

**THE THIRTIETH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES**



The Marty & Mickey Foundation
Transformation through Education

Type of Grant Request:

Operating Capital

Legal Name of Requesting Organization or Individual: Db:
Marty and Mickey Kao Foundation

Amount of State Funds Requested: \$ 302,120.00

Brief Description of Request (Please attach word document to back of page if extra space is needed):
Promote the health, education, and personal development of Hawaii's children by planning, coordinating, and building the Manoa Children's Recreational Playground at Noelani Elementary School. The playground can be utilized by both Noelani's K-5 students as well as all of Honolulu's youths.

Amount of Other Funds Available:

State: \$ 0
Federal: \$ 0
County: \$ 0
Private/Other: \$ 90,000

Total amount of State Grants Received in the Past 5 Fiscal Years:

\$ 0

Unrestricted Assets:

\$ _____

New Service (Presently Does Not Exist): Existing Service (Presently in Operation):

Type of Business Entity:

501(C)(3) Non Profit Corporation
 Other Non Profit
 Other

Mailing Address:

841 Bishop St.

City:

Honolulu

State:

HI

Zip:

96813

Contact Person for Matters Involving this Application

Chris Lam

Name:

Chris Lam

Title:

Project Manager

Email:

chris.lam@htshawaii.com

Phone:

(808)388-3567

Federal Tax ID#:

██████████

State Tax ID#

██████████

George C. Kao

Authorized Signature

George Kao

Director

Name and Title

1/16/2020

Date Signed

9:00 am



Application Submittal Checklist

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- 1) Certificate of Good Standing (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
 - a. Budget request by source of funds ([Link](#))
 - b. Personnel salaries and wages ([Link](#))
 - c. Equipment and motor vehicles ([Link](#))
 - d. Capital project details ([Link](#))
 - e. Government contracts, grants, and grants in aid ([Link](#))
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing



AUTHORIZED SIGNATURE

Martin Kao Chairman
PRINT NAME AND TITLE

11/23/2019
DATE

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.

- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.

- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Marty and Mickey Kao Foundation

(Typed Name of Individual or Organization)


(Signature)

1/16/202
(Date)

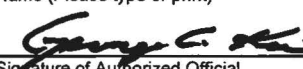
George Kao
(Typed Name)

Director
(Title)

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2019 to June 30, 2020

Applicant: Marty and Mickey Kao Foundation

| BUDGET CATEGORIES | Total State Funds Requested (a) | Total Federal Funds Requested (b) | Total County Funds Requested (c) | Total Private/Other Funds Requested (d) |
|---|---------------------------------|--|----------------------------------|---|
| A. PERSONNEL COST | | | | |
| 1. Salaries | 74,695 | | | |
| 2. Payroll Taxes & Assessments | 5,976 | | | |
| 3. Fringe Benefits | 10,831 | | | |
| TOTAL PERSONNEL COST | 91,502 | 0 | 0 | 0 |
| B. OTHER CURRENT EXPENSES | | | | |
| 1. Airfare, Inter-Island | 0 | | | |
| 2. Insurance | 0 | | | |
| 3. Lease/Rental of Equipment | 0 | | | |
| 4. Lease/Rental of Space | 0 | | | |
| 5. Staff Training | 0 | | | |
| 6. Supplies | 0 | | | |
| 7. Telecommunication | 0 | | | |
| 8. Utilities | 0 | | | |
| 9. Professional Fees (Construction/Logistics) | 51,546 | 0 | 0 | 0 |
| 10. | | | | |
| 11. | | | | |
| 12. | | | | |
| 13. | | | | |
| 14. | | | | |
| 15. | | | | |
| 16. | | | | |
| 17. | | | | |
| 18. | | | | |
| 19. | | | | |
| 20. | | | | |
| TOTAL OTHER CURRENT EXPENSES | 51,546 | 0 | 0 | 0 |
| C. EQUIPMENT PURCHASES | 159,072 | 0 | 0 | 0 |
| D. MOTOR VEHICLE PURCHASES | 0 | 0 | 0 | 0 |
| E. CAPITAL | | | | |
| TOTAL (A+B+C+D+E) | 302,120 | 0 | 0 | 0 |
| SOURCES OF FUNDING | | Budget Prepared By: | | |
| (a) Total State Funds Requested | | Chris Lam | (808)388-3567 | |
| (b) Total Federal Funds Requested | | Name (Please type or print) Phone | | |
| (c) Total County Funds Requested | |  | 11/20/2019 | |
| (d) Total Private/Other Funds Requested | | Signature of Authorized Official Date | | |
| TOTAL BUDGET | | Project Manager | | |
| | | Name and Title (Please type or print) | | |

BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES

Period: July 1, 2019 to June 30, 2020

Applicant: Marty and Mickey Kao Foundation

C

| POSITION TITLE | FULL TIME EQUIVALENT | ANNUAL SALARY A | % OF TIME ALLOCATED TO GRANT REQUEST B | TOTAL STATE FUNDS REQUESTED (A x B) |
|---|----------------------|-----------------|--|-------------------------------------|
| Executive Director (Primary Investigator) | 100% FTE | | 20.00% | \$ 24,000.00 - |
| Project Manager | 100% FTE | | 25.00% | \$ 25,312.50 - |
| Treasurer | 100% FTE | | 15.00% | \$ 14,775.00 - |
| Project Coordinator | 100% FTE | | 20.00% | \$ 10,608.00 - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| | | | | \$ - |
| TOTAL: | | | | 74,695.50 |
| JUSTIFICATION/COMMENTS: | | | | |

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2019 to June 30, 2020

Applicant: Marty and Mickey Kao Foundation

| DESCRIPTION EQUIPMENT | NO. OF ITEMS | COST PER ITEM | TOTAL COST | TOTAL BUDGETED |
|-------------------------------------|-----------------|------------------|----------------|---------------------|
| 8 Foot High Arch Post Swing | 1 | \$2420.00 | \$ 2420.00 - | \$2420.00 |
| Playground Play System | 2 | \$63,678.00 | \$ 127,356.00 | \$127,356.00 |
| Ladder Climber | 1 | \$3720.00 | \$ 3720.00 - | \$3720.00 |
| Spring System | 2 | \$1120.00 | \$ 2240.00 - | \$2240.00 |
| Playground Safety Surface Materials | 1 | \$23,336.00 | \$ 23,336.00 - | \$23,336.00 |
| TOTAL: | | | | \$159,072.00 |

JUSTIFICATION/COMMENTS:

| DESCRIPTION OF MOTOR VEHICLE | NO. OF VEHICLES | COST PER VEHICLE | TOTAL COST | TOTAL BUDGETED |
|---------------------------------|--------------------|---------------------|---------------|-------------------|
| | | | \$ - | |
| | | | \$ - | |
| | | | \$ - | |
| | | | \$ - | |
| | | | \$ - | |
| TOTAL: | | | | |

JUSTIFICATION/COMMENTS:

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2019 to June 30, 2020

Applicant: Marty and Mickey Kao Foundation

| FUNDING AMOUNT REQUESTED | | | | | | |
|--------------------------------|--|---------------|-----------------------|----------------------------------|--------------------------------------|--------------|
| TOTAL PROJECT COST | ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS | | STATE FUNDS REQUESTED | OTHER SOURCES OF FUNDS REQUESTED | FUNDING REQUIRED IN SUCCEEDING YEARS | |
| | FY: 2017-2018 | FY: 2018-2019 | FY:2019-2020 | FY:2019-2020 | FY:2020-2021 | FY:2021-2022 |
| PLANS | | | \$2105 | | | |
| LAND ACQUISITION | | | 0 | | | |
| DESIGN | | | \$4221 | | | |
| CONSTRUCTION | | | \$45,220 | | | |
| EQUIPMENT | | | \$159,072 | | | |
| TOTAL: | 0 | 0 | \$210,618 | 0 | 0 | 0 |
| JUSTIFICATION/COMMENTS: | | | | | | |

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

Applicant: Marty and Mickey Kao Foundation

Contracts Total: -

| | CONTRACT DESCRIPTION | EFFECTIVE DATES | AGENCY | GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau) | CONTRACT VALUE |
|----|-------------------------------|------------------------|---------------|---|-----------------------|
| 1 | Manoa Recreational Playground | 1/1/2020-12/31/2020 | | US | \$302,120 |
| 2 | | | | | |
| 3 | | | | | |
| 4 | | | | | |
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| 30 | | | | | |

