legal obligations to dependents, to pay court-ordered restitution, etc. Any balance remaining at the Director's termination of legal custody will transfer to the ward or whomever has legal custody.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020	FY 2021	FY 2022
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	579	886	1,080	1,830	1,155	1,155	1,155
Revenues	1,418	1,307	3,622	2,787			
Expenditures	1,111	1,113	2,872	3,462			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	886	1,080	1,830	1,155	1,155	1,155	1,155
Encumbrances							
Unencumbered Cash Balance	886	1,080	1,830	1,155	1,155	1,155	1,155

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 206
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-204-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications for energy crisis intervention and credit.

Source of Revenues: DHHS - Administration for Children and Families, Low Income Home Energy Assistance Program (LIHEAP.)

Current Program Activities/Allowable Expenses: Provides funds for LIHEAP payments.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,956,189	8,415,241	8,775,803	8,666,618	8,666,618	8,666,618	8,666,618
Beginning Cash Balance	98,125	81,836	121,357	110,241	178,192	178,192	178,192
Revenues	5,691,509	4,853,534	4,623,933	4,363,036	4,883,000	4,883,000	4,883,000
Expenditures	5,707,798	4,814,013	4,635,049	4,442,910	4,883,000	4,883,000	4,883,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				147,825			
Net Total Transfers	0	0	0	147,825	0	0	0
Ending Cash Balance	81,836	121,357	110,241	178,192	178,192	178,192	178,192
Encumbrances				352,111			
Unencumbered Cash Balance	81,836	121,357	110,241	(173,919)	178,192	178,192	178,192

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

		<u>S-18-204-K</u>	<u>S-19-204-K</u>	<u>S-20-204-K</u>	SUBTOTAL	<u>S-18-263-K</u>	<u>S-18-519-K</u>	<u>S-19519-K</u>	<u>S-20-519</u>	<u>Total</u>
J0142	00JS0372	(8,647.00)	8,647.00		-					-
J0437	00JS1235	90,103.62	(90,103.62)		-					-
J2028	00JS3157		(55.00)		(55.00)			55.00		-
J2080	00JS3396			(772.00)	(772.00)				772.00	-
J3359	00JS5746	(82,340.19)	230,992.61		148,652.42	(205.31)	(148,447.11)			-
		<u>(883.57)</u>	<u>149,480.99</u>	<u>(772.00)</u>	<u>147,825.42</u>	<u>(205.31)</u>	<u>(148,447.11)</u>	<u>55.00</u>	<u>772.00</u>	<u>-</u>

Report on Non-General Fund Information

for Submittal to the 2021

Legislature

Department: HMS
 Prog ID(s): HMS 211
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-201-K

Intended Purpose: Provides financial aid to state Temporary Assistance for Needy Families (TANF) Program.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Assistance payments to qualified TANF recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	44,000,000	44,000,000	84,640,126	57,269,398	57,269,398	57,269,398	57,269,398
Beginning Cash Balance	9,385,906	1,211,281	3,625,554	3,154,270	7,664,839	7,664,839	7,664,839
Revenues	16,998,241	12,705,382	9,468,938	15,967,614	13,500,000	13,500,000	13,500,000
Expenditures	25,172,866	10,291,109	9,940,222	11,457,045	13,500,000	13,500,000	13,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	1,211,281	3,625,554	3,154,270	7,664,839	7,664,839	7,664,839	7,664,839
Encumbrances	0						
Unencumbered Cash Balance	1,211,281	3,625,554	3,154,270	7,664,839	7,664,839	7,664,839	7,664,839

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Emergency Shelter Grant
 Legal Authority: McKinney-Vento Homeless Act as amended by Hearth Act of 2009

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-216-K (1 of 4)

Intended Purpose: Provides resources to deal with the special needs segments of the homeless population.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides one-time grants for emergency needs to neighbor island homeless.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	677,918	605,880	625,948	546,974	546,974	546,974	546,974
Beginning Cash Balance	3,050	157	777	2,160	12,976	12,976	13,030
Revenues	503,199	394,737	453,811	370,754	370,700	370,754	370,754
Expenditures	506,092	394,117	452,428	360,238	370,700	370,700	370,700
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				300			
Net Total Transfers	0	0	0	300	0	0	0
Ending Cash Balance	157	777	2,160	12,976	12,976	13,030	13,084
Encumbrances				186,735			
Unencumbered Cash Balance	157	777	2,160	(173,759)	12,976	13,030	13,084

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Shelter Plus Care Program Grant
 Legal Authority: McKinney-Vento Homeless Act as amended by Hearth Act of 2009

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-216-K (2 of 4)

Intended Purpose: Provides resources to deal with the special needs segments of the homeless population.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding and services to address the needs of homeless persons afflicted with a disability.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Starting in 2014, the new Shelter Plus Care Program grant funds have been combined with the new Continuum of Care grant. The older Shelter Plus Care Program grants are winding down and should be fully expended by fiscal year 2018.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	99,692	36,219	0	0			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	99,692	36,219	0	0			
Expenditures	99,692	36,219	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: HOPWA
 Legal Authority: AIDS Housing Opportunity Act

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-222-K (3 of 4)

Intended Purpose: Housing Opportunities for People With AIDS - provides funding to address the needs of homeless persons afflicted with HIV or AIDS.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides resources and services to qualified homeless recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	230,597	278,637	297,729	352,571	352,571	352,571	352,571
Beginning Cash Balance	12,372	0	76	3,901	4,903	4,903	4,903
Revenues	172,497	239,755	251,604	278,757	278,700	278,700	278,700
Expenditures	184,869	239,679	247,779	277,455	278,700	278,700	278,700
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				(300)			
Net Total Transfers	0	0	0	(300)	0	0	0
Ending Cash Balance	0	76	3,901	4,903	4,903	4,903	4,903
Encumbrances				21,361			
Unencumbered Cash Balance	0	76	3,901	(16,458)	4,903	4,903	4,903

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Continuum of Care
 Legal Authority: McKinney-Vento Homeless Act as amended by Hearth Act of 2009

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-500-K (4 of 4)

Intended Purpose: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Due to a change in practice of having a separate appropriation per grant award. Prior year encumbrances were paid using the original

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,953,398	4,237,345	2,228,612	1,135,774	1,135,774	1,135,774	1,135,774
Beginning Cash Balance	22,196	69	31,084	481	481	481	481
Revenues	1,497,980	1,776,852	539,553	0	0	0	0
Expenditures	1,520,107	1,745,837	570,156	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	69	31,084	481	481	481	481	481
Encumbrances				88,492			
Unencumbered Cash Balance	69	31,084	481	(88,011)	481	481	481

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

		<u>S-18-216-KS-18-222-K</u>		<u>Total</u>
J1435	00JS2694	300.00	(300.00)	0.00

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR CHAPTER II, P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236 (1 of 7)
 SFY14 Apprn Acct. No. S-XX-232-K

Intended Purpose: Provides monetary support; strengthens family life, especially those of needy children; and prepares adults w/ dependent children for work.

Source of Revenues: DHHS, ACF Tanf Title IV-A.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,391,384	2,328,908	1,677,156	3,032,806	3,032,806	3,032,806	3,032,806
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,130,584	1,000,374	688,815	614,550	614,550	614,550	614,550
Expenditures	1,130,584	1,000,374	688,815	614,550	614,550	614,550	614,550
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	470,000	0	0	0
Unencumbered Cash Balance	0	0	0	(470,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Supplemental Nutrition Assistance Program
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236-K (2 of 7)
 SFY14 Apprn Acct. No. S-XX-236-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families.

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Determines eligibility for food stamps assistance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	19,921,412	12,982,651	12,459,280	21,742,860	21,742,860	21,742,860	21,742,860
Beginning Cash Balance	0	0	0	0	1,739	1,739	1,739
Revenues	11,358,713	11,738,528	7,555,073	8,298,028	8,298,028	8,298,028	8,298,028
Expenditures	11,358,713	11,738,528	7,555,073	8,296,289	8,298,028	8,298,028	8,298,028
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	-	0	0	1,739	1,739	1,739	1,739
Encumbrances	0	0	0	5,575,000	0	0	0
Unencumbered Cash Balance	0	0	0	(5,573,261)	1,739	1,739	1,739

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Medical Assistance Program
 Legal Authority: Sec. 1102, 49 Stat. 647 (42 U.S.C 1302)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236-K (3 of 7)
 SFY14 Apprn Acct. No. S-XX-234-K

Intended Purpose: Provides financial assistance to state for payments of medical assistance on behalf of cash assistance recipients and other medically needy, who except for income and resources are categorically eligible.

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance for payment of medical assistance on behalf of cash assistance recipients and other medically needy.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	348,187	240,754	80,734	67,080	67,080	67,080	67,080
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	958	1,777	3,673	328	328	328	328
Expenditures	958	1,777	3,673	328	328	328	328
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	2,675	0	0	0
Unencumbered Cash Balance	0	0	0	(2,675)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236 (4 of 7)
 SFY14 Apprn Acct. No. S-XX-231-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,623,292	5,180,526	7,158,635	10,012,027	10,012,027	10,012,027	10,012,027
Beginning Cash Balance	0	289,836	289,836	307,249	0	0	0
Revenues	4,177,760	4,183,000	3,162,476	2,396,085	3,500,000	3,500,000	3,500,000
Expenditures	3,887,924	4,183,000	3,145,063	2,703,334	3,500,000	3,500,000	3,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	289,836	289,836	307,249	0	0	0	0
Encumbrances	0	0	0	2,030,000	0	0	0
Unencumbered Cash Balance	289,836	289,836	307,249	(2,030,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236 (5 of 7)
 SFY14 Apprn Acct. No. S-XX-230-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	267,316	215,369	454,416	269,517	269,517	269,517	269,517
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	126,078	35,075	361,475	53,962	53,962	53,962	53,962
Expenditures	126,078	35,075	361,475	53,962	53,962	53,962	53,962
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	47,450	0	0	0
Unencumbered Cash Balance	0	0	0	(47,450)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Refugee Resettlement Program
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236 (6 of 7)
 SFY14 Apprn Acct. No. S-XX- 501 & 226-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic self-sufficiency.

Source of Revenues: DHHS - Administration for Children and Families, Refugee Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	45,079	32,349	3,302	7,955	7,955	7,955	7,955
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	19,916	14,604	36	37	37	37	37
Expenditures	19,916	14,604	36	37	37	37	37
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	825	0	0	0
Unencumbered Cash Balance	0	0	0	(825)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236 (7 of 7)
 SFY14 Apprn Acct. No. S-XX-296-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program

Current Program Activities/Allowable Expenses: Provides funds for Low Income Home Energy Assistance Program (LIHEAP) payments.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000	1,000	1,000	1,000	1,000	1,000	1,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	100	100	100
Expenditures	0	0	0	0	100	100	100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 237
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99-198

Contact Name: Joey Wong
 Phone: 586-5645
 Fund type (MOF) N
 Appropriation Acct. No. S-206-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: U. S. Department of Agriculture - Food and Nutrition Service Food Stamp Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components and in employment. The latter activity is stressed and may occur concurrently with the provision of other program services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	545,138	163,791	265,075	458,851	458,851	458,851	458,851
Beginning Cash Balance	1,140	0	0	42	10,423	10,413	10,413
Revenues	234,199	148,035	151,782	324,420	214,609	214,619	214,619
Expenditures	235,339	148,035	151,740	314,039	214,619	214,619	214,619
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	42	10,423	10,413	10,413	10,413
Encumbrances							
Unencumbered Cash Balance	0	0	42	10,423	10,413	10,413	10,413

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 237
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99-198

Contact Name: Joey Wong
 Phone: 586-5645
 Fund type (MOF) N
 Appropriation Acct. No. S-545-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: U. S. Department of Agriculture - Food and Nutrition Service Food Stamp Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components and in employment. The latter activity is stressed and may occur concurrently with the provision of other program services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,647,646	1,051,098	1,136,595	1,526,136	1,526,136	1,526,136	1,526,136
Beginning Cash Balance	0	0	0	133,610	276,865	276,866	276,866
Revenues	597,011	387,895	561,342	699,118	561,341	561,341	561,341
Expenditures	597,011	387,895	427,732	555,863	561,341	561,341	561,341
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	133,610	276,865	276,866	276,866	276,866
Encumbrances							
Unencumbered Cash Balance	0	0	133,610	276,865	276,866	276,866	276,866

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-249-K
S-207-K (1 of 14)

Intended Purpose:
 Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,429,648	18,421,781	22,365,188	17,737,579	17,737,579	17,737,579	17,737,579
Beginning Cash Balance		0	0	0	0	0	0
Revenues	12,392,070	13,132,211	15,863,063	14,151,855	14,151,855	14,151,855	14,151,855
Expenditures	12,392,070	13,132,211	15,863,063	14,151,855	14,151,855	14,151,855	14,151,855
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	7,460	0					
Unencumbered Cash Balance	(7,460)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-255-K
S-207-K (2 of 14)

Intended Purpose:
 Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,256,318	1,087,446	1,000,624	1,012,906	1,012,906	1,012,906	1,012,906
Beginning Cash Balance		0	0	0	0	0	0
Revenues	554,412	453,727	681,195	641,199	641,199	641,199	641,199
Expenditures	554,412	453,727	681,195	641,199	641,199	641,199	641,199
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-281-K
S-207-K (3 of 14)

Intended Purpose:
 Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	283,280	567,708	665,502	561,327	561,327	561,327	561,327
Beginning Cash Balance		0	0	0	0	0	0
Revenues	234,585	228,009	485,879	303,499	303,499	303,499	303,499
Expenditures	234,585	228,009	485,879	303,499	303,499	303,499	303,499
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) P
 Appropriation Acct. No. S-502-K
S-207 (4 of 14)

Intended Purpose:

Strengthen and improve the federally supported programs to encourage adoptions of children with special needs and encourage support for the family.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Incentive Program)

Current Program Activities/Allowable Expenses: To strengthen & improve the federally supported programs for adoption of needy and dependent children

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	855,093	245,000	225,000	225,000	225,000	225,000	225,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-288-K
S-207-K (5 of 14)

Intended Purpose:
 Determination and redetermination of a child's eligibility for the Hawaii Medquest Plan.

Source of Revenues: Title XIX (CMS)

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	339,607	355,982	355,861	402,150	402,150	402,150	402,150
Beginning Cash Balance		0	0	0	0	0	0
Revenues	82,889	124,192	69,869	68,435	68,435	68,435	68,435
Expenditures	82,889	124,192	69,869	68,435	68,435	68,435	68,435
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: P.L. 93-647 Title XX SSA

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-207-K (6 of 14)

Intended Purpose: To provide services suited to individuals to prevent, reduce or eliminate dependency; achieve or maintain self-sufficiency; prevent neglect, abuse or exploitation of children and adults; prevent or reduce inappropriate institutional care; to secure admission or referral for institutional care when other forms of care are not appropriate.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families
 Title XX (Social Services Block Grant)

Current Program Activities/Allowable Expenses: Provide income eligible and current recipients of public assistance an array of services which will effectively assist these persons as prescribed on public welfare. Services are oriented toward achievement thru agency staff or thru purchase of services

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	24,114,103	16,800,773	14,039,977	22,409,433	25,507,768	25,507,768	25,507,768
Beginning Cash Balance	2,785,367	1,663,852	2,720,726	710,342	411,070	411,070	411,070
Revenues	11,986,121	14,439,628	11,672,107	14,856,033	15,155,304	15,155,304	15,155,304
Expenditures	13,107,636	13,382,753	13,716,357	15,155,304	15,155,304	15,155,304	15,155,304
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,663,852	2,720,726	676,476	411,070	411,070	411,070	411,070
Encumbrances	1,001,029	440,119	621,674	1,074,602	0	0	0
Unencumbered Cash Balance	662,823	2,280,607	54,802	(663,531)	411,070	411,070	411,070

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVB, Social Security Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-240-K (7 of 14)
prior to SY 2014 apnn is S-207

Intended Purpose: Protect and promote the welfare of all children; prevent abuse, neglect, or exploitation of children; support at-risk families through services that allow children to remain with their families or return to their families in a timely manner; promote safety, permanence, and well being of children in foster care and adoptive families; provide training, development, and support to ensure a well-qualified workforce.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families -

Current Program Activities/Allowable Expenses: Establishes, extends and strengthens services provided by state and local public welfare programs for development & preventative or protective services which will prevent the neglect, abuse, exploitation or delinquency of children

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	904,200	2,166,273	1,142,877	2,377,810	1,125,000	1,125,000	1,125,000
Beginning Cash Balance	569,866	447,302	0	1	2	2	2
Revenues	759,406	1,718,971	990,068	775,363	775,362	775,362	775,362
Expenditures	881,970	2,166,273	990,067	775,362	775,362	775,362	775,362
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	447,302	0	1	2	2	2	2
Encumbrances	0	0	0	166,871	0	0	0
Unencumbered Cash Balance	447,302	0	1	(166,869)	2	2	2

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVB, Social Security Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-247-K (8 of 14)
 prior to FY 2014 appn is S-207

Intended Purpose: Prevent child maltreatment among families at risk through the provision of supportive family services; assure children's safety within the home and preserve intact families in which children have been maltreated, when family's problems can be addressed effectively; address the problems of families whose children have been placed in foster care so that the reunification may occur in a safe and stable manner; to support adoptive families by providing support services as necessary so that they can make a lifetime commitment to their children.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families -

Current Program Activities/Allowable Expenses: Fund planning and design of a continuum of services responsive to the diverse needs of children and families and establishment of community-based family support (preventive services) and family preservation (services for families at risk or in crisis) services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,550,013	1,400,000	1,996,289	1,888,479	1,044,346	1,044,346	1,044,346
Beginning Cash Balance	51,310	17,288	5	106,314	0	0	0
Revenues	1,076,846	787,448	1,802,568	829,331	935,645	935,645	935,645
Expenditures	1,110,868	804,731	1,696,259	935,645	935,645	935,645	935,645
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17,288	5	106,314	0	0	0	0
Encumbrances	106,095	0	154,231	26,741	0	0	0
Unencumbered Cash Balance	(88,807)	5	(47,917)	(26,741)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVB, Social Security Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-246-K (9 of 14)
 prior to FY 2014 appn is S-207

Intended Purpose: Support monthly caseworker visit with children who are in foster care, improve the quality of caseworker visits with children in foster care under the responsibility of the state, with an emphasis on decision making on safety, permanency, and well being of foster children; activities designed to increase retention, recruitment, and training of caseworkers.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families - Title IVB Part 2 (Family Preservation & Support-Caseworker Visitation)

Current Program Activities/Allowable Expenses: Fund planning and design of a continuum of services responsive to the diverse needs of children and families and establishment of community-based family support (preventive services) and family preservation (services for families at risk or in crisis) services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	129,072	67,385	67,385	67,385	68,939	68,939	68,939
Beginning Cash Balance	2	0	0	0	0	0	0
Revenues	126,062	0	0	69,460	68,939	68,939	68,939
Expenditures	126,064	0	0	69,460	68,939	68,939	68,939
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: P.L. 92-272 Title IVE, Section 477

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-248-K (10 of 14)
 prior to FY 2014 appn is S-207

Intended Purpose: To assist foster youth make the transition to self-sufficiency; to receive education, training and related services to prepare for and obtain employment, postsecondary training and educational institutions; provide personal and emotional support through mentors and the promotion of interactions with dedicated adults; provide financial, housing, counseling, employment, education, other appropriate support and services to current and former foster care recipients up to the age of 21; provide services to youth who, after attaining 16 years of age, have left foster care for kinship guardianship or adoption; to ensure that children who are likely to remain in foster care until 18 years of age have regular, on-going opportunities to engage in age or developmentally appropriate activities.

Source of Revenues: U.S. Department of Health & Human Services - Independent Living Initiative

Current Program Activities/Allowable Expenses: Assist youth in foster care, ages 16-21, make the transition to independent living.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	728,532	778,586	1,031,085	500,000	500,000	500,000	500,000
Beginning Cash Balance	567	584	5	2	0	0	0
Revenues	433,578	490,611	885,614	496,073	500,000	500,000	500,000
Expenditures	433,561	491,190	885,617	496,075	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	584	5	2	0	0	0	0
Encumbrances	1,540	56,500	88,643	17,621	0	0	0
Unencumbered Cash Balance	(956)	(56,495)	(88,641)	(17,621)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: P.L. 92-272

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-239-K (11 of 14)
 prior to FY 2014 appn is S-207

Intended Purpose: Provide vouchers for postsecondary education and training to youth under the Chafee Foster Care Independence Program; provide vouchers to youth who leave foster care for adoption or kinship guardianship after age 16 up to age 23, as long as they are participating in the program at age 21 and are making satisfactory progress toward completing their course of study or training; vouchers may be available for the cost of attending an institution of higher education but shall not exceed the lesser of \$5,000 per grant year or the total cost of attendance as defined in section 472 of the Higher Education Act.

Source of Revenues: U.S. Department of Health & Human Services - Education Voucher and Training

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaing reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	204,246	249,924	251,983	425,524	164,326	164,326	164,326
Beginning Cash Balance	0	0	10,743	0	1	1	1
Revenues	78,591	128,933	121,473	134,221	134,220	134,220	134,220
Expenditures	78,591	118,190	132,216	134,220	134,220	134,220	134,220
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	10,743	0	1	1	1	1
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	10,743	0	1	1	1	1

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Development-Child Abuse and Neglect Basic State Grant, Part 1
 Legal Authority: P.L. 100-294

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-242-K (12 of 14)

Intended Purpose: Assist States in the support and improvement of their children protective services systems; an annual plan must be developed and approved by ACF.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families
 Child Abuse Prevention and Treatment Act

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	792,065	452,149	253,290	1,205,526	191,100	191,100	191,100
Beginning Cash Balance	2	2	23,421	23,421	118,896	118,896	118,896
Revenues	0	23,419	0	367,595	272,120	272,120	272,120
Expenditures	0	0	0	272,120	272,120	272,120	272,120
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2	23,421	23,421	118,896	118,896	118,896	118,896
Encumbrances	0	0	0	121,552	0	0	0
Unencumbered Cash Balance	2	23,421	23,421	(2,656)	118,896	118,896	118,896

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Children's Justice Act
 Legal Authority: P.L. 100-294

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) P
 Appropriation Acct. No. S-508-K (13 of 14)

Intended Purpose: To improve legal and administrative, civil and criminal proceedings relating to the investigation and prosecution of child abuse cases; to create model programs for testing innovative approaches; to reform state laws to provide protection for children.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families
 Children's Justice Act Grant

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	333,063	163,388	176,519	325,695	140,000	140,000	140,000
Beginning Cash Balance	0	1	58,880	49,945	(0)	(0)	(0)
Revenues	111,799	154,359	58,957	41,705	91,651	91,651	91,651
Expenditures	111,798	95,480	67,892	91,651	91,651	91,651	91,651
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	58,880	49,945	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1	58,880	49,945	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Family Violence Prevention and Services
 Legal Authority: P.L. 100-294

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-284-K (14 of 14)

Intended Purpose: Assist states in their efforts for preventing family violence and to carry out coordination, research, training, technical assistance, documentation and evaluation activities.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families
 Family Violence Prevention and Services State Grants

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,710,230	1,488,114	1,826,754	955,126	1,114,100	1,114,100	1,114,100
Beginning Cash Balance	9,105	4	6	29,378	2	2	2
Revenues	881,373	860,366	1,528,748	876,004	905,380	905,380	905,380
Expenditures	890,474	860,364	1,499,376	905,380	905,380	905,380	905,380
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4	6	29,378	2	2	2	2
Encumbrances	10,909	0	155,715	11,665	0	0	0
Unencumbered Cash Balance	(10,905)	6	(126,337)	(11,662)	2	2	2

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 302
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-215-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Supportive services, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,573,630	14,806,022	18,626,342	14,676,781	14,600,000	14,600,000	14,600,000
Beginning Cash Balance	440,029	339,428	5,827,046	246,023	126,918	126,918	126,918
Revenues	9,727,101	13,388,187	6,806,835	6,452,776	6,400,000	6,400,000	6,400,000
Expenditures	9,827,702	7,900,569	12,387,858	6,191,176	6,400,000	6,400,000	6,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				(380,705)			
Net Total Transfers	0	0	0	(380,705)	0	0	0
Ending Cash Balance	339,428	5,827,046	246,023	126,918	126,918	126,918	126,918
Encumbrances				1,273,106			
Unencumbered Cash Balance	339,428	5,827,046	246,023	(1,146,188)	126,918	126,918	126,918

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

		<u>S-16-215-K</u>	<u>S-17-215-K</u>	<u>S-19-215-K</u>	<u>S-20-215-K</u>	SUBTOTAL	<u>S-15-215-K</u>	<u>S-17-516-K</u>	<u>S-17-521-K</u>	<u>S-19-230-K</u>	<u>S-19-516-K</u>	<u>S-19-521-K</u>	<u>S-19-291-K</u>	<u>S-20-230-K</u>	<u>S-20-516</u>	<u>S-20-521-K</u>	Total	
J1378	JM3280	(0.01)				(0.01)	0.01											0.00
J1470	00JS2786		94.22			94.22		(93.97)	(0.25)									0.00
J0382	00JS1171			(2,818.84)		(2,818.84)					2,818.84							0.00
J1120	00JS1929			(4,118.00)		(4,118.00)				918.00	3,200.00							0.00
J1223	00JM2710			(13,462.00)		(13,462.00)						13,462.00						0.00
J1241	00JM2744			(29,929.00)		(29,929.00)			29,929.00									0.00
J1475	00JS2808			(58.77)		(58.77)					58.77							0.00
J2020	00JS3351			(170.26)		(170.26)			12.59			20.94	136.73					0.00
J3266	00JS5555			(6,807.53)	(3,790.03)	(10,597.56)					6,807.53						3,790.03	0.00
J3308	00JS5540				8,333.00	8,333.00								(1,873.00)	(6,460.00)			0.00
J2088	00JS3459				(4,170.00)	(4,170.00)								883.00	3,287.00			0.00
J2234	00JM4904				(6,242.00)	(6,242.00)											6,242.00	0.00
J2235	00JM4905				(12,316.00)	(12,316.00)											12,316.00	0.00
J3036	00JS4626				(4,178.00)	(4,178.00)								948.00	3,230.00			0.00
J3039	00JS4628				(4,178.00)	(4,178.00)								948.00	3,230.00			0.00
J3052	00JS4650				(4,155.00)	(4,155.00)								925.00	3,230.00			0.00
J3111	00JM6466				(10,320.00)	(10,320.00)								10,320.00				0.00
J3112	00JM6467				(10,026.00)	(10,026.00)								10,026.00				0.00
J3114	00JM6469				(147,516.47)	(147,516.47)											147,516.47	0.00
J3116	00JM6471				(52,818.00)	(52,818.00)											52,818.00	0.00
J3323	00JM7657				(72,059.00)	(72,059.00)											72,059.00	0.00
						0.00												0.00
						0.00												0.00
						0.00												0.00
						0.00												0.00
		<u>(0.01)</u>	<u>94.22</u>	<u>(57,364.40)</u>	<u>(323,435.50)</u>	<u>(380,705.69)</u>	<u>0.01</u>	<u>(93.97)</u>	<u>(0.25)</u>	<u>30,859.59</u>	<u>12,885.14</u>	<u>13,482.94</u>	<u>136.73</u>	<u>22,177.00</u>	<u>282,700.50</u>	<u>18,558.00</u>	<u>0.00</u>	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-289-K

Intended Purpose:
 Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,608,543	4,295,208	6,219,184	4,046,282	4,046,282	4,046,282	4,046,282
Beginning Cash Balance		0	0	0	0	0	0
Revenues	3,658,878	2,940,333	5,089,603	3,783,383	3,783,383	3,783,383	3,783,383
Expenditures	3,658,878	2,940,333	5,089,603	3,783,383	3,783,383	3,783,383	3,783,383
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF): N
 Appropriation Acct. No.: S-203-K

Intended Purpose:
 Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,728,565	15,056,042	16,180,278	16,582,052	16,582,052	16,582,052	16,582,052
Beginning Cash Balance		0	0	0	0	0	0
Revenues	14,556,856	13,777,684	14,626,682	12,650,429	12,650,429	12,650,429	12,650,429
Expenditures	14,556,856	13,777,684	14,626,682	12,650,429	12,650,429	12,650,429	12,650,429
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-512-K

Intended Purpose:

Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,128,384	1,888,957	2,823,183	3,214,766	3,214,766	3,214,766	3,214,766
Beginning Cash Balance		0	0	0	0	0	0
Revenues	2,062,932	1,557,033	2,582,733	2,224,663	2,224,663	2,224,663	2,224,663
Expenditures	2,062,932	1,557,033	2,582,733	2,224,663	2,224,663	2,224,663	2,224,663
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 305
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-225-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Child care subsidies and assistance payments to qualified recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	93,227,249	29,470,210	41,710,279	37,548,807	37,500,000	37,500,000	37,500,000
Beginning Cash Balance	33,342,508	2,376,623	2,307,547	91,439	3,832	3,832	3,832
Revenues	(1,398,975)	11,340,278	14,532,511	11,574,575	11,600,000	11,600,000	11,600,000
Expenditures	29,566,910	11,409,354	16,748,619	11,662,182	11,600,000	11,600,000	11,600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,376,623	2,307,547	91,439	3,832	3,832	3,832	3,832
Encumbrances							
Unencumbered Cash Balance	2,376,623	2,307,547	91,439	3,832	3,832	3,832	3,832

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 93-647 Title XX SSA

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-221-K

Intended Purpose: Funds are from the SSBG for social services best suited to the needs of the individuals residing in the State to prevent, reduce, or eliminate dependency and to help them achieve or maintain self-sufficiency.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families
 Title XX (Social Services Block Grant)

Current Program Activities/Allowable Expenses: Provide income eligible and current recipients of public assistance an array of services which will effectively prevent premature institutionalization of elderly/disabled adults through agency staff or the purchase of services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,468,705	704,650	1,072,724	1,132,771	725,550	725,550	725,550
Beginning Cash Balance	365,732	411,488	10,782	351,037	6,621	6,621	6,621
Revenues	695,153	(89,368)	754,282	189,228	533,644	533,644	533,644
Expenditures	649,397	311,338	414,027	533,644	533,644	533,644	533,644
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	411,488	10,782	351,037	6,621	6,621	6,621	6,621
Encumbrances	0	0	40	0	0	0	0
Unencumbered Cash Balance	411,488	10,782	350,997	6,621	6,621	6,621	6,621

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: Sec 1102, 49 stat, 647 (42 US 1302)

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-292-K
 prior to FY 2014 appn is S-221

Intended Purpose: General support for health care - adults.

Source of Revenues: U.S. Department of Health & Human Services - Centers for Medicare & Medicaid Services - Medical Title XIX

Current Program Activities/Allowable Expenses: Provide financial assistance for payment of medical assistance on behalf of cash assistance recipients and other medically needy, who except for income and resources are categorically eligible.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	48,163	0	0	0	0	0
Beginning Cash Balance	12,380	9,523	9,523	0	0	0	0
Revenues	0		0	0	0	0	0
Expenditures	2,857		9,523	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,523	9,523	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	9,523	9,523	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Respite Companion Program
 Legal Authority: Older Americans Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) P
 Appropriation Acct. No. S-504-K
 prior to FY 2014 appn is S-318

Intended Purpose: To assist the State in carrying out a national service program as authorized by the Domestic and Volunteer Service Act of 1973, as amended (42 U.S.C. Chapter 22).

Source of Revenues: Corporation for National & Community Service - Senior Companion Program

Current Program Activities/Allowable Expenses: Volunteer opportunities with stipends for low-income senior citizens age 60 or over to provide supportive services based on a care plan to service older adults with health-related problems in their own homes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	848,807	457,679	572,286	543,268	470,240	470,240	470,240
Beginning Cash Balance	86,186	84,337	21,592	77,407	562	562	562
Revenues	388,724	170,007	414,834	124,644	470,240	470,240	470,240
Expenditures	390,573	232,752	359,019	201,489	470,240	470,240	470,240
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	84,337	21,592	77,407	562	562	562	562
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	84,337	21,592	77,407	562	562	562	562

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 93-113 Title II Sec 211

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-509-K
 prior to FY 2014 appn is S-221

Intended Purpose: To assist the State in carrying out a national service program as authorized by the Domestic and Volunteer Service Act of 1973, as amended (42 U.S.C. Chapter 22).

Source of Revenues: Corporation for National & Community Service - Foster Grandparent Program

Current Program Activities/Allowable Expenses: Provide part-time volunteer opportunities with stipends for low-income persons age 60 or over. To render supportive person-to-person services in health, education, welfare and related residential and other settings to children having exceptional needs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	601,799	508,848	614,511	456,781	456,781	456,781	456,781
Beginning Cash Balance	103,360	140,228	30,928	190,571	19,898	19,898	19,898
Revenues	443,906	241,831	606,645	214,225	456,781	456,781	456,781
Expenditures	407,038	351,131	447,002	384,898	456,781	456,781	456,781
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	140,228	30,928	190,571	19,898	19,898	19,898	19,898
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	140,228	30,928	190,571	19,898	19,898	19,898	19,898

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: Domestic Volunteer Service Act of 1973, (42 USC Chapter 22)

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-221-K

Intended Purpose: Account balance as of FY2013 was zero. In FY2014, S-221 is now under HMS 601.

Source of Revenues: Corporation for National & Community Service - Retired Senior & Volunteer Program

Current Program Activities/Allowable Expenses: To assist in carrying out a national service program as authorized by the Domestic Volunteer Service Act of 1973, as amended (42 USC, Chapter 22).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 16-579

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No.: S-221-K

Intended Purpose: Investigate reported incidents of financial exploitation and utilize skills of an investigator/auditor to evaluate financial documents/ records and to refer appropriate cases to law enforcement agencies for criminal prosecution. Account balance was zeroed out in FY 2012.

Source of Revenues: Subgrant from Dept of the Attorney General for the Financial Exploitation Program

Current Program Activities/Allowable Expenses: Case management activities to prevent further financial exploitation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 16-579

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-510-K
 prior to FY 2014 appn is S-221

Intended Purpose: Case management for elderly victims of crime.

Source of Revenues: Subgrant from the Dept of the Attorney General for the Case Management for Elderly Victims of Crimes

Current Program Activities/Allowable Expenses: Improve services to elderly victims of abuse

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	57,180	0	0	54,443	0	0	0
Beginning Cash Balance	57,833	67,919	67,919	54,443	(0)	(0)	(0)
Revenues	32,781	0	0	0	0	0	0
Expenditures	22,695	0	13,476	54,443	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	67,919	67,919	54,443	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	67,919	67,919	54,443	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Respite Companion Program
 Legal Authority: Older Americans Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) P
 Appropriation Acct. No. S-528-K
 prior to FY 2014 appn is S-318

Intended Purpose: Foster economic self-sufficiency, provide career skills training, and promote useful part-time employment through community service assignment; assists unemployed, low-income seniors re-enter the workforce. Develop, implement, and evaluate successful or promising interventions, practices, and programs to prevent elder abuse, neglect, and exploitation, including adult protective service programs.

Source of Revenues: Subgrant from the State Department of Labor & Industrial Relations

Current Program Activities/Allowable Expenses: Limited personal care services to frail elderly clients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	349,094	280,376	244,701	234,240	228,712	228,712	228,712
Beginning Cash Balance	139,181	221,146	99,082	28,038	5,763	5,763	5,763
Revenues	201,786	15,218	317,992	114,833	228,712	228,712	228,712
Expenditures	119,821	137,282	389,036	137,108	228,712	228,712	228,712
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	221,146	99,082	28,038	5,763	5,763	5,763	5,763
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	221,146	99,082	28,038	5,763	5,763	5,763	5,763

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: APS Data Quality Improvement Project
 Legal Authority: Title XX of SSA, Subtitle B Sec 2042

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) P
 Appropriation Acct. No. S-551-K

Intended Purpose: To initiate QA process to identify completion of conducted investigations, add new computer data to identify victim information and case processing, and participation with NAMRS.

Source of Revenues: DHHS ACL - AOA Elder Justice & Adult Protective Services APS Grants to States

Current Program Activities/Allowable Expenses: QA Process to identify completion of conducted investigations, add new computer data to identify victim information and case processing, and participation with NAMRS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	212,898	118,683	54,688	0	0	0
Beginning Cash Balance	0	0	235	0	0	0	0
Revenues	0	94,449	63,761	22,441	0	0	0
Expenditures	0	94,214	63,996	22,441	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	235	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	235	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 888
 Name of Fund: Commission on the Status of Women
 Legal Authority: Act 147, SLH 2005

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) T
 Appropriation Acct. No. T-926-K

Intended Purpose: To receive private contributions to support women's health, educational, vocational and safety programs.

Source of Revenues: Donations

Current Program Activities/Allowable Expenses: Expenditures that support the programs for the Commission on the Status of Women and assist the commission on becoming more self-sufficient.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,564	3,564	3,564	3,564	3,764	3,764	3,764
Revenues	0	0	0	200	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,564	3,564	3,564	3,764	3,764	3,764	3,764
Encumbrances							
Unencumbered Cash Balance	3,564	3,564	3,564	3,764	3,764	3,764	3,764

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 888
 Name of Fund: Paid Leave Analysis Grant
 Legal Authority: P.L. 113-128

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) P
 Appropriation Acct. No. S-546-K

Intended Purpose: To conduct paid leave analysis and research in the State

Source of Revenues: U.S Department of Labor - Employment and Training Administration

Current Program Activities/Allowable Expenses: Grant projects include: Economic analysis and paid leave benefit modeling; a feasibility and implementation study; public polling; and focus groups

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	240,000	126,819	16,569				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	113,181	110,250	0	0	0	0	0
Expenditures	113,181	110,250	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-244-K

Intended Purpose:
 Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care Program)

Current Program Activities/Allowable Expenses: Administration of programs for foster care of needy and dependent children, adoption of children with special special needs and guardianship assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,157,868	2,941,687	2,736,883	2,979,897	2,979,897	2,979,897	2,979,897
Beginning Cash Balance		0	0	0	0	0	0
Revenues	1,214,390	365,504	2,326,409	1,979,034	1,979,034	1,979,034	1,979,034
Expenditures	1,214,390	365,504	2,326,409	1,979,034	1,979,034	1,979,034	1,979,034
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-513-K
 FY appro no. S-244-K

Intended Purpose:
 Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Administration of programs for foster care of needy and dependent children, adoption of children with special special needs and guardianship assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,751	75,609	112,268	77,599	77,599	77,599	77,599
Beginning Cash Balance		0	0	0	0	0	0
Revenues	48,988	23,872	83,116	43,407	43,407	43,407	43,407
Expenditures	48,988	23,872	83,116	43,407	43,407	43,407	43,407
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-514-K
 FY appro no. S-244-K

Intended Purpose:

Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Programs)

Current Program Activities/Allowable Expenses: Administration of programs for guardianship of needy and dependent children.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	27,907	28,570	77,641	125,665	125,665	125,665	125,665
Beginning Cash Balance		0	0	0	0	0	0
Revenues	20,994	8,059	31,290	64,545	64,545	64,545	64,545
Expenditures	20,994	8,059	31,290	64,545	64,545	64,545	64,545
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-515
 FY appro no. S-244-K

Intended Purpose:
 Determination and redetermination of a child's eligibility for the Hawaii Medquest Plan.

Source of Revenues: Title XIX (CMS)

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	34,144	29,373	34,875	38,604	38,604	38,604	38,604
Beginning Cash Balance		0	0	0	0	0	0
Revenues	6,819	11,076	4,641	5,012	5,012	5,012	5,012
Expenditures	6,819	11,076	4,641	5,012	5,012	5,012	5,012
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (1 of 11)
 SFY14 Apprn acct. No. S-XX-530-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of those programs.

Source of Revenues: DHHS, ACF Tanf Title IV-A

Current Program Activities/Allowable Expenses: Administrative support to public welfare programs, including program development, training, investigative and recovery services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,686,040	4,502,032	5,596,300	6,819,517	6,819,517	6,819,517	6,819,517
Beginning Cash Balance	62,271	0	0	0	81,617	81,617	81,617
Revenues	3,530,157	1,908,238	1,888,350	3,155,629	3,155,629	3,155,629	3,155,629
Expenditures	3,592,428	1,908,238	1,888,350	3,074,012	3,155,629	3,155,629	3,155,629
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	81,617	81,617	81,617	81,617
Encumbrances	275,183	349,001	386,407	192,000	0	0	0
Unencumbered Cash Balance	(275,183)	(349,001)	(386,407)	(110,383)	81,617	81,617	81,617

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Supplemental Nutrition Assistance Program
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (2 of 11)
 SFY14 Apprn acct. No. S-XX-227-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Determines eligibility for food stamps assistance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	36,411,919	8,455,265	9,949,541	16,439,977	16,439,977	16,439,977	16,439,977
Beginning Cash Balance	0	0	3,104,689	3,107,204	25,181	25,181	25,181
Revenues	9,950,060	6,927,599	4,503,233	6,244,771	9,326,793	9,326,793	9,326,793
Expenditures	9,950,060	3,822,910	4,500,719	9,326,793	9,326,793	9,326,793	9,326,793
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	3,104,689	3,107,204	25,181	25,181	25,181	25,181
Encumbrances	1,351,054	1,335,112	579,463	1,649,216	0	0	0
Unencumbered Cash Balance	(1,351,054)	1,769,577	2,527,740	(1,624,034)	25,181	25,181	25,181

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Medical Assistance Program
 Legal Authority: Sec. 1102, 49 Stat. 647 (42 U.S.C 1302)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (3 of 11)
 SFY14 Apprn acct. No. S-XX-518-K

Intended Purpose: Provides financial assistance to states for payments of medical assistance on behalf of cash assistance recipients and other medically needy who, except for income and resources, are categorically eligible.

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance for payment of medical assistance on behalf of cash assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	498,658	34,419	131,631	156,563	156,563	156,563	156,563
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,554	46	67	22	22	22	22
Expenditures	2,554	46	67	22	22	22	22
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	175	0	0	0
Unencumbered Cash Balance	0	0	0	(175)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5647
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (4 of 11)
 SFY14 Apprn acct. No. S-XX-529-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	175,043,173	105,858,005	104,786,739	140,883,963	140,883,963	140,883,963	140,883,963
Beginning Cash Balance	37,074	792,859	691,193	976,785	1,184,705	1,184,705	1,184,705
Revenues	22,341,886	17,967,817	15,307,264	17,719,297	17,719,297	17,719,297	17,719,297
Expenditures	21,586,101	18,069,483	15,021,672	17,511,377	17,719,297	17,719,297	17,719,297
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	792,859	691,193	976,785	1,184,705	1,184,705	1,184,705	1,184,705
Encumbrances	0	0	14,693,299	10,726,206			
Unencumbered Cash Balance	792,859	691,193	(13,716,514)	(9,541,500)	1,184,705	1,184,705	1,184,705

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5647
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (5 of 11)
 SFY14 Apprn acct. No. S-XX-516-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	787,869	667,848	1,164,277	784,979	784,979	784,979	784,979
Beginning Cash Balance	0	94	94	94	0	0	0
Revenues	287,293	63,203	914,972	298,858	298,858	298,858	298,858
Expenditures	287,199	63,203	914,972	298,952	298,858	298,858	298,858
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	94	94	94	0	0	0	0
Encumbrances	0	0	0	56,500			
Unencumbered Cash Balance	94	94	94	(56,500)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (6 of 11)
 SFY14 Apprn acct. No. S-XX-519-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program

Current Program Activities/Allowable Expenses: Provides funds for LIHEAP payments.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,375,244	760,852	801,801	840,295	840,295	840,295	840,295
Beginning Cash Balance	0	0	15,420	3,698	13,464	13,464	13,464
Revenues	686,991	662,496	370,077	380,715	370,948	370,948	370,948
Expenditures	686,991	647,076	381,800	370,948	370,948	370,948	370,948
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	15,420	3,698	13,464	13,464	13,464	13,464
Encumbrances	138,866	25,578	26,507	81,898	0	0	0
Unencumbered Cash Balance	(138,866)	(10,158)	(22,809)	(68,434)	13,464	13,464	13,464

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99 - 198

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (7 of 11)
 SFY14 Apprn acct. No. S-XX-517-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: USDA - FNS, SNAP Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	39,316	103,044	135,611	188,433	188,433	188,433	188,433
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	22,081	92	16,084	7,004	7,004	7,004	7,004
Expenditures	22,081	92	16,084	7,004	7,004	7,004	7,004
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	92	24,341	0	10,000	0	0	0
Unencumbered Cash Balance	(92)	(24,341)	0	(10,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (8 of 11)
 SFY14 Apprn acct. No. S-XX-531-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of those programs.

Source of Revenues: DHHS, ACF Tanf Title IV-A

Current Program Activities/Allowable Expenses: Administrative support to public welfare programs, including program development, training, investigative and recovery services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	615,264	589,210	733,274	573,796	573,796	573,796	573,796
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	38,483	32,947	187,928	189,601	189,601	189,601	189,601
Expenditures	38,483	32,947	187,928	189,601	189,601	189,601	189,601
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	35,751	16,607	0	0	0	0	0
Unencumbered Cash Balance	(35,751)	(16,607)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: SNAP - Obesity Prevention
 Legal Authority: P.L. 111 - 296

Contact Name: Joey Wong
 Phone: 586-5645
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-520-K (9 of 11)

Intended Purpose: To improve the likelihood that persons eligible for SNAP will make healthy food choices within a limited budget and choose physically self-sufficiency via an array of community - based programs & services in addition to nutrition education.

Source of Revenues: USDA - FNS, SNAP

Current Program Activities/Allowable Expenses: Develop a nutrition education program for Hawaii's low-income households to improve making healthy food choices and choosing physically active lifestyles.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,642,640	1,888,021	1,759,830	1,251,581	1,251,581	1,251,581	1,251,581
Beginning Cash Balance	1,409	0	0	299,559	277,406	277,406	277,406
Revenues	1,149,860	654,091	1,721,399	521,156	988,000	988,000	988,000
Expenditures	1,151,269	654,091	1,421,840	543,309	988,000	988,000	988,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	299,559	277,406	277,406	277,406	277,406
Encumbrances	278,605	1,096,024	75,752	75,776			
Unencumbered Cash Balance	(278,605)	(1,096,024)	223,807	201,630	277,406	277,406	277,406

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Refugee Resettlement Program
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (10 of 11)
 SFY14 Apprn acct. No. S-XX-228-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic self-sufficiency via an array of community - based programs & services.

Source of Revenues: DHHS - Administration for Children and Families, Refugee Resettlement Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,992	3,456	4,119	2,621	2,621	2,621	2,621
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	104	102	5	5	100	100	100
Expenditures	104	102	5	5	100	100	100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	55	0	0	0
Unencumbered Cash Balance	0	0	0	(55)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5647
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (11 of 11)
 SFY14 Apprn acct. No. S-XX-532-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):
 Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,889,441	2,132,358	2,102,714	2,332,817	2,332,817	2,332,817	2,332,817
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	898,526	382,415	573,150	699,080	699,080	699,080	699,080
Expenditures	898,526	382,415	573,150	699,080	699,080	699,080	699,080
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	330,000			
Unencumbered Cash Balance	0	0	0	(330,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (1 of 11)
 SFY14 Apprn Acct. No. S-XX-534-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of these program.

Source of Revenues: DHHS, ACF Tanf Title IV-A.

Current Program Activities/Allowable Expenses: Administrative support for the Aid to Families with Dependent Children.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	471,928	495,680	378,439	718,555	718,555	718,555	718,555
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	224,699	217,579	181,304	156,872	156,872	156,872	156,872
Expenditures	224,699	217,579	181,304	156,872	156,872	156,872	156,872
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	111,500	0	0	0
Unencumbered Cash Balance	0	0	0	(111,500)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Supplemental Nutrition Assistance Program
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (2 of 11)
 SFY14 Apprn Acct. No. S-XX-291-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the issuance of food stamps.

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Administrative support to the Supplemental Nutrition Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,109,233	1,957,903	1,569,952	4,105,497	4,105,497	4,105,497	4,105,497
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,300,304	1,410,527	1,117,852	964,447	964,447	964,447	964,447
Expenditures	1,300,304	1,410,527	1,117,852	964,447	964,447	964,447	964,447
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	805,000	0	0	0
Unencumbered Cash Balance	0	0	0	(805,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Medical Assistance Program
 Legal Authority: Sec. 1102, 49 Stat. 647 (42 U.S.C 1302)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (3 of 11)
 SFY14 Apprn Acct. No. S-XX-523-K

Intended Purpose: Provides financial assistance to states for payments of medical assistance on behalf of cash assistance recipients and other medically needy who, except for income and resources, are categorically eligible.

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,693,826	1,413,843	398,343	463,556	463,556	463,556	463,556
Beginning Cash Balance	0	(0)	(0)	(0)	(0)	(0)	(0)
Revenues	214,036	290,102	54,787	88,135	88,135	88,135	88,135
Expenditures	214,036	290,102	54,787	88,135	88,135	88,135	88,135
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(0)	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	127,000	0	0	0
Unencumbered Cash Balance	(0)	(0)	(0)	(127,000)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (4 of 11)
 SFY14 Apprn Acct. No. S-XX-524-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program.

Current Program Activities/Allowable Expenses: Administrative support to the Low Income Home Energy Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	23,731	24,339	10,552	5,258	5,258	5,258	5,258
Beginning Cash Balance	0	0	0	0	1	1	1
Revenues	7,298	1,823	3,164	2	3,143	3,143	3,143
Expenditures	7,298	1,823	3,164	1	3,143	3,143	3,143
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1	1	1	1
Encumbrances	0	0	0	3,143	0	0	0
Unencumbered Cash Balance	0	0	0	(3,143)	1	1	1

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99-198

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (5 of 11)
 SFY14 Apprn Acct. No. S-XX-522-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: USDA - FNS, SNAP Employment and Training.

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,139	28,257	11,559	50,629	50,629	50,629	50,629
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	5,129	4,831	1,933	0	1,000	1,000	1,000
Expenditures	5,129	4,831	1,933	0	1,000	1,000	1,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	8,600	0	0	0
Unencumbered Cash Balance	0	0	0	(8,600)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (6 of 11)
 SFY14 Apprn Acct. No. S-XX-533-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	158,047	221,236	213,090	589,482	589,482	589,482	589,482
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	48,056	80,709	23,165	100,465	100,465	100,465	100,465
Expenditures	48,056	80,709	23,165	100,465	100,465	100,465	100,465
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				124,000			
Unencumbered Cash Balance	0	0	0	(124,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (7 of 11)
 SFY14 Apprn Acct. No. S-XX-521-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	74,993	111,118	899,280	378,078	378,078	378,078	378,078
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	25,261	49,308	741,203	32,041	32,041	32,041	32,041
Expenditures	25,261	49,308	741,202	32,041	32,041	32,041	32,041
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				57,000			
Unencumbered Cash Balance	0	0	0	(57,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Refugee Resettlement Program
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (8 of 11)
 SFY14 Apprn Acct. No. S-XX-235-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic self-sufficiency.

Source of Revenues: DHHS - Administration for Children and Families, Refugee Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500	1,500	1,500	1,000	1,000	1,000	1,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	100	100	100
Expenditures	0	0	0	0	100	100	100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVE, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (9 of 11)
For fy 14 new Appro S-14-525

Intended Purpose: Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance).

Current Program Activities/Allowable Expenses: Administration of programs for adoption assistance on needy and dependent children and adoption of children with special needs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,808	9,974	26,289	22,701	22,701	22,701	22,701
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	4,980	1,560	15,170	8,667	8,667	8,667	8,667
Expenditures	4,980	1,560	15,170	8,667	8,667	8,667	8,667
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVE, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (10 of 11)
For fy 14 new Appro S-14-526

Intended Purpose: Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care).

Current Program Activities/Allowable Expenses: Administration of programs for foster care on needy and dependent children.
and recovery services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	116,896	78,738	196,014	162,864	162,864	162,864	162,864
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	47,725	26,160	99,273	88,008	88,008	88,008	88,008
Expenditures	47,725	26,160	99,273	88,008	88,008	88,008	88,008
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVE, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (11 of 11)
For fy 14 new Appro S-14-527

Intended Purpose: Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance).

Current Program Activities/Allowable Expenses: Administration of programs for guardianship assistance on needy and dependent children.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,932	2,043	4,500	9,708	9,708	9,708	9,708
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,007	472	4,193	3,205	3,205	3,205	3,205
Expenditures	2,007	472	4,193	3,205	3,205	3,205	3,205
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 238
 Name of Fund: Disability Determination
 Legal Authority: Social Security Act, Title II 1954 and Title XVI 1972

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-238-K

Intended Purpose:

To determine the eligibility of applicants for disability insurance and supplemental security income by establishing whether or not an applicant is totally disabled for a period of not less than 12 consecutive months for substantial employment.

Source of Revenues:

Social Security Administration Disability Programs

Current Program Activities/Allowable Expenses:

In addition to determining eligibility for benefits, the program refers appropriate clients for vocational rehabilitation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,052,483	9,774,551	10,981,266	9,847,922	9,847,922	9,847,922	9,847,922
Beginning Cash Balance	1,502	91,106	91,091	115,260	91,622	0	0
Revenues	6,583,420	6,843,924	7,253,967	6,662,570	6,655,000	6,655,000	6,655,000
Expenditures	6,493,816	6,843,939	7,229,798	6,686,208	6,746,622	6,655,000	6,655,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	91,106	91,091	115,260	91,622	0	0	0
Encumbrances	549,395	483,275	365,577	446,511			
Unencumbered Cash Balance	(458,289)	(392,184)	(250,317)	(354,889)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Independent Living Sevices for Older Blind Individuals
 Legal Authority: Rehabilitation Act of 1973

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-294-K)
 (1 of 7)

Intended Purpose:

Provide services to older blind individuals to help them attain their goals of living independently

Source of Revenues:

U.S. Department of Education Grant - Independent Living Services for Older Individuals who are Blind

Current Program Activities/Allowable Expenses:

Provide independent living skills training in money management, travel, meal preparation, self care, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	431,009	414,313	516,773	471,933	471,933	471,933	471,933
Beginning Cash Balance	22,707	26,951	26,913	5,713	62,055	0	0
Revenues	155,514	300,513	40,929	91,217	225,000	225,000	225,000
Expenditures	151,270	300,551	62,129	34,875	287,055	225,000	225,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	26,951	26,913	5,713	62,055	0	0	0
Encumbrances							
Unencumbered Cash Balance	26,951	26,913	5,713	62,055	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Hawaii
 Legal Authority: P.L. 100-407

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-297-K)
 (2 of 7)

Intended Purpose:

Supportive technology system for persons with disabilities

Source of Revenues:

U.S. Department of Health and Human Services Grant - State Grants for Assistive Technology

Current Program Activities/Allowable Expenses:

Development of a statewide model system to deliver technology-related services and devices to person with disabilities

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	522,185	1,049,910	1,138,580	1,240,453	1,240,453	1,240,453	1,240,453
Beginning Cash Balance	0	0	7,678	0	0	0	0
Revenues	371,007	386,572	387,288	739,020	438,000	438,000	438,000
Expenditures	371,007	378,894	394,966	739,020	438,000	438,000	438,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	7,678	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	7,678	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Social Security Program Income
 Legal Authority: P.L. 97-35 OBRA 1981

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-241-K, S-282-K
 (3 of 7)

Intended Purpose:

Reimbursement funds are received from the Social Security Administration for rehabilitating Social Security clients

Source of Revenues:

Social Security Administration

Current Program Activities/Allowable Expenses:

The current activities include: 1) the processing of applications, 2) the development of rehabilitation plans, 3) placement of individuals in rehabilitation programs and 4) placement of individuals in jobs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,569,009	14,715,518	14,679,113	14,979,113	14,979,113	14,979,113	14,979,113
Beginning Cash Balance	160,302	4,421	364,143	416,579	549,487	549,487	549,487
Revenues	149,830	363,990	426,374	929,352			
Expenditures	305,711	4,268	373,938	796,444			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,421	364,143	416,579	549,487	549,487	549,487	549,487
Encumbrances							
Unencumbered Cash Balance	4,421	364,143	416,579	549,487	549,487	549,487	549,487

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Supported Employment
 Legal Authority: P.L. 99-506

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-295-K)
 (4 of 7)

Intended Purpose:

Provide services to individuals with severe disabilities in attaining job opportunities and employment in the private sector, which would not normally have been offered to them.

Source of Revenues:

U.S. Department of Education Grant - Supported Employment services for individuals with servere disabilities.

Current Program Activities/Allowable Expenses:

Place and train persons with the most severe disabilities to enable them to maintain competitive employment in integrated settings

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	553,887	450,000	775,900	471,315	471,315	471,315	471,315
Beginning Cash Balance	0	0	7,200	0	598	0	0
Revenues	253,887	131,300	51,750	46,891	300,000	300,000	300,000
Expenditures	253,887	124,100	58,950	46,293	300,598	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	7,200	0	598	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	7,200	0	598	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Independent Living
 Legal Authority: P.L. 99-506

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-293-K)
(5 of 7)

Intended Purpose:

Provide services to severely disabled persons so they may attain their goal of functioning independently outside of institutions.

Source of Revenues:

U.S. Department of Health and Human Services Grant - Independent Living - State Grants

Current Program Activities/Allowable Expenses:

1. Process applications for services; 2. Assess needs; 3. Develop service plan; 4. Provide services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	471,577	518,797	799,762	563,765	563,765	563,765	563,765
Beginning Cash Balance	0	0	8,412	1,387	0	0	0
Revenues	310,384	278,656	311,010	125,438	312,000	312,000	312,000
Expenditures	310,384	270,244	318,035	126,825	312,000	312,000	312,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	8,412	1,387	0	0	0	0
Encumbrances			5,809				
Unencumbered Cash Balance	0	8,412	(4,422)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Training (Grant closed 09/30/15)
 Legal Authority: P.L. 93-112

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-298-K)
(6 of 7)

Intended Purpose:

To increase the number of personnel trained in providing vocational rehabilitation services to handicapped individuals.

Source of Revenues:

U.S. Department of Education Grant - Rehabilitation training - State Vocational Rehabilitation Unit In-Service Training

Current Program Activities/Allowable Expenses:

Plan for and provide training to improve the program's capacity to meet the employment needs of persons with disabilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,053						
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0						
Expenditures	0						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Basic Support
 Legal Authority: P.L. 95-602

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K
(7 of 7)

Intended Purpose:

Provide vocational rehabilitation services to persons with mental and physical handicaps to achieve gainful employment.

Source of Revenues:

U.S. Department of Education Grant - Rehabilitation services - Vocational Rehabilitation grants to States

Current Program Activities/Allowable Expenses:

The current activities include: 1) the processing of applications, 2) the development of rehabilitation plans, 3) placement of individuals in rehabilitation

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	26,678,824	18,662,397	20,428,593	21,082,922	21,082,922	21,082,922	21,082,922
Beginning Cash Balance	2,289,901	2,571,092	2,641,202	2,368,446	2,752,569	0	0
Revenues	16,128,198	11,709,001	7,835,364	6,350,523	12,700,000	12,700,000	12,700,000
Expenditures	15,847,007	11,638,891	8,108,120	5,966,400	15,452,569	12,700,000	12,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,571,092	2,641,202	2,368,446	2,752,569	0	0	0
Encumbrances		24,527	2,034,264	2,576,069			
Unencumbered Cash Balance	2,571,092	2,616,675	334,182	176,500	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Blind Shop Revolving & Handicraft Fund
 Legal Authority: HRS 347-12 (Abolished by Act 042, SLH 2016)

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) W
 Appropriation Acct. No. S-301-K

Intended Purpose:

The fund is to be used for workshop or home labor purposes for the blind who will benefit from such experience. Monies in the fund may be expended for materials, machinery, and payment of compensation. All proceeds derived from the sale of products or home labor shall be deposited in the fund.

Source of Revenues:

Sale of products or home labor.

Current Program Activities/Allowable Expenses:

The program operates the Ho'opono workshop for the blind. Participants make or package items for resale to fund the operation of the workshop.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,330						
Beginning Cash Balance	71,330	0	0	0	0	0	0
Revenues							
Expenditures	71,330						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Act 232/94 HRS 346-7.5

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) B
 Appropriation Acct. No. S-314-K

Intended Purpose: This is the Spouse and Child Abuse Special Fund - SCASF; for staff programs and grants or purchase of services tha support or provide spouse or child abuse intervention or prevention as authorized by law. Proceeds are from the fees collected by the Vital Records of the Department of Health.

Source of Revenues: DOH fees remitted for issuance of marriage license and fees remitted for certified copies of birth, marriage, and death certificates. (Spouse & Child Abuse)

Current Program Activities/Allowable Expenses: Funding used for services that support or provide spouse or child abuse intervention or prevention

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,087,587	1,009,571	1,007,587	1,007,587	1,007,587	1,007,587	1,007,587
Beginning Cash Balance	413,536	162,060	2,152	377,323	342,881	342,881	342,881
Revenues	595,015	418,209	444,943	382,421	416,863	416,863	416,863
Expenditures	846,491	578,117	69,772	416,863	416,863	416,863	416,863
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	162,060	2,152	377,323	342,881	342,881	342,881	342,881
Encumbrances	1,984	191	0	0	0	0	0
Unencumbered Cash Balance	160,076	1,961	377,323	342,881	342,881	342,881	342,881

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Randolph Sheppard Account (Blind Vendors)
 Legal Authority: Act 070/91

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) W
 Appropriation Acct. No. S-350-K

Intended Purpose:

Income from vending machines on federal property to be disbursed to blind vendors and for upgrading vending facility program.

Source of Revenues:

Income from vending machines on Federal property.

Current Program Activities/Allowable Expenses:

Assist blind vendors and promote vending machine sites in government buildings.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,330,200	1,330,200	1,330,200	1,330,200	1,330,200	1,330,200	1,330,200
Beginning Cash Balance	1,252,303	1,312,701	1,629,868	2,004,438	2,126,312	2,126,312	2,126,312
Revenues	777,429	895,792	1,099,073	968,232	240,000	240,000	240,000
Expenditures	717,031	578,625	724,503	846,358	240,000	240,000	240,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,312,701	1,629,868	2,004,438	2,126,312	2,126,312	2,126,312	2,126,312
Encumbrances							
Unencumbered Cash Balance	1,312,701	1,629,868	2,004,438	2,126,312	2,126,312	2,126,312	2,126,312

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Special Deposits
 Legal Authority: Administratively established

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) T
 Appropriation Acct. No. T-903-K

Intended Purpose: To hold Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) in trust for foster children until they reach the age of majority.

Source of Revenues: Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) from the Social Security Administration on behalf of children in foster care.

Current Program Activities/Allowable Expenses: Cost of maintenance of foster children in out-of home care under the placement responsibility of DHS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	414,732	440,686	404,612	568,168	653,311	712,955	772,599
Revenues	26,454	9,910	168,834	247,139	113,084	113,084	113,084
Expenditures	500	45,984	5,278	161,996	53,440	53,440	53,440
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	440,686	404,612	568,168	653,311	712,955	772,599	832,243
Encumbrances							
Unencumbered Cash Balance	440,686	404,612	568,168	653,311	712,955	772,599	832,243

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: SSI Dedicated Funds
 Legal Authority: Administratively Established

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) T
 Appropriation Acct. No. T-905-K

Intended Purpose: To hold Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) in trust for foster children until they reach the age of majority.

Source of Revenues: Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) from the Social Security Administration on behalf of children in foster care.

Current Program Activities/Allowable Expenses: Cost of maintenance of foster children in out-of-home care under the placement responsibility of DHS. Approval has to be received by the Social Security Administration before any disbursements are made.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	10,606	10,606	10,606	10,606	10,606	10,606	10,606
Revenues	8,994	0	0	0	0	0	0
Expenditures	8,994	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,606	10,606	10,606	10,606	10,606	10,606	10,606
Encumbrances							
Unencumbered Cash Balance	10,606	10,606	10,606	10,606	10,606	10,606	10,606

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Donations for Sight Conservation
 Legal Authority: HRS 347-10

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) T
 Appropriation Acct. No. T-908-K

Intended Purpose:

Donation account for sight conservation.

Source of Revenues:

Donations.

Current Program Activities/Allowable Expenses:

Expend or distribute donations, eye glasses and other services for sight conservation and for assistance to blind and visually handicapped persons.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	373,641	375,384	388,285	361,920	361,602	361,602	361,602
Revenues	6,743	19,326	4,839	8,685	5,000	5,000	5,000
Expenditures	5,000	6,425	31,204	9,003	5,000	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	375,384	388,285	361,920	361,602	361,602	361,602	361,602
Encumbrances							
Unencumbered Cash Balance	375,384	388,285	361,920	361,602	361,602	361,602	361,602

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Recruitment of Foster Parents (Respite Companion Svc Prog Acct)
 Legal Authority: Section 346-14 & 346-56, HRS

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): T
 Appropriation Acct. No.: T-915-K

Intended Purpose: The grant was from PY05-SCSEP-CC-DHS and the account balance of \$6,110 was returned to DOL as of FY2017.

Source of Revenues: Financed by federal funds from the U.S. Department of Labor

Current Program Activities/Allowable Expenses: This was established to pay part time respite companions their accumulated vacation credits in the event that this grant is discontinued.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	6,110	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	6,110	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Donations for Social Services
 Legal Authority: Administratively established

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): T
 Appropriation Acct. No.: T-918-K

Intended Purpose: Established as a holding account for private donations from various sources.

Source of Revenues: Donations.

Current Program Activities/Allowable Expenses: Funds are disbursed to meet the acute emergency needs of clients who need immediate cash to purchase food or other goods or services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	907,624	1,048,030	954,864	1,101,478	1,097,374	1,097,374	1,097,374
Revenues	444,753	434,743	434,657	437,250	441,354	441,354	441,354
Expenditures	304,347	527,909	288,043	441,354	441,354	441,354	441,354
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,048,030	954,864	1,101,478	1,097,374	1,097,374	1,097,374	1,097,374
Encumbrances			59,312	56,528	0	0	0
Unencumbered Cash Balance	1,048,030	954,864	1,042,166	1,040,846	1,097,374	1,097,374	1,097,374

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): Not Applicable
 Name of Fund: Temporary Deposits-Payroll Overpayments
 Legal Authority: Administratively Established

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) T
 Appropriation Acct. No. T-922-K

Intended Purpose: Temporary holding account for payroll overpayments.

Source of Revenues: Reimbursements from staff who were overpaid.

Current Program Activities/Allowable Expenses: When all overpayments have been received from an employee, the funds are reimbursed to the account from which the overpayment occurred.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	49,801	54,071	58,865	61,577	63,012	72,553	82,094
Revenues	18,602	15,415	2,712	1,435	9,541	9,541	9,541
Expenditures	14,332	10,621	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	54,071	58,865	61,577	63,012	72,553	82,094	91,635
Encumbrances							
Unencumbered Cash Balance	54,071	58,865	61,577	63,012	72,553	82,094	91,635

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 211
 Name of Fund: Electronic Benefit Transfer (EBT) Account
 Legal Authority: N/A

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): T
 Appropriation Acct. No. T-XX-923-K

Intended Purpose: This trust account was established to accommodate the Electronic Benefit Transfer (EBT) transactions. Funds are transferred into this account when financial benefits are authorized to the Department's clients and are then transferred daily to the DHS' contractor who issues the actual benefits to the clients when they access their accounts electronically.

Source of Revenues: DHHS - ACF, TANF Grant for TANF Cash Assistance (AF-F). The general fund is the source of revenue for the TAONF (AF-State). Amounts represent combined federal and general funds. The federal portion of TANF Cash Assistance (AF-F) is included in Appropriation Account S-XX-201-K.

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	595,698	10,845,514	4,920,094	88,413	551,717	551,717	551,717
Revenues	66,522,572	62,628,499	59,006,891	61,382,011	61,300,000	61,300,000	61,300,000
Expenditures	56,272,756	68,553,919	63,838,572	60,918,707	61,300,000	61,300,000	61,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,845,514	4,920,094	88,413	551,717	551,717	551,717	551,717
Encumbrances							
Unencumbered Cash Balance	10,845,514	4,920,094	88,413	551,717	551,717	551,717	551,717

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Foster Grandparent Program Account
 Legal Authority: Bretzlaff Foundation Endowment

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) T
 Appropriation Acct. No. T-924-K

Intended Purpose: Private donations. Provide services related to foster grandparents including recruitment.

Source of Revenues: Bretzlaff Endowment Foundation through the Hawaii Justice Foundation

Current Program Activities/Allowable Expenses: Monies used to increase the number of foster grandparents and to defray related expenses purchase food or other goods or services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	36,097	34,088	43,148	30,788	40,730	40,730	40,730
Revenues	9,080	9,060	13,050	11,000	1,058	1,058	1,058
Expenditures	11,089	0	25,410	1,058	1,058	1,058	1,058
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	34,088	43,148	30,788	40,730	40,730	40,730	40,730
Encumbrances							
Unencumbered Cash Balance	34,088	43,148	30,788	40,730	40,730	40,730	40,730

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HMS
 Prog ID(s): HMS 211, 237, 305 and 903
 Name of Fund: HANA Electronic Benefit Transfer (EBT) Account
 Legal Authority: N/A

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): T
 Appropriation Acct. No.: T-XX-925-K

Intended Purpose: This trust account was established to accommodate the Electronic Benefit Transfer (EBT) transactions. Funds are transferred into this account when financial benefits are authorized to the Department's clients and are then transferred daily to the DHS' contractor who issues the actual benefits to the clients when they access their accounts electronically.

Source of Revenues: Amounts represent combined federal and general funds. The federal portion of HMS 211 (Temporary Assistance for Needy Families) is funded by DHHS - ACF, TANF Grant and is included in Appropriation Account S-XX-201-K. HMS 237 (Supplemental Nutrition Assistance Program) is funded by the US Department of Agriculture - Food & Nutrition Service and is included in Appropriation Account S-XX-206-K. HMS 305 (Child Care Subsidy Program) is funded by DHHS - ACF, CCDF Grant and is included in Appropriation Account S-XX-225-K. HMS 903 (TANF - FTW Program) is funded by DHHS - ACF, TANF Grant and is included in Appropriation Account S-XX-227-K.

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	72,723	3,160,755	1,706,593	593,008	788,713	788,713	788,713
Revenues	27,296,844	25,347,554	22,085,941	22,432,176	22,400,000	22,400,000	22,400,000
Expenditures	24,208,812	26,801,716	23,199,526	22,236,471	22,400,000	22,400,000	22,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,160,755	1,706,593	593,008	788,713	788,713	788,713	788,713
Encumbrances							
Unencumbered Cash Balance	3,160,755	1,706,593	593,008	788,713	788,713	788,713	788,713

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HRD
 Prog ID(s): HRD 102
 Name of Fund: Human Resources Development Special Fund
 Legal Authority: Act 123, SLH 2001

Contact Name: Mary Ann Teshima
 Phone: 587-1150
 Fund type (MOF) B
 Appropriation Acct. No. S-20-310-P

Intended Purpose:

The purpose of this fund is to support and facilitate the Department's entrepreneurial initiatives, training activities, and programs for government employees.

Source of Revenues:

Fees assessed for services provided under entrepreneurial initiatives; participant fees for in-service training programs; and moneys directed to the Department from other sources such as gifts, grants, and awards.

Current Program Activities/Allowable Expenses:

The fund covers expenses related to entrepreneurial activities such as providing workers compensation claims management and premium conversion plan administration services to other agencies; developing and conducting employee training programs; and administrative costs associated with special funds.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: The variance is due to delay in IT projects for the workers' compensation program.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	700,000	700,000	700,000	700,000	700,000	700,000
Beginning Cash Balance	1,623,983	1,790,190	1,875,048	2,015,678	2,197,246	1,992,504	1,724,660
Revenues	229,706	141,930	214,181	264,507	170,357	76,029	76,029
Expenditures	63,499	57,072	73,551	82,939	375,099	343,873	343,873
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,790,190	1,875,048	2,015,678	2,197,246	1,992,504	1,724,660	1,456,816
Encumbrances							
Unencumbered Cash Balance	1,790,190	1,875,048	2,015,678	2,197,246	1,992,504	1,724,660	1,456,816

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HRD
 Prog ID(s): _____
 Name of Fund: State Deferred Compensation Plan Trust Fund
 Legal Authority: Section 88E-9, HRS

Contact Name: Cynthia Akiyoshi
 Phone: 587-1063
 Fund type (MOF): T
 Appropriation Acct. No.: T-20-999-P

Intended Purpose:

The Deferred Compensation Plan, an eligible plan under section 457 of the U.S. Internal Revenue Code, is a voluntary tax-deferred supplemental retirement savings plan. The State Deferred Compensation Plan Trust Fund was established in 1983 to separately hold employee contributions and any investment earnings for the exclusive benefit of the participants and their beneficiaries. The Plan is open to all employees who are members of the State's Employees' Retirement System and employed by either the State, County of Hawaii, County of Maui, or County of Kauai.

Current Program Activities/Allowable Expenses:

The fund supports all of the Plan's expenses: daily administration and marketing costs, third-party administrator fees, custodial fees, investment consultant fees, investment product fees; and distributions taken by participants and their designated beneficiaries.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,558,179,101	1,701,779,515	2,046,583,188	2,101,112,569	2,010,398,732	#VALUE!	#VALUE!
Revenues	317,260,218	594,328,581	173,655,244	29,558,070	not available	not available	not available
Expenditures	173,659,804	249,524,908	119,125,863	120,271,907	not available	not available	not available
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,701,779,515	2,046,583,188	2,101,112,569	2,010,398,732	#VALUE!	#VALUE!	#VALUE!
Encumbrances							
Unencumbered Cash Balance	1,701,779,515	2,046,583,188	2,101,112,569	2,010,398,732	#VALUE!	#VALUE!	#VALUE!

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HRD
 Prog ID(s): _____
 Name of Fund: State Deferred Compensation Plan for Part-time,
 Temporary, Seasonal/Casual Employees Trust Fund
 Legal Authority Section 88F-7, HRS

Contact Name: Cynthia Akiyoshi
 Phone: 587-1063
 Fund type (MOF) T
 Appropriation Acct. No. T-20-995-P

Intended Purpose:

The Deferred Compensation Plan for Part-time, Temporary, and Seasonal/Casual Employees ("PTS Plan"), is an eligible deferred compensation plan under Sections 457 and 3121 of the U.S. Internal Revenue Code of 1986, as amended. The PTS Plan was established in 1997 as a tax deferred alternative retirement program for part-time, temporary, and seasonal/casual employees of the State (and participating counties) who are not eligible for membership in the Employees' Retirement System. Participation in the PTS Plan is mandatory and there is a mandatory payroll deduction of 7.5 percent of the employee's gross monthly wages (in lieu of social security contributions) that are contributed to the Plan. The employee contributions and any interest earned are held in trust for the exclusive benefit of the participants and their beneficiaries.

Source of Revenues:

Participant contributions and changes in the amount of interest earned.

Current Program Activities/Allowable Expenses:

The fund supports all of the PTS Plan's expenses: daily administration and marketing costs, third-party administrator fees, custodial fees; and distributions taken by participants and their designated beneficiaries.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	112,625,802	118,946,488	125,462,758	132,446,740	136,237,841	#VALUE!	#VALUE!
Revenues	12,864,973	13,234,777	13,174,623	10,015,369	not available	not available	not available
Expenditures	6,544,287	6,718,507	6,190,641	6,224,268	not available	not available	not available
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	118,946,488	125,462,758	132,446,740	136,237,841	#VALUE!	#VALUE!	#VALUE!
Encumbrances							
Unencumbered Cash Balance	118,946,488	125,462,758	132,446,740	136,237,841	#VALUE!	#VALUE!	#VALUE!

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOH
 Prog ID(s): HTH131DC
 Name of Fund: Hawaii Immunization and Vaccines For Children Program
 Legal Authority: PHS 317, 42 USC Sec 247(B)

Contact Name: Jade DeCosta
 Phone: (808) 587-6592
 Fund type (MOF): N
 Appropriation Acct. No.: S-200-H

Intended Purpose: Prevent and control the transmission of vaccine preventable diseases in persons of all ages; increase and maintain high immunization coverage.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Activities include vaccine coverage assessments, promotions of vaccinations, vaccine storage, and improving the distribution and inventory system.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Variances do not exceed 10%

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,100,000	3,300,000	3,300,000	3,875,000	3,875,000	3,875,000	3,875,000
Beginning Cash Balance	34,849	57	689	919	29,554	59,554	59,554
Revenues	3,054,658	2,713,800	3,089,261	3,073,746	3,100,000	3,100,000	3,100,000
Expenditures	3,089,450	2,713,168	3,089,030	3,045,111	3,070,000	3,100,000	3,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	57	689	919	29,554	59,554	59,554	59,554
Encumbrances	212,743	95,405	182,796	323,277			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Nonpoint Source Management Program
 Legal Authority PL 92-500, federal Water Pollution Control Act;
 Clean Water Act Section 319

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 201 H

Intended Purpose: Support State implementation of its nonpoint source management program developed under the Clean Water Act Section 319.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Development and implementation of watershed plans and total maximum daily loads, including projects and work to achieve restoration of nonpoint source impaired waters as well as implementation of Coastal Nonpoint Source program under Coastal Zone Act Reauthorization.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to grant workplan. Encumbrances vary according to when contracts are encumbered.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,729,650	1,258,950	2,145,675	1,287,300	1,361,665	1,328,250	1,394,663
Beginning Cash Balance	35,464	47,265	19,215	50,951	55,824	55,824	55,824
Revenues	1,384,695	1,657,683	1,212,591	1,554,901	1,361,665	1,361,665	1,361,665
Expenditures	1,372,894	1,228,153	1,180,855	1,550,028	1,361,665	1,361,665	1,361,665
Transfers							
List each net transfer in/out; list each account number							
JM4518 dated 03/12/2018	0	(457,580)					
Net Total Transfers	0	(457,580)	0	0	0	0	0
Ending Cash Balance	47,265	19,215	50,951	55,824	55,824	55,824	55,824
Encumbrances	1,992,089	686,428	1,111,964	1,858,031	1,500,000	1,500,000	1,500,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Community Mental Health Services Block Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) N
 Appropriation Acct. No. S 202 H

Intended Purpose: The purpose of this grant is to provide financial assistance for the State to carry out the State's plan for providing comprehensive community mental health services for adults with a serious mental illness.

Source of Revenues: Community Mental Health Services Block Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of residential, treatment, and other support services for adults with serious mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,332,230	1,332,230	1,067,581	2,033,370	2,033,370	2,033,370	2,033,370
Beginning Cash Balance	18,417	21	16,513	1	25	25	25
Revenues	976,437	1,148,293	411,016	1,066,474	1,960,188	1,869,662	1,869,662
Expenditures	994,833	1,131,801	427,529	1,066,450	1,960,188	1,869,662	1,869,662
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21	16,513	1	25	25	25	25
Encumbrances	340,766	481,390	9,182	157,759			
Unencumbered Cash Balance	(340,745)	(464,877)	(9,181)	(157,734)	25	25	25

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	HTH	Contact Name:	Amihan Aiona
Prog ID(s):	HTH 440	Phone:	692-7508
Name of Fund:	Substance Abuse Prevention and Treatment (SAPT) Block Grant	Fund type (MOF):	N
Legal Authority:	Subparts II & III, Part B, Title XIX, Public Health Service Act: Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)	Appropriation Acct. No.:	S 203 H

Intended Purpose:

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. The new appropriation for the SAPT Block Grant is S-581-H and S-203-H will remain the parent appropriation. FY 17/18/19 variances are due to the S-581-H appropriation inadvertently not included on the Federal Funds report therefore not assigned to S-581-H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	8,583,536	0	0	0
Beginning Cash Balance	561,028	489,005	28,163	0	0	0	0
Revenues	1,581,050	0	0	0	0	0	0
Expenditures	1,653,073	3,771	28,163	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(457,071)					
Net Total Transfers	0	(457,071)	0	0	0	0	0
Ending Cash Balance	489,005	28,163	0	0	0		
Encumbrances							
Unencumbered Cash Balance	489,005	28,163	0	0	0		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Synectics Behavioral Health Services Information System State Agreement
 Legal Authority: Sec 505 of Public Health Service Act (42 U.S.C. 290aa=4)

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 204 H

Intended Purpose: To continue the development of Hawaii's capacity to collect and report mental health treatment services data.

Source of Revenues: Contract between Synectics for Management Decisions, Inc and SAMHSA
 Current Program Activities/Allowable Expenses: Personnel cost of the Research & Evaluation Specialist position, travel for one person to attend a mainland training and WICHE membership fee.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variations: The fund was provided through AMHD from 2017 and was not allocated directly in CAMHD accounts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	13,000	13,000	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	13,000	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/15							
JS 6982 dated 6/28/16							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,000	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	13,000	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH560
 Name of Fund: SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN,
 Legal Authority Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786.
Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.

Contact Name: PAUL UCHIMA
 Phone: 586-8190
 Fund type (MOF) N
 Appropriation Acct. No. S 206 H

Intended Purpose: This fund contains HTH 560 GI federal grant funds. The purpose is to provide WIC families with supplemental foods, nutrition education, breastfeeding support and referrals to other Agencies.

Source of Revenues: USDA/Food and Nutrition Service/Western Region Office.

Current Program Activities/Allowable Expenses: Supplemental nutrition; nutrition education, breastfeeding support & referrals to WIC families.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in Appropriation Ceiling between FY 21 through FY2023 is due to carryover of food and administrative funds from FY 20. Planning to submit an E-1 to increase the ceiling.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	35,703,564	35,703,564	28,465,220	28,465,220	29,982,839	29,982,839	29,982,839
Beginning Cash Balance	387,868	487,538	387,530	264,778	391,235	517,693	644,150
Revenues	26,185,612	27,023,274	27,647,549	24,558,879	24,558,879	24,558,879	24,558,879
Expenditures	26,085,942	27,123,282	27,770,300	24,432,422	24,432,422	24,432,422	24,432,422
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	487,538	387,530	264,778	391,235	517,693	644,150	770,607
Encumbrances			1,641,804	1,469,749			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: RYAN WHITE CARE ACT TITLE II
 Legal Authority: Public Health Service Act, 42, as amended by P.L. 111-87.

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF): N
 Appropriation Acct. No.: S 207 H

Intended Purpose: To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii.

Source of Revenues: U.S Department of Health & Human Service/Health Resources and Services Administration

Current Program Activities/Allowable Expenses: Assistance in health care and support activities to those affected by HIV infection.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Starting FY17, the grant has had significant increases in rebate funds compare to prior years and the rebate revenue continued to increase greatly in FY18 through FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,830,015	4,572,267	8,648,246	8,723,375	8,723,375	8,723,375	8,723,375
Beginning Cash Balance	1,970,546	1,041,384	1,718,524	1,809,372	2,522,753	2,522,753	2,522,753
Revenues	4,323,934	6,810,171	6,374,345	7,970,937	8,300,000	8,400,000	8,400,000
Expenditures	5,253,096	6,133,031	6,283,498	7,257,556	8,300,000	8,400,000	8,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	1,041,384	1,718,524	1,809,372	2,522,753	2,522,753	2,522,753	2,522,753
Encumbrances			1,056,572	1,366,185			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 907
 Name of Fund: Public Health Emergency Preparedness Cooperative Agreement
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Judy K. Kern
 Phone: 587-6372
 Fund type (MOF) N
 Appropriation Acct. No. S-14-208 H and S-18-208H

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support activities including emergency planning for all hazards, training and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Grant coordinated under HTH907AK effective FY20. For S-18-208, the initial NTE was FY19, however a no cost extension was granted thru FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,824,118	31,824,118	7,824,118				
Beginning Cash Balance	329,323	183,060	185,159	184,502	0	0	0
Revenues	6,115,594	6,211,214	5,981,977	2,119,719			
Expenditures	6,261,857	6,209,114	5,982,634	2,304,221			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	183,060	185,159	184,502	0	0	0	0
Encumbrances		437,875	635,073	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Audit Sub-award to DLNR/CWRM
 Legal Authority: Safe Drinking Water Act: Sec. 1452; Act 169, SLH 2016; Request to Expend Non-Appropriated Federal Funds--Governor approved 5/16/17

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 209 H (see also S 620 H)

Intended Purpose: Support the implementation of Act 169, SLH 2016, which authorizes and requires the Commission on Water Resource Management to establish a water audit program to provide technical assistance to public water systems to conduct standardized water audits. Reduce the volume of water loss.

Source of Revenues: Federal grant funds from Environmental Protection Agency; sub-award from Safe Drinking Water State Revolving Fund capitalization grant, which is MOF W.

Current Program Activities/Allowable Expenses: Activities to establish a program to implement standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Non-appropriated. Fund was established as MOF N in FY 17, but was changed to MOF P for FY 18 and FY 19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	250,000	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
JS556/635/1476/2240/3044/37173859/4402	0	200,000		0	0	0	0
	0						
JS556/635/1476/2240/3044/37173859/4402		(200,000)					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 905AH
 Name of Fund: Developmental Disabilities Council
 Legal Authority: P.L. 106-402 and Chapter 333E, HRS

Contact Name: Daintry Bartoldus
 Phone: 586-8100
 Fund type (MOF) N
 Appropriation Acct. No. S 210 H

Intended Purpose: Federally funded program that supports the State Council on Developmental Disabilities (DD) to engage in advocacy, capacity building and systemic change activities that contribute to a coordinated, consumer-and family-centered, consumer-and family-directed, comprehensive system of community services, individualized supports, and other forms of assistance that enable individuals with DD to live self-determined lives, be independent, productive, and integrated and included in all facets of community life.

Source of Revenues: Federal U.S. Department of Health and Human Services, Administration on Community Living DD Assistance Bill of Rights Act of 2000 (P.L. 106-402)

Current Program Activities/Allowable Expenses: Funds are used to carry out the DD Council's Five-Year State Plan activities in the areas of community supports; transition and employment; public awareness, education and training; health and children and youth; and self-advocacy and self-determination. The Council has two years to obligate its funds and three years to liquidate (expend) those funds. The Council received an extension for a FY 20 due to COVID to obligate funds.

Purpose of Proposed Ceiling Adjustment (if applicable): The Council received an increase in their Federal allotment in FY 2021, and they are anticipating with the new Federal Grant Awards for FY 2022 and FY 2023 to be a little lower.

Variances:

The variance for revenues in FY 2018 is due to that the Council received an increase in their allotment for FY 2018.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	553,768	528,666	498,981	514,000	533,068	520,325	520,325
Beginning Cash Balance	16,788	50	8,633	1,317	2	8,070	8,070
Revenues	426,875	481,391	489,036	451,493	533,068	520,325	520,325
Expenditures	443,613	472,809	496,353	452,807	525,000	520,325	520,325
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	50	8,632	1,317	2	8,070	8,070	8,070
Encumbrances	0	7,348	6,958	244	8,070	8,070	8,070
Unencumbered Cash Balance	50	1,284	(5,641)	NA	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	HTH	Contact Name:	Amihan Aiona
Prog ID(s):	HTH 440	Phone:	692-7508
Name of Fund:	Substance Abuse Prevention and Treatment (SAPT) Block Grant	Fund type (MOF):	N
Legal Authority:	Subparts II & III, Part B, Title XIS, Public Health Service Act: Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)	Appropriation Acct. No.:	S-19-211

Intended Purpose: Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirements as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: FY 18/19/20 variances are due to appropriation inadvertently not included on the Form FF therefore not assigned to S-18-581-H for FY18 award. E-2 was approved on 8/14/18 and as a result, S-19-211 was assigned.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	8,848,122	0	0	0	0
Beginning Cash Balance	0	0	0	106,344	(0)	(0)	(0)
Revenues	0	0	6,223,271	2,061,945		0	0
Expenditures	0	0	6,116,927	2,168,289		0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	106,344	(0)	(0)	(0)	(0)
Encumbrances			1,376,584	8,377			
Unencumbered Cash Balance	0	0	(1,270,240)	NA	NA	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: PATH Formula Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) N
 Appropriation Acct. No. S 212 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: PATH Formula Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of homeless outreach services for individuals with severe and persistent mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	0	1	43	0	0	0	0
Revenues	79,189	227,743	265,115	165,013	434,988	300,000	300,000
Expenditures	79,188	227,702	265,157	165,013	434,988	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	43	0	0	0	0	0
Encumbrances	41,271	51,654	148,053	283,040			
Unencumbered Cash Balance	(41,270)	(51,612)	(148,053)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Office of Rural Health (SORH)
 Legal Authority: PHS Act, Title III, Section 338J

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 218 H

Intended Purpose: The grant program coordinates and implements activities to support priority health needs of Hawaii's rural communities.

