

DAVID Y. IGE
GOVERNOR



DEPT. COMM. NO. 134

JADE T. BUTAY
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**STATE OF HAWAII
DEPARTMENT OF TRANSPORTATION**
869 PUNCHBOWL STREET
HONOLULU, HAWAII 96813-5097

IN REPLY REFER TO:
PPB 12.3436

December 18, 2019

The Honorable Ronald D. Kouchi,
President and Members of the Senate
Twenty-Ninth State Legislature
State Capitol, Room 409
Honolulu, Hawaii 96813

The Honorable Scott K. Saiki
Speaker and Members of the House of
Representatives
Twenty-Ninth State Legislature
State Capitol, Room 431
Honolulu, Hawaii 96813

Dear President Kouchi, Speaker Saiki, and Members of the Legislature:

For your information and consideration, I am transmitting a copy of the Budget Proviso Reports as required by Act 5 (19) and Act 40 (19).

In accordance with HRS 93-16, I am also informing you that the report may be viewed electronically at: <http://hidot.hawaii.gov/library/reports/reports-to-the-legislature/>

Sincerely,

A handwritten signature in blue ink, appearing to read "Jade T. Butay".

JADE T. BUTAY
Director of Transportation

c: Legislative Reference Bureau

Act 5, SLH 2019: Section 23 Transfers of Operating Funds Between Programs

Department of Transportation - Reporting Period December 1, 2018 - November 30, 2019

Date	Program ID	Program Title	MOF	Amount From	Amount To	Program Impact	Explanation
09/30/19	HMS 224	Homeless Services	A	\$ 3,000,000	\$ 3,000,000	The intended use of funds remained the same.	Act 62, Session Laws of Hawaii 2019, section 3 appropriated \$3,000,000 to DHS in Program ID HMS 224 to be expended for homeless services, including use for enforcement and cleanup operations, and property storage related to homelessness. The intent of the legislature was for funds to be transferred to various executive branch agencies for use, as determined appropriate by DHS. The division is using the funds for homeless enforcement and cleanup on highways properties.
	TRN 501/DC	Oahu Highways	A				
10/24/19	TRN 195	Airport Administration	B	\$ 3,385,100		The intended use of budgeted equipment and motor vehicle funds remained the	For various equipment and motor vehicle purchases. The consolidation of equipment and vehicle purchases in TRN195 was intended to create efficiency and flexibility of procuring and managing the Division's inventory. However, the Administration's staff is unable to handle all the procurement and inventory transfer and control for all the programs. This transfer will enable each of the Airport Division's programs to be responsible for their own airport's inventory.
	TRN102	Honolulu International Airport	B		\$ 1,851,100		
	TRN104	General Aviation	B		\$ 200,000		
	TRN114	Kona International Airport at Keahole	B		\$ 1,139,600		
	TRN116	Waimea-Kohala Airport	B		\$ 38,200		
	TRN131	Kahului Airport	B		\$ 80,000		
	TRN161	Lihue Airport	B		\$ 76,200		
02/02/19	TRN 301	Honolulu Harbor	B	\$ 735,220		The intended use of budgeted other current expenses remains the same.	Transfer program savings in other current expenses TRN 301 Honolulu Harbor to cover program short fall in other current expenses for TRN 311, TRN 313, TRN 331, TRN 341, and TRN 395.
	TRN 311	Hilo Harbor	B		\$ 127,650		
	TRN 313	Kawaihae Harbor	B		\$ 70,820		
	TRN 331	Kahului Harbor	B		\$ 27,000		
	TRN 341	Kaunakakai Harbor	B		\$ 9,750		
	TRN 395	Harbors Administration	B		\$ 500,000		
09/16/19	TRN 102		B	\$ 43,411		Visitor Information Program Office is transferring position number 28551 to Human Resources Staff Office.	The transfer of resources will assist with handling the backlog of the current workload and keep work current.
	TRN 195		B		\$ 43,411		
09/16/19	TRN 102		B	\$ 43,411		Visitor Information Program Office is transferring position number 18899 to Human Resources Staff Office.	The transfer of resources will assist with handling the backlog of the current workload and keep work current.
	TRN 195		B		\$ 43,411		

Act 5, SLH 2019: Section 23 Transfers of Operating Funds Between Programs
Department of Transportation - Reporting Period December 1, 2018 - November 30, 2019

