

**THE THIRTIETH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES**

Type of Grant Request:

Operating Capital

Legal Name of Requesting Organization or Individual: DbA:

Waiohuli Hawaiian Homesteaders Association, Inc.

Amount of State Funds Requested: \$ 184,700.00

Brief Description of Request (Please attach word document to back of page if extra space is needed):

Requesting Grant In Aid funding for operations and management of Waiohuli's community center and park facilities, which in turn will support and solidify economic initiatives for homestead and surrounding communities in Kula, Maui, Hawaii.

Amount of Other Funds Available:

State: \$ 0
Federal: \$ 0
County: \$ 0
Private/Other: \$ 0

Total amount of State Grants Received in the Past 5 Fiscal Years:

\$ 1,010,000

Unrestricted Assets:

\$ 21,380.45

New Service (Presently Does Not Exist): Existing Service (Presently in Operation):

Type of Business Entity:

501(C)(3) Non Profit Corporation
 Other Non Profit
 Other

Mailing Address:

P.O. Box 698
City: Kula State: HI Zip: 96790-0698

Contact Person for Matters Involving this Application

Name:
Perry O. Artates

Title:
President

Email:
perryartates@gmail.com

Phone:
808.357.0831

Federal Tax ID#:

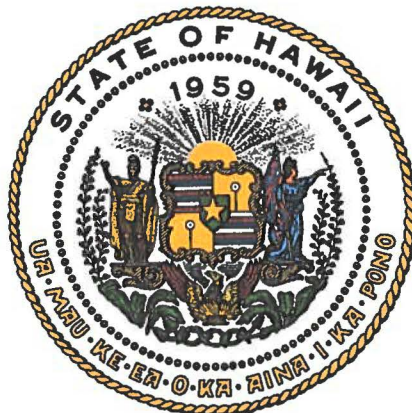
State Tax ID#


Authorized Signature

Perry O. Artates, President
Name and Title

1-17-19
Date Signed

received
1/17/19 2:06p JFR



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

WAIQHULI HAWAIIAN HOMESTEADERS ASSOCIATION, INC.

was incorporated under the laws of Hawaii on 07/06/1999 ;
that it is an existing nonprofit corporation; and that,
as far as the records of this Department reveal, has complied
with all of the provisions of the Hawaii Nonprofit Corporations
Act, regulating domestic nonprofit corporations.



IN WITNESS WHEREOF, I have hereunto set
my hand and affixed the seal of the
Department of Commerce and Consumer
Affairs, at Honolulu, Hawaii.

Dated: January 14, 2019

Director of Commerce and Consumer Affairs

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAI'I REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.

- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.

- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Waiohuli Hawaiian Homesteaders Association, Inc.

(Typed Name of Individual or Organization)


(Signature)

1-17-19

(Date)

Perry O. Artates

President

(Typed Name)

(Title)

3. Public Purpose

Waiohuli Hawaiian Homesteaders Association, Inc. (WHHA) attests that this Grant in Aid Request for Operations will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. If awarded, the Grant will address a multitude of economic development, cultural and social needs of the Waiohuli/Keokea homesteads and the greater Kula community by providing programs and equipment that complement Waiohuli's existing facilities to meet these needs.

Waiohuli Hawaiian Homesteaders Association, Inc.

(Typed Name of Individual or Organization)


(Signature)

1-17-19
(Date)

Perry O. Artates

President

(Typed Name)

(Title)

Application Submittal Checklist

The following items are required for submittal of the grant application. Please verify and check off that the items have been included in the application packet.

- 1) Certificate of Good Standing (If the Applicant is an Organization)
- 2) Declaration Statement
- 3) Verify that grant shall be used for a public purpose
- 4) Background and Summary
- 5) Service Summary and Outcomes
- 6) Budget
 - a) Budget request by source of funds ([Link](#))
 - b) Personnel salaries and wages ([Link](#))
 - c) Equipment and motor vehicles ([Link](#))
 - d) Capital project details ([Link](#))
 - e) Government contracts, grants, and grants in aid ([Link](#))
- 7) Experience and Capability
- 8) Personnel: Project Organization and Staffing



AUTHORIZED SIGNATURE

PERRY O. ARTATES, PRESIDENT

PRINT NAME AND TITLE

1-17-19

DATE

Application for Grants

I. Certification – Attached immediately after cover page.

1. Certificate of Good Standing (attached; dated January 14, 2019)
2. Declaration Statement (attached)
3. Public Purpose (attached)

II. Background and Summary

1. A brief description of the applicant's background.

The history of the Waiohuli Hawaiian Homesteaders Association, Inc. (WHHA) goes back to 1986 when the original lease awards were given by the Department of Hawaiian Home Lands (DHHL) under its accelerated program. At that time, the initial new homesteaders got together to begin discussing their community and its future.

