

DAVID Y. IGE
GOVERNOR



DEPT. COMM. NO. 244
KEN N. KITAMURA
ACTING DIRECTOR

EMPLOYEES' RETIREMENT SYSTEM
HAWAII EMPLOYER-UNION HEALTH BENEFITS TRUST FUND
OFFICE OF THE PUBLIC DEFENDER

STATE OF HAWAII
DEPARTMENT OF BUDGET AND FINANCE
P.O. BOX 150
HONOLULU, HAWAII 96810-0150

ADMINISTRATIVE AND RESEARCH OFFICE
BUDGET, PROGRAM PLANNING AND
MANAGEMENT DIVISION
FINANCIAL ADMINISTRATION DIVISION
OFFICE OF FEDERAL AWARDS MANAGEMENT (OFAM)

December 31, 2018

The Honorable Ronald D. Kouchi
President of the Senate
Thirtieth State Legislature
State Capitol, Room 409
Honolulu, Hawai'i 96813

The Honorable Scott K. Saiki
Speaker of the House of Representatives
Thirtieth State Legislature
State Capitol, Room 431
Honolulu, Hawai'i 96813

Dear Senate President Kouchi and Speaker Saiki:

We are submitting a flash drive of the FY 17 and 18 Routine Repair and Maintenance report pursuant to Act 233, SLH 2016, and the FYs 15-21 Non-General Fund Information report pursuant to Section 37-47, HRS.

As required by Section 93-16, HRS, the reports will be published on the internet and will be located at:
<http://budget.hawaii.gov/budget/reports-to-the-legislature/>.

Should there be any questions, please have your staff contact Mr. Neal Miyahira, Administrator of this department's Budget, Program Planning and Management Division, at 586-1530.

Aloha,

A handwritten signature in black ink, appearing to be "Ken N. Kitamura".

KEN N. KITAMURA
Acting Director of Finance

Attachment

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EC
 Name of Fund: Cooperative National Plant Pest Survey and Detection Program
 Legal Authority: Chapter 29-14, HRS

Contact Name: P. Shimabukuro-Geiser
 Phone: 973-9553
 Fund type (MOF) P
 Appropriation Acct. No. S-201-A

Intended Purpose:
 Funds are provided annually by the USDA-APHIS-PPQ for the survey and detection of alien plant pests and diseases and the generation and distribution of related data.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:
 The department's survey entomologist coordinates statewide surveys by cooperators in various state and federal agencies to detect new immigrant organisms (insects and other plant pests, including diseases) and the acquisition and dissemination of information is put into the state database and transmitted to USDA-APHIS-PPQ. Funds are used for primarily for personnel and travel expenses of the State Survey Coordinator role. A small budget for supplies is also allocated.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 19	FY 20	FY 21
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	659,880	663,089	663,089	599,025	634,332	634,332	634,332
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	73,022	59,693	63,044	58,269	60,000	103,500	103,500
Expenditures	65,883	41,472	38,920	44,682	60,000	103,500	103,500
Transfers							
List each net transfer in/out/or projection in/out; list each account number							
Net Total Transfers	4,654	0	0	0	0	0	0
Ending Cash Balance	11,793	18,221	24,124	13,587	0	0	0
Encumbrances		2,925	190	2,211			
Unencumbered Cash Balance	11,793	15,296	23,934	11,376	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Egg Product Inspection
 Legal Authority: Chapter 29-14

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF): Federal Fund
 Appropriation Acct. No.: S-202-A

Intended Purpose:

Deposit and expend federal funds to conduct shell egg inspections at eggs farms and packing plants required under USDA Egg Products Inspection Act.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:

Inspect egg farms and packing facilities to assure eggs being sold do not contain excessive restricted eggs, restricted eggs are properly handled and labeled, and required refrigeration temperature. Funds expended into general fund to cover salary costs, fringe benefits, expenses, and administrative costs for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Varaiances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	37,424	39,424	39,424	39,424	39,424	39,424	39,424
Beginning Cash Balance	1,643	1,224	1,751	0	1,209	2,209	3,209
Revenues	9,869	9,185	10,894	16,075	16,000	16,000	16,000
Expenditures	10,288	8,658	12,645	14,866	15,000	15,000	15,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,224	1,751	0	1,209	2,209	3,209	4,209
Encumbrances	1,224	0					
Unencumbered Cash Balance	0	1,751	0	1,209	2,209	3,209	4,209

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 846/EE
 Name of Fund: Pesticide Enforcement
 Legal Authority: Chapter 29-14, HRS

Contact Name: Joe Balignasay/John McHugh
 Phone: 973-9405
 Fund type (MOF) P
 Appropriation Acct. No. S-205-A/S-521-A

Intended Purpose:

Deposit and expend federal funds to ensure the effective and safe use of pesticides and to minimize the adverse effects on man and the environment. The Pesticide Program work is on the lead of EPA and in performance collaboration for the continuing development and management of the Program with EPA.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:

Conduct investigations and compliance assistance activities relating to the distribution and use of pesticides. Certify pesticide users determined to be competent to apply restricted use pesticides. Conduct chemical analyses in support of pesticide program activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	413,129	446,129	446,129	444,629	444,629	444,629	444,629
Beginning Cash Balance	101,742	103,143	85,940	327,332	327,332	327,332	327,332
Revenues	430,536	425,000	459,133	126,111	126,111	126,111	126,111
Expenditures	429,135	442,203	373,925	373,435	373,435	373,435	373,435
Transfers							
List each net transfer in/out/or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	103,143	85,940	171,148	80,008	80,008	80,008	80,008
Encumbrances	6,909	19,178	16,340	16,175			
Unencumbered Cash Balance	96,234	66,762	154,808	63,833	80,008	80,008	80,008

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Research and Development for Brown Tree Snake
 Legal Authority: Chapter 29-14, HRS

Contact Name: P. Shimabukuro-Geiser
 Phone: 973-9535
 Fund type (MOF) P
 Appropriation Acct. No. S-211-A

Intended Purpose:

Deposit and expend federal funds to prevent entry of the brown tree snake into the state through the flights and cargo originating from Guam.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Inspect cargo, baggage, aircraft, & ships originating from Guam destined to Hawaii with detector dog teams for hitchhiking brown tree snakes.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	262,420	262,420	262,420	262,420	262,420	262,420	262,420
Beginning Cash Balance	1,369	1,369	1,369	0	0	0	0
Revenues	158,148	13,097	0	0	0	0	0
Expenditures	158,148	13,097	1,369	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,369	1,369	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,369	1,369	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Seafood Inspection Program
 Legal Authority: Chapter 29-14, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) P
 Appropriation Acct. No. S-220-A

Intended Purpose:
 To deposit and expend federal funds to conduct seafood inspection services.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:
 Conduct seafood inspection upon request per agreement with U.S. Department of Commerce Seafood Inspection Program. Funds expended into general fund to cover salary costs and other expenses for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:
 Inspections are conducted at request of applicants. Variance due to less than anticipated inspection requests received.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	1,332	1,316	1,217	427	1,872	3,372	4,872
Revenues	11,835	13,376	11,693	14,064	14,100	14,100	14,100
Expenditures	11,851	13,475	11,071	12,619	12,600	12,600	12,600
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,316	1,217	1,839	1,872	3,372	4,872	6,372
Encumbrances							
Unencumbered Cash Balance	1,316	1,217	1,839	1,872	3,372	4,872	6,372

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Meat Grading
 Legal Authority: Chapter 29-14, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) P
 Appropriation Acct. No. S-221-A

Intended Purpose:

Deposit and expend funds for meat grading service performed and to pay for travel costs of Federal supervisor for supervisory visit.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Conduct meat grading services upon request per agreement with U.S. Department of Agriculture, Agricultural Marketing Service, Meat Grading Branch. Portion of funds expended into general fund for salary costs and fringe benefits to perform service, balance of funds held and expended for travel costs of federal supervisor as necessary..

Purpose of Proposed Ceiling Increase (if applicable):

Variances: No requests for meat grading services received.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Beginning Cash Balance	959	959	959	959	959	959	959
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	959	959	959	959	959	959	959
Encumbrances							
Unencumbered Cash Balance	959	959	959	959	959	959	959

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 132/DC
 Name of Fund: Animal Health Surveillance -Umbrella
 Legal Authority: Chapter 29-14, HRS

Contact Name: Dr. Raquel Wong
 Phone: 483-7111
 Fund type (MOF) Federal Fund
 Appropriation Acct. No. S-237-A

Intended Purpose:
 To conduct animal health surveillance activities, especially regarding foreign animal diseases, zoonotic diseases and other diseases of significant public health or economic significance.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:
 Expenses related to the following objectives: Hire livestock inspector and/or contracted worker for assistance with program activities, Identify waste feeding operations within the State and conduct serosurveillance on these herds, and perform surveillance for foreign animal diseases, zoonotic diseases, Pseudorabies, Avian influenza, Scrapie, Classical Swine Fever and bovine Tuberculosis. Provide for professional development in animal health topics opportunities for veterinary medical officers and producers.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,851	36,686	6,050	30,746	0	0	0
Revenues	146,487	117,594	115,654	108,226	108,226	108,226	108,226
Expenditures	134,652	148,230	90,956	88,401	108,226	108,226	108,226
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	36,686	6,050	30,748	50,571	0	0	0
Encumbrances		1,444	3,950	2,275			
Unencumbered Cash Balance	36,686	4,606	26,798	48,296	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EC
 Name of Fund: Survey & Detection of the Red Imported Fire Ant in Hawaii
 Legal Authority: Chapter 29-14, HRS

Contact Name: P. Shimabukuro-Geiser
 Phone: 973-9535
 Fund type (MOF) Federal Fund
 Appropriation Acct. No. S-240-A

Intended Purpose:
 Funds are for the survey and detection of the red imported fire ant (RIFA) and input of information into the U.S. Department of Agriculture, National Agricultural Pest Information System (NAPIS) database.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:
 The detection of RIFA will be accomplished through RIFA surveys at high risk areas such as airports, harbors, and nurseries using special baiting techniques and inspections for ant mounds and notifications from other sources of suspected RIFA. Suspected RIFA specimens will be identified by the department's taxonomist, infested areas will be identified, and a RIFA eradication plan will be developed and implemented. Information generated by the surveys and identification process will be documented and distributed to interested parties and the NAPIS database. Funds are used for travel, and supplies. HDOA has not applied for an extension of these funds in FY2019 and beyond.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	10,000	10,000	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	3,000	5,000	3,246	6,728	0	0	0
Expenditures	1,679	2,564	739	1,896	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,321	2,436	2,506	4,832	0	0	0
Encumbrances	1,515	2,475	0	18			
Unencumbered Cash Balance	(194)	(39)	2,506	4,814	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 132/DD
 Name of Fund: Animal Disease Traceability
 Legal Authority: Chapter 29-14, HRS

Contact Name: Dr. Raquel Wong
 Phone: 483-7111
 Fund type (MOF) P
 Appropriation Acct. No. S-245-A

Intended Purpose:

Formerly entitled National Animal Identification System (NAIS), Animal Disease Traceability (ADT) focuses on standardizing animal identification components to facilitate traceback during response activities for significant animal disease outbreaks.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Allowable expenses are related to purchasing equipment to promote radio frequency identification components used in animal disease tracings.

Travel expenses and contract agreements associated with outreach and education of livestock producers to present information regarding official animal identification and disease tracing activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	133,769	133,769	133,769	133,769	133,769	133,769	133,769
Beginning Cash Balance	12,819	13,759	9,653	14,522	0	0	0
Revenues	27,000	27,019	27,000	25,408	24,408	24,408	24,408
Expenditures	26,060	31,125	22,131	25,144	24,408	24,408	24,408
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,759	9,653	14,522	14,786	0	0	0
Encumbrances	335			5,667			
Unencumbered Cash Balance	13,424	9,653	14,522	9,119	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Country of Origin Labeling
 Legal Authority: Chapter 29-14, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) P
 Appropriation Acct. No. S-258-A

Intended Purpose:
 To deposit and expend federal funds to conduct country of origin labeling inspections.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:
 Conduct country of origin labeling inspections upon request per agreement with U.S. Department of Agriculture. Funds expended into general fund to cover salary costs and other expenses for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,000	35,000	35,000	26,000	26,000	26,000	26,000
Beginning Cash Balance	0	5,089	0	0	800	12,400	22,400
Revenues	21,489	33,800	34,400	18,600	23,600	24,000	24,000
Expenditures	16,400	38,889	34,400	17,800	12,000	14,000	16,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,089	0	0	800	12,400	22,400	30,400
Encumbrances							
Unencumbered Cash Balance	5,089	0	0	800	12,400	22,400	30,400

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Agricultural Reserve Fund
 Legal Authority: Chapter 155-14, HRS

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-301-A

Intended Purpose:
 Deposit interest and fees collected to carry on the operations of the agricultural loan program.

Source of Revenues: Interest and fees charged on loans, interest on short-term investment of uncommitted funds, lease fees.

Current Program Activities/Allowable Expenses:
 Collect interest on loans, fees from private lenders on insured loans, interest on short term investment of uncommitted funds. Moneys determined to be in excess of operating needs are transferred to the Agricultural Loan Revolving Fund. Funds used to cover operational expenses of the Ag Loan Division.

Purpose of Proposed Ceiling Increase (if applicable): Increased fringe benefit costs

Variances: Revenues less due to several loans paid off early, delay in disbursement of loans, and rate of interest on investments at lower rate.
 Expenditures less due to vacant positions.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,183,216	1,296,844	1,296,844	1,340,775	1,340,775	1,340,775	1,340,775
Beginning Cash Balance	1,669,767	1,843,646	1,344,190	1,211,988	1,176,776	914,701	860,860
Revenues	1,108,208	467,915	818,064	943,223	1,078,700	1,286,934	1,530,442
Expenditures	930,777	967,371	950,266	978,435	1,340,775	1,340,775	1,340,775
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,847,198	1,344,190	1,211,988	1,176,776	914,701	860,860	1,050,527
Encumbrances	11,818	1,819		33,911			
Unencumbered Cash Balance	1,835,380	1,342,371	1,211,988	1,142,865	914,701	860,860	1,050,527

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Certification Services Revolving Fund
 Legal Authority: Chapter 147-101, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) Revolving Fund
 Appropriation Acct. No. S-302-A

Intended Purpose:

Deposit proceeds derived from certification inspection services. Funds are expended to cover the costs of providing the inspection services, including personnel costs and operating expenses.

Source of Revenues: Certification and inspection fees for food safety, traceability, coffee certification, marketing order, identify and purity of seed.

Current Program Activities/Allowable Expenses:

Activities include the inspection and certification of coffee, purity of seed, agricultural commodities covered under Federal Marketing Order, traceability and food safety. Allowable expenses are salary and fringe benefits for Agricultural Commodity Aids, travel, training, mileage, vehicle and maintenance, equipment and supplies related to activities.

Purpose of Proposed Ceiling Increase (if applicable): Increased fringe benefit costs

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	508,837	536,020	541,535	567,020	567,020	567,020	567,020
Beginning Cash Balance	835,555	916,184	989,532	934,815	826,413	716,413	606,413
Revenues	331,021	388,531	338,043	214,943	215,000	215,000	215,000
Expenditures	250,392	315,183	302,789	323,345	325,000	325,000	325,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	916,184	989,532	1,024,786	826,413	716,413	606,413	496,413
Encumbrances			11,745	2,651			
Unencumbered Cash Balance	916,184	989,532	1,013,041	823,762	716,413	606,413	496,413

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Agricultural Loan Revolving Fund
 Legal Authority: Chapter 155-14, HRS

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF): Revolving Fund
 Appropriation Acct. No. S-303-A

Intended Purpose:
 Deposit moneys received to make agricultural loans.

Source of Revenues: Principal repayments of loans and advances.

Current Program Activities/Allowable Expenses:
 Assist farmers and farm organizations in securing credit from private lenders through participation with lenders insuring private lender loans, cooperating with other lenders or on direct basis. Special emphasis is placed on loan servicing, including management & financial counseling on participation and direct loans. Funds used to cover loan disbursements and advances.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenue variance due to loans paid off early and general fund infusion. Expenditure variance due to loans approved later in the year, delay in disbursing loans.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	2,680,693	2,311,913	3,702,958	2,214,519	4,574,353	986,550	145,199
Revenues	2,246,870	2,485,775	2,418,561	4,057,953	1,412,197	9,158,649	7,169,691
Expenditures	2,615,650	2,894,730	3,907,000	1,698,119	5,000,000	10,000,000	7,275,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. From Gen. Fund, G-00-000-O		1,800,000					
Net Total Transfers	0	1,800,000	0		0	0	0
Ending Cash Balance	2,311,913	3,702,958	2,214,519	4,574,353	986,550	145,199	39,890
Encumbrances							
Unencumbered Cash Balance	2,311,913	3,702,958	2,214,519	4,574,353	986,550	145,199	39,890

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Pest Inspection, Quarantine, and Eradication Fund
 Legal Authority: Chapter 150A-5.3, HRS

Contact Name: P. Shimabukuro-Geiser
 Phone: 973-9535
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-304-A

Intended Purpose: To conduct inspection, quarantine, control and eradication activities to prevent the introduction and spread of Pests and Invasive species in Hawaii

Source of Revenues: Legislative appropriations; service fees, charges and penalties collected under section 150A-14, HRS; federal funds; grants and gifts; interest earned or accrued on moneys deposited in the fund

Current Program Activities/Allowable Expenses: Operation of pest inspection, quarantine, eradication, and monitoring programs, related facilities and the execution of emergency remedial measures when pests are detected in the course of inspection

Purpose of Proposed Ceiling Increase (if applicable): Increased fringe benefit costs

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,135,741	7,679,965	7,810,040	7,929,402	8,059,477	8,059,477	8,189,552
Beginning Cash Balance	7,777,079	8,816,284	9,630,334	10,161,178	10,161,178	10,161,178	10,161,178
Revenues	5,140,674	5,426,480	6,720,682	6,134,183	6,284,183	6,284,183	6,284,183
Expenditures	4,101,469	4,612,430	6,189,838	6,285,122	5,297,215	5,297,215	5,297,215
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,816,284	9,630,334	10,161,178	10,010,239	11,148,146	11,148,146	11,148,146
Encumbrances	204,905	656,856	870,001	1,712,200	1,000,000	1,000,000	1,000,000
Unencumbered Cash Balance	8,611,379	8,973,478	9,291,177	8,298,039	10,148,146	10,148,146	10,148,146

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Non-Agricultural Park Lands Special Fund
 Legal Authority: Chapter 166E-7, HRS

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-305-A

Intended Purpose:
 Deposit revenues generated from lease rents for use in operating and maintaining the non-agricultural parks.

Source of Revenues: Rental income from lease of non-agricultural parks land.

Current Program Activities/Allowable Expenses:
 Administer lease terms and conditions of existing non-agricultural parks. Funds are used to cover expenses related to the operation and maintenance of the program.

Purpose of Proposed Ceiling Increase (if applicable): Ceiling increase proposed for fringe benefits

Variances: Expenditures lower than estimated due to delay in hiring.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	810,106	904,170	915,725	941,170	941,170	941,170	941,170
Beginning Cash Balance	1,254,655	1,144,258	1,548,259	1,852,337	2,284,646	2,223,528	2,194,538
Revenues	700,212	955,204	788,461	1,068,985	1,095,052	1,141,660	1,190,598
Expenditures	627,592	551,203	485,138	636,676	1,156,170	1,170,650	1,177,050
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,327,275	1,548,259	1,851,582	2,284,646	2,223,528	2,194,538	2,208,086
Encumbrances	9,736	66,035	94,055	37,876			
Unencumbered Cash Balance	1,317,539	1,482,224	1,757,527	2,246,770	2,223,528	2,194,538	2,208,086

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 161/KA
 Name of Fund: Hawaii Agricultural Development Revolving Fund
 Legal Authority: Chapter 163D-17, HRS

Contact Name: James Nakatani
 Phone: 586-0188
 Fund type (MOF): Revolving Fund
 Appropriation Acct. No. S-307-A

Intended Purpose:

Carry out Agribusiness Development Corporation's (ADC) purpose to acquire, preserve and make optimal use of agricultural assets for the economic, environmental, and social benefit of the people of Hawaii.

Source of Revenues: 1) Ag land rents, 2) Other rent (e.g. buildings), 3) use of government land for specific purposes (e.g. removal of soil), 4) sale of services to Navy, 5) WWS administrative fee, 6) investment pool interest, 7) water delivery

Current Program Activities/Allowable Expenses:

1) acquiring ag lands, facilities, infrastructure and conservation easements. 2) Operation, maintenance and repairs of structures, reservoirs and water systems
 4) research/studies of new agricultural technology; 5) auxiliary services such as security, processing of required licenses or permits, environmental assessments; and 6) purchase of goods necessary to repair and operate equipment and infrastructure.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,609,099	2,902,721	2,482,204	2,663,609	2,663,609	2,663,609	2,663,609
Beginning Cash Balance	1,773,305	1,294,696	1,143,464	1,278,945	1,342,962	1,303,984	1,338,116
Revenues	1,208,125	1,522,232	2,047,338	2,028,691	2,732,722	3,374,075	3,856,641
Expenditures	1,686,734	1,673,464	1,911,857	1,964,674	2,771,700	3,339,943	3,408,795
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,294,696	1,143,464	1,278,945	1,342,962	1,303,984	1,338,116	1,785,962
Encumbrances	1,030,738	949,273	1,201,087	706,398			
Unencumbered Cash Balance	263,958	194,191	77,858	636,564	1,303,984	1,338,116	1,785,962

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 171/BE
 Name of Fund: Seal of Quality Special Fund
 Legal Authority: Act 120, SLH 2007

Contact Name: Sharon Hurd
 Phone: 973-9465
 Fund type (MOF): Special Fund
 Appropriation Acct. No. S-308-A

Intended Purpose:

Deposit and expend revenues from the operation the Seal of Quality program.

Source of Revenues: Participation fees, fines, appropriations made to the fund.

Current Program Activities/Allowable Expenses:

To conduct trade shows, retail shows, conferences, seminars, and other promotional activities. Allowable expenses include expenses for designs, program labels, items and materials, displays, brochures, media advertisements, inspection and review, and investigative activities relating to application and enforcement of the program, printing, mailing airfare and per diem, lei, decors, rental of facilities and audio visual equipment, display and booth fees, participation fees, general supplies, and other expenses necessary to administer the program.

In FY2018, expenditures will include restocking of the SOQ labels/stickers, all sizes and colors, and promotional materials for members.

In FY2019, expenditures will include purchase of SOQ products to be included in the welcome packs for the delegates attending the Western Agricultural State Departments of Agriculture Annual Meeting (WASDA) to be held in Kauai. Included in the estimated expenditures is the contractor fee to purchase, assemble and distribute the welcome kits.

In FY2020, expenditures will include restocking of the SOQ labels/stickers, all sizes and colors, and promotional materials for members.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	61,435	66,552	34,690	41,921	44,163	34,163	39,163
Revenues	6,723	5,327	8,564	12,933	10,000	10,000	10,000
Expenditures	1,606	37,189	1,333	10,691	20,000	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	66,552	34,690	41,921	44,163	34,163	39,163	44,163
Encumbrances	17,500	0					
Unencumbered Cash Balance	49,052	34,690	41,921	44,163	34,163	39,163	44,163

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Aquaculture Loan Reserve Fund
 Legal Authority: Section 219-4 HR

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-310-A

Intended Purpose:
 Deposit interest and fees to carry on the operations of the aquacultural loan program.

Source of Revenues: Interest and fees charged on loans, interest on short-term investments of uncommitted funds.

Current Program Activities/Allowable Expenses:
 Collect interest on loans, fees from private lenders on insured loans, interest on short term investment of uncommitted funds. Moneys determined to be in excess of operating needs are transferred to the Aquacultural Loan Revolving Fund. Funds used to cover operational expenses of the Aquaculture Loan Program.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No new loans were approved. Interest payments and interest on investments less than anticipated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	131,067	158,014	181,364	205,972	227,786	9,740	4,056
Revenues	26,947	23,350	24,608	21,814	31,954	54,316	61,420
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. to Aqua Rev. S-311-A			0		(250,000)	(60,000)	(65,000)
Net Total Transfers	0	0	0		(250,000)	(60,000)	(65,000)
Ending Cash Balance	158,014	181,364	205,972	227,786	9,740	4,056	476
Encumbrances							
Unencumbered Cash Balance	158,014	181,364	205,972	227,786	9,740	4,056	476

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Aquaculture Loan Revolving Fund
 Legal Authority: Chapter 219-4, HRS

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Revolving Fund
 Appropriation Acct. No. S-311-A

Intended Purpose:
 Intended Purpose:
 Deposit moneys received to make aquaculture loans.

Source of Revenues: Principal repayments of loans and advances.

Current Program Activities/Allowable Expenses:
 Assist aquaculturists and aquacultural organizations in securing credit from private lenders, in participation with lenders or on a direct basis. Special emphasis is placed on loan servicing including management and financial counseling on participation and direct loans. Funds are used for loan disbursements and advances.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Repayments more than anticipated. No new loans approved.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	1,021,422	1,029,904	1,031,797	890,528	900,383	658,225	251,991
Revenues	8,482	1,893	8,731	9,855	7,842	33,766	71,474
Expenditures	0	0	150,000	0	500,000	500,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. fr. Aqua Reserve S-310-A					250,000	60,000	65,000
Net Total Transfers	0	0	0	0	250,000	60,000	65,000
Ending Cash Balance	1,029,904	1,031,797	890,528	900,383	658,225	251,991	38,465
Encumbrances							
Unencumbered Cash Balance	1,029,904	1,031,797	890,528	900,383	658,225	251,991	38,465

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Milk Control Special Fund
 Legal Authority: Chapter 157-29, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-315-A

Intended Purpose:
 Account for revenues and expenditures related to administration and operation of the Milk Control Program.

Source of Revenues: License fees collected from producers and processors.

Current Program Activities/Allowable Expenses:
 Regulate milk industry on Oahu and Hawaii; establish minimum price to producers; establish and manage production quotas; determine monthly payroll; evaluate milk disposition and usage and tabulate monthly retail prices for milk. Funds are used to cover salary and expenses related to administration and operation of the Milk Control program. Act 176, SLH 2014, requires a minimum reserve of not less than \$300,000 to be maintained in the account.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	204,885	223,968	226,854	226,854	226,854	226,854	226,854
Beginning Cash Balance	348,502	321,404	318,662	401,542	501,539	501,663	501,663
Revenues	87,745	94,486	97,587	114,797	100,000	100,000	100,000
Expenditures	114,843	97,228	14,707	14,800	99,876	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	321,404	318,662	401,542	501,539	501,663	501,663	501,663
Encumbrances			491				
Unencumbered Cash Balance	321,404	318,662	401,051	501,539	501,663	501,663	501,663

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Agricultural Park Special Fund
 Legal Authority: Chapter 166-10, HRS

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-317-A

Intended Purpose:

Deposit revenues generated from lease rents for use in operating and maintaining the agricultural parks.

Source of Revenues: Rental income from lease of agricultural parks land.

Current Program Activities/Allowable Expenses:

Administer lease terms and conditions of existing agricultural parks. Funds are used to cover expenses related to the operation and maintenance of the program.

Purpose of Proposed Ceiling Increase (if applicable): Increase proposed to cover fringe benefits.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	562,104	637,847	650,220	658,920	658,920	658,920	658,920
Beginning Cash Balance	1,760,026	1,635,651	1,676,703	1,768,092	1,752,579	1,669,418	1,620,187
Revenues	449,224	507,811	508,191	544,517	548,039	598,809	619,566
Expenditures	380,886	466,759	417,915	560,030	631,200	648,040	703,240
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0		0
Ending Cash Balance	1,828,364	1,676,703	1,766,979	1,752,579	1,669,418	1,620,187	1,536,513
Encumbrances	23,658	33,117	175,398	31,163			
Unencumbered Cash Balance	1,804,706	1,643,586	1,591,581	1,721,416	1,669,418	1,620,187	1,536,513

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 131/DB
 Name of Fund: Animal Quarantine Special Fund
 Legal Authority: Chapter 142-28.5, HRS

Contact Name: Dr. Isaac Maeda
 Phone: 483-7144
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-318-A

Intended Purpose:

Account for revenues and expenditures related to administration and operation of the Animal Quarantine program for dogs and cats.

Source of Revenues: Quarantine fees, vet and medical fees, charges for use of kennels by military, investment pool interest.

Current Program Activities/Allowable Expenses:

Conducts a quarantine program for dogs, cats and other carnivores to prevent the entry of rabies. Funds are used to cover operating costs of the program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,443,169	3,627,701	3,694,907	3,854,907	3,854,907	3,854,907	3,854,907
Beginning Cash Balance	2,184,658	1,996,301	1,949,965	1,238,260	956,029	856,029	756,029
Revenues	2,999,698	3,102,274	3,163,767	3,495,304	3,300,000	3,300,000	3,300,000
Expenditures	3,188,055	3,148,610	3,875,472	3,777,535	3,400,000	3,400,000	3,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,996,301	1,949,965	1,238,260	956,029	856,029	756,029	656,029
Encumbrances	105,069	576,785	220,936				
Unencumbered Cash Balance	1,891,232	1,373,180	1,017,324	956,029	856,029	756,029	656,029

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Irrigation System Revolving Fund
 Legal Authority: Chapter 167-22, HRS

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF): Revolving Fund
 Appropriation Acct. No.: S-320-A

Intended Purpose:

Deposit revenues generated through the sale of irrigation water and acreage assessments for use in operating and maintaining the irrigation systems.

Source of Revenues: Fees from delivery of irrigation water and acreage assessments.

Current Program Activities/Allowable Expenses:

Operate and maintain the existing State irrigation systems. Funds used to cover expenses related to operating and maintaining program.

Purpose of Proposed Ceiling Increase (if applicable): Fringe benefit increase

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,416,496	1,206,668	1,220,735	1,247,235	1,247,235	1,247,235	1,247,235
Beginning Cash Balance	2,528,355	2,623,536	2,907,269	3,410,744	3,699,058	3,664,726	3,628,692
Revenues	1,086,608	1,115,167	1,171,209	1,080,228	906,468	907,166	963,408
Expenditures	922,815	831,434	667,734	791,914	940,800	943,200	943,200
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,692,148	2,907,269	3,410,744	3,699,058	3,664,726	3,628,692	3,648,900
Encumbrances	34,104	141,066	453,860	87,491			
Unencumbered Cash Balance	2,658,044	2,766,203	2,956,884	3,611,567	3,664,726	3,628,692	3,648,900

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 846/EE
 Name of Fund: Pesticide Use Revolving Fund
 Legal Authority: Chapter 149A-13.5, HRS

Contact Name: John McHugh
 Phone: 973-9404
 Fund type (MOF) Revolving Fund
 Appropriation Acct. No. S-324-A

Intended Purpose: Deposit and expend revolving funds from licensing, certification and education and compliance monitoring activities.

Source of Revenues: Fees for licensing and registration of pesticide products prior to sale in the State; training fees; all penalties and fines collected by the Department associated with pesticide enforcement and compliance.

Current Program Activities: Funding educational programs, such as publishing "The Pesticides Label", travel associated with registration and licensing activities, ground water monitoring expenses, inventory of pesticides wastes and surpluses. Funds are used to cover the operating expenses associated with pesticide registration, certification, monitoring, and compliance/enforcement.

Purpose of Proposed Ceiling Increase (if applicable): Allow the Department to develop a commercial pesticide disposal program, pay for groundwater reviews for any pesticides that may have leaching properties and can contaminate groundwater, fund environmental studies associated with pesticide use in Hawaii.

Variance: With the passage of Act 45 at the 2018 Legislative Session the Pesticide Revolving Fund ceiling is increased to \$1,000,000.

With the approval by the Board of Agriculture and the Governor the revised Pesticide Rules will increase the licensing fees from \$330 per product to \$990 per product beginning in either the 3rd or 4th quarter of FY19. There are approximately 10,000 pesticide products registered in the State of Hawaii. Each pesticide product is licensed for 3 years and are staggered so that 1/3 of the 10,000 products are licensed and registered each year. Revenue from licensing and registration along with civil penalty fines and certification monies will increase from approximately \$1.1M/year to \$3.3M/year once the revised Pesticide Rules are approved by Board of Agriculture and the Governor. The increase in the licensing fees will be the main factor that accounts for the variance in the Pesticide Use Revolving Fund revenue. Additionally, after carefully examining the spreadsheet that was sent the values in the columns were adjusted to be consistent with the reports for the Pesticide Use Revolving Fund that was submitted to the Legislature for Fiscal Years 2015 through FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,503,640	1,701,850	1,791,118	1,861,231	1,903,406	1,903,406	1,903,406
Beginning Cash Balance	899,925	1,025,790	697,540	325,811	609,483	536,872	1,986,872
Revenues	1,038,358	1,065,980	1,384,541	1,088,437	1,144,329	3,300,000	3,300,000
Expenditures	912,493	1,394,230	1,756,270	804,765	1,216,940	1,850,000	1,850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to General fund	(195,612)	(359,185)	(27,494)	(181,866)	0	(986,872)	(2,436,872)
Net Total Transfers	(195,612)	(359,185)	(27,494)	(181,866)	0	(986,872)	(2,436,872)
Ending Cash Balance	1,025,790	697,540	325,811	609,483	536,872	1,986,872	1,000,000
Encumbrances	580,177	88,353	48,315	177,616	125,000	200,000	250,000
Unencumbered Cash Balance	445,613	609,187	277,496	431,867	411,872	1,786,872	750,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 161/KC
 Name of Fund: Waiahole Water System Revolving Fund
 Legal Authority: Chapter 163D-15.5, HRS

Contact Name: James Nakatani
 Phone: 586-1088
 Fund type (MOF) W
 Appropriation Acct. No. S-325-A

Intended Purpose:

Revenues and expenditures related to administration and operation of the Waiahole Water System to ensure reliable delivery of irrigation water to agricultural lands in the central and leeward districts of Oahu and to carry out the purposes of Act 111, SLH 1998.

Source of Revenues: Fees from delivery of water, investment pool interest, administrative fee and periodic assignment to ADC.

Current Program Activities/Allowable Expenses:

Activities include operating, maintaining and repairing the WWS infrastructure; regulating water flow; monitoring water use; repairing access roads; calibrating, repairing and replacing user meters; maintaining easement areas and ADC-owned parcels; billing water users; performing repairs to reservoirs in compliance with Dam Safety rules; and responding to orders from the Commission on Water Resource Management. The fund is also used to pay the debt service on the general obligation reimburseable bonds that were issued to purchase WWS and for ADC administration of WWS.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The variance in expenditure is due to less than estimated repair and maintenance on the system.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,262,082	1,262,082	1,363,535	1,363,535	1,363,535	1,363,535	1,363,535
Beginning Cash Balance	1,694,766	1,847,484	1,905,378	1,647,592	1,820,576	1,836,030	1,845,444
Revenues	1,295,489	1,256,783	892,706	1,314,879	1,334,546	1,361,123	1,388,230
Expenditures	1,142,771	1,198,889	1,147,400	1,141,895	1,319,092	1,351,709	1,298,023
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,847,484	1,905,378	1,650,684	1,820,576	1,836,030	1,845,444	1,935,651
Encumbrances	8,529	10,518	3,066				
Unencumbered Cash Balance	1,838,955	1,894,860	1,647,618	1,820,576	1,836,030	1,845,444	1,935,651

Additional Information:

Amount Req. for Bond Conveyance	429,801	425,371	422,948	423,302			
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 153/CD
 Name of Fund: Aquaculture Development Special Fund
 Legal Authority: Chapter 141-2.7, HRS

Contact Name: Raquel Wong
 Phone: 587-0030
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-328-A

Intended Purpose:

To deposit and expend funds to implement the aquatic disease management programs and activities and support research and development programs and activities relating to the expansion of the state aquaculture industry.

Source of Revenues: Percentage of offshore aquaculture operations lease fees (via DLNR) and fees for aquatic animal and plant health diagnostic services and other expert services.

Current Program Activities/Allowable Expenses:

Funds used to support aquaculture research and development activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	125,000	125,000	125,000	125,000	125,000	125,000	125,000
Beginning Cash Balance	157,835	236,776	254,910	234,103	183,459	183,459	183,459
Revenues	141,828	39,108	41,733	48,686	100,000	100,000	100,000
Expenditures	62,887	20,974	62,540	99,330	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	236,776	254,910	234,103	183,459	183,459	183,459	183,459
Encumbrances	1,650	51		1,141			
Unencumbered Cash Balance	235,126	254,859	234,103	182,318	183,459	183,459	183,459

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Agricultural Development and Food Security Special Fund
 Legal Authority: Chapter 243-3.5, HRS

Contact Name: Warren Takenaka
 Phone: 973-9609
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-331-A

Intended Purpose: Fund activities intended to increase agricultural production or processing that may lead to the reduced importation of food, fodder, or feed outside of the state.

Source of Revenues: Portion of the environmental response, energy, and food security tax specified in section 243-3.5, Investment pool interest

Current Program Activities/Allowable Expenses: Awarding of grants to farmers for agricultural production or processing activities; acquisition of real property for agricultural production or processing activity; improvement of real property, irrigation systems and transportation networks necessary to promote agricultural production or processing activity; purchase of equipment necessary for agricultural production or processing activity; conduct of research on and testing of agricultural products and markets; funding of agricultural inspectors within the department of agriculture; promotion and marketing of agricultural products grown or raised in the state; any other activity intended to increase agricultural production or processing that may lead to reduced importation of food, fodder, or feed from outside the state.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,304,658	5,664,874	5,802,131	5,646,092	5,068,206	5,068,206	4,868,206
Revenues	3,725,602	3,912,257	3,866,281	3,922,114	3,800,000	3,800,000	3,800,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(3,365,386)	(3,775,000)	(4,022,320)	(4,500,000)	(4,000,000)	(4,000,000)	(4,000,000)
Ending Cash Balance	5,664,874	5,802,131	5,646,092	5,068,206	4,868,206	4,868,206	4,668,206
Encumbrances							
Unencumbered Cash Balance	5,664,874	5,802,131	5,646,092	5,068,206	4,868,206	4,868,206	4,668,206

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Hawaii Water Infrastructure Special Fund
 Legal Authority: Chapter 155, HRS (Act 171, SLH 2016)

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Special
 Appropriation Acct. No. S-354-A

Intended Purpose:

Deposit moneys received to make water infrastructure loans and carry on operations of the Hawaii water infrastructure loan program.

Source of Revenues: Principal repayments of loans and advances, interest and fees .

Current Program Activities/Allowable Expenses:

Finance the purchase or installation of water infrastructure equipment. Funds used for loan disbursements and administrative and other related program costs.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Payment received early, investment pool earnings received.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			800,000	0	410,000	0	0
Beginning Cash Balance			0	410,000	436,492	81,335	165,714
Revenues			0	26,492	54,843	84,379	89,632
Expenditures			350,000		410,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. fr. Gen. Fund G-00-000-O			760,000				
Net Total Transfers	0	0	760,000	0	0	0	0
Ending Cash Balance	0	0	410,000	436,492	81,335	165,714	255,346
Encumbrances							
Unencumbered Cash Balance	0	0	410,000	436,492	81,335	165,714	255,346

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: OHA Ceded Lands Proceeds
 Legal Authority: Administratively Created

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Trust Fund
 Appropriation Acct. No. T-901

Intended Purpose:

The fund was administratively established to collect a percentage of ceded land revenues for the purpose of the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of ceded land rental revenue.

Current Program Activities/Allowable Expenses:

Payments to OHA

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	40,761	40,805	40,973	40,973	40,973	0	0
Revenues	237,209	280,571	274,542	331,360	332,000	332,000	332,000
Expenditures	237,165	280,403	274,542	331,360	372,973	332,000	332,000
Transfers	0	0	0	0			
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	40,805	40,973	40,973	40,973	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	40,805	40,973	40,973	40,973	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Contribution for Overtime-Plant Quarantine Inspection Services
 Legal Authority: None

Contact Name: P. Shimabukuro-Geiser
 Phone: 973-9535
 Fund type (MOF) Trust Fund
 Appropriation Acct. No. T-902-A

Intended Purpose:

Established to serve as a holding account into which funds paid by shipping companies are deposited and expended to pay for inspections conducted on an overtime basis on cargo entering the State at sites other than at the docks and piers.

Source of Revenues: Shipping companies reimbursing program's overtime costs.

Current Program Activities:

This account supports the off site inspection of containers as requested by Matson and Pasha using off duty inspectors. Funds used to reimburse program's overtime cost to conduct inspections.

Purpose of Proposed Ceiling Increase (if applicable): NA

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	474,362	474,362	474,362	474,362	474,362	474,362	474,362
Beginning Cash Balance	36,359	36,359	36,359	36,359	36,359	36,359	36,359
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	36,359	36,359	36,359	36,359	36,359	36,359	36,359
Encumbrances							
Unencumbered Cash Balance	36,359	36,359	36,359	36,359	36,359	36,359	36,359

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Agriculture
 Prog ID(s): AGR 151/BB
 Name of Fund: Temporary Deposit - Marketing
 Legal Authority: Chapter 147-7, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) Trust Fund
 Appropriation Acct. No. T-903-A

Intended Purpose: Established as a temporary holding account to deposit fees collected for federal inspection & certification activities. A certain percentage of the fees are transmitted to the federal government and the balance is credited to the credit of the State general fund.

Source of Revenues:

Fees from federal inspection and certification activities performed.

Current Program Activities/Allowable Expenses:

Conduct federal inspection and certification of agricultural commodities

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance	127,244	115,861	104,093	111,609	116,843	122,443	129,043
Revenues	24,126	6,184	17,597	17,600	18,000	19,000	20,000
Expenditures	35,509	17,952	10,081	12,366	12,400	12,400	12,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	115,861	104,093	111,609	116,843	122,443	129,043	136,643
Encumbrances							
Unencumbered Cash Balance	115,861	104,093	111,609	116,843	122,443	129,043	136,643

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Agriculture
 Prog ID(s): AGR 122
 Name of Fund: Temporary Deposit Bond for Animals
 Legal Authority: Chapter 150A, HRS

Contact Name: P. Shimabukuro-Geiser
 Phone: 973-9535
 Fund type (MOF): T
 Appropriation Acct. No. T-904

Intended Purpose:

Holding account to temporarily deposit cash bonds placed on certain animals entering the State to ensure compliance with importation conditions. Bonds are refunded upon death of animal, sale by it's owner, or departure from State. Bond forfeited and deposited into State treasury if animal escapes.

Source of Revenues: Cash bonds on certain animals entering the state.

Current Program Activities/Allowable Expenses: Monkeys and other high risk (for escapes) animals are bonded to create an incentive for the importer to safeguard the animals. Bonds are kept in this trust account, and returned to the importer when the animal is shipped out of Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable): n/a

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,600	38,600	38,600	38,600	38,600	38,600	38,600
Beginning Cash Balance	27,000	17,000	11,000	11,000	11,000	11,000	11,000
Revenues	6,000	0	0	0	0	0	0
Expenditures	16,000	6,000	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17,000	11,000	11,000	11,000	11,000	11,000	11,000
Encumbrances							
Unencumbered Cash Balance	17,000	11,000	11,000	11,000	11,000	11,000	11,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Security Deposits-AG Park & Non-AG Park
 Legal Authority: Administratively Created

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF): Trust Fund
 Appropriation Acct. No. T-905

Intended Purpose:

Deposit funds from lessors who chose to place a security deposit instead of taking out a performance bond. This account does not pay interest and the deposit is held until the lease terminates or until all lease terms and conditions are met and the Board of Agriculture authorizes the release of the deposit.

Source of Revenues:

Lessee deposit.

Current Program Activities/Allowable Expenses:

Not applicable

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	156,251	179,451	194,791	196,775	220,486	225,486	230,486
Revenues	23,200	15,340	1,984	23,711	5,000	5,000	5,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	179,451	194,791	196,775	220,486	225,486	230,486	235,486
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	179,451	194,791	196,775	220,486	225,486	230,486	235,486

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Agriculture
 Prog ID(s): AGR 151 BB
 Name of Fund: Producers Settlement Fund
 Legal Authority: Chapter 157-13

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) Trust Fund
 Appropriation Acct. No. T-906

Intended Purpose: To make distribution and adjustment payments to distributor or producer-distributors of milk to effectuate a marketwide pooling of milk produced in the Honolulu milk shed and Hawaii milk shed
 Source of Revenues: Payments by distributors or producer-distributors

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Encumbrances							
Unencumbered Cash Balance	100,000	100,000	100,000	100,000	100,000	100,000	100,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Agriculture
 Prog ID(s): AGR161 KA Hawaii Agricultural Development and Research
 Name of Fund: Security Deposits
 Legal Authority: Contractual

Contact Name: Lynn Owan
 Phone: 586-0187
 Fund type (MOF): Trust fund
 Appropriation Acct. No.: T-909

Intended Purpose: Temporary holding account for security deposits from land licensees, lessees or revocable permittees.

Source of Revenues: Security deposits Source code 1651

Current Program Activities/Allowable Expenses: Upon termination of a rental agreement and satisfactory condition of the premises, the security deposit will be reimbursed to the tenant.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	59,057	90,605	102,918	108,862	122,670	130,670	140,670
Revenues	31,548	12,313	5,944	13,808	8,000	10,000	12,000
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	90,605	102,918	108,862	122,670	130,670	140,670	152,670
Encumbrances							
Unencumbered Cash Balance	90,605	102,918	108,862	122,670	130,670	140,670	152,670

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141-HA
 Name of Fund: DHHL Entitlement Proceeds
 Legal Authority: Administratively Created

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Trust Fund
 Appropriation Acct. No. T-912

Intended Purpose:

Collect a percentage of former sugar lands rental revenues for the use of the Department of Hawaiian Homelands.

Source of Revenues:

30% of former sugar land lease rent.

Current Program Activities/Allowable Expenses:

Payments to DHHL.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	106	106	106	106	106	0	0
Revenues	0	10,395	20,949	10,599	10,600	10,600	10,600
Expenditures	0	10,395	20,949	10,599	10,706	10,600	10,600
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	106	106	106	106	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	106	106	106	106	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Agriculture
 Prog ID(s): AGR161 KA Agricultural Development and Research
 Name of Fund: Land Performance Bond
 Legal Authority: Contractual

Contact Name: Lynn Owan
 Phone: 586-0187
 Fund type (MOF) Trust fund
 Appropriation Acct. No. T-914

Intended Purpose: Temporary holding account for performance bonds deposited by land licensees, lessees or revocable permittees

Source of Revenues: Performance Bond Source code 1651

Current Program Activities/Allowable Expenses: Upon termination of the rental agreement and satisfactory performance under the rental agreement, the performance bond amount will be reimbursed to the tenant.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	19,652	19,652	25,592	25,992	40,992	55,992
Revenues	19,652	0	5,940	400	15,000	15,000	15,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,652	19,652	25,592	25,992	40,992	55,992	70,992
Encumbrances							
Unencumbered Cash Balance	19,652	19,652	25,592	25,992	40,992	55,992	70,992

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-881
 Name of Fund: State Foundation on Culture and the Arts
 Legal Authority: Administratively Created

Contact Name: Susan Naanos
 Phone: 586-0773
 Fund type (MOF) Federal Fund (N)
 Appropriation Acct. No. S-XX-203-M

Intended Purpose: To further arts and culture in Hawaii through implementing goals of a partnership with the National Endowment for the Arts.

Source of Revenues: National Endowment for the Arts

Current Program Activities/Allowable Expenses: Arts Education, Biennium Grants, Folk Arts, SFCA operations and initiatives

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: Revenues for FY15, FY16, FY17, & FY18 vary due to variances in amount of grant from NEA every fiscal year. Expenditures vary due to savings in salaries, fringe benefits and other program operating.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,306,936	735,691	747,039	756,802	756,802	756,802	756,802
Beginning Cash Balance	41,825	60,493	43,225	26,911	29,369	29,369	29,369
Revenues	675,430	697,084	669,519	708,871	681,800	681,800	681,800
Expenditures	656,762	714,352	685,833	706,413	681,800	681,800	681,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	60,493	43,225	26,911	29,369	29,369	29,369	29,369
Encumbrances	100,007	22,298	43,098	30,460			
Unencumbered Cash Balance	(39,514)	20,927	(16,187)	(1,091)	29,369	29,369	29,369

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-879
 Name of Fund: Help America Vote Act of 2002 (HAVA)
 Legal Authority: H.R. 3295/P.L. #107-252

Contact Name: Rhowell Ruiz
 Phone: 453-8683
 Fund type (MOF) Federal Fund (N)
 Appropriation Acct. No. S-XX-227-M

Intended Purpose:

To improve the administration of elections.

Source of Revenues:

General Services Administration (GSA) and interest payments (State investment pool).

Current Program Activities/Allowable Expenses:

Pursuant to Title I, State's shall use funds to: comply with Title III requirements; improve the administration of elections for Federal office; educating voters on voting procedures, voting rights and voting technologies; training election officials, poll workers, and election volunteers; improving, acquiring, leasing, modifying, or replacing voting systems and technology and methods for casting and counting votes; improving the accessibility and quantity of polling places; establishing a toll-free telephone hotline that voters may use to report possible voting fraud and voting rights violations, to obtain general information, and to access detailed automatic information on their registration status, specific polling place locations, and other relevant information.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,673,714	93,116	93,920	3,500,000	99,694	99,694	99,694
Beginning Cash Balance	5,571,175	5,709,318	4,758,242	4,176,105	3,853,098	3,418,098	3,067,498
Revenues	25,290	27,369	29,416	27,086	15,000	15,000	15,000
Expenditures	887,147	978,445	611,553	519,525	450,000	365,600	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JM0007 07/14/14	1,000,000						
JS3540 02/20/18				64,550			
JS3540 02/20/18				104,882			
Net Total Transfers	1,000,000	0	0	169,432	0	0	0
Ending Cash Balance	5,709,318	4,758,242	4,176,105	3,853,098	3,418,098	3,067,498	2,832,498
Encumbrances	3,037,327	4,377,175	898,471	628,504			
Unencumbered Cash Balance	2,671,991	381,067	3,277,634	3,224,594	3,418,098	3,067,498	2,832,498

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-879
 Name of Fund: Help America Vote Act, Title II
 Legal Authority: H.R. 3295/P.L.#107-252

Contact Name: Rhowell Ruiz
 Phone: 453-8683
 Fund type (MOF) Federal Fund (N)
 Appropriation Acct. No. S-XX-228-M

Intended Purpose:

To improve the administration of elections

Source of Revenues:

General Services Administration (GSA) and interest payments (State investment pool).

Current Program Activities/Allowable Expenses:

Pursuant to Title II, State's shall use funds to meet the requirements of Title III: complying with the voting systems standards; providing provisional voting; meeting voting information requirements; and maintaining a computerized statewide voter registration list.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,062,061	63,612	63,993	64,494	328	728	1,128
Revenues	1,551	381	501	384	400	400	400
Expenditures					0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JM0007 07/14/14	(1,000,000)						
JS3450 02/20/18				(64,550)			
Net Total Transfers	(1,000,000)	0	0	(64,550)	0	0	0
Ending Cash Balance	63,612	63,993	64,494	328	728	1,128	1,528
Encumbrances							
Unencumbered Cash Balance	63,612	63,993	64,494	328	728	1,128	1,528

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-879
 Name of Fund: Voting Access for Individuals with Disabilities
 Legal Authority: H.R. 3295/P.L. #107-252

Contact Name: Rhowell Ruiz
 Phone: 453-8683
 Fund type (MOF): Federal Fund (N)
 Appropriation Acct. No.: S-XX-229-M

Intended Purpose:

To assure voting access for individuals with disabilities

Source of Revenues:

U.S. Department of Health and Human Services, Administration for Children and Families, Administration on Developmental Disabilities

Current Program Activities/Allowable Expenses:

Making polling places, including the path of travel, entrances, exits, and voting areas of each polling place facility accessible to individuals with a full range of disabilities; providing statewide training to voters with the full range of disabilities utilizing trainers with disabilities to embrace privacy and independence in the voting process; developing and implementing training curricula and educational materials for election officials, precinct officials, and election volunteers; and providing individuals with the full range of disabilities with information about the accessibility of polling places.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	102,919	103,357	103,976	104,791	533	1,033	1,533
Revenues	438	619	815	624	500	500	500
Expenditures					0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS3540 02/20/18				(104,882)			
Net Total Transfers	0	0	0	(104,882)	0	0	0
Ending Cash Balance	103,357	103,976	104,791	533	1,033	1,533	2,033
Encumbrances							
Unencumbered Cash Balance	103,357	103,976	104,791	533	1,033	1,533	2,033

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-231
 Name of Fund: Custodial Services-Reimbursement Account
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF) Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-301-M

Intended Purpose:

Fund is used to recoup operating costs incurred in providing janitorial services and utility consumption expenditures at facilities occupied by the DOT and the Department of Commerce and Consumer Affairs, Health and Public Safety.

Source of Revenues:

Reimbursement from other state agencies - DOT, DCCA, Health and Public Safety.

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

* \$600,000 reimbursement from AAFES Building Occupants(Depts of Health & Public Safety) was to cease at end of FY16, but extended till the late FY18.

Variiances: Reimbursements from AAFES building occupants stopped in 4th Quarter FY18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,699,084	1,699,084	1,699,084	1,099,084	1,699,084	1,699,084	1,699,084
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,699,083	1,699,084	1,699,084	1,699,084	1,400,000	1,400,000	1,400,000
Expenditures	1,699,083	1,699,084	1,699,084	1,699,084	1,400,000	1,400,000	1,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

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 Department: Accounting and General Services
 Prog ID(s): AGS-244
 Name of Fund: Surplus Federal Property Revolving Fund
 Legal Authority: Section 103D-1107, HRS

Contact Name: Mei Phillips
 Phone: 831-6757
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No. S-XX-304-M

Intended Purpose:

The objective of the program is to reutilize federal and state property declared surplus or excess. The fund is maintained in an amount adequate to defray the costs of procuring, storing, handling, and disposing of surplus property donated to the State under any federal act or State rules making surplus federal and state property available.

Source of Revenues: Service and handling fees are charged for acquired property based on the acquisition cost or fair market value of the donated or transferred property. For vehicles acquired from the federal government, a fixed fee is charged.

Current Program Activities/Allowable Expenses:

Funds are used for all costs (payroll and operating expenses) to support the program.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: In FY 2015-2018, there was less federal property available and less federal vehicles available for purchase. The high ceiling is necessary to accommodate years when property and vehicles are plentiful and state and county agencies have need for used vehicles.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,798,996	1,826,464	1,836,624	1,848,249	1,848,249	1,865,795	1,867,127
Beginning Cash Balance	257,000	217,562	211,942	289,975	399,508	399,508	399,508
Revenues	905,550	620,516	261,243	304,312	1,848,249	1,848,249	1,848,249
Expenditures	944,988	626,136	183,210	194,779	1,848,249	1,848,249	1,848,249
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	217,562	211,942	289,975	399,508	399,508	399,508	399,508
Encumbrances	1,324	24,096	2,481				
Unencumbered Cash Balance	216,238	187,846	287,494	399,508	399,508	399,508	399,508

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: Stadium Special Fund
 Legal Authority: Section 109-3, HRS

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF): Special Fund (B)
 Appropriation Acct. No.: S-XX-307-M

Intended Purpose:

The stadium special fund accounts for money collected by the Stadium Authority. The money collected is applied, used and disposed of for the purpose of maintaining, operating, and managing Aloha Stadium.

Source of Revenues: Rental fees for facility, advertising revenues, and concession revenues.

Current Program Activities/Allowable Expenses:

Activities include: providing ticket selling services; providing parking and maintaining traffic controls within stadium premises; repairing and constructing improvements to stadium and related facilities; and providing public safety and security.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable

Variances:

Effective 1/14/2016, increase in parking rates of \$2 or \$4 depending on type of event. FY 2017 parking revenue increased \$89,300 over FY 2016. Field naming rights contract effective 9/30/2016 earned \$165,000 in FY 2017 advertising revenue. Swap meet contract ended on 8/31/2016. Upon expiration of the swap meet contract, the remaining balance in the swap meet reserve account becomes Stadium property \$234,300. Effective 1/1/2018, parking fees increased \$1.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,944,121	9,197,434	9,264,861	9,116,743	9,116,743	9,297,877	9,315,701
Beginning Cash Balance	4,637,131	3,905,156	3,504,105	3,825,698	3,700,728	5,018,228	5,015,728
Revenues	6,904,983	6,899,574	7,575,517	7,629,962	9,320,000	7,235,000	7,235,000
Expenditures	7,634,927	7,298,157	7,251,578	7,753,209	8,000,000	7,235,000	7,235,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV 2015-04, 7/1/2014 to S-318	(2,500)						
JV 2015-13, 8/20/2014 from S-318	363						
JV 2015-49, 2/3/2014 from S-318	106						
JV 2016-03, 7/1/2015 to S-318		(2,500)					
JV 2016-20, 9/5/2015 from S-318		32					
JV 2017-03, 7/1/2016 to S-318			(2,500)				
JV 2017-70, 6/13/2017 from S-318			154				
JV 2018-03, 7/1/2017 to S-318				(2,500)			
JV 2018-33, 11/22/17 from S-318				56			
JV 2018-36, 12/14/2017 from S-318				721			
Net Total Transfers	(2,031)	(2,468)	(2,346)	(1,723)	(2,500)	(2,500)	(2,500)
Ending Cash Balance	3,905,156	3,504,105	3,825,698	3,700,728	5,018,228	5,015,728	5,013,228
Encumbrances	752,752	735,953	878,466	944,133			
Unencumbered Cash Balance	3,152,404	2,768,152	2,947,232	2,756,595	5,018,228	5,015,728	5,013,228

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Motor Vehicle Rental & Personal Car Mileage/CIP
 Legal Authority: Section 107-1.5, HRS

Contact Name: Gordon Wood
 Phone: 586-0520
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No.: S-XX-308-M

Intended Purpose:

CIP projects are assessed for transportation requirements for projects.
 Source of Revenues: Design and construction projects, interest income.

Current Program Activities/Allowable Expenses:

Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary and DAGS CIP projects. Fund allows mileage reimbursements for project-funded staff in accordance with statutes and collective bargaining agreements, and funds motor pool auto and parking stall rentals, fuel, oil and auto repair parts, and other transportation-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Expenditures have been steadily increasing surpassing revenues due to program needing to both upkeep program's aging vehicles and increase in CIP staffs' personal car mileage expenditures. Adjustments have been made to the project assessments to address this.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	106,746	47,594	19,856	59,747	7,492	12,492	17,492
Revenues	72,336	76,198	87,086	32,608	110,000	110,000	110,000
Expenditures	101,488	103,936	94,772	84,888	105,000	105,000	105,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4437 2/19/16		(14,000)					
JS0448 8/21/14 JS5498 4/15/16	(50,000)	14,000					
JS0972 8/26/14 JS0013 7/5/16	50,000		(8,779)				
JSQ522 8/9/16			8,779				
JS5353 3/31/15 JS1958 10/31/16	700		32,953				
JS5353 3/31/15 JS5152 5/15/17	(700)		14,611				
JS5483 3/31/15 J6185 6/30/17	(30,000)		13				
JS1940 11/06/17				13			
JS6185 6/30/17				12			
Net Total Transfers	(30,000)	0	47,577	25	0	0	0
Ending Cash Balance	47,594	19,856	59,747	7,492	12,492	17,492	22,492
Encumbrances	1,061	1,077	2,212				
Unencumbered Cash Balance	46,533	18,779	57,535	7,492	12,492	17,492	22,492

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-223
 Name of Fund: Office Leasing
 Legal Authority: Administratively Created

Contact Name: Ivan Nishiki
 Phone: 586-0508
 Fund type (MOF): Interdepartmental Transfers (U)
 Appropriation Acct. No.: S-XX-310-M

Intended Purpose:

To receive funds from other departments as reimbursements for office space lease rental payments to landlords, for any tenant improvement costs for office build-outs, all of which are not in DAGS' budget.

Source of Revenues:

Reimbursements from other departments.

Current Program Activities/Allowable Expenses:

Statewide support for departments of the Executive Branch. Corresponding lease rental expenses from G-XX-032-M are charged against the funds in this reimbursement account. The account is also used to pay landlords for user departments' share of office build-out costs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: In FY 2018, revenues were \$563,097 less than appropriation due to limitations in billing departments for federal reimbursements for the Kapolei State Office Building.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	5,500,000	5,235,575	4,830,614	4,936,903	5,500,000	5,500,000	5,500,000
Expenditures	5,500,000	5,235,575	4,830,614	4,936,903	5,500,000	5,500,000	5,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Supplies, Services & Equipment for CIP Projects
 Legal Authority: Section 107-1.5, HRS

Contact Name: Gordon Wood
 Phone: 586-0520
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No. S-XX-313-M

Intended Purpose:

CIP projects are assessed for supplies, services and equipment expended for CIP projects.

Source of Revenues:

Design and construction projects, interest income.

Current Program Activities/Allowable Expenses:

Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary, and DAGS CIP projects. Fund provides for project-related expenses such as office supplies, travel, office furniture, computer hardware & software, reference materials, training, and copier rental.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variations: Expenditures have been steadily increasing surpassing revenues due to program needing to both replace program's outdated computer equipment, computer servers, computer software, and contract services (i.e., plan file archiving system, consultants). Adjustments have been made to the project assessments to address this.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	188,966	98,177	280,647	822,825	945,923	970,923	995,923
Revenues	113,860	526,863	711,081	264,797	275,000	275,000	275,000
Expenditures	201,649	194,393	168,903	141,699	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS3238 12/15/15	JS3238 12/15/15		(50,000)				
JS0972 8/26/14	JS389Q 1/21/16	67,000	(80,000)				
JS4745 2/24/15	JS4437 2/19/16	(50,000)	(6,000)				
JS4872 2/28/15	JS5498 4/15/16	(10,000)	(14,000)				
JS5353 3/31/15	JS0013 7/5/16	6,300		(140,700)			
JS5353 3/31/15	JsQ522 8/9/16	(6,300)		140,700			
JS5483 3/31/15		(10,000)					
Net Total Transfers		(3,000)	(150,000)	0	0		
Ending Cash Balance	98,177	280,647	822,825	945,923	970,923	995,923	1,020,923
Encumbrances	54,120	39,946	39,591	38,556			
Unencumbered Cash Balance	44,057	240,701	783,234	907,367	970,923	995,923	1,020,923

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Public Works Accrued Vacation/Sick Leave
 Legal Authority: Section 107-1.5, HRS

Contact Name: Gordon Wood
 Phone: 586-0520
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No.: S-XX-314-M

Intended Purpose: To manage accrued vacation and sick leave benefit funds for non-general funded staff; to fund accrued vacation credits when an employee leaves or retires; to receive accrued vacation credits for project-funded staff transferring into the program.

Source of Revenues: Assessments to design and construction projects & CIP staff costs appropriation; accrued vacation credits for staff transferring into the program from other agencies; interest income.

Current Program Activities/Allowable Expenses: Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary, and DAGS CIP projects. Allowable expenses include payments for accrued vacation and sick leave taken by non-General funded staff, and transfer of the equivalent dollar value of accrued vacation credits upon transfer to another program.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variations: Expenditures have been steadily increasing surpassing revenues due to program staff vacation, retirement payouts, and increased salaries from collective bargaining agreement adjustments. Adjustments have been made to the project assessments to address this. FY17 balance to transfer to the S-XX-313-M account as the CIP Staff Cost was changed from B-XX-400-M General Obligation Funds to G-XX-400-M /General Funds effective 7/1/16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
Beginning Cash Balance	3,303	56,131	47,382	13	8	8	8
Revenues	695,943	445,807	207	20	0	0	0
Expenditures	676,115	604,556		0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS3238 12/15/15		50,000					
JS0448 8/21/14	JS389Q 1/21/16	50,000	80,000				
JS0972 8/26/14	JS4437 2/19/16	(50,000)	20,000				
JS0972 8/26/14	JS0013 7/5/16	(67,000)		(17,382)			
	JSQ522 8/9/16			17,382			
JS4745 2/24/15	JS1958 10/31/16	50,000		(32,952)			
JS4872 2/28/15	JS5152 5/17/17	10,000		(14,611)			
JS5483 3/31/15	JS6185 6/30/17	40,000		(13)			
	JS1940 11/06/17			(13)			
	JS6185 6/30/17			(12)			
Net Total Transfers		33,000	150,000	(47,576)	(25)	0	0
Ending Cash Balance		56,131	47,382	13	8	8	8
Encumbrances							
Unencumbered Cash Balance		56,131	47,382	13	8	8	8
Additional Information:							
Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Information Mgmt Technology Services
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF) Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-315-M

Intended Purpose:

Reimbursement for Enterprise IT applications and services

Source of Revenues:

Reimbursements from State agencies

Current Program Activities/Allowable Expenses:

Reimbursement for enterprise shared services, network, data circuits, hardware and software licensing and maintenance

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,000,000	25,000,000	25,000,000	25,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0		0	3,000,000	3,000,000	3,000,000
Expenditures	0	0		0	3,000,000	3,000,000	3,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-901
 Name of Fund: General Administrative Services
 Legal Authority: Administratively Created

Contact Name: Meoh-Leng Silliman
 Phone: 586-0690
 Fund type (MOF) Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-316-M

Intended Purpose: To receive funds from other divisions within the Department for implementing and providing management advisory services relating to recruitment activities, Return to Work program, employee work performance and conduct issues, and equal employment opportunity. To provide administrative services to the Risk Management Program and Automotive Management Division.

Source of Revenues: Transfers from Public Works Division, Automotive Management Division, Stadium Authority, State Foundation on Culture and the Arts and the Risk Management Program.

Current Program Activities/Allowable Expenses: Management and coordination of all personnel programs within DAGS. Salary and fringe benefits. Administrative services for all programs within DAGS. Salary and fringe benefits.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: The variances in revenue and expenses in FY 2018 is due to the part year vacancy of the personnel position.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	146,503	167,069	177,895	179,592	179,592	190,466	190,466
Beginning Cash Balance	0	821	971	522	0	0	0
Revenues	159,081	141,354	154,436	122,934	179,592	179,592	179,592
Expenditures	158,260	141,204	154,885	123,456	179,592	179,592	179,592
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	821	971	522	0	0	0	0
Encumbrances	821	971	522				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-252
 Name of Fund: State Parking Revolving Fund
 Legal Authority: Section 107-11, HRS

Contact Name: Larissa Ho
 Phone: 586-0350
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No.: S-XX-317-M

Intended Purpose:

Assess and collect parking fees, maintain parking facilities, make rules and regulations to control and restrict parking on lands controlled by the Comptroller, and enforce parking rules and regulations.

Source of Revenues:

Assessment-state parking, parking meter collections, investment pool interest, traffic fines and waivers and pCard rebates.

Current Program Activities/Allowable Expenses:

Assessing parking fees, maintaining parking facilities, enforcing parking rules and regulations, and up keeping electronic parking control devices.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: The expenditure variance between FY14 and FY15 is due to the addition of three (3) positions for the Kapolei Parking Control Office.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,591,830	3,671,012	3,675,957	3,744,590	3,744,590	3,838,016	3,838,856
Beginning Cash Balance	1,843,767	1,316,862	1,301,413	1,209,646	1,178,793	1,140,549	1,102,305
Revenues	3,862,364	3,865,393	3,741,476	3,912,611	3,741,476	3,741,476	3,741,476
Expenditures	4,001,393	3,221,375	3,273,543	3,130,464	3,779,720	3,779,720	3,779,720
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0008-01/13, JS3136-12/13, JS5466-03/14, JS0008-07/14, JS0162-07/14, JS1140-08/14, JS2144-10/14, JS3136-12/14, JS5466-03/15, JS5639-04/15, JS6548-05/15, JS6990-06/15	(387,876)						
JM0352-08/15, JM0352-08/15, JS2361-10/15, JS0020-07/15, JS0124-07/15, JS2361-10/15, JS4783-03/16, JS0124-07/15, JS0099-07/15, JS0020-07/15, JM0352--8/15, JS2361-10/15, JS3919-01/16, JS4782-03/16, JS4745-04/16, JS6629-06/16		(659,467)					
JS1877-10/16, JS3608-02/17, JS0015-07/16, JS0547-08/16, JS5501-06/17, JS0015-07/16, JS0066-07/16, JS0547-08/16, JS1877-10/16, JS1436-10/16, JS3121-01/17, JS3608-02/17, JS3645-02/17, JS4153-03/17, JS5501-06/17				(559,700)			
JS4063- 03/18, JS4063-03/18, JS0298-07/17, JS4063-03/18, JS0016-07/17, JS0049-07/17, JS4063-03/18, JS0049-07/17, JS0298-07/17, JS0016 07/17, JS2309-11/17, JS4063-03/18, JS5276-05/18					(813,000)		
Net Total Transfers	(387,876)	(659,467)	(559,700)	(813,000)	0	0	0
Ending Cash Balance	1,316,862	1,301,413	1,209,646	1,178,793	1,140,549	1,102,305	1,064,061
Encumbrances	1,511,022	334,045	527,337	300,919			
Unencumbered Cash Balance	(194,160)	967,368	682,309	877,874	1,140,549	1,102,305	1,064,061

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: Stadium Manager's Discretionary Fund
 Legal Authority: Administratively Created

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF): Special Fund (B)
 Appropriation Acct. No.: S-XX-318-M

Intended Purpose:

The fund authorizes expenditures at the discretion of the stadium manager for promotion and other stadium purposes.

Source of Revenues:

Funds for the Stadium Manager's Discretionary Fund are allotted by the Legislature and are transferred from the stadium special fund to meet that allotment.

Current Program Activities/Allowable Expenses:

Not Applicable

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variations: FYE 2015 expenditures include appreciation plaque for mural project, posters for motivational wall, congratulatory gifts to new University of Hawaii Athletic Director and State Comptroller, Skybox counter skirts, and tour refreshments at a cost of \$750.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	628	128	526	1,004	1,301	1,301	1,301
Revenues							
Expenditures	2,531	2,070	1,868	1,426	2,500	2,500	2,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV 2015-04, 7/1/2014 to S-318	2,500						
JV 2015-13, 8/20/2014 from S-318	(363)						
JV 2015-49, 2/3/2014 from S-318	(106)						
JV 2016-03, 7/1/2015 from S-307		2,500					
JV 2016-20, 9/5/2015 to S-307		(32)					
JV 2017-03, 7/1/2016 from S-307			2,500				
JV 2017-70, 6/13/2017 to S-307			(154)				
JV 2018-03, 7/1/2017 to S-318				2,500			
JV 2018-33, 11/22/17 from S-318				(56)			
JV 2018-36, 12/14/2017 from S-318				(721)			
Net Total Transfers	2,031	2,468	2,346	1,723	2,500	2,500	2,500
Ending Cash Balance	128	526	1,004	1,301	1,301	1,301	1,301
Encumbrances	128	526	1,004	1,216			
Unencumbered Cash Balance	0	0	0	85	1,301	1,301	1,301

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-881
 Name of Fund: Works of Art Special Fund
 Legal Authority: Section 103-8.5, HRS

Contact Name: Susan Naanos
 Phone: 586-0773
 Fund type (MOF): Special Fund (B)
 Appropriation Acct. No.: S-XX-319-M

Intended Purpose: To integrate art into the built environment.

Source of Revenues: One percent of the cost of construction and renovations to public (State) buildings.

Current Program Activities/Allowable Expenses: Commissioned and relocatable works of art, conservations, maintaining and promoting the Hawaii State Art Museum.

Purpose of Proposed Ceiling Adjustment (if applicable): Appropriation ceiling for FY20 and FY21 increased to allow the SFCA to address the demand for integrated works of art projects by departments who have large CIP projects.

Variances: Revenues for FY15, FY16, FY17 and FY18 vary depending on the number of CIP projects constructed/renovated for each Fiscal Year that caused variances in the collection of 1% CIP assessments. Expenditures vary due to savings in salaries and fringe benefits and because the production schedule of APP projects and contracts changed.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,224,960	4,346,261	4,427,847	4,508,223	4,508,223	5,573,625	5,573,625
Beginning Cash Balance	7,923,823	8,275,414	10,539,513	11,405,571	15,136,725	14,428,502	13,728,502
Revenues	3,446,624	5,450,017	5,095,934	7,671,917	3,800,000	3,800,000	3,800,000
Expenditures	2,855,522	3,175,918	3,244,876	3,744,263	4,508,223	4,500,000	4,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0601-8/16/14, JS3888-1/9/15, JS6151-4/30/15, JS7368-6/29/15	(239,511)						
JS1997-10/13/15 Proj #22-10-0782		(10,000)					
JS2211-11/17/16, JS2212-11/17/16, JS4888-04/28/17, JS5292-5/22/17			(985,000)				
JS0155-07/19/17, JS0444-08/08/17				(196,500)			
JS1501-10/11/17, JS3155-01/26/18							
Net Total Transfers	(239,511)	(10,000)	(985,000)	(196,500)	0	0	0
Ending Cash Balance	8,275,414	10,539,513	11,405,571	15,136,725	14,428,502	13,728,502	13,028,502
Encumbrances	2,962,851	3,036,520	2,680,542	3,045,749			
Unencumbered Cash Balance	5,312,563	7,502,993	8,725,029	12,090,976	14,428,502	13,728,502	13,028,502

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-251
 Name of Fund: State Motor Pool Revolving Fund
 Legal Authority: Section 105-11, HRS

Contact Name: Larissa Ho
 Phone: 586-0350
 Fund type (MOF) Revolving Fund (W)
 Appropriation Acct. No. S-XX-320-M

Intended Purpose:

Acquire, operate, repair, maintain, store, and dispose of state-owned vehicles assigned to the motor pool.

Source of Revenues:

Rental of motor vehicles, investment pool interest, sale of salvageable materials and pCard rebates.

Current Program Activities/Allowable Expenses:

Acquire, operate, repair, maintain, store, and dispose of state-owned vehicles assigned to the motor pool.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,831,962	3,445,263	3,464,205	2,961,930	2,961,930	3,020,155	3,031,265
Beginning Cash Balance	1,559,757	1,539,183	897,503	1,024,274	1,356,735	827,058	297,381
Revenues	2,677,926	2,441,838	2,618,823	2,483,668	2,441,838	2,441,838	2,441,838
Expenditures	2,660,362	3,083,518	2,492,052	2,151,207	2,971,515	2,971,515	2,971,515
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6990-06/15	(38,138)						
Net Total Transfers	(38,138)	0	0	0	0	0	0
Ending Cash Balance	1,539,183	897,503	1,024,274	1,356,735	827,058	297,381	(232,296)
Encumbrances	89,378	128,334	41,719	283,744			
Unencumbered Cash Balance	1,449,805	769,169	982,555	1,072,991	827,058	297,381	(232,296)

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-203
 Name of Fund: State Risk Management Revolving Fund
 Legal Authority: Section 41D-4, HRS

Contact Name: Tracy Kitaoka
 Phone: 586-0550
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No.: S-XX-321-M

Intended Purpose:

To fund the program's operating costs and to pay for the cost of the statewide property, excess liability, and crime insurance policies; fund the State's self-insured automobile program; pay informal claims against the State; and fund the payment of property claims within the insurance deductible.

Source of Revenues: The program receives revenue from cost allocation assessments from general funds, various State special and trust funded departments and agencies, investment pool earnings, insurance proceeds on claims, and other recoveries.

Current Program Activities/Allowable Expenses:

Payment of the program's operating costs and statewide insurance policies premiums; payment of tort claims \$10,000 and less, automobile, property and crime losses, and payment of other insurance related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: There are no revenue or expenditure variance larger than 10%.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,285,334	25,325,788	25,339,382	25,359,911	25,359,911	25,383,819	25,383,819
Beginning Cash Balance	17,554,635	18,709,183	21,395,494	23,862,823	23,795,515	23,895,515	23,995,515
Revenues	15,391,329	15,372,959	15,186,725	14,667,714	13,600,000	13,600,000	13,600,000
Expenditures	14,236,781	12,686,648	12,719,396	14,735,022	13,500,000	13,500,000	13,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	18,709,183	21,395,494	23,862,823	23,795,515	23,895,515	23,995,515	24,095,515
Encumbrances		200	1,267	299			
Unencumbered Cash Balance	18,709,183	21,395,294	23,861,556	23,795,216	23,895,515	23,995,515	24,095,515

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-131
 Name of Fund: Information Processing and Communication Services
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Interdepartmental Transfers (U)
 Appropriation Acct. No.: S-XX-323-M

Intended Purpose:

Reimbursement for work performed for Federal and Special funded programs

Source of Revenues:

Reimbursements from Federal and Special funded programs

Current Program Activities/Allowable Expenses:

Reimbursement for analysis, programming, data entry, and information processing work performed for the Department of Labor and Industrial Relations, the Department of Human Services, the Department of the Attorney General, Child Support Enforcement Agency and the Department of Commerce and Consumer Affairs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Revenues and expenditures in this account fluctuates from year to year according to the amount of services requested, the cost to provide those services, and the amounts ICSD bills departments to recover its costs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,312,584	3,312,584	3,312,584	3,312,584	3,312,584	3,312,584	3,312,584
Beginning Cash Balance	15,350	1	0	0	159,334	0	0
Revenues	2,851,383	2,645,911	2,856,297	3,185,257	3,312,584	3,312,584	3,312,584
Expenditures	2,866,732	2,645,912	2,856,297	3,025,923	3,471,918	3,312,584	3,312,584
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	0	0	159,334	0	0	0
Encumbrances							
Unencumbered Cash Balance	1	0	0	159,334	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-211
 Name of Fund: Land Survey
 Legal Authority: Administratively Created

Contact Name: Reid Siarot
 Phone: 586-0390
 Fund type (MOF) Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-325-M

Intended Purpose:

To hire five (5) contract services positions which would enable the program to address the ongoing backlog.

Source of Revenues:

Funds transferred from other agencies.

Current Program Activities/Allowable Expenses:

Two (2) licensed land surveyors were hired on a part-time basis under personal service contracts for FY 2007.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: For FY 15, FY 16, FY 17 and FY 18 there are no position variances. The variance in expenditures is due to no contracts for licensed surveyors.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	285,000	285,000	285,000	285,000	285,000	285,000	285,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	285,000	285,000	285,000
Expenditures	0	0	0	0	285,000	285,000	285,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-231
 Name of Fund: Custodial Services
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF): Special Fund (B)
 Appropriation Acct. No.: S-XX-326-M

Intended Purpose:

Fund is used to reimburse the program for utility expenses associated with office/museum area assigned to the State Foundation on Culture and the Arts.

Source of Revenues:

Reimbursement from State Foundation on Culture and Arts

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	58,744	58,744	58,744	58,744	58,744	58,744	58,744
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	58,744	58,744	58,744	58,744	58,744	58,744	58,744
Expenditures	58,744	58,744	58,744	58,744	58,744	58,744	58,744
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-233
 Name of Fund: Central Services-Bldg Repairs & Alterations
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF) Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-327-M

Intended Purpose:

Fund is used to recoup maintenance and repair costs incurred at the AAFES Building.

Source of Revenues:

Reimbursement from other state agencies - Office of Hawaiian Affairs, a semi-autonomous state entity.

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

* \$100,000 reimbursement from Office of Hawaiian Affairs for the maintenance of the AAFES Building, approved by 2012 Legislature, MOA ends FY16.

Variances: Reimbursement from OHA to DAGS ended in FY16, 100K reimbursement level to be deleted in upcoming biennium request.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	100,000	100,000	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	100,000	100,000	0	0	0	0	0
Expenditures	100,000	100,000	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-807
 Name of Fund: Physical Plant Operations & Maintenance
 Legal Authority: Act 178, SLH 2005

Contact Name: Wade Shimabukuro
 Phone: 808-873-3504
 Fund type (MOF) Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-328-M

Intended Purpose:

To provide additional unbudgeted services and support for the various public schools on the neighbor islands.

Source of Revenues:

Transfer of funds from the Department of Education.

Current Program Activities/Allowable Expenses:

Ensuring a safe and conducive learning environment for the public schools by providing administrative, technical and trade related services to the Department of Education.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: For FY 2015 to FY 2018, expenditure reimbursements were less than the appropriation ceiling.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	1,827,500	1,790,434	1,790,434	1,790,434	1,790,434
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,242,458	1,185,295	1,371,276	1,342,866	1,790,434	1,790,434	1,790,434
Expenditures	1,242,458	1,185,295	1,371,276	1,342,866	1,790,434	1,790,434	1,790,434
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Shared Services Technology Special Fund
 Legal Authority: SLH Act 200/10

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-333-M

Intended Purpose:

Implements the information technology recommendations of Auditor's Report No. 09-06; established a Chief Information Officer (CIO) and Information Technology steering committee to organize, manage, and oversee statewide information technology governance. Special Fund established for Chief Information Officer and Information Technology steering committee including the employment of staff and training, and any other activities deemed necessary by the CIO to carry out purposes of Information Technology, CIO and information technology steering committee.

Source of Revenues:

Three percent of central services fees.

Current Program Activities/Allowable Expenses:

Provides salaries for seven (7) OIMT positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

The variance between the expenditures in fiscal years are due to the fluctuations of staff salaries and vacancies.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	3,065,000	2,885,000	1,312,673	1,312,673	1,469,669	1,469,669
Beginning Cash Balance	1,105,493	1,425,456	1,884,113	2,397,326	3,016,316	3,128,251	3,240,186
Revenues	1,158,385	1,444,655	1,464,983	1,424,608	1,424,608	1,424,608	1,424,608
Expenditures	838,422	985,998	951,770	805,618	1,312,673	1,312,673	1,312,673
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,425,456	1,884,113	2,397,326	3,016,316	3,128,251	3,240,186	3,352,121
Encumbrances		14,362	7,365	2,658			
Unencumbered Cash Balance	1,425,456	1,869,751	2,389,961	3,013,658	3,128,251	3,240,186	3,352,121

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-131
 Name of Fund: ICSD-Access Hawaii Committee
 Legal Authority: Act 101, SLH 2010

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-338-M

Intended Purpose:

To establish in the State Treasury the Access Hawai'i Committee (AHC) special fund, into which shall be deposited \$8,000 per month of administrative fees collected by ICSD from Hawaii Information Consortium (HIC), LLC for the purpose of supporting the AHC.

Source of Revenues:

Assessments for Portal Program Manager, as allowable by Law (Act 101, SLH 2010), including potential 5% annual increases (per Portal Program Manager contract) and interest earned on the fund balance.

Current Program Activities/Allowable Expenses:

Expenditures as approved by the Access Hawai'i Committee to fund a Portal Program Manager and associated support (administrative and operating expenses to administer the program), and for studies of portal direction and services as the portal technology life cycle changes, develops, or matures.

Purpose of Proposed Ceiling Increase (if applicable):

Additional personal services, assessments and fringe benefits.

Variances:

Revenue: As of June 6, 2013, the Access Hawaii Committee voted to suspend the \$8,000 per month payment from the internet portal manager when there is a two-year reserve in place, based on the special fund appropriation ceiling. Payments into the fund were suspended from June 2013. As of July 2014, the \$8,000 per month payment from the internet portal manager was resumed since a two-year reserve threshold increased with the increase in the appropriation ceiling to \$150,000 in the 2014 Legislative Session. In July 2018, the appropriation ceiling was increased to \$168,420. As of July 2019, the monthly payment from the internet portal manager was increased to \$8,400.

Expenditures - In FY14 expenses were incurred to provide accessibility services enabling the public to participate in AHC committee meetings.

In FY15 estimated expenses include hiring of a Portal Program Manager.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	158,578	166,788	168,420	168,420	173,560	173,560
Beginning Cash Balance	216,581	305,628	291,697	233,117	163,950	98,346	32,742
Revenues	89,047	105,830	98,105	97,367	102,816	102,816	102,816
Expenditures		119,761	156,685	166,534	168,420	168,420	168,420
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	305,628	291,697	233,117	163,950	98,346	32,742	(32,862)
Encumbrances		150					
Unencumbered Cash Balance	305,628	291,547	233,117	163,950	98,346	32,742	(32,862)

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-891
 Name of Fund: Wireless Enhanced 911 Special Fund
 Legal Authority: Section 138-3, HRS

Contact Name: Courtney Tagupa
 Phone: 391-7971
 Fund type (MOF): Special Fund (B)
 Appropriation Acct. No.: S-XX-341-M

Intended Purpose:

The purpose of this fund is to account for the collection of the surcharges from the wireless phone users and distribution of funds to the Public Safety Answering Points (PSAPs) and wireless carriers to upgrade the 911 system to be able to identify and locate wireless 911 callers.

Source of Revenues: A monthly wireless enhanced 911 surcharge is imposed on each commercial mobile radio and VoIP service connection (66 cents per month), collected by the wireless carriers from their customers and deposited into an account outside of the State Treasury. In addition we earn interest from our outstanding bank balance.

Current Program Activities/Allowable Expenses: Collect monthly assessments from wireless carriers, disburse qualifying reimbursements to PSAPs and administrative and operational support to the Board in accordance with Section 138-5, HRS.

Purpose of Proposed Ceiling Adjustment (if applicable): No Ceiling requested for FY 2019.

Variance - Revenue : We have no variances exceeding 10%. FY 2017 was a non recurring event that

that will not be repeated. Revenues are projected to remain stable since the no. of mobile phone users have peaked in Hawaii.

Variance - Expenditures: Expenditures are a combination of current cash outflow to pay for allowable expenses; the paydown of existing encumbrances and the encumbering of future cash outlays or expenditures. The variances are the result of encumbrance paydowns that were the result of increase ceilings in FY 2017 & FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,000,000	9,000,000	10,200,000	16,800,000	9,000,000	9,003,028	9,003,028
Beginning Cash Balance	12,504,198	16,789,216	19,469,440	22,458,025	25,505,039	23,905,039	23,305,039
Revenues	9,302,989	9,657,283	11,167,169	11,412,597	11,400,000	11,400,000	11,400,000
Expenditures	5,017,971	6,977,059	8,178,584	8,365,583	13,000,000	12,000,000	7,600,600
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	16,789,216	19,469,440	22,458,025	25,505,039	23,905,039	23,305,039	27,104,439
Encumbrances	7,222,646	6,124,412	10,433,460	18,786,978	12,500,000	6,258,700	6,300,000
Unencumbered Cash Balance	9,566,570	13,345,028	12,024,565	6,718,061	11,405,039	17,046,339	20,804,439

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-111
 Name of Fund: State Archives Preservation LT Access SF
 Legal Authority: Act 88 (SLH 2013)

Contact Name: Adam Jansen
 Phone: 586-0310
 Fund type (MOF): Special Fund (B)
 Appropriation Acct. No.: S-XX-365-M

Intended Purpose:

To establish and manage a Digital Archives; to collect, protect, and preserve electronic records of enduring value and keep them accessible in a trustworthy, usable state for the good of the public.

Source of Revenues:

The revenue comes from a \$1.00 state archives preservation fee assessed to permanent documents that are filed or registered by members of the public with a governmental agency where a fee is charge for that submission. The state agency that receives the document collects the \$1 fee on behalf of the State Archives. Other revenue collected comes from records storage fees for records storage at the State Records center assessed to non-general funded agencies.

Current Program Activities/Allowable Expenses:

Consultant services, staff positions, administrative and operational costs of the program.

Purpose of Proposed Ceiling Adjustment (if applicable):

FY20 includes a one-time expenditure adjustment increasing the ceiling to include additional funds for procurement of services and equipment.

Variances: Not Applicable

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	418,320	505,920	510,920	514,436	779,436	736,932	536,932
Beginning Cash Balance	404,549	566,004	784,877	908,333	1,026,831	767,395	449,665
Revenues	455,430	512,246	520,856	604,609	520,000	520,000	520,000
Expenditures	293,975	293,373	397,400	486,111	779,436	837,730	517,730
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	566,004	784,877	908,333	1,026,831	767,395	449,665	451,935
Encumbrances	44,698	68,726	87,689	97,259	50,000		
Unencumbered Cash Balance	521,306	716,151	820,644	929,572	717,395	449,665	451,935

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Hawaii Health data Center CCIIIO CY-III
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Other Federal Funds (P)
 Appropriation Acct. No. S-XX-500-M

Intended Purpose:

Obtain use and analyze multiple data streams to form a state-wide data depository that offers unprecedented detail and insight into the state's health care system. The Center for Consumer Information and Insurance Oversight (DDIIO) awarded these Cycle II and Cycle IV grants to develop an All Payer Claims Database (ACPD) as a component in the Hawaii Health Data Center (HHDC). The purpose of the HHDC is to develop these technological tools in order to support health insurance rate review, increase transparency in health care pricing and contribute to health care innovation in Hawaii.

Source of Revenues:

Affordable Care Act Grants to States for Health Insurance Premium Review

Current Program Activities/Allowable Expenses:

The Program contracted with the University of Hawaii Telecommunications and Social Informatics Research Program (UH TASI) to provide APCD data depository and analytic services. Program is actively pursuing use of State's data sources. Per federal grant guidelines and the notice of award, the program is allowed to hire employees, contract for goods and services, and purchase equipment and supplies. Additional expenses, such as travel, are allowed when approved by the federal granting agency.

Purpose of Proposed Ceiling Adjustment (if applicable):

None

Variances:

None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	21,100	11,681	12,648	13,111	0	0
Revenues	158,167	850,574	556,136	229,133	1,066,656		
Expenditures	137,067	859,993	555,169	228,670	1,079,767		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	21,100	11,681	12,648	13,111	0	0	0
Encumbrances	109	1,473,411	1,157,680	1,052,437			
Unencumbered Cash Balance	20,991	(1,461,730)	(1,145,032)	(1,039,326)	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Hawaii Health data Center CCIIO CY-IV
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Other Federal Funds (P)
 Appropriation Acct. No. S-XX-501-M

Intended Purpose:

Obtain use and analyze multiple data streams to form a state-wide data depository that offers unprecedented detail and insight into the state's health care system. The Center for Consumer Information and Insurance Oversight (DDIIO) awarded these Cycle II and Cycle IV grants to develop an All Payer Claims Database (ACPD) as a component in the Hawaii Health Data Center (HHDC). The purpose of the HHDC is to develop these technological tools in order to support health insurance rate review, increase transparency in health care pricing and contribute to health care innovation in Hawaii.

Source of Revenues:

Affordable Care Act Grants to States for Health Insurance Premium Review

Current Program Activities/Allowable Expenses:

The Program contracted with the University of Hawaii Telecommunications and Social Informatics Research Program (UH TASI) to provide APCD data depository and analytic services. Program is actively pursuing use of State's data sources. Per federal grant guidelines and the notice of award, the program is allowed to hire employees, contract for goods and services, and purchase equipment and supplies. Additional expenses, such as travel, are allowed when approved by the federal granting agency.

Purpose of Proposed Ceiling Adjustment (if applicable):

None

Variations:

None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	14,575	12,857	0	0
Revenues		0	28,763	368,325	543,367		
Expenditures		0	14,188	370,043	556,224		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	14,575	12,857	0	0	0
Encumbrances			38,613	543,367			
Unencumbered Cash Balance	0	0	(24,038)	(530,510)	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-879
 Name of Fund: 2018 HAVA ELECTION SECURITY GRANT
 Legal Authority: P.L. 115-141

Contact Name: Rhowell Ruiz
 Phone: 453-8683
 Fund type (MOF): Other Federal Fund (P)
 Appropriation Acct. No.: S-XX-505-M

Intended Purpose:

To improve election cybersecurity in Hawaii.

Source of Revenues:

U.S. Election Assistance Commission (EAC) grant and statewide investment pool.

Current Program Activities/Allowable Expenses:

The purpose of this award is to improve the administration of elections for federal office, including to enhance election technology and make election security improvements to the systems, equipment, and processes used in federal elections.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	3,134,080	2,209,080	1,259,080
Revenues				3,134,080	75,000	50,000	25,000
Expenditures					1,000,000	1,000,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	3,134,080	2,209,080	1,259,080	434,080
Encumbrances							
Unencumbered Cash Balance	0	0	0	3,134,080	2,209,080	1,259,080	434,080

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-102
 Name of Fund: Employees Sequestered Funds
 Legal Authority: Section 653-11, HRS

Contact Name: Lenora Fisher
 Phone: 586-0660
 Fund type (MOF) Trust (Agency) - Custodial (T)
 Appropriation Acct. No. T-XX-901-M

Intended Purpose:

To accumulate monies garnished from employees' wages and to disburse as ordered by legal documents.

Source of Revenues:

Funds garnished from employees' wages

Current Program Activities/Allowable Expenses:

Disbursements of funds garnished to appropriate entities/individuals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: The variance between the revenues and expenditures are dependent upon the amounts being garnished from employees' paychecks and the subsequent disbursements as ordered by the related legal documents.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	55,191	63,804	57,456	52,689	95,072	95,072	95,072
Revenues	72,962	60,027	91,114	88,093	55,000	55,000	55,000
Expenditures	64,349	66,375	95,881	45,710	55,000	55,000	55,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	63,804	57,456	52,689	95,072	95,072	95,072	95,072
Encumbrances							
Unencumbered Cash Balance	63,804	57,456	52,689	95,072	95,072	95,072	95,072

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-252
 Name of Fund: OHA Ceded Lands Proceeds
 Legal Authority: Administratively Created

Contact Name: Larissa Ho
 Phone: 586-0350
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-902-M

Intended Purpose:

Established to record transfer of funds to the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of revenues from Ceded Lands. Per Exec. Order No. 03-03 dated 2/11/03.

Current Program Activities/Allowable Expenses:

The appropriate funds are transferred to the Office of Hawaiian Affairs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	21,049	20,688	20,528	20,426	20,528	20,528	20,528
Expenditures	21,049	20,688	20,528	20,426	20,528	20,528	20,528
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-231
 Name of Fund: Temporary Deposits-Administrative Services Office
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF): Trust Fund (Clearing) (T)
 Appropriation Acct. No.: T-XX-904-M

Intended Purpose:

The purpose of this trust fund is to account for the deposits of salary overpayment amounts collected from employees after the employee and the division have reached a mutual agreement regarding the repayment amount.

Source of Revenues:

Employee salary overpayment

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: In FY18 , variances in both revenues (overpayments received from employees) and expenditures(recoveries completed) from previous estimates reflect favorable collection efforts by the program.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	13,872	11,054	13,379	10,650	9,772	7,272	6,772
Revenues	12,262	19,139	2,693	5,449	5,000	4,500	4,500
Expenditures	15,080	16,814	5,422	6,327	7,500	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11,054	13,379	10,650	9,772	7,272	6,772	6,272
Encumbrances							
Unencumbered Cash Balance	11,054	13,379	10,650	9,772	7,272	6,772	6,272

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-252
 Name of Fund: Temporary Deposits-Automotive Management
 Legal Authority: Administratively Created

Contact Name: Larissa Ho
 Phone: 586-0350
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-905-M

Intended Purpose:

Establish an account for gate card deposit fees collected from parking assignees. Deposits are returned to assignees upon cancellation of the parking assignment and the return of the gate card.

Source of Revenues:

Temporary gate card deposits from parking assignees in gate controlled parking lots.

Current Program Activities/Allowable Expenses:

Deposits are collected from assignees, checks are written to assignees who return gate cards upon cancellation of parking assignment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	69,180	72,770	74,320	76,465	79,725	81,275	82,825
Revenues	15,390	14,600	14,660	14,500	14,600	14,600	14,600
Expenditures	11,800	13,050	12,515	11,240	13,050	13,050	13,050
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	72,770	74,320	76,465	79,725	81,275	82,825	84,375
Encumbrances							
Unencumbered Cash Balance	72,770	74,320	76,465	79,725	81,275	82,825	84,375

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Temporary Deposits-Public Works
 Legal Authority: Section 107-8, HRS

Contact Name: Gordon Wood
 Phone: 586-0520
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-906-M

Intended Purpose:

To accept bid deposits and deposits for plans and specifications.

Source of Revenues:

Contractors and others bidding on Public Works Division projects.

Current Program Activities/Allowable Expenses:

Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary, and DAGS CIP projects. Allowable expenses include return of bid deposits and plans and specifications deposit amounts to borrowers upon the return of the documents and upon request.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Unclaimed balance by contractors were transferred back to the General Fund account. Current balance reflect temporary lease rental deposits for PWD Leasing Services Branch.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	360	360	2,668	2,693	2,693	2,693
Revenues	360	0	2,308	25	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	360	360	2,668	2,693	2,693	2,693	2,693
Encumbrances							
Unencumbered Cash Balance	360	360	2,668	2,693	2,693	2,693	2,693

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-881
 Name of Fund: State Foundation on Culture and the Arts
 Legal Authority: Administratively Created

Contact Name: Susan Naanos
 Phone: 586-0773
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-908-M

Intended Purpose: To support the SFCA in perpetuating culture and the arts in Hawaii. This is an account into which donations and private contributions, donations and Hawaii State Art Museum facility rental income are deposited.

Source of Revenues: Private donations and HiSAM rental fees

Current Program Activities/Allowable Expenses: All SFCA programs are eligible to deposit funds in the Trust account/Programs and purposes are determined by SFCA executive director and expenditures subject to the approval of the Comptroller.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: Revenues solely depend on facility rentals and donations to HiSAM, increases or decreases depend on rental demand.

Expenditures in FY15, FY16, FY17 and FY18 vary because trust funds generally are only expended as needed.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	260,795	270,075	264,851	274,548	230,322	220,322	210,322
Revenues	26,101	51,577	34,412	4,986	30,000	30,000	30,000
Expenditures	16,821	56,801	24,715	49,212	40,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	270,075	264,851	274,548	230,322	220,322	210,322	200,322
Encumbrances	1,364	35,202	35,359	35,359			
Unencumbered Cash Balance	268,711	229,649	239,189	194,963	220,322	210,322	200,322

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-871
 Name of Fund: Hawaii Election Campaign Fund
 Legal Authority: HRS Sec. 11-421

Contact Name: Yayoi Tumamao
 Phone: 808-586-0285
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-910-M

Intended Purpose:

To administer the duties and responsibilities of the Campaign Spending Commission, as it applies to the public funding program.

Source of Revenues:

Tax check-off, HRS §11-421; Escheats, HRS §§11-352, 11-353, 11-364; surplus/residual funds; interest; copies of reports

Current Program Activities/Allowable Expenses:

Execute the goals and objectives of the Commission's Strategic Plan, as it relates to the public funding program.

Purpose of Proposed Ceiling Adjustment (if applicable):

The Commission needs to have the ability to provide enough public funding to meet the Constitutional mandate to distribute public funding to all eligible candidates (see Article II, Section 5, under the State of Hawaii Constitution). Public funding distributions are unpredictable. It is unknown who will qualify for public funds, and thus, the Commission must allocate maximum amounts to be able to provide funding to eligible candidates. In the event that the need for public funding goes over the budget ceiling while there is sufficient amount of money in the Hawaii Election Campaign Fund, rejecting requests for public funds to qualified candidates due to the restriction of the ceiling could result in legal actions against the State.

Variances: Unpredictability in the number of candidates accepting public funds and the number of state income tax check-offs for the Fund declining.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,683,051	1,149,990	4,739,084	308,062	308,062	343,732	343,732
Beginning Cash Balance	2,538,669	1,878,164	1,513,543	798,183	1,003,228	1,002,943	1,204,590
Revenues	212,342	336,709	211,496	209,964	208,320	211,570	208,800
Expenditures	872,847	701,330	926,856	4,919	208,605	9,923	208,605
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,878,164	1,513,543	798,183	1,003,228	1,002,943	1,204,590	1,204,785
Encumbrances	9,983	242	2,636				
Unencumbered Cash Balance	1,868,181	1,513,301	795,547	1,003,228	1,002,943	1,204,590	1,204,785

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: Stadium Authority's Account (Not in S/T)
 Legal Authority: Section 109-6, HRS

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-911-M

Intended Purpose:

This fund accounts for receipts from the sale of admission tickets for events held at Aloha Stadium, including any money deposited with the Authority by users to assure the payment of charges.

Source of Revenues:

Sale of admission tickets for events held at the stadium, including deposits from licensees to assure payment of charges for use of the stadium.

Current Program Activities/Allowable Expenses:

Not applicable

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable

Variances:

Revenues are dependent upon the number of events held, amount of deposit collected, and ticket sales. Expenditures are based on amount due to licensee and out-of-pocket expenses such as ticket takers, ushers, cleanup, electricity, etc.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	240,722	299,719	405,667	270,908	1,040,152	1,040,152	1,040,152
Revenues	1,920,596	2,248,412	2,190,195	2,796,562	2,600,000	2,100,000	2,100,000
Expenditures	1,861,599	2,142,464	2,324,954	2,027,318	2,600,000	2,100,000	2,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	299,719	405,667	270,908	1,040,152	1,040,152	1,040,152	1,040,152
Encumbrances							
Unencumbered Cash Balance	299,719	405,667	270,908	1,040,152	1,040,152	1,040,152	1,040,152

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Payroll Clearance, Public Works
 Legal Authority: Administratively Created

Contact Name: Gordon Wood
 Phone: 586-0520
 Fund type (MOF) Trust Fund (Clearing) (T)
 Appropriation Acct. No. T-XX-912-M

Intended Purpose:

Agency account which was established to facilitate processing of payroll for project-funded staff on a timely basis.

Source of Revenues:

Clearing account only for non-General fund staff payroll.

Current Program Activities/Allowable Expenses:

Clearing account only for non-General fund staff payroll.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: CIP Staff Costs were converted from General Obligation Funds to General Funds effective for FY 2017. No expenditures will be incurred for FY 2018 through FY 2020.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	6,757,521	6,863,616	0	0	0	0	0
Expenditures	6,757,521	6,863,616	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-111
 Name of Fund: Captain Cook Memorial Fund
 Legal Authority: Section 6E-33, HRS

Contact Name: Adam Jansen
 Phone: 586-3010
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-913-M

Intended Purpose:

To acquire originals or facsimiles of books, pamphlets, documents, or other articles of historical value relating to the life of Captain James Cook or connected with the history, discovery, and exploration of the Hawaiian Islands. To publish books, documents, or pamphlets relating to above.

Source of Revenues:

Donations

Current Program Activities/Allowable Expenses:

Care and maintenance of material acquired above, plus acquisition of new materials.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,850	3,850	3,850	3,850	3,850	2,850	1,350
Revenues	0	0	0	0	0	0	
Expenditures	0	0	0	0	1,000	1,500	1,350
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,850	3,850	3,850	3,850	2,850	1,350	0
Encumbrances							
Unencumbered Cash Balance	3,850	3,850	3,850	3,850	2,850	1,350	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-103
 Name of Fund: Central Payroll Clearance
 Legal Authority: Administratively Created

Contact Name: Ladea Nash
 Phone: 586-0599
 Fund type (MOF) Trust Fund (Clearance) (T)
 Appropriation Acct. No. T-XX-915-M

Intended Purpose:

This trust account serves as a clearing account to facilitate the processing, disbursement and reconciliation of the State's payroll.

Source of Revenues:

Reimbursements from funds in and outside the State Treasury for payroll and from individuals for salary overpayments.

Current Program Activities/Allowable Expenses:

Semi-monthly payroll expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Not Applicable

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(66,468)	(139,527)	(61,018)	41,011	19,760	0	0
Revenues	3,577,083,190	3,681,714,504	3,805,057,314	3,830,915,871	4,000,000,000	4,000,000,000	4,000,000,000
Expenditures	3,577,156,249	3,681,635,995	3,804,955,285	3,830,937,122	4,000,019,760	4,000,000,000	4,000,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(139,527)	(61,018)	41,011	19,760	0	0	0
Encumbrances							
Unencumbered Cash Balance	(139,527)	(61,018)	41,011	19,760	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-818
 Name of Fund: King Kamehameha Celebration Commission-Donation/Gift
 Legal Authority: Section 8-5, HRS

Contact Name: Kainoa Daines
 Phone: (808) 780-8411
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-916-M

Intended Purpose:

To commemorate the legacy of King Kamehameha I through culturally-appropriate, culturally-relevant celebrations that are coordinated throughout various venues statewide.

Source of Revenues:

Program funds are derived through donations, sponsorships and grant applications through community organizations.

Current Program Activities/Allowable Expenses:

Celebrations, statewide, include: parades, hoolaulea, & lei-draping of Kamehameha statues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Variances in expenditures due to LWOP of incumbent due to lack of funds.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	57,874	61,550	63,866	67,274	67,274	70,070	70,070
Beginning Cash Balance	1,003	0	1,578	0	0	0	0
Revenues							
Expenditures	23,667	66,871	19,449	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV JT0002 7/2/14, JV JT0090 7/28/14, JV JT0155 8/12/14, JV JT0761 3/31/15, JV JT0977 6/8/15	22,664						
JV JT0005 7/9/17, JV JT0031 7/14/15, JV JT0143 8/24/15, JV JT0230 10/1/15, JV JT0353 11/18/15, JV JT0768 5/23/16, JV JT0872 7/1/16		68,449					
JV JT0188 8/18/16, JV JT0301 9/23/16			17,871				
Net Total Transfers	22,664	68,449	17,871	0	0	0	0
Ending Cash Balance	0	1,578	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	1,578	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: Temporary Deposits - Stadium Authority
 Legal Authority: Administratively Created

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-918-M

Intended Purpose:

This fund was established to temporarily hold scoreboard advertising receipts that will be subsequently distributed to the proper appropriation accounts.

Source of Revenues:

Gross advertising revenues from contractors.

Current Program Activities/Allowable Expenses:

Not applicable

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable

Variations:

Revenues are based on amounts collected with regards to the field naming rights contract. Expenditure for FY 16 represents travel subsidies for the University of Hawaii athletics program and purchase of artificial playing surface according to the field naming rights contract. Expenditure for FY 18 represents purchase of a turf scrubber. FY 19 - Projecting purchase of field protective layer.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	960,000	1,320,000	0	97,619	92,441	0	0
Revenues	360,000	180,000	0	0	0	0	0
Expenditures		150,000	5,068	41,700	92,441		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV 2016-07, 7/8/2015 design		(145,000)					
JV 2016-49, 2/22/2016 construction		(1,205,000)					
JT0441, 10/27/16			102,687				
JM0317, 7/28/2017 return cash				36,522			
Net Total Transfers	0	(1,350,000)	102,687	36,522	0	0	0
Ending Cash Balance	1,320,000	0	97,619	92,441	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,320,000	0	97,619	92,441	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-103
 Name of Fund: Nonpresentment of Warrants and Checks Trust Fund
 Legal Authority: Section 40-68, HRS

Contact Name: Ladea Nash
 Phone: 586-0599
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-919-M

Intended Purpose:

This trust fund was established to pay claims on checks that were not presented for payment within the statutorily prescribed time. The balance at year-end is used to pay claims received in the subsequent year.

Source of Revenues:

Escheated State checks net of transfer to the general fund is required should balance exceed \$500,000.

Current Program Activities/Allowable Expenses:

Claims on State checks not presented for payment within the statutorily prescribed time.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

The increase in FY15 expenditures was due to several large claims. The increase in FY15 expenditures resulted in retention of more revenues from escheated State checks.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Revenues	508,530	499,997	498,667	434,386	450,000	450,000	450,000
Expenditures	508,530	499,997	498,667	434,386	450,000	450,000	450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Encumbrances							
Unencumbered Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: OETS Vac Accr for Fed-Funded Employees
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-920-M

Intended Purpose:
 Temporary holding account for General Fund vacation accrual transferred with an employee going to a Federal Fund.

Source of Revenues:
 General Fund Vacation Transfer

Current Program Activities/Allowable Expenses:
 Vacation payout upon separation from State Employment. Employee has separated from the State and all funds have been paid out in FY17.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Not Applicable
 Variances:

Financial Data							
	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	31,903	0	0	0	0	0
Revenues	31,903	0	0	0	0	0	0
Expenditures	0	31,903	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	31,903	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	31,903	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: University of Hawaii Ticket Receipts
 Legal Authority: Administratively Created

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-921-M

Intended Purpose:

This fund was established to account for ticket receipts collected for events held at University of Hawaii facilities.

Source of Revenues:

Sale of admission tickets for events held at University of Hawaii facilities.

Current Program Activities/Allowable Expenses:

Not applicable

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable

Variances: Revenues are cash ticket sales collected for events held at University of Hawaii facilities. Expenditures are payments to University of Hawaii for cash ticket sales collected. Aloha Stadium is an "outlet" for University of Hawaii events.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	42	36	0	0	100	100	100
Expenditures	42	36	0	0	100	100	100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-879
 Name of Fund: Donation for Voter Registration Drive
 Legal Authority: Act 301, SLH 1983, Section 100

Contact Name: Rhowell Ruiz
 Phone: 453-8683
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-922-M

Intended Purpose:

The fund was established in 1984 and managed by the Office of the Lieutenant Governor. At the time, the Lieutenant Governor served as the chief election officer. Since the lieutenant governor no longer serves as the chief election officer, the Office of Elections now manages the account. The funds were used for a voter registration campaign, educational programs, and the voter slogan contest.

Source of Revenues:

Monetary donations from business, community organizations, and private individuals.

Current Program Activities/Allowable Expenses: Currently the fund does not support any program activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	153	153	153	153	153	153	153
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	153	153	153	153	153	153	153
Encumbrances							
Unencumbered Cash Balance	153	153	153	153	153	153	153

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-818
 Name of Fund: King Kamehameha Celebration Commission-Donation/Gift
 Legal Authority: Section 8-5, HRS

Contact Name: Kainoa Daines
 Phone: (808) 780-8411
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-930-M

Intended Purpose:

To commemorate the legacy of King Kamehameha I through culturally-appropriate, culturally-relevant celebrations that are coordinated throughout various venues statewide.

Source of Revenues:

Program funds are derived through donations, sponsorships and grant applications through community organizations.

Current Program Activities/Allowable Expenses:

Celebrations, statewide, include: parades, hoolaulea, & lei-draping of Kamehameha statues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Variances due to reduction in donations and grants received. Expenditures fluctuate with the availability of funds.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	34,993	21,604	7	5,214	5,245	5,245	5,245
Revenues	55,717	76,619	30,200	31	0	0	0
Expenditures	46,442	29,767	7,122	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV JT0002 7/2/14, JV JT0090 7/28/14, JV JT0155 8/12/14, JV JT0761 3/31/15, JV JT0977 6/8/15	(22,664)						
JV JT0005 7/9/17, JV JT0031 7/14/15, JV JT0143 8/24/15, JV JT0230 10/1/15, JV JT0353 11/18/15, JV JT0768 5/23/16, JV JT0872 7/1/16		(68,449)					
JV JT0188 8/18/16, JV JT0301 9/23/16			(17,871)				
Net Total Transfers	(22,664)	(68,449)	(17,871)	0	0	0	0
Ending Cash Balance	21,604	7	5,214	5,245	5,245	5,245	5,245
Encumbrances							
Unencumbered Cash Balance	21,604	7	5,214	5,245	5,245	5,245	5,245

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Information Technology Trust fund
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-933-M

Intended Purpose:

Salary for two project manager positions within the Office of Information Management and Technology to assist in the implementation of the State Business and Information Technology/Information Resource Management Transformation plan.

Source of Revenues:

Private Grant from Hawaii Community Foundation

Current Program Activities/Allowable Expenses:

Provides salaries for two (2) OIMT positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

none

Variances:

The variance between FY 14 and FY 15 revenue is due to the delay in establishing positions.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	125,287	28,583	0	0	0	0
Revenues	125,287	77,427	122	0			
Expenditures	0	174,131	28,705	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	125,287	28,583	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	125,287	28,583	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-231
 Name of Fund: Washington Place Trust Fund
 Legal Authority: Act 113, SLH2013

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-951-M

Intended Purpose:

The purpose of this trust fund is to receive and account for proceeds from the use of Washington Place.

Source of Revenues:

Proceeds, rents and fees received for the use and activities conducted at Washington Place.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: variance from estimated amount in FY16 due to more events conducted at Washington Place.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	23,143	27,554	33,867	46,725	169,002	179,002	189,002
Revenues	4,411	30,122	12,858	122,277	10,000	10,000	10,000
Expenditures	0	23,809	0		0	0	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	27,554	33,867	46,725	169,002	179,002	189,002	199,002
Encumbrances	23,809						
Unencumbered Cash Balance	3,745	33,867	46,725	169,002	179,002	189,002	199,002

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-15-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ended 9/30/2017.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices for operational expenses and subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, for personnel, training, equipment, and operating expenses that are necessary to provide the intended direct services described above to crime victims.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 2,422,394.00	\$ 2,384,983.74	\$ 696,443.00			
Beginning Cash Balance		\$ -	\$ -	\$ -			
Revenues		\$ 37,410.26	\$ 1,688,540.74	\$ 654,143.00			
Expenditures		\$ 37,410.26	\$ 1,681,730.02	\$ 654,143.00			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		\$ -	\$ -	\$ -			
Net Total Transfers		\$ -	\$ (6,810.72)	\$ -			
Ending Cash Balance		\$ -	\$ (0.00)	\$ -			
Encumbrances				\$ -			
Unencumbered Cash Balance		\$ -	\$ (0)	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-15-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2017**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
217-039	JS1747	10/19/16	\$ 2,454.41	14-VA-Admin
217-050	JS2204	11/17/16	\$ 3,540.60	14-VA-Admin
217-058	JS2771	12/16/16	\$ 815.71	14-VA-Admin
			\$ 6,810.72	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-16-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ended 9/30/2018.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers to provide direct services to crime victim.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 8,995,706.00	\$ 7,694,393.55	\$ 2,688,075		
Beginning Cash Balance			\$ -	\$ 0.00	\$ -		
Revenues			\$ 1,301,312.45	\$ 5,006,318.55	\$ 2,688,075		
Expenditures			\$ 1,298,278.89	\$ 4,850,492.25	\$ 2,688,075		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4479, 4/4/2017			\$ (3,033.56)	\$ -	\$ -		
Net Total Transfers			\$ (3,033.56)	\$ (155,826.30)	\$ -		
Ending Cash Balance			\$ 0.00	\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-16-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
218-056	JS1911	11/02/17	\$ 3,551.06	15-VA-Admin
218-065	JS2388	12/04/17	\$ 760.68	15-VA-Admin
218-098	JS3209	01/31/18	\$ 2,840.85	15-VA-Admin
218-137	JS4967	05/16/18	\$ 710.21	15-VA-Admin
R18052	JS1656	10/20/17	\$ 39,920.00	15-VA-16/4
R18059	JS1838	10/30/17	\$ 9,967.00	15-VA-16/5
R18061	JM2488	11/16/17	\$ 51.00	15-VA-Admin
R18074	JS2352	12/01/17	\$ 20,012.00	15-VA-16/6
R18113	JM4089	02/13/18	\$ 100.00	15-VA-Admin
R18114	JS3404	02/13/18	\$ 13,347.00	15-VA-16/8
R18123	JS3697	03/01/18	\$ 3,000.00	15-VA-16/9
R18146	JM5401	04/16/18	\$ 51.00	15-VA-Admin
R18164	JS4725	05/01/18	\$ 25.50	15-VA-Admin
R18167	JS4728	05/01/18	\$ 7,719.00	15-VA-16/11
R18184	JS5239	05/31/18	\$ 53,771.00	15-VA-16/12
			\$ 155,826.30	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-17-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ends 9/30/2019.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers to provide direct services to crime victims.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 10,147,586.00	\$ 9,701,148		
Beginning Cash Balance					\$ 7,062		
Revenues				\$ 453,499.63	\$ 9,694,086		
Expenditures				\$ 446,438.02	\$ 9,701,148		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ 7,061.61	\$ -		
Encumbrances					\$ -		
Unencumbered Cash Balance				\$ 7,061.61	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-18-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. The FY 2017 grant ends 9/30/2020.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers to provide direct services to crime victims.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					\$ 8,450,536	\$ 14,803,390	\$ 14,803,390
Beginning Cash Balance					\$ -		
Revenues					\$ 8,450,536	\$ 14,803,390	\$ 14,803,390
Expenditures					\$ 8,450,536	\$ 14,803,390	\$ 14,803,390
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					\$ -	\$ -	\$ -
Net Total Transfers					\$ -	\$ -	\$ -
Ending Cash Balance					\$ -	\$ -	\$ -
Encumbrances					\$ -	\$ -	\$ -
Unencumbered Cash Balance					\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No.: S-15-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2018.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, sex offender management training, technology improvement, specialized units (sex assault), police body-worn cameras, etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ -	\$ 999,046.00	\$ 925,907.86	\$ 428,925.00	\$ 96,753		
Beginning Cash Balance	\$ -	\$ 908,278.16	\$ 851,627.22	\$ 360,644.36	\$ 30,871		
Revenues	\$ 908,278.16	\$ 5,274.20	\$ 6,000.00	\$ 2,399.12	\$ -		
Expenditures	\$ -	\$ 27,625.14	\$ 419,572.69	\$ 311,975.64	\$ 30,871		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers	\$ -	\$ (34,300.00)	\$ (77,410.17)	\$ (20,196.40)	\$ -		
Net Total Transfers	\$ -	\$ (34,300.00)	\$ (77,410.17)	\$ (20,196.40)	\$ -		
Ending Cash Balance	\$ 908,278.16	\$ 851,627.22	\$ 360,644.36	\$ 30,871.44	\$ 0.00		
Encumbrances		\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance	\$ 908,278.16	\$ 851,627.22	\$ 360,644.36	\$ 30,871.44	\$ 0.00		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-15-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R16153	JS6494	06/03/16	\$ 22,900.00	14-DJ-01/2
R16153	JS6494	06/03/16	\$ 11,400.00	14-DJ-01/3
			\$ 34,300.00	

S-15-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV17320	JS3842	02/24/17	\$ (3,000.00)	14-DJ-08
217-068	JS3534	02/01/17	\$ 1,582.20	14-DJ-Admin
217-083	JS4092	03/13/17	\$ 727.97	14-DJ-Admin
R17049	JS1842	10/25/16	\$ 7,000.00	14-DJ-08/3
R17063	JS2428	11/29/16	\$ 25,400.00	14-DJ-08/4
R17073	JS2918	12/29/16	\$ 8,000.00	14-DJ-08/5
R17114	JS4304	03/22/17	\$ 10,500.00	14-DJ-08/8
R17143	JS5086	05/10/17	\$ 10,500.00	14-DJ-08/9
R17150	JS5416	05/31/17	\$ 11,500.00	14-DJ-08/10
R17165	JS6017	06/30/17	\$ 5,200.00	14-DJ-08/11
			\$ 77,410.17	

S-15-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2018

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV18079	JS0875	09/06/17	\$ (3,243.95)	14-DJ-08
R18016	JS0238	07/25/17	\$ 5,000.00	14-DJ-08/12
R18028	JS0750	08/31/17	\$ 5,351.00	14-DJ-07/17
R18051	JS1502	10/17/17	\$ 8,753.00	14-DJ-07/18
R18057	JS1775	10/27/17	\$ 4,336.35	14-DJ-07/19
			\$ 20,196.40	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-16-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends on 9/30/2019.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, untested sex assault kits, specialized units (drug nuisance, sex trafficking, sexual assault), etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 908,157.00	\$ 908,157.00	\$ 843,125.82	\$ 298,651		
Beginning Cash Balance		\$ -	\$ 799,693.29	\$ 740,776.62	\$ 138,446		
Revenues		\$ 799,693.29	\$ 6,114.51	\$ 4,716.06	\$ 2,000		
Expenditures		\$ -	\$ 65,031.18	\$ 524,391.97	\$ 140,446		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers		\$ -	\$ -	\$ (20,083.17)	\$ -		
Cash Transfers				\$ (62,572.00)			
Net Total Transfers		\$ -	\$ -	\$ (82,655.17)	\$ -		
Ending Cash Balance		\$ -	\$ 740,776.62	\$ 138,445.54	\$ -		
Encumbrances		\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance		\$ -	\$ 740,776.62	\$ 138,445.54	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-16-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2018

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18108	CW0272	02/13/18	\$ (424.34)	15-DJ-Admin
281-088	JS3014	01/16/18	\$ 1,420.43	15-DJ-Admin
R18016	JS0238	07/25/17	\$ 13,000.00	15-DJ-07/6
R18043	JS1186	09/25/17	\$ 2,000.00	15-DJ-07/8
R18057	JS1775	10/27/17	\$ 3,653.00	15-DJ-07/9
R18061	JM2488	11/16/17	\$ 51.00	15-DJ-Admin
R18142	JM5187	04/05/18	\$ 383.08	15-DJ-Admin
			\$ 20,083.17	

S-16-206-N Edward J. Byrne Memorial Justice Assistance Grant
Cash Transfers out of the Funds
Fiscal Year 2018

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18043	JS1186	09/25/17	\$ 80.00	15-DJ-04/4
R18092	JS2681	12/28/17	\$ 16,386.00	15-DJ-04/7
R18122	JS3696	03/01/18	\$ 1,087.00	15-DJ-04/9
R18140	JS4088	03/22/18	\$ 12,624.00	15-DJ-04/10
R18165	JS4726	05/01/18	\$ 16,198.00	15-DJ-04/11
R18183	JS5120	05/23/18	\$ 16,197.00	15-DJ-04/12
			\$ 62,572.00	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No. S-17-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. The FY 2016 Grant ends on 9/30/2019.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, untested sex assault kits, specialized units (drug nuisance, sex trafficking, sexual assault), etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 908,157.00	\$ 908,157.00	\$ 806,660	\$ 846,920	\$ 846,920
Beginning Cash Balance				\$ 864,440.09	\$ 768,509		
Revenues			\$ 864,440.09	\$ 5,565.07		\$ 846,920	\$ 846,920
Expenditures			\$ -	\$ 101,496.15	\$ 768,509	\$ 846,920	\$ 846,920
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -	\$ -	\$ -	\$ -
			\$ -	\$ -			
Net Total Transfers			\$ -	\$ -	\$ -	\$ -	\$ -
Ending Cash Balance			\$ 864,440.09	\$ 768,509.01	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance			\$ 864,440.09	\$ 768,509.01	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 CJ
 Name of Fund: FY 2016 SJS Program
 Legal Authority: Justice System Improvement Act of 1979

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-17-208

Intended Purpose:

To support the crime research functions of the Statistical Analysis Center, which is established within CPJAD.

Source of Revenues:

Federal grant received from Department of Justice, Bureau of Justice Statistics. Grant ended 8/31/2018.

Current Program Activities/Allowable Expenses:

This project will expand Hawaii's capacity to produce and consider statistical information pertaining to adult parolees and felony probationers and the State's efforts to reduce recidivism rates among these groups via the use of evidence-based practices. Project will create additional statistical features and functionality for the Interagency Council on Intermediate Sanctions' computerized Management Information System. Funds will also be used for travel costs for one SAC staff member to attend the NCJA National Forum.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 53,988.00	\$ 52,682.58	\$ 28,000		
Beginning Cash Balance							
Revenues			\$ 1,305.42	\$ 24,682.58	\$ 28,000		
Expenditures			\$ 1,305.42	\$ 982.58	\$ -		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ -	\$ (23,700.00)	\$ (28,000)		
Net Total Transfers			\$ -	\$ (23,700.00)	\$ (28,000)		
Ending Cash Balance			\$ -	\$ -	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-17-208-N State Justice Statistics Program
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18134	JS3897	03/14/18	\$ 18,100.00	16-BJ-Admin
R18166	JS4727	05/01/18	\$ 2,600.00	16-BJ-Admin
R18185	JS5240	05/31/18	\$ 3,000.00	16-BJ-Admin
			\$ 23,700.00	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-13-213/S-14-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 12/31/2017.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 2,183,475.02	\$ 1,482,695.99	\$ 502,605.34	\$ 209,940.24			
Beginning Cash Balance	\$ -	\$ -	\$ -	\$ -			
Revenues	\$ 868,186.03	\$ 980,090.65	\$ 292,665.10	\$ 88,709.65			
Expenditures	\$ 841,864.55	\$ 926,144.00	\$ 272,162.97	\$ 84,942.07			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers	\$ (26,321.48)	\$ (53,946.65)	\$ (20,502.13)	\$ (3,767.58)			
Net Total Transfers	\$ (26,321.48)	\$ (53,946.65)	\$ (20,502.13)	\$ (3,767.58)			
Ending Cash Balance	\$ -	\$ -	\$ -	\$ -			
Encumbrances	\$ -	\$ -	\$ -				
Unencumbered Cash Balance	\$ -	\$ -	\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-14-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2015**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
S-042	JS3151	12/04/14	\$ (13.00)	10-WF-02/27
215-059	JS2794	11/18/14	\$ 2,307.00	11-WF-Admin
215-083	JS4305	02/02/15	\$ 1,087.00	11-WF-Admin
215-098	JS4724	02/19/15	\$ 331.98	11-WF-Admin
R15024	JS1050	09/02/14	\$ 3,300.00	12-WF-07/6
R15043	JM1221	09/30/14	\$ 37.50	11-WF-Admin
R15045	JS1790	09/30/14	\$ 1,650.00	12-WF-07/7
R15075	JS3425	12/16/14	\$ 1,237.00	12-WF-07/9
R15086	JS4301	02/02/15	\$ 4,528.00	12-WF-07/10
R15098	JS4712	02/19/15	\$ 6,825.00	12-WF-07/11
R15109	JS5178	03/17/15	\$ 1,238.00	12-WF-07/12
R15118	JS5473	03/31/15	\$ 1,237.00	12-WF-07/13
R15142	JS6708	06/01/15	\$ 2,285.00	12-WF-07/14
R15142	JS6708	06/01/15	\$ 271.00	12-WF-07/15
			\$ 26,321.48	

**S-14-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2016**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
216-054	JS2735	11/17/15	\$ 1,829.72	12-WF-Admin
216-124	JS6038	05/16/16	\$ 696.93	12-WF-Admin
R16021	JM0502	08/19/15	\$ 75.00	11-WF-Admin
R16064	JS2972	12/04/15	\$ 7,221.00	11-WF-10/33
R16085	JS3604	01/13/16	\$ 6,600.00	12-WF-07/22
R16107	JS4567	03/01/16	\$ 824.00	12-WF-07/24
R16146	JS6308	06/01/16	\$ 36,700.00	13-WF-09/5
			\$ 53,946.65	

**S-14-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2017**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
S-008	JS0602	08/17/16	\$ (3,498.00)	13-WF-09/5
217-058	JS2771	12/16/16	\$ 1,373.40	13-WF-Admin
217-068	JS3534	02/01/17	\$ 2,200.00	13-WF-Admin
217-108	JM7420	06/30/17	\$ 2,167.84	13-WF-Admin
R17019	JM0524	08/15/16	\$ 75.00	12-WF-Admin
R17034	JM1440	09/29/16	\$ 19.50	12-WF-Admin
R17034	JM1440	09/29/16	\$ 211.39	12-WF-Admin
R17050	JS1960	11/02/16	\$ 8,341.00	12-WF-07/32
R17084	JS3523	02/01/17	\$ 5,849.00	13-WF-09/13

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R17115	JS4369	03/30/17	\$	3,688.00	13-WF-09/15
R17123	JM5593	04/17/17	\$	75.00	13-WF-Admin
			\$	20,502.13	

**S-14-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date		Amount	Description/Project
218-065	JS2388	12/04/17	\$	1,369.96	13-WF-Admin
R18052	JS1656	10/20/17	\$	2,374.00	13-WF-09/21
R18075	JM2741	12/01/17	\$	23.62	13-WF-Admin
			\$	3,767.58	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-15-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 3/31/2018.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 1,064,059.00	\$ 1,009,966.00	\$ 409,405.00	\$ 28,786		
Beginning Cash Balance		\$ -	\$ -	\$ -	\$ 1,154		
Revenues		\$ 65,306.00	\$ 600,561.00	\$ 381,773.68	\$ 27,631		
Expenditures		\$ 65,306.00	\$ 520,190.00	\$ 336,929.03	\$ 28,785		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ (80,371.00)	\$ (43,690.65)	\$ -		
Net Total Transfers			\$ (80,371.00)	\$ (43,690.65)	\$ -		
Ending Cash Balance			\$ -	\$ 1,154.00	\$ 0.00		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ 1,154.00	\$ 0.00		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-15-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2017**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R17062	JS2527	12/01/16	\$ 1,000.00	14-WF-10/6
R17068	JS2770	12/16/16	\$ 30,445.00	14-WF-09/3
R17078	JS3244	01/18/17	\$ 7,843.00	14-WF-09/4
R17097	JM4535	03/01/17	\$ 1,848.00	14-WF-09/6
R17097	JM4535	03/01/17	\$ 2,000.00	14-WF-10/9
R17115	JS4369	03/30/17	\$ 20,000.00	14-WF-10/10
R17136	JS4908	05/02/17	\$ 17,235.00	14-WF-10/11
			\$ 80,371.00	

**S-15-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2018**

Comptroller's				
Dept. No.	No.	JV Date	Amount	Description/Project
R18208	JS6000	07/10/18	\$ (1,154.00)	14-WF-17
218-077	JS2554	12/15/17	\$ 1,038.37	14-WF-Admin
218-119	JS4182	04/05/18	\$ 3,551.06	14-WF-Admin
R18008	JS0128	07/18/17	\$ 10,841.00	14-WF-10/13
R18077	JS2535	12/18/18	\$ 62.20	14-WF-Admin
R18087	JS2536	12/18/17	\$ 4,628.00	14-WF-09/15
R18125	JM4738	03/14/18	\$ 435.00	14-WF-Admin
R18146	JM5401	04/16/18	\$ 289.02	14-WF-Admin
R18184	JS5239	05/31/18	\$ 24,000.00	14-WF-17/3
			\$ 43,690.65	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-16-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ends 12/31/2018.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 1,061,994.00	\$ 1,061,994.00	\$ 1,041,739.00	\$ 417,530		
Beginning Cash Balance		\$ -	\$ -	\$ -	\$ -		
Revenues		\$ -	\$ 20,255.00	\$ 624,209.00	\$ 417,530		
Expenditures		\$ -	\$ 20,255.00	\$ 571,890.00	\$ 417,530		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers		\$ -	\$ -	\$ (52,319.00)	\$ -		
Net Total Transfers		\$ -	\$ -	\$ (52,319.00)	\$ -		
Ending Cash Balance		\$ -	\$ -	\$ -	\$ -		
Encumbrances		\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance		\$ -	\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-16-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18141	JS4165	04/02/18	\$ 442.00	15-WF-09/8
R18167	JS4728	05/01/18	\$ 32,741.00	15-WF-09/9
R18167	JS4728	05/01/18	\$ 17,850.00	15-WF-10/7
R18184	JS5239	05/31/18	\$ 1,286.00	15-WF-09/10
			\$ 52,319.00	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-17-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. The FY 2016 Grant ends 6/30/2019.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 1,061,994.00	\$ 1,138,212.00	\$ 1,138,212	\$ 1,119,445	\$ 1,120,133
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ -	\$ -	\$ 1,138,212	\$ 1,119,445	\$ 1,120,133
Expenditures			\$ -	\$ -	\$ 1,138,212	\$ 1,119,445	\$ 1,120,133
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -	\$ -	\$ -	\$ -
Net Total Transfers			\$ -	\$ -	\$ -	\$ -	\$ -
Ending Cash Balance			\$ -	\$ -	\$ -	\$ -	\$ -
Encumbrances							
Unencumbered Cash Balance			\$ -	\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-13-214/S-14-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 3/31/2018.

Current Program Activities/Allowable Expenses:

Funds are subcontracted to the Department of Public Safety for the "Project Bridge Expansion" project. The project's goals are to decrease the rate of recidivism and parole violations and to reduce the rate of relapse into drug or alcohol use among incarcerated individuals.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 73,922.44	\$ 309,735.13	\$ 174,637.00	\$ 94,317.66			
Beginning Cash Balance	\$ -	\$ -	\$ -	\$ -			
Revenues	\$ 73,922.44	\$ 135,098.81	\$ 80,318.66	\$ 84,720.00			
Expenditures	\$ 3,422.44	\$ 2,351.20	\$ 292.04	\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers	\$ (70,500.00)	\$ (132,747.61)	\$ (80,026.62)	\$ (84,720.00)			
Net Total Transfers	\$ (70,500.00)	\$ (132,747.61)	\$ (80,026.62)	\$ (84,720.00)			
Ending Cash Balance	\$ -	\$ -	\$ -	\$ -			
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ -	\$ -	\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-14-214-N RSAT
JV Transfers
Fiscal Year 2015**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
JV15320	JS4487	02/17/15	\$ (7,500.00)	10-RT-01/16
R15020	JS0843	09/02/14	\$ 5,000.00	10-RT-01/13
R15024	JS1050	09/02/14	\$ 5,000.00	10-RT-01/14
R15046	JS1921	10/06/14	\$ 21,000.00	10-RT-01/15
R15058	JS2535	10/31/14	\$ 15,000.00	10-RT-01/16
R15118	JS5473	03/31/15	\$ 5,000.00	10-RT-01/21
R15132	JS6087	04/29/15	\$ 8,000.00	10-RT-01/22
R15142	JS6708	06/01/15	\$ 8,500.00	10-RT-01/23
R15156	JS7372	06/30/15	\$ 10,500.00	10-RT-01/24
			\$ 70,500.00	

**S-14-214-N RSAT
JV Transfers
Fiscal Year 2016**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
JV16246	JS3104	12/07/15	\$ (304.29)	10-RT-01/29
216-096	JS4397	02/17/16	\$ 322.00	11-RT-Admin
R16011	JS0386	07/30/15	\$ 10,000.00	10-RT-01/25
R16028	JS1065	09/01/15	\$ 7,500.00	10-RT-01/26
R16041	JS1674	09/29/15	\$ 7,500.00	10-RT-01/27
R16041	JS1674	09/29/15	\$ 2,000.00	11-RT-01/2
R16054	JS2391	10/30/15	\$ 15,500.00	10-RT-01/28
R16054	JS2391	10/30/15	\$ 8,000.00	11-RT-01/3
R16064	JS2972	12/04/15	\$ 21,100.00	11-RT-01/4
R16065	JS2973	12/01/15	\$ 529.90	10-RT-01/29
R16082	JS3499	01/04/16	\$ 10,000.00	11-RT-01/5
R16093	JS4029	02/01/16	\$ 8,000.00	11-RT-01/6
R16107	JS4567	03/01/16	\$ 5,000.00	11-RT-01/7
R16120	JS5099	03/31/16	\$ 5,000.00	11-RT-01/8
R16134	JS5756	04/29/16	\$ 8,000.00	11-RT-01/9
R16146	JS6308	06/01/16	\$ 8,000.00	11-RT-01/10
R16162	JS7026	06/29/16	\$ 16,600.00	11-RT-01/11
			\$ 132,747.61	

**S-14-214-N RSAT
JV Transfers
Fiscal Year 2017**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R17001	JM0130	07/19/16	\$ (199.38)	11-RT-Admin
R17010	JS0308	07/29/16	\$ 45,226.00	11-RT-01/12
R17050	JS1960	11/02/16	\$ 5,000.00	12-RT-01/2
R17074	JS2998	12/30/16	\$ 11,000.00	12-RT-01/4

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R17084	JS3523	02/01/17	\$	5,000.00	12-RT-01/5
R17097	JM4535	03/01/17	\$	5,000.00	12-RT-01/6
R17115	JS4369	03/30/17	\$	5,000.00	12-RT-01/7
R17136	JS4908	05/02/17	\$	4,000.00	12-RT-01/8
				\$	80,026.62

**S-14-214-N RSAT
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date		Amount	Description/Project
R18017	JS0322	08/01/17	\$	8,000.00	12-RT-01/11
R18029	JS0751	08/30/17	\$	8,500.00	12-RT-01/12
R18044	JS1266	10/05/17	\$	1,778.00	12-RT-01/13
R18044	JS1266	10/05/17	\$	3,000.00	13-RT-01/8
R18058	JS1837	10/30/17	\$	10,000.00	13-RT-01/9
R18074	JS2352	12/01/17	\$	12,000.00	13-RT-01/10
R18094	JS2741	01/02/18	\$	14,000.00	13-RT-01/11
R18105	JS3150	01/30/18	\$	14,000.00	13-RT-01/12
R18123	JS3697	03/01/18	\$	13,442.00	13-RT-01/13
				\$	84,720.00

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-15-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2018.

Current Program Activities/Allowable Expenses:

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 53,982.00	\$ 31,162			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 22,820.00	\$ 31,162			
Expenditures			\$ 1,841.25	\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ (20,978.75)	\$ (31,162)			
Net Total Transfers			\$ (20,978.75)	\$ (31,162)			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-15-214-N RSAT
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18003	JM0366	08/02/17	\$ 543.75	14-RT-Admin
R18003	JM0366	08/02/17	\$ 435.00	14-RT-Admin
R18184	JS5239	05/31/18	\$ 10,000.00	14-RT-01/2
R18184	JS5239	05/31/18	\$ 10,000.00	14-RT-01/3
			\$ 20,978.75	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-16-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends 3/31/2019.

Current Program Activities/Allowable Expenses:

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 54,181.00	\$ 53,756		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ 424.92	\$ 53,755		
Expenditures				\$ 424.92	\$ -		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers				\$ -	\$ (53,755)		
Net Total Transfers				\$ -	\$ (53,755)		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-17-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2016 RSAT Grant ends 9/30/2019.

Current Program Activities/Allowable Expenses:

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 54,181.00	\$ 54,181.00	\$ 63,530	\$ 75,374	\$ 162,272
Beginning Cash Balance			\$ -	\$ -	\$ -	\$ -	
Revenues			\$ -	\$ -	\$ 63,530	\$ 75,374	\$ 162,272
Expenditures			\$ -	\$ -	\$ -	\$ -	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ -	\$ -	\$ (63,530)	\$ (75,374)	\$ (162,272)
Net Total Transfers			\$ -	\$ -	\$ (63,530)	\$ (75,374)	\$ (162,272)
Ending Cash Balance			\$ -	\$ -	\$ -	\$ -	\$ -
Encumbrances			\$ -	\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance			\$ -	\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Sex Assault Services Program
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-16-216

Intended Purpose:

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 8/31/2017.

Current Program Activities/Allowable Expenses:

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 308,254.00	\$ 308,254.00	\$ 72,846.00			
Beginning Cash Balance		\$ -	\$ -	\$ -			
Revenues		\$ -	\$ 235,408.00	\$ 72,846.00			
Expenditures		\$ -	\$ 235,408.00	\$ 72,846.00			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -			
Net Total Transfers			\$ -	\$ -			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Sex Assault Services Program
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-17-216

Intended Purpose:

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 7/31/2018.

Current Program Activities/Allowable Expenses:

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 416,439.00	\$ 74,383		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ 342,056.00	\$ 74,383		
Expenditures				\$ 342,056.00	\$ 74,383		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 Sex Assault Services Program
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-18-216

Intended Purpose:

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. FY 2017 SASP Grant ends 7/31/2019.

Current Program Activities/Allowable Expenses:

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					\$ 391,210	\$ 359,308	\$ 359,308
Beginning Cash Balance					\$ -	\$ -	\$ -
Revenues					\$ 391,210	\$ 359,308	\$ 359,308
Expenditures					\$ 391,210	\$ 359,308	\$ 359,308
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					\$ -	\$ -	\$ -
Net Total Transfers					\$ -	\$ -	\$ -
Ending Cash Balance					\$ -	\$ -	\$ -
Encumbrances					\$ -	\$ -	\$ -
Unencumbered Cash Balance					\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Coverdell National Forensic Science Improvement Act
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-17-228

Intended Purpose:

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 5/31/2018.

Current Program Activities/Allowable Expenses:

Funds have been subgranted to the Department of Public Safety/Narcotics Enforcement Division and the Honolulu Police Department.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 71,530.00	\$ 66,254.93			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 5,275.07	\$ 66,254.93			
Expenditures			\$ 4,925.03	\$ 31,427.93			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ (350.04)	\$ (34,827.00)			
Net Total Transfers			\$ (350.04)	\$ (34,827.00)			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-17-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2017**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
-	JS0403	08/03/16	\$ (246.70)	16-CD-Admin
-	JS1524	10/14/16	\$ (103.34)	16-CD-Admin
			\$ (350.04)	

**S-17-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18017	JS0322	08/01/17	\$ 13,130.00	16-CD-02/6
R18029	JS0751	08/30/17	\$ 2,700.00	16-CD-02/7
R18058	JS1837	10/30/17	\$ 6,000.00	16-CD-02/9
R18093	JS2740	01/02/18	\$ 10,000.00	16-CD-02/11
R18152	JS4385	04/16/18	\$ 2,997.00	16-CD-02/14
			\$ 34,827.00	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 Coverdell National Forensic Science Improvement Act
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-18-228

Intended Purpose:

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2017 Coverdell ends 12/31/2018.

Current Program Activities/Allowable Expenses:

Funds have been subgranted to the Honolulu Department of the Medical Examiner, Honolulu Police Department, and Hawaii Ppolice Department.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 108,332.00	\$ 108,332	\$ 271,960	\$ 271,960
Beginning Cash Balance				\$ -	\$ -	\$ -	
Revenues				\$ 17,402.62	\$ 108,332	\$ 271,960	\$ 271,960
Expenditures				\$ 16,487.26	\$ 108,332	\$ 271,960	\$ 271,960
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers				\$ (915.36)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (915.36)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-18-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18115	JM4501	03/01/18	\$ 299.11	17-CD-Admin
R18115	JM4501	03/01/18	\$ 616.25	17-CD-Admin
			\$ 915.36	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 John R. Justice Grant Program
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-17-257

Intended Purpose:

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2017.

Current Program Activities/Allowable Expenses:

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 32,515.00	\$ 177.60			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 32,337.40	\$ -			
Expenditures			\$ 32,064.00	\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ (273.40)	\$ -			
Net Total Transfers			\$ (273.40)	\$ -			
Ending Cash Balance			\$ 0.00	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ 0.00	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-17-257-N Hawaii John R. Justice Grant
JV Transfers
Fiscal Year 2017**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17166	JM7419	06/30/17	\$ 51.00	16-RJ-Admin
R17166	JM7419	06/30/17	\$ 222.40	16-RJ-Admin
			\$ 273.40	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 John R. Justice Grant Program
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-18-257

Intended Purpose:

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2017 JRJ grant ended 9/30/2018.

Current Program Activities/Allowable Expenses:

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 33,001.00	\$ 33,058	\$ 33,058	\$ 33,058
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ 193.38	\$ 33,058	\$ 33,058	\$ 33,058
Expenditures				\$ 142.38	\$ 33,058	\$ 33,058	\$ 33,058
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
R18142, JM 5187, 4/5/2018				\$ (51.00)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (51.00)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 SORNA Reallocation Project
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-16-260 (unearned acct)

Intended Purpose:

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 1/31/2018.

Current Program Activities/Allowable Expenses:

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ -	\$ -			
Beginning Cash Balance		\$ -	\$ -	\$ -			
Revenues		\$ 8,000.00	\$ 6,500.00	\$ 28,679.00			
Expenditures		\$ -	\$ -				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers		\$ (8,000.00)	\$ (6,500.00)	\$ (28,679.00)			
Net Total Transfers		\$ (8,000.00)	\$ (6,500.00)	\$ (28,679.00)			
Ending Cash Balance		\$ -	\$ -	\$ -			
Encumbrances		\$ -	\$ -	\$ -			
Unencumbered Cash Balance		\$ -	\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 SORNA Reallocation Project
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-17-260 (unearned acct)

Intended Purpose:

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2016 SORNA ended 12/31/2017.

Current Program Activities/Allowable Expenses:

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	
Appropriation Ceiling				\$ -	\$ -	\$ -	
Beginning Cash Balance				\$ -	\$ -	\$ -	
Revenues				\$ 49,472.06	\$ 48,344	\$ 48,725	\$ 48,725
Expenditures				\$ -	\$ -	\$ -	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers				\$ (49,472.06)	\$ (48,344)	\$ (48,725)	\$ (48,725)
Net Total Transfers				\$ (49,472.06)	\$ (48,344)	\$ (48,725)	\$ (48,725)
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-16-260-N SORNA Reallocation Project
Cash Transfers out of the Funds
Fiscal Year 2016**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R16134	JS5756	04/29/16	\$ 6,000.00	15-DS-01/3
R16162	JS7026	06/29/16	\$ 2,000.00	15-DS-01/5
			\$ 8,000.00	

**S-16-260-N SORNA Reallocation Project Grant
Cash Transfers out of the Funds
Fiscal Year 2017**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R17039	JS1959	11/01/16	\$ 1,500.00	15-DS-01/8
R17062	JS2527	12/01/16	\$ 3,500.00	15-DS-01/10
R17078	JS3244	01/18/17	\$ 1,500.00	15-DS-01/11
			\$ 6,500.00	

**S-16-260-N SORNA Reallocation Project Grant
Cash Transfers out of the Funds
Fiscal Year 2018**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R18058	JS1837	10/30/17	\$ 7,286.00	15-DS-01/21
R18074	JS2352	12/01/17	\$ 8,570.00	15-DS-01/22
R18093	JS2740	01/02/18	\$ 12,823.00	15-DS-01/23
R18141	JS4165	04/02/18	\$ 900.00	15-DS-01/26
R18195	JS5399	06/07/18	\$ 6,931.36	15-DS-01
			\$ 36,510.36	

**S-16-260-N SORNA Reallocation Project Grant
Cash Transfers into the Funds
Fiscal Year 2018**

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R18195	JS5399	06/07/18	\$ (7,831.36)	15-DS-01
			\$ (7,831.36)	

**S-17-260-N SORNA Reallocation Project Grant
Cash Transfers out of the Funds
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18093	JS2740	01/02/18	\$ 49,472.06	16-DS-01/5
			\$ 49,472.06	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Hawaii HIDTA Award
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-17-262

Intended Purpose:

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

Source of Revenues:

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. FY 2016 HIDTA Grant ended 12/31/2017.

Current Program Activities/Allowable Expenses:

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 862,825.00	\$ 447,000.47			
Beginning Cash Balance			\$ 7.44	\$ 9.34			
Revenues			\$ 415,826.43	\$ 396,328.77			
Expenditures			\$ 415,402.95	\$ 396,322.05			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers			\$ (421.58)	\$ (13.49)			
Net Total Transfers			\$ (421.58)	\$ (13.49)			
Ending Cash Balance			\$ 9.34	\$ 2.57			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ 9.34	\$ 2.57			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-17-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
JV Transfers
Fiscal Year 2017**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17079	JM3634	01/19/17	\$ 3.62	G16HI0007A
R17079	JM3634	01/19/17	\$ 18.00	G16HI0007A
R17079	JM3634	01/19/17	\$ 20.00	G16HI0007A
R17079	JM3634	01/19/17	\$ 181.50	G16HI0007A
R17092	JM4534	03/01/17	\$ 20.00	G16HI0007A
R17092	JM4534	03/01/17	\$ 151.40	G16HI0007A
R17109	JM5153	03/30/17	\$ 21.67	G16HI0007A
R17126	JM5778	04/24/17	\$ 5.39	G16HI0007A
			\$ 421.58	

**S-17-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
JV Transfers
Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18096	JM3258	12/29/17	\$ 13.49	G16HI0007A
			\$ 13.49	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Hawaii HIDTA Award
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (P)
 Appropriation Acct. No.: S-18-262

Intended Purpose:

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

Source of Revenues:

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. FY 2017 HIDTA Grant ends 12/31/2018.

Current Program Activities/Allowable Expenses:

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 847,400.00	\$ 847,400	\$ 887,362	\$ 887,362
Beginning Cash Balance				\$ -	\$ 0	\$ -	\$ -
Revenues				\$ 409,974.36	\$ 847,400	\$ 887,362	\$ 887,362
Expenditures				\$ 407,956.92	\$ 847,400	\$ 887,362	\$ 887,362
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers				\$ (2,017.44)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (2,017.44)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ 0.00	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ 0.00	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**S-18-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
 JV Transfers
 Fiscal Year 2018**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18075	JM2741	12/01/17	\$ 234.00	G17HI0007A
R18075	JM2741	12/01/17	\$ 961.94	G17HI0007A
R18178	JM6133	05/15/18	\$ 18.00	G17HI0007A
R18178	JM6133	05/15/18	\$ 40.00	G17HI0007A
R18178	JM6133	05/15/18	\$ 102.00	G17HI0007A
R18178	JM6133	05/15/18	\$ 310.75	G17HI0007A
R18187	JM6494	05/31/18	\$ 40.00	G17HI0007A
R18187	JM6494	05/31/18	\$ 310.75	G17HI0007A
			\$ 2,017.44	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 PREA Reallocation Funds
 Legal Authority: FY 14 (BJA-PREA Reallocation) 42 USC 3750
et seq.; 42 USC 15607(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-17-505

Intended Purpose:

Reallocation of penalized funds from the FY 2016 Edward Byrne Memorial Justice Assistance Grant (JAG) Program - State Formula Funds. Funds are to be used to assist Hawaii to achieve full compliance with the Prison Rape Elimination Act (PREA).

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2018.

Current Program Activities/Allowable Expenses:

Funds will be subgranted to the Department of Public Safety to support their efforts towards Hawaii's PREA compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 25,143.00	\$ 25,143.00	\$ 9,143		
Beginning Cash Balance				\$ -			
Revenues			\$ -	\$ 16,000.00	\$ 9,143		
Expenditures			\$ -	\$ -	\$ -		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
R18167, JS4728, 5/1/2018			\$ -	\$ (16,000.00)	\$ (9,143)		
Net Total Transfers			\$ -	\$ (16,000.00)	\$ (9,143)		
Ending Cash Balance			\$ -	\$ -	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2017 PREA Reallocation Funds
 Legal Authority: FY 14 (BJA-PREA Reallocation) 42 USC 3750
et seq.; 42 USC 15607(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-18-505

Intended Purpose:

Reallocation of penalized funds from the FY 2016 Edward Byrne Memorial Justice Assistance Grant (JAG) Program - State Formula Funds. Funds are to be used to assist Hawaii to achieve full compliance with the Prison Rape Elimination Act (PREA).

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2017 PREA grant ends 9/30/2019.

Current Program Activities/Allowable Expenses:

Funds will be subgranted to the Office of Youth Services to support their efforts towards Hawaii's PREA compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 24,172.00	\$ 24,172	\$ 24,363	\$ 24,363
Beginning Cash Balance							
Revenues				\$ 24,172.00	\$ 24,172	\$ 24,363	\$ 24,363
Expenditures				\$ -	\$ -	\$ -	\$ -
				\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers				\$ -	\$ (24,172)	\$ (24,363)	\$ (24,363)
Net Total Transfers				\$ -	\$ (24,172)	\$ (24,363)	\$ (24,363)
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 VOCA VA Training Grant
 Legal Authority: PL 98-473 Victims of Crime Act of 1984;
42 USC 10603(a) & (b) and 42 USC 1063(c)(1)(A)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-16-509

Intended Purpose:

The purpose of this grant is to provide each state and territory with funding to support training and technical assistance for victim assistance grantees and others who work with crime victims.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ended 9/30/2018.

Current Program Activities/Allowable Expenses:

Conduct a comprehensive training needs assessment with key stakeholders; develop a strategic plan for training state victim assistance providers and others who work with crime victims; provide training and technical assistance; and develop a system for receiving, tracking and responding to requests for training and technical assistance, including an evaluation process that allows the Department and OVC to assess user satisfaction of services.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 147,757.00	\$ 147,757.00	\$ 147,757.00	\$ 66,575		
Beginning Cash Balance		\$ -	\$ -	\$ -			
Revenues		\$ -	\$ -	\$ 81,182.00	\$ 66,575		
Expenditures		\$ -	\$ -	\$ 81,182.00	\$ 66,575		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		\$ -	\$ -	\$ -	\$ -		
Ending Cash Balance		\$ -	\$ -	\$ -	\$ -		
Encumbrances		\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance		\$ -	\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Hawaii SAKI
 Legal Authority: Public Law No. 114-113, 129 STAT 2242, 2308

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-17-512

Intended Purpose:

The purpose of this grant is to support multi-disciplinary community response teams engaged in the comprehensive reform of jurisdictions' approaches to sexual assault cases resulting from evidence found in previously unsubmitted sexual assault evidence kits.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends 9/30/2019.

Current Program Activities/Allowable Expenses:

Creation of coordinated community response that ensures just resolution to these cases whenever possible through a victim-centered approach, as well as to build capacity to prevent the development of conditions that lead to high numbers of unsubmitted sexual assault kits in the future. Funding for a full-time Sexual Assault Kit Initiative Coordinator, testing of unsubmitted sexual assault kits, support for the Hawaii SAKI Team, and training for law enforcement and victim service providers.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 2,000,000.00	\$ 1,976,025.87	\$ 1,085,566	\$ 500,000	
Beginning Cash Balance			\$ -	\$ -	\$ (0)	\$ -	
Revenues			\$ 23,974.13	\$ 390,458.93	\$ 1,085,566	\$ 500,000	
Expenditures			\$ 22,192.93	\$ 388,865.64	\$ 1,085,566	\$ 500,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ (1,781.20)	\$ (1,593.29)	\$ -	\$ -	
Net Total Transfers			\$ (1,781.20)	\$ (1,593.29)	\$ -	\$ -	
Ending Cash Balance			\$ -	\$ (0.00)	\$ -	\$ -	
Encumbrances							
Unencumbered Cash Balance			\$ -	\$ (0.00)	\$ -	\$ -	

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-17-512-N Hawaii Sexual Assault Kit Initiative (HI SAKI)
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17109	JM5153	03/30/17	\$ 1,581.20	16-AK-Admin
R17123	JM5593	04/17/17	\$ 200.00	16-AK-Admin
			\$ 1,781.20	

S-17-512-N Hawaii Sexual Assault Kit Initiative (HI SAKI)
JV Transfers
Fiscal Year 2018

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R18045	JM1467	10/02/17	\$ 1,087.50	16-AK-Admin
R18113	JM4089	02/13/18	\$ 200.00	16-AK-Admin
R18153	JM5390	04/16/18	\$ 125.79	16-AK-Admin
R18153	JM5390	04/16/18	\$ 180.00	16-AK-Admin
			\$ 1,593.29	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 CJ
 Name of Fund: FY 2016 Hawaii NIBRS Program
 Legal Authority: Omnibus Crime Control & Safe Street Acts of 1968, as amended

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-17-513

Intended Purpose:

The purpose of this grant is to support the capacity of Hawaii's UCR program to report incident-based crime data to the FBI's National Incident-Based Reporting System (NIBRS).

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Statistics. Grant ends 9/30/2019.

Current Program Activities/Allowable Expenses:

Support the procurement of a state NIBRS repository system and training for state and local agency staff in working with the new repository software and processes.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 480,268.00	\$ 458,566		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ 21,702.21	\$ 458,566		
Expenditures				\$ 21,702.21	\$ 458,566		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances							
Unencumbered Cash Balance				\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Federal Community Restitution
 Legal Authority: Federal Title II Mandatory Victims Restitution Act of the
Anti-Terrorism and Effective Death Penalty Act of 1996

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-912

Intended Purpose:

To support community efforts for a safe and drug free environment and to prevent further drug-related crimes from occurring.

Source of Revenues:

Federal community restitution for certain drug offenses in which there is no identifiable victim; 65% is distributed to the state entity that administers the crime victim assistance grant. CPJAD administers the crime victim assistance grant in Hawaii. It is difficult to predict the amount of restitution that will be received in future years.

Current Program Activities/Allowable Expenses:

Crime prevention efforts, including training and public awareness, to reduce drug crimes and to restore communities impacted by drug dealing and use.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Beginning Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356
Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG-100
 Name of Fund: Criminal Forfeiture Revolving Fund
 Legal Authority: §712-16(4), HRS

Contact Name: Kern Nishioka
 Phone: 586-1383
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-320-N

Intended Purpose:

To serve an an operating account for the Asset Forfeiture Program

Source of Revenues:

Property and currency forfeited under §712A (HRS)

Current Program Activities/Allowable Expenses: Expenses involved to seize, maintain, and facilitate final disposition of forfeited property; supplemental funds allowed for law enforcement use, e.g. training.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	182,408	584,723	597,120	352,774	342,790	269,997	269,997
Revenues	1,017,216	591,728	255,119	549,123	600,000	600,000	600,000
Expenditures	272,018	264,494	334,342	341,621	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Refer to Attachment A - Transfer In							
Refer to Attachment B - Transfer out	(342,883)	(314,837)	(165,123)	(217,486)			
Estimated Transfer Out					(372,793)	(300,000)	(300,000)
Net Total Transfers	(342,883)	(314,837)	(165,123)	(217,486)	(372,793)	(300,000)	(300,000)
Ending Cash Balance	584,723	597,120	352,774	342,790	269,997	269,997	269,997
Encumbrances							
Unencumbered Cash Balance	584,723	597,120	352,774	342,790	269,997	269,997	269,997

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S18-320-N Attachment B (Transfer -in)

Vendor Name	Doc Type	Dept No	Doc No	Proc Date	Amount
COUNTY OF HAWAII	VP	218150		9/25/2017	\$4,559.03
COUNTY OF HAWAII	VP	218150		9/25/2017	\$4,559.03
COUNTY OF KAUAI	VP	218150		9/25/2017	\$2,254.68
COUNTY OF KAUAI	VP	218150		9/25/2017	\$2,254.68
HONOLULU POLICE DEPARTMENT	VP	218150A		9/25/2017	\$652.71
CITY & COUNTY OF HONOLULU	VP	218150A		9/25/2017	\$652.71
COUNTY OF MAUI	VP	218203A		10/19/2017	\$542.61
CITY & COUNTY OF HONOLULU	VP	218203		10/19/2017	\$2,590.75
COUNTY OF HAWAII	VP	218203		10/19/2017	\$15,591.25
COUNTY OF HAWAII	VP	218203		10/19/2017	\$15,591.25
COUNTY OF KAUAI	VP	218203		10/19/2017	\$1,941.89
COUNTY OF KAUAI	VP	218203		10/19/2017	\$1,941.89
COUNTY OF MAUI	VP	218203		10/19/2017	\$1,717.00
HONOLULU POLICE DEPARTMENT	VP	218203		10/19/2017	\$2,590.75
COUNTY OF MAUI	JV		00CW0159	11/14/2017	-\$1,717.00
COUNTY OF MAUI	JV		00CW0159	11/14/2017	-\$542.61
CITY & COUNTY OF HONOLULU	JV		00CW0159	11/14/2017	-\$2,590.75
HONOLULU POLICE DEPARTMENT	JV		00CW0159	11/14/2017	-\$2,590.75
HONOLULU POLICE DEPARTMENT	VP	218303		12/18/2017	\$520.00
CITY & COUNTY OF HONOLULU	VP	218303		12/18/2017	\$520.00
COUNTY OF MAUI	VP	218304		12/18/2017	\$3,787.75
COUNTY OF MAUI	VP	218304		12/18/2017	\$2,613.36
COUNTY OF HAWAII	VP	218672		6/29/2018	\$33,256.25
HONOLULU POLICE DEPARTMENT	VP	218672		6/29/2018	\$29,822.25
COUNTY OF HAWAII	VP	218672		6/29/2018	\$33,256.25
COUNTY OF MAUI	VP	218672		6/29/2018	\$16,944.25
COUNTY OF MAUI	VP	218672		6/29/2018	\$16,944.25
CITY & COUNTY OF HONOLULU	VP	218672		6/29/2018	\$29,822.25

Total Attachment A	\$217,485.73
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Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG-100
 Name of Fund: Criminal Forfeiture Bond Holding Account
 Legal Authority: §§712-10(4) and (9), HRS

Contact Name: Kern Nishioka
 Phone: 586-1383
 Fund type (MOF) Trust (T)
 Appropriation Acct. No. T-905-N

Intended Purpose:

Source of Revenues: Bond monies (Cost Bond) posted by a person who has an alleged property interest in property seized for administrative forfeiture.
 This fund received no actual revenue because it operates as a trust account.

Current Program Activities/Allowable Expenses:

Disbursements from the fund include Cost Bond amounts returned, in total or in partial, to the owner and transfers of funds into either the Seized Fund Final Disposition Pending Account or the Criminal Forfeiture Revolving Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	33,200	12,500	12,500	12,500	12,533	12,533	12,533
Revenues			6,159				
Expenditures	(20,700)		(6,159)				
Transfers							
List each net transfer in/out; list each account number							
Refer to Attachment A				33			
Net Total Transfers				33			
Ending Cash Balance	12,500	12,500	12,500	12,533	12,533	12,533	12,533
Encumbrances							
Unencumbered Cash Balance	12,500	12,500	12,500	12,533	12,533	12,533	12,533

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information

T-905N

For Fiscal Year 2017

T-905-N Attachment A (Transfer in)

Bond Holding Account

T-905- Source	Src Code	Amount	Doc Type	Doc No	Proc Date
Interest	0288	\$6.12	JV	00JM6914	6/15/2018
Interest	0288	\$26.44	JV	00JM7326	6/28/2018

Total Attachment B	\$32.56
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Report on Non-General Fund Information
for Submittal to the 2019 Legislaure

Department: Attorney General
 Prog ID(s): ATG-100
 Name of Fund: Seized Funds - Final Disposition Pending Account
 Legal Authority: Chapter 712A, HRS

Contact Name: Kern Nishioka
 Phone: 586-1383
 Fund type (MOF) Trust (T)
 Appropriation Acct. No. T-906-N

Intended Purpose:
Trust account for deposits pending a forfeiture petition

Source of Revenues:
Seized funds from various law enforcement agencies

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	1,286,802	783,274	457,159	580,957	902,424	902,424	902,424
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Refer to Attachment A - Transfer In			348,593	847,784			
Refer to Attachment B - Transfer out			(224,795)	(526,317)			
Net Total Transfers	(503,528)	(326,115)	123,798	321,467	0	0	0
Ending Cash Balance	783,274	457,159	580,957	902,424	902,424	902,424	902,424
Encumbrances							
Unencumbered Cash Balance	783,274	457,159	580,957	902,424	902,424	902,424	902,424

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

T-906-N Attachment A (Transfer in)

Source	Date of Transfer	Doc Type	Doc No.	FY 2017 Amount
2014-05813 KAN	7/31/2017	TR	300444	\$2,085.92
DM 29767	8/21/2017	JV	00JT0175	-\$740.00
17-001447 KEAL	8/29/2017	TR	301080	\$24,250.00
16-042526 WARD	8/29/2017	TR	301081	\$1,300.00
17-003275 MERL	8/29/2017	TR	301081	\$4,672.00
17-001472 MAAL	8/29/2017	TR	301081	\$1,515.00
17-008481 ISHI	8/29/2017	TR	301081	\$1,722.53
17-278739 GONG	8/29/2017	TR	301101	\$4,882.00
17-278845 HE,	8/29/2017	TR	301102	\$11,002.00
	9/6/2017	JV	00JT0232	\$3,353.00
	9/20/2017	TR	301678	\$34,131.00
15-020758 CHAB	10/3/2017	TR	302002	\$2,380.00
17-297792-08 N	10/6/2017	TR	302180	\$4,424.00
17-132375-04 A	10/17/2017	TR	302402	\$124.00
17-171368 DOBS	10/17/2017	TR	302437	\$5,773.74
16-003253 FUJI	11/2/2017	TR	302867	\$1,588.00
16-035712 DUAR	11/2/2017	TR	302867	\$12,020.00
16-010179 ANTO	11/15/2017	TR	303169	\$48,814.00
17-449728 TRAN	1/3/2018	TR	304355	\$4,144.00
17-436980 DING	1/3/2018	TR	304356	\$2,187.00
17-437006 MUN,	1/3/2018	TR	304356	\$1,492.00
17-470301 SONG	1/12/2018	TR	304587	\$21,828.00
17-453244 ZHAN	1/12/2018	TR	304587	\$21,446.00
16-008667 QUEJ	1/30/2018	TR	305069	\$5,355.00
17-19705 WALLA	2/13/2018	TR	305438	\$4,500.00
17-014292 LIU,	2/13/2018	TR	305438	\$1,445.75
17-008398 KAHE	2/13/2018	TR	305438	\$1,660.00
17-312908 LIN,	2/14/2018	TR	305519	\$9,273.00
17-312957 WANG	2/14/2018	TR	305519	\$5,575.00
17-312957 WANG	2/14/2018	TR	305519	\$1,489.00
C17001448 CONW	2/14/2018	TR	305545	\$116,000.00
C17001451 CONW	2/14/2018	TR	305545	\$111.00
C16015098 EPR#	2/14/2018	TR	305545	\$1,126.00
C16015098 EPR#	2/14/2018	TR	305545	\$15,788.00
C17015141 ACOR	2/14/2018	TR	305545	\$11,470.00
C17008065 MEDE	2/14/2018	TR	305545	\$7,340.00
C16024369 MART	2/14/2018	TR	305545	\$2,000.00
C17004144 HARG	2/14/2018	TR	305545	\$3,713.48
C17004144 HITC	2/14/2018	TR	305545	\$5,846.00
18-01676 CORRE	2/28/2018	TR	305897	\$1,155.00
18-01011 PANUE	2/28/2018	TR	305897	\$4,090.00
2017-10422 CHR	3/14/2018	TR	306147	\$95.00
16-384652 FALE	3/29/2018	TR	306396	\$963.00

18-05747 AH YE	4/6/2018	TR	306691	\$3,367.00
17-04794 IZAWA	4/6/2018	TR	306691	\$5,133.00
18-02291 PALEK	4/6/2018	TR	306691	\$8,194.00
18-04377 SCHAR	4/6/2018	TR	306691	\$1,320.00
18-00683 GOODW	4/6/2018	TR	306692	\$1,211.00
18-03833 SPARR	4/6/2018	TR	306692	\$449.00
18-05748 PARRA	4/6/2018	TR	306693	\$841.00
18-06090 NAKAM	4/6/2018	TR	306693	\$2,315.00
2018-01311 POT	5/8/2018	TR	307448	\$2,395.00
18-07545 AIOLE	5/14/2018	TR	307509	\$36,160.00
18-07768 MALAN	5/14/2018	TR	307509	\$1,342.00
18-06916 KONG	5/14/2018	TR	307509	\$752.00
18-03580 KAYA	5/14/2018	TR	307509	\$112,889.59
2018-02773 KAM	5/14/2018	TR	307510	\$1,180.00
18033479-003 H	5/14/2018	TR	307511	\$6,100.00
18072881-004 P	5/14/2018	TR	307511	\$8,836.00
16-387532-003	5/14/2018	TR	307547	\$4,439.00
18-06650 TORRE	5/14/2018	TR	307548	\$3,888.00
17-13698 SYLVA	5/23/2018	TR	307875	\$85,776.00
17-14004 RODRI	5/30/2018	TR	307939	\$943.00
18-01673 CAMPB	5/31/2018	TR	308099	\$66,286.44
DM 31094	5/31/2018	JV	00JT1308	-\$139.00
18-118900 LE H	6/6/2018	TR	308255	\$1,444.00
18-09210 SHAMB	6/6/2018	TR	308313	\$3,670.00
18-09209 SHAMB	6/6/2018	TR	308313	\$6,750.00
18-09649 DENNI	6/6/2018	TR	308313	\$3,713.00
18-09437 KWOLE	6/6/2018	TR	308313	\$2,400.00
18117832-003 H	6/6/2018	TR	308318	\$6,127.00
18117886-002 U	6/6/2018	TR	308318	\$2,300.00
18-157932-004	6/6/2018	TR	308319	\$2,862.00
	6/15/2018	JV	00JM6914	\$213.56
18-09944 GUEVA	6/21/2018	TR	308550	\$3,315.00
18-10016 MOULT	6/21/2018	TR	308550	\$9,488.00
18-10032 MOULT	6/21/2018	TR	308550	\$2,000.00
	6/28/2018	JV	00JM7326	\$811.49
18-185132 HEE,	6/29/2018	TR	308889	\$7,080.00
18-185132 HEE,	6/29/2018	TR	308889	\$3,912.00
18-166189 LEDE	6/29/2018	TR	308889	\$4,770.00
	7/2/2018	JV	00JM7517	\$483.74
1809944-004 CH	7/5/2018	TR	308968	\$10,045.00
1809944-004 CH	7/5/2018	TR	308968	\$9,322.00
CREDIT	7/5/2018	TR	308968	\$10.00

Total Attachment A	\$847,784.24
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T-906-N Attachment B (Transfer out)

Source Agency	Date of Transfer	Doc Type	Dept / Doc No.	FY 2017 Amount
COUNTY OF MAUI	8/1/2017	VP	218029	1,948.03
TRANSFER - Distribution	8/1/2017	JV	00JM0320	60,672.00
TRANSFER - Distribution	1/19/2018	JV	00JM3579	128,487.45
TRANSFER - Distribution	4/24/2018	JV	00JM5615	118,204.00
YEE, BRYAN C.	5/14/2018	VP	218573	53.15
HONOLULU POLICE DEPARTMENT	5/14/2018	VP	218572	4,857.00
HONOLULU POLICE DEPARTMENT	5/15/2018	VP	218578	1,314.00
HONOLULU POLICE DEPARTMENT	5/15/2018	VP	218579	8,948.00
YEE, BRYAN C.	5/29/2018	JV	00JT1288	-53.15
TRANSFER - Dist/Ret	6/15/2018	JV	00JM6893	201,887.00

Total Attachment B	526,317.48
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Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG500
 Name of Fund: Child Support Enforcement Services
 Legal Authority: HRS-576D Child Support Enforcement Services &
 Title IV-D Social Security Act

Contact Name: Sheri Wang
 Phone: 808-692-7131
 Fund type (MOF) P
 Appropriation Acct. No. S-250N

Intended Purpose: The Child Support Enforcement Program, Title IV-D is a shared responsibility of the State and the Federal governments. The S-250N federal fund was established to account for the federal share of the agency's operating costs.

Source of Revenues: Sixty six percent (66%) of the total agency's operational expenditures by way of federal grant

Title IV-D services are provided to qualifying individuals. These services include: the establishment of paternity; the establishment and modification of child support obligations; locate services; the enforcement services; the collection and disbursement of support payment; and the maintenance of account balances.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	456,643	614,266	923,584	969,380	1,857,570	1,857,570	1,857,570
Revenues	11,915,559	11,354,369	12,230,697	13,641,683	13,000,000	13,000,000	13,000,000
Expenditures	11,757,936	11,045,051	12,184,901	12,753,493	13,000,000	13,000,000	13,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	614,266	923,584	969,380	1,857,570	1,857,570	1,857,570	1,857,570
Encumbrances	59,640	52,790	479,505	38,343			
Unencumbered Cash Balance	554,626	870,794	489,875	1,819,228	1,857,570	1,857,570	1,857,570

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG500
 Name of Fund: Child Support Enforcement Services
 Legal Authority: HRS-576D Child Support Enforcement Services &
 Title IV-D Social Security Act

Contact Name: Sheri Wang
 Phone: 808-692-7131
 Fund type (MOF) Trust (T)
 Appropriation Acct. No. T-902N

Intended Purpose: The Child Support Enforcement Program, Title IV-D is a shared responsibility of the State and the Federal governments. The trust fund was established to account for the federal incentive fund awarded to the agency based on its annual performance based on the federal fiscal year.

Source of Revenues: The federal incentive payments

Current Program Activities/Allowable Expenses: Title IV-D services are provided to qualifying individuals. These services include: the establishment of paternity; the establishment and modification of child support obligations; locate services; the enforcement services; the collection and disbursement of support payment; and the maintenance of account balances.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	16,011	37,792	274,195	489,974	724,938	678,000	677,999
Revenues	1,165,896	1,652,440	1,839,616	1,361,319	1,075,062	1,122,000	1,122,001
Expenditures	1,144,115	1,416,037	1,623,837	1,126,355	1,122,000	1,122,001	1,122,002
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	37,792	274,195	489,974	724,938	678,000	677,999	677,998
Encumbrances			438,681				
Unencumbered Cash Balance	37,792	274,195	51,293	724,938	678,000	677,999	677,998

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG500
 Name of Fund: Child Support Enforcement Services
 Legal Authority: HRS-576D Child Support Enforcement Services &
 Title IV-D Social Security Act

Contact Name: Sheri Wang
 Phone: 808-692-7131
 Fund type (MOF) N/A
 Appropriation Acct. No. T-999N

Intended Purpose: The Child Support Enforcement Program, Title IV-D is a shared responsibility of the State and the Federal governments. The fund represents child support collections before disbursements.

Source of Revenues: Collections from non-custodial parents, which will be disbursed to custodial parents

Current Program Activities/Allowable Expenses: Title IV-D services are provided to qualifying individuals. These services include: the establishment of paternity; the establishment and modification of child support obligations; locate services; the enforcement services; the collection and disbursement of support payment; and the maintenance of account balances.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	17,917,455	20,852,692	22,575,274	22,042,809	22,055,442	22,455,442	22,855,442
Revenues	126,292,739	127,918,136	125,209,302	122,411,873	122,400,000	122,400,000	122,400,000
Expenditures	123,357,502	126,195,554	125,741,768	122,399,241	122,000,000	122,000,000	122,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	20,852,692	22,575,274	22,042,809	22,055,442	22,455,442	22,855,442	23,255,442
Encumbrances							
Unencumbered Cash Balance	20,852,692	22,575,274	22,042,809	22,055,442	22,455,442	22,855,442	23,255,442

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2015
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-16-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems.

Activities planned under NCHIP/CITA are to upgrade livescans at law enforcement agencies to provide them the capability of capturing upper and lower palm prints for submittal to the FBI; to provide assistance to partner agencies to address delinquent dispositions in CJIS-Hawaii; to supplement the upgrade of the statewide Automated Fingerprint Identification System (AFIS); to continue work on researching offenders who are not in the FBI file; to have a project manager assess and coordinate resources for all outstanding grant-funded projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,067,197	1,054,646	438,401	380,502	110,502	
Beginning Cash Balance	0	0	0	5,347	4,901	0	0
Revenues		12,550	621,592	57,453	265,099	110,502	
Expenditures		12,550	616,245	57,899	270,000	110,502	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	5,347	4,901	0	0	0
Encumbrances				34,382	32,506		
Unencumbered Cash Balance	0	0	5,347	(29,481)	(32,506)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2016
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-17-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems. Activities planned under NCHIP/CITA are to upgrade livescans at law enforcement agencies to provide them the capability of capturing upper and lower palm prints for submittal to the FBI; to provide assistance to partner agencies and staff to address delinquent dispositions in CJIS-Hawaii; to supplement the upgrade of the statewide Automated Fingerprint Identification System (AFIS); to provide training to those who capture fingerprints in an effort to increase the quality of the captured prints; to continue work on researching offenders who are not in the FBI file; to have a project manager assess and coordinate resources for all outstanding grant-funded projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	600,000	425,607	269,312	79,312	
Beginning Cash Balance	0	0	0	10,537	10,537	0	0
Revenues			184,930	156,295	179,463	79,312	
Expenditures			174,393	156,295	190,000	79,312	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	10,537	10,537	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	10,537	10,537	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: SMART Grant 2016
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-17-244-N

Intended Purpose:

The Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) Office support for Adam Walsh Implementation Grant Program assists state, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement the Sex Offender Registration and Notification Act (SORNA) under the Adam Walsh Act (AWA).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned for are continued funding of positions assigned to the Sex Offender Registration Unit, Criminal Justice Division and Investigations Division; to provide statewide training on the Sex Offender Registration program for all departments, agencies, and personnel responsible for registration and notifications; to develop an online application that will allow registered offenders to update their registration information before appearing for their in-person verification.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			400,000	189,441	113,702		
Beginning Cash Balance		0	0	15,359	400	0	0
Revenues			225,918	60,780	13,302	0	0
Expenditures			210,559	75,739	13,702	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	15,359	400	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	15,359	400	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NARIP 2016
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-17-510-N

Intended Purpose:

The National Instant Criminal Background Check System (NICS) Act Record Improvement Program (NARIP) furthers the U.S. Department of Justice's mission by improving the records available to NICS, which is accomplished by helping eligible states and tribes improve completeness, automation, and transmittal of records to state and federal systems.

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned are to fund a NARIP Program Manager to convene a statewide NICS Record Improvement Task Force to develop a NICS Record Improvement Plan and to implement projects identified therein; to enhance CJIS-Hawaii for improved processes and efficiency between systems with an emphasis on reporting firearms denial information to the FBI; to replace end-of-life system hardware components, network equipment, and support by vendors to maintain 24x7 services; to assist the county police departments in enhancing their Records Management Systems to enable exchange of firearms denial information with CJIS-Hawaii with the goal of reporting to NICS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			400,000	799,917	743,077	383,077	
Beginning Cash Balance		0	0	0	9,817	9,817	(0)
Revenues			18,159	66,657	360,000	373,260	
Expenditures			18,159	56,840	360,000	383,077	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	9,817	9,817	(0)	(0)
Encumbrances			56,840				
Unencumbered Cash Balance	0	0	(56,840)	9,817	9,817	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2017
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-18-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems. Activities planned under NCHIP/CITA are to upgrade livescans at law enforcement agencies to provide them the capability of capturing upper and lower palm prints for submittal to the FBI; to provide assistance to partner agencies and staff to address delinquent dispositions in CJIS-Hawaii; to supplement the upgrade of the statewide Automated Fingerprint Identification System (AFIS); to provide training to those who capture fingerprints in an effort to increase the quality of the captured prints; to continue work on researching offenders who are not in the FBI file; to have a project manager assess and coordinate resources for all outstanding grant-funded projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	610,300	599,707	299,707	
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				10,593	300,000	299,707	
Expenditures				10,593	300,000	299,707	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: SMART Grant 2017
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-18-244-N

Intended Purpose:

The Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) Office support for Adam Walsh Implementation Grant Program assists state, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement the Sex Offender Registration and Notification Act (SORNA) under the Adam Walsh Act (AWA).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned for are continued funding of positions assigned to the Sex Offender Registration Unit, Criminal Justice Division and Investigations Division; to provide statewide training on the Sex Offender Registration program for all departments, agencies, and personnel responsible for registration and notifications; to develop an online application that will allow registered offenders to update their registration information before appearing for their in-person verification.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				400,000	279,667		
Beginning Cash Balance		0	0	0	(1,174)	0	0
Revenues				119,159	280,841		
Expenditures				120,333	279,667		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	(1,174)	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	(1,174)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2018
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-19-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems. Activities planned under NCHIP/CITA are to upgrade livescans at law enforcement agencies to provide them the capability of capturing upper and lower palm prints for submittal to the FBI; to provide assistance to partner agencies and staff to address delinquent dispositions in CJIS-Hawaii; to supplement the upgrade of the statewide Automated Fingerprint Identification System (AFIS); to provide training to those who capture fingerprints in an effort to increase the quality of the captured prints; to continue work on researching offenders who are not in the FBI file; to have a project manager assess and coordinate resources for all outstanding grant-funded projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	1,412,300	962,300	662,300
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues					100,000	250,000	51,000
Expenditures					100,000	250,000	51,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: SMART Grant 2018
 Legal Authority: N/A

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-19-244-N

Intended Purpose:

The Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) Office support for Adam Walsh Implementation Grant Program assists state, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement the Sex Offender Registration and Notification Act (SORNA) under the Adam Walsh Act (AWA).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned for are continued funding of positions assigned to the Sex Offender Registration Unit, Criminal Justice Division and Investigations Division; to provide statewide training on the Sex Offender Registration program for all departments, agencies, and personnel responsible for registration and notifications; to develop an online application that will allow registered offenders to update their registration information before appearing for their in-person verification.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					301,000	226,000	126,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues					75,000	100,000	126,000
Expenditures					75,000	100,000	126,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: Criminal History Record Improvement Revolving Fund
 Legal Authority: Act 7, Special Session 1995, HRS 846-10.6

Contact Name: Philip Higdon
 Phone: 587-3341
 Fund type (MOF): Revolving - W
 Appropriation Acct. No.: S-321-N

Intended Purpose:

Act 7, Special Session 1995, established the Criminal History Record Improvement Revolving Fund into which fees for services related to criminal history record information would be deposited.

Source of Revenues:

The fees are being collected by the Hawaii Criminal Justice Data Center (HCJDC) and other state and county criminal justice agencies, which disseminate information from the Criminal Justice Information System-Hawaii (CJIS-Hawaii).

*** NOTE: Revenues and expenditures include FBI and NCIC fees that just pass through this fund. ***

Current Program Activities/Allowable Expenses:

The major activities that are undertaken in this program are the collection of fees for services, which include name-based and fingerprint-based searches, Public Access printouts, internet (eCrim) printouts, and expugment application processing; processing of fingerprints to the Federal Bureau of Investigation for authorized programs and services; data quality research on delinquent and missing dispositions; continuing development and enhancement of CJIS-Hawaii; support for the Hawaii Integrated Justice Information Sharing (HIJIS) program; development of a statewide Rap Back program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY16, expenditures increased due to the shortfall in general funds. Funds from this appropriation had to cover essential expenditures for our mission critical systems.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,190,043	3,338,021	3,411,841	3,446,804	3,446,804	3,446,804	3,446,804
Beginning Cash Balance	1,497,466	1,698,910	1,612,893	1,503,122	2,113,195	2,024,195	1,935,195
Revenues	2,255,551	2,411,676	2,499,431	3,082,898	2,600,000	2,600,000	2,600,000
Expenditures	2,054,107	2,497,693	2,609,202	2,472,824	2,689,000	2,689,000	2,689,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,698,910	1,612,893	1,503,122	2,113,195	2,024,195	1,935,195	1,846,195
Encumbrances		13,132		1,102			
Unencumbered Cash Balance	1,698,910	1,599,761	1,503,122	2,112,093	2,024,195	1,935,195	1,846,195

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG100
 Name of Fund: HI Internet Crimes Against Children Task Force
 Legal Authority: 42 USC 17611-17617 (OJJDP-ICAC)

Contact Name: Justin Fukumoto
 Phone: 586-1533
 Fund type (MOF) Other Federal Fund - P
 Appropriation Acct. No. S-223N

Intended Purpose:

To increase the effectiveness and efficiency of investigations and prosecutions of Internet Crimes Against Children (ICAC) and to increase public awareness and prevention of ICAC offenses.

Source of Revenues: Federal grants

Current Program Activities/Allowable Expenses: Activities and expenses related to the enforcement of ICAC

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	255,311	250,130	255,460	255,460	270,089	270,089	270,089
Beginning Cash Balance	29,366	45,576	18,065	44,067	16,386	16,386	16,386
Revenues	313,060	39,154	254,721	219,574	270,089	270,089	270,089
Expenditures	296,850	66,665	228,719	247,255	270,089	270,089	270,089
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	45,576	18,065	44,067	16,386	16,386	16,386	16,386
Encumbrances							
Unencumbered Cash Balance	45,576	18,065	44,067	16,386	16,386	16,386	16,386

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: ATG
 Prog ID(s): ATG 100AB
 Name of Fund: Medicaid Investigation Recovery Fund
 Legal Authority: HRS 28-91-5

Contact Name: Thu Nguyen
 Phone: 586-1058
 Fund type (MOF): Special fund (B)
 Appropriation Acct. No.: S-302-N

Intended Purpose: Special Fund into which all funds from Medicaid Investigation and Medicaid Fraud Settlements will be deposited
 Money will be used to support a portion of operating expenses of Medicaid Fraud Control Unit.

Source of Revenues: Settlements, Recovery costs from investigations.