Date	Program ID	Program Title	MOF	Amount From	Amount To	Program Impact	Explanation
09/16/19	TRN 102 TRN 195		B B	\$ 43,411	\$ 43,411	Visitor Information Program Office is transferring position number 28552 to Human Resources Staff Office.	The transfer of resources will assist in keeping up with recruitment and classification activities; posting vacancies, screening, managing vacancies as employees change or move jobs and updating position descriptions.
06/26/19	TRN 511 TRN 595		B B	\$ 89,837	\$ 89,837	There will be no impact as the position was vacant since 12/31/2014.	This position will be responsible for independently performing substantive paraprofessional human resources work in recruitment by handling, coordinating and monitoring Divisional recruitment activities to include facilitating and expediting the recruitment/selection process for Divisional positions from vacancy to selection and appointment.
06/26/19	TRN 531 TRN 595		B B	\$ 104,122	\$ 104,122	There will be no impact as the position was vacant since 5/7/2016.	This position will be responsible for independently performing substantive paraprofessional human resources work in recruitment by handling, coordinating and monitoring Divisional recruitment activities to include facilitating and expediting the recruitment/selection process for Divisional positions from vacancy to selection and appointment.
05/16/19	TRN 511 TRN597		B B	\$ 69,293	\$ 69,293	There will be no impact as the position was vacant since 12/31/2013.	This position will be assigned to Kauai district and responsible for the implementation and enforcement of statewide standards and guidelines for motor carrier/commercial vehicles and drivers as it relates to the Hawaii Revised Statutes (HRS), the Hawaii Administrative Rules (HAR) and the Federal Motor Carrier Safety Regulations (FMCSR).

Act 5, SLH 2019: Section 24 Transfer of Positions

Department of Transportation - Reporting Period December 1, 2018 - November 30, 2019

Date of transfer	Position transferred	Program from which the position was transferred	Program to which the position was transferred	Responsibilities of the position prior to transfer	Responsibilities of the position after the transfer	Manner in which the transfer maximizes the utilization of personnel resources and staff productivity
9/16/2019	Visitor Information Program Assistant I	Visitor Information Program	Human Resource	Greet, welcome and assist the traveling public at the airport; provide information of interest to visitors.	Prepare, review and process a variety of HR forms. Compute service data for employees under various contract provisions. Provide a wide range of information and support in the application of HR rules, regulations, policies and procedures.	The transfer of resources will allow HR to handle the backlog of transactions and keep work current.
9/16/2019	Human Resources Assistant III	Visitor Information Program	Human Resource	Responsible for setting up and maintaining accurate personnel records; initiates changes to personnel actions; processes work schedules; assists employees with	Prepare, review and process a variety of HR forms. Compute service data for employees under various contract provisions. Provide a wide range of information and support in the application of HR rules, regulations, policies and procedures.	The transfer of resources will allow HR to handle the backlog of transactions and keep work current.
9/16/2019	Visitor Information Program Assistant I	Visitor Information Program	Human Resources	Greet, welcome and assist the traveling public at the airport; provide information of interest to visitors.	Perform position classification work to establish and maintain proper class titles, pay range and qualification requirements. Coordinate efforts to actively recruit qualified candidates to fill vacant positions. Participate in management advisory services and serve as back-up to labor relations.	The transfer of resources will allow HR to keep up with recruitment and classification activities which involves posting vacancies, screening applications, managing vacancies as employees change or move jobs and
6/26/2019	Heavy Vehicle Construction Equipment Mechanic I	Maintenance Section at Hawaii Highways	Personnel Staff at Highways Administration Staff Services Office.	There was no responsibility as the position was vacant since 12/31/2014.	Position will be responsible for independently performing substantive paraprofessional human resources work in recruitment by handling, coordinating and monitoring Divisional recruitment activities to include facilitating and expediting the recruitment/selection process for Divisional positions from vacancy to selection and	The transfer will provide support to Personnel Staff to keep up with recruitment and selection process.
6/26/2019	Electronic Traffic Signal Technician	Maintenance Section at Maui Highways	Personnel Staff at Highways Administration Staff Services Office.	There was no responsibility as the position was vacant since 5/7/2016.	Position will be responsible for independently performing substantive paraprofessional human resources work in recruitment by handling, coordinating and monitoring Divisional recruitment activities to include facilitating and expediting the recruitment/selection process for Divisional positions from vacancy to selection and	The transfer will provide support to Personnel Staff to keep up with recruitment and selection process.
5/16/2019	Sign Painter Helper	Maintenance Section at Hawaii District	Motor Vehicle Safety Office	There was no responsibility as the position was vacant since 12/31/2013.	This position will be assigned to Kauai district and responsible, in part, for the implementation and enforcement of statewide standards and guidelines for motor carrier/commercial vehicles and drivers as it relates to the Hawaii Revised Statutes (HRS), the Hawaii Administrative Rules (HAR) and the Federal Motor Carrier Safety	Kauai's single Motor Carrier Safety Officer IV Position was abolished on July 1, 2017. With this transfer there will be established class of work to carry out the duties of a Motor Carrier Safety Officer IV on the Island of Kauai.

Section 18 CIP Supplemental Allotments from Special or Revolving Funds or Transfer of Unrequired Balances

DEPARTMENT OF TRANSPORTATION - Reporting Period December 1, 2018 - November 30, 2019

Allotment Advice Number	Date	Project No.	Project Title	Act/Year	Item No.	MOF	Supplemental Allotment or Transfer Amount
AIRPORTS DIVISION							
			None				
HARBORS DIVISION							
19-0187	12/12/2018	H.C. 90111	Construction Management Services, Phase 1, Statewide	49/17	C-61	B	1,000,000
19-0188	12/12/2018	H.C. 90112	Construction Management Services, Phase 2, Statewide	53/18	C-61	B	1,000,000
19-0272	12/14/2018	H.C. 50113	The Demolition of Pier 2 Shed, Water Tank/Tower, Quonset Hut and Infrastructure Improvements, Hilo Harbor, Hawaii	53/18	C-56.05	B	798,000
19-0349	3/1/2019	H.C. 50169	The Land Acquisition at Hilo Harbor, Hawaii	53/18	C-60	B	4,797,000
19-0457	5/28/2019	H.C. 10753	The Land Acquisition, Kalaeloa Barbers Point Harbor, Oahu	53/18	C-60	B	70,000,000
20-0016	7/22/2019	H.C. 10665	To Relocate Harbors Police to the Former Fire Station at Pier 15, Honolulu Harbor, Oahu	53/18	C-60	B	1,515,000
20-0156	10/11/2019	H.C. 90115	Commercial Harbors Structural Engineering Support, Phase 2, Statewide	53/18	C-58	B	5,000,000
20-0157	10/11/2019	H.C. 90119	Commercial Harbors Electrical Engineering Support, Phase 2, Statewide	53/18	C-58	B	5,000,000
20-0158	10/7/2019	H.C. 90114	Commercial Harbors Architectural Services, Phase 3, Statewide	53/18	C-58	B	5,000,000
20-0167	10/11/2019	H.C. 90113	Commercial Harbors Civil Engineering Support, Phase 6, Statewide	53/18	C-58	B	3,400,000
20-0164	10/15/2019	H.C. 10498	The New Kapalama Container Terminal, Wharf and Dredging Project, Honolulu Harbor, Oahu	124/16	C-39	B	13,000,000
20-0226	11/25/2019	H.C. 10659	Subdivision and Infrastructure Improvements, Kalaeloa Barbers Point Harbor, Oahu	53/18	C-60	B	2,545,000

Section 20 CIP Supplemental funds for Cost Element Transfers

DEPARTMENT OF TRANSPORTATION - Reporting Period: December 1, 2018 - November 30, 2019

AA No.	Date	Project No.	Project Title	Act/Yr	Item No.	MOF	Plans	Land	Design	Constr	Equip
AIRPORTS DIVISION											
19-0193	12/12/2018	AS1037-09	Safety Risk Assessment Facilitation Services, Statewide	53/18	C-45	E			500,000	(500,000)	
19-0277	12/18/2018	AM5021-13	Runway Repaving, Kalaupapa Airport	53/18	C-45	E			205,000	(205,000)	
19-0295	1/10/2019	AO1114-18	Replace Parking Structure Pedestrian Bridge, Daniel K. Inouye International Airport	49/17	C-07	E			1,200,000	(1,200,000)	
19-0360	2/27/2019	AK1046-29	Emergency Staging and Storage Facility, Lihue Airport	49/17	I-14	C	(1,000)			1,000	
19-0360	2/27/2019	AK1046-29	Emergency Staging and Storage Facility, Lihue Airport	49/17	I-14	C			(71,000)	71,000	
19-0367	3/1/2019	AM1109-01	Inbound Baggage Handling System Improvements, Kahului Airport	49/17	C-33	E			900,000	(900,000)	
19-0382	3/5/2019	AO1021-28	Runway and Taxiway Shoulder Rehabilitation, Daniel K. Inouye International Airport	53/18	C-45	E			3,026,000	(3,026,000)	
19-0427	4/9/2019	AO1117-01	Consolidated Car Rental Facility - Design, Daniel K. Inouye International Airport	53/18	C-54.02	E			5,000,000	(5,000,000)	
19-0433	4/29/2019	AS1120-07	Pavement Management System Update, Statewide	53/18	C-45	E	3,000,000			(3,000,000)	
20-0009	7/11/2019	AS1150-07	Pavement Management System Update, Statewide	53/18	C-45	E			2,000,000	(2,000,000)	
20-0026	7/30/2019	AS1037-10	Airfield Part 139 Oversight Services, Statewide	53/18	C-45	E			500,000	(500,000)	
20-0114	9/18/2019	AS1011-29	Airfield Geometry Study, Statewide	53/18	C-45	E	294,667			(294,667)	
HARBORS DIVISION											
20-0167	10/11/2019	H.C. 90113	Commercial Harbors Civil Engineering Support, Phase 6, Statewide	53/18	C-58	B	(1,000)			1,000	
HIGHWAYS DIVISION											
19-0390	03/08/19	BR-083-1(52)	Kamehameha Highway, Kawela Stream Bridge Replacement	119/15	C-64	E			10,000	(10,000)	
19-0447	05/01/19	H1C-01-19	Interstate Route H-1, Kunia Interchange Conceptual Design and Right-of-Way Assessment	53/18	C-148	E	17,000				(17,000)
20-0085	09/13/19	BR-083-1(48)	Kamehameha Highway, Kaipapau Stream Bridge Replacement	53/18	C-92.03	E		300,000		(300,000)	
19-0391	3/15/2019	DP-0374(1)	Kihei Upcountry Highway	122/14	C-94	E			4,200,000		(4,200,000)
19-0228	12/14/18	BR-083-1(58)	Kamehameha Highway, Rehabilitation of Laieloa Stream Bridge, Oahu	134/13	C-54	E			41,400		(41,400)
19-0230	12/14/2018	83F-01-19	Kamehameha Highway, Intersection Improvements at Kahekili Highway	49/17	C-90	E			514,000		(514,000)
19-0228	12/14/18	BR-083-1(58)	Kamehameha Highway, Rehabilitation of Laieloa Stream Bridge, Oahu	134/13	C-54	E			60,000		(60,000)
20-0048	09/03/19	19D-01-19	Kawaihae Road, Waiaka Stream Bridge Replacement	122/14	C-92.02	E		(100,000)	100,000		

Section 26/27 Transfer Savings or Unrequired Balances for Natural Disasters or Other Unforeseen Emergencies

DEPARTMENT OF TRANSPORTATION - Reporting Period: December 1, 2018 - November 30, 2019

AA No.	Date	Act/Year	Item No.	Project No.	Project Title	Supplemental Allotment or Transfer Amount	Act/Year, Item No.	CPN: Appn Symbol	MOF
AIRPORTS DIVISION									
					None				
HARBORS DIVISION									
					None				
HIGHWAYS DIVISION									
					None				

Section 32 Governor's Authority to Increase Federal Ceiling Above Levels Authorized by the Legislature

DEPARTMENT OF TRANSPORTATION - Reporting Period: December 1, 2018 to November 30, 2019

Date	Prog ID	Appropriation Title	Act/Yr	Item No.	Amount	Grant/Fund	Project No.	Project Title	Public Benefit and Intended Use of Additional Federal Funds
AIRPORTS DIVISION									
03/14/19	TRN114	KOA, General Aviation Subdivision, Hawaii	124/16	C-21.02	10,264,500	FAA	AH2023-15	South Ramp Taxiway and Ramp Improvements, KOA	This grant will provide funding to construct general aviation improvements at Ellison Onizuka Kona International Airport at Keahole, Hawaii.
05/21/19	TRN131	Airfield Improvements, Statewide	53/18	C-45	3,600,000	FAA	AM1041-17	Taxiway A/C Intersection Reconstruction, OGG	This grant will provide funding to construct Taxiway improvements at Kahului Airport, Maui.
05/21/19	TRN195	Airfield Improvements, Statewide	53/18	C-45	2,700,000	FAA	AS1120-07	Pavement Management System Update, Statewide	This grant will provide funding to assess airfield pavement condition statewide to determine when to repave or reconstruct airfield pavement for public safety.
05/21/19	TRN102	HNL, Taxiway Light Improvements, Oahu	53/18	C-10	870,000	FAA	AO1022-16	Install Taxiway Hold Lights, HNL	This grant will provide funding to construct airfield lighting at Daniel K. Inouye International Airport, Oahu.
06/19/19	TRN114	Kona International Airport at Keahole	49/17		67,971	FAA	FH2605-19	Furnishing & Delivering One 1500-Gallon w/HERT Aircraft Rescue and Fire Fighting Vehicle	This grant will provide funding to procure a new Aircraft Rescue and Fire Fighting (ARFF) vehicle to ensure Title 14 Code of Federal Regulations Part 139 regulatory compliance and provide emergency infrastructure safety for the travelling public.
06/28/19	TRN102	HNL, Taxiway Light Improvements, Oahu	53/18	C-10	723,641	FAA	AO1022-16	Install Taxiway Hold Lights, HNL	This grant will provide funding to construct airfield lighting at Daniel K. Inouye International Airport, Oahu.
HARBORS DIVISION									
		None							
HIGHWAYS DIVISION									
12/28/18	TRN501	Farrington Highway Intersection Improvements between Honokai Hale and Hakimo Road, Oahu	124/16	C-70	640,050	FAST	STP-093-1(22)	Farrington Highway Intersection Improvements at Nanakuli Avenue and Haleakala Avenue, Oahu	Construction of highway improvements
01/24/19	TRN595	Improvements to Intersections and Highway Facilities, Statewide	53/18	C-151	3,664,600	FAST	HSIP-H1-1(270)	Interstate Route H-1 Safety Improvements, Palailai Interchange to Waiawa Overpass, Oahu	Construction of highway improvements
03/20/19	TRN501	Kalaniana'ole Highway Improvements, Olomana Golf Course to Waimanalo Beach Park, Oahu	122/14	C-52.01	3,360,000	FAST	NH-072-1(53)	Kalaniana'ole Highway Improvements, Phase 1, Olomana Golf Course to Poalima Street, Oahu	Construction of highway improvements

Section 32 Governor's Authority to Increase Federal Ceiling Above Levels Authorized by the Legislature

DEPARTMENT OF TRANSPORTATION - Reporting Period: December 1, 2018 to November 30, 2019

Date	Prog ID	Appropriation Title	Act/Yr	Item No.	Amount	Grant/Fund	Project No.	Project Title	Public Benefit and Intended Use of Additional Federal Funds
06/19/19	TRN501	Farrington Highway Intersection Improvements between Honokai Hale and Hakimo Road, Oahu	122/14	C-58.01	1,155,000	FAST	STP-093-1(22)	Farrington Highway Intersection Improvements at Nanakuli Avenue and Haleakala Avenue, Oahu	Construction of highway improvements
09/10/19	TRN501	Traffic Operational Improvements to Existing Intersections and Highway Facilities, Oahu	40/19	C-44	5,800,200	FAST	NH-061-1(036)	Pali Highway Resurfacing, Vineyard Boulevard to Waakanaka Street, Oahu	Construction of highway improvements

Section 33 Extension of Lapse Dates for Federal Fund Appropriations

DEPARTMENT OF TRANSPORTATION - Reporting Period: December 1, 2018 - November 30, 2019

Prog ID	Letter No.	GOV Approval Date	Fund Title	Fund Symbol	Amount	Original Lapse Date	New Lapse Date	Public Benefit and Intended Use of Federal Funds
Airports Division								
			None					
Harbors Division								
			None					
Highways Division								
			None					