In 1999, lessees created the Waiohuli Hawaiian Homesteaders Association as a nonprofit incorporated in the State of Hawaii to serve residents in the Kula Residence Lots subdivision (Units 1 and 2). It received a federal 501(c)(3) tax exemption designation in 2005. The WHHA was organized and established to . . . “unite under the mana of all Hawaii Nei, to protect, preserve, and defend the Hawaiian Homes Land Trust as defined in the Hawaiian Homes commission Act of 1920, as amended, and combine our efforts for the rehabilitation and betterment of all Native Hawaiian Homeland beneficiaries.” Waiohuli residents believe that . . . “Waiohuli, our ‘aina, is born of pohaku laid upon each other with visions and dreams for our children and generations to come. We are a thriving community that is industrious and grows from a solid foundation of neighbors.”

The work of WHHA is guided by the following values that “reflect the way people in the community treat each other, influence the decisions we make, guide the programs we create, and embody our expectations for the future. In other words, these values represent what we, the Waiohuli homesteaders believe and live by”:

mālama – caring	laulima – cooperative
maka‘ala – vigilant	palekana – safe
ho‘ihi – respectful	ho‘o mo‘olelo – perpetuating our culture
ho‘olawa pono – self-sustaining	‘onipa‘a – steadfast
kupono – honest	ha‘aha‘a – humble

Since formalizing their goals and values, WHHA’s Board of Directors, along with key community leaders, have undertaken multiple community projects and initiatives as volunteers, using mentoring-partnerships with selected contractors, professionals and community small businesses. This synergy has been successful so far and WHHA intends to continue with this strategy to build capacity within its community to take on future endeavors of economic self-sufficiency.

2. The goals and objectives related to the request.

In 2005, the WHHA created a strategic plan for its community. The overarching goals of the Waiohuli Hawaiian Homesteaders Association Strategic Plan include:

- *Prosperity* – to grow wealth and cultural awareness for present and future generations.
- *Responsibility* – to fulfill our civic responsibilities through a sense of pride in self, place, and community.
- *Security* – to be a community where people feel safe and respected.
- *Communication* – to promote open dialogue and sharing of mana‘o among residents.

To achieve these goals, WHHA has worked to create a Community Center and Park. Beginning with a desire to provide a focal point for its growing community, the visionaries in the community began by listening to residents about their needs and opportunities and how a community center could serve them and beneficiaries yet to arrive. Similar to a *pueo*, the Community Center and Park are intended to look upon the community as a protector of families, provide for the sustainability of the community while offering a gathering place for social interaction, recreation and education.

The community provided input into the overall site design in two charrette sessions in 2005 and 2006. Utilizing the goals, objectives and guiding principles established during the planning process, the following major themes guided the establishment of the Community Center and Park:

- *Interconnection with Adjacent Community* – The Community Center and Park will address the needs of the community that cannot be met at home. In doing so, the design of the site must be an extension of the community – it must be rural and Hawaiian.
- *Identify & Merge the “Spiritualness” of the Site & the Values of the Community* – The Community Center and Park must respect the cultural significance of the site including the presence of *pueo*, archaeological resources and site orientation to traditional navigation routes.
- *Visual Connection with the Community* – The site will illuminate the physical relationships within the homestead community that will subtly affect the perception of the landscape. The critical foundation is the feeling of openness and continuation of the mauka to makai slope.

Waiohuli Community Center

October 2012



The following principles have guided the concept design of the facility:

- The dominant site characteristics are the sloping topography and the expansive views.
- The cultural conditions of the project are the recognition of the past, the requirements of the present, and the promise of the future for the Hawaiian people.
- The ambition for the current design is to respond directly to the physical and cultural conditions with simplicity and sensitivity.

WHA has focused on developing a Community Center and Park that will accommodate opportunities for events, offices and programs, areas for social and cultural organizations, child care, passive activity and active recreation while incorporating economic sustainability.