Current Program Activities/Allowable Expenses: To cover 25% of payroll & all operating cost of the Medicaid Fraud Control Unit.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	567,318	593,740	594,155	666,165	622,710		
Beginning Cash Balance	1,430,991	1,365,891	1,243,777	832,024	691,518	271,518	(148,482)
Revenues	301,395	371,751	83,585	416,758	100,000	100,000	
Expenditures	366,495	496,707	495,338	557,264	520,000	520,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0086, 7/14/15 (from S-14-302 to S-16-302)		192					
Net Total Transfers	0	192	0	0	0	0	0
Ending Cash Balance	1,365,891	1,241,127	832,024	691,518	271,518	(148,482)	(148,482)
Encumbrances							
Unencumbered Cash Balance	1,365,891	1,241,127	832,024	691,518	271,518	(148,482)	(148,482)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: DNA Registry Special Fund
 Legal Authority: HRS §706-603

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-305N

Intended Purpose:

Pursuant to HRS §706-603, moneys in the DNA registry special fund shall be used for DNA collection, DNA testing, and related costs of recording, preserving, and disseminating DNA information pursuant to chapter 844D.

Source of Revenues:

Pursuant to HRD §706-603, every defendant convicted of a felony offense shall be ordered to pay a monetary assessment of \$500 or the actual cost of the DNA analysis, whichever is less. The court may reduce the monetary assessment if the court finds, based on evidence presented by the defendant and not rebutted by the State, that the defendant is not and will not be able to pay the full monetary

Current Program Activities/Allowable Expenses:

Activities and expenses related to DNA collection, DNA testing, and recording, preserving, and disseminating DNA information pursuant to chapter 844D.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Beginning Cash Balance	712	30,206	27,607	9,838	650,156	289,413	279,413
Revenues	35,194	32,362	33,446	33,657	30,000	30,000	30,000
Expenditures	5,700	34,961	51,215	15,538	40,000	40,000	40,000
Transfers							
List each by JV# and date							
JV JS0282 - 7-30-2018					(350,743)		
(S-308 - Sexual Assault Response)							
Net Total Transfers					(350,743)		
Ending Cash Balance	30,206	27,607	9,838	27,957	289,413	279,413	269,413
Encumbrances				(622,199)			
Unencumbered Cash Balance	30,206	27,607	9,838	650,156	289,413	279,413	269,413

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of the Attorney General
 Prog ID(s): ATG100
 Name of Fund: Tobacco Enforcement Special Fund
 Legal Authority: §28-15 Haw. Rev. Stat.

Contact Name: Earl R. Hoke, Jr.
 Phone: (808) 586-1289
 Fund type (MOF) Special Fund (B)
 Appropriation Acct. No. S-307N

Intended Purpose: Administer, operate, monitor, and ensure compliance with and enforcement of : Cigarette Tax Stamping program as defined in Chapter 245, Haw. Rev. Stat. and the Master Settlement Agreement Chapter 675, Haw.Rev. Stat., Chapter 486P, Haw. Rev. Stat., and any other statutes or programs related to the fund.

Source of Revenues: Tobacco Settlement Money in accord with the provisions of §328L-2(a) and Tax Stamp Fees in accord with §245-26, Haw. Rev. Stat.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,247,526	1,249,077	1,316,204	1,364,642	1,572,204	1,572,204	1,572,204
Beginning Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Revenues	2,163,716	2,200,432	2,188,819	2,099,769	2,000,000	2,000,000	2,000,000
Expenditures	1,243,795	1,255,046	1,417,321	1,306,720	1,300,000	1,300,000	1,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV - Est transfers per Act52, SLH2004					(700,000)	(700,000)	(700,000)
JV - transfers per Act52,SLH2004	(919,921)	(945,386)	(720,780)	(793,049)			
Net Total Transfers	(919,921)	(945,386)	(720,780)	(793,049)	(700,000)	(700,000)	(700,000)
Ending Cash Balance	500,000	500,000	550,718	500,000	500,000	500,000	500,000
Encumbrances			50,718				
Unencumbered Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Notary Public Revolving Fund
 Legal Authority: HRS 456-9.5

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF): Revolving - W
 Appropriation Acct. No.: S-325 N

Intended Purpose:

The funds are used for personnel costs, the acquisition of equipment, operating and administrative costs, travel, and training to administer the notary public program.

Source of Revenues:

Fees charged to Notaries

Current Program Activities/Allowable Expenses:

There are approximately 7,000 Notaries currently regulated by the Notary Public Program. The program responds to countless inquiries from consumers, applicants, notaries, and those who work with notaries - banks, mortgage companies, lawyers, etc. The program also administers notary examinations, including flying to neighbor islands; processing notary commissions and renewals; investigates complaints about notaries; and maintains notary record books. The Notary Public Program is also in the process of drafting rules to facilitate a more thorough, comprehensive regulatory program for notaries; and seeks to computerize its thousands of records that are now manually kept on index cards.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	172,837	172,837	172,857	178,223	178,223	178,223	178,223
Beginning Cash Balance	2,579	7,961	63,271	174,660	252,993	252,993	252,993
Revenues	116,808	201,557	267,112	255,646	150,000	150,000	150,000
Expenditures	111,426	146,247	155,723	177,313	150,000	150,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,961	63,271	174,660	252,993	252,993	252,993	252,993
Encumbrances							
Unencumbered Cash Balance	7,961	63,271	174,660	252,993	252,993	252,993	252,993

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Solicitation of Funds for Charitable Purposes Speical Fund
 Legal Authority: Secton 467B-15, Hawaii Revised Statutes

Contact Name: Gary Sukanuma
 Phone: 586-1479
 Fund type (MOF) Special-B
 Appropriation Acct. No. S-326N

Intended Purpose The enforcement of the registration and annual reporting requirements for charitable organizations and professional fundraisers under chapter 467B, HRS, the investigation of unfair and deceptive charitable solicitation practices, and the enforcement thereof; and the development and maintenance of Internet registration, exemption processing, and reporting systems that provide for the dissemination of information to the public, and personnel position necessary to accomplish the foregoing objectives.

Source of Revenues: Registration fees, fines and penalties, attorney's fees, and cost of investigation collected under chapter 467B.

Current Program Activities/Allowable Expenses: Activities and expenses related to the enforcement of chapter 467B, Hawaii Revised Statutes

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	651,991	1,137,287	1,262,334	1,756,339	1,594,777	1,594,777	1,594,777
Beginning Cash Balance	1,292,376	1,392,110	1,813,870	1,697,322	1,876,794	1,361,794	846,794
Revenues	965,027	1,166,043	1,227,756	1,456,621	985,000	985,000	985,000
Expenditures	865,293	744,283	1,344,304	1,277,149	1,500,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,392,110	1,813,870	1,697,322	1,876,794	1,361,794	846,794	331,794
Encumbrances	50,474	126,201	49,097	141,304			
Unencumbered Cash Balance	1,341,636	1,687,669	1,648,225	1,735,490	1,361,794	846,794	331,794

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG 100AB
 Name of Fund: Medicaid Fraud Control Unit Grant - Legal Services
 Legal Authority: P.L. 95-142, 42 CFR 1007 et.seq.

Contact Name: Thu Nguyen
 Phone: 586-1058
 Fund type (MOF): Other Federal fund (P)
 Appropriation Acct. No.: S-503-N

Intended Purpose: The Federal Grant Program was established under Title XIX of the Social Security Act to strengthen the capability of government to detect, prosecute and punish fraudulent activities and patient abuse under the Medicaid Program.

Source of Revenues: Federal Grant from U.S. Department of Health & Human Services

Current Program Activities/Allowable Expenses: The MFCU currently investigates and prosecutes Medicaid fraud against the Medicaid Program. The Unit, also investigates and prosecutes cases of patient abuse. (75% of operating expenses)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,190,468	1,340,868	1,612,712	1,640,720	1,765,168	1,765,168	1,765,168
Beginning Cash Balance	0	542		0	0	0	0
Revenues	988,282	1,039,257	1,281,912	1,624,471	1,700,000	1,700,000	1,700,000
Expenditures	987,740	1,038,669	1,281,912	1,624,471	1,700,000	1,700,000	1,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0086, 7/14/15 (from S-14-302 to S-16-302)							
		0					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	542	1,130	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	542	1,130	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Litigation Settlement Clearance Account
 Legal Authority: Comptroller's Approval

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-901N

Intended Purpose:

This account was established in 1985 to serve as a clearing account to facilitate the holding and timely disbursement of funds for settlements in litigation cases involving the State and other co-defendants.

Source of Revenues:

Settlements for the State and in certain cases, the co-defendants may prefer to provide the State with funds that are deposited in this account to be used to settle the case.

Current Program Activities/Allowable Expenses:

Settlements and/or intended settlement amounts provided by co-defendants.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	618,000	618,000	618,000	618,000	618,000	618,000	318,000
Beginning Cash Balance	118,925	119,432	119,553	119,553	119,868	119,968	120,068
Revenues	507	121	0	315	100	100	100
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	119,432	119,553	119,553	119,868	119,968	120,068	120,168
Encumbrances							
Unencumbered Cash Balance	119,432	119,553	119,553	119,868	119,968	120,068	120,168

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Antitrust Trust fund
 Legal Authority: HRS §28-13

Contact Name: Rodney I. Kimura
 Phone: 586-1180
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-908N

Intended Purpose:

To fund expenditures relating to the enforcement of the antitrust laws, including but not limited to expenditures for training, equipment purchases, educational resources, and facilitating participation in antitrust lawsuits and investigations initiated by other states.

Source of Revenues:

Ten percent of any antitrust judgment or settlement received by the State except where the deposit is inconsistent with the court order or settlement agreement relating to the amount.

Current Program Activities/Allowable Expenses:

See intended purpose.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	161,153	161,153	72,504				
Beginning Cash Balance	51,602	48,035	47,544	385,309	246,970	233,518	233,518
Revenues	212	49	339,013	1,021,466	0	0	0
Expenditures	3,779	354	1,248	5,497	13,452	0	0
Transfers							
List each by JV# and date							
JV JM2733		(186)					
JV (9 Various JVs)				(1,154,308)			
Net Total Transfers	0	(186)	0	(1,154,308)	0	0	0
Ending Cash Balance	48,035	47,544	385,309	246,970	233,518	233,518	233,518
Encumbrances							
Unencumbered Cash Balance	48,035	47,544	385,309	246,970	233,518	233,518	233,518

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Litigation Deposits Trust Fund Account
 Legal Authority: HRS §28-16

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-909N

Intended Purpose:

To account for moneys received through any civil action in which the State is a party where the settlement amount is \$100,000 or higher.

Source of Revenues:

Litigation settlements

Current Program Activities/Allowable Expenses:

There are no settlements pending.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,131,091	1,286,257	4,736,169	3,063,528	2,033,938	1,733,945	1,433,952
Revenues	8,667,012	6,604,494	25,098	430,090	7	7	7
Expenditures	1,378,311	2,754,582	1,697,739	191,188	0	0	0
Transfers							
List each by JV# and date							
JV JM3471 dtd 02-04-15 (DOE)	(565,750)						
JV JM5094 dtd 04-28-15 (B&F)	(12,567,785)						
(3 JVs) various dates (to DLNR)		(400,000)					
JV JT1158 dtd 04-27-18 (B&F)				(1,268,492)			
Net Total Transfers	(13,133,535)	(400,000)	0	(1,268,492)	(300,000)	(300,000)	(300,000)
Ending Cash Balance	1,286,257	4,736,169	3,063,528	2,033,938	1,733,945	1,433,952	1,133,959
Encumbrances							
Unencumbered Cash Balance	1,286,257	4,736,169	3,063,528	2,033,938	1,733,945	1,433,952	1,133,959

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: National Mortgage Settlement
 Legal Authority: USDC Case 1:12-cv-00361-RMC

Contact Name: James C. Paige
 Phone: 586-1194
 Fund type (MOF) Trust (T)
 Appropriation Acct. No. T-13-906

Intended Purpose Attorney General's Foreclosure Assistance Program

Source of Revenues: 2012 Federal Court Consent Judgment

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	1,066,320	411,285	101,900	99,501	65,364	55,514	45,654
Revenues	0	4,365	914	510	150	140	130
Expenditures	655,035	313,750	3,313	34,647	10,000	10,000	10,000
Transfers	0	0	0	0	0	0	0
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	411,285	101,900	99,501	65,364	55,514	45,654	35,784
Encumbrances							
Unencumbered Cash Balance	411,285	101,900	99,501	65,364	55,514	45,654	35,784

Additional Information:

Amount Req. by Bond Covenants	0	0	0	0	0	0	0
Amount from Bond Proceeds	0	0	0	0	0	0	0
Amount Held in CODs, Escrow Accounts, or Other Investments	0	0	0	0	0	0	0

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Business, Economic Development and Tourism</u>	Contact Name:	<u>Wayne Thom/Mark Ritchie</u>
Prog ID(s):	<u>BED 100 / SM</u>	Phone:	<u>(808) 587-2757</u>
Name of Fund:	<u>Hawaii Community-Based Economic Development Revolving Fund</u>	Fund type (MOF):	<u>W-Revolving</u>
Legal Authority:	<u>Chapter 210D, HRS</u>	Appropriation Acct. No.:	<u>S-344-B</u>

Intended Purpose: To provide training and capacity-building opportunities, and invest in community economic development projects that result in measurable economic impact for some of Hawaii's most socially and economically challenged communities.

Source of Revenue: Loan principal, loan interest, interest from investment pool, fees from workshops and conferences, and other program related activities.

Current Program Activities/Allowable Expenses: Low interest loans, workshops and conferences that provide capacity-building training and technical assistance.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	721,915	721,915	721,915	721,915	721,915	721,915	721,915
Beginning Cash Balance	203,907	158,433	111,085	115,310	124,954	129,954	134,954
Revenues	7,325	14,077	5,667	19,480	15,000	15,000	15,000
Expenditures	72,969	61,425	36,442	9,836	10,000	10,000	1,000
Transfers	20,000		35,000				
List each net transfer in/out/ or project JV#:	JM4664		JM7644				
Net Total Transfers	20,000	0	35,000	0	0	0	0
Ending Cash Balance	158,263	111,085	115,310	124,954	129,954	134,954	148,954
Encumbrances	70,000	10,000					
Unencumbered Cash Balance	88,263	101,085	115,310	124,954	129,954	134,954	148,954

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Expenditures:

2015 - \$72,969 in loans and \$90,00 in Technical Assistance/Grants (CBED Accelerator)
 2016 - \$25,702 in loans and \$0 in Technical Assistance/Grants
 2017 - \$72,969 in loans and \$0 in Technical Assistance/Grants
 Est. 2018 - \$26,000 in loans and \$0 in Technical Assistance/Grants
 Est. 2019 - \$80,000 in loans and \$8,000 in Technical Assistance/Grants
 Est. 2020 - \$80,000 in loans and \$8,000 in Technical Assistance/Grants

**Transfers: Assumption of \$150,000 DBEDT budget transfer.

Notes: The CBED Revolving Fund can make loans, grants and provide technical assistance to small businesses and non-profits. In the CBED Advisory Council meeting in February 2017, Council members voted to recommend allocation of 90% of CBED Revolving Funds to loans that assist businesses in Hawaii's most socially and economically challenged regions and demographic groups. By stimulating business activity and creating jobs, the CBED Program improves the economic environment and living standards of some of Hawaii's most vulnerable communities, particularly those in rural areas of the State.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 100/SM
 Name of Fund: State Disaster Revolving Fund
 Legal Authority: Part III, Chapter 209, HRS

Contact Name: Mark J. Ritchie/Wayne Thom
 Phone: 587-2785/587-2757
 Fund type (MOF) Revolving Fund (W)
 Appropriation Acct. No. S-353-B

Intended Purpose:

To assist businesses and individuals who suffer damages in a state-declared disaster.

Source of Revenues:

Loan repayments(principal and interest) and interest earned on TCD's and/or State Investment Pool.

Current Program Activities/Allowable Expenses:

None.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,100,000	1,100,000	1,100,000	1,100,000	721,915	721,915	721,915
Beginning Cash Balance	275,940	276,156	277,478	279,511	280,615	285,615	290,615
Revenues	231	1,325	2,043	1,147	15,000	15,000	15,000
Expenditures	15	3	10	43	10,000	10,000	1,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	276,156	277,478	279,511	280,615	285,615	290,615	304,615
Encumbrances							
Unencumbered Cash Balance	276,156	277,478	279,511	280,615	285,615	290,615	304,615

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED100/SM
 Name of Fund: State Trade and Export Promotion
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-512-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Cooperative Agreement No. SBAHQ-15-IT-0024

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		750,000	420,762	33,914	721,915	721,915	721,915
Beginning Cash Balance	0	0	46,262	29,427	0	0	0
Revenues		375,500	370,013		0	0	0
Expenditures		329,238	386,849	29,427	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	46,262	29,426	0	0	0	0
Encumbrances		101,850					
Unencumbered Cash Balance	0	(55,588)	29,426	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED100/SM
 Name of Fund: State Trade Expansion Program
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-515-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Cooperative Agreement No. SBAHQ-16-IT-0033

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			700,000	360,690	721,915	721,915	721,915
Beginning Cash Balance		0	0	18,409	0	0	0
Revenues			357,719	320,426	0	0	0
Expenditures			339,310	338,835	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	18,409	0	0	0	0
Encumbrances			65,000				
Unencumbered Cash Balance	0	0	(46,591)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED100/SM
 Name of Fund: State Trade Expansion Program
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF): P
 Appropriation Acct. No.: S-18-519-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Grant Agreement No. SBAHQ-17-IT-0013

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				700,000	721,915	721,915	721,915
Beginning Cash Balance	0	0	0	0	197,475	32,475	0
Revenues				329,409	15,000	0	0
Expenditures				131,934	180,000	32,475	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	197,475	32,475	0	0
Encumbrances				110,999			
Unencumbered Cash Balance	0	0	0	86,476	32,475	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DBEDT
 Prog ID(s): BED105/CI
 Name of Fund: Creative Industries Division Special Fund
 Legal Authority: A49/SL17

Contact Name: Georja Skinner
 Phone: 586-2364
 Fund type (MOF) B
 Appropriation Acct. No. S-309-B

Intended Purpose To support repairs and maintenance of the Film Studio

Source of Revenues: Transfer from the Hawaii Tourism Authority

Current Program Activities/Allowable Expenses: Funds used for routine repairs and maintenance of the film studio.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	30,000	30,000	30,000			
Beginning Cash Balance	0	0	0	7,680	14,320	14,320	14,320
Revenues	0	0	0				
Expenditures	0	30,000	22,320	23,360			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
HTA Transfers	0	30,000	30,000	30,000			
Net Total Transfers	0	30,000	30,000	30,000	0	0	0
Ending Cash Balance	0	0	7,680	14,320	14,320	14,320	14,320
Encumbrances	0	0	7,680	14,000			
Unencumbered Cash Balance	0	0	0	320	14,320	14,320	14,320

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DBEDT
 Prog ID(s): BED105CI
 Name of Fund: Technical Assistance for Entrepreneurs
 Legal Authority: Federal

Contact Name: David Nada
 Phone: 587-2754
 Fund type (MOF): P
 Appropriation Acct. No.: S-511-B

Intended Purpose : To support the creative lab program for the culture and the arts

Source of Revenues: Federal grant

Current Program Activities/Allowable Expenses: Support creative lab program across the State

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	400,000	400,000	400,000	196,297			
Beginning Cash Balance		0	1,535	13,071	69,460	69,460	69,460
Revenues							
Expenditures	28,241	76,720	61,242	164,337			
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Federal Grant	28,241	78,255	72,778	220,726			
Net Total Transfers	28,241	78,255	72,778	220,726	0	0	0
Ending Cash Balance	0	1,535	13,071	69,460	69,460	69,460	69,460
Encumbrances			37,500	69,460			
Unencumbered Cash Balance	0	1,535	(24,429)	(0)	69,460	69,460	69,460

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DBEDT
 Prog ID(s): BED105/CI
 Name of Fund: Creative Industries Division
 Legal Authority A53/SL18

Contact Name: Georja Skinner
 Phone: 586-2364
 Fund type (MOF) P
 Appropriation Acct. No. S-520-B

Intended Purpose: Federal Grant Never Realized.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	200,000			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0			
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED107/BA
 Name of Fund: Foreign-Trade Zone Special Fund (FTZSF)
 Legal Authority: HRS Section 212-9

Contact Name: Tsurumi Hamasu
 Phone: 587-5373
 Fund type (MOF): B
 Appropriation Acct. No.: S-302-B

Intended Purpose: To encourage manufacturing and value-added activities in Hawaii, increase the export competitiveness of Hawaii companies incubate and support small businesses engaged in importing and exporting activities, and attract new investment and job opportunities by operating a statewide Foreign-Trade Zone (FTZ) program that reduces the barriers and costs associated with international trade

Source of Revenues: The Foreign-Trade Zone (FTZ) obtains all its revenue by charging FTZ users for its services, use of facilities, and equipment. The FTZ program is currently self-sufficient and no general funds are required to run the program.

Current Program Activities/Allowable Expenses: Types of expenditures in accordance with Chapter 212-9, HRS include personnel costs, FTZ office equipment and supplies, facility maintenance and repairs, capital improvement, security costs, landscaping costs, etc.

Purpose of Proposed Ceiling Increase (if applicable): The requested increase is to accommodate the projected increase in collective bargaining and benefits, as well as anticipated increases in operating costs as the FTZ's new International Trade Resource Center wing is opened.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,066,145	2,127,755	2,156,516	2,278,556	2,278,556	2,278,556	2,278,556
Beginning Cash Balance	1,106,285	996,329	1,130,468	1,419,762	1,479,899	1,531,806	1,575,157
Revenues	1,675,189	2,060,343	2,211,565	2,173,256	2,330,463	2,321,907	2,429,000
Expenditures	1,777,830	1,921,388	1,914,968	2,113,119	2,278,556	2,278,556	2,278,556
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(7,315)	(4,816)	(7,303)	0	0	0	0
Ending Cash Balance	996,329	1,130,468	1,419,762	1,479,899	1,531,806	1,575,157	1,725,601
Encumbrances	267,151	315,159	310,540	264,538			
Unencumbered Cash Balance	729,178	815,309	1,109,222	1,215,361	1,531,806	1,575,157	1,725,601

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

FY 2015	JV53, 9/30/14	2737.32	FY 2016	JV67 7/22/2015	1,493.00
	JV112, 1/28/15	2737.32		JV256 10/15/2015	557.96
	JV182, 4/20/15	1839.88		JV434 1/5/2016	557.96
	4th qtr posted in F16 1st qtr			JV655 4/14/2016	2,206.92
		7,314.52		JV 887 6/30/2016	2,206.92
					7,022.76
					Posted in 2016
FY 2017	JV 372 10/11/2016	1,693.46	FY2018		
	JV 709 1/13/2017	1,706.96		JV66_JT0340 9-30-'	1,279.05
	JV 1149 4/21/2017	1,279.05		JV129_JT0746 01-1	1,771.32
	JV 1416 6/30/2017	2,623.65		JV182_JT1075 04-1	1,831.10
		7,303.12		JV239_JT1459 06-3	1,107.91
					5,989.38

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 113 TO
 Name of Fund: Tourism Special Fund
 Legal Authority: HRS 201B-11

Contact Name: M. Togashi
 Phone: 973-2267
 Fund type (MOF) B - Special
 Appropriation Acct. No. S-348-B / S-398-B

Intended Purpose:

The primary purpose of the Tourism Special Fund is to provide the Hawai'i Tourism Authority with funds to implement the purposes of Chapter 201B, HRS. Program objectives of HTA include: To set tourism policy and direction; develop and implement tourism strategic and brand management plans; manage programs and activities to sustain a healthy tourism economy, including maintaining sufficient air and cruise access, and the global promotion of leisure and business travel; and coordinate tourism-related research, planning, promotional and outreach activities.

Source of Revenues:

HRS 237D provides that beginning July 1, 2013, \$82M of Transient Accommodations Tax shall be allocated to the fund each fiscal year.

Current Program Activities/Allowable Expenses:

Please see program objectives above. Funds will be expended to support tourism promotion, marketing, and development; Hawaii Convention Center marketing, research & statistics; access; branding experiences; Hawaiian culture; natural resources; sports; tourism communication, safety & security; and career development.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

FY 2018's anticipated expenditures include a carryover of unspent funds from FY 2017's budget.

Note:

Unencumbered cash represents funds primarily earmarked for HTA long-term obligations or commitments allocated by the HTA Board, including retirement and non-pension postemployment liabilities, convention center sales activities and other long-term commitments.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	87,152,066	87,251,611	87,334,815	88,515,000	87,446,365	87,446,365	87,446,365
Beginning Cash Balance	50,135,191	66,885,859	64,485,700	70,322,427	71,968,017	63,532,868	63,532,868
Revenues	82,367,645	82,238,695	82,195,175	82,199,023	79,000,000	79,000,000	79,000,000
Expenditures	65,616,977	84,638,854	76,358,448	80,553,433	87,435,149	79,000,000	79,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	66,885,859	64,485,700	70,322,427	71,968,017	63,532,868	63,532,868	63,532,868
Encumbrances	42,995,454	41,918,748	44,294,863	46,055,367	46,100,000	46,100,000	46,100,000
Unencumbered Cash Balance	23,890,405	22,566,952	26,027,564	25,912,650	17,432,868	17,432,868	17,432,868

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 113 TO
 Name of Fund: Convention Center Enterprise Special Fund
 Legal Authority: HRS 201B-11

Contact Name: M. Togashi
 Phone: 973-2267
 Fund type (MOF): B - Special
 Appropriation Acct. No.: S-361-B

Intended Purpose:

Moneys in the fund shall be used by the Hawaii Tourism Authority for the payment of debt service and the operation, maintenance, repair, improvement and marketing of the Hawai'i Convention Center.

Source of Revenues:

HRS 237D, \$16.5 in TAT is allocated to the Convention Center Enterprise Special Fund annually.

Current Program Activities/Allowable Expenses:

Sales and marketing programs to highlight the convention center and also to aggressively sell in the asian and corporate markets. Major operational emphasis is high quality operational services and a major repair and maintenance program to keep the facility as a world class facility.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Pursuant to Act 86 SLH 2018, effective FY2019, HTA's annual repayment of its bond debt obligation of \$26.4M was absolved and its TAT allocation was

Note:

Unencumbered cash primarily represents funding earmarked for upcoming significant convention center repair and maintenance projects.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	54,010,232	54,023,007	51,342,752	52,854,295	54,052,919	54,052,919	54,052,919
Beginning Cash Balance	14,825,579	20,244,159	21,331,159	17,932,295	20,845,645	22,355,440	22,355,440
Revenues	39,796,576	47,067,664	41,925,495	42,603,880	16,500,000	16,500,000	16,500,000
Expenditures	34,377,996	45,980,664	45,324,359	39,690,530	14,990,205	16,500,000	16,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	20,244,159	21,331,159	17,932,295	20,845,645	22,355,440	22,355,440	22,355,440
Encumbrances	15,994,162	5,081,320		2,485,915	3,000,000	3,000,000	3,000,000
Unencumbered Cash Balance	4,249,997	16,249,839	17,932,295	18,359,730	19,355,440	19,355,440	19,355,440

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED 120
 Name of Fund: Energy Security Special Fund
 Legal Authority: Section 201-12.8, HRS

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) B
 Appropriation Acct. No. S-18-305-B

Intended Purpose: To support DBEDT's energy program, including projects that ensure dependable, efficient and economical energy, promote energy self-sufficiency, and provide greater energy security for the State.

Source of Revenues: Environmental Response, Energy and Food Security Tax; moneys appropriated by the legislature, interest attributable to investment of money in the fund; moneys allotted from other sources.

Current Program Activities/Allowable Expenses: Support the Energy Division, including staff and projects.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,889,305	4,792,457	4,666,779	18,513,686	5,213,686	5,213,686	5,213,686
Beginning Cash Balance	4,709,981	3,904,425	3,327,352	2,432,790	2,166,677	1,473,683	803,683
Revenues	3,806,283	3,894,794	3,826,350	3,891,654	3,827,500	3,830,000	3,830,000
Expenditures	4,611,839	4,471,867	4,720,912	4,157,767	4,520,494	4,500,000	4,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,904,425	3,327,352	2,432,790	2,166,677	1,473,683	803,683	133,683
Encumbrances	1,651,774	1,022,273	474,439	396,472	0	0	0
Unencumbered Cash Balance	2,252,651	2,305,079	1,958,351	1,770,205	1,473,683	803,683	133,683

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: STATE ENERGY PROGRAM-ARRA REPURPOSE
 Legal Authority: 31 USC 6304, 10 USC 2358

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) N
 Appropriation Acct. No. S-17-216-B

Intended Purpose: Fill full-time energy analyst position to support clean transportation initiatives and for contracts to provide technical assistance to DBEDT/HSEO on regulatory proceedings toward the greater adoption of renewable energy, energy efficiency, demand response, and clean/alternative transportation.

Source of Revenues: Repurpose of existing federal grant

Current Program Activities/Allowable Expenses: Energy Analyst position

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,447,433			
Beginning Cash Balance		0	0	1,441,319	1,370,521	1,370,521	1,370,521
Revenues				4,829			
Expenditures				75,627			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,370,521	1,370,521	1,370,521	1,370,521
Encumbrances							
Unencumbered Cash Balance	0	0	0	1,370,521	1,370,521	1,370,521	1,370,521

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: STATE ENERGY PROGRAM
 Legal Authority: 42 USC 6321

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) N
 Appropriation Acct. No. S-16-223-B

Intended Purpose: SEP Formula Grant

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses: State Energy Program activities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,194,454			
Beginning Cash Balance		0	0	37,359	25	25	25
Revenues				231,583			
Expenditures				268,917			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	25	25	25	25
Encumbrances				193,178			
Unencumbered Cash Balance	0	0	0	(193,153)	25	25	25

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: RECOVERY ACT:ENERGY EFFICIENCY & CONSER
 Legal Authority _____

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) V
 Appropriation Acct. No. S-14-228-B

Intended Purpose: Not Active

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				49,263			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0			
Expenditures				0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: SEP FORMULA - EXXON FUNDS
 Legal Authority: _____

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) P
 Appropriation Acct. No. S-14-236-B

Intended Purpose: SEP Formula Exxon Funds

Source of Revenues: Petroleum violation escrow settlement.

Current Program Activities/Allowable Expenses: TBD

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				30,000			
Beginning Cash Balance		0	0	31,081	31,267	31,267	31,267
Revenues				186			
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	31,267	31,267	31,267	31,267
Encumbrances							
Unencumbered Cash Balance	0	0	0	31,267	31,267	31,267	31,267

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: SEP Formula - Stripper Well Funds
 Legal Authority: _____

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) P
 Appropriation Acct. No. S-14-237-B

Intended Purpose: Energy Efficiency

Source of Revenues: Petroleum violation escrow settlement.

Current Program Activities/Allowable Expenses: TBD

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				150,000			
Beginning Cash Balance		0	0	15,122	15,212	15,212	15,212
Revenues				90			
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	15,212	15,212	15,212	15,212
Encumbrances							
Unencumbered Cash Balance	0	0	0	15,212	15,212	15,212	15,212

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: SEP FORMULA - CHEVRON FUNDS
 Legal Authority: _____

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) P
 Appropriation Acct. No. S-14-239-B

Intended Purpose: Implement state's clean energy vision by growing the clean energy sector.

Source of Revenues: Petroleum violation escrow settlement.

Current Program Activities/Allowable Expenses: TBD

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				141,008			
Beginning Cash Balance		0	0	261,330	263,015	263,015	263,015
Revenues				1,685			
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	263,015	263,015	263,015	263,015
Encumbrances				40,000			
Unencumbered Cash Balance	0	0	0	223,015	263,015	263,015	263,015

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: STATE ENERGY PROGRAM
 Legal Authority: 42 USC 6321

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) N
 Appropriation Acct. No. S-18-255-B

Intended Purpose: SEP Formula State of Hawaii

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses: State Energy Program activities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	282,700	0	
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	282,700		
Expenditures				0	282,700		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: HI ADV VISUALIZATION ENVIRONMENT NEXUS
 Legal Authority: 42 USC 7101; 42 USC 6321

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) P
 Appropriation Acct. No. S-17-516-B

Intended Purpose: HAVEN program

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses: expenses associated with HAVEN

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				206,844			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				30,741			
Expenditures				30,741			
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				141,588			
Unencumbered Cash Balance	0	0	0	(141,588)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: EECBG - ARRA REPURPOSE
 Legal Authority PL 110-140, EISA 2007, PL 111-5, Recovery Act 2009

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) P
 Appropriation Acct. No. S-17-518-B

Intended Purpose: Repurposed funds to be used to contract to promote efficiency retrofits, conservation, and building code adoption

Source of Revenues: Repurpose of existing federal grant

Current Program Activities/Allowable Expenses: expenses associated with the above initiatives

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Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				2,533,122			
Beginning Cash Balance		0	0	0	2,636,071	2,636,071	2,636,071
Revenues				2,644,229			
Expenditures				8,158			
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,636,071	2,636,071	2,636,071	2,636,071
Encumbrances				4,716			
Unencumbered Cash Balance	0	0	0	2,631,355	2,636,071	2,636,071	2,636,071

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED128
 Name of Fund: ENVIRONMENTAL ASSESS & OTHER FEAS ANAL
 Legal Authority: _____

Contact Name: Chung Chang
 Phone: 586-2388
 Fund type (MOF) N
 Appropriation Acct. No. S-242-B

Intended Purpose: federal Grant Closed

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				60,125	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED128
 Name of Fund: ENVIRONMENTAL ASSESS & OTHER FEES ANALYS
 Legal Authority: _____

Contact Name: Chung Chang
 Phone: 586-2388
 Fund type (MOF) P
 Appropriation Acct. No. S-513-B

Intended Purpose: Federal Grant Closed

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				100,000	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues				60,125	0	0	0
Expenditures				60,125	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED 138
 Name of Fund: Hawaii Green Infrastructure Special Fund, Outside of State Treasury
 Legal Authority: Section 196-65, HRS

Contact Name: Gwen Yamamoto Lau
 Phone: 587-2690
 Fund type (MOF): B
 Appropriation Acct. No.: S-370B

Intended Purpose: State Revolving Loan Fund for Energy Efficiency Retrofits (\$50.0 million). Act 155 (SLH 2018) signed into law on 7/5/18.

Transfer the \$45,883,600 loan to the Department of Education to be funded under this revolving facility.

Source of Revenues: Create a sub-fund within GEMS Loan Fund

Current Program Activities/Allowable Expenses: To finance cost-effective energy efficiency retrofits for State Departments and Agencies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	N/A	N/A	N/A	N/A	50,000,000	50,000,000	50,000,000
Beginning Cash Balance	0	0	0	0	43,981,027	38,525,207	25,525,207
Revenues	0	0	0	0			
Loan Repayments					2,294,180	2,294,180	2,773,598
Loan Interest Repayments							255,296
Expenditures	0	0	0	0			
Interest Paid to PUC					0	0	255,296
Loans Funded					7,750,000	15,294,180	10,773,598
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to Program Custodian	0		0				
Transfer to HGIA State Revolving Loan Fund			0	43,981,027			
Net Total Transfers	0	0	0	43,981,027	0	0	0
Ending Cash Balance	0	0	0	43,981,027	38,525,207	25,525,207	17,525,207
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	43,981,027	38,525,207	25,525,207	17,525,207

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds	0						
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: HI Green Infrastructure Special Fund, Outside State Treasury
 Legal Authority: Section 196-65, HRS

Contact Name: Gwen Yamamoto Lau
 Phone: 587-2690
 Fund type (MOF) B
 Appropriation Acct. No. S-18-395-B

Intended Purpose: To fund loans under the GEMS program and cover associated costs

Source of Revenues: Original funding from proceeds of bonds; loan repayments, and investment interest.

Current Program Activities/Allowable Expenses: Making green infrastructure loans and paying related financing and administrative costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variances in revenues due to one-time transfer of bond proceeds for GEMS Loan Program in FY 2015; Expenditure and revenue variances are due to varying amounts in loan disbursements and related receipt of loan repayments.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	50,000,000	50,000,000	50,000,000	50,000,000	50,000,000	50,000,000
Beginning Cash Balance	0	0	142,828,433	136,561,249	76,664,929	74,241,492	69,793,821
Revenues	6,830	97,940	589,347	1,331,082	2,066,091	2,234,131	2,896,329
Expenditures	1,900		4,856,532	17,246,374	4,489,528	4,681,802	5,368,483
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from HGIA Special Fund	143,250,000	142,730,493					
Transfer to HGIA Special Fund - State Treasury			(2,000,000)			(2,000,000)	
Transfer to HGIA State Revolving Loan Fund				(43,981,027)			
Net Total Transfers	143,250,000	142,730,493	(2,000,000)	(43,981,027)	0	(2,000,000)	0
Ending Cash Balance	143,254,930	142,828,433	136,561,249	76,664,929	74,241,492	69,793,821	67,321,667
Encumbrances							
Unencumbered Cash Balance	143,254,930	142,828,433	136,561,249	76,664,929	74,241,492	69,793,821	67,321,667

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED 120/BED138
 Name of Fund: Hawaii Green Infrastructure Bond Fund, Outside State Treasury
 Legal Authority: Section 196-67, HRS

Contact Name: Gwen Yamamoto Lau
 Phone: 587-2690
 Fund type (MOF) B
 Appropriation Acct. No. S-18-368-B

Intended Purpose: To secure the payment of bonds, amounts payable to financing parties and bondholders, amounts payable under any ancillary agreement, and other financing costs.

Source of Revenues: Proceeds of the green infrastructure fee; any other proceeds of green infrastructure property; and other moneys .

Current Program Activities/Allowable Expenses: Expenditures include debt service (Principal and Interest), ongoing costs, and cost of issuance.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: The revenue variance between FY 2015 and FY 2016 is because in FY 2015, bond proceeds were recorded and receipt of interest and Green Infrastructure fees began mid-year. The expenditure variance between FY 2015 and FY 2016 is because only Cost of Issuance was recorded in FY 2015, and debt service (Principal and Interest) and ongoing services began in FY 2016.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000,000	0	0	13,300,000	19,815,000	19,815,000	19,815,000
Beginning Cash Balance	0	0	8,162,835	8,062,496	8,062,495	8,062,495	8,062,495
Revenues		24,202,824	13,150,280	13,347,420	13,389,325	13,381,604	13,386,768
Expenditures		16,039,989	13,339,185	13,347,421	13,389,325	13,381,604	13,386,768
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	8,162,835	7,973,930	8,062,495	8,062,495	8,062,495	8,062,495
Encumbrances							
Unencumbered Cash Balance	0	8,162,835	7,973,930	8,062,495	8,062,495	8,062,495	8,062,495

Additional Information:

Amount Req. by Bond Covenants		16,039,989	13,339,185	19,815,000	19,815,000	19,815,000	19,815,000
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Informaion
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED 138
 Name of Fund: Hawaii Green Infrastructure Special Fund
 Legal Authority: Section 196-65, HRS

Contact Name: Gwen Yamamoto Lau
 Phone: 587-2690
 Fund type (MOF): B
 Appropriation Acct. No.: S-367 B

Intended Purpose: Making green infrastructure loans; paying administrative costs and other costs related to the Hawaii green infrastructure loan program; paying financing costs.

Source of Revenues: Proceeds of bonds net issuance costs.

Current Program Activities/Allowable Expenses: Making green infrastructure loans; paying administrative costs of the Hawaii green infrastructure loan program; paying any other costs related to the program; and paying financing costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Revenue variance from FY 2015 to FY 2016 was due to the one-time deposit of bond proceeds in FY 2015. Expenditure variance from FY 2015 to FY 2016 was due to the on-time transfer of funds to the program custodian in FY 2015.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	0	2,741,805	1,832,583	3,036,112	2,275,156	1,275,156	2,275,156
Revenues	146,640,154	14,990	16,243	77,227	0	0	0
Expenditures	648,349	924,212	659,223	838,183	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to Program Custodian	(143,250,000)		(153,491)				
Transfer from Program Custodian			2,000,000			2,000,000	
Net Total Transfers	(143,250,000)	0	1,846,509	0	0	2,000,000	0
Ending Cash Balance	2,741,805	1,832,583	3,036,112	2,275,156	1,275,156	2,275,156	1,275,156
Encumbrances	878,642	658,083	363,789	476,379			
Unencumbered Cash Balance	1,863,163	1,174,500	2,672,323	1,798,777	1,275,156	2,275,156	1,275,156

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds	146,340,961						
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: High Technology Development Corporation
 Legal Authority: 206M-15.6

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF): W
 Appropriation Acct. No.: S-342-B

Intended Purpose:

To invest in technology development in Hawaii

Source of Revenues:

1) Appropriations from the Legislature 2) moneys received as repayments of loans 3) investment earnings 4) royalties 5) premiums or fees or equity charged by HTDC or otherwise received by HTDC 6) loans that are convertible to equity

Current Program Activities/Allowable Expenses:

Any activity for the purpose of investing in technology development in Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	1,500,000	1,500,000			
Beginning Cash Balance		0	0	6,000	6,000	6,000	6,000
Revenues			6,000				
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	6,000	6,000	6,000	6,000	6,000
Encumbrances							
Unencumbered Cash Balance	0	0	6,000	6,000	6,000	6,000	6,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Chiller Repl at MRTC, MAUI-EQP
 Legal Authority: 2011 CIP Project TE0012

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) B
 Appropriation Acct. No. S-11-403-B

Intended Purpose:

For chiller replacement to MRTC

Source of Revenues:

HTDC Special funds

Current Program Activities/Allowable Expenses:

Chiller replacement with energy efficient technologies at MRTC

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0					
Beginning Cash Balance	440,000	440,000	230,430	162,023	0	0	0
Revenues							
Expenditures		209,570	68,407	162,023			
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	440,000	230,430	162,023	0	0	0	0
Encumbrances	440,000	230,430					
Unencumbered Cash Balance	0	0	162,023	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: The Hawaii Center for Advanced Transport
 Legal Authority Act 134, SLH 2013

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-14-234-B

Intended Purpose:

For use for activities in accordance with co-operative agreement FA8650-11-2-5605 with the Air Force Research Laboratory

Source of Revenues:

Grant draws from Federal government (Air Force).

Current Program Activities/Allowable Expenses:

Any activities and operational expenses with projects associated with the co-operative agreement

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,989,710	20,000,000	962,987	15,329,059	10,024,144	6,000,000	2,200,000
Beginning Cash Balance	3,694,658	2,270,673	1,031,511	2,095,187	834,194	834,194	834,194
Revenues	5,283,723	2,021,300	9,478,511	1,521,609	4,000,000	2,200,000	2,200,000
Expenditures	6,707,708	3,260,462	8,414,835	2,782,602	4,000,000	2,200,000	2,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,270,673	1,031,511	2,095,187	834,194	834,194	834,194	834,194
Encumbrances	4,115,726	2,955,887	3,125,108	1,107,459			
Unencumbered Cash Balance	(1,845,053)	(1,924,376)	(1,029,921)	(273,265)	834,194	834,194	834,194

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: High Technology Special Fund
 Legal Authority 206M-15.5

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) B
 Appropriation Acct. No. S-357-B

Intended Purpose:

For the operation, maintenance, and management of its industrial parks, projects, facilities, services and publications, and to pay the expenses in administering the special purpose revenue bonds of HTDC or in carrying out its project agreements.

Source of Revenues:

All moneys and fees from tenants, qualified persons or other users of the development corporation's industrial parks, projects, other leased facilities, and other services and publications".

Current Program Activities/Allowable Expenses:

Moneys shall be expended for the operation, maintenance, and management of its industrial parks, projects, facilities, services and publications, or in carrying out its project agreements.

The primary expenditures include portions of HTDC's salaries and fringe benefits, routine administrative expenses, project expenses, building and equipment replacements and repairs, and Central Service Assessment. Consulting fees generated by the MEP program are expended back into the program as a "cash match" requirement of the cooperative agreement. HTDC may also use the funds for feasibility studies and/or to develop programs and new tech centers.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,755,410	3,789,550	3,805,488	3,858,345	3,858,345	3,858,345	3,858,345
Beginning Cash Balance	1,562,890	2,138,935	1,869,563	1,183,060	962,791	33,559	(376,877)
Revenues	2,793,839	1,255,057	962,678	1,182,645	126,400	126,400	
Expenditures	2,157,794	1,524,429	1,649,181	1,402,914	1,055,632	536,836	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Revenues transferred by asset mgmt. contractor to fund the Bldg & Reserve acct.	(60,000)						
Net Total Transfers	(60,000)	0	0	0	0	0	0
Ending Cash Balance	2,138,935	1,869,563	1,183,060	962,791	33,559	(376,877)	(376,877)
Encumbrances	903,772	1,202,111	804,834	286,159			
Unencumbered Cash Balance	1,235,163	667,452	378,226	676,632	33,559	(376,877)	(376,877)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Entrepreneur Sandbox Collab Ctr, Oahu-Con
 Legal Authority: Appropriated via Form E-2

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF): N
 Appropriation Acct. No.: S-14-272-B

Intended Purpose:

For construction of a facility for use by HTDC

Source of Revenues:

Matching grant from the Federal government (EDA)

Current Program Activities/Allowable Expenses:

For construction of a facility for use by HTDC

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	3,000,000	3,000,000			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				3,000,000			
Expenditures				3,000,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: High Technology Development Center
 Legal Authority Act 134, SLH 2013

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-14-501-B

Intended Purpose:

Activities that assist local manufacturers

Source of Revenues:

Grant from Federal government (NIST)

Current Program Activities/Allowable Expenses:

Personnel and operational expenses for HTDC's Innovate Hawaii program as approved by NIST

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,872,331	1,281,851	1,006,930				
Beginning Cash Balance	1,978	97,490	23,530	5	0	0	0
Revenues	685,991	575,962	450,370	93			
Expenditures	590,479	649,922	473,895	98			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	97,490	23,530	5	0	0	0	0
Encumbrances	92,599	93,788					
Unencumbered Cash Balance	4,891	(70,258)	5	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: HTDC Innovate Hawaii
 Legal Authority: Appropriated via Form E-2

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-17-517-B

Intended Purpose:

Activities that assist local manufacturers

Source of Revenues:

Grant from Federal government (NIST)

Current Program Activities/Allowable Expenses:

Personnel and operational expenses for HTDC's Innovate Hawaii program as approved by NIST

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	250,000	882,955	500,000	500,000	500,000
Beginning Cash Balance	0	0	0	40,456	5,567	0	0
Revenues			157,500	429,800	500,000	500,000	500,000
Expenditures			117,044	464,689	505,567	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	40,456	5,567	0	0	0
Encumbrances				83,403			
Unencumbered Cash Balance	0	0	40,456	(77,836)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Information
for Submittal to the 2019 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: HCATT National Demonstration Center
 Legal Authority: Appropriated via E-2

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-18-525-B

Intended Purpose:

Air Force Energy Projects

Source of Revenues:

Grant from Aor Force Research Lab

Current Program Activities/Allowable Expenses:

Personnel, operations, and project expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	11,891,956	11,891,956	11,891,956	
Beginning Cash Balance	0	0	0	0	0	38,350	0
Revenues					288,350	200,000	
Expenditures					250,000	238,350	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	38,350	0	0
Encumbrances				10,000			
Unencumbered Cash Balance	0	0	0	(10,000)	38,350	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Brownfields Cleanup Revolving Loan Fund (BCRLF)
 Legal Authority: HRS § 201-18 (Act 20, SLH 2007; Act 173, SLH 2002)

Contact Name: Ruby Edwards
 Phone: 587-2817
 Fund type (MOF) W
 Appropriation Acct. No. S-359-B

Intended Purpose: The BCRLF was established with a \$2 million US EPA grant to provide low-cost loans for the cleanup of eligible contaminated sites. The low-cost loans are intended to facilitate the reuse of sites whose redevelopment is hindered by the potential liability and cost of cleaning up contamination that may harm human and environmental health.

Source of Revenues: A corpus of \$1.977 million in grant funds was available for direct loans. The grant has ended; the primary source of revenue is repayment of loan principal and interest (if interest is charged) and any program fees.

Current Program Activities/Allowable Expenses: Loans for cleanup of contaminated sites. Other program costs related to cleanup, i.e. public notice ads, community involvement and outreach, contracts for technical assistance, DOH VRP fees, confirmation sampling, environmental insurance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	0	0	0	987,583	1,535,246	1,035,346	535,446
Revenues	1,770,000	0	0	547,675	100	100	100
Expenditures	24	533,070	249,323	12	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,769,976)	1,769,976	0	0	0	0	0
Ending Cash Balance	0	1,236,906	987,583	1,535,246	1,035,346	535,446	35,546
Encumbrances			12	0			
Unencumbered Cash Balance	0	1,236,906	987,571	1,535,246	1,035,346	535,446	35,546

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY11-12
 Legal Authority: Act 158, SLH 2008

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-09-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA08NOS4190421, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,000	0	0				
Beginning Cash Balance	2,200	2,200	2,200	2,200	2,200	0	0
Revenues	0	0	0	0	0		
Expenditures	0	0	0	0	2,200		
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,200	2,200	2,200	2,200	0	0	0
Encumbrances							
Unencumbered Cash Balance	2,200	2,200	2,200	2,200	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY11-12
 Legal Authority: Act 164, SLH 2011

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-12-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA11NOS4190095, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0			
Beginning Cash Balance	277	277	277	277	277	0	0
Revenues	0	0	0	0			
Expenditures	0	0	0	0	277		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	277	277	277	277	0	0	0
Encumbrances							
Unencumbered Cash Balance	277	277	277	277	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY13-14
 Legal Authority Act 134, SLH 2013

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-14-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA13NOS4190055, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,597,804	680,048	70,798	0			
Beginning Cash Balance	11,718	5,160	605	1	1	(0)	(0)
Revenues	911,198	137,463	65,664	0			
Expenditures	917,756	142,018	66,269	0	1		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,160	605	0	1	(0)	(0)	(0)
Encumbrances	42,207	70,799	3,141	3,141			
Unencumbered Cash Balance	(37,047)	(70,194)	(3,141)	(3,140)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

S-15-201

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY14-15
 Legal Authority: Act 122, SLH 2014

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-15-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA14NOS4190079, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable). NA

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,350,000	1,524,769	873,603	76,977			
Beginning Cash Balance	0	5,466	927	80,643	4,128	0	0
Revenues	935,700	646,628	171,934				
Expenditures	930,234	651,167	94,772	76,515	4,128		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			2,554				
Net Total Transfers	0	0	2,554	0	0	0	0
Ending Cash Balance	5,466	927	80,643	4,128	0	0	0
Encumbrances	728,690	52,924	76,977				
Unencumbered Cash Balance	(723,224)	(51,997)	3,666	4,128	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

S-15-201

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY15-16
 Legal Authority Act 119, SLH 2015

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-16-201

intended purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA15NOS4190105, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,350,000	1,487,159				
Beginning Cash Balance	0	0	39,025	3,257	43,126	0	0
Revenues	0	904,800	664,467	95,217	83,750		
Expenditures	0	865,775	670,899	55,348	126,876		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			(29,336)				
Net Total Transfers	0	0	(29,336)	0	0	0	0
Ending Cash Balance	0	39,025	3,257	43,126	0	0	0
Encumbrances		820,638	74,200	127,785	0		
Unencumbered Cash Balance	0	(781,613)	(70,943)	(84,659)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

S-15-201

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY16-17
 Legal Authority: Act 124, SLH 2016

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-17-201

intended purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA16NOS4190093, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			2,413,278	1,654,755			
Beginning Cash Balance		0	0	70,160	1,853	0	0
Revenues			801,901	939,000	558,225		
Expenditures			758,523	1,007,307	560,078		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			26,782				
Net Total Transfers	0	0	26,782	0	0	0	0
Ending Cash Balance	0	0	70,160	1,853	0	0	0
Encumbrances			1,005,501	1,879			
Unencumbered Cash Balance	0	0	(935,341)	(26)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

S-15-201

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY16-17
 Legal Authority: Act 124, SLH 2016

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-18-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA16NOS4190093, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				2,385,688			
Beginning Cash Balance	0	0	0	0	48,947	59,420	0
Revenues			0	984,000	1,000,000	29,512	
Expenditures			0	935,053	989,527	88,932	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	48,947	59,420	0	0
Encumbrances			0	966,999	0	0	
Unencumbered Cash Balance	0	0	0	(918,052)	59,420	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144
 Name of Fund: Probabilistic Tsunami Design Maps for HI
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-18-205

Intended Purpose: Modeling of tsunami inundation risk and preparation of high resolution probabilistic tsunami design zone maps.

Source of Revenues: Award No. NA16NOS4190152 National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Project management; modeling of tsunami inundation risk; preparation of high resolution probabilistic tsunami design zone maps for Oahu excluding the urban core and Haleiwa; technical review and final report and map products.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0		245,000			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0			215,500	29,500	
Expenditures	0	0			215,500	29,500	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0				245,000		
Unencumbered Cash Balance	0	0	0	0	(245,000)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144
 Name of Fund: HI Historical Shoreline Database Update
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-18-217

Intended Purpose: Updating the Hawaii Historical Shoreline Database: Modeling Past, Present and Future Shoreline changes to assess and analyze shoreline management policies for greater coastal resilience.

Source of Revenues: Award No. NA17NOS4190171, National Oceanic an Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: High resolution modeling of historical shoreline change for Oahu, Maui and Kauai islands; modeling of future rates of shoreline changes for these islands; stakeholder input; and communication and dissemination of project products e.g. geospatial databases.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0		225,000			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0			150,000	75,000	
Expenditures	0	0			150,000	75,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0			225,000			
Unencumbered Cash Balance	0	0	0	(225,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

S-15-201

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144
 Name of Fund: Hawaii National Estuarine Research Reserve
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-15-508-B

Intended Purpose: To prepare the Hawaii Estuarine Research Reserve (NERR) Management Plan and NEPA document in support of reserve designation.

Source of Revenues: Award No. NA14NOS4200130 National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972, as amended, and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Preparation of the NERR Management Plan and NEPA document. Research and recommendations on Hawaii site criteria, develop selection process, solicit proposals, evaluation/selection by Evaluation Committee, document preparation.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	73,385	50,943	17,696			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	26,615	22,442	28,303	21,403			
Expenditures	26,615	22,442	28,303	21,403			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	66,513	44,071	17,696				
Unencumbered Cash Balance	(66,513)	(44,071)	(17,696)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

S-15-201

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144
 Name of Fund: Building Code Amendments for Hazards and Climate
 Legal Authority Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-15-509

Intended Purpose: Adapting building codes to account for coastal hazards and climate impacts in the City and County of Honolulu.

Source of Revenues: Award No. NA14NOS4730152 National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972, as amended, and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Examine existing building codes and recommend modifications; analyze cost and design impacts of proposed building modifications, and develop a model building code ordinance. Technical assistance and expert input. Project administrator.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	72,126	3,456			
Beginning Cash Balance	0	0	0	3,456	0	0	0
Revenues	0	27,874	71,602				
Expenditures	0	27,874	68,146	3,456			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	3,456	0	0	0	0
Encumbrances		71,602	3,456				
Unencumbered Cash Balance	0	(71,602)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

S-15-201

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: CEDS Update
 Legal Authority: Non Appropriated

Contact Name: BED, Bus., Econ. Dev. & Tourism
 Phone: BED 144/PL
 Fund type (MOF) CEDS Update
 Appropriation Acct. No. Non Appropriated

Intended Purpose: To update the Hawaii Comprehensive Economic Development Strategy (CEDS) in order to provide goals, objectives and strategies for economic development for 2016-2020 and to remain eligible for certain federal funds.

Source of Revenues: Award No. 07-69-07143, Economic Development Administration, U.S. Dept. of Commerce.

Current Program Activities/Allowable Expenses: Research and analysis of existing and projected economic conditions, identification of industry clusters, convening and facilitation of steering and working groups, development of goals, objectives and strategies.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	180,000	180,000	93,271				
Beginning Cash Balance	0	0	6,126	10	10	0	0
Revenues	0	92,855	87,144				
Expenditures	0	86,729	93,260		10		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	6,126	10	10	0	0	0
Encumbrances	134,548	89,548					
Unencumbered Cash Balance	(134,548)	(83,422)	10	10	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

S-15-201

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: CEDS Update
 Legal Authority Act 124, SLH 2016

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-17-510-B

Intended Purpose: To allow the Office of Planning to identify suitable State lands and to identify projects which will support Comprehensive Economic Development Strategy (CEDS) targeted emerging growth cluster industries (emerging growth industries) and which can feasibly be developed on State lands near the rail stations.

Source of Revenues: Award No. 07-79-07394, Economic Development Administration, U.S. Dept. of Commerce.

Current Program Activities/Allowable Expenses: Identification, description and mapping of projects and facilities which will support the growth of emerging growth industries on state lands near the rail stations. Organizing and facilitating group meetings and interviews with industry advocates and govt agencies. Presentation by econ. dev.expert. Technical assistance. Recommendations to support cluster industries in TOD.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (estimated)	FY 2020 (estimated)	FY 2021 (estimated)
Appropriation Ceiling			140,000	140,000			
Beginning Cash Balance	0	0	0	0	985	54	(0)
Revenues				3,767	68,313	57,919	
Expenditures				2,782	69,244	57,973	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	985	54	(0)	(0)
Encumbrances				69,298			
Unencumbered Cash Balance	0	0	0	(68,313)	54	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DBEDT
 Prog ID(s): BED/VC
 Name of Fund: Hydrogen Investment Capital Special Fund
 Legal Authority: Chapter 211F, HRS

Contact Name: Karl Fooks
 Phone: 587-3830
 Fund type (MOF) B- Special
 Appropriation Acct. No. S-308-B

Intended Purpose: To support the increased use of the State's indigenous renewable energy resources with pathways to use hydrogen as an energy carrier through the implementation of 1) a seed capital/venture capital investment program; 2) a cost-match grant program; and 3) the development of a Hawaii Renewable Hydrogen Program Plan.

Source of Revenues: Returns on venture capital investments and interest earned on State Investment Pool.

Current Program Activities/Allowable Expenses: Funds were expended to support an investment program supporting companies with Hydrogen related projects and to promote Hawaii's efforts in developing Hydrogen as a source of energy.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,608,516	2,608,516	2,608,516	2,608,516			
Beginning Cash Balance	159,450	141,474	19,616	18,764	12,360	12,417	12,474
Revenues	672	154	93	98	60	60	60
Expenditures	18,648	122,012	945	6,502	3	3	3
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	141,474	19,616	18,764	12,360	12,417	12,474	12,531
Encumbrances							
Unencumbered Cash Balance	141,474	19,616	18,764	12,360	12,417	12,474	12,531

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DBEDT
 Prog ID(s): BED145/VC
 Name of Fund: Hawaii Strategic Development Corporation Revolving Fund
 Legal Authority: Chapter 211F, HRS

Contact Name: Karl Fooks
 Phone: 587-3830
 Fund type (MOF): W- Revolving
 Appropriation Acct. No. S-343- B

Intended Purpose: To provide access to venture capital investment funds for Hawaii's developing businesses. Public funds are being invested alongside private sector funds as a catalyst to develop a local venture capital industry, assist emerging technology firms, and help diversify the state's economy. HSDC also assists entrepreneurs to attract capital through business mentoring workshops and conferences.

Source of Revenues: Returns on venture capital investments and interest earned on State Investment Pool.

Current Program Activities/Allowable Expenses: HSDC invests in privately managed venture capital limited partnerships which leverage public funds with private capital to create investment pools for Hawaii companies. HSDC supports its operating expenses from this fund, which include costs for organizing workshops and conferences for entrepreneurs and investors.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,289,649	4,307,923	5,314,406	4,321,301			
Beginning Cash Balance	8,040,674	6,904,809	5,310,743	3,425,306	2,947,593	2,447,593	1,947,593
Revenues	37,585	255,087	57,992	176,212	200,000	200,000	200,000
Expenditures	1,173,450	1,849,153	1,943,429	653,925	700,000	700,000	700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,904,809	5,310,743	3,425,306	2,947,593	2,447,593	1,947,593	1,447,593
Encumbrances	20,795	108,548	3,311	1,713,154	1,013,454	313,754	
Unencumbered Cash Balance	6,884,014	5,202,195	3,421,995	1,234,439	1,434,139	1,633,839	1,447,593

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DBEDT
 Prog ID(s): BED145/VC
 Name of Fund: Small Bus Credit Initiative
 Legal Authority: Federal

Contact Name: Karl Fooks
 Phone: 587-3830
 Fund type (MOF): P
 Appropriation Acct. No. S-203-B

Intended Purpose : To support a fund of funds investment program in combination with state and private capital that supports Hawaii's developing businesses and helps to diversify that state's economy.

Source of Revenues: Returns on venture capital investments and interest earned on State Investment Pool.

Current Program Activities/Allowable Expenses: HSDC invests in privately managed venture capital limited partnerships which leverage public funds (state and federal) with private capital to create investment pools for Hawaii companies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,168,350	11,596,061	9,603,398	8,230,859			
Beginning Cash Balance	7,137,968	10,080,241	8,146,007	6,834,553	5,932,439	4,732,439	3,282,439
Revenues	37,324	58,429	61,084	390,516	50,000	50,000	50,000
Expenditures	1,572,289	1,992,663	1,432,099	1,292,630	1,250,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Federal Grant SSBCI	4,477,238						
Federal Grant SSBCI			59,561				
Net Total Transfers	4,477,238	0	59,561	0	0	0	0
Ending Cash Balance	10,080,241	8,146,007	6,834,553	5,932,439	4,732,439	3,282,439	1,832,439
Encumbrances				5,296,061	4,046,061	2,546,061	1,046,061
Unencumbered Cash Balance	10,080,241	8,146,007	6,834,553	636,378	686,378	736,378	786,378

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DBEDT
 Prog ID(s): BED145/VC
 Name of Fund: HAWAII SEED FUND PROJECT
 Legal Authority: Federal

Contact Name: Karl Fooks
 Phone: 587-3830
 Fund type (MOF) P
 Appropriation Acct. No. S-523-B

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				275,000	275,000	275,000	
Beginning Cash Balance		0	0	0	275,000	75,000	0
Revenues							
Expenditures				0	200,000	75,000	
				0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	75,000	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	75,000	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DBEDT
 Prog ID(s): BED 146/EL
 Name of Fund: Natural Energy Laboratory of Hawaii Authority
 Legal Authority: HRS Section 227-D

Contact Name: Gregory Barbour
 Phone: 808-327-9585 ext 225
 Fund type (MOF) B-Special
 Appropriation Acct. No. S-345-B

Intended Purpose: To provide for the operation, maintenance, and management of NELHA projects, facilities and services and for the design and construction of new facilities and the renovation of or addition to existing facilities.

Source of Revenues: Fees collected from tenants in the form of: Land use fees, Laboratory space rent, Royalties, Percentage Rent. Administrative, Electrical, Seawater, Freshwater reimbursement from tenants. Interest income and overhead charges from tenants.

Current Program Activities/Allowable Expenses: Operate and maintain transmission and distribution systems for pumping 55,400 gallons per minute of deep and surface seawater; Market sites and resources to potential new projects and businesses for both the Keahole and Puna sites; Operate a certified water quality laboratory; Provide technical, clerical, operation and construction support to tenants; Operate and maintain construction and operating equipment and the grounds and buildings of both facilities; Provide informational and educational material and lectures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,672,917	7,672,917	7,816,399	7,814,459	7,814,459	7,814,459	7,814,459
Beginning Cash Balance	2,315,298	1,572,340	1,725,470	1,304,680	749,446	486,846	409,446
Revenues	4,766,277	4,708,107	4,457,648	4,456,349	4,900,000	5,100,000	5,400,000
Expenditures	5,852,215	4,938,436	4,878,438	5,011,583	4,800,000	4,800,000	4,800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See Below	342,980	383,459	369,713	330,096	362,600	377,400	399,600
Net Total Transfers	342,980	383,459	369,713	330,096	362,600	377,400	399,600
Ending Cash Balance	1,572,340	1,725,470	1,304,680	749,446	486,846	409,446	609,846

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Encumbrances	989,790	581,440	255,515	358,829			
Unencumbered Cash Balance	582,550	1,144,030	1,049,165	390,617	486,846	409,446	609,846

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

JV for ceded land payments to OHA:

JV51, 9/30/14	79,094.75
JV105, 1/8/15	81,007.28
JV171, 4/14/15	84,693.39
JV227, 6/30/15	<u>98,184.68</u>
Total	342,980.10

JV for ceded land payments to OHA:

JV0063_JT0235 9/30/15	93,683.10
JV124_JT0433 12/31/15	79,969.97
JV183_JT0644 4/8/16	96,593.71
JV246_JT0923 €	Total <u>113,212.45</u>
	383,459.23

JV for ceded land payments to OHA:

JV 10/11/16	79,343.71
JV 01/18/17	87,672.78
JV 04/07/17	98,773.10
JV 7/11/17	<u>103,923.44</u>
	369,713.03

JV for ceded land payments to OHA:

JV73_JT0370 10-10-17	75,310.39
JV146_JT0859 02-07-18	80,409.56
JV185_JT1086 4-12-18	79,507.88
JV244_JT1	<u>94,868.80</u>
Total	330,096.63

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED 150KL
 Name of Fund: Kalaeloa Community Development Revolving Fund
 Legal Authority: Section 206E-195, HRS

Contact Name: Aedward Los Banos
 Phone: 594-0343
 Fund type (MOF) Revolving Funds
 Appropriation Acct. No. S-326-B/S-356-B

Intended Purpose:

Section 206E-195, HRS, requires all revenues and receipts for the Kalaeloa Community Development District be deposited into this fund and be used to further HCDA's community development objectives for Kalaeloa. Chapter 206E, HRS, identifies programs and objectives similar to those identified for Hawaii Community Development Revolving Fund, appropriation account numbers, S-352-B and S-358-B.

Source of Revenues:

The Kalaeloa Community Development Revolving Fund is set up similar to the Hawaii Community Development Revolving Fund with similar programs established by statute for this district. Currently, all activity of the Kalaeloa Community Development Revolving Fund is captured in the Leasing and Management subaccount as infrastructure constraints have stymied the redevelopment of this district.

Current revenues are limited to assessment payments from all land users, except the federal government, for their fair share of the costs required to administer and operate the Kalaeloa Community Development District. Also, leasing and management revenues generated from HCDA owned/operated properties in the district.

Current Program Activities/Allowable Expenses:

The Kalaeloa Community Development Revolving Fund is set up similar to the Hawaii Community Development Revolving Fund with similar programs established by statute for this district. Currently, all activity of the Kalaeloa Community Development Revolving Fund is captured in the Leasing and Management subaccount as infrastructure constraints have stymied the redevelopment of this district.

Current expenses are limited to administrative expenses for the Kalaeloa field office and long-range planning activities to yield redevelopment and further economic development in the district.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	394,955	425,797	433,700	506,700	506,700	506,700	506,700
Beginning Cash Balance	386,196	313,699	245,135	280,896	788,329	762,329	736,329
Revenues	209,253	220,028	202,935	215,607	445,000	455,000	465,000
Expenditures	369,271	399,167	395,276	444,149	471,000	481,000	491,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				735,975			
Net Total Transfers	87,521	110,575	228,102	735,975	0	0	0
Ending Cash Balance	313,699	245,135	280,896	788,329	762,329	736,329	710,329
Encumbrances							
Unencumbered Cash Balance	313,699	245,135	280,896	788,329	762,329	736,329	710,329

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development & Tourism
Prog ID(s): BED 150KA
Name of Fund: Hawaii Community Development Revolving Fund
Legal Authority Section 206E-16, HRS

Contact Name: Aedward Los Banos
Phone: 594-0343
Fund type (MOF) Revolving Funds
Appropriation Acct. No. S-352-B/S-358-B

Intended Purpose:

Section 206E-16, HRS, requires all revenues and receipts of Hawaii Community Development Authority (HCDA) to be deposited into this fund and used to further HCDA's purposes. Certain purposes are identified Chapter 206E include programs for the development/improvement of public facilities for dedication, reserved housing, and improvement districts - each is further discussed in the sections that follow. By statute, the HCDA is also tasked with long-range planning for its community development districts and the maintenance of these plans. The HCDA must also manage/maintain properties that it owns in each community development district.

Source of Revenues:

The Hawaii Community Development Revolving Fund consists of four sub-accounts established by different sections of Chapter 206E, HRS.

- The Public Facilities Dedication sub-account consists of fees collected from private developers to mitigate the impacts of their developments to be invested in public facilities.
- The Reserved Housing sub-account is made up of fees collected from developers or reserved housing unit owners upon re-sale of their unit, to ensure the continued availability of low-income and affordable housing. Funds may also be used for the development of new low-income and affordable housing units.
- The Improvement District sub-account consists of assessments paid by landowners for their proportionate share of Improvement District project costs.
- The Leasing & Management sub-account consists of monies collected from the leasing and management of HCDA-owned/operated properties. The below estimates are based upon the assumption that General Funds will be appropriated to cover the payroll of the existing staff.

Current Program Activities/Allowable Expenses:

By statute, funds can only be used for purposes contained in Chapter 206E, HRS. The break-down by subaccount allowable activities/expenses follow:

- Public Facility Dedication - the purchase, creation, expansion or improvement of public facilities within a community development district.
- Reserved Housing - Increase the supply of housing for residents of low- or moderate- income within a community district and administration of the reserved housing program.
- Improvement District - Repayment and administration of ID financings.
- Leasing & Management - Management and maintenance of HCDA-owned property, long-range planning for community districts, HCDA administrative costs, and all other costs that cannot be funded by any other funding source.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

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Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,978,253	7,763,499	776,005	866,658	866,658	866,658	866,658
Beginning Cash Balance	15,965,965	18,903,101	26,078,234	26,536,106	27,598,598	26,216,552	25,092,552
Revenues	7,428,441	10,315,065	3,181,752	4,478,810	1,601,004	1,576,000	1,576,000
Expenditures	4,403,783	3,029,356	2,495,778	2,530,344	2,983,050	2,700,000	2,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(87,522)	(110,576)	(228,102)	(885,974)	0	0	0
Ending Cash Balance	18,903,101	26,078,234	26,536,106	27,598,598	26,216,552	25,092,552	23,968,552
Encumbrances	3,063,744	2,249,393	3,163,622	2,710,311			
Unencumbered Cash Balance	15,839,357	23,828,841	23,372,484	24,888,287	26,216,552	25,092,552	23,968,552

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED 150
 Name of Fund: Heeia Community Development Revolving Fund
 Legal Authority: Section 206E-204, HRS

Contact Name: Aedward Los Banos
 Phone: 594-0343
 Fund type (MOF): Revolving Funds
 Appropriation Acct. No.: S-364-B

Intended Purpose:

Section 206E-204, HRS, requires all revenues and receipts for the Heeia Community Development District be deposited into this fund and be used to further HCDA's community development objectives for Heeia. Objectives include implementation of policies, programs, and projects that preserve and protect the environment and promote agriculture through varied land uses.

Source of Revenues:

Transfer monies from Hawaii Community Development Revolving Fund and lease rent.

Current Program Activities/Allowable Expenses:

The HCDA is currently working to develop a community development plan for this community development district. Following the adoption of a community development plan, the HCDA will work to establish a set of rules for the district and adopt programs necessary for the implementation of the plan.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,897	2,909	4,391	5,428	76,028	27,528	29,028
Revenues	12	1,482	1,037	100	1,500	1,500	1,500
Expenditures	0			79,500	50,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	150,000	0	0	0
Ending Cash Balance	2,909	4,391	5,428	76,028	27,528	29,028	30,528
Encumbrances				70,500			
Unencumbered Cash Balance	2,909	4,391	5,428	5,528	27,528	29,028	30,528

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

B

Department: Business, Economic Development & Tourism
 Prog ID(s): BED 150
 Name of Fund: Hawaii Community Development Authority Special
 Legal Authority: Section 206E-6(g), HRS

Contact Name: Aedward Los Banos
 Phone: 594-0343
 Fund type (MOF): Revolving Funds
 Appropriation Acct. No.: S-349-B

Intended Purpose:

Section 206E-6(g), HRS, requires properties to be assessed a share of the cost of public facilities improvements. The monies collected from property owners

Source of Revenues:

Collection of assessment payments from private property owners benefited from ID-1, ID-2 and ID-3.

Current Program Activities/Allowable Expenses:

Collecting assessment payments for infrastructure improvement projects.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

The HCDA has no active Improvement District projects. Debt related to previous Improvement District projects has been defeased using revolving funds. The HCDA continues to collect assessments from landowners under previous ID assessments which are currently recognized in the Hawaii Community Development Revolving Fund.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160HF
 Name of Fund: Home Investment Partnership Program
 Legal Authority: P.L. 101-625, Title II

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Federal Fund "N"
 Appropriation Acct. No.: S-YY-212-B

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues:

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				9,155,284	9,654,788		
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	2,600,496	2,283,000	2,225,000	1,367,000
Expenditures	0	0	0	2,600,496	2,283,000	2,225,000	1,367,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers						0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160HF
 Name of Fund: National Foreclosure Mitigation Counseling (NFMC)
 Legal Authority: P.L. 110-289

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Other Federal Fund
 Appropriation Acct. No. S-14-213-B, S-14-503-B

Intended Purpose:

Federal funding for foreclosure mitigation counseling through the HERA approved July 30, 2008. NFMC is intended to support rapid expansion of foreclosure intervention, counseling services in response to nationwide mortgage foreclosure crisis. Grant to be used for foreclosure counseling, legal assistance to homeowners facing foreclosure. Training for foreclosure counselors & admin expenses.

Source of Revenues:

Housing and Economic Recovery Act (HERA)

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from Neighbor Works America and administers the NFMC program, the grant money is then disbursed to non-profit counseling agencies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		310,231	310,819	310,819			
Beginning Cash Balance	14,586	5,525	7,842	7,842	0	0	0
Revenues	5,972	1,730					
Expenditures	15,033	1,673		7,842			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,525	5,582	7,842	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	5,525	5,582	7,842	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160 HF
 Name of Fund: Housing Project Bond Special Fund - Multifamily
 Legal Authority: HRS Section 201H Part III A

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Special
 Appropriation Acct. No. S-YY-372-B

Intended Purpose: The Multifamily Housing Revenue bond Fund was created in accordance with Act 291, SLH 1980, which authorized the Corporation to issue revenue bonds of \$122,500,000. This authorization was subsequently increased to \$500,000,000 by Act 304, SLH 1996; Act 185, SLH 2004; Act 231, SLH 2007 and Act 121, SLH 2008. This authorization was further increased to \$750,000,000 by S.B. No. 2740, S.D. 1 in 2012.

Source of Revenues: Bond proceeds, interest from investments and loans, and loan repayments.

Current Program Activities/Allowable Expenses: The Corporation is a conduit of which proceeds from the bond issues are used to provide interim construction loans and/or permanent financing to facilitate the construction or rehabilitation of affordable rental housing projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: Bond issuances and repayments are based on the financing needs of various project developers, thus fluctuations occur from year to year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	101,517,402	20,536,515	88,296,165	140,356,918	297,221,000	28,962,000	28,964,000
Expenditures	101,517,402	20,536,515	88,296,165	140,356,918	297,221,000	28,962,000	28,964,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160 HF
 Name of Fund: Housing Loan Prog Revenue Bond Special Fund - HRHSRB
 Legal Authority: HRS Section 201H - 80

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Special
 Appropriation Acct. No. S-YY-373-B

Intended Purpose: The Rental Housing System and the State of Hawaii Affordable Program were created under the provision of section 57 of Act 337, SLH 1987. Act 216, SLH 1987, as amended by Act 390, SLH 1988, authorized the Corp to issue up to \$75,000,000 of revenue bonds. This authorization was subsequently increased to \$375,000,000 by Act 316, SLH 1989, Act 299, SLH 1990, and Act 172, SLH 1991. In October 2004, the Corporation issued bonds to refund the outstanding RHS and SHARP bonds. The Hawaii Rental Housing System Revenue Bond (HRHSRB) program was created as a result of the refunding.

Source of Revenues: Interest income from investments, rental income, and parking income.

Current Program Activities/Allowable Expenses: The proceeds from the bond issues are used to finance multifamily rental housing projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: There were no significant variances.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,246,208	2,340,938	2,525,654	2,669,880	2,771,843	2,771,843	2,771,843
Revenues	18,995,557	19,862,899	20,533,781	21,777,765	20,069,000	20,415,000	21,027,000
Expenditures	18,900,827	19,678,183	20,389,555	21,675,802	20,069,000	20,415,000	21,027,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,340,938	2,525,654	2,669,880	2,771,843	2,771,843	2,771,843	2,771,843
Encumbrances							
Unencumbered Cash Balance	2,340,938	2,525,654	2,669,880	2,771,843	2,771,843	2,771,843	2,771,843

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160-HD
 Name of Fund: Dwelling Unit Revolving Fund (DURF) (incl 314 & 320)
 Legal Authority: HRS Chapter 201H-191

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Special Revolving
 Appropriation Acct. No. S-YY-375-B

Intended Purpose:

The Dwelling Unit Revolving Fund was established in accordance with Act 105, SLH 1970. This Act together with Act 195, SLH 1975, and Act 225, SLH 1976 (which repealed Act 239, SLH 1969) authorized the State to issue general obligation bonds of \$125,000,000 for acquiring, developing, selling & leasing rental residential, commercial & industrial properties; & for providing mortgage, interim construction, down payment, participation mortgage & agreement of sale loans. Act 132, SLH 2016 broadened the use of DURF to also fund regional state infrastructure construction in conjunction with housing and mixed-use transit-oriented development projects.

Source of Revenues:

Repayments on loans; Sales of dwelling units, land & other assets; Rental payments & lease rent payments from dwelling owners; investment interest.

Current Program Activities/Allowable Expenses:

Administration of DURF program, which has been used primarily for interim construction financing of affordable infill housing projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Encumbrances: Amount includes unpaid contract amounts primarily for engineering and maintenance for various projects.

Variances: Annual swings in revenue and expenditures are primarily based on anticipated loan repayments and loan advances.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,989,197	7,916,902	8,548,945	9,049,228	8,723,923		
Beginning Cash Balance	67,754,775	85,054,584	95,669,092	91,026,701	123,042,716	67,543,716	43,012,716
Revenues	31,807,593	9,154,873	11,442,603	14,038,872	9,488,000	15,725,000	31,130,000
Expenditures	16,074,419	14,148,714	18,034,374	19,090,379	67,087,000	70,006,000	15,233,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from S-YY-376	1,456,523	1,494,848	1,337,601	1,439,374	1,450,000	1,450,000	1,450,000
Transfer from S-YY-378	110,112	113,501	157,527	180,880	200,000	200,000	200,000
Transfer from S-YY-380			454,252	447,268	450,000	450,000	450,000
Transfer from B-13-404		7,000,000					
Transfer from B-13-406		7,000,000					
Transfer from B-17-406				25,000,000		27,650,000	
Transfer from G-18-374				10,000,000			
Net Total Transfers	1,566,635	15,608,349	1,949,380	37,067,522	2,100,000	29,750,000	2,100,000
Ending Cash Balance	85,054,584	95,669,092	91,026,701	123,042,716	67,543,716	43,012,716	61,009,716
Encumbrances	3,433,136	2,370,437	3,675,395	7,648,000			
Unencumbered Cash Balance	81,621,448	93,298,655	87,351,306	115,394,716	67,543,716	43,012,716	61,009,716

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED-160-HF
 Name of Fund: Housing Finance Revolving Fund (incl S-321)
 Legal Authority: HRS Chapter 201H-80

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Special
 Appropriation Acct. No. S-YY-376-B

Intended Purpose: The Housing Finance Revolving Fund was created in 1985 by Act 48, SLH 1984, to be used for long-term and other special financing. All monies received and collected by the Corporation, not otherwise pledged or obligated nor required by law to be placed in another fund are deposited in this fund. Transactions for the Low Income Housing Tax Credit, Mortgage Credit Certificate and Down Payment Loan programs are recorded in the fund.

Source of Revenues: Repayment on loans and investment interest.

Current Program Activities/Allowable Expenses: Funds are used for long-term & special financing of the Corporation and for the payment of the necessary administrative expenses of programs which include the Mortgage Credit program, the Kahana Valley Loan program, and the Low-Income Housing Tax Credit Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,208,180	1,925,761	2,140,472	2,241,913	2,206,502		
Beginning Cash Balance	5,405,591	5,419,875	6,208,909	7,062,785	7,614,436	7,993,496	7,389,962
Revenues	2,897,879	3,559,417	3,834,452	3,687,333	3,695,000	2,899,000	2,916,000
Expenditures	1,427,073	1,275,535	1,642,975	1,696,309	1,865,940	2,052,534	2,257,787
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers to Disb.(for Admin Cost)	(1,456,522)	(1,494,848)	(1,337,601)	(1,439,373)	(1,450,000)	(1,450,000)	(1,450,000)
Net Total Transfers	(1,456,522)	(1,494,848)	(1,337,601)	(1,439,373)	(1,450,000)	(1,450,000)	(1,450,000)
Ending Cash Balance	5,419,875	6,208,909	7,062,785	7,614,436	7,993,496	7,389,962	6,598,175
Encumbrances	796,125	222,998	357,373	716,057			
Unencumbered Cash Balance	4,623,750	5,985,911	6,705,412	6,898,379	7,993,496	7,389,962	6,598,175

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160-HF
 Name of Fund: Rental Assistance Revolving fund
 Legal Authority: HRS Section 201H-123

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Special
 Appropriation Acct. No. S-YY-378-B

Intended Purpose: The Rental Assistance Fund was created by Act 111, SLH 1981 to assist families and individuals of low and moderate income to obtain safe and sanitary rental housing accommodations at reduced rates. The program was amended by Act 307, SLH 1992, to also provide for interim construction financing for rental projects.

Source of Revenues: Interest income from investments and interim loans.

Current Program Activities/Allowable Expenses: This fund also provides interim construction financing for the development of affordable rental units.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	20,739,002	19,321,141	18,131,859	7,469,359	10,464,274	8,867,767	7,232,036
Revenues	544,696	688,032	627,159	4,740,881	150,000	82,000	82,000
Expenditures	1,852,445	1,763,814	1,632,131	1,565,087	1,546,507	1,517,731	1,517,731
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Schedule attached							
1) Trf to disb acct for admin	(108,268)	(111,695)	(155,693)	(180,879)	(200,000)	(200,000)	(200,000)
2) Trf to disb acct for audit	(1,844)	(1,805)	(1,835)				
3) Trf to S-380B			(9,500,000)				
Transfers ?							
Net Total Transfers	(110,112)	(113,500)	(9,657,528)	(180,879)	(200,000)	(200,000)	(200,000)
Ending Cash Balance	19,321,141	18,131,859	7,469,359	10,464,274	8,867,767	7,232,036	5,596,305
Encumbrances							
Unencumbered Cash Balance	19,321,141	18,131,859	7,469,359	10,464,274	8,867,767	7,232,036	5,596,305

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160-HF
 Name of Fund: Rental Housing Revolving Fund
 Legal Authority: HRS Chapter 201H-202, as amended by Act 237, SLH 2015

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Special Fund
 Appropriation Acct. No.: S-YY-380-B

Intended Purpose:

Funding is made available to subsidize the development of affordable rental housing (grant or low-interest, long term loan) by establishing the Rental Housing Revolving Fund as a continuous renewable resource to assist very low and low income families and individuals, including the homeless and special needs groups, in obtaining rental housing. Revenues are obtained through collection of miscellaneous income and application fees.

Source of Revenues:

Repayment on loans; Conveyance taxes & Investment interest.

Current Program Activities/Allowable Expenses:

To assist low-income families and individuals, including the homeless and special need groups in obtaining affordable rental housing.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Prior to July 1, 2015, this fund was a Trust Fund, T-XX-930-B.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		88,000,000	88,000,000				
Beginning Cash Balance		0	125,492,118	156,524,216	430,753,177	384,195,439	341,658,358
Revenues		35,756,402	42,010,597	42,917,062	34,827,000	34,877,000	34,927,000
Expenditures		12,375,686	20,024,247	44,840,833	80,934,738	101,964,081	103,000,000
Transfers							
List each by JV# and date							
Transfer from T-16-930		97,111,402					
Transfer to S-YY-314			(454,252)	(447,268)	(450,000)	(450,000)	(450,000)
Transfer from S-17-378			9,500,000				
Transfer from B-14-405		5,000,000					
Transfer from B-15-404				40,000,000			
Transfer from B-16-415				36,600,000			
Transfer from B-17-406		102,111,402				25,000,000	
Transfer from G-18-373				200,000,000			
Net Total Transfers	0	102,111,402	9,045,748	276,152,732	(450,000)	24,550,000	(450,000)
Ending Cash Balance	0	125,492,118	156,524,216	430,753,177	384,195,439	341,658,358	273,135,358
Encumbrances							
Unencumbered Cash Balance	0	125,492,118	156,524,216	430,753,177	384,195,439	341,658,358	273,135,358

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED-160-HF
 Name of Fund: Housing Loan Program Revolving Bond Fund
 Legal Authority HRS Chapter 201H, Part III. B

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Special
 Appropriation Acct. No. S-YY-397-B

Intended Purpose: The Single Family Mortgage Purchase Revenue Bond Fund was established in accordance with Act 50, SLH 1979, as amended by Act 337, SLH 1987. These Acts, together with Act 288, SLH 1980; Act 13, Special SLH 1981; Act 224, SLH 1984; Act 171, SLH 1991; Act 1, SLH 1995; and Act 189, SLH 1999 authorize the Corporation to issue bonds of up to \$2,275,000,000. The proceeds from bond issues are used to make affordable interest rate mortgage loans to persons & families of low & moderate income for the purchase of owner-occupied detached single-family and condominium dwelling. Funds are held by a trustee outside of the State Treasury.

Source of Revenues: Bond proceeds; repayments on loans; investment interest, and commitment fees.

Current Program Activities/Allowable Expenses: The Corporation has the flexibility to issue bonds from time to time based on the needs of the mortgage lenders & developers. The exact amount of bonds to be issued will be based upon the demand for affordable mortgage money.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Bond issuances and repayments are based on the demand for affordable mortgage money, thus the swings from year to year.

Financial Data							
	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (estimated)	FY 2020 (estimated)	FY 2021 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	10,709	141,959	0	0	0	0	0
Revenues	4,038,814	3,116,126	2,645,960	2,213,958	33,306,000	34,306,000	34,306,000
Expenditures	3,907,564	3,258,085	2,645,960	2,213,958	33,306,000	34,306,000	34,306,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	141,959	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	141,959	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160 (HF)
 Name of Fund: Housing Trust Fund
 Legal Authority: P.L. 110-289

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Other Federal Fund "P"
 Appropriation Acct. No. S-17-514, S-18-521

Intended Purpose:

The Housing and Economic Recovery Act of 2008 (HERA) authorized formula grants for the rehabilitation or new construction of rental and homebuyer housing in order to expand and preserve the supply of affordable housing, particularly rental housing, for extremely low-income and very low-income households.

Source of Revenues:

HERA

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the Housing Trust Fund program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,000,000	5,958,620	5,849,102		
Beginning Cash Balance		0	0	0	0	0	0
Revenues			41,379	109,518	1,000,000	1,000,000	850,000
Expenditures			41,379	109,518	1,000,000	1,000,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160 HF
 Name of Fund: OHA CEDED LAND PROCEEDS (HCDCH)
 Legal Authority: Act 134, SLH 2006

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Trust
 Appropriation Acct. No. T-YY-906-B

Intended Purpose: Under Act 178, SLH 2006 and Executive order 06-06, each agency of the State that collects receipts from the lands within the public land trust are required to transfer a portion of those receipts to the Office of Hawaiian Affairs ("OHA") thirty days after the close of each fiscal quarter.

Source of Revenues: Commercial receipts from lands within the public land trusts

Current Program Activities/Allowable Expenses: The Corporation collects lease rents and disburses a portion to the Office of Hawaiian Affairs

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	1,095	0	0	0	0
Revenues	40,412	36,501	88,785	59,489	60,000	60,000	60,000
Expenditures	40,412	35,406	89,880	59,489	60,000	60,000	60,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,095	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	1,095	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Mass Transit Special Fund
 Legal Authority: Act 1 SLH 2017 1st Special Session

Contact Name: Tracy Ban
 Phone: 586-1601
 Fund type (MOF) S
 Appropriation Acct. No. S-XX-350-O

Intended Purpose:

Act 1, First Special Session, Session Laws of Hawaii 2017 established the Mass Transit Special Fund from which a portion of the Transit Accommodations Tax and surcharge on State tax revenues are earmarked beginning January 1, 2018 to December 31, 2030 to provide a stable source of funding for the Honolulu Authority for Rapid Transit (HART) to accomplish the purposes as stipulated in Act 1, First Special Session Laws of Hawaii 2017.

Source of Revenues:

A portion of the Transit Accommodations Tax and surcharge on State tax revenues are earmarked beginning Jan 1, 2018 to Dec 31, 2030.

Current Program Activities/Allowable Expenses:

The legislature appropriated \$1,000,000,000 in special funds for FY18 to B&F. Extended lapse date of June 30, 2019.

Purpose of Proposed Ceiling Adjustment (if applicable):

Ceiling for the Mass Transit Special Fund will be established in the budget in FB 19-21.

Variance:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,000,000,000		363,944,000	377,575,000
Beginning Cash Balance	0	0	0	0	81,793,821	81,793,821	81,793,821
Revenues	0	0	0	157,125,675	350,980,000	363,944,000	377,575,000
Expenditures				75,331,854	350,980,000	363,944,000	377,575,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	81,793,821	81,793,821	81,793,821	81,793,821
Encumbrances							
Unencumbered Cash Balance	0	0	0	81,793,821	81,793,821	81,793,821	81,793,821

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Emergency and Budget Reserve Fund
 Legal Authority: Section 328L-3 (3), HRS

Contact Name: Neal Miyahira
 Phone: 586-1530
 Fund type (MOF): B
 Appropriation Acct. No.: S-354-O

Intended Purpose: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Source of Revenues:

Section 328L-3, HRS, provides that whenever State general fund revenues for each of two successive fiscal years exceeds revenues for each of the preceding fiscal years by 5%, 5% of the State general fund balance at the end of the fiscal year shall be deposited into the EBRF; however, no such transfer shall be made whenever the balance of the EBRF is equal to or more than 10% of general fund revenues for the preceding fiscal year. Article VII, Section 6, of the Hawaii State Constitution, also requires that the general fund balance at the close of each of two successive fiscal years must exceed 5% of general fund revenues for each of the two fiscal years before a deposit into an emergency fund is required. The transfer shall be executed by the director of finance; provided that all moneys deposited into the emergency and budget reserve fund under paragraph (3) shall be kept in a separate and distinct account.

Current Program Activities/Allowable Expenses: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: None.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	51,534,839	51,896,767	52,385,767	52,874,767
Revenues			51,534,839	361,927	489,000	489,000	489,000
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	51,534,839	51,896,767	52,385,767	52,874,767	53,363,767
Encumbrances							
Unencumbered Cash Balance	0	0	51,534,839	51,896,767	52,385,767	52,874,767	53,363,767

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Emergency and Budget Reserve Fund
 Legal Authority: Section 328L-3, HRS

Contact Name: Neal Miyahira
 Phone: 586-1530
 Fund type (MOF) B
 Appropriation Acct. No. S-355-O

Intended Purpose: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Source of Revenues: 15% of tobacco settlement revenues after the first \$350,000 are transferred into the emergency and budget reserve fund (EBRF); FY 14 also reflects \$50M appropriated pursuant to Act 267/13 to recapitalize the EBRF; FY 16 includes a \$1 M apprn to the EBRF (Act 64/16), and FY 17 includes a \$150 M apprn to the EBRF (Act 104/16). Act 12, SLH 2018 deposited \$58,711,173.87 from the Tobacco Arbitration Agreement to the EBRF.

Current Program Activities/Allowable Expenses: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY 14 reflects a deposit to the fund pursuant to Act 267/13. FY 16 includes a \$1 M apprn to the EBRF (Act 64/16) and FY 17 includes a \$150 M apprn to the EBRF (Act 104/16). FY18 reflects a deposit to the fund of \$58,711,173.87 pursuant to Act 12/18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	83,174,164	90,194,986	100,881,987	259,795,464	323,760,753	331,788,753	339,816,753
Revenues	7,020,822	8,511,234	158,241,073	63,951,075	8,028,000	8,028,000	8,028,000
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
9/25/2015	S-11-352-K		15,980.33				
9/25/2015	S-11-354-K		0.01				
9/25/2015	S-11-355-K		4,059.09				
10/7/2015	S-11-338-K		25,686.03				
10/7/2015	S-11-356-K		6,646.00				
3/4/2016	S-11-379-K		3,541.20				
3/4/2016	S-11-370-K		0.56				
3/4/2016	S-11-366-K		50,000.00				
3/4/2016	S-11-374-K		198,218.11				
3/4/2016	S-11-375-K		82.36				
3/4/2016	S-11-381-K		5,570.00				
4/22/2016	S-09-391-C		967,808.98				
6/9/2016	S-01-345-K		6,500.00				

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
6/9/2016 S-04-366-K		110,000.00					
6/9/2016 S-05-366-K		0.53					
6/9/2016 S-05-368-K		91,205.00					
6/9/2016 S-05-372-K		69,903.75					
6/9/2016 S-06-326-K		6,837.00					
6/9/2016 S-06-370-K		0.03					
6/9/2016 S-06-377-K		100,000.00					
6/9/2016 S-06-378-K		30.00					
6/9/2016 S-15-363-K		23,942.34					
6/9/2016 S-16-363-K		700.37					
7/9/2016 S-07-318-G		489,055.10					
9/13/2016 S-11-337-E			3,790				
1/17/2017 S-11-353-K			427,159				
1/17/2017 S-11-359-K			74,779				
1/17/2017 S-11-361-K			14,574				
1/17/2017 S-11-358-K			100,000				
3/3/2017 S-04-330-K			2,500				
3/3/2017 S-06-330-K			44,141				
3/15/2017 S-11-359-K			5,222				
3/15/2017 S-11-366-K			240				
7/14/2017 S-15-308-H				12,472			
7/17/2017 S-10-347-H				1,741			
Net Total Transfers	0	2,175,767	672,404	14,213	0	0	0
Ending Cash Balance	90,194,986	100,881,987	259,795,464	323,760,753	331,788,753	339,816,753	347,844,753
Encumbrances							
Unencumbered Cash Balance	90,194,986	100,881,987	259,795,464	323,760,753	331,788,753	339,816,753	347,844,753

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Overpayment Collections to OHA-Ceded Lands
 Legal Authority: Executive Order No. 06-06

Contact Name: Neal Miyahira
 Phone: 586-1530
 Fund type (MOF): T
 Appropriation Acct. No.: T-955-O

Intended Purpose: Account is used to collect overpayments made to the Office of Hawaiian Affairs for ceded lands, and to make up shortage amounts due to OHA pursuant to Executive Order No. 06-06.

Source of Revenues: Account revenues are quarterly amounts paid to OHA in excess of the \$3,775,000, pursuant to Act 178, SLH 2006.

Current Program Activities/Allowable Expenses: Funds can be expended to make up shortfalls in payments to OHA per Executive Order No. 06-06.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Expenditures in FY 16 reflect the return of Dept of Transportation's pro rata share of overpayments for FY 13, FY 14, and FY 15.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,007,264	8,491,068	3,845,945	11,768,746	13,974,733	13,974,733	13,974,733
Revenues	3,483,805	1,821,966	7,922,801	2,205,987			
Expenditures		6,467,089					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,491,068	3,845,945	11,768,746	13,974,733	13,974,733	13,974,733	13,974,733
Encumbrances							
Unencumbered Cash Balance	8,491,068	3,845,945	11,768,746	13,974,733	13,974,733	13,974,733	13,974,733

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 101
 Name of Fund: Temporary Deposits
 Legal Authority: Administratively Established

Contact Name: Tracy Ban
 Phone: 586-1632
 Fund type (MOF): T
 Appropriation Acct. No.: T-909-O

Intended Purpose:

To hold temporary deposits related to vendor bids, performance bonds, salary overpayments, and various other administrative type temporary deposits.

Source of Revenues:

Temporary deposits from salary overpayments, performance bonds, vendor bids, and other administrative temporary deposits.

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variance:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,275	6,275	6,275	6,275	6,275	6,275	6,275
Revenues	0	0	0	0	0	0	0
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,275	6,275	6,275	6,275	6,275	6,275	6,275
Encumbrances							
Unencumbered Cash Balance	6,275	6,275	6,275	6,275	6,275	6,275	6,275

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Interest Earned - Investment Pool
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-907-O

Intended Purpose:

Account was established as holding account to deposit interest earned from the State Treasury centralized pool investments before distribution to participating accounts.

Source of Revenues:

Interest earned on centralized investment pool investments.

Current Program Activities/Allowable Expenses:

JV's are generated each month to allocate interest earnings to the various appropriation accounts of departments participating in the investment pool. Interest is allocated monthly by the investment pool system, to approx. 2,500 accounts.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Encumbrances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	95,012	4,375	6,083,785	43,180,126	0	0
Revenues	18,746,942	27,783,004	44,529,796	70,555,727	57,542,762	64,049,244	60,796,003
Expenditures	0	0	0	0	0	0	0
Transfers							
JV monthly to transfer revenue from T-907 to agencies							
8/3/15 JT0089 T-16-907	(18,651,930)	(27,618,283)	(38,450,386)	(33,459,386)			
7/14/16 JM6984 T-16-907		(130,510)					
		(124,848)					
Net Total Transfers	(18,651,930)	(27,873,641)	(38,450,386)	(33,459,386)	(100,722,888)	(64,049,244)	(60,796,003)
Ending Cash Balance	95,012	4,375	6,083,785	43,180,126	0	0	0
Encumbrances							
Unencumbered Cash Balance	95,012	4,375	6,083,785	43,180,126	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Interest Earned - Bond Investment Pool
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No.: T-xx-908-O

Intended Purpose:

Account was established as holding account to deposit interest earned from the State Treasury centralized Bond Investment Pool before distribution to participating accounts.

Source of Revenues:

Interest earned on centralized Bond Investment Pool investments.

Current Program Activities/Allowable Expenses:

JV's are generated each month to allocate interest earnings to the various appropriation accounts of departments participating in the Bond pool. Interest is allocated monthly by the Bond Investment pool system.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Encumbrances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,281	23,385	23,423	603,586	5,311,147	0	0
Revenues	485,811	1,058,097	2,817,666	7,825,554	3,900,439	4,847,886	5,524,627
Expenditures	0	0	0	0			
Transfers							
List each by JV# and date							
Adj. entry	(461,697)	(1,058,059)	(2,239,513)	(3,117,994)			
Net Total Transfers	(463,707)	(1,058,059)	(2,237,503)	(3,117,994)	(9,211,586)	(4,847,886)	(5,524,627)
Ending Cash Balance	23,385	23,423	603,586	5,311,147	0	0	0
Encumbrances							
Unencumbered Cash Balance	23,385	23,423	603,586	5,311,147	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Temporary Deposits - Special Purpose Revenue Bond - Security Deposit
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No.: T-xx-914-O

Intended Purpose:

To record non-refundable security deposits from project parties for the purpose of reimbursing the State for all the costs and expenses incurred relating to the issuance of special purpose revenue bonds.

Source of Revenues:

Security deposits from the project parties.

Current Program Activities/Allowable Expenses:

Any balance remaining in the Trust Fund is to be transferred to General Fund.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,000	0	5,000	9,000	10,000	0	0
Revenues	0	5,000	4,000	1,000	2,000	2,000	2,000
Expenditures	1,000	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	(12,000)	(2,000)	(2,000)
Ending Cash Balance	0	5,000	9,000	10,000	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	5,000	9,000	10,000	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Taxes Payable to Other State Agencies
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No.: T-xx-916-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of tobacco tax collected by DoTax and distributed per statute to UH per Act 316/SLH2006. It serves as a clearing account for fuel tax revenues pending distribution.

Source of Revenues:

Tobacco Tax collections by state Dept. of Taxation (DoTax)

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer taxes into this account in order to pay UH for their statutory distribution of tobacco tax.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	222,967	0	0	1,526,488	0	0
Revenues	72,966,644	17,020,931	16,860,243	16,498,821	16,793,332	16,717,465	16,669,873
Expenditures	72,743,678	17,243,898	16,860,243	14,972,334	18,319,819	16,717,465	16,669,873
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	222,967	0.00	0	1,526,488	0	0	0
Encumbrances							
Unencumbered Cash Balance	222,967	0	0	1,526,488	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Taxes Payable to Counties/HTA - TAT
 Legal Authority: Adminstratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-917-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of TAT distributed monthly to HTA and semi-annually to the counties. It serves as a clearing account for TAT revenues pending distribution per statute.

Source of Revenues:

Transient Accommodations Tax (TAT) collected by the DoTax.

Current Program Activities/Allowable Expenses:

B&F processes SWV's from this account for payment to HTA and the counties of their statutory distribution of TAT.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	218,000,000	213,000,000	216,000,000	216,000,000	215,000,000	215,666,667	215,555,556
Expenditures	218,000,000	213,000,000	216,000,000	216,000,000	215,000,000	215,666,667	215,555,556
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Taxes Payable to Counties - Fuel Tax
 Legal Authority: Adminstratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-918-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of liquid fuel tax distributed monthly to the counties. It serves as a clearing account for fuel tax revenues pending distribution per statute.

Source of Revenues:

Liquid Fuel Tax collected by the DoTax.

Current Program Activities/Allowable Expenses:

B&F processes SWV's from this account for payment to the counties of their statutory distribution of fuel tax.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	7,554,132	7,554,132	7,554,132
Revenues	74,222,590	78,676,804	81,277,583	86,945,807	82,300,065	83,507,818	84,251,230
Expenditures	74,222,590	78,676,804	81,277,583	79,391,675	82,300,065	83,507,818	84,251,230
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	7,554,132	7,554,132	7,554,132	7,554,132
Encumbrances							
Unencumbered Cash Balance	0	0	0	7,554,132	7,554,132	7,554,132	7,554,132

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Taxes Payable to Counties - GETax surcharge
 Legal Authority: Adminstratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-919-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of county GET surcharge collected by DoTax and distributed per statute HRS 248-2.6 to the City & County of Honolulu. It serves as a clearing account for the surcharge pending distribution.

Source of Revenues:

General Excise Tax collections by state Dept. of Taxation (DoTax)

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer taxes into this account in order to pay City & County of Honolulu for their statutory distribution of GETax

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	53,472,882	0	0	0
Revenues	248,518,158	259,248,034	248,158,469	305,215,298	322,002,140	339,712,257	358,396,431
Expenditures	248,518,158	259,248,034	194,685,587	358,688,180	322,002,140	339,712,257	358,396,431
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	0	0	53,472,882	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	53,472,882	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Hawaii Children's Trust Fund
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-922-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of taxes collected by DoTax and distributed per statute Act 228/SLH2004 to the Hawaii Children's Trust Fund at the Hawaii Community Foundation.

Source of Revenues:

Income tax refund designation by taxpayer.

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer funds into this account in order to pay HI Community Foundation per income tax refund designation.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	153,525	148,735	154,680	161,865	105,515	89,127	64,881
Expenditures	153,525	148,735	154,680	161,865	105,515	89,127	64,881
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Unclaimed Property Trust Fund
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-932-O

Intended Purpose:

Account was established to deposit and disburse moneys in Unclaimed Property Program. All funds in excess of \$1.3 million remaining on balance at the end of the fiscal year will be transferred to the general fund.

Source of Revenues:

Property that is held, issued, or owing in the ordinary course of a holder's business and has remained unclaimed by the owner for more than five years after it became payable or distributable is presumed abandoned.

Current Program Activities/Allowable Expenses:

Amounts received from abandoned property Holders are received as Revenue and Claims verified and paid out are disbursed as Expenditures.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,018,984	7,148,438	11,674,867	11,684,692	11,684,692	11,715,179	11,715,179
Beginning Cash Balance	18,433,585	20,214,660	38,698,147	12,939,216	19,648,008	0	0
Revenues	29,614,387	30,581,216	26,825,417	29,807,415	20,000,000	20,000,000	20,000,000
Expenditures	10,794,822	12,097,729	15,193,285	11,492,440	8,000,000	8,000,000	8,000,000
Transfers							
List each net transfer in/out; list each account number							
Prior year cash revert to GF-JV	(18,433,585)						
7/7/2014 JT0005 T-14-932	1,300,000						
Cash balance T-14-932	95,095						
9/6/16 JM0940			(37,391,063)				
9/28/17 JM1436 TF to GF				(11,606,183)			
Net Total Transfers	(17,038,490)	0	(37,391,063)	(11,606,183)	(31,648,008)	(12,000,000)	(12,000,000)
Ending Cash Balance	20,214,660	38,698,147	12,939,216	19,648,008	0	0	0
Encumbrances	0	7,084		1,316			
Unencumbered Cash Balance	20,214,660	38,691,063	12,939,216	19,646,692	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF115 CA
 Name of Fund: College Savings Program Trust Fund
 Legal Authority: Chapter 256,HRS

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. N/A *

Intended Purpose:

The College Savings Program Trust Fund was established in 2002 with the commencement of Hawaii's 529 program. Funds are maintained in the Trust Fund on behalf of participants or account owners, and are invested as directed by the participants according to program rules.

Source of Revenues:

Account owner contributions and account investment earnings.

Current Program Activities/Allowable Expenses:

Trust fund for Hawaii's 529 College Savings Program, per program description/rules. Funds may be withdrawn by account owners, intended for designated beneficiaries' college expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable *

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(Actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	66,985,821	69,607,347	72,043,320	77,280,232	80,863,303	85,863,303	90,863,303
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
	2,621,526	2,435,973	5,236,912	3,583,071			
Net Total Transfers	2,621,526	2,435,973	5,236,912	3,583,071	5,000,000	5,000,000	5,000,000
Ending Cash Balance	69,607,347	72,043,320	77,280,232	80,863,303	85,863,303	90,863,303	95,863,303
Encumbrances							
Unencumbered Cash Balance	69,607,347	72,043,320	77,280,232	80,863,303	85,863,303	90,863,303	95,863,303

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* College Savers Trust fund is held outside of the State Treasury.

** Net Transfers represent change in net asset value of the total accounts (contributions and withdrawals by program participants) per QE June program report

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 141
 Name of Fund: Expense Fund
 Legal Authority: Section 88-116,HRS

Contact Name: Thomas Williams / Kanoe Margol
 Phone: 586-1700
 Fund type (MOF) X
 Appropriation Acct. No. S-xx-315-O

Intended Purpose:

The fund which all money shall be credited to pay for the administrative and operational expenses of the ERS.

Source of Revenues:

ERS' interest and investment earnings of the Pension Trust are allocated for the ERS Administrative budget to the ERS Expense Fund. All unused funds revert back to the Pension Trust. No cash or investments are held in the ERS Expense Fund.

Current Program Activities/Allowable Expenses:

Under the policy and executive direction of its Board of Trustees, the ERS plans, directs, and coordinates statewide retirement, disability, and survivor benefits program for State and county employees; administers the ERS Member Home Loan Program; and safeguards and accounts for ERS investments in stocks, bonds, foreign securities, real estate, alternative investments and mortgage loans.

Purpose of Proposed Ceiling Adjustment (if applicable):

Increase in FY 2020 Appropriation Ceiling represents increase in Other Costs to make pension computer system change to implement Hawaii Qualified Domestic Relations Order (HIDRO) Acts 263 & 30, establish Chief Compliance Officer to protect ERS investment and operational assets; a funds for ERS internal audit function established by the Board of Trustees.

Variations: Note: FY2017 Appropriation Ceiling corrected on this report.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,255,963	13,014,314	20,418,249	17,279,607	18,009,878	20,085,250	18,680,280
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	11,255,963	13,014,314	20,164,177	16,603,243	18,009,878	20,085,250	18,680,280
Expenditures	11,255,963	13,014,314	20,164,177	16,603,243	18,009,878	20,085,250	18,680,280
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 143
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund
 Legal Authority: Chapter 87A-30, HRS

Contact Name: Amy Cheung
 Phone: 587-5431
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-906

Intended Purpose: The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and state legal requirements. Act 145, SLH 2017 provided appropriation to the EUTF to employ certain EUTF staff through the civil service system.

Source of Revenues: Revenues represent an increase in the EUTF FY18 & FY19 budget appropriate for personnel costs.

Current Program Activities/Allowable Expenses: Expenditures are limited to payroll costs resulting from the civil service conversion.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				86,837	104,616		
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	0	0	0
Expenditures				86,837	104,616	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from T-18-997				86,837	104,616	0	0
Net Total Transfers	0	0	0	86,837	104,616	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 143
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund
 Legal Authority: Chapter 87A-30, HRS

Contact Name: Amy Cheung
 Phone: 587-5431
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-942

Intended Purpose: The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and state legal requirements. Effective 7/1/13, there was a transition from T-904 to T-942 (an account outside of the State Treasury).

Source of Revenues: Employer and employee health benefit premium contributions, experience gains returned by carriers and rebates from prescription drug.

Current Program Activities/Allowable Expenses: Premium payments to insurance carriers, Medicare Part B reimbursements to retirees and their spouses and employee refunds. Administrative fees are transferred to T-997.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	182,291,342	164,586,104	175,133,805	191,331,085	251,569,393	361,177,252	460,035,952
Revenues	979,860,974	1,141,022,631	1,173,430,970	1,240,753,235	1,294,131,593	1,307,072,909	1,333,214,367
Expenditures	991,866,212	1,049,474,929	1,143,233,690	1,172,914,927	1,184,523,734	1,208,214,209	1,232,378,493
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from T-13-942							
Transfer in from T-13-904							
Transfer to T-15-997	(5,700,000)						
Transfer to T-16-997		(6,000,000)					
Transfer to T-16-998		(75,000,000)					
Transfer to T-17-997			(6,800,000)				
Transfer to T-17-998			(7,200,000)				
Transfer to T-18-997				(7,600,000)			
Net Total Transfers	(5,700,000)	(81,000,000)	(14,000,000)	(7,600,000)	0	0	0
Ending Cash Balance	164,586,104	175,133,805	191,331,085	251,569,393	361,177,252	460,035,952	560,871,826
Encumbrances							
Unencumbered Cash Balance	164,586,104	175,133,805	191,331,085	251,569,393	361,177,252	460,035,952	560,871,826

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 143
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund
 Legal Authority: Chapter 87A-30, HRS

Contact Name: Amy Cheung
 Phone: 587-5431
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-997

Intended Purpose: The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and state legal requirements.

Source of Revenues: Administrative fees collected from employers and employees and interest earned. Effective 1/1/14 and 7/1/14, no administrative fees were assessed to retirees and active employees, respectively.

Current Program Activities/Allowable Expenses: Administrative operating expenses such as personal services, contracted services, lease rent, insurance, and other miscellaneous expenses are included in this appropriation. Administrative fees are transferred from T-942.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,408,809	6,902,897	7,099,272	7,584,071	7,776,516	18,281,213	8,798,391
Beginning Cash Balance	577,516	672,080	334,725	387,139	444,150	444,150	444,150
Revenues	147,781	240,728	28,728	53,927	7,776,516	18,281,213	8,798,391
Expenditures	5,753,217	5,794,444	5,951,988	6,991,950	7,776,516	18,281,213	8,798,391
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from T-13-942							
Transfer from T-15-942	5,700,000						
Transfer from T-16-942		6,000,000					
Transfer from T-17-942			6,800,000				
Transfer from T-18-942				7,600,000			
Transfer to T-18-906				(86,837)			
Transfer to T-14-997		(415,000)	(484,500)				
Transfer to T-15-997		(368,639)	(301,456)	(20,833)			
Transfer to T-16-997			(38,370)				
Transfer to T-17-997				(497,295)			
Net Total Transfers	5,700,000	5,216,361	5,975,674	6,995,034	0	0	0
Ending Cash Balance	672,080	334,725	387,139	444,150	444,150	444,150	444,150
Encumbrances	716,614	73,025	546,810				
Unencumbered Cash Balance	(44,534)	261,700	(159,672)	444,150	444,150	444,150	444,150

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: BUF
 Prog ID(s): BUF 143
 Name of Fund: Hawaii Employer-Union Health Benefits Trust Fund
 Legal Authority: Chapter 87A-30, HRS

Contact Name: Amy Cheung
 Phone: 587-5431
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-998

Intended Purpose: The fund was created to administer health and life insurance benefits for eligible active and retired State and county public employees and their eligible dependents by providing quality service levels to employee-beneficiaries and dependent-beneficiaries and complying with federal and state legal requirements.

Source of Revenues: Employers make contributions for the pre-funding of the Other Post-Employment Benefits (OPEB) Trust. At the end of FY 2011, T-905 transferred funds into this T-998 which is held outside of the State Treasury. There will be investment earnings due to the investments made with the funds.

Current Program Activities/Allowable Expenses: Custodial fees and investment consulting service fees are paid from this fund.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: Revenues due to Act 268, SLH 2013 required employers to contribute to the EUTF OPEB Trust Fund. The required contributions are phased in and employers are required to contribute 100% by fiscal year ending June 30, 2019. Expenditures: As the OPEB trust grows, investment fees will increase.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	569,804,797	843,766,582	1,278,791,349	1,673,325,875	2,189,336,116	2,725,942,444	3,257,082,559
Revenues	274,998,443	381,924,855	389,918,750	519,874,091	540,985,000	536,592,000	529,638,000
Expenditures	1,036,658	21,900,088	2,584,224	3,863,850	4,378,672	5,451,885	6,514,165
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer from T-16-942		75,000,000					
Transfer from T-17-942			7,200,000				
Net Total Transfers	0	75,000,000	7,200,000	0	0	0	0
Ending Cash Balance	843,766,582	1,278,791,349	1,673,325,875	2,189,336,116	2,725,942,444	3,257,082,559	3,780,206,394
Encumbrances							
Unencumbered Cash Balance	843,766,582	1,278,791,349	1,673,325,875	2,189,336,116	2,725,942,444	3,257,082,559	3,780,206,394

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: State Broadband Data and Development Grant Program
 Legal Authority: non-appropriated 1/, CFDA: 11.558 American Recovery and Reinvestment Act-SBDD-Hawaii Department of Commerce Affairs (Award no. 15-50-M09057)

Contact Name: Cathy Takase
 Phone: 586-5481
 Fund type (MOF) Federal Stimulus Funds (V)
 Appropriation Acct. No. S-XX-201-R

Intended Purpose:

The grant is to develop an on-line database that can be used by consumers to identify the availability, speed, and location of broadband services throughout Hawaii.

Source of Revenues:

Federal grant from the U.S. Department of Commerce

Current Program Activities/Allowable Expenses:

The initial grant includes \$1,449,940 for broadband data collection and mapping activities over a two-year period and \$500,000 for broadband planning activities. The overall award period is January 1, 2010 - December 31, 2011 for broadband mapping activities and January 1, 2010 - December 31, 2014 for broadband planning activities, if any. The project end-date has been subsequently extended to January 31, 2015.

Subsequently, additional federal funding in the amount of \$2,400,000 was awarded on September 28, 2010 to complete the project to map broadband availability and engage in broadband planning activities for five years.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Variances in the revenues and expenditures each year reflect different projects completed by the Department to map broadband availability and promote and foster broadband use. The grant was terminated on January 31, 2015 and was fully closed out. Thus, there are no future revenues or expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	175	42,716	0	0	0	0	0
Revenues 2/	698,869	0	0	0	0	0	0
Expenditures	699,044	42,716	0		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	42,716	0	0	0	0	0	0
Encumbrances	42,716	42,716	0				
Unencumbered Cash Balance	0	(42,716)	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor's approval to apply and expend federal grant (federal stimulus funds) were secured on September 17, 2010 and October 18, 2010.

2/ Revenue Projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Compliance Resolution Fund - Cable Television
 Legal Authority: Chapter 440G, HRS, Act 199, SLH 2010

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-302-R

Intended Purpose:

To foster the development of responsive and reliable cable communications services for the people of Hawaii, by promoting the public interest in authorizations by the State regarding cable franchises; regulate basic cable rates and service to ensure compliance with applicable State and Federal law; expand and operate the statewide Institutional Network; and continue the availability of public, education and government cable access. Additional responsibilities due to Act 199 tasked CATV to implement activities to create ubiquitous access to high speed broadband at affordable prices throughout the State.

Source of Revenues:

Franchise Fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

- Issues and enforces franchises for cable communications services.
- Promulgates and enforces rules and regulations governing the practices, procedures, quality and quantity of services including rates, and customer and technical operations of cable communications companies.
- Plans and encourages the development of new, improved and more effective utilization of cable communications services, equipment, and facilities including the State's Institutional Network (INET), and public, educational, and government (PEG) access resources and facilities, which will enhance communications services available to Hawaii's citizens.
- Support public and private efforts to enhance or facilitate deployment; making recommendations to establish affordable, accessible broadband services to un-served and underserved areas; increase usage and demand by facilitating deployment of expanded applications such as telework, telemedicine, e-learning; and assist in implementing recommendations in the Hawaii Broadband Task Force Report of December 2008, and the goals of the Governor's Hawaii Broadband Initiative of August 2011.

Purpose of Proposed Ceiling Increase (if applicable):

None.

Variances:

Variances in revenues each year is largely due to changes in the gross revenues of the cable operators in the State and various projects supported by the division. The variances in expenditures each year including FY18 and FY19 relate to: (1) anticipated management audits and other cable related matters using expert consulting services for work related to the Legislature and to assist in anticipated large proceedings (e.g., franchise renewals and PEG designations); and (2) increased broadband activities required of the Department. Moreover, FY19 expenditures also include FY18 encumbrances for consulting/expert services.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,391,537	2,534,951	2,559,971	2,609,370	2,609,370	2,647,986	2,647,986
Beginning Cash Balance	4,076,170	5,289,972	6,755,529	8,429,071	9,804,965	9,779,320	9,333,463
Revenues 1/	2,772,685	2,873,488	3,160,317	2,989,888	2,941,000	2,541,000	2,541,000
Expenditures	1,168,374	1,048,549	1,160,764	1,266,628	2,609,370	2,647,986	2,647,986
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S310-R				(347,366)			
Net Total Transfers	(390,509)	(359,382)	(326,011)	(347,366)	(357,275)	(338,871)	(346,388)
Ending Cash Balance	5,289,972	6,755,529	8,429,071	9,804,965	9,779,320	9,333,463	8,880,089
Encumbrances	513,198	814,413	562,473	532,788			
Unencumbered Cash Balance	4,776,774	5,941,116	7,866,598	9,272,177	9,779,320	9,333,463	8,880,089

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Funds held outside the State Treasury by Time Warner
 Legal Authority: Decision & Order No. 346, Section IV.I.4

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Funds held outside of State Treasury
 Appropriation Acct. No. T-xx-907

Intended Purpose:

Franchise fees over the cap amount that is paid by Oceanic Time Warner Cable, LLC ("Oceanic") to Olelo are provided to DCCA for INET/Broadband purposes.

Source of Revenues:

Franchise fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

Expenses related to expansion and upgrade of the Institutional Network ("INET"); and any other expenses/activities relating to broadband.

Purpose of Proposed Ceiling Increase (if applicable):

None

Variations:

Variance in revenues each year occurred due to changes in Oceanic's (now known as Spectrum) gross revenues, which are based on the number of customers and cable packages to which such customers subscribe. The variance in FY15 expenditure reflects the transfer made by the Department to DLIR for the CIP grant to the Hawaii Public Television, authorized by the 2014 Legislature. The variance in FY19 expenditure reflects the transfer made by the Department to the DOE in compliance with Act 53, Session Laws of Hawaii which authorized and required the transfer. Revenues (aside from simple interest) for this account is expected to cease since Spectrum is no longer required to hold funds for INET and broadband related purposes in the account, under Decision and Order No. 372, issued by the Department on March 23, 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000				7,920,000		
Beginning Cash Balance	7,742,119	6,763,426	7,569,895	8,231,476	8,731,154	815,007	816,477
Revenues	1,021,307	806,469	661,581	499,678	3,853	1,470	1,470
Expenditures	2,000,000			0	7,920,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	6,763,426	7,569,895	8,231,476	8,731,154	815,007	816,477	817,947
Encumbrances							
Unencumbered Cash Balance	6,763,426	7,569,895	8,231,476	8,731,154	815,007	816,477	817,947

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Commerce and Consumer Affairs</u>	Contact Name:	<u>Dean Hazama</u>
Prog ID(s):	<u>CCA-103</u>	Phone:	<u>586-2844</u>
Name of Fund:	<u>CRF-PUC Spcl Fnd Allocation-Consumer Advocacy</u>	Fund type (MOF):	<u>Special Funds (B)</u>
Legal Authority:	<u>Section 269-33, HRS</u>	Appropriation Acct. No.:	<u>S-XX-303-R</u>

Intended Purpose:

To fund the operations of the Division of Consumer Advocacy.

Source of Revenues:

Public utility fees paid to the public utilities commission pursuant to HRS §269-30, and placed in the public utilities commission special fund. Pursuant to HRS §269-33, on a quarterly basis, an amount is allocated in accordance with legislative appropriations to the DCA and deposited in the compliance resolution fund.

Current Program Activities/Allowable Expenses:

To represent, advance and protect the interests of consumers of regulated utility and transportation services. The division is a party to every proceeding before the Hawaii Public Utilities Commission. The division participates in, among other things, reviews of utility and transportation companies' requests to increase rates, service reliability investigations, energy utility integrated resource plans, and capital improvement projects for utilities. In addition, the division strives to promote effective competition and consumer protection through contested cases and rule making proceedings regarding telecommunications and electric power infrastructure development.

Purpose of Proposed Ceiling Increase (if applicable):

1. Request ceiling increase for one (1) additional in-house attorney; one (1) administrative specialist; three (3) program specialists

Variations:

Revenue Variance: In FY2016, new procedures were adopted that resulted in the transfer of monies from the PUC on a quarterly basis, as opposed to prior years where the monies were recorded as aggregated revenues. If the aggregated revenues and transfers are compared between years, there is no variance that exceeds 10%, except in 2020 when, if the reorganization is approved, additional revenues will be required to cover personnel costs.

Expenditure Variance: Expenditures in FY 2016 and FY 2017 increased due to an increased workload related to certain generic proceedings and the HECO/NextEra proceeding. The increases in expenditures were slightly offset by vacancies within the Division. Even though the HECO/NextEra proceeding was closed in FY 2017, the caseload actually increased in FY 2018 due to a number of large rate case proceedings and other generic proceedings that required significant reliance on consulting services, especially since the Division continues to experience vacancies. The anticipated increases in expenditures in FY 2019 and FY 2020 are related to the requested reorganization, which includes a request for additional staff positions.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,830,936	4,159,141	4,241,213	4,230,174	4,138,705	4,520,537	4,812,537
Beginning Cash Balance	5,399,924	6,423,685	7,476,675	8,464,730	9,007,735	8,457,643	7,899,135
Revenues 1/	3,943,435	42,101	57,954	50,357	20,000	20,000	20,000
Expenditures	2,290,353	2,574,933	2,876,023	3,292,626	4,138,705	4,520,537	4,812,537
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S310-R				(563,131)			
From S340-R				4,348,405			
Net Total Transfers	(629,321)	3,585,822	3,806,124	3,785,274	3,568,613	3,942,029	4,182,999
Ending Cash Balance	6,423,685	7,476,675	8,464,730	9,007,735	8,457,643	7,899,135	7,289,597
Encumbrances	1,882,450	1,735,797	2,057,389	1,684,596	1,800,000	1,800,000	1,800,000
Unencumbered Cash Balance	4,541,235	5,740,878	6,407,341	7,323,139	6,657,643	6,099,135	5,489,597

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Commerce and Consumer Affairs</u>	Contact Name:	<u>Dean Hazama</u>
Prog ID(s):	<u>CCA 104, CCA-110</u>	Phone:	<u>586-2844</u>
Name of Fund:	<u>Multi-state Enforcement and Education Fund/ Multi-state Mortgage Servicer Agreement Fund</u>	Fund type (MOF)	<u>Trust Funds (T)</u>
Legal Authority	<u>Multi-state Mortgage Settlement Agreement, Multi-state Mortgage Servicer Settlement Agreements</u>	Appropriation Acct. No.	<u>T-xx-932-R</u>

Intended Purpose:

- Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams.
- Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.

Source of Revenues:

- Part of a grant from the Dept. of the Attorney General awarded to the DCCA out of Hawaii's share of the National Mortgage Settlement.
- Funds paid by the five mortgage servicers as required by the terms of the multi-state mortgage servicer settlement agreements.

Current Program Activities/Allowable Expenses:

- The fund permits the Office of Consumer Protection to hire additional legal, investigative and support staff to support ongoing enforcement actions, investigations and outreach programs, as well as related operating expenses.
- DFI Licenses, supervises and regulates mortgage loan originators, mortgage loan originator companies and mortgage servicers. Funds will be used to hire staff to conduct investigations, examine for compliance with applicable laws and rules, and to provide consumer education and outreach. Funds will also be used for related expenses including training of staff.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances:

Purpose of Proposed Ceiling Increase (if applicable): Because this fund is shared among the Director's Office, Office of Consumer Protection, and the Division of Financial Institutions (DFI), it is difficult to know the primary reasons for variances in expenditures. DFI's settlement fund was for a specific amount, and there were no other sources of revenue. DFI expenditures were used for settlement review activity: (1) FY2017, \$400,000 was spent on a new database system, and \$86,373.78 was spent on the office reconfiguration to accommodate the new staff hired to oversee settlement activities; (2) FY2018, \$17,597.47 was spent on travel for staff member training; \$60,061.76 was spent to complete the office reconfiguration; and \$30,092.48 on equipment; and (3) there is a balance of \$1,346.24 and that will be spent on equipment in FY2019.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance	1,380,418	1,013,136	712,427	1,037,538	480,822	329,476	179,476
Revenues 1/	250,505	184,666	501,536				
Expenditures	617,787	485,375	176,425	556,716	151,346	150,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,013,136	712,427	1,037,538	480,822	329,476	179,476	29,476
Encumbrances	157,416	3,522	435,350				
Unencumbered Cash Balance	855,720	708,905	602,188	480,822	329,476	179,476	29,476

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: CRF- Financial Institutions
 Legal Authority: Sections 412:2-109, 449-14, 489D-12.5, 454F-18, 454F-23, 454M-11, and 26-9(o), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-320-R

Intended Purpose:

To allow the Commissioner to appoint examiners to examine the affairs of financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers; to appoint any necessary administrative support personnel; and to pay for any expenses incurred during examinations or necessary for the education and training of personnel and other expenses related to examinations and administrative costs as well as to support the entire program of the Division of Financial Institutions (DFI).

Source of Revenues:

Transfer of \$2 million from taxes paid by banks and other financial corporations (HRS 241-7); and application, examination, licensing, and other fees and fines paid by financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Current Program Activities/Allowable Expenses:

Ensures the safety and soundness of state-chartered financial institutions by fairly administering applicable statutes and rules through a program of supervision and regulation through chartering or licensure, examination, application review, off-site monitoring, investigation, and complaint handling and is also responsible for the licensing and supervision of escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Purpose of Proposed Ceiling Increase (if applicable):

1. FIMS fee

An increase in ceiling is needed due to the annual maintenance cost for Micropact (the FIMS replacement). The annual maintenance cost is \$140,000; not \$100,000.

2. Fringe benefits: Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances:

Regarding the expenditure variances: FY2016 - the 21% increase is primarily due to an encumbrance of \$500,000 for the FIMS replacement and an increase in training and travel expenses for examiners. FY2017 - the 30% increase is due to the \$500,000 cost of the FIMS replacement, an increase in examiner training and travel expenses and completion of the office reconfiguration.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,384,920	4,090,957	4,972,852	4,836,792	4,979,192	5,174,488	5,174,488
Beginning Cash Balance	6,416,485	7,860,332	9,480,492	10,910,845	11,365,807	10,485,617	9,162,368
Revenues 1/	4,945,552	5,115,594	5,578,295	5,678,122	4,787,705	4,513,435	4,469,335
Expenditures	2,931,624	2,915,456	3,513,574	4,579,275	4,979,192	5,174,488	5,174,488
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S310-R				(643,885)			
Net Total Transfers	(570,081)	(579,978)	(634,368)	(643,885)	(688,703)	(662,196)	(676,885)
Ending Cash Balance	7,860,332	9,480,492	10,910,845	11,365,807	10,485,617	9,162,368	7,780,330
Encumbrances	17,278	30,264	505,001	156,457	128,000		
Unencumbered Cash Balance	7,843,054	9,450,228	10,405,844	11,209,350	10,357,617	9,162,368	7,780,330

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: Mortgage Loan Recovery Fund
 Legal Authority: Section 454F-41, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-926-R

Intended Purpose:

To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.

Source of Revenues:

Fees paid by mortgage loan originator companies, branch offices of mortgage loan originator companies, and mortgage loan originators upon initial licensure and at annual renewal.

Current Program Activities/Allowable Expenses:

Licenses and regulates mortgage loan originator companies and mortgage loan originators. Mortgage Loan Recovery Fund (Recovery Fund) was established to protect consumers by making it easier to recover losses caused by persons that violate Chapter 454F, HRS. Allowable expenses are the payment of claims as ordered by a court and expenses related to the administration of the-Recovery Fund.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variations:

Regarding revenue variations: 2015/2016- the 51% decrease was due to the Commissioner's determination that funds would be collected at initial licensure only because the fund was sufficient to cover possible court approved claims within the ceiling. 2016/2017- the 59% increase was due to an unanticipated increase in initial licenses for MLOCs and MLOs. 2017/2018- the 25% decrease was due to the Commissioner's determination that funds would be collected at initial licensure only because the fund was sufficient to cover possible court approved claims within the ceiling. 2018/2019- the reasons for the 49% decrease were the same as for 2017/2018 and due to the declining number of applications by MLOCs and MLOs.
 Regarding expenditure variations: 2018/2019 - the increase from \$0 to \$110,000 is due to the projection that court approved claims will have become final, having made their way through the litigation process.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	220,000	220,000	220,000	110,000	110,000	110,000	110,000
Beginning Cash Balance	1,124,965	1,429,665	1,578,165	1,813,815	1,990,715	1,970,715	1,950,715
Revenues 1/	304,700	148,500	235,650	176,900	90,000	90,000	90,000
Expenditures	0	0	0	0	110,000	110,000	110,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	1,429,665	1,578,165	1,813,815	1,990,715	1,970,715	1,950,715	1,930,715
Encumbrances							
Unencumbered Cash Balance	1,429,665	1,578,165	1,813,815	1,990,715	1,970,715	1,950,715	1,930,715

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F, August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund - PVL
 Legal Authority: Section 26-9, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-305-R

Intended Purpose:

To fund the operations of the Professional and Vocational Licensing Division.

Source of Revenues:

Application, license, and renewal fees.

Current Program Activities/Allowable Expenses:

For 51 regulated areas - process applications for licensure, examine applicants, license, renew, update licensee files, provide information and guidance to the public, applicants and licensees regarding regulatory areas orally and in writing, assist with or adopt or amend rules, propose and respond to legislative measures, liaison between 25 regulatory boards and DCCA, conduct public meetings, public hearings, produce minutes, facilitate decision-making by the boards in ordering disciplinary action against a licensee and granting, denying or otherwise conditioning license applications, network with outside entities for coordinated activities and keeping abreast of current trends, network with other State agencies for compliance (legislative auditor, ethics commission, procurement office).

Purpose of Proposed Ceiling Increase (if applicable):

1. Salesforce The PVL's current database (ALIAS) is being replaced by a system on Salesforce. We are requesting the appropriation be added to CCA-105, S305 to support the recurring license and maintenance costs of this new system.
2. Add 2 positions and funds. Act 44, SLH 2018 authorized the appropriation of funds for FY19, \$104,000 and the employment of personnel to implement the Act. The \$104,000 was requested to fund the salary and fringe for an office assistant IV in the records section and an office assistant V position in the applications section of the Licensing Branch to timely process physician applications by endorsement. We are requesting that the appropriation be added to CCA-105, S305 which will enable us to implement and continue to maintain the timely processing and operational efficiency of this new licensing requirement.

Variances:

Renewal fees are collected on a biennial basis resulting in variances between FYs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,040,488	6,341,895	6,484,103	7,085,895	7,237,363	7,891,944	7,891,944
Beginning Cash Balance	5,581,187	4,639,114	5,467,749	6,715,727	9,024,902	8,512,853	8,898,952
Revenues 1/	6,033,092	7,713,382	7,811,810	9,426,050	7,733,000	9,288,000	7,991,000
Expenditures	5,963,322	5,985,651	5,705,641	6,178,013	7,237,363	7,891,944	7,891,944
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
From S363-R				4,429			
To S310-R				(943,291)			
Net Total Transfers	(1,011,843)	(899,096)	(858,191)	(938,862)	(1,007,686)	(1,009,957)	(1,032,362)
Ending Cash Balance	4,639,114	5,467,749	6,715,727	9,024,902	8,512,853	8,898,952	7,965,646
Encumbrances	141,186	42,622	148,363	1,043,284			
Unencumbered Cash Balance	4,497,928	5,425,127	6,567,364	7,981,618	8,512,853	8,898,952	7,965,646

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund
 Legal Authority: Act 199, SLH 2015

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-363-R

Intended Purpose:

For the Implementation of the Behavior Analyst Program

Source of Revenues:

Collection of a \$50 assessment fee upon registration and renewal of behavior analyst.

Current Program Activities/Allowable Expenses:

Process applications for registration, renewal and maintenance of registrants and inquiries to the general public

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Renewals are on a biennial basis resulting in variances between FYs. Fund folded into S305.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		40,000	40,000				
Beginning Cash Balance	0	0	1,980	4,425	0	0	0
Revenues		6,912	2,576	570	0	0	0
Expenditures		4,932	131		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S305-R				(4,429)			
Net Total Transfers	0	0	0	(4,429)	0	0	0
Ending Cash Balance	0	1,980	4,425	566	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	1,980	4,425	566	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Recovery Fund
 Legal Authority: Sections 467-16-19, 21-24, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-904-R

Intended Purpose:

As stated in §467-16(a), HRS, the Real Estate Recovery Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.

Source of Revenues:

Original real estate license fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

None

Variations:

Revenue variations - Renewal fees are on a biennial basis resulting in variations between FYs.

Expense variations - Difference between actual and expenditure ceiling

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	502,310	502,310	504,592	508,128	508,128	509,869	509,869
Beginning Cash Balance	700,568	710,776	740,077	814,981	876,360	480,232	83,363
Revenues	73,590	79,402	121,460	131,921	112,000	113,000	113,000
Expenditures	63,382	50,101	46,556	70,542	508,128	509,869	509,869
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	710,776	740,077	814,981	876,360	480,232	83,363	(313,506)
Encumbrances				5,695			
Unencumbered Cash Balance	710,776	740,077	814,981	870,665	480,232	83,363	(313,506)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

DCCA Non-General Fund Information - Real Estate Recovery Fund
Page 2

Current Program Activities/Allowable Expenses:

- Defend and administer claims through contracted attorneys who represent the Commission in actions for claims, including administering contracts and payment for services.
- Provide information, advice, and referral on all inquiries concerning the Real Estate Recovery Fund and claims.
- Receive, process, and act on complaint notices, court filed motions, subpoenas, settlement claims, and court ordered claims including record keeping and monitoring the complaints through the courts.
- Arrange and assist in presentation of settlement claims, court ordered claims, and other issues to the Commission at its monthly scheduled meetings or emergency meetings.
- Administer budget, including development and approval of biennium budget, record keeping, any CPA audit, investment of funds, development of statistical and other periodic reports to licensees, Budget and Finance, Governor, and the Legislature.
- Study and develop case summaries for educational purposes.
- Initiate and develop collection program, continue to administer the State Tax Intercept Program with the Department of Taxation and DAGS.
- Research, develop, print, and distribute a simple plain language brochure for claimants.
- Provide funding for clerical position, who services the program.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Education Fund
 Legal Authority: Sections 467-11-19, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-905-R

Intended Purpose:
See attached.

Source of Revenues:

Original licensing and biennial renewals fees. Registration of schools, providers, courses and instructors. Interest due from the real estate recovery fund.

Current Program Activities/Allowable Expenses:
See Attached

Purpose of Proposed Ceiling Increase (if applicable):
None

Variiances:

Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs.
 Expense variances - Difference between actual and expenditure ceiling

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	689,052	689,052	713,214	818,958	844,626	863,508	863,508
Beginning Cash Balance	487,689	754,352	394,194	1,218,073	817,810	1,432,184	658,676
Revenues	772,181	90,264	1,355,680	170,907	1,459,000	90,000	1,523,000
Expenditures	505,518	450,422	531,801	571,170	844,626	863,508	863,508
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0					
Ending Cash Balance	754,352	394,194	1,218,073	817,810	1,432,184	658,676	1,318,168
Encumbrances	0	48,797	1,239	30,929			
Unencumbered Cash Balance	754,352	345,397	1,216,834	786,881	1,432,184	658,676	1,318,168

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

DCCA Non-General Fund Information - Real Estate Education Fund
Page 2

Intended Purpose:

As stated in §467-19(b), HRS, the Real Estate Education Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Real Estate Specialists and clerical positions, who administer the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, real estate licensees, government officials, attorneys, educators, and others via telephone, office walk-ins, written inquiries and applications, and website, providing information, advice and referral.
- Administer real estate website.
- Administer and provide information as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials, and reference materials for public review.
- Arrange and conduct Real Estate Specialists of the Day at neighbor island sites.

Educational and Informational Materials

- Research, develop, publish and distribute the quarterly real estate bulletin to all real estate licensees, government officials, State Libraries, and others in related areas.
- Printing and distribution of Chapter 467, HRS, as amended to all principal brokers and brokers-in-charge.
- School Files, a quarterly bulletin to real estate prelicense schools, prelicense and continuing education instructors and continuing education providers which provides current information on instruction, education, curriculum, testing, application fees and procedures.
- Various publications and informational sheets for consumers and real estate licensees.
- Research, develop and submit articles for the media.
- Research and report on alternative delivery of educational materials.
- Develop and maintain website on the internet for dissemination of information, publications, forms, agendas, etc. to licensees and the general public.
- Distribute new salesperson and broker start-up kits, consisting of information and educational materials.

Administer Education Review Committee, Laws and Rules Review Committee and Real Estate Commission

- Hold monthly meetings for the two standing committees and the Commission with some meetings at neighbor island sites.
- Administration of the committee meetings, including agenda, distribution materials, room and equipment arrangements, oral testifiers, minutes, committee reports, post-meeting responsibilities, etc.
- Conduct and administer periodic symposiums.
- Administration of Education Evaluation Task Force Subcommittee.

Research, develop or update curriculum and instructional materials for courses and administer courses

- Continuing education core course.
- Prelicensing real estate broker course.
- Prelicensing real estate salesperson course.

Research, develop and conduct seminars, workshops and meetings

- Instructor development workshops, course briefings and other meetings for real estate educators.
- Real estate seminars for real estate licensees.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Condominium Education Trust Fund
 Legal Authority Sections 514A-40(c), 514-A-95.1, 514B-71,72,73 HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-906-R

Intended Purpose:
See attached.

Source of Revenues:
Developers' final public report fees, association of unit owners registration, mediation fee, and biennial re-registration fees.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
Not applicable.

Variances:
 Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs.
 Expense variances - Difference between actual and expenditure ceiling

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	640,981	657,587	865,274	962,165	995,165	1,013,867	1,013,867
Beginning Cash Balance	785,062	1,889,658	1,634,488	2,548,224	2,186,051	1,790,886	892,019
Revenues 1/	1,515,396	182,933	1,469,887	244,484	600,000	115,000	1,850,000
Expenditures	410,800	438,103	556,151	606,657	995,165	1,013,867	1,013,867
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers		0	0				
Ending Cash Balance	1,889,658	1,634,488	2,548,224	2,186,051	1,790,886	892,019	1,728,152
Encumbrances	0	220	1,527	1,162			
Unencumbered Cash Balance	1,889,658	1,634,268	2,546,697	2,184,889	1,790,886	892,019	1,728,152

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/Revenue Projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 2

Intended Purpose:

514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management,

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Condominium Specialists and clerical positions, who service the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, condominium owners, board of directors, condominium managing agents, real estate licensees, attorneys,
- Administer condominium website.
- Administer condominium reference library program through State Libraries, other venues and in house, providing publications and information to condominium owners and the public.
- Administer and provide information, as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials and reference materials for public review.
- Arrange and conduct Condominium Specialists of the Day at various neighbor island sites.
- Study the feasibility of finding other means of providing access to condominium public information through computer discs or compact discs, State Libraries, webpage, etc.
- Coordinate educational sessions regarding §§514A and 514B and updates.

Educational Research and Informational Materials

- Research, develop, publish and distribute quarterly condominium bulletin to registered condominium associations and condominium managing agents, government officials, State Libraries and others in related areas.
- Research, develop, publish and distribute stand-alone chapters (brochures) for the Condominium Board of Directors Guide to registered condominium associations, condominium managing agents, government officials, State Libraries and others in related areas.
- Print and distribute information and educational materials including start-up kits to new condominium associations and new condominium managing agents.
- Research, develop and submit various articles for media publication.
- Develop and maintain website on the internet for dissemination of information, forms, publications, etc. for developers, associations, CMA's, condominium owners, and other interested parties.
- Print and distribute amended Chapters 514A and 514B to all registered condominium associations and condominium managing agents.
- Update, print and distribute updated Condominium Board of Directors series.
- Continue with the recodification of the condominium law educational efforts.

Rulemaking

- Research, develop and initiate Chapter 107, HAR rulemaking for Chapters 514A and 514B, HRS, including public workshops with those affected.
- Research, present, and receive recommendations for amendments to Chapter 107, HAR, and discuss and receive comments at the monthly Condominium Review Committee meetings with the condominium community.
- Research, study, and make recommendations concerning fees for Chapter 53, HAR, and assist the Professional and Vocational Licensing Division in the rulemaking process.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 3

Mediation of Governance and Management Problems

- Administer contracts and provide funding for alternative dispute resolution through mediation, through the procured mediation providers for all islands.
- Secure mediation information and develop report to the Legislature and Governor.
- Continue to seek other mediation service vendors.
- Coordinate and administer joint complaint/mediation program with the Regulated Industries Complaints Office including funding of mediations.
- Research, development, publication, and distribution of brochure on dispute resolution alternatives.

Arbitration of Condominium Problems

- Administer program through American Arbitration Association and other arbitration organizations.

Condominium Project Registration and Public Reports

- Administer registration of new condominium projects, review of documents, owner occupants reservation procedures, and public reports, including records management.
- Administer consultant contracts and the review of project documents and public reports.
- Administer public review of condominium project documents and public reports, including requests for copies.
- Conduct periodic meetings and workshops with the condominium consultants, developers and attorneys.

Administer Condominium Review Committee

- Administer and conduct monthly meetings of the Condominium Review Committee, standing committee of the Commission, including meetings at various neighbor island sites.
- Administration includes agenda, distribution of materials, room and equipment arrangements, receipt of testimony, minutes, Committee Reports, post-meeting responsibilities.
- Conduct and administer periodic educational symposiums.

Administer Seminars, Workshops and Meetings

- Research, develop, procure, contract and conduct condominium seminars, workshops and special meetings with those in the condominium community.
- Administer seminar subsidy program, including contract administration.

Interactive Educational and Research Participation

- Provide Educational speakers to organizations, government entities and others.
- Participate, exchange and network with local, state, national and international organizations and other governmental entities.
- Provide briefings to Legislators, testify on legislative bills and participate in other legislative matters.
- Research and report to the Legislature annually on condominium programs, budget and mediation programs.
- Research, coordinate, and implement Recodification Plan for Chapter 514A, HRS.

Administration of Condominium Association, Condominium Managing Agent Registrations, and Condominium Hotel Operators

- Administer registration and biennial re-registration of condominium associations, condominium managing agents and condominium hotel operators, including applications, review/approval process and records management.
- Conduct periodic workshops and meetings with registrants.

Administration of Budget and Biennial Plans

- Development and approval of the Condominium Education Trust Fund budget.
- Administration of budget, investment of funds and any CPA audits.
- Research, development and submission of information and reports required by the Department, Budget and Finance, Governor and the Legislature.
- Research, development, approval and administration of the Biennial Education and Research Plan.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Recovery Fund
 Legal Authority: Section 444-26, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-908-R

Intended Purpose:

Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor.

Source of Revenues:

All new contracting entity licensees (firms/sole proprietors) shall pay \$150 into the fund upon initial licensure and \$10 upon each license renewal. At any time the fund balance falls below \$250,000 the Contractors License Board may assess every contractor a fee not to exceed \$500 annually for deposit into the fund.

Current Program Activities/Allowable Expenses:

Allowable expenses include payments to eligible consumers who have obtained an arbitrated award or court judgment against a contractor, and payment of attorney - consultant fees.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variations:

Revenue variations - Renewal fees are on a biennial basis resulting in variations between FYs. Expenditure variations - Dependent upon the amount of claims paid.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	746,358	838,038	791,515	890,827	924,583	924,583	824,583
Revenues 1/	138,821	76,470	154,495	73,202	200,000	100,000	200,000
Expenditures	47,141	122,993	55,183	39,446	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	838,038	791,515	890,827	924,583	924,583	824,583	824,583
Encumbrances			4,266	1,990			
Unencumbered Cash Balance	838,038	791,515	886,561	922,593	924,583	824,583	824,583

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Education Fund
 Legal Authority: Section 444-29, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-909-R

Intended Purpose:

To be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.

Source of Revenues:

The interest from the investments for the contractors' recovery fund shall be deposited to the credit of the contractors' education fund. In addition, all new contracting entity licensees (firms/sole proprietors) shall pay \$10 into the fund upon initial licensure and \$5 upon each license renewal.

Current Program Activities/Allowable Expenses:

Funded activities include: National Association dues; attendance by Executive Officer and Board members at national association meetings; registration fees for attendance at national meetings, travel expense for neighbor island Board members to attend special Board meetings on Oahu; publication of educational materials; and overtime pay for clerical staff as needed.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Revenue variations - Renewal fees are on a biennial basis resulting in variations between FYs. Expenditure variations - Dependent upon participation at national association meetings, special meetings requiring travel for neighbor island board members and publication of educational materials.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,968	16,968	16,968	16,968	16,968	16,968	16,968
Beginning Cash Balance	462,158	501,185	510,083	551,695	560,524	628,556	664,588
Revenues 1/	41,252	13,238	46,717	13,829	85,000	53,000	85,000
Expenditures	2,225	4,340	5,105	5,000	16,968	16,968	16,968
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0					
Ending Cash Balance	501,185	510,083	551,695	560,524	628,556	664,588	732,620
Encumbrances	0	0					
Unencumbered Cash Balance	501,185	510,083	551,695	560,524	628,556	664,588	732,620

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Appraisers
 Legal Authority Chapter 466K, HRS; Title 16, Chapter 114, HAR

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-919-R

Intended Purpose:

See attached.

Source of Revenues:

The Federal Financial Institutions Examination Council ("FFIEC") mandates that each state collect a \$40 annual fee from each licensed and certified real estate appraiser to maintain the federal national registry. Appraisers must be on the national registry to perform appraisals in connection with federally related real estate transactions.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

The fees collected for the national registry are collected every other year at renewal thus explaining the large variance every other year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	55,000	55,000	55,000	55,000	55,000	55,000	55,000
Beginning Cash Balance	6,030	5,330	5,250	4,970	3,890	3,890	3,890
Revenues 1/	2,300	37,720	2,000	37,720	5,000	55,000	5,000
Expenditures	3,000	37,800	2,280	38,800	5,000	55,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	5,330	5,250	4,970	3,890	3,890	3,890	3,890
Encumbrances	0	0					
Unencumbered Cash Balance	5,330	5,250	4,970	3,890	3,890	3,890	3,890

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

DCCA Non-General Fund Information - Real Estate Appraisers Trust Fund
Page 2

Intended Purpose:

The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.

Current Program Activities/Allowable Expenses:

In addition to any other powers and duties authorized by law, the director shall have the following powers and duties:

1. To grant permission to practice as a certified real estate appraiser in this State pursuant to this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto;
2. To adopt, amend, or repeal rules as the director finds necessary to effectuate fully this chapter and 12 United States Code §3301 et seq.;
3. To enforce this chapter and 12 United States Code §3301 et seq. and rules and regulations adopted pursuant thereto;
4. To discipline a certified real estate appraiser for any cause prescribed by this chapter or 12 United States Code §3301 et seq. for any violation of the rules and regulations and refuse to grant a person permission to practice as a certified real estate appraiser for any cause that would be grounds for disciplining a certified real estate appraiser;
5. To act as the designated representative of this State to implement 12 United States Code §3301 et seq.; and
6. To appoint an advisory committee to assist with the implementation of this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Appraisal Management Company Registry Fee
 Legal Authority: Chapter 466L, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-18-930-R

Intended Purpose:

See attached.

Source of Revenues:

The Federal Financial Institutions Examination Council ("FFIEC") mandates that each state collect \$25 per Appraisal Management Company (AMC) panel member who has done appraisal work in this State to maintain the federal national AMC registry. AMCs must be on the national registry to allow panel members to perform appraisals in connection with federally related real estate transactions.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance					23,200	23,200	23,200
Revenues 1/				23,200	20,000	20,000	20,000
Expenditures					20,000	20,000	20,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	0	0	23,200	23,200	23,200	23,200
Encumbrances	0	0					
Unencumbered Cash Balance	0	0	0	23,200	23,200	23,200	23,200

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

DCCA Non-General Fund Information - Real Estate Appraisal Management Companies Trust Fund
Page 2

Intended Purpose:

The Legislature finds that the regulation of Appraisal Management Company (AMC) is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §1222.20 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466L, HRS) are to implement the requirements of 12 United States Code Sec §1222.20 et seq. and to require registration of all federally regulated AMCs who engage licensed or certified appraisers in this State.

Current Program Activities/Allowable Expenses:

In addition to any other powers and duties authorized by law, the director shall have the following powers and duties:

1. To grant permission to register AMCs in this State pursuant to this chapter and 12 United States Code §1222.20 et seq. and the rules and regulations adopted pursuant thereto;
2. To adopt, amend, or repeal rules as the director finds necessary to effectuate fully this chapter and 12 United States Code §1222.20 et seq.;
3. To enforce this chapter and 12 United States Code §1222.20 et seq. and rules and regulations adopted pursuant thereto;
4. To discipline an AMC for any cause prescribed by this chapter or 12 United States Code §1222.20 et seq. for any violation of the rules and regulations and refuse to grant an AMC permission to conduct business in this State for any cause that would be grounds for disciplining an AMC;
5. To act as the designated representative of this State to implement 12 United States Code §1222.20 et seq.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review Cycle II
 Legal Authority: Non-appropriated; CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-XX-205-R

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$3.0 million Federal Grant - Department of Health & Human Services; Grant period 10/1/11 to 9/30/18

Current Program Activities/Allowable Expenses:

Modification to electronic rate filing system. Hiring of additional rate analysts.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Decrease in Revenue/Expenditures is a result of vacancies and the difficulty of filling positions that have ended as of 9/30/18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,000,000	250,000	250,000	250,000	250,000	250,000
Beginning Cash Balance	15,998	7,042	0	0	0	0	0
Revenues	530,596	630,528	308,857	199,753	250,000		
Expenditures	539,552	637,570	308,857	199,753	250,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,042	0	0	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	7,042	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor approved expenditure ceiling increase of \$1,747,698.03 on 9-19-14.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Cooperative Agreements to Support Establishment of State-Operated Health Insurance Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 586-0985
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-207-R

Intended Purpose:

Establishment of the Hawaii Health Connector under Act 205 (SLH 2011).

Source of Revenues:

Sub-awardee from Hawaii Health Connector Federal Grant.

Current Program Activities/Allowable Expenses:

Working to establish a centralized website to allow individuals and small businesses to shop for health insurance.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Variations:

FY16 variance due to grant funds expiring.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	51,583	0	0	0	0	0	0
Revenues	78,761	0	0			0	0
Expenditures	130,344	0	0			0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Driver Education Fund
 Legal Authority: Section 431:10C-115, HRS; Section 431:10G-107, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-309-R

Intended Purpose:

Provide drivers training and education.

Source of Revenues:

An annual fee paid by insurers and self-insurers for each vehicle, motorcycle and motor scooter insured.

Current Program Activities/Allowable Expenses:

All collected revenues disbursed to the Judiciary, Department of Education (DOE), and Department of Transportation (DOT). Judiciary's funds are expended for the operation of the drivers' education program. DOE's funds support drivers' education for high school students. The DOT uses its funds for the operation of a drivers' education program for operators of motorcycles, motor scooters or similar vehicles.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,900,000	3,100,000	3,100,000	3,600,000	3,600,000	3,600,000	3,600,000
Beginning Cash Balance	227,292	433,919	548,952	736,675	430,332	335,332	340,332
Revenues	3,106,627	3,215,033	3,287,723	3,293,657	3,505,000	3,605,000	3,705,000
Expenditures	0	0	0	0	3,600,000	3,600,000	3,600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S350-E				(2,362,865)			
To S320-J				(1,181,433)			
To S329-D				(55,702)			
Net Total Transfers	(2,900,000)	(3,100,000)	(3,100,000)	(3,600,000)			
Ending Cash Balance	433,919	548,952	736,675	430,332	335,332	340,332	445,332
Encumbrances							
Unencumbered Cash Balance	433,919	548,952	736,675	430,332	335,332	340,332	445,332

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Compliance Resolution Fund - Insurance Regulation Fund
 Legal Authority: Sections 431:2-215 & 431:2-216, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-313-R

Intended Purpose:

The fund permits the Insurance Division to become self-sufficient and eliminates reliance on general funds and revolving funds administered by the Division.

Source of Revenues:

Fees, fines, penalties, assessments, and reimbursements collected under Title 24, Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses:

Used to defray any administrative cost, including personnel cost, associated with all of the programs of the Insurance Division, and incurred by supporting offices and divisions.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe benefits. Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances:

Revenue growth from FY17 to FY18 was a result of a 10% increase in number of licensees during FY18. Expenditures expected to grow as vacancies are expected to be filled.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,496,660	10,584,735	10,818,376	11,647,396	11,675,781	12,263,446	12,263,446
Beginning Cash Balance	3,910,165	3,536,140	5,055,425	4,374,385	4,690,032	3,360,437	1,816,270
Revenues 1/	8,575,611	9,692,453	8,629,084	10,300,449	10,775,225	11,025,225	11,375,000
Expenditures	7,370,927	6,668,473	7,923,193	8,425,016	10,500,000	11,000,000	11,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S310-R				(1,559,786)			
Net Total Transfers	(1,578,709)	(1,504,695)	(1,386,931)	(1,559,786)	(1,604,820)	(1,569,392)	(1,604,207)
Ending Cash Balance	3,536,140	5,055,425	4,374,385	4,690,032	3,360,437	1,816,270	587,063
Encumbrances	955,610	1,158,100	588,767	725,467			
Unencumbered Cash Balance	2,580,530	3,897,325	3,785,618	3,964,565	3,360,437	1,816,270	587,063

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Revenue Estimates to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Administrative Fund
 Legal Authority: Section 431:19-101.8, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-317-R

Intended Purpose:

The fund shall be expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.

Source of Revenues:

All moneys collected from captive licensees pursuant to article 19, chapter 431, including premium taxes, application and annual licensing fees, examination fees, and other reimbursements.

Current Program Activities/Allowable Expenses:

Licensing, monitoring, regulation, development of captive insurance companies, and promotion of Hawaii as a captive insurance domicile pursuant to article 19, chapter 431, including defrayal of any administrative costs, employment or retention of hearings officers, attorneys, investigators, accountants, examiners, and other necessary professional, technical, and support personnel.

Purpose of Proposed Ceiling Increase (if applicable):

1. IT System (HIDS) Replacement Project. Add funding for other current expenses for HIDS IT replacement project.
2. Fringe benefits. Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variances:

Increase in anticipated expenditures related to planned replacement of the Insurance Division's IT system

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,434,480	2,672,985	2,725,806	2,802,357	2,844,081	5,497,504	3,497,504
Beginning Cash Balance	4,746,720	5,348,686	6,011,624	6,746,665	7,641,901	7,427,820	4,460,316
Revenues 1/	2,516,940	2,421,983	2,519,340	2,754,503	2,630,000	2,530,000	2,530,000
Expenditures	1,914,974	1,759,045	1,784,299	1,859,267	2,844,081	5,497,504	3,497,504
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,348,686	6,011,624	6,746,665	7,641,901	7,427,820	4,460,316	3,492,812
Encumbrances	26,612	19,530	26,395	9,150			
Unencumbered Cash Balance	5,322,074	5,992,094	6,720,270	7,632,751	7,427,820	4,460,316	3,492,812

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Planning/Implementing the Insurance Market Reforms, Part A, Title XXVII, Public Health Service Act,- Cycle I
 Legal Authority Non-appropriated; CFDA: 93.881; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 587-7581

Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-XX-501-R

Intended Purpose:

Planning and implementing insurance market reforms.

Source of Revenues:

\$1,210,906 Federal Grant - Department of Health & Human Services; Grant period 10/31/16 to 10/30/19

Current Program Activities/Allowable Expenses:

Hiring market reform personnel and contracting with consultants.

Purpose of Proposed Ceiling Increase (if applicable):

To establish funding and position ceiling for federal grant.

Variances:

None.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	1,210,906	1,210,906	1,210,906
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	6,127	1,204,779	0	0
Expenditures	0	0	0	6,127	1,204,779	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	150,000	0	0	0
Unencumbered Cash Balance	0	0	0	(150,000)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Patient's Compensation Fund
 Legal Authority: Act 232, SLH 1984; Act 219, SLH 1976

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-912-R

Intended Purpose:

In 1976 this fund was established to provide doctors medical malpractice insurance for coverage in excess of their underlying policy from a commercial insurer.

Source of Revenues:

None.

Current Program Activities/Allowable Expenses:

Final payments were made in FY14 & FY15, Fund was closed in FY16.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	9,287	0	0	0	0	0	0
Revenues	49		0				
Expenditures	9,336		0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Premium Taxes Paid Pending Appeal
 Legal Authority: Sections 431:7-202, 431:7-209, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-916-R

Intended Purpose:

Premium taxes paid in protest due to denial of high tech tax credits.

Source of Revenues:

Premium taxes.

Current Program Activities/Allowable Expenses:

Pending result of appeal.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Varies year to year depending on an insurers' request for appeal.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	2,265,346	2,265,346	2,815,842	1,905,274	1,905,274	1,905,274	1,905,274
Revenues	0	550,496	0				
Expenditures	0	0	910,568	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	2,265,346	2,815,842	1,905,274	1,905,274	1,905,274	1,905,274	1,905,274
Encumbrances							
Unencumbered Cash Balance	2,265,346	2,815,842	1,905,274	1,905,274	1,905,274	1,905,274	1,905,274

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Commissioner's Education and Training Fund
 Legal Authority: Section 431:2-214, HRS; Act 348, SLH 1987

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-917-R

Intended Purpose:

This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.

Source of Revenues:

Fees for rate and form filings.

Current Program Activities/Allowable Expenses:

Reimburse staff and personnel for various education and training expenses, including insurance and computer courses, conferences, and seminars. Publish annually, the Report of the Insurance Commissioner for consumer information. Presentations of insurance information to Hawaii consumers. Purchase of books and periodicals for staff education and training.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Revenues vary depending on the number of rate and form filings. Expected increase in Expenditures for FY19 due to planned educational outreach.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	1,079,596	1,146,016	1,218,602	1,344,641	1,408,896	1,390,896	1,372,896
Revenues	148,392	159,013	182,762	144,802	182,000	182,000	182,000
Expenditures	81,972	86,427	56,723	80,547	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,146,016	1,218,602	1,344,641	1,408,896	1,390,896	1,372,896	1,354,896
Encumbrances	45,923	10,105	13,949	20,612			
Unencumbered Cash Balance	1,100,093	1,208,497	1,330,692	1,388,284	1,390,896	1,372,896	1,354,896

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Service Contract Provider's Fin Sec Dep
 Legal Authority: Section 481X-4, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-927-R

Intended Purpose:

The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.

Source of Revenues:

Financial security deposits from service contract providers placed in trust with the Insurance Commissioner.

Current Program Activities/Allowable Expenses:

Current activities include the issuance and renewal of service contract providers registration.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Not Applicable

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	41,560	66,560	50,000	50,000	50,000	50,000	50,000
Revenues	25,000						
Expenditures		16,560	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	66,560	50,000	50,000	50,000	50,000	50,000	50,000
Encumbrances							
Unencumbered Cash Balance	66,560	50,000	50,000	50,000	50,000	50,000	50,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Insurance Division Restitution
 Legal Authority: Section 431:2-203, HRS

Contact Name: Dean hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-928-R

Intended Purpose:

The fund will hold deposits placed in trust with the Commissioner by defendants from an enforcement action brought by the Insurance Division. If the defendant does not comply with the terms of the settlement agreement, the Insurance Division then has the power to use these funds to provide restitution to the complainants involved.

Source of Revenues:

Deposits from defendants pursuant to a settlement agreement.

Current Program Activities/Allowable Expenses:

No deposits being held.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Varies year to year depending on an insurers' request for appeal.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0			
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Companies LOC Escrow
 Legal Authority: Section 431:19-104(b), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-931-R

Intended Purpose:

The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.

Source of Revenues:

Cash draw from Letter of Credits (LOC) issued on behalf of captive licensees.

Current Program Activities/Allowable Expenses:

The Commissioner may draw upon the LOC when the captive licensee is not in compliance with the provisions of article 19 of chapter 431, including but not limited to its minimum capital and surplus requirements, applicable investment provisions, or otherwise in adverse financial condition, or operating in a manner that is detrimental or its condition unsound with respect to the public or to its policyholders. The cash drawn from the LOC is used to cover liabilities and other obligations of the captive licensee, including policy holder claims, operating expenses, taxes, fees, fines, and other expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations:

Not Applicable.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	514	516	519	523	526	530	534
Revenues	2	3	4	3	4	4	4
Expenditures				0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	516	519	523	526	530	534	538
Encumbrances							
Unencumbered Cash Balance	516	519	523	526	530	534	538

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DCCA-Insurance Division
 Prog ID(s): CCA-106
 Name of Fund: Hawaii Hurricane Relief Fund
 Legal Authority: Chapter 431P, HRS

Contact Name: Jerry Bump
 Phone: 808-586-0985
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-999-R

Intended Purpose: Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.

Source of Revenues: Investment income.

Current Program Activities/Allowable Expenses:

Program activities - investments. Allowable expenses - financial services and management expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations:

Variations related to transfers from State General Fund.

Financial Data							
<i>A/</i>	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Fund Balance	126,645,536	182,440,064	186,936,494	182,159,415	177,856,546	180,775,475	183,694,404
Revenues	2,910,894	7,769,259	(1,311,874)	(526,195)	3,100,000	3,100,000	3,100,000
Expenditures	175,995	223,441	183,202	181,071	181,071	181,071	181,071
Transfers to State General Fund			0				
Transfers from State General Fund	55,500,000	0	0		0	0	0
Accrued Interest paid	(2,440,371)	(3,049,388)	(3,282,003)	(3,595,603)			
Net Total Transfers	53,059,629	(3,049,388)	(3,282,003)	(3,595,603)	0	0	0
Ending Fund Balance	182,440,064	186,936,494	182,159,415	177,856,546	180,775,475	183,694,404	186,613,333
Encumbrances							
Unencumbered Cash Balance	182,440,064	186,936,494	182,159,415	177,856,546	180,775,475	183,694,404	186,613,333

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

A/ = Prepared on the accrual basis of accounting as required by the Governmental Accounting Standards Board for Enterprise Funds.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-107
 Name of Fund: Compliance Resolution Fund- HPEAP
 Legal Authority: HRS §305J-19

Contact Name: Bobbi Lum-Mew
 Phone: 586-7327
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-xx-307-R

Intended Purpose:

Per HRS §305J-19 the moneys in the special subaccount shall be used to fund the operations of the department to carry out its duties under this chapter.

Source of Revenues:

Authorization, re-authorization, and complaints administration fees.

Current Program Activities/Allowable Expenses:

Review and authorize qualified post-secondary educational institutions and receive and process complaints against authorized institutions.

Purpose of Proposed Ceiling Increase (if applicable):

1. Fringe increase Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variations:

Institutions apply for re-authorization biennially resulting in variances between fiscal years.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	263,796	282,233	282,233	288,611	288,611	297,904	297,904
Beginning Cash Balance		336,583	367,317	228,267	291,195	214,289	281,166
Revenues 1/	57,726	241,827	98,755	283,974	163,000	305,000	163,000
Expenditures	109,284	171,080	201,077	182,625	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S310-R				(38,421)			
Net Total Transfers	388,141	(40,013)	(36,728)	(38,421)	(39,906)	(38,123)	(38,969)
Ending Cash Balance	336,583	367,317	228,267	291,195	214,289	281,166	205,197
Encumbrances	3,104	0			0	0	0
Unencumbered Cash Balance	333,479	367,317	228,267	291,195	214,289	281,166	205,197

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191, CCA-110 FY18 and forward
 Name of Fund: MFDR Special Fund
 Legal Authority: Section 667-86, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-316-R

Intended Purpose:

To give a mortgagor the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.

Source of Revenues:

Fees collected from the mortgagee and the mortgagor.

Current Program Activities/Allowable Expenses:

For all expenses of the mortgage foreclosure dispute resolution program to carry out the purposes of Act 48, SLH 2011 and to reimburse the Compliance Resolution Fund for the seed capital.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Revenue variations: FY 16- expectation of leveling of fee collections. FY 17, FY 18, FY 19 Further trending of decrease.
 Expenditure variations: Varies based on collections.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	306,461	306,461	306,461	242,282	242,282	242,282	242,282
Beginning Cash Balance	238,185	337,232	153,230	59,868	102,272	96,519	89,004
Revenues 1/	105,160	70,247	60,288	45,367	36,500	35,500	36,000
Expenditures	6,113	4,249	3,650	2,963	10,000	10,000	10,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(250,000)	(150,000)	0	(32,253)	(33,015)	(33,015)
Ending Cash Balance	337,232	153,230	59,868	102,272	96,519	89,004	81,989
Encumbrances	149	140	137	46			
Unencumbered Cash Balance	337,083	153,090	59,731	102,226	96,519	89,004	81,989

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue based on B&F Rev Est August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: CRF-Office Of Consumer Protection
 Legal Authority: Section 487-2, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-323-R

Intended Purpose:

To protect Hawaii consumers utilizing investigative resources, civil enforcement actions and consumer education.

Source of Revenues:

Penalties or fines assessed as a result of actions brought by OCP, and penalties, fines, or reimbursement of costs or attorneys' fees assessed as a result of actions brought for violations of chapters 480 and 487 HRS.

Current Program Activities/Allowable Expenses:

OCP receives and investigates consumer complaints, provides consumer education to the public through oral presentations and the dissemination of consumer literature, and enforces consumer protection laws through legal process coordinated by its attorneys.

Purpose of Proposed Ceiling Increase (if applicable):

1. CSA increase

Central services assessment is based on a percentage of collected revenue, which continues to increase. As such, an increase in the ceiling is needed for the increased assessment.

Variations:

Revenue variations fluctuate based on court cases. Expenditure variance for Fy 19 based on projection to ceiling.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,784,652	2,025,447	2,109,294	2,301,177	2,363,212	2,492,686	2,492,686
Beginning Cash Balance	4,640,406	5,695,453	6,264,470	7,398,673	9,276,077	10,084,186	10,272,503
Revenues 1/	2,331,058	2,300,911	3,262,847	4,046,701	3,500,000	3,000,000	3,000,000
Expenditures	1,744,937	1,731,894	1,857,842	1,862,959	2,363,212	2,492,686	2,492,686
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S310-R				(306,338)			
Net Total Transfers	468,926	0	(270,802)	(306,338)	(328,679)	(318,997)	(326,073)
Ending Cash Balance	5,695,453	6,264,470	7,398,673	9,276,077	10,084,186	10,272,503	10,453,744
Encumbrances	14,724	20,282	64,630	50,774	55,000		
Unencumbered Cash Balance	5,680,729	6,244,188	7,334,043	9,225,303	10,029,186	10,272,503	10,453,744

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: OCP Restitution Fund
 Legal Authority: Section 487-14(c), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-920-R

Intended Purpose:

To hold and disburse moneys received or recovered by the Office of Consumer Protection payable to consumers as restitution pursuant to settlement or appropriate court orders and judgments.

Source of Revenues:

Consumer restitution paid by respondents and disbursed by OCP pursuant to settlement or court order.

Current Program Activities/Allowable Expenses:

We are continuing to collect and distribute restitution payments from this account pursuant to appropriate court orders and judgments.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

Revenues: Restitution recoveries awarded by a court or through voluntary compliance agreements vary greatly from year to year and the circumstances of each OCP case.

Expenditures: Resitution payments distributed in any given year varies widely based upon the resolution of enforcement actions through settlement or court action as well as the manner in which the restitution payments to consumers is structured.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,681	100,681	100,681	100,681	100,681	100,681	100,681
Beginning Cash Balance	108,056	84,172	91,184	16,272	979	979	979
Revenues 1/	5,940	15,772	23,403	22,881	20,000	20,000	20,000
Expenditures	29,824	8,760	98,315	38,174	20,000	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0		0	0	0
Ending Cash Balance	84,172	91,184	16,272	979	979	979	979
Encumbrances							
Unencumbered Cash Balance	84,172	91,184	16,272	979	979	979	979

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on B&F Rev Est Aug 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-111
 Name of Fund: Compliance Resolution Fund - Business Registration
 Legal Authority: Section 26-9, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-306-R

Intended Purpose:

To fund the operations of the Business Registration Division.

Source of Revenues:

Chapters 414, 414D, 415A, 419, 420D, 421, 421C, 421H, 421I, 425, 425E, 425R, 428, 482, and 482P HRS. - Business registration fees for: corporations, general and limited partnerships, limited liability partnerships, limited liability limited partnerships, limited liability companies, cooperatives, trademarks, trade names, service marks, and publicity rights.

Chapter 482E - Franchise filing fees and penalties for franchise law violations.

Chapter 485A - Securities fees and penalties: Securities, broker-dealer, sales agents, investment adviser and investment adviser representative filing fees and penalty fees for securities law violations.

Current Program Activities/Allowable Expenses:

Register and maintain the registry for public access for corporations, general and limited partnerships, limited liability partnerships, limited liability limited partnerships, limited liability companies, cooperatives, trademarks, trade names, service marks and publicity rights. One-stop point-of-service assistance to the public to help businesses apply for state business and employer registrations and taxpayer IDs. Substantive regulatory oversight over the securities industry and franchises in the State in the following areas: registration of broker-dealers, securities agents, investment advisers, investment adviser representatives, investment companies, and securities and franchise offering for sale in the State; field examinations of broker-dealers and investment advisers in the State; review of securities and franchise offerings for sale in the State; review of securities and franchise offerings for sale in the State; and enforcement of the Hawaii Uniform Securities Act and state franchise laws. Conduct investor education programs and outreach statewide.

Purpose of Proposed Ceiling Increase (if applicable):

Convert Office Assistant III Position to CRF Staff Attorney Position

Budget ceiling increase requested to accommodate the conversion of an Office Assistant III position to CRF Staff Attorney Position. The staff attorney position is needed to staff the Legal Section of the Securities Enforcement Branch (Branch) in order to quickly and efficiently resolve cases involving state securities law violations. The main role of the staff attorneys is to prosecute state securities law violations. The Branch has experienced an increase in cases and continues to see new and complicated financial fraud schemes. This position works with the Investigation Section of the Branch and its legal expertise is needed to effectively protect the residents of Hawaii from these frauds.

Fringe Increase

Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variations:

The variance for FY17 actual revenue can be primarily attributed to the restoration of securities registration fees to statutory levels in order to fund the Division's IT project. The variance for FY18 actual expenditures can be attributed to the IT project. The variance for FY19 estimated revenues can be primarily attributed to the comparison of an actual number to an estimated number. The variance for FY19 estimated expenditures can be attributed to the requested ceiling increases for the Division's Deputy Commissioner position and IT project.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,649,240	6,649,240	7,414,132	8,400,118	8,584,570	8,669,599	8,669,599
Beginning Cash Balance	11,870,606	11,483,982	11,583,995	15,041,283	18,886,669	18,472,077	18,009,423
Revenues 1/	6,314,503	6,752,319	10,397,463	11,377,835	9,290,100	9,291,000	9,291,000
Expenditures	5,592,877	5,614,881	5,969,240	6,414,205	8,584,570	8,669,599	8,669,599
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S310-R				(1,118,244)			
Net Total Transfers	(1,108,250)	(1,037,425)	(970,935)	(1,118,244)	(1,120,122)	(1,084,055)	(1,108,104)
Ending Cash Balance 2/	11,483,982	11,583,995	15,041,283	18,886,669	18,472,077	18,009,423	17,522,720
Encumbrances	148,786	97,088	78,292	452,921			
Unencumbered Cash Balance	11,335,196	11,486,907	14,962,991	18,433,748	18,472,077	18,009,423	17,522,720

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: Compliance Resolution Fund - RICO
 Legal Authority: Section 26-9(o), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-312-R

Intended Purpose:

Complaints receipt, mediation, arbitration, investigation, and prosecution of matters in areas for which a license, registration or certificate is required from the Department or its boards, commissions or regulatory programs.

Source of Revenues:

Compliance Resolution Fund fee; penalties and fines collected; interest earned on fund balances.

Current Program Activities/Allowable Expenses:

Complaints receipt, mediation, investigation, complaint resolution and prosecution related to licenses, registrations or certificates required from the Department or its boards, commissions or programs.

Purpose of Proposed Ceiling Increase (if applicable):

Voyager project- Increase program's operating budget ceiling for system replacement (Complaints Management System).

Fringe benefits- Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variations:

Division saw an increase in revenue following a fee increase approved in FY 16. Revenues vary due to renewal cycle. Expenditure variance for FY 19 due to anticipated expenses related to computer projects in outgoing years.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,631,030	5,631,030	6,442,820	7,167,144	7,167,144	7,800,160	7,500,160
Beginning Cash Balance	5,496,577	6,755,209	7,542,530	9,767,016	10,668,791	9,760,162	8,853,474
Revenues 1/	7,235,025	7,052,051	9,249,334	8,296,443	8,215,000	8,215,000	8,215,000
Expenditures	5,024,655	5,351,326	6,114,946	6,440,561	8,123,477	8,123,477	8,123,477
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To S310-R				(954,107)			
Net Total Transfers	(951,738)	(913,404)	(909,902)	(954,107)	(1,000,152)	(998,211)	(981,111)
Ending Cash Balance	6,755,209	7,542,530	9,767,016	10,668,791	9,760,162	8,853,474	7,963,886
Encumbrances	37,334	498,379	555,492	100,927	73,647	73,647	73,647
Unencumbered Cash Balance	6,717,875	7,044,151	9,211,524	10,567,864	9,686,515	8,779,827	7,890,239

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: State Certified Motor Vehicle Arbitration Fund
 Legal Authority: Chapter 481I, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-915-R

Intended Purpose:

Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Source of Revenues:

Filing fees paid by vehicle manufacturers and consumers.

Current Program Activities/Allowable Expenses:

Implementation and administration of the State Certified Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variations:

The Program continues to see increases in Demands for Arbitration in FY19, especially on neighbor islands. Revenues and expenditures vary depending on arbitration fees received and costs paid for arbitration hearings. The Program continues to see expenses for travel and related costs increase.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	124,356	124,177	123,897	124,127	123,208	126,208	129,208
Revenues 1/	4,275	3,100	4,525	2,925	3,000	3,000	3,000
Expenditures	4,454	3,380	4,295	3,844	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	124,177	123,897	124,127	123,208	126,208	129,208	132,208
Encumbrances	100	0	0				
Unencumbered Cash Balance	124,077	123,897	124,127	123,208	126,208	129,208	132,208

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Compliance Resolution Fund
 Legal Authority: Section 26-9(o), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-310-R,S-XX-398-R, S-14-360

Intended Purpose:

To enhance program effectiveness and efficiency by providing direction and general support services.

Source of Revenues:

Administrative fee from MICP claim fees pursuant to HRS §671-11(d), publication/copy fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

1. ISCO Source Data Repository- Add funding for other current expenses to support database license and maintenance recurring annual costs.
2. Fringe increases- Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20 and FY 21 due primarily to the projected costs for the prefunding of other post-employment benefits. The Department requires the requested budget increase to effectively fund all authorized positions.

Variiances

Revenue variance dues to one time increase in overhead transfer. Expendiiture variance is based on projection to ceiling.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,880,350	7,595,620	7,761,845	8,110,870	8,195,600	8,525,388	8,450,388
Beginning Cash Balance	3,521,497	2,874,897	3,222,005	3,634,523	3,729,011	3,553,837	3,235,304
Revenues 1/	513,607	951,322	1,209,223	870,272	874,378	874,006	874,006
Expenditures	6,543,591	6,777,852	6,882,854	7,250,353	8,195,600	8,525,388	8,450,388
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
From S302-R				347,366			
From S303-R				563,131			
From S305-R				943,291			
From S306-R				1,118,244			
From S307-R				38,421			
From S312-R				954,107			
From S313-R				1,559,786			
From S320-R				643,885			
From S323-R				306,338			
Net Total Transfers	5,383,384	6,173,638	6,086,149	6,474,569	7,146,048	7,332,849	7,232,849
Ending Cash Balance	2,874,897	3,222,005	3,634,523	3,729,011	3,553,837	3,235,304	2,891,771
Encumbrances	381,236	210,390	172,417	194,184			
Unencumbered Cash Balance	2,493,661	3,011,615	3,462,106	3,534,827	3,553,837	3,235,304	2,891,771

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue based on B&F Rev Est August 2018.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

DCCA Non-General Fund Information - Compliance Resolution Fund (S-310-R, S-398-R)
Page 2

Current Program Activities/Allowable Expenses:

Provide general policy and administrative leadership, supervision and coordination of the various programs of the department. Provide individual programs with financial resources, budgetary direction and control necessary for their operation. Assist individual programs with the supply, development and maintenance of the human resources necessary for staffing their operations. Provide Director with staff assistance in the direction and supervision of the operating division; and provide centralized clerical services and relief necessary to support and supplement the operating division. Plans, directs and coordinates the department's internal and external communications through the sharing and transfer of the information via various mediums. Directs the development, implementation and maintenance of computerized information systems capable of communicating and sharing data and information internally and externally. Administers a program for informed consumerism through the sharing and transfer of information departmentally and statewide.

Provide specialized staff support to all divisions and boards by conducting hearings, as required, to ensure impartial and informed treatment in resolving consumer complaints; and provide a means for prompt and fair disposition or settlement of medical tort claims or claims of professional negligence against an engineer, architect or surveyor licensed under Chapter 464, HRS.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): Various
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Kay Okimoto
 Phone: 586-2831
 Fund type (MOF) Temporary Deposits
 Appropriation Acct. No. T-XX-902-R

Intended Purpose:

The fund was established by DCCA to account for temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.

Source of Revenues:

Various revenue sources (e.g., Moneys for the Medical Claims Conciliation Panel, Design Claims Conciliation Panel, & Hawaii Joint Underwriting Plan are deposited into this account, and when an invoice is received it is paid out from this account).

Current Program Activities/Allowable Expenses:

See above information.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenue and expenditure variances are based on divisions' timing and execution of contracts and projects.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,511,786	1,810,621	1,149,621	1,896,566	1,429,482	1,762,482	1,540,482
Revenues	2,108,604	2,108,673	2,117,028	1,947,327	1,984,000	1,779,000	1,994,000
Expenditures	1,809,769	2,769,673	1,370,083	2,414,411	1,651,000	2,001,000	1,641,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,810,621	1,149,621	1,896,566	1,429,482	1,762,482	1,540,482	1,893,482
Encumbrances	0	9,575	22,100	44,109			
Unencumbered Cash Balance	1,810,621	1,140,046	1,874,466	1,385,373	1,762,482	1,540,482	1,893,482

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Commerce and Consumer Affairs
 Prog ID(s): CCA 901
 Name of Fund: Public Utilities Commission Special Fund
 Legal Authority: Section 269-33, HRS

Contact Name: Renee Nakagawa
 Phone: 586-3753
 Fund type (MOF) B
 Appropriation Acct. No. S-340-R

Intended Purpose:

To pay for expenses incurred by the Public Utilities Commission ("PUC") and the Division of Consumer Advocacy ("DCA") of the Department Of Commerce and Consumer Affairs ("DCCA") for all expenses incurred in the administration of chapters, 269, 271, 271G, 269E, and 786J and for costs incurred by DCCA to fulfill the department's limited oversight and administrative support functions provided that the expenditures are in accordance with the legislative appropriations.

Source of Revenues:

The Special Fund's sources of income include public utility, motor carrier, application and intervention filing, Hawaii One Call , and duplicating fees; and penalties and interest.

