

DAVID Y. IGE  
GOVERNOR

JOSH GREEN  
LT. GOVERNOR

**STATE OF HAWAII**  
**OFFICE OF THE DIRECTOR**  
**DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS**  
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CATHERINE P. AWAKUNI COLÓN  
DIRECTOR

JO ANN M. UCHIDA TAKEUCHI  
DEPUTY DIRECTOR

PRESENTATION OF  
THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS  
BEFORE THE  
SENATE COMMITTEE ON WAYS AND MEANS  
SENATE COMMITTEE ON COMMERCE, CONSUMER PROTECTION, AND HEALTH  
SUPPLEMENTAL BUDGET REQUEST FOR FISCAL YEAR 2021  
THE THIRTIETH LEGISLATURE  
JANUARY 16, 2020

Honorable Chairs Dela Cruz and Baker, Vice Chairs Keith-Agaran and Chang, and Members of the Senate Committees on Ways and Means and Commerce, Consumer Protection, and Health.

I am Catherine Awakuni Colón, and I am the Director of the Department of Commerce and Consumer Affairs (“DCCA” or “Department”). I appreciate this opportunity to discuss the Department’s fiscal year (“FY”) 2021 budget with the members of the Committees.

### **Overview**

The Department's mission is to promote a strong and healthy business environment by upholding fairness and public confidence in the marketplace, and by increasing knowledge and opportunity for our businesses and citizens.

DCCA’s funding structure was established by the Legislature in 1996 through the creation of the compliance resolution fund (“CRF”). The CRF is the primary funding source for DCCA’s programs. The Department’s financial strategy is based on ensuring that divisions have revenues to pay their expenses and that they contribute equitably to departmental overhead costs, while maintaining sufficient reserves to address unexpected contingencies. The Legislature’s foresight to develop this self-sufficiency model has benefitted our customers and the public we serve and the State over many years. Self-sufficiency notwithstanding, strong fiscal oversight continues to be important to the Department. Consistent with applicable law, the fees and revenue collected by the Department are provisioned for the purpose of delivering important commerce and consumer protection related services.

Toward that end, I appreciate the Committee's thorough review and consideration of the Department's budget.

### **Impact of Current Economic and Fiscal Conditions**

*Discuss how current state-wide conditions have affected agency operations and the ability to meet goals. Identify and discuss notable performance measures, expected outcomes, and recent results.*

The Department and its divisions and attached agencies continued throughout fiscal year ("FY") 2019 to address the challenges of operating in a quickly evolving consumer and business environment affected by technological advances and increased consumer needs. Notably, DCCA's Cable Television division, as part of its franchise authority, designated 57 WiFi hotspots in FY19 primarily in rural, underserved communities, and 54 were installed and activated for public use.<sup>1</sup> CATV's ongoing work in this effort is consistent with its responsibility to support the provision of affordable, accessible broadband to unserved and underserved areas. DCCA's Division of Consumer Advocacy (DCA) was instrumental in delivering net savings to consumers resulting from DCA's recommendations in rate case proceedings, resulting in approximately \$28.9 million of first-year savings in rate cases during FY19. The Public Utilities Commission (PUC) among other things, approved seven (7) utility-scale solar projects paired with battery storage, approved the largest renewable procurement in state history, and approved a "grid services" contract that will enable thousands of customers to participate in a new clean energy program. DCCA's Insurance Division (INS), through its regulatory authority, saved consumers at least \$24 million in the property and casualty lines of business, and its Captive Insurance branch reports financial record highs as of December 31, 2018 including: (a) premiums written on a direct and assumed basis was over \$10 billion; total assets were over \$30 billion; economic impact to the State of Hawaii was over \$30 million<sup>2</sup>, and total investments held in Hawaii was over \$1.6 billion.

The past year also brought about significant developments at the national level that may have a far-reaching impact on those many state, city and private entities that receive direct or indirect services or support resulting from state cable franchises. The FCC's 2019 Franchise Fees Order ("Order"), which became effective on September 26, 2019, prescribes a new formula to calculate cable franchise payments. Specifically, the Order states that costs associated with the construction, maintenance, and service of an INET must be assessed at their fair market value, which may include potential internet service, maintenance, or other operational costs. This new cost valuation method represents a stark change from the previously negotiated free and discounted (i.e., at-cost) INET services provided by the cable

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<sup>1</sup> 14 bus stops across Kauai; 8 locations in and around Hana; 5 locations in Wailuku, widely sued for its First Friday event; 10 locations in Kalaupapa, Molokai; 2 locations in Princess Ruth Keelikolani Building for use by residents doing business with the Department of Taxation and the Department of Labor and Industrial Relations; 6 locations in Kaunakakai, Molokai; 12 community centers and gathering spots in Hawaii island, including the Hamakua coast, Volcano, Pahoa, Kapaau, Hilo, Kamuela, Captain Cook, Kailua-Kona, Naalehu, and Pahala.

<sup>2</sup> Calculated as the total paid to local service providers, premium taxes, and other expenditures related to having a captive insurance company in Hawaii.

operators through their cable franchise agreements with the State. As such, the Department's budget request includes a request for authorization to apply certain funds, if needed, to ameliorate the harsh consequences of the Order on affected agencies.

Finally, as a department charged with protecting consumers, DCCA's budget requests reflects increased focused on consumer outreach and education. Scammers have become increasingly sophisticated in their efforts to defraud consumers in person, by telephone by mail or online. See, <https://bigislandnow.com/2019/01/12/study-hawaii-65-more-likely-to-fall-victim-to-cybercrime/>; <https://www.kitv.com/story/39291717/scammers-spoofers-target-hawaii-residents>; <https://www.hawaiinewsnow.com/2019/08/22/dozens-nigerian-scammers-indicted-email-romance-schemes-targeting-elderly-people/>. DCCA has been aggressive in issuing press releases and warnings, but there has been a continual increase in the number and sophistication of schemes that affect Hawaii citizens, such that more targeted and strategic outreach is needed.

The Department, thus, continues to take a selective approach when developing its budget that aims at addressing the essential needs of the Department, including general cost adjustments felt by all agencies, and makes requests to utilize resources in a manner that most efficiently allows DCCA to carry out its mission.

### **Federal Funds**

None of the Department's programs have lost or are at risk of losing federal funds.

The Insurance Division's health branch currently has two federal grants:

1. State of Hawaii – Planning/Implementing the Insurance Market Reforms, Part A, Title XXVII, Public Health Service Act, Cycle I, CFDA 93.881. Awarded 10/31/2016 for \$1.2 million, with an expiration date of 10/30/2020.
2. The State Flexibility to Stabilize the Market Grant Program, CFDA 93.413. Awarded 8/20/2018 for \$278,527.95, with an expiration date of 8/19/2020.

There are no direct communications that either of these grants are at risk for early termination.

### **Non-General Funds**

[https://cca.hawaii.gov/wp-content/uploads/2019/12/CCA\\_12-30-19.pdf](https://cca.hawaii.gov/wp-content/uploads/2019/12/CCA_12-30-19.pdf)

### **Budget Request**

The Department formulated a budget that provides the resources necessary for our programs to perform their functions and meet their goals and objectives. Pursuant to instructions in Finance Memorandum 19-11 issued by the Department of Budget and Finance

("B&F"), notable requests in the current budget include:

- **IT enhancements.** DCCA's IT budget requests reflect its continued commitment to enhancing the DCCA customer experience by (1) replacing the Business Registration Information Management System (BRIMS) and Registration Documents Processing Management System (RDPMS) with a unified system, and scanning old active files so that they are incorporated into the replacement system (ceiling increase to BREG of \$3,500,000 for FY21; \$500,000 recurring); (2) integrating document management into DFI's licensing and regulatory systems (ceiling increase of \$50,000 for DFI, recurring); (3) providing an IT integration platform to facilitate the transition to new systems (ISCO \$50,000 recurring); (4) replacing outdated firewall hardware and software (ISCO, \$60,000 non-recurring); (5) providing for IT lifecycle replacement of network servers and printers (ISCO \$50,000 recurring).
- **Consumer Education/Outreach.** DCCA requests a ceiling increase of \$50,000 for OCP community outreach and ceiling increase of \$49,000 in Commissioner's Education Trust Account for INS expanded staff training and increased community outreach. The increased focus on consumer education and outreach is in response to the department's growing concerns about an increase in scams and schemes that target consumers. Hawaii's resiliency and success as a state depends in part on the financial well-being of its citizens, and it is important that the state's most vulnerable population has the means to prevent falling prey to unscrupulous con artists.
- **King Kalakaua Building.** DCCA requests a ceiling increase of \$2,000,000 for replacement of the cooling tower in the King Kalakaua Building, roof repairs to the King Kalakaua Building related to damage caused by Hurricane Lane, and matching funding required if DCCA is awarded a FEMA emergency preparedness grant to harden the roof and disaster operations center (DOC) in the King Kalakaua Building.
- **PUC Consultant Services.** Ceiling increase of \$250,000 for PUC to contract for consultant services. The PUC continues to see a rise in workload related to renewable energy, rate cases, and other electric utility-related dockets. Clean energy-related regulatory activities have increased in both the number and complexity of dockets. This ceiling increase will help to support PUC efforts to issue proper and timely decisions and orders by providing the needed subject matter expertise in these areas. The PUC's current year expenditure forecast shows an increasing trend in line with this request. This budget request will support the PUC's forecasted increase to current level of effort and allow for the additional expenditures that will meet and likely exceed current FY 20 levels.
- **Mobile WiFi Hotspot Lending Pilot Program.** DCCA requests approval to expend \$400,000 to assist HSPLS with costs associated with the establishment of a Mobile WiFi Hotspot Lending Pilot Program aimed at enhancing internet access across the State, particularly in unserved and underserved communities. According to American Community Survey estimates ending 2017, nearly one-in-five Hawaii households do not have an internet subscription, with these numbers increasing among households making less than \$20,000 a year (approx. 46% of households with no internet subscription) and those in rural areas (approx. 26% of households with no internet subscription). To help

address this "digital divide," many libraries, including the New York Public Library, Seattle Public Library, Chicago Public Library, and Boston Public Library, have established WiFi hotspot lending programs where portable hotspot devices are made available to library patrons to allow them to access the internet through laptops, smartphones, or tablets via a provider's cellular network. This pilot program seeks to emulate the successes of these and similar programs for Hawaii residents.

- **INET-related cost adjustments.** DCCA requests approval to expend \$497,000 to address INET-related costs associated with the implementation of the FCC's 2019 Franchise Fees Order ("Order"), which became effective on September 26, 2019. This request would be used to offset some of the anticipated INET costs associated with complying with the new federal rules set forth in the FCC's 2019 Franchise Fees Order.

### **Additional Information**

#### Table 1: Department Functions

The Prioritized List of Functions encompasses all of the major functions and associated activities performed by the Department's programs.

#### Table 2: Department-Wide Totals

The Department's FY20 operating budget is \$90,659,028 (\$87,000,503 in special funds; \$3,407,525 in trust funds; \$251,000 in competitive federal grants). The Department's proposed FY21 operating budget is \$97,246,248 (\$92,641,723 in special funds; \$4,353,525 in trust funds; \$251,000 in competitive federal grants).

#### Table 3: Program ID Totals

The Department's total appropriated amount for FY20 ) is \$90,659,028 (\$87,000,503 in special funds; \$3,407,525 in trust funds; \$251,000 in competitive federal grants). The FY21 amount proposed is \$97,246,248 (\$92,641,723 in special funds; \$4,353,525 in trust funds; \$251,000 in competitive federal grants), an increase of 7.27% as compared to the FY21 appropriation.

#### Table 4: Budget Decisions

The Department's requests, B&F's recommendations, and the Governor's decisions are listed.

#### Table 5: Proposed Budget Reductions

None.

#### Table 6: Proposed Budget Additions

##### **1. Special Funds**

- CCA-104: Add \$50,000 for the Division of Financial Institutions for software

licenses.

- CCA-110: Add \$50,000 for the Office of Consumer Protection community outreach.
- CCA-110: Add \$50,000 for the Office of Consumer Protection for central services assessment.
- CCA-111: Add \$3,500,000 for FY21 for Business Registration for an IT project.
- CCA-111: Add \$54,552 for Business Registration for fringe benefits.
- CCA-112: Add \$47,616 for the Regulated Industries Complaints Office for a Program Specialist.
- CCA-112: Add \$28,096 for the Regulated Industries Complaints Office for a Legal Assistant.
- CCA-191: Transfer one permanent position and add \$4,339 for General Support for a Human Resources Assistant.
- CCA-191: Add \$2,000,000 for FY21 for General Support for building maintenance.
- CCA-191: Add \$60,000 for FY 21for General Support for hardware.
- CCA-191: Add \$50,000 for General Support for equipment.
- CCA-191: Add \$50,000 for General Support software.
- CCA-191: Add \$16,121 for General Support for fringe benefits.
- CCA-191: Add \$4,325 for General Support for fringe benefits.
- CCA-191: Add \$245,571 for General Support for fringe benefits.
- CCA-901: Add \$250,000 for the Public Utility Commission for consultants.

## 2. Trust Funds

- CCA-102: Add \$897,000 for Cable Television for IT projects.
- CCA-106: Add \$49,000 for the Insurance Division for community outreach.

### Table 7: Current Year (FY20) Restrictions

None.

### Table 8: Emergency Appropriation Requests

None.

### Table 9: Expenditures Exceeding Appropriation Ceilings

None.

### Table 10: Intradepartmental Transfer of Funds

None.

### Table 11: Vacancy Summary

Vacancy summaries on November 30, 2019 are listed by Program IDs.

Table 12: Positions Authorized/Established by Other Acts

None.

Table 13: Overtime Expenditures

The percent of overtime expenditures incurred in FY19 was 0.3% of base salary for special funds, and 0.0% for trust funds; FY20 estimated overtime expenditures are 0.8% for special funds and 3.0% for trust funds; budgeted amounts for FY21 are 0.8% and 3.0% of base salary for special funds and trust funds, respectively.

Table 14: Contract Costs

Listing of active contracts by Program ID and MOF is provided.

Table 15: Capital Improvement Program (“CIP”) Requests

None.

Table 16: CIP Lapses

None.

Table 17: Program ID Sub-Organizations

Sub-Organizations are listed by Program ID.

Table 18: Organizational Changes and Charts

The Department’s organizational chart for the current year (FY20) is attached. All changes that were made are indicated.

[https://cca.hawaii.gov/wp-content/uploads/2019/12/DCCA-Organization-Charts\\_updated\\_6-30-19.pdf](https://cca.hawaii.gov/wp-content/uploads/2019/12/DCCA-Organization-Charts_updated_6-30-19.pdf)

Attached to this briefing is information presented in the tables in your prescribed format. My program administrators and I are available to answer any questions you and your committee members may have concerning our programs and the materials submitted for this presentation. Mahalo for your assistance.

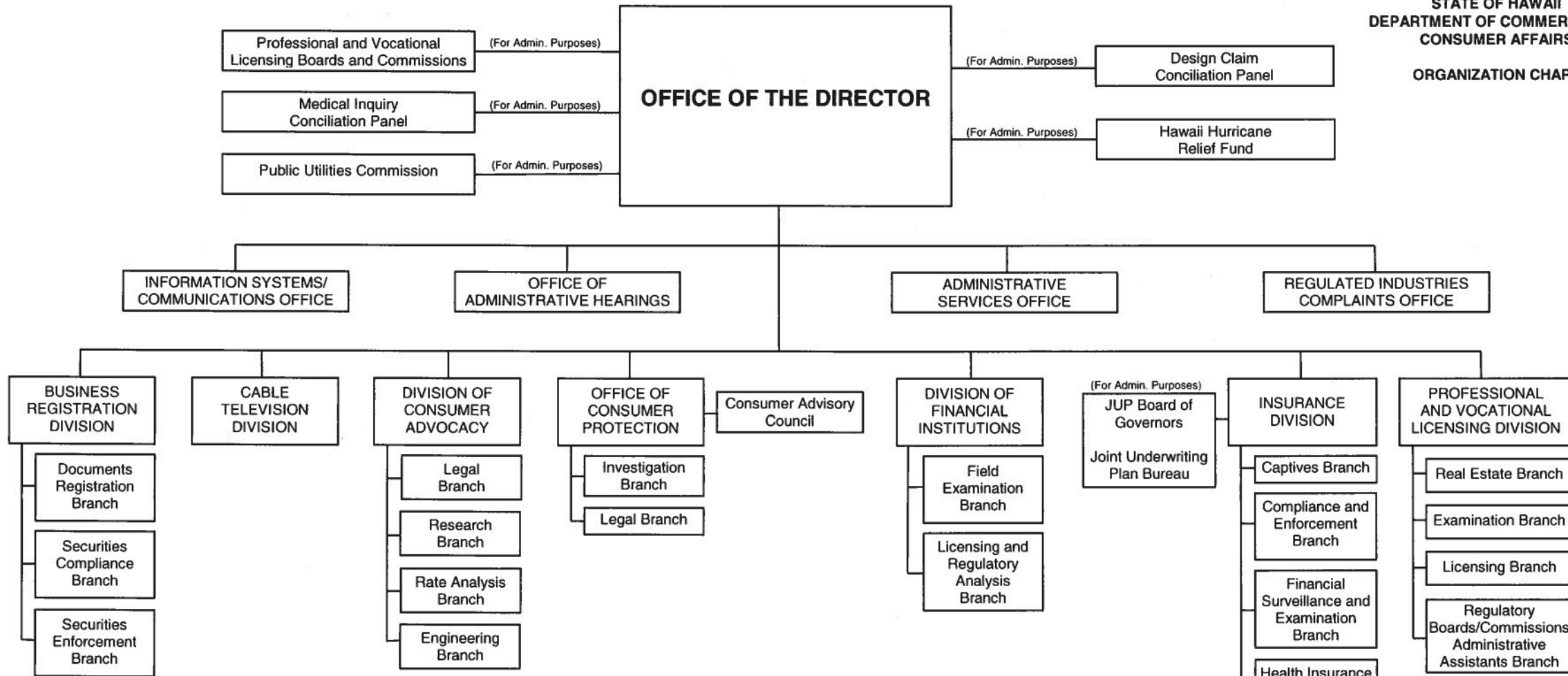
**REVISED POSITION DISTRIBUTION BY PROGRAMS**

**as of June 30, 2019**

**Department of Commerce and Consumer Affairs  
State of Hawaii**



STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
ORGANIZATION CHART



**PROFESSIONAL AND VOCATIONAL LICENSING BOARDS, COMMISSIONS AND PROGRAMS**

Accountancy  
Activity Providers and Activity Desks\*  
Acupuncture  
Appraisal Management Companies\*  
Athletic Trainers\*  
Barbering and Cosmetology  
Behavior Analysts\*  
Boxing  
Cemetery and Pre-Need Funeral Authority\*  
Chiropractic Examiners  
Collection Agencies\*  
Commercial Employment Agencies\*  
Condominium Property Regimes\*

Contractors  
Dental  
Dispensing Opticians\*  
Electricians and Plumbers  
Electrologists\*  
Elevator Mechanics  
Engineers, Architects, Surveyors and Landscape Architects  
Hearing Aid Dealers and Fitters\*  
Licensed Marriage and Family Therapists\*  
Limited Equity Housing Cooperatives\*  
Massage Therapy  
Medical  
Mental Health Counselors  
Midwives\* (effective 7/1/19)

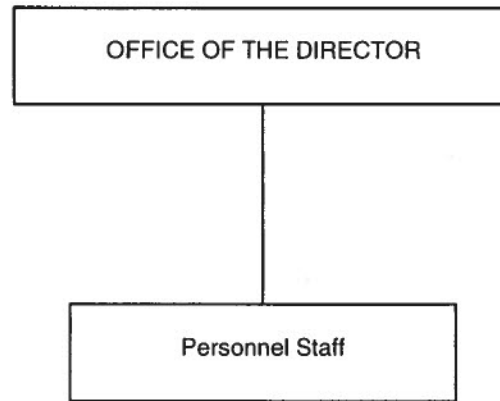
Mixed Martial Arts\*  
Motor Vehicle Industry Licensing  
Motor Vehicle Repair Industry Licensing  
Naturopathic Medicine  
Nurses Aides\*  
Nursing  
Nursing Home Administrators\*  
Occupational Therapists\*  
Optometry  
Pest Control  
Pharmacy  
Physical Therapy  
Pilotage\*  
Private Detectives and Guards

Psychology  
Real Estate  
Real Estate Appraisers\*  
Respiratory Therapists\*  
Social Workers\*  
Speech Pathologists and Audiologists  
Subdivision\*  
Time Sharing\*  
Travel Agencies\*  
Uniform Athlete Agents\*  
Veterinary  
Veterinary Technicians\*

\* Programs without boards or commissions.

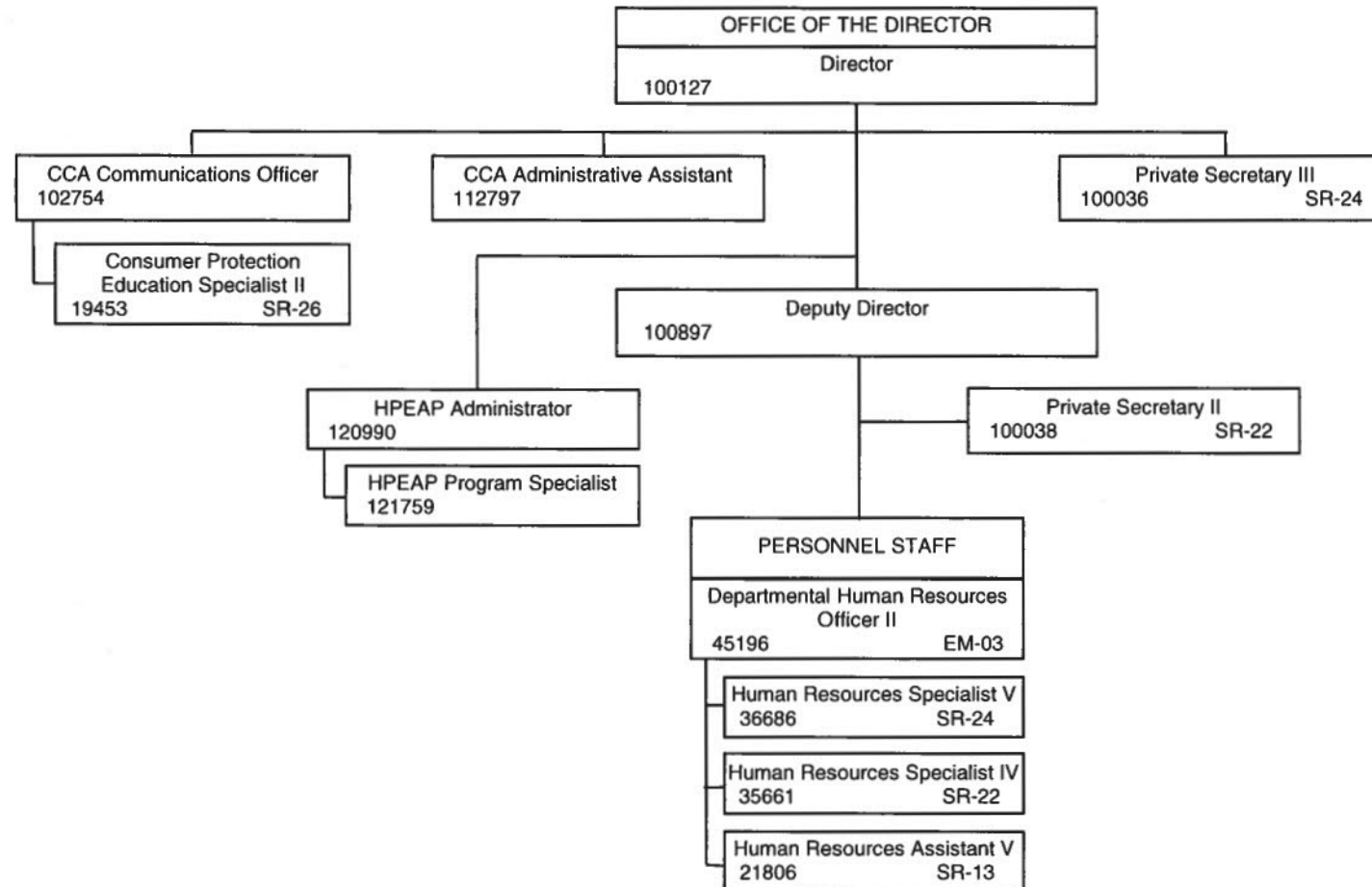
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
OFFICE OF THE DIRECTOR

