

**THE THIRTIETH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES**

Type of Grant Request:

Operating Capital

Legal Name of Requesting Organization or Individual: LANAI COMMUNITY HEALTH CENTER (LCHC)

Dbas:

Amount of State Funds Requested: \$2,000,000

Brief Description of Request (Please attach word document to back of page if extra space is needed): Chapter 42F capital funds will allow LCHC to purchase 339 Sixth St., Lanai City, HI 96763 (TMK 4-9-007-046-0000) and renovate the property to create additional workspace for administration (e.g. account services), which will allow for dental expansion in the Health Center. In addition to creating additional workspace, the renovation will allow for community programmatic space to hold classes and demonstrations, trainings, and workshops (e.g. stress management, cooking healthy, CPR, and First Aid).

Amount of Other Funds Available:

State: \$ 2,000,000 (if awarded)
Federal: \$ 0.00
County: \$ 0.00
Private/Other: \$ 0.00

Total amount of State Grants Received in the Past 5 Fiscal Years:

\$ 2,250,000
Unrestricted Assets:
\$ 869,850

New Service (Presently Does Not Exist): Existing Service (Presently in Operation):

Type of Business Entity:

501(C)(3) Non Profit Corporation
 Other Non Profit
 Other

Mailing Address: PO BOX 630142

City: LANAI CITY State: Hawaii Zip: 96763

Contact Person for Matters Involving this Application

Name: Diana M V Shaw, PhD, MPH, MBA, FACMPE	Title: Executive Director
Email: DShaw@lanaihealth.org	Phone: 808-565-6919

Federal Tax ID#: [REDACTED]	State Tax ID# [REDACTED]
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Diana M V Shaw, Executive Director

01/14/2020

Authorized Signature

Name and Title

Date Signed

received
1/17/20 2c

12:53pm

Application Submittal Checklist

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- X 1) Certificate of Good Standing (If the Applicant is an Organization)
- X 2) Declaration Statement
- X 3) Verify that grant shall be used for a public purpose
- X 4) Background and Summary
- X 5) Service Summary and Outcomes
- X 6) Budget
 - a) Budget request by source of funds ([Link](#))
 - b) Personnel salaries and wages ([Link](#))
 - c) Equipment and motor vehicles ([Link](#))
 - d) Capital project details ([Link](#))
 - e) Government contracts, grants, and grants in aid ([Link](#))
- X 7) Experience and Capability
- X 8) Personnel: Project Organization and Staffing



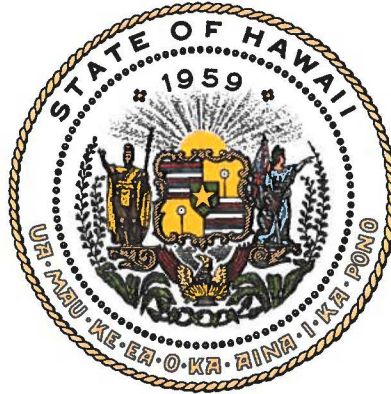
DIANA M V SHAW, Executive Director

01/14/2020

AUTHORIZED SIGNATURE

PRINT NAME AND TITLE

DATE



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

LANA'I COMMUNITY HEALTH CENTER

was incorporated under the laws of Hawaii on 11/29/2004 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 03, 2020

Director of Commerce and Consumer Affairs



**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAI'I REVISED STATUTES**

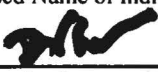
The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Lāna'i Community Health Center
(Typed Name of Individual or Organization)



(Signature)

01/14/2020

(Date)

Diana M V Shaw, PhD, MPH, MBA, FACMPE
(Typed Name)

Executive Director

(Title)

Attachment B

§42F-103 Standards for the award of grants. (a) Grants shall be awarded only to individuals who, and organizations that:

- (1) Are licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
- (2) Comply with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
- (3) Agree not to use state funds for entertainment or lobbying activities; and
- (4) Allow the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.

(b) In addition, a grant may be made to an organization only if the organization:

- (1) Is incorporated under the laws of the State; and
- (2) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.

(c) Further, a grant may be awarded to a nonprofit organization only if the organization:

- (1) Has been determined and designated to be a nonprofit organization by the Internal Revenue Service; and
- (2) Has a governing board whose members have no material conflict of interest and serve without compensation.

(d) If a grant is used by an organization for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land. This restriction shall be registered, recorded, and indexed in the bureau of conveyances or with the assistant registrar of the land court as an encumbrance on the property. Amounts received from the repayment of a grant under this subsection shall be deposited into the general fund. [L 1997, c 190, pt of §3; am L 2007, c 184, §1; am L 2014, c 96, §7]

Section 42F-102 Acknowledgement

The undersigned hereby confirms and acknowledges its compliance with Section 42F-103, Hawaii Revised Statutes, as stated above.

