

DEPT. COMM. NO. 176

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GOVERNOR



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STATE OF HAWAII
DEPARTMENT OF BUDGET AND FINANCE
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HONOLULU, HAWAII 96810-0150

EMPLOYEES' RETIREMENT SYSTEM
HAWAII EMPLOYER-UNION HEALTH BENEFITS TRUST FUND
OFFICE OF THE PUBLIC DEFENDER

PS: 89-05-20-11
ADMINISTRATIVE AND RESEARCH OFFICE
BUDGET, PROGRAM PLANNING AND
MANAGEMENT DIVISION
FINANCIAL ADMINISTRATION DIVISION
OFFICE OF FEDERAL AWARDS MANAGEMENT (OFAM)

December 28, 2017

The Honorable Ronald D. Kouchi
President of the Senate
Twenty-Ninth State Legislature
State Capitol
Honolulu, Hawaii 96813

Dear Senate President Kouchi:

We are submitting a compact disc of the FYs 14-20 Non-General Fund Information report pursuant to Section 37-47, HRS.

As required by Section 93-16, HRS, the reports will be published on the internet and will be located at: <http://budget.hawaii.gov/budget/reports-to-the-legislature/>.

Should there be any questions, please have your staff contact Mr. Neal Miyahira, Administrator of this department's Budget, Program Planning and Management Division, at 586-1530.

Aloha,

A handwritten signature in black ink, appearing to read "Wesley K. Machida".

WESLEY K. MACHIDA
Director of Finance

Attachment

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Agricultural Loan Revolving Fund
 Legal Authority: Chapter 155-14, HRS

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF): Revolving Fund
 Appropriation Acct. No. S-303-A

Intended Purpose:
 Deposit moneys received to make agricultural loans.

Source of Revenues: Principal repayments of loans and advances.

Current Program Activities/Allowable Expenses:
 Assist farmers and farm organizations in securing credit from private lenders through participation with lenders insuring private lender loans, cooperating with other lenders or on direct basis. Special emphasis is placed on loan servicing, including management & financial counseling on participation and direct loans. Funds used to cover loan disbursements and advances.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Expenditure variance due to loans approved later in the year, delay in disbursing loans.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	3,207,233	2,680,693	2,311,913	3,702,958	2,214,519	577,434	481,986
Revenues	1,642,667	2,246,870	2,485,775	2,418,561	1,862,915	1,604,552	1,811,718
Expenditures	2,919,207	2,615,650	2,894,730	3,907,000	5,000,000	1,700,000	1,800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. From Gen. Fund, G-00-000-O	750,000		1,800,000		1,500,000		
Net Total Transfers	750,000	0	1,800,000	0	1,500,000	0	0
Ending Cash Balance	2,680,693	2,311,913	3,702,958	2,214,519	577,434	481,986	493,704
Encumbrances							
Unencumbered Cash Balance	2,680,693	2,311,913	3,702,958	2,214,519	577,434	481,986	493,704

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Agricultural Reserve Fund
 Legal Authority: Chapter 155-14, HRS

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-301-A

Intended Purpose:
 Deposit interest and fees collected to carry on the operations of the agricultural loan program.

Source of Revenues: Interest and fees charged on loans, interest on short-term investment of uncommitted funds, lease fees.

Current Program Activities/Allowable Expenses:
 Collect interest on loans, fees from private lenders on insured loans, interest on short term investment of uncommitted funds. Moneys determined to be in excess of operating needs are transferred to the Agricultural Loan Revolving Fund. Funds used to cover operational expenses of the Ag Loan Division.

Purpose of Proposed Ceiling Increase (if applicable): Increased fringe benefit costs

Variances: Revenues less due to interest from loans less since larger loans were approved later in year, and rate of interest on investments at lower rate.
 Expenditures less due to vacant positions.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,099,781	1,183,216	1,296,844	1,296,844	1,340,775	1,340,775	1,340,775
Beginning Cash Balance	1,742,264	1,669,767	1,843,646	1,344,190	1,211,988	1,002,897	732,698
Revenues	756,325	1,108,208	467,915	818,064	1,131,684	1,070,576	1,039,522
Expenditures	828,822	930,777	967,371	950,266	1,340,775	1,340,775	1,340,775
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,669,767	1,847,198	1,344,190	1,211,988	1,002,897	732,698	431,445
Encumbrances	42,032	11,818	1,819				
Unencumbered Cash Balance	1,627,735	1,835,380	1,342,371	1,211,988	1,002,897	732,698	431,445

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Hawaii Water Infrastructure Special Fund
 Legal Authority: Chapter 155, HRS (Act 171, SLH 2016)

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Special
 Appropriation Acct. No. S-354-A

Intended Purpose:

Deposit moneys received to make water infrastructure loans and carry on operations of the Hawaii water infrastructure loan program.

