# THE THIRTIETH LEGISLATURE **APPLICATION FOR GRANTS**

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narter schools. The progra	am aims to provide	water safety and
Total amount of S Fiscal Years: \$0	tate Grants Recei	ived in the Past
Unrestricted Asse	ts:	
<sub>\$</sub> 20,096		
Mailing Address		Operation):
		Zip:
Kailua	HI	96734
cation		
Title: President		
Phone: 808-375-7970		
	Hawaii Aquatics Activities Activi	Total amount of State Grants Receiviscal Years:  \$\frac{0}{2}\$ Unrestricted Assets: \$\frac{2}{3}0,096\$  Existing Service (Presently in Mailing Address:  111 Hekili St. A-2763  City: State: Kailua HI  Cation    Capital

**Authorized Signature** 

Dean E. Schmaltz, President

01/17/2020

Name and Title

**Date Signed** 

# **Application Submittal Checklist**

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

$\boxtimes$	1) Certificate of Good Standing (If the Applicant is an Organization)
$\boxtimes$	2) Declaration Statement
$\boxtimes$	3) Verify that grant shall be used for a public purpose
$\boxtimes$	4) Background and Summary
$\boxtimes$	5) Service Summary and Outcomes
	<ul> <li>6) Budget</li> <li>a) Budget request by source of funds (Link)</li> <li>b) Personnel salaries and wages (Link)</li> <li>c) Equipment and motor vehicles (Link)</li> <li>d) Capital project details (Link)</li> <li>e) Government contracts, grants, and grants in aid (Link)</li> </ul>
$\boxtimes$	7) Experience and Capability
$\boxtimes$	8) Personnel: Project Organization and Staffing

DEAN E. SCHMALTZ, PRESIDENT

01/15/2020

PRINT NAME AND TITLE

DATE



# **Department of Commerce and Consumer Affairs**

### CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

#### HAWAII AQUATICS FOUNDATION

was incorporated under the laws of Hawaii on 03/15/2017; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 14, 2020

Caranit. Owal: Color

**Director of Commerce and Consumer Affairs** 

# DECLARATION STATEMENT OF APPLICANTS FOR GRANTS PURSUANT TO CHAPTER 42F, HAWAI'I REVISED STATUTES

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Hawaii Aquatics Foundation	
(Typed Name of Individual or Organization)	
(Signature)	January 15, 2020 (Date)
Dean E. Schmaltz	President
(Typed Name)	(Title)

Rev 12/2/16 5 Application for Grants

# **Application for Grants**

If any item is not applicable to the request, the applicant should enter "not applicable".

# I. Certification – Please attach immediately after cover page

# 1. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1. 2019.

See attached.

#### 2. Declaration Statement

The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes. (Link)

See attached.

# 3. Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. (Link)

If awarded, the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. The public purpose for the grant, the services to be supported by the grant, the target group, and the cost of the grant and budget are provided in the following sections and attachments.

# II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

# 1. A brief description of the applicant's background;

The Hawaii Aquatics Foundation (HAF) is an IRS 501(c)(3) tax-exempt non-profit organization formed in March 2017 for the purpose of promoting, supporting, and providing aquatic safety education and training in the state of Hawaii. The Keiki

Water Safety Initiative is led by the Hawaii Aquatics Academy (HAA), the education branch of HAF. It aims to provide aquatic safety education through safety knowledge and physical skill instruction, in a combination of classroom and pool settings, for all of Hawaii's children, regardless of their physical condition or their social or economic circumstance. The initial phase of the project is focused on providing aquatic safety education during school hours for Hawaii's elementary school aged children. Since the fall of 2017, HAA has provided formal water safety and swimming skill lessons during school hours to over 2,000 students from twenty participating elementary schools in five different DOE school complex areas on the islands of Kauai, Maui, and Oahu.

## 2. The goals and objectives related to the request;

The goals and objectives associated with this GIA request are:

- to provide formal water safety and swimming skill instruction for 2,500 public and charter elementary school students during the 2020-21 school year, and
- 2) to expand the program's reach to new schools on all islands including Hawaii, Lanai, and Molokai.

#### 3. The public purpose and need to be served;

According to the Centers for Disease Control and Prevention (CDC), "Most drowning deaths and injuries are predictable and preventable." The WHO Global Report on Drowning states that "Long-term declines in drowning in a number of countries are associated with the establishment and community actions of lifesaving societies." The International Life Saving Federation (ILS) Position Statement for Swimming and Water Safety Education provides the following statements and recommendations:

- Death by drowning is a leading public health problem in all countries. Prevention requires public and government support.
- The vast majority of deaths by drowning can be prevented.
- Everyone, ideally commencing at a young age and regardless of ability and background, should have access to training in water safety, personal survival, and water rescue.