Current Program Activities/Allowable Expenses:

Provides effective, proactive, and informed oversight of all regulated entities to ensure that they operate at a high level of performance so as to serve the public fairly, efficiently, safely, and reliably, while addressing the goals and future needs of the State in the most economically, operationally, and environmentally sound manner, and affording the opportunity for regulated entities to achieve and maintain commercial viability. All monies in excess of \$1M remaining on balance in the Special Fund on June 30 of each year shall lapse to the credit of the State General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

- 1 DMS project. Cost to either enhancement or replacement the Commission's Document Management System per results of Feasibility Study that is expected
2. Add 2 positions and funds. Add 2 permanent positions, personal services funding and fringe at 60%.
3. Recurring IT. Increase special fund ceiling to cover recurring costs to refresh equipment and maintenance per ETS guidance.
4. DCA reorganization. Increase special fund ceiling to cover proposed DCA reorganization
5. Fringe Benefits. Pursuant to Finance Memorandum No. 18-16, the State's fringe benefit assessment rate for non-general fund programs is 60% for FY 20

Variances:

Variance in revenue is due to decreased utility revenue.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		17,828,567	16,045,272	15,753,197	15,249,248	17,436,157	19,283,757
Beginning Cash Balance	0	0	0	0	5,118,957	7,869,709	14,433,552
Revenues		22,497,217	19,032,684	16,905,331	17,000,000	23,000,000	23,000,000
Expenditures		13,145,207	13,566,973	12,705,374	15,249,248	17,436,157	19,283,757
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0030 S18340R 7/7/17				1,000,000	1,000,000	1,000,000	1,000,000
JS0521 S18340R 8/11/17				(81,000)			
Net Total Transfers	0	(1,474,888)	188,383	919,000	1,000,000	1,000,000	1,000,000
Ending Cash Balance	0	7,877,122	5,654,094	5,118,957	7,869,709	14,433,552	19,149,795
Encumbrances		2,009,502	1,012,167	963,391	1,000,000	1,000,000	1,000,000
Unencumbered Cash Balance	0	5,867,620	4,641,927	4,155,566	6,869,709	13,433,552	18,149,795

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Post-Katrina Emergency Management Reform Act of 2006,
Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) N
 Appropriation Acct. No. S-14-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: The Emergency Management Performance Grant Program is to support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues and expenditures in FY16 are much higher than FY17's. All contracts and agreements have been executed before FY17 because the grant has a 3-year performance period. There are variances in both revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,893,983	806,629	0	0	0	0	0
Beginning Cash Balance	5,872	218,153	119,862	0	0	0	0
Revenues	3,260,613	495,246	0	0	0	0	0
Expenditures	3,087,354	408,147	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5065 3/10/15	633						
JS6599 5/26/15	38,388						
JS0720 8/14/15		(185,390)					
JS2896 12/23/16			(119,862)				
Net Total Transfers	39,021	(185,390)	(119,862)	0	0	0	0
Ending Cash Balance	218,153	119,862	0	0	0	0	0
Encumbrances	612,625	0	0	0	0	0	0
Unencumbered Cash Balance	(394,472)	119,862	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The grant has a 3-year performance period and the majority of revenues and expenditures were collected and paid to vendors in FY 16. The grant has expended all funds.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	29,646,030	29,608,567	26,604,008	32,699	0	0	0
Beginning Cash Balance	0	13,782	109,604	28,947	28,947	0	0
Revenues	127,264	2,514,991	360,783	0	0	0	0
Expenditures	112,849	2,604,559	561,303	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5065 3/10/15	(633)						
JS0720 8/14/15		185,390					
JS2896 12/23/16			119,862				
00JS1285				0	(28,947)		
Net Total Transfers	(633)	185,390	119,862	0	(28,947)	0	0
Ending Cash Balance	13,782	109,604	28,947	28,947	0	0	0
Encumbrances	0	122,966	32,699	0	0	0	0
Unencumbered Cash Balance	13,782	(13,362)	(3,753)	28,947	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-16-204-G
 Emergency Management
 Performance Grants
 CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY17 are much higher than FY16's because the grant was awarded in September 2016. Majority of revenue and expenditures were collected and paid to vendors in FY 17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,548,573	5,876,108	3,084,817	2,660,348	1,595,340	0
Beginning Cash Balance		0	72,015	101,595	1,786	0	0
Revenues		404,066	2,820,871	324,660	1,063,222	1,595,340	0
Expenditures		332,051	2,791,291	424,469	1,065,008	1,595,340	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	72,015	101,595	1,786	0	0	0
Encumbrances	0	556,937	389,931	0	0	0	0
Unencumbered Cash Balance	0	(484,922)	(288,336)	1,786	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-17-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variiances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.
 Majority of revenue and expenditures were collected and paid to vendors in FY 18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,669,612	3,511,118	522,118	0	0
Beginning Cash Balance			0	430,859	71,381	100,133	(0)
Revenues			709,683	2,629,522	210,076	409,928	0
Expenditures			278,825	2,989,000	210,272	510,061	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1285					28,947		
Net Total Transfers	0	0	0	0	28,947	0	0
Ending Cash Balance	0	0	430,859	71,381	100,133	(0)	(0)
Encumbrances	0	0	668,513	297,320	0	0	0
Unencumbered Cash Balance	0	0	(237,654)	(225,939)	100,133	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-18-204-G
 Emergency Management
 Performance Grants
 CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.
 Majority of revenue and expenditures were collected and paid to vendors in FY 18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				4,500,000	3,872,134	2,552,499	235,412
Beginning Cash Balance				0	100,207	235,412	235,412
Revenues				728,072	1,454,840	2,317,087	0
Expenditures				627,866	1,319,635	2,317,087	235,412
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	100,207	235,412	235,412	0
Encumbrances	0	0	0	778,683	469,853	0	0
Unencumbered Cash Balance	0	0	0	(678,477)	(234,441)	235,412	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: The National Earthquake Hazards Reduction Act of 2004,
Public Law 108-360, 42 U.S.C 7704(a)(2)(B)

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-14-207-G
Earth Quake Hazard Reduction

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Variances can't be calculated due to zero revenues and Zero expenditures in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	673	1,389	0	0	0	0
Beginning Cash Balance	1,389	1,389	1,389	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	1,389	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5367 4/8/14							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,389	1,389	0		0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,389	1,389	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-208-G
 (Port Security Grant)
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues and expenditures in FY16 are much higher than FY17's. All Major contracts and agreements have been executed in FY16 because the grant is only good for 3 years. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,310,757	10,556,602	1,820	0	0	0	0
Beginning Cash Balance	9,736	259,452	1,820	(0)	0	0	0
Revenues	6,765,256	2,087,690	0	0	0	0	0
Expenditures	6,754,155	2,345,322	1,820	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4077 1/20/15	7,193						
JS4438 2/5/15	174,204						
JS6860 6/9/15	685						
JS1517 9/16/14	56,534						
Net Total Transfers	238,615	0	0	0	0	0	0
Ending Cash Balance	259,452	1,820	(0)	(0)	0	0	0
Encumbrances	447,036	0	0	0	0	0	0
Unencumbered Cash Balance	(187,584)	1,820	(0)	(0)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-208-G
 (Port Security Grant)
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: the majority of revenues and expenditures were collected and paid to vendors in FY16. The grant closed in FY17 and left a small amount of cash to pay for the outstanding expenditures. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,900,000	3,900,000	3,047,150	0	0	0	0
Beginning Cash Balance		238,620	8,829	0	0	0	0
Revenues	238,620	623,059	0	0	0	0	0
Expenditures	0	852,850	8,829	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	238,620	8,829	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	238,620	8,829	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-208-G
 (Port Security Grant)
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Majority of revenues and expenditures were collected and paid to vendors in FY16. The grant closed in FY17 and left a small amount of cash to pay for the outstanding expenditures. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0		255,606	179,735	0	0
Beginning Cash Balance				0	0	0	0
Revenues				75,871	10,354	0	0
Expenditures				75,871	10,354	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	179,735	169,381	0	0
Unencumbered Cash Balance	0	0	0	(179,735)	(169,381)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues and expenditures in FY16 are much higher than FY17's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY17. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,909,831	2,142,052	0	0	0	0	0
Beginning Cash Balance	42,999	68,988	20,242	(0)	0	0	0
Revenues	5,793,768	1,720,352	28,880	0	0	0	0
Expenditures	5,767,779	1,769,098	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS2367 11/22/16			(49,122)				
Net Total Transfers	0	0	(49,122)	0	0	0	0
Ending Cash Balance	68,988	20,242	(0)	(0)	0	0	0
Encumbrances	61,460	0	0	0	0	0	0
Unencumbered Cash Balance	7,528	20,242	(0)	(0)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) N
 Appropriation Acct. No. S-15-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues collected & expenditures paid in both FY16 and FY17 are very close. The variances between FY16 and FY17 are not significant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,733,000	3,652,445	2,128,667	0	0	0	0
Beginning Cash Balance	0	14	69,840	55,446	0	0	0
Revenues	80,569	1,993,605	1,665,151	0	0	0	0
Expenditures	80,555	1,923,779	1,728,667	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2367			49,122				
00JS2418				(55,446)			
Net Total Transfers	0	0	49,122	(55,446)	0	0	0
Ending Cash Balance	14	69,840	55,446	0	0	0	0
Encumbrances	70,935	82,500	0	0	0	0	0
Unencumbered Cash Balance	(70,921)	(12,660)	55,446	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-16-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY17 are much higher than FY16's because the grant was awarded in September 2016. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors in FY17 than in FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,800,000	3,576,674	2,614,045	637,982	0	0
Beginning Cash Balance		0	36,994	33,677	63,796	59,331	59,331
Revenues		194,819	957,708	2,006,182	524,259	0	0
Expenditures		157,826	962,629	2,031,509	528,724	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1173 9/20/16			1,604				
JSXXXX				55,446			
Net Total Transfers	0	0	1,604	55,446	0	0	0
Ending Cash Balance	0	36,994	33,677	63,796	59,331	59,331	59,331
Encumbrances	0	52,994	354,612	31,942	0	0	0
Unencumbered Cash Balance	0	(16,000)	(320,935)	31,854	59,331	59,331	59,331

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-17-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,734,500	3,725,340	2,808,939	2,333,509	1,693,169
Beginning Cash Balance				0	47,220	58,585	58,585
Revenues			9,160	963,621	486,795	640,340	640,340
Expenditures			9,160	916,401	475,430	640,340	640,340
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	47,220	58,585	58,585	58,585
Encumbrances	0	0	108,747	51,073	62,772		0
Unencumbered Cash Balance	0	0	(108,747)	(3,853)	(4,186)	58,585	58,585

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-18-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				4,000,000	3,957,623	3,589,038	3,052,905
Beginning Cash Balance				0	13,131	26,986	26,986
Revenues				55,508	382,439	536,133	536,133
Expenditures				42,377	368,585	536,133	536,133
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	13,131	26,986	26,986	26,986
Encumbrances	0	0	0	0	536,133	0	0
Unencumbered Cash Balance	0	0	0	13,131	(509,147)	26,986	26,986

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-210-G (Starbase)
 CFDA No. 12.404

Lapsed on 6/30/17

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No variances between FY16 and FY17 because no expenditures incurred in FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	65,034	65,034	0	0	0	0
Beginning Cash Balance	0	319,606	(0)	(0)	(0)	(0)	(0)
Revenues	185,821	36,861	0	0	0	0	0
Expenditures	234,966	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0887 8/19/14	368,751						
JS5242 4/6/16		(36,861)					
JS0376 7/29/15		(319,606)					
Net Total Transfers	368,751	(356,467)	0	0	0	0	0
Ending Cash Balance	319,606	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	319,606	(0)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-210-G (Starbase)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: In FY16, the majority of expenses were covered by the cash transferred from FY15 though the program received less revenues in FY16 than in FY17. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		400,000	119,084	119,084	119,084	0	0
Beginning Cash Balance		0	166,225	0	0	0	0
Revenues		90,675	135,111	24,082	0	0	0
Expenditures		280,916	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5242		36,861					
JS0376		319,606					
JS3554			(135,111)				
JS0165			(166,225)				
JS3522				(24,082)			
Net Total Transfers	0	356,467	(301,336)	(24,082)	0	0	0
Ending Cash Balance	0	166,225	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	166,225	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-210-G (Starbase)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		400,000	400,000	112,170	112,170	0	0
Beginning Cash Balance		0	0	140,500	0	0	0
Revenues			262,105	25,472	0	0	0
Expenditures			287,830	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0165			166,225				
00JS0250				(140,500)			
00JS0094					(25,472)		
Net Total Transfers	0	0	166,225	(140,500)	(25,472)	0	0
Ending Cash Balance	0	0	140,500	25,472	(25,472)	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	140,500	25,472	(25,472)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-18-210-G (Starbase)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		400,000	400,000	400,000	109,484	0	0
Beginning Cash Balance		0	0	0	151,102	40,344	40,344
Revenues				277,036	29,243	0	0
Expenditures				290,516		0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS3522				24,082			
00JS0250				140,500			
00JS0094					(140,000)		
Net Total Transfers	0	0	0	164,582	(140,000)	0	0
Ending Cash Balance	0	0	0	151,102	40,344	40,344	40,344
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	151,102	40,344	40,344	40,344

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-18-210-G (Starbase)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: No revenues or expenditures in FY16. Variations between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					400,000	0	0
Beginning Cash Balance		0	0	0	0	139,494	139,494
Revenues					77,868	0	0
Expenditures					103,847	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0094					165,472		
Net Total Transfers	0	0	0	0	165,472	0	0
Ending Cash Balance	0	0	0	0	139,494	139,494	139,494
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	139,494	139,494	139,494

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-213-G
 (Pre-Disaster Mitigation)
 CFDA #97.047

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: No variations because both FY16 & FY17 have no revenues or expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	380,636	355,104	0	0	0	0	0
Beginning Cash Balance	0	6,559	6,559	6,559	0	0	0
Revenues	25,532	0	0	0	0	0	0
Expenditures	25,532	0	0	6,559	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6600 5/26/15	6,559						
Net Total Transfers	6,559	0	0	0	0	0	0
Ending Cash Balance	6,559	6,559	6,559	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	6,559	6,559	6,559	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-214-G
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The grant's performance period ended in FY16. There were revenues and expenditures in FY16. In FY17, no activities during the fiscal year except the cash balance transferred from FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	394,779	262,618	3,622	0	0	0	0
Beginning Cash Balance	0	17,906	3,622	0	0	0	0
Revenues	124,449	98,641	0	0	0	0	0
Expenditures	132,161	123,367	3,622	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4143 1/22/15	9,875						
JS1229 8/31/14	15,743						
JS5271 4/7/16		10,443					
Net Total Transfers	25,618	10,443	0	0	0	0	0
Ending Cash Balance	17,906	3,622	0	0	0	0	0
Encumbrances	126,472	0		0	0	0	0
Unencumbered Cash Balance	(108,566)	3,622	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-214-G
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY17 are much higher than FY16's because the grant was awarded in September 2016. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors in FY17 than in FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		495,943	481,178	233,208	229,876	0	0
Beginning Cash Balance		0	13,541	5,263	0	0	0
Revenues		153,991	239,692	769	0	0	0
Expenditures		140,450	247,970	3,333	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2830				(2,700)			
Net Total Transfers	0	0	0	(2,700)	0	0	0
Ending Cash Balance	0	13,541	5,263	0	0	0	0
Encumbrances	0	229,808	2,563	0	0	0	0
Unencumbered Cash Balance	0	(216,268)	2,700	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-214-G
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			625,477	521,364	130,191	37,529	0
Beginning Cash Balance			0	5,788	1,303	(0)	(0)
Revenues			109,901	386,688	92,662	0	0
Expenditures			104,113	391,173	92,662	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2285					(1,303)		
Net Total Transfers	0	0	0	0	(1,303)	0	0
Ending Cash Balance	0	0	5,788	1,303	(0)	(0)	(0)
Encumbrances	0	0	152,288	113,904	0	0	0
Unencumbered Cash Balance	0	0	(146,500)	(112,601)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-18-214-G
 (National Tsunami Hazard
 Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: No revenues or expenditures in FY16. Variations between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	543,987	362,188	227,329	47,329
Beginning Cash Balance			0	0	4,799	49,625	49,625
Revenues			0	183,899	179,685	180,000	47,329
Expenditures			0	181,799	134,859	180,000	96,954
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2830				2,700			
Net Total Transfers	0	0	0	2,700	0	0	0
Ending Cash Balance	0	0	0	4,799	49,625	49,625	0
Encumbrances	0	0	0	220,505	0	0	0
Unencumbered Cash Balance	0	0	0	(215,706)	49,625	49,625	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-18-214-G
 (National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0		552,960	548,339	548,339
Beginning Cash Balance			0	0	0	7,741	7,741
Revenues			0	0	11,059	0	0
Expenditures			0	0	4,621	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2830				0	1,303		
Net Total Transfers	0	0	0	0	1,303	0	0
Ending Cash Balance	0	0	0	0	7,741	7,741	7,741
Encumbrances	0	0	0	0	250,609	0	0
Unencumbered Cash Balance	0	0	0	0	(242,868)	7,741	7,741

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: A164 / SL 11

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-12-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues are collected in both FY16 and FY17. No expenditures incurred in FY17 because the majority of contracts and agreements have been executed and paid before FY16. Since no payments made in FY17, variances in expenditures between FY16 and FY17 can not be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	401,360	125,768	13,228	2,728	0	0	0
Beginning Cash Balance	780,142	244,503	92,097	3,000	(0)	(0)	(0)
Revenues					0	0	0
Expenditures	215,927	44,406		2,728	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6222	80,288						
JS0550	(400,000)						
JS0376		(108,000)					
JS0165			(89,097)				
JS3666				(272)			
Net Total Transfers	(319,712)	(108,000)	(89,097)	(272)	0	0	0
Ending Cash Balance	244,503	92,097	3,000	(0)	(0)	(0)	(0)
Encumbrances	125,768	13,228	2,728	0	0	0	0
Unencumbered Cash Balance	118,735	78,868	272	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: A106 / SL 12

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-13-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues are collected in both FY16 and FY17. No expenditures incurred in FY17 because the majority of contracts and agreements have been executed and paid before FY16. Since no payments made in FY17, variances in expenditures between FY16 and FY17 can not be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	464,913	111,006	0	0	0	0	0
Beginning Cash Balance	773,223	147,151	61,275	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	373,956	68,875	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6222	(152,117)						
JS0550	(100,000)						
JS0376		(17,000)					
			(61,275)				
Net Total Transfers	(252,117)	(17,000)	(61,275)	0	0	0	0
Ending Cash Balance	147,151	61,275	0	0	0	0	0
Encumbrances	111,006	0		0	0	0	0
Unencumbered Cash Balance	36,145	61,275	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues are collected in both FY16 and FY17. Expenditures are getting less in FY17 because the majority of contracts and agreements have been executed and paid before FY16. Thus, there are variances in expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,489,385	10,853,331	10,350,430	10,349,095	0	0	0
Beginning Cash Balance	5,507,858	1,377,990	545,089	158,610	67,847	0	0
Revenues	192,967	0	0	0	0	0	0
Expenditures	6,636,054	502,901	1,335	88,762	67,847	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS6222	-1,686,781						
00JS0887	-1,000,000						
00JS2757	5,000,000						
00JS0376		(330,000)					
00JS0165			(385,144)	(2,000)			
Net Total Transfers	2,313,219	(330,000)	(385,144)	(2,000)	0	0	0
Ending Cash Balance	1,377,990	545,089	158,610	67,847	0	0	0
Encumbrances	1,040,991	157,945	156,610	67,847	0	0	0
Unencumbered Cash Balance	336,999	387,144	2,000	(0)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-15-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variiances: The majority of revenues & expenditures were collected and paid in FY15. Contracts and agreements were signed in FY15 to allow Hawaii Army Guards to build and maintain the facilities. Department of Defense continued to bill NGB for projects completed afterward, but less activities take place after FY 15. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	36,389,000	22,365,398	18,151,749	17,970,136	17,954,728	0	0
Beginning Cash Balance	0	5,302,389	1,958,267	437,397	170,090	92,803	6,415
Revenues	21,278,962	1,569,527	0	0	0	0	0
Expenditures	14,249,754	4,213,648	181,613	15,407	77,287	86,388	0
Transfers							
00JS6222	1,758,610.06						
00JS0550	514,571.33						
00JS0887	1,000,000.00						
00JS2757	-5,000,000.00						
00JS0376		(700,000)					
00JS0165			(1,339,257)				
00JS0250				(251,900)			
Net Total Transfers	(1,726,819)	(700,000)	(1,339,257)	(251,900)	0	0	0
Ending Cash Balance	5,302,389	1,958,267	437,397	170,090	92,803	6,415	6,415
Encumbrances	1,751,964	528,042	185,479	170,072	86,388	0	0
Unencumbered Cash Balance	3,550,425	1,430,225	251,917	17	6,415	6,415	6,415

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variiances: The revenues and expenditures approved by MCA in FY16 are more than double the revenues and expenditures in FY17. In general, the Federal government released the advanced payments through MCA programs within the first 2 fiscal years and the majority of the advanced payments are released in the 1st fiscal year. Therefore, there are variances in revenues & expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		28,500,000	15,966,432	9,447,920	8,743,382	8,631,630	0
Beginning Cash Balance		0	3,357,259	2,216,066	862,027	450,275	450,275
Revenues		14,880,397	5,208,680	0	0	0	0
Expenditures		12,533,568	6,518,512	704,538	111,752	0	0
Transfers							
00JS4790		(168,638)					
00JS6980		24,068					
00JS0376		1,155,000					
00JS0917			168,638				
00JS0250				(649,500)			
00JS0094					(300,000)		
Net Total Transfers	0	1,010,430	168,638	(649,500)	(300,000)	0	0
Ending Cash Balance	0	3,357,259	2,216,066	862,027	450,275	450,275	450,275
Encumbrances		2,361,436	81,506	180	450,276	0	0
Unencumbered Cash Balance	0	995,823	2,134,560	861,847	(0)	450,275	450,275

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-217-G
(Hawaii Army National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures are in FY16. variances can't be calculated in revenues and in expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			30,000,000	17,948,628	10,727,315	7,927,315	6,577,315
Beginning Cash Balance			0	10,052,430	1,304,998	74,839	(0)
Revenues			20,229,029	473,880	1,869,841	1,275,161	1,350,000
Expenditures			12,051,372	7,221,313	2,800,000	1,350,000	1,350,000
Transfers							
00JS0165			1,874,773				
00JS0250				(2,000,000)			
00JS0094					(300,000)		
Net Total Transfers	0	0	1,874,773	(2,000,000)	(300,000)	0	0
Ending Cash Balance	0	0	10,052,430	1,304,998	74,839	(0)	(0)
Encumbrances	0	0	3,316,716	176,176	74,838	0	0
Unencumbered Cash Balance	0	0	6,735,714	1,128,822	0	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-18-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures are in FY16. variances can't be calculated in revenues and in expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				30,000,000	16,615,833	13,921,097	13,921,097
Beginning Cash Balance				0	10,529,172	5,444,107	5,444,107
Revenues				21,009,667	109,672		
Expenditures				13,384,167	2,694,736		
Transfers							
00JS3666				272			
00JS0250				2,903,400			
00JS0094					(2,500,000)		
Net Total Transfers	0	0	0	2,903,672	(2,500,000)	0	0
Ending Cash Balance	0	0	0	10,529,172	5,444,107	5,444,107	5,444,107
Encumbrances	0	0		2,784,588	3,420,587	0	0
Unencumbered Cash Balance	0	0	0	7,744,584	2,023,520	5,444,107	5,444,107

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-19-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures are in FY16. variances can't be calculated in revenues and in expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					30,000,000	26,270,077	26,270,077
Beginning Cash Balance				0	0	3,485,160	3,485,160
Revenues				0	4,115,082		
Expenditures				0	3,729,923		
Transfers							
00JS0094					3,100,000		
Net Total Transfers	0	0	0	0	3,100,000	0	0
Ending Cash Balance	0	0	0	0	3,485,160	3,485,160	3,485,160
Encumbrances	0	0			6,697,016	0	0
Unencumbered Cash Balance	0	0	0	0	(3,211,856)	3,485,160	3,485,160

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: No variations because no revenues or expenditures were received or paid in both FY16 and FY17. The projects have been completed in FY15.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,993,551	1,947,637	0	0	0	0	0
Beginning Cash Balance	768,964	0	0	0	0	0	0
Revenues	284,960	0	0	0	0	0	0
Expenditures	45,914	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS6291	(308,011)						
00JS0551	(700,000)						
Net Total Transfers	(1,008,011)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: The agreements were signed in FY15 for that year's projects. Less revenues and expenditures collected and paid after FY15. The variances in revenues and expenditures are very small between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,100,000	1,984,570	1,930,021	0	0	0	0
Beginning Cash Balance	0	688,604	2,516	0	0	0	0
Revenues	796,024	170,571	3,074	0	0	0	0
Expenditures	1,115,430	54,549	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS6291	308,011						
00JS0551	700,000						
00JS3614		(200,000)					
00JS5242		(102,110)					
00JS0376		(500,000)					
00JS3157			(3,074)				
00JS0165			(2,516)				
Net Total Transfers	1,008,011	(802,110)	(5,590)	0	0	0	0
Ending Cash Balance	688,604	2,516	0	0	0	0	0
Encumbrances	34,546	0	0	0	0	0	0
Unencumbered Cash Balance	654,058	2,516	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The contracts and agreements were signed in FY16 to allow Air Guards to build and maintain the facilities in that year. Department of Defense continued to bill NGB for projects completed afterward, but less activities take place after FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		6,300,000	5,155,195	5,103,631	0	0	0
Beginning Cash Balance		0	235,340	87,196	0	0	0
Revenues		578,035	353,247	0	0	0	0
Expenditures		1,144,805	51,564		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS3614		200,000					
00JS5242		102,110					
00JS0376		500,000					
00JS3157			(4,000)				
00JS0165			(155,827)				
00JS0817			(290,000)				
00JS0841			(35,000)				
00JS0916			35,000				
00JS0250				(87,196)			
Net Total Transfers	0	802,110	(449,827)	(87,196)	0	0	0
Ending Cash Balance	0	235,340	87,196	0	0	0	0
Encumbrances		0	33,883	0	0	0	0
Unencumbered Cash Balance	0	235,340	53,313	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-218-G
(Hawaii Air National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: No revenues or expenditures in FY16. Variations between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			6,265,000	5,099,302	5,014,359	0	0
Beginning Cash Balance			0	601,980	245,310	45,310	45,310
Revenues			1,177,151	228,572	0	0	0
Expenditures			1,165,699	84,942		0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS3157			7,073.78				
00JS3554			135,110.59				
00JS0165			158,342.71				
00JS0817			290,000.00				
00JS0841			35,000.00				
00JS0916			-35,000.00				
00JS0250				(500,300)			
00JS0094					(200,000)		
Net Total Transfers	0	0	590,527	(500,300)	(200,000)	0	0
Ending Cash Balance	0	0	601,980	245,310	45,310	45,310	45,310
Encumbrances	0	0	379,427	0	0	0	0
Unencumbered Cash Balance	0	0	222,553	245,310	45,310	45,310	45,310

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				6,300,000	4,953,452	0	0
Beginning Cash Balance			0	0	354,082	506,681	506,681
Revenues				1,113,134	430,301	0	0
Expenditures				1,346,548	47,702	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0250				587,496			
00JS0094					-230,000		
Net Total Transfers	0	0	0	587,496	(230,000)	0	0
Ending Cash Balance	0	0	0	354,082	506,681	506,681	506,681
Encumbrances	0	0		0	0	0	0
Unencumbered Cash Balance	0	0	0	354,082	506,681	506,681	506,681

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: No revenues or expenditures in FY16. Variations between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					6,300,000	0	0
Beginning Cash Balance			0	0	0	364,522	364,522
Revenues					334,743	0	0
Expenditures					400,220	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0094					430,000		
Net Total Transfers	0	0	0	0	430,000	0	0
Ending Cash Balance	0	0	0	0	364,522	364,522	364,522
Encumbrances	0	0		0	382,161	0	0
Unencumbered Cash Balance	0	0	0	0	(17,639)	364,522	364,522

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fur: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-14-219-G
 (Youth Challenge Academy)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances because both FY16 & FY17 have no revenues or expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	732,043	573,410	0	0	0	0	0
Beginning Cash Balance	495,555	36,922	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	158,634	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0312 7/24/14	(300,000)						
JS0617 8/10/15		(36,922)					
Net Total Transfers	(300,000)	(36,922)	0	0	0	0	0
Ending Cash Balance	36,922	0	0	0	0	0	0
Encumbrances	64,281	0	0	0	0	0	0
Unencumbered Cash Balance	(27,360)	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Agreement was signed and funding was released in FY15 for that year's trainings. In FY16, no revenues released except a small amount of outstanding payments for the expenditures incurred in FY15. In FY17, the grant closed out. The variances in revenues or expenditures between FY16 & FY17 can't be calculated because no revenues or expenditures incurred in FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,584,387	975,535	864,152	0	0	0	0
Beginning Cash Balance	0	222,760	(0)	(0)	(0)	(0)	(0)
Revenues	4,511,149	0	0	0	0	0	0
Expenditures	4,608,852	111,383	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0312	300,000						
00JS1028	20,464						
00JS4022		3,622					
00JS0617		(100,000)					
00JS3361		(7,500)					
00JS3455		(7,500)					
Net Total Transfers	320,464	(111,378)	0	0	0	0	0
Ending Cash Balance	222,760	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	226,469	386	386	386	0	0	0
Unencumbered Cash Balance	(3,709)	(386)	(386)	(386)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fun: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The revenues and expenditures approved in FY16 are much more than the revenues and expenditures in FY17. In this case, the Federal government released the advanced payments within the first 2 fiscal years. The majority of the advanced payments are available in the 1st fiscal year. Therefore, there are variances in revenues & expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		5,584,387	942,143	863,212	0	0	0
Beginning Cash Balance	0	0	146,235	7,305	(0)	(0)	(0)
Revenues		4,640,181	190,000	0	0	0	0
Expenditures		4,642,245	78,931	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4022 1/28/16		(3,622)					
JS0617 8/10/15		136,922					
00JS3361		7,500					
00JS3455		7,500					
00JS0693			(250,000)				
00JS0185				(7,305)			
Net Total Transfers	0	148,299	(250,000)	(7,305)	0	0	0
Ending Cash Balance	0	146,235	7,305	(0)	(0)	(0)	(0)
Encumbrances		134,242	0	0	0	0	0
Unencumbered Cash Balance	0	11,993	7,305	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fun: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			5,584,387	896,216	776,752	0	0
Beginning Cash Balance			0	691,524	29,365	0	0
Revenues			5,429,695	0	0	0	0
Expenditures			4,688,171	119,464	29,365	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6215 6/30/17			(300,000)				
JS0693 8/22/16			250,000				
00JS0185				(342,695)			
00JS0455				(150,000)			
00JS0881				(50,000)			
Net Total Transfers	0	0	(50,000)	(542,695)	0	0	0
Ending Cash Balance	0	0	691,524	29,365	0	0	0
Encumbrances		0	262,388	533	0	0	0
Unencumbered Cash Balance	0	0	429,136	28,832	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fur: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-18-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				5,584,387	650,550	0	0
Beginning Cash Balance			0	0	1,106,837	170,313	170,313
Revenues				5,490,674	0	0	0
Expenditures				4,933,837	186,524	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0185				350,000			
00JS0455				150,000			
00JS0881				50,000			
00JS0233					(350,000)		
00JS0359					(200,000)		
00JS0530					(200,000)		
Net Total Transfers	0	0	0	550,000	(750,000)	0	0
Ending Cash Balance	0	0	0	1,106,837	170,313	170,313	170,313
Encumbrances							
Unencumbered Cash Balance	0	0	0	1,106,837	170,313	170,313	170,313

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fun: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-18-219-G
 (Youth Challenge Academy)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					5,363,962	0	0
Beginning Cash Balance			0	0	0	1,174,446	1,174,446
Revenues					2,050,936	0	0
Expenditures					1,626,491	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0233					350,000		
00JS0359					200,000		
00JS0530					200,000		
Net Total Transfers	0	0	0	0	750,000	0	0
Ending Cash Balance	0	0	0	0	1,174,446	1,174,446	1,174,446
Encumbrances					886,010		
Unencumbered Cash Balance	0	0	0	0	288,435	1,174,446	1,174,446

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Robert T. Stafford Disaster Relief and Emergency Assistance Act,
 Public Law 93-288, as amended, 42 U.S.C. 5121-5206

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-223-G
10/30/2004 Floods - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances can be calculated in revenues or expenditures between FY16 and FY17 because There are no revenues or expenditures in FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	248,623	248,623	0	0	0	0	0
Beginning Cash Balance	12,558	12,558	99	0	0	0	0
Revenues	0	43,748	0	0	0	0	0
Expenditures	0	56,207	99	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7023 6/24/14							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	12,558	99	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	12,558	99	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-226-G
 (Urban Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters for City & County of Honolulu.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The grant was awarded in late October 2014. It takes time to plan and execute agreements, so there were no activities in FY15. All the revenues and expenditures were collected and paid in both FY16 and FY17. There are variances in revenues and expenditures between FY16 and FY17 because the majority revenues and expenditures were incurred in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,000,000	431,805	0	0	0	0
Beginning Cash Balance		0	99,245	0	0	0	0
Revenues		667,440	332,560	0	0	0	0
Expenditures		568,195	431,805	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	99,245	0	0	0	0	0
Encumbrances	0	0	99,245	0	0	0	0
Unencumbered Cash Balance	0	99,245	(99,245)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No.: S-16-226-G
 (Urban Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters for City & County of Honolulu.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY17 are much higher than FY16's because the grant was awarded in September 2016. It takes time to plan and execute contracts & agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY17. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,500,000	2,980,874	1,570,328	39,409	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues		19,126	1,410,546	1,530,919	252	0	0
Expenditures		19,126	1,410,546	1,530,919	252	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-14-234-G
Civil Justice Corp Grant
CFDA No. 17.261

Intended Purpose: To help former youth offenders, ages 18-24, reconnect with their communities and find pathways to success through community service and education.

Source of Revenues: U.S. Dept . Of Labor, Employment Training Administration

Current Program Activities/Allowable Expenses: Civic Justice Corp Grant

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances in revenues or expenditures between FY16 and FY17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,087	0	0	0	0	0	0
Beginning Cash Balance	2,112	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	2,087	0	25	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25	0	(25)	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	25	0	(25)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-269-G
 (Floods)

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in expenditures between FY16 and FY17. But the difference is inmaterial.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	43,583	43,583	682	0	0	0	0
Beginning Cash Balance	0	44,265	44,265	43,583	43,583	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	682	0	43,583	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6601 5/26/15	44,265						
Net Total Transfers	44,265	0	0	0	0	0	0
Ending Cash Balance	44,265	44,265	43,583	43,583	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	44,265	44,265	43,583	43,583	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Authority: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-282-G
 Hawaii Natl Guard Youth
 Challenge Academy
 CFDA No. 12.404

Intended Purpose: The National Guard Bureau (NGB) enters into Master Youth Cooperative Agreements with the State of Hawaii to provide support to the Youth Challenge Academy (YCA) for the Railing Replacement, Phase 1.

Source of Revenues: NGB is responsible for contributing funds for the support of the repairs and maintenance under the Master Youth Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	300,000	300,000	0	0	0
Beginning Cash Balance		0	0	300,000	300,000	0	0
Revenues		0	0	0	0	0	0
Expenditures		0	0	0	280,584	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6215 6/30/17			300,000				
Net Total Transfers	0	0	300,000	0	0	0	0
Ending Cash Balance	0	0	300,000	300,000	19,416	0	0
Encumbrances	0	0	300,000	300,000	0	0	0
Unencumbered Cash Balance	0	0	0	0	19,416	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-292-G
March 2006 Flood - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances. The revenues in FY16 are quite small.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	218,352	218,352	0	0	0	0	0
Beginning Cash Balance	0	11,254	11,420	11,420	11,420	11,420	11,420
Revenues	0	166	0		0	0	0
Expenditures	0	0	0	11,420	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6602 5/26/15	11,254						
Net Total Transfers	11,254	0	0	11,420	0	0	0
Ending Cash Balance	11,254	11,420	11,420	11,420	11,420	11,420	11,420
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	11,254	11,420	11,420	11,420	11,420	11,420	11,420

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Earthquake Hazards Reduction Act of 1977,
Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) P
 Appropriation Acct. No. S-14-293-G
KIHOLO BAY EARTHQUAKE - PA

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The majority of work in cleaning up and fixing damaged properties have been completed before FY17. The revenues collected and payments made have declined greatly since FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,730,307	2,260,041	833,120	826,850	572,662	0	0
Beginning Cash Balance	240,326	1,047,179	168,923	173,982	171,549	0	0
Revenues	3,262,727	548,664	11,329	251,755	0	0	0
Expenditures	2,470,266	1,426,921	6,270	254,188	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS3920	14,392						
00JS1490					(171,549)		
Net Total Transfers	14,392	0	0	0	(171,549)	0	0
Ending Cash Balance	1,047,179	168,923	173,982	171,549	0	0	0
Encumbrances	91,147	145,281	309,534	5,996	0	0	0
Unencumbered Cash Balance	956,033	23,642	(135,552)	165,553	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Earthquake Hazards Reduction Act of 1977,
Public Law 95-124, 42 U.S.C 7701

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-14-293-G
KIHOLO BAY EARTHQUAKE - PA

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: The majority of work in cleaning up and fixing damaged properties have been completed before FY17. The revenues collected and payments made have declined greatly since FY16. There are variations in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					3,555,000	3,409,684	3,382,436
Beginning Cash Balance					0	27,248	0
Revenues					1,015	0	0
Expenditures					145,316	27,248	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS1490					171,549		
Net Total Transfers	0	0	0	0	171,549	0	0
Ending Cash Balance	0	0	0	0	27,248	0	0
Encumbrances	91,147	145,281	309,534	5,996	27,249	0	0
Unencumbered Cash Balance	(91,147)	(145,281)	(309,534)	(5,996)	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-14-294-G
STORM/SURF/FLOOD/MUD
12/4-7/2007 - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in revenues and expenditures between FY16 and FY17. The grant has been opened for 10 years. FY16 is the only year it has activities. Currently, it has cash balance for \$639.00 which needs to be removed.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	635,530	635,530	0	0	0	0	0
Beginning Cash Balance	0	0	639	639	0	0	0
Revenues	0	394,774	0	0	0	0	0
Expenditures	0	394,135	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS4201				(639)			
Net Total Transfers	0	0	0	(639)	0	0	0
Ending Cash Balance	0	639	639	0	0	0	0
Encumbrances	0	0	5,996	0	0	0	0
Unencumbered Cash Balance	0	639	(5,356)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-295-G
SEVERE STORM/FLOOD
DEC 10-16, 2008 - PA

Lapsed on 6/30/2016 - replaced by S-17-295

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	359,949	338,733	115,202	0	0	0	0
Beginning Cash Balance	0	95,642	95,642	0	0	0	0
Revenues	100,183	0	0	0	0	0	0
Expenditures	21,216	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5391 3/27/15	16,675						
JS6096 6/30/17			(95,642)				
Net Total Transfers	16,675	0	(95,642)	0	0	0	0
Ending Cash Balance	95,642	95,642	0	0	0	0	0
Encumbrances	115,202	115,202	0	0	0	0	0
Unencumbered Cash Balance	(19,559)	(19,559)	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-295-G
SEVERE STORM/FLOOD
DEC 10-16, 2008 - PA
S-17-295-G replaced S-14-295-G,
lapsed on 6/30/16

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	220,000	220,000	212,158	96,956	96,956
Beginning Cash Balance	0	0	0	115,202	115,202	0	0
Revenues	0	0	19,559	7,842	0	0	0
Expenditures	0	0	0	7,842	115,202	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6096 6/30/17			95,642				
Net Total Transfers	0	0	95,642	0	0	0	0
Ending Cash Balance	0	0	115,202	115,202	0	0	0
Encumbrances	0	0		110,248	0	0	0
Unencumbered Cash Balance	0	0	115,202	4,953	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-296-G
MARCH 11, 2011 TSUNAMI WAVES-PA

Lapsed on 6/30/2016 - replaced by S-17-296

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variiances: It takes time to estimate the damaged areas and receive assistance from FEMA. The records show that more revenues received and more payments paid out to diaster victims in FY17 than in FY16. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. Due to the circumstrances, there are variiances in both revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,845,950	4,827,789	915,295	0	0	0	0
Beginning Cash Balance	0	116,444	117,544	41,166	(0)	(0)	(0)
Revenues	148,996	195,455	692,670	22,892		0	0
Expenditures	18,160	194,355	735,000	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS3920	(14,392)						
00JS4500			(22,000)				
00JS4835			(12,048)				
00JS4202				(41,166)			
00JS2186				(22,892)			
Net Total Transfers	(14,392)	0	(34,048)	(64,058)	0	0	0
Ending Cash Balance	116,444	117,544	41,166	(0)	(0)	(0)	(0)
Encumbrances	116,621	915,295	0	0	0	0	0
Unencumbered Cash Balance	(177)	(797,751)	41,166	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-296-G

MARCH 11, 2011 TSUNAMI
WAVES-PA

S-17-296-G replaced S-14-296-G lapsed on 6/30/16

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			5,400,000	5,365,952	5,342,698	0	0
Beginning Cash Balance			0	0	41,166	0	0
Revenues				362	0	0	0
Expenditures			34,048	23,254	41,166	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS4500			22,000				
00JS4835			12,048				
00JS4202				41,166			
00JS2186				22,892			
Net Total Transfers	0	0	34,048	64,058	0	0	0
Ending Cash Balance	0	0	0	41,166	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	41,166	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-297-G
SEVERE STORM/FLOOD
MAR 3-11, 2012 - PA

Lapsed on 6/30/2016 - replaced by S-17-297

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The grant lapsed in FY16. There were no expenditures in FY17. We can see the residual fundings were transferred to S-17-297. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,340,588	2,317,050	0	0	0	0	0
Beginning Cash Balance	0	31,826	32,052	0	0	0	0
Revenues	26,122	11,167	60,949	0	0	0	0
Expenditures	23,538	10,940	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6604 5/26/15	29,242						
JM3343 12/31/16			(26,500)				
JS6097 6/30/17			(66,501)				
Net Total Transfers	29,242	0	(93,001)	0	0	0	0
Ending Cash Balance	31,826	32,052	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	31,826	32,052	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-297-G
SEVERE STORM/FLOOD
MAR 3-11, 2012 - PA
S-17-297-G replaced S-14-
297-G lapsed on 6/30/16

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)	FY 2021 (estimated)
Appropriation Ceiling			2,500,000	2,473,500	2,439,051	2,439,051	2,439,051
Beginning Cash Balance			0	66,501	32,052	32,052	32,052
Revenues			0	0	0	0	0
Expenditures			26,500	34,449	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3343			26,500				
00JS6097			66,501				
Net Total Transfers	0	0	93,001	0	0	0	0
Ending Cash Balance	0	0	66,501	32,052	32,052	32,052	32,052
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	66,501	32,052	32,052	32,052	32,052

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - Department of Defense TANF FUNDS
 Legal Authority: A158 / SL08

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): U
 Appropriation Acct. No.: S-09-315-G
HDS - Department of Defense
TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	2,921	2,921	2,921	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	2,921	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,921	2,921	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2,921	2,921	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - Department of Defense TANF FUNDS
 Legal Authority: A162 / SL09

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): U
 Appropriation Acct. No.: S-10-315-G
HDS - Department of Defense
TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,025	1,025	1,025	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	1,025	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,025	1,025	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,025	1,025	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - Department of Defense TANF FUNDS
 Legal Authority: A180 / SL10

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): U
 Appropriation Acct. No. S-11-315-G
HDS - Department of Defense
TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	303,980	0	0	0	0
Beginning Cash Balance	331,586	331,586	303,980	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	303,980	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS6895		(27,606)					
Net Total Transfers	0	(27,606)	0	0	0	0	0
Ending Cash Balance	331,586	303,980	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	331,586	303,980	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - Department of Defense TANF FUNDS
 Legal Authority: A164 / SL11

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): U
 Appropriation Acct. No.: S-12-315-G
HDS - Department of Defense
TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	132,775	0	0	0	0
Beginning Cash Balance	132,775	132,775	132,775	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	132,775	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	132,775	132,775	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	132,775	132,775	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - Department of Defense TANF FUNDS
 Legal Authority: A106 / SL12

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): U
 Appropriation Acct. No.: S-13-315-G
HDS - Department of Defense
TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	18,451	0	0	0	0
Beginning Cash Balance	18,451	18,451	18,451	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	18,451	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	18,451	18,451	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	18,451	18,451	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - Department of Defense TANF FUNDS
 Legal Authority: A180 / SL10

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): S
 Appropriation Acct. No.: S-11-321-G
TNF State Program

Intended Purpose:

Source of Revenues: Federal funds from Workforce Investment Act (WIA)

Current Program Activities/Allowable Expenses: After-school and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	71,277	71,277	71,277	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	71,277	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	71,277	71,277	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	71,277	71,277	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: State & Local Implementation Grant
 Legal Author: Act of 2012, Public Law 112-96

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-500-G
State & Local Implementation
CFDA No. 11.549

Lapsed on 6/30/16 - replaced by S-17-500

Intended Purpose: To provide resources to assist regional, state, local, and tribal government entities as they plan for the nationwide public safety broadband network (PSBN) being developed by the First Responder Network Authority (FirstNet).

Source of Revenues: Middle Class Tax Relief and Job Creation Act of 2012 (Act).

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to coordinate and collaborate with stakeholders to identify needs, gaps, and priorities for public safety wireless broadband.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The grant lapsed in FY16. The majority of drawdowns (revenues) and payments were completed in the years before FY17. The drawdowns and paymentse done in FY17 were very small. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,975,145	3,657,380	121,943	0	0	0	0
Beginning Cash Balance	87	2,228	11,571	0	0	0	0
Revenues	319,907	300,433	26,324	0	0	0	0
Expenditures	317,766	291,090	22,486	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0707 8/23/16			(5,973)				
JS1173 9/20/16			(1,604)				
JS2375 11/22/16			(7,831)				
Net Total Transfers	0	0	(15,409)	0	0	0	0
Ending Cash Balance	2,228	11,571	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2,228	11,571	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: State & Local Implementation Grant
 Legal Author: Act of 2012, Public Law 112-96

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-500-G
State & Local Implementation
CFDA No. 11.549
S-17-500-G replaced S-14-500-G,
lapsed on 6/30/16

Intended Purpose: To provide resources to assist regional, state, local, and tribal government entities as they plan for the nationwide public safety broadband network (PSBN) being developed by the First Responder Network Authority (FirstNet).

Source of Revenues: Middle Class Tax Relief and Job Creation Act of 2012 (Act).

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to coordinate and collaborate with stakeholders to identify needs, gaps, and priorities for public safety wireless broadband.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			334,119	315,599	119,276	0	0
Beginning Cash Balance			0	11,608	(0)	(0)	(0)
Revenues			16,323	192,528	0	0	0
Expenditures			18,520	196,323	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0707			5,973				
00JS2375			7,831				
00JS5353				(7,813)			
Net Total Transfers			13,804	(7,813)	0	0	0
Ending Cash Balance	0	0	11,608	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	11,608	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: Regional Cat. Preparedness Grant
 Legal Author: Act, 2009, Public Law 110-329

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-501-G
Regional Catastrophic
 Preparedness Program
 CFDA No. 97.111

Intended Purpose: RCPGP is intended to support co-ordination of regional, all hazard planning for catastrophic events, including the development of all necessary integrated planning communities, plans, protocols, and procedures.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: To support an integrated planning system that provides all-hazard planning for catastrophic event.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The grant closed in FY15. No variances because no revenues or expenditures in both FY16 or FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,668,241	634,537	0	0	0	0	0
Beginning Cash Balance	12,000	(0)	(0)	0	0	0	0
Revenues	1,021,490	0	0	0	0	0	0
Expenditures	1,033,704	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6860 6/9/15	(685)						
JS1623 9/22/14	899						
Net Total Transfers	214	0	0	0	0	0	0
Ending Cash Balance	(0)	(0)	(0)	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(0)	(0)	(0)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: Amelioration of Physical Disasters
 Legal Author: Public Law 93-288, 42 U.S.C 5121

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-502-G

For Homeland Security Grants in FY13
CFDA No. 97.067

S-502-G was assigned to Homeland Security Grants in FY13 & FY14. Then it was assigned to MCCA in FY15. But MCCA accountant did not like the appropriation number and requested a new appropriation number, S-15-504-G to replace it. S-502-G has not been used since FY2015

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: The appropriation has no activities since FY15. To close out the grant, cash balance needs to be removed by increasing the expenditures. In reality, there are no variances between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,882,319	16,882,319	124,897	0	0	0	0
Beginning Cash Balance	124,897	124,897	124,897	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	124,897	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0		
Ending Cash Balance	124,897	124,897	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	124,897	124,897	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 1978, Section 202, 38 U.S.C 2408

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-503-G
(Gravesite Restoration for Maui Cemetery)
 CFDA No. 64.203

Intended Purpose: To replace the grass, and to clean, readjust and realign the grave markers at the Maui Veterans Cemetery.

Source of Revenues: U.S. Department of Veterans Affairs' (VA) State Cemetery Grant Program

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to renovate cemetery with new grassing and aligned grave markers. So Veterans, family members, and friends of departed veterans on Maui will be able to visit their loved ones.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: The grant closed in FY15. The revenues and expenditures in FY16 are the outstanding balance of contracts or agreements executed in FY15. The variations in revenues and expenditures between FY16 and FY17 can't be calculated since FY17 has no revenues or expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,112,771	269,831	77,261	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	842,939	192,570	0	0	0	0	0
Expenditures	842,939	192,570	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0		
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-504-G
Army National Guard MCCA
CFDA No. 12.400

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Army National Guard Military Constructions for Facilities

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Due to federal funding issues, the facility renovation was put on hold. Revenues received in FY17 are used to pay for the contract excuted in FY16, FY17, and FY18. Overall, there are no variations because revenues are equal to expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	1,880,689	1,837,279	1,831,362	0	0
Beginning Cash Balance		0	49,327	5,917	0	0	0
Revenues	0	0	168,638	0	0	0	0
Expenditures	0	119,311	43,410	5,917	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4790 3/11/16		168,638					
JS0917 9/6/16			(168,638)				
Net Total Transfers	0	168,638	(168,638)	0	0	0	0
Ending Cash Balance	0	49,327	5,917	0	0	0	0
Encumbrances	168,638	49,327	5,917	5,917	0	0	0
Unencumbered Cash Balance	(168,638)	0	0	(5,917)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No. S-15-505-G
Tropical Storm Iselle -9/12/14
CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: After the disaster hit in FY15, the spending for fixing damaged properties has gone down tremendously year after year. In FY17, less revenues received and less expenditures incurred than FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,000,000	4,631,356	4,561,608	4,539,646	4,515,610	4,512,610	4,511,110
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,368,644	69,748	21,962	24,036	3,000	1,500	1,500
Expenditures	1,368,644	69,748	21,962	24,036	3,000	1,500	1,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	1,355,778	1,337,513	1,318,050	1,315,551	1,315,551	0	0
Unencumbered Cash Balance	(1,355,778)	(1,337,513)	(1,318,050)	(1,315,551)	(1,315,551)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-506-G
PU'U O'O Lava Flow - 11/3/14
CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: After the disaster hit in FY15, the spending for fixing damaged properties has gone down tremendously year after year. The majority of payments were made in FY16. After that, the revenues and expenditures become very small. There are huge variances between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000,000	20,000,000	16,764,275	16,751,385	16,751,385	16,751,385	16,751,385
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	3,235,725	12,890	0	0	0	0
Expenditures	0	3,235,725	12,890	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	3,235,725	3,235,725	3,235,725	0	0	0
Unencumbered Cash Balance	0	(3,235,725)	(3,235,725)	(3,235,725)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-507-G
National Tsunami Hazard
Mitigation-CFDA No. 11.467
(8/29/14)

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Revenues & expenditures in FY17 are much smaller than FY16's because the majority of revenues and expenditures were collected and paid to vendors in FY16. Because of this, there are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	538,760	135,714	0	0	0	0
Beginning Cash Balance	0	40,931	5,099	1,334	1,334	(0)	(0)
Revenues	40,931	377,656	24,806	0	0	0	0
Expenditures	0	403,046	28,571	0	1,334	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5271 4/7/16		(10,443)					
Net Total Transfers	0	(10,443)	0	0	0	0	0
Ending Cash Balance	40,931	5,099	1,334	1,334	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	40,931	5,099	1,334	1,334	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-509-G
Hawaii County Multi-Hazard
Mitigation Plan-CFDA No. 97.047

Intended Purpose: To provide funding support for pre-disaster mitigation planning and projects primarily addressing natural hazards. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: Hazard mitigation planning activities must primarily focus on natural hazards but may also address hazards caused by manmade events. Funding is restricted to a maximum of \$400,000 Federal share for a new mitigation plan. A maximum of \$150,000 for an existing local single jurisdiction plan.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Both revenues and expenditures in FY16 are much higher than FY17. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	66,781	6,030	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	60,750	45	0	0	0	0
Expenditures	0	60,750	45	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: EDA - BUSINESS RECOVERY CENTER
 Legal Author: Section 209 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), (42 U.S.C. 3149)

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-510-G
 Economic Adjustment Assistance
 CFDA #11.307

Intended Purpose: To provide a wide range of technical, planning and public works and infrastructure assistance in regions experiencing adverse economic changes that may occur suddenly or over time. This program is designed to respond flexibly to pressing economic recovery issues and is well suited to help address challenges faced by U.S. communities and regions.

Source of Revenues: Economic Development Administration, Department of Commerce

Current Program Activities/Allowable Expenses: The activities include Collaborative Regional Innovation, Public/Private Partnerships, National Strategic Priorities, Global Competitiveness, Environmentally-Sustainable Development, Economically Distressed and Underserved Communities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances because both FY16 & FY17 have no revenues or expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,312,000	1,312,000	574,712	373,269	373,269
Beginning Cash Balance			0	0	45,706	0	0
Revenues			0	782,995	155,736	0	0
Expenditures			0	737,288	201,442	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	45,706	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	45,706	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fun: Band 14 Incumbent Spectrum Relocation Grant Program
 Legal Author: Under section 6206 of the Act

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-512-G
 Ban14 Incumbent Spectrum
 Relocation Grant Program
 CFDA No. 11.014

Intended Purpose: The grant is intended to assist public safety entities that are currently operating on Band 14 to relocate their communications operations to other frequencies allocated by the Federal Communications Commission, and provide the unencumbered spectrum that is necessary for the NPSBN.

Source of Revenues: Middle Class Tax Relief and Job Creation Act of 2012.

Current Program Activities/Allowable Expenses: To govern framework for the development, deployment and operation of the NPSBN created the First Responder Network Authority (FirstNet). Allowable expenses include personnel costs, fringe benefit costs, mileage and per diem costs, costs to develop and modify their Band 14 relocation project plans, consultation/contractual costs, standards-based interoperable communication equipment that will facilitate the transition from the Band 14 spectrum to alternative radio spectrum allocated by the FCC, and ancillary equipment used to facilitate the relocation and confirm capabilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: No variations. Both FY16 and FY17 have no revenues or expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			44,425	44,425	0	0	0
Beginning Cash Balance			0	0	0	0	0
Revenues			0	44,425	0	0	0
Expenditures			0	44,425	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Autho: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): N
 Appropriation Acct. No. S-17-513-G
 (Pre-Disaster Mitigation)
 CFDA #97.047

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances because both FY16 & FY17 have no revenues or expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				369,075	369,075	198,980	23,980
Beginning Cash Balance				0	0	0	0
Revenues				0	170,095	175,000	56,075
Expenditures				0	170,095	175,000	56,075
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: DISASTER PROJECTS - CIVIL DEFENSE
 Legal Author: Public Law 93-288, 42 U.S.C. 5121 -5206

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-580-G
 Disaster Grants - Public Assistance
 (Presidentially Declared Disasters)
 CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated. (No Presidentialy Declared Disasters in Hawaii in FY17 and part of FY18)

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			8,000,000	7,993,961	7,993,961	7,939,445	7,939,445
Beginning Cash Balance			0	0	0	0	0
Revenues			6,039	0	54,516	0	0
Expenditures			6,039	0	54,516	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-13-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,306	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0149 8/22/14	(1,306)						
Net Total Transfers	(1,306)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-14-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	338,926	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0149	(338,926)						
Net Total Transfers	(338,926)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-15-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances. There are expenditures in FY16, but the amount is very small.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	915,972	0	0	0	0	0
Revenues	633,671	0	0	0	0	0	0
Expenditures	56,712	528	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0512 12/31/14	(300)						
JT0736 3/25/15	(314)						
JT1118 6/30/15	(300)						
JT0149 8/22/14	340,232						
JT0274 9/30/14	(305)						
JT0104 8/5/15		(915,444)					
Net Total Transfers	339,012	(915,444)	0	0	0	0	0
Ending Cash Balance	915,972	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	915,972	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-16-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Trust Fund account closes at the end of each fiscal year and the balance of its cash is transferred to the next fiscal year to be used for business operations. In FY16, the trust fund account has more revenues and expenditures comparing to FY17 because the majority of revenues and expenditures are processed in the current fiscal year. Only the revenues received and expenditures incurred around the end of 6/30 are processed in the beginning of the following fiscal year. There are variances in revenues and expenditures between FY16 & FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	978,474	0	0	0	0
Revenues	0	115,173	3,805	0	0	0	0
Expenditures	0	50,835	3,314	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0656 4/13/2016		(360)					
JT0854 6/27/2016		(320)					
JT0104 8/5/2015		915,444					
JT0219 9/25/2015		(329)					
JT0421 12/22/2015		(300)					
JT1211 5/9/17			3,805				
Net Total Transfers	0	914,135	3,805	0	0	0	0
Ending Cash Balance	0	978,474	982,770	0	0	0	0
Encumbrances	2,457	4,468	0	0	0	0	0
Unencumbered Cash Balance	(2,457)	974,006	982,770	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-17-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	1,091,266	(2,179)	(2,179)	(2,179)
Revenues	0	0	162,276	0	0	0	0
Expenditures	0	0	47,280	4,273	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT1211			3,805				
00JT1238			(420)				
00JT1239			(440)				
00JT0067			100,000				
00JT0115			875,160				
00JT0375			(1,834)				
00JT0825				(2,179)			
00JT0098				(1,086,993)			
Net Total Transfers	0	0	976,270	(1,089,172)	0	0	0
Ending Cash Balance	0	0	1,091,266	(2,179)	(2,179)	(2,179)	(2,179)
Encumbrances	0	0	4,273	0	0	0	0
Unencumbered Cash Balance	0	0	1,086,993	(2,179)	(2,179)	(2,179)	(2,179)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-18-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	1,148,293	6,148	6,148
Revenues	0	0	0	86,983	0	0	0
Expenditures	0	0	0	26,588	8,262	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0825				2,179			
00JT0098				1,086,993			
00JT0098				(275)			
00JT0208				(1,000)			
00JT0083					(1,133,883)		
Net Total Transfers	0	0	0	1,087,897	(1,133,883)	0	0
Ending Cash Balance	0	0	0	1,148,293	6,148	6,148	6,148
Encumbrances	0	0	4,273	0	0	0	0
Unencumbered Cash Balance	0	0	(4,273)	1,148,293	6,148	6,148	6,148

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Hawaii State Veterans Trust Fund
 Legal Authority: S363-2HRS

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No.: T-14-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	16,359	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0149 8/22/14	(16,359)						
Net Total Transfers	(16,359)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Hawaii State Veterans Trust Fund
 Legal Authority: S363-2HRS

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-15-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variiances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	16,429	0	0	0	0	0
Revenues	70	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0149 8/22/14	16,359						
JT0104 8/5/15		(16,429)					
Net Total Transfers	16,359	(16,429)	0	0	0	0	0
Ending Cash Balance	16,429	0	0	0	0	0	0
Encumbrances	0	0	4,273	0	0	0	0
Unencumbered Cash Balance	16,429	0	(4,273)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Hawaii State Veterans Trust Fund
 Legal Authority: S363-2HRS

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-16-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	16,429	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0104 8/5/15		16,429					
JT0115 7/29/16			(16,429)				
Net Total Transfers	0	16,429	(16,429)	0	0	0	0
Ending Cash Balance	0	16,429	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	16,429	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Hawaii State Veterans Trust Fund
 Legal Authority: S363-2HRS

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-17-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery
 Source of Revenues: Donations from family and friends of the deceased veteran
 Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery
 Purpose of Proposed Ceiling Increase (if applicable): N/A
 Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	16,429	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0115 7/29/16			16,429				
JT0098 7/31/17				(16,429)			
Net Total Transfers	0	0	16,429	(16,429)	0	0	0
Ending Cash Balance	0	0	16,429	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	16,429	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Hawaii State Veterans Trust Fund
 Legal Authority: S363-2HRS

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-18-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0098				16,429			
00JT0098					(16,429)		
Net Total Transfers	0	0	0	16,429	(16,429)	0	0
Ending Cash Balance	0	0	0	16,429	(16,429)	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	16,429	(16,429)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-14-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,090,475	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0149 8/22/14	(1,090,475)						
Net Total Transfers	(1,090,475)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No.: T-15-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Number of employees hired, retired or transferred in or out from other units are factors for changes in revenues and expenditures. In FY16, more revenues were transferred in and more expenditures were transferred out comparing to FY17. That means that in FY16, more employees transferred in from our units or divisions and more employees quite, retired, or transferred out. In FY17, it's opposite. No employees transferred in or out from other units. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	1,110,406	0	0	0	0	0
Revenues	20,973	0	0	0	0	0	0
Expenditures	3,076	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0654 2/19/15	2,033.87						
JT0149 8/22/14	1,090,475						
JT0104 8/5/15		(1,110,406)					
Net Total Transfers	1,092,509	(1,110,406)	0	0	0	0	0
Ending Cash Balance	1,110,406	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,110,406	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No.: T-16-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variations: Number of employees hired, retired or transferred in or out from other units are factors for changes in revenues and expenditures. In FY16, more revenues were transferred in and more expenditures were transferred out comparing to FY17. That means that in FY16, more employees transferred in from our units or divisions and more employees quite, retired, or transferred out. In FY17, it's opposite. No employees transferred in or out from other units. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	1,114,842	0	0	0	0
Revenues	0	10,310	0	0	0	0	0
Expenditures	0	5,874	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0104 8/5/15		1,110,406					
00JT0115			(1,114,842)				
Net Total Transfers	0	1,110,406	(1,114,842)	0	0	0	0
Ending Cash Balance	0	1,114,842	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	1,114,842	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-17-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	1,048,289	0	0	0
Revenues	0	0	2,338	0	0	0	0
Expenditures	0	0	68,891	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0115 7/29/16			1,114,842				
00JT0098 7/31/17				(1,048,289)			
Net Total Transfers	0	0	1,114,842	(1,048,289)	0	0	0
Ending Cash Balance	0	0	1,048,289	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	1,048,289	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-18-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	1,008,308	0	0
Revenues	0	0		0	0	0	0
Expenditures	0	0		39,980	4,757	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0098				1,048,289			
00JT0083					(1,003,551)		
Net Total Transfers	0	0	0	1,048,289	(1,003,551)	0	0
Ending Cash Balance	0	0	0	1,008,308	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	1,008,308	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: ASSET FORFEITURE TRUST ACCOUNT
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-16-905-G

Intended Purpose: An educational program of the Hawaii National Guard is used to educate and encourage youth to be drug free.

Source of Revenues: United States Health and Human Services provide the funding to Hawaii State Department of Health

Current Program Activities/Allowable Expenses: To set up at events to promote a drug free lifestyle, to engage customers in discussions about drug use and its dangers. There are brochures and educational training available in schools and youth service centers statewide.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: There are variances in expenditures between FY16 and FY17, but it's immaterial

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	122,953	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	2,657	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0104 8/5/15		125,610					
JT0115 7/29/16			(122,953)				
Net Total Transfers	0	125,610	(122,953)	0	0	0	0
Ending Cash Balance	0	122,953	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	122,953	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: ASSET FORFEITURE TRUST ACCOUNT
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-17-905-G

Intended Purpose: An educational program of the Hawaii National Guard is used to educate and encourage youth to be drug free.

Source of Revenues: United States Health and Human Services provide the funding to Hawaii State Department of Health

Current Program Activities/Allowable Expenses: To set up at events to promote a drug free lifestyle, to engage customers in discussions about drug use and its dangers. There are brochures and educational training available in schools and youth service centers statewide.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	122,953	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0115 7/29/16			122,953				
JT0098 7/31/17				(122,953)			
Net Total Transfers	0	0	122,953	(122,953)	0	0	0
Ending Cash Balance	0	0	122,953	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	122,953	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: ASSET FORFEITURE TRUST ACCOUNT
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-17-905-G

Intended Purpose: An educational program of the Hawaii National Guard is used to educate and encourage youth to be drug free.

Source of Revenues: United States Health and Human Services provide the funding to Hawaii State Department of Health

Current Program Activities/Allowable Expenses: To set up at events to promote a drug free lifestyle, to engage customers in discussions about drug use and its dangers. There are brochures and educational training available in schools and youth service centers statewide.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	122,953	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0098				122,953			
00JT0083					(122,953)		
Net Total Transfers	0	0	0	122,953	(122,953)	0	0
Ending Cash Balance	0	0	0	122,953	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	122,953	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: Tsunami & Hurricane Preparedness Efforts
 Legal Authority: ACT 5, SLH 2005

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No.: T-06-906-G
Tsunami & Hurricane Preparedness Efforts

Intended Purpose: Install & Maintain new siren warning systems, update tsunami evacuation maps, construct additional emergency shelter space and retrofit existing public buildings to serve as emergency shelters, develop statewide residential safe room design standards, provide 24 hour watch capability in the Emergency Operation Center.

Source of Revenues: Interest on the Hawaii Hurricane Reserve Trust Fund Principal

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variiances: No variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	160,782	127,554	70,190	70,190	24,981	-	-
Beginning Cash Balance	195,273	162,045	162,045	162,045	116,837	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	33,228	-	-	45,209	116,837	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	162,045	162,045	162,045	116,837	(0)	0	0
Encumbrances	127,554	70,190	70,190	24,981	0	0	0
Unencumbered Cash Balance	34,491	91,856	91,856	91,856	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: OHA Ceded Lands Proceeds
 Legal Authority _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) T
 Appropriation Acct. No. T-15-907-G to T-18-907-G

Intended Purpose: Payout to OHA for their share of the use of Department of Defense facilities

Source of Revenues: OHA share for OHA Ceded Lands Revenues for use of Department of Defense facilities

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Prior to FY18, parking rental income Department of Defense collected was reported in T-901-G as revenues and 20% of the total parking rental income Department of Defense paid to OHA was recorded as expenditures in T-901-G. The only transactions shown in T-907-G are 20% of the cash payments Department of Defense paid to OHA. No variances in revenues can be calculated based on the setup. From FY18, T-907-G will be exclusively used to record both the parking rental income Department of Defense collects and expenditures (that is the 20% of the parking rentals Department of Defense collects and paid to OHA) in the same account. Then we can calculate the variances in revenues and expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	13,484	3,188	15,000	15,000
Expenditures	1,219	1,309	2,694	3,007	4,000	4,500	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0656		360					
00JT0854		320					
00JT0219		329					
00JT0421		300					
00JT1238			420				
00JT1239			440				
00JT0375			1834				
00JT0098				275			
00JT0208				1000			
00JT0083					11751		
Net Total Transfers	-	1,309	2,694	1,275	11,751	-	-
Ending Cash Balance	(1,219)	-	-	11,751	10,939	10,500	10,000
Encumbrances							
Unencumbered Cash Balance	(1,219)	0	0	11,751	10,939	10,500	10,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: ACT 87, SLH 2010

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No.: T-14-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.
 Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance
 Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster
 Purpose of Proposed Ceiling Increase (if applicable): N/A
 Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	723,894	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0149 8/22/14	(723,894)						
Net Total Transfers	(723,894)	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: ACT 87, SLH 2010

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No.: T-15-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.
 Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance
 Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster
 Purpose of Proposed Ceiling Increase (if applicable): N/A
 Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	320,018	-	-	-	-	-
Revenues	280,569	-	-	-	-	-	-
Expenditures	684,444	-	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0149 8/22/14	723,894						
JT0104 8/5/15		(320,018)					
Net Total Transfers	723,894	(320,018)	-	-	-	-	-
Ending Cash Balance	320,018	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	320018	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: ACT 87, SLH 2010

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-16-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.
 Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance
 Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster
 Purpose of Proposed Ceiling Increase (if applicable): N/A
 Variances: No variances can be calculated between FY16 and FY17 because no revenues received and expenditures incurred in FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	366,993	-	-	-	-
Revenues	-	75,610	-	-	-	-	-
Expenditures	-	28,635	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0104 8/5/15		320,018					
JT0115 7/29/16			(366,993)				
Net Total Transfers	-	320,018	(366,993)	-	-	-	-
Ending Cash Balance	-	366,993	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	0	366993	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: ACT 87, SLH 2010

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-17-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance

Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No variances in revenues between FY16 and FY17. In expenditures, no variances can be calculated because FY2016 has no expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	341,764	385	0	0
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	25,229	-	385	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0115 7/29/16			366,993				
JT0098 7/31/17				(341,380)			
Net Total Transfers	-	-	366,993	(341,380)	-	-	-
Ending Cash Balance	-	-	341,764	385	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	341,764	385	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: ACT 87, SLH 2010

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-17-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.
 Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance
 Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster
 Purpose of Proposed Ceiling Increase (if applicable): N/A
 Variances: No variances.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	341,380	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0098				341,380			
00JT0098					(341,380)		
Net Total Transfers	-	-	-	341,380	(341,380)	-	-
Ending Cash Balance	-	-	-	341,380	-	-	-
Encumbrances							
Unencumbered Cash Balance	0	0	-	341380	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: NAT'L GOVERNORS' ASSOCIATION GRANT
 Legal Authority _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) T
 Appropriation Acct. No. T-16-909-G

Intended Purpose: Through this policy academy, the National Governors Association Center for best practices, (NGA Center), in partnership with the Department of Homeland Security's Office of Emergency Commiunications (OEC), will assist states in development strategies for improving the interoperability of emergency communications.

Source of Revenues: The National Governors Association (NGA)

Current Program Activities/Allowable Expenses: Participate in scheduled conference calls with NGA center staff and other participants States
 Develop Grantee needs and Gap Analysis
 Attend opening policy academy meeting
 Convene in-state policy workshops
 Participate in closing policy academy meeting
 Submit state action plans and lessons learned / outcomes survey to the NGA Center

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Funding was received in FY16, but the payments were spread over 2 years. There are variances in expendiitures between FY16 and FY17. In terms of revenues, no calculations can be done because no revenues received in FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	24,738	-	-	-
Revenues	-	-	63,500	-	-	-	-
Expenditures	-	-	38,762	24,738	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	24,738	-	-	-	-
Encumbrances		-	-	-	-	-	-
Unencumbered Cash Balance	-	-	24,738	-	-	-	-

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: JUVENILE ACCOUNTABILITY BLOCK GRANT
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF): T
 Appropriation Acct. No. T-12-911-G
 Juvenile Accountability Block Grant

Intended Purpose: The Juvenile Accountability Block Grants (JABG) program is administered by the State Relations and Assistance Division of the Office of Juvenile Justice and Delinquency Prevention (OJJDP), Office of Justice Programs, U.S. Department of Justice. The goal of this program is to provide state & units of local government with means to implement state-of-the-art accountability-based programs.

Source of Revenues: Funding amounts for tribes or local government are based on a formula that takes into account local criminal justice expenditures and the level of violent crime. The JABG Technical Support Center provides comprehensive assistance to states in calculating JABG allocations to local jurisdictions.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances: No variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	46,066	46,066	46,066	46,066	-	-	-
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0231 9/6/17				(46,066)			
Net Total Transfers	-	-	-	(46,066)	-	-	-
Ending Cash Balance	46,066	46,066	46,066	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	46,066	46,066	46,066	-	-	-	-

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Defense
 Prog ID(s): DEF 110
 Name of Fund: JUVENILE ACCOUNTABILITY BLOCK GRANT
 Legal Authority: _____

Contact Name: Sherman M. Takao
 Phone: 369-3557
 Fund type (MOF) T
 Appropriation Acct. No. T-12-911-G
 Juvenile Accountability Block Grant

Intended Purpose: The Juvenile Accountability Block Grants (JABG) program is administered by the State Relations and Assistance Division of the Office of Juvenile Justice and Delinquency Prevention (OJJDP), Office of Justice Programs, U.S. Department of Justice. The goal of this program is to provide state & units of local government with means to implement state-of-the-art accountability-based programs.

Source of Revenues: Funding amounts for tribes or local government are based on a formula that takes into account local criminal justice expenditures and the level of violent crime. The JABG Technical Support Center provides comprehensive assistance to states in calculating JABG allocations to local jurisdictions.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variations: No variations

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	47,741	-	-
Revenues				162,127			
Expenditures				160,453	3,333		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0231				46,066			
00JT0083					(44,407)		
Net Total Transfers	-	-	-	46,066	(44,407)	-	-
Ending Cash Balance	-	-	-	47,741	-	-	-
Encumbrances				3,333			
Unencumbered Cash Balance	-	-	-	44,407	-	-	-

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Federal Funds - EDN 100
 Legal Authority: Elementary and Secondary Education Act

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Federal (N)
 Appropriation Acct. No.: S-210-E

Intended Purpose:

Reimbursement for allowable expenditures for lower education.

Source of Revenues:

US Department of Education; US Department of Defense

Current Program Activities/Allowable Expenses:

Impact Aid program expenditures for substitutes and allocation to schools, Title I programs to support schools with high rates of students that qualify for free or reduced price lunch, migrant education programs, neglected and delinquent student programs, improving teacher and administrator programs, math and science partnerships, English language learner programs, community learning centers, and assessment programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Deleting excess impact aid ceiling to match anticipated award.

Not applicable.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	128,093,714	138,331,226	138,331,226	141,470,617	138,670,617	128,670,617	128,670,617
Beginning Cash Balance	78,036,398	60,990,951	76,577,393	66,166,852	89,855,835	83,080,835	75,080,835
Revenues	96,041,521	119,301,168	121,230,754	125,564,759	122,000,000	122,000,000	122,000,000
Expenditures	113,086,968	102,104,066	131,641,290	101,993,985	130,000,000	130,000,000	130,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00128 (From: S-210 / To: S-360 & S-361)		(1,610,660)					
AJV00007 (From: S-210 / To: S-241,S-270,S-815 & S-215)				(5,100,000)			
AJV00011 (From: S-210 / To: S-830)				(2,000,000)			
AJV00175 (From: S-210 / To: S-275)				(1,000,000)			
AJV00176 (From: S-210 / To: S-815)				(1,000,000)			
AJV00236 (From: S-240 / To: S-210)				118,120			
AJV00449 (From: S-240 / To: S-210)				80			
AJV03011 (From: S-830 / To: S-210)				2,000,000			
AJV03029 (From: S-215, S-241, S-270, S-275 & S-815 / To: S:210)				7,100,000			
From: S-230					1,225,000		
Rounding/misc adjustment			(5)	9			
Net Total Transfers	-	(1,610,660)	(5)	118,209	1,225,000	-	0
Ending Cash Balance	60,990,951	76,577,393	66,166,852	89,855,835	83,080,835	75,080,835	67,080,835
Encumbrances	14,090,181	8,996,976	12,135,562	17,098,231	-	-	-
Unencumbered Cash Balance	46,900,770	67,580,417	54,031,291	72,757,604	83,080,835	75,080,835	67,080,835

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Federal Funds - EDN 150
 Legal Authority: I.D.E.A., SPED Pre-school, and Impact Aid Disabilities

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-215-E

Intended Purpose:

Reimbursement for allowable expenditures for special education .

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

To provide special education and related services to eligible students with disabilities in accordance with Federal and State regulations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	49,338,081	48,899,355	48,899,355	55,728,383	52,128,383	52,128,383	52,128,383
Beginning Cash Balance	2,381,195	1,783,129	2,092,570	1,707,369	2,295,885	2,295,885	2,295,885
Revenues	43,669,534	43,509,460	42,171,587	43,699,297	43,000,000	43,000,000	43,000,000
Expenditures	44,267,600	43,200,019	42,569,458	43,110,781	43,000,000	43,000,000	43,000,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment			12,670	1			
Net Total Transfers	-	-	12,670	1			
Ending Cash Balance	1,783,129	2,092,570	1,707,369	2,295,885	2,295,885	2,295,885	2,295,885
Encumbrances	1,794,459	1,314,897	3,188,519	3,099,025	-	-	-
Unencumbered Cash Balance	(11,330)	777,673	(1,481,150)	(803,139)	2,295,885	2,295,885	2,295,885

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Federal Funds - EDN 200
 Legal Authority: Education for Homeless Children & Youth Grant

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-220-E

Intended Purpose:
 Reimbursement for allowable expenditures for lower education.
 Source of Revenues:
 US Department of Education
 Current Program Activities/Allowable Expenses:
 To ensure that homeless children and youth have access to a free and appropriate education.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	408,056	397,436	390,350	442,579	392,879	392,879	392,879
Revenues	4,923,285	202,517	171,397	(21,000)	200,000	200,000	200,000
Expenditures	4,933,905	209,603	119,168	28,701	200,000	200,000	200,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment				1			
Net Total Transfers	-	-	-	1	-	-	-
Ending Cash Balance	397,436	390,350	442,579	392,879	392,879	392,879	392,879
Encumbrances	-	-	-	6,689	-	-	-
Unencumbered Cash Balance	397,436	390,350	442,579	386,191	392,879	392,879	392,879

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 300
 Name of Fund: Federal Funds - EDN 300
 Legal Authority: P.L. 100-297 National Cooperative Education Statistics

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Federal (N)
 Appropriation Acct. No.: S-230-E

Intended Purpose:
 Reimbursement for allowable expenditures for lower education.
 Source of Revenues:
 US Department of Education
 Current Program Activities/Allowable Expenses:

To support activities that will enable State Education Agencies to designate representatives to the Cooperative System who can speak for state interests, provide recommendations about Cooperative System activities, and initiate action at both state and national levels to further the Cooperative System's goals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,596,339	1,036,768	877,647	870,439	-	-	-
Beginning Cash Balance	3,745,968	2,176,286	1,571,115	1,275,353	1,274,951	49,951	49,951
Revenues	1,468,264	-	-	8,121	-	-	-
Expenditures	3,037,946	605,171	295,763	8,524	-	-	-
Transfers							
List each net transfer in/out; list each account number							
To: S-210					1,225,000		
Rounding/misc adjustment				2			
Net Total Transfers	-	-	-	2	1,225,000	-	-
Ending Cash Balance	2,176,286	1,571,115	1,275,353	1,274,951	49,951	49,951	49,951
Encumbrances	792,810	288,555	-	-	-	-	-
Unencumbered Cash Balance	1,383,476	1,282,560	1,275,353	1,274,951	49,951	49,951	49,951

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Federal Funds - EDN 400
 Legal Authority: USDA Child Nutrition Program

Contact Name: Albert Scales
 Phone: 733-8400
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-240-E

Intended Purpose:
 Reimbursement for allowable expenditures for school food services.
 Source of Revenues:
 US Department of Agriculture; US Department of Education
 Current Program Activities/Allowable Expenses:
 Child Nutrition programs.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Reflect anticipated grant revenues and expenditures.
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	51,205,300	57,205,300	64,205,300	64,205,300	64,205,300	64,205,300	64,205,300
Beginning Cash Balance	13,661,957	26,370,143	25,567,137	20,084,059	21,435,098	21,695,098	21,955,098
Revenues	422,993	134,516	71,388	416,458	260,000	260,000	260,000
Expenditures	52,159,265	57,195,976	60,090,534	54,438,256	56,000,000	56,000,000	56,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00068 (From: S-241 / To: S-240)	4,564,253						
AJV00096 (From: S-241 / To: S-240)	12,225,995						
AJV00143 (From: S-241 / To: S-240)	528,081						
AJV00324 (From: S-241 / To: S-240)	224,372						
AJV00571 (From: S-241 / To: S-240)	11,634,184						
AJV00784 (From: S-241 / To: S-240)	5,061,192						
AJV00838 (From: S-241 / To: S-240)	9,193,851						
AJV00944 (From: S-241 / To: S-240)	4,145,384						
AJV01073 (From: S-241 / To: S-240)	5,633,085						
AJV01195 (From: S-241 / To: S-240)	4,792,699						
AJV01357 (From: S-241 / To: S-240)	6,432,950						
AJV00050 (From: S-241 / To: S-240)		5,852,540					
AJV00145 (From: S-241 / To: S-240)		1,071,215					
AJV00212 (From: S-241 / To: S-240)		864,267					
AJV00376 (From: S-241 / To: S-240)		6,090,387					
AJV00533 (From: S-241 / To: S-240)		4,894,481					
AJV00625 (From: S-241 / To: S-240)		11,982,508					
AJV00724 (From: S-241 / To: S-240)		3,865,869					
AJV00840 (From: S-241 / To: S-240)		5,040,019					
AJV00982 (From: S-241 / To: S-240)		6,000,294					
AJV01174 (From: S-241 / To: S-240)		4,171,935					
AJV01372 (From: S-241 / To: S-240)		6,424,940					
AJV00034 (From: S-241 / To: S-240)			5,368,254				
AJV00097 (From: S-241 / To: S-240)			608,512				
AJV00248 (From: S-241 / To: S-240)			208,918				

Report on Non-General Fund Information for Submittal to the 2019 Legislature

AJV00571 (From: S-241 / To: S-240)			12,880,606					
AJV00787 (From: S-241 / To: S-240)			(2,804,360)					
AJV00795 (From: S-241 / To: S-240)			7,533,939					
AJV00955 (From: S-241 / To: S-240)			5,377,890					
AJV01110 (From: S-241 / To: S-240)			4,302,552					
AJV01387 (From: S-241 / To: S-240)			4,637,879					
AJV01545 (From: S-241 / To: S-240)			5,355,745					
AJV01690 (From: S-241 / To: S-240)			5,169,414					
AJV01909 (From: S-241 / To: S-240)			5,905,131					
AJV00022 (From: S-241 / To: S-240)				5,602,745				
AJV00137 (From: S-241 / To: S-240)				613,206				
AJV00236 (From: S-240 / To: S-210)				(118,120)				
AJV00248 (From: S-241 / To: S-240)				198,519				
AJV00449 (From: S-240 / To: S-210)				(80)				
AJV00583 (From: S-241 / To: S-240)				11,649,214				
AJV00781 (From: S-241 / To: S-240)				5,180,932				
AJV00807 (From: S-241 / To: S-240)				5,930,375				
AJV00975 (From: S-241 / To: S-240)				4,494,745				
AJV01170 (From: S-241 / To: S-240)				5,174,624				
AJV01400 (From: S-241 / To: S-240)				5,503,615				
AJV01573 (From: S-241 / To: S-240)				4,592,496				
AJV01811 (From: S-241 / To: S-240)				6,550,565				
Rounding/misc adjustment	8,412		(8,414)	1				
Net Total Transfers	64,444,458	56,258,454	54,536,067	55,372,837	56,000,000	56,000,000	56,000,000	56,000,000
Ending Cash Balance	26,370,143	25,567,137	20,084,059	21,435,098	21,695,098	21,955,098	22,215,098	22,215,098
Encumbrances	1,445,860	2,536,200	2,989,991	1,090,739	-	-	-	-
Unencumbered Cash Balance	24,924,283	23,030,937	17,094,068	20,344,360	21,695,098	21,955,098	22,215,098	22,215,098

Additional Information:

Amount Req. by Bond Covenants								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Federal Funds - EDN 400
 Legal Authority: USDA Child Nutrition Program

Contact Name: Sharlene Wong
 Phone: 587-3600
 Fund type (MOF): Federal (N)
 Appropriation Acct. No.: S-241-E

Intended Purpose:

To provide each State agency with funds for its administrative expenses in supervising and giving technical assistance to local schools, school districts and institutions in their conduct of Child Nutrition Programs. State agencies that administer the distribution of USDA Foods to schools or adult care institutions are also provided with State Administrative Expense Funds (SAE). To administer the USDA's Child Nutrition Programs (CNP) at the State Agency (SA) level known as the Hawaii Child Nutrition Programs (HCNP).

Source of Revenues:

US Department of Agriculture

Current Program Activities/Allowable Expenses:

Funding will be expended for HCNP responsibilities such as the state wide administration of all CNP in Hawaii, monitoring, technical assistance, training, audits and general operation expenses including the salary of staff, contracts for goods or services, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances: Negative cash balances may result from encumbrances not getting paid due to timing of the grant drawdown.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,247,689	1,892,000	1,892,000	1,892,000	1,892,000	1,892,000	1,892,000
Beginning Cash Balance	3,611,642	(1,491,452)	(70,032)	(1,322,825)	(1,254,949)	1,098,732	4,239,811
Revenues	60,786,183	59,080,385	54,778,711	56,875,995	59,719,795	62,705,784	65,841,074
Expenditures	1,453,232	1,400,511	1,493,558	1,317,084	1,653,114	1,762,467	1,831,932
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	1		6,536	-			
Net Total Transfers	(64,436,045)	(56,258,454)	(54,537,945)	(55,491,036)	(55,713,000)	(57,802,238)	(58,328,238)
Ending Cash Balance	(1,491,452)	(70,032)	(1,322,825)	(1,254,949)	1,098,732	4,239,811	9,920,715
Encumbrances	236,716	141,770	15,206	45,647	-	-	-
Unencumbered Cash Balance	(1,728,168)	(211,802)	(1,338,031)	(1,300,596)	1,098,732	4,239,811	9,920,715

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: Federal Funds - EDN 500
 Legal Authority: P.L. 105-220 Workforce Investment Act (Adult Education)

Contact Name: Deborah Miyao
 Phone: 305-9777
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-250-E

Intended Purpose:
 Reimbursement for allowable expenditures for adult education.
 Source of Revenues:
 US Department of Education
 Current Program Activities/Allowable Expenses:
 Integrated English literacy and civics education services to individuals who are immigrants and other limited proficient populations.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,266,540	3,266,540	3,266,540	3,266,757	3,266,757	3,266,757	3,266,757
Beginning Cash Balance	189,935	169,802	190,146	188,514	140,621	140,621	140,621
Revenues	1,838,712	2,053,609	1,858,911	1,922,142	1,930,000	1,930,000	1,930,000
Expenditures	1,858,845	2,033,265	1,860,544	1,970,036	1,930,000	1,930,000	1,930,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment				1			
Net Total Transfers	-	-	-	1	-	-	0
Ending Cash Balance	169,802	190,146	188,514	140,621	140,621	140,621	140,621
Encumbrances	38,714	28,063	49,649	126,031	-	-	-
Unencumbered Cash Balance	131,088	162,084	138,864	14,590	140,621	140,621	140,621

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 700
 Name of Fund: Federal Funds - EDN 700
 Legal Authority: _____

Contact Name: Wimmie Wong
 Phone: 586-3811
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-270-E

Intended Purpose:

Hawaii Head Start Collaboration Coordination program.

Source of Revenues:

Office of Head Start, DHHS

Current Program Activities/Allowable Expenses:

To provide information and establish connections on behalf of the Head Start and Early Head Start grantees with child care licensing, tuition subsidies programs, and the Homeless Programs Office.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable

Variances: Negative cash balances may result from encumbrances not getting paid due to timing of the grant drawdown.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	125,628	125,628	125,628	125,628	125,628	125,628
Beginning Cash Balance	-	-	-	(4,382)	(13,546)	1,454	16,454
Revenues	-	16,736	106,488	97,204	100,000	100,000	100,000
Expenditures	-	16,736	110,870	106,368	85,000	85,000	90,000
Transfers							
List each net transfer in/out; list each account number							
	-						
	-						
Rounding/misc adjustment	-						
Net Total Transfers	-	-	-	-			
Ending Cash Balance	-	-	(4,382)	(13,546)	1,454	16,454	26,454
Encumbrances	-	5,769	11,797	6,751	-	-	0
Unencumbered Cash Balance	-	(5,769)	(16,179)	(20,297)	1,454	16,454	26,454

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Federal Funds - EDN 100
 Legal Authority: Elementary and Secondary Education Act and Perkins Career and Technical Education Act

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Federal (P)
 Appropriation Acct. No. S-810-E

Intended Purpose:

For allowable expenditures for lower education.

Source of Revenues:

US Department of Defense and US Department of Education

Current Program Activities/Allowable Expenses:

Support for lower education including Advanced Placement Fee Payment, Education of Native Hawaiians, and Vocational Education Programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Add new CDC Surveillance grant, delete grant ceiling that ended years ago, and add new federal grant. Details in Form A for FB 2019-2021

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,034,000	7,534,000	7,534,000	8,989,000	8,989,000	9,249,999	9,249,999
Beginning Cash Balance	(516,186)	2,112,893	2,686,629	2,672,744	3,329,158	2,818,158	2,468,158
Revenues	7,034,756	5,007,142	5,825,311	7,886,850	8,989,000	9,150,000	9,150,000
Expenditures	4,405,677	4,433,406	5,839,196	7,230,437	9,500,000	9,500,000	9,500,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	2,112,893	2,686,629	2,672,744	3,329,158	2,818,158	2,468,158	2,118,158
Encumbrances	515,964	1,591,843	2,247,257	858,342	-	-	-
Unencumbered Cash Balance	1,596,929	1,094,786	425,487	2,470,817	2,818,158	2,468,158	2,118,158

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Federal Funds - EDN 150
 Legal Authority: Individuals with Disabilities Education Act

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Federal (P)
 Appropriation Acct. No.: S-815-E

Intended Purpose:

For allowable expenditures for lower education special education services.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

Support for special education services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances: Negative cash balances may result from encumbrances not getting paid due to timing of the grant drawdown.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	92,500	-	-	-	-	-	-
Beginning Cash Balance	-	(62,774)	(33,547)	(161,857)	(175,999)	24,001	224,001
Revenues	24,357	475,839	1,354,175	1,760,048	1,700,000	1,700,000	1,700,000
Expenditures	87,131	446,611	1,482,485	1,774,192	1,500,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out; list each account number							
				1			
Net Total Transfers	-	-	-	1			
Ending Cash Balance	(62,774)	(33,547)	(161,857)	(175,999)	24,001	224,001	424,001
Encumbrances	40,851	76,446	224,091	336,433	-	-	-
Unencumbered Cash Balance	(103,625)	(109,993)	(385,948)	(512,432)	24,001	224,001	424,001

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Federal Funds - EDN 200
 Legal Authority: National Assessment of Education Progress (NAEP)

Contact Name: Brian Reiter
 Phone: 733-4100
 Fund type (MOF) Federal (P)
 Appropriation Acct. No. S-820-E

Intended Purpose:

To fund the NAEP coordinator and related expenses.

Source of Revenues:

US Department of Education.

Current Program Activities/Allowable Expenses:

NAEP Coordinator and associated costs including attendance at mandatory training sessions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	187,000	228,000	250,000	273,794	273,794	273,794	273,794
Beginning Cash Balance	87,243	131,323	162,905	179,641	136,865	136,865	136,865
Revenues	247,496	236,928	226,581	113,769	206,000	206,000	206,000
Expenditures	203,416	205,346	209,844	156,545	206,000	206,000	206,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	131,323	162,905	179,641	136,865	136,865	136,865	136,865
Encumbrances	1,591	2,525	-	23,318	-	-	-
Unencumbered Cash Balance	129,732	160,380	179,641	113,547	136,865	136,865	136,865

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 300
 Name of Fund: Federal Funds - EDN 300
 Legal Authority: P.L. 100-297 National Cooperative Education Statistics

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF) Federal (P)
 Appropriation Acct. No. S-830-E

Intended Purpose:

To contribute to the goal of development comparable, uniform and timely education statistics across states and the nation as a whole.

Source of Revenues:

US Department of Education - National Center for Education Statistics.

Current Program Activities/Allowable Expenses:

Activities that will enable SEA to designate representatives to the Cooperative System.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances: Negative cash balances may result from encumbrances not getting paid due to timing of the grant drawdown.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,182,900	6,684,294	5,390,197	3,338,323	30,000	30,000	30,000
Beginning Cash Balance	19,747	24,809	69,012	(1,391,472)	9,038	2,885,038	5,761,038
Revenues	1,127,962	1,808,365	1,034,000	2,906,000	2,906,000	2,906,000	2,906,000
Expenditures	1,122,900	1,764,162	2,494,484	1,505,490	30,000	30,000	30,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	24,809	69,012	(1,391,472)	9,038	2,885,038	5,761,038	8,637,038
Encumbrances	-	835,934	382,559	606,309	-	-	0
Unencumbered Cash Balance	24,809	(766,922)	(1,774,032)	(597,272)	2,885,038	5,761,038	8,637,038

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Regular Instruction - High School
 Legal Authority: Section 302A-420, HRS

Contact Name: Sandra Goya
 Phone: 586-3448
 Fund type (MOF): Special
 Appropriation Acct. No.: S-301-E

Intended Purpose:

The fund was established in 1921 to hold revenues collected by the Lahainaluna Boarding School and to finance its vocational program.

Source of Revenues:

Revenues are from the sale of agricultural products.

Current Program Activities/Allowable Expenses:

Allowable expenses are Lahainaluna Boarding Program student labor costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	28,022	29,531	31,104	31,819	31,927	2,027	-
Revenues	1,554	1,664	794	114	100	100	114
Expenditures	45	92	79	7	30,000	2,127	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment				1			
Net Total Transfers	-			1			
Ending Cash Balance	29,531	31,104	31,819	31,927	2,027	-	114
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	29,531	31,104	31,819	31,927	2,027	-	114

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: Adult Education Special Fund
 Legal Authority: Section 302A-435, HRS

Contact Name: Deborah Miyao
 Phone: 305-9777
 Fund type (MOF): Special
 Appropriation Acct. No.: S-302-E

Intended Purpose:

This fund was established in 1945 to collect tuition for special interest classes and fees for the General Education Development (GED) test in the Adult Education Program..

Source of Revenues:

Revenues are from the Adult Education student tuition for special interest classes and GED test fees.

Current Program Activities/Allowable Expenses:

Allowable expenses include instructor salaries and supplies for Adult Education special interest classes as well as GED tests. The program is also supported by general and Federal funds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,631,000	3,631,000	1,631,000	1,631,000	1,631,000	1,631,000	1,631,000
Beginning Cash Balance	762,912	730,249	730,246	720,103	728,857	719,857	710,857
Revenues	196,746	234,198	199,903	148,341	195,000	195,000	195,000
Expenditures	229,409	234,201	210,263	139,527	204,000	204,000	204,000
Transfers							
List each net transfer in/out; list each account number							
AJV00165 (From: T-913 / To: S-302)			168	-			
Rounding/misc adjustment			49	(60)			
Net Total Transfers	-		217	(60)			
Ending Cash Balance	730,249	730,246	720,103	728,857	719,857	710,857	701,857
Encumbrances	2,651	4,827	1,901	5,196	-	-	-
Unencumbered Cash Balance	727,598	725,419	718,202	723,661	719,857	710,857	701,857

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: School Food Service
 Legal Authority: Section 302A-405, HRS

Contact Name: Albert Scales
 Phone: 733-8400
 Fund type (MOF): Special
 Appropriation Acct. No.: S-304-E

Intended Purpose:
 Created in 1960, this fund supports the expense of operating public school cafeterias.
 Source of Revenues:

Revenues are from the sale of lunch, breakfast, and snacks primarily to students who pay either regular or reduced prices. Meal sales to others, such as teachers, are also deposited to this fund.

Current Program Activities/Allowable Expenses:

Allowable expenses are those that support the school lunch program. The program is also supported by general and Federal funds

Purpose of Proposed Ceiling Adjustment (if applicable):

CB related special fund ceiling increase.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,426,578	40,683,429	40,710,674	39,342,703	39,656,831	39,656,831	39,656,831
Beginning Cash Balance	6,988,620	2,586,392	5,459,808	9,206,047	5,401,497	5,001,497	4,601,497
Revenues	22,514,238	24,467,313	23,748,287	23,443,649	23,500,000	23,500,000	23,500,000
Expenditures	26,916,902	21,593,897	20,014,629	27,250,523	23,900,000	23,900,000	23,900,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	436		12,581	2,324			
Net Total Transfers	436		12,581	2,324			
Ending Cash Balance	2,586,392	5,459,808	9,206,047	5,401,497	5,001,497	4,601,497	4,201,497
Encumbrances	1,314	158	341	-	-	-	0
Unencumbered Cash Balance	2,585,078	5,459,650	9,205,707	5,401,497	5,001,497	4,601,497	4,201,497

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Comprehensive Student Support Services Human Resources Stipend Program
 Legal Authority: Section 302A-0707, HRS

Contact Name: Sean Arai
 Phone: 441-8344
 Fund type (MOF): Special
 Appropriation Acct. No.: S-305-E

Intended Purpose:

This fund was established to create a special fund into which shall be deposited moneys received as repayment from students who have breached their contractual agreements under the Felix stipend program.

Source of Revenues:

Revenues are from moneys received as repayment from students who have breached their contractual agreements under the Felix stipend program.

Current Program Activities/Allowable Expenses:

Allowable expenses are to provide tuition assistance to students for the Felix consent decree recruitment and retention program, or any successor programs, and related costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Increase in ceiling proposed to allow available fund balance to expand the stipend program.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	100,000	100,000	250,000	250,000
Beginning Cash Balance	244,633	209,107	355,390	495,605	639,008	689,008	539,008
Revenues	74,245	158,935	147,282	205,147	150,000	100,000	100,000
Expenditures	109,771	12,652	7,067	61,744	100,000	250,000	250,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment				1			
Net Total Transfers	-			1			
Ending Cash Balance	209,107	355,390	495,605	639,008	689,008	539,008	389,008
Encumbrances	-	-	90,000	50,000	-	-	-
Unencumbered Cash Balance	209,107	355,390	405,605	589,008	689,008	539,008	389,008

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Teacher Housing
 Legal Authority: Section 302A-833, HRS

Contact Name: Christian Butt
 Phone: 784-5012
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-310-E

Intended Purpose:
 The fund was established to fund the activities of the DOE's Teacher Housing Program.
 Source of Revenues:
 Revenues are from the monthly rental collected from the teacher cottage occupants.

Current Program Activities/Allowable Expenses:
 Allowable expenses are for any and all of the purposes of teachers' housing, including the planning, construction, maintenance, and operation of teachers' housing, as well as for the Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000	480,000	504,189	504,189	504,189	504,189
Beginning Cash Balance	452,517	357,934	348,847	340,367	500,613	500,613	500,613
Revenues	323,292	322,488	340,268	395,934	350,000	350,000	350,000
Expenditures	417,875	331,574	348,748	235,688	350,000	350,000	350,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment				(1)			
Net Total Transfers	-			(1)			
Ending Cash Balance	357,934	348,847	340,367	500,613	500,613	500,613	500,613
Encumbrances	9,136	10,136	21,284	14,755	-	-	-
Unencumbered Cash Balance	348,798	338,712	319,083	485,858	500,613	500,613	500,613

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Hawaii Teachers Standard Board
 Legal Authority: Section 302A-806, HRS

Contact Name: Lynn Hammonds
 Phone: 586-2602
 Fund type (MOF): Special
 Appropriation Acct. No.: S-321-E

Intended Purpose:

This fund was created in 1998 to support the Hawaii Teachers Standard Board. The board establishes standards governing teacher licensing and credentialing within the department, conducts cyclical review of standards, and suggests revisions for their improvement.

Source of Revenues:

Revenues are primarily from teacher licensing fees.

Current Program Activities/Allowable Expenses:

Allowable expenses are to finance the Board's operational and personnel costs, and any reimbursement for members' travel expenses incurred while on official board business.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,359,364	2,341,086	2,361,169	2,337,333	2,321,746	2,321,746	2,321,746
Beginning Cash Balance	2,618,881	2,070,502	1,679,299	889,826	892,878	642,878	392,878
Revenues	530,867	540,893	475,802	1,063,539	600,000	600,000	600,000
Expenditures	1,079,246	932,096	1,265,334	1,060,488	850,000	850,000	850,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	-		60	1			
Net Total Transfers	-		60	1			
Ending Cash Balance	2,070,502	1,679,299	889,826	892,878	642,878	392,878	142,878
Encumbrances	72,597	70,786	95,791	53,085	-	-	-
Unencumbered Cash Balance	1,997,905	1,608,513	794,035	839,794	642,878	392,878	142,878

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: Adult Education Revolving Fund
 Legal Authority: Section 302A-435, HRS

Contact Name: Deborah Miyao
 Phone: 305-9777
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-322-E

Intended Purpose:

This fund was established in 1970 to receive fees assessed for Adult Education courses.

Source of Revenues:

Revenues are from the sale of books and supplies to Adult Education students.

Current Program Activities/Allowable Expenses:

Allowable expenses include the purchase of supplies and books for the Adult and Community Education Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	811,423	809,607	525,903	508,737	500,000	500,000	500,000
Beginning Cash Balance	434,262	439,210	480,143	488,568	506,123	506,123	506,123
Revenues	122,912	124,435	129,005	137,306	130,000	130,000	130,000
Expenditures	117,964	83,502	120,580	119,752	130,000	130,000	130,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment				1			
Net Total Transfers	-			1			
Ending Cash Balance	439,210	480,143	488,568	506,123	506,123	506,123	506,123
Encumbrances	2,290	2,509	11,667	3,111	-	-	-
Unencumbered Cash Balance	436,920	477,633	476,901	503,012	506,123	506,123	506,123

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Summer School and Intersession Fund
 Legal Authority: Section 302A-1310, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Special
 Appropriation Acct. No.: S-323-E

Intended Purpose:

The summer school fund was originally established in 1971 to finance summer school programs. Amended in 1996, the fund currently serves intersession programs for year-round school in addition to summer school programs.

Source of Revenues:

Revenues are from summer school and intersession program tuition.

Current Program Activities/Allowable Expenses:

Allowable expenses include summer school and intersession program support.

Purpose of Proposed Ceiling Adjustment (if applicable):

CB related special fund ceiling increases.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,000,776	6,000,000	4,000,000	5,500,347	4,000,000	4,000,000	4,000,000
Beginning Cash Balance	1,543,922	1,641,301	1,588,665	1,675,760	1,626,192	1,645,192	1,650,192
Revenues	2,051,617	1,832,254	1,772,487	1,724,066	1,845,000	1,845,000	1,845,000
Expenditures	1,956,608	1,887,481	1,687,287	1,775,540	1,826,000	1,840,000	1,845,000
Transfers							
List each net transfer in/out; list each account number							
AJV01116 (From: S-325 / To: S-323)		2,196					
AJV01368 (From: S-325 / To: S-323)		168					
AJV01476 (From: S-325 / To: S-323)		228					
AJV00715 (From: S-348 / To: S-325)			621				
AJV02026 (From: S-325 / To: S-323)				1,146			
Rounding/misc adjustment	2,370		1,274	760			
Net Total Transfers	2,370	2,591	1,895	1,906	-	-	0
Ending Cash Balance	1,641,301	1,588,665	1,675,760	1,626,192	1,645,192	1,650,192	1,650,192
Encumbrances	39,978	68,743	55,567	87,121	-	-	-
Unencumbered Cash Balance	1,601,323	1,519,922	1,620,193	1,539,071	1,645,192	1,650,192	1,650,192

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Community Use of School Facilities
 Legal Authority: Section 302A-1148, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Special
 Appropriation Acct. No.: S-325-E

Intended Purpose:

The fund was established in 1982 to collect fees and charges from those who use school buildings, facilities, grounds and equipment for recreational and community purposes.

Source of Revenues:

Revenues are from use of school facility charges.

Current Program Activities/Allowable Expenses:

Allowable expenses include payment of custodial services, replacement of custodial and janitorial supplies, and the repair, maintenance, and replacement of equipment used.

Purpose of Proposed Ceiling Adjustment (if applicable): Increase ceiling to better reflect revenues and potential expenditures.

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,050,000	2,000,000	2,000,000	2,000,000	2,000,000	3,000,000	3,000,000
Beginning Cash Balance	3,761,474	4,144,573	4,916,405	5,828,506	6,399,380	6,599,380	5,799,380
Revenues	2,253,728	2,286,427	2,522,876	2,370,007	2,200,000	2,200,000	2,200,000
Expenditures	1,871,180	1,512,003	1,611,709	1,801,351	2,000,000	3,000,000	3,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV01116 (From: S-325 / To: S-323)		(2,196)					
AJV01370 (From: S-325 / To: S-323)		(168)					
AJV01476 (From: S-325 / To: S-323)		(228)					
AJV02026 (From: S-325 / To: S-323)				(1,146)			
Rounding/misc adjustment	551		934	3,364			
Net Total Transfers	551	(2,591)	934	2,218			
Ending Cash Balance	4,144,573	4,916,405	5,828,506	6,399,380	6,599,380	5,799,380	4,999,380
Encumbrances	411,013	273,789	312,494	416,515	-	-	-
Unencumbered Cash Balance	3,733,560	4,642,617	5,516,012	5,982,865	6,599,380	5,799,380	4,999,380

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: School Bus Fare Revolving Fund
 Legal Authority: Section 302A-407.5, HRS

Contact Name: James Kauhi
 Phone: 586-0174
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-326-E

Intended Purpose:

This fund was created in FY2002-03 to deposit school bus fares collected from students, parents, or guardians for state-provided school busing services.

Source of Revenues:

Revenues are from bus fares received from students or student's parents/guardians.

Current Program Activities/Allowable Expenses:

Allowable expenses include bus transportation for students.

Purpose of Proposed Ceiling Adjustment (if applicable):

CB related ceiling increases.

Variances:

Financial Data	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,017,457	6,005,011	3,008,886	3,007,033	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	3,882,515	3,533,967	2,182,743	2,173,461	2,629,577	2,294,577	1,959,577
Revenues	2,579,201	2,599,580	2,572,697	2,336,110	2,500,000	2,500,000	2,500,000
Expenditures	2,927,749	3,950,804	2,581,979	1,879,993	2,835,000	2,835,000	2,835,000
Transfers							
List each net transfer in/out; list each account number							
				(1)			
Net Total Transfers	-		-	(1)			
Ending Cash Balance	3,533,967	2,182,743	2,173,461	2,629,577	2,294,577	1,959,577	1,624,577
Encumbrances	195,656	453,225	780,575	982,293	-	-	-
Unencumbered Cash Balance	3,338,311	1,729,518	1,392,886	1,647,284	2,294,577	1,959,577	1,624,577

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Hawaii School-Level Minor R&M Spl Fnd
 Legal Authority: Section 302A-1504.5, HRS

Contact Name: Riki Fujitani
 Phone: 586-3452
 Fund type (MOF): Special
 Appropriation Acct. No.: S-327-E

Intended Purpose:
 This fund was established in 2001 to deposit funds received for school-level minor repairs and maintenance.
 Source of Revenues:
 Revenues are from funds collected pursuant to section 235-102.5(b), HRS and from grants and donations.
 Current Program Activities/Allowable Expenses:
 Allowable expenses include school-level minor repairs and maintenance.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	210,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	117,006	172,687	36,027	5,743	76,380	1,380	1,380
Revenues	74,400	72,333	75,997	77,270	75,000	75,000	75,000
Expenditures	18,719	208,993	106,281	6,633	150,000	75,000	75,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-						
Ending Cash Balance	172,687	36,027	5,743	76,380	1,380	1,380	1,380
Encumbrances	5,221	-	-	-	-	-	-
Unencumbered Cash Balance	167,466	36,027	5,743	76,380	1,380	1,380	1,380

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: School Special Fee Revolving Account (Reimb for Lost Textbook & Equip)
 Legal Authority: Section 302A-1130.5-6, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Special
 Appropriation Acct. No.: S-330-E

Intended Purpose:

This fund was established to deposit fees collected to replace damaged or lost books and supplies.

Source of Revenues:

Revenues are from fees collected from students who negligently break, damage, lose, or destroy equipment, textbooks, library books, and supplies.

Current Program Activities/Allowable Expenses:

Allowable expenses include the replacement of textbooks, library books, and supplies that are either broken, damaged, lost, or destroyed.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000
Beginning Cash Balance	1,341,176	1,375,542	1,436,674	1,492,365	1,504,876	1,469,876	1,434,876
Revenues	165,716	175,244	167,137	150,395	165,000	165,000	165,000
Expenditures	131,365	114,113	111,839	138,144	200,000	200,000	200,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	15		393	260			
Net Total Transfers	15		393	260			
Ending Cash Balance	1,375,542	1,436,674	1,492,365	1,504,876	1,469,876	1,434,876	1,399,876
Encumbrances	28,134	30,444	15,520	55,276	-	-	-
Unencumbered Cash Balance	1,347,408	1,406,230	1,476,845	1,449,600	1,469,876	1,434,876	1,399,876

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): Specific Appropriations Act FY18 & 19; FY20 and beyond EDN 500
 Name of Fund: Private Trade, Vocational & Technical Licensing (PTVT) Special Fund
 Legal Authority: Act 164, SLH 2017

Contact Name: Christy Sato
 Phone: 305-9762
 Fund type (MOF) Special
 Appropriation Acct. No. S-333-E

Intended Purpose:

For administrative costs associated with licensure of private trade, vocational, or technical schools, including establishing one permanent full-time equivalent (1.0 FTE) position within the department of education.

Source of Revenues:

All revenues and fees collected by the Department pursuant to section 302A-425 and appropriations from the general fund of the State.

Current Program Activities/Allowable Expenses:

Moneys in the private trade, vocational, and technical school licensure special fund shall be used to fund activities related to the licensure requirements established under section 302A 425, including funding for permanent staff positions and administrative and operational costs. Applications for licensure usually done in "odd years".

Purpose of Proposed Ceiling Adjustment (if applicable):

FB2019-21 request to move the \$100,000 appropriation ceiling into the Executive Budget.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				100,000	100,000	100,000	100,000
Beginning Cash Balance				(0)	55,450	60,450	1,450
Revenues		-		55,450	70,000	6,000	70,000
Expenditures		-	-	-	65,000	65,000	65,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	-	-	(0)	55,450	60,450	1,450	6,450
Encumbrances		-	-	-	-	-	-
Unencumbered Cash Balance	-	-	(0)	55,450	60,450	1,450	6,450

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): Not applicable - specific appropriation bill
 Name of Fund: Families for R.E.A.L.
 Legal Authority: Act 191, SLH 2010 / HRS 328L-Emer & Budget Reserve Fund

Contact Name: Trisha Kaneshiro
 Phone: 586-3450
 Fund type (MOF): Special
 Appropriation Acct. No.: S-337-E

Intended Purpose:

In Session 2010, via Act 191, SLH 2010, the Legislature provided \$300,000 or so much thereof as may be necessary for FY2010-11, from the emergency and budget reserve fund (EBRF), for the Families for R.E.A.L program.

Source of Revenues:

The appropriation of EBRF special funds.

Current Program Activities/Allowable Expenses:

Payment to the Families for R.E.A.L program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	3,790	3,790	3,790	0	0	0	0
Revenues		-	(3,790)	0.00	0.00	0.00	0.00
Expenditures		-	-	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	3,790	3,790	0	0	0	0	0
Encumbrances		-	0	0	0	0	0
Unencumbered Cash Balance	3,790	3,790	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Education Design and Construction Project Assessment Fund
 Legal Authority: Section 302A-1508, HRS

Contact Name: John Chung
 Phone: 784-5110
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-339-E

Intended Purpose:

Pursuant to Act 51, SLH 2004 this fund was transferred from the Department of Accounting and General Services to the DOE effective July 1, 2005. The fund was established to defray the costs of carrying out construction projects.

Source of Revenues:

Revenues are from assessed fees based on the superintendent's evaluation of capital improvement, repair and maintenance, and alteration costs.

Current Program Activities/Allowable Expenses:

Allowable expenses are for carrying out construction projects managed by the department.

Purpose of Proposed Ceiling Adjustment (if applicable):

Ceiling increase to fund IT initiatives to better track and report CIP financial data.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	2,970,000	3,000,000	3,000,000	4,500,000	4,500,000
Beginning Cash Balance	1,254,645	2,427,515	3,045,459	3,156,374	2,591,729	2,591,729	2,591,729
Revenues	2,686,153	3,264,147	2,201,942	1,873,690	3,000,000	4,500,000	4,500,000
Expenditures	1,513,283	2,646,204	2,091,025	2,438,336	3,000,000	4,500,000	4,500,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	-		(2)	2			
Net Total Transfers	-		(2)	2			
Ending Cash Balance	2,427,515	3,045,459	3,156,374	2,591,729	2,591,729	2,591,729	2,591,729
Encumbrances	698,145	670,733	761,854	1,025,474	-	-	0
Unencumbered Cash Balance	1,729,370	2,374,726	2,394,519	1,566,255	2,591,729	2,591,729	2,591,729

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: State Educational Facil Improvement Special Fund
 Legal Authority: HRS Section 36-32

Contact Name: John Chung
 Phone: 784-5110
 Fund type (MOF) Special
 Appropriation Acct. No. S-340-E

Intended Purpose:

The State Educational Facilities Improvement (SEFI) Special Fund is used solely to plan, design, acquire lands for, and to construct public school facilities and to provide equipment and technology

Source of Revenues:

Revenues are from the deposit of state funds, either general funds or general obligation bond funds.

Current Program Activities/Allowable Expenses:

The State Educational Facilities Improvement (SEFI) Special Fund is used solely to plan, design, acquire lands for, and to construct public school facilities and to provide equipment and technology infrastructure to improve public schools and other facilities under the jurisdiction of the DOE, except public libraries.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances: The \$34.4m balance (FY 18) is required to cover the unliquidated encumbrances for ongoing projects that were funded through SEFI.

We do not anticipate SEFI "revenues" in the future and the cash balance/unliquidated encumbrances should be exhausted by the end of FY22.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	26,168,044	26,404,622	133,440,875	87,317,295	34,433,334	-	-
Revenues	138,000,000	222,100,000	-	(26,914,870)	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV00046	(614,670)						
AJV00215	3,021						
AJV00933	(50,000)						
AJV01224	(303,350)						
AJV01312	(9,000)						
AJV01374	2,000						
SEFI - Cash Out	(136,791,424)						
AJV00210		2,050					
AJV00408		(34,000)					
AJV00483		144					
AJV00524		8,646					
SEFI - Cash Out		(115,040,587)					
AJV00665			2,653				
AJV01756			4,784				
AJV01554			(2,000)				
SEFI - Cash Out			(46,129,016)				
SEFI - Cash Out			-	(25,969,091)			
Rounding/misc adjustment	1	-	(1)	-			
Net Total Transfers	(137,763,422)	(115,063,747)	(46,123,580)	(25,969,091)			
Ending Cash Balance	26,404,622	133,440,875	87,317,295	34,433,334	34,433,334	-	-
Encumbrances	-	-	-	-			
Unencumbered Cash Balance	26,404,622	133,440,875	87,317,295	34,433,334	34,433,334	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Recovery of Federal Reimbursement
 Legal Authority: 302A-1406

Contact Name: Timothy Lino
 Phone: 305-9750
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-345-E

Intended Purpose:

The fund was established to enhance the health and welfare of Hawaii's public school children by creating a revolving fund for the collection and disbursement of generated revenue to support the administration and operations of the DOE revenue maximization program.

Source of Revenues:

Revenues are from Federal reimbursements received by the department relating to the the Medicaid Program.

Current Program Activities/Allowable Expenses:

Moneys from the revolving fund shall be expended by the department for medicaid-eligible services provided by the department and administrative costs related to the department of educ

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,503,408	3,503,657	3,506,485	3,505,104	3,500,000	3,500,000	3,500,000
Beginning Cash Balance	877,581	1,169,331	886,674	1,107,529	1,150,578	950,578	950,578
Revenues	1,175,002	940,971	596,211	727,559	800,000	1,200,000	1,500,000
Expenditures	883,252	1,223,628	375,355	684,509	1,000,000	1,200,000	1,500,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment				(1)			
Net Total Transfers	-			(1)			
Ending Cash Balance	1,169,331	886,674	1,107,529	1,150,578	950,578	950,578	950,578
Encumbrances	616,620	-	3,842	-	-	-	0
Unencumbered Cash Balance	552,711	886,674	1,103,688	1,150,578	950,578	950,578	950,578

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: After-School Plus Program Revolving Fund
 Legal Authority: Section 302A-1149.5, HRS

Contact Name: Jenna Pak
 Phone: 305-0692
 Fund type (MOF): Revolving / Interdepartmental Xfer
 Appropriation Acct. No.: S-346-E

Intended Purpose:

The fund was established in 2004 to deposit fees collected to support the after-school program.

Source of Revenues:

Revenues are from fees for after-school program participants and from DHS reimbursements.

Current Program Activities/Allowable Expenses:

Allowable expenses include operating the after-school program including personnel and operating costs with Federal grant reporting requirements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,200,000	11,200,000	11,200,000	11,200,000	11,200,000	11,200,000	11,200,000
Beginning Cash Balance	6,163,334	6,448,985	5,976,920	6,702,839	7,627,439	8,127,439	8,327,439
Revenues	6,748,372	6,798,808	8,553,966	9,010,594	8,800,000	8,800,000	8,800,000
Expenditures	7,412,848	7,270,873	7,830,853	8,085,993	8,300,000	8,600,000	8,900,000
Transfers							
List each net transfer in/out; list each account number							
AJV00222 (From: S-355 / To: S-346)	950,127						
AJV00135 (From: S-355 / To: S-346)			2,807	-			
Rounding/misc adjustment				(1)			
Net Total Transfers	950,127	-	2,807	(1)			
Ending Cash Balance	6,448,985	5,976,920	6,702,839	7,627,439	8,127,439	8,327,439	8,227,439
Encumbrances	351,541	226,321	468,515	1,557,177	-	-	-
Unencumbered Cash Balance	6,097,444	5,750,599	6,234,324	6,070,261	8,127,439	8,327,439	8,227,439

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Federal Grants Search, Development, and Application Revolving Fund
 Legal Authority: Section 302A-1405, HRS

Contact Name: Steve Nakasato
 Phone: 586-3800
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-347-E

Intended Purpose:

This fund was established in FY2000-01 to deposit the recovery of administrative or central service costs incurred to carry out Federal grant awards through an indirect cost assessment authorized by the Federal government.

Source of Revenues:

Revenues are from indirect costs assessed on discretionary competitive Federal grants awarded to the department.

Current Program Activities/Allowable Expenses:

The department may expend funds in the federal grants revolving fund to search for discretionary grants, develop program applications to secure additional revenues for the department, monitor grant execution, ensure compliance with grant requirements, and audit grant expenditures. Moneys in the revolving fund may be expended for consultant services and operational expenses, including the creation and hiring of temporary staff.

Purpose of Proposed Ceiling Adjustment (if applicable): CB revolving fund ceiling increases.

Not applicable.

Variations:

Financial Data	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (estimated)	FY 2020 (estimated)	FY 2021 (estimated)
Appropriation Ceiling	2,417,023	2,383,143	2,385,967	2,385,864	2,379,491	2,402,454	2,413,937
Beginning Cash Balance	3,278,134	3,092,296	1,571,916	591,538	557,929	450,668	343,407
Revenues	1,183,270	14,425	16,124	2,931	7,739	7,739	7,739
Expenditures	1,369,108	1,543,997	998,119	108,492	115,000	115,000	115,000
Transfers							
List each net transfer in/out; list each account number							
AJV00637 (Federal indirect cost assessments)	1,088,627						
AJV00164 (Federal indirect cost assessments)		8,964					
AJV00698 (Federal indirect cost assessments)		111					
AJV00799 (Federal indirect cost assessments)		116					
AJV00184 (Federal indirect cost assessments)			377				
AJV01729 (Federal indirect cost assessments)			1,243				
AJV - Various	94,643						
AJV00228 (Federal indirect cost assessments)				978			
AJV00303 (Federal indirect cost assessments)				69,375			
AJV01276 (Federal indirect cost assessments)				978			
AJV01559 (Federal indirect cost assessments)				621			
Rounding/misc adjustment	-		(3)	-			
Net Total Transfers	1,183,270	9,191	1,617	71,952			
Ending Cash Balance	3,092,296	1,571,916	591,538	557,929	450,668	343,407	236,146
Encumbrances	156,723	119,975	10,087	39,321	-	-	-
Unencumbered Cash Balance	2,935,573	1,451,941	581,451	518,608	450,668	343,407	236,146

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Education Research and Development Revolving Fund
 Legal Authority: Section 302A-305, HRS **eliminated** via Act 2, SLH 2017

Contact Name: Brian Hallett
 Phone: 784-6022
 Fund type (MOF): Revolving
 Appropriation Acct. No.: S-348-E

Intended Purpose:

This fund was established in 2001 to deposit revenues from the commercial exploitation of products and services developed by the department.

Source of Revenues:

Revenues are from the sale of products and services developed by the department. For example, the fund has received royalties from the marketing and sublicensing of the department's Integrated Special Education System.

Current Program Activities/Allowable Expenses:

Allowable expenses include support for research and development activities within the department that will result in innovative curriculum, instructional materials, and information systems that can be marketed and sold to consumers outside the system.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,009,947	1,009,947	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	120,883	120,883	91,363	0	0	-	-
Revenues	-	652	269	-	-	-	-
Expenditures	-	30,172	91,011	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV00715 (From: S-348 / To: S-325)			(621)	-	-	-	-
Net Total Transfers	-		(621)	-	-	-	-
Ending Cash Balance	120,883	91,363	0	0	-	-	-
Encumbrances	-	91,011	-	-	-	-	-
Unencumbered Cash Balance	120,883	352	0	0	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Driver Education Fund
 Legal Authority: Section 431:10C-115 and 431:10G-107, HRS

Contact Name: Jan Meeker
 Phone: 305-9773
 Fund type (MOF) Interdepartmental Transfer
 Appropriation Acct. No. S-350-E

Intended Purpose:

This fund was never created by statute, but was established in 1987 by the department to deposit fees collected by the insurance commissioner from motor vehicle insurers under Section 431:10C-115, HRS.

Source of Revenues:

Revenues are primarily received from the Department of Commerce and Consumer Affairs in accordance with Section 431:10C-115 and 431:10G-107, HRS.

Current Program Activities/Allowable Expenses:

Allowable expenses support the drivers education program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,003,468	4,004,133	3,995,605	3,995,605	3,995,605	3,995,605	3,995,605
Beginning Cash Balance	3,322,882	3,550,770	3,664,861	3,872,924	4,078,925	4,078,925	3,978,925
Revenues	1,904,216	2,054,910	2,054,110	2,381,740	2,300,000	2,300,000	2,300,000
Expenditures	1,676,328	1,940,819	1,846,047	2,175,739	2,300,000	2,400,000	2,500,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	-			1			
Net Total Transfers	-			1			
Ending Cash Balance	3,550,770	3,664,861	3,872,924	4,078,925	4,078,925	3,978,925	3,778,925
Encumbrances	325,988	183,962	230,740	257,281	-	-	-
Unencumbered Cash Balance	3,224,782	3,480,898	3,642,184	3,821,644	4,078,925	3,978,925	3,778,925

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Arts in Public Places
 Legal Authority: Section 302A-420

Contact Name: Budget Branch
 Phone: 784-6022
 Fund type (MOF): Interdepartmental Transfer
 Appropriation Acct. No.: S-353-E

Intended Purpose:

This fund was established to deposit funds received from the Works of Art Special Fund to implement an integrated visual arts in education program.

Source of Revenues:

Revenues are from the Works of Art Special Fund.

Current Program Activities/Allowable Expenses:

Allowable expenses include establishing and implementing an integrated visual arts in education program as joint collaboration between the DOE, Artist-in-the-School Program, and State Foundation on Culture and the Arts.

Purpose of Proposed Ceiling Adjustment (if applicable):

The SFCA has ended its contract with the DOE and will not produce a supplemental contract in the coming fiscal years.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	254,368	266,380	270,031	270,031	270,031	-	-
Beginning Cash Balance	4,735	58,231	18,688	19,155	36,621	-	-
Revenues	202,012	147,826	215,368	238,693			
Expenditures	148,516	187,369	214,901	221,227	36,621	-	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	-						
Net Total Transfers	-						
Ending Cash Balance	58,231	18,688	19,155	36,621	-	-	-
Encumbrances	9,588	19	-	-	-	-	-
Unencumbered Cash Balance	48,643	18,668	19,155	36,621	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): _____
 Name of Fund: Fire and Other Casualties Repair
 Legal Authority: Administratively Established

Contact Name: Brian Hallett
 Phone: 784-6022
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-359-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-		-	(0)	(0)	(0)
Beginning Cash Balance	94,861	94,861	(0)	(0)	(0)	(0)	(0)
Revenues	-	-		-	-	-	-
Expenditures	-	-		-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
DJV00779 (Transfer from S-359 to G-00)		(94,861)					
Net Total Transfers		(94,861)					
Ending Cash Balance	94,861	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances		-	-	-	-	-	0
Unencumbered Cash Balance	94,861	(0)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Workers Compensation
 Legal Authority: Appropriated Annually via Executive Budget

Contact Name: Budget Execution
 Phone: 784-6033
 Fund type (MOF): Interdepartmental Transfer
 Appropriation Acct. No.: S-360-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,600,000	1,000,000	1,700,000	1,700,000	1,700,000	1,700,000
Beginning Cash Balance		-	476,025	1,002,149	195,664	195,664	195,664
Revenues		1,156,525	1,445,673	1,024,558	1,200,000	1,200,000	1,200,000
Expenditures		1,353,593	919,549	1,831,043	1,200,000	1,200,000	1,200,000
Transfers							
List each net transfer in/out; list each account number							
AJV00128 (From: S-210 / To: S-360)		673,093					
Net Total Transfers		673,093					
Ending Cash Balance		476,025	1,002,149	195,664	195,664	195,664	195,664
Encumbrances		-	-	-	-	-	0
Unencumbered Cash Balance		476,025	1,002,149	195,664	195,664	195,664	195,664

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Unemployment Insurance - Interdepartmental Fund
 Legal Authority: Appropriated Annually via Executive Budget

Contact Name: Budget Execution
 Phone: 784-6033
 Fund type (MOF): Interdepartmental Transfer
 Appropriation Acct. No.: S-361-E

Intended Purpose: To administer the Unemployment Insurance (UI) Benefit Program and pay unemployment benefit to eligible employees as determined by Dept. of Labor and Industrial Relations (DLIR). □

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,900,000	2,500,000	1,800,000	1,800,000	1,800,000	1,800,000
Beginning Cash Balance		-	1,046,604	1,114,655	1,110,914	1,110,914	1,110,914
Revenues		195,395	173,531	96,787	155,000	155,000	155,000
Expenditures		86,358	105,479	100,528	155,000	155,000	155,000
Transfers							
List each net transfer in/out; list each account number							
AJV00128 (From: S-210 / To: S-361)		937,567					
Net Total Transfers		937,567					
Ending Cash Balance		1,046,604	1,114,655	1,110,914	1,110,914	1,110,914	1,110,914
Encumbrances		-	-	-	-	-	-
Unencumbered Cash Balance		1,046,604	1,114,655	1,110,914	1,110,914	1,110,914	1,110,914

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: OHA Ceded Land Proceeds
 Legal Authority: Executive Order No. 03-03

Contact Name: Trisha Kaneshiro
 Phone: 586-2861
 Fund type (MOF): Special
 Appropriation Acct. No.: T-901-E

Intended Purpose:

This fund temporarily holds assessments made on the Department's ceded lands, which are remitted to the Office of Hawaiian Affairs (OHA) on a quarterly basis.

Source of Revenues:

Revenues are from ceded land proceeds.

Current Program Activities/Allowable Expenses:

Funds are remitted to the Office of Hawaiian Affairs

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	86,286	85,199	83,901	82,858	85,603	85,603	85,603
Revenues	111,834	112,294	120,205	116,215	115,000	115,000	115,000
Expenditures	112,921	113,592	121,247	113,470	115,000	115,000	115,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-					
Ending Cash Balance	85,199	83,901	82,858	85,603	85,603	85,603	85,603
Encumbrances		-	-	-	-	-	-
Unencumbered Cash Balance	85,199	83,901	82,858	85,603	85,603	85,603	85,603

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Donations - Operating
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-902-E

Intended Purpose:

This fund was established in 1961 to account for donations made to schools for specific purposes.

Source of Revenues:

Revenues are from funds donated to schools for specific purposes.

Current Program Activities/Allowable Expenses:

Allowable expenses are those specified by the donations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,650,000	5,060,000	4,410,000	5,060,000	5,060,000	5,060,000	5,060,000
Beginning Cash Balance	9,009,040	9,495,706	11,478,157	14,342,919	15,923,773	15,023,773	14,123,773
Revenues	2,507,797	3,055,879	4,264,891	2,683,026	3,100,000	3,100,000	3,100,000
Expenditures	2,021,131	1,044,858	1,402,230	1,099,397	4,000,000	4,000,000	4,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00089 (From: T-902 / To: T-913)	-	(28,576)					
AJV01230 (From: T-913 / To: T-902)		6					
AJV01295 (From: T-913 / To: S-902)			1,500				
AJV01411 (From: T-902 / To: G000)				(5,107)			
AJV02026 (From: T-913 / To: T-902)				1,055			
Rounding/misc adjustment			601	1,277			
Net Total Transfers	-	(28,570)	2,101	(2,775)	-	-	-
Ending Cash Balance	9,495,706	11,478,157	14,342,919	15,923,773	15,023,773	14,123,773	13,223,773
Encumbrances	547,756	387,051	171,287	70,052	-	-	-
Unencumbered Cash Balance	8,947,950	11,091,106	14,171,633	15,853,721	15,023,773	14,123,773	13,223,773

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Donations - Facilities
 Legal Authority: Section 302A-1122, HRS

Contact Name: John Chung
 Phone: 784-5110
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-903-E

Intended Purpose:
 This fund was established in 1961 to account for donations made to schools for specific purposes.
 Source of Revenues:

Current Program Activities/Allowable Expenses:
 Kauai HS Foundation Building T Tech Center
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable. Trust fund ceiling transferred in from EDN100
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance			-	48,784	-	-	-
Revenues			48,784	9,038	3	-	-
Expenditures			-	-	3	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance			48,784	57,822	-	-	-
Encumbrances			48,784	57,822	-	-	-
Unencumbered Cash Balance			-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Foundations & Other Grants - Operating
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-913-E

Intended Purpose:

This fund was established in 1961 to account for grants received from foundations, other non-profit organizations, and other state agencies for various projects.

Source of Revenues:

Revenues are from grants received from foundations and other non-profit organizations and agencies for various programs and projects.

Current Program Activities/Allowable Expenses:

Allowable expenses are those specified by the grants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,900,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	11,272,710	12,919,394	8,645,779	7,487,177	7,223,862	7,023,862	6,823,862
Revenues	12,734,897	4,719,878	3,989,796	2,649,903	3,800,000	3,800,000	3,800,000
Expenditures	11,142,702	9,007,869	5,092,293	2,912,217	4,000,000	4,000,000	4,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00089 (From: T-902 / To: T-913)		28,576					
AJV01230 (From: T-913 / To: T-902)		(6)					
AJV00496 (From: T-913 / To: S-275)		(14,193)					
AJV00165 (From: T-913 / To: S-302)			(168)				
AJV00793 (From: T-913 / To: T-936)			(28)				
AJV01295 (From: T-913 / To: S-902)			(1,500)				
AJV02026 (From: T-913 / To: T-902)				(1,055)			
Rounding/misc adjustment	54,489		(54,410)	55			
Net Total Transfers	54,489	14,377	(56,106)	(1,000)			
Ending Cash Balance	12,919,394	8,645,779	7,487,177	7,223,862	7,023,862	6,823,862	6,623,862
Encumbrances	6,951,064	2,118,712	1,159,484	1,247,553	-	-	-
Unencumbered Cash Balance	5,968,330	6,527,068	6,327,693	5,976,309	7,023,862	6,823,862	6,623,862

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Foundations & Other Grants - Facilities
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-914-E

Intended Purpose:

This fund was established in 1961 to account for grants received from foundations, other non-profit organizations, and other state agencies for various projects.

Source of Revenues:

Revenues are from grants received from foundations and other non-profit organizations and agencies for various programs and projects.

Current Program Activities/Allowable Expenses:

Allowable expenses are those specified by the grants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance			-	-	-	-	-
Revenues			-	-	-	-	-
Expenditures			-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers							
Ending Cash Balance			-	-	-	-	-
Encumbrances			-	-	-	-	-
Unencumbered Cash Balance			-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Athletic Trust Fund
 Legal Authority: Section 302A-1122, HRS

Contact Name: Raymond Fujino
 Phone: 421-4394
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-915-E

Intended Purpose:
 This fund was established 30 years ago to account for funds collected from athletic event-related activities.

Source of Revenues:
 Revenues are from athletic event admission fees, league shares, activity book sales, and donations.

Current Program Activities/Allowable Expenses:
 Allowable expenses are for school athletic programs. Funds are expended for supplies, equipment, travel, and other sports program expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,520,338	1,530,438	1,350,000	1,215,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	1,204,725	1,123,582	1,190,794	1,252,014	1,383,086	1,513,086	1,643,086
Revenues	871,097	1,004,408	837,127	918,029	910,000	910,000	910,000
Expenditures	952,240	937,195	775,908	786,957	780,000	780,000	780,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers	-						
Ending Cash Balance	1,123,582	1,190,794	1,252,014	1,383,086	1,513,086	1,643,086	1,773,086
Encumbrances	172,092	128,402	71,907	93,466	-	-	-
Unencumbered Cash Balance	951,490	1,062,392	1,180,107	1,289,621	1,513,086	1,643,086	1,773,086

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: OLELO-Educational Program Public TV
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Execution
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-916-E

Intended Purpose:

This fund was established in 1992 to account for funds received under contract with Olelo: The Corporation for Community Television.

Source of Revenues:

Revenues are from funds received under contract with Olelo

Current Program Activities/Allowable Expenses:

Allowable expenses are for educational programs for the community. Expenses are for (1) live and interactive instruction, (2) supplementary classroom instructions, (3) instructional programs for home viewers, (4) student exhibits and school productions, and (5) information dissemination and networking.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000
Beginning Cash Balance	871,385	979,759	1,126,316	1,387,353	1,695,041	1,555,041	1,415,041
Revenues	735,332	745,130	761,255	795,716	760,000	760,000	760,000
Expenditures	626,958	598,573	500,216	488,028	900,000	900,000	900,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment			(2)	-			
Net Total Transfers	-	-	(2)	-	-	-	0
Ending Cash Balance	979,759	1,126,316	1,387,353	1,695,041	1,555,041	1,415,041	1,275,041
Encumbrances	15,378	27,025	33,324	38,480	-	-	-
Unencumbered Cash Balance	964,381	1,099,291	1,354,028	1,656,561	1,555,041	1,415,041	1,275,041

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN500
 Name of Fund: Adult Education Enrollment/Testing Fund
 Legal Authority: Act 164, SLH 2011 (Executive Biennium Budget)

Contact Name: Brian Hallett
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-921-E

Intended Purpose: Adult community education.

Source of Revenues: Fees

Current Program Activities/Allowable Expenses:

December 2016 Legislative Auditor Report recommended this fund be closed. Elimination of the EDN500 trust fund ceiling would achieve this.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variations: None

Financial Data

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	4,000,000	4,000,000	2,260,000	2,260,000	2,260,000	2,260,000
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
	-						
Net Total Transfers	-	-	-	-			
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances	-	-	-	-			
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Office of Hawaiian Affairs
 Legal Authority: Section 302A-1122, HRS

Contact Name: Dawn Kai Sang
 Phone: 784-6073
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-936-E

Intended Purpose:

This fund was established in 1991 to account for grants received from the Office of Hawaiian Affairs (OHA) for tutorial and other educational projects at various schools.

Source of Revenues:

Revenues are from OHA.

Current Program Activities/Allowable Expenses:

Allowable expenses are those spent for students of Hawaiian ancestry. The funds support tutorial and other educational programs at various schools focused on improving and uplifting educational conditions for students of Hawaiian ancestry.

Purpose of Proposed Ceiling Adjustment (if applicable): Reduce ceiling to reflect anticipated revenues.

Not applicable.

Variances:

Financial Data

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	600,000	350,000	350,000
Beginning Cash Balance	471,939	439,177	402,921	390,811	377,307	362,307	347,307
Revenues	-	-	-	-	-	-	-
Expenditures	32,762	36,256	12,137	13,505	15,000	15,000	15,000
Transfers							
List each net transfer in/out; list each account number							
AJV00793 (From: T-916 / To: T-936)			28	-			
Rounding/misc adjustment				1			
Net Total Transfers	-	-	28	1			
Ending Cash Balance	439,177	402,921	390,811	377,307	362,307	347,307	332,307
Encumbrances	-	199	1,403	(1,003)			
Unencumbered Cash Balance	439,177	402,722	389,408	378,310	362,307	347,307	332,307

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Alu Like Projects
 Legal Authority: Section 302A-1122

Contact Name: Budget Execution
 Phone: 784-6031
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-938-E

Intended Purpose:

This fund was established in 1992 to account for moneys received through a memorandum of agreement with Alu Like, Inc.'s Native Hawaiian Vocational Education Program.

Source of Revenues:

Revenues are from funds received under contract with Alu Like.

Current Program Activities/Allowable Expenses:

Allowable expenses are for students of Hawaiian ancestry who are participating in vocational educational programs. Educational programs provide career education counseling for students, staff development programs, and curriculum development.

Purpose of Proposed Ceiling Adjustment (if applicable):

To allow the expenditure of trust funds for allowable purposes under the MOA.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	340,000	300,000	330,000	280,000	280,000	280,000	280,000
Beginning Cash Balance	62,709	49,428	55,849	60,286	41,086	36,086	31,086
Revenues	302,254	288,537	269,491	243,407	275,000	275,000	275,000
Expenditures	315,535	282,116	265,055	262,606	280,000	280,000	280,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment				(1)			
Net Total Transfers	-	-	-	(1)			
Ending Cash Balance	49,428	55,849	60,286	41,086	36,086	31,086	26,086
Encumbrances	4,449	3,586	5,325	5,113	-	-	-
Unencumbered Cash Balance	44,979	52,263	54,961	35,974	36,086	31,086	26,086

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Settlements - Operating
 Legal Authority: Administratively established

Contact Name: Riki Fujitani
 Phone: 586-3452
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-968-E

Intended Purpose:

Receives monetary awards pursuant to court-approved settlement agreements, generally for the benefit of public schools and their students.

Source of Revenues:

Court approved settlements. Due to the unpredictability of court-approved settlement agreements and insurance payments, future estimated revenues and expenditures will be \$0

Current Program Activities/Allowable Expenses:

Per the terms of the settlement agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	n/a	400,000	-	n/a	n/a	n/a
Beginning Cash Balance	-	564,781	368,675	370,538	371,963	(0)	(0)
Revenues	565,750	-	1,863	1,424	1,000		
Expenditures	969	196,106	-		372,963		
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	564,781	368,675	370,538	371,963	(0)	(0)	(0)
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	564,781	368,675	370,538	371,963	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Settlements - Facilities
 Legal Authority: Administratively established

Contact Name: John Chung
 Phone: 784-5110
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-969-E

Intended Purpose:

Receives monetary awards pursuant to insurance payments or court-approved settlement agreements, generally for the benefit of public schools and their students.

Source of Revenues:

Department or court approved settlements. Due to the unpredictability of court-approved settlement agreements and insurance payments, future estimated revenues and expenditures will be \$0

Current Program Activities/Allowable Expenses:

Per the terms of the settlement agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	-	-	-	143,177	5,515,453	5,515,453	5,515,453
Revenues	-	-	143,177	5,842,685	-	-	-
Expenditures	-	-	-	470,409	-	-	-
Transfers							
List each net transfer in/out; list each account number							
	-						
	-						
Net Total Transfers	-	-	-	-			
Ending Cash Balance	-	-	143,177	5,515,453	5,515,453	5,515,453	5,515,453
Encumbrances	-	-	143,177	4,394,995	-	-	-
Unencumbered Cash Balance	-	-	-	1,120,458	5,515,453	5,515,453	5,515,453

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2019 Legislature

Department: EDN
 Prog ID(s): not applicable
 Name of Fund: Agency Fund
 Legal Authority: 302A-1130

Contact Name: Trisha Kaneshiro
 Phone: 586-3450
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-999-E

Intended Purpose:

This Trust Account exists to allow schools to collect and expend funds for co-curricular activities.

Source of Revenues:

Revenues include school registration fees, field trip fees, fundraisers, club dues, sport team concession revenue, athletic activity books, yearbooks, uniforms, student association or student government dues, class dues, other authorized fees.

Current Program Activities/Allowable Expenses:

Funds collected are to be used to pay for student activities which are authorized by the school principal and which complement classroom instruction by providing learning experiences which meet individual needs and develop citizenship skills and positive attitudes in a less formal educational settings.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	23,837,422	25,276,097	25,904,565	25,904,565	28,746,660	28,746,660	33,270,949
Revenues	30,482,319	32,609,769					
Expenditures	29,043,644	31,981,301					
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	25,276,097	25,904,565	25,904,565	28,746,660	28,746,660	33,270,949	33,270,949
Encumbrances					-	-	-
Unencumbered Cash Balance	25,276,097	25,904,565	27,115,338	28,746,660	28,746,660	33,270,949	33,270,949

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Libraries Services & Technology Act
 Legal Authority: LSTA P.L. 104-208

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Special (P)
 Appropriation Acct. No. S-247-E

Intended Purpose: Support the LSTA goals of establishing electronic linkages among and between libraries; assists libraries in accessing information through electronic networks; paying costs for libraries to acquire or share computer systems/ telecommunications technologies.

Source of Revenues: Federal Agency, Institute of Library and Museum Services

Current Program Activities/Allowable Expenses: Subscriptions to online database, computer hardwares & softwares, systems maintenance.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 & FY16 Revenues and Expenditures: Variances due to increase cost of online database subscriptions and systems maintenance.
 FY16 & FY17 Revenues & Expenditures: Revenue variance is due to additional grant received in FY17; expenditure variance is due to acquisition of more e-books. FY18 & FY17 Revenues: Revenue received in FY18 is less because there's still cash balance left from FY17 to be used to pay for expenditures. Less grant is received for the year. Estimated not to receive any more grant in FY2020.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,365,244.00	1,365,244.00	1,365,244.00	1,365,244.00	1,365,244.00	1,365,244.00	1,365,244.00
Beginning Cash Balance	44,851.85	10,895.85	207.85	359,304.05	914.71	0.00	0.00
Revenues	1,035,941.00	1,151,422.00	1,653,735.00	794,628.00	427,205.00	-	-
Expenditures	1,069,897.00	1,162,110.00	1,294,638.80	1,153,017.34	428,119.71	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	10,895.85	207.85	359,304.05	914.71	0.00	0.00	0.00
Encumbrances	46,211.00	190,168.70	326,774.00	250,313.24	-	-	-
Unencumbered Cash Balance	(35,315.15)	(189,960.85)	32,530.05	(249,398.53)	0.00	0.00	0.00

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Libraries Special Fund
 Legal Authority 312-3.5 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF) Special (B)
 Appropriation Acct. No. S-335-E

Intended Purpose: The purpose of this fund is to allow the library system to maintain its collection level, since lost or overdue books reduce the collection and resources that are available to the public.

Source of Revenues: Collection of overdue fines and lost book charges from patrons.

Current Program Activities/Allowable Expenses: The Statutes only permit for the purchase of books and library materials from this fund.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 & FY16 Revenue & Expenses: Revenue variance is due to decreased in collected fines; expense variance is due to less expenses due to lesser income. FY16 & FY17 Revenues and Expenses: Revenue variance is due to decreased in collected fines; expense variance is due to increased cost of library materials. FY17 and FY18 expenditures: Lesser materials were purchased out of this fund.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000.00	2,000,000.00	1,500,000.00	1,500,000.00	2,500,000.00	2,500,000.00	2,500,000.00
Beginning Cash Balance	1,527,201.82	633,236.72	1,558,063.66	1,083,771.03	1,649,978.56	1,614,978.56	1,564,978.56
Revenues	1,006,246.42	963,477.26	873,709.26	760,584.68	715,000.00	700,000.00	700,000.00
Expenditures	1,900,211.52	1,038,650.32	1,348,001.89	194,377.15	750,000.00	750,000.00	750,000.00
Transfers							
List each net transfer in/out; list each account number							
JS 4511, 02/22/16		1,000,000.00					
Net Total Transfers	-	1,000,000.00	-	-	-	-	-
Ending Cash Balance	633,236.72	1,558,063.66	1,083,771.03	1,649,978.56	1,614,978.56	1,564,978.56	1,514,978.56
Encumbrances	126,332.50	96,947.05	42,056.00	4,220.90	-	-	-
Unencumbered Cash Balance	506,904.22	1,461,116.61	1,041,715.03	1,645,757.66	1,614,978.56	1,564,978.56	1,514,978.56

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Library Fee for Enhanced Services
 Legal Authority: 312-21 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Special (B)
 Appropriation Acct. No.: S-336-E

Intended Purpose: The purpose of this program is to provide enhanced services to the patrons. The fund is to be used to maintain current services and initiate new services.

Source of Revenues: Collection of various fees for enhanced library services from patrons.

Current Program Activities/Allowable Expenses: Ongoing operation of its fee for enhanced services program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 and FY16 Expenses: Variance is due to decreased expenses due to lesser purchased of library materials.

FY16 & FY17 Expenditures: Variance due to increased online and ebooks subscription.

FY18 & FY17 Expenditures: Most books and materials in FY18 were purchased using General Funds.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,125,000.00	1,500,000.00	2,500,000.00	2,500,000.00	1,500,000.00	1,500,000.00	1,500,000.00
Beginning Cash Balance	3,536,038.73	3,275,678.23	2,322,777.57	1,237,315.34	1,428,491.67	1,173,491.67	913,491.67
Revenues	995,881.30	927,768.16	911,836.68	864,924.47	745,000.00	740,000.00	740,000.00
Expenditures	1,256,241.80	880,668.82	1,997,298.91	673,748.14	1,000,000.00	1,000,000.00	1,000,000.00
Transfers							
List each net transfer in/out; list each account number							
JS 4511, 02/22/16		(1,000,000.00)					
Net Total Transfers	-	(1,000,000.00)	-	-	-	-	-
Ending Cash Balance	3,275,678.23	2,322,777.57	1,237,315.34	1,428,491.67	1,173,491.67	913,491.67	653,491.67
Encumbrances	72,282.88	36,178.87	92,296.17	89,481.10			
Unencumbered Cash Balance	3,203,395.35	2,286,598.70	1,145,019.17	1,339,010.57	1,173,491.67	913,491.67	653,491.67

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Oahu Public Libraries - Donations & Gifts
 Legal Authority 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF) Trust
 Appropriation Acct. No. T-906-E

Intended Purpose: Donations to libraries to purchase books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY17 & FY18 Revenues: A one-time donation to HSPLS amounting to \$583,411.66 was received and recorded in FY18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	372,704.82	368,772.36	373,890.07	379,697.50	969,595.26	979,595.26	989,595.26
Revenues	13,770.00	15,926.82	27,327.27	610,365.60	20,000.00	20,000.00	20,000.00
Expenditures	17,702.46	10,809.11	21,519.84	20,467.84	10,000.00	10,000.00	10,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	368,772.36	373,890.07	379,697.50	969,595.26	979,595.26	989,595.26	999,595.26
Encumbrances							
Unencumbered Cash Balance	368,772.36	373,890.07	379,697.50	969,595.26	979,595.26	989,595.26	999,595.26

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: HSPLS Computer Supplies - Donation
 Legal Authority 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF) Trust
 Appropriation Acct. No. T-907-E

Intended Purpose: Purchase of computer printer toner and paper.

Source of Revenues: Donations by individuals.

Current Program Activities/Allowable Expenses: Computer supplies.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 & FY18 Expenses: Variance is due to repair & maintenance of computer equipment and purchase of computer supplies.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	525,822.44	580,998.09	638,995.47	698,982.23	747,399.40	767,399.40	787,399.40
Revenues	115,341.53	120,640.36	126,111.79	119,130.60	100,000.00	100,000.00	100,000.00
Expenditures	60,165.88	62,642.98	66,125.03	70,713.43	80,000.00	80,000.00	80,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	580,998.09	638,995.47	698,982.23	747,399.40	767,399.40	787,399.40	807,399.40
Encumbrances							
Unencumbered Cash Balance	580,998.09	638,995.47	698,982.23	747,399.40	767,399.40	787,399.40	807,399.40

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Maui & Kauai Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-911-E

Intended Purpose: Purchase of books, library materials, equipment or servies.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY15 & FY16 Revenues: Variance in revenue due to donations received from private individuals, DA and Friends of the Library of Hawaii in FY16.
 FY17 & FY18 Expenditures: Variance is due to libraries expending less than expected.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	145,874.94	143,785.94	144,966.46	131,487.58	130,693.38	131,693.38	132,693.38
Revenues	5,140.53	10,083.31	2,450.82	3,182.90	2,000.00	2,000.00	2,000.00
Expenditures	7,229.53	8,902.79	15,929.70	3,977.10	1,000.00	1,000.00	1,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	143,785.94	144,966.46	131,487.58	130,693.38	131,693.38	132,693.38	133,693.38
Encumbrances			1,677.10				
Unencumbered Cash Balance	143,785.94	144,966.46	129,810.48	130,693.38	131,693.38	132,693.38	133,693.38

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Hawaii Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-912-E

Intended Purpose: Purchase of books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 & FY16 Expense: Variance due to decreased purchase of library materials in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	100,638.50	96,437.09	99,439.15	185,300.79	187,415.04	189,415.04	191,415.04
Revenues	3,798.59	5,302.06	93,461.64	5,223.20	5,000.00	5,000.00	5,000.00
Expenditures	8,000.00	2,300.00	7,600.00	3,108.95	3,000.00	3,000.00	3,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	96,437.09	99,439.15	185,300.79	187,415.04	189,415.04	191,415.04	193,415.04
Encumbrances							
Unencumbered Cash Balance	96,437.09	99,439.15	185,300.79	187,415.04	189,415.04	191,415.04	193,415.04

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	10,763.79	10,763.79	10,763.79	10,763.79	10,763.79		

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: HSL & LBPH Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-917-E

Intended Purpose: Purchase of books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 & FY16 Expenses: Variance is due to decreased purchased of library materials in FY16.
 FY17 Revenues: Variance due to a \$230k single donation received by LBPH from a Trust Fund.
 FY17 Revenues: Variance due to a total donation of \$82,652 received for LBPH.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	340,737.63	312,454.20	328,192.12	577,353.41	665,886.14	667,886.14	669,886.14
Revenues	24,368.33	28,083.98	274,930.90	106,379.37	25,000.00	25,000.00	25,000.00
Expenditures	52,651.76	12,346.06	25,769.61	17,846.64	23,000.00	23,000.00	23,000.00
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	312,454.20	328,192.12	577,353.41	665,886.14	667,886.14	669,886.14	671,886.14
Encumbrances			265.41	7,888.22			
Unencumbered Cash Balance	312,454.20	328,192.12	577,088.00	657,997.92	667,886.14	669,886.14	671,886.14

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Friends of the Library of Hawaii - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-918-E

Intended Purpose: Purchase of books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Development, use, support and maintenance of libraries and library services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 Revenues: Increased in revenue due to the \$35,272 donation received for the Na'alehu Portable Project.

FY17 Revenues: Increased in revenue due to the \$30,727 donation received for the Na'alehu Portable Project.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	340,509.38	336,214.09	369,166.49	399,447.95	402,022.63	402,022.63	402,022.63
Revenues	1,596.75	37,275.71	33,702.06	2,574.68	-	-	-
Expenditures	5,892.04	4,323.31	3,420.60	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	336,214.09	369,166.49	399,447.95	402,022.63	402,022.63	402,022.63	402,022.63
Encumbrances		2,148.84					
Unencumbered Cash Balance	336,214.09	367,017.65	399,447.95	402,022.63	402,022.63	402,022.63	402,022.63

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Hawaii Public Library System - Payroll Collections
 Legal Authority: 41D-4 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-919-E

Intended Purpose: To accumulate monies collected back from payroll overpayment before turning it over to DAGS Central Payroll.

Source of Revenues: Monies collected back from payroll overpayment to former HSPLS employees.

Current Program Activities/Allowable Expenses: Return funds to DAGS Central Payroll.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY19 Estimated Expenditure: Will turn over collected funds back to DAGS Central Payroll.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	-	-	-	2,777.74	3,701.74	-	-
Revenues	-	-	2,777.74	924.00	200.00	-	-
Expenditures	-	-	-	-	3,901.74	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	2,777.74	3,701.74	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	2,777.74	3,701.74	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Risk Management - Fire & Casualty Losses - HSPLS
 Legal Authority 41D-4 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3697
 Fund type (MOF) Trust
 Appropriation Acct. No. T-967-E

Intended Purpose: Funds will be used for the purpose identified in the settlement agreement.

Source of Revenues: Monies received from settlements or claims or losses to HSPLS.

Current Program Activities/Allowable Expenses: Repair/replace damages/losses.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY19: Expected to use up remaining funds for the year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,617.08	7,667.08	7,061.24	6,647.89	6,647.89	-	-
Revenues	50.00	-	812.89	-	-	-	-
Expenditures	-	605.84	1,226.24	-	6,647.89	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	7,667.08	7,061.24	6,647.89	6,647.89	-	-	-
Encumbrances							
Unencumbered Cash Balance	7,667.08	7,061.24	6,647.89	6,647.89	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Loan Fund
 Legal Authority: Section 213(b), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) W
 Appropriation Acct. No. S-302-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000						
Beginning Cash Balance	2,430,751	2,571,114	2,732,907	2,483,602	3,032,057	3,032,057	3,032,057
Revenues	150,192	161,793	141,645	548,455			
Expenditures	9,829	0	390,950	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	930,752		1,500,000	1,982,907			
	(930,752)		(1,500,000)	(1,982,907)			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,571,114	2,732,907	2,483,602	3,032,057	3,032,057	3,032,057	3,032,057
Encumbrances				0			
Unencumbered Cash Balance	2,571,114	2,732,907	2,483,602	3,032,057	3,032,057	3,032,057	3,032,057

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Loan Fund (S-302)

Means of Financing: Revolving Fund

1. Description and Purpose of Fund (including revenue source):

The Hawaiian Home Loan Fund was established in 1921 as a revolving fund. Section 4 of the Hawaii Admissions Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) made the Hawaiian Homes Commission Act (HHCA) a State law by compact with the United States. Under this compact, the Hawaiian Home Loan Fund may not be the subject of amendments that impair or reduce its powers and benefits without the consent of Congress.

Section 4 of the Hawaii Admissions Act, states in part:

"the Hawaiian home-loan fund, the Hawaiian home-operating fund, and the Hawaiian home-development fund shall not be reduced or impaired by any such amendment, whether made in the constitution or in the manner required for State legislation, and the encumbrances authorized to be placed on Hawaiian home lands by officers other than those charged with the administration of said Act, shall not be changed except with the consent of the United States" (emphasis added).

REVENUE LINE ITEMS:

Loan Principal Repayment - Pursuant to section 213(b) of the Hawaiian Homes Commission Act (HHCA), any principal repayment arising out of loans from the Hawaiian Home Loan Fund are to be credited to and deposited into the Hawaiian Home Loan Fund.

The Hawaiian Home Loan Fund is used principally to pay the net proceeds when a homestead lease is canceled or surrendered or when a lessee dies without leaving a qualified successor.

2. Statutory Basis of Fund:

Section 213 (b), Hawaiian Homes Commission Act of 1920, as amended

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home General Loan Fund
 Legal Authority: Section 213(c) Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) W
 Appropriation Acct. No. S-323-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	14,359,820	16,825,479	21,687,197	27,251,763	28,147,405	28,147,405	28,147,405
Revenues	7,015,808	5,562,684	4,461,176	5,395,957			
Expenditures	4,550,149	4,680,966	3,596,610	8,900,315			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	17,045,103	20,856,741	14,700,000	16,087,197			
	(17,045,103)	(16,876,741)	(10,000,000)	(11,687,197)			
Net Total Transfers	0	3,980,000	4,700,000	4,400,000	0	0	0
Ending Cash Balance	16,825,479	21,687,197	27,251,763	28,147,405	28,147,405	28,147,405	28,147,405
Encumbrances							
Unencumbered Cash Balance	16,825,479	21,687,197	27,251,763	28,147,405	28,147,405	28,147,405	28,147,405

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home General Loan Fund (S-323)

Means of Financing: Revolving Fund

1. Description and Purpose of Fund (including revenue source):

Act 249, passed by the 1986 State Legislature consolidated all remaining loan funds other than the Hawaiian home-loan fund into the Hawaiian home-general loan fund. These loan funds were established through various amendments to the Act since statehood. The major source of receipts for this fund is through principal repayment of loans.

The department can make loans from the revolving funds for the following purposes:

1. Repair, maintenance, purchase or erection of dwellings on any tract and any permanent improvements.
2. Purchase of livestock and farm equipment (including aquaculture).
3. Assistance in developing land.
4. Farm loans not to exceed \$20,000.
5. Commercial loans.

In addition, the department may:

6. Use money in the Hawaiian home-operating fund to secure matching funds from federal, state or county agencies.
7. Loan or guarantee the repayment of loans up to fifty percent (50%) of the maximum single-residence loan amount allowed in Hawaii by the United States Department of Housing and Urban Development, Federal Housing Administration (FHA).
8. Permit loans to lessees by government agencies or private lenders, where the department assures payment of such loans in case of default.
9. Secure, pledge, or otherwise guarantee the repayment of money borrowed by the department from government agencies or private lenders. Money borrowed by the department or on departmental guarantees of loan shall at no time exceed \$100,000,000.

10. Use available loan fund monies or other funds as cash guarantees when required by lenders.
11. Exercise the functions of a lender of money in all direct loans made by government agencies or private lenders to lessees of which repayment is guaranteed by the department.
12. Pledge receivables of loan accounts outstanding as collateral to secure loans made by government agencies or private lenders to the department, the proceeds of which shall be used to make new loans.
13. Transfer into the Hawaiian home-trust fund any available money from any loan fund, except the Hawaiian home-loan fund, for use as cash guarantees or reserves when required by a federal agency authorized to insure or guarantee loans to lessees.

2. Statutory Basis of Fund:

Section 213 (c), Hawaiian Homes Commission Act of 1920, as amended

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Administration Account
 Legal Authority: Section 213(f), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) B
 Appropriation Acct. No. S-325-I

Intended Purpose: See attached

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,517,243	13,517,243	4,824,709	4,824,709	4,824,709		
Beginning Cash Balance	28,359,287	33,334,120	29,844,497	43,904,299	39,245,710	39,245,710	39,245,710
Revenues	15,519,340	13,351,879	16,262,711	16,707,336			
Expenditures	5,267,010	5,568,100	2,200,409	1,565,222			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			(2,500)	to S398-I			
	16,178,652	19,229,098	0	15,983,755			
	(21,456,149)	(30,502,500)	0	(35,784,458)			
Net Total Transfers	(5,277,497)	(11,273,402)	(2,500)	(19,800,703)	0	0	0
Ending Cash Balance	33,334,120	29,844,497	43,904,299	39,245,710	39,245,710	39,245,710	39,245,710
Encumbrances	2,655,915	2,223,181	2,313,024	2,807,514			
Unencumbered Cash Balance	30,678,205	27,621,316	41,591,275	36,438,196	39,245,710	39,245,710	39,245,710

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Administration Account
 Legal Authority: Section 213(f), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) B
 Appropriation Acct. No. S-326-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	960,206	231,499	234,487	234,487	6,710	6,710	6,710
Revenues	0	2,988		0			
Expenditures	3,707			0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(725,000)			(227,777)			
Net Total Transfers	(725,000)	0	0	(227,777)	0	0	0
Ending Cash Balance	231,499	234,487	234,487	6,710	6,710	6,710	6,710
Encumbrances	71,672						
Unencumbered Cash Balance	159,827	234,487	234,487	6,710	6,710	6,710	6,710

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Administration Account
 Legal Authority: Administratively Created

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) B
 Appropriation Acct. No. S-305-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues				20,000,000			
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
	6,000,000	20,500,000					
	(6,000,000)	(20,500,000)		(20,000,000)			
Net Total Transfers	0	0	0	(20,000,000)	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Administration Account (S-325, S-326, S-305)

Means of Financing: Special Fund

1. Description and Purpose of Fund (including revenue source):

In 1959, the Hawaii Admission Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) provided that ownership of Hawaiian home lands (HHL) be transferred from the United States to the State of Hawaii. The Admission Act also provided that the HHL, as well as proceeds and income therefrom were to be held by the State in trust for native Hawaiians and administered in accordance with the Act, and that use of HHL for any other purpose would constitute a breach of trust for which suit may be brought by the United States.

Section 4 of the Hawaii Admissions Act, states in part:

"(3) that all proceeds and income from the "available lands" as defined by said Act, shall be used only in carrying out the provisions of said Act" (emphasis added).

Section 5(f) of the Hawaii Admissions Act, states in part:

"Such lands, proceeds, and income shall be managed and disposed of for one or more of the foregoing purposes in such manner as the constitution and laws of said State may provide, and their use for any other object shall constitute a breach of trust for which suit may be brought by the United States." (emphasis added).

REVENUE LINE ITEMS:

- 1) The entire receipts from any leasing or other dispositions of "available lands" are deposited into this account. Any interest or other earnings arising out of investments from this fund are to be credited to this fund.

Receipts of this fund are from general leases, licenses, revocable permits, rights of entry, and other dispositions of Hawaiian home lands. The Admission Act and the Constitution stipulate that all proceeds and income from "available lands," as defined by the HHCA, "shall be used only in carrying out the provisions of said Act."

EXPENDITURE LINE ITEMS:

- 1) The monies are to be expended by the department for salaries and all other administrative expenses of the department, excluding capital improvements, in the absence of general funds appropriated for operating and administrative costs.

Funds of the Administration Account must be incorporated in the Executive Budget and appropriated by the legislature before they can be used for salaries and operating costs.

OTHER CHANGES IN FUND BALANCE:

- 1) The HHCA provides that the excess of the receipts deposited into the Hawaiian Home Administration Account over the amount approved or made available by the legislature may be transferred to the Hawaiian Home Operating Fund.
- 2) All revenues from "available" lands are pledged to the repayment of DHHL revenue bonds. Monies in excess of the reserve and debt service requirements may be transferred back to the Administration Account upon Commission approval.

2. Statutory Basis of Fund:

Section 213(f), Hawaiian Homes Commission Act of 1920, as amended

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: DHHL Revenue Bond Special Fund
 Legal Authority: Section 213.5, Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) B
 Appropriation Acct. No. S-350-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	13,128,254	13,034,736	13,093,714	10,173,277	12,021,668	12,021,668	12,021,668
Revenues	3,049,690	3,070,477	91,913	5,056,512			
Expenditures	3,143,208	3,011,499	3,012,350	3,208,121			
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
	15,123,043		10,580,444	8,984,128			
	(15,123,043)		(10,580,444)	(8,984,128)			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,034,736	13,093,714	10,173,277	12,021,668	12,021,668	12,021,668	12,021,668
Encumbrances	340,641	529,140	700,000	242,777			
Unencumbered Cash Balance	12,694,095	12,564,574	9,473,277	11,778,891	12,021,668	12,021,668	12,021,668

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Department of Hawaiian Home Lands Revenue Bond Special Fund (S-350)

Means of Financing: Special Fund

1. Description and Purpose of Fund (including revenue source):

In 1959, the Hawaii Admission Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) provided that ownership of Hawaiian home lands (HHL) be transferred from the United States to the State of Hawaii. The Admission Act also provided that the HHL, as well as proceeds and income therefrom were to be held by the State in trust for native Hawaiians and administered in accordance with the Act, and that use of HHL for any other purpose would constitute a breach of trust for which suit may be brought by the United States.

Section 4 of the Hawaii Admissions Act, states in part:

"(3) that all proceeds and income from the "available lands" as defined by said Act, shall be used only in carrying out the provisions of said Act" (emphasis added).

Section 5(f) of the Hawaii Admissions Act, states in part:

"Such lands, proceeds, and income shall be managed and disposed of for one or more of the foregoing purposes in such manner as the constitution and laws of said State may provide, and their use for any other object shall constitute a breach of trust for which suit may be brought by the United States." (emphasis added).

In October 1991, the department sold \$18 million of revenue bonds. All revenues from "available" lands were pledged to the repayment of these bonds. Monies in excess of the reserve and debt service requirements may be transferred back to the Administration Account upon Commission approval.

Pursuant to section 213.5 of the HHCA, the department is required to establish a Revenue Bond Special Fund for each undertaking financed by revenue bonds. A special fund was established for the revenue bonds issued in 1991. Sources of monies for this fund are:

1. Revenues committed for payment of debt service and maintenance of reserve accounts.
2. Interest from investments of reserve accounts.

The monies are primarily used to repay the debt service on the bonds and to maintain a reserve account as required by the bond resolution.

In 2009, DHHL issued \$42.5 million in Revenue Bonds Series 2009.

On July 25, 2017, the DHHL successfully priced the \$30,940,000 Revenue Bonds, Series 2017. Proceeds were used to refund \$36,640,000 Revenue Bonds Series 2009.

2. Statutory Basis of Fund:

Section 213.5, Hawaiian Homes Commission Act of 1920, as amended

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Land Trust Fund
 Legal Authority: Section 213.6, Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-902-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	152,662,698	165,611,032	156,673,500	146,060,630	150,703,816	150,703,816	150,703,816
Revenues	34,043,769	2,140,035	1,464,615	7,004,251			
Expenditures	21,152,230	11,077,567	12,077,485	2,361,065			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	20,000,000	83,768,825	54,272,330	101,489,912			
	(19,943,205)	(83,768,825)	(54,272,330)	(101,489,912)			
Net Total Transfers	56,795	0	0	0	0	0	0
Ending Cash Balance	165,611,032	156,673,500	146,060,630	150,703,816	150,703,816	150,703,816	150,703,816
Encumbrances	28,219,337	15,347,575	12,605,042	8,049,776			
Unencumbered Cash Balance	137,391,695	141,325,925	133,455,588	142,654,040	150,703,816	150,703,816	150,703,816

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Lands Trust Fund (T-902)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

Act 14, Special Session 1995, (DHHL Land Claims Settlement Act) settles all claims made on behalf of the Hawaiian Home Lands Trust against the State between August 21, 1959 and July 1, 1988. It requires that the State make twenty annual deposits of \$30,000,000 or their discounted equivalent into the Hawaiian Home Lands Trust Fund. Proceeds of the fund may be used by DHHL for capital improvements and to meet the requirements of the Hawaiian Homes Commission Act of 1920, as amended.

2. Statutory Basis of Fund:

Section 213.6, Hawaiian Homes Commission Act of 1920, as amended.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Operating Fund
 Legal Authority: Section 213(e), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-905-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,756,146	11,037,323	3,740,534	3,740,534	3,740,534		
Beginning Cash Balance	1,101,638	1,599,294	2,088,540	3,715,502	3,659,212	3,659,212	3,659,212
Revenues	0	93		740			
Expenditures	2,271,623	2,510,847	373,038	1,557,030			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	3,740,184	3,000,000	3,599,387	3,225,000			
	(970,905)	0	(1,599,387)	(1,725,000)			
Net Total Transfers	2,769,279	3,000,000	2,000,000	1,500,000	0	0	0
Ending Cash Balance	1,599,294	2,088,540	3,715,502	3,659,212	3,659,212	3,659,212	3,659,212
Encumbrances	38,478		1,418,576	1,914,338			
Unencumbered Cash Balance	1,560,816	2,088,540	2,296,926	1,744,874	3,659,212	3,659,212	3,659,212

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Operating Fund
 Legal Authority: Section 213(e), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-906-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	269,477	269,477	199	0	0	0	0
Revenues	0	0	0	0			
Expenditures	0	0	199	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(269,278)					
Net Total Transfers	0	(269,278)	0	0	0	0	0
Ending Cash Balance	269,477	199	0	0	0	0	0
Encumbrances	52,213						
Unencumbered Cash Balance	217,264	199	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Operating Fund
 Legal Authority: Section 213(e), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-915-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	15,616,295	18,204,556	34,860,588	31,896,171	47,022,532	47,022,532	47,022,532
Revenues	6,791,121	4,708,796	983,542	1,063,751			
Expenditures	1,702,860	2,187,035	1,901,225	4,437,390			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	8,750,000	30,838,434	10,576,034	44,289,563			
	(11,250,000)	(16,704,163)	(12,622,768)	(25,789,563)			
Net Total Transfers	(2,500,000)	14,134,271	(2,046,734)	18,500,000	0	0	0
Ending Cash Balance	18,204,556	34,860,588	31,896,171	47,022,532	47,022,532	47,022,532	47,022,532
Encumbrances	2,872,557	4,285,077	3,892,954	6,190,798			
Unencumbered Cash Balance	15,331,999	30,575,511	28,003,217	40,831,734	47,022,532	47,022,532	47,022,532

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Operating Fund (T-905, T-906, T-915)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

The Hawaiian Home-Development Fund, established in 1941 as a special fund, and the Hawaiian Home Operating Fund, established in 1948 as a revolving fund, were merged into one fund, the Hawaiian Home Operating Fund, in 1986. Section 4 of the Hawaii Admissions Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) made the Hawaiian Homes Commission Act (HHCA) a State law by compact with the United States. Under this compact, the Hawaiian Home Development Fund and the Hawaiian Home Operating Fund may not be the subject of amendments that impair or reduce them without the consent of Congress. Consequently, the Hawaiian Home Operating Fund is managed as two separate portions, the operating portion and the development portion, to retain the characteristics and substance of the predecessors of this fund.

Section 4 of the Hawaii Admissions Act, states in part:

"the Hawaiian home-loan fund, the Hawaiian home-operating fund, and the Hawaiian home-development fund shall not be reduced or impaired by any such amendment, whether made in the constitution or in the manner required for State legislation, and the encumbrances authorized to be placed on Hawaiian home lands by officers other than those charged with the administration of said Act, shall not be changed except with the consent of the United States" (emphasis added).

REVENUE LINE ITEMS:

- 1-2) Loan Interest/ Investment Interest - Pursuant to section 213(b) of the Hawaiian Homes Commission Act (HHCA), any interest or earnings arising out of investments from the Hawaiian Home Loan Fund are to be credited to and deposited into the Hawaiian Home Operating Fund.
- 3) Miscellaneous - Pursuant to section 213(e) of the HHCA, all moneys received by the department from any other source, other than those prescribed in other funds of the HHCA, are deposited into the Hawaiian Home Operating Fund. This includes receipts collected from the Molokai and Anahola Water systems, hall rentals, and homestead lease payments.
- 4) Refund/ Reimbursables - Refunds and reimbursements of expenditures are deposited back to the fund of origination.

EXPENDITURE LINE ITEMS:

(1-14) Uses of the operating portion of the Hawaiian Home Operating Fund include:

1. Construction operation and maintenance of revenue-producing activities (such as the Molokai Water System, and the Anahola Farm Lots Water System, on the island of Kauai) that are intended to serve principally occupants on Hawaiian home lands.
2. Purchase of goods and services to be resold, rented or furnished on a charge basis to occupants of Hawaiian home lands. The department operates a community hall in Waimea on the island of Hawaii.
3. Cost of appraisals, studies, consultant services or other staff services, including those in section 202(b) of the HHCA. Some of DHHL temporary exempt hires are funded from this portion of the Operating Fund.

The uses of the development portion of the Hawaiian Home Operating Fund, which require approval of the Governor, include:

1. Improvement and development necessary to serve present and future occupants of Hawaiian home lands.
2. Improvements, additions, and repairs to all assets owned or leased by the department excluding structures or improvements that the department is obligated to acquire under Section 209 of the HHCA.
3. Engineering, architectural and planning services to maintain and develop properties.
4. For consultant services.
5. For purchase or lease of equipment.
6. For acquisition or lease of real property; and
7. Improvements constructed for the benefit of the beneficiaries of the HHCA and not otherwise permitted in the loan funds or Administration Account.

OTHER CHANGES IN FUND BALANCE:

- 1) The Hawaiian Homes Commission authorizes the quarterly transfer of moneys from the Hawaiian Home Receipts Fund to the Hawaiian Home Operating Fund.

- 2) Any amount in the Hawaiian Home Administration Account which is in excess of the amount approved by the State Legislature or made available for the fiscal period may be transferred to the Hawaiian Home Operating Fund.

2. Statutory Basis of Fund:

Section 213 (e), Hawaiian Homes Commission Act of 1920, as amended

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Native Hawaiian Rehabilitation Fund
 Legal Authority: Section 213(i), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-924-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,849,259	5,934,451	6,214,700	6,630,193	6,683,076	6,683,076	6,683,076
Revenues	555,346	544,304	582,035	289,559			
Expenditures	470,154	264,055	213,280	236,676			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	1,500,000		4,517,655	4,518,837			
	(1,500,000)		(4,470,917)	(4,518,837)			
Net Total Transfers	0	0	46,738	0	0	0	0
Ending Cash Balance	5,934,451	6,214,700	6,630,193	6,683,076	6,683,076	6,683,076	6,683,076
Encumbrances	1,375,579	282,593	253,606	627,390			
Unencumbered Cash Balance	4,558,872	5,932,107	6,376,587	6,055,686	6,683,076	6,683,076	6,683,076

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Native Hawaiian Rehabilitation Fund (T-924)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

The Native Hawaiian Rehabilitation Fund (NHRF) was created by the 1978 Constitutional Convention to finance various activities intended to exclusively benefit native Hawaiians. This includes, but is not limited to, educational, economic, political, social, and cultural processes by which the general welfare and conditions of native Hawaiians are improved and perpetuated. The specific sources of NHRF funds vary, but are primarily derived from certain lands labeled "ceded lands" which were conveyed from the federal government to the State when it was admitted into the Union.

REVENUE LINE ITEMS:

- 1) Thirty percent of the state receipts, derived from lands previously cultivated and cultivated as sugarcane lands and from water licenses, are deposited into this fund.
- 2) Any payments of principal, interest or other earnings arising out of the loan or investments from the fund are credited and deposited into this fund.

EXPENDITURE LINE ITEMS:

- 1) NHRF has been used for interim home construction. The fund is reimbursed by private lenders that provide permanent takeout loans (e.g. FHA loans).
- 2) NHRF has also been involved in the financing of community based projects and an education scholarship program within the native Hawaiian community.

2. Statutory Basis of Fund:

Section 213(i), Hawaiian Homes Commission Act of 1920, as amended

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Trust Fund
 Legal Authority: Section 213(h), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-917-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	11,250,100	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530
Revenues	1,122,716			0			
Expenditures	1,122,716			0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	12,199,530		12,199,530				
	(11,250,100)		(12,199,530)				
Net Total Transfers	949,430	0	0	0	0	0	0
Ending Cash Balance	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530
Encumbrances							
Unencumbered Cash Balance	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Trust Fund (T-917)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

Monies deposited into this fund are available for transfers into any other fund or account authorized by the Act or for any public purpose to further the purposes of the Act. Public purpose includes using the Hawaiian home trust fund as a reserve for loans insured or guaranteed by the Federal Housing Administration, Veteran Administration or any other federal agency authorized to insure or guarantee loans. A major deposit in the Hawaiian home-trust fund is the reserve for loans insured by the Federal Housing Administration.

2. Statutory Basis of Fund:

Section 213(h), Hawaiian Homes Commission Act of 1920, as amended

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Homes Receipts Fund
 Legal Authority: Section 213(g), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF): T
 Appropriation Acct. No.: T-916-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	343,677	343,677	307,656	673,337	1,465,523	1,465,523	1,465,523
Revenues	3,634,714	3,943,979	5,065,681	5,192,186			
Expenditures	0	0		0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(3,634,714)	(3,980,000)	(4,700,000)				
Net Total Transfers	(3,634,714)	(3,980,000)	(4,700,000)	(4,400,000)	0	0	0
Ending Cash Balance	343,677	307,656	673,337	1,465,523	1,465,523	1,465,523	1,465,523
Encumbrances							
Unencumbered Cash Balance	343,677	307,656	673,337	1,465,523	1,465,523	1,465,523	1,465,523

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Receipts Fund (T-916)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

All interest monies from loans or investments received by the department from any fund (except the borrowed money loans in the Hawaiian home-general loan fund and the Hawaiian home-loan fund) are deposited into this fund. In essence, the fund was to serve as a clearing fund at the end of each quarter, all monies in this fund are transferred to the Hawaiian home-operating fund, the Hawaiian home-administration account, the Hawaiian home-trust fund and any loan fund in accordance with rules adopted by the department. Since this a clearing account and monies are transferred quarterly, as required by the Hawaiian Homes Commission Act, there are no beginning and ending balances at start and end of quarter. Consequently, there are no prior year or end of year balances.

2. Statutory Basis of Fund:

Section 213 (g), Hawaiian Homes Commission Act of 1920, as amended

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HHL
 Prog ID(s): HHL 602
 Name of Fund: Security Deposits Clearing Account
 Legal Authority: Administratively Created

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-901-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,598,035	1,643,528	2,937,905	2,721,203	2,623,402	2,623,402	2,623,402
Revenues		1,294,377	124,498	76,579			
Expenditures	954,507		341,200	174,380			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(2,070,154)		(2,288,781)				
	2,070,154		2,288,781				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,643,528	2,937,905	2,721,203	2,623,402	2,623,402	2,623,402	2,623,402
Encumbrances			42,380				
Unencumbered Cash Balance	1,643,528	2,937,905	2,678,823	2,623,402	2,623,402	2,623,402	2,623,402

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 401
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-229-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards, federal share from various refunds like TPL, estate/probate, drug rebates

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipient.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,130,428,431	1,418,471,863	1,546,210,329	1,643,010,322	1,619,347,394	1,619,347,394	1,619,347,394
Beginning Cash Balance	0	0	0	0	7,108,732	0	0
Revenues	1,112,837,257	1,371,048,074	1,374,839,846	1,614,347,394	1,614,347,394	1,614,347,394	1,614,347,394
Expenditures	1,128,431,457	1,405,684,564	1,455,972,529	1,643,832,304	1,626,456,126	1,619,347,394	1,619,347,394
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	67,060,697	60,467,595	82,180,209	36,593,641	5,000,000	5,000,000	5,000,000
Ending Cash Balance	51,466,498	25,831,105	1,047,526	7,108,732	0	0	0
Encumbrances	1,162,712	13,269,527	2,756,554	3,065,375			
Unencumbered Cash Balance	50,303,785	12,561,578	(1,709,028)	4,043,356	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 902
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-233-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards, federal share from various refunds like TPL, estate/probate, drug rebates

Current Program Activities/Allowable Expenses: Administrative support to Medical assistance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	44,231,490	33,234,892	33,234,892	53,085,652	53,085,652	53,085,652	53,085,652
Beginning Cash Balance	0	0	0	0	407,670	0	0
Revenues	29,134	11,047	1,586	0	0	0	0
Expenditures	11,096,939	16,693,594	16,635,794	50,068,113	50,407,670	50,000,000	50,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	15,253,108	16,741,344	16,634,208	50,475,783	50,000,000	50,000,000	50,000,000
Ending Cash Balance	4,185,303	58,797	0	407,670	0	0	0
Encumbrances	31,664,531	10,511,732	25,419,143	35,246,429			
Unencumbered Cash Balance	(27,479,227)	(10,452,935)	(25,419,143)	(34,838,759)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 902
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-237-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards

Current Program Activities/Allowable Expenses: Administrative support to Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				6,000,000	6,000,000	6,000,000	6,000,000
Beginning Cash Balance	0	0	0	0	167,167	0	0
Revenues					0	0	0
Expenditures				1,361,772	167,167		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				1,528,939			
Ending Cash Balance	0	0	0	167,167	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	167,167	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

STATE OF HAWAII FINANCIAL ACCOUNTING & MANAGEMENT INFORMATION SYSTEM

ONLINE REPORT - MBP405 - DAILY DETAIL OF TRANSACTIONS POSTED
 (RECORD COUNT: 11)

SELECT	DEPT	DIV	PROG ID	MOF	APPN TYP	FUND	APPN .CC	PROJ I	PHASE	ACTIV	OBJE	MAJ.(FROM	FROM FY	TO FY	TO FY	TRANS	BATCH	TRANS	CC	S/L	ACCT																																			
K		2	ALL	ALL	ALL	S-18-2	ALL	ALL	ALL	ALL	ALL	1	2018	12	2018	ALL	ALL	ALL	ALL																																					
TRANS	TYPE	DEPT	DIV	PROG ID	MOF	AP TYPE	TC	FUND	FY	ACCT	SRCE/	COST	PRJ	PRJ	ACT	AMOUNT	DOCUMENT	DOCUMENT	DBRN	CVN	REF DOC	REF DOC	VENDOR	VENDOR	VENDOR	PROC	OPTIONAL	OPTIONAL	WAR	VENDOR	INV	DOC	ASD	NET DOC	M	R	F	AL	CT	FUNC	FD	SFD	FTDL	G/L	AC	S/L	AC	FM	PTI	TY	D	DATE	NUM	SEQ	DI	
JRNL	K	02	HMS902	N	A	971	S	2018	237							1,234,271.99	00JS5518 02										06/19/2018						06/18/2018		0				GAO							12	T	ZZ	180618	548	00012		0			
JRNL	K	02	HMS902	N	A	971	S	2018	237							127,500.00	00JS5694 02										06/22/2018						06/22/2018		0			GAO							12	T	ZZ	180622	668	00008		0				
JRNL	K	02	HMS902	N	A	971	S	2018	237							107,667.00	00JS5695 02										06/22/2018						06/22/2018		0			GAO							12	T	ZZ	180622	668	00010		0				
JRNL	K	02	HMS902	N	A	971	S	2018	237							59,500.00	00JS5887 02										06/29/2018						06/29/2018		0			GAO							12	T	ZZ	180629	801	00013		0				

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 902
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-243-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards

Current Program Activities/Allowable Expenses: Administrative support to Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				6,000,000	6,000,000	6,000,000	6,000,000
Beginning Cash Balance	0	0	0	0	0	0	
Revenues					0	0	0
Expenditures				1,412,049			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				1,412,049			
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 401
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-290-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards, federal share from various refunds like TPL, estate/probate, drug rebates

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipient.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	55,379,802	55,379,802	55,379,802	55,379,802
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				31,587,200	31,587,200	31,587,200	31,587,200
Expenditures				31,587,200	31,587,200	31,587,200	31,587,200
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 401
 Name of Fund: Hospital Sustainability Special Fund
 Legal Authority: Section 346G-4, HRS

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) B
 Appropriation Acct. No. S-378-K

Intended Purpose: Provide medical assistance payments for medicaid recipients and the uninsured.

Source of Revenues: Hospital Sustainability fees

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				70,000,000	70,000,000	70,000,000	70,000,000
Beginning Cash Balance	0	0	0	0	9,402,902	0	0
Revenues				67,002,902	70,000,000	70,000,000	70,000,000
Expenditures				57,600,000	79,402,902	70,000,000	70,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	9,402,902	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	9,402,902	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 401
 Name of Fund: Nursing Facility Sustainability Special Fund
 Legal Authority: Section 346F-4, HRS

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) B
 Appropriation Acct. No. S-379-K

Intended Purpose: Provide medical assistance payments for medicaid recipients and the uninsured.

Source of Revenues: Nursing Home Sustainability Fees

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				14,000,000	14,000,000	14,000,000	14,000,000
Beginning Cash Balance	0	0	0	0	1,773,558	0	0
Revenues				10,586,944	14,000,000	14,000,000	14,000,000
Expenditures				8,813,386	15,773,558	14,000,000	14,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	1,773,558	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	1,773,558	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 902
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF) N
 Appropriation Acct. No. S-544-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards

Current Program Activities/Allowable Expenses: Administrative support to Medical assistance Program

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,900,000	1,900,000	1,900,000	1,900,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				1,324,301	1,330,000	1,330,000	1,330,000
Expenditures				1,324,301	1,330,000	1,330,000	1,330,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): N/A*
 Name of Fund: Medical Assistance Program Temporary Dep
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF): T
 Appropriation Acct. No.: T-910-K

Intended Purpose: This trust account was established as temporary holding account for checks and money orders received for medical assistance programs.

Source of Revenues: Penalty for noncompliance with Medicare/Medical requirement and donations for long term care research projects.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance			11,505	1,090,414	1,449,505	1,449,505	1,449,505
Revenues		11,505	23,622	359,091			
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	1,055,287	0	0	0	0
Ending Cash Balance	0	11,505	1,090,414	1,449,505	1,449,505	1,449,505	1,449,505
Encumbrances							
Unencumbered Cash Balance	0	11,505	1,090,414	1,449,505	1,449,505	1,449,505	1,449,505

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* This is a holding account not associated with a specific Prog ID

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: Juvenile Accountability Block Grant
 Legal Authority: PL 107-273

Contact Name: Kerry Kiyabu
 Phone: 587-5714
 Fund type (MOF): N
 Appropriation Acct. No.: S-212-K

Intended Purpose:

To support states and units of local government in implementing their respective juvenile crime re-education plan in any of twelve areas to assure that as a result of their wrongdoing, juvenile offenders face individualized consequences that makes them aware of and accountable for the loss, damage or injury perpetuated on the victim.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention. Plus the interest earned from the state's investment pool account.

