

**THE THIRTIETH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES**

Type of Grant Request:

Operating Capital

Legal Name of Requesting Organization or Individual: Db:
Alexander & Duke De Rego Foundation Alex & Duke De Rego Foundation

Amount of State Funds Requested: \$ 62,672

Brief Description of Request (Please attach word document to back of page if extra space is needed):

We are Requesting operating funds for our Water safety & Ocean Awareness Middle School program for Middle School Students who attend school in North Hawaii District on the Big Island of Hawai'i


Amount of Other Funds Available:	Total amount of State Grants Received in the Past 5 Fiscal Years:
State: \$ _____	\$ _____
Federal: \$ _____	Unrestricted Assets:
County: \$ _____	\$ <u>14,436.37</u>
Private/Other: \$ _____	


New Service (Presently Does Not Exist): Existing Service (Presently in Operation):

Type of Business Entity:	Mailing Address:
<input checked="" type="checkbox"/> 501(C)(3) Non Profit Corporation	P.O. Box 2128
<input type="checkbox"/> Other Non Profit	City: State: Zip:
<input type="checkbox"/> Other	Kamuela Hi 96743

Contact Person for Matters Involving this Application	
Name: Shirley De Rego	Title: President
Email: sanderego@gmail.com	Phone: 808-960-4889

Federal Tax ID#: [REDACTED]	State Tax ID#: [REDACTED] 01
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	Shirley De Rego / President	January 16, 2020
Authorized Signature	Name and Title	Date Signed





SENATE

Committee on Ways and Means

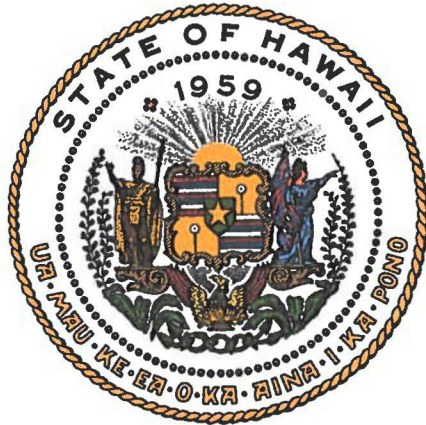
Chapter 42F Grant Application
Fiscal Year 2020-2021

Application Submittal Checklist

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- 1) Certificate of Good Standing (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
 - a) Budget request by source of funds
 - b) Personnel salaries and wages
 - c) Equipment and motor vehicles
 - d) Capital project details
 - e) Government contracts, grants, and grants in aid
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing

 Shirley De Rego/President Jan 16, 2020
AUTHORIZED SIGNATURE PRINT NAME AND TITLE DATE



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

ALEXANDER & DUKE DE REGO FOUNDATION

was incorporated under the laws of Hawaii on 03/29/2011 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 14, 2020

Director of Commerce and Consumer Affairs



**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

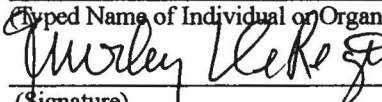
- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.

- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.

- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Alexander & Duke De Rego Foundation	
(Typed Name of Individual or Organization)	
	January 16, 2020
(Signature)	(Date)
Shirley De Rego	President
(Typed Name)	(Title)

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Certification – Please attach immediately after cover page

- 1. Certificate of Good Standing (If the Applicant is an Organization)**
- 2. Declaration Statement**
- 3. Public Purpose**

The Alex & Duke De Rego Foundation is a non-profit organization given its 501(c)3 status by the United States Internal Revenue Service. Its Public purpose is to provide Water Safety & Ocean Awareness Education to Middle School children ages 11-13 in the West Hawai'i area on the Island of Hawai'i.

The mission of the Alex & Duke De Rego Foundation is: ***"We are dedicated to providing the youth of Hawai'i with the right skillset that will empower them with the knowledge and awareness they need to safeguard their lives and the lives of others. Even one life lost to unpreparedness near the ocean or injury - where help in providing appropriate and timely First / Aid CPR is crucial - is one life lost too many"***

II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

- 1. A brief description of the applicant's background:**

After horrific tragedy fell upon the De Rego Family on September 24, 2005 while on a camping trip in a remote location on the south side of Kona, 12 year old Alexander De Rego was believed to have fallen into the ocean while crossing a plank, bridging two rocks, in the dark. Even as comfortable and strong in the ocean as Alex was, accidents like these can catch the strongest swimmers off guard. Duke's friend's unaware of the necessary first aid techniques and injury response procedures, panicked and did not get help immediately. Founder & President Shirley De Rego of the Alex & Duke De Rego Foundation, was determined to make a difference and make the island of Hawai'i a safer place for

children and youth, The Alex & Duke De Rego Foundation was created in 2011 and became a registered 501(c)3 and has since been providing lifesaving knowledge and education in hope that other families would never have to experience such heartbreak.