ORGANIZATION CHART



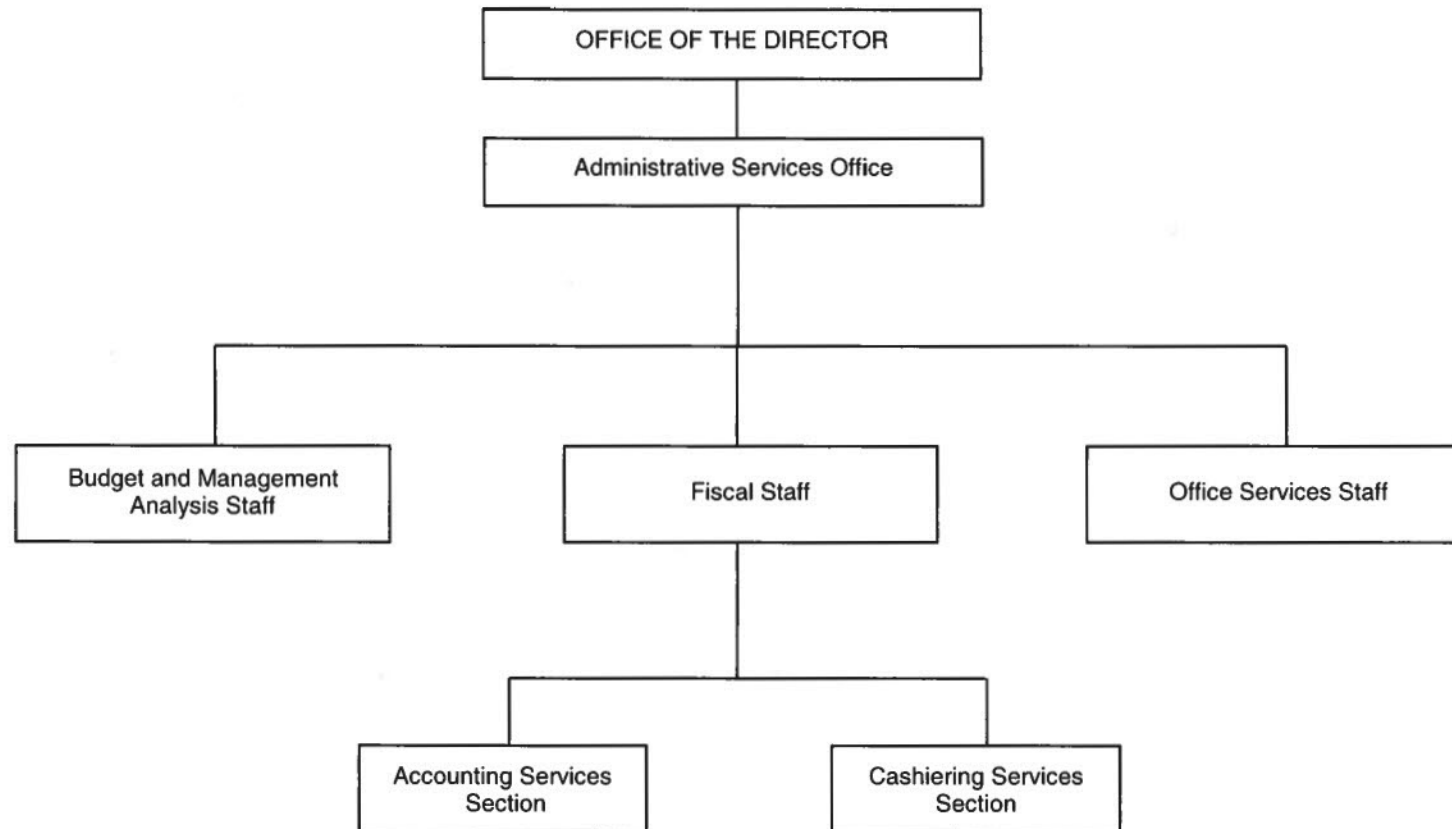
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
OFFICE OF THE DIRECTOR

POSITION ORGANIZATION CHART



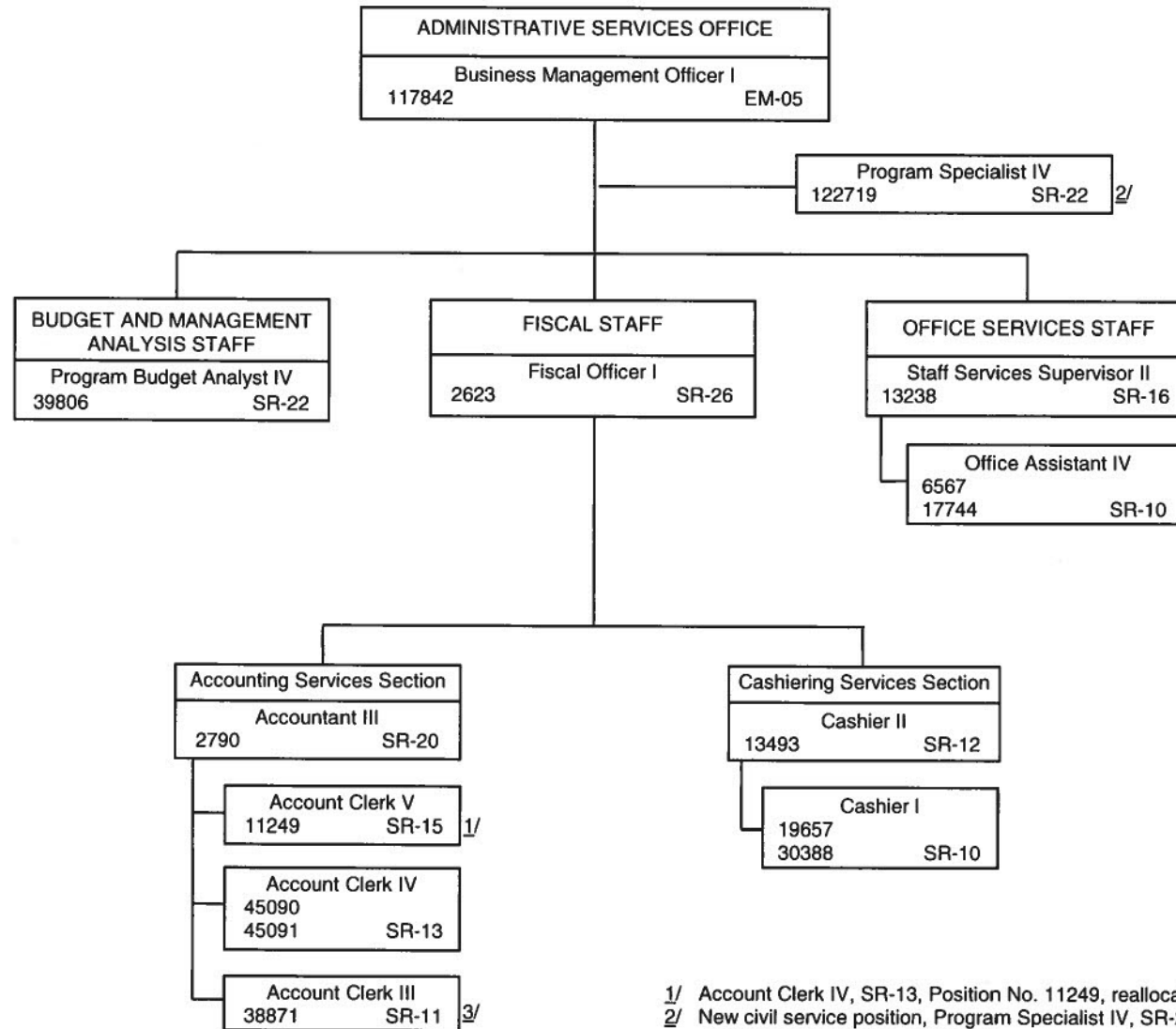
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
ADMINISTRATIVE SERVICES OFFICE

ORGANIZATION CHART



STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 ADMINISTRATIVE SERVICES OFFICE

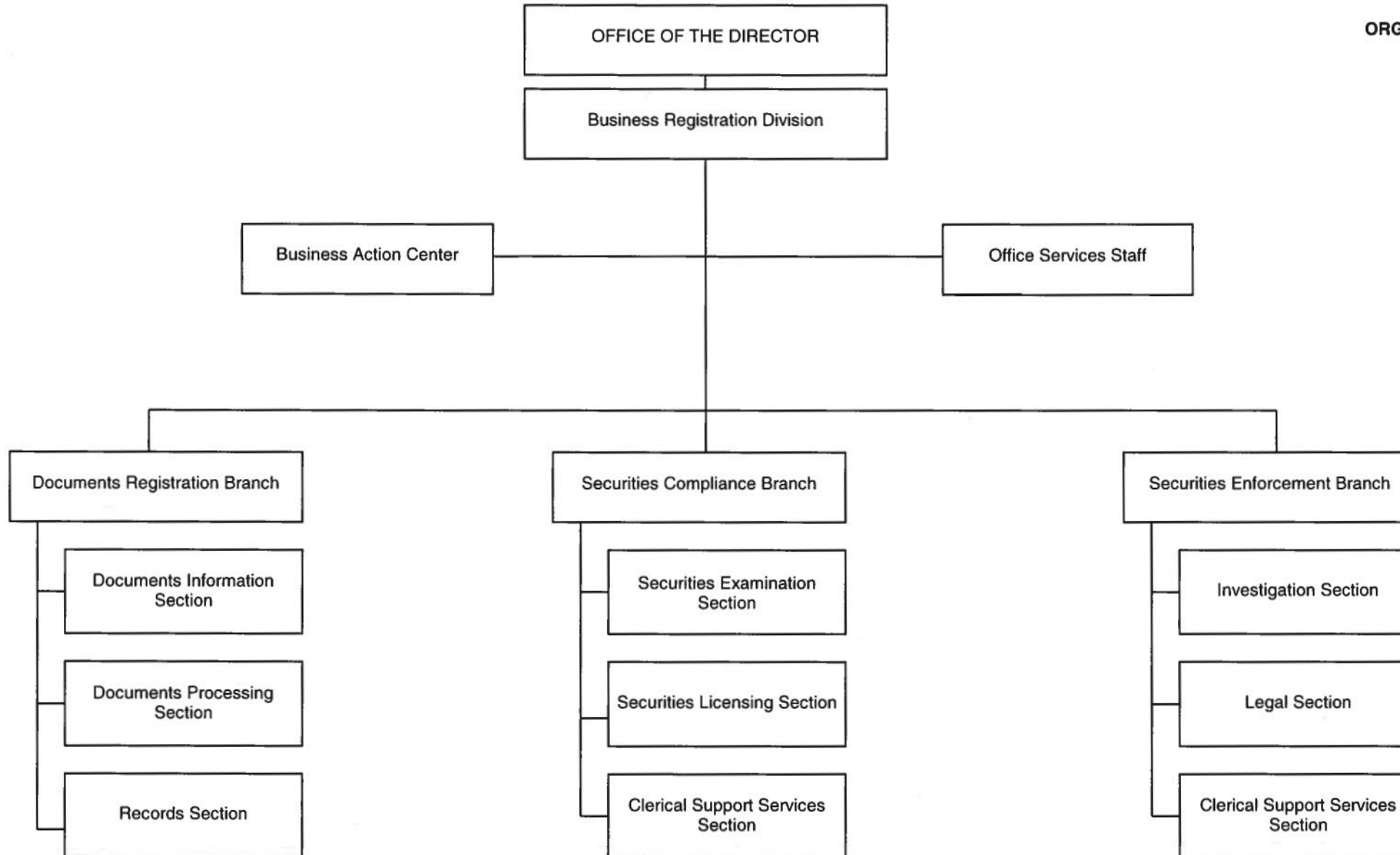
POSITION ORGANIZATION CHART



- 1/ Account Clerk IV, SR-13, Position No. 11249, reallocated to Account Clerk V, SR-15, effective August 16, 2018.  
 2/ New civil service position, Program Specialist IV, SR-22, (Pseudo No. 99004R), established August 6, 2018, as authorized by Act 53, SLH 2018.  
 3/ Account Clerk IV, SR-13, Position No. 38871, reallocated to Account Clerk III, SR-11, effective May 22, 2019.

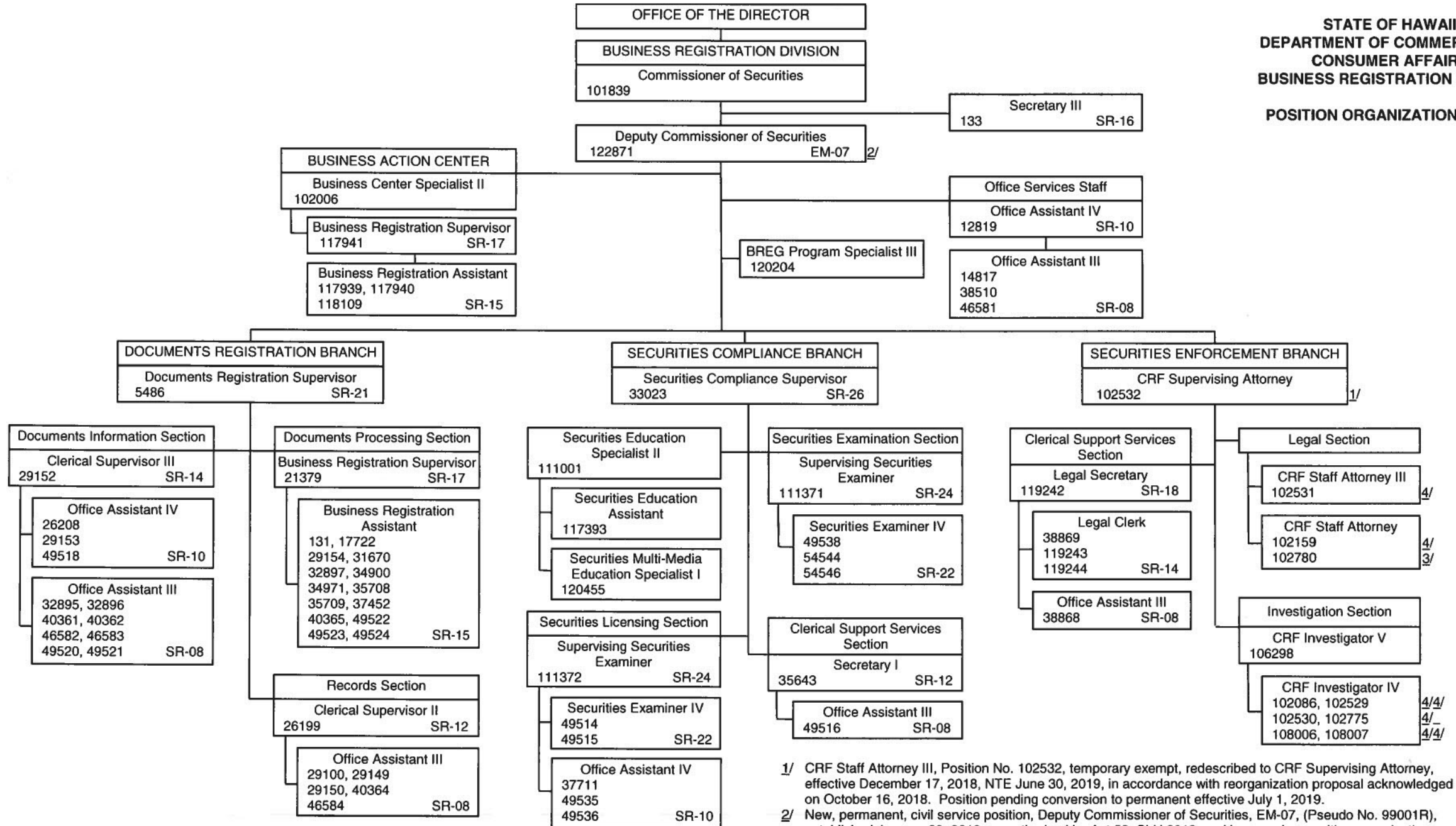
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
BUSINESS REGISTRATION DIVISION

ORGANIZATION CHART



STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
BUSINESS REGISTRATION DIVISION

POSITION ORGANIZATION CHART

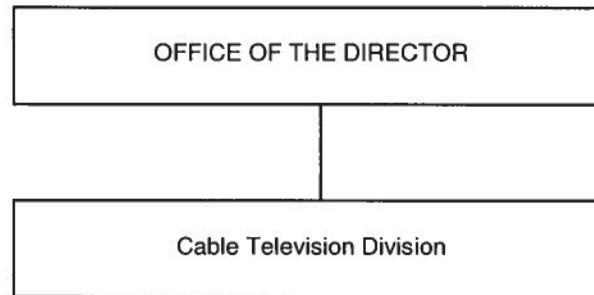


Note: CRF denotes Compliance Resolution Fund

- 1/ CRF Staff Attorney III, Position No. 102532, temporary exempt, redescribed to CRF Supervising Attorney, effective December 17, 2018, NTE June 30, 2019, in accordance with reorganization proposal acknowledged on October 16, 2018. Position pending conversion to permanent effective July 1, 2019.
- 2/ New, permanent, civil service position, Deputy Commissioner of Securities, EM-07, (Pseudo No. 99001R), established January 29, 2019, as authorized by Act 53, SLH 2018, and in accordance with reorganization proposal acknowledged on October 16, 2018.
- 3/ CRF Staff Attorney II, Position No. 102780, redescribed to CRF Staff Attorney, effective January 29, 2019.
- 4/ Temporary exempt position, NTE June 30, 2019, pending conversion to permanent effective July 1, 2019.

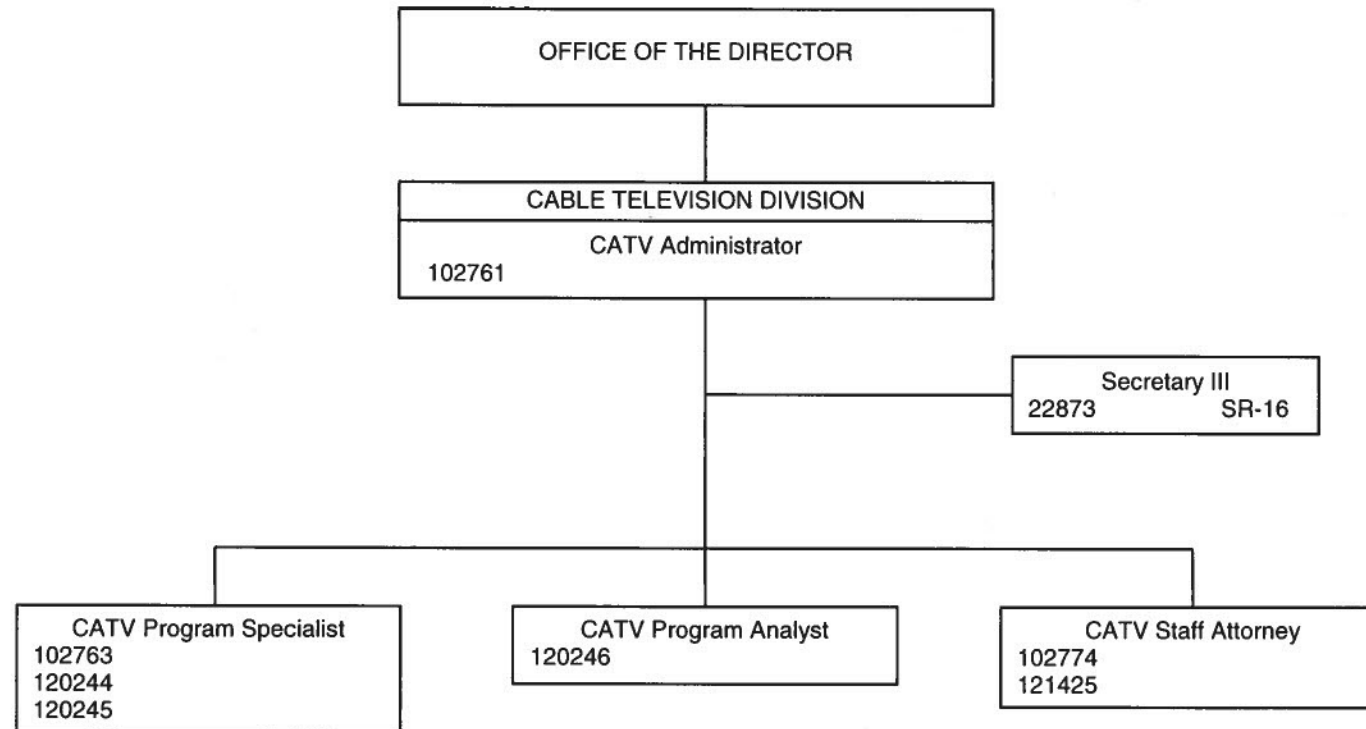
**STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
CABLE TELEVISION DIVISION**

**ORGANIZATION CHART**



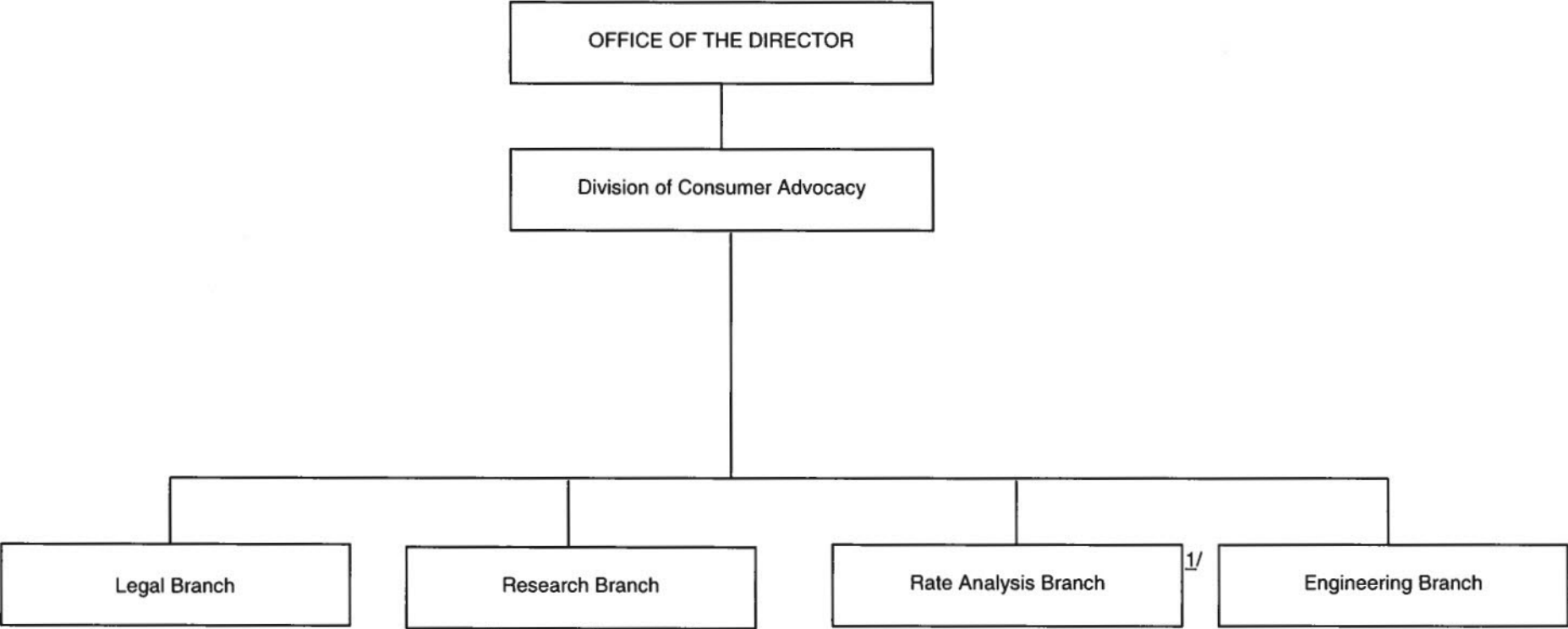


STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
CABLE TELEVISION DIVISION  
POSITION ORGANIZATION CHART



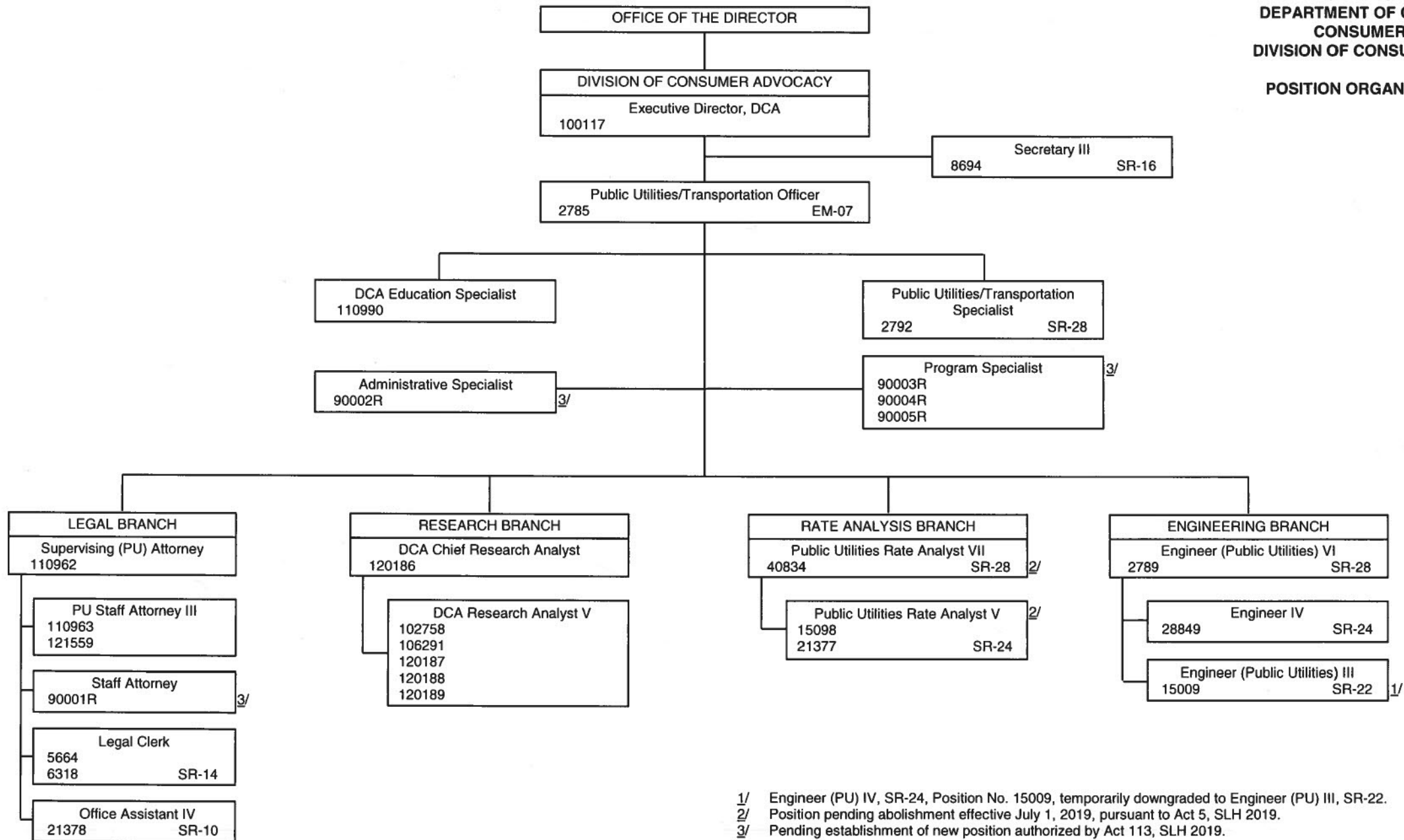
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
DIVISION OF CONSUMER ADVOCACY

ORGANIZATION CHART



1/ Pending abolishment of Rate Analysis Branch.