Current Program Activities/Allowable Expenses:

The Office of Youth Services (OYS) continues the planning and program development functions for youth services as mandated by Act 375, SLH 2009.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	163,274	9,112	2,748	0	0	0	0
Beginning Cash Balance	335,221	168,578	28,102	1,017	0	0	0
Revenues	1,663	904	194	0	0	0	0
Expenditures	168,306	141,380	27,279	1,017	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	168,578	28,102	1,017	0	0	0	0
Encumbrances	168,579	24,648	0	0	0	0	0
Unencumbered Cash Balance	(1)	3,454	1,017	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: Social Services Block Grant
 Legal Authority: Social Security Act, Title XX, as amended; Omnibus Budget Reconciliation Act of 1981, as amended, PL 97-35; Jobs Training Bill, PL 98-8 and 473; Medicaid and Medicare Patient and Program Act of 1987; Omnibus Budget Reconciliation Act of 1987, PL 100-203; Family Support Act of 1998, PL 100-485, Omnibus Budget Reconciliation Act of 1993, PL 106-66, 42 U.S.C. 1397 ET seq.

Contact Name: Kerry Kiyabu
 Phone: 587-5714
 Fund type (MOF) N
 Appropriation Acct. No. S-223-K

Intended Purpose:

Provide income eligible and current recipients of public assistance an array of services.

Source of Revenues:

U.S. Dept of Health and Human Services, Title XX Social Services Block Grant. Subgranted from Dept of Human Services, Social Services Division.

Current Program Activities/Allowable Expenses:

Act 375, SLH1989 mandated the to OYS provide a continuum of services ranging from prevention to secure care and assume the responsibilities for juvenile corrections. To that end, on July 1, 1991, the OYS assumed the responsibility for the Hawaii Youth Correctional Facility Program. During the FB 95-97, the OYS continued planning and program development functions for a continuum of services which included community alternative and aftercare components for this target population.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,211,703	2,674,321	1,872,721	1,752,620	1,752,620	1,752,620	1,752,620
Beginning Cash Balance	9,891	20,782	16,974	25,434	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	1,260,432	1,306,160	1,381,034	1,908,803	1,752,620	1,752,620	1,752,620
Transfers							
List each by JV# and date	1,271,323	1,302,352	1,389,494				
Net Total Transfers	1,271,323	1,302,352	1,389,494	1,883,369	1,752,620	1,752,620	1,752,620
Ending Cash Balance	20,782	16,974	25,434	0	0	0	0
Encumbrances	502,227	594,338	559,540	438,155	438,155	438,155	438,155
Unencumbered Cash Balance	(481,445)	(577,366)	(534,106)	(438,155)	(438,155)	(438,155)	(438,155)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	HMS	Contact Name:	Kerry Kiyabu
Prog ID(s):	HMS 501	Phone:	587-5714
Name of Fund:	Special Fund	Fund type (MOF):	N
Legal Authority:	S-224-K contains the special funds of US Dept of Justice grants and the US Dept of Education grant. This is the cumulative financial data form. (From FY2013 only US DOJ.)	Appropriation Acct. No.:	S-224-K - Comb

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,589,103	937,502	910,291	506,500	506,500	506,500	506,500
Beginning Cash Balance	33,985	19,715	13,790	14,735	0	0	0
Revenues	819,429	652,574	440,042	556,353	406,500	406,500	406,500
Expenditures	833,699	658,499	439,097	571,088	406,500	406,500	406,500
Transfers	0	0	0				
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,715	13,790	14,735	0	0	0	0
Encumbrances	375,418	244,130	150,270	100,000	100,000	100,000	100,000
Unencumbered Cash Balance	(355,703)	(243,359)	(135,535)	(100,000)	(100,000)	(100,000)	(100,000)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>HMS</u>	Contact Name: <u>Kerry Kiyabu</u>
Prog ID(s):	<u>HMS 501</u>	Phone: <u>587-5714</u>
Name of Fund:	<u>Juvenile Justice and Delinquency Prevention - Title II Formula Grants</u>	Fund type (MOF) <u>N</u>
Legal Authority	<u>Juvenile Justice and Delinquency Prevention Act of 2002, Sections 221-223, 42 U.S.C. Sections 5631 - 5633</u>	Appropriation Acct. No. <u>S-224-K (1-4)</u>

Intended Purpose:

To support State and local delinquency prevention and intervention efforts and juvenile justice system improvements.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention.

Current Program Activities/Allowable Expenses:

Program Activities include: Planning and administration, State Advisory Group allocation, compliance monitoring; juvenile justice issues for American Indian tribes; prevention of substance abuse by juveniles, prevention of serious and violent crimes by juveniles, prevention of juvenile gang involvement and illegal youth gang activities; prevention of delinquency acts and identification of youth at risk of delinquency; and improvement of juvenile justice system operations, policies, and procedures including establishing a system of graduated sanctions, treatment programs, and aftercare.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,582,538	931,008	897,344	500,000	500,000	500,000	500,000
Beginning Cash Balance	33,985	19,715	13,790	14,735	0	0	0
Revenues	818,522	652,574	427,095	543,359	400,000	400,000	400,000
Expenditures	832,792	658,499	426,150	558,094	400,000	400,000	400,000
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0		
Ending Cash Balance	19,715	13,790	14,735	0	0	0	0
Encumbrances	375,418	244,130	150,270	100,000	100,000	100,000	100,000
Unencumbered Cash Balance	(355,703)	(243,359)	(135,535)	(100,000)	(100,000)	(100,000)	(100,000)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: Title V - Delinquency Prevention Program
 Legal Authority: Incentive Grants for Local Delinquency Prevention Programs Act of 2002

Contact Name: Kerry Kiyabu
 Phone: 587-5714
 Fund type (MOF) N
 Appropriation Acct. No. S-224-K (2-4)

Intended Purpose:

To support states and units of local government in implementing their respective juvenile crime re-education plan in any of twelve areas to assure

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention.

Current Program Activities/Allowable Expenses:

This program includes to reduce risks and enhance protective factors to prevent at-risk youth from entering the juvenile justice system and to intervene with first-time and non-serious offenders to keep them out of the juvenile justice system. In October 2011 Congress did not appropriate funds for this program. Therefore, the last award received was the 2011 award and it will be spent in state fiscal years 2014 and 2015.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: Safe and Drug-Free Schools and Communities, State Grants
 Legal Authority: PL 101-297 Elementary and Secondary Education Act of 1965,
as amended

Contact Name: Kerry Kiyabu
 Phone: 587-5714
 Fund type (MOF) N
 Appropriation Acct. No. S-224-K (3-4)

Intended Purpose:

Support community-based and other programs to prevent substance abuse among high-risk and other youths.

Source of Revenues:

U.S. Department of Education. The 2009 award was the last one received and final closeout occurred in FY2012.

Current Program Activities/Allowable Expenses:

Act 375, SLH 1989 established the Office of Youth Services as the state agency responsible to provide services and programs for youth at risk under one umbrella agency in order to facilitate optimum service delivery, to prevent delinquency, and to reduce the incidence of recidivism among juveniles through the provision of prevention, rehabilitation, and treatment services. In addition, the OYS is also responsible for program planning and development, intake/assessment, oversight, as well as consultation, technical assistance and staff training relating to the delivery of services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 501
 Name of Fund: PREA Program: Demonstration Projects to Establish "Zero Tolerance" Cultures for Sexual Assault in Correctional Facilities
 Legal Authority: Prison Rape Elimination Act of 2003, PL 108-79, as amended, codified at 42 USC 15801, et seq.

Contact Name: Kerry Kiyabu
 Phone: 587-5714

Fund type (MOF) N

Appropriation Acct. No. S-224-K (4-4) Yrs 2014-2016
S-550-K (4-4) Yrs 2017 & onward

Intended Purpose:

To assist correctional facilities in implementing comprehensive prevention, identification, and response mechanisms that will reduce the incidence of sexual abuse in confinement facilities; promote a culture of "zero tolerance" toward sexual abuse; and support facilities' efforts to achieve compliance with the PREA standards.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Assistance

Current Program Activities/Allowable Expenses:

The PREA Program supports comprehensive demonstration projects with program design elements that are intended to reduce sexual victimization in correctional facilities, promote a "zero tolerance" environment, and assist correctional facilities in meeting the requirements of the PREA standards. Grants may be used to support the following types of design elements as part of a comprehensive approach: 1) policy and practice review and revision; 2) preventative infrastructure and technology enhancements; 3) inmate/detainee/resident education; 4) victim support services; 5) leadership and assessment of organizational culture; 6) data collection and performance measurements; 7) staffing support and training; 8) evaluation; and 9) PREA audit activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,565	6,494	12,947	6,500	6,500	6,500	6,500
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	907	0	12,947	12,994	6,500	6,500	6,500
Expenditures	907	0	12,947	12,994	6,500	6,500	6,500
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>HMS</u>	Contact Name: <u>Kerry Kiyabu</u>
Prog ID(s):	<u>HMS 501</u>	Phone: <u>587-5714</u>
Name of Fund:	<u>PREA Program: Demonstration Projects to Establish "Zero Tolerance" Cultures for Sexual Assault in Correctional Facilities</u>	Fund type (MOF) <u>N</u>
Legal Authority	<u>Prison Rape Elimination Act of 2003, PL 108-79, as amended, codified at 42 USC 15801, et seq.</u>	Appropriation Acct. No. <u>S-553-K</u>

Intended Purpose:

To assist correctional facilities in implementing comprehensive prevention, identification, and response mechanisms that will reduce the incidence of sexual abuse in

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Assistance

Current Program Activities/Allowable Expenses:

The PREA Program supports comprehensive demonstration projects with program design elements that are intended to reduce sexual victimization in correctional facilities, promote a "zero tolerance" environment, and assist correctional facilities in meeting the requirements of the PREA standards. Grants may be used to support the following types of design elements as part of a comprehensive approach: 1) policy and practice review and revision; 2) preventative infrastructure and technology enhancements; 3) inmate/detainee/resident education; 4) victim support services; 5) leadership and assessment of organizational culture; 6) data collection and performance measurements; 7) staffing support and training; 8) evaluation; and 9) PREA audit activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	24,172	24,172	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	24,172	0	0	0
Expenditures	0	0	0	24,172	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Apprn Acct No.		S-FY-223				
Transfers (List Each Transfer by JV# and Date)						
Cash Deposits						
OYS No.	JV#	S-16-223	S-17-223	Total Drawdowns		
T18-01	JS0037	11,780.00				11,780.00
T18-02	JS0121	56,933.34				56,933.34
T18-03	JS0192	10,660.00				10,660.00
T18-04	JS0270	12,086.25				12,086.25
T18-05	JS0388	33,846.00				33,846.00
T18-06	JS0518	5,967.92				5,967.92
T18-07	JS0612	8,778.75				8,778.75
T18-08	JS0795	55,647.20				55,647.20
T18-09	JS0912	30,929.52				30,929.52
T18-10	JS1023	21,463.33				21,463.33
T18-11	JS1077	4,501.54				4,501.54
T18-12	JS1182	34,801.66				34,801.66
T18-13	JS1271	9,785.59				9,785.59
T18-14	JS1494	14,733.34	3,900.00			18,633.34
T18-15	JS1640	29,783.00	48,117.00			77,900.00
T18-16	JS1669	47,899.03				47,899.03
T18-17	JS1696	11,897.24				11,897.24
T18-18	JS1769	12,647.03				12,647.03
T18-19	JS1931	18,252.62	560.96			18,813.58
T18-20	JS2182	5,469.11	50,482.96			55,952.07
T18-21			15,370.00			15,370.00
T18-22			52,492.88			52,492.88
T18-23			13,610.00			13,610.00
T18-24			40,547.44			40,547.44
T18-25			35,693.05			35,693.05
T18-26			11,692.56			11,692.56
T18-27			15,113.34			15,113.34
T18-28			33,346.52			33,346.52
T18-29			36,481.73			36,481.73
T18-30			14,842.92			14,842.92
T18-31			11,780.00			11,780.00
T18-32			39,021.22			39,021.22
T18-33			36,715.54			36,715.54
T18-34			6,746.83			6,746.83
T18-35			33,131.09			33,131.09
T18-36			23,963.93			23,963.93
T18-37			46,154.76			46,154.76
T18-38			19,811.63			19,811.63
T18-39			45,079.34			45,079.34
T18-40			40,125.77			40,125.77
T18-41			6,333.73			6,333.73
T18-42			28,532.79			28,532.79
T18-43			14,400.19			14,400.19
T18-44			34,300.51			34,300.51
T18-45			34,300.51			34,300.51
T18-46			15,365.03			15,365.03
T18-47			20,305.30			20,305.30
T18-48			12,806.00			12,806.00
T18-49			8,190.00			8,190.00
T18-50			26,893.33			26,893.33
T18-51			49,990.57			49,990.57
T18-52			25,431.57			25,431.57
Total		437,862.47	951,631.00			1,389,493.47

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 503/RA (HYCF)
 Name of Fund: Youth Correctional Facility's Benefit Fund
 Legal Authority: Section 352-21 HRS

Contact Name: Kerry Kiyabu
 Phone: 587-5714
 Fund type (MOF) T
 Appropriation Acct. No. T-901-K

Intended Purpose:

Voluntary donations placed in trust for the youth committed to HYCF for their welfare and recreation.

Source of Revenues:

(1) Donations, (2) Any unauthorized money found in the possession of a ward or on the facility premises.

Current Program Activities/Allowable Expenses:

The fund is used for activities that would benefit the welfare and recreation of youth committed to the Hawaii Youth Correctional Facility.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9,577	9,577	9,577	9,729	9,729	9,729	9,729
Revenues	0	0	500	0	0	0	0
Expenditures	0	0	348	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	9,577	9,577	9,729	9,729	9,729	9,729	9,729
Encumbrances							
Unencumbered Cash Balance	9,577	9,577	9,729	9,729	9,729	9,729	9,729

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 503/RA (HYCF)
 Name of Fund: Hawaii Youth Correctional Facility Trust Fund
 Legal Authority: Section 352-18, HRS

Contact Name: Kerry Kiyabu
 Phone: 587-5714
 Fund type (MOF) T
 Appropriation Acct. No. T-998-K

Intended Purpose:

Trust account for all sums collected to the credit of the youth committed to HYCF.

Source of Revenues:

(1) Money given to wards by their parents, relatives, etc., (2) cash earned by wards through the Behavior Modification Program, and (3) pay earned by wards that are on a work-release plan.

Current Program Activities/Allowable Expenses:

Withdrawals from a ward's account may be permitted: to pay legal obligations to dependents, to pay court-ordered restitution, etc. Any balance remaining at the Director's termination of legal custody will transfer to the ward or whomever has legal custody.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021	FY2022
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	603	579	886	1,080	1,080	1,080	1,080
Revenues	402	1,418	1,307	0	0	0	0
Expenditures	426	1,111	1,113	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	579	886	1,080	1,080	1,080	1,080	1,080
Encumbrances							
Unencumbered Cash Balance	579	886	1,080	1,080	1,080	1,080	1,080

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Human Services
 Prog ID(s): HMS 206
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-204-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications for energy crisis intervention and credit.

Source of Revenues: DHHS - Administration for Children and Families, Low Income Home Energy Assistance Program (LIHEAP.)

Current Program Activities/Allowable Expenses: Provides funds for LIHEAP payments.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,158,927	5,553,592	9,956,189	8,415,241	8,000,000	8,000,000	8,000,000
Beginning Cash Balance	1,830,515	1,752,419	98,125	81,836	121,357	121,357	121,357
Revenues	5,462,375	3,278,428	5,691,509	4,853,534	4,800,000	4,800,000	4,800,000
Expenditures	5,540,471	4,932,722	5,707,798	4,814,013	4,800,000	4,800,000	4,800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	1,752,419	98,125	81,836	121,357	121,357	121,357	121,357
Encumbrances	449,418			268,479			
Unencumbered Cash Balance	1,303,001	98,125	81,836	(147,122)	121,357	121,357	121,357

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 211
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-201-K

Intended Purpose: Provides financial aid to state Temporary Assistance for Needy Families (TANF) Program.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Assistance payments to qualified TANF recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	44,000,000	44,000,000	44,000,000	55,998,762	55,998,762	55,998,762	55,998,762
Beginning Cash Balance	7,271,667	7,864,360	9,385,906	1,211,281	3,625,554	3,625,554	3,625,554
Revenues	34,771,967	20,739,390	16,998,241	12,705,382	10,291,000	10,291,000	10,291,000
Expenditures	34,179,274	19,217,844	25,172,866	10,291,109	10,291,000	10,291,000	10,291,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	7,864,360	9,385,906	1,211,281	3,625,554	3,625,554	3,625,554	3,625,554
Encumbrances	0	0					
Unencumbered Cash Balance	7,864,360	9,385,906	1,211,281	3,625,554	3,625,554	3,625,554	3,625,554

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Emergency Shelter Grant
 Legal Authority: McKinney-Vento Homeless Act as amended by Hearth Act of 2009

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-216-K (1 of 4)

Intended Purpose: Provides resources to deal with the special needs segments of the homeless population.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides one-time grants for emergency needs to neighbor island homeless.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	452,036	609,636	677,918	605,880	605,880	605,880	605,880
Beginning Cash Balance	204	261	3,050	157	777	777	777
Revenues	417,097	373,920	503,199	394,737	395,000	395,000	395,000
Expenditures	417,040	371,131	506,092	394,117	395,000	395,000	395,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	261	3,050	157	777	777	777	777
Encumbrances	133,051	167,090	100,387	17,035	0	0	0
Unencumbered Cash Balance	(132,790)	(164,040)	(100,230)	(16,258)	777	777	777

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Shelter Plus Care Program Grant
 Legal Authority: McKinney-Vento Homeless Act as amended by Hearth Act of 2009

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-216-K (2 of 4)

Intended Purpose: Provides resources to deal with the special needs segments of the homeless population.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding and services to address the needs of homeless persons afflicted with a disability.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Starting in 2014, the new Shelter Plus Care Program grant funds have been combined with the new Continuum of Care grant. The older Shelter Plus Care Program grants are winding down and should be fully expended by fiscal year 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,366,839	366,264	99,692	36,219	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	167,320	170,287	99,692	36,219	0	0	0
Expenditures	167,320	170,287	99,692	36,219	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	284,265	0	0	0	0	0	0
Unencumbered Cash Balance	(284,265)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: HOPWA
 Legal Authority: AIDS Housing Opportunity Act

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-222-K (3 of 4)

Intended Purpose: Housing Opportunities for People With AIDS - provides funding to address the needs of homeless persons afflicted with HIV or AIDS.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides resources and services to qualified homeless recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	205,107	243,296	230,597	278,637	278,637	278,637	278,637
Beginning Cash Balance	0	0	12,372	0	76	76	76
Revenues	167,320	233,118	172,497	239,755	240,000	240,000	240,000
Expenditures	167,320	220,746	184,869	239,679	240,000	240,000	240,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	12,372	0	76	76	76	76
Encumbrances	25,207	16,025	37,005	28,216	0	0	0
Unencumbered Cash Balance	(25,207)	(3,653)	(37,005)	(28,140)	76	76	76

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Continuum of Care
 Legal Authority: McKinney-Vento Homeless Act as amended by Hearth Act of 2009

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-500-K (4 of 4)

Intended Purpose: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Due to a change in practice of having a separate appropriation per grant award. Prior year encumbrances were paid using the original

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,366,839	2,910,014	4,953,398	4,237,345	4,237,345	4,237,345	4,237,345
Beginning Cash Balance	0	0	22,196	69	31,084	31,084	31,084
Revenues	1,316,840	1,496,418	1,497,980	1,776,852	1,777,000	1,777,000	1,777,000
Expenditures	1,316,840	1,474,222	1,520,107	1,745,837	1,777,000	1,777,000	1,777,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	22,196	69	31,084	31,084	31,084	31,084
Encumbrances	983,942	717,667	2,262,349	929,315	0	0	0
Unencumbered Cash Balance	(983,942)	(695,471)	(2,262,280)	(898,231)	31,084	31,084	31,084

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR CHAPTER II, P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-236 (1 of 7)
 SFY14 Apprn Acct. No.: S-XX-232-K

Intended Purpose: Provides monetarily supports and strengthens family life, especially those of needy children, and prepares adults w/ dependent

Source of Revenues: DHHS, ACF Tanf Title IV-A.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

90

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,558,085	3,335,167	2,391,384	2,328,908	2,328,908	2,328,908	2,328,908
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,347,152	1,286,764	1,130,584	1,000,374	1,517,044	1,517,044	1,517,044
Expenditures	1,347,152	1,286,764	1,130,584	1,000,374	1,517,044	1,517,044	1,517,044
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Supplemental Nutrition Assistance Program
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-236-K (2 of 7)
 SFY14 Apprn Acct. No.: S-XX-236-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Determines eligibility for food stamps assistance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,516,123	28,635,657	19,921,412	12,982,651	15,682,651	15,682,651	15,682,651
Beginning Cash Balance	24	0	0	0	0	0	0
Revenues	9,362,389	13,588,621	11,358,713	11,738,528	15,523,082	15,523,082	15,523,082
Expenditures	9,362,412	13,588,621	11,358,713	11,738,528	15,523,082	15,523,082	15,523,082
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Medical Assistance Program
 Legal Authority: Sec. 1102, 49 Stat. 647 (42 U.S.C 1302)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236-K (3 of 7)
 SFY14 Apprn Acct. No. S-XX-234-K

Intended Purpose: Provides financial assistance to state for payments of medical assistance on behalf of cash assistance recipients and other

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance for payment of medical assistance on behalf of cash

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	175,000	175,000	348,187	240,754	240,754	240,754	240,754
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	5,082	1,812	958	1,777	175,000	175,000	175,000
Expenditures	5,082	1,812	958	1,777	175,000	175,000	175,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-236 (4 of 7)
 SFY14 Apprn Acct. No.: S-XX-231-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,859,506	10,929,528	8,623,292	5,180,526	9,249,379	9,249,379	9,249,379
Beginning Cash Balance	0	0	0	289,836	289,836	307,249	0
Revenues	3,742,977	3,429,888	4,177,760	4,183,000	4,183,621	3,875,751	4,183,000
Expenditures	3,742,977	3,429,888	3,887,924	4,183,000	4,166,208	4,183,000	4,183,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	289,836	289,836	307,249	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	289,836	289,836	307,249	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-236 (5 of 7)
 SFY14 Apprn Acct. No.: S-XX-230-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	214,092	266,776	267,316	215,369	215,369	215,369	215,369
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	33,784	44,025	126,078	35,075	35,075	35,075	35,075
Expenditures	33,784	44,025	126,078	35,075	35,075	35,075	35,075
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Refugee Resettlement Program
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236 (6 of 7)
 SFY14 Apprn Acct. No. S-XX- 501 & 226-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic

Source of Revenues: DHHS - Administration for Children and Families, Refugee Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,500	32,460	45,079	32,349	32,349	32,349	32,349
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	8,753	725	19,916	14,604	16,500	16,500	16,500
Expenditures	8,753	725	19,916	14,604	16,500	16,500	16,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-236 (7 of 7)
 SFY14 Apprn Acct. No.: S-XX-296-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program

Current Program Activities/Allowable Expenses: Provides funds for Low Income Home Energy Assistance Program (LIHEAP) payments.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,500	1,000	1,000	1,000	1,000	1,000	1,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	100	100	100
Expenditures	0	0	0	0	100	100	100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 237
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99-198

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF) N
 Appropriation Acct. No. S-545-K
eff FY 15 appr S-206 & S-545

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: U. S. Department of Agriculture - Food and Nutrition Service
 Food Stamp Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components and in employment. The latter activity is stressed and may occur concurrently with the provision of other program services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	624,809	1,119,584	1,647,646	1,051,098	1,050,000	1,050,000	1,050,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	170,225	409,945	597,011	387,895	400,000	400,000	400,000
Expenditures	170,225	409,945	597,011	387,895	400,000	400,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		76,022	328,882	526,015			
Unencumbered Cash Balance	0	(76,022)	(328,882)	(526,015)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 237
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99-198

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF) N
 Appropriation Acct. No. S-206-K
eff FY 15 appr S-206 & S-545

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: U. S. Department of Agriculture - Food and Nutrition Service
 Food Stamp Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components and in employment. The latter activity is stressed and may occur concurrently with the provision of other program services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	307,742	307,742	545,138	163,791	307,742	307,742	307,742
Beginning Cash Balance	0	1,140	1,140	0	0	0	0
Revenues	566,250	254,741	234,199	148,035	211,316	211,316	211,316
Expenditures	565,110	254,741	235,339	148,035	211,316	211,316	211,316
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,140	1,140	0	0	0	0	0
Encumbrances	149,802	0	0	0	0	0	0
Unencumbered Cash Balance	(148,662)	1,140	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-249-K
S-207-K (1 of 14)

Intended Purpose:
 Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,210,000	22,823,252	18,429,648	18,421,781	16,661,606	16,661,606	16,661,606
Beginning Cash Balance		0	0	0	0	0	0
Revenues	10,883,841	11,985,824	12,392,070	13,132,211	13,132,211	13,132,211	13,132,211
Expenditures	10,883,841	11,985,824	12,392,070	13,132,211	13,132,211	13,132,211	13,132,211
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	112,723	0	7,460				
Unencumbered Cash Balance	(112,723)	0	(7,460)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-255-K
S-207-K (2 of 14)

Intended Purpose:
 Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	529,000	1,161,195	1,256,318	1,087,446	892,900	892,900	892,900
Beginning Cash Balance		0	0	0	0	0	0
Revenues	477,847	593,550	554,412	453,727	453,727	453,727	453,727
Expenditures	477,847	593,550	554,412	453,727	453,727	453,727	453,727
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-281-K
S-207-K (3 of 14)

Intended Purpose:

Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,300	330,471	283,280	567,708	385,000	385,000	385,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues	140,870	253,210	234,585	228,009	228,009	228,009	228,009
Expenditures	140,870	253,210	234,585	228,009	228,009	228,009	228,009
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) P
 Appropriation Acct. No. S-502-K
S-207 (4 of 14)

Intended Purpose:

Strengthen and improve the federally supported programs to encourage adoptions of children with special needs and encourage support for the family.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Incentive Program)

Current Program Activities/Allowable Expenses: To strengthen & improve the federally supported programs for adoption of needy and dependent children

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	516,400	400,000	855,093	245,000	400,000	400,000	400,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues	176,000	0	0	0	0	0	0
Expenditures	176,000	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-288-K
S-207-K (5 of 14)

Intended Purpose:
 Determination and redetermination of a child's eligibility for the Hawaii Medquest Plan.

Source of Revenues: Title XIX (CMS)

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	188,000	317,359	339,607	355,982	229,800	229,800	229,800
Beginning Cash Balance		0	0	0	0	0	0
Revenues	118,970	113,566	82,889	124,192	124,192	124,192	124,192
Expenditures	118,970	113,566	82,889	124,192	124,192	124,192	124,192
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: P.L. 93-647 Title XX SSA

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No.: S-207-K (6 of 14)

Intended Purpose: To provide services suited to individuals to prevent, reduce or eliminate dependency; achieve or maintain self-sufficiency; prevent neglect, abuse or exploitation of children and adults; prevent or reduce inappropriate institutional care; to secure admission or referral for institutional care when other forms of care are not appropriate.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families
 Title XX (Social Services Block Grant)

Current Program Activities/Allowable Expenses: Provide income eligible and current recipients of public assistance an array of services which will effectively assist these persons as prescribed on public welfare. Services are oriented toward achievement thru agency staff or thru purchase of services

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,555,125	25,507,768	24,114,103	16,800,773	25,507,768	25,507,768	25,507,768
Beginning Cash Balance	3,327,936	4,759,077	2,785,367	1,663,851	2,720,726	0	0
Revenues	16,720,793	8,732,719	11,986,121	14,439,628	11,420,727	8,700,000	8,700,000
Expenditures	15,289,652	10,706,429	13,107,636	13,382,753	14,141,453	8,700,000	8,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,759,077	2,785,367	1,663,852	2,720,726	0	0	0
Encumbrances	2,822,277	1,672,075	1,001,029	440,119			
Unencumbered Cash Balance	1,936,800	1,113,292	662,823	2,280,607	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVB, Social Security Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-240-K (7 of 14)
prior to SY 2014 appn is S-207

Intended Purpose: Protect and promote the welfare of all children; prevent abuse, neglect, or exploitation of children; support at-risk families through services that allow children to remain with their families or return to their families in a timely manner; promote safety, permanence, and well being of children in foster care and adoptive families; provide training, development, and support to ensure a well-qualified workforce.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families - Title IVB (Child Welfare)

Current Program Activities/Allowable Expenses: Establishes, extends and strengthens services provided by state and local public welfare programs for development & preventative or protective services which will prevent the neglect, abuse, exploitation or delinquency of children

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,125,000	2,106,050	904,200	2,166,273	1,125,000	1,125,000	1,125,000
Beginning Cash Balance	(425,592)	(262,913)	569,866	447,302	0	0	0
Revenues	676,523	2,157,167	759,406	1,718,971	1,125,000	1,125,000	1,125,000
Expenditures	513,844	1,324,388	881,970	2,166,273	1,125,000	1,125,000	1,125,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(262,913)	569,866	447,302	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	(262,913)	569,866	447,302	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: _____
 Prog ID(s): HMS
 Name of Fund: HMS 301
 Legal Authority Child Welfare Services
Title IVB, Social Security Act

Contact Name: _____
 Phone: Carmen Hollister
 Fund type (MOF) 586-5643
 Appropriation Acct. No. N
S-247-K (8 of 14)
prior to FY 2014 appn is S-207

Intended Purpose: Prevent child maltreatment among families at risk through the provision of supportive family services; assure children's safety within the home and preserve intact families in which children have been maltreated, when family's problems can be addressed effectively; address the problems of families whose children have been placed in foster care so that the reunification may occur in a safe and stable manner; to support adoptive families by providing support services as necessary so that they can make a lifetime commitment to their children.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families -
 Title IVB Part 2 (Family Preservation & Support)

Current Program Activities/Allowable Expenses: Fund planning and design of a continuum of services responsive to the diverse needs of children and families and establishment of community-based family support (preventive services) and family preservation (services for families at risk or in crisis) services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	985,000	1,758,372	1,550,013	1,400,800	1,044,346	1,044,346	1,044,346
Beginning Cash Balance	(321,524)	(304,250)	51,310	17,288	5	0	0
Revenues	950,942	1,419,830	1,076,846	787,448	1,044,346	1,044,346	1,044,346
Expenditures	933,668	1,064,270	1,110,868	804,731	1,044,351	1,044,346	1,044,346
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(304,250)	51,310	17,288	5	0	0	0
Encumbrances	295,369	218,978	106,095	0			
Unencumbered Cash Balance	(599,619)	(167,668)	(88,807)	5	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVB, Social Security Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-246-K (9 of 14)
prior to FY 2014 appn is S-207

Intended Purpose: Support monthly caseworker visit with children who are in foster care, improve the quality of caseworker visits with children in foster care under the responsibility of the state, with an emphasis on decision making on safety, permanency, and well being of foster children; activities designed to increase retention, recruitment, and training of caseworkers.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families - Title IVB Part 2 (Family Preservation & Support-Caseworker Visitation)

Current Program Activities/Allowable Expenses: Fund planning and design of a continuum of services responsive to the diverse needs of children and families and establishment of community-based family support (preventive services) and family preservation (services for families at risk or in crisis) services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	65,000	124,549	129,072	67,385	67,385	67,385	67,385
Beginning Cash Balance	0	1	2	0	0	0	0
Revenues	57,297	61,263	126,062	0	67,385	67,385	67,385
Expenditures	57,296	61,262	126,064	0	67,385	67,385	67,385
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	2	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	1	2	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: P.L. 92-272 Title IVE, Section 477

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-248-K (10 of 14)
prior to FY 2014 appn is S-207

Intended Purpose: To assist foster youth make the transition to self-sufficiency; to receive education, training and related services to prepare for and obtain employment, postsecondary training and educational institutions; provide personal and emotional support through mentors and the promotion of interactions with dedicated adults; provide financial, housing, counseling, employment, education, other appropriate support and services to current and former foster care recipients up to the age of 21; provide services to youth who, after attaining 16 years of age, have left foster care for kinship guardianship or adoption; to ensure that children who are likely to remain in foster care until 18 years of age have regular, on-going opportunities to engage in age or developmentally appropriate activities.

Source of Revenues: U.S. Department of Health & Human Services - Independent Living Initiative

Current Program Activities/Allowable Expenses: Assist youth in foster care, ages 16-21, make the transition to independent living.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	870,805	728,532	778,586	500,000	500,000	500,000
Beginning Cash Balance	(37,411)	(37,084)	567	584	5	0	0
Revenues	550,541	487,887	433,578	490,611	500,000	500,000	500,000
Expenditures	550,214	450,236	433,561	491,190	500,005	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(37,084)	567	584	5	0	0	0
Encumbrances	208,569	42,413	1,540	56,500			
Unencumbered Cash Balance	(245,653)	(41,846)	(956)	(56,495)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: P.L. 92-272

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-239-K (11 of 14)
prior to FY 2014 appn is S-207

Intended Purpose: Provide vouchers for postsecondary education and training to youth under the Chafee Foster Care Independence Program; provide vouchers to youth who leave foster care for adoption or kinship guardianship after age 16 up to age 23, as long as they are participating in the program at age 21 and are making satisfactory progress toward completing their course of study or training; vouchers may be available for the cost of attending an institution of higher education but shall not exceed the lesser of \$5,000 per grant year or the total cost of attendance as defined in section 472 of the Higher Education Act.

Source of Revenues: U.S. Department of Health & Human Services - Education Voucher and Training

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaing reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	115,777	212,796	204,246	249,921	126,545	126,545	126,545
Beginning Cash Balance	(26,472)	(26,472)	0	0	10,743	0	0
Revenues	49,249	103,346	78,591	128,933	126,545	126,545	126,545
Expenditures	49,249	76,874	78,591	118,190	137,288	126,545	126,545
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(26,472)	0	0	10,743	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(26,472)	0	0	10,743	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Development-Child Abuse and Neglect Basic State Grant, Part 1
 Legal Authority: P.L. 100-294

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-242-K (12 of 14)

Intended Purpose: Assist States in the support and improvement of their children protective services systems; an annual plan must be developed and approved by ACF.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families
 Child Abuse Prevention and Treatment Act

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	191,100	492,065	792,065	452,149	191,100	191,100	191,100
Beginning Cash Balance	0	2	2	2	23,421	0	0
Revenues	26,108	0	0	23,419	140,000	140,000	140,000
Expenditures	26,106	0	0	0	163,421	140,000	140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2	2	2	23,421	0	0	0
Encumbrances							
Unencumbered Cash Balance	2	2	2	23,421	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Children's Justice Act
 Legal Authority: P.L. 100-294

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): P
 Appropriation Acct. No.: S-508-K (13 of 14)

Intended Purpose: To improve legal and administrative, civil and criminal proceedings relating to the investigation and prosecution of child abuse cases; to create model programs for testing innovative approaches; to reform state laws to provide protection for children.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families
 Children's Justice Act Grant

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	140,400	342,234	333,063	163,388	140,400	140,400	140,400
Beginning Cash Balance	0	0	0	1	58,880	0	0
Revenues	71,408	117,722	111,799	154,359	178,000	178,000	178,000
Expenditures	71,408	117,722	111,798	95,480	236,880	178,000	178,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1	58,880	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	1	58,880	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS 301
 Prog ID(s): HMS 301
 Name of Fund: Family Violence Prevention and Services
 Legal Authority: P.L. 100-294

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-284-K (14 of 14)

Intended Purpose: Assist states in their efforts for preventing family violence and to carry out coordination, research, training, technical assistance, documentation and evaluation activities.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families
 Family Violence Prevention and Services State Grants

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,114,100	1,962,066	1,710,230	1,488,114	1,114,100	1,114,100	1,114,100
Beginning Cash Balance	0	2,151	9,105	4	6	0	0
Revenues	745,232	785,691	881,373	860,366	860,375	888,400	888,400
Expenditures	743,081	778,737	890,474	860,364	860,381	888,400	888,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,151	9,105	4	6	0	0	0
Encumbrances	220,387	25,342	10,909	0			
Unencumbered Cash Balance	(218,236)	(16,237)	(10,905)	6	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 302
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-215-K (1 of 3)

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Supportive services, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,966,433	11,177,045	17,573,630	14,806,022	14,000,000	14,000,000	14,000,000
Beginning Cash Balance	769,681	3,099,069	440,029	339,428	5,827,046	5,827,046	5,827,046
Revenues	10,335,066	5,410,245	9,727,101	13,388,187	7,900,000	7,900,000	7,900,000
Expenditures	8,005,678	8,069,285	9,827,702	7,900,569	7,900,000	7,900,000	7,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	3,099,069	440,029	339,428	5,827,046	5,827,046	5,827,046	5,827,046
Encumbrances	4,286,602	2,828,880	3,804,969	2,488,520			
Unencumbered Cash Balance	(1,187,533)	(2,388,851)	(3,465,541)	3,338,526	5,827,046	5,827,046	5,827,046

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 302
 Name of Fund: Head Start Collaboration Grant
 Legal Authority: 42USC 9801 ET SEQ

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-215-K (2 of 3)

Intended Purpose: Facilitate collaboration among Head Start agencies and entities that carry out activities to benefit low-income children from birth to school entry; provide a structure and process for the Office of Head Start, and implement and improve policies and practices.

Source of Revenues: DHHS - Administration for Children and Families, Office of Head Start

Current Program Activities/Allowable Expenses: Personnel costs, administrative costs, and travel costs to carry out the work activities of the Head Start Collaboration Office.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0						
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0						
Expenditures	0						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 302
 Name of Fund: Head Start State Advisory Council Grant (ARRA)
 Legal Authority: ARRA Act of 2009, P.L. 111-5

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-215-K (3 of 3)

Intended Purpose: Establish a State Advisory Council on Early Childhood Education and Care.

Source of Revenues: DHHS - Administration for Children and Families, Head Start Discretionary Grant

Current Program Activities/Allowable Expenses: Services to improve coordination and collaboration among early childhood education and care programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0						
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0						
Expenditures	0						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF): N
 Appropriation Acct. No.: S-289-K

Intended Purpose:
 Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,981,000	5,156,620	4,608,543	4,295,208	4,430,000	4,430,000	4,430,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues	3,746,959	3,703,077	3,658,878	2,940,333	2,940,333	2,940,333	2,940,333
Expenditures	3,746,959	3,703,077	3,658,878	2,940,333	2,940,333	2,940,333	2,940,333
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF): N
 Appropriation Acct. No.: S-203-K

Intended Purpose:
 Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,449,626	20,118,034	15,728,565	15,056,042	17,580,000	17,580,000	17,580,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues	14,070,150	15,266,341	14,556,856	13,777,684	13,777,684	13,777,684	13,777,684
Expenditures	14,070,150	15,266,341	14,556,856	13,777,684	13,777,684	13,777,684	13,777,684
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF): N
 Appropriation Acct. No.: S-512-K

Intended Purpose:

Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,520,263	1,934,982	2,128,384	1,888,957	3,000,000	3,000,000	3,000,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues	1,297,255	1,542,423	2,062,932	1,557,033	1,557,033	1,557,033	1,557,033
Expenditures	1,297,255	1,542,423	2,062,932	1,557,033	1,557,033	1,557,033	1,557,033
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 305
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-225-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Child care subsidies and assistance payments to qualified recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,530,754	38,530,754	93,227,249	29,470,210	29,470,000	29,470,000	29,470,000
Beginning Cash Balance	18,648,875	34,620,490	33,342,508	2,376,623	2,307,547	2,307,547	2,307,547
Revenues	28,209,102	5,732,631	(1,398,975)	11,340,278	11,400,000	11,400,000	11,400,000
Expenditures	12,237,487	7,010,613	29,566,910	11,409,354	11,400,000	11,400,000	11,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	34,620,490	33,342,508	2,376,623	2,307,547	2,307,547	2,307,547	2,307,547
Encumbrances							
Unencumbered Cash Balance	34,620,490	33,342,508	2,376,623	2,307,547	2,307,547	2,307,547	2,307,547

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

There is a negative revenue since CCDF returned monies to TANF within SFY 2017

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 93-647 Title XX SSA

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No.: S-221-K

Intended Purpose: Funds are from the SSBG for social services best suited to the needs of the individuals residing in the State, prevent , reduce, or eliminate dependency; to achieve or maintain self-sufficiency.

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families
 Title XX (Social Services Block Grant)

Current Program Activities/Allowable Expenses: Provide income eligible and current recipients of public assistance an array of services which will effectively prevent premature institutionalization of elderly/disabled adults; can be provided thru agency staff or thru purchase of services

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,491,919	3,324,405	3,468,705	704,650	725,550	725,550	725,550
Beginning Cash Balance	(108,646)	254,041	365,732	411,488	10,782	0	0
Revenues	1,413,666	649,389	695,153	(89,368)	725,550	725,550	725,550
Expenditures	1,050,979	537,698	649,397	311,338	736,332	725,550	725,550
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	254,041	365,732	411,488	10,782	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	254,041	365,732	411,488	10,782	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: Sec 1102, 49 stat, 647 (42 US 1302)

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-292-K
prior to FY 2014 appn is S-221

Intended Purpose: General support for health care - adults.

Source of Revenues: U.S. Department of Health & Human Services - Centers for Medicare & Medicaid Services - Medical Title XIX

Current Program Activities/Allowable Expenses: Provide financial assistance for payment of medical assistance on behalf of cash assistance recipients and other medically needy, who except for income and resources are categorically eligible.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	463,587	48,163	0	48,163	48,163	48,163	48,163
Beginning Cash Balance	250,577	262,955	12,380	9,524	9,524	9,524	9,524
Revenues	72,621	(212,026)	0	0	0	0	0
Expenditures	60,243	38,549	2,856	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	262,955	12,380	9,524	9,524	9,524	9,524	9,524
Encumbrances	0	0	0				
Unencumbered Cash Balance	262,955	12,380	9,524	9,524	9,524	9,524	9,524

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Respite Companion Program
 Legal Authority: Older Americans Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): P
 Appropriation Acct. No. S-504-K
prior to FY 2014 appn is S-318

Intended Purpose: To assist the State in carrying out a national service program as authorized by the Domestic and Volunteer Service Act of 1973, as amended (42 U.S.C. Chapter 22).

Source of Revenues: Corporation for National & Community Service - Senior Companion Program

Current Program Activities/Allowable Expenses: Volunteer opportunities with stipends for low-income senior citizens age 60 or over to provide supportive services based on a care plan to service older adults with health-related problems in their own homes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	420,873	554,241	848,807	457,679	400,089	400,089	400,089
Beginning Cash Balance	7,180	33,777	86,186	84,337	21,592	0	0
Revenues	350,844	329,124	388,724	170,007	400,089	400,089	400,089
Expenditures	324,247	276,715	390,573	232,752	421,681	400,089	400,089
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	33,777	86,186	84,337	21,592	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	33,777	86,186	84,337	21,592	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 93-113 Title II Sec 211

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-509-K
prior to FY 2014 appn is S-221

Intended Purpose: To assist the State in carrying out a national service program as authorized by the Domestic and Volunteer Service Act of 1973, as amended (42 U.S.C. Chapter 22).

Source of Revenues: Corporation for National & Community Service - Foster Grandparent Program

Current Program Activities/Allowable Expenses: Provide part-time volunteer opportunities with stipends for low-income persons age 60 or over. To render supportive person-to-person services in health, education, welfare and related residential and other settings to children having exceptional needs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	456,768	574,486	601,799	508,848	456,781	456,781	456,781
Beginning Cash Balance	39,494	68,419	103,360	140,228	30,928	0	0
Revenues	401,545	439,396	443,906	241,831	756,781	456,781	456,781
Expenditures	372,620	404,455	407,038	351,131	787,709	456,781	456,781
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	68,419	103,360	140,228	30,928	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	68,419	103,360	140,228	30,928	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: Domestic Volunteer Service Act of 1973, (42 USC Chapter 22)

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-221-K

Intended Purpose: Account balance as of FY2013 was zero. In FY2014, S-221 is now under HMS 601.

Source of Revenues: Corporation for National & Community Service - Retired Senior & Volunteer Program

Current Program Activities/Allowable Expenses: To assist in carrying out a national service program as authorized by the Domestic Volunteer Service Act of 1973, as amended (42 USC, Chapter 22).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 16-579

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No.: S-221-K

Intended Purpose: Investigate reported incidents of financial exploitation and utilize skills of an investigator/auditor to evaluate financial documents/ records and to refer appropriate cases to law enforcement agencies for criminal prosecution. Account balance was zeroed out in FY 2012.

Source of Revenues: Subgrant from Dept of the Attorney General for the Financial Exploitation Program

Current Program Activities/Allowable Expenses: Case management activities to prevent further financial exploitation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 16-579

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-510-K
prior to FY 2014 appn is S-221

Intended Purpose: Case management for elderly victims of crime.

Source of Revenues: Subgrant from the Dept of the Attorney General for the Case Management for Elderly Victims of Crimes

Current Program Activities/Allowable Expenses: Improve services to elderly victims of abuse

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	217,905	296,056	57,180				
Beginning Cash Balance	40,095	39,325	57,833	67,919	67,919	67,919	67,919
Revenues	215,261	240,322	32,781	0			
Expenditures	216,031	221,814	22,695	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	39,325	57,833	67,919	67,919	67,919	67,919	67,919
Encumbrances	0	0	0				
Unencumbered Cash Balance	39,325	57,833	67,919	67,919	67,919	67,919	67,919

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Respite Companion Program
 Legal Authority: Older Americans Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): P
 Appropriation Acct. No. S-528-K
prior to FY 2014 appn is S-318

Intended Purpose: Foster economic self-sufficiency, provide career skills training, and promote useful part-time employment through community service assignment; assists unemployed, low-income seniors re-enter the workforce. Develop, implement, and evaluate successful or promising interventions, practices, and programs to prevent elder abuse, neglect, and exploitation, including adult protective service programs.

Source of Revenues: Subgrant from the State Department of Labor & Industrial Relations

Current Program Activities/Allowable Expenses: Limited personal care services to frail elderly clients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,844	286,511	349,094	280,376	190,129	190,129	190,129
Beginning Cash Balance	13,766	83,444	139,181	221,146	99,082	0	0
Revenues	180,855	203,222	201,786	15,218	190,129	190,129	190,129
Expenditures	111,177	147,485	119,821	137,282	289,211	190,129	190,129
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	83,444	139,181	221,146	99,082	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	83,444	139,181	221,146	99,082	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: APS Data Quality Improvement Project
 Legal Authority: Title XX of SSA, Subtitle B Sec 2042

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): P
 Appropriation Acct. No.: S-551-K

Intended Purpose: To initiate QA process to identify completion of conducted investigations, add new computer data to identify victim information and case processing, and participation with NAMRS.

Source of Revenues: DHHS ACL - AOA Elder Justice & Adult Protective Services APS Grants to States

Current Program Activities/Allowable Expenses: QA Process to identify completion of conducted investigations, add new computer data to identify victim information and case processing, and participation with NAMRS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	212,898	127,722	127,722	127,722
Beginning Cash Balance	0	0	0	0	235	0	0
Revenues	0	0	0	94,449	127,722	0	0
Expenditures	0	0	0	94,214	127,957	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	235	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	235	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 888
 Name of Fund: Commission on the Status of Women
 Legal Authority: Act 147, SLH 2005

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF) T
 Appropriation Acct. No. T-926-K

Intended Purpose: To receive private contributions to support women's health, educational, vocational and safety programs.

Source of Revenues: Donations

Current Program Activities/Allowable Expenses: Expenditures that support the programs for the Commission on the Status of Women and assist the commission on becoming more self-sufficient.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,364	3,364	3,364	3,364	3,364	3,364	3,364
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,364	3,364	3,364	3,364	3,364	3,364	3,364
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3,364	3,364	3,364	3,364	3,364	3,364	3,364

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 888
 Name of Fund: Paid Leave Analysis Grant
 Legal Authority: P.L. 113-128

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF) P
 Appropriation Acct. No. S-546-K

Intended Purpose: To conduct paid leave analysis and research in the State

Source of Revenues: U.S Department of Labor - Employment and Training Administration

Current Program Activities/Allowable Expenses: Grant projects include: Economic analysis and paid leave benefit modeling; a feasibility and implementation study; public polling; and focus groups

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			240,000	126,819	16,569		
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	113,181	110,250	0	0	0
Expenditures	0	0	113,181	110,250	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-244-K

Intended Purpose:
 Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care, Adoption Assistance & Guardianship Assistance Programs)

Current Program Activities/Allowable Expenses: Administration of programs for foster care of needy and dependent children, adoption of children with special special needs and guardianship assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,570,539	3,330,530	4,157,868	2,941,687	1,975,154	1,975,154	1,975,154
Beginning Cash Balance		0	0	0	0	0	0
Revenues	1,444,339	650,838	1,214,390	365,504	365,504	365,504	365,504
Expenditures	1,444,339	650,838	1,214,390	365,504	365,504	365,504	365,504
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-513-K
 FY appro no. S-244-K

Intended Purpose:
 Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Administration of programs for foster care of needy and dependent children, adoption of children with special special needs and guardianship assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	56,000	89,810	90,751	75,609	64,685	64,685	64,685
Beginning Cash Balance		0	0	0	0	0	0
Revenues	33,055	42,995	48,988	23,872	23,872	23,872	23,872
Expenditures	33,055	42,995	48,988	23,872	23,872	23,872	23,872
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-514-K
 FY appro no. S-244-K

Intended Purpose:

Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Programs)

Current Program Activities/Allowable Expenses: Administration of programs for guardianship of needy and dependent children.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,567	30,733	27,907	28,570	80,000	80,000	80,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues	21,800	20,749	20,994	8,059	8,059	8,059	8,059
Expenditures	21,800	20,749	20,994	8,059	8,059	8,059	8,059
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Myles Higa
 Phone: 586-5764
 Fund type (MOF) N
 Appropriation Acct. No. S-515
 FY appro no. S-244

Intended Purpose:
 Determination and redetermination of a child's eligibility for the Hawaii Medquest Plan.

Source of Revenues: Title XIX (CMS)

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,000	30,021	34,144	29,373	22,059	22,059	22,059
Beginning Cash Balance		0	0	0	0	0	0
Revenues	15,261	8,566	6,819	11,076	11,076	11,076	11,076
Expenditures	15,261	8,566	6,819	11,076	11,076	11,076	11,076
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (1 of 11)
 SFY14 Apprn acct. No. S-XX-530-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of those programs.

Source of Revenues: DHHS, ACF Tanf Title IV-A

Current Program Activities/Allowable Expenses: Administrative support to public welfare programs, including program development, training, investigative and recovery services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,466,729	5,342,127	5,686,040	4,502,032	2,821,525	2,821,525	2,821,525
Beginning Cash Balance	0	0	62,271	0	0	0	0
Revenues	4,996,219	2,939,880	3,530,157	1,908,238	1,908,238	1,908,238	1,908,238
Expenditures	4,996,219	2,877,609	3,592,428	1,908,238	1,908,238	1,908,238	1,908,238
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	62,271	0	0	0	0	0
Encumbrances	413,686	674,119	275,183	349,001	0	0	0
Unencumbered Cash Balance	(413,686)	(611,848)	(275,183)	(349,001)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Supplemental Nutrition Assistance Program
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-227-K (2 of 11)
 SFY14 Apprn acct. No.: S-XX-227-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Determines eligibility for food stamps assistance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,784,515	37,530,244	36,411,919	8,455,265	8,975,170	8,975,170	8,975,170
Beginning Cash Balance	278	0	0	0	3,104,689	(0)	(0)
Revenues	6,144,967	3,954,462	9,950,060	6,927,599	3,822,910	6,927,599	6,927,599
Expenditures	6,145,245	3,954,462	9,950,060	3,822,910	6,927,599	6,927,599	6,927,599
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	3,104,689	(0)	(0)	(0)
Encumbrances	613,065	1,338,836	1,351,054	1,335,112	0	0	0
Unencumbered Cash Balance	(613,065)	(1,338,836)	(1,351,054)	1,769,576	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Medical Assistance Program
 Legal Authority: Sec. 1102, 49 Stat. 647 (42 U.S.C 1302)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (3 of 11)
 SFY14 Apprn acct. No. S-XX-518-K

Intended Purpose: Provides financial assistance to states for payments of medical assistance on behalf of cash assistance recipients and other medically needy who, except for income and resources, are categorically eligible.

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance for payment of medical assistance on behalf of cash assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	323,145	482,854	498,658	34,419	250,000	250,000	250,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	21,297	11,004	2,554	46	10,000	10,000	10,000
Expenditures	21,297	11,004	2,554	46	10,000	10,000	10,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (4 of 11)
 SFY14 Apprn acct. No. S-XX-529-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	81,546,988	151,822,753	175,043,173	105,858,005	105,858,005	105,858,005	105,858,005
Beginning Cash Balance	24,684	8,375	37,074	792,859	691,193	691,193	691,193
Revenues	10,244,943	11,541,665	22,341,886	17,967,817	17,967,000	17,967,000	17,967,000
Expenditures	10,261,253	11,512,966	21,586,101	18,069,483	17,967,000	17,967,000	17,967,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,375	37,074	792,859	691,193	691,193	691,193	691,193
Encumbrances	9,836,890	6,709,014	6,988,800	15,177,950			
Unencumbered Cash Balance	(9,828,515)	(6,671,940)	(6,195,941)	(14,486,757)	691,193	691,193	691,193

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-227-K (5 of 11)
 SFY14 Apprn acct. No.: S-XX-516-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	684,615	813,135	787,869	667,848	667,848	667,848	667,848
Beginning Cash Balance	0	0	0	94	94	94	94
Revenues	140,917	62,687	287,293	63,203	63,203	63,203	63,203
Expenditures	140,917	62,687	287,199	63,203	63,203	63,203	63,203
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	94	94	94	94	94
Encumbrances	19,968	33,732	38,059	34,748			
Unencumbered Cash Balance	(19,968)	(33,732)	(37,965)	(34,654)	94	94	94

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-227-K (6 of 11)
 SFY14 Apprn acct. No.: S-XX-519-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program

Current Program Activities/Allowable Expenses: Provides funds for Low Income Home Energy Assistance Program (LIHEAP) payments.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,086,895	1,524,267	1,375,244	760,852	596,068	596,068	596,068
Beginning Cash Balance	0	0	0	0	15,420	(0)	(0)
Revenues	388,308	423,023	686,991	662,496	647,076	662,496	662,496
Expenditures	388,308	423,023	686,991	647,076	662,496	662,496	662,496
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	15,420	(0)	(0)	(0)
Encumbrances	324,114	22,443	138,866	25,578	0	0	0
Unencumbered Cash Balance	(324,114)	(22,443)	(138,866)	(10,158)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99 - 198

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (7 of 11)
 SFY14 Apprn acct. No. S-XX-517-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: USDA - FNS, SNAP Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	154,437	168,312	39,316	103,044	91,100	91,100	91,100
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	25,391	18,880	22,081	92	30,000	30,000	30,000
Expenditures	25,391	18,880	22,081	92	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	82	72	92	24,341	0	0	0
Unencumbered Cash Balance	(82)	(72)	(92)	(24,341)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (8 of 11)
 SFY14 Apprn acct. No. S-XX-531-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of those programs.

Source of Revenues: DHHS, ACF Tanf Title IV-A

Current Program Activities/Allowable Expenses: Administrative support to public welfare programs, including program development, training, investigative and recovery services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	614,771	698,550	615,264	589,210	311,288	311,288	311,288
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	626,515	29,158	38,483	32,947	32,947	32,947	32,947
Expenditures	626,515	29,158	38,483	32,947	32,947	32,947	32,947
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	19,671	31,801	35,751	16,607	0	0	0
Unencumbered Cash Balance	(19,671)	(31,801)	(35,751)	(16,606)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: SNAP - Obesity Prevention
 Legal Authority: P.L. 111 - 296

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-520-K (9 of 11)

Intended Purpose: To improve the likelihood that persons eligible for SNAP will make healthy food choices within a limited budget and choose physically self-sufficiency via an array of community - based programs & services. in addition to nutrition education.

Source of Revenues: USDA - FNS, SNAP

Current Program Activities/Allowable Expenses: Develop a nutrition education program for Hawaii's low-income households to improve making healthy food choices and choosing physically active lifestyles.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,192,073	1,190,888	1,642,640	1,888,021	988,000	988,000	988,000
Beginning Cash Balance	0	0	1,409	0	0	0	0
Revenues	541,699	672,006	1,149,860	654,091	988,000	988,000	988,000
Expenditures	541,699	670,597	1,151,269	654,091	988,000	988,000	988,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,409	0	0	0	0	0
Encumbrances	785,962	238,050	278,605	1,096,024			
Unencumbered Cash Balance	(785,962)	(236,640)	(278,605)	(1,096,024)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Refugee Resettlement Program
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-227-K (10 of 11)
 SFY14 Apprn acct. No. S-XX-228-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic self-sufficiency via an array of community - based programs & services.

Source of Revenues: DHHS - Administration for Children and Families, Refugee Resettlement Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000	3,000	3,992	3,456	3,000	3,000	3,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	514	25	104	102	1,000	1,000	1,000
Expenditures	514	25	104	102	1,000	1,000	1,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-227-K (11 of 11)
 SFY14 Apprn acct. No.: S-XX-532-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,453,785	1,750,256	1,889,441	2,132,358	2,132,358	2,132,358	2,132,358
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	489,858	438,631	898,526	382,415	382,415	382,415	382,415
Expenditures	489,858	438,631	898,526	382,415	382,415	382,415	382,415
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	1,875	12,480	13,100	12,531			
Unencumbered Cash Balance	(1,875)	(12,480)	(13,100)	(12,531)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (1 of 11)
 SFY14 Apprn Acct. No. S-XX-534-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of these program.

Source of Revenues: DHHS, ACF Tanf Title IV-A.

Current Program Activities/Allowable Expenses: Administrative support for the Aid to Families with Dependent Children.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	322,152	532,854	471,928	495,680	319,068	319,068	319,068
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	190,598	186,257	224,699	217,579	217,579	217,579	217,579
Expenditures	190,598	186,257	224,699	217,579	217,579	217,579	217,579
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Supplemental Nutrition Assistance Program
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (2 of 11)
 SFY14 Apprn Acct. No. S-XX-291-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the issuance of food stamps.

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Administrative support to the Supplemental Nutrition Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,581,039	2,800,205	2,109,233	1,957,903	1,695,974	1,695,974	1,695,974
Beginning Cash Balance	0	(0)	(0)	(0)	(0)	(0)	(0)
Revenues	1,214,536	932,814	1,300,304	1,410,527	1,410,527	1,410,527	1,410,527
Expenditures	1,214,536	932,814	1,300,304	1,410,527	1,410,527	1,410,527	1,410,527
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(0)	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(0)	(0)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Medical Assistance Program
 Legal Authority: Sec. 1102, 49 Stat. 647 (42 U.S.C 1302)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (3 of 11)
 SFY14 Apprn Acct. No. S-XX-523-K

Intended Purpose: Provides financial assistance to states for payments of medical assistance on behalf of cash assistance recipients and other medically needy who, except for income and resources, are categorically eligible.

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	410,000	718,221	1,693,826	1,413,843	504,435	504,435	504,435
Beginning Cash Balance	0	0	0	(0)	(0)	(0)	(0)
Revenues	166,285	270,174	214,036	290,102	290,102	290,102	290,102
Expenditures	166,285	270,174	214,036	290,102	290,102	290,102	290,102
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	180,000	0	0	0	0
Unencumbered Cash Balance	0	0	(180,000)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (4 of 11)
 SFY14 Apprn Acct. No. S-XX-524-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program.

Current Program Activities/Allowable Expenses: Administrative support to the Low Income Home Energy Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	19,998	40,921	23,731	24,339	9,238	9,238	9,238
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	571	965	7,298	1,823	1,823	1,823	1,823
Expenditures	571	965	7,298	1,823	1,823	1,823	1,823
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99-198

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (5 of 11)
 SFY14 Apprn Acct. No. S-XX-522-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: USDA - FNS, SNAP Employment and Training.

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,173	42,482	12,139	28,257	13,354	13,354	13,354
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	3,609	5,129	4,831	6,946	6,946	6,946	6,946
Expenditures	3,609	5,129	4,831	6,946	6,946	6,946	6,946
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-291-K (6 of 11)
 SFY14 Apprn Acct. No. S-XX-533-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	127,895	195,586	158,047	221,236	157,303	157,303	157,303
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	43,777	36,544	48,056	80,709	80,709	80,709	80,709
Expenditures	43,777	36,544	48,056	80,709	80,709	80,709	80,709
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-291-K (7 of 11)
 SFY14 Apprn Acct. No.: S-XX-521-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	62,708	116,991	74,993	111,118	81,173	81,173	81,173
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	17,366	20,345	25,261	49,308	49,308	49,308	49,308
Expenditures	17,366	20,345	25,261	49,308	49,308	49,308	49,308
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Refugee Resettlement Program
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (8 of 11)
 SFY14 Apprn Acct. No. S-XX-235-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic

Source of Revenues: DHHS - Administration for Children and Families, Refugee Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500	1,500	1,500	1,500	1,500	1,500	1,500
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	500	0	0	0	500	500	500
Expenditures	500	0	0	0	500	500	500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVE, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (9 of 11)
For fy 14 new Appro S-14-525

Intended Purpose: Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance).

Current Program Activities/Allowable Expenses: Administration of programs for adoption assistance on needy and dependent children and adoption of children with special needs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,400	15,945	17,808	9,974	12,000	12,000	12,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,765	6,599	4,980	1,560	1,560	1,560	1,560
Expenditures	2,765	6,599	4,980	1,560	1,560	1,560	1,560
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVE, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (10 of 11)
For fy 14 new Appro S-14-526

Intended Purpose: Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care).

Current Program Activities/Allowable Expenses: Administration of programs for foster care on needy and dependent children.
and recovery services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to continue for future years

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	63,911	115,789	116,896	78,738	83,000	83,000	83,000
Beginning Cash Balance	0	0	0	(0)	(0)	(0)	(0)
Revenues	51,591	61,128	47,725	26,160	26,160	26,160	26,160
Expenditures	51,591	61,128	47,725	26,160	26,160	26,160	26,160
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVE, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (11 of 11)
For fy 14 new Appro S-14-527

Intended Purpose: Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance).

Current Program Activities/Allowable Expenses: Administration of programs for guardianship assistance on needy and dependent children.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,050	4,017	3,932	2,043	4,500	4,500	4,500
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	852	2,718	2,007	472	472	472	472
Expenditures	852	2,718	2,007	472	472	472	472
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Dev/Implementation of Hsg First Pilot Pgm
 Legal Authority: Act 191, SLH 2010

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): B
 Appropriation Acct. No.: S-11-338-K

Intended Purpose: Helping the chronically homeless from the streets and shelters into housing.

Source of Revenues: State funds.

Current Program Activities/Allowable Expenses: Develop and implement a housing first pilot program through collaboration between the Hawaii Public Housing Authority and the Department of Human Services for chronically homeless individuals and to provide housing and treatment services for the chronically homeless individuals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balance of the funds (\$25,686) returned in SFY 2016.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	25,686	25,686	0	0	0	0	0
Revenues	0	(25,686)	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25,686	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	25,686	0	0	0	0	0	0

Funds returned to B&F in SFY 2016 (Oct. 2015)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Shelter Plus Care Program
 Legal Authority: Act 191, SLH 2010

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): B
 Appropriation Acct. No. S-11-356-K

Intended Purpose: Grants for rental assistance, in combination with supportive services from other sources to assist hard-to-serve homeless persons with disabilities.

Source of Revenues: General funds.

Current Program Activities/Allowable Expenses: Provide rental assistance for homeless people with disabilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balance of the funds (\$6,646) returned in SFY 2016.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,646	6,646	0	0	0	0	0
Revenues	0	(6,646)	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,646	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	6,646	0	0	0	0	0	0

Funds returned to B&F in Oct. 2015

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 238
 Name of Fund: Disability Determination
 Legal Authority: Social Security Act, Title II 1954 and Title XVI 1972

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-238-K

Intended Purpose:

To determine the eligibility of applicants for disability insurance and supplemental security income by establishing whether or not an applicant is totally disabled for a period of not less than 12 consecutive months for substantial employment.

Source of Revenues:

Social Security Administration Disability Programs

Current Program Activities/Allowable Expenses:

In addition to determining eligibility for benefits, the program refers appropriate clients for vocational rehabilitation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,325,287	11,929,977	10,052,483	9,774,551	9,774,551	9,774,551	9,774,551
Beginning Cash Balance	37,167	15,936	1,502	91,106	91,091	0	0
Revenues	6,295,247	6,523,547	6,583,420	6,843,924	6,655,000	6,655,000	6,655,000
Expenditures	6,316,478	6,537,981	6,493,816	6,843,939	6,746,091	6,655,000	6,655,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	15,936	1,502	91,106	91,091	0	0	0
Encumbrances	511,943	429,639	549,395	483,275			
Unencumbered Cash Balance	(496,007)	(428,137)	(458,289)	(392,184)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Independent Living Sevices for Older Blind Individuals
 Legal Authority: Rehabilitation Act of 1973

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-294-K)
 (1 of 7)

Intended Purpose:

Provide services to older blind individuals to help them attain their goals of living independently

Source of Revenues:

U.S. Department of Education Grant - Independent Living Services for Older Individuals who are Blind

Current Program Activities/Allowable Expenses:

Provide independent living skills training in money management, travel, meal preparation, self care, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	225,000	394,868	431,009	414,313	414,313	414,313	414,313
Beginning Cash Balance	93,920	39,192	22,707	26,951	26,913	0	0
Revenues	64,873	68,430	155,514	300,513	225,000	225,000	225,000
Expenditures	119,601	84,915	151,270	300,551	251,913	225,000	225,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	39,192	22,707	26,951	26,913	0	0	0
Encumbrances							
Unencumbered Cash Balance	39,192	22,707	26,951	26,913	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Independent Living Sevices for Older Blind Individuals
 Legal Authority: Rehabilitation Act of 1973

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-297-K)
 (2 of 7)

Intended Purpose:

Supportive technology system for persons with disabilities

Source of Revenues:

U.S. Department of Health and Human Services Grant - State Grants for Assistive Technology

Current Program Activities/Allowable Expenses:

Development of a statewide model system to deliver technology-related services and devices to person with disabilities

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	439,901	582,275	522,185	1,049,910	1,049,910	1,049,910	1,049,910
Beginning Cash Balance	1	81,047	0	0	7,678	0	0
Revenues	505,486	428,787	371,007	386,572	438,000	438,000	438,000
Expenditures	424,440	509,834	371,007	378,894	445,678	438,000	438,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	81,047	0	0	7,678	0	0	0
Encumbrances							
Unencumbered Cash Balance	81,047	0	0	7,678	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Social Security Program Income
 Legal Authority: P.L. 97-35 OBRA 1981

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-241-K, S-282-K
 (3 of 7)

Intended Purpose:

Reimbursement funds are received from the Social Security Administration for rehabilitating Social Security clients

Source of Revenues:

Social Security Administration

Current Program Activities/Allowable Expenses:

The current activities include: 1) the processing of applications, 2) the development of rehabilitation plans, 3) placement of individuals in rehabilitation programs and 4) placement of individuals in jobs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,358,372	14,569,009	14,569,009	14,715,518	14,715,518	14,715,518	14,715,518
Beginning Cash Balance	27,368	32,492	160,302	4,421	364,143	364,143	364,143
Revenues	32,492	286,977	149,830	363,990			
Expenditures	27,368	159,167	305,711	4,268			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	32,492	160,302	4,421	364,143	364,143	364,143	364,143
Encumbrances							
Unencumbered Cash Balance	32,492	160,302	4,421	364,143	364,143	364,143	364,143

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Supported Employment
 Legal Authority: P.L. 99-506

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-295-K)
 (4 of 7)

Intended Purpose:

Provide services to individuals with severe disabilities in attaining job opportunities and employment in the private sector, which would not normally have been offered to them.

Source of Revenues:

U.S. Department of Education Grant - Supported Employment services for individuals with severe disabilities.

Current Program Activities/Allowable Expenses:

Place and train persons with the most severe disabilities to enable them to maintain competitive employment in integrated settings

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	361,400	553,887	450,000	450,000	450,000	450,000
Beginning Cash Balance	0	0	0	0	7,200	0	0
Revenues	290,100	407,513	253,887	131,300	300,000	300,000	300,000
Expenditures	290,100	407,513	253,887	124,100	307,200	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	7,200	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	7,200	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Independent Living
 Legal Authority: P.L. 99-506

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-293-K)
 (5 of 7)

Intended Purpose:

Provide services to severely disabled persons so they may attain their goal of functioning independently outside of institutions.

Source of Revenues:

U.S. Department of Health and Human Services Grant - Independent Living - State Grants

Current Program Activities/Allowable Expenses:

1. Process applications for services; 2. Assess needs; 3. Develop service plan; 4. Provide services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	305,350	385,350	471,577	518,797	518,797	518,797	518,797
Beginning Cash Balance	0	52,346	0	0	8,412	0	0
Revenues	175,654	166,776	310,384	278,656	312,000	312,000	312,000
Expenditures	123,308	219,122	310,384	270,244	320,412	312,000	312,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	52,346	0	0	8,412	0	0	0
Encumbrances							
Unencumbered Cash Balance	52,346	0	0	8,412	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Training (Grant closed 09/30/15)
 Legal Authority: P.L. 93-112

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-298-K)
 (6 of 7)

Intended Purpose:

To increase the number of personnel trained in providing vocational rehabilitation services to handicapped individuals.

Source of Revenues:

U.S. Department of Education Grant - Rehabilitation training - State Vocational Rehabilitation Unit In-Service Training

Current Program Activities/Allowable Expenses:

Plan for and provide training to improve the program's capacity to meet the employment needs of persons with disabilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,883	11,216	2,053	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	18,994	9,163	0	0	0	0	0
Expenditures	18,994	9,163	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Basic Support
 Legal Authority: P.L. 95-602

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K
 (7 of 7)

Intended Purpose:

Provide vocational rehabilitation services to persons with mental and physical handicaps to achieve gainful employment.

Source of Revenues:

U.S. Department of Education Grant - Rehabilitation services - Vocational Rehabilitation grants to States

Current Program Activities/Allowable Expenses:

The current activities include: 1) the processing of applications, 2) the development of rehabilitation plans, 3) placement of individuals in rehabilitation programs and 4) placement of individuals in jobs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,358,372	34,072,377	26,678,824	18,662,397	18,662,397	18,662,397	18,662,397
Beginning Cash Balance	1,668,987	2,710,525	2,289,901	2,571,092	2,641,202	0	0
Revenues	13,694,472	13,900,558	16,128,198	11,709,001	12,700,000	12,700,000	12,700,000
Expenditures	12,652,934	14,321,182	15,847,007	11,638,891	15,341,202	12,700,000	12,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,710,525	2,289,901	2,571,092	2,641,202	0	0	0
Encumbrances	400,948	47,344		24,527			
Unencumbered Cash Balance	2,309,577	2,242,557	2,571,092	2,616,675	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Blind Shop Revolving & Handicraft Fund
 Legal Authority: HRS 347-12 (Abolished by Act 042, SLH 2016)

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF): W
 Appropriation Acct. No.: S-301-K

Intended Purpose:

The fund is to be used for workshop or home labor purposes for the blind who will benefit from such experience. Monies in the fund may be expended for materials, machinery, and payment of compensation. All proceeds derived from the sale of products or home labor shall be deposited in the fund.

Source of Revenues:

Sale of products or home labor.

Current Program Activities/Allowable Expenses:

The program operates the Ho'opono workshop for the blind. Participants make or package items for resale to fund the operation of the workshop.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,330,200	1,330,200	71,330				
Beginning Cash Balance	71,330	71,330	71,330	0	0	0	0
Revenues							
Expenditures			71,330				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	71,330	71,330	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	71,330	71,330	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Act 232/94 HRS 346-7.5

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): B
 Appropriation Acct. No.: S-314-K

Intended Purpose: This is the Spouse and Child Abuse Special Account - SCASA; for staff programs and grants or purchase of services tha support or provide spouse or child abuse intervention or prevention as authorized by law. Proceeds are from the fees collected by the Vital Records of the Department of Health.

Source of Revenues: DOH - fees remitted for issuance of marriage license and fees remitted for certified copies of birth, marriage and death certificates. (Spouse and Child Abuse)

Current Program Activities/Allowable Expenses: Funding used for services that support or provide spouse or child abuse intervention or prevention

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,007,587	1,007,587	1,087,587	1,009,571	1,007,587	1,007,587	1,007,587
Beginning Cash Balance	760,853	1,138,549	413,536	162,060	2,152	0	0
Revenues	491,138	412,103	595,015	418,209	846,191	846,191	846,191
Expenditures	113,442	1,137,116	846,491	578,117	848,343	846,191	846,191
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,138,549	413,536	162,060	2,152	0	0	0
Encumbrances		80,000	1,984	191			
Unencumbered Cash Balance	1,138,549	333,536	160,076	1,961	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Randolph Sheppard Account (Blind Vendors)
 Legal Authority: Act 070/91

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) W
 Appropriation Acct. No. S-350-K

Intended Purpose:

Income from vending machines on federal property to be disbursed to blind vendors and for upgrading vending facility program.

Source of Revenues:

Income from vending machines on Federal property.

Current Program Activities/Allowable Expenses:

Assist blind vendors and promote vending machine sites in government buildings.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,330,200	1,330,232	1,330,200	1,330,200	1,330,200	1,330,200	1,330,200
Beginning Cash Balance	1,245,030	1,271,017	1,252,303	1,312,701	1,629,868	1,629,868	1,629,868
Revenues	742,395	625,224	777,429	895,792	240,000	240,000	240,000
Expenditures	716,408	643,938	717,031	578,625	240,000	240,000	240,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,271,017	1,252,303	1,312,701	1,629,868	1,629,868	1,629,868	1,629,868
Encumbrances							
Unencumbered Cash Balance	1,271,017	1,252,303	1,312,701	1,629,868	1,629,868	1,629,868	1,629,868

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Special Deposits
 Legal Authority: Administratively established

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) T
 Appropriation Acct. No. T-903-K

Intended Purpose: To hold Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) in trust for foster children until they reach the age of majority.

Source of Revenues: Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) from the Social Security Administration on behalf of children in foster care.

Current Program Activities/Allowable Expenses: Cost of maintenance of foster children in out-of home care under the placement responsibility of DHS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	363,351	393,479	414,732	440,686	404,612	414,927	425,242
Revenues	82,058	42,988	26,454	9,910	40,352	40,352	40,352
Expenditures	51,930	21,735	500	45,984	30,037	30,037	30,037
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	393,479	414,732	440,686	404,612	414,927	425,242	435,557
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	393,479	414,732	440,686	404,612	414,927	425,242	435,557

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: SSI Dedicated Funds
 Legal Authority: Administratively Established

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) T
 Appropriation Acct. No. T-905-K

Intended Purpose: To hold Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) in trust for foster children until they reach the age of majority.
 Source of Revenues: Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) from the Social Security Administration on behalf of children in foster care.
 Current Program Activities/Allowable Expenses: Cost of maintenance of foster children in out-of-home care under the placement responsibility of DHS. Approval has to be received by the Social Security Administration before any disbursements are made.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	10,606	10,606	10,606	10,606	10,606	10,606	10,606
Revenues	0	0	8,994	0	2,248	2,248	2,248
Expenditures	0	0	8,994	0	2,248	2,248	2,248
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,606	10,606	10,606	10,606	10,606	10,606	10,606
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	10,606	10,606	10,606	10,606	10,606	10,606	10,606

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Donations for Sight Conservation
 Legal Authority: HRS 347-10

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) T
 Appropriation Acct. No. T-908-K

Intended Purpose:

Donation account for sight conservation.

Source of Revenues:

Donations.

Current Program Activities/Allowable Expenses:

Expend or distribute donations, eye glasses and other services for sight conservation and for assistance to blind and visually handicapped persons.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	69,680	74,635	373,641	375,384	388,285	388,285	388,285
Revenues	16,535	300,806	6,743	19,326	5,000	5,000	5,000
Expenditures	11,580	1,800	5,000	6,425	5,000	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	74,635	373,641	375,384	388,285	388,285	388,285	388,285
Encumbrances							
Unencumbered Cash Balance	74,635	373,641	375,384	388,285	388,285	388,285	388,285

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Recruitment of Foster Parents (Respite Companion Svc Prog Acct)
 Legal Authority: Section 346-14 & 346-56, HRS

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): T
 Appropriation Acct. No.: T-915-K

Intended Purpose: The grant was from PY05-SCSEP-CC-DHS and the account balance of \$6,110 was returned to DOL as of FY2017.

Source of Revenues: Financed by federal funds from the U.S. Department of Labor

Current Program Activities/Allowable Expenses: This was established to pay part time respite companions their accumulated vacation credits in the event that this grant is discontinued.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	6,110	6,110	6,110	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	6,110	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,110	6,110	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	6,110	6,110	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Donations for Social Services
 Legal Authority: Administratively established

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) T
 Appropriation Acct. No. T-918-K

Intended Purpose: Established as a holding account for private donations from various sources.

Source of Revenues: Donations.

Current Program Activities/Allowable Expenses: Funds are disbursed to meet the acute emergency needs of clients who need immediate cash to purchase food or other goods or services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	557,078	763,553	907,624	1,048,030	954,864	954,864	954,864
Revenues	339,000	411,843	444,753	434,743	434,743	434,743	434,743
Expenditures	132,525	267,772	304,347	527,909	434,743	434,743	434,743
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	763,553	907,624	1,048,030	954,864	954,864	954,864	954,864
Encumbrances	81,972	0	0	0			
Unencumbered Cash Balance	681,581	907,624	1,048,030	954,864	954,864	954,864	954,864

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Temporary Deposits-Payroll Overpayments
 Legal Authority: Administratively Established

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) T
 Appropriation Acct. No. T-922-K

Intended Purpose: Temporary holding account for payroll overpayments.

Source of Revenues: Reimbursements from staff who were overpaid.

Current Program Activities/Allowable Expenses: When all overpayments have been received from an employee, the funds are reimbursed to the account from which the overpayment occurred.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	43,911	54,616	49,801	54,071	58,865	62,603	66,341
Revenues	39,302	22,206	18,602	15,415	23,881	23,881	23,881
Expenditures	28,597	27,021	14,332	10,621	20,143	20,143	20,143
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	54,616	49,801	54,071	58,865	62,603	66,341	70,079
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	54,616	49,801	54,071	58,865	62,603	66,341	70,079

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 211
 Name of Fund: Electronic Benefit Transfer (EBT) Account
 Legal Authority: N/A

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): T
 Appropriation Acct. No.: T-XX-923-K

Intended Purpose: This trust account was established to accommodate the Electronic Benefit Transfer (EBT) transactions. Funds are transferred into this account when financial benefits are authorized to the Department's clients and are then transferred daily to the DHS' contractor who issues the actual benefits to the clients when they access their accounts electronically.

Source of Revenues: DHHS - ACF, TANF Grant for TANF Cash Assistance (AF-F). The general fund is the source of revenue for the TAONF (AF-State). Amounts represent combined federal and general funds. The federal portion of TANF Cash Assistance (AF-F) is included in Appropriation Account S-XX-201-K.

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	622,098	614,095	595,698	10,845,514	4,920,094	4,920,094	4,920,094
Revenues	81,529,425	73,148,928	66,522,572	62,628,499	62,600,000	62,600,000	62,600,000
Expenditures	81,537,428	73,167,325	56,272,756	68,553,919	62,600,000	62,600,000	62,600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	614,095	595,698	10,845,514	4,920,094	4,920,094	4,920,094	4,920,094
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	614,095	595,698	10,845,514	4,920,094	4,920,094	4,920,094	4,920,094

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Foster Grandparent Program Account
 Legal Authority: Bretzlaff Foundation Endowment

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) T
 Appropriation Acct. No. T-924-K

Intended Purpose: Private donations. Provide services related to foster grandparents including recruitment.

Source of Revenues: Bretzlaff Endowment Foundation through the Hawaii Justice Foundation

Current Program Activities/Allowable Expenses: Monies used to increase the number of foster grandparents and to defray related expenses purchase food or other goods or services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	27,565	36,554	36,097	34,088	43,148	0	0
Revenues	16,740	9,250	9,080	9,060	11,089	11,089	11,089
Expenditures	7,751	9,707	11,089	0	54,237	11,089	11,089
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	36,554	36,097	34,088	43,148	0	0	0
Encumbrances	292	0	0	0			
Unencumbered Cash Balance	36,262	36,097	34,088	43,148	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HMS
 Prog ID(s): HMS 211, 237, 305 and 903
 Name of Fund: HANA Electronic Benefit Transfer (EBT) Account
 Legal Authority: N/A

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): T
 Appropriation Acct. No.: T-XX-925-K

Intended Purpose: This trust account was established to accommodate the Electronic Benefit Transfer (EBT) transactions. Funds are transferred into this account when financial benefits are authorized to the Department's clients and are then transferred daily to the DHS' contractor who issues the actual benefits to the clients when they access their accounts electronically.

Source of Revenues: Amounts represent combined federal and general funds. The federal portion of HMS 211 (Temporary Assistance for Needy Families) is funded by DHHS - ACF, TANF Grant and is included in Appropriation Account S-XX-201-K. HMS 237 (Supplemental Nutrition Assistance Program) is funded by the US Department of Agriculture - Food & Nutrition Service and is included in Appropriation Account S-XX-206-K. HMS 305 (Child Care Subsidy Program) is funded by DHHS - ACF, CCDF Grant and is included in Appropriation Account S-XX-225-K. HMS 903 (TANF - FTW Program) is funded by DHHS - ACF, TANF Grant and is included in Appropriation Account S-XX-227-K.

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	44,225	57,749	72,723	3,160,755	1,706,593	1,706,593	1,706,593
Revenues	33,038,675	28,503,471	27,296,844	25,347,554	25,300,000	25,300,000	25,300,000
Expenditures	33,025,151	28,488,497	24,208,812	26,801,716	25,300,000	25,300,000	25,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	57,749	72,723	3,160,755	1,706,593	1,706,593	1,706,593	1,706,593
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	57,749	72,723	3,160,755	1,706,593	1,706,593	1,706,593	1,706,593

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HRD
 Prog ID(s): HRD 102
 Name of Fund: Human Resources Development Special Fund
 Legal Authority: Act 123, SLH 2001

Contact Name: Mary Ann Teshima
 Phone: 587-1150
 Fund type (MOF) B
 Appropriation Acct. No. S-19-310-P

Intended Purpose:

The purpose of this fund is to support and facilitate the Department's entrepreneurial initiatives, training activities, and programs for government employees.

Source of Revenues:

Fees assessed for services provided under entrepreneurial initiatives; participant fees for in-service training programs; and moneys directed to the Department from other sources such as gifts, grants, and awards.

Current Program Activities/Allowable Expenses:

The fund covers expenses related to entrepreneurial activities such as providing workers compensation claims management and premium conversion plan administration services to other agencies; developing and conducting employee training programs; and administrative costs associated with special funds.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variations: The variations are due to several factors: (a) delay in the receipt of administrative and training fees, (b) less than projected use of REACH counseling services, and (c) need to find new solution for the digital document management system.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	700,000	700,000	700,000	700,000	700,000	700,000
Beginning Cash Balance	1,374,643	1,391,850	1,623,933	1,790,190	1,875,010	1,901,999	1,698,044
Revenues	207,568	323,736	229,756	141,930	212,479	68,355	68,355
Expenditures	190,361	91,653	63,499	57,110	185,490	272,310	272,310
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,391,850	1,623,933	1,790,190	1,875,010	1,901,999	1,698,044	1,494,089
Encumbrances	19,437						
Unencumbered Cash Balance	1,372,413	1,623,933	1,790,190	1,875,010	1,901,999	1,698,044	1,494,089

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HRD
 Prog ID(s): _____
 Name of Fund: State Deferred Compensation Plan Trust Fund
 Legal Authority: Section 88E-9, HRS

Contact Name: Cynthia Akiyoshi
 Phone: 587-1063
 Fund type (MOF) T
 Appropriation Acct. No. T-19-999-P

Intended Purpose: The Deferred Compensation Plan, an eligible plan under section 457 of the U.S. Internal Revenue Code, is a voluntary tax-deferred supplemental retirement savings plan. The State Deferred Compensation Plan Trust Fund was established in 1983 to separately hold employee contributions and any investment earnings for the exclusive benefit of the participants and their beneficiaries. The Plan is open to all employees who are members of the State's Employees' Retirement System and employed by either the State, County of Hawaii, County of Maui, or County of Kauai.

Source of Revenues: Participant contributions and changes in the value of the Plan's investment product options.

Current Program Activities/Allowable Expenses: The fund supports all of the Plan's expenses: daily administration and marketing costs, third-party administrator fees, custodial fees, investment consultant fees, investment product fees; and distributions taken by participants and their designated beneficiaries.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,558,179,101	1,558,179,101	1,558,179,101	1,701,779,515	2,046,583,188	#VALUE!	#VALUE!
Revenues	0	0	317,260,218	594,328,581	not available	not available	not available
Expenditures	0	0	173,659,804	249,524,908	not available	not available	not available
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,558,179,101	1,558,179,101	1,701,779,515	2,046,583,188	#VALUE!	#VALUE!	#VALUE!
Encumbrances							
Unencumbered Cash Balance	1,558,179,101	1,558,179,101	1,701,779,515	2,046,583,188	#VALUE!	#VALUE!	#VALUE!

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HRD
 Prog ID(s): _____
 Name of Fund: State Deferred Compensation Plan for Part-time,
 Temporary, Seasonal/Casual Employees Trust Fund
 Legal Authority: Section 88F-7, HRS

Contact Name: Cynthia Akiyoshi
 Phone: 587-1063
 Fund type (MOF) T
 Appropriation Acct. No. T-19-995-P

Intended Purpose: The Deferred Compensation Plan for Part-time, Temporary, and Seasonal/Casual Employees ("PTS Plan"), is an eligible deferred compensation plan under Sections 457 and 3121 of the U.S. Internal Revenue Code of 1986, as amended. The PTS Plan was established in 1997 as a tax deferred alternative retirement program for part-time, temporary, and seasonal/casual employees of the State (and participating counties) who are not eligible for membership in the Employees' Retirement System. Participation in the PTS Plan is mandatory and there is a mandatory payroll deduction of 7.5 percent of the employee's gross monthly wages (in lieu of social security contributions) that are contributed to the Plan. The employee contributions and any interest earned are held in trust for the exclusive benefit of the participants and their beneficiaries.

Source of Revenues: Participant contributions and changes in the amount of interest earned.

Current Program Activities/Allowable Expenses: The fund supports all of the PTS Plan's expenses: daily administration and marketing costs, third-party administrator fees, custodial fees; and distributions taken by participants and their designated beneficiaries.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	103,034,629	107,531,492	112,625,802	118,946,488	125,462,758	#VALUE!	#VALUE!
Revenues	9,229,258	12,689,118	12,864,973	13,234,777	not available	not available	not available
Expenditures	4,732,395	7,594,808	6,544,287	6,718,507	not available	not available	not available
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	107,531,492	112,625,802	118,946,488	125,462,758	#VALUE!	#VALUE!	#VALUE!
Encumbrances							
Unencumbered Cash Balance	107,531,492	112,625,802	118,946,488	125,462,758	#VALUE!	#VALUE!	#VALUE!

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
 Prog ID(s): HTH131DC
 Name of Fund: Hawaii Immunization and Vaccines For Children Program
 Legal Authority: PHS 317, 42 USC Sec 247(B)

Contact Name: Sarah Y. Park, MD, FAAP
 Phone: (808) 587-6845
 Fund type (MOF) N
 Appropriation Acct. No. S-14-200-H

Intended Purpose: Prevent and control the transmission of vaccine preventable diseases in persons of all ages; increase and maintain high immunization coverage.

Source of Revenues: Centers for Disease Control and Prevention, Dept. of Health and Human Services

Current Program Activities/Allowable Expenses: Activities include vaccine coverage assessments, promotions of vaccinations, vaccine storage, and improving the distribution and inventory system.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,500,000	3,100,000	3,100,000	3,300,000	3,300,000	3,300,000	3,300,000
Beginning Cash Balance	65,509	81,611	34,849	57	689	(121,358)	(321,358)
Revenues	2,781,888	2,644,596	3,054,658	2,713,800	2,977,953	2,900,000	2,900,000
Expenditures	2,765,786	2,691,358	3,089,450	2,713,168	3,100,000	3,100,000	3,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	81,611	34,849	57	689	(121,358)	(321,358)	(521,358)
Encumbrances	343,087	398,818	212,743	95,405			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Nonpoint Source Management Program
 Legal Authority: PL 92-500, federal Water Pollution Control Act;
 Clean Water Act Section 319

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 201 H

Intended Purpose: Support State implementation of its nonpoint source management program developed under the Clean Water Act Section 319.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Development and implementation of watershed plans and total maximum daily loads, including projects and work to achieve restoration of nonpoint source impaired waters as well as implementation of Coastal Nonpoint Source program under Coastal Zone Act Reauthorization.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to grant workplan.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,262,300	1,326,000	1,729,650	1,258,950	2,145,675	1,287,300	1,361,665
Beginning Cash Balance	87,194	33,782	35,464	47,265	19,215	19,215	19,215
Revenues	1,343,755	1,611,539	1,384,695	1,657,683	2,145,675	1,287,300	1,361,665
Expenditures	1,397,167	1,615,041	1,372,894	1,228,153	2,145,675	1,287,300	1,361,665
Transfers							
List each net transfer in/out; list each account number							
JM4518 dated 03/12/2018	0	5,183	0	(457,580)			
	0	0					
Net Total Transfers	0	5,183	0	(457,580)			
Ending Cash Balance	33,782	35,464	47,265	19,215	19,215	19,215	19,215
Encumbrances	2,543,399	2,543,399	1,992,089	686,428	686,428	686,428	686,428
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Community Mental Health Services Block Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) N
 Appropriation Acct. No. S 202 H

Intended Purpose: The purpose of this fund is to provide financial assistance for the State to carry out the State's plan for providing comprehensive community mental health services for adults with a serious mental illness.

Source of Revenues: Community Mental Health Services Block Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of residential, treatment, and other support services for adults with serious mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Request to increase the ceiling to align with the anticipated federal awards for the next project period, 10/1/19 to 9/30/21.

Variances: The FY 2015, FY 2017, and FY 2018 variances are attributed to delays in the execution of purchase

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,332,230	1,332,230	1,332,230	1,332,230	2,033,370	2,033,370	2,033,370
Beginning Cash Balance	60	200	18,417	21	16,513	16,513	16,513
Revenues	1,159,280	1,398,800	976,437	1,148,293	2,033,370	2,033,370	2,033,370
Expenditures	1,159,140	1,380,583	994,833	1,131,801	2,033,370	2,033,370	2,033,370
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	200	18,417	21	16,513	16,513	16,513	16,513
Encumbrances	367,131	359,253	340,766	481,390			
Unencumbered Cash Balance	(366,931)	(340,836)	(340,745)	(464,877)	16,513	16,513	16,513

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Substance Abuse Prevention and Treatment (SAPT) Block Grant
 Legal Authority: Subparts II & III, Part B, Title XIX, Public Health Service Act;
Department of Health & Human Services, Substance Abuse & Mental Health Services Administration;
Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) N
 Appropriation Acct. No. S 203 H

Intended Purpose:

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Request to increase the appropriation ceiling due to increase in notice of award.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. The new appropriation for the SAPT Block Grant is S-581-H and S-203-H will remain the parent appropriation. FY16 variance is due to the S-581-H appropriation inadvertently not included on the Federal Funds report therefore not assigned to S-581-H.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,150,210	8,212,595	0	0	0	0	0
Beginning Cash Balance	453,104	451,467	561,028	489,005	28,163	28,163	28,163
Revenues	1,298,205	6,562,388	1,581,050	0	0	0	0
Expenditures	1,299,842	6,452,827	1,653,073	3,771	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Various JVs - Trf to S16-571				(457,071)			
Net Total Transfers	0	0	0	(457,071)	0	0	0
Ending Cash Balance	451,467	561,028	489,005	28,163	28,163	28,163	28,163
Encumbrances		1,411,129					
Unencumbered Cash Balance	451,467	(850,101)	489,005	28,163	28,163	28,163	28,163

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 460
 Name of Fund: Synectics Behavioral Health Services Information System State Agreement
 Legal Authority: Sec 505 of Public Health Service Act (42 U.S.C. 290aa=4)

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 204 H

Intended Purpose To continue the development of Hawaii's capacity to collect and report mental health treatment services data.

Source of Revenues: Contract between Synectics for Management Decisions, Inc and SAMHSA

Current Program Activities/Allowable Expenses: Personnel cost of the Research & Evaluation Specialist position, travel for one person to attend a mainland training and WICHE membership fee.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: No revenues and expenditures were recoded during FY 2015 due to delay in the contract modification process. The fund was provided through AMHD from 2017 and was not allocated directly in CAMHD accounts.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	315,609	315,609	0	0	0	0	0
Beginning Cash Balance	13,000	13,000	13,000	13,000	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	74,682	0	13,000	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/15		24,060					
JS 6982 dated 6/28/16		50,622					
Net Total Transfers	0	74,682	0	0	0	0	0
Ending Cash Balance	13,000	13,000	13,000	0	0	0	0
Encumbrances	90,022	17,180					
Unencumbered Cash Balance	(77,022)	(4,180)	13,000	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, & CHILDREN (WIC)

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) N

Legal Authority Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.

Appropriation Acct. No. S 206 H

Intended Purpose: This fund contains HTH 560 GI federal grant funds. The purpose is to provide WIC families with supplemental nutrition, nutrition education and referrals.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Service

Current Program Activities/Allowable Expenses: Supplemental nutrition; nutrition education & referrals to WIC families.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variance in revenues and expenditures between FY 2014-15 and FY 2015-16 is due to actual cash drawdowns for expenditures incurred in FY 2015 and FY 2016. The variance in revenues and expenditures between FY 2016-17 reflects the decrease in participation and redemption of food instrument at the grocery store, reduced federal grant expenditures due to the receipt of state general funds and subsequent reduction in spending for contracted providers.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	35,703,564	35,703,564	35,703,564	35,703,564	28,465,220	28,465,220	28,465,220
Beginning Cash Balance	309,760	600,530	387,868	487,538	387,530	387,530	387,530
Revenues	30,166,202	31,850,984	26,185,612	27,023,274	28,465,220	28,465,220	28,465,220
Expenditures	29,875,432	32,063,646	26,085,942	27,123,282	28,465,220	28,465,220	28,465,220
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	600,530	387,868	487,538	387,530	387,530	387,530	387,530
Encumbrances				2,065,492			
Unencumbered Cash Balance	600,530	387,868	487,538	(1,677,963)	387,530	387,530	387,530

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: RYAN WHITE CARE ACT TITLE II
 Legal Authority: Public Health Service Act, 42,
as amended by P.L. 111-87.

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) N
 Appropriation Acct. No. S 207 H

Intended Purpose:

To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii

Source of Revenues:

U.S Department of Health & Human Service/Health Resources and Services Administration

Current Program Activities/Allowable Expenses:

Assistance in health care and support activities to those affected by HIV infection.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Starting FY16, the grant has significant rebate funds increase compare to prior years and the trend increased again in FY17 through FY2020.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,507,482	3,830,015	3,830,015		7,154,040	8,200,000	8,200,000
Beginning Cash Balance	230,104	1,010,428	1,970,546	1,041,384	1,718,524	1,718,524	1,718,524
Revenues	3,685,889	5,478,699	4,323,934	6,810,171	7,154,040	8,200,000	8,200,000
Expenditures	2,905,565	4,518,581	5,253,096	6,133,031	7,154,040	8,200,000	8,200,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	1,010,428	1,970,546	1,041,384	1,718,524	1,718,524	1,718,524	1,718,524
Encumbrances				1,124,780			
Unencumbered Cash Balance	1,010,428	1,970,546	1,041,384	593,743	1,718,524	1,718,524	1,718,524

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
 Prog ID(s): HTH131DB
 Name of Fund: TP12-1201 HPP and PHEP Cooperative Agreements
 Legal Authority: SEC 391(A), 317(K) of PHS, 42 USC 241(A), 247(B)

Contact Name: Sarah Y. Park, MD, FAAP
 Phone: (808) 587-6845
 Fund type (MOF): N
 Appropriation Acct. No.: S-14-208 H & S-18-208 H

Intended Purpose: The program's goal is to build, maintain, and improve upon capabilities to support the population in Hawaii to prepare for, respond to, and recover from public health emergencies and disasters. The program works statewide across sectors including public health and healthcare, emergency management, business, education, public, and private organizations to achieve measurable and sustainable progress to save lives and build resilient individuals and communities.

Source of Revenues: Federal Funds.

Current Program Activities/Allowable Expenses: Funds are used to support activities including emergency planning for all hazards, training and exercises, public information, education and outreach, information sharing, disease surveillance and epidemiological investigation, laboratory testing, medical countermeasures management and distribution, volunteer management, and other activities to increase the State's preparedness.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: Initial project period was from FY12 through FY17. Second project period includes FY18 and FY19. Administratively, grant moved to Director's office from FY20 on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,000,000			30,000,000			
Beginning Cash Balance	321,281	330,141	329,323	183,060	185,159	(72,561)	
Revenues	7,337,478	6,295,028	6,115,594	6,211,214	6,306,837		
Expenditures	7,328,618	6,295,846	6,261,857	6,209,114	6,564,557		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	330,141	329,323	183,060	185,159	(72,561)	(72,561)	0
Encumbrances				437,875			
Unencumbered Cash Balance	330,141	329,323	183,060	(252,715)	(72,561)	(72,561)	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Audit Sub-award to DLNR/CWRM
 Legal Authority Safe Drinking Water Act: Sec. 1452; Act 169, SLH 2016; Request to Expend Non-Appropriated Federal Funds--Governor approved 5/16/17

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 209 H (see also S 620 H)

Intended Purpose: Support the implementation of Act 169, SLH 2016, which authorizes and requires the Commission on Water Resource Management to establish a water audit program to provide technical assistance to public water systems to conduct standardized water audits. Reduce the volume of water loss.

Source of Revenues: Federal grant funds from Environmental Protection Agency; sub-award from Safe Drinking Water State Revolving Fund capitalization grant, which is MOF W.

Current Program Activities/Allowable Expenses: Activities to establish a program to implement standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Non-appropriated. Fund was established as MOF N in FY 17, but was changed to MOF P for FY 18 and FY 19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	250,000	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
JS556/635/1476/2240/3044/37173859/4402	0	0	0	200,000			
	0	0					
JS556/635/1476/2240/3044/37173859/4402				(200,000)			
Net Total Transfers	0	0	0	0			
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 905AH
 Name of Fund: Developmental Disabilities Council
 Legal Authority: P.L. 106-402 and Chapter 333E, HRS

Contact Name: Daintry Bartoldus
 Phone: 586-8100
 Fund type (MOF) N
 Appropriation Acct. No. S 210 H

Intended Purpose: Federally funded program that supports the State Council on Developmental Disabilities (DD) to engage in advocacy, capacity building and systemic change activities that contribute to a coordinated, consumer-and family-centered, consumer-and family-directed, comprehensive system of community services, individualized supports, and other forms of assistance that enable individuals with DD to live self-determined lives, be independent, productive, and integrated and included in all facets of community life.

Source of Revenues: Federal U.S. Department of Health and Human Services, Administration on Community Living DD Assistance Bill of Rights Act of 2000 (P.L. 106-402)

Current Program Activities/Allowable Expenses: Funds are used to carry out the DD Council's Five-Year State Plan activities in the areas of community supports; transition and employment; public awareness, education and training; health and children and youth; and self-advocacy and self-determination.

The Council has two years to obligate its funds and three years to liquidate (expend) those funds.

Purpose of Proposed Ceiling Adjustment (if applicable): The Council received an increase in their Federal allotment in FY18, and we are anticipating increases with our new Federal Grant Awards for FY2019 and FY 2020.

Variances: The variance for actual expenditure amount in FY18 is due to savings from 2.5 vacant positions, less staff travel, and the minimum implementation of state plan activities.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	478,797	487,511	499,452	507,546	507,546	507,546	507,546
Beginning Cash Balance	16,557	17,404	16,788	50	8,632	26,178	26,178
Revenues	499,377	468,784	426,875	481,391	507,546	507,546	507,546
Expenditures	498,530	469,400	443,613	472,809	490,000	507,546	507,546
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17,404	16,788	50	8,632	26,178	26,178	26,178
Encumbrances	15,056	200	0	7,348	83,142	83,142	83,142
Unencumbered Cash Balance	2,348	16,588	50	1,284	(56,964)	(56,964)	(56,964)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Substance Abuse Prevention and Treatment (SAPT) Block Grant
 Legal Authority: Subparts II & III, Part B, Title XIX, Public Health Service Act:
Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant,
Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) N
 Appropriation Acct. No. S-19-211, S-581-H in FY15/16/17/18

Intended Purpose:

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. FY 15/16/17 variance is due to appropriation inadvertently not included on the Form FF therefore not assigned to S-18-581-H for FY18 award. E-2 was approved on 8/14/18 and as a result, S-19-211 was assigned.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	8,848,122	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	8,848,122	0	0
Expenditures	0	0	0	0	8,848,122	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: PATH Formula Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) N
 Appropriation Acct. No. S 212 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: PATH Formula Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of homeless outreach services for individuals with severe and persistent mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2015 variance is attributed to the late execution of prior year purchase of service contracts. The FY 2016, FY 2017, and FY 2018 variances are attributed to the late execution of purchase of service contracts.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	0	0	0	1	42	42	42
Revenues	390,332	11,333	79,189	227,743	300,000	300,000	300,000
Expenditures	390,332	11,333	79,188	227,702	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1	42	42	42	42
Encumbrances	44,146	130,183	41,271	51,654			
Unencumbered Cash Balance	(44,146)	(130,183)	(41,270)	(51,612)	42	42	42

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 460
 Name of Fund: Block Grants for Community Mental Health Services
 Legal Authority: Subparts I&III, B, Title XIX, PHS Act45 CFR Part 96

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 214 H

Intended Purpose: To provide needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses: Providing mental health services and promoting programs for public awareness on mental health

Purpose of Proposed Ceiling Adjustment (if applicable): The purpose of the increase in the proposed ceiling adjustment is to allow for the adjustment in the award amount in this Block Grant.

Variances:

FY2016-The revenues and expenditures decreased due to the grant being assigned to S259 in FY2016 instead of S214.

FY2017-The revenues and expenditures increased due to the grant being assigned back to S214 from S259 in FY2017.

FY2018-The revenues and expenditures increased due to the increase in the award amount in FY2018.

FY2019-The revenues and expenditures are estimated to increase due to the increase in the award amount in FY2019.

FY2020-The revenues and expenditures are estimated to decrease due to the decrease in the award amount in FY2020.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,256,472	475,499	969,261	1,580,536	1,809,630	1,042,674	1,042,674
Beginning Cash Balance	19	86	0	9,176	90	90	90
Revenues	781,040	315,778	803,490	1,399,332	1,809,630	1,042,674	1,042,674
Expenditures	780,973	315,864	794,314	1,408,418	1,809,630	1,042,674	1,042,674
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	86	0	9,176	90	90	90	90
Encumbrances	356,445	30	112,580	342,506			
Unencumbered Cash Balance	(356,359)	(30)	(103,404)	(342,416)	90	90	90

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Office of Rural Health (SORH)
 Legal Authority: PHS Act, Title III, Section 338J

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 218 H

Intended Purpose: The grant program coordinates and implements activities to support priority health needs of Hawaii's rural communities.

Source of Revenues: US Department of Health and Human Services, Health Resources and Services Administration, Office of Rural Health Policy.

Current Program Activities/Allowable Expenses: The program allocates its resources towards the implementation of the following goals: (1) collect and disseminate information; (2) coordinate rural health care activities in state in order to avoid duplication; and (3) provide technical assistance to public and non-profit private entities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant was in appropriation account S 523 H until FY 2017.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	0	0	0	35	46	46	46
Revenues	0	0	153,650	168,995	200,000	200,000	200,000
Expenditures	0	0	153,615	168,984	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	35	46	46	46	46
Encumbrances				246			
Unencumbered Cash Balance	0	0	35	(200)	46	46	46

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Title III Older American's Act
 Legal Authority: Older American's Act PL 114-144

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 221 H

Intended Purpose: Provide advocacy, program development, and a coordinated system of opportunity and services for adults 60+ and their family caregivers.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses: Advocate resources for older adults and caregivers; plan and implement Older American's Act; develop and coordinate comprehensive in-community-based services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,811,869	13,268,894	13,533,312	14,351,901	14,351,901	14,351,901	14,351,901
Beginning Cash Balance	258,057	209,151	82,780	72,962	404,620	(0)	(0)
Revenues	6,627,862	6,045,549	5,583,040	6,598,391	6,163,061	6,567,681	6,567,681
Expenditures	6,676,768	6,171,920	5,592,858	6,266,734	6,567,681	6,567,681	6,567,681
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	209,151	82,780	72,962	404,620	(0)	(0)	(0)
Encumbrances				6,390,924			
Unencumbered Cash Balance	209,151	82,780	72,962	(5,986,304)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: PPHS Block Grant
 Legal Authority: Preventive Health and Health Services Block Grant

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF): P
 Appropriation Acct. No.: S 225 H

Intended Purpose: Alleviate or eliminate barriers to accessing healthcare for immigrants. Integrate data between chronic disease programs.

Source of Revenues: Preventive Health and Health Services Block Grant.

Current Program Activities/Allowable Expenses: Assist newly arrived immigrants to access health services in their native languages.
Integrate data between chronic disease programs.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: The amount of money received depend on another agency. (The program receives money from another agency.)
Expenditure was planned according to the amount of money received.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	165,000	165,000	165,000	165,000	195,000	195,000	195,000
Beginning Cash Balance	96,219	67,251	63,578	(0)	894	(0)	(0)
Revenues	1,431,690	48,889	0	91,210	195,000	195,000	195,000
Expenditures	1,460,658	52,562	63,578	90,316	195,894	195,000	195,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	67,251	63,578	(0)	894	(0)	(0)	(0)
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	67,251	63,578	(0)	894	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Vital Statistics Cooperative Program
 Legal Authority: Act 134-2013

Contact Name: Alvin T. Onaka Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) P
 Appropriation Acct. No. S-226-H

Intended Purpose:

Support the collection and delivery of vital statistics information

Source of Revenues:

Contracts with the US Government for vital statistics information

Current Program Activities/Allowable Expenses:

Contracts with the Centers for Disease Control and Prevention (CDC) and Social Security Administration of the Vital Statistics Cooperative Program's.

Purpose of Proposed Ceiling Adjustment (if applicable):

To match anticipated federal funds amount.

Variances: Revenues can fluctuate for additional competitive award added to the base contract amount. FY15 to FY16 and FY17 to FY18 variance due to personnel costs. FY16 to FY17 variance due to maintenance costs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		442,000	432,300	432,300	342,300	342,300	342,300
Beginning Cash Balance	302,573	473,071	748,965	1,070,275	1,162,022	1,162,022	1,162,022
Revenues	299,360	452,424	560,465	429,715	329,900	329,900	329,900
Expenditures	128,862	176,531	239,155	337,968	329,900	329,900	329,900
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	473,071	748,965	1,070,275	1,162,022	1,162,022	1,162,022	1,162,022
Encumbrances		12,000	103,950	473			
Unencumbered Cash Balance	473,071	736,965	966,325	1,161,548	1,162,022	1,162,022	1,162,022

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: ARRA-Behavioral Risk Factor Surveillance System CPPW
 Legal Authority: American Recovery & Reinvestment Act (ARRA) of 2009

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) V
 Appropriation Acct. No. S 227 H

Intended Purpose: Serve as an economic stimulus and allow for increase data collection and data quality control that would facilitate enhancement of Statewide Department of Health programs.

Source of Revenues: ARRA Funds are from US department of Health, Human Services, and Center for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: The program increase data collection efforts and utilized the additional data and findings for improving and/or implementing agency health programs.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended on 04/30/2013. Appropriation Closed.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,991	0	0	0	0	0	0
Revenues	20,856						
Expenditures	22,847						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): 907 AP
 Name of Fund: Strengthening Public Health Infrastructure
 Legal Authority: Act 164 SLH 2011, amended Act 16 SLH 2012
Public Health Service Act, various sections, as amended.

Contact Name: Lorin Kim
 Phone: 586-4189
 Fund type (MOF) P
 Appropriation Acct. No. S 228-H
(S-213-H Grant was included in S-228, effective FY14)

Intended Purpose:

Strengthening Public Health Infrastructure for Improved Health Outcomes (SPHI) - This grant program permits grant monies to be used by systematically increase the performance management capacity of public health departments in order to ensure that public health goals are effectively and efficiently met.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. This grant ended 9/30/15.

Current Program Activities/Allowable Expenses:

SPHI grant funds currently related to salaries, consultants, equipment, and services to achieve grant goals.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variations:

FY15-FY16 carry over amount in FY16 and only 3 months grant period. Expenses: FY14-FY15 reporting two appropriation in FY14 to one in FY15. FY16 only 3 month of expenses.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	514,168	760,286					
Beginning Cash Balance	64,278	73,675	70,647	58,370	58,370	58,370	58,370
Revenues	363,882	476,129	381,430	0			
Expenditures	354,485	479,157	393,706	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	73,675	70,647	58,370	58,370	58,370	58,370	58,370
Encumbrances			13,725				
Unencumbered Cash Balance	73,675	70,647	44,645	58,370	58,370	58,370	58,370

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Maternal and Child Health Services Block Grant
 Legal Authority: Title V of the SSA, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 229 H

Intended Purpose: To assure women, especially mothers and children including children with special health needs (CSHN) and in particular those with low income or with limited availability of health services, access to quality maternal and child health services. This includes improving birth outcomes for women, improved health outcomes and health status for children of all ages including CSHN and supporting administrative activities that maintain and improve the health care system through needs assessment, surveillance, planning, policy development, systems building, and program support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: a) Administration - Supports administrative positions, which provide the infrastructure for the Division, Maternal and Child Health (MCH) and CSHN Branches to carry out its mandate and functions, and allow for integration and planning between sections, Branches, the Department, and the community at-large; b) Epidemiology and Data Support - provide funds to CDC-assigned epidemiologist and research statistician positions at the MCH and CSHN Branches for data collection and analysis used for program planning, evaluation, and policy development; c) Child Health and Family Support Services - provides funds to this section, which is responsible for planning for issues related to child/adolescent health and family strengthening services to assist in the assurance of these services statewide, including program planning and technical support to purchase of service contractors. Needs assessments, planning and development activities are accomplished through surveys, studies, and community networking. Collaborates with providers and community stakeholders to assure a system of services and established standards of care; and d) Perinatal Services-fund use include: 1) Program planning and technical support statewide to POS contractors and programs, which reduce maternal and infant mortality and morbidity; and on Hawaii Island, provide staffing to support high-risk women and children; 2) Provides advocacy and educational efforts to improve and enhance birth outcomes, disseminates perinatal information, and promotes networking among providers, agencies, and individuals associated with perinatal issues; and 3) Maintains a statewide information and referral phone line and website to assist pregnant women to access the perinatal services system (including Medicaid, primary care centers, OB/GYN, prenatal classes) and to encourage early and continuous prenatal care.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

The variance in revenues between 2017-18 is due to lower than estimated revenues in FY 2017. Estimated revenues in FY 2018 and beyond are based on the most current grant award amount. (This grant has a two year budget and expenditure period).

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,829,180	2,829,180	2,829,180	2,829,180	2,829,180	2,829,180	2,829,180
Beginning Cash Balance	72,155	76,057	93,037	1,327	2	77,723	155,444
Revenues	1,913,639	1,823,594	1,793,075	1,733,693	1,977,721	1,977,721	1,977,721
Expenditures	1,909,737	1,806,614	1,884,785	1,735,017	1,900,000	1,900,000	1,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	76,057	93,037	1,327	2	77,723	155,444	233,165
Encumbrances				24,710			
Unencumbered Cash Balance	76,057	93,037	1,327	(24,707)	77,723	155,444	233,165

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 849
 Name of Fund: Various EPA Grants
 Legal Authority: Various

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): N
 Appropriation Acct. No.: S 236 H

Intended Purpose: Fund split-funded positions in HTH 849 FA, FB, FC, and FD.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Personal services and other current expense costs in HTH 849 FA, FB, FC, and FD.

Purpose of Proposed Ceiling Increase (if applicable): Proposed decrease in appropriation ceiling to more closely match anticipated award amounts on Form FF. The programs in HTH 849 have no MOF N federal grants, but positions are funded by MOF N grants in HTH 840. Ceiling included for budgetary purposes since positions are funded.

Variances: All federal funds in HTH 849 were part of S 236 H prior to FY 2014. For FY15 and beyond, positions are directly funded from source grants, because new federal funds methodology impedes spending. Ceiling is included since positions are funded.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	570,000	570,000	575,500	296,103	296,103	296,103	296,103
Beginning Cash Balance	27,591	31,181	25,192	25,192	(0)	(0)	(0)
Revenues	62,207	1,372	0	0	0	0	0
Expenditures	58,617	0	0	25,192	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Various JVs		(7,361)	0	0	0	0	0
Net Total Transfers		(7,361)	0				
Ending Cash Balance	31,181	25,192	25,192	(0)	(0)	(0)	(0)
Encumbrances	85,974	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote:

Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-513, S-546, S-547, S-548, S-549.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
 Prog ID(s): HTH131DC
 Name of Fund: Prevention and Public Health Fund
 Legal Authority: Public Health Service Act, Sections 301(A) and 317 (K) (2), as amended

Contact Name: Sarah Y. Park, MD, FAAP
 Phone: (808) 587-6845
 Fund type (MOF) P
 Appropriation Acct. No. S-14-242-H

Intended Purpose: To assist grantees in meeting specific needs and making the changes necessary to be more effective in the Affordable Care Act environment. It will allow enhancement of the technology infrastructure of the Hawaii Immunization Registry (HIR) that captures and shares comprehensive life span immunization data among authorized users to aid, coordinate, and promote effective and cost-efficient disease prevention and control efforts through interoperability with electronic health records in provider offices. It also allows for the development of a vaccine ordering module in the HIR that interfaces with CDC's VTrckS vaccine ordering and management system.

Source of Revenues: Centers for Disease Control and Prevention, Dept. of Health and Human Services

Current Program Activities/Allowable Expenses: Activities include: Enhance interoperability between electronic health records and the Hawaii Immunization Registry and the use of HL7 standard messaging for data flow from provider offices to the Hawaii Immunization Registry. In addition, the development of a vaccine ordering module in the Hawaii Immunization Registry to interface with the vaccine ordering and management system by CDC called VTrckS which allows for provider offices to order vaccines electronically for greater efficiency and accountability. and improving the distribution and inventory system.

Purpose of Proposed Ceiling Adjustment: N/A

Variances: Grant expired in FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	97	33	0	0	0	0	0
Revenues	89,739	30,265	4,372				
Expenditures	89,803	30,298	4,372				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	33	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Senior Medicare Patrol Capacity Building Grant
 Legal Authority: 42 USC 3031-3037-B

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 244 H

Intended Purpose: To expand the capacity of the Senior Medicare Patrol Project to reach larger numbers of Medicare beneficiaries, their caregivers and family members with the SMP message of fraud prevention.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant has ended

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	98,218	23,463					
Beginning Cash Balance	1,036	3,918	0	0	0	0	0
Revenues	71,585	18,786					
Expenditures	68,703	22,704					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,918	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	3,918	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Air Pollution Control Program Support
 Legal Authority: PL 95-95; Clean Air Act Sections 103 & 105

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 255 H

Intended Purpose: Establish indoor air quality program; provide information and educational material to managers, owners, and occupants of publicly-owned buildings and assist managers and owner-occupants of publicly-owned buildings to identify, assess and correct indoor air pollution problems.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Plan and develop comprehensive indoor air quality program; public outreach and networking.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Sub-award. New federal funds methodology impedes spending, so most expenditures are coded directly to the prime grant award in HTH 840/FF, S-559-H.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	144,233	152,000	158,000	163,149	176,575	158,000	158,000
Beginning Cash Balance	41,760	39,533	39,607	31,479	0	0	0
Revenues	77,763	21,050	238,219	46,034	158,000	158,000	158,000
Expenditures	79,990	20,976	246,346	77,513	158,000	158,000	158,000
Transfers							
List each net transfer in/out; list each account number							
		0					
Net Total Transfers	0	0					
Ending Cash Balance	39,533	39,607	31,480	0	0	0	0
Encumbrances	0	38	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote: Prior to FY14, S-255 was the parent account for all appropriated federal funds in HTH 610. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-507 and S-536.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: ARRA - Special Supp. Nutrition Program for WIC
 Legal Authority: P.L. 111-5

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) V
 Appropriation Acct. No. S 258 H

Intended Purpose The Technology grant would allow WIC to procure contracted services to: 1) conduct a feasibility study of transferring other WIC information systems including State Agency Models; 2) develop the Implementation Advance Planning Document (IAPD); 3) develop a Request for Proposal (RFP) for the replacement WIC information system; and 4) contract a Project Manager to oversee the systems transfer implementation.

Source of Revenues: U.S. Department of Agriculture, Food & Nutrition Service

Current Program Activities/Allowable Expenses: Hawaii WIC's contract ASO Log No. 10-193-ARRA with Burger Carroll & Assoc. (BCA) to conduct the feasibility study, develop the IAPD, and create a scope of work for the RFP for transfer data system has been completed.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances: Feasibility study, IAPD and Scope of Work for RFP was completed by BCA on October 27, 2011. Remaining balance of ARRA grant was used to pay for MIS costs to Maximus Health Services, Inc. on ASO Log No. 13-060. Project and federal grant closed out.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 460
 Name of Fund: Block Grants for Community Mental Health Services
 Legal Authority: Subparts I&III,B,Title XIX,PHS Act/45 CFR Part96

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 259 H

Intended Purpose: To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally funded grant from SAMHDSA

Current Program Activities/Allowable Expenses: Providing mental health services and promoting programs for public awareness on mental health.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant was previously assigned to S214 but it was assigned to S259 in FY2106.

The grant was assigned back to S214 in FY2017 and it resulted in the decrease of revenues and expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,045,765	0	0	0	0	0
Beginning Cash Balance	0	0	18,265	(0)	(0)	(0)	(0)
Revenues		742,975	276,074		0	0	0
Expenditures		724,710	294,339		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	18,265	(0)	(0)	(0)	(0)	(0)
Encumbrances		225,785					
Unencumbered Cash Balance	0	(207,520)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>HEALTH</u>	Contact Name:	<u>Wakaba Stephens</u>
Prog ID(s):	<u>HTH 460</u>	Phone:	<u>733-9866</u>
Name of Fund:	<u>Hawaii's System of Care Expansion Implementation Cooperative Agreements</u>	Fund type (MOF)	<u>P</u>
Legal Authority	<u>Section 561 thru 565 of the Public Health Services Act As Amended</u>	Appropriation Acct. No.	<u>S 273 H</u>

Intended Purpose: To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally funded grant from SAMHSA

Current Program Activities/Allowable Expenses: This grant ended in FY2018

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

It is supposed to be MOF P although Datamart shows the account under MOF N. Datamart never got changed although the Budget and OEP were done as MOF P.

FY2016-The revenues and expenditures increased due to the service contract.

FY2017-The revenues and expenditures decreased due to the change in the service contract.

FY2018-The revenues and expenditures decreased due to not having a new award for FY2018. The grant was ran on the no-cost-extension.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,260,623	1,579,192	1,237,402	445,777	0	0	0
Beginning Cash Balance	19,821	15,490	30,995	209	(0)	(0)	(0)
Revenues	677,100	1,126,464	760,839	285,840	0	0	0
Expenditures	681,432	1,110,959	791,625	286,049	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	15,490	30,995	209	(0)	(0)	(0)	(0)
Encumbrances	49,171	177,415	13,699				
Unencumbered Cash Balance	(33,681)	(146,420)	(13,490)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Hawaii's Lifespan respite
 Legal Authority: PL 109-442

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 280 H

Intended Purpose: To assist family caregivers in accessing affordable high quality respite care.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant has ended

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	74,295	0					
Beginning Cash Balance	0	3,348	0	0	0	0	0
Revenues	59,292	10,002					
Expenditures	55,944	13,349					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,348	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	3,348	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
Prog ID(s): HTH 720
Name of Fund: Upgrading Hawaii's Criminal Background Check System
Legal Authority Act 071, SLH 2015

Contact Name: Keith Ridley
Phone: 692-7227
Fund type (MOF) P
Appropriation Acct. No. S 284 H

Intended Purpose:

To upgrade Hawaii's Criminal Background Check system and subsequently enhance the state's ability to meet its obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing background checks for all parties wishing to provide services.

Source of Revenues:

The source of revenues are from Federal grant award 1A1CMS331099-01-05. The grant is for the period from December 17, 2012 to December 16, 2018.

Current Program Activities/Allowable Expenses:

Develop a "rap back" program within the Hawaii Criminal Justice Data Center (HCJDC). Rap back is the term used for a system designed to determine whether an individual who had previously obtained a clearance on a criminal history background check but subsequently was arrested or convicted would continue to qualify for employment in certain health care work positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends on 12/16/2018; discussions are on-going to decide if a request for an extension will be submitted to the Centers for Medicare and Medicaid Services (CMS). DOH/OHCA is a pass-through - reimbursement to DHS and HCJDC.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues	107,969	205,397	148,623	136,552			
Expenditures	107,969	205,397	148,623	136,552			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Program for Infants and Toddlers with Handicaps
 Legal Authority: P.L. 108-446, Individuals with Disabilities Act, Part C

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 292 H

Intended Purpose To provide a statewide, comprehensive, multidisciplinary system of early intervention services for infants and toddlers with special needs (age 0 to 3) with the State Department of Health as the lead agency in Hawaii.

Source of Revenues: U.S. Department of Education, Office of Special Education Programs

Current Program Activities: These funds are currently supporting multiple activities within that system of services, including administrative personnel, psychological, nutritional, and other professional support; and other required federal activities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues and expenditures between FY 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the drawdown of \$2,634,871 in grant funds to cover actual expenditures incurred for FY 2015. Variance in expenditures between FY 2015-16 reflects a decrease in expenditures for FY 2016. The variance in revenue between FY 2016-17 is due to lower than estimated revenues in FY 2016. The variance in expenditures between FY 2016-17 is due to the drawdown of \$2,453,078 in grant funds to cover actual expenditures incurred for FY 2017. Estimated revenues and expenditures in FY 2018 and beyond are based on the most current grant award amount.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,771,557	2,771,557	2,771,557	2,771,557	2,771,557	2,771,557	
Beginning Cash Balance	60,784	69,089	5	22	2,087	2,087	2,087
Revenues	2,634,871	1,835,717	2,453,078	1,996,095	2,500,000	2,500,000	2,500,000
Expenditures	2,626,566	1,904,801	2,453,061	1,994,030	2,500,000	2,500,000	2,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	69,089	5	22	2,087	2,087	2,087	2,087
Encumbrances	117,843	300,532	5,133	7,880	0	0	0
Unencumbered Cash Balance	(48,754)	(300,527)	(5,111)	(5,793)	2,087	2,087	2,087

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
Prog ID(s): HTH 560

Contact Name: SUSAN KANOUR
Phone: 586-8190

Name of Fund: BREASTFEEDING PEER COUNSELING (WIC BFPC)

Fund type (MOF) N

Legal Authority Child Nutrition Act of 1966, as amended, Section 17,
42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of
2010, Public Law 111-296, 7 U.S.C 1746.

Appropriation Acct. No. S 293 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide breastfeeding peer counseling to WIC women.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Breastfeeding peer counseling services to WIC women.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variance in revenues between FY 2015-16 is due to the draw-down of \$258,427 for expenditures incurred in FY 2015. The variance in expenditures between FY 2015-16 is due to the addition of the outstanding encumbrances of \$39,147 from FY 2015 to the estimated expenditures for FY 2016, less the remaining balance of outstanding encumbrances of \$86,456 at 6/30/2016. The variance in revenues and expenditures from 2016-2017 is due to increase in grant funding and increase in expenditures by contracted providers and BU 10 & 13 salary increases.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	382,144	382,144	382,144	382,144	382,144	382,144	382,144
Beginning Cash Balance	8,366	10,028	1,129	2,653	5,604	5,604	5,604
Revenues	258,427	251,897	288,779	248,804	319,043	319,043	319,043
Expenditures	256,765	260,796	287,255	245,852	319,043	319,043	319,043
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,028	1,129	2,653	5,604	5,604	5,604	5,604
Encumbrances	39,147	86,456	38,343	85,459	0	0	0
Unencumbered Cash Balance	(29,119)	(85,327)	(35,690)	(79,855)	5,604	5,604	5,604

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Community Based Child Abuse Prevention (CBCAP) Grant (project: 000270)
 Legal Authority: Title II of the Child Abuse Prevention and Treatment Act
(72 U.S.C. 5116 et seq.), amended by P.L. 108-36

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 294 H

Intended Purpose: To support community-based efforts to develop, operate, expand, enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

Source of Revenues: DHHS, Administration on Children, Youth and Families

Current Program Activities/Allowable Expenses:

- A. Support the Hawaii Children's Trust Fund (HCTF) in preventing child abuse and neglect through the development, implementation and evaluation of the HCTF Public Awareness Campaign statewide.
- B. Provide technical assistance to HCTF grantees and state service providers to develop outcome-based programs which incorporate evidence-based practices to strengthening families and to prevent child abuse and neglect.
- C. Lead and collaborate with the Title V Child Abuse and Neglect (CAN) workgroup and the Maternal Child Health Branch (MCHB) Violence Collaborative to include strength based approaches, promotion of protective factors, comprehensive data collection, analysis and evaluation of MCHB prevention programs.
- D. Use a community based participatory planning process to design and implement a statewide CAN prevention plan.
- E. Collaborate and partner with existing networks to build the capacity of: culturally appropriate focused models for disparate populations; data and surveillance; and parent engagement initiatives.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures from FY 2014 to FY 2017 is due to the figures being calculated on a cash basis in the state fiscal year. Each fiscal year can include revenues and expenditures from multiple federal budget years. FY 2018 through FY 2020 is based on the latest award received which is an increase from previous awards.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	801,520	801,520	801,520	801,520	801,520	801,520	801,520
Beginning Cash Balance	3,578	3,662	5,372	82	61	61	61
Revenues	148,813	344,928	250,564	190,701	454,196	454,196	454,196
Expenditures	148,729	343,218	255,854	190,722	454,196	454,196	454,196
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,662	5,372	82	61	61	61	61
Encumbrances	83,345	0	85,602	72,903	0	0	0
Unencumbered Cash Balance	(79,683)	5,372	(85,520)	(72,843)	61	61	61

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Family Planning Services Grant (project: 000239)
 Legal Authority: Title X of the Public Health Service (PHS) Act, as amended; 42 CFR 59

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 295 H

Intended Purpose: To assure statewide family planning services and related preventive health education, education, counseling and referrals in accordance to Title X Program requirements for all people of reproductive age (primarily low-income and hard-to-reach individuals that are most under-served).

Source of Revenues: U.S. Department of Health & Human Services (DHHS), PHS
 Current Program Activities/Allowable Expenses:

- A. Maintain availability, through Purchase of Service (POS) contracts, of affordable, high quality family planning services to those in greatest need and with no other access to preventive medical services (i.e. populations including low-income individuals at-risk of costly unintended pregnancy, and hard-to-reach individuals such as homeless, teens, at-risk youths, males, disabled, substance abusers, etc.).
- B. Evaluate medical services and community, participation, education and project promotion services provided through POS contracts.
- C. Facilitate training opportunities for clinical and administrative, financial and community, participation, education and project promotion providers and promote standards of care for family planning services and related preventive health services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the drawdown of only \$2,084,422 for expenditures incurred in FY 2015. The variance in expenditures between FY 2015-16 is due to the addition of the outstanding encumbrances of \$661,276 from FY 2015 to the estimated expenditures for FY 2016. The variance in expenditures and revenues between FY 2016-17 is due to the liquidation of FY 2016 expenditures in FY 2017. The FY 2018 through FY 2020 Expenditure and Revenues are based on the latest grant award.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,459,927	2,459,927	2,459,927	2,459,927	2,459,927	2,459,927	2,459,927
Beginning Cash Balance	1,699	308,673	17,999	97	43	43	43
Revenues	2,084,422	2,000,489	2,312,874	1,685,465	1,555,000	1,555,000	1,555,000
Expenditures	1,777,448	2,291,163	2,330,776	1,685,518	1,555,000	1,555,000	1,555,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	308,673	17,999	97	43	43	43	43
Encumbrances	661,276	412,700	243,813	103,838	0	0	0
Unencumbered Cash Balance	(352,603)	(394,701)	(243,716)	(103,795)	43	43	43

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>HEALTH</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Preventive Hlth and Hlth Svcs Block Grant-Sexual As Prev Program</u>	Project No. 3520:	<u>Fund type (MOF) N</u>
Legal Authority:	<u>Part A, Title XIX, PHS Act, as amended</u>	Appropriation Acct. No.:	<u>S 297 H</u>

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence on college campuses.

Source of Revenues: DHHS, CDC
Current Program Activities/Allowable Expenses:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and young adults to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. To collaborate and plan with the University of Hawaii systems and their sexual violence prevention task forces to implement effective primary prevention strategies.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the draw-down of only \$18,578 in grant funds to cover the FY 2015 liquidations. This grant is now under appropriation S 589.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	52,336	52,336	52,336	0	0	0	0
Beginning Cash Balance	761	0	787	0	0	0	0
Revenues	18,578	22,350	16,633		0	0	0
Expenditures	19,339	21,563	17,420		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	787	0	0	0	0	0
Encumbrances	0	11,612	0	0	0	0	0
Unencumbered Cash Balance	0	(10,825)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Pollution Control - Surface Water
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): N
 Appropriation Acct. No.: S 298 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Water Act that regulates protection of the environment and public health.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, permitting, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public participation, data management, training for delegated programs, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF. The adjustment is primarily due to the extension of the award for the Water Pollution Control-Surface Water (WPC-SW) grant, making it a five-year award. A large ceiling amount will be needed in FY 20, when the grant will start a new project period.

Variances: Grant previously had a 2-year budget/project period, but current award has instead been extended, and it has been uncertain as to when a new award period will begin. Ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,003,530	2,050,000	4,204,000	5,549,888	2,014,950	7,764,143	1,552,829
Beginning Cash Balance	37,877	49,175	47,388	84,524	20,047	20,047	20,047
Revenues	1,510,614	1,464,707	1,474,271	1,363,260	2,080,418	2,080,418	2,080,418
Expenditures	1,499,316	1,466,494	1,437,135	1,427,737	2,080,418	2,080,418	2,080,418
Transfers							
List each net transfer in/out; list each account number							
		0	0	0	0	0	0
Net Total Transfers		0					
Ending Cash Balance	49,175	47,388	84,524	20,047	20,047	20,047	20,047
Encumbrances	111,314	69,490	76,914	115,105	76,914	76,914	76,914
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Pollution Control - Monitoring Initiative
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): N
 Appropriation Acct. No.: S 299 H

Intended Purpose: Prevent, reduce, and eliminate water pollution.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Surface water monitoring, providing public access to monitoring data, and participating in training pertinent to surface water monitoring.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Current total grant award period was uncertain, but seemed to be changing to a 1-year grant. However, newer information is that it will remain a 5-year grant. A large ceiling amount will be needed in FY 20, when the grant will start a new project period. Expenditures vary according to grant workplan. Positions may be funded by this grant, so ceiling is needed in each year of award period.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	277,695	292,000	539,000	0	0	794,606	50,000
Beginning Cash Balance	754	8,796	417	35,758	21	35,362	70,703
Revenues	148,589	127,019	151,121	89,925	151,121	151,121	151,121
Expenditures	140,547	132,567	115,780	125,662	115,780	115,780	115,780
Transfers							
List each net transfer in/out; list each account number							
Various JV		(2,831)					
Net Total Transfers	0	(2,831)	0				
Ending Cash Balance	8,796	417	35,758	21	35,362	70,703	106,044
Encumbrances	37,833	94	216,864	86,000	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Emergency Medical Services Special Fund
 Legal Authority: Section 321-234, 249-31 (b), 245-15, 245-3, HRS.

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) B
 Appropriation Acct. No. S 301 H

Intended Purpose: Support for operating a state comprehensive emergency medical services system.

Source of Revenues: Fees from annual motor vehicle registration and cigarette tax imposed pursuant to Section 245-15 and 245-3, HRS.

Current Program Activities/Allowable Expenses: Emergency medical services; education and training of emergency medical personnel statewide.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3, Note 4, and Note 5 below.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,196,503	14,196,503	14,796,503	14,796,503	14,796,503	14,796,503	14,796,503
Beginning Cash Balance	22,888,806	24,021,862	26,085,245	29,231,949	29,183,041	16,446,536	16,034,210
Revenues	14,005,640	15,490,367	14,721,055	14,648,506	14,384,177	14,384,177	14,384,177
Expenditures	12,401,842	13,426,984	11,574,350	14,697,415	27,120,681	14,796,503	14,796,503
Transfers							
JS4528 dated 02/10/2015	129,258						
JS6351 dated 05/11/2015	(600,000)						
Net Total Transfers	(470,742)	0	0	0	0	0	0
Ending Cash Balance	24,021,862	26,085,245	29,231,949	29,183,041	16,446,536	16,034,210	15,621,884
Encumbrances	9,308,103	10,217,202	12,735,149	12,324,178.42	0	0	0
Unencumbered Cash Balance	14,713,759	15,868,043	16,496,801	16,858,862	16,446,536	16,034,210	15,621,884

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2018 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2018 Encumbrances (Unliquidated Balance): MBP490-A, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FYs 2019-21 include projected revenue from vehicle registration fees, cigarette sales, and investment pool account and are based on revenues recorded in FAMIS as of: 10/22/2018. Pursuant to ACT 238, SLH 2015, effective July 1, 2015 the rate for tax collection for cigarette sales continues at \$0.0125 and is scheduled to remain in effect for the continuing years, but not more than \$8,800,000 in a fiscal year shall be deposited to the credit of the emergency medical services special fund.

Note 4: The estimated expenditures for FYs 2019-21 are equal to fully expending estimated revenue available within the appropriation ceiling and expenditures for encumbrances remaining from the prior year.

Note 5: The transfer of funds in FY 2015 is pursuant to the purposes of ACT 242, SLH 2013.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Newborn Metabolic Screening Special Fund
 Legal Authority: Section 321-291, HRS

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) B
 Appropriation Acct. No. S 302 H

Intended Purpose This fund is used for payment of its lawful operating expenditures, including, but not limited to laboratory testing, follow-up testing, educational materials, continuing education, quality assurance, equipment, and indirect cost.

Source of Revenues: Per the Hawaii Administrative Rules, the amount collected for each metabolic screening (testing) kit sold in Hawaii (current charge per kit is \$99 eff. 5/27/2017) is deposited to this special fund. Prior to 5/27/2017 the price per kit was \$55.

Current Program Activities/Allowable Expenses: The Newborn Metabolic Screening Program (NBMSp) has statewide responsibilities for assuring that infants born in the State of Hawaii are satisfactorily tested for 32 disorders which can cause mental and growth retardation and even death, if not detected and treated early in the newborn period. NBMSp tracks and follows-up to assure that infants with detected diseases are provided with appropriate and timely treatment.

Purpose of Proposed Ceiling Adjustment (if applicable): In the FY 2017 Supplemental Budget a ceiling increase of \$250,000 was approved.

Variations: The variance in expenditures between FY 2016 to FY 2017 is primarily due to using general funds rather than special funds for the Oregon Health Authority contract while waiting for the price of the kits to increase. This resulted in a savings of approximately \$700,000 in FY 2017. Revenues and expenditures are projected to increase from FY 2018 onward.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,234,569	1,234,569	1,234,569	1,484,569	1,484,569	1,484,569	1,484,569
Beginning Cash Balance	1,151,679	1,049,158	601,079	928,014	1,790,109	1,840,109	1,890,109
Revenues	1,155,373	844,582	1,030,871	1,809,324	1,450,000	1,450,000	1,450,000
Expenditures	1,257,894	1,292,661	703,936	947,229	1,400,000	1,400,000	1,450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,049,158	601,079	928,014	1,790,109	1,840,109	1,890,109	1,890,109
Encumbrances	107,019	286,589	3,498	311,309	100,000	100,000	100,000
Unencumbered Cash Balance	942,139	314,490	924,517	1,478,800	1,740,109	1,790,109	1,790,109

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Birth Defects Special Fund
 Legal Authority: Section 321-426, HRS

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) B
 Appropriation Acct. No. S 304 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including indirect costs.

Source of Revenues: \$10 of each marriage license fee collected by the Hawaii State Department of Health is deposited to this special fund.

Current Program Activities/Allowable Expenses: This fund enables the State to have a continuous, reliable and timely statewide information and monitoring source for ascertaining the number of births with specific disabilities and abnormalities due to birth defects, trends, and changes over time.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues between FY 2016 to FY 2017 reflects the actual deposits to the special fund from the marriage license fees. The variance in expenditures between FY 2016 to FY 2017 is primarily due to increased personal service expenditures in FY 2017. The variance in expenditures from 2018 and FY 2019 and beyond is due to reducing payroll from 5.0 FTE to 4.0 FTE.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	413,853	413,853	413,853	413,853	413,853	413,853	413,853
Beginning Cash Balance	870,773	761,431	668,801	485,900	234,950	186,038	130,948
Revenues	255,001	259,485	215,019	226,103	260,000	260,000	260,000
Expenditures	364,343	352,115	397,920	477,052	308,912	315,090	321,392
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	761,431	668,801	485,900	234,950	186,038	130,948	69,556
Encumbrances	18,038	10,000	0	0	25,000	40,000	40,000
Unencumbered Cash Balance	743,393	658,801	485,900	234,950	161,038	90,948	29,556

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Community Health Centers Special Fund
 Legal Authority: Section 321-1.65, HRS

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF): B
 Appropriation Acct. No.: S 305 H

Intended Purpose: Funds to be used by the Department of Health for the operations of Federally Qualified Health Centers (FQHCs).

Source of Revenues: Cigarette tax assessed and collected pursuant to Section 245-3(a), HRS.

Current Program Activities/Allowable Expenses: (1) Purchase of service (POS) contracts to 13 FQHCs to provide medical (perinatal, pediatric, adult primary care) & support services (svcs) to un- and under-insured individuals that are at or below 250% of the federal poverty level. Optional svcs include behavioral health care, dental treatment, & pharmaceutical svcs. (2) POS contract to Hana Health (an FQHC) for the provision of urgent care (24/7), & for comprehensive primary care svcs. (3) POS contract to Waianae Coast Comprehensive Health Ctr (an FQHC) for the provision of 24-hr emergency room

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The 2015 Legislature capped the revenues to \$8.8 million effective 7/1/15. The decrease in expenditures from FY 2014 to FY 2015 is due to a decrease in the number of uninsured due to the Affordable Care Act (ACA). The decrease in expenditures from FY 2016 to FY 2017 can be attributed to a higher amount of outstanding encumbrances. The projected increase in expenditures between FY 2017-2018 and beyond is due to an expansion of services to the uninsured besides basic medical care.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,341,526	12,341,526	12,341,526	12,341,526	12,341,526	12,341,526	12,341,526
Beginning Cash Balance	7,442,114	11,661,871	15,098,841	19,431,853	22,251,878	20,460,352	18,668,826
Revenues	9,382,599	8,805,985	8,800,000	8,700,092	8,800,000	8,800,000	8,800,000
Expenditures	5,162,842	5,369,015	4,466,988	5,880,067	10,591,526	10,591,526	10,591,526
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11,661,871	15,098,841	19,431,853	22,251,878	20,460,352	18,668,826	16,877,300
Encumbrances	2,950,744	3,205,278	3,707,219	2,917,358	1,750,000	1,750,000	1,750,000
Unencumbered Cash Balance	8,711,127	11,893,563	15,724,633	19,334,521	18,710,352	16,918,826	15,127,300

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 460
 Name of Fund: TITLE XIX Med QUEST Carveout/General Outpatient
 Legal Authority: Section 334-115, HRS

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) B
 Appropriation Acct. No. S 306 H

Intended Purpose: To deposit revenues collected from treatment services rendered by mental health and substance abuse programs operated by the state.

Source of Revenues: Investment pool interest, reimbursement through DHS for administrative cost claims, reimbursement for Medicaid eligible current services, and refund/reimbursement of prior period expenditures.

Current Program Activities/Allowable Expenses: Program activities include assuring a comprehensive array of mental health services for children and adolescents as well as the funding of salaries for Quality Assurance Specialists at the CAMHD Family Guidance Centers and various other positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

FY2016-The revenues increased due to the increase in the reimbursement of mainland placement youth.

FY2017-The revenues decreased due to the fact that the reimbursement of mainland placement youth being caught up.

FY2018-The revenues decreased due to MedQUEST not reimbursing timely for FY18 services.

FY2019-revenues are estimated to increase due to the increase in the reimbursement from MedQuest being caught up. The expenditures are estimated to increase due to the increase to provider contracts.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,985,824	15,043,973	15,070,731	15,093,233	15,093,233	15,093,233	15,093,233
Beginning Cash Balance	2,161,023	2,533,306	5,138,052	6,638,361	4,250,684	4,250,684	4,250,684
Revenues	9,012,059	10,664,966	8,946,909	5,609,651	9,000,000	9,000,000	9,000,000
Expenditures	8,639,776	8,060,220	7,446,600	7,997,328	9,000,000	9,000,000	9,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,533,306	5,138,052	6,638,361	4,250,684	4,250,684	4,250,684	4,250,684
Encumbrances	1,395,327	1,382,417	3,261,194	2,396,025			
Unencumbered Cash Balance	1,137,979	3,755,635	3,377,167	1,854,658	4,250,684	4,250,684	4,250,684

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Drug Demand Reduction Assessments Special Fund (DDRA)
 Legal Authority: Section 706-650(3), HRS

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF): B
 Appropriation Acct. No. S 307 H

Intended Purpose:

Deposits to the Drug Demand Reduction Assessments (DDRA) Special Fund are intended "to supplement drug treatment and other drug demand reduction programs." The DDRA Special Fund allows additional resources to be committed to support needed alcohol and substance abuse treatment services to individuals at risk of further involvement in the criminal justice and correctional system. Also, as the State's "Driving Under the Influence (DUI)" rate continues to increase, it is vital that DDRA funds be used to develop and implement a range of strategies that directly addresses this important community issue.

Source of Revenues: Impositions of monetary assessments in cases involving persons convicted of an offenses related to drugs and intoxicating compounds under Part IV of Chapter 712, HRS.

Current Program Activities/Allowable Expenses:

Current services funded by the DDRA Special Fund provide adult substance abuse treatment services to offenders referred by the Intake Service Center on the island of Oahu (i.e., Oahu Community Correctional Center); evaluation services and support for the Strategic Prevention Framework; and diversion of high-risk youth engaged in minor delinquent behavior identified by the Judiciary' Family Court.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Increase in Appropriation Ceiling from \$500,000 to \$750,000 per Act 119, SLH2015. Variances in FY 17/18/19 expenditures are due to late invoice submission in purchase of service contracts. variances in estimate for FY19 are in anticipation of expending up to ceiling based on program and provider changes for FY19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	750,000	750,000	750,000	750,000	750,000	750,000
Beginning Cash Balance	1,434,083	1,499,579	1,513,166	1,209,387	1,021,410	1,021,410	1,021,410
Revenues	579,814	510,673	526,705	494,794	750,000	750,000	750,000
Expenditures	514,318	497,086	830,484	682,770	750,000	750,000	750,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,499,579	1,513,166	1,209,387	1,021,410	1,021,410	1,021,410	1,021,410
Encumbrances	118,490	341,932	160,344	222,655			
Unencumbered Cash Balance	1,381,089	1,171,234	1,049,043	798,755	1,021,410	1,021,410	1,021,410

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 906
 Name of Fund: State Health Planning and Development Fund
 Legal Authority: Section 323D - 12.6 HRS

Contact Name: Gayle Ogawa
 Phone: 587-0787
 Fund type (MOF) B
 Appropriation Acct. No. S 309 H

Intended Purpose: Support expenses associated with Agency duties as mandated by Chapter 323D HRS.

Source of Revenues: Certificate of Need application fees.

Current Program Activities/Allowable Expenses:

Expenses associated with the administration of the State Certificate of Need (CON) program as mandated in chapter 323D HRS. Expenses associated with maintaining and revising the State's Health Plan as mandated in chapter 323D HRS. Expenses associated with ACT 219 SLH 2007 that requires all public reviews related to CON application for Maui be heard on Maui instead of Honolulu.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations:

Variations in Revenues and Expenditures between FY15 and FY18 are due to key punch error by DAGS, funds belonging to MedQuest and OSHM was deposited in the SHPDA special funds by mistake which significantly overstated the actual revenues in FY16 and FY17. The error has been corrected and funds transferred back to MedQuest and OSHM in multiple fiscal years due to ceiling limit. \$35,800 in Revenues was JV'ed and \$98,882.98 out of the \$111,767.81 Expenditures was refunded to MedQuest and OSHM in FY18. Also, the expenditures between FY15 and FY16 is fewer CON during that period and some of the costs, i.e., xerox, telephone, travel was charged to general funds. The expenditures between FY16 and FY 17 is that computers were purchased. Even with the agency's ceiling limit, half of the mislabeled funds that SHPDA received in error have been transferred back to Med Quest and OSHM. The remaining balance is approximately \$135,500. The agency has communicated with the Med Quest Director to let her know that this error occurred. If the agency is not able to complete the transfer in FY19 and FY20, at the next budget request opportunity, the agency will ask for a one-time ceiling increase to correct this error.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	114,000	114,000	114,000	114,000	114,000	114,000	114,000
Beginning Cash Balance	551,452	530,855	582,104	694,914	585,715	610,715	635,715
Revenues	9,229	71,759	145,017	2,569	55,000	55,000	55,000
Expenditures	29,826	20,510	32,207	111,768	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	530,855	582,104	694,914	585,715	610,715	635,715	660,715
Encumbrances							
Unencumbered Cash Balance	530,855	582,104	694,914	585,715	610,715	635,715	660,715

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
Prog ID(s): HTH 720
Name of Fund: Office of Health Care Assurance Special Fund
Legal Authority: Section 321-1.4, HRS

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF): B
Appropriation Acct. No.: S-310-H

Intended Purpose:

The Hospital and Medical Facilities special fund was enacted in the 1999 Legislature and amended in the 2002 Legislature to allow for deposit of all monies collected by the department in licensing fees and penalties to be expended to assist in offsetting educational program expenses to enhance the capacity of the program to improve public outreach efforts and consultations to industries, educate the public, department staff and providers by the Office of Health Care Assurance (OHCA).

Source of Revenues:

Licensing fees and penalties. The amount of penalties are generally small. Licensing fees will be created through the administrative rules process, which may take several months to implement and barring legislative intervention resulting from concerns raised by healthcare facility operators. Anticipate licensing fees implementation by FYE 2012.

Current Program Activities/Allowable Expenses:

OHCA has the responsibility of managing the State licensure and Federal certification of medical and health care facilities, agencies and services provided throughout the State in order to ensure acceptable standards of care are provided.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Previously, Civil Monetary Penalty (CMP) funds were deposited into OHCA Special Fund (S-XX-310-H). Act 071, SLH 2015, establishes the Civil Monetary Special Fund. The CMP revenue/expenditure funds/transactions was transferred from S-16-310 to S-16-374 in FY2016.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	406,000	406,000	406,000	406,000	376,000	376,000	376,000
Beginning Cash Balance	44,522	54,468	20,980	44,544	76,617	105,117	133,617
Revenues	20,244	14,229	24,758	34,751	31,000	31,000	31,000
Expenditures	10,308	5,262	1,184	2,678	2,500	2,500	2,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-out S-16-374		(42,455)	(10)				
Net Total Transfers	0	(42,455)	(10)	0	0	0	0
Ending Cash Balance	54,458	20,980	44,544	76,617	105,117	133,617	162,117
Encumbrances	4,499	0	0	8,766			
Unencumbered Cash Balance	49,959	20,980	44,544	67,851	105,117	133,617	162,117

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Trauma System Special Fund
 Legal Authority: Section 321-22.5, 245-15, 245-3, 291-, HRS

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF): B
 Appropriation Acct. No. S 311 H

Intended Purpose: Support for the continuing development and operation of a comprehensive state trauma system.

Source of Revenues: Tax pursuant to Section 245-15 and 245-3, HRS. Fines/surcharges pursuant to Section 291-, HRS (ACT 231, SLH 2007).

Current Program Activities/Allowable Expenses: Personnel cost, under/uncompensated trauma care costs incurred by hospitals.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3, Note 4, and Note 5 below.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,220,204	7,428,363	7,433,731	7,433,731	7,433,731	7,433,731	7,433,731
Beginning Cash Balance	14,463,189	14,106,557	15,223,501	11,309,674	13,126,272	7,300,449	7,300,449
Revenues	11,403,444	7,602,792	7,603,277	8,784,442	7,334,180	7,334,180	7,334,180
Expenditures	11,760,076	6,485,847	6,070,517	6,269,973	10,556,642	7,334,180	7,334,180
Transfers							
JM2289 dated 11/06/2017	0	0	(5,446,587)	(697,871)	(2,603,361)		
FYs 2016-21, per Act 238, SLH 2015. See Notes 3 and 5 below.							
Net Total Transfers	0	0	(5,446,587)	(697,871)	(2,603,361)	0.00	0.00
Ending Cash Balance	14,106,557	15,223,501	11,309,674	13,126,272	7,300,449	7,300,449	7,300,449
Encumbrances	2,179,741	2,376,915	3,211,804	3,122,911	0	0	0
Unencumbered Cash Balance	11,926,816	12,846,587	8,097,871	10,003,361	7,300,449	7,300,449	7,300,449

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2018 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2018 Encumbrances (Unliquidated Balance): MBP490-A, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FYs 2019-21 include projected revenue from cigarette sales, traffic fines, and investment pool account and are based on revenues recorded in FAMIS as of: 10/22/2018. The revenue for FY 2018 includes \$1,232,009.07 for refund/reimbursement of prior period expenditures. Pursuant to ACT 238, SLH 2015, the rate for tax collection for cigarette sales decreased from \$0.0150 to \$0.01125 effective July 1, 2015 and is scheduled to remain in effect for the continuing years, but not more than \$7,400,000 in a fiscal year shall be deposited to the credit of the trauma system special fund. The unexpended and unencumbered moneys in the fund in excess of \$7,400,000 on June 30 of each fiscal year shall be transferred by the director of finance into and become a realization of the general fund on that date.

Note 4: The estimated expenditures for FYs 2019-21 are equal to fully expending estimated revenue available within the appropriation ceiling and expenditures for encumbrances remaining from the prior year.

Note 5: The actual and estimated transfer of funds are pursuant to ACT 238, SLH 2015, that states "The unexpended and unencumbered moneys in the fund in excess of \$7,400,000 on June 30 of each fiscal year shall be transferred by the director of finance into and become a realization of the general fund on that date." For FY16, \$5,446,586.59 was transferred in FY 17 from S-16-311-H-467 to G-00-000-H-467 (ref: JV #JM3061). For FY 17, \$697,870.67 was transferred in FY 18 from S-17-311-H-467 to G-00-000-H-467 (ref: JV #JM2289). For FY 18, \$2,603,361.05 was transferred in FY 19 from S-18-311-H-467 to G-00-000-H-467 (ref: JV #JM0509).

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Deposit Beverage Container Special Fund
 Legal Authority: Section 342G-104 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 313 H

Intended Purpose: Increase participation and recycling rates for specified deposit beverage containers; provide a connection between manufacturing decisions and recycling program management; reduce litter; reimburse consumers 5 cents on redeemed glass, plastic and aluminum containers.

Source of Revenues: Beverage container deposit fees and handling fees

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting, and personnel.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies based on amount of container deposits collected from customers and sent to DOH from businesses selling items in containers covered by the HI-5 program. Decrease in expenditures is due to lower container redemption rate by consumers, which results in lower expenditures to redemption centers for deposit reimbursements and handling fees. Lower expenditures also due to numerous position vacancies.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,059,796	71,124,958	71,147,889	71,174,271	71,174,271	71,174,271	71,174,271
Beginning Cash Balance	15,483,202	22,289,136	25,235,234	29,742,414	36,788,260	36,788,260	36,788,260
Revenues	62,696,920	58,396,621	57,390,806	56,956,777	60,000,000	60,000,000	60,000,000
Expenditures	55,890,986	55,450,523	52,883,626	49,910,931	60,000,000	60,000,000	60,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers		0					
Ending Cash Balance	22,289,136	25,235,234	29,742,414	36,788,260	36,788,260	36,788,260	36,788,260
Encumbrances	13,464,828	15,930,614	15,906,690	16,092,588	13,464,828	13,464,828	13,464,828
Unencumbered Cash Balance	8,824,308	9,304,620	13,835,724	20,695,672	23,323,432	23,323,432	23,323,432

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 501
 Name of Fund: Neurotrauma Special Fund
 Legal Authority: Section 321H-4, HRS

Contact Name: Henry Kang
 Phone: 733-2137
 Fund type (MOF) B
 Appropriation Acct. No. S 314 H

Intended Purpose: Funding and contracting for services relating to neurotrauma; for education; assistance to individuals and families to identify and obtain access to services; maintenance of a registry of neurotrauma injuries within Hawaii; and necessary administrative expenses not to exceed two percent (2%) of the total amount collected.

Source of Revenues: Surcharges levied under Sections 291-11.5, 291-11.6, 291C-12, 291C-12.5, 291C-12.6, 291C-102 and 291E-61, HRS.

Current Program Activities/Allowable Expenses: Contracts in the area of education awareness with 1) the Queens Medical Center (Statewide Stroke Network); 2) University of Hawaii (Neurotrauma Registry); and 3) University of Hawaii (Head, Neck, and Spine Educational Resource); 4) Rehabilitation Hospital of the Pacific (REHAB) - Transfer Training.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variations: The main source of revenue for this special fund is traffic citations. The variations were due to less revenue received in FY 2017 and more revenue received in FY 2018, compared to the previous year. The program has no control over the revenue. In July 2015, the Neurotrauma program and SF were transferred from DDD's Outcomes and Compliance Branch (OCB) to the Community Resources Branch (CRB). CRB renegotiated the existing contracts before pursuing new projects, therefore total expenditures decreased in FY 2016. The ongoing contracts with Queens Medical Center (QMC), Hawaiian Islands Regional Stoke Network and UH were executed, therefore expenditures increased in FY 2017 compared to FY 2016. Also, this is the first time this program was fully staffed for the entire year in FY 2017, contributed to the expenditures increased in FY 2017.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,038,992	1,038,992	1,038,992	1,053,448	1,053,448	1,053,448	1,053,448
Beginning Cash Balance	2,232,095	2,074,339	2,153,643	2,002,017	1,949,168	1,953,168	1,957,168
Revenues	785,029	784,351	705,444	806,543	804,000	804,000	804,000
Expenditures	942,784	701,507	857,070	859,391	800,000	800,000	800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(3,541)					
Net Total Transfers	0	(3,541)	0	0	0	0	0
Ending Cash Balance	2,074,339	2,153,643	2,002,017	1,949,168	1,953,168	1,957,168	1,961,168
Encumbrances	827,852	783,712	616,450	686,926			
Unencumbered Cash Balance	1,246,487	1,369,931	1,385,567	1,262,242	1,953,168	1,957,168	1,961,168

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: Interdepartmental Transfer
 Legal Authority: Item E-8, Act 162, 2009 SLH, as amended by Act 180, 2010 SLH

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) U
 Appropriation Acct. No. S 316 H

Intended Purpose: Fund used for participation in the State Nutrition Assistance Program nutrition education program (SNAP-Ed; formerly called Food Stamp Nutrition Education or FSNE) enables the State to get reimbursements based on non federal dollars spent for approved nutrition education activities with populations that qualify for the federal nutrition assistance program

Source of Revenues: Interdepartmental transfer of US Department of Agriculture (USDA) reimbursements through Department of Human Services.

Current Program Activities/Allowable Expenses: The funds can only be received and expanded for activities approved on a state plan that is submitted prior to the beginning of each federal fiscal year to provide nutrition education to populations that that meet the federal SNAP eligibility criterial. USDA provides updated guidance yearly for the development of state plans.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Revenue is determined by DHS. Revenue decreased in FY2018 due to insufficient ceiling.
 Contract encumbrances delayed due to insufficient ceiling and cash on hand.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	610,000	610,000	610,000	610,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	289,682	294,853	137,323	415,506	191,069	(0)	(0)
Revenues	293,351	229,930	663,439	271,149	631,206	610,000	610,000
Expenditures	288,180	387,460	385,256	495,587	822,275	610,000	610,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	294,853	137,323	415,506	191,069	(0)	(0)	(0)
Encumbrances	289,358	117,000	366,166	267,858			
Unencumbered Cash Balance	5,495	20,323	49,341	(76,789)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 907
 Name of Fund: GENERAL ADMINISTRATION
 Legal Authority: ACT 119/SLH 2015

Contact Name: Scott Gardner
 Phone: 586-4553
 Fund type (MOF) B
 Appropriation Acct. No. S 17 317

Intended Purpose: For relocation of the Disability and Communication Access Board and Developmental Disabilities Council to the Kamamalu Building.

Source of Revenues: Funding from S 334 H

Current Program Activities/Allowable Expenses: Relocation of the Disability and Communication Access Board and Developmental Disabilities Council

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			207,000				
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0				
Expenditures			188,660				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1006 dated 01/17/176			207,000				
JS6103 dated 06/30/2017			(18,340)				
Net Total Transfers	0	0	188,660	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Electronic Device Recycling Fund
 Legal Authority: Act 13/2008

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): B
 Appropriation Acct. No.: S 319 H

Intended Purpose: Encourage recycling of electronic devices sold in the State to divert waste and leaching of possible hazardous materials components from landfills.

Source of Revenues: Initial registration and annual renewal fees from all manufacturers' brands of covered electronic devices.

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting, personnel, and supporting county electronics collections (Act 130, HSL 2017).

Purpose of Proposed Ceiling Increase (if applicable): Increase appropriation ceiling from \$510,948 to \$1,072,904, so the Electronic Waste and Television Recycling and Recovery Program can fully use available revenue to support the county e-waste programs. Non-recurring; for FY21 only.

Variances: Expenditures vary depending on the scope of services for contracts with Hawaii, Kauai, and Maui counties to provide electronic device recycling programs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	497,288	505,997	509,062	510,948	510,948	510,948	1,072,904
Beginning Cash Balance	1,231,460	1,410,083	1,602,752	1,624,291	1,840,658	1,835,658	1,830,658
Revenues	382,849	391,565	389,968	368,262	360,000	360,000	360,000
Expenditures	204,226	198,896	368,429	151,895	365,000	365,000	536,452
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,410,083	1,602,752	1,624,291	1,840,658	1,835,658	1,830,658	1,654,206
Encumbrances	601,380	630,752	227,761	135,000	135,000	135,000	536,452
Unencumbered Cash Balance	808,703	972,000	1,396,530	1,705,658	1,700,658	1,695,658	1,117,754

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Domestic Violence and Sexual Assault Special Fund
 Legal Authority: Section 321-1.3, HRS

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) B
 Appropriation Acct. No. S 321 H

Intended Purpose: For use by the Department of Health to fund programs or purchases of service supporting/providing domestic violence and sexual violence intervention and/or prevention.

Source of Revenues: Fees from birth, marriage, divorce and death certificates; and income tax designations per §235-102.5, HRS.

Current Program Activities/Allowable Expenses:

- A. Salary: Domestic Violence Prevention Program Specialist
- B. Domestic Violence Fatality Review: meetings, including travel.
- C. University of Hawaii Women's Center PAU Violence Program: violence prevention task forces activities on 10 campuses statewide
- D. Sex Abuse Treatment Center: sexual violence prevention education, awareness and training.
- E. Hawaii Law Clinic dba Ala Kuola: Coaching Boys into Men curriculum for high schools.
- F. Trainings to prevent domestic and sexual violence.

G. Hawaii State Coalition Against Domestic Violence: Domestic Violence and Developmental Disabilities Curriculum Variances: The variance in revenues between FY 2014-15 and FY 2015-16 is reflective of various revenues received. The variance in expenditures between FY 2014-15 and FY 2015-16 is based on project expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	634,615	634,615	634,615	634,615	634,615	634,615	634,615
Beginning Cash Balance	380,438	550,380	554,732	539,056	351,308	342,308	333,308
Revenues	380,375	301,694	297,608	321,215	341,000	341,000	341,000
Expenditures	210,433	297,342	313,284	508,963	350,000	350,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	550,380	554,732	539,056	351,308	342,308	333,308	324,308
Encumbrances	141,175	226,404	192,470	89,669			
Unencumbered Cash Balance	409,205	328,328	346,586	261,639	342,308	333,308	324,308

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Noise, Radiation and Indoor Air Quality Special Fund
 Legal Authority: Section 342P-7, HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 322 H

Intended Purpose: Implement mandated functions and activities of the Indoor and Radiological Health Branch.

Source of Revenues: Fees for radiological technologists/radiation facilities, radiation services, noise permits, etc.

Current Program Activities/Allowable Expenses: Issue permits, notifications and variances; license and certify radiological technologists and asbestos and lead abatement contractors; provide emergency response and capabilities; respond to complaints; inspect facilities; conduct public outreach and education programs; participate in professional trainings.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending on the number of noise permits/variances and radiation licenses that are issued each year. The amount of noise permits/variances changes depending on the amount of economic activity and construction projects. Odd-numbered years typically generate less revenue, since fewer radiation licenses are renewed in those years. Expenditures in FY15 included computer equipment upgrade/replacement for the entire branch, purchase of monitoring equipment (noise, radiation, and air conditioning/ventilation), and costs related to relocation to Halawa in FY16.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	279,288	280,673	279,288	279,288	279,288	279,288	279,288
Beginning Cash Balance	473,104	434,886	434,101	387,950	391,033	328,256	266,979
Revenues	142,062	145,101	154,406	157,667	137,780	139,280	139,280
Expenditures	180,280	143,861	200,557	154,584	200,557	200,557	200,557
Transfers							
List each net transfer in/out; list each account number							
		(2,025)					
Net Total Transfers		(2,025)	0				
Ending Cash Balance	434,886	434,101	387,950	391,033	328,256	266,979	205,702
Encumbrances	20,192	8,942	6,631	16,717	6,631	6,631	6,631
Unencumbered Cash Balance	414,694	425,159	381,319	374,316	321,625	260,348	199,071

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Asbestos and Lead Abatement Special Fund
 Legal Authority: Act 242/1998 and 342-P, HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 323 H

Intended Purpose: Support mandated functions and activities of the federal National Emissions Standards for Hazardous Air Pollutants, Asbestos-in-Schools and Lead-Based Paint Abatement programs.

Source of Revenues: Fees for demolition/renovation and accreditation of asbestos training.

Current Program Activities/Allowable Expenses: Inspections; public outreach and training for compliance and certification of lead-based paint abatement contractors.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending on the number of asbestos demolition/abatement projects and the number of workers on those projects each year. Expenditures for FY15 and FY16 increased primarily due to higher personnel costs from step movements and using more special funds for program activities since the federal grant award for the asbestos program decreased. FY18 and beyond include one additional position that was changed from federal funding to this special fund.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	224,074	288,412	275,575	327,049	327,049	327,049	327,049
Beginning Cash Balance	357,320	382,256	365,683	362,763	302,887	183,428	53,969
Revenues	245,535	223,605	246,539	238,756	220,000	220,000	220,000
Expenditures	220,599	240,178	249,459	298,632	339,459	349,459	359,459
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0					
Ending Cash Balance	382,256	365,683	362,763	302,887	183,428	53,969	(85,490)
Encumbrances	553	1,642	707	458	707	707	707
Unencumbered Cash Balance	381,703	364,041	362,056	302,429	182,721	53,262	(86,197)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 520
 Name of Fund: Special Parent Information Network (SPIN)
 Legal Authority: HRS §348F-7

Contact Name: Kristine Pagano
 Phone: 447-1397
 Fund type (MOF) U (Interdepartmental transfer)
 Appropriation Acct. No. S 324 H 070

Intended Purpose: A Memorandum of Agreement between the Department of Education and the Department of Health-Disability and Communication Access Board to provide support in the operation and support of the Special Parent Information Network (SPIN) and the Special Education Advisory Council (SEAC).

Source of Revenues: Department of Education

Current Program Activities/Allowable Expenses: Two (2.0) positions and operating costs for SPIN and SEAC.

Purpose of Proposed Ceiling Adjustment (if applicable): Increase in ceiling in FY 2015 to FY 2021 is due to collective bargaining.

Variations:

The variance (decrease) in the revenues in FY 2016 to FY 2017 is due to a decrease in the amount of the Memorandum of Agreement with the Department of Education for the Special Parent Information Network.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	253,338	273,411	280,848	286,003	286,003	290,000	290,000
Beginning Cash Balance	91,365	144,499	149,687	117,383	96,587	76,587	56,587
Revenues	275,992	217,460	188,390	204,175	205,000	205,000	205,000
Expenditures	222,858	212,272	220,694	224,971	225,000	225,000	225,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	144,499	149,687	117,383	96,587	76,587	56,587	36,587
Encumbrances	5,986	2,957	1,752	564			
Unencumbered Cash Balance	138,513	146,730	115,631	96,023	76,587	56,587	36,587

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Violence Prevention Program, Child Death Review (CDR).
 Legal Authority: Act 162, SLH 2009, as amended by Act 180, SLH 2010

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) U
 Appropriation Acct. No. S 325 H

Intended Purpose: To provide for a CDR Nurse Coordinator position to implement the statewide CDR System

Source of Revenues: Interdepartmental transfer from the Department of Human Services' (DHS) federal Child Abuse and Neglect State Grant funds and its Spouse and Child Abuse Special Fund.

- Current Program Activities/Allowable Expenses:• Provides staffing to the State CDR Council who is responsible to analyze and evaluate data, to develop public health policy and to expand prevention programs to impact and strengthen the system to prevent further child deaths.
- Provides staffing and assistance to the six local CDR Teams including the Department of Defense. The purpose of the local teams is to review the circumstances and causes of child deaths and to identify gaps in the delivery system and communication between organizations and to identify environmental, educational and organizational issues related to the prevention of child deaths.
 - Prepares reports in collaboration with the Council members to synthesize the data obtained from the team reviews.
 - Assists in CDR training and education activities.
 - Supports and collaborates with the research statistician to maintain a CDR surveillance system.
 - Maintains and updates CDR Policies and Procedures Manual.

Purpose of Proposed Ceiling Adjustment (if applicable): Function was eliminated by the 2014 Legislature.

Variances: The last revenues were in FY 2013. Expenditures will be to spend down the cash balance.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	203,441	203,441	203,441	203,441	203,441	203,441	203,441
Beginning Cash Balance	222,797	216,438	216,438	175,303	168,718	148,718	128,718
Revenues	0	0	0	0	0	0	0
Expenditures	6,359	0	41,135	6,585	20,000	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	216,438	216,438	175,303	168,718	148,718	128,718	108,718
Encumbrances	0	0	19,585	0	0	0	0
Unencumbered Cash Balance	216,438	216,438	155,718	168,718	148,718	128,718	108,718

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 610 FN
 Name of Fund: Vector Control, U-account
 Legal Authority Act 259/2001 as amended by Act 177/2002; Act 213/2007

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) U
 Appropriation Acct. No. S 327 H

Intended Purpose: Prevent introduction of air- and sea-borne vectors and diseases to the State.

Source of Revenues: State Department of Transportation transfer of funds for vector control work at major ports of entry.

Current Program Activities/Allowable Expenses: Identify potential breeding grounds and harborage sites and maintain traps and poison at stations at major airports and seaports under Department of Transportation jurisdiction.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Delay in transfer of funds in FY 15-17; two new U-funded positions added by Act 119, SLH 2015, with half-year salary in FY 16 and full-year salary in FY 17 and beyond. Variance in expenditures due to position vacancies.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	55,481	122,183	191,279	231,850	231,850	231,850	231,850
Beginning Cash Balance	871	538	2,869	1	46,877	46,877	46,877
Revenues	55,481	65,000	0		231,850	231,850	231,850
Expenditures	55,814	62,669	2,868	137,124	231,850	231,850	231,850
Transfers							
List each net transfer in/out; list each account number							
JS3352/3351/346/3678				184,000			
Various dates							
Net Total Transfers	0	0		184,000			
Ending Cash Balance	538	2,869	1	46,877	46,877	46,877	46,877
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	538	2,869	1	46,877	46,877	46,877	46,877

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 460
 Name of Fund: TITLE IV-E Reimbursement (Interdepartmental transfer from DHS)
 Legal Authority: Act 259/01, as amended by Act 177/02; Act 200/03

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF): U
 Appropriation Acct. No.: S 328 H

Intended Purpose: To provide training and skill development to foster parents/prospective foster parents licensed by child care (placement) organizations (CPO) that are licensed by Department of Human Services (DHS). Many of these youth are cared for through foster services and thus the Title IV-E funds are reimbursed to DOH through DHS. Also reimbursable are training activities provided to staff of CPOs with respect to their activities involving the licensing and training of foster homes.
 Source of Revenues: Reimbursement through DHS for eligible Title IV-E Training.

Current Program Activities/Allowable Expenses: Training and skill development of foster parents/prospective foster parents on an on-going basis.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY2016-The revenues decreased due to the vacancy of the billing position.
 FY2017-The revenues increased due to the filled billing position and billings to get caught up.
 FY2018-The revenues decreased due to the vacancy of the billing position. The expenditures decreased due to the lower revenues in the fund, vacant positions, and lack of outside training
 FY2019-The revenues are estimated to increase due to the filled billing position and billings to get caught up.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,264,888	2,275,159	2,281,992		2,281,992	2,281,992	2,281,992
Beginning Cash Balance	229,934	306,351	350,674	483,606	581,594	731,594	881,594
Revenues	76,417	44,323	134,182	98,003	150,000	150,000	150,000
Expenditures	0	0	1,250	15	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	306,351	350,674	483,606	581,594	731,594	881,594	1,031,594
Encumbrances			35				
Unencumbered Cash Balance	306,351	350,674	483,571	581,594	731,594	881,594	1,031,594

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: PHN, Farrington High School (DOE) U-Account
 Legal Authority: Act 162/2009, as amended by Act 180/2010

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) U
 Appropriation Acct. No. S 329 H (FY14-20)

Intended Purpose:

U funding currently for: Registered Nurse IV (#118858) in the Public Health Nursing Branch (PHNB) for Farrington High School Transition Center; funding from Department of Education (DOE).

Source of Revenues:

U-funding (inter-agency) from the DOE.

Current Program Activities/Allowable Expenses:

PHNB provides a 1.00 FTE Registered Nurse IV (#118858), funded by the DOE, to Farrington High School Transition Center.

Variations: Late revenue deposit in FY16 which resulted in more revenue deposited in FY17. The MOA with Farrington expired on 12/31/17 so there was less revenue and expenditure in FY18 and no revenue and expenditure in FY19. MOA is expected to be in effective again in FY20.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	131,746	131,746	142,627		178,291	178,291	178,291
Beginning Cash Balance	138,881	76,306	1,571	77,478	96,771	96,771	96,777
Revenues	75,417	75,176	228,535	160,275	0	178,297	178,297
Expenditures	137,992	142,884	152,628	140,981	0	178,291	178,291
Transfers							
List each by JV# and date							
		(6,837)					
		(191)					
Net Total Transfers		(7,028)					
Ending Cash Balance	76,306	1,571	77,478	96,771	96,771	96,777	96,783
Encumbrances			3,166				
Unencumbered Cash Balance	76,306	1,571	74,312	96,771	96,771	96,777	96,783

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank Fund
 Legal Authority: Section 342L-51 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 330 H

Intended Purpose: Respond to petroleum releases from underground storage tanks or tank systems in a manner consistent with Chapter 342L, HRS.

Source of Revenues: Fees from field citations or enforcement actions pursuant to Chapter 342L, HRS.

Current Program Activities/Allowable Expenses: Deposit fees and utilize funds to cover costs incurred in responding to petroleum releases per Sections 342L-8(g) and 342L-53(d), HRS.

Purpose of Proposed Ceiling Increase (if applicable): Increase appropriation ceiling from \$200,000 to \$400,000 to enable full use of the revenue to respond to releases from underground storage tank systems, such as the Red Hill Bulk Fuel Storage Tank facility.

Variances: Revenue varies depending upon compliance and enforcement.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	400,000	400,000
Beginning Cash Balance	1,092,078	1,101,828	1,127,680	1,146,987	1,285,621	1,285,621	1,285,621
Revenues	9,750	25,852	19,307	138,634	200,000	400,000	400,000
Expenditures	0	0	0	0	200,000	400,000	400,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,101,828	1,127,680	1,146,987	1,285,621	1,285,621	1,285,621	1,285,621
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	1,101,828	1,127,680	1,146,987	1,285,621	1,285,621	1,285,621	1,285,621

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Early Intervention Special Fund
 Legal Authority: § 321-355, HRS

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF): B
 Appropriation Acct. No.: S 331 H

Intended Purpose: To generate revenues to reinvest into the early intervention services for the at-risk, zero-to-three population; and to expand and enhance early intervention services for infants and toddlers with special needs

Source of Revenues: Federal reimbursements from Medicaid and Title IV E and any other program income or grants earned by this fund.

Current Program Activities/Allowable Expenses: Program activities include community based, family centered early intervention services to infants and

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variances in revenues between FY 2014 and FY 2017 and beyond are due to fluctuations in revenues derived from the Random Moment Survey and the number of Medicaid clients served by the Early Intervention Services Program. There is an anticipated increase in expenditures from FY 2018 and beyond due to collective bargaining and fringe benefit cost for personnel paid from this special fund account.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044
Beginning Cash Balance	1,278,773	1,276,429	1,472,106	1,502,409	1,575,592	1,550,592	1,525,592
Revenues	371,579	531,594	368,989	410,348	400,000	400,000	400,000
Expenditures	373,923	335,917	338,685	337,165	425,000	425,000	425,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,276,429	1,472,106	1,502,409	1,575,592	1,550,592	1,525,592	1,500,592
Encumbrances	0	0	0	154	16,000	16,000	16,000
Unencumbered Cash Balance	1,276,429	1,472,106	1,502,409	1,575,438	1,534,592	1,509,592	1,484,592

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 520
 Name of Fund: DCAB Special Fund
 Legal Authority: HRS §348F-7

Contact Name: Kristine Pagano
 Phone: 447-1397
 Fund type (MOF) B
 Appropriation Acct. No. S 334 H 070

Intended Purpose: Payment of costs associated with the sign language interpreter credentialing program and for operating and payment of costs associated with blueprint reviews under HRS § 103-50.

Source of Revenues: Sign language interpreter testing and blueprint review fees.

Current Program Activities/Allowable Expenses: Ability to expend monies for sign language interpreter credentialing and for blueprint review costs.

Purpose of Proposed Ceiling Adjustment (if applicable): FY 2017 increase in ceiling due to transfer of additional 3.0 positions from the general fund to special fund. FY 2018 to FY 2020 increase in ceiling due to increase of one FTE position and collective bargaining.

Variances:

The variance (increase) in revenues for FY 2016 is due to increase of construction projects reviewed under HRS §103-50.

The variance (increase) expenditures in FY 2016 to FY 2019 is due to increase of staff, operating and payment of costs associated with blueprint reviews under HRS §103-50. The variance (increase) in expenditures in FY 2017 is due to moving expenses.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	588,878	952,734	915,094	966,656	1,000,000	1,000,000
Beginning Cash Balance	1,270,903	2,192,563	3,047,031	3,436,476	3,882,129	4,207,747	4,500,021
Revenues	923,848	1,192,074	1,174,496	1,136,987	1,200,000	1,200,000	1,200,000
Expenditures	2,188	337,606	596,391	691,334	874,382	907,726	907,726
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1006 dated 01/17/176			(207,000)				
JS6103 dated 06/30/2017			18,340				
Net Total Transfers	0	0	(188,660)	0	0	0	0
Ending Cash Balance	2,192,563	3,047,031	3,436,476	3,882,129	4,207,747	4,500,021	4,792,295
Encumbrances	2,470	2,960	15,649	15,172			
Unencumbered Cash Balance	2,190,093	3,044,071	3,420,827	3,866,957	4,207,747	4,500,021	4,792,295

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: Tobacco Settlement Special Fund
 Legal Authority: Section 328L-2, HRS

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF): B
 Appropriation Acct. No.: S 335 H

Intended Purpose: Per Act 118, SLH 2015, the fund's purpose is only to distribute the receipts from the Master Settlement Agreement.

Source of Revenues: Tobacco Master Settlement Agreement

Current Program Activities/Allowable Expenses: Program is only responsible for disbursement of funds pursuant to section 328L-2, HRS

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Revenue is based on allocation to Hawaii from the Tobacco Master Settlement Agreement.

Expenditure is planned based on revenue received. Resolved arbitration reduces annual payment for 5 years; Act 12 SLH 2018 transferred lump sum to EBRF, and allows DOH to supplant using unencumbered cash to FY18 to FY22.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,299,643	50,965,644	48,616,356	48,616,356	48,586,356	48,586,356	48,586,356
Beginning Cash Balance	23,909,672	20,603,806	19,927,633	18,333,696	14,761,257	0	0
Revenues	46,880,993	49,059,604	48,528,609	25,849,451	36,400,000	35,800,000	35,600,000
Expenditures	47,186,859	49,780,777	50,122,547	31,106,737	51,161,257	35,800,000	35,600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0118 dated 07/17/2017 (S357)		45,000		513,195			
JS0120 dated 07/17/2017 (S369)	(3,000,000)			1,171,652			
Net Total Transfers	(3,000,000)	45,000	0	1,684,847	0	0	0
Ending Cash Balance	20,603,806	19,927,633	18,333,696	14,761,257	0	0	0
Encumbrances	6,133,520	7,223,294	1,107,372	425,626			
Unencumbered Cash Balance	14,470,286	12,704,339	17,226,324	14,335,631	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FK
 Name of Fund: Wastewater Treatment Certification Board Special Fund
 Legal Authority: Act 238/SLH 1999

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 336 H

Intended Purpose: Establish and collect fees for applications, conduct examinations, issue or renew certificates pursuant to 340B, HRS.

Source of Revenues: Fees for certification of wastewater treatment personnel

Current Program Activities/Allowable Expenses: Implement duties and powers of the Board pursuant to 340B-11, HRS

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All wastewater treatment operators are required to renew their licenses every other year. The revenue projections reflect this pattern of revenue.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,021	28,021	28,021	28,021	28,021	28,021	28,021
Beginning Cash Balance	67,259	69,727	59,841	62,060	55,707	49,411	33,685
Revenues	21,630	11,326	21,704	8,616	21,704	12,274	21,704
Expenditures	19,162	21,212	19,485	14,969	28,000	28,000	28,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	69,727	59,841	62,060	55,707	49,411	33,685	27,389
Encumbrances	35	1,335	1,176	1,647	1,176	1,176	1,176
Unencumbered Cash Balance	69,692	58,506	60,884	54,060	48,235	32,509	26,213

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: Organ and Tissue Education Special Fund
 Legal Authority: Sec. 327-5.6, HRS

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) B
 Appropriation Acct. No. S 337 H

Intended Purpose: The fund consists of a \$1.00 donation collected at the time of motor vehicle registration and those funds shall be used exclusively for public education programs and activities on organ, tissue and eye donations.

Source of Revenues: \$1.00 donation at the time of motor vehicle registration.

Current Program Activities/Allowable Expenses: Funds are requested and used by the Organ Donor Center of Hawaii for public education activities on the lifesaving benefits of the Organ Donor Program.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variations: Revenue depends on donations made by drivers when they are paying their motor vehicle registration.
 A decrease in expenditure is due to insufficient ceiling.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	40,000	30,000	30,000	40,000	40,000	40,000
Beginning Cash Balance	44,919	61,087	44,224	46,376	67,497	67,497	67,497
Revenues	32,982	23,101	32,151	47,558	40,000	40,000	40,000
Expenditures	16,814	39,964	29,999	26,437	40,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	61,087	44,224	46,376	67,497	67,497	67,497	67,497
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	61,087	44,224	46,376	67,497	67,497	67,497	67,497

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Vital Statistics Improvement Special Fund
 Legal Authority: HRS 338-14.6

Contact Name: Alvin T. Onaka Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) B
 Appropriation Acct. No. S-338-H

Intended Purpose:

For the modernization and automation of the vital statistics system in this State. May also be used to assist in offsetting costs of the daily operations of the system of vital statistics

Source of Revenues:

Fees for certified copies of birth, marriage, and death records.

Current Program Activities/Allowable Expenses:

Enhancement of Electronic Death & Electronic Birth Registration systems.

Purpose of Proposed Ceiling Adjustment (if applicable):

None.

Variances: FY15 & FY16 expenditure variance due to enhancements, major enhancements are expected to decrease.

FY16 to FY17 and FY17 to FY18 variance due to personnel costs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	646,822	657,469	660,466	662,587	484,641	484,641	484,641
Beginning Cash Balance	597,032	426,922	194,883	61	37,723	37,723	62,723
Revenues	362,266	274,306	260,758	282,620	260,000	260,000	260,000
Expenditures	532,376	506,345	455,580	244,958	260,000	235,000	235,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	426,922	194,883	61	37,723	37,723	62,723	87,723
Encumbrances	3,682	99,500		0			
Unencumbered Cash Balance	423,240	95,383	61	37,723	37,723	62,723	87,723

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 610 FL/FQ and HTH 849 FA
 Name of Fund: Sanitation and Environmental Health Special Fund
 Legal Authority: Section 321-27 HRS
 HTH 610 apprn \$2,147,467; HTH 849 apprn \$77,234

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 340 H and S 315 H

Intended Purpose: Enhance capacity of environmental health programs to improve public outreach, educate public and professionals, plan for future and emerging needs, and provide trainings to maintain competencies among environmental health professionals

Source of Revenues: Fees from food establishment inspections, temporary food permits, licenses for embalmers and tattoo artists, plan review and swimming pool permits, etc.

Current Program Activities/Allowable Expenses: Enhance updated code for licenses and permits granted to food establishments; establish mechanisms for other fee-generating programs through promulgation of rules; enhance educational and public outreach programs; improve electronic systems infrastructure and capabilities; develop partnerships to enhance program operations; develop emergency response capabilities

Purpose of Proposed Ceiling Increase (if applicable): Add 14.00 permanent Sanitarian positions to maintain food establishment inspection frequency and funds for food safety public health education messaging campaign.

