

**THE THIRTIETH LEGISLATURE  
APPLICATION FOR GRANTS  
CHAPTER 42F, HAWAII REVISED STATUTES**

Type of Grant Request:

Operating                       Capital

Legal Name of Requesting Organization or Individual:    Db:

USS Missouri Memorial Association

Amount of State Funds Requested: \$ 1,000,000

Brief Description of Request (Please attach word document to back of page if extra space is needed):

This grant request is for \$1,000,000 to be used for the renovation of the ship's port-side underlying steel deck and replacement of the teak wood deck flooring.

Amount of Other Funds Available:

State:                      \$ \_\_\_\_\_

Federal:                      \$ \_\_\_\_\_

County:                      \$ \_\_\_\_\_

Private/Other: \$ \_\_\_\_\_

Total amount of State Grants Received in the Past 5 Fiscal Years:

\$ 250,000

Unrestricted Assets:

\$ 4,888,400

New Service (Presently Does Not Exist):     Existing Service (Presently in Operation):

Type of Business Entity:

- 501(C)(3) Non Profit Corporation
- Other Non Profit
- Other

Mailing Address:

63 Cowpens St.

City:    State:    Zip:

Honoulu    HI    96818

Contact Person for Matters Involving this Application

Name:  
Michael A. Carr

Title:  
President & Chief Executive Officer

Email:  
mikec@ussmissouri.org

Phone:  
808.455.1600 (x.242)

Federal Tax ID#:

██████████

State Tax ID#

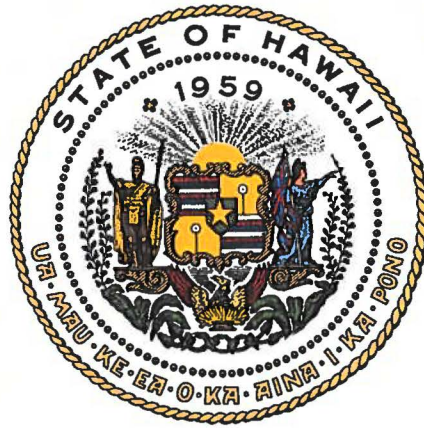
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\_\_\_\_\_  
Authorized Signature

Michael A. Carr, President/CEO  
\_\_\_\_\_  
Name and Title

1/14/2020  
\_\_\_\_\_  
Date Signed

**received**  
01/16/2020



## Department of Commerce and Consumer Affairs

### CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

**USS MISSOURI MEMORIAL ASSOCIATION, INC.**

was incorporated under the laws of Hawaii on 03/16/1994 ;  
that it is an existing nonprofit corporation; and that,  
as far as the records of this Department reveal, has complied  
with all of the provisions of the Hawaii Nonprofit Corporations  
Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set  
my hand and affixed the seal of the  
Department of Commerce and Consumer  
Affairs, at Honolulu, Hawaii.

Dated: January 06, 2020

Director of Commerce and Consumer Affairs

## Application Submittal Checklist

*The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.*

- 1) Certificate of Good Standing (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
  - a) Budget request by source of funds ([Link](#))
  - b) Personnel salaries and wages ([Link](#))
  - c) Equipment and motor vehicles ([Link](#))
  - d) Capital project details ([Link](#))
  - e) Government contracts, grants, and grants in aid ([Link](#))
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing



AUTHORIZED SIGNATURE

MICHAEL A. CARR, PRESIDENT & CEO

PRINT NAME AND TITLE



DATE

**DECLARATION STATEMENT OF  
APPLICANTS FOR GRANTS PURSUANT TO  
CHAPTER 42F, HAWAI'I REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

USS Missouri Memorial Association

(Typed Name of Individual or Organization)



(Signature)

1/14/2020

(Date)

Michael A. Carr

(Typed Name)

President & Chief Executive Officer

(Title)



**Public Purpose  
§42F-102**

Applications for grants. Requests for grants shall be submitted to the appropriate standing committees of the legislature at the start of each regular session of the legislature. Each request shall state:

**(1) The name of the requesting organization or individual;**

USS Missouri Memorial Association

**(2) The public purpose for the grant;**

Education

The UMMA education department provides Title I schools in Oahu and some neighbor islands with subsidized transportation and admission fees to the USS Missouri Memorial. Subsidized students are provided with access to a range of grade appropriate education programs aboard the Battleship Missouri.