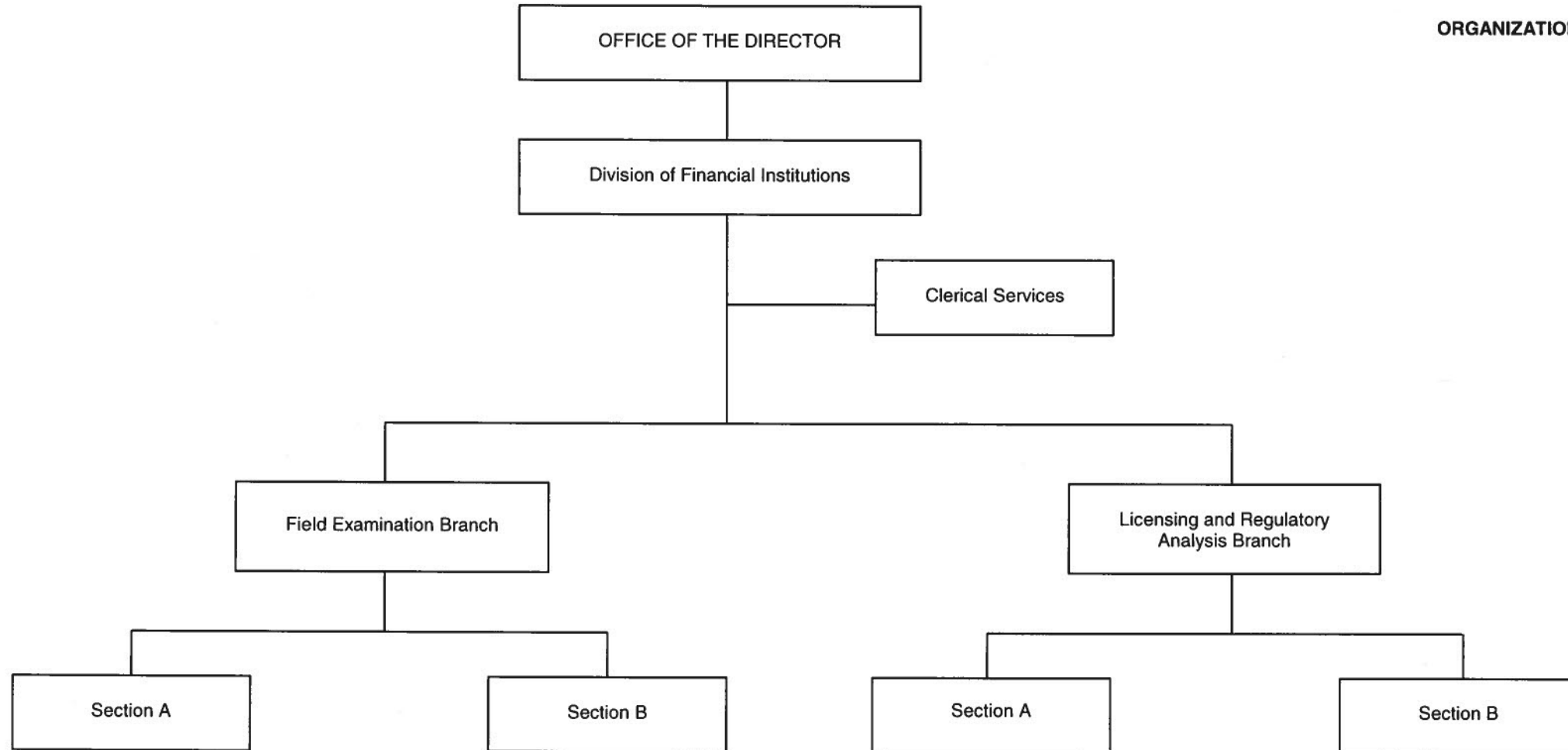
**STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
DIVISION OF CONSUMER ADVOCACY  
POSITION ORGANIZATION CHART**



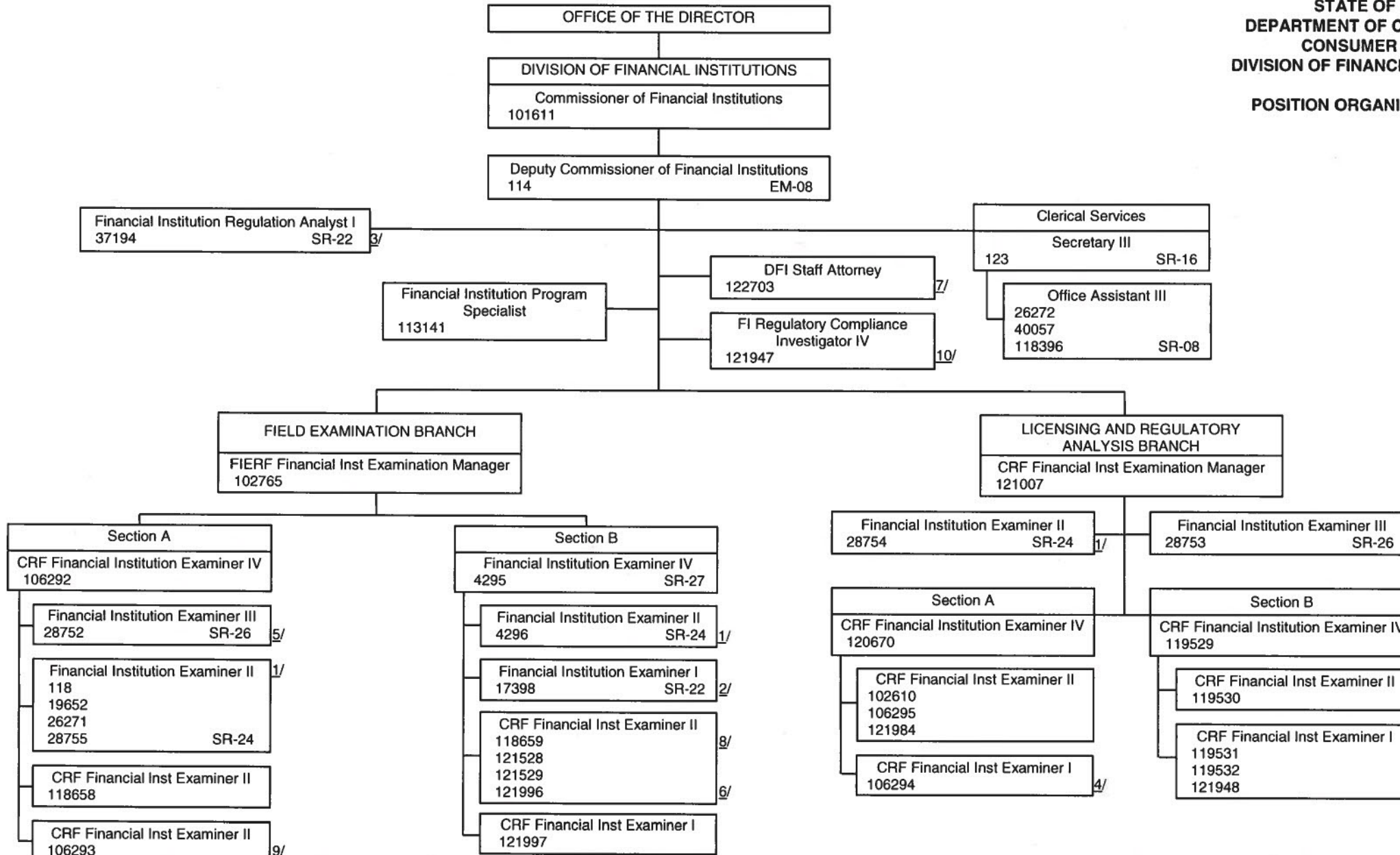
- 1/ Engineer (PU) IV, SR-24, Position No. 15009, temporarily downgraded to Engineer (PU) III, SR-22.
- 2/ Position pending abolishment effective July 1, 2019, pursuant to Act 5, SLH 2019.
- 3/ Pending establishment of new position authorized by Act 113, SLH 2019.

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
DIVISION OF FINANCIAL INSTITUTIONS

ORGANIZATION CHART



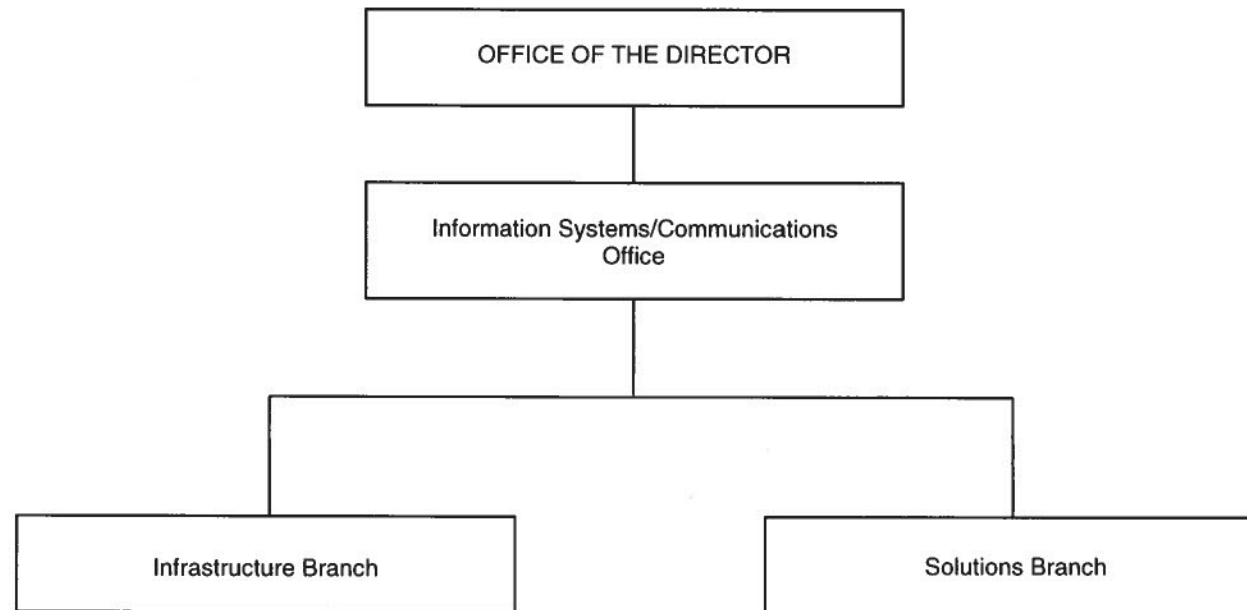
STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 DIVISION OF FINANCIAL INSTITUTIONS  
 POSITION ORGANIZATION CHART



- 1/ Financial Institution Examiner III, SR-26, Position Nos. 118, 4296, 19652, 26271, 28754, and 28755 temporarily downgraded to Financial Institution Examiner II, SR-24.
- 2/ Financial Institution Examiner III, SR-26, Position No. 17398, temporarily downgraded to Financial Institution Examiner I, SR-22.
- 3/ Financial Institution Regulation Analyst II, SR-24, Position No. 37194 temporarily downgraded to Financial Institution Regulation Analyst I, SR-22.
- 4/ CRF Financial Institution Examiner III, exempt, Position No. 106294, redescribed to CRF Financial Institution Examiner I, effective October 29, 2018.
- 5/ Financial Institution Examiner II, SR-24, Position No. 28752, reallocated to Financial Institution Examiner III, SR-26, effective November 1, 2018.
- 6/ CRF Financial Institution Examiner I, exempt, Position No. 121996, redescribed to CRF Financial Institution Examiner II, effective November 1, 2018.
- 7/ New, permanent, exempt position, DFI Staff Attorney (Pseudo No. 99002R), established August 1, 2018, as authorized by Act 53, SLH 2018.
- 8/ CRF Financial Institution Examiner I, exempt, Position No. 118659, redescribed to CRF Financial Institution Examiner II, effective December 3, 2018.
- 9/ FIERF Financial Institution Examiner II, exempt, Position No. 106293, redescribed to CRF Financial Institution Examiner II, effective March 27, 2019.
- 10/ CRF Investigation Financial Institution Examiner II, exempt, Position No. 121947, redescribed to FI Regulatory Compliance Investigator IV, effective June 25, 2019

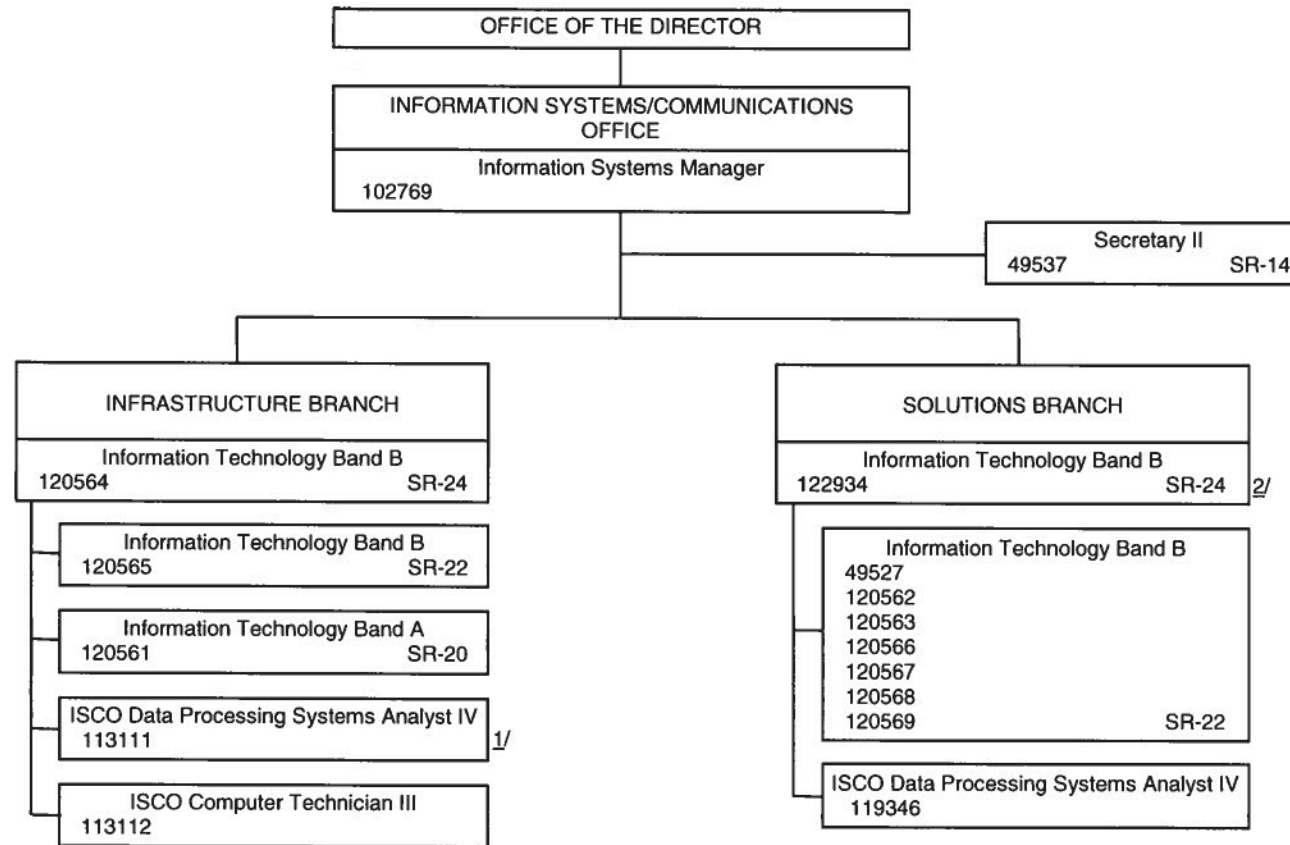
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
INFORMATION SYSTEMS/COMMUNICATIONS  
OFFICE

ORGANIZATION CHART



**STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
INFORMATION SYSTEMS/COMMUNICATIONS  
OFFICE**

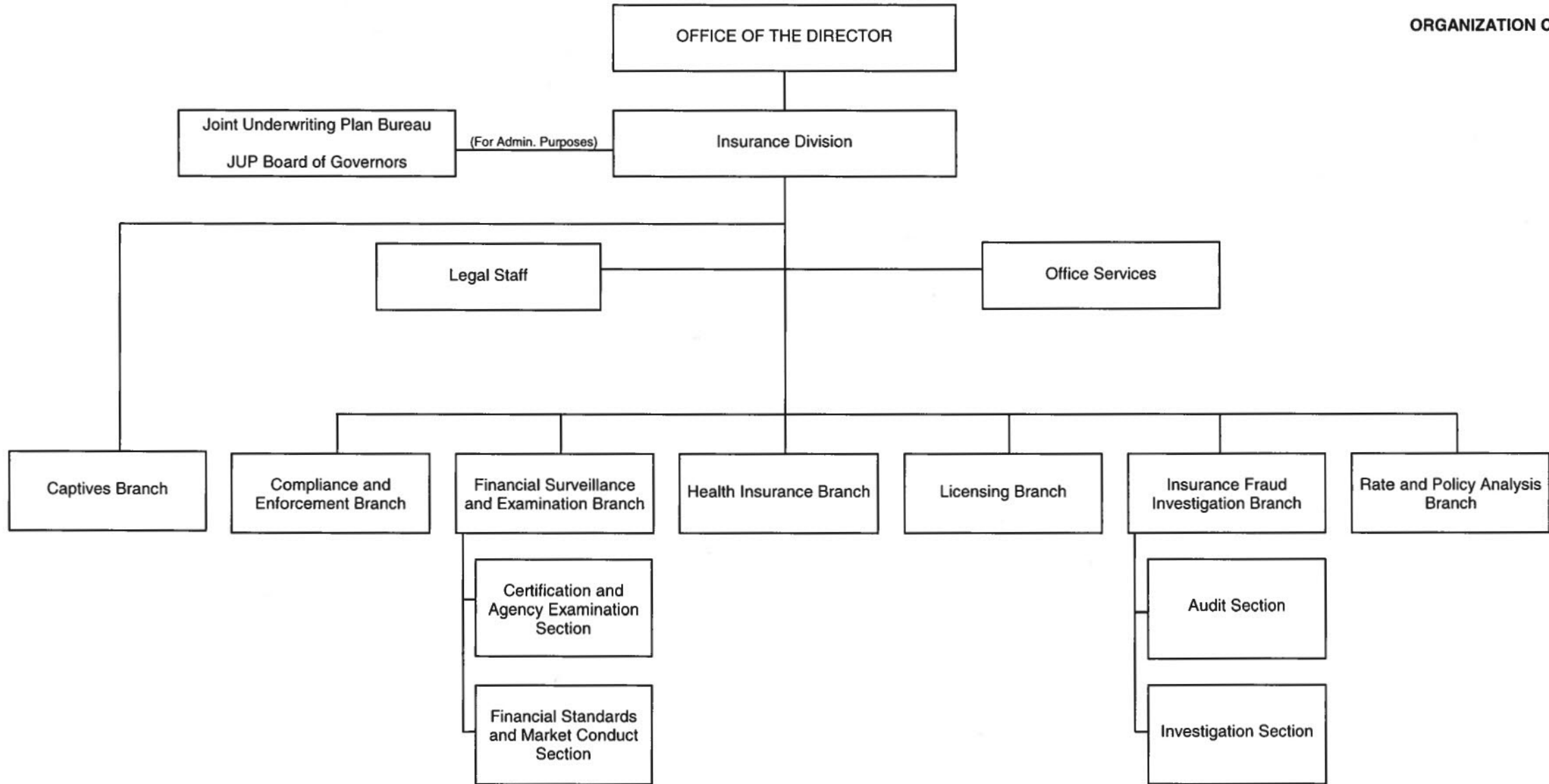
**POSITION ORGANIZATION CHART**



1/ ISCO Data Processing Systems Analyst III, exempt, redescribed to ISCO Data Processing Systems Analyst IV, effective December 1, 2018.

2/ ISCO Information Technology Specialist V, exempt, Position No. 111109, converted to civil service position, Information Technology Band B, SR-24, effective February 14, 2019, in accordance with Act 300, SLH 2006.