Variances: Increase in fees, due to HAR revisions, started in FY 14 partial, and then in FY 15 and on as food establishments renew permits under the new system of higher annual fees; expenditures will increase in future as new hires are allocated up to authorized position level as they gain experience, as well as from pay increases, step movements, and fringe benefit rate increases. FY17 higher expenditures due to costs related to relocation to Halawa.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,442,346	1,830,641	1,846,538	2,224,701	2,224,701	3,094,145	3,813,050
Beginning Cash Balance	695,771	1,707,998	2,404,367	4,249,177	5,686,551	7,031,450	7,506,905
Revenues	2,165,291	2,148,307	3,718,303	3,216,400	3,216,399	3,216,399	3,216,399
Expenditures	1,153,064	1,453,963	1,873,493	1,779,026	1,871,500	2,740,944	3,459,849
Transfers							
List each net transfer in/out; list each account number							
		2,025					
Net Total Transfers	0	2,025	0				
Ending Cash Balance	1,707,998	2,404,367	4,249,177	5,686,551	7,031,450	7,506,905	7,263,455
Encumbrances	3,427	268,146	70,067	70,307	70,307	70,307	70,307
Unencumbered Cash Balance	1,704,571	2,136,221	4,179,110	5,616,243	6,961,143	7,436,598	7,193,148

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FK and HTH 849 FB
 Name of Fund: Water Pollution Control Revolving Fund
 Legal Authority: Clean Water Act and Section 342D-83 HRS
HTH 840 apprn \$101,289,651; HTH 849 apprn \$772,860

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 341 H and S 387 H

Intended Purpose: Provide low interest loans to counties and private entities for wastewater project construction including non-point source pollution projects.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Process and service loans; revise standards and procedures to streamline loan review process; monitor special conditions and payments of existing loans; process payments to counties; and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable): Program is requesting 4.00 permanent position counts only and will use funding for the positions that is within the existing appropriation ceiling.

Variances: Revenues, expenditures, and encumbrances will fluctuate depending on level and scheduling of construction loans and the pace of construction, which is affected by economic conditions. Revenues will actually increase later on as more loans are issued and more repayments are received.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	101,326,429	101,535,514	101,753,515	102,062,511	102,062,511	102,062,511	102,062,511
Beginning Cash Balance	128,692,666	143,384,694	154,394,788	152,457,918	170,393,502	120,793,502	85,593,502
Revenues	43,300,192	57,194,058	46,562,064	36,972,106	48,600,000	49,800,000	49,500,000
Expenditures	28,608,164	46,183,964	48,498,934	21,106,522	98,200,000	85,000,000	51,200,000
Transfers							
List each net transfer in/out; list each account number							
JM3208 dated 12/29/2017				2,070,000			
Net Total Transfers	0	0		2,070,000			
Ending Cash Balance	143,384,694	154,394,788	152,457,918	170,393,502	120,793,502	85,593,502	83,893,502
Encumbrances	17,959,056	30,339,861	47,031,744	52,067,757	102,144,960	50,000,000	50,000,000
Unencumbered Cash Balance	125,425,638	124,054,927	105,426,174	118,325,745	18,648,542	35,593,502	33,893,502

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD, HTH 840 FE, FG, FH, FJ
 Name of Fund: Environmental Response Revolving Fund
 Legal Authority: Section 128D-2, HRS; also see Section 342, HRS
HTH 849 aprpn \$1,363,895; HTH 840 aprpn \$412,212

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S-342-H, S-339-H

Intended Purpose: Fund emergency response actions as well as oil spill planning, prevention, preparedness, education, research, training, removal, and remediation. Includes accounts for (1) Local Emergency Planning Committees (LEPCs) by means of the Emergency Planning and Community Right to Know Act (EPCRA) filing fees used for administration and oversight of Chapter 128D, HRS; and (2) Voluntary Response Program which allows for voluntary actions taken by a party in response to hazardous substance releases and threats of diseases with a provision for relief from liability for an eligible party used for the purpose of administration and oversight pursuant to Section 128D-2, HRS. Per Section 128D-2, moneys from the fund shall be expended by the department for the following: (1) Removal, remediation, and detection of oil and pollutant or contaminant releases; (2) Removal and remediation of hazardous waste and any other solid, liquid, or gaseous substance that may harm the environment; and (3) The payment of costs listed under section 128D-4(c).

Source of Revenues: Tax of 5 cents per barrel of petroleum, fines/penalties, and Chemical Tier II reporting fees. 5 cents of the \$1.05 Environmental Response, Energy, and Food Security Tax is deposited to ERRF. The only consistent and reliable source of revenue is the oil tax. Airline fuel assessments are not included in the oil tax.

Current Program Activities/Allowable Expenses: Oil spill removal and remediation activities; respond to and investigate hazardous materials incidents; coordinate emergency response and related trainings. Conduct related activities in HTH 840, Environmental Management Division, Clean Water, Safe Drinking Water, and Solid and Hazardous Waste branches.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Over the past several years, Hawaii has imported fewer barrels of oil as it relies more heavily on renewable energy, which in turn has decreased the amount of income for the ERRF at the rate of about 5% per year. Expenditures are much lower from FY 16 since positions are no longer funded by ERRF, in order to maintain solvency of fund. Revenue varies depending on the amount of fines. Higher expenditures in FY18 due to emergency response activities for Kilauea volcano eruption and oversight activities for Red Hill Bulk Fuel Storage Tank Facility remediation.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,212,361	1,712,734	1,790,391	1,776,107	1,776,107	1,776,107	1,776,107
Beginning Cash Balance	1,782,550	922,837	1,319,059	4,289,624	5,182,929	5,182,929	5,182,929
Revenues	2,543,690	1,517,180	3,821,107	2,077,897	1,000,000	1,000,000	1,000,000
Expenditures	3,403,403	1,120,958	850,542	1,184,592	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	922,837	1,319,059	4,289,624	5,182,929	5,182,929	5,182,929	5,182,929
Encumbrances	493,138	493,138	419,317	326,365	419,317	419,317	419,317
Unencumbered Cash Balance	429,699	825,921	3,870,307	4,856,564	4,763,612	4,763,612	4,763,612

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH & HTH 849 FB
 Name of Fund: Drinking Water Treatment Revolving Loan Fund
 Legal Authority: Section 340E-35 HRS
HTH 840 apprn \$106,899,187; HTH 849 apprn \$656,907

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 344 H and S 386 H

Intended Purpose: Provide low interest loans to improve public and private drinking water systems in Hawaii.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Review technical, financial and managerial viabilities of loan applicants; process and serve loans, and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenues, expenditures, and encumbrances will fluctuate depending on level and scheduling of construction loans and the pace of construction, which is affected by economic conditions.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	62,073,980	107,213,598	107,365,216	107,556,094	107,556,094	107,556,094	107,556,094
Beginning Cash Balance	69,440,601	46,981,972	52,390,982	42,671,782	25,841,975	25,841,975	25,541,975
Revenues	30,105,205	38,390,278	41,809,445	26,050,066	28,700,000	26,000,000	26,500,000
Expenditures	52,563,834	32,981,268	51,528,645	44,319,273	28,700,000	26,300,000	27,100,000
Transfers							
List each net transfer in/out; list each account number							
JM3208 dated 12/29/2017				1,663,000			
JS556/635/1476/2240/3044/3717/3859/4402/5242/5452				(223,600)			
Net Total Transfers	0	0		1,439,400			
Ending Cash Balance	46,981,972	52,390,982	42,671,782	25,841,975	25,841,975	25,541,975	24,941,975
Encumbrances	38,249,407	59,332,737	56,505,801	25,741,853	30,000,000	15,000,000	15,000,000
Unencumbered Cash Balance	8,732,565	(6,941,755)	(13,834,019)	100,122	(4,158,025)	10,541,975	9,941,975

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Mental Health and Substance Abuse Special Fund
 Legal Authority: Section 334-16, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) B
 Appropriation Acct. No. S 346 H

Intended Purpose: The purpose of the fund is to deposit all revenue collected from treatment services rendered by mental health and substance abuse programs operated by the State.

Source of Revenues: The source of revenue include payments from Medicaid, Medicare, and patients.

Current Program Activities/Allowable Expenses: Activities include the provision of community-based outpatient services, case management services, psychosocial rehabilitation services, crisis services, residential services, and treatment services. Allowable expenses are expenses incurred to provide or support the provision of program activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances are attributed to a decrease in the number of consumers served in reimbursable services and the delay in the execution of purchase of service contracts.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,795,000	11,610,000	11,610,000	11,610,000	11,610,000	11,610,000	11,610,000
Beginning Cash Balance	39,726,061	44,907,928	44,682,080	45,287,732	47,497,192	47,497,192	47,497,192
Revenues	6,986,969	5,573,238	4,544,014	7,955,172	10,000,000	10,000,000	10,000,000
Expenditures	1,805,102	5,799,086	3,938,362	5,745,712	10,000,000	10,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	44,907,928	44,682,080	45,287,732	47,497,192	47,497,192	47,497,192	56,497,192
Encumbrances		1,678,360	5,621,821	3,674,364			
Unencumbered Cash Balance	44,907,928	43,003,720	39,665,911	43,822,828	47,497,192	47,497,192	56,497,192

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Environmental Management Special Fund
 Legal Authority: Sec 342G-63 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 348 H

Intended Purpose: Fund statewide education, demonstration, market development programs; provide training for municipal solid waste operators; & fund glass recovery programs. Amended by Act 173/00 to collect motor vehicle tire surcharge (Sec 342I-B HRS) & fines or penalties for violations pursuant to Sec 342I-J HRS. Amended by Act 176/02 to fund statewide beverage container redemption & recycling program in a separate special fund S 313 H 372, the Deposit Beverage Container Special Fund.

Source of Revenues: By statute, three separate revenue sources to be used solely for respective functions: glass advance disposal fee (ADF); solid waste disposal surcharge (tipping fee); and tire surcharge fee.

Current Program Activities/Allowable Expenses: Provide technical assistance to counties; municipal solid waste landfill permitting, closure review, groundwater monitoring, inspection and enforcement. Administer county glass recovery grant, conduct public outreach and administer demonstration projects. Pursuant to Act 173/00, for the period 1/1/00 to 12/31/05, moneys may be used to support permitting, monitoring and enforcement activities; promote market development and reuse for recovered motor vehicle tires, reuse through education, research etc.; support program for illegal dumping, clean-ups and the like. Conduct recycling education and demonstration projects; promote recyclable market development activities; hire personnel to oversee implementation of the program; and fund associated office expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue from solid waste disposal surcharge and glass ADF fluctuates with economic conditions, e.g. construction activities. Revenues are estimated at same level throughout; decreasing due to diverting waste from landfills, but increasing due to more construction activities. Expenditures should increase after 2 new positions authorized by Act 49, SLH 2017 are established and filled. Expenditures have fluctuated due to the change of MOF for several positions and the timing on the payment on ADF contracts.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,158,521	5,219,475	2,279,626	2,294,202	2,463,668	2,463,668	2,463,668
Beginning Cash Balance	2,205,757	2,066,273	2,371,788	2,502,472	3,179,442	3,228,236	3,277,030
Revenues	1,466,242	1,430,540	1,479,334	1,509,409	1,479,334	1,479,334	1,479,334
Expenditures	1,605,726	1,125,025	1,348,650	832,439	1,430,540	1,430,540	1,430,540
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0					
Ending Cash Balance	2,066,273	2,371,788	2,502,472	3,179,442	3,228,236	3,277,030	3,325,824
Encumbrances	240,027	505,444	263,653	439,990	169,466	169,466	169,466
Unencumbered Cash Balance	1,826,246	1,866,344	2,238,819	2,739,452	3,058,770	3,107,564	3,156,358

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: Clean Air Special Fund
 Legal Authority: Sec 342B-32 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): B
 Appropriation Acct. No.: S 349 H

Intended Purpose: Establish and collect fees from covered, noncovered and agricultural burning permit sources.

Source of Revenues: Permit fees from covered (major) and noncovered (minor) sources of air pollution.

Current Program Activities/Allowable Expenses: Develop and administer the air program and the permitting, monitoring and enforcement requirements pursuant to Title V of the Clean Air Act.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: 1) New greenhouse gas (GHG) rules were implemented in FY 14, with new fees effective 1/1/15. The new GHG fees increased revenue by about \$1 million in FY 16, with half that amount of increase in FY 15. 2) The variance in FY18 is due to the timing required to receive, process and deposit the larger covered source annual fees. Covered source annual fees are due 120 days after the end of the calendar year. In calendar years 2015-17, the bulk of the bank deposits were made after July 1 and show up as revenue in the following FY. Prior to establishing an accountant position, deposits were processed by staff as time permitted. After establishing an accountant position in December 2016 and hiring CAB's first accountant in October 2017, the majority of the 2018 annual fees were deposited in the same FY they were received (before July 1, 2018). The disproportionately large revenue amount in FY18 comprises a large portion of both the 2017 and 2018 fees (two years of annual fees deposited in the same FY). 3) It is anticipated that overall revenue will be decreasing in future years due to the increasing use of renewable energy, such as solar and wind. Expenditures will steadily increase with the continued establishment and filling of eight positions, which commenced after approval of reorganization, as well as salary increases, step movements, and inflation.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,324,560	4,681,831	5,294,739	5,384,424	5,384,424	5,384,424	5,384,424
Beginning Cash Balance	7,438,342	7,798,602	8,301,210	9,791,145	14,403,021	14,916,898	15,087,760
Revenues	3,328,550	4,385,376	5,031,725	8,559,821	4,600,000	4,400,000	4,400,000
Expenditures	2,968,290	3,882,768	3,541,790	3,947,945	4,086,123	4,229,137	4,377,157
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0					
Ending Cash Balance	7,798,602	8,301,210	9,791,145	14,403,021	14,916,898	15,087,760	15,110,603
Encumbrances	50,316	108,078	405,516	187,168	405,516	405,516	405,516
Unencumbered Cash Balance	7,748,286	8,193,132	9,385,629	14,215,853	14,511,382	14,682,244	14,705,087

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Clean Water Branch
 Legal Authority: Act 134/SLH 2013

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) U
 Appropriation Acct. No. S 362 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Water Act that regulates protection of the environment and

Source of Revenues: State Department of Transportation

Current Program Activities/Allowable Expenses: Program development, technical assistance, permitting, enforcement, data management, and regulation of

Purpose of Proposed Ceiling Increase (if applicable):

Variances: For expenditures, due to position vacancies. For revenues, due to timing of reimbursement from DOT.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	174,454	174,454	174,454	235,454	235,454	235,454	235,454
Beginning Cash Balance	36,778	60,600	49,136	67,289	8,233	8,233	8,233
Revenues	112,666	156,648	188,951	0	235,454	235,454	235,454
Expenditures	88,844	168,112	170,798	87,138	235,454	235,454	235,454
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5955 dated 07/05/2018				28,081			
Net Total Transfers	0	0	0	28,081	0	0	0
Ending Cash Balance	60,600	49,136	67,289	8,233	8,233	8,233	8,233
Encumbrances							
Unencumbered Cash Balance	60,600	49,136	67,289	8,233	8,233	8,233	8,233

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Early Childhood Obesity Special Fund
 Legal Authority: Act 106, SLH 2012

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) B
 Appropriation Acct. No. S 363 H

Intended Purpose: The purpose of these funds is to: 1) collect and analyze Hawaii specific early childhood obesity data to identify children at risk; 2) increase awareness of the health implications of early childhood obesity; and 3) promote best practices throughout community-based initiatives to improve healthy life choices.

Current Program Activities/Allowable Expenses: Funds will be used for obesity prevention programs such a training to child care providers, developing

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: A biennium budget request to eliminate the appropriation ceiling commencing in FY 2016 was submitted by the Department of Health.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	0	0	0	0	0	0
Beginning Cash Balance	24,642	24,642	24,642	0	0	0	0
Revenues	0	0	0		0	0	0
Expenditures	0	0	0		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0254 dated 07/23/2015			(24,642)				
Net Total Transfers	0	0	(24,642)	0	0	0	0
Ending Cash Balance	24,642	24,642	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	24,642	24,642	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2019 Legislature**

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Medical Marijuana Registry Special Fund
 Legal Authority: HRS Sect 321-59 (Act 177/SLH 2013)

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) B
 Appropriation Acct. No. S 364 H

Intended Purpose: (1) To offset the cost of the processing and issuance of patient registry identification certificates and primary caregiver registration certificates; (2) To fund positions authorized by the legislature; (3) To establish and manage a secure and confidential database; and (4) For any other expenditure necessary, as authorized by the legislature, to implement a medical marijuana registry program.

Source of Revenues:

Medical marijuana registration fee of no more than \$35

Current Program Activities/Allowable Expenses:

The Medical Marijuana (MMJ) Registry program is currently being set up and transitioning from the Department of Public Safety to Department of Health. Staff are being hired and training and system are being installed to take over the registry program effective 1/1/15.

Variiances: FY15 is when the MMJ Registry Program became operational and has expenditures.

Beginning with FY16, the revenue and expenditure increase with the increase of number of patient registry due to the opening of marijuana dispensary.

The increase continue in FY17 and forward.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	494,869	331,869	518,226	662,761	726,850	726,850	726,850
Beginning Cash Balance	328,037	530,799	669,038	897,384	1,227,985	1,261,135	1,294,285
Revenues	192,431	532,478	682,893	839,891	760,000	760,000	760,000
Expenditures	190,915	394,239	454,547	509,290	726,850	726,850	726,850
Transfers							
	201,246						
Net Total Transfers	201,246		0				
Ending Cash Balance	530,799	669,038	897,384	1,227,985	1,261,135	1,294,285	1,327,435
Encumbrances	0	0	0	16,866			
Unencumbered Cash Balance	530,799	669,038	897,384	1,211,120	1,261,135	1,294,285	1,327,435

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
 Prog ID(s): HTH 720
 Name of Fund: OHCA SF - Civil Monetary Penalty Special Fund
 Legal Authority: Act 71, SLH 2015

Contact Name: Utey Uch
 Phone: (808) 692-7229
 Fund type (MOF): B
 Appropriation Acct. No.: S**-374-H

Intended Purpose:

Act 71, SLH 2015 establishes Civil Monetary Penalty Special Fund to federally impose penalty when health care facilities or agencies do not meet medicare certification requirements as determined by the Department of Health when it conducts medicare certification surveys and complaint investigations on the health care facilities or agencies in Hawaii in accordance with Section 1864 of Social Security Act.

Source of Revenues:

The fund shall consists of moneys collected by the United States Department of Health and Human Services, Centers for Medicare and Medicaid Services

Current Program Activities/Allowable Expenses:

Not more than \$30,000 of the moneys in the fund may be used during any fiscal year for the activities carried out the department of Health as approved by the Centers for Medicare and Medicaid Services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Previously, Civil Monetary Penalty (CMP) funds were deposited into OHCA Special Fund (S-XX-310-H). Act 071, SLH 2015, establishes the Civil Monetary Special Fund. The CMP revenue/expenditure funds/transactions was transferred from S-16-310 to S-16-374 in FY2016.

Financial Data							
	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (estimated)	FY 2020 (estimated)	FY 2021 (estimated)
Appropriation Ceiling		30,000	15,000	10,000	30,000	30,000	30,000
Beginning Cash Balance		98,832	141,287	258,911	679,833	1,049,833	1,419,833
Revenues		0	117,624	426,146	400,000	400,000	400,000
Expenditures		0	0	5,224	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in S-16-310		42,455					
Net Total Transfers		42,455	0	0	0	0	0
Ending Cash Balance		141,287	258,911	679,833	1,049,833	1,419,833	1,789,833
Encumbrances							
Unencumbered Cash Balance		141,287	258,911	679,833	1,049,833	1,419,833	1,789,833

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
 Prog ID(s): HTH 720
 Name of Fund: Dietitian Licensure Special Fund
 Legal Authority: Act 280, SLH 2000

Contact Name: Utey Uch
 Phone: (808) 692-7229
 Fund type (MOF) B
 Appropriation Acct. No. S**-388-H

Intended Purpose:

The fund is for the deposit of fees and fines collected from the licensing of dietitians in the state of Hawaii.

Source of Revenues:

Licensing fees and fines collected.

Current Program Activities/Allowable Expenses:

These funds may be used for the operation of the licensing program and funding of statewide continuing education and training programs for licensed dietitians.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Dietitians are not required to be licensed. Only those who submit applications for licensure are licensed. There is no set guideline of dietitians who want to be licensed. The licenses are good for (3) years after which they must be renewed. The initial fee for licensure is much higher than the renewal fee which also contributes to the amount of fees collected during any given year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	30,000	15,000	10,000	15,000	15,000	15,000
Beginning Cash Balance	20,300	28,575	36,547	44,767	55,264	64,214	73,164
Revenues	8,275	13,450	8,220	11,050	9,500	9,500	9,500
Expenditures	0	5,478	0	553	550	550	550
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	28,575	36,547	44,767	55,264	64,214	73,164	82,114
Encumbrances							
Unencumbered Cash Balance	28,575	36,547	44,767	55,264	64,214	73,164	82,114

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
 Prog ID(s): HTH 720
 Name of Fund: Medical Marijuana Registry and Regulation Special Fund
 Legal Authority: Section 321-30.1, HRS

Contact Name: Utey Uch
 Phone: (808) 692-7229
 Fund type (MOF) B
 Appropriation Acct. No. S**-397-H

Intended Purpose:

Pursuant to Act 241, SLH 2015, the purpose of this special fund is for the deposit of licensing fees and other related revenue from the medical marijuana dispensary licensing program.

Source of Revenues:

Revenues are from the licensing of medical marijuana dispensaries and fines that may be assessed against the medical marijuana dispensaries and their respective related facilities conducted by the Department of Health's Office of Health Care Assurance.

Current Program Activities/Allowable Expenses:

OHCA has the responsibility of licensing the medical marijuana dispensaries in the state and ensuring compliance with and pursuant to Act 241, SLH 2015. Currently, funds are to be used for the implementation and on-going operations of a regulated statewide dispensary system for medical marijuana.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY16/17 variance due to the establishment of the program. Revenues in FY16 consist of seed money, all other years are from licence fees. FY17 and FY18 revenues are higher due to one time application fees. For FY19 and beyond, revenues drop to \$412,000 which reflect the annual renewal fees. Variance in expenditure from FY18 to FY19 is due to expected filling of additional positions acquired.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	750,000	750,000	890,000	890,000	890,000	890,000
Beginning Cash Balance	0	0	1,361,141	1,503,241	1,767,668	1,289,668	811,668
Revenues	0	1,605,000	727,733	813,476	412,000	412,000	412,000
Expenditures	0	243,859	585,633	549,049	890,000	890,000	890,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-in from GF			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,361,141	1,503,241	1,767,668	1,289,668	811,668	333,668
Encumbrances				1,692,723			
Unencumbered Cash Balance	0	1,361,141	1,503,241	74,945	1,289,668	811,668	333,668

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Comprehensive STD Prevention Projects
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL I11-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 500 H (FY14-20)

Intended Purpose:

To prevent and reduce the incidence of STD in the State of Hawaii

Source of Revenues:

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses:

STD disease intervention and detection

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The variance between FY16 and FY17 and FY18 and FY19 was due to an increase in the grant award.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,153,152	1,067,065	681,760	499,822	412,121	412,121	412,121
Beginning Cash Balance	13,454	11,713	12,559	56	108	108	108
Revenues	353,184	327,875	372,000	427,392	412,121	412,121	412,121
Expenditures	354,925	327,029	384,504	427,339	412,121	412,121	412,121
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	11,713	12,559	56	108	108	108	108
Encumbrances				70			
Unencumbered Cash Balance	11,713	12,559	56	38	108	108	108

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
 Prog ID(s): HTH131 S501
 Name of Fund: (1) SDOH Category A, Part 1 and Category B Project for Viral Hepatitis;
(2) Hawaii's Epidemiology & Laboratory Capacity for Infectious Diseases
 Legal Authority (1) PHS Act, Sec 1706, 42 USC 300u-5, Sec 2(d), PL 98-551
(2) 42 USC 241, 31 USC 6305, 42 CFR 52

Contact Name: Sarah Y. Park, MD, FAAP
 Phone: (808) 587-6845
 Fund type (MOF) P
 Appropriation Acct. No. S-501-H

Intended Purpose:

(1) Program's goal is to develop, coordinate, implement, and evaluate the statewide Hawaii Adult Hepatitis Prevention Program (AVHPP) in accordance with CDC's recommendations and guidelines, as well as, the US DHHS 2014 Viral Hepatitis Action Plan.

(2) ELC grant funds are used to improve and protect the health of Hawaii's citizens and visitors by providing DOH the tools and skills

Source of Revenues: Federal Funds.

Current Program Activities/Allowable Expenses:

(1) Funds are used to pay for the Viral Hepatitis Prevention Coordinator and his travel expenses.

(2) Funds will be used to maintain personnel to accomplish key objectives for the ELC grant and support and improve the following capacities to detect, mitigate, and prevent infectious diseases for the population of Hawaii: epidemiology, laboratory, and health information systems. Additional funding in FY18 supports arboviral response, including Zika virus, as well as, addressing antimicrobial resistance and healthcare associated infections.

Purpose of Proposed Ceiling Adjustment (if applicable):

(1) Grant to move to CDPHND beginning in FY20. Anticipated new project period in FY21.

(2) Anticipated new project period in FY20.

Variances:

(1) Grant will be moving from DOCD to CDPHND beginning in FY20.

(2) Variances in revenues and expenditures is generally due to variances in award receipt date, components, amounts, and timing of payments.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	4,000,000	3,067,865	3,067,865	15,089,000	3,380,000
Beginning Cash Balance	3,038	30,050	37,291	2,275	4,042	0	0
Revenues	892,127	1,139,461	2,250,593	3,140,893	3,584,708	3,089,000	3,089,000
Expenditures	865,115	1,132,220	2,285,609	3,139,126	3,588,750	3,089,000	3,089,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	30,050	37,291	2,275	4,042	0	0	0
Encumbrances				260,334			
Unencumbered Cash Balance	30,050	37,291	2,275	(256,292)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 460
 Name of Fund: Project Kealahou - A New Pathway for Girls
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 503 H

Intended Purpose: This grant provided resources for the provision of comprehensive mental health services for girls with severe and persistent mental illness combined with trauma.

Source of Revenues: Federally funded grant from SAMHSA

Current Program Activities/Allowable Expenses: This grant ended FY2017

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variances in FY17 are due to the grant ending September 29, 2016.

FY2017-The revenues and expenditures decreased due to not having a new award for FY2017. The grant was ran on the no-cost-extension.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,440,032	1,485,427	0	0	0	0	0
Beginning Cash Balance	48,994	29,396	21,527	0	0	0	0
Revenues	845,636	808,893	345,468		0	0	0
Expenditures	865,234	816,762	366,995		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	29,396	21,527	0	0	0	0	0
Encumbrances	180,253	45,842					
Unencumbered Cash Balance	(150,857)	(24,315)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Mental Health Data Infrastructure Grant of Quality Improvement
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 504 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Hawaii's State Mental Health Data Infrastructure Grant for Quality Improvement

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for the continued collection of mental health client-level data elements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 revenue variance is attributed to the expedited receipt of grant funds due to a change in the grant administrator. The expenditure variances are attributed to difficulties in filling the grant funded position.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	137,363	137,363	137,363	137,363	137,363	137,363
Beginning Cash Balance	48,193	146,008	188,910	275,003	312,479	312,479	312,479
Revenues	133,504	137,363	171,703	137,363	137,363	137,363	137,363
Expenditures	35,689	19,779	85,610	99,887	137,363	137,363	137,363
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/15		(24,060)					
JS 6982 dated 6/28/16		(50,622)					
Net Total Transfers	0	(74,682)	0	0	0	0	0
Ending Cash Balance	146,008	188,910	275,003	312,479	312,479	312,479	312,479
Encumbrances	146,008	1,940	38				
Unencumbered Cash Balance	0	186,970	274,965	312,479	312,479	312,479	312,479

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Systems Development Initiative (SSDI)
 Legal Authority: SSA, Title V, Section 502(a)(1), as amended; 42 USC 702

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 505 H

Intended Purpose: The purpose of this grant is to improve data analysis capacity for programs. The grant focuses on the development of key MCH datasets through the establishment of the data linkages between birth records and agency data (including Medicaid files and hospital discharge data), as well as improving access to data from surveys and registries. The analysis of the data will open new paths to investigate health problems and to evaluate programs and policies for more effective Title V MCH program planning in Hawaii.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Data collection, analysis, and publication related to the MCH population are carried out on an ongoing basis. These data are used for continuing needs assessment, annual performance/outcome measurement, program planning and evaluation, and policy development. Currently, data linkages have been made between infant birth and death certificates, birth records and newborn screening files, and birth records and WIC records. Direct access to key MCH survey and registry data has also been achieved. Annual data reports are developed and disseminated to policy makers and MCH stakeholders.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2017-18 is due to a projected increase in the grant award for FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	105,992	105,992	105,992	105,992	105,992	105,992	105,992
Beginning Cash Balance	1,366	1,419	1,317	1	299	299	299
Revenues	94,380	91,134	90,425	109,325	100,000	100,000	100,000
Expenditures	94,327	91,236	91,741	109,027	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,419	1,317	1	299	299	299	299
Encumbrances	6,904	2,418	350	75	6,000	6,000	6,000
Unencumbered Cash Balance	(5,485)	(1,101)	(349)	224	(5,701)	(5,701)	(5,701)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: National Cancer Prevention & Control Program
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S 506 H

Intended Purpose: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured; Develop and implement statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation; coordination and integration between HBCCCP HCCCP, and Coordinated Chronic Disease effort.

Source of Revenues: Centers for Disease Control, Division of Cancer Prevention and Control

Current Program Activities/Allowable Expenses: Cancer screening, diagnostics, monitoring, followup, treatment, education, and outreach; maintain coalitions, surveillance and implementation of the State Plan; support advocacy and awareness initiatives; gain efficiency and enhance effectiveness of cancer prevention and control activities.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended 6/29/17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,295,578	1,437,431	1,288,234	0	0	0	0
Beginning Cash Balance	13,125	10,358	11,015	47	(0)	(0)	(0)
Revenues	1,235,080	1,080,900	799,588	761,524	0	0	0
Expenditures	1,237,847	1,080,243	810,556	761,572	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,358	11,015	47	(0)	(0)	(0)	(0)
Encumbrances	342,362	388,696	577,469	16,565			
Unencumbered Cash Balance	(332,004)	(377,681)	(577,422)	(16,565)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: State Lead Program Grants
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): P
 Appropriation Acct. No.: S 507 H

Intended Purpose: Implement lead-based training and certification program pursuant to Toxic Substances Control Act, Title IV, Sections 402 and 404

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, enforcement, training, certification

Purpose of Proposed Ceiling Increase (if applicable):

Variances: For FY17, lower expenditures due to one vacant position being redescribed to implement reorganization that was acknowledged 3/14/17. Higher award anticipated in future years for full funding of the redescribed position.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	209,519	220,000	220,000	268,600	286,656	273,006	273,006
Beginning Cash Balance	11,014	11,963	12,247	29,009	104	104	104
Revenues	212,127	226,338	202,547	159,145	268,600	268,600	268,600
Expenditures	211,178	226,054	185,785	188,050	268,600	268,600	268,600
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11,963	12,247	29,009	104	104	104	104
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): 710/MK
 Name of Fund: Food Emergency Response Network (Multiplex Screening Panel for the Detect)
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-508 H, S-16-508 H

Intended Purpose: This Cooperative Agreement grant will enhance and expand Department's laboratory capacity and capabilities to detect microbiological threat agents in foods, develop screening technologies and to provide timely lab response to a local or nationwide foodborne pathogens.

Source of Revenues: Dept. of Health & Human Services (DHHS), Food & Drug Administration (FDA), Food Emergency Response Network (FERN)

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The decrease in revenues and expenditures for FY16 is due to decrease in salaries and wages result of one vacant position. The fluctuations in expenditures are also due to extended service agreements for the purchased equipment. The variance between FY 16 and FY 17 and FY 17 and FY 18 are due to the timing of expenditures across federal fiscal years. Reimbursement is based on expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	330,322		300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	6,704	6,942	6,355	9,588	9,730	9,730	9,730
Revenues	229,873	199,858	259,562	238,136	257,000	257,000	257,000
Expenditures	229,635	200,445	265,915	237,994	257,000	257,000	257,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
02/28/17 JS3930			8,991				
02/28/17 JS3930			595				
Net Total Transfers	0	0	9,586	0	0	0	0
Ending Cash Balance	6,942	6,355	9,588	9,730	9,730	9,730	9,730
Encumbrances	9,658	3,952					
Unencumbered Cash Balance	(2,716)	2,403	9,588	9,730	9,730	9,730	9,730

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
Prog ID(s): HTH 720
Name of Fund: Medicare Administration Title XVIII
Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF): P
Appropriation Acct. No.: S-**-509-H

Intended Purpose:

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

Current Program Activities/Allowable Expenses:

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in FY2018 is the result of adding two new positions, filling vacant positions, and salary increase per bargaining unit wage agreement. The increase in FY2019 is the result of addition 3 new positions and salary increase per bargaining unit wage agreement.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,259,664	1,259,664	1,271,413	1,441,210	1,706,720	1,706,720	1,706,720
Beginning Cash Balance	249,370	100,745	134,626	145,331	250,736	250,736	250,736
Revenues	1,025,161	1,222,821	1,246,349	1,552,334	1,706,720	1,706,720	1,706,720
Expenditures	1,173,786	1,188,940	1,235,644	1,446,929	1,706,720	1,706,720	1,706,720
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-out							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	100,745	134,626	145,331	250,736	250,736	250,736	250,736
Encumbrances	0	0	0	87,115			
Unencumbered Cash Balance	100,745	134,626	145,331	163,621	250,736	250,736	250,736

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Core Violence & Injury Prevention Program
 Legal Authority: SEC 301, 317, & 319A 42USC241, 247B & 280B-B3

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S-17-510 H

Intended Purpose: Provide support to increase effectiveness in reducing and preventing the leading cause of injuries.

Source of Revenues: Dept of Health & Human Services Centers for Disease Control & Prevention National Center for Injury Prevention & Control.

Current Program Activities/Allowable Expenses: Coordination & integration of injury prevention & surveillance efforts/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	325,255	252,997	750,000	250,000	250,000	250,000	250,000
Beginning Cash Balance	2,964	2,866	3,233	21	3	0	0
Revenues	109,659	146,338	147,867	220,232	331,243	250,000	250,000
Expenditures	109,758	145,971	151,080	220,250	331,246	250,000	250,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,866	3,233	21	3	0	0	0
Encumbrances	37,602	36,336	59,957	81,246	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2018 Beginning Balance: MBP477-A OPTION:2, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2018 Encumbrances (Unliquidated Balance): MBP490-A, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenue for FY 2019 is equal to the amount in the notice of grant award for FY 2019 plus the remaining encumbrance for FY 2018 minus the beginning cash balance for FY 2019. The estimated expenditure for FY 2019 is equal to fully expending the amount in the notice of grant award for FY 2019 and the remaining encumbrance for FY 2018. The estimated expenditure for FYs 2019-21 is equal to fully expending the amount in the anticipated notice of grant award for each year.

Note 4: The budget for FB15-17 included an increase in the ceiling in FY 2017 to include the anticipated award for the anticipated project period 08/01/2016 - 07/31/2021 (\$150,000 x 5 years = \$750,000). The actual award amount for 08/01/2016-07/31/2017 was \$250,000. The amount recommended for each year was \$250,000. Governor Approval to add \$500,000 to ceiling of \$750,000 is dated 10/11/16. In the budget for FB 2017-19, the ceiling was adjusted to \$250,000 for FY 2018 and for FY 2019 (Act 049, SLH 2017; Act 053, SLH 2018). The award amount continues to be \$250,000 for each budget period.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Comprehensive HIV Prevention Projects
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL 111-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 511 H (FY14-20)

Intended Purpose:

To prevent and reduce the incidence of STD in the State of Hawaii

Source of Revenues:

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses:

HIV counseling and testing, education and risk reduction

Purpose of Proposed Ceiling Increase (if applicable):

Variances: From FY17, a major part of the grant was removed and the award has significant been decreased. From FY19, the HIV prevention grant was merged with the HIV/AIDS grant and the combine total has significantly increased.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,642,448	1,264,441	798,881	1,974,630	1,676,489	1,676,489	1,676,489
Beginning Cash Balance	1,841	30,105	23,096	47	1,491	1,491	1,491
Revenues	1,374,263	1,279,607	869,524	869,282	1,676,489	1,676,489	1,676,489
Expenditures	1,345,999	1,286,616	892,573	867,838	1,676,489	1,676,489	1,676,489
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	30,105	23,096	47	1,491	1,491	1,491	1,491
Encumbrances				3,330			
Unencumbered Cash Balance	30,105	23,096	47	(1,838)	1,491	1,491	1,491

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Beach Monitoring and Notification Program
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 512 H

Intended Purpose: Implement State's beach monitoring and notification program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, monitoring, risk assessment, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public response and notification, data management and reporting

Purpose of Proposed Ceiling Increase (if applicable): Decrease proposed. Change means of financing for Environmental Health Specialist IV from 100% MOF P (Beach Monitoring and Notification Program (BEACH) grant) to a combination of 50% MOF P (BEACH grant) and 50% MOF N (Water Pollution Control-Monitoring Initiative grant). This will more properly align the funding sources with the position's duties and ensure sufficient funding to retain the position.

Variances: Expenditures vary according to workplan.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	312,000	328,000	328,000	324,450	328,650	328,650	345,083
Beginning Cash Balance	11,976	6,916	6,934	46,252	646	646	646
Revenues	294,237	238,850	362,323	419,757	328,650	328,650	345,083
Expenditures	299,297	240,547	323,005	465,364	328,650	328,650	345,083
Transfers							
List each net transfer in/out; list each account number							
Various JVs		1,715	0				
Net Total Transfers		1,715	0				
Ending Cash Balance	6,916	6,934	46,252	646	646	646	646
Encumbrances	3,150	74,889	288,489	77,873	77,873	77,873	77,873
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 849 FA
 Name of Fund: Exchange Network
 Legal Authority: P.L. 111-88; 40 CFR Part 3

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 513 H

Intended Purpose: Develop and implement various data systems for Environmental Health Administration programs

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, reporting, and technical assistance

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has multi-year award periods and expenditures vary according to workplan. Starting in FY16, a change in EPA guidance on allowable costs for this grant program has led to delayed pace of payroll expenditures that may be charged to this grant. In FY17, new budget execution policies and requirements for approvals by ETS and within DOH have delayed project initiation, resulting in lower expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	315,000	315,000	315,000	330,750	300,000	300,000
Beginning Cash Balance	8,220	4,066	3,955	8,113	81,689	81,689	81,689
Revenues	249,399	42,332	128,187	145,843	200,000	200,000	200,000
Expenditures	253,553	42,443	124,029	72,267	200,000	200,000	200,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers		0					
Ending Cash Balance	4,066	3,955	8,113	81,689	81,689	81,689	81,689
Encumbrances	8,675	276,981	225,000	185,000	185,000	185,000	185,000
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Senior Medicare Patrol Project
 Legal Authority: 42 USC 3032

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 514 H

Intended Purpose: Empowers seniors through increase in awareness and understanding of healthcare programs. This knowledge is used to protect from the economic and health related consequences of Medicare fraud, error, and abuse.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Medicare beneficiaries, their families, and caregivers to prevent, detect, and report health care fraud, errors and abuse, expenses are solely operating.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Fluctuations in revenue and expenses are due the changes in the federal budget of this discretionary grant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	663,668	488,450	4,524,801	5,369,954	5,369,954	5,369,954	5,369,954
Beginning Cash Balance	0	6,331	6,925	8,261	20,287	0	0
Revenues	181,549	204,318	224,502	286,045	225,477	245,764	245,764
Expenditures	175,218	203,724	223,166	274,019	245,764	245,764	245,764
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,331	6,925	8,261	20,287	0	0	0
Encumbrances		1,540	38,355	13,735	0	0	0
Unencumbered Cash Balance	6,331	5,385	(30,094)	6,552	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: HIV/AIDS
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL I11-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 515 H (FY14-20)

Intended Purpose:

To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii

Source of Revenues:

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses:

HIV/AIDS surveillance

Purpose of Proposed Ceiling Increase (if applicable):

Variations: The variance in revenues and expenditures between FY 2017 and FY 2018 is due to a projected increase in the grant award for FY2018. The grant was merged with the HIV Prevention grant effective from 1/1/18 therefore no revenue and expenditure from FY19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	809,514	540,676	363,046	363,099	0	0	0
Beginning Cash Balance	6,148	6,118	6,622	40	(0)	(0)	(0)
Revenues	193,778	201,318	230,415	157,691	0	0	0
Expenditures	193,808	200,814	236,998	157,731	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	6,118	6,622	40	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	6,118	6,622	40	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Prospective Comparison of TST vs. IGRAs
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL I11-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 516 H (FY14-20)

Intended Purpose:

Compare the performance of Interferon Gamma Release Assays (IGRAs) and Tuberculin Skin Test (TST) in a large and heterogeneous cohort of patients in order to identify the method that best predicts progression to TB disease, overall and in important subgroups of patients.

Source of Revenues:

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses:

Conducting a study to compare TST and IGRAs in diagnosing Latent Tuberculosis Infection (LTBI) and in predicting progression from LTBI to TB disease.

Variations: The variance in revenues and expenditures between FY2015-16 is due to an increase in the grant award for FY16 and the program couldn't fully spend the grant funds in FY15. There is also a significant projected decrease in the awarded in FY2020 and FY2021.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,660,360	3,190,819	2,725,695	2,725,695	427,403	250,000	250,000
Beginning Cash Balance	20,784	107,163	144,001	197,823	256,086	256,086	256,086
Revenues	438,206	340,794	379,236	349,104	427,403	250,000	250,000
Expenditures	351,827	303,956	325,414	290,841	427,403	250,000	250,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	107,163	144,001	197,823	256,086	256,086	256,086	256,086
Encumbrances							
Unencumbered Cash Balance	107,163	144,001	197,823	256,086	256,086	256,086	256,086

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Hansen's Disease National Ambulatory Care Program
 Legal Authority: P.L. 99-117

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 518 H (FY14-20)

Intended Purpose:

To prevent and reduce the incidence of Hansen's Disease (HD)

Source of Revenues:

U.S. Dept. of Health & Human Services/*Community Program Reimbursement

Current Program Activities/Allowable Expenses:

HD services include medical, nursing, laboratory, educational, social, and rehabilitative services.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,065,157	1,065,157	1,065,157	1,065,157	1,065,157	1,065,157	1,065,157
Beginning Cash Balance	59,649	95,998	96,865	21,763	9,658	9,658	9,658
Revenues	937,767	911,241	898,523	919,220	920,000	920,000	920,000
Expenditures	901,418	910,374	973,625	931,325	920,000	920,000	920,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	95,998	96,865	21,763	9,658	9,658	9,658	9,658
Encumbrances							
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii Tobacco State Enforcement Contract
 Legal Authority: HHSF223201110148C

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 520 H

Intended Purpose: To ensure states maintain compliance with and enforce certain provisions of the Federal Tobacco Control Act regulations (21 CFR, Part 1140).

Source of Revenues: Department of Health and Human Services -Food and Drug Administration (FDA).

Current Program Activities/Allowable Expenses: Conduct unannounced tobacco inspections of retail outlets for compliance with respect to federal regulations, collect, document and perserve evidence; support FDA in any enforcement or judicial actions; coordinate with FDA for responses to press; respond to inquiries by FDA concerning inspections and activities conducted.

Purpose of Proposed Ceiling Adjustment (if applicable): Request to increase the appropriation ceiling due to increase in the contract award.

Variances: Prior three year contract from 9/30/14 to 9/29/17. New three year contract from 9/30/17 to 9/29/20. FY16 to FY19 variance is due to the delayed execution of purchase of serivce contract modification in FY16 and FY18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	355,876	386,603	386,603	501,754	523,741	548,733	700,000
Beginning Cash Balance	12,997	86,189	23,259	10,239	69,535	69,535	69,535
Revenues	298,118	176,980	350,310	288,031	523,741	548,733	700,000
Expenditures	224,926	194,910	363,330	228,736	523,741	548,733	700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7135 dated 6/30/2016		(45,000)					
Net Total Transfers	0	(45,000)	0	0	0	0	0
Ending Cash Balance	86,189	23,259	10,239	69,535	69,535	69,535	69,535
Encumbrances	131,388	257,094	150,253	321,672			
Unencumbered Cash Balance	(45,199)	(233,835)	(140,014)	(252,137)	69,535	69,535	69,535

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Primary Care Office
 Legal Authority: Sections 330(k), 330(m), and 333(d) of the PHS Act

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 521 H

Intended Purpose: The goal of this grant is to improve primary care service delivery and workforce availability to meet the needs of underserved populations in Hawaii. Primary partners in this endeavor include the Bureau of Health Professions and Clinician and Recruitment Services of HRSA, DHHS; FHSD; Health Resources Administration, Hawaii State Department of Health, and the Hawaii Primary Care association (HPCA)

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: The program's five required overarching goals that strengthen the statewide primary care system are to: (1) Demonstrate organizational effectiveness and foster collaboration by establishing and maintaining public and private partnerships, participation in national conference calls initiated by the Bureau of Clinician and Recruitment Services, and the Shortage Designation Branch, convening of the Primary Care Provider Network forum, and attendance at required meetings held with the PCO Project Officer, PCO annual meeting, and HRSA's all grantee meeting throughout the project period; (2) Provide technical assistance to organizations/communities wishing to expand access to primary care for underserved populations; (3) Conduct primary care needs assessment for the production of the primary care needs assessment data book, and sharing data with the HPCA and other entities; (4) Facilitate workforce development for the National Health Service Corps (NHSC) and safety net/health center network through the evaluation and recommendation of recruitment and retention assistance applications, NHSC site monitoring to evaluate compliance with agreements, maintenance of an inventory of eligible NHSC placement sites with current site profiles, and facilitation of placement of NHSC providers according to needs of Health Center Network; and (5) submission of Health Professional Shortage Area designations and re-designation applications.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between in FY 2016-17 is due to an additional award amount during that budget year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	178,179	178,179	178,179	178,179	178,179	178,179	178,179
Beginning Cash Balance	5,983	5,377	5,556	53	918	918	918
Revenues	157,682	152,235	177,142	196,479	167,994	167,994	167,994
Expenditures	158,288	152,056	182,645	195,614	167,994	167,994	167,994
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,377	5,556	53	918	918	918	918
Encumbrances	954	1,520	0	1,634	0	0	0
Unencumbered Cash Balance	4,423	4,036	53	(715)	918	918	918

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>HEALTH</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Maternal & Child Health Bureau Early Childhood Comprehensive Systems (ECCS)</u>	Fund type (MOF):	<u>P</u>
Legal Authority:	<u>SSA, Title V, Section 502(a)(1), as amended; 42 U.S.C. 702</u>	Appropriation Acct. No.:	<u>S 522 H</u>

Intended Purpose: The ECSS Grant is funded in order to accomplish the Maternal and Child Health Bureau's Strategic Plan for Early Childhood Health across all states. This implementation grant requires setting incremental goals and objectives for Hawaii to develop an early childhood comprehensive system. Achievement of outcomes in the following key areas are to be addressed: a) access to health insurance and medical homes; b) mental health and social-emotional development; c) early childcare and education; d) parent education; and family support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Strategic management team consists of members from Hawaii's Departments of Health, Education, and Human Services, as well as early childhood stakeholders whom meet quarterly to provide oversight of implementation.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-15 is reflective of an increase in drawdowns and expenditures in FY 2015. The variance in revenues and expenditures between FY 2017 and beyond is due to a substantial increase in the ECCS grant awarded to the State.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	140,000	140,000	426,600	426,600	426,600	426,600	426,600
Beginning Cash Balance	3,630	4,450	3,434	42	3,977	3,977	3,977
Revenues	153,947	112,731	133,128	239,855	140,000	140,000	140,000
Expenditures	153,127	113,747	136,520	235,920	140,000	140,000	140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,450	3,434	42	3,977	3,977	3,977	3,977
Encumbrances	302	25,203	0	223,012	0	0	0
Unencumbered Cash Balance	4,148	(21,769)	42	(219,035)	3,977	3,977	3,977

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Office of Rural Health (SORH)
 Legal Authority: PHS Act, Title III, Section 338J

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF): P
 Appropriation Acct. No.: S 523 H

Intended Purpose: The grant program coordinates and implements activities to support priority health needs of Hawaii's rural communities.

Source of Revenues: US Department of Health and Human Services, Health Resources and Services Administration, Office of Rural Health Policy.

Current Program Activities/Allowable Expenses: The program allocates its resources towards the implementation of the following goals: (1) collect and disseminate information; (2) coordinate rural health care activities in state in order to avoid duplication; and (3) provide technical assistance to public and non-profit private entities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues and expenditures between FY 2015-16 is due to the draw-down of \$233,562 in grant funds to cover the liquidation of expenditures incurred in FY 2015, whereas in FY 2016, both revenues and expenditures are projected to be lower. The decrease in expenditures and revenues beginning in FY 2017 is due to this grant transferring to appropriation account S 218 H.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	3,988	23,978	4,171	0	0	0	0
Revenues	233,562	147,011	32,003	0	0	0	0
Expenditures	213,572	166,818	36,174	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	23,978	4,171	0	0	0	0	0
Encumbrances	20,546	15,165	0	0	0	0	0
Unencumbered Cash Balance	3,432	(10,994)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Rural Hospital Flexibility Program (FLEX)
 Legal Authority: Balanced Budget Act of 1997, Section 4201, PL 105-33

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 524 H

Intended Purpose: This grant program is a Federal initiative that provides funding to State Governments to strengthen rural health. This program: (1) Allows small hospitals the flexibility to reconfigure operations and be licensed as Critical Access Hospital (CAHs), (2) Offers cost-based reimbursement for Medicare acute and skilled inpatient and outpatient services, (3) Encourages the development of rural-centric health networks, (4) Offers grants to States to help implement a CAH program in the context of broader initiatives to strengthen the rural health care infrastructure.

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: The FLEX program works with Hawaii's nine CAHs on improving quality and performance, developing health information technology plans, and conversion to ICD-10; with rural communities on developing integrated systems of care; with the Emergency Medical Systems and Injury Prevention Branch on improving trauma care in rural areas; and providing community health needs assessments in rural areas.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 are being charged to the S 206 H parent account. The variance in revenues and expenditures between FY 2015-2016 is due to a decrease in draw-downs and expenditures occurring in FY 2016. Revenues and expenditures increased in FY 2017 and are planned to increase again in FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	437,240	437,240	437,240	437,240	437,240	437,240	437,240
Beginning Cash Balance	3,962	5,388	5,745	61	1,175	1,175	1,175
Revenues	431,576	252,779	375,654	425,339	419,316	419,316	419,316
Expenditures	430,150	252,422	381,338	424,225	419,316	419,316	419,316
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,388	5,745	61	1,175	1,175	1,175	1,175
Encumbrances	60,612	178,728	129,421	64,782	0	0	0
Unencumbered Cash Balance	(55,224)	(172,983)	(129,360)	(63,607)	1,175	1,175	1,175

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Small Rural Hospital Improvement Grant (SHIP)
 Legal Authority: SSA, Section 1820(g)(3), 42 USC 1395i-4

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 525 H

Intended Purpose: This grant program is designed to help small rural hospitals comply with provision of the Patient Protection and Affordable Care Act. Grant monies may be used to prepare along three broad categories: (1) Value-Base Purchasing; (2) Accountable Care Organization/Shared Savings; and (3)

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: Funds are used to provide HCAHPS satisfaction surveys and quality improvement and benchmark training for the CAHs and ICD-10 implementation for the eligible non-CAH hospital.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. The variance in expenditures between FY 2015-16 is mainly due to the addition of the outstanding encumbrances of \$8,786 from FY 2015 to the estimated expenditures for FY 2016. The variance in revenues and expenditures between FY 2017-2018 is due to an anticipated increase in expenditures for FY 2017 and an increase in drawdowns (revenues) as a result.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	94,500	94,500	94,500	94,500	94,500	94,500	94,500
Beginning Cash Balance	2,501	33	0	0	0	0	0
Revenues	77,419	62,193	67,172	163,382	90,000	90,000	90,000
Expenditures	79,887	62,226	67,172	163,382	90,000	90,000	90,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	33	0	0	0	0	0	0
Encumbrances	8,786	67,172	69,887	70,956	0	0	0
Unencumbered Cash Balance	(8,753)	(67,172)	(69,887)	(70,956)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Regional Genetics Networks
 Legal Authority: Social Security Act (SSA) Title V, Section 502(a)(1), as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 526 H

Intended Purpose: To plan, develop, and evaluate a regional practice model that improves access to specialty genetic services, comprehensive primary care, and care coordination for Hawaii, Idaho, and Oregon children with heritable conditions and to increase the capacity of Alaska, California, Hawaii, Idaho, Nevada, Oregon, Washington, and Guam genetics and newborn screening programs to perform their assessment.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) improve access to specialty metabolic services for children with metabolic conditions; 2) improve access to clinical genetic specialty services for children with metabolic conditions and congenital malformations; 3) improve access to comprehensive primary care; 4) improve access to public services; 5) develop strategies to monitor health outcomes; 6) promote third party reimbursement of genetic services; 7) assist Alaska to assess medical management and coordination needs; 8) assist Guam to develop a public health genetics plan; and 9) facilitate coordination between genetic services providers, families, primary care providers, state genetic programs workers to identify and implement strategies to increase the availability of pediatric subspecialty care for children with heritable conditions living outside urban areas.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. The variance in revenues and expenditures between FY 2016-17 is due to late contract execution date resulting in less draw down. Increased revenues and expenditures projected for FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	750,000	750,000	750,000	750,000	750,000	750,000
Beginning Cash Balance	2,247	8,925	1,170	24	3	3	3
Revenues	525,841	580,562	483,628	507,203	600,000	600,000	600,000
Expenditures	519,163	588,317	484,774	507,224	600,000	600,000	600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,925	1,170	24	3	3	3	3
Encumbrances	469,569	215,791	222,232	457,939	0	0	0
Unencumbered Cash Balance	(460,644)	(214,621)	(222,208)	(457,936)	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Universal Newborn Hearing Screening
 Legal Authority: Section 399M of the Public Health Service (PHS) Act, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) N
 Appropriation Acct. No. S 527 H

Intended Purpose: To further develop and refine the system of screening, assessment, and early intervention services for young children with hearing loss, so that all young children with hearing loss will reach developmentally appropriate milestones for language and communication.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) complete implementation of universal newborn hearing screening program components by establishing a state advisory committee and an evaluation component; 2) improve existing components of screening, linkage with medical home, audiological assessment, data/tracking system, and professional and public educations; and 3) refine family support and early intervention services to meet the needs of young children with hearing impairment and their families.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Variance in revenues between FY 2016 and FY 2017 reflects the grant award. The variance in expenditures between FY 2016-2017 reflects an increase in personal services and other current expenditures for FY 2017.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000	450,000	450,000	450,000	450,000	450,000
Beginning Cash Balance	2,935	3,180	3,319	45	16	16	16
Revenues	131,750	123,542	167,629	241,707	200,000	200,000	200,000
Expenditures	131,505	123,403	170,903	241,736	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,180	3,319	45	16	16	16	16
Encumbrances				5,455			
Unencumbered Cash Balance	3,180	3,319	45	(5,439)	16	16	16

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Abstinence Only Education Grant (project: 000273)
 Legal Authority: SSA, Title V, Section 510

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF): P
 Appropriation Acct. No.: S 528 H

Intended Purpose: To provide States with funding for additional tools to address the rates of teen pregnancy among those groups who are most likely to bear children out of wedlock.

Source of Revenues: DHHS, Administration for Children & Families, State Special Funds

Current Program Activities/Allowable Expenses: This 2 year abstinence education grant is no longer active. The intent of the grant was to build the sexual health teaching capacity on each of the six major islands using a Hawaii developed, abstinence-based teen pregnancy prevention and positive youth development curriculum. Capacity building activities included: on-line and in-person training by trainers; access to scripts, lesson plans and interactive tools; provision of an on-island health educator and technical assistance from the Department of Health; and ongoing community and administration coordination by the University of Hawaii at Manoa. Hawaii's Asian and Pacific Islander adolescents 11 to 13 years of age across the state and particularly on the neighbor islands will be the focal population.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variations: Variations in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. The variance in revenues and expenditures between FY 2016 and FY 2017 is due to the projected expenditure of the entire grant award.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	0	0	0
Beginning Cash Balance	34	0	0	11	0	0	0
Revenues	39,331	102,406	125,693	13,642	0	0	0
Expenditures	39,365	102,406	125,682	13,654	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	11	0	0	0	0
Encumbrances	120,000	159,594	44,631	0	0	0	0
Unencumbered Cash Balance	(120,000)	(159,594)	(44,619)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>HEALTH</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000602)</u>	Fund type (MOF):	<u>P</u>
Legal Authority:	<u>Patient Protection and Affordable Care Act, P.L. 111-148</u>	Appropriation Acct. No.:	<u>S 529 H</u>

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. This grant has multiple budget periods operating concurrently. The variance in revenues and expenditures between FY 2016 and FY 2017 is due to the appropriation changing to S 596 H.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,645,730	1,645,730	1,645,730	1,645,730	1,645,730	1,645,730	1,645,730
Beginning Cash Balance	244	5,807	45,775	0	148	148	148
Revenues	990,845	807,988	398,554	2,313,350	1,000,000	1,000,000	1,000,000
Expenditures	985,282	768,020	444,329	2,313,202	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,807	45,775	0	148	148	148	148
Encumbrances	194,066	352,632	44,996	1,052,197	0	0	0
Unencumbered Cash Balance	(188,259)	(306,857)	(44,996)	(1,052,049)	148	148	148

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>HEALTH</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000629) Fund type (MOF) P</u>		
Legal Authority	<u>Patient Protection and Affordable Care Act, P.L. 111-148</u>	Appropriation Acct. No.	<u>S 530 H</u>

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN. This competitive grant award builds upon and expands the activities of the formula grant award. In addition to the HHVN development, these funds provide additional resources to support direct home visiting services to HHVN partner/providers.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance is due to grant ending on 9/30/2014.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,135,981	0	0	0	0	0	0
Beginning Cash Balance	16,325	0	0	0	0	0	0
Revenues	1,363,670	0	0		0	0	0
Expenditures	1,379,995	0	0		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Sexual Violence Prevention and Education (project: 000278)
 Legal Authority: 42 USC 241, 243, 247b-4

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF): P
 Appropriation Acct. No.: S 531 H

Intended Purpose: To provide sexual violence primary prevention services through activities, such as education and workshops, that increase awareness of sexual violence and reduce attitudes that condone sexual violence; to collaborate with, plan with, and provide technical support to public and private agencies, community stakeholders, and community-based organizations; and to increase the capacity of community-based organizations to engage in sexual violence primary prevention initiatives, activities, and partnerships.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

The funding provides for a program coordinator position that is responsible for statewide sexual violence prevention efforts including collaboration, planning, and support, as well as monitoring and evaluation services, for the following:

- A. Convene and maintain a Sexual Violence Prevention Work Group that consists of public and private agencies.
 - B. Implement the Sexual Violence Primary Prevention Plan.
 - C. Gain and sustain public and private support for the Sexual Violence Primary Prevention Plan and its implementation by increasing awareness of such Plan among policy-makers, legislators, foundations, and local entrepreneurs in positions to provide funding or other resources for implementation.
 - D. Provide technical assistance and support for state-wide sexual violence primary prevention community teams, consisting of various public and private agencies, individuals, and community stakeholders, in their efforts to (1) increase awareness of sexual violence and (2) reduce attitudes that condone sexual violence in their specific communities.
 - E. Coordinate and collaborate with the Hawaii Coalition Against Sexual Assault to provide public awareness and prevention education activities in the community.
 - F. Support private and public agencies to provide sexual violence primary prevention activities that (1) increase awareness of sexual violence and (2) increase understanding of healthy relationships through education to youth and young adults, through trainings and technical assistance to youth serving agencies.
- Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. The variance in revenues between FY 2016 to FY 2017 is due to a projected increase in the grant amount.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	249,477	249,477	255,086	255,086	294,919	249,447	249,447
Beginning Cash Balance	2,797	4,900	2,948	7	83	83	83
Revenues	183,430	169,825	281,759	282,512	255,086	255,086	255,086
Expenditures	181,327	171,777	284,699	282,437	255,086	255,086	255,086
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,900	2,948	7	83	83	83	83
Encumbrances	4,836	120	129,095	117,912	0	0	0
Unencumbered Cash Balance	64	2,828	(129,088)	(117,829)	83	83	83

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Disparities in Perinatal Health – Border Initiatives (project: 000286)
 Legal Authority: Sections 330(h) and 751 of the PHS Act, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 532 H

Intended Purpose: To improve birth outcomes and other measures of perinatal, post-partum, infant and interconception health status among high-risk women residing in Hawai'i County. This is to be accomplished through the development and implementation of a model of care for pregnant and postpartum women and their infants which is specific to the county's disparate populations and which integrates best practices, resources, and cultural/clinical expertise of the program and its partners. This project is a collaborative effort of the Department of Health's MCHB and Hawaii District Health Office in partnership with local perinatal and infant health care and social service providers, civic organizations, businesses, and cultural groups.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses:

- A. Implementing culturally competent core services which include outreach and client recruitment, screening and referral, health education and case management for pregnant women and continuing through a two year post-partum/interconception period. This is currently being accomplished through a local purchase of services contractor. The target population includes Native Hawaiian, Hispanic, Marshallese, Micronesian, other Pacific Islander, and Filipino women over age 18 and adolescents through age 18, regardless of ethnicity.
- B. Support and monitor progress of local and island-wide project consortia as they provide input, expertise and advice in developing a sustainable model of care.
- C. Developing procedures and protocols for program implementation, using information gathered from focus groups, cultural leaders, and program partners.
- D. Utilizing program forms and a participant tracking database to evaluate program impact and report progress and required prenatal/postpartum/interconception care indicators to HRSA.
- E. Improving knowledge, skills, and awareness of the standards of care and best practices through training forums. Topics include, but are not limited to: basic prenatal/postpartum/interconception care, including breastfeeding and birth spacing; case management related to risk factors not limited to depression, domestic violence, substance abuse, and other environmental factors; supporting medical and psycho-social needs of the family during childbearing; infant growth and development; parenting; immunization status; and cultural practices related to pregnancy and child rearing.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-15 is reflective of the close-out. This grant was terminated in FY 2015.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	920,801	0	0	0	0	0	0
Beginning Cash Balance	19,940	0	0	0	0	0	0
Revenues	71,750	0	0		0	0	0
Expenditures	91,690	0	0		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Pregnancy Risk Assessment Monitoring System (project: 000319)
 Legal Authority: Sections 301(a) & 317(k) of PHS Act; 42 USC 241(A) & 247B (K)

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 533 H

Intended Purpose: The Hawai'i Pregnancy Risk Assessment Monitoring System (PRAMS) Program is a population-based surveillance system designed to identify and monitor maternal experiences, attitudes, and behaviors from preconception, through pregnancy and into the interconception period. The goal of the Hawaii PRAMS program is to improve the health of mothers and infants by reducing adverse outcomes such as low birth weight, infant mortality and morbidity, and maternal morbidity. PRAMS provides Hawaii-specific data for planning and assessing health programs and for describing maternal experiences that may contribute to maternal and infant health.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention & Health Promotion, Division of Reproductive Health

Current Program Activities/Allowable Expenses:

- A. Maintain a surveillance system to collect information from a defined sample of new mothers with live born infants.
- B. Conduct analysis and trend analysis of data to understand the relationship between behaviors, attitudes, and experiences relating to pregnancy and early infancy.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2015-16 is due to an increase in the grant award.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	195,445	195,445	195,445	195,445	195,445	195,445	195,445
Beginning Cash Balance	5,266	5,030	4,653	319	573	573	573
Revenues	148,700	162,717	154,916	158,911	163,000	163,000	163,000
Expenditures	148,936	163,094	159,250	158,656	163,000	163,000	163,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,030	4,653	319	573	573	573	573
Encumbrances							
Unencumbered Cash Balance	5,030	4,653	319	573	573	573	573

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Personal Responsibility Education Grant (PREP) (project: 000613)
 Legal Authority: SSA, Title V, Section 513

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 534 H

Intended Purpose: To enable States to support personal responsibility education programs that replicate evidence-based effective program models that have scientifically been provide to change behavior such as delaying sexual activity, increasing condom or contraceptive use, or reducing pregnancy among youth.

Source of Revenues: DHHS, Administration for Children and Families

Current Program Activities/Allowable Expenses: This year grant will support the training and implementation of an evidence-based, teen pregnancy prevention and positive youth development curriculum. It is designed to provide adolescents a comprehensive set of life skills for educational and career success, to develop healthy habits and to know what healthy relationships are in order to prevent pregnancies, HIV/AIDS and sexually transmitted infections. The grant targets high school dropouts statewide, 16 to 18 years of age that attend the Department of Defense Hawaii National Guard Youth Challenge Academy in Hilo and Kalaeloa.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. The variance in revenues between FY 2015-16 is because only \$169,001 was drawn down to cover the FY 2015 expenditure liquidations. Variance in expenditures between FY 2015-16 is due to the addition of the outstanding encumbrances of \$94,960 from FY 2015 to the estimated expenditures for FY 2016. The variance from FY 2016-17 can be attributed to higher outstanding encumbrances in FY 2017.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	351,689	351,689	351,689	351,689	351,689	351,689	
Beginning Cash Balance	2,886	3,043	2,971	29	9	9	9
Revenues	169,001	178,334	147,602	189,866	250,000	250,000	
Expenditures	168,844	178,406	150,544	189,887	250,000	250,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,043	2,971	29	9	9	9	9
Encumbrances	94,960	34,099	122,558	56,287	0	0	0
Unencumbered Cash Balance	(91,917)	(31,128)	(122,530)	(56,278)	9	9	9

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: Collaborative State Public Health Actions
 Legal Authority: Act 134, SLH 2013

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S 14 535 H

Intended Purpose: Address prevention of diabetes and its complications; develop tracking system and population-based interventions for prevention of heart disease and stroke; improve healthful eating and physical activity to prevent obesity and other chronic diseases; promote the adoption of policies and systems changes in the school setting to increase healthy eating and physical activity. □

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion □

Current Program Activities/Allowable Expenses: Promotion/adoption/implementation of food service guidelines/nutrition standards, physical education/activity in schools and early child care education and worksites, awareness of high blood pressure and pre-diabetes, participation and use of self-management programs, and use of lifestyle intervention programs in the communities.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Grant ended on 09/29/2018. Program received supplemental grant that extended the grant from June 29, 2018 to Sep 29, 2018. Expenditure increased due to supplemental grant received.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,105,210	1,105,210	1,074,216	1,105,210	1,105,210	0	0
Beginning Cash Balance	14,489	8,634	11,286	1,094	6,166	(0)	(0)
Revenues	432,351	388,519	868,364	1,371,674	0	0	0
Expenditures	438,206	385,867	878,556	1,366,602	6,166	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,634	11,286	1,094	6,166	(0)	(0)	(0)
Encumbrances	134,573	547,259	601,031	336,148			
Unencumbered Cash Balance	(125,939)	(535,973)	(599,937)	(329,982)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Toxic Substance Compliance Monitoring
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 536 H

Intended Purpose: Implement asbestos monitoring, inspection and enforcement pursuant to Toxic Substances Control Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, risk assessment, and enforcement

Purpose of Proposed Ceiling Increase (if applicable):

Variances: This EPA grant program has been severely reduced.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	170,920	160,000	96,000	91,000	95,550	91,000	91,000
Beginning Cash Balance	3,822	4,197	3,807	5,001	45,407	45,407	45,407
Revenues	131,423	57,256	44,008	77,586	70,000	70,000	70,000
Expenditures	131,048	57,646	42,814	37,180	70,000	70,000	70,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	4,197	3,807	5,001	45,407	45,407	45,407	45,407
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH710/MK
 Name of Fund: Food Safety Inspection Service
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-14-537 H, S-15-537 H,
S-16-537 H

Intended Purpose: This Cooperative Agreement grant will enhance and expand Department's laboratory capacity and capabilities to detect microbiological threat agents in foods, develop screening technologies and to provide timely lab response to a local or nationwide foodborn pathogens.

Source of Revenues: United States Department of Agriculture (USDA), Food Safety and Inspection Service (FSIS).

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variances (decreases) in FY15-FY17 revenues and expenditures are due to decrease in grant amount for the Budget Period.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance	2,933	3,419	2,633	0	0	0	0
Revenues	123,905	98,790	15,334	0	0	0	0
Expenditures	123,419	99,576	17,967	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,419	2,633	0	0	0	0	0
Encumbrances	7,643						
Unencumbered Cash Balance	(4,224)	2,633	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
Prog ID(s): HTH 720
Name of Fund: Medicare Administration Title XIX
Legal Authority Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF) P
Appropriation Acct. No. S-**-538-H

Intended Purpose:

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

Current Program Activities/Allowable Expenses:

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in FY2018 is the result of adding two new positions, filling vacant positions, and salary increase per bargaining unit wage agreement. The increase in FY2019 is the result of addition 3 new positions and salary increase per bargaining unit wage agreement.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	680,000	680,000	837,513	941,753	931,884	931,884	931,884
Beginning Cash Balance	86,255	88,139	354,751	379,064	690,098	690,098	690,098
Revenues	686,848	916,233	693,132	1,099,689	931,884	931,884	931,884
Expenditures	684,964	649,621	668,819	788,654	931,884	931,884	931,884
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-out							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	88,139	354,751	379,064	690,098	690,098	690,098	690,098
Encumbrances	0	0	0				
Unencumbered Cash Balance	88,139	354,751	379,064	690,098	690,098	690,098	690,098

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
Prog ID(s): HTH 720
Name of Fund: Medicare Clinical Laboratory Improvement Amendments (CLIA)
Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF): P
Appropriation Acct. No.: S-**-539-H

Intended Purpose:

To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS) by completing federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA. Congress' dilemma on whether or how to address the federal budget deficit makes the level of funding for this program unpredictable for the next few years. Beginning in FY14, DAGS split previous S-223-H account into three (3) separate accounts - 509, 538, and 539 therefore no previous data exists prior to FY14.

Current Program Activities/Allowable Expenses:

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 increase is a result of 89-Days hire.
 FY19 increase is a result of filling vacant position in second quarter of the FY19.
 FY20 increase is a result of the filling vacant position in FY19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	122,645	122,645	128,480	142,867	127,512	142,867	142,867
Beginning Cash Balance	0	2,033	373	279	2,512	2,512	2,512
Revenues	89,900	109,843	15,661	25,010	127,512	142,867	142,867
Expenditures	87,867	111,503	15,755	22,777	127,512	142,867	142,867
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-out							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,033	373	279	2,512	2,512	2,512	2,512
Encumbrances	0	0	0	6,358			
Unencumbered Cash Balance	2,033	373	279	(3,846)	2,512	2,512	2,512

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Quality Management Planning
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 542 H

Intended Purpose: Implement State Water Quality Planning and Management Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Water quality standards amendments, bio-assessments, visual assessments, and other monitoring for Clean Water Act section 303(d) listing, development of Total Maximum Daily Loads, data management, and holding public information meetings and hearings

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to workplan.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	110,000	116,000	115,000	224,700	224,700	224,700	235,935
Beginning Cash Balance	21,390	3,734	27,692	624	394	394	394
Revenues	117,271	126,405	99,750	92,471	115,763	115,763	115,763
Expenditures	134,927	105,740	126,818	92,701	115,763	115,763	115,763
Transfers							
List each net transfer in/out; list each account number							
Various JVs		3,293	0				
Net Total Transfers		3,293	0				
Ending Cash Balance	3,734	27,692	624	394	394	394	394
Encumbrances	163,408	74,038	53,253	60,563	60,563	60,563	60,563
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank - Corrective Action
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 543 H

Intended Purpose: Decrease the number of open leaking underground storage tanks and restore these sites in order to protect public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Oversight and management of Leaking Underground Storage Tank sites and implementation of procedures to compel reporting, assessment and remediation of releases from underground storage tanks by the responsible owner or operator

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF. The adjustments are primarily due to the Leaking Underground Storage Tanks Program (LUST) - Corrective Action grant and the LUST - Prevention grant, as each of these grants will start a new 5-year project period in FY 20.

Variances: Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,745,000	768,000	768,000	493,500	330,039	2,261,741	452,348
Beginning Cash Balance	22,868	26,308	20,940	43,005	131	131	131
Revenues	477,503	614,825	524,801	357,564	518,175	518,175	518,175
Expenditures	474,063	620,193	502,736	400,438	518,175	518,175	518,175
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers		0					
Ending Cash Balance	26,308	20,940	43,005	131	131	131	131
Encumbrances	5,347	15,021	4,621	4,621	4,621	4,621	4,621
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank - Prevention
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): P
 Appropriation Acct. No.: S 544 H

Intended Purpose: Develop and operate an inspection, compliance and enforcement program to prevent and detect releases from underground storage tanks pursuant to the Solid Waste Disposal Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Facility inspections at all operating facilities at least once every three years, referrals to compliance team for enforcement of violations, notices of compliance to owner/operators, implementing public records, secondary containment, delivery prohibition to non-compliant facilities and operator training requirements of the Solid Waste Disposal Act as amended by the Energy Policy Act of 2005

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF. The adjustments are primarily due to the Leaking Underground Storage Tanks Program (LUST) - Corrective Action grant and the LUST - Prevention grant, as each of these grants will start a new 5-year project period in FY 20.

Variances: Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,738,000	332,000	353,000	348,600	207,000	1,272,243	254,449
Beginning Cash Balance	5,769	29,604	5,082	8,478	3,209	3,209	3,209
Revenues	358,154	197,718	144,093	359,330	366,030	366,030	366,030
Expenditures	334,319	222,240	140,697	364,599	366,030	366,030	366,030
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers		0					
Ending Cash Balance	29,604	5,082	8,478	3,209	3,209	3,209	3,209
Encumbrances	12,254	10,456	9,941	9,941	9,941	9,941	9,941
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: State Clean Diesel Grant-Diesel Retrofit
 Legal Authority: PL 109-58, Energy Policy Act of 2005

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 545 H

Intended Purpose: Reduce emissions from in-use diesel engines

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Develop and administer a program to reduce diesel emissions

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Current total grant award period is unknown, but was previously a 5-year grant, so is budgeted in that manner. Expenditures vary according to the contract schedule with the agency converting its diesel engines. Ceiling is needed for 1st year of award only, since positions are not funded.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	847,586	82,000	205,000	409,412	409,412	788,383	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	100,000	100,000	100,000
Expenditures	0	0	0	0	100,000	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
		0					
Net Total Transfers		0					
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Department of Defense and State MOA
 Legal Authority: P.L. 96-510, Comprehensive Environmental Response, Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 546 H

Intended Purpose: Enable State to participate in assessment and remediation of contamination at military facilities

Source of Revenues: Reimbursement from U.S. Department of Defense

Current Program Activities/Allowable Expenses: Assessment and remediation

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	801,500	292,000	842,000	787,500	1,614,375	461,475	922,950
Beginning Cash Balance	14,930	97,765	20,959	107,809	177,441	177,441	177,441
Revenues	418,662	178,300	283,492	427,824	400,000	400,000	400,000
Expenditures	335,827	255,106	196,642	358,192	400,000	400,000	400,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers		0					
Ending Cash Balance	97,765	20,959	107,809	177,441	177,441	177,441	177,441
Encumbrances	18,552	14,704	14,668	38,080	14,668	14,668	14,668
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: State Response Program
 Legal Authority: Comprehensive Environmental Response,
 Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 547 H

Intended Purpose: Develop, implement, and maintain State Response Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Improve capacity to provide a public record, survey and inventory Brownfield sites, enhance oversight and enforcement authorities, provide meaningful opportunities for public participation, improve mechanisms for cleanup approval, verification of site cleanup, and management of institutional controls

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF. The FY 20 and FY 21 decreases are primarily due to the extension of the State Response Program (SRP) grant from a 2-year award to a 5-year award. FY 20 and FY 21 will be the 4th and 5th years of the award period.

Variances: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,917,150	1,030,000	2,014,000	1,006,504	1,508,807	1,297,800	1,297,800
Beginning Cash Balance	15,923	34,553	15,665	5,279	609	609	609
Revenues	886,221	1,030,365	991,885	886,532	1,031,667	1,031,667	1,031,667
Expenditures	867,591	1,049,253	1,002,271	891,202	1,031,667	1,031,667	1,031,667
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	34,553	15,665	5,279	609	609	609	609
Encumbrances	180,745	99,360	163,401	44,297	163,401	163,401	163,401
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Preliminary Assessment/Site Inspection
 Legal Authority: Comprehensive Environmental Response,
 Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 548 H

Intended Purpose: Site discovery and site screening activities to enable remediation and use of land with environmental contamination

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Site discovery, preliminary assessments, assistance with site inspections to determine which sites might be listed on the Superfund National Priority List

Purpose of Proposed Ceiling Increase (if applicable):

Variations: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	235,000	769,000	289,000	303,188	909,564	288,750	288,750
Beginning Cash Balance	10,396	21,306	8,942	23,284	114	114	114
Revenues	266,155	206,964	304,267	293,137	303,188	303,188	303,188
Expenditures	255,245	219,328	289,925	316,307	303,188	303,188	303,188
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	21,306	8,942	23,284	114	114	114	114
Encumbrances	16,320	50,035	44,529	20,475	44,529	44,529	44,529
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Hazardous Materials Emergency Preparedness
 Legal Authority: 49 U.S.C. 5101

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 549 H

Intended Purpose: Provide hazardous materials training

Source of Revenues: Federal grant funds from U. S. Department of Transportation

Current Program Activities/Allowable Expenses: Provide training, support planning studies, travel to conferences

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Due to timing of activities and reimbursements. Ceiling needed only in 1st year of award, since positions are not funded by this grant. Award period has been extended.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	112,704	111,000	130,165	410,020	0	406,726	0
Beginning Cash Balance	0	95,505	0	22,257	9,314	9,314	9,314
Revenues	134,692	48,975	120,451	22,978	119,095	119,095	119,095
Expenditures	39,187	144,480	98,194	35,921	119,095	119,095	119,095
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	95,505	0	22,257	9,314	9,314	9,314	9,314
Encumbrances	55,840	0	0	7,257	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 849 FC
 Name of Fund: Japan Tsunami Debris Removal
 Legal Authority: Request to Expend Non-Appropriated Other
Federal Funds--Governor approved 12/18/12

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 550 H

Intended Purpose: Remove Japan tsunami debris

Source of Revenues: Federal grant funds from National Oceanic and Atmospheric Administration

Current Program Activities/Allowable Expenses: Administrative, contract oversight, reporting, contracts to non-profit organizations to perform marine debris cleanup activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: One-time grant award

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	240	0	0	0	0	0	0
Revenues	20,434	0	0	0	0	0	0
Expenditures	20,674	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	9,519	9,519	9,519	9,519			
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: State Health Insurance Assistance Program
 Legal Authority: 42 USC 1995b-4

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 552 H

Intended Purpose: Provides free in depth one on one insurance counseling and assistance to Medicare beneficiaries, their families, friends, and caregivers.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Offers one-on-one counseling and assistance to people with Medicare and their families, expenses are operating.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Federal grant notice of award varies from year to year, which causes increase and decrease in revenue and expenses.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	155,428	155,428	368,627	576,968	576,968	576,968	576,968
Beginning Cash Balance	466	4,042	3,971	4,673	5,743	0	0
Revenues	158,555	225,066	181,416	191,785	245,764	245,764	245,764
Expenditures	154,979	225,137	180,714	190,715	251,507	245,764	245,764
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,042	3,971	4,673	5,743	0	0	0
Encumbrances	449	680	37,824	7,779	0	0	0
Unencumbered Cash Balance	3,593	3,291	(33,151)	(2,036)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Hawaii ADRC
 Legal Authority: 42 USC 3031-3037B

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 553 H

Intended Purpose: Supporting VDHCBS implementation and TCARE pilot.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: assists people to access information and get connected to needed services. Expense are for operations and administration.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant has ended

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	294,332	0	0	0	0	0	0
Beginning Cash Balance	84	84	0	0	0	0	0
Revenues	57,018	21,791	0	0	0	0	0
Expenditures	57,018	21,874	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	84	0	0	0	0	0	0
Encumbrances	35,117	0	0	0	0	0	0
Unencumbered Cash Balance	(35,033)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Title VII Ombudsman and Elder Abuse Prevention
 Legal Authority: PL 114-144

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 554 H

Intended Purpose: Addresses the need for strong advocacy to protect and enhance the basic rights and benefits of vulnerable people.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Designed to assist older people in receiving the rights and privileges to which they are entitled.
 Expenditures are operating.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	159,947	158,675	166,555	194,306	194,306	194,306	194,306
Beginning Cash Balance	2,091	6,080	3,738	9,376	5,113	0	0
Revenues	108,407	91,675	107,887	118,395	102,649	107,762	107,762
Expenditures	104,418	94,017	102,249	122,658	107,762	107,762	107,762
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,080	3,738	9,376	5,113	0	0	0
Encumbrances	0	1,912	1,140	0	0	0	0
Unencumbered Cash Balance	6,080	1,826	8,236	5,113	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Nutrition Services Incentive Program
 Legal Authority: PL 114-144

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 555 H

Intended Purpose: Rewards state agencies on aging that effectively deliver nutritious meals to older adults.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Support nutrition services for older people throughout the country which includes the congregate and the home delivered nutrition program. Expenditures are direct services to the counties.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to fluctuations in grant award.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,150,109	409,291	651,681	811,297	811,297	811,297	811,297
Beginning Cash Balance	0	121	0	0	0	0	0
Revenues	415,439	689,674	402,130	573,472	504,188	504,188	504,188
Expenditures	415,318	689,795	402,130	573,472	504,188	504,188	504,188
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	121	0	0	0	0	0	0
Encumbrances	20,039	167,046	0	0	0	0	0
Unencumbered Cash Balance	(19,918)	(167,046)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Public Water System Supervision
 Legal Authority: PL 93-523, Safe Drinking Water Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): N
 Appropriation Acct. No.: S 556 H

Intended Purpose: Protect public health by ensuring safe drinking water

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure that public water systems provide safe drinking water through compliance with national primary dinking water regulations

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	492,437	518,000	498,000	496,650	494,550	539,949	269,974
Beginning Cash Balance	1,095	14,271	13,875	34,076	25,818	25,818	25,818
Revenues	385,776	472,851	498,075	306,542	496,650	496,650	496,650
Expenditures	372,600	473,247	477,874	314,800	496,650	496,650	496,650
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	14,271	13,875	34,076	25,818	25,818	25,818	25,818
Encumbrances	3,762	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Pollution Control - Groundwater
 Legal Authority: PL 92-500, Clean Water Act, Section 106

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 557 H

Intended Purpose: Prevent, reduce, and eliminate groundwater pollution

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Implement State Groundwater Protection Program and wellhead protection activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has been extended from a 2-year award to a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to workplan.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	630,000	330,000	662,000	839,261	155,981	976,401	195,280
Beginning Cash Balance	14,727	5,218	4,661	9,900	542	542	542
Revenues	338,985	196,369	188,100	220,775	250,000	250,000	250,000
Expenditures	348,494	196,926	182,861	230,133	250,000	250,000	250,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers		0					
Ending Cash Balance	5,218	4,661	9,900	542	542	542	542
Encumbrances	62,111	10,076	0	1,195	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Hazardous Waste Management Program
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF): N
 Appropriation Acct. No. S 558 H

Intended Purpose: Implement regulations of the Resource Conservation and Recovery Act (RCRA)

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure RCRA compliance at hazardous waste generation and management facilities, issue permits to hazardous waste management facilities, manage clean-up of contaminated sites, and develop and maintain programs aimed at reducing waste and increasing recycling

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant changed from 1-year to 2-year award period with new awards anticipated to start in FY18 and FY20. Ceiling included each year for budgetary purposes, since positions are funded by this grant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	529,254	556,000	536,000	566,328	573,331	1,113,000	556,500
Beginning Cash Balance	16,942	23,656	19,844	1,148	1,291	1,291	1,291
Revenues	550,284	570,198	536,695	521,326	573,331	573,331	573,331
Expenditures	543,570	574,010	555,391	521,183	573,331	573,331	573,331
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers		0					
Ending Cash Balance	23,656	19,844	1,148	1,291	1,291	1,291	1,291
Encumbrances	17,519	0	1,411	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF/FO & HTH 610 FR
 Name of Fund: Air Pollution Control Program
 Legal Authority: Clean Air Act, Section 105; 40 CFR Parts 31 & 35
Subpart A

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 559 H

Intended Purpose: Protect and improve air quality and reduce the risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Strategic planning and evaluation, compliance assistance, developing state implementation plans, monitoring air emissions, rule-making, issuing permits and other other program-related activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: From FY18, all expenditures to this grant are coded to S-559-H, since new federal funds methodology impedes spending.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	798,879	839,000	919,000	838,823	945,000	943,823	991,014
Beginning Cash Balance	22,329	45,934	17,658	9,304	4,145	4,145	4,145
Revenues	672,357	656,696	534,184	669,179	838,823	838,823	838,823
Expenditures	648,752	684,972	542,537	674,338	838,823	838,823	838,823
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	45,934	17,658	9,304	4,145	4,145	4,145	4,145
Encumbrances	12,439	11,186	3,497	10,224	3,497	3,497	3,497
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: PM 2.5 Monitoring Network
 Legal Authority: Clean Air Act, Section 103; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 560 H

Intended Purpose: Improve air quality and reduce risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Monitor fine particulate matter to determine compliance with the PM 2.5 National Ambient Air Quality Standards and determine reductions in air emissions

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Variances are primarily due to timing of equipment replacement, repair, and maintenance, amount of inter-island travel needed, and travel costs for off-island conference/training.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	210,000	255,000	768,573	843,104	153,022	153,022
Beginning Cash Balance	9,723	6,581	3,964	8,243	187	187	187
Revenues	134,258	113,990	174,780	160,107	170,000	170,000	170,000
Expenditures	137,400	116,607	170,501	168,163	170,000	170,000	170,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	6,581	3,964	8,243	187	187	187	187
Encumbrances	2,009	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Oral Disease Prevention Program Grant
 Legal Authority: 301A, 311BC, 317K2 (42USC241A, 243BC247BK2)

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 563 H

Intended Purpose: An oral health program is a critical part of all state public health departments, however the DOH Dental Health Division was eliminated as part of the 2009 budget restricts. This grant will be used to help rebuild the DOH's public dental health infrastructure.

Source of Revenues: DHHS, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: The funding will be used to improve basic state oral health services, including support for program leadership and limited supported staff, monitoring oral disease levels and risk factors for oral disease, developing strong partnerships, educating state residents on ways to prevent oral diseases, and developing and evaluating prevention programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2014 expenditures reflects only 9 months of the grant funds being liquidated. The variance in revenues between FY 2015-16 is due to the draw-down of only \$156,766 in grant funds to cover the liquidation of expenditures incurred in FY 2015. The variance in expenditures between FY 2016-17 is due to vacancies being filled during FY 2017.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	250,000	250,000	0	0	0
Beginning Cash Balance	17	10,839	453	35	64	64	64
Revenues	156,766	111,821	237,009	349,737	0	0	
Expenditures	145,944	122,207	237,427	349,708	0	0	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,839	453	35	64	64	64	64
Encumbrances	16,477	57,439	56,571	136,332	0	0	
Unencumbered Cash Balance	(5,638)	(56,986)	(56,536)	(136,268)	64	64	64

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Strategic Prevention Framework-Partnerships for Success (HI Partnerships for Success)
 Legal Authority: Section 516 PHS Act as amended

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 565 H

Intended Purpose: Implementation of the Strategic Prevention Framework process at the state and community levels to promote alignment and coordination of resources to better address substance abuse prevention priorities.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: In collaboration with state and community level stakeholders, use data-driven decision making processes to develop and implement effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons ages 12 to 20.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Five year award from 9/30/13 to 9/29/18 plus on year no-cost extension period 9/30/18 to 9/29/19. Variance in FY16 to FY18 is due to the delays in establishing the project and positions that led to delayed contract and contract modification execution.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,767,725	1,776,772	1,433,481	1,776,772	0	0	0
Beginning Cash Balance	276	2,720	3,343	47	934	934	934
Revenues	161,559	247,652	834,975	1,305,113	0	0	0
Expenditures	159,115	247,029	838,271	1,304,226	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,720	3,343	47	934	934	934	934
Encumbrances	52,894	117,806	977,906	858,657			
Unencumbered Cash Balance	(50,174)	(114,463)	(977,859)	(857,723)	934	934	934

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Collaborative Agreement to Benefit Homeless Individuals (Hawaii Pathways Project)
 Legal Authority: Section 509 and 520A Public Health Service Act, as amended

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 566 H

Intended Purpose: The intent of the CABHI-Hawaii Pathways Project (HPP) is to strengthen the infrastructure, partnerships, and service system to provide permanent housing to individuals, families, and veterans living on Oahu. HPP will assist chronically homelessness individuals with substance use or co-occurring substance use and mental health disorder through assertive outreach, case management, and treatment services. Specifically, HPP will provide the Pathways Housing First model, the only evidence-based program recognized by the National Registry of Evidence- Based Programs and Practices that provides a comprehensive housing and treatment model without preconditions of the individual's alcohol or drug use. The HPP will also assist in building sustainable partnerships, infrastructure, and practices through a partnership with the Hawaii Interagency Council on Homelessness (HICH) and the development of a Statewide Plan.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT)

Current Program Activities/Allowable Expenses: Implementation of the Pathways Housing First model; including clinical services, intensive case management, housing, vocational, peer support and recovery support services.

Purpose of Proposed Ceiling Adjustment (if applicable): Request to decrease the appropriation ceiling due award ended 9/29/17.

Variances: Three year project period from 9/30/13 to 9/29/16 plus one year no cost extension from 9/30/16 to 9/30/17. Variance in FY 15/16/17 due to delay in starting grant project. FY17 and FY18 variance is due to increased homeless wrap around services during the no-cost extension period of this award. grant ended 9/30/17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,202,294	1,209,203	1,209,203	0	0	0	0
Beginning Cash Balance	0	2,907	3,000	7	0	0	0
Revenues	347,388	837,781	918,649	557,621	0	0	0
Expenditures	344,481	837,688	921,642	557,628	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,907	3,000	7	0	0	0	0
Encumbrances	825,021	528,378	656,656				
Unencumbered Cash Balance	(822,114)	(525,378)	(656,649)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: 2015 WIC INFRASTRUCTURE

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) P

Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public

Legal Authority Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 567 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide funding for facility renovation to Waianae Coast Comprehensive Health Center WIC and for outreach for a statewide child retention campaign.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Facility renovation expenditures and outreach activities for child retention.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances between FY 2016 and 2017 is partly due to awarded contractor not wanting to enter into a contract for this project, because of limited time between award, execution of contract and completion of project and State staff used partial funding for the child retention campaign proposed project. Draft contract not executed, federal grant funding recovered, partial project (child retention campaign) completed.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	351,500	351,500	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	25,668		0	0	0
Expenditures	0	0	25,668		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: No Wrong door
 Legal Authority: PL 109-442

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 568 H

Intended Purpose: Implementation of the No Wrong door System for all populations and all players

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Network built to support individuals needing long-term care. Expenses are operating and administrative.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue increase due to contract encumbrance in FY 2017. Grant will conclude at the end of FY 2019

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	850,000	2,496,642	1,738,746	1,738,746	0	0
Beginning Cash Balance	0	0	9,106	119,318	21,951	(0)	(0)
Revenues	0	49,948	868,108	743,068	400,925	0	0
Expenditures	0	40,842	757,896	840,435	422,876	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	9,106	119,318	21,951	(0)	(0)	(0)
Encumbrances	0	321,523	376,408	497,386	0	0	0
Unencumbered Cash Balance	0	(312,417)	(257,090)	(475,435)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: WIC REGIONAL INFRASTRUCTURE

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) P

Legal Authority Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 569 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to transfer a legacy MIS system and implement a new MIS system for the WIC program.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services. Federal Technology funding.

Current Program Activities/Allowable Expenses: Second funding for Phase II - Transfer and Replacement of MIS database.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: Not applicable. Grant draw-down completed by 10/31/2017, MIS replacement project rolled-out and completed.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	1,229,182	1,229,182	1,229,182	0	0	0
Beginning Cash Balance	0	0	61,052	358,355	0	0	0
Revenues	0	312,448	910,902	5,832	0	0	0
Expenditures	0	251,396	613,599	364,187	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	61,052	358,355	0	0	0	0
Encumbrances	0	260,376	357,250	0	0	0	0
Unencumbered Cash Balance	0	(199,324)	1,105	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Drug and Alcohol Services Information System (DASIS)
 Legal Authority: Section 505 Public Health Service Act (42.U.S.C. 290aa-4)

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 571 H

Intended Purpose: To provide substance abuse treatment data to meet the Substance Abuse and Mental Health Services Administration (SAMHSA) statutory requirements.
 Source of Revenues: U.S. Department of Justice, Office of Juvenile Justice and Delinquency Prevention (OJJDP)

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for continued collection of substance abuse prevention and treatment data elements.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variations: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per new accounting methodology for federal funds, a unique appropriation has been assigned to each federal award resulting in the carryover balance in FY17. Variance for FY18 is due to expenditures of carry over. Estimates for FY19 are based on anticipated base appropriation.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	37,538	405,724	150,152	37,538	37,538	37,538
Beginning Cash Balance	0	0	0	37,538	350,959	350,959	350,959
Revenues	0	37,538	37,538	494,609	37,538	37,538	37,538
Expenditures	0	37,538	0	181,188	37,538	37,538	37,538
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	37,538	350,959	350,959	350,959	350,959
Encumbrances				5,749			
Unencumbered Cash Balance	0	0	37,538	345,210	350,959	350,959	350,959

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: PHHSBG-Chronic Disease Prevention
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S-16-574-H
S 574 H (FY16 & FY15)

Intended Purpose: Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues: US Department of Health and Human Services and Public Health Service. CDPHPD is a sub-recipient. These funds were originally included under appropriation account S-225-H and has subsequently been assigned a separate account, S-574-H.

Current Program Activities/Allowable Expenses: PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Grant ended on 9/30/2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		165,000	165,000	165,000			
Beginning Cash Balance		0	3,272	1,356	(0)	(0)	(0)
Revenues		72,440	146,437	46,184			
Expenditures		69,168	148,353	47,540			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfer				(1)			
Net Total Transfers	0	0	0	(1)	0	0	0
Ending Cash Balance	0	3,272	1,356	(0)	(0)	(0)	(0)
Encumbrances		0	18,000	0			
Unencumbered Cash Balance	0	3,272	(16,644)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: National Asthma Control Program
 Legal Authority: Act 122, SLH 2014

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S 15 575 H

Intended Purpose: Expand access and awareness of evidence based asthma self-management programs, with a focus on reducing disparities among high risk population.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Planning, delivery, and evaluation of public health activities and collaboration with health care systems; Expand access to comprehensive asthma control services through home-based and/or school-based strategies; Coordinate with health care organizations to improve coverage, delivery, and use of clinical and other services.

Purpose of Proposed Ceiling Adjustment (if applicable): None

will end on 08/31/19

Variances: Revenue fluctuates based on the last year's expenditure.
 Expenditure decreased due to vacancies in personnel.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	500,000	500,000	0
Beginning Cash Balance	0	8,009	11,180	26	41	(0)	(0)
Revenues	177,800	533,806	265,609	230,743	500,000	500,000	0
Expenditures	169,791	530,635	276,763	230,727	500,041	500,000	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,009	11,180	26	41	(0)	(0)	(0)
Encumbrances	31,119	138,003	115,052	41,046			
Unencumbered Cash Balance	(23,110)	(126,823)	(115,026)	(41,005)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: Behavioral Risk Factor Surveillance System
 Legal Authority: Act 122, SLH 2014

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S 15 576 H

Intended Purpose: To provide financial and technical assistance to collect data on health risk behaviors, health status, health care access, and preventive health practices. The Behavioral Risk Factors Surveillance System (BRFSS) is the only continuous, state-based, health surveillance system conducted.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Funding is used to construct a state-specific BRFSS questionnaire; collect and submit data using surveillance methodologies and protocols; form and maintain collaborations; participate in CDC-sponsored training opportunities; disseminate data and

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Revenue increase is due to supplemental grant awarded.
 Expenditure fluctuates based on the revenue.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	123,778	400,000	400,000	400,000	400,000	400,000	400,000
Beginning Cash Balance	0	3,503	3,729	3	114	(0)	(0)
Revenues	16,800	232,646	148,848	182,694	340,000	340,000	340,000
Expenditures	13,297	232,420	152,574	182,583	340,114	340,000	340,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,503	3,729	3	114	(0)	(0)	(0)
Encumbrances	97	0	0	0			
Unencumbered Cash Balance	3,407	3,729	3	114	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: Heart Disease & Stroke, Diabetes and Obesity Prevention
 Legal Authority: Act 122, SLH 2014

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S 15 578 H

Intended Purpose: Support implementation of population-wide and priority population approaches to prevent obesity, diabetes, and heart disease and stroke and reduce health disparities in these areas among adults.

Source of Revenues: CDC National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Implement nutrition and beverage standards, strengthen healthier food access and sales, community promotion and development and/or implementation of transportation and community plans to promote physical activity.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: Grant ended on 9/29/2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,750,813	2,750,813	2,750,813	2,750,814	0	0	0
Beginning Cash Balance	0	7,520	3,683	109	824	(0)	(0)
Revenues	47,700	1,299,679	3,283,460	3,776,792	0	0	0
Expenditures	40,180	1,303,516	3,287,034	3,776,077	824	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,520	3,683	109	824	(0)	(0)	(0)
Encumbrances	2,063,684	3,095,242	2,681,852	1,656,958			
Unencumbered Cash Balance	(2,056,164)	(3,091,559)	(2,681,742)	(1,656,134)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Substance Abuse Prevention and Treatment (SAPT) Block Grant
 Legal Authority: Subparts II & III, Part B, Title XIX, Public Health Service Act:
Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant,
Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) N
 Appropriation Acct. No. S-581 in FY15/16/17/18

Intended Purpose:

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug users, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Increase the federal fund appropriation by \$322,088 due to increase in the Notice of Award.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. FY17 variance is due to appropriation inadvertently not included on the Form FF therefore not assigned to S-18-581-H. Variance for FY18 reflects the current federal award amount.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	8,562,595	0	8,848,122	8,848,122	8,848,122
Beginning Cash Balance	0	66,770	0	30	11,837	11,837	11,837
Revenues	6,638,612	1,456,809	5,912,700	8,966,118	8,848,122	8,848,122	8,848,122
Expenditures	6,571,842	1,523,579	5,912,670	8,954,312	8,848,122	8,848,122	8,848,122
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	66,770	0	30	11,837	11,837	11,837	11,837
Encumbrances	1,483,299	0	2,281,345	2,006,974			
Unencumbered Cash Balance	(1,416,529)	0	(2,281,315)	(1,995,137)	11,837	11,837	11,837

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
Prog ID(s): HTH 720
Name of Fund: OHCA Health Care Administrative Services
Legal Authority Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Utey Uch
Phone: (808) 692-7229
Fund type (MOF) P
Appropriation Acct. No. S**-583-H

Intended Purpose:

To meet contractual obligations pursuant to Act 093, SLH 2012 which transferred licensing responsibilities of home and community-based case management, community care foster family homes and adult day care facilities from the Hawaii department of human services to the office of health care assurance within the department of health.

Source of Revenues:

The source of revenues are from the State general funds and also from Federal contracts within the hawaii department of human services (DHS). The contract funding is relatively stable from year to year but the federal portion is determined by the Centers for Medicare and Medicaid Services (CMS) after periodic reviews based on the number of Medicaid clients being served.

Current Program Activities/Allowable Expenses:

Licensing activities of home and community-based case management, community care foster family homes and adult day care facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	316,495	316,495	316,495	316,495	316,495	316,495	316,495
Beginning Cash Balance		26,375	52,750	26,375	0	0	0
Revenues		342,869	316,494	316,494	316,494	316,494	316,494
Expenditures		316,494	342,869	342,869	316,494	316,494	316,494
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer-out							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	52,750	26,375	0	0	0	0
Encumbrances	0	0	0	26,375			
Unencumbered Cash Balance	0	52,750	26,375	(26,375)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: WIC EBT TRANSFER PROJECTS

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) P

Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public

Legal Authority Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 584 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to plan for implementation of electronic benefits transfer (EBT) and prepare an Implementation Advance Planning Document (IAPD) for submission to the USDA and subsequent application for grant funding to be used for project implementation by 2020.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services. Technology funding.

Current Program Activities/Allowable Expenses: Planning project for implementation of electronic benefits transfer (EBT) contract deliverables completed. Remaining contract balance encumbered by ASO Log No. 15-077-M1 in the amount of \$14,974 is expected to be recovered by the USDA. Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	250,000	250,000	0	0	
Beginning Cash Balance	0	0	761	3,086	1	1	1
Revenues	0	4,716	226,501	3,604	0	0	
Expenditures	0	3,955	224,176	6,689	0	0	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	761	3,086	1	1	1	1
Encumbrances	246,848	244,296	21,663	0	0	0	
Unencumbered Cash Balance	(246,848)	(243,535)	(18,577)	1	1	1	1

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: Tobacco Quitline
 Legal Authority: Act 122, SLH 2014

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S 15 585 H

Intended Purpose: Expand the capacity of the Tobacco Prevention and Education Program to implement evidence-based cessation interventions as it relates to the Hawaii Tobacco Quitline.

Source of Revenues: CDC National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health
 - financed solely by the Prevention and Public Health Fund

Current Program Activities/Allowable Expenses: Ensure infrastructure for State Quitline; improve Quitline capacity; participate in surveillance and evaluation efforts; identify and target disparate populations; improve sustainability; increase media efforts; enhance Quitline protocol and operations; improve understanding of comprehensive cessation coverage for Medicaid recipients; promote health systems change.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Revenue depends on funding availability from CDC.
 Expenditure fluctuates based on the revenue.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	57,958	57,958	57,958	57,958	57,958	57,958	0
Beginning Cash Balance	0	7,250	0	3	31	0	0
Revenues	7,250	33,702	29,854	41,520	57,958	57,958	0
Expenditures	0	40,952	29,851	41,491	57,989	57,958	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,250	0	3	31	0	0	0
Encumbrances	0	0	7,375	43,000			
Unencumbered Cash Balance	7,250	0	(7,372)	(42,969)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: Tobacco Program Control
 Legal Authority: Act 122, SLH 2014

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S 15 586 H

Intended Purpose: To support the implementation of evidence-based environmental, policy, and systems interventions, strategies, and activities to reduce tobacco use, secondhand smoke exposure, tobacco-related disparities and associated disease, disability, and death.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion Office on Smoking and Health

Current Program Activities/Allowable Expenses: Implementation of: State and community interventions; mass-reach health communication interventions; cessation interventions; surveillance and evaluation; infrastructure, administration, and management.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Revenue fluctuates based on availability of funding from CDC.
 Expenditure fluctuates based on revenue received.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	857,590	835,823	900,000	900,000	900,000	900,000	900,000
Beginning Cash Balance	0	426	18,525	17	4,391	(0)	(0)
Revenues	72,250	848,943	693,913	889,316	854,573	856,418	856,418
Expenditures	71,824	830,844	712,421	884,943	858,964	856,418	856,418
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	426	18,525	17	4,391	(0)	(0)	(0)
Encumbrances	201,432	1,466	882	160,382			
Unencumbered Cash Balance	(201,005)	17,059	(865)	(155,992)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Injury Prevention and Control, PHHS Block Grant
 Legal Authority: Part A, Title XIX, Public Health Service Act

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S-16-587 H, S-17-587-H, S-18-587

Intended Purpose: Develop, promote, coordinate & evaluate projects & policies that lead to the reduction of injury deaths, hospitalizations & costs.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Collect, analyze, report data on causes of injury morbidity & mortality/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,000	48,884	90,000	90,000	90,000	90,000	90,000
Beginning Cash Balance	0	2,584	0	71	48	0	0
Revenues	43,700	46,102	48,950	90,501	90,028	90,000	90,000
Expenditures	41,116	48,686	48,879	90,524	90,076	90,000	90,000
Transfers							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,584	0	71	48	0	0	0
Encumbrances	4,185	0	10,166	76	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2018 Beginning Balance: MBP477-A OPTION:2, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2018 Encumbrances (Unliquidated Balance): MBP490-A, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenue for FYs 2019-21 is equal to the amount anticipated to be allocated from the federal award. The estimated expenditure is equal to fully expending the estimated revenue available within the appropriation ceiling.

Note 4: In FY 2015 (10/1/14), funding for this grant is reported in assigned appropriation S 587 H. In FY 2016 (10/1/15), funding for this grant is reported in S 541 H. For FYs 2017-2021 (10/1/16) funding for this grant is reported in assigned appropriation S-587 H.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 907
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS
 Legal Authority: Act 164, SLH 2011, as amended by ACT 106, SLH 2012
Public Health Service Act, various sections, as amended.

Contact Name: Betty Wood
 Phone: 586-4530
 Fund type (MOF) P
 Appropriation Acct. No. S 588

Intended Purpose:

Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. This grant number was S-228-H then changed to S-588-H. Going forward the assumption is this grant number will be S-588-H. This grant period ends annually on 9/30.

Current Program Activities/Allowable Expenses:

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		600,603	600,603	600,603	600,603	600,603	600,603
Beginning Cash Balance	0	5,029	(0)	63	377	691	1,005
Revenues	323,500	328,267	270,850	502,046	502,046	502,046	502,046
Expenditures	318,471	333,297	270,787	501,732	501,732	501,732	501,732
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,029	(0)	63	377	691	1,005	1,319
Encumbrances			93,911	57,534			
Unencumbered Cash Balance	5,029	(0)	(93,848)	(57,157)	691	1,005	1,319

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Preventive Hlth and Hlth Svcs Block Grant – Sexual Assault Prev Prog
 Legal Authority: Part A, Title XIX, PHS Act, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 34203 Fund type (MOF) P
 Appropriation Acct. No. S 589 H

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and immigrants to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. Collaborate on public awareness activities with Hawaii Coalition Against Sexual Assault.
- C. To collaborate and plan with the Sexual Violence Primary Prevention Planning Committee for such activities as a needs assessment of attitudes about sexual violence among selected communities.

Purpose of Proposed Ceiling Adjustment (if applicable): Effective July 1, 2014, this grant is now appropriated in S 589 H.

Variations: The variations from FY 2015 to FY 2017 is due to changes in program activities. The variance in revenues and expenditures between FY 2017 and FY 2018 is due to the planned expenditure of the entire subaward amount in FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Beginning Cash Balance	0	730	0	22	65	65	65
Revenues	11,350	26,661	12,040	77,911	40,000	40,000	40,000
Expenditures	10,620	27,391	12,018	77,868	40,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	730	0	22	65	65	65	65
Encumbrances	22,000	0	0	0	0	0	0
Unencumbered Cash Balance	(21,270)	0	22	65	65	65	65

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: WIC MIS PROJECT FUNDING

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) P

Legal Authority Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 590 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to implement a MIS system to transfer existing data from and replace WIC's database called "SWICH".

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services Technology funding.

Current Program Activities/Allowable Expenses: Initial funding for Phase I - Transfer and Replacement of MIS database. Funding expended, Phase I completed, project rolled out by 5/31/2017.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,495,818	1,495,818	1,495,818	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	1,345,549	150,269	0	0	0	0
Expenditures	0	1,345,549	150,269	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	1,495,818	150,269	0	0	0	0	0
Unencumbered Cash Balance	(1,495,818)	(150,269)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Primary and Behavioral Health Care Intergration Project
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 592 H

Intended Purpose: The purpose of the grant is to improve the physical health status of adults who have severe and persistent mental illness through the provision of primary and specialty care medical services.

Source of Revenues: Hawaii's Primary and Behavioral Health Care Integration Project grant

Current Program Activities/Allowable Expenses: Activities include the provision of primary and specialty care medical services and support services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2015, FY 2016, FY 2017, and FY 2018 variances are attributed to the inability to establish and fill grant funded positions. Grant was non-appropriated and ended on 9/28/18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	204	672	1	497	497	497
Revenues	3,200	14,653	18,334	24,818	150,000	0	0
Expenditures	2,996	14,185	19,005	24,322	150,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	204	672	1	497	497	497	497
Encumbrances	696	2,647	2,817				
Unencumbered Cash Balance	(492)	(1,975)	(2,816)	497	497	497	497

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Hawaii National Violent Death System (NVDRS) Project
 Legal Authority: Centers for Disease Control's National Violence Death Reporting Project

Contact Name: Alvin T. Onaka Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) N
 Appropriation Acct. No. S-593-H

Intended Purpose:

Improve violent death data collection and reporting in the State of Hawaii

Source of Revenues:

Competitive federal grant award

Current Program Activities/Allowable Expenses:

Activities include working with local law enforcement, the Medical Examiner's office on Oahu and Medical coroners on the neighbor islands to discuss the collection and reporting of violent death (ie: murders and suicides) information. This includes meetings on all islands and data sharing agreements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 to FY16 pending carryover request due to start-up delay. FY16 to FY17 and FY17 to FY18 due to delays in procurement.

Grant is non-appropriated and ends on 8/31/19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	337	20	5	0	0	0
Revenues	1,550	15,293	126,628	55,431	189,575	0	0
Expenditures	1,213	15,610	126,643	55,436	189,575	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	337	20	5	0	0	0	0
Encumbrances	1,289	175,381	25,000	1,640			
Unencumbered Cash Balance	(952)	(175,361)	(24,995)	(1,640)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Tuberculosis Control & Elimination
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL 111-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 517 H (FY14-15)
S 594 H (FY16-FY20)

Intended Purpose:

To prevent and reduce the incidence of tuberculosis (TB) in the State of Hawaii

Source of Revenues:

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses:

TB outbreak and control activities, laboratory and direct observed therapy services
 Purpose of Proposed Ceiling Increase (if applicable):

Variations: From FY15, the grant switched from S 517 to S 594. The variance between FY17 and FY18 is due to an projected increase of the grant starting from FY18. There is a slight decrease in the grant amount from FY19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,628,605	3,705,653	3,456,362	2,092,342	988,704	988,704	988,704
Beginning Cash Balance	0	18,631	22,100	49	2,918	2,918	2,918
Revenues	200,500	893,177	1,067,245	1,005,785	988,704	988,704	988,704
Expenditures	181,869	889,708	1,089,296	1,002,917	988,704	988,704	988,704
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	18,631	22,100	49	2,918	2,918	2,918	2,918
Encumbrances				657			
Unencumbered Cash Balance	18,631	22,100	49	2,261	2,918	2,918	2,918

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>HEALTH</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000602)</u>	Fund type (MOF):	<u>P</u>
Legal Authority:	<u>Patient Protection and Affordable Care Act, P.L. 111-148</u>	Appropriation Acct. No.:	<u>S 595 H</u>

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant is identical to S 529 but we had established a separate appropriation code in FY 2015 since we received two \$1,000,000 grant in the same state fiscal year. The variance between FY 2016 to FY 2017 and FY 2018 is due to the projected expenditure of the remainder of the entire award in FY 2017 and FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	0	0	0	0	0	0
Beginning Cash Balance	0	0	80	117	(0)	(0)	(0)
Revenues	0	966	727,741	272,501	0	0	0
Expenditures	0	886	727,704	272,618	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	80	117	(0)	(0)	(0)	(0)
Encumbrances	0	0	132,219	0	0	0	0
Unencumbered Cash Balance	0	80	(132,102)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>HEALTH</u>	Contact Name:	<u>William L. Aakhus</u>
Prog ID(s):	<u>HTH 560</u>	Phone:	<u>586-9305</u>
Name of Fund:	<u>Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000753)</u>	Fund type (MOF):	<u>P</u>
Legal Authority:	<u>Patient Protection and Affordable Care Act, P.L. 111-148</u>	Appropriation Acct. No.:	<u>S 596 H</u>

Intended Purpose: To continue to make significant progress under the MIECHV program towards implementing a high-quality home visiting program as part of a comprehensive early childhood system and maintain existing home visiting programs which have proven to be effective for eligible families or communities or incrementally expand the capacity to address additional unmet need.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses: These funds provide ongoing support to high-quality evidence-based home visiting programs and expand evidence-based home visiting programs, in whole or in part, through the MIECHV program by increasing enrollment and retention of families served. MIECHV funds support the Hawaii Home Visiting Network (HHVN), a public/private partnership of evidence-based home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. The Department of Health (DOH) uses MIECHV funds to support Early Identification (EID) services prenatally and in birthing hospitals which screen families for child maltreatment risk factors.

Families who are identified to be at risk are voluntarily referred to HHVN partners who provide a comprehensive array of services that promote parental competence and successful early childhood health and development. In addition to supporting the HHVN EID and direct home visiting services, these funds provide expanded resources to: embarking on two year evaluation of home visiting program expansion, recruitment, enrollment, retention and quality improvement with Johns Hopkins University; launching a Brand Awareness/Social Media Campaign to increase recruitment, enrollment and retention; create a training institute with established Home Visitor Competencies. Strengthening relationships between home visiting, Department of Human Services, Child Welfare Services and early childhood community through shared experiences in the training institute and implementation of the State's Continuous Quality Improvement (CQI) Plan.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling to be established in the Budget Details in the Supplement budget effective 7/1/16.

Variances: This MIECHV Expansion grant began 3/1/15. The entire grant award is 8,430,783 but doesn't expire until 9/30/2017. Depending on when activities are performed, expenditures and revenues in the state fiscal years covered by this grant will fluctuate. It is projected that the entire grant award will

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,430,783	8,430,783	8,430,783	2,836,945	8,430,783	8,430,783	8,430,783
Beginning Cash Balance	0	0	131,947	38	0	0	0
Revenues	0	2,352,218	3,241,657	2,558,458	0	0	0
Expenditures	0	2,220,271	3,373,566	2,558,496	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	131,947	38	0	0	0	0
Encumbrances	0	1,626,846	2,032,817	151,254	0	0	0
Unencumbered Cash Balance	0	(1,494,899)	(2,032,779)	(151,254)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOH
 Prog ID(s): HTH131DB / HTH907AK
 Name of Fund: HPP Ebola Preparedness and Response Activities
 Legal Authority: PHSA, Sec 21, 42 USC 243

Contact Name: Judy K. Kern
 Phone: (808) 587-6372
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-597 H

Intended Purpose: In partnership with referral hospitals and the local healthcare coalition, DOH will conduct an Ebola virus disease/infectious disease preparedness and response program to improve the State healthcare system's readiness for a possible Ebola/infectious disease outbreak.

Source of Revenues: Federal Funds.

Current Program Activities/Allowable Expenses: Funds will be used to support healthcare facilities and the healthcare coalition to develop consistent plans and capacity regarding identification, isolation, transport, and management of suspected or confirmed patients infected with Ebola or other infectious disease.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: Project period from FY16 through FY20.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	831,511	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	677,745	13,016	55,603	75,337	9,809	0
Expenditures	0	677,745	13,016	55,603	75,337	9,809	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				(75,337)			
Unencumbered Cash Balance	0	0	0	75,337	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii SBIRT
 Legal Authority: Section 509 Public Health Service Act, as amended.

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 600 H

Intended Purpose: To implement screening, brief intervention, and referral to treatment (SBIRT) services for adults in primary care and community health settings for substance misuse and substance use disorders.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to serve a minimum of 35,000 residents. Project services are designed to develop, expand, and enhance infrastructure to fully integrate SBIRT in six Federally Qualified Health Centers (FQHC) in Hawaii and up to twenty-five small group primary care practices (PCP) over five years and to establish the SBIRT model as a standard of care statewide. The SBIRT program seeks to address behavioral health disparities by encouraging the implementation of strategies, such as SBIRT, to decrease the differences in access, service use, and outcomes among the populations served. Implementing the SBIRT will aid in improving overall health outcomes, reducing the negative impact on health, and reducing healthcare costs.

The HI-SBIRT has three goals: 1) Implement SBIRT in six FQHCs and twenty-five small group primary care practices; 2) Develop and expand State and community infrastructure to improve linkages and coordination between primary care and SUD treatment providers; and, 3) Expand existing behavioral health integration efforts which includes a plan to disseminate SBIRT to small primary care practices throughout the State.

Purpose of Proposed Ceiling Adjustment (if applicable): Increase the federal fund appropriation in FY19 by \$152,495 due to increase in the Notice of Award.

Variances: Five year project period from 09/30/2016 to 09/29/2021. The variances for both revenue and expenditures are due to 2 primary factors 1) POS contracts for the first project year were not executed until later in the year, so expenditures were lower than anticipated and 2) the funder allowed funds not spent in the initial contract year to be carried over to the next project year which can then be allocated to the service contracts. It follows, based on #'s 1 and 2, that the estimated variance of -10% for FY20 will occur because the award amount for the budget year will revert to the original award amount.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,658,375	1,658,375	1,845,431	1,658,375	1,658,375
Beginning Cash Balance	0	0	0	0	188	188	188
Revenues	0	0	12,840	551,401	1,845,431	1,658,375	1,658,375
Expenditures	0	0	12,840	551,213	1,845,431	1,658,375	1,658,375
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	188	188	188	188
Encumbrances			1,419,488	1,203,240			
Unencumbered Cash Balance	0	0	(1,419,488)	(1,203,051)	188	188	188

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH131DB
 Name of Fund: Zika Preparedness and Response Activities for the State of Hawaii
 Legal Authority: 45 CFR Part 75

Contact Name: Sarah Y. Park, MD, FAAP
 Phone: (808) 587-6843
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-602 H

Intended Purpose: The development and implementation of a training and exercise plan to test the State's Zika response plan.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Focus will be on conducting meetings and communication campaigns with the healthcare community and the general public to test the State's Zika response plan.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A.

Variances: Program was for two years, from 7/1/2016 to 6/30/2018. Grant has ended.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,086,226	1,086,226	0	0	0
Beginning Cash Balance	0	0	0	50	10	0	0
Revenues	0	0	264,950	120,673			
Expenditures	0	0	264,900	120,713	0		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	50	10	10	0	0
Encumbrances	0	0	19,002	286,894			
Unencumbered Cash Balance	0	0	(18,952)	(286,884)	10	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Birth Defects Surveillance, Intervention, and Follow-Up for Zika Virus
 Legal Authority: Sections 243, 247b (k) and 247b-4 of the Public Health Service (PHS) Act, as amended

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 603 H

Intended Purpose: Surveillance, intervention, and referral to services activities for infants with microcephaly or other adverse outcomes linked with the Zika virus

Source of Revenue DHHS, Centers for Disease Control and Prevention

Current Program Activities: Case ascertainment; implementation of a secure web based birth defects data system; integration of BD data system with other EI and child services' data systems; clinical case reviews by a clinical geneticist; coordinating communication and activities in the Family Health Services Division to promote Zika-related information and access to programs for infants and children with birth defects associated with Zika; referral to services; and infant follow-up until one year of age for developmental outcomes of babies identified with microcephaly and select CNS defects.

Purpose of Proposed Ceiling Adjustment (if applicable): Raised the ceiling from \$400,000 to \$600,000 in FY 2017 after receiving a \$200,000 supplemental award

Variances: The award was originally for a 3-years, \$400,000 per year with a Project Period of 8/1/16 - 7/31/19. In FY 2017 an additional \$200,000 Supplemental Award was received on 12/17/16. In FY 2018 the Centers for Disease Control and Prevention (CDC) announced that funding for the Year 2 Continuation is not available with Instructions for closeout effectively ending the budget/project period on 7/31/17. Subsequently, a 24-month No-Cost extension was approved to draw down the remaining funding. Current budget/project period end date is 7/31/2019.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	0	0	0	4	48	48	48
Revenues	0	0	41,225	71,830	250,000	58,775	250,000
Expenditures	0	0	41,221	71,787	250,000	58,775	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	4	48	48	48	48
Encumbrances				46,096			
Unencumbered Cash Balance	0	0	4	(46,048)	48	48	48

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: National Cancer Prevention & Control Program
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S 604 H

Intended Purpose: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured; Develop and implement statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation.

Source of Revenues: Centers for Disease Control, Division of Cancer Prevention and Control

Current Program Activities/Allowable Expenses: Cancer screening, diagnostics, monitoring, followup, treatment, education, and outreach; maintain coalitions, surveillance and implementation of the State Plan; support advocacy and awareness initiatives.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variations: New Grant

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,252,168	1,252,168	1,252,168	1,252,168
Beginning Cash Balance		0	0	0	32	(0)	(0)
Revenues				445,400	1,252,168	1,252,000	1,252,000
Expenditures				445,368	1,252,200	1,252,000	1,252,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	32	(0)	(0)	(0)
Encumbrances				140,855			
Unencumbered Cash Balance	0	0	0	(140,824)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HEALTH
 Prog ID(s): HTH 460
 Name of Fund: Wraparound Program for Youth In or At-Risk of Mainland Placement
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 605 H

Intended Purpose: To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally Funded Grant from SAMHSA

Current Program Activities/Allowable Expenses: Returning children and youth who are currently placed in out-of-state residential treatment facilities back to their home communities and preventing impending out-of-state placements when possible, utilizing a team decision-making model, fostering Purpose of Proposed Ceiling Adjustment (if applicable): The proposed ceiling adjustment in FY2021 is to due to the decrease in the funding, as the grant funding from SAMHSA ends September 29, 2020.

Variations:

FY2018-The revenues increased due to the additional funding in the second year of the grant. The expenditures increased due to the increases in activities such as contracts and travel.

FY2019-The revenues increased due to the additional funding in the third year of the grant. The expenditures increased due to the increases in activities such as contracts and travel.

FY2020-The revenues increased due to the additional funding in the fourth year of the grant. The expenditures increased due to the increases in activities such as contracts and travel.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,523,715	8,017,945	7,148,979	7,148,979	2,318,223
Beginning Cash Balance	0	0	0	55	32	32	32
Revenues			80,900	868,943	1,500,000	2,000,000	2,000,000
Expenditures			80,845	868,966	1,500,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	55	32	32	32	32
Encumbrances			175,017	164,377			
Unencumbered Cash Balance	0	0	(174,962)	(164,345)	32	32	32

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: The Hawaii DPPI P&D Project
 Legal Authority: SEC 301, 317, & 391A 42USC241, 247B & 280B-B3

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF): N
 Appropriation Acct. No.: S-17-607 H

Intended Purpose: Advance state-level prevention for drug abuse, misuse and overdose, the leading mechanism of injury-related mortality in Hawaii.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Improve epidemiology of opioid poisonings & strategic planning for their prevention/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	870,000	290,000	290,000	0	0
Beginning Cash Balance	0	0	0	36	0	0	0
Revenues	0	0	31,825	302,564	383,109	0	0
Expenditures	0	0	31,789	302,547	383,109	0	0
Transfers							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	36	52	0	0	0
Encumbrances	0	0	121,449	93,162	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2018 Beginning Balance: MBP477-A OPTION:2, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2018 Encumbrances (Unliquidated Balance): MBP490-A, CY18, CM06, as of 06/30/18, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenue for FYs 2019-20 is equal to the amount anticipated to be allocated from the federal award. The estimated expenditure is equal to fully expending the estimated revenue available within the appropriation ceiling.

Note 4: The Project Period for this grant is from 09/01/2016 through 08/31/2019.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: Multipurpose Grant Program-Clean Air
 Legal Authority: Consolidated Appropriations Act 2016; Public Law No: 114-113; 2 CFR 200, 2 CFR 1500, and 40 CFR 33; Request to Expend Non-Appropriated Other Federal Funds--Governor approved 10/11/16

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 608 H

Intended Purpose: Ensure air pollution sources are properly regulated and are in compliance with all permit conditions, standards, and regulations.

Source of Revenues: Federal grant funds from Environmental Protection Agency.

Current Program Activities/Allowable Expenses: Replace, operate, and maintain ambient air quality monitoring equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New grant award in FY 17. One-time grant award. Non-appropriated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	194,733	0	0	0
Beginning Cash Balance	0	0	0	0	107	0	0
Revenues			0	29,750	164,983	0	0
Expenditures			0	29,643	165,090	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	107	0	0	0
Encumbrances			0	102,502	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA (Priority Area 2 AAAs)
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 609 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Offers one-on-one counseling and assistance to people with Medicare and their families.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant will end in FY 2019

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	33,278	33,278	33,278	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	19,563	13,715	0	0
Expenditures	0	0	0	19,563	13,715	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	13,715	0	0	0
Unencumbered Cash Balance	0	0	0	(13,715)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA (Priority Area 3 ADRCs)
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 610 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Offers one-on-one counseling and assistance to people with Medicare and their families.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant will end in FY 2019

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	28,145	28,145	28,145	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	1,423	26,722	0	0
Expenditures	0	0	0	1,423	26,722	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	26,722	0	0	0
Unencumbered Cash Balance	0	0	0	(26,722)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA (Priority Area 1 SHIPs)
 Legal Authority: PL 100-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 611 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Offers one-on-one counseling and assistance to people with Medicare and their families.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant will end in FY 2019

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	91,432	91,432	91,432	0	0
Beginning Cash Balance	0	0	0	0	2,200	0	0
Revenues	0	0	0	23,400	68,032	0	0
Expenditures	0	0	0	21,200	70,232	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,200	0	0	0
Encumbrances	0	0	37,511	70,232	0	0	0
Unencumbered Cash Balance	0	0	(37,511)	(68,032)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 560
 Name of Fund: Hawaii WIC Implementation MIS Replacement Project FFY 2017 Funding
Child Nutrition Act of 1966, as amended, Section 17,
42 U.S.C 1786. Healthy, Hunger-Free Kids Act of
 Legal Authority 2010, Public Law 111-296. 7 U.S.C. 1756.

Contact Name: Susan Kanour
 Phone: 586-8190
 Fund type (MOF) P

Appropriation Acct. No. S 612 H

Intended Purpose Implementation of Hawaii WIC MIS Transfer and Replacement MIS System.

Source of Revenue USDA/Western Region Office/Food and Nutrition Services Technology Funding.

Current Program Activities/Allowable Expenses: Project Manager (Maximus) and Database Hosting (cQuest) contractor payments.

Purpose of Proposed Ceiling Adjustment (if applicable N/A)

Variances: Short-term funding received from USDA was expended on contractor invoices. Grant Closed SF 425 uploaded to FAMs.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	245,486	245,486	230,000	230,000
Beginning Cash Balance	0	0	0	0	0	122	184
Revenues	0	0	0	0	66,407	179,079	0
Expenditures	0	0	0	0	66,285	179,017	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	122	184	184
Encumbrances	0	0	0	0	0		
Unencumbered Cash Balance	0	0	0	0	122	184	184

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Multipurpose Grant Program-SHWB
 Legal Authority Consolidated Appropriations Act 2016; Public Law No: 114-113; 2 CFR 200, 2 CFR 1500, and 40 CFR 33; Request to Expend Non-Appropriated Other Federal Funds--Governor approved 4/27/17

Contact Name: Nancy Barter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 613 H

Intended Purpose: Provide resources related to implementation of the Red Hill Bulk Fuel Storage Facility Administrative Order on Consent.

Source of Revenues: Federal grant funds from Environmental Protection Agency.

Current Program Activities/Allowable Expenses: Personnel, travel, equipment, supplies, and other costs to attend meetings, review and comment on draft documents, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New grant award in FY 17. One-time grant award. Non-appropriated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	99,863	0	0	0
Beginning Cash Balance	0	0	0	0	115	0	0
Revenues			0	75,725	24,138	0	0
Expenditures			0	75,610	24,253	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	115	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii Opioid STR
 Legal Authority: Section 1003 21st Century Cures Act, as amended

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF): P
 Appropriation Acct. No. S 614 H

Intended Purpose:

To implement the Hawaii State Targeted Response to the Opioid Crisis (Hawaii STR) to include addressing the opioid crisis by increasing access to treatment, reducing unmet treatment needs, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for opioid use disorder.
 Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to increase access to opioid treatment, and reduce opioid overdose related deaths through the provision of prevention, treatment, and recovery activities for opioid use disorder (OUD) (including prescription opioids as well as illicit drugs such as heroin). The Hawaii STR grant seeks to prevent further effects of opioid use and avert further opioid crisis in the State of Hawaii. The Hawaii STR has three goals: 1) Increase opioid treatment for over 400 individuals; 2) Expand services to areas in the state with the most unmet need such as Kauai Island; 3) Implement and expand proven and effective policies and strategies related to opioids, such as use of Prescription Drug Monitoring Program (PDMP).

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable. Short term federal award.

Variances: Two year project period from 5/1/2017 to 4/30/2019 at \$2,000,000 per year. The variances for both revenue and expenditures for this project are due to the difference in the amount of the ward spent for the first year (1,020,758 of 2,000,000) and the allocation of the funding for year 2 of the project - 2,000,000

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	2,000,000	2,000,000	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	1,020,758	2,000,000	0	0
Expenditures	0	0	0	1,020,758	2,000,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				90,166			
Unencumbered Cash Balance	0	0	0	(90,166)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii YT-I (Youth Treatment Implementation)
 Legal Authority: Section 509 Public Health Service Act, as amended

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF): P
 Appropriation Acct. No. S 615 H

Intended Purpose:

To improve treatment for adolescents and /or transitional aged youth with substance use disorders (SUD) and/or co-occurring substance use and mental disorders by assuring youth state-wide access to evidence-based assessments, treatment models, and recovery services supported by strengthening the existing infrastructure system.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT)

Current Program Activities/Allowable Expenses:

The Hawaii YT-I project has seven goals and expects to serve 72 clients per year: 1) Expand and enhance SUD treatment services for the population of focus; 2) Involve families, adolescents, and transitional aged youth at the state level to inform policy, program, and effective practice; 3) Expand the qualified workforce; 4) Disseminate evidence-based practices (EBPs); 5) Develop funding and payment strategies that support EBPs in the current funding environment; 6) Improve interagency collaboration; 7) Measure successful implementation of the Hawaii YT-I using Government Performance and Results Act (GPRA) outcome measures.

Purpose of Proposed Ceiling Adjustment (if applicable): Increase the federal fund appropriation by \$25,000 in FY 19/20/21 due to increase in the Notice of Award.

Variances: Four year project period from 9/30/2017 to 9/29/2021 totaling \$3,040,000. The variances for both revenue and expenditures result from POS contracts for the first project year not being executed until later in the year, so expenditures were lower than anticipated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	785,000	785,000	785,000	785,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	1,281	785,000	785,000	785,000
Expenditures	0	0	0	1,281	785,000	785,000	785,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH710/MB
 Name of Fund: HI NAHLN FY17 LEVEL 3 MEMBER LAB AGREEM
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-18-618 H

Intended Purpose: The purpose of this agreement is to provide infrastructure funding to help support participation in NAHLN.

Source of Revenues: United States Department of Agriculture, Animal and Plant Health Inspection Service

Current Program Activities/Allowable Expenses: To maintain Surveillance assessment to maintain ISO 17025. Expenses are for proficiency testing and supplies

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues and expenditures are due to timing of procurement and reimbursement.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				50,000			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				21,394	16,831		
Expenditures				21,394	16,831		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Childhood Lead Poisoning Prevention Program
 Legal Authority: Section 317 (k) (3) of the Public Health Service Act, [42 U.S.C. 247b (k) (3)]

Contact Name: William L. Aakhus
 Phone: 586-9305
 Fund type (MOF) P
 Appropriation Acct. No. S 619 H

Intended Purpose: Hawaii Childhood Lead Poisoning Prevention

Source of Revenues: DHHS Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Facilitate outreach to providers, initiate data sharing agreements to ensure the capture of lead surveillance data from Head Start facilities in the state's Maven lead surveillance system, plan and implement communication campaigns for targeted communities during designated times of the year, identify care coordination gaps for children in need of referral services and strategize with partners to close those gaps.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	400,000	400,000	400,000	400,000
Beginning Cash Balance	0	0	0	0	34	34	34
Revenues	0	0	0	13,295	400,000	400,000	400,000
Expenditures	0	0	0	13,261	400,000	400,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	34	34	34	34
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	34	34	34	34

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Audit Sub-award to DLNR/CWRM
 Legal Authority: Safe Drinking Water Act: Sec. 1452; Act 169, SLH 2016; Request to Expend Non-Appropriated Federal Funds--Governor approved 12/6/17

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 620 H (see also S 209 H)

Intended Purpose: Support the implementation of Act 169, SLH 2016, which authorizes and requires the Commission on Water Resource Management to establish a water audit program to provide technical assistance to public water systems to conduct standardized water audits. Reduce the volume of water loss.

Source of Revenues: Federal grant funds from Environmental Protection Agency; sub-award from Safe Drinking Water State Revolving Fund capitalization grant, which is MOF W.

Current Program Activities/Allowable Expenses: Activities to establish a program to implement standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Non-appropriated. Fund was established as MOF N in FY 17, but was changed to MOF P for FY 18 and FY 19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	250,000	150,000	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0		150,000	0	0
Expenditures	0	0	0	0	150,000	0	0
Transfers							
List each net transfer in/out; list each account number							
JS5242 dtd 05/31/18 JS5452 dtd 06/14/18	0	0	0	23,600			
JS5242 dtd 05/31/18 JS5452 dtd 06/14/18	0	0		(23,600)			
Net Total Transfers	0	0	0	0			
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 560
 Name of Fund: Hawaii WIC EBT Implementation Project
 Legal Authority: Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C 1786. Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C. 1756.

Contact Name: Susan Kanour
 Phone: 586-8190
 Fund type (MOF) P
 Appropriation Acct. No. S 621 H

Intended Purpose: Implementation of EBT "eWIC" card and related services.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services Technology Funding.

Current Program Activities/Allowable Expenses: Project Management, IV & V contractor and Services Provider support, MIS Database code merge, UPC collection, Bank Identification Number, Travel, equipment and Supplies.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,694,451	1,694,451	1,694,451	1,694,451	1,694,451
Beginning Cash Balance	0	0	0	0	2,498	2,498	2,498
Revenues	0	0	0	15,554	749,800	929,595	929,595
Expenditures	0	0	0	13,056	749,800	929,595	929,595
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,498	2,498	2,498	2,498
Encumbrances	0	0	0	261,780	0	0	0
Unencumbered Cash Balance	0	0	0	(259,282)	2,498	2,498	2,498

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA (Priority 1) for SHIPs
 Legal Authority: PL 110-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 623 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Offers one-on-one counseling and assistance to people with Medicare and their families.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	65,810	65,810	65,810	65,810
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	65,810	65,810	65,810
Expenditures	0	0	0	0	65,810	65,810	65,810
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	65,810	0	0	0
Unencumbered Cash Balance	0	0	0	(65,810)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA (Priority 2) for AAAs
 Legal Authority: PL 110-275

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 624 H

Intended Purpose: To help low income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Offers one-on-one counseling and assistance to people with Medicare and their families.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	36,413	36,413	36,413	36,413
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	36,413	36,413	36,413
Expenditures	0	0	0	0	36,413	36,413	36,413
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: State of Hawaii Dementia - Capable Service Systems
 Legal Authority: 42 USC 280c-3

Contact Name: S.Chun
 Phone: 6-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 625 H

Intended Purpose: Creating dementia capable, sustainable service systems for persons with dementia

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses: Dementia training facilitation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Contract encumbered in late FY 2018

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	647,324	647,324	647,324	647,324
Beginning Cash Balance	0	0	0	0	293	(0)	(0)
Revenues	0	0	0	45,000	602,324	647,324	647,324
Expenditures	0	0	0	44,707	602,617	647,324	647,324
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	293	(0)	(0)	(0)
Encumbrances	0	0	0	291,072	0	0	0
Unencumbered Cash Balance	0	0	0	(290,779)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH590
 Name of Fund: Diabetes, Heart Disease, Stroke
 Legal Authority: Act 053, SLH 2018

Contact Name: Sayuri Sugimoto
 Phone: 586-4486
 Fund type (MOF) P
 Appropriation Acct. No. S 629 H

Intended Purpose: Improve the health of Americans through Prevention and Management of Diabetes and Heart Disease and Stroke-Financed in part by 2018 Prevention and Public Health Funds (PPHF).

Source of Revenues: Center for Disease Control

Current Program Activities/Allowable Expenses: Improve the prevention and management of type 2 diabetes and cardiovascular disease through increased access to evidence-based programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New Grant

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					1,468,850	1,468,850	1,468,850
Beginning Cash Balance		0	0	0	0	0	0
Revenues					1,468,850	1,468,850	1,468,850
Expenditures					1,468,850	1,468,850	1,468,850
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Strategic Prevention Framework-Partnerships for Success (HI-SPF-PFS)
 Legal Authority: Section 516 PHS Act as amended

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 630 H

Intended Purpose:

Implementation of the Strategic Prevention Framework process at the state and community levels to promote alignment and coordination of resources to better address substance abuse prevention priorities.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Substance Abuse Prevention (CSAP)

Current Program Activities/Allowable Expenses: In collaboration with state and community level stakeholders, use data-driven decision making processes to develop and implement effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons ages 9 to 20.

Purpose of Proposed Ceiling Adjustment (if applicable): Request to increase the appropriation ceiling due to increase in new award.

Variations: New five year award from 9/30/18 to 9/29/23.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	2,017,630	2,017,630	2,017,630
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	2,017,630	2,017,630	2,017,630
Expenditures	0	0	0	0	2,017,630	2,017,630	2,017,630
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii State Opioid Response (SOR)
 Legal Authority: Title II Division H of the Consolidated Appropriations Act, 2018

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 631 H

Intended Purpose:

To address the opioid crisis by increasing access to medication-assisted treatment, using the FDA-approved medications for the treatment of opioid use disorder (OUD), reducing unmet treatment need, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for opioid use disorder (OUD) (including prescription opioids, heroin and illicit rentanyl and fentanyl analogs).

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to increase access to opioid treatment, and reduce opioid overdose related deaths through the provision of prevention, treatment, and recovery activities for opioid use disorder (OUD) (including prescription opioids as well as illicit drugs such as heroin). The Hawaii STR grant seeks to prevent further effects of opioid use and avert further opioid crisis in the State of Hawaii. The Hawaii STR has three goals: 1) Increase opioid treatment for over 400 individuals; 2) Expand services to areas in the state with the most unmet need such as Kauai Island; 3) Implement and expand proven and effective policies and strategies related to opioids, such as use of Prescription Drug Monitoring Program (PDMP).

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable. Short term federal award.

Variations: Two year project period from 9/30/2018 to 9/29/2020 at \$4,036,648 per year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	4,036,648	4,036,648	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	4,036,648	4,036,648	0
Expenditures	0	0	0	0	4,036,648	4,036,648	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: HTH
 Prog ID(s): HTH710/MB
 Name of Fund: NAHLN Level 3 Designation Agreement
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-19-663 H

Intended Purpose: Provide the State Laboratories funding to support the tactical agrosecurity scientific capabilities, capacities and other functions of the National Animal Health Laboratory Network (NAHLN), funded under National Institute of Food and Agriculture's (NIFA) Food and Agriculture Defense Initiative (FADI).

Source of Revenues: U.S. Department of Agriculture and NIFA

Current Program Activities/Allowable Expenses: Various activities to maintain ISO accreditation. Expenditures for travel, supplies, and certification costs for ISO 17025 accreditation..

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					50,000	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues					21,898	0	0
Expenditures					21,898	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: HTH
 Prog ID(s): HTH 210 and HTH 212 (HTH 212 from FY 2010)
 Name of Fund: Hawaii Health Systems Corporation - Corporate Office / Regions
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Special
 Appropriation Acct. No. S-xx-320-H and S-xx-356-H
 which also include 303,350,351,352,353,354,
 355, 371, 358, 359, 373, 312, and 365

Intended Purpose:

The purpose of this account is to deposit all fees, proceeds, reimbursements, and other that is owed to or received by the Corporation.

Source of Revenues:

Medicare/Medicaid, HMSA, Quest, Kaiser, Other Third Party, Patients, Sale of Meals, and other Miscellaneous Sources

Current Program Activities/Allowable Expenses:

Corporation: The major activities carried out by HHSC Corporation include policy formulation, hospital system governance, business development, quality assurance, strategic direction, planning and coordination, financial management, legal counsel, personnel management, materials management, information systems, and technical services to support its community hospitals.

Regions: The major activities and service provided by the twelve community facilities constitute the primary hospital acute care provider on the neighbor islands, and, in most instances, the only inpatient hospital services in rural locations. Acute inpatient services include surgical, medical, critical care, obstetrics, pediatric, and psychiatric care. Outpatient care services include ambulatory surgery, home health, and emergency room services. Clinical services include nursing, anesthesiology, central supply, radiology, oncology, pathology, respiratory therapy, physical and occupational therapy, social services, pharmacy, and dietary. Support services include administration, admitting, business, personnel, data processing, medical records, logistics, housekeeping, and maintenance.

Purpose of Proposed Ceiling Increase (if applicable):

No special fund ceiling increase is being requested

Variations

Expenditure variance is due to collective bargaining pay raises.

Financial Data (amounts in 000s)							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	521,093	521,093	554,137	560,080	582,539	582,539	N/A
Beginning Cash Balance	34,309	55,687	86,485	102,833	114,576	78,495	0
Revenues	591,882	635,491	645,687	681,954	419,057	375,398	388,916
General Funds	120,540	118,440	109,172	110,690	107,501	118,432	105,591
Expenditures	675,524	709,049	718,305	746,370	563,135	504,889	521,802
Repayment on Capital Lease Obligation, long-term debt, interest	20,515	38,639	21,998	23,445	25,851	16,000	21,252
Other - Issuance of long-term debt	(24,801)	(12,347)					
Other - Short-term investment	17,306	(14,208)	(1,792)	(1,006)			
Other - Settlement of Maui Region Obligations							
Other - Principal pymt on amount owed to SOH	2,500	2,000					
Other - Estimated Net Change in Cash (detail to be provided later)							
Other - Transfer Agreement Expense				(12,092)			
Transfers							
Transfer of Appropriations from B&F for Maui severance					26,347		
Net Total Transfers							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Ending Cash Balance	55,687	86,485	102,833	114,576	78,495	51,436	(48,547)
Encumbrances							
Unencumbered Cash Balance	55,687	86,485	102,833	114,576	78,495	51,436	(48,547)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Beginning FY 2010, the Legislature separated the Corporate Office from the Regions and created HTH 212 for the Regions and left HTH 210 for the Corporate Office.

Effective July 1, 2017 Maui Memorial Medical Center, Kula Hospital and Lanai Community became Maui Health Systems, a Kaiser Foundation, LLC

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 210
 Name of Fund: Weinberg Grand Fund
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Trust
 Appropriation Acct. No. funds held outside of Treasury

Intended Purpose:

The Hawaii Health Systems Corporation was awarded a grant from the Harry and Jeannette Wienberg Foundation for telemedicine and telehealth infrastructure equipment and related expenses.

Source of Revenues:

Grant from the Harry and Jeannette Weinberg Foundation

Current Program Activities/Allowable Expenses:

The account remains open, but for the past few years there have been no transaction with the exception of interest and account analysis fee.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	44,562	44,383	44,219	44,055	43,896	43,776	43,656
Revenues							
Interest	9	9	9	9	9	9	9
Expenditures	188	173	173	168	129	129	129
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	44,383	44,219	44,055	43,896	43,776	43,656	43,536
Encumbrances							
Unencumbered Cash Balance	44,383	44,219	44,055	43,896	43,776	43,656	43,536

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 212
 Name of Fund: Leahi Hospital, Salary Overpayment Trust Account
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Trust
 Appropriation Acct. No. T-909-H

Intended Purpose:

Established as a temporary holding account for recoveries until the debt is satisfied. Funds are then subsequently transferred to the program's special funds with an adjustment to the Department of Accounting and General Services' payroll system.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances

Financial Data (amounts in 000s)							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9	9	9	9	8	6	6
Revenues	2	-	-	-	-	-	-
Interest					1		
Expenditures	2	-	-	1	3	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	9	9	9	8	6	6	6
Encumbrances							
Unencumbered Cash Balance	9	9	9	8	6	6	6

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 212
 Name of Fund: Patients' Safekeeping Trust Funds (Kona and Leahi)
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Trust
 Appropriation Acct. No. T-915 and T-925

Intended Purpose:

Established to manage and safeguard long-term care patients' monies, which included pension, retirement, social security, and miscellaneous income. The monies are expended from these accounts with the patients' consent to meet their personal needs.

Source of Revenues:

Patients

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances

Financial Data (amounts in 000s)							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	83	83	85	85	85	85	85
Revenues		2					
Interest							
Expenditures	0						
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	83	85	85	85	85	85	85
Encumbrances							
Unencumbered Cash Balance	83	85	85	85	85	85	85

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 212
 Name of Fund: Donations, Gifts, and Grant Accounts (Maluhia, Hamakua, SMMH, Kau, KVMH)
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF): Trust
 Appropriation Acct. No. T-911, T-918, T-919, T-921,
and T-923

Intended Purpose:

Established to receive donations, gifts and grants that are restricted by the grantor or donor or designated for specific purpose.

Source of Revenues:

Private foundations and individuals

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances

Financial Data (amounts in 000s)							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	36	36	36	36	36	36	36
Revenues							
Interest							
Expenditures							
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	36	36	36	36	36	36	36
Encumbrances							
Unencumbered Cash Balance	36	36	36	36	36	36	36

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 143/EA
 Name of Fund: Hoisting Machine Operators' Certification Revolving Fund
 Legal Authority: Chapter 396-20, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Revolving (W)
 Appropriation Acct. No.: S-330-L

Intended Purpose: Certification of hoisting machine operators

Source of Revenues: Certification fee, penalties, fines, and interest earned on investments.

Current Program Activities/Allowable Expenses: Personnel and operating expenses for an executive director for the hoisting machine operators advisory board, preparation and dissemination of public information on certification and training, and preparation of annual reports on activities and accomplishments.

Purpose of Proposed Ceiling Increase (if applicable): None

Variations: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	70,000	70,000	70,000	70,000	70,000	70,000	70,000
Beginning Cash Balance	352,007	360,898	349,435	330,650	341,329	326,329	311,329
Revenues	43,451	35,447	32,293	35,575	35,000	35,000	35,000
Expenditures	34,560	53,816	51,078	24,896	50,000	50,000	50,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	360,898	342,529	330,650	341,329	326,329	311,329	296,329
Encumbrances							
Unencumbered Cash Balance	360,898	342,529	330,650	341,329	326,329	311,329	296,329

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 143/EB
 Name of Fund: Boiler and Elevator Special Fund
 Legal Authority: Chapter 397-20, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Revolving (W)
 Appropriation Acct. No.: S-331-L

Intended Purpose: Personnel and operating costs to inspect and certify boilers and elevators in the state of Hawaii.

Source of Revenues: Inspection fees, penalties, fines, and interest on investments.

Current Program Activities/Allowable Expenses: Personnel and operating costs to inspect and certify boilers and elevators, staff training and certification fees, preparation and dissemination of public information on safe installation and use of equipment.

Purpose of Proposed Ceiling Increase (if applicable): None, pursuant to Act 186/2018 the Boiler and Elevator Special Fund was changed from Special to Revolving Fund effective July 1, 2018.

Variations: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,867,932	2,940,342	2,972,676	3,002,955	3,002,955	3,052,624	3,052,624
Beginning Cash Balance	1,157,854	1,057,599	1,030,247	570,620	586,258	586,258	586,258
Revenues	1,719,376	1,662,460	1,924,217	2,229,752	2,000,000	2,000,000	2,000,000
Expenditures	1,819,631	1,689,812	2,383,844	2,214,114	2,000,000	2,000,000	2,000,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	1,057,599	1,030,247	570,620	586,258	586,258	586,258	586,258
Encumbrances		482,091	447				
Unencumbered Cash Balance	1,057,599	548,156	570,173	586,258	586,258	586,258	586,258

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 152/CA
 Name of Fund: Wage Claim Fund Account
 Legal Authority: Administratively Established

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Trust (T)
 Appropriation Acct. No. T-904-L

Intended Purpose: This account was established in 1987 as a holding account for backpay collections and disbursements owed to employees.

Source of Revenues: Back wages are collected from employers as a result of investigation by the Wage Standards Division.

Current Program Activities/Allowable Expenses: Back wages are collected from employers and disbursed to employees as a result of investigations conducted by the Wage Standards Division. If an employee is owed back wages and cannot be located within one year, the back wages are deposited into the state treasury.

Purpose of Proposed Ceiling Increase (if applicable): None

Variations: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	4,132,443	2,518,885	1,266,161	1,576,924	1,517,745	1,317,745	1,117,745
Revenues	233,228	127,511	632,255	139,610	100,000	100,000	100,000
Expenditures	1,846,786	1,380,235	321,492	198,789	300,000	300,000	300,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	2,518,885	1,266,161	1,576,924	1,517,745	1,317,745	1,117,745	917,745
Encumbrances							
Unencumbered Cash Balance	2,518,885	1,266,161	1,576,924	1,517,745	1,317,745	1,117,745	917,745

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 183/DA
 Name of Fund: Premium Supplemental Fund (PHC)
 Legal Authority: Chapter 393-41, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF) Special (B), Trust (T)
 Appropriation Acct. No. S-308-L, T-908-L

Intended Purpose: To pay premium supplementation to qualified employers and the payment of health care expenses to eligible employees of non-complying or insolvent employers.

Source of Revenues: Interest earned on investment

Current Program Activities/Allowable Expenses: Payment of premium supplementation and health care expenses.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	765,261	270,480	72,958	73,065	22,161	22,161	22,161
Revenues	2,696	1,312	107	460	0	0	0
Expenditures	497,477	198,834	0	51,364	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	270,480	72,958	73,065	22,161	22,161	22,161	22,161
Encumbrances							
Unencumbered Cash Balance	270,480	72,958	73,065	22,161	22,161	22,161	22,161

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 183/DA
 Name of Fund: Special Compensation Fund (SCF)
 Legal Authority: Chapter 386-151, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Special (B), Trust (T)
 Appropriation Acct. No.: S-302-L, T-907-L

Intended Purpose: To pay benefits to injured workers for second injuries, uninsured and insolvent employers, benefit adjustment, attendant services and concurrent employment.

Source of Revenues: Special assessment on the gross premiums of employers' workers' compensation (WC) insurance, interest earned on investments, fines, forfeits, and penalties.

Current Program Activities/Allowable Expenses: Payment of WC benefits, WC claims facilitator branch, litigation costs, audit fees, and legal services through the Department of Attorney General.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	23,851,406	23,851,406	23,851,406	23,937,031	24,002,622	24,002,622	24,002,622
Beginning Cash Balance	13,457,014	12,456,536	13,477,500	16,066,612	15,147,832	15,147,832	15,147,832
Revenues	15,058,666	15,859,015	16,956,975	16,503,284	17,030,000	17,030,000	17,030,000
Expenditures	16,059,144	14,838,051	14,367,863	17,422,064	17,030,000	17,030,000	17,030,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	12,456,536	13,477,500	16,066,612	15,147,832	15,147,832	15,147,832	15,147,832
Encumbrances		83,940	65,363	32,513			
Unencumbered Cash Balance	12,456,536	13,393,560	16,001,249	15,115,319	15,147,832	15,147,832	15,147,832

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 183/DA
 Name of Fund: Special Compensation Fund For Temporary Disability Benefits
 Legal Authority: Chapter 392-61, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Special (B), Trust (T)
 Appropriation Acct. No. S-303-L, T-906-L

Intended Purpose: To pay temporary disability benefits for disabilities resulting from non-work related injuries or illnesses to individual who become disabled when unemployed, and to employees of insolvent or non-complying employers.

Source of Revenues: Interest earned on investment

Current Program Activities/Allowable Expenses: Payment of temporary disability benefits.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	2,458,615	2,459,134	2,457,228	2,480,495	2,524,897	2,480,897	2,436,897
Revenues	10,257	14,877	34,018	75,828	6,000	6,000	6,000
Expenditures	9,738	16,783	10,751	31,426	50,000	50,000	50,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	2,459,134	2,457,228	2,480,495	2,524,897	2,480,897	2,436,897	2,392,897
Encumbrances							
Unencumbered Cash Balance	2,459,134	2,457,228	2,480,495	2,524,897	2,480,897	2,436,897	2,392,897

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 183/DA
 Name of Fund: Temporary Deposits Insurance Account
 Legal Authority: Administratively Established

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Trust (T)
 Appropriation Acct. No. T-902-L

Intended Purpose: This account was established in 1970 to account for excessive or unauthorized temporary disability insurance (TDI) and prepaid health care premium (PHC) withholdings that were owed to terminated employees who could not be located by their employers.

Source of Revenues: Excessive or unauthorized TDI and PHC withholdings

Current Program Activities/Allowable Expenses: Terminated employees receive refunds if they are located. However, if the employee cannot be located after two years, moneys are deposited into the Trust Fund for Disability Benefits or the Premium Supplementation Trust Fund.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	26,345	44,269	35,447	40,016	0	0	0
Revenues	17,924	0	4,569	0	0	0	0
Expenditures	0	8,822	0	40,016	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	44,269	35,447	40,016	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	44,269	35,447	40,016	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Low Income Home Energy Assistance Program
 Legal Authority: Energy Policy Act of 2005, P.L. 109-58

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-203-L
CFDA 93.568

Intended Purpose: To assist eligible low income households to reduce their home energy costs by providing energy conservation education and by installing cost saving energy efficient measures into their homes.

Source of Revenues: U.S. Department of Health and Human Services, Administration for Children and Families
 Sub-award from State of Hawaii, Department of Human Services.

Current Program Activities/Allowable Expenses:
 This grant provides administrative and program funds for the Low Income Home Energy Assistance Program (LIHEAP)

Purpose of Proposed Ceiling Adjustment (if applicable):
 FY20-21 Proposed Appropriation Ceilings include increases for the additional funding anticipated to be received in those years.

Variances: The variance between FY17-18 is due to FY18 being the first year that OCS administered the LIHEAP program in conjunction with the WAP program.
 The variance between FY18-19 is due to the estimates provided.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				460,000	460,000	550,000	550,000
Beginning Cash Balance				0	620	0	0
Revenues				141,012	240,000	240,000	240,000
Expenditures				140,392	240,620	240,000	240,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	620	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	620	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PA
 Name of Fund: Work Opportunity Tax Credit (WOTC)
 Legal Authority: Administratively Created

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-208-L

Intended Purpose: This federal tax credit was designed to help individuals from certain target groups who consistently face significant barriers to employment move from economic dependency to self-sufficiency by encouraging businesses to hire target group members and be eligible to claim tax credits against the wages paid to the new hires during the first year of employment.

Source of Revenues: CFDA17-271

Current Program Activities/Allowable Expenses: States are to use these formula grants for: accepting WOTC applications from employers; determining eligibility of individuals as members of the target groups; issuing employer certifications or denials; developing working agreements with partner agencies in American Job Centers (also called One-Stop Career Centers) or other State agencies to verify or document eligibility of new hires, including issuing Conditional Certifications; and coordinating efforts to promote WOTC with employers, job seekers and other Workforce innovation and Opportunity Act (WIOA) partners.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	206,000	209,102	90,000	90,000	90,000	90,000
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	65,586	98,102	80,362	85,000	85,000	85,000
Expenditures	-	65,586	98,102	80,362	85,000	85,000	85,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

209

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PA
 Name of Fund: Alien Labor Certification Program
 Legal Authority: Administratively Created

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF): P
 Appropriation Acct. No. S-XX-209-L

Intended Purpose: To provide greater protection for U.S. and foreign workers while assisting U.S. employers seeking to hire temporary foreign workers when no able, willing, and qualified U.S. workers are available. To ensure that adequate working and living conditions are provided for foreign and domestic workers.

Source of Revenues: CFDA17-273

Current Program Activities/Allowable Expenses: Employers may, upon meeting certain conditions of employment, use this assistance to seek the admission of foreign workers for positions in the United States, provided that the employment of such foreign workers will not adversely affect the wages and working conditions of similarly-employed U.S. workers

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		141,564	160,964	80,000	80,000	100,000	100,000
Beginning Cash Balance		-	-	-	-	-	-
Revenues		53,638	112,865	48,460	50,000	50,000	50,000
Expenditures		53,638	112,865	48,460	50,000	50,000	50,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: LBR
 Prog ID(s): LBR 171 / LA
 Name of Fund: Unemployment Insurance Administration
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9971
 Fund type (MOF) N
 Appropriation Acct. No. S-211-L

Intended Purpose: To administer the statewide Unemployment Insurance Program.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: Payment of Administrative costs to administer the Unemployment Insurance Program

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000,000	22,022,060	21,000,000	15,000,000	15,000,000	14,000,000	14,000,000
Beginning Cash Balance	21,103	356,590	622,182	2,702,428	2,723,659	2,740,518	2,740,518
Revenues	14,752,386	14,674,742	13,756,275	12,235,879	12,516,859	12,500,000	12,500,000
Expenditures	14,333,898	14,904,084	11,906,940	13,642,648	12,500,000	12,500,000	12,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Other transfers	(83,001.00)	494,934.00	230,911	1,428,000			
Net Total Transfers	(83,001.00)	494,934.00	230,911	1,428,000	0	0	0
Ending Cash Balance	356,590.00	622,182.00	2,702,428	2,723,659	2,740,518	2,740,518	2,740,518
Encumbrances	167,825.33	1,284,785.00	839,473	1,203,568			
Unencumbered Cash Balance	188,764.67	(662,603.00)	1,862,955	1,520,091	2,740,518	2,740,518	2,740,518

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 143
 Name of Fund: Occupational Safety & Health
Occupational Safety & Health Act, section 23(g),
 Legal Authority Public Law 91-596, 29 USC 60©
CFD 17.503

Contact Name: Naohiro Miyajima
 Phone: 586-8902
 Fund type (MOF) P

Appropriation Acct. No. S-215-L

Intended Purpose: To fund federally approved comprehensive State occupational safety and health programs that are "at least as effective" as the Federal program.

Source of Revenues: Federal Project Grant from US Department of Labor, Occupational Safety and Health.

Current Program Activities/Allowable Expenses: Grants to States to administer and enforce State programs for occupational safety and health, limited to States having federally approved plans.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,598,591	1,522,700	1,546,986	1,600,000	1,600,000	1,600,000	1,600,000
Beginning Cash Balance	14,010	82,794	195,308	202,526	90,779	(0)	(0)
Revenues	2,063,947	1,639,941	1,584,924	1,381,250	1,459,221	1,550,000	1,550,000
Expenditures	1,995,163	1,527,427	1,577,707	1,492,998	1,550,000	1,550,000	1,550,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	82,794	195,308	202,526	90,779	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	82,794	195,308	202,526	90,779	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PA
 Name of Fund: Trade Adjustment Assistance
 Legal Authority: Administratively Created

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF): P
 Appropriation Acct. No.: S-XX-216-L

Intended Purpose: The Trade Adjustment Assistance (TAA) for Workers Program is a federal entitlement program that assists workers impacted by foreign trade. Through the provision of a number of employment-related benefits and services, the TAA Program provides trade-affected workers with opportunities to obtain the support, resources, skills, and credentials they need to return to the workforce in a good job in an in-demand industry. The program services include training, employment and case management services, job search allowances, relocation allowances, wage supplements for workers aged 50 and older, and Trade Readjustment Allowances (TRA).

Source of Revenues: CFDA17-245

Current Program Activities/Allowable Expenses: The weekly subsistence payments and wage supplements of TRA and A/RTAA are administered under the Unemployment Insurance program (see CFDA 17.225). State unemployment compensation and extended benefits must be exhausted before TRA is paid to eligible claimants. Trade impacted workers are eligible to receive job search and relocation allowances in addition to the costs of training. They may be paid subsistence and transportation allowances to attend approved training outside the normal commuting distance of a worker's regular place of residence.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	447,266	3,287,239	2,400,000	2,400,000	2,000,000	2,000,000
Beginning Cash Balance	-	-	0	-	-	-	-
Revenues	-	-	-	973,690	975,000	975,000	975,000
Expenditures	-	130,075	638,051	973,690	975,000	975,000	975,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		130,075	638,051				
Net Total Transfers	-	130,075	638,051	-	-	-	-
Ending Cash Balance	-	0	0	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	0	0	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PA
 Name of Fund: Disabled Veterans' Outreach Program (DVOP)
 Legal Authority: Jobs for Veterans Act, Title 38, Part III, Chapter 41,
Section 4103A (as amended)

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF) N

Appropriation Acct. No. S-XX-217-L

Intended Purpose

To provide individualized career services to meet the employment needs of disabled and other eligible veterans identified by the Secretary of Labor with maximum emphasis in meeting the employment needs of those who are economically or educationally disadvantaged, particularly for veterans with significant barriers to employment.

Source of Revenues: Federal formula grants CFDA 17.801 from US Department of Labor, Veterans' Employment and Training Services

Current Program Activities/Allowable Expenses:

Funds must be used by States only for salaries, expenses and reasonable support of Disabled Veterans' Outreach Program (DVOP) Specialists who are assigned only those duties directly related to meeting the employment needs of eligible veterans according to the provisions of 38 U.S.C. 4103A.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		413,960	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance		-	-	-	-	-	-
Revenues		81,962	398,100	343,576	345,000	345,000	345,000
Expenditures		81,962	398,100	343,576	345,000	345,000	345,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number from Appropriation 201							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PA
 Name of Fund: Local Veterans' Employment Representative Program
 Legal Authority: Jobs for Veterans Act, Title 38, Part III, Chapter 41,
Section 4103A (as amended)
CFDA 17.804

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF) N

Appropriation Acct. No. S-XX-218-L

Intended Purpose: Conduct outreach to employers including conducting seminars for employers, conducting job search workshops and establishing job finding clubs; and to facilitate employment, training, and placement services furnished to veterans in a State under the applicable State employment service or One-Stop Career Center delivery systems whose sole purpose is to assist veterans to gain and retain employment

Source of Revenues: Federal formula grants from US Department of Labor, Veterans' Employment and Training Services

Current Program Activities/Allowable Expenses: Funds must be used only for salaries, expenses and reasonable support of Local Veterans' Employment Representatives who shall be assigned only those functions directly related to providing services to veterans according to provisions of 38 U.S.C. 4104.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		391,215	450,000	450,000	450,000	500,000	500,000
Beginning Cash Balance		-	-	-	-	-	-
Revenues		-	386,785	245,368	250,000	250,000	250,000
Expenditures		95,000	386,785	245,368	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		95,000					
Net Total Transfers	-	95,000	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PB
 Name of Fund: Older American Program
 Legal Authority: Administratively Created

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-222-L

Intended Purpose To provide employment and training services to Older Americans

Source of Revenue Federal Older Americans Program Funds (CFDA17.235)

Current Program Activities/Allowable Salaries, fringe benefits and training cost for older individuals placed in positions at government or non-profit agencies

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,231,455	2,224,268	2,316,676	1,850,000	1,850,000	1,900,000	1,900,000
Beginning Cash Balance	1,548	651	146,856	-	-	-	-
Revenues	1,852,400	1,723,517	238,662	1,420,889	1,450,000	1,450,000	1,450,000
Expenditures	1,853,297	1,577,311	1,951,630	1,420,889	1,450,000	1,450,000	1,450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			1,566,112				
Net Total Transfers	-	-	1,566,112	-	-	-	-
Ending Cash Balance	651	146,856	0	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	651	146,856	0	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 143
 Name of Fund: EA Consultation Agreements
 Legal Authority: Occupational Safety and Health Act, Section 21(d),
Public Law 105-197, 29USC 651
CFDA 17.504

Contact Name: Naohiro Miyajima
 Phone: 586-8902
 Fund type (MOF) P
 Appropriation Acct. No. S-223-L

Intended Purpose: To fund consultative workplace safety and health services, targeting smaller employers with more hazardous operations.

Source of Revenues: US Department of Labor/ Occupational Safety and Health Administration

Current Program Activities/Allowable Expenses: Cooperative Agreements to States to provide occupational safety and health consultative services to employers.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		521,365	542,730	550,000	550,000	550,000	550,000
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues		325,228	432,226	495,062	496,000	496,000	496,000
Expenditures		325,228	432,226	495,062	496,000	496,000	496,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 153RA
 Name of Fund: Fair Housing Assistance Program
 Legal Authority Fair Housing Act 42 U.S.C. 3600 et seq.
CFDA 14.401

Contact Name: Vyhien Peyton
 Phone: 586-9970
 Fund type (MOF) P

*Appropriation Acct. No. S-18-224-L

Intended Purpose: To provide assistance to State and local fair housing enforcement agencies for complaint processing, training, technical assistance,

Source of Revenues: Federal Project Grants from US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: HUD has discretion to provide contributions funding in lieu of the fixed amount, which provides funds for

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	460,000.00	460,000.00	460,000.00	460,000.00
Beginning Cash Balance	-	-	-	-	-	112,350.00	-
Revenues	-	-	-	-	152,350.00	-	-
Expenditures			-	-	40,000.00	112,350.00	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	112,350.00	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Parent appropriation is 224 and sub account are 224 and 225.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: LBR
 Prog ID(s): LBR 171 / LA
 Name of Fund: Reemployment Services & Eligibility Assessment (RESEA)
 Legal Authority: Workforce Innovation and Opportunity Act, P.L 113-28

Contact Name: Nora Iba
 Phone: 586-9971
 Fund type (MOF) N
 Appropriation Acct. No. S-227-L

Intended Purpose: Funds are appropriated for Unemployment Insurance RESEA to conduct in-person reemployment and eligibility assessments and to provide reemployment services and referrals to training, as appropriate.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: Funds used to pay PSPB for UI Staff and NPS to provide RESEA services to UI Claimants and to cover expenditures under an MOU between UI and WDD to provide the reemployment services and referrals of training to UI claimants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	1,100,000	1,300,000	1,500,000	1,200,000	1,200,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues		310,396	862,812	430,994	450,000	450,000	450,000
Expenditures		310,396	862,812	430,994	450,000	450,000	450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135
 Name of Fund: WIA Youth/Adult/Dislocated Workers Formula
 Legal Authority: Administratively Created

Contact Name: Kathy Miyahira
 Phone: 586-9974
 Fund type (MOF) N
 Appropriation Acct. No. S-19-231-L
Grant end 6/30/21

Intended Purpose: To provide employment and training services to dislocated workers and disadvantaged adults and youth.

Source of Revenues: Federal Workforce Innovation and Opportunity Act Formula Programs (WIOA)

Current Program Activities/Allowable Expenses: Administrative and program expenses to carry out the functions of WIOA at the state and county levels.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					6,550,772	5,050,772	2,050,772
Beginning Cash Balance					0	0	0
Revenues					1,500,000	3,000,000	1,434,792
Expenditures					1,500,000	3,000,000	1,434,792
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance				0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135
 Name of Fund: WIA Youth/Adult/Dislocated Workers Formula
 Legal Authority: Administratively Created

Contact Name: Kathy Miyahira
 Phone: 586-9974
 Fund type (MOF) N
 Appropriation Acct. No. S-18-231-L
Grant end 6/30/20

Intended Purpose: To provide employment and training services to dislocated workers and disadvantaged adults and youth.

Source of Revenues: Federal Workforce Innovation and Opportunity Act Formula Programs (WIOA)

Current Program Activities/Allowable Expenses: Administrative and program expenses to carry out the functions of WIOA at the state and county levels.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				8,290,036	6,568,883	3,120,394	
Beginning Cash Balance				0	319,495	319,495	
Revenues				1,721,153	3,448,489	764,587	
Expenditures				1,433,596	3,448,489	1,084,082	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
S-17-231				31,938			
Net Total Transfers	0	0	0	31,938	0	0	0
Ending Cash Balance	0	0	0	319,495	319,495	(0)	0
Encumbrances							
Unencumbered Cash Balance	437,815	498,083	118,339	319,495	319,495	(0)	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135
 Name of Fund: WIA Youth/Adult/Dislocated Workers Formula
 Legal Authority: Administratively Created

Contact Name: Kathy Miyahira
 Phone: 586-9974
 Fund type (MOF) N
 Appropriation Acct. No. S-17-231-L
Grant end 6/30/19

Intended Purpose: To provide employment and training services to dislocated workers and disadvantaged adults and youth.

Source of Revenues: Federal Workforce Innovation and Opportunity Act Formula Programs (WIOA)

Current Program Activities/Allowable Expenses: Administrative and program expenses to carry out the functions of WIOA at the state and county levels.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			8,270,354	7,144,486	3,319,356		
Beginning Cash Balance			0	106,879	50,253		
Revenues			1,243,400	3,935,612	1,083,077		
Expenditures			1,136,521	3,825,130	1,133,330		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
S-18-231				(167,108)			
Net Total Transfers	0	0	0	(167,108)	0	0	0
Ending Cash Balance	0	0	106,879	50,253	0	0	0
Encumbrances				8,323			
Unencumbered Cash Balance	437,815	498,083	118,339	41,930	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 901
 Name of Fund: OSHA Bureau of Labor Statistic Program
 Legal Authority: Public Law 62-426, 71-537, 91-596 and 94-206, 29
USC 1-2
CFDA 17.005

Contact Name: Naohiro Miyajima
 Phone: 586-8902
 Fund type (MOF) P
 Appropriation Acct. No. S-232-L

Intended Purpose: To provide, analyze, and publish a diverse set of measures of employee compensation, including cost, wages, and benefits and work-related injuries, illnesses, and fatalities, compile work stoppages statistics, and conduct research to improve the measurement process.

Source of Revenues: Federal Project Grants from US Department of Labor, Bureau of Labor Statistics

Current Program Activities/Allowable Expenses: This program provides the following information: (A) level and trend data on employee wages and compensation; (B) studies of employee benefits plans; (C) national and state data on occupational injuries, illness and fatalities; and (D) information on work stoppages. It also makes available funds in the form of cooperative agreements to state agencies or designated local governments for collection of data on occupational injuries, illnesses, and fatalities. The Occupational Safety and Health Statistics program authorizes funds on a matching basis to states or local governments to assist them in developing and administering programs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		125,000	125,000	125,000	125,000	125,000	125,000
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues		64,442	75,730	92,642	93,000	93,000	93,000
Expenditures		64,442	75,730	92,642	93,000	93,000	93,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number from appropriation 291						
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 901 GA
 Name of Fund: One Stop Workforce Info Grant
 Legal Authority: Workforce Innovation and Opportunity Act (WIOA)
CFDA 17.207

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-233-L

Intended Purpose: To provide the customer with access to all Department of Labor-funded programs within one physical facility or through electronic access.

Source of Revenues: Wagner-Peyser Formula Grant from US Department of Labor.

Current Program Activities/Allowable Expenses: All expenditures must be in accordance with Training And Employment Guidance -Wagner Peyser

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	417,803	456,604	468,969	400,000	400,000	450,000	450,000
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	430,781	335,925	340,000	340,000	340,000
Expenditures	205,605	294,316	430,781	335,925	340,000	340,000	340,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number from appropriation 291							
	205,605	294,316					
Net Total Transfers	205,605	294,316	-	-	-	-	-
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Refugee Cash and Medical Assistance Program
 Legal Authority: SECTION 412(E)(5) P.L.82-414,
IMMIGRATION AND NATIONALITY ACT (8 USC 1522)

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-234-L
CFDA 93.566

Intended Purpose: To provide cash and medical assistance to refugees, asylees, victims of a severe form of trafficking during their first eight months after their arrival in this country.

Source of Revenues: U.S. Department of Health and Human Services, Administration for Children and Families

Current Program Activities/Allowable Expenses:
 This grant provides funding for programmatic and administrative expenses for this program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: The variations between FY17-18 is due to low refugee arrival in the State of Hawaii. In addition, Pacific Gateway Center, a refugee resettlement agency here in Hawaii, does the refugee reception and placement program which also has cash assistance component. The variance between FY18-19 is due to the estimates provided. The estimates provided are based on prior year averages.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	25,000	25,000	25,000	25,000	25,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	26,621	15,037	8,201	6,477	9,905	9,905	9,905
Expenditures	26,621	15,036	8,201	6,477	9,905	9,905	9,905
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Refugee Social Services Programs
 Legal Authority: SECTION 412(E)(5) P.L.82-414,
IMMIGRATION AND NATIONALITY ACT (8 USC 1522)

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-235-L
CFDA 93.566

Intended Purpose: To provide refugees with less than five years of United States residency since their date of entry with assistance for employment and other social services.

Source of Revenues: U.S. Department of Health and Human Services, Administration for Children and Families

Current Program Activities/Allowable Expenses:
 This grant provides funds to support program and administrative expenses for this program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The State of Hawaii continues to experience low refugee arrival. In addition, clients who were provided services from prior fiscal years are no longer eligible for services due to the 5-year service eligibility restrictions. The variance between FY18-19 is due to the estimates provided. The estimates provided are based on prior year averages.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	75,000	75,000	75,000	75,000	75,000	75,000	75,000
Beginning Cash Balance	0	3,595	8,169	6,236	6,236	0	0
Revenues	68,268	54,401	77,677	43,789	55,000	55,000	55,000
Expenditures	64,673	49,827	79,610	43,789	55,000	55,000	55,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash transfer out to G-00-000 PY cash					(6,236)		
Net Total Transfers	0	0	0	0	(6,236)	0	0
Ending Cash Balance	3,595	8,169	6,236	6,236	0	0	0
Encumbrances							
Unencumbered Cash Balance	3,595	8,169	6,236	6,236	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Low Income Weatherization Assistance Program
 Legal Authority: Energy Policy Act of 2005, P.L. 109-58

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236-L
CFDA 81.042

Intended Purpose: To Improve home energy efficiency for low income families through the most cost-effective measure possible.

Source of Revenues: U.S. Department of Energy - Energy Efficiency & Renewable Energy Office

Current Program Activities/Allowable Expenses:
 This grant provides administrative and program funds for the Weatherization Assistance Program (WAP).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The variance between FY17-18 is due to increased funding awarded in FY17.
 The variance between FY18-19 is due to the estimates provided. The estimates provided are based on prior year averages.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	161,218	195,448	206,123	240,000	240,000	240,000	240,000
Beginning Cash Balance	0	3,771	0	0	0	0	0
Revenues	102,283	133,559	184,716	218,237	160,000	160,000	160,000
Expenditures	98,512	137,330	184,716	218,237	160,000	160,000	160,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,771	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	3,771	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Temporary Emergency Food Assistance Program
 Legal Authority: Temporary Emergency Food Assistance Act of 1983

Contact Name: Danielle Guthrie
 Phone: 586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-237-L
CFDA 10.568

Intended Purpose:

To help supplement the diets of low-income persons by making funds available to states for processing, storage, and distribution costs incurred by state agencies and local organizations in providing food assistance to needy persons.

Source of Revenues: U.S.D.A. - Food and Nutrition Service

Current Program Activities/Allowable Expenses:

This grant provides funding to cover administrative costs incurred in the operation of the Food Commodities/Entitlement Program CFDA 10.569.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	146,100	169,261	239,243	239,243	239,243	239,243	239,243
Beginning Cash Balance	0	3,612	0	0	0	0	0
Revenues	146,098	197,390	159,090	152,092	140,000	140,000	140,000
Expenditures	142,486	201,002	159,090	152,092	140,000	140,000	140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,612	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	3,612	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Seniors Farmers Market Nutrition Program
 Legal Authority: P.L. 107-171, 109-97, U.S. Department of Agriculture, Food and Nutrition Services, and 110-246

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-238-L
CFDA 10.576

Intended Purpose: Senior Farmers' Market Nutrition Program exists to improve the diets of low-income elderly persons by increasing their consumption of fresh, nutritious, unprocessed fruits and vegetables, and thereby aiding in the development and expansion of domestic produce markets.

Source of Revenues: U.S.D.A. - Food and Nutrition Service

Current Program Activities/Allowable Expenses:
 This grant provides administration and program funding for this program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: The variance between FY17-18 is due to the fact that SFM experienced a late start (June 2017) in FFY17 that caused limited redemption of food coupons & therefore less expenditures.
 The variance between FY18-19 is due to the estimates provided. The estimates provided are based on prior year averages.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	476,732	498,063	467,799	497,231	500,000	500,000	500,000
Beginning Cash Balance	0	13,455	5,735	0	0	0	0
Revenues	446,179	469,426	448,323	365,081	430,000	430,000	430,000
Expenditures	432,723	477,146	454,058	365,081	430,000	430,000	430,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,455	5,735	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	13,455	5,735	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: UI Fraud Detection System
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF) N
 Appropriation Acct. No. S-245-L

Intended Purpose: To detect and prevent fraudulent UI overpayments.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: To design and implement applications to detect and prevent fraudulent UI overpayments.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					500,000	500,000	500,000
Beginning Cash Balance		0	0	0			
Revenues							
Expenditures					250,000	250,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					From S-18-211	From S-18-211	
					250,000	250,000	
Net Total Transfers	0	0	0	0	250,000	250,000	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: UI Program Integrity and Performance and System Improvements
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF): N
 Appropriation Acct. No. S-257-L

Intended Purpose: To design and implement applications to 1) prevent overpayments of UI benefits to individuals who are incarcerated and not qualified to receive UI benefits and 2) to procure and develop a report and payment receiving solution to improve UI program integrity, reduce the improper payment rate and improve overpayment recovery. Funds will be used to implement 2014 Program Integrity and Performance and System Improvements under Section 302 of the Social Security Act.activities for worker misclassification.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: 1) To hire a contractor to design an application to crossmatch a UI file of active claims with files obtained from the Department of Public Safety containing newly incarcerated individuals. 2) To hire a contractor to design a full scale UI Employer Web Application which allows liable employers to view UI account history and file quarterly wage data online and make contribution payments through an ACH process.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		598,390	598,390	598,390	600,000	500,000	500,000
Beginning Cash Balance		0	0	0			
Revenues							
Expenditures		112,842	358,762	20,894	0		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		from S-16-211	From S-17-211	From S-18-211			
		112,842.00	358,762.00	358,762.00	0		
Net Total Transfers	0	112,842.00	358,762.00	20,894.00	0	0	0
Ending Cash Balance	0	0.00	0.00	0.00	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0.00	0.00	0.00	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: UI Accessibility Automation for Limited English Proficiency
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF): N
 Appropriation Acct. No.: S-258-L

Intended Purpose: To improve service to Hawaii's Limited English Proficiency (LEP) customers and ensure equal, effective and meaningful access to benefit rights afforded under the UI program. Funds will be used to implement 2015 Program Integrity and Performance and System Improvements under Section 302 of the Social Security Act.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: To expand and enhance the UI claimant web filing process to enable certain Limited English Proficiency (LEP) individuals to apply for benefits online.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			500,000	500,000	500,000	500,000	
Beginning Cash Balance		0	0	0			
Revenues							
Expenditures					250,000	250,000	
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
					From S-18-211	From S-18-211	
					250,000	250,000	
Net Total Transfers	0	0	0	0	250,000	250,000	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Social Services Block Grant
 Legal Authority: SOCIAL SECURITY ACT, TITLE XX

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-262-L
CFDA 93.667

Intended Purpose: To furnish social services best suited to the needs of the individuals residing in the State. Federal block grant funds may be used to provide services directed toward one of the following five goals specified in the law: (1) To prevent, reduce, or eliminate dependency; (2) to achieve or maintain self-sufficiency; (3) to prevent neglect, abuse, or exploitation of children and adults; (4) to prevent or reduce inappropriate institutional care; and (5) to secure admission or referral for institutional care when other forms of care are not appropriate.

Source of Revenues: U.S. Department of Health and Human Services, Administration for Children and Families, Title XX grant.
 Sub-award from State of Hawaii, Department of Human Services.

Current Program Activities/Allowable Expenses:

This appropriation is for the Kids 100 and Kids 200 Legal Advocacy Program to provide legal services to protect the rights of families/caregivers and their children. 100% of the funds provided to LBR 903 from Hawaii DHS are used to contract with a non-profit organization to administer and deliver services under this program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: FY 2018 - Expenditures reported do not represent total expenditures for the grant.
 Expenditure reimbursements are still being processed and posted in DataMart for the 2018 appropriation account/grant.
 The service provide for this program experienced a turnover in staff which led to late cash request submissions and less spenddown in FY18.
 The FY18-19 variance is due to estimates provided, which are based on prior year data.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			282,000	282,000	282,000	282,000	282,000
Beginning Cash Balance	0	0	26,471	52,490	126,511	0	0
Revenues	150,000	150,000	150,000	150,000	100,000	100,000	100,000
Expenditures	150,000	123,529	123,981	57,691	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer back to DHS S-16-207-K				(18,288)	(126,511)		
Net Total Transfers	0	0	0	(18,288)	(126,511)	0	0
Ending Cash Balance	0	26,471	52,490	126,511	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	26,471	52,490	126,511	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 901
 Name of Fund: Labor Force Statistics Programs
 Legal Authority: Public Law 58-57, 62-426, 71-537, 82-203, 93-203, 93-CFDA# 17.002

Contact Name: Ferdinand Casabay
 Phone: 586-8905
 Fund type (MOF) P
 *Appropriation Acct. No. S-291-L

Intended Purpose: To provide, analyze, and publish statistical data on payroll employment and the civilian labor force, employment and unemployment

persons not in the labor force, labor demand and turnover, wages, hours, earnings, occupational employment, time use, and employment projections.

Source of Revenues: Federal Project Grants from US Department of Labor, Bureau of Labor Statistics

Current Program Activities/Allowable Expenses: Personnel salaries, fringe benefits, travel costs, equipment, supplies, contractual, administrative support technical costs (AS&T), non-personal services and operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable): n/a

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	950,000	786,869	785,533	785,533	785,533	785,533	785,533
Beginning Cash Balance	-	16,368	73,586	77,345	194,982	-	-
Revenues	1,218,667	782,003	532,159	765,189	455,018	650,000	650,000
Expenditures	1,202,299	724,785	528,400	647,552	650,000	650,000	650,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	-					-	-
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	16,368	73,586	77,345	194,982	-	-	-
Encumbrances							
Unencumbered Cash Balance	16,368	73,586	77,345	194,982	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Parent appropriation is 291 and sub accounts are 291, 232 and 233.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Community Services Block Grant
 Legal Authority: Community Services Block Grant Act 1981,
Public Law 97-34, amended by P.L. 106-113, 45 CFR Part 96,
OMB Circular A-87

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-293-L
CFDA 93.569

Intended Purpose: To provide assistance through network of CAA for the reduction of poverty, the revitalization of low income communities and the empowerment of low income income families in rural and urban areas to become fully self-sufficient.