Lāna'i Community Health Center
(Typed Name of Organization)



1 of 1 Diana M V Shaw, PhD, MPH, MBA, FACMPE
Executive Director

January 14, 2020
Date

1/14/20, 9:53 AM

ATTACHMENT C

§42F-102 Applications for grants. Requests for grants shall be submitted to the appropriate standing committees of the legislature at the start of each regular session of the legislature. Each request shall state:

- (1) The name of the requesting organization or individual;
- (2) The public purpose for the grant;
- (3) The services to be supported by the grant;
- (4) The target group; and
- (5) The cost of the grant and the budget. [L 1997, c 190, pt of §3; am L 2014, c 96, §6]

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[Vol01_Ch0001-0042F](#)

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Section 42F-102 Acknowledgement

The undersigned hereby confirms and acknowledges that the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes, as stated above.

Lāna‘i Community Health Center
(Typed Name of Organization)



Diana M V Shaw, PhD, MPH, MBA, FACMPE
Executive Director

January 14, 2020
Date

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Certification – Please attach immediately after cover page

1. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2019.

See Attachment A.

2. Declaration Statement

The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes. ([Link](#))

See Attachment B.

3. Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. ([Link](#))

See Attachment C.

II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

Lānaʻi Community Health Center (LCHC) is a 501(c)3 nonprofit, federally qualified health center serving Lānaʻi, incorporated in 2004. Our mission is to take care of the community by directly providing health services and partnering with other organizations and providers. Though often misconceived, LCHC is not supported by Mr. Ellison, who owns 98% of the island. We fully own and fund our own programs. Our focus is on the un- and underinsured, low income, medically underserved population.

LCHC provides integrated health and wellness services embracing the 'whole' patient and engaging the patient as a partner in their care utilizing tools such as education and self-

awareness. Through the delivery of a wide range of health services, LCHC ensures access to residents experiencing significant health disparities. LCHC is a highly regarded provider of quality clinic, home, work and school based services. Since start-up, the LCHC's population base has grown from 52 patients in 2008 to 2,159 as of 12/31/19 (70% of the island's population) providing ongoing care and episodic care. We employ 45 staff and over a dozen contracted providers and fitness instructors. We are one of the major island employers.

2. The goals and objectives related to the request;

LCHC is currently leasing 904 Fraser Avenue, a 2 bedroom house used to house traveling providers and students (e.g. pediatric dental residents and APRN students). LCHC seeks to purchase 339 Sixth St., Lanai City, HI 96763 (TMK 4-9-007-046-0000) to continue on with this primary purpose, rather than continuing to lease 904 Fraser Avenue. In addition, LCHC seeks to renovate the property to create additional workspace for administration (e.g. account services), which will allow for dental expansion in the Health Center. In addition to creating additional workspace, the renovation will allow for community programmatic space to hold classes and demonstrations, trainings, and workshops (e.g. stress management, cooking healthy, CPR, and First Aid).

Building on our island is very expensive, and LCHC realizes that funding is very difficult to obtain especially right now. But LCHC also realizes that our proposed project is appropriate and critical to ensure our ability to meet the health needs of our island and our population of underserved, ethnically diverse, disadvantaged patients—especially given our current facility's lack of capacity and overall need for consolidation.

Goal No. 1: Property Purchase

Goal No. 3: Permitting

Goal No. 2: Renovate Property

Objectives: Certificate of Occupancy and Open to Full Function

3. The public purpose and need to be served;

The Lānaʻi Community Health Center's mission is to take care of the community of Lānaʻi. A 501(c)3 nonprofit organization, LCHC takes care of the community with a focus on physical, mental, emotional, intellectual and spiritual welfare and by enriching and empowering lives to help build healthy families in a supportive environment. LCHC carries out its mission:

- By directly providing comprehensive health and wellness services.
- By working collaboratively with partners to provide needed services for Lānaʻi. LCHC serves individuals of all ages, ethnicity, gender, and residency.

LCHC's target population consists of everyone on Lānaʻi, with a special focus on those who live at or below 200% of the federal poverty level (33.6% of the 2017 LCHC patients) and the under-/uninsured (12.7% of LCHC patients in 2017 were uninsured). LCHC provides services in a culturally sensitive manner – providing written and oral translation as needed. No one is turned away due to an inability to pay for service. LCHC serves individuals of all ages, ethnicity,

gender, and residency — old timers, part-timers, and newcomers. LCHC accepts all insurances and assists its patients with understanding and navigating their insurance coverage questions. LCHC is a nonprofit organization, and a Federally Qualified Health Center (FQHC) with 330e status awarded in September 2007. Clinical services have been provided since August 2008.