Education programs for grades K-12 include:

- Science, Technology, Engineering, & Math (STEM) programs including Computer Robotics and Digital Media
- An educational tour of the ship covering its historical significance and the unique engineering aspects of America's last Battleship.
- Overnight Encampments onboard the ship focus on team-building skills
- Journey with the Stars explores ancient Hawaiian and modern Naval navigation techniques
- Ship Full of Smiles dental hygiene program
- Music Performance Program inviting student bands and drill to perform at our historic venue
- Skype Virtual Classroom reaching 13 countries as certified Microsoft Innovative Educators

Since 2008, over 100,000 students from have participated in our education programs (including over 4,000 STEM student participants).

In a commitment to significantly expand the educational outreach of the USS Missouri Memorial, the UMMA has initiated the construction of a new Education Resource Center. The Center will include a museum-standard, climate-controlled, historic artifact preservation facility.

In cooperation with our Pearl Harbor partners (the USS Arizona Memorial, the Pearl Harbor Aviation Museum, and the USS Bowfin Memorial), the Battleship Missouri also serves as an educational venue for collaborative education programs.

Tourism

The USS Missouri Memorial is an economic engine providing a historic venue that attracts more than 600,000 visitors each year. Recognized as one of Hawaii's top (5) privately operated, paid visitor destinations, it employs more than (150) full and part time staff.

### Community Services

The ship's upgraded aft fantail section provides a special event venue for military ceremonies, community commemorative events, and education presentations including:

- Military: Serving the military community as the favored venue for retirements, reenlistments and change of commands for all branches of the Armed Forces.
- Public: Historic commemorations open to the public include the anniversary of the End of WWII (September), Veterans Day (November), Living History Day (January), and the 4th of July.

### **(3) The services to be supported by the grant;**

The founding mission of the USS Missouri Memorial Association is to “...*preserve the Battleship Missouri and share her story and place in history.*” To accomplish this goal, the Association focuses on three mission-strategic initiatives: Preservation, Education, and Community Outreach. Of these three initiatives, the ongoing preservation of the ship is a central priority to the fulfillment of the overall mission.

Ship preservation efforts focus on restoring the historic appearance of the Battleship Missouri at the time of the completion of her last mission on December 7, 1991. A key component of the ship's preservation is the renovation of the historic teak wood main deck. Seventy-five years of the corrosive effects of salt air, sun, and maritime weather conditions have compromised the structural integrity of the ship's main deck.

Therefore, renovating the underlying steel deck surface and replacing the historic teak wood deck flooring maximizes the lifespan of the Battleship Missouri for future generations.

### **(4) The target group; and**

#### Global Tourism

- Approximately 600,000 annual visitors from around the world. The majority of annual visitors are from countries in the Pacific Rim (i.e., United States, Japan, China, and Australia).

#### Students

- The USS Missouri serves an average of 10,000 students per year from Hawaii and the U.S. mainland with education programs and music performance opportunities. The Battleship Missouri provides students from Title I schools in the state of Hawaii with subsidized travel and admission fees to access the ship's education programs.
- Through the Skype Virtual Classroom and the Microsoft Educator Community, the UMMA Education Department has visited 13 countries, and logged over 1,000,000 virtual miles from Hawaii to the world. International Participants include 10 European countries, 5 countries in Asia and 3 countries in South America. Virtual Classrooms also include our many North American participants.