Source of Revenues: US Department of Health and Human Services, Health Resources and Services Administration, Office of Rural Health Policy.

Current Program Activities/Allowable Expenses: The program allocates its resources towards the implementation of the following goals: (1) collect and disseminate information; (2) coordinate rural health care activities in state in order to avoid duplication; and (3) provide technical assistance to public and non-profit private entities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant's prior UAC was 523 H until FY 2017 when it became S 218 H.
 Variances do not exceed 10%".

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	250,000	250,000
Beginning Cash Balance	4,171	35	46	43	2	2	2
Revenues	185,653	168,995	171,986	171,830	180,000	180,000	180,000
Expenditures	189,789	168,984	171,988	171,871	180,000	180,000	180,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	35	46	43	2	2	2	2
Encumbrances	1,002	246	0	5,000	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>HTH</u>	Contact Name:	<u>Amihan Aiona</u>
Prog ID(s):	<u>HTH 440</u>	Phone:	<u>692-7508</u>
Name of Fund:	<u>Substance Abuse Prevention and Treatment (SAPT) Block Grant</u>	Fund type (MOF):	<u>N</u>
Legal Authority:	<u>Subparts II & III, Part B, Title XIX, Public Health Service Act;</u> <u>Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant,</u> <u>Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)</u>	Appropriation Acct. No.:	<u>S-19-213 H</u>

Intended Purpose:

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Variance is due to appropriation S-16-581 not extended to allow this award's indirect cost deposit. As a result a new appropriation S-19-213H was established for the indirect cost.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	26,470	0	0	0	0
Expenditures	0	0	26,470	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Block Grants for Community Mental Health Services
 Legal Authority: Subparts I&III, B, Title XIX, PHS Act45 CFR Part 96

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 214 H

Intended Purpose: To provide needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses: Providing mental health services and promoting programs for public awareness on mental health

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances:

FY 2017-The revenues and expenditures increased due to the grant being assigned back to S214 from S259 in FY 2017.

FY 2018-The revenues and expenditures increased due to the increase in the award amount in FY 2018.

FY 2019-The revenues and expenditures increased due to the increase in the award amount in FY 2019.

FY 2020-The revenues and expenditures increased due to the increase in the award amount in FY 2020.

FY 2021- The expenditures are expected to increase due to the increase in the activities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	969,261	1,580,536	1,809,630	2,329,630	2,339,630	2,339,630	2,339,630
Beginning Cash Balance	0	9,176	90	(0)	49	49	49
Revenues	803,490	1,399,332	1,547,738	1,659,698	2,339,630	2,339,630	2,339,630
Expenditures	794,314	1,408,418	1,547,828	1,659,649	2,339,630	2,339,630	2,339,630
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,176	90	(0)	49	49	49	49
Encumbrances	112,580	342,506	398,606	213,659			
Unencumbered Cash Balance	(103,404)	(342,416)	(398,606)	NA		49	49

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 907
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS
 Legal Authority: Act 164, SLH 2011, as amended by ACT, 106, SLH 2012
Public Health Service Act, various sections, as amended

Contact Name: Betty Wood
 Phone: 586-4530
 Fund type (MOF) P
 Appropriation Acct. No. S 215

Intended Purpose:

One time only for PHHBG Proj #035203 - Admin Indirect Cost

Source of Revenues:

US Department of Health and Human Services and Public Health Service. Federal Award ID: NB01OT009110-01-01. Appropriation S 19 215 035203 for one time only to cover Project #035203 Indirect Cost

Current Program Activities/Allowable Expenses:

10/19/2018 approved by Fed to use FY 16 PHHBG award for Project #035203 Indirect Cost.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			21,882		0	0	0
Beginning Cash Balance			0		0	0	0
Revenues			21,882		0	0	0
Expenditures			21,882		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0		0	0	0
Ending Cash Balance	0	0	0		0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0		0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH907AK
 Name of Fund: Public Health Emergency Preparedness Cooperative Agree
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Judy K. Kern
 Phone: (808) 587-6372
 Fund type (MOF): N
 Appropriation Acct. No.: S-20-220 H

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support activities including emergency planning for all hazards, training and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Grant funds were allocated to SLD and DOCD in FY2020 and administered separately.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,586,168	4,000,000	4,000,000	4,000,000
Beginning Cash Balance					2,639	2,639	2,639
Revenues				2,748,462	4,000,000	4,000,000	4,000,000
Expenditures				2,745,822	4,000,000	4,000,000	4,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,639	2,639	2,639	2,639
Encumbrances				237,492			
Unencumbered Cash Balance	0	0	0	(234,852)	NA	2,639	2,639

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Title III Older American's Act
 Legal Authority: Older American's Act PL 114-144

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 221 H

Intended Purpose: Provide advocacy, program development, and a coordinated system of opportunity and services for adults 60+

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Advocate resources for older adults and caregivers; plan and implement Older American's Act; develop and coordinate comprehensive in-community-based services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Increase in grant funding in FY 2018. Increase in grant funding in FY 2020 dus to Cares Act.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,533,312	14,351,901	13,683,337	13,683,337	13,683,337	13,683,337	13,683,337
Beginning Cash Balance	82,780	72,962	404,619	173,238	57,357	0	0
Revenues	5,583,040	6,598,391	5,243,523	5,340,394	6,000,000	6,000,000	6,000,000
Expenditures	5,592,858	6,266,734	5,474,904	5,456,275	6,057,357	6,000,000	6,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	72,962	404,619	173,238	57,357	0	0	0
Encumbrances		6,390,924	7,505,111	12,862,735			
Unencumbered Cash Balance	72,962	(5,986,305)	(7,331,873)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: The Hawaii NVDRS Project
 Legal Authority: Centers for Disease Control's National Violence Death Reporting Project

Contact Name: Brian Pang
 Phone: (808) 586-4600
 Fund type (MOF) P
 Appropriation Acct. No. S-222-H

Intended Purpose: Improve violent death data collection and reporting in the State of Hawaii

Source of Revenues: Competative federal grant award

Current Program Activities/Allowable Expenses: Activities include working with local law enforcement, the Medical Examiner's office on Oahu and Medical coroners on the neighbors islands to discuss the collection and reporting of violent death (ie: murders and suicides) information. This includes meetings on all islands and data sharing agreements

Purpose of Proposed Ceiling Adjustment (if applicable): None.

Variances: None.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				39,434	89,434	89,434	89,434
Expenditures				39,434	89,434	89,434	89,434
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	40,000	0	0
Unencumbered Cash Balance	0	0	0	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH710/MK
 Name of Fund: Public Health Emergency Preparedness (PHEP) Cooperative Agreement
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF): N
 Appropriation Acct. No. S 20 223

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies. Grant funds have been allocated to SLD from HTH907/AK for the laboratory personnel payroll costs only.

Source of Revenues: Department of Health and Human Services, Centers for Disease Control (CDC) and Prevention, CDC Office of Financial Resources and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc. coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Current Program Activities/Allowable Expenses: The fund is used to pay for salaries of laboratory personnel that work in the Laboratory Preparedness and Response Branch of the State Laboratories Division. This Branch provides laboratory testing services to protect public health.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					1,029,222	1,029,222	1,029,222
Beginning Cash Balance		0	0	0	(0)	(0)	(0)
Revenues				746,052	730,000	750,000	750,000
Expenditures				746,052	730,000	750,000	750,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	0	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Overdose Data to Action Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 224

Intended Purpose: The purpose of this grant is to expand public health surveillance and data collection of higher quality, greater timeliness, and greater comprehensiveness on opioid related mis-use and associated overdose morbidity and mortality, and to use the data to inform prevention strategies.

Source of Revenues: HI ODTA

Current Program Activities/Allowable Expenses: Public health surveillance and data collection activities to inform prevention strategies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant is scheduled to end on 8/31/2022.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				10,084,321	10,084,321	10,084,321	10,084,321
Beginning Cash Balance		0	0	0	0	0	0
Revenues				110,560	6,276,475	3,798,509	9,338
Expenditures				110,560	6,276,475	3,798,509	9,338
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				1,461,008			
Unencumbered Cash Balance	0	0	0	NA	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: PPHS Block Grant
 Legal Authority: Preventive Health and Health Services Block Grant

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 225 H

Intended Purpose: Alleviate or eliminate barriers to accessing healthcare for immigrants. Integrate data between chronic disease programs.

Source of Revenues: Preventive Health and Health Services Block Grant.

Current Program Activities/Allowable Expenses: Assist newly arrived immigrants to access health services in their native languages.
Integrate data between chronic disease programs.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Received the same amount of funds for FY19 and FY20. Added more Easy Access Program contracts on FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	165,000	165,000	195,000	195,000	195,000	195,000	195,000
Beginning Cash Balance	63,578	(0)	894	(0)	0	0	0
Revenues	0	91,210	102,878	118,863	190,000	190,000	190,000
Expenditures	63,578	90,316	103,772	118,856	190,000	190,000	190,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(0)	894	(0)	7	0	0	0
Encumbrances	0	0	25,084	108,122	0		
Unencumbered Cash Balance	(0)	894	(25,084)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Vital Statistics Cooperative Program
 Legal Authority: Act 134-2013

Contact Name: Alvin T. Onaka, Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF): P
 Appropriation Acct. No.: S-226-H

Intended Purpose: Support the collection and delivery of vital statistics information

Source of Revenues: Contracts with the US Government for vital statistics information

Current Program Activities/Allowable Expenses: Contracts with the Centers for Disease Control and Prevention (CDC) and Social Security Administration of the Vital Statistics Cooperative Program's.

Purpose of Proposed Ceiling Adjustment (if applicable): Due to Form A request to move Research Statistician V position from General Fund (MOF A) to Special Fund (MOF P)

Variances: Revenues can fluctuate for additional competitive award added to the base contract amount. FY17 to FY18 variance due to personnel costs. FY18 to FY19 & FY20 variance due to personnel costs. FY21 to FY22 variance in expenditures due to personnel cost (transferred position)

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	432,300	432,300	342,300	342,300	342,300	495,300	495,300
Beginning Cash Balance	748,965	1,070,275	1,162,022	1,310,736	1,321,680	1,332,625	1,223,656
Revenues	560,465	429,715	390,986	265,776	265,776	260,000	260,000
Expenditures	239,155	337,968	242,272	254,831	254,831	368,969	368,969
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,070,275	1,162,022	1,310,736	1,321,680	1,332,625	1,223,656	1,114,687
Encumbrances	103,950	473	16,199	0	50,000	0	0
Unencumbered Cash Balance	966,325	1,161,548	1,294,537	1,321,680	1,282,625	1,223,656	1,114,687

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Maternal and Child Health Services Block Grant
 Legal Authority: Title V of the Social Security Act, 45 CFR 96

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF): N
 Appropriation Acct. No.: S 229 H

Intended Purpose: To assure women, especially mothers and children including children with special health needs (CSHN) and in particular those with low income or with limited availability of health services, access to quality maternal and child health services. This includes improving birth outcomes for women, improved health outcomes and health status for children of all ages including CSHN and supporting administrative activities that maintain and improve the health care system through needs assessment, surveillance, planning, policy development, systems building, and program support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: a) Administration - Supports administrative positions, which provide the infrastructure for the Division, Maternal and Child Health (MCH) and CSHN Branches to carry out its mandate and functions, and allow for integration and planning between sections, Branches, the Department, and the community at-large; b) Epidemiology and Data Support - provide funds to CDC-assigned epidemiologist and research statistician positions at the MCH and CSHN Branches for data collection and analysis used for program planning, evaluation, and policy development; c) Child Health and Family Support Services - provides funds to this section, which is responsible for planning for issues related to child/adolescent health and family strengthening services to assist in the assurance of these services statewide, including program planning and technical support to purchase of service contractors. Needs assessments, planning and development activities are accomplished through surveys, studies, and community networking. Collaborates with providers and community stakeholders to assure a system of services and established standards of care; and d) Perinatal Services-fund use include: 1) Program planning and technical support statewide to POS contractors and programs, which reduce maternal and infant mortality and morbidity; and on Hawaii Island, provide staffing to support high-risk women and children; 2) Provides advocacy and educational efforts to improve and enhance birth outcomes, disseminates perinatal information, and promotes networking among providers, agencies, and individuals associated with perinatal issues; and 3) Maintains a statewide information and referral phone line and website to assist pregnant women to access the perinatal services system (including Medicaid, primary care centers, OB/GYN, prenatal classes) and to encourage early and continuous prenatal care.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The Appropriation Ceiling variance between FY 2018 and FY 2019 is to reflect actual award levels. Variances do not exceed 10%.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,829,180	2,829,180	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance	93,037	1,327	2	5	0	0	0
Revenues	1,793,075	1,733,693	1,915,440	1,931,189	2,143,000	2,000,000	2,000,000
Expenditures	1,884,785	1,735,017	1,915,437	1,931,194	2,143,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,327	2	5	0	0	0	0
Encumbrances			22,751	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849
 Name of Fund: Various EPA Grants
 Legal Authority: Various

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): N
 Appropriation Acct. No.: S 236 H

Intended Purpose: Fund split-funded positions in HTH 849 FA, FB, FC, and FD.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Personal services and other current expense costs in HTH 849 FA, FB, FC, and FD.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: All federal funds in HTH 849 were part of S 236 H prior to FY 2014. For FY15 and beyond, positions are directly funded from source grants, because new federal funds methodology impedes spending. Ceiling is included since positions are funded.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	575,500	575,500	296,103	183,732	183,732	183,732	183,732
Beginning Cash Balance	25,192	25,192	(0)	(0)	(0)	(0)	(0)
Revenues	0	0	0	0	0	0	0
Expenditures	0	25,192	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Various JVs	0	0	0	0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25,192	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote:

Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-513, S-546, S-547, S-548, S-549.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH907AK
 Name of Fund: Hospital Preparedness Program Cooperative Agree
 Legal Authority: Sec 319C-2 of the Public Health Act, as amended

Contact Name: Judy K. Kern
 Phone: (808) 587-6372
 Fund type (MOF): N
 Appropriation Acct. No.: S-20-246 H

Intended Purpose: Provide technical assistance and resources to support state and local healthcare organizations in attaining measurable and sustainable progress toward achieving public health and healthcare preparedness capabilities that promote prepared and resilient communities.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support activities including emergency planning for all hazards, training and tabletop exercises, and educational programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: None.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,270,000	1,275,000	1,275,000	1,275,000
Beginning Cash Balance				0	26	26	26
Revenues				1,073,264	1,275,000	1,275,000	1,275,000
Expenditures				1,073,238	1,275,000	1,275,000	1,275,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	26	26	26	26
Encumbrances				192,211			
Unencumbered Cash Balance	0	0	0	NA	26	26	26

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH131
 Name of Fund: Public Health Emergency Preparedness (PHEP) Cooperative Agreement
 Legal Authority: Sec 319C-1 of the Public Health Act, as amended

Contact Name: Jade DeCosta
 Phone: (808) 587-6592
 Fund type (MOF) N
 Appropriation Acct. No. S 250

Intended Purpose: To improve the readiness of state and local public health systems to reduce the threats to the community's health and safety and to save lives during emergencies that exceed the day-to-day capacity of the public health response systems. This is a coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies. Grant funds have been allocated to HTH 131 from HTH907 for disease outbreak control personnel payroll costs only.

Source of Revenues: Department of Health and Human Services, Centers for Disease Control (CDC) and Prevention, CDC Office of Financial Resources and tabletop exercises, public information and education, epidemiological investigation, laboratory testing, etc. coordinated effort including the healthcare industry, state and county emergency management, and various federal agencies.

Current Program Activities/Allowable Expenses: The fund is used to pay for salaries of personnel that work in the Disease Outbreak Control Division.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,984,225	396,845	396,845	396,845
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				379,464	396,845	396,845	396,845
Expenditures				379,464	396,845	396,845	396,845
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Air Pollution Control Program Support
 Legal Authority: PL 95-95; Clean Air Act Sections 103 & 105

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 255 H

Intended Purpose: Establish indoor air quality program; provide information and educational material to managers, owners, and occupants of publicly-owned buildings and assist managers and owner-occupants of publicly-owned buildings to identify, assess and correct indoor air pollution problems.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Plan and develop comprehensive indoor air quality program; public outreach and networking.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Sub-award. New federal funds methodology impedes spending, so expenditures are coded directly to the prime grant award in HTH 840/FF, S-559-H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	158,000	163,149	176,575	158,000	158,000	158,000	158,000
Beginning Cash Balance	39,607	31,479	0	0	0	0	0
Revenues	238,219	46,034	0	0	0	0	0
Expenditures	246,346	77,513	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	31,480	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote: Prior to FY14, S-255 was the parent account for all appropriated federal funds in HTH 610. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-507 and S-536.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Upgrading Hawaii's Criminal Background Check System
Legal Authority: Act 071, SLH 2015

Contact Name: Utey Uch
Phone: 692-7229
Fund type (MOF): P
Appropriation Acct. No.: S 284 H

Intended Purpose:

To upgrade Hawaii's Criminal Background Check system and subsequently enhance the state's ability to meet its obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing background checks for all parties wishing to provide services.

Source of Revenues:

The source of revenues are from Federal grant award 1A1CMS331099-01-05. The grant is for the period from December 17, 2012 to December 16, 2018.

Current Program Activities/Allowable Expenses:

Develop a "rap back" program within the Hawaii Criminal Justice Data Center (HCJDC). Rap back is the term used for a system designed to determine whether an individual who had previously obtained a clearance on a criminal history background check but subsequently was arrested or convicted would continue to qualify for employment in certain health care work positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends on 12/16/2018; DOH/OHCA is a pass-through. DOH/OHCA provides reimbursements to HCJDC.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling	505,085	688,511	551,959		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	148,623	136,552	197,201	0	0	0	0
Expenditures	148,623	136,552	197,201	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Program for Infants and Toddlers with Handicaps
 Legal Authority: P.L. 108-446, Individuals with Disabilities Act, Part C

Contact Name: Michelle Matsuoka
 Phone: 733-9062
 Fund type (MOF) N
 Appropriation Acct. No. S 292 H

Intended Purpose: To provide a statewide, comprehensive, multidisciplinary system of early intervention services for infants and toddlers with special needs (age 0 to 3) with the State Department of Health as the lead agency in Hawaii.

Source of Revenues: U.S. Department of Education, Office of Special Education Programs

Current Program Activities: These funds are currently supporting multiple activities within that system of services, including administrative personnel, psychological, nutritional, and other professional support; and other required federal activities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This 15-Mo. grant runs from July 1st - Sep. 30th. The variance in revenues and expenditures between FY 2019-20 and FY20-21 are primarily due to FY20 reconciliations still in progress. The expenditures are in process of posting through September 30, 2020, which will be included as FY21 revenues and expenditures due to timing of postings.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,771,557	2,771,557	2,600,000	2,600,000	2,600,000	2,600,000	2,600,000
Beginning Cash Balance	5	22	2,087	0	3	19,345	0
Revenues	2,453,078	1,996,095	2,229,888	2,207,071	3,737,086	2,333,044	2,333,044
Expenditures	2,453,061	1,994,030	2,231,975	2,207,067	3,717,744	2,333,044	2,333,044
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	22	2,087	0	3	19,345	19,345	0
Encumbrances	5,133	7,880	12,870	63,812	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: BREASTFEEDING PEER COUNSELING (WIC BFPC)
 Legal Authority: Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786.
Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.

Contact Name: PAUL UCHIMA
 Phone: 586-8190
 Fund type (MOF) N
 Appropriation Acct. No. S 293 H and S 205 H for FY 21

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide breastfeeding peer counseling to WIC women.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office

Current Program Activities/Allowable Expenses: Breastfeeding peer counseling services to WIC women.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures from 2018-2019 is due to actual revenue (cash) draws during the year and actual expenditures incurred for payroll and contracted providers. FY 2020 using S 205 H. Will return to S 293 H from FY 2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	382,144	382,144	230,000	230,000	230,000	230,000	230,000
Beginning Cash Balance	1,129	2,653	5,604	3,459	28,175	28,175	28,175
Revenues	288,779	248,804	311,082	325,227	319,043	319,043	319,043
Expenditures	287,255	245,852	313,227	300,511	319,043	319,043	319,043
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,653	5,604	3,459	28,175	28,175	28,175	28,175
Encumbrances	38,343	85,459	137,572	149,480	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Community Based Child Abuse Prevention (CBCAP) Grant (project: 000270)
 Legal Authority: Title II of the Child Abuse Prevention and Treatment Act
(72 U.S.C. 5116 et seq.), amended by P.L. 108-36

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 294 H

Intended Purpose: To support community-based efforts to develop, operate, expand, enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child

Source of Revenues: DHHS, Administration on Children, Youth and Families

Current Program Activities:

- A. Support planning, coordination, collaboration and implementation of child abuse and neglect (CAN) prevention and family strengthening events on all the islands.
- B. Determine the readiness of two communities to collaborate on the design and establishing of the Community Cafe model in their community.
- C. Support the planning, coordination, and implementation of statewide trainings (Adverse Childhood Experiences, Resilience, Toxic Stress), conferences and related program activities.
- D. Support the collection and analysis of data specific to and related to child abuse and neglect to serve as a foundation for the development of a statewide CAN prevention plan including children with special needs.
- E. Collaborate and partner with existing networks to build the capacity of parent's to advocate for their children and their community.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances do not exceed 10%

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	801,520	801,520	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	5,372	82	61	0	17	17	17
Revenues	250,564	190,701	446,655	515,313	495,000	495,000	495,000
Expenditures	255,854	190,722	446,717	515,296	495,000	495,000	495,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	82	61	0	17	17	17	17
Encumbrances	85,602	72,903	157,261	46,552	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Family Planning Services Grant (project: 000239)
 Legal Authority: Title X of the Public Health Service (PHS) Act, as amended; 42 CFR 59

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 295 H

Intended Purpose: To assure statewide family planning and related preventive health services, education, counseling and referrals in accordance to Title X Program requirements for all people of reproductive age (primarily low-income and hard-to-reach individuals that are most under-served).

Source of Revenues: none

Current Program Activities: NA

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: As of 3/31/2020 grant ended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,459,927	2,459,927	2,459,927	0	0	0	0
Beginning Cash Balance	17,999	97	43	0	0	0	0
Revenues	2,312,874	1,685,465	1,327,497	30,380	0	0	0
Expenditures	2,330,776	1,685,518	1,327,540	30,380	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	97	43	0	0	0	0	0
Encumbrances	243,813	103,838	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Preventive Hlth and Hlth Svcs Block Grant–Sexual Assault Prev Prog
 Legal Authority: Part A, Title XIX, PHS Act, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 297 H

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence on college campuses.

Source of Revenues: DHHS, CDC

Current Program Activities:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and young adults to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. To collaborate and plan with the University of Hawaii systems and their sexual violence prevention task forces to implement effective primary prevention strategies.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant is now under appropriation S 589.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	52,336				0	0	0
Beginning Cash Balance	787	0	0	0	0	0	0
Revenues	16,633				0	0	0
Expenditures	17,420				0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Pollution Control - Surface Water
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 298 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Water Act that regulates protection of the environment and public health.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, permitting, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public participation, data management, training for delegated programs, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Grant award has a 5-year budget/project period. Ceiling included each year for budgetary purposes, because positions are funded by this grant. Starting in FY 21, award covers both surface water and ground water. WPC-Groundwater grant was previously S-557-H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,204,000	5,549,888	2,014,950	7,764,143	1,650,000	1,000,000	1,000,000
Beginning Cash Balance	47,388	84,524	20,047	184	43,398	43,398	43,398
Revenues	1,474,271	1,363,260	1,688,400	1,548,905	2,080,418	2,080,418	2,080,418
Expenditures	1,437,135	1,427,737	1,708,263	1,505,690	2,080,418	2,080,418	2,080,418
Transfers							
List each net transfer in/out; list each account number							
	0	0	0	0	0	0	0
Net Total Transfers							
Ending Cash Balance	84,524	20,047	184	43,398	43,398	43,398	43,398
Encumbrances	76,914	115,105	280,098	108,277	108,277	108,277	108,277
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Pollution Control - Monitoring Initiative
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 299 H

Intended Purpose: Prevent, reduce, and eliminate water pollution.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Surface water monitoring, providing public access to monitoring data, and participating in training pertinent to surface water monitoring.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Award was anticipated to again be for a 5-year period. However, this changed to a 1-year award, with a new award received in FY 21. Expenditures vary according to grant workplan. Position will be funded by this grant starting in FY 20, so ceiling will be needed in each year of award period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	539,000	0	0	794,606	254,589	267,319	280,685
Beginning Cash Balance	417	35,758	21	1,886	12	12	12
Revenues	151,121	89,925	54,145	385,320	250,000	250,000	250,000
Expenditures	115,780	125,662	52,281	387,193	250,000	250,000	250,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	35,758	21	1,886	12	12	12	12
Encumbrances	216,864	86,000	314,211	122,343	122,343	122,343	122,343
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Emergency Medical Services Special Fund
 Legal Authority: Section 321-234, 249-31 (b), 245-15, 245-3, HRS.

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) B
 Appropriation Acct. No. S 301 H

Intended Purpose: Support for operating a state comprehensive emergency medical services system.

Source of Revenues: Fees from annual motor vehicle registration and cigarette tax imposed pursuant to Section 245-15 and 245-3, HRS.

Current Program Activities/Allowable Expenses: Emergency medical services; education and training of emergency medical personnel statewide.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,796,503	14,796,503	14,796,503	14,796,503	14,796,503	14,796,503	14,796,503
Beginning Cash Balance	26,085,245	29,231,949	29,183,041	26,754,312	30,427,526	16,834,658	16,450,952
Revenues	14,721,055	14,648,506	14,176,385	14,412,797	14,412,797	14,412,797	14,412,797
Expenditures	11,574,350	14,697,415	16,605,113	10,739,583	28,005,665	14,796,503	14,796,503
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	29,231,949	29,183,041	26,754,312	30,427,526	16,834,658	16,450,952	16,067,246
Encumbrances	12,735,149	12,324,178	10,050,363	13,209,162	0	0	0
Unencumbered Cash Balance	16,496,801	16,858,862	16,703,950	17,218,364	16,834,658	16,450,952	16,067,246

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2020 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2020 Encumbrances (Unliquidated Balance): MBP490-A, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FYs 2021-23 include projected revenue from vehicle registration fees, cigarette sales, and investment pool account and are based on revenues recorded in FAMIS as of: 07/20/2020. Pursuant to ACT 238, SLH 2015, effective July 1, 2015 the rate for tax collection for cigarette sales continues at \$0.0125 and is scheduled to remain in effect for the continuing years, but not more than \$8,800,000 in a fiscal year shall be deposited to the credit of the emergency medical services special fund.

Note 4: The expenditures for FYs 2017-20 include liquidations of prior fiscal years and the current fiscal year encumbrances within the fiscal year reported. The estimated expenditures for FYs 2021-23 are equal to fully expending estimated revenue available within the appropriation ceiling and expenditures for encumbrances remaining from the prior year.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Newborn Metabolic Screening Special Fund
 Legal Authority: Section 321-291, HRS

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) B
 Appropriation Acct. No. S 302 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including, but not limited to laboratory testing, follow-up testing, educational materials, continuing education, quality assurance, equipment, and indirect cost.

Source of Revenues: Per the Hawaii Administrative Rules, the amount collected for each metabolic screening (testing) kit sold in Hawaii (current charge per kit is \$99 eff. 5/27/2017) is deposited to this special fund. Prior to 5/27/2017 the price per kit was \$55.

Current Program Activities/Allowable Expenses: The Newborn Metabolic Screening Program (NBMS) has statewide responsibilities for assuring that infants born in the State of Hawaii are satisfactorily tested for over 25 primary disorders which can cause intellectual disability and developmental and growth delay and even death, if not detected and treated early in the newborn period. NBMS tracks and follows-up to assure that infants with detected diseases are provided with appropriate and timely treatment. □

Purpose of Proposed Ceiling Increase (if applicable): In the FY 2017 Supplemental Budget a ceiling increase of \$250,000 was approved.

Variations: Expenditures increased by about 44% from FY 2018-19 primarily due to filling all vacant positions and increased screening costs through the Oregon health Authority contract. Revenues decreased between FY 2018-19 because the program collected smaller fees in FY 2019 than FY 2018. FY 2020 the contract with the Oregon Health Authority ended and a new contract with the State of Washington began. Expenditures increased due to the 2.0 FTE added to this fund; revenues decreased due to the COVID-19 pandemic. FY22, request of new Temporary OA III. FY23, request for increased fee of \$25/screening to add Pompe and MPS1 screening which is an estimated \$17 fee to run the test.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,234,569	1,484,569	1,484,569	1,484,569	1,484,569	1,484,569	1,484,569
Beginning Cash Balance	601,079	928,014	1,790,109	2,115,924	1,647,842	1,467,445	1,687,569
Revenues	1,030,871	1,809,324	1,691,741	1,567,105	1,567,105	2,108,000	2,108,000
Expenditures	703,936	947,229	1,365,926	2,035,188	1,747,502	1,887,876	2,213,266
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	928,014	1,790,109	2,115,924	1,647,842	1,467,445	1,687,569	1,582,303
Encumbrances	3,498	311,309	494,616	98,118	0	0	0
Unencumbered Cash Balance	924,517	1,478,800	1,621,308	1,549,723	1,467,445	1,687,569	1,582,303

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Birth Defects Special Fund
 Legal Authority: Section 321-426, HRS

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF): B
 Appropriation Acct. No.: S 304 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including indirect costs.

Source of Revenues: \$10 of each marriage license fee collected by the Hawaii State Department of Health is deposited to this special fund.

Current Program Activities/Allowable Expenses: This fund enables the State to have a continuous, reliable and timely statewide information and monitoring source for ascertaining the number of births with specific disabilities and abnormalities due to birth defects. The program monitors trends and changes over time to identify possible risk factors for births defects and help develop activities to prevent the birth defects.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance in expenditures from FY 2018-19 and beyond is due to reducing payroll from 5.0 FTE to 3.75 FTE. Program made necessary changes to reduce expenditures and will continue to reduce payroll through FY23 to 2.0 FTE.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	413,853	413,853	413,853	413,853	413,853	413,853	413,853
Beginning Cash Balance	668,801	485,900	234,950	92,878	7,311	(7,718)	(21,637)
Revenues	215,019	226,103	241,721	178,996	131,711	183,000	219,600
Expenditures	397,920	477,052	383,794	264,563	146,740	196,919	137,605
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	485,900	234,950	92,878	7,311	(7,718)	(21,637)	60,358
Encumbrances			0	301			
Unencumbered Cash Balance	485,900	234,950	92,878	7,010	(7,718)	(21,637)	60,358

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Community Health Centers Special Fund
 Legal Authority: Section 321-1.65, HRS

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF): B
 Appropriation Acct. No.: S 305 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including indirect costs.

Source of Revenues: Cigarette tax assessed and collected pursuant to Section 245-3(a), HRS.

Current Program Activities/Allowable Expenses: (1) Purchase of service (POS) contracts to 14 FQHCs to provide medical (perinatal, pediatric, adult primary care) & support services (svcs) to un- and under-insured individuals that are at or below 250% of the federal poverty level. Optional svcs include behavioral health care, dental treatment, & pharmaceutical svcs. (2) POS contract to Hana Health (an FQHC) for the provision of urgent care (24/7), & for comprehensive primary care svcs. (3) POS contract to Waianae Coast Comprehensive Health Ctr (an FQHC) for the provision of 24-hr emergency room svcs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The projected increase in expenditures between FY 2020-21 and beyond is due to an expansion of services to the uninsured besides basic medical care and an increase in the rates paid to providers effective 7/1/19 (FY 2019-20 was lower due to few clients seeking primary care services due to COVID 19).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,341,526	12,341,526	12,341,526	12,341,526	12,405,763	12,405,763	12,405,763
Beginning Cash Balance	15,098,841	19,431,853	22,223,396	25,116,093	28,715,600	26,924,074	25,132,548
Revenues	8,800,000	8,700,092	8,121,938	8,419,885	8,800,000	8,800,000	8,800,000
Expenditures	4,466,988	5,880,067	5,229,242	4,820,377	10,591,526	10,591,526	10,591,526
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,431,853	22,223,396	25,116,093	28,715,600	26,924,074	25,132,548	23,341,022
Encumbrances	3,707,219	2,917,358	3,106,276	3,716,674	0	0	0
Unencumbered Cash Balance	15,724,633	19,306,038	22,009,817	24,998,926	26,924,074	25,132,548	23,341,022

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: TITLE XIX Med QUEST Carveout/General Outpatient
 Legal Authority: Section 334-115, HRS

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) B
 Appropriation Acct. No. S 306 H

Intended Purpose: To deposit revenues collected from treatment services rendered by mental health and substance abuse programs operated by the state.

Source of Revenues: Investment pool interest, reimbursement through DHS for administrative cost claims, reimbursement for Medicaid eligible current services, and refund/reimbursement of prior period expenditures.

Current Program Activities/Allowable Expenses: Program activities include assuring a comprehensive array of mental health services for children and adolescents as well as the funding of salaries for Quality Assurance Specialists at the CAMHD Family Guidance Centers and various other positions.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variance:

FY 2017-The revenues decreased due to the fact that the reimbursement of mainland placement youth being caught up.

FY 2018-The revenues decreased due to the delay in reimbursement from MedQuest.

FY 2019-The revenues increased due to the late reimbursement from MedQuest for FY 2018. The expenditures decreased due to the higher usage of general fund instead of this fund.

FY2020-The revenues decreased due to the delay in reimbursement from MedQuest.

FY2021-The revenues are expected to increase due to the late reimbursement from MedQuest for FY2020. The expenditures are expected to increase due to the restriction on the general fund resulting this fund to cover the service cost.

FY2022-The revenues are expected to decrease due to the reimbursement from MedQuest being caught up in FY2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,070,731	15,093,233	15,093,233	15,133,262	15,133,262	15,133,262	15,133,262
Beginning Cash Balance	5,138,052	6,638,361	4,250,684	9,036,510	6,400,442	8,650,442	8,900,442
Revenues	8,946,909	5,609,651	10,541,529	6,094,245	15,250,000	12,250,000	12,000,000
Expenditures	7,446,600	7,997,328	5,755,703	8,730,313	13,000,000	12,000,000	12,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,638,361	4,250,684	9,036,510	6,400,442	8,650,442	8,900,442	8,900,442
Encumbrances	3,261,194	2,396,025	7,805,793	4,171,291			
Unencumbered Cash Balance	3,377,167	1,854,659	1,230,717	2,229,151	8,650,442	8,900,442	8,900,442

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Drug Demand Reduction Assessments Special Fund (DDRA)
 Legal Authority: Section 706-650(3), HRS

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) B
 Appropriation Acct. No. S 307 H

Intended Purpose:

Deposits to the Drug Demand Reduction Assessments (DDRA) Special Fund are intended "to supplement drug treatment and other drug demand reduction programs." The DDRA Special Fund allows additional resources to be committed to support needed alcohol and substance abuse treatment services to individuals at risk of further involvement in the criminal justice and correctional system. Also, as the State's "Driving Under the Influence (DUI)" rate continues to increase, it is vital that DDRA funds be used to develop and implement a range of strategies that directly addresses this important community issue.

Source of Revenues: Impositions of monetary assessments in cases involving persons convicted of an offenses related to drugs and intoxicating compounds under Part IV of Chapter 712, HRS.

Current Program Activities/Allowable Expenses:

Current services funded by the DDRA Special Fund provide adult substance abuse treatment services to offenders referred by the Intake Service Center on the island of Oahu (i.e., Oahu Community Correctional Center); evaluation services and support for the Strategic Prevention Framework; and diversion of high-risk youth engaged in minor delinquent behavior identified by the Judiciary' Family Court.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variations: Increase in Appropriation Ceiling from \$500,000 to \$750,000 per Act 119, SLH 2015. The variations between FY 2016, 2017, and 2018 in expenditures are due to late invoice submission in purchase of service contract during FY 2016. Due to the decrease in revenue for FY2017 through FY2020 resulted in decreased expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	750,000	750,000	750,000	500,000	500,000	500,000
Beginning Cash Balance	1,513,166	1,209,387	1,021,411	819,724	606,769	606,769	606,769
Revenues	526,705	494,794	463,259	369,086	500,000	500,000	500,000
Expenditures	830,484	682,770	664,946	582,041	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,209,387	1,021,411	819,724	606,769	606,769	606,769	606,769
Encumbrances	160,344	222,655	103,577	258,998	500,000	500,000	500,000
Unencumbered Cash Balance	1,049,043	798,756	716,146	347,770	106,769	106,769	106,769

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 906
 Name of Fund: State Health Planning and Development Fund
 Legal Authority: Section 323D - 12.6 HRS

Contact Name: Gayle Ogawa
 Phone: 587-0787
 Fund type (MOF): B
 Appropriation Acct. No. S 309 H

Intended Purpose: Support expenses associated with Agency duties as mandated by Chapter 323D HRS.

Source of Revenues: Certificate of Need application fees.

Current Program Activities/Allowable Expenses: Expenses associated with the administration of the State Certificate of Need (CON) program as mandated in chapter 323D Hrs. Expenses associated with maintaining and revising the State's Health Plan as mandated in chapter 323D HRS. Expenses associated with ACT 219 SLH 2007 that requires all public reviews related to CON application for Maui be heard on Maui instead of Honolulu.

Purpose of Proposed Ceiling Adjustment (if applicable): To support funding for the SHPDA Administrator's position who administers the State CON program and implements the State's Health Plan.

Variations: The variations in Revenues and Expenditures from FY 2016 to FY 2020 are due to key punch error by DAGS, funds belonging to MedQuest and OSHM was deposited in the SHPDA special funds by mistake. The error has been corrected in FY 2018 and FY 2019, and fully resolved in FY 2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	114,000	114,000	114,000	114,000	114,000	130,000	130,000
Beginning Cash Balance	582,104	694,914	585,715	530,828	645,422	670,422	590,422
Revenues	145,017	2,569	36,249	136,381	50,000	50,000	50,000
Expenditures	32,207	111,768	91,135	21,787	25,000	130,000	130,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	694,914	585,715	530,828	645,422	670,422	590,422	510,422
Encumbrances				1,692			
Unencumbered Cash Balance	694,914	585,715	530,828	643,730	670,422	590,422	510,422

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Office of Health Care Assurance Special Fund
Legal Authority: Section 321-1.4, HRS

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF): B
Appropriation Acct. No.: S**-310-H

Intended Purpose:

The Hospital and Medical Facilities special fund was enacted in the 1999 Legislature and amended in the 2002 Legislature to allow for deposit of all monies collected by the department in licensing fees and penalties to be expended to assist in offsetting educational program expenses to enhance the capacity of the program to improve public outreach efforts and consultations to industries, educate the public, department staff and providers by the Office of Health Care Assurance (OHCA).

Source of Revenues:

Licensing fees and penalties. The amount of penalties are generally small. Licensing fees will be created through the administrative rules process, which may take several months to implement and barring legislative intervention resulting from concerns raised by healthcare facility operators. Anticipate licensing fees implementation by FYE 2012.

Current Program Activities/Allowable Expenses:

OHCA has the responsibility of managing the State licensure and Federal certification of medical and health care facilities, agencies and services provided throughout the State in order to ensure acceptable standards of care are provided.

Purpose of Proposed Ceiling Adjustment (if applicable):

To have ceiling at \$327,000 that is compliance with Section 321-1.4

Variances:

Revenue was increased in FY 2019 because the implementation of Health Care Facility Management Information System (HCFMIS) project in 3rd Quarter of FY 2019 that allow OHCA to issues invoices for application and license fees. The revenue was significantly increased in FY 2020 due to full year invoicings. OHCA is revising HAR §11-103 to increase licensing fees that will be effective in FY2022. This fee increase should provide additional revenue and increase the revenue to \$628,500 in FY 2022 and \$803,500 in FY 2023.

Expenditures were increased in fiscal year 2019 because of a contract costs at \$40,000. The expenditures were increased in fiscal year 2020 because of a contract costs at \$112,000. The expenditures are expected to increase higher in fiscal year 2021 because additional contracts at \$42,000 for administrative support services and the increase in other current expenditures to offset an anticipated general shortage. Expenditures are expected to increase higher in FY 2022 to cover the contract costs and the possibility of general fund reductions.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	406,000	406,000	500,000	361,000	361,000	800,000	800,000
Beginning Cash Balance	20,980	44,544	76,617	125,735	546,091	748,091	577,443
Revenues	24,758	34,751	95,693	515,713	523,500	628,500	803,500
Expenditures	1,184	2,678	46,575	95,357	321,500	799,148	799,148
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in			0	0	0	0	0
Transfer-out	(10)		0	0	0	0	0
Net Total Transfers	(10)	0	0	0	0	0	0
Ending Cash Balance	44,544	76,617	125,735	546,091	748,091	577,443	581,795
Encumbrances	0	8,766	50,867	114,359			
Unencumbered Cash Balance	44,544	67,851	74,868	431,731	748,091	577,443	581,795

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Trauma System Special Fund
 Legal Authority: Section 321-22.5, 245-15, 245-3, 291-, HRS

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF): B
 Appropriation Acct. No.: S 311 H

Intended Purpose: Support for the continuing development and operation of a comprehensive state trauma system.

Source of Revenues: Tax pursuant to Section 245-15 and 245-3, HRS. Fines/surcharges pursuant to Section 291-, HRS (ACT 231, SLH 2007).

Current Program Activities/Allowable Expenses: Personnel cost, under/uncompensated trauma care costs incurred by hospitals.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3, Note 4, and Note 5 below.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,433,731	7,433,731	7,433,731	7,479,422	7,479,422	7,479,422	7,479,422
Beginning Cash Balance	15,223,501	11,309,674	13,126,272	14,242,376	15,890,115	7,531,058	7,479,422
Revenues	7,603,277	8,784,442	7,172,110	7,611,371	7,610,480	7,610,480	7,610,480
Expenditures	6,070,517	6,269,973	3,452,645	5,223,391	14,334,725	7,479,422	7,479,422
Transfers							
JM2469 dated 11/06/19	(5,446,587)	(697,871)	(2,603,361)	(740,241)			
					(1,634,812)	(182,694)	(131,058)
FYs 2017-23, per Act 238, SLH 2015. See Notes 3 and 5 below.							
Net Total Transfers	(5,446,587)	(697,871)	(2,603,361)	(740,241)	(1,634,812)	(182,694)	(131,058)
Ending Cash Balance	11,309,674	13,126,272	14,242,376	15,890,115	7,531,058	7,479,422	7,479,422
Encumbrances	3,211,804	3,122,911	6,102,135	6,855,303	0	0	0
Unencumbered Cash Balance	8,097,871	10,003,361	8,140,241	9,034,812	7,531,058	7,479,422	7,479,422

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2020 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2020 Encumbrances (Unliquidated Balance): MBP490-A, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FYs 2021-23 include projected revenue from cigarette sales, traffic fines, and investment pool account and are based on revenues recorded in FAMIS as of: 07/20/2020. The revenue for FY 2018 includes \$1,232,009.07 for refund/reimbursement of prior period expenditures. Pursuant to ACT 238, SLH 2015, the rate for tax collection for cigarette sales decreased from \$0.0150 to \$0.01125 effective July 1, 2015 and is scheduled to remain in effect for the continuing years, but not more than \$7,400,000 in a fiscal year shall be deposited to the credit of the trauma system special fund. The unexpended and unencumbered moneys in the fund in excess of \$7,400,000 on June 30 of each fiscal year shall be transferred by the director of finance into and become a realization of the general fund on that date.

Note 4: The expenditures for FYs 2017-20 include liquidations of prior fiscal years and the current fiscal year encumbrances within the fiscal year reported. The estimated expenditures for FYs 2021-23 are equal to fully expending estimated revenue available within the appropriation ceiling and expenditures for encumbrances remaining from the prior year.

Note 5: The actual and estimated transfer of funds are pursuant to ACT 238, SLH 2015, that states "The unexpended and unencumbered moneys in the fund in excess of \$7,400,000 on June 30 of each fiscal year shall be transferred by the director of finance into and become a realization of the general fund on that date." For FY16, \$5,446,586.59 was transferred in FY 17 from S-16-311-H-467 to G-00-000-H-467 (ref: JV #JM3061). For FY 17, \$697,870.67 was transferred in FY 18 from S-17-311-H-467 to G-00-000-H-467 (ref: JV #JM2289). For FY 18, \$2,603,361.05 was transferred in FY 19 from S-18-311-H-467 to G-00-000-H-467 (ref: JV #JM0509). For FY 19, \$740,240.74 was transferred in FY 20 from S-19-311-H-467 to G-00-000-H-467 (ref: JV #JM2469). For FY 20, \$1,634,811.76 will be transferred in FY 21 from S-20-311-H-467 to G-00-000-H-467 (ref: JV submitted).

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Deposit Beverage Container Special Fund
 Legal Authority: Section 342G-104 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 313 H

Intended Purpose: Increase participation and recycling rates for specified deposit beverage containers; provide a connection between manufacturing decisions and recycling program management; reduce litter; reimburse consumers 5 cents on redeemed glass, plastic and aluminum containers.

Source of Revenues: Beverage container deposit fees and handling fees

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting, and personnel.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies based on amount of container deposits collected from customers and sent to DOH from businesses selling items in containers covered by the HI-5 program. Decrease in expenditures is due to lower container redemption rate by consumers (because of the relatively strong State economy and low unemployment), which results in lower expenditures to redemption centers for deposit reimbursements and handling fees. Lower expenditures also due to numerous position vacancies. The Program anticipates an increase in expenditures as residents participate in the redemption program to supplement income due to the impacts of the COVID-19 pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,147,889	71,174,271	71,174,271	71,207,053	71,207,053	71,207,053	71,207,053
Beginning Cash Balance	25,235,234	29,742,414	36,788,260	43,415,257	49,482,964	44,482,964	39,482,964
Revenues	57,390,806	56,956,777	57,447,386	58,455,057	55,000,000	55,000,000	60,000,000
Expenditures	52,883,626	49,910,931	50,820,388	52,387,350	60,000,000	60,000,000	60,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	29,742,414	36,788,260	43,415,257	49,482,964	44,482,964	39,482,964	39,482,964
Encumbrances	15,906,690	16,092,588	19,416,291	23,949,833	20,000,000	20,000,000	20,000,000
Unencumbered Cash Balance	13,835,724	20,695,672	23,998,966	25,533,131	24,482,964	19,482,964	19,482,964

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 501
 Name of Fund: Neurotrauma Special Fund
 Legal Authority: Section 321H-4, HRS

Contact Name: Sayuri Sugimoto
 Phone: 587-6043
 Fund type (MOF) B
 Appropriation Acct. No. S 314 H

Intended Purpose: Funding and contracting for services relating to neurotrauma; for education; assistance to individuals and families to identify and obtain access to services; maintenance of a registry of neurotrauma injuries within Hawaii; and necessary administrative expenses not to exceed two percent (2%) of the total amount collected.

Source of Revenues: Surcharges levied under Sections 291-11.5, 291-11.6, 291C-12, 291C-12.5, 291C-12.6, 291C-102 and 291E-61, HRS.

Current Program Activities/Allowable Expenses: Contracts in the area of education awareness with 1) the Queens Medical Center (Statewide Stroke Network); 2) University of Hawaii (Neurotrauma Registry); and 3) University of Hawaii (Head, Neck, and Spine Educational Project); 4) Rehabilitation Hospital of the Pacific (REHAB) - Transfer Training.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: The special fund revenue reflects the actual deposits to this fund from traffic surcharges (citations/violations) collected.

FY 2017 and FY 2019: The revenues decreased due to decrease in surcharges collected.

FY 2018: The revenues increased due to increase in surcharges collected.

FY 2017: The expenditures increased because a new contract was awarded to the Queen's Medical Center for the Statewide Stroke Network.

FY 2019: The expenditures increased because services were incurred for a new contract with the University of Hawaii, Department of Kinesiology and Rehabilitation Science for Project Head, Neck, and Spine.

Variances: FY20 expenditure variance was due to the following reasons:

- The DOT approved moped helmet budget was increased by \$5,000.
- The conference budget was increased by \$45,000 due to greater number of requests for the educational conferences, trainings, and events for FY20.
- The invoice submission for ASO log#17-151 was delayed and the payment was made in FY20.
- ASO log#18-170 was extended till June 30, 2020. The final invoice was submitted in FY20.

FY21 expenditure variance is expected due to fewer contracts being executed during FY21.

Variances: FY20 revenue variance was due to the decrease in surcharges levied under Sections 291-11.5, 291-11.6, 291C-12, 291C-12.5, 291C-12.6, 291C-102 and 291E-61, HRS.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,038,992	1,053,448	1,053,448	1,063,165	1,063,165	1,063,165	1,063,165
Beginning Cash Balance	2,153,643	2,002,017	1,949,168	1,655,320	1,115,080	865,080	665,080
Revenues	705,444	806,543	668,342	568,735	600,000	650,000	700,000
Expenditures	857,070	859,391	962,190	1,108,975	850,000	850,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,002,017	1,949,168	1,655,320	1,115,080	865,080	665,080	515,080
Encumbrances	616,450	686,926	521,751	312,269	300,000	300,000	300,000
Unencumbered Cash Balance	1,385,567	1,262,242	1,133,569	802,811	565,080	365,080	215,080

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Interdepartmental Transfer
 Legal Authority: Item E-8, Act 162, 2009 SLH, as amended by Act 180, 2010 SLH

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) U
 Appropriation Acct. No. S 316 H

Intended Purpose: Fund used for participation in the State Nutrition Assistance Program nutrition education program (SNAP-Ed; formerly called Food Stamp Nutrition Education or FSNE) enables the State to get reimbursements based on non federal dollars spent for approved nutrition education activities with populations that qualify for the federal nutrition assistance program.

Source of Revenues: Interdepartmental transfer of US Department of Agriculture (USDA) reimbursements through Department of Human Services.

Current Program Activities/Allowable Expenses: SNAP-Ed funding is used for establishing policies, systems, and environmental changes to improve nutrition and physical activity opportunities, and that are based on the USDA approved Hawaii SNAP-Ed plan. USDA publishes annual guidance for the development of state plans.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: The revenue is determined by DHS. The program successfully executed subcontracts as planned in FY19 and FY20, and submitted invoices to DHS, and the reimbursement fell behind n FY20 due to DHS staffing loss, but this is now resolved.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	610,000	610,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	137,323	415,506	191,069	344,697	59,291	309,291	309,291
Revenues	663,439	271,149	889,446	234,206	850,000	850,000	850,000
Expenditures	385,256	495,587	735,818	519,611	600,000	850,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	415,506	191,069	344,697	59,291	309,291	309,291	309,291
Encumbrances	117,000	366,166	386,640	299,512	299,512		
Unencumbered Cash Balance	298,506	(175,097)	(41,944)	(240,221)	9,779	309,291	309,291

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Form 37-47 (rev. 9/17/19)

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Electronic Device Recycling Fund
 Legal Authority: Act 13/2008

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 319 H

Intended Purpose: Encourage recycling of electronic devices sold in the State to divert waste and leaching of possible hazardous materials components from landfills.

Source of Revenues: Initial registration and annual renewal fees from all manufacturers' brands of covered electronic devices.

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting, personnel, and supporting county electronics collections (Act 130, HSL 2017).

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary depending on the scope of services for contracts with Hawaii, Kauai, and Maui counties to provide electronic device recycling programs.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	509,062	510,948	510,948	515,246	1,077,202	515,246	515,246
Beginning Cash Balance	1,602,752	1,624,291	1,840,658	2,102,539	2,213,282	2,108,282	2,003,282
Revenues	389,968	368,262	360,900	435,191	395,000	395,000	395,000
Expenditures	368,429	151,895	99,019	324,448	500,000	500,000	500,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,624,291	1,840,658	2,102,539	2,213,282	2,108,282	2,003,282	1,898,282
Encumbrances	227,761	135,000	480,000	480,000	500,000	500,000	500,000
Unencumbered Cash Balance	1,396,530	1,705,658	1,622,539	1,733,282	1,608,282	1,503,282	1,398,282

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Domestic Violence and Sexual Assault Special Fund
 Legal Authority: Section 321-1.3, HRS

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) B
 Appropriation Acct. No. S 321 H

Intended Purpose: For use by the Department of Health to fund programs or purchases of service supporting/providing domestic violence and sexual violence intervention and/or prevention.

Source of Revenues: Fees from birth, marriage, divorce and death certificates; and income tax designations per §235-102.5, HRS.

Current Program Activities/Allowable Expenses:

- A. Salary: Domestic Violence Prevention Program Specialist
- B. Domestic Violence Fatality Review: meetings, including travel.
- C. University of Hawaii Women's Center PAU Violence Program: violence prevention task forces activities on 10 campuses statewide
- D. Sex Abuse Treatment Center: sexual violence prevention education, awareness and training.
- E. Hawaii Law Clinic dba Ala Kuola: Coaching Boys into Men curriculum for high schools.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance in expenditures from FY 2020(actual) -2021 (estimated) and projected expenditures from FY 2021 moving forward is due to a planned decrease in expenditures in FY 2021 initiated to bring expenditures in line with revenues. Spending will more accurately reflect revenues from FY 2021 onward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	634,615	634,615	634,615	634,615	634,615	634,615	634,615
Beginning Cash Balance	554,732	539,056	351,308	259,223	140,712	180,712	220,712
Revenues	297,608	321,215	338,507	314,597	290,000	290,000	290,000
Expenditures	313,284	508,963	430,593	433,108	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	539,056	351,308	259,223	140,712	180,712	220,712	260,712
Encumbrances	192,470	89,669	213,434	92,303	0	0	0
Unencumbered Cash Balance	346,586	261,639	45,789	48,409	180,712	220,712	260,712

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Noise, Radiation and Indoor Air Quality Special Fund
 Legal Authority: Section 342P-7, HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): B
 Appropriation Acct. No.: S 322 H

Intended Purpose: Implement mandated functions and activities of the Indoor and Radiological Health Branch.

Source of Revenues: Fees for radiological technologists/radiation facilities, radiation services, noise permits, etc.

Current Program Activities/Allowable Expenses: Issue permits, notifications and variances; license and certify radiological technologists and asbestos and lead abatement contractors; provide emergency response and capabilities; respond to complaints; inspect facilities; conduct public outreach and education programs; participate in professional trainings.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending on the number of noise permits/variances and radiation licenses that are issued each year. The amount of noise permits/variances changes depending on the amount of economic activity and construction projects. Odd-numbered years typically generate less revenue, since fewer radiation licenses are renewed in those years. In addition, FY 20 expenditures were significantly lower due to decreased inspections, travel, and training opportunities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	279,288	279,288	279,288	279,288	279,288	279,288	279,288
Beginning Cash Balance	434,101	387,950	391,033	398,119	450,623	410,623	376,623
Revenues	154,406	157,667	151,055	138,284	145,000	151,000	151,000
Expenditures	200,557	154,584	143,969	85,781	185,000	185,000	185,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0						
Ending Cash Balance	387,950	391,033	398,119	450,623	410,623	376,623	342,623
Encumbrances	6,631	16,717	12,924	14,306	15,000	15,000	15,000
Unencumbered Cash Balance	381,319	374,316	385,195	436,317	395,623	361,623	327,623

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Asbestos and Lead Abatement Special Fund
 Legal Authority: Act 242/1998 and 342-P, HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 323 H

Intended Purpose: Support mandated functions and activities of the federal National Emissions Standards for Hazardous Air Pollutants, Asbestos-in-Schools and Lead-Based Paint Abatement programs.

Source of Revenues: Fees for demolition/renovation and accreditation of asbestos training.

Current Program Activities/Allowable Expenses: Inspections; public outreach and training for compliance and certification of lead-based paint abatement contractors.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending on the number of asbestos demolition/abatement projects and the number of workers on those projects each year. FY 18 and beyond include one additional position that was changed from federal funding to this special fund.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	275,575	327,049	327,049	341,839	341,839	341,839	341,839
Beginning Cash Balance	365,683	362,763	302,887	209,721	145,701	90,201	34,701
Revenues	246,539	238,756	274,572	277,872	274,500	274,500	274,500
Expenditures	249,459	298,632	367,738	341,892	330,000	330,000	330,000

Transfers

List each net transfer in/out; list each account number

	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	362,763	302,887	209,721	145,701	90,201	34,701	(20,799)
Encumbrances	707	458	53	0	100	100	100
Unencumbered Cash Balance	362,056	302,429	209,668	145,701	90,101	34,601	(20,899)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 520
 Name of Fund: Special Parent Information Network (SPIN)
 Legal Authority: HRS §348F-7

Contact Name: Kristine Pagano
 Phone: 447-1397
 Fund type (MOF) U
 Appropriation Acct. No. S 324 H

Intended Purpose: A Memorandum of Agreement between the Department of Education and the Department of Health, Disability and Communication Access Board to provide support in the operation and support of the Special Parent Information Network (SPIN) and the Special Education Advisory Council (SEAC).

Source of Revenues: Department of Education

Current Program Activities/Allowable Expenses: Two (2.0) positions and operating costs for SPIN and SEAC.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variations:

The variance (increase) in expenditures in FY 2019 is due to an increase in costs of personnel services, operating costs of the Special Education Advisory Council and annual SPIN conference for the Department of Education and families who have children with disabilities.

The variance (decrease) in revenues in FY 2020 is due to Qtr 4 funds not received until FY 2021 Qtr 1.

The variance (decrease) in expenditures in FY 2020 is due to the COVID-19 pandemic and cancellation of the annual SPIN conference.

The variance (increase) in revenues in FY 2021 is due to an increase in costs of personnel services and operating costs of the Special Education Advisory Council.

The variance in revenues do not exceed 10% in FY 2021, FY 2022, and FY 2023.

The variance in expenditures do not exceed 10% in FY 2021, FY 2022, and FY 2023.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	280,848	286,003	286,003	292,599	292,600	292,600	292,600
Beginning Cash Balance	149,687	117,383	96,587	57,669	35,284	45,684	56,084
Revenues	188,390	204,175	208,101	172,094	210,400	210,400	210,400
Expenditures	220,694	224,971	247,019	194,478	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	117,383	96,587	57,669	35,284	45,684	56,084	66,484
Encumbrances	1,752	564	2,257	15,215	0	0	0
Unencumbered Cash Balance	115,631	96,023	55,411	20,069	45,684	56,084	66,484

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Violence Prevention Program, Child Death Review (CDR).
 Legal Authority: Act 162, SLH 2009, as amended by Act 180, SLH 2010

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) U
 Appropriation Acct. No. S 325 H

Intended Purpose: To provide for a CDR Nurse Coordinator position to implement the statewide CDR System.

Source of Revenues: Fees from birth, marriage, divorce and death certificates; and income tax designations per §235-102.5, HRS.

Current Program Activities/Allowable Expenses:

- Provides staffing to the State CDR Council who is responsible to analyze and evaluate data, to develop public health policy and to expand prevention programs to impact and strengthen the system to prevent further child deaths.
- Provides staffing and assistance to the six local CDR Teams including the Department of Defense. The purpose of the local teams is to review the circumstances and causes of child deaths and to identify gaps in the delivery system and communication between organizations and to identify environmental, educational and organizational issues related to the prevention of child deaths.
- Prepares reports in collaboration with the Council members to synthesize the data obtained from the team reviews.
- Assists in CDR training and education activities.
- Supports and collaborates with the research statistician to maintain a CDR surveillance system.
- Maintains and updates CDR Policies and Procedures Manual.

Purpose of Proposed Ceiling Adjustment (if applicable): Function was eliminated by the 2014 Legislature.

Variances: After spending the FY21 cash balance, no further activity is anticipated.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	203,441	203,441	203,441	203,441	203,441	203,441	203,441
Beginning Cash Balance	216,438	175,303	168,718	60,630	5,518	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	41,135	6,585	108,088	55,112	5,518	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	175,303	168,718	60,630	5,518	0	0	0
Encumbrances	19,585	0	54,571	0	0	0	0
Unencumbered Cash Balance	155,718	168,718	6,060	5,518	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FN
 Name of Fund: Vector Control, U-account
 Legal Authority: Act 259/2001 as amended by Act 177/2002; Act 213/2007

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) U
 Appropriation Acct. No. S 327 H

Intended Purpose: Prevent introduction of air- and sea-borne vectors and diseases to the State.

Source of Revenues: State Department of Transportation transfer of funds for vector control work at major ports of entry.

Current Program Activities/Allowable Expenses: Identify potential breeding grounds and harborage sites and maintain traps and poison at stations at major airports and seaports under Department of Transportation jurisdiction.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variance in expenditures due to position vacancies and/or delay in transfer of funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	191,279	231,850	231,850	246,549	249,740	249,740	249,740
Beginning Cash Balance	2,869	1	46,877	78,711	154,548	154,548	154,548
Revenues	0	0	0	0	249,740	249,740	249,740
Expenditures	2,868	137,124	147,948	161,163	249,740	249,740	249,740
Transfers							
List each net transfer in/out; list each account number							
JS3444/3724/4612/60206019/6133		184,000	179,782	237,000			
Various dates							
Net Total Transfers		184,000	179,782	237,000	0	0	0
Ending Cash Balance	1	46,877	78,711	154,548	154,548	154,548	154,548
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1	46,877	78,711	154,548	154,548	154,548	154,548

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: TITLE IV-E Reimbursement (Interdepartmental transfer from DHS)
 Legal Authority: Act 259/01, as amended by Act 177/02; Act 200/03

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) U
 Appropriation Acct. No. S 328 H

Intended Purpose: To provide training and skill development to foster parents/prospective foster parents licensed by child care (placement) organizations (CPO) that are licensed by Department of Human Services (DHS). Many of these youth are cared for through foster services and thus the Title IV-E funds are reimbursed to DOH through DHS. Also reimbursable are training activities provided to staff of CPOs with respect to their activities involving the licensing and training of foster homes.

Source of Revenues: Reimbursement through DHS for eligible Title IV-E Training.

Current Program Activities/Allowable Expenses: Training and skill development of foster parents/prospective foster parents on an on-going basis.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances:

FY 2017-The revenues increased due to the filled billing position and billings to get caught up. The expenditures increased due to the increase in activities.
 FY 2018-The revenues decreased after the billings caught up and stabilized. The expenditures decreased due to the decrease in the activities.
 FY 2019-The variance for revenues did not exceed 10%. The expenditure decreased due to the lack of activities.
 FY 2020-The revenues increased due to the billing getting caught up. The expenditures increased due to the position being filled.
 FY 2021-The revenues and expenditures are expected not to exceed 10%.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,281,992	2,281,992	2,281,992	2,281,992	2,281,992	2,281,992	2,281,992
Beginning Cash Balance	350,674	483,606	581,594	670,477	726,603	791,603	861,603
Revenues	134,182	98,003	88,883	128,375	140,000	150,000	160,000
Expenditures	1,250	15	0	72,249	75,000	80,000	75,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	483,606	581,594	670,477	726,603	791,603	861,603	946,603
Encumbrances	0	0	0				
Unencumbered Cash Balance	483,606	581,594	670,477	726,603	791,603	861,603	946,603

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: PHN, Farrington High School (DOE) U-Account
 Legal Authority: Act 162/2009, as amended by Act 180/2010

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) U
 Appropriation Acct. No. S 329 H

Intended Purpose: MOF-U funding is currently for Registered Nurse IV (#118858) in the Public Health Nursing Branch (PHNB) for Farrington High School Transition Center; funding from Department of Education (DOE).

Source of Revenues: U-funding (inter-agency) from the DOE.

Current Program Activities/Allowable Expenses: PHNB provides a 1.00 FTE Registered Nurse (RN) IV (#118858), funded by the DOE, to Farrington High School Transition Center. From FY2020, PHNB provides 3.00 FTE RN IV funded by Department of Human Services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: The MOA with Farrington High School expired on 12/31/17 so there was less revenue and expenditure in FY18 and no revenue and expenditure in FY19. There was no MOA and revenue in FY2019. New MOA with DHS became effective in FY20 but hiring of positions and expenditure only start from FY2021 and will become fully staffed from FY2022 forward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	142,627	178,291	178,291	759,649	759,649	759,649	759,649
Beginning Cash Balance	1,571	77,478	96,772	96,772	96,772	96,772	96,772
Revenues	228,535	160,275	0	0	450,000	759,649	759,649
Expenditures	152,628	140,981	0	0	450,000	759,649	759,649
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	77,478	96,772	96,772	96,772	96,772	96,772	96,772
Encumbrances	3,166	0	0				
Unencumbered Cash Balance	74,312	96,772	96,772	96,772	96,772	96,772	96,772

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank Fund
 Legal Authority: Section 342L-51 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 330 H

Intended Purpose: Respond to petroleum releases from underground storage tanks or tank systems in a manner consistent with Chapter 342L, HRS.

Source of Revenues: Fees from field citations or enforcement actions pursuant to Chapter 342L, HRS.

Current Program Activities/Allowable Expenses: Deposit fees and utilize funds to cover costs incurred in responding to petroleum releases per Sections 342L-8(g) and 342L-53(d), HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending upon compliance, enforcement, and cost recovery. From FY 19, higher expenditures due to increased costs for Red Hill oversight.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	400,000	400,000	400,000	400,000
Beginning Cash Balance	1,127,680	1,146,987	1,285,621	1,238,848	1,477,015	1,427,015	1,377,015
Revenues	19,307	138,634	59,379	238,167	300,000	300,000	300,000
Expenditures	0	0	106,151	0	350,000	350,000	350,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,146,987	1,285,621	1,238,848	1,477,015	1,427,015	1,377,015	1,327,015
Encumbrances	0	0	2,849	100,000	50,000	50,000	50,000
Unencumbered Cash Balance	1,146,987	1,285,621	1,235,999	1,377,015	1,377,015	1,327,015	1,277,015

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Early Intervention Special Fund
 Legal Authority: § 321-355, HRS

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) B
 Appropriation Acct. No. S 331 H

Intended Purpose: To generate revenues to reinvest into the early intervention services for the at-risk, zero-to-three population; and to expand and enhance early intervention services for infants and toddlers with special needs

Source of Revenues: Federal reimbursements from Medicaid and Title IV E and any other program income or grants earned by this fund.

Current Program Activities/Allowable Expenses: Program activities include community based, family centered early intervention services to infants and toddlers with developmental delays; family support programs to reduce risk of child abuse and neglect; training and education for professionals, paraprofessional, and families; and clerical support to input data to support the carve-out request and other data management expenses related to early intervention services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variations: There is an anticipated increase in expenditures from FY 2020 and beyond due to collective bargaining and fringe benefit cost for personnel paid from this special fund account.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044
Beginning Cash Balance	1,472,106	1,502,409	1,575,592	1,636,333	1,739,739	1,789,739	1,839,739
Revenues	368,989	410,348	440,157	495,395	480,000	480,000	480,000
Expenditures	338,685	337,165	379,416	391,989	430,000	430,000	430,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,502,409	1,575,592	1,636,333	1,739,739	1,789,739	1,839,739	1,889,739
Encumbrances	0	154	0	86,285	0	0	0
Unencumbered Cash Balance	1,502,409	1,575,438	1,636,333	1,653,455	1,789,739	1,839,739	1,889,739

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 595
 Name of Fund: Medical Cannabis Dispensary Special Funds
 Legal Authority: Section 321-30.1, HRS

Contact Name: Utey Uch
 Phone: (808) 692-7229
 Fund type (MOF): B
 Appropriation Acct. No. S**-397-H (FY16-FY19)
S**-332-H (FY20-FY23)

Intended Purpose:

Act 241, SLH 215; Medical cannabis dispensary license fees and fines are collected and expensed for the establishment, hiring, and filling positions to carry out the activities of Medical Cannabis Dispensary program. Medical Cannabis Dispensary program was transferred from Office of Health Care Assurance (HTH720/MP, S**-397-H) to Office of Medical Cannabis Control and Regulation (HTH595/KM, S**-332-H) effectively on July 1, 2019 pursuant to Act 159, SLH 2018.

Source of Revenues:

Pursuant to HRS §321-30.1, OMCCR has two sub-accounts including the Medical Cannabis Dispensary special fund and Medical Cannabis Registry special fund. The fees collected pursuant to §329-4 shall be deposited to this Medical Cannabis Dispensary special fund and the fees collected pursuant to §321-30.1.(c) shall be deposited to the Medical Cannabis Registry special fund. Medical cannabis dispensary license fees and fines are assessed and collected by Office of Medical Cannabis Control and Regulation (OMCCR).

Current Program Activities/Allowable Expenses:

Authorized by Act 159, SLH 2018, OMCCR is responsible for licensing the medical cannabis dispensaries in the state and ensuring compliance in accordance with Act 241, SLH 2015. Medical Cannabis Dispensary Special Fund is established for the implementation and on-going operations of a regulated statewide dispensary system for medical cannabis. Allowable expenditures include the establishment, hiring, and filling positions and any other expenditures necessary, as authorized by the legislature.

Purpose of Proposed Ceiling Adjustment (if applicable):

The ceiling was adjusted in FY2020 to reflect actual expenditure and budget.

Variations:

\$729,575 was recorded as revenue in FY2017. However, the actual revenue was only \$17,075 including dispensary laboratory license renewal fees and interests. \$712,500 was transferred from State general funds (G-16-350-H) to establish Medical Registry & Regulation Special Funds per Act 241, SLH 2015.

\$825,098 was recorded as revenue in FY2018. However, \$403,350 was revenue earned in FY2017 but was deposited in FY2018. The actual FY 2018 revenue was \$421,748. Therefore, the variance was caused by the transfer that was incorrectly recorded as revenue in FY2017 and the revenue earned in FY2017 but it was deposited in FY2018.

The expenditures were increased in FY2019 because of filling vacant positions including a Program Specialist VI and an Investigator V.

The Office of Medical Cannabis Control and Regulation (OMCCR) plans to transfer-in \$329,315 from its Medical Cannabis Registry special funds (S**-345-H) to Medical Cannabis Dispensary special fund (S**-332-H) to ensure the sustainability of the Medical Cannabis Dispensary program. □

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	890,000	890,000	735,315	735,315	735,315	735,315
Beginning Cash Balance	0	0	1,767,668	1,487,084	1,250,716	1,250,716	1,250,716
Revenues	729,575	825,098	426,047	469,655	406,000	406,000	406,000
Expenditures	635,489	509,548	706,630	706,024	735,315	735,315	735,315
Transfers							
Transfer-in	1,296,588	1,000,000	0	0	329,315	329,315	329,315
Transfer-out	1,000,000	1,000,000	0	0			
	15,580	315,551					
	375,094						
Net Total Transfers	(94,086)	(315,551)	0	0	329,315	329,315	329,315
Ending Cash Balance	0	0	1,487,084	1,250,716	1,250,716	1,250,716	1,250,716
Encumbrances			31,477	36,687			
Unencumbered Cash Balance	0	0	1,455,607	1,214,028	1,250,716	1,250,716	1,250,716

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 520
 Name of Fund: DCAB Special Fund
 Legal Authority: HRS §348F-7

Contact Name: Kristine Pagano
 Phone: 447-1397
 Fund type (MOF) B
 Appropriation Acct. No. S 334 H

Intended Purpose: Payment of costs associated with the sign language interpreter credentialing program and for operating and payment costs associated with blueprint reviews under HRS§103-50.

Source of Revenues: Sign language interpreter testing and blueprint review fees.

Current Program Activities/Allowable Expenses: Ability to expend monies for sign language interpreter credentialing and for blueprint review costs.
 Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variations:

The variance (decrease) in revenues for FY 2019 is due to the number of documents reviewed was less than projected due to the way in which resubmitted documents were counted.

The variance (increased) in revenues for FY 2022 is due to anticipated increase of construction project reviews.

The variance (increase) in expenditures in FY 2017 is due to moving expenses.

The variances (increase) in expenditures from FY 2018 to FY 2020 are due to increased costs for personnel services and costs for collective bargaining, and operating and payment of costs associated with sign language interpreter credentialing and blueprint reviews under HRS§103-50.

The variance (decrease) in revenues in FY 2021 is due to the COVID-19 pandemic unpredictability of construction costs and number of document reviews.

The variance in revenues do not exceed 10% in FY 2022 and FY 2023.

The variance in expenditures in FY 2021 to 2023 is due to collective bargaining, fringe rates, and operating costs.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	952,734	915,094	966,656	1,043,264	1,043,264	1,043,264	1,043,264
Beginning Cash Balance	3,047,031	3,436,476	3,882,129	3,939,584	4,398,762	4,442,762	4,484,762
Revenues	1,174,496	1,136,987	913,224	1,312,316	1,100,000	1,100,000	1,100,000
Expenditures	596,391	691,334	855,769	853,138	1,056,000	1,058,000	1,058,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(207,000)						
	18,340						
Net Total Transfers	(188,660)	0	0	0	0	0	0
Ending Cash Balance	3,436,476	3,882,129	3,939,584	4,398,762	4,442,762	4,484,762	4,526,762
Encumbrances	15,649	15,172	15,616	13,598			
Unencumbered Cash Balance	3,420,827	3,866,957	3,923,968	4,385,164	4,442,762	4,484,762	4,526,762

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Tobacco Settlement Special Fund
 Legal Authority: Section 328L-2, HRS

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF): B
 Appropriation Acct. No.: S 335 H

Intended Purpose: Per Act 118, SLH 2015, the fund's purpose is only to distribute the receipts from the Master Settlement Agreement.

Source of Revenues: Tobacco Master Settlement Agreement

Current Program Activities/Allowable Expenses: Program is responsible for disbursing the funds per Sec. 328L-2, HRS, and per Act 12, SLH 2018 the program is authorized to use the unencumbered cash balance for the UH Debt Service and Trust Fund shortfalls through FY2022.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Arbitration credit of disputed amount of one-time credit was deducted from FY18 revenue. Allotted amount for the budget and finance was not transferred in FY19. Transfer was completed in FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	48,616,356	48,616,356	48,586,356	48,586,356	48,586,356	48,586,356	48,586,356
Beginning Cash Balance	19,927,633	18,333,696	14,761,257	33,047,057	20,079,942	18,639,942	17,309,942
Revenues	48,528,609	25,849,451	34,602,621	35,678,261	35,678,261	35,600,000	35,900,000
Expenditures	50,122,547	31,106,737	16,316,821	11,651,096	37,118,261	36,930,000	35,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JM0266	8/13/2019	1,684,847		(19,885,001)			
JM6508	5/8/2020			(17,109,280)			
Net Total Transfers	0	1,684,847	0	(36,994,281)	0	0	0
Ending Cash Balance	18,333,696	14,761,257	33,047,057	20,079,942	18,639,942	17,309,942	17,309,942
Encumbrances	1,107,372	425,626	40,518	0	0	0	0
Unencumbered Cash Balance	17,226,324	14,335,631	33,006,539	20,079,942	18,639,942	17,309,942	17,309,942

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FK
 Name of Fund: Wastewater Treatment Certification Board Special Fund
 Legal Authority: Act 238/SLH 1999

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 336 H

Intended Purpose: Establish and collect fees for applications, conduct examinations, issue or renew certificates pursuant to 340B, HRS.

Source of Revenues: Fees for certification of wastewater treatment personnel

Current Program Activities/Allowable Expenses: Implement duties and powers of the Board pursuant to 340B-11, HRS

Purpose of Proposed Ceiling Increase (if applicable):

Variations: All wastewater treatment operators are required to renew their licenses every other year. The revenue projections reflect this pattern of revenue.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,021	28,021	28,021	28,021	28,021	28,021	28,021
Beginning Cash Balance	59,841	62,060	55,707	54,499	48,058	41,762	26,036
Revenues	21,704	8,616	21,329	8,321	21,704	12,274	21,704
Expenditures	19,485	14,969	22,538	14,762	28,000	28,000	28,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0						
Ending Cash Balance	62,060	55,707	54,499	48,058	41,762	26,036	19,740
Encumbrances	1,176	1,647	1,272	969	1,176	1,176	1,176
Unencumbered Cash Balance	60,884	54,060	53,227	47,089	40,586	24,860	18,564

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Organ and Tissue Education Special Fund
 Legal Authority: Sec. 327-5.6, HRS

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) B
 Appropriation Acct. No. S 337 H

Intended Purpose: The fund consists of a \$1.00 donation collected at the time of motor vehicle registration and those funds shall be used exclusively for public education programs and activities on organ, tissue and eye donations.

Source of Revenues: \$1.00 donation at the time of motor vehicle registration.

Current Program Activities/Allowable Expenses: Per Sec.327-24, HRS funds are distributed to the Organ Donor Center of Hawaii (now known as Legacy for Life) for public education activities on the lifesaving benefits of the Organ Donor Program.

Purpose of Proposed Ceiling Adjustment (if applicable): The ceiling increase request is based on increase in revenue in FY18, FY19, and FY20. The current ceiling of \$30,000 is too low to transfer funds that have accumulated over the years to the Legacy of Life, due to an insufficient ceiling. Currently, the account has an extra \$72,000 and the program is expecting about \$45,000~50,000 donation for FY2021 and for every year following. Therefore, the appropriation request is made to increase the ceiling to \$50,000 in the FB21-23 fiscal biennium budget.

Variances: The public donations received exceeded the fiscal year appropriation ceilings in FY17, FY18, FY19, and FY20, and the program is not able to distribute the cash balance in the fund to the Legacy of Life pursuant to Sec. 327-24, HRS.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	30,000	30,000	30,000	30,000	50,000	50,000
Beginning Cash Balance	44,224	46,376	67,497	73,166	99,698	114,698	109,698
Revenues	32,151	47,558	35,599	56,486	45,000	45,000	45,000
Expenditures	29,999	26,437	29,929	29,954	30,000	50,000	50,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	46,376	67,497	73,166	99,698	114,698	109,698	104,698
Encumbrances	0	0	0				
Unencumbered Cash Balance	46,376	67,497	73,166	99,698	114,698	109,698	104,698

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Vital Statistics Improvement Special Fund
 Legal Authority: HRS 338-14.6

Contact Name: Alvin T. Onaka, Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) B
 Appropriation Acct. No. S-338-H

Intended Purpose: For the modernization and automation of the vital statistics system in this State. May also be used to assist in offsetting costs of the daily operations of the system of vital statistics

Source of Revenues: Fees for certified copies of birth, marriage, and death records.

Current Program Activities/Allowable Expenses: Enhancement of Electronic Death & Electronic Birth Registration systems.

Purpose of Proposed Ceiling Adjustment (if applicable): None.

Variances: FY 17-18 variance in expenditures due to personnel changes. FY 19-20 variance in expenditures due to personnel changes (retirement).
 FY20-21 variance in estimated expenditures due to personnel changes (Form A submitted to transfer position from General Fund (MOF A) to Special Fund (MOF B)).

	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	660,466	662,587	484,641	504,643	504,643	504,643	504,643
Beginning Cash Balance	194,883	61	37,723	79,778	198,075	218,075	238,075
Revenues	260,758	282,620	290,009	274,428	270,000	270,000	270,000
Expenditures	455,580	244,958	247,953	156,131	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	61	37,723	79,778	198,075	218,075	238,075	258,075
Encumbrances			2,852	133,194	130,000	130,000	130,000
Unencumbered Cash Balance	61	37,723	76,927	64,881	88,075	108,075	128,075

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FL/FQ and HTH 849 FA
 Name of Fund: Sanitation and Environmental Health Special Fund
 Legal Authority: Section 321-27 HRS
HTH 610 aprn \$3,094,378; HTH 849 aprn \$79,580

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 340 H and S 315 H

Intended Purpose: Enhance capacity of environmental health programs to improve public outreach, educate public and professionals, plan for future and emerging needs, and provide trainings to maintain competencies among environmental health professionals

Source of Revenues: Fees from food establishment inspections, temporary food permits, licenses for embalmers and tattoo artists, plan review and swimming pool permits, etc.

Current Program Activities/Allowable Expenses: Enhance updated code for licenses and permits granted to food establishments; establish mechanisms for other fee-generating programs through promulgation of rules; enhance educational and public outreach programs; improve electronic systems infrastructure and capabilities; develop partnerships to enhance program operations; develop emergency response capabilities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures will increase in future as new hires are allocated up to authorized position level as they gain experience, as well as from pay increases, step movements, and fringe benefit rate increases. FY 17 higher expenditures due to costs related to relocation to Halawa. Higher ceiling and expenditures from FY 20 due to increase for 7.00 new Sanitarian positions and food safety messaging campaign authorized by Act 5, SLH 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,846,538	2,224,701	2,224,701	3,173,958	3,173,958	3,173,958	3,173,958
Beginning Cash Balance	2,404,367	4,249,177	5,686,551	6,862,569	7,582,609	7,474,796	7,366,983
Revenues	3,718,303	3,216,400	3,092,187	2,852,194	3,092,187	3,092,187	3,092,187
Expenditures	1,873,493	1,779,026	1,916,168	2,132,155	3,200,000	3,200,000	3,200,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,249,177	5,686,551	6,862,569	7,582,609	7,474,796	7,366,983	7,259,170
Encumbrances	70,067	70,307	20,619	317,872	25,000	25,000	25,000
Unencumbered Cash Balance	4,179,110	5,616,243	6,841,950	7,264,737	7,449,796	7,341,983	7,234,170

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FK and HTH 849 FB
 Name of Fund: Water Pollution Control Revolving Fund
 Legal Authority: Clean Water Act and Section 342D-83 HRS
HTH 840 apprn \$101,329,080; HTH 849 apprn \$688,973

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 341 H and S 387 H

Intended Purpose: Provide low interest loans to counties and private entities for wastewater project construction including non-point source pollution projects.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Process and service loans; revise standards and procedures to streamline loan review process; monitor special conditions and payments of existing loans; process payments to counties; and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable): Increase appropriation ceiling by \$50 million to enable full utilization of loan funds for water pollution control infrastructure.

Variances: Revenues, expenditures, and encumbrances will fluctuate depending on level and scheduling of construction loans and the pace of construction, which is affected by economic conditions. Revenues will actually increase later on as more loans are issued and more repayments are received.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	101,753,515	102,062,511	102,062,511	102,018,053	102,018,053	152,018,053	152,018,053
Beginning Cash Balance	154,394,788	152,457,918	170,393,502	177,832,555	97,757,942	114,757,942	114,557,942
Revenues	46,562,064	36,972,106	48,374,970	50,416,386	69,700,000	57,600,000	58,400,000
Expenditures	48,498,934	21,106,522	42,989,917	132,977,999	52,700,000	57,800,000	62,900,000
Transfers							
List each net transfer in/out; list each account number							
JM4104 dated 01/23/2020		2,070,000	2,054,000	2,487,000			
Net Total Transfers	0	2,070,000	2,054,000	2,487,000	0	0	0
Ending Cash Balance	152,457,918	170,393,502	177,832,555	97,757,942	114,757,942	114,557,942	110,057,942
Encumbrances	47,031,744	52,067,757	106,464,956	73,529,235	70,000,000	55,000,000	60,000,000
Unencumbered Cash Balance	105,426,174	118,325,745	71,367,599	24,228,707	44,757,942	59,557,942	50,057,942

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD, HTH 840 FG, FH, FJ
 Name of Fund: Environmental Response Revolving Fund
 Legal Authority: Section 128D-2, HRS; also see Section 342, HRS
HTH 849 apprn \$1,363,895; HTH 840 apprn \$412,212

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S-342-H, S-339-H

Intended Purpose: Fund emergency response actions as well as oil spill planning, prevention, preparedness, education, research, training, removal, and remediation. Includes accounts for (1) Local Emergency Planning Committees (LEPCs) by means of the Emergency Planning and Community Right to Know Act (EPCRA) filing fees used for administration and oversight of Chapter 128D, HRS; and (2) Voluntary Response Program which allows for voluntary actions taken by a party in response to hazardous substance releases and threats of diseases with a provision for liability for an eligible party used for the purpose of administration and oversight pursuant to Section 128D-2, HRS. Per Section 128D-2, moneys from the fund shall be expended by the department for the following: (1) Removal, remediation, and detection of oil and pollutant or contaminant releases; (2) Removal and remediation of hazardous waste and any other solid, liquid, or gaseous substance that may harm the environment; and (3) The payment of costs listed under section 128D-4(c).

Source of Revenues: Tax of 5 cents per barrel of petroleum, fines/penalties, and Chemical Tier II reporting fees. 5 cents of the \$1.05 Environmental Response, Energy, and Food Security Tax is deposited to ERRF. The only consistent and reliable source of revenue is the oil tax. Airline fuel assessments are not included in the oil tax.

Current Program Activities/Allowable Expenses: Oil spill removal and remediation activities; respond to and investigate hazardous materials incidents; coordinate emergency response and related trainings. Conduct related activities in HTH 840 Clean Water, Safe Drinking Water, and Solid and Hazardous Waste branches.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Over the past several years, Hawaii has imported fewer barrels of oil as it relies more heavily on renewable energy, which in turn has decreased the amount of income for the ERRF at the rate of about 5% per year. Revenue varies depending on the amount of fines. Higher expenditures in FY 18 due to emergency response activities for Kilauea volcano eruption and oversight activities for Red Hill Bulk Fuel Storage Tank Facility remediation.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,790,391	1,776,107	1,776,107	1,776,107	1,776,107	1,776,107	1,776,107
Beginning Cash Balance	1,319,059	4,289,624	5,182,929	5,839,668	6,921,381	7,221,381	7,421,381
Revenues	3,821,107	2,077,897	1,904,908	2,089,231	1,600,000	1,500,000	1,500,000
Expenditures	850,542	1,184,592	1,248,169	1,007,518	1,300,000	1,300,000	1,300,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	4,289,624	5,182,929	5,839,668	6,921,381	7,221,381	7,421,381	7,621,381
Encumbrances	419,317	326,365	715,227	779,143	650,000	650,000	650,000
Unencumbered Cash Balance	3,870,307	4,856,564	5,124,441	6,142,238	6,571,381	6,771,381	6,971,381

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH & HTH 849 FB
 Name of Fund: Drinking Water Treatment Revolving Loan Fund
 Legal Authority: Section 340E-35 HRS
HTH 840 apprn \$106,893,859; HTH 849 apprn \$569,150

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 344 H and S 386 H

Intended Purpose: Provide low interest loans to improve public and private drinking water systems in Hawaii.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Review technical, financial and managerial viabilities of loan applicants; process and service loans, and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable): Change MOF from General Funds to Revolving Funds for Environmental Health Specialist IV in the Safe Drinking Water Branch. Adds \$83,352 to S-344 ceiling.