4. Describe the target population to be served; and

Our target population are those who need care on the island of Lānaʻi. Lānaʻi is an isolated community described by the U.S. Census (Population Demographics for Lānaʻi City, Hawaiʻi in 2016 and 2017) as:

- Small, geographically isolated island, pop. 3,102; part of Maui County administratively.
- Diverse population – Asian 53.2% (vast majority, 38.3%, are Filipino), White 10.9%, Hispanic/Latino 11.8%, Pacific Islander 5.1%, Native Hawaiian 7.1%, Two or more races 20.9%, Three or more races 7%.
- Families mainly low-income (cumulative data) from American Community Survey (5 year estimates 2011-2015): 50% of FPL – 5.1%; 125% of FPL – 10.1%; 150% of FPL – 14.8%; 200% of FPL – 29%; 300% of FPL – 46.4%; 400% of FPL – 64.8%; 500% of FPL – 76.9%.
- Household income (Economic Characteristics, 2011-2015 American Community Survey 5 year estimates (in 2015 inflation-adjusted dollars): Less than \$10,000 – .08%; \$10,000 to \$14,999 – 5.6%; \$15,000 to \$24,999 – 11.3%; \$25,000 to \$34,999 – 9.1%; \$35,000 to \$49,999 – 18%; \$50,000 to \$74,999 – 15.5%; \$75,000 to \$99,999 – 19.0%; \$100,000 to \$149,999 – 13.1%; \$150,000 to \$199,999 – 6.4%; \$200,000 or more – 1.2%.
- Per capita family income – \$23,826.
- Poverty Level: Nearly 29% of the island’s population, or 1,254 individuals live below 200% of the federal poverty level. In 2018, 44% of LCHC patients were at or below 200% of the federal poverty level.
- Many residents are in low-paying jobs in various service and hotel accommodation services – 41%.
- An estimated 6% of residents are uninsured – 12% of LCHC patients are uninsured – many immigrants do not qualify for insurance, and many are “underinsured” with health plans that don’t cover all necessary services.
- Census 2010 data indicates that there are 235 children below the age of 5 and 198 children between the ages of 5 and 9. LCHC 2018 patient data indicates that following ages of children under the age of 8: below age 1 – 44 children; Age 3 – 28 children; and below age 8 – 300 children.
- According to the 2010 Census, the island’s gender mix for ages 9 and under is as follows: below age 5 – 118 males and 117 females; between ages 5 and 9 – 102 males and 96 females. Using LCHC 2018 patient data indicates that following genders of children under the age of 8: below age 1 – 23 males and 21 females; age 3 – 14 males and 14 females; and below age 8 – 156 males and 144 females. It is safe to estimate that our population is 52% male and 48% female.

5. Describe the geographic coverage.

LCHC's geographic coverage is the island of Lānaʻi.

LCHC is located on the island of Lānaʻi, the smallest of the major Hawaiian Islands with a land area of 140 square miles. The island's history is one of transition as the island was purchased by different owners. Each transition throughout this island's history has forced a change in economic focus and lifestyle produced results that directly affected the island's residents (and still affects all today). These changes have created the community as we know it today, which consist of an eclectic mix of ethnicities and cultures. This broad mix of ethnicities makes Lānaʻi unusual and different from other rural communities in the U.S. In addition, immigrants continue to relocate to Lānaʻi, because family members are able to sponsor their arrival — especially individuals from the Philippines — with 32% of our population foreign born and 10.3% of our households facing linguistic isolation. (Hawaiʻi Department of Health, "State of Hawaiʻi Primary Care Needs Assessment Data Book, 2012).

III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;

Scope: LCHC seeks to purchase 339 Sixth St., Lanai City, HI 96763 (TMK 4-9-007-046-0000) to supply housing for traveling providers and students (e.g. pediatric dental residents and APRN students), rather than continuing to lease 904 Fraser Avenue. In addition, LCHC seeks to renovate the property to create additional workspace for administration (e.g. account services), which will allow for dental expansion in the Health Center. In addition to creating additional workspace, the renovation will allow for community programmatic space to hold classes and demonstrations, trainings, and workshops (e.g. stress management, cooking healthy, CPR, and First Aid).

Task include: Property Purchase; Permitting; Renovate Property; Certificate of Occupancy

A combination of factors listed below makes this project an urgent priority, as it will allow LCHC to bring in and house medical and dental service providers who will care for those who are unable to access much needed medical and dental care.

- Increased uninsured numbers due to poor economic conditions, with 41% of the residents employed in low-paying jobs in various service and hotel accommodation services. An estimated 6% of residents are uninsured due to their economic conditions – 12% of LCHC patients are uninsured – many immigrants do not qualify for insurance, and many are “underinsured” with health plans that don't cover all necessary services.
- Geography. The geographic isolation of Lānaʻi makes medical services expensive for both residents and providers. Residents must travel by ferry to Maui or air to other islands for medical care. A round trip ticket from Lānaʻi to Oʻahu costs \$200 or more, assuming the

patient travels alone. Accommodations, food, and ground travel can involve additional expense, with hotel rooms typically costing more than \$175 per night and taxi costs of \$70. Emergency air transport to an O‘ahu health facility costs upward of \$10,000.