Source of Revenues: U.S. Department of Health & Human Services-ACF

Current Program Activities/Allowable Expenses:
 This grant provides administrative and program funding to the State and four (4) designated Community Action Agencies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Excess cash was returned to the State Treasury in FY2019 as indicated in FY17's report.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,517,270	3,756,815	3,674,796	3,800,000	3,800,000	3,800,000	3,800,000
Beginning Cash Balance	418,182	423,833	423,443	429,667	445,737	0	0
Revenues	3,434,797	3,273,402	3,112,611	3,295,970	3,200,000	3,200,000	3,200,000
Expenditures	3,429,145	3,273,792	3,106,387	3,279,900	3,222,294	3,200,000	3,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
transfer out to G-00-000 PYs cash					(423,443)		
Net Total Transfers	0	0	0	0	(423,443)	0	0
Ending Cash Balance	423,834	423,443	429,667	445,737	0	0	0
Encumbrances							
Unencumbered Cash Balance	423,834	423,443	429,667	445,737	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171
 Name of Fund: Unemployment Insurance Fund
 Legal Authority: Chapter 383-121, HRS

Contact Name: Ferdinand Casabay
 Phone: 586-8905
 Fund type (MOF) Special (B)
 Appropriation Acct. No. S-312-L, S-313-L (Discontinue Eff 06/30/16)
(New Appropriation T-912-L, T-913-L)

Intended Purpose: All contributions pursuant to this chapter shall be paid into the fund and compensation and benefits payable pursuant to this chapter shall be paid from the fund.
 Source of Revenue: Contributions collected pursuant to Chapter 383 Hawaii Revised Statutes, interest earned on moneys in the fund, property or securities and earnings of such property or securities, and moneys credited pursuant to Section 903 of the Social Security Act.
 Current Program Activities/Allowable Expenses: Payment of unemployments insurance benefits and for refunds of contributions, and payment of Administrative expenses from money credited pursuant to Section of the Social Security Act.
 Purpose of Proposed Ceiling Adjustment (if applicable): None
 Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	358,058,908	358,058,908	0	0	0	0	0
Beginning Cash Balance	358,741,288	435,363,280	504,586,106	0	0	0	0
Revenues	260,401,589	212,812,591	0	0	0	0	0
Expenditures	183,779,597	143,589,765	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Trf to T-912			(504,160,664)				
Trf to T-913			(425,442)				
Net Total Transfers	0	0	(504,586,106)	0	0	0	0
Ending Cash Balance	435,363,280	504,586,106	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	435,363,280	504,586,106	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171
 Name of Fund: Unemployment Insurance Fund
 Legal Authority: Chapter 383-127, HRS

Contact Name: Ferdinand B. Casabay
 Phone: 586-8905
 Fund type (MOF): Special (B)
 Appropriation Acct. No. S-313-L (Effective 07/01/16)
(Previous Appropriation S-314-L)

Intended Purpose: Payment of refunds, interest, and expenditures deemed necessary in the administration of thi chapter for which no allocation of federal adminstration funds have been made.

Source of Revenue: Interest, fines and penatlies collected pursuant to Chapter 383 Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses: Payment of various expenses relating to the administration of the unemployment insurance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variiances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	2,000,000	3,191,310	3,191,310	3,191,310	3,191,310
Beginning Cash Balance	0	0	0	9,349,983	7,963,666	7,163,666	8,163,666
Revenues	0	0	1,561,001	670,565	1,200,000	1,200,000	1,200,000
Expenditures	0	0	2,105,912	2,000,566	2,000,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Misc Trf/Adj fr S-314			9,894,879				
CW E09339 adj			14				
Adj Trf to T-913				(56,315)			
Net Total Transfers	0	0	9,894,894	(56,315)	0	0	0
Ending Cash Balance	0	0	9,349,983	7,963,666	7,163,666	8,163,666	9,163,666
Encumbrances							
Unencumbered Cash Balance	0	0	9,349,983	7,963,666	7,163,666	8,163,666	9,163,666

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171
 Name of Fund: Unemployment Insurance Fund
 Legal Authority: Chapter 383-127, HRS

Contact Name: Ferdinand B. Casabay
 Phone: 586-8905
 Fund type (MOF): Special (B)
 Appropriation Acct. No. S-314-L, (Discontinue Eff 06/30/16)
(New Appropriation S-313-L)

Intended Purpose: Payment of refunds, interest, and expenditures deemed necessary in the administration of thi chapter for which no allocation of federal adminstration funds have been made.

Source of Revenue: Interest, fines and penatlities collected pursuant to Chapter 383 Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses: Payment of various expenses relating to the administration of the unemployment insurance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,000,000	0	0	0	0
Beginning Cash Balance	7,573,564	8,871,703	9,500,879	0	0	0	0
Revenues	1,429,860	923,699	0	0	0	0	0
Expenditures	5,550	14	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Misc Trf/Adj Trf to S-313			394,000				
			(9,894,879)				
				0			
Net Total Transfers	(126,171)	(294,509)	(9,500,879)	0	0	0	0
Ending Cash Balance	8,871,703	9,500,879	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	8,871,703	9,500,879	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111 / Placement Services
 Name of Fund: Employment and Training Special Fund
 Legal Authority: Chapter 383-128, HRS

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF): Special (B)
 Appropriation Acct. No.: S-XX-316-L

Prior to 7/1/16, reported as S-318-L
and S-315-L
Effective 7/1/16, reported as S-316-L

Intended Purpose: To provide grants and subsidies to public, private and non-profit organizations for employment, education and training.

Source of Revenues: Employer contributions

Current Program Activities/Allowable Expenses: A) Programs to create a more diversified base for businesses; B) Programs for high-growth industries with critical shortages; C) Programs to retrain unemployed workers; D) Programs for individuals who do not qualify for federal or state programs; E) Programs for individuals to improve career employment prospects.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variations: Revenue - Employment and training fund revenues are based on assessments imposed at a rate of .01 per cent of taxable wages. Variations are attributed to fluctuations in employer assessments. Expenses - services are adjusted to ensure continued solvency of the fund.

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 3,643,786	\$ 3,642,288	\$ 3,642,288	\$ 5,940,010	\$ 5,940,010	\$ 5,940,010	\$ 5,940,010
Beginning Cash Balance	\$ 2,460,679	\$ 2,887,928	\$ 2,635,711	\$ 2,815,278	\$ 3,670,998	\$ 4,520,998	\$ 5,370,998
Revenues	\$ 1,417,646	\$ 1,129,425	\$ 1,549,751	\$ 1,877,361	\$ 1,900,000	\$ 1,900,000	\$ 1,900,000
Expenditures	\$ 990,397	\$ 1,381,642	\$ 1,084,968	\$ 1,021,641	\$ 1,050,000	\$ 1,050,000	\$ 1,050,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Cash Balance	\$ 2,887,928	\$ 2,635,711	\$ 3,100,495	\$ 3,670,998	\$ 4,520,998	\$ 5,370,998	\$ 6,220,998
Encumbrances							
Unencumbered Cash Balance	\$ 2,887,928	\$ 2,635,711	\$ 3,100,495	\$ 3,670,998	\$ 4,520,998	\$ 5,370,998	\$ 6,220,998

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: _____
 Legal Authority _____

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) U
 Appropriation Acct. No. S-XX-332-L

Intended Purpose: Inter-departmental transfers

Source of Revenues:

Current Program Activities/Allowable Expenses:

This "U" fund appropriation was used to clear out accounting adjustments that were made by prior administrations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Unused cash for this "U" fund appropriation was returned to the State Treasury effective FYE18. The account is no longer active.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	1,200,000	1,200,000	500,000	0	0	0
Beginning Cash Balance	0	0	0	0	375,054	0	0
Revenues	759,799		0	0	0	0	0
Expenditures	1,111,945	672,485	0	0	22,602	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfer-In	1,927,000	1,574,854	375,054	375,054			
Cash Transfer to G-00-000					(352,452)		
Net Total Transfers	1,927,000	1,574,854	375,054	375,054	(352,452)	0	0
Ending Cash Balance	1,574,854	902,369	375,054	375,054	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,574,854	902,369	375,054	375,054	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Human Trafficking Victim Services Fund
 Legal Authority: Section 1, Chapter 706, HRS

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF): Special (B)
 Appropriation Acct. No.: S-XX-338-L

Intended Purpose: Criminal fees collected by the Judiciary to service human trafficking victims.

Source of Revenues: District Court Fines, Forfeits, and Penalties Fines.

Current Program Activities/Allowable Expenses:

This special fund was created by the 2014 Legislature to provide a source of funds to supplement programs, grants or purchase of service contracts that support or provide comprehensive services to victims of labor trafficking crimes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: In 2018, a fund allocation was not made to this account.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	5,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	0	500	600	1,080	1,080	2,080	3,080
Revenues	500	100	480	0	1,000	1,000	1,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	500	600	1,080	1,080	2,080	3,080	4,080
Encumbrances							
Unencumbered Cash Balance	500	600	1,080	1,080	2,080	3,080	4,080

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 902/AA
 Name of Fund: Labor Law Enforcement Special Fund
 Legal Authority: Section 1, Chapter 371, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Special (B)
 Appropriation Acct. No.: S-351-L

Intended Purpose: To provide sufficient operating costs to collect penalties and fees assessed by the department.

Source of Revenues: Penalties collected pursuant to sections 388-9.7, 388-10, and 396-10.

Current Program Activities/Allowable Expenses: Personnel and operating expenses , staff development and training fees, and litigation expenses.

Purpose of Proposed Ceiling Increase (if applicable): Budget request for \$200,000 appropriation in each year of the Fiscal Biennium FY 19-21 to continue the Labor Law Enforcement Special Fund.

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	98,163	200,000	200,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	98,163	200,000	200,000
Expenditures	0	0	0	0	98,163	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: UI Worker Misclassification Prevention & Detection
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF) N
 Appropriation Acct. No. S-503-L

Intended Purpose: To enable Hawaii UI to better meet USDOL requirements for misclassification detection and increase our enforcement activities for worker misclassification.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: To implement a new UI Tax Field Audit Application to improve the efficiency of the audit performance and reduce audit processing time. The application will enhance the audit selection process by identifying employers and directing audits towards employers most likely to have misclassified workers or underreported wages.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			500,000	500,000	500,000	500,000	
Beginning Cash Balance		0	0	0			
Revenues							
Expenditures			64,763	190,313	244,924		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			from S-16-211	From S-17-211	From S-18-211		
			64,763	190,313	244,924		
Net Total Transfers	0	0	64,763	190,313	244,924	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Commodity Supplemental Food Program
 Legal Authority: Agriculture and Consumer Protection Act of 1973

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) P - Changed to N Effective 7/1/18
 Appropriation Acct. No. S-XX-506-L S-XX-504-L
CFDA 10.565

Intended Purpose: To improve the health of low-income elderly persons at least 60 years of age by supplementing their diets with nutritious USDA foods, which are distributed through public and non-profit private, local agencies such as food banks and community action organizations.

Source of Revenues: U.S.D.A. - Food and Nutrition Service

Current Program Activities/Allowable Expenses:
 This grant pays for the administrative expenses for the non-profit organizations that distribute food under the CSFP program.

Purpose of Proposed Ceiling Adjustment (if applicable):
 The appropriation requested for FY20 and FY21 is requested to be \$360,000 in anticipation of expanding the program in Hawaii.

Variations: The variance between FY17-18 was due to the significant increase in caseload and corresponding funding awarded for FFY16-17 that was reflected in FY18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	137,803	300,000	300,000	360,000	360,000
Beginning Cash Balance	0	746	0	0	0	0	0
Revenues	8,269	145,872	139,410	233,408	219,100	219,100	219,100
Expenditures	7,523	146,618	139,410	233,408	219,100	219,100	219,100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	746	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	746	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Comprehensive Service for Human Trafficking Victims
 Legal Authority: The Trafficking Victims Protection Act of 2000, as amended by the Reauthorization Act of 2008, 22 U.S.C. 7105 (b)(2)(A)

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-16-507-L (S-15-239)
CFDA 16.320

Intended Purpose: To provide assistance to victims of severe forms of trafficking (i.e., sex trafficking in which a commercial sex act is induced by force, fraud, or coercion, or in which the person induced to perform such act has not attained 18 years of age; or the recruitment, harboring, transportation, provision, or obtaining of a person for labor or services, through the use of force, fraud, or coercion, for the purpose of subjection to involuntary servitude, peonage, debt bondage, or slavery) without regard to the immigration status of the victim.

Source of Revenues: U.S. Department of Justice - Office for Victims of Crime

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: Non-Appropriated FED funds. Received \$584,000 grant award for 3-year performance period 10/1/15. Performance period was revised from a 3-year performance period to a 4-year performance period and ends 9/30/2019. OCS will not pursue this federal grant going forward. The variance between FY17-18 is due to more clients being served in 2018 compared to 2017. FY19 & FY20 variances reflect final spenddowns of the 2015 grant award.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	6,982	(0)	(0)	(0)	(0)	(0)
Revenues	167,165	83,828	148,442	176,637	176,159	75,497	
Expenditures	160,183	90,810	148,442	176,637	176,159	75,497	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,982	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	6,982	(0)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135
 Name of Fund: WIOA Implementation Activities
 Legal Authority: Administratively Created

Contact Name: Kathy Miyahira
 Phone: 586-9974
 Fund type (MOF) N
 Appropriation Acct. No. S-16-509-L
 grant ends 6/30/18

Intended Purpose: To provide support for the implementation of the Workforce Innovation and Opportunity Act.

Source of Revenues: Federal WIA Dislocated Worker National Reserve Funds

Current Program Activities/Allowable Expenses: Costs to transition/upgrade systems to meet WIOA requirements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(actual)	(estimate)	(estimate)
Appropriation Ceiling				114,852	31,628	0	
Beginning Cash Balance	0	0	0	0	376	1,677	0
Revenues				83,600	7,300	477	
Expenditures				83,224	5,999	0	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers out to S-19-231 to reimb piror year expenses						(2,153)	
Net Total Transfers	0	0	0	0	0	(2,153)	0
Ending Cash Balance	0	0	0	376	1,677	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	376	1,677	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DLIR, Office of Community Services
 Prog ID(s): LBR 903/NA
 Name of Fund: Defense Industry Adjustment
 Legal Authority: 10. U.S. Code 2391

Contact Name: Danielle Guthrie
 Phone: 808-586-3956
 Fund type (MOF) P
 Appropriation Acct. No. S-XX-510-L
CFDA 12.617

Intended Purpose: To provide technical and financial assistance to a State, or an entity of State government, to enhance its capacities to assist communities, businesses, and workers affected by Defense program activity to plan and carry out community adjustment and economic diversification activities; support local adjustment and diversification efforts; and stimulate cooperation between statewide and local adjustment and diversification efforts.

Source of Revenues: U.S. Department of Defense - Office of Economic Adjustment

Purpose of Proposed Ceiling Adjustment (if applicable):
 This was a one year grant awarded to LBR 903 by US DOD that ended on 12/31/2017.

Variations: Non-Appropriated FED funds. Received \$763,856 grant award. Period of performance 7/1/16 - 6/30/17.
 Received a no cost extension extending the grant period of performance through 12/31/2017.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			388,190	301,889			
Expenditures			388,190	301,889			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135
 Name of Fund: WIOA Implementation Activities
 Legal Authority: Administratively Created

Contact Name: Kathy Miyahira
 Phone: 586-9974
 Fund type (MOF) N
 Appropriation Acct. No. S-17-513-L
 grant ends 9/30/18 extended to 9/30/19

Intended Purpose: To provide system integration support for the Workforce Innovation and Opportunity Act.

Source of Revenues: Federal WIA Dislocated Worker National Reserve Funds

Current Program Activities/Allowable Expenses: Costs to develop and implement technological integration for WIOA systems.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,100,000	1,098,903	1,089,352	389,352	
Beginning Cash Balance	0	0	0	803	752	752	0
Revenues			1,900	9,500	700,000	388,600	
Expenditures			1,097	9,551	700,000	389,352	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	803	752	752	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	803	752	752	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135 / PB
 Name of Fund: WIOA HI-DISASTER RAINSTORM/FLOOD APR2018
 Legal Authority: Administratively Created

Contact Name: Kathy Miyahira
 Phone: 586-9974
 Fund type (MOF): N
 Appropriation Acct. No. S-18-517-L
grant ended 6/30/20

Intended Purpose: To provide temporary employment opportunities to assist with disaster clean-up and recovery efforts including military service members and self-employed individuals.

Source of Revenues: WIOA National Dislocated Worker Grants / WIA National Emergency Grants

Current Program Activities/Allowable Expenses: Training costs, supportive services, assessments, outreach, recruitment, employer engagement expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					500,000	100,000	
Beginning Cash Balance					0	0	0
Revenues					400,000	100,000	
Expenditures					400,000	100,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135 / PB
 Name of Fund: WIOA HAWAII VOLCANO 2018
 Legal Authority: Administratively Created

Contact Name: Kathy Miyahira
 Phone: 586-9974
 Fund type (MOF): N
 Appropriation Acct. No. S-19-518-L
grant ended 6/30/21

Intended Purpose: To provide temporary employment opportunities to assist with disaster clean-up and recovery efforts for up to 175 individuals, including military service members and self-employed individuals.

Source of Revenues: USDOL Disaster Dislocated Worker Grant to perform disaster recovery work

Current Program Activities/Allowable Expenses: Supportive services, Staffing Agency, Dislocated worker wages

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					1,166,666	1,166,666	1,166,666
Beginning Cash Balance					0	0	0
Revenues					1,166,666	1,166,666	1,166,666
Expenditures					1,166,666	1,166,666	1,166,666
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 902/AA
 Name of Fund: Reduced Ignition Propensity Cigarette Program Spec Fund
 Legal Authority: Section 132C-4, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Special (B)
 Appropriation Acct. No.: S-311-L

Intended Purpose: Funds to monitor and ensure only reduced propensity cigarettes be sold in the state.

Source of Revenues: Certification fees from cigarette manufacturers, and interest on investments.

Current Program Activities/Allowable Expenses: Personnel and operating costs to enforce only reduced propensity cigarette are sold in the State.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	469,952	459,914	486,134	465,934	628,083	528,083	428,083
Revenues	129,907	139,328	157,557	182,621	100,000	100,000	200,000
Expenditures	139,945	113,108	25,874	20,472	200,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	459,914	486,134	617,817	628,083	528,083	428,083	428,083
Encumbrances		7,284	151,883				
Unencumbered Cash Balance	459,914	478,850	465,934	628,083	528,083	428,083	428,083

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171
 Name of Fund: Unemployment Insurance Fund
 Legal Authority: Chapter 383-121, HRS

Contact Name: Ferdinand Casabay
 Phone: 586-8905
 Fund type (MOF): Trust (T)
 Appropriation Acct. No. T-912-L, T-913-L (Effective 07/01/16)
(Previous Appropriation S-312-L, S-313-L)

Intended Purpose: All contributions pursuant to this chapter shall be paid into the fund and compensation and benefits payable pursuant to this chapter shall be paid from the fund.
 Source of Revenue: Contributions collected pursuant to Chapter 383 Hawaii Revised Statutes, interest earned on moneys in the fund, property or securities and earnings of such property of securities, and moneys credited pursuant to Section 903 of the Social Security Act.
 Current Program Activities/Allowable Expenses: Payment of unemployment insurance benefits and for refunds of contributions, and payment of Administrative expenses from money credited pursuant to Section of the Social Security Act.
 Purpose of Proposed Ceiling Adjustment (if applicable): None
 Variances: Unemployment rate decrease from 2.7% to 2.1%, UI Tax Rate remained at Schedule C

Financial Data							
	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (actual)	FY 2019 (estimated)	FY 2020 (estimated)	FY 2021 (estimated)
Appropriation Ceiling	0	0	358,000,000	358,000,000	358,000,000	358,000,000	358,000,000
Beginning Cash Balance	0	0	0	513,503,937	530,287,508	550,287,508	570,287,508
Revenues	0	0	182,714,381	193,972,880	220,000,000	220,000,000	220,000,000
Expenditures	0	0	173,796,550	177,245,623	200,000,000	200,000,000	200,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Trf fr S-312			504,160,664				
Trf fr S-313			425,442	56,315			
Net Total Transfers	0	0	504,586,106	56,315	0	0	0
Ending Cash Balance	0	0	513,503,937	530,287,508	550,287,508	570,287,508	590,287,508
Encumbrances							
Unencumbered Cash Balance	0	0	513,503,937	530,287,508	550,287,508	570,287,508	590,287,508

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 153
 Name of Fund: Statewide Marine Fisheries Development
 Legal Authority: Chapter 171-HRS

Contact Name: Ray Uchimura
 Phone: 808-587-0096
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-202

Intended Purpose:
 Statewide Marine Fisheries Development
 Source of Revenues:
 U.S Fish and Wildlife Service (CFDA No. 15.605)
 Current Program Activities/Allowable Expenses:
 Funds various fisheries project having significant benefit to recreational and commercial fishermen.
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	330,000	330,000	420,000	420,000	420,000	420,000	420,000
Beginning Cash Balance	146,625	129,697	129,697	250,147	228,584	242,584	244,584
Revenues	256,662	276,385	593,801	256,520	356,000	342,000	415,000
Expenditures	312,055	276,385	473,351	278,083	342,000	340,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	38,465	0	0	0			
Net Total Transfers	38,465	0	0	0	0	0	0
Ending Cash Balance	129,697	129,697	250,147	228,584	242,584	244,584	259,584
Encumbrances	129,952	183,567	130,216	272,133	228,000	220,000	215,000
Unencumbered Cash Balance	(255)	(53,870)	119,931	(43,549)	228,584	228,584	228,584

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Sport Fishing Restoration Program Coordination
 Legal Authority: 187A-9, HRS

Contact Name: Michael Fujimoto/Naomi Ahu
 Phone: 808-587-0085
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-203-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, to provide coordination and oversight of HI's Sport Fish Restoration grant management processes in order to maintain the Department's program eligibility requirements.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and administrative costs for the coordination of the Statewide Sport Fish Restoration Program; establish and maintain effective management and oversight controls adequate to meet Program requirements for participating in the SFR Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	127,000	132,000	150,000	225,000	255,000	230,000	255,000
Beginning Cash Balance	22,258	45,265	33,077	65,634	86,883	98,192	105,692
Revenues	127,507	110,065	118,458	178,583	202,743	212,500	215,000
Expenditures	127,622	122,253	85,901	157,334	191,434	205,000	207,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	23,122	0	0	0			
Net Total Transfers	23,122	0	0	0	0	0	0
Ending Cash Balance	45,265	33,077	65,634	86,883	98,192	105,692	113,692
Encumbrances	20,774	16,811	22,588	50,020	72,944	89,000	95,000
Unencumbered Cash Balance	24,491	16,266	43,046	36,863	25,249	16,692	18,692

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Forests and Wildlife Resources
 Legal Authority: Act 200, SLH 2003

Contact Name: _____
 Phone: _____
 Fund type (MOF) Federal - N (Parent Account)
 Appropriation Acct. No. S-204-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service for the implementation of Hawaii Non-Game Management Program.

Source of Revenues:

U.S. Department of Agriculture, U.S. Forest Service and U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grants closed FY 17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	221,128	141,063	59,534	43,783	43,783	43,783	43,783
Revenues	228,642	27,606	0	0			
Expenditures	463,643	109,135	15,751	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	154,936	0	0	0			
Net Total Transfers	154,936	0	0	0	0	0	0
Ending Cash Balance	141,063	59,534	43,783	43,783	43,783	43,783	43,783
Encumbrances	285,340	94,993	7,563	7,563			
Unencumbered Cash Balance	(144,277)	(35,459)	36,220	36,220	43,783	43,783	43,783

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Hi Hunter Education Program
 Legal Authority: Act 78, SLH 2011

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-205-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the implementation of a Statewide Hunter Safety Training Program.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Conduct statewide certification courses, seminars, and advanced training in hunter education, which include responsibility, conservation and outdoor safety. Issue completion certificates and exemption letters.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0				0	0	0
Beginning Cash Balance	506,472	356,266	83,259	16,351	16,351	16,351	16,351
Revenues	52,582	0	1,288	0	0	0	0
Expenditures	1,429	73,893	68,196	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(201,359)	(199,114)	0	0			
Net Total Transfers	(201,359)	(199,114)	0	0	0	0	0
Ending Cash Balance	356,266	83,259	16,351	16,351	16,351	16,351	16,351
Encumbrances	157,151	68,196	0	0	0	0	0
Unencumbered Cash Balance	199,115	15,063	16,351	16,351	16,351	16,351	16,351

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Forests Recreation
 Legal Authority: Act 200, SLH 2003

Contact Name: _____
 Phone: _____
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-206-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, to administer the Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Construct and maintain hiking trails' inventory and conduct surveys of game birds and mammals; construct and maintain other forest recreational facilities;

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: **PARENT ACCOUNT**

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	58,830	28,001	53,605	3,488	3,488	3,488	3,488
Revenues	247,743	0	0	0			
Expenditures	294,072	5,896	50,117	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	15,500	31,500	0	0			
Net Total Transfers	15,500	31,500	0	0			
Ending Cash Balance	28,001	53,605	3,488	3,488	3,488	3,488	3,488
Encumbrances	0	83,695	11,895	11,895			
Unencumbered Cash Balance	28,001	(30,090)	(8,407)	(8,407)	3,488	3,488	3,488

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Statewide Marine Research and Surveys
 Legal Authority: 187A-9, HRS

Contact Name: Michael Fujimoto/Paul Murakawa
 Phone: 808-587-0085/808-253-9826
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-207-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Staff and operating costs necessary to monitor recreational fishing success and harvest levels with creel censuses, conduct ulua movement patterns study

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	807,750	791,250	950,000	885,000	1,050,000	1,200,000	1,300,000
Beginning Cash Balance	231,450	404,001	446,548	565,027	616,774	756,774	906,774
Revenues	741,105	808,424	861,530	924,287	1,000,000	1,100,000	1,150,000
Expenditures	668,554	765,877	743,051	872,540	860,000	950,000	980,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	100,000	0	0	0			
Net Total Transfers	100,000	0	0	0	0	0	0
Ending Cash Balance	404,001	446,548	565,027	616,774	756,774	906,774	1,076,774
Encumbrances	549,936	484,067	506,407	558,250	620,000	650,000	700,000
Unencumbered Cash Balance	(145,935)	(37,519)	58,620	58,524	136,774	256,774	376,774

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 802
 Name of Fund: Hawaii Historic Preservation Special Fund
 Legal Authority: Act 200, SLH 2003

Contact Name: Randolph M. K. Lee III
 Phone: 692-8033
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-209-C

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, National Park Service, to administer the Federal Source of Revenues:

U.S. Department of Interior, National Park Service

Current Program Activities/Allowable Expenses:

Review development projects for their impacts on historic properties; inventory, register and protect historic properties; inform and educate the public with Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	575,000	649,065	574,000	574,946	574,946	591,360	591,360
Beginning Cash Balance	200,443	184,525	182,137	307,987	302,070	127,124	8,640
Revenues	741,795	247,342	320,866	398,954	350,000	525,000	565,000
Expenditures	757,713	249,730	495,016	454,871	574,946	591,360	591,360
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JM3360, 01/05/18			300,000	50,000	50,000	75,000	25,000
JM6626, 5/31/18							
Net Total Transfers	0	0	300,000	50,000	50,000	75,000	25,000
Ending Cash Balance	184,525	182,137	307,987	302,070	127,124	8,640	7,280
Encumbrances	31,583	3,762	4,999	2,072	5,000	5,000	5,000
Unencumbered Cash Balance	152,942	178,375	302,988	299,998	122,124	3,640	2,280

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Hawaii Shooting Range Development
 Legal Authority: Act 134, SLH 2013/Non-Appropriated

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-210-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the implementation and construction of shooting range facilities

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Land acquisition, coordination, development and construction of shooting range facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in Revenues and Expenditures is due to design, development, and implementation of the planned Shooting Range on Kauai.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0						
Beginning Cash Balance	49,681	136,924	140,163	173,415	173,939	173,939	173,939
Revenues	62,393	170,676	64,439	55,990	250,000	250,000	250,000
Expenditures	150	167,437	31,187	55,466	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	25,000	0	0	0			
Net Total Transfers	25,000	0	0	0	0	0	0
Ending Cash Balance	136,924	140,163	173,415	173,939	173,939	173,939	173,939
Encumbrances	0	38,636	51,189	14,098	50,000	50,000	50,000
Unencumbered Cash Balance	136,924	101,527	122,226	159,841	123,939	123,939	123,939

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Statewide Marine fisheries Development
 Legal Authority: Section 187A-9, HRS

Contact Name: _____
 Phone: _____
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-214-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of conducting statewide marine fisheries development activities.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Staff and operating costs necessary to obtain permits for new artificial reef sites as well as existing sites and add additional structures to provide additional

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		450,000	675,000	675,000	675,000	400,000	500,000
Beginning Cash Balance			7,100	7,100	8,821	58,821	108,821
Revenues		21,290	19,779	10,007	350,000	200,000	200,000
Expenditures		14,190	19,779	8,286	300,000	150,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	7,100	7,100	8,821	58,821	108,821	158,821
Encumbrances					0	0	0
Unencumbered Cash Balance	0	7,100	7,100	8,821	58,821	108,821	158,821

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: Water Audits of Public Water Systems
 Legal Authority: Act 169, Session Laws of Hawaii 2016

Contact Name: Neal Fujii
 Phone: 587-0264
 Fund type (MOF) Federal Funds - N
 Appropriation Acct. No. S-217-C

Intended Purpose:

Implementation of a standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Source of Revenues:

\$600,000 (Federal Funds) and \$100,000 (Private Matching Funds)

Current Program Activities/Allowable Expenses:

Establishment by the Commission on Water Resource Management of a five-year program to provide technical assistance to public water systems to conduct standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Funds appropriated used to establish and implement the program to conduct standardized water audits of public water systems.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Variance in Revenues between FY 2017 & FY 2018 due to private matching funds being used first as payment for services.

Variance in Revenues between FY 2018 & FY 2019 due to differences in deliverables in Water Audit contract compensation & payment schedule.

Variance in Expenditures between FY 2017 & FY 2018 due to private matching funds being used first as payment for services.

Variance in Expenditures between FY 2018 & FY 2019 due to differences in deliverables in Water Audit contract compensation & payment schedule.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			200,000	250,000	150,000	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	223,600	221,815	150,000	0
Expenditures			0	223,600	221,815	150,000	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0	0	0	0	0
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			200,000	0	0	0	0
Unencumbered Cash Balance	0	0	(200,000)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: RECOVERING YELLOW-FACED BEES
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Federal Funds - N
 Appropriation Acct. No.: S-218

Intended Purpose:

This fund was established to receive and expend federal grant monies - recovering yellow-faced bees.

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - endangered plant protection within the the natural and watersheds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 18 & 19 in revenues and expenditures is due to encumbrances paid the following year. The grant is ending 12/31/19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			249,272				
Beginning Cash Balance			0	0	0	0	0
Revenues			0	45,313	125,000	78,959	0
Expenditures			0	45,313	125,000	78,959	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			61,546	79,848	50,000	0	0
Unencumbered Cash Balance	0	0	(61,546)	(79,848)	(50,000)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Statewide Freshwater Fisheries Development
 Legal Authority: 187A-9, HRS

Contact Name: Michael Fujimoto/Rodney Young
 Phone: 808-587-0085/
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-224-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salaries and operating expenses to manage and evaluate the effectiveness of the freshwater public fishing areas and fishery management areas; stock,

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	187,500	210,000	270,000	264,375	240,000	270,000	270,000
Beginning Cash Balance	48,076	48,708	57,860	108,479	185,957	190,957	195,957
Revenues	185,179	180,987	238,703	305,353	230,000	260,000	265,000
Expenditures	184,547	171,835	188,084	227,875	225,000	255,000	260,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	48,708	57,860	108,479	185,957	190,957	195,957	200,957
Encumbrances	3,746	9,285	23,159	16,245	45,000	52,000	60,000
Unencumbered Cash Balance	44,962	48,575	85,320	169,712	145,957	143,957	140,957

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Ex SITU and in SITU Tree Snail Recovery
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-226-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - Ex SITU and in SITU Tree Snail Recovery

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Ex SITU and in SITU Tree Snail Recovery

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 18 & 19 in revenues and expenditures is due to encumbrances paid the following year. The grant is ending 12/31/19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				216,976			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				53,937	100,000	63,039	0
Expenditures				53,937	100,000	63,039	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				9,000	50,000	0	0
Unencumbered Cash Balance	0	0	0	(9,000)	(50,000)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Lidar Analysis and AKS Nest Collection
 Legal Authority: Non-Appropriated

Contact Name: James M. Cogswell
 Phone: 587-4187
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-237-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Lidar Analysis and AKS Nest Collection

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Lidar Analysis and AKS Nest Collection.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Grant ended 6/30/18

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			127,042				
Beginning Cash Balance		0	0	0	1,627	0	0
Revenues			0	122,960	654	0	0
Expenditures			0	121,333	2,281	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,627	0	0	0
Encumbrances			120,632	2,285	0	0	0
Unencumbered Cash Balance	0	0	(120,632)	(658)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: LANDSCAPE-SCALE CONS MGMT-KAU FOREST RES
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Federal Funds - N
 Appropriation Acct. No. S-241

Intended Purpose:

This fund was established to receive and expend federal grant monies - landscape-scale conservation and management of Kau Forest Reserve

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - endangered plant protection within the the natural and watersheds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

GRANT CLOSED 6/30/17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	5,610	5,808	1,843	157	157	157
Revenues	74,224	79,293	44,800	51,684	0	0	0
Expenditures	68,614	79,095	48,765	53,370	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,610	5,808	1,843	157	157	157	157
Encumbrances	153,912	88,620	53,370	0	0	0	0
Unencumbered Cash Balance	(148,302)	(82,812)	(51,527)	157	157	157	157

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: PREVENT EXTINCT OF RARE HAWN LAND SNAILS
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Federal Funds - N
 Appropriation Acct. No.: S-242

Intended Purpose:

This fund was established to receive and expend federal grant monies to prevent extinction of rare hawaiian land snails.

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - prevent extinction of rare Hawaiian land snails

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

GRANT CLOSED 12/30/17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0						
Beginning Cash Balance	0	4,222	7,397	4,406	1,285	1,285	1,285
Revenues	14,850	114,747	107,221	13,133	0	0	0
Expenditures	10,628	111,572	110,212	16,254	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,222	7,397	4,406	1,285	1,285	1,285	1,285
Encumbrances	59,302	119,616	17,490	1,235	0	0	0
Unencumbered Cash Balance	(55,080)	(112,219)	(13,084)	50	1,285	1,285	1,285

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Ocean Based Recreation Fund
 Legal Authority: _____

Contact Name: Randy Nako
 Phone: 587-0339
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-249-C

Intended Purpose:
 Provide reimbursement for funds spent improving Recreational Boating Access.
 Source of Revenues:
 Reimbursement of funds spent to improve recreational boating access.
 Current Program Activities/Allowable Expenses:
 None.
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	164,294	165,582	166,569	166,569	166,569
Revenues			1,288	987	0	0	0
Expenditures				0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0			0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	165,582	166,569	166,569	166,569	166,569
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	165,582	166,569	166,569	166,569	166,569

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Recreational Boating Safety Program
 Legal Authority: Section 248-8, HRS

Contact Name: Randy Nako
 Phone: 587-0339
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-250-C

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Coast Guard to conduct a State Recreational Boating Safety program.

Source of Revenues:

United States Coast Guard

Current Program Activities/Allowable Expenses:

Conducting public education in boating safety; enforcing boating safety rules on the water and small boat harbors; and maintaining navigational aids.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	523,200	1,059,460	792,081	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	528,650	539,009	721,053	1,159,952	1,019,441	871,904	686,991
Revenues	1,070,592	1,241,504	934,451	634,242	665,954	669,252	734,214
Expenditures	1,060,233	1,059,460	495,552	774,753	813,491	854,165	896,873
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0			0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	539,009	721,053	1,159,952	1,019,441	871,904	686,991	524,332
Encumbrances	10,544	10,544	9,904	0	0	0	0
Unencumbered Cash Balance	528,465	710,509	1,150,048	1,019,441	871,904	686,991	524,332

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Freshwater Fisheries Research and Surveys
 Legal Authority: 187A-9, HRS

Contact Name: Michael Fujimoto/Anette Tagawa
 Phone: 808-587-0085
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-251-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for coordination of the Statewide Sport Fish Restoration (Dingell-Johnson) Program, which includes projects in development and operation, research, surveys and inventories, technical guidance, aquatic resources education and boating access in the freshwater, and estuarine and marine areas.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and administrative costs for the coordination of the Statewide Sport Fish Restoration Program; maintaining State eligibility, maximizing obligations; submitting all grant documents and annual reports; assuring Federal, State and County compliances.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	48,750	60,000	60,000	65,625	60,000	75,000	75,000
Beginning Cash Balance	12,718	33,643	42,792	52,453	64,990	67,290	70,990
Revenues	41,205	66,647	33,848	51,745	58,550	63,500	66,500
Expenditures	20,280	57,498	24,187	39,208	56,250	59,800	62,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	33,643	42,792	52,453	64,990	67,290	70,990	75,490
Encumbrances	0	0	819	1,540	2,500	3,500	4,200
Unencumbered Cash Balance	33,643	42,792	51,634	63,450	64,790	67,490	71,290

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Freshwater Technical Guidance
 Legal Authority: 187A-9, HRS

Contact Name: Michael Fujimoto/Glenn Higashi
 Phone: 808-587-0085
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-252-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of providing freshwater technical guidance.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to review environmental impact statements, permit applications, legislation, investigate fish kills, provide environmental guidance to State, County and private agencies to mitigate freshwater environmental disturbances.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	60,000	91,000	90,000	90,000	84,000	90,000	90,000
Beginning Cash Balance	6,234	18,747	30,495	63,273	96,195	99,995	100,495
Revenues	61,464	32,304	66,837	80,567	83,600	88,000	87,200
Expenditures	48,951	20,556	34,059	47,645	79,800	87,500	85,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	18,747	30,495	63,273	96,195	99,995	100,495	102,695
Encumbrances	0	0	12,129	282	1,200	1,400	1,500
Unencumbered Cash Balance	18,747	30,495	51,144	95,913	98,795	99,095	101,195

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Marine Technical Guidance
 Legal Authority: 187A-9, HRS

Contact Name: Michael Fujimoto/Kathy Gewecke
 Phone: 808-587-0085
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-253-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to review environmental impact statements, permit applications, legislation, investigate fish kills, provide environmental guidance

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	150,000	180,000	180,000	132,000	180,000	180,000
Beginning Cash Balance	10,752	38,539	110,527	195,187	199,424	200,248	201,848
Revenues	130,115	131,485	148,604	124,683	128,824	178,500	178,500
Expenditures	102,328	59,497	63,944	120,446	128,000	176,900	178,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	38,539	110,527	195,187	199,424	200,248	201,848	202,348
Encumbrances	0	360	431	350	750	900	900
Unencumbered Cash Balance	38,539	110,167	194,756	199,074	199,498	200,948	201,448

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Statewide Marine Research and Surveys
 Legal Authority: 187A-9, HRS

Contact Name: Kim Peyton/Glenn Higashi
 Phone: 808-587-0085
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-254-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to conduct marine research and surveys to improve recreational fishing, e.g. investigations of estuarine habitats, bottomfish

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	777,000	829,950	1,233,625	1,116,375	1,116,375	1,200,000	1,200,000
Beginning Cash Balance	146,281	212,750	265,806	530,671	394,800	444,800	494,800
Revenues	669,202	689,487	1,071,776	1,004,855	1,100,000	1,200,000	1,100,000
Expenditures	667,733	636,431	806,911	1,140,726	1,050,000	1,150,000	1,050,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	65,000						
Net Total Transfers	65,000	0	0	0	0	0	0
Ending Cash Balance	212,750	265,806	530,671	394,800	444,800	494,800	544,800
Encumbrances	267,588	340,666	750,438	528,941	440,000	490,000	520,000
Unencumbered Cash Balance	(54,838)	(74,860)	(219,767)	(134,141)	4,800	4,800	24,800

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Aquatic Resources Education
 Legal Authority: 187A-9, HRS

Contact Name: Randy Honebrink
 Phone: 808-587-0111
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-255-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to perform education and outreach: conducting fishing education classes, teacher's workshops, educational presentations, public

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	337,500	375,000	432,000	420,000	440,000	500,000	550,000
Beginning Cash Balance	6,061	28,365	197,798	326,829	423,987	446,987	474,987
Revenues	339,931	321,962	506,518	492,814	412,000	480,000	500,000
Expenditures	237,875	152,529	377,487	395,656	389,000	452,000	475,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(79,752)						
Net Total Transfers	(79,752)	0	0	0	0	0	0
Ending Cash Balance	28,365	197,798	326,829	423,987	446,987	474,987	499,987
Encumbrances	2,520	103,984	24,092	41,802	50,000	55,000	58,000
Unencumbered Cash Balance	25,845	93,814	302,737	382,185	396,987	419,987	441,987

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Wildlife Non-Game Management Program
 Legal Authority: Act 134, SLH 2013

Contact Name: James M. Cogswell
 Phone: 587-4187
 Fund type (MOF): Federal - N (Sub Account)
 Appropriation Acct. No.: S-256-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Pittman Robertson's Wildlife Restoration Grant Program - Nongame Management Program - to manage, preserve and protect native fauna and their habitats.

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - operations and maintenance, population and habitat management, and facilities construction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	695,003	833,601	3,690,261	0	0	1,200,000	1,200,000
Beginning Cash Balance	62,663	104,606	45,971	17,237	28,171	128,171	128,171
Revenues	518,601	693,559	1,052,613	1,615,254	1,600,000	1,500,000	1,500,000
Expenditures	351,658	752,194	1,081,347	1,604,320	1,500,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(125,000)	0	0	0			
Net Total Transfers	(125,000)	0	0	0	0	0	0
Ending Cash Balance	104,606	45,971	17,237	28,171	128,171	128,171	128,171
Encumbrances	157,305	197,141	194,998	143,654	0	0	0
Unencumbered Cash Balance	(52,699)	(151,170)	(177,761)	(115,483)	128,171	128,171	128,171

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: State Wildlife Grant
 Legal Authority: Act 134, SLH 2013

Contact Name: James M. Cogswell
 Phone: 587-4187
 Fund type (MOF) Federal - N (Sub Account)
 Appropriation Acct. No. S-258-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, State Wildlife Grant Program - to manage, preserve and protect native fauna and their habitats as detailed in the Hawaii State Wildlife Action Plan.

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - operations and maintenance, population and habitat management, and facilities construction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	478,777	490,638	590,528	490,525	625,136	694,520	694,520
Beginning Cash Balance	55,321	15,866	69,484	85,791	89,841	89,841	89,841
Revenues	343,256	418,000	525,572	467,704	500,000	500,000	500,000
Expenditures	377,711	365,382	509,265	463,654	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(5,000)	1,000	0	0			
Net Total Transfers	(5,000)	1,000	0	0	0	0	0
Ending Cash Balance	15,866	69,484	85,791	89,841	89,841	89,841	89,841
Encumbrances	240,269	330,636	329,734	256,943	0	0	0
Unencumbered Cash Balance	(224,403)	(261,152)	(243,943)	(167,102)	89,841	89,841	89,841

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Hawaii Game Management Program
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-261-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, to administer the Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Construct and maintain infrastructure and access for to public hunting areas; research and develop lands for public hunting through direct purchases or Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,354,811	3,051,188	4,996,685	0	2,627,148	2,600,000	2,600,000
Beginning Cash Balance	49,866	13,740	29,616	10,166	41,172	241,172	541,172
Revenues	1,446,158	1,998,425	2,282,204	2,522,536	2,700,000	2,800,000	2,900,000
Expenditures	1,516,784	1,951,049	2,301,654	2,491,530	2,500,000	2,500,000	2,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	34,500	(31,500)					
Net Total Transfers	34,500	(31,500)	0	0	0	0	0
Ending Cash Balance	13,740	29,616	10,166	41,172	241,172	541,172	941,172
Encumbrances		1,365,946	1,111,303	1,260,819	1,300,000	1,300,000	1,300,000
Unencumbered Cash Balance	13,740	(1,336,330)	(1,101,137)	(1,219,647)	(1,058,828)	(758,828)	(358,828)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: National Recreational Trails
 Legal Authority: Act 134, SLH 2013

Contact Name: Michael Millay
 Phone: 587-4175
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-262-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S Federal Highways Administration for the Recreational Trails Program

Source of Revenues:

U.S. Department of Transportation, Federal Highways Division (FHWA)

Current Program Activities/Allowable Expenses:

Statewide rehabilitation, maintenance and development of multi-use trails, access roads and OHV Parks.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	1,332,855	1,028,680	1,129,256	1,129,611	1,300,000	1,300,000
Beginning Cash Balance	24,725	164,602	221,577	269,484	92,352	352	352
Revenues	1,003,158	1,193,915	1,473,050	701,446	770,000	820,000	900,000
Expenditures	863,281	1,136,940	1,425,143	878,578	862,000	820,000	900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	164,602	221,577	269,484	92,352	352	352	352
Encumbrances	505,930	758,362	836,150	692,245	0	0	0
Unencumbered Cash Balance	(341,328)	(536,785)	(566,666)	(599,893)	352	352	352

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Conservation of Wildlife Habitat in Napu'u, HI
 Legal Authority: Act 49 SLH 2017 as amendednby Act 53, SLH 2018

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-264-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, to administer the

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Administer conservation of Wildlife Habitat in Napu'u, Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in revenues and expenditures is due to FY 18 encumbrances processed in FY 19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				279,500	500,000	500,000	500,000
Beginning Cash Balance		0	0	0	0	0	5,000
Revenues				44,304	400,000	435,000	475,000
Expenditures				44,304	400,000	430,000	470,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0		0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	5,000	10,000
Encumbrances				130,000	0	0	0
Unencumbered Cash Balance	0	0	0	(130,000)	0	5,000	10,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Captive Propagation of the Endangered Akikiki and Akekee
 Legal Authority: Non-Appropriated

Contact Name: James M. Cogswell
 Phone: 587-4187
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-265-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Captive Propagation of the Endangered Akikiki and Akekee

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - operations and maintenance for the captive propagation of the endangered akikiki and akekee

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: **Grant ended 9/30/18**

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				144,247			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				8,010	136,237		
Expenditures				8,010	136,237		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0		0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				126,248			
Unencumbered Cash Balance	0	0	0	(126,248)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Ungulate Distribution Models for Wildlife
 Legal Authority: Non-Appropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-266-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, to administer the Ungulate Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Develop a management prioritization model that identifies areas for protective (conservation) fencing and areas for public accessible hunting and recreation

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in revenues and expenditures is due to FY 18 encumbrances processed in FY 19. Grant ending date is 11/30/19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				100,558			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				9,390	80,000	11,168	
Expenditures				9,390	80,000	11,168	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0		0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				91,168	11,168		
Unencumbered Cash Balance	0	0	0	(91,168)	(11,168)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Forest and Wildlife Resources
 Legal Authority: Act 164, SLH 2011 as amended by Act 106, SLH 2012

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Interdepartmental - (U)
 Appropriation Acct. No. S-16-320-C

Intended Purpose:

This fund is necessary in order to meet the directive and objectives of the Governor's Emergency Proclamation of April 14, 2011.

Source of Revenues:

Transfer funds from DOT and other sources.

Current Program Activities/Allowable Expenses:

Translocation of nene birds from the vicinity of Lihue Airport, Kauai.

Purpose of Proposed Ceiling Increase (if applicable):

Intended Purpose:

Account closed - Emergency proclamation ended 4/25/16

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,846,262	1,653,498	1,679,079	1,679,079		
Beginning Cash Balance	630,974	402,328	368,528	366,430	367,542	367,542	367,542
Revenues	627,741	621,826	182	1,112	0	0	0
Expenditures	856,387	655,626	2,280	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0			0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	402,328	368,528	366,430	367,542	367,542	367,542	367,542
Encumbrances	16,206	9,387	0	0			
Unencumbered Cash Balance	386,122	359,141	366,430	367,542	367,542	367,542	367,542

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Forests and Wildlife Resources
 Legal Authority: Act 134, SLH 2013

Contact Name: _____
 Phone: _____
 Fund type (MOF) Other Federa-P (Parent Account)
 Appropriation Acct. No. S-504-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Interior, Fish and Wildlife Service for the Statewide Wildlife Restoration Program. This fund also receives monies from U.S. Department of Agriculture for the implementation of various NRCS Grants/Agreements

Source of Revenues:

U.S. Department of Interior, Fish & Willdife Service, U.S. Department of Agriculture

Current Program Activities/Allowable Expenses:

Research and control of forest insect disease; increase the population of endangered species; and develop unique ecosystems.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

PARENT ACCOUNT

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0						
Beginning Cash Balance	3,159	179,177	192,579	158,799	581,720	581,720	581,720
Revenues	927,717	300,803	1,381,379	1,724,800			
Expenditures	875,699	274,901	1,415,159	1,301,879			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	124,000	(12,500)					
Net Total Transfers	124,000	(12,500)	0	0	0	0	0
Ending Cash Balance	179,177	192,579	158,799	581,720	581,720	581,720	581,720
Encumbrances	271,894	263,405	1,412,480	381,315			
Unencumbered Cash Balance	(92,717)	(70,826)	(1,253,681)	200,405	581,720	581,720	581,720

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: JEA
 Legal Authority: Act 134, SLH 2013

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-505-C

Intended Purpose:
 Parent account for other federal fund appropriations
 Source of Revenues:
 Federal funds grants roll-over
 Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	586,355	509,548	508,344	223,565	223,565	223,565	223,565
Revenues	0	0	0	0	0	0	0
Expenditures	76,807	1,204	284,779	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	509,548	508,344	223,565	223,565	223,565	223,565	223,565
Encumbrances	16,980	72	0	0	0	0	0
Unencumbered Cash Balance	492,568	508,272	223,565	223,565	223,565	223,565	223,565

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Natural Area Reserves & Watershed Management
 Legal Authority: Act 134, SLH 2013

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-507-C (Parent Account)

Intended Purpose:

This fund is established to account for federal grant monies from U.S. Dept. of Interior Fish and Wildlife Service for the implementation of various programs.

Source of Revenues:

Federal Grants from U.S. Department of the Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grants within the natural areas and watersheds Statewide.

All expenses relating to the YCC (AmeriCorps) grant; support of invasive species control and other approved federal projects within the Natural Area Reserves

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

PARENT ACCOUNT

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	248,536	0					
Beginning Cash Balance	3,177	170,467	93,324	86,275	110,859	110,859	110,859
Revenues	123,775	136,893	990	24,584	0	0	0
Expenditures	29,485	123,036	8,039	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	73,000	(91,000)	0	0			
Net Total Transfers	73,000	(91,000)	0	0	0	0	0
Ending Cash Balance	170,467	93,324	86,275	110,859	110,859	110,859	110,859
Encumbrances	131,086	12,809			0	0	0
Unencumbered Cash Balance	39,381	80,515	86,275	110,859	110,859	110,859	110,859

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 153
 Name of Fund: Fisheries Management
 Legal Authority: 187A-8, HRS

Contact Name: Reginald Kokubun
 Phone: 808-587-0084
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-509-C (Parent Account)

Intended Purpose:

This fund (**app. changed to S-17-596**) was established to account for federal grant monies from the U.S. Department of Commerce's National Oceanographic and Atmospheric Administration (NOAA) to operate and continue improving the Fisheries Information System of Hawaii; prepare summary reports of commercial landings.

Source of Revenues:

NOAA Pacific Fisheries Data Program grant (CFDA 11.437) Western Pacific Fisheries Information Network

Current Program Activities/Allowable Expenses:

Salaries and operating costs for licensing, collecting/recording/processing commercial fish catch and dealer reports, summarizing collected fish catch data.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	84,075	84,075	0	0	150,000	150,000	150,000
Beginning Cash Balance	29,861	26,558	46,541	46,541	46,541	46,541	46,541
Revenues	80,772	104,058	0	0	120,000	132,000	145,200
Expenditures	84,075	84,075	0	0	120,000	132,000	145,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	26,558	46,541	46,541	46,541	46,541	46,541	46,741
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	26,558	46,541	46,541	46,541	46,541	46,541	46,741

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Prevention of Natural Disasters
 Legal Authority: Act 134, SLH 2013

Contact Name: Edwin Matsuda
 Phone: 587-0268
 Fund type (MOF) Other Federal Funds- P
 Appropriation Acct. No. S-510-C

Intended Purpose:

Parent account for roll-over federal funds received from the Federal Emergency Management Agency to carry out Flood Plain Management activities and

Source of Revenues:

Roll-over funds

Current Program Activities/Allowable Expenses:

Program activities, including but not limited to: maintain/update of the Statewide Flood Control plan; National Flood Insurance Program; prepare post-flood

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	48,381	62,548	28,002	28,002	28,002	28,002	28,002
Revenues	361,612	0	0	0	0	0	0
Expenditures	111,597	323	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(235,848)	(34,223)		0	0	0	0
Net Total Transfers	(235,848)	(34,223)	0	0	0	0	0
Ending Cash Balance	62,548	28,002	28,002	28,002	28,002	28,002	28,002
Encumbrances	323	0	0	0	0	0	0
Unencumbered Cash Balance	62,225	28,002	28,002	28,002	28,002	28,002	28,002

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: NCRS-Conservation Program
 Legal Authority: Non-appropriated

Contact Name: Malia Nanbara
 Phone: 7-4174
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-637-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the NRCS Conservation Program

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for the NRCS Conservation Program

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant will be fully implemented FY 19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				60,570			
Beginning Cash Balance		0	0	0	0	0	0
Revenues					60,570	0	0
Expenditures					60,570	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				57,570	0	0	0
Unencumbered Cash Balance	0	0	0	(57,570)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forestry Resource Management & Development
 Legal Authority: Section 195F-4, HRS

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-513-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of various programs under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

Operate the Central Tree Nursery in Kamuela; plant trees; review and update the forest resource inventory; and provide private landowners with funds, seedlings, and technical forestry assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to the fluctuating grant awarded amount.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	290,000	242,312	0	133,050	64,760	100,000	100,000
Beginning Cash Balance	35,870	60,361	88,067	35,159	70,393	45,393	25,393
Revenues	204,949	277,028	251,149	90,082	100,000	100,000	100,000
Expenditures	180,458	250,322	304,057	54,848	125,000	120,000	125,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		1,000	0	0			
Net Total Transfers	0	1,000	0	0	0	0	0
Ending Cash Balance	60,361	88,067	35,159	70,393	45,393	25,393	393
Encumbrances	13,710	64,462	147,542	9,486	25,000	25,000	25,000
Unencumbered Cash Balance	46,651	23,605	(112,383)	60,907	20,393	393	(24,607)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forest Legacy
 Legal Authority: Act 134, SLH 2013

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-516-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Forest Legacy Administration Grant under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

The Forest Legacy Program protects "working forests", those that protect water quality, provide habitat and forest products opportunities for recreation and other benefits through conservation land acquisitions. A primary focus of this Program is to educate private landowners on the importance of protecting their forest lands, and acquire threatened forest lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0		60,000	40,000	30,000	30,000	30,000
Beginning Cash Balance	2,433	30,593	43,361	26,068	45,660	63,660	75,660
Revenues	29,248	16,984	55,478	49,542	45,000	42,000	42,000
Expenditures	1,088	4,216	72,771	29,950	27,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	30,593	43,361	26,068	45,660	63,660	75,660	87,660
Encumbrances	0		17,400	0	3,000	3,000	3,000
Unencumbered Cash Balance	30,593	43,361	8,668	45,660	60,660	72,660	84,660

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forest Stewardship Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-517-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Forest Stewardship Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

Forest Stewardship Program Provides technical assistance, through State Forestry Agency partners, to non-industrial private owners to encourage and enable active long-term forest management. A primary focus of the program is the development of comprehensive, multi-resource management plans that provide landowners with the information they need to manage their forests for a variety of products and services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	297,000		0	167,850	130,000	130,000
Beginning Cash Balance	37,314	34,703	25,962	46,083	26,249	26,249	26,249
Revenues	73,667	58,533	91,962	45,791	100,000	110,000	120,000
Expenditures	86,278	82,274	71,841	65,625	100,000	110,000	125,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	10,000	15,000	0	0	0	0	0
Net Total Transfers	10,000	15,000	0	0	0	0	0
Ending Cash Balance	34,703	25,962	46,083	26,249	26,249	26,249	21,249
Encumbrances	0	9,513	9,513	76,660	80,000	80,000	80,000
Unencumbered Cash Balance	34,703	16,449	36,570	(50,411)	(53,751)	(53,751)	(58,751)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Cooperative Lands Forest Health
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Hauff
 Phone: 587-4174
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-518-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects having to do with monitoring and control of forests pests statewide.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	266,519	1,060,000		0	415,000	500,000	500,000
Beginning Cash Balance	151,357	118,779	88,996	66,372	112,613	172,613	232,613
Revenues	115,730	157,326	306,870	349,811	380,000	400,000	420,000
Expenditures	148,308	187,109	329,494	303,570	320,000	340,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	118,779	88,996	66,372	112,613	172,613	232,613	302,613
Encumbrances	142,801	125,099	287,741	154,706	160,000	160,000	160,000
Unencumbered Cash Balance	(24,022)	(36,103)	(221,369)	(42,093)	12,613	72,613	142,613

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forestry Health Protection - Invasive Plants
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Hauff
 Phone: 587-4174
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-519-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects having to do with monitoring and control of forests pests statewide.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

NO ANTICIPATED GRANT FY 19 ONWARDS

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	515,000	515,000		0	0		
Beginning Cash Balance	169,474	4,706	7,722	12,511	6,702	0	0
Revenues	605,627	112,349	342,572	60,079			
Expenditures	770,395	210,833	337,783	65,888	6,702		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	101,500	0	0			
Net Total Transfers	0	101,500	0	0	0	0	0
Ending Cash Balance	4,706	7,722	12,511	6,702	0	0	0
Encumbrances	108,005	377,865	39,411	6,927			
Unencumbered Cash Balance	(103,299)	(370,143)	(26,900)	(225)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 153
 Name of Fund: Interjurisdictional Fisheries Act
 Legal Authority: 187A-8, HRS

Contact Name: Reginald Kokubun
 Phone: 808-587-0084
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-520-C (Sub- Account)

Intended Purpose:

This fund was established to account for federal grant funds from the U.S. Department of Commerce's National Oceanographic and Atmospheric Administration (NOAA) to operate and maintain the Commercial Marine Licensing System.

Source of Revenues:

NOAA Pacific fisheries Data Program grant (CFDA 11.437), funds from the Interjurisdictional Fisheries Act

Current Program Activities/Allowable Expenses:

Funds are used to maintain and improve the State of Hawaii's on-line Commercial Marine Licensing System and Fishing Reports; includes software, maintenance, internet licensing fees, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	360,898					112,000	112,000
Beginning Cash Balance	4,998	10,255	10,255	13,654	7,125	7,825	14,825
Revenues	42,519	35,784	42,054	40,087	57,500	92,000	98,000
Expenditures	37,262	35,784	38,655	46,616	56,800	85,000	89,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0					
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,255	10,255	13,654	7,125	7,825	14,825	23,825
Encumbrances	1,982	10,471	10,471	165,594	172,000	14,500	21,000
Unencumbered Cash Balance	8,273	(216)	3,183	(158,469)	(164,175)	325	2,825

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Humpback What National Marine Sanctuary
 Legal Authority: 187A-9, HRS

Contact Name: Brian Neilson
 Phone: 808-587-0106
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No. S-523-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program, to provide support for the State of Hawaii co-management of Hawaiian Islands Humpback Whale National Marine Sanctuary.

Source of Revenues:

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program MOA (CFDA 11.429)

Current Program Activities/Allowable Expenses:

Salary and operating costs for personnel co-managing the Humpback Whale National Marine Sanctuary.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	85,500		220,000	0	150,000	140,000	150,000
Beginning Cash Balance	31,292	2,214	24,773	33,995	40,209	44,209	50,209
Revenues	139,651	63,849	9,222	125,765	142,000	138,000	140,000
Expenditures	129,360	25,833	0	119,551	138,000	132,000	138,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(39,369)	(15,457)	0	0			
Net Total Transfers	(39,369)	(15,457)	0	0	0	0	0
Ending Cash Balance	2,214	24,773	33,995	40,209	44,209	50,209	52,209
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2,214	24,773	33,995	40,209	44,209	50,209	52,209

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Papahanaumokuaukea Monument
 Legal Authority: 187A-8, HRS

Contact Name: Maria Carnevale
 Phone: 808-587-0099
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-524-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program, to provide support for State of Hawaii co-management of the Papahanaumokuaukea Marine National Monument.

Source of Revenues:

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program MOA (CFDA 11.429)

Current Program Activities/Allowable Expenses:

Salary and operating costs for personnel co-managing the Papahanaumokuaukea Marine National Monument.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	76,000	0	190,000	0	120,000	130,000	140,000
Beginning Cash Balance	67,678	9,137	5,305	35,082	37,050	39,050	42,050
Revenues	115,951	133,320	97,777	96,486	112,000	118,000	132,000
Expenditures	83,470	89,673	68,000	94,518	110,000	115,000	124,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(91,022)	(47,479)	0	0			
Net Total Transfers	(91,022)	(47,479)	0	0	0	0	0
Ending Cash Balance	9,137	5,305	35,082	37,050	39,050	42,050	50,050
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	9,137	5,305	35,082	37,050	39,050	42,050	50,050

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Hawaii Marine Recreational Fishing Survey
 Legal Authority: 187A-8, HRS

Contact Name: Thomas Ogawa
 Phone: 808-587-0093
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-525-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) for collecting fisheries catch data.

Source of Revenues:

NOAA, Pacific Fisheries Data Program Grant (CFDA 11.437)

Current Program Activities/Allowable Expenses:

Salary and operating costs needed to collect current fish catch and effort data from non-commercial fishers via intercept or creel surveys in the main Hawaiian Islands (O'ahu, Kaua'i, Maui, Moloka'i and Hawai'i) and to provide the data and/or any analyses upon request to various resource management agencies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	330,000	285,000	285,000	285,000	340,000	400,000	450,000
Beginning Cash Balance	233	83,178	61,287	104,324	117,781	119,781	122,781
Revenues	390,231	335,572	307,774	179,833	180,000	285,000	352,000
Expenditures	360,465	357,463	264,737	166,376	178,000	282,000	340,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	53,179	0	0				
Net Total Transfers	53,179	0	0	0	0	0	0
Ending Cash Balance	83,178	61,287	104,324	117,781	119,781	122,781	134,781
Encumbrances	73,345	0	20,263	138,887	118,000	110,000	120,000
Unencumbered Cash Balance	9,833	61,287	84,061	(21,106)	1,781	12,781	14,781

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Monk Seals & Sea Turtles Management
 Legal Authority: 187A-8, HRS

Contact Name: Earl Miyamoto
 Phone: 808-832-5017
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-526-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) grant to provide support for State of Hawaii co-management of monk seals and sea turtles in the Hawaiian Islands Humpback National Marine Sanctuary.

Source of Revenues:

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Unallied Science Program grant (CFDA 11.472)

Current Program Activities/Allowable Expenses:

Salaries and operating costs for management, outreach and education programs for monk seals and sea turtles. One continuing grant approved for FY14-FY16; however, funds are dispersed on an annual basis; DO NOT ISSUE SEPARATE YEARLY APPROPRIATION CODES.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	964,443	0	0	0	335,151	350,000	214,849
Beginning Cash Balance	26,717	68,019	75,084	87,825	104,241	107,241	132,241
Revenues	23,216	374,799	447,025	115,911	285,000	345,000	214,000
Expenditures	40,414	367,734	434,284	99,495	282,000	320,000	212,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	58,500	0	0	0			
Net Total Transfers	58,500	0	0	0	0	0	0
Ending Cash Balance	68,019	75,084	87,825	104,241	107,241	132,241	134,241
Encumbrances	801	358,848	39,497	0	12,000	5,000	12,000
Unencumbered Cash Balance	67,218	(283,764)	48,328	104,241	95,241	127,241	122,241

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: HI State ANS Management Plan Support
 Legal Authority: 187A-8, HRS

Contact Name: Brian Neilson
 Phone: 808-587-0106
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-529-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, to implement Hawaii's Aquatic Invasive Species Management Plan

Source of Revenues:

U.S. Department of the Interior, Fish and Wildlife Service, Fish and Wildlife Management Assistance Grant (CFDA 15.608)

Current Program Activities/Allowable Expenses:

Salary and operating costs for implementing the State of Hawaii Aquatic Invasive Species Management Plan, which includes investigating, monitoring, controlling and eradicating invasive species; identifying new invasives.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	24,875	0	48,895	46,600	47,695	50,000	50,000
Beginning Cash Balance	5,000	25,905	30,021	29,252	34,513	38,013	40,413
Revenues	19,625	8,871	26,190	53,975	45,500	45,900	46,000
Expenditures	23,720	4,755	26,959	48,714	42,000	43,500	45,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	25,000		0	0			
Net Total Transfers	25,000	0	0	0	0	0	0
Ending Cash Balance	25,905	30,021	29,252	34,513	38,013	40,413	40,613
Encumbrances	566	0	25,352	20,000	30,000	35,000	36,000
Unencumbered Cash Balance	25,339	30,021	3,900	14,513	8,013	5,413	4,613

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: State Fire Assistance
 Legal Authority: Act 134, SLH 2013

Contact Name: Rob Hauf
 Phone: 587-4174
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-530-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Fire Protection State Fire Assistance/Voluntary Fire Assistance

Source of Revenues:

U.S. Department of Agriculture - Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - State Fire Assistance/Voluntary Fire Assistance

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Anticipated increase in grant funding in FY 20 & 21.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	775,000	400,000	300,000	346,448	360,000	500,000	500,000
Beginning Cash Balance	67,383	175,939	269,636	166,780	170,570	120,570	70,570
Revenues	684,695	673,850	340,518	282,195	350,000	400,000	400,000
Expenditures	577,203	580,153	443,374	278,405	400,000	450,000	450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	1,064		0	0			
Net Total Transfers	1,064	0	0	0	0	0	0
Ending Cash Balance	175,939	269,636	166,780	170,570	120,570	70,570	20,570
Encumbrances	344,693	168,557	55,647	79,357	80,000	85,000	85,000
Unencumbered Cash Balance	(168,754)	101,079	111,133	91,213	40,570	(14,430)	(64,430)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Multi-species Habitat Conservation Plan-Kauai
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-533-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Interior, Fish & Wildlife Service for the implementation of a Multi-Species Habitat Conservation Plan - Kauai

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - protection and recovery of trust resources of the islands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

S-15-533 CLOSED

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	188,447	0	0	0			
Beginning Cash Balance	7,444	2,120	32,090	11,500	11,500	11,500	11,500
Revenues	182,525	247,860	59,836	0	0	0	0
Expenditures	187,849	229,390	80,426	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	11,500	0	0			
Net Total Transfers	0	11,500	0	0	0	0	0
Ending Cash Balance	2,120	32,090	11,500	11,500	11,500	11,500	11,500
Encumbrances	310,402	80,428	0	0	0	0	0
Unencumbered Cash Balance	(308,282)	(48,338)	11,500	11,500	11,500	11,500	11,500

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Endangered Wildlife Program
 Legal Authority: Act 134. SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF): Other Federal - P (Sub Account)
 Appropriation Acct. No.: S-535-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Section 6 - Endangered Wildlife Protection Program.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - funding for programs for supporting the conservation and recovery of Hawaii's threatened and endangered avifauna, as well as operations and maintenance of the captive breeding facilities on Maui and Hawaii Islands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

The variance in expenditures and revenues in between years is due to the encumbrances paid the following year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	865,055	0	833,925	781,208	758,774	800,000	800,000
Beginning Cash Balance	72,342	66,732	150,458	131,757	148,282	148,282	148,282
Revenues	827,499	885,823	658,855	423,940	800,000	800,000	800,000
Expenditures	833,109	802,097	677,556	407,415	800,000	800,000	800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	66,732	150,458	131,757	148,282	148,282	148,282	148,282
Encumbrances	402,190	294,872	342,012	710,365	600,000	600,000	600,000
Unencumbered Cash Balance	(335,458)	(144,414)	(210,255)	(562,083)	(451,718)	(451,718)	(451,718)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Domestic Cannabis Eradication/surpress
 Legal Authority: Act 134, SLH 2013

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No. S-538-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Justice, Drug Enforcement Administration, to supplement State funding for the eradication and suppression of domestic cannabis. Funds are also provided by the U.S. Department of Justice via the Department of Attorney General's Office.

Source of Revenues:

U.S. Department of Justice, Drug Enforcement Administration

Current Program Activities/Allowable Expenses:

Herbicidal eradication of marijuana on State lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	213,363	170,690	105,000	200,000	300,000	300,000	300,000
Beginning Cash Balance	357,977	314,150	281,806	212,397	295,075	370,075	445,075
Revenues	213,363	170,690	105,000	200,000	200,000	200,000	200,000
Expenditures	257,190	203,034	174,409	117,322	125,000	125,000	125,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	314,150	281,806	212,397	295,075	370,075	445,075	520,075
Encumbrances	315	2,179	40,140	13,808	13,000	13,000	13,000
Unencumbered Cash Balance	313,835	279,627	172,257	281,267	357,075	432,075	507,075

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Joint Enforcement Agreement (JEA)
 Legal Authority: Act 134, SLH 2013

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-539-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the Joint Enforcement Agreement between the U.S. Department of Commerce, Commerce, National Oceanic Atmospheric Administration and the State Dept of Land and Natural Resources

Source of Revenues:

U.S. Department of Commerce, National Oceanic and Atmospheric Administration

Current Program Activities/Allowable Expenses:

This fund was established to account for federal grant monies received from the Joint Enforcement Agreement between the U.S. Department of Commerce, Commerce, National Oceanic Atmospheric Administration and the State Dept of Land and Natural Resources

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Rev & Exp were less than expected in FY2018 due to the boat purchase that had been encumbered, but had not been delivered by end of plan year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	629,743	598,184	631,864	595,624	402,114	425,000	425,000
Beginning Cash Balance	271,926	420,678	590,838	473,611	644,750	644,750	644,750
Revenues	250,808	689,485	533,734	244,271	400,000	400,000	400,000
Expenditures	102,056	519,325	650,961	73,132	400,000	400,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	420,678	590,838	473,611	644,750	644,750	644,750	644,750
Encumbrances	11,767	15,859	17,898	387,610	15,000	15,000	15,000
Unencumbered Cash Balance	408,911	574,979	455,713	257,140	629,750	629,750	629,750

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Statewide Endangered Plant Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-541-C (Sub Account)

Intended Purpose:

This fund was established to receive and expend federal grant monies - endangered plant program protection in the natural areas and watersheds statewide.

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - endangered plant protection within the the natural and watersheds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	754,682	1,040,296	725,686	758,775	800,000	900,000	900,000
Beginning Cash Balance	15,999	67,722	26,810	23,619	49,789	99,789	149,789
Revenues	650,323	849,765	652,947	863,637	900,000	900,000	900,000
Expenditures	490,600	981,677	656,138	837,467	850,000	850,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(108,000)	91,000	0	0			
Net Total Transfers	(108,000)	91,000	0	0	0	0	0
Ending Cash Balance	67,722	26,810	23,619	49,789	99,789	149,789	199,789
Encumbrances	948,827	666,038	884,071	806,734	850,000	850,000	850,000
Unencumbered Cash Balance	(881,105)	(639,228)	(860,452)	(756,945)	(750,211)	(700,211)	(650,211)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Yellow - Faced Bee Species
 Legal Authority: Act 134, SLH 2013

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-542-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service for the Yellow-Faced Bee Grant - to conduct collaborative research in the area of Species of Concern and Declining Species and Habitat.

Source of Revenues:

U.S. Department of the Army

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - funding for the research done for one year.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

GRANT CLOSED

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,952	3,952	62,270	7,028	7,028	7,028	7,028
Revenues	0	84,355	3,547	0	0	0	0
Expenditures	0	26,037	58,789	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,952	62,270	7,028	7,028	7,028	7,028	7,028
Encumbrances	84,825	58,789	0	0	0	0	0
Unencumbered Cash Balance	(80,873)	3,481	7,028	7,028	7,028	7,028	7,028

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: KALUANUI PROTECTIVE FENCING
 Legal Authority: A134/SLH 2013

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-544-C (Sub-Account)

Intended Purpose:

This fund was established to receive and expend federal grant monies for Kaluanui Protective Fencing.

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for Kaluanui Protective Fencing

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 17 & 18 revenues and expenditures is due to encumbrances paid the following year. The grant is ended 9/20/18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000					
Beginning Cash Balance	0	0	0	430	2,354	0	0
Revenues	0	0	14,945	79,614	105,441	0	0
Expenditures	0	0	14,515	77,690	107,795	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	430	2,354	0	0	0
Encumbrances	0	0	93,048	22,648	0	0	0
Unencumbered Cash Balance	0	0	(92,618)	(20,294)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Community Assistance Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Carol Tyau Beam
 Phone: 808-587-0267
 Fund type (MOF) Other Federal Funds- P
 Appropriation Acct. No. S-545-C

Intended Purpose:

Operating federal fund for LNR 810 program. This fund was established to account for federal grant monies received from the Federal Emergency

Source of Revenues:

FEMA Community Assistance Program federal grant

Current Program Activities/Allowable Expenses:

Payroll (through FY18), program operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Increase federal fund ceiling (MOF P) to account for anticipated higher grant award amounts.

Variations: The reason the revenues and expenditures exceed a 10% tolerance is primarily due to the grant being awarded. Many of the operating

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	98,105	98,176	95,449	166,398	190,000	190,000	190,000
Beginning Cash Balance	11,197	57,720	103,908	115,713	129,218	80,218	80,218
Revenues	95,313	123,894	71,206	84,960	0	100,000	100,000
Expenditures	85,790	77,706	59,401	71,455	49,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	37,000				0	0	0
Net Total Transfers	37,000	0	0	0	0	0	0
Ending Cash Balance	57,720	103,908	115,713	129,218	80,218	80,218	80,218
Encumbrances	22,768	16,100		1,305	70,000	25,000	25,000
Unencumbered Cash Balance	34,952	87,808	115,713	127,913	10,218	55,218	55,218

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: National Dam Safety Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Denise Manuel
 Phone: 587-0246
 Fund type (MOF): Other Federal Funds- P
 Appropriation Acct. No.: S-546-C

Intended Purpose:

Operating federal fund for LNR 810 program. This fund was established to account for federal grant monies received from the Federal Emergency

Source of Revenues:

FEMA Dam Safety federal grant

Current Program Activities/Allowable Expenses:

Program operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Increase federal fund ceiling (MOF P) to account for anticipated higher grant award amounts.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	85,602	55,843	59,058	53,936	52,709	55,000	65,000
Beginning Cash Balance	23,711	17,871	57,302	59,224	59,224	59,224	59,224
Revenues	59,906	102,028	41,472	37,144	59,224	55,000	55,000
Expenditures	65,746	96,820	39,550	37,144	59,224	55,000	55,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	34,223	0	0	0	0	0
Net Total Transfers	0	34,223	0	0	0	0	0
Ending Cash Balance	17,871	57,302	59,224	59,224	59,224	59,224	59,224
Encumbrances	43,940	0	0	0	59,224	55,000	55,000
Unencumbered Cash Balance	(26,069)	57,302	59,224	59,224	0	4,224	4,224

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Cooperating Technical Partners Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Jesse Colandrea
 Phone: (808)587-0277
 Fund type (MOF) Other Federal Funds- P
 Appropriation Acct. No. S-547-C

Intended Purpose:

Operating federal fund for LNR 810 program. This fund was established to account for federal grant monies received from the Federal Emergency

Source of Revenues:

FEMA Cooperating Technical Partners federal grant

Current Program Activities/Allowable Expenses:

Payroll (through FY18), program operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Increase federal fund ceiling (MOF P) to account for anticipated higher grant award amounts.

Variances:

Variance may occur because of the unknown timeline for the CTP project associated with each FY CTP Grant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	140,000	140,000	140,000	200,000	200,000	260,000	280,000
Beginning Cash Balance	16,903	24,809	18,603	58,884	36,800	36,800	36,800
Revenues	26,830	61,636	261,308	127,195	200,000	140,000	140,000
Expenditures	28,924	67,842	221,027	149,279	200,000	140,000	140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	10,000	0		0	0	0	0
Net Total Transfers	10,000	0	0	0	0	0	0
Ending Cash Balance	24,809	18,603	58,884	36,800	36,800	36,800	36,800
Encumbrances	8,843	99,493	104,670	842	0	0	0
Unencumbered Cash Balance	15,966	(80,890)	(45,786)	35,958	36,800	36,800	36,800

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Poamoho Watershed Protection Program
 Legal Authority: Non-Appropriated

Contact Name: Marigold Zoll
 Phone: 973-9787
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-550-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of the Poamoho Watershed Protection Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects having to do with the Poamoho Watershed Protection Program

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

GRANT ENDED 10/27/2017

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0				
Beginning Cash Balance	0	0	32,172	0	0	0	0
Revenues	113,596	82,465	103,929				
Expenditures	113,596	50,293	136,101				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	32,172	0	0	0	0	0
Encumbrances	113,596	0	105,707				
Unencumbered Cash Balance	(113,596)	32,172	(105,707)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Hawaii Coral Reef Grant Management
 Legal Authority: 187A-8, HRS

Contact Name: Ray Uchimura
 Phone: 808-587-0096
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-551-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) grant to provide support for State of Hawaii Coral Reef Grant Management

Source of Revenues:

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Coral Reef Conservation Program (CFDA 11.482)

Current Program Activities/Allowable Expenses:

Salary and Operating costs for the use in providing for the preservation and protection of Coral Reef Ecosystem through Research Projects and recommended practices.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0					1,900,000	0
Beginning Cash Balance					0	0	180,000
Revenues	0					600,000	600,000
Expenditures	0					420,000	450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers					0	0	0
Ending Cash Balance					0	180,000	330,000
Encumbrances						150,000	300,000
Unencumbered Cash Balance	0	0	0	0	0	30,000	30,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Ka'u Forest Invasives Control
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-554-C (Sub-Account)

Intended Purpose:

This fund was established to receive and expend federal grant monies - Ka'u Forest Invasives Control

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Ka'u Forest Invasives Control

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

GRANT CLOSED 9/30/18

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000						
Beginning Cash Balance		246	568	252	11	11	11
Revenues	7,272	33,448	7,769	1,509	0	0	0
Expenditures	7,026	33,126	8,085	1,750	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	246	568	252	11	11	11	11
Encumbrances	38,973	8,097	0	0	0	0	0
Unencumbered Cash Balance	(38,727)	(7,529)	252	11	11	11	11

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Pu'u Wa-awa'a Forest Reserve Prot & Rest
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-555-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - Pu'u wa'a-wa'a Forest Reserve Protection and Restoration

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Pu'u wa'a-wa'a Forest Reserve Protection and Restoration

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant is ending 9/30/18.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0						
Beginning Cash Balance	1,158	1,237	3,737	11,573	11,610	0	0
Revenues	58,199	116,352	22,872	37	0	0	0
Expenditures	58,120	113,852	15,036	0	11,610	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,237	3,737	11,573	11,610	0	0	0
Encumbrances	126,938	14,941	0	1,381	0	0	0
Unencumbered Cash Balance	(125,701)	(11,204)	11,573	10,229	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Maui Dry Forest Service
 Legal Authority: Unappropriated

Contact Name: _____
 Phone: _____
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-560-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Maui Dryland Forest Revival Project under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

GRANT CLOSED 7/02/17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	225,000	225,000					
Beginning Cash Balance		39,880	2,471	0	0	0	0
Revenues	110,472	80,227	29,296	1,772			
Expenditures	70,592	117,636	31,767	1,772			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	39,880	2,471	0	0	0	0	0
Encumbrances		49,408	31,770	0			
Unencumbered Cash Balance	39,880	(46,937)	(31,770)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Nurseries and Seeds Forest Service
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH 2014

Contact Name: Robert Hauff
 Phone: 587-4174
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-561-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects having to do with nurseries and seed handling and storage per Forest Service.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

GRANT ENDED 7/02/17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	207,000	0	0	0	0		
Beginning Cash Balance		1,550	0	4,198	63	63	63
Revenues	1,550	36,636	76,247	48,210			
Expenditures	0	38,186	72,049	52,345			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,550	0	4,198	63	63	63	63
Encumbrances	0	0	25,000	0			
Unencumbered Cash Balance	1,550	0	(20,802)	63	63	63	63

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Improved Koa Forest Service
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH 2014

Contact Name: Robert Hauff
 Phone: 587-4174
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No. S-562-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for Koa, per Forest Service.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

GRANT ENDED 6/30/2016

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000						
Beginning Cash Balance		687	1,973	15,123	15,123	15,123	15,123
Revenues	57,055	79,246	13,698	0			
Expenditures	56,368	77,960	548	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	687	1,973	15,123	15,123	15,123	15,123	15,123
Encumbrances	78,632	671	0	0			
Unencumbered Cash Balance	(77,945)	1,302	15,123	15,123	15,123	15,123	15,123

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Kau Forest Service
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH

Contact Name: Robert Hauff
 Phone: 587-4174
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-563-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Kau, Forest Service.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant is a 5-year grant ending 6/22/19

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000						
Beginning Cash Balance		0	716	14,448	3,398	0	0
Revenues	0	9,170	170,913	37,909	82,008		
Expenditures	0	8,454	157,181	48,959	85,406		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	716	14,448	3,398	0	0	0
Encumbrances	10,188	213,884	78,390	26,134			
Unencumbered Cash Balance	(10,188)	(213,168)	(63,942)	(22,736)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Recruitment Dynamics of Scleractinian Corals along Kona
 Legal Authority: 187A-8, HRS

Contact Name: Reginald Kokubun
 Phone: 808-587-0084
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-564-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior National Park Service for use in supporting Scleractinian Corals along the Kona Coast of the Big Island of Hawaii.

Source of Revenues:

U.S. Department of Interior, National Park Service, Scleractinian Corals along the KONA Coast (CFDA 15.944)

Current Program Activities/Allowable Expenses:

Salary and operating costs for the use in providing a center for the preservation, interpretation, and perpetuation of traditional native Hawaiian activities and culture, and to demonstrate historic land use patterns as well as to provide a needed resource for the education, enjoyment, and appreciation of such traditional native Hawaiian activities and culture by local residents and visitors.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,250					190,000	0
Beginning Cash Balance		0	7,657	7,431	10,119	10,269	12,769
Revenues	0	22,878	32,256	35,744	10,000	37,500	36,000
Expenditures	0	27,350	32,482	33,056	9,850	35,000	35,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		12,129					
Net Total Transfers	0	12,129	0	0	0	0	0
Ending Cash Balance	0	7,657	7,431	10,119	10,269	12,769	13,769
Encumbrances	31,000	40,179	7,745	8,751	9,500	11,000	12,000
Unencumbered Cash Balance	(31,000)	(32,522)	(314)	1,368	769	1,769	1,769

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Endangered Invertebrate Program
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-567-C (Sub Account)

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the endangered invertebrate program.

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the endangered invertebrate program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 18 & 19 in revenues and expenditures is due to encumbrances paid the following year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	235,000	265,000	265,000	365,000	375,000	375,000
Beginning Cash Balance		8,955	25,355	24,562	36,730	6,730	1,730
Revenues	5,555	157,068	247,970	215,345	220,000	245,000	260,000
Expenditures	6,600	140,668	248,763	203,177	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	10,000						
Net Total Transfers	10,000	0	0	0	0	0	0
Ending Cash Balance	8,955	25,355	24,562	36,730	6,730	1,730	11,730
Encumbrances	89,900	246,102	228,771	347,450	0	0	0
Unencumbered Cash Balance	(80,945)	(220,747)	(204,209)	(310,720)	6,730	1,730	11,730

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: SEPP PREDATOR PROOF EXCLOSURE STRUCTURE
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-568-C

Intended Purpose:

This fund was established to receive and expend federal grant monies for the SEPP Predator Proof Enclosure Structure

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - SEPP Predator Proof Enclosure Structure

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Project implementation are expected to be completed in FY 19 & FY 20. Grant is ends 9/30/19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000						
Beginning Cash Balance		484	484	202	1,658	1,658	0
Revenues	484	394	2,954	1,456	75,000	19,712	0
Expenditures	0	394	3,236	0	75,000	21,370	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	484	484	202	1,658	1,658	0	0
Encumbrances	0	0	0	0	15,000	0	0
Unencumbered Cash Balance	484	484	202	1,658	(13,342)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Nest Collections to Initiate Captive Breeding Program for Akiki/Akekee
 Legal Authority: Non- Appropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-569-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Nest Collections to Initiate Captive Breeding Program for Akiki/Akekee

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	65,000	134,684	148,161	0			
Beginning Cash Balance	0	327	25,517	0	0	0	0
Revenues	327	95,086	111,182	130,122	11,128		
Expenditures		69,896	136,699	130,122	11,128		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	327	25,517	0	0	0	0	0
Encumbrances	62,888	122,102	131,999	1,697			
Unencumbered Cash Balance	(62,561)	(96,585)	(131,999)	(1,697)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Mauna Kea Fire Vehicles
 Legal Authority: Act 134. SLH 2013

Contact Name: _____
 Phone: _____
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-570-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Nongame Management Program - to manage, preserve and protect native avifauna and their habitats.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Mauna Kea Fire Vehicles

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant closed

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	471,389						
Beginning Cash Balance	0	462,708	25,654	13,357	13,357	13,357	13,357
Revenues	471,389	0	0	0			
Expenditures	8,681	437,054	12,297	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	462,708	25,654	13,357	13,357	13,357	13,357	13,357
Encumbrances		436,879	19,176	0			
	436,879	19,176	0				
Unencumbered Cash Balance	462,708	(411,225)	(5,819)	13,357	13,357	13,357	13,357

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Ahihi Kinau Coastal Wetlands
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-571-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the Ahihi Kinau Coastal Wetlands

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Ahihi Kinau Coastal Wetlands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

GRANT CLOSED

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	344,400						
Beginning Cash Balance		22,986	32,560	22,839	32,560	32,560	32,560
Revenues	26,266	120,453	186,164	9,721	0	0	0
Expenditures	3,280	110,879	195,885	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	22,986	32,560	22,839	32,560	32,560	32,560	32,560
Encumbrances	250,000	194,335	0	0	0	0	0
Unencumbered Cash Balance	(227,014)	(161,775)	22,839	32,560	32,560	32,560	32,560

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: HI Hunter Ed Grant-Online Registration
 Legal Authority: Act 124, SLH2016

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-572-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the supplemental online registration option for Basic Hunter Education Courses.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Expenses associated with the maintenance of the online registration system of the Hunter Education Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The development and implementation of the supplemental online registration option for Basic Hunter Education Courses was completed in FY2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	77,195	0	79,920	79,920		0	0
Beginning Cash Balance		0	0	35	62,023	62,023	62,023
Revenues	0	0	34,066	86,002	0	0	0
Expenditures	0	0	34,031	24,014	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	35	62,023	62,023	62,023	62,023
Encumbrances	0	0	26,108	62,023	0	0	0
Unencumbered Cash Balance	0	0	(26,073)	0	62,023	62,023	62,023

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: SEPP Strategic Plan
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-573-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the SEPP Strategic Plan.

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the SEPP Strategic Plan

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	749,202	0	0	0	0		
Beginning Cash Balance		0	0	11,224	18,127	23,127	0
Revenues	0	78,213	332,811	108,422	110,000	119,756	0
Expenditures	0	78,213	321,587	101,519	105,000	142,883	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	11,224	18,127	23,127	0	0
Encumbrances		190,801	64,547	34,743	35,000	0	0
Unencumbered Cash Balance	0	(190,801)	(53,323)	(16,616)	(11,873)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: Kahana Stream Restoration Project
 Legal Authority: Non-Appropriated

Contact Name: Dean Uyeno
 Phone: 587-0249
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-574

Intended Purpose:

Matching funds for fish habitat restoration and hau tree removal project on Kahana Stream, Oahu.

Source of Revenues:

U.S. Fish and Wildlife Management Assistance Award.

Current Program Activities/Allowable Expenses:

Funds are used for vegetation removal and outplanting supplies (e.g., equipment rental, herbicide, weed control fabric, etc.) and contracting vegetation

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in Revenues between FY 2017 & FY 2018 due to unexpended funds remaining from federal grant for project completion.

Variance in Expenditures between FY 2017 & FY 2018 due to unexpended balance remaining upon project completion in September 2017.

Note:

Project ended with unexpended balance. Account to be closed.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		70,000	0	0	0		
Beginning Cash Balance		0	180	0	15,239		0
Revenues		453	37,773	15,458	0	0	0
Expenditures		273	37,953	219	15,239	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	180	0	15,239	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	180	0	15,239	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: S&PF Competitive: Kauai Hardwoods
 Legal Authority: Act 119, SLH 2015

Contact Name: _____
 Phone: _____
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-576-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of State and Private Forestry Competitive Grant: Kauai's Native Hardwoods.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Kaua's Native Hardwoods.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		75,000				0	0
Beginning Cash Balance		0	1,210	990	360	0	0
Revenues		1,371	30,124	20,758	22,747	0	0
Expenditures		161	30,344	21,388	23,107	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,210	990	360	0	0	0
Encumbrances		3,135	8,505	1,495			
Unencumbered Cash Balance	0	(1,925)	(7,515)	(1,135)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: S & PF Competitive - Leeward Haleakala
 Legal Authority: A119/SL 2015

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-577-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of State and Private Forestry Competitive grant: Leeward Haleakala.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Leeward Haleakala

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant is ending 12/31/18

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		226,600					
Beginning Cash Balance		0	0	0	2,392	0	0
Revenues		0	14,841	101,827	109,912	0	0
Expenditures		0	14,841	99,435	112,304	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,392	0	0	0
Encumbrances		0	100,167	35,368	0	0	0
Unencumbered Cash Balance	0	0	(100,167)	(32,976)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Species Recovery/Education (False Killer Whales)
 Legal Authority: 187A-8, HRS

Contact Name: Ray Uchimura
 Phone: 808-587-0096
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-580-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the Department of National Oceanic and Atmospheric Administration, to support the conservation and recovery of False Killer whales (*Pseudorca crassidens*), as well as other endangered cetaceans, in the MHI.

Source of Revenues:

National Oceanic and Atmospheric Administration (NOAA), Unallied Science Program (CFDA 11.472)

Current Program Activities/Allowable Expenses:

Salary and Operating Costs for the use of enhancing conservation and recovery of the insular false killer whale in the main Hawaiian Islands by learning more about the temporal and spatial use patterns of the insular false killer whale population and by targeting outreach to fishers, boaters, and tour operators.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,168,676	0	0	0	1,200,000	0
Beginning Cash Balance		0	1,302	42,004	22,497	27,497	67,497
Revenues	0	18,777	278,724	442,178	265,000	420,000	300,000
Expenditures	0	17,475	238,022	461,685	260,000	380,000	260,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,302	42,004	22,497	27,497	67,497	107,497
Encumbrances		273,656	429,810	260,321	25,000	45,000	52,000
Unencumbered Cash Balance	0	(272,354)	(387,806)	(237,824)	2,497	22,497	55,497

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: REINTRODUCTION AND RECOVERY OF THE ALALA
 Legal Authority: A119/SL 15

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-581-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Reintroduction and Recovery of the Alala.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Restoration of Mauna Kea Dry Forest

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		41,577	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			387	38,267	2,923	0	0
Expenditures			387	38,267	2,923	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			34,523	0	0	0	0
Unencumbered Cash Balance	0	0	(34,523)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Restoration of Mauna Kea Dry Forest
 Legal Authority: A119/SL 15

Contact Name: _____
 Phone: _____
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-582-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Restoration of Mauna Kea Dry Forest

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Restoration of Mauna Kea Dry Forest

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to encumbrances paid the following year

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		249,496					
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues		0	144,869	34,173	70,454	0	0
Expenditures		0	144,869	34,173	70,454	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		112,955	34,177	69,423	0	0	0
Unencumbered Cash Balance	0	(112,955)	(34,177)	(69,423)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Kohala Mountain Biodiversity Protection
 Legal Authority: A119/SL 2015

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-16-584

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the Kohala Mountain Biodiversity Protection

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Kohala Mountain Biodiversity Protection

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 18 & 19 in revenues and expenditures is due to encumbrances paid the following year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		250,000				0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues		0	0	5,000	245,000	0	0
Expenditures		0	0	5,000	245,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			242,500	237,500	0	0	0
Unencumbered Cash Balance	0	0	(242,500)	(237,500)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Enhancing Rare Native Invertebrates
 Legal Authority: A119/SL 2015

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No. S-585

Intended Purpose:

This fund was established to receive and expend federal grant monies - for enhancing rare native invertebrates.

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for enhancing rare native invertebrates.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 18 & 19 in revenues and expenditures is due to encumbrances paid the following year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		248,949				0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues		0	0	42,364	206,585	0	0
Expenditures		0	0	42,364	206,585	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		58,800	135,652	169,632	0	0	0
Unencumbered Cash Balance	0	(58,800)	(135,652)	(169,632)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: KAUAI SEABIRD HCP COORDINATION FY16
 Legal Authority: Non-appropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-589-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Kauai Seabird HCP Coordination FY 16

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Kauai Seabird HCP Coordination

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to encumbrances paid the following year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		559,990					
Beginning Cash Balance	0	0	0	0	31,333	0	0
Revenues		0	183,957	143,217	232,816	0	0
Expenditures		0	183,957	111,884	264,149	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	31,333	0	0	0
Encumbrances			324,141	189,915	0	0	0
Unencumbered Cash Balance	0	0	(324,141)	(158,582)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: OHIA Wilt
 Legal Authority: Non-Appropriated

Contact Name: Robert Hauff
 Phone: 7-4174
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-590-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of State and Private Forestry Competitive grant; Ohia Wilt.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Ohia Wilt.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		608,000					
Beginning Cash Balance		0	5,067	37,884	33,673	33,673	0
Revenues		12,178	110,517	185,666	200,000	99,639	0
Expenditures		7,111	77,700	189,877	200,000	133,312	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	5,067	37,884	33,673	33,673	0	0
Encumbrances		83,610	207,093	209,227	75,000	0	0
Unencumbered Cash Balance	0	(78,543)	(169,209)	(175,554)	(41,327)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: HI Anchialine Habitats Inventory
 Legal Authority: 187A-8, HRS

Contact Name: Troy Sakihara
 Phone: 808-933-3347
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-594-C (Non-Appropriated)

Intended Purpose:

This fund was established to account for federal grant monies received from the Department of National Oceanic and Atmospheric Administration,

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Coastal Program (CFDA 15.630)

Current Program Activities/Allowable Expenses:

Operating Cost to conduct Comprehensive Inventory of Anchialine Habitats throughout Maui County and Oahu.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		11,944	0			15,000	15,000
Beginning Cash Balance		0	0	11,769	8,849	9,349	10,849
Revenues		0	11,944	0	8,000	14,000	14,500
Expenditures		0	175	2,920	7,500	12,500	12,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	11,769	8,849	9,349	10,849	12,849
Encumbrances			890		8,500	2,500	6,000
Unencumbered Cash Balance	0	0	10,879	8,849	849	8,349	6,849

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Landscape Scale Rest. & Reforestation
 Legal Authority: Non-Appropriated

Contact Name: Robert Hauff
 Phone: 7-4174
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-595-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Landscape Scale Restoration and Reforestation.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Landscape Scale Restoration and Reforestation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	300,000				
Beginning Cash Balance		0	0	0	9,306	0	0
Revenues		0	0	158,122	141,878	0	0
Expenditures		0	0	148,816	151,184	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	9,306	0	0	0
Encumbrances			134,755	138,267	0	0	0
Unencumbered Cash Balance	0	0	(134,755)	(128,961)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: SONG-METERS FOR KAUAI FOREST BIRDS
 Legal Authority: Non-Appropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-601-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Ground-truthing Model Predictions for song-meters for Kauai Forest Birds

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Kauai Seabird HCP Coordination

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant closed 12/31/17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			27,209				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			23,467	1,300	0		
Expenditures			23,467	1,300	0		
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: LIDAR ACQUISITION FOR ALAKAI
 Legal Authority: Non-appropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-602-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Lidar Acquisition for Alakai

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Lidar Acquisition for Alakai

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended 12/31/17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			85,952				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			77,184	3,928	0	0	0
Expenditures			77,184	3,928	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				263	0	0	0
Unencumbered Cash Balance	0	0	0	(263)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Genetic Analysis of Akikiki and Akekee
 Legal Authority: Non-appropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF): Other Federal - P (Sub Account)
 Appropriation Acct. No. S-604-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Genetic Analysis of Akikiki and Akekee

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Genetic Analysis of Akikiki and Akekee

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended 12/31/17

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			14,504				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			9,905	2,450	0	0	0
Expenditures			9,905	2,450	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			2,450	0	0	0	0
Unencumbered Cash Balance	0	0	(2,450)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Creating Yellow Faced Bees Nest Habitat
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-605-C

Intended Purpose:

This fund was established to receive and expend federal grant monies for blackburns sphinx moth genetics and habitat assessment

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - blackburns sphinx moth genetics and habitat assessment

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			53,009	0			
Beginning Cash Balance		0	0	0	0	0	0
Revenues			513	23,789	28,707	0	0
Expenditures			513	23,789	28,707	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			46,226	22,437	0	0	0
Unencumbered Cash Balance	0	0	(46,226)	(22,437)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Creating Yellow Faced Bees Nest Habitat
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-606-C

Intended Purpose:

This fund was established to receive and expend federal grant monies in creating yellow faced bee nest habitat

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects in creating yellow faced bee nest habitat

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends 9/30/19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			95,992	0			
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	23,701	25,000	47,291	0
Expenditures			0	23,701	25,000	47,291	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			23,700	7,676	45,000	0	0
Unencumbered Cash Balance	0	0	(23,700)	(7,676)	(45,000)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: RESTRE & REINTRO EWA CHAFF-FLWR & AKOKO
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-608-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the restoration and reintroduction of Ewa Chaff-flower and Akoko

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the restoration and reintroduction of Ewa Chaff-flower and Akoko

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 18 & 19 in revenues and expenditures is due to encumbrances paid the following year. Grant ends 9/30/19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			158,424				
Beginning Cash Balance		0	0	0	0	0	0
Revenues			9,851	24,276	95,000	29,297	0
Expenditures			9,851	24,276	95,000	29,297	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	82,925	25,000	0	0
Unencumbered Cash Balance	0	0	0	(82,925)	(25,000)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Olaa Kilauea Forest Protection
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-610-C

Intended Purpose:

This fund was established to receive and expend federal grant monies for the Olaa Kilauea Forest Protection

Source of Revenues:

U.S, Department of Agriculture - Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for the Olaa Kilauea Forest Protection

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 18 & 19 in revenues and expenditures is due to encumbrances paid the following year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			175,000				
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	60,216	114,784	0	0
Expenditures			0	60,216	114,784	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	79,875	0	0	0
Unencumbered Cash Balance	0	0	0	(79,875)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Promoting Hawaiian Grown Wood
 Legal Authority: Non-appropriated

Contact Name: Phlipp LaHaela Walter
 Phone: 7-4169
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-611-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Promoting Hawaiian Grown Wood.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service.

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Promoting Hawaiian Grown Wood.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			42,240				
Beginning Cash Balance		0	0	0	1,863	0	0
Revenues			0	12,289	19,951	10,000	0
Expenditures			0	10,426	21,814	10,000	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,863	0	0	0
Encumbrances			0	12,062	9,000	0	0
Unencumbered Cash Balance	0	0	0	(10,199)	(9,000)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Hawaii Tree Mortality
 Legal Authority: Non-appropriated

Contact Name: Robert Hauff
 Phone: 7-4174
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-612-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Hawaii Tree Mortality Projects.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for Hawaii Tree Mortality.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Projects will be fully implemented in FY 19 & FY 20

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			750,000				
Beginning Cash Balance		0	0	0	1,099	11,099	0
Revenues			0	71,033	300,000	378,967	0
Expenditures			0	69,934	290,000	390,066	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,099	11,099	0	0
Encumbrances			0	3,400	200,000	0	
Unencumbered Cash Balance	0	0	0	(2,301)	(188,901)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Wolbachia-Culex
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-613-C

Intended Purpose:

This fund was established to receive and expend federal grant monies for the Wolbachia-Culex

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for the Wolbachia-Culex

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 18 & 19 in revenues and expenditures is due to encumbrances paid the following year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				50,000	0		
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	8,818	41,182	0	0
Expenditures			0	8,818	41,182	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: USFS Rapid Ohia Death
 Legal Authority: Non-appropriated

Contact Name: Robert Hauff
 Phone: 7-4174
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-18-617

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the Rapid Ohia Death.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for Rapid Ohia Death.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Projects will be fully implemented in FY 19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				249,400			
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	25,861	223,539		
Expenditures			0	25,861	223,539		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	115,073			
Unencumbered Cash Balance	0	0	0	(115,073)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Kokee Wildland Urban Interface Project
 Legal Authority: Act 49, SLH 2017

Contact Name: _____
 Phone: _____
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-618-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the Kokee Wildland Urban Interface Project

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for the Kokee Wildland Urban Interface Project.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Projects will be fully implemented in FY 19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				155,100			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	155,100	0	0
Expenditures				0	155,100	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				7,188	0	0	0
Unencumbered Cash Balance	0	0	0	(7,188)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Puuwaawaa Fire Special
 Legal Authority: Non-appropriated

Contact Name: Robert Hauff
 Phone: 7-4174
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-622-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the Puuwaawaa Fire Special

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for the Puuwaawaa Fire Special

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				500,000			
Beginning Cash Balance		0	0	0	34,351	44,351	19,351
Revenues			0	54,994	150,000	150,000	145,006
Expenditures			0	20,643	140,000	175,000	164,357
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	34,351	44,351	19,351	0
Encumbrances			0	144,998			
Unencumbered Cash Balance	0	0	0	(110,647)	44,351	19,351	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: USDA Aphis for Rapid Ohia Death
 Legal Authority: Non-appropriated

Contact Name: Robert Hauff
 Phone: 7-4174
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-624-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for Rapid Ohia Death

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for Rapid Ohia Death (APHIS).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant will be fully implemented FY 19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				120,000			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	120,000		
Expenditures				0	120,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	49,850			
Unencumbered Cash Balance	0	0	0	(49,850)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: HI Anchialine Habitats Inventory
 Legal Authority: Non-appropriated

Contact Name: Troy Sakihara
 Phone: 808-933-3347
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-630-C

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				8,000			
Beginning Cash Balance		0	0	0	1,954	2,054	2,154
Revenues				2,272	2,500	2,000	1,200
Expenditures			0	318	2,400	1,900	1,100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,954	2,054	2,154	2,254
Encumbrances				350	200	350	300
Unencumbered Cash Balance	0	0	0	1,604	1,854	1,804	1,954

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Port Security Grant
 Legal Authority: Non-appropriated

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-632-C

Intended Purpose:

This fund was established to account for federal grant monies from the Department of Homeland Security for the FY 2017 Port Security Grant.

Source of Revenues:

Department of Homeland Security, Federal Emergency Management Agency

Current Program Activities/Allowable Expenses:

Port Security Grant Program/Furnishing and delivering of one (1) patrol boat and one (1) trailer for port security and other recreational boating activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expected completion of Port Security Grant boat and trailer is FY2019.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	272,936	0	0
Expenditures			0	0	272,936	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				272,936	0	0	0
Unencumbered Cash Balance	0	0	0	(272,936)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Kauai Seabird HCP
 Legal Authority: Non-appropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-633-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Kauai Seabird HCP

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for Kauai Seabird HCP.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended 6/30/18

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues				31,331	0	0	0
Expenditures			0	31,331	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: NCRS-Conservation Program
 Legal Authority: Non-appropriated

Contact Name: Malia Nanbara
 Phone: 7-4174
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No. S-637-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the NRCS Conservation Program

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for the NRCS Conservation Program

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant will be fully implemented FY 19.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				60,570			
Beginning Cash Balance		0	0	0	0	0	0
Revenues					60,570	0	0
Expenditures					60,570	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				57,570	0	0	0
Unencumbered Cash Balance	0	0	0	(57,570)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Conservation and Resources Enforcement
 Legal Authority: Act 296, SLH 1996

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-302-C

Intended Purpose:

This fund was administratively established to receive funds from the Boating Special Fund to fund marine patrol responsibilities that were transferred from the Department of Public Safety pursuant to Act 296, SLH 1996.

Source of Revenues:

Funds received from the Boating Special Fund.

Current Program Activities/Allowable Expenses:

Expenses associated with the enforcement of marine boating and ocean recreation rules relating to boating safety, conservation, and search and rescue.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expected decrease in expenditures from FY 19 onward due to transfer of personnel from special funds to general funds.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,626,083	1,952,117	1,952,117	2,111,339	464,671	464,671	464,671
Beginning Cash Balance	10,521	46,180	100,367	154,180	434,123	312,423	190,723
Revenues	402	504	3,137	3,334	3,300	3,300	3,300
Expenditures	1,408,386	1,509,989	1,861,830	1,891,932	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	1,443,643	1,563,672	1,912,506	2,168,541	175,000	175,000	175,000
Net Total Transfers	1,443,643	1,563,672	1,912,506	2,168,541	175,000	175,000	175,000
Ending Cash Balance	46,180	100,367	154,180	434,123	312,423	190,723	69,023
Encumbrances	51,527	125,589	169,022	180,513	10,000	10,000	10,000
Unencumbered Cash Balance	(5,347)	(25,222)	(14,842)	253,610	302,423	180,723	59,023

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR405	B	2 S		2016	302	DA	(6,834.14)	JRNL	972		00JS0504	8/11/2017
LNR405	B	2 S		2017	302	DA	(20,000.00)	JRNL	972		00JS0052	7/12/2017
LNR405	B	2 S		2017	302	DA	34,653.61	JRNL	971		00JS0503	8/11/2017
LNR405	B	2 S		2017	302	DA	6,834.14	JRNL	971		00JS0504	8/11/2017
LNR405	B	2 S		2018	302	DA	(21,113.00)	JRNL	972	RISK MGT	00JM3313	12/31/2017
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS2902	1/11/2018
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS3037	1/17/2018
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS3050	1/18/2018
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS3260	1/31/2018
LNR405	B	2 S		2018	302	DA	100,000.00	JRNL	971		00JS3478	2/15/2018
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS3577	2/22/2018
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS3723	2/28/2018
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS3793	3/7/2018
LNR405	B	2 S		2018	302	DA	100,000.00	JRNL	971		00JS4019	3/20/2018
LNR405	B	2 S		2018	302	DA	100,000.00	JRNL	971		00JS4352	4/12/2018
LNR405	B	2 S		2018	302	DA	200,000.00	JRNL	971		00JS4540	4/20/2018
LNR405	B	2 S		2018	302	DA	100,000.00	JRNL	971		00JS4688	4/30/2018
LNR405	B	2 S		2018	302	DA	100,000.00	JRNL	971		00JS4765	5/3/2018
LNR405	B	2 S		2018	302	DA	100,000.00	JRNL	971		00JS4813	5/7/2018
LNR405	B	2 S		2018	302	DA	100,000.00	JRNL	971		00JS4579	4/23/2018
LNR405	B	2 S		2018	302	DA	20,000.00	JRNL	971		00JS0052	7/12/2017
LNR405	B	2 S		2018	302	DA	250,000.00	JRNL	971		00JS0055	7/12/2017
LNR405	B	2 S		2018	302	DA	100,000.00	JRNL	971		00JS0503	8/11/2017
LNR405	B	2 S		2018	302	DA	60,000.00	JRNL	971		00JS0719	8/28/2017
LNR405	B	2 S		2018	302	DA	80,000.00	JRNL	971		00JS1201	9/26/2017
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS1457	10/10/2017
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS1498	10/11/2017
LNR405	B	2 S		2018	302	DA	65,000.00	JRNL	971		00JS1926	11/3/2017
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS2054	11/13/2017
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS2174	11/21/2017
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS2231	11/24/2017
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS2514	12/13/2017
LNR405	B	2 S		2018	302	DA	50,000.00	JRNL	971		00JS2696	12/27/2017
							2,168,540.61					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 141
 Name of Fund: Water and Land Development
 Legal Authority: Act 49, SLH 2017

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-303-C

Intended Purpose:

Operating special fund for geothermal/mineral resource management responsibilities and address public safety related to rockfalls or slope movement on lands under the jurisdiction of DLNR.

Source of Revenues:

Investment Pool proceeds; transfer of vacation earned with other departments.

Current Program Activities/Allowable Expenses:

Payroll, fringe benefits and operating expenses for program activities

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	702,663	709,916	709,916	772,550	772,550	772,550	772,550
Beginning Cash Balance	1,611,636	1,730,949	1,901,096	1,341,396	1,581,168	1,913,718	2,196,268
Revenues	7,640	4,040	12,714	10,447	10,000	10,000	10,000
Expenditures	587,876	485,409	379,126	438,220	450,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	699,549	651,516	(193,288)	667,545	772,550	772,550	772,550
Net Total Transfers	699,549	651,516	(193,288)	667,545	772,550	772,550	772,550
Ending Cash Balance	1,730,949	1,901,096	1,341,396	1,581,168	1,913,718	2,196,268	2,478,818
Encumbrances	379,327	212,480	141,746	476,138	500,000	500,000	500,000
Unencumbered Cash Balance	1,351,622	1,688,616	1,199,650	1,105,030	1,413,718	1,696,268	1,978,818

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR141	B	2 S		2017	303	DO	(800,000.00)	JRNL	972		00JS0052	7/12/2017
LNR141	B	2 S		2017	303	DO	(402,188.17)	JRNL	972		00JS0665	8/23/2017
LNR141	B	2 S		2018	303	DO	(2,737.00)	JRNL	972	RISK MGT	00JM3313	12/31/2017
LNR141	B	2 S		2018	303	DO	800,000.00	JRNL	971		00JS0052	7/12/2017
LNR141	B	2 S		2018	303	DO	386,275.00	JRNL	971		00JS0540	8/15/2017
LNR141	B	2 S		2018	303	DO	402,188.17	JRNL	971		00JS0665	8/23/2017
LNR141	B	2 S		2018	303	DO	(102,268.00)	JRNL	972		00JS0998	9/14/2017
LNR141	B	2 S		2018	303	DO	<u>386,275.00</u>	JRNL	971		00JS2380	11/30/2017
							<u>667,545.00</u>					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 806
 Name of Fund: Park Development and Operation
 Legal Authority: Administratively Established within Special Funds, 184-3.4 HRS
Funded directly by 237D-6.5 HRS, as amended by Act 161 SLH 2013

Contact Name: Curt A Cottrell/Piikea Tomczyk
 Phone: 587-0290/587-0304
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-305-C

Intended Purpose:

Act 120, SLH 2000, established the State Park Special Fund to maintain and operate the State Parks system.

Source of Revenues:

Transient Accomodations tax

Current Program Activities/Allowable Expenses:

To supplement the general funds for land-related repairs and maintenance of State Parks facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

There will an attempt to increase funding for S-305 for SLH 2019 through the TAT funds

Variances:

Projected lifeguard contracts have been steadily increasing in costs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500,000	2,500,000	2,000,000	2,000,000	2,000,000	5,500,000	5,500,000
Beginning Cash Balance	220,344	136,287	74,726	982,776	648,708	5,000	10,000
Revenues	780	281	2,004,878	6,601	5,000	5,000	5,000
Expenditures	84,837	61,842	1,096,828	2,240,669	2,548,708	3,000,000	3,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				1,900,000	1,900,000	3,000,000	3,000,000
Net Total Transfers	0	0	0	1,900,000	1,900,000	3,000,000	3,000,000
Ending Cash Balance	136,287	74,726	982,776	648,708	5,000	10,000	15,000
Encumbrances	31,343	26,360	416,043	483,655	5,000	10,000	15,000
Unencumbered Cash Balance	104,944	48,366	566,733	165,053	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR806	B	2 S		2016	305	KEQ	(29.28)	JRNL	972		00JS0052	7/12/2017
LNR806	B	2 S		2017	305	KEQ	(350,000.00)	JRNL	972		00JS0052	7/12/2017
LNR806	B	2 S		2017	305	KEQ	(219,811.48)	JRNL	972		00JS0665	8/23/2017
LNR806	B	2 S		2018	305	KEQ	316,666.00	JRNL	971	STATE PA	00JS3418	2/13/2018
LNR806	B	2 S		2018	305	KEQ	158,333.00	JRNL	971	STATE PA	00JS3674	2/28/2018
LNR806	B	2 S		2018	305	KEQ	158,333.00	JRNL	971	STATE PA	00JS4172	3/31/2018
LNR806	B	2 S		2018	305	KEQ	158,333.00	JRNL	971	STATE PA	00JS4578	4/23/2018
LNR806	B	2 S		2018	305	KEQ	158,333.00	JRNL	971	STATE PA	00JS5295	5/31/2018
LNR806	B	2 S		2018	305	KEQ	158,337.00	JRNL	971	STATE PA	00JS5836	6/28/2018
LNR806	B	2 S		2018	305	KEQ	350,029.28	JRNL	971		00JS0052	7/12/2017
LNR806	B	2 S		2018	305	KEQ	219,811.48	JRNL	971		00JS0665	8/23/2017
LNR806	B	2 S		2018	305	KEQ	633,332.00	JRNL	971	STATE PA	00JS1647	10/17/2017
LNR806	B	2 S		2018	305	KEQ	158,333.00	JRNL	971	STATE PA	00JS2653	12/22/2017
							1,900,000.00					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Na Ala Hele Program
 Legal Authority: Act 200, SLH 2003

Contact Name: Michael Millay
 Phone: 587-4175
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-306-C

Intended Purpose:

This fund was established by Act 67, SLH 1988, to collect proceeds from hunting license fees, law violation fines, hunter training fees and charges for use of public target ranges. Authorized expenditures include matching funds for federal grants-in-aid,

Source of Revenues:

Funds collected via the Highway Fuel Tax under Chapter 243, Hawaii Revised Statutes (HRS), to implement the Hawaii Statewide Trail and Access Program (Na Ala Hele).

Current Program Activities/Allowable Expenses:

Activities include the planning, developing, acquiring land or rights for public use of land, constructing, restoring, engaging in coordination activities and managing the trails and the trail access system for public hunting; development and implementation of management plans targeting public hunting.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance is due to lesser encumbrances for FY 18 to be paid in FY 19

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,207,912	1,082,471	1,098,414	1,155,431	1,155,431	1,155,431	1,155,431
Beginning Cash Balance	46,226	437,150	119,623	160,726	35,545	5,545	545
Revenues	272,730	283,077	283,553	286,448	295,000	320,000	350,000
Expenditures	629,085	845,051	486,369	656,201	575,000	575,000	575,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	747,279	244,447	243,919	244,572	250,000	250,000	250,000
Net Total Transfers	747,279	244,447	243,919	244,572	250,000	250,000	250,000
Ending Cash Balance	437,150	119,623	160,726	35,545	5,545	545	25,545
Encumbrances	263,127	100,868	82,636	29,897			
Unencumbered Cash Balance	174,023	18,755	78,090	5,648	5,545	545	25,545

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR804	B	2 S		2016	306	DA	(87.01)	JRNL	972		00JS0052	7/12/2017
LNR804	B	2 S		2016	306	DA	(54,675.70)	JRNL	972		00JS0665	8/23/2017
LNR804	B	2 S		2017	306	DA	(5,000.00)	JRNL	972		00JS0052	7/12/2017
LNR804	B	2 S		2017	306	DA	(18,394.24)	JRNL	972		00JS0665	8/23/2017
LNR804	B	2 S		2018	306	DA	(5,428.00)	JRNL	972	RISK MGT	00JM3313	12/31/2017
LNR804	B	2 S		2018	306	DA	5,087.01	JRNL	971		00JS0052	7/12/2017
LNR804	B	2 S		2018	306	DA	250,000.00	JRNL	971		00JS0540	8/15/2017
LNR804	B	2 S		2018	306	DA	73,069.94	JRNL	971		00JS0665	8/23/2017
							244,572.00					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 906
 Name of Fund: LNR - Natural Physical Environment
 Legal Authority: Act 200, SLH 2003

Contact Name: Grace L. Teves
 Phone: 587-0335
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-308-C

Intended Purpose:

This fund was established to account for risk management costs imposed on special funds; it is also the operating account for position funded by special funds.

Source of Revenues:

Transfer from various Special fund accounts and administrative fees collected from enforcement activities under Civil Resource Violations System.

Current Program Activities/Allowable Expenses:

Personal services and other costs associated with special funded positions and risk management costs imposed on special funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in expenditures is due to increase in fringe benefit rate and collective bargaining costs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,174,291	1,431,618	1,663,511	1,958,011	2,049,537	2,049,537	2,049,537
Beginning Cash Balance	610,349	606,703	664,153	465,033	414,527	364,527	314,527
Revenues	39,755	55,046	45,135	78,288	50,000	50,000	50,000
Expenditures	1,213,404	1,027,324	1,354,480	1,814,086	1,900,000	1,900,000	1,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	1,170,003	1,029,728	1,110,225	1,685,292	1,800,000	1,800,000	1,800,000
Net Total Transfers	1,170,003	1,029,728	1,110,225	1,685,292	1,800,000	1,800,000	1,800,000
Ending Cash Balance	606,703	664,153	465,033	414,527	364,527	314,527	264,527
Encumbrances	2,583	35,868	121,493	57,240	60,000	60,000	60,000
Unencumbered Cash Balance	604,120	628,285	343,540	357,287	304,527	254,527	204,527

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR906	B	2 S		2016	308	DA	(3,035.61)	JRNL	972		00JS0665	8/23/2017
LNR906	B	2 S		2017	308	DA	(200,000.00)	JRNL	972		00JS0052	7/12/2017
LNR906	B	2 S		2017	308	DA	(141,259.64)	JRNL	972		00JS0665	8/23/2017
LNR906	B	2 S		2018	308	DA	111,210.00	JRNL	971	RISK MGT	00JM3313	12/31/2017
LNR906	B	2 S		2018	308	DA	152,873.30	JRNL	971		00JS5766	6/26/2018
LNR906	B	2 S		2018	308	DA	14,126.00	JRNL	971		00JS6059	6/30/2018
LNR906	B	2 S		2018	308	DA	200,000.00	JRNL	971		00JS0052	7/12/2017
LNR906	B	2 S		2018	308	DA	361,792.00	JRNL	971		00JS0540	8/15/2017
LNR906	B	2 S		2018	308	DA	144,295.25	JRNL	971		00JS0665	8/23/2017
LNR906	B	2 S		2018	308	DA	1,045,291.00	JRNL	971		00JS0998	9/14/2017
							1,685,292.30					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 111
 Name of Fund: Bureau of Conveyances Special Fund
 Legal Authority: Section 508-8, HRS

Contact Name: Leslie Kobata
 Phone: 587-0023
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-309-C

Intended Purpose:

This fund was established to pay for the daily operating expense of the Bureau of Conveyances (BOC) and allows for the continual improvement of services to the public through the implementation of an electronic land records system, the ongoing digitization and preservation of our physical records, increasing efficiencies with workflow optimization and the forward planning and implementation for disaster recovery contingencies.

Source of Revenues:

Monies received from recording fees, miscellaneous service fees and legislatively mandated "transaction fees" (Act 120, SLH 2009) on Regular System recordings.

Current Program Activities/Allowable Expenses:

Activities include planning, design, equipment/software acquisition and systems implementation as well as staffing, training and workflow improvements and the digitization, preservation and accessibility of all land records, maps and miscellaneous documents under the BOC's responsibility.

Purpose of Proposed Ceiling Adjustment (if applicable):

Continue with the digitization, preservation and access to the public land records, improve and preserve the physical records and support facilities at the Bureau as a business/cultural resource and to implement plans that streamline the Land Court certification workflow.

Variances:

The increase in expenditures in each fiscal year are for the anticipated continuation of the digitization and land records management system projects.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,004,966	5,763,443	6,026,606	6,498,148	6,848,148	7,225,312	8,202,476
Beginning Cash Balance	2,592,162	2,066,398	785,871	1,396,732	4,244,763	6,737,903	8,098,150
Revenues	4,115,138	4,292,920	6,110,953	8,200,547	7,955,848	7,955,848	7,955,848
Expenditures	4,528,239	5,454,424	5,498,913	5,062,617	5,348,148	6,475,312	6,952,476
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	(112,663)	(119,023)	(1,179)	(289,899)	(114,560)	(120,288)	(126,303)
Net Total Transfers	(112,663)	(119,023)	(1,179)	(289,899)	(114,560)	(120,288)	(126,303)
Ending Cash Balance	2,066,398	785,871	1,396,732	4,244,763	6,737,903	8,098,150	8,975,220
Encumbrances	738,268	901,077	230,222	1,320,266	1,500,000	750,000	1,250,000
Unencumbered Cash Balance	1,328,130	(115,206)	1,166,510	2,924,497	5,237,903	7,348,150	7,725,220

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR111	B	2 S		2017	309	AK	(900,000.00)	JRNL	972		00JS0052	7/12/2017
LNR111	B	2 S		2018	309	AK	(2,753.00)	JRNL	972	RISK MGT	00JM3313	12/31/2017
LNR111	B	2 S		2018	309	AK	(27,921.00)	JRNL	972		00JS4753	5/3/2018
LNR111	B	2 S		2018	309	AK	(152,873.30)	JRNL	972		00JS5766	6/26/2018
LNR111	B	2 S		2018	309	AK	900,000.00	JRNL	971		00JS0052	7/12/2017
LNR111	B	2 S		2018	309	AK	(106,352.00)	JRNL	972		00JS0998	9/14/2017
							(289,899.30)					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 806
 Name of Fund: State Parks Special Funds
 Legal Authority: Section 184-3.4, HRS

Contact Name: Curt A Cottrell/Piikea Tomczyk
 Phone: 587-0290/587-0304
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-312

Intended Purpose:

Act 120, SLH 2000, established the State Park Special Fund to maintain and operate the State Parks system.

Source of Revenues:

Camping entry and other park user fees, leases, and licensing.

Current Program Activities/Allowable Expenses:

To supplement the general funds for land-related repairs and maintenance of State Parks facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Projected ceiling increase in FY 2021 to accommodate the anticipated increase of revenue from and expenditures for three new park areas (Makena, Kokee, and Haena)

Variances:

Increase in projected revenue from three new park areas (Makena, Kokee, and Haena) which will start collecting entry fees and proposed fee increases for parking, entry, lodging, and camping.

Lower revenue expected in FY 19 due parks from flooding at Kalalalau.

\$1,000,000 in unencumbered cash will be reserved at the end of each fiscal year to cover the 1st quarter expenditures in the following year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,561,885	6,475,547	6,563,662	6,971,321	6,971,321	6,971,321	8,000,000
Beginning Cash Balance	2,424,364	1,758,207	1,446,788	1,727,686	1,679,426	1,579,426	2,079,426
Revenues	4,155,579	4,986,318	4,790,296	4,976,405	4,500,000	6,000,000	8,000,000
Expenditures	5,258,503	5,143,973	4,375,613	4,892,854	4,600,000	5,500,000	7,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	436,767	(153,764)	(133,785)	(131,811)			
Net Total Transfers	436,767	(153,764)	(133,785)	(131,811)	0	0	0
Ending Cash Balance	1,758,207	1,446,788	1,727,686	1,679,426	1,579,426	2,079,426	2,579,426
Encumbrances							
Unencumbered Cash Balance	1,758,207	1,446,788	1,727,686	1,679,426	1,579,426	2,079,426	2,579,426

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR806	B	2	S	2016	312	KEA	(5,604.28)	JRNL	972		00JS0665	8/23/2017
LNR806	B	2	S	2017	312	KEA	(700,000.00)	JRNL	972		00JS0052	7/12/2017
LNR806	B	2	S	2017	312	KEA	(749,017.11)	JRNL	972		00JS0665	8/23/2017
LNR806	B	2	S	2018	312	KEA	(42,954.00)	JRNL	972	RISK MGT	00JM3313	12/31/2017
LNR806	B	2	S	2018	312	KEA	27,921.00	JRNL	972		00JS4753	5/3/2018
LNR806	B	2	S	2018	312	KEA	(14,126.00)	JRNL	972		00JS6059	6/30/2018
LNR806	B	2	S	2018	312	KEA	700,000.00	JRNL	971		00JS0052	7/12/2017
LNR806	B	2	S	2018	312	KEA	754,621.39	JRNL	971		00JS0665	8/23/2017
LNR806	B	2	S	2018	312	KEA	(99,552.00)	JRNL	972		00JS0998	9/14/2017
LNR806	B	2	S	2018	312	KEA	(3,100.00)	JRNL	972	TDR 21177	00JS2353	11/30/2017
							(131,811.00)					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 153
 Name of Fund: Commercial Fisheries Special Fund
 Legal Authority: Chapter 171-HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-313

Intended Purpose:

Act 220, SLH 1996, established the Commercial Fisheries Special Fund to develop and conduct programs and activities for projects concerning aquatic life used for commercial purposes. Revenues from commercial fishing licenses, permits, fees, etc., are deposited into this account. Act121, SLH 2000, permanently established the Commercial Fisheries Special Fund for the management and conservation of aquatic life used for commercial purposes.

Source of Revenues:

All fees collected from the sale of commercial fishing licenses and other permits related to the commercial use of aquatic resources and its investment pool.

Current Program Activities/Allowable Expenses:

Developing and conducting resource monitoring programs and studies to determine sustainable use of aquatic life for commercial purposes. Also, to implement research programs and activities concerning the conservation and management of aquatic life for commercial purposes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Ceiling increase for FY 20 & 21 to accommodate increased expenditures and revenues due to the anticipated non-resident license fees increase.

Variances:

Projected revenue increases will begin this year and increase significantly next year and beyond along with expenditures and encumbrances due to the increase in the commercial marine license fee from the current \$50 to \$100 in January this year and projected increase in the non-resident license fee.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	641,399	306,750	346,163	368,306	368,306	390,000	415,000
Beginning Cash Balance	819,288	574,137	389,008	249,080	272,331	297,331	247,331
Revenues	292,335	249,157	201,335	266,745	350,000	375,000	400,000
Expenditures	481,937	296,345	267,226	162,024	250,000	325,000	375,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3313, 12/31/17	(55,549)	(137,941)	(74,037)	(6,029)	(75,000)	(100,000)	(125,000)
00JMS0998, 09/14/17				(75,441)			
Net Total Transfers	(55,549)	(137,941)	(74,037)	(81,470)	(75,000)	(100,000)	(125,000)
Ending Cash Balance	574,137	389,008	249,080	272,331	297,331	247,331	147,331
Encumbrances	212,544	133,992	38,553	33,490	40,000	75,000	100,000
Unencumbered Cash Balance	361,593	255,016	210,527	238,841	257,331	172,331	47,331

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Special Land and Development Fund
 Legal Authority: Section 171-19, HRA

Contact Name: Russell Tsuji
 Phone: 587-0422
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-316, S-318

Intended Purpose:

To fund the operations of the Land Management Division and to assist with funding for the operations and maintenance of public lands under the management jurisdiction of the Board and Department of Land and Natural Resources.

Source of Revenues:

Primary sources of funding for the Special Land and Development Fund include rents from leases and land dispositions of the Land Management Division.

Current Program Activities/Allowable Expenses:

Key activities include sales in fee, sale of general leases, issuance of revocable permits and the maintenance of public lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

2018 revenue increase due to Sand Island Industrial Park.

Transient accomodation tax, \$3,000,000. TAT limited to State Parks, DOCARE and OCCL

Increased Expenditure and encumbrances projections Pulehunui and East Kapolei development projects and Hilo Land Offices. GM needed for ceiling increases.

Amount transferred increased due to collective bargaining increases and \$500K appropriation from Act 181 (SLH 2018)

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,469,163	9,223,150	11,149,738	12,606,981	12,718,613	15,718,613	15,718,613
Beginning Cash Balance	13,791,611	12,221,047	13,653,067	16,647,735	21,120,143	22,985,714	22,351,285
Revenues	12,313,732	12,378,399	15,847,414	20,655,377	20,220,000	20,220,000	20,220,000
Expenditures	6,586,987	6,676,145	9,245,606	9,028,540	9,500,000	12,000,000	12,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(7,297,309)	(4,270,234)	(3,607,140)	(7,154,429)	(8,854,429)	(8,854,429)	(8,854,429)
Net Total Transfers	(7,297,309)	(4,270,234)	(3,607,140)	(7,154,429)	(8,854,429)	(8,854,429)	(8,854,429)
Ending Cash Balance	12,221,047	13,653,067	16,647,735	21,120,143	22,985,714	22,351,285	21,716,856
Encumbrances	1,350,219	3,180,527	3,033,514	2,965,074	3,000,000	6,000,000	6,000,000
Unencumbered Cash Balance	10,870,828	10,472,540	13,614,221	18,155,069	19,985,714	16,351,285	15,716,856

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type
LNR101	B	2	S	2016	316	DA	(1,996.10)	JRNL
LNR101	B	2	S	2017	316	DA	(9,000,000.00)	JRNL
LNR101	B	2	S	2018	316	DA	(3,280.00)	JRNL
LNR101	B	2	S	2018	316	DA	(315,000.00)	JRNL
LNR101	B	2	S	2018	316	DA	(316,666.00)	JRNL
LNR101	B	2	S	2018	316	DA	(158,333.00)	JRNL
LNR101	B	2	S	2018	316	DA	(158,333.00)	JRNL
LNR101	B	2	S	2018	316	DA	(158,333.00)	JRNL
LNR101	B	2	S	2018	316	DA	(158,333.00)	JRNL
LNR101	B	2	S	2018	316	DA	(158,337.00)	JRNL
LNR101	B	2	S	2018	316	DA	9,001,996.10	JRNL
LNR101	B	2	S	2018	316	DA	(2,648,076.00)	JRNL
LNR101	B	2	S	2018	316	DA	(600,000.00)	JRNL
LNR101	B	2	S	2018	316	DA	(361,791.00)	JRNL
LNR101	B	2	S	2018	316	DA	(633,332.00)	JRNL
LNR101	B	2	S	2018	316	DA	(1,926,282.00)	JRNL
LNR101	B	2	S	2018	316	DA	(158,333.00)	JRNL
							(7,754,429.00)	
LNR101	B	2	S	2016	318	DA	(3,000.00)	JRNL
LNR101	B	2	S	2017	318	DA	(20,000.00)	JRNL
LNR101	B	2	S	2018	318	DA	23,000.00	JRNL
LNR101	B	2	S	2018	318	DA	600,000.00	JRNL
							600,000.00	
Total							(7,154,429.00)	
Add 2019 Collective Bargaining Increases				1200000				
Act 181 (SLH 201)				500000			-8854429	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Land Conservation Fund
 Legal Authority: HRS, Chapter 173A

Contact Name: David Smith
 Phone: (808) 587-4181
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-317-C

Intended Purpose:

To provide funding to county agencies, state agencies, and non-profit organizations for the acquisition (interests in fee simple or conservation easement) of lands having value as a resource to the State.

Source of Revenues:

Each fiscal year, ten percent or \$6,800,000, whichever is less, of the State real property Conveyance Tax, shall be paid into the land conservation fund pursuant to section 173A-5.

Current Program Activities/Allowable Expenses:

- (1) The acquisition of interests or rights in land having value as a resource to the State, whether in fee title or through the establishment of permanent conservation easements under chapter 198 or agricultural easements;
- (2) The payment of any debt service on state financial instruments relating to the acquisition of interests or rights in land having value as a resource to the State;
- (3) Annual administration costs for the fund, not to exceed five per cent of annual fund revenues of the previous year[; and]
- (4) Costs related to the operation, maintenance, and management of lands acquired by way of this fund that are necessary to protect, maintain, or or restore resources at risk on these lands, or that provide for greater public access and enjoyment of these lands; provided that the costs related to the operation, maintenance, and management of lands acquired by way of this fund do not exceed five per cent of annual fund revenues of the previous year.

Purpose of Proposed Ceiling Adjustment (if applicable):

Provide additional funding for the acquisition of land having value as a resource to the State and for the protection of resources for public benefit.

Variances:

Variances in revenues are a function of variance in statutory revenue caps, real property market dynamics, state investment pool performance, and the timing for returning money from discontinued and unused grant awards to the Land Conservation Fund.

Variances in expenditures are a function of variance in appropriation ceilings and in the timing of completed real estate transactions.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,019,644	5,147,006	5,117,250	5,117,250	6,965,748	7,482,155	7,482,155
Beginning Cash Balance	16,278,836	22,415,656	19,429,704	20,567,919	25,290,088	31,401,797	35,383,960
Revenues	7,975,148	6,742,309	6,965,748	8,605,754	10,995,294	6,965,748	6,965,748
Expenditures	1,869,784	9,728,261	5,827,533	1,883,585	1,883,585	1,883,585	1,883,585
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	31,456	0	0	(2,000,000)	(3,000,000)	(1,100,000)	(2,033,333)
Net Total Transfers	31,456	0	0	(2,000,000)	(3,000,000)	(1,100,000)	(2,033,333)
Ending Cash Balance	22,415,656	19,429,704	20,567,919	25,290,088	31,401,797	35,383,960	38,432,790
Encumbrances	11,554,163	4,695,000	3,968,531	6,704,695	5,082,163	5,598,570	5,598,570
Unencumbered Cash Balance	10,861,493	14,734,704	16,599,388	18,585,393	26,319,634	29,785,390	32,834,220

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR101	B	2 S		2017	317	DA	(12,000,000.00)	JRNL	972		00JS0052	7/12/2017
LNR101	B	2 S		2018	317	DA	12,000,000.00	JRNL	971		00JS0052	7/12/2017
LNR101	B	2 S		2018	317	DA	(2,000,000.00)	JRNL	972	HILO FOR	00JS2002	11/8/2017
							(2,000,000.00)					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 802
 Name of Fund: SHPD - SLDF
 Legal Authority: Act 53, SLH 2018

Contact Name: Randolph M. K. Lee III
 Phone: 692-8033
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-319-C

Intended Purpose:

This fund was established by Act 53, SLH 2018, to account for appropriations from the Legislature, and to procure archaeological services to conduct program reviews of backlog permits and submittals, as well as contract a legal fellow to assist in reviewing, updating, and the drafting of revisions for the Division's administrative rules.

Source of Revenues:

Funds transferred from the Special Land and Development Fund (S-316-C) into this subaccount.

Current Program Activities/Allowable Expenses:

Archaeological services for program reviews and legal services for the review and revision of administrative rules.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

This is a on-time appropriation from the legislator for contracting a legal fellow to assist the Division in upgrading its administrative rules (\$100,000) and for archaeological services to assist SHPD in reducing its backlog of permits, submittals and federal undertakings that require statutory review.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					300,000	300,000	300,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues					0		
Expenditures					300,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					300,000		
Net Total Transfers	0	0	0	0	300,000	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 802
 Name of Fund: Hawaii Historic Preservation Special Fund
 Legal Authority: Section 6E-16, HRS

Contact Name: Randolph M. K. Lee III
 Phone: 692-8033
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-321-C

Intended Purpose:

This fund was established by Act 388, SLH 1989, to account for appropriations from the Legislature, gifts, donations, grants, and interest income to provide financial assistance to public and private agencies in accordance with Chapter 42Fm HRS, involved in historic preservation activities other than those covered in by Section 6E-9, HRS.

Source of Revenues:

Fees charged to archaeology firms for Division review of reports and submittal, as well as for archaeology permit fees.

Current Program Activities/Allowable Expenses:

To provide State Grant-in-Aid and for historic preservation activities expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues reflect the projected fee increases for report reviews, permits and submittals; Expenditure increase in FY 2016 is due to expenditures from S-209 being transferred into S-321.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	59,783	264,316	318,030	350,509	350,509	350,509	350,509
Beginning Cash Balance	90,982	140,029	3,985	53,320	10,687	10,687	10,687
Revenues	75,684	73,827	137,789	66,709	107,817	60,000	60,000
Expenditures	23,638	208,582	87,104	109,855	230,567	60,000	60,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	(2,999)	(1,289)	(1,350)	513	122,750		
Net Total Transfers	(2,999)	(1,289)	(1,350)	513	122,750	0	0
Ending Cash Balance	140,029	3,985	53,320	10,687	10,687	10,687	10,687
Encumbrances	3,679	5,053	1,305	1,299			
Unencumbered Cash Balance	136,350	(1,068)	52,015	9,388	10,687	10,687	10,687

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR802	B	2 S		2017	321	KEA	(30,000.00)	JRNL	972		00JS0052	7/12/2017
LNR802	B	2 S		2017	321	KEA	(22,097.06)	JRNL	972		00JS0997	9/14/2017
LNR802	B	2 S		2018	321	KEA	(2,587.00)	JRNL	972	RISK MGT	00JM3313	12/31/2017
LNR802	B	2 S		2018	321	KEA	30,000.00	JRNL	971		00JS0052	7/12/2017
LNR802	B	2 S		2018	321	KEA	22,097.06	JRNL	971		00JS0997	9/14/2017
LNR802	B	2 S		2018	321	KEA	3,100.00	JRNL	971	TDR 2117	00JS2353	11/30/2017
							513.00					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Prevention of Natural Disasters
 Legal Authority: Act 49, SLH 2017

Contact Name: Edwin Matsuda
 Phone: 808 587-0268
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-323-C

Intended Purpose:

Operating special fund for LNR 810 program for expenses related to flood control and dam safety activities

Source of Revenues:

Investment Pool proceeds; transfer of vacation earned with other departments/agencies.

Current Program Activities/Allowable Expenses:

Payroll, fringe benefits and operating expenses for program activities

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	702,314	819,450	839,896	950,203	1,025,381	1,127,919	1,240,711
Beginning Cash Balance	213,055	188,743	263,179	320,729	482,767	649,015	874,844
Revenues	1,371	4,448	2,343	30,057	0	0	0
Expenditures	694,761	749,462	784,689	818,222	859,133	902,090	947,194
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0540, 08/15/17	669,078	819,450	839,896	475,102	1,025,381	1,127,919	1,240,711
00JS2380, 11/30/17				475,101			
Net Total Transfers	669,078	819,450	839,896	950,203	1,025,381	1,127,919	1,240,711
Ending Cash Balance	188,743	263,179	320,729	482,767	649,015	874,844	1,168,361
Encumbrances		601	33	1,158	10,000	10,000	10,000
Unencumbered Cash Balance	188,743	262,578	320,696	481,609	639,015	864,844	1,158,361

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Beach Restoration Special Fund
 Legal Authority: Section 171-156, HRS, Act 84, SLH 199

Contact Name: Sam Lemmo
 Phone: 587-0391
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-325-C

Intended Purpose:

Act 84, SLH 1999 established this fund to provide for the restoration of public beach lands in those instances in which such restoration is considered to benefit the people of the State.

Source of Revenues:

Monies received from lease or development of public lands; fines for unauthorized shoreline structures, donations, fees for processing applications, and grants. Funds also received from the Transient Accommodations Tax.

Current Program Activities/Allowable Expenses:

Develop plans and programs for the restoration and protection of beach lands of the state and implementation of beach restoration projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Expenditures from this fund are project driven. Timing and project costs are difficult to judge. For the remainder of FY19 we plan to construct a groin at Kuhio Beach. This, in addition to some other operating miscellaneous project expenses will result in approximately \$500,000 in expenditures. For FY 2020, we will commit funds to find new sand sources for Oahu beaches in partnership with the City and County of Honolulu. This, including other miscellaneous project cost will result in \$1,000,000 in expenditures. For 2021, we submitted for a CIP appropriation for \$1,500,000. These funds will support additional beach restoration construction projects at Waikiki Beach.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	1,498,883	1,189,382	1,143,028	1,702,113	2,375,079	2,674,779	2,474,479
Revenues	403,811	166,524	846,935	822,917	800,000	800,000	800,000
Expenditures	311,312	212,878	287,694	149,573	500,000	1,000,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3404, 01/06/17	(402,000)		(156)	(378)	(300)	(300)	(300)
Net Total Transfers	(402,000)	0	(156)	(378)	(300)	(300)	(300)
Ending Cash Balance	1,189,382	1,143,028	1,702,113	2,375,079	2,674,779	2,474,479	1,774,179
Encumbrances	186,246	145,162	113,475	331,248	200,000	200,000	200,000
Unencumbered Cash Balance	1,003,136	997,866	1,588,638	2,043,831	2,474,779	2,274,479	1,574,179

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: Water Resource Management Fund
 Legal Authority: Section 174C-5.5, HRS

Contact Name: Michael Yoshinaga
 Phone: 587-0241
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-326

Intended Purpose:

Act 200, SLH 2000 established the Water Resource Management Fund, to be used for the following: 1) Monitoring program and activities concerning water resource quality, protection and management, 2) Research programs and activities concerning water conservation and investigation of alternative sources of water, 3) Preparation and dissemination of information to the public concerning activities authorized under Chapter 174, HRS, 4) Data collection, development and update of long-range planning documents, and 5) Any other protection, management, operational or maintenance function authorized and deemed necessary by the Commission on Water Resource Management. From FY 02, funds for the Special Land and Development Fund (S-304-C) have been deposited into the Water Resource Management Fund as needed, to revise and update the Hawaii Water Plan.

Source of Revenues:

Water permits filling fees, fines and penalties, copying costs, 25% of fees derived from water licenses.

Current Program Activities/Allowable Expenses:

Monitoring program activities, research program and activities, plan and studies, data collection.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in Revenues between FY 2017 & FY 2018 due to less water permits being issued.

Variance in Revenues between FY 2018 & FY 2019 due to increases in water permit fees.

Variance in Encumbrances between FY 2017 & FY 2018 due to difficulty in determining when & what kind of expenditures are made.

Variance in Encumbrances between FY 2018 & FY 2019 due to difficulty in determining when & what kind of expenditures are made.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	866,878	978,575	356,474	356,474	356,474	356,474	356,474
Beginning Cash Balance	1,454,062	1,468,833	1,242,796	1,056,131	766,190	751,190	736,190
Revenues	67,249	66,132	74,455	71,967	117,000	117,000	117,000
Expenditures	511,831	245,500	225,701	324,381	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	459,353	(46,669)	(35,419)	(37,527)	(32,000)	(32,000)	(32,000)
Net Total Transfers	459,353	(46,669)	(35,419)	(37,527)	(32,000)	(32,000)	(32,000)
Ending Cash Balance	1,468,833	1,242,796	1,056,131	766,190	751,190	736,190	721,190
Encumbrances							
Unencumbered Cash Balance	1,468,833	1,242,796	1,056,131	766,190	751,190	736,190	721,190

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR404	B	2 S		2015	326	DL	(183.89)	JRNL	972		00JS0054	7/12/2017
LNR404	B	2 S		2016	326	DL	(37,500.00)	JRNL	972		00JS0054	7/12/2017
LNR404	B	2 S		2016	326	DL	(15,000.00)	JRNL	972		00JS0997	9/14/2017
LNR404	B	2 S		2017	326	DL	(400,000.00)	JRNL	972		00JS0054	7/12/2017
LNR404	B	2 S		2017	326	DL	(250,708.45)	JRNL	972		00JS0997	9/14/2017
LNR404	B	2 S		2018	326	DL	(1,493.00)	JRNL	972	RISK MGT	00JM3313	12/31/2017
LNR404	B	2 S		2018	326	DL	437,683.89	JRNL	971		00JS0054	7/12/2017
LNR404	B	2 S		2018	326	DL	265,708.45	JRNL	971		00JS0997	9/14/2017
LNR404	B	2 S		2018	326	DL	(34,987.00)	JRNL	972		00JS0998	9/14/2017
LNR404	B	2 S		2018	326	DL	(1,047.00)	JRNL	972	TDR 21755	00JS2353	11/30/2017
							(37,527.00)					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Dam and Reservoir Safety Special Fund (DRSSF)
 Legal Authority: Act 262, SLH 2007

Contact Name: Edwin Matsuda
 Phone: 808 587-0268
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-328-C

Intended Purpose:

Provide funding to improve the safety of dams and reservoirs in the State.

Source of Revenues:

Appropriations by the legislature; fees and administrative charges collected under Act 262, SLH 2007; fines or penalties imposed under act 262, SLH 2007; moneys from public or private sources to benefit dam and reservoir safety; moneys collected from sale of retail items by DLNR relating to dam and reservoir safety; other moneys collected pursuant to Act 262, SLH 2007 or rules adopted thereunder; and moneys derived from interest, dividends or other income from other sources.

Current Program Activities/Allowable Expenses:

Use of the DRSSF includes, but is not limited to, conducting investigations, research, and collection of data; monitoring and inspection programs and activities, including enforcement; preparing and disseminating information to the public related to dam and reservoir safety; provision of training and/or educational activities related to dam and reservoir safety; employing any necessary remedial measures to protect person and property; and administrative or other related costs and expenses for dam and reservoir safety.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues do not always match expenditures in any given year. In some years we utilize the special fund to bank some of the revenues for larger contract projects. We are currently in the process of executing large inspection contracts which we target doing every 10 years. these contracts will drop our cash balance in the account and may cause expenses to increase beyond revenues for a few years.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000
Beginning Cash Balance	1,930,336	2,357,262	2,765,906	2,908,932	3,067,081	2,887,081	2,707,081
Revenues	644,484	748,557	608,976	622,023	620,000	620,000	620,000
Expenditures	205,488	339,913	465,950	463,874	800,000	800,000	800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(12,070)	0	0	0	0	0	0
Net Total Transfers	(12,070)	0	0	0	0	0	0
Ending Cash Balance	2,357,262	2,765,906	2,908,932	3,067,081	2,887,081	2,707,081	2,527,081
Encumbrances	387,977	1,278,285	1,115,270	1,891,957	1,100,000	1,100,000	1,100,000
Unencumbered Cash Balance	1,969,285	1,487,621	1,793,662	1,175,124	1,787,081	1,607,081	1,427,081

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Wildlife Revolving Fund
 Legal Authority: Section 183D-10.5, HRS

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF): Revolving - W
 Appropriation Acct. No.: S-343-C

Intended Purpose:

This fund was established by Act 67, SLH 1988, to collect proceeds from hunting license fees, law violation fines, hunter training fees and charges for use of public target ranges. Authorized expenditures include matching funds for federal grants-in-aid.

Source of Revenues:

License/tag/permit fees, fines

Current Program Activities/Allowable Expenses:

Construct and maintain infrastructure and access for to public hunting areas; research and develop lands for public hunting through direct purchases or agreements with landowners; maintain wildfire reduction measures; and conduct surveys of game birds and mammals; construct and maintain other forest recreational facilities; develop and implement management plans for public hunting areas; outreach and education related to conservation and public hunting; and support for endangered species recovery projects statewide

Purpose of Proposed Ceiling Adjustment (if applicable):

We expect a large fine for endangered species take in FY19.

Variances:

The variance is due to the encumbrances to be paid in FY 19

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	572,088	588,820	635,428	637,857	637,857	700,000	700,000
Beginning Cash Balance	16,091	58,228	296,841	445,340	621,908	746,908	846,908
Revenues	222,194	580,042	607,913	649,785	650,000	650,000	650,000
Expenditures	180,057	341,429	425,425	473,217	525,000	550,000	600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	(33,989)				
Net Total Transfers	0	0	(33,989)	0	0	0	0
Ending Cash Balance	58,228	296,841	445,340	621,908	746,908	846,908	896,908
Encumbrances	18,332	59,151	68,448	102,455	110,000	120,000	130,000
Unencumbered Cash Balance	39,896	237,690	376,892	519,453	636,908	726,908	766,908

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forest Stewardship Fund
 Legal Authority: Section 195F-4, HRS

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-347

Intended Purpose:

This fund was established by Act 327, SLH 1991, to provide funding to private landowners to manage, protect, and restore important watersheds, timber resources, native vegetation, fish and wildlife habitats, and isolated populations of rare and endangered plants.

Source of Revenues:

Sale of forestry products, timber; special use permits and fees

Current Program Activities/Allowable Expenses:

The key activity is to provide financial and technical assistance to landowners to manage, protect, and restore important natural resources in Hawaii's forested and formerly forested lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in expenditures between FY 15, 16 & 17 is due to Act 084. Another account was created for DOFAW-Special Land Development Fund.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,637,997	1,000,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	1,876,648	2,158,128	1,000,637	766,488	572,861	502,861	452,861
Revenues	340,310	418,841	363,434	399,513	430,000	450,000	470,000
Expenditures	4,501,163	1,532,755	468,724	461,750	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See Attached List	4,442,333	(43,577)	(128,859)	(131,390)			
Net Total Transfers	4,442,333	(43,577)	(128,859)	(131,390)	0	0	0
Ending Cash Balance	2,158,128	1,000,637	766,488	572,861	502,861	452,861	422,861
Encumbrances	1,651,251	569,591	417,801	339,500	350,000	350,000	350,000
Unencumbered Cash Balance	506,877	431,046	348,687	233,361	152,861	102,861	72,861

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Conservation & Resources Enforcement
 Legal Authority: Section 183D-10.5, HRS

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF) Revolving - W
 Appropriation Acct. No. S-344-C

Intended Purpose:

This fund was established by Act 67, SLH 1988, to collect proceeds from hunting license fees, law violation fines, hunter training fees and charges for use of Source of Revenues:

Hunting license fees, law violation fines, hunter training fees and charges for use of public target ranges.

Current Program Activities/Allowable Expenses:

Matching funds for federal grants-in-aid.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in expenditures in FY2018 was due to correction made for prior years.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	32,333	32,333	32,333	32,333	32,333	32,333	32,333
Beginning Cash Balance	486	487	487	34,476	28,954	28,954	28,954
Revenues	1	0	2,132	2,518	2,500	2,500	2,500
Expenditures	0	0	2,132	8,040	2,500	2,500	2,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			33,989				
Net Total Transfers	0	0	33,989	0	0	0	0
Ending Cash Balance	487	487	34,476	28,954	28,954	28,954	28,954
Encumbrances							
Unencumbered Cash Balance	487	487	34,476	28,954	28,954	28,954	28,954

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR172	B	2 S		2016	347 DF		(1,930.04)	JRNL	972		00JS0054	7/12/2017
LNR172	B	2 S		2016	347 DF		(17,780.00)	JRNL	972		00JS0997	9/14/2017
LNR172	B	2 S		2017	347 DF		(150,000.00)	JRNL	972		00JS0054	7/12/2017
LNR172	B	2 S		2017	347 DF		(179,727.36)	JRNL	972		00JS0997	9/14/2017
LNR172	B	2 S		2018	347 DF		(11,747.00)	JRNL	972	RISK MGT	00JM3313	12/31/2017
LNR172	B	2 S		2018	347 DF		151,930.04	JRNL	971		00JS0054	7/12/2017
LNR172	B	2 S		2018	347 DF		197,507.36	JRNL	971		00JS0997	9/14/2017
LNR172	B	2 S		2018	347 DF		(119,643.00)	JRNL	972		00JS0998	9/14/2017
							<u>(131,390.00)</u>					

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Sport Fish Special Fund
 Legal Authority: 187A-9.5, HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF): Special Funds - B
 Appropriation Acct. No.: S-348-C

Intended Purpose:

This fund was established by Act 143, SLH 1993, to insure compliance with the Federal Aid Sport Fish Restoration Act (Dingell-Johnson/Wallop-Breaux) for the matching of State funds. Revenues collected from sport fish license fees, permits and interest income are used for sport fish projects.

Source of Revenues:

Monies received from collection of sport fish license fees, permits and interest income

Current Program Activities/Allowable Expenses:

Monitor recreational fishing success and harvest levels with creel censuses, maintain the statewide system of open-water fish aggregating devices, and maintain and improve existing artificial reefs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	76,131	99,400	99,400	101,456	101,456	104,000	104,000
Beginning Cash Balance	75,008	74,409	63,495	65,798	72,260	76,760	80,760
Revenues	28,374	25,304	27,013	27,089	27,000	27,000	27,000
Expenditures	28,973	36,218	24,710	21,674	22,500	23,000	23,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS2353, 11/30/17	0	0	0	1,047			
Net Total Transfers	0	0	0	1,047	0	0	0
Ending Cash Balance	74,409	63,495	65,798	72,260	76,760	80,760	84,260
Encumbrances	9,799	13,000	18,093	15,698	15,000	15,000	15,000
Unencumbered Cash Balance	64,610	50,495	47,705	56,562	61,760	65,760	69,260

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Conservation and Resources Enforcement
 Legal Authority: Act 78, SLH 2011

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-349-C

Intended Purpose:

This fund was established to deposit grants, awards, donations, gifts, transfers or monies derived from public or private sources for purposes of enforcing the provisions of Title 12, Chapters 6D, 6E, and 6K.

Source of Revenues:

Grants, awards, donations, gifts, transfers or monies including fees, reimbursements, administrative charges, penalties collected from enforcement activities, interest, dividend, or other income; Transient Accommodations Tax.

Current Program Activities/Allowable Expenses:

Expenditures include, but not limited to training, equipment, IT, safety, wireless communication, travel, work performed in cooperation with enforcement authorities of the state, counties, and federal government, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in FY 2018 was due to purchase of CODY Records Management System.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	550,000	550,000	550,000	550,000	550,000	550,000	550,000
Beginning Cash Balance	297,176	298,325	280,622	514,723	488,689	448,689	408,689
Revenues	68,828	412	402,417	384,054	385,000	385,000	385,000
Expenditures	65,679	18,115	168,316	410,088	425,000	425,000	425,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(2,000)	0	0	0			
Net Total Transfers	(2,000)	0	0	0	0	0	0
Ending Cash Balance	298,325	280,622	514,723	488,689	448,689	408,689	368,689
Encumbrances	118,582	0	0	67,767	100,000	100,000	100,000
Unencumbered Cash Balance	179,743	280,622	514,723	420,922	348,689	308,689	268,689

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: DOCARE - SLDF
 Legal Authority: Act 53, SLH 2018

Contact Name: Jamie Shindo
 Phone: 587-0066
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-351-C

Intended Purpose:

This fund was established by Act 53, SLH 2018 to provide funding for overtime and other cost differentials associated with DOCARE.

Source of Revenues:

Special Land Division Fund

Current Program Activities/Allowable Expenses:

Personal Services Overtime and Other Cost Differentials

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					330,000	330,000	330,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues					330,000	330,000	330,000
Expenditures					330,000	330,000	330,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: DOFAW - SLDF
 Legal Authority: Act 119 SLH 2015

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-353-C

Intended Purpose:

This fund was established by Act 119, SLH 2015 to provide funding to replace former conveyance tax distribution for forestry resource management and development

Source of Revenues:

Transfer from Special Land Development Fund

Current Program Activities/Allowable Expenses:

The key activity is to provide financial and technical assistance to landowners to manage, protect, and restore important natural resources in Hawaii's forested and formerly forested lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		955,475	955,475	955,475	955,475	955,475	955,475
Beginning Cash Balance		0	642,453	690,632	896,753	1,052,228	1,157,703
Revenues		0	4,985	5,795	0	0	0
Expenditures		313,022	912,282	755,149	800,000	850,000	900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0540, 08/15/17		955,475	955,476	477,738	955,475	955,475	955,475
00JS2380, 11/30/17				477,737			
Net Total Transfers	0	955,475	955,476	955,475	955,475	955,475	955,475
Ending Cash Balance	0	642,453	690,632	896,753	1,052,228	1,157,703	1,213,178
Encumbrances		642,453	656,792	691,794	700,000	700,000	700,000
Unencumbered Cash Balance	0	0	33,840	204,959	352,228	457,703	513,178

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: CWRM-SLDF
 Legal Authority: Section 174C-5.5, HRS

Contact Name: Michael Yoshinaga
 Phone: 587-0241
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-354-C SLDF

Intended Purpose:

To cover salaries and Surface Water Program expenses of the Commission on Water Resource Management.

Source of Revenues:

Funds transferred from the Special Land and Development Fund (S-316-C) into this subaccount.

Current Program Activities/Allowable Expenses:

Aside from salaries, funds are used to cover Surface Water Program expenses relating to hydrological studies/investigation, data collection, and travel.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in Revenues between FY 2017 & FY 2018 due to difference in unencumbered cash balances from which interest (revenue) was calculated.

Variance in Expenditures between FY 2017 & FY 2018 due to smaller contracts for professional services.

Variance in Expenditures between FY 2018 & FY 2019 due to all vacant positions expected to be filled.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		622,101	632,143	700,122	784,852	784,852	784,852
Beginning Cash Balance		0	255,243	417,626	670,510	718,862	767,214
Revenues		0	2,786	3,469	3,500	3,500	3,500
Expenditures		366,858	472,546	450,707	740,000	740,000	740,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0540, 08/15/17		622,101	632,143	405,061	784,852	784,852	784,852
00JS2380, 11/30/17				295,061			
Net Total Transfers	0	622,101	632,143	700,122	784,852	784,852	784,852
Ending Cash Balance	0	255,243	417,626	670,510	718,862	767,214	815,566
Encumbrances		64,169	100,574	101,319	100,000	100,000	100,000
Unencumbered Cash Balance	0	191,074	317,052	569,191	618,862	667,214	715,566

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 806
 Name of Fund: State Parks - SLDF
 Legal Authority: Act 119, SLH 2015

Contact Name: Curt A Cottrell/Piikea Tomczyk
 Phone: 587-0290/587-0304
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-355-C SLDF

Intended Purpose:

Lifeguard Services at Keawa'ula Beach, Kaena Point State Park, Oahu

Source of Revenues:

Transfer of funds from Special Land Development Fund to State Parks Special Fund sub-account.

Current Program Activities/Allowable Expenses:

Lifeguard Services at Keawa'ula Beach, Kaena Point State Park, Oahu

Purpose of Proposed Ceiling Adjustment (if applicable):

No ceiling in FY 20 and FY 21 based on new funding for lifeguards to S-305

Variances:

Variance is due to the increase in lifeguard services.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		584,216	584,216	584,216	584,216	0	0
Beginning Cash Balance		0	0	146,054	0	0	0
Revenues		0	0	474			
Expenditures		584,216	438,162	730,269	584,216		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS0540, 08/15/17		584,216	584,216	584,216	584,216		
00JS2380, 11/30/17							
Net Total Transfers	0	584,216	584,216	584,216	584,216	0	0
Ending Cash Balance	0	0	146,054	0	0	0	0
Encumbrances			146,054	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Special Fund
 Legal Authority: Section 248-8, HRS

Contact Name: Randy Nako
 Phone: 587-0339
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-359, 360-C

Intended Purpose:

This fund was established pursuant to Section 248-8, HRS, to collect revenues to implement the boating and ocean recreation programs.

Source of Revenues:

Monies received from collection of recreational and commercial boaters for the use of boating facilities, overseeing the ocean waters of the state, and for the registration of vessels.

Current Program Activities/Allowable Expenses:

Improving and expanding the capacity of existing mooring and launching facilities; operating, administering, maintaining and policing boating harbors and launching ramps; constructing new facilities; registering boats and maintaining a centralized vessel registration file; regulating the commercial use of boating facilities; administering a marine casualty and investigation program; constructing and maintaining navigation aids for boating facilities; conducting public education in boating safety; and overseeing the ocean waters of the state of Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,152,948	19,070,872	19,223,178	20,189,440	20,189,440	20,187,440	20,189,440
Beginning Cash Balance	9,371,428	9,259,261	11,891,244	10,047,810	11,758,584	13,554,896	15,441,024
Revenues	15,664,684	16,548,229	16,969,497	18,484,647	19,408,879	20,379,323	21,398,289
Expenditures	14,148,468	12,173,138	16,812,208	14,428,252	15,149,665	15,907,148	16,702,505
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	(1,628,383)	(1,743,108)	(2,000,723)	(2,345,621)	(2,462,902)	(2,586,047)	(2,715,350)
Net Total Transfers	(1,628,383)	(1,743,108)	(2,000,723)	(2,345,621)	(2,462,902)	(2,586,047)	(2,715,350)
Ending Cash Balance	9,259,261	11,891,244	10,047,810	11,758,584	13,554,896	15,441,024	17,421,458
Encumbrances	3,342,465	3,403,282	4,023,209	2,976,479	4,224,369	4,435,588	4,657,367
Unencumbered Cash Balance	5,916,796	8,487,962	6,024,601	8,782,105	9,330,527	11,005,436	12,764,091

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR801	B	2 S		1998	359	KEV	(10,058.75)	JRNL	972		00JS4604	4/24/2018
LNR801	B	2 S		2004	359	KEV	(61,457.22)	JRNL	972		00JS4605	4/24/2018
LNR801	B	2 S		2006	359	KEV	(29,450.00)	JRNL	972		00JS4607	4/24/2018
LNR801	B	2 S		2009	359	KEV	(10,409.40)	JRNL	972		00JS4365	4/13/2018
LNR801	B	2 S		2009	359	KEV	10,409.40	JRNL	972		00JS4730	4/30/2018
LNR801	B	2 S		2009	359	KEV	(10,409.40)	JRNL	972		00JS4730	4/30/2018
LNR801	B	2 S		2010	359	KEV	519.00	JRNL	971		00JS4365	4/13/2018
LNR801	B	2 S		2010	359	KEV	(519.00)	JRNL	971		00JS4730	4/30/2018
LNR801	B	2 S		2010	359	KEV	(519.00)	JRNL	972		00JS4730	4/30/2018
LNR801	B	2 S		2012	359	KEV	(13,336.38)	JRNL	972		00JS4364	4/13/2018
LNR801	B	2 S		2016	359	KEV	(5,484.34)	JRNL	972		00JS3192	1/30/2018
LNR801	B	2 S		2016	359	KEV	(150,000.00)	JRNL	972		00JS0056	7/12/2017
LNR801	B	2 S		2016	359	KEV	(449,675.46)	JRNL	972		00JS0542	8/15/2017
LNR801	B	2 S		2016	359	KEV	5,484.34	JRNL	971		00JS1069	9/19/2017
LNR801	B	2 S		2016	359	KEV	(10,968.68)	JRNL	972		00JS1320	9/30/2017
LNR801	B	2 S		2017	359	KEV	(4,000,000.00)	JRNL	972		00JS0055	7/12/2017
LNR801	B	2 S		2017	359	KEV	(834,808.39)	JRNL	972		00JS0543	8/15/2017
LNR801	B	2 S		2017	359	KEV	14,652.46	JRNL	971		00JS1125	9/21/2017
LNR801	B	2 S		2018	359	KEV	78,256.81	JRNL	971		00JS2734	12/29/2017
LNR801	B	2 S		2018	359	KEV	(10,711.00)	JRNL	972	RISK MGT	00JM3313	12/31/2017
LNR801	B	2 S		2018	359	KEV	338,798.86	JRNL	971		00JS2902	1/11/2018
LNR801	B	2 S		2018	359	KEV	45,565.30	JRNL	971		00JS3038	1/17/2018
LNR801	B	2 S		2018	359	KEV	107,462.40	JRNL	971		00JS3050	1/18/2018
LNR801	B	2 S		2018	359	KEV	(50,000.00)	JRNL	972		00JS3037	1/17/2018
LNR801	B	2 S		2018	359	KEV	140,963.87	JRNL	971		00JS3097	1/23/2018
LNR801	B	2 S		2018	359	KEV	5,484.34	JRNL	971		00JS3192	1/30/2018
LNR801	B	2 S		2018	359	KEV	102,760.81	JRNL	971		00JS3260	1/31/2018
LNR801	B	2 S		2018	359	KEV	73,542.92	JRNL	971		00JS3312	2/6/2018
LNR801	B	2 S		2018	359	KEV	129,519.27	JRNL	971		00JS3349	2/8/2018
LNR801	B	2 S		2018	359	KEV	(100,000.00)	JRNL	972		00JS3478	2/15/2018
LNR801	B	2 S		2018	359	KEV	178,742.34	JRNL	971		00JS3519	2/20/2018
LNR801	B	2 S		2018	359	KEV	39,015.36	JRNL	971		00JS3529	2/20/2018
LNR801	B	2 S		2018	359	KEV	592,805.18	JRNL	971		00JS3577	2/22/2018
LNR801	B	2 S		2018	359	KEV	55,470.43	JRNL	971		00JS3659	2/27/2018
LNR801	B	2 S		2018	359	KEV	142,147.20	JRNL	971		00JS3714	2/28/2018
LNR801	B	2 S		2018	359	KEV	268,451.66	JRNL	971		00JS3723	2/28/2018
LNR801	B	2 S		2018	359	KEV	122,357.24	JRNL	971		00JS3760	3/5/2018
LNR801	B	2 S		2018	359	KEV	292,092.96	JRNL	971		00JS3793	3/7/2018

LNR801	B	2 S	2018	359 KEV	42,337.10	JRNL	971	00JS3828	3/8/2018
LNR801	B	2 S	2018	359 KEV	66,042.80	JRNL	971	00JS3936	3/15/2018
LNR801	B	2 S	2018	359 KEV	236,721.21	JRNL	971	00JS4019	3/20/2018
LNR801	B	2 S	2018	359 KEV	115,420.00	JRNL	971	00JS4053	3/21/2018
LNR801	B	2 S	2018	359 KEV	202,419.85	JRNL	971	00JS4090	3/23/2018
LNR801	B	2 S	2018	359 KEV	152,142.94	JRNL	971	00JS4109	3/27/2018
LNR801	B	2 S	2018	359 KEV	156,814.58	JRNL	971	00JS4110	3/27/2018
LNR801	B	2 S	2018	359 KEV	92,634.71	JRNL	971	00JS4363	4/13/2018
LNR801	B	2 S	2018	359 KEV	13,336.38	JRNL	971	00JS4364	4/13/2018
LNR801	B	2 S	2018	359 KEV	432,965.03	JRNL	971	00JS4352	4/12/2018
LNR801	B	2 S	2018	359 KEV	10,928.40	JRNL	971	00JS4365	4/13/2018
LNR801	B	2 S	2018	359 KEV	197,468.99	JRNL	971	00JS4541	4/20/2018
LNR801	B	2 S	2018	359 KEV	(200,000.00)	JRNL	972	00JS4540	4/20/2018
LNR801	B	2 S	2018	359 KEV	84,473.37	JRNL	971	00JS4561	4/23/2018
LNR801	B	2 S	2018	359 KEV	40,828.64	JRNL	971	00JS4603	4/24/2018
LNR801	B	2 S	2018	359 KEV	10,058.75	JRNL	971	00JS4604	4/24/2018
LNR801	B	2 S	2018	359 KEV	61,457.22	JRNL	971	00JS4605	4/24/2018
LNR801	B	2 S	2018	359 KEV	29,450.00	JRNL	971	00JS4607	4/24/2018
LNR801	B	2 S	2018	359 KEV	86,659.68	JRNL	971	00JS4688	4/30/2018
LNR801	B	2 S	2018	359 KEV	(10,928.40)	JRNL	971	00JS4730	4/30/2018
LNR801	B	2 S	2018	359 KEV	10,928.40	JRNL	971	00JS4730	4/30/2018
LNR801	B	2 S	2018	359 KEV	115,761.58	JRNL	971	00JS4765	5/3/2018
LNR801	B	2 S	2018	359 KEV	163,026.42	JRNL	971	00JS4813	5/7/2018
LNR801	B	2 S	2018	359 KEV	144,028.43	JRNL	971	00JS4579	4/23/2018
LNR801	B	2 S	2018	359 KEV	260,108.84	JRNL	971	00JS5041	5/18/2018
LNR801	B	2 S	2018	359 KEV	212,734.53	JRNL	971	00JS5052	5/21/2018
LNR801	B	2 S	2018	359 KEV	215,856.51	JRNL	971	00JS5091	5/22/2018
LNR801	B	2 S	2018	359 KEV	247,768.50	JRNL	971	00JS5326	5/31/2018
LNR801	B	2 S	2018	359 KEV	897,613.30	JRNL	971	00JS5296	5/31/2018
LNR801	B	2 S	2018	359 KEV	233,367.05	JRNL	971	00JS5380	6/7/2018
LNR801	B	2 S	2018	359 KEV	104,698.35	JRNL	971	00JS5403	6/8/2018
LNR801	B	2 S	2018	359 KEV	37,875.84	JRNL	971	00JS5443	6/13/2018
LNR801	B	2 S	2018	359 KEV	251,693.09	JRNL	971	00JS5703	6/22/2018
LNR801	B	2 S	2018	359 KEV	152,448.78	JRNL	971	00JS5722	6/25/2018
LNR801	B	2 S	2018	359 KEV	181,724.84	JRNL	971	00JS5767	6/26/2018
LNR801	B	2 S	2018	359 KEV	221,106.57	JRNL	971	00JS0053	7/12/2017
LNR801	B	2 S	2018	359 KEV	3,750,000.00	JRNL	971	00JS0055	7/12/2017
LNR801	B	2 S	2018	359 KEV	150,000.00	JRNL	971	00JS0056	7/12/2017
LNR801	B	2 S	2018	359 KEV	321,185.30	JRNL	971	00JS0502	8/11/2017
LNR801	B	2 S	2018	359 KEV	531,277.15	JRNL	971	00JS0503	8/11/2017

LNR801	B	2 S	2018	359 KEV	449,675.46	JRNL	971	00JS0542	8/15/2017
LNR801	B	2 S	2018	359 KEV	834,808.39	JRNL	971	00JS0543	8/15/2017
LNR801	B	2 S	2018	359 KEV	328,703.33	JRNL	971	00JS0608	8/21/2017
LNR801	B	2 S	2018	359 KEV	169,533.41	JRNL	971	00JS0609	8/21/2017
LNR801	B	2 S	2018	359 KEV	113,918.27	JRNL	971	00JS0646	8/22/2017
LNR801	B	2 S	2018	359 KEV	489,891.47	JRNL	971	00JS0719	8/28/2017
LNR801	B	2 S	2018	359 KEV	119,522.58	JRNL	971	00JS0851	8/31/2017
LNR801	B	2 S	2018	359 KEV	249,196.82	JRNL	971	00JS0880	9/6/2017
LNR801	B	2 S	2018	359 KEV	136,602.24	JRNL	971	00JS0892	9/7/2017
LNR801	B	2 S	2018	359 KEV	171,770.10	JRNL	971	00JS0917	9/11/2017
LNR801	B	2 S	2018	359 KEV	(145,257.00)	JRNL	972	00JS0998	9/14/2017
LNR801	B	2 S	2018	359 KEV	459,523.14	JRNL	971	00JS1087	9/19/2017
LNR801	B	2 S	2018	359 KEV	(14,652.46)	JRNL	972	00JS1125	9/21/2017
LNR801	B	2 S	2018	359 KEV	104,830.73	JRNL	971	00JS1201	9/26/2017
LNR801	B	2 S	2018	359 KEV	10,968.68	JRNL	971	00JS1320	9/30/2017
LNR801	B	2 S	2018	359 KEV	153,200.60	JRNL	971	00JS1420	10/6/2017
LNR801	B	2 S	2018	359 KEV	132,912.09	JRNL	971	00JS1457	10/10/2017
LNR801	B	2 S	2018	359 KEV	146,322.78	JRNL	971	00JS1496	10/11/2017
LNR801	B	2 S	2018	359 KEV	232,976.88	JRNL	971	00JS1498	10/11/2017
LNR801	B	2 S	2018	359 KEV	268,342.34	JRNL	971	00JS1539	10/13/2017
LNR801	B	2 S	2018	359 KEV	624,465.44	JRNL	971	00JS1722	10/23/2017
LNR801	B	2 S	2018	359 KEV	401,645.82	JRNL	971	00JS1926	11/3/2017
LNR801	B	2 S	2018	359 KEV	118,708.42	JRNL	971	00JS1927	11/3/2017
LNR801	B	2 S	2018	359 KEV	59,054.53	JRNL	971	00JS1965	11/7/2017
LNR801	B	2 S	2018	359 KEV	247,187.58	JRNL	971	00JS2054	11/13/2017
LNR801	B	2 S	2018	359 KEV	631,138.35	JRNL	971	00JS2174	11/21/2017
LNR801	B	2 S	2018	359 KEV	266,830.69	JRNL	971	00JS2202	11/22/2017
LNR801	B	2 S	2018	359 KEV	91,140.54	JRNL	971	00JS2231	11/24/2017
LNR801	B	2 S	2018	359 KEV	94,812.82	JRNL	971	00JS2260	11/27/2017
LNR801	B	2 S	2018	359 KEV	41,836.01	JRNL	971	00JS2367	11/30/2017
LNR801	B	2 S	2018	359 KEV	60,499.89	JRNL	971	00JS2403	12/5/2017
LNR801	B	2 S	2018	359 KEV	102,268.43	JRNL	971	00JS2511	12/13/2017
LNR801	B	2 S	2018	359 KEV	26,813.93	JRNL	971	00JS2513	12/13/2017
LNR801	B	2 S	2018	359 KEV	169,763.05	JRNL	971	00JS2514	12/13/2017
LNR801	B	2 S	2018	359 KEV	56,350.34	JRNL	971	00JS2538	12/14/2017
LNR801	B	2 S	2018	359 KEV	67,837.59	JRNL	971	00JS2611	12/19/2017
LNR801	B	2 S	2018	359 KEV	22,964.20	JRNL	971	00JS2629	12/20/2017
LNR801	B	2 S	2018	359 KEV	105,435.75	JRNL	971	00JS2696	12/27/2017

14,622,673.09

LNR801	B	2 S	2017	360 KEV	(0.50)	JRNL	972	00JS3577	2/22/2018
LNR801	B	2 S	2017	360 KEV	(221,106.57)	JRNL	972	00JS0053	7/12/2017
LNR801	B	2 S	2017	360 KEV	(321,185.30)	JRNL	972	00JS0502	8/11/2017
LNR801	B	2 S	2017	360 KEV	(169,533.41)	JRNL	972	00JS0609	8/21/2017
LNR801	B	2 S	2017	360 KEV	(0.11)	JRNL	972	00JS0719	8/28/2017
LNR801	B	2 S	2018	360 KEV	(78,256.81)	JRNL	972	00JS2734	12/29/2017
LNR801	B	2 S	2018	360 KEV	(388,798.86)	JRNL	972	00JS2902	1/11/2018
LNR801	B	2 S	2018	360 KEV	(157,462.40)	JRNL	972	00JS3050	1/18/2018
LNR801	B	2 S	2018	360 KEV	(45,565.30)	JRNL	972	00JS3038	1/17/2018
LNR801	B	2 S	2018	360 KEV	(140,963.87)	JRNL	972	00JS3097	1/23/2018
LNR801	B	2 S	2018	360 KEV	(152,760.81)	JRNL	972	00JS3260	1/31/2018
LNR801	B	2 S	2018	360 KEV	(73,542.92)	JRNL	972	00JS3312	2/6/2018
LNR801	B	2 S	2018	360 KEV	(129,519.27)	JRNL	972	00JS3349	2/8/2018
LNR801	B	2 S	2018	360 KEV	(178,742.34)	JRNL	972	00JS3519	2/20/2018
LNR801	B	2 S	2018	360 KEV	(39,015.36)	JRNL	972	00JS3529	2/20/2018
LNR801	B	2 S	2018	360 KEV	(642,804.68)	JRNL	972	00JS3577	2/22/2018
LNR801	B	2 S	2018	360 KEV	(55,470.43)	JRNL	972	00JS3659	2/27/2018
LNR801	B	2 S	2018	360 KEV	(142,147.20)	JRNL	972	00JS3714	2/28/2018
LNR801	B	2 S	2018	360 KEV	(318,451.66)	JRNL	972	00JS3723	2/28/2018
LNR801	B	2 S	2018	360 KEV	(122,357.24)	JRNL	972	00JS3760	3/5/2018
LNR801	B	2 S	2018	360 KEV	(342,092.96)	JRNL	972	00JS3793	3/7/2018
LNR801	B	2 S	2018	360 KEV	(42,337.10)	JRNL	972	00JS3828	3/8/2018
LNR801	B	2 S	2018	360 KEV	(66,042.80)	JRNL	972	00JS3936	3/15/2018
LNR801	B	2 S	2018	360 KEV	(336,721.21)	JRNL	972	00JS4019	3/20/2018
LNR801	B	2 S	2018	360 KEV	(115,420.00)	JRNL	972	00JS4053	3/21/2018
LNR801	B	2 S	2018	360 KEV	(202,419.85)	JRNL	972	00JS4090	3/23/2018
LNR801	B	2 S	2018	360 KEV	(152,142.94)	JRNL	972	00JS4109	3/27/2018
LNR801	B	2 S	2018	360 KEV	(156,814.58)	JRNL	972	00JS4110	3/27/2018
LNR801	B	2 S	2018	360 KEV	(532,965.03)	JRNL	972	00JS4352	4/12/2018
LNR801	B	2 S	2018	360 KEV	(92,634.71)	JRNL	972	00JS4363	4/13/2018
LNR801	B	2 S	2018	360 KEV	(197,468.99)	JRNL	972	00JS4541	4/20/2018
LNR801	B	2 S	2018	360 KEV	(84,473.37)	JRNL	972	00JS4561	4/23/2018
LNR801	B	2 S	2018	360 KEV	(40,828.64)	JRNL	972	00JS4603	4/24/2018
LNR801	B	2 S	2018	360 KEV	(186,659.68)	JRNL	972	00JS4688	4/30/2018
LNR801	B	2 S	2018	360 KEV	(215,761.58)	JRNL	972	00JS4765	5/3/2018
LNR801	B	2 S	2018	360 KEV	(263,026.42)	JRNL	972	00JS4813	5/7/2018
LNR801	B	2 S	2018	360 KEV	(244,028.43)	JRNL	972	00JS4579	4/23/2018
LNR801	B	2 S	2018	360 KEV	(260,108.84)	JRNL	972	00JS5041	5/18/2018
LNR801	B	2 S	2018	360 KEV	(212,734.53)	JRNL	972	00JS5052	5/21/2018
LNR801	B	2 S	2018	360 KEV	(215,856.51)	JRNL	972	00JS5091	5/22/2018

LNR801	B	2 S	2018	360 KEV	(247,768.50)	JRNL	972	00JS5326	5/31/2018
LNR801	B	2 S	2018	360 KEV	(897,613.30)	JRNL	972	00JS5296	5/31/2018
LNR801	B	2 S	2018	360 KEV	(233,367.05)	JRNL	972	00JS5380	6/7/2018
LNR801	B	2 S	2018	360 KEV	(37,875.84)	JRNL	972	00JS5443	6/13/2018
LNR801	B	2 S	2018	360 KEV	(104,698.35)	JRNL	972	00JS5403	6/8/2018
LNR801	B	2 S	2018	360 KEV	(251,693.09)	JRNL	972	00JS5703	6/22/2018
LNR801	B	2 S	2018	360 KEV	(152,448.78)	JRNL	972	00JS5722	6/25/2018
LNR801	B	2 S	2018	360 KEV	(181,724.84)	JRNL	972	00JS5767	6/26/2018
LNR801	B	2 S	2018	360 KEV	(665,930.76)	JRNL	972	00JS0503	8/11/2017
LNR801	B	2 S	2018	360 KEV	(328,703.33)	JRNL	972	00JS0608	8/21/2017
LNR801	B	2 S	2018	360 KEV	(113,918.27)	JRNL	972	00JS0646	8/22/2017
LNR801	B	2 S	2018	360 KEV	(549,891.36)	JRNL	972	00JS0719	8/28/2017
LNR801	B	2 S	2018	360 KEV	(119,522.58)	JRNL	972	00JS0851	8/31/2017
LNR801	B	2 S	2018	360 KEV	(249,196.82)	JRNL	972	00JS0880	9/6/2017
LNR801	B	2 S	2018	360 KEV	(136,602.24)	JRNL	972	00JS0892	9/7/2017
LNR801	B	2 S	2018	360 KEV	(171,770.10)	JRNL	972	00JS0917	9/11/2017
LNR801	B	2 S	2018	360 KEV	(5,484.34)	JRNL	972	00JS1069	9/19/2017
LNR801	B	2 S	2018	360 KEV	(459,523.14)	JRNL	972	00JS1087	9/19/2017
LNR801	B	2 S	2018	360 KEV	(184,830.73)	JRNL	972	00JS1201	9/26/2017
LNR801	B	2 S	2018	360 KEV	(153,200.60)	JRNL	972	00JS1420	10/6/2017
LNR801	B	2 S	2018	360 KEV	(182,912.09)	JRNL	972	00JS1457	10/10/2017
LNR801	B	2 S	2018	360 KEV	(282,976.88)	JRNL	972	00JS1498	10/11/2017
LNR801	B	2 S	2018	360 KEV	(268,342.34)	JRNL	972	00JS1539	10/13/2017
LNR801	B	2 S	2018	360 KEV	(146,322.78)	JRNL	972	00JS1496	10/11/2017
LNR801	B	2 S	2018	360 KEV	(624,465.44)	JRNL	972	00JS1722	10/23/2017
LNR801	B	2 S	2018	360 KEV	(118,708.42)	JRNL	972	00JS1927	11/3/2017
LNR801	B	2 S	2018	360 KEV	(466,645.82)	JRNL	972	00JS1926	11/3/2017
LNR801	B	2 S	2018	360 KEV	(59,054.53)	JRNL	972	00JS1965	11/7/2017
LNR801	B	2 S	2018	360 KEV	(297,187.58)	JRNL	972	00JS2054	11/13/2017
LNR801	B	2 S	2018	360 KEV	(681,138.35)	JRNL	972	00JS2174	11/21/2017
LNR801	B	2 S	2018	360 KEV	(266,830.69)	JRNL	972	00JS2202	11/22/2017
LNR801	B	2 S	2018	360 KEV	(141,140.54)	JRNL	972	00JS2231	11/24/2017
LNR801	B	2 S	2018	360 KEV	(94,812.82)	JRNL	972	00JS2260	11/27/2017
LNR801	B	2 S	2018	360 KEV	(41,836.01)	JRNL	972	00JS2367	11/30/2017
LNR801	B	2 S	2018	360 KEV	(60,499.89)	JRNL	972	00JS2403	12/5/2017
LNR801	B	2 S	2018	360 KEV	(26,813.93)	JRNL	972	00JS2513	12/13/2017
LNR801	B	2 S	2018	360 KEV	(219,763.05)	JRNL	972	00JS2514	12/13/2017
LNR801	B	2 S	2018	360 KEV	(102,268.43)	JRNL	972	00JS2511	12/13/2017
LNR801	B	2 S	2018	360 KEV	(56,350.34)	JRNL	972	00JS2538	12/14/2017
LNR801	B	2 S	2018	360 KEV	(67,837.59)	JRNL	972	00JS2611	12/19/2017

LNR801	B	2 S	2018	360 KEV	(22,964.20) JRNL	972	00JS2629	12/20/2017
LNR801	B	2 S	2018	360 KEV	(155,435.75) JRNL	972	00JS2696	12/27/2017
					<u>(16,968,294.70)</u>			
					14,622,673.09			
					<u>(16,968,294.70)</u>			
					<u><u>(2,345,621.61)</u></u>			

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: IUCN - SLDF
 Legal Authority: Act 122, SLH 2014

Contact Name: Kristine Castillo
 Phone: 587-0355
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-371-C

Intended Purpose:

This fund was established pursuant to Act 122, SLH 2014 for IUCN - SLDF.