-

<sup>&</sup>lt;sup>1</sup> Centers for Disease Control and Prevention (2012). "Reducing Drowning Injuries in Children", A National Action Plan for Child Injury Prevention.

<sup>&</sup>lt;sup>2</sup> World Health Organization (2014). "Global report on drowning: preventing a leading killer". 28.

• Knowledge and understanding of water environments and their associated hazards should be taught to everyone at the earliest possible age.<sup>3</sup>

According to the Hawaii Department of Health (DOH), between 2014 and 2018, injuries were responsible for more deaths of Hawai'i's children, ages 1 through 14, than all other causes, including infectious diseases and chronic diseases. The leading cause of injury-related mortality for Hawai'i's children during that period was drowning — more than motor vehicle occupant and pedestrian accidents combined.<sup>4</sup>

From 2014 through 2018, non-residents accounted for 54% of the fatal drownings in Hawaii for all ages combined. By contrast, Hawai'i's children, ages 0 through 17, accounted for 96% of the fatal child drownings in the state.<sup>5</sup>

During the same 5-year period, there were an average of 70 EMS-attended nonfatal child drownings in Hawai'i per year. Nonfatal drowning injuries can cause severe brain damage, similar to blunt force trauma, and can result in long-term disabilities, such as memory problems, learning disabilities, and permanent loss of basic functioning (i.e., permanent vegetative state).

Although Hawaii is an island state, our children are inadequately prepared for water-related emergencies. An early 1980's study by the City and County of Honolulu, Parks and Recreation Department, found that 70% of a tested group of 4,500 students, 7-14 years in age, were unable to swim 50 yards.<sup>6</sup> Pre-instruction assessments performed by HAA staff during the 2018-19 school year demonstrated that only 31% of the participating 2<sup>nd</sup> grade-aged students could swim for 25 yards. Further only 12% of the students were able to float for 30 seconds, and only 13% were able to tread for 60 seconds.

A multi-island survey conducted by HAF during the spring of 2017 identified that less than 10% of public/charter elementary schools and less than 20% of private elementary schools provide aquatic safety instruction — for any grade — during their physical education classes.

Rev. 12/2/19 3 Application for Grants

<sup>&</sup>lt;sup>3</sup> International Lifesaving Federation (2007). "Swimming and Water Safety Education", *Lifesaving Position Statement*. LPS-06: 3.

<sup>&</sup>lt;sup>4</sup> Hawaii Department of Health, Injury Prevention and Control Section (2019). "Injury - A Major Public Health Problem in Hawaii".

<sup>&</sup>lt;sup>5</sup> Galanis, D. (2019). "Child Drowning in Hawaii" [PowerPoint presentation], Hawaii Department of Health, Injury Prevention and Control Section.

<sup>&</sup>lt;sup>6</sup> Hawaii State Dept. of Education, Office of Instructional Services (1986). "Basic Water Safety/Learn to Swim Program for the Public Schools of Hawaii".

The cost of private lessons is prohibitive for many families. Municipal programs lack the resources to meet public demand for aquatic safety education. To reduce the number of child drownings and aquatic injuries in the state of Hawaii, all children should be provided equal access to standards-based aquatic safety education programs. To achieve this, instruction must be administered during school hours.

## 4. Describe the target population to be served; and

The target population is Hawaii public and charter elementary school students.

## 5. Describe the geographic coverage.

Currently the program is provided to twenty public and charter elementary schools in five DOE complex areas and on the islands of Kauai, Maui, and Oahu. The objectives of the program are to accommodate additional schools/students in the current areas of coverage, expand to additional DOE complex areas, and reach all islands.

# III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

### 1. Describe the scope of work, tasks and responsibilities;

HAA provides aquatic safety education to elementary school students through a standards-aligned curriculum of safety knowledge and physical skill instructional activities. The lesson plans include five hours of instruction for each of three skill levels. The scope of work is divided into four distinct areas: scheduling and logistic preparation, instruction activities, post course services, and ensuring student safety and well-being.

Scheduling and logistic preparation tasks and responsibilities:

- Coordinating with participating schools
- Scheduling pool facilities
- Organizing transportation
- Scheduling instructors, lifeguards, and support staff
- Procuring appropriate swimwear for students in low-income areas

Instruction activity tasks and responsibilities:

- Preparing course material preparation
- Distributing students by skill level
- Tracking attendance
- Performing instructional activities
- Performing skill assessments
- Accommodating special needs and adaptive students

Post course services tasks and responsibilities:

- Analyzing student assessment data
- Generating school reports and student report cards
- Producing annual statewide reports

Student safety and well-being tasks and responsibilities:

- Providing lifeguards and safety equipment
- Supporting school chaperones
- Ensuring average student to instructor ratios of 4:1
- Facilitating instructor training in education, aquatic safety instruction, and lifesaving