Military Personnel

- The Battleship Missouri Memorial serves the local military community as the favored venue for retirements, reenlistments and change of commands for all branches of the Armed Forces in the State of Hawaii. In 2019, the ship hosted 703 such ceremonies for military personal and their families.
- More than 5,000 former living crew members who served on the ship during her deployment history.
- Local, national, and international veterans

Oahu Residents

- UMMA employees (150 full and part time staff)
- Local community volunteers that give an annual average of 15,000 volunteer hours per year

**(5) and the cost of the grant and the budget.** [L 1997, c 190, pt of §3; am L 2014, c 96, §6]

This grant request is for \$1,000,000 to be applied toward the renovation of the ship's portside underlying steel deck and replacement of the teak wood deck flooring. The total estimated cost of this project is: \$1,550,000.

## Application for Grants

**If any item is not applicable to the request, the applicant should enter "not applicable".**

### **I. Certification – Please attach immediately after cover page**

#### **1. Certificate of Good Standing (If the Applicant is an Organization)**

**If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2019.**

(See attachment)

#### **2. Declaration Statement**

**The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes. ([Link](#))**

(See attachment)

#### **3. Public Purpose**

**The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. ([Link](#))**

(See attachment)

### **II. Background and Summary**

**This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:**

#### **1. A brief description of the applicant's background;**

The USS Missouri Memorial Association (UMMA) is a federally recognized 501(c)(3) non-profit corporation established in 1994 with the following mission: *"The USS Missouri Memorial Association is dedicated to preserving the Battleship Missouri and sharing her story and place in history."* The Association focuses on the following mission-strategic initiatives: Preservation, Education, and Community Outreach.

USS Missouri was commissioned in 1944 during WWII. The Japanese signed the instrument of surrender on September 2, 1945, aboard the USS Missouri bringing WWII to a close. In 1950 the USS Missouri was deployed during the Korean War and again in



1991 in Operation Desert Storm. In 1992, the Missouri was decommissioned for the final time.

The UMMA has been designated by the U.S. Navy as caretaker of the Battleship Missouri. In 2017, the UMMA and the U.S. Navy initiated a 25-year lease assuring a permanent home for the Battleship Missouri in Pearl Harbor standing watch over the USS Arizona Memorial. The ship is a historic artifact placed on the National Register of Historic Places.

Since opening to the public in January 1999, the ship's story and place in history has been shared with over **nine million** visitors. Recognized as one of the state's top five privately operated, paid visitor destinations, the Battleship Missouri Memorial is a significant contributor to the economic vitality of Hawaii's visitor industry—the largest driver of the state's GDP.

## **2. The goals and objectives related to the request;**

The founding mission of the USS Missouri Memorial Association is to “...*preserve the Battleship Missouri and share her story and place in history.*” To accomplish this goal, the Association focuses on three mission-strategic initiatives: Preservation, Education, and Community Outreach. Of these three initiatives, the ongoing preservation of the ship is a central priority to the fulfillment of the overall mission.

Ship preservation efforts focus on restoring the historic appearance of the Battleship Missouri at the time of the completion of her last mission on December 7, 1991. A key component of the ship's preservation is the renovation of the historic teak wood main deck. Seventy-five years of the corrosive effects of salt air, sun, and maritime weather conditions have compromised the structural integrity of the ship's main deck. Therefore, renovating the underlying steel deck surface and replacing the historic teak wood deck flooring maximizes the lifespan of the Battleship Missouri for future generations.

This grant request is for \$1,000,000 to be used for the renovation of the ship's portside underlying steel deck and replacement of the teak wood deck flooring. The total estimated cost of this project is: \$1,550,000.

## **3. The public purpose and need to be served;**

### Education

The UMMA education department provides Title I schools in Oahu and some neighbor islands with subsidized transportation and admission fees to the USS Missouri Memorial. Subsidized students are provided with access to a range of grade appropriate education programs aboard the Battleship Missouri.

Education programs for grades K-12 include:

- Science, Technology, Engineering, & Math (STEM) programs including Computer Robotics and Digital Media

- An educational tour of the ship covering its historical significance and the unique engineering aspects of America's last Battleship.
- Overnight Encampments onboard the ship focus on team-building skills
- Journey with the Stars explores ancient Hawaiian and modern Naval navigation techniques
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- Music Performance Program inviting student bands and drill to perform at our historic venue
- Skype Virtual Classroom reaching 13 countries as certified Microsoft Innovative Educators

Since 2008, over 100,000 students from have participated in our education programs (including over 4,000 STEM student participants).