- **Low-income with high cost of living.** Lāna‘i’s per capita family income is \$23,826, while Hawaii’s average per capita personal income is about \$53,000, added to Hawaii’s cost of living being the nation’s highest in 2017, at almost 19% higher than the national average. Lāna‘i’s residents suffer a double whammy, because Lāna‘i’s cost of living is greater than those residing on other islands, while the per capita **family** income is 42% of the per capita **personal** income of Hawaii residents. This makes the need for LCHC services (via medical and dental providers who are flown in and providing housing) for the underinsured and uninsured imperative, especially nearly 29% of the island’s population, or 1,254 individuals live below 200% of the federal poverty level. In 2018, 44% of LCHC patients were at or below 200% of the federal poverty level.
2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

YEAR ONE	
QTR 1 (January – March)	Property Purchase Executive Director forms CIP Team (e.g. architect, engineers, project manager, environmental consultants)
QTR2 (April – June)	Architectural Plans Developed Surveys (e.g. Geotechnical) and Environmental Work; General Contractor Selected
QTR3 (July – September)	Permitting Site Work and Demolition
QTR4 (October – December)	Renovation Certificate of Occupancy

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

Executive Director Diana Shaw will lead the CIP team and supervise the work related to any necessary surveys (e.g. geotechnical), environmental work, building signage, interior design and FF&E (furniture, fixture and equipment) selection. Ms. Marni Murdock, KYA Principal, will design the proposed renovation (including schematic design, design development, construction documents and permitting). A project manager will be selected and charged with the responsibility of executing the day-to-day project and construction oversight. The project manager will work closely with Executive Director Shaw and her staff to deliver all services aforementioned through regular CIP meetings.

The new facility, by design, will be developed to not only meet the needs of our community but will also ensure the visual consistency with existing architecture styles in our community and cultural sensitivity to our target population.

As and Federally Qualified Health Center (FQHC), LCHC has a sophisticated reporting system and extensive familiarity with setting goals, collecting data and reporting progress on goals. Our health informatics system and data collection strategy has three primary applications, the electronic health record (EHR), eClinicalWorks (eCW) version 10, data warehouse (BridgeIT) and, care management system (Comprehensive Disease Management System, CDMP). All three systems are interfaced with nightly data dumps from eCW to BridgeIT and in turn to CDMP. LCHC is fully automated with eCW at Stage 2 of Meaningful Use. BridgeIT provides extensive support for both clinical and financial reporting including fully automated UDS reporting. In addition, LCHC can easily develop customized reports for special projects, such as those needed for the Dental Home for Children Program. All three systems are powerful and well-developed: CDMP is a cloud-based application developed with leadership from the Joslin Diabetes Center funded by the Department of Defense and initially focused on comprehensive diabetes care. eCW is also cloud-based, and ONC 2014 Edition criteria and was certified as a Complete EHR on July 24th, 2013 by the Certification Commission for Health Information Technology (CCHIT®).

We routinely report goals, results, and progress to federal, state and county governments, as well as private foundations that provide funds for special programs. LCHC has an appropriate accounting system with complete capacity to provide appropriate account for all funding awards. LCHC does not have any conditions and is compliant with all federal regulations and rules. LCHC utilizes QuickBooks for its financial information system. The accounting system provides the ability to track, analyze, and report key performance data related to the organization's financial status. The LCHC electronic medical record and practice management system, eClinicalWorks, provides billing and collection data, and an automated process for collecting and analyzing clinical performance data. This data is incorporated into financial performance data providing LCHC with an expanded capability to manage its operations and performance. This system is sufficient for its size. LCHC maintains accounting and internal control systems appropriate to the size and complexity of the organization, which permit tracking of the financial health of LCHC, including identification of trends or conditions that may warrant action by the organization to maintain financial stability. Systems reflect Generally Accepted Accounting Principles (GAAP). LCHC's financial management system is able to account for all funding awards in order to identify the source (receipt) and application (expenditure) of funds for activities in whole or in part. LCHC's financial records include information and related source documentation pertaining to authorizations, obligations, unobligated balances, assets, expenditures, income and interest under all funding awards. Non-grant funds generated from health center program activities, in excess of what is necessary to support the HRSA approved total health center budget, are utilized to further the objectives of LCHC by benefitting the patient population on Lānaʻi and are not used for any purpose that is prohibited.

Health Center expenditures are monitored to keep them consistent with the HRSA approved total budget and any additional applicable HRSA approvals that have been requested and received and to maintain effective control over, and accountability for, all funds, property, and other assets associated with the health center. All assets are safeguarded to assure that they are used solely for authorized purposes in accordance with the terms and conditions of the health center.

LCHC maintains a separation of functions appropriate to its small size to safeguard assets and maintain financial stability. LCHC has an annual independent financial audit which is performed in accordance with Federal audit requirements. Subsequent audits must demonstrate corrective actions have been taken to address all findings, questioned costs, reportable conditions, and material weaknesses cited in the previous audit report, if applicable. Provisions are in place at all levels, and include requirements and restrictions to ensure accountability and control – all checks must have two signatures, regardless of amount. Signature authority is vested only with the Executive Director, and several selected board members, including the Board President, Vice President, Secretary and Treasurer. Checks of \$10,001 or more must be signed by two Board members, plus the Executive Director. An annual independent financial audit is performed.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

Measure of Effectiveness	Outcome Timeline
Identify Property	Completed
Purchase Property	QTR 1 (January – March)
Architectural Plans	QTR 2 (April – June)
Survey(s) and Environmental Work	QTR 2 (April – June)
Solicit Bids & Selections of Contractor	QTR 2 (April – June)
Permitting	QTR 3 (July – September)
Site Work and Demolition	QTR 3 (July – September)
Vertical Construction	QTR 4 (October – December)
Certificate of Occupancy	QTR 4 (October – December)
Provider Accommodations	QTR 4 (October – December)
Relocation of Administrative Services	QTR 4 (October – December)
Community Programming	QTR 4 (October – December)

IV. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
 - a. Budget request by source of funds ([Link](#))
 - b. Personnel salaries and wages ([Link](#))
 - c. Equipment and motor vehicles ([Link](#))
 - d. Capital project details ([Link](#))
 - e. Government contracts, grants, and grants in aid ([Link](#))

- The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2021.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$415,000	\$288,869	\$648,065.50	\$648,065.50	\$2,000,000

- The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2021.