# 2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;

The timeline for the program is aligned with the HI DOE school quarters (Q1-Q4). The number of students per quarter is distributed evenly throughout the school year, with the exception of a reduced number during the months of January through March (i.e., Q3) due to cooler pool water temperatures in non-heated pools. The planned distribution of students is as follows:

- Q1 700
- Q2 700
- Q3 400
- Q4 700
- Total 2,500

The distribution across islands and DOE complex areas will vary from quarter to quarter based on each school's scheduling preferences and/or venue availability. For example, some school personnel prefer participating in the program at the beginning of the school year since they schedule more field trips during their spring

quarter, whereas other schools prefer the second or fourth quarters since their beginning of the school year is planned out with other instructional activities.

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

Program performance objectives and quality assurance are determined by the following metrics:

- 1) Total number of participating schools/students
- 2) Student performance assessments

The recording and monitoring of school and student participation and assessment data is used to evaluate individual students, individual schools, geographical areas, socioeconomic areas, and other categorizations relative to statewide averages. Upon completion of the program course, each school is provided a detailed report for their school as a whole and for individual student's proficiency relative to grade level performance standards, competency objectives, and HI DOE Hawaii Content and Performance Standards (HCPS III). Annual reports are prepared for trend analysis and long-term planning. To ensure a consistent quality of education, HAA monitors the performance of each education team and each individual instructor.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency).

The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

HAA will submit quarterly reports providing a list of participating schools, the number of participating students, and geographical and DOE complex area distribution.

# IV. Financial

## **Budget**

- 1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
  - a. Budget request by source of funds (Link)

- b. Personnel salaries and wages (Link)
- c. Equipment and motor vehicles (Link)
- d. Capital project details (Link)
- e. Government contracts, grants, and grants in aid (Link)
- 2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2021.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$84,000	\$84,000	\$48,000	\$84,000	\$300,000

The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2021.

Private donations - \$100,000

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

n/a

5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2021 for program funding.

n/a

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2019.

\$22,096

# V. Experience and Capability

# 1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

Since the program's inception in the fall of 2017, HAA has provided formal water safety education and swimming skill lessons during school hours to over 2,000 students from twenty participating elementary schools in five different DOE complex areas on the islands of Kauai, Maui, and Oahu. Each school team is led by trained and certified Water Safety Instructors and Lifeguards. The majority of instructors have prior experience in water safety and swimming skill instruction and/or youth educational activities. HAF's organizational managers have broad experience in building and managing scalable programs.

Following is a list of public and charter elementary schools who have participated in the program thus far:

#### Kauai

- Eleele Elementary School
- Kapaa Elementary School
- Wilcox Elementary School

#### Maui

- Kihei Elementary School
- Pukalani Elementary School

#### Oahu

- Enchanted Lake Elementary School
- Hauula Elementary School
- Hokulani Elementary School
- Kaaawa Elementary School
- Kailua Elementary School
- Kaohao Charter School
- Kauluwela Elementary School
- Lanakila Elementary School
- Likelike Elementary School
- Prince Jonah Kuhio Elementary School
- Voyager Public Charter School

## 2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The facilities utilized by HAA are primarily county, state, and private organization pools located across the state; classrooms are provided by the participating schools. To date, two high school pools, two private organization pools, and six county pools have been used during school hours. Training and other organized staff activities are performed at the pools and short-term conference room spaces.

# VI. Personnel: Project Organization and Staffing

## 1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

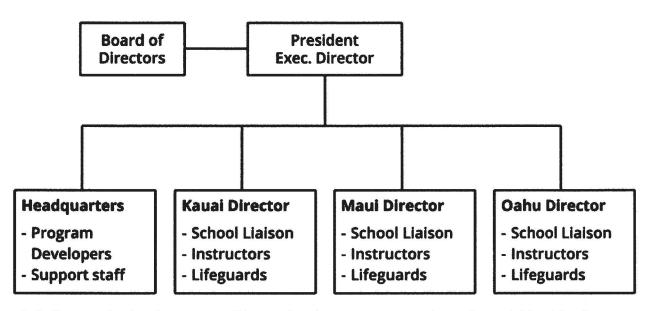
HAA has a staff of four full-time employees and twenty-four part-time employees led by President and CEO Dean Schmaltz who has over 30 years of business and management experience and over 15 years of volunteer service with Hawaii youth-oriented programs.

Island directors provide supervision for one or more education teams working in one or more DOE complex areas. Education teams are typically comprised of a team manager, lifeguard, and six instructors. Support staff assist the education teams with various logistic tasks such as school and facility scheduling and with staff training to ensure a consistent level of instruction across all islands.