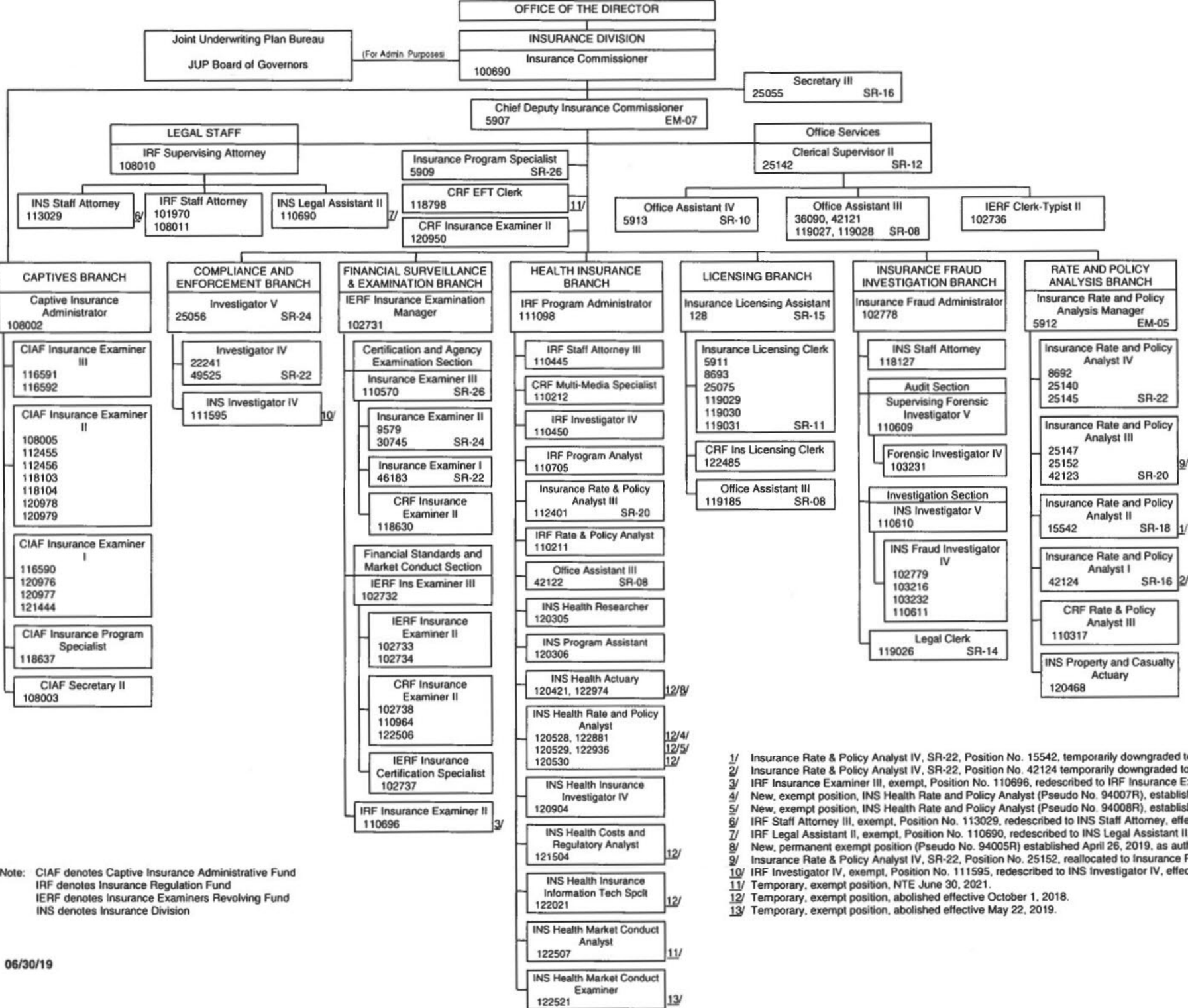
STATE OF HAWAII  
DEPARTMENT OF COMMERCE  
AND CONSUMER AFFAIRS  
INSURANCE DIVISION  
ORGANIZATION CHART





STATE OF HAWAII  
DEPARTMENT OF COMMERCE  
AND CONSUMER AFFAIRS  
INSURANCE DIVISION

POSITION ORGANIZATION CHART

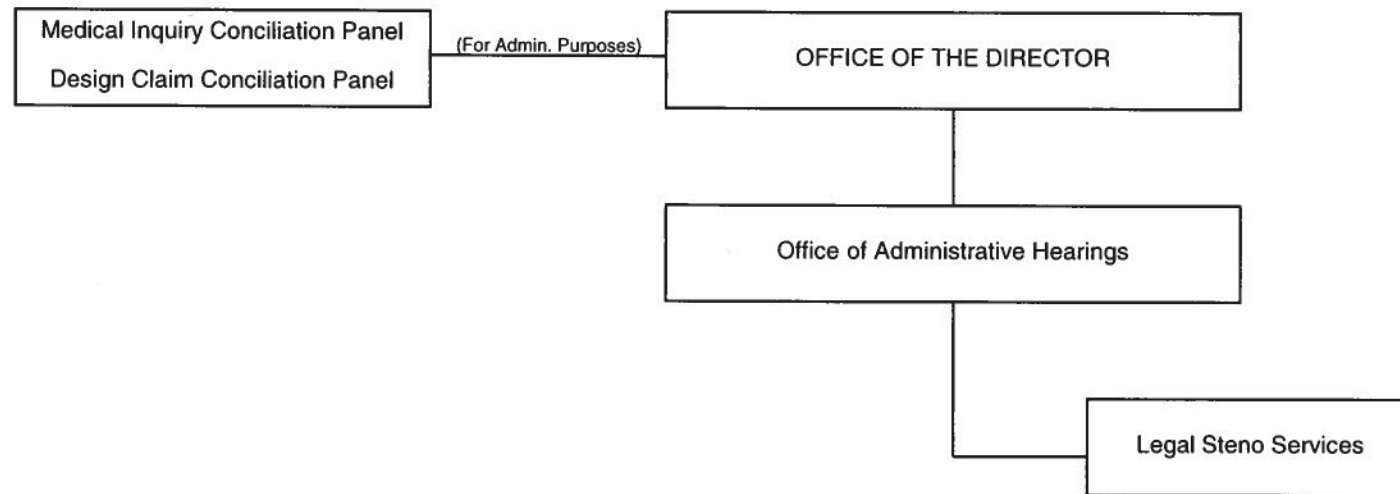


Note: CIAF denotes Captive Insurance Administrative Fund  
IRF denotes Insurance Regulation Fund  
IERF denotes Insurance Examiners Revolving Fund  
INS denotes Insurance Division

- 1/ Insurance Rate & Policy Analyst IV, SR-22, Position No. 15542, temporarily downgraded to Insurance Rate & Policy Analyst II, SR-18.
- 2/ Insurance Rate & Policy Analyst IV, SR-22, Position No. 42124 temporarily downgraded to Insurance Rate & Policy Analyst I, SR-16.
- 3/ IRF Insurance Examiner III, exempt, Position No. 110696, redescribed to IRF Insurance Examiner II, effective September 4, 2018.
- 4/ New, exempt position, INS Health Rate and Policy Analyst (Pseudo No. 94007R), established January 2, 2019, as authorized by Act 134, SLH 2013.
- 5/ New, exempt position, INS Health Rate and Policy Analyst (Pseudo No. 94008R), established February 19, 2019, as authorized by Act 134, SLH 2013.
- 6/ IRF Staff Attorney III, exempt, Position No. 113029, redescribed to INS Staff Attorney, effective April 22, 2019.
- 7/ IRF Legal Assistant II, exempt, Position No. 110690, redescribed to INS Legal Assistant II, effective April 22, 2019.
- 8/ New, permanent exempt position (Pseudo No. 94005R) established April 26, 2019, as authorized by Act 134, SLH 2013.
- 9/ Insurance Rate & Policy Analyst IV, SR-22, Position No. 25152, reallocated to Insurance Rate & Policy Analyst III, SR-20, effective June 24, 2019.
- 10/ IRF Investigator IV, exempt, Position No. 111595, redescribed to INS Investigator IV, effective June 26, 2019.
- 11/ Temporary, exempt position, NTE June 30, 2021.
- 12/ Temporary, exempt position, abolished effective October 1, 2018.
- 13/ Temporary, exempt position, abolished effective May 22, 2019.

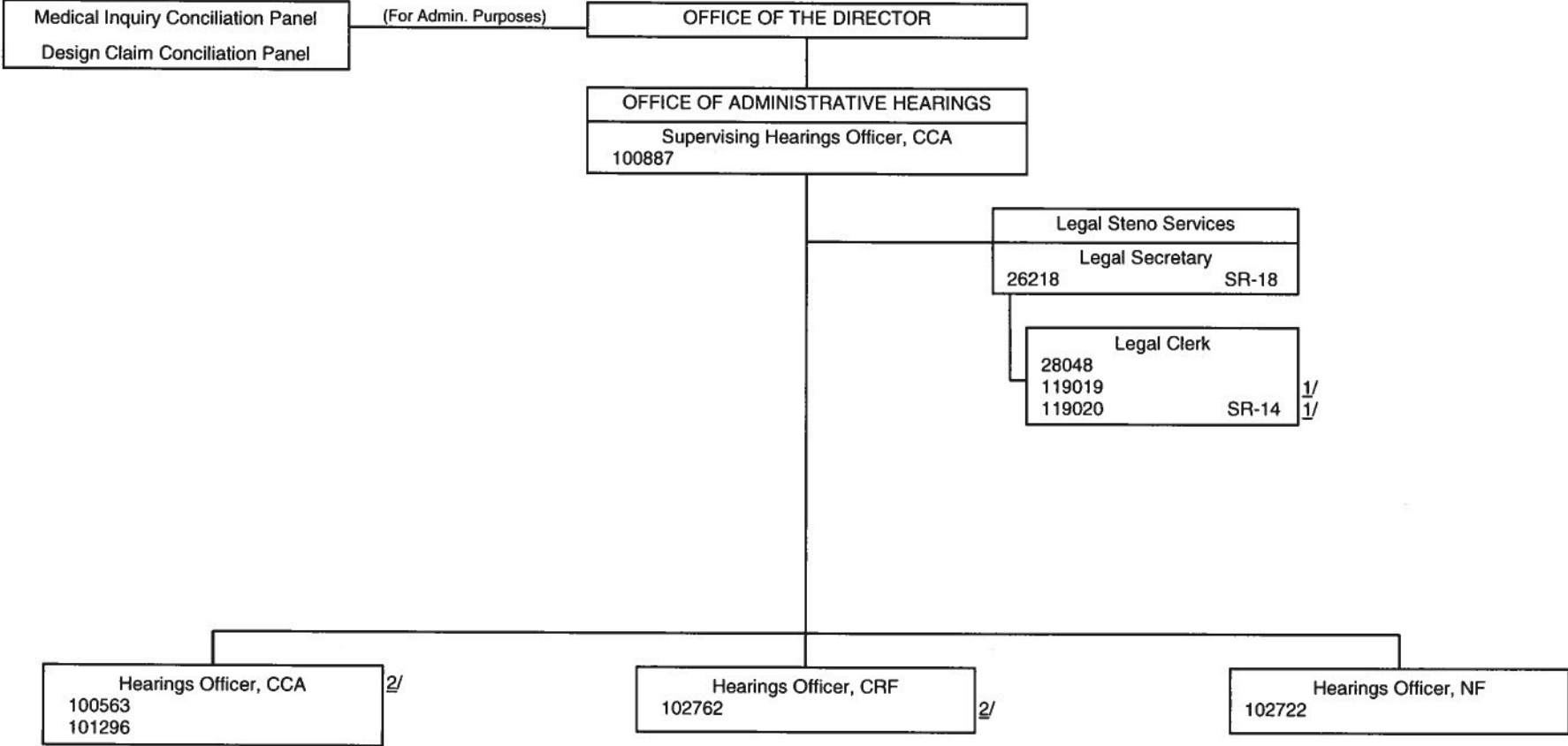
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
OFFICE OF ADMINISTRATIVE HEARINGS

ORGANIZATION CHART



**STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
OFFICE OF ADMINISTRATIVE HEARINGS**

**POSITION ORGANIZATION CHART**



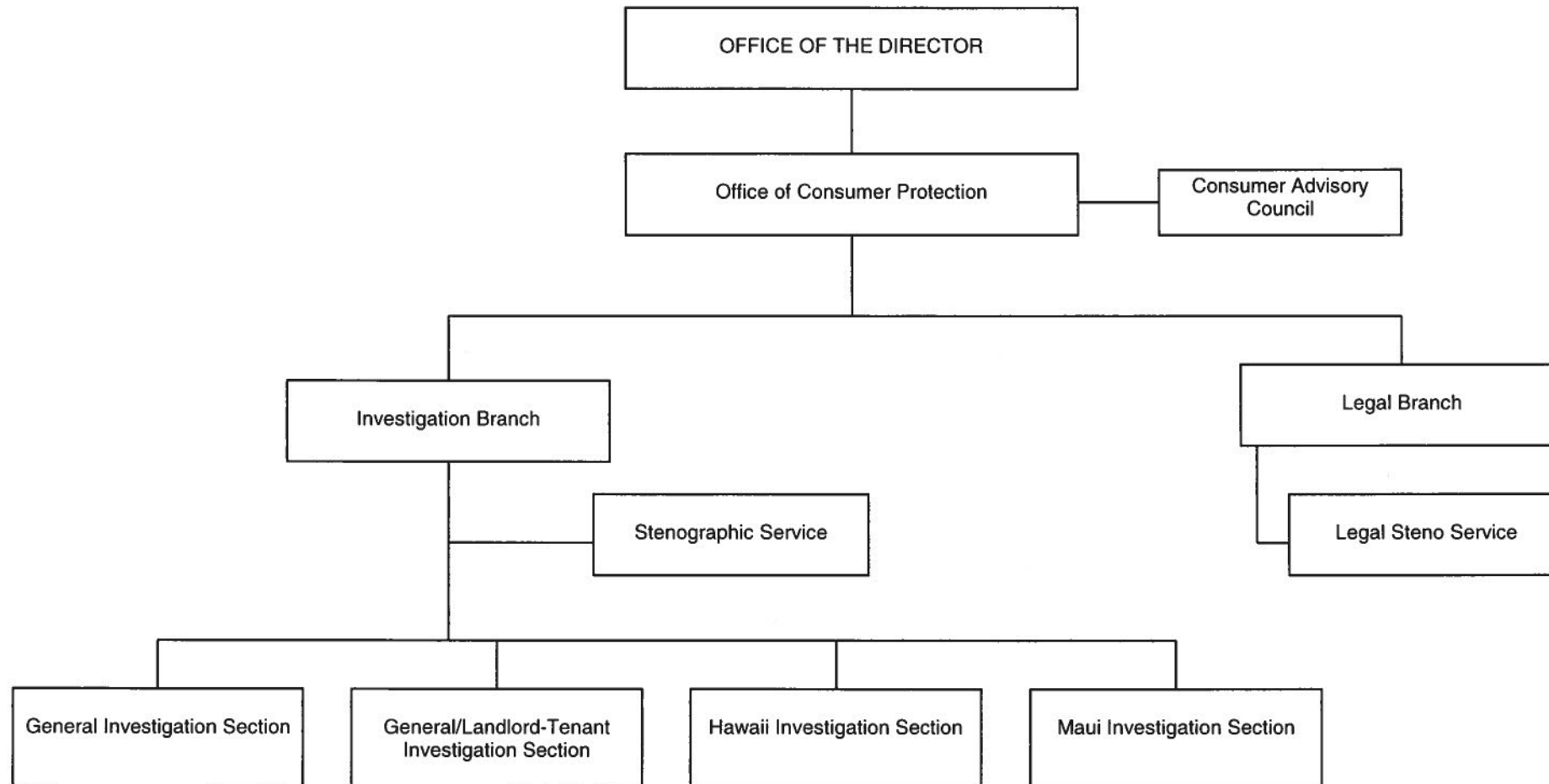
1/ Temporary civil service position, NTE June 30, 2019, pending conversion to permanent effective July 1, 2019.

2/ Temporary exempt position, NTE June 30, 2019, pending conversion to permanent effective July 1, 2019.

Note: CRF denotes Compliance Resolution Fund

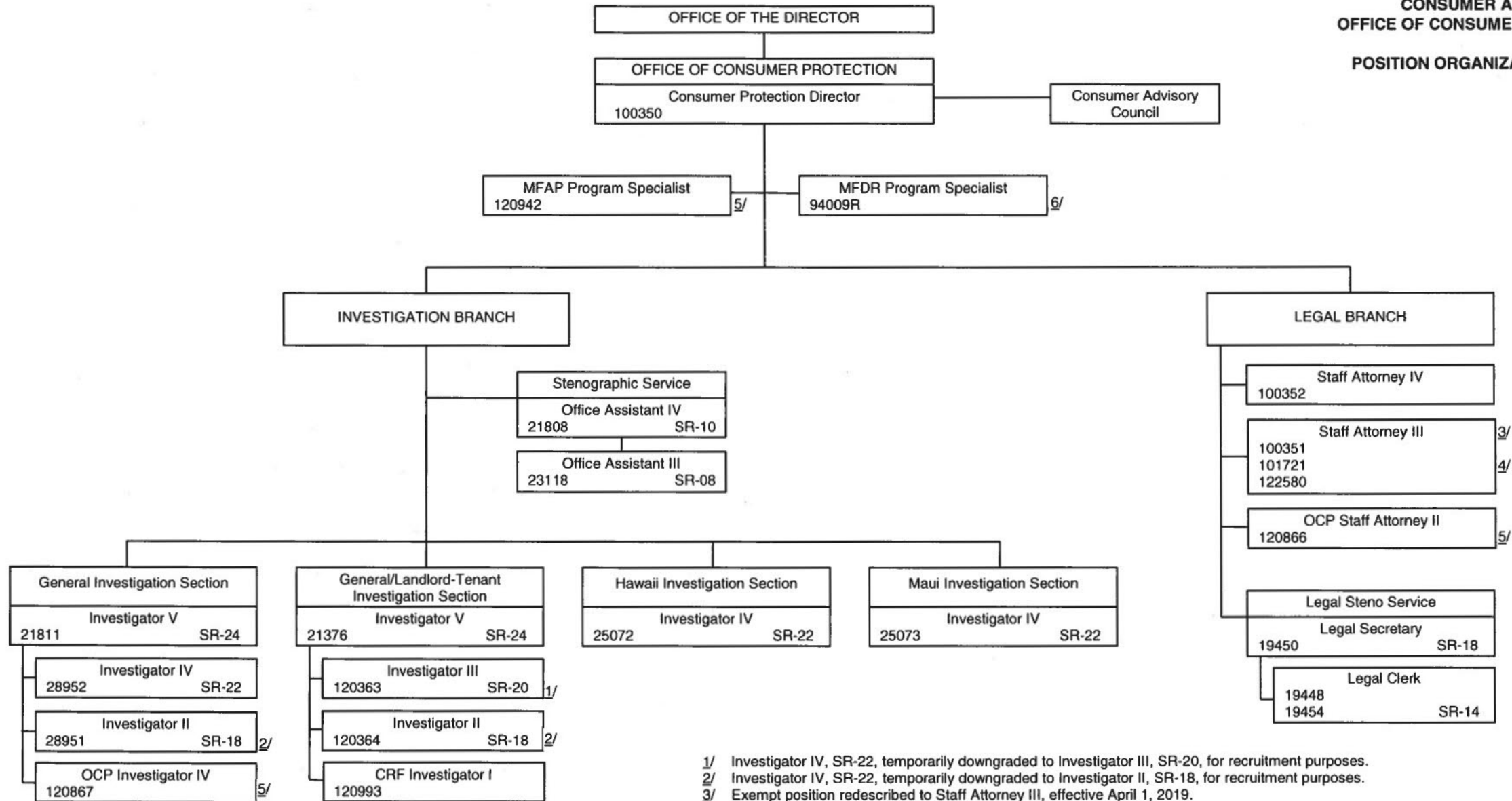
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
OFFICE OF CONSUMER PROTECTION

ORGANIZATION CHART



STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
OFFICE OF CONSUMER PROTECTION

POSITION ORGANIZATION CHART

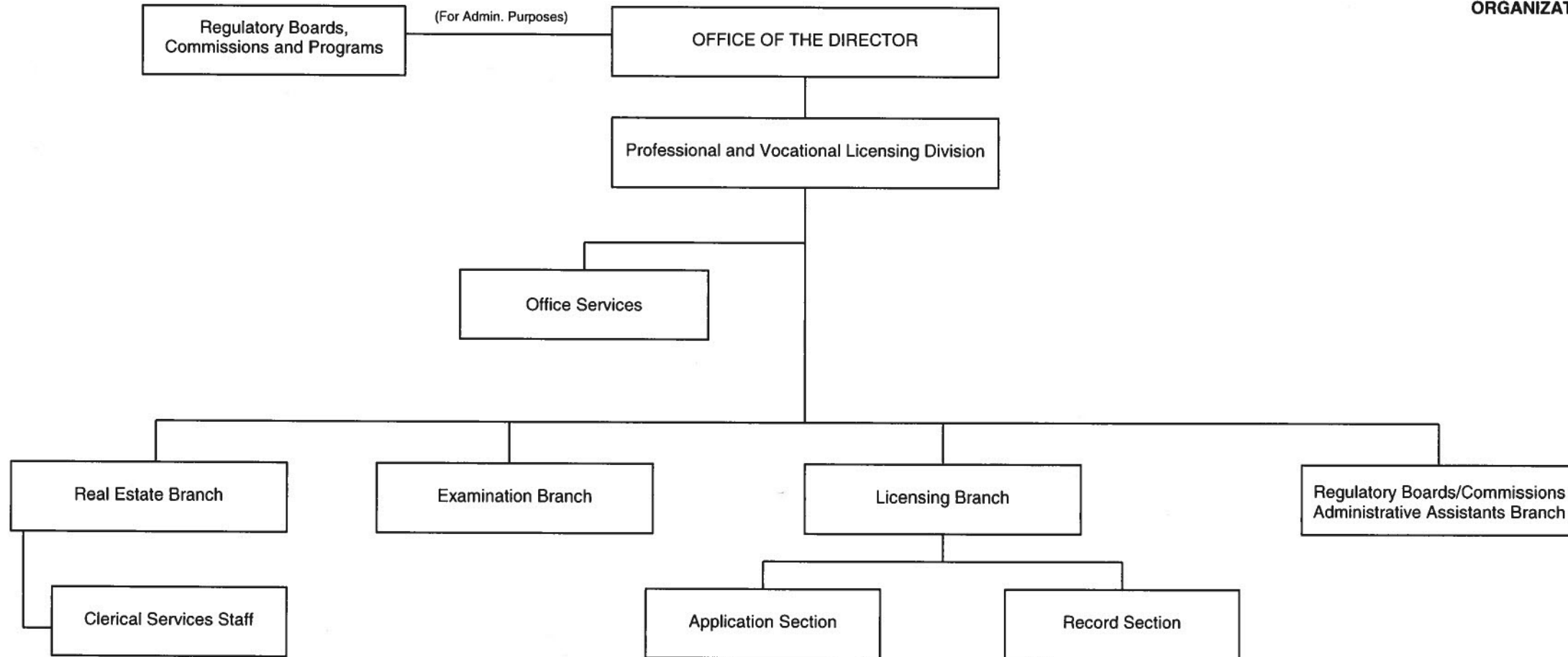


- 1/ Investigator IV, SR-22, temporarily downgraded to Investigator III, SR-20, for recruitment purposes.
- 2/ Investigator IV, SR-22, temporarily downgraded to Investigator II, SR-18, for recruitment purposes.
- 3/ Exempt position redescribed to Staff Attorney III, effective April 1, 2019.
- 4/ Temporary, exempt position, NTE June 30, 2021.
- 5/ Temporary, unbudgeted, exempt position, NTE June 30, 2021.
- 6/ Unestablished, temporary position, NTE June 30, 2021.

Note: CRF denotes Compliance Resolution Fund

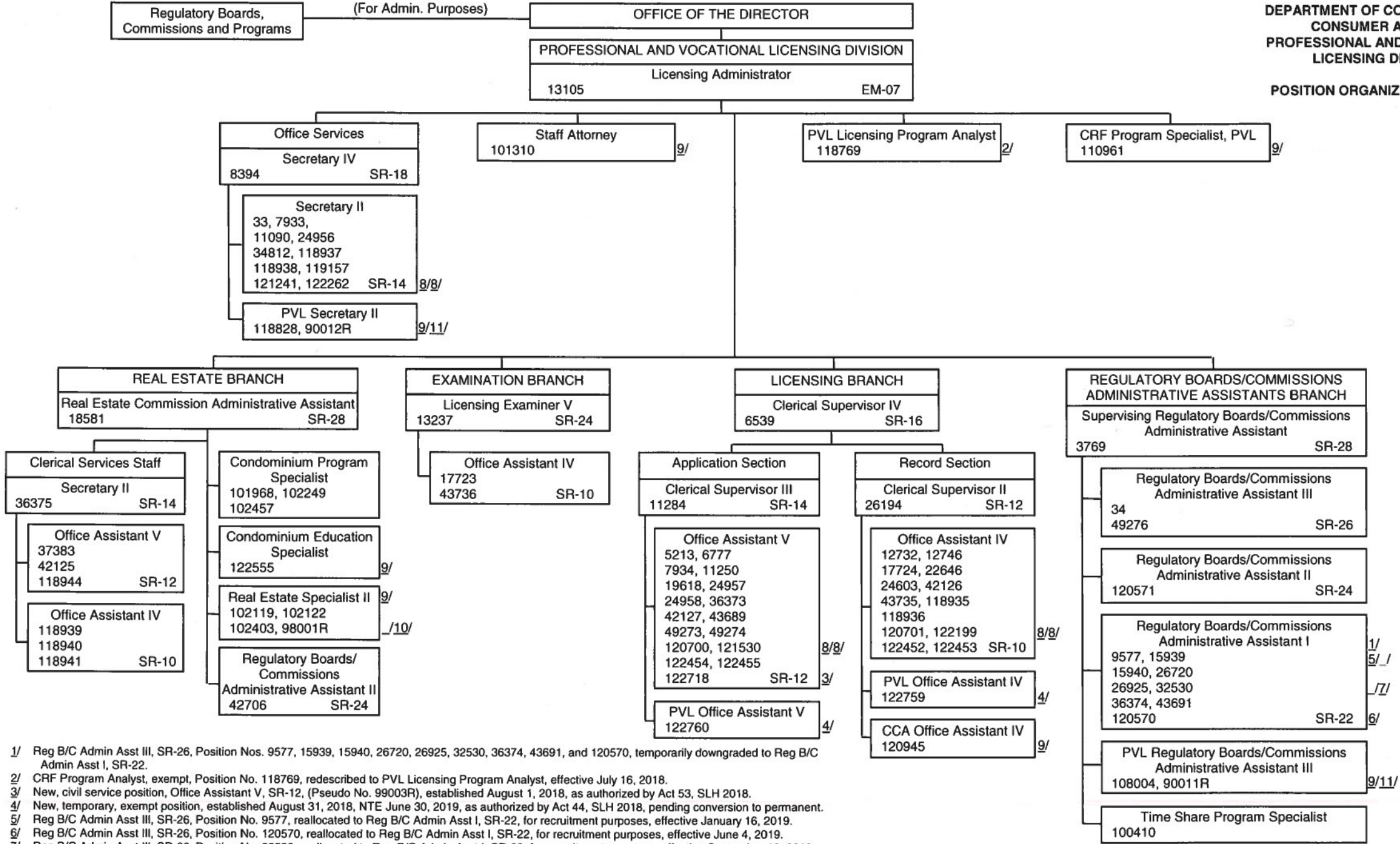
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
PROFESSIONAL AND VOCATIONAL LICENSING  
DIVISION

ORGANIZATION CHART



STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
PROFESSIONAL AND VOCATIONAL  
LICENSING DIVISION

POSITION ORGANIZATION CHART



1/ Reg B/C Admin Asst III, SR-26, Position Nos. 9577, 15939, 15940, 26720, 26925, 32530, 36374, 43691, and 120570, temporarily downgraded to Reg B/C Admin Asst I, SR-22.  
 2/ CRF Program Analyst, exempt, Position No. 118769, redescribed to PVL Licensing Program Analyst, effective July 16, 2018.  
 3/ New, civil service position, Office Assistant V, SR-12, (Pseudo No. 99003R), established August 1, 2018, as authorized by Act 53, SLH 2018.  
 4/ New, temporary, exempt position, established August 31, 2018, NTE June 30, 2019, as authorized by Act 44, SLH 2018, pending conversion to permanent.  
 5/ Reg B/C Admin Asst III, SR-26, Position No. 9577, reallocated to Reg B/C Admin Asst I, SR-22, for recruitment purposes, effective January 16, 2019.  
 6/ Reg B/C Admin Asst III, SR-26, Position No. 120570, reallocated to Reg B/C Admin Asst I, SR-22, for recruitment purposes, effective June 4, 2019.  
 7/ Reg B/C Admin Asst III, SR-26, Position No. 32530, reallocated to Reg B/C Admin Asst I, SR-22, for recruitment purposes, effective September 16, 2018.  
 8/ Temporary, civil service position, NTE June 30, 2021.  
 9/ Temporary, exempt position, NTE June 30, 2021.  
 10/ Unestablished, temporary position authorized by Act 49, SLH 2017. 11/ Pending establishment of new, temporary position, authorized by Act 32, SLH 2019.