To meet these needs, the Community Center and Park include the following venues, which have already been completed, are in the works or pending future financing:

COMPLETED VENUES:

- **Certified Commercial Kitchen** - In 2014, WHHA completed construction of its certified commercial kitchen to prepare food and products for small businesses, private and community functions. It will also include an imu for traditional cultural practitioners.
- **Passive Recreation and Agriculture** – The initial open areas have been established. An open space for walking and initial community garden has been set up.
- **Spiritual Context-Hawaiian Cultural Zone** - A "Polynesian" hale has been completed and serves as the focal point for cultural exchange. Constructed by multiple Pacific Island ethnicities, the "hale" has already hosted cultural awareness classes, hula halau collaboration, and is the entry point for the site's major archeological site.

- **Amphitheater** – The outdoors, natural-terrain amphitheater has been completed. This venue will allow for fee-based performances as well as cultural events.
- **Active Recreation Field** – An area for sports and seating for up to 200 spectators.

VENUES IN PROGRESS:

- **Ancillary Spaces.** This includes additional public bathrooms.
- **Expansion of existing events facilities,** including open patio extension and wastewater upgrades for increasing certified commercial kitchen use.
- **Expansion of the parking area** to accommodate a growing number of facility users.
- **Health and wellness features** that include native gardening education, use and production as well a multi-station fitness trail throughout the park.
- **Improvements to overall site accessibility,** including ADA access to primary site venues.

FUTURE VENUES:

- **A larger, self-contained kitchen** will support community activities.
- **Ancillary Spaces.** This includes administrative offices meeting rooms and additional public bathrooms.
- **Preschool.** A minimum of 10,000 square feet is set-aside for this purpose.
- **Function Hall.** A hall to accommodate up to 600 people with a stage area. The Function Hall can be subdivided to provide multiple spaces. The hall can serve as a temporary disaster shelter.
- **Recreation and Activity Spaces.** Indoor and outdoor facilities that encourage intergenerational activities for families. This will include a 25-meter swimming pool with a separate keiki pool.

3. The public purpose and need to be served.

Waiohuli Homestead is located on DHHL's Kula lands of 6,112 acres on the slopes of Haleakala. There are three homestead areas under development: (1) the Kula Residence Lots sub-division; (2) the Waiohuli Undivided Interest subdivision; and (3) the Keokea Farm Lots. Together, these areas total about 800 homestead lots. DHHL has long-range conceptual plans for about 1,100 more residential lots in the area below the current developments.

The June 2010 *Keokea-Waiohuli Regional Plan* developed by DHHL incorporated input from homestead residents over several meetings. At the conclusion of these community meetings, construction of a Community Center to address the needs of a growing population was one of the top priorities.

The Community Center will address a multitude of recreation, economic development, cultural and social needs of the Waiohuli/Keokea homesteads and the greater Kula community by providing facilities and programs to meet these needs.

4. Describe the target population to be served.

Waiohuli Homestead is located on DHHL's Kula lands of 6,112 acres on the slopes of Haleakala offering tremendous opportunities for homesteading, farming and commercial programs.

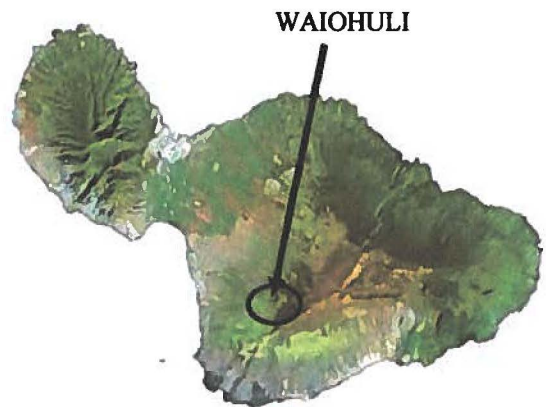
DHHL continues to develop three primary homestead areas: (1) the Kula Residence Lots sub-division; (2) the Waiohuli Undivided Interest subdivision; and (3) the Keokea Farm Lots. Fully developed, this area would provide 800 homestead lots.