Variances: Revenues, expenditures, and encumbrances will fluctuate depending on level and scheduling of construction loans and the pace of construction, which is affected by economic conditions.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	107,365,216	107,556,094	107,556,094	107,463,009	107,463,009	107,546,361	107,546,361
Beginning Cash Balance	52,390,982	42,671,782	25,841,975	23,023,600	23,849,300	32,449,300	30,549,300
Revenues	41,809,445	26,050,066	24,815,668	29,707,293	34,600,000	29,600,000	28,800,000
Expenditures	51,528,645	44,319,273	29,112,888	30,946,759	26,000,000	31,500,000	31,200,000
Transfers							
List each net transfer in/out; list each account number							
JM4104 dated 01/23/2020		1,663,000	1,648,000	2,221,000			
JS0612/1036/1469/2688/3657/4408/4899/5634		(223,600)	(169,155)	(155,835)			
Net Total Transfers	0	1,439,400	1,478,845	2,065,165	0	0	0
Ending Cash Balance	42,671,782	25,841,975	23,023,600	23,849,300	32,449,300	30,549,300	28,149,300
Encumbrances	56,505,801	25,741,853	24,845,529	12,537,596	25,000,000	25,000,000	25,000,000
Unencumbered Cash Balance	(13,834,019)	100,122	(1,821,929)	11,311,705	7,449,300	5,549,300	3,149,300

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 595
 Name of Fund: Medical Marijuana Registry Special Fund
 Legal Authority: HRS Sect 321-59 (Act 177/SLH 2013)

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF): B
 Appropriation Acct. No. S 364 H (FY14-19)
S 345 H (FY20 forward)

Intended Purpose: (1) To offset the cost of the processing and issuance of patient registry identification certificates and primary caregiver registration certificates; (2) To fund positions authorized by the legislature; (3) To establish and manage a secure and confidential database; and (4) For any other expenditure necessary, as authorized by the legislature, to implement a medical marijuana registry program.

Source of Revenues: Medical marijuana registration fee of no more than \$35.

Current Program Activities/Allowable Expenses: The Medical Marijuana (MMJ) Registry program is currently being set up and transitioning from the Department of Public Safety to Department of Health. Staff are being hired and training and system are being installed to take over the registry program effective 1/1/15.

Purpose of Proposed Ceiling Adjustment (if applicable): To increase capacity and expend funds in accordance with statute.

Variances: Beginning with FY16, the revenue and expenditure increased with the increasing number of patient registry due to the opening of marijuana dispensary. The increases continued in FY17, FY18, FY19, and FY20, as the number of patient registry continued to grow. The growth is projected to level off starting from FY21. The program is requesting an increase in the appropriation ceiling from FY2022 to increase its staffing level and expenditure.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	518,226	662,761	726,850	1,000,000	726,850	1,000,000	1,000,000
Beginning Cash Balance	669,038	897,384	1,227,985	1,538,337	2,289,949	2,963,099	3,363,099
Revenues	682,893	839,891	988,226	1,485,254	1,400,000	1,400,000	1,400,000
Expenditures	454,547	509,290	677,874	733,643	726,850	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	897,384	1,227,985	1,538,337	2,289,949	2,963,099	3,363,099	3,763,099
Encumbrances			13,275	2,905			
Unencumbered Cash Balance	897,384	1,227,985	1,525,062	2,287,043	2,963,099	3,363,099	3,763,099

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Mental Health and Substance Abuse Special Fund
 Legal Authority: Section 334-16, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) B
 Appropriation Acct. No. S 346 H

Intended Purpose: The purpose of the fund is to deposit all revenue collected from treatment services rendered by mental health and substance abuse programs operated by the State.

Source of Revenues: The source of revenue include payments from Medicaid, Medicare, and patients.

Current Program Activities/Allowable Expenses: Activities include the provision of community-based outpatient services, case management services, psychosocial rehabilitation services, crisis services, residential services, and treatment services. Allowable expenses are expenses incurred to provide or support the provision of program activities.

Variations: The revenue variations are attributed to difficulties in billing for services. The expenditure variations are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,610,000	11,610,000	11,610,000	11,610,000	23,000,000	7,000,000	7,000,000
Beginning Cash Balance	44,682,080	45,287,732	47,497,192	51,286,931	56,119,876	15,319,876	15,519,876
Revenues	4,544,014	7,955,172	9,360,924	7,946,258	7,200,000	7,200,000	7,200,000
Expenditures	3,938,362	5,745,712	5,571,185	3,113,313	23,000,000	7,000,000	7,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					(25,000,000)		
Net Total Transfers	0	0	0	0	(25,000,000)	0	0
Ending Cash Balance	45,287,732	47,497,192	51,286,931	56,119,876	15,319,876	15,519,876	15,719,876
Encumbrances	5,621,821	3,674,364	3,470,767	6,506,582			
Unencumbered Cash Balance	39,665,911	43,822,828	47,816,164	49,613,294	15,319,876	15,519,876	15,719,876

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 501
 Name of Fund: Intellectual and Developmental Disabilities Medicaid Waiver Administrative Claiming Special Funds
 Legal Authority: Act 165, SLH 2019 and Chapter 333F, HRS

Contact Name: Sayuri Sugimoto
 Phone: 587-6043
 Fund type (MOF) B
 Appropriation Acct. No. S 347 H

Intended Purpose: Act 165, SLH 2019 established the Intellectual and Developmental Disabilities (I/DD) Medicaid Waiver Special Fund to allow for the deposit of federal funds received through Medicaid administrative claiming for operating the Medicaid Home and Community -Based Services Waiver (HCBS) for persons with I/DD.

Source of Revenues: Medicaid federal reimbursement for I/DD waiver administrative claiming pursuant to 45 CFR Part 95, Subpart E, DDD's Cost Allocation Plan approved by the United States Department of Health and Human Services (DHHS) on April 25, 2019.

Current Program Activities/Allowable Expenses: Pursuant to Act 165, SLH 2019 Section 4 and Chapter 333F, HRS, the funds should be used for the following: 1) payment for fiscal management services; 2) training of staff; waiver providers; waiver participants, family members of waiver participants, legal representatives of waiver participants; and community stakeholders; 3) quality management activities; 4) ongoing operations and maintenance of the information technology systems; 5) conducting rate methodology studies to define rates; and 6) assessment services for determining each participant's level of support needs.

Purpose of Proposed Ceiling Adjustment (if applicable): The expenditure ceiling in Act 165, SLH was set at \$900,000 for FY 2020. DDD submitted a FY 2021 supplemental budget request to extend the base budget beyond FY 2020 and increase the ceiling to \$2,500,000. This will allow the DOH-DDD to maximize the use of federal Medicaid administrative claiming to operate the H&CBS wavier for I/DD and ensure compliance with all federal Medicaid requirements.

Variances: The estimated FY 2020 revenues consist of the administrative claiming received for FY 2019 due to the DHHS' approval of DDD's cost of allocation plan effective July 1, 2018. DDD anticipates the budget ceiling request to be approved at \$2,500,000 for FY 2021 and beyond, so DDD can maximize the use of federal reimbursement for ongoing operations.

Variances: The estimated revenue decreases in FY23 and out years due to anticipated diminished administrative claims due to Behavioral Health Administrative Information Technology (BHA IT) Solution being substantially implemented resulting in less claiming. The estimated expenditures for FY21 reflect the new ceiling amount of \$3,200,000 approved in Act 009, SLH2020. DDD submitted a FB21-23 budget request to make the Medicaid Administrative Claiming Special Fund to be recurring from FY22. The expenditures are expected to increase as DDD would be able to plan ahead and have multi-year contracts to meet compliance requirements for the State's Section 1915(c) Medicaid waiver for Individuals with Intellectual and Developmental Disabilities.

Financial Data							
	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (actual)	FY 2020 (actual)	FY 2021 (estimated)	FY 2022 (estimated)	FY 2023 (estimated)
Appropriation Ceiling	0	0	0	900,000	3,200,000	3,200,000	3,200,000
Beginning Cash Balance	0	0	0	0	3,211,105	3,511,105	3,811,105
Revenues	0	0	0	3,437,517	3,500,000	3,500,000	3,000,000
Expenditures	0	0	0	226,413	3,200,000	3,200,000	3,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	3,211,105	3,511,105	3,811,105	3,611,105
Encumbrances				643,297	0	0	0
Unencumbered Cash Balance	0	0	0	2,567,808	3,511,105	3,811,105	3,611,105

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Environmental Management Special Fund
 Legal Authority: Sec 342G-63 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 348 H

Intended Purpose: Fund statewide education, demonstration, market development programs; provide training for municipal solid waste operators; and fund glass recovery programs. Amended by Act 173/00 to collect motor vehicle tire surcharge (Sec 342I-B HRS) and fines or penalties for violations pursuant to Sec 342I-J HRS. Amended by Act 176/02 to fund statewide beverage container redemption and recycling program in a separate special fund S 313 H 372, the Deposit Beverage Container Special Fund.

Source of Revenues: By statute, three separate revenue sources to be used solely for respective functions: glass advance disposal fee (ADF); solid waste disposal surcharge (tipping fee); and tire surcharge fee.

Current Program Activities/Allowable Expenses: Provide technical assistance to counties; municipal solid waste landfill permitting, closure review, groundwater monitoring, inspection and enforcement. Administer county glass recovery grant, conduct public outreach and administer demonstration projects. Pursuant to Act 173/00, for the period 1/1/00 to 12/31/05, moneys may be used to support permitting, monitoring and enforcement activities; promote market development and reuse for recovered motor vehicle tires, reuse through education, research etc.; support program for illegal dumping, clean-ups and the like. Conduct recycling education and demonstration projects; promote recyclable market development activities; hire personnel to oversee implementation of the program; and fund associated office expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue from solid waste disposal surcharge and glass ADF fluctuates with economic conditions, e.g. construction activities. Revenues are estimated at same level throughout; decreasing due to diverting waste from landfills, but increasing due to more construction activities. Expenditures have fluctuated due to the change of MOF for several positions and the timing on the payment on ADF contracts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,279,626	2,294,202	2,463,668	2,491,846	2,491,846	2,491,846	2,491,846
Beginning Cash Balance	2,371,788	2,502,472	3,179,442	3,518,582	3,695,044	4,120,044	4,545,044
Revenues	1,479,334	1,509,409	1,313,609	1,486,666	1,425,000	1,425,000	1,425,000
Expenditures	1,348,650	832,439	974,469	1,310,204	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,502,472	3,179,442	3,518,582	3,695,044	4,120,044	4,545,044	4,970,044
Encumbrances	263,653	439,990	810,512	387,852	800,000	800,000	800,000
Unencumbered Cash Balance	2,238,819	2,739,452	2,708,069	3,307,191	3,320,044	3,745,044	4,170,044

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: Clean Air Special Fund
 Legal Authority: Sec 342B-32 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): B
 Appropriation Acct. No.: S 349 H

Intended Purpose: Establish and collect fees from covered, noncovered and agricultural burning permit sources.

Source of Revenues: Permit fees from covered (major) and noncovered (minor) sources of air pollution.

Current Program Activities/Allowable Expenses: Develop and administer the air program and the permitting, monitoring and enforcement requirements pursuant to Title V of the Clean Air Act.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: 1) The variance in FY18 is due to the timing required to receive, process and deposit the larger covered source annual fees. Covered source annual fees are due 120 days after the end of the calendar year. In calendar years 2015-17, the bulk of the bank deposits were made after July 1 and show up as revenue in the following FY. Prior to establishing an accountant position, deposits were processed by staff as time permitted. After establishing an accountant position in December 2016 and hiring CAB's first accountant in October 2017, the majority of the 2018 annual fees were deposited in the same FY they were received (before July 1, 2018). The disproportionately large revenue amount in FY18 is comprised of a large portion of both the 2017 and 2018 fees (two years of annual fees deposited in the same FY). 2) Annual fees are charged and collected by calendar year. Revenues in FY20 are low, and the FY21 totals are projected to be high, because \$3,759,561 in Calendar Year 2020 fees were deposited after July 1, 2020 due to delays from COVID-19. Revenues are anticipated to return to normal in FY22. 3) Expenditures have increased and are expected to steadily continue to increase with the continued establishment and filling of eight positions, which commenced after approval of reorganization, as well as salary increases, step movements, and inflation. However, due to COVID-19, salary increases and step movements may be temporarily stopped and potential furloughs and/or paycuts could result in a slower increase or reduce expenditures. 4) The annual fees for covered source permits are based on the amount of air pollutants emitted during the year. It is anticipated that emissions, and thus, overall revenue, will be decreasing in future years due to the increasing use of renewable energy, such as solar and wind.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,294,739	5,384,424	5,384,424	5,559,885	5,559,885	5,559,885	5,559,885
Beginning Cash Balance	8,301,210	9,791,145	14,403,021	13,943,724	10,936,552	14,596,113	14,296,113
Revenues	5,031,725	8,559,821	4,079,624	1,355,154	8,259,561	4,500,000	4,342,500
Expenditures	3,541,790	3,947,945	4,538,921	4,362,325	4,600,000	4,800,000	4,800,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,791,145	14,403,021	13,943,724	10,936,552	14,596,113	14,296,113	13,838,613
Encumbrances	405,516	187,168	408,162	363,516	450,000	450,000	450,000
Unencumbered Cash Balance	9,385,629	14,215,853	13,535,561	10,573,036	14,146,113	13,846,113	13,388,613

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Clean Water Branch
 Legal Authority: Act 134/SLH 2013

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) U
 Appropriation Acct. No. S 362 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency and Clean Water Act that regulate protection of the environment and public health.

Source of Revenues: State Department of Transportation

Current Program Activities/Allowable Expenses: Program development, technical assistance, permitting, enforcement, data management, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: For expenditures, due to position vacancies. For revenues, due to timing of reimbursement from DOT.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	174,454	235,454	235,454	241,782	241,782	241,782	241,782
Beginning Cash Balance	49,136	67,289	8,233	17,937	15,714	15,714	15,714
Revenues	188,951	0	0	0	241,782	241,782	241,782
Expenditures	170,798	87,138	93,511	103,708	241,782	241,782	241,782
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0713/2564/4585/5436		28,081	103,215	101,485			
Various dates							
Net Total Transfers	0	28,081	103,215	101,485	0	0	0
Ending Cash Balance	67,289	8,233	17,937	15,714	15,714	15,714	15,714
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	67,289	8,233	17,937	15,714	15,714	15,714	15,714

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: OHCA SF - Civil Monetary Penalty Special Fund
 Legal Authority: Act 71, SLH 2015

Contact Name: Utey Uch
 Phone: (808) 692-7229
 Fund type (MOF): B
 Appropriation Acct. No.: S**-374-H

Intended Purpose:

Act 71, SLH 2015 establishes Civil Monetary Penalty Special Fund to federally impose penalty when health care facilities or agencies do not meet medicare certification requirements as determined by the Department of Health when it conducts medicare certification surveys and complaint investigations on the health care facilities or agencies in Hawaii in accordance with Section 1864 of Social Security Act.

Source of Revenues:

The fund shall consists of moneys collected by the United States Department of Health and Human Services, Centers for Medicare and Medicaid Services

Current Program Activities/Allowable Expenses:

Not more than \$30,000 of the moneys in the fund may be used during any fiscal year for the activities carried out the department of Health as approved by the Centers for Medicare and Medicaid Services.

Purpose of Proposed Ceiling Adjustment (if applicable):

To spend CMP Special fund in accordance with CMP reinvestment Plan approved by Center for Medicare & Medicaid Services (CMS)

Variances:

The revenue was decreased in FY 2020 due to less civil monetary penalties (CMP). It is difficult to estimate the amount of penalties will be collected.

Expenditures was increased in FY2020 dues the expendiutres on CMP communication projects that were approved by Centers for Medicare & Medicaid Services (CMS). OHCA is spending \$30,000 in FY2021 on the CMP communication projects.

OHCA estimates the project cost between \$350,000 to \$500,000 per year in and after FY2022.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	10,000	14,000	30,000	30,000	500,000	500,000
Beginning Cash Balance	141,287	258,911	679,833	1,055,570	1,243,161	1,398,161	1,083,161
Revenues	117,624	426,146	375,737	211,310	185,000	185,000	185,000
Expenditures	0	5,224	0	23,719	30,000	500,000	500,000
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in			0	0			
Transfer-out							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	258,911	679,833	1,055,570	1,243,161	1,398,161	1,083,161	768,161
Encumbrances				4,320			
Unencumbered Cash Balance	258,911	679,833	1,055,570	1,238,841	1,398,161	1,083,161	768,161

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: Dietitian Licensure Special Fund
 Legal Authority: Act 280, SLH 2000

Contact Name: Utey Uch
 Phone: (808) 692-7229
 Fund type (MOF) B
 Appropriation Acct. No. S-**-388-H

Intended Purpose: The fund is for the deposit of fees and fines collected from the licensing of dietitians in the state of Hawaii.

Source of Revenues: Licensing fees and fines collected.

Current Program Activities/Allowable Expenses: These funds may be used for the operation of the licensing program and funding of statewide continuing education and training programs for licensed dietitians.

Purpose of Proposed Ceiling Adjustment (if applicable): To have a ceiling of \$30,000 as authorized under Section 448B-10, HRS and to allow OHCA to spend in accordance with the statute.

Variances:

Dietitian License is renewable every 3 years. The revenue was increased in FY2019 because 43% of the dietitian licenses are due in FY 2019. The revenue is fluctuated accordingly.

OHCA is planning to spend on education that employee in Dietitian Licensure program will attend out-of-state trainings in FY 2021. OHCA estimates the expenditures to increase in FY 2022 to offset the general funds reduction.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	10,000	5,000	30,000	30,000	35,000	35,000
Beginning Cash Balance	36,547	44,767	55,264	71,872	80,025	89,525	71,525
Revenues	8,220	11,050	17,350	8,560	12,000	17,000	12,000
Expenditures	0	553	743	407	2,500	35,000	35,000
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in			0	0	0	0	0
Transfer-out			0	0	0	0	0
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	44,767	55,264	71,872	80,025	89,525	71,525	48,525
Encumbrances							
Unencumbered Cash Balance	44,767	55,264	71,872	80,025	89,525	71,525	48,525

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Comprehensive STD Prevention Projects
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL I11-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 500 H

Intended Purpose: To prevent and reduce the incidence of STD in the State of Hawaii.

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: STD disease intervention and detection.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance between FY17 and FY18 was due to an increase in the grant award. FY19 has a decrease in the grant award but the award increased again from FY2020 and is estimated to stabilize from FY2021 on.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	681,760	499,822	412,925	412,121	440,141	440,141	440,141
Beginning Cash Balance	12,559	55	108	0	0	0	0
Revenues	372,000	427,392	317,606	431,358	440,141	440,141	440,141
Expenditures	384,504	427,339	317,713	431,358	440,141	440,141	440,141
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	55	108	0	0	0	0	0
Encumbrances		70					
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOH
 Prog ID(s): HTH131DJ
 Name of Fund: Hawaii's Epidemiology & Laboratory Capacity for Infectious Diseases
 Legal Authority: 42 USC 241, 31 USC 6305, 42 CFR 52

Contact Name: Jade DeCosta
 Phone: (808) 587-6592
 Fund type (MOF) P
 Appropriation Acct. No. S-501-H

Intended Purpose: The ELC grant funds are used to improve and protect the health of Hawaii's citizens and visitors by providing DOH the tools and skills to improve surveillance for and respond to infectious diseases and other public health threats.

Source of Revenues: Federal Funds

Current Program Activities/Allowable Expenses: Funds will be used to maintain personnel to accomplish key objectives for the ELC grant and support and improve the following capacities to detect, mitigate, and prevent infectious diseases for the population of Hawaii: epidemiology, laboratory, and health information systems. Additional funding in FY18 supports arboviral response, including Zika virus, as well as, addressing antimicrobial resistance and healthcare associated infections.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures is generally due to variances in award receipt date, components, amounts, and timing of payments.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	4,214,216	3,894,115	3,250,000	3,275,000	3,275,000	3,275,000
Beginning Cash Balance	37,291	2,275	4,042	0	469	469	469
Revenues	2,250,593	3,140,893	3,708,178	2,752,325	3,275,000	3,275,000	3,275,000
Expenditures	2,285,609	3,139,126	3,712,219	2,751,857	3,275,000	3,275,000	3,275,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,275	4,042	0	469	469	469	469
Encumbrances			301,538	611,278			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Project Kealahou - A New Pathway for Girls
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 503 H

Intended Purpose: This grant provided resources for the provision of comprehensive mental health services for girls with severe and persistent mental illness combined with trauma.

Source of Revenues: Federally funded grant from SAMHSA

Current Program Activities/Allowable Expenses: NA

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: FY2017-The revenues and expenditures decreased due to not having a new award for FY2017. The grant was ran on the no-cost-extension. This grant ended FY2017.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	21,527	0	0	0	0	0	0
Revenues	345,468	0	0	0	0	0	0
Expenditures	366,995	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Mental Health Data Infrastructure Grant of Quality Improvement
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 504 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Hawaii's State Mental Health Data Infrastructure Grant for Quality Improvement

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for the continued collection of mental health client-level data elements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 revenue variance is attributed to the expedited receipt of grant funds due to a change in the grant administrator. The expenditure variance is due to delays in filling the grant funded position.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	137,363	137,363	137,363	137,363	137,363	137,363	137,363
Beginning Cash Balance	188,910	275,003	312,479	335,984	365,996	335,996	305,996
Revenues	171,703	137,363	137,363	137,363	137,363	137,363	137,363
Expenditures	85,610	99,887	113,858	107,351	167,363	167,363	167,363
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/15							
JS 6982 dated 6/28/16							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	275,003	312,479	335,984	365,996	335,996	305,996	275,996
Encumbrances	38						
Unencumbered Cash Balance	274,965	312,479	335,984	365,996	335,996	305,996	275,996

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Systems Development Initiative (SSDI)
 Legal Authority: SSA, Title V, Section 501(a)(2), as amended; 42 USC 701

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 505 H

Intended Purpose: The purpose of this grant is to improve data analysis capacity for programs. The grant focuses on the development of key MCH datasets through the establishment of the data linkages between birth records and agency data (including Medicaid files and hospital discharge data), as well as improving access to data from surveys and registries. The analysis of the data will open new paths to investigate health problems and to evaluate programs and policies for more effective Title V MCH program planning in Hawaii.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Data collection, analysis, and publication related to the MCH population are carried out on an ongoing basis. These data are used for continuing needs assessment, annual performance/outcome measurement, program planning and evaluation, and policy development. Currently, data linkages have been made between infant birth and death certificates, birth records and newborn screening files, and birth records and WIC records. Direct access to key MCH survey and registry data has also been achieved. Annual data reports are developed and disseminated to policy makers and MCH stakeholders.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in Appropriation Ceiling from FY2018-19 and beyond is to accommodate for grant carry over and supplemental awards.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	105,992	105,992	150,000	150,000	150,000	150,000	150,000
Beginning Cash Balance	1,317	1	299	302	(1)	0	0
Revenues	90,425	109,325	113,941	101,502	100,000	100,000	100,000
Expenditures	91,741	109,027	113,938	101,805	99,999	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	299	302	(1)	0	0	0
Encumbrances	350	75	46,339	79,115	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Behavioral Risk Factors Surveillance System
 Legal Authority: Sections 301(a) and 1703(a) of the Federal Public Health Services Act

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 506 H

Intended Purpose: Provide the principle data source for monitoring diverse health issues, informing public health action, and responding to emerging health trends in Hawaii such as COVID-19. Over 3-year period increase completed interviews, improve access to high-quality BRFSS data relevant to diverse communities and stakeholders to make data actionable. Enhance questionnaire development, data collection, review, analysis, and reporting; ensure sufficient sample size for sub-state and demographic comparisons, and increase dissemination, access, and quality of BRFSS data.

Source of Revenues: Centers for Disease Control and Prevention, Health and Human Services

Current Program Activities/Allowable Expenses: Collect and analyze surveillance data using a sample of telephone numbers, to monitor the prevalence of health risk behaviors that are associated with chronic health problems, preventable injuries and help respond to current and emerging health threats; personnel and operational costs allowed.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variations: New grant starting in fiscal year 2021. Appropriation number was previously assigned to the National Cancer Program grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	420,000	420,000	420,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	420,000	420,000	420,000
Expenditures	0	0	0	0	420,000	420,000	420,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: National Cancer Prevention & Control Program
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 506 H

Intended Purpose: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured; Develop and implement statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation; coordination and integration between HBCCCP HCCCP, and Coordinated Chronic Disease effort.

Source of Revenues: Centers for Disease Control, Division of Cancer Prevention and Control

Current Program Activities/Allowable Expenses: Cancer screening, diagnostics, monitoring, followup, treatment, education, and outreach; maintain coalitions, surveillance and implementation of the State Plan; support advocacy and awareness initiatives; gain efficiency and enhance effectiveness of cancer prevention and control activities.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended 6/29/17

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,288,234	0	0	0	0	0	0
Beginning Cash Balance	11,015	47	(0)	(0)	(0)	(0)	(0)
Revenues	799,588	761,524	0	0	0	0	0
Expenditures	810,556	761,572	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	47	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	388,696	577,469	16,565				
Unencumbered Cash Balance	(388,649)	(577,470)	(16,565)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: State Lead Program Grants
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 507 H

Intended Purpose: Implement lead-based training and certification program pursuant to Toxic Substances Control Act, Title IV, Sections 402 and 404

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, enforcement, training, and certification

Purpose of Proposed Ceiling Increase (if applicable):

Variances: For FY17 and FY18, lower expenditures due to one vacant position being redescribed to implement reorganization that was acknowledged 3/14/17. Starting in FY 21, this award has has been combined with the Lead Enforcement grant into a Performance Partnership Grant (PPG).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	220,000	268,600	286,656	553,650	268,292	275,947	289,744
Beginning Cash Balance	12,247	29,009	104	100	9,786	19,472	29,158
Revenues	202,547	159,145	295,710	288,504	288,504	288,504	288,504
Expenditures	185,785	188,050	295,714	278,818	278,818	278,818	278,818
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	29,009	104	100	9,786	19,472	29,158	38,844
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): 710/MK
 Name of Fund: Food Emergency Response Network (Multiplex Screening Panel for the Detect)
 Legal Authority: Act 53, SLH 2018

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-14-508 H, S-16-508 H

Intended Purpose: This Cooperative Agreement grant will enhance and expand the Department's laboratory capacity and capabilities to detect microbiological threat agents in foods, develop screening technologies, and provide timely lab response to local or nationwide foodborn pathogen outbreak.

Source of Revenues: Dept. of Health & Human Services (DHHS), Food & Drug Administration (FDA), Food Emergency Response Network (FERN)

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The decrease in revenues for FY17 is due to an increase in salaries and wages as a result of one vacant position being filled. The fluctuations in expenditures are due to extended service agreements for the purchased equipment and increased salary costs. The decrease in revenues for FY19 is due to a filled position becoming vacant and the decrease in expenditures are a result of the decreased salary costs. The FY20 decrease is due to position vacancies and inability to spend funds due to COVID-19. Funding agency extended grant one additional year. Grant ends 8/31/2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	6,355	9,588	9,730	9,586	9,586	9,586	9,586
Revenues	259,562	238,136	219,257	159,750	98,218	0	0
Expenditures	265,915	237,994	219,401	159,750	98,218	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
02/28/17 JS3930	8,991						
02/28/17 JS3930	595						
Net Total Transfers	9,586	0	0	0	0	0	0
Ending Cash Balance	9,588	9,730	9,586	9,586	9,586	9,586	9,586
Encumbrances	0		2,923	1,473	1,473		
Unencumbered Cash Balance	9,588	9,730	6,663	8,113	8,113	9,586	9,586

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Medicare Administration Title XVIII
Legal Authority Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF) P
Appropriation Acct. No. S**-509-H

Intended Purpose:

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

Current Program Activities/Allowable Expenses:

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Ceiling is increased to cover the increase in expenditures and to match the federal grants.

Variances:

The increase of expenditures in FY 2018, FY 2019 and FY 2020 is the result of filling vacant positions and increasing salary per bargaining unit wage agreement.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling	1,271,413.00	1,441,210.00	1,706,720	2,239,876	2,239,876	2,239,876	2,239,876
Beginning Cash Balance	134,626.00	145,331.00	250,736	352,276	361,501	361,501	361,501
Revenues	1,246,349.00	1,552,334.06	1,576,142	1,706,178	1,800,000	1,800,000	1,800,000
Expenditures	1,235,644.00	1,446,929.42	1,474,602	1,696,953	1,800,000	1,800,000	1,800,000
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in			0	0	0	0	0
Transfer-out			0	0	0	0	0
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	145,331	250,736	352,276	361,501	361,501	361,501	361,501
Encumbrances	0	87,115	73,284	57,058			
Unencumbered Cash Balance	145,331	163,621	278,992	304,443	361,501	361,501	361,501

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Core Violence & Injury Prevention Program
 Legal Authority: SEC 301, 317, & 319A 42USC241, 247B & 280B-B3

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S-17-510 H

Intended Purpose: Provide support to increase effectiveness in reducing and preventing the leading cause of injuries.

Source of Revenues: Dept of Health & Human Services Centers for Disease Control & Prevention National Center for Injury Prevention & Control.

Current Program Activities/Allowable Expenses: Coordination & integration of injury prevention & surveillance efforts/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	250,000	250,000	250,000	250,000	250,000	250,000
Beginning Cash Balance	3,233	21	3	0	2,384	0	0
Revenues	147,867	220,232	220,420	247,657	320,729	0	0
Expenditures	151,080	220,250	220,424	245,272	323,113	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21	3	0	2,384	0	0	0
Encumbrances	59,957	81,246	124,566	73,113	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2020 Beginning Balance: MBP477-A OPTION:2, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2020 Encumbrances (Unliquidated Balance): MBP490-A, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenue for FY 2021 is equal to the amount in the notice of grant award for FY 2021 plus the remaining encumbrance for FY 2020 minus the beginning cash balance for FY 2021. The estimated expenditure for FY 2021 is equal to fully expending the amount in the notice of grant award for FY 2021 and the remaining encumbrance for FY 2020. The estimated revenue and expenditure for FYs 2022-23 is equal to zero, since the current grant project period ends 07/31/21, and the grant office cannot confirm that there will be a future grant project period.

Note 4: The budget for FB15-17 included an increase in the ceiling in FY 2017 to include the anticipated award for the anticipated project period 08/01/2016 - 07/31/2021 (\$150,000 x 5 years = \$750,000). The actual award amount for 08/01/2016-07/31/2017 was \$250,000. The amount recommended for each year was \$250,000. Governor Approval to add \$500,000 to ceiling of \$750,000 is dated 10/11/16. In the budget for FB 2017-19, the ceiling was adjusted to \$250,000 for FY 2018 and for FY 2019 (Act 049, SLH 2017; Act 053, SLH 2018). The award amount continues to be \$250,000 for each budget period.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Comprehensive HIV Prevention Projects
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL I11-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 511 H

Intended Purpose: To prevent and reduce the incidence of STD in the State of Hawaii

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: HIV counseling and testing, education and risk reduction

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY19, the HIV Prevention grant was merged with the HIV/AIDS grant and the combine total award significantly increased. The award was significantly increased in FY2020 and estimated to become stable moving forward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	798,881	1,974,630	1,676,489	1,700,000	1,700,000	1,700,000	1,700,000
Beginning Cash Balance	23,096	47	1,491	0	0	0	0
Revenues	869,524	869,282	1,131,086	1,649,744	1,700,000	1,700,000	1,700,000
Expenditures	892,573	867,838	1,132,577	1,649,744	1,700,000	1,700,000	1,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	47	1,491	0	0	0	0	0
Encumbrances			541,692	153,372			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Beach Monitoring and Notification Program
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 512 H

Intended Purpose: Implement State's beach monitoring and notification program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, monitoring, risk assessment, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public response and notification, data management and reporting

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	328,000	324,450	328,650	328,650	345,083	328,868	345,311
Beginning Cash Balance	6,934	46,252	646	1,643	2,959	2,959	2,959
Revenues	362,323	419,757	355,861	249,187	300,000	300,000	300,000
Expenditures	323,005	465,364	354,863	247,871	300,000	300,000	300,000
Transfers							
List each net transfer in/out; list each account number							
	0						
Net Total Transfers	0						
Ending Cash Balance	46,252	645	1,643	2,959	2,959	2,959	2,959
Encumbrances	288,489	77,873	128,186	123,957	123,957	123,957	123,957
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FA
 Name of Fund: Exchange Network
 Legal Authority: P.L. 111-88; 40 CFR Part 3

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 513 H

Intended Purpose: Develop and implement various data systems for Environmental Health Administration programs

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, reporting, and technical assistance

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has multi-year award periods and expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	315,000	315,000	330,750	300,000	300,000	315,000	315,000
Beginning Cash Balance	3,955	8,113	81,689	830	0	0	0
Revenues	128,187	145,843	140,175	231,206	230,000	230,000	230,000
Expenditures	124,029	72,267	221,033	232,036	230,000	230,000	230,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	8,113	81,689	830	0	0	0	0
Encumbrances	225,000	185,000	150,000	185,000	185,000	185,000	185,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Senior Medicare Patrol
 Legal Authority: 42 USC 3032

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 514 H

Intended Purpose: To empower seniors through increased awareness and understanding of healthcare programs.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Medicare beneficiaries and their families.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant amount changes from year to year like every federal grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,524,801	5,369,954	6,074,344	4,544,580	723,791	723,791	723,791
Beginning Cash Balance	6,925	8,261	20,287	31,535	26,517	0	0
Revenues	224,502	286,045	250,434	250,383	245,764	245,764	245,764
Expenditures	223,166	274,019	239,186	255,401	272,281	245,764	245,764
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,261	20,287	31,535	26,517	0	0	0
Encumbrances	38,355	13,735	12,904	5,409			
Unencumbered Cash Balance	(30,094)	6,552	18,631	21,108	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: HIV/AIDS
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL I11-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 515 H

Intended Purpose: To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: HIV/AIDS surveillance

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY2018, the grant had a major decrease in the award amount compare to prior years. From FY2019, the grant was merged with the HIV Prevention grant (S 511 H) effective 1/1/18 and therefore has no revenue and expenditure from FY2019 and forward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	363,046	363,099	0	0	0	0	0
Beginning Cash Balance	6,622	39	0	0	0	0	0
Revenues	230,415	157,691	0	0	0	0	0
Expenditures	236,998	157,730	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	39	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Prospective Comparison of TST vs. IGRAs
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL 111-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 516 H (FY14-20)

Intended Purpose: Compare the performance of Interferon Gamma Release Assays (IGRAs) and Tuberculin Skin Test (TST) in a large and heterogeneous cohort of patients in order to identify the method that best predicts progression to TB disease, overall and in important subgroups of patients.

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Conducting a study to compare TST and IGRAs in diagnosing Latent Tuberculosis Infection (LTBI) and in predicting progression from LTBI to TB disease.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: The variance in revenues and expenditures between FY18 and FY19 is due to further increase in program activities in FY19. FY2020 has decreased in revenue and expenditure due to some position vacancies. From FY21 to FY23, the projected level of revenue and expenditure is expected to increase again with increased program activities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,725,695	2,725,695	302,000	427,403	427,000	427,000	427,000
Beginning Cash Balance	144,001	197,823	256,086	377,066	366,269	366,269	366,269
Revenues	379,236	349,104	422,716	268,990	347,403	347,403	347,403
Expenditures	325,414	290,841	301,735	279,788	347,403	347,403	347,403
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	197,823	256,086	377,066	366,269	366,269	366,269	366,269
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH560
 Name of Fund: Newborn Screening State Evaluation Program
 Legal Authority: Public Health Service Act, § 1110 (42 U.S.C. 300b-9)

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 517 H

Intended Purpose: To collect data in order to improve evaluation of state newborn screening programs and to build state-level capacity to assess and report on the effectiveness of screening, including timeliness, follow up, counseling, and health care services, in reducing the morbidity and mortality caused by heritable disorders in newborns and children

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities/Allowable Expenses: The project will collect and analyze newborn screening data based on national performance measures. The data will be submitted to the federally funded Newborn Screening Technical assistance and Evaluation Program for collation and reporting to HRSA.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: New, non-appropriated grant beginning 9/1/2019 (FY 2020) with 2-year project period ending FY 2021. Budget/project period for grant is 9/1/20 - 8/31/21. The variance in revenue/expenditures from FY 2020-21 occurred because the federal project officer was close to retirement and did not initiate meetings for the grantees in providing instruction. A new project officer was assigned in February 2020 and thus Program is able to commence with the hiring process for an Office Assistant III. Expenditures for the position commenced July 1, 2020. This funding will cease 8/31/2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				150,000	150,000	150,000	
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	275,000	25,000	0
Expenditures				0	275,000	25,000	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances					0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Hansen's Disease National Ambulatory Care Program
 Legal Authority: P.L. 99-117

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 518 H

Intended Purpose: To prevent and reduce the incidence of Hansen's Disease (HD)

Source of Revenues: U.S. Dept. of Health & Human Services/*Community Program Reimbursement

Current Program Activities/Allowable Expenses: HD services include medical, nursing, laboratory, educational, social, and rehabilitative services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances do not exceed 10%

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,065,157	1,096,993	989,127	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	96,865	21,763	9,657	23,963	25,462	25,462	25,462
Revenues	898,523	919,220	980,327	916,824	925,000	925,000	925,000
Expenditures	973,625	931,325	966,022	915,325	925,000	925,000	925,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21,763	9,658	23,963	25,462	25,462	25,462	25,462
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii COVID-19 Mental Health Response
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 519 H

Intended Purpose: The purpose of this grant is to bring critical mental health services directly to individuals statewide, both in person and through telehealth, amidst a pandemic.

Source of Revenues: Emergency Grants to Address Mental and Substance Use Disorders During COVID 19

Current Program Activities/Allowable Expenses: Activities include provision of mental health services for adults with serious mental illness (SMI) or co-occurring SMI and substance use disorders, homeless individuals with less serious mental illness, and healthcare practioners requiring mental health care as a result of COVID-19.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant is scheduled to end on 8/19/2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					2,000,000		
Beginning Cash Balance		0	0	0	0	0	0
Revenues					2,000,000		
Expenditures					2,000,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				5,092			
Unencumbered Cash Balance	0	0	0	(5,092)		0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii Tobacco State Enforcement Contract
 Legal Authority: HHSF223201110148C

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 520 H

Intended Purpose: To ensure states maintain compliance with and enforce certain provisions of the Federal Tobacco Control Act regulations (21 CFR, Part 1140).

Source of Revenues: Department of Health and Human Services -Food and Drug Administration (FDA).

Current Program Activities/Allowable Expenses: Conduct unannounced tobacco inspections of retail outlets for compliance with respect to federal regulations, collect, document and preserve evidence; support FDA in any enforcement or judicial actions; coordinate with FDA for responses to press; respond to inquiries by FDA concerning inspections and activities conducted.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Prior three year contract from 9/30/14 to 9/29/17. New three year contract from 9/30/17 to 9/29/20. FY 2016 to FY 2019 variances are due to the following reasons: 1) delayed execution of purchase of service contract modification in FY 2016 and FY 2018; 2) the contract amount has increased from FY 2016 \$386,608 to FY 2020 \$548,733, thereby increasing revenues and expenses annually. New four year contract 9/30/20 to 9/29/24 assigned new account S-21-652-H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	386,603	501,754	523,741	548,733	0	0	0
Beginning Cash Balance	23,259	10,239	69,534	122,084	113,609	113,609	113,609
Revenues	350,310	288,031	353,636	307,109	0	0	0
Expenditures	363,330	228,736	301,087	315,583	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,239	69,534	122,084	113,609	113,609	113,609	113,609
Encumbrances	150,253	321,672	484,984	567,805	0	0	0
Unencumbered Cash Balance	(140,014)	(252,138)	(362,900)	NA	113,609	113,609	113,609

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Community-Based Integrated Service Systems (Local/State) (ECCS)
 Legal Authority: SSA, Title V, Section 501(a)(2-3), as amended; 42 U.S.C. 701

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 522 H

Intended Purpose: The ECSS Grant is funded in order to accomplish the Maternal and Child Health Bureau's Strategic Plan for Early Childhood Health across all states. This implementation grant requires setting incremental goals and objectives for Hawaii to develop an early childhood comprehensive system. Achievement of outcomes in the following key areas are to be addressed: a) access to health insurance and medical homes; b) mental health and social-emotional development; c) early childcare and education; d) parent education; and family support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Strategic management team consists of members from Hawaii's Departments of Health, Education, and Human Services, as well as early childhood stakeholders whom meet quarterly to provide oversight of implementation.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures from FY2018-19 is the results of program spending carry over funds from FY 2018 and filling vacant positions and increased program activities including contract encumbrances/expenditures. The variance between FY 2019-20 revenues and expenditures is due to the absense of carry over funds in FY 2020.

Variances do not exceed 10%".

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	426,600	426,600	426,600	426,000	550,000	550,000	550,000
Beginning Cash Balance	3,434	42	3,977	574	2	2	2
Revenues	133,128	239,855	512,176	400,715	420,000	420,000	420,000
Expenditures	136,520	235,920	515,579	401,287	420,000	420,000	420,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	42	3,977	574	2	2	2	2
Encumbrances	0	223,012	100,141	121,582	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Rural Hospital Flexibility Program (FLEX)
 Legal Authority: Balanced Budget Act of 1997, Section 4201, PL 105-33

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF): P
 Appropriation Acct. No.: S 524 H

Intended Purpose: This grant program is a Federal initiative that provides funding to State Governments to strengthen rural health. This program: (1) Allows small hospitals the flexibility to reconfigure operations and be licensed as Critical Access Hospital (CAHs), (2) Offers cost-based reimbursement for Medicare acute and skilled inpatient and outpatient services, (3) Encourages the development of rural-centric health networks, (4) Offers grants to States to help implement a CAH program in the context of broader initiatives to strengthen the rural health care infrastructure.

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: The FLEX program works with Hawaii's nine CAHs on improving quality and performance, developing health information technology plans, and conversion to ICD-10; with rural communities on developing integrated systems of care; with the Emergency Medical Systems and Injury Prevention Branch on improving trauma care in rural areas; and providing community health needs assessments in rural areas.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances do not exceed 10%.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	437,240	437,240	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	5,745	61	1,175	372	5	5	5
Revenues	375,654	425,339	434,000	338,228	370,000	370,000	370,000
Expenditures	381,338	424,225	434,803	338,595	370,000	370,000	370,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	61	1,175	372	5	5	5	5
Encumbrances	129,421	64,782	92,463	154,603	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Small Rural Hospital Improvement Grant (SHIP)
 Legal Authority: SSA, Section 1820(g)(3), 42 USC 1395i-4

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 525 H

Intended Purpose: This grant program is designed to help small rural hospitals comply with provision of the Patient Protection and Affordable Care Act. Grant monies may be used to prepare along three broad categories: (1) Value-Base Purchasing; (2) Accountable Care Organization/Shared Savings; and (3) Payment Bundling/PPS improvements.

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: Funds are used to provide HCAHPS satisfaction surveys and quality improvement and benchmark training for the CAHs and ICD-10 implementation for the eligible non-CAH hospital.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2018-19 is due to an increase in expenditures resulting from a large carry over from FY 2017 to FY 2018 and an increase in drawdowns (revenues) as a result.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	94,500	94,500	94,500	110,000	110,000	110,000	110,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	67,172	163,382	118,486	111,455	110,000	110,000	110,000
Expenditures	67,172	163,382	118,486	111,455	110,000	110,000	110,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	69,887	70,956	54,170	61,375	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Regional Genetics Networks
 Legal Authority: Social Security Act (SSA) Title V, Section 502(a)(1), as amended

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 526 H

Intended Purpose: To plan, develop, and evaluate activities that improve access to clinical genetic and newborn screening services, resources, and education for medically underserved families in Alaska, California, Hawaii, Idaho, Oregon, Washington, and Guam.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) develop, pilot, and evaluate activities to increase access to clinical genetic specialty services to underserved populations; 2) develop and support telehealth as a method to received genetic services and education; 3) improve public and health care provider knowledge of genetic and newborn screening services and resources; 4) promote third party reimbursement of genetic services; and 5) facilitate coordination between genetic services providers, families, primary care providers, state genetic programs workers to identify and implement strategies to increase the availability of pediatric subspecialty care for families with or at risk for heritable conditions.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2018-19 is due to delayed contract execution resulting in a large carry over balance expended in FY 2019. FY20 also had a delay in the contract execution, so carryover dollars of \$242k in FY21. Stabilize expenditures for FY22 and FY23.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	750,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	1,170	24	3	78	4	4	4
Revenues	483,628	507,203	755,540	539,582	842,000	600,000	600,000
Expenditures	484,774	507,224	755,465	539,656	842,000	600,000	600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	24	3	78	4	4	4	4
Encumbrances	222,232	457,939	592,596	516,749	311,844	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Universal Newborn Hearing Screening
 Legal Authority: Section 399M of the Public Health Service (PHS) Act, as amended

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF): N
 Appropriation Acct. No.: S 527 H

Intended Purpose: To further develop and refine the system of screening, assessment, and early intervention services for young children with hearing loss, so that all young children with hearing loss will reach developmentally appropriate milestones for language and communication.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) complete implementation of universal newborn hearing screening program components by establishing a state advisory committee and an evaluation component; 2) improve existing components of screening, linkage with medical home, audiological assessment, data/tracking system, and professional and public educations; and 3) refine family support and early intervention services to meet the needs of young children with hearing impairment and their families.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance between revenues and expenditures between FY 2018-19 is due to increased contract expenditures and cash draws, with the same occurring for FY19 to FY20. No variance from FY20 to FY21. Grant funding decrease anticipated which will account for the variance from FY21 to FY22, as lower expenditures expected.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	3,319	45	16	0	1	0	0
Revenues	167,629	241,707	272,784	345,521	355,675	235,000	235,000
Expenditures	170,903	241,736	272,801	345,519	355,676	235,000	235,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	45	16	0	1	0	0	0
Encumbrances		5,455					
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Abstinence Only Education Grant (project: 000273)
 Legal Authority: SSA, Title V, Section 510

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF): P
 Appropriation Acct. No.: S 528 H

Intended Purpose: To provide States with funding for additional tools to address the rates of teen pregnancy among those groups who are most likely to bear children out of wedlock.

Source of Revenues: DHHS, Administration for Children & Families, State Special Funds

Current Program Activities/Allowable Expenses: This 2 year abstinence education grant is no longer active. The intent of the grant was to build the sexual health teaching capacity on each of the six major islands using a Hawaii developed, abstinence-based teen pregnancy prevention and positive youth development curriculum. Capacity building activities included: on-line and in-person training by trainers; access to scripts, lesson plans and interactive tools; provision of an on-island health educator and technical assistance from the Department of Health; and ongoing community and administration coordination by the University of Hawaii at Manoa. Hawaii's Asian and Pacific Islander adolescents 11 to 13 years of age across the state and particularly on the neighbor islands will be the focal population.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: No revenue or expenditures will occur beyond FY 2018; grant was returned in FY 2018 and closed.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	0	0	0	0	0
Beginning Cash Balance	0	11	0	0	0	0	0
Revenues	125,693	13,642	0	0	0	0	0
Expenditures	125,682	13,654	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11	0	0	0	0	0	0
Encumbrances	44,631	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Grant Program (MIECHV)
 Legal Authority: SSA, as amended by BBA of 2018, Title VI, A.

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 529 H

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance is due to the FY 2020 column representing an ongoing grant. Both the revenue & expenditure amounts will increase as the funds are spent between now and the end of the timeframe for payments, 12/30/2020. The final figures to be within the 10% threshold.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,645,730	1,645,730	3,700,000	3,700,000	3,678,058	3,697,706	3,588,988
Beginning Cash Balance	45,775	0	148	1,564	8	0	0
Revenues	398,554	2,313,350	3,689,220	2,433,343	3,678,050	3,697,706	3,588,988
Expenditures	444,329	2,313,202	3,687,804	2,434,900	3,678,058	3,697,706	3,588,988
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	148	1,564	8	0	0	0
Encumbrances	44,996	1,052,197	481,411	1,288,848	919,515	924,426	897,247
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Sexual Violence Primary Prevention and Education
 Legal Authority: 42 USC 280b-1b(a)

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 531 H

Intended Purpose: To provide sexual violence primary prevention services through activities, such as education and training to increase awareness and prevent sexual violence; to collaborate with and provide technical support to public and private agencies, community stakeholders, and community-based organizations to prevent all forms of sexual violence; and to increase the capacity of community-based organizations to engage in sexual violence primary prevention initiatives, activities, and partnerships.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

The funding provides for a program coordinator position that is responsible for statewide sexual violence prevention efforts including collaboration, planning, and support, as well as monitoring and evaluation services, for the following:

- A. Convene and maintain a Sexual Violence Prevention Work Group that consists of public and private agencies;
- B. Implement the Sexual Violence State Action Plan;
- C. Provide technical assistance and support to increase awareness and building capacity of sub-recipients, government agencies, and community-based organizations to prevent all forms of sexual violence;
- D. Coordinate and collaborate with the Hawaii Coalition Against Sexual Assault to provide public awareness and prevention education activities in the community; and
- E. Support private and public agencies to provide sexual violence primary prevention activities that (1) increase awareness of sexual violence and (2) increase understanding of healthy relationships through education to youth and young adults through trainings and technical assistance to youth-serving agencies.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	255,086	255,086	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	2,948	7	83	0	0	0	0
Revenues	281,759	282,512	286,176	262,862	262,862	262,862	262,862
Expenditures	284,699	282,437	286,259	262,862	262,862	262,862	262,862
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7	83	0	0	0	0	0
Encumbrances	129,095	117,912	0	127,225	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Pregnancy Risk Assessment Monitoring System (PRAMS)
 Legal Authority: Section 317(k) of PHS Act; 42 USC 247b-12, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 533 H

Intended Purpose: The Hawai'i Pregnancy Risk Assessment Monitoring System (PRAMS) Program is a population-based surveillance system designed to identify and monitor maternal experiences, attitudes, and behaviors from preconception, through pregnancy and into the interconception period. The goal of the Hawaii PRAMS program is to improve the health of mothers and infants by reducing adverse outcomes such as low birth weight, infant mortality and morbidity, and maternal morbidity. PRAMS provides Hawaii-specific data for planning and assessing health programs and for describing maternal experiences that may contribute to maternal and infant health.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention & Health Promotion, Division of Reproductive Health

Current Program Activities/Allowable Expenses:

- A. Maintain a surveillance system to collect information from a defined sample of new mothers with live born infants.
- B. Conduct analysis and trend analysis of data to understand the relationship between behaviors, attitudes, and experiences relating to pregnancy and early infancy.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: The variance between FY 2018-19 is due to reduced payroll obligations and related expenditures. The variance between FY 2019-20 is due to estimated contract expenditures. From FY 2020 onward, the estimated Appropriation Ceiling was changed to align with annual award.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	195,445	195,445	800,000	160,000	160,000	160,000	160,000
Beginning Cash Balance	4,653	319	573	0	16	16	16
Revenues	154,916	158,911	136,747	155,472	157,000	157,000	157,000
Expenditures	159,250	158,656	137,319	155,456	157,000	157,000	157,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	319	573	0	16	16	16	16
Encumbrances				4,999	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH, Family Health Service Division
 Prog ID(s): HTH 560
 Name of Fund: Personal Responsibility Education Grant (PREP) (project: 000613)
 Legal Authority: SSA, Title V, Section 513

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 534 H

Intended Purpose: The personal responsibility and education grant provides support to the States to replicate effective, evidence-based teen pregnancy prevention and positive youth development program models that have been scientifically proven to change behavior such as delaying sexual activity, increasing condom or contraceptive use, and reducing pregnancy among adolescents.

Source of Revenues: DHHS, Administration for Children and Families

Current Program Activities/Allowable Expenses: This grant supports the training and implementation of an evidence-based, teen pregnancy prevention and positive youth development curriculum. It is designed to provide adolescents a comprehensive set of life skills for educational and career success, to develop healthy habits and to know what healthy relationships are in order to prevent pregnancies, HIV/AIDS and sexually transmitted infections. The grant targets high school dropouts statewide, 16 to 18 years of age that attend the Department of Defense Hawaii National Guard Youth Challenge Academy in Hilo and Kalaeloa, Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: N/A

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	351,689	351,689	250,000	250,000	250,000	250,000	250,000
Beginning Cash Balance	2,971	29	9	32	1	1	1
Revenues	147,602	189,866	200,268	209,563	205,000	205,000	205,000
Expenditures	150,544	189,887	200,244	209,594	205,000	205,000	205,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	29	9	32	1	1	1	1
Encumbrances	122,558	56,287	30,443	100	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Collaborative State Public Health Actions
 Legal Authority: Act 134, SLH 2013

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 14 535 H

Intended Purpose: Address prevention of diabetes and its complications; develop tracking system and population-based interventions for prevention of heart disease and stroke; improve healthful eating and physical activity to prevent obesity and other chronic diseases; promote the adoption of policies and systems changes in the school setting to increase healthy eating and physical activity.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Promotion/adoption/implementation of food service guidelines/nutrition standards, physical education/activity in schools and early child care education and worksites, awareness of high blood pressure and pre-diabetes, participation and use of self-management programs, and use of lifestyle intervention programs in the communities.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended on 09/29/2018

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,074,216	1,105,210	1,105,210	0	0	0	0
Beginning Cash Balance	11,286	1,094	6,166	0	0	0	0
Revenues	868,364	1,371,674	580,779	0	0	0	0
Expenditures	878,556	1,366,602	586,945	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,094	6,166	0	0	0	0	0
Encumbrances	547,259	601,031	336,148				
Unencumbered Cash Balance	(546,165)	(594,865)	(336,147)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Toxic Substance Compliance Monitoring
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 536 H

Intended Purpose: Implement asbestos monitoring, inspection and enforcement pursuant to Toxic Substances Control Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, risk assessment, and enforcement

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Starting in FY 20, changed to 2-year award, rather than 1-year award.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	96,000	91,000	95,550	182,000	47,676	94,500	47,250
Beginning Cash Balance	3,807	5,001	45,406	1,047	6	6	6
Revenues	44,008	77,586	(37,406)	42,548	40,000	40,000	40,000
Expenditures	42,814	37,180	6,953	43,589	40,000	40,000	40,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,001	45,407	1,047	6	6	6	6
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH710/MK
 Name of Fund: Food Safety Inspection Service
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF): P
 Appropriation Acct. No. S-14-537 H, S-15-537 H,
S-16-537 H

Intended Purpose: This Cooperative Agreement grant will enhance and expand Department's laboratory capacity and capabilities to detect microbiological threat agents in foods, develop screening technologies, and to provide timely lab response to local or nationwide foodborn pathogen outbreak.

Source of Revenues: United States Department of Agriculture (USDA), Food Safety and Inspection Service (FSIS).

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variances (decreases) in FY15-FY17 revenues and expenditures are due to decrease in grant amount for the budget period. The FY 18 Grant has ended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,633	0	0	0	0	0	0
Revenues	15,334	0	0	0	0	0	0
Expenditures	17,967	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
02/28/17 JS3930	0						
02/28/17 JS3930	0						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Medicare Administration Title XIX
Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF): P
Appropriation Acct. No.: S-**-538-H

Intended Purpose:

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

Current Program Activities/Allowable Expenses:

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Ceiling is increased to cover the increase in expenditures and to match the federal grant and state match reimbursements from Hawaii Department of Human Services.

Variances:

The increase of expenditures in FY2018 is the result of filling vacant positions and increasing salary per bargaining unit wage agreement. Expenditures are expected to increase in FY2020 to FY2022 because of filling three new positions including 2.0 FTE RN V and 1.0 SW V.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	837,513	941,753	1,581,884	1,436,643	1,436,643	1,436,643	1,436,643
Beginning Cash Balance	354,751	379,064	690,098	1,156,719	195,590	195,590	195,590
Revenues	693,132	1,099,689	1,550,653	780,433	1,400,000	1,400,000	1,400,000
Expenditures	668,819	788,654	1,084,032	1,741,563	1,400,000	1,400,000	1,400,000
List each net transfer in/out/ or projection in/out; list each account number							
Transfers-in			0	0	0	0	0
Transfer-out			0	0	0	0	0
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	379,064	690,098	1,156,719	195,590	195,590	195,590	195,590
Encumbrances	0			0			
Unencumbered Cash Balance	379,064	690,098	1,156,719	195,590	195,590	195,590	195,590
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Medicare Clinical Laboratory Improvement Amendments (CLIA)
Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF): P
Appropriation Acct. No.: S-**-539-H

Intended Purpose: To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues: The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

Current Program Activities/Allowable Expenses: On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling is increased to cover the increase in expenditures and to match the federal grants.

Variations:

Expenditures were increased in FY 2019 and FY 2020 because of filling vacant position about 1/3 of fiscal year 2019. It is expected to increase in fiscal year 2021 to 2023 since it has full year payroll cost for the position.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	128,480	142,867	130,417	173,568	173,568	173,568	173,568
Beginning Cash Balance	373	279	2,511	0	0	68	68
Revenues	15,661	25,010	78,214	133,988	173,568	173,500	173,500
Expenditures	15,755	22,777	78,071	133,983	173,500	173,500	173,500
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in	276						
Transfer-out							
Net Total Transfers							
Ending Cash Balance	279	2,512	2,654	4	68	68	68
Encumbrances	0	6,358	23,379	1,284			
Unencumbered Cash Balance	279	(3,846)	(20,725)	NA	NA	68	68

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Toxic Substance Compliance Monitoring
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): P
 Appropriation Acct. No.: S 540 H

Intended Purpose: Develop and maintain compliance monitoring programs to prevent or eliminate unreasonable risks to health or the environment associated with chemical substances or mixtures in the community.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Conduct lead-based paint inspections, encourage regulatory activities for lead-based paint, and enforcement activities for the lead-based paint program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: New award starting in FY 20, due to lead enforcement becoming a separate grant from the State Lead grant. Was anticipated to be a two-year award, but changed to one-year award period. Starting in FY 21, this award has ceased and has been combined with State Lead grant into a Performance Partnership Grant (PPG).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	115,500	0	0	0
Beginning Cash Balance	0	0	0	0	4	(0)	(0)
Revenues	0	0	0	26,375	6,000		
Expenditures	0	0	0	26,371	6,004		
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	4	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Hazardous Materials Emergency Preparedness
 Legal Authority: 49 U.S.C. 5101

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 541 H
(changed from S 549 H in FY 20)

Intended Purpose: Provide hazardous materials training

Source of Revenues: Federal grant funds from U. S. Department of Transportation

Current Program Activities/Allowable Expenses: Provide training, support planning studies, travel to conferences

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Due to timing of activities and reimbursements. Award period varies, and unable to predict when new award (rather than award modification) will start.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	305,521	410,020	0	406,726	101,840	107,000	320,800
Beginning Cash Balance	0	22,257	9,314	14,590	14,590	14,590	14,590
Revenues	120,451	22,978	96,874	135,945	135,945	135,945	135,945
Expenditures	98,194	35,921	91,598	135,945	135,945	135,945	135,945
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	22,257	9,314	14,590	14,590	14,590	14,590	14,590
Encumbrances	0	7,257	34,897	70,000	70,000	70,000	70,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Quality Management Planning
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): P
 Appropriation Acct. No.: S 542 H

Intended Purpose: Implement State Water Quality Planning and Management Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Water quality standards amendments, bio-assessments, visual assessments, and other monitoring for Clean Water Act section 303(d) listing, development of Total Maximum Daily Loads, data management, and holding public information meetings and hearings.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	115,000	224,700	224,700	224,700	235,935	130,200	136,710
Beginning Cash Balance	27,692	624	393	347	2,125	2,125	2,125
Revenues	99,750	92,471	58,055	36,976	100,000	100,000	100,000
Expenditures	126,818	92,701	58,101	35,198	100,000	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
	0						
Net Total Transfers	0						
Ending Cash Balance	624	393	347	2,125	2,125	2,125	2,125
Encumbrances	53,253	60,563	2,462	162,366	50,000	50,000	50,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank - Corrective Action
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): P
 Appropriation Acct. No.: S 543 H

Intended Purpose: Decrease the number of open leaking underground storage tanks and restore these sites in order to protect public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Oversight and management of Leaking Underground Storage Tank sites and implementation of procedures to compel reporting, assessment and remediation of releases from underground storage tanks by the responsible owner or operator

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	768,000	493,500	330,039	2,829,750	200,000	336,716	336,716
Beginning Cash Balance	20,940	43,005	131	135	8,061	15,986	23,911
Revenues	524,801	357,564	369,854	269,835	269,835	269,835	269,835
Expenditures	502,736	400,438	369,849	261,910	261,910	261,910	261,910
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	43,005	131	135	8,061	15,986	23,911	31,837
Encumbrances	4,621	4,621	2,462	13,000	13,000	13,000	13,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank - Prevention
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): P
 Appropriation Acct. No.: S 544 H

Intended Purpose: Develop and operate an inspection, compliance and enforcement program to prevent and detect releases from underground storage tanks pursuant to the Solid Waste Disposal Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Facility inspections at all operating facilities at least once every three years, referrals to compliance team for enforcement of violations, notices of compliance to owner/operators, implementing public records, secondary containment, delivery prohibition to non-compliant facilities and operator training requirements of the Solid Waste Disposal Act as amended by the Energy Policy Act of 2005

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	353,000	348,600	207,000	1,645,875	122,000	233,630	233,630
Beginning Cash Balance	5,082	8,478	3,209	38,739	10,680	10,680	10,680
Revenues	144,093	359,330	357,470	266,124	270,000	270,000	270,000
Expenditures	140,697	364,599	321,940	294,183	270,000	270,000	270,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	8,478	3,209	38,739	10,680	10,680	10,680	10,680
Encumbrances	9,941	9,941	10,017	1,763	5,000	5,000	5,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: State Clean Diesel Grant-Diesel Retrofit
 Legal Authority: PL 109-58, Energy Policy Act of 2005

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): P
 Appropriation Acct. No.: S 545 H

Intended Purpose: Reduce emissions from in-use diesel engines

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Develop and administer a program to reduce diesel emissions

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: Length of grant award period varies. Expenditures vary according to the contract schedule with the agency converting its diesel engines. Ceiling is needed for 1st year of award only, since positions are not funded. However, unable to predict when each new award (rather than award modification) will start.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	205,000	409,412	409,412	788,383	644,330	1,017,800	1,068,690
Beginning Cash Balance	0	0	0	0	4	4	4
Revenues	0	0	389,916	93,240	100,000	100,000	100,000
Expenditures	0	0	389,916	93,236	100,000	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
	0	0					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	4	4	4	4
Encumbrances	0	0	0	49,168	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Department of Defense and State MOA
 Legal Authority: P.L. 96-510, Comprehensive Environmental
Response, Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 546 H

Intended Purpose: Enable State to participate in assessment and remediation of contamination at military facilities

Source of Revenues: Reimbursement from U.S. Department of Defense

Current Program Activities/Allowable Expenses: Assessment and remediation

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	842,000	787,500	1,614,375	461,475	1,770,378	638,000	1,339,800
Beginning Cash Balance	20,959	107,809	177,441	291,068	482,381	673,694	865,007
Revenues	283,492	427,824	538,781	609,460	609,460	609,460	609,460
Expenditures	196,642	358,192	425,154	418,147	418,147	418,147	418,147
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	107,809	177,441	291,068	482,381	673,694	865,007	1,056,320
Encumbrances	14,668	38,080	45,017	10,382	20,000	20,000	20,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: State Response Program
 Legal Authority: Comprehensive Environmental Response,
 Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 547 H

Intended Purpose: Develop, implement, and maintain State Response Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Improve capacity to provide a public record, survey and inventory Brownfield sites, enhance oversight and enforcement authorities, provide meaningful opportunities for public participation, improve mechanisms for cleanup approval, verification of site cleanup, and management of institutional controls

Purpose of Proposed Ceiling Increase (if applicable): 1) Change means of financing (MOF) for Environmental Health Specialist IV from 100% MOF N (Air Pollution Control grant) to a combination of 75% MOF P (State Response Program grant) and 25% MOF N (Air Pollution Control grant). This will properly align the funding sources with the position's duties. 2) Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,634,909	1,006,504	1,508,807	1,298,745	500,000	4,812,465	382,000
Beginning Cash Balance	15,665	5,279	609	567	11,851	23,134	23,134
Revenues	991,885	886,532	1,119,793	931,220	931,220	1,000,000	1,000,000
Expenditures	1,002,271	891,202	1,119,835	919,937	919,937	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,279	609	567	11,851	23,134	23,134	23,134
Encumbrances	163,401	44,297	48,259	254,199	100,000	100,000	100,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Preliminary Assessment/Site Inspection
 Legal Authority: Comprehensive Environmental Response,
 Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 548 H

Intended Purpose: Site discovery and site screening activities to enable remediation and use of land with environmental contamination

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Site discovery, preliminary assessments, assistance with site inspections to determine which sites might be listed on the Superfund National Priority List

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variations: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	289,000	303,188	909,564	288,750	200,000	866,250	224,000
Beginning Cash Balance	8,942	23,284	114	102	26,595	53,088	79,581
Revenues	304,267	293,137	320,794	349,952	349,952	349,952	349,952
Expenditures	289,925	316,307	320,806	323,459	323,459	323,459	323,459
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	23,284	114	102	26,595	53,088	79,581	106,074
Encumbrances	44,529	20,475	10,270	10,000	10,000	10,000	10,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: State Health Insurance Assistance Program
 Legal Authority: 42 USC 1995b-4

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 552 H

Intended Purpose: Provides free in depth one on one insurance counseling and assistance to Medicare beneficiaries and their families.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Operating expenses dealing with assistance and one on one counseling.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant amount changes from year to year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	368,627	576,968	630,378	630,378	500,000	500,000	500,000
Beginning Cash Balance	3,971	4,673	5,743	30,956	21,360	(0)	(0)
Revenues	181,416	191,785	351,152	326,954	257,652	257,652	257,652
Expenditures	180,714	190,715	325,939	336,550	279,012	257,652	257,652
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,673	5,743	30,956	21,360	(0)	(0)	(0)
Encumbrances	37,824	7,779	60,821	24,337			
Unencumbered Cash Balance	(33,151)	(2,036)	(29,865)	NA	NA	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Title VII Ombudsman/Elder Abuse Prevention
 Legal Authority: PL 114-144

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 554 H

Intended Purpose: Addresses the need for strong advocacy to protect and enhance the basic rights and benefits of vulnerable people.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Operating expenses to assist the rights and privileges of older adults.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant amount changes from year to year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	166,555	194,306	181,449	180,000	130,000	130,000	130,000
Beginning Cash Balance	3,738	9,376	5,113	1,869	1,781	0	0
Revenues	107,887	118,395	121,647	109,861	113,038	113,038	113,038
Expenditures	102,249	122,658	124,891	109,949	114,819	113,038	113,038
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,376	5,113	1,869	1,781	0	0	0
Encumbrances	1,140	0	0				
Unencumbered Cash Balance	8,236	5,113	1,869	1,781	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Nutrition Services Incentive Program
 Legal Authority: PL 114-144

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 555 H

Intended Purpose: Rewards state agencies that effectively deliver nutritious meals to older adults.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Support nutrition services for older adults which includes the congregate and home delivered meals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant amount changes from year to year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	651,681	811,297	711,894	700,000	575,000	575,000	575,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	402,130	573,472	449,275	182,709	497,199	497,199	497,199
Expenditures	402,130	573,472	449,275	182,709	497,199	497,199	497,199
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	338,580			
Unencumbered Cash Balance	0	0	0	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Public Water System Supervision
 Legal Authority: PL 93-523, Safe Drinking Water Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 556 H

Intended Purpose: Protect public health by ensuring safe drinking water

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure that public water systems provide safe drinking water through compliance with national primary dinking water regulations

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Lower award received in 2018-2019 to enable EPA to change award period from calendar year to federal fiscal year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	498,000	496,650	494,550	539,949	282,000	1,000,650	330,907
Beginning Cash Balance	13,875	34,076	25,818	175	10,203	10,203	10,203
Revenues	498,075	306,542	281,175	418,727	496,650	496,650	496,650
Expenditures	477,874	314,800	306,818	408,699	496,650	496,650	496,650
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	34,076	25,818	175	10,203	10,203	10,203	10,203
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	34,076	25,818	175	10,203	10,203	10,203	10,203

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Pollution Control - Groundwater
 Legal Authority: PL 92-500, Clean Water Act, Section 106

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 557 H

Intended Purpose: Prevent, reduce, and eliminate groundwater pollution

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Implement State Groundwater Protection Program and wellhead protection activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior award period was extended to 5 years Award received in FY 20 was for one year, and now this grant has been combined into the Water Pollution Control - Surface Water grant, S-298-H. Expenditures vary according to workplan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	662,000	839,261	155,981	976,401	0	0	0
Beginning Cash Balance	4,661	9,900	543	16,423	5,906	0	0
Revenues	188,100	220,775	251,485	209,121	50,000	0	0
Expenditures	182,861	230,133	235,605	219,637	55,906	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,900	542	16,423	5,906	0	0	0
Encumbrances	0	1,195	76	0	0	0	0
Unencumbered Cash Balance	9,900	(653)	16,347	5,906	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Hazardous Waste Management Program
 Legal Authority PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 558 H

Intended Purpose: Implement regulations of the Resource Conservation and Recovery Act (RCRA)

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure RCRA compliance at hazardous waste generation and management facilities, issue permits to hazardous waste management facilities, manage clean-up of contaminated sites, and develop and maintain programs aimed at reducing waste and increasing recycling

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant changed from 1-year to 2-year award period, starting in FY 18. Ceiling included each year for budgetary purposes, since positions are funded by this grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	536,000	566,328	573,331	1,113,000	530,000	1,113,000	461,921
Beginning Cash Balance	19,844	1,148	1,291	59	17,428	17,428	17,428
Revenues	536,695	521,326	488,417	508,170	530,000	530,000	530,000
Expenditures	555,391	521,183	489,649	490,800	530,000	530,000	530,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,148	1,291	59	17,428	17,428	17,428	17,428
Encumbrances	1,411	0	0	0	0	0	0
Unencumbered Cash Balance	(263)	1,291	59	17,428	17,428	17,428	17,428

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF & HTH 610 FR
 Name of Fund: Air Pollution Control Program
 Legal Authority Clean Air Act, Section 105; 40 CFR Parts 31 & 35 Subpart A

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 559 H

Intended Purpose: Protect and improve air quality and reduce the risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Strategic planning and evaluation, compliance assistance, developing state implementation plans, monitoring air emissions, rule-making, issuing permits and other other program-related activities

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variances: From FY18, all expenditures to this grant are coded to S-559-H, since new federal funds methodology impedes spending. Award period changed from 1-year to 2-year, starting in FY 20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	919,000	838,823	945,000	943,823	892,532	1,826,549	647,674
Beginning Cash Balance	17,658	9,304	4,145	45,620	14,727	14,727	14,727
Revenues	534,184	669,179	805,186	864,389	892,532	892,532	892,532
Expenditures	542,537	674,338	763,711	895,283	892,532	892,532	892,532
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	9,304	4,145	45,620	14,727	14,727	14,727	14,727
Encumbrances	3,497	10,224	9,573	5,448	5,448	5,448	5,448
Unencumbered Cash Balance	5,807	(6,079)	36,047	9,279	9,279	9,279	9,279

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: PM 2.5 Monitoring Network
 Legal Authority: Clean Air Act, Section 103; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 560 H

Intended Purpose: Improve air quality and reduce risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Monitor fine particulate matter to determine compliance with the PM 2.5 National Ambient Air Quality Standards and determine reductions in air emissions

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Grant probably has a multi-year budget/project period, but ceiling included each year because that is unknown. Variations are primarily due to timing of equipment replacement, repair, and maintenance, amount of inter-island travel needed, and travel costs for off-island conference/training.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	255,000	768,573	843,104	765,108	170,088	170,089	178,593
Beginning Cash Balance	3,964	8,243	187	92	4	4	4
Revenues	174,780	160,107	123,235	165,860	170,000	170,000	170,000
Expenditures	170,501	168,163	123,331	165,947	170,000	170,000	170,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	8,243	187	92	4	4	4	4
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	8,243	187	92	4	4	4	4

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 610 FP
 Name of Fund: Manufactured Food Training Grant Program
 Legal Authority 45 CFR Parts 74 and 92

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 562 H

Intended Purpose: Provides funds to support the training needs of manufactured food programs at the State, local, tribal, and territorial levels.

Source of Revenues: Grant award from the Association of Food and Drug Officials. Award is a subaward from AFDO's federal grant award from the U.S. Food and Drug Administration.

Current Program Activities/Allowable Expenses: Travel costs for five Manufactured Food Training Program courses.

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF.

Variations: New award first received in FY 20. Unsure about future awards and in-person attendance due to pandemic concerns.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	16,588	40,000	40,000	40,000
Beginning Cash Balance	0	0	0	0	0	4,325	4,325
Revenues				0	4,325	0	0
Expenditures				0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	4,325	4,325	4,325
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	4,325	4,325	4,325

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Oral Disease Prevention Program Grant
 Legal Authority: 301A, 311BC, 317K2 (42USC241A, 243BC247BK2)

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 563 H

Intended Purpose: An oral health program is a critical part of all state public health departments, however the DOH Dental Health Division was eliminated as part of the 2009 budget restricts. This grant will be used to help rebuild the DOH's public dental health infrastructure.

Source of Revenues: DHHS, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: The funding will be used to improve basic state oral health services, including support for program leadership and limited supported staff, monitoring oral disease levels and risk factors for oral disease, developing strong partnerships, educating state residents on ways to prevent oral diseases, and developing and evaluating prevention programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The Hawaii State Oral Disease Prevention Program grant ended 8/31/2018. No revenues or expenditures will occur from FY 2020 onward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	0	0			
Beginning Cash Balance	453	35	64	1	1	1	1
Revenues	237,009	349,737	234,764	0	0	0	0
Expenditures	237,427	349,708	234,828	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	35	64	1	1	1	1	1
Encumbrances	56,571	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 610 FP
 Name of Fund: Manufactured Food Trng Grant Prog-FD340
 Legal Authority 45 CFR Parts 74 and 92

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 564 H

Intended Purpose: Provides funds to support the training needs of manufactured food programs at the State, local, tribal, and territorial levels.

Source of Revenues: Grant award from the Association of Food and Drug Officials. Award is a subaward from AFDO's federal grant award from the U.S. Food and Drug Administration.

Current Program Activities/Allowable Expenses: Travel costs for one Manufactured Food Training Program course

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New award first received in FY 20. Unsure about future awards and in-person attendance due to pandemic concerns.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	3,002	20,000	20,000	20,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				2,035	0	0	0
Expenditures				0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,035	0	0	0
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	2,035	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	HTH	Contact Name:	Amihan Aiona
Prog ID(s):	HTH 440	Phone:	692-7508
Name of Fund:	Strategic Prevention Framework-Partnerships for Success (HI Partnerships for Success) Fund type (MOF) P		
Legal Authority	Section 516 PHS Act as amended	Appropriation Acct. No.	S 565 H

Intended Purpose: Implementation of the Strategic Prevention Framework process at the state and community levels to promote alignment and coordination of resources to better address substance abuse prevention priorities.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: In collaboration with state and community level stakeholders, use data-driven decision making processes to develop and implement effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons ages 12 to 20.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variations: Five year award from 9/30/13 to 9/29/18 plus on year no-cost extension period 9/30/18 to 9/29/19. Variance in FY16 to FY19 is due to the delays in establishing the project and positions that led to delayed contract and contract modification execution. Additionally due to the time limitations, this special project had to complete a reorganization and convert existing exempt positions to temporary civil service. The existing exempt staff did not convert causing the project to lose momentum in program implementation. No cost extension from 9/30/19 to 9/29/20. Grant ended 9/29/20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,433,481	1,776,772	1,776,772	0	0	0	0
Beginning Cash Balance	3,343	47	934	(0)	(0)	(0)	(0)
Revenues	834,975	1,305,113	1,167,696	436,690	0	0	0
Expenditures	838,271	1,304,226	1,168,630	436,690	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	47	934	(0)	(0)	(0)	(0)	(0)
Encumbrances	977,906	858,657	658,516	0	0	0	0
Unencumbered Cash Balance	(977,859)	(857,723)	(658,516)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Information
for Submittal to the 2021 Legislature

Department: <u>HTH</u>	Contact Name: <u>Amihan Aiona</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7508</u>
Name of Fund: <u>Collaborative Agreement to Benefit Homeless Individuals (Hawaii Pathways Project)</u>	Fund type (MOF) <u>P</u>
Legal Authority: <u>Section 509 and 520A Public Health Service Act, as amended</u>	Appropriation Acct. No. <u>S 566 H</u>

Intended Purpose: The intent of the CABHI-Hawaii Pathways Project (HPP) is to strengthen the infrastructure, partnerships, and service system to provide permanent housing to individuals, families, and veterans living on Oahu. HPP will assist chronically homelessness individuals with substance use or co-occurring substance use and mental health disorder through assertive outreach, case management, and treatment services. Specifically, HPP will provide the Pathways Housing First model, the only evidence-based program recognized by the National Registry of Evidence- Based Programs and Practices that provides a comprehensive housing and treatment model without preconditions of the individual's alcohol or drug use. The HPP will also assist in building sustainable partnerships, infrastructure, and practices through a partnership with the Hawaii Interagency Council on Homelessness (HICH) and the development of a Statewide Plan.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT)

Current Program Activities/Allowable Expenses: Implementation of the Pathways Housing First model; including clinical services, intensive case management, housing, vocational, peer support and recovery support services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Three year project period from 9/30/13 to 9/29/16 plus one year no-cost extension from 9/30/16 to 9/30/17. Variances in FY 2016/2017/2018 revenues are due to delay in starting grant project. Variances in FY 2017 and FY 2018 expenditures are due to increased homeless wrap around services during the no-cost extension period of this award. Grant ended 9/30/17.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,209,203	0	0	0	0	0	0
Beginning Cash Balance	3,000	7	0	0	0	0	0
Revenues	918,649	557,621	0	0	0	0	0
Expenditures	921,642	557,628	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7	0	0	0	0	0	0
Encumbrances	656,656				0	0	0
Unencumbered Cash Balance	(656,649)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: 2015 WIC INFRASTRUCTURE

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) N

Legal Authority Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 567 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide funding for facility renovation to Waianae Coast Comprehensive Health Center WIC and to conduct outreach for a statewide child retention campaign.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office

Current Program Activities/Allowable Expenses: Facility renovation expenditures and outreach activities for child retention to the WIC program.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: Grant ended FY2017.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	351,500	0	0	0			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	25,668	0	0	0	0	0	0
Expenditures	25,668	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: No Wrong Door
 Legal Authority PL 109-442

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 568 H

Intended Purpose: Implementation of the No Wrong Door System for all populations and all players.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Network built to support individuals needing long term care. Expenses are operating and administrative.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends at the end of 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,496,642	1,738,746	898,311	100,000	0	0	0
Beginning Cash Balance	9,106	119,318	21,951	5,674	(0)	(0)	(0)
Revenues	868,108	743,068	644,990	27,162	0	0	0
Expenditures	757,896	840,435	661,267	32,836	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	119,318	21,951	5,674	(0)	(0)	(0)	(0)
Encumbrances	376,408	497,386	0				
Unencumbered Cash Balance	(257,090)	(475,435)	5,674	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: 2015 WIC INFRASTRUCTURE
 Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.
 Legal Authority 42 U.S.C. 1786.

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P

Appropriation Acct. No. S 569 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to transfer a legacy MIS system and implement a new MIS system for the WIC program.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services. Federal Technology funding.

Current Program Activities/Allowable Expenses: Second funding for Phase II - Transfer and Replacement of MIS database.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable. Grant drawn-down completed by 10/31/2017, MIS replacement project rolled-out and completed. Funds expended and federal grant closed.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,229,182	1,229,182	0	0			
Beginning Cash Balance	61,052	358,355	0	0	0	0	0
Revenues	910,902	5,832	0	0			
Expenditures	613,599	364,187	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	358,355	0	0	0	0	0	0
Encumbrances	357,250	0	0	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Report
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Drug and Alcohol Services Information System (DASIS)
 Legal Authority: Section 505 Public Health Service Act (42.U.S.C. 290aa-4)

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 571 H

Intended Purpose: To provide substance abuse treatment data to meet the Substance Abuse and Mental Health Services Administration (SAMHSA) statutory requirements.

Source of Revenues: U.S. Department of Justice, Office of Juvenile Justice and Delinquency Prevention (OJJDP)

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for continued collection of substance abuse prevention and treatment data elements.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY 14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY 14, per new accounting methodology for federal funds, a unique appropriation has been assigned to each federal award resulting in the one time carryover balance in FY 17. The annual award of \$37,538 resumed in FY 19, 20, 21. Current agreement is 6/30/17 to 6/29/21. Variance for FY 18 is due to expenditures of carry over. Estimates for FY 20 are based on anticipated base appropriation.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	405,724	150,152	37,538	37,538	37,538	37,538	37,538
Beginning Cash Balance	0	37,538	350,959	379,966	417,504	417,504	417,504
Revenues	37,538	494,609	37,538	37,538	37,538	37,538	37,538
Expenditures	0	181,188	8,531	0	37,538	37,538	37,538
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	37,538	350,959	379,966	417,504	417,504	417,504	417,504
Encumbrances		5,749	1,507	1,507	0	0	0
Unencumbered Cash Balance	37,538	345,210	378,459	415,997	417,504	417,504	417,504

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: PHHSBG-Chronic Disease Prevention
 Legal Authority Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S-16-574-H
S 574 H (FY16 & FY15)

Intended Purpose: Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues: US Department of Health and Human Services and Public Health Service. CDPHPD is a sub-recipient. These funds were originally included under appropriation account S-225-H and has subsequently been assigned a separate account, S-574-H.

Current Program Activities/Allowable Expenses: PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Ended on 9/30/2018

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	165,000	165,000					
Beginning Cash Balance	3,272	1,356	0	0	0	0	0
Revenues	146,437	46,184	0	0			
Expenditures	148,353	47,540	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfer							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,356	0	0	0	0	0	0
Encumbrances	0	18,000	0	0			
Unencumbered Cash Balance	1,356	(18,000)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Behavioral Risk Factor Surveillance System
 Legal Authority Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 576 H

Intended Purpose: To provide financial and technical assistance to collect data on health risk behaviors, health status, health care access, and preventive health practices. The Behavioral Risk Factors Surveillance System (BRFSS) is the only continuous, state-based, health surveillance system conducted.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: The Hawaii BRFSS program has operated continuously in Hawaii since 1986. Funding from the Centers for Disease Control and Prevention (CDC), Department of Health programs, and the University of Hawaii supports BRFSS personnel salary and fringe and operational costs, including time spent constructing a state-specific BRFSS questionnaire; collecting and submitting data using surveillance methodologies and protocols; forming and maintaining collaborations; participating in CDC-sponsored training opportunities; and disseminating data and other public health products to the public and research communities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The increase in revenues and expenditures are due to the increase in funds awarded by the CDC in FY19 from previous year. Also, the program requested carryover funds from previous years. This multi-year grant ended 7/31/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	400,000	400,000	400,000	233,355	233,355	0	0
Beginning Cash Balance	3,729	2	113	218	7,221	0	0
Revenues	148,848	182,694	269,824	358,638	55,737	0	0
Expenditures	152,574	182,583	269,719	351,636	62,958	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2	113	218	7,221	(0)	0	0
Encumbrances	0	0	0	46,475	0		
Unencumbered Cash Balance	2	113	218	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: National Asthma Control Program
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 575 H

Intended Purpose: Expand access and awareness of evidence based asthma self-management programs, with a focus on reducing disparities among high risk population.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Planning, delivery, and evaluation of public health activities and collaboration with health care systems; Expand access to comprehensive asthma control services through home-based and/or school-based strategies; Coordinate with health care organizations to improve coverage, delivery, and use of clinical and other services.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended on 08/31/19

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	0	0	0
Beginning Cash Balance	11,180	26	41	(0)	(0)	(0)	(0)
Revenues	265,609	230,743	418,582	264,211	0	0	0
Expenditures	276,763	230,727	418,623	264,211	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	26	41	(0)	(0)	(0)	(0)	(0)
Encumbrances	138,003	115,052	50,535				
Unencumbered Cash Balance	(137,977)	(115,011)	(50,535)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Heart Disease & Stroke, Diabetes and Obesity Prevention
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 578 H

Intended Purpose: Support implementation of population-wide and priority population approaches to prevent obesity, diabetes, and heart disease and stroke and reduce health disparities in these areas among adults.

Source of Revenues: CDC National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Implement nutrition and beverage standards, strengthen healthier food access and sales, community promotion and development and/or implementation of transportation and community plans to promote physical activity;

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: ended on 9/29/2018

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,750,813	2,750,814	0	0	0	0	0
Beginning Cash Balance	3,683	109	823	0	0	0	0
Revenues	3,283,460	3,776,792	1,681,403	0	0	0	0
Expenditures	3,287,034	3,776,077	1,682,226	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	109	823	0	0	0	0	0
Encumbrances	3,095,242	2,681,852	1,656,958				
Unencumbered Cash Balance	(3,095,133)	(2,681,029)	(1,656,958)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>HTH</u>	Contact Name:	<u>Amihan Aiona</u>
Prog ID(s):	<u>HTH 440</u>	Phone:	<u>692-7508</u>
Name of Fund:	<u>Substance Abuse Prevention and Treatment (SAPT) Block Grant</u>	Fund type (MOF):	<u>N</u>
Legal Authority:	<u>Subparts II & III, Part B, Title XIS, Public Health Service Act;</u> <u>Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant,</u> <u>Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)</u>	Appropriation Acct. No.:	<u>S-581 in FY15/16/17/19 to FY22</u>

Intended Purpose: Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award resulting in the carryover balance in FY16. FY17/18/19 variances are due to FY18 award and appropriation inadvertently not included on the Form FF; therefore, the FY18 award was not assigned to S-18-581-H and S-19-211 was assigned by an E-2. Returned to S 581H from FY19 and beyond. Variances in Revenues and Expenditures in FY20 are due to FY18 award assigned to S-19-211 resulting in the revenues and expenditures posted to S-19-211 rather than S-19-581.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,562,595	0	8,583,536	8,583,536	8,979,047	8,583,536	8,583,536
Beginning Cash Balance	0	30	11,836	0	7	7	7
Revenues	5,912,700	8,966,118	1,966,114	5,382,402	8,979,047	8,583,536	8,583,536
Expenditures	5,912,670	8,954,312	1,977,950	5,382,395	8,979,047	8,583,536	8,583,536
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	30	11,836	0	7	7	7	7
Encumbrances	2,381,345	2,006,974	25,476	2,264,032	0	0	0
Unencumbered Cash Balance	(2,381,315)	(1,995,138)	(25,476)	NA	7	7	7

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: OHCA Health Care Administrative Services
Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF): P
Appropriation Acct. No.: S-**-583-H

Intended Purpose: To meet contractual obligations pursuant to Act 093, SLH 2012 which transferred licensing responsibilities of home and community-based case management, community care foster family homes and adult day care facilities from the Hawaii department of human services to the office of health care assurance within the department of health.

Source of Revenues: The source of revenues are from the State general funds and also from Federal contracts within the hawaii department of human services (DHS). The contract funding is relatively stable from year to year but the federal portion is determined by the Centers for Medicare and Medicaid Services (CMS) after periodic reviews based on the number of Medicaid clients being served.

Current Program Activities/Allowable Expenses: Licensing activities of home and community-based case management, community care foster family homes and adult day care facilities.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling is increased to cover the increase in contract price.