2. The goals and objectives related to the request;

The goal of the Alex & Duke De Rego Foundation is to provide Water Safety & Ocean Awareness Education support to 6th grade Middle School on the Island of Hawai'i in the North Hawaii District. This is done through a two day program which consist of;

Day 1 : Classroom interaction with students, a power point / documentary presentation that introduces the Water Safety & Ocean Awareness Education program to the students in their classroom environment.

Day 2 : A four-hour workshop, six grade classes are transported to Hapuna Beach State park on a field trip working in tandem with their physical education, health and safety curriculum. Here they get hands on learning experience through rotating interactive stations offering exercise, ocean swim, rescue techniques, ocean currents & conditions and First Aid/CPR procedures.

The purpose of the Grant-In-Aids is to provide personnel compensation, telecommunication and supplies for:

- Safety Director Lead \$3,000 (1 @ \$250/day X 12 days)
- 8 Certified Lifeguards \$12,000 (8 staff @ 250/day X 6 days)
- Project Coordinator \$28,080 (\$18 an hr X 30hr a week Annual)
- Documentary \$6,000 (Standard Presentation all classrooms)
- Program Uniform Wet Dry Shirts \$7,995 (615 tees @\$13 per shirt)
- Program Handbook & first Aid Kits \$5,596.50
- **Total: \$62,671.50**

3. The public purpose and need to be served;

Hawai'i's beauty and nature draws more than 1.4 million tourist per year, its hazardous ocean conditions make drowning the second leading cause of accidental death in the State of Hawai'i. In the past three years 37 drownings on Hawai'i Island alone, 20 of those were island residents. Unintended immersion is the third leading cause of drowning on Hawai'i.

A 2018 Senate Concurrent Resolution Bill S.C.R. No. 168 " urges The Department of Education to implement Ocean Water Safety Education Programs for Elementary School Students. The Center for Disease Control and Prevention reports that from 2005-2014, there were an average of 3,536 fatal unintentional drownings, non-boating related, annually in the

United States; whereas, one in five people who die from drowning are children fourteen years and younger; and whereas, school districts in other states have included swimming lesson, and ocean safety education in to their physical education classes; and whereas, **ocean and water safety awareness at a young age is key factor in drowning prevention**; now therefore it be resolved by the Senate of the Twenty-ninth Legislature of the State of Hawaii, Regular Session of 2018, the House of Representatives concurring, that the Department of Education is urged to implement ocean and water safety education programs for elementary school students; **and be it further resolved that the ocean water safety education programs may be addressed in schools through partnership with external organizations or other departments**".

While we cannot always control the circumstances that surround these accidents, The Alex & Duke De Rego Foundation's Water Safety & Ocean Awareness Education Program prepares our youth with the capability and knowledge to survive them, it is our duty to empower our youth with vital water safety and First Aid/CPR skills.

4. Describe the target population to be served;

The Alex & Duke De Rego Foundation's Water Safety & Ocean Awareness Education Program will serve Hawai'i Island North Hawaii Community middle School students currently in six grade in tandem with their physical education, health and safety curriculum.

The program has been a success as a larger number of children are able to participate in Water Safety Education through a school environment. For the past three years The Alex & Duke De Rego Foundation has provided this Water Safety & Ocean Awareness Education Workshop at Hapuna Beach State Park to Waimea Middle School STEAM program, as well as Parker School both schools are located in Kamuela on Hawai'i Island. In the past three years 232 children have been through our program with only two schools in participation.

5. Describe the geographic coverage;

The Water Safety & Ocean Awareness Education Program will continue to serve every year:

- Waimea Middle School 6th Grade - 150 Students

Adding to our Program:

- Waikoloa Middle School - 200
- Kohala Middle School - 150
- Kanu O Ka Aina Learning Ohana - 40
- Parker School - 75

Our youth will be empowered with vital water safety, ocean awareness, first aid and the confidence to provide assistance to others.

III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. **Describe the scope of work, tasks and responsibilities;**

Day 1 : A power point presentation / documentary will introduce the Water Safety & Ocean Awareness Education program to the students in a classroom environment.

Objectives:

- Background of The Alex & Duke De Rego Foundation and the story behind the mission
- Slide presentation with videos and pictures
- Lifeguard Tools
- hand out uniforms/ shirts and Foundation's Water Safety Educational handbook. Classes are 50 minutes a piece and Classroom Presentation is made by Shirley De Rego and Safety Director.