In a commitment to significantly expand the educational outreach of the USS Missouri Memorial, the UMMA has initiated the construction of a new Education Resource Center. The Center will include a museum-standard, climate-controlled, historic artifact preservation facility.

In cooperation with our Pearl Harbor partners (the USS Arizona Memorial, the Pearl Harbor Aviation Museum, and the USS Bowfin Memorial), the Battleship Missouri also serves as an educational venue for collaborative education programs.

#### Community Services

The ship's upgraded aft fantail section provides a special event venue for military ceremonies, community commemorative events, and education presentations including:

- **Military:** Serving the military community as the favored venue for retirements, reenlistments and change of commands for all branches of the Armed Forces.
- **Public:** Historic commemorations open to the public include the anniversary of the End of WWII (September), Veterans Day (November), Living History Day (January), and the 4th of July.

#### **4. & 5. Describe the target population to be served; and describe the geographic coverage.**

##### Global Tourism

- Approximately 600,000 annual visitors from around the world. The majority of annual visitors are from countries in the Pacific Rim (i.e., United States, Japan, China, and Australia).

##### Students

- The USS Missouri serves an average of 10,000 students per year from Hawaii and the U.S. mainland with education programs and music performance opportunities. The Battleship Missouri provides students from Title I schools in the state of Hawaii with subsidized travel and admission fees to access the ship's education programs.

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- More than 5,000 former living crew members who served on the ship during her deployment history.
- Local, national, and international veterans

#### Oahu Residents

- UMMA employees (150 full and part time staff)
- Local community volunteers that give an annual average of 15,000 volunteer hours per year

### **III. Service Summary and Outcomes**

**The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:**

#### **1. Describe the scope of work, tasks and responsibilities;**

The scope of work, tasks, and responsibilities include:

- Renovating and replacing the underlying steel deck surface of the portside project area
- Replacing the historic teak wood deck flooring in this targeted section
- Supervision of quality of work and compliance with required standards of workmanship
- Coordination of project work flow with public safety and public access to exhibit areas
- Monitoring of project budget costs and projected timelines for completion

#### **2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;**

2020: 08 SEP - 31 DEC

- Project 27 Inboard Turret 1

2021: 03 JAN - 31 DEC

- Project 28 Outboard Turret 1

- Project 29 Quarterdeck
- Project 30 Forward Bow

2022: 03 JAN - 31 DEC

- Project 31 Officers Country
- Project 32 Wardroom
- Project 33 Ladder

2023: 03 JAN - 01 SEP

- Project 34: 40' Utility Boat
- Project 35 Shore Power Box

Summary:

- Nine total projects
- Four months/project
- 600 sf. avg/project
- 5,400 sf. total project area
- 36 months to complete all projects

**3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and**

- Steel deck paint application will be inspected by a third-party coating inspector
- Quality assurance and standard operating procedures will be executed under the supervision of the UMMA Vice President of Engineering and Facilities, Jim Stone and the UMMA Director of Engineering Operations, Les Lancaster;
- By employing the “Continuous Improvement” model, the UMMA Teak Crew will build on lessons learned on previous projects over the past eight years.
- Each project will have a dedicated “Teak Deck Replacement Record” to monitor compliance with project metrics

**4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.**

- Effectiveness will be measured by the area of teak deck replaced in a four-month period in compliance with quality of work standards, public safety, and public access to museum exhibit areas
- Compliance with considered project metrics (i.e., quality of work, time, and area completed) will be reported to the appropriate agency on a recurring basis



- An estimated cost metric of \$288/sf (labor and material) will be compared to actual costs to monitor overall project budget projections