Note: CRF denotes Compliance Resolution Fund

STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 REGULATORY BOARDS, COMMISSIONS  
 AND PROGRAMS

ORGANIZATION CHART

OFFICE OF THE DIRECTOR

(For Administrative Purposes)

REGULATORY BOARDS, COMMISSIONS AND PROGRAMS

Regulatory Boards/Commissions Administrative Assistants

Real Estate Branch

Accountancy  
 Activity Providers and Activity Desks\*  
 Acupuncture  
 Appraisal Management Companies\*  
 Athletic Trainers\*  
 Barbering and Cosmetology  
 Behavior Analysts\*  
 Boxing  
 Cemetery and Pre-need Funeral Authority\*  
 Chiropractic  
 Collection Agencies\*  
 Commercial Employment Agencies\*  
 Contractors  
 Dental  
 Dispensing Opticians\*  
 Electricians and Plumbers  
 Electrologists\*  
 Elevator Mechanics  
 Engineers, Architects, Surveyors and Landscape Architects  
 Hearing Aid Dealers and Fitters\*  
 Licensed Marriage and Family Therapists\*  
 Massage Therapy  
 Medical  
 Mental Health Counselors  
 Midwives\* (effective 7/1/19)

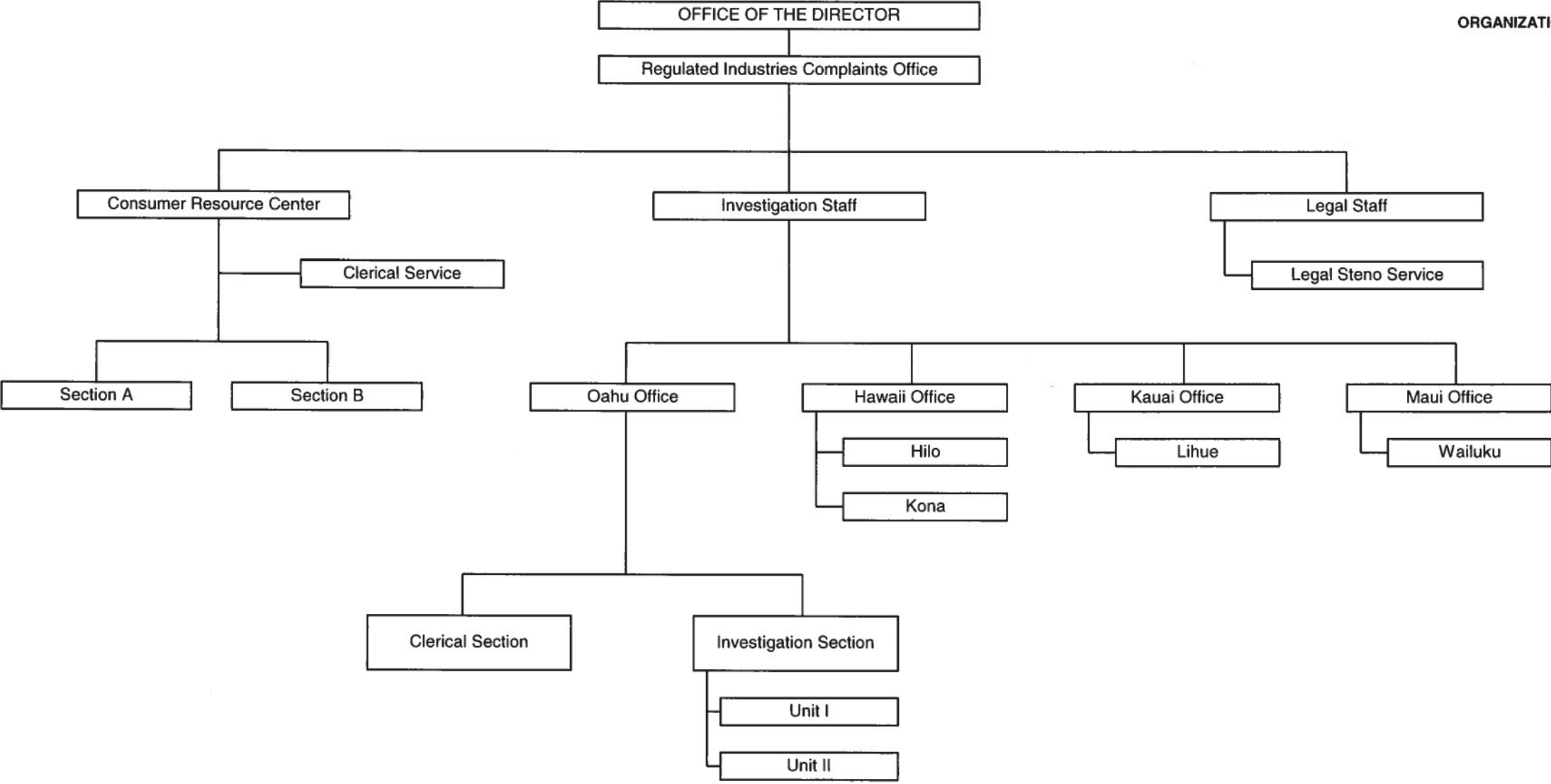
Mixed Martial Arts\*  
 Motor Vehicle Industry Licensing  
 Motor Vehicle Repair Industry Licensing  
 Naturopathic Medicine  
 Nurses Aides\*  
 Nursing  
 Nursing Home Administrators\*  
 Occupational Therapists\*  
 Optometry  
 Pest Control  
 Pharmacy  
 Physical Therapy  
 Pilotage\*  
 Private Detectives and Guards  
 Psychology  
 Real Estate Appraisers\*  
 Respiratory Therapists\*  
 Social Workers\*  
 Speech Pathologists and Audiologists  
 Subdivision\*  
 Time Sharing\*  
 Travel Agencies\*  
 Uniform Athlete Agents\*  
 Veterinary  
 Veterinary Technicians\*

Condominium Property Regimes\*  
 Limited Equity Housing Cooperatives\*  
 Real Estate



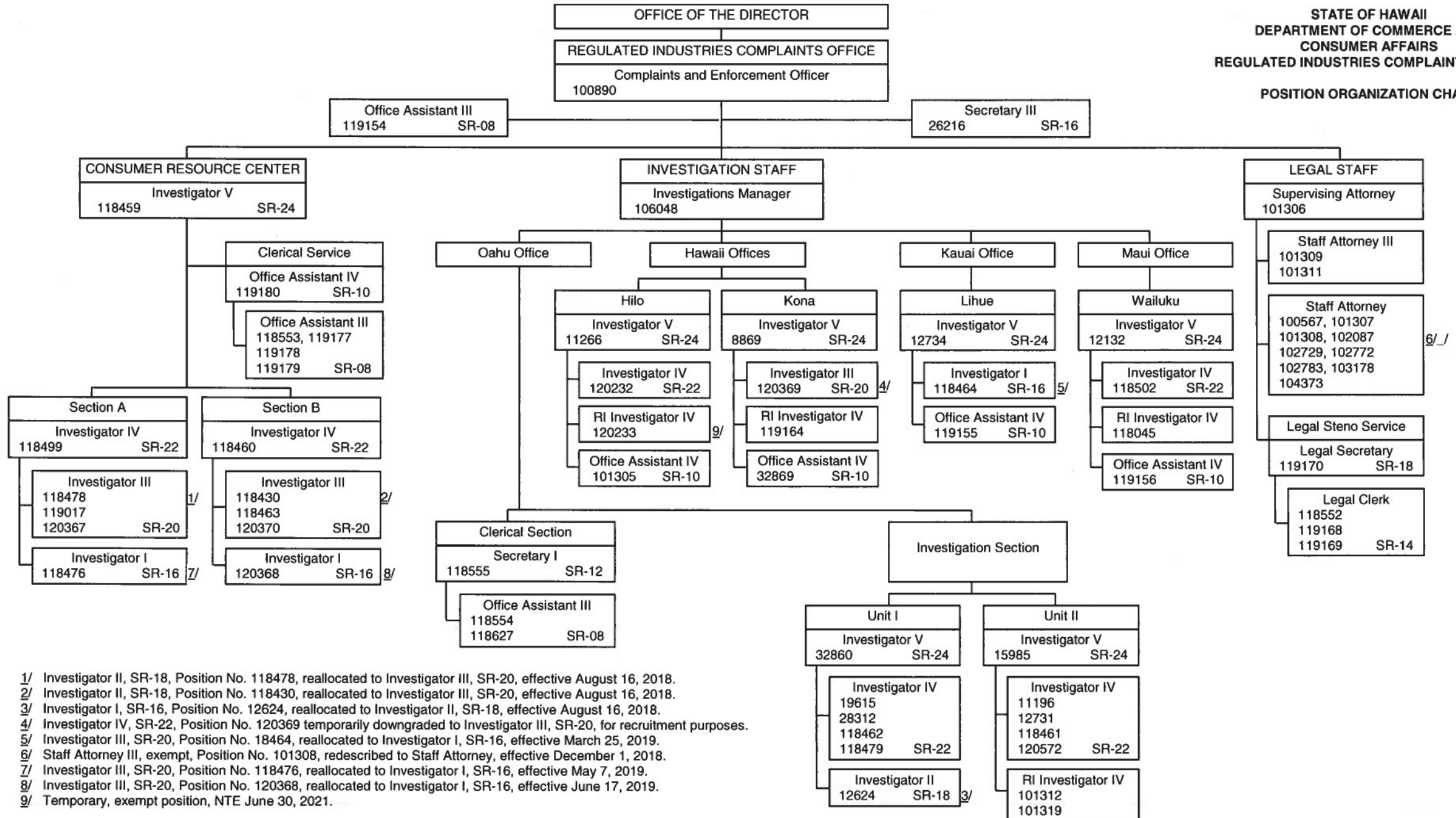
STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
REGULATED INDUSTRIES COMPLAINTS OFFICE

ORGANIZATION CHART



STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
REGULATED INDUSTRIES COMPLAINTS OFFICE

POSITION ORGANIZATION CHART

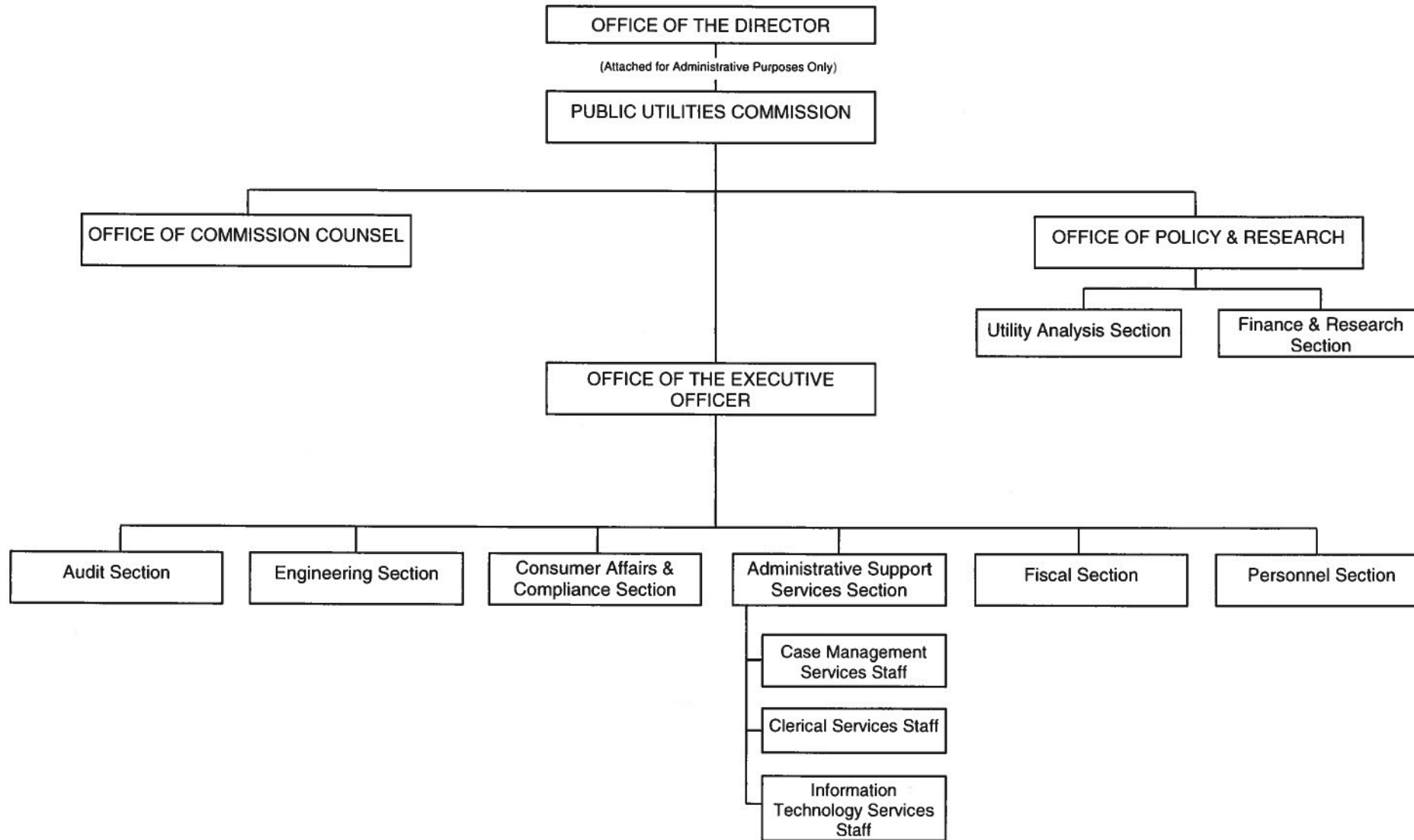


- 1/ Investigator II, SR-18, Position No. 118478, reallocated to Investigator III, SR-20, effective August 16, 2018.
- 2/ Investigator II, SR-18, Position No. 118430, reallocated to Investigator III, SR-20, effective August 16, 2018.
- 3/ Investigator I, SR-16, Position No. 12624, reallocated to Investigator II, SR-18, effective August 16, 2018.
- 4/ Investigator IV, SR-22, Position No. 120369 temporarily downgraded to Investigator III, SR-20, for recruitment purposes.
- 5/ Investigator III, SR-20, Position No. 18464, reallocated to Investigator I, SR-16, effective March 25, 2019.
- 6/ Staff Attorney III, exempt, Position No. 101308, redescribed to Staff Attorney, effective December 1, 2018.
- 7/ Investigator III, SR-20, Position No. 118476, reallocated to Investigator I, SR-16, effective May 7, 2019.
- 8/ Investigator III, SR-20, Position No. 120368, reallocated to Investigator I, SR-16, effective June 17, 2019.
- 9/ Temporary, exempt position, NTE June 30, 2021.

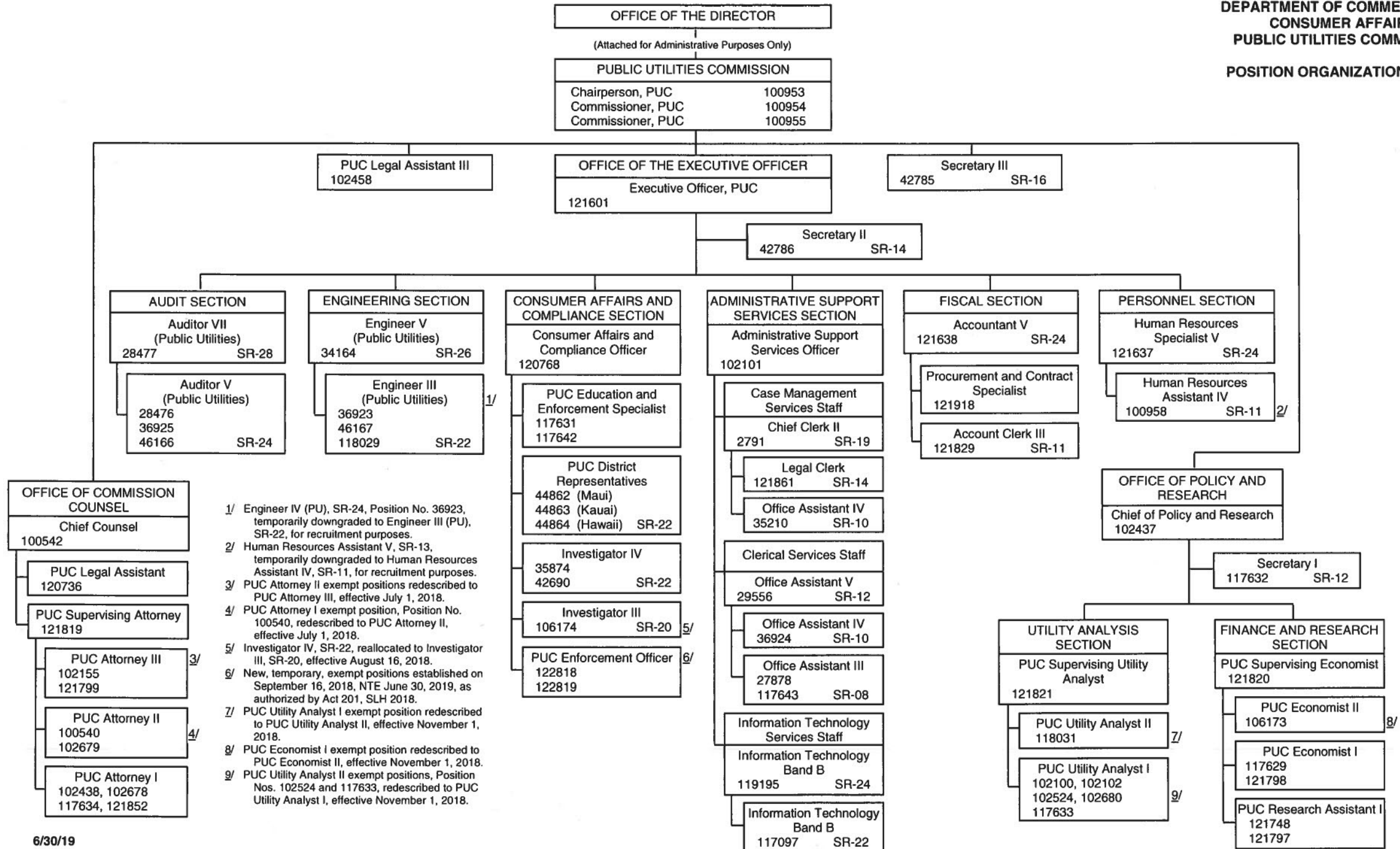
Note: RI denotes Regulated Industries

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
PUBLIC UTILITIES COMMISSION

ORGANIZATION CHART



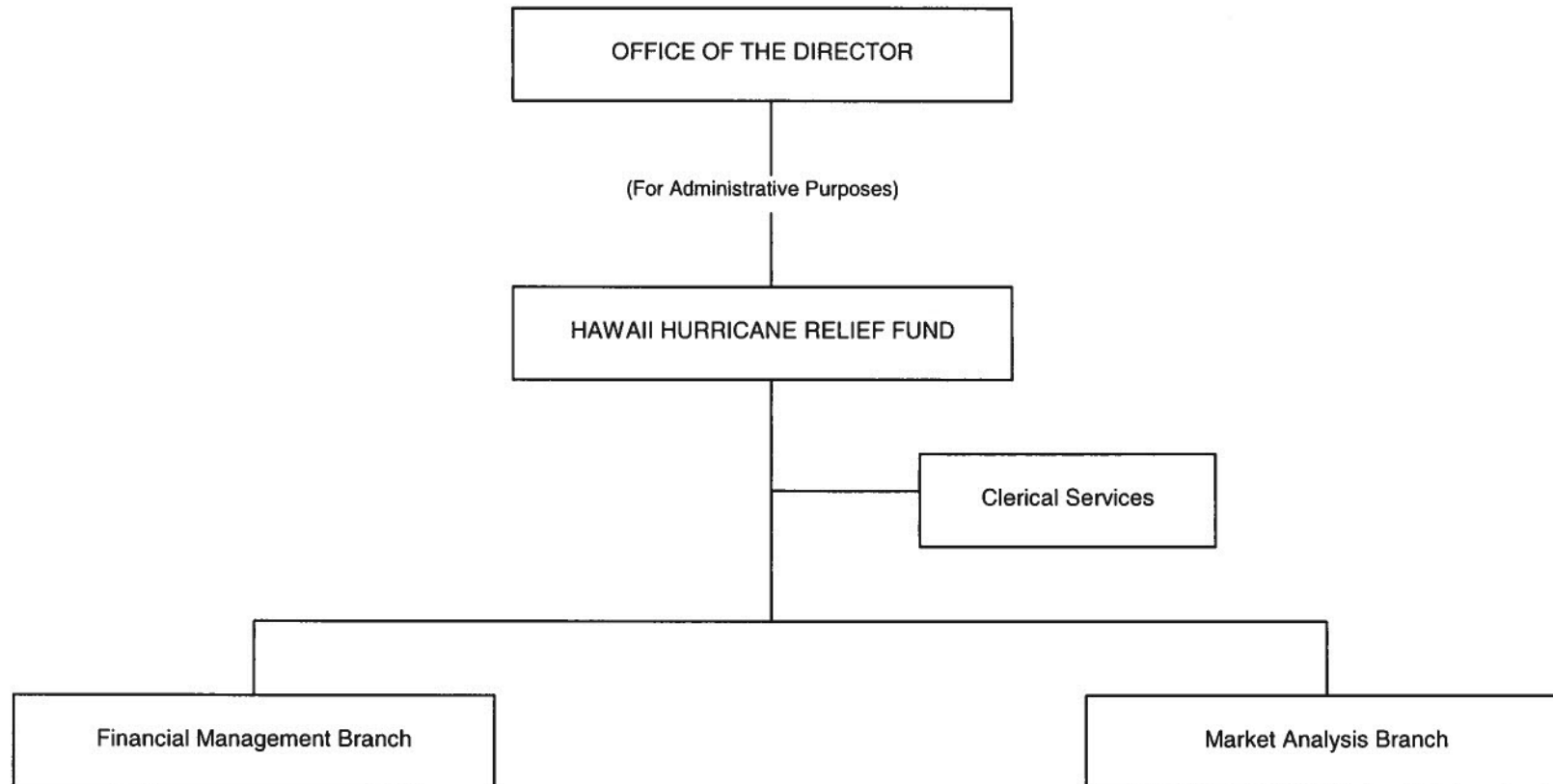
STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 PUBLIC UTILITIES COMMISSION  
 POSITION ORGANIZATION CHART