The Kula Residence Lots subdivision is located in the northern portion of the Kēōkea-Waiohuli homestead area. The subdivision includes a total of 420 lots developed to Rural Residential half-acre standards. Positioned between the Kula area's Waiakoa and Kēōkea districts, Waiohuli is located in a mixture of agricultural and small country town areas experiencing growth in rural home sites and subdivisions.

The Waiohuli Community Center and Park will serve the residents, farmers and small entrepreneurs within the Kula Community. Based on the 2010 Census, the population of Kula was 6,452. The Waiohuli and Keokea Homestead areas alone are projected to provide over 750 single-family dwellings. Of these, 300+ homes have been built and occupied. Additionally, the Land Use Commission recently approved the development of 116 units within the Kula Ridge development at Waiakoa.

5. Describe the geographic coverage.

The project is in State Senatorial District 7 and State House of Representatives District 12. It is within the Maui County Community Plan Region 5. The project's location is part of the Kula region and along with the adjacent regions of Makawao and Pukalani is commonly called "Up-Country" Maui. The area is in Federal Census Tract 30301.



Officially identified as Unit I of the Waiohuli Subdivision of the Department of Hawaiian Home Lands Kula Project, the Waiohuli Homestead is a part of a 6,112-acre parcel located on the western slopes of Haleakala on the Island of Maui. This DHHL Kula Project master planned community is comprised of urban, rural residential and agricultural neighborhoods along with ancillary uses that provide community facilities, which normally support residential and agricultural neighborhoods.

The project site is on property owned by the DHHL and situated West (makai) of Lau'ie Place in Unit I of the Waiohuli Subdivision of Phase 1 of the Kula Residential Lots project which are part of the Kēōkea-Waiohuli Homesteads.

III. Service Summary and Outcomes

1. Describe the scope of work, tasks and responsibilities.

The Waiohuli Community Center and Park Master Plan is a multi-use and multi-generational complex consisting of opportunities for events, offices and programs, areas for social and cultural organizations, childcare, passive activity and active recreation while incorporating economic sustainability. While development is “in-progress”, the Community Center and Park complex has also served as a temporary relief disaster shelter for not only homesteaders but also the greater community of Kula and stranded visitors while in transit between Hana to the rest of Central Maui.

Previous phases include development of the Master Plan in 2005, construction of a 656 square foot certified commercial kitchen with a 984 square foot trellised lanai, a 1,152 square foot Hale Halawai as the central facility for the “cultural zone”, native garden and paved parking. Completed improvements also includes the amphitheater along with a passive recreation area, which has attracted numerous wedding venues.

This Operational Grant request of \$184,700 will solidify and expand the economic viability of the Community Center and Park. The proposed request includes:

- Cost of project management services to consult with WHHA in evaluating and securing mentoring partnerships from which WHHA will learn and eventually self-manage. Desired partnerships include marketing, events facilitation, asset management, health and wellness, particularly for elderly, small business food-prep, all aspects of farming and future-projects private financing opportunities. Having experienced successes to date, WHHA will continue to develop its capacity and expertise by outsourcing initial partnerships that support mentorship.
- Cost of equipment that support and maintain current and future venues while providing for economic growth and community benefits.
- Administrative costs related to increased insurance and utilities anticipated from program acceleration and facilities expansion, accounting and legal services.

As it has successfully done with past funding, WHHA will outsource project management services to facilitate service delivery. Through past experience, WHHA understands its oversight responsibilities of and through project management while minimizing micro-managing that usually cause project delays. WHHA is set up to track and execute all deliverables of an approved grant and able to support all selected services to assure the timely expenditure of grant funding and a successful project.

2. Provide a projected annual timeline for accomplishing the results or outcomes of the service.

If funding from this request for State of Hawai`i Grant-In-Aid application is awarded,

- During the first six months after the receipt of funding, WHHA will hire project management, who will be responsible for overall implementation. WHHA will advise and work with project management to determine program services and define deliverables and timelines in order to achieve desired project results. Selection and purchase of equipment and required insurances will also be done during this period.
- During the next six months after funding is received, WHHA will continue to work with project management in contracting for services, including establishing performance measurements, particularly for mentorship.
- At twelve to fifteen months after funding is received, WHHA will continue to work with project management in evaluating contracted services and purchases for desired results.
- Concurrently throughout the fifteen-month period, WHHA will continue basic revenue-generating opportunities from rentals of the commercial kitchen, amphitheater and wedding venues at the passive recreation area.