Source of Revenues: Principal repayments of loans and advances, interest and fees .

Current Program Activities/Allowable Expenses:

Finance the purchase or installation of water infrastructure equipment. Funds used for loan disbursements and administrative and other related program costs.

Purpose of Proposed Ceiling Increase (if applicable): To establish a ceiling for the program.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				800,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance				0	410,000	431,642	73,895
Revenues				0	21,642	52,253	80,072
Expenditures				350,000		410,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. fr. Gen. Fund G-00-000-O				760,000			
Net Total Transfers	0	0	0	760,000	0	0	0
Ending Cash Balance	0	0	0	410,000	431,642	73,895	153,967
Encumbrances							
Unencumbered Cash Balance	0	0	0	410,000	431,642	73,895	153,967

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Aquaculture Loan Reserve Fund
 Legal Authority: Section 219-4 HR

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF): Special Fund
 Appropriation Acct. No. S-310-A

Intended Purpose:

Deposit interest and fees to carry on the operations of the aquacultural loan program.

Source of Revenues: Interest and fees charged on loans, interest on short-term investments of uncommitted funds.

Current Program Activities/Allowable Expenses:

Collect interest on loans, fees from private lenders on insured loans, interest on short term investment of uncommitted funds. Moneys determined to be in excess of operating needs are transferred to the Aquacultural Loan Revolving Fund. Funds used to cover operational expenses of the Aquaculture Loan Program.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: No new loans were approved. Interest on investments less than anticipated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	466,471	131,067	158,014	181,364	205,972	9,271	6,275
Revenues	17,596	26,947	23,350	24,608	23,299	47,004	58,539
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. to Aqua Rev. S-311-A	(353,000)			0	(220,000)	(50,000)	(55,000)
Net Total Transfers	(353,000)	0	0	0	(220,000)	(50,000)	(55,000)
Ending Cash Balance	131,067	158,014	181,364	205,972	9,271	6,275	9,814
Encumbrances							
Unencumbered Cash Balance	131,067	158,014	181,364	205,972	9,271	6,275	9,814

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 101/GA
 Name of Fund: Aquaculture Loan Revolving Fund
 Legal Authority: Chapter 219-4, HRS

Contact Name: Dean Matsukawa
 Phone: 973-9460
 Fund type (MOF) Revolving Fund
 Appropriation Acct. No. S-311-A

Intended Purpose:
 Intended Purpose:
 Deposit moneys received to make aquaculture loans.

Source of Revenues: Principal repayments of loans and advances.

Current Program Activities/Allowable Expenses:

Assist aquaculturists and aquacultural organizations in securing credit from private lenders, in participation with lenders or on a direct basis. Special emphasis is placed on loan servicing including management and financial counseling on participation and direct loans. Funds are used for loan disbursements and advances.

Purpose of Proposed Ceiling Increase (if applicable): To accommodate larger loan requests and increased demand.

Variances: No new loans approved.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	662,854	1,021,422	1,029,904	1,031,797	890,528	618,589	201,922
Revenues	5,568	8,482	1,893	8,731	8,061	33,333	71,614
Expenditures	0	0	0	150,000	500,000	500,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Tr. fr. Aqua Reserve S-310-A	353,000				220,000	50,000	55,000
Net Total Transfers	353,000	0	0	0	220,000	50,000	55,000
Ending Cash Balance	1,021,422	1,029,904	1,031,797	890,528	618,589	201,922	28,536
Encumbrances							
Unencumbered Cash Balance	1,021,422	1,029,904	1,031,797	890,528	618,589	201,922	28,536

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Pest Inspection, Quarantine, and Eradication Fund
 Legal Authority: Chapter 150A-5.3, HRS

Contact Name: John McHugh
 Phone: 973-9535
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-304-A

Intended Purpose: To conduct inspection, quarantine, control and eradication activities to prevent the introduction and spread of Pests and Invasive species in Hawaii

Source of Revenues: Legislative appropriations; service fees, charges and penalties collected under section