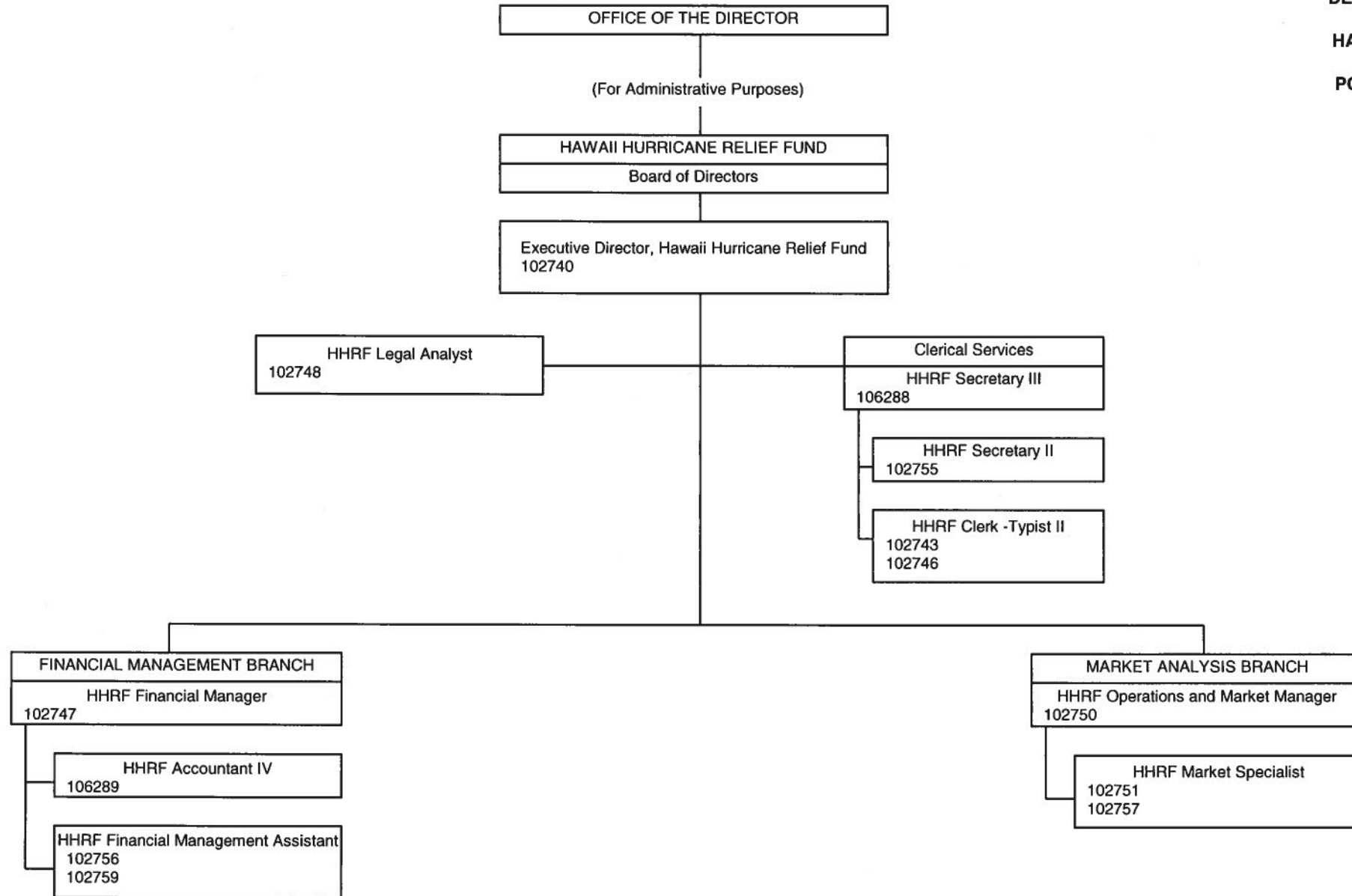
- 1/ Engineer IV (PU), SR-24, Position No. 36923, temporarily downgraded to Engineer III (PU), SR-22, for recruitment purposes.
- 2/ Human Resources Assistant V, SR-13, temporarily downgraded to Human Resources Assistant IV, SR-11, for recruitment purposes.
- 3/ PUC Attorney II exempt positions redescribed to PUC Attorney III, effective July 1, 2018.
- 4/ PUC Attorney I exempt position, Position No. 100540, redescribed to PUC Attorney II, effective July 1, 2018.
- 5/ Investigator IV, SR-22, reallocated to Investigator III, SR-20, effective August 16, 2018.
- 6/ New, temporary, exempt positions established on September 16, 2018, NTE June 30, 2019, as authorized by Act 201, SLH 2018.
- 7/ PUC Utility Analyst I exempt position redescribed to PUC Utility Analyst II, effective November 1, 2018.
- 8/ PUC Economist I exempt position redescribed to PUC Economist II, effective November 1, 2018.
- 9/ PUC Utility Analyst II exempt positions, Position Nos. 102524 and 117633, redescribed to PUC Utility Analyst I, effective November 1, 2018.

STATE OF HAWAII  
DEPARTMENT OF COMMERCE AND  
CONSUMER AFFAIRS  
HAWAII HURRICANE RELIEF FUND

ORGANIZATION CHART



STATE OF HAWAII  
 DEPARTMENT OF COMMERCE AND  
 CONSUMER AFFAIRS  
 HAWAII HURRICANE RELIEF FUND  
 POSITION ORGANIZATION CHART



Note: All positions assigned to the Hawaii Hurricane Relief Fund are unbudgeted.

Department of Commerce and Consumer Affairs  
Functions

Table 1

<u>Division</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Statutory Reference</u>
Cable Television	Compliance with Hawaii's cable television law and rules.	<ul style="list-style-type: none"> <li>• Ensure quality service for cable television subscribers by issuing new franchises, franchise renewals and transfers of franchises.</li> </ul>	CCA 102	Chapter 440G, HRS.
		<ul style="list-style-type: none"> <li>• Administer and ensure enhancement of the Institutional Network (INET)</li> </ul>		
		<ul style="list-style-type: none"> <li>• Identify new and improved services, future community needs and interests and enhancing technologies.(i.e., DBS, broadband, etc)</li> </ul>		
		<ul style="list-style-type: none"> <li>• Oversee technical inspections and establish reporting requirements.</li> </ul>		
		<ul style="list-style-type: none"> <li>• Designate public, educational, and governmental (PEG) organizations to provide PEG services and enter into contracts for such services; and provide support for PEG access and PEG services.</li> </ul>		
		<ul style="list-style-type: none"> <li>• Implement activities to promote ubiquitous access to high speed broadband at affordable prices throughout the State.</li> </ul>		
		<ul style="list-style-type: none"> <li>• Develop plans and recommend legislation to expand and accelerate deployment of broadband infrastructure; support public-private efforts to develop broadband infrastructure; work with government agencies, broadband providers, and other stakeholders to advance the provision of broadband services to un-served and under-served areas in the State.</li> </ul>		
Financial Services Regulation	Supervise and regulate, and examine financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, and mortgage loan originator companies	<ul style="list-style-type: none"> <li>• Charter, license, examine and supervise state-chartered and licensed banks, trust companies, savings banks, savings and loan associations, financial services loan companies, credit unions, and licensed escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies.</li> </ul>	CCA 104	Chapters 412, 449, 454F, 454M, 489D, HRS.
Insurance Regulatory Services	Regulatory oversight of the insurance industry.	<ul style="list-style-type: none"> <li>• Examine insurers, health maintenance organizations, rating organizations, mutual and fraternal benefit societies, advisory organizations, agencies, independent claims organizations, guaranty associations.</li> <li>• Investigate complaints of alleged violations of insurance statutes and rules and other consumer requests for assistance.</li> <li>• Conduct qualification examinations and license insurers, health maintenance organizations and all categories of producers to transact insurance.</li> <li>• Approve/disapprove policies and rate filings.</li> <li>• License, examine and regulate captive insurance companies and develop the captive industry in Hawaii.</li> </ul>	CCA 106	Chapter 287, 386, 386A, 392, 393, 431, 431E, 431K, 431L, 431M, 431N, 431P, 432, 432C, 432D, 432E, 435C, 435E, 448D, 481R, 481X and 488, HRS.

Department of Commerce and Consumer Affairs  
Functions

Table 1

<u>Division</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Statutory Reference</u>
		<ul style="list-style-type: none"> <li>Review insurance entities' financial, operating and tax statements.</li> </ul>		
		<ul style="list-style-type: none"> <li>Regulatory surveillance for market conduct and financial condition of all insurers, health maintenance organizations, and mutual and fraternal benefit societies for compliance with insurance laws.</li> </ul>		
		<ul style="list-style-type: none"> <li>Investigate of violations and enforce motor vehicle claims fraud law.</li> </ul>		
		<ul style="list-style-type: none"> <li>Administer the Hawaii Joint Underwriting Plan, including the development and promulgation of motor vehicle insurance rates.</li> </ul>		
		<ul style="list-style-type: none"> <li>Implement Federal Health Care reforms relating to Health Care Exchanges and Premium Rate Review.</li> </ul>		
Hawaii Post-Secondary Education Authorization Program	Authorization of accredited, degree-granting, post-secondary educational institutions in Hawaii and review and processing of student complaints against authorized educational institutions.	<ul style="list-style-type: none"> <li>Review applications from post-secondary educational institutions, issue authorizations and subsequent reauthorizations on a biennial basis.</li> </ul>	CCA 107	Chapter 305J, HRS.
		<ul style="list-style-type: none"> <li>Provide information to post-secondary educational institutions seeking authorization or clarification of the law and provide information to students regarding the complaints process.</li> </ul>		
		<ul style="list-style-type: none"> <li>Review complaints, investigate and initiate enforcement action as appropriate.</li> </ul>		
Office of Consumer Protection	Maintain Complaint Database; Investigate Complaints; Prosecute Legal Actions; Legislation; Consumer Education; Landlord-Tenant Information Center.	<ul style="list-style-type: none"> <li>Investigation of Complaints. Receive all complaints on a statewide basis: walk-ins, mail and telephone; evaluate complaints in relation to investigation threshold criteria; for cases meeting threshold requirements, determine all facts of complaint; determine from facts whether or not there is a violation of any consumer protection law, refer cases which, on their face, fall within the jurisdiction of another agency, and inform complainant of findings of fact and course of action to be taken, if any, by this office or another governmental agency; coordinate fact finding functions with other governmental agencies; monitor advertisements; subpoena witnesses for investigation of facts.</li> </ul>	CCA 110	Chapters 209, 290, 436M, 437, 437D, 446, 446E, 457G, 476, 477, 477E, 480, 480D, 480E, 480F, 481A, 481B, 481C, 481D, 481F, 481H, 481K, 481L, 481M, 481P, 486N, 487, 487A, 487J, 487N, 487R, 506, and 521, HRS.
		<ul style="list-style-type: none"> <li>Prosecution. Determine adequacy of evidence to prove violation prior to filing a complaint in court; negotiate Assurance of Voluntary Compliance or Consent Judgment prior to the filing of a complaint in court; take court action against entities that violate consumer protection law; obtain injunction, civil penalty and restitution for consumers in court actions and settlement of cases.</li> </ul>		



Department of Commerce and Consumer Affairs  
Functions

Table 1

<u>Division</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Statutory Reference</u>
		<ul style="list-style-type: none"> <li>Landlord-Tenant Cases. Provide Landlord-Tenant code information to callers.</li> </ul>		
		<ul style="list-style-type: none"> <li>Legislation. Promulgate rules and regulations to protect consumers; draft consumer protection legislation for the Department; recommend consumer protection legislation to the Legislature; and offer testimony on other consumer protection legislation.</li> </ul>		
Business Registration & Securities Regulation	Ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other business registration filings, and provide public access to this information; ensure compliance with and enforcement of securities and franchise laws; educate the public regarding aspects of investment fraud, precautions and where victims can get help, as part of securities enforcement and compliance efforts; and run business centers that offer personalized assistance to small and start-up businesses.	<ul style="list-style-type: none"> <li>Corporations, partnerships, trade names, limited liability companies, etc. Determine that all documents are filed in compliance with applicable statutory requirements. Assist the public in accessing information regarding documents that have been successfully filed with the Division, including implementing online access to public registration services and public documents. Provide personalized assistance to businesses registering with the Division.</li> <li>Registration of securities and franchises. Examine applications for registration of securities and franchises to determine that security or franchise offerings comply with statutory requirements; register securities, sales agents, broker-dealers, investment advisers, investment adviser representatives and franchises; examine financial reports and records of broker-dealers and investment companies; conduct investigations of reported fraud and other securities or franchise law violations and prosecute violations; and conduct investor education outreach to communities throughout Hawaii.</li> </ul>	CCA 111	Chapters 26-9(g), 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 425R, 428, 474, 482, 482E, 482P, 485A, and 519, HRS.
Regulated Industries Complaints Office	Investigation and prosecution of possible (professional and vocational) licensing law violations.	<ul style="list-style-type: none"> <li>Receives complaints, conducts investigations, and prosecutes cases alleging violations of Hawaii's professional and vocational licensing laws. Also investigates and prosecutes unlicensed activity cases.</li> <li>Provides educational information to consumers and industry members; provides real time liceninsing, business registration, and complaint history information to the public.</li> <li>Administers the State Certified Arbitration Program (lemon law program).</li> </ul>	CCA 112	Section 26-9(h), HRS.
General Support	Administration of the Department; conducts administrative hearings for the Department, administers the Medical Inquiry and Conciliation Panel, and the Design Claims Conciliation Panel.	<ul style="list-style-type: none"> <li>Provides: (1) general policy and administrative leadership, supervision and coordination of the various programs of the Department; (2) assistance in the direction and supervision of operating divisions; and (3) centralized personnel management and organizational analysis support services to operating divisions.</li> </ul>	CCA 191	Section 26-9, HRS.

Department of Commerce and Consumer Affairs  
 Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> <li>Provides: (1) centralized budgeting and accounting services; (2) centralized clerical services; (3) building management; and (4) program review, contracting and other administrative support services.</li> </ul>		
		<ul style="list-style-type: none"> <li>Conducts contested case hearings for all divisions within DCCA that are required by law to provide contested case hearings under the provisions of HRS Chapter 91.</li> </ul>		
		<ul style="list-style-type: none"> <li>Conducts contested case hearings under Chapter 103D, HRS (procurement law).</li> </ul>		
		<ul style="list-style-type: none"> <li>Convenes panels of qualified attorneys and medical professionals to review inquiries regarding health care practitioners under the provisions of HRS Chapter 671.</li> </ul>		
		<ul style="list-style-type: none"> <li>Convenes panels of qualified attorneys and design professionals to review claims against design professionals under the provisions of HRS Chapter 672B.</li> </ul>		
		<ul style="list-style-type: none"> <li>Conducts contested case hearings for the Employees' Retirement System of the State of Hawaii regarding disputes concerning benefits under the provisions of HAR Title 6, Chapters 20 and 23.</li> </ul>		
		<ul style="list-style-type: none"> <li>Provides all divisions of DCCA with: (1) information technology hardware, software and custom programming support; (2) DCCA website support, (3) telephone, and other communication services support. Coordination of DCCA's IT roadmap with ETS and State's plan.</li> </ul>		
Public Utilities Commission (transferred to DCCA from B&F as an attached agency pursuant to Act108/14):	Ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.	<ul style="list-style-type: none"> <li>Establish rules and regulations pertaining to service standards.</li> <li>Render decisions on rates, fares, and charges.</li> </ul>	CCA 901	HRS Chapter 269-6, 269-7, 269-7.5, 269-16, 269-16.9, 269-33
		<ul style="list-style-type: none"> <li>Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies.</li> </ul>		
		<ul style="list-style-type: none"> <li>Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction.</li> </ul>		
		<ul style="list-style-type: none"> <li>Maintain the fiscal integrity of the Public Utilities Commission Special Fund.</li> </ul>		

Department of Commerce and Consumer Affairs  
Functions

Table 1

<u>Division</u>	<u>Description of Function</u>	<u>Activities</u>	<u>Prog ID(s)</u>	<u>Statutory Reference</u>
Consumer Advocate for Comm, Util & Tran Svcs	Ensure the provision of reliable regulated service at reasonable cost to ratepayers, and educate consumers regarding aspects of public utility regulation.	<ul style="list-style-type: none"> <li>Advocate positions on behalf of consumers of utility and transportation services before the State of Hawaii Public Utilities Commission (“PUC”), the Federal Communications Commission (“FCC”), and other federal, state and local regulatory agencies. Positions are developed and advocated concerning: (a) Applications for authority to offer new services; (b) Rate and fare proposals; (c) Revenue and earnings requirements of regulated utilities; (d) Financing of equipment, plant, and other facilities, (e) Review of capital improvement projects; (f) Transfers, extensions, and cancellations of permits, franchises, and certificates; (g) Regulations governing regulated industries; (h) Formulation of policies and long range planning for telecommunications and energy utilities; (i) service quality; and (j) agreements and contracts between a regulated company and suppliers and/or other parties.</li> </ul>	CCA 103	Sections 269-51 through 269-55, HRS.
		<ul style="list-style-type: none"> <li>Compliance investigations are conducted regarding the adequacy and efficiency of utility services.</li> </ul>		
		<ul style="list-style-type: none"> <li>Education of consumers relating to utility regulation and consumer rights through web site, newsletters, sponsored events, and attendance at trade-shows, fairs, business and community meetings, etc.</li> </ul>		
		<ul style="list-style-type: none"> <li>Equitably advance and advocate clean energy and broadband initiative goals.</li> </ul>		
Professional & Vocational Licensing	Receive and review applications for professional and vocational licenses, condominium, real estate subdivision and time share projects; prepare & analyze legislative proposals to amend professional and vocational licensing laws; and update and evaluate examinations.	<ul style="list-style-type: none"> <li>License and regulate 52 different professions and vocations. Provide administrative support to 25 regulatory licensing boards and, on behalf of the director of the department, administer 27 regulatory licensing programs.</li> <li>The trust funds are dedicated to specific statutory purposes to educate the licensees who are the contributors to the fund, serve as a source of monetary recovery for injured consumers, or support increased government service demands by licensees. One fund is federally mandated to collect fees on its behalf, with revenues going to the federal agency.</li> </ul>	CCA 105	Chapters 373, 436B, 436E, 436H, 437, 437B, 438, 439, 440, 440E, 441, 442, 443B, 444, 447, 448, 448E, 448F, 448H, 451A, 451D, 451J, 452, 453, 453D, 455, 457, 457A, 457B, 457G, 457J, 458, 459, 460, 460J, 461, 461J, 462A, 463, 463E, 464, 465, 465D, 466, 466D, 466K, 466L, 467, 467E, 468E, 468L, 468M, 471, 472, 481E, 484, 514A, 514B and 514E, HRS.

Department of Commerce and Consumer Affairs  
Department-Wide Totals

Table 2

Fiscal Year 2020					
Budget Acts Appropriation	Reductions	Additions	Emergency Appropriations	Total FY20	MOF
\$ 87,000,503.00				\$ 87,000,503.00	B
\$ 251,000.00				\$ 251,000.00	P
\$ 3,407,525.00				\$ 3,407,525.00	T
				\$ -	
				\$ -	
				\$ -	
\$ 90,659,028.00	\$ -	\$ -	\$ -	\$ 90,659,028.00	Total
Fiscal Year 2021					
Budget Acts Appropriation	Reductions	Additions		Total FY21	MOF
\$ 86,181,103.00		\$ 6,460,620.00		\$ 92,641,723.00	B
\$ 251,000.00				\$ 251,000.00	P
\$ 3,407,525.00		\$ 946,000.00		\$ 4,353,525.00	T
				\$ -	
				\$ -	
				\$ -	
\$ 89,839,628.00	\$ -	\$ 7,406,620.00	\$ -	\$ 97,246,248.00	Total

Department of Commerce and Consumer Affairs  
Program ID Totals

Table 3

Prog ID	Program Title	MOF	As budgeted (FY20)			As budgeted (FY21)			Governor's Submittal (FY20)				Governor's Submittal (FY21)			
			Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$
CCA102	Cable Television	B	8.00		\$ 2,648,986	8.00	-	\$ 2,648,986	8.00		\$ 2,648,986	0	7.00	-	\$ 2,519,616	-5%
CCA102	Cable Television	T			\$ 1,000			\$ 1,000			\$ 1,000	0			\$ 898,000	89700%
CCA103	Consumer Advocate for Comm, Util, & Tran Svc	B	25.00		\$ 4,494,086	25.00	-	\$ 4,494,086	25.00		\$ 4,494,086	0	25.00	-	\$ 4,494,086	0%
CCA104	Financial Services Regulation	B	40.00		\$ 5,175,488	40.00	-	\$ 5,175,488	40.00		\$ 5,175,488	0	40.00	-	\$ 5,225,488	1%
CCA104	Financial Services Regulation	T			\$ 301,000	-	-	\$ 301,000			\$ 301,000	0	-	-	\$ 301,000	0%
CCA105	Professional & Vocational Licensing	B	63.00	11.00	\$ 7,892,944	63.00	11.00	\$ 7,892,944	63.00	11.00	\$ 7,892,944	0	63.00	11.00	\$ 7,892,944	0%
CCA105	Professional & Vocational Licensing	T	8.00	5.00	\$ 2,803,844	8.00	5.00	\$ 2,803,844	8.00	5.00	\$ 2,803,844	0	8.00	5.00	\$ 2,803,844	0%
CCA106	Insurance Regulatory Services	B	95.00	1.00	\$ 21,361,950	95.00	1.00	\$ 19,361,950	95.00	1.00	\$ 21,361,950	0	93.00	1.00	\$ 19,166,033	-10%
CCA106	Insurance Regulatory Services	P		6.00	\$ 251,000	-	6.00	\$ 251,000		6.00	\$ 251,000	0	-	6.00	\$ 251,000	0%
CCA106	Insurance Regulatory Services	T			\$ 201,000	-	-	\$ 201,000			\$ 201,000	0	-	-	\$ 250,000	24%
CCA 107	Hawaii Post-Secondary Education Authorization Program	B	2.00		\$ 297,904	2.00	-	\$ 297,904	2.00		\$ 297,904	0	2.00	-	\$ 297,904	0%
CCA 110	Office of Consumer Protection	B	18.00	1.00	\$ 2,645,483	18.00	1.00	\$ 2,645,483	18.00	1.00	\$ 2,645,483	0	20.00	1.00	\$ 3,016,338	14%
CCA 110	Office of Consumer Protection	T			\$ 100,681	-	-	\$ 100,681			\$ 100,681	0	-	-	\$ 100,681	0%
CCA 111	Business Registration and Securities Regulation	B	79.00		\$ 8,470,957	79.00	-	\$ 8,470,957	79.00		\$ 8,470,957	0	79.00		\$ 12,025,509	42%
CCA 112	Regulated Industries Complaints Office	B	66.00	1.00	\$ 7,800,160	66.00	1.00	\$ 7,500,160	66.00	1.00	\$ 7,800,160	0	66.00	1.00	\$ 7,575,872	-3%
CCA 191	General Support	B	50.00	1.00	\$ 8,525,388	50.00	1.00	\$ 8,450,388	50.00	1.00	\$ 8,525,388	0	51.00	1.00	\$ 10,935,176	28%
CCA 901	Public Utilities Commission (PUC)	B	67.00		\$ 17,687,157	67.00	-	\$ 19,242,757	67.00		\$ 17,687,157	0	67.00	-	\$ 19,492,757	10%
	Special Fund Total	B	513.00	15.00	\$ 87,000,503	513.00	15.00	\$ 86,181,103	513.00	15.00	\$ 87,000,503	0	513.00	15.00	\$ 92,641,723	6.48%
	Trust Fund Total	T	8.00	5.00	\$ 3,407,525	8.00	5.00	\$ 3,407,525	8.00	5.00	\$ 3,407,525	0	8.00	5.00	\$ 4,353,525	27.76%
	Other Federal Fund Total	P	0.00	6.00	\$ 251,000	0.00	6.00	\$ 251,000	0.00	6.00	\$ 251,000	0	0.00	6.00	\$ 251,000	0.00%
	<b>TOTAL</b>		<b>521.00</b>	<b>26.00</b>	<b>\$ 90,659,028</b>	<b>521.00</b>	<b>26.00</b>	<b>\$ 89,839,628</b>	<b>521.00</b>	<b>26.00</b>	<b>\$ 90,659,028</b>	<b>0</b>	<b>521.00</b>	<b>26.00</b>	<b>\$ 97,246,248</b>	<b>7.27%</b>