Timeline Schematic

0-6 months	7-11 months	12-15 months
Project manager is hired; desired program services identified; equipment purchased, and insurance costs addressed		
	Performance measurements established; desired program services contracted	
		Evaluation of services to assure desired results achieved
WHHA to maintain basic revenue-generating programs.		

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results.

As it has been successful in the past, the WHHA Board of Directors will continue to use its established practices, policies and procedures for the recruitment, review and selection of all services and contractors hired for this phase of project.

WHHA will hire project management, who will be responsible for meeting the timelines and activities described in this application. The project management’s scope includes the following responsibilities:

- Work with the WHHA Board of Directors to recruit, review and select professional services for this project.
- Regularly monitor contractor work performance and work quality.
- Manage all project costs and the project budget.
- Identify issues and take corrective action(s) as needed to maintain the integrity of the project and the WHHA.
- Provide regular reports to the Board of Directors.
- Comply with grant reporting requirements of expending State agency.

The WHHA board of directors will oversee the progress and results of the project manager and ensure that activities are conducted in accordance with this application and

are utilizing Best Practice Management Standards. Reports to the board of directors by the project manager will be provided on a monthly basis. The board of directors will be responsible for the following:

- Establish and implement policies and procedures for the solicitation and hiring of contractors and vendors.
- Monitor compliance with these policies and procedures.
- Monitor the project budget. Review and approve financial reports and ensure financial records are in place.
- Identify issues and concerns and take corrective action(s) as needed.
- Provide required reports on GIA expenditures to the State’s expending agency.

Reports to the community and members of the WHHA will be provided by the board of directors on a semi-annual basis and describe the benchmarks accomplished and results achieved.

4. **List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. (Please note that if the level of appropriation differs from the amount included in this application that the measures(s) of effectiveness will need to be updated and transmitted to the expending agency.)**

Timeframes	Deliverables
1 – 6 months	<ul style="list-style-type: none"> • Hiring and procurement policies and procedures in place. • Project management hired. • Desired program services identified • Equipment purchases • Insurance purchases • Quarterly reports providing project progress and financial expenditures to date.
7 – 11 months	<ul style="list-style-type: none"> • Performance measurements established • Desired program services contracted • Quarterly reports providing project progress and financial expenditures to date.
12 – 15 months	<ul style="list-style-type: none"> • Evaluation of services to assure desired results achieved. • Quarterly reports providing project progress and financial expenditures to date.

IV. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.

- Budget request by source of funds – PAGE 6 attached.
- Personnel salaries and wages – PAGE 7 attached. NO salaries / wages being requested.
- Equipment and motor vehicles – PAGE 8 attached.
- Capital project details – PAGE 9 attached. NO capital project funding being requested.
- Government contracts, grants and grants in aide – PAGE 10 attached.

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2020.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$60,700.00	\$60,000.00	\$50,000.00	\$14,000.00	\$184,700.00

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2020.

WHHA will continue to explore other funding opportunities and submit applications as these opportunities are identified. At this time, there are no other funding resources.

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

WHHA received \$500,000.00 from State Grant In-Aid in 2017 (Act 49, SLH 2017), which is funding the current approved work items and anticipates being fully expended by December 31, 2019. WHHA is awaiting \$450,000.00 for comfort station improvements from State Grant In-Aid in 2018 (Act 53, SLH 2018), pending release by the expending agency, Department of Hawaiian Home Lands. WHHA also received \$60,000.00 of Regional Plan Grant Funds from the Department of Hawaiian Home Lands for septic system improvements. WHHA has not received any other funds within the prior three years. WHHA has no current plans to apply for state or federal tax credits at this time.

5. The applicant shall provide a listing of all federal, state and county government contracts and grants it has been and will be receiving for program funding.

WHHA currently does not have or will be receiving any federal, state or county contracts and grants for program funding.

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2018.

As of December 31, 2018, the Waiohuli Hawaiian Homesteaders Association, Inc. reports \$21,380.45 in unrestricted assets.

V. Experience and Capability

1. Necessary Skills and Experience

The WHHA has been committed to the Waiohuli Community Center and Park Master plan since 2005, having successfully implemented and expending all grant funds received to date. The organization's officers and board of directors includes members with career experience in the construction trades, fire safety, utilities, and financial management. The organization has worked closely with the Planning Office, Land Development and Land Management Divisions of the Department of Hawaiian Home Lands in moving its Master Plan forward.