American Academy of Pediatric Dentistry Foundation; Hawaii Dental Services Foundation; Atherton Foundation; Cooke Foundation; Aloha Care; First Hawaiian Bank Foundation; Bank of Hawaii Foundation; Chalmers Foundation; HRSA 330 Grant; HRSA Supplement Grant; HRSA QI Grant; SOH Judiciary; County of Maui; WIC Grant; Family Planning Grant; Primary Care Grant; Susan Komen Grant; Weinberg Foundation; Hawaii Community Foundation; HMSA Foundation; Perinatal Grant.

- The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Not Applicable.

- The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2021 for program funding.

HRSA 330 Grant; HRSA Supplement Grant; HRSA QI Grant; SOH Judiciary; County of Maui; WIC Grant; Family Planning Grant; Primary Care Grant; Perinatal Grant.

- The applicant shall provide the balance of its unrestricted current assets as of December 31, 2019.

\$869,850

V. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

LCHC has significant experience and expertise in working with the Lānaʻi Community that originates prior to the award of our current Federal 330 status and funding.

LCHC was the brainchild of Ms. Jackie Woolsey and Ms. Phyllis McOmber, both long time Lānaʻi residents. Starting as Lānaʻi Women's Center (LWC), the organization was envisioned to fill the health care gaps for Lānaʻi women who faced the burden of leaving the island for preventive screenings. Additionally the organization was seen as the hope of raising the level of health and education services taken for granted in most communities in Hawaiʻi and elsewhere. Incorporated in 2004, they conducted needs assessments and focus groups to identify community concerns. The organization opened its doors for business in March of 2006 utilizing the services of Molokai midwives who provided GYN services to LWC patients.

With a medically underserved population, it was quickly seen that not only the women of Lānaʻi needed a health care alternative, but so did the men and children. In October 2007 the organization, doing business as the Lānaʻi Community Health Center, received its first Federal 330e grant and its designation as a Federally Qualified Health Center (FQHC), and hired its own providers. In August 2009, the organization legally changed its name to Lānaʻi Community Health Center to better reflect its mission—to take care of the whole community of Lānaʻi—men, women, and children. As a 501(c)3 nonprofit organization, LCHC takes care of the community with a focus on physical, mental, emotional, intellectual and spiritual welfare and by enriching and empowering lives to help build healthy families in a supportive environment.

LCHC carries out its mission in three ways:

- By directly providing health services (including but not limited to primary care, dental, and behavioral health) to the community;
- By providing activities and services through partnerships with local organizations as well as the many off-island organizations reaching out to Lānaʻi by serving as coordinator, advocate, resource, initiator, and convener; and
- By working collaboratively to provide space for partners who can provide needed services for Lānaʻi.

LCHC successfully constructed a 6,800SF certified health care facility configured to provide the community with a patient centered medical home (see services provided below). The facility includes 9 exam/consult rooms, a procedure room, dental x-ray, 2 dental operatories and CLIA waived lab facilities, conference and administrative office facilities, and a community activity room. Upon completing our new home in 2015, we were able to consolidate all our activities under one roof, increasing efficiency and cost effectiveness, while providing our patients with improved care through their ability to utilize medical, behavioral health, OBGYN, telemedicine, PT, dental, and cardiology services in one location, and even set up back-to-back appointments.

With the opening of our new state-of-the-art facility; our full in-house dental program started in February, 2016. Current staffing is with 2.5 FTE general dentists, 1.0 FTE dental hygienist and 4.0 FTE dental assistants. Pediatric specialty care is provided through a joint venture with the New York University Langone Pediatric Dental Residency Program, which provides a pediatric dental resident four days a week. The Dental Department provides care 51 hours per week

(consistent with the Medical and Behavioral Health Departments), which includes evening hours two days a week, and Saturday hours each week. By purchasing and renovating the property, LCHC will create additional workspace for administration (e.g. account services), which will allow for dental expansion in the Health Center. In addition to creating additional workspace, the renovation will allow for community programmatic space to hold classes and demonstrations, trainings, and workshops (e.g. stress management, cooking healthy, CPR, and First Aid).