Department of Commerce and Consumer Affairs  
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Requests						Budget and Finance Recommendations						Governor's Decision					
				FY20			FY21			FY20			FY21			FY20			FY21		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA-102	FA	Transfer 1 position from CATV to OCP	B				(1.00)		\$ (129,370)				(1.00)		\$ (129,370)				(1.00)		\$ (129,370)
CCA-110	DA	Transfer 1 position from CATV to OCP	B				1.00		\$ 129,370				1.00		\$ 129,370				1.00		\$ 129,370
CCA-106	EA	Transfer 1 position from INS to OCP	B				(1.00)		\$ (141,485)				(1.00)		\$ (141,485)				(1.00)		\$ (141,485)
CCA-110	DA	Transfer 1 position from INS to OCP	B				1.00		\$ 141,485				1.00		\$ 141,485				1.00		\$ 141,485
CCA-106	EA	Transfer 1 position from INS to GS/ASO	B				(1.00)		\$ (54,432)				(1.00)		\$ (54,432)				(1.00)		\$ (54,432)
CCA-191	AA	Transfer 1 position from INS to GS/ASO	B				1.00		\$ 58,771				1.00		\$ 54,432				1.00		\$ 58,771
CCA-111	CA	Business Registration Information Management System (BRIMS) and Registration Documents Processing	B						\$ 3,500,000						\$ 3,500,000						\$ 3,500,000
CCA-110	DA	Community Outreach Events	B						\$ 50,000						\$ -						\$ 50,000
CCA-901	MA	PUC Consultants	B						\$ 250,000						\$ 250,000						\$ 250,000
CCA-191	AA	Building Maintenance and emergency preparedness grant matching	B						\$ 2,000,000						\$ 2,000,000						\$ 2,000,000
CCA-112	AB	Additional Funding for Program Specialist	B						\$ 47,616						\$ 47,616						\$ 47,616
CCA-106	EA	Insurance Community Outreach	T						\$ 49,000						\$ 49,000						\$ 49,000
CCA-102	FA	Mobile WiFi Lending Pilot Program and INET infrastructure costs	T						\$ 897,000						\$ 897,000						\$ 897,000
CCA-112	AB	Additional Funding for Legal Assistant	B						\$ 28,096						\$ 28,096						\$ 28,096
CCA-104	BA	Document Management System	B						\$ 50,000						\$ 50,000						\$ 50,000
CCA-191	AI	Firewall server hardware non-recurring	B						\$ 60,000						\$ 60,000						\$ 60,000
CCA-191	AI	Server and printers recurring	B						\$ 50,000						\$ 50,000						\$ 50,000
CCA-191	AI	Intergration Platform (iPaaS) software recurring	B						\$ 50,000						\$ 50,000						\$ 50,000
CCA-110	DA	CSA	B						\$ 50,000						\$ 50,000						\$ 50,000
CCA-111	CA	Fringe benefits	B						\$ 245,571						\$ 33,377						\$ 245,571
CCA-191	AA	Fringe benefits	B						\$ 16,121						\$ 16,121						\$ 16,121
CCA-191	AH	Fringe benefits	B						\$ 4,325						\$ -						\$ 4,325
CCA-191	AI	Fringe benefits	B						\$ 54,552						\$ -						\$ 54,552

Department of Commerce and Consumer Affairs  
Proposed Budget Reductions

Table 5

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Description of Reduction</u>	<u>Impact of Reduction</u>	<u>MOF</u>	<u>FY20</u>			<u>FY21</u>			<u>FY19 Restriction (Y/N)</u>
					<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$\$</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$\$</u>	
		NONE									

Department of Commerce and Consumer Affairs  
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept- Wide Priority	Description of Addition	Justification	MOF	FY20			FY21			
								Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
CCA-191	AA	AR			Transfer 1 position from INS to GS/ASO		B							\$ 4,339
CCA-111	CA	AR	1	1	Business Registration Information Management System (BRIMS) and Registration Documents Processing Management System (RDPMS)	Increase in ceiling is being requested for the replacement of the Business Registration Division's (BREG) Business Registration Information Management (BRIMS) and Registration Documents Processing Management (RDPMS) systems with a unified system.	B							\$ 3,500,000
CCA-110	DA	AR	1	2	Community Outreach Events	Allows the state's Consumer Protector to provide greater outreach of information to the public and hold events for consumer protection.	B							\$ 50,000
CCA-901	MA	AR	1	3	PUC Consultants	The PUC requires the expertise brought by specialized consultants for matters relating to major utility dockets.	B							\$ 250,000
CCA-191	AA	AR	1	4	Building Maintenance and emergency preparedness grant matching	One-time ceiling increase requested for: replacement of the cooling tower in the King Kalakaua Building as leaking and constant repairs have damaged other parts of the building; roof repairs to the King Kalakaua Building related to damage from Hurricane Lane; and matching funding if DCCA is awarded a FEMA emergency preparedness grant to harden the roof and disaster operations center in the King Kalakaua Building.	B							\$ 2,000,000
CCA-112	AB	AR	1	5	Additional Funding for Program Specialist	Office Assistant 118554 is being re-described into a program specialist.	B							\$ 47,616
CCA-106	EA	AR	1	6	Insurance Community Outreach	The Insurance Commissioner anticipates attending more training and educational workshops and increasing community outreach efforts.	T							\$ 49,000
CCA-102	FA	NR	1	7	Mobile WiFi Lending Pilot Program and INET infrastructure costs	The purpose of this request is to: (1) expand broadband access by partnering with HSPLS to establish a Mobile WiFi Hotspot Lending Pilot Program; and (2) offset some of the anticipated financial impacts resulting from the FCC's 2019 Franchise Fees Order with respect to the INET.	T							\$ 897,000
CCA-112	AB	AR	2	8	Additional Funding for Legal Assistant	Additional funds are needed to pay for the re-description of an Office Assistant to Legal Assistant.	B							\$ 28,096
CCA-104	BA	AR	1	9	Document Management System	An increase of \$50,000 in ceiling is required to purchase and integrate a document management system into DFI's licensing and regulatory systems.	B							\$ 50,000
CCA-191	AI	NR	1	10	Firewall server hardware non-recurring	Current IT security Checkpoint Firewall hardware and associated appliances have reached end of support life cycle and require replacement.	B							\$ 60,000
CCA-191	AI	AR	2	11	Server and printers recurring	This budget request is for annual replacement of network servers and printers that have ended useful life and can no longer be supported and maintained.	B							\$ 50,000
CCA-191	AI	AR	3	12	Intergration Platform (iPaaS) software recurring	The purpose of this software is to provide an IT integration platform to facilitate the transition to new systems.	B							\$ 50,000
CCA-110	DA	AR	2	13	CSA	Central services assessment is based on a percentage of collected revenue, which continues to increase.	B							\$ 50,000
CCA-111	CA	AR	2	14	Fringe benefits	Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits.	B							\$ 245,571
CCA-191	AA	AR	2	15	Fringe benefits	Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits.	B							\$ 16,121
CCA-191	AH	AR	1	16	Fringe benefits	Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits.	B							\$ 4,325
CCA-191	AI	AR	4	17	Fringe benefits	Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits.	B							\$ 54,552



Department of Commerce and Consumer Affairs  
 FB 2017 - 2020 Restrictions

Table 7

<u>Fiscal Year</u>	<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by Dept</u>	<u>Restriction</u>	<u>Difference Between Budgeted &amp; Restricted</u>	<u>Percent Difference</u>	<u>Impact</u>
				NONE				

Department of Commerce and Consumer Affairs  
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
	NONE					

Department of Commerce and Consumer Affairs  
Expenditures Exceeding Appropriation Ceilings in FY19 and FY20

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
			NONE						

Department of Commerce and Consumer Affairs  
 Intradepartmental Transfers in FY19 and FY20

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
						NONE				



Department of Commerce and Consumer Affairs  
 Vacancy Report as of November 30, 2019

Table 11

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Describe if Filled by other Means	Priority # to Retain
CCA 901	MA	03/01/17	03/02/20	117632	SECRETARY I	N	12	03	P	1.00	B	\$ 35,340.00	\$ 31,740.00	Y	N	--		56
CCA 901	MA	11/13/19	02/03/20	121918	PROCUREMENT & CONTRACT SPCLT	Y	NA	13	P	1.00	B	\$ 41,856.00	\$ 41,856.00	Y	N	--		57
CCA 901	MA	11/12/19	02/03/20	27878	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 30,240.00	\$ 30,240.00	Y	N	--		58
Filled Positions																		
CCA 105	GA	10/23/19	12/16/19	119157	SECRETARY II	N	14	03	P	1.00	B	\$ 38,220.00	\$ 38,220.00	Y	N	--		
CCA 106	EA	11/01/18	12/16/19	118637	CIAF INSURANCE PROGRAM SPECIALIST	Y	NA	13	P	1.00	B	\$ 72,528.00	\$ 72,528.00	Y	N	--		
CCA 110	DA	12/11/18	12/16/19	120364	INVESTIGATOR II	N	18	13	P	1.00	B	\$ 45,288.00	\$ 45,288.00	Y	N	--		
CCA 112	AB	06/07/19	12/16/19	101309	STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 85,236.00	\$ 85,236.00	Y	N	--		
CCA 901	MA	11/13/19	12/16/19	121797	PUC RESEARCH ASSISTANT I	Y	NA	13	P	1.00	B	\$ 63,828.00	\$ 63,828.00	Y	N	--		
Offers Accepted																		
CCA 105	GA	09/04/19	01/20/20	17724	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 32,664.00	\$ 32,664.00	Y	N	--		
CCA 105	GA	12/01/18	02/03/20	36374	REGULATORY BDS/COMMS ADMIN ASST I	N	22	13	P	1.00	B	\$ 57,324.00	\$ 57,324.00	Y	N	--		
CCA 105	GA	10/16/19	02/01/20	102122	REAL ESTATE SPECIALIST II	Y	NA	13	T	1.00	T	\$ 62,004.00	\$ 62,004.00	Y	N	--		
CCA 105	GA	10/12/19	02/03/20	120945	CCA OFFICE ASSISTANT IV	Y	NA	03	T	1.00	B	\$ 32,664.00	\$ 32,664.00	Y	N	--		
CCA 110	DA	12/31/17	02/16/20	25073	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 59,616.00	\$ 73,776.00	Y	N	--		
CCA 111	CA	01/02/19	01/06/20	29154	BUSINESS REGISTRATION ASSISTANT	N	15	03	P	1.00	B	\$ 39,720.00	\$ 52,296.00	Y	N	--		
CCA 112	AB	07/16/18	02/01/20	120232	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 52,956.00	\$ 52,956.00	Y	N	--		
CCA 191	AI	11/16/19	01/31/20	102769	INFORMATION SYSTEMS MANAGER	Y	NA	73	P	1.00	B	\$ 122,052.00	\$ 122,052.00	Y	N	--		

Positions Established by Acts other than the State Budget as of November 30, 2019

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
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NONE

Department of Commerce and Consumer Affairs  
Overtime Expenditure Summary

Table 13

Prog ID	Sub-Org	Program Title	MOF	FY18 (actual)			FY19 (actual)			FY20 (estimated)			FY21 (budgeted)		
				Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent
CCA102	FA	Cable Television	B	\$ 653,051		0.0%	\$ 653,051		0.0%	\$ 658,044	\$ 5,000	0.8%	\$ 658,044	\$ 5,000	0.8%
CCA103	HA	Consumer Advocate for Commu	B	\$ 1,678,779	\$ -	0.0%	\$ 1,621,611		0.0%	\$ 1,776,628	\$ 25,000	1.4%	\$ 1,776,628	\$ 25,000	1.4%
CCA104	BA	Financial Services Regulation	B	\$ 2,567,001	\$ 779	0.0%	\$ 2,656,001	\$ 1,747	0.1%	\$ 2,740,164	\$ 13,000	0.5%	\$ 2,740,164	\$ 13,000	0.5%
CCA105	GA	Professional & Vocational Licens	B	\$ 3,470,742	\$ 42,084	1.2%	\$ 3,565,422	\$ 21,689	0.6%	\$ 3,640,510	\$ 70,101	1.9%	\$ 3,650,626	\$ 70,101	1.9%
CCA105	GA	Professional & Vocational Licens	T	\$ 630,049		0.0%	\$ 682,549		0.0%	\$ 713,292	\$ 21,360	3.0%	\$ 713,292	\$ 21,360	3.0%
CCA106	EA	Insurance Regulatory Services	B	\$ 5,054,758	\$ 638	0.0%	\$ 5,098,576	\$ 2,026	0.0%	\$ 5,517,886	\$ 13,764	0.2%	\$ 5,395,438	\$ 13,764	0.3%
CCA107	IA	Hawaii Post-Secondary Educatio	B	\$ 135,229	\$ -	0.0%	\$ 135,229		0.0%	\$ 141,036	\$ -	0.0%	\$ 141,036	\$ -	0.0%
CCA110	DA	Protection of the Consumer	B	\$ 1,198,750	\$ -	0.0%	\$ 1,237,522		0.0%	\$ 1,273,032	\$ -	0.0%	\$ 1,442,316	\$ -	0.0%
CCA111	CA	Business Registration	B	\$ 3,846,629	\$ 20,119	0.5%	\$ 3,952,915	\$ 23,016	0.6%	\$ 4,231,853	\$ 60,000	1.4%	\$ 4,231,853	\$ 60,000	1.4%
CCA112	AB	Regulated Industries Complaints	B	\$ 3,944,303	\$ 10	0.0%	\$ 3,944,303		0.0%	\$ 4,042,706	\$ 15,000	0.4%	\$ 4,090,026	\$ 15,000	0.4%
CCA191	AA	Director's Office & Administratic	B	\$ 1,559,533	\$ 1,115	0.1%	\$ 1,612,489	\$ 2,502	0.2%	\$ 1,760,004	\$ 20,000	1.1%	\$ 1,796,736	\$ 20,000	1.1%
CCA191	AH	General Support/Office of Admini	B	\$ 636,860		0.0%	\$ 636,860		0.0%	\$ 97,680	\$ 5,000	5.1%	\$ 697,680	\$ 5,000	0.7%
CCA191	AI	Info Systems & Communications	B	\$ 1,138,520	\$ 3,627	0.3%	\$ 1,138,520	\$ 28,422	2.5%	\$ 1,181,844	\$ 20,000	1.7%	\$ 1,194,756	\$ 20,000	1.7%
CCA901	MA	Public Utilities Commission	B	\$ 4,421,923	\$ -	0.0%	\$ 4,421,923		0.0%	\$ 4,593,308	\$ -	0.0%	\$ 4,593,308	\$ -	0.0%
		Totals	B	\$ 30,306,078	\$ 68,372	0.2%	\$ 30,674,422	\$ 79,402	0.3%	\$ 31,654,695	\$ 246,865	0.8%	\$ 32,408,611	\$ 246,865	0.8%
			T	\$ 630,049	\$ -	0.0%	\$ 682,549	\$ -	0.0%	\$ 713,292	\$ 21,360	3.0%	\$ 713,292	\$ 21,360	3.0%



Department of Commerce and Consumer Affairs  
Active Contracts as of December 1, 2019

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Term of Contract			Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
						Date Executed	From	To					
CCA102	B	76,991.50	M	250,000.00	173,008.50	06/30/08	07/01/08	06/30/19	SQUIRE PATTON BOGGS (US) LLP	PROVIDE ADVICE & COUNSEL TO THE STATE (INCLUDING BUT NO LIMITED TO THE CABLE TELEVISION DIV OF THE DCCA ON ANY MATTER RELATING TO CABLE TELEVISION	Monthly invoices monitored by AG's Office and CATV Staff.	N	C
CCA102	B	1,178.00	M	250,000.00	248,822.00	06/30/08	07/12/18	06/30/19	SQUIRE PATTON BOGGS (US) LLP	PROVIDE ADVICE & COUNSEL TO THE STATE (INCLUDING BUT NO LIMITED TO THE CABLE TELEVISION DIV OF THE DCCA ON ANY MATTER RELATING TO CABLE TELEVISION	Monthly invoices monitored by AG's Office and CATV Staff.	N	C
CCA102	B	50,921.38	O	200,000.00	149,078.62	06/13/11	07/01/17	06/30/18	MERINA & COMPANY, LLP	CONTRACTOR PROVIDES ACCOUNTING, AUDITING, AGREED UPON PROCEDURES, FINANCE CONSULTING SVCS IN ITS ASSESSMENT OF THE FINANCIAL & OPERATING POLICIES	Monthly invoices monitored by CATV Staff.	Y	S
CCA102	B	21,950.00	O	120,000.00	98,050.00	07/01/13	07/01/17	06/30/18	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by CATV Staff.	Y	S
CCA102	B	37,100.00	O	120,000.00	82,900.00	07/01/13	06/30/18	06/30/19	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by CATV Staff.	Y	S
CCA102	B	0.00	O	120,000.00	120,000.00	07/01/13	06/30/19	06/30/20	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by CATV Staff.	Y	S
CCA102	B	124,733.03	M	250,000.00	125,266.97	06/25/18	06/25/18	06/30/23	JONES DAY	TO PROVIDE LEGAL SVCS ON BEHALF OF STATE OF HI ON CABLE, TELECOMMUNICATIONS & BROADBAND MATTERS BEFORE THE FCC & THE FEDERAL COURTS	Monthly invoices monitored by AG's Office and CATV Staff.	N	C
CCA102	B	0.00	M	250,000.00	250,000.00	06/25/18	06/25/18	06/30/23	JONES DAY	TO PROVIDE LEGAL SVCS ON BEHALF OF STATE OF HI ON CABLE, TELECOMMUNICATIONS & BROADBAND MATTERS BEFORE THE FCC & THE FEDERAL COURTS	Monthly invoices monitored by AG's Office and CATV Staff.	N	C

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CCA102	B	50,553.40	O	200,000.00	149,446.60	06/01/19	06/01/19	06/30/23	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices monitored by CATV Staff.	Y	S
CCA102	B	0.00	O	30,000.00	30,000.00	10/29/19	10/29/19	-	BEST BEST & KRIEGER, LLP	PROVIDE ADVICE, COUNSEL & REPRESENTATION RELATING TO THE STATE'S PARTICIPATION AS PART OF A COALITION OF COMMUNITIES IN THE APPEAL AND RELATED ADVOCACY OF FEDERAL COMMUNICATION COMMISSION'S MB DOCKET NO. 05- 311.	Invoice monitored by AG's Office and CATV Staff.	N	C
CCA103	B	44,401.72	O	200,000.00	155,598.28	06/01/08	01/01/14	12/31/14	00057167-02	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SERVICES IN CONNECTION W/ HAWAII PUBLIC UTILITIES COMMISSION'S INVESTIGATION INTO THE IMPLEMENTATION OF INTRA- GOVERNMENT WHEELING	Y	S
CCA103	B	58,856.16	O	170,000.00	111,143.84	06/21/12	06/21/12	-	00061175-04	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/ THE PUBLIC UTILITIES COMMISSION (HERE- AFTER "COMMISSION") TO EXAMINE & CONDUCT INTEGRATED RESOURCES PLANNING	Y	S
CCA103	B	197,391.65	O	197,700.00	308.35	01/06/14	01/06/14	-	00062555-02	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI COMMISSION'S REEXAM-INATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	Y	S
CCA103	B	215,058.39	O	115,000.00	132,641.61	01/06/14	01/06/14	-	00062555-03	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI COMMISSION'S REEXAM-INATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	Y	S

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CCA103	B	223,529.30	O	50,000.00	174,170.70	01/06/14	01/06/14	-	00062555-04	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO, INC, HI	Y	S
CCA103	B	63,451.25	O	75,000.00	91,548.75	05/04/14	05/04/14	-	00062971-02	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/PROCEEDING OPENED BY THE COMMISSION TO REEXAMINE THE EXISTING DECOUPLING MECHANISMS	Y	S
CCA103	B	93,632.65	O	60,000.00	121,367.35	05/04/14	05/04/14	-	00062971-03	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/PROCEEDING OPENED BY THE COMMISSION TO REEXAMINE THE EXISTING DECOUPLING MECHANISMS	Y	S
CCA103	B	46,852.63	O	50,000.00	3,147.37	06/27/14	06/27/14	-	00063074-04	UTILITECH, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	Y	S
CCA103	B	77,053.86	O	77,100.00	46.14	06/30/14	01/27/17	-	00063075-02	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	Y	S
CCA103	B	48,077.59	O	55,000.00	6,968.55	06/30/14	09/28/18	-	00063075-03	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	Y	S
CCA103	B	89,340.26	O	260,000.00	170,659.74	06/01/15	06/01/15	-	00063901-01	SAWVEL AND ASSOCIATES, INC.	CONTRACTOR TO PROVIDE SVCS SUCH AS GENERAL PREP TO SERVE AS EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION IN THE COURSE OF DIV'S EVAL OF DOCKET NOS. 2011-0206, 2014-0183, & 2014-0192	Y	S
CCA103	B	52,828.65	O	100,000.00	71,239.22	09/06/16	06/20/17	-	00065180-02	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HELCO INC'S APPLICATION F/APPVL OF GEN RATE INCREASE AND REV RATE SCHEDULE AND RULES IN DOC NO.2015-0170.	Y	S

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CCA103	B	43,111.41	O	50,000.00	6,888.59	11/28/16	06/20/17	-	00065348-02	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO. INC'S APPLICATION F/APPVL OF GENERAL RATE INCREASE AND REVISED RATED SCHEDULES & RULES IN 2015-0170	Y	S
CCA103	B	58,045.00	O	96,000.00	37,955.00	12/07/16	12/07/16	-	00065373-01	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/HELCO, INC'S APPLICATION F/APPVL OF GENRATE INCREASE & REVISED SCHEDULES & RULES, DOCKET #2015-0170 & DOCKET #2016-0328	Y	S
CCA103	B	830.00	O	50,000.00	87,125.00	12/07/16	06/21/17	-	00065373-02	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/HELCO, INC'S APPLICATION F/APPVL OF GENRATE INCREASE & REVISED SCHEDULES & RULES, DOCKET #2015-0170 & DOCKET #2016-0328	Y	S
CCA103	B	55,020.00	O	90,000.00	34,980.00	03/29/17	03/29/17	-	00065625-01	SNAVELY KING MAJOROS &	TO PROV SVCS IN CONNECTION W/HECO INC, HELCO INC & MECO, LTD APPL F/APPV OF CHGS IN DEPRECIATION & AMORTIZATION RATES & CIAC AMORTIZATION PERIOD DOCKET NOS. 2016-0431 & 2016-0328	Y	S
CCA103	B	22,605.00	O	30,000.00	7,395.00	10/19/17	10/19/17	-	00066184-01	HABR ECONOMICS	GAS CO, LLC DBA HAWAII GAS APPLICATION FOR APPROVAL OF RATE INCREASES & REVISED RATE SCHEDULES & RULES IN DOCKET#2017-0105	Y	S
CCA103	B	199,986.19	O	200,000.00	13.81	12/01/17	12/01/17	-	00066185-01	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN DOCKET NO. 2017-0150	Y	S

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CCA103	B	31,446.81	O	35,000.00	3,567.00	12/01/17	08/27/17	-	00066185-02	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN DOCKET NO. 2017-0150	Y	S
CCA103	B	237,630.48	O	265,000.00	27,369.52	12/01/17	12/01/17	-	00066186-01	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/MAUI ELECTRIC CO., LTD'S APPLICATION F/APPVL OF GENERAL RATE INCREASE & REVISED RATE SCHEDULE & RULES IN 2017-0150	Y	S
CCA103	B	21,400.00	O	40,000.00	18,600.00	12/27/17	12/27/17	-	00066321-01	PCMG AND ASSOCIATES, LLC	TO SERVE AS AN EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION F/THE DCCA DIV OF CONSUMER ADVOCACY'S EVALUATION OF ISSUES TO BE ADDRESSED IN DOCKET NO. 2017-0105	Y	S
CCA103	B	11,390.00	O	40,000.00	28,610.00	01/07/18	01/07/18	-	00066433-01	ROLKA LOUBE SALTZER ASSOCIATES	TO SERVE AS EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION IN THE COURSE OF DCA EVAL OF ISSUES ADDRESSED IN PROCEEDINGS OPENED BY PUC RE: DOCKET NO. 2017-0208	Y	S
CCA103	B	186,374.11	O	190,000.00	3,625.89	06/07/18	06/07/18	-	00066851-01	UTILITECH, INC.	TO PROVIDE SERVICES AS AN EXPERT WITNESS ON BEHALF OF DCA IN DOCKET NO.2018-0088 THE PUBLIC UTILLITES COMMISSION'S PROCEEDING TO INVESTIGATE PERFORMANCE BASED REGULATION.	Y	S