- Period 6 - 8:13-9:03
- Period 1 - 9:06-9:56
- Break - 9:56-10:11
- Period 2 - 10:14-11:04
- Period 3 - 11:07-11:57
- Break - 11:57-1:20
- Period 5 - 1:25-2:15

Day 2 : The classes will be transported to Hapuna Beach State Park on a

field trip working in tandem with physical education, health and safety curriculum. Here the students will get hands on experience through rotating interactive stations offering:

1. Physical Fitness - Stretch, Jumping Jacks, Run, introduction to Jr. Lifeguard.
2. Water Safety - Ocean Currents, riptides, waves, ocean floor drop off, rocks and sea urchin's
3. Water Rescue - Simulated water rescue with paddle boards and rescue tube
4. First Aid / CPR - Basic wound aid, head injury, CPR Mannequin training
5. Ocean Swimming - Swim techniques, free swim time

Arrive / Prep:

Opening (Brief) - Malana Mai / Water safety Director to Introduce staff (Certified Lifeguards)

Session 1: 9:00-9:35

Session 2: 9:40-10:15

Snack 10:15-10:35

Session 3: 10:40-11:15

Session 4: 11:20-11:55

Lunch 11:55-12:25

Session 5: 12:30-1:05

Closing Oli

Walk to bus by 1:30 return to school

All interactive station are conducted by Certified Lifeguards and a Teacher is always present.

Lifeguard Responsibilities:

- Lifeguards arrive at 8:00am and set up
- Breakdown station and pack up gear

Teacher Responsibilities:

- Teacher at each station - encourage lifeguard to talk about their path to becoming a lifeguard
- TEACHERS are timekeepers-give presenter a five minute warning before the session is to end
- Students need to be wearing their uniform / shirt at all times

2. **Provide a projected annual timeline for accomplishing the results or outcomes of the service;**

The Alex & Duke De Rego Foundation's Water Safety & Ocean Awareness Educational Program is a six-week program that runs through the month of April, May and ending the first week of June. Six hundred fifteen students will be impacted by this education over a six-week period, tripling what the Foundation was able to do in a span of three years.

3. **Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and**

It is the responsibility of The Alex & Duke De Rego Foundation's Project Coordinator to implement and maintain quality assurance of the organization by the following:

1. Maintain thorough records and verify the most accurate and up to date credentials and certifications of all Lifeguards who participate in the Water Safety & Ocean Awareness Education Program.
2. Maintain thorough records of all Board Members First Aid/CPR certification and to notify any and all Board Members when any certification are set to expire and coordinate the proper training to keep all members in good standing.
3. Coordinate with each school to set up Water Safety Education classroom presentation and hands on workshop.
4. Provide the survey forms in advance to participating Schools to be completed in a timely manner once the students have completed the Water Safety & Ocean Awareness Program.

4. **List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.**

Measures of effectiveness will be based on reports gained by observation, student surveys and interviews of teachers, principals, lifeguards and first responders. Data will also be collected of any additional schools interested in our program. The goal of The Alex & Duke De Rego Foundation is to be able to expand the breadth of our program and

services to reach more children by having our Water Safety Education Program in all Hawai'i Island Schools.

IV. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
 - a. Budget request by source of funds
 - b. Personnel salaries and wages
 - c. Equipment and motor vehicles
 - d. Capital project details
 - e. Government contracts, grants, and grants in aid

See Budget Sheets in Attachments

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2021.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$39,676.50	\$22,995			

3. **The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2021.**

No other funding is being sought this year with the exception of support from our private donors and our fundraisers:

- Hawaii Island Charity Walk
- LavaMan
- Dive Tournament
- Holiday Benefit Fundraiser

4. **The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.**

N/A

5. **The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within**

the prior three years and will be receiving for fiscal year 2021 for program funding.

N/A

6. **The applicant shall provide the balance of its unrestricted current assets as of December 31, 2019.**

\$14,436.37

V. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

The Alex & Duke De Rego Foundation's Water Safety & Ocean Awareness Certified Lifeguards are Hawaii County Fire Department Ocean Safety Watermen and Women. They participate in our program on their respected days off. They see a significant value in our programs and are happy to be a part of our team. We have also had the privilege of Hawaii Fire Department Officer's also participate in our program as instructor of our First Aid / CPR Station. All of our instructors are certified. This is a necessity and requirement of the Alex & Duke De Rego Foundation.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

Our Water Safety & Ocean Awareness Program is presented in a classroom environment of the host school. The hands on interactive rotation learning stations are held Hapuna Beach State Park. The necessary permits are acquired. The Alex & Duke De Rego Foundation Liability Insurance includes the host school and Hapuna Beach State Park as additional insured during the six-week educational program.