Application for Grants

II. Background and Summary

- A) Brief description of applicant's background:
- a. Founded in 2018, the Marty & Mickey Kao Foundation's mission is to empower primarily disadvantaged and public school children to reach their full potential through personal development and education. The foundation provides funding and logistical support to public schools and develops community programs and activities in partnership with local school administrators and educators.
- B) The Goals and objectives related to the request:
- a. The Marty & Mickey Kao Foundation is collaborating with Noelani Elementary School, a local Hawaii State public school in Manoa, and Noelani's PTA to develop the Manoa Recreational Playground Facility for both the Noelani students as well as the larger Honolulu community. At present Noelani does not have a playground with any of the standard playground equipment that all children should have access to. Instead many of the students at the school only have access to an open field during recess with minimal recreational equipment of any kind.
 - b. The Foundation's goal is to build a new recreational playground on what is currently an open field at Noelani School. This new Manoa Recreational Playground would be utilized by both Noelani's elementary students as well as the local Honolulu community as the Noelani field is currently open to the public during non-school hours. A new recreational playground would enable the school to develop new educational programs for students that promote exercise, health, and fitness.
- C) The Public purpose and need to be served:
- a. All children need a safe place to play and exercise. Without basic playground equipment the children who go to Noelani School as well as those who live in the larger local community are not having that need met. The new recreational playground would:
 - i. Empower children to lead an active lifestyle and understand the health benefits associated with that.
 - ii. Connect school and home by creating a safe place to meet and play
 - iii. Inspire our children to make physical activity a part of their daily life
 - iv. Encourage cognitive development and imagination

- v. Increase emotional confidence and foster social interaction and cooperation
 - vi. Promote moderate and rigorous levels of physical activity that lead to strength, endurance, flexibility, balance, and cardiovascular fitness
 - vii. Increase the ability of local children to meet the national P.E. standards.
- b. Worries over obesity and sedentary lifestyles have risen dramatically in recent years and one of the core tenants of the Marty & Mickey Kao Foundation is to promote health and wellness and to motivate children to get active. Playgrounds and play spaces are vital for children. More than a third of American children and teens were obese or overweight in 2012, and childhood obesity has more than doubled in children over the past three decades, according to the CDC. The CDC, pediatricians, the surgeon general and other experts all agree that regular physical exercise can help decrease the number of children struggling with health issues caused by inactivity and obesity.
- c. Hawaii News Now, a local news agency, has recently stated that “obesity rates among young people in the islands are on the rise. They report that according to the National Survey on Children’s Health “in Hawaii, 14 percent of those 10 to 17 [are] considered obese. That’s up from 11 percent in 2016.” This is an alarming trend which requires immediate action or children in the State of Hawaii will suffer the consequences.
- d. A quality playground is a necessity for any school in the country. It is the place where school children can interact best with each other. Their spontaneity reaches its peak on playgrounds as they explore and learn through games and activities. School playgrounds equipped with swings, slides and other playing equipment enable children to harness their coordination and creativity. When children interact with each other in the open and unrestricted atmosphere of a school playground they develop superior communication skills and learn how to form peer relationships. Having a safe place to play can have an immense impact on the children in our communities.

D) Describe the target population to be served:

- a. The target population includes the local K-5 elementary school students at Noelani as well as children of all ages in the city of Honolulu as the playground would be open to the public after school hours, in the evenings, and on the weekends.

E) Describe the Geographic coverage:

-
- a. A new recreational playground at Noelani would have a positive impact on Noelani Elementary School, the Manoa community, and the city of Honolulu.

III. Service Summary and Outcomes

A) Describe the scope of work, tasks, and responsibilities:

The creation of a Manoa Recreational Playground Facility at Noelani Elementary School consists of the following major tasks and sub-tasks:

1. Designing the recreational playground:
 - Evaluating the site for the proper location and scale of all playground elements.
 - Interview Hawaii State K-5 educators to assess the style and type of playground equipment that would be best suited for elementary school age children.
 - Create a design plan for the site with selected playground equipment and review with Noelani administrators and educators.
2. Engage local vendors to bid on the installation of the recreational playground elements.
3. Evaluate and select playground equipment provider.
4. Procure all materials and elements for the playground construction.
5. Provide logistics support and project management for ordering, transport, storage and installation for all playground equipment as needed with Noelani School and all vendors.
6. Provide on-site supervision and support during the equipment installation process.
7. Once complete, commission the new recreational playground with Noelani administrators.
8. Publicize the event including: opening day ceremony, local press, social media and the Noelani and Marty & Mickey Kao Foundation websites.

B) Provide a projected annual timeline for accomplishing the results or outcomes of the service.