During the initial years, WHHA partnered with Ed Weinstein, a licensed architect, of Weinstein A/U Architects & Urban Designers, located in Seattle, Washington to develop its master plan and incorporate all values and vision expressed throughout the community input phase up to the completion of Phase 1 improvements.

WHHA utilized and continues to use R.T. Tanaka Engineers, Inc. of Wailuku, Maui for all of its survey, landscape and civil engineering design work.

WHHA has also been directly involved with general contractors, Armstrong Builders, Olona Construction and Paul Yokoyama Services. These general contractors have been supportive towards mentorship, and in turn has hired several sub-contractors that reside in Waiohuli. This has been a proven win-win situation affording direct community participation in projects.

To support the budgetary and accounting efforts for ALL funding received, WHHA has contracted Mimi Hu, CPA of Maui. WHHA believed it was necessary to outsource these services in order to assure professional, transparent, third party accounting in handling any and all funds. The results to date have been excellent giving WHHA confidence for audits and compliance reviews. Additionally, through mentorship, several Waiohuli residents have secured full time employment in the accounting and tax preparation fields.

With first hand participation in planning, design, procurement and construction, and a solid CPA partner, WHHA has gained quality experience to carry over into this next as well as future project phases.

2. Facilities

WHHA has established an onsite presence with a modest office set up at the completed certified commercial kitchen. In addition to the 656 s.f. certified commercial kitchen and complimenting 984 s.f. open lanai, current facilities include a 1,152 square foot hale halawai which serves as the central facility for

the “cultural zone”, a native garden and paved parking along with recent improvements of the amphitheater and passive recreation area, which is attracting numerous wedding venues.

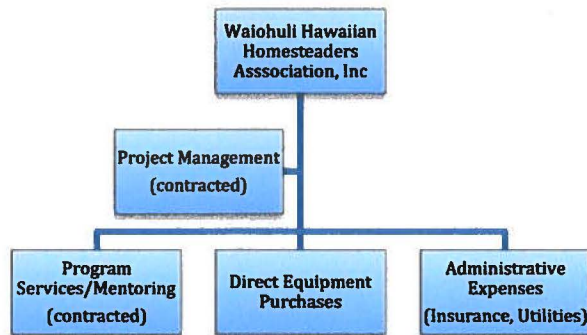
Through past Grant in Aids, funded community center projects and improvements to date are gradually becoming self-sufficient with facility rentals. For example, the kitchen and patio areas are being rented by homesteaders and the broader community for parties and events, averaging four to six events per month. The certified commercial kitchen has slowly marketed itself through the State Department of Health, who has referred vendors and small businesses in need of the required certified commercial kitchen facility in order to prepare or assemble food products. The newest facilities, such as the amphitheater and hale halawai cultural center are also being promoted for rental to generate revenue for community programs.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

WHHA will outsource and secure an overall project manager to facilitate all services covered by this GIA application, therefore, there will be no direct project staffing. WHHA will oversee the work efforts of the project manager having final approvals and discretion on all matters and expenditures.

2. Organization Chart



3. Compensation

Comparable to industry and related fields, the proposed compensation for project management is estimated at \$80,000. The scope of services will include identifying and securing program services, development and monitoring of performance measurements, overall managing, planning, design, and procurement, to include progress reporting and budget management. As noted in the Organization Chart, the project manager will report directly to WHHA in facilitating the delivery of program services, equipment purchases and administrative expenditures for utilities and required insurances.

VII. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgment. If applicable, please explain.

The organization has no pending litigation to which it is a party and has no outstanding judgments.

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that applicant possesses relevant to this request.

There are no special qualifications, licenses or accreditation required by the WHHA that is relevant to this request.

3. Private Educational Institutions

WHHA will NOT use grant funds to support or benefit a sectarian or non-sectarian private educational institution.

4. Future Sustainability Plan

WHHA continues to seek grant opportunities as well as financing options using asset leveraging. WHHA is positioning itself for New Market Tax Credits through “targeted population” designation since median income statistics for its census tract is impacted by surrounding, ultra-rich, part-time residents.