Variances: Expenditure is increased in FY2020 due to contract price increase.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	316,495	316,495	316,495	569,293	569,293	569,293	569,293
Beginning Cash Balance	52,750	26,375	0	41,253	0	0	0
Revenues	316,494	316,494	263,745	417,713	569,293	569,293	569,293
Expenditures	342,869	342,869	222,493	458,965	569,293	569,293	569,293
List each net transfer in/out/ or projection in/out; list each account number							
JS0473/0599/1017/1956							
Various dates							
Transfer-out							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	26,375	0	41,253	0	0	0	0
Encumbrances	0	26,375	120,377	230,704			
Unencumbered Cash Balance	26,375	(26,375)	NA	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: WIC EBT TRANSFER PROJECTS
 Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P

Legal Authority Law 111-296, 42 U.S.C. 1786. Appropriation Acct. No. S 584 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to plan for implementation of electronic benefits transfer (EBT) and prepare an Implementation Advance Planning Document (IAPD) for submission to the USDA and subsequent application for grant funding to be used for project implementation by 2020.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office/ Grants to States Technology funding.

Current Program Activities/Allowable Expenses: Planning project for implementation of electronic benefits transfer (EBT) contract deliverables completed. Remaining contract balance encumbered by ASO Log No. 15-077-M1 in the amount of \$14,974 is expected to be recovered by the USDA.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Grant closed in FY 2017.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000						
Beginning Cash Balance	3,086	1	1	0	0	0	0
Revenues	3,604	0	0				
Expenditures	6,689	0	0				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	358,355	0	0	0	0	0	0
Encumbrances	357,250	0	0	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote: \$1 unspent contractor's travel funds recovered by the USDA.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Tobacco Quitline
 Legal Authority Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 585 H

Intended Purpose: Expand the capacity of the Tobacco Prevention and Education Program to implement evidence-based cessation interventions as it relates to the Hawaii Tobacco Quitline.

Source of Revenues: CDC National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health - financed solely by the Prevention and Public Health Fund

Current Program Activities/Allowable Expenses: Ensure infrastructure for State Quitline; improve Quitline capacity; participate in surveillance and evaluation efforts; identify and target disparate populations; improve sustainability; increase media efforts; enhance Quitline protocol and operations; improve understanding of comprehensive cessation coverage for Medicaid recipients; promote health systems change.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant was extended from 7/31/2019 to 4/28/2020, and from 4/29/2020 to 6/29/2020. This multi-year grant ended on 6/29/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	57,958	57,958	57,958	57,958	57,958	0	0
Beginning Cash Balance	0	3	31	(0)	1,000	(0)	(0)
Revenues	29,854	41,520	47,048	55,000	0	0	0
Expenditures	29,851	41,491	47,080	54,000	1,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3	31	(0)	1,000	(0)	(0)	(0)
Encumbrances	0	7,375	54,000	1,000	0		
Unencumbered Cash Balance	3	(7,344)	(54,000)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Tobacco Program Control
 Legal Authority Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 15 586 H

Intended Purpose: To support the implementation of evidence-based environmental, policy, and systems interventions, strategies, and activities to reduce tobacco use, secondhand smoke exposure, tobacco-related disparities and associated disease, disability, and death.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion Office on Smoking and Health

Current Program Activities/Allowable Expenses: Implementation of: State and community interventions; mass-reach health communication interventions; cessation interventions; surveillance and evaluation; infrastructure, administration, and management.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: The decrease in expenditure is due to vacancies in the tobacco programs section. This grant eded on 6/29/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	900,000	900,000	900,000	896,765	896,765	0	0
Beginning Cash Balance	18,525	17	4,390	348	5,008	0	0
Revenues	693,913	889,316	789,079	572,666	193,983	0	0
Expenditures	712,421	884,943	793,121	568,006	169,764	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17	4,390	348	5,008	29,228	0	0
Encumbrances	1,466	882	186,364	55,211	0		
Unencumbered Cash Balance	(1,450)	3,508	(186,016)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Injury Prevention and Control, PHHS Block Grant
 Legal Authority: Part A, Title XIX, Public Health Service Act

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S 587 H

Intended Purpose: Develop, promote, coordinate & evaluate projects & policies that lead to the reduction of injury deaths, hospitalizations & costs.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Collect, analyze, report data on causes of injury morbidity & mortality/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: Position Vacancy (#117822) in FY 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,000	90,000	90,000	90,000	90,000	90,000	90,000
Beginning Cash Balance	0	71	48	0	18	(0)	(0)
Revenues	48,950	90,501	69,438	86,762	93,707	90,000	90,000
Expenditures	48,879	90,524	69,486	86,744	93,725	90,000	90,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	71	48	0	18	(0)	(0)	(0)
Encumbrances	10,166	76	3,500	3,725	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 907
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS
 Legal Authority Act 164, SLH 2011, as amended by ACT, 106, SLH 2012
Public Health Service Act, various sections, as amended

Contact Name: Betty Wood
 Phone: 586-4530
 Fund type (MOF) P
 Appropriation Acct. No. S 588

Intended Purpose:

Preventive Health and Health Services Block Grant (PHHSBG) - this grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. This grant number was S-228-H then changed to S-588-H. Going forward the assumption is this grant number will be S-588-H. This grant period ends annually on 9/30.

Current Program Activities/Allowable Expenses:

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Variance in expenditures is due to the establishment of new positions and hiring of staff.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,603	600,603	600,603	600,603	800,000	800,000	800,000
Beginning Cash Balance	(0)	63	377	57,571	59,351	61,132	62,912
Revenues	270,850	502,046	885,599	762,606	762,606	762,606	762,606
Expenditures	270,787	501,732	828,405	760,825	760,825	760,825	760,825
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	63	377	57,571	59,351	61,132	62,912	64,693
Encumbrances	93,911	57,534	183,436	100,408			
Unencumbered Cash Balance	(93,848)	(57,157)	(125,865)	NA	NA	62,912	64,693

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Preventive Hlth and Hlth Svcs Block Grant – Sexual Assault Prev Prog
 Legal Authority: Part A, Title XIX, PHS Act, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 589 H

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and immigrants to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. Collaborate on public awareness activities with Hawaii Coalition Against Sexual Assault.
- C. To collaborate and plan with the Sexual Violence Primary Prevention Planning Committee for such activities as a needs assessment of attitudes about sexual violence among selected communities.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance in revenues and expenditures between FY 2018-19 is due to a substantial increase in the amount of financial assistance provided from OPPPD, the grant administrator, to FHSD, for various FHSD programs. The increase in ceiling, revenues, and expenditures for FY2019-2020 is to reflect the higher level of support FHSD recieved from this block grant. From FY2021 onward, FHSD anticipates receiving approximately \$210,000 to support FHSD/MCHB programs only. The decrease in ceiling, revenues, and expenditures from FY2021 reflects this change.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,000	40,000	40,000	370,000	300,000	300,000	300,000
Beginning Cash Balance	0	22	65	0	0	0	0
Revenues	12,040	77,911	163,704	252,118	170,000	210,000	210,000
Expenditures	12,018	77,868	163,769	252,118	170,000	210,000	210,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	22	65	0	0	0	0	0
Encumbrances	0	0	138,488	265,596	40,000	30,000	30,000
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: WIC MIS PROJECT FUNDING

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P

Legal Authority Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 590 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to implement a MIS system to transfer existing data from and replace WIC's database called "SWICH".

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office/WIC Grants to States Technology funding.

Current Program Activities/Allowable Expenses: Initial funding for Phase I - Transfer and Replacement of MIS database. Funding completely expended, Phase I completed, project rolled out by 5/31/2017. Grant closeout reports completed.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variations: Grant closed in FY 2017.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,495,818	0	0	0			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	150,269	0	0	0			
Expenditures	150,269	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Primary and Behavioral Health Care Integration Project
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF): P
 Appropriation Acct. No.: S 592 H

Intended Purpose: The purpose of the grant is to improve the physical health status of adults who have severe and persistent mental illness through the provision of primary and specialty care medical services.

Source of Revenues: Hawaii's Primary and Behavioral Health Care Integration Project grant

Current Program Activities/Allowable Expenses: Activities include the provision of primary and specialty care medical services and support services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to the inability to establish and fill grant funded positions. The grant ended on 9/29/18, negative revenue in FY 19 reflects return of unspent grant funds to grant manager.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0			
Beginning Cash Balance	672	1	497	0	0	0	0
Revenues	18,334	24,818	(497)	0	0	0	0
Expenditures	19,005	24,322	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	497	0	0	0	0	0
Encumbrances	2,817						
Unencumbered Cash Balance	(2,816)	497	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Hawaii National Violent Death System (NVDRS) Project
 Legal Authority: Centers for Disease Control's National Violence Death Reporting Project

Contact Name: Alvin T. Onaka, Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) N
 Appropriation Acct. No. S-593-H

Intended Purpose: Improve violent death data collection and reporting in the State of Hawaii

Source of Revenues: Competitive federal grant award

Current Program Activities/Allowable Expenses: Activities include working with local law enforcement, the Medical Examiner's office on Oahu and Medical coroners on the neighbor islands to discuss the collection and reporting of violent death (ie: murders and suicides) information. This includes meetings on all islands and data sharing agreements.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: FY16 to FY17 and FY17 to FY18 due to delays in procurement. Project end date 8/31/2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	20	5	0	0	0	0	0
Revenues	126,628	55,431	52,383	6,137	0	0	0
Expenditures	126,643	55,436	52,383	6,137	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5	0	0	0	0	0	0
Encumbrances	25,000	1,640	21,144				
Unencumbered Cash Balance	(24,995)	(1,640)	(21,144)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Tuberculosis Control & Elimination
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 594 H

Intended Purpose: To prevent and reduce the incidence of tuberculosis (TB) in the State of Hawaii

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: TB outbreak and control activities, laboratory and direct observed therapy services

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance between FY19 and FY20 was due to a single year funding decrease in FY2019. The grant funding resume to the normal level in FY20 and is estimated to become stable in FY2021 and moving forward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,456,362	2,092,342	936,351	4,572,597	1,068,000	1,068,000	1,068,000
Beginning Cash Balance	22,100	49	2,917	482	46	46	46
Revenues	1,067,245	1,005,785	928,425	1,089,906	1,067,483	1,068,000	1,068,000
Expenditures	1,089,296	1,002,917	930,860	1,090,342	1,067,483	1,068,000	1,068,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance	49	2,917	482	46	46	46	46
Encumbrances			344	717			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>HEALTH, Family Health Service Division</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Maternal, Infant and Early Childhood Home Visiting Program (MIECHV proj: 000602)</u>	Fund type (MOF)	<u>P</u>
Legal Authority	<u>Patient Protection and Affordable Care Act, P.L. 111-148</u>	Appropriation Acct. No.	<u>S 595 H</u>

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses: These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: Final budget period ended 9/30/17; grant closed FY 2018.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	80	117	0	0	0	0	0
Revenues	727,741	272,501	0	0	0	0	0
Expenditures	727,704	272,618	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	117	0	0	0	0	0	0
Encumbrances	132,219	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>HEALTH, Family Health Service Division</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Maternal, Infant and Early Childhood Home Visiting Program (MIECHV proj: 000753)</u>	Fund type (MOF):	<u>P</u>
Legal Authority:	<u>Patient Protection and Affordable Care Act, P.L. 111-148</u>	Appropriation Acct. No.:	<u>S 596 H</u>

Intended Purpose: To continue to make significant progress under the MIECHV program towards implementing a high-quality home visiting program as part of a comprehensive early childhood system and maintain existing home visiting programs which have proven to be effective for eligible families or communities or incrementally expand the capacity to address additional unmet need.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families.

Current Program Activities/Allowable Expenses: These funds provide ongoing support to high-quality evidence-based home visiting programs and expand evidence-based home visiting programs, in whole or in part, through the MIECHV program by increasing enrollment and retention of families served. MIECHV funds support the Hawaii Home Visiting Network (HHVN), a public/private partnership of evidence-based home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. The Department of Health (DOH) uses MIECHV funds to support Early Identification (EID) services prenatally and in birthing hospitals which screen families for child maltreatment risk factors.

Families who are identified to be at risk are voluntarily referred to HHVN partners who provide a comprehensive array of services that promote parental competence and successful early childhood health and development. In addition to supporting the HHVN EID and direct home visiting services, these funds provide expanded resources to: embarking on two year evolution of home visiting program expansion, recruitment, enrollment, retention and quality improvement with Johns Hopkins University; launching a Brand Awareness/Social Media Campaign to increase recruitment, enrollment and retention; create a training institute with established Home Visitor Competencies. Strengthening relationships between home visiting, Department of Human Services, Child Welfare Services and early childhood community through shared experiences in the training institute and implementation of the State's Continuous Quality Improvement (CQI) Plan.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling to be established in the Budget Details in the Supplement budget effective 7/1/16.

Variations: This MIECHV Expansion grant began 3/1/15. The entire grant award was 8,430,783 and expired on 9/30/2017. Appropriation code from FY 2019 is S 529 H.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,430,783	2,836,945	8,430,783	0	0	0	0
Beginning Cash Balance	131,947	38	0	0	0	0	0
Revenues	3,241,657	2,558,458	0	0	0	0	0
Expenditures	3,373,566	2,558,496	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	38	0	0	0	0	0	0
Encumbrances	2,032,817	151,254	0	17,846	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOH
 Prog ID(s): HTH131/DB (Office of Public Health Preparedness now HTH 907/AK)
 Name of Fund: HPP Ebola Preparedness and Response Activities
 Legal Authority: PHSA, Sec 21, 42 USC 243

Contact Name: Judy K. Kern
 Phone: (808) 587-6372
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-597 H

Intended Purpose: In partnership with referral hospitals and the local healthcare coalition, DOH will conduct an Ebola virus disease/infectious disease preparedness and response program to improve the State healthcare system's readiness for a possible Ebola/infectious disease outbreak.

Source of Revenues: Federal Funds.

Current Program Activities/Allowable Expenses: Funds will be used to support healthcare facilities and the healthcare coalition to develop consistent plans and capacity regarding identification, isolation, transport, and management of suspected or confirmed patients infected with Ebola or other infectious disease.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: Project period from FY16 through FY20. Grant expired on 5/17/20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	13,016	55,603	1,393	83,755			
Expenditures	13,016	55,603	1,393	83,755			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			75,337				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii SBIRT
 Legal Authority: Section 509 Public Health Service Act, as amended.

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 600 H

Intended Purpose: To implement screening, brief intervention, and referral to treatment (SBIRT) services for adults in primary care and community health settings for substance misuse and substance use disorders.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to serve a minimum of 35,000 residents. Project services are designed to develop, expand, and enhance infrastructure to fully integrate SBIRT in six Federally Qualified Health Centers (FQHC) in Hawaii and up to twenty-five small group primary care practices (PCP) over five years and to establish the SBIRT model as a standard of care statewide. The SBIRT program seeks to address behavioral health disparities by encouraging the implementation of strategies, such as SBIRT, to decrease the differences in access, service use, and outcomes among the populations served. Implementing the SBIRT will aid in improving overall health outcomes, reducing the negative impact on health, and reducing healthcare costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Five year project period from 09/30/2016 to 09/29/2021. The variance for FY17 revenues and expenditures are due to 1) POS contracts for the first project year were not executed until later in the year, so expenditures were lower than anticipated and 2) SAMHSA allowed funds not spent in the initial contract year to be carried over to the next project year FY18 which were allocated to the service contracts. The variances in FY18 revenues and expenditures are due to the purchase of service contracts starting to provide services however the scope of the services was limited. While services increased, it did not increase to its full capacity. ADAD reissued the Request for Proposals in FY18 and new contracts were executed to start in FY19. The variances in FY19 to FY20 revenues and expenditures are due to 1) the award amount for the budget year will revert to the original award amount and 2) the anticipated expanded scope of services in purchase of service contracts and the anticipation that the funds will be fully expended.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,658,375	1,658,375	1,845,431	1,615,212	1,860,492	1,860,492	1,860,492
Beginning Cash Balance	0	0	188	50,000	7	7	7
Revenues	12,840	551,401	1,258,356	2,026,480	1,860,492	1,860,492	1,860,492
Expenditures	12,840	551,213	1,208,544	2,076,473	1,860,492	1,860,492	1,860,492
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	188	50,000	7	7	7	7
Encumbrances	1,419,488	1,203,240	974,621	708,921	0	0	0
Unencumbered Cash Balance	(1,419,488)	(1,203,052)	(924,621)	NA	7	7	7

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>HEALTH</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Hawaii Birth Defects Surveillance, Intervention, and Follow-Up for Zika Virus</u>	Fund type (MOF):	<u>P</u>
	<u>Sections 243, 247b (k) and 247b-4 of the Public Health Service</u>		
Legal Authority:	<u>(PHS) Act, as amended</u>	Appropriation Acct. No.:	<u>S 603 H</u>

Intended Purpose: Surveillance, intervention, and referral to services activities for infants with microcephaly or other adverse outcomes linked with the Zika virus.

Source of Reven DHHS, Centers for Disease Control and Prevention

Current Program Activities: Case ascertainment; implementation of a secure web based birth defects data system; integration of BD data system with other EI and child services' data systems; clinical cases reviews by a clinical geneticist; coordinating communication and activities in the Family Health Services Division to promote Zika-related information and access to programs for infants and children with birth defects associated with Zika; referral to services; and infant follow-up until one year of age for developmental outcomes of babies identified with microcephaly and select CNS defects.

Purpose of Proposed Ceiling Adjustment (if applicable): Raised the ceiling from \$400,000 to \$600,000 in FY 2017 after receiving a \$200,000 supplemental award

Variances: The award was originally for a 3-years, \$400,000 per year with a Project Period of 8/1/16 - 7/31/19. In FY 2017 an additional \$200,000 Supplemental Award was received on 12/17/16. In FY 2018 the Centers for Disease Control and Prevention (CDC) announced that funding for the Year 2 Continuation is not available with Instructions for closeout effectively ending the budget/project period on 7/31/17. Subsequently, a 24-month No-Cost extension was approved to draw down the remaining funding. Grant ended 7/31/2019. No further award is anticipated from FY2020 onward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	0	0	0	0
Beginning Cash Balance	0	4	48	1,883	0	0	0
Revenues	41,225	71,830	83,915	24,175	0	0	0
Expenditures	41,221	71,787	82,080	26,057	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4	48	1,883	0	0	0	0
Encumbrances		46,096	14,397	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: National Cancer Prevention & Control Program
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 604 H

Intended Purpose: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured; Develop and implement statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation.

Source of Revenues: Centers for Disease Control, Division of Cancer Prevention and Control

Current Program Activities/Allowable Expenses: Cancer screening, diagnostics, monitoring, followup, treatment, education, and outreach; maintain coalitions, surveillance and implementation of the State Plan; support advocacy and awareness initiatives.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Contracts or services executed in FY2019 were extended in FY2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,252,168	1,252,168	1,148,644	1,148,644	1,148,644	1,148,644
Beginning Cash Balance	0	0	32	(0)	26	26	26
Revenues		445,400	1,188,315	1,022,013	1,148,644	1,148,644	1,148,644
Expenditures		445,368	1,188,347	1,021,987	1,148,644	1,148,644	1,148,644
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	32	(0)	26	26	26	26
Encumbrances			644,010	568,808	214,851		
Unencumbered Cash Balance	0	32	(644,010)	NA	NA	26	26

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Wraparound Program for Youth In or At-Risk of Mainland Placement
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 605 H

Intended Purpose: To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally Funded Grant from SAMHSA

Current Program Activities/Allowable Expenses: Returning children and youth who are currently placed in out-of-state residential treatment facilities back to their home communities and preventing impending out-of-state placements when possible, utilizing a team decision-making model, fostering family and client strengths, creative problem-solving, as well as, providing clinical resources and consultation to ensure implementation of evidence based treatment.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances:

FY2018-2019 The revenues and expenditures increased due to the increases in activities such as contracts and travel.

FY2020-The revenues and expenditures increased due to the increased activities.

FY2021-The revenues and expenditures are expected to decrease due to the grant ending as of September 29, 2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,523,715	8,017,945	7,148,979	5,732,326	4,086,419	0	0
Beginning Cash Balance	0	55	32	2,361	1,005	498	498
Revenues	80,900	868,943	1,418,982	1,644,551	661,950	0	0
Expenditures	80,845	868,966	1,416,653	1,645,907	662,457	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	55	32	2,361	1,005	498	498	498
Encumbrances	175,017	164,377	724,867	813,281			
Unencumbered Cash Balance	(174,962)	(164,345)	(722,506)	NA	498	498	498

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: The Hawaii DPPI P&D Project
 Legal Authority: SEC 301, 317, & 391A 42USC241, 247B & 280B-B3

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S-17-607 H

Intended Purpose: Advance state-level prevention for drug abuse, misuse and overdose, the leading mechanism of injury-related mortality in Hawaii.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Improve epidemiology of opioid poisonings & strategic planning for their prevention/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 below.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	870,000	290,000	290,000	0	0	0	0
Beginning Cash Balance	0	36	52	162	0	0	0
Revenues	31,825	302,564	324,497	175,078	0	0	0
Expenditures	31,789	302,547	324,387	175,240	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	36	52	162	0	0	0	0
Encumbrances	121,449	93,162	58,784	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2020 Beginning Balance: MBP477-A OPTION:2, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2020 Encumbrances (Unliquidated Balance): MBP490-A, CY20, CM06, as of 06/30/20, State of Hawaii Financial Accounting & Management Information System.

Note 3: The Project Period for this grant is from 09/01/2016 through 08/31/2019.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: Multipurpose Grant Program-Clean Air
 Legal Authority Consolidated Appropriations Act 2016; Public Law No: 114-113; 2 CFR 200, 2 CFR 1500, and 40 CFR 33; Request to Expend Non-Appropriated Other Federal Funds--Governor approved 10/11/16

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 608 H

Intended Purpose: Ensure air pollution sources are properly regulated and are in compliance with all permit conditions, standards, and regulations.

Source of Revenues: Federal grant funds from Environmental Protection Agency.

Current Program Activities/Allowable Expenses: Replace, operate, and maintain ambient air quality monitoring equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New grant award in FY 17. One-time grant award. Non-appropriated. Award end date was 9/30/2018.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	185,460	194,733	0	0	0	0	0
Beginning Cash Balance	0	0	107	0	0	0	0
Revenues	0	29,750	155,710	0	0	0	0
Expenditures	0	29,643	155,817	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	107	0	0	0	0	0
Encumbrances		102,502	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 2 AAAs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 609 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends at the end of 2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	33,278	33,278	52,101	39,185	39,185	0	0
Beginning Cash Balance	0	0	0	0	5,000	0	0
Revenues	0	19,563	13,715	77,571	0	0	0
Expenditures	0	19,563	13,715	72,571	5,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	5,000	0	0	0
Encumbrances	0	13,715	0	5,000		0	0
Unencumbered Cash Balance	0	(13,715)	0	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 3 ADRCs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 610 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends at the end of 2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,145	28,145	50,586	24,352	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	1,423	26,722	48,216	0	0	0
Expenditures	0	1,423	26,722	48,216	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	26,722	0	0	0	0	0
Unencumbered Cash Balance	0	(26,722)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 1 SHIPs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 611 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ends at the end of 2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	91,432	91,432	139,495	70,652	0	0	0
Beginning Cash Balance	0	0	2,200	6,316	(0)	(0)	(0)
Revenues	0	23,400	90,432	117,515	0	0	0
Expenditures	0	21,200	86,316	123,831	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,200	6,316	(0)	(0)	(0)	(0)
Encumbrances	37,511	70,232	34,000	0	0	0	0
Unencumbered Cash Balance	(37,511)	(68,032)	(27,684)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii WIC Implementation MIS Replacement Project FFY 2017 Funding
Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C 1786.
Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C.
 Legal Authority 1756.

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P
 Appropriation Acct. No. S 612 H

Intended Purpose: Implementation of Hawaii WIC MIS Transfer and Replacement MIS System.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services Technology Funding.

Current Program Activities/Allowable Expenses: Project Manager (Maximus) and Database Hosting (cQuest) contractor payments.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: Short-term funding received from USDA was expended on contractor invoiced. Grant Closed 9/30/18.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	245,486	245,486	230,000	0	0	0	0
Beginning Cash Balance	0	0	122	0	0	0	0
Revenues	0	66,407	4,271	0	0	0	0
Expenditures	0	66,285	4,393	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	122	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Multipurpose Grant Program-SHWB

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P

Legal Authority Consolidated Appropriations Act 2016; Public Law No: 114-113; 2 CFR 200, 2 CFR 1500, and 40 CFR 33; Request to Expend Non-Appropriated Other Federal Funds--Governor approved 4/27/17

Appropriation Acct. No. S 613 H

Intended Purpose: Provide resources related to implementation of the Red Hill Bulk Fuel Storage Facility Administrative Order on Consent.

Source of Revenues: Federal grant funds from Environmental Protection Agency.

Current Program Activities/Allowable Expenses: Personnel, travel, equipment, supplies, and other costs to attend meetings, review and comment on draft documents, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New grant award in FY 17. This was anticipated to be a one-time grant award, but another award was received in FY 20. Non-appropriated.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		99,863	0	114,404	50,000	120,125	126,131
Beginning Cash Balance	0	0	115	0	0	0	0
Revenues		75,725	24,138	0	0	0	0
Expenditures		75,610	24,253	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	115	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii Opioid STR
 Legal Authority: Section 1003 21st Century Cures Act, as amended

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 614 H

Intended Purpose: To implement the Hawaii State Targeted Response to the Opioid Crisis (Hawaii STR) to include addressing the opioid crisis by increasing access to treatment, reducing unmet treatment needs, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for opioid use disorder.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: The project expects to increase access to opioid treatment, and reduce opioid overdose related deaths through the provision of prevention, treatment, and recovery activities for opioid use disorder (OUD) (including prescription opioids as well as illicit drugs such as heroin). The Hawaii STR grant seeks to prevent further effects of opioid use and avert further opioid crisis in the State of Hawaii. The Hawaii STR has three goals: 1) Increase opioid treatment for over 400 individuals; 2) Expand services to areas in the state with the most unmet need such as Kauai Island; 3) Implement and expand proven and effective policies and strategies related to opioids, such as use of Prescription Drug Monitoring Program (PDMP).

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable. Short term federal award.

Variances: Two year project period from 5/1/2017 to 4/30/2019 and no-cost extension from 5/1/19 to 4/30/20. The variances for FY2018 to FY2019 revenues and expenditures are due to the difference in the amount of the award spent for the first year (1,020,758 of 2,000,000) and the allocation of the funding for year 2 of the project. The variance for FY 2020 is the unspent balance in year 1 was approved in the no cost extension. Grant ended 4/30/20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,000,000	2,000,000	0	0	0	0
Beginning Cash Balance	0	0	0	0	39,875	39,875	39,875
Revenues	0	1,020,758	1,431,468	1,534,502	0	0	0
Expenditures	0	1,020,758	1,431,468	1,494,627	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	39,875	39,875	39,875	39,875
Encumbrances		90,166	162,648	6,534	0	0	0
Unencumbered Cash Balance	0	(90,166)	(162,648)	NA	39,875	39,875	39,875

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii YT-I (Youth Treatment Implementation)
 Legal Authority: Section 509 Public Health Service Act, as amended

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 615 H

Intended Purpose:

To improve treatment for adolescents and /or transitional aged youth with substance use disorders (SUD) and/or co-occurring substance use and mental disorders by assuring youth state-wide access to evidence-based assessments, treatment models, and recovery services supported by strengthening the existing infrastructure system.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT)

Current Program Activities/Allowable Expenses:

The Hawaii YT-I project has seven goals and expects to serve 72 clients per year: 1) Expand and enhance SUD treatment services for the population of focus; 2) Involve families, adolescents, and transitional aged youth at the state level to inform policy, program, and effective practice; 3) Expand the qualified workforce; 4) Disseminate evidence-based practices (EPBs); 5) Develop funding and payment strategies that support EBPs in the current funding environment; 6) Improve interagency collaboration; 7) Measure successful implementation of the Hawaii YT-I using Government Performance and Results Act (GPRA) outcome measures.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Four year project period from 9/30/2017 to 9/29/2021. The variances for FY 2018 to FY 2019 revenues and expenditures result from POS contracts for the first project year not being executed until later in the year, so expenditures were lower than anticipated. The variances in FY 2019 to FY 2020 revenues and expenditures are due to the increased services provided in purchase of service contracts. In FY 2020, it is anticipated to expend the full award amount.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	785,000	785,000	785,000	785,000	785,000	785,000
Beginning Cash Balance	0	0	0	2,200	(0)	(0)	(0)
Revenues	0	1,281	293,499	540,979	785,000	785,000	785,000
Expenditures	0	1,281	291,299	543,179	785,000	785,000	785,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	2,200	(0)	(0)	(0)	(0)
Encumbrances			125,480	256,237	0	0	0
Unencumbered Cash Balance	0	0	(123,280)	NA	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH710/MB
 Name of Fund: HI NAHLN FY17 LEVEL 3 MEMBER LAB AGREEM
 Legal Authority: Act 53, SLH 2018

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF): P
 Appropriation Acct. No.: S-18-618 H

Intended Purpose: The purpose of this agreement is to provide infrastructure funding to help support participation in NAHLN.

Source of Revenues: United States Department of Agriculture, Animal and Plant Health Inspection Service

Current Program Activities/Allowable Expenses: To maintain Surveillance assessment to maintain ISO 17025. Expenses are for proficiency testing and supplies

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues and expenditures are due to timing of procurement and reimbursement.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		50,000	50,000	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	21,394	16,831	0	0	0	0
Expenditures	0	21,394	16,831	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
02/28/17 JS3930	0						
02/28/17 JS3930	0						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		0					
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Childhood Lead Poisoning Prevention Program
Section 317 (k) (3) of the Public Health Service Act, [42 U.S.C. 247b (k)
 Legal Authority (3)]

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 619 H

Intended Purpose: Hawaii Chidhood Lead Poisoning Prevention

Source of Revenues: DHHS Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Encouraging lead screening and testing; tracking childhood lead poisoning cases in an electronic surveillance system; managing, collecting, analyzing and reporting data regarding blood lead levels; technical assistance and consultation to health care providers; follow-up with families for children with elevated blood lead levels; coordinating home visits with public health nurses; referral to developmental screening programs and early intervention services; identifying potential lead hazards; outreach, education, and training to health care providers, state/community programs, social groups, nonprofits, preschools, childcare facilities, and families; building and maintaining a diverse coalition to support and inform program goals.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: Grant began 9/30/2017 (FY 2018) but the program activities, including contract and personnel expenditures, started to ramp up in FY 2019 which explains the variance between FY 2018-19. Personnel staff secured for FY20 with new contracts executed for outreach and education. Grant approval in process for 4 additional years commencing September 2021 possibly at prior award levels of \$400k.

Financial Data							
	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (actual)	FY 2020 (actual)	FY 2021 (estimated)	FY 2022 (estimated)	FY 2023 (estimated)
Appropriation Ceiling	0	400,000	400,000	400,000	480,000	480,000	480,000
Beginning Cash Balance	0	0	34	0	15	15	15
Revenues	0	13,295	338,387	488,380	480,000	400,000	400,000
Expenditures	0	13,261	338,421	488,364	480,000	400,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	34	0	15	15	15	15
Encumbrances	0	0	102,249	131,448	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Audit Sub-award to DLNR/CWRM

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P

Legal Authority Safe Drinking Water Act: Sec. 1452; Act 169, SLH 2016; Request to Expend Non-Appropriated Federal Funds--Governor approved 12/6/17

Appropriation Acct. No. S 620 H (see also S 209 H)

Intended Purpose: Support the implementation of Act 169, SLH 2016, which authorizes and requires the Commission on Water Resource Management to establish a water audit program to provide technical assistance to public water systems to conduct standardized water audits. Reduce the volume of water loss.

Source of Revenues: Federal grant funds from Environmental Protection Agency; sub-award from Safe Drinking Water State Revolving Fund capitalization grant, which is MOF W.

Current Program Activities/Allowable Expenses: Activities to establish a program to implement standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Non-appropriated. Fund was established as MOF N in FY 17, but was changed to MOF P for FY 18 and FY 19. Subaward was for 3 years of funding.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		250,000	150,000	0	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues		0	169,155	155,835	75,010	0	0
Expenditures		0	169,155	155,835	75,010	0	0
Transfers							
List each net transfer in/out; list each account number							
JS5242 dtd 05/31/18 JS5452 dtd 06/14/18		23,600					
JS5242 dtd 05/31/18 JS5452 dtd 06/14/18		(23,600)					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii WIC EBT Implementation Project
Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C 1786.
Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C.
 Legal Authority 1756.

Contact Name: Paul Uchima
 Phone: 586-8190
 Fund type (MOF) P

Appropriation Acct. No. S 621 H

Intended Purpose: Implementation of EBT "eWIC" card and related services.

Source of Revenues: USDA/Food and Nutrition Services/Western Region Office/WIC Grants to States Technology Funding

Current Program Activities/Allowable Expenses: Project Management, IV & V contractor and Services Provider support, MIS Database code merge, UPC collection, Bank Identification Number, Travel, equipment and Supplies.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: **This grant closed on September 30, 2020.** The Appropriation Ceiling is based on a three-year project period. The variance in revenues and expenditures between FY 2018-19 is due to the time involved executing the three (3) contracts related to this project and subsequent receipt of invoices and the timing of draw-down's from the federal grant. The variance between FY 2019-20 is due to increased program/contract activity as the WIC implementation Project was rolled out statewide. This account ended 9/30/20, no further revenues or expenditures anticipated.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	1,694,451	1,694,451	1,694,451	1,694,451	0	0
Beginning Cash Balance	0	0	2,498	11	21,030		
Revenues	0	15,554	265,261	669,546	138,151	0	0
Expenditures	0	13,056	267,749	648,527	138,113	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,498	11	21,030	21,068	0	0
Encumbrances	0	261,780	888,464	290,943	290,943	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	153,039	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 3 ADRCs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 622 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ended

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	22,642	22,642		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	22,642		0	0	0
Expenditures	0	0	22,642		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 1 SHIPs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 623 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ended

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	65,810	65,810		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	65,810		0	0	0
Expenditures	0	0	65,810		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	65,810	0	0	0	0	0
Unencumbered Cash Balance	0	(65,810)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA Priority Area 2 AAAs
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 624 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Offers one on one counseling and assistance to people with Medicare.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ended

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	36,413	36,413		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	36,413		0	0	0
Expenditures	0	0	36,413		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: State of Hawaii Dementia Capable Service Systems
 Legal Authority: 42 USC 280c-3

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 625 H

Intended Purpose: Creating dementia capable, sustainable service systems for persons with dementia

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Dementia training facilitation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Due to late contract encumbrances.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	674,324	602,617	378,094	378,094	378,094	378,094
Beginning Cash Balance	0	0	293	4,500	19,803	0	0
Revenues	0	45,000	228,729	295,549	45,000	45,000	45,000
Expenditures	0	44,707	224,522	280,246	64,803	45,000	45,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	293	4,500	19,803	0	0	0
Encumbrances	0	291,072	161,596	61,122		0	0
Unencumbered Cash Balance	0	(290,779)	(157,096)	NA	NA	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH131DA
 Name of Fund: Emergency Medical Services for Children Partnership (EMSC)
 Legal Authority: PHS Act, Title XIX 1910 (42 U.S.C. 300w-9), as amended

Contact Name: Jade DeCosta
 Phone: (808) 587-6592
 Fund type (MOF): P
 Appropriation Acct. No.: S-626-H

Intended Purpose: The purpose of the EMSC State Partnership Program is to help bring focus and support to enhancing and improving Hawaii's pediatric trauma and injury care capacity and thereby assure all children will receive appropriate and timely care.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds are used to support personnel costs, continuing education training, specialty equipment, and travel to and participation in grantee/advisory meetings.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variations: When grant was received there were only 2mo remaining in FY18 to hire grant coordinator so zero spent. In FY19 there was difficulty finding qualified candidates so the grant allowed for a carryover of funds into FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	130,000	130,000	130,000	130,000	130,000	130,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	1,417	202,161	130,000	130,000	130,000
Expenditures	0	0	1,417	202,161	130,000	130,000	130,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			1,474				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Crisis Counseling Immediate Services
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 627 H

Intended Purpose: The purpose of this grant is to provide short-term crisis counseling to individuals impacted by a Presidentially-declared major disaster that includes individual assistance.

Source of Revenues: Crisis Counseling Assistance and Training Program

Current Program Activities/Allowable Expenses: Activities include the provision of short-term crisis counseling to respond to the immediate behavioral health needs of people affected by the disaster.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant ended on 8/13/18.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			273,123		0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	81,912		0	0	0
Expenditures	0	0	81,912		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	161,176				
Unencumbered Cash Balance	0	0	(161,176)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Primary Care Office
 Legal Authority: Sections 330D, 330(l), and 333(m), 333(d) of the PHS Act

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 521 H and S 628 H from FY 19

Intended Purpose: The goal of this grant is to improve primary care service delivery and workforce availability to meet the needs of underserved populations in Hawaii. Primary partners in this endeavor include the Bureau of Health Professions and Clinician and Recruitment Services of HRSA, DHHS; FHSD; Health Resources Administration, Hawaii State Department of Health, and the Hawaii Primary Care association (HPCA)

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: The program's five required overarching goals that strengthen the statewide primary care system are to: (1) Demonstrate organizational effectiveness and foster collaboration by establishing and maintaining public and private partnerships, participation in national conference calls initiated by the Bureau of Clinician and Recruitment Services, and the Shortage Designation Branch, convening of the Primary Care Provider Network forum, and attendance at required meetings held with the PCO Project Officer, PCO annual meeting, and HRSA's all grantee meeting throughout the project period; (2) Provide technical assistance to organizations/communities wishing to expand access to primary care for underserved populations; (3) Conduct primary care needs assessment for the production of the primary care needs assessment databook, and sharing data with the HPCA and other entities; (4) Facilitate workforce development for the National Health Service Corps (NHSC) and safety net/health center network through the evaluation and recommendation of recruitment and retention assistance applications, NHSC site monitoring to evaluate compliance with agreements, maintenance of an inventory of eligible NHSC placement sites with current site profiles, and facilitation of placement of NHSC providers according to needs of Health Center Network; and (5) submission of Health Professional Shortage Area designations and re-designation applications.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: The variance between FY2018-19 and FY2019-20 reflects actual expenditures of carry over funds in different budget periods. This account was under UAC S-628-H (FY 16-18) but grant is now under UAC S-628-H per A53/SL18. Variances do not exceed 10%.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	178,179	178,179	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	5,556	53	918	0	0	0	0
Revenues	177,142	196,479	172,685	162,459	165,000	165,000	165,000
Expenditures	182,645	195,614	173,603	162,459	165,000	165,000	165,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	53	918	0	0	0	0	0
Encumbrances	0	1,634	50	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: Diabetes, Heart Disease, Stroke
 Legal Authority: Act 053, SLH 2018

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 629 H

Intended Purpose: Improve the health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke-Financed in part by 2018 Prevention and Public Health Funds (PPHF).

Source of Revenues: Center for Disease Control

Current Program Activities/Allowable Expenses: Improve the prevention and management of type 2 diabetes and cardiovascular disease through increased access to evidence-based programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant started 9/30/2018.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,468,850	1,468,850	1,468,850	1,468,850	1,468,850
Beginning Cash Balance	0	0	0	1,982	42	42	42
Revenues			367,298	1,101,902	1,146,850	1,468,850	1,468,850
Expenditures			365,316	1,103,842	1,146,850	1,468,850	1,468,850
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,982	42	42	42	42
Encumbrances				625,784	625,784		
Unencumbered Cash Balance	0	0	1,982	NA	NA	42	42

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Strategic Prevention Framework-Partnerships for Success (HI-SPF-PFS)
 Legal Authority: Section 516 PHS Act as amended

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF): P
 Appropriation Acct. No.: S 630 H

Intended Purpose:

Implementation of the Strategic Prevention Framework process at the state and community levels to promote alignment and coordination of resources to better address substance abuse prevention priorities.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Substance Abuse Prevention (CSAP)

Current Program Activities/Allowable Expenses: In collaboration with state and community level stakeholders, use data-driven decision making processes to develop and implement effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons ages 9 to 20.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Five year award from 9/30/18 to 9/29/23. The variance in anticipated FY 2020 revenues and expenditures are due to POS contracts recently executed to provide services statewide in the communities and the recent hiring of the project coordinator.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	2,017,630	2,014,630	2,014,630	2,014,630	2,014,630
Beginning Cash Balance	0	0	0	0	11	11	11
Revenues	0	0	7,379	740,858	2,014,630	2,014,630	2,014,630
Expenditures	0	0	7,379	740,847	2,014,630	2,014,630	2,014,630
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	11	11	11	11
Encumbrances				1,331,093	0	0	0
Unencumbered Cash Balance	0	0	0	NA	11	11	11

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii State Opioid Response (SOR)
 Legal Authority: Title II Division H of the Consolidated Appropriations Act, 2018

Contact Name: Amihan Aiona
 Phone: 692-7508
 Fund type (MOF) P
 Appropriation Acct. No. S 631 H

Intended Purpose:

To address the opioid crisis by increasing access to medication-assisted treatment, using the FDA-approved medications for the treatment of opioid use disorder (OUD), reducing unmet treatment need, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for opioid use disorder (OUD) (including prescription opioids, heroin and illicit fentanyl and fentanyl analogs).

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to increase access to opioid treatment, and reduce opioid overdose related deaths through the provision of prevention, treatment, and recovery activities for opioid use disorder (OUD) (including prescription opioids as well as illicit drugs such as heroin). The Hawaii STR grant seeks to prevent further effects of opioid use and avert further opioid crisis in the State of Hawaii. The Hawaii STR has three goals: 1) Increase opioid treatment for over 400 individuals; 2) Expand services to areas in the state with the most unmet need such as Kauai Island; 3) Implement and expand proven and effective policies and strategies related to opioids, such as use of Prescription Drug Monitoring Program (PDMP).

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable. Short term federal award.

Variances: Two year project period from 9/30/2018 to 9/29/2020 and one year no-cost extension to 9/29/2021. The variances in anticipated revenues and expenditures for FY 2020 are due to POS contracts recently executed to provide services statewide in the communities and recent hiring of program staff to implement the grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	6,143,788	4,036,648	0	0	0
Beginning Cash Balance	0	0	0	104,270	1,306	1,306	1,306
Revenues	0	0	355,296	1,893,353	4,500,000	0	0
Expenditures	0	0	251,026	1,996,318	4,500,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	104,270	1,306	1,306	1,306	1,306
Encumbrances			483,756	1,663,131	0	0	0
Unencumbered Cash Balance	0	0	(379,486)	NA	1,306	1,306	1,306

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Crisis Counseling Regular Services, Hawaii
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 632 H

Intended Purpose: The purpose of this grant is to provide supplemental emergency mental health counseling to individuals affected by major disasters, including the training of workers to provide such counseling.

Source of Revenues: Crisis Counseling grant

Current Program Activities/Allowable Expenses: Activities including the provision of crisis counseling and training of workers to provide such counseling.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant ended on 6/20/2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			949,137	347,143	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	601,993	62,172		0	0
Expenditures	0	0	601,993	62,172		0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	312,668				
Unencumbered Cash Balance	0	0	(312,668)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH710/MB
 Name of Fund: NAHLN Level 3 Designation Agreement
 Legal Authority: Act 53, SLH 2018

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-19-633 H

Intended Purpose: Provide the State Laboratories funding to support the tactical agrosecurity scientific capabilities, capacities and other functions of the National Animal Health Laboratory Network (NAHLN), funded under National Institute of Food and Agriculture's (NIFA) Food and Agriculture Defense Initiative (FADI).

Source of Revenues: U.S. Department of Agriculture and NIFA

Current Program Activities/Allowable Expenses: Various activities to maintain ISO accreditation. Expenditures for travel, supplies, and certification costs for ISO 17025 accreditation..

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The increase in revenues and expenditures in FY2021 is due to an increase in grant funding and projected expenditures.
Grant ended 08/31/2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			50,000	50,000	50,000	0	0
Beginning Cash Balance	0	0	0	0	2	(0)	(0)
Revenues			0	46,092	21,806	0	0
Expenditures			0	46,090	21,808	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	0	2	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 131
 Public Health Emergency Response: Cooperative Agreement for
 Emergency Response: Public Health Crisis Response
 Name of Fund: _____
 Legal Authority 311(c)(1) of the Public Health Service Act (42 USC 243 (c) (1))

Contact Name: Jade DeCosta
 Phone: (808) 587-6592

Fund type (MOF) P
 Appropriation Acct. No. S 637

Intended Purpose: The purpose of the Cooperative Agreement for the Emergency Response is to be a financial first stop gap to a public health crisis, in this case COVID-19.

Source of Revenues: Federal Funds

Current Program Activities/Allowable Expenses: Funds are used to support personnel costs, surge capacity costs, specialty equipment, quarantine costs, laboratory costs etc. all related to the response to COVID-19.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: When grant was received we only had 2.5mo remaining in FY20, grant was allowed to carryover to March of 2021 and will end.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				6,317,500	6,317,500	0	0
Beginning Cash Balance	0	0	0	0	9,537	9,537	9,537
Revenues				704,875	5,000,000	0	0
Expenditures				695,338	5,000,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	9,537	9,537	9,537	9,537
Encumbrances				1,136,914			
Unencumbered Cash Balance	0	0	0	N/A			

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Coronavirus State Hospital Improvement Program
 Legal Authority: Section 1820 (g)(3) of the Social Security Act, 42 U.S.C. 1395i-4

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 638

Intended Purpose: The funding through this award should be used to complement, not duplicate or supplant, other funds received through existing payment or other CARES Act programs supporting hospitals; funds are intended for expedient distribution to the Small Rural Hospital Improvement Program (SHIP) eligible hospitals. Grant budget/project ends 9/30/21.

Source of Revenues: U.s. Dept. of Health and Human Services Health Resources and Services Administration (HRSA)

Current Program Activities/Allowable Expenses: Small Rural Hospital Improvement Program (SHIP) eligible hospitals including indirect costs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: This account ends 9/30/21.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					843,170	843,170	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	500,000	343,170	0
Expenditures				0	500,000	343,170	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				505,902	343,170	0	
Unencumbered Cash Balance	0	0	0	N/A			

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Newborn Screening Data Projects
 Legal Authority: Sec 399M(b)(1) PHSA [42 U.S.C. 280g-1(b)(1)]
HRS§321-361: Statewide Newborn Hearing Screening Program

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 640 H

Intended Purpose: Hawaii Newborn Screening Data Project

Source of Revenue: U S Department of Health and Human Services, Centers for Disease Control and Prevention

Current Program Activities/Allowable Exp: By May 31, 2024, Early Hearing Detection and Intervention (EDHI) program grantees will have advanced their capacity to actively track infants to ensure they receive essential screening, diagnostic, and intervention services by optimizing the state EDHI information system and collaborating with other public health and community programs targeting the same population. The allowable expenses include salary for a Research Statistician, contracts for Hearing Screening data software and an audiologist consultant, and supplies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New 4-year non-appropriated grant (project period 7/1/20 - 6/30/24); anticipated award \$160,000 per year; commenced 7/1/2020

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					160,000	160,000	160,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues					160,000	160,000	160,000
Expenditures					160,000	160,000	160,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 460
 Name of Fund: Data to Wisdom
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 641

Intended Purpose: To improve the process of utilizing data to improve practices through building infrastructure and refining quality improvement practices while focusing on system of care principles.

Source of Revenues: Federally Funded Grant from SAMHSA

Current Program Activities/Allowable Expenses: Enhancing data-driven decision-making through utilization of clinical, administrative, and practice data, tools, and quality improvement processes, with an emphasis in system of care principles, keeping youth within their communities, and reducing out-of-home placements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY2021-The estimated revenues and expenditures are per NOA.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	2,954,977	2,845,414	2,984,059
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	2,954,977	2,845,414	2,984,059
Expenditures				0	2,954,977	2,845,414	2,984,059
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				505,902			
Unencumbered Cash Balance	0	0	0	N/A			

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 590
 Name of Fund: National and State Tobacco Control Program
 Legal Authority: 301(1) and 317(k)(2) of the federal Public Health Services Act

Contact Name: Lola Irvin
 Phone: 586-4481
 Fund type (MOF) P
 Appropriation Acct. No. S 642 H

Intended Purpose: Fund State Tobacco Control Program, and ensure quitline capacity during national media campaign.

Source of Revenues: Center for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Prevent initiation of commercial tobacco use among youth and young adults; eliminate exposure to secondhand smoke; promote quitting among adults and youth; and identify and eliminate tobacco-related disparities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant started 6/29/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	0	967,963	967,963	967,963
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			0	0	967,963	967,963	967,963
Expenditures			0	0	967,963	967,963	967,963
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0		
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Crisis Counseling Immediate Svcs - COVID
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 651 H

Intended Purpose: The purpose of this grant us to provide supplemental COVID-19 information and educational outreach.

Source of Revenues: Crisis Counseling Immediate Services Program

Current Program Activities/Allowable Expenses: Activities include creating information and educational material aligned with the crisis counseling program model of psycheducation and the development of coping skills.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant is scheduled to end on 12/24/2020.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					127,850	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues					127,850		
Expenditures					127,850		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: AMCHP CARES Act Project
 Legal Authority Contract with the Association of Maternal and Child Health Programs (AMCHP) who received CARES Act funds to pass through to states to support telehealth activities for Maternal and Child Health populations. population

Contact Name: Michelle C Matsuoka
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 655 H

Intended Purpose Contract with AMCHP to develop family resources for telehealth

Source of Revenue CARES Act funds passed through the Association of Maternal and Child Health Programs (AMCHP) to states

Current Program Activities/Allowable The CARES Act funding is a multi-state project (Hawaii, California, and Washington) which supports translating of telehealth resources for families into Spanish, Chinese, Vietnamese, Tagalog, Samoan, and Korean. It also supports the development of new telehealth resources in English and the other languages. Hawaii is the recipient and organizer of this multistate project. Allowable expenses include translation, website development of a family telehealth training module, and contracts to family advocacy organizations to work on developing the resources and helping families navigate to telehealth services and resources.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: New non-appropriated CARES Act related grant; Project period 10/1/20 - 4/30/21. No anticipated award from FY22 onward.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues					275,000	0	0
Expenditures					275,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 210 and HTH 212 (HTH 212 from FY 2010)
 Name of Fund: Hawaii Health Systems Corporation - Corporate Office / Regions
 Legal Authority: 323F-7

Contact Name: Nadine Asai / Doreen Nakatsu
 Phone: 733-4026
 Fund type (MOF): Special
 Appropriation Acct. No. S-xx-320-H and S-xx356-H

Intended Purpose:

The purpose of this account is to deposit all fees, proceeds, reimbursements, and other that is owed to or received by the Corporation.

Source of Revenues:

Medicare/Medicaid, HMSA, Quest, Kaiser, Other Third Party Patients, Sale of Meals, and other Miscellaneous Sources

Current Program Activities/Allowable Expenses:

Corporation: The major activities carried out by HHSC Corporation include policy formulation, hospital system governance, business development, quality assurance, strategic direction, planning and coordination, financial management, legal counsel, personnel management, materials management, information systems, and technical services to support its community hospitals.

Regions: The major activities and service provided by the nine community facilities constitute the primary hospital acute care provider on the neighbor islands, and, in most instances, the only inpatient hospital services in rural locations. Acute inpatient services include surgical, medical, critical care, obstetrics, pediatric, and psychiatric care. Outpatient care services include ambulatory surgery, home health, and emergency room services. Clinical services include nursing, anesthesiology, central supply, radiology, oncology, pathology, respiratory therapy, physical and occupational therapy, social services, pharmacy, and dietary. Support services include administration, admitting, business, personnel, data processing, medical records, logistics, housekeeping, and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable): n/a

Variances:

Expenditure variance is due to collective bargaining pay raises.

Financial Data (in 000's)							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	560,080	582,539	582,539	596,709	597,993		
Beginning Cash Balance	102,833	114,576	125,511	118,030	186,599	94,046	(10,374)
Revenues	681,954	483,165	459,324	419,450	367,506	385,102	398,293
General Funds	110,690	112,302	120,232	125,701	127,301	105,701	105,701
Expenditures	746,370	595,424	570,288	537,226	583,418	592,771	603,280
Repayment on Capital Lease Obligation, long-term debit interest	23,445	11,128	5,894	3,942	3,942	2,452	2,452
Other - Short-term investment	(1,006)	8,461	10,855	5,021		0	0
SBA PPP Loan				19,254			
Cares Act Grants				45,129			
Medicare Adv Care pmts				14,436			
Transfers							
Transfer Agreement Expense	(12,092)						
Transfer of Appropriations from B&F for Maui severan		30,481					
Net Total Transfers	(12,092)	30,481	0	0	0	0	0
Ending Cash Balance	114,576	125,511	118,030	186,599	94,046	(10,374)	(112,112)
Encumbrances							
Unencumbered Cash Balance	114,576	125,511	118,030	186,599	94,046	(10,374)	(112,112)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Beginning FY 2010, the Legislature separated the Corporate Office from the Regions and created HTH 212 for the Regions and left HTH 210 for the Corporate Office.

Effective July 1, 2017 Maui Memorial Medical Center, Kula Hospital and Lanai Community became Maui Health Systems, a Kaiser Foundation, LLC

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 210
 Name of Fund: Weinberg Grant Fund
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Trust
 Appropriation Acct. No. Funds held outside of Treasury

Intended Purpose:

The Hawaii Health Systems Corporation was awarded a grant from the Harry and Jeannette Weinberg Foundation for telemedicine and telehealth infrastructure equipment and related expense.

Source of Revenues:

Grant from the Harry and Jeannette Weinberg Foundation

Current Program Activities/Allowable Expenses:

The account remains open, but for the past years there have been no transaction with the exception of interest and account analysis fee.

Purpose of Proposed Ceiling Adjustment (if applicable): n/a

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	44,055	43,896	43,776	43,698	43,609	43,474	43,339
Revenues	9	9	9	9	9	9	9
Expenditures	168	129	87	98	144	144	144
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	43,896	43,776	43,698	43,609	43,474	43,339	43,204
Encumbrances							
Unencumbered Cash Balance	43,896	43,776	43,698	43,609	43,474	43,339	43,204

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 212
 Name of Fund: Leahi Hospital, Salary Overpayment Trust Account
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Trust
 Appropriation Acct. No. T-909-H

Intended Purpose:

Established as a temporary holding account for recoveries until the debt is satisfied. Funds are then subsequently transferred to the program's special funds with an adjustment to the Department of Accounting and General Services' payroll system.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9	8	6	6	6	6	6
Revenues		1					
Expenditures	1	3					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8	6	6	6	6	6	6
Encumbrances							
Unencumbered Cash Balance	8	6	6	6	6	6	6

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 212
 Name of Fund: Patients' Safekeeping Trust Funds (Kona and Leahi)
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF): Trust
 Appropriation Acct. No. T-915 and T-925
 (note only T-925 from FY 20 and on)

Intended Purpose:
 Established to manage and safeguard long-term care patients' monies, which included pension, retirement, social security, and miscellaneous income. The monies are expended from these accounts with the patients' consent to meet their personal needs.

Source of Revenues:

Patients

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable): n/a

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	85	85	85	71	71	71	71
Revenues							
Expenditures			14				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	85	85	71	71	71	71	71
Encumbrances							
Unencumbered Cash Balance	85	85	71	71	71	71	71

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: HTH
 Prog ID(s): HTH 212
 Name of Fund: Donations, Gifts and Grant Accounts (Maluhia, Hamakua, SMMH, Kau, KVMH)
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-911, T-918, T-919, T-921, and T-923

Intended Purpose:

Established to receive donations, gifts and grants that are restricted by the grantor or donor or designated for specific purpose.

Source of Revenues:

Private foundations and individuals

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable): n/a

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	36	36	36	36	36	36	36
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	36	36	36	36	36	36	36
Encumbrances							
Unencumbered Cash Balance	36	36	36	36	36	36	36

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Bruce Yonesaki</u>
Prog ID(s):	<u>PSD503</u>	Phone:	<u>808-223-1703</u>
Name of Fund:	<u>PREPAREDNESS GRANT PROGRAM</u>	Fund type (MOF)	<u>N</u>
Legal Authority	<u>Act 124, SLH 2016</u>	Appropriation Acct. No.	<u>S-17-203-V1</u>

Intended Purpose:

The intention of this program and funds is to increase law enforcement's ability to respond to critical events by mitigating threats to the public, critical infrastructure, and first responders. In addition the capabilities are meant to enhance law enforcement's ability to aide in recovery efforts from natural disasters and other critical events.

Source of Revenues:

Federal Department of Homeland Security Grant Funds through the Hawaii State National Guard's program to support law enforcement across the state.

Current Program Activities/Allowable Expenses:

Equipment and training to support law enforcement. Current program activities include: Communication enhancements to existing capabilities. Projects to enhance law enforcement capabilities to respond to critical events.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

An E-4 extended the grant period for this account. The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	100,000.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	100,000.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Bruce Yonesaki</u>
Prog ID(s):	<u>PSD503</u>	Phone:	<u>808-223-1703</u>
Name of Fund:	<u>PREPAREDNESS GRANT PROGRAM</u>	Fund type (MOF):	<u>N</u>
Legal Authority:	<u>Act 49, SLH 2017</u>	Appropriation Acct. No.:	<u>S-18-203-V1</u>

Intended Purpose:

The intention of this program and funds is to increase law enforcement's ability to respond to critical events by mitigating threats to the public, critical infrastructure, and first responders. In addition the capabilities are meant to enhance law enforcement's ability to aide in recovery efforts from natural disasters and other critical events.

Source of Revenues:

Federal Department of Homeland Security Grant Funds through the Hawaii State National Guard's program to support law enforcement across the state.

Current Program Activities/Allowable Expenses:

Equipment and training to support law enforcement. Current program activities include: Communication enhancements to existing capabilities. Projects to enhance law enforcement capabilities to respond to critical events.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	600,000	600,000	600,000	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	100,000.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	100,000.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Bruce Yonesaki</u>
Prog ID(s):	<u>PSD503</u>	Phone:	<u>808-223-1703</u>
Name of Fund:	<u>PREPAREDNESS GRANT PROGRAM</u>	Fund type (MOF)	<u>N</u>
Legal Authority	<u>Act 53, SLH 2018</u>	Appropriation Acct. No.	<u>S-19-203-V1</u>

Intended Purpose:

The intention of this program and funds is to increase law enforcement's ability to respond to critical events by mitigating threats to the public, critical infrastructure, and first responders. In addition the capabilities are meant to enhance law enforcement's ability to aide in recovery efforts from natural disasters and other critical events.

Source of Revenues:

Federal Department of Homeland Security Grant Funds through the Hawaii State National Guard's program to support law enforcement across the state.

Current Program Activities/Allowable Expenses:

Equipment and training to support law enforcement. Current program activities include: Communication enhancements to existing capabilities. Projects to enhance law enforcement capabilities to respond to critical events.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

This Grant was not created during FY19. An E-2 created S-20-244-V1 for FY19 grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	600,000	600,000	600,000	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Bruce Yonesaki</u>
Prog ID(s):	<u>PSD503</u>	Phone:	<u>808-223-1703</u>
Name of Fund:	<u>FY19 HOMELAND SECURITY GRANT PROGRAM</u>	Fund type (MOF):	<u>N</u>
Legal Authority:	<u>Non-appropriated</u>	Appropriation Acct. No.:	<u>S-20-244-V1</u>

Intended Purpose:

The intention of this program and funds is to increase law enforcement's ability to respond to critical events by mitigating threats to the public, critical infrastructure, and first responders. In addition the capabilities are meant to enhance law enforcement's ability to aide in recovery efforts from natural disasters and other critical events.

Source of Revenues:

Federal Department of Homeland Security Grant Funds through the Hawaii State National Guard's program to support law enforcement across the state.

Current Program Activities/Allowable Expenses:

Equipment and training to support law enforcement. Current program activities include: Communication enhancements to existing capabilities. Projects to enhance law enforcement capabilities to respond to critical events.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

S-19-203-V1 was not created during FY19. An E-2 created S-20-244-V1 for FY19 grant. This account is in the process of expending the funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	100,000	100,000	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	100,000.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	100,000.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Bruce Yonesaki</u>
Prog ID(s):	<u>PSD503</u>	Phone:	<u>808-223-1703</u>
Name of Fund:	<u>PREPAREDNESS GRANT PROGRAM</u>	Fund type (MOF)	<u>N</u>
Legal Authority	<u>Act 5, SLH 2019</u>	Appropriation Acct. No.	<u>S-20-203-V1</u>

Intended Purpose:

The intention of this program and funds is to increase law enforcement's ability to respond to critical events by mitigating threats to the public, critical infrastructure, and first responders. In addition the capabilities are meant to enhance law enforcement's ability to aide in recovery efforts from natural disasters and other critical events.

Source of Revenues:

Federal Department of Homeland Security Grant Funds through the Hawaii State National Guard's program to support law enforcement across the state.

Current Program Activities/Allowable Expenses:

Equipment and training to support law enforcement. Current program activities include: Communication enhancements to existing capabilities. Projects to enhance law enforcement capabilities to respond to critical events.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

This amount is at the discretion of the Federal Department of Homeland Security grant funds. The Sheriff Office purchased communications equipment with enhanced capabilities in FY 2020 grant. This account is in the process of expending the funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	600,000	600,000	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	100,000.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	100,000.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD420</u>	Phone:	<u>808-587-1279</u>
Name of Fund:	<u>CARL D. PERKINS CAREER AND TECHNICAL ED(Fund type (MOF)</u>		<u>N</u>
Legal Authority	<u>Act 124, SLH 2016</u>	Appropriation Acct. No.	<u>S-17-212-V1</u>

Intended Purpose:

To increase the quality of technical education in the United States to help the economy. Title 1 Part D, N& D program is for inmates who are aged 21 and younger.

Source of Revenues:

Carl D. Perkins Grant

Current Program Activities/Allowable Expenses:

Career and Technical (Vocational) Education Programs. Current program activities include: Culinary Arts Training and Business Application of Computers Training

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

The Perkins Grant money was used to pay for the following programs: Culinary Arts (Kapiolani Community College) and the Office Worker Business Application (Windward Community College. The variances are caused by the number of programs and the numbers of cohorts per program offered in the facilities. Culinary Arts was offered at WCCC. Office Worker Business Applications program was offered at WCCC, WCF, and later HCF. The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	54,969.00	24,887.91	0.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	30,081.09	24,887.91	0.00	0.00	0.00	0.00	0.00
Expenditures	30,081.09	24,887.91	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD420</u>	Phone:	<u>808-587-1279</u>
Name of Fund:	<u>CARL D. PERKINS CAREER AND TECHNICAL EDUFund type (MOF)</u>		<u>N</u>
Legal Authority	<u>Act 49, SLH 2017</u>	Appropriation Acct. No.	<u>S-18-212-V1</u>

Intended Purpose:

To increase the quality of technical education in the United States to help the economy. Title 1 Part D, N& D program is for inmates who are aged 21 and younger.

Source of Revenues:

Carl D. Perkins Grant

Current Program Activities/Allowable Expenses:

Career and Technical (Vocational) Education Programs. Current program activities include: Culinary Arts Training and Business Application of Computers Training

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations:

The Perkins Grant money was used to pay for the following programs: Culinary Arts (Kapiolani Community College) and the Office Worker Business Application (Windward Community College). The variations are caused by the number of programs and the numbers of cohorts per program offered in the facilities. Culinary Arts was offered at WCCC. Office Worker Business Applications program was offered at WCCC, WCF, and later HCF. The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	54,969.00	38,282.56	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	16,686.44	38,282.56	0.00	0.00	0.00	0.00
Expenditures	0.00	16,686.44	38,282.56	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD420</u>	Phone:	<u>808-587-1279</u>
Name of Fund:	<u>CARL D. PERKINS CAREER AND TECHNICAL ED(Fund type (MOF)</u>		<u>N</u>
Legal Authority	<u>Act 53, SLH 2018</u>	Appropriation Acct. No.	<u>S-19-212-V1</u>

Intended Purpose:

To increase the quality of technical education in the United States to help the economy. Title 1 Part D, N& D program is for inmates who are aged 21 and younger.

Source of Revenues:

Carl D. Perkins Grant

Current Program Activities/Allowable Expenses:

Career and Technical (Vocational) Education Programs. Current program activities include: Culinary Arts Training and Business Application of Computers Training

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

The Perkins Grant money was used to pay for the following programs: Culinary Arts (Kapiolani Community College) and the Office Worker Business Application (Windward Community College. The variances are caused by the number of programs and the numbers of cohorts per program offered in the facilities. Culinary Arts was offered at WCCC. Office Worker Business Applications program was offered at WCCC, WCF, and later HCF. This account is in the process of expending the remaining funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	58,045.00	53,373.56	30,873.56	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	4,671.44	22,500.00	30,873.56	0.00	0.00
Expenditures	0.00	0.00	4,671.44	22,500.00	30,873.56	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD420</u>	Phone:	<u>808-587-1279</u>
Name of Fund:	<u>CARL D. PERKINS CAREER AND TECHNICAL EDUFund type (MOF)</u>		<u>N</u>
Legal Authority	<u>Act 5, SLH 2019</u>	Appropriation Acct. No.	<u>S-20-212-V1</u>

Intended Purpose:

To increase the quality of technical education in the United States to help the economy. Title 1 Part D, N& D program is for inmates who are aged 21 and younger.

Source of Revenues:

Carl D. Perkins Grant

Current Program Activities/Allowable Expenses:

Career and Technical (Vocational) Education Programs. Current program activities include: Culinary Arts Training and Business Application of Computers Training

Purpose of Proposed Ceiling Increase (if applicable): PSD has submitted a budget request increasing the appropriated ceiling

Variances:

The Perkins Grant money was used to pay for the following programs: Culinary Arts (Kapiolani Community College) and the Office Worker Business Application (Windward Community College). The variances are caused by the number of programs and the numbers of cohorts per program offered in the facilities. Culinary Arts was offered at WCCC. Office Worker Business Applications program was offered at WCCC, WCF, and later HCF. This account is in the process of expending the remaining funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	61,487.00	61,487.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	61,487.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	61,487.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD420</u>	Phone:	<u>808-587-1279</u>
Name of Fund:	<u>PROGRAM FOR NEGLECTED & DELINQUENT CHILD</u>	Fund type (MOF):	<u>N</u>
Legal Authority:	<u>Act 49, SLH 2017</u>	Appropriation Acct. No.:	<u>S-18-221-V1</u>

Intended Purpose:

Prevention and Intervention Programs for Children and Youth who are Neglected, Delinquent, and At Risk. Title 1 Part D, N& D program is for inmates who are aged 21 and younger.

Source of Revenues:

Title I Part D Negligent and Delinquent Grant

Current Program Activities/Allowable Expenses:

Instruction to support CPS program, Supplies and Equipment. Current program activities include: Teaching academic classes, Pathways to Success Program, Explorations program

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

An E-4 extended the grant period for this account. The amounts of the award depend on the number of inmates who were reported to be qualified for the grant. CPS-E has not been spending the grant awarded because of the difficulty in meeting the 15 hour instructional time per week requirement. Some of the expenditure for FY 2021 is due to the acquisition of the online Learning Management System that was recommended by the HDOE Title I Coordinator and is an allowable expense. There was a delay in expending the funds due to COVID-19 and practicing social distance.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	154,751.00	197,450.00	197,450.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	153,639.60	196,338.60	0.00	0.00
Revenues	0.00	0.00	0.00	42,699.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	56,897.04	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	153,639.60	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	153,639.60	196,338.60	139,441.56	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	153,639.60	196,338.60	139,441.56	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Dwayne Kojima</u>
Prog ID(s):	<u>PSD420</u>	Phone:	<u>808-587-1272</u>
Name of Fund:	<u>BRIDGE EXPANSION</u>	Fund type (MOF):	<u>N</u>
Legal Authority:	<u>Act 124, SLH 2016</u>	Appropriation Acct. No.:	<u>S-17-224-V1</u>

Intended Purpose:

This project's purpose is to continue supporting the operations of the Bridge Program so that inmates can continue to receive critical substance abuse reentry services. Program services are designed to assist inmates with their recovery, reduce recidivism and relapse by providing them with the necessary tools to help prepare for successful reintegration into the community.

Source of Revenues:

U.S. Department of Justice. Subgrant from State of Hawaii, Office of the Attorney General

Current Program Activities/Allowable Expenses:

The acquisition of curriculum, equipment, and supplies to support the learning process are important. Equipment to support a living environment conducive to transitioning into the community will include furniture, televisions, and the common use areas of the dormitories. Materials such as paint for common use areas, pens, pencils, paper, legal pads, etc. help to create an environment conducive to achieve the goals and objectives of the program. Current program activities include: Inmates are in the Bridge program for a targeted six months and a maximum of 12 months. During their Bridge program stay, they are assisted with cognitive behavioral interventions in a social learning environment utilizing evidence based curriculum for transitioning populations developed by the Change Companies. This will enable the staff working at the Bridge Program sites to work with the program participants and develop an understanding of the unique challenges that they face. The staff facilitating these groups will help the participants develop their skills to effectively navigate and manage their lives during their transition through their transition through role-playing real-life situations before it occurs outside in the community to better prepare themselves to respond as opposed to react. Program participants are also provided opportunities to practice prosocial skills learned and developed as they earn increasing furlough hours based on their performance.

Purpose of Proposed Ceiling Increase (if applicable):N/A

Variances:

In regard to our Residential Substance Abuse Treatment (RSAT) grant, PSD is the recipient or sub-grantee of Federal Grant money that passes through the Attorney General's Office. The amounts are predetermined and calculated dependent on how much money Federal allots for various programs around the country. The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	66,442.00	66,442.00	0.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	66,442.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	66,442.00	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Dwayne Kojima</u>
Prog ID(s):	<u>PSD420</u>	Phone:	<u>808-587-1272</u>
Name of Fund:	<u>BRIDGE EXPANSION</u>	Fund type (MOF):	<u>N</u>
Legal Authority:	<u>Act 49, SLH 2017</u>	Appropriation Acct. No.:	<u>S-18-224</u>

Intended Purpose:

This project's purpose is to continue supporting the operations of the Bridge Program so that inmates can continue to receive critical substance abuse reentry services. Program services are designed to assist inmates with their recovery, reduce recidivism and relapse by providing them with the necessary tools to help prepare for successful reintegration into the community.

Source of Revenues:

U.S. Department of Justice. Subgrant from State of Hawaii, Office of the Attorney General

Current Program Activities/Allowable Expenses:

The acquisition of curriculum, equipment, and supplies to support the learning process are important. Equipment and supplies to support a living environment conducive to transitioning into the community may include from time to time, furniture, tables and chairs for the common use areas of the dormitories. Materials such as pens, pencils, paper, legal pads, etc. help to create an environment conducive to achieve the goals and objectives of the program. Current program activities include: Inmates are in the Bridge program for a targeted six months and a maximum of 12 months. During their Bridge program stay, they are assisted with cognitive behavioral interventions in a social learning environment utilizing evidence based curriculum for transitioning populations developed by the Change Companies. This will enable the staff working at the Bridge Program sites to work with the program participants and develop an understanding of the unique challenges that they face. The staff facilitating these groups will help the participants develop their skills to effectively navigate and manage their lives during their transition through role-playing real-life situations before it occurs outside in the community to better prepare themselves to respond as opposed to react. Program participants are also provided opportunities to practice prosocial skills learned and developed as they earn increasing furlough hours based on their performance.

Purpose of Proposed Ceiling Increase (if applicable):N/A

Variations:

In regard to our Residential Substance Abuse Treatment (RSAT) grant, PSD is the recipient or sub-grantee of Federal Grant money that passes through the Attorney General's Office. The amounts are predetermined and calculated dependent on how much money Federal allots for various programs around the country. The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	51,162	38,951	7,744	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	7,788.52	0.00	0.00	0.00	0.00
Revenues	0.00	20,000.00	23,418.14	0.00	0.00	0.00	0.00
Expenditures	0.00	12,211.48	31,206.66	0.00	0.00		0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	7,788.52	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	7,788.52	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Dwayne Kojima</u>
Prog ID(s):	<u>PSD420</u>	Phone:	<u>808-587-1272</u>
Name of Fund:	<u>BRIDGE EXPANSION</u>	Fund type (MOF):	<u>N</u>
Legal Authority:	<u>Act 53, SLH 2018</u>	Appropriation Acct. No.:	<u>S-19-224</u>

Intended Purpose:

This project's purpose is to continue supporting the operations of the Bridge Program so that inmates can continue to receive critical substance abuse reentry services. Program services are designed to assist inmates with their recovery, reduce recidivism and relapse by providing them with the necessary tools to help prepare for successful reintegration into the community.

Source of Revenues:

U.S. Department of Justice. Subgrant from State of Hawaii, Office of the Attorney General

Current Program Activities/Allowable Expenses:

The acquisition of curriculum, equipment, and supplies to support the learning process are important. Equipment and supplies to support a living environment conducive to transitioning into the community may include from time to time, furniture, tables and chairs for the common use areas of the dormitories. Materials such as pens, pencils, paper, legal pads, etc. help to create an environment conducive to achieve the goals and objectives of the program. Current program activities include: Inmates are in the Bridge program for a targeted six months and a maximum of 12 months. During their Bridge program stay, they are assisted with cognitive behavioral interventions in a social learning environment utilizing evidence based curriculum for transitioning populations developed by the Change Companies. This will enable the staff working at the Bridge Program sites to work with the program participants and develop an understanding of the unique challenges that they face. The staff facilitating these groups will help the participants develop their skills to effectively navigate and manage their lives during their transition through role-playing real-life situations before it occurs outside in the community to better prepare themselves to respond as opposed to react. Program participants are also provided opportunities to practice prosocial skills learned and developed as they earn increasing furlough hours based on their performance.

Purpose of Proposed Ceiling Increase (if applicable):N/A

Variances:

In regard to our Residential Substance Abuse Treatment (RSAT) grant, PSD is the recipient or sub-grantee of Federal Grant money that passes through the Attorney General's Office. The amounts are predetermined and calculated dependent on how much money Federal allots for various programs around the country. The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	51,361	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	51,361.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	51,361.00	0.00	0.00		0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Dwayne Kojima</u>
Prog ID(s):	<u>PSD420</u>	Phone:	<u>808-587-1272</u>
Name of Fund:	<u>BRIDGE EXPANSION</u>	Fund type (MOF)	<u>N</u>
Legal Authority	<u>Act 5, SLH 2019</u>	Appropriation Acct. No.	<u>S-20-224-V1</u>

Intended Purpose:

This project's purpose is to continue supporting the operations of the Bridge Program so that inmates can continue to receive critical substance abuse reentry services. Program services are designed to assist inmates with their recovery, reduce recidivism and relapse by providing them with the necessary tools to help prepare for successful reintegration into the community.

Source of Revenues:

U.S. Department of Justice. Subgrant from State of Hawaii, Office of the Attorney General

Current Program Activities/Allowable Expenses:

The acquisition of curriculum, equipment, and supplies to support the learning process are important. Equipment and supplies to support a living environment conducive to transitioning into the community may include from time to time, furniture, tables and chairs for the common use areas of the dormitories. Materials such as pens, pencils, paper, legal pads, etc. help to create an environment conducive to achieve the goals and objectives of the program. Current program activities include: Inmates are in the Bridge program for a targeted six months and a maximum of 12 months. During their Bridge program stay, they are assisted with cognitive behavioral interventions in a social learning environment utilizing evidence based curriculum for transitioning populations developed by the Change Companies. This will enable the staff working at the Bridge Program sites to work with the program participants and develop an understanding of the unique challenges that they face. The staff facilitating these groups will help the participants develop their skills to effectively navigate and manage their lives during their transition through role-playing real-life situations before it occurs outside in the community to better prepare themselves to respond as opposed to react. Program participants are also provided opportunities to practice prosocial skills learned and developed as they earn increasing furlough hours based on their performance.

Purpose of Proposed Ceiling Increase (if applicable):N/A

Variances:

In regard to our Residential Substance Abuse Treatment (RSAT) grant, PSD is the recipient or sub-grantee of Federal Grant money that passes through the Attorney General's Office. The amounts are predetermined and calculated dependent on how much money Federal allots for various programs around the country. This account is in the process of expending the remaining funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	0.00	151,134	151,134	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	11,385.52	0.00	0.00
Revenues	0.00	0.00	0.00	49,000.00	8,200.00	0.00	0.00
Expenditures	0.00	0.00	0.00	37,614.48	19,585.52	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	11,385.52	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	11,385.52	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Jared Redulla</u>
Prog ID(s):	<u>PSD502</u>	Phone:	<u>837-8740</u>
Name of Fund:	<u>DOMESTIC CANNABIS ERADICATION SUPPRESSIO</u>	Fund type (MOF)	<u>P</u>
Legal Authority	<u>Act 124, SLH 2016</u>	Appropriation Acct. No.	<u>S-17-237-V1</u>

Intended Purpose:

The purpose is to fund the Division's participation in marijuana eradication and suppression operations and training statewide.

Source of Revenues:

The source of revenue is federal monies provided to the Division in accordance with an agreement between the Department of Public Safety and the Federal government

Current Program Activities/Allowable Expenses:

Current program activities include: marijuana eradication operations, training for eradication operations and coordination meetings. Allowable expenses include: helicopter rentals, travel and per diem costs, overtime, purchases of safety equipment and operational supplies.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Funding may also fluctuate due to the amount of illegal marijuana that is eradicated during the year. Higher amounts of eradicated marijuana equate to higher levels of funding. The amount of illegal marijuana grown in Hawaii is based upon weather, skillfulness of the grower, successful concealment from law enforcement etc. All of these independent factors can affect yearly levels of funding and expense. The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	45,000.00	43,307.84	0.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	43,307.84	0.00	0.00	0.00	0.00	0.00
Revenues	45,000.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	1,692.16	43,307.84	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	43,307.84	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	43,307.84	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Jared Redulla</u>
Prog ID(s):	<u>PSD502</u>	Phone:	<u>837-8740</u>
Name of Fund:	<u>DOMESTIC CANNABIS ERADICATION SUPPRESSIO</u>	Fund type (MOF)	<u>P</u>
Legal Authority	<u>Act 53, SLH 2018</u>	Appropriation Acct. No.	<u>S-19-237-V1</u>

Intended Purpose:

The purpose is to fund the Division's participation in marijuana eradication and suppression operations and training statewide.

Source of Revenues:

The source of revenue is federal monies provided to the Division in accordance with an agreement between the Department of Public Safety and the Federal government

Current Program Activities/Allowable Expenses:

Current program activities include: marijuana eradication operations, training for eradication operations and coordination meetings. Allowable expenses include: helicopter rentals, travel and per diem costs, overtime, purchases of safety equipment and operational supplies.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Funding may also fluctuate due to the amount of illegal marijuana that is eradicated during the year. Higher amounts of eradicated marijuana equate to higher levels of funding. The amount of illegal marijuana grown in Hawaii is based upon weather, skillfulness of the grower, successful concealment from law enforcement etc. All of these independent factors can affect yearly levels of funding and expense. Most of the expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	0.00	63,000.00	63,000.00	38,291.21	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	199.65	0.00	0.00
Revenues	0.00	0.00	0.00	24,908.44	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	24,708.79	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	199.65	199.65	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	199.65	199.65	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD502
 Name of Fund: NARCOTICS ENFORCEMENT
 Legal Authority: Act 124, SLH 2016

Contact Name:
 Phone:
 Fund type (MOF)
 Appropriation Acct. No.

Jared Redulla
837-8740
P
S-17-245-V1

Intended Purpose:
 Pass through account for S-237-V1.

Source of Revenues:
 Pass through account for S-237-V1.

Current Program Activities/Allowable Expenses:
 N/A

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations:
 This is a pass through account for Narcotics Enforcement Division (NED) grants. All expenditures are made from the NED grants.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	155,000.00	155,000.00	155,000.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD613</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>OVC VOCA VICTIM COMPENSATION</u>	Fund type (MOF):	<u>P</u>
Legal Authority:	<u>Act 124, SLH 2016</u>	Appropriation Acct. No.:	<u>S-17-264-V1</u>

Intended Purpose:

The VOCA Grant provides financial assistance and reimbursements to violent crime victims and their surviving family members for the costs associated with being a victim or survivor of a violent crime, and to encourage victim cooperation and participation in the criminal justice system.

Source of Revenues:

Federal fund proceeds from the VOCA Grant.

Current Program Activities/Allowable Expenses:

Funds are primarily used to pay compensation to victims of violent crimes. The grant allows the Crime Victim Compensation Commission to use 5% of the grant for administrative purposes such as personnel costs and office supplies.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

The Commission's revenue fluctuates as it is dependent upon the federal Victims of Crime Act (VOCA) grant award the Commission receives. The Commission receives a 60% match of state funds expended for direct victim compensation. The Commission's expenditures for this federal grant account are between 95% - 100% for direct victim compensation claims. The expenditures are limited by the grant award amounts received as revenue. The Commission is limited to spending 5% of its grant award on administrative costs which it did in FY2017 to cover the travel cost for an employee to attend the VOCA National Training Conference which is a requirement of the grant award. The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	859,315.00	859,315.00	859,315.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	91,000.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	91,000.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD613</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>OVC VOCA VICTIM COMPENSATION</u>	Fund type (MOF)	<u>P</u>
Legal Authority	<u>Act 49, SLH 2017</u>	Appropriation Acct. No.	<u>S-18-264-V1</u>

Intended Purpose:

The VOCA Grant provides financial assistance and reimbursements to violent crime victims and their surviving family members for the costs associated with being a victim or survivor of a violent crime, and to encourage victim cooperation and participation in the criminal justice system.

Source of Revenues:

Federal fund proceeds from the VOCA Grant.

Current Program Activities/Allowable Expenses:

Funds are primarily used to pay compensation to victims of violent crimes. The grant allows the Crime Victim Compensation Commission to use 5% of the grant for administrative purposes such as personnel costs and office supplies.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

The Commission's revenue fluctuates as it is dependent upon the federal Victims of Crime Act (VOCA) grant award the Commission receives. The Commission receives a 60% match of state funds expended for direct victim compensation. The Commission's expenditures for this federal grant account are between 95% - 100% for direct victim compensation claims. The expenditures are limited by the grant award amounts received as revenue. The expenditure and revenues occurred during the account's grant period.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	859,315.00	859,315.00	859,315.00	0.00	0.00	0.00
Beginning Cash Balance	0.00	450.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	64,000.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	64,000.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD613</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>OVC VOCA VICTIM COMPENSATION</u>	Fund type (MOF):	<u>P</u>
Legal Authority:	<u>Act 53, SLH 2018</u>	Appropriation Acct. No.:	<u>S-18-264-V1</u>

Intended Purpose:

The VOCA Grant provides financial assistance and reimbursements to violent crime victims and their surviving family members for the costs associated with being a victim or survivor of a violent crime, and to encourage victim cooperation and participation in the criminal justice system.

Source of Revenues:

Federal fund proceeds from the VOCA Grant.

Current Program Activities/Allowable Expenses:

Funds are primarily used to pay compensation to victims of violent crimes. The grant allows the Crime Victim Compensation Commission to use 5% of the grant for administrative purposes such as personnel costs and office supplies.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

The Commission's revenue fluctuates as it is dependent upon the federal Victims of Crime Act (VOCA) grant award the Commission receives. The Commission receives a 60% match of state funds expended for direct victim compensation. The Commission's expenditures for this federal grant account are between 95% - 100% for direct victim compensation claims. The expenditures are limited by the grant award amounts received as revenue. This account is in the process of expending the remaining funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0.00	859,315.00	859,315.00	859,315.00	814,765.00	814,765.00	0.00
Beginning Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	44,550.00	43,500.00	0.00	0.00
Expenditures	0.00	0.00	0.00	44,550.00	43,500.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD422</u>	Phone:	<u>587-3475</u>
Name of Fund:	<u>HAWAII CORRECTIONAL INDUSTRIES REV FUND</u>	Fund type (MOF):	<u>W</u>
Legal Authority:	<u>Act 9, SLH 2020</u>	Appropriation Acct. No.:	<u>S-306-V1</u>

Intended Purpose:

To establish a comprehensive work program for inmates that provides them with training and work skills that increases their employment prospects after release.

Source of Revenues:

Moneys collected by the Department from the sale or disposition of goods and services produced in accordance with Section 354D-10, HRS.

Current Program Activities/Allowable Expenses:

The Correctional Industries Revolving Fund is used for the purchase or lease of capital resources, salaries of staff and inmates in the operation of correctional industries programs in accordance with Section 354D-10, HRS.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations:

For FY20 / FY21 - In FY20 HCI restructured and closed the Print Shop, KCF Furniture Shop and Special Projects due to them being unproductive and draining our resources. HCI is has expanded its labor-based contract with the DOT Highways Division. We had also added Maui DOT in FY20 but due to COVID-19 pandemic we had to shut it down. Even with the shutdown of these shops we were still able to increase revenue due to additional work from DOT and increase sales in our commissary. Also, a special project of making face masks by inmates during the shutdown of the state help generate some revenue. In FY21 we are adding Fogging and Modular Furniture to help us increase our revenue for the year. With the elimination of shops in FY20 expenditures were reduced, but in FY21, we do expect it to again increase in relationship to the new business that we are bringing in.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,151,991.00	10,232,054.00	10,232,054.00	10,350,018.00	10,350,018.00	10,350,018.00	10,350,018.00
Beginning Cash Balance	288,116.37	382,528.93	379,020.67	134,194.01	2,032,063.05	3,502,063.05	5,077,063.05
Revenues	5,973,151.69	4,955,821.12	4,009,202.50	5,772,572.77	7,000,000.00	7,500,000.00	7,500,000.00
Expenditures	5,878,739.13	4,959,329.38	4,254,029.16	3,874,703.73	5,530,000.00	5,925,000.00	5,925,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	382,528.93	379,020.67	134,194.01	2,032,063.05	3,502,063.05	5,077,063.05	6,652,063.05
Encumbrances	280,333.59	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	102,195.34	379,020.67	134,194.01	2,032,063.05	3,502,063.05	5,077,063.05	6,652,063.05

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD407
 Name of Fund: OCCC - INMATE STORE
 Legal Authority: Act 53, SLH 18

Contact Name: Amelia G. Jodar
 Phone: 587-3474
 Fund type (MOF): W
 Appropriation Acct. No.: S-315-V1

Intended Purpose:

The account was established for the purpose of purchasing items to be resold to inmates at the Oahu Community Correctional Center (OCCC).

Source of Revenues:

All moneys received from the resale of allowable items in the OCCC inmate store.

Current Program Activities/Allowable Expenses:

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Per Act 53 SLH 2018 this account will be close FB 20-21. This account was not used in FY19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000.00	30,000.00	30,000.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	424.00	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	424.00	0.00	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00		0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD402
 Name of Fund: HCF - INMATE STORE
 Legal Authority: Act 53, SLH 18

Contact Name: Amelia G. Jodar
 Phone: 587-3474
 Fund type (MOF): W
 Appropriation Acct. No.: S-316-V1

Intended Purpose:

The account was established for the purpose of purchasing items to be resold to inmates at the Halawa Correctional Facility (HCF).

Source of Revenues:

All moneys received from the resale of allowable items in the HCF inmate store.

Current Program Activities/Allowable Expenses:

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Per Act 53 SLH 2018 this account will be close FB 20-21. This account was not used in FY19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,719.00	28,719.00	28,719.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	74,133.58	74,133.58	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	74,133.58	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	74,133.58	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	28,717.90	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	45,415.68	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD404
 Name of Fund: WCF - INMATE STORE
 Legal Authority: Act 53, SLH 18

Contact Name: Amelia G. Jodar
 Phone: 587-3474
 Fund type (MOF): W
 Appropriation Acct. No.: S-319-V1

Intended Purpose:

The account was established for the purpose of purchasing items to be resold to inmates at the Waiawa Correctional Facility (WCF).