Children 0 – 18 Services	Family Practice	Community Outreach	TeleHealth
General Check Up Acute Care Well Baby Exams 0-2 years Well Child Exams 3-17 Sports Physicals School Physicals TB Clearance Immunizations	Routine Check Up Chronic Illness Abdominal/Pelvic Ultrasound Blood Draws and Labs Blood Pressure Management Diabetes Management, including PILI program Family Planning Home Visits Immunizations Nutrition Education Primary Medical Care Referrals and Care Coordination Screenings Test, including TB, Hep B, STD, HIV, etc. Life Insurance Physical DOT Physicals	Translation in Ilocano and Tagalog Quest Enrollment Assistance Care Coordination and Referrals Case Management Insurance Assistance SNAP Assistance	Tele-Optical Imaging Tele-Psych OB Ultrasound Abdominal Ultrasound Tele Derm Optometry Specialty Consultation Cardiology
Women's Health	Behavioral Health	WIC (Women, Infant, Children)	Wellness Program
Preconception Care Pregnancy Care Annual Exams STD Screening Birth Control and Family Planning Prenatal Care Mammogram Referrals OB Ultrasound and Non-Stress Testing OB/Pregnancy/Prenatal Care, with Coordinated OB Care Management Screening Tests Family Planning	Integrated Behavioral Health Interventions Chemical Dependency Treatment Mental Health Therapy Tobacco Cessation Behavioral Health Case Management	Nutrition Education Distribution of Food Checks Breastfeeding Education Hemoglobin Checks	Taichi Boxing (Adult and Youth) Pilates Zumba Total Body Conditioning Spinal Health Yoga Cardio Circuit Restorative Yoga Core Strength Yoga Whole Body Stretch Gymnastics (Youth) Soccer (Youth) Lion Dance Practice

Other Services	Dental	Drug Screen
Health Education at Lāna‘i High and Elementary School	Pediatric Dentistry	Escreen DOT
Health Education, General Community	Adult Dentistry	Escreen Non DOT
Patient Transportation	Routine Cleaning and X-rays	NON-DOT/DOT (Employee Account)
Low-Cost Prescription Program	Sealants	Random
	Fillings	Pre-employment
	Crowns	Post-Accident
	Extractions	
	Bridges and Dentures	
	Emergency Care	
	Integrated Behavioral Health	
	Case Management	
	Referrals	
	Community Outreach	
	Low Cost Prescription	

Services	
Abdominal/Pelvic Ultrasound	OB/Pregnancy/Prenatal Care, with Coordinated OB Care Management
Behavioral Health	OB Ultrasound and Non-Stress Testing
Blood Draws and Labs	Optometry, including Diabetes Retinal testing
Blood Pressure Management	Patient Transportation
Case Management	Primary Medical Care
Community Outreach and Education	Referrals and Care Coordination
Dental (Pediatric and Adult)	School and Sports PE
Diabetes Management, including PILI program	Screenings, including TB, Hep B, STD, HIV, etc.
Drug Screening	Smoking Cessation
Family Planning	Tele-Dermatology
Home Visits	Tele-Psychiatry
Health Education at Lāna‘i High and Elementary School	Tele-Cardiology and Echocardiograms (in progress)
Health Education, General Community	Well Child Checks
Immunizations	Wellness and Fitness Classes
Insurance Assistance	WIC
Low-Cost Prescription Program	Women’s Health Exams
Nutrition	Workforce Development

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The 6,800SF certified health care facility provides LCHC with the capability to provide patients and the community with a patient centered medical home. The facility has 9 exam/consult rooms, a procedure room, dental x-ray, 2 dental operatories and CLIA waived lab facilities, conference and administrative office facilities, and a community activity room. LCHC facilities provides

LCHC patients with improved care through their ability to utilize medical, behavioral health, OBGYN, telemedicine, PT, dental, and cardiology services in one location, and even set up back-to-back appointments.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

Diana V. Shaw (PhD, MBA, MPH, FACME) was appointed as the Executive Director of the Lāna‘i Community Health Center in October 2008. As a federally qualified health center (FQHC), the organization’s mission is to provide basic primary care services to the un- and underinsured. Dr. Shaw represents the Center in its interactions with the community, legislature, and executive branch of government, federal government officials and other leaders in the health care community. Dr. Shaw has been in various health care administrative positions for over 30 years, and has been a Malcolm Baldrige Quality Award of Excellence Examiner. She is past Board President for the Hawaii State Rural Health Association and a member since 2010. Dr. Shaw is a member of the Board of Directors (since 2008) of the Hawaii Primary Care Association. Dr. Shaw also serves as a reviewer for HRSA (federal agency, Health Resources and Services Administration), and periodically for various professional organizations. She is originally from Upstate New York, but relocated to Kaua‘i in September 2003, and then relocated to Lāna‘i. She received her PhD in Social Policy from Brandeis University’s Heller School of Public Policy and Management in Waltham, Massachusetts; her Master of Business Administration from St. John Fisher College; and her Masters in Public Health is from the University of Rochester; both colleges are located in Rochester, New York.

