# THE THIRTIETH LEGISLATURE **APPLICATION FOR GRANTS**

CHAPTER 42F, HAWAII REVISED STATUTES

Operating	Capital	Type of Grant Request:				
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Legal Name of Requesting Organization or Individu	ıal: Dba:					
West Hawaii Veterans' Council, Inc	THE RESERVE TO THE PARTY OF THE					
Amount of State Funds Red	quested: \$ <u>2,000,000.</u>					
Brief Description of Request (Please attach word document to back of page if extra space is needed): These funds will be used to complete phase one of Veterans' Center. This phase includes: site preparation and parking area. There are six phases in all to complete the construction of the facility, they include a theater, office spaces, meeting rooms, recreation rooms, and a teaching kitchen.						
Amount of Other Funds Available:	Total amount of State Grants	Received in the Past 5				
State: \$ Fiscal Years:						
Federal: \$	\$ Unrestricted Assets:					
County: \$	\$					
Private/Other: \$	Ψ					
New Service (Presently Does Not Exist):	Existing Service (Present	tly in Operation):				
Type of Business Entity:	Mailing Address:	, , ,				
501(C)(3) Non Profit Corporation	Mailing Address: 68-3575 W. Ma	Kuahine St.				
Other Non Profit	City: Stat					
Other	Waikolog Hi	I 96738				
Contact Person for Matters Involving this Application						
Name: Bob Strickland	Title: President					
Email: earlstrick@aol.com	Phone: 808-769-4870	· · · · · · · · · · · · · · · · · · ·				
Federal Tax ID#:	State Tax ID#					
Bob Strickland, President 1-15-19  Authorized Signature Name and Title Date Signed						

# **Application Submittal Checklist**

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

\	
\D	1) Certificate of Good Standing (If the Applicant is an Organization)
थ	2) Declaration Statement
A	3) Verify that grant shall be used for a public purpose
7	4) Background and Summary
W	5) Service Summary and Outcomes
D	<ul> <li>6) Budget</li> <li>a. Budget request by source of funds (<u>Link</u>)</li> <li>b. Personnel salaries and wages (<u>Link</u>)</li> <li>c. Equipment and motor vehicles (<u>Link</u>)</li> <li>d. Capital project details (<u>Link</u>)</li> <li>e. Government contracts, grants, and grants in aid (<u>Link</u>)</li> </ul>
U	7) Experience and Capability
ण	8) Personnel: Project Organization and Staffing



## **Department of Commerce and Consumer Affairs**

#### CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

WEST HAWAII VETERANS' COUNCIL, INC.

was incorporated under the laws of Hawaii on 04/27/2011; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: January 04, 2019

Catanit. Owal Color

**Director of Commerce and Consumer Affairs** 

West Hawaii Veterans Council, Inc.

# **Application for Grants**

If any item is not applicable to the request, the applicant should enter "not applicable".

## I. Certification – Please attach immediately after cover page

#### 1. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2018.

#### 2. Declaration Statement

The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes. (Link)

#### 3. Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. (Link)

# II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

- 1. A brief description of the applicant's background;
- 2. The goals and objectives related to the request;
- 3. The public purpose and need to be served:
- 4. Describe the target population to be served; and
- Describe the geographic coverage.

# III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

- 1. Describe the scope of work, tasks and responsibilities;
- 2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;
- 3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and
- 4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

## IV. Financial

#### **Budget**

- 1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
  - a. Budget request by source of funds (Link)
  - b. Personnel salaries and wages (Link)
  - c. Equipment and motor vehicles (Link)
  - d. Capital project details (Link)
  - e. Government contracts, grants, and grants in aid ( $\underline{\text{Link}}$ )
- 2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2020.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant

- 3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2020.
- 4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

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- 5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2020 for program funding.
- 6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2018.

## V. Experience and Capability

### 1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

#### 2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

# VI. Personnel: Project Organization and Staffing

## 1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

# 2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

## 3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, <u>not</u> employee name.

# VII. Other

## 1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

#### 2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

#### 3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see <u>Article X, Section 1, of the State Constitution</u> for the relevance of this question.

#### 4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2019-20 the activity funded by the grant if the grant of this application is:

- (a) Received by the applicant for fiscal year 2019-20, but
- (b) Not received by the applicant thereafter.

## DECLARATION STATEMENT OF APPLICANTS FOR GRANTS PURSUANT TO CHAPTER 42F, HAWAI'I REVISED STATUTES

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

(Typed Name of Individual or Organization)

Bol-Studtond (Date)

(Signature)

(Date)

(Typed Name)

(Title)

# **BUDGET REQUEST BY SOURCE OF FUNDS**

Period: July 1, 2019 to June 30, 2020

App West Hawaii Veterans Council, Inc.

BUDGET CATEGORIES	3	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST  1. Salaries  2. Payroll Taxes & A  3. Fringe Benefits  TOTAL PERSONNEL					
B. OTHER CURRENT E  1. Airfare, Inter-Islar  2. Insurance  3. Lease/Rental of E  4. Lease/Rental of S  5. Staff Training  6. Supplies  7. Telecommunication  8. Utilities  9  10  11  12  13  14  15  16  17  18  19  20	EXPENSES ad equipment epace				
TOTAL OTHER CUR C. EQUIPMENT PURCH					
D. MOTOR VEHICLE PU					
E. CAPITAL		2,000,000			
TOTAL (A+B+C+D+	E)				
	unds Requested Funds Requested Funds Requested	2,000,000	Signature of Authorized Office	ial	808-769-4870 Phone (S -) Q Date
TOTAL BUDGET 2,000,000			Bob Strickland President  Name and Title (Please type or print)		

West Hawan Veterans Conneil, Inc.