Source of Revenues:

All moneys received from the resale of allowable items in the WCF inmate store.

Current Program Activities/Allowable Expenses:

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Per Act 53 SLH 2018 this account will be close FB 20-21. This account was not used in FY19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000.00	15,000.00	15,000.00	0.00	0.00	0.00	0.00
Beginning Cash Balance	2.15	0.00	0.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	2.15	0.00	0.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD613</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>CRIMINAL INJURIES COMPENSATION SPL FUND</u>	Fund type (MOF):	<u>B</u>
Legal Authority:	<u>Act 9, SLH 2020</u>	Appropriation Acct. No.:	<u>S-323-V1</u>

Intended Purpose:
Act 206, SLH 1998 established this account and a system of compensation fees to generate revenue to fund the operation of the Crime Victim Compensation Commission (CVCC).

Source of Revenues:
Funds received pursuant to Section 354D-12(b)(1), 351-35, 351-62.6, 351-63, 706-605, and 853-1.

Current Program Activities/Allowable Expenses:
Moneys received are used for compensation payments, operating expenses, and to fund positions as authorized by the legislature.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations:
The increase in revenue for FY2017 is related to an increase in restitution reimbursed to the Commission for cases in which the Commission previously paid the victim. Restitution collection increased due to the Justice Reinvestment (JRI) project and the focus by the Commission's JRI section on restitution collection. The increase also included an increase in compensation fees collected by the Commission's JRI section. The decrease in revenue for FY2018 is related to a significant decrease in compensation fee collections by the Judiciary as well as a decrease in restitution collection. FY2019 revenue collections show an additional decrease in compensation fee collections from the Judiciary which was somewhat offset by an increase in restitution collections. FY2020 revenue collections show a continued decline in compensation fee collections by the Judiciary as well as decreased restitution collections. The Commission's expenditures for victim compensation, operational expenses, and payroll generally track closely to the Commission's revenue. In FY2019, the Commission's increase in expenditures is attributed to an increase in compensation awards from state funds for crime victims, pay increases to staff awarded by the Commissioners, and the payment of administrative fees for two fiscal years were paid during the one fiscal year. In FY2020, the Commission's expenditures for payroll were higher due to the previously awarded pay increases, state funded victim compensation awards and operational expenses did slightly decrease.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,113,547.00	2,113,547.00	2,113,547.00	2,137,732.00	2,137,732.00	2,137,732.00	2,137,732.00
Beginning Cash Balance	1,239,390.40	1,207,310.37	1,210,568.32	761,852.63	293,281.69	93,281.69	93,281.69
Revenues	1,013,058.45	780,226.23	773,479.62	659,268.58	400,000.00	600,000.00	700,000.00
Expenditures	1,045,138.48	776,968.28	1,222,195.31	1,127,839.52	600,000.00	600,000.00	700,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	1,207,310.37	1,210,568.32	761,852.63	293,281.69	93,281.69	93,281.69	93,281.69
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	1,207,310.37	1,210,568.32	761,852.63	293,281.69	93,281.69	93,281.69	93,281.69

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD502</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>CONTROLLD SUBSTANCE REGISTRATN REVOLV</u>	Fund type (MOF)	<u>W</u>
Legal Authority	<u>Act 9, SLH 2020</u>	Appropriation Acct. No.	<u>S-325-V1</u>

Intended Purpose:

This revolving fund was established mainly for the purpose of offsetting the cost of the electronic prescription accountability system, the NED forensic drug laboratory facility, and the registration, investigation, and control of the manufacture, distribution, prescription, and dispensation of controlled substances and regulated chemicals within the State.

Source of Revenues:

All fees collected pursuant to Sections 329-31, 329-67, and 329-123(b).

Current Program Activities/Allowable Expenses:

The fund is expended for its intended purpose and to fund positions authorized by the legislature. The NED ensures the annual registration of all persons who handle controlled substances and regulated chemicals in the State, and all patients authorized by their physician to utilize marijuana for medical purposes. On 12/31/2014 the medical marijuana program was transferred to Department of Health.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Revenues-Beginning in 2018, registration fees were increased and efforts were made to increase registration compliance resulting in more fees collected. Expenditures-in 2019 and 2020, positions were filled and there were more expenses related to funding enhancements to the Prescription Drug Monitoring Program.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	937,850.00	937,850.00	937,850.00	955,477.00	955,477.00	955,477.00	955,477.00
Beginning Cash Balance	46,767.18	41,757.09	79,753.40	280,162.35	504,279.25	561,595.93	618,912.61
Revenues	413,815.83	528,122.80	874,503.52	868,881.58	270,322.47	270,322.47	270,322.47
Expenditures	418,825.92	490,126.49	674,094.57	644,764.68	213,005.79	213,005.79	213,005.79
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	41,757.09	79,753.40	280,162.35	504,279.25	561,595.93	618,912.61	676,229.29
Encumbrances	0.00	5,100.00	35,649.39	2,021.06	0.00	0.00	0.00
Unencumbered Cash Balance	41,757.09	74,653.40	244,512.96	502,258.19	561,595.93	618,912.61	676,229.29

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD406</u>	Phone:	<u>808-243-5030</u>
Name of Fund:	<u>MAUI COMMUNITY CORRECTIONAL CENTER</u>	Fund type (MOF)	<u>S</u>
Legal Authority	<u>Act 9, SLH 2020</u>	Appropriation Acct. No.	<u>S-331-V1</u>

Intended Purpose:

Funds are awarded by the Maui County Council to the Maui Community Correctional Center (MCCC) as a partnership to enable MCCC inmates to participate in valuable community activities that assist in the reintegration process.

Source of Revenues:

Maui County Council grant award to MCCC.

Current Program Activities/Allowable Expenses:

Funds are used to pay for expenses incurred by the MCCC inmate workline in areas of light construction.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

This account is a reimbursement grant, which means the funds are first spend then reimbursed as a revenue. Each fiscal year expense and revenue can vary throughout a fiscal year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	209,721.00	209,721.00	209,721.00	209,721.00	209,721.00	209,721.00	209,721.00
Beginning Cash Balance	187,328.01	266,470.78	236,506.21	230,637.72	203,603.46	167,530.45	167,530.45
Revenues	195,041.53	87,710.15	115,133.90	54,073.60	0.00	0.00	0.00
Expenditures	115,898.76	117,674.72	121,002.39	81,107.86	36,073.01	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	266,470.78	236,506.21	230,637.72	203,603.46	167,530.45	167,530.45	167,530.45
Encumbrances	13,416.03	12,423.85	8,583.63	14,860.90	0.00	0.00	0.00
Unencumbered Cash Balance	253,054.75	224,082.36	222,054.09	188,742.56	167,530.45	167,530.45	167,530.45

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD503</u>	Phone:	<u>836-6466</u>
Name of Fund:	<u>LAW ENFORCEMENT SERVICES - AIRPORT</u>	Fund type (MOF):	<u>U</u>
Legal Authority:	<u>Act 9, SLH 2020</u>	Appropriation Acct. No.:	<u>S-334-V1</u>

Intended Purpose:
To fund the Deputy Sheriffs stationed at the Honolulu International Airport.

Source of Revenues:
DOT Airport

Current Program Activities/Allowable Expenses:
Funds are used to pay for expenses incurred by the Deputy Sheriffs assigned in Airport. 24/7 Personnel operation (salaries/overtime/holiday overtime/other payroll)

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations:
Starting in FY21 there is an increase the appropriated ceiling by \$1M (ACT 9, SLH 2020). FY18-19 increase for expenditure and revenues is due to increase in fringe benefit rate, collective bargaining, and obtaining more positions at the airport. Sheriff Airport only source of revenues comes from Department of Transportation Airport. Security at the Airport have had multiple unforeseen emergency incidents. Expenditure can vary from year to year, depending on the activities and security requirements at Honolulu International Airport. The reason the expenditures in FY17 & FY19 are more than the appropriate ceiling is because the collective bargaining was allotted in the middle of the years.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,495,627.00	6,589,465.00	6,589,465.00	8,971,865.00	9,947,030.00	9,947,030.00	9,947,030.00
Beginning Cash Balance	779,393.86	205,454.51	315,424.70	446,460.19	1,255,938.63	0.00	0.00
Revenues	5,667,835.36	6,572,246.00	7,076,325.66	8,582,253.38	8,691,091.37	9,947,030.00	9,947,030.00
Expenditures	6,241,774.71	6,462,275.81	6,945,290.17	7,772,774.94	9,947,030.00	9,947,030.00	9,947,030.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	205,454.51	315,424.70	446,460.19	1,255,938.63	0.00	0.00	0.00
Encumbrances	147,384.34	113,986.81	53,108.92	248,398.20	0.00	0.00	0.00
Unencumbered Cash Balance	58,070.17	201,437.89	393,351.27	1,007,540.43	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD900</u>	Phone:	<u>587-1239</u>
Name of Fund:	<u>FEDERAL REIMB MAXIMIZATION SPECIAL FUND</u>	Fund type (MOF):	<u>B</u>
Legal Authority:	<u>Act 9, SLH 2020</u>	Appropriation Acct. No.:	<u>S-345-V1</u>

Intended Purpose:

The intended purpose of this special fund is to deposit all federal reimbursement received relating to the State Criminal Alien Assistance Program (SCAAP) to be used to: (1) meet the state match requirement for federal grants, etc.; (2) for any purpose deemed necessary for maintaining existing federal grants as well as pursuing federal grants; (3) to hire consultants to provide training for corrections officers; (4) to hire consultants to conduct facility or program evaluations; (5) to rent or purchase vehicles to transport inmates; (6) to provide pre-release and reentry programs; (7) to improve technology; and (8) for workforce recruitment and retention

Source of Revenues:

State Criminal Alien Assistance Program

Current Program Activities/Allowable Expenses:

Funds are currently being used to meet the state match requirement for various grants, vehicle replacement and reentry programs.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

Almost all the revenue for this account comes from the Federal State Criminal Alien Assistance Program. The expenditure for this account is based on the availability of cash for state match requirement for federal grants, provide training for corrections officers, purchase of vehicles to transport inmates, etc.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	671,277.00	671,277.00	671,277.00	678,501.00	678,501.00	678,501.00	678,501.00
Beginning Cash Balance	782,324.38	999,596.74	555,845.63	264,578.40	103,057.64	368,811.99	368,811.99
Revenues	334,737.00	1,122.75	436,553.76	499,446.00	284,525.00	200,000.00	200,000.00
Expenditures	117,464.64	444,873.86	483,149.06	660,966.76	18,770.65	200,000.00	200,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	999,596.74	555,845.63	509,250.33	103,057.64	368,811.99	368,811.99	368,811.99
Encumbrances	404,658.00	192,185.29	244,736.37	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	594,938.74	363,660.34	264,513.96	103,057.64	368,811.99	368,811.99	368,811.99

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Amelia G. Jodar</u>
Prog ID(s):	<u>PSD900</u>	Phone:	<u>587-1285</u>
Name of Fund:	<u>AUTO VICTIM INFO & NOTIFICATON SYS SF</u>	Fund type (MOF):	<u>B</u>
Legal Authority:	<u>Act 9, SLH 2020</u>	Appropriation Acct. No.:	<u>S-350-V1</u>

Intended Purpose:

This fund was established as the Automated Victim Information & Notification System Special Fund. (HRS§353-136)

Source of Revenues:

Per HRS§363.-136, a 4% surcharge is added to any item's price that is purchased by an In-State or Out--of-State inmate from a correctional facility commissary. The proceeds from the surcharge shall be deposited into the special fund. All proceeds or revenues that are derived from any commission that is realized pursuant to a telephone service agreement executed by the department for the provision of telephone services for inmates shall also be deposited into the special fund.

Current Program Activities/Allowable Expenses:

Per HRS§353-132, the system shall automatically notify a registered victim or concerned member of the community, via the person's choice of telephone, text message, or electronic mail transmission, when the offender, who is in the custody of the department, is transferred or assigned to another facility, to the custody of another agency outside the State, or is released on temporary leave or for other reasons, is discharged or has escaped. The system shall also send out notifications if the offender has an upcoming parole hearing or there is a change in the offender's parole status. The system shall also permit a victim or concerned member of the community to receive the most recent status report for the offender by calling the system on a toll-free telephone number, as well as by accessing the system via a public website. The system shall also provide the option to receive live operator assistance with the system on a twenty-four hours per day, three-hundred-sixty-five days per year basis as well as permit a victim or concerned member of the community to register or update the person's registration information for the system by also calling a toll-free telephone number or accessing a public website. Also per HRS§ 353-136, the automated victim information and notification system special fund, all interest and investment earnings credited to the assets of the fund shall become part of the fund and any remaining balance in the fund at the end of any fiscal year shall be carried over to the next fiscal year. Monies received pursuant to the above shall be used for the development and operating expenses, including salaries and benefits of positions as authorized by legislature, of the system.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

In reviewing the expenditures of FY17, the APPRISS contract was renegotiated and reduced to approximately \$75,000/in the 1st year and \$77,250 the following year. Prior to this, we were paying approximately \$126,000. In FY18, there was no payroll costs attached to the SAVIN budget/expenditure. This may be due to other staff members having to cover the position while fulfilling their primary job duties. In FY19, the SAVIN Coordinator position was filled during the 3rd quarter FY19. This has resulted in a slight increase to the expenditures. In addition, travel expenses from the SAVIN Governance committee were being received late and reimbursements were delivered toward the end of the FY19. FY20 increase in revenues even during the shutdown of services/visits from COVID-19 restrictions, as funds received were from the inmates' commissary purchases and telephone tax.. While the increase in expenditure in FY20 was due to SAVIN Coordinator position being filled and an increase in marketing including a TV ad.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00	300,000.00
Beginning Cash Balance	572,895.92	726,533.25	897,477.30	1,046,606.41	1,326,393.79	1,387,904.37	1,385,023.83
Revenues	281,985.85	254,288.93	264,057.73	482,014.10	361,510.58	289,208.46	303,668.88
Expenditures	128,348.52	83,344.88	114,928.62	202,226.72	300,000.00	292,089.00	287,742.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	726,533.25	897,477.30	1,046,606.41	1,326,393.79	1,387,904.37	1,385,023.83	1,400,950.71
Encumbrances	10,500.00	4,062.50	13,410.50	6,710.69	8,533.61	0.00	0.00
Unencumbered Cash Balance	716,033.25	893,414.80	1,033,195.91	1,319,683.10	1,379,370.76	1,385,023.83	1,400,950.71

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD900
 Name of Fund: GENERAL ADMINISTRATION
 Legal Authority: Act 9, SLH 2020

Contact Name: Amelia G. Jodar
 Phone: 587-1239
 Fund type (MOF): T
 Appropriation Acct. No.: T-902-V1

Intended Purpose:

This account was established to provide a mechanism to deposit funds that can be used for the benefit of all inmates at the facilities.

Source of Revenues:

Interest derived from the Prisoner Trust Account, 25% of net annual profit from facilities that operate an inmate store with inventory, and 100% of the net annual profit from facilities that operate an inmate store with contracted services.

Current Program Activities/Allowable Expenses:

Funds are used to purchase food supplies for special inmate activities, repair and maintenance of recreational equipment, musical instruments, TVs, VCRs, and video tape purchases.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances:

The expenditure for each fiscal year is based on the revenue intake. Interest derived from the Prisoner Trust Account, 25% of net annual profit from facilities that operate an inmate store with inventory, and 100% of the net annual profit from facilities that operate an inmate store with contracted services. Expenditure was for inmates and their activities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	75,065.00	75,065.00	75,065.00	75,065.00	75,065.00	75,065.00	75,065.00
Beginning Cash Balance	83,324.09	75,127.67	143,940.99	131,921.62	151,184.40	166,184.40	181,184.40
Revenues	28,682.64	113,084.62	29,533.15	65,321.39	60,000.00	60,000.00	60,000.00
Expenditures	36,879.06	44,271.30	41,552.52	46,058.61	45,000.00	45,000.00	45,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	75,127.67	143,940.99	131,921.62	151,184.40	166,184.40	181,184.40	196,184.40
Encumbrances	0.00	11,167.32	0.00	6,397.88	0.00	0.00	0.00
Unencumbered Cash Balance	75,127.67	132,773.67	131,921.62	144,786.52	166,184.40	181,184.40	196,184.40

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TAX
 Prog ID(s): TAX 107
 Name of Fund: CIGARETTE TAX STAMP ADMINISTRATIVE SPECIAL FUND
 Legal Authority: HRS 245-41.5

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) B
 Appropriation Acct. No. S-325-T

Intended Purpose: To provide funding to administer and operate the cigarette tax stamp program.

Source of Revenues: The allocated portion of the stamp fee designated to pay for the cost to the State of providing the stamps as provided by section 245-26.

Current Program Activities/Allowable Expenses: Monies in the fund are used to purchase stamps from the manufacturer and to pay for the cost of distributing stamps through a financial institution.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	272,000	272,000	272,000	272,000	272,000	272,000	272,000
Beginning Cash Balance	593,611	785,562	865,049	950,505	1,103,439	1,257,439	1,411,439
Revenues	205,988	216,893	202,486	201,456	252,000	252,000	252,000
Expenditures	65,468	61,246	98,525	68,963	98,000	98,000	98,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
TR. FROM S-14-325	51,431						
TR. TO S-19-325		(76,160)					
TR. TO S-20-325			(18,505)				
TR. FROM S-18-325				20,441			
Net Total Transfers	51,431	(76,160)	(18,505)	20,441	0	0	0
Ending Cash Balance	785,562	865,049	950,505	1,103,439	1,257,439	1,411,439	1,565,439
Encumbrances							
Unencumbered Cash Balance	785,562	865,049	950,505	1,103,439	1,257,439	1,411,439	1,565,439

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TAX
 Prog ID(s): TAX 107
 Name of Fund: TAX ADMINISTRATION SPECIAL FUND
 Legal Authority: HRS 235-20.5

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) B
 Appropriation Acct. No. S-327-T

Intended Purpose: To offset costs associated with administering sections 235-20,235-110.9, and 235-110.91; to fund operations of the Special Enforcement Section (SES) and taxpayer education programs.

Source of Revenues: Fees collected under sections 235-20, 235-110.9 and 235-110.91; revenues collected by SES pursuant to section 235-85; fines assessed pursuant to section 237D-4.

Current Program Activities/Allowable Expenses: Issuing comfort letters, letter rulings, written opinions, and other guidance to taxpayers; issuing certificates under sections 235-110.9 and 235-110.91; administering the operations of SES; and developing, implementing, and providing taxpayer education programs, including tax publications.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	797,097	800,669	2,656,312	2,873,136	3,273,136	3,273,136	3,273,136
Beginning Cash Balance	2,641,947	4,900,692	7,380,807	8,298,953	11,737,568	9,126,864	9,126,864
Revenues	4,817,767	7,319,279	8,629,118	11,364,238	10,000,000	10,000,000	10,000,000
Expenditures	585,277	638,472	1,030,165	1,626,669	2,873,136	2,873,136	2,873,136
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
TR. TO G-00-000	(1,974,245)	(4,200,692)	(6,680,807)	(6,298,953)	(9,737,568)	(7,126,864)	(7,126,864)
TR. FROM S-15-327	500						
Net Total Transfers	(1,973,745)	(4,200,692)	(6,680,807)	(6,298,953)	(9,737,568)	(7,126,864)	(7,126,864)
Ending Cash Balance	4,900,692	7,380,807	8,298,953	11,737,568	9,126,864	9,126,864	9,126,864
Encumbrances	0	0	0				
Unencumbered Cash Balance	4,900,692	7,380,807	8,298,953	11,737,568	9,126,864	9,126,864	9,126,864

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: LITIGATED CLAIMS FUND
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-931-T

Intended Purpose: This fund is used as a holding account for amounts in dispute when tax appeal cases are litigated. The disputed amount is disbursed to either the State's general fund or the taxpayer after the case is resolved.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	10,306,952	48,379,299	48,551,944	39,702,759	4,626,982	4,626,982	4,626,983
Revenues	48,010,531	212,325	1,229,481	1,561,374	1,561,374	1,561,374	1,561,374
Expenditures	9,938,184	61,131	10,480,964	36,637,151	1,561,374	1,561,374	1,561,374
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0298		21,451					
00JT0875			169,544				
00JT0997			110,478				
00JT1262			122,276				
Net Total Transfers	0	21,451	402,298	0	0	0	0
Ending Cash Balance	48,379,299	48,551,944	39,702,759	4,626,982	4,626,982	4,626,983	4,626,983
Encumbrances							
Unencumbered Cash Balance	48,379,299	48,551,944	39,702,759	4,626,982	4,626,982	4,626,983	4,626,983

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: SALES OF ASSETS FOR DELINQUENT TAXES-OAHU
 Legal Authority ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-936-T

Intended Purpose: This trust account was established to hold surplus funds from the sale of property seized and foreclosed upon to pay unpaid taxes, penalties, interest, costs, and expenses.

Source of Revenues: This trust account was established to hold surplus funds from the sale of property seized and foreclosed upon to pay unpaid taxes, penalties, interest, costs, and expenses.

Current Program Activities/Allowable Expenses: Section 231-25(b)(7)(D), HRS, requires that, once payment for unpaid taxes has been made, any surplus received following the sale of the seized property be deposited with DOTAX until returned to the owner. Though the account is inactive, the account is needed to meet the statutory requirements regarding collection efforts pertaining to the sale of property to pay taxes, penalties, interest, costs, and expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	3,381	3,381	3,381	3,381	3,381	3,381	3,381
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,381	3,381	3,381	3,381	3,381	3,381	3,381
Encumbrances							
Unencumbered Cash Balance	3,381	3,381	3,381	3,381	3,381	3,381	3,381

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: TAX RESERVE FUND
 Legal Authority: HRS 231-23

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-915-T

Intended Purpose: Established as a clearing account to enable the department to refund taxes collected.

Source of Revenues: This fund collects amounts from the Undistributed Tax Collections Accounts and disburses them to taxpayers as refunds on overpaid taxes. Taxpayers who are owed tax refunds benefit from the fund and have a vested interest in the fund. Revenues come from overpaid taxes collected from taxpayers, and expenditures consist of tax refunds.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues	653,129,955	681,022,434	704,090,310	826,267,246	826,267,246	826,267,246	826,267,246
Expenditures	650,133,526	673,046,676	701,173,622	821,627,500	821,627,500	821,627,500	821,627,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(2,996,429)	(7,975,758)	(2,916,688)	(4,639,746)	(4,639,746)	(4,639,746)	(4,639,746)
Net Total Transfers	(2,996,429)	(7,975,758)	(2,916,688)	(4,639,746)	(4,639,746)	(4,639,746)	(4,639,746)
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: TEMPORARY DEPOSITS-PAYROLL OVERPAYMENT TRUST ACCOUNT
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-933-T

Intended Purpose: This account was established as a temporary deposit account for employees who received a payroll overpayment. When the total overpayment amount has been recovered in full from the employee, moneys are taken from this account and deposited into the payroll clearance fund.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,238	1,238	1,238	1,238	1,238	1,238	1,238
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,238	1,238	1,238	1,238	1,238	1,238	1,238
Encumbrances							
Unencumbered Cash Balance	1,238	1,238	1,238	1,238	1,238	1,238	1,238

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: TAXES-PAID-UNDER-PROTEST ACCOUNTS
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-921, 922, 924-T

Intended Purpose: These trust accounts were established to collect amounts related to taxes paid under protest.

Source of Revenues: Moneys are disbursed from the Undistributed Tax Collections Accounts to the Taxes-Paid-Under-Protest Account. When a tax appeal case is litigated, the amounts in dispute are disbursed to the Litigated Claims Fund.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	20,493	21,531	121,077	369,765	369,765	369,765	369,765
Revenues	274,014	99,546	346,941	0	0	0	
Expenditures	272,976	0	98,253	0	0	0	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21,531	121,077	369,765	369,765	369,765	369,765	369,765
Encumbrances							
Unencumbered Cash Balance	21,531	121,077	369,765	369,765	369,765	369,765	369,765

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: UNDISTRIBUTED TAX COLLECTIONS ACCOUNTS
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-991, 992, 993, 994-T

Intended Purpose: These accounts were established as clearing accounts for taxes collected on behalf of the various counties. Separate accounts were established for the Honolulu, Maui, Hawaii, and Kauai districts. All taxes collected by DOTAX are deposited into these accounts and then disbursed to other trust accounts. Funds and accounts receiving disbursements from these accounts may include the Tax Reserve Fund (to provide taxpayers refunds for overpayments) and the Taxes-Paid-Under-Protest Accounts.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(12,643,758)	(5,272,926)	(9,857,094)	(11,274,805)	(11,659,778)	(16,684,496)	(21,709,215)
Revenues	7,551,629,448	8,059,987,430	8,476,330,663	8,168,178,892	8,168,178,892	8,168,178,892	8,168,178,892
Expenditures	7,547,255,902	8,072,558,192	8,480,696,703	8,173,203,611	8,173,203,611	8,173,203,611	8,173,203,611
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	2,997,286	7,986,594	2,948,329	4,639,746			
Net Total Transfers	2,997,286	7,986,594	2,948,329	4,639,746	0	0	0
Ending Cash Balance	(5,272,926)	(9,857,094)	(11,274,805)	(11,659,778)	(16,684,496)	(21,709,215)	(26,733,933)
Encumbrances							
Unencumbered Cash Balance	(5,272,926)	(9,857,094)	(11,274,805)	(11,659,778)	(16,684,496)	(21,709,215)	(26,733,933)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: UNEMPLOYMENT TAX CLEARANCE ACCOUNTS
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) X
 Appropriation Acct. No. S-395, 396, 397-T

Intended Purpose: These accounts are used as clearing accounts for the deposit of unemployment taxes owed to the Unemployment Compensation Trust Fund.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	485,000	485,000	485,000	485,000	485,000	485,000	485,000
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	485,000	485,000	485,000	485,000	485,000	485,000	485,000
Encumbrances							
Unencumbered Cash Balance	485,000	485,000	485,000	485,000	485,000	485,000	485,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund (O & M)
 Legal Authority: HRS 248-8/261-5/261-7

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:
 Operating Appropriations
 Source of Revenues:
 Airport Operating Revenues and Interest Income
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses including state surcharge and debt service
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	506,282,987	489,657,098	548,307,702	880,576,687			
Beginning Cash Balance	237,572,369	232,765,328	226,787,346	272,686,418	307,779,883	307,779,883	307,779,883
Revenues	156,632	142,122	4,861,135	32,244,624			
Expenditures	383,913,461	399,084,306	435,993,372	454,610,993	362,612,770	420,937,509	487,633,195
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	378,949,789	392,964,201	477,031,310	457,459,834	0	0	0
Net Total Transfers	378,949,789	392,964,201	477,031,310	457,459,834	362,612,770	420,937,509	487,633,195
Ending Cash Balance	232,765,328	226,787,346	272,686,418	307,779,883	307,779,883	307,779,883	307,779,883
Encumbrances	141,565,202	146,829,220	165,332,282	193,004,891			
Unencumbered Cash Balance	91,200,126	79,958,125	107,354,137	114,774,992	307,779,883	307,779,883	307,779,883

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-308-D
Outside State Treasury

Intended Purpose:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in debt service costs due to sale of bonds

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,140,000	36,317,933	36,318,000	53,926,258			
Beginning Cash Balance	0	(79)	28,048,979	28,450,753	48,548,594	48,548,594	48,548,594
Revenues	0	19,974,106	401,774	14,058,306			
Expenditures	813,952	6,574,281	15,358,336	24,900,294	24,463,874	24,473,048	24,481,816
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	813,873	14,649,232	15,358,336	30,939,828	24,463,874	24,473,048	24,481,816
Net Total Transfers	813,873	14,649,232	15,358,336	30,939,828	24,463,874	24,473,048	24,481,816
Ending Cash Balance	(79)	28,048,979	28,450,753	48,548,594	48,548,594	48,548,594	48,548,594
Encumbrances				400,000			
Unencumbered Cash Balance	(79)	28,048,979	28,450,753	48,148,594	48,548,594	48,548,594	48,548,594

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:
 Airport Revenue Fund
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Transferred operating revenues to fund operating activities. No allowable expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Variances
 Projected incremental increases based on projected increases in passenger traffic and inflation

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	102,272,805	115,687,885	159,949,026	135,041,132	92,983,377	70,324,607	70,592,098
Revenues	402,407,463	449,631,365	461,484,587	438,108,887	372,516,000	434,162,000	502,384,000
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
5% surcharge (per audited F/S)	(11,477,992)	(14,717,043)	(14,731,128)	(14,826,252)	(11,000,000)	(15,425,233)	(15,733,737)
	(377,514,391)	(390,653,181)	(471,661,353)	(465,340,390)	(384,174,770)	(418,469,276)	(473,141,458)
Net Total Transfers	(388,992,383)	(405,370,224)	(486,392,481)	(480,166,642)	(395,174,770)	(433,894,509)	(488,875,195)
Ending Cash Balance	115,687,885	159,949,026	135,041,132	92,983,377	70,324,607	70,592,098	84,100,903
Encumbrances							
Unencumbered Cash Balance	115,687,885	159,949,026	135,041,132	92,983,377	70,324,607	70,592,098	84,100,903

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Passenger Facility Charge Special Fund
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-363-D

Intended Purpose:

Used for Passenger Facility Charge Program (Inception date 10/01/01)

Source of Revenues:

Passenger Facility Charge (PFC) Collections and related interest income

Current Program Activities/Allowable Expenses:

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	134,522,929	134,300,103	178,329,490	211,743,694	187,778,308	107,898,601	98,439,491
Revenues	38,953,765	44,056,252	45,548,069	45,606,603	17,437,923	29,572,982	36,742,742
Expenditures				18,114,160			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(39,176,590)	(26,866)	(12,133,865)	(51,457,828)	(97,317,631)	(39,032,092)	(22,874,490)
Net Total Transfers	(39,176,590)	(26,866)	(12,133,865)	(51,457,828)	(97,317,631)	(39,032,092)	(22,874,490)
Ending Cash Balance	134,300,103	178,329,490	211,743,694	187,778,308	107,898,601	98,439,491	112,307,744
Encumbrances							
Unencumbered Cash Balance	134,300,103	178,329,490	211,743,694	187,778,308	107,898,601	98,439,491	112,307,744

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	167,808,469	64,030,122	87,504,810	905,688	1,905,767	1,905,767	1,905,767
Revenues	70,035,526	8,323,700	905,687	1,000,079	0	0	0
Expenditures		43,000,000	87,609,097	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(173,813,873)	58,150,988	104,287	0			
Net Total Transfers	(173,813,873)	58,150,988	104,287	0	0	0	0
Ending Cash Balance	64,030,122	87,504,810	905,688	1,905,767	1,905,767	1,905,767	1,905,767
Encumbrances							
Unencumbered Cash Balance	64,030,122	87,504,810	905,688	1,905,767	1,905,767	1,905,767	1,905,767

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-370-D
Outside State Treasury

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	163,594	112,261,420	113,453,421	73,003,150	82,817,837
Revenues	0	66,882,169	204,703,428	63,286,939	31,822,203	54,777,135	67,742,268
Expenditures		0	0	0	72,272,474	44,962,448	24,481,816
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(66,718,575)	(92,605,602)	(62,094,938)			
Net Total Transfers	0	(66,718,575)	(92,605,602)	(62,094,938)	0	0	0
Ending Cash Balance	0	163,594	112,261,420	113,453,421	73,003,150	82,817,837	126,078,289
Encumbrances							
Unencumbered Cash Balance	0	163,594	112,261,420	113,453,421	73,003,150	82,817,837	126,078,289

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Future CIP
 Legal Authority: Adminstratively Created

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:
 Provide a reserve for future Airport System Capital Improvement Projects
 Source of Revenues:
 Airport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 Transferred to fund the Airport System Capital Improvement Projects
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	34,173,696	27,349,252	18,765,124	6,846,583	29,822,036	29,822,036	29,822,036
Revenues							
Expenditures							
Transfers			0				
List each net transfer in/out/ or projection in/out; list each account number							
	(6,824,443)	(8,584,129)	(11,918,541)	22,975,453			
Net Total Transfers	(6,824,443)	(8,584,129)	(11,918,541)	22,975,453			
Ending Cash Balance	27,349,252	18,765,124	6,846,583	29,822,036	29,822,036	29,822,036	29,822,036
Encumbrances							
Unencumbered Cash Balance	27,349,252	18,765,124	6,846,583	29,822,036	29,822,036	29,822,036	29,822,036

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN - 195
 Name of Fund: Airport System Legis Claims Against The State-Airports
 Legal Authority: A 19/SL 17

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-395-D

Intended Purpose:
 To satisfy claims for legislative release. (Helix Electric, Inc. v. DCK Pacific Construction, LLC.)
 Source of Revenues:
 Airport Revenue Fund
 Current Program Activities/Allowable Expenses:
 Payments to subcontractors and suppliers for claims: judgements, settlements, or other liabilities.
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on claims

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,080,000						
Beginning Cash Balance	0	10,080,000	6,331,681	224,236	(0)	(0)	(0)
Revenues							
Expenditures	0	3,748,319	107,445	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	10,080,000		(6,000,000)	(224,236)			
Net Total Transfers	10,080,000	0	(6,000,000)	(224,236)	0	0	0
Ending Cash Balance	10,080,000	6,331,681	224,236	(0)	(0)	(0)	(0)
Encumbrances	2,473,600	206,543	0				
Unencumbered Cash Balance	10,080,000	6,125,138	224,236	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Bond Revenue CIP Accounts
 Legal Authority: HRS 39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) E
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:

Bond funded capital improvement projects

Source of Revenues:

Sale of Bond

Current Program Activities/Allowable Expenses:

Funded capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement projects will be implemented

Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	348,919,000	500,437,000	471,614,347	1,903,451,321			
Beginning Cash Balance	205,066,630	172,555,085	143,040,672	309,791,059	169,822,793	119,112,089	702,459,654
Revenues	0	232,075,074	427,391,514	182,311,568	306,625,564	1,051,654,000	
Expenditures	75,511,545	218,589,487	260,641,127	322,279,834	357,336,268	468,306,436	526,844,740
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	43,000,000	(43,000,000)	0	0			
Net Total Transfers	43,000,000	(43,000,000)	0	0	0	0	0
Ending Cash Balance	172,555,085	143,040,672	309,791,059	169,822,793	119,112,089	702,459,654	175,614,913
Encumbrances	743,375,230	722,670,489	548,322,701	746,096,514			
Unencumbered Cash Balance	(570,820,145)	(579,629,817)	(238,531,642)	(576,273,721)	119,112,089	702,459,654	175,614,913

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds	0	232,075,074	427,391,514	182,311,568	306,625,564	1,051,654,000	0
EB-5							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 114, DEF110
 Name of Fund: General Obligation Bond Fund
 Legal Authority: HRS 39

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) C
 Appropriation Acct. No. B-XX-700/B-XX-701

Intended Purpose:
 Various Improvements to Ellison Onizuka Space Museum/KONA Airport Fed Inspection Station
 Source of Revenues:
 General Obligation Bond
 Current Program Activities/Allowable Expenses:
 Capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500,000	2,500,000	1,000,000	1,134,155	0		
Beginning Cash Balance	0	2,500,000	1,536,493	134,155	35,394	35,394	35,394
Revenues							
Expenditures		963,507	1,402,338	98,761			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,536,493	134,155	35,394	35,394	35,394	35,394
Encumbrances							
Unencumbered Cash Balance	0	1,536,493	134,155	35,394	35,394	35,394	35,394

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds				0	0		
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:
 Special funded capital improvement projects
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Funded capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	61,730,000	26,203,000	94,247,653	297,320,897	0		
Beginning Cash Balance	60,885,348	71,212,914	38,833,478	20,681,807	23,410,765	23,410,765	23,410,765
Revenues	0	0	0				
Expenditures	(3,503,123)	40,963,565	30,070,212	(27,881,411)	32,562,000	12,957,000	1,242,000
Transfers			0				
List each net transfer in/out/ or projection in/out; list each account number							
	6,824,443	8,584,129	11,918,541	(25,152,453)	32,562,000	12,957,000	1,242,000
Net Total Transfers	6,824,443	8,584,129	11,918,541	(25,152,453)	32,562,000	12,957,000	1,242,000
Ending Cash Balance	71,212,914	38,833,478	20,681,807	23,410,765	23,410,765	23,410,765	23,410,765
Encumbrances	94,859,694	71,397,128	119,702,210	168,182,353			
Unencumbered Cash Balance	(23,646,780)	(32,563,650)	(99,020,402)	(144,771,588)	23,410,765	23,410,765	23,410,765

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others (PFC)
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) X
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:
 Used to fund projects funded by the Passenger Facility Charge Program & other contributions
 Source of Revenues:
 Passenger Facility Charges Funds
 Current Program Activities/Allowable Expenses:
 Funded approved capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):
 New capital improvement project will be implemented
 Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	14,519,350	15,703,315	6,837,629	7,181,709	7,273,695	7,273,695	7,273,695
Revenues	0	0	0				
Expenditures	37,341,808	8,006,599	3,072,504	51,365,842	81,235,000	25,048,437	3,382,400
Transfers			0				
List each net transfer in/out/ or projection in/out; list each account number							
	38,525,773	(859,087)	3,416,585	51,457,828	81,235,000	25,048,437	3,382,400
Net Total Transfers	38,525,773	(859,087)	3,416,585	51,457,828	81,235,000	25,048,437	3,382,400
Ending Cash Balance	15,703,315	6,837,629	7,181,709	7,273,695	7,273,695	7,273,695	7,273,695
Encumbrances	11,214,427	8,766,146	4,728,963	5,956,273			
Unencumbered Cash Balance	4,488,887	(1,928,518)	2,452,746	1,317,422	7,273,695	7,273,695	7,273,695

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others (CFC)
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) X
 Appropriation Acct. No. S-XX-9XX-D; S-XX-7XX-D

Intended Purpose:
Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

Source of Revenues:
Rent A Car Facility Charges (CFC) Funds
Current Program Activities/Allowable Expenses:

Funded approved capital improvement projects
Purpose of Proposed Ceiling Increase (if applicable):
New capital improvement project will be implemented

Variances:
Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	48,431,290	79,322,128	20,567,957	2,134,755	(55,764,416)	0	0
Revenues	41,089,858	0	0			0	0
Expenditures	140,199,020	95,731,991	93,846,458	78,278,725			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	130,000,000	36,977,819	75,413,257	20,379,554			
Net Total Transfers	130,000,000	36,977,819	75,413,257	20,379,554			
Ending Cash Balance	79,322,128	20,567,957	2,134,755	(55,764,416)			
Encumbrances	284,968,311	189,215,588	86,962,029	17,090,405			
Unencumbered Cash Balance	(205,646,183)	(168,647,632)	(84,827,274)	(72,854,821)			

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
EB-5							
CFC							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal CIP
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-16X-D to S-XX-19X-D;
S-XX-26X-D to S-XX-29X-D;

Intended Purpose:

Capital improvement projects

Source of Revenues:

Federal Grants

Current Program Activities/Allowable Expenses:

Capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented; additional funds required for for existing appropriations

Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	44,205,000	11,002,000	10,155,000	76,257,233			
Beginning Cash Balance	15,463,906	13,269,320	20,806,354	9,260,672	12,631,859	12,631,859	12,631,859
Revenues	11,757,789	21,298,577	39,684,692	23,602,507	0	0	0
Expenditures	13,663,472	13,633,014	52,506,069	11,357,126	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(288,903)	(128,528)	1,275,694	(8,874,194)			
Net Total Transfers	(288,903)	(128,528)	1,275,694	(8,874,194)	0	0	0
Ending Cash Balance	13,269,320	20,806,354	9,260,672	12,631,859	12,631,859	12,631,859	12,631,859
Encumbrances	51,143,021	51,143,021	42,559,701				
Unencumbered Cash Balance	(37,873,702)	(30,336,667)	(33,299,029)	12,631,859	12,631,859	12,631,859	12,631,859

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal O & M
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D
S-XX-50X-D

Intended Purpose:
 Federal portion of projects matched by operating funds
 Source of Revenues:
 Federal grants
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,881,000	7,403,250	3,606,000	10,435,139			
Beginning Cash Balance	1,032,386	1,251,256	1,446,058	0	670,507	670,507	670,507
Revenues	1,907,150	2,822,383	7,463,835	1,817,450	2,000,000	2,000,000	2,000,000
Expenditures	1,977,184	2,756,109	7,634,198	1,857,087	2,000,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	288,903	128,528	(1,275,694)	710,144			
Net Total Transfers	288,903	128,528	(1,275,694)	710,144	0	0	0
Ending Cash Balance	1,251,256	1,446,058	0	670,507	670,507	670,507	670,507
Encumbrances	6,065,413	8,464,164	5,358,678	3,501,591			
Unencumbered Cash Balance	(4,814,157)	(7,018,106)	(5,358,678)	(2,831,084)	670,507	670,507	670,507

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) R
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:
 Used to fund project funded by private contribution
 Source of Revenues:

Current Program Activities/Allowable Expenses:
 Funded approved capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,995,000	3,995,000	5,000,000	5,000,000			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Temporary Deposits
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-961

Intended Purpose:
 Security and Other Deposits
 Source of Revenues:
 Customer security and other deposits
 Current Program Activities/Allowable Expenses:
 Received and refunded security and other deposits
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,387,041	8,452,929	9,125,803	10,597,104	10,540,920	10,540,920	10,540,920
Revenues	1,450,957	1,130,475	1,784,142	932,530	0	0	0
Expenditures	385,070	457,601	312,841	988,714	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	8,452,929	9,125,803	10,597,104	10,540,920	10,540,920	10,540,920	10,540,920
Encumbrances							
Unencumbered Cash Balance	8,452,929	9,125,803	10,597,104	10,540,920	10,540,920	10,540,920	10,540,920

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Temporary Deposits
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-962

Intended Purpose:
 Used as a holding account for settlement monies paid to satisfy a contractor's default
 Source of Revenues:
 Customer security and other deposits
 Current Program Activities/Allowable Expenses:
 Funds should be used for the completion of the contract default by the contractor
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	1,462,965	1,462,965	836,991	836,991	836,991
Revenues	0	1,462,965	0	0	0	0	0
Expenditures	0	0	0	625,974	0	0	0
Transfers	0	0	0				
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	1,462,965	1,462,965	836,991	836,991	836,991	836,991
Encumbrances							
Unencumbered Cash Balance	0	0	1,462,965	836,991	836,991	836,991	836,991

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Prepaid Airport Use Charge Fund
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-963-D

Intended Purpose:

To provide for overpayments of Airport Use Charges

Source of Revenues:

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

Current Program Activities/Allowable Expenses:

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Payment out is to clear overpayment balance due as agreed to in the payment plan.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9,245,460	10,350,275	12,403,342	194,209	311,934	311,934	311,934
Revenues	1,104,816	6,553,067	6,790,867	117,725			
Expenditures	0	4,500,000	19,000,000				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	10,350,275	12,403,342	194,209	311,934	311,934	311,934	311,934
Encumbrances							
Unencumbered Cash Balance	10,350,275	12,403,342	194,209	311,934	311,934	311,934	311,934

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Energy Savings Performance Contract
 Legal Authority: HRS 36-41

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-964-D

Intended Purpose:
 ESCO/ESPC Energy Savings
 Source of Revenues:
 Savings from Utilities and Interest Income
 Current Program Activities/Allowable Expenses:
 Payment of P&I, COPS Issuance costs and trustee fees
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,306,466	69,085,173	49,111,917	21,241,293	19,770,433	19,770,433	19,770,433
Revenues	51,576,368	608,379	543,557	412,432			
Expenditures	6,797,661	20,581,636	28,414,180	1,883,292			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	69,085,173	49,111,917	21,241,293	19,770,433	19,770,433	19,770,433	19,770,433
Encumbrances							
Unencumbered Cash Balance	69,085,173	49,111,917	21,241,293	19,770,433	19,770,433	19,770,433	19,770,433

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Airline Rate Mitigation
 Legal Authority: Adminstratively Created

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. S-XX-367-D/T-XX-967-D

Intended Purpose:

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

Source of Revenues:

Aiport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for O & M Expenses
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. S-XX-368-D/T-XX-968-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	84,064,428	84,064,428	91,016,869	98,479,139	104,711,164	112,475,720	124,436,630
Revenues			98,479,139	6,232,025			
Expenditures			98,479,139				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	6,952,441	7,462,270				
Net Total Transfers	0	6,952,441	7,462,270	0	7,764,556	11,960,911	6,804,843
Ending Cash Balance	84,064,428	91,016,869	98,479,139	104,711,164	112,475,720	124,436,630	131,241,473
Encumbrances							
Unencumbered Cash Balance	84,064,428	91,016,869	98,479,139	104,711,164	112,475,720	124,436,630	131,241,473

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Debt Service Funded Coverage
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. S-XX-369-D/T-XX-969-D

Intended Purpose:

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variations

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	22,338,019	22,338,019	22,338,019	27,079,783	27,597,646	27,597,646	27,597,646
Revenues			27,079,783	517,863			
Expenditures			27,079,783				
Transfers	0	0	0				
List each net transfer in/out/ or projection in/out; list each account number							
			4,741,764				
Net Total Transfers	0	0	4,741,764	0	0	0	5,053,516
Ending Cash Balance	22,338,019	22,338,019	27,079,783	27,597,646	27,597,646	27,597,646	32,651,162
Encumbrances							
Unencumbered Cash Balance	22,338,019	22,338,019	27,079,783	27,597,646	27,597,646	27,597,646	32,651,162

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Interest Account
 Legal Authority: Adminstratively Created

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. S-XX-375-D/T-XX-975-D

Intended Purpose:
 Used for payment of interest on outstanding bonds
 Source of Revenues:
 Airport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 Payment of interest on outstanding revenue bonds
 Purpose of Proposed Ceiling Increase (if applicable):

Variances
 Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	35,445,920	27,942,602	24,890,061	50,282,422	31,411,244	31,411,244	31,411,244
Revenues	50,416,476	48,596,526	141,287,249	76,366,409	53,946,629	77,076,523	95,209,649
Expenditures	52,685,366	49,506,501	103,425,386	95,237,587	53,946,629	77,076,523	95,209,649
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(5,234,429)	(2,142,566)	(12,469,501)				
Net Total Transfers	(5,234,429)	(2,142,566)	(12,469,501)				
Ending Cash Balance	27,942,602	24,890,061	50,282,422	31,411,244	31,411,244	31,411,244	31,411,244
Encumbrances							
Unencumbered Cash Balance	27,942,602	24,890,061	50,282,422	31,411,244	31,411,244	31,411,244	31,411,244

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Serial & Principal Account
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. S-XX-376-D/T-XX-976-D

Intended Purpose:
 Used for principal payments on outstanding serial bonds
 Source of Revenues:
 Airport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 Payment of principal on outstanding serial revenue bonds
 Purpose of Proposed Ceiling Increase (if applicable):

Variances
 Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	37,290,000	38,935,000	40,755,000	42,585,000	42,585,000	44,690,000	44,690,000
Revenues	38,935,000	40,755,000	78,072,500	44,690,000	52,138,332	445,000	35,395,000
Expenditures	37,290,000	38,935,000	76,242,500	44,690,000	50,033,332	445,000	35,395,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	38,935,000	40,755,000	42,585,000	42,585,000	44,690,000	44,690,000	44,690,000
Encumbrances							
Unencumbered Cash Balance	38,935,000	40,755,000	42,585,000	42,585,000	44,690,000	44,690,000	44,690,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Airline Rate Mitigation
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. S-XX-377-D/T-XX-977-D

Intended Purpose:
 To provide for the retirement of the principal of Bonds of any series issued in term form.
 Source of Revenues:
 Aiport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 No current activity until July 2021
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Debt Service Reserve
 Legal Authority: Adminstratively Created

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. S-XX-378-D/T-XX-978-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in revenue dependent on bond sale for airport improvement program

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	5,577,824	30,319,082	35,308,157	103,487,157
Revenues			32,895,600	24,741,258	4,989,075	68,179,000	
Expenditures			27,317,776				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	5,577,824	30,319,082	35,308,157	103,487,157	103,487,157
Encumbrances							
Unencumbered Cash Balance	0	0	5,577,824	30,319,082	35,308,157	103,487,157	103,487,157

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	30,319,082	35,308,157	103,487,157	103,487,157

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement
 Legal Authority: Administratively Created

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. S-XX-379-D/T-XX-979-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on ongoing and future projects for airport programs

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	60,000,000	60,000,000	60,000,000	60,000,000	57,666,105	57,666,105	57,666,105
Revenues			60,000,000	0	500,000	500,000	500,000
Expenditures	0	0	60,000,000	2,333,895	500,000	500,000	500,000
Transfers	0	0	0				
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	60,000,000	60,000,000	60,000,000	57,666,105	57,666,105	57,666,105	57,666,105
Encumbrances							
Unencumbered Cash Balance	60,000,000	60,000,000	60,000,000	57,666,105	57,666,105	57,666,105	57,666,105

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRANSPORTATION (TRN)
 Prog ID(s): TRN 301-395
 Name of Fund: Revolving Funds
 Legal Authority: Bond Resoln, §39-61 HRS & §266-19 HRS

Contact Name: Alyssa Pascual
 Phone: 587-3653
 Fund type (MOF) Special Funds (W & B Funds)
 Appropriation Acct. No. S-15-348-D to S-20-349-D

Intended Purpose: Debt Service Cash to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued

Source of Revenues: Transfers of Funds from TRN 395 (Harbors Administration Operating Unit)

Current Program Activities/Allowable Expenses: Repay Revenue Bond Debt

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balances transferred during FY2020 due to the Office of the Auditor Report 19-05 Review of Special Funds, Revolving Funds, Trust Funds and Trust Accounts of DOT, which recommended that Harbors transfer the cash balances in its Revolving Funds to Trust Accounts, as Trust Accounts were more appropriate than Revolving Funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	43,030,157	42,450,070	41,728,306	43,200,410	0	0	0
Revenues	30,596,323	28,392,098	28,936,454	7,302,399			
Expenditures	31,176,410	29,113,862	28,936,451	50,502,809			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Trfs for Debt Svc Reserve:			1,472,101				
Net Total Transfers	0	0	1,472,101	0	0	0	0
Ending Cash Balance	42,450,070	41,728,306	43,200,410	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	42,450,070	41,728,306	43,200,410	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRANSPORTATION (TRN)
 Prog ID(s): TRN 301-395
 Name of Fund: Federal CIP Allot (Appn Type C)
 Legal Authority: Fed Fund - A158-SL8 - A40-SL19

Contact Name: Alyssa Pascual
 Phone: 587-3653
 Fund type (MOF) Special Funds (W & B Funds)
 Appropriation Acct. No. S-08-260-D to S-20-585-D

Intended Purpose: Cash Allotments to Provide for State Funds to Match Federal Grant Funds

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Encumbrances closed out in FY2020, balances are 0.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000,000	3,000	3,000				
Beginning Cash Balance	328,525	328,525	328,525	0	0	0	0
Revenues	0						
Expenditures	0		328,525				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	328,525	328,525	0	0	0	0	0
Encumbrances			1,062				
Unencumbered Cash Balance	328,525	328,525	(1,062)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department TRANSPORTATION (TRN)
 Prog ID(s) TRN 301-395
 Name of F State CIP _____
 Legal Auth A218/SL95 - A40/SL19

Contact Name: Alyssa Pascual
 Phone: 587-3653
 Fund type (MOF) Special Funds (B & R Funds)
 Appropriation Acct. No. S-95-602-D to S-20-970-D

Intended Purpose: To Fund CIP Projects with Specific Appropriations

Source of Revenues: Harbors Special Fund

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY2018 CIP expenditures for projects funded by Harbors Cash Balances, other than Harbors Modernization Projects, are planned to proceed. FY2020 CIP expenditures for projects funded by Harbors Cash Balances have proceeded, revenues have been affected by the COVID-19 Pandemic. FY2021, Harbors Modernization Projects to proceed.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	24,470,000	8,197,000	8,509,000	28,000	2,532,000	30,000,000	30,000,000
Beginning Cash Balance	40,939,836	39,217,990	138,063,698	127,079,672	173,503,564	105,712,343	82,060,969
Revenues				5,783			
Expenditures	6,651,846	23,684,803	23,259,767	33,374,849	118,791,221	121,151,374	113,737,095
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers In from Harbors Special Fund via Allotment Advices	4,930,000						
Advances fm Harbors Special Fund JS1049		34,000,000					
Advances fm Harbors Special Fund JS1723		29,000,000					
Trf fm Harbors Special Fund for KMR Land FAMIS JV JM3034		32,820,000					
Loan to Special Funds CIP - Land Related Items JV JM3034		3,180,000					
Trf to Harbors State CIP - Cash Financing FAMIS JV JS3908		15,000,000					
Trf to Harbors State CIP - Cash Financing FAMIS JV JS3909		15,590,511					
Trf to Harbors State CIP - Cash Financing FAMIS JV JS4754		100,000					
Trf to Harbors State CIP - Cash Financing FAMIS JV JS6082		24,000,000					
Allotment Advices #18-0052, #18-0292 & #18-0576		4,400,000					
Advances to Revenue Bond Fund-Cash Financing JV JM6303		(560,000)					
Advances to Revenue Bond Fund-Cash Financing JV JM6304		(35,000,000)					
Net Transfer / Reversion of CIP Funds to Harbors Special Fund S-			(8,814,513)				
Trf to Harbors State CIP for Construction Mgmt FAMIS JV JS4712			300,000				
Trf to Harbors State CIP to Extinguish Servco Easement FAMIS JV			1,254,279				
Trf to Harbors State CIP - Cash Financing FAMIS JV JS1979			29,262,766				
Trf to Harbors State CIP - Cash Financing FAMIS JV JS4862			21,000,000				
Trf fm Harbors Special Fund to CIP per FY21 Multi-Year					51,000,000	97,500,000	60,805,000
Trf to Harbors State CIP fm Harbors Special Fund JV JS0204				712,000			
Trf to Harbors State CIP fm Harbors Special Fund JV JS0940				15,000			
Trf to Harbors State CIP fm Harbors Special Fund JV JS1232				(519,860)			
Trf to Harbors State CIP fm Harbors Special Fund JV JS1542				30,943,506			
Trf to Harbors State CIP fm Harbors Special Fund JV JS1937				500,000			
Trf to Harbors State CIP fm Harbors Special Fund JV JS2014				9,000,000			
Trf to Harbors State CIP fm Harbors Special Fund JV JS2291				30,000,000			
Trf to Harbors State CIP fm Harbors Special Fund JV JS2875				(228,126)			

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Trf to Harbors State CIP fm Harbors Special Fund JV JS3866				29,961,092			
Trf to Harbors State CIP fm Harbors Special Fund JV JS4188				15,300,000			
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash			(400,000)				
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash			(220,000)				
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash			(25,000,000)				
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash			(4,381,791)				
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash			(525,000)				
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash			(200,000)				
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash				(150,000)			
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash				(20,000,000)			
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash				(170,000)			
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash				(7,000,000)			
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash				(4,000,000)			
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash				(4,800,000)			
Loan to Rev Bond Fund frm Harbor State CIP Special Fund-Cash				(60,000)			
Proceeds from Reimbursement Bond Fund fm Revenue Bond Fund				289,346			
Net Total Transfers	4,930,000	122,530,511	12,275,741	79,792,958	51,000,000	97,500,000	60,805,000
Ending Cash Balance	39,217,990	138,063,698	127,079,672	173,503,564	105,712,343	82,060,969	29,128,874
Encumbrances	17,091,444	50,650,003	42,392,883	171,226,954			
Unencumbered Cash Balance	22,126,546	87,413,695	84,686,789	2,276,610	105,712,343	82,060,969	29,128,874

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRANSPORTATION (TRN)
 Prog ID(s): TRN 301-395
 Name of Fund: Revenue Bonds
 Legal Authority: A180-SL10 - A6-SL20

Contact Name: Alyssa Pascual
 Phone: 587-3653
 Fund type (MOF): Bond Funds (E Funds)
 Appropriation Acct. No. B-10-662-D to B-20-690-D

Intended Purpose: To fund CIP Projects in Accordance with Bond Covenants

Source of Revenues: Revenue Bond Proceeds

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY2020 Bond Issuance is for a Reimbursement Bond; during FY2020 encumbrances will be transferred to Special Fund CIP Appropriation Accounts, MOF B Appn Type B. These encumbrances pertain to the KCT Land-Side Improvements project located at the KMR area of Honolulu Harbor and Design activity for Kalaeloa Barbers Point Harbor Fuel Pier and Subdivision Infrastructure Improvements.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	539,675,000	38,200,000	139,910,000	198,970,000			
Beginning Cash Balance	81,310,073	127,610,233	31,971,878	15,969,300	1,639,583	1,639,583	1,639,583
Revenues				-			
Expenditures	23,699,840	61,498,355	46,729,369	50,220,370			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Loan from Harbor Special Fund - Cash Financing JV	70,000,000	860,000					
Loans from Harbor State CIP Special Fund-Cash Financing JV JM6304		35,000,000					
Loan from Harbor State CIP Special Fund-Cash Financing JV JM2859			400,000	-			
Loan from Harbor State CIP Special Fund-Cash Financing JV JM2860			220,000				
Loan from Harbor State CIP Special Fund-Cash Financing JV JM2859			25,000,000				
Loan from Harbor State CIP Special Fund-Cash Financing JV JM2981			4,381,791				
Loan from Harbor State CIP Special Fund-Cash Financing JV JM2859			525,000				
Loan from Harbor State CIP Special Fund-Cash Financing JV JM2860			200,000				
Loan from Harbor State CIP Special Fund-Cash Financing JV JM0087				150,000			
Loan from Harbor State CIP Special Fund-Cash Financing JV JM0332				20,000,000			
Loan from Harbor State CIP Special Fund-Cash Financing JV JM0968				170,000			
Loan from Harbor State CIP Special Fund-Cash Financing JV JM3823				4,800,000			
Loan from Harbor State CIP Special Fund-Cash Financing JV JM3824				60,000			
Loan from Harbor State CIP Special Fund-Cash Financing JV JM2355				7,000,000			
Loan from Harbor State CIP Special Fund-Cash Financing JV JM2876				4,000,000			
Repayment of Advances Made by Harbor Special Fund State CIP		(70,000,000)		(289,346)			
Net Total Transfers	70,000,000	(34,140,000)	30,726,791	35,890,654	0	0	0
Ending Cash Balance	127,610,233	31,971,878	15,969,300	1,639,583	1,639,583	1,639,583	1,639,583
Encumbrances				39,266,427			
Unencumbered Cash Balance	127,610,233	31,971,878	15,969,300	(37,626,844)	1,639,583	1,639,583	1,639,583

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRANSPORTATION (TRN)
 Prog ID(s): TRN 301-395
 Name of Fund: Operating Trust
 Legal Authority: _____

Contact Name: Alyssa Pascual
 Phone: 587-3653
 Fund type (MOF) Trust & Agency (T Funds)
 Appropriation Acct. No. T-20-946-D to T-21-947-D

Intended Purpose: Debt Service Cash Reserves to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued

Source of Revenues: Transfer of Funds from TRN 395 (Harbors Administration Operating Unit)

Current Program Activities/Allowable Expenses: Repay Revenue Bond Debt

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balances transferred during FY2020 from Harbors Revolving Funds due to the Office of the Auditor Report 19-05 Review of Special Funds, Revolving Funds, Trust Funds and Trust Accounts of DOT, which recommended that Harbors transfer the cash balances in its Revolving Funds to Trust Accounts, as Trust Accounts were more appropriate than Revolving Funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	43,122,618	43,122,618	43,122,618
Revenues				53,639,906	30,480,000	34,906,000	34,893,000
Expenditures				10,517,288	30,480,000	34,906,000	34,893,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	43,122,618	43,122,618	43,122,618	43,122,618
Encumbrances							
Unencumbered Cash Balance	0	0	0	43,122,618	43,122,618	43,122,618	43,122,618

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRANSPORTATION (TRN)
 Prog ID(s): TRN 301-395
 Name of Fund: Operating Trust
 Legal Authority: _____

Contact Name: Alyssa Pascual
 Phone: 587-3653
 Fund type (MOF) Trust & Agency (T Funds)
 Appropriation Acct. No. T-93-941-D to T-21-941-D

Intended Purpose: Security Deposits from Leases and Contracts & Insurance Proceeds for Kawaihae Harbor Earthquake Damage

Source of Revenues: Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits

Current Program Activities/Allowable Expenses: N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,601,712	5,865,361	5,366,200	3,884,184	2,614,659	2,614,659	2,614,659
Revenues	14,818,397	10,754,544	16,890,564	7,964,590	15,100,000	15,100,000	15,100,000
Expenditures - Pmts to OHA & Othe	13,539,173	11,205,635	18,372,580	9,234,115	15,100,000	15,100,000	15,100,000
Expenditures - Payments to Refund	15,575	48,070					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,865,361	5,366,200	3,884,184	2,614,659	2,614,659	2,614,659	2,614,659
Encumbrances	2,000	2,000	0				
Unencumbered Cash Balance	5,863,361	5,364,200	3,884,184	2,614,659	2,614,659	2,614,659	2,614,659

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRANSPORTATION (TRN)
 Prog ID(s): TRN 301-395
 Name of Fund: Harbors Special Fund
 Legal Authority: §266-19 HRS

Contact Name: Alyssa Pascual
 Phone: 587-3653
 Fund type (MOF) Special Funds (B Funds)
 Appropriation Acct. No. S-18-341-D to S-20-341-D

Intended Purpose: Parent Fund for the Commercial Harbors System

Source of Revenues: User Fees Assessed to Harbor Users, Primarily Wharfage Fees, Port Entry Fees, Dockage Fees and Rents

Current Program Activities/Allowable Expenses: Transfers of Funds to Operating Units and for Cash Funded CIP Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	109,259,801	114,937,676	122,143,449	124,110,187	125,215,827	126,148,091	126,148,091
Beginning Cash Balance	145,442,722	91,604,678	126,584,712	135,814,451	86,564,871	111,307,044	103,738,953
Revenues	131,996,682	152,514,825	178,779,063	187,396,720			
Revenues Estimates Reflect Revenues per FY21 MYFP					151,891,000	175,513,000	192,355,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Loans of Harbor Special Funds to Harbor CIP Funds JV1049		(34,000,000)					
Loans of Harbor Special Funds to Harbor CIP Funds JS1723		(29,000,000)					
Trf to Harbors State CIP for KMR Land FAMIS JV JM3034		(32,820,000)					
Trf to Harbors State CIP for Construction Mgmt FAMIS JV JS4712			(300,000)				
Trf to Harbors State CIP to Extinguish Servco Easement FAMIS JV JS5139			(1,254,279)				
Loan to Special Funds CIP for Land Related Items JV JM3034		(3,180,000)					
Trf to Harbors State CIP - Cash Financing FAMIS JV JS3908		(15,000,000)					
Trf to Harbors State CIP - Cash Financing FAMIS JV JS3909		(15,590,511)					
Trf to Harbors State CIP - Cash Financing FAMIS JV JS4754		(100,000)					
Trf to Harbors State CIP - Cash Financing FAMIS JV JS6082		(24,000,000)					
Trf to Harbors State CIP - Cash Financing FAMIS JV JS1979			(29,262,766)				
Trf to Harbors State CIP - Cash Financing FAMIS JV JS4862			(21,000,000)				
Trf to Rectify Harbors Bond Principal Debt Reserve Balance FAMIS JV JS587			(1,754,998)				

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Trf to Rectify Harbors Bond Interest Debt Reserve Balance FAMIS JV JS5871		282,897					
Transfers to State CIP Allotment Advices #18-0052, #18-0292	(4,400,000)						
Net Transfer / Reversion of Lapsed O&M Funds JV JS0207	9,795						
Net Transfer / Reversion of Lapsed O&M Funds JV JS1723	21,228,669						
Net Transfer / Reversion of Lapsed O&M Funds JV JS1743	13,844,201						
Net Transfer / Reversion of Lapsed O&M Funds JV JS1744	17,721,615						
Net Transfer / Reversion of Lapsed O&M Funds JV JS1786	1,559,476						
Net Transfer / Reversion of Lapsed O&M Funds JV JS3598	23,700,963						
Net Transfer / Reversion of Lapsed O&M Funds JV JS3594	171,681						
Net Transfer / Reversion of Lapsed O&M Funds JV JS3595	412,255						
Net Transfer / Reversion of Lapsed O&M Funds JV JS3596	9,087,031						
Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS0589		133,160					
Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS0590		145,700					
Net Transfer / Reversion of Lapsed Restricted Funds FAMISJV JS0591		1,451,051					
Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS0675		201,083					
Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS2181		644,625					
Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS5464		582,754					
Net Transfer / Reversion of Lapsed Restricted Funds FAMIS JV JS5587		4,156,140					
Net Transfer / Reversion of Lapsed Restricted		1,500,000					
Net Transfer / Reversion of Lapsed Restricted				370,392			
Net Transfer / Reversion of Lapsed Restricted				194,726			
Net Transfer / Reversion of Lapsed Restricted				474,004			
Net Transfer / Reversion of Lapsed Restricted				265,997			
Net Transfer / Reversion of Lapsed Restricted				411,502			
Net Transfer / Reversion of Lapsed Restricted				549,783			
Net Transfer / Reversion of Lapsed Restricted				2,437,777			
FAMIS JV JS2180 to facilitate transfer of interest to Aloha Tower Fund		6					
Repay Loans to Fed Operating Funds Appn Type A FAMIS JV JS1861							
Repay Loans to Fed CIP Appn Type C fm Special Fd FAMIS JV JS1824							
Repay Loans to Fed CIP Appn Type C fm Special Fd FAMIS JV JS2027							
Repay Loans to Fed Operating Funds Appn Type A FAMIS JV JS0824		103,505					
Trf to State Operating Funds - Actual		(29,795)					
Loans of Harbor Special Funds to Revenue Bond Funds JV JM		(300,000)					
Net Transfer / Reversion of CIP Funds	300,000						
Transfers to State CIP	(4,930,000)			(115,683,612)	0	(55,000,000)	(58,805,000)
Loans to State CIP per FY19 Multi-Year		0	0				
Trf to Program Appropriations for Harbors Ope	(109,936,123)	(114,937,676)	(122,997,650)	(124,134,575)	(125,215,827)	(126,148,091)	(126,148,091)
Transfer from Revolving Apprn - Stores Acct							
Transfer of Departmental Pro-Rata Share to TF	(2,557,828)	(1,912,495)	(2,180,552)	(1,532,294)	(1,933,000)	(1,933,000)	(1,933,000)
Trf to State CIP Restricted Cash via Allotment	0						

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Other Transfer Departmental Pro-Rata Share to	486,863	0					
Other Transfers - Claims Against the State JV#	(116,000)						
Loans Out to Fed Operating Funds Appropriation	(103,505)						
Repayment of Loans Made to Federal Appropriation	1,021,867						
Loans of Special Funds to Fund Revenue Bonds	(70,000,000)		0				
Repayment of Advances Made to Bond Fund Appropriations	70,000,000						
Net Total Transfers	(185,834,726)	(117,534,791)	(169,549,324)	(236,646,300)	(127,148,827)	(183,081,091)	(186,886,091)
Ending Cash Balance	91,604,678	126,584,712	135,814,451	86,564,871	111,307,044	103,738,953	109,207,862
Encumbrances							
Unencumbered Cash Balance	91,604,678	126,584,712	135,814,451	86,564,871	111,307,044	103,738,953	109,207,862

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRANSPORTATION (TRN)
 Prog ID(s): TRN 301-395
 Name of Fund: State Operating Allotment
 Legal Authority: A345/SL86 - A5/SL19

Contact Name: Alyssa Pascual
 Phone: 587-3653
 Fund type (MOF) Special Funds (B Funds)
 Appropriation Acct. No. S-87-055-D to S-20-055-D

Intended Purpose: Funds Appropriated by Legislature for the Operating Costs of the State of Hawaii Commercial Harbors System

Source of Revenues: Transfers of Funds from the Harbors Special Fund

Current Program Activities/Allowable Expenses: Operating Costs of the Commercial Harbors System

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Increase in projected expenditures for FY2019 over FY2018 actuals due to FY19 expenditures of \$15 million for Special Maintenance Projects versus FY18 Expenditures of \$12 Million. FY19 payment to DOT AIR for Back Rents for KMR Parcels of approximately \$4.2 Million. FY19 Personal Services Cost increase of approximately \$4.5 Million versus FY18 costs.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	109,143,801	114,937,676	122,143,449	124,110,187	125,215,827	126,148,091	126,148,091
Beginning Cash Balance	89,331,325	117,326,954	59,876,992	90,048,857	114,809,566	143,841,393	173,805,484
Revenues	67,714	36,222	50,205	1,514,341	0	0	0
Expenditures	48,147,065	52,945,253	60,558,722	63,863,243	62,323,236	57,897,362	57,910,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Trf from Harbors Special Fund S-FY-341-D to Program Appropriations for Harbors Operations	110,052,123	114,937,676	122,997,650	124,134,575	125,215,827	126,148,091	126,148,091
Debt Service - Reimbursable General Obligation Bonds	(3,380,820)	(3,380,618)	(3,380,808)	(3,380,783)	(3,380,764)	(3,380,638)	(3,381,000)
Net Transfer / Reversion of CIP Funds							
Trfs to Debt Svc Reserve	(30,596,323)	(28,392,098)	(28,936,454)	(28,940,000)			
Trfs for Debt Svc Reserve FY21 MultiYear					(30,480,000)	(34,906,000)	(34,893,000)
Net Transfer / Reversion of Lapsed O&M Funds JV JS0207		(9,795)					
Net Transfer / Reversion of Lapsed O&M Funds JV JS1723		(21,228,669)					
Net Transfer / Reversion of Lapsed O&M Funds JV JS1743		(13,844,201)					
Net Transfer / Reversion of Lapsed O&M Funds JV JS1744		(17,721,615)					
Net Transfer / Reversion of Lapsed O&M Funds JV JS1786		(1,559,476)					
Net Transfer / Reversion of Lapsed O&M Funds JV JS3598		(23,700,963)					
Net Transfer / Reversion of Lapsed O&M Funds JV JS3594		(171,681)					
Net Transfer / Reversion of Lapsed O&M Funds JV JS3595		(412,255)					
Net Transfer / Reversion of Lapsed O&M Funds JV JS3596		(9,087,031)					
Net Transfer / Reversion of Lapsed O&M Funds JV JS4552				(2,437,777)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS0501				(370,392)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS0502				(194,726)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS0503				(474,004)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1543				(265,997)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1544				(411,502)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1547				(549,783)			
Trf from State Harbors Special Funds - Actual		29,795					
FAMIS JV JS2180 to facilitate transfer of interest to Aloha Tower Fund			(6)				
Net Total Transfers	76,074,980	(4,540,931)	90,680,382	87,109,611	91,355,063	87,861,453	87,874,091
Ending Cash Balance	117,326,954	59,876,992	90,048,857	114,809,566	143,841,393	173,805,484	203,769,575
Encumbrances	30,032,396	35,821,370	38,924,649	32,280,053	32,280,053	32,280,053	32,280,053
Unencumbered Cash Balance	87,294,558	24,055,622	51,124,208	82,529,513	111,561,340	141,525,431	171,489,522

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Revenue Bonds
 Legal Authority: Various (Latest: ACT 122 SLH 2014)

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF): Revenue Bond Funds (E)
 Appropriation Acct. No. B-00-457; B-00-459; B-00-462; B-00-470, others

Intended Purpose:

Source of Revenues:

Issuance of Highway Revenue Bonds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	75,344,000	255,900,000	180,575,000	298,100,000	725,565,000	55,200,000	62,500,000
Beginning Cash Balance	47,276,159	135,007,272	83,129,748	44,227,430	96,738,327	229,004,744	181,032,493
Revenues	120,503,062	0	0	100,007,000	180,000,000	0	0
Expenditures	32,721,949	48,367,524	36,902,318	47,496,103	47,733,583	47,972,251	48,212,113
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(50,000)	(3,510,000)	(2,000,000)	0			
Net Total Transfers	(50,000)	(3,510,000)	(2,000,000)	0	0	0	
Ending Cash Balance	135,007,272	83,129,748	44,227,430	96,738,327	229,004,744	181,032,493	132,820,380
Encumbrances	87,586,559	130,461,078	96,208,368	130,275,484	130,926,862	131,581,496	132,239,403
Unencumbered Cash Balance	47,420,713	(47,331,330)	(51,980,938)	(33,537,157)	98,077,883	49,450,997	

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 501-597
 Name of Fund: Federal Operating Allotment
 Legal Authority: FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF): Other Federal Funds
 Appropriation Acct. No. S-xx-203; S-xx-204; S-xx-205; S-xx-206; S-xx-215;S-

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Federal Reimbursement - Federal Clearing Accounts for O&M and county pass thru funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,751,705	21,665,564	23,134,276	31,416,293	31,024,116	22,588,995	23,782,595.00
Beginning Cash Balance	18,776,368	19,873,343	20,232,671	26,998,769	16,046,364	52,251,010	88,636,680
Revenues	33,311,777	19,218,751	210,762,315	45,045,408	45,270,635	45,496,988	45,724,473
Expenditures	4,972,479	4,013,084	7,093,381	9,020,884	9,065,989	9,111,319	9,156,875
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(27,242,323)	(14,846,339)	(196,902,836)	(46,976,930)			
Net Total Transfers	(27,242,323)	(14,846,339)	(196,902,836)	(46,976,930)	0	0	
Ending Cash Balance	19,873,343	20,232,671	26,998,769	16,046,364	52,251,010	88,636,680	125,204,278
Encumbrances	4,810,241	7,219,637	6,037,281	4,992,428	5,017,390	5,042,477	5,067,690
Unencumbered Cash Balance	15,063,102	13,013,034	20,961,488	11,053,936	47,233,620	83,594,203	120,136,589

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: State CIP
 Legal Authority: Various (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF): Special Funds (including county and private) (B,R,S)
 Appropriation Acct. No. Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	53,000,000	16,000,000	16,000,000	7,799,000	152,800,000	0	0
Beginning Cash Balance	1,918,020	2,565,809	6,383,819	6,989,678	10,479,465	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	13,982,725	28,681,734	27,148,815	12,884,225	10,479,465	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	14,630,514	32,499,744	27,754,674	16,374,012			
Net Total Transfers	14,630,514	32,499,744	27,754,674	16,374,012	0	0	0
Ending Cash Balance	2,565,809	6,383,819	6,989,678	10,479,465	0	0	0
Encumbrances	12,408,763	22,630,287	9,312,044	10,512,077	0	0	0
Unencumbered Cash Balance	(9,842,954)	(16,246,468)	(2,322,365)	(32,612)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Federal CIP
 Legal Authority: Title 23 U.S.C. As Amended (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF): Other Federal Funds (N.V.)
 Appropriation Acct. No. S-00-150; S-94-245; S-85-221, S-93-237, S-94-240 oth

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	166,855,000	309,400,000	547,902,000	96,801,000	146,048,000	136,004,000	135,304,000
Beginning Cash Balance	33,161,184	41,821,336	41,279,243	60,728,990	40,520,597	(30,082,701)	(101,039,016)
Revenues	80,788,654	117,243,389	1,326	88,218,514	88,659,607	89,102,905	89,548,419
Expenditures	107,613,508	149,300,465	211,591,822	158,470,552	159,262,905	160,059,219	160,859,516
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	35,485,006	31,514,983	186,375,957	50,043,645			
Net Total Transfers	35,485,006	31,514,983	186,375,957	50,043,645	0	0	
Ending Cash Balance	41,821,336	41,279,243	16,064,704	40,520,597	(30,082,701)	(101,039,016)	(172,350,112)
Encumbrances	324,154,009	485,725,566	299,146,798	235,450,975	236,628,229	237,811,371	239,000,427
Unencumbered Cash Balance	(282,332,673)	(444,446,323)	(283,082,094)	(194,930,378)	(266,710,931)	(338,850,386)	(411,350,539)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Trust Account
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF): Revolving Funds
 Appropriation Acct. No. S-xx-322, S-xx-332, S-94-323, S-94-324, S-94-325

Intended Purpose:

Source of Revenues:

Transfer in from other funds

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringes and construction and admin programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	21,568,680	43,895,882	40,868,931	16,998,529	34,613,932	13,565,692	(7,587,789)
Revenues	71,823,237	69,234,837	47,372,493	49,506,149	49,753,680	50,002,448	50,252,461
Expenditures	79,166,035	81,413,003	86,049,410	70,449,672	70,801,920	71,155,930	71,511,709
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	29,670,000	9,151,215	14,806,515	38,558,925			
Net Total Transfers	29,670,000	9,151,215	14,806,515	38,558,925	0	0	0
Ending Cash Balance	43,895,882	40,868,931	16,998,529	34,613,932	13,565,692	(7,587,789)	(28,847,038)
Encumbrances	94,448	84,076	84,076	84,076	84,497	84,919	85,344
Unencumbered Cash Balance	43,801,434	40,784,855	16,914,453	34,529,855	13,481,195	(7,672,709)	(28,932,382)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 501-597
 Name of Fund: State Operating Allotment
 Legal Authority HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH B1999

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Special Funds
 Appropriation Acct. No. S-xx-321, 010, 020, 026, 029, 032, 035, 036, 039

Intended Purpose:

Source of Revenues:

Transfer in from other funds

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringes and construction and admin programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	307,427,392	311,142,882	297,526,513	311,094,908	337,776,821	275,418,645	288,968,931
Beginning Cash Balance	171,366,281	155,457,094	139,045,705	164,079,281	137,744,570	176,491,304	215,431,772
Revenues	317,327,918	308,143,025	291,928,413	290,654,351	292,107,623	293,568,161	295,036,001
Expenditures	274,350,463	259,965,764	228,123,654	252,100,387	253,360,889	254,627,693	255,900,832
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(58,886,642)	(64,588,650)	(39,077,509)	(64,888,675)			
Net Total Transfers	(58,886,642)	(64,588,650)	(39,077,509)	(64,888,675)	0	0	0
Ending Cash Balance	155,457,094	139,045,705	163,772,954	137,744,570	176,491,304	215,431,772	254,566,942
Encumbrances	129,882,401	98,973,106	95,737,649	88,984,840	89,429,764	89,876,913	90,326,297
Unencumbered Cash Balance	25,574,693	40,072,599	68,035,306	48,759,731	87,061,540	125,554,859	164,240,645

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 595
 Name of Fund: Operating Trust
 Legal Authority: Ch 264 HRS 1983

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF): Trust Funds
 Appropriation Acct. No.: T-xx-921

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	12,568,075	10,128,456	7,870,592	8,498,049	13,160,150	17,845,563	22,554,402
Revenues	129,209	283,887	1,964,626	4,715,792	4,739,371	4,763,068	4,786,883
Expenditures	2,568,828	2,541,750	1,365,800	53,690	53,959	54,228	54,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,128,456	7,870,592	8,469,418	13,160,150	17,845,563	22,554,402	27,286,786
Encumbrances	7,052,284	4,738,562	3,422,033	3,442,353	3,459,564	3,476,862	3,494,247
Unencumbered Cash Balance	3,076,172	3,132,030	5,047,385	9,717,798	14,385,998	19,077,540	23,792,539

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 595
 Name of Fund: Operating Trust
 Legal Authority: Ch 264 HRS 2007

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF): Trust Funds
 Appropriation Acct. No.: T-xx-923

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	499,095	502,913	506,150	510,346	529,182	548,111	567,135
Revenues	3,818	3,237	4,196	18,835	18,929	19,024	19,119
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	502,913	506,150	510,346	529,182	548,111	567,135	586,254
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	502,913	506,150	510,346	529,182	548,111	567,135	586,254

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 595
 Name of Fund: Operating Trust
 Legal Authority: Ch 264 HRS 1983

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Trust Funds
 Appropriation Acct. No. T-xx-931

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2021 Legislature**

Department: Transportation - Highways
 Prog ID(s): TRN 501-597
 Name of Fund: Safe Routes to School Program
 Legal Authority: Section 291C-4, HRS

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF): Special Funds
 Appropriation Acct. No.: S-xx-318

Intended Purpose:

Source of Revenues:

Speeding and Traffic Violation Fines
 Current Program Activities/Allowable Expenses:
 Counties Safe Routes to School Projects
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	631,500	636,679	641,679	1,299,200	799,200	694,719	694,719
Beginning Cash Balance	986,655	765,310	675,329	700,800	247,533	(208,001)	(665,812)
Revenues	646,723	783,919	613,237	503,671	506,189	508,720	511,264
Expenditures	868,068	873,900	587,765	956,938	961,723	966,531	971,364
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	765,310	675,329	700,800	247,533	(208,001)	(665,812)	(1,125,913)
Encumbrances	291,318	0	0	0	0	0	0
Unencumbered Cash Balance	473,992	675,329	700,800	247,533	(208,001)	(665,812)	(1,125,913)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: General Administration
 Legal Authority: Act 5/SLH2019

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) B
 Appropriation Acct. No. S-001-D

Intended Purpose:

Operating Funds for Administration

Source of Revenues:

Assessments from the other three Divisions (Airports, Harbors and Highways)

Current Program Activities/Allowable Expenses:

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,270,201	24,155,623	22,567,622	22,323,473			
Beginning Cash Balance	6,668,718	9,549,757	11,300,691	14,363,440	15,148,903	15,148,903	15,148,903
Revenues	196,318	227,227	407,842	608,049			
Expenditures	14,276,135	14,980,131	16,303,180	16,348,129			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-011 dtd 07/19/19				(2,500)			
JV-016 dtd 07/24/19				5,578,368			
JV-040 dtd 09/18/19				(5,914,009)			
JV-048 dtd 09/25/19				5,580,868			
JV-083 dtd 12/23/19				5,580,868			
JV-129 dtd 03/19/20				5,701,948			
Net Total Transfers	16,960,856	16,503,838	18,958,087	16,525,543	0	0	0
Ending Cash Balance	9,549,757	11,300,691	14,363,440	15,148,903	15,148,903	15,148,903	15,148,903
Encumbrances	1,357,702	1,856,411	2,352,146	2,189,098			
Unencumbered Cash Balance	8,192,055	9,444,280	12,011,294	12,959,804	15,148,903	15,148,903	15,148,903

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 695
 Name of Fund: Aloha Tower Development Corporation
 Legal Authority: Act 5/SLH2019

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) B
 Appropriation Acct. No. S-088-D

Intended Purpose:

ATDC was placed within the Department of Transportation for administrative purposes, pursuant to section 26-35

Source of Revenues:

Monthly lease payments

Current Program Activities/Allowable Expenses:

The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development, re-development or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,842,173	1,842,173	1,842,173	1,842,173			
Beginning Cash Balance	1,465,143	1,860,084	2,253,423	2,651,264	2,882,710	2,882,710	2,882,710
Revenues	1,010,441	1,010,503	1,014,655	843,946			
Expenditures	612,500	617,165	616,814	612,500			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-003 dtd 07/08/19				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,863,084	2,253,423	2,651,264	2,882,710	2,882,710	2,882,710	2,882,710
Encumbrances							
Unencumbered Cash Balance	1,863,084	2,253,423	2,651,264	2,882,710	2,882,710	2,882,710	2,882,710

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 U.S.C. SEC 5309 - NEW STARTS
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-213-D (S-14-213-D)

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5309. These funds assist in financing the acquisition, construction reconstruction, and improvement of facilities and equipment for use in mass transportation in urban areas.

Source of Revenues:

FTA Grant HI-03-0038

Current Program Activities/Allowable Expenses:

Pass thru payments to DLNR

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: Expenditure increases due to pass through for ferry terminal - Lahaina detail design.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,461,747	7,203,059	7,203,059	7,203,059			
Beginning Cash Balance	1,400,000	0	0	0	0	0	0
Revenues	3,768,684	0	0	147,465			
Expenditures	3,768,684	0	0	147,465			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,400,000)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: SPR - STATE PLANNING AND RESEARCH
 Legal Authority: Aministratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-222-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

Source of Revenues:

FHWA Grant SPR-0010 (36)

Current Program Activities/Allowable Expenses:

Planning

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Expenditures due to pass through payment to the County of Maui for engineering svc.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				245,665			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				63,673			
Expenditures				63,673			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 USC SEC 5310-CAP ASST ELDERLY/DIS
 Legal Authority: Aministratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-230-D

Intended Purpose:

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0038, HI-2016-006, HI-2017-006, HI-2018-013. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii. During FY18, 4 contracts were encumbered for vehicles.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	813,567	3,487,234			
Beginning Cash Balance	0	0	89,278	15,468	15,970	15,970	15,970
Revenues	0	89,705	553,719	35,894			
Expenditures	0	427	627,529	35,392			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	89,278	15,468	15,970	15,970	15,970	15,970
Encumbrances							
Unencumbered Cash Balance	0	89,278	15,468	15,970	15,970	15,970	15,970

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 U.S.C. SEC 5311 - NONURBANIZED AREA FORMULA
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-231-D

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5311. These funds provide financial, operating, and technical assistance in providing public transportation in non-urbanized areas.

Source of Revenues:

FTA Grant HI-2016-004, HI-2017-004, HI-2018-011.

Current Program Activities/Allowable Expenses:

Pass thru payments to Counties of Maui, Kauai and Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: Pass through payments to counties for operating assistance and training

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	257,337	3,829,564	1,924,328	11,400,373			
Beginning Cash Balance	0	0	1,749	6,572	523,184	523,184	523,184
Revenues	459,237	1,467,413	1,001,969	1,312,993			
Expenditures	459,237	1,465,665	997,146	1,317,962			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-024 dtd 8/20/2019				11,581			
JV-037 dtd 9/18/2019				510,000			
Net Total Transfers	0	0	0	521,581	0	0	0
Ending Cash Balance	0	1,749	6,572	523,184	523,184	523,184	523,184
Encumbrances							
Unencumbered Cash Balance	0	1,749	6,572	523,184	523,184	523,184	523,184

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: SPR - State Planning and Research
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-236-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

Source of Revenues:

FHWA Grant SPR-0010 (33) / (34) / (35)

Current Program Activities/Allowable Expenses:

Staff time, Travel

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	34,924	0	0	0	0	0	0
Revenues	0	0	0				
Expenditures	0	0	0				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(34,924)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-240-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization

Source of Revenues:

PL-0052(038)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,649,294	0	4,302,611	28,422			
Beginning Cash Balance	43,127	112,347	166,716	27,666	0	0	0
Revenues	161,485	272,162	60,457				
Expenditures	92,265	209,793	43,413	28,422			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				755			
Net Total Transfers	0	(8,000)	(156,092)	755	0	0	0
Ending Cash Balance	112,347	166,716	27,666	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	112,347	166,716	27,666	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: _____
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-241-D

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5305(d). These funds provides funding to support cooperative, continuous, Source of Revenues:

FTA Grant HI-80-0025, HI-2016-005, HI-2018-003, HI-2018-012.

Current Program Activities/Allowable Expenses:

Consultant contract payments, Staff time, Other administrative support costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0			1,951,595			
Beginning Cash Balance	0	0	0	0	20,973	20,973	20,973
Revenues	0	227,470	364,580	249,471			
Expenditures	0	227,470	364,580	249,471			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-024 dtd 8/20/2019				20,973			
Net Total Transfers	0	0	0	20,973	0	0	0
Ending Cash Balance	0	0	0	20,973	20,973	20,973	20,973
Encumbrances		385,446	107,950	65,380			
Unencumbered Cash Balance	0	(385,446)	(107,950)	(44,407)	20,973	20,973	20,973

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 USC SECT 5305 (E) - PLANNING PGRM
 Legal Authority: A 53/SL 18

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-242-D

Intended Purpose:

Federal Transit Administration (FTA) grant under Section 5305 (e).