Cindylou Figuerres (MBA) is the Associate Executive Director of the Lāna‘i Community Health Center. Mrs. Figuerres has been with the health center since July 2011, Cindylou Figuerres was promoted to CFO/ IT Director in September 2012, and then promoted to Associate Executive Director in August 2018. Starting as an Accounting Clerk/Front Desk Supervisor, she quickly rose through the ranks with her strong background in finance and accounting as well as information technology. She earned her Associates Degree in Accounting from Heald Business College, her Bachelor’s Degree in Accounting from Hawaii Pacific University and graduated in 2015 from the University of Phoenix with her Master of Business Administration. She began to pursue a Master of Public Health Degree from Argosy University in September 2018 until the university’s closure in March 2019. She will continue this degree at another university later this year. A native of the island of Lāna‘i, Cindylou Figuerres is dedicated to moving LCHC forward in providing health and wellness classes, and provide educational and workforce development training classes to the community.

Joseph “Dr. Joe” Humphry, a medical provider with a focus on chronic disease, accepted the additional role of the LCHC Medical Director in April 2013. With a special interest in diabetes,

Dr. Joe is a primary internist who has spent the majority of his clinical career working with minority and underprivileged patients including Native Hawaiians and Pacific Islanders. He has worked within the FQHC health care system for over 25 years. He is a graduate of the University of California at San Francisco School of Medicine and completed his internship at San Joaquin Medical Center in Stockton California and completed internal medicine residency at the University of Rochester and UCLA. He retired in December 2011 and served as part-time Medical Director for the last 22 years with HMSA. His interest in computers and medicine dates back to the late 80's when he programmed a diabetes registry program for the Hawaii State Diabetes Control Program. In 2000, he developed Ohana Health Project for monitoring diabetes. In 2005, he joined the Joslin Diabetes Center team developing the Chronic Disease Management Program (CDMP).

Randy Kam (DDS, FAGD, FICD) is the Dental Director of the Lānaʻi Community Health Center who will act as co-project director with Mrs. Shaw. Dr. Kam joined LCHC in February 2016 as Dental Director. He leads our dental program and also provides dental services in the Health Center. A graduate of Punahou School, Dr. Kam earned a BS in Biochemistry at UCLA in 1981 and his dental degree from UCSF in 1985. After completing his Residency in Hospital Dentistry at San Francisco General Hospital, he practiced dentistry in Honolulu from 1986 until 2015. In 1998, he earned his Fellowship in the Academy of General Dentistry and was inducted as a Fellow of the International College of Dentists in 2011. Dr. Kam is also a Lua and Laʻau Lapaʻau practitioner. He has studied the Hawaiian language since November of 2014 in the Kealaleo Hawaiian Language Immersion Program.

2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

See Attachment D.

3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.

Medical Director; \$200,000 - \$225,000 FTE
Executive Director; \$150,000 - \$175,000 FTE
Associate Medical Director; \$150,000 - \$175,000 FTE

VII. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

Not Applicable.

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

CLIA; FQHC; PCMH; Radiation Facility License

3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see [Article X, Section 1, of the State Constitution](#) for the relevance of this question.

Not Applicable.

4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2020-21 the activity funded by the grant if the grant of this application is:

- (a) Received by the applicant for fiscal year 2020-21, but
- (b) Not received by the applicant thereafter.