HAA has demonstrated the ability and capacity to build new teams as the number of schools served has grown over the last three years. Expansion goals will be achieved by hiring additional staff as well as increasing the hours of current part-time staff.

## 2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.



A similar organizational structure will be used as the program expands to other neighbor islands.

## 3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.

Program Developer: \$60,000 Program Developer: \$45,000 Team Manager: \$45,000

# VII. Other

## 1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

n/a

#### 2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

Hawaii Aquatics Academy is an American Red Cross (ARC) Local Provider. Lead instructors are ARC Water Safety Instructor and Lifeguard certified.

#### 3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

n/a

## 4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2020-21 the activity funded by the grant if the grant of this application is:

- (a) Received by the applicant for fiscal year 2020-21, but
- (b) Not received by the applicant thereafter.

HAF is pursuing additional sources of funding and grant opportunities to continue program development and expand its aquatic safety education services. During the first three years of the organization, funding has been provided by public and private donors. Government funding and/or grants are needed to provide aquatic safety education to more public school students and on all islands.

# **BUDGET REQUEST BY SOURCE OF FUNDS**

Period: July 1, 2020 to June 30, 2021

Applicant: Hawaii Aquatics Foundation

10-0	UDGET ATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A.	PERSONNEL COST				
/ · ·	1. Salaries	163,000			54,333
	2. Payroll Taxes & Assessments	32,600		*	10,867
	3. Fringe Benefits	20,400			6,800
	TOTAL PERSONNEL COST	216,000			72,000
B.	OTHER CURRENT EXPENSES				
<u> </u>	Airfare, Inter-Island	9,000			3,000
	2. Insurance (\$6 per student)	11,250			3,750
	3. Lease/Rental of Equipment	0			0
	4. Lease/Rental of Space	0			0
	5. Staff Training	15,000			5,000
	6. Supplies	9,750			3,250
	7. Telecommunication	0			.0
	8. Utilities	0			0
	9. Student busing	39,000			13,000
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	TOTAL OTHER CURRENT EXPENSES	84,000			28,000
C.	EQUIPMENT PURCHASES	0			0
D.	MOTOR VEHICLE PURCHASES	0			0
E.	CAPITAL	0			0
то	TAL (A+B+C+D+E)	300,000			100,000
	URCES OF FUNDING	300,000	Budget Prepared	By:	
٦		200,000	5 5 6 1 1		000 075 7070
	(a) Total State Funds Requested	300,000	Dean E. Schmaltz Name (Please type or	print)	808-375-7970 Phone
	(b) Total Federal Funds Requested		10 -	LO	6 #450050
	(c) Total County Funds Requested		Wind	XXX	1/15/2020
	(d) Total Private/Other Funds Requested	100,000	Signature of Authorize	d Official	Date
то	TAL BUDGET	400,000	Dean E. Schmaltz, I Name and Title (Please	·	-

#### **BUDGET JUSTIFICATION - PERSONNEL SALARIES AND WAGES**

Period: July 1, 2020 to June 30, 2021

Applicant: Hawaii Aquatics Foundation

POSITION TITLE	FULL TIME EQUIVALENT	ANNUAL SALARY A	% OF TIME ALLOCATED TO GRANT REQUEST B	TOTAL STATE FUNDS REQUESTED (A x B)
Island directors	0.25	\$70,000.00	37.50%	\$ 6,562.50
Team managers	0.50	\$60,000.00	100.00%	\$ 30,000.00
Instructors	2.30	\$45,000.00	100.00%	\$ 103,687.50
Lifeguards	0.35	\$40,000.00	100.00%	\$ 14,000.00
Program support staff	0.35	\$50,000.00	50.00%	\$ 8,750.00
				\$ -
				\$ -
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		34.34.48.28.00.00		\$ -
				\$ -
				\$ -
TOTAL:				163,000.00

#### JUSTIFICATION/COMMENTS:

- 1 part-time team manager/senior instructor per education team 6 part-time instructors per education team
- 1 part-time lifeguard per education team

# **BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES**

Period: July 1, 2020 to June 30, 2021

Applicant: Hawaii Aquatics Foundation

DESCRIPTION EQUIPMENT	NO. OF	COST PER	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

not applicable

# **BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS**

Period: July 1, 2020 to June 30, 2021

Applicant: Hawaii Aquatics Foundation

TOTAL PROJECT COST		ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		OTHER SOURCES FUNDS	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2018-2019	FY: 2019-2020	FY:2020-2021	FY:2020-2021	FY:2021-2022	FY:2022-2023
PLANS						
LAND ACQUISITION		,				
DESIGN						
CONSTRUCTION						
EQUIPMENT						
TOTAL:						

# GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

Applicant: Hawaii Aquatics Foundation

Contracts Total: \$0

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	not applicable				
2					***************************************
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