Source of Revenues:

FTA Grant: HI-2019-002-00

Current Program Activities/Allowable Expenses:

Statewide planning

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				180,360			
Beginning Cash Balance		0	0	0	50,000	50,000	50,000
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
JV-024 dtd 8/20/2019				50,000			
Net Total Transfers	0	0	0	50,000	0	0	0
Ending Cash Balance	0	0	0	50,000	50,000	50,000	50,000
Encumbrances				145,964.00			
Unencumbered Cash Balance	0	0	0	(95,964)	50,000	50,000	50,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-245-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization

Source of Revenues:

PL-0052(040)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	0	8,831,584			
Beginning Cash Balance		0	122,299	78,432	166,436	166,436	166,436
Revenues		178,299	402,357	594,067			
Expenditures		56,000	593,223	495,313			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-004 dtd 7/10/2019				(15,000)			
JV-021 dtd 8/13/2019				(4,250)			
JV-023 dtd 8/19/2019				8,501			
Net Total Transfers	0	0	147,000	(10,750)	0	0	0
Ending Cash Balance	0	122,299	78,432	166,436	166,436	166,436	166,436
Encumbrances		80,000	421,699	240,937			
Unencumbered Cash Balance	0	42,299	(343,266)	(74,501)	166,436	166,436	166,436

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 USC SEC 5339-BUS AND BUS FACILITIES
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-253-D

Intended Purpose:

Federal Transit Administration (FTA) Capital grant under Section 5339. These funds provide capital funding to replace, rehabilitate and purchase buses and related equipment and to construct bus-related facilities.

Source of Revenues:

FTA Grant HI-2016-002, HI-2017-003, HI-2018-002, HI-2018-010.

Current Program Activities/Allowable Expenses:

Pass thru payments to Counties of Maui, Kauai and Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Pass through payments for buses on Kauai

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,542,390	10,792,627			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	40,510	240,149	1,661,974			
Expenditures	0	40,510	240,149	1,661,974			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: _____
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-254-D

Intended Purpose:

Federal Transit Administration (FTA) grant under Section 5329. These funds provide funding to develop or carry out State Safety Oversight (SSO) programs in accordance with the Moving Ahead for Progress in the 21st Century Act (MAP-21).

Source of Revenues:

FTA Grant HI-2017-005.

Current Program Activities/Allowable Expenses:

Administrative Expenses, Training, Consultant Services, and Other Expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	382,318	575,090			
Beginning Cash Balance	0	0	64,979	72,575	72,873	72,873	72,873
Revenues	0	176,928	191,692	255,834			
Expenditures	0	111,949	184,096	255,536			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	64,979	72,575	72,873	72,873	72,873	72,873
Encumbrances		158,704	258,793	3,257.00			
Unencumbered Cash Balance	0	(93,725)	(186,218)	69,616	72,873	72,873	72,873

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: SPR - State Planning and Research
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-255-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

Source of Revenues:

FHWA Grant SPR-0010 (39) / (41) / (44)

Current Program Activities/Allowable Expenses:

Staff time, Travel

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	68,643	0	0	2,303,416			
Beginning Cash Balance	22,684	58,878	123,134	25,670	55,905	55,905	55,905
Revenues	37,471	1,790	103,501	119,188			
Expenditures	1,277	4,143	100,965	138,952			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-024 dtd 8/20/2019				50,000			
Net Total Transfers	0	66,609	(100,000)	50,000	0	0	0
Ending Cash Balance	58,878	123,134	25,670	55,905	55,905	55,905	55,905
Encumbrances	0	72,086	1,704,800	1,565,848			
Unencumbered Cash Balance	58,878	51,048	(1,679,130)	(1,509,943)	55,905	55,905	55,905

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Intermodel Surface Transportation Efficiency Programs
 Legal Authority: Act 134, SLH 2013/Act 122, SLH 2014/Act 119, SLH 2015
Act 124, SLH 2016/Act 49, SLH 2017

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-256-D

Intended Purpose:
 Mother Account for Federal Appropriations
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,793,093	9,913,329	17,625,403	19,424,722			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 U.S.C. SEC 5310 - Capital Assistance
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-257-D (S-14-257-D)

Intended Purpose:

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, -0035, -0036, & -0037. All grants have been closed in FY20.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,107,276	1,917,584	1,156,359				
Beginning Cash Balance	97,630	132,554	132,554	132,554	0	0	0
Revenues	15,637	231,525	703,755	0			
Expenditures	15,637	231,525	703,755	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-024 dtd 8/20/2020				(132,554)			
Net Total Transfers	0	0	0	(132,554)	0	0	0
Ending Cash Balance	97,630	132,554	132,554	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	97,630	132,554	132,554	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-258-D (S-14-258-D)

Intended Purpose:

Federal Transit Administration (FTA) Funds - to account for pre-FY14 FTA grants which are still active.

Source of Revenues:

Federal Transit Administration (FTA) Funds.

Current Program Activities/Allowable Expenses:

FTA Grant Nos. HI-04-0004 & HI-37-X008 & HI-34-0001.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,802,205	1,675,744	1,034,278	553,777			
Beginning Cash Balance	1,984,317	680,157	715,661	690,146	180,146	180,146	180,146
Revenues	4,965,984	734,879	1,083,428	64,337			
Expenditures	4,970,144	699,375	1,108,943	64,337			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-037 dtd 9/18/2019				(510,000)			
Net Total Transfers	(1,300,000)	0	0	(510,000)	0	0	0
Ending Cash Balance	680,157	715,661	690,146	180,146	180,146	180,146	180,146
Encumbrances	46,316	98,407					
Unencumbered Cash Balance	633,841	617,254	690,146	180,146	180,146	180,146	180,146

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Oahu Metropolitan Plan Org Tech Study Grant
 Legal Authority: Adminstratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-259-D (S-84-259-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization. This appropriation is no longer being used because currently each year's grant is assigned it's own appropriation.

Source of Revenues:

Federal Highway Administration (FHWA) Funds.

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0						
Beginning Cash Balance	256	0	0	1,500	1,500	1,500	1,500
Revenues	0		1,500				
Expenditures	0						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(256)	0	0	0	0	0	0
Ending Cash Balance	0	0	1,500	1,500	1,500	1,500	1,500
Encumbrances							
Unencumbered Cash Balance	0	0	1,500	1,500	1,500	1,500	1,500

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Oahu Metropolitan Plan Org - Exp Clearing Acct
 Legal Authority: Adminstratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) W
 Appropriation Acct. No. S-334-D (S-84-334-D)

Intended Purpose:

Revolving fund for Oahu Metropolitan Planning Organization. In FY 19, the remaining contract balances were paid off.

Source of Revenues:

Local share funds

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs (local share)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	100,711	10,210	1,500	0	0	0	0
Revenues	0	0	0				
Expenditures	10,004	8,710	1,500				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(80,497)	0	0	0	0	0	0
Ending Cash Balance	10,210	1,500	0	0	0	0	0
Encumbrances	10,210	1,500					
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: URBAN MASS TRANSPORTATION ACT OF 1964
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): R
 Appropriation Acct. No.: S-335-D

Intended Purpose:

To receive private non-profit group's 20% contribution toward the purchase of capital items for private non-profit groups who help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

The 20% private contributions toward the purchase of capital items for private non-profit groups. FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310 (S-257-D).

Current Program Activities/Allowable Expenses:

Purchases of capital items (vehicles such as buses, vans, etc.)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (actual)	FY 2020 (actual)	FY 2021 (estimated)	FY 2022 (estimated)	FY 2023 (estimated)
Appropriation Ceiling	0	728,352		1,102,919			
Beginning Cash Balance	0	55,092	200,549	8,848	54,648	54,648	54,648
Revenues	55,092	200,549	121,707	54,642			
Expenditures	0	55,092	313,408	8,842			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	55,092	200,549	8,848	54,648	54,648	54,648	54,648
Encumbrances	0	200,549	8,842	54,642			
Unencumbered Cash Balance	55,092	0	6	6	54,648	54,648	54,648

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Protocol fund for Executive Heads
 Legal Authority: Act 5/SLH 2019

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): B
 Appropriation Acct. No.: S-398-D

Intended Purpose:

Provide discretionary funds for the head of the department.

Source of Revenues:

\$2,500.00 is transferred from the "General Administration Fund, S-XX-001-D" to fund this appropriation

Current Program Activities/Allowable Expenses:

To provide discretionary funds for the head of the department in accordance with Section 143 of Act 200, SLH 2003 per Comptroller's Memorandum

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	2,500	2,500	2,500	2,500	2,500	2,500
Beginning Cash Balance	1,136	2,291	2,162	1,934	1,608	1,608	1,608
Revenues	0	6	20	42			
Expenditures	239	478	585	934			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-011 dtd 7/19/2019				2,500			
JV-040 dtd 9/18/2019				(1,934)			
Net Total Transfers	1,394	343	337	566	0	0	0
Ending Cash Balance	2,291	2,162	1,934	1,608	1,608	1,608	1,608
Encumbrances	134			739			
Unencumbered Cash Balance	2,157	2,162	1,934	869	1,608	1,608	1,608

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-505-D (S-15-505-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

PL-0052(036)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,829,608			34,751			
Beginning Cash Balance	15,412	20,256	32,015	20,140	3,870	3,870	3,870
Revenues	169,394	137,449	99,681				
Expenditures	164,806	183,690	86,556	11,264			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-021 dtd 8/13/2019				5,006			
JV-023 dtd 8/19/2019				-10,012			
Net Total Transfers	256	58,000	(25,000)	(5,006)	0	0	0
Ending Cash Balance	20,256	32,015	20,140	3,870	3,870	3,870	3,870
Encumbrances	304,997	121,307	34,751	23,487.30			
Unencumbered Cash Balance	(284,741)	(89,292)	(14,611)	(19,617)	3,870	3,870	3,870

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 USC SEC 5307-URBANIZED AREA FORM PGM
 Legal Authority: A124/SL 16

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): N
 Appropriation Acct. No.: S-506-D (S-17-506-D)

Intended Purpose:

Federal Transit Administration (FTA) grant under 5307 Urbanized Area Formula Program - Maui Co.

Source of Revenues:

FTA grant: HI-2018-004-00

Current Program Activities/Allowable Expenses:

Buses for the County of Maui

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Bus purchases

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,040,679.00			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				1,040,365.00			
Expenditures				1,040,365.00			
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-513-D (S-17-513-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

PL-0052(039)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0		3,353,614			
Beginning Cash Balance	0	39,011	187,401	20,126	43,974	43,974	43,974
Revenues	39,011	660,240	361,860	227,724			
Expenditures		495,241	563,227	218,876			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-004 dtd 7/10/2019				15,000			
Net Total Transfers	0	(16,609)	34,092	15,000	0	0	0
Ending Cash Balance	39,011	187,401	20,126	43,974	43,974	43,974	43,974
Encumbrances	792,000	1,128,832	735,110	516,234			
Unencumbered Cash Balance	(752,989)	(941,431)	(714,984)	(472,260)	43,974	43,974	43,974

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 USC SECT 5339(A)&(C) - LOW NO PRGM
 Legal Authority: Administratively created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) P
 Appropriation Acct. No. S-515-D

Intended Purpose:

Federal Transit Administration (FTA) grant under 5339 (a) & (C) - electric replacement buses, chargers, project mgmt

Source of Revenues:

FTA grant: HI-2019-008-00

Current Program Activities/Allowable Expenses:

Project mgmt, electric buses, and associated infrastructure

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				4,080,000.00			
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Bid Bond Deposits - Administration
 Legal Authority: _____

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) T
 Appropriation Acct. No. T-905-D

Intended Purpose:

Receipt and disbursement of Bid Bond Deposits for contracts that are put out to bid.

Source of Revenues:

Receipt of bid bond deposits for contracts that are put out to bid. Interest revenue from the Budget & Finance investment Pool program.

Current Program Activities/Allowable Expenses:

Procurement & contracting.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,400	67,400	16,800	62,400	10,400	10,400	10,400
Revenues	60,000	9,400	69,500	8,000			
Expenditures		60,000	23,900	60,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	67,400	16,800	62,400	10,400	10,400	10,400	10,400
Encumbrances							
Unencumbered Cash Balance	67,400	16,800	62,400	10,400	10,400	10,400	10,400

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: WASHTO Quality Award Program
 Legal Authority: _____

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) T
 Appropriation Acct. No. T-908-D

Intended Purpose:

Receipt and disbursement of cash awards for the Employees Incentive Awards Program.

Source of Revenues:

WASHTO

Current Program Activities/Allowable Expenses:

Cash awards for the Employees Incentive Awards Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,000	3,000	3,000	3,000	0	0	0
Revenues	3,000	3,000	3,000				
Expenditures	3,000	3,000	3,000	3,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,000	3,000	3,000	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	3,000	3,000	3,000	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Federal Work Study Program
 Legal Authority: Federal Fund

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

Through the Federal Work Study (FWS) Program, undergraduate and graduate students work part-time to help pay for the cost of their post-secondary education.

Source of Revenues:

U.S. Department of Education

Current Program Activities/Allowable Expenses:

The FWS program provides assistance to approximately 400 students in on-campus and off-campus employment. Some employment positions are community service based.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193
Expenditures	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority Hatch Act (P.L. 84-352) - Multi State

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

Regional research fund to support cooperative regional research by State Agricultural Experiment Stations (SAES) by stimulating and facilitating inter-state cooperation on research of a regional and national character both among SAES and within the U.S. Department of Agriculture (USDA).

Source of Revenues:

**Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **							
Beginning Cash Balance ***	(41,663)	(144,628)	(247,593)	(350,558)	(381,325)	(381,325)	(381,325)
Revenues	433,167	433,167	433,167	507,511	542,113	542,113	542,113
Expenditures	536,132	536,132	536,132	538,278	542,113	542,113	542,113
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(144,628)	(247,593)	(350,558)	(381,325)	(381,325)	(381,325)	(381,325)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(144,628)	(247,593)	(350,558)	(381,325)	(381,325)	(381,325)	(381,325)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority: Hatch Act, As Amended (P.L. 84-352)

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To promote the efficient production, marketing, distribution, and utilization of farm products to assure a position in research equal to that of industry.

Source of Revenues:

**Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **							
Beginning Cash Balance ***	(21,650)	(352,815)	(683,980)	(1,015,145)	(1,129,591)	(1,129,591)	(1,129,591)
Revenues	914,241	914,241	914,241	1,473,589	1,173,206	1,173,206	1,173,206
Expenditures	1,245,406	1,245,406	1,245,406	1,588,035	1,173,206	1,173,206	1,173,206
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(352,815)	(683,980)	(1,015,145)	(1,129,591)	(1,129,591)	(1,129,591)	(1,129,591)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(352,815)	(683,980)	(1,015,145)	(1,129,591)	(1,129,591)	(1,129,591)	(1,129,591)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority: McIntire-Stennis Act (P.L. 87-788)

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To promote research in Forestry in Land Grant Colleges and Agricultural Experiment Stations

Source of Revenues:

**Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **							
Beginning Cash Balance ***	(643)	(13,680)	(26,717)	(39,754)	(36,457)	(36,457)	(36,457)
Revenues	284,614	284,614	284,614	455,699	330,557	330,557	330,557
Expenditures	297,651	297,651	297,651	452,402	330,557	330,557	330,557
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(13,680)	(26,717)	(39,754)	(36,457)	(36,457)	(36,457)	(36,457)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(13,680)	(26,717)	(39,754)	(36,457)	(36,457)	(36,457)	(36,457)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority P.L. 95-113 Section 1433

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To conduct research on animal health and disease.

Source of Revenues:

**Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **							
Beginning Cash Balance ***	0	0	0	0	0	0	0
Revenues	11,334	11,334	11,334	2,572	2,572	2,572	2,572
Expenditures	11,334	11,334	11,334	2,572	2,572	2,572	2,572
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Agricultural Extension Service
 Legal Authority: Smith-Lever Act, As Amended (7 U.S.C)

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To diffuse among the people of the United States useful and practical information on subjects relating to agriculture and home economics, and to encourage the application of the same.

Source of Revenues:

**Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **							
Beginning Cash Balance ***	(212,276)	(522,581)	(832,886)	(1,143,191)	(856,777)	(856,777)	(856,777)
Revenues	1,364,748	1,364,748	1,364,748	2,077,217	1,455,521	1,455,521	1,455,521
Expenditures	1,675,053	1,675,053	1,675,053	1,790,804	1,455,521	1,455,521	1,455,521
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(522,581)	(832,886)	(1,143,191)	(856,777)	(856,777)	(856,777)	(856,777)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(522,581)	(832,886)	(1,143,191)	(856,777)	(856,777)	(856,777)	(856,777)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: State Higher Education Loan Fund
 Legal Authority: 304A-2160, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-350-F

Intended Purpose:

Per statute, all interest and payments received on account of principal shall be credited to this fund. Loans shall be disbursed to needy students that meet eligibility requirements.

Source of Revenues:

Repayment of loans from borrowers and interest earned.

Current Program Activities/Allowable Expenses:

Awarding and issuance of loans to eligible students with amount of loan being determined based on need for financial aid and academic promise.

Purpose of Proposed Ceiling Adjustment (if applicable):

General Accounting and Loan Collection (GALC) provides Financial Aid Services an allocation to award the State Higher Education loan to students during each academic year.

Variances:

Less students accepted the loan from the previous year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	625,000	625,000	625,000	625,000	625,000	
Beginning Cash Balance	648,699	1,259,421	1,015,403	1,011,576	1,122,605	1,233,633	1,344,662
Revenues	668,948	623,188	653,285	736,092	736,092	736,092	736,092
Expenditures	379,878	867,205	657,113	625,064	625,064	625,064	625,064
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	321,651	0	0	0	0	0	0
Ending Cash Balance	1,259,421	1,015,403	1,011,576	1,122,605	1,233,633	1,344,662	1,455,690
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	1,259,421	1,015,403	1,011,576	1,122,605	1,233,633	1,344,662	1,455,690

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Commercial Enterprises RF (Architecture -
 Name of Fund: HIDESIGN Community Design Center)
 Legal Authority 304A-2251, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

All revenues are received from the State of Hawaii based on MOU's with the different departments e.g. Dept. of Land and Natural Resources, Dept. of Public Safety, Office of State Planning and the University of Hawaii. MOU's are sent to the University of Hawaii's General Counsel for final review before execution.

Current Program Activities/Allowable Expenses:

Projects for Dept of Land & Natural Resources, Dept of Public Safety, Office of State Planning, and the University of Hawai'i based on MOUs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues for FY21-23 are expected to be affected negatively by the pandemic. Revenues in FY20 increased to cover the reimbursement of expenditures that occurred in FY19. Because the fund operates on a reimbursement basis, revenues lag behind the expenditures

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	36,718	18,167	(269,395)	(343,198)	(243,198)	(193,198)
Revenues	60,500	408,314	788,370	919,244	700,000	450,000	500,000
Expenditures	23,782	479,346	1,075,932	993,047	600,000	400,000	450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	52,482	0	0	0	0	0
Ending Cash Balance	36,718	18,167	(269,395)	(343,198)	(243,198)	(193,198)	(143,198)
Encumbrances	0	1,364	150,699	23,455	0	0	0
Unencumbered Cash Balance	36,718	16,803	(420,094)	(366,653)	(243,198)	(193,198)	(143,198)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (Athletics)
 Legal Authority: 304A-2251, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

For the H Zone stores and online operations. The H Zone stores opened on July 1, 2014 under the Mānoa Athletics Department. An agreement was made effective July 1, 2017, to return operations of the H-Zone stores to the Manoa Bookstore. The Ward store was closed in May 2017.

Source of Revenues:

Revenues are from the sales of merchandise (Hawai'i Athletics apparel and accessories). Beginning in 2018, revenues are from profit sharing via the agreement with the Manoa Bookstore.

Current Program Activities/Allowable Expenses:

The current program activities/allowable expenses are the H Zone stores and online operations. Expenses include the cost of the merchandise sold, rent and associated expenses paid for the Ward Center store, personnel expenses, and other expenses needed to run a retail and online retail operation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in revenues and expenses in 2018 due to the operations of the H-Zone stores being transferred to the Manoa Bookstore on July 1, 2017 and the closing of the Ward store in May 2017.

Increase in revenues in 2019 due to the football and MVB team performing better in FY 2019 causing increased sales. Decrease in expenses due to having no operating expenses in FY 2019.

Increase in revenues in 2020 due to the football team performing better in FY 2020 causing increased sales. Decrease in expenses immaterial.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(441,674)	(88,106)	(1,860)	126,779	270,481	320,481	420,481
Revenues	1,173,925	102,116	128,924	143,878	50,000	100,000	100,000
Expenditures	820,356	15,870	285	176			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(88,106)	(1,860)	126,779	270,481	320,481	420,481	520,481
Encumbrances	2,278	1,142	0	0			
Unencumbered Cash Balance	(90,383)	(3,001)	126,779	270,481	320,481	420,481	520,481

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
Prog ID(s): UOH-100

Contact Name: Sandy French
Phone: 956-5495

Name of Fund: UH Commercial Enterprises RF (Auxiliary Services)
Legal Authority: 304A-2251, HRS

Fund type (MOF) W
Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

To account for revenues and expenses generated from conferences coordinated through Conference Center on behalf of its sponsors and H-Zone stores, previously under the Manoa Athletics Dept. from July 1, 2014 - June 30, 2017.

Source of Revenues:

Revenues are generated through sponsor contributions and exhibitor fees for Conference Center operations.
Revenues are generated from the sales of merchandise for H-Zone operations.

Current Program Activities/Allowable Expenses:

The Conference Center provides services in organizing conferences for a variety of sponsors. Services include coordination of registration and management of revenues and expenses for conferences and workshops.
Allowable expenses include payroll, daily operating expenses, renovating and leasing commercial space, meeting room rental, food and beverages, non-personal services, and conference related supplies.
The H-Zone provides emblematic apparel to the general public, supporting UH athletics. Expenses include the cost of merchandise sold, personnel expenses, and other fees related to in-store and online retail operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 to FY19 increase in revenues and expenses were due to the backlog of FY18 conferences that were not closed out until FY19.
FY21 declines are attributed to the pandemic as there are fewer sporting events with fan attendance or walk up access on the physical campus
Conference and event services are also not anticipating to have any new activities for the near future thus revenues would only reflect H-Zone revenues in the out years.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000
Beginning Cash Balance	(1,226,251)	(1,289,180)	(902,099)	(606,769)	(993,884)	(1,146,530)	(1,057,299)
Revenues	495,075	1,160,805	1,563,987	862,556	199,926	369,225	350,000
Expenditures	412,238	773,724	1,268,658	1,249,671	352,572	279,994	279,994
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(145,767)	0	0	0	0	0	0
Ending Cash Balance	(1,289,180)	(902,099)	(606,769)	(993,884)	(1,146,530)	(1,057,299)	(987,293)
Encumbrances	(8,884)	5,877	237,568	64,357	0	0	0
Unencumbered Cash Balance	(1,280,296)	(907,975)	(844,337)	(1,058,241)	(1,146,530)	(1,057,299)	(987,293)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Commercial Enterprises RF (Discoveries & Inventions)
 Name of Fund: Inventions)
 Legal Authority 304A-2251, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

Manoa revenue derived from patents and licenses royalties arranged through OTTED

Current Program Activities/Allowable Expenses:

To support various activities associated with revenue generating project, other operating costs, program activities and research and development.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and expenditures will fluctuate depending on the level of patent and royalty income earned by the respective projects and related support costs or expenditures that occur with the available of funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	84,100	113,385	105,762	106,262	106,825	107,325	107,825
Revenues	0	0	1,357	1,240	1,000	1,000	1,000
Expenditures	30,017	7,623	857	677	500	500	500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	59,303	0	0	0	0	0	0
Ending Cash Balance	113,385	105,762	106,262	106,825	107,325	107,825	108,325
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	113,385	105,762	106,262	106,825	107,325	107,825	108,325

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Commercial Enterprises RF (Division Food
 Name of Fund: Service)
 Legal Authority 304A-2251, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

The UHM Student Affairs Dining Services program was established with the objective of providing innovative dining services programs to satisfy the nutritional needs of the University of Hawai'i at Mānoa community. The program, which falls under the Office of Student Affairs, is a partnership between Student Housing Services and Student Life and Development. It is managed by Sodexo as a financially self-sustaining operation, meaning the revenue generated at the various residence dining halls, retail operations in the student union, and other student affairs-related facilities goes towards the continual improvement of the program.

Source of Revenues:

Mandatory and voluntary meal plan sales, flash cash dining card sales, and all credit and cash sales recorded at the dining locations.

Current Program Activities/Allowable Expenses:

Monthly expenditure reimbursements according to authorized purchases made through the Sodexo management contract. This includes day to day food and beverage inventory purchasing as well as facility maintenance and supply needs in order to operate.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Transfer in is from Student Activities RF fund of the same name. Commercial Enterprise statute was deemed more appropriate for the fund.

In FY2020 lost revenue due to covid from meal plan sales, suspense of food service. For decrease of expenditure, suspense of food service result in lower expenditures such as monthly management fees.

FY 2021 switching to concession base contract, expense for remaining management fee. FY 2022-23 estimate expense for utility and repair & maintenance

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		8,200,000	8,200,000	8,200,000	8,200,000	8,200,000	8,200,000
Beginning Cash Balance	0	0	6,667,408	4,678,430	4,095,032	4,335,032	5,735,032
Revenues		10,621,570	11,117,162	8,723,207	1,100,000	1,600,000	2,100,000
Expenditures		10,445,934	10,429,129	9,306,480	860,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	6,491,772	(2,677,011)	(125)	0	0	0
Ending Cash Balance	0	6,667,408	4,678,430	4,095,032	4,335,032	5,735,032	7,635,032
Encumbrances		160,982	461,960	356,714	170,000	170,000	170,000
Unencumbered Cash Balance	0	6,506,426	4,216,470	3,738,319	4,165,032	5,565,032	7,465,032

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Commercial Enterprises RF (OSA - Mānoa One
 Name of Fund: Card)
 Legal Authority 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

Memorandum of Agreement with authorized Campus Cash vendors, interest income, and purchase of guest ID

Current Program Activities/Allowable Expenses:

Monthly reimbursement to authorized Campus Cash vendors in accordance with Memorandum of Agreements and copier/computer lab sales recorded at Hamilton & Sinclair libraries and more vendors in the future. Also includes monthly credit card merchant fees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2017, monies for campus cash was deposited in object code 0773 but in FY 2018 campus cash deposits were made to object code 9230, deemed a more suitable object code. The difference in expenditures from FY 2017 to FY 2018 was due to a decrease in deposits payable and expenditures in FY 2017 started mid-year.

FY 2019 revenue increase is due to Campus Cash is growing its customer base.

FY 2020 lower revenue due to covid shut down with lower expenditure in accounts payable (less users loading one card points)

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	19,255	29,043	39,965	48,681	49,181	50,181
Revenues	7,972	292	1,048	709	1,500	2,000	2,000
Expenditures	(11,284)	(9,496)	(9,874)	(8,006)	1,000	1,000	1,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,255	29,043	39,965	48,681	49,181	50,181	51,181
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	19,255	29,043	39,965	48,681	49,181	50,181	51,181

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (UH Press)
 Legal Authority: 304A-2251, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Intended purpose are revenues and expenses associated with the acquisition, production, distribution and marketing of books, journals and other media produced by or distributed by the University of Hawaii Press.

Source of Revenues:

The UH Press publishes in permanent form books, journals, recordings, films and electronic communication forms of high merit which add to the sum or foster human wisdom, particularly those which reflect the regional or special interests and responsibilities of the University and other scholarly research organizations in the State of Hawai'i. The Press currently publishes books on Hawai'i, Asia and the Pacific and provides printing services. Revenues to this fund are from sales of publications and production services (printing).

Current Program Activities/Allowable Expenses:

Allowable expenses are fixed and variable expenses associated with the acquisition, production, distribution and marketing of books, journals and other media produced by or distributed by the University of Hawai'i Press.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,709,839	5,709,839	5,709,839	5,709,839	5,709,839	5,709,839	5,709,839
Beginning Cash Balance	2,413,123	2,015,043	1,519,828	1,148,547	1,143,007	1,027,758	1,001,984
Revenues	4,305,674	4,289,259	4,125,559	4,314,901	3,904,525	4,000,000	4,200,000
Expenditures	4,703,754	4,784,474	4,496,839	4,320,441	4,019,774	4,025,774	4,125,774
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,015,043	1,519,828	1,148,547	1,143,007	1,027,758	1,001,984	1,076,210
Encumbrances	1,342,272	1,342,591	633,165	733,866	700,000	700,000	700,000
Unencumbered Cash Balance	672,770	177,237	515,382	409,141	327,758	301,984	376,210

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (VCAA)
 Legal Authority: 304A-2251, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

Assessment conference fees

Current Program Activities/Allowable Expenses:

Assessment conference supplies, food, and rentals. Assessment related conference registration fees and Assessment faculty support,

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	3,495	3,495	3,495
Revenues				3,495	1,000	0	0
Expenditures				0	1,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	3,495	3,495	3,495	3,495
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	3,495	3,495	3,495	3,495

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: Research and Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F, S-381-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues decrease in FY 2018: \$4.267M, of which \$3.2M includes assessments against Manoa for Risk Mgt Fund, and cash deficit recovery at VPRI level. Revenue variance in FY2019 is due to not receiving all of FY2019's revenue in that year; was received in FY2020 instead.

Transfers:

Transfers out in FY 2017 include \$1,924,775 for debt service.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341
Beginning Cash Balance	19,076,332	23,288,067	24,564,834	16,758,229	18,535,416	18,394,344	18,294,668
Revenues	32,313,813	29,904,940	23,655,874	33,156,124	33,321,904	33,488,514	33,655,957
Expenditures	26,177,302	26,790,839	29,662,544	29,513,981	31,661,551	31,809,859	31,958,908
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Biomed bond payment projections					(1,375,172)	(1,355,926)	(1,356,130)
Sinclair bond payment projections					(426,253)	(422,404)	(419,834)
Net Total Transfers	(1,924,775)	(1,837,335)	(1,799,935)	(1,864,956)	(1,801,425)	(1,778,331)	(1,775,964)
Ending Cash Balance	23,288,067	24,564,834	16,758,229	18,535,416	18,394,344	18,294,668	18,215,752
Encumbrances	3,337,213	1,805,293	2,474,672	1,785,601	1,800,000	1,800,000	1,800,000
Unencumbered Cash Balance	19,950,854	22,759,541	14,283,557	16,749,815	16,594,344	16,494,668	16,415,752

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Student Health Center RF
 Legal Authority: 304A-2255, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, this fund was established to pay the cost of operations of the Student Health Center services and shall be replenished through charges made for medical services and other related goods and services, or through transfers from other accounts or funds.

Allows program to provide certain essential medical services, supplies, drugs and lab services as required in medical treatment and the ability to assess certain costs for reimbursement.

Source of Revenues:

Fees for services, sales of medical supplies/prescriptions, mandatory student fees, insurance reimbursements.

Current Program Activities/Allowable Expenses:

Provide primary medical care to university community and promote good health practices.

Allowable expenses are costs incurred to provide these services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY2018 - Variance primarily due to higher payroll costs (i.e. CB increases, significantly higher fringe benefit rates)

Clinic renovation costs estimated at \$667,372 in FY 2021 and \$3,412,374 in FY 2022.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	5,911,499	7,232,494	7,862,682	8,452,254	8,802,293	8,109,082	4,629,065
Revenues	5,098,880	4,996,484	4,967,444	4,594,325	4,205,800	4,129,800	4,129,800
Expenditures	3,777,885	4,366,296	4,377,872	4,244,285	4,899,011	7,609,817	4,209,473
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,232,494	7,862,682	8,452,254	8,802,293	8,109,082	4,629,065	4,549,392
Encumbrances	24,045	3,158	228,074	204,847	50,000	50,000	50,000
Unencumbered Cash Balance	7,208,449	7,859,524	8,224,180	8,597,446	8,059,082	4,579,065	4,499,392

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Transcript and Diploma RF
 Legal Authority: 304A-2256, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Means to defray or recover costs for the preparation, ordering and issuance of transcripts and diplomas and their covers upon requests from students.

Source of Revenues:

Transcript fees, diploma fees, interest earned.

Current Program Activities/Allowable Expenses:

Issues transcripts of academic records and issues diplomas and certificates to graduates.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues decrease due to lowered request for transcripts; expenditures decrease due to personnel vacancies

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	425,000	425,000	425,000	425,000	425,000	425,000	425,000
Beginning Cash Balance	326,140	280,689	214,534	148,613	88,651	71,294	104,522
Revenues	334,468	321,040	307,351	270,534	285,600	320,660	320,660
Expenditures	379,918	387,195	373,271	330,496	302,957	287,432	288,891
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	280,689	214,534	148,613	88,651	71,294	104,522	136,291
Encumbrances	168	9,350	10,167	0			
Unencumbered Cash Balance	280,521	205,184	138,446	88,651	71,294	104,522	136,291

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Student Activities RF (Associated Students of
 Name of Fund: the University of Hawaii)
 Legal Authority 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

ASUH is the University Board of Regents chartered organization responsible for undergraduate student governance on the Mānoa campus. ASUH's purpose as articulated in its constitution, is to "(1) create a body responsive to the needs and desires of its constituents; (2) provide for administrative continuity, and (3) develop a responsible as well as critical awareness of prevalent attitudes and actions through participation in co-curricular activities...". Because the nature of this organization is one of student self-governance and decision-making, there is a great potential for annual shifts and changes to the group's subprograms and activities.

Source of Revenues:

Mandatory student fees, interest income, stock dividends.

Current Program Activities/Allowable Expenses:

ASUH Travel Fund, grant funding for Registered Independent Organization of the UH, sponsoring of one-day events, speakers, programs and advocacy of student interests with UH administrators, BOR representatives and legislators.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, funding grants, travel, stipends, advertising, food and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2018, ASUH requested \$350,000 from the Stadium Endowment fund vs. \$250,000 in FY 2017.

In FY 2019, ASUH requested \$300,000 from the Stadium Endowment fund vs. \$350,000 in FY 2018. For expenditures, lower number and \$ amount of RIO awards processed and approved

In FY 2020, ASUH requested \$200,000 from the Stadium Endowment fund vs. \$300,000 in FY 2019.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	436,187	436,187	436,187	436,187	436,187	436,187	436,187
Beginning Cash Balance	71,548	37,022	100,563	162,239	118,090	159,932	201,774
Revenues	352,789	449,971	402,059	299,410	393,230	393,230	393,230
Expenditures	384,316	377,280	337,383	343,560	351,388	351,388	351,388
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(3,000)	(9,150)	(3,000)	0	0	0	0
Ending Cash Balance	37,022	100,563	162,239	118,090	159,932	201,774	243,616
Encumbrances	27,366	9,434	7,114	2,533	10,000	10,000	10,000
Unencumbered Cash Balance	9,656	91,129	155,125	115,557	149,932	191,774	233,616

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Student Activities RF (Broadcast Communication
 Name of Fund: Authority)
 Legal Authority 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Broadcast Communication Authority is the chartered student organization whose purposes are to (1) govern and operate broadcast facilities for the education and entertainment of the University community and the public, and (2) provide an avenue for the training and education of individuals involved in student broadcast programs of the organization.

Source of Revenues:

Mandatory student fees, interest income

Current Program Activities/Allowable Expenses:

KTUH 90.3 FM - the campus radio station operating with a FCC license to provide alternative musical, cultural and educational programming, 24 hours per day. Student Video Filmmakers Association - SVFA provides students with technical and management training in video and film production. SVFA produces a monthly one-half hour program, UH Magazine, on public access television.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, advertising, travel, stipends, food and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Given the evolution of media technology, the BCA has plans to enhance student involvement and activities, thereby increasing operational expenses. Increased program activity is occurring for the video production service known as UH Productions. This requires more expensive ongoing equipment expenses.

Variances:

In FY 2020, increased expenditures due to higher amount of stipend payout, KTUH purchased and switched out radio/ DJ equipment.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	220,000	220,000	220,000	220,000	220,000	220,000	220,000
Beginning Cash Balance	90,316	90,241	101,935	105,179.1	79,016	54,886	62,556
Revenues	112,991	119,637	116,497	114,287	95,000	126,800	126,800
Expenditures	113,066	107,943	114,502	140,450	119,130	119,130	119,130
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	1,250	0	0	0	0
Ending Cash Balance	90,241	101,935	105,179	79,016	54,886	62,556	70,226
Encumbrances	0	0	863	0	0	0	0
Unencumbered Cash Balance	90,241	101,935	104,316	79,016	54,886	62,556	70,226

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Board of Publications)
 Legal Authority: 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Board of Publications is responsible for all student publications supported by funds derived from student activity fees. The board strives to provide the best journalistic services possible while providing an educational environment for students through on-the-job training in the publications field.

Source of Revenues:

Mandatory student fees, interest income, advertising revenue, subscriptions, printing revenue.

Current Program Activities/Allowable Expenses:

Ka Leo O Hawai'i - daily student newspaper; Hawai'i Review - UH Mānoa literary journal; Student Planner - annual planner/handbook; Beau Press - printing facility dedicated to BOP publications and UH system printing jobs.

Allowable expenses include personnel costs, general operating expenses, repairs & maintenance costs, equipment, printing expenses, stipends, travel, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2019, BOP is controlling expenditures on stipends, printing, and advertising to bring back profit.

FY 2020, BOP in downward sales trend combined with covid. BOP continues with controlling expenditures on stipends, printing and advertising

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300
Beginning Cash Balance	297,544	209,471	155,359	211,200	260,305	260,472	346,672
Revenues	513,919	504,515	515,454	452,244	409,000	500,000	500,000
Expenditures	601,993	558,627	459,612	403,140	408,833	413,800	413,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	209,471	155,359	211,200	260,305	260,472	346,672	432,872
Encumbrances	48,007	53,393	60,730	99,819	50,000	50,000	50,000
Unencumbered Cash Balance	161,464	101,966	150,470	160,486	210,472	296,672	382,872

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Campus Center Board)
 Legal Authority: 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Campus Center Board is responsible for setting policy regarding the operations of the Campus Center complex, provides directional input into the planning of the Center's Master Plan, and provides a learning experience to its members by interfacing with a diverse group of people. The Campus Center Activities Council presents co-curricular programs for the University community under four major committees: Culture, Educational Support, Personal Wellness and Recreation.

Source of Revenues:

Mandatory student fees, interest income

Current Program Activities/Allowable Expenses:

The CC Activities Council sponsors programs for the University community. Programs vary depending upon the student programmers. Some programs include speakers, musical performers, Homecoming Scholar Award, and the All Nighter events each semester.

Allowable expenses include personnel costs, general operating expenses, repair and maintenance costs, equipment, stipends, advertising, travel, food and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Due to the opening of the new Recreation Center and the implementation of the One Card program, increase in funding is necessary.

Variances:

Although expenditures increased for Special Projects such as Aloha Bash, programming committees organized and hosted less/smaller events and cost for student employees decreased in FY 2018.

FY2020, decreased expenditure due to covid causing student activities such as Aloha Bash and Taste of Manoa to be cancelled

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000	129,000
Beginning Cash Balance	611,162	461,245	383,825	340,984	442,390	478,773	605,338
Revenues	524,791	495,229	504,078	474,341	409,818	500,000	500,000
Expenditures	674,708	572,648	539,420	372,935	373,435	373,435	373,435
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(7,500)	0	0	0	0
Ending Cash Balance	461,245	383,825	340,984	442,390	478,773	605,338	731,903
Encumbrances	1,981	1,382	863	3,270	1,300	1,300	1,300
Unencumbered Cash Balance	459,264	382,443	340,121	439,119	477,473	604,038	730,603

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Co-Curricular Activities)
 Legal Authority: 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

This major appropriation consists of a number of miscellaneous program accounts established for different student activity programs as authorized by HRS 304A-2257. These accounts serve a myriad of student needs including orientation and transition programs, leadership education for students and student groups, registered independent clubs and organizations, student leadership training and development system-wide, student transportation services, and program equipment reserve and replacement.

Source of Revenues:

Mandatory student fees, interest income, program registration fees

Current Program Activities/Allowable Expenses:

Personnel costs, general operating expenses, repairs and maintenance costs, equipment, printing expenses, stipends, travel, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2018, New Student Orientation did not charge students/parents for their programs and most expenditures were for student employees and meals served during orientation.

In FY 2019, New Student Orientation continued to not charge students/parents for their programs; most expenditures were for student employees and meals served during orientation. Starting Spring 2019, the reduced expenditure is due to New Student Orientation no longer hosted by SLD

FY 2020, New Student Orientation no longer hosted by SLD caused a decrease in revenue and expenditures

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	483,294	451,450	305,166	205,450	186,080	162,880	139,680
Revenues	192,371	130,143	(51,787)	2,413	2,500	2,500	2,500
Expenditures	228,445	158,825	56,875	21,783	25,700	25,700	25,700
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	4,230	(117,602)	8,946	(1)	0	0	0
Ending Cash Balance	451,450	305,166	205,450	186,080	162,880	139,680	116,480
Encumbrances	13,699	16,617	0	0	15,000	15,000	15,000
Unencumbered Cash Balance	437,750	288,548	205,450	186,080	147,880	124,680	101,480

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Division Food Service)
 Legal Authority: 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The UHM Student Affairs Dining Services program was established with the objective of providing innovative dining services programs to satisfy the nutritional needs of the University of Hawai'i at Mānoa community. The program, which falls under the Office of Student Affairs, is a partnership between Student Housing Services and Student Life and Development. It is managed by Sodexo as a financially self-sustaining operation, meaning the revenue generated at the various residence dining halls, retail operations in the student union, and other student affairs-related facilities goes towards the continual improvement of the program.

Source of Revenues:

Mandatory and voluntary meal plan sales, flash cash dining card sales, and all credit and cash sales recorded at the dining locations.

Current Program Activities/Allowable Expenses:

Monthly expenditure reimbursements according to authorized purchases made through the Sodexo management contract. This includes day to day food and beverage inventory purchasing as well as facility maintenance and supply needs in order to operate.

Purpose of Proposed Ceiling Adjustment (if applicable):

With the task of managing a food service contract, an increase in the expenditure ceiling is a necessity to provide optimum goods and services.

Variances:

Transfer out is to the Commercial Enterprise RF fund of the same name. Commercial Enterprise statute was deemed more appropriate for the fund. In FY 2018, revenue was for interest only and expenditures were for prior fiscal year.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,200,000						
Beginning Cash Balance	7,372,094	7,363,074	0	0	0	0	0
Revenues	10,226,776	28,817	0	0	0	0	0
Expenditures	10,235,795	900,094	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(6,491,797)	0	0	0	0	0
Ending Cash Balance	7,363,074	0	0	0	0	0	0
Encumbrances	906,451	0	0	0	0	0	0
Unencumbered Cash Balance	6,456,623	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Graduate Student
 Legal Authority: 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

GSO is the University Board of Regents chartered organization responsible for graduate student governance on the Mānoa campus. GSO's purpose, as articulated in its constitution, is "(a) to provide representative input on policies affecting UHM graduate students in general or any subgroups thereof; (b) to provide an advisory body for the Dean of the Graduate Division of the University of Hawai'i System; (c) to provide an organization from which graduate student representatives can be selected for recommendation to serve upon campus-wide committees; and (d) to initiate and maintain co-curricular programs that are relevant to UH graduate students". This appropriation also consists of the student affairs division food service.

Source of Revenues:

Mandatory student fees, interest income, food service meal plan and declining balance sales

Current Program Activities/Allowable Expenses:

The Graduate Student Organization sponsors a Grants & Awards Program that supports graduate student research activities, participates in the orientation of new graduate students, offers social and other networking opportunities, and provides funding for advertising, travel, stipends, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

The Graduate Student Organization has increased the allocation for their grants and awards program which is approx. two-thirds of their annual expenditures. As such, their expenditure ceiling needs to be increased.

Variances:

FY 2019, Lower number and \$ amount awarded in stipends and GSO awards, food (for GSO events) and student employee expense.

FY 2020 Higher expense due to purchase of more GSO promo items and office supply such as office PCs

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	179,300	179,300	179,300	179,300	179,300	179,300	179,300
Beginning Cash Balance	86,479	92,289	97,820	121,777	130,852	131,752	166,496
Revenues	101,633	97,689	98,319	97,215	85,683	123,000	123,000
Expenditures	95,823	92,157	74,361	88,140	84,783	88,256	88,256
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	92,289	97,820	121,777	130,852	131,752	166,496	201,240
Encumbrances	925	1,952	1,815	0	1,000	1,000	1,000
Unencumbered Cash Balance	91,364	95,868	119,962	130,852	130,752	165,496	200,240

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Student Athletic Fee)
 Legal Authority: 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Student Athletic Fee Committee is responsible for the planning, implementation and funding of events to encourage students to participate in athletic events and improve campus spirit. The committee also allocates funds to the Manoa Maniacs to provide activities related to athletics.

Source of Revenues:

Mandatory student fee of 8% of the Athletic fee from the Athletic Department.

Current Program Activities/Allowable Expenses:

Sponsoring athletic related activities for UHM students. Allowable expenses include transportation costs, advertising, rental fees, stipends, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2018, SAFC did not receive the revenue for the Spring 2018 semester and disbandment of the committee resulted in the transfer of the remaining balance back to the Athletic Department in FY 2019.

In FY 2019, reduction of revenue and expenditure is due to SAFC disbandment.

In FY 2020, no revenues due to no interest income due to SAFC disbandment.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	137,317	216,495	233,988	14.93	0	0	0
Revenues	128,103	51,639	15	0	0	0	0
Expenditures	48,925	34,145	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(233,988)	(15)	0	0	0
Ending Cash Balance	216,495	233,988	15	0	0	0	0
Encumbrances	2,426	0	0	0	0	0	0
Unencumbered Cash Balance	214,069	233,988	15	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Student Activities RF (Student Activity &
 Name of Fund: Program Fee Board)
 Legal Authority 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Student Activity and Program Fee Board affords students the opportunity to provide input into the allocation of fees to support a variety of co-curricular programs which benefit students, including but not limited to, intercollegiate athletics, intramurals, university theater, registered student clubs and organizations and University programs.

Source of Revenues:

Mandatory student fees, interest income

Current Program Activities/Allowable Expenses:

A grant-funding program is conducted twice a year where intercollegiate athletics, intramurals, university theater, registered student clubs and organizations, and University programs can apply for monies to conduct co-curricular programs which contribute to the quality of campus life.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, advertising, travel, stipends, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

The board increased student fees in 2011 and since then has made a concerted effort to increase funding to various organizations. As such, their expenditure ceiling is anticipated to be exceeded as organizations make use of their grant funds.

Variances:

FY 2019, lower number and \$ amount of RIO awards processed and approved

FY 2020 lower expenditure due to COVID causing RIOs to move events to later date.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	408,200	408,200	408,200	408,200	408,200	408,200	408,200
Beginning Cash Balance	336,255	233,644	147,366	226,607	361,397	414,421	467,445
Revenues	394,990	395,474	400,377	376,834	306,685	306,685	306,685
Expenditures	496,372	480,522	314,905	242,044	253,661	253,661	253,661
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,230)	(1,230)	(6,230)	0	0	0	0
Ending Cash Balance	233,644	147,366	226,607	361,397	414,421	467,445	520,469
Encumbrances	17,494	17,407	9,451	5,977	0	0	0
Unencumbered Cash Balance	216,150	129,959	217,155	355,420	414,421	467,445	520,469

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Student Activities RF (UPASS Transportation
 Name of Fund: Program)
 Legal Authority 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The UPASS program includes both mandatory and opt-in revenues collected for student bus passes according to an established memorandum of agreement between the Department of Transportation Services, the City and County of Honolulu, and the University of Hawai'i at Manoa (UHM). The program is intended provide affordable public transportation to UHM students and contributing to the reduction of traffic.

Source of Revenues:

Revenue is collected from the UPASS mandatory student fees and opt-in payments collected at the SLD Ticket and Information Office.

Current Program Activities/Allowable Expenses:

Fall/Spring Mandatory UPASS payments and monthly Opt-in payments to the City and County of Honolulu.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	324,430	307,859	319,343	331,458	258,873	258,873	258,873
Revenues	1,634,209	1,588,264	1,627,290	1,519,435	1,450,000	1,450,000	1,450,000
Expenditures	1,650,780	1,576,780	1,615,175	1,592,020	1,450,000	1,450,000	1,450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	307,859	319,343	331,458	258,873	258,873	258,873	258,873
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	307,859	319,343	331,458	258,873	258,873	258,873	258,873

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Student Activities RF - National Student
 Name of Fund: Exchange
 Legal Authority 304A-2257, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

For FY 2010-2012 (Fall 2011 only): To collect tuition and fees from National Student Exchange consortium students.

Effective Spring 2012: To collect fees from National Student Exchange consortium students. Tuition to be collected through BANNER.

Source of Revenues:

FY 2010 - FY 2012 (Fall 2011 only) tuition and student fees. Effective Spring 2012, student fees.

Current Program Activities/Allowable Expenses:

Consortium obligations (student placement fees and institutional membership fees, travel expenses for annual placement conference), and direct operating expenses (student assistants, telecom, duplicating, mailing, supplies, etc.).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18-The significant increase in revenues was due to the start of a new NSE Director who initiated active marketing of the NSE Program as well as recruiting of students. Decrease of expenses was due to no longer employing a student assistant.

FY19-the continued significant increase in revenues is due to the active marketing of the NSE Director locally and nationally to recruit new students.

Increase in expenses are due to purchasing of promotional items for marketing as well as increased NSE fees for increase in NSE student participants.

FY20-the continued significant increase in revenues is due to the active marketing of the NSE Director locally and nationally to recruit new students.

Decrease in expenses is due to the cancellation of social and orientation events due to the COVID-19 pandemic in Spring.

FY21 revenues are expected to be lower due to the pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	19,383	8,475	8,399	10,527	20,266	25,666	30,666
Revenues	2,064	10,483	17,193	20,453	6,000	15,000	20,000
Expenditures	12,972	10,559	15,065	10,713	600	10,000	12,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,475	8,399	10,527	20,266	25,666	30,666	38,666
Encumbrances	0	488	0	0			
Unencumbered Cash Balance	8,475	7,911	10,527	20,266	25,666	30,666	38,666

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Housing Assistance RF (repealed)
 Legal Authority: 304A-2258, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:
 The UH Housing Assistance RF was repealed pursuant to Act 124, SLH 2011.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	(23)	(23)	0	0			
Unencumbered Cash Balance	23	23	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Graduate Application RF (Grad Division)
 Legal Authority: 304A-2260, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

Received application fees collected from individuals applying for various graduate programs within the University of Hawai'i. Revenues from application fees shall be used to pay for costs of processing applications to all graduate programs.

Source of Revenues:

Application fee to graduate programs.

Current Program Activities/Allowable Expenses:

Applicants to the Graduate Division's graduate programs are charged a \$100 application fee. The costs of processing applications, cost of applications, and the development of a web-based application process are being funded by these revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

The Graduate Application Revolving fund is requesting an expenditure ceiling increase from \$350,000 to \$600,000.

The expenditure ceiling has remained at \$350,000 since 2008. Because of both rising operational expenses and the decrease in G Fund allocations, the Graduate Application Revolving Fund had to absorb more of the associated costs related to the graduate application process.

We anticipate this trend to continue indefinitely.

Variances:

FY18-20 estimated expenditure increase is due decrease in tuition operating and performance funds from OVCAA, therefore operating expenses are to be absorbed by RF

FY19 expenditure increase due to no allocation of funding for 3+2 coordinator salary.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	297,204	451,418	427,269	274,743	134,558	34,558	0
Revenues	430,288	433,407	421,625	411,502	400,000	400,000	400,000
Expenditures	276,074	457,556	574,151	551,687	500,000	434,558	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	451,418	427,269	274,743	134,558	34,558	0	0
Encumbrances	2,603	7,780	9,519	9,118			
Unencumbered Cash Balance	448,815	419,489	265,224	125,440	34,558	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Graduate Application RF (Law School)
 Legal Authority: 304A-2260, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

To defray costs associated with Law School student services function related to admissions, applications, new student recruitment, etc.

Source of Revenues:

Law School fees from processing of applications for admittance to the William S. Richardson School of Law.

Current Program Activities/Allowable Expenses:

Expenses related to the processing of Law School applications.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

In FY 2018, the Director of Admissions will take a 1 year sabbatical, so we hired 2 part-time employees to manage the Admissions Office for the fiscal year, resulting in greater payroll expenses. We don't expect to continue these positions after the first quarter of FY 2019, when the Director returns.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	72,000	72,000	72,000	72,000	72,000	72,000	72,000
Beginning Cash Balance	2,660	18,418	(2,881)	(14,020)	1,389	1,389	1,389
Revenues	32,442	35,747	41,116	30,727	30,000	30,000	30,000
Expenditures	16,683	57,045	52,255	15,319	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	18,418	(2,881)	(14,020)	1,389	1,389	1,389	1,389
Encumbrances	75	75	75	75	75	75	75
Unencumbered Cash Balance	18,343	(2,956)	(14,095)	1,314	1,314	1,314	1,314

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Graduate Application RF (Shidler)
 Legal Authority: 304A-2260, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

To defray costs associated with Shidler College of Business student services function related to graduate admissions and applications.

Source of Revenues:

Graduate Application fees paid by applicants to the Shidler College of Business Graduate Programs and any interest earned.

Current Program Activities/Allowable Expenses:

Expenses related to the processing of Shidler Graduate applications such as postage, printing, and processing of applications.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance from FY2017 to FY2018 is due to an increase in applications. The increase in expenditures in FY2018 is due covering additional costs with the increase in revenue.

The variance in FY2019 expenditures is due to utilizing available funds during the fiscal year.

The variance in revenues from FY2019 to FY2020 is due to an increase in applications. The increase in expenditures in FY2020 is due to covering additional costs with the increase in revenue.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,436	21,380	4,752	4,141	2,617	2,617	2,617
Revenues	15,320	19,291	18,963	26,158	25,000	25,000	25,000
Expenditures	375	35,919	19,575	27,681	25,000	25,000	25,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21,380	4,752	4,141	2,617	2,617	2,617	2,617
Encumbrances	1,472	1,480	1,441	1,441	0	0	0
Unencumbered Cash Balance	19,908	3,273	2,700	1,176	2,617	2,617	2,617

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Seed Distribution Program RF
 Legal Authority: 304A-2263, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, this fund was established to enable the seed distribution program to operate at a level that will adequately meet the demand for seeds (from farmers, homeowners, and seed companies).

Source of Revenues:

Revenues are generated from farmers, seed companies, garden shops, educational institutions and homeowners.

Current Program Activities/Allowable Expenses:

Current program activities include cultivation and production of vegetables and garden seeds which are sold to the public. Allowable expenses include labor, materials, supplies and equipment that are necessary for the cultivation and production of the various vegetables and garden seeds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The Seed program has seen an unexpected increase in sales during the pandemic. Expenditures dipped previously due to staff turnover. We anticipate hiring temporary staff during the hiring freeze on permanent positions in order to manage the increased business during the pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	68,264	68,264	68,264	68,264	68,264	68,264	68,264
Beginning Cash Balance	16,692	13,598	3,944	16,021	40,156	40,156	30,156
Revenues	47,733	40,577	39,529	55,352	55,000	40,000	40,000
Expenditures	50,828	50,230	27,452	31,217	55,000	50,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,598	3,944	16,021	40,156	40,156	30,156	30,156
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	13,598	3,944	16,021	40,156	40,156	30,156	30,156

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UHM Conference Center RF (Repealed)
 Legal Authority: 304A-2264, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:
 The UHM Conference Center RF was repealed pursuant to Act 124, SLH 2011.
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(0)	(0)	(0)	(0)	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(0)	(0)	(0)	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(0)	(0)	(0)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (Arts & Humanities)
 Legal Authority: 304A-2274, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's facilities use program shall be deposited into this fund to be used for the costs of providing public service programs.

The Theatre and Dance Department would provide services for space rental and putting on special event programs, such as Ohana Arts.

Source of Revenues:

The source of revenue would be the labor and equipment costs for the use of equipment, technicians, front of house, maintenance, and facility fees needed for each event.

Current Program Activities/Allowable Expenses:

Revenues from room rentals; Expenditures related to rental equipment and personnel costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY2018 was the first year of this account and we had two significant events in Summer 2018, Ohana Arts and Gay Men's Chorus of Honolulu that paid their bills in advance as required, but the labor for those events did not hit the account until FY2019 as the labor took place in June and July.

This resulted in a negative balance in expenditures for FY2018. This should balance out better over time as the account is now running.

FY2019, we purchased a few high ticket items, a new sound board for the mainstage, and a number of new microphones for the mainstage and lab.

FY2020, we lost significant revenue in 2020 due to COVID and also had a reduction in expenses as well. In addition on expenditures, we peaked the the year before to purchase the sound equipment as mentioned above, we did not need to purchase as much in 2020.

FY2021 revenues are expected to be 0 because of the pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	91,509	77,428	80,399	10,399	38,399
Revenues		43,691	45,711	27,507	0	50,000	50,000
Expenditures		(47,818)	59,792	24,536	70,000	22,000	22,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	91,509	77,428	80,399	10,399	38,399	66,399
Encumbrances		150	0	0	0	0	0
Unencumbered Cash Balance	0	91,359	77,428	80,399	10,399	38,399	66,399

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (CRCH)
 Legal Authority: 304A-2274, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Source of Revenues:

Various fees are collected for short-term use of facilities

Current Program Activities/Allowable Expenses:

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 Revenue variance due to late collections that crossed over from the prior fiscal year

FY18 Expenditures were less due to fewer credit card payments received resulting in lower credit card fees

FY21 Expenditures will be applied for services provided

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,401	8,461	18,414	22,986	27,132	23,132	19,132
Revenues	6,386	9,964	4,571	4,146	5,000	5,000	5,000
Expenditures	326	10	0	0	9,000	9,000	9,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,461	18,414	22,986	27,132	23,132	19,132	15,132
Encumbrances	471	877	250	0	0	0	0
Unencumbered Cash Balance	7,990	17,537	22,736	27,132	23,132	19,132	15,132

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (CTAHR)
 Legal Authority: 304A-2274, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

To account for revenue generated from lease/rental of facilities to offset expenses of facilities.

Source of Revenues:

Revenue is generated from leases and facilities rentals.

Current Program Activities/Allowable Expenses:

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

No expenditures charged this year. We anticipate using these funds to maintain off campus research and extension stations.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	125,000	125,000	125,000	125,000	125,000	125,000	125,000
Beginning Cash Balance	117,341	124,196	116,173	124,247	132,151	140,151	148,151
Revenues	6,855	6,978	8,074	7,904	8,000	8,000	8,000
Expenditures	0	15,000	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	124,196	116,173	124,247	132,151	140,151	148,151	156,151
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	124,196	116,173	124,247	132,151	140,151	148,151	156,151

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (IFA)
 Legal Authority: 304A-2274, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of infrastructure support for the Mauna Kea and Haleakala observatories.

Source of Revenues:

Annual rent due per Operating and Site Development Agreements for telescope facilities on Mauna Kea and Haleakala.

Current Program Activities/Allowable Expenses:

Utilities, Supplies, repair and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	2	12,227	33,896	56,629	79,629	102,629
Revenues	2	12,225	23,531	24,708	25,000	25,000	25,000
Expenditures	0	0	1,862	1,975	2,000	2,000	2,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2	12,227	33,896	56,629	79,629	102,629	125,629
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2	12,227	33,896	56,629	79,629	102,629	125,629

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (OPF)
 Legal Authority: 304A-2274, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay for the use of real property and facilities, except as otherwise provided by law.

Source of Revenues:

Various fees are collected for use of facilities including, but not limited to, facility use charges, sales, lease agreements, commercial filming charges and deposits for the use of building keys by contractors.

Current Program Activities/Allowable Expenses:

Planned expenditures include costs of operating University facilities including, maintenance and repair, supplies, furnishings, equipment and other expenses related to the upkeep of the facility.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

FY 19: Increase in forecasted expenditures due to planned facilities maintenance equipment purchases.

FY 20: Increase in revenues due to new antenna licenses.

FY 21: Non-recurring expenditures for Boom Truck purchase and UHWO Relocation of Creative Media; Transfers include Systemwide Software Operations MOA

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000	450,000	450,000	450,000	450,000	450,000
Beginning Cash Balance	395,249	756,173	727,283	827,394	1,155,632	561,296	622,152
Revenues	526,987	217,814	291,135	356,514	345,000	345,000	345,000
Expenditures	68,632	24,720	27,023	16,749	601,936	29,044	29,044
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(97,431)	(221,984)	(164,000)	(11,528)	(337,400)	(255,100)	(262,700)
Ending Cash Balance	756,173	727,283	827,394	1,155,632	561,296	622,152	675,408
Encumbrances	734	734	574,178	578,439	0	0	0
Unencumbered Cash Balance	755,439	726,549	253,216	577,193	561,296	622,152	675,408

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (SOEST)
 Legal Authority: 304A-2274, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Source of Revenues:

Various fees are collected for short-term use of facilities

Current Program Activities/Allowable Expenses:

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in revenue due to lease agreements ending mid-fiscal year. Expenditures vary based on programmatic needs and funding availability.

Current agreement ends in March of 2021.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	41,703	45,555	198,955	373,892	275,404	147,925	147,925
Revenues	153,852	154,010	195,777	163,361	122,521	0	0
Expenditures	150,000	611	20,840	261,849	250,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	45,555	198,955	373,892	275,404	147,925	147,925	147,925
Encumbrances	0	0	0	37,936			
Unencumbered Cash Balance	45,555	198,955	373,892	237,468	147,925	147,925	147,925

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Hawaii Educator Loan Program RF
 Legal Authority: 304A-2276, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, this fund was established for the purpose of providing loans pursuant to section 304A-701, HRS.
 Tool to recruit college students to become educators and ensure that these graduates teach and remain in the Hawai'i public school system.

Source of Revenues:

Repayments of loans made by borrowers; interest earned to be used for future loans.

Current Program Activities/Allowable Expenses:

Loans made to eligible students.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues based on student loan repayments. Should students meet the loan requirements they are not required to repay the loan.
 In FY18 the negative expenditure is due to errors made in booking a deposit and a debit memo; correcting entry will resolve the negative expense.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	600,000	600,000	
Beginning Cash Balance	9,267	19,973	23,239	26,374	28,203	5,203	5,203
Revenues	10,706	3,161	3,136	1,829	0	0	0
Expenditures	0	(105)	0	0	23,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,973	23,239	26,374	28,203	5,203	5,203	5,203
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	19,973	23,239	26,374	28,203	5,203	5,203	5,203

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: UH Tuition and Fees SF (Manoa Regular Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F; S-185-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Revenues are derived from the collection of regular credit tuition and tuition-related course and fee charges.

Current Program Activities/Allowable Expenses:

Funds deposited into the fund shall be expended to maintain and improve the University's programs and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY2021 Revenues and expenditures are expected to decline due to conservative estimates for enrollments due to the pandemic

The Manoa campus is hoping to realize some cost savings from retirements, vacancies and hiring freezes to reduce the level of expenditures

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	182,989,733	182,989,733	182,989,733	182,989,733	182,989,733	182,989,733	182,989,733
Beginning Cash Balance	(29,939,094)	(33,258,308)	(34,296,715)	(34,925,285)	(40,948,767)	(56,225,279)	(61,932,809)
Revenues	188,662,920	177,459,758	182,542,719	181,522,180	173,542,708	173,542,708	173,542,708
Expenditures	135,752,083	129,151,085	133,746,399	128,714,406	116,881,626	116,881,626	116,881,626
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Assessments					(10,859,620)	(11,000,000)	(11,000,000)
Scholarships					(35,758,319)	(36,000,000)	(36,000,000)
Act 236 In					80,806,701	106,126,356	121,494,968
Act 236 Out					(106,126,356)	(121,494,968)	(136,863,850)
Net Act 236					(25,319,655)	(15,368,612)	(15,368,882)
Net Total Transfers	(56,230,050)	(49,347,080)	(49,424,891)	(58,831,255)	(71,937,594)	(62,368,612)	(62,368,882)
Ending Cash Balance	(33,258,308)	(34,296,715)	(34,925,285)	(40,948,767)	(56,225,279)	(61,932,809)	(67,640,609)
Encumbrances	8,828,504	9,575,407	9,464,094	8,613,120	9,000,000	9,000,000	9,000,000
Unencumbered Cash Balance	(42,086,813)	(43,872,122)	(44,389,379)	(49,561,887)	(65,225,279)	(70,932,809)	(76,640,609)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$219,474.62.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/30/20) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: UH Tuition and Fees SF (Manoa Summer Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F; S-185-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Revenues are derived from tuition and fees assessed to students enrolling in credit based courses offered through Outreach College, including Extension terms, Evening and Weekend and Off-island credit course offerings.

Current Program Activities/Allowable Expenses:

Program develops and offers University credit courses, in cooperation with other University of Hawaii academic departments and outside sponsors, to non-traditional students on Oahu and the neighbor islands (Outreach) during evening/weekend terms. Activities include developing and implementing distance education programs.

Allowable expenses include personnel cost, goods and services associated with the offering of credit based courses through Outreach College.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY19 Revenue Variance - Increase in revenue due to increase in enrollment as a result of increased course offerings in the Extension Terms.

FY20 Expenditure Variance - Decrease in expenditure mainly due to change in OC Service Fee reporting. As of FY20, OC Service Fee recorded as a cash transfer (1419/1519) entry vs (7245/0751) in previous years.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007
Beginning Cash Balance	15,504,918	17,522,663	18,178,217	20,111,553	25,155,438	30,380,438	35,605,438
Revenues	16,595,782	16,634,866	17,342,089	15,790,111	16,690,000	16,690,000	16,690,000
Expenditures	13,094,816	14,490,641	13,906,154	9,491,902	10,215,000	10,215,000	10,215,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,483,221)	(1,488,672)	(1,502,599)	(1,254,324)	(1,250,000)	(1,250,000)	(1,250,000)
Ending Cash Balance	17,522,663	18,178,217	20,111,553	25,155,438	30,380,438	35,605,438	40,830,438
Encumbrances	534,327	617,278	659,139	789,782	0	0	0
Unencumbered Cash Balance	16,988,336	17,560,939	19,452,414	24,365,656	30,380,438	35,605,438	40,830,438

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$219,474.62.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/30/20) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: UH Tuition and Fees SF (Outreach CCECS-Credit)
 Legal Authority: 304A-2153, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F; S-185-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Revenues are derived from tuition and fees assessed to students enrolling in credit based courses offered through Outreach College, including Extension terms, Evening and Weekend and Off-island credit course offerings.

Current Program Activities/Allowable Expenses:

Program develops and offers University credit courses, in cooperation with other University of Hawaii academic departments and outside sponsors, to non-traditional students on Oahu and the neighbor islands (Outreach) during evening/weekend terms. Activities include developing and implementing distance education programs.

Allowable expenses include personnel cost, goods and services associated with the offering of credit based courses through Outreach College.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY20 Revenue Variance - Decrease in revenue due to decrease in enrollment in Extension Terms.

FY19 Revenue Variance - Increase in revenue due to increase in enrollment as a result of increased course offerings in the Extension Terms.

FY20 Expenditure Variance - Decrease in expenditure mainly due to change in OC Service Fee reporting. As of FY20, OC Service Fee recorded as a cash transfer (1419/1519) entry vs (7245/0751) in previous years.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007
Beginning Cash Balance	26,894,120	26,043,576	24,853,235	30,025,527	34,361,771	34,844,571	35,327,371
Revenues	21,468,660	20,373,897	23,047,434	18,108,357	14,561,800	14,561,800	14,561,800
Expenditures	16,030,949	16,735,950	17,923,331	13,948,426	14,254,000	14,254,000	14,254,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(6,288,256)	(4,828,288)	48,189	176,314	175,000	175,000	175,000
Ending Cash Balance	26,043,576	24,853,235	30,025,527	34,361,771	34,844,571	35,327,371	35,810,171
Encumbrances	177,822	150,686	418,982	383,825			
Unencumbered Cash Balance	25,865,753	24,702,548	29,606,544	33,977,946	34,844,571	35,327,371	35,810,171

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$219,474.62.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/30/20) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Tuition & Fee SF (Outreach College
 Name of Fund: Summer Session (Acad Support))
 Legal Authority 304A-2153, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Academic support does not generate revenues. When revenues appear under this fund it is usually because we post reimbursement of current year expenses (a revenue subcode) instead of posting a reversal of expense (i.e., REX).

Current Program Activities/Allowable Expenses:

Providing administrative support for programs and activities of the Outreach College. Administrative services currently provide all fiscal and personnel services, student services, marketing and computer services. Allowable expenses include personnel costs, goods and services associated with providing support to Outreach.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY20 Revenue Variance : Due to interest earned on cash balance in accounts.

FY19 Expenditure Variance : Mainly due to increase in Payroll Expenditures.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,801,615	2,801,615	2,801,615	2,801,615	2,801,615	2,801,615	2,801,615
Beginning Cash Balance	(2,522,454)	(152,063)	(59,013)	(4,284,592)	(8,342,034)	270	0
Revenues	0	0	(668)	(331)	0	0	0
Expenditures	2,936,917	3,252,114	4,174,951	4,052,110	4,210,254	4,210,254	4,210,254
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				(5,000)			
					12,552,558	4,209,984	4,210,254
Net Total Transfers	5,307,307	3,345,164	(49,960)	(5,000)	12,552,558	4,209,984	4,210,254
Ending Cash Balance	(152,063)	(59,013)	(4,284,592)	(8,342,034)	270	0	0
Encumbrances	142,516	235,116	343,240	220,207			
Unencumbered Cash Balance	(294,579)	(294,129)	(4,627,832)	(8,562,240)	270	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$219,474.62.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/30/20) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Library Special Fund
 Legal Authority: 304A-2155,HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.
 To provide photocopying services of library books and journals; access to printing from library databases; interlibrary loans; document delivery services; preservation services and other library services to library users, faculty, researchers, and other educational institutions. To replace and repair lost, stolen, and damaged library materials.

Source of Revenues:

Revenues from library fines and service fees; document delivery fees; preservation fees; coin operated copy machines; printers; library system cost recovery service fees.

Current Program Activities/Allowable Expenses:

Provides photocopying; document delivery, preservation services, and other library services to library users, faculty, researchers, systemwide libraries, and other educational institutions on a cost recovery basis.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue: Two RCUH revolving accounts closed and the balances returned to UH resulting in a one-time surge of revenue. Revenue is expected to decrease due in part to the return of eleven leased pay photocopiers.

Expenditures: Expenditure spending was more in line with prior years excluding FY2018 which was anomalous

FY20 Spent more from S-Revenue accounts to compensate for less tuition allocation and increasing expenses

Revenues and expenditures are reduced for FY21 due to the effects of the pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534
Beginning Cash Balance	413,370	320,743	619,668	524,416	333,966	216,993	210,993
Revenues	403,784	443,998	310,156	328,684	14,600	14,000	13,500
Expenditures	463,662	130,193	405,408	519,134	131,573	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(32,713)	(14,879)	0	0	0	0	0
Ending Cash Balance	320,779	619,668	524,416	333,966	216,993	210,993	204,493
Encumbrances	65,705	66,422	4,995	126,807			
Unencumbered Cash Balance	255,074	553,246	519,421	207,159	216,993	210,993	204,493

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Law School Library Fees and Fines
 Legal Authority: 304A-2155,HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

To defray costs associated with Law Library functions related to the cost of books, microform, reader/printer services and copying costs.

Source of Revenues:

Fees and fines collected from the delinquent return of library reference materials

Current Program Activities/Allowable Expenses:

Expenses related to the collection of library fees and fines.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Incurred projected expenditures, but miscommunication of transferred expenditures. Expenditures will incur in FY19

In FY2019, expenditures were less than anticipated.

Planned expenditures in FY20 did not occur and is projected to occur in FY21

Future year expenditures are expected to be in line with revenue collections.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Beginning Cash Balance	0	36	13,701	7,892	8,116	116	116
Revenues	36	570	576	224	150	150	150
Expenditures	0	0	6,407	0	8,150	150	150
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	13,095	21	0	0	0	0
Ending Cash Balance	36	13,701	7,892	8,116	116	116	116
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	36	13,701	7,892	8,116	116	116	116

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (Theatre Group)
 Legal Authority: 304A-2156, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

The Theatre Group fund includes the special fund activities for both the Dept. of Theatre and the Dept. of Music. Box office receipts are used to support production expenses, student assistant support, and fund a reserve for future production start-up costs.

Source of Revenues:

Revenues come from box office receipts from the sale of theatre tickets, performances, music performances, and festivals.

Current Program Activities/Allowable Expenses:

Kennedy Theatre Mainstage, Prime Time, Kennedy Theatre Special Events, Late Night Theatre Performances, Music Concerts, Recitals and Festivals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Theatre & Dance (Kennedy Theatre):

Theatre production expenses vary widely depending on a large number of factors including design, materials available for recycling, special equipment needed, and the demands of the play, dance production, or musical.

FY 2018, we were completely back in our spaces and our revenue increased as a result as well. We also had the jingju, which has always been more expensive, but also brings in more income. Our dance concert was also more expensive as it was Taiko Drum and Dance, but again the income was higher as well.

FY 2019, the main reason for the drop in expenditures was reducing our payroll expenditures by restructuring some of the needed duties for our productions and making three of the positions less of a guaranteed hours position and more of bringing in people on an as needed basis. In addition, we had one less mainstage production than usual in our production season, reducing our overall material costs as well.

FY 2020, we had two productions in this fiscal year rather than the usual single production that were supported by the Asian Theater fund rather than the production accounts. We also had one less mainstage production while increasing our Prime Time productions that year in exchange. In addition, with the onset of COVID we did not spend as much in the last semester as planned as we cancelled our last mainstage of the year.

Music Dept Activity:

The amount of revenue will depend on the number of performances scheduled and how many tickets are sold for that event. This will vary every year.

Expenses for our performances are for student help, printing of tickets, posters, program and for some performers, 70% of their tickets sales will be paid to them. Most of our venue rentals come from high school auditoriums, but some years, we might have performances at the Blaisdell, which will be a big rental expense.

Band Activity:

The amount of revenue will depend on the amount of bands participating in the Rainbow Invitational marching Band Festival and also how many tickets each band sells. It will also depend on the amount of judges we bring in as well as where they are from because of the airfare cost and the amount of days they will be here in Oahu.

FY21 revenues and expenditures are projected to be lower due to the effects of the pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	260,000	260,000	260,000	260,000	260,000	260,000	260,000
Beginning Cash Balance	238,434	212,352	159,826	163,142	195,354	161,880	160,820
Revenues	119,825	134,166	140,096	139,533	25,285	120,637	121,000
Expenditures	145,907	186,692	136,780	107,321	58,759	121,697	123,560
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	212,352	159,826	163,142	195,354	161,880	160,820	158,260
Encumbrances	14,150	16,764	4,289	7,794	0	0	0
Unencumbered Cash Balance	198,202	143,062	158,853	187,560	161,880	160,820	158,260

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Community Services SF (Agricultural
 Name of Fund: Diagnostic Service Center)
 Legal Authority: 304A-2156, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To cover the cost of materials, supplies, student helpers, and other operating expenses necessary to provide agricultural diagnostic services to the public.

Source of Revenues:

Revenues are generated by the collection of fees charged for the analysis of soil, water, plant tissue, disease, insects and pests, and feed and forage for State, Federal, and County agencies, agribusinesses, farmers and homeowners.

Current Program Activities/Allowable Expenses:

Activities include sample analysis of soil, water, plant tissue, diseases, insects and pests, and feed and forage. Allowable expenses include materials, supplies, technicians, student assistants and other operational expenses that are necessary to provide the various analyses requested.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues have been decreasing annually and we recently recruited a new unit director who will be reviewing services and pricing. CTAHR will be committing college funds (FY20) to rebuild this program and purchase new equipment necessary for improved services.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	358,242	358,242	358,242	358,242	358,242	358,242	358,242
Beginning Cash Balance	22,484	57,811	(8,126)	(124,897)	140,277	65,277	15,277
Revenues	210,739	118,730	98,345	69,356	75,000	100,000	150,000
Expenditures	175,413	184,667	215,116	(195,819)	150,000	150,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	57,811	(8,126)	(124,897)	140,277	65,277	15,277	15,277
Encumbrances	0	1,395	692	693	0	0	0
Unencumbered Cash Balance	57,811	(9,521)	(125,589)	139,584	65,277	15,277	15,277

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (LLL HELP)
 Legal Authority: 304A-2156, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

The Hawai'i English Language Program provides intensive English language training for international students and scholars preparing to begin undergraduate or studies, or for use in a scholarly professional setting.

Source of Revenues:

Fees collected from students.

Current Program Activities/Allowable Expenses:

HELP offers English courses in four eight-week sessions and two six-week summer sessions. HELP also offers weekly workshops and study sessions, customized English language training and offers TOEFL exams each quarter. Also authorized to issue I-20 AB forms for applicants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

There are fluctuations in the demand for HELP programs year to year and although the program Director actively recruits for the program annually, enrollments vary over the years based on the demand, market, student finances, competition, and the political climate. The revenue for HELP has increased over the years, with a significant increase in FY 2016, due to a new agreement with a prestigious Women's University in Japan. FY 2020 was another successful year for HELP programs with increased enrollments, although COVID-19 affected late Spring and Summer 2020 programs. Revenue in the amount of \$51K collected in FY 2020 was refunded in FY 2021 due to cancellation of programs due to COVID-19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,269,600	1,269,600	1,269,600	1,269,600	1,269,600	1,269,600	1,269,600
Beginning Cash Balance	1,303,502	1,500,038	1,707,502	1,872,806	2,114,802	1,964,802	2,039,802
Revenues	1,075,300	1,023,887	985,029	1,122,220	475,000	775,000	950,000
Expenditures	878,764	816,422	819,725	880,224	625,000	700,000	825,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,500,038	1,707,502	1,872,806	2,114,802	1,964,802	2,039,802	2,164,802
Encumbrances	7,527	9,635	16,707	3,925	0	0	0
Unencumbered Cash Balance	1,492,511	1,697,867	1,856,099	2,110,877	1,964,802	2,039,802	2,164,802

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (LLL Special Account)
 Legal Authority: 304A-2156, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To enable the College to provide telecommunications support to UH systems and agencies of the State of Hawai'i using our technical resources and language expertise.

Source of Revenues:

Usage of facilities and equipment.

Current Program Activities/Allowable Expenses:

Satellite uplinks and downlinks, high quality recording of radio and television programs, language tapes and facilities rental.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The need for use of rooms and computer labs and corresponding revenues vary year to year depending upon whether conferences/workshops/classes are held on campus which require certain IT needs. Expenditures decreased significantly because earlier years saw a big increase due to renovation projects done to upgrade the facilities. The improvements include new furniture, painting and soundproofing rooms, and computer upgrades/replacement. Normally the expenditures are relatively low since the annual upkeep of the facilities is minimal. However, every five years or so, large expenditures occur in order to keep the facilities current and up-to-date. In FY 2018, there were upgrades made to the CLT facilities, resulting in the variance greater than 10%. In FY 2019, the annual STARTALK program was not held, therefore no revenue collected for room rentals for the summer program. Expenditures listed as zero due to no activity occurring in the area of upkeep and renovations to facilities. As described, these tend to be more cyclical in nature, barring an urgent need. In FY 2020, there were upgrades made to the facilities, such as building the one-button studio. Revenue continues to be down due to no STARTALK program and due to summer programs hindered due to COVID-19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000	50,000	50,000	50,000	50,000	50,000	50,000
Beginning Cash Balance	44,965	51,268	55,678	59,629	57,861	56,361	58,861
Revenues	6,958	7,543	3,951	1,301	1,500	7,500	7,500
Expenditures	656	3,133	0	3,068	3,000	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	51,268	55,678	59,629	57,861	56,361	58,861	61,361
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	51,268	55,678	59,629	57,861	56,361	58,861	61,361

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Community Services SF (Outreach College
 Name of Fund: Summer Session - Acad Support)
 Legal Authority 304A-2156, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.
 To account for expenses generated by administrative support services for Outreach College.

Source of Revenues:

Academic support does not generate revenues. When revenues appear under this fund it is usually because we post reimbursement of current year expenses (a revenue subcode) instead of posting a reversal of expense (i.e., REX).

Current Program Activities/Allowable Expenses:

Providing administrative support for programs and activities of the Outreach College. Administrative services currently provide all fiscal and personnel services, student services, marketing and computer services. Allowable expenses include personnel costs, goods and services associated with providing support to Outreach.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY20 Revenue Variance : Variance due to negative interest earned on cash balance.
 FY19 Revenue Variance : Variance due to increase in revenue earned, specifically under BPID 1358, conference fee revenue.
 FY19 Expenditure Variance : Variance due to overall increase in expenditures under multiple BPID.
 FY18 Revenue Variance: Variance due to decrease in revenue earned, specifically under BPID 1358, and increase in negative interest earned.
 FY18 Expenditure Variance : Reduced expenditures likely due to departments' efforts to comply with budget restrictions and reserve requirements. With

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,451,615	2,451,615	2,451,615	2,451,615	2,451,615		
Beginning Cash Balance	(5,874,814)	(4,869,968)	(3,871,792)	(3,716,437)	(3,818,574)	(2,997,574)	(2,176,574)
Revenues	6,099	(18,239)	144,534	(27,652)	(27,000)	(27,000)	(27,000)
Expenditures	104,403	81,700	146,310	152,916	152,000	152,000	152,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,103,149	1,098,114	157,132	78,430	1,000,000	1,000,000	1,000,000
Ending Cash Balance	(4,869,968)	(3,871,792)	(3,716,437)	(3,818,574)	(2,997,574)	(2,176,574)	(1,355,574)
Encumbrances	6,513	6,013	4,881	2,972			
Unencumbered Cash Balance	(4,876,481)	(3,877,805)	(3,721,318)	(3,821,547)	(2,997,574)	(2,176,574)	(1,355,574)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Community Services SF (Outreach College
 Name of Fund: Summer Session and CCECS - Non-Credit)
 Legal Authority 304A-2156, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To account for revenues and expenses generated from noncredit programs, public events and the International Programs.

Source of Revenues:

Revenues are earned through course fees charged for Non-Credit Programs, International Programs, and through ticket sales for Community Program artistic performances.

Current Program Activities/Allowable Expenses:

Programs develop and offer University noncredit courses and programs, sometimes in cooperation with outside sponsors. Community Programs includes performances and public events held for the benefit of the community beyond the University. Noncredit programs develops noncredit courses in subject areas that range from professional development to personal enrichment. International programs assist international students in developing their English language skills and assists those students who wish to apply to the University by ensuring that the student meets the University's academic requirements prior to entrance. Allowable expenses include personnel costs, good and services associated with the offering of courses and artistic performances.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2020 Revenue Variance : Significant decrease in revenue due to cancellation of International Program courses and Professional Program courses as a result of COVID 19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161
Beginning Cash Balance	(1,227,370)	(1,675,877)	(1,750,993)	(2,494,343)	(3,838,198)	(5,627,029)	(7,415,860)
Revenues	2,189,673	2,040,694	1,949,823	1,250,361	1,112,000	1,112,000	1,112,000
Expenditures	2,553,544	2,498,117	2,585,241	2,511,991	2,819,831	2,819,831	2,819,831
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(84,636)	382,307	(107,932)	(82,225)	(81,000)	(81,000)	(81,000)
Ending Cash Balance	(1,675,877)	(1,750,993)	(2,494,343)	(3,838,198)	(5,627,029)	(7,415,860)	(9,204,691)
Encumbrances	136,676	97,604	101,506	52,730	0	0	0
Unencumbered Cash Balance	(1,812,553)	(1,848,597)	(2,595,849)	(3,890,928)	(5,627,029)	(7,415,860)	(9,204,691)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF - Art
 Legal Authority: 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

The Art Auxiliary Enterprise Fund includes the special funds activities for all areas in the Dept. of Art & Art History. Proceeds are used to support the Art Gallery operations and other department activities.

Source of Revenues:

Proceeds are collected from the sale of art, art gallery catalogues, and other related activities. Student art supplies fees collected are now part of the regular tuition schedule, so revenue projections are considerably lower.

Current Program Activities/Allowable Expenses:

Art Gallery Exhibitions, Art Department Sales.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues are lower due to fewer travelling exhibits being offered and fewer catalog sales.

FY18 expenditures were higher due to the timing of the reimbursement from the grant.

FY19 expenditures were lower due to receiving several grants that offset expenditures from this fund.

FY20 revenues were higher since a large catalog order was received. Expenditures were lower due to the timing of a reimbursement from a grant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	190,000	190,000	190,000	190,000	190,000	190,000	190,000
Beginning Cash Balance	7,743	7,332	5,332	5,248	7,434	7,034	6,634
Revenues	1,325	38	62	856	100	100	100
Expenditures	1,736	2,038	146	(1,330)	500	500	500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,332	5,332	5,248	7,434	7,034	6,634	6,234
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	7,332	5,332	5,248	7,434	7,034	6,634	6,234

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Auxiliary Services Admin)
 Legal Authority: 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Responsible for administering various Auxiliary Services programs and to implement the UH Faculty Housing Assistance Master Plan by providing financial assistance loans and rental housing units to faculty and staff of UH.

Source of Revenues:

Revenue is derived from overhead assessment, rental and parking fees, laundry commissions, and interest earned.

Current Program Activities/Allowable Expenses:

The rental housing program consists of 29 condominiums at the Kaniokahaloa Iki (K-Iki) housing project.

Other expenses include payroll and operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in expenditures from FY18 to FY19 due to a decrease in payroll expense (employee retired but position not filled). Also there were (2) large one time expenses in FY18: updating of Campus Services building WIFI and Fiscal office AC replacement

Decrease in expenditures from FY19 to FY20 due to an increase in Aux overhead assessment and decrease in Kiki R&M expenditures

FY21 through FY23 expenditures are higher due to a unit refurbishing project for K.Iki. Expenditures should level off after the project is complete.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914
Beginning Cash Balance	5,731,801	6,001,392	6,381,698	6,834,644	7,336,517	7,507,805	7,679,723
Revenues	686,649	750,329	793,824	794,657	728,340	762,104	797,004
Expenditures	434,669	407,366	360,877	292,784	557,053	590,185	590,380
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	17,611	37,343	20,000	0	0	0	0
Ending Cash Balance	6,001,392	6,381,698	6,834,644	7,336,517	7,507,805	7,679,723	7,886,347
Encumbrances	6,416	15,998	55,637	36,650	0	0	0
Unencumbered Cash Balance	5,994,975	6,365,699	6,779,007	7,299,868	7,507,805	7,679,723	7,886,347

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Transportation Services)
 Legal Authority: 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Responsible for the purchasing and maintenance of motor vehicles, and various motor vehicle services for official use by University personnel.

Source of Revenues:

Revenue is derived from vehicle rentals and leases, operating gas pumps, vehicle repairs and maintenance.