Source of Revenues:

Transfer of funds from Special Land Development Fund

Current Program Activities/Allowable Expenses:

Expenses related to the UCN World Congress

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,900,000					0	0
Beginning Cash Balance	0	3,156,374	2,062,268	19,874	19,874	19,874	19,874
Revenues	0	0	0	0			
Expenditures	743,626	1,094,106	2,042,394	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	3,900,000	0					
Net Total Transfers	3,900,000	0	0	0	0	0	0
Ending Cash Balance	3,156,374	2,062,268	19,874	19,874	19,874	19,874	19,874
Encumbrances	3,136,500	2,042,394	0	0	0	0	0
Unencumbered Cash Balance	19,874	19,874	19,874	19,874	19,874	19,874	19,874

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 802
 Name of Fund: Hawaii Historic Preservation Special Fund
 Legal Authority: Act 89, SLH 2015

Contact Name: Kristine Castillo
 Phone: 587-0355
 Fund type (MOF): Special - B
 Appropriation Acct. No.: S-372-C

Intended Purpose:

This fund was established pursuant to Act 89, SLH 2015 for SHPD

Source of Revenues:

Appropriated out of the general revenues of the State of Hawaii

Current Program Activities/Allowable Expenses:

Expenses relating conducting a survey to identify potential historic districts and single-family residences that may be eligible for listing on the Hawaii register of historic places.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		100,000	100,000			0	0
Beginning Cash Balance	0	0	0	95,000	0	0	0
Revenues			95,000	0			
Expenditures			0	95,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	95,000	0	0	0	0
Encumbrances			95,000	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 802
 Name of Fund: Hawaii Historic Preservation Special Fund
 Legal Authority: Act 89, SLH 2015

Contact Name: Kristine Castillo
 Phone: 587-0355
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-373-C

Intended Purpose:

This fund was established pursuant to Act 89, SLH 2015 for SHPD

Source of Revenues:

Appropriated out of the general revenues of the State of Hawaii

Current Program Activities/Allowable Expenses:

Expenses related to implement a data management plan for the digitization of historic preservation records.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			150,000			0	0
Beginning Cash Balance	0	0	0	142,500	135,106	135,106	135,106
Revenues			142,500	0			
Expenditures			0	7,394			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	142,500	135,106	135,106	135,106	135,106
Encumbrances			138,162	95,634	0	0	0
Unencumbered Cash Balance	0	0	4,338	39,472	135,106	135,106	135,106

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Lands Proceeds - Oahu
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-901

Intended Purpose:

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland water licenses) are required to be deposited into this fund.

Source of Revenues:

20% of revenues derived from ceded lands.

Current Program Activities/Allowable Expenses:

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	739,055	813,086	904,702	935,861	937,000	937,000	937,000
Expenditures	739,055	813,086	904,702	935,861	937,000	937,000	937,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Lands Proceeds - Maui
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-902

Intended Purpose:

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland water licenses) are required to be deposited into this fund.

Source of Revenues:

20% of revenues derived from ceded lands.

Current Program Activities/Allowable Expenses:

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	392,405	369,581	378,438	357,987	378,000	378,000	378,000
Expenditures	392,405	369,581	378,438	357,987	378,000	378,000	378,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Lands Proceeds - Hawaii
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-903

Intended Purpose:

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland leases and water licenses) are required to be deposited into this fund.

Source of Revenues:

20% of revenues derived from ceded lands.

Current Program Activities/Allowable Expenses:

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,401,110	1,336,771	1,554,619	1,619,684	1,620,000	1,620,000	1,620,000
Expenditures	1,401,110	1,336,771	1,554,619	1,619,684	1,620,000	1,620,000	1,620,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Lands Proceeds - Kauai
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-904

Intended Purpose:

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland leases and water licenses) are required to be deposited into this fund.

Source of Revenues:

20% of revenues derived from ceded lands.

Current Program Activities/Allowable Expenses:

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Funds.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	478,723	479,564	511,912	500,917	505,000	505,000	505,000
Expenditures	478,723	479,564	511,912	500,917	505,000	505,000	505,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Temporary Deposits
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-905

Intended Purpose:

This fund was created to account for temporary deposits such as security deposits for leases, permits and licenses, and also for the payment of appraisal fees chargeable to the applicant.

Source of Revenues:

Temporary Deposits

Current Program Activities/Allowable Expenses:

This trust fund is being used as a holding account.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,521,439	1,671,191	1,577,338	1,717,961	1,788,837	1,788,837	1,788,837
Revenues	343,594	212,018	297,488	198,092	200,000	200,000	200,000
Expenditures	193,842	305,871	156,865	127,216	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,671,191	1,577,338	1,717,961	1,788,837	1,788,837	1,788,837	1,788,837
Encumbrances	33,610	27,250	15,700	20,727			
Unencumbered Cash Balance	1,637,581	1,550,088	1,702,261	1,768,110	1,788,837	1,788,837	1,788,837

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 906
 Name of Fund: Temporary Deposits - Undistributed Proceeds
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-906

Intended Purpose:

This trust fund was established to deposit land rental and water license revenues that are collected by DLNR for, and later transferred to, the Department of Hawaiian Home Lands, the Department of Agriculture, the Department of Transportation, and the Hawaii Community Development Authority.

Source of Revenues:

Revenues generated by rentals and water license fees managed by DLNR for other State agencies.

Current Program Activities/Allowable Expenses:

This fund serves as a holding account for revenues generated by the rental of property or from water licenses managed by DLNR for other State agencies.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	478,284	598,129	549,776	574,509	694,199	694,199	694,199
Revenues	821,056	584,502	610,447	1,243,892	1,200,000	1,200,000	1,200,000
Expenditures	701,211	632,855	585,714	1,124,202	1,200,000	1,200,000	1,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	598,129	549,776	574,509	694,199	694,199	694,199	694,199
Encumbrances	0	0	13,245				
Unencumbered Cash Balance	598,129	549,776	561,264	694,199	694,199	694,199	694,199

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 906
 Name of Fund: Donations, Gifts, & Grants from Private a& Governmental Entities
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-907

Intended Purpose:

This fund was created to account for donations, gifts and grants from private entities to be spent for specific purposes.

Source of Revenues:

Donations, gifts, settlement proceeds, etc.

Current Program Activities/Allowable Expenses:

Used primarily as a holding account for various receipts and expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,987,858	16,147,939	19,194,511	18,105,494	16,764,691	16,764,691	16,764,691
Revenues	11,100,838	3,604,857	1,993,085	1,702,191	900,000	900,000	900,000
Expenditures	940,757	558,285	2,982,253	3,042,994	900,000	900,000	900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			(99,849)				
Net Total Transfers	0	0	(99,849)	0	0	0	0
Ending Cash Balance	16,147,939	19,194,511	18,105,494	16,764,691	16,764,691	16,764,691	16,764,691
Encumbrances	1,537,013	1,394,943	3,118,188	2,430,701	700,000	700,000	700,000
Unencumbered Cash Balance	14,610,926	17,799,568	14,987,306	14,333,990	16,064,691	16,064,691	16,064,691

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Preservation of Endangered Plants
 Legal Authority: N/A

Contact Name: James Cogswell
 Phone: 808-587-4187
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-908-C

Intended Purpose:

To account for donated revenues for activities related to endangered plants.

Source of Revenues:

Revenues consist of donations from the Hawaii Credit Union League which are required to be used for the preservation of Hawaii's endangered plants.

Current Program Activities/Allowable n/a

Expenses related to development, production and issuance of endangered plant tags, and protection and management and endangered plants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					0	0	0
Beginning Cash Balance	228	228	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	228	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	228	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	228	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 906
 Name of Fund: Kaho`olawe Rehabilitation Trust Fund
 Legal Authority: N/A

Contact Name: Michael Nahoopii
 Phone: (808) 243-5020
 Fund type (MOF): Trust - T
 Appropriation Account: T-909

Intended Purpose:

This fund was established to receive federal funds, legislative appropriations, and moneys from grants, donations or proceeds for the rehabilitation and

Source of Revenues:

Monies received via State and Federal appropriations, and monies from grants, donations, or proceeds for the rehabilitation and environmental restoration of

Current Program Activities/Allowable Expenses:

Expenses related to the operation of the Kaho`olawe Island Reserve Commission for the preservation, protection, rehabilitation, revegetation and education

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Annual revenues are dependent to the amount and number of competitive grants that the KIRC is able to secure annually. Annual trust fund expenditures

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,119,589	509,183	522,585	415,046	504,212	554,212	574,212
Revenues	463,164	720,529	394,205	367,525	171,360	200,000	200,000
Expenditures	3,073,570	707,127	501,744	278,359	121,360	180,000	190,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	509,183	522,585	415,046	504,212	554,212	574,212	584,212
Encumbrances	185,667	174,081	13,718	126,005	38,000	40,000	40,000
Unencumbered Cash Balance	323,516	348,504	401,328	378,207	516,212	534,212	544,212

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Land Div - Water Monitoring Services
 Legal Authority: N/A

Contact Name: _____
 Phone: _____
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-911

Intended Purpose:

This trust account was created to account for donations received from various subsidiaries of sugar plantations. The donations were to be used for the operation and maintenance of sugarcane irrigation stations. Currently, no donations, gifts, or grants are being made to this account.

Source of Revenues:

Private parties pay for stream gauging services rendered by the United States Geological Survey (USGS).

Current Program Activities/Allowable Expenses:

This account serve as a clearing account for private parties to obtain and pay for stream gauging services rendered by USGS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9,361	9,376	9,576	19,616	96	96	96
Revenues	18,575	18,960	19,520	0	0	0	0
Expenditures	18,560	18,760	9,480	19,520	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,376	9,576	19,616	96	96	96	96
Encumbrances	9,280	9,480	19,520	0	0	0	0
Unencumbered Cash Balance	96	96	96	96	96	96	96

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: Water Security Grant Program
 Legal Authority: Act 172, Session Laws of Hawaii 2016

Contact Name: Jeremy Kimura
 Phone: 587-0269
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-914

Intended Purpose:

To establish a two-year pilot program for a water security advisory group to enable public-private partnerships that increase water security by providing matching state funds for projects and programs that: (1) Increase recharge of groundwater resources; (2) Encourage reuse of water and reduce use of potable water for landscaping irrigation; and (3) Improve efficiency of potable and agricultural water use.

Source of Revenues:

\$750,000 (General Funds) appropriated to be matched on a one-for-one matching basis by the public or private agency or organization that submitted the relevant proposal for a project or program to increase water security.

Current Program Activities/Allowable Expenses:

The water security advisory group shall advise the Department on the priority of all proposals for projects or programs submitted by public or private agencies or organizations to increase water security in the State and recommend high-priority programs for the award of matching funds through the pilot program. Projects and programs that are eligible for funding include those which: (1) Increase recharge of groundwater resources; (2) Encourage reuse of water and reduce use of potable water for landscaping irrigation; and (3) Improve efficiency of potable and agricultural water use.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Variance in Expenditures between FY 2017 and FY 2018 due to contractor initial billing in FY18

Variance in Expenditures between FY 2018 and FY 2019 due to expected completion of projects in FY19

Note:

Unexpended funds were inadvertently lapsed. In process of securing approval to unlapse unexpended funds.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	225,743	189,646	(0)	(0)
Revenues	0	0	225,743	0	0	0	0
Expenditures	0	0	0	36,097	189,646	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	225,743	189,646	(0)	(0)	(0)
Encumbrances	0	0	0	116,576			
Unencumbered Cash Balance	0	0	225,743	73,070	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Special Deposits
 Legal Authority: N/A

Contact Name: Randy Nako
 Phone: 587-0339
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-915

Intended Purpose:

This trust account was transferred from the Department of Transportation on July 1, 1992. This fund was created to account for security deposits collected

Source of Revenues:

Monies received as security deposits from the boating tenants of small boat harbors. The security deposits are usually equivalent to three months' rent.

Current Program Activities/Allowable Expenses:

This account is being used as a holding account and the security deposits are returned when the rental agreements are terminated.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,640,311	1,702,770	1,806,528	1,972,967	2,026,546	2,082,804	2,141,875
Revenues	134,554	153,642	218,441	118,390	124,310	130,525	137,051
Expenditures	72,095	49,884	52,002	64,811	68,052	71,454	75,027
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,702,770	1,806,528	1,972,967	2,026,546	2,082,804	2,141,875	2,203,899
Encumbrances							
Unencumbered Cash Balance	1,702,770	1,806,528	1,972,967	2,026,546	2,082,804	2,141,875	2,203,899

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Preservation of Endangered Plants
 Legal Authority: N/A

Contact Name: James Cogswell
 Phone: 808-587-4187
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-919-C

Intended Purpose:

Funds will be used to conserve native aquatic life, wildlife, and land plants and recover threatened and endangered species.

Source of Revenues:

Monies provided for specific habitat conservation plans and safe harbor agreements.

Current Program Activities/Allowable Expenses:

Preparation and implementation of habitat conservation plans and safe harbor agreements (mitigation, minimization, habitat restoration and protection, species recovery). Also, to provide additional incentives for private landowners to recover and protect threatened and endangered species on their lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

fg							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,681,318	3,369,856	3,499,682	4,312,907	4,743,322	5,043,322	5,343,322
Revenues	2,112,279	2,016,364	2,632,194	3,035,594	3,000,000	3,000,000	3,000,000
Expenditures	1,423,741	1,886,538	1,818,969	2,605,179	2,700,000	2,700,000	2,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,369,856	3,499,682	4,312,907	4,743,322	5,043,322	5,343,322	5,643,322
Encumbrances	1,907,488	1,445,769	2,516,483	2,706,027	2,500,000	2,500,000	2,500,000
Unencumbered Cash Balance	1,462,368	2,053,913	1,796,424	2,037,295	2,543,322	2,843,322	3,143,322

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Endangered Species Trust Fund
 Legal Authority: Act 164, SLH 2011 as amended by Act 106, SLH 2012

Contact Name: James Cogswell
 Phone: 808-587-4187
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-920-C

Intended Purpose:

Funds will be used to provide management for threatened and endangered species.

Source of Revenues:

Fees for technical services related to the development of Habitat Conservation Plans and Safe Harbor Agreements; processing applications for incidental take licenses and monitoring .

Current Program Activities/Allowable Expenses:

Review, processing and providing assistance for applications of incidental take licenses and their related habitat conservation plans and safe harbor agreements.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

We are expecting increase in revenues and will have expenditures in FY 19 onwards.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	99,755	192,520	180,545	189,799	189,799		
Beginning Cash Balance	33,870	51,982	63,331	73,856	114,856	89,856	64,856
Revenues	18,112	11,349	10,525	41,000	50,000	50,000	50,000
Expenditures	0	0	0	0	75,000	75,000	75,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	51,982	63,331	73,856	114,856	89,856	64,856	39,856
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	51,982	63,331	73,856	114,856	89,856	64,856	39,856

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Ceded Land Proceeds - Oahu
 Legal Authority: N/A

Contact Name: Randy Nako
 Phone: 587-0339
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-921

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the island of Oahu.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	123,611	149,893	215,648	260,268	180,245	96,221	7,996
Revenues	439,083	505,053	589,028	600,401	630,421	661,942	761,233
Expenditures	412,801	439,298	544,408	680,424	714,445	750,167	750,167
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	149,893	215,648	260,268	180,245	96,221	7,996	19,062
Encumbrances							
Unencumbered Cash Balance	149,893	215,648	260,268	180,245	96,221	7,996	19,062

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Ceded Land Proceeds - Maui
 Legal Authority: N/A

Contact Name: Randy Nako
 Phone: 587-0339
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-922

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the island of Maui.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	194,349	248,849	246,405	316,115	293,732	270,230	245,552
Revenues	648,955	678,056	777,903	863,877	907,071	952,424	1,000,046
Expenditures	594,455	680,500	708,193	886,260	930,573	977,102	1,025,957
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	248,849	246,405	316,115	293,732	270,230	245,552	219,641
Encumbrances							
Unencumbered Cash Balance	248,849	246,405	316,115	293,732	270,230	245,552	219,641

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Ceded Land Proceeds - Hawaii
 Legal Authority: N/A

Contact Name: Randy Nako
 Phone: 587-0339
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-923

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the Island of Hawaii.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	36,420	43,515	56,542	77,658	160,802	248,104	339,770
Revenues	131,703	151,430	168,429	381,881	400,975	421,024	442,075
Expenditures	124,608	138,403	147,313	298,737	313,673	329,358	345,825
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	43,515	56,542	77,658	160,802	248,104	339,770	436,020
Encumbrances							
Unencumbered Cash Balance	43,515	56,542	77,658	160,802	248,104	339,770	436,020

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Ceded Land Proceeds - Kauai
 Legal Authority: N/A

Contact Name: Randy Nako
 Phone: 587-0339
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-924

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the island of Kauai.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	43,717	47,058	49,453	61,265	61,119	60,966	60,805
Revenues	152,287	144,069	147,386	176,554	185,382	194,651	204,383
Expenditures	148,946	141,674	135,574	176,700	185,535	194,812	204,552
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	47,058	49,453	61,265	61,119	60,966	60,805	60,636
Encumbrances							
Unencumbered Cash Balance	47,058	49,453	61,265	61,119	60,966	60,805	60,636

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 141
 Name of Fund: Accrued Vacation and Sick Leave Fund
 Legal Authority: Act 119, SLH 2015

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-930-C

Intended Purpose:

Receive, hold and payout funds for vacation leave for CIP staff positions.

Source of Revenues:

Investment Pool proceeds; vacation payouts for employees who transfer into a capital improvement project-funded position from other departments/agencies

Current Program Activities/Allowable Expenses:

Vacation payouts for employees leaving (transfer or retirement) a CIP position.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Revenues/expenditures are difficult to predict as they will occur if/when staff leave a CIP position or transfer into a CIP position from another

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		188,181	188,181	197,827	197,827	200,000	200,000
Beginning Cash Balance	0	0	394,602	391,311	320,111	300,111	280,111
Revenues		445,860	36,521	0	20,000	20,000	20,000
Expenditures		51,258	39,812	71,200	40,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	394,602	391,311	320,111	300,111	280,111	260,111
Encumbrances		49,151	11,590		10,000	10,000	10,000
Unencumbered Cash Balance	0	345,451	379,721	320,111	290,111	270,111	250,111

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Land Proceeds, GF Portion - Oahu
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-931

Intended Purpose:

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

Source of Revenues:

20% of revenues derived from the use of ceded lands on the Island of Oahu.

Current Program Activities/Allowable Expenses:

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,730,326	8,627,853	9,453,719	10,500,643	2,090,951	3,140,951	4,190,951
Revenues	897,527	825,866	1,046,924	1,044,027	1,050,000	1,050,000	1,050,000
Expenditures	0	0		9,453,719	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,627,853	9,453,719	10,500,643	2,090,951	3,140,951	4,190,951	5,240,951
Encumbrances							
Unencumbered Cash Balance	8,627,853	9,453,719	10,500,643	2,090,951	3,140,951	4,190,951	5,240,951

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Land Proceeds, GF Portion - Maui
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-932

Intended Purpose:

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

Source of Revenues:

20% of revenues derived from the use of ceded lands on the Island of Maui.

Current Program Activities/Allowable Expenses:

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,592,633	3,198,687	3,735,743	4,244,772	1,118,785	1,618,785	2,118,785
Revenues	606,054	537,056	509,029	646,347	500,000	500,000	500,000
Expenditures	0	0		3,772,334	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,198,687	3,735,743	4,244,772	1,118,785	1,618,785	2,118,785	2,618,785
Encumbrances							
Unencumbered Cash Balance	3,198,687	3,735,743	4,244,772	1,118,785	1,618,785	2,118,785	2,618,785

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Land Proceeds, GF Portion - Hawaii
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-933

Intended Purpose:

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

Source of Revenues:

20% of revenues derived from the use of ceded lands on the Island of Hawaii.

Current Program Activities/Allowable Expenses:

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,702,325	7,629,895	8,729,358	10,061,679	2,554,660	3,804,660	5,054,660
Revenues	927,570	1,099,463	1,332,321	1,222,340	1,250,000	1,250,000	1,250,000
Expenditures	0	0	0	8,729,359	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,629,895	8,729,358	10,061,679	2,554,660	3,804,660	5,054,660	6,304,660
Encumbrances							
Unencumbered Cash Balance	7,629,895	8,729,358	10,061,679	2,554,660	3,804,660	5,054,660	6,304,660

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Land Proceeds, GF Portion - Kauai
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-934

Intended Purpose:
To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

Source of Revenues:
20% of revenues derived from the use of ceded lands on the Island of Kauai.

Current Program Activities/Allowable Expenses:
Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

Purpose of Proposed Ceiling Adjustment (if applicable):
n/a
 Variances:
n/a

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,712,077	4,153,669	4,613,132	5,060,568	973,887	1,501,887	2,029,887
Revenues	441,592	459,463	447,436	526,452	528,000	528,000	528,000
Expenditures	0	0	0	4,613,133	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,153,669	4,613,132	5,060,568	973,887	1,501,887	2,029,887	2,557,887
Encumbrances							
Unencumbered Cash Balance	4,153,669	4,613,132	5,060,568	973,887	1,501,887	2,029,887	2,557,887

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: Water Audits of Public Water Systems
 Legal Authority: Act 169, SLH 2016

Contact Name: Neal Fujii
 Phone: 587-0264
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-938

Intended Purpose:

Implementation of a standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Source of Revenues:

\$600,000 (Federal Funds) and \$100,000 (Private Matching Funds)

Current Program Activities/Allowable Expenses:

Establishment by the Commission on Water Resource Management of a five-year program to provide technical assistance to public water systems to conduct standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Funds appropriated used to establish and implement the program to conduct standardized water audits of public water systems.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Variance in Revenues between FY 2017 & FY 2018 due receiving private matching funds in whole rather than in increments across FYs.

Variance in Expenditures between FY 2017 & FY 2018 due to differences in deliverables in Water Audit contract compensation & payment schedule.

Variance in Expenditures between FY 2018 & FY 2019 due to differences in deliverables in Water Audit contract compensation & payment schedule.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			100,000				
Beginning Cash Balance	0	0	0	46,350	31,400	0	0
Revenues	0	0	100,000	0	0	0	0
Expenditures	0	0	53,650	14,950	31,400	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	46,350	31,400	0	0	0
Encumbrances	0	0	14,950	0	0	0	0
Unencumbered Cash Balance	0	0	31,400	31,400	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Jared Redulla</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division</u>	Phone:	<u>837-8740</u>
Name of Fund:	<u>Domestic Cannabis Eradication Suppression</u>	Fund type (MOF)	<u>Federal (P)</u>
Legal Authority	<u>Act 119, SLH 15/ AM Act 124, SLH 16</u>	Appropriation Acct. No.	<u>S-237</u>

Intended Purpose: The purpose is to fund the Division's participation in marijuana eradication and suppression operations and training statewide.

Source of Revenues: The source of revenue is federal monies provided to the Division in accordance with an agreement between the Department of Public Safety and the Federal government

Current Program Activities/Allowable Expenses:

Current program activities include: marijuana eradication operations, training for eradication operations and coordination meetings. Allowable expenses include: helicopter rentals, travel and per diem costs, overtime, purchases of safety equipment and operational supplies.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variations: Variations between fiscal years are dependent on the amount/availability of Federal funds applied and awarded. Revenues and expenditures are based on this amount and balances may be carried over to the next fiscal year.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	171,023	124,903	47,825	47,825	47,825	47,825
Beginning Cash Balance	0.00	22.50	75,385.48	43,330.34	22.50	10,022.50	20,022.50
Revenues	0.00	86,482.50	45,000.00	0.00	100,000.00	100,000.00	100,000.00
Expenditures	149,977.50	91,119.52	77,055.14	43,307.84	90,000.00	90,000.00	90,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	150,000.00	80,000.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	22.50	75,385.48	43,330.34	22.50	10,022.50	20,022.50	30,022.50
Encumbrances	0.00	0.00	1,454.47	0.00			
Unencumbered Cash Balance	22.50	75,385.48	41,875.87	22.50	10,022.50	20,022.50	30,022.50

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Chato Sinfuego</u>
Prog ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-2577</u>
Name of Fund:	<u>Justice Reinv Tech Assist For State Govt</u>	Fund type (MOF)	<u>P</u>
Legal Authority	<u>Administrative Authority</u>	Appropriation Acct. No.	<u>S-259-V1</u>

Intended Purpose:

The Justice Reinvestment Act was the product of a "justice reinvestment" approach which consisted of a comprehensive, data-driven analysis of Hawaii's criminal justice system, for which the CSG Justice Center served as a technical assistance provider with guidance from a high-level inter-agency, inter-branch working group, combined with extensive engagement of criminal justice system stakeholders.

The legislation makes significant improvements to public safety by requiring programming and parole release decisions to be based on an objective risk and needs assessment, by focusing supervision resources on those most likely to reoffend, and by holding offenders more accountable to victims.

Source of Revenues:

Grant from the Council of State Governments

Current Program Activities/Allowable Expenses:

To support the implementation and capacity building activities of the Justice Reinvestment Work Group. The State would provide requisite training and reimbursement for travel that is necessary to educate and develop skilled workforce related to the Justice Reinvestment Act.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variations: Variations between fiscal years are dependent on the amount/availability of Federal funds applied and awarded. Revenues and expenditures are based on this amount and balances may be carried over to the next fiscal year. Grant projected to end upon final expenditures.

This account is inactive for FY19. Liquidation date is 12/20/18

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	207,966	148,047	91,198	56,823	0	0	0
Beginning Cash Balance	0.41	0.35	41,197.25	41,034.25	0.00	0.00	0.00
Revenues	59,919.00	99,272.25	0.00	0.00	0.00	0.00	0.00
Expenditures	59,919.06	58,075.35	163.00	38,323.50	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.35	41,197.25	41,034.25	2,710.75	0.00	0.00	0.00
Encumbrances	50,000.00	50,000.00	56,823.25	18,499.75			
Unencumbered Cash Balance	(49,999.65)	(8,802.75)	(15,789.00)	(15,789.00)	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD 613 - Crime Victim Compensation Commission</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>Victim of Crime Act (VOCA) Grant</u>	Fund type (MOF)	<u>Federal (P)</u>
Legal Authority	<u>Administrative Authority</u>	Appropriation Acct. No.	<u>S-264-V1</u>

Intended Purpose:

This account was established to deposit proceeds from the VOCA Grant. The VOCA Grant provides financial assistance and reimbursements to violent crime

Source of Revenues:

Federal fund proceeds from the VOCA Grant.

Current Program Activities/Allowable Expenses:

Funds are primarily used to pay compensation to victims of violent crimes. The grant allows the Crime Victim Compensation Commission to use 5% of the grant for administrative purposes such as personnel costs and office supplies.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations: The FY 2013 increase in revenue for this special fund account was due to the fact that the Commission needed to spend the balance of funds from the federal grants set to expire on September 30, 2012, and September 30, 2013. The FY 2014 decrease in revenue for the account was due to the fact that the Commission expenditures compensations cases are less in FY 14. The FY 2013 increase in expenditures directly correlates to the increase in revenue drawn down from the federal grants. Funds are requested to be drawn from the federal grant to match compensation expenditures already calculated. Therefore, the FY 14 decrease in expenditures also directly correlates to the decrease in revenue. The projections for the out years were based on the available grants balance.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,555,750	2,134,940	2,482,667	2,578,395	2,578,395	2,578,395	2,578,395
Beginning Cash Balance	2,294.34	847.79	27.34	450.00	0.00	29,000.00	29,000.00
Revenues	278,678.19	341,025.00	170,050.00	229,000.00	229,000.00	300,000.00	300,000.00
Expenditures	280,124.74	341,845.45	150,000.00	229,450.00	200,000.00	300,000.00	300,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	(19,627.34)	0.00	0.00	0.00	0.00
Ending Cash Balance	847.79	27.34	450.00	0.00	29,000.00	29,000.00	29,000.00
Encumbrances	0.00	0.00					
Unencumbered Cash Balance	847.79	27.34	450.00	0.00	29,000.00	29,000.00	29,000.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Robert Mahaffey</u>
Prog ID(s):	<u>PSD 422 - Hawaii Correctional Industries</u>	Phone:	<u>587-3475</u>
Name of Fund:	<u>Correctional Industries Revolving Fund</u>	Fund type (MOF)	<u>Revolving (W)</u>
Legal Authority	<u>Section 354D-10, HRS</u>	Appropriation Acct. No.	<u>S-306-V1</u>

Intended Purpose:

To establish a comprehensive work program for inmates that provides them with training and work skills that increases their employment prospects after release.

Source of Revenues:

Moneys collected by the Department from the sale or disposition of goods and services produced in accordance with Section 354D-10, HRS.

Current Program Activities/Allowable Expenses:

The Correctional Industries Revolving Fund is used for the purchase or lease of capital resources, salaries of staff and inmates in the operation of correctional industries programs in accordance with Section 354D-10, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The sales increased in FY 14. In FY 15 the program established a sales team to bring business to the industries. New sales team has continued the growth in sales into FY16, and is confident it will have a positive effect on our revenues for the outyears.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,344,469.31	10,448,073	10,999,312	10,512,388	10,232,054	10,232,054	10,232,054
Beginning Cash Balance	1,375,327.41	746,450.17	288,116.37	382,528.93	379,000.67	379,000.67	379,000.67
Revenues	4,902,442.68	5,595,079.18	5,973,151.69	4,955,801.12	7,500,000.00	7,500,000.00	7,500,000.00
Expenditures	5,531,319.92	6,053,412.98	5,878,739.13	4,959,329.38	7,500,000.00	7,500,000.00	7,500,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0	0	0	0	0	0
Ending Cash Balance	746,450.17	288,116.37	382,528.93	379,000.67	379,000.67	379,000.67	379,000.67
Encumbrances	244,018.73	847,320.67	280,333.59	0.00			
Unencumbered Cash Balance	502,431.44	(559,204.30)	102,195.34	379,000.67	379,000.67	379,000.67	379,000.67

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Tessie V. Fernandez</u>
Prog ID(s):	<u>PSD 407 - Oahu Community Correctional Center</u>	Phone:	<u>587-1239</u>
Name of Fund:	<u>OCCC Inmate Store</u>	Fund type (MOF):	<u>Revolving (W)</u>
Legal Authority:	<u>Section 353-31, HRS</u>	Appropriation Acct. No.:	<u>S-315-V1</u>

Intended Purpose:

The account was established for the purpose of purchasing items to be resold to inmates at the Oahu Community Correctional Center (OCCC).

Source of Revenues:

All moneys received from the resale of allowable items in the OCCC inmate store.

Current Program Activities/Allowable Expenses:

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Per Act 3 SLH 2018 this account will be close FB 20-21

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000.00	30,000.00	30,424.00	30,000.00	30,000.00	0.00	0.00
Beginning Cash Balance	424.00	424.00	424.00	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	424.00	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	424.00	424.00	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00					
Unencumbered Cash Balance	424.00	424.00	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD 402 - Halawa Correctional Facility
 Name of Fund: HCF Inmate Store
 Legal Authority Section 353-31, HRS

Contact Name:
 Phone:
 Fund type (MOF)
 Appropriation Acct. No.

Tessie V. Fernandez
587-1239
Revolving (W)
S-316-V1

Intended Purpose:

The account was established for the purpose of purchasing items to be resold to inmates at the Halawa Correctional Facility (HCF).

Source of Revenues:

All moneys received from the resale of allowable items in the HCF inmate store.

Current Program Activities/Allowable Expenses:

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

paid in FY 2013. Projections for the out years were based on the previous actual. This account slowed activity for an extended period of time and will be closed within the next few Fiscal Years.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Per Act 3 SLH 2018 this account will be close FB 20-21

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,719.00	28,719.00	28,719.00	131,570.48	28,719.00	0.00	0.00
Beginning Cash Balance	48,077.80	65,690.18	74,133.58	74,133.58	0.00	0.00	0.00
Revenues	17,612.38	8,443.40	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	74,133.58	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	65,690.18	74,133.58	74,133.58	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00	28,717.90				
Unencumbered Cash Balance	65,690.18	74,133.58	45,415.68	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Tessie V. Fernandez</u>
Prog ID(s):	<u>PSD 404 - Waiawa Correctional Facility</u>	Phone:	<u>587-1239</u>
Name of Fund:	<u>WCF Inmate Store</u>	Fund type (MOF)	<u>Revolving (W)</u>
Legal Authority	<u>Section 353-31, HRS</u>	Appropriation Acct. No.	<u>S-319-V1</u>

Intended Purpose:

The account was established for the purpose of purchasing items to be resold to inmates at the Waiawa Correctional Facility (WCF).

Source of Revenues:

All moneys received from the resale of allowable items in the WCF inmate store.

Current Program Activities/Allowable Expenses:

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations: The expenditure variance is due to unpaid expenditures in FY 13 that were paid in FY 2014. This account has been inactive for an extended period of time and will be closed within the next few Fiscal Years.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Per Act 3 SLH 2018 this account will be close FB 20-21

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	15,000	15,002	15,000	15,000	0	0
Beginning Cash Balance	739.35	739.35	2.15	0.00	0.00	0.00	0.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	737.20	2.15	0.00	0.00	0.00	0.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	739.35	2.15	0.00	0.00	0.00	0.00	0.00
Encumbrances	0.00	0.00					
Unencumbered Cash Balance	739.35	2.15	0.00	0.00	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD 613 - Crime Victim Compensation Commission</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>CVCC Special Fund</u>	Fund type (MOF)	<u>Special (B)</u>
Legal Authority	<u>Act 206, SLH 1998</u>	Appropriation Acct. No.	<u>S-323-V1</u>

Intended Purpose:

Act 206, SLH 1998 established this account and a system of compensation fees to generate revenue to fund the operation of the Crime Victim Compensation Commission (CVCC).

Source of Revenues:

Funds received pursuant to Section 354D-12(b)(1), 351-35, 351-62.6, 351-63, 706-605, and 853-1.

Current Program Activities/Allowable Expenses:

Moneys received are used for compensation payments, operating expenses, and to fund positions as authorized by the legislature.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variance: The increase in revenue for FY 2013 is related to an increase in restitution reimbursed to the Commission for cases in which the Commission previously paid the victim. Restitution collection increased due to the start of the Justice Reinvestment (JRI) project and the focus by the commission's JRI section on restitution collection. The increase also included an increase in compensation fees collected by the Commission's JRI section. The increase in revenue for FY 2014 is related to a significant increase in compensation fee collection from the Judiciary after a legislative request for the Judiciary to monitor the compensation fee collections and report back to them. Additional revenue increases were for collection of inmate wages from the facilities and an increase in restitution reimbursements. The FY 2013 increase in expenditures is due to the payment by the Commission for two years worth of admin and assessment fees for FY 2012 and FY 2013. The decrease in expenditures for FY 2014 from FY 2013 reflect regular expenses less the admin and assessment fees.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,893,411	2,080,499	2,099,267	2,113,547	2,113,547	2,113,547	2,113,547
Beginning Cash Balance	1,023,921.55	1,119,360.28	1,239,390.40	1,207,310.37	1,210,568.32	1,218,068.32	1,225,568.32
Revenues	902,667.87	967,205.26	1,013,058.45	780,226.23	1,007,500.00	1,007,500.00	1,007,500.00
Expenditures	807,229.14	847,175.14	1,045,138.48	776,968.28	1,000,000.00	1,000,000.00	1,000,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	1,119,360.28	1,239,390.40	1,207,310.37	1,210,568.32	1,218,068.32	1,225,568.32	1,233,068.32
Encumbrances	347.90	714.72					
Unencumbered Cash Balance	1,119,012.38	1,238,675.68	1,207,310.37	1,210,568.32	1,218,068.32	1,225,568.32	1,233,068.32

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Jared Redulla</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division (NED)</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>Controlled Substance Registration Revolving Fund</u>	Fund type (MOF)	<u>Revolving (W)</u>
Legal Authority	<u>Act 268, SLH 1996</u>	Appropriation Acct. No.	<u>S-325-V1</u>

Intended Purpose:

This revolving fund was established mainly for the purpose of offsetting the cost of the electronic prescription accountability system, the NED forensic drug laboratory facility, and the registration, investigation, and control of the manufacture, distribution, prescription, and dispensation of controlled substances and regulated chemicals within the State.

Source of Revenues:

All fees collected pursuant to Sections 329-31, 329-67, and 329-123(b).

Current Program Activities/Allowable Expenses:

The fund is expended for its intended purpose and to fund positions authorized by the legislature. The NED ensures the annual registration of all persons who handle controlled substances and regulated chemicals in the State, and all patients authorized by their physician to utilize marijuana for medical purposes. On 12/31/2014 the medical marijuana program will be transferred to Department of Health.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations: The revenue increased in FY 2014 is attributed to a late deposit in FY 2013 medical marijuana and controlled substance registration check into the account. On 6/25/13 Governor Abercrombie signed into law Act 177 authorized the transfer of the medical marijuana program from Department of Public Safety to the Department of Health on 12/31/14 (HB6668 CD1.) With this transfer, the Department has experienced a dramatic loss in revenue in FY16. However, revenues are expected to nearly double beginning the second half of FY17 due to the increase in fee schedule for the Prescription Monitoring Program from \$60 to \$115.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	828,571	981,967	948,795	937,850	937,850	937,850	937,850
Beginning Cash Balance	369,701.55	158,170.73	46,767.18	41,757.09	79,753.40	19,753.40	9,753.40
Revenues	587,277.11	382,683.36	413,815.83	528,122.80	640,000.00	640,000.00	640,000.00
Expenditures	597,561.72	494,086.91	418,825.92	490,126.49	700,000.00	650,000.00	600,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(201,246.21)	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	158,170.73	46,767.18	41,757.09	79,753.40	19,753.40	9,753.40	49,753.40
Encumbrances	60,291.61	14,716.51		5,100.00			
Unencumbered Cash Balance	97,879.12	32,050.67	41,757.09	74,653.40	19,753.40	9,753.40	49,753.40

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Deborah Taylor</u>
Prog ID(s):	<u>PSD 406 - Maui Community Correctional Center</u>	Phone:	<u>808-243-5030</u>
Name of Fund:	<u>Maui County Grant</u>	Fund type (MOF):	<u>County Grant (S)</u>
Legal Authority:	<u>Administrative Authority</u>	Appropriation Acct. No.:	<u>S-331-V1</u>

Intended Purpose:

Funds are awarded by the Maui County council to the Maui Community Correctional Center (MCCC) as a partnership to enable MCCC inmates to participate in valuable community activities that assist in the reintegration process.

Source of Revenues:

Maui County Council grant award to MCCC.

Current Program Activities/Allowable Expenses:

Funds are used to pay for expenses incurred by the MCCC inmate workline in areas of light construction.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The expenditure variance is due to unpaid expenditures in FY 13 that were paid in FY 2014.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	210,454	212,212	223,311	223,137	223,137	223,137	223,137
Beginning Cash Balance	194,007.28	197,218.57	187,328.01	266,470.78	236,506.21	236,506.21	236,506.21
Revenues	117,086.55	96,508.74	195,041.53	87,710.15	117,000.00	117,000.00	117,000.00
Expenditures	113,875.26	106,399.30	115,898.76	117,674.72	117,000.00	117,000.00	117,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	197,218.57	187,328.01	266,470.78	236,506.21	236,506.21	236,506.21	236,506.21
Encumbrances	2,491.06	13,589.81	13,416.03	12,423.85			
Unencumbered Cash Balance	194,727.51	173,738.20	253,054.75	224,082.36	236,506.21	236,506.21	236,506.21

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Tessie Fernandez</u>
Prig ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-1239</u>
Name of Fund:	<u>Federal Reimbursement Maximization Special Fund Fund type (MOF)</u>		<u>Special (B)</u>
Legal Authority	<u>Act 172, SLH 2001</u>	Appropriation Acct. No.	<u>S-345-V1</u>

Intended Purpose:

The purpose of this grant is to continue building on PSD's success in operating the substance abuse treatment and reentry programs. The funds were used for two Office Assistant III positions (one at Oahu Community Correctional Center and another at Women's Community Correctional Center). The funding of Source of Revenues:

All federal reimbursements received relating to the State Criminal Alien Assistance Program (SCAAP).

Current Program Activities/Allowable Expenses:

Funds are currently being used to meet the state match requirement for various grants, vehicle replacement and radios.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances: The revenue for this account is based on federal requirements to report the number of non-US citizens incarcerated for three or more days within the fiscal year. The revenue changes every year based on data received from all 50 States. If States do no report within the required timeframe, more revenue is divided up to the States that had reported the data. Higher expenditures in FY 2014 is due to increased in usage of this fund for corrections purposes.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	987,564	1,111,316	1,258,806	1,175,935	667,984	667,984	667,984
Beginning Cash Balance	1,676,962.94	1,485,245.17	1,369,840.69	999,596.74	555,845.63	615,845.63	675,845.63
Revenues	240,868.00	227,572.00	334,737.00	1,122.75	360,000.00	360,000.00	360,000.00
Expenditures	432,585.77	342,976.48	704,980.95	444,873.86	300,000.00	300,000.00	300,000.00
Transfers	0.00						
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	1,485,245.17	1,369,840.69	999,596.74	555,845.63	615,845.63	675,845.63	735,845.63
Encumbrances	440,607.15	587,528.82	404,658.00	192,185.29	0.00	0.00	0.00
Unencumbered Cash Balance	1,044,638.02	782,311.87	594,938.74	363,660.34	615,845.63	675,845.63	735,845.63

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Tessie Fernandez</u>
Prog ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-1239</u>
Name of Fund:	<u>Automated Victim Information and Notification System</u>	Fund type (MOF):	<u>Special (B)</u>
Legal Authority:	<u>Act 190,SLH 2012</u>	Appropriation Acct. No.:	<u>S-350-V1</u>

Intended Purpose:

This fund was established as the Automated Victim Information & Notification System Special Fund.

Source of Revenues:

4% surcharge on any item purchased by an In-State or Out-of-State inmate from a correctional facility commissary.

Purpose of Proposed Ceiling Increase (if applicable)

All proceeds or revenues that are derived from any commission that is realized pursuant to a telephone service agreement executed by the Department for telephone services.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances: Act 190, SLH 2012 established this account. It took time to accumulate revenues to ensure sufficient funds are available to fund expenditures.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	210,500	210,500	210,500	210,500	210,500	210,500
Beginning Cash Balance	330,503.95	446,050.38	572,895.92	726,533.25	897,477.30	923,581.30	949,685.30
Revenues	232,535.44	252,869.84	281,985.85	254,288.93	300,000.00	300,000.00	300,000.00
Expenditures	116,989.01	126,024.30	128,348.52	83,344.88	273,896.00	273,896.00	273,896.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	446,050.38	572,895.92	726,533.25	897,477.30	923,581.30	949,685.30	975,789.30
Encumbrances	10,500.00	10,500.00	10,500.00	4,062.50			
Unencumbered Cash Balance	435,550.38	562,395.92	716,033.25	893,414.80	923,581.30	949,685.30	975,789.30

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Tessie Fernandez</u>
Prog ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-1239</u>
Name of Fund:	<u>CF/CCC- Administrator/Inmate Activity Fund</u>	Fund type (MOF)	<u>Trust (T)</u>
Legal Authority	<u>Section 353-20, HRS</u>	Appropriation Acct. No.	<u>T-902-V1</u>

Intended Purpose:

This account was established to provide a mechanism to deposit funds that can be used for the benefit of all inmates at the facilities.

Source of Revenues:

Interest derived from the Prisoner Trust Account, 25% of net annual profit from facilities that operate an inmate store with inventory, and 100% of the net annual profit from facilities that operate an inmate store with contracted services.

Current Program Activities/Allowable Expenses:

Funds are used to purchase food supplies for special inmate activities, repair and maintenance of recreational equipment, musical instruments, TVs, VCRs, and video tape purchases.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: Significant decrease is due to change in the main source of revenue; inmate stores. Expenditures decrease accordingly based on revenue intake.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	77,148	75,065	83,727	75,065	75,065	75,065	75,065
Beginning Cash Balance	80,798.07	73,961.71	83,324.09	75,127.67	143,940.99	143,940.99	143,940.99
Revenues	18,839.06	36,987.01	28,682.64	113,084.62	15,000.00	15,000.00	15,000.00
Expenditures	25,675.42	27,624.63	36,879.06	44,271.30	15,000.00	15,000.00	15,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	73,961.71	83,324.09	75,127.67	143,940.99	143,940.99	143,940.99	143,940.99
Encumbrances	0.00	8,662.42		11,167.32			
Unencumbered Cash Balance	73,961.71	74,661.67	75,127.67	132,773.67	143,940.99	143,940.99	143,940.99

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Jared Redulla</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>Narcotics Enforcement Agency Trust Account - FedeFund type (MOF)</u>		<u>Trust (T)</u>
Legal Authority	<u>Section 329-55 & Chapter 712A, HRS</u>	Appropriation Acct. No.	<u>T-904-V1</u>

Intended Purpose:

This trust fund was established for the federal forfeiture proceeds derived directly or indirectly from or realized through unlawful activities.

Source of Revenues:

Federal forfeiture proceeds.

Current Program Activities/Allowable Expenses:

Funds are used for the purpose of narcotics enforcement activities only. Federal guidelines mandate that funds be used to supplement and not supplant existing state funding. Funds are used for training, purchase of equipment, and operational supplies that enhance narcotics law enforcement operations.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The Narcotics Enforcement Division (NED) during FY 2013 through FY 2015 has had to rely on it T-904 account more heavily due to replacement of necessary investigative equipment and training cost as authorized under this program while also decreasing its participation in Federal taskforces and reduction in the use of the S-235 funds. In FY 2016 NED will formally partner with the DEA and FBI in conducting pharmaceutical controlled substance investigations. This taskforce should bring additional revenues from Federal pharmaceutical controlled substance diversion cases resulting in forfeiture.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,015,248.60	1,202,019.17	1,102,834.34	1,303,301.14	1,007,238.21	1,007,238.21	1,007,238.21
Revenues	323,428.91	115,251.59	343,585.76	11,778.36	100,000.00	100,000.00	100,000.00
Expenditures	136,658.34	214,436.42	143,118.96	307,841.29	100,000.00	100,000.00	100,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	1,202,019.17	1,102,834.34	1,303,301.14	1,007,238.21	1,007,238.21	1,007,238.21	1,007,238.21
Encumbrances	85,513.42	220,809.53	72,192.21	13,241.11			
Unencumbered Cash Balance	1,116,505.75	882,024.81	1,231,108.93	993,997.10	1,007,238.21	1,007,238.21	1,007,238.21

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Jared Redulla</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>Drug Law Enforcement Equip Procurement Prgm</u>	Fund type (MOF)	<u>Trust (T)</u>
Legal Authority	<u>National Defense Authorization Act of 1993, Section 1122</u>	Appropriation Acct. No.	<u>T-905-V1</u>

Intended Purpose:

The National Defense Authorization Act of 1993, Section 1122, established a requirement that the Department of Defense develop procedures enable State and Local governments to purchase law enforcement equipment suitable for counter-drug activities through the federal procurement. This account was established to temporarily hold funds collected from the State and other agencies until ordered goods are received and paid.

Source of Revenues:

Funds collected from State and local law enforcement agencies awaiting receipt of goods purchased through federal procurement.

Current Program Activities/Allowable Expenses:

On March 31, 1997, the administrator of the Narcotics Enforcement Division (NED) was designated by the Governor to be the State Point of Contact (SPOC) for this program. The SPOC screens all purchase requests and guarantees that the requesting agencies has funds to pay for the ordered equipment. To facilitate this, NED established the Drug Law Enforcement Equipment Procurement program Trust Account to temporarily hold funds collected from State and other agencies until ordered goods are received and paid.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The projections for the out years were based on the previous actual revenues and expenditures.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	34,035.13	34,035.13	8,055.13	8,055.13	8,055.13	8,055.13	8,055.13
Revenues	0.00	0.00	0.00	0.00	150,000.00	150,000.00	150,000.00
Expenditures	0.00	25,980.00	0.00	0.00	150,000.00	150,000.00	150,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	34,035.13	8,055.13	8,055.13	8,055.13	8,055.13	8,055.13	8,055.13
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	34,035.13	8,055.13	8,055.13	8,055.13	8,055.13	8,055.13	8,055.13

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Clifford Asato</u>
Prog ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-2520</u>
Name of Fund:	<u>Temporary Deposits - Payroll Assignment</u>	Fund type (MOF)	<u>Trust (T)</u>
Legal Authority	<u>Section 331 of the State Accounting Manual</u>	Appropriation Acct. No.	<u>T-907-V1</u>

Intended Purpose:

This trust account was established for the collection of salary overpayments made to individuals on Leave Without Pay or no longer employed by the Department. The intent is to identify the individuals and to collect payroll overpayment in a timely manner.

Source of Revenues:

Salary overpayments made to individuals on Leave Without Pay or no longer employed by the Department.

Current Program Activities/Allowable Expenses:

Moneys collected are reverted back to the General Fund for prior year overpayment collections and to the specific program appropriation for current year overpayment collections.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variations: The variance in revenue is due to higher salary overpayment collections. The expenditure variance is due to the funds collected not being returned to the States.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	907,657.15	826,424.25	500,120.92	424,771.91	309,692.35	309,692.35	309,692.35
Revenues	22,208.16	44,842.19	20,265.31	16,899.77	22,000.00	22,000.00	22,000.00
Expenditures	103,441.06	371,145.52	95,614.32	131,979.33	22,000.00	22,000.00	22,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	826,424.25	500,120.92	424,771.91	309,692.35	309,692.35	309,692.35	309,692.35
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	826,424.25	500,120.92	424,771.91	309,692.35	309,692.35	309,692.35	309,692.35

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Jared Redulla</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>Narcotics Enforcement Agency Trust Account - StateFund type (MOF)</u>		<u>Trust (T)</u>
Legal Authority	<u>Section 329-55 & Chapter 712A, HRS</u>	Appropriation Acct. No.	<u>T-908-V1</u>

Intended Purpose:

This trust fund was established for the state forfeiture proceeds derived directly on indirectly from or realized through unlawful activities.

Source of Revenues:

State forfeiture proceeds.

Current Program Activities/Allowable Expenses:

Funds are used for the purpose of narcotics enforcement activities only. Funds are used for training, purchase of equipment, and operational supplies that enhance narcotics law enforcement operations.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The projections for the out years were based on the previous actual revenues and expenditures.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	39,176.47	39,176.47	31,275.43	31,242.43	31,242.43	31,242.43	31,242.43
Revenues	0.00	3,383.41	0.00	0.00	1,000.00	1,000.00	1,000.00
Expenditures	0.00	11,284.45	33.00	0.00	1,000.00	1,000.00	1,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	39,176.47	31,275.43	31,242.43	31,242.43	31,242.43	31,242.43	31,242.43
Encumbrances	0.00	4,807.00	4,774.00	4,774.00	0.00	0.00	0.00
Unencumbered Cash Balance	39,176.47	26,468.43	26,468.43	26,468.43	31,242.43	31,242.43	31,242.43

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD 900 General Administration
 Name of Fund: Gifts to the Department of Public Safety
 Legal Authority: Section 353-32, HRS

Contact Name:
 Phone:
 Fund type (MOF)
 Appropriation Acct. No.

Tessie Fernandez
587-1240
Trust (T)
T-910-V1

Intended Purpose:

This account was established to deposit any gift money given to the Department of Public Safety and expended in accordance with the law and any terms and conditions that may pertain to the gift.

Source of Revenues:

Moneys given, bequeathed, devised, or in any other manner provided from sources other than the legislature or the federal government to the Department of Public Safety.

Current Program Activities/Allowable Expenses:

Any expenditure is subject to the approval of the Director of Public Safety and according to the terms or conditions imposed by the donor.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The projections for the out years were based on the previous actual expenditures.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,666.89	7,666.89	7,666.89	7,666.89	6,476.89	5,476.89	4,476.89
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	1,190.00	1,000.00	1,000.00	1,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	7,666.89	7,666.89	7,666.89	6,476.89	5,476.89	4,476.89	3,476.89
Encumbrances	3,906.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	3,760.89	7,666.89	7,666.89	6,476.89	5,476.89	4,476.89	3,476.89

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD 613 - Crime Victim Compensation Commission</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>Victim Restitution Trust Fund</u>	Fund type (MOF)	<u>Trust (T)</u>
Legal Authority	<u>Administrative Authority</u>	Appropriation Acct. No.	<u>T-915-V1</u>

Intended Purpose:

This account was established as part of a Pilot Project in accordance with Section 353-22.6, HRS, Victim Restitution.

Source of Revenues:

Restitution collected from inmates and other offenders pursuant to their court orders.

Current Program Activities/Allowable Expenses:

Court ordered restitution collected from inmates and other offenders are disbursed to crime victims as stipulated or reimbursed to CVCC in cases where CVCC has already provided compensation to crime victims from crime related expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The FY 2013 increase in revenue for the trust account is due to an increase in the collection of payments of restitution by inmates and parolees as a result of the start of the Justice Reinvestment (JRI) project and the commission's focus on restitution collection. Both the FY 2013 and FY 2014 increases in expenditures are directly related to the increase in revenue. This trust account was set up to be a repository for restitution funds. As such, restitution paid by offenders is deposited into the account and then paid out to their victims. An increase in revenue correlates directly to an increase in expenditures. The projections for the out years were based on the 1st QTR actual revenue collections in FY 15.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	153,461.29	151,433.34	185,874.26	322,400.15	491,888.08	491,888.08	491,888.08
Revenues	295,875.54	679,712.54	588,619.58	569,850.35	250,000.00	250,000.00	250,000.00
Expenditures	297,903.49	645,271.62	452,093.69	400,362.42	250,000.00	250,000.00	250,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	151,433.34	185,874.26	322,400.15	491,888.08	491,888.08	491,888.08	491,888.08
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	151,433.34	185,874.26	322,400.15	491,888.08	491,888.08	491,888.08	491,888.08

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Clifford Asato</u>
Prog ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-2520</u>
Name of Fund:	<u>Prisoner's Trust Account</u>	Fund type (MOF)	<u>Trust (T)</u>
Legal Authority	<u>Section 353-20, HRS</u>	Appropriation Acct. No.	<u>T-998-V1</u>

Intended Purpose:

The account was established to deposit moneys earned by a committed person, moneys given by family, and other authorized sources. The Department maintains an individual ledger account for each committed person and issues statements showing credits and debits.

Source of Revenues:

Moneys earned by a committed person, moneys given by family, and other authorized sources.

Current Program Activities/Allowable Expenses:

The Department shall allow any committed person under its direction to draw from funds in the committed person's account such amounts and for such purpose as it may deem proper. Upon parole or discharge of a committed person, the department shall pay the

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The variance is due to inmate accounts for all facilities.

Reported in accordance with Section 37-47 HRS and 37-52-5 HRS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,246,538.10	1,427,457.04	1,421,200.14	1,265,144.06	1,348,052.86	1,348,052.86	1,348,052.86
Revenues	4,620,608.09	5,665,640.90	5,591,057.74	5,719,818.46	5,500,000.00	5,500,000.00	5,500,000.00
Expenditures	4,439,689.15	5,671,897.80	5,747,113.82	5,636,909.66	5,500,000.00	5,500,000.00	5,500,000.00
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0.00						
Ending Cash Balance	1,427,457.04	1,421,200.14	1,265,144.06	1,348,052.86	1,348,052.86	1,348,052.86	1,348,052.86
Encumbrances							
Unencumbered Cash Balance	1,427,457.04	1,421,200.14	1,265,144.06	1,348,052.86	1,348,052.86	1,348,052.86	1,348,052.86

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): TAX 107
 Name of Fund: CIGARETTE TAX STAMP ADMINISTRATIVE SPECIAL FUND
 Legal Authority: HRS 245-41.5

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) B
 Appropriation Acct. No. S-325-T

Intended Purpose: To provide funding to administer and operate the cigarette tax stamp program.

Source of Revenues: The allocated portion of the stamp fee designated to pay for the cost to the State of providing the stamps as provided by section 245-26.

Current Program Activities/Allowable Expenses: Monies in the fund are used to purchase stamps from the manufacturer and to pay for the cost of distributing stamps through a financial institution.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	272,000	272,000	272,000	272,000	272,000	272,000	272,000
Beginning Cash Balance	517,698	505,995	593,611	785,562	865,049	1,055,803	1,246,557
Revenues	233,681	225,117	205,988	216,893	252,000	252,000	252,000
Expenditures	135,311	87,502	65,468	61,246	61,246	61,246	61,246
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. To Next FY	(110,073)	(49,999)		(76,160)			
Tr. From Previous FY			51,431				
Net Total Transfers	(110,073)	(49,999)	51,431	(76,160)	0	0	0
Ending Cash Balance	505,995	593,611	785,562	865,049	1,055,803	1,246,557	1,437,311
Encumbrances	47,006	72,468	57,834	57,834			
Unencumbered Cash Balance	458,989	521,143	727,728	807,215	1,055,803	1,246,557	1,437,311

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): TAX 107
 Name of Fund: TAX ADMINISTRATION SPECIAL FUND
 Legal Authority: HRS 235-20.5

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) B
 Appropriation Acct. No. S-327-T

Intended Purpose: To offset costs associated with administering sections 235-20,235-110.9, and 235-110.91; to fund operations of the Special Enforcement Section (SES) and taxpayer education programs.

Source of Revenues: Fees collected under sections 235-20, 235-110.9 and 235-110.91; revenues collected by SES pursuant to section 235-85; fines assessed pursuant to section 237D-4.

Current Program Activities/Allowable Expenses: Issuing comfort letters, letter rulings, written opinions, and other guidance to taxpayers; issuing certificates under sections 235-110.9 and 235-110.91; administering the operations of SES; and developing, implementing, and providing taxpayer education programs, including tax publications.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Variances in the revenues were due to cases involving transient accommodations tax (TAT).

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	775,875	791,104	797,097	800,669	2,656,312	2,656,312	2,656,312
Beginning Cash Balance	471,859	1,953,704	2,641,947	4,900,692	7,380,807	8,299,702	9,218,597
Revenues	1,663,126	2,674,245	4,817,767	7,319,279	8,000,000	8,000,000	8,000,000
Expenditures	321,670	532,298	585,277	638,472	1,081,105	1,081,105	1,081,105
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. From Previous FY	140,389		500				
Tr. To G-00-000		(1,453,704)	(1,974,245)	(4,200,692)	(6,000,000)	(6,000,000)	(6,000,000)
Net Total Transfers	140,389	(1,453,704)	(1,973,745)	(4,200,692)	(6,000,000)	(6,000,000)	(6,000,000)
Ending Cash Balance	1,953,704	2,641,947	4,900,692	7,380,807	8,299,702	9,218,597	10,137,492
Encumbrances	868	0	0	0			
Unencumbered Cash Balance	1,952,836	2,641,947	4,900,692	7,380,807	8,299,702	9,218,597	10,137,492

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: EST/ADM LICENSE AND PERMIT TOBACCO AND CIGARETTE
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) X
 Appropriation Acct. No. S-330-T

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses: This fund should be closed and the remaining balance transferred to the general fund. This fund does not serve the purpose for which it was created and has had no financial activity since FY09 per State Auditor report.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	42,905	42,905	42,905	42,905	42,905	42,905	42,905
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	42,905	42,905	42,905	42,905	42,905	42,905	42,905
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	42,905	42,905	42,905	42,905	42,905	42,905	42,905

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: IRS REFUND INTERECEPT ACCOUNT
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-916-T

Intended Purpose: This account was established as a clearing account for delinquent tax collections owed to the IRS.

Source of Revenues:

Current Program Activities/Allowable Expenses: In accordance with a federal/state agreement, the department intercepts state tax refunds owed to Hawaii taxpayers to cover delinquent federal taxes owed by those taxpayers. Delinquent taxes owed to the IRS are disbursed from the Undistributed Tax Collections Accounts to this account and subsequently disbursed to the IRS. However, the account has been inactive since FY2000 as IRS fund intercepts are now taken directly from Undistributed Tax Collections Accounts as the requests are processed by DAGS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,054	1,054	1,054	1,054	1,054	1,054	1,054
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,054	1,054	1,054	1,054	1,054	1,054	1,054
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,054	1,054	1,054	1,054	1,054	1,054	1,054

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: LITIGATED CLAIMS FUND
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-931-T

Intended Purpose: This fund is used as a holding account for amounts in dispute when tax appeal cases are litigated. The disputed amount is disbursed to either the State's general fund or the taxpayer after the case is resolved.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	252,019,624	251,793,850	10,306,952	48,379,299	48,551,944	48,703,138	48,854,332
Revenues	910,855	39,124,865	48,010,531	212,325	212,325	212,325	212,325
Expenditures	1,136,629	280,611,763	9,938,184	61,131	61,131	61,131	61,131
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JT0298				21,451			
Net Total Transfers	0	0	0	21,451	0	0	0
Ending Cash Balance	251,793,850	10,306,952	48,379,299	48,551,944	48,703,138	48,854,332	49,005,526
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	251,793,850	10,306,952	48,379,299	48,551,944	48,703,138	48,854,332	49,005,526

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: SALES OF ASSETS FOR DELINQUENT TAXES-OAHU
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-936-T

Intended Purpose: This trust account was established to hold surplus funds from the sale of property seized and foreclosed upon to pay unpaid taxes, penalties, interest, costs, and expenses.

Source of Revenues: This trust account was established to hold surplus funds from the sale of property seized and foreclosed upon to pay unpaid taxes, penalties, interest, costs, and expenses.

Current Program Activities/Allowable Expenses: Section 231-25(b)(7)(D), HRS, requires that, once payment for unpaid taxes has been made, any surplus received following the sale of the seized property be deposited with DOTAX until returned to the owner. Though the account is inactive, the account is needed to meet the statutory requirements regarding collection efforts pertaining to the sale of property to pay taxes, penalties, interest, costs, and expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	3,381	3,381	3,381	3,381	3,381	3,381	3,381
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,381	3,381	3,381	3,381	3,381	3,381	3,381
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3,381	3,381	3,381	3,381	3,381	3,381	3,381

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: TAX RESERVE FUND
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF): T
 Appropriation Acct. No.: T-920-T

Intended Purpose: To provide funding to administer and operate the cigarette tax stamp program.

Source of Revenues: The allocated portion of the stamp fee designated to pay for the cost to the State of providing the stamps as provided by section 245-26.

Current Program Activities/Allowable Expenses: Monies in the fund are used to purchase stamps from the manufacturer and to pay for the cost of distributing stamps through a financial institution.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): TAX 107
 Name of Fund: CIGARETTE TAX STAMP ADMINISTRATIVE SPECIAL FUND
 Legal Authority: HRS 231-23

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF): T
 Appropriation Acct. No.: T-915-T

Intended Purpose: Established as a clearing account to enable the department to refund taxes collected.

Source of Revenues: This fund collects amounts from the Undistributed Tax Collections Accounts and disburses them to taxpayers as refunds on overpaid taxes. Taxpayers who are owed tax refunds benefit from the fund and have a vested interest in the fund. Revenues come from overpaid taxes collected from taxpayers, and expenditures consist of tax refunds.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	(0)	(0)	(0)	(0)	(0)
Revenues	560,893,209	607,537,353	653,129,955	681,022,434	681,022,434	681,022,434	681,022,434
Expenditures	550,131,713	601,279,702	650,133,526	673,046,676	673,046,676	673,046,676	673,046,676
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(10,761,496)	(6,257,651)	(2,996,429)	(7,975,758)	(7,975,758)	(7,975,758)	(7,975,758)
Net Total Transfers	(10,761,496)	(6,257,651)	(2,996,429)	(7,975,758)	(7,975,758)	(7,975,758)	(7,975,758)
Ending Cash Balance	0	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	(0)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: TAXES-PAID-UNDER-PROTEST ACCOUNTS
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-921, 922, 924-T

Intended Purpose: These trust accounts were established to collect amounts related to taxes paid under protest.

Source of Revenues: Moneys are disbursed from the Undistributed Tax Collections Accounts to the Taxes-Paid-Under-Protest Account. When a tax appeal case is litigated, the amounts in dispute are disbursed to the Litigated Claims Fund.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	6,129,688	6,129,688	20,493	21,531	121,077	220,623	320,169
Revenues	0	1,515,450	274,014	99,546	99,546	99,546	99,546
Expenditures	0	7,624,645	272,976	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,129,688	20,493	21,531	121,077	220,623	320,169	419,715
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	6,129,688	20,493	21,531	121,077	220,623	320,169	419,715

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: TAXES PAYABLE TO COUNTIES-FUEL ACCOUNTS
 Legal Authority ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-902-T

Intended Purpose: These accounts do not meet the criteria for trust accounts and should be closed per the State Auditor Report. These accounts were established as clearing accounts for fuel tax collections payable to the counties. Separate accounts were established for the Honolulu, Maui, and Kauai districts. The accounts have been inactive since FY07, and the moneys now go directly to the counties.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,545,852	1,545,852	1,545,852	1,545,852	1,545,852	1,545,852	1,545,852
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,545,852	1,545,852	1,545,852	1,545,852	1,545,852	1,545,852	1,545,852
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,545,852	1,545,852	1,545,852	1,545,852	1,545,852	1,545,852	1,545,852

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: TEMPORARY DEPOSITS-PAYROLL OVERPAYMENT TRUST ACCOUNT
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF): T
 Appropriation Acct. No. T-933-T

Intended Purpose: This account was established as a temporary deposit account for employees who received a payroll overpayment. When the total overpayment amount has been recovered in full from the employee, moneys are taken from this account and deposited into the payroll clearance fund.
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,238	1,238	1,238	1,238	1,238	1,238	1,238
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,238	1,238	1,238	1,238	1,238	1,238	1,238
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,238	1,238	1,238	1,238	1,238	1,238	1,238

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: UNDISTRIBUTED TAX COLLECTIONS ACCOUNTS
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) T
 Appropriation Acct. No. T-991, 992, 993, 994-T

Intended Purpose: These accounts were established as clearing accounts for taxes collected on behalf of the various counties. Separate accounts were established for the Honolulu, Maui, Hawaii, and Kauai districts. All taxes collected by DOTAX are deposited into these accounts and then disbursed to other trust accounts. Funds and accounts receiving disbursements from these accounts may include the Tax Reserve Fund (to provide taxpayers refunds for overpayments) and the Taxes-Paid-Under-Protest Accounts.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	(10,019,673)	(12,403,233)	(12,643,758)	(5,272,926)	(9,857,094)	(5,483,548)	(1,110,002)
Revenues	6,957,567,036	7,382,852,013	7,551,629,448	7,551,629,448	7,551,629,448	7,551,629,448	7,551,629,448
Expenditures	6,959,950,596	7,385,610,954	7,547,255,902	7,547,255,902	7,547,255,902	7,547,255,902	7,547,255,902
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		2,518,416	2,997,286	(8,957,714)			
Net Total Transfers	0	2,518,416	2,997,286	(8,957,714)	0	0	0
Ending Cash Balance	(12,403,233)	(12,643,758)	(5,272,926)	(9,857,094)	(5,483,548)	(1,110,002)	3,263,544
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(12,403,233)	(12,643,758)	(5,272,926)	(9,857,094)	(5,483,548)	(1,110,002)	3,263,544

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TAX
 Prog ID(s): _____
 Name of Fund: UNEMPLOYMENT TAX CLEARANCE ACCOUNTS
 Legal Authority: ADMINISTRATIVELY ESTABLISHED

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) X
 Appropriation Acct. No. S-395, 396, 397-T

Intended Purpose: These accounts are used as clearing accounts for the deposit of unemployment taxes owed to the Unemployment Compensation Trust Fund.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	485,000	485,000	485,000	485,000	485,000	485,000	485,000
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	485,000	485,000	485,000	485,000	485,000	485,000	485,000
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	485,000	485,000	485,000	485,000	485,000	485,000	485,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund (O & M)
 Legal Authority: HRS 248-8/261-5/261-7

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:
 Operating Appropriations
 Source of Revenues:
 Airport Operating Revenues and Interest Income
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses including state surcharge and debt service
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	554,091,772	435,788,768	506,282,987	489,657,098	548,307,702		
Beginning Cash Balance	180,459,375	227,550,661	237,572,369	232,765,328	226,787,346	226,787,346	226,787,346
Revenues	61,061	4,264,906	156,632	142,122			
Expenditures	328,938,312	348,122,414	383,913,461	399,084,306	429,460,053	457,269,479	470,139,686
Transfers	375,968,536	353,879,216	378,949,789	392,964,201			
List each by JV# and date							
Net Total Transfers	375,968,536	353,879,216	378,949,789	392,964,201	429,460,053	457,269,479	470,139,686
Ending Cash Balance	227,550,661	237,572,369	232,765,328	226,787,346	226,787,346	226,787,346	226,787,346
Encumbrances	127,413,112	155,728,384	141,565,202	146,829,220			
Unencumbered Cash Balance	100,137,548	81,843,985	91,200,126	79,958,125	226,787,346	226,787,346	226,787,346

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-308-D
Outside State Treasury

Intended Purpose:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in debt service costs due to sale of bonds

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,900,000	10,507,207	1,140,000	36,317,933	36,318,000		
Beginning Cash Balance	442,195	0	79	(0)	28,049,057	28,049,057	28,049,057
Revenues			0	19,974,106			
Expenditures	442,195	523,645	813,952	6,574,281	15,311,116	31,926,258	31,359,551
Transfers		523,724	813,873	14,649,232			
List each by JV# and date							
Net Total Transfers	0	523,724	813,873	14,649,232	15,311,116	31,926,258	31,359,551
Ending Cash Balance	0	79	(0)	28,049,057	28,049,057	28,049,057	28,049,057
Encumbrances							
Unencumbered Cash Balance	0	79	(0)	28,049,057	28,049,057	28,049,057	28,049,057

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:
 Airport Revenue Fund
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Transferred operating revenues to fund operating activities. No allowable expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Variances
 Projected incremental increases based on projected increases in passenger traffic and inflation

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	149,161,962	105,786,091	102,272,805	115,687,885	159,949,026	160,403,877	47,335,139
Revenues	347,457,609	359,063,294	402,407,463	449,631,365	453,128,000	489,468,000	509,695,000
Expenditures							
Transfers	(378,468,594)	(349,790,139)	(377,514,391)	(390,653,181)			
List each by JV# and date				0			
5% surcharge (per audited F/S)	(12,364,886)	(12,786,441)	(11,477,992)	(14,717,043)			
Net Total Transfers	(390,833,479)	(362,576,580)	(388,992,383)	(405,370,224)	(452,673,150)	(602,536,737)	(509,948,238)
Ending Cash Balance	105,786,091	102,272,805	115,687,885	159,949,026	160,403,877	47,335,139	47,081,902
Encumbrances							
Unencumbered Cash Balance	105,786,091	102,272,805	115,687,885	159,949,026	160,403,877	47,335,139	47,081,902

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Passenger Facility Charge Special Fund
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-363-D

Intended Purpose:

Used for Passenger Facility Charge Program (Inception date 10/01/01)

Source of Revenues:

Passenger Facility Charge (PFC) Collections and related interest income

Current Program Activities/Allowable Expenses:

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	99,107,951	108,276,897	134,522,929	134,300,103	178,329,490	189,672,490	187,082,490
Revenues	36,483,697	38,866,936	38,953,765	44,056,252	47,005,000	47,966,000	48,825,000
Expenditures							
Transfers	(27,314,750)	(12,620,904)	(39,176,590)	(26,866)			
List each by JV# and date							
Net Total Transfers	(27,314,750)	(12,620,904)	(39,176,590)	(26,866)	(35,662,000)	(50,556,000)	(51,508,000)
Ending Cash Balance	108,276,897	134,522,929	134,300,103	178,329,490	189,672,490	187,082,490	184,399,490
Encumbrances							
Unencumbered Cash Balance	108,276,897	134,522,929	134,300,103	178,329,490	189,672,490	187,082,490	184,399,490

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	21,313,337	110,083,199	167,808,469	64,030,122	87,504,810	0	0
Revenues	64,366,563	69,216,192	70,035,526	8,323,700		0	0
Expenditures				43,000,000	0		
Transfers	24,403,299	(11,490,922)	(173,813,873)	58,150,988			
List each by JV# and date							
Net Total Transfers	24,403,299	(11,490,922)	(173,813,873)	58,150,988	(87,504,810)	0	0
Ending Cash Balance	110,083,199	167,808,469	64,030,122	87,504,810	0	0	0
Encumbrances							
Unencumbered Cash Balance	110,083,199	167,808,469	64,030,122	87,504,810	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-370-D
Outside State Treasury

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	127,874,586	58,270,870	123,427,012
Revenues	0	0	0	66,882,169	74,381,000	225,756,000	77,104,000
Expenditures				0	143,984,716	160,599,858	95,696,351
Transfers	0	0	0	60,992,417			
List each by JV# and date							
Net Total Transfers	0	0	0	60,992,417	0	0	0
Ending Cash Balance	0	0	0	127,874,586	58,270,870	123,427,012	104,834,660
Encumbrances							
Unencumbered Cash Balance	0	0	0	127,874,586	58,270,870	123,427,012	104,834,660

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Prepaid Airport Use Charge Fund
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-365-D

Intended Purpose:

To provide for overpayments of Airport Use Charges

Source of Revenues:

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

Current Program Activities/Allowable Expenses:

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Payment out is to clear overpayment balance due as agreed to in the payment plan.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	21,797,541	0	0	0	0	0	0
Revenues							
Expenditures	0	0					
Transfers	0	0	0	0			
List each by JV# and date							
Net Total Transfers	(21,797,541)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Account Closed. Balance Transferred to Trust Account T-XX-963

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Future CIP
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:
 Provide a reserve for future Airport System Capital Improvement Projects
 Source of Revenues:
 Airport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 Transferred to fund the Airport System Capital Improvement Projects
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	53,214,466	58,104,972	34,173,696	27,349,252	18,765,124	12,510,082	6,255,041
Revenues						100,000,000	
Expenditures							
Transfers	4,890,506	(23,931,276)	(6,824,443)	(8,584,129)			
List each by JV# and date							
Net Total Transfers	4,890,506	(23,931,276)	(6,824,443)	(8,584,129)	(6,255,041)	(106,255,041)	(6,255,041)
Ending Cash Balance	58,104,972	34,173,696	27,349,252	18,765,124	12,510,082	6,255,041	0
Encumbrances							
Unencumbered Cash Balance	58,104,972	34,173,696	27,349,252	18,765,124	12,510,082	6,255,041	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Airline Rate Mitigation
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-367-D

Intended Purpose:

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

Source of Revenues:

Aiport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	0	0	0	0			
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for O & M Expenses
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-368-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	69,420,151	77,857,740	84,064,428	84,064,428	91,016,869	98,254,869	109,487,869
Revenues							
Expenditures							
Transfers	8,437,589	6,206,688	0	6,952,441			
List each by JV# and date							
Net Total Transfers	8,437,589	6,206,688	0	6,952,441	7,238,000	11,233,000	3,357,000
Ending Cash Balance	77,857,740	84,064,428	84,064,428	91,016,869	98,254,869	109,487,869	112,844,869
Encumbrances							
Unencumbered Cash Balance	77,857,740	84,064,428	84,064,428	91,016,869	98,254,869	109,487,869	112,844,869

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Debt Service Funded Coverage
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-369-D

Intended Purpose:

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	19,311,268	19,311,268	22,338,019	22,338,019	22,338,019	22,502,000	24,110,000
Revenues							
Expenditures							
Transfers							
List each by JV# and date	0	3,026,751	0	0			
Net Total Transfers	0	3,026,751	0	0	163,981	1,608,000	4,592,000
Ending Cash Balance	19,311,268	22,338,019	22,338,019	22,338,019	22,502,000	24,110,000	28,702,000
Encumbrances							
Unencumbered Cash Balance	19,311,268	22,338,019	22,338,019	22,338,019	22,502,000	24,110,000	28,702,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Interest Account
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-375-D

Intended Purpose:
 Used for payment of interest on outstanding bonds
 Source of Revenues:
 Airport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 Payment of interest on outstanding revenue bonds
 Purpose of Proposed Ceiling Increase (if applicable):

Variances
 Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	21,672,006	20,757,862	35,445,920	27,942,602	24,890,061	24,890,061	24,890,061
Revenues	41,515,725	60,346,535	50,416,476	48,596,526	47,423,000	51,751,000	68,003,000
Expenditures	42,274,856	40,735,372	52,685,366	49,506,501	47,423,000	51,751,000	68,003,000
Transfers	(155,012)	(4,923,105)	(5,234,429)	(2,142,566)			
List each by JV# and date							
Net Total Transfers	(155,012)	(4,923,105)	(5,234,429)	(2,142,566)			
Ending Cash Balance	20,757,862	35,445,920	27,942,602	24,890,061	24,890,061	24,890,061	24,890,061
Encumbrances							
Unencumbered Cash Balance	20,757,862	35,445,920	27,942,602	24,890,061	24,890,061	24,890,061	24,890,061

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Serial & Principal Account
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-376-D

Intended Purpose:

Used for principal payments on outstanding serial bonds

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Payment of principal on outstanding serial revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000	40,755,000	40,755,000
Revenues	35,725,000	37,290,000	38,935,000	40,755,000	42,585,000	44,690,000	46,805,000
Expenditures	34,210,000	35,725,000	37,290,000	38,935,000	42,585,000	44,690,000	46,805,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	35,725,000	37,290,000	38,935,000	40,755,000	40,755,000	40,755,000	40,755,000
Encumbrances							
Unencumbered Cash Balance	35,725,000	37,290,000	38,935,000	40,755,000	40,755,000	40,755,000	40,755,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Debt Service Reserve
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-378-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in revenue dependent on bond sale for airport improvement program

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	124,060,008
Revenues						27,167,000	19,384,000
Expenditures							
Transfers				0			
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	124,060,008	143,444,008
Encumbrances							
Unencumbered Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	124,060,008	143,444,008

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	120,863,008	146,708,008

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-379-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on ongoing and future projects for airport programs

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000
Revenues							
Expenditures	26,808	0	0	0	500,000	500,000	500,000
Transfers	127,883	0	0	0			
List each by JV# and date							
Net Total Transfers	127,883	0	0	0	500,000	500,000	500,000
Ending Cash Balance	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000
Encumbrances							
Unencumbered Cash Balance	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN - 195
 Name of Fund: Airport System Legis Claims Against The State-Airports
 Legal Authority: A 19/SL 17

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-395-D

Intended Purpose:
 To satisfy claims for legislative release. (Helix Electric, Inc. v. DCK Pacific Construction, LLC.)
 Source of Revenues:
 Airport Revenue Fund
 Current Program Activities/Allowable Expenses:
 Payments to subcontractors and suppliers for claims: judgements, settlements, or other liabilities.
 Purpose of Proposed Ceiling Increase (if applicable):

Variances
 Estimated expenditures dependent on claims

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			10,080,000				
Beginning Cash Balance	0	0	0	10,080,000	6,331,681	0	0
Revenues							
Expenditures	0	0	0	3,748,319	6,331,681	0	0
Transfers	0	0	10,080,000				
List each by JV# and date							
Net Total Transfers	0	0	10,080,000	0	0	0	0
Ending Cash Balance	0	0	10,080,000	6,331,681	0	0	0
Encumbrances	0		2,473,600	206,543			
Unencumbered Cash Balance	0	0	10,080,000	6,125,138	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Bond Revenue CIP Accounts
 Legal Authority: HRS 39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) E
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:

Bond funded capital improvement projects

Source of Revenues:

Sale of Bond

Current Program Activities/Allowable Expenses:

Funded capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement projects will be implemented

Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	536,540,000	251,743,000	348,919,000	500,437,000	0	358,077,000	350,250,000
Beginning Cash Balance	144,748,530	43,114,377	205,066,630	172,555,085	143,040,672	95,360,448	47,680,224
Revenues		250,006,365	0	232,075,074	0	358,077,000	350,250,000
Expenditures	101,634,153	88,054,112	75,511,545	218,589,487	47,680,224	405,757,224	397,930,224
Transfers			43,000,000	(43,000,000)			
List each by JV# and date							
Net Total Transfers	0	0	43,000,000	(43,000,000)	0	0	0
Ending Cash Balance	43,114,377	205,066,630	172,555,085	143,040,672	95,360,448	47,680,224	0
Encumbrances	405,371,526	813,157,977	0	0			
Unencumbered Cash Balance	(362,257,150)	(608,091,347)	172,555,085	143,040,672	95,360,448	47,680,224	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds		250,006,365	0	232,075,074	0	358,077,000	350,250,000
EB-5							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 114
 Name of Fund: General Obligation Bond Fund
 Legal Authority: HRS 39

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) C
 Appropriation Acct. No. B-XX-700/B-XX-701

Intended Purpose:
 Various Improvements to Ellison Onizuka Space Museum/KONA Airport Fed Inspection Station
 Source of Revenues:
 General Obligation Bond
 Current Program Activities/Allowable Expenses:
 Capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	2,500,000	2,500,000	2,500,000	2,500,000	
Beginning Cash Balance		0	0	2,500,000	1,536,493	0	0
Revenues							
Expenditures				963,507	1,536,493		
Transfers							
List each by JV# and date							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance		0	0	1,536,493	0	0	0
Encumbrances							
Unencumbered Cash Balance		0	0	1,536,493	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds					0	0	
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:
 Special funded capital improvement projects
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Funded capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,450,000	15,300,000	61,730,000	26,203,000	28,518,000	0	
Beginning Cash Balance	62,176,381	64,690,663	60,885,348	71,212,914	38,833,478	12,080,520	33,071,561
Revenues	0		0	0			
Expenditures	24,827,418	6,810,741	(3,503,123)	40,963,565	33,008,000	85,264,000	25,982,000
Transfers	27,341,700	3,005,426	6,824,443	8,584,129			
List each by JV# and date							
Net Total Transfers	27,341,700	3,005,426	6,824,443	8,584,129	6,255,041	106,255,041	6,255,041
Ending Cash Balance	64,690,663	60,885,348	71,212,914	38,833,478	12,080,520	33,071,561	13,344,602
Encumbrances	57,466,425	92,060,265	94,859,694	71,397,128			
Unencumbered Cash Balance	7,224,239	(31,174,917)	(23,646,780)	(32,563,650)	12,080,520	33,071,561	13,344,602

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) X
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:
 Used to fund projects funded by the Passenger Facility Charge Program & other contributions
 Source of Revenues:
 Passenger Facility Charges Funds
 Current Program Activities/Allowable Expenses:
 Funded approved capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):
 New capital improvement project will be implemented
 Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	125,000	0	0	0	0	0
Beginning Cash Balance	29,831,970	9,559,053	14,519,350	15,703,315	6,837,629	6,837,629	6,837,629
Revenues			0	0			
Expenditures	16,103,989	28,697,307	37,341,808	8,006,599	35,662,000	50,556,000	51,508,000
Transfers	(4,168,928)	33,657,604	38,525,773	(859,087)			
List each by JV# and date							
Net Total Transfers	(4,168,928)	33,657,604	38,525,773	(859,087)	35,662,000	50,556,000	51,508,000
Ending Cash Balance	9,559,053	14,519,350	15,703,315	6,837,629	6,837,629	6,837,629	6,837,629
Encumbrances	6,008,372	27,726,316	11,214,427	8,766,146			
Unencumbered Cash Balance	3,550,681	(13,206,966)	4,488,887	(1,928,518)	6,837,629	6,837,629	6,837,629

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) X/B
 Appropriation Acct. No. S-XX-9XX-D; S-XX-7XX-D

Intended Purpose:

Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

Source of Revenues:

Rent A Car Facility Charges (CFC) Funds

Current Program Activities/Allowable Expenses:

Funded approved capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented

Variances:

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	42,000,000	86,811,000	0	0	0	0	0
Beginning Cash Balance	106,478,703	71,946,658	48,431,290	79,322,128	20,567,957	0	0
Revenues	34,910,142		41,089,858	0			0
Expenditures	43,848,165	34,623,020	140,199,020	95,731,991	20,567,957		
Transfers	(25,594,022)	11,107,652	130,000,000	36,977,819			
List each by JV# and date							
Net Total Transfers	(25,594,022)	11,107,652	130,000,000	36,977,819			
Ending Cash Balance	71,946,658	48,431,290	79,322,128	20,567,957	0	0	0
Encumbrances	179,715,500	256,601,553	284,968,311	189,215,588			
Unencumbered Cash Balance	(107,768,842)	(208,170,263)	(205,646,183)	(168,647,632)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
EB-5	34,910,142						
CFC					0	0	
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal CIP
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-16X-D to S-XX-19X-D;
S-XX-26X-D to S-XX-29X-D;

Intended Purpose:

Capital improvement projects

Source of Revenues:

Federal Grants

Current Program Activities/Allowable Expenses:

Capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented; additional funds required for for existing appropriations

Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,810,000	47,875,000	44,205,000	11,002,000			
Beginning Cash Balance	13,463,387	13,910,085	15,463,906	13,269,320	20,806,354	20,806,354	20,806,354
Revenues	40,078,813	28,892,579	11,757,789	21,298,577	0	0	0
Expenditures	39,556,205	25,813,439	13,663,472	13,633,014	0	0	0
Transfers	(75,911)	(1,525,319)	(288,903)	(128,528)			
List each by JV# and date							
Net Total Transfers	(75,911)	(1,525,319)	(288,903)	(128,528)	0	0	0
Ending Cash Balance	13,910,085	15,463,906	13,269,320	20,806,354	20,806,354	20,806,354	20,806,354
Encumbrances	40,521,771	45,701,388	51,143,021	51,143,021			
Unencumbered Cash Balance	(26,611,687)	(30,237,482)	(37,873,702)	(30,336,667)	20,806,354	20,806,354	20,806,354

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal O & M
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D
S-XX-50X-D

Intended Purpose:
 Federal portion of projects matched by operating funds
 Source of Revenues:
 Federal grants
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,037,250	9,695,545	10,881,000	10,802,983	3,606,000		
Beginning Cash Balance	1,327,075	1,131,366	1,032,386	1,251,256	1,446,058	1,446,058	1,446,058
Revenues	251,975	270,728	1,907,150	2,822,383	2,000,000	2,000,000	2,000,000
Expenditures	523,595	1,895,027	1,977,184	2,756,109	2,000,000	2,000,000	2,000,000
Transfers	75,911	1,525,319	288,903	128,528			
List each by JV# and date							
Net Total Transfers	75,911	1,525,319	288,903	128,528	0	0	0
Ending Cash Balance	1,131,366	1,032,386	1,251,256	1,446,058	1,446,058	1,446,058	1,446,058
Encumbrances	4,743,121	4,989,448	6,065,413	8,464,164			
Unencumbered Cash Balance	(3,611,755)	(3,957,062)	(4,814,157)	(7,018,106)	1,446,058	1,446,058	1,446,058

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) R
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:
 Used to fund project funded by private contribution
 Source of Revenues:

Current Program Activities/Allowable Expenses:
 Funded approved capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,995,000	3,995,000			
Beginning Cash Balance	131	0	0	0	0	0	0
Revenues	0						
Expenditures	0						
Transfers	(131)	0	0	0			
List each by JV# and date							
Net Total Transfers	(131)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Temporary Deposits
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-961

Intended Purpose:
 Security and Other Deposits
 Source of Revenues:
 Customer security and other deposits
 Current Program Activities/Allowable Expenses:
 Received and refunded security and other deposits
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,866,733	55,541,397	7,387,041	8,452,929	9,125,803	9,125,803	9,125,803
Revenues	202,145,900	1,647,910	1,450,957	1,130,475	0	0	0
Expenditures	152,471,236	431,613	385,070	457,601	0	0	0
Transfers		(49,370,653)	0	0			
List each by JV# and date							
Net Total Transfers		(49,370,653)	0	0			
Ending Cash Balance	55,541,397	7,387,041	8,452,929	9,125,803	9,125,803	9,125,803	9,125,803
Encumbrances							
Unencumbered Cash Balance	55,541,397	7,387,041	8,452,929	9,125,803	9,125,803	9,125,803	9,125,803

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Prepaid Airport Use Charge Fund
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF): T
 Appropriation Acct. No.: T-XX-963-D

Intended Purpose:

To provide for overpayments of Airport Use Charges

Source of Revenues:

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

Current Program Activities/Allowable Expenses:

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Payment out is to clear overpayment balance due as agreed to in the payment plan.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	8,591,873	9,245,460	10,350,275	12,403,342	11,403,342	10,403,342
Revenues	0	4,653,587	1,104,816	6,553,067			
Expenditures	0	4,000,000	0	4,500,000	1,000,000	1,000,000	1,000,000
Transfers	8,591,873		0	0			
List each by JV# and date							
Net Total Transfers	8,591,873						
Ending Cash Balance	8,591,873	9,245,460	10,350,275	12,403,342	11,403,342	10,403,342	9,403,342
Encumbrances							
Unencumbered Cash Balance	8,591,873	9,245,460	10,350,275	12,403,342	11,403,342	10,403,342	9,403,342

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Energy Savings Performance Contract
 Legal Authority: HRS 36-41

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-964-D

Intended Purpose:
 ESCO/ESPC Energy Savings
 Source of Revenues:
 Savings from Utilities and Interest Income
 Current Program Activities/Allowable Expenses:
 Payment of P&I, COPS Issuance costs and trustee fees
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
			(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	40,778,779	24,306,466	69,085,173	49,111,917	0	0
Revenues	0	8,090,011	51,576,368	608,379			
Expenditures	0	24,562,324	6,797,661	20,581,636	49,111,917		
Transfers	40,778,779		0	0			
List each by JV# and date							
Net Total Transfers	40,778,779						
Ending Cash Balance	40,778,779	24,306,466	69,085,173	49,111,917	0	0	0
Encumbrances							
Unencumbered Cash Balance	40,778,779	24,306,466	69,085,173	49,111,917	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Revenue Bonds
 Legal Authority: A345/SL 86 - A49/SL 17

Contact Name: Kendrick Au
 Phone: 587-3666
 Fund type (MOF): Bond Funds
 Appropriation Acct. No.: B-86-651-D to B-18-679

Intended Purpose: To Fund CIP Projects in Accordance with Bond Covenants

Source of Revenues: Revenue Bond Proceeds

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Expenditures are expected to increase from FY2019 through FY2021 due to the increased construction activity related to the "Build-out" of the KMR Piers and Container Yard Facilities at the Honolulu Harbor and due to the increased construction activity at Kalaeloa Barbers Point Harbor for Infrastructure Improvements and due to the purchase of a land parcel located at Kahului Harbor.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,735,000	306,735,000	539,675,000	38,200,000	139,910,000		
Beginning Cash Balance	144,147,370	111,761,268	81,310,073	127,610,233	31,971,878	(0)	130,140,000
Revenues	-					250,000,000	
Expenditures	32,386,102	30,451,195	23,699,840	61,498,355	81,121,279	164,076,000	146,864,000
Transfers							
List each by JV# and date							
Loans from Harbor Special Fund - Cash Financing JV			70,000,000	860,000			
Loans from Harbor State CIP Special Fund-Cash Financing JV JM6304				35,000,000			
Loans from Harbor State CIP Cash Financing per FY19 Multi-Year					49,149,401	59,000,000	66,500,000
Transfer from Proceeds of New Bond Issuance to Debt Service Reserve per Requirements of Debt Issuance						(14,784,000)	
Repayment of Advances Made by Harbor Special Fund				(70,000,000)			
Net Total Transfers	0	0	70,000,000	(34,140,000)	49,149,401	44,216,000	66,500,000
Ending Cash Balance	111,761,268	81,310,073	127,610,233	31,971,878	(0)	130,140,000	49,776,000
Encumbrances	86,529,524	62,783,710	34,495,171	203,606,949	141,662,949	392,086,949	245,222,949
Unencumbered Cash Balance	25,231,744	18,526,363	93,115,062	(171,635,071)	(141,662,949)	(261,946,949)	(195,446,949)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments						14,784,000	

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Federal Operating Allot (Type A)
 Legal Authority: Fed Fund

Contact Name: Kendrick Au
 Phone: 587-3666
 Fund type (MOF): Special Funds (N & V Funds)
 Appropriation Acct. No. S-09-248-D to S-16-511-D

Intended Purpose: State Funds Match Required by Federal Grants

Source of Revenues: Loans from Harbors Special Funds

Current Program Activities/Allowable Expenses: Port Security Improvements

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,075,033	2,075,039	1,023,818	105,456	1,951	0	0
Revenues	6				103,505		
Expenditures		160,273		103,505	1,951		
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
List each by JV# and date							
Loan from Harbors Special Fund			103,505				
Repayment of Loans Made by Harbors Special Fund		(890,948)	(1,021,867)		(103,505)		
Net Total Transfers	0	(890,948)	(918,362)	0	(103,505)	0	0
Ending Cash Balance	2,075,039	1,023,818	105,456	1,951	0	0	0
Encumbrances							
Unencumbered Cash Balance	2,075,039	1,023,818	105,456	1,951	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: State CIP
 Legal Authority: A296/SL 91 - A49/SL 17

Contact Name: Kendrick Au
 Phone: 587-3666
 Fund type (MOF): Special Funds (B & R Funds)
 Appropriation Acct. No. S-91-627-D to S-17-625-D

Intended Purpose: To Fund CIP Projects with Specific Appropriations

Source of Revenues: Harbors Special Fund

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY2018 CIP expenditures for projects funded by Harbors Cash Balances, other than Harbors Modernization Projects, are planned to proceed.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,050,000	4,575,000	24,470,000	8,197,000	8,509,000	30,000,000	30,000,000
Beginning Cash Balance	39,200,359	35,641,152	40,939,836	39,217,990	138,063,698	87,504,297	72,504,297
Revenues	4,821	900,000					
Expenditures	9,009,775	4,790,326	6,651,846	23,684,803	47,410,000	15,000,000	15,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers In	5,445,747	9,189,010	4,930,000				
Advances fm Harbors Special Fund JS1049				34,000,000			
Advances fm Harbors Special Fund JS1723				29,000,000			
Trf fm Harbors Special Fund for KMR Land FAMIS JV JM3034				32,820,000			
Loan to Special Funds CIP - Land Related Items JV JM3034				3,180,000			
Trf to Harbors State CIP - Cash Financing FAMIS JV JS3908				15,000,000			
Trf to Harbors State CIP - Cash Financing FAMIS JV JS3909				15,590,511			
Trf to Harbors State CIP - Cash Financing FAMIS JV JS4754				100,000			
Trf to Harbors State CIP - Cash Financing FAMIS JV JS6082				24,000,000			
Allotment Advices #18-0052, #18-0292 & #18-0576				4,400,000			
Advances to Revenue Bond Fund-Cash Financing JV JM6303				(560,000)			
Advances to Revenue Bond Fund-Cash Financing JV JM6304				(35,000,000)			
Net Transfer / Reversion of CIP Funds							
Trf fm State Operating to CIP							
Trf fm Harbors Special Fund to CIP per FY19 Multi-Year					46,000,000	59,000,000	66,500,000
Loan to Bond Fund fm Harbors CIP per FY19 Multi-Year					(49,149,401)	(59,000,000)	(66,500,000)
Net Total Transfers	5,445,747	9,189,010	4,930,000	122,530,511	(3,149,401)	0	0
Ending Cash Balance	35,641,152	40,939,836	39,217,990	138,063,698	87,504,297	72,504,297	57,504,297
Encumbrances	8,852,183	18,841,578	17,091,444	50,650,003	21,394,003	15,000,000	15,000,000
Unencumbered Cash Balance	26,788,969	22,098,258	22,126,546	87,413,695	66,110,294	57,504,297	42,504,297

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Federal CIP
 Legal Authority: Fed Fund - A91/SL99 - A49/SL 17

Contact Name: Kendrick Au
 Phone: 587-3666
 Fund type (MOF): Special Funds (N & V Funds)
 Appropriation Acct. No.: S-94-269-D to S-17-260-D

Intended Purpose: Cash Allotments to Provide for State Funds to Match Federal Grant Funds

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	0	50,000,000	3,000	3,000		
Beginning Cash Balance	13,131,554	1,575,295	328,525	328,525	328,525	74,523	74,523
Revenues	284,458	0	0	0	0	0	0
Expenditures	284,458	217,450	0	0	254,002	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Other Transfer	(11,556,259)						
Loans In from State Operating Allot							
Loans In from State Operating Allot TIGER ARRA							
Repayment of Loans Made by Harbors Special Fund		(1,029,320)					
Net Total Transfers	(11,556,259)	(1,029,320)	0	0	0	0	0
Ending Cash Balance	1,575,295	328,525	328,525	328,525	74,523	74,523	74,523
Encumbrances	75,585	75,585	75,585	75,585	75,585	75,585	75,585
Unencumbered Cash Balance	1,499,710	252,940	252,940	252,940	(1,062)	(1,062)	(1,062)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Revolving Funds
 Legal Authority: Bond Resoln, §39-61 HRS & §266-19 HRS

Contact Name: Kendrick Au
 Phone: 587-3666
 Fund type (MOF) Special Funds (W & B Funds)
 Appropriation Acct. No. S-97-386-D to S-18-349-D

Intended Purpose: Debt Service Cash Reserves to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued

Source of Revenues: Transfers of Funds from TRN 395 (Harbors Administration Operating Unit)

Current Program Activities/Allowable Expenses: Repay Revenue Bond Debt

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Expenditures increase from FY2019 to FY2020 due to the increased debt service related to the 2020 Revenue Bond Issue to fund the KMR Piers and Container Yard.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	42,696,495	42,836,819	43,030,157	42,450,070	41,728,306	42,272,208	57,056,208
Revenues	31,416,215	31,401,847	30,596,323	28,392,098			
Revenues per for Debt Svc Reserve FY19 MultiYear					28,936,000	34,496,000	39,358,000
Expenditures	31,275,891	31,186,685	31,176,410	29,113,862	28,392,098	34,496,000	39,358,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to Increase Stores Acct	0	(21,824)					
Trfs for Debt Svc Reserve:							
Amounts Held in Reserve per 10th Suppl Certificate, 2019 Bond Issue	0	0	0	0		14,784,000	
Net Total Transfers	0	0	0	0	0	14,784,000	0
Ending Cash Balance	42,836,819	43,051,981	42,450,070	41,728,306	42,272,208	57,056,208	57,056,208
Encumbrances							
Unencumbered Cash Balance	42,836,819	43,051,981	42,450,070	41,728,306	42,272,208	57,056,208	57,056,208

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds						14,784,000	14,784,001
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Federal Operating Appropriation Type F
 Legal Authority: Fed Fund

Contact Name: Kendrick Au
 Phone: 587-3666
 Fund type (MOF) Special Funds (N Funds)
 Appropriation Acct. No. S-97-296

Intended Purpose: To Fund Projects for Disaster Recovery from Hurricane Iniki; Cash Balances Were Transferred in FY2015 Because This Purpose Has Expired.

Source of Revenues: Loans from Harbors Special Funds or from Insurance Proceeds

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,802	0	0	0	0	0	0
Revenues							
Expenditures	2,802						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Harbor Special Fund
 Legal Authority: §266-19 HRS

Contact Name: Kendrick Au
 Phone: 587-3666
 Fund type (MOF): Special Funds (B Funds)
 Appropriation Acct. No.: S-13-341-D to S-18-341-D

Intended Purpose: Parent Fund for the Commercial Harbors System into

Source of Revenues: User Fees Assessed to Harbor Users, Primarily Wharfage Fees, Port Entry Fees, Dockage Fees and Rents

Current Program Activities/Allowable Expenses: Transfers of Funds to Operating Units and for Cash Funded CIP Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,329,331	109,577,898	109,259,801	114,937,676	122,143,449	124,099,187	124,216,827
Beginning Cash Balance	129,921,778	144,140,819	145,442,722	91,604,678	126,584,712	140,713,880	148,001,693
Revenues	111,124,534	120,043,711	131,996,682	152,514,825			
Revenues Estimates Reflect Revenues per FY19 MYFP					184,992,000	193,101,000	201,206,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Loans of Harbor Special Funds to Harbor CIP Funds JV1049				(34,000,000)			
Loans of Harbor Special Funds to Harbor CIP Funds JS1723				(29,000,000)			
Trf to Harbors State CIP for KMR Land FAMIS JV JM3034				(32,820,000)			
Loan to Special Funds CIP for Land Related Items JV JM3034				(3,180,000)			
Trf to Harbors State CIP - Cash Financing FAMIS JV JS3908				(15,000,000)			
Trf to Harbors State CIP - Cash Financing FAMIS JV JS3909				(15,590,511)			
Trf to Harbors State CIP - Cash Financing FAMIS JV JS4754				(100,000)			
Trf to Harbors State CIP - Cash Financing FAMIS JV JS6082				(24,000,000)			
Allotment Advices #18-0052, #18-0292 & #18-0576				(4,400,000)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS0207				9,795			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1723				21,228,669			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1743				13,844,201			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1744				17,721,615			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1786				1,559,476			
Net Transfer / Reversion of Lapsed O&M Funds JV JS3598				23,700,963			
Net Transfer / Reversion of Lapsed O&M Funds JV JS3594				171,681			
Net Transfer / Reversion of Lapsed O&M Funds JV JS3595				412,255			
Net Transfer / Reversion of Lapsed O&M Funds JV JS3596				9,087,031			
Trf to State Operating Funds - Actual				(29,795)			
Loans of Harbor Special Funds to Revenue Bond Funds JV JM6604				(300,000)			
Net Transfer / Reversion of CIP Funds	33,074,914		300,000				
Trf to State CIP - Actual			(4,930,000)				
Loans to State CIP per FY19 Multi-Year				0	(46,000,000)	(59,000,000)	(66,500,000)
Trf to Program Appropriations for Harbors Operations	(127,834,407)	(107,079,151)	(109,936,123)	(114,937,676)	(122,143,449)	(124,099,187)	(124,216,827)
Other Transfer Departmental							
Pro-Rata Share to TRN 995	(2,146,000)	(2,473,647)	(2,557,828)	(1,912,495)	(2,719,383)	(2,714,000)	(2,713,999)
Trf to State CIP Restricted Cash		(9,189,010)	0				
Other Transfer Departmental Pro-Rata Share to TRN 995			486,863	0			
Other Transfers - Claims Against the State JV#JS5574			(116,000)				
Loans Out to Fed Operating Funds Appropriation Type A			(103,505)				
Repayment of Loans Made to Federal Appropriation Type A			1,021,867				
Loans of Special Funds to Fund Revenue Bond Fund Appropriations			(70,000,000)		0		
Repayment of Advances Made to Bond Fund Appropriations				70,000,000			
Net Total Transfers	(96,905,493)	(118,741,808)	(185,834,726)	(117,534,791)	(170,862,832)	(185,813,187)	(193,430,826)
Ending Cash Balance	144,140,819	145,442,722	91,604,678	126,584,712	140,713,880	148,001,693	155,776,867
Encumbrances							
Unencumbered Cash Balance	144,140,819	145,442,722	91,604,678	126,584,712	140,713,880	148,001,693	155,776,867

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: State Operating Allotment
 Legal Authority: A345/SL86 - A49/SL 17

Contact Name: Kendrick Au
 Phone: 587-3666
 Fund type (MOF): Special Funds (B Funds)
 Appropriation Acct. No. S-87-055-D to S-18-055-D

Intended Purpose: Funds Appropriated by Legislature for the Operating Costs of the State of Hawaii Commercial Harbors System

Source of Revenues: Transfers of Funds from the Harbors Special Fund

Current Program Activities/Allowable Expenses: Operating Costs of the Commercial Harbors System

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Increase in projected expenditures for FY2019 over FY2018 actuals due to FY19 expenditures of \$15 million for Special Maintenance Projects versus FY18 Expenditures of \$12 Million. FY19 payment to DOT AIR for Back Rents for KMR Parcels of approximately \$4.2 Million. FY19 Personal Services Cost increase of approximately \$4.5 Million versus FY18 costs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,329,331	109,577,898	109,143,801	114,937,676	122,143,449	124,099,187	124,216,827
Beginning Cash Balance	37,509,546	62,264,535	89,331,325	117,326,954	59,876,992	74,550,992	74,850,992
Revenues	58,088	57,691	67,714	36,222	0	0	0
Expenditures	41,741,236	47,957,843	48,147,065	52,945,253	75,152,641	85,922,404	81,178,044
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Trf to Program Appropriations for Harbors Operations from Harbors Special Fund S-FY-341-D	101,235,404	109,749,442	110,052,123	114,937,676	122,143,449	124,099,187	124,216,827
Debt Service - Reimbursable General Obligation Bonds	(3,381,053)	(3,380,653)	(3,380,820)	(3,380,618)	(3,380,808)	(3,380,783)	(3,380,783)
Net Transfer / Reversion of CIP Funds							
Trfs to Debt Svc Reserve	(31,416,215)	(31,401,847)	(30,596,323)	(28,392,098)			
Trfs for Debt Svc Reserve FY19 MultiYear	0			0	(28,936,000)	(34,496,000)	(39,358,000)
Net Transfer / Reversion of Lapsed O&M Funds JV JS0207				(9,795)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1723				(21,228,669)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1743				(13,844,201)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1744				(17,721,615)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS1786				(1,559,476)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS3598				(23,700,963)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS3594				(171,681)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS3595				(412,255)			
Net Transfer / Reversion of Lapsed O&M Funds JV JS3596				(9,087,031)			
Trf from State Harbors Special Funds - Actual				29,795			
Net Total Transfers	66,438,136	74,966,942	76,074,980	(4,540,931)	89,826,641	86,222,404	81,478,044
Ending Cash Balance	62,264,535	89,331,325	117,326,954	59,876,992	74,550,992	74,850,992	75,150,992
Encumbrances	30,949,609	27,695,890	30,032,396	35,821,370	35,821,370	35,821,370	35,821,370
Unencumbered Cash Balance	31,314,926	61,635,435	87,294,558	24,055,622	38,729,622	39,029,622	39,329,622

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 301-395
 Name of Fund: Operating Trust
 Legal Authority: _____

Contact Name: Kendrick Au
 Phone: 587-3666
 Fund type (MOF) Trust & Agency (T Funds)
 Appropriation Acct. No. T-93-941-D to T-18-941-D

Intended Purpose: Security Deposits from Leases and Contracts & Insurance Proceeds for Kawaihae Harbor Earthquake Damage

Source of Revenues: Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits

Current Program Activities/Allowable Expenses: N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,627,206	2,636,830	4,601,712	5,865,361	5,366,200	5,366,200	5,366,200
Revenues	13,010,972	14,035,705	14,818,397	10,754,544	15,100,000	15,100,000	15,100,000
Expenditures-Pmts to OHA & Others	12,683,923	12,000,921	13,539,173	11,205,635	15,100,000	15,100,000	15,100,000
Expenditures - Payments to Refund	317,425	69,902	15,575	48,070	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,636,830	4,601,712	5,865,361	5,366,200	5,366,200	5,366,200	5,366,200
Encumbrances	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Unencumbered Cash Balance	2,634,830	4,599,712	5,863,361	5,364,200	5,364,200	5,364,200	5,364,200

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Revenue Bonds
 Legal Authority: Various (Latest: ACT 122 SLH 2014)

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Revenue Bond Funds (E)
 Appropriation Acct. No. B-00-529, B-04-500 etc

Intended Purpose:

Source of Revenues:

Issuance of Highway Revenue Bonds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	155,955,000	89,538,000	75,344,000	255,900,000	180,575,000	55,300,000	37,650,000
Beginning Cash Balance	4,150,304	85,377,254	47,276,159	135,007,272	83,129,748	154,278,548	104,938,837
Revenues	120,683,617	0	120,503,062	0	120,000,000	0	120,000,000
Expenditures	37,456,667	38,101,095	32,721,949	48,367,524	48,851,199	49,339,711	49,833,108
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(2,000,000)	0	(50,000)	(3,510,000)			
Net Total Transfers	(2,000,000)	0	(50,000)	(3,510,000)	0	0	0
Ending Cash Balance	85,377,254	47,276,159	135,007,272	83,129,748	154,278,548	104,938,837	175,105,729
Encumbrances	104,271,678	139,113,962	87,586,559	130,461,078	131,765,689	133,083,346	134,414,179
Unencumbered Cash Balance	(18,894,424)	(91,837,803)	47,420,713	(47,331,330)	22,512,859	(28,144,509)	40,691,550

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-597
 Name of Fund: Federal Operating Allotment
 Legal Authority: FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Other Federal Funds
 Appropriation Acct. No. S-15-215, 203, 204, 205, 206, 225 etc

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Federal Reimbursement - Federal Clearing Accounts for O&M and county pass thru funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,533,524	19,709,737	21,751,705	21,665,564	21,309,204	12,377,840	12,377,840
Beginning Cash Balance	15,568,634	17,715,551	18,776,368	19,873,343	20,232,671	35,590,395	51,101,696
Revenues	38,887,561	38,026,235	33,311,777	19,218,751	19,410,939	19,605,048	19,801,099
Expenditures	4,256,780	4,847,273	4,972,479	4,013,084	4,053,215	4,093,747	4,134,685
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(32,483,864)	(32,118,145)	(27,242,323)	(14,846,339)			
Net Total Transfers	(32,483,864)	(32,118,145)	(27,242,323)	(14,846,339)	0	0	0
Ending Cash Balance	17,715,551	18,776,368	19,873,343	20,232,671	35,590,395	51,101,696	66,768,110
Encumbrances	5,848,044	4,862,187	4,810,241	7,219,637	7,291,834	7,364,752	7,438,400
Unencumbered Cash Balance	11,867,507	13,914,181	15,063,102	13,013,033	28,298,561	43,736,944	59,329,711

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: State CIP
 Legal Authority: Various (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Special Funds (including county and private) (B,R,S)
 Appropriation Acct. No. Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	26,200,000	17,266,000	53,000,000	16,000,000	16,000,000	16,000,000	16,000,000
Beginning Cash Balance	3,390,004	3,816,898	1,918,020	2,565,809	6,383,819	(22,584,732)	(51,842,968)
Revenues	0	0	0	0	0	0	0
Expenditures	9,646,454	9,626,644	13,982,725	28,681,734	28,968,551	29,258,236	29,550,819
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	10,073,348	7,727,766	14,630,514	32,499,744			
Net Total Transfers	10,073,348	7,727,766	14,630,514	32,499,744	0	0	0
Ending Cash Balance	3,816,898	1,918,020	2,565,809	6,383,819	(22,584,732)	(51,842,968)	(81,393,787)
Encumbrances	13,313,801	15,209,497	12,408,763	22,630,287	22,856,590	23,085,156	23,316,008
Unencumbered Cash Balance	(9,496,903)	(13,291,477)	(9,842,954)	(16,246,468)	(45,441,322)	(74,928,124)	(104,709,795)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Federal CIP
 Legal Authority: Title 23 U.S.C. As Amended (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Other Federal Funds (N.V.)
 Appropriation Acct. No. S-85-221, S-93-237, S-94-240 others

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	358,326,000	97,652,000	166,855,000	309,400,000	547,902,000	135,202,000	73,203,000
Beginning Cash Balance	83,740,576	28,225,459	33,161,185	41,821,337	41,279,243	8,901,596	(23,799,827)
Revenues	139,976,559	113,006,078	80,788,654	117,243,389	118,415,823	119,599,981	120,795,981
Expenditures	195,936,728	148,343,135	107,613,508	149,300,465	150,793,470	152,301,405	153,824,419
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	45,109,339	40,272,782	35,485,006	31,514,983			
Net Total Transfers	45,109,339	40,272,782	35,485,006	31,514,983	0	0	0
Ending Cash Balance	28,225,459	33,161,185	41,821,337	41,279,243	8,901,596	(23,799,827)	(56,828,265)
Encumbrances	527,907,140	150,219,591	324,154,009	485,725,566	490,582,822	495,488,650	500,443,537
Unencumbered Cash Balance	(499,681,681)	(117,058,406)	(282,332,673)	(444,446,323)	(481,681,226)	(519,288,478)	(557,271,802)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Revolving & OHA
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Revolving Funds
 Appropriation Acct. No. S-15-322, 332, etc

Intended Purpose:

Source of Revenues:

Transfer in from other funds

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringes and construction and admin programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	40,509,241	44,228,957	21,568,680	43,895,882	40,868,931	28,568,983	16,146,037
Revenues	53,772,208	33,602,071	71,823,237	69,234,837	69,927,186	70,626,458	71,332,722
Expenditures	60,992,493	70,967,348	79,166,035	81,413,003	82,227,133	83,049,405	83,879,899
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	10,940,000	14,705,000	29,670,000	9,151,215			
Net Total Transfers	10,940,000	14,705,000	29,670,000	9,151,215	0	0	0
Ending Cash Balance	44,228,957	21,568,680	43,895,882	40,868,931	28,568,983	16,146,037	3,598,860
Encumbrances	36,357	65,430	94,448	84,076	84,917	85,766	86,624
Unencumbered Cash Balance	44,192,600	21,503,250	43,801,434	40,784,855	28,484,066	16,060,270	3,512,236

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-597
 Name of Fund: State Operating Allotment
 Legal Authority: HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH B1999

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Special Funds
 Appropriation Acct. No. S-15-321, 010, 020, 026, 029, 032, 035, 036, 039

Intended Purpose:

Source of Revenues:

Fuel Taxes, Vehicle Registration Fee, Motor Vehicle Weight, Rental Motor Vehicle Surcharge Tax and other income

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	285,782,442	263,068,584	307,427,392	311,142,882	293,597,184	277,875,989	282,842,977
Beginning Cash Balance	110,916,164	147,881,763	171,366,281	155,457,094	139,045,706	187,704,740	236,850,364
Revenues	299,027,588	280,196,636	317,327,918	308,143,025	311,224,456	314,336,700	317,480,067
Expenditures	223,204,823	220,333,222	274,350,463	259,965,764	262,565,422	265,191,076	267,842,987
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(38,857,166)	(36,378,896)	(58,886,642)	(64,588,650)			
Net Total Transfers	(38,857,166)	(36,378,896)	(58,886,642)	(64,588,650)	0	0	0
Ending Cash Balance	147,881,763	171,366,281	155,457,094	139,045,706	187,704,740	236,850,364	286,487,444
Encumbrances	140,226,894	147,091,268	129,882,401	98,973,106	99,962,837	100,962,466	101,972,090
Unencumbered Cash Balance	7,654,869	24,275,013	25,574,693	40,072,599	87,741,902	135,887,898	184,515,354

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Operating Trust
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Trust Funds
 Appropriation Acct. No. T-15-921, 931, T-15-923 etc

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	17,324,153	15,157,231	13,099,038	10,663,237	8,405,373	6,124,931	3,821,684
Revenues	209,838	1,185,208	133,027	283,887	286,725	289,593	292,489
Expenditures	2,376,760	3,243,401	2,568,828	2,541,750	2,567,168	2,592,840	2,618,768
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	15,157,231	13,099,038	10,663,237	8,405,373	6,124,931	3,821,684	1,495,404
Encumbrances	8,286,612	9,294,217	7,052,284	4,738,562	4,785,947	4,833,807	4,882,145
Unencumbered Cash Balance	6,870,619	3,804,821	3,610,953	3,666,811	1,338,983	(1,012,123)	(3,386,741)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-597
 Name of Fund: Safe Routes to School Program
 Legal Authority: Section 291C-4, HRS

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Special Funds
 Appropriation Acct. No. S-xx-318

Intended Purpose:

Source of Revenues:

Speeding and Traffic Violation Fines

Current Program Activities/Allowable Expenses:

Counties Safe Routes to School Projects

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,465,500	1,465,500	631,500	636,679	606,679	1,281,679	781,679
Beginning Cash Balance	844,379	837,084	986,655	765,310	675,329	675,329	675,329
Revenues	707,370	733,578	646,723	783,919			
Expenditures	712,637	589,007	868,068	873,900			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(2,029)	5,000	0	0			
Net Total Transfers	(2,029)	5,000	0	0	0	0	0
Ending Cash Balance	837,084	986,655	765,310	675,329	675,329	675,329	675,329
Encumbrances	43,232	582,635	291,318	0	0	0	0
Unencumbered Cash Balance	793,852	404,020	473,992	675,329	675,329	675,329	675,329

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Transportation Highways Division
Non-General Funds (excluding Federal Funds)

Table 8

<u>Name of Fund</u>	<u>Unencumbered Cash Balance</u>	<u>MOF</u>	<u>Statutory Reference</u>
Revenue Bonds	(91,837,802.63)	Issuance of Highway Revenue Bonds	ACT 252 SLH 1994 ACT 287 SLH 1996 ACT 116 SLH 1998 ACT 259 SLH 2001
Federal Operating Allotment	13,914,180.97	Federal Reimbursements	FHS Act PL 89-564, 1966/HRS 286-2
State CIP	(13,291,476.80)	Special Funds	A200/SL 03, A178/SL 05, A200/SL 03
Federal CIP	(117,058,406.18)	Federal Reimbursements	Title 23 U.S.C. As Amended
Revolving & OHA	21,503,249.73	Transfer in from other funds.	Non Lapsing
State Operating Allotment	24,275,012.02	Fuel Taxes, Vehicle Registration Fee, M	HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999
Operating Trust	3,804,820.66	Cash Receipts	Non Lapsing
Safe Route to School Program	404,019.46	Speeding And Traffic Violation Fines	Section 291C-4, HRS

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: General Administration
 Legal Authority: Act 049 / SLH 17

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): B
 Appropriation Acct. No.: S-001-D

Intended Purpose:

Operating Funds for Administration

Source of Revenues:

Assessments from the other three Divisions (Airports, Harbors and Highways)

Current Program Activities/Allowable Expenses:

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,314,203	17,668,916	18,270,201	24,155,623			
Beginning Cash Balance	1,161,706	11,515,829	6,668,718	9,549,755	11,300,689	11,300,689	11,300,689
Revenues	492,476	227,866	196,318	227,227			
Expenditures	13,481,553	14,007,162	14,276,135	14,980,131			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-009 dtd 07/18/17				(2,500)			
JV-012 dtd 07/24/17				6,496,844			
JV-033 dtd 09/12/17				(4,162,335)			
JV-039 dtd 09/19/17				8,887,346			
JV-087 dtd 12/26/17				5,436,335			
JV-118 dtd 02/26/18				(100,000)			
JV-125 dtd 03/20/18				3,335,098			
JV-175 dtd 06/20/18				(3,386,950)			
Net Total Transfers	14,343,200	8,932,185	16,960,856	16,503,838	0	0	0
Ending Cash Balance	11,515,829	6,668,718	9,549,755	11,300,689	11,300,689	11,300,689	11,300,689
Encumbrances	1,666,707	1,858,319	1,357,702	1,856,411			
Unencumbered Cash Balance	9,849,122	4,810,400	8,192,053	9,444,278	11,300,689	11,300,689	11,300,689

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 695
 Name of Fund: Aloha Tower Development Corporation
 Legal Authority: Act 049 / SLH 2017

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) B
 Appropriation Acct. No. S-088-D

Intended Purpose:

ATDC was placed within the Department of Transportation for administrative purposes, pursuant to section 26-35

Source of Revenues:

Monthly lease payments

Current Program Activities/Allowable Expenses:

The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development, re-development or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,829,736	1,839,565	1,842,173	1,842,173			
Beginning Cash Balance	901,946	684,673	1,465,143	1,860,084	2,253,422	2,253,422	2,253,422
Revenues	7,727	1,005,674	1,010,441	1,010,503			
Expenditures	225,000	225,204	612,500	617,165			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	684,673	1,465,143	1,863,084	2,253,422	2,253,422	2,253,422	2,253,422
Encumbrances							
Unencumbered Cash Balance	684,673	1,465,143	1,863,084	2,253,422	2,253,422	2,253,422	2,253,422

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 U.S.C. SEC 5309 - NEW STARTS
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-213-D (S-14-213-D)

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5309. These funds assist in financing the acquisition, construction reconstruction, and improvement of facilities and equipment for use in mass transportation in urban areas.

Source of Revenues:

FTA Grant HI-03-0038

Current Program Activities/Allowable Expenses:

Pass thru payments to DLNR

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		11,970,430	8,461,747	7,203,059			
Beginning Cash Balance		0	1,400,000	0	0	0	0
Revenues		998,687	3,768,684	0			
Expenditures		998,687	3,768,684	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	0	1,400,000	(1,400,000)	0	0	0	0
Ending Cash Balance	0	1,400,000	0	0	0	0	0
Encumbrances		2,509,996	0	0			
Unencumbered Cash Balance	0	(1,109,996)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: _____
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-230-D

Intended Purpose:

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0038, HI-2016-006, HI-2017-006, HI-2018-013. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii. During FY18, 4 contracts were encumbered for vehicles.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0			
Beginning Cash Balance	0	0	0	0	89,278	89,278	89,278
Revenues	0	0	0	89,705			
Expenditures	0	0	0	427			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	89,278	89,278	89,278	89,278
Encumbrances							
Unencumbered Cash Balance	0	0	0	89,278	89,278	89,278	89,278

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 U.S.C. SEC 5311 - NONURBANIZED AREA FORMULA
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-231-D

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5311. These funds provide financial, operating, and technical assistance in providing public transportation in non-urbanized areas.

Source of Revenues:

FTA Grant HI-18-X032, HI-2016-004, HI-2017-004, HI-2018-011.

Current Program Activities/Allowable Expenses:

Pass thru payments to Counties of Maui, Kauai and Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	500,000	257,337	3,829,564			
Beginning Cash Balance	0	0	0	0	1,748	1,748	1,748
Revenues	0	242,663	459,237	1,467,413			
Expenditures	0	242,663	459,237	1,465,665			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	1,748	1,748	1,748	1,748
Encumbrances							
Unencumbered Cash Balance	0	0	0	1,748	1,748	1,748	1,748

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: SPR - State Planning and Research
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-236-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

Source of Revenues:

FHWA Grant SPR-0010 (33) / (34) / (35)

Current Program Activities/Allowable Expenses:

Staff time, Travel

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	540,000	509,000	0				
Beginning Cash Balance	0	34,924	34,924	0	0	0	0
Revenues	30,847	0	0	0			
Expenditures	30,847	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
				0			
Net Total Transfers	0	0	(34,924)	0	0	0	0
Ending Cash Balance	0	34,924	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	34,924	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-240-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization

Source of Revenues:

PL-0052(038)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		4,695,244	4,649,294	0			
Beginning Cash Balance	0	0	43,127	112,347	166,716	166,716	166,716
Revenues		30,909	161,485	272,162			
Expenditures		45,950	92,265	209,793			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	58,168	0	(8,000)	0	0	0
Ending Cash Balance	0	43,127	112,347	166,716	166,716	166,716	166,716
Encumbrances		310,198	217,933	44,625			
Unencumbered Cash Balance	0	(267,071)	(105,586)	122,091	166,716	166,716	166,716

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: _____
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-241-D

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5305(d). These funds provides funding to support cooperative, continuous, and comprehensive planning for making transportation investment decisions in metropolitan areas and statewide.

Source of Revenues:

FTA Grant HI-80-0024, HI-80-0025, HI-2016-005, HI-2018-003, HI-2018-012.

Current Program Activities/Allowable Expenses:

Consultant contract payments, Staff time, Other administrative support costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				227,470			
Expenditures				227,470			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				385,446			
Unencumbered Cash Balance	0	0	0	(385,446)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-245-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization

Source of Revenues:

PL-0052(040)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0			
Beginning Cash Balance	0	0	0	0	122,299	122,299	122,299
Revenues		0	0	178,299			
Expenditures		0	0	56,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	122,299	122,299	122,299	122,299
Encumbrances		0	0	80,000			
				0			
Unencumbered Cash Balance	0	0	0	42,299	122,299	122,299	122,299

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: _____
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-253-D

Intended Purpose:

Federal Transit Administration (FTA) Capital grant under Section 5339. These funds provide capital funding to replace, rehabilitate and purchase buses and related equipment and to construct bus-related facilities.

Source of Revenues:

FTA Grant HI-2016-002, HI-2017-003, HI-2018-002, HI-2018-010.

Current Program Activities/Allowable Expenses:

Pass thru payments to Counties of Maui, Kauai and Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				40,510			
Expenditures				40,510			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: _____
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-254-D

Intended Purpose:

Federal Transit Administration (FTA) grant under Section 5329. These funds provide funding to develop or carry out State Safety Oversight (SSO) programs in accordance with the Moving Ahead for Progress in the 21st Century Act (MAP-21).

Source of Revenues:

FTA Grant HI-2017-005.

Current Program Activities/Allowable Expenses:

Administrative Expenses, Training, Consultant Services, and Other Expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0			
Beginning Cash Balance	0	0	0	0	64,979	64,979	64,979
Revenues				176,928			
Expenditures				111,949			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	64,979	64,979	64,979	64,979
Encumbrances				158,704			
Unencumbered Cash Balance	0	0	0	(93,725)	64,979	64,979	64,979

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: SPR - State Planning and Research
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-255-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

Source of Revenues:

FHWA Grant SPR-0010 (36) / (37) / (38) / (39)

Current Program Activities/Allowable Expenses:

Staff time, Travel

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	72,744	68,643	68,643	0			
Beginning Cash Balance	0	18,234	22,684	58,878	123,134	123,134	123,134
Revenues	2,335	4,450	37,471	1,790			
Expenditures	4,101	0	1,277	4,143			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-060 dtd 10/16/17				(33,391)			
JV-118 dtd 02/26/18				100,000			
Net Total Transfers	20,000	0	0	66,609	0	0	0
Ending Cash Balance	18,234	22,684	58,878	123,134	123,134	123,134	123,134
Encumbrances				72,086			
Unencumbered Cash Balance	18,234	22,684	58,878	51,048	123,134	123,134	123,134

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Intermodal Surface Transportation Efficiency Programs
 Legal Authority: Act 134, SLH 2013/Act 122, SLH 2014/Act 119, SLH 2015
Act 124, SLH 2016/Act 49, SLH 2017

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-256-D

Intended Purpose:
 Mother Account for Federal Appropriations
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,513,574	11,793,093	11,793,093	9,913,329			
Beginning Cash Balance	31,566	0	0	0	0	0	0
Revenues	3,359	0	0	0			
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(34,925)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 U.S.C. SEC 5310 - Capital Assistance
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-257-D (S-14-257-D)

Intended Purpose:

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions, but for FY16, there were no private contributions.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, -0035, -0036, & -0037. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii. For FY 16 only staff time was charged.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,138,940	2,124,860	2,107,276	1,917,584			
Beginning Cash Balance	63,049	97,630	97,630	132,554	132,554	132,554	132,554
Revenues	68,661	17,584	15,637	231,525			
Expenditures	14,080	17,584	15,637	231,525			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-128 dtd 02/21/17							
JV-199 dtd 06/28/17							
Net Total Transfers	(20,000)	0	34,924	0	0	0	0
Ending Cash Balance	97,630	97,630	132,554	132,554	132,554	132,554	132,554
Encumbrances			174,055	703,755			
Unencumbered Cash Balance	97,630	97,630	(41,501)	(571,201)	132,554	132,554	132,554

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-258-D (S-14-258-D)

Intended Purpose:

Federal Transit Administration (FTA) Funds - to account for pre-FY14 FTA grants which are still active.

Source of Revenues:

Federal Transit Administration (FTA) Funds.

Current Program Activities/Allowable Expenses:

FTA Grant Nos. HI-18-X031 & HI-04-0004, -0013, & HI-37-X006, -X008 & HI-34-0001 & HI-80-0021.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,263,920	9,912,334	6,802,205	1,675,744			
Beginning Cash Balance	542,282	680,565	1,984,317	680,157	715,661	715,661	715,661
Revenues	9,729,411	3,113,881	4,965,984	734,879			
Expenditures	9,591,128	3,110,129	4,970,144	699,375			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-195 dtd 06/23/17							
Net Total Transfers	0	1,300,000	(1,300,000)	0	0	0	0
Ending Cash Balance	680,565	1,984,317	680,157	715,661	715,661	715,661	715,661
Encumbrances	120,871	2,710,079	46,316	98,407			
Unencumbered Cash Balance	559,694	(725,762)	633,841	617,254	715,661	715,661	715,661

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Oahu Metropolitan Plan Org Tech Study Grant
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-259-D (S-84-259-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

Federal Highway Administration (FHWA) Funds.

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	111,430	48,943	0	0			
Beginning Cash Balance	80,121	55,133	256	0	0	0	0
Revenues	57,499	52,234	0	0			
Expenditures	62,487	48,943	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-048 dtd 09/28/16							
Net Total Transfers	(20,000)	(58,168)	(256)	0	0	0	0
Ending Cash Balance	55,133	256	0	0	0	0	0
Encumbrances	48,943	0	0	0			
Unencumbered Cash Balance	6,190	256	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Oahu Metropolitan Plan Org - Exp Clearing Acct
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) W
 Appropriation Acct. No. S-334-D (S-84-334-D)

Intended Purpose:

For Oahu Metropolitan Planning Organization

Source of Revenues:

FHWA funds

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs (local share)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	111,418	401,720	100,711	10,210	1,500	1,500	1,500
Revenues	2,061,181	66,881	0	0			
Expenditures	1,740,879	67,890	10,004	8,710			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-048 dtd 09/28/16							
Net Total Transfers	(30,000)	(300,000)	(80,497)	0	0	0	0
Ending Cash Balance	401,720	100,711	10,210	1,500	1,500	1,500	1,500
Encumbrances	40,482	20,214	10,210	1,500			
Unencumbered Cash Balance	361,238	80,497	0	(0)	1,500	1,500	1,500

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: URBAN MASS TRANSPORTATION ACT OF 1964
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF): R
 Appropriation Acct. No.: S-335-D

Intended Purpose:

To receive private non-profit group's 20% contribution toward the purchase of capital items for private non-profit groups who help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

The 20% private contributions toward the purchase of capital items for private non-profit groups. FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310 (S-257-D).

Current Program Activities/Allowable Expenses:

Purchases of capital items (vehicles such as buses, vans, etc.)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				728,352			
Beginning Cash Balance		0	0	55,092	200,549	200,549	200,549
Revenues			55,092	200,549			
Expenditures				55,092			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	55,092	200,549	200,549	200,549	200,549
Encumbrances				200,549			
Unencumbered Cash Balance	0	0	55,092	0	200,549	200,549	200,549

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Protocol fund for Executive Heads
 Legal Authority Act 200 Section143/SLH 2003

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) B
 Appropriation Acct. No. S-398-D

Intended Purpose:

Provide discretionary funds for the head of the department.

Source of Revenues:

\$2,500.00 is transferred from the "General Administration Fund, S-XX-001-D" to fund this appropriation

Current Program Activities/Allowable Expenses:

To provide discretionary funds for the head of the department in accordance with Section 143 of Act 200, SLH 2003 per Comptroller's Memorandum 2003-22 dated 09/23/03.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	2,500	2,500	2,500			
Beginning Cash Balance	2,112	2,048	1,136	2,291	2,162	2,162	2,162
Revenues				6			
Expenditures	452	1,393	239	478			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-009 dtd 07/18/17				2,500			
JV-033 dtd 09/12/17				(2,157)			
Net Total Transfers	388	481	1,394	343	0	0	0
Ending Cash Balance	2,048	1,136	2,291	2,162	2,162	2,162	2,162
Encumbrances	29	30	134	0			
Unencumbered Cash Balance	2,019	1,106	2,157	2,162	2,162	2,162	2,162

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-505-D (S-15-505-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

PL-0052(036)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,879,201	2,872,185	2,829,608				
Beginning Cash Balance	0	12,984	15,412	20,256	32,015	32,015	32,015
Revenues		45,005	169,394	137,449			
Expenditures	7,016	42,577	164,806	183,690			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-029 dtd 09/06/17				8,000			
JV-051 dtd 10/02/17				50,000			
Net Total Transfers	20,000	0	256	58,000	0	0	0
Ending Cash Balance	12,984	15,412	20,256	32,015	32,015	32,015	32,015
Encumbrances	112,984	469,803	304,997	121,307			
Unencumbered Cash Balance	(100,000)	(454,391)	(284,741)	(89,292)	32,015	32,015	32,015

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-513-D (S-17-513-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

PL-0052(039)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	39,011	187,402	187,402	187,402
Revenues			39,011	660,240			
Expenditures			0	495,241			
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
JV-051 dtd 10/02/17				(50,000)			
JV-060 dtd 10/16/17				33,391			
Net Total Transfers	0	0	0	(16,609)	0	0	0
Ending Cash Balance	0	0	39,011	187,402	187,402	187,402	187,402
Encumbrances			792,000	1,128,832			
Unencumbered Cash Balance	0	0	(752,989)	(941,431)	187,402	187,402	187,402

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Bid Bond Deposits - Administration
 Legal Authority: _____

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) T
 Appropriation Acct. No. T-905-D

Intended Purpose:

Receipt and disbursement of Bid Bond Deposits for contracts that are put out to bid.

Source of Revenues:

Receipt of bid bond deposits for contracts that are put out to bid. Interest revenue from the Budget & Finance investment Pool program.

Current Program Activities/Allowable Expenses:

Procurement & contracting.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	63,561	49,425	7,400	67,400	16,800	16,800	16,800
Revenues	165,552	10,343	60,000	9,400			
Expenditures	179,688	52,368	0	60,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	49,425	7,400	67,400	16,800	16,800	16,800	16,800
Encumbrances							
Unencumbered Cash Balance	49,425	7,400	67,400	16,800	16,800	16,800	16,800

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: WASHTO Quality Award Program
 Legal Authority: _____

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) T
 Appropriation Acct. No. T-908-D

Intended Purpose:

Receipt and disbursement of cash awards for the Employees Incentive Awards Program.

Source of Revenues:

WASHTO

Current Program Activities/Allowable Expenses:

Cash awards for the Employees Incentive Awards Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Revenues	3,000	3,000	3,000	3,000			
Expenditures	3,000	3,000	3,000	3,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Encumbrances							
Unencumbered Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-800, UOH-900
 Name of Fund: Private Funded Contracts and Grants Trust Fund
 Legal Authority: Administratively Created

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): T
 Appropriation Acct. No.: T996

Intended Purpose:

Fund is used to account for revenues and expenditures for sponsored research and non-research contracts and grants from entities other than the federal government.

Source of Revenues:

Derived from state agencies, private individuals, organizations, and foundations.

Current Program Activities/Allowable Expenses:

Funds are used for specific research and training services by faculty and staff in accordance with award terms and conditions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	10,752,599	13,440,267	13,682,827	9,826,168	8,043,591	7,364,591	6,685,591
Revenues	77,453,019	79,042,658	88,199,920	88,621,811	83,330,000	83,330,000	83,330,000
Expenditures	75,922,355	79,738,362	92,620,350	91,190,152	84,870,000	84,870,000	84,870,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,157,003	938,265	564,512	785,765	861,000	861,000	861,000
Ending Cash Balance	13,440,267	13,682,827	9,826,909	8,043,591	7,364,591	6,685,591	6,006,591
Encumbrances	8,075,394	11,043,898	9,422,386	7,819,460	7,000,000	6,000,000	5,500,000
Unencumbered Cash Balance	5,364,872	2,638,929	404,523	224,131	364,591	685,591	506,591

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: East-West Center Support Trust Fund
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T996

Intended Purpose:

Fund is used to promote cooperative and collaborative data collection and research projects between UH and East-West Center.

Source of Revenues:

Government grants and private donations to the East-West Center

Current Program Activities/Allowable Expenses:

Acquisition of books, periodicals, materials related to East-West Center programs; security and parking assistance, and computer and health services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,024,607	1,026,395	1,028,554	1,031,484	1,038,751	1,042,251	1,045,751
Revenues	1,789	2,159	2,930	7,267	3,500	3,500	3,500
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,026,395	1,028,554	1,031,484	1,038,751	1,042,251	1,045,751	1,049,251
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,026,395	1,028,554	1,031,484	1,038,751	1,042,251	1,045,751	1,049,251

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-800, UOH-900
 Name of Fund: Gifts and Donations Trust Fund
 Legal Authority: 304A-106 HRS

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T996

Intended Purpose:

Fund is used to account for gifts and donations received directly from private individuals and organizations, or indirectly from endowment funds.

Source of Revenues:

Gifts in the form of cash, securities, real estate, or personal property

Current Program Activities/Allowable Expenses:

Programs supported include the Student Employee of the Year Program and various cooperative education projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,090,576	1,653,139	1,625,644	1,720,392	1,431,989	1,266,989	1,101,989
Revenues	548,642	609,931	485,794	107,781	438,000	438,000	438,000
Expenditures	986,078	637,426	391,046	396,184	603,000	603,000	603,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,653,139	1,625,644	1,720,392	1,431,989	1,266,989	1,101,989	936,989
Encumbrances	45,402	3,827	1,522	6,018	14,000	14,000	14,000
Unencumbered Cash Balance	1,607,737	1,621,817	1,718,870	1,425,971	1,252,989	1,087,989	922,989

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
Workers' Compensation and Unemployment
 Name of Fund: Compensation Trust Fund
 Legal Authority 304A-2352 HRS

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T996

Intended Purpose:

Fund was established to pay employees' claims for workers' compensation and unemployment insurance benefits.

Source of Revenues:

Revenues are generated from fringe benefit rates that are charged against all university payroll funds.

Current Program Activities/Allowable Expenses:

Employees' claims for workers' compensation and unemployment benefits

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,824,262	4,117,512	4,234,189	3,492,528	5,091,207	5,411,207	5,731,207
Revenues	5,441,249	5,798,748	5,962,444	7,152,785	6,090,000	6,090,000	6,090,000
Expenditures	5,147,998	5,682,071	6,704,106	5,554,105	5,770,000	5,770,000	5,770,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,117,512	4,234,189	3,492,528	5,091,207	5,411,207	5,731,207	6,051,207
Encumbrances	788,091	1,591,599	1,349,112	2,036,421	1,440,000	1,440,000	1,440,000
Unencumbered Cash Balance	3,329,421	2,642,590	2,143,415	3,054,786	3,971,207	4,291,207	4,611,207

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100, UH-800
 Name of Fund: Mānoa Flood Trust Fund-Oct 2004
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): T
 Appropriation Acct. No.: T993

Intended Purpose:

To account for all expenditures and reimbursements related to the flood damage the University sustained on October 30, 2004

Source of Revenues:

Revenues received are from state, federal, and county reimbursements including FEMA reimbursements and insurance proceeds related to fire loss, damages, and other disasters.

Current Program Activities/Allowable Expenses:

Expenditures made within this fund are related to capital improvement projects and disaster related repairs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,729,442	4,707,114	4,305,621	4,216,499	4,188,114	0	0
Revenues	7,461	8,530	10,836	26,518	0		
Expenditures	29,788	410,024	99,957	54,904	4,188,114		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,707,114	4,305,621	4,216,499	4,188,114	0	0	0
Encumbrances	479,228	221,202	284,091	3,735,786	0		
Unencumbered Cash Balance	4,227,886	4,084,419	3,932,408	452,328	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-800, UOH-900
 Name of Fund: UH Quasi-Endowment
 Legal Authority: 304A-106 HRS

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): W
 Appropriation Acct. No.: S371

Intended Purpose:

Fund is used to account for revenues and expenditures of the intercollegiate athletics scholarships program at UH-Hilo, interest income on lease revenue generated from the use of community college property, UH Mānoa athletic department's allocation of the Stadium Endowment Fund, and to produce bound copies of the oral histories of former university presidents.

Source of Revenues:

Primary source of revenue is the interest earned from the UH Mānoa Stadium Stock Fund. For the community colleges, the primary source of revenue is from lease rents for the use of community college property.

Current Program Activities/Allowable Expenses:

Scholarships and service awards, and the Athletic Department's salaries, travel, medical expenses, recruiting, equipment, payments to officials, dues to the Big West and Mountain West conference, guarantees to visiting teams, credit card fees, and other operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	561,831	636,455	(2,390)	(2,397)	(2,413)	0	0
Revenues	1,143,471	(5)	(7)	(17)	0	0	0
Expenditures	977,904	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(90,944)	(638,840)	0	0	2,413	0	0
Ending Cash Balance	636,455	(2,390)	(2,397)	(2,413)	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	636,455	(2,390)	(2,397)	(2,413)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

NOTE: Balances on these accounts were transferred to new Trust Fund accounts during FY 2016.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-800, UOH-900
 Name of Fund: UH Quasi-Endowment Trust Fund
 Legal Authority: 304A-2355 HRS

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T996

Intended Purpose:

Fund is used to account for unrestricted quasi-endowment revenues and expenditures for the intercollegiate athletics scholarship programs at UH Mānoa and UH Hilo, Presidential and Regents scholarships, BOR awards and other projects authorized by the President.

Source of Revenues:

Primary source of revenue is the interest earned from the UH Mānoa Stadium Stock Fund. For the community colleges, the primary source of revenue is from lease rents for the use of community college property.

Current Program Activities/Allowable Expenses:

Scholarships and service awards, and the Athletic Department's salaries, travel, medical expenses, recruiting, equipment, payments to officials, dues to the Big West and Mountain West conference, guarantees to visiting teams, credit card fees, and other operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	1,196,003	1,601,723	1,898,592	1,833,592	1,768,592
Revenues		1,098,919	1,086,152	998,260	1,060,000	1,060,000	1,060,000
Expenditures		506,688	667,426	701,390	1,125,000	1,125,000	1,125,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	603,772	(13,007)	(1)	0	0	0
Ending Cash Balance	0	1,196,003	1,601,723	1,898,592	1,833,592	1,768,592	1,703,592
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	0	1,196,003	1,601,723	1,898,592	1,833,592	1,768,592	1,703,592

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Vacation Assessment Reserve
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): T
 Appropriation Acct. No.: T996

Intended Purpose:

To establish consistency in cost accounting for the University's terminal vacation payouts for employees leaving the University because of termination, resignation, retirement or transfer.

Source of Revenues:

Revenues are generated from fringe benefit rates that are charged against all university payroll funds.

Fringe benefit rate assessment established and monitored by the Office of Research Services (ORS).

Current Program Activities/Allowable Expenses:

Terminal vacation payouts.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

FY 2018 and FY 2019 expenditure variance is due to vacation payout reimbursements for general funded budgeted positions pursuant to Act 49, SLH 2017, Section 24, as amended by Act 53, SLH 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	316,557	3,684,208	6,193,360	6,702,511
Revenues			6,228,579	6,187,887	6,210,000	6,210,000	6,210,000
Expenditures			5,912,022	2,820,235	3,700,849	5,700,849	5,700,849
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	316,557	3,684,208	6,193,360	6,702,511	7,211,663
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	316,557	3,684,208	6,193,360	6,702,511	7,211,663

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Intercollegiate Athletics Scholarships Trust Fund
 Legal Authority: 304A-106 HRS

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T995

Intended Purpose:

Fund is used to account for private gifts and donations for university athletics scholarships and to provide support for the university's program objectives.

Source of Revenues:

Private gifts and donations

Current Program Activities/Allowable Expenses:

Up to 240 academic scholarships awarded to student athletes annually

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	174,703	253,432	(2,040)	(641,883)	(486,811)	(312,811)	(138,811)
Revenues	207,109	178,722	155,422	155,595	174,000	174,000	174,000
Expenditures	128,380	434,194	795,265	523	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	253,432	(2,040)	(641,883)	(486,811)	(312,811)	(138,811)	35,189
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	253,432	(2,040)	(641,883)	(486,811)	(312,811)	(138,811)	35,189

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-800, UOH-900
 Name of Fund: Financial Aid Scholarships Trust Fund
 Legal Authority: 304A-106 HRS

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T995

Intended Purpose:

Fund is used to award financial aid scholarships to students based on scholastic achievement and financial need.

Source of Revenues:

Gifts, donations, and funds transferred from endowment funds.

Current Program Activities/Allowable Expenses:

Financial aid scholarships

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,343,864	1,495,352	1,494,789	1,617,033	1,632,198	1,704,598	1,776,998
Revenues	447,189	488,690	528,568	478,719	486,000	486,000	486,000
Expenditures	411,534	532,649	434,041	474,159	463,000	463,000	463,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	115,833	43,396	27,717	10,605	49,400	49,400	49,400
Ending Cash Balance	1,495,352	1,494,789	1,617,033	1,632,198	1,704,598	1,776,998	1,849,398
Encumbrances	354	997	4,413	1,714	1,900	1,900	1,900
Unencumbered Cash Balance	1,494,999	1,493,792	1,612,619	1,630,483	1,702,698	1,775,098	1,847,498

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-800
 Name of Fund: Short-Term Loan Trust Fund
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T997

Intended Purpose:

Fund was created to provide students with emergency loans to meet expenses incidental to their schooling

Source of Revenues:

Private gifts and donations

Current Program Activities/Allowable Expenses:

Loans restricted to educational costs not exceeding \$100; exceptions may be approved by the director of financial aid

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	299,828	300,251	301,384	302,742	304,875	306,100	307,325
Revenues	523	633	859	2,133	1,000	1,000	1,000
Expenditures	100	(500)	(500)	0	(225)	(225)	(225)
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	300,251	301,384	302,742	304,875	306,100	307,325	308,550
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	300,251	301,384	302,742	304,875	306,100	307,325	308,550

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-700, UOH-900, UOH-900
 Name of Fund: Endowment Fund
 Legal Authority: 304A-106 HRS

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF) T
 Appropriation Acct. No. T998

Intended Purpose:

This fund is used to support university students through donor and Board of Regents scholarships.

Source of Revenues:

Revenues are primarily interest/dividends and sale of investments.

Current Program Activities/Allowable Expenses:

Scholarships (donor scholarships, Board of Regents and President's scholarships, scholarships for athletic programs)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	72,134,249	71,477,607	70,606,434	70,282,057	74,638,998	75,258,998	75,878,998
Revenues	2,035,594	2,233,072	2,160,013	6,430,881	3,210,000	3,210,000	3,210,000
Expenditures	2,692,236	3,104,245	2,484,390	2,073,940	2,590,000	2,590,000	2,590,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	71,477,607	70,606,434	70,282,057	74,638,998	75,258,998	75,878,998	76,498,998
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	71,477,607	70,606,434	70,282,057	74,638,998	75,258,998	75,878,998	76,498,998

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100, UOH-210, UOH-800
 Name of Fund: Unexpended Plant
 Legal Authority: Administratively Established

Contact Name: Michael Ng
 Phone: 956-6071
 Fund type (MOF): T
 Appropriation Acct. No.: T990

Intended Purpose:

Used as a temporary holding account for funds to acquire physical properties for institutional purposes but unexpended at the date of reporting, and for future decommissioning.

Source of Revenues:

Fees assessed to foreign governments, private agencies, and users of the Institute for Astronomy facilities.

Current Program Activities/Allowable Expenses:

Telescope infrastructure and building and equipment development and decommissioning for various university and related operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,268,027	2,763,762	5,569,481	5,598,276	5,587,769	5,917,769	6,217,769
Revenues	6,365,165	3,679,062	422,860	8,389,909	4,710,000	4,700,000	4,700,000
Expenditures	7,869,430	873,343	394,065	8,400,416	4,380,000	4,400,000	4,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,763,762	5,569,481	5,598,276	5,587,769	5,917,769	6,217,769	6,517,769
Encumbrances	925,331	379,777	432,659	493,994	558,000	558,000	558,000
Unencumbered Cash Balance	1,838,431	5,189,704	5,165,618	5,093,775	5,359,769	5,659,769	5,959,769

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Federal Work Study Program
 Legal Authority: Federal Fund

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

Through the Federal Work Study (FWS) Program, undergraduate and graduate students work part-time to help pay for the cost of their post-secondary education.

Source of Revenues:

U.S. Department of Education

Current Program Activities/Allowable Expenses:

The FWS program provides assistance to approximately 400 students in on-campus and off-campus employment. Some employment positions are community service based.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193
Expenditures	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority Hatch Act (P.L. 84-352) - Multi State

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

Regional research fund to support cooperative regional research by State Agricultural Experiment Stations (SAES) by stimulating and facilitating inter-state cooperation on research of a regional and national character both among SAES and within the U.S. Department of Agriculture (USDA).

Source of Revenues:

**Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	524,650						
Beginning Cash Balance ***	(17,270)	(16,741)	(41,663)	(144,628)	(247,593)	(350,558)	(453,523)
Revenues	549,377	483,043	433,167	433,167	433,167	433,167	433,167
Expenditures	548,848	507,965	536,132	536,132	536,132	536,132	536,132
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(16,741)	(41,663)	(144,628)	(247,593)	(350,558)	(453,523)	(556,488)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(16,741)	(41,663)	(144,628)	(247,593)	(350,558)	(453,523)	(556,488)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority Hatch Act, As Amended (P.L. 84-352)

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To promote the efficient production, marketing, distribution, and utilization of farm products to assure a position in research equal to that of industry.

Source of Revenues:

**Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	1,128,911						
Beginning Cash Balance ***	(122,234)	(11,887)	(21,650)	(352,815)	(683,980)	(1,015,145)	(1,346,310)
Revenues	1,364,616	1,141,569	914,241	914,241	914,241	914,241	914,241
Expenditures	1,254,270	1,151,332	1,245,406	1,245,406	1,245,406	1,245,406	1,245,406
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(11,887)	(21,650)	(352,815)	(683,980)	(1,015,145)	(1,346,310)	(1,677,475)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(11,887)	(21,650)	(352,815)	(683,980)	(1,015,145)	(1,346,310)	(1,677,475)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority: McIntire-Stennis Act (P.L. 87-788)

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To promote research in Forestry in Land Grant Colleges and Agricultural Experiment Stations

Source of Revenues:

**Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	251,000						
Beginning Cash Balance ***	(13,331)	(2,628)	(643)	(13,680)	(26,717)	(39,754)	(52,791)
Revenues	272,736	267,027	284,614	284,614	284,614	284,614	284,614
Expenditures	262,033	265,042	297,651	297,651	297,651	297,651	297,651
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(2,628)	(643)	(13,680)	(26,717)	(39,754)	(52,791)	(65,828)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(2,628)	(643)	(13,680)	(26,717)	(39,754)	(52,791)	(65,828)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority P.L. 95-113 Section 1433

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To conduct research on animal health and disease.

Source of Revenues:

**Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	2,363						
Beginning Cash Balance ***	0	0	0	0	0	0	0
Revenues	2,363	10,147	11,334	11,334	11,334	11,334	11,334
Expenditures	2,363	10,147	11,334	11,334	11,334	11,334	11,334
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Agricultural Extension Service
 Legal Authority Smith-Lever Act, As Amended (7 U.S.C)

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To diffuse among the people of the United States useful and practical information on subjects relating to agriculture and home economics, and to encourage the application of the same.

Source of Revenues:

**Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	1,378,329						
Beginning Cash Balance ***	(366,982)	(12,563)	(212,276)	(522,581)	(832,886)	(1,143,191)	(1,453,496)
Revenues	1,576,864	1,218,925	1,364,748	1,364,748	1,364,748	1,364,748	1,364,748
Expenditures	1,222,445	1,418,638	1,675,053	1,675,053	1,675,053	1,675,053	1,675,053
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(12,563)	(212,276)	(522,581)	(832,886)	(1,143,191)	(1,453,496)	(1,763,801)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(12,563)	(212,276)	(522,581)	(832,886)	(1,143,191)	(1,453,496)	(1,763,801)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: University of Hawaii
 Prog ID(s): UOH 100-UH-Manoa
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Agricultural Extension Service
 Legal Authority Civil Service Retirement Act (8/21/20)

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To fund the employer share of federal civil service retirement contributions.

Source of Revenues:

**Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Difference in expenditures due to reduction in liability for FY 2015. Payments are based on number of retirees and amounts to be paid out vary for each FY.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	4,027						
Beginning Cash Balance ***	(1,112)	0	0	0	0	0	0
Revenues	7,986	3,900	0	0	0	0	0
Expenditures	6,874	3,900	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: State Higher Education Loan Fund
 Legal Authority: 304A-2160, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-350-F

Intended Purpose:

Per statute, all interest and payments received on account of principal shall be credited to this fund. Loans shall be disbursed to needy students that meet eligibility requirements.

Source of Revenues:

Repayment of loans from borrowers and interest earned.

Current Program Activities/Allowable Expenses:

Awarding and issuance of loans to eligible students with amount of loan being determined based on need for financial aid and academic promise.

Purpose of Proposed Ceiling Adjustment (if applicable):

General Accounting and Loan Collection (GALC) provides Financial Aid Services an allocation to award the State Higher Education loan to students during each academic year.

Variances:

FY 2017 decrease in SHEL loans issued due to increase in Perkins loans issued.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	550,000	550,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	592,439	634,701	648,699	1,259,421	1,015,403	1,015,403	1,015,403
Revenues	665,637	680,159	668,948	623,188	650,000	650,000	650,000
Expenditures	623,375	666,160	379,878	867,205	650,000	650,000	650,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	321,651	0	0	0	0
Ending Cash Balance	634,701	648,699	1,259,421	1,015,403	1,015,403	1,015,403	1,015,403
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	634,701	648,699	1,259,421	1,015,403	1,015,403	1,015,403	1,015,403

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Commercial Enterprises RF (Architecture -
 Name of Fund: HIDESIGN Community Design Center)
 Legal Authority 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

All revenues are received from the State of Hawaii based on MOU's with the different departments e.g. Dept. of Land and Natural Resources, Dept. of Public Safety, Office of State Planning and the University of Hawaii. MOU's are sent to the University of Hawaii's General Counsel for final review before execution.

Current Program Activities/Allowable Expenses:

Projects for Dept of Land & Natural Resources, Dept of Public Safety, Office of State Planning, and the University of Hawai'i based on MOUs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	36,718	18,167	102,728	87,728
Revenues			60,500	408,314	1,331,947	500,000	500,000
Expenditures			23,782	479,346	1,247,386	515,000	512,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	52,482	0	0	0
Ending Cash Balance	0	0	36,718	18,167	102,728	87,728	75,728
Encumbrances			0	1,364	0	0	0
Unencumbered Cash Balance	0	0	36,718	16,803	102,728	87,728	75,728

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (Athletics)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

For the H Zone stores and online operations. The H Zone stores opened on July 1, 2014 under the Mānoa Athletics Department. An agreement was made effective July 1, 2017, to return operations of the H-Zone stores to the Manoa Bookstore. The Ward store was closed in May 2017.

Source of Revenues:

Revenues are from the sales of merchandise (Hawai'i Athletics apparel and accessories). Beginning in 2018, revenues are from profit sharing via the agreement with the Manoa Bookstore.

Current Program Activities/Allowable Expenses:

The current program activities/allowable expenses are the H Zone stores and online operations. Expenses include the cost of the merchandise sold, rent and associated expenses paid for the Ward Center store, personnel expenses, and other expenses needed to run a retail and online retail operation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues increased in FY 2016 due to the men's and women's basketball teams good performance and selection to the NCAA tournament.

Expenses decreased in FY 2017 due to two full time H-Zone employees resigning and not filling their positions.

Also, due to the closing of the Ward store in May 2017 and the transfer of operations back to the Manoa Bookstore, less inventory was purchased in FY 2017 than in prior years.

Decrease in revenues and expenses in 2018 due to the operations of the H-Zone stores being transferred to the Manoa Bookstore on July 1, 2017 and the closing of the Ward store in May 2017.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(109,967)	(373,034)	(441,674)	(88,106)	(1,860)	83,140	168,140
Revenues	1,057,475	1,168,770	1,173,925	102,116	100,000	100,000	100,000
Expenditures	1,320,543	1,237,410	820,356	15,870	15,000	15,000	15,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(373,034)	(441,674)	(88,106)	(1,860)	83,140	168,140	253,140
Encumbrances	69,425	38,125	2,278	1,142	0	0	0
Unencumbered Cash Balance	(442,459)	(479,799)	(90,383)	(3,001)	83,140	168,140	253,140

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (Auxiliary Services)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

To account for revenues and expenses generated from conferences coordinated through Conference Center on behalf of its sponsors and H-Zone stores, previously under the Manoa Athletics Dept. from July 1, 2014 - June 30, 2017.

Source of Revenues:

Revenues are generated through sponsor contributions and exhibitor fees for Conference Center operations.

Revenues are generated from the sales of merchandise for H-Zone operations.

Current Program Activities/Allowable Expenses:

The Conference Center provides services in organizing conferences for a variety of sponsors. Services include coordination of registration and management of revenues and expenses for conferences and workshops.

Allowable expenses include payroll, daily operating expenses, renovating and leasing commercial space, meeting room rental, food and beverages, non-personal services, and conference related supplies.

The H-Zone provides emblematic apparel to the general public, supporting UH athletics. Expenses include the cost of merchandise sold, personnel expenses, and other fees related to in-store and online retail operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Decrease in Revenues and Expenditures in FY15 to FY17 is due to budget constraints campus wide as well as other government agencies, resulting in lower demand for Conference Services. FY15-FY17 also does not include H-Zone, formerly known as Rainbowlite, operations.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000
Beginning Cash Balance	(1,047,564)	(1,024,902)	(1,226,251)	(1,289,180)	(902,099)	(895,452)	(888,672)
Revenues	1,020,469	689,468	495,075	1,160,805	962,728	981,983	1,001,622
Expenditures	997,205	874,817	412,238	773,724	856,081	873,203	890,667
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3400290					(100,000)	(102,000)	(104,040)
Net Total Transfers	(602)	(16,000)	(145,767)	0	(100,000)	(102,000)	(104,040)
Ending Cash Balance	(1,024,902)	(1,226,251)	(1,289,180)	(902,099)	(895,452)	(888,672)	(881,756)
Encumbrances	256,598	39,058	(8,884)	5,877	50,000	50,000	50,000
Unencumbered Cash Balance	(1,281,500)	(1,265,309)	(1,280,296)	(907,975)	(945,452)	(938,672)	(931,756)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (Discoveries & Inventions)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

Manoa revenue derived from patents and licenses royalties arranged through OTTED

Current Program Activities/Allowable Expenses:

To support various activities associated with revenue generating project, other operating costs, program activities and research and development.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and expenditures will fluctuate depending on the level of patent and royalty income earned by the respective projects and related support costs or expenditures that occur with the available of funds.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	81,073	84,100	113,385	105,762	105,102	104,442
Revenues	0	158	0	0	0	0	0
Expenditures	0	35,275	30,017	7,623	660	660	660
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	81,073	38,143	59,303	0	0	0	0
Ending Cash Balance	81,073	84,100	113,385	105,762	105,102	104,442	103,782
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	81,073	84,100	113,385	105,762	105,102	104,442	103,782

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (Division Food Service)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

The UHM Student Affairs Dining Services program was established with the objective of providing innovative dining services programs to satisfy the nutritional needs of the University of Hawai'i at Mānoa community. The program, which falls under the Office of Student Affairs, is a partnership between Student Housing Services and Student Life and Development. It is managed by Sodexo as a financially self-sustaining operation, meaning the revenue generated at the various residence dining halls, retail operations in the student union, and other student affairs-related facilities goes towards the continual improvement of the program.

Source of Revenues:

Mandatory and voluntary meal plan sales, flash cash dining card sales, and all credit and cash sales recorded at the dining locations.

Current Program Activities/Allowable Expenses:

Monthly expenditure reimbursements according to authorized purchases made through the Sodexo management contract. This includes day to day food and beverage inventory purchasing as well as facility maintenance and supply needs in order to operate.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Transfer in is from Student Activities RF fund of the same name. Commercial Enterprise statute was deemed more appropriate for the fund.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				8,200,000	8,200,000	8,200,000	8,200,000
Beginning Cash Balance		0	0	0	6,667,408	6,967,408	7,467,408
Revenues				10,621,570	11,500,000	10,000,000	10,000,000
Expenditures				10,445,934	12,700,000	11,000,000	11,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	6,491,772	1,500,000	1,500,000	1,500,000
Ending Cash Balance	0	0	0	6,667,408	6,967,408	7,467,408	7,967,408
Encumbrances				160,982	170,000	170,000	170,000
Unencumbered Cash Balance	0	0	0	6,506,426	6,797,408	7,297,408	7,797,408

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (OSA - Mānoa One Card)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues: Interest income, purchase of guest ID cards & transaction fee rate established at 5% per transaction in accordance with the Memorandum of Agreement with authorized Campus Cash vendors.

Current Program Activities/Allowable Expenses: Monthly reimbursements to authorized Campus Cash vendors in accordance with Memorandum of Agreements and copier/computer lab sales recorded at Hamilton & Sinclair libraries. Also includes monthly credit card merchant fees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations: In FY 2017, monies for campus cash was deposited in object code 0773 but in FY 2018 campus cash deposits were made to object code 9230, deemed a more suitable object code. The difference in expenditures from FY 2017 to FY 2018 was due to a decrease in deposits payable and expenditures in FY 2017 started mid-year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	19,255	29,043	30,143	31,243
Revenues			7,972	292	2,500	2,500	2,500
Expenditures			(11,284)	(9,496)	1,400	1,400	1,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	19,255	29,043	30,143	31,243	32,343
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	19,255	29,043	30,143	31,243	32,343

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (UH Press)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Intended purpose are revenues and expenses associated with the acquisition, production, distribution and marketing of books, journals and other media produced by or distributed by the University of Hawaii Press.

Source of Revenues:

The UH Press publishes in permanent form books, journals, recordings, films and electronic communication forms of high merit which add to the sum or foster human wisdom, particularly those which reflect the regional or special interests and responsibilities of the University and other scholarly research organizations in the State of Hawai'i. The Press currently publishes books on Hawai'i, Asia and the Pacific and provides printing services. Revenues to this fund are from sales of publications and production services (printing).

Current Program Activities/Allowable Expenses:

Allowable expenses are fixed and variable expenses associated with the acquisition, production, distribution and marketing of books, journals and other media produced by or distributed by the University of Hawai'i Press.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,709,839	5,709,839	5,709,839	5,709,839	5,709,839	5,709,839	5,709,839
Beginning Cash Balance	3,721,970	3,063,771	2,413,123	2,015,043	1,519,828	1,219,828	1,119,828
Revenues	4,657,229	4,490,924	4,305,674	4,289,259	4,300,000	4,400,000	4,500,000
Expenditures	5,287,428	5,141,571	4,703,754	4,784,474	4,600,000	4,500,000	4,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(28,000)	0	0	0	0	0	0
Ending Cash Balance	3,063,771	2,413,123	2,015,043	1,519,828	1,219,828	1,119,828	1,219,828
Encumbrances	1,394,052	1,343,490	1,342,272	1,342,591	800,000	800,000	800,000
Unencumbered Cash Balance	1,669,718	1,069,633	672,770	177,237	419,828	319,828	419,828

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: Research and Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F, S-381-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditure decrease in FY 2017 due to collective conservative actions in anticipation of declining available resources.

Revenues increase in FY 2017 due to overall increase in IDC generated by sponsored projects as compared to FY 2016.

Revenues decrease in FY 2018: \$4.267M, of which \$3.2M includes assessments against Manoa for Risk Mgt Fund, and cash deficit recovery at VPRI level.

Transfers:

Transfers out in FY 2017 include \$1,924,775 for debt service.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341
Beginning Cash Balance	20,531,207	19,135,420	19,076,332	23,288,067	24,564,834	25,301,663	28,181,644
Revenues	33,134,035	30,889,197	32,313,813	29,904,940	29,339,784	31,742,843	31,901,557
Expenditures	32,166,296	28,401,958	26,177,302	26,790,839	26,924,793	27,059,417	27,194,714
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Biomed					(1,251,506)	(1,376,770)	(1,540,079)
Sinclair					(426,656)	(426,676)	(425,013)
Net Total Transfers	(2,363,526)	(2,546,328)	(1,924,775)	(1,837,335)	(1,678,162)	(1,803,446)	(1,965,092)
Ending Cash Balance	19,135,420	19,076,332	23,288,067	24,564,834	25,301,663	28,181,644	30,923,394
Encumbrances	2,284,137	1,561,220	3,337,213	1,805,293	2,569,275	1,664,650	1,500,000
Unencumbered Cash Balance	16,851,283	17,515,112	19,950,854	22,759,541	22,732,388	26,516,994	29,423,394

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Student Health Center RF
 Legal Authority: 304A-2255, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, this fund was established to pay the cost of operations of the Student Health Center services and shall be replenished through charges made for medical services and other related goods and services, or through transfers from other accounts or funds.

Allows program to provide certain essential medical services, supplies, drugs and lab services as required in medical treatment and the ability to assess certain costs for reimbursement.

Source of Revenues:

Fees for services, sales of medical supplies/prescriptions, mandatory student fees, insurance reimbursements.

Current Program Activities/Allowable Expenses:

Provide primary medical care to university community and promote good health practices.

Allowable expenses are costs incurred to provide these services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY2018 - Variance primarily due to higher payroll costs (i.e. CB increases, significantly higher fringe benefit rates)

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	3,621,079	4,699,528	5,911,499	7,232,494	7,862,682	8,357,682	6,691,982
Revenues	4,617,376	4,696,454	5,098,880	4,996,484	5,000,000	5,000,000	5,000,000
Expenditures	3,538,926	3,484,484	3,777,885	4,366,296	4,505,000	6,665,700	6,540,260
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,699,528	5,911,499	7,232,494	7,862,682	8,357,682	6,691,982	5,151,722
Encumbrances	72,647	75,064	24,045	3,158	4,006,000	1,872,300	25,000
Unencumbered Cash Balance	4,626,882	5,836,435	7,208,449	7,859,524	4,351,682	4,819,682	5,126,722

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Transcript and Diploma RF
 Legal Authority: 304A-2256, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Means to defray or recover costs for the preparation, ordering and issuance of transcripts and diplomas and their covers upon requests from students.

Source of Revenues:

Transcript fees, diploma fees, interest earned.

Current Program Activities/Allowable Expenses:

Issues transcripts of academic records and issues diplomas and certificates to graduates.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY17 purchased replacement computers and increased order for diploma covers.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	425,000	425,000	425,000	425,000	425,000	425,000	425,000
Beginning Cash Balance	313,320	292,967	326,140	280,689	214,534	154,360	84,360
Revenues	357,867	363,377	334,468	321,040	350,000	350,000	350,000
Expenditures	378,220	330,205	379,918	387,195	410,174	420,000	430,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	292,967	326,140	280,689	214,534	154,360	84,360	4,360
Encumbrances	12,927	6,712	168	9,350	0	0	0
Unencumbered Cash Balance	280,040	319,428	280,521	205,184	154,360	84,360	4,360

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Associated Students of the University of Hawaii)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

ASUH is the University Board of Regents chartered organization responsible for undergraduate student governance on the Mānoa campus. ASUH's purpose as articulated in its constitution, is to "(1) create a body responsive to the needs and desires of its constituents; (2) provide for administrative continuity, and (3) develop a responsible as well as critical awareness of prevalent attitudes and actions through participation in co-curricular activities...".

Because the nature of this organization is one of student self-governance and decision-making, there is a great potential for annual shifts and changes to the group's subprograms and activities.

Source of Revenues:

Mandatory student fees, interest income, stock dividends.

Current Program Activities/Allowable Expenses:

ASUH Travel Fund, grant funding for Registered Independent Organization of the UH, sponsoring of one-day events, speakers, programs and advocacy of student interests with UH administrators, BOR representatives and legislators.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, funding grants, travel, stipends, advertising, food and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2016, ASUH received more student fees than the previous year and also withdrew more from the Stadium Endowment fund.

In FY 2017, ASUH requested \$250,000 from the Stadium Endowment fund vs. \$325,000 in FY 2016.

In FY 2018, ASUH requested \$350,000 from the Stadium Endowment fund vs. \$250,000 in FY 2017.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	436,187	436,187	436,187	436,187	436,187	436,187	436,187
Beginning Cash Balance	26,107	17,895	71,548	37,022	100,563	120,563	140,563
Revenues	383,754	431,277	352,789	449,971	400,000	400,000	400,000
Expenditures	388,967	372,624	384,316	377,280	377,000	377,000	377,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(3,000)	(5,000)	(3,000)	(9,150)	(3,000)	(3,000)	(3,000)
Ending Cash Balance	17,895	71,548	37,022	100,563	120,563	140,563	160,563
Encumbrances	426	12,633	27,366	9,434	10,000	10,000	10,000
Unencumbered Cash Balance	17,468	58,916	9,656	91,129	110,563	130,563	150,563

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Broadcast Communication Authority)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Broadcast Communication Authority is the chartered student organization whose purposes are to (1) govern and operate broadcast facilities for the education and entertainment of the University community and the public, and (2) provide an avenue for the training and education of individuals involved in student broadcast programs of the organization.

Source of Revenues:

Mandatory student fees, interest income

Current Program Activities/Allowable Expenses:

KTUH 90.3 FM - the campus radio station operating with a FCC license to provide alternative musical, cultural and educational programming, 24 hours per day. Student Video Filmmakers Association - SVFA provides students with technical and management training in video and film production. SVFA produces a monthly one-half hour program, UH Magazine, on public access television.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, advertising, travel, stipends, food and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Given the evolution of media technology, the BCA has plans to enhance student involvement and activities, thereby increasing operational expenses. Increased program activity is occurring for the video production service known as UH Productions. This requires more expensive ongoing equipment expenses.

Variances:

In FY 2016, student assistants costs decreased and UHP paid out fewer stipends compared with FY 2015. UHP also did not collect as much for production of advertising videos as in the previous year.

In FY 2017, costs decreased for advertising, supplies and postage from prior FY and KTUH spent \$14,000 less for stipends.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	220,000	220,000	220,000	220,000	220,000	220,000	220,000
Beginning Cash Balance	163,900	122,043	90,316	90,241	101,935	118,445	134,955
Revenues	125,270	111,727	112,991	119,637	125,000	125,000	125,000
Expenditures	167,127	143,454	113,066	107,943	108,490	108,490	108,490
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	122,043	90,316	90,241	101,935	118,445	134,955	151,465
Encumbrances	50	0	0	0	0	0	0
Unencumbered Cash Balance	121,993	90,316	90,241	101,935	118,445	134,955	151,465

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Board of Publications)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Board of Publications is responsible for all student publications supported by funds derived from student activity fees. The board strives to provide the best journalistic services possible while providing an educational environment for students through on-the-job training in the publications field.

Source of Revenues:

Mandatory student fees, interest income, advertising revenue, subscriptions, printing revenue.

Current Program Activities/Allowable Expenses:

Ka Leo O Hawai'i - daily student newspaper; Hawai'i Review - UH Mānoa literary journal; Student Planner - annual planner/handbook; Beau Press - printing facility dedicated to BOP publications and UH system printing jobs.

Allowable expenses include personnel costs, general operating expenses, repairs & maintenance costs, equipment, printing expenses, stipends, travel, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2016, revenue generating display advertising contracts expired and were not renewed awaiting the roll out of a new mobile app and web redesign. Staff vacancies, decrease in stipends and lower printing costs attributed to the decline in expenditures between FY 2015 and FY 2016.

In FY 2017, although the mobile app was developed to increase revenue, Ka Leo's printing schedule dropped from once a week to twice a month and could not generate the same amount of revenue for BOP as in the past FY.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300
Beginning Cash Balance	444,010	314,793	297,544	209,471	155,359	105,105	105,105
Revenues	695,710	603,340	513,919	504,515	549,746	550,000	550,000
Expenditures	824,928	620,589	601,993	558,627	600,000	550,000	550,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	314,793	297,544	209,471	155,359	105,105	105,105	105,105
Encumbrances	45,356	90,113	48,007	53,393	50,000	50,000	50,000
Unencumbered Cash Balance	269,438	207,431	161,464	101,966	55,105	55,105	55,105

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Campus Center Board)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Campus Center Board is responsible for setting policy regarding the operations of the Campus Center complex, provides directional input into the planning of the Center's Master Plan, and provides a learning experience to its members by interfacing with a diverse group of people. The Campus Center Activities Council presents co-curricular programs for the University community under four major committees: Culture, Educational Support, Personal Wellness and Recreation.

Source of Revenues:

Mandatory student fees, interest income

Current Program Activities/Allowable Expenses:

The CC Activities Council sponsors programs for the University community. Programs vary depending upon the student programmers. Some programs include speakers, musical performers, Homecoming Scholar Award, and the All Nighter events each semester.

Allowable expenses include personnel costs, general operating expenses, repair and maintenance costs, equipment, stipends, advertising, travel, food and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Due to the opening of the new Recreation Center and the implementation of the One Card program, increase in funding is necessary.

Variances:

In FY 2015, the number of events hosted by the Campus Center Board decreased and they did not sponsor the Aloha Bash concert that was featured in FY 2014 and prior years. The increase in expenditures from the prior fiscal year was attributed to sponsoring of the Aloha bash concert and the programming committees organizing and hosting more events in FY 2016. Although expenditures increased for Special Projects such as Aloha Bash, programming committees organized and hosted less smaller events and cost for student employees decreased in FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000
Beginning Cash Balance	614,844	709,612	611,162	461,245	383,825	313,825	243,825
Revenues	540,001	560,198	524,791	495,229	500,000	500,000	500,000
Expenditures	439,545	658,649	674,708	572,648	570,000	570,000	570,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(5,688)	0	0	0	0	0	0
Ending Cash Balance	709,612	611,162	461,245	383,825	313,825	243,825	173,825
Encumbrances	9,689	33,678	1,981	1,382	1,300	1,300	1,300
Unencumbered Cash Balance	699,924	577,485	459,264	382,443	312,525	242,525	172,525

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Co-Curricular Activities)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

This major appropriation consists of a number of miscellaneous program accounts established for different student activity programs as authorized by HRS 304A-2257. These accounts serve a myriad of student needs including orientation and transition programs, leadership education for students and student groups, registered independent clubs and organizations, student leadership training and development system-wide, student transportation services, and program equipment reserve and replacement.

Source of Revenues:

Mandatory student fees, interest income, program registration fees

Current Program Activities/Allowable Expenses:

Personnel costs, general operating expenses, repairs and maintenance costs, equipment, printing expenses, stipends, travel, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2015, revenue increase is attributable to increase in the number of students registering to attend New Student Orientation.

Also, added One Card program account. In FY 2015, a payment of \$42,539.86 was inadvertently charged to the One Card program but not corrected until FY16. Also, personnel, advertising & rental cost decreased for New Student Orientation in FY 2016.

In FY 2017, revenue for the One Card Program is reported separately and the number of students enrolled in New Student Orientation also decreased.

In FY 2018, New Student Orientation did not charge students/parents for their programs and most expenditures were for student employees and meals served during orientation.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	535,826	482,131	483,294	451,450	305,166	279,396	263,626
Revenues	266,210	240,090	192,371	130,143	130,000	130,000	130,000
Expenditures	324,136	243,156	228,445	158,825	160,000	150,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	4,230	4,230	4,230	(117,602)	4,230	4,230	4,230
Ending Cash Balance	482,131	483,294	451,450	305,166	279,396	263,626	247,856
Encumbrances	161,502	27,140	13,699	16,617	15,000	15,000	15,000
Unencumbered Cash Balance	320,629	456,154	437,750	288,548	264,396	248,626	232,856

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Division Food Service)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The UHM Student Affairs Dining Services program was established with the objective of providing innovative dining services programs to satisfy the nutritional needs of the University of Hawai'i at Mānoa community. The program, which falls under the Office of Student Affairs, is a partnership between Student Housing Services and Student Life and Development. It is managed by Sodexo as a financially self-sustaining operation, meaning the revenue generated at the various residence dining halls, retail operations in the student union, and other student affairs-related facilities goes towards the continual improvement of the program.

Source of Revenues:

Mandatory and voluntary meal plan sales, flash cash dining card sales, and all credit and cash sales recorded at the dining locations.

Current Program Activities/Allowable Expenses:

Monthly expenditure reimbursements according to authorized purchases made through the Sodexo management contract. This includes day to day food and beverage inventory purchasing as well as facility maintenance and supply needs in order to operate.

Purpose of Proposed Ceiling Adjustment (if applicable):

With the task of managing a food service contract, an increase in the expenditure ceiling is a necessity to provide optimum goods and services.

Variances:

In FY 2015, revenue decreased from prior year due to the drop in sales for mandatory, voluntary and other meal plans. The expenditure variance was attributed to additional distributions to Campus Center & Student Housing programs in FY 2014. No distribution was made in FY 2015.

In FY 2016, only ten payments were processed to Sodexo compared to twelve for FY 2015.

In FY 2017, twelve payments were processed to Sodexo compared to ten payments in FY 2016.

Transfer out is to the Commercial Enterprise RF fund of the same name. Commercial Enterprise statute was deemed more appropriate for the fund.

In FY 2018, revenue was for interest only and expenditures were for prior fiscal year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,200,000	8,200,000	8,200,000				
Beginning Cash Balance	4,432,922	5,316,007	7,372,094	7,363,074	0	0	0
Revenues	10,155,962	10,126,210	10,226,776	28,817	0	0	0
Expenditures	9,272,877	8,070,123	10,235,795	900,094	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	(6,491,797)	0	0	0
Ending Cash Balance	5,316,007	7,372,094	7,363,074	0	0	0	0
Encumbrances	50	1,695,261	906,451	0			
Unencumbered Cash Balance	5,315,957	5,676,833	6,456,623	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Graduate Student Organization)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

GSO is the University Board of Regents chartered organization responsible for graduate student governance on the Mānoa campus. GSO's purpose, as articulated in its constitution, is "(a) to provide representative input on policies affecting UHM graduate students in general or any subgroups thereof; (b) to provide an advisory body for the Dean of the Graduate Division of the University of Hawai'i System; (c) to provide an organization from which graduate student representatives can be selected for recommendation to serve upon campus-wide committees; and (d) to initiate and maintain co-curricular programs that are relevant to UH graduate students". This appropriation also consists of the student affairs division food service.

Source of Revenues:

Mandatory student fees, interest income, food service meal plan and declining balance sales

Current Program Activities/Allowable Expenses:

The Graduate Student Organization sponsors a Grants & Awards Program that supports graduate student research activities, participates in the orientation of new graduate students, offers social and other networking opportunities, and provides funding for advertising, travel, stipends, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

The Graduate Student Organization has increased the allocation for their grants and awards program which is approx. two-thirds of their annual expenditures. As such, their expenditure ceiling needs to be increased.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	179,300	179,300	179,300	179,300	179,300	179,300	179,300
Beginning Cash Balance	45,600	67,280	86,479	92,289	97,820	100,820	103,820
Revenues	112,441	106,629	101,633	97,689	98,000	98,000	98,000
Expenditures	90,761	87,430	95,823	92,157	95,000	95,000	95,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	67,280	86,479	92,289	97,820	100,820	103,820	106,820
Encumbrances	0	450	925	1,952	1,000	1,000	1,000
Unencumbered Cash Balance	67,280	86,029	91,364	95,868	99,820	102,820	105,820

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Student Athletic Fee)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Student Athletic Fee Committee is responsible for the planning, implementation and funding of events to encourage students to participate in athletic events and improve campus spirit. The committee also allocates funds to the Manoa Maniacs to provide activities related to athletics.

Source of Revenues:

Mandatory student fee of 8% of the Athletic fee from the Athletic Department.

Current Program Activities/Allowable Expenses:

Sponsoring athletic related activities for UHM students. Allowable expenses include transportation costs, advertising, rental fees, stipends, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2015, SAFC expended approximately \$32,000 on musical instruments for the UH Band which accounted for the variance between FY 2014 & FY 2015. The difference in expenditures from FY 2015 to FY 2016, is due to the amount of participation from RIOs & Athletics with Manoa Maniacs.

Every year the collaboration with groups on campus fluctuates based on their availability to consistently meet & work with students to host events.

In FY 2017, the SAFC awarded more funding than in the previous fiscal year.

In FY 2018, SAFC did not receive the revenue for the Spring 2018 semester and disbandment of the committee resulted in the transfer of the remaining balance back to the Athletic Department in FY 2019.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	110,794	58,735	137,317	216,495	233,988	0	0
Revenues	138,949	133,213	128,103	51,639	0	0	0
Expenditures	176,987	28,381	48,925	34,145	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(14,020)	(26,250)	0	0	(233,988)	0	0
Ending Cash Balance	58,735	137,317	216,495	233,988	0	0	0
Encumbrances	1,343	2,100	2,426	0			
Unencumbered Cash Balance	57,392	135,217	214,069	233,988	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Student Activities RF (Student Activity & Program Fee Board)
 Name of Fund: Program Fee Board
 Legal Authority 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Student Activity and Program Fee Board affords students the opportunity to provide input into the allocation of fees to support a variety of co-curricular programs which benefit students, including but not limited to, intercollegiate athletics, intramurals, university theater, registered student clubs and organizations and University programs.

Source of Revenues:

Mandatory student fees, interest income

Current Program Activities/Allowable Expenses:

A grant-funding program is conducted twice a year where intercollegiate athletics, intramurals, university theater, registered student clubs and organizations, and University programs can apply for monies to conduct co-curricular programs which contribute to the quality of campus life.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, advertising, travel, stipends, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

The board increased student fees in 2011 and since then has made a concerted effort to increase funding to various organizations. As such, their expenditure ceiling is anticipated to be exceeded as organizations make use of their grant funds.

Variances:

In FY 2016, SAPFB increased their funding by approximately 57% which significantly attributed to the increase in expenditures.

The expenditures in FY 2017 decreased due to less funding awarded and expended in the areas of services, travel, food, advertising and operating supplies.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	408,200	408,200	408,200	408,200	408,200	408,200	408,200
Beginning Cash Balance	453,399	484,628	336,255	233,644	147,366	126,136	104,906
Revenues	418,643	404,825	394,990	395,474	400,000	400,000	400,000
Expenditures	386,184	551,969	496,372	480,522	420,000	420,000	420,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,230)	(1,230)	(1,230)	(1,230)	(1,230)	(1,230)	(1,230)
Ending Cash Balance	484,628	336,255	233,644	147,366	126,136	104,906	83,676
Encumbrances	1,164	22,189	17,494	17,407	17,000	17,000	17,000
Unencumbered Cash Balance	483,464	314,066	216,150	129,959	109,136	87,906	66,676

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Student Activities RF (UPASS Transportation
 Name of Fund: Program)
 Legal Authority 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The UPASS program includes both mandatory and opt-in revenues collected for student bus passes according to an established memorandum of agreement between the Department of Transportation Services, the City and County of Honolulu, and the University of Hawai'i at Manoa (UHM). The program is intended provide affordable public transportation to UHM students and contributing to the reduction of traffic.

Source of Revenues:

Revenue is collected from the UPASS mandatory student fees and opt-in payments collected at the SLD Ticket and Information Office.

Current Program Activities/Allowable Expenses:

Fall/Spring Mandatory UPASS payments and monthly Opt-in payments to the City and County of Honolulu.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The cost of the UPASS increased for Fall 2015 and Spring 2016 from \$30 to \$40 and expenditures increased relative to the revenue.

In FY 2017, the cost of the UPASS increased from \$40 to \$50 and expenditures increased relative to the revenue.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	371,215	360,324	324,430	307,859	319,343	343,343	367,343
Revenues	1,172,408	1,416,421	1,634,209	1,588,264	1,600,000	1,600,000	1,600,000
Expenditures	1,183,300	1,452,315	1,650,780	1,576,780	1,576,000	1,576,000	1,576,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	360,324	324,430	307,859	319,343	343,343	367,343	391,343
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	360,324	324,430	307,859	319,343	343,343	367,343	391,343

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Lab School)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

To support the extra-curricular student activities and services at the Education Laboratory School that cannot otherwise be funded through the State General Fund.

Source of Revenues:

Parental contributions of students attending the Education Laboratory School.

Current Program Activities/Allowable Expenses:

The Education Laboratory School (ELS) Student Activity accounts are funded solely through parental contributions of students who attend the ELS.

The funds are used by ELS student government and to support student activities that cannot otherwise be funded through the State General Fund.

These include: school activities (dances, proms, awards, yearbook, field trips, graduation, etc.), athletics (uniforms, league fees, awards, etc.) and class activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	35	35	0	0	0	0	0
Revenues	0	0	0	0			
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(35)	0	0	0	0	0
Ending Cash Balance	35	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	35	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: Lab School has become a charter school outside the University. Fund will be closed.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF - National Student Exchange
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

For FY 2010-2012 (Fall 2011 only): To collect tuition and fees from National Student Exchange consortium students.

