

Application for Grants

If any item is not applicable to the request, the applicant should enter "not applicable".

I. Certification – Please attach immediately after cover page

1. Certificate of Good Standing (If the Applicant is an Organization)

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2018.

2. Declaration Statement

The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes. ([Link](#))

3. Public Purpose

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. ([Link](#))

II. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;
2. The goals and objectives related to the request;
3. The public purpose and need to be served;
4. Describe the target population to be served; and
5. Describe the geographic coverage.

III. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

**THE THIRTIETH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES**

Type of Grant Request:

Operating Capital

Legal Name of Requesting Organization or Individual: Db:

Puma Punks Ocean Clean Up, Inc.

Amount of State Funds Requested: \$ 85,000.00

Brief Description of Request (Please attach word document to back of page if extra space is needed):

see attached

Amount of Other Funds Available:

State: \$ _____
Federal: \$ _____
County: \$ _____
Private/Other: \$ _____

Total amount of State Grants Received in the Past 5 Fiscal Years:

\$ 0

Unrestricted Assets:

\$ _____

New Service (Presently Does Not Exist): Existing Service (Presently in Operation):

Type of Business Entity:



501(C)(3) Non Profit Corporation
 Other Non Profit
 Other

Mailing Address: *86-210 Leihua Street*

City: *Waianai* State: *HI* Zip: *96792*

Contact Person for Matters Involving this Application

Name: <i>Frank Ruiz</i>	Title: <i>President / CEO</i>
Email: <i>rznai@aol.com</i>	Phone: <i>808-341-4262</i>

Federal Tax ID#: 	State Tax ID# 
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Frank Ruiz *Frank Ruiz* *President*

Authorized Signature Name and Title Date Signed

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1. Describe the scope of work, tasks and responsibilities;
2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;
3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and
4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

IV. Financial

Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.
 - a. Budget request by source of funds ([Link](#))
 - b. Personnel salaries and wages ([Link](#))
 - c. Equipment and motor vehicles ([Link](#))
 - d. Capital project details ([Link](#))
 - e. Government contracts, grants, and grants in aid ([Link](#))
2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2020.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
\$21,250	\$21,250	\$21,250	\$21,250	\$85,000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2020.
4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

5. The applicant shall provide a listing of all federal, state, and county government contracts, grants, and grants in aid it has been granted within the prior three years and will be receiving for fiscal year 2020 for program funding.
6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2018.

V. Experience and Capability

1. Necessary Skills and Experience

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

2. Facilities

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

VI. Personnel: Project Organization and Staffing

1. Proposed Staffing, Staff Qualifications, Supervision and Training

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

2. Organization Chart

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

3. Compensation

The applicant shall provide an annual salary range paid by the applicant to the three highest paid officers, directors, or employees of the organization by position title, not employee name.

VII. Other

1. Litigation

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

2. Licensure or Accreditation

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

3. Private Educational Institutions

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

4. Future Sustainability Plan

The applicant shall provide a plan for sustaining after fiscal year 2019-20 the activity funded by the grant if the grant of this application is:

- (a) Received by the applicant for fiscal year 2019-20, but
- (b) Not received by the applicant thereafter.

PUMA PUNKU OCEAN CLEAN UP, INC.

January 10, 2019

Aloha

We are Puma Punku Ocean Clean Up, Inc. (PPOCU), a non-profit 501 C 3 based in Honolulu, Hawaii. Our mission: To create a clean and safe environment for this, and future generations of our keiki (children), to instill a love of, and will to care for the moana (ocean), and to enjoy being part of it as our kupunas (elders) once did. We believe that your organization cares as deeply as we do about such urgent needs at this time in world history, and humbly request funding support from your organization.

PPOCU is aligned with global visions for a clean ecosystem. We plan to help Hawaii be at the forefront of this movement by cleaning Hawaii's ocean, beaches and waterways. We need help to really make Hawaii the "paradise" that it once was.

PPOCU cleanups are currently focused at Ala Wai Boat Harbor. We started there in 2015 and pulled out 4 tons of plastic and debris! Despite our limited resources, following cleanups netted another 18 tons of plastic and debris. Ala Wai Boat harbor is the tip of the iceberg for our ambitious visions.