The Alex & Duke De Rego Foundation business office is located at 65-1206 Mamalahoa Hwy Suite #2-104 Kamuela, Hawaii 96743.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

The Alex & Duke De Rego Foundation's Water Safety & Ocean Awareness program instructors are Certified Hawaii County Fire Department Ocean Water Safety Officers who participate in our program on their respected day off. They meet all of the County of Hawaii Fire Department's certification requirements.

The Alex & Duke De Rego Foundation's Water Safety Director is a certified lifeguard who maintains his certification by renewing them as they become ready to expire. He utilizes Hawaii County Instructors to renew his lifeguard certification when needed. the Water Safety Director is also a Board Member. All of the Board Members are CPR Certified.

2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/ supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

The Alex & Duke De Rego Foundation was mainly volunteer based. President Shirley De Rego has taken on a full-time role as of may 2018. With a vision to see our Water Safety & Ocean Awareness Education Program in all Hawai'i Island Schools starting in the North Hawaii Community.

3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.

No Officer receives compensation at this time

VII. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

There is none

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

The Alex & Duke De Rego Foundation Founder / President has worked in the banking and finance industry for the past twenty three years as a certified mortgage planning specialist. In May 2016 Hawaii Business News Magazine named her Mortgage Professional. The National association of Professional Woman honored her as 2017 - 2108 inductee into it's VIP Woman of the Year Circle. She also serves as a board member of a local Art Gallery in her Community.

3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution.

No

4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2020-21 the activity funded by the grant if the grant of this application is:

- (a) Received by the applicant for fiscal year 2020-21, but
- (b) Not received by the applicant thereafter.

Additional Grant applications will be applied for to keep our programs continuing year after year.

Applicant: Alex & Duke De Rego Foundation

ATTACHMENTS

Application for Grant

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2020 to June 30, 2021

Applicant: Alex & Duke De Rego Foundation

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	43,080			
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST	43,080			
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies / Handbook / First Aid Kit	5,597			
7. Telecommunication / Documentary	6,000			
8. Utilities				
9. Uniforms	7,995			
10.				
11.				
12.				
13.				
14.				
15.				
16.				
17.				
18.				
19.				
20.				
TOTAL OTHER CURRENT EXPENSES	19,592			
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	62,672			
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	62,672	Shirley De Rego 808-960-4889		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested		<i>Shirley De Rego</i> 1-16-2020		
(d) Total Private/Other Funds Requested		Signature of Authorized Official Date		
TOTAL BUDGET	62,672	President Name and Title (Please type or print)		

Applicant: Alex & Duke De Rego Foundation

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
N/A			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
N/A			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

Period: July 1, 2020 to June 30, 2021

Applicant: Alex & Duke De Rego Foundation ____

FUNDING AMOUNT REQUESTED

TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2018-2019	FY: 2019-2020	FY:2020-2021	FY:2020-2021	FY:2021-2022	FY:2022-2023
PLANS	N/A					
LAND ACQUISITION	N/A					
DESIGN	N/A					
CONSTRUCTION	N/A					
EQUIPMENT	N/A					
TOTAL:						

JUSTIFICATION/COMMENTS:

Alex & Duke De Rego Foundation Directors

Shirley De Rego <u>sanderego@gmail.com</u> P.O. Box 1272 Kamuela, HI 96743 64-783 Paeli Alanui Kamuela, HI 96743	President	808-960-4889
Cynthia Stone <u>cindystonebigisland@msn.com</u> 64-668 Puu Noho Street Kamuela, HI 96743	Vice President	808-896-1237
Shannele Dedericks <u>shannele808@yahoo.com</u> P.O. Box 754 Kamuela, HI. 96743	Secretary	808-936-1164
Johnele Gambill 68-1915 Puu Nui Street Waikoloa, HI 96738	Treasure	808-430-6512
Dane Gambill <u>danefromhi@yahoo.com</u> 2506 185th St Redondo Beach, Ca. 90278	Legal Advisor	310-384-2414
Kien Aveiro P.O. Box 512 Kamuela, Hawaii 96743	Water Safety/Director	808-315-3577
Annie Howes <u>anniehoweshawaii@gmail.com</u>	Fundraiser / Director	808-937-6256
Noelani Spencer <u>noe@livealohahawaii.com</u> 64-5213 Puu Nani Dr. Kamuela, Hawaii 96743	Community Liaison/Director	808-990-4994