## **IV. Financial**

### **Budget**

- 1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.**
  - a. Budget request by source of funds ([Link](#))**
  - b. Personnel salaries and wages ([Link](#))**
  - c. Equipment and motor vehicles ([Link](#))**
  - d. Capital project details ([Link](#))**
  - e. Government contracts, grants, and grants in aid ([Link](#))**
- 2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2021.**

| Quarter 1 | Quarter 2    | Quarter 3    | Quarter 4    | Total Grant    |
|-----------|--------------|--------------|--------------|----------------|
|           | \$333,334.00 | \$333,333.00 | \$333,333.00 | \$1,000,000.00 |

- 3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2021.**

Sources of funding pursued for fiscal year 2021:

- The UMMA Expanding Our Horizons Capital Campaign seeks raise \$2,500,000 to fund the 75<sup>th</sup> Anniversary of the End of WWII commemorative events, and to renovate pier facilities.
- The Hawaii 75th WWII Commemoration Committee is seeking \$500,000 from the Hawaii Tourism Authority for the 75<sup>th</sup> Anniversary of the End of WWII Commemorative Events. A portion of these event will take place aboard the Battleship Missouri.
- The UMMA is seeking a \$250,000 Grant in Aid from the state of Missouri.

- 4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.**

Not applicable.

- 5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2021 for program funding.**

Grants in Aid received by the UMMA:

- State of Missouri: \$242,500 awarded and funded in 2019
- State of Hawaii \$250,000 awarded in 2019—funding pending

**6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2019.**

Unaudited unrestricted current assets as of 12/31/2019: \$4,888,400; *(stated amount is prior to 2019 audit).*

## **V. Experience and Capability**

### **1. Necessary Skills and Experience**

**The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.**

The Board of Directors and management team of the UMMA have the necessary skills and experience to oversee large repair and renovation projects aboard the Battleship Missouri. Successful projects include:

- Repair and replacement of 5,400 sf. of the main teak wood deck flooring and underlying steel deck surface was launched in January of 2017 and completed in December of 2019. The project required over 14,000 man-hours of teak wood labor and included 1,000 man-hours of Steel Shop labor.
- In December of 2019, completed the \$3 million Aft Superstructure repair and preservation project
- In 2019, the \$3.5 million forward superstructure repair and preservation project. The project entailed repairs of nearly 27,000 square feet of steel surface and application of protective coatings and rainwater drainage to support long-term preservation efforts.
- In early 2019 the Battleship Missouri Memorial welcomed its nine millionth visitor since opening to the public in 1999. This significant milestone affirms the professional expertise and competence of the UMMA to successfully operate and maintain a world-class tourist destination.
- In 2017, an additional \$1.5 million in capital improvements were completed including: 180 ft. ADA access ramp, underwater coffer dam inspection and repair, along with various auxiliary improvements to the ship.

- The longevity and sustainability of the Battleship Missouri Memorial as a world-class tourist destination was secured with the contracted twenty-five-year lease with the U.S. Navy initiated in 2017.

## **2. Facilities**

**The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.**

The USS Missouri Memorial Association (UMMA) is a federally recognized 501(c)(3) non-profit corporation established in 1994 with the following mission: *“The USS Missouri Memorial Association is dedicated to preserving the Battleship Missouri and sharing her story and place in history.”* The Association focuses on three mission-strategic initiatives: Preservation and Restoration, Education, and Community Outreach.

The UMMA has been designated by the U.S. Navy as caretaker of the Battleship Missouri. In 2017, the UMMA and the U.S. Navy entered a 25-year lease assuring a permanent home for the Battleship Missouri in Pearl Harbor, Hawaii standing watch over the USS Arizona Memorial. The ship is a historic artifact placed on the National Register of Historic Places.

## **VI. Personnel: Project Organization and Staffing**

### **1. Proposed Staffing, Staff Qualifications, Supervision and Training**

**The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.**

President and CEO, Michael Carr, has more than 30 years of visitor industry experience. In addition, Mr. Carr serves as President of the Historic Naval Ship’s Association (HNSA) and is informed by the industry’s best practices in maintaining the Battleship Missouri Memorial as a state-of-the-art, historic venue serving the public interest.

Jim Stone, Vice President of Engineering and Facilities: Over 30 years of marine engineering and facility experience. Mr. Stone oversees the organization’s numerous capital projects and its thirty-eight Engineering Department employees. Mr. Stone is a former senior naval officer with global experience in support of ship maintenance and logistics.