Effective Spring 2012: To collect fees from National Student Exchange consortium students. Tuition to be collected through BANNER.

Source of Revenues:

FY 2010 - FY 2012 (Fall 2011 only) tuition and student fees. Effective Spring 2012, student fees.

Current Program Activities/Allowable Expenses:

Consortium obligations (student placement fees and institutional membership fees, travel expenses for annual placement conference), and direct operating expenses (student assistants, telecom, duplicating, mailing, supplies, etc.).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY17-The significant decrease in revenues was due to the decrease in NSE enrollment and recruitment. The NSE Director was on medical leave for the entire fiscal year, therefore enrollment and recruitment efforts were halted. Increase of expenditures was for additional student help hours needed to maintain NSE office while the NSE Director was out on leave.

FY18-The significant increase in revenues was due to the start of a new NSE Director who initiated active marketing of the NSE Program as well as recruiting of students. Decrease of expenses was due to no longer employing a student assistant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	25,947	18,850	19,383	8,475	8,399	7,899	7,399
Revenues	18,513	11,593	2,064	10,483	10,500	10,500	10,500
Expenditures	25,610	11,060	12,972	10,559	11,000	11,000	11,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	18,850	19,383	8,475	8,399	7,899	7,399	6,899
Encumbrances	200	0	0	488	0	0	0
Unencumbered Cash Balance	18,650	19,383	8,475	7,911	7,899	7,399	6,899

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Housing Assistance RF (repealed)
 Legal Authority: 304A-2258, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

The UH Housing Assistance RF was repealed pursuant to Act 124, SLH 2011.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	23	(23)	(23)	(23)	0	0	0
Unencumbered Cash Balance	(23)	23	23	23	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Graduate Application RF (Grad Division)
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

Received application fees collected from individuals applying for various graduate programs within the University of Hawai'i. Revenues from application fees shall be used to pay for costs of processing applications to all graduate programs.

Source of Revenues:

Application fee to graduate programs.

Current Program Activities/Allowable Expenses:

Applicants to the Graduate Division's graduate programs are charged a \$100 application fee. The costs of processing applications, cost of applications, and the development of a web-based application process are being funded by these revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

The Graduate Application Revolving fund is requesting an expenditure ceiling increase from \$350,000 to \$600,000.

The expenditure ceiling has remained at \$350,000 since 2008. Because of both rising operational expenses and the decrease in G Fund allocations, the Graduate Application Revolving Fund had to absorb more of the associated costs related to the graduate application process.

We anticipate this trend to continue indefinitely.

Variances:

FY17 expenditure decrease are due to a shift of personnel expenses back to General Funds.

FY18-20 estimated expenditure increase is due decrease in tuition operating and performance funds from OVCAA, therefore operating expenses are to be absorbed by RF

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	310,978	301,027	297,204	451,418	427,269	407,269	387,269
Revenues	405,727	410,593	430,288	433,407	430,000	430,000	430,000
Expenditures	415,678	414,416	276,074	457,556	450,000	450,000	450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	301,027	297,204	451,418	427,269	407,269	387,269	367,269
Encumbrances	2,212	937	2,603	7,780	0	0	0
Unencumbered Cash Balance	298,815	296,267	448,815	419,489	407,269	387,269	367,269

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Graduate Application RF (Law School)
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

To defray costs associated with Law School student services function related to admissions, applications, new student recruitment, etc.

Source of Revenues:

Law School fees from processing of applications for admittance to the William S. Richardson School of Law.

Current Program Activities/Allowable Expenses:

Expenses related to the processing of Law School applications.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2017 variance was due to the departure of 2 Admissions Office Assistants who graduated, but had been employed with the school for the past 4 years. In FY 2018, the Director of Admissions will take a 1 year sabbatical, so we hired 2 part-time employees to manage the Admissions Office for the fiscal year, resulting in greater payroll expenses. We don't expect to continue these positions after the first quarter of FY 2019, when the Director returns.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	72,000	72,000	72,000	72,000	72,000	72,000	72,000
Beginning Cash Balance	23,473	3,718	2,660	18,418	(2,881)	119	1,119
Revenues	32,837	32,424	32,442	35,747	37,000	37,000	37,000
Expenditures	52,592	33,482	16,683	57,045	34,000	36,000	36,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,718	2,660	18,418	(2,881)	119	1,119	2,119
Encumbrances	75	75	75	75			
Unencumbered Cash Balance	3,643	2,585	18,343	(2,956)	119	1,119	2,119

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Graduate Application RF (Shidler)
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

To defray costs associated with Shidler College of Business student services function related to graduate admissions and applications.

Source of Revenues:

Graduate Application fees paid by applicants to the Shidler College of Business Graduate Programs and any interest earned.

Current Program Activities/Allowable Expenses:

Expenses related to the processing of Shidler Graduate applications such as postage, printing, and processing of applications.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in expenditures in FY2016 is due to limiting costs for an anticipated lower revenue projection toward the end of the fiscal year.

The variance from FY2016 to FY2017 is due to a decrease in applications to programs and an implementation of waivers directed by the Dean and Assistant Dean for Student Services to the Office of Student Academic Services - Graduate Programs. The decrease in expenditures in FY2017 is due to covering costs with tuition funds with the anticipation of lower revenues in the future years.

The variance from FY2017 to FY2018 is due to an increase in applications. The increase in expenditures in FY2018 is due covering additional costs with the increase in revenue.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,228	2,647	6,436	21,380	4,752	4,752	4,752
Revenues	25,590	25,868	15,320	19,291	20,000	20,000	20,000
Expenditures	27,171	22,080	375	35,919	20,000	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,647	6,436	21,380	4,752	4,752	4,752	4,752
Encumbrances	1,441	1,493	1,472	1,480	0	0	0
Unencumbered Cash Balance	1,206	4,943	19,908	3,273	4,752	4,752	4,752

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Seed Distribution Program RF
 Legal Authority: 304A-2263, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, this fund was established to enable the seed distribution program to operate at a level that will adequately meet the demand for seeds (from farmers, homeowners, and seed companies).

Source of Revenues:

Revenues are generated from farmers, seed companies, garden shops, educational institutions and homeowners.

Current Program Activities/Allowable Expenses:

Current program activities include cultivation and production of vegetables and garden seeds which are sold to the public. Allowable expenses include labor, materials, supplies and equipment that are necessary for the cultivation and production of the various vegetables and garden seeds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in revenues due to increase in sales of seeds. Increase in expenditures due to hiring of staff as a result of increased seed production/sales.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	68,264	68,264	68,264	68,264	68,264	68,264	68,264
Beginning Cash Balance	14,810	9,329	16,692	13,598	3,944	3,944	3,944
Revenues	64,135	54,342	47,733	40,577	40,000	40,000	40,000
Expenditures	69,616	46,979	50,828	50,230	40,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,329	16,692	13,598	3,944	3,944	3,944	3,944
Encumbrances	256	0	0	0	0	0	0
Unencumbered Cash Balance	9,073	16,692	13,598	3,944	3,944	3,944	3,944

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UHM Conference Center RF (Repealed)
 Legal Authority: 304A-2264, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:
 The UHM Conference Center RF was repealed pursuant to Act 124, SLH 2011.
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(141)	(84)	(0)	(0)	(0)	(0)	(0)
Revenues	(0)	(0)	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	57	84	0	0	0	0	0
Ending Cash Balance	(84)	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(84)	(0)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (Arts & Humanities)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's facilities use program shall be deposited into this fund to be used for the costs of providing public service programs.

The Theatre and Dance Department would provide services for space rental and putting on special event programs, such as Ohana Arts.

Source of Revenues:

The source of revenue would be the labor and equipment costs for the use of equipment, technicians, front of house, maintenance, and facility fees needed for each event.

Current Program Activities/Allowable Expenses:

Revenues from room rentals; Expenditures related to rental equipment and personnel costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	91,509	106,939	119,939
Revenues				43,691	56,730	55,000	55,000
Expenditures				(47,818)	41,300	42,000	42,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	91,509	106,939	119,939	132,939
Encumbrances				150			
Unencumbered Cash Balance	0	0	0	91,359	106,939	119,939	132,939

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (CRCH)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Source of Revenues:

Various fees are collected for short-term use of facilities

Current Program Activities/Allowable Expenses:

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 Revenue variance due to late collections that cross over from the prior fiscal year

FY18 Expenditures were less due to fewer credit card payments received resulting in lower credit card fees

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	2,401	8,461	18,414	30,014	41,614
Revenues		2,401	6,386	9,964	12,000	12,000	12,000
Expenditures		0	326	10	400	400	400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,401	8,461	18,414	30,014	41,614	53,214
Encumbrances		0	471	877	0	0	0
Unencumbered Cash Balance	0	2,401	7,990	17,537	30,014	41,614	53,214

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (CTAHR)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

To account for revenue generated from lease/rental of facilities to offset expenses of facilities.

Source of Revenues:

Revenue is generated from leases and facilities rentals.

Current Program Activities/Allowable Expenses:

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	125,000	125,000	125,000	125,000	125,000	125,000	125,000
Beginning Cash Balance	103,892	110,590	117,341	124,196	116,173	108,173	100,173
Revenues	6,698	6,751	6,855	6,978	7,000	7,000	7,000
Expenditures	0	0	0	15,000	15,000	15,000	15,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	110,590	117,341	124,196	116,173	108,173	100,173	92,173
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	110,590	117,341	124,196	116,173	108,173	100,173	92,173

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (IFA)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of infrastructure support for the Mauna Kea and Haleakala observatories.

Source of Revenues:

Annual rent due per Operating and Site Development Agreements for telescope facilities on Mauna Kea and Haleakala.

Current Program Activities/Allowable Expenses:

Utilities, Supplies, repair and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	2	12,227	8,240	4,887
Revenues			2	12,225	21,013	21,647	22,296
Expenditures			0	0	25,000	25,000	25,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	2	12,227	8,240	4,887	2,183
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	2	12,227	8,240	4,887	2,183

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (OPF)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay for the use of real property and facilities, except as otherwise provided by law.

Source of Revenues:

Various fees are collected for use of facilities including, but not limited to, facility use charges, sales, lease agreements, commercial filming charges and deposits for the use of building keys by contractors.

Current Program Activities/Allowable Expenses:

Planned expenditures include costs of operating University facilities including, maintenance and repair, supplies, furnishings, equipment and other expenses related to the upkeep of the facility.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 15: Increase in expenditures is due to hiring of a Safety Officer in response to HIOSH findings.

FY 17: Increase in revenues due to one-time final payment for the HI Public Television lease agreement.

FY 19: Increase in forecasted expenditures due to planned facilities maintenance equipment purchases.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000	450,000	450,000	450,000	450,000	450,000
Beginning Cash Balance	553,575	408,796	395,249	756,173	727,283	505,083	494,083
Revenues	206,249	240,814	526,987	217,814	223,000	223,000	223,000
Expenditures	203,028	98,361	68,632	24,720	281,200	70,000	70,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(148,000)	(156,000)	(97,431)	(221,984)	(164,000)	(164,000)	(164,000)
Ending Cash Balance	408,796	395,249	756,173	727,283	505,083	494,083	483,083
Encumbrances	298	2,621	734	734	0	0	0
Unencumbered Cash Balance	408,498	392,628	755,439	726,549	505,083	494,083	483,083

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (SOEST)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Source of Revenues:

Various fees are collected for short-term use of facilities

Current Program Activities/Allowable Expenses:

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in revenue due to lease agreements ending mid-fiscal year. Expenditures vary based on programmatic needs and funding availability.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	41,703	45,555	198,955	358,359	519,720
Revenues		183,367	153,852	154,010	187,404	177,361	122,521
Expenditures		141,664	150,000	611	28,000	16,000	16,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	41,703	45,555	198,955	358,359	519,720	626,241
Encumbrances		0	0	0			
Unencumbered Cash Balance	0	41,703	45,555	198,955	358,359	519,720	626,241

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Hawaii Educator Loan Program RF
 Legal Authority: 304A-2276, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, this fund was established for the purpose of providing loans pursuant to section 304A-701, HRS.
 Tool to recruit college students to become educators and ensure that these graduates teach and remain in the Hawai'i public school system.

Source of Revenues:

Repayments of loans made by borrowers; interest earned to be used for future loans.

Current Program Activities/Allowable Expenses:

Loans made to eligible students.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues based on student loan repayments. Should students meet the loan requirements they are not required to repay the loan.
 In FY17 no student loans were issued; increase in student loan payments.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	9,402	6,014	9,267	19,973	23,239	3,239	(0)
Revenues	1,212	6,902	10,706	3,161	0		
Expenditures	4,600	3,650	0	(105)	20,000	3,239	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,014	9,267	19,973	23,239	3,239	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	6,014	9,267	19,973	23,239	3,239	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: UH Tuition and Fees SF (Manoa Regular Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No. S-306-F; S-185-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Revenues are derived from the collection of regular credit tuition and tuition-related course and fee charges.

Current Program Activities/Allowable Expenses:

Funds deposited into the fund shall be expended to maintain and improve the University's programs and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 Expenditure variance is due to campuswide initiative to reduce spending and achieve campus reserve requirements.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	182,989,733	182,989,733	182,989,733	182,989,733	182,989,733	182,989,733	182,989,733
Beginning Cash Balance	(1,538,439)	2,232,069	(29,939,094)	(33,258,308)	(34,296,715)	(34,735,408)	(36,421,016)
Revenues	181,270,092	184,424,739	188,662,920	177,459,758	184,632,757	185,000,000	185,000,000
Expenditures	137,903,695	134,450,823	135,752,083	129,151,085	134,390,578	135,000,000	135,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Act 236 In					59,154,849	59,593,543	61,029,152
Assessments					(50,242,178)	(50,250,000)	(50,250,000)
Act 236 Out					(59,593,543)	(61,029,152)	(60,952,370)
Net Total Transfers	(39,595,925)	(82,038,276)	(56,230,050)	(49,347,080)	(50,680,872)	(51,685,609)	(50,173,218)
Ending Cash Balance	2,232,032	(29,832,292)	(33,258,308)	(34,296,715)	(34,735,408)	(36,421,016)	(36,594,234)
Encumbrances	10,630,301	9,336,485	8,828,504	9,575,407	9,500,000	9,500,000	9,500,000
Unencumbered Cash Balance	(8,398,269)	(39,168,777)	(42,086,813)	(43,872,122)	(44,235,408)	(45,921,016)	(46,094,234)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of (\$1,902,763). Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/29/18) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: UH Tuition and Fees SF (Manoa Summer Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F; S-185-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Revenues are derived from tuition and fees assessed to students enrolling in summer credit based courses offered through Outreach College.

Current Program Activities/Allowable Expenses:

Program develops and offers University credit courses, in cooperation with other University of Hawaii academic departments and outside sponsors, to students from Hawaii and around the world during the summer terms.

Allowable expenses include personnel cost, goods and services associated with the offering of credit based courses through Outreach College.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 Revenue variance -Variance due to adjustment in account attributes between Summer and CCECS-Credit. FY15 shows 7% increase in revenue, matching increase in tuition fees.

FY15 Expenditure variance - Total expenditures is the sum total of various departments. Some departments had significant increases in expenditure, e.g. Library Services, Natural Sciences. While other departments saw significant decreases e.g. SPAS and VCAA. Increase in Library Services expenditures attributed to Student Success initiatives and improvements.

FY16 Expenditure Variance - Total expenditures is the sum total of various departments. Several departments had significant decreases in expenditures likely due to enforced expenditure restrictions and 5% reserve requirement.

FY18 Expenditure Variance - Total expenditures is the sum total of various department. Several departments had significant increases in expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,320,007	14,320,007	14,320,007	14,320,007.00	14,320,007	14,320,007	14,320,007
Beginning Cash Balance	19,240,116	16,504,766	15,504,918	17,522,662.79	18,178,217	18,933,498	18,933,838
Revenues	15,054,248	16,066,322	16,595,782	16,634,866.36	17,241,624	17,241,000	17,241,000
Expenditures	15,471,932	12,989,625	13,094,816	14,490,640.66	15,086,343	15,840,660	16,632,693
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(2,317,665)	(4,183,348)	(1,483,221)	(1,488,671.64)	(1,400,000)	(1,400,000)	(1,400,000)
Ending Cash Balance	16,504,766	15,398,115	17,522,663	18,178,216.85	18,933,498	18,933,838	18,142,145
Encumbrances	601,988	124,358	534,327	617,277.63	617,278	0	0
Unencumbered Cash Balance	15,902,778	15,273,757	16,988,336	17,560,939.22	18,316,220	18,933,838	18,142,145

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of (\$1,902,763). Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/29/18) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: UH Tuition and Fees SF (Outreach CCECS-Credit)
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F; S-185-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Revenues are derived from tuition and fees assessed to students enrolling in credit based courses offered through Outreach College, including Extension terms, Evening and Weekend and Off-island credit course offerings.

Current Program Activities/Allowable Expenses:

Program develops and offers University credit courses, in cooperation with other University of Hawaii academic departments and outside sponsors, to non-traditional students on Oahu and the neighbor islands (Outreach) during evening/weekend terms. Activities include developing and implementing distance education programs.

Allowable expenses include personnel cost, goods and services associated with the offering of credit based courses through Outreach College.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 Revenue Variance - Variance due to adjustment in account attributes between Summer and CCECS-Credit. Overall increase less than tuition increase due to lower enrollment for AY1415.

FY15 Expenditure Variance - Total expenditures is the sum total of various departments and variance from FY14 to FY15 is not attributed to a single factor. Some departments had significant increases in expenditure, e.g. Natural Sciences and Arts & Humanities while other departments had significant decreases e.g. Social Science. Overall decrease in expenditure likely due to FY15 budget restrictions.

FY16 Expenditure Variance - Total expenditures is the sum total of various departments. Several departments had significant decreases in expenditures likely due to enforced expenditure restrictions and 5% reserve requirement.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,320,007	14,320,007	14,320,007	14,320,007.00	14,320,007	14,320,007	14,320,007
Beginning Cash Balance	21,556,095	21,993,326	26,894,120	26,043,575.66	24,853,235	26,128,887	26,548,485
Revenues	19,633,734	21,435,946	21,468,660	20,373,897.42	22,677,000	22,677,000	22,677,000
Expenditures	19,053,818	16,300,140	16,030,949	16,735,950.40	16,854,000	17,528,160	18,229,286
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(142,685)	(235,012)	(6,288,256)	(4,828,288.11)	(4,547,348)	(4,729,242)	(4,918,412)
Ending Cash Balance	21,993,326	26,894,120	26,043,576	24,853,234.57	26,128,887	26,548,485	26,077,787
Encumbrances	102,454	129,477	177,822	150,686.47	150,686	0	0
Unencumbered Cash Balance	21,890,872	26,764,643	25,865,753	24,702,548.10	25,978,201	26,548,485	26,077,787

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of (\$1,902,763). Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/29/18) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Tuition & Fee SF (Outreach College
 Name of Fund: Summer Session (Acad Support))
 Legal Authority 304A-2153, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Academic support does not generate revenues. When revenues appear under this fund it is usually because we post reimbursement of current year expenses (a revenue subcode) instead of posting a reversal of expense (i.e., REX).

Current Program Activities/Allowable Expenses:

Providing administrative support for programs and activities of the Outreach College. Administrative services currently provide all fiscal and personnel services, student services, marketing and computer services. Allowable expenses include personnel costs, goods and services associated with providing support to Outreach.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 Variance due to creation of new BPID 1403 for Outreach College Academic Support under legal authority 304A-2153. No prior year to compare. 4% increase from FY14 total expenditures for Academic Support under legal authority 304A-2156. No significant variance.

FY16 Revenue Variance : Revenue collected in FY15 was for one time reimbursement for prior year expenditure. Revenue is not normally collected in the academic support accounts.

FY17 Expenditure Variance : Mainly due to increase in payroll and cost of lease for off campus office space.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,801,615	2,801,615	2,801,615	2,801,615	2,801,615	2,801,615	2,801,615
Beginning Cash Balance	1,413	(2,365,754)	(2,522,454)	(152,063)	(59,013)	(59,013)	0
Revenues	1,859	0	0	0	0		
Expenditures	2,366,526	2,506,765	2,936,917	3,252,114	4,253,220	4,593,000	4,960,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(2,500)	2,350,066	5,307,307	3,345,164	4,253,220	4,652,013	4,960,000
Ending Cash Balance	(2,365,754)	(2,522,454)	(152,063)	(59,013)	(59,013)	0	0
Encumbrances	180,500	14,531	142,516	235,116			
Unencumbered Cash Balance	(2,546,254)	(2,536,985)	(294,579)	(294,129)	(59,013)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of (\$1,902,763). Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/29/18) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Library Special Fund
 Legal Authority: 304A-2155,HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

To provide photocopying services of library books and journals; access to printing from library databases; interlibrary loans; document delivery services; preservation services and other library services to library users, faculty, researchers, and other educational institutions. To replace and repair lost, stolen, and damaged library materials.

Source of Revenues:

Revenues from library fines and service fees; document delivery fees; preservation fees; coin operated copy machines; printers; library system cost recovery service fees.

Current Program Activities/Allowable Expenses:

Provides photocopying; document delivery, preservation services, and other library services to library users, faculty, researchers, systemwide libraries, and other educational institutions on a cost recovery basis.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenue: More fees and fines were collected, running counter to previous year of decline. Also there was more usage of interlibrary loan services that were subject to a fee.

Expenditures: In anticipation of the change in the library services platform, expenses were shifted to operating funds and away from these support funds as it was not guaranteed that the next's years operating could cover the cost, nor that permission would be granted to use carryover.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534
Beginning Cash Balance	647,277	361,971	413,370	320,743	619,668	157,168	155,532
Revenues	503,745	659,265	403,784	443,998	217,700	321,916	338,000
Expenditures	789,051	542,300	463,662	130,193	680,200	323,552	339,706
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(0)	(65,566)	(32,713)	(14,879)	0	0	0
Ending Cash Balance	361,971	413,370	320,779	619,668	157,168	155,532	153,826
Encumbrances	86,927	73,752	65,705	66,422			
Unencumbered Cash Balance	275,043	339,618	255,074	553,246	157,168	155,532	153,826

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Law School Library Fees and Fines
 Legal Authority: 304A-2155, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

To defray costs associated with Law Library functions related to the cost of books, microform, reader/printer services and copying costs.

Source of Revenues:

Fees and fines collected from the delinquent return of library reference materials

Current Program Activities/Allowable Expenses:

Expenses related to the collection of library fees and fines.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Incurred projected expenditures, but miscommunication of transferred expenditures. Expenditures will incur in FY19

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Beginning Cash Balance		0	0	36	13,701	1,701	1,201
Revenues			36	570	1,000	1,000	1,000
Expenditures			0	0	13,000	1,500	1,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	13,095	0	0	0
Ending Cash Balance	0	0	36	13,701	1,701	1,201	701
Encumbrances			0	0			
Unencumbered Cash Balance	0	0	36	13,701	1,701	1,201	701

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (Theatre Group)
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

The Theatre Group fund includes the special fund activities for both the Dept. of Theatre and the Dept. of Music. Box office receipts are used to support production expenses, student assistant support, and fund a reserve for future production start-up costs.

Source of Revenues:

Revenues come from box office receipts from the sale of theatre tickets, performances, music performances, and festivals.

Current Program Activities/Allowable Expenses:

Kennedy Theatre Mainstage, Prime Time, Kennedy Theatre Special Events, Late Night Theatre Performances, Music Concerts, Recitals and Festivals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Theatre & Dance (Kennedy Theatre):

Theatre production expenses vary widely depending on a large number of factors including design, materials available for recycling, special equipment needed, and the demands of the play, dance production, or musical. For 2014-15, the difference was mainly due to our first Hawaiian Theatre production, Lā'ieikawai. The box office receipts were much higher than anticipated, and our expenses for that production were largely covered by our Asian Theatre fund as well as external grants, so we did not need to use our production fund. In 2015-16, we were back to a more "normal" year in terms of overall expenditures and income. In FY 2017, we had to look at doing some things outside of our norm throughout the season due to the impending renovation of Kennedy Theater. This required every production to be planned for and executed in an alternate location or setting, which impacted both our available seats to be sold to audiences and impacted our audience's ability to come to our productions, which ultimately affected our income. In FY 2018, we were completely back in our spaces and our revenue increased as a result as well. We also had the jingju, which has always been more expensive, but also brings in more income. Our dance concert was also more expensive as it was Taiko Drum and Dance, but again the income was higher as well.

Music Dept Activity:

The amount of revenue will depend on the number of performances scheduled and how many tickets are sold for that event. This will vary every year.

Expenses for our performances are for student help, printing of tickets, posters, program and for some performers, 70% of their tickets sales will be paid to them. Most of our venue rentals come from high school auditoriums, but some years, we might have performances at the Blaisdell, which will be a big rental expense.

Band Activity:

The amount of revenue will depend on the amount of bands participating in the Rainbow Invitational marching Band Festival and also how many tickets each band sells. It will also depend on the amount of judges we bring in as well as where they are from because of the airfare cost and the amount of days they will be here in Oahu.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	260,000	260,000	260,000	260,000	260,000	260,000	260,000
Beginning Cash Balance	191,726	252,090	238,434	212,352	159,826	155,634	155,634
Revenues	189,326	141,138	119,825	134,166	107,308	105,000	105,000
Expenditures	128,963	154,794	145,907	186,692	111,500	105,000	105,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	252,090	238,434	212,352	159,826	155,634	155,634	155,634
Encumbrances	5,035	0	14,150	16,764			
Unencumbered Cash Balance	247,055	238,434	198,202	143,062	155,634	155,634	155,634

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Community Services SF (Agricultural
 Name of Fund: Diagnostic Service Center)
 Legal Authority 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To cover the cost of materials, supplies, student helpers, and other operating expenses necessary to provide agricultural diagnostic services to the public.

Source of Revenues:

Revenues are generated by the collection of fees charged for the analysis of soil, water, plant tissue, disease, insects and pests, and feed and forage for State, Federal, and County agencies, agribusinesses, farmers and homeowners.

Current Program Activities/Allowable Expenses:

Activities include sample analysis of soil, water, plant tissue, diseases, insects and pests, and feed and forage. Allowable expenses include materials, supplies, technicians, student assistants and other operational expenses that are necessary to provide the various analyses requested.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Variations in revenue and expenditures due to fluctuations in amount of services provided to customers and the types of analysis done by the facility.

Although the number of analysis increased (increased revenue), the types of analysis did not require much technical man-hours (lower expenditures).

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	358,242	358,242	358,242	358,242	358,242	358,242	358,242
Beginning Cash Balance	33,792	20,794	22,484	57,811	(8,126)	1,874	1,874
Revenues	170,620	146,252	210,739	118,730	130,000	120,000	120,000
Expenditures	183,618	144,562	175,413	184,667	120,000	120,000	120,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	20,794	22,484	57,811	(8,126)	1,874	1,874	1,874
Encumbrances	2,801	396	0	1,395	0	0	0
Unencumbered Cash Balance	17,993	22,088	57,811	(9,521)	1,874	1,874	1,874

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (LLL HELP)
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

The Hawai'i English Language Program provides intensive English language training for international students and scholars preparing to begin undergraduate or studies, or for use in a scholarly professional setting.

Source of Revenues:

Fees collected from students.

Current Program Activities/Allowable Expenses:

HELP offers English courses in four eight-week sessions and two six-week summer sessions. HELP also offers weekly workshops and study sessions, customized English language training and offers TOEFL exams each quarter. Also authorized to issue I-20 AB forms for applicants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

There are fluctuations in the demand for HELP programs year to year and although the program Director actively recruits for the program annually, enrollments vary over the years based on the demand, market, student finances, competition, and the political climate. The revenue for HELP has increased over the years, with a significant increase in FY 2016, due to a new agreement with a prestigious Women's University in Japan. There was a decrease in revenue in FY 2017, when compared to the successful year FY 2016, due to decreased enrollment. This can be attributed to having a more challenging time encouraging students to attend the program in the US due to the cost of the program, political climate, and the current views of the US on international students. The changes in expenditures are reflective of the respective increase/decrease in revenues.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,269,600	1,269,600	1,269,600	1,269,600	1,269,600	1,269,600	1,269,600
Beginning Cash Balance	509,460	869,368	1,303,502	1,500,038	1,707,502	1,843,467	1,910,753
Revenues	1,172,185	1,422,372	1,075,300	1,023,887	983,887	1,000,000	1,100,000
Expenditures	812,276	988,238	878,764	816,422	847,922	932,714	1,025,985
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	869,368	1,303,502	1,500,038	1,707,502	1,843,467	1,910,753	1,984,768
Encumbrances	530	11,802	7,527	9,635			
Unencumbered Cash Balance	868,839	1,291,700	1,492,511	1,697,867	1,843,467	1,910,753	1,984,768

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (LLL Special Account)
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To enable the College to provide telecommunications support to UH systems and agencies of the State of Hawai'i using our technical resources and language expertise.

Source of Revenues:

Usage of facilities and equipment.

Current Program Activities/Allowable Expenses:

Satellite uplinks and downlinks, high quality recording of radio and television programs, language tapes and facilities rental.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The need for use of rooms and computer labs and corresponding revenues vary year to year depending upon whether conferences/workshops/classes are held on campus which require certain IT needs. Expenditures decreased significantly because earlier years saw a big increase due to renovation projects done to upgrade the facilities. The improvements include new furniture, painting and soundproofing rooms, and computer upgrades/replacement. Normally the expenditures are relatively low since the annual upkeep of the facilities is minimal. However, every five years or so, large expenditures occur in order to keep the facilities current and up-to-date. In FY 2018, there were upgrades made to the CLT facilities, resulting in the variance greater than 10%.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000	50,000	50,000	50,000	50,000	50,000	50,000
Beginning Cash Balance	24,400	33,889	44,965	51,268	55,678	56,571	58,071
Revenues	10,888	11,218	6,958	7,543	5,893	7,500	7,500
Expenditures	1,399	141	656	3,133	5,000	6,000	6,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	33,889	44,965	51,268	55,678	56,571	58,071	59,571
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	33,889	44,965	51,268	55,678	56,571	58,071	59,571

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Community Services SF (Outreach College
 Name of Fund: Summer Session - Acad Support)
 Legal Authority 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.
 To account for expenses generated by administrative support services for Outreach College.

Source of Revenues:

Academic support does not generate revenues. When revenues appear under this fund it is usually because we post reimbursement of current year expenses (a revenue subcode) instead of posting a reversal of expense (i.e., REX).

Current Program Activities/Allowable Expenses:

Providing administrative support for programs and activities of the Outreach College. Administrative services currently provide all fiscal and personnel services, student services, marketing and computer services. Allowable expenses include personnel costs, goods and services associated with providing support to Outreach.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 Revenue Variance: Variance due to decrease in revenue earned, specifically under BPID 1358, and increase in negative interest earned.
 FY18 Expenditure Variance : Reduced expenditures likely due to departments' efforts to comply with budget restrictions and reserve requirements. With FY17 Revenue Variance : Increase mainly due to Reimbursement (0825) to Marketing account 2238822. Applicable to both Fiscal Years.
 FY17 Expenditure Variance : Variance due to overall increase to expenditures.
 FY15 Expenditure variance due to transfer of accounts from legal authority 304A-2156 to legal authority 304A-2153 effective FY15. Expenditures recorded under new account effective FY15. 4% increase in expenditures for Academic Support, no significant variance.
 FY16 Variance: Expenditure total includes BPID other than Outreach College 1230. Effective FY15 accounts were moved from legal authority 304A-2156 and new accounts were established 304A-2153. No new Outreach College expenditures or revenues are expected for FY17.
 Majority of revenue was collected under BPID 001358-SOC SCI OUTREACH ADMIN - NON-CREDIT.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,451,615	2,451,615	2,451,615	2,451,615	2,451,615	2,451,615	2,451,615
Beginning Cash Balance	(6,071,894)	(5,889,016)	(5,874,814)	(4,869,968)	(3,871,792)	(3,013,319)	(2,155,319)
Revenues	22,504	6,686	6,099	(18,239)	46,910	46,000	46,000
Expenditures	70,139	98,985	104,403	81,700	188,437	188,000	188,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	230,512	106,502	1,103,149	1,098,114	1,000,000	1,000,000	1,000,000
Ending Cash Balance	(5,889,016)	(5,874,814)	(4,869,968)	(3,871,792)	(3,013,319)	(2,155,319)	(1,297,319)
Encumbrances	1,486	1,550	6,513	6,013	0	0	0
Unencumbered Cash Balance	(5,890,502)	(5,876,364)	(4,876,481)	(3,877,805)	(3,013,319)	(2,155,319)	(1,297,319)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (Outreach College Summer Session and CCECS - Non-Credit)
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To account for revenues and expenses generated from noncredit programs, public events and the International Programs.

Source of Revenues:

Revenues are earned through course fees charged for Non-Credit Programs, International Programs, and through ticket sales for Community Program artistic performances.

Current Program Activities/Allowable Expenses:

Programs develop and offer University noncredit courses and programs, sometimes in cooperation with outside sponsors. Community Programs includes performances and public events held for the benefit of the community beyond the University. Noncredit programs develops noncredit courses in subject areas that range from professional development to personal enrichment. International programs assist international students in developing their English language skills and assists those students who wish to apply to the University by ensuring that the student meets the University's academic requirements prior to entrance. Allowable expenses include personnel costs, good and services associated with the offering of courses and artistic performances.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditure Variance : Variance due to overall increase to expenditures.

FY 2016 Revenue Variance : Variance due to overall decrease in Professional Program and International Program enrollment.

FY 2016 Revenue Variance : Variance due to overall decrease in Professional Program and International Program enrollment.

FY 2017 Expenditure Variance : Variance due to reduction in expenses. Lower enrollment in programs resulted in decrease in course offerings which led to a decrease in supply and personnel expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161
Beginning Cash Balance	(577,594)	(742,224)	(1,227,370)	(1,675,877)	(1,750,993)	(1,882,948)	(2,074,948)
Revenues	2,906,457	2,500,698	2,189,673	2,040,694	2,452,800	2,452,000	2,452,000
Expenditures	2,827,026	2,879,813	2,553,544	2,498,117	2,964,755	3,024,000	3,145,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(244,061)	(106,031)	(84,636)	382,307	380,000	380,000	380,000
Ending Cash Balance	(742,224)	(1,227,370)	(1,675,877)	(1,750,993)	(1,882,948)	(2,074,948)	(2,387,948)
Encumbrances	216,749	189,314	136,676	97,604	0	0	0
Unencumbered Cash Balance	(958,973)	(1,416,684)	(1,812,553)	(1,848,597)	(1,882,948)	(2,074,948)	(2,387,948)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF - Art
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

The Art Auxiliary Enterprise Fund includes the special funds activities for all areas in the Dept. of Art & Art History. Proceeds are used to support the Art Gallery operations and other department activities.

Source of Revenues:

Proceeds are collected from the sale of art, art gallery catalogues, and other related activities. Student art supplies fees collected are now part of the regular tuition schedule, so revenue projections are considerably lower.

Current Program Activities/Allowable Expenses:

Art Gallery Exhibitions, Art Department Sales.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues are lower due to fewer travelling exhibits being offered and fewer catalog sales.

FY16 and FY17 expenditures were lower due to receiving a grant that offset expenditures from this fund.

FY18 expenditures were higher due to the timing of the reimbursement from the grant.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	190,000	190,000	190,000	190,000	190,000	190,000	190,000
Beginning Cash Balance	25,195	12,117	7,743	7,332	5,332	4,432	3,532
Revenues	55	691	1,325	38	100	100	100
Expenditures	13,133	5,065	1,736	2,038	1,000	1,000	1,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	12,117	7,743	7,332	5,332	4,432	3,532	2,632
Encumbrances	1,568	0	0	0			
Unencumbered Cash Balance	10,549	7,743	7,332	5,332	4,432	3,532	2,632

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Auxiliary Services Admin)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Responsible for administering various Auxiliary Services programs and to implement the UH Faculty Housing Assistance Master Plan by providing financial assistance loans and rental housing units to faculty and staff of UH.

Source of Revenues:

Revenue is derived from overhead assessment, rental and parking fees, laundry commissions, and interest earned.

Current Program Activities/Allowable Expenses:

The rental housing program consists of 29 condominiums at the Kaniokahaloa Iki (K-Iki) housing project.

Other expenses include payroll and operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in expenditures from FY15 to FY16 due to a decrease in overhead assessment

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914
Beginning Cash Balance	5,045,906	5,475,714	5,731,801	6,001,392	6,381,698	6,665,960	6,776,895
Revenues	650,973	676,868	686,649	750,329	711,680	751,056	785,408
Expenditures	221,164	423,176	434,669	407,366	427,418	640,121	395,032
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	2,396	17,611	37,343	0	0	0
Ending Cash Balance	5,475,714	5,731,801	6,001,392	6,381,698	6,665,960	6,776,895	7,167,271
Encumbrances	28,179	12,407	6,416	15,998	0	0	0
Unencumbered Cash Balance	5,447,535	5,719,394	5,994,975	6,365,699	6,665,960	6,776,895	7,167,271

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Transportation Services)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Responsible for the purchasing and maintenance of motor vehicles, and various motor vehicle services for official use by University personnel.

Source of Revenues:

Revenue is derived from vehicle rentals and leases, operating gas pumps, vehicle repairs and maintenance.

Current Program Activities/Allowable Expenses:

Payroll, gas, oil and supplies for vehicle repairs and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in expenditures from FY17 to FY18 due to FY18 vehicle replacement order (\$130,701.58) being paid in FY19 instead of FY18

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337
Beginning Cash Balance	1,530,260	1,225,394	990,836	907,944	1,100,807	1,080,573	1,166,014
Revenues	1,145,987	1,100,563	1,203,935	1,311,746	1,324,501	1,324,501	1,324,501
Expenditures	1,452,852	1,335,121	1,294,242	1,120,383	1,344,735	1,239,060	1,264,719
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	2,000	0	7,415	1,500	0	0	0
Ending Cash Balance	1,225,394	990,836	907,944	1,100,807	1,080,573	1,166,014	1,225,796
Encumbrances	585,433	356,973	253,502	247,288	200,000	200,000	200,000
Unencumbered Cash Balance	639,961	633,863	654,442	853,518	880,573	966,014	1,025,796

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Lab School Cafeteria)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To support the operations of the Education Laboratory School Cafeteria. The primary objective of the cafeteria is to provide governmental standard lunches and breakfasts to students at the school, a service which is universal in public schools in Hawai'i and across the nation.

Source of Revenues:

Cafeteria sales, federal reimbursements.

Current Program Activities/Allowable Expenses:

The Education Laboratory School is a special state public school (charter school) providing a test base for research activities of the Curriculum Research and Development Group. Under HRS 303-26, the Education Laboratory School is included in the State's national School Lunch program in the same manner as any other public school.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	13	13	13	13	13	13	13
Revenues	0	0	0	0			
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13	13	13	13	13	13	13
Encumbrances	0	0	0				
Unencumbered Cash Balance	13	13	13	13	13	13	13

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: Lab School has become a charter school outside the University. Fund was closed at the end of FY 2012.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Auxiliary Enterprises SF (Animal and
 Name of Fund: Veterinary Services)
 Legal Authority 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To support the Manoa and Kaka'ako animal facilities.

Source of Revenues:

Revenues are from biomedical and behavioral research contracts and grants. Researchers who house their animals at AVSP pay a per diem for each animal that the staff cares for, and for any technical or veterinary services provided by AVSP.

Current Program Activities/Allowable Expenses:

Any expenses necessary to provide animal housing and husbandry for biomedical research and the system-wide administration and oversight for the care and use of vertebrate animals at UH.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

For FY14-FY15, the average daily cage counts dropped by 916 resulting in decreased revenues.

For FY14-FY15, moved \$188,858 in expenditures to RTRF to make up for projected shortfall.

Effective March 1, 2016, the Office of Research Compliance transferred from UH Manoa OVCR to the UH System OVPRI.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,200,000	2,200,000					
Beginning Cash Balance	(184,467)	(1,399)	72,469	24	0	0	0
Revenues	875,712	836,990	24	0	0	0	0
Expenditures	692,644	763,123	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(72,469)	(24)	0	0	0
Ending Cash Balance	(1,399)	72,469	24	0	0	0	0
Encumbrances	21,604	33,824	0	0	0	0	0
Unencumbered Cash Balance	(23,003)	38,645	24	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Auxiliary Enterprises SF (Dental Hygiene Clinic -
 Name of Fund: Nursing)
 Legal Authority 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Augments department's instructional allocation to operate the Dental Hygiene clinic.

Source of Revenues:

\$25.00 Patient Fee assessed for Dental Hygiene services. Dental Hygiene received \$24.50 and \$0.50 is paid to the Campus Center for handling cash collection of fee and depositing into special fund.

Current Program Activities/Allowable Expenses:

Payment for personnel/lecturer costs to assist with coverage for the Dental Hygiene Clinic

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Difference between FY 2015 and FY 2016 expenditures are due to additional lecturers needed in FY 2015 to assist with coverage for the Dental Hygiene Clinic as program prepares for accreditation in early FY 2016.

Difference between FY 2016 and FY 2017 expenditures are due to increase in actual fringe benefits from 41% in FY 2016 to 51% in FY 2017 resulting in approximately \$2,900 difference

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	50,782	3,063	12,968	16,060	20,879	21,379	21,879
Revenues	42,464	46,040	43,120	43,867	40,000	40,000	40,000
Expenditures	90,184	36,135	40,028	39,048	39,500	39,500	39,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,063	12,968	16,060	20,879	21,379	21,879	22,379
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3,063	12,968	16,060	20,879	21,379	21,879	22,379

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Auxiliary Enterprises SF (Center for Student
 Name of Fund: Development - OSA)
 Legal Authority 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Provide means to recover costs for providing educational and vocational counseling and testing services to students and other members of the community.

Source of Revenues:

Various testing fees.

Current Program Activities/Allowable Expenses:

Administers various tests such as interest tests and national standardized tests.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY2015, more tests were administered than anticipated generating more revenue. Testing companies provided revenues to UH for proctoring services.

FY2015, with more tests administered, required to purchase more testing materials. UH now responsible for paying individuals for proctoring testing hours.

FY2016, revenues decreased because less tests were administered due to a decline in computer based testing and class testing (career component of Business 315 is no longer mandatory). Expenditures increased due to MBTI training and replacement of 6 computers in the lab.

For 2017, expenditures decreased due to purchases in the prior year for 6 computers and MBTI training for 6 faculty/staff.

FY2018, revenues decreased due to less testing administered with no permanent Testing Coordinator. Increase in expenditures was due to more student hours needed to cover the Testing Center during the work day. Additionally, promotional items and telephone bills were charged this year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	130,000	130,000	130,000	130,000	130,000	130,000	130,000
Beginning Cash Balance	173,659	199,882	195,372	211,142	198,826	165,826	127,826
Revenues	80,400	61,069	57,558	44,430	27,000	30,000	30,000
Expenditures	54,177	65,578	41,789	56,746	60,000	68,000	60,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	199,882	195,372	211,142	198,826	165,826	127,826	97,826
Encumbrances	1,184	3	0	0	0	0	0
Unencumbered Cash Balance	198,698	195,369	211,142	198,826	165,826	127,826	97,826

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Manoa Career Center)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Provide the service of creating and maintaining credential files for classified students and alumni of UHM.

This service is used in support of student/alumni efforts to secure employment, gain admission to graduate or professional schools.

Source of Revenues:

User fees

Current Program Activities/Allowable Expenses:

Credential files are used in support of efforts to secure teaching and other academically-related employment, applying for admission to graduate or post baccalaureate professional schools and applications for fellowships, grants and other awards. A credential file is a collection of original letters/documents of appraisal solicited by the student or alumnus from professors and others who can provide a professional evaluation or recommendation of the student's performance potential.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance of \$153 in revenue was due to fewer students requesting for credential file.

Expenditure variance due to non-recurring expenditure of supporting travel for two faculty to attend a College & Employers conference.

FY 2017 revenues were lower than FY 2016 revenues due to less students requesting for credential file. Expenditures were higher than the prior year due to purchase of a digital time stamp.

Expenditure variance between FY 2017 and FY 2018 is due to no expenses being incurred during FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	34,210	31,296	32,071	32,612	33,305	33,755	33,855
Revenues	741	878	716	693	600	600	600
Expenditures	3,656	102	175	0	150	500	150
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	31,296	32,071	32,612	33,305	33,755	33,855	34,305
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	31,296	32,071	32,612	33,305	33,755	33,855	34,305

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Psy Clinic Fees)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Deposit all revenues to support clinic-related and administrative activities.

Source of Revenues:

Clinic Fees

Current Program Activities/Allowable Expenses:

Funds deposited into the fund shall be expended to maintain and support clinic activities. Includes office equipment, supplies, testing equipment, treatment manuals, stipends/honorarium for grad students working in the clinic, clinic-related research activities, other admin costs, student travel and related expenses to conferences or workshops.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 15 revenues declined due to a reduced number of clients served from previous year. FY 15 expenditures decreased due to college-wide implementation of reduced expenditures.

FY 16 revenues declined significantly due to lower student training needs for the type of assessment and treatment services provided and charged to clients. We anticipate significant year-to-year variance given change in student training needs.

FY 16 expenditures declined due to lower demand for student research-related support due to student success at securing research related funds from other sources. We anticipate significant year-to-year variance given change in student research support needs and varying availability of other funding sources.

FY 17 revenues increase due to student request for learning opportunities that were best met by clients using the sliding fee system. Such learning opportunities included ongoing therapy and specialized psychological assessments.

FY 17 expenditures increased slightly due to higher amount of research/learning enhancement support awarded to students.

FY 18 revenues decreased due to lower demand from students to be seeing additional clients.

FY 18 expenditures decreased slightly due to availability of other funds to support student travel and research, the major expenditures from this fund.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	4,049	3,672	2,692	7,722	10,011	10,821	11,631
Revenues	2,320	290	6,495	3,480	2,000	2,000	2,000
Expenditures	2,696	1,270	1,465	1,191	1,190	1,190	1,190
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,672	2,692	7,722	10,011	10,821	11,631	12,441
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3,672	2,692	7,722	10,011	10,821	11,631	12,441

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (UHM VCAA)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To cover costs associated with providing immigration and federal compliance services for entrepreneurial and non-credit training programs.

Source of Revenues:

Administrative fees assessed for immigration services for entrepreneurial and non-credit training programs.

Current Program Activities/Allowable Expenses:

Direct expenses incurred in providing immigration and federal compliance services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 expenditure- \$2578 visa related travel expenses incurred in June were paid in July due to fiscal year end deadlines.

FY17 revenue --Increase in number of program participants requiring immigration services resulted in increase revenue.

FY17 expenditure- NAFSA conference travel for two staff were paid through operating funds from OVCAA.

FY18 revenue --Increase in number of program participants requiring immigration services resulted in increase revenue.

FY18 expenditure- Tuition operating budgets from OVCAA were decreased by 3% therefore operating expenses were placed on visa fee account.

4 computers and 1 printer were upgraded as well as additional NAFSA travel expenses for new immigration specialist.

FY19 - FY21 projected expenditures -- expenditures are expected to be greater than previous years due to decrease in operating funds from OVCAA

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	32,722	39,213	46,012	64,780	56,105	54,105	52,105
Revenues	13,769	13,500	22,260	26,066	26,000	26,000	26,000
Expenditures	7,277	6,701	3,492	34,741	28,000	28,000	28,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	39,213	46,012	64,780	56,105	54,105	52,105	50,105
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	39,213	46,012	64,780	56,105	54,105	52,105	50,105

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (UHM Athletics
 Name of Fund: Tuition Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii. To cover the cost of Mānoa Athletics Department scholarship expenses for student-athletes. Funds were initially allocated through the Star program, but were unable to be processed through the Star program. The Star program allocation for Manoa Athletics was decreased by \$1,104,874 and the same amount was transferred to Manoa Athletics. This was a one time transfer.

Source of Revenues:

Transfer from Mānoa Chancellor's Office through a decrease in the Star program.

Current Program Activities/Allowable Expenses:

Scholarship expenses for Mānoa Athletics student-athletes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The expenditures and transfers in 2014 were a one time expense/transfer due to the change in accounting for non-resident student-athletes. There should not be any more expenses or transfers in this account from 2015 on.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1	1	1	1	1	1	1
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	1	1	1	1	1	1
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1	1	1	1	1	1	1

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (CBA Executive
 Name of Fund: MBA Scholarship)
 Legal Authority: 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.
 To provide financial assistance to qualified students enrolled at the UHM Shidler College of Business Full-time MBA Program.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of merit-based scholarship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance in FY2015 is due the initial creation of this scholarship account and only partial awards given.

The variance in FY2016 continues to be attributed to only awarding scholarships to a limited amount of students. The remainder is being awarded by other UH and UHF sources.

The variance in FY2018 is due to lower enrollment in the MBA program, which resulted in less scholarships awarded.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	200,000	250,000	0	0	0	0	0
Revenues	0	0	0	0			
Expenditures	273,326	343,773	372,725	219,125	0	150,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
MA-2244372						150,000	150,000
Net Total Transfers	323,326	93,773	372,725	219,125	0	150,000	150,000
Ending Cash Balance	250,000	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	250,000	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Scholarship & Assistance SF (Law Scholarships)
 Legal Authority: 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover the expenditures.

Current Program Activities/Allowable Expenses:

Grants and Scholarships

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY18 transfer error. There will not be any variance as cash will be transferred to cover the account expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	(478,500)	0	0
Revenues			0	0			
Expenditures			476,500	478,500	707,000	707,000	707,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	476,500	0	1,185,500	707,000	707,000
Ending Cash Balance	0	0	0	(478,500)	0	0	0
Encumbrances			0	0			
Unencumbered Cash Balance	0	0	0	(478,500)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (Manoa Tuition
 Name of Fund: Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Grants and scholarships.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	684,428	2,585,401	6,500	(226,160)	(1,603,065)	(1,603,065)	0
Revenues	0	0	0	0	0		
Expenditures	31,850,312	34,072,390	34,378,639	35,346,793	32,361,854	33,396,935	35,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	33,751,285	31,493,489	34,145,979	33,969,889	32,361,854	35,000,000	35,000,000
Ending Cash Balance	2,585,401	6,500	(226,160)	(1,603,065)	(1,603,065)	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	2,585,401	6,500	(226,160)	(1,603,065)	(1,603,065)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (Outreach
 Name of Fund: Tuition Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii. To account for scholarship expenditures awarded to students during the Mānoa Summer terms and the Outreach College Extension terms.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of need-based and merit-based scholarship. Allowable expenses include scholarships and financial aid.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

FY15 Expenditure - increase in scholarship allocation

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(517,910)	(475,866)	(24,652)	(66,428)	78,065	78,065	78,065
Revenues	0	0	0	0	0	0	0
Expenditures	863,982	1,293,687	1,441,776	1,326,508	1,400,000	1,400,000	1,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	906,026	1,744,901	1,400,000	1,471,000	1,400,000	1,400,000	1,400,000
Ending Cash Balance	(475,866)	(24,652)	(66,428)	78,065	78,065	78,065	78,065
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(475,866)	(24,652)	(66,428)	78,065	78,065	78,065	78,065

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (VCAA ISS
 Name of Fund: Scholarship Overaward)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

Not applicable. Fund acts more as a clearing account for scholarships in excess of just tuition (i.e., cost of attendance). Transfers from other accounts will clear out the expenditures that are posted to this fund to cover these scholarship expenses.

Current Program Activities/Allowable Expenses:

Cost of attendance tuition scholarship expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY16 Student overawards were not claimed in a timely manner before the end of the FY, resulting in a positive balance.

FY17 Student overawards were not claimed in a timely manner before the end of the FY, resulting in a positive balance.

FY18 Student overawards were not claimed in a timely manner before the end of the FY, resulting in a positive balance.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	(853)	6,672	8,939	2,799	0	0
Revenues	0	0		0	0	0	0
Expenditures	853	(7,525)	(2,267)	6,140	2,799	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(853)	6,672	8,939	2,799	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(853)	6,672	8,939	2,799	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Center for Nursing SF
 Legal Authority: 304A-2163, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, any legislative appropriations, federal or private grants, or any other funds collected for the purposes of the Center for Nursing are deposited in this fund and shall be expended to support the Center's activities.

Source of Revenues:

\$40.00 special Nursing License fee collected from new and renewal (every two years) of LPN/RN nursing fee.

Current Program Activities/Allowable Expenses:

All operational expenses allowable under Hawaii Revised Statutes. Budget for the Center is maintained on a biennial contract due to the legislatively mandated nurse license fee occurring biennially. Carryover on even years when the license renewal fees are received are used to sustain personnel and efforts during odd years.

Purpose of Proposed Ceiling Adjustment (if applicable):

FY 2018-2021 Request for increase in appropriation ceiling due to filling of Executive Director position, UHPA collective bargaining increases, expanded program activities.

Variances:

FY 2015-2020 only actual and estimated interest revenue report as revenue; interest revenues fluctuate based on interest rate and cash during applicable fiscal years

FY 2015-2021 - \$40 special nursing fee reported in "Transfer" line from DCCA;

Revenue/transfers from DCAA vary every other year due to the renewal cycle for the licenses with higher volume in even FYs (FY 16 \$1,024,840, FY 18 \$1,053,400 & FY 20 proj \$1,080,000) and lower volume in odd FYs (FY17 \$124,280, FY19 proj \$130,000 & FY21 proj \$130,000).

Difference between FY 2015 and FY 2016 expenditures are due to having an Executive Director (started late April 2015) for entire FY 2016.

Difference between FY 2016 and FY 2017 expenditures are due to CB increases and additional personnel hired in FY 2017

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	463,306	463,306	463,306	463,306	463,306	463,306	463,306
Beginning Cash Balance	867,363	673,281	1,285,966	877,960	1,379,542	700,531	1,062,303
Revenues	1,298	2,609	2,983	10,181	2,000	2,000	2,000
Expenditures	328,820	414,764	535,269	562,000	811,011	720,228	747,163
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	133,440	1,024,840	124,280	1,053,400	130,000	1,080,000	130,000
Ending Cash Balance	673,281	1,285,966	877,960	1,379,542	700,531	1,062,303	447,140
Encumbrances	21,989	20,435	5,484	36,378	43,348	44,658	45,987
Unencumbered Cash Balance	651,292	1,265,531	872,477	1,343,164	657,183	1,017,645	401,153

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Bookstore)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

The primary purpose of the Bookstore system is to provide the required textbooks utilized in courses offered and educational and instructional materials for faculty and staff in their academic fields of study. In addition, the Bookstore system provides general office supplies, sundries, computer hardware, software, peripherals, printing & copying services and other merchandise for the convenience of the campus community.

Source of Revenues:

Revenues are generated through the sales of goods and services.

Current Program Activities/Allowable Expenses:

The Bookstore system provides required textbooks and course materials, general reference books, magazines, enrichment materials, technical reference, supplementary materials, and recreational and leisure reading materials. In support of the expanding use of technology in academics, the Bookstore system offers a wide array of computer hardware, software and peripherals along with the repair and servicing of computer hardware. The Bookstore system also provides school and office supplies, sundries, souvenirs, emblematic merchandise and other merchandise to meet the needs of the various campuses. Emblematic and souvenir items were also available via the Internet on the Bookstores' websites.

All expenditures are for the Bookstore system's cost of goods sold and operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173
Beginning Cash Balance	4,988,041	5,895,483	5,234,130	5,582,097	4,528,911	4,970,133	5,387,372
Revenues	24,469,181	23,053,477	22,487,545	20,514,536	19,877,457	19,281,133	18,702,699
Expenditures	23,207,730	23,380,495	21,785,716	21,265,589	19,082,359	18,509,888	17,954,591
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2262752					(80,000)	(80,000)	(80,000)
2262862					(273,876)	(274,006)	(241,053)
Net Total Transfers	(354,009)	(334,336)	(353,861)	(302,133)	(353,876)	(354,006)	(321,053)
Ending Cash Balance	5,895,483	5,234,130	5,582,097	4,528,911	4,970,133	5,387,372	5,814,426
Encumbrances	2,283,920	1,794,608	1,776,768	1,799,434	1,745,451	1,693,087	1,642,294
Unencumbered Cash Balance	3,611,563	3,439,522	3,805,329	2,729,477	3,224,682	3,694,285	4,172,132

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Faculty Housing)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide rental housing units to faculty and staff at UH Mānoa.

Source of Revenues:

Revenue is derived from rental and parking fees, laundry commissions, and interest earned.

Current Program Activities/Allowable Expenses:

The rental housing program consists of 208 rental apartment units at the Waahila and Kauiokahaloa Nui (K-Nui) housing projects with a wait list of faculty and staff.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,361,491	1,361,491	1,361,491	1,361,491	1,361,491	1,361,491	1,361,491
Beginning Cash Balance	4,148,428	4,476,822	4,771,393	5,267,736	5,802,446	5,129,831	3,539,220
Revenues	2,831,474	2,905,824	3,004,582	3,081,807	3,053,258	3,221,215	3,397,600
Expenditures	1,389,292	1,497,091	1,394,826	1,522,508	2,613,025	3,699,547	2,227,419
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
\$1,024,589 transfer out for debt service							
Net Total Transfers	(1,113,788)	(1,114,163)	(1,113,413)	(1,024,589)	(1,112,848)	(1,112,279)	(1,084,958)
Ending Cash Balance	4,476,822	4,771,393	5,267,736	5,802,446	5,129,831	3,539,220	3,624,443
Encumbrances	0	0	0	20,103	0	0	0
Unencumbered Cash Balance	4,476,822	4,771,393	5,267,736	5,782,343	5,129,831	3,539,220	3,624,443

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Wa'ahila (017263) and K-Nui (017273) Fac Hsg Sec Dep accounts contain funds belonging to faculty housing tenants. Funds are a liability and are returned to tenant upon completion of lease.

* Expenditures in projected years include repair and replacement projects charged to accounts which do not count against the expenditure ceiling.

Projected R&R Costs by FY: 1,107,210 2,161,665 655,981

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Food Services)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Responsible for maintaining and operating food services on the Mānoa campus.

Source of Revenues:

Revenue is derived from Paradise Palms, mobile vendors and vending operation rebates.

Current Program Activities/Allowable Expenses:

Payroll and daily operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Decrease in expenses from FY15 to FY16 due to reduced payroll cost (FTE left in April and did not hire new casual hire in FY16) and utilities

Decrease in revenues from FY16 to FY17 due to outstanding rebates and unreported sales by The Curb as well as the switch between Pepsi to Coke which impacted the beverage vending rebates.

FY17 expenses were higher than FY18 due to purchasing a commercial dishwashing machine for Paradise Palms (\$57,774.85)

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	553,441	553,441	553,441	553,441	553,441	553,441	553,441
Beginning Cash Balance	557,023	596,062	743,114	767,307	834,473	911,120	995,932
Revenues	787,763	821,984	670,425	719,425	673,472	693,676	714,487
Expenditures	514,714	461,908	451,152	383,017	401,325	413,365	425,766
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(234,010)	(213,024)	(195,079)	(269,243)	(195,500)	(195,500)	(195,500)
Ending Cash Balance	596,062	743,114	767,307	834,473	911,120	995,932	1,089,152
Encumbrances	47,331	46,108	57,821	47,183	50,000	50,000	50,000
Unencumbered Cash Balance	548,731	697,006	709,486	787,290	861,120	945,932	1,039,152

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Parking Operations)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Responsible for maintaining and operating the parking facilities for the Mānoa campus.

Source of Revenues:

Revenue is derived from parking permits and passes, gate receipts and parking citations.

Current Program Activities/Allowable Expenses:

Payroll and daily operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

To cover higher recurring expenditures caused by increases in utilities, payroll, contracted services and a proposed bus pass subsidy program.

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,750,845	6,750,845	6,750,845	6,750,845	6,750,845	6,750,845	6,750,845
Beginning Cash Balance	2,209,612	3,363,446	4,081,048	4,634,112	5,189,712	4,835,202	4,637,852
Revenues	6,605,358	6,356,205	6,038,538	5,641,165	5,881,803	6,947,253	7,354,626
Expenditures	5,393,159	5,577,563	5,421,557	5,085,565	6,236,313	6,339,603	5,356,895
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(58,365)	(61,039)	(63,917)	0	0	(805,000)	(2,700,000)
Ending Cash Balance	3,363,446	4,081,048	4,634,112	5,189,712	4,835,202	4,637,852	3,935,583
Encumbrances	2,899,854	2,806,202	2,807,192	2,354,614	2,500,000	2,500,000	2,500,000
Unencumbered Cash Balance	463,592	1,274,846	1,826,921	2,835,098	2,335,202	2,137,852	1,435,583

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Revenue Undertakings Fund (Campus Center
 Name of Fund: Operations & Recreation Services)
 Legal Authority 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.
 This major appropriation provides funding to cover principal and interest payments of the State General Obligation & Revenue bonds sold to provide construction, renovation, and repair funds for student life facilities including Campus Center, Hemenway Hall, and Student Recreation Services. Funds are also used to cover costs of operations and maintenance of student life facilities, programs, services, and activities designed to meet the social, intellectual, recreational and cultural needs of campus community members served.

Source of Revenues:

Mandatory student fees, interest income, room rental income, leisure class fees, game commissions, ticket sales, league registration fees, equipment rental fees, passport handling fees.

Current Program Activities/Allowable Expenses:

Daily operations of the Campus Center and Hemenway Halls including the Ticket & Information Desk, Gamesroom, Computer Lab, Marketing & Graphics, Meeting & Events Services, Building Operations, Grounds Maintenance, Leisure Classes, Leisure Rentals, Recreational Sports Program. Allowable expenses include personnel, general operating expenses, repair & maintenance costs, utilities, equipment, advertising, travel, food, and other operations-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

With the completion of the new Recreation Center, operational and personnel cost are expected to increase significantly.

Variances:

Increase of expenditures in FY 2015 was mainly attributed to custodial supplies and personnel costs for the Warrior Recreation Center. In FY 2017, repairs and maintenance for the Campus Center complex were minimal compared to FY 2016.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,740,835	5,740,835	5,740,835	5,740,835	5,740,835	5,740,835	5,740,835
Beginning Cash Balance	15,029,298	14,695,577	15,081,625	15,545,344	16,094,975	16,437,387	16,780,446
Revenues	7,631,615	7,852,524	7,776,478	7,498,128	7,500,000	7,500,000	7,500,000
Expenditures	4,535,813	5,606,467	4,746,478	5,169,468	5,170,000	5,170,000	5,170,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(3,429,523)	(1,860,008)	(2,566,281)	(1,779,029)	(1,987,588)	(1,986,941)	(1,982,630)
Ending Cash Balance	14,695,577	15,081,625	15,545,344	16,094,975	16,437,387	16,780,446	17,127,816
Encumbrances	1,528,469	399,142	666,269	347,236	400,000	400,000	400,000
Unencumbered Cash Balance	13,167,108	14,682,484	14,879,075	15,747,740	16,037,387	16,380,446	16,727,816

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (MCO SODA FUND)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Provision of food services for MCO events.

Source of Revenues:

Revenue is derived from vending operation rebates.

Current Program Activities/Allowable Expenses:

Food and beverage expenses for MCO sponsored events.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	73,784	33,256	0
Revenues				12	0	0	0
Expenditures				0	40,528	33,256	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	73,773	0	0	0
Ending Cash Balance	0	0	0	73,784	33,256	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	73,784	33,256	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Student Housing - OSA)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Student Housing Services is a self-supporting University Project. It is an integral part of the educational program and academic support services. It assists in the recruitment and retention of students by providing safe and reasonably priced accommodations conducive to student learning and affords opportunities for personal growth and individual development. Voluntary and Mandatory R&R reserves are established to maintain the physical infrastructure and appearance of the Student Housing residence halls and apartments. As part of the University Bond System, Student Housing is required to fund and maintain reserves for projects related to renovation, repair and maintenance.

Source of Revenues:

Revenues are derived from rental income, application fees, commissions and rebates, investment income and miscellaneous fees and fines.

Current Program Activities/Allowable Expenses:

On-campus accommodations are available for approximately 3,900 students. Approximately 52% are Hawai'i residents and 48% from the mainland and foreign countries. Student Housing offers wellness halls, honors floors, freshmen year experience halls and a variety of resident programs and projects throughout the academic year. All expenses associated with the operation of residence halls are paid from revenues, including personal services, utilities, materials and supplies, repair and maintenance, etc. Voluntary R&R reserve funds can be used for general repair, renovation and replacement of facilities' systems, furniture, exterior/interior envelopes. Funds can also be used for emergency issues and to fund large scale projects in lieu of having to use bond monies and associated interest. Mandatory R&R reserve funds can be used for major repair, renovation and replacement of capital assets.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

- (1) Decrease in FY 2019 revenues due to full year of complete Hale Noelani closure to repair/renovate. Renovation was planned for FY 2019, however, the projected cost to renovate all five buildings was over the \$10 million budget so the scope of the project had to be redone. The new plans now have buildings C/D/E being renovated first, beginning approximately summer 2019.
- (2) Hale Noelani also expected to be closed to rental for FY 2020 due to renovation
- (3) FY 2021 - Hale Noelani will partially reopen after renovation (buildings C/D/E only, buildings A/B will remain closed until renovation can be funded). Two buildings of Hale Wainani projected to be out of service for renovation (F/I), remaining buildings (G/H) will remain open.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,412,179	17,412,179	17,412,179	17,412,179	17,412,179	17,412,179	17,412,179
Beginning Cash Balance	14,413,222	18,830,630	24,488,733	30,242,840	35,269,898	35,457,076	26,437,775
Revenues	25,686,122	26,187,607	26,737,941	26,290,298	24,501,968	24,530,702	24,760,090
Expenditures	14,025,906	13,439,616	13,894,919	14,748,656	17,930,687	27,223,870	25,230,380
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers-out for debt service	(7,242,808)	(7,089,889)	(7,088,914)	(6,514,584)	(6,384,103)	(6,326,133)	(6,319,696)
Net Total Transfers	(7,242,808)	(7,089,889)	(7,088,914)	(6,514,584)	(6,384,103)	(6,326,133)	(6,319,696)
Ending Cash Balance	18,830,630	24,488,733	30,242,840	35,269,898	35,457,076	26,437,775	19,647,788
Encumbrances	1,223,231	1,137,633	1,461,296	2,449,071	2,500,000	2,500,000	2,500,000
Unencumbered Cash Balance	17,607,399	23,351,099	28,781,544	32,820,827	32,957,076	23,937,775	17,147,788

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Expenditures exceed the ceiling during FY 2019 - FY 2020 because the following expenditures are projected to be made from the Voluntary R&R funds:

FY 2019 (estimated)	FY 2020 (estimated)	FY 2021 (estimated)
2,137,500	12,000,000	10,000,000
	Noelani Reno	Wainani Reno

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Telecommunications)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To fund Telecommunication operations

Source of Revenues:

To fund Telecommunication operations

Current Program Activities/Allowable Expenses:

Supplies and equipment to support the Telecommunication operations

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and Expenditures for FY16 - Continued network infrastructure upgrade of buildings on the Manoa Campus which was completed in May 2016

Decrease in Revenues and Expenditures for FY17 is the result of the completion of the network infrastructure upgrade of buildings on the Manoa Campus in FY16

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065
Beginning Cash Balance	7,836,352	5,799,266	4,917,173	4,003,711	2,843,411	4,053,029	4,553,029
Revenues	4,860,360	3,856,673	3,369,285	3,213,678	3,964,906	3,500,000	3,500,000
Expenditures	5,681,262	3,520,390	3,067,945	3,161,309	2,569,027	3,000,000	3,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,216,185)	(1,218,375)	(1,214,802)	(1,212,670)	(186,261)	0	0
Ending Cash Balance	5,799,266	4,917,173	4,003,711	2,843,411	4,053,029	4,553,029	5,053,029
Encumbrances	1,856,295	2,049,801	1,636,990	1,205,662	1,500,000	1,500,000	1,500,000
Unencumbered Cash Balance	3,942,970	2,867,372	2,366,722	1,637,749	2,553,029	3,053,029	3,553,029

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Debt Svc Trf * 1,216,186 1,218,376 1,214,802 1,212,670 186,261

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (UHRUF Administration)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Administration of the University Revenue-Undertakings Fund and the University Bond System (UBS).

Source of Revenues:

Interest income and transfer from Department of Health for Refunding Series 2006A, Series 2015D(R), Series 2015E(R), Series 2017B and Series 2017C revenue bond debt service (Section 328L-2, HRS and Section 3 of Act 12, SLH 2018).

Current Program Activities/Allowable Expenses:

Develops and implements policies, rules, and procedures to ensure the self-financing nature of the bond projects. Prepares prospectus and financing models; complies and analyzes financial data relating to the sale of university revenue bonds; administers the service of debt; and performs required post-issuance financial disclosures and federal tax compliance on issued revenue bonds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues - pool interest distribution

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9,928,846	9,926,669	9,563,907	11,856,374	11,981,286	12,021,247	12,055,854
Revenues	2,344	4,374	7,937	37,796	37,800	37,800	37,800
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
transfer in - tobacco settlement			11,799,348	9,397,426	9,399,587	9,396,394	9,396,286
transfer out - debt service			(9,514,819)	(9,310,310)	(9,397,426)	(9,399,587)	(9,396,394)
Net Total Transfers	(4,521)	(367,136)	2,284,530	87,116	2,160	(3,193)	(108)
Ending Cash Balance	9,926,669	9,563,907	11,856,374	11,981,286	12,021,247	12,055,854	12,093,546
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	9,926,669	9,563,907	11,856,374	11,981,286	12,021,247	12,055,854	12,093,546

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Required for Debt Service	9,924,325	9,548,715	9,310,310	9,397,426	9,399,587	9,396,394	9,396,286

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Ching Field)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To account for all revenues and costs of the university project (Ching Field Project) as defined by section 304A-2671, HRS.

Source of Revenues:

Income, revenues, or moneys received by the university including appropriations related to university projects.

Current Program Activities/Allowable Expenses:

Funds are applied to costs of construction, operation, repair, and maintenance of university projects, university systems, or networks; to pay principal and interest on revenue and general obligation bonds; to reimburse the university for expenses related to issuance of revenue bonds; or to provide reserve for renewal and replacement of university projects, university systems, or networks.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in revenues from FY15 to FY16 due to increase in interest

Decrease in expenditures from FY15 to FY16 due to decrease in Rating Agency Fees, Arbitrage Report Fee, and Audit cost

Decrease in revenues from FY16 to FY17 due to decrease in interest

Increase in expenditures from FY16 to FY17 due to increase in expenses to upkeep facility

Increase in revenues from FY17 to FY18 due to increase in interest

Increase in expenditures from FY17 to FY18 due to increase in expenses to upkeep facility

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(0)	(1)	53,966	108,599	154,938	199,938	244,938
Revenues	2	138	65	774	0	0	0
Expenditures	430	376	5,241	34,490	35,000	35,000	35,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	428	54,205	59,808	80,056	80,000	80,000	80,000
Ending Cash Balance	(1)	53,966	108,599	154,938	199,938	244,938	289,938
Encumbrances	0	0	32,900	0	0	0	0
Unencumbered Cash Balance	(1)	53,966	75,699	154,938	199,938	244,938	289,938

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Cancer Center)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

CRCH Project Construction Operating Account

Source of Revenues:

Bond System

Current Program Activities/Allowable Expenses:

None

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Reserve fund was capped at \$6M from FY17. Increase in expenditures based on implementation of rolling 5-year repair and replacement plan for facilities and IT-related expenses.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	3,119,244	6,219,374	6,231,613	6,271,351	6,209,803	6,272,511
Revenues	0	7,783	17,638	43,706	43,706	43,706	43,706
Expenditures	0	6,965	5,399	3,968	660,000	281,100	481,342
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Repair reserve (2265392)					554,747	300,102	866,684
Net Total Transfers	3,117,934	3,099,312	0	0	554,747	300,102	866,684
Ending Cash Balance	3,117,934	6,219,374	6,231,613	6,271,351	6,209,803	6,272,511	6,701,558
Encumbrances	0	0	0	112,878	0	0	0
Unencumbered Cash Balance	3,117,934	6,219,374	6,231,613	6,158,473	6,209,803	6,272,511	6,701,558

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (IFA - PANSTARRS 2)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the fund was established to provide for costs of construction, operation, repair, and maintenance of the Pan-STARRS 2 telescope on Haleakala, Maui.

Source of Revenues:

Interest

Current Program Activities/Allowable Expenses:

Debt service charges

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(67)	0	175	334	27,377	27,187	26,997
Revenues	0	6	11	15	10	10	10
Expenditures	357	331	293	366	400	400	400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	425	500	442	27,394	200	200	400
Ending Cash Balance	0	175	334	27,377	27,187	26,997	27,007
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	175	334	27,377	27,187	26,997	27,007

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Law - Exp/Mod Oper)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Source of Revenues:

Revenues are generated from interest distributions from the revenue bond.

Current Program Activities/Allowable Expenses:

Expenses related to the construction of the Law School's Clinical Building, including expenses related to the issuance and maintenance of revenue bonds such as rating fees, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

The account was established in FY 2016 and did not reflect an entire year's worth of revenue / expenses. The figures from FY 2017 forward should reflect the annual revenue and expenditures that include rating agency fees and audit costs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	34	(0)	(0)	(0)
Revenues		13	34	80	100	100	100
Expenditures		116	382	394	100	100	100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	103	382	280	0	0	0
Ending Cash Balance	0	0	34	(0)	(0)	(0)	(0)
Encumbrances		0	0	0			
Unencumbered Cash Balance	0	0	34	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Regional Biolab)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Design and construct a Pacific Regional Biosafety laboratory

Source of Revenues:

Revenue Bonds

Current Program Activities/Allowable Expenses:

None - The Project was canceled.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2	1	3	3	3	3	3
Revenues	0	2	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2	3	3	3	3	3	3
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2	3	3	3	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (OVCRGE Biomed)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide for all costs of construction, operation, repair, and maintenance of a university project.

Source of Revenues:

Revenue Bonds

Current Program Activities/Allowable Expenses:

Bond related expenses such as bond audit, rating agency fees, arbitrage reports, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to adjustments to annual audit agency fees for the Sinclair Library and CMORE bond debt service payments.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3	0	2	2	(0)	16	32
Revenues	(0)	(6)	72	16	16	16	16
Expenditures	1,948	1,791	1,422	2,658	2,650	2,650	2,650
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,946	1,799	1,350	2,639	2,650	2,650	2,650
Ending Cash Balance	0	2	2	(0)	16	32	48
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	2	2	(0)	16	32	48

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Hawaii Cancer Research SF
 Legal Authority: 304A-2168, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the moneys in this fund shall be used by the University of Hawaii for the Cancer Research Center of Hawaii's research and operating expenses and capital expenditures.

Source of Revenues:

State revenues from taxes on the purchase of cigarettes.

Current Program Activities/Allowable Expenses:

Current year research, operating, and capital expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Needed for OCI Planning and Design contracts, for the construction of the new Cancer Center building.

Variances:

FY 2015 expenditures are negative as (\$20.4M) adjustment was posted as exp to record Kakaako construction proj closeout

Other expenditure variances are due to an increase in tuition allocation to offset expenditures on the special fund and the return of the governor's restriction in FY2017 and 2018. Expenditures in FY2019-FY2021 reflect the possibility there will be no Governor's allocation, although we expect this allocation will continue.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	26,000,000	26,000,000	26,000,000	26,000,000	26,000,000	26,000,000	26,000,000
Beginning Cash Balance	20,782,020	29,363,149	20,688,472	20,086,765	19,787,472	14,929,142	10,183,325
Revenues	14,871,188	14,294,600	13,829,947	13,919,479	13,961,555	13,790,248	13,621,090
Expenditures	(4,719,978)	11,993,673	7,196,242	6,349,930	10,475,300	10,684,806	10,898,502
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt service (2265392)	(7,892,579)	(7,876,293)	(7,235,411)	(7,868,843)	(7,789,837)	(7,832,257)	(7,847,222)
Repair reserve (2265392)	(3,117,458)	(3,092,347)	0	0	(554,747)	(19,002)	(385,342)
Net Total Transfers	(11,010,037)	(10,975,605)	(7,235,411)	(7,868,843)	(8,344,584)	(7,851,259)	(8,232,564)
Ending Cash Balance	29,363,149	20,688,472	20,086,765	19,787,472	14,929,142	10,183,325	4,673,349
Encumbrances	1,003,934	1,145,085	358,120	412,063			
Unencumbered Cash Balance	28,359,215	19,543,386	19,728,645	19,375,409	14,929,142	10,183,325	4,673,349

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
Energy Systems Development SF (OPF -
 Name of Fund: Sustainability Initiative)
 Legal Authority 304A-2169.1, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the purpose of developing an integrated approach to and portfolio management of renewable energy and energy efficiency technology projects that will reduce Hawaii's dependence on fossil fuel, imported oil, and other imported energy resources and move Hawai'i toward energy self-sufficiency.

Source of Revenues:

The Energy Systems Development Special Fund (ESDSF) derives income from rebates and from grants or incentives associated with energy conservation measures. Capital appropriations by the legislature are also eligible sources of revenue.

Current Program Activities/Allowable Expenses:

The fund is used to support project planning, design and implementation in the areas of energy efficiency/conservation, renewable energy, and sustainability.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 19: HRS 304A-2181, the University of Hawai'i green special fund, was established to support energy efficiency, renewable energy, and sustainability. This statute allows for the deposit of monies from energy savings, investment earnings, gifts, donations, rebates, grants, capital appropriations or other funds received by the University and authorizes expenditures for projects and services that support energy and sustainability initiatives. Starting in FY19, revenues and expenditures will be reflected under this statutory authority.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	14,588	46,292	46,292	46,292
Revenues			5,588	31,704	0	0	0
Expenditures			(9,000)	0	0	0	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	14,588	46,292	46,292	46,292	46,292
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	14,588	46,292	46,292	46,292	46,292

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Energy Systems Development SF (SOEST - HNEI)
 Legal Authority: 304A-2169.1, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the purpose of developing an integrated approach to and portfolio management of renewable energy and energy efficiency technology projects that will reduce Hawaii's dependence on fossil fuel, imported oil, and other imported energy resources and move Hawai'i toward energy self-sufficiency.

Source of Revenues:

\$.10 from each dollar of the barrel tax authorized under Act 73, 2010; Re-established under Act 107, 2014.

Current Program Activities/Allowable Expenses:

Obtaining matching funds from federal and private sources for research, development, and demonstration of renewable energy sources; awarding contracts or grants to develop and deploy technologies that will reduce Hawai'i's dependence on imported energy resources and oil; manage the portfolio of projects commissioned under the fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

Although established in July 2007, no funds were allocated to this fund until July 2010 when under ACT 73, 10 cents of the tax on each barrel of oil was to be deposited into the account. As specified in HRS304A-1892, the energy systems development special fund was to be managed by HNEI. However, the authorization to access those funds was included in the Budget Worksheets under Program ID# BED120, under the Department of Business, Economic Development and Tourism. The authorization to access these new funds has since been resolved and UH is now proceeding as intended.

Variances:

The variance in expenditures was caused by delays in securing agreements with subcontractors and project partners for planned projects. Program is continuing to negotiate these agreements in FY19. The variance in revenue (Net Total Transfers) can only be explained by an increased use of oil within the State of Hawaii during the past fiscal year; revenue is derived from a 10 cents tax on each barrel of imported oil.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000			
Beginning Cash Balance	398,045	1,628,556	3,846,786	4,916,949	6,368,415	6,470,415	6,572,415
Revenues	2,032	6,138	12,692	39,428	2,000	2,000	2,000
Expenditures	822,080	572,148	1,478,501	1,165,740	2,000,000	2,000,000	2,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	2,050,559	2,784,240	2,535,972	2,577,779	2,100,000	2,100,000	2,100,000
Ending Cash Balance	1,628,556	3,846,786	4,916,949	6,368,415	6,470,415	6,572,415	6,674,415
Encumbrances	0	0	9,263	15,000			
Unencumbered Cash Balance	1,628,556	3,846,786	4,907,686	6,353,415	6,470,415	6,572,415	6,674,415

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH CIP Project Assessment SF (OPF - Other Special CIP Assessment Special Fund)
 Legal Authority: 304A-2172, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the purpose of defraying costs involved in:

- Carrying out capital improvements program projects managed by the university;
- Equitably assessing, collecting, and distributing moneys for current and other expenses associated with capital improvements program projects, repair, and maintenance projects, and major renovation projects;
- Managing the payment of expenses assessable against capital improvements program projects managed by or through the university, such as printing, employee transportation requirements, project-related travel costs, travel per diem, and car mileage reimbursements, in accordance w/applicable laws & collective bargaining agreement; and
- Managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the capital improvements program projects managed by the university.

Source of Revenues:

Assessments are made based on a pre-existing schedule generated by the Department of Accounting and General Services to assess capital appropriations. Assessments are made for the design and construction phases of projects.

Current Program Activities/Allowable Expenses:

Expenditures from the UH CIP Project Assessment Special Fund are limited to operational expenses of University incurred for carrying out capital projects. Allowable operational expenses include, but are not limited to, project and professional related travel, training, telecommunication charges, office supplies, computer hardware and software, motor vehicle use and maintenance, dues, subscriptions, printing, postage, and other operational expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 19: Increase in revenues expected due to forecasted assessments to be made on CIP projects.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	24,596	74,596	124,596
Revenues				32,250	60,000	60,000	60,000
Expenditures				7,654	10,000	10,000	10,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	24,596	74,596	124,596	174,596
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	24,596	74,596	124,596	174,596

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Child Care Programs SF
 Legal Authority: 304A-2173, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, this fund was established for the operation of child care programs and the construction and renovation of child care centers established by the University of Hawaii.

Fees charged are deposited into this fund.

Source of Revenues:

Fees for services, application and comprehensive fees.

Current Program Activities/Allowable Expenses:

Program provides quality integrated child care services and provides training opportunities for the development of competent professionals by serving as a training site.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2015, approved 3% fee increase effective 01/01/2015 generated additional revenues.

FY 2016, decrease in expenditures due to lower Personnel costs and non-recurring \$24,000 purchase of playground equipment in FY 2015.

FY 2017, increase in expenditures due to the reimbursement of \$30,000 from Child Nutrition program recorded 7/2017 and 4% across the board pay raise for personnel.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	103,265	159,395	373,496	390,920	402,572	407,572	412,572
Revenues	1,193,039	1,120,281	1,035,375	1,110,170	1,130,000	1,160,000	1,185,000
Expenditures	1,136,909	906,180	1,017,950	1,098,518	1,125,000	1,155,000	1,180,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	159,395	373,496	390,920	402,572	407,572	412,572	417,572
Encumbrances	16,157	33,349	35,450	10,742	20,000	23,000	25,000
Unencumbered Cash Balance	143,238	340,147	355,471	391,831	387,572	389,572	392,572

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UHM Intercollegiate Athletics SF
 Legal Authority: 304A-2176, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to receive, deposit, disburse, and account for funds from the activities of the intercollegiate athletic programs. The Athletics Department Special Fund is used to account for the financial transactions of the UH Manoa Intercollegiate Athletics programs. Financial supports cover all activities (administrative, support services, and sports) necessary and proper to operate and maintain an intercollegiate sports program at the NCAA Division 1 level with both male and female student participants. Unlike General Funds, the Special Fund is unique and flexible and therefore intangible factors considered during establishment were: (1) provides flexibility to address unanticipated expenditures which may require a considerable appropriation over the original ceiling in the late fourth quarter of the fiscal year; (2) permit expenditures that are common and necessary to athletic's operations that normally are not authorized by General Fund policies; and (3) allow the Athletic Department to provide over 500 student-athletes the opportunity and support to participate at the highest level of collegiate competition.

Source of Revenues:

The Athletics Department Special Fund earns revenue through a variety of sources. Tickets to Intercollegiate Athletic events for football, men's and women's basketball and volleyball, and baseball are sold. Revenue is also earned from television and radio broadcast rights relating to the intercollegiate athletic events. Corporate sponsorships are another source of revenue where sponsorships and tickets are sold to corporations and businesses. Opposing teams also pay guarantees to the Athletic Department for contests played at opposing teams' sites. The National Collegiate Athletic Association and the Mountain West and Big West Conferences with the Athletic Department participated in also pay out funds for the Department's participation. Revenue is also earned from concession sales at the Stan Sheriff Center and the Les Murakami Stadium. Donations are another source or revenue. Also, students of UH Mānoa pay an athletic fee of which the Athletics Department retains 92% of the amount collected. FY 2017-FY 2020 revenues assume that the department receives \$2.7M in general funds from the legislature and \$0.5M additional from Mānoa in general funds for student support positions.

Current Program Activities/Allowable Expenses:

The Athletic Department's Special Fund expenses include payment to employees for services, scholarship and medical expenses of student-athletes, travel for student-athletes, coaches and staff to competitions and meetings, recruiting of prospective student-athletes, equipment, materials and supplies needed for operations, payments to officials, dues to the Big West and Mountain West Conferences, payment of guarantees to/for visiting teams, credit card and transaction fees for ticket sales and other operating expenses. FY 2017-FY 2020 expenses assume that the department receives \$2.7M in general funds from the legislature and \$0.5M additional from Mānoa in general funds for student support positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Decrease in revenue of \$4.2M from FY 2015 to FY 2016 due to ticket sales declining \$0.4M due to the poor performance of our football team. Decrease also due to not receiving the Ahahui Koa Ānuehue (AKA) donation of \$2.1M by June 30, 2016. Donation was received after fiscal year end, but was booked as a receivable at 6/30/16. Decrease also due to receiving \$1.7M of the FY 2014 AKA donation in FY 2015, although amount was booked as a receivable as of 06/30/14.

Revenues increased in 2017 due to receiving a one time distribution of \$794,000 from the NCAA in 2017. Also, increase due to \$2.1M in AKA donation for 2016 in FY 2017. Decrease in expenses due to receiving \$2.7M from the legislature in general funds which were use to pay for team travel and game guarantees, decreasing the amount paid from the special fund. Decrease also due to receiving an additional \$500k from Manoa in general funds to be used for student support personnel salaries, decreasing the amount paid from the special fund.

Revenues decreased in 2018 due to receiving a one-time distribution of \$794k from the NCAA in 2017, and not getting any similar distribution in 2018. Decrease in 2018 also due to receiving \$2.3M in game guarantees in 2017 vs \$1.15M in 2018, a decrease of \$1.15M. Decrease also due to the football team participating in the Hawai'i Bowl in 2017 and receiving an extra \$0.5M in distribution from the MWC vs no distribution in 2018. Decrease also due an increase in receivables of \$582k from 2017 to 2018. Ticket sales also decreased by \$400k from 2017 to 2018 due to our teams not performing as well in 2018. We also received \$400k less in television rights in 2018 due to having one less football game on pay-per-view as required per the television contract.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000
Beginning Cash Balance	(15,353,905)	(16,166,321)	(23,306,842)	(22,113,783)	(25,021,483)	(28,711,809)	(32,561,809)
Revenues	23,677,540	19,487,240	24,659,323	20,695,758	22,446,309	22,500,000	23,000,000
Expenditures	24,837,053	26,800,447	23,600,480	24,463,951	26,286,635	26,500,000	27,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	347,098	172,686	134,216	860,492	150,000	150,000	150,000
Ending Cash Balance	(16,166,321)	(23,306,842)	(22,113,783)	(25,021,483)	(28,711,809)	(32,561,809)	(36,411,809)
Encumbrances	530,317	544,176	523,388	1,090,919	500,000	500,000	500,000
Unencumbered Cash Balance	(16,696,638)	(23,851,018)	(22,637,171)	(26,112,402)	(29,211,809)	(33,061,809)	(36,911,809)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH at Manoa Malpractice SF
 Legal Authority: 304A-2152, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, this fund shall be used for costs arising from the defense and settlement of claims against the University, its students, or its faculty for professional malpractice in programs that provide professional services.

To maintain a reserve with which to pay expenses related to malpractice claims filed against John A. Burns School of Medicine faculty physicians.

Source of Revenues:

Interest earned from investment of available cash by the University of Hawai'i and charging faculty physicians' funding agencies their fair share of the reserve contribution.

Current Program Activities/Allowable Expenses:

Judgements, settlements, attorney fees and other costs related to the defense against malpractice claims filed against faculty physicians and entities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues FY 2018: Variance due to reimbursed judgement claims cost from UCERA

Expenditures FY 2017: Variance due to increase in claims cost, insurance premiums and admin salaries.

Expenditures FY 2018: Variance due to increase in claims cost

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	700,000	700,000	700,000	700,000	700,000	700,000
Beginning Cash Balance	1,297,416	1,591,624	1,404,108	1,132,520	999,745	1,007,745	1,015,745
Revenues	872,796	457,198	449,259	663,667	620,000	620,000	620,000
Expenditures	578,589	644,715	720,846	796,443	612,000	612,000	612,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,591,624	1,404,108	1,132,520	999,745	1,007,745	1,015,745	1,023,745
Encumbrances	22,531	0	0	0	0	0	0
Unencumbered Cash Balance	1,569,093	1,404,108	1,132,520	999,745	1,007,745	1,015,745	1,023,745

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-110
UH Auxiliary Enterprises SF (JABSOM Hyperbaric
 Name of Fund: Treatment Center)
 Legal Authority 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

For the operation of the Hyperbaric Treatment Center which was transferred from the Department of Health to the University of Hawai'i in the FY 1998 appropriations act.

JABSOM will be moving HTC operations over to UHP/UCERA in FY2018. \$500K State funds received in support of HTC will be paid to UHP/UCERA on ongoing basis to support operations.

Source of Revenues:

Revenues to the fund are generated from patients who are treated at the center for hyperbaric oxygen services for diving accidents and medical health treatments. Payments for these services come from insurance companies and patients. Interest earned from investment of available cash is another source of revenue.

Current Program Activities/Allowable Expenses:

Expenses related to the operation of the HTC including salaries and fringe, office and medical supplies, communications, equipment, repairs, janitorial and laundering services, patient meals, travel, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues FY2016-2018: Service revenues collected on aged account receivables

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	525,000	525,000	525,000	525,000	525,000	525,000	525,000
Beginning Cash Balance	1,879	2,017	2,191	2,393	2,535	2,675	2,815
Revenues	138	174	202	142	140	140	140
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,017	2,191	2,393	2,535	2,675	2,815	2,955
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2,017	2,191	2,393	2,535	2,675	2,815	2,955

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Scholarship & Assistance SF (JABSOM Scholarship)
 Legal Authority: 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-185-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of merit-based scholarship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2019 Transfer has a variance due to timing of FY2018's scholarship transfer occurring in FY2019 instead of FY2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	(964,320)	0	0
Revenues			0	0			
Expenditures			931,450	964,320	900,000	900,000	900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2302134 transfer in from TSF			931,450		1,864,320	900,000	900,000
Net Total Transfers	0	0	931,450	0	1,864,320	900,000	900,000
Ending Cash Balance	0	0	0	(964,320)	0	0	0
Encumbrances			0	0			
Unencumbered Cash Balance	0	0	0	(964,320)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH Revenue Undertakings Fund (JABSOM)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To support the medical school's operations and train and graduate new physicians until June 30, 2015.

Source of Revenues:

Interest earned from investment of available cash by the University of Hawaii.

Current Program Activities/Allowable Expenses:

Funds are to be used to cover operating expenses related to the education and training of medical students.

This includes lease and utility costs at our medical education sites.

Purpose of Proposed Ceiling Adjustment (if applicable):

Special fund ceiling is being transferred from UH Manoa to JABSOM beginning in FY 2013.

Variances:

Revenues FY 2016: Tobacco Settlement fund sunsets as of FYE 2015.

Revenues FY 2018: Interest revenue on transferred in funds.

Expenditures FY 2016: Tobacco Settlement fund effective sunset date was July 1, 2015.

Expenditures FY 2017: Agency rating fees charged to account. Future fees will be charged to revolving fund.

Expenditures FY 2018: Agency rating fees charged to account. Future fees will be charged to revolving fund.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	0					
Beginning Cash Balance	34	20	(0)	0	(10)	0	0
Revenues	20	(0)	(0)	15			
Expenditures	1,706,997	3,119	3,502	9,733			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,706,963	3,099	3,502	9,708	10	0	0
Ending Cash Balance	20	(0)	0	(10)	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	20	(0)	0	(10)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-110
John A. Burns School of Medicine SF -
 Name of Fund: Physician Workforce Assessment
 Legal Authority: 304A-2171, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, this fund was established to support JABSOM's activities related to physician workforce assessment and planning. To maintain accurate physician workforce assessment information and provide or update personal and professional information to be maintained in a secure database.

Source of Revenues:

Interest earned from investment of available cash by the University of Hawaii and medical license renewal fees charged to physicians.

Current Program Activities/Allowable Expenses:

To support physician workforce assessment and planning activities in Hawaii provided that expenditures are limited to no more than \$245,000 annually

Purpose of Proposed Ceiling Adjustment (if applicable):

To support retention of physicians in accordance with SB 240.

Variances:

Revenues FY 2017: Physician license renewal fees are collected/received biennially.

Revenues FY 2018: Physician license renewal fees are collected/received biennially.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	245,000	245,000	245,000	245,000	245,000	245,000	245,000
Beginning Cash Balance	338,286	609,990	860,734	665,913	755,407	674,627	929,627
Revenues	516,500	488,259	48,423	334,494	164,220	500,000	2,000
Expenditures	244,796	237,514	243,245	245,000	245,000	245,000	245,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	609,990	860,734	665,913	755,407	674,627	929,627	686,627
Encumbrances	204	624	85,729	97,822	98,000	98,000	98,000
Unencumbered Cash Balance	609,786	860,110	580,184	657,585	576,627	831,627	588,627

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH Graduate Application RF (JABSOM)
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-381-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

Source of Revenues:

Required application fee paid by all applicants to the John A. Burns School of Medicine and to the medical electives program, and interest earned from investment of available cash.

Current Program Activities/Allowable Expenses:

Expenses related to the distribution, collection and processing of the applications, including salaries and fringe, operating supplies, equipment, repairs, printing, communications, parking fees, travel, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Increase needed to cover portion of Director of Admissions salary and full time support staff for medical student admissions. Additional ceiling space needed to cover new admission software cost effective FY 2018.

Variances:

Revenues FY 2016: Variance due to increase in volume of applicants.
 Revenues FY 2017: Variance due to decrease in volume of applicants.
 Expenditures FY 2016: Transfer out of support staff cost.
 Expenditures FY 2018: AMCAS licensing fees

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	127,771	91,659	198,493	230,385	249,512	249,512	249,512
Revenues	202,485	283,715	207,494	218,020	217,000	217,000	217,000
Expenditures	238,597	176,881	175,601	198,894	217,000	217,000	217,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	91,659	198,493	230,385	249,512	249,512	249,512	249,512
Encumbrances	4,036	6,576	7,382	12,390	7,500	7,500	7,500
Unencumbered Cash Balance	87,623	191,917	223,004	237,122	242,012	242,012	242,012

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH Real Property & Facilities Use RF (JABSOM)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-381-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.
 Facilities usage revolving fund to cover expenses related to the rental and usage of JABSOM facilities.

Source of Revenues:

Revenues collected for use of JABSOM facilities which include land, buildings, grounds, furnishings and equipment. Interest earned from investment of available cash is another source of revenue.

Current Program Activities/Allowable Expenses:

Expenses related to operating JABSOM facilities including repairs and maintenance, contractor services, supplies, security, janitorial services, utilities and other operational expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Propose increase in fund ceiling due to escalating parking costs which is expected to increase nearly threefold in FY19.

Variances:

Revenues FY 2017: Variance due to loss of 2nd food vendor.
 Revenues FY 2018: Variance due to pickup of 2nd food vendor
 Expenditures FY 2016: Variance due to hiring of support staff.
 Expenditures FY 2017: Variance due to hiring of support staff.
 Expenditures FY 2018: Variance due to recharging of support staff to special funds.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	160,000	160,000	160,000	300,000	400,000	400,000	400,000
Beginning Cash Balance	98,553	196,544	214,861	115,633	108,112	73,812	87,212
Revenues	257,148	264,229	226,387	275,973	311,000	389,000	397,000
Expenditures	159,157	242,793	322,113	273,786	345,300	375,600	384,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(3,119)	(3,502)	(9,708)	0	0	0
Ending Cash Balance	196,544	214,861	115,633	108,112	73,812	87,212	100,212
Encumbrances	8,739	9,197	13,608	15,193	15,000	15,000	15,000
Unencumbered Cash Balance	187,805	205,664	102,025	92,919	58,812	72,212	85,212

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Tuition and Fees SF (Regular Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): B
 Appropriation Acct. No.: S-312-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations. Credit courses are offered to both in-state and out-of-state students during the regular academic year (fall/spring).

Source of Revenues:

Tuition and fees for regular session.

Current Program Activities/Allowable Expenses:

Funds are expended for instruction, academic support, student support and institutional support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in expenditures in FY 2016 is due to the timing of expending funds. Some acquisition of goods and services were carried over to FY 2017.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	31,657,396	31,074,004	29,966,043	29,966,043	30,666,830	30,435,206	30,472,116
Beginning Cash Balance	16,038,924	16,160,017	1,485,745	3,466,365	4,577,754	3,040,668	2,985,093
Revenues	36,074,899	35,596,848	35,253,397	33,679,837	32,429,088	33,726,252	35,075,302
Expenditures	27,619,837	24,707,218	24,209,202	23,308,708	26,226,005	26,750,525	27,285,536
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in for Summer Session	356,866	376,852	435,342	389,364	465,683	405,954	414,933
Transfer out for URUF Debt Service	(716,524)	(538,102)	(909,716)	(674,881)	(489,887)	(490,676)	(298,550)
Transfer out for URUF R&R	(40,000)	(37,705)	(104,536)	(37,705)	(40,000)	(40,000)	(40,000)
Transfer out for Scholarships	(6,350,000)	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)
Transfer out for System Assessment	(873,279)	(902,460)	(839,325)	(801,633)	(802,618)	(840,000)	(840,000)
Transfer out for Programmatic Support	(711,212)	(514,108)	(308,942)	(259,198)	(282,500)	(282,500)	(282,500)
Transfer out for Act 236		(17,398,378)	(786,398)	(1,325,687)	(40,847)	765,921	(219,144)
Net Total Transfers	(8,334,148.58)	(25,563,901.25)	(9,063,575.26)	(9,259,740)	(7,740,169)	(7,031,301)	(7,815,261)
Ending Cash Balance	16,159,837	1,485,745	3,466,365	4,577,754	3,040,668	2,985,093	2,959,598
Encumbrances	2,686,773	3,449,728	5,045,730	6,405,878	5,000,000	5,000,000	5,000,000
Unencumbered Cash Balance	13,473,065	(1,963,983)	(1,579,365)	(1,828,124)	(1,959,332)	(2,014,907)	(2,040,402)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Hilo 304A-2153, HRS has two categories (Regular and Summer/CCECS), with a total Unencumbered Cash Balance of \$29,548. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/29/18) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Tuition and Fees SF (Summer Session, CCECS)
 Legal Authority: 304A-2153, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations. Credit courses are offered to both in-state and out-of-state students by CCECS and during the summer.

Source of Revenues:

Tuition and fees for summer session and CCECS credit courses.

Current Program Activities/Allowable Expenses:

Funds are expended for instruction, academic support, student support and institutional support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The revenue increase in FY 2016 is a result of the fluctuations of course offerings and enrollment during the Summer Session. The decrease in the expenditures in FY 2018 was primarily due to a decrease in salary costs.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,040,534	1,057,534	1,182,534	1,182,534	1,182,534	1,282,534	1,282,534
Beginning Cash Balance	1,917,123	1,804,128	1,881,238	1,726,596	1,864,370	1,968,332	2,023,907
Revenues	1,282,767	1,460,383	1,374,250	1,504,658	1,594,185	1,610,127	1,626,228
Expenditures	976,554	1,006,551	1,093,183	957,852	1,110,146	1,154,551	1,200,733
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(419,208)	(376,722)	(435,709)	(409,033)	(380,077)	(400,000)	(400,000)
Ending Cash Balance	1,804,128	1,881,238	1,726,596	1,864,370	1,968,332	2,023,907	2,049,402
Encumbrances	11,727	6,490	8,219	6,698	9,000	9,000	9,000
Unencumbered Cash Balance	1,792,400	1,874,748	1,718,377	1,857,672	1,959,332	2,014,907	2,040,402

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Hilo 304A-2153, HRS has two categories (Regular and Summer/CCECS), with a total Unencumbered Cash Balance of \$29,548. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/29/18) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Library SF
 Legal Authority: 304A-2155, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

Source of Revenues:

Revenue generated from the collection of fines and user fees for use of the library services.

Current Program Activities/Allowable Expenses:

Purchase of replacement books and periodicals, and also materials and supplies in support of the library operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenue generated is based on usage and collection of fines which will fluctuate from year to year. Expenditures are based on the need to purchase new and/or replacement books and materials. Large purchases are made periodically when funds are available and new or replacement materials, books and supplies are necessary.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	41,309	21,309	21,309	21,309	21,309	21,309	21,309
Beginning Cash Balance	33,218	7,704	4,671	4,157	4,377	4,442	4,508
Revenues	13,779	9,769	9,479	10,766	9,565	9,756	9,951
Expenditures	39,293	12,802	9,993	10,546	9,500	9,690	9,884
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,704	4,671	4,157	4,377	4,442	4,508	4,576
Encumbrances	832	223	44	0	0	0	0
Unencumbered Cash Balance	6,872	4,448	4,113	4,377	4,442	4,508	4,576

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Community Services SF
 Legal Authority: 304A-2156, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

UH Hilo offers community members access to the university's faculty and resources, and for developing community educational opportunities.

Source of Revenues:

Revenues are generated from tuition and fees charged to students for community service programs (i.e., non-credit classes).

Current Program Activities/Allowable Expenses:

Funds are expended for the purpose of providing community educational programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

The expenditure decline in FY 2016 is a result of the FY 2015 expenditure being higher than usual because of one-time, non-recurring commitments.

The increase in revenue in FY 2017 is a result of increased marketing and development of the non-credit courses available to the public. The decrease in expenditures for FY 2017 is due to the end of some temporary casual hire appointments. The decrease in revenue and expenditures in FY 2018 is due to the decreased activities of the College of Continuing Education and Community Service and subsequent re-organization of the college.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	716,860	553,315	529,736	529,736	479,736	485,896	487,247
Beginning Cash Balance	333,660	160,637	97,985	155,479	286,156	467,846	380,746
Revenues	187,057	193,122	225,486	182,758	113,340	116,740	120,242
Expenditures	754,974	541,461	468,677	321,946	196,000	203,840	211,994
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	394,894	285,686	300,685	269,865	264,350	0	0
Ending Cash Balance	160,637	97,985	155,479	286,156	467,846	380,746	288,995
Encumbrances	38,207	3,772	8,756	1,025	10,000	0	0
Unencumbered Cash Balance	122,430	94,213	146,723	285,131	457,846	380,746	288,995

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Auxiliary Enterprises SF
 Legal Authority: 304A-2157, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Source of Revenues:

Revenues generated from rental fees and charges imposed for the use of or services furnished by University programs.

Current Program Activities/Allowable Expenses:

Funds are expended for repairs and maintenance, replacement, operation and administration of the facilities/programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

The increase in revenue in FY 2016 is due to commissions from the food service provider at Imiloa and increased rentals and sale of services.

The increase in expenditures in FY 2016 is primarily due to the utility costs incurred by the food service provider. The increase in revenue in FY 2018 is due to an increase in services such as printing, digital copying, graphic design and facility use fees.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	505,999	655,999	730,999	730,999	830,999	839,212	841,013
Beginning Cash Balance	396,441	411,915	517,762	557,618	689,761	640,995	584,222
Revenues	516,432	739,484	665,996	740,931	703,151	710,183	717,284
Expenditures	502,696	633,638	626,139	622,089	751,917	766,955	782,294
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,737	1	0	13,300	0	0	0
Ending Cash Balance	411,915	517,762	557,618	689,761	640,995	584,222	519,212
Encumbrances	152,100	127,767	137,771	84,631	100,000	100,000	100,000
Unencumbered Cash Balance	259,815	389,994	419,847	605,130	540,995	484,222	419,212

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Scholarship & Assistance SF
 Legal Authority: 304A-2159, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Funds are expended for student tuition scholarships.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,354,348	6,554,348	6,754,348	6,754,348	6,754,348	6,754,348	6,754,348
Beginning Cash Balance	0	469,209	700,194	641,307	642,662	642,662	642,662
Revenues	0	0	0	0	0	0	0
Expenditures	5,880,791	6,319,015	6,608,887	6,548,645	6,550,000	6,550,000	6,550,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in from Tuition and Fees	6,350,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000
Net Total Transfers	6,350,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000
Ending Cash Balance	469,209	700,194	641,307	642,662	642,662	642,662	642,662
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	469,209	700,194	641,307	642,662	642,662	642,662	642,662

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: University Revenue Undertakings Fund
 Legal Authority: 304A-2167.5, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Fund is used to account for revenues and expenditures of the student housing and food services operations.

Source of Revenues:

Revenues generated from dorm rentals, application fees and food services.

Current Program Activities/Allowable Expenses:

Funds are expended for payroll, materials, supplies, services, debt service, R&M and equipment for the student housing and food service operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

The decrease in expenditures in FY 2016 is due to both FY 2015 being higher than normal and overall lower student enrollment. The increase in FY 2018 expenditures is for operating expenses in support of increased occupancy in the student housing facilities.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,404,744	4,724,267	5,458,514	5,458,514	5,458,514	5,781,101	5,786,053
Beginning Cash Balance	4,054,172	3,784,013	4,284,146	5,082,472	5,792,101	5,971,689	5,917,769
Revenues	6,093,147	5,901,662	5,993,743	6,532,951	6,605,465	6,671,520	6,738,235
Expenditures	5,607,205	4,540,802	4,394,561	5,005,142	5,312,923	5,525,440	5,746,458
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(756,101)	(860,728)	(800,856)	(818,180)	(1,112,954)	(1,200,000)	(1,200,000)
Ending Cash Balance	3,784,013	4,284,146	5,082,472	5,792,101	5,971,689	5,917,769	5,709,546
Encumbrances	461,260	425,158	439,682	455,768	450,000	450,000	450,000
Unencumbered Cash Balance	3,322,754	3,858,989	4,642,790	5,336,333	5,521,689	5,467,769	5,259,546

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UHH Mauna Kea Lands Management SF
 Legal Authority: 304A-2170, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): B
 Appropriation Acct. No.: S-312-F

Intended Purpose:

Per statute, the proceeds of this fund shall be used for managing the Mauna Kea lands and enforcing administrative rules adopted relating to the Mauna Kea lands.

Source of Revenues:

Fees and charges for the use of land and facilities within the Mauna Kea Lands.

Current Program Activities/Allowable Expenses:

Salaries, utilities, security, and other operational expenses to manage the Mauna Kea Lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Expenditures increased in FY 2016 due to an increased need for ranger service. Revenue decreased in FY 2017 due to a court order to defer TMT lease payments until a resolution is determined which continued into FY 2018. This decrease in revenue resulted in a decrease in payments made to the Office of Hawaiian Affairs for the use of ceded land.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	726,000	726,000	756,000	756,000	756,000	756,000	756,000
Beginning Cash Balance	176,206	325,826	289,232	83,072	66,933	159,724	284,612
Revenues	660,043	655,972	510,957	386,039	540,361	690,361	704,168
Expenditures	510,659	692,567	717,117	402,178	447,570	565,473	638,092
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	237	(0)	0	0	0	0	0
Ending Cash Balance	325,826	289,232	83,072	66,933	159,724	284,612	350,688
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	325,826	289,232	83,072	66,933	159,724	284,612	350,688

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UHH CIP Project Assessment SF
 Legal Authority: 304A-2172, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, this fund was established to defray costs involved in 1) carrying out capital improvements program (CIP) projects managed by the University; 2) equitably assessing, collecting, and distributing moneys for current and other expenses associated with CIP projects, repair and maintenance projects, and major renovation projects; 3) managing the payment of expenses assessable against CIP projects managed by or through the University; and 4) managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the CIP projects managed by the University.

Source of Revenues:

Assessment on capital improvements program projects, repair and maintenance projects and major renovation projects.

Current Program Activities/Allowable Expenses:

Expenses related to central management, oversight and administration of the projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenue and expenditures are based on capital improvement program projects, repair and maintenance projects, and major renovation projects that are managed by the University which fluctuates from year to year resulting in the variations.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	4,394	3,281	711	711	570	820	820
Revenues	5,000	0	0	0	5,000	5,000	5,000
Expenditures	6,113	2,570	0	141	4,750	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,281	711	711	570	820	820	820
Encumbrances	273	137	237	138	200	200	200
Unencumbered Cash Balance	3,008	574	474	432	620	620	620

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Hilo Intercollegiate Athletics SF
 Legal Authority: 304A-2176, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): B
 Appropriation Acct. No.: S-312-F

Intended Purpose:

Per statute, this fund shall be used to receive, deposit, disburse, and account for funds from the activities of the intercollegiate athletic programs.

Source of Revenues:

Ticket sales, sponsorships and other related income.

Current Program Activities/Allowable Expenses:

Expenses in support of the athletic programs and sports camps.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The fluctuation of revenue is the result of the level of public interest in attending sporting events. Ticket sales, concession and merchandise sales will vary from year to year depending on the number of fans attending home games. Expenditures will vary based on the number of road trips each team has schedule

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	192,789	292,789	267,789	267,789	317,789	317,789	317,789
Beginning Cash Balance	37,069	129,545	135,452	155,638	114,944	50,944	65,544
Revenues	221,564	180,273	204,981	238,062	240,000	249,600	259,584
Expenditures	146,189	185,118	197,827	288,462	314,000	245,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	17,101	10,753	13,032	9,706	10,000	10,000	10,000
Ending Cash Balance	129,545	135,452	155,638	114,944	50,944	65,544	85,128
Encumbrances	13,629	19,446	7,010	10,963	10,000	10,000	10,000
Unencumbered Cash Balance	115,916	116,006	148,628	103,981	40,944	55,544	75,128

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH-Hilo Theatre SF
 Legal Authority: 304A-2178, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): B
 Appropriation Acct. No.: S-312-F

Intended Purpose:

Per statute, admissions, advertising sales, corporate sponsorships, marketing, merchandising, donation, fundraising, fees, charges, and other moneys collected in conjunction with the UH Hilo theatre program shall be deposited in this fund, and may be expended for all costs associated with the theatre program.

Source of Revenues:

Theatre ticket sales.

Current Program Activities/Allowable Expenses:

Expenses related to programs and performances held at the Theatre.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

The fluctuation in the revenue and expenditures is a result of the number and size of recitals and performances scheduled for each year. Depending on the mix of activities in a given fiscal year, the revenue and expenditures will fluctuate.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	130,035	170,035	170,035	170,035	170,035	170,035	170,035
Beginning Cash Balance	97,859	52,309	26,009	27,560	34,123	27,713	33,710
Revenues	133,020	114,094	180,222	141,593	134,300	139,672	145,259
Expenditures	178,570	140,394	178,671	135,030	140,710	133,675	135,011
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	52,309	26,009	27,560	34,123	27,713	33,710	43,958
Encumbrances	4,764	3,559	0	685	0	0	0
Unencumbered Cash Balance	47,546	22,450	27,560	33,437	27,713	33,710	43,958

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Commercial Enterprises RF
 Legal Authority: 304A-2251, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Activities of the Testing Center and College of Business and Economics consultant service is supported by this fund.

Source of Revenues:

Testing fees and service charges.

Current Program Activities/Allowable Expenses:

Expenses in support of the Testing Center and CoBE services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2014 was a transition year for the testing center when we experienced a change in the responsible department. As a result of this change in oversight, activity at the center increased resulting in increased revenue and expenses as reflected in FY 2016. However, in FY 2017, there were decreases again due to a period of inactivity when the testing center relocated to another building, compounded by problems with connectivity. In FY 2018, there was a decrease in revenue due to delays in receiving payments from Educational Testing Service (ETS). Payments were received in the following fiscal year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,207	28,207	28,207	28,207	28,207	28,207	28,207
Beginning Cash Balance	15,595	13,199	12,285	16,756	19,017	29,617	33,017
Revenues	8,587	18,093	12,421	9,660	20,100	16,600	16,932
Expenditures	10,982	19,008	7,951	7,399	9,500	13,200	15,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,199	12,285	16,756	19,017	29,617	33,017	34,449
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	13,199	12,285	16,756	19,017	29,617	33,017	34,449

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Research and Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue generated is determined by the level of research and training extramural spending. As research and training awards fluctuate, so will the indirect overhead revenue generated. Spending will follow the same pattern.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,952,912	4,398,912	3,998,912	4,156,089	4,156,089	4,156,089	4,156,089
Beginning Cash Balance	693,795	463,308	673,627	781,177	807,436	670,129	520,129
Revenues	2,830,493	3,829,747	3,828,088	2,883,892	2,215,285	2,400,000	2,400,000
Expenditures	3,420,628	4,106,985	3,919,643	3,559,927	3,202,592	3,300,000	3,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	359,649	487,557	199,105	702,294	850,000	750,000	750,000
Ending Cash Balance	463,308	673,627	781,177	807,436	670,129	520,129	370,129
Encumbrances	59,382	67,791	68,273	46,091	70,000	70,000	70,000
Unencumbered Cash Balance	403,926	605,836	712,903	761,345	600,129	450,129	300,129

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Transcript & Diploma RF
 Legal Authority: 304A-2256, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Source of Revenues:

Fees collected for transcript requests and diploma applications.

Current Program Activities/Allowable Expenses:

Expenses for the issuance of transcripts and diplomas, and costs associated with the support of these functions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increases in expenditures in FY 2017 and FY 2018 are due to the hiring of personnel to assist with maintaining accurate student records and verification of course completion.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	139,337	139,337	139,337	139,337	139,337	139,337	139,337
Beginning Cash Balance	193,159	146,948	110,485	65,161	2,254	3,469	4,733
Revenues	59,265	60,122	62,416	60,265	55,215	57,424	59,721
Expenditures	105,476	96,584	107,741	123,171	54,000	56,160	58,406
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	146,948	110,485	65,161	2,254	3,469	4,733	6,047
Encumbrances	745	1,718	4,070	1,481	0	0	0
Unencumbered Cash Balance	146,203	108,768	61,091	774	3,469	4,733	6,047

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Student Activities RF
 Legal Authority: 304A-2257, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): W
 Appropriation Acct. No.: S-317-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

Source of Revenues:

Fees collected, ticket sales and other related income.

Current Program Activities/Allowable Expenses:

Expenses necessary to offer activities and programs to the students.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in expenditures in FY 2016 is due to the decrease in overall student activities due to the decrease in student enrollment.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,400,355	2,000,355	2,370,355	2,370,355	2,370,355	2,370,355	2,370,355
Beginning Cash Balance	1,820,873	1,660,417	1,817,555	2,003,082	1,995,182	1,804,192	1,627,741
Revenues	1,725,290	1,827,773	1,786,037	1,654,378	1,644,850	1,677,747	1,711,302
Expenditures	1,887,811	1,670,635	1,600,510	1,648,977	1,835,840	1,854,198	1,872,740
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	2,065	0	0	(13,300)	0	0	0
Ending Cash Balance	1,660,417	1,817,555	2,003,082	1,995,182	1,804,192	1,627,741	1,466,302
Encumbrances	96,577	72,844	41,389	84,133	50,000	50,000	50,000
Unencumbered Cash Balance	1,563,839	1,744,711	1,961,693	1,911,050	1,754,192	1,577,741	1,416,302

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Hawaiian Language College RF
 Legal Authority: 304A-2270, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, revenues from the sale of Hawaiian language materials shall be deposited in this fund, and shall be expended to support the Hawaiian Language College at UH Hilo.

Source of Revenues:

Sales of published materials and other related items.

Current Program Activities/Allowable Expenses:

Expenses in support of the college's operation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

The FY 2016 increase in revenue is due to a higher demand for publications. The decrease in expenditures in FY 2016 is due to the majority of development and printing costs being incurred in the prior fiscal year. Expenditures increased in FY 2017 as the printing costs continued and salary requirements increased. The FY 2018 decrease in expenditures is a result of a small decline in activity as evidenced by the decline in revenue.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	122,135	122,135	152,135	152,135	152,135	152,135	152,135
Beginning Cash Balance	102,800	81,302	108,470	62,010	46,155	39,255	33,619
Revenues	76,896	92,421	99,993	89,300	70,100	72,904	75,820
Expenditures	98,393	65,253	146,453	105,156	77,000	78,540	80,111
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	81,302	108,470	62,010	46,155	39,255	33,619	29,328
Encumbrances	3,094	33,634	8,322	1,212	0	0	0
Unencumbered Cash Balance	78,208	74,836	53,688	44,943	39,255	33,619	29,328

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Conference Center RF - UH Hilo
 Legal Authority: 304A-2272, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): W
 Appropriation Acct. No.: S-317-F

Intended Purpose:

Per statute, all fees, charges, and other moneys collected in conjunction with the UH Hilo Conference Center program shall be deposited in this fund, and may be expended on costs associated with conducting conferences, seminars, and courses offered by the program.

Source of Revenues:

Conference fees and other related income.

Current Program Activities/Allowable Expenses:

Expenditures related to the administration of conferences, workshops, seminars and other educational activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3	3	3	3	3	3	3
Revenues	0	0	0	0			
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3	3	3	3	3	3	3
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3	3	3	3	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Real Property & Facilities Use RF
 Legal Authority: 304A-2274, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Activities of the Small Business Incubator and University Research Park is recorded in this fund.

Source of Revenues:

Rental income.

Current Program Activities/Allowable Expenses:

Expenses related to the oversight of the Small Business Incubator and University Research Park.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues and expenditures will fluctuate based on the number of tenants occupying the facilities during the course of the year.

The increase of expenditures in FY 2016 and FY 2017 were also due to needed repairs and maintenance.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,046	71,046	81,046	81,046	81,046	81,046	81,046
Beginning Cash Balance	154,384	169,162	193,084	201,411	196,365	133,274	67,439
Revenues	55,921	79,071	77,471	78,665	11,050	11,271	11,496
Expenditures	40,906	55,149	69,144	83,711	74,141	77,107	77,107
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(237)	0	0	0	0	0	0
Ending Cash Balance	169,162	193,084	201,411	196,365	133,274	67,439	1,828
Encumbrances	620	8,833	9,403	11,279	0	0	0
Unencumbered Cash Balance	168,542	184,251	192,008	185,086	133,274	67,439	1,828

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Parking RF
 Legal Authority: 304A-2275, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all fees, fines, or other moneys collected from a University parking facility may be deposited in this fund.

Source of Revenues:

Parking fees and fines.

Current Program Activities/Allowable Expenses:

Expenditures made in support of providing parking services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in the FY 2016 expenditures is due to the reduction of guard service at designated campus entrances.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	489,130	491,674	491,674	491,674	491,674	510,839	512,823
Beginning Cash Balance	141,303	126,705	183,750	245,403	282,114	326,113	368,644
Revenues	251,010	268,374	265,923	259,433	278,702	284,276	289,962
Expenditures	265,607	211,330	204,270	222,723	234,703	241,744	248,996
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	126,705	183,750	245,403	282,114	326,113	368,644	409,610
Encumbrances	26,609	100,946	26,538	17,156	25,000	25,000	25,000
Unencumbered Cash Balance	100,096	82,803	218,865	264,958	301,113	343,644	384,610

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: College Work Study Program
 Legal Authority: Federal Fund

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF): N
 Appropriation Acct. No.: S-266-F

Intended Purpose:

Fund is used to account for the allocation and expenditure related to our Federal Work Study program.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

Salaries for eligible student assistants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditures will fluctuate depending on the number of students working who qualify for the Federal Work Study program. Qualification is based on the student's financial need.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	443,962	443,962	443,962	443,962	443,962	443,962	443,962
Beginning Cash Balance	0	0	(16)	0	0	0	0
Revenues	326,400	275,289	341,314	283,985	300,509	300,509	300,509
Expenditures	326,400	275,305	341,298	283,985	300,509	300,509	300,509
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	(16)	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	(16)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Tuition & Fees SF (Regular Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Funds are used to pay for personnel and other expenditures to support the mission of the university.

Source of Revenues:

Revenues collected by the university for regular credit tuition, tuition-related course and fee charges, and any other charges to students.

Current Program Activities/Allowable Expenses:

To maintain and improve the university's programs, services, and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Higher than projected enrollment increased revenues in FY16 and 17. Enrollment increased by 9.2% from Fall 2015 to 2016 and 4.9% from Fall 2016 to 2017. In FY16 as permanent positions were filled, general fund shortfall for payroll was charged to TFSF as more faculty/lecturers were hired to keep up with the enrollment growth. In FY18, as general fund allocations rose only 2.6%, more personnel costs were assumed using tuition revenue, thus the increase in expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,500,958	15,456,479	15,767,979	16,500,000	16,111,810	16,358,810	16,358,810
Beginning Cash Balance	984,082	3,246,762	(2,064,099)	(1,996,284)	(1,226,126)	(709,127)	(155,070)
Revenues	12,682,401	14,091,424	16,120,348	16,438,283	16,767,049	17,102,390	17,444,438
Expenditures	4,414,754	7,381,256	7,076,341	8,449,200	8,533,692	8,619,029	8,705,219
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Service trans-out	(2,858,865)	(4,286,155)	(3,817,662)	(3,114,259)	(3,186,343)	(3,193,232)	(3,195,105)
Scholarship trans-out	(2,937,327)	(2,266,615)	(2,315,961)	(1,890,000)	(2,380,000)	(2,391,900)	(2,403,860)
EB-5 & Other System Assess.	(208,776)	(116,229)	(114,825)	(192,410)	(120,490)	(121,695)	(125,451)
Act 236		(5,352,029)	(2,724,475)	(2,022,257)	(2,029,526)	(2,222,478)	(2,478,578)
Net Total Transfers	(6,004,967)	(12,021,028)	(8,972,923)	(7,218,925)	(7,716,359)	(7,929,305)	(8,202,994)
Ending Cash Balance	3,246,762	(2,064,099)	(1,993,015)	(1,226,126)	(709,127)	(155,070)	381,155
Encumbrances	322,618	738,203	463,800	367,570	369,408	371,255	373,111
Unencumbered Cash Balance	2,924,144	(2,802,302)	(2,456,815)	(1,593,696)	(1,078,535)	(526,325)	8,044

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH West Oahu 304A-2153, HRS has two categories (Regular and Summer), with a total Unencumbered Cash Balance of \$54,494.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/29/18) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Tuition & Fees SF (Summer Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF): B
 Appropriation Acct. No.: S-335-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.
 To provide courses during the summer months to compliment the regular academic year calendar.

Source of Revenues:

Revenues collected for tuition and fee charges associated with summer courses.

Current Program Activities/Allowable Expenses:

To maintain and improve the university's programs, services, and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in enrollment for summer 2015 and 2016 generated higher than projected revenue and expenditures.
 In Summer 2015, portion of expenses charged to other funds. In FY16 & 17, increase in personnel costs to accommodate enrollment growth.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	1,828,127	2,411,201	2,828,427	2,499,910	1,648,189	1,078,535	526,325
Revenues	940,002	1,053,837	1,087,854	1,092,211	1,114,056	1,136,337	1,159,063
Expenditures	141,198	381,611	444,145	478,921	483,710	488,547	493,432
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Services			(467,890)	(911,002)	(950,000)	(950,000)	(950,000)
EB-5 Interest trans-out	(127,500)	(255,000)	(255,000)	(297,500)			
R&R Reserve			(250,000)	(250,000)	(250,000)	(250,000)	(250,000)
System Assessments	(88,231)		(2,605)	(6,510)			
Net Total Transfers	(215,731)	(255,000)	(975,495)	(1,465,012)	(1,200,000)	(1,200,000)	(1,200,000)
Ending Cash Balance	2,411,201	2,828,427	2,496,641	1,648,189	1,078,535	526,325	(8,044)
Encumbrances	0	5,400	0	0	0	0	0
Unencumbered Cash Balance	2,411,201	2,823,027	2,496,641	1,648,189	1,078,535	526,325	(8,044)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH West Oahu 304A-2153, HRS has two categories (Regular and Summer), with a total Unencumbered Cash Balance of \$54,494.
 Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/29/18) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Library SF
 Legal Authority: 304A-2155, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF): B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

To purchase/replace books, serials, periodicals, and to support library services.

Source of Revenues:

Fines, fees, and other revenue derived from UHWO Library operations.

Current Program Activities/Allowable Expenses:

To purchase, replace or repair library materials and to support and improve the services provided by the library.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in revenue in FY16 primarily due to increase sales of copy cards and collections of fines and penalties.

FY16 increase in expenditures due to professional development expenses and telcom fees. FY18 decrease in expenditures due to a decrease in travel expenditures and library acquisitions as compared to FY17.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	25,000	25,000	25,000	25,000	25,000
Beginning Cash Balance	19,273	18,211	13,666	10,435	10,098	8,559	7,755
Revenues	15,290	19,463	20,673	18,646	19,392	20,362	21,380
Expenditures	16,353	24,008	23,903	17,932	18,022	18,112	18,202
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	(1,052)	(2,909)	(3,054)	(3,207)
Ending Cash Balance	18,211	13,666	10,435	10,098	8,559	7,755	7,726
Encumbrances	4,185	6,313	5,214	6,709	6,776	6,844	6,912
Unencumbered Cash Balance	14,025	7,353	5,221	3,389	1,783	911	814

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Community Services SF
 Legal Authority: 304A-2156, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

Source of Revenues:

All revenues including interest, derived and collected from the university's provision of public service programs.

Current Program Activities/Allowable Expenses:

Expenses related to managing public service programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

In FY16, UHWO initiated non-credit programs such as Project Lead the Way (PLTW) and International Programs resulting in an increase in revenue and expenditures. Both programs continued to expand in FY17, reflecting an increase in revenues and expenditures. PLTW ceased in FY18, thus the decrease in revenue and expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	250,000	180,000	190,000	150,000	200,000	200,000
Beginning Cash Balance	103,073	39,507	95,737	84,687	44,819	23,121	8,128
Revenues	10,432	146,715	168,521	84,989	84,000	94,080	105,370
Expenditures	28,910	90,485	179,515	119,902	93,098	94,960	96,860
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
CLEAR Program transfer to RF	(45,089)						
Net Total Transfers	(45,089)	0	0	(4,955)	(12,600)	(14,112)	(15,805)
Ending Cash Balance	39,507	95,737	84,743	44,819	23,121	8,128	833
Encumbrances	8,833	37,808	10,202	549	600	700	700
Unencumbered Cash Balance	30,674	57,929	74,541	44,270	22,521	7,428	133

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Auxiliary Enterprises SF
 Legal Authority: 304A-2157, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To provide auxiliary services to students, faculty, staff and others

Source of Revenues:

All revenue to include interest, food services and vending machines

Current Program Activities/Allowable Expenses:

Expenses related to the management of auxiliary services

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in vending operations in FY2015 - FY2016 resulted in an increase in revenue and expenditures.

During FY17, campus selected a vendor to manage the beverage vending machines which resulted in less revenue and a decrease in expenditures to fill the machines. FY18 was the first full year utilizing vendors to manage vending services.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	75,000	25,000	25,000	25,000	25,000	25,000
Beginning Cash Balance	8,598	20,302	34,460	49,044	67,941	92,094	117,586
Revenues	21,686	25,864	24,750	12,994	13,644	14,326	15,043
Expenditures	9,982	11,706	10,222	105	5,000	6,000	6,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	6,007	15,509	17,166	19,012
Ending Cash Balance	20,302	34,460	48,988	67,941	92,094	117,586	145,641
Encumbrances	1,500	246	0	0	0	0	0
Unencumbered Cash Balance	18,802	34,214	48,988	67,941	92,094	117,586	145,641

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Scholarship & Assistance SF
 Legal Authority: 304A-2159, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF): B
 Appropriation Acct. No.: S-335-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

Scholarship accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Tuition scholarships to students attending the university

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in expenditures is related to the increase in scholarship awards and a higher distribution of scholarships based on tuition revenue.

FY18 did not award all of its financial scholarships, thus a decrease in expenditures.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,300,000	2,063,810	2,400,000	2,450,000	2,450,000
Beginning Cash Balance	(1,765,411)	(55,482)	(142,059)	(99,577)	(84,834)	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	1,227,398	2,353,192	2,273,479	1,875,257	2,380,000	2,391,900	2,403,860
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Scholarship trans-in from TFSF	2,937,327	2,266,615	2,315,961	1,890,000	2,464,834	2,391,900	2,403,860
Net Total Transfers	2,937,327	2,266,615	2,315,961	1,890,000	2,464,834	2,391,900	2,403,860
Ending Cash Balance	(55,482)	(142,059)	(99,577)	(84,834)	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(55,482)	(142,059)	(99,577)	(84,834)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH West Oahu SF
 Legal Authority: 304A-2166, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, proceeds of this fund shall be used for planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of UH West Oahu; and planning, land acquisition, design, improvement, and construction of infrastructure and other public or common facilities necessary for the development of the campus.

Source of Revenues:

Revenue derived from land sales and leases

Current Program Activities/Allowable Expenses:

Planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of the University of Hawai'i - West O'ahu in Kapolei, including infrastructure and other public or common facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Starting FY16, transferred deposits to the UH Real Property and Facilities Use revolving fund account. In FY17, utilized RF account (HRS 304A-2274) for expenditures. Minimal activity in FY18 as no land sales are anticipated.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000,000	200,000	2,000	2,000	90,000	0	0
Beginning Cash Balance	120,826	269,876	86,603	85,070	85,669	0	0
Revenues	4,050	(24,555)	273	599	0	0	0
Expenditures	0	158,717	1,807	0	85,669	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Services							
EB-5 Interest	(15,000)						
Emergency Siren	160,000						
Net Total Transfers	145,000	0	0	0	0	0	0
Ending Cash Balance	269,876	86,603	85,070	85,669	0	0	0
Encumbrances	190,482	1,807	0	0	0	0	0
Unencumbered Cash Balance	79,393	84,796	85,070	85,669	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
UH Revenue Undertakings SF (WO Campus
 Name of Fund: Development)
 Legal Authority 304A-2167.5, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To allow the university to develop the new campus in Kapolei.

Source of Revenues:

Proceeds from the sale of public lands, all net rents from leases, licenses, and permits; or all proceeds derived from the development rights of public lands.

Current Program Activities/Allowable Expenses:

Planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of the University of Hawai'i - West O'ahu in Kapolei including infrastructure and other public or common facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue generated equals share of interest income. Expenditures based on actual bond assessment and rating agent costs.

Starting FY17, campus has been contributing to a Repair & Replacement Reserve (transfer tuition revenue to Rev Undertaking account).

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000	1,000	2,500	3,000	7,000	10,000	10,000
Beginning Cash Balance	382,070	259	21	250,527	500,600	1,000,673	1,500,747
Revenues	259	278	503	2,760	2,788	2,815	2,844
Expenditures	3,231	3,154	2,603	5,152	5,204	5,256	5,308
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Rating Agent and Other Fees		2,638	2,605	2,465	2,490	2,515	2,540
Debt Services/BABS	(378,839)						
R&R Reserve			250,000	250,000	500,000	500,000	500,000
Net Total Transfers	(378,839)	2,638	252,605	252,465	502,490	502,515	502,540
Ending Cash Balance	259	21	250,527	500,600	1,000,673	1,500,747	2,000,822
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	259	21	250,527	500,600	1,000,673	1,500,747	2,000,822

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Henry Giugni Moving Image Archives SF
 Legal Authority: 304A-2180, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, any legislative appropriation, federal or private grants, and any other funds collected for the purposes of the 'Ulu'ulu: The Henry Giugni Moving Image Archive shall be deposited in this fund. Moneys shall be expended to support the activities of the moving image archive.

Source of Revenues:

State legislative appropriations, federal and private grants, and other funds.

Current Program Activities/Allowable Expenses:

Expenditures to support the activities of the moving image archive.

Purpose of Proposed Ceiling Adjustment (if applicable):

Act 90, SLH 2012 established this special fund without an appropriation.

Variances:

In FY2015, agreement signed to transfer \$500K to UHWO as part of Oceanic Cable franchise fee obligation to the State of Hawaii Cable Television Division. Revenue per Agreement: FY16 \$250K; FY17 \$200K; FY18 \$175K; FY19 \$150K; FY20 \$125K. Payroll and other costs incurred to support funding purpose. During FY17, a temporary position was created and filled thus the increase expenditures. FY18 reflects a full year of salary for this position.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000	500,000	200,000	200,000	200,000	210,000	210,000
Beginning Cash Balance	0	500,000	682,695	800,466	844,791	861,503	808,003
Revenues	500,000	250,000	200,000	175,000	150,000	125,000	0
Expenditures	0	67,305	82,229	130,675	133,288	178,500	178,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	500,000	682,695	800,466	844,791	861,503	808,003	629,503
Encumbrances	23,246	21,266	16,017	5,271	5,376	5,484	5,593
Unencumbered Cash Balance	476,754	661,429	784,449	839,520	856,127	802,519	623,910

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Research and Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY15 received one time \$65,000 for PV project, which remained encumbered at the end of FY15 and expended in FY16 thus the spike in expenditures. In FY17, new Chancellor transferred RTRF revenue to UHWO. An adjustment to the RTRF allocations going back to FY13 was made in FY18 and increased UHWO's overhead return. Increase in FY18 expenditures due to a higher RTRF award.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	225,000	225,000	300,000	400,000	400,000	400,000
Beginning Cash Balance	7,437	88,488	7,595	30,016	95,389	78,377	58,894
Revenues	148,595	140,203	190,521	292,561	252,988	255,518	258,073
Expenditures	61,642	221,136	168,100	227,189	270,000	275,000	280,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
RTRF Adjustment	(5,903)	40					
Net Total Transfers	(5,903)	40	0	0	0	0	0
Ending Cash Balance	88,488	7,595	30,016	95,389	78,377	58,894	36,967
Encumbrances	65,000	0	0	2,972	0	0	0
Unencumbered Cash Balance	23,488	7,595	30,016	92,417	78,377	58,894	36,967

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Transcript & Diploma RF
 Legal Authority: 304A-2256, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Source of Revenues:

Revenue is derived from fees collected for transcript and diploma requests.

Current Program Activities/Allowable Expenses:

Expenses related to managing the cost of transcripts and diplomas.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Increase in requests for transcripts and diplomas in FY2014 - FY2016 resulted in an increase in revenue.

Increase in student help payroll, printing costs and subscription costs led to an overall increase in expenditures in FY16. In FY18, created additional student help positions in the Records Office to assist with growing demands.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	50,000	70,000	75,000	100,000	100,000	100,000
Beginning Cash Balance	47,752	55,018	62,290	70,627	55,532	40,134	24,429
Revenues	22,826	25,837	28,378	27,913	28,471	29,040	29,621
Expenditures	15,561	18,565	20,041	43,008	43,868	44,746	45,641
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	55,018	62,290	70,627	55,532	40,134	24,429	8,410
Encumbrances	1,350	4,711	9,388	8,368	8,000	8,000	8,000
Unencumbered Cash Balance	53,668	57,580	61,239	47,163	32,134	16,429	410

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Student Activities RF
 Legal Authority: 304A-2257, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

Source of Revenues:

Funds assessed as compulsory student activity fees collected by the University of Hawai'i on behalf of chartered student organizations and student activity programs.

Current Program Activities/Allowable Expenses:

Expenses related to each respective chartered student organization or student activity program for any purpose which it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Effective Fall 2017 Distance Education students were charged a student fee of \$22/semester vs \$120/semester, thus the drop in revenue in FY18. Expenditures increased to provide services per new fee schedule and to serve the growing student population.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	815,000	815,000	883,139	883,139	883,139	883,139
Beginning Cash Balance	249,040	487,845	739,109	1,010,774	1,046,121	1,079,693	1,111,418
Revenues	572,633	603,312	655,147	496,367	503,812	511,369	519,040
Expenditures	333,828	352,048	383,482	461,019	470,240	479,644	489,237
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	487,845	739,109	1,010,774	1,046,121	1,079,693	1,111,418	1,141,220
Encumbrances	4,872	37,460	5,010	13,754	15,000	15,000	15,000
Unencumbered Cash Balance	482,973	701,649	1,005,764	1,032,367	1,064,693	1,096,418	1,126,220

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Center for Labor Education & Research RF - CLEAR
 Legal Authority: 304A-2267, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, all fees, charges, and other moneys collected in conjunction with the Center for Labor Education and Research shall be deposited in this fund, and expended to defray the cost of operating the CLEAR, excluding compensation of the permanent staff.

To provide labor-related education, labor-related research, and education services.

Source of Revenues:

Class fees and services to sustain the operation of the unit.

Current Program Activities/Allowable Expenses:

Funds are used to support the operational expenses for the Center for Labor Education and Research.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Increase in revenue and expenditures were higher than projected as CLEAR continues to provide services to the community. Increase in FY17 revenue due to collection of prior year's account receivable. In FY18, drop in revenue as a reflection of a decrease in classes.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	15,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	2,238	4,387	6,847	10,616	10,060	9,493	8,914
Revenues	6,632	7,803	11,330	6,543	6,674	6,808	6,944
Expenditures	5,574	5,343	7,561	7,099	7,241	7,386	7,534
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,091	0	0	0	0	0	0
Ending Cash Balance	4,387	6,847	10,616	10,060	9,493	8,914	8,324
Encumbrances	2,462	2,385	3,041	2,428	2,500	2,500	2,500
Unencumbered Cash Balance	1,925	4,462	7,576	7,632	6,993	6,414	5,824

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Real Property & Facilities Use RF
 Legal Authority: 304A-2274, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Source of Revenues:

All revenues collected for the use of university real property and facilities.

Current Program Activities/Allowable Expenses:

Expenses related to managing and operating university facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in revenue during FY 2016 received from external MOAs, concession contracts, and facilities use agreements. In FY18, received a one time retro payment. Expenses increased in FY17 to support campus facilities.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,420,000	900,000	875,000	785,000	660,000	660,000	660,000
Beginning Cash Balance	119,360	174,577	337,334	472,304	718,580	843,218	969,102
Revenues	181,117	259,915	269,139	392,873	272,700	275,427	278,181
Expenditures	107,184	97,161	134,169	146,597	148,063	149,543	151,039
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(18,716)	3	0	0	0	0	0
Ending Cash Balance	174,577	337,334	472,304	718,580	843,218	969,102	1,096,244
Encumbrances	26,386	19,309	24,303	56,990	58,000	58,000	58,000
Unencumbered Cash Balance	148,190	318,025	448,001	661,590	785,218	911,102	1,038,244

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Federal Work Study
 Legal Authority: Federal Fund

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF): N
 Appropriation Acct. No.: S-205-F

Intended Purpose:

To provide need-based financial aid to students through employment.

Source of Revenues:

Federal Work Study Program

Current Program Activities/Allowable Expenses:

Expenses related to providing students with financial aid through employment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Efforts in place to promote and encourage students to participate in the FWS program. Due to vacancies in the Financial Aid Office in FY17, not all work study awards were issued. Processes in place to ensure more students benefit from FWS.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	33,544	33,544	33,544	802,037	802,037	802,037	802,037
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	18,925	45,774	30,050	68,429	80,000	80,000	80,000
Expenditures	18,925	45,774	30,050	68,429	80,000	80,000	80,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: UH Tuition and Fees SF
 Legal Authority: 304A-2153, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) B
 Appropriation Acct. No. S-300-F

Intended Purpose:

The fund is used to account for revenues from tuition-related course and fee charges which are expended to maintain or improve the university's programs and operations.

Source of Revenues:

Revenues are from tuition-related course and fee charges collected by the University from students.

Current Program Activities/Allowable Expenses:

To recover part of the cost of instruction from resident and non-resident students in the form of tuition, late and change in registration fees, application fees, and out-of-state or non-resident fees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	76,183,293	76,201,367	76,230,929	76,230,929	76,697,038	76,697,038	76,697,038
Beginning Cash Balance	15,826,470	16,219,438	5,470,004	7,429,149	6,636,625	6,445,579	6,445,579
Revenues	66,258,757	67,058,990	65,335,477	62,038,982	62,014,760	63,255,055	63,255,055
Expenditures	59,140,477	52,428,782	53,251,874	55,505,225	58,392,523	59,632,818	59,632,818
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Act 236 transfer from UH System				23,936,964	25,224,781	23,203,731	17,369,398
2235192 Trf testing center revenue to CCSF Testing Center				(20,980)			
2300966 Trf to Hawai'i P20 for My Future Hawai'i				(100,000)			
2224502 Trf for debt service				(91,100)			
2230272 Trf for debt service				(296,076)			
2224502 Trf for debt service				(19,886)			
2301485 Trf to UH System for Kualifi				(167,134)			
2301486 Trf to UH System for Kualifi				(187,855)			
2301487 Trf to UH System for Kualifi				(75,422)			
2301493 Trf to UH System for Kualifi				(304,019)			
2301502 Trf to UH System for Kualifi				(120,444)			
2301515 Trf to UH System for Kualifi				(493,098)			
2301516 Trf to UH System for Kualifi				(435,309)			
2301485 Trf to UH System for UHCC Reserve				(59,085)			
2301486 Trf to UH System for UHCC Reserve				(69,579)			

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

2301487 Trf to UH System for UHCC Reserve				(43,562)			
2301493 Trf to UH System for UHCC Reserve				(4,129)			
2301502 Trf to UH System for UHCC Reserve				(70,506)			
2301515 Trf to UH System for UHCC Reserve				(116,795)			
2301516 Trf to UH System for UHCC Reserve				(95,666)			
2286922 Trf for debt service				(1,312,490)			
2221652 Trf to UH System for UH Foundation assessment				(512,665)			
2215392 Trf to UH System for eBuilders assessment				(51,385)			
2265142 Trf to UH System for NeoGov assessment				(49,833)			
2301485 Trf to UH System for Risk Mgmt assessment				(84,619)			
2301486 Trf to UH System for Risk Mgmt assessment				(24,981)			
2301487 Trf to UH System for Risk Mgmt assessment				(13,009)			
2301493 Trf to UH System for Risk Mgmt assessment				(82,783)			
2301502 Trf to UH System for Risk Mgmt assessment				(25,805)			
2301515 Trf to UH System for Risk Mgmt assessment				(62,872)			
2301516 Trf to UH System for Risk Mgmt assessment				(45,769)			
2226792 Trf to Manoa for Twillio project				(1,000)			
2230272 Trf for debt service				(6,437)			
2286922 Trf for debt service				(542,845)			
2224502 Trf for debt service				(8,225)			
2224502 Trf for debt service				(1,980)			
2224502 Trf for debt service				(14,162)			
2230272 Trf for debt service				(46,027)			
2224502 Trf for debt service				(3,824)			
2230272 Trf for debt service				(12,427)			
2301486 Trf to UH System for Presidents Emerging Leaders Prog				(1,000)			
2218872 Trf to UH System for Presidents Emerging Leaders Prog				(2,000)			
2232672 Trf to UH System for Presidents Emerging Leaders Prog				(1,000)			
2246482 Trf to UH System for Presidents Emerging Leaders Prog				(1,000)			
2218402 Trf to UH System for Presidents Emerging Leaders Prog				(2,000)			
2226672 Trf to UH System for Presidents Emerging Leaders Prog				(3,000)			
2221652 Trf to RUF for interest and Bond System expenses				(3,000)			
2300966 trf to UH System for centralization of financial aid project				(210,000)			
2224502 Trf to RUF for interest and Bond System expenses				(420)			
2230272 Trf to RUF for interest and Bond System expenses				(765)			
2301485 Trf to Univ Bond Sys Repair & Replacement Reserve				(140,496)			
Act 236 transfer to UH System				(25,224,781)	(23,203,731)	(20,991,635)	(15,157,302)
Net Transfer Projection (excl Act 236)					(5,834,333)	(5,834,333)	(5,834,333)
Net Total Transfers	(6,725,364)	(25,379,642)	(10,124,458)	(7,326,281)	(3,813,283)	(3,622,237)	(3,622,237)
Ending Cash Balance	16,219,386	5,470,004	7,429,149	6,636,625	6,445,579	6,445,579	6,445,579
Encumbrances	7,036,216	5,458,428	7,497,018	6,445,579	6,445,579	6,445,579	6,445,579

Report on Non-General Fund Information

for Submittal to the 2019 Legislature

Unencumbered Cash Balance	9,183,170	11,576	(67,869)	191,046	0	0	0
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Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Community Colleges 304A-2153, HRS ended FY 2017 with a total Unencumbered Cash Balance of \$191,046
 Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 06/29/18) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Community Colleges SF
 Legal Authority: 304A-2162, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) B
 Appropriation Acct. No. S-300-F

Intended Purpose:

Per statute, this fund was established to receive, disburse, and account for funds of programs and activities of the community colleges that help make available the resources of the community colleges to the communities they serve.

The fund is used to account for receipts and disbursements related to special programs and activities including off-campus programs, summer session programs, overseas program study abroad, exchange programs, cultural enrichment programs, and consultative services which help make available the resources of the community colleges to the communities they serve. Special fund deposits relate to a wide variety of programs and activities.

Source of Revenues:

Revenues are from fees for special programs and activities including off-campus programs, summer session programs, overseas programs, evening sessions, study abroad, exchange programs, cultural enrichment programs, and consultative services which help make available the resources of the community colleges to the communities they serve.

Current Program Activities/Allowable Expenses:

The fund is used to account for all costs associated with conducting special programs and activities such as non-credit continuing education programs, special community service programs, special credit programs, summer session, parking operation, facilities use, transcript and diploma services, library operation, vocational and technical projects, vending machine operation, and related support services and facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,049,621	22,049,621	22,049,621	23,536,988	23,212,895	23,212,895	23,212,895
Beginning Cash Balance	25,753,480	27,946,455	19,884,344	21,386,600	22,048,668	20,548,683	20,548,698
Revenues	18,329,237	17,354,509	18,629,257	18,364,241	18,875,276	18,875,276	18,875,276
Expenditures	17,104,334	15,544,706	15,995,088	16,946,451	19,752,686	18,252,686	18,252,686
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2302357 Trf testing center revenue from TFSF to CCSF Testing Center				20,981			
2301803 Admin assessment transfer from Conf Ctr RF				540			
2238122 Trf for debt service				(590,349)			
2261852 Distribution of royalties from UH System				6,429			
2238122 Trf to RUF for Hawaiian Telcom cabling for Culinary Institute of the Pacific				(137,119)			
2251932 Trf to Univ Bond Sys Repair & Replacement Reserve				(56,204)			
Net Transfer Projection					(622,575)	(622,575)	(622,575)
Net Total Transfers	968,072	(9,871,914)	(1,131,913)	(755,722)	(622,575)	(622,575)	(622,575)
Ending Cash Balance	27,946,455	19,884,344	21,386,600	22,048,668	20,548,683	20,548,698	20,548,713
Encumbrances	2,678,816	1,722,765	1,556,676	1,877,126	1,877,126	1,877,126	1,877,126
Unencumbered Cash Balance	25,267,639	18,161,579	19,829,924	20,171,542	18,671,557	18,671,572	18,671,587

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: University Revenue Undertakings Fund
 Legal Authority: 304A-2167.5, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) B
 Appropriation Acct. No. S-300-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Source of Revenues:

Revenues are all income, revenue, or moneys received by the university, including any appropriation related to university projects, university systems, or networks.

Current Program Activities/Allowable Expenses:

Funds are applied to costs of construction, operation, repair, and maintenance of university projects, systems, or networks; to pay for principal and interest on revenue or general obligation bonds; to reimburse the university for expenses related to issuance of revenue bonds; or to provide a reserve for renewal and replacement of university projects, systems, or networks.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Change in revenue is due to decrease in interest charges incurred during the fiscal year. \$4,641 of the expenses are an allocated share of University bond system expenses such as audit cost, rating agency fees, arbitrage report, and US Bank administration/paying agent fees. The expenses are for the Culinary Institute of the Pacific, the Waianae Education Center acquisition, the Palama Nui campus at West Hawai'i, and various energy conservation/alternative energy projects for all campuses. The remaining \$136,162 of the expenses are Hawaiian Telcom cabling charges for the Culinary Institute of the Pacific facility.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	184,559	184,559	184,559	184,559	42,543	42,543	42,543
Beginning Cash Balance	(66)	286	37,782	38,167	38,504	38,504	38,504
Revenues	(2,266)	(1,369)	(1,787)	(164)	0	0	0
Expenditures	3,309	76,390	69,829	140,803	36,426	36,426	36,426
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2276702 Trf from TFSF for interest and Bond System expenses				3,000	3,000	3,000	3,000
2271642 Trf from TFSF for interest and Bond System expenses				420	450	450	450
2300437 Trf from CCSF for Hawaiian Telcom cabling for Culinary Institue of the Pacific				137,119	32,226	32,226	32,226
2300471 Trf from TFSF for interest and Bond System expenses				765	750	750	750
Net Total Transfers	5,927	115,255	72,001	141,304	36,426	36,426	36,426
Ending Cash Balance	286	37,782	38,167	38,504	38,504	38,504	38,504
Encumbrances	0	37,352	37,352	37,352	37,352	37,352	37,352
Unencumbered Cash Balance	286	430	815	1,152	1,152	1,152	1,152

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: UH Commercial Enterprises RF
 Legal Authority: 304A-2251, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

The fund is used to account for receipts and disbursements related to commercial enterprise activities including sponsorship in private, cultural, and athletic performances, goods produced by university programs, or goods bearing the University logo.

Source of Revenues:

Revenues deposited into the fund are from the operation of commercial enterprises that are related and incidental to the primary purposes of the university, including private, cultural and athletic performances and the sale of goods produced by the university or goods bearing the university logo.

Current Program Activities/Allowable Expenses:

Funds are expended for all costs and expenses associated with the operations of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies and equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The revenue, expenditure, and transfer variances are attributable to the Leahi at the Parc restaurant at the Waikiki Parc Hotel. The revenue and expenditure amounts are restaurant sales and operational expenses. The restaurant opportunity became available as a result of a renovation delay at the hotel and was operated from May 2017 to December 2017. The restaurant is part of Kapi'olani CC's culinary program and provides students with classroom as well as practical experience in an operating restaurant. The restaurant operates as a concept kitchen, creating multiple dining concepts that will become the model for the forthcoming restaurant at the Culinary Institute of the Pacific.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	80,000	80,000	80,000	80,000	80,000	80,000	80,000
Beginning Cash Balance	46,891	46,998	47,091	544,363	168,927	48,927	48,927
Revenues	76	92	129,546	433,049	49,660	49,660	49,660
Expenditures	0	0	232,274	808,485	169,660	49,660	49,660
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	31	1	600,000	0	0	0	0
Ending Cash Balance	46,998	47,091	544,363	168,927	48,927	48,927	48,927
Encumbrances	0	0	25,231	2,635	2,635	2,635	2,635
Unencumbered Cash Balance	46,998	47,091	519,132	166,292	46,292	46,292	46,292

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Research & Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF): W
 Appropriation Acct. No.: S-380-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Revenues are from indirect overhead revenues generated by the University from research and training programs.

Current Program Activities/Allowable Expenses:

The fund is used to account for expenses related to cost sharing or matching requirements of grants or contracts, research or training seed money, travel grants for faculty and staff, start-up requirements, and operational expenses related to research and training at the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in revenue of \$917,661 is due to ending of a large multiyear Community College Career Training (C3T) grant (\$368,127), adjustment for difference between overhead allocation and actual overhead collections for FY2013-FY2017 (\$129,630), new assessment for Risk Management due to increase in legal fees (\$116,100), and fluctuation in spending on extramural awards which generate overhead collections (\$303,804).

The amount of overhead revenue from extramural awards varies from year to year depending on the number of extramural awards received for the year. In addition, the fluctuation in spending throughout the life of the award, and funding periods which may cross fiscal years, further contribute to annual revenue fluctuations.

Expenditures of overhead revenue generally increase or decrease with the level of revenue received. Expenditures declined in FY 2018 in response to the decrease in revenue. However the change in spending may not correspond exactly with the change in revenue as the timing of expenditures are governed by the timing of opportunities for new awards, by startup, cost sharing or matching requirements of existing awards, or by the need for other operational expenses in support of research or training at the Community Colleges.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,275,314	2,275,314	2,275,314	2,718,307	2,718,307	2,718,307	2,718,307
Beginning Cash Balance	1,262,414	2,440,709	2,930,088	2,549,967	2,071,782	1,471,782	1,471,782
Revenues	2,855,548	2,699,622	2,251,493	1,333,831	1,871,932	1,334,058	1,334,058
Expenditures	1,753,549	2,244,183	2,661,614	1,763,288	2,471,932	1,334,058	1,334,058
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3800855 Trf to UH System for EPSCORE project				(50,000)			
3385782 Trf from UH System for Hawai'i on the Hill project				1,272			
Net Total Transfers	76,296	33,940	30,000	(48,728)	0	0	0
Ending Cash Balance	2,440,709	2,930,088	2,549,967	2,071,782	1,471,782	1,471,782	1,471,782
Encumbrances	10,358	163,002	289,169	50,658	50,658	50,658	50,658
Unencumbered Cash Balance	2,430,351	2,767,086	2,260,798	2,021,124	1,421,124	1,421,124	1,421,124

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: UH Student Activities RF
 Legal Authority: 304A-2257, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

Source of Revenues:

Revenues are from compulsory student activity fees collected on behalf of chartered student organizations and student activity programs.

Current Program Activities/Allowable Expenses:

The fund is used to account for receipts and expenses associated with student oriented activities, programs, and services developed and implemented by chartered student organizations and student activity programs such as student government, student publications, social or cultural activities, honor society activities, new student orientation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,739,439	1,739,439	1,739,439	1,789,875	1,789,875	1,789,875	1,789,875
Beginning Cash Balance	1,865,968	1,922,903	2,097,216	1,960,142	1,816,456	1,816,456	1,816,456
Revenues	1,733,030	1,714,558	1,536,006	1,430,504	1,611,028	1,611,028	1,611,028
Expenditures	1,676,095	1,540,245	1,673,080	1,574,190	1,611,028	1,611,028	1,611,028
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,922,903	2,097,216	1,960,142	1,816,456	1,816,456	1,816,456	1,816,456
Encumbrances	124,994	148,527	117,602	54,943	54,943	54,943	54,943
Unencumbered Cash Balance	1,797,909	1,948,689	1,842,540	1,761,513	1,761,513	1,761,513	1,761,513

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Community College Conference Center RF
 Legal Authority: 304A-2273, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF): W
 Appropriation Acct. No.: S-380-F

Intended Purpose:

Per statute, this fund was established for conference center programs conducted by the various community colleges. The fund is used to account for receipts and disbursements related to conferences, seminars, and courses.

Source of Revenues:

Revenues are from fees paid by participants and/or sponsors for conferences, seminars, and courses.

Current Program Activities/Allowable Expenses:

The fund is used to account for receipts and expenses associated with conducting conference center activities, including but not limited to, expenses for honoraria, hotel and room rentals, food and refreshment, printing and mailing, airfare and per diem, leis, rental of audio visual equipment, and conference supplies and materials.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Increase in revenue and expenditures are the result of larger or higher number of conferences during FY 2018. The magnitude of the fluctuations from year to year vary based on the number, size, duration, and complexity of the conferences. Timing differences between the collection of conference fees and disbursement of funds for conference arrangements which cross fiscal years may also contribute to differences in the level of revenues and expenditures between fiscal years.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	950,000	950,000	950,000	950,000	950,000	950,000	950,000
Beginning Cash Balance	860,725	901,273	682,705	707,395	741,039	641,039	541,039
Revenues	619,415	586,505	285,025	322,978	248,213	248,213	248,213
Expenditures	578,867	600,461	275,467	288,794	348,213	348,213	248,213
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3330802 Admin assessment trf to CCSF				(540)			
Net Total Transfers	0	(204,612)	15,132	(540)	0	0	0
Ending Cash Balance	901,273	682,705	707,395	741,039	641,039	541,039	541,039
Encumbrances	31,309	37,597	40,871	42,629	42,629	42,629	42,629
Unencumbered Cash Balance	869,964	645,108	666,524	698,410	598,410	498,410	498,410

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Federal Work Study Program
 Legal Authority: Federal Fund

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) N
 Appropriation Acct. No. S-200-F

Intended Purpose:

The fund is used to account for receipts and disbursement related to the Federal Work Study Financial Aid Program.

Source of Revenues:

Revenues are from the US Department of Education, which reimburses the University for student wages paid to students who qualify for financial aid under the Federal Work Study Program.

Current Program Activities/Allowable Expenses:

The fund is used to account for reimbursements from the US Department of Education and disbursements for student wages paid to students who qualify for financial aid under the Federal Work Study Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The Federal Work Study program is a need based financial aid program in which the US Department of Education reimburses the university for a portion of student wages paid to students who qualify. Revenues and expenditures are based on the number of students who qualify and the amount of financial aid each student can receive which may vary from year to year depending on the financial resources of individual students.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	540,927	540,927	540,927	540,927	540,927	540,927	540,927
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	425,956	366,615	384,964	333,515	391,334	391,334	391,334
Expenditures	425,956	366,615	384,964	333,515	391,334	391,334	391,334
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Carl D. Perkins Voc & Applied Technology Act of 1990
 Legal Authority: 304A-2403, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF): N
 Appropriation Acct. No.: S-200-F

Intended Purpose:

The fund is used to account for receipts and disbursements related to the Federal Perkins Vocational and Applied Technology Program which provides funding for courses directly related to preparing individuals for employment in current or emerging occupations requiring other than a baccalaureate or advanced degree. Programs include competency-based applied learning which contributes to an individual's academic knowledge, higher-order reasoning, problem-solving skills, and the occupational-specific skills.

Source of Revenues:

Revenues are from the US Department of Education, which reimburses the University for disbursements related to the Federal Perkins Vocational and Applied Technology Program.

Current Program Activities/Allowable Expenses:

The fund is used to maintain, extend, improve, and develop new vocational education programs at the community college campuses. Allowable expenses include occupationally-relevant equipment, vocational curriculum materials, materials for learning labs, curriculum development or modification, staff development, career counseling and guidance activities, efforts for academic-vocational integration, supplemental services for special populations, hiring vocational staff, remedial classes, and expansion of tech prep programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,887,369	3,887,369	3,887,369	3,887,369	3,887,369	3,887,369	3,887,369
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,881,405	3,179,811	2,329,352	2,412,003	2,663,194	2,663,194	2,663,194
Expenditures	2,881,405	3,179,811	2,329,352	2,412,003	2,663,194	2,663,194	2,663,194
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-881
 Name of Fund: State Aquarium SF
 Legal Authority: 304A-2165, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-304-F

Intended Purpose:

Per statute, all revenues derived from all fees for admission and all fees for the use of the aquarium facilities and programs collected in conjunction with the operation of the state aquarium shall be deposited in this fund.

The purpose of this fund is to support the operations of the Waikīkī Aquarium by providing a fund to receive revenue and process expenditures that occur in the daily operations.

Source of Revenues:

Revenues are derived from admission fees, education program fees, rental of the facility, and other miscellaneous activities that occur at the Aquarium site.

Current Program Activities/Allowable Expenses:

Expenses are incurred for the general operations of the Aquarium including salaries of non-general funded employees.

Funds are also used to assist in the maintenance of the Waikīkī Aquarium facility as needed.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

FY 2018 cash balance deficiency due to mechanical failures and increased water charges

Revenue variance 10.4% attributed to increased facility rental and possible increase in fee's

Expenditure variance due to increased mechanical failures and utilities

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141
Beginning Cash Balance	170,818	173,761	83,087	291,054	(38,187)	(0)	(0)
Revenues	2,578,805	2,606,500	2,876,841	2,735,465	2,800,000	3,000,000	3,100,000
Expenditures	2,575,862	2,697,174	2,668,874	3,064,706	3,000,000	3,000,000	3,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3400346					238,187		
Net Total Transfers	0	0	0	0	238,187	0	0
Ending Cash Balance	173,761	83,087	291,054	(38,187)	(0)	(0)	(0)
Encumbrances	65,642	80,585	129,019	90,302	50,000	50,000	50,000
Unencumbered Cash Balance	108,119	2,502	162,035	(128,489)	(50,000)	(50,000)	(50,000)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-881
 Name of Fund: UH Commercial Enterprises RF (Hanauma Bay Gift Shop)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F (FYs 2015-2018)
S-342-F (FY 2019 -)

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Fund is established for the operation of the Hanauma Bay gift shop including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

Revenue is earned through sales of marine-related merchandise at the Hanauma Bay gift shop.

Current Program Activities/Allowable Expenses:

Expenses are incurred for the general operations of the gift shop, including salaries of non-general funded employees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The Aquarium assumed operations of the Gift Shop January 2018 resulting in the revenue and expenditure variances

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	996,499	996,499	996,499	996,499	996,499	996,499	996,499
Beginning Cash Balance	106,551	81,194	45,185	19,766	346,535	508,348	908,348
Revenues	156	113	80	552,955	800,000	820,000	840,000
Expenditures	25,512	36,123	25,498	226,186	400,000	420,000	440,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2214002					(238,187)		
Net Total Transfers	0	0	0	0	(238,187)	0	0
Ending Cash Balance	81,194	45,185	19,766	346,535	508,348	908,348	1,308,348
Encumbrances	68,367	38,071	11,368	17,607			
Unencumbered Cash Balance	12,828	7,114	8,399	328,928	508,348	908,348	1,308,348

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Innovation and Commercialization Initiative SF
 Legal Authority: 304A-1953, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, moneys in this fund may be expended for costs and expenses associated with the innovation and commercialization initiative program. The fund was established to promote, sponsor, and participate in the transformation of the products of its research and instructional activities into viable economic enterprises, and may create, finance, and participate in organizations that contribute to the economic development and workforce diversification of the State using University research and personnel.

Source of Revenues:

Repayment of loans, investment earnings, venture agreements, royalties, premiums or fees charged by the University.

Current Program Activities/Allowable Expenses:

Expenditures related to the innovation and commercialization initiative programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance		0	0	0	33	78,697	98,697
Revenues				(253,967)	805,706	849,878	849,878
Expenditures				0	797,164	900,000	900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	254,000	70,123	70,123	70,123
Ending Cash Balance	0	0	0	33	78,697	98,697	118,697
Encumbrances				105,048	0	0	0
Unencumbered Cash Balance	0	0	0	(105,015)	78,697	98,697	118,697

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Risk Management Special Fund
 Legal Authority: 304A-2151, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, moneys in this fund may be expended for costs and expenses associated with the administration and operation of the risk management program.

To pay for settlements and judgments, claims, insurance premiums, legal fees & costs for the University of Hawai'i .

Source of Revenues:

Assessment of the campuses within the University system.

Current Program Activities/Allowable Expenses:

To pay for costs and expenses associated with the administration and operation of the Risk Management program, including but not limited to, insurance premiums, retention payments, claims administration & operation, settlements, payment of judgments, other obligations and legal fees and costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

This is not a revenue generating account, but revenues in FY 2015 through FY 2018 were due to settlements in the University's favor. FY 2015 revenues were augmented with insurance proceeds received from the fire settlement. Similarly, revenues in FY 2018 were augmented with a settlement for the Pacific Ocean Sciences and Technology building recovery costs. Expenditures will vary from year to year depending on how many legal claims of loss and settlements are received for that year. FY 2018 variance in expenditures was attributed to a reimbursement of legal fees from the prior year.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance	5,212,971	6,104,192	5,686,968	3,747,808	5,572,675	3,957,922	3,988,827
Revenues	1,919,938	107,000	94,417	992,744	2,000	0	0
Expenditures	1,862,027	2,459,968	3,962,897	1,090,127	3,539,003	1,893,701	1,809,060
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
*See below							
Net Total Transfers	833,309	1,935,744	1,929,320	1,922,250	1,922,250	1,924,607	1,923,036
Ending Cash Balance	6,104,192	5,686,968	3,747,808	5,572,675	3,957,922	3,988,827	4,102,803
Encumbrances	1,646,866	1,171,075	1,081,654	1,492,053	1,248,261	1,273,989	1,338,101
Unencumbered Cash Balance	4,457,326	4,515,894	2,666,154	4,080,622	2,709,661	2,714,838	2,764,702

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Transfers: \$1,922,250 transfer-in from the campuses for the annual Risk Management Special Fund assessment.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Tuition & Fees Special Fund
 Legal Authority: 304A-2153, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF): B
 Appropriation Acct. No.: S-340-F

Intended Purpose:

By statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Late registration fees, interest income, transfers.

Current Program Activities/Allowable Expenses:

Funds are expended to maintain and improve the University's programs and operations, including a variety of personnel, operating, equipment and utility expenses; funds are also used for promoting alumni relations and generating private donations for deposit into the University of Hawai'i Foundation for the purposes of the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Revenues are derived from late registration fees, tuition payment plan fees, and interest income. Revenues rose markedly in FY 2017 and FY 2018 due to interest income growth from higher interest rates, notably on new CD investments, and investments in government obligations that yield higher interest rates. Due to insufficient General Funds in FY 2015, the Tuition & Fees Special Fund was utilized to satisfy rising expenditures in growing Systemwide programs. Expenditures are projected to rise from FY 2018 to FY 2020 due to a new document imaging project and payments on prior year encumbrances.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000,000	15,000,000	15,000,000	15,000,000	7,250,000	7,250,000	7,250,000
Beginning Cash Balance	7,614,207	7,767,702	105,121,164	127,554,755	139,348,358	142,664,475	146,830,155
Revenues	821,903	963,008	1,579,286	2,413,269	2,441,650	2,447,711	2,471,650
Expenditures	9,255,888	6,846,379	7,756,078	10,353,099	12,688,344	10,775,204	10,616,385
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
*See below							
Net Total Transfers	8,587,480	103,236,833	28,610,382	19,733,433	13,562,810	12,493,173	12,221,947
Ending Cash Balance	7,767,702	105,121,164	127,554,755	139,348,358	142,664,475	146,830,155	150,907,367
Encumbrances	3,747,594	3,955,710	6,663,645	4,496,432	3,415,766	3,400,000	3,400,000
Unencumbered Cash Balance	4,020,108	101,165,454	120,891,111	134,851,926	139,248,709	143,430,155	147,507,367
		**	**	**	**	**	**

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Transfers: \$3,000,000.00 transfer-in for the UH Foundation Assessment, \$5,693,182.00 transfer-in for the Banner Assessment, \$23,488,782.71 transfer-in from UH programs; \$1,581,899.96 transfer-out for debt service; and \$10,866,631.83 transfer-out to UH programs.

** Includes 304A-2153, HRS unencumbered cash balances from all campuses, transferred to UOH-900 at the end of FY pursuant to Act 236, SLH 2015.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Systemwide Information Technology Services SF
 Legal Authority: 304A-2154, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, revenues collected from users of information technology services and any user fees shall be deposited in this fund, and used in support of systemwide information technology and services including personnel, equipment costs, and other expenses, as well as planning, design, and implementation of information technology infrastructure within the university.

Source of Revenues:

Recharge of UH departments for software licenses purchased and made available by ITS for distribution systemwide.

Current Program Activities/Allowable Expenses:

Purchase of site licenses and payroll cost for administrative support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in FY 2016 revenue is due to a contract payment received once every five years.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000
Beginning Cash Balance	2,264,272	1,870,518	3,745,433	3,783,661	4,412,441	4,436,580	4,426,580
Revenues	1,502,674	2,765,633	1,955,217	2,264,545	2,205,419	2,200,000	2,200,000
Expenditures	1,896,428	1,464,844	1,949,701	1,650,644	2,181,280	2,210,000	2,210,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	574,125	32,713	14,879	0	0	0
Ending Cash Balance	1,870,518	3,745,433	3,783,661	4,412,441	4,436,580	4,426,580	4,416,580
Encumbrances	222,123	673,564	405,789	480,848	300,000	300,000	300,000
Unencumbered Cash Balance	1,648,396	3,071,869	3,377,872	3,931,593	4,136,580	4,126,580	4,116,580

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Auxiliary Enterprises SF
 Legal Authority: 304A-2157, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Revenues collected shall be deposited into this fund and shall be expended for the costs of providing support for the Manoa and Kaka'ako animal facilities.

Source of Revenues:

Revenues are from biomedical and behavioral research contract and grants. Researchers who house their animals at the Animal and Veterinary Services Program pay a per diem for each animal that the staff cares for, and for any technical or veterinary services provided.

Current Program Activities/Allowable Expenses:

Any expenses necessary to provide animal housing and husbandry for biomedical research and the systemwide administration and oversight for the care and use of vertebrate animals at the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			2,200,000	2,200,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance		0	0	(56,991)	(13,422)	(9,524)	(5,626)
Revenues			735,087	899,407	924,000	924,000	924,000
Expenditures			864,547	855,863	920,102	920,102	920,102
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	72,469	24	0	0	0
Ending Cash Balance	0	0	(56,991)	(13,422)	(9,524)	(5,626)	(1,728)
Encumbrances			41,832	14,476	0	0	0
Unencumbered Cash Balance	0	0	(98,823)	(27,898)	(9,524)	(5,626)	(1,728)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Scholarship & Assistance Special Fund
 Legal Authority: 304A-2159, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF): B
 Appropriation Acct. No.: S-340-F

Intended Purpose:

The fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawai'i. It is administered pursuant to the authority of the Board of Regents, as specified under section 304A-501, HRS.

Source of Revenues:

Revenues deposited into this fund include, but are not limited to, state, federal, and private funds, funds transferred by the University from the Tuition and Fees Special Fund pursuant to 340A-2153, HRS, and appropriated for any scholarship program, including, but not limited to, the B Plus Scholarship program.

Current Program Activities/Allowable Expenses:

Funds are used for the B Plus Scholarship program, including scholarships to students and expenses necessary to administer the program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

B+ Scholarships are awarded before each academic year. However, eligibility of students from year to year will vary, and those that are on this scholarship may lose eligibility if their grades are not maintained, they change to part-time, or drop out of school.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	3,636,908	3,341,872	3,370,451	3,379,631	3,389,810	3,399,522	3,409,222
Revenues	9,777	8,589	9,270	10,179	9,712	9,700	9,700
Expenditures	3,304,813	2,980,011	3,000,090	3,000,000	3,000,000	3,000,000	3,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
*See below							
Net Total Transfers	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Ending Cash Balance	3,341,872	3,370,451	3,379,631	3,389,810	3,399,522	3,409,222	3,418,922
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3,341,872	3,370,451	3,379,631	3,389,810	3,399,522	3,409,222	3,418,922

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Transfers: \$3,000,000 transfer-in from FY 2018 appropriated General Funds for the B Plus Scholarship Program under Act 213, Sec 94, SLH 2007.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: University Revenue Undertakings Fund (UHRUF Admin)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Administration of the University Revenue-Undertakings Fund and the University Bond System (UBS). Directs, manages, and oversees UBS projects including: (1) budget preparation, (2) generation and accounting of revenues, and (3) expenditure control.

Source of Revenues:

Interest Income, transfer from Tuition & Fees, and transfer from Department of Health for Series 2002A and Refunding Series 2006A revenue bond debt service (Sect. 328L, HRS). Revenue also received from assessments.

Current Program Activities/Allowable Expenses:

Develops and implements policies, rules, and procedures to ensure the self-financing nature of bond projects. Prepares prospectus and financing models; compiles and analyzes financial data relating to the sale of university revenue bonds; administers the service of debt; and performs required post-issuance financial disclosures and federal tax compliance on issued revenue bonds. UBS covers the following projects: UH Manoa Student Housing, UH Manoa Faculty Housing, UH Manoa Campus Center, UH Manoa Parking Operations, UH Manoa Food Service, University Bookstores System, UH Hilo Student Housing, UH Hilo Food Service, and Telecommunications System.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues are primarily derived from interest income. Revenue rose in FY 2017 due to interest on Series 2015E funds. Revenue rose in FY 2018 from Revenue Bond Series Issuance. Expenditures are decreasing beginning in FY 2018 due to a change in source of funds for payroll costs. FY 2018 transfer is from the reserve account to cover operating expense deficits.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,188,272	10,188,272	10,188,272	10,188,272	200,000	200,000	200,000
Beginning Cash Balance	1,321,987	1,098,900	907,077	596,945	1,430,875	1,435,575	1,440,275
Revenues	4,742	4,399	39,074	150,095	12,000	12,000	12,000
Expenditures	227,829	410,516	312,272	111,398	7,300	7,300	7,300
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	214,294	(36,933)	795,233	0	0	0
Ending Cash Balance	1,098,900	907,077	596,945	1,430,875	1,435,575	1,440,275	1,444,975
Encumbrances	94,074	109,678	28,745	70,389	0	0	0
Unencumbered Cash Balance	1,004,826	797,399	568,200	1,360,486	1,435,575	1,440,275	1,444,975

Additional Information:

Amount Req. by Bond Covenants	1,476,957	1,479,263	1,479,263	1,479,263	1,479,263	1,479,263	1,479,263
Amount from Bond Proceeds		214,294		138,122			
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: University Revenue Undertakings Fund (ITS Building)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.
 To provide for all costs of construction, operation, repair and maintenance of the ITS Building.

Source of Revenues:

Transfer from Tuition & Fees, interest income.

Current Program Activities/Allowable Expenses:

All costs related to construction and operation of the ITS Building, including the repayment of revenue bonds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variations:

Expenditures are set by an amortization schedule. Interest income revenues fluctuate with interest rates and balance.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500	1,500	1,500	1,500	1,500	1,500	1,500
Beginning Cash Balance	0	(11)	(0)	(0)	(2)	(2)	(2)
Revenues	(117)	110	5	48	0	0	0
Expenditures	1,407	1,547	1,220	2,183	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,512	1,448	1,214	2,133	0	0	0
Ending Cash Balance	(11)	(0)	(0)	(2)	(2)	(2)	(2)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(11)	(0)	(0)	(2)	(2)	(2)	(2)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: University Revenue Undertakings Fund (Sinclair Basement)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide for all costs of construction, operation, repair and maintenance of the Sinclair Basement renovation.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Transfers from Research and Training Revolving Fund. Expenditures related to the construction, operation, repair, and maintenance of the Sinclair Basement renovation, including bond agency fees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,500	1,500	1,500	1,500
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	0	0	0
Expenditures				309	400	400	400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	309	400	400	400
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH CIP Project Assessment Special Fund
 Legal Authority: 304A-2172, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF): B
 Appropriation Acct. No.: S-340-F

Intended Purpose:

By statute, this fund was established to defray costs involved in 1) carrying out capital improvements program (CIP) projects managed by the University; 2) equitably assessing, collecting, and distributing moneys for current and other expenses associated with CIP projects, repair and maintenance projects, and major renovation projects; 3) managing the payment of expenses assessable against CIP projects managed by or through the University; and 4) managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the CIP projects managed by the University.

Source of Revenues:

Assessments on capital improvements program projects, repair and maintenance projects and major renovation projects managed by the University.

Current Program Activities/Allowable Expenses:

Personnel costs, printing, employee transportation requirements, project-related travel costs, travel per diem, car mileage, and other expenses incurred in carrying out the CIP projects managed by the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenses for this fund are directly correlated to CIP projects. Therefore, it will vary depending how many projects are currently managed, and where in the construction project you are at will drive expense.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	350,000	350,000	350,000	350,000	350,000	350,000	350,000
Beginning Cash Balance	201,710	175,735	152,679	118,751	219,317	269,077	318,837
Revenues	0	0	20,000	103,000	100,000	100,000	100,000
Expenditures	25,975	23,056	53,928	2,435	50,240	50,240	50,240
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	175,735	152,679	118,751	219,317	269,077	318,837	368,597
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	175,735	152,679	118,751	219,317	269,077	318,837	368,597

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Green Special Fund
 Legal Authority: 304A-2181, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF): B
 Appropriation Acct. No.: S-340-F

Intended Purpose:

By statute, this fund was established to support energy efficiency, renewable energy, and sustainability projects and services.

Source of Revenues:

Savings realized by the University from energy conservation measures; investment earnings, gifts, donations, or other income received by the University; any rebates, grants, or incentives associated with energy conservation measures; capital appropriations for energy conservation measures; until June 30, 2020, funds from any special or revolving fund established to fund energy conservation measures projects at the University; and proceeds from University revenue bonds.

Current Program Activities/Allowable Expenses:

To support energy efficiency, renewable energy, and sustainability projects and services including personnel, equipment costs, project costs, and other expenses, as well as planning, design, and implementation of sustainability projects for the benefit of the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				250,000	250,000	250,000	250,000
Beginning Cash Balance		0	0	0	5,936	5,936	5,936
Revenues				47,250	0	0	0
Expenditures				41,314	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	5,936	5,936	5,936	5,936
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	5,936	5,936	5,936	5,936

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Commercial Enterprises Revolving Fund
 Legal Authority: 304A-2251, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF): W
 Appropriation Acct. No.: S-355-F

Intended Purpose:

By statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

For the operation of commercial enterprises by University programs, including the formal licensing program for the commercial use of the University's names and trademarks, the purchasing card program, the hosting of IT workshops and trainings, and other services provided by UH. To develop technologies which have potential commercial value, support the administration of technology transfer activities and facilitate economic development through education and research undertaken at the University for the Office of Technology Transfer and Economic Development (OTTED).

Source of Revenues:

Revenues are generated through the assessment of licensing royalties and other various program fees, including registration fees.

Revenue proceeds also taken from the technology services that generate commercial value through education and research, license issue fees, maintenance fees, and reimbursements.

Current Program Activities/Allowable Expenses:

Current Commercial Enterprise activities conducted by UH system programs include the operation and monitoring of the Collegiate Licensing program and the University's P-Card Operations program. OTTED assesses the commercial potential of new inventions, secures intellectual property rights with commercial potential, and markets and licenses those inventions to the industry. Allowable expenses include expenditures for the associated operating expenses of the various programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

SLH2015, Act 106 repealed the Discoveries and Inventions Special Fund effective July 1, 2015. Discoveries and Inventions accounts were created in the UH Commercial Enterprises Revolving Fund, HRS304A-2251 and revenues and expenditures were moved from Special to Revolving Funds, resulting in the variances in FY 2016. Revenues and expenditures will also vary greatly depending on how many patents are applied for and how many are sold.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000
Beginning Cash Balance	1,952,122	2,065,916	2,252,109	2,478,168	2,555,128	2,532,696	2,534,704
Revenues	707,474	1,185,800	1,056,400	834,232	923,635	976,385	994,235
Expenditures	761,279	703,495	748,984	591,829	770,924	864,692	882,542
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	167,599	(296,112)	(81,356)	(165,443)	(175,143)	(109,685)	(108,656)
Ending Cash Balance	2,065,916	2,252,109	2,478,168	2,555,128	2,532,696	2,534,704	2,537,741
Encumbrances	313,007	243,530	314,005	199,388	0	0	0
Unencumbered Cash Balance	1,752,909	2,008,579	2,164,164	2,355,741	2,532,696	2,534,704	2,537,741

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

NOTE: Auxiliary Services CE accounts being reported in System through FY2012; FY2013 and forward will be reported in Manoa.

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Research and Training Revolving Fund
 Legal Authority: 304A-2253, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) W
 Appropriation Acct. No. S-355-F

Intended Purpose:

By statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University. The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Indirect cost overhead support will fluctuate with increases or decreases in the number of extramural grants serviced by the University.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,000,000	13,000,000	13,000,000	13,000,000	13,000,000	13,000,000	13,000,000
Beginning Cash Balance	(597,145)	553,759	(800,941)	2,980,540	6,586,719	6,414,085	6,363,692
Revenues	13,051,406	13,151,409	19,582,662	20,489,642	17,231,400	17,500,000	17,500,000
Expenditures	11,758,665	14,538,476	15,419,802	15,955,218	14,705,231	12,894,131	12,921,696
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(141,837)	32,367	(381,379)	(928,245)	(2,698,803)	(4,656,262)	(4,647,250)
Ending Cash Balance	553,759	(800,941)	2,980,540	6,586,719	6,414,085	6,363,692	6,294,746
Encumbrances	1,560,030	2,231,007	1,591,682	1,471,890	760,400	500,000	500,000
Unencumbered Cash Balance	(1,006,271)	(3,031,948)	1,388,858	5,114,829	5,653,685	5,863,692	5,794,746

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Real Property & Facilities Use Revolving Fund
 Legal Authority: 304A-2274, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF): W
 Appropriation Acct. No.: S-355-F

Intended Purpose:

By statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

The fund was established for the use of University real property and facilities, including the sale, lease, or use of University real property and facilities, which include land, buildings, grounds, furnishings, and equipment.

Source of Revenues:

Inter-departmental transfers and transfers.

Current Program Activities/Allowable Expenses:

Allowable expenses including the payment of the costs of operating University facilities, including maintenance, administrative expenses, salaries, wages and benefits, contractor services, supplies, security, equipment, insurance, utilities and other operational expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues in FY 2015 and FY 2016 are derived from interest income, which fluctuate based on interest rates and balances. Revenue and transfer variances in FY 2017 and FY 2018 were due to an account that rightfully should have been reported under UOH-100. Account to be closed in FY 2019.

Expenditures decreased in FY 2017 due to personnel vacancy; however the position was filled in FY 2018.

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	175,000	175,000	175,000	175,000	175,000	175,000	175,000
Beginning Cash Balance	414,387	443,566	503,332	675,309	701,792	722,578	742,165
Revenues	628	838	111,362	(104,731)	4,160	4,160	4,160
Expenditures	190,450	171,172	103,500	164,871	225,674	226,873	226,873
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	219,000	230,100	164,116	296,084	242,300	242,300	254,000
Ending Cash Balance	443,566	503,332	675,309	701,792	722,578	742,165	773,452
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	443,566	503,332	675,309	701,792	722,578	742,165	773,452

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Professional Student Exchange Program RF
 Legal Authority: 304A-2277, HRS

Contact Name: N/A
 Phone: N/A
 Fund type (MOF): W
 Appropriation Acct. No.: N/A

Intended Purpose:

The purpose of this fund is to support the professional student exchange program's activities, including the provision of financial support to participants at Western Interstate Commission on Higher Education receiver institutions; and enforce the collection of delinquent obligations.

Source of Revenues:

Principal and interest on loan repayments generated from student exchange programs

Current Program Activities/Allowable Expenses:

No funds have been appropriated nor revenues generated from these programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2019 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Carl D. Perkins Career & Technical Education
 Legal Authority: 304A-2403, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) N
 Appropriation Acct. No. S-225-F

Intended Purpose:

Funds used for the administration and supervision of the State's Career & Technical Education Program as funded under the Carl D. Perkins Education Act of 2006.

Source of Revenues:

Federal appropriation.

Current Program Activities/Allowable Expenses:

Statewide leadership and planning, policy implementation, administration and supervision, technical assistance, and State level coordination and evaluation of services and activities delineated in the State Plan for Career & Technical Education/Personal Services, Other Current Expenses, and Equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	909,175	950,311	957,327	1,094,875	1,094,875	1,094,875	1,094,875
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	285,409	265,802	192,984	186,631	384,999	384,999	384,999
Expenditures	285,409	265,802	192,984	186,631	384,999	384,999	384,999
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							