Current Program Activities/Allowable Expenses:

Payroll, gas, oil and supplies for vehicle repairs and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in expenditures from FY19 to FY20 due to less vehicle replacement cost in FY20

Increase in revenues from FY18 to FY19 due to the LTR and daily rental rate increases

Decrease in expenditures from FY17 to FY18 due to a decrease in overhead assessment

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337
Beginning Cash Balance	990,836	907,944	1,100,807	1,338,199	1,703,072	1,744,694	1,919,385
Revenues	1,203,935	1,311,746	1,460,294	1,448,362	1,318,719	1,477,330	1,506,876
Expenditures	1,294,242	1,120,383	1,225,701	1,089,320	1,277,097	1,302,638	1,328,691
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	7,415	1,500	2,800	5,830	0	0	0
Ending Cash Balance	907,944	1,100,807	1,338,199	1,703,072	1,744,694	1,919,385	2,097,570
Encumbrances	253,502	247,288	237,251	276,951	0	0	0
Unencumbered Cash Balance	654,442	853,518	1,100,949	1,426,121	1,744,694	1,919,385	2,097,570

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Lab School Cafeteria)
 Legal Authority: 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To support the operations of the Education Laboratory School Cafeteria. The primary objective of the cafeteria is to provide governmental standard lunches and breakfasts to students at the school, a service which is universal in public schools in Hawai'i and across the nation.

Source of Revenues:

Cafeteria sales, federal reimbursements.

Current Program Activities/Allowable Expenses:

The Education Laboratory School is a special state public school (charter school) providing a test base for research activities of the Curriculum Research and Development Group. Under HRS 303-26, the Education Laboratory School is included in the State's national School Lunch program in the same manner as any other public school.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	13	13	13	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(13)	0	0	0	0
Ending Cash Balance	13	13	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	13	13	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: Lab School has become a charter school outside the University. Fund was closed at the end of FY 2012.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Auxiliary Enterprises SF (Animal and
 Name of Fund: Veterinary Services)
 Legal Authority: 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To support the Manoa and Kaka'ako animal facilities.

Source of Revenues:

Revenues are from biomedical and behavioral research contracts and grants. Researchers who house their animals at AVSP pay a per diem for each animal that the staff cares for, and for any technical or veterinary services provided by AVSP.

Current Program Activities/Allowable Expenses:

Any expenses necessary to provide animal housing and husbandry for biomedical research and the system-wide administration and oversight for the care and use of vertebrate animals at UH.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Effective March 1, 2016, the Office of Research Compliance transferred from UH Manoa OVCR to the UH System OVPRI.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	72,469	24	0	0	0	0	0
Revenues	24	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(72,469)	(24)	0	0	0	0	0
Ending Cash Balance	24	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	24	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Auxiliary Enterprises SF (Dental Hygiene Clinic -
 Name of Fund: Nursing)
 Legal Authority 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Augments department's instructional allocation to operate the Dental Hygiene clinic.

Source of Revenues:

\$25.00 Patient Fee assessed for Dental Hygiene services. Dental Hygiene received \$24.50 and \$0.50 is paid to the Campus Center for handling cash collection of fee and depositing into special fund.

Current Program Activities/Allowable Expenses:

Payment for personnel/lecturer costs to assist with coverage for the Dental Hygiene Clinic

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Difference between FY 2018 and FY 2019 revenues are due to decreases in paid patient fees and increases (131.29%) in waived patient fees in FY 2019

Difference between FY 2020 and FY 2021 revenues are due to the UHM Dental Clinic closure due to COVID-19 from March 2020 to February 2021

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	12,968	16,060	20,879	20,927	10,858	11,108	11,608
Revenues	43,120	43,867	37,896	26,101	18,750	37,500	37,500
Expenditures	40,028	39,048	37,848	36,169	18,500	37,000	37,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	16,060	20,879	20,927	10,858	11,108	11,608	12,108
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	16,060	20,879	20,927	10,858	11,108	11,608	12,108

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Auxiliary Enterprises SF (Center for Student
 Name of Fund: Development - OSA)
 Legal Authority 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Provide means to recover costs for providing educational and vocational counseling and testing services to students and other members of the community.

Source of Revenues:

Various testing fees.

Current Program Activities/Allowable Expenses:

Administers various tests such as interest tests and national standardized tests.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY2018 - revenues decreased due to less testing administered with no permanent Testing Coordinator. Increase in expenditures was due to more student hours needed to cover the Testing Center during the work day. Additionally, promotional items and telephone bills were charged this year.

FY2019 - revenues decreased due to less computer-based testing. The decrease in expenditures was due to no overtime salary for the Testing Coordinator, less student hours were worked/paid, and career assessment materials were not purchased.

FY 2020 - all testing was temporarily suspended due to COVID-19 so student assistants have been working a lot less hours since March.

FY 2021 - revenues and expenditures are projected to be lower due to the effects of the pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	130,000	130,000	130,000	130,000	130,000	130,000	130,000
Beginning Cash Balance	195,372	211,142	198,826	196,668	208,630	192,130	170,130
Revenues	57,558	44,430	38,658	36,883	2,500	9,000	18,000
Expenditures	41,789	56,746	40,815	24,922	19,000	31,000	52,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	211,142	198,826	196,668	208,630	192,130	170,130	136,130
Encumbrances	0	0	4,630	0	0	0	0
Unencumbered Cash Balance	211,142	198,826	192,038	208,630	192,130	170,130	136,130

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Manoa Career Center)
 Legal Authority: 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Provide the service of creating and maintaining credential files for classified students and alumni of UHM.

This service is used in support of student/alumni efforts to secure employment, gain admission to graduate or professional schools.

Source of Revenues:

User fees

Current Program Activities/Allowable Expenses:

Credential files are used in support of efforts to secure teaching and other academically-related employment, applying for admission to graduate or post baccalaureate professional schools and applications for fellowships, grants and other awards. A credential file is a collection of original letters/documents of appraisal solicited by the student or alumnus from professors and others who can provide a professional evaluation or recommendation of the student's performance potential.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2020 - revenues were lower than FY 2019 revenues due to a decrease in students utilizing the credential file service, partially due to the COVID-19 pandemic.

FY 2019 - revenues were higher than FY 2018 revenues due to an increase in the number of students requesting for credential file.

Expenditure variance between FY 2017 and FY 2018 is due to no expenses being incurred during FY 2018.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	32,071	32,612	33,305	34,199	34,892	35,242	34,442
Revenues	716	693	894	693	350	700	700
Expenditures	175	0	0	0	0	1,500	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	32,612	33,305	34,199	34,892	35,242	34,442	35,142
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	32,612	33,305	34,199	34,892	35,242	34,442	35,142

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Psy Clinic Fees)
 Legal Authority: 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Deposit all revenues to support clinic-related and administrative activities.

Source of Revenues:

Clinic Fees

Current Program Activities/Allowable Expenses:

Funds deposited into the fund shall be expended to maintain and support clinic activities. Includes office equipment, supplies, testing equipment, treatment manuals, stipends/honorarium for grad students working in the clinic, clinic-related research activities, other admin costs, student travel and related expenses to conferences or workshops.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 18 revenues decreased due to lower demand from students to be seeing additional clients.

FY 18 expenditures decreased slightly due to availability of other funds to support student travel and research, the major expenditures from this fund.

FY 19 revenues decreased because other contracted services with the State required time for our student providers, providing a diversity of learning opportunities for them, lessening the need to use the fee scale.

FY 20 revenues increased because program was able to arrange a nice learning case for a student with very positive outcomes.

FY 20 expenditures increased because program used funds to support travel for graduate students and related research expenses.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	2,692	7,722	10,011	9,474.6	7,641	5,841	4,041
Revenues	6,495	3,480	613	2,218	2,200	2,200	2,200
Expenditures	1,465	1,191	1,150	4,051	4,000	4,000	4,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,722	10,011	9,475	7,641	5,841	4,041	2,241
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	7,722	10,011	9,475	7,641	5,841	4,041	2,241

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (UHM VCAA)
 Legal Authority: 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To cover costs associated with providing immigration and federal compliance services for entrepreneurial and non-credit training programs.

Source of Revenues:

Administrative fees assessed for immigration services for entrepreneurial and non-credit training programs.

Current Program Activities/Allowable Expenses:

Direct expenses incurred in providing immigration and federal compliance services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 revenue --Increase in number of program participants requiring immigration services resulted in increase revenue.

FY18 expenditure- Tuition operating budgets from OVCAA were decreased by 3% therefore operating expenses were placed on visa fee account.

4 computers and 1 printer were upgraded as well as additional NAFSA travel expenses for new immigration specialist.

FY19 - FY21 projected expenditures -- expenditures are expected to be greater than previous years due to reduced tuition operating budget available

FY19 revenue --Increase in number of program participants requiring immigration services resulted in increase revenue.

FY20 Revenue -- decreased in revenues primarily due to COVID-19 pandemic. No Visas were processed and refunds were given for visa applications cancelled

FY20 Expenditures -- decreased in expenditures primarily due to COVID-19 pandemic. All non-essential travel was cancelled and current student help graduated.

FY21 Revenues and expenditures are projected to be lower due to the effects of the pandemic

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	46,012	64,780	56,105	42,340	34,314	35,914	36,114
Revenues	22,260	26,066	34,637	14,014	5,600	12,200	12,200
Expenditures	3,492	34,741	48,402	22,040	4,000	12,000	12,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	64,780	56,105	42,340	34,314	35,914	36,114	36,314
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	64,780	56,105	42,340	34,314	35,914	36,114	36,314

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (UHM Athletics
 Name of Fund: Tuition Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Sandy French
 Phone: 956-5495

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii. To cover the cost of Mānoa Athletics Department scholarship expenses for student-athletes. Funds were initially allocated through the Star program, but were unable to be processed through the Star program. The Star program allocation for Manoa Athletics was decreased by \$1,104,874 and the same amount was transferred to Manoa Athletics. This was a one time transfer.

Source of Revenues:

Transfer from Mānoa Chancellor's Office through a decrease in the Star program.

Current Program Activities/Allowable Expenses:

Scholarship expenses for Mānoa Athletics student-athletes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1	1	1	1	1	1	1
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	1	1	1	1	1	1
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1	1	1	1	1	1	1

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (CBA Executive
 Name of Fund: MBA Scholarship
 Legal Authority: 304A-2159, HRS

Contact Name: Sandy French
 Phone: 956-5495

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

To provide financial assistance to qualified students enrolled at the UHM Shidler College of Business Full-time MBA Program.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of merit-based scholarship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance in FY2018 is due to lower enrollment in the MBA program, which resulted in less scholarships awarded.

The variance in FY2019 expenditures is due to utilizing UH Foundation funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	372,725	219,125	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	372,725	219,125	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
Prog ID(s): UOH-100

Contact Name: Sandy French
Phone: 956-5495

Name of Fund: UH Scholarship & Assistance SF (Law Scholarships)
Legal Authority: 304A-2159, HRS

Fund type (MOF) B
Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover the expenditures.

Current Program Activities/Allowable Expenses:

Grants and Scholarships

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 transfer error. There will not be any variance as cash will be transferred to cover the account expenditures.

The total expenditures decreased in FY2020 because the funding method changed. In FY2019, funds were transferred to the Law School to distribute for the scholarships, but in FY2020, a portion of the scholarships are paid directly from another unit and a portion are paid by the Law School.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	(478,500)	0	(173,229)	0	0
Revenues	0	0	0	0	173,229	0	0
Expenditures	476,500	478,500	683,824	173,229	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	476,500	0	1,162,324	0	0	0	0
Ending Cash Balance	0	(478,500)	0	(173,229)	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	(478,500)	0	(173,229)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (Manoa Tuition
 Name of Fund: Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Grants and scholarships.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in awarding of student scholarships in FY21; Projected tuition decreases in FY22-23 projecting lower scholarship allowance.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,500	(226,160)	(1,603,065)	621,598	1,753,866	1,753,866	1,753,866
Revenues	0	0	0	0			
Expenditures	34,378,639	35,346,793	31,740,256	34,004,402	35,758,319	33,970,430	33,970,430
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	34,145,979	33,969,889	33,964,919	35,136,670	35,758,319	33,970,430	33,970,430
Ending Cash Balance	(226,160)	(1,603,065)	621,598	1,753,866	1,753,866	1,753,866	1,753,866
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(226,160)	(1,603,065)	621,598	1,753,866	1,753,866	1,753,866	1,753,866

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (Outreach
 Name of Fund: Tuition Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Sandy French
 Phone: 956-5495

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii. To account for scholarship expenditures awarded to students during the Mānoa Summer terms and the Outreach College Extension terms.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of need-based and merit-based scholarship. Allowable expenses include scholarships and financial aid.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(24,652)	(66,428)	78,065	276,002	507,366	1	1
Revenues	0	0	0	0	0	0	0
Expenditures	1,441,776	1,326,508	1,202,063	1,168,636	1,400,000	1,400,000	1,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,400,000	1,471,000	1,400,000	1,400,000	892,635	1,400,000	1,400,000
Ending Cash Balance	(66,428)	78,065	276,002	507,366	1	1	1
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(66,428)	78,065	276,002	507,366	1	1	1

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (VCAA ISS
 Name of Fund: Scholarship Overaward)
 Legal Authority: 304A-2159, HRS

Contact Name: Sandy French
 Phone: 956-5495

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

Not applicable. Fund acts more as a clearing account for scholarships in excess of just tuition (i.e., cost of attendance). Transfers from other accounts will clear out the expenditures that are posted to this fund to cover these scholarship expenses.

Current Program Activities/Allowable Expenses:

Cost of attendance tuition scholarship expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 Student overawards were not claimed in a timely manner before the end of the FY, resulting in a positive balance.

FY19 Student overawards were not claimed in a timely manner before the end of the FY, resulting in a positive balance.

FY20 Student overawards were not claimed in a timely manner before the end of the FY, resulting in a positive balance.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,672	8,939	2,799	9,971	17,233	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	(2,267)	6,140	(7,172)	(7,262)	17,233	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,939	2,799	9,971	17,233	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	8,939	2,799	9,971	17,233	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Center for Nursing SF
 Legal Authority: 304A-2163, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, any legislative appropriations, federal or private grants, or any other funds collected for the purposes of the Center for Nursing are deposited in this fund and shall be expended to support the Center's activities.

Source of Revenues:

\$40.00 special Nursing License fee collected from new and renewal (every two years) of LPN/RN nursing fee.

Current Program Activities/Allowable Expenses:

All operational expenses allowable under Hawaii Revised Statutes. Budget for the Center is maintained on a biennial contract due to the legislatively mandated nurse license fee occurring biennially. Carryover on even years when the license renewal fees are received are used to sustain personnel and efforts during odd years.

Purpose of Proposed Ceiling Adjustment (if applicable):

FY 2018-2021 Request for increase in appropriation ceiling due to filling of Executive Director position, UHPA collective bargaining increases, expanded program activities.

Variations:

FY 2017-2023 only actual and estimated interest revenue report as revenue; interest revenues fluctuate based on interest rate and cash during applicable fiscal years

FY 2017-2023 - \$40 special nursing fee reported in "Transfer" line from DCCA;

Revenue/transfers from DCAA vary every other year due to the renewal cycle for the licenses with higher volume in even FYs (FY 18 \$1,053,400, FY 20 \$1,093,160

FY 22 proj \$1,090,000) and lower volume in odd FYs (FY17 \$124,280, FY19 \$238,000, FY21 proj \$175,000 & FY23 proj \$183,760).

Difference between FY 2018 and FY 2019 expenditures are due to being fully staffed along with CB increases in FY 2019 and processing of 3 years (2017, 2018, 2019) of the program's Nurse Residency Program fees (approx. \$118,000) in FY 2019

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	463,306	463,306	463,306	463,306	463,306	463,306	
Beginning Cash Balance	1,285,966	877,960	1,379,542	846,936	1,235,100	692,195	1,023,100
Revenues	2,983	10,181	14,379	15,247	2,000	2,000	2,000
Expenditures	535,269	562,000	784,985	720,244	719,905	761,095	776,317
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers from State DCCA					175,000	1,090,000	183,760
Net Total Transfers	124,280	1,053,400	238,000	1,093,160	175,000	1,090,000	183,760
Ending Cash Balance	877,960	1,379,542	846,936	1,235,100	692,195	1,023,100	432,543
Encumbrances	5,484	36,378	388	6	1,000	1,000	1,000
Unencumbered Cash Balance	872,477	1,343,164	846,548	1,235,094	691,195	1,022,100	431,543

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Bookstore)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

The primary purpose of the Bookstore system is to provide the required textbooks utilized in courses offered and educational and instructional materials for faculty and staff in their academic fields of study. In addition, the Bookstore system provides general office supplies, sundries, computer hardware, software, peripherals, printing & copying services and other merchandise for the convenience of the campus community.

Source of Revenues:

Revenues are generated through the sales of goods and services.

Current Program Activities/Allowable Expenses:

The Bookstore system provides required textbooks and course materials, general reference books, magazines, enrichment materials, technical reference, supplementary materials, and recreational and leisure reading materials. In support of the expanding use of technology in academics, the Bookstore system offers a wide array of computer hardware, software and peripherals along with the repair and servicing of computer hardware. The Bookstore system also provides school and office supplies, sundries, souvenirs, emblematic merchandise and other merchandise to meet the needs of the various campuses. Emblematic and souvenir items were also available via the Internet on the Bookstores' websites.

All expenditures are for the Bookstore system's cost of goods sold and operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

With a big push in converting textbooks sales from traditional hard copy text to digital text, revenues and gross margins are expected to decrease due to digital prices being up to 80% less than hard copy texts and gross margins for digital books (10%-15%) being significantly lower than hard copy texts (24%-34%). Textbook rentals also decrease revenues because the sale price being significantly less than (up to 70% less) traditional hard copy books. Majority of the decrease from FY17 - FY20 can be attributed to the increase in digital books (IDAP program) and textbook rental program. The significant decrease in revenues for FY21 is due to the loss attributed to Covid-19 pandemic. With only 5% of classes being held in-person and visitors not allowed on campus, the Bookstore is seeing significant losses in revenues. The canceled/postposed athletic season has created further loss in revenues (i.e. clothing sales are down 80% in-store).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173
Beginning Cash Balance	5,234,130	5,582,097	4,528,911	3,264,315	(1,128,248)	(2,283,688)	(1,486,702)
Revenues	22,487,545	20,514,536	17,897,379	14,793,178	9,204,326	16,919,823	17,023,527
Expenditures	21,785,716	21,265,589	18,837,572	18,861,360	10,038,711	15,801,748	15,860,731
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2262862					(241,053)	(241,090)	(241,093)
2262752					(80,000)	(80,000)	(80,000)
Net Total Transfers	(353,861)	(302,133)	(324,403)	(324,381)	(321,053)	(321,090)	(321,093)
Ending Cash Balance	5,582,097	4,528,911	3,264,315	(1,128,248)	(2,283,688)	(1,486,702)	(645,000)
Encumbrances	1,776,768	1,799,434	2,433,709	2,520,705	1,764,494	1,765,000	1,765,000
Unencumbered Cash Balance	3,805,329	2,729,477	830,606	(3,648,954)	(4,048,181)	(3,251,702)	(2,410,000)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Food Services)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Responsible for maintaining and operating food services on the Mānoa campus.

Source of Revenues:

Revenue is derived from Paradise Palms, mobile vendors and vending operation rebates.

Current Program Activities/Allowable Expenses:

Payroll and daily operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY17 expenses were higher than FY18 due to purchasing a commercial dishwashing machine for Paradise Palms (\$57,774.85)

Increase in expenditures from FY18 to FY19 due to increase in utilities expenses and repair of Paradise Palms walk in refrigerator

Decrease in expenditures from FY19 to FY20 due to a decrease in utilities and R&M expenses

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	553,441	553,441	553,441	553,441	553,441	553,441	553,441
Beginning Cash Balance	743,114	767,307	834,473	870,545	781,404	1,004,033	1,325,554
Revenues	670,425	719,425	703,848	653,111	614,320	724,963	746,712
Expenditures	451,152	383,017	470,751	389,299	391,691	403,442	415,545
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(195,079)	(269,243)	(197,024)	(352,953)	0	0	0
Ending Cash Balance	767,307	834,473	870,545	781,404	1,004,033	1,325,554	1,656,721
Encumbrances	57,821	47,183	20,211	26,675			
Unencumbered Cash Balance	709,486	787,290	850,334	754,729	1,004,033	1,325,554	1,656,721

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Parking Operations)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Responsible for maintaining and operating the parking facilities for the Mānoa campus.

Source of Revenues:

Revenue is derived from parking permits and passes, gate receipts and parking citations.

Current Program Activities/Allowable Expenses:

Payroll and daily operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

To cover higher recurring expenditures caused by increases in utilities, payroll, contracted services and a proposed bus pass subsidy program.

Variances:

Increase in expenditures from FY19 to FY20 due to large one time expenses for parking lot repaving projects (Hale Wainani, UH Lab School, Zone 18, & Zone 21) and design services expenses for the parking structure repairs

In FY20 there were several large one-time expenses for parking lot repaving projects (Hale Wainani, UH Lab School, Zone 18),

FY21 postponed all large equipment purchases and decreased contracted services (Diamond & Secure) due to the pandemic.

Leveling off in FY22 and FY23 is because there are no future large projects being paid for out of the R&R accounts.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,750,845	6,750,845	6,750,845	6,750,845	6,750,845	6,750,845	6,750,845
Beginning Cash Balance	4,081,048	4,634,112	5,189,712	6,368,862	6,265,023	3,639,422	4,014,152
Revenues	6,038,538	5,641,165	5,990,458	5,740,964	1,721,562	4,462,668	4,812,057
Expenditures	5,421,557	5,085,565	4,811,308	5,838,973	4,252,246	3,440,749	3,340,427
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(63,917)	0	0	(5,830)	(94,917)	(647,189)	(644,579)
Ending Cash Balance	4,634,112	5,189,712	6,368,862	6,265,023	3,639,422	4,014,152	4,841,203
Encumbrances	2,807,192	2,354,614	2,324,529	3,743,292			
Unencumbered Cash Balance	1,826,921	2,835,098	4,044,333	2,521,731	3,639,422	4,014,152	4,841,203

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Projected R&R Costs by FY:

28,753

2,219,000

1,618,000

-

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Revenue Undertakings Fund (Campus Center
 Name of Fund: Operations & Recreation Services)
 Legal Authority 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

This major appropriation provides funding to cover principal and interest payments of the State General Obligation & Revenue bonds sold to provide construction, renovation, and repair funds for student life facilities including Campus Center, Hemenway Hall, and Student Recreation Services. Funds are also used to cover costs of operations and maintenance of student life facilities, programs, services, and activities designed to meet the social, intellectual, recreational and cultural needs of campus community members served.

Source of Revenues:

Mandatory student fees, interest income, room rental income, leisure class fees, game commissions, ticket sales, league registration fees, equipment rental fees, passport handling fees.

Current Program Activities/Allowable Expenses:

Daily operations of the Campus Center and Hemenway Halls including the Ticket & Information Desk, Gamesroom, Computer Lab, Marketing & Graphics, Meeting & Events Services, Building Operations, Grounds Maintenance, Leisure Classes, Leisure Rentals, Recreational Sports Program. Allowable expenses include personnel, general operating expenses, repair & maintenance costs, utilities, equipment, advertising, travel, food, and other operations-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

With the completion of the new Recreation Center, operational and personnel cost are expected to increase significantly.

Variances:

In FY2020, decreased revenue due to covid such as shut down (e.g. WRC closed Apr 20 to Jun 20) and reduce of service (e.g. T&I no passport service); increased expense due to active maintenance projects for HEM, WRC, and Campus Center.

In FY2022-2023 expenditures, expect to pay out for large repair and maintenance projects for HEM, WRC, and Campus Center. In addition, pay out water utility.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,740,835	5,740,835	5,740,835	5,740,835	5,740,835	5,740,835	5,740,835
Beginning Cash Balance	15,081,625	15,545,344	16,094,975	20,059,041	19,248,240	18,534,470	15,135,007
Revenues	7,776,478	7,498,128	8,080,439	7,121,075	6,000,000	7,400,000	7,400,000
Expenditures	4,746,478	5,169,468	5,308,471	6,441,503	5,031,140	9,129,003	10,029,003
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer out of 2262352 for debt service					(1,982,630)	(1,970,460)	(1,958,471)
Transfer into 2262352 from UH bookstore \$80,000 from dining service \$220,000; transfer out to 8882022 (\$					(732,031)	(732,031)	(732,031)
Transfer from 2262352 to 8880222 (\$1,032,030)					1,032,031	1,032,031	1,032,031
Net Total Transfers	(2,566,281)	(1,779,029)	1,192,098	(1,490,373)	(1,682,630)	(1,670,460)	(1,658,471)
Ending Cash Balance	15,545,344	16,094,975	20,059,041	19,248,240	18,534,470	15,135,007	10,847,533
Encumbrances	666,269	347,236	740,358	8,897,832	400,000	400,000	400,000
Unencumbered Cash Balance	14,879,075	15,747,740	19,318,684	10,350,408	18,134,470	14,735,007	10,447,533

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Revenue Undertakings Fund (MCO SODA
 Name of Fund: FUND)
 Legal Authority 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.
 Provision of food services for MCO events.

Source of Revenues:

Revenue is derived from vending operation rebates.

Current Program Activities/Allowable Expenses:

Food and beverage expenses for MCO sponsored events.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 19 revenue increase due to receiving full year of interest
 FY 20 revenue decrease due to interest based on a declining account balance
 Increase in expenditures from FY 19 – FY 20 due to additional events held
 Projected decrease in FY 21 due to effects of pandemic.
 FY 22 expenditures projected to increase as in-person events are once again scheduled.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	73,784	54,954	24,167	23,917	250
Revenues		12	848	551	250	250	0
Expenditures		0	19,679	31,338	500	23,917	250
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	73,773	0	0	0	0	0
Ending Cash Balance	0	73,784	54,954	24,167	23,917	250	(0)
Encumbrances		0	2,499	0	0	0	0
Unencumbered Cash Balance	0	73,784	52,455	24,167	23,917	250	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Revenue Undertakings Fund (Student Housing -
 Name of Fund: OSA)
 Legal Authority 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor. Student Housing Services is a self-supporting University Project. It is an integral part of the educational program and academic support services. It assists in the recruitment and retention of students by providing safe and reasonably priced accommodations conducive to student learning and affords opportunities for personal growth and individual development. Voluntary and Mandatory R&R reserves are established to maintain the physical infrastructure and appearance of the Student Housing residence halls and apartments. As part of the University Bond System, Student Housing is required to fund and maintain reserves for projects related to renovation, repair and maintenance.

Source of Revenues:

Revenues are derived from rental income, application fees, commissions and rebates, investment income and miscellaneous fees and fines.

Current Program Activities/Allowable Expenses:

On-campus accommodations are available for approximately 3,900 students. Approximately 52% are Hawai'i residents and 48% from the mainland and foreign countries. For FY21, the number of available beds was reduced to approximately 2,100 beds due to social-distancing requirements. Additionally, the percentage of Hawaii residents as compared to previous years has declined to 28% due to the availability of on-line classes and government mandates and recommendations to stay and home and minimize interactions with others. Student Housing offers wellness halls, honors floors, freshmen year experience halls and a variety of resident programs and projects throughout the academic year. All expenses associated with the operation of residence halls are paid from revenues, including personal services, utilities, materials and supplies, repair and maintenance, etc. Voluntary R&R reserve funds can be used for general repair, renovation and replacement of facilities' systems, furniture, exterior/interior envelopes. Funds can also be used for emergency issues and to fund large scale projects in lieu of having to use bond monies and associated interest. Mandatory R&R reserve funds can be used for major repair, renovation and replacement of capital assets.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

(1) Decrease in FY20 revenues due to the COVID-19 pandemic; students who chose to move out prior to the end of their housing contracts were refunded prorated rent. The Summer 2020 Conference Housing program was also cancelled, resulting in YOY drop in revenues.

Revenue Variance Explanations:

FY 2021 - down 50.1% from FY 2020

FY 2021 rental revenues were budgeted at 50% occupancy based on the reduction of available revenue beds from 3,301 to 2,148 due to COVID-19. (The number of available beds is approximately 35% less than "normal.") Rental revenues are budgeted at \$7.9 million, compared to \$18.8 million for FY 2020 which, due to pandemic-related cancellations and subsequent refunds, is below prior year revenue.

FY 2022 - up 49.5% from FY 2021

FY 2022 rental revenues are based on the assumption that recovery from the pandemic begins but that caution remains. Revenues are budgeted at 75% occupancy on the same reduced number of beds (due to social distancing) as FY 2021. A 5% rate increase is also projected. Rental revenues are projected to improve by \$4.5 million.

FY 2023 - up 56.3% from FY 2022

FY 2023 rental revenues are budgeted at 80% occupancy on pre-2020 number of available beds. A 5% rate increase is also projected.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,412,179	17,412,179	17,412,179	17,412,179	17,412,179	17,412,179	17,412,179
Beginning Cash Balance	24,488,733	30,242,840	35,269,898	38,394,656	37,146,690	24,328,096	14,321,151
Revenues	26,737,941	26,290,298	24,197,439	19,554,790	9,765,466	14,599,604	22,814,090
Expenditures	13,894,919	14,748,656	14,768,577	14,536,624	16,264,364	18,286,779	18,796,962
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers-out for debt service	(7,088,914)	(6,514,584)	(6,384,103)	(6,326,133)	(6,319,696)	(6,319,770)	(6,322,965)
Transfers-in from UH for Atherton			80,000	60,000			
Net Total Transfers	(7,088,914)	(6,514,584)	(6,304,103)	(6,266,133)	(6,319,696)	(6,319,770)	(6,322,965)
Ending Cash Balance	30,242,840	35,269,898	38,394,656	37,146,690	24,328,096	14,321,151	12,015,314
Encumbrances	1,461,296	2,449,071	1,963,063	1,571,605	0	0	0
Unencumbered Cash Balance	28,781,544	32,820,827	36,431,593	35,575,085	24,328,096	14,321,151	12,015,314

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Expenditures exceed the ceiling of \$17,412,179 during FY 2020 - FY 2022 because the following expenditures are projected to be made from the Voluntary R&R funds:

FY 2021 - Hale Noelani will partially reopen after renovation (buildings C/D/E only, buildings A/B will remain closed while under renovation).

FY 2022 - Hale Noelani buildings A/B return from renovation. Two buildings of Hale Wainani projected to remain open (F/I) while two will be out of service for renovation (G/H).

	FY 2021 (estimated)	FY 2022 (estimated)	FY 2023 (estimated)
	1,300,000	1,000,000	1,000,000

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Revenue Undertakings Fund
 Name of Fund: (Telecommunications)
 Legal Authority 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To fund Telecommunication operations

Source of Revenues:

To fund Telecommunication operations

Current Program Activities/Allowable Expenses:

Supplies and equipment to support the Telecommunication operations

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Decrease in Revenues and Expenditures for FY19 is the result of the completion of the network infrastructure upgrade of buildings on the Manoa Campus

Increase in revenue for fy20 is the result of 3 yrs of pbx maintenance and voicemail recharge to the campuses due to Hawaiian Telcom billing issue.

Increase in expenditures for fy20 was the result of the purchase of additional wireless equipment expansion on the Manoa campus.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065
Beginning Cash Balance	4,917,173	4,003,711	2,843,411	3,639,174	4,869,213	4,625,974	4,382,735
Revenues	3,369,285	3,213,678	2,608,804	3,045,028	2,600,000	2,600,000	2,600,000
Expenditures	3,067,945	3,161,309	1,626,781	1,814,989	2,843,239	2,843,239	2,843,239
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,214,802)	(1,212,670)	(186,260)	0	0	0	0
Ending Cash Balance	4,003,711	2,843,411	3,639,174	4,869,213	4,625,974	4,382,735	4,139,496
Encumbrances	1,636,990	1,205,662	1,501,491	1,068,533	1,000,000	1,000,000	1,000,000
Unencumbered Cash Balance	2,366,722	1,637,749	2,137,683	3,800,680	3,625,974	3,382,735	3,139,496

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Revenue Undertakings Fund (UHRUF
 Name of Fund: Administration)
 Legal Authority 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Administration of the University Revenue-Undertakings Fund and the University Bond System (UBS).

Source of Revenues:

Interest income and transfer from Department of Health for Refunding Series 2006A, Series 2015D(R), Series 2015E(R), Series 2017B and Series 2017C revenue bond debt service (Section 328L-2, HRS and Section 3 of Act 12, SLH 2018).

Current Program Activities/Allowable Expenses:

Develops and implements policies, rules, and procedures to ensure the self-financing nature of the bond projects. Prepares prospectus and financing models; complies and analyzes financial data relating to the sale of university revenue bonds; administers the service of debt; and performs required post-issuance financial disclosures and federal tax compliance on issued revenue bonds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues - pool interest distribution

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9,563,907	11,856,374	11,981,286	12,056,022	12,127,513	12,201,405	12,273,717
Revenues	7,937	37,796	72,575	74,684	74,000	74,000	54,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
transfer in - tobacco settlement	11,799,348	9,397,426	9,399,587	9,396,394	9,396,286	9,394,598	8,530,402
transfer out - debt service	(9,514,819)	(9,310,310)	(9,397,426)	(9,399,587)	(9,396,394)	(9,396,286)	(9,394,598)
Net Total Transfers	2,284,530	87,116	2,160	(3,193)	(108)	(1,688)	(864,196)
Ending Cash Balance	11,856,374	11,981,286	12,056,022	12,127,513	12,201,405	12,273,717	11,463,521
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	11,856,374	11,981,286	12,056,022	12,127,513	12,201,405	12,273,717	11,463,521

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Required for Debt Service	9,310,310	9,397,426	9,399,587	9,396,394	9,396,286	9,394,598	9,395,223

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Ching Field)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To account for all revenues and costs of the university project (Ching Field Project) as defined by section 304A-2671, HRS.

Source of Revenues:

Income, revenues, or moneys received by the university including appropriations related to university projects.

Current Program Activities/Allowable Expenses:

Funds are applied to costs of construction, operation, repair, and maintenance of university projects, university systems, or networks; to pay principal and interest on revenue and general obligation bonds; to reimburse the university for expenses related to issuance of revenue bonds; or to provide reserve for renewal and replacement of university projects, university systems, or networks.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in revenues from FY17 to FY18 due to increase in interest

Increase in expenditures from FY17 to FY18 due to increase in expenses to upkeep facility

FY19 revenue increase due to interest earnings recorded for prior periods. R&R expenditures lower than prior years in FY19 and FY20

Expenditures in FY21-23 are based on repair and replacement cost estimates. The actual amount can vary due to the needs at the time.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	53,966	108,599	154,938	220,735	286,889	290,389	293,889
Revenues	65	774	2,909	3,638	3,500	3,500	3,500
Expenditures	5,241	34,490	662	388	60,000	60,000	60,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	59,808	80,056	63,551	62,904	60,000	60,000	60,000
Ending Cash Balance	108,599	154,938	220,735	286,889	290,389	293,889	297,389
Encumbrances	32,900	0	0	0			
Unencumbered Cash Balance	75,699	154,938	220,735	286,889	290,389	293,889	297,389

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Cancer Center)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

CRCH Project Construction Operating Account

Source of Revenues:

Bond System

Current Program Activities/Allowable Expenses:

None

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue is entirely from Interest distributed based on the account balance.

Reserve fund was capped at \$6M from FY17. Changes in expenditures based on implementation of rolling 5-year repair and replacement plan for facilities and IT-related expenses. No transfers into maintenance account will occur during the next few years of budget constraints, resulting in diminished carry-forward balance.

Transfers are for debt service, for which the source is the Cancer Center Cigarette Tax Special Fund. A reissue of these bonds in Nov 2020 will result in future cost savings, noted, beginning FY2022.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,219,374	6,231,613	6,271,351	6,153,750	6,193,147	5,532,731	4,862,391
Revenues	17,638	43,706	85,984	79,522	80,940	71,017	61,093
Expenditures	5,399	3,968	203,585	40,125	741,356	741,356	741,356
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2267482	7,873,753	7,868,843	7,862,984	7,856,625	8,094,391	6,918,359	6,917,133
2275932	(7,873,753)	(7,868,843)	(7,862,984)	(7,856,625)	(8,094,391)	(6,918,359)	(6,917,133)
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,231,613	6,271,351	6,153,750	6,193,147	5,532,731	4,862,391	4,182,128
Encumbrances	0	112,878	33,836	0	0	0	0
Unencumbered Cash Balance	6,231,613	6,158,473	6,119,914	6,193,147	5,532,731	4,862,391	4,182,128

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Revenue Undertakings Fund (IFA -
 Name of Fund: PANSTARRS 2)
 Legal Authority 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for costs of construction, operation, repair, and maintenance of the Pan-STARRS 2 telescope on Haleakala, Maui.

Source of Revenues:

Interest

Current Program Activities/Allowable Expenses:

Debt service charges

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue variance in FY19 due to interest earnings recorded for prior years; R&R costs are small but relatively stable.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	175	334	27,377	74,496	121,652	168,902	216,152
Revenues	11	15	637	1,101	1,100	1,100	1,100
Expenditures	293	366	429	315	350	350	350
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	442	27,394	46,910	46,369	46,500	46,500	46,500
Ending Cash Balance	334	27,377	74,496	121,652	168,902	216,152	263,402
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	334	27,377	74,496	121,652	168,902	216,152	263,402

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Revenue Undertakings Fund (Law - Exp/Mod
 Name of Fund: Oper)
 Legal Authority 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Source of Revenues:

Revenues are generated from interest distributions from the revenue bond.

Current Program Activities/Allowable Expenses:

Expenses related to the construction of the Law School's Clinical Building, including expenses related to the issuance and maintenance of revenue bonds such as rating fees, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue and expenditures reflect annual rating agency fees and audit costs

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	34	(0)	0	(0)	(0)	(0)
Revenues	34	80	149	78	50	50	50
Expenditures	382	394	394	413	50	50	50
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	382	280	246	334	0	0	0
Ending Cash Balance	34	(0)	0	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	34	(0)	0	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Regional Biolab)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Design and construct a Pacific Regional Biosafety laboratory

Source of Revenues:

Revenue Bonds

Current Program Activities/Allowable Expenses:

None - The Project was canceled.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3	3	3	3	3	3	3
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3	3	3	3	3	3	3
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3	3	3	3	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (OVCRGE Biomed)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide for all costs of construction, operation, repair, and maintenance of a university project.

Source of Revenues:

Revenue Bonds

Current Program Activities/Allowable Expenses:

Bond related expenses such as bond audit, rating agency fees, arbitrage reports, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to adjustments to annual audit agency fees for the Sinclair Library and CMORE bond debt service payments.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2	2	(0)	0	1	8	15
Revenues	72	16	912	1,044	1,044	1,044	1,044
Expenditures	1,422	2,658	4,352	1,886	1,880	1,880	1,880
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,350	2,639	3,441	843	843	843	843
Ending Cash Balance	2	(0)	0	1	8	15	22
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	2	(0)	0	1	8	15	22

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Hawaii Cancer Research SF
 Legal Authority: 304A-2168, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the moneys in this fund shall be used by the University of Hawaii for the Cancer Research Center of Hawaii's research and operating expenses and capital expenditures.

Source of Revenues:

State revenues from taxes on the purchase of cigarettes.

Current Program Activities/Allowable Expenses:

Current year research, operating, and capital expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Needed for OCI Planning and Design contracts, for the construction of the new Cancer Center building.

Variances:

Other expenditure variances are due to an increase in tuition allocation to offset expenditures on the special fund and the return of the governor's restriction in FY2017 and 2018.

Expenditures in FY2018 reflect the Governor's allocation of reserve funds, relieving Special Funds expenditures.

Expenditures reflect sustained efforts in cost reduction, as well as reduction in FY2020 expenses due to COVID.

Expenditures in FY2021-FY2023 reflect the possibility there will be no Governor's allocation of reserve funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	26,000,000	26,000,000	26,000,000	26,000,000	26,000,000	26,000,000	
Beginning Cash Balance	20,688,472	20,086,765	19,787,472	19,048,684	20,690,732	19,544,828	19,574,955
Revenues	13,829,947	13,919,479	13,408,486	12,900,280	12,690,464	12,690,464	12,690,464
Expenditures	7,196,242	6,349,930	6,284,289	3,401,607	5,741,978	5,741,978	5,741,978
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt service (2265392)	(7,235,411)	(7,868,843)	(7,862,984)	(7,856,625)	(8,094,391)	(6,918,359)	(6,917,133)
Repair reserve (2265392)	0	0	0				
Net Total Transfers	(7,235,411)	(7,868,843)	(7,862,984)	(7,856,625)	(8,094,391)	(6,918,359)	(6,917,133)
Ending Cash Balance	20,086,765	19,787,471	19,048,684	20,690,732	19,544,828	19,574,955	19,606,309
Encumbrances	358,120	412,063	246,499	256,525	0	0	0
Unencumbered Cash Balance	19,728,645	19,375,409	18,802,186	20,434,207	19,544,828	19,574,955	19,606,309

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
Energy Systems Development SF (OPF -
 Name of Fund: Sustainability Initiative)
 Legal Authority 304A-2169.1, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the purpose of developing an integrated approach to and portfolio management of renewable energy and energy efficiency technology projects that will reduce Hawaii's dependence on fossil fuel, imported oil, and other imported energy resources and move Hawai'i toward energy self-sufficiency.

Source of Revenues:

The Energy Systems Development Special Fund (ESDSF) derives income from rebates and from grants or incentives associated with energy conservation measures. Capital appropriations by the legislature are also eligible sources of revenue.

Current Program Activities/Allowable Expenses:

The fund is used to support project planning, design and implementation in the areas of energy efficiency/conservation, renewable energy, and sustainability.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 19: HRS 304A-2181, the University of Hawai'i green special fund, was established to support energy efficiency, renewable energy, and sustainability. This statute allows for the deposit of monies from energy savings, investment earnings, gifts, donations, rebates, grants, capital appropriations or other funds received by the University and authorizes expenditures for projects and services that support energy and sustainability initiatives. Starting in FY19, revenues and expenditures will be reflected under this statutory authority. Revenues are on an upward trend in FY20 and 21 due to lighting rebates received and anticipated to be received. Expenditures in FY21 reflects one energy efficient project scheduled.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	14,588	46,292	46,928	70,418	70,418	120,418
Revenues	5,588	31,704	636	23,490	150,000	50,000	50,000
Expenditures	(9,000)	0	0	0	150,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	14,588	46,292	46,928	70,418	70,418	120,418	170,418
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	14,588	46,292	46,928	70,418	70,418	120,418	170,418

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Energy Systems Development SF (SOEST - HNEI)
 Legal Authority: 304A-2169.1, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the purpose of developing an integrated approach to and portfolio management of renewable energy and energy efficiency technology projects that will reduce Hawaii's dependence on fossil fuel, imported oil, and other imported energy resources and move Hawai'i toward energy self-sufficiency.

Source of Revenues:

\$.10 from each dollar of the barrel tax authorized under Act 73, 2010; Re-established under Act 107, 2014.

Current Program Activities/Allowable Expenses:

Obtaining matching funds from federal and private sources for research, development, and demonstration of renewable energy sources; awarding contracts or grants to develop and deploy technologies that will reduce Hawai'i's dependence on imported energy resources and oil; manage the portfolio of projects commissioned under the fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

Although established in July 2007, no funds were allocated to this fund until July 2010 when under ACT 73, 10 cents of the tax on each barrel of oil was to be deposited into the account. As specified in HRS304A-1892, the energy systems development special fund was to be managed by HNEI. However, the authorization to access those funds was included in the Budget Worksheets under Program ID# BED120, under the Department of Business, Economic Development and Tourism. The authorization to access these new funds has since been resolved and UH is now proceeding as intended.

Variances:

The variance in expenditures was caused by delays in securing agreements with subcontractors and project partners for planned projects. Program is continuing to negotiate these agreements in FY21. The variance in revenue (Net Total Transfers) in the prior years is due to an increased use of oil within the State of Hawaii during the past fiscal year; revenue is derived from a 10 cents tax on each barrel of imported oil; future year projections are conservative

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	3,846,786	4,916,949	6,368,415	8,399,840	9,660,083	9,660,083	9,660,083
Revenues	12,692	39,428	102,827	113,375	100,000	100,000	100,000
Expenditures	1,478,501	1,165,740	736,783	1,427,694	2,200,000	2,200,000	2,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	2,535,972	2,577,779	2,665,380	2,574,563	2,100,000	2,100,000	2,100,000
Ending Cash Balance	4,916,949	6,368,415	8,399,840	9,660,083	9,660,083	9,660,083	9,660,083
Encumbrances	9,263	15,000	62,105	10,350	0	0	0
Unencumbered Cash Balance	4,907,686	6,353,415	8,337,735	9,649,733	9,660,083	9,660,083	9,660,083

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH CIP Project Assessment SF (OPF - Other
 Name of Fund: Special CIP Assessment Special Fund)
 Legal Authority 304A-2172, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the purpose of defraying costs involved in:
 Carrying out capital improvements program projects managed by the university;
 Equitably assessing, collecting, and distributing moneys for current and other expenses associated with capital improvements program projects, repair, and maintenance projects, and major renovation projects;
 Managing the payment of expenses assessable against capital improvements program projects managed by or through the university, such as printing, employee transportation requirements, project-related travel costs, travel per diem, and car mileage reimbursements, in accordance w/applicable laws & collective bargaining agreement; and
 Managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the capital improvements program projects managed by the university.

Source of Revenues:

Assessments are made based on a pre-existing schedule generated by the Department of Accounting and General Services to assess capital appropriations. Assessments are made for the design and construction phases of projects.

Current Program Activities/Allowable Expenses:

Expenditures from the UH CIP Project Assessment Special Fund are limited to operational expenses of University incurred for carrying out capital projects. Allowable operational expenses include, but are not limited to, project and professional related travel, training, telecommunication charges, office supplies, computer hardware and software, motor vehicle use and maintenance, dues, subscriptions, printing, postage, and other operational expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 19: Increase in expenditures due to increased CIP projects
 FY 20: Increase in revenues CIP assessments made on CIP projects.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	24,596	28,711	82,871	108,871	134,871
Revenues		32,250	18,806	58,634	35,000	35,000	35,000
Expenditures		7,654	14,691	4,473	9,000	9,000	9,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	24,596	28,711	82,871	108,871	134,871	160,871
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	0	24,596	28,711	82,871	108,871	134,871	160,871

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Child Care Programs SF
 Legal Authority: 304A-2173, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, this fund was established for the operation of child care programs and the construction and renovation of child care centers established by the University of Hawaii.

Fees charged are deposited into this fund.

Source of Revenues:

Fees for services, application and comprehensive fees.

Current Program Activities/Allowable Expenses:

Program provides quality integrated child care services and provides training opportunities for the development of competent professionals by serving as a training site.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

FY 2019 - increase in expenditures due to increase in Personnel, partially offset by decrease in other expenses.

FY 2020 - Decrease in revenue due to the total closure of UHMCC the last 8 wks of the Spring Semester and a normally 6 wk Summer I term was reduced to a little over 2 weeks with UHMCC's reopening on 6/15/20 at an initial enrollment reduction of over 70% during June.

FY 2021 - Revenues are projected to be lower due to the effect and restrictions of the pandemic. Costs are expected to remain constant.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	373,496	390,920	402,572	401,829	38,522	(339,478)	(86,758)
Revenues	1,035,375	1,110,170	1,202,908	944,385	995,000	1,609,000	1,682,000
Expenditures	1,017,950	1,098,518	1,208,096	1,311,049	1,373,000	1,356,280	1,418,573
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	4,445	3,357	0	0	0
Ending Cash Balance	390,920	402,572	401,829	38,522	(339,478)	(86,758)	176,669
Encumbrances	35,450	10,742	197,285	19,169	0	0	0
Unencumbered Cash Balance	355,471	391,831	204,544	19,353	(339,478)	(86,758)	176,669

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UHM Intercollegiate Athletics SF
 Legal Authority: 304A-2176, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to receive, deposit, disburse, and account for funds from the activities of the intercollegiate athletic programs. The Athletics Department Special Fund is used to account for the financial transactions of the UH Manoa Intercollegiate Athletics programs. Financial supports cover all activities (administrative, support services, and sports) necessary and proper to operate and maintain an intercollegiate sports program at the NCAA Division 1 level with both male and female student participants. Unlike General Funds, the Special Fund is unique and flexible and therefore intangible factors considered during establishment were: (1) provides flexibility to address unanticipated expenditures which may require a considerable appropriation over the original ceiling in the late fourth quarter of the fiscal year; (2) permit expenditures that are common and necessary to athletic's operations that normally are not authorized by General Fund policies; and (3) allow the Athletic Department to provide over 500 student-athletes the opportunity and support to participate at the highest level of collegiate competition.

Source of Revenues:

The Athletics Department Special Fund earns revenue through a variety of sources. Tickets to Intercollegiate Athletic events for football, men's and women's basketball and volleyball, and baseball are sold. Revenue is also earned from television and radio broadcast rights relating to the intercollegiate athletic events. Corporate sponsorships are another source of revenue where sponsorships and tickets are sold to corporations and businesses. Opposing teams also pay guarantees to the Athletic Department for contests played at opposing teams' sites. The National Collegiate Athletic Association and the Mountain West and Big West Conferences with the Athletic Department participated in also pay out funds for the Department's participation. Revenue is also earned from concession sales at the Stan Sheriff Center and the Les Murakami Stadium. Donations are another source of revenue. Also, students of UH Mānoa pay an athletic fee of which the Athletics Department retains 92% of the amount collected. FY 2017-FY 2020 revenues assume that the department receives \$2.7M in general funds from the legislature and \$0.5M additional from Mānoa in general funds for student support positions.

Current Program Activities/Allowable Expenses:

The Athletic Department's Special Fund expenses include payment to employees for services, scholarship and medical expenses of student-athletes, travel for student-athletes, coaches and staff to competitions and meetings, recruiting of prospective student-athletes, equipment, materials and supplies needed for operations, payments to officials, dues to the Big West and Mountain West Conferences, payment of guarantees to/for visiting teams, credit card and transaction fees for ticket sales and other operating expenses. FY 2017-FY 2020 expenses assume that the department receives \$2.7M in general funds from the legislature and \$0.5M additional from Mānoa in general funds for student support positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues decreased in 2018 due to receiving a one-time distribution of \$794k from the NCAA in 2017, and not getting any similar distribution in 2018. Decrease in 2018 also due to receiving \$2.3M in game guarantees in 2017 vs \$1.15M in 2018, a decrease of \$1.15M. Decrease also due to the football team participating in the Hawai'i Bowl in 2017 and receiving an extra \$0.5M in distribution from the MWC vs no distribution in 2018. Decrease also due an increase in receivables of \$582k from 2017 to 2018. Ticket sales also decreased by \$400k from 2017 to 2018 due to our teams not performing as well in 2018. We also received \$400k less in television rights in 2018 due to having one less football game on pay-per-view as required per the television contract. Decrease in revenue in 2020 due to COVID and the cancellation of the spring sports in March 2020. This includes men's volleyball, baseball, softball, water polo, track and field, golf, tennis and sailing. Due to the cancellation of the spring sports, revenues were decreased for ticket sales, concessions, corporate partners and NCAA distributions. FY 21 revenues are expected to be lower due to the effects and restrictions associated with the pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000
Beginning Cash Balance	(23,306,842)	(22,113,783)	(25,021,483)	(27,202,498)	(30,439,960)	(39,750,796)	(45,591,750)
Revenues	24,659,323	20,695,758	22,707,780	19,557,589	16,198,683	21,530,471	23,746,184
Expenditures	23,600,480	24,463,951	25,909,454	23,617,652	25,696,519	27,558,425	28,897,415
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	134,216	860,492	1,020,659	822,601	187,000	187,000	187,000
Ending Cash Balance	(22,113,783)	(25,021,483)	(27,202,498)	(30,439,960)	(39,750,796)	(45,591,750)	(50,555,980)
Encumbrances	523,388	1,090,919	431,249	452,092			
Unencumbered Cash Balance	(22,637,171)	(26,112,402)	(27,633,748)	(30,892,052)	(39,750,796)	(45,591,750)	(50,555,980)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Grant for the Bridge-to-Hope Program
 Legal Authority: 346-68, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-320-F

Intended Purpose:

Per statute, this program was created to provide assistance to heads of households in the First-to-Work program. Funds allocated to support Bridge to Hope/First-to-Work participants enrolled at any UH campus.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Program Evaluation; BTH Participant All-Campus Symposium; Visiting Scholars

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	87,660	87,660	87,660	87,660	87,660	87,660	87,660
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	87,660	87,660	87,660	87,660	87,660	87,660	87,660
Encumbrances							
Unencumbered Cash Balance	87,660	87,660	87,660	87,660	87,660	87,660	87,660

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH at Manoa Malpractice SF
 Legal Authority: 304A-2152, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-185-F

Intended Purpose:

Per statute, this fund shall be used for costs arising from the defense and settlement of claims against the University, its students, or its faculty for professional malpractice in programs that provide professional services.

To maintain a reserve with which to pay expenses related to malpractice claims filed against John A. Burns School of Medicine faculty physicians.

Source of Revenues:

Interest earned from investment of available cash by the University of Hawai'i and charging faculty physicians' funding agencies their fair share of the reserve contribution.

Current Program Activities/Allowable Expenses:

Judgements, settlements, attorney fees and other costs related to the defense against malpractice claims filed against faculty physicians and entities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues FY 2018: Variance due to reimbursed judgement claims cost from UCERA

Revenues FY 2019: Variance due to unbilled claims/premium reimbursements in FY19

Revenues FY 2020: Variance due to receipt of FY19 premium reimbursements in FY20

Expenditures FY 2017: Variance due to increase in claims cost, insurance premiums and admin salaries.

Expenditures FY 2018: Variance due to increase in claims cost

Expenditures FY 2019: Variance due to reduction in premiums and overhead payroll

Expenditures FY 2020: Variance due to increase in claims cost

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	700,000	700,000	700,000	700,000	700,000	700,000
Beginning Cash Balance	1,404,108	1,132,520	999,745	798,635	576,532	483,554	390,576
Revenues	449,259	663,667	392,688	432,087	491,000	491,000	491,000
Expenditures	720,846	796,443	593,798	654,189	583,978	583,978	583,978
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,132,520	999,745	798,635	576,532	483,554	390,576	297,598
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,132,520	999,745	798,635	576,532	483,554	390,576	297,598

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-110
UH Auxiliary Enterprises SF (JABSOM Hyperbaric
 Name of Fund: Treatment Center)
 Legal Authority 304A-2157, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

For the operation of the Hyperbaric Treatment Center which was transferred from the Department of Health to the University of Hawai'i in the FY 1998 appropriations act.

JABSOM will be moving HTC operations over to UHP/UCERA in FY2018. \$500K State funds received in support of HTC will be paid to UHP/UCERA on ongoing basis to support operations.

Source of Revenues:

Revenues to the fund are generated from patients who are treated at the center for hyperbaric oxygen services for diving accidents and medical health treatments. Payments for these services come from insurance companies and patients. Interest earned from investment of available cash is another source of revenue.

Current Program Activities/Allowable Expenses:

Expenses related to the operation of the HTC including salaries and fringe, office and medical supplies, communications, equipment, repairs, janitorial and laundering services, patient meals, travel, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues FY2016-2019: Service revenues collected on aged account receivables

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	525,000	525,000	525,000	525,000	525,000	525,000	525,000
Beginning Cash Balance	2,191	2,393	2,535	2,570	2,603	2,638	2,673
Revenues	202	142	35	32	35	35	35
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,393	2,535	2,570	2,603	2,638	2,673	2,708
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2,393	2,535	2,570	2,603	2,638	2,673	2,708

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-110
UH Scholarship & Assistance SF (JABSOM
 Name of Fund: Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Sandy French
 Phone: 956-5495

Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of merit-based scholarship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2019 Transfer has a variance due to timing of FY2018's scholarship transfer occurring in FY2019 instead of FY2018.

Expenditures FY19: Scholarships used for student retention were spread over four years

Expenditures FY20: Scholarship awards paid directly by UH Manoa (Parent University)

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	(964,320)	293,180	293,180	293,180	293,180
Revenues	0	0	0	0	0	0	0
Expenditures	931,450	964,320	606,820	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
transfer in from T	931,450						
Net Total Transfers	931,450	0	1,864,320	0	0	0	0
Ending Cash Balance	0	(964,320)	293,180	293,180	293,180	293,180	293,180
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	(964,320)	293,180	293,180	293,180	293,180	293,180

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH Revenue Undertakings Fund (JABSOM)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To support the medical school's operations and train and graduate new physicians until June 30, 2015.

Source of Revenues:

Interest earned from investment of available cash by the University of Hawaii.

Current Program Activities/Allowable Expenses:

Funds are to be used to cover operating expenses related to the education and training of medical students.

This includes lease and utility costs at our medical education sites.

Purpose of Proposed Ceiling Adjustment (if applicable):

Special fund ceiling is being transferred from UH Manoa to JABSOM beginning in FY 2013.

Variances:

Revenues FY 2018: Interest revenue on transferred in funds.

Revenues FY 2019: Interest revenue on transferred in funds.

Revenues FY 2020: Interest revenue on transferred in funds.

Expenditures FY 2017: Agency rating fees charged to account. Future fees will be charged to revolving fund.

Expenditures FY 2018: Agency rating fees charged to account. Future fees will be charged to revolving fund.

Expenditures FY 2019: Bond arbitrage fees now charged to this account

Expenditures FY 2018: Bond arbitrage fees now charged to this account

Expenditures FY 2019: Bond arbitrage fees now charged to this account

Expenditures FY 2020: Agency and Bond arbitrage fees recharged to facilities use revolving account

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(0)	0	(10)	(19)	319	637	955
Revenues	(0)	15	276	318	318	318	318
Expenditures	3,502	9,733	22,474	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
8100210-8100213			9,419,616	9,399,606	9,396,394	9,396,394	9,396,394
8100214-8100217			(9,419,616)	(9,399,606)	(9,396,394)	(9,396,394)	(9,396,394)
Net Total Transfers	3,502	9,708	22,189	19	0	0	0
Ending Cash Balance	0	(10)	(19)	319	637	955	1,274
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	(10)	(19)	319	637	955	1,274

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-110
John A. Burns School of Medicine SF -
 Name of Fund: Physician Workforce Assessment
 Legal Authority 304A-2171, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, this fund was established to support JABSOM's activities related to physician workforce assessment and planning.
 To maintain accurate physician workforce assessment information and provide or update personal and professional information to be maintained in a secure database.

Source of Revenues:

Interest earned from investment of available cash by the University of Hawaii and medical license renewal fees charged to physicians.

Current Program Activities/Allowable Expenses:

To support physician workforce assessment and planning activities in Hawaii provided that expenditures are limited to no more than \$245,000 annually

Purpose of Proposed Ceiling Adjustment (if applicable):

To support retention of physicians in accordance with SB 240.

Variances:

Revenues FY 2017: Physician license renewal fees are collected/received biennially.
 Revenues FY 2018: Physician license renewal fees are collected/received biennially.
 Revenues FY 2019: Physician license renewal fees are collected/received biennially. Revenues should have been recorded in FY18
 Revenues FY 2020: FY20 Physician license renewal fees were booked in FY21
 Revenues for FY21 would typically not receive revenues other than interest, similar to FY23)

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	245,000	245,000	245,000	245,000	245,000	245,000	245,000
Beginning Cash Balance	860,734	665,913	755,407	735,417	501,179	788,199	1,085,959
Revenues	48,423	334,494	225,006	10,743	532,020	542,760	11,000
Expenditures	243,245	245,000	244,996	244,981	245,000	245,000	245,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	665,913	755,407	735,417	501,179	788,199	1,085,959	851,959
Encumbrances	85,729	97,822	129,774	0	0	0	0
Unencumbered Cash Balance	580,184	657,585	605,643	501,179	788,199	1,085,959	851,959

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH Graduate Application RF (JABSOM)
 Legal Authority: 304A-2260, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-381-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

Source of Revenues:

Required application fee paid by all applicants to the John A. Burns School of Medicine and to the medical electives program, and interest earned from investment of available cash.

Current Program Activities/Allowable Expenses:

Expenses related to the distribution, collection and processing of the applications, including salaries and fringe, operating supplies, equipment, repairs, printing, communications, parking fees, travel, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Increase needed to cover portion of Director of Admissions salary and full time support staff for medical student admissions. Additional ceiling space needed to cover new admission software cost effective FY 2018.

Variations:

Revenues FY 2017: Variance due to decrease in volume of applicants.

Revenues FY 2020: Variance due to increase in volume of applicants.

Expenditures FY 2018: AMCAS licensing fees

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	198,493	230,385	249,512	250,669	272,216	300,959	329,702
Revenues	207,494	218,020	208,449	236,197	234,950	234,950	234,950
Expenditures	175,601	198,894	207,292	214,650	206,207	206,207	206,207
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	230,385	249,512	250,669	272,216	300,959	329,702	358,445
Encumbrances	7,382	12,390	4,139	3,623	3,623	3,623	3,623
Unencumbered Cash Balance	223,004	237,122	246,530	268,593	297,336	326,079	354,822

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH Real Property & Facilities Use RF (JABSOM)
 Legal Authority: 304A-2274, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No.: S-381-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.
 Facilities usage revolving fund to cover expenses related to the rental and usage of JABSOM facilities.

Source of Revenues:

Revenues collected for use of JABSOM facilities which include land, buildings, grounds, furnishings and equipment. Interest earned from investment of available cash is another source of revenue.

Current Program Activities/Allowable Expenses:

Expenses related to operating JABSOM facilities including repairs and maintenance, contractor services, supplies, security, janitorial services, utilities and other operational expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Propose increase in fund ceiling due to escalating parking costs which is expected to increase nearly threefold in FY19.

Variances:

Revenues FY 2017: Variance due to loss of 2nd food vendor.
 Revenues FY 2018: Variance due to pickup of 2nd food vendor
 Revenues FY 2019: Increase in parking collections due to increase in parking rates
 Revenues FY 2020: Increase in parking collections due to increased demand for parking spaces plus increase in parking rates
 Expenditures FY 2017: Variance due to hiring of support staff.
 Expenditures FY 2018: Variance due to recharging of support staff to special funds.
 Expenditures FY 2019: Variance due to increase in parking rates and café renovation purchases
 Revenues for FY21 going forward reflect a new food services contract vendor where the previous ended in FY20. Expenditures in the future years are expected to continue to cover parking expenses for the students.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	160,000	300,000	400,000	400,000	400,000	400,000	400,000
Beginning Cash Balance	214,861	115,633	108,112	54,683.2	61,238	77,386	104,750
Revenues	226,387	275,973	307,175	345,492	419,148	451,740	461,232
Expenditures	322,113	273,786	338,415	338,918	403,000	424,376	432,368
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(3,502)	(9,708)	(22,189)	(19)	0	0	0
Ending Cash Balance	115,633	108,112	54,683	61,238	77,386	104,750	133,614
Encumbrances	13,608	15,193	184,318	102,927	100,000	100,000	100,000
Unencumbered Cash Balance	102,025	92,919	(129,635)	(41,689)	(22,614)	4,750	33,614

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Tuition and Fees SF (Regular Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Credit courses are offered to both in-state and out-of-state students during the regular academic year (fall/spring).

Source of Revenues:

Tuition and fees for regular session.

Current Program Activities/Allowable Expenses:

Funds are expended for instruction, academic support, student support and institutional support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	29,966,043	29,966,043	30,666,830	30,435,206	30,272,116	30,272,116	30,272,116
Beginning Cash Balance	1,485,745	3,466,365	4,577,754	3,938,440	2,266,681	2,682,826	2,679,991
Revenues	35,253,397	33,679,837	32,902,631	31,494,373	29,307,788	29,307,788	30,773,177
Expenditures	24,209,202	23,308,708	22,104,277	22,442,749	21,537,774	21,537,774	22,614,663
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in for Summer Session	435,342	389,364	478,568	641,015	710,660	746,193	783,503
Transfer out for URUF Debt Service	(909,716)	(674,881)	(489,887)	(490,676)	(488,550)	(488,493)	(488,026)
Transfer out for URUF R&R	(104,536)	(37,705)	(37,705)	(37,705)	(37,705)	(37,705)	(37,705)
Transfer out for Scholarships	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)
Transfer out for System Assessmen	(839,325)	(801,633)	(779,602)	(862,754)	(887,754)	(887,754)	(887,754)
Transfer out for Programmatic Supp	(308,942)	(259,198)	(219,871)	(222,167)	(120,000)	(120,000)	(120,000)
Transfer in for Act 236	(786,398)	(1,325,687)	(3,839,171)	(3,201,096)	19,480	(435,090)	(861,510)
Net Total Transfers	(9,063,575)	(9,259,740)	(11,437,668)	(10,723,383)	(7,353,869)	(7,772,849)	(8,161,492)
Ending Cash Balance	3,466,364.91	4,577,753.87	3,938,440	2,266,681	2,682,826	2,679,991	2,677,014
Encumbrances	5,045,730	6,405,878	5,805,980	4,603,335	5,000,000	5,000,000	5,000,000
Unencumbered Cash Balance	(1,579,365)	(1,828,124)	(1,867,540)	(2,336,654)	(2,317,174)	(2,320,009)	(2,322,986)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Hilo 304A-2153, HRS has two categories (Regular and Summer/CCE), with a total Unencumbered Cash Balance of (\$19,479.82). Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/30/20) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Tuition and Fees SF (Summer Session, CCE)
 Legal Authority: 304A-2153, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations. Credit courses are offered to both in-state and out-of-state students by CCE and during the summer.

Source of Revenues:

Tuition and fees for summer session and CCE credit courses.

Current Program Activities/Allowable Expenses:

Funds are expended for instruction, academic support, student support and institutional support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in the expenditures in FY 2018 and FY 2020 is primarily due to a decrease in salary costs.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,182,534	1,182,534	1,182,534	1,282,534	1,282,534	1,282,534	1,282,534
Beginning Cash Balance	1,881,238	1,726,596	1,864,370	1,968,506	2,319,474	2,322,174	2,325,009
Revenues	1,374,250	1,504,658	1,463,387	1,568,249	1,580,745	1,659,782	1,742,771
Expenditures	1,093,183	957,852	908,349	783,209	987,385	1,036,754	1,088,592
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(435,709)	(409,033)	(450,902)	(434,072)	(590,660)	(620,193)	(651,203)
Ending Cash Balance	1,726,596	1,864,370	1,968,506	2,319,474	2,322,174	2,325,009	2,327,986
Encumbrances	8,219	6,698	7,814	2,300	5,000	5,000	5,000
Unencumbered Cash Balance	1,718,377	1,857,672	1,960,692	2,317,174	2,317,174	2,320,009	2,322,986

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Hilo 304A-2153, HRS has two categories (Regular and Summer/CCE), with a total Unencumbered Cash Balance of (\$19,479.82). Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/30/20) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Library SF
 Legal Authority: 304A-2155, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

Source of Revenues:

Revenue generated from the collection of fines and user fees for use of the library services.

Current Program Activities/Allowable Expenses:

Purchase of replacement books and periodicals, and also materials and supplies in support of the library operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue generated is based on usage and collection of fines which will fluctuate from year to year. Expenditures are based on the need to purchase new and/or replacement books and materials. Large purchases are made periodically when funds are available and new or replacement materials, books and supplies are necessary.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,309	21,309	21,309	21,309	21,309	21,309	21,309
Beginning Cash Balance	4,671	4,157	4,377	9,364.71	11,793	13,943	15,994
Revenues	9,479	10,766	6,153	3,860	3,510	3,343	3,378
Expenditures	9,993	10,546	1,165	1,432	1,360	1,292	1,305
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,157	4,377	9,365	11,793	13,943	15,994	18,067
Encumbrances	44	0	0	0	0	0	0
Unencumbered Cash Balance	4,113	4,377	9,365	11,793	13,943	15,994	18,067

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Community Services SF
 Legal Authority: 304A-2156, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

UH Hilo offers community members access to the university's faculty and resources, and for developing community educational opportunities.

Source of Revenues:

Revenues are generated from tuition and fees charged to students for community service programs (i.e., non-credit classes).

Current Program Activities/Allowable Expenses:

Funds are expended for the purpose of providing community educational programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Effective July 1, 2017, the College of Continuing Education and Community Service (CCECS) was reorganized to the Center for Community Engagement. Due to this reorganization revenue and expenditures decreased in FY 2018 and FY 2019. Revenue and expenses decreased in FY 2020 due to transfer of the NHERC program to Hawaii CC and cancellations of classes due to COVID-19 concerns.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	529,736	529,736	479,736	485,896	487,247	487,247	487,247
Beginning Cash Balance	97,985	155,479	286,156	371,600	251,581	184,365	122,515
Revenues	225,486	182,758	108,366	41,862	40,271	46,745	51,998
Expenditures	468,677	321,946	201,667	115,802	107,487	108,595	113,397
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	300,685	269,865	178,744	(46,078)	0	0	0
Ending Cash Balance	155,479	286,156	371,600	251,581	184,365	122,515	61,116
Encumbrances	8,756	1,025	7,093	3,546	0	0	0
Unencumbered Cash Balance	146,723	285,131	364,507	248,035	184,365	122,515	61,116

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Auxiliary Enterprises SF
 Legal Authority: 304A-2157, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Source of Revenues:

Revenues generated from rental fees and charges imposed for the use of or services furnished by University programs.

Current Program Activities/Allowable Expenses:

Funds are expended for repairs and maintenance, replacement, operation and administration of the facilities/programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in revenue in FY 2018 is due to an increase in services such as printing, digital copying, graphic design and facility use fees.

The decrease in revenue in FY 2020 is due to a decrease in rental income from the Hawaii Innovation Center Facility and NHERC that was transferred to Hawaii Community College. The expenses increased in FY 2019 primarily due to required facility repairs. No large repair expenses occurred in FY 2020 but decrease in utility expenses occurred due to the temporary closure of the Imiloa Center Skygarden Café.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	730,999	730,999	830,999	839,212	841,013	841,013	841,013
Beginning Cash Balance	517,762	557,618	689,761	707,985	649,299	564,917	553,900
Revenues	665,996	740,931	746,083	619,268	566,284	626,954	674,021
Expenditures	626,139	622,089	727,859	596,865	650,666	637,971	645,631
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	13,300	0	(81,089)	0	0	0
Ending Cash Balance	557,618	689,761	707,985	649,299	564,917	553,900	582,290
Encumbrances	137,771	84,631	102,124	97,939	73,263	39,006	38,006
Unencumbered Cash Balance	419,847	605,130	605,861	551,360	491,654	514,894	544,284

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Scholarship & Assistance SF
 Legal Authority: 304A-2159, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Funds are expended for student tuition scholarships.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,754,348	6,754,348	6,754,348	6,754,348	6,754,348	6,754,348	6,754,348
Beginning Cash Balance	700,194	641,307	642,662	657,399	666,593	666,593	666,593
Revenues	0	0	0	0	0	0	0
Expenditures	6,608,887	6,548,645	6,535,263	6,540,807	6,550,000	6,550,000	6,550,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in from Tuition and Fees	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000
Net Total Transfers	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000
Ending Cash Balance	641,307	642,662	657,399	666,593	666,593	666,593	666,593
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	641,307	642,662	657,399	666,593	666,593	666,593	666,593

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: University Revenue Undertakings Fund
 Legal Authority: 304A-2167.5, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Fund is used to account for revenues and expenditures of the student housing and food services operations.

Source of Revenues:

Revenues generated from dorm rentals, application fees and food services.

Current Program Activities/Allowable Expenses:

Funds are expended for payroll, materials, supplies, services, debt service, R&M and equipment for the student housing and food service operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in FY 2018 expenditures was for operating expenses in support of increased occupancy in the student housing facilities.

The decrease of revenues in FY 2020 was due to Spring 2020 housing and meal plan cancellations as a result of COVID-19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,458,514	5,458,514	5,458,514	5,781,101	5,786,053	5,786,053	5,786,053
Beginning Cash Balance	4,284,146	5,082,472	5,792,101	6,578,735	5,947,404	3,404,322	1,653,231
Revenues	5,993,743	6,532,951	6,873,612	5,796,061	3,358,650	4,198,313	6,488,656
Expenditures	4,394,561	5,005,142	4,971,729	5,312,272	4,800,718	4,848,237	4,647,717
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in for R&R			37,705	37,705	37,705	37,705	37,705
Transfer out for Debt Service			(1,152,954)	(1,152,824)	(1,138,719)	(1,138,872)	(1,138,951)
Net Total Transfers	(800,856)	(818,180)	(1,115,249)	(1,115,119)	(1,101,014)	(1,101,167)	(1,101,246)
Ending Cash Balance	5,082,472	5,792,101	6,578,735	5,947,404	3,404,322	1,653,231	2,392,924
Encumbrances	439,682	455,768	1,497,395	955,993	500,000	500,000	500,000
Unencumbered Cash Balance	4,642,790	5,336,333	5,081,340	4,991,411	2,904,322	1,153,231	1,892,924

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UHH Mauna Kea Lands Management SF
 Legal Authority: 304A-2170, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, the proceeds of this fund shall be used for managing the Mauna Kea lands and enforcing administrative rules adopted relating to the Mauna Kea lands.

Source of Revenues:

Fees and charges for the use of land and facilities within the Mauna Kea Lands.

Current Program Activities/Allowable Expenses:

Salaries, utilities, security, and other operational expenses to manage the Mauna Kea Lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue decreased in FY 2018 due to a court order to defer TMT lease payments until a resolution was determined.

The decrease in expenditures in FY 2018 is due to adjustments in spending to be in line with available funds.

Revenue from TMT Lease payments resumed in the second half of FY 2019 and spending was adjusted to be in line with actual revenue.

FY 2020 reflects a full year of lease payments offset by a decrease in fee revenue and spending to be in line with revenue.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	756,000	756,000	756,000	756,000	956,000	956,000	956,000
Beginning Cash Balance	289,232	83,072	66,933	72,811	186,411	187,911	187,911
Revenues	510,957	386,039	545,822	743,302	701,500	900,000	900,000
Expenditures	717,117	402,178	539,944	629,702	700,000	900,000	900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	83,072	66,933	72,811	186,411	187,911	187,911	187,911
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	83,072	66,933	72,811	186,411	187,911	187,911	187,911

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UHH CIP Project Assessment SF
 Legal Authority: 304A-2172, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): B
 Appropriation Acct. No.: S-312-F

Intended Purpose:

Per statute, this fund was established to defray costs involved in 1) carrying out capital improvements program (CIP) projects managed by the University; 2) equitably assessing, collecting, and distributing moneys for current and other expenses associated with CIP projects, repair and maintenance projects, and major renovation projects; 3) managing the payment of expenses assessable against CIP projects managed by or through the University; and 4) managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the CIP projects managed by the University.

Source of Revenues:

Assessment on capital improvements program projects, repair and maintenance projects and major renovation projects.

Current Program Activities/Allowable Expenses:

Expenses related to central management, oversight and administration of the projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue and expenditures are based on capital improvement program projects, repair and maintenance projects, and major renovation projects that are managed by the University which fluctuates from year to year resulting in the variances.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	711	711	570	570	570	3,070	7,070
Revenues	0	0	0	0	10,000	12,000	0
Expenditures	0	141	0	0	7,500	8,000	2,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	711	570	570	570	3,070	7,070	4,570
Encumbrances	237	138	138	0	0	0	
Unencumbered Cash Balance	474	432	432	570	3,070	7,070	4,570

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Hilo Intercollegiate Athletics SF
 Legal Authority: 304A-2176, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, this fund shall be used to receive, deposit, disburse, and account for funds from the activities of the intercollegiate athletic programs.

Source of Revenues:

Ticket sales, sponsorships and other related income.

Current Program Activities/Allowable Expenses:

Expenses in support of the athletic programs and sports camps.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

The fluctuation of revenue is the result of the level of public interest in attending sporting events. Ticket sales, concession and merchandise sales will vary from year to year depending on the number of fans attending home games. Expenditures will vary based on the number of road trips each team has scheduled. The decrease of revenue and expenditures in FY 2020 are results of cancelled games for Spring 2020 due to COVID-19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	267,789	267,789	317,789	317,789	317,789	317,789	317,789
Beginning Cash Balance	135,452	155,638	114,944	34,758	138,778	195,426	202,426
Revenues	204,981	238,062	180,596	160,586	121,000	214,000	214,000
Expenditures	197,827	288,462	268,821	65,095	79,352	222,000	235,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	13,032	9,706	8,039	8,528	15,000	15,000	15,000
Ending Cash Balance	155,638	114,944	34,758	138,778	195,426	202,426	196,426
Encumbrances	7,010	10,963	0	4,352	0	0	0
Unencumbered Cash Balance	148,628	103,981	34,758	134,426	195,426	202,426	196,426

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH-Hilo Theatre SF
 Legal Authority: 304A-2178, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, admissions, advertising sales, corporate sponsorships, marketing, merchandising, donation, fundraising, fees, charges, and other moneys collected in conjunction with the UH Hilo theatre program shall be deposited in this fund, and may be expended for all costs associated with the theatre program.

Source of Revenues:

Theatre ticket sales.

Current Program Activities/Allowable Expenses:

Expenses related to programs and performances held at the Theatre.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The fluctuation in the revenue and expenditures is a result of the number and size of recitals and performances scheduled for each year. Depending on the mix of activities in a given fiscal year, the revenue and expenditures will fluctuate.

The decrease of revenue in FY 2020 are results of cancelled events due to COVID-19.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	170,035	170,035	170,035	170,035	170,035	170,035	170,035
Beginning Cash Balance	26,009	27,560	34,123	33,387	26,065	29,209	32,381
Revenues	180,222	141,593	147,193	127,913	114,761	137,750	148,210
Expenditures	178,671	135,030	147,928	135,235	111,617	134,578	144,546
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	27,560	34,123	33,387	26,065	29,209	32,381	36,045
Encumbrances	0	685	1,014	676	0	0	0
Unencumbered Cash Balance	27,560	33,437	32,373	25,389	29,209	32,381	36,045

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Commercial Enterprises RF
 Legal Authority: 304A-2251, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Activities of the Testing Center and College of Business and Economics consultant service is supported by this fund.

Source of Revenues:

Testing fees and service charges.

Current Program Activities/Allowable Expenses:

Expenses in support of the Testing Center and CoBE services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

In FY 2018, there was a decrease in revenue due to delays in receiving payments from Educational Testing Service (ETS).

Payments were received in the following fiscal year. Due to increased activity in FY 2019, a part-time staff was required.

FY 2020 revenue decreased due to the temporary closure of the testing center due to COVID-19 concerns.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,207	28,207	28,207	28,207	28,207	28,207	28,207
Beginning Cash Balance	12,285	16,756	19,017	26,994	33,762	25,437	21,137
Revenues	12,421	9,660	21,848	19,454	17,150	22,200	22,200
Expenditures	7,951	7,399	13,872	12,686	25,475	26,500	26,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	16,756	19,017	26,994	33,762	25,437	21,137	16,837
Encumbrances	0	0	0	7,875			
Unencumbered Cash Balance	16,756	19,017	26,994	25,887	25,437	21,137	16,837

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Research and Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): W
 Appropriation Acct. No.: S-317-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue generated is determined by the level of research and training extramural spending. As research and training awards fluctuate, so will the indirect overhead revenue generated. Spending will follow the same pattern.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,998,912	4,156,089	4,156,089	4,156,089	4,156,089	4,156,089	4,156,089
Beginning Cash Balance	673,627	781,177	807,436	882,588	530,255	216,862	191,740
Revenues	3,828,088	2,883,892	2,316,652	2,388,217	2,388,217	2,528,991	2,661,891
Expenditures	3,919,643	3,559,927	3,125,213	2,802,368	2,762,710	2,615,213	2,673,576
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	199,105	702,294	883,713	61,818	61,100	61,100	61,100
Ending Cash Balance	781,177	807,436	882,588	530,255	216,862	191,740	241,155
Encumbrances	68,273	46,091	45,593	31,855	0	0	0
Unencumbered Cash Balance	712,903	761,345	836,995	498,399	216,862	191,740	241,155

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Transcript & Diploma RF
 Legal Authority: 304A-2256, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Source of Revenues:

Fees collected for transcript requests and diploma applications.

Current Program Activities/Allowable Expenses:

Expenses for the issuance of transcripts and diplomas, and costs associated with the support of these functions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increases in expenditures in FY 2017 and FY 2018 are due to the hiring of personnel to assist with maintaining accurate student records and verification of course completion. Expenditures decreased in FY 2019 due to termination of a temporary position and student workers.

The increase in expenditures in FY 2020 are due to the purchase of computers and software.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	139,337	139,337	139,337	139,337	139,337	139,337	139,337
Beginning Cash Balance	110,485	65,161	2,254	39,835	66,613	31,796	58,686
Revenues	62,416	60,265	55,330	50,891	53,191	52,209	49,482
Expenditures	107,741	123,171	17,749	24,113	88,008	25,319	26,585
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	65,161	2,254	39,835	66,613	31,796	58,686	81,582
Encumbrances	4,070	1,481	2,201	4,373	0	0	
Unencumbered Cash Balance	61,091	774	37,634	62,240	31,796	58,686	81,582

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Student Activities RF
 Legal Authority: 304A-2257, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

Source of Revenues:

Fees collected, ticket sales and other related income.

Current Program Activities/Allowable Expenses:

Expenses necessary to offer activities and programs to the students.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease of expenditures in FY 2020 are due to cancelled activities and events as a result of COVID-19 concerns.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,370,355	2,370,355	2,370,355	2,370,355	2,370,355	2,370,355	2,370,355
Beginning Cash Balance	1,817,555	2,003,082	1,995,182	2,033,701	2,131,579	1,390,435	1,325,927
Revenues	1,786,037	1,654,378	1,593,548	1,450,668	1,289,809	1,465,830	1,448,351
Expenditures	1,600,510	1,648,977	1,555,029	1,352,789	2,030,953	1,530,338	1,375,088
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(13,300)	(0)	0	0	0	0
Ending Cash Balance	2,003,082	1,995,182	2,033,701	2,131,579	1,390,435	1,325,927	1,399,190
Encumbrances	41,389	84,133	60,241	109,711	50,000	50,000	50,000
Unencumbered Cash Balance	1,961,693	1,911,050	1,973,460	2,021,868	1,340,435	1,275,927	1,349,190

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Hawaiian Language College RF
 Legal Authority: 304A-2270, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, revenues from the sale of Hawaiian language materials shall be deposited in this fund, and shall be expended to support the Hawaiian Language College at UH Hilo.

Source of Revenues:

Sales of published materials and other related items.

Current Program Activities/Allowable Expenses:

Expenses in support of the college's operation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The fluctuation of revenue and expense is a result of the demand for publications. The FY 2018 decrease in expenditures is a result of a small decline in activity as evidenced by the decline in revenue. In FY 2019 revenue increased due to a large sale of publications. Expenditures decreased in FY 2019 due to a decrease in printing activity and termination of a temporary position. Sale of publications decreased in FY 2020 but expenses increased due to printing and cost of supplies.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	152,135	152,135	152,135	152,135	152,135	152,135	152,135
Beginning Cash Balance	108,470	62,010	46,155	197,749	238,439	98,591	63,286
Revenues	99,993	89,300	176,652	104,605	86,697	89,244	93,616
Expenditures	146,453	105,156	25,057	63,915	226,545	124,549	97,170
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	62,010	46,155	197,749	238,439	98,591	63,286	59,732
Encumbrances	8,322	1,212	16,401	38,145	0	0	0
Unencumbered Cash Balance	53,688	44,943	181,349	200,294	98,591	63,286	59,732

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Conference Center RF - UH Hilo
 Legal Authority: 304A-2272, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all fees, charges, and other moneys collected in conjunction with the UH Hilo Conference Center program shall be deposited in this fund, and may be expended on costs associated with conducting conferences, seminars, and courses offered by the program.

Source of Revenues:

Conference fees and other related income.

Current Program Activities/Allowable Expenses:

Expenditures related to the administration of conferences, workshops, seminars and other educational activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3	3	3	3	3	3	3
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3	3	3	3	3	3	3
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3	3	3	3	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Real Property & Facilities Use RF
 Legal Authority: 304A-2274, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Activities of the Small Business Incubator and University Research Park is recorded in this fund.

Source of Revenues:

Rental income.

Current Program Activities/Allowable Expenses:

Expenses related to the oversight of the Small Business Incubator and University Research Park.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues and expenditures will fluctuate based on the number of tenants occupying the facilities during the course of the year.

The increase of expenditures in FY 2017 through FY 2019 were due to needed repairs and maintenance and renovations.

Revenues decreased in FY 2019 and FY 2020 due to the conversion of the Hawaii Innovation Center to general office space.

Expenditures decreased in FY 2020 due to the conversion of the Hawaii Innovation Center to general office space.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	81,046	81,046	81,046	81,046	81,046	81,046	81,046
Beginning Cash Balance	193,084	201,411	196,365	112,607	83,968	31,126	39,875
Revenues	77,471	78,665	17,722	11,200	10,000	10,000	10,000
Expenditures	69,144	83,711	101,481	39,838	62,842	1,251	25,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	201,411	196,365	112,607	83,968	31,126	39,875	24,875
Encumbrances	9,403	11,279	71,669	31,421	0	0	0
Unencumbered Cash Balance	192,008	185,086	40,937	52,548	31,126	39,875	24,875

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Parking RF
 Legal Authority: 304A-2275, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all fees, fines, or other moneys collected from a University parking facility may be deposited in this fund.

Source of Revenues:

Parking fees and fines.

Current Program Activities/Allowable Expenses:

Expenditures made in support of providing parking services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in expenditures in FY 2020 is due to the retirement of a full time employee and decrease in contracted guard services.

	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	491,674	491,674	491,674	510,839	512,823	512,823	512,823
Beginning Cash Balance	183,750	245,403	282,114	295,554	344,297	290,844	326,344
Revenues	265,923	259,433	237,312	216,494	43,200	148,500	165,500
Expenditures	204,270	222,723	223,871	167,750	96,653	113,000	122,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	245,403	282,114	295,554	344,297	290,844	326,344	369,844
Encumbrances	26,538	17,156	28,528	18,653	0	0	0
Unencumbered Cash Balance	218,865	264,958	267,026	325,645	290,844	326,344	369,844

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: College Work Study Program
 Legal Authority: Federal Fund

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) N
 Appropriation Acct. No. S-266-F

Intended Purpose:

Fund is used to account for the allocation and expenditure related to our Federal Work Study program.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

Salaries for eligible student assistants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditures will fluctuate depending on the number of students working who qualify for the Federal Work Study program. Qualification is based on the student's financial need. Revenue will vary based on funds received from the U.S. Department of Education.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	443,962	443,962	443,962	443,962	443,962	443,962	443,962
Beginning Cash Balance	(16)	0	0	0	0	0	0
Revenues	341,314	283,985	312,199	225,103	291,557	291,557	291,557
Expenditures	341,298	283,985	312,199	225,103	291,557	291,557	291,557
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Tuition & Fees SF (Regular Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations. Funds are used to pay for personnel and other expenditures to support the mission of the university.

Source of Revenues:

Revenues collected by the university for regular credit tuition, tuition-related course and fee charges, and any other charges to students.