2010 census data has severely impacted WHHA’s eligibility for both public and private sector grant funding. The area’s tract income as percentage of AMI is 127%, which exceeds typical eligibility percentage of 120%. WHHA does not anticipate this to change with the 2020 census. To this extent, WHHA has depended heavily on Department of Hawaiian Home Lands or the State Legislature for funding. While the chance for “targeted population” consideration may be an option, WHHA has leveraged community support and in-kind services make sure that every penny of grant fund is efficiently and effectively spent. WHHA is humbled by the support it has received to date from the State Legislature and submits this request to solidify the establishment of long term, revenue-generating venues and programs that support both homestead and surrounding communities.

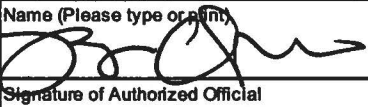
WHHA believes that this grant request, if approved, will improve its organization’s capacity and skill sets by accelerating the delivery of program services and economic opportunities through successful mentoring partnerships.

The anticipated outcome is for long-term sustainability involving homestead employment options, community businesses interaction and continued support from regional public and private organizations.

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2019 to June 30, 2020

Applicant: Waiohuli Hawaiian Homesteaders Association, Inc.

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	0	0	0	0
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
TOTAL PERSONNEL COST	0	0	0	0
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island				
2. Insurance	10,000	0	0	0
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities	5,000	0	0	0
9. Professional Services	150,000	0	0	0
10				
11				
12				
13				
14				
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17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	165,000	0	0	0
C. EQUIPMENT PURCHASES	19,700	0	0	0
D. MOTOR VEHICLE PURCHASES	0	0	0	0
E. CAPITAL	0	0	0	0
TOTAL (A+B+C+D+E)	184,700	0	0	0
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	184,700	Waiohuli Hawaiian Homesteaders Association, Inc. 808.357.0831		
(b) Total Federal Funds Requested	0	Name (Please type or print) _____ Phone _____		
(c) Total County Funds Requested	0			
(d) Total Private/Other Funds Requested	0	Signature of Authorized Official _____ Date 1-17-19		
TOTAL BUDGET	184,700	Perry O. Artates, President Name and Title (Please type or print) _____		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2019 to June 30, 2020

Applicant: Waiohuli Hawaiian Homesteaders Association, Inc.

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
Commercial Grade Riding Tractor Mower with 60"-72" Mower Deck	1	\$12,000.00	\$ 12,000.00	\$ 12,000.00
10 - 8' Commercial Grade Folding Tables	10	\$120.00	\$ 1,200.00	\$ 1,200.00
80 - Lifetime Folding Chairs (4 per set = 20 sets)	20	\$160.00	\$ 3,200.00	\$ 3,200.00
4 - Folding Chair Dolly	4	\$150.00	\$ 600.00	\$ 600.00
3 - Folding Table Dolly	3	\$450.00	\$ 1,350.00	\$ 1,350.00
1 - 12,000 Watt Portable Generator with Cover & Power Cable	1	\$1,350.00	\$ 1,350.00	\$ 1,350.00
TOTAL:	39		\$ 19,700.00	\$ 19,700.00

JUSTIFICATION/COMMENTS:

Tractor mower for maintenance of approximately 5 acres of lawn; tables & chairs for facilities rentals; portable generator for off-grid power needs

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
NONE			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:			\$ -	

JUSTIFICATION/COMMENTS:

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2019 to June 30, 2020

Applicant: Waiohuli Hawn Homestd Assoc Inc.

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2017-2018	FY: 2018-2019	FY:2019-2020	FY:2019-2020	FY:2020-2021	FY:2021-2022
PLANS			0			
LAND ACQUISITION			0			
DESIGN			0			
CONSTRUCTION			0			
EQUIPMENT			0			
TOTAL:			0			
JUSTIFICATION/COMMENTS:						
NO CAPITAL FUNDING BEING REQUESTED						

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

Applicant: Waiohuli Hawaiian Homesteaders Association, Inc.

Contracts Total: 1,010,000

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	State Grant In Aide (Act 49, SLH 2017)	2017-2017	DHHL	State	500,000
2	Planning, Design, Construction				
3					
4	State Grant In Aide (Act 53, SLH 2018)	2018-2018	DHHL	State	450,000
5	Planning, Design, Construction				
6					
7	Regional Plan Grant (Hawaiian Home Lands	2018-2018	DHHL	State	60,000
8	Design, Construction				
9					
10					
11					
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