1. Planning for the 2020 Manoa Recreational Playground
 - a. 1st Quarter 2020
 - Initial planning, prospective site visits
 - Interview local educators and generate the recreational playground design
 - Evaluate and select a provider for all materials and playground elements
 - Evaluate and select a local vendor for installation work
 - b. 2nd Quarter 2020
 - Order all playground materials
 - Continued planning with local support
 - c. 3rd Quarter 2020
 - Continued refinement and planning
 - Consultation with installing vendor
 - Increase PR efforts in advance of the recreational playground opening
 - d. 4th Quarter 2020
 - Installation of Manoa Recreational Playground at Noelani
 - Finalization of outstanding support issues
 - Heightened PR and local publicity
 - Final site and playground commissioning with educators

- Opening day ceremony
- Ongoing post event publicity and review

C) Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results:

- Each step of the process will be closely monitored by an Oversight Committee consisting of representatives from the Marty & Mickey Kao Foundation, Noelani School Administrators, K-5 educators, and a local 3rd party construction expert. We will develop a detailed timeline and tasks matrix with regular status meetings and calls to ensure timeliness and progress. The Marty & Mickey Kao Foundation's experience with working on past public school construction and installation projects will be vital in delivering a quality and timely outcome on this Manoa Recreational Playground project.

D) List the measures of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

1. The measures of effectiveness and accomplishment that will be reported to the expending agency or other as necessary will include:
 - a. Recreational Playground design plan after the on-site evaluation and discussions with local educators.
 - b. Progress on selection of the playground equipment provider and the local vendor providing installation work.
 - c. Progress by the Oversight Committee including status of all tasks listed in II.1 above.
 - d. Successful completion of the recreational playground facility.
 - e. Publication of a Recreational Playground Facility synopsis on the Noelani website and the Marty & Mickey Kao Foundation website.
 - f. Feedback/Lessons Learned reports from the Marty & Mickey Kao Foundation.
 - g. Feedback from students, parents, teachers, and State of Hawaii K-5 Educational Administrators.

IV. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.

Please see attached completed budget forms following this section

- a. Budget request by source of funds ([link](#))
- b. Personnel salaries and wages ([link](#))
- c. Equipment and motor vehicle ([link](#))
- d. Capital project details ([link](#))
- e. Government contracts, grants, and grants in aid ([link](#))

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2020.

- a. Quarter 1: \$173,250
- b. Quarter 2: \$25,500
- c. Quarter 3: \$45,685
- d. Quarter 4: \$57,685

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2020.

- a. Not Applicable

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

- a. Not Applicable

5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2020 for program funding.

- a. Not Applicable

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2018.

- a. \$30,000

V. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

The Marty & Mickey Kao Foundation has been dedicated to empowering children to reach their full potential through personal development and education by focusing on improving school and classroom conditions at K-5 schools in the State of Hawaii. The Foundation has donated and installed classroom elements that vastly improve school conditions for thousands of students and foster an environment of enhanced learning and personal growth. Several of the programs that the Foundation has spear-headed at K-5 schools include:

- AC System Installation in hundreds of classrooms and administrative buildings
- Advanced AV System Installations for Auditorium Multi-Media presentations
- Computer and Tablet Information Technology System Installation
- School Wide PA and Microphone System Installation
- Installation of Custom Window Treatments for Environmental & Noise Control
- School Beautification Projects

In addition, collaborators at Noelani Elementary School will be highly involved with the design and execution of this new Manoa Recreational Playground. Noelani School's administrators and educators have decades of experience with both child development and the implementation of other playground facilities. These professionals have been dedicated to the education and development of children since the school's founding in 1962 and Noelani is a recipient of the 2016 National Blue Ribbon Award for academic excellence.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

Facilities have not yet been finalized but the applicant will leverage its existing relationship with Noelani School to secure appropriate facilities.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision, and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

The Manoa Recreational Playground will be supported by staff experienced in planning, directing, and managing K-5 school equipment installation projects in the State of Hawaii.

- Executive Director – overall in-charge of planning, directing and managing the Manoa Recreational Playground project.
- Project Manager – overall in-charge of communication with all advisors, Noelani Elementary School administrators, and the primary vendors providing the playground elements and the installation work.
- Communications Coordinator – overall in-charge of arranging the opening day ceremony, publicizing the Manoa Recreational Playground with local press and social media, and implementing project summaries on the Noelani Elementary School website and the Marty & Mickey Kao Foundation website.

2. Organizational Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

George Kao, Director
Tiffany Lam, Treasurer
Chris Lam, Project Manager
Chenoa Dieam, Project Coordinator

3. Compensation

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

The M&M Foundation is completely operated by volunteers that are unpaid.

VII. Other

1. Litigation

There is no litigation pending with the M&M Foundation.

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

3. Private Educational Institutions

The grant will not be used to support any private educational institutions.

4. Future Sustainability Plan

After 2020 the maintenance of the Manoa Recreational Playground at Noelani will be supported by the Marty & Mickey Kao Foundation for no less than 5 years.

Verify that the grant shall be used for a public purpose:

This grant will be used for public purpose and will benefit the education and development of Hawaii's children.