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CCA103	B	81,044.38	O	120,000.00	46,099.28	06/27/18	06/24/19	-	00066973-03	SYNAPSE ENERGY ECONOMICS, INC.	TO SERVE AS AN EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION, FOR RESEARCH, AND CONSULTING SERVICES IN THE COURSE OF THE DCA'S EVALUATION OF THE ISSUES TO BE ADDRESSED IN THE PROCEEDINGS OPENED BY THE PUC TO INVESTIGATE PERFORMANCE BASED REGULATION (DOCKET NO.2018-0088).	Y	S
CCA103	B	10,125.00	O	30,000.00	19,875.00	11/05/18	10/30/18	-	00067318-01	ALVAREZ AND ASSOCIATES LLC	PROV SVCS IN CONNECTION W/HAWN ELECTRIC COMPANIES TO COMMIT FUNDS IN EXCESS OF 2,500,000 F/THE PHASE 1 GRID MODERNIZATION	Y	S
CCA103	B	190,342.23	O	200,000.00	9,657.77	01/08/19	01/08/19	-	00067428-01	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SERVICES IN CONNECTION WITH THE HAWAII ELECTRIC LIGHT COMPANY, INC.'S APPLICATION FOR A GENERAL RATE CASE AND REVISED RATE SCHEDULES AND RULES. DOCKET NO.2018-0368.	Y	S
CCA103	B	32,825.91	O	80,000.00	47,226.84	01/08/19	09/25/19	-	00067457-02	UTILITECH, INC.	TO PROVIDE SERVICES WITH THE HAWAII ELECTRIC LIGHT COMPANY, INC.'S APPLICATION FOR APPROVAL OF GENERAL RATE INCREASE AND REVISED RATE SCHEDULES AND RULES. DOCKET NO.2018-0368.	Y	S
CCA103	B	11,808.59	O	12,000.00	1,558.70	01/23/19	05/01/19	-	00067473-02	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SERVICES IN CONNECTION WITH THE PUC INSTITUTING A PROCEEDING TO INVESTIGATE ESTABLISHMENT OF A MICROGRID SERVICES TARIFF. DOCKET NO.2018-0163.	Y	S
CCA103	B	1,367.29	O	18,000.00	18,191.41	01/23/19	06/24/19	-	00067473-03	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SERVICES IN CONNECTION WITH THE PUC INSTITUTING A PROCEEDING TO INVESTIGATE ESTABLISHMENT OF A MICROGRID SERVICES TARIFF. DOCKET NO.2018-0163.	Y	S

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CCA103	B	43,516.19	O	75,000.00	31,483.81	02/26/19	02/26/19	-	00067618-01	LARKIN, HUGH JR && SCHULTZ,	TO PROVIDE SERVICES IN CONNECTION WITH THE KONA WATER SERVICE COMPANY, INC.'S APPLICATION FOR A GENERAL RATE CASE & FOR APPROVAL OF REVISION TO ITS TARIFF. DOCKET NO.2018-0388.	Y	S
CCA103	B	950.26	O	6,000.00	5,465.98	03/20/19	11/01/19	-	00067631-02	HABR ECONOMICS	TO PROVIDE SERVICES WITH THE HAWAII ELECTRIC LIGHT COMPANY INC'S APPLICATION FOR APPROVAL OF RATE INCREASES AND REVISED RATE SCHEDULES AND RULES. DOCKET NO. 2018-0368.	Y	S
CCA103	B	50,574.59	O	195,000.00	144,425.41	05/01/19	05/01/19	-	00067749-01	UTILITECH, INC.	TO PROVIDE SERVICES IN CONNECTION WITH THE YOUNG BROTHERS LIMITED'S APPLICATION FOR A GENERAL RATE INCREASE AND CERTAIN TARIFF CHANGES. DOCKET NO.2019-0066.	Y	S
CCA103	B	9,900.00	O	25,000.00	15,100.00	06/19/19	06/19/19	-	00067857-01	PCMG AND ASSOCIATES, LLC	TO PROVIDE SERVICES IN CONNECTION WITH KALAELOA WATER COMPANY LLC'S APPLICATION FOR APPROVAL OF RATE INCREASES AND REVISED RATE SCHEDULES AND RULES. DOCKET NO.2019-0057.	Y	S
CCA103	B	19,242.02	O	205,000.00	185,757.98	10/08/19	10/08/19	-	00068152-01	SAWVEL AND ASSOCIATES, INC.	PROVIDE SERVICES WITH HAWAIIAN ELECTRIC COMPANY INC.'S APPLICATION FOR APPROVAL OF A GENERAL RATE CASE AND REVISED RATE SCHEDULES AND RULES. DOCKET NO.2019-0085.	Y	S
CCA103	B	42,837.70	O	265,000.00	222,162.30	10/08/19	10/08/19	-	00068165-01	UTILITECH, INC.	TO PROVIDE SERVICES WITH HAWAIIAN ELECTRIC COMPANY INC.'S APPLICATION FOR APPROVAL OF A GENERAL RATE CASE AND REVISED RATES SCHEDULE AND RULES. DOCKET NO.2019-0085.	Y	S

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CCA103	B	9,900.00	O	88,000.00	15,100.00	11/01/19	11/01/19	-	00068204-01	PCMG AND ASSOCIATES, LLC	PROVIDE SERVICES IN CONNECTION WITH HAWAIIAN ELECTRIC COMPANY INC.'S APPLICATION FOR APPROVAL OF A GENERAL RATE INCREASE AND REVISED RATE SCHEDULES AND RULES. DOCKET NO. 2019-0085.	Y	S
CCA103	B	14,807.05	O	125,000.00	110,192.95	11/01/19	11/01/19	-	00068205-01	SAWVEL AND ASSOCIATES, INC.	PROVIDE SERVICES IN CONNECTION WITH HAWAII ELECTRIC LIGHT COMPANY INC'S APPLICATION FOR APPROVAL OF A POWER PURCHASE AGREEMENT FOR RENEWABLE DISPATCHABLE FIRM ENERGY AND CAPACITY. DOCKET NO.2017-0122.	Y	S
CCA 105	B	4,534.19	M	44,112.76	39,578.57	09/05/17	08/19/19	08/18/20	NEW ANGLE SYSTEMS LLC	TO PROVIDE SCANNING OF PROFESSIONAL AND VOCATIONAL LICENSING FILES	Monthly Review	Y	S
CCA106	B	40,360.21	M	50,000.00	9,639.79	04/17/09	01/31/18	-	DALEY & HEFT, LLP	PROVIDE LEGAL SVCS TO THE SOH IN THE FOLLOWING LEGAL ACTION, SUPERIOR CT OF THE STATE OF CA FOR THE COUNTY OF ORANGE #30-200900119128 FILLED 2/28/0	Completed Work reviewed for accuracy and completeness	Y	C
CCA106	B	45,475.49	M	115,000.00	69,524.51	11/01/16	08/01/19	07/31/20	EMPLOYEES' RETIREM	OFFICE SPACE LEASE FOR INSURANCE HEALTH BRANCH - MEMORANDUM OF AGREEMENT LEASE NO 72-30-0612 - HOLD OVER CLAUSE	n/a	N	L
CCA106	B	128,850.00	O	150,000.00	21,150.00	09/20/17	09/01/18	12/30/19	LEWIS & ELLIS, INC.	1)ANALYZE RATES RESERVES INVESTMENTS INCOME & RELATED MATTERS 2)TO APPEAR AS AN EXPERT WITNESS REPRESENTING T/STATE & HOPA 3)APPEAR AT PUBLIC HEAR	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	O	150,000.00	150,000.00	09/20/17	01/01/20	-	LEWIS & ELLIS, INC.	1)ANALYZE RATES RESERVES INVESTMENTS INCOME & RELATED MATTERS 2)TO APPEAR AS AN EXPERT WITNESS REPRESENTING T/STATE & HOPA 3)APPEAR AT PUBLIC HEAR	Completed Work reviewed for accuracy and completeness	Y	S



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CCA106	B	119,490.50	M	125,000.00	5,509.50	09/01/18	09/01/18	10/31/19	WYMAN, OLIVER ACTU	PROVIDE ACTUARIAL SVCS - INCLUDING BUT NOT LIMITED TO ANALYZING RATES ON LONG-TERM CARE INSURANCE RATES FILINGS	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	M	125,000.00	125,000.00	09/01/18	10/01/19	08/31/20	WYMAN, OLIVER ACTU	PROVIDE ACTUARIAL SVCS - INCLUDING BUT NOT LIMITED TO ANALYZING RATES ON LONG-TERM CARE INSURANCE RATES FILINGS	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	0.00	O	200,000.00	200,000.00	07/01/19	07/01/19	06/30/21	MILLENNIUM ACTUAR	PERFORM ACTUARIAL SERVICES FOR HEALTH ISSUERS AND MANAGED CARE PLANS REQUIRED BY THE STATE, INCLUDING ANALYZING RATES, RESERVES, INVESTMENTS, INCOME, EXPENSES, CLAIM HISTORY, TREND, AND RELATED MATTERS THAT MAY HAVE A FINANCIAL OR ACTUARIAL IMPACT ON RATES.	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	108,322.58	O	154,050.00	45,727.42	07/19/19	07/19/19	07/18/20	NOBLE CONSULTING S	ENGAGE/CONTRCT AUDIT & ACCTG SVCS F/INSURANCE EXAMINATIONS HAWAII EMPLOYERS' MUTUAL INSURANCE CO INC	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	196,168.71	O	362,167.00	165,998.29	07/19/19	07/19/19	07/18/20	RISK & REGULATORY C	TO EXAMINE AS OFTEN AS DEEMED PRUDENT, THE AFFAIRS, TRANSACTIONS, ACCTS, RECORDS, DOCUMENTS & ASSETS OF LICENSED DOMESTIC INSURERS.	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	0.00	O	74,000.00	74,000.00	07/01/19	07/01/19	06/30/22	INS REGULATORY INSU	PERFORM THE ACTUARIAL SVCS, ANALYZING RATES, RESERVES, & RELATED MATTERS ACT-PC16	Completed Work reviewed for accuracy and completeness	Y	S
CCA110	B	9,610.67	M	29,269.32	19,658.65	09/30/12	07/01/19	06/30/20	NEW KAIKO'O BUILDIN	OFFICE SPACE LEASE FOR THE RICO HILO BRANCH & OCP HILO BRANCH LEASE AGREEMENT NO. 71-30-0591	N/A	N	L
CCA110	B	56,137.10	M	60,000.00	3,862.90	04/27/17	11/14/16	11/14/17	VOYAGER SYSTEMS, IN	PROVIDE TO THE STATE & IMPLEMENT ITS IMPACT SOFTWARE APPLICATION	OCP interacts with Vendor on a regular basis regarding its performance of the contract.	Y	S
CCA110	B	9,521.24	M	37,624.56	28,103.32	08/09/19	10/01/19	09/30/25	HUGH MENELEE DEVE	LEASE OF OFFICE SPACE FOR OCP MAUI INVESTIGATION SECTION. LEASE NO. 75-30-0647.	N/A	N	L
CCA111	B	1,120.14	M	4,447.20	3,327.06	08/09/19	10/01/19	09/30/25	HUGH MENELEE DEVE	DAGS LEASE OF OFFICE SPACE FOR BREG BAC. LEASE NO. 75-30-0647.		N	L
CCA112	B	15,738.00	M	26,863.44	11,125.44	01/26/10	08/01/19	07/31/20	SIGNATURE SERVICES	LEASE OFFICE SPACE FOR RICO-KONA BRANCH DAGS LEASE # 71-30-0579	Division receives monthly invoices from lessor and addresses concerns with DAGS.	N	L

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CCA901	B	2,216.26	M	6,630.00	4,413.74	02/12/16	01/01/16	11/30/20	MAUI OFFICE, LLC.	RENT, OPTR COST & GET F/PUC MAUI DIST OFC (DAGS LEASE #75-30-0611) LOC: ONE MAIN PLAZA, 2200 MAIN ST, STE 540 WAILUKU, MAUI, HI 96793 - MONTHLY	N/A	N	L
CCA901	B	743.94	M	960.00	216.06	02/12/16	07/01/15	04/30/25	TOLEDO, RICHARD & J	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720-MONTHLY TTL 461.43	N/A	N	L
CCA901	B	0.00	M	960.00	960.00	02/12/16	07/01/15	04/30/25	TOLEDO, RICHARD & J	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720-MONTHLY TTL 461.43	N/A	N	L
CCA901	B	1,697.29	M	3,824.20	2,126.91	02/12/16	07/01/15	04/30/25	TOLEDO, RICHARD & J	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720-MONTHLY TTL 461.43	N/A	N	L
CCA901	B	0.00	M	800.00	800.00	02/12/16	07/01/15	04/30/25	TOLEDO, RICHARD & J	RENT & GET FOR PUC HILO DIST OFC (DAGS LEASE #71-30-0056) LOCATION: KUKUAU PLAZA, 688 KINOOLE ST UNIT 106A HILO HI 96720-MONTHLY TTL 461.43	N/A	N	L
CCA901	B	55,000.00	M	132,000.00	77,000.00	06/09/16	07/01/16	06/30/20	ONE CALL CONCEPTS,	ONE CALL CENTER PROVIDER TO CONTINUE OPERATION OF THE HI ONE CALL CENTER UNDER THE ADMINISTRATION OF THE PUC RFP-PUC-16-01 OPT TO EXTEND 2-12 MOS	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	55,794.13	M	100,000.00	44,205.87	08/04/16	08/01/16	07/31/20	STRATEGEN CONSULT	CONSULTING SERVICES IN SUPPORT OF PUC ENERGY PLANNING DOCKETS RELATED TO DGIP, DER AND OTHERS	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	41,936.37	M	50,000.00	8,063.63	12/22/16	12/31/16	12/30/20	MUNRO TULLOCH, INC	CONSULTING SVCS IN SPPORT OF PUC AS IT REVIEWS & OVERSEES THE HECO IMPLEMENTATION OF IS ERP/EAMSYSTEM AS PART OF DOCKET#2014-0170	Contract Administor reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs  
Active Contracts as of December 1, 2019

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA901	B	22,442.04	M	250,000.00	227,557.96	11/15/17	11/13/17	11/12/20	ROCKY MOUNTAIN INS	CONSULTING SVCS IN SUPPORTING HAWAII'S ELECTRICITY MARKET TRANSFORMATION	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	147,595.71	M	250,000.00	102,404.29	11/27/17	11/17/17	11/16/19	NAVIGANT CONSULTIN	AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC WITH THE HECO COMPANIES' RENEWABLE ENERGY PROCUREMENT	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	30,314.54	M	50,000.00	19,685.46	12/15/17	11/20/19	11/19/19	ENERGY ALLY CONSUL	CONSULTANT SVCS FOR THE PUC IN THE ADMIN OF REMAINING PROJECTS IN THE ACTIVE QUEUE OF THE FEED-IN-TARIFF(FIT) PROGRAM OPT TO EXTEND 12 MOS.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	227,115.92	M	250,000.00	22,884.08	12/15/17	12/06/17	12/05/20	HAIKU DESIGN & ANAL	CONSULTANT SVCS TO ASSIST PUC WITH UTILITY REGULATION, RATEMAKING MECHANISMS, RESOURCE PLANNING & PROCUREMENT, & TRAINING OPT TO EXTEND	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	0.00	M	250,000.00	250,000.00	12/15/17	12/06/17	12/05/20	HAIKU DESIGN & ANAL	CONSULTANT SVCS TO ASSIST PUC WITH UTILITY REGULATION, RATEMAKING MECHANISMS, RESOURCE PLANNING & PROCUREMENT, & TRAINING OPT TO EXTEND	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	18,742.00	M	25,000.00	6,258.00	12/18/17	12/11/17	12/10/19	THE REGULATORY ASS	CONSULTANT SVCS F/THE PUC PROCEEDINGS RELATED TO THE SAFEGUARDING OF THE RFP PROCESS & PREVENTION OF UNFAIR COMPETITIVE ADVANTAGE	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	29,713.99	M	99,000.00	69,286.01	12/20/17	11/24/17	11/23/20	VILLARREAL, CHRISTOPHER DBA PLUGGED IN STRATEGIES	CONSULTANT SVCS TO ASSIST & ADVISE PUC ON POLICY DECISIONS ON TOPICS RELATED TO DISTRIBUTED ENERGY RESOURCES, INCLUDING THE REVIEW OF THE GRID	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	148,135.02	M	250,000.00	101,864.98	01/04/18	12/08/17	12/07/20	BATES WHITE, LLC	AS AN INDEPENDENT OBSERVERS TO ASSIST THE PUC WITH THE HECO COMPANIES RENEWABLE ENERGY PROCUREMENT	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs  
Active Contracts as of December 1, 2019

Table 14

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S/*
CCA901	B	25,248.10	M	100,050.00	74,801.90	03/02/18	03/01/18	02/28/20	GRIDWORKS	CONSULTANT SVCS FOR THE PUC DOCKETS & PROCEEDINGS RELATED TO MAJOR ENERGY PLANNING DOCKETS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	11,340.00	M	99,000.00	87,660.00	04/01/19	04/01/19	03/31/20	HICKORYLEDGE LLC	CONSULTING SVCS TO ASSIST W/PROCEEDINGS RELATED TO ENERGY SYST PLANNING GRID INTEGRATION & OTHERS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	53,246.05	M	90,000.00	36,753.95	07/01/19	07/01/19	06/30/20	SJI CONSULTING LLC	CONSULTING SVCS F/DECISION MAKING ON UTILITY RATE INCREASE APPLICATION DOCKETS. MOTOR CARRIER DATA COLLECTION & OTHER ACTIVITIES AS REQUESTED	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	12,845.00	M	329,000.00	316,155.00	07/01/19	07/01/19	06/30/24	THE DAYHUFF GROUP	CONSULTING SERVICES FOR MAINTENANCE OF THE PUBLIC UTILITIES COMMISSION'S DOCUMENT MANAGEMENT SYSTEM (DMS)	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	560.07	M	2,400.00	1,839.93	10/01/19	10/01/19	09/30/25	HUGH MENEFFEE DEVE	EASE OFC SPACE FOR RICO-MAUI BRANCH, BREG-BUSINESS ACTION CTR & PUC FOR THE PERIOD FROM 10/01/2019-09/30/2025-LEASE #75-30-0647-HOLD-OVER-CLAUSE		N	L
CCA901	B	3,916.23	M	99,000.00	95,083.77	10/01/19	10/01/19	09/30/20	GORAK & BAY, L.L.C.	CONSULTANT SVCS FOR THE PUC PROCEEDINGS RELATED TO RATE CASES & OTHER COMMISSION DOCKETS	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	3,183.24	M	90,000.00	86,816.76	10/09/19	10/09/19	10/08/19	KIKUCHI, PAUL	CONSULTANT SVS FOR THE PUC FOR DECISION MAKING ON RATE INCREASE, ADMIN RULES, UTILITIES, PLANNING RELATED TO DMS DESIGN AND REPLACEMENT	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S

Department of Commerce and Consumer Affairs  
 Capital Improvements Program (CIP) Requests

Table 15

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept- Wide</u> <u>Priority</u>	<u>Senate</u> <u>District</u>	<u>Rep.</u> <u>District</u>	<u>Project Title</u>	<u>MOF</u>	<u>FY20 \$\$\$</u>	<u>FY21 \$\$\$</u>
					NONE			

Department of Commerce and Consumer Affairs  
CIP Lapses

Table 16

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOF</u>	<u>Lapse Amount</u> \$\$\$\$	<u>Reason</u>
		NONE			

Department of Commerce and Consumer Affairs  
Program ID Sub-Organizations

<u>Program ID</u>	<u>Sub-Org Code</u>	<u>Name</u>	<u>Objective</u>
CCA 102	FA	Cable Television	To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the state regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable state and federal law; expand the statewide institutional network ("INET"); continue the availability of public, education and government ("PEG") cable access; and to engage in activities promoting the expansion of, and accelerating, the deployment of broadband infrastructure.
CCA 103	HA	Consumer Advocate for Comm, Util, & Tran Svc	Through advocacy, education, and long-range planning, to ensure sustainable, reliable, safe and quality communications, utility, and transportation services at fair cost for Hawaii's consumers for the short and long-term.
CCA 104	BA	Financial Services Regulation	To ensure the safety and soundness of state-chartered and state-licensed financial institutions, and ensure regulatory compliance by state-licensed financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies, by fairly administering applicable statutes and rules, in order to protect the rights and funds of depositors, borrowers, consumers and other members of the public.
CCA 105	GA	Professional & Vocational Licensing	To ensure that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity and dependability by establishing and enforcing appropriate service standards; to regulate activities for the protection, welfare and safety of the participants as well as the public.
CCA 106	EA	Insurance Regulatory Services	To ensure that consumers are provided with insurance services meeting acceptable standards of quality, equity, and dependability at fair rates by establishing and enforcing appropriate service standards and fairly administering the Insurance Code.
CCA 107	IA	Hawaii Post-Secondary Education Authorization Program	To ensure the soundness of accredited degree-granting post-secondary educational institutions by fairly administering applicable statutes and rules in order to protect students, consumers, and other members of the community.
CCA 110	DA	Office of Consumer Protection	To protect consumers by investigating alleged violations of consumer protection laws, taking legal action to stop unfair or deceptive trade practices in the marketplace, and educating consumers and businesses about their respective rights and obligations in the marketplace under Hawaii consumer protection laws.
CCA 111	CA	Business Registration and Securities Regulation	To ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other entities; to run business centers to provide personalized assistance to small and startup businesses; and to ensure compliance with and enforcement of securities and franchise laws.
CCA 112	AB	Regulated Industries Complaints Office	To assist the general public through consumer education and by enforcing the state's licensing laws.
CCA 191	AA	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AH	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AI	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 901*	MA	Public Utilities Commission	To ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate.

Department of Commerce and Consumer Affairs  
Organization Changes

Table 18

<u>Year of Change</u> <u>FY20/FY21</u>	<u>Description of Change</u>								
2020	Abolishment of civil service position, Position No. 15098, Public Utilities Rate Analyst V, effective July 1, 2019. (CCA 103)								
2020	Abolishment of civil service position, Position No. 21377, Public Utilities Rate Analyst V, effective July 1, 2019. (CCA 103)								
2020	Abolishment of civil service position, Position No. 40834, Public Utilities Rate Analyst VII, effective July 1, 2019. (CCA 103)								
2020	Establishment of exempt position, Position No. 123135 (Pseudo No. 90001R), PU Staff Attorney III, effective October 16, 2019. (CCA 103)								
2020	Establishment of exempt position, Position No. 123136 (Pseudo No. 90002R), DCA Administrative Specialist, effective October 16, 2019. (CCA 103)								
2020	Establishment of exempt position, Position No. 123137 (Pseudo No. 90003R), DCA Program Specialist, effective October 16, 2019. (CCA 103)								
2020	Established exempt position, Position No. 123103, Licensing Examination Program Specialist, effective August 20, 2019. (CCA 105)								
2020	Abolished civil service position, Position No. 13237, Licensing Examiner V, effective August 27, 2019. (CCA 105)								
2020	Redescription of exempt position, Position No. 116591, from CIAF Insurance Examiner III, to Captive Insurance Examiner II, effective August 28, 2019. (CCA 106)								
2020	Redescription of exempt position, Position No. 120978, from CIAF Insurance Examiner II, to Captive Insurance Examiner II, effective August 28, 2019. (CCA 106)								
2020	Redescription of exempt position, Position No. 102732, from IERF Insurance Examiner III, to CRF Insurance Examiner III, effective September 16, 2019. (CCA 106)								
2020	Redescription of exempt position, Position No. 112456, from CIAF Insurance Examiner II, to Captive Insurance Examiner II, effective October 24, 2019. (CCA 106)								
2020	Reallocation of civil service position, Position No. 49538, from Securities Examiner IV, to Professional Trainee I, effective September 26, 2019. (CCA 111)								
2020	Redescription of exempt position, Position No. 108007, from CRF Investigator IV, to CRF Investigator III, effective October 1, 2019. (CCA 111)								
2020	Establishment of exempt position, Position No. 123144 (Pseudo No. 90010R), CRF Staff Attorney, effective October 24, 2019. (CCA 111)								
2020	Abolishment of civil service position, Position No. 29150, Office Assistant III, effective October 24, 2019. (CCA 111)								
2020	Redescription exempt position, Position No. 101309, from Staff Attorney III, to Staff Attorney, effective August 8, 2019. (CCA 112)								
2020	Reallocation of civil service position, Position No. 120368, from Investigator I, to Investigator III, effective August 27, 2019. (CCA 112)								
2020	Reallocation of civil service position, Position No. 12624, from Investigator II, to Investigator III, effective November 1, 2019. (CCA 112)								
2020	Reallocation of civil service position, Position No. 38871, from Account Clerk III, to Account Clerk IV, effective November 1, 2019. (CCA 191)								
2020	Redescription of exempt position, Position No. 102679, from PUC Attorney II, to PUC Attorney II, effective July 1, 2019. (CCA 901)								
2020	Redescription of exempt position, Position No. 102678, from PUC Attorney I, to PUC Attorney II, effective July 1, 2019. (CCA 901)								
2020	Redescription of exempt position, Position No. 117634, from PUC Attorney I, to PUC Attorney II, effective July 1, 2019. (CCA 901)								
2020	Redescription of exempt position, Position No. 121852, from PUC Attorney I, to PUC Attorney III, effective July 1, 2019. (CCA 901)								
2020	Reallocation of civil service position, Position No. 100958, from Human Resources Assistant V, to Human Resources Assistant III, November 1, 2019. (CCA 901)								
2020	Redescription of exempt position, Position No. 121799, from PUC Attorney III, to PUC Attorney I, effective July 16, 2019. (CCA 901)								
2020	Reallocation of civil service position, Position No. 36925, from Auditor V, to Auditor II, effective November 1, 2019. (CCA 901)								