#### **Background and Summary**

The applicant is the West Hawaii Veterans Council (WHVC), a 501c3 consisting of Organizational members (American Legion, Veterans of Foreign Wars, Disabled American Veterans and others) and individual members of the Community. The WHVC addresses Veteran issues, initiatives, projects and problems that are important to the West side of the Island of Hawaii.

The goal of this request is to justify and acquire funds to construct the West Hawaii Veterans Community Center (CVC) which is in the planning phase. The WHVC will be responsible for management of the construction and will be responsible for managing the operation as this project is phased in over several years.

The CVC is a component in a proposed West Hawaii Veterans Center Complex (WHVCC) located in West Hawaii that will house a not-for-profit privately-operated CVC providing social, meeting, counselling services for Hawaii's Veterans as well as meeting, educational, performance and local food business incubator facilities for the West Hawaii Community. There is potential that the following VA facilities: Veterans Affairs-funded Veterans Center (VAVC), Community-Based Outpatient Clinic (COBC) and offices for the State of Hawaii Office of Veterans Services OVS could be located across the street from the CVC.

The WHVC will need to obtain a long-term lease for the property that the CVC will be built on.

West Hawall Veterans Cornei) INC

#### Service, Summary and Outcomes

Scope of Work will include construction management of each phase of this project. This will require scheduled construction progress reviews, inspections, change order reviews and approvals, and strict adherence to construction specification and requirements. A plan for quality assurance will be developed. Disbursement of funds to the contractor will be based on the terms of payment in the contract.

Each phase of this project will have an appropriate timeline for advertising, award and execution. If the first phase is awarded in FY2020, we would anticipate additional phases each succeeding Fiscal year.

The quality assurance and evaluation plans for this request have not been developed but will be completed with assistance from the design team before the project is awarded. The applicant has a management team of 4 people (qualifications addressed in Section V and VI.) In addition, the State Department of Defense, Engineering Office (HIENG) will provide assistance as required. This should allow us to monitor and evaluate to insure quality results.

## **BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS**

Period: July 1, 2019 to June 30, 2020

Applicant:	West Hawaii Vete	erans Council, Inc	•			
	1	FUNDING AMOUN	T REQUESTED			
TOTAL PROJECT COST	ALL SOURCE RECEIVED IN	S OF FUNDS PRIOR YEARS	STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING R SUCCEEDI	EQUIRED IN NG YEARS
	FY: 2017-2018	FY: 2018-2019	FY:2019-2020	FY:2019-2020	FY:2020-2021	FY:2021-2022
PLANS	185000					
LAND ACQUISITION						
DESIGN	965000					
CONSTRUCTION			2000000		2000000	2000000
EQUIPMENT						
TOTAL:	1150000		2,000,000		2,000,000	2,000,000

*	Act 134/13 Item F-3	Act 53/18 Ilem F-4	Note: 1) WAVC, Planning DAGS John 11-14-485K (300K)
P	100 K	85 K	2) Design Linds (835 K) to be requested by 6/35/19
D	200 X	765K	2) Design Lunds (835 K) to be requested by 6/35/19 (lapse date 6/36/20)
			9 Application for Grants
LOTAL	300 K	850K	

We are requesting construction funds on a phasing basis. Total cost of construction is estimated to be 12M.There will be 3 phases at 4M each.

JUSTIFICATION/COMMENTS:

West Howan Veterans Council INC

#### **Experience and Capability**

We have established a team of four members that will have primary responsibility for this construction project. Three of these members were deeply involved in the initial development of this project to include the Business Plan, Project Development Report, Site Selection, Environmental Assessment and will soon be involved in the design. We have some local construction/engineer experienced people to help. Again, HIENG will be available on request.

Team Leader: Robert Strickland is currently the President of the WHVC. He has been a self-employed financial and tax professional for over 30 years. He has been a part time CFO for clients in a variety of businesses, which included financial planning, budgeting, insurance risk management, and human resources. Has a tax preparers license in California. Holds a Bachelor of Science in Accounting.

Member: Jim Traxler has been the Director of Public Works for 13 years at two Army Posts and the City of Santa Fe, NM. He served 20 years in the Army Corps of Engineers. He has experience with Military Construction projects and municipal projects, many of which were multi-million dollar projects. He has a Masters in Industrial Engineering.

Member: Nick Traxler has been in the restaurant business for 12 years and was a major contributor to the development of our business plan. He is presently relocating his restaurant in Kona to a Community Center Complex that he will be responsible to operate both his Restaurant and the many non-profit activities to include theaters, meeting rooms, arts and crafts, etc. He is dealing with several small construction projects. This is similar in many respects to how our CVC will operate in the future. Nick has a BS in Education.

Member: Dave Bateman is a retired Military Attorney and is in the Coffee Business in Kona. He has extensive business experience and has expanded his business significantly over the past few years. He has first hand knowledge and experience with construction projects.

The Hualalai Community Center (Member Nick Traxler is the Manager) will be available for this team with adequate meeting space, computers and copy machines.

West Hawan Veterans Council Inc

Personnel: Project Organization and Staffing

The staffing for the service proposed in this application consists of a Team Leader and 3 members identified in Section V. In addition to their experience and capability as addressed in Section V, all members of the team are experienced supervisors, and are experienced in training and providing administrative direction.

The Team leader will be the responsible supervisor for all members and potential additional volunteers for this effort. This team will add volunteers with appropriate skills as necessary and as stated before, utilize HIENG expertise as necessary.

This applicant organization consists of all volunteers and no salaries or wages are anticipated.