Current Program Activities/Allowable Expenses:

To maintain and improve the university's programs, services, and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

In FY18, as general fund allocations rose only 2.6%, more personnel costs were assumed using tuition revenue, thus the increase in expenditures. In FY20, UHWO incurred retroactive sewer costs of \$1.2m since the campus's inception in August 2012.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,767,979	16,500,000	16,111,810	16,358,810	16,503,810	16,663,810	16,578,810
Beginning Cash Balance	(2,064,099)	(1,996,284)	(1,226,126)	(899,824)	(1,897,631)	(1,744,885)	(998,602)
Revenues	16,120,348	16,438,283	16,748,102	16,373,434	15,360,000	15,436,800	15,745,536
Expenditures	7,076,341	8,449,200	8,963,517	10,178,785	9,010,164	9,100,266	9,191,268
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Service trans-out	(3,817,662)	(3,114,259)	(4,134,686)	(4,138,674)	(3,138,674)	(2,890,000)	(2,895,000)
Scholarship trans-out	(2,315,961)	(1,890,000)	(2,130,339)	(2,264,544)	(2,360,000)	(2,223,200)	(2,232,416)
EB-5 & Other System Assess.	(114,825)	(192,410)	(119,476)	(115,261)	(125,000)	(126,250)	(127,513)
Act 236	(2,724,475)	(2,022,257)	(1,073,782)	(673,979)	(573,416)	(350,801)	(563,613)
Net Total Transfers	(8,972,923)	(7,218,925)	(7,458,283)	(7,192,458)	(6,197,090)	(5,590,251)	(5,818,542)
Ending Cash Balance	(1,993,015)	(1,226,126)	(899,824)	(1,897,631)	(1,744,885)	(998,602)	(262,876)
Encumbrances	463,800	367,570	415,525	469,294	450,000	450,000	450,000
Unencumbered Cash Balance	(2,456,815)	(1,593,696)	(1,315,348)	(2,366,926)	(2,194,885)	(1,448,602)	(712,876)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH West Oahu 304A-2153, HRS has two categories (Regular and Summer), with a total FY 2020 Unencumbered Cash Balance of \$131,208.89. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/30/20) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Tuition & Fees SF (Summer Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF): B
 Appropriation Acct. No.: S-335-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.
 To provide courses during the summer months to compliment the regular academic year calendar.

Source of Revenues:

Revenues collected for tuition and fee charges associated with summer courses.

Current Program Activities/Allowable Expenses:

To maintain and improve the university's programs, services, and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Steady increase in enrollment for summer session led to higher than projected revenue and expenditures (FY16-FY19).
 Shift in methodology in FY20, caused a decrease in Summer TFSF expenditures. Revert back to FY19 methodology in FY21.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	1,500,000	1,400,000	1,290,000	1,300,000	1,300,000
Beginning Cash Balance	2,828,427	2,499,910	1,648,189	1,351,638	2,498,135	2,194,885	1,448,602
Revenues	1,087,854	1,092,211	1,301,608	1,353,131	1,200,000	1,212,000	1,230,180
Expenditures	444,145	478,921	598,160	206,634	503,250	508,283	515,907
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Services	(467,890)	(911,002)			(1,000,000)	(1,250,000)	(1,250,000)
EB-5 Interest trans-out	(255,000)	(297,500)					
R&R Reserve	(250,000)	(250,000)	(1,000,000)			(200,000)	(200,000)
System Assessments	(2,605)	(6,510)					
Net Total Transfers	(975,495)	(1,465,012)	(1,000,000)	0	(1,000,000)	(1,450,000)	(1,450,000)
Ending Cash Balance	2,496,641	1,648,189	1,351,638	2,498,135	2,194,885	1,448,602	712,876
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2,496,641	1,648,189	1,351,638	2,498,135	2,194,885	1,448,602	712,876

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH West Oahu 304A-2153, HRS has two categories (Regular and Summer), with a total FY 2020 Unencumbered Cash Balance of \$131,208.89.
 Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/30/20) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Library SF
 Legal Authority: 304A-2155, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

Source of Revenues:

Fines, fees, and other revenue derived from UHWO Library operations.

Current Program Activities/Allowable Expenses:

To purchase, replace or repair library materials, serials, and periodicals, and to support and improve the services provided by the library.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 decrease in expenditures due to a decrease in travel expenditures and library acquisitions as compared to FY17.

FY19 decrease in revenue mainly due to an A/R which was collected in FY20, as well as a decrease in copy card sales in FY20.

Expenditures in FY19 and FY20 adjusted as revenue intake decreased (i.e. decrease in supplies, subscriptions, and non-personnel services)

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,000	25,000	25,000	25,000	5,000	10,000	10,000
Beginning Cash Balance	13,666	10,435	10,098	11,390	10,958	10,339	9,720
Revenues	20,673	18,646	14,694	10,441	4,090	4,131	6,196
Expenditures	23,903	17,932	11,152	9,297	4,095	4,131	5,783
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Institutional Assessment		(1,052)	(2,249)	(1,576)	(614)	(620)	(929)
Net Total Transfers	0	(1,052)	(2,249)	(1,576)	(614)	(620)	(929)
Ending Cash Balance	10,435	10,098	11,390	10,958	10,339	9,720	9,203
Encumbrances	5,214	6,709	4,173	2,435	2,500	2,500	2,500
Unencumbered Cash Balance	5,221	3,389	7,216	8,523	7,839	7,220	6,703

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Community Services SF
 Legal Authority: 304A-2156, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

Source of Revenues:

All revenues including interest, derived and collected from the university's provision of public service programs.

Current Program Activities/Allowable Expenses:

Expenses related to managing public service programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY16, UHWO initiated non-credit programs such as Project Lead the Way (PLTW) and International Programs resulting in an increase in revenue and expenditures. Both programs continued to expand in FY17, reflecting an increase in revenues and expenditures. In FY18, PLTW ceased, thus the decrease in revenue and expenditures in FY18-19. FY19 also realized an increase in international agreements and job fair revenue.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	180,000	190,000	150,000	150,000	80,000	80,000	90,000
Beginning Cash Balance	95,737	84,687	44,819	75,004	90,328	52,793	14,694
Revenues	168,521	84,989	134,367	124,516	47,325	48,035	96,070
Expenditures	179,515	119,902	86,968	87,729	77,762	78,928	86,821
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Institutional Assessment		(4,955)	(17,215)	(21,462)	(7,099)	(7,205)	(14,410)
Net Total Transfers	0	(4,955)	(17,215)	(21,462)	(7,099)	(7,205)	(14,410)
Ending Cash Balance	84,743	44,819	75,004	90,328	52,793	14,694	9,532
Encumbrances	10,202	549	36	338	300	300	300
Unencumbered Cash Balance	74,541	44,270	74,968	89,990	52,493	14,394	9,232

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Auxiliary Enterprises SF
 Legal Authority: 304A-2157, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services to students, faculty, staff, and others

Source of Revenues:

All revenue to include interest, food services and vending machines

Current Program Activities/Allowable Expenses:

Expenses related to the management of auxiliary services

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

During FY17, campus selected a vendor to manage the beverage vending machines which resulted in less revenue and a decrease in expenditures. FY18 was the first full year utilizing vendors to manage vending services which reflects a steady increase in revenues up to FY20. FY19-20 no expenditures were recorded as no vending costs were incurred.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,000	25,000	25,000	25,000	5,000	50,000	75,000
Beginning Cash Balance	34,460	49,044	67,941	100,962	138,930	149,786	111,382
Revenues	24,750	12,994	13,557	14,930	3,143	3,772	10,000
Expenditures	10,222	105	0	0	0	50,000	75,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Institutional Assessment			19,464	23,038	7,713	7,825	15,339
Net Total Transfers	0	6,007	19,464	23,038	7,713	7,825	15,339
Ending Cash Balance	48,988	67,941	100,962	138,930	149,786	111,382	61,721
Encumbrances	0	0	0	0	0	500	750
Unencumbered Cash Balance	48,988	67,941	100,962	138,930	149,786	110,882	60,971

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Scholarship & Assistance SF
 Legal Authority: 304A-2159, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF): B
 Appropriation Acct. No.: S-335-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

Scholarship accounts do not generate revenue. Cash is transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Tuition scholarships to students attending the university

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 did not award all of its financial scholarships due to staffing issues. In FY20, all 4 financial aid positions were filled and more scholarships were issued.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,300,000	2,063,810	2,400,000	2,600,000	2,360,000	2,300,000	2,300,000
Beginning Cash Balance	(142,059)	(99,577)	(84,834)	0.00	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	2,273,479	1,875,257	2,045,504	2,264,544	2,360,000	2,223,200	2,232,416
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Scholarship trans-in from TFSF	2,315,961	1,890,000	2,130,338	2,264,544	2,360,000	2,223,200	2,232,416
Net Total Transfers	2,315,961	1,890,000	2,130,338	2,264,544	2,360,000	2,223,200	2,232,416
Ending Cash Balance	(99,577)	(84,834)	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(99,577)	(84,834)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH West Oahu SF
 Legal Authority: 304A-2166, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, proceeds of this fund shall be used for planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of UH West Oahu; and planning, land acquisition, design, improvement, and construction of infrastructure and other public or common facilities necessary for the development of the campus.

Source of Revenues:

Revenue derived from land sales and leases

Current Program Activities/Allowable Expenses:

Planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of the University of Hawai'i - West O'ahu in Kapolei, including infrastructure and other public or common facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Starting FY16, transferred deposits to the UH Real Property and Facilities Use revolving fund account. In FY17, utilized RF account (HRS 304A-2274) for expenditures. Minimal activity beginning FY18 as no land sales are anticipated. Revenue variance due to interest earned.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000	2,000	90,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	86,603	85,070	85,669	86,845	93	93	93
Revenues	273	599	1,176	93	0	0	0
Expenditures	1,807	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Services							
EB-5 Interest							
Emergency Siren							
R&R Reserve				(86,845)			
Net Total Transfers	0	0	0	(86,845)	0	0	0
Ending Cash Balance	85,070	85,669	86,845	93	93	93	93
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	85,070	85,669	86,845	93	93	93	93

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
UH Revenue Undertakings SF (WO Campus
 Name of Fund: Development)
 Legal Authority 304A-2167.5, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Source of Revenues:

Proceeds from the sale of public lands, all net rents from leases, licenses, and permits; or all proceeds derived from the development rights of public lands.

Current Program Activities/Allowable Expenses:

Planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of the University of Hawai'i - West O'ahu in Kapolei including infrastructure and other public or common facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenue generated equals share of interest income. Expenditures based on actual bond assessment and rating agent costs.

Starting FY17, campus has been contributing to a Repair & Replacement Reserve (primarily from tuition revenue to the Rev Undertaking account).

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	3,000	7,000	20,000	330,000	150,000	200,000
Beginning Cash Balance	21	250,527	500,600	1,507,295	1,612,574	1,302,074	1,372,574
Revenues	503	2,760	14,765	21,711	19,500	20,500	20,500
Expenditures	2,603	5,152	8,071	3,277	330,000	150,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Rating Agent and Other Fees	2,605	2,465					
Debt Services/BABS							
R&R Reserve	250,000	250,000	1,000,001	86,846	0	200,000	200,000
Net Total Transfers	252,605	252,465	1,000,001	86,846	0	200,000	200,000
Ending Cash Balance	250,527	500,600	1,507,295	1,612,574	1,302,074	1,372,574	1,393,074
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	250,527	500,600	1,507,295	1,612,574	1,302,074	1,372,574	1,393,074

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Henry Giugni Moving Image Archives SF
 Legal Authority: 304A-2180, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, any legislative appropriation, federal or private grants, and any other funds collected for the purposes of the 'Ulu'ulu: The Henry Giugni Moving Image Archive shall be deposited in this fund. Moneys shall be expended to support the activities of the moving image archive.

Source of Revenues:

State legislative appropriations, federal and private grants, and other funds.

Current Program Activities/Allowable Expenses:

Expenditures to support the activities of the moving image archive.

Purpose of Proposed Ceiling Adjustment (if applicable):

Act 90, SLH 2012 established this special fund without an appropriation.

Variances:

In FY2015, agreement signed between the Cable Television Division of the State of Hawaii DCCA and UH West Oahu. Revenue per Agreement: FY16 \$250K; FY17 \$200K; FY18 \$175K; FY19 \$150K; FY20 \$125K. Payroll and other costs incurred to support funding purpose. During FY17, a temporary position was created and filled thus the increase expenditures. FY18 reflects a full year of salary for this position. On 5/15/18, temp hire resigned, thus a drop in expenditures reflected in FY19 until temp position filled on 1/22/19 and full year salary reflected in FY20. In addition a higher level of expenditures to support the activities of the moving image archive incurred in FY20.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	220,000	220,000
Beginning Cash Balance	682,695	800,466	844,791	915,392	879,465	682,649	477,649
Revenues	200,000	175,000	161,892	136,183	0	0	0
Expenditures	82,229	130,675	91,291	172,110	196,816	205,000	210,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	800,466	844,791	915,392	879,465	682,649	477,649	267,649
Encumbrances	16,017	5,271	1,069	1,816	2,000	2,000	2,000
Unencumbered Cash Balance	784,449	839,520	914,323	877,649	680,649	475,649	265,649

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Research and Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF): W
 Appropriation Acct. No.: S-348-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University. The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY18, an adjustment to the RTRF allocation dating back to FY13 was reflected and increased UHWO's overhead return. In FY19, UHWO received additional RTRF funds to support SEED projects. In FY20, UHWO received an additional \$40,000 in overhead funds. Increase in FY18 expenditures due to a higher RTRF award. Decrease in FY20 expenditures occurred due to the pandemic which halted faculty travel opportunities.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	225,000	300,000	400,000	400,000	400,000	400,000	400,000
Beginning Cash Balance	7,595	30,016	95,389	183,927	342,223	311,223	280,223
Revenues	190,521	292,561	327,988	367,653	367,653	367,653	367,653
Expenditures	168,100	227,189	219,450	179,257	367,653	367,653	367,653
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
RTRF Adjustment System Assessment (TIG)			(20,000)	(30,100)	(31,000)	(31,000)	(31,000)
Net Total Transfers	0	0	(20,000)	(30,100)	(31,000)	(31,000)	(31,000)
Ending Cash Balance	30,016	95,389	183,927	342,223	311,223	280,223	249,223
Encumbrances	0	2,972	0	5,437	5,500	5,500	5,500
Unencumbered Cash Balance	30,016	92,417	183,927	336,786	305,723	274,723	243,723

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Transcript & Diploma RF
 Legal Authority: 304A-2256, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Source of Revenues:

Revenue is derived from fees collected for transcript and diploma requests.

Current Program Activities/Allowable Expenses:

Expenses related to managing the cost of transcripts and diplomas.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY18, created additional student help positions in the Records Office to assist with growing demands. In FY20, student help and operational expenditures decreased to better align with revenues collected.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	70,000	75,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance	62,290	70,627	55,532	41,514	41,420	41,420	41,420
Revenues	28,378	27,913	27,028	27,074	28,000	28,250	28,500
Expenditures	20,041	43,008	41,046	27,168	28,000	28,250	28,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	70,627	55,532	41,514	41,420	41,420	41,420	41,420
Encumbrances	9,388	8,368	8,678	4,096	4,000	4,000	4,000
Unencumbered Cash Balance	61,239	47,163	32,836	37,323	37,420	37,420	37,420

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Student Activities RF
 Legal Authority: 304A-2257, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF): W
 Appropriation Acct. No.: S-348-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

Source of Revenues:

Funds assessed as compulsory student activity fees collected by the University of Hawai'i on behalf of chartered student organizations and student activity programs.

Current Program Activities/Allowable Expenses:

Expenses related to each respective chartered student organization or student activity program for any purpose which it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Effective Fall 2017 Distance Education students were charged a student fee of \$22/semester vs \$120/semester, thus the drop in revenue in FY18. Expenditures steadily increased from FY17 to provide services and activities to the growing student population.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	815,000	883,139	883,139	883,139	883,139	883,139	883,139
Beginning Cash Balance	739,109	1,010,774	1,046,121	969,264	916,617	916,617	911,577
Revenues	655,147	496,367	496,806	480,093	592,000	594,960	603,884
Expenditures	383,482	461,019	573,663	532,740	592,000	600,000	609,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,010,774	1,046,121	969,264	916,617	916,617	911,577	906,461
Encumbrances	5,010	13,754	9,020	4,948	8,000	8,500	9,000
Unencumbered Cash Balance	1,005,764	1,032,367	960,244	911,669	908,617	903,077	897,461

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Center for Labor Education & Research RF - CLEAR
 Legal Authority: 304A-2267, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, all fees, charges, and other moneys collected in conjunction with the Center for Labor Education and Research shall be deposited in this fund, and expended to defray the cost of operating the CLEAR, excluding compensation of the permanent staff.

To provide labor-related education, labor-related research, and education services.

Source of Revenues:

Class fees and services to sustain the operation of the unit.

Current Program Activities/Allowable Expenses:

Funds are used to support the operational expenses for the Center for Labor Education and Research.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY17 revenue increased due to the collection of a prior year's account receivable. FY20 drop in revenue is a reflection of a decrease in workshops.

In FY20, a higher than usual amount of prior year encumbrances were paid than in previous years.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	6,847	10,616	10,060	9,313	2,363	2,363	2,363
Revenues	11,330	6,543	6,325	2,477	4,050	4,000	4,000
Expenditures	7,561	7,099	7,072	9,426	4,050	4,000	4,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,616	10,060	9,313	2,363	2,363	2,363	2,363
Encumbrances	3,041	2,428	176	990	750	750	800
Unencumbered Cash Balance	7,576	7,632	9,137	1,374	1,613	1,613	1,563

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Real Property & Facilities Use RF
 Legal Authority: 304A-2274, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Source of Revenues:

All revenues collected for the use of university real property and facilities.

Current Program Activities/Allowable Expenses:

Expenses related to managing and operating university facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in revenue during FY 2017 due to an increase in facilities use agreements. In FY18, received a one time retro payment for land use and extended lease agreement which increased revenue in FY19. Expenses increased in FY19 to repair & maintain campus facilities, support the hiring of a casual hire to manage activities, and to support campus events. In FY20, decrease in expenditures in overtime, student help, operating, expenses, and equipment purchases occurred while operations ceased during the COVID pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	875,000	785,000	660,000	660,000	660,000	660,000	660,000
Beginning Cash Balance	337,334	472,304	718,580	868,043	1,060,872	991,573	841,573
Revenues	269,139	392,873	316,814	291,588	93,861	150,000	250,000
Expenditures	134,169	146,597	167,351	98,759	163,160	300,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	472,304	718,580	868,043	1,060,872	991,573	841,573	691,573
Encumbrances	24,303	56,990	8,448	22,759	25,000	50,000	50,000
Unencumbered Cash Balance	448,001	661,590	859,595	1,038,112	966,573	791,573	641,573

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Federal Work Study
 Legal Authority: Federal Fund

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF): N
 Appropriation Acct. No.: S-205-F

Intended Purpose:

To provide need-based financial aid to students through employment.

Source of Revenues:

Federal Work Study Program

Current Program Activities/Allowable Expenses:

Expenses related to providing students with financial aid through employment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Efforts in place to promote and encourage students to participate in the FWS program. Due to vacancies in the Financial Aid Office in FY17 and FY18, not all work study awards were issued. Processes in place to ensure more students benefit from FWS.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	33,544	802,037	802,037	802,037	802,037	802,037	802,037
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	30,050	68,429	94,238	96,331	100,000	100,000	100,000
Expenditures	30,050	68,429	94,238	96,331	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: UH Tuition and Fees SF
 Legal Authority: 304A-2153, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) B
 Appropriation Acct. No. S-300-F

Intended Purpose:

The fund is used to account for revenues from tuition-related course and fee charges which are expended to maintain or improve the university's programs and operations.

Source of Revenues:

Revenues are from tuition-related course and fee charges collected by the University from students.

Current Program Activities/Allowable Expenses:

To recover part of the cost of instruction from resident and non-resident students in the form of tuition, late and change in registration fees, application fees, and out-of-state or non-resident fees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances due to the tightening of TFSF expenditures due to lower enrollment and fiscal uncertainty created by the COVID 19 pandemic.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	76,230,929	76,230,929	76,697,038	79,957,893	80,079,867	80,079,867	80,079,867
Beginning Cash Balance	5,470,004	7,429,149	6,636,625	6,422,470	5,526,569	5,385,743	5,385,743
Revenues	65,335,477	62,038,982	61,131,621	58,407,440	54,585,497	54,585,497	54,585,497
Expenditures	53,251,874	55,505,225	50,189,129	42,767,449	46,231,946	49,287,598	49,287,598
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Act 236 transfer from UH System				30,311,162	38,533,117	41,729,595	41,729,595
2303310 Trf to Plant Unexp Fund Group for TELP debt service				(2,688,364)			
2230272 Trf to RUF for debt service				(397,120)	(397,120)	(397,120)	(397,120)
2224502 Trf to RUF for debt service				(150,239)	(150,239)	(150,239)	(150,239)
2286922 Trf to RUF for debt service				(1,851,212)	(1,851,212)	(1,851,212)	(1,851,212)
2300966 Trf to UH System for Financial Aid Initiative & Hi P20				(320,000)			
2219452 Trf Early College funding from UH System				125,000			
2301485 Trf to UH System for UHCC Reserve				(24,906)			
2301486 Trf to UH System for UHCC Reserve				(4,526)			
2301487 Trf to UH System for UHCC Reserve				(3,542)			
2301515 Trf to UH System for UHCC Reserve				(23,317)			
2301516 Trf to UH System for UHCC Reserve				(46,265)			
2215392 Trf to UH System for eBuilders assessment				(56,652)	(56,652)	(56,652)	(56,652)
2265142 Trf to UH System for Neogov assessment				(49,833)	(49,833)	(49,833)	(49,833)
2300966 GTrf to UH System for FAFSA Outreach project				(30,068)			
2301485 Trf to UH System for Banner assessment				(167,134)	(167,134)	(167,134)	(167,134)
2301486 Trf to UH System for Banner assessment				(187,855)	(187,855)	(187,855)	(187,855)
2301487 Trf to UH System for Banner assessment				(75,422)	(75,422)	(75,422)	(75,422)
2301493 Trf to UH System for Banner assessment				(304,019)	(304,019)	(304,019)	(304,019)
2301502 Trf to UH System for Banner assessment				(120,444)	(120,444)	(120,444)	(120,444)
2301515 Trf to UH System for Banner assessment				(493,098)	(493,098)	(493,098)	(493,098)
2301516 Trf to UH System for Banner assessment				(435,309)	(435,309)	(435,309)	(435,309)
2301485 Trf to UH System for Risk Mgmt assessment				(102,389)	(102,389)	(102,389)	(102,389)
2301486 Trf to UH System for Risk Mgmt assessment				(24,473)	(24,473)	(24,473)	(24,473)
2301487 Trf to UH System for Risk Mgmt assessment				(14,111)	(14,111)	(14,111)	(14,111)
2301493 Trf to UH System for Risk Mgmt assessment				(78,842)	(78,842)	(78,842)	(78,842)
2301502 Trf to UH System for Risk Mgmt assessment				(31,225)	(31,225)	(31,225)	(31,225)
2301515 Trf to UH System for Risk Mgmt assessment				(68,197)	(68,197)	(68,197)	(68,197)
2301516 Trf to UH System for Risk Mgmt assessment				(47,884)	(47,884)	(47,884)	(47,884)
2276702 Trf to RUF for interest and Bond System expenses				(50)			
2301485 Trf to UH System for UH Foundation assessment				(118,106)	(118,106)	(118,106)	(118,106)
2301486 Trf to UH System for UH Foundation assessment				(87,628)	(87,628)	(87,628)	(87,628)
2301487 Trf to UH System for UH Foundation assessment				(67,280)	(67,280)	(67,280)	(67,280)
2301493 Trf to UH System for UH Foundation assessment				(15,744)	(15,744)	(15,744)	(15,744)
2301502 Trf to UH System for UH Foundation assessment				(25,554)	(25,554)	(25,554)	(25,554)
2301515 Trf to UH System for UH Foundation assessment				(181,670)	(181,670)	(181,670)	(181,670)
2301516 Trf to UH System for UH Foundation assessment				(6,386)	(6,386)	(6,386)	(6,386)
2301485 Trf to Univ Bond Sys Repair & Replacement Reserve				(140,073)	(140,073)	(140,073)	(140,073)
Act 236 transfer to UH System				(38,533,117)	(41,729,595)	(41,729,595)	(41,729,595)
Net Total Transfers	(10,124,458)	(7,326,281)	(11,156,647)	(16,535,892)	(8,494,377)	(5,297,899)	(5,297,899)
Ending Cash Balance	7,429,149	6,636,625	6,422,470	5,526,569	5,385,743	5,385,743	5,385,743
Encumbrances	7,497,018	6,445,579	6,308,881	5,385,743	5,385,743	5,385,743	5,385,743
Unencumbered Cash Balance	(67,869)	191,046	113,589	140,826	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Community Colleges 304A-2153, HRS ended FY 2020 with a total Unencumbered Cash Balance of \$140,825.80. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/30/20) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Community Colleges SF
 Legal Authority: 304A-2162, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF): B
 Appropriation Acct. No.: S-300-F

Intended Purpose:

Per statute, this fund was established to receive, disburse, and account for funds of programs and activities of the community colleges that help make available the resources of the community colleges to the communities they serve.
 The fund is used to account for receipts and disbursements related to special programs and activities including off-campus programs, summer session programs, overseas program study abroad, exchange programs, cultural enrichment programs, and consultative services which help make available the resources of the community colleges to the communities they serve. Special fund deposits relate to a wide variety of programs and activities.

Source of Revenues:

Revenues are from fees for special programs and activities including off-campus programs, summer session programs, overseas programs, evening sessions, study abroad, exchange programs, cultural enrichment programs, and consultative services which help make available the resources of the community colleges to the communities they serve.

Current Program Activities/Allowable Expenses:

The fund is used to account for all costs associated with conducting special programs and activities such as non-credit continuing education programs, special community service programs, special credit programs, summer session, parking operation, facilities use, transcript and diploma services, library operation, vocational and technical projects, vending machine operation, and related support services and facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

The majority of revenues and expenditures in the CCSF are the result of enrollment in non-credit and summer session programs. Enrollment in these programs may increase or decrease from year to year depending on course offerings and demand from the public. Revenues have been fairly stable over the last four years with slight declines in the last three years. Expenditure levels generally track with revenue levels however, variances are sometimes caused by the timing of encumbrance liquidations.

Over the last four months of FY 2020 non credit, summer session, and other support programs were adversely affected by the lockdown/work from home orders due to the Covid virus reducing program revenues and expenditures. Social distancing and sanitation guidelines as well as limitations on the number of people that can gather in groups also affected revenue and spending for these programs. Going forward, reduced revenue and expenditures are anticipated for other support programs such as Cafeteria, Facilities Use, Child Care Program, Theatre, International Programs, Vending, English as a Second Language.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,049,621	23,536,988	23,212,895	20,550,970	20,550,970	20,550,970	20,550,970
Beginning Cash Balance	19,884,344	21,386,600	22,048,668	20,921,728	21,628,127	19,295,990	19,072,842
Revenues	18,629,257	18,364,241	17,524,442	16,718,370	14,524,272	14,524,272	14,524,272
Expenditures	15,995,088	16,946,451	17,914,709	15,281,200	16,044,274	13,935,285	13,935,285
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2301803 Admin assessment transfer from Conf Ctr RF				104			
2238122 Trf to RUF for debt service				(589,037)	(589,037)	(589,037)	(589,037)
2303199 Trf NHERC Non Credit funds from UH Hilo				46,078			
2301803 Admin assessment transfer from Conf Ctr RF				559			
2016661 Transfer to Comm Enterprise RF to close JABSOM Culinary Apprentice prog				(50,000)			
2303198 Trf NHERC facility funds from UH Hilo				81,089			
2261852 Distribution of royalties from UH System				7,312	7,000	7,000	7,000
2302098 Trf from Mano CTAHR for Molokai Ag training				3,494			
2246442 Trf to RUF for Bond Sys expenses				(272)			
2251932 Trf to RUF for Repair & Replacement Reserve				(230,098)	(230,098)	(230,098)	(230,098)
Net Total Transfers	(1,131,913)	(755,722)	(736,673)	(730,771)	(812,135)	(812,135)	(812,135)
Ending Cash Balance	21,386,600	22,048,668	20,921,728	21,628,127	19,295,990	19,072,842	18,849,694
Encumbrances	1,556,676	1,877,126	1,904,180	1,198,507	1,198,507	1,198,507	1,198,507
Unencumbered Cash Balance	19,829,924	20,171,542	19,017,548	20,429,620	18,097,483	17,874,335	17,651,187

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: University Revenue Undertakings Fund
 Legal Authority: 304A-2167.5, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) B
 Appropriation Acct. No. S-300-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Source of Revenues:

Revenues are all income, revenue, or moneys received by the university, including any appropriation related to university projects, university systems, or networks.

Current Program Activities/Allowable Expenses:

Funds are applied to costs of construction, operation, repair, and maintenance of university projects, systems, or networks; to pay for principal and interest on revenue or general obligation bonds; to reimburse the university for expenses related to issuance of revenue bonds; or to provide a reserve for renewal and replacement of university projects, systems, or networks.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Change in revenue is due to increase in interest income allocated by general accounting office during the fiscal year. Allocated interest varies from year to year depending on cash balances in the fund relative to other funds held by the university and interest rate.

The expenses are an allocated share of University bond system expenses such as audit cost, rating agency fees, arbitrage report, and US Bank administration/paying agent fees. Allocated share varies from year to year and is determined by the bond system.

The expenses are for the Culinary Institute of the Pacific, the Waianae Education Center acquisition, the Palama Nui campus at West Hawai'i, and various energy conservation/alternative energy projects for all campuses.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	184,559	184,559	42,543	0	0	0	0
Beginning Cash Balance	37,782	38,167	38,504	40,914	47,220	47,220	47,220
Revenues	(1,787)	(164)	5,659	9,189	3,000	3,000	3,000
Expenditures	69,829	140,803	6,650	3,205	3,000	3,000	3,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2271642 Trf from CCSF for interest and Bond System expenses				272	0	0	0
2276702 Trf from TFSF for interest and Bond System expenses				50			
Net Total Transfers	72,001	141,304	3,401	322	0	0	0
Ending Cash Balance	38,167	38,504	40,914	47,220	47,220	47,220	47,220
Encumbrances	37,352	37,352	37,352	0	0	0	0
Unencumbered Cash Balance	815	1,152	3,562	47,220	47,220	47,220	47,220

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: UH Commercial Enterprises RF
 Legal Authority: 304A-2251, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

The fund is used to account for receipts and disbursements related to commercial enterprise activities including sponsorship in private, cultural, and athletic performances, goods produced by university programs, or goods bearing the University logo.

Source of Revenues:

Revenues deposited into the fund are from the operation of commercial enterprises that are related and incidental to the primary purposes of the university, including private, cultural and athletic performances and the sale of goods produced by the university or goods bearing the university logo.

Current Program Activities/Allowable Expenses:

Funds are expended for all costs and expenses associated with the operations of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies and equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

A concept restaurant was operated by Kapiolani CC's culinary program during FY 2017-FY 2018. The restaurant ceased operations and unused startup funds were returned to the CCSF in FY 2019. The revenue variance is attributable to lower interest income from the lower cash balance after the return of the unused startup funds.

Expenditure variance is a reversal of Due to CCSF liability (a loan) for funds provided by the CCSF Non Credit Culinary Catering-PEPSI program to the Commercial Enterprises RF CERF-JABSOM Culinary Apprentice program prior to FY 2013. The funds provided by the loan was replaced with a cash transfer and the commercial enterprises JABSOM Culinary Apprentice program closed.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	80,000	80,000	80,000	70,000	70,000	70,000	70,000
Beginning Cash Balance	47,091	544,363	168,927	48,133	48,699	48,699	48,699
Revenues	129,546	433,049	2,114	566	0	0	0
Expenditures	232,274	808,485	0	50,000	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3022903 Transfer from CCSF to close JABSOM Culinary Apprentice prog				50,000	0	0	0
Net Total Transfers	600,000	0	(122,908)	50,000	0	0	0
Ending Cash Balance	544,363	168,927	48,133	48,699	48,699	48,699	48,699
Encumbrances	25,231	2,635	0	0	0	0	0
Unencumbered Cash Balance	519,132	166,292	48,133	48,699	48,699	48,699	48,699

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Research & Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Revenues are from indirect overhead revenues generated by the University from research and training programs.

Current Program Activities/Allowable Expenses:

The fund is used to account for expenses related to cost sharing or matching requirements of grants or contracts, research or training seed money, travel grants for faculty and staff, start-up requirements, and operational expenses related to research and training at the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in revenue is due to normal fluctuation in spending on extramural awards which generate overhead collections.

The amount of overhead revenue from extramural awards varies from year to year depending on the number of extramural awards received for the year. In addition, the fluctuation in spending throughout the life of the award, and funding periods which may cross fiscal years, further contribute to annual revenue fluctuations.

Expenditures of overhead revenue generally increase or decrease with the level of revenue received. Expenditures declined in FY 2020 in response to the decrease in revenue. However the change in spending may not correspond exactly with the change in revenue as the timing of expenditures are governed by the timing of opportunities for new awards, by startup, cost sharing or matching requirements of existing awards, or by the need for other operational expenses in support of research or training at the Community Colleges.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,275,314	2,718,307	2,718,307	3,837,635	3,840,339	3,840,339	3,840,339
Beginning Cash Balance	2,930,088	2,549,967	2,071,782	1,604,365	1,560,259	1,560,259	1,560,259
Revenues	2,251,493	1,333,831	1,513,539	1,664,868	1,504,078	1,504,078	1,504,078
Expenditures	2,661,614	1,763,288	1,940,956	1,674,974	1,504,078	1,504,078	1,504,078
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3800855 Trf to VP Rsch & Innov for Implementation Group				(34,000)	0	0	0
Net Total Transfers	30,000	(48,728)	(40,000)	(34,000)	0	0	0
Ending Cash Balance	2,549,967	2,071,782	1,604,365	1,560,259	1,560,259	1,560,259	1,560,259
Encumbrances	289,169	50,658	16,137	135,924	135,924	135,924	135,924
Unencumbered Cash Balance	2,260,798	2,021,124	1,588,228	1,424,335	1,424,335	1,424,335	1,424,335

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: UH Student Activities RF
 Legal Authority: 304A-2257, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

Source of Revenues:

Revenues are from compulsory student activity fees collected on behalf of chartered student organizations and student activity programs.

Current Program Activities/Allowable Expenses:

The fund is used to account for receipts and expenses associated with student oriented activities, programs, and services developed and implemented by chartered student organizations and student activity programs such as student government, student publications, social or cultural activities, honor society activities, new student orientation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in expenditures for FY 2020 are partially due to the Covid lockdown/stay at home order during the fourth quarter, as well as health and safety guidelines such as social distancing and limitations on the number of people who may gather in groups. In addition, the number and cost of educational and social activities which the students decide to undertake for the benefit of the student population vary from year to year.

Normal activities include student government, student publications, social or cultural activities, honor society activities or new student orientation.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,739,439	1,789,875	1,789,875	2,066,658	2,066,658	2,066,658	2,066,658
Beginning Cash Balance	2,097,216	1,960,142	1,816,456	1,634,652	1,777,443	1,777,443	1,777,443
Revenues	1,536,006	1,430,504	1,386,847	1,328,588	887,426	887,426	887,426
Expenditures	1,673,080	1,574,190	1,568,651	1,185,797	887,426	887,426	887,426
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,960,142	1,816,456	1,634,652	1,777,443	1,777,443	1,777,443	1,777,443
Encumbrances	117,602	54,943	33,614	17,872	17,000	17,000	17,000
Unencumbered Cash Balance	1,842,540	1,761,513	1,601,038	1,759,571	1,760,443	1,760,443	1,760,443

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Community College Conference Center RF
 Legal Authority: 304A-2273, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, this fund was established for conference center programs conducted by the various community colleges. The fund is used to account for receipts and disbursements related to conferences, seminars, and courses.

Source of Revenues:

Revenues are from fees paid by participants and/or sponsors for conferences, seminars, and courses.

Current Program Activities/Allowable Expenses:

The fund is used to account for receipts and expenses associated with conducting conference center activities, including but not limited to, expenses for honoraria, hotel and room rentals, food and refreshment, printing and mailing, airfare and per diem, leis, rental of audio visual equipment, and conference supplies and materials.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in revenue are the result of smaller or lower number of conferences during FY 2020. The magnitude of the fluctuations from year to year vary based on the number, size, duration, and complexity of the conferences. Timing differences between the collection of conference fees and disbursement of funds for conference arrangements which cross fiscal years also contribute to differences in the level of revenues and expenditures between fiscal years. Projections for future years are minimal as social distancing requirements and limitations on the number of people who may gather in groups have essentially shut down in person conferences.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	950,000	950,000	950,000	590,000	590,000	590,000	590,000
Beginning Cash Balance	682,705	707,395	741,039	751,114	620,239	620,239	620,239
Revenues	285,025	322,978	401,462	272,194	100,000	100,000	100,000
Expenditures	275,467	288,794	386,498	402,407	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3330802 Admin assessment trf to CCSF				(662)	0	0	0
Net Total Transfers	15,132	(540)	(4,889)	(662)	0	0	0
Ending Cash Balance	707,395	741,039	751,114	620,239	620,239	620,239	620,239
Encumbrances	40,871	42,629	56,403	19,521	19,521	19,521	19,521
Unencumbered Cash Balance	666,524	698,410	694,711	600,718	600,718	600,718	600,718

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Nurse Training
 Legal Authority: 328L-3, Act 236, SLH 2005

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) B
 Appropriation Acct. No. S-322-F

Intended Purpose:

Funds were appropriated under Act 236 of SLH 2005, from the Emergency and Budget Reserve Fund established by section 328L-3, Hawaii Revised Statutes for nurse training, including a clinical component, teaching by qualified instructors, nurses review course, and certified nursing assistance course. This was a one-time activity.

Source of Revenues:

Funds were appropriated under Act 236 of the 2005, from the Emergency and Budget Reserve Fund established by section 328L-3, Hawaii Revised Statutes to meet emergency economic situations facing the State.

Current Program Activities/Allowable Expenses:

No current activities/expenses as funds were only appropriated for one fiscal year. Program activities during the year of funding included a certified nursing assistance course which targeted students who were registered nurses who were not previously employed as nurses and individuals who were preparing for the licensure examination. The training provided a means to enter the workforce as qualified registered nurses. It's aim was to improve the nursing shortage, which will in turn would benefit all the residents of the State.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	2,730	2,730	2,730	2,730	2,730	2,730	2,730
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,730	2,730	2,730	2,730	2,730	2,730	2,730
Encumbrances	0	0	0		0	0	0
Unencumbered Cash Balance	2,730	2,730	2,730	2,730	2,730	2,730	2,730

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Federal Work Study Program
 Legal Authority: Federal Fund

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) N
 Appropriation Acct. No. S-200-F

Intended Purpose:

The fund is used to account for receipts and disbursement related to the Federal Work Study Financial Aid Program.

Source of Revenues:

Revenues are from the US Department of Education, which reimburses the University for student wages paid to students who qualify for financial aid under the Federal Work Study Program.

Current Program Activities/Allowable Expenses:

The fund is used to account for reimbursements from the US Department of Education and disbursements for student wages paid to students who qualify for financial aid under the Federal Work Study Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The Federal Work Study program is a need based financial aid program in which the US Department of Education reimburses the university for a portion of student wages paid to students who qualify. Revenues and expenditures are based on the number of students who qualify and the amount of financial aid each student can receive which may vary from year to year depending on the financial resources of individual students.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	540,927	540,927	540,927	540,927	540,927	540,927	540,927
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	384,964	333,515	387,170	329,038	300,000	300,000	300,000
Expenditures	384,964	333,515	387,170	329,038	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Carl D. Perkins Voc & Applied Technology Act of 1990
 Legal Authority: 304A-2403, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF): N
 Appropriation Acct. No.: S-200-F

Intended Purpose:

The fund is used to account for receipts and disbursements related to the Federal Perkins Vocational and Applied Technology Program which provides funding for courses directly related to preparing individuals for employment in current or emerging occupations requiring other than a baccalaureate or advanced degree. Programs include competency-based applied learning which contributes to an individual's academic knowledge, higher-order reasoning, problem-solving skills, and the occupational-specific skills.

Source of Revenues:

Revenues are from the US Department of Education, which reimburses the University for disbursements related to the Federal Perkins Vocational and Applied Technology Program.

Current Program Activities/Allowable Expenses:

The fund is used to maintain, extend, improve, and develop new vocational education programs at the community college campuses. Allowable expenses include occupationally-relevant equipment, vocational curriculum materials, materials for learning labs, curriculum development or modification, staff development, career counseling and guidance activities, efforts for academic-vocational integration, supplemental services for special populations, hiring vocational staff, remedial classes, and expansion of tech prep programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,887,369	3,887,369	3,887,369	3,887,369	3,887,369	3,887,369	3,887,369
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,329,352	2,412,003	2,403,719	2,652,564	2,700,000	2,700,000	2,700,000
Expenditures	2,329,352	2,412,003	2,403,719	2,652,564	2,700,000	2,700,000	2,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-881
 Name of Fund: State Aquarium SF
 Legal Authority: 304A-2165, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): B
 Appropriation Acct. No.: S-304-F

Intended Purpose:

Per statute, all revenues derived from all fees for admission and all fees for the use of the aquarium facilities and programs collected in conjunction with the operation of the state aquarium shall be deposited in this fund.

The purpose of this fund is to support the operations of the Waikīkī Aquarium by providing a fund to receive revenue and process expenditures that occur in the daily operations.

Source of Revenues:

Revenues are derived from admission fees, education program fees, rental of the facility, and other miscellaneous activities that occur at the Aquarium site.

Current Program Activities/Allowable Expenses:

Expenses are incurred for the general operations of the Aquarium including salaries of non-general funded employees.

Funds are also used to assist in the maintenance of the Waikīkī Aquarium facility as needed.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Aquarium is currently closed and not scheduled to reopen till the first quarter of 2021. 12 staff were terminated in October

Cost savings measures were implemented as well as having minimal staff for animal safety and general operations.

We anticipate resuming full operations in FY 2022 to include increased admission fee's.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141
Beginning Cash Balance	83,087	291,054	(38,187)	244,869	47,176	27,176	127,176
Revenues	2,876,841	2,735,465	2,848,424	2,758,611	330,000	2,000,000	2,750,000
Expenditures	2,668,874	3,064,706	2,565,368	2,956,304	1,500,000	1,900,000	2,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
RTRF, UHF, Friends Transfers					1,150,000		
Net Total Transfers	0	0	0	0	1,150,000	0	0
Ending Cash Balance	291,054	(38,187)	244,869	47,176	27,176	127,176	377,176
Encumbrances	129,019	90,302	151,736	44,462	27,000	0	0
Unencumbered Cash Balance	162,035	(128,489)	93,132	2,713	176	127,176	377,176

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-881
 Name of Fund: UH Commercial Enterprises RF (WAQ Giftshop)
 Legal Authority: 304A-2251, HRS

Contact Name: Sandy French
 Phone: 956-5495
 Fund type (MOF): W
 Appropriation Acct. No. S-351-F (FYs 2015-2018)
S-342-F (FY 2019 -)

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Fund is established for the operation of the Hanauma Bay gift shop including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

Revenue is earned through sales of marine-related merchandise at the Hanauma Bay gift shop.

Current Program Activities/Allowable Expenses:

Expenses are incurred for the general operations of the gift shop, including salaries of non-general funded employees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The Gift Shop is closed. We anticipate reopening the Gift Shop in the first quarter of 2021. 1.5 FTE staff have been terminated

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	996,499	996,499	996,499	996,499	996,499	996,499	996,499
Beginning Cash Balance	45,185	19,766	346,535	293,217	(40,610)	9,390	259,390
Revenues	80	552,955	1,120,561	133,549	180,000	500,000	750,000
Expenditures	25,498	226,186	1,173,880	467,376	130,000	250,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,766	346,535	293,217	(40,610)	9,390	259,390	659,390
Encumbrances	11,368	17,607	17,551	(871)	0	0	0
Unencumbered Cash Balance	8,399	328,928	275,665	(39,739)	9,390	259,390	659,390

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Innovation and Commercialization Initiative SF
 Legal Authority: 304A-1953, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, moneys in this fund may be expended for costs and expenses associated with the innovation and commercialization initiative program. The fund was established to promote, sponsor, and participate in the transformation of the products of its research and instructional activities into viable economic enterprises, and may create, finance, and participate in organizations that contribute to the economic development and workforce diversification of the State using University research and personnel.

Source of Revenues:

Repayment of loans, investment earnings, venture agreements, royalties, premiums or fees charged by the University.

Current Program Activities/Allowable Expenses:

Expenditures related to the innovation and commercialization initiative programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in FY 2019, FY 2020 and FY 2021 revenue are due to increase in rental income from the Mānoa Innovation Center. Also, FY 2020 revenue increase is due to an investment in UHVentures which is not projected for FY 2021.

The decrease in FY 2020 expenditures were due to lower repair and maintenance expense for the Mānoa Innovation Center.

There are no projections for FY 2022 and FY 2023 due to HRS 304A-1951 - sunset date 06/30/2021. Extension was requested in the 2020 Legislative Session however due to COVID-19 the session was cut short and will be requested again in 2021 Legislative Session.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,000,000	1,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	0	0	33	(511,401)	253,511	888,627	888,627
Revenues		(253,967)	499,186	923,101	1,521,000	0	0
Expenditures		0	930,621	748,312	885,884	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	254,000	(80,000)	590,123	0	0	0
Ending Cash Balance	0	33	(511,401)	253,511	888,627	888,627	888,627
Encumbrances		105,048	17,508	167,888	0	0	0
Unencumbered Cash Balance	0	(105,015)	(528,909)	85,623	888,627	888,627	888,627

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Risk Management Special Fund
 Legal Authority: 304A-2151, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, moneys in this fund may be expended for costs and expenses associated with the administration and operation of the risk management program.

To pay for settlements and judgments, claims, insurance premiums, legal fees & costs for the University of Hawai'i .

Source of Revenues:

Assessment of the campuses within the University system.

Current Program Activities/Allowable Expenses:

To pay for costs and expenses associated with the administration and operation of the Risk Management program, including but not limited to, insurance premiums, retention payments, claims administration & operation, settlements, payment of judgments, other obligations and legal fees and costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

This is not a revenue generating account, but revenues in FY 2017 through FY 2020 were due to settlements in the University's favor. FY 2018 & FY 2020 revenues were augmented with a settlement for the Pacific Ocean Sciences and Technology building recovery costs and insurance proceeds from Sedgwick for POST Building Recovery settlement. Expenditures will vary from year to year depending on how many legal claims of loss and settlements are received for that year. The variance in expenditures in FY 2018, FY 2019 and FY 2020 were attributed to reimbursements of legal fees incurred in FY 2017, FY 2018 and FY 2019, respectively.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance	5,686,968	3,747,808	5,572,675	6,780,118	7,070,513	7,638,877	8,229,786
Revenues	94,417	992,744	47,921	221,674	28,740	7,639	8,230
Expenditures	3,962,897	1,090,127	754,953	1,837,202	1,366,300	1,325,505	1,318,274
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
*See below							
Net Total Transfers	1,929,320	1,922,250	1,914,475	1,905,924	1,905,924	1,908,774	1,906,874
Ending Cash Balance	3,747,808	5,572,675	6,780,118	7,070,513	7,638,877	8,229,786	8,826,615
Encumbrances	1,081,654	1,492,053	1,156,059	462,294	1,036,802	885,052	794,716
Unencumbered Cash Balance	2,666,154	4,080,622	5,624,059	6,608,219	6,602,075	7,344,734	8,031,899

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Transfers: \$1,905,924 transfer-in from the campuses for the annual Risk Management Special Fund assessment.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Tuition & Fees Special Fund
 Legal Authority: 304A-2153, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Late registration fees, interest income, transfers.

Current Program Activities/Allowable Expenses:

Funds are expended to maintain and improve the University's programs and operations, including a variety of personnel, operating, equipment and utility expenses; funds are also used for promoting alumni relations and generating private donations for deposit into the University of Hawai'i Foundation for the purposes of the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues are derived from late registration fees, tuition payment plan fees, and interest income. Revenues rose markedly in FY 2017 to FY 2019 due to interest income growth from higher interest rates, notably on new CD investments, and investments in government obligations that yield higher interest rates. However due to COVID-19 global pandemic and economic downturn, interest income revenue projections for FY21 to FY23 are significantly decreasing. Impact to the interest income is twofold; decrease in interest rates and anticipated decrease in unencumbered cash balance.

Due to insufficient General Funds, the Tuition & Fees Special Fund is being utilized to satisfy rising expenditures in growing Systemwide programs. The variance in expenditures for FY 2018 and FY 2019 is due to a new document imaging project and payments on prior year encumbrances.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000,000	15,000,000	7,250,000	9,397,000	9,397,000	9,397,000	9,397,000
Beginning Cash Balance	105,121,164	127,554,755	139,348,358	156,691,380	191,285,634	217,229,437	230,835,893
Revenues	1,579,286	2,413,269	3,088,684	2,188,829	1,178,928	592,928	555,528
Expenditures	7,756,078	10,353,099	8,278,318	7,761,259	8,889,008	7,065,771	7,050,852
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
*See below							
Net Total Transfers	28,610,382	19,733,433	22,532,656	40,166,683	33,653,883	20,079,299	20,946,245
Ending Cash Balance	127,554,755	139,348,358	156,691,380	191,285,634	217,229,437	230,835,893	245,286,814
Encumbrances	6,663,645	4,496,432	4,706,154	5,347,175	1,166,860	1,245,470	1,267,347
Unencumbered Cash Balance	120,891,111	134,851,926	151,985,227	185,938,459	216,062,577	229,590,423	244,019,468
	**	**	**	**	**	**	**

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* FY20 Transfers: \$3,000,000.00 transfer-in for the UH Foundation Assessment, \$5,693,182.00 transfer-in for the Banner Assessment, \$45,740,096.31 transfer-in from UH programs; \$1,578,384.75 transfer-out for debt service; and \$12,688,210.12 transfer-out to UH programs.

** Includes 304A-2153, HRS unencumbered cash balances from all campuses, transferred to UOH-900 at the end of FY pursuant to Act 236, SLH 2015.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Systemwide Information Technology Services SF
 Legal Authority: 304A-2154, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, revenues collected from users of information technology services and any user fees shall be deposited in this fund, and used in support of systemwide information technology and services including personnel, equipment costs, and other expenses, as well as planning, design, and implementation of information technology infrastructure within the university.

Source of Revenues:

Recharge of UH departments for software licenses purchased and made available by ITS for distribution systemwide.

Current Program Activities/Allowable Expenses:

Purchase of site licenses and payroll cost for administrative support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in revenue is the result of colocation recharges to various departments. Expenditures increase is due to continued investments being made in information system projects that increase process efficiency and provide improved data for management and decision making, as well as investments in IT Security for defense incident response measures and support for mandatory protection of regulated data and research activity.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,600,000	1,600,000	1,600,000	2,100,000	2,100,000	2,100,000	2,100,000
Beginning Cash Balance	3,745,433	3,783,661	4,412,441	4,529,893	4,086,679	4,027,544	3,968,409
Revenues	1,955,217	2,264,545	2,039,732	2,493,808	2,200,000	2,200,000	2,200,000
Expenditures	1,949,701	1,650,644	1,922,280	2,937,021	2,259,135	2,259,135	2,259,135
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	32,713	14,879	0	0	0	0	0
Ending Cash Balance	3,783,661	4,412,441	4,529,893	4,086,679	4,027,544	3,968,409	3,909,274
Encumbrances	405,789	480,848	719,614	1,106,965	500,000	500,000	500,000
Unencumbered Cash Balance	3,377,872	3,931,593	3,810,278	2,979,714	3,527,544	3,468,409	3,409,274

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Auxiliary Enterprises SF
 Legal Authority: 304A-2157, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF): B
 Appropriation Acct. No.: S-340-F

Intended Purpose:

By statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Revenues collected shall be deposited into this fund and shall be expended for the costs of providing support for the Manoa and Kaka'ako animal facilities.

Source of Revenues:

Revenues are from biomedical and behavioral research contract and grants. Researchers who house their animals at the Animal and Veterinary Services Program pay a per diem for each animal that the staff cares for, and for any technical or veterinary services provided.

Current Program Activities/Allowable Expenses:

Any expenses necessary to provide animal housing and husbandry for biomedical research and the systemwide administration and oversight for the care and use of vertebrate animals at the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenue variances are the result of fluctuations in cage counts of animals being housed and cared for by the Animal and Veterinary Services Program and their corresponding per diem charges. Projected 4% increase in per diem FY22 & FY23.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,200,000	2,200,000	1,000,000	2,200,000	2,200,000	2,200,000	2,200,000
Beginning Cash Balance	0	(56,991)	(13,422)	120,700	121,336	85,058	51,560
Revenues	735,087	899,407	1,001,048	906,509	792,000	821,280	851,731
Expenditures	864,547	855,863	866,925	905,874	828,278	854,778	852,600
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	72,469	24	0	0	0	0	0
Ending Cash Balance	(56,991)	(13,422)	120,700	121,336	85,058	51,560	50,691
Encumbrances	41,832	14,476	26,956	21,157	0	0	0
Unencumbered Cash Balance	(98,823)	(27,898)	93,744	100,178	85,058	51,560	50,691

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Scholarship & Assistance Special Fund
 Legal Authority: 304A-2159, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

The fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawai'i. It is administered pursuant to the authority of the Board of Regents, as specified under section 304A-501, HRS.

Source of Revenues:

Revenues deposited into this fund include, but are not limited to, state, federal, and private funds, funds transferred by the University from the Tuition and Fees Special Fund pursuant to 340A-2153, HRS, and appropriated for any scholarship program, including, but not limited to, the B Plus Scholarship program.

Current Program Activities/Allowable Expenses:

Funds are used for the B Plus Scholarship program, including scholarships to students and expenses necessary to administer the program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

B Plus Scholarships are awarded before each academic year. However, eligibility of students will vary from year to year, and those that are on this scholarship may lose eligibility if their grades are not maintained, they change to part-time, or drop out of school.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	3,370,451	3,379,631	3,389,810	3,401,022	3,410,288	3,420,288	3,430,288
Revenues	9,270	10,179	9,712	9,267	10,000	10,000	10,000
Expenditures	3,000,090	3,000,000	2,998,500	3,000,000	3,000,000	3,000,000	3,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
*See below							
Net Total Transfers	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Ending Cash Balance	3,379,631	3,389,810	3,401,022	3,410,288	3,420,288	3,430,288	3,440,288
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	3,379,631	3,389,810	3,401,022	3,410,288	3,420,288	3,430,288	3,440,288

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Transfers: \$3,000,000 transfer-in from FY 2019 appropriated General Funds for the B Plus Scholarship Program under Act 213, Sec 94, SLH 2007.

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: University Revenue Undertakings Fund (UHRUF Admin)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By Statue, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system, network, or any combination thereof, including reserves therefor.
 UHRUF Administration provides managerial oversight of the University Revenue-Undertakings Funds and University Bond System to ensure compliance with federal and state requirements and that it remains self-sustaining and self-supporting.

Source of Revenues:

Interest income, assessments, bond proceeds and transfers from the Department of Health for debt service on the bonds that financed the school of medicine (Section 328L, HRS).

Current Program Activities/Allowable Expenses:

Develops and implements policies, rules, and procedures to ensure the self-financing nature of bond projects. Prepares prospectus and financing models; compiles and analyzes financial data relating to the sale of university revenue bonds; administers the service of debt; and performs required post-issuance financial disclosures and federal tax compliance on issued revenue bonds. UBS covers the following projects: UH Manoa Student Housing, UH Manoa Faculty Housing, UH Manoa Campus Center, UH Manoa Parking Operations, UH Manoa Food Service, University Bookstores System, UH Hilo Student Housing, UH Hilo Food Service, and Telecommunications System.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues rose in FY 2018 due to proceeds from Revenue Bond issuance for cost of issuance. FY 2021 is projected to increase due to issuance of Revenue Bonds, similar to FY 2018. Expenditures are decreasing beginning FY 2018 due to change in source of funds for payroll costs. FY 2021 is projected to increase due to cost of issuance expenditures for the new Revenue Bonds. FY 2018 transfer is from the reserve account to cover operating expense deficits.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,188,272	10,188,272	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	907,077	596,945	1,430,875	1,452,924	1,472,880	1,492,880	1,512,880
Revenues	39,074	150,095	22,049	19,956	210,000	20,000	20,000
Expenditures	312,272	111,398	0	0	190,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(36,933)	795,233	0	0	0	0	0
Ending Cash Balance	596,945	1,430,875	1,452,924	1,472,880	1,492,880	1,512,880	1,532,880
Encumbrances	28,745	70,389	66,282	47,972	0	0	0
Unencumbered Cash Balance	568,200	1,360,486	1,386,642	1,424,908	1,492,880	1,512,880	1,532,880

Additional Information:

Amount Req. by Bond Covenants	1,479,263	1,479,263	1,479,263	1,479,263	1,479,263	1,479,263	1,479,263
Amount from Bond Proceeds		138,122			190,000		
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: University Revenue Undertakings Fund (ITS Building)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.
 To provide for all costs of construction, operation, repair and maintenance of the ITS Building.

Source of Revenues:

Transfer from Tuition & Fees, interest income.

Current Program Activities/Allowable Expenses:

All costs related to construction and operation of the ITS Building, including the repayment of revenue bonds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditures are set by an amortization schedule. Interest income revenues fluctuate with interest rates and balance.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500	1,500	1,500	1,500	1,500	1,500	1,500
Beginning Cash Balance	(0)	(0)	(2)	(1)	(1)	(1,401)	(2,801)
Revenues	5	48	920	857	0	0	0
Expenditures	1,220	2,183	3,109	1,406	1,400	1,400	1,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,214	2,133	2,190	549	0	0	0
Ending Cash Balance	(0)	(2)	(1)	(1)	(1,401)	(2,801)	(4,201)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(0)	(2)	(1)	(1)	(1,401)	(2,801)	(4,201)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: University Revenue Undertakings Fund (Sinclair Basement)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide for all costs of construction, operation, repair and maintenance of the Sinclair Basement renovation.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Transfers from Research and Training Revolving Fund. Expenditures related to the construction, operation, repair, and maintenance of the Sinclair Basement renovation, including bond agency fees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditure variance in FY 2019 is attributed to the bond agency fee.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,500	1,500	1,500	1,500	1,500	1,500
Beginning Cash Balance	0	0	0	0	0	(2,900)	(5,825)
Revenues		0	0	0	0	0	0
Expenditures		309	3,204	1,559	2,900	2,925	2,950
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	309	3,204	1,559	0	0	0
Ending Cash Balance	0	0	0	0	(2,900)	(5,825)	(8,775)
Encumbrances		0	0	0			
Unencumbered Cash Balance	0	0	0	0	(2,900)	(5,825)	(8,775)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH CIP Project Assessment Special Fund
 Legal Authority: 304A-2172, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, this fund was established to defray costs involved in 1) carrying out capital improvements program (CIP) projects managed by the University; 2) equitably assessing, collecting, and distributing moneys for current and other expenses associated with CIP projects, repair and maintenance projects, and major renovation projects; 3) managing the payment of expenses assessable against CIP projects managed by or through the University; and 4) managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the CIP projects managed by the University.

Source of Revenues:

Assessments on capital improvements program projects, repair and maintenance projects and major renovation projects managed by the University.

Current Program Activities/Allowable Expenses:

Personnel costs, printing, employee transportation requirements, project-related travel costs, travel per diem, car mileage, and other expenses incurred in carrying out the CIP projects managed by the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues are dependent on projects undertaken by the University and projections are based on anticipated CIP projects. Expenses for this fund are directly correlated to CIP projects. Therefore variances are due to the number of projects currently managed and are also driven by the current status of the construction project. The increase for FY 2020 expenditure is for a one-time expense for a document scanning project and consultant services.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	350,000	350,000	350,000	350,000	350,000	350,000	350,000
Beginning Cash Balance	152,679	118,751	219,317	233,812	208,967	152,417	150,417
Revenues	20,000	103,000	47,000	31,410	60,000	60,000	60,000
Expenditures	53,928	2,435	32,505	56,254	116,550	62,000	65,200
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	118,751	219,317	233,812	208,967	152,417	150,417	145,217
Encumbrances	0	0	0	595	0	0	0
Unencumbered Cash Balance	118,751	219,317	233,812	208,372	152,417	150,417	145,217

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Green Special Fund
 Legal Authority: 304A-2181, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF): B
 Appropriation Acct. No.: S-340-F

Intended Purpose:

By statute, this fund was established to support energy efficiency, renewable energy, and sustainability projects and services.

Source of Revenues:

Savings realized by the University from energy conservation measures; investment earnings, gifts, donations, or other income received by the University; any rebates, grants, or incentives associated with energy conservation measures; capital appropriations for energy conservation measures; until June 30, 2020, funds from any special or revolving fund established to fund energy conservation measures projects at the University; and proceeds from University revenue bonds.

Current Program Activities/Allowable Expenses:

To support energy efficiency, renewable energy, and sustainability projects and services including personnel, equipment costs, project costs, and other expenses, as well as planning, design, and implementation of sustainability projects for the benefit of the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues will vary based on the savings realized by the University from energy conservation projects.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		250,000	250,000	250,000			
Beginning Cash Balance	0	0	5,936	12,105	17,539	22,839	28,139
Revenues		47,250	0	140			
Expenditures		41,314	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	6,169	5,294	5,300	5,300	5,300
Ending Cash Balance	0	5,936	12,105	17,539	22,839	28,139	33,439
Encumbrances		0	0	0			
Unencumbered Cash Balance	0	5,936	12,105	17,539	22,839	28,139	33,439

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Commercial Enterprises Revolving Fund
 Legal Authority: 304A-2251, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) W
 Appropriation Acct. No. S-355-F

Intended Purpose:

By statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

For the operation of commercial enterprises by University programs, including the formal licensing program for the commercial use of the University's names and trademarks, the purchasing card program, the hosting of IT workshops and trainings, and other services provided by UH. To develop technologies which have potential commercial value, support the administration of technology transfer activities and facilitate economic development through education and research undertaken at the University for the Office of Technology Transfer and Economic Development (OTTED).

Source of Revenues:

Revenues are generated through the assessment of licensing royalties and other various program fees, including registration fees.

Revenue proceeds also taken from the technology services that generate commercial value through education and research, license issue fees, maintenance fees, and reimbursements.

Current Program Activities/Allowable Expenses:

Current Commercial Enterprise activities conducted by UH system programs include the operation and monitoring of the Collegiate Licensing program and the University's P-Card Operations program. OTTED assesses the commercial potential of new inventions, secures intellectual property rights with commercial potential, and markets and licenses those inventions to the industry. Allowable expenses include expenditures for the associated operating expenses of the various programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and expenditures will fluctuate year to year depending on the royalties, licensing agreements and how many patents are applied for and how many are sold. Also, increases for FY 2020 revenue and expenditures are due to land development investment.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,400,000	3,400,000	3,400,000	4,189,423	4,189,423	4,189,423	4,189,423
Beginning Cash Balance	2,252,109	2,478,168	2,555,128	2,583,280	2,789,628	2,739,133	2,834,399
Revenues	1,056,400	834,232	978,439	1,891,571	1,254,622	1,361,102	1,419,102
Expenditures	748,984	591,829	775,145	1,469,367	1,223,319	1,159,719	1,159,719
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(81,356)	(165,443)	(175,143)	(215,856)	(81,798)	(106,118)	(153,618)
Ending Cash Balance	2,478,168	2,555,128	2,583,280	2,789,628	2,739,133	2,834,399	2,940,164
Encumbrances	314,005	199,388	322,693	326,715	0	0	0
Unencumbered Cash Balance	2,164,164	2,355,741	2,260,587	2,462,913	2,739,133	2,834,399	2,940,164

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Research and Training Revolving Fund
 Legal Authority: 304A-2253, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) W
 Appropriation Acct. No. S-355-F

Intended Purpose:

By statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University. The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Indirect cost overhead support will fluctuate with increases or decreases in the number of extramural grants serviced by the University.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,000,000	13,000,000	13,000,000	14,635,577	14,635,577	14,635,577	14,635,577
Beginning Cash Balance	(800,941)	2,980,540	6,586,719	5,218,086	3,777,780	3,292,354	3,467,942
Revenues	19,582,662	20,489,642	14,972,246	16,103,184	15,343,692	15,343,692	15,343,692
Expenditures	15,419,802	15,955,218	15,072,597	16,521,715	14,754,216	14,053,334	13,772,859
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(381,379)	(928,245)	(1,268,282)	(1,021,775)	(1,074,902)	(1,114,771)	(1,077,082)
Ending Cash Balance	2,980,540	6,586,719	5,218,086	3,777,780	3,292,354	3,467,942	3,961,692
Encumbrances	1,591,682	1,471,890	1,369,766	3,353,351	400,000	200,000	200,000
Unencumbered Cash Balance	1,388,858	5,114,829	3,848,320	424,429	2,892,354	3,267,942	3,761,692

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Real Property & Facilities Use Revolving Fund
 Legal Authority: 304A-2274, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) W
 Appropriation Acct. No. S-355-F

Intended Purpose:

By statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

The fund was established for the use of University real property and facilities, including the sale, lease, or use of University real property and facilities, which include land, buildings, grounds, furnishings, and equipment.

Source of Revenues:

Inter-departmental transfers and transfers.

Current Program Activities/Allowable Expenses:

Allowable expenses including the payment of the costs of operating University facilities, including maintenance, administrative expenses, salaries, wages and benefits, contractor services, supplies, security, equipment, insurance, utilities and other operational expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues are chiefly derived from interest income, which fluctuate based on interest rates and balances. Revenue and transfer variances in FY 2017 and FY 2018 were due to an account that rightfully should have been reported under UOH-100. Account to be closed in FY 2020.

The uptick in FY 2019 revenues was the result of unanticipated income acquired by the new Office of Strategic Development and Partnership.

The decrease in FY 2021 to FY 2023 revenue projections are due to a decrease in interest rates.

Expenditures decreased in FY 2017 due to personnel vacancy; however the position was filled in FY 2018. The decrease in FY 2021 expenditures are due to salaries projected to be funded by General Funds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	175,000	175,000	175,000	175,000	175,000	175,000	175,000
Beginning Cash Balance	503,332	675,309	701,792	1,182,605	936,737	1,156,725	1,155,382
Revenues	111,362	(104,731)	456,810	13,417	2,991	2,991	2,991
Expenditures	103,500	164,871	218,297	259,284	139,403	371,134	375,390
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	164,116	296,084	242,300	0	356,400	366,800	377,900
Ending Cash Balance	675,309	701,792	1,182,605	936,737	1,156,725	1,155,382	1,160,883
Encumbrances	0	0	0	32,000			
Unencumbered Cash Balance	675,309	701,792	1,182,605	904,737	1,156,725	1,155,382	1,160,883

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Professional Student Exchange Program RF
 Legal Authority: 304A-2277, HRS

Contact Name: N/A
 Phone: N/A
 Fund type (MOF) W
 Appropriation Acct. No. N/A

Intended Purpose:

The purpose of this fund is to support the professional student exchange program's activities, including the provision of financial support to participants at Western Interstate Commission on Higher Education receiver institutions; and enforce the collection of delinquent obligations.

Source of Revenues:

Principal and interest on loan repayments generated from student exchange programs

Current Program Activities/Allowable Expenses:

No funds have been appropriated nor revenues generated from these programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Carl D. Perkins Career & Technical Education
 Legal Authority: 304A-2403, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) N
 Appropriation Acct. No. S-225-F

Intended Purpose:

Funds used for the administration and supervision of the State's Career & Technical Education Program as funded under the Carl D. Perkins Education Act of 2006.

Source of Revenues:

Federal appropriation.

Current Program Activities/Allowable Expenses:

Statewide leadership and planning, policy implementation, administration and supervision, technical assistance, and State level coordination and evaluation of services and activities delineated in the State Plan for Career & Technical Education/Personal Services, Other Current Expenses, and Equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	957,327	1,094,875	1,094,875	1,094,875	1,094,875	1,094,875	1,094,875
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	192,984	186,631	398,625	515,532	656,000	656,000	656,000
Expenditures	192,984	186,631	398,625	515,532	656,000	656,000	656,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-800, UOH-900
 Name of Fund: Private Funded Contracts and Grants Trust Fund
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): T
 Appropriation Acct. No.: T996

Intended Purpose:

Fund is used to account for revenues and expenditures for sponsored research and non-research contracts and grants from entities other than the federal government.

Source of Revenues:

Derived from state agencies, private individuals, organizations, and foundations.

Current Program Activities/Allowable Expenses:

Funds are used for specific research and training services by faculty and staff in accordance with award terms and conditions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	13,682,827	9,826,168	8,043,591	9,540,705	5,706,880	6,706,880	7,706,880
Revenues	88,199,920	88,621,811	91,820,764	89,632,137	90,000,000	90,000,000	90,000,000
Expenditures	92,620,350	91,190,152	90,577,681	94,290,557	89,500,000	89,500,000	89,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	564,512	785,765	254,030	824,596	500,000	500,000	500,000
Ending Cash Balance	9,826,909	8,043,591	9,540,705	5,706,880	6,706,880	7,706,880	8,706,880
Encumbrances	9,422,386	7,819,460	8,100,354	6,953,046	6,500,000	7,000,000	8,000,000
Unencumbered Cash Balance	404,523	224,131	1,440,351	(1,246,165)	206,880	706,880	706,880

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: East-West Center Support Trust Fund
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T996

Intended Purpose:

Fund is used to promote cooperative and collaborative data collection and research projects between UH and East-West Center.

Source of Revenues:

Government grants and private donations to the East-West Center

Current Program Activities/Allowable Expenses:

Acquisition of books, periodicals, materials related to East-West Center programs; security and parking assistance, and computer and health services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,028,554	1,031,484	1,038,751	1,053,014	1,066,308	1,075,808	1,085,308
Revenues	2,930	7,267	14,263	13,295	9,500	9,500	9,500
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,031,484	1,038,751	1,053,014	1,066,308	1,075,808	1,085,308	1,094,808
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,031,484	1,038,751	1,053,014	1,066,308	1,075,808	1,085,308	1,094,808

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-800, UOH-900
 Name of Fund: Gifts and Donations Trust Fund
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T996

Intended Purpose:

Fund is used to account for gifts and donations received directly from private individuals and organizations, or indirectly from endowment funds.

Source of Revenues:

Gifts in the form of cash, securities, real estate, or personal property

Current Program Activities/Allowable Expenses:

Programs supported include the Student Employee of the Year Program and various cooperative education projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,625,644	1,720,392	1,431,989	1,112,175	1,119,156	990,156	861,156
Revenues	485,794	107,781	520,295	651,991	440,000	440,000	440,000
Expenditures	391,046	396,184	840,108	645,010	569,000	569,000	569,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,720,392	1,431,989	1,112,175	1,119,156	990,156	861,156	732,156
Encumbrances	1,522	6,018	1,178	1,293	1,500	1,500	1,500
Unencumbered Cash Balance	1,718,870	1,425,971	1,110,997	1,117,864	988,656	859,656	730,656

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
Workers' Compensation and Unemployment
 Name of Fund: Compensation Trust Fund
 Legal Authority 304A-2352 HRS

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T996

Intended Purpose:

Fund was established to pay employees' claims for workers' compensation and unemployment insurance benefits.

Source of Revenues:

Revenues are generated from fringe benefit rates that are charged against all university payroll funds.

Current Program Activities/Allowable Expenses:

Employees' claims for workers' compensation and unemployment benefits

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,234,189	3,492,528	5,091,207	6,990,156	5,821,298	6,221,298	6,621,298
Revenues	5,962,444	7,152,785	7,750,115	4,874,965	6,440,000	6,440,000	6,440,000
Expenditures	6,704,106	5,554,105	5,851,166	6,043,823	6,040,000	6,040,000	6,040,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,492,528	5,091,207	6,990,156	5,821,298	6,221,298	6,621,298	7,021,298
Encumbrances	1,349,112	2,036,421	3,583,892	5,345,971	3,080,000	3,080,000	3,080,000
Unencumbered Cash Balance	2,143,415	3,054,786	3,406,263	475,327	3,141,298	3,541,298	3,941,298

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UH-800
 Name of Fund: Mānoa Flood Trust Fund-Oct 2004
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T993

Intended Purpose:

To account for all expenditures and reimbursements related to the flood damage the University sustained on October 30, 2004

Source of Revenues:

Revenues received are from state, federal, and county reimbursements including FEMA reimbursements and insurance proceeds related to fire loss, damages, and other disasters.

Current Program Activities/Allowable Expenses:

Expenditures made within this fund are related to capital improvement projects and disaster related repairs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY19- FY21, expenses include construction project costs for UH Lab School, and FY21 also for Shidler Classroom flood mitigation services.

For revenues, in FY19, received insurance proceeds for POST and Hurricane Lane, and in FY20, we received the remaining POST insurance proceeds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,305,621	4,216,499	4,188,114	1,734,039	1,696,386	932,618	932,618
Revenues	10,836	26,518	811,648	507,114	5,000	0	0
Expenditures	99,957	54,904	3,265,723	544,767	768,768	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,216,499	4,188,114	1,734,039	1,696,386	932,618	932,618	932,618
Encumbrances	284,091	3,735,786	566,007	85,079	0	0	0
Unencumbered Cash Balance	3,932,408	452,328	1,168,032	1,611,308	932,618	932,618	932,618

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-800, UOH-900
 Name of Fund: UH Quasi-Endowment
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): W
 Appropriation Acct. No.: S371

Intended Purpose:

Fund is used to account for revenues and expenditures of the intercollegiate athletics scholarships program at UH-Hilo, interest income on lease revenue generated from the use of community college property, UH Mānoa athletic department's allocation of the Stadium Endowment Fund, and to produce bound copies of the oral histories of former university presidents.

Source of Revenues:

Primary source of revenue is the interest earned from the UH Mānoa Stadium Stock Fund. For the community colleges, the primary source of revenue is from lease rents for the use of community college property.

Current Program Activities/Allowable Expenses:

Scholarships and service awards, and the Athletic Department's salaries, travel, medical expenses, recruiting, equipment, payments to officials, dues to the Big West and Mountain West conference, guarantees to visiting teams, credit card fees, and other operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(2,390)	(2,397)	(2,413)	(2,447)	(2,477)	0	0
Revenues	(7)	(17)	(33)	(31)	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	2,477	0	0
Ending Cash Balance	(2,397)	(2,413)	(2,447)	(2,477)	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(2,397)	(2,413)	(2,447)	(2,477)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-800, UOH-900
 Name of Fund: UH Quasi-Endowment Trust Fund
 Legal Authority: 304A-2355 HRS

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T996

Intended Purpose:

Fund is used to account for unrestricted quasi-endowment revenues and expenditures for the intercollegiate athletics scholarship programs at UH Mānoa and UH Hilo, Presidential and Regents scholarships, BOR awards and other projects authorized by the President.

Source of Revenues:

Primary source of revenue is the interest earned from the UH Mānoa Stadium Stock Fund. For the community colleges, the primary source of revenue is from lease rents for the use of community college property.

Current Program Activities/Allowable Expenses:

Scholarships and service awards, and the Athletic Department's salaries, travel, medical expenses, recruiting, equipment, payments to officials, dues to the Big West and Mountain West conference, guarantees to visiting teams, credit card fees, and other operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,196,003	1,601,723	1,898,592	1,870,330	1,900,413	1,780,413	1,660,413
Revenues	1,086,152	998,260	1,191,410	1,183,480	1,100,000	1,100,000	1,100,000
Expenditures	667,426	701,390	1,219,672	1,147,497	1,220,000	1,220,000	1,220,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(13,007)	(1)	0	(5,900)	0	0	0
Ending Cash Balance	1,601,723	1,898,592	1,870,330	1,900,413	1,780,413	1,660,413	1,540,413
Encumbrances	0	0	4,383	2			
Unencumbered Cash Balance	1,601,723	1,898,592	1,865,946	1,900,411	1,780,413	1,660,413	1,540,413

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Vacation Assessment Reserve
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): T
 Appropriation Acct. No. T996

Intended Purpose:

To establish consistency in cost accounting for the University's terminal vacation payouts for employees leaving the University because of termination, resignation, retirement or transfer.

Source of Revenues:

Revenues are generated from fringe benefit rates that are charged against all university payroll funds.
 Fringe benefit rate assessment established and monitored by the Office of Research Services (ORS).

Current Program Activities/Allowable Expenses:

Terminal vacation payouts.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

FY 2018 and FY 2019 expenditure variance is due to vacation payout reimbursements for general funded budgeted positions pursuant to Act 49, SLH 2017, Section 24, as amended by Act 53, SLH 2018.
 FY 2020 revenue variance is due to the terminal vacation payout fringe rate of 0%, pursuant to Act 5, SLH 2019, Section 8 reimbursement. Similar for FY 2021, the terminal vacation payout fringe rate is projected to be 0% pursuant to Act 5, SLH2019, Section 8 reimbursement.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	316,557	3,684,208	6,918,224	4,038,738	573,402	1,162,241
Revenues	6,228,579	6,187,887	5,974,383	73,420	139,700	6,130,000	6,130,000
Expenditures	5,912,022	2,820,235	2,740,368	2,952,906	3,605,036	5,541,161	5,675,838
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	316,557	3,684,208	6,918,224	4,038,738	573,402	1,162,241	1,616,403
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	316,557	3,684,208	6,918,224	4,038,738	573,402	1,162,241	1,616,403

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Intercollegiate Athletics Scholarships Trust Fund
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): T
 Appropriation Acct. No.: T995

Intended Purpose:

Fund is used to account for private gifts and donations for university athletics scholarships and to provide support for the university's program objectives.

Source of Revenues:

Private gifts and donations

Current Program Activities/Allowable Expenses:

Up to 240 academic scholarships awarded to student athletes annually

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decreased revenue in 2020 due to not receiving the Foundation endowment accounts interest until July 2020 due to slow UH Foundation processing.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(2,040)	(641,883)	(486,811)	(333,099)	(335,476)	(9,026)	155,974
Revenues	155,422	155,595	153,712	(2,377)	326,450	165,000	165,000
Expenditures	795,265	523	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(641,883)	(486,811)	(333,099)	(335,476)	(9,026)	155,974	320,974
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(641,883)	(486,811)	(333,099)	(335,476)	(9,026)	155,974	320,974

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-800, UOH-900
 Name of Fund: Financial Aid Scholarships Trust Fund
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T995

Intended Purpose:

Fund is used to award financial aid scholarships to students based on scholastic achievement and financial need.

Source of Revenues:

Gifts, donations, and funds transferred from endowment funds.

Current Program Activities/Allowable Expenses:

Financial aid scholarships

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,494,789	1,617,033	1,632,198	1,705,354	1,778,635	1,828,635	1,878,635
Revenues	528,568	478,719	498,505	501,152	500,000	500,000	500,000
Expenditures	434,041	474,159	435,591	442,881	450,000	450,000	450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	27,717	10,605	10,243	15,009	0	0	0
Ending Cash Balance	1,617,033	1,632,198	1,705,354	1,778,635	1,828,635	1,878,635	1,928,635
Encumbrances	4,413	1,714	422	797			
Unencumbered Cash Balance	1,612,619	1,630,483	1,704,932	1,777,838	1,828,635	1,878,635	1,928,635

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-900, UOH-900
 Name of Fund: Endowment Fund
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T998

Intended Purpose:

This fund is used to support university students through donor and Board of Regents scholarships.

Source of Revenues:

Revenues are primarily interest/dividends and sale of investments.

Current Program Activities/Allowable Expenses:

Scholarships (donor scholarships, Board of Regents and President's scholarships, scholarships for athletic programs)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	70,606,434	70,282,057	74,638,998	78,308,647	77,290,948	78,890,948	80,490,948
Revenues	2,160,013	6,430,881	6,534,327	2,034,397	4,300,000	4,300,000	4,300,000
Expenditures	2,484,390	2,073,940	2,864,678	3,052,096	2,700,000	2,700,000	2,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	70,282,057	74,638,998	78,308,647	77,290,948	78,890,948	80,490,948	82,090,948
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	70,282,057	74,638,998	78,308,647	77,290,948	78,890,948	80,490,948	82,090,948

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-800
 Name of Fund: Short-Term Loan Trust Fund
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T997

Intended Purpose:

Fund was created to provide students with emergency loans to meet expenses incidental to their schooling

Source of Revenues:

Private gifts and donations

Current Program Activities/Allowable Expenses:

Loans restricted to educational costs not exceeding \$100; exceptions may be approved by the director of financial aid

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	301,384	302,742	304,875	309,565	313,474	316,424	319,374
Revenues	859	2,133	4,190	3,909	2,700	2,700	2,700
Expenditures	(500)	0	(500)	0	(250)	(250)	(250)
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	302,742	304,875	309,565	313,474	316,424	319,374	322,324
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	302,742	304,875	309,565	313,474	316,424	319,374	322,324

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-800
 Name of Fund: Unexpended Plant
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): T
 Appropriation Acct. No.: T990

Intended Purpose:

Used as a temporary holding account for funds to acquire physical properties for institutional purposes but unexpended at the date of reporting.

Source of Revenues:

Fees assessed to foreign governments, private agencies, and users of the Institute for Astronomy facilities.

Current Program Activities/Allowable Expenses:

Telescope infrastructure and building and equipment development and decommissioning for various university and related operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,569,481	5,598,276	5,587,769	2,747,955	454,190	464,190	474,190
Revenues	422,860	8,389,909	21,420,682	673,984	670,000	670,000	670,000
Expenditures	394,065	8,400,416	24,260,496	656,114	660,000	660,000	660,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	(2,311,636)	0	0	0
Ending Cash Balance	5,598,276	5,587,769	2,747,955	454,190	464,190	474,190	484,190
Encumbrances	432,659	493,994	493,345	475,358			
Unencumbered Cash Balance	5,165,618	5,093,775	2,254,610	(21,168)	464,190	474,190	484,190

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-800, UOH-900
 Name of Fund: Agency Funds
 Legal Authority: Administratively Created

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): T
 Appropriation Acct. No.: T999

Intended Purpose:

The purpose of this fund is to record accounting transactions related to pooled cash investments, or transactions affecting bank accounts for reconciliation purposes, including the State General Fund appropriation.

For the pooled cash investment transactions, excess cash in funds are placed in Treasury Certificates of Deposit (TCDs) and accounting principles dictate a credit to cash and a debit to investments in the amount of the TCD. Because this report reconciles cash only, the balance is negative.

If we were to reconcile cash and investments, the balances would not be negative.

For bank accounts, state General Funds are not held at UH and are classified as being due from the State Treasury.

See attachment for additional details

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(653,612,988)	(743,066,873)	(790,398,983)	(821,074,098)	(838,202,604)	(838,202,604)	(838,202,604)
Revenues	(95,036,050)	(49,332,119)	(42,375,935)	(16,922,569)			
Expenditures	1,569,809	(1,184,075)	237,138	205,937			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(750,218,847)	(791,214,917)	(833,012,055)	(838,202,604)	(838,202,604)	(838,202,604)	(838,202,604)
Encumbrances	13,417	8,728	11,229	11,482			
Unencumbered Cash Balance	(750,232,264)	(791,223,645)	(833,023,284)	(838,214,087)	(838,202,604)	(838,202,604)	(838,202,604)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

University of Hawai'i
Report on Non-General Fund Information
Attachment: Agency Funds

Fund Description	Beginning Cash Balance	Revenue	Expenditures	Transfers	Ending Cash Balance	Encumbrances	Unencumbered Ending Cash Balance	Purpose of Fund
P/R Reimb Due State	-	-	-	-	-	-	-	Used to record amounts due to state for nongeneral fund payroll and the payment of balanced due
UHGA Pool Invest/Income	(284,864,673)	992,923	(2)	-	(283,871,748)	2,754	(283,874,502)	Used to record transactions related to pooled cash investments. Negative balance is caused by contra presentation of claim on cash in operating accounts.
EWC - Joint Appointments	(11,937)	-	76,150	-	(88,087)	-	(88,087)	Used to clear payroll costs for faculty appointed by UH and EWC
RCUH Clearing Account	(336,935)	-	(245,940)	-	(90,995)	8,728	(99,723)	Used to record amounts due to RCUH for research related spending processed via the RCUH financial system (principally State payroll transactions)
RCUH Clearing Via Pipeline	-	(5,206,191)	-	-	(5,206,191)	-	(5,206,191)	Used to record amounts due to RCUH for research related spending processed via the RCUH financial system
Deposits	(3,129,044)	890,285	2,102,757	-	(4,341,516)	-	(4,341,516)	Principally used for deposits clearing such as deposits to the student information system. Negative balance is attributable to receivables generated from returned financial aid.
Federal Withholding Prog	2,133	-	(1,687,750)	-	1,689,883	-	1,689,883	Used for clearing of tax w/h for nonresident aliens and purchasing card transactons.
Payroll Overpaymnt Rec	132,456	(35,082)	(39,277)	-	136,651	-	136,651	Used for clearing of payroll overpayment transactions.
ORS Clearing	-	-	-	-	-	-	-	Used for clearing of cost share transactions.
Bank Account/GASB/YREND								
Fund Group 75 - Total UH Charts Only	-	-	-	-	-	-	-	To record year end entries for financial statement presentation
Fund Group 80 - Consolidation Charts Only	-	-	-	-	-	-	-	To record year end entries for financial statement presentation
Fund Group 99 - Bank Accounts	(532,866,099)	(13,564,504)	-	-	(546,430,603)	-	(546,430,603)	Used to record transactions affecting bank accounts for reconciliation purposes. Negative balance is due to funds held by the State being reclassified to due-from-State for financial statement presentation purposes. (General Fund appropriation)
Unconverted					-		-	No balances
TOTAL	(821,074,098)	(16,922,569)	205,937	-	(838,202,606)	11,482	(838,214,088)	

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-800, UOH-900
University System Bond and Interest Sinking Fund
 Name of Fund: (Current Debt Service)
 Legal Authority Administratively Created

Contact Name: Michael Ng
 Phone: 956-6071

Fund type (MOF) W
 Appropriation Acct. No. S-356

Intended Purpose:

To pay when due all revenue bonds and interest thereon, for the payment of which all or any part of the revenue of the university is or has been pledged, charged, or otherwise encumbered, including reserves therefor.

Source of Revenues:

Build America Bonds subsidy from the IRS.

Current Program Activities/Allowable Expenses:

Debt service payment on revenue bonds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY21 projected variance due to refunding of Build America Bonds.

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	4,432,200	4,436,515	4,453,167	4,469,819	2,238,478	0	0
Expenditures	46,140,472	44,374,733	44,151,981	43,916,069	38,334,300	37,950,067	37,940,589
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	41,708,272	39,938,217	39,698,814	39,446,250	36,095,822	37,950,067	37,940,589
Ending Cash Balance	0	(1)	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	(1)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2021 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-800, UOH-900
University System Bond and Interest Sinking Fund
 Name of Fund: (Debt Service Reserves)
 Legal Authority Administratively Created

Contact Name: Michael Ng
 Phone: 956-6071

Fund type (MOF) W
 Appropriation Acct. No. S-357

Intended Purpose:

Debt service reserve for revenue bonds. To pay when due all revenue bonds and interest thereon, for the payment of which all or any part of the revenue of the university is or has been pledged, charged, or otherwise encumbered, including reserves therefor.

Source of Revenues:

N/A

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	10,479,169	11,551,876	11,169,982	11,169,982	11,169,982	9,187,351	7,216,892
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,072,707	(381,894)	0	0	(1,982,630)	(1,970,460)	(1,958,471)
Ending Cash Balance	11,551,876	11,169,982	11,169,982	11,169,982	9,187,351	7,216,892	5,258,421
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	11,551,876	11,169,982	11,169,982	11,169,982	9,187,351	7,216,892	5,258,421

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							