There is much local community interest in the hard work of PPOCU volunteers at the Ala Wai Boat Harbor: we have been featured 10 times on KHNL, KITV, KGMB and KHON news channels. We have also received a glowing review by Ron Mizutani in the MidWeek newspaper, and have been featured on John Nolan's radio show 4 times. This work is timely and desperately needed.

We respectfully request your support to continue the work and legacy.

Phase I:

Create an educational, interactive learning environment for community volunteers and children in schools to create awareness about taking care of the ocean, waterways and beaches. Not only would the physical connection with the environment be strengthened by hands-on activities such as picking up and clearing debris, but also, the community would gain first-hand knowledge about the impact of pollution on Hawaii's ocean environment.

Funds at this stage will be used to purchase safety & cleaning equipment. Phase I is vital to build a solid and strategic foundation for sustainability.

Phase II:

Community outreach with educational commercials and programs with high profile supporters (Nainoa Thompson and Bruce Blankenfeld – Hokule'a Captains; retired ship captains; ocean lifeguards; koa canoe builders, beach-boys, mariners, etc.). This will

raise greater awareness of the importance of our goals, and deepen community involvement by creating a larger pool of volunteers, donors and grass roots movements. Our sustainability business model also includes fundraisers from music and entertainment concerts. We have many supporters in professional entertainment (singers, hula dancers, and musicians) who have pledged to donate their time.

Phase III:

We will partner with the University of Hawaii, Department of Oceanography, to study and test areas of pollution and assess the environmental impact on the ocean waters, reefs and aquatic life. This will be a precursor to larger-scale cleanup projects.

Next, rentals of small cranes and barges will be used to target ocean and waterway debris inaccessible by land. PPOCU has years of experience, licensed volunteer crane operators and ship captains to carry out these specialized projects. We will expand operations to all of the Hawaiian Islands on an as needed and special project basis, as requested by the community leaders.

PPOCU currently operates from private and personal donations

With your support, our Hawaiian Island culture and stunning natural environment will live on for generations to come. You will be gratefully acknowledged on any and all commercials, printed copy, and other marketing material.

We would sincerely welcome an opportunity to discuss our mission and vision with you personally and to see how we may promote and reward your generous support.

Please contact me should you have any questions.

We look forward to your consideration.

Me ke aloha pumehana...


Frank Ruiz
President and CEO

Cell: 808-341-4262
Email: rznail@aol.com

<http://www.pumapunkuhawaii.com/>

**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.

- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.

- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Puma Punku Ocean Clean Up, Inc.
(Typed Name of Individual or Organization)

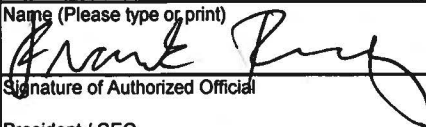

(Signature) (Date)

Frank Ruiz President / CEO
(Typed Name) (Title)

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2019 to June 30, 2020

Applicant: Puma Punku Ocean Clean Up, Inc.

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	60,000			12,000
2. Payroll Taxes & Assessments	16,800			3,360
3. Fringe Benefits	0			0
TOTAL PERSONNEL COST	76,800			15,360
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	1,000			
2. Insurance	350			
3. Lease/Rental of Equipment	15,000			
4. Lease/Rental of Space	12,000			
5. Staff Training				
6. Supplies	200			
7. Telecommunication	1,200			
8. Utilities	600			
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	30,350			
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	107,150			15,360
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	85,000	Frank Ruiz 808-341-4262		
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested				
(d) Total Private/Other Funds Requested	15,360	Signature of Authorized Official Date		
TOTAL BUDGET	100,360	President / CEO Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2019 to June 30, 2020

Applicant: Puma Punku Ocean Clean Up, Inc. NO Equipment Needed

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
NO Equipment Needed			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				

JUSTIFICATION/COMMENTS:

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2019 to June 30, 2020

Applicant: Puma Pinku Ocean Clean Up, Inc. **NO Capital Projects**

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OTHER SOURCES OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2017-2018	FY: 2018-2019	FY:2019-2020	FY:2019-2020	FY:2020-2021	FY:2021-2022
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION						
EQUIPMENT						
TOTAL:						
JUSTIFICATION/COMMENTS:						