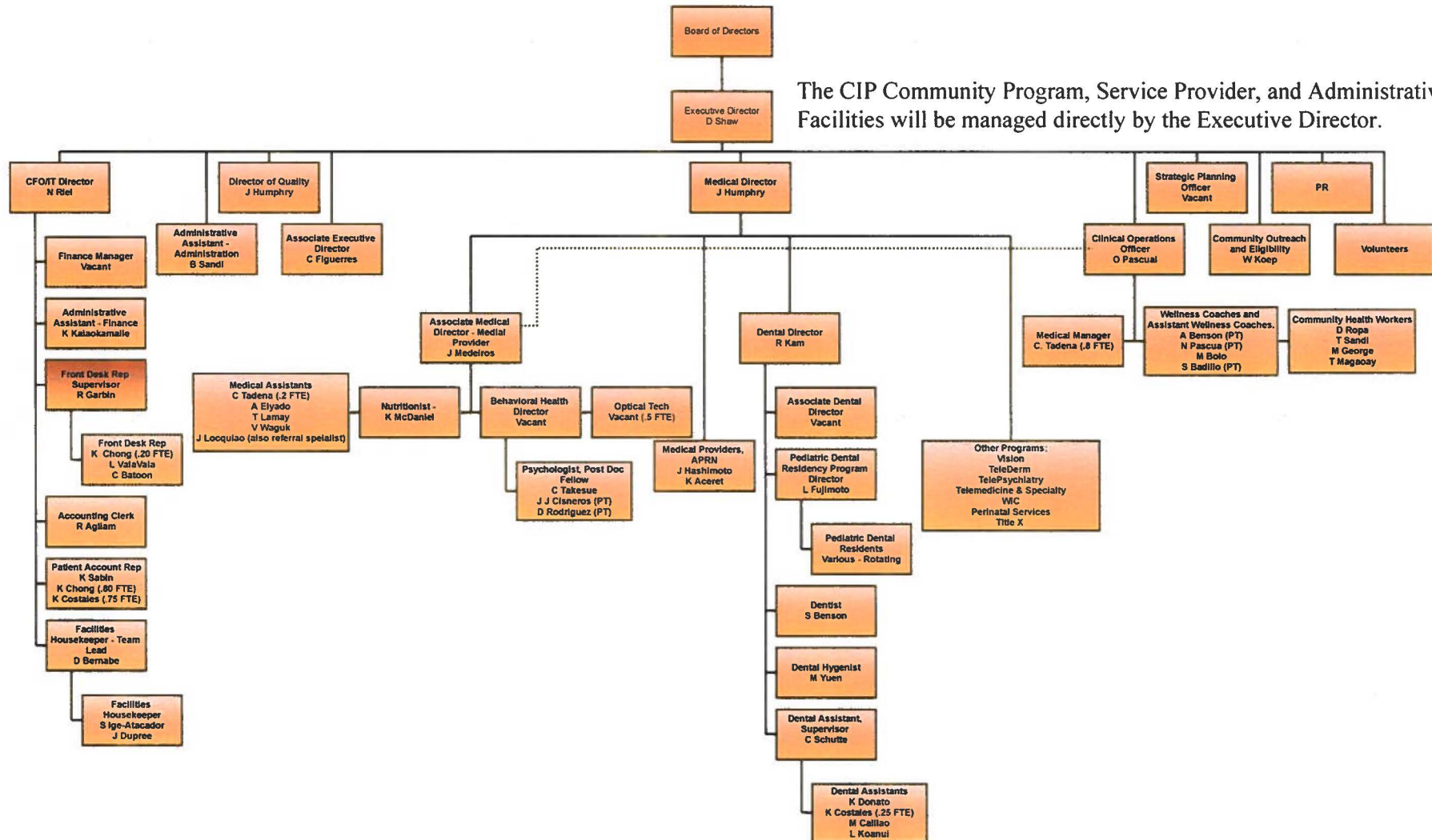
The purchase of 339 Sixth St., Lanai City, HI 96763 (TMK 4-9-007-046-0000) is a single time expense, along with the renovations. The purchase and renovation of said property will create additional workspace for administration (e.g. account services). By doing so, the Health Center can expand its Dental Home in the 6,800SF certified health care facility completed in 2015. In addition to creating additional administrative workspace, the renovation will allow for community programmatic space to hold classes and demonstrations, trainings, and workshops (e.g. stress management, cooking healthy, CPR, and First Aid). In essence, the expanded services directly related to this Capital Improvement Project should generate the Health Center additional revenue towards its overall sustainability.



LCHC Organization Chart - Updated November 19, 2019

Approved at 11-19-2019 LCHC
Board of Director Routine Meeting

The CIP Community Program, Service Provider, and Administrative Facilities will be managed directly by the Executive Director.



**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAI'I REVISED STATUTES**


The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Lāna'i Community Health Center
(Typed Name of Individual or Organization)



(Signature)

01/14/2020
(Date)


Diana M V Shaw, PhD, MPH, MBA, FACMPE
(Typed Name)

Executive Director
(Title)

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2020 to June 30, 2021

Applicant: Lānaʻi Community Health Center

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries				
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST				
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9. Consultants	288,869			
10. Property Purchase	415,000			
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	703,869			
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL	1,296,131			
TOTAL (A+B+C+D+E)	703,869			
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	2,000,000	DIANA M V SHAW 808-565-6919		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested		 1/14/20		
(d) Total Private/Other Funds Requested		Signature of Authorized Official Date		
TOTAL BUDGET	2,000,000	Diana M V Shaw, PhD, MPH, MBA, FACMPE Executive Director Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2020 to June 30, 2021

Applicant: Lanai Community Health Center

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
NOT APPLICABLE			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
NOT APPLICABLE			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2020 to June 30, 2021

Applicant: Lānaʻi Community Health Center

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2018-2019	FY: 2019-2020	FY: 2020-2021	FY: 2020-2021	FY: 2021-2022	FY: 2022-2023
PLANS			288,869			
LAND ACQUISITION			415,000			
DESIGN						
CONSTRUCTION			1,296,131			
EQUIPMENT						
TOTAL:			2,000,000			
JUSTIFICATION/COMMENTS Plans = Architectural Plans/Design; Surveys, Environmental Work; Permitting; Inspection Fees						

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

Applicant: Lanai Community Health Center

Contracts Total: 2,215,905

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	HRSA 330e	3/1/19	HRSA	U.S.	1,669,959
2	HRSA Supplement Grants	9/1/19	HRSA	U.S.	255,000
3	HRSA QI	9/1/19	HRSA	U.S.	46,236
4	State of Hawaii Judiciary	7/1/19	State of Hawaii	State	15,000
5	Women, Infants, Children	10/1/19	State of Hawaii	State	27,000
6	Family Planning Title X	7/1/19	State of Hawaii	State	87,200
7	Primary Care - Uninsured Contract	7/1/19	State of Hawaii	State	20,400
8	Perinatal Support and Services Contract	7/1/19	State of Hawaii	State	12,500
9	County of Maui - Behavioral Health	7/1/19	Maui County	Maui	82,610
10					
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