David Hamilton, Teak Preservation Supervisor, has over twenty years of experience in the highly specialized field of teak wood deck repair and replacement aboard the Battleship Missouri.

Tom Manuel, Vice President and Chief Operating Officer, CPA: Over 37 years of finance, accounting and business administration. Mr. Manuel oversees the organization's finances and provides oversight of administrative functions and has been with the organization since its inception.

## **2. Organization Chart**

**The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.**

See attached organization chart.

## **3. Compensation**

**The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.**

|              |  |
|--------------|--|
| \$236,991.00 | President & Chief Executive Officer      |
| \$140,347.00 | Vice President, Engineering & Facilities |
| \$115,000.00 | Vice President, Chief Operating Officer  |

## **VII. Other**

### **1. Litigation**

**The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.**

Pending litigation entails legal action to be undertaken by the USS Missouri Memorial Association for the collection of unpaid fees for services provided.

### **2. Licensure or Accreditation**

**The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.**

The Battleship Missouri maintains qualifications that are relevant to this request and ensure the highest standards of operation:

- The Battleship Missouri Memorial is one of four members (WWII Valor in the Pacific Monument – USS Arizona, USS Bowfin, Pacific Aviation Museum) of the Pearl Harbor Historic Sites Association.



- The Battleship Missouri Memorial is a registered National Historic Site (a National Trust for Historic Preservation Site).
- The USS Missouri is a member of the Historic Naval Ship's Association (HNSA). HNSA represents over 180 ships worldwide dedicated to preserving these historic vessels and sharing their histories with future generations. Michael Carr serves as the current President of the HNSA.
- Financial Policy Standards: The UMMA solicits and processes donations in accordance with the International Association of Fundraising Professionals Standards of Ethics and adheres to the "Donor Bill of Rights."
- Annual Navy Standards review for battleships: Even though a decommissioned ship, the USS Missouri invites the Navy to review our operation for approval and recommendations. The Battleship Missouri has received the highest rating at each bi-annual review.
- Security Compliance: The UMMA security department functions under a MOU with the U.S. Navy to provide security access to the ship on Ford Island in compliance with Navy security standards.

### **3. Private Educational Institutions**

**The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see [Article X, Section 1, of the State Constitution](#) for the relevance of this question.**

Not applicable.

### **4. Future Sustainability Plan**

**The applicant shall provide a plan for sustaining after fiscal year 2020-21 the activity funded by the grant if the grant of this application is:**

- (a) Received by the applicant for fiscal year 2020-21, but**
- (b) Not received by the applicant thereafter.**

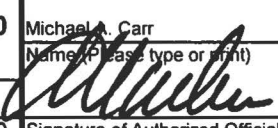
If Grant in Aid funding is received for fiscal year 2020-21, the balance of project funding will be required before the Association will initiate the proposed project.

If future GIA funding is not awarded to the UMMA, the Association will require other funding sources to begin and complete the project. Supplemental funding sources are in the process of acquisition to secure the entire estimated project total before beginning the proposed renovation.

## BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2020 to June 30, 2021

Applicant: **USS Missouri Memorial Association**

| BUDGET CATEGORIES                       | Total State Funds Requested<br>(a) | Total Federal Funds Requested<br>(b)   | Total County Funds Requested<br>(c) | Total Private/Other Funds Requested<br>(d) |
|---|------------------------------------|--|-------------------------------------|--|
| <b>A. PERSONNEL COST</b>                |                                    |  |                                     |  |
| 1. Salaries                             |                                    |  |                                     |  |
| 2. Payroll Taxes & Assessments          |                                    |  |                                     |  |
| 3. Fringe Benefits                      |                                    |  |                                     |  |
| <b>TOTAL PERSONNEL COST</b>             |                                    |  |                                     |  |
| <b>B. OTHER CURRENT EXPENSES</b>        |                                    |  |                                     |  |
| 1. Airfare, Inter-Island                |                                    |  |                                     |  |
| 2. Insurance                            |                                    |  |                                     |  |
| 3. Lease/Rental of Equipment            |                                    |  |                                     |  |
| 4. Lease/Rental of Space                |                                    |  |                                     |  |
| 5. Staff Training                       |                                    |  |                                     |  |
| 6. Supplies                             |                                    |  |                                     |  |
| 7. Telecommunication                    |                                    |  |                                     |  |
| 8. Utilities                            |                                    |  |                                     |  |
| 9                                       |                                    |  |                                     |  |
| 10                                      |                                    |  |                                     |  |
| 11                                      |                                    |  |                                     |  |
| 12                                      |                                    |  |                                     |  |
| 13                                      |                                    |  |                                     |  |
| 14                                      |                                    |  |                                     |  |
| 15                                      |                                    |  |                                     |  |
| 16                                      |                                    |  |                                     |  |
| 17                                      |                                    |  |                                     |  |
| 18                                      |                                    |  |                                     |  |
| 19                                      |                                    |  |                                     |  |
| 20                                      |                                    |  |                                     |  |
| <b>TOTAL OTHER CURRENT EXPENSES</b>     |                                    |  |                                     |  |
| <b>C. EQUIPMENT PURCHASES</b>           |                                    |  |                                     |  |
| <b>D. MOTOR VEHICLE PURCHASES</b>       |                                    |  |                                     |  |
| <b>E. CAPITAL</b>                       | <b>1,000,000</b>                   |  |                                     | <b>550,000</b>                             |
| <b>TOTAL (A+B+C+D+E)</b>                | <b>1,000,000</b>                   |  |                                     |  |
| <b>SOURCES OF FUNDING</b>               |                                    | Budget Prepared By:  |                                     |  |
| (a) Total State Funds Requested         | <b>1,000,000</b>                   | Michael A. Carr  | 808.455.1600                        |  |
| (b) Total Federal Funds Requested       |                                    | Name (Please type or print)  | Phone                               |  |
| (c) Total County Funds Requested        |                                    |  |                                     | 1/14/2020                                  |
| (d) Total Private/Other Funds Requested | <b>550,000</b>                     | Signature of Authorized Official   |                                     | Date                                       |
| <b>TOTAL BUDGET</b>                     | <b>1,550,000</b>                   | Michael A. Carr  | President & Chief Executive Officer |  |
|   |                                    | Name and Title (Please type or print)  |                                     |  |

## BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

Period: July 1, 2020 to June 30, 2021

Applicant: **USS Missouri Memorial Association**

| POSITION TITLE                 | FULL TIME EQUIVALENT | ANNUAL SALARY<br>A | % OF TIME ALLOCATED TO GRANT REQUEST<br>B | TOTAL STATE FUNDS REQUESTED<br>(A x B) |
|--------------------------------|----------------------|--------------------|---|--|
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
|                                |                      |                    |   | \$ -                                   |
| <b>TOTAL:</b>                  |                      |                    |   | 0.00                                   |
| <b>JUSTIFICATION/COMMENTS:</b> |                      |                    |   |  |
|                                |                      |                    |   |  |

## BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2020 to June 30, 2021

Applicant: **USS Missouri Memorial Association**

| DESCRIPTION<br>EQUIPMENT       | NO. OF<br>ITEMS | COST PER<br>ITEM | TOTAL<br>COST | TOTAL<br>BUDGETED |
|--------------------------------|-----------------|------------------|---------------|-------------------|
|                                |                 |                  | \$ -          |                   |
|                                |                 |                  | \$ -          |                   |
|                                |                 |                  | \$ -          |                   |
|                                |                 |                  | \$ -          |                   |
|                                |                 |                  | \$ -          |                   |
| <b>TOTAL:</b>                  |                 |                  |               | <b>0</b>          |
| <b>JUSTIFICATION/COMMENTS:</b> |                 |                  |               |                   |

| DESCRIPTION<br>OF MOTOR VEHICLE | NO. OF<br>VEHICLES | COST PER<br>VEHICLE | TOTAL<br>COST | TOTAL<br>BUDGETED |
|---------------------------------|--------------------|---------------------|---------------|-------------------|
|                                 |                    |                     | \$ -          |                   |
|                                 |                    |                     | \$ -          |                   |
|                                 |                    |                     | \$ -          |                   |
|                                 |                    |                     | \$ -          |                   |
|                                 |                    |                     | \$ -          |                   |
| <b>TOTAL:</b>                   |                    |                     |               | <b>0</b>          |
| <b>JUSTIFICATION/COMMENTS:</b>  |                    |                     |               |                   |



## BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2020 to June 30, 2021

Applicant: **USS Missouri Memorial Association**

| FUNDING AMOUNT REQUESTED       |  |                |                       |                                  |                                      |              |
|--------------------------------|--|----------------|-----------------------|----------------------------------|--------------------------------------|--------------|
| TOTAL PROJECT COST             | ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS |                | STATE FUNDS REQUESTED | OTHER SOURCES OF FUNDS REQUESTED | FUNDING REQUIRED IN SUCCEEDING YEARS |              |
|                                | FY: 2018-2019                                | FY: 2019-2020  | FY:2020-2021          | FY:2020-2021                     | FY:2021-2022                         | FY:2022-2023 |
| PLANS                          |  |                |                       |                                  |                                      |              |
| LAND ACQUISITION               |  |                |                       |                                  |                                      |              |
| DESIGN                         |  |                |                       |                                  |                                      |              |
| CONSTRUCTION                   |  | 250000         | 1000000               | 550000                           |                                      |              |
| EQUIPMENT                      |  |                |                       |                                  |                                      |              |
| <b>TOTAL:</b>                  |  | <b>250,000</b> | <b>1,000,000</b>      | <b>1,550,000</b>                 |                                      |              |
| <b>JUSTIFICATION/COMMENTS:</b> |  |                |                       |                                  |                                      |              |

**GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID**

Applicant: **USS Missouri Memorial Association**

Contracts Total: **250,000**

|    | <b>CONTRACT DESCRIPTION</b> | <b>EFFECTIVE DATES</b> | <b>AGENCY</b>    | <b>GOVERNMENT ENTITY</b><br>(U.S. / State / Haw / Hon / Kau / Mau) | <b>CONTRACT VALUE</b> |
|----|-----------------------------|------------------------|------------------|--|-----------------------|
| 1  | Hawaii State Grant in Aid   | 6/19/2019              | Dept. of Defense | State of Hawaii  | 250,000               |
| 2  |                             |                        |                  |  |                       |
| 3  |                             |                        |                  |  |                       |
| 4  |                             |                        |                  |  |                       |
| 5  |                             |                        |                  |  |                       |
| 6  |                             |                        |                  |  |                       |
| 7  |                             |                        |                  |  |                       |
| 8  |                             |                        |                  |  |                       |
| 9  |                             |                        |                  |  |                       |
| 10 |                             |                        |                  |  |                       |
| 11 |                             |                        |                  |  |                       |
| 12 |                             |                        |                  |  |                       |
| 13 |                             |                        |                  |  |                       |
| 14 |                             |                        |                  |  |                       |
| 15 |                             |                        |                  |  |                       |
| 16 |                             |                        |                  |  |                       |
| 17 |                             |                        |                  |  |                       |
| 18 |                             |                        |                  |  |                       |
| 19 |                             |                        |                  |  |                       |
| 20 |                             |                        |                  |  |                       |
| 21 |                             |                        |                  |  |                       |
| 22 |                             |                        |                  |  |                       |
| 23 |                             |                        |                  |  |                       |
| 24 |                             |                        |                  |  |                       |
| 25 |                             |                        |                  |  |                       |
| 26 |                             |                        |                  |  |                       |
| 27 |                             |                        |                  |  |                       |
| 28 |                             |                        |                  |  |                       |
| 29 |                             |                        |                  |  |                       |
| 30 |                             |                        |                  |  |                       |



# UMMA ORGANIZATION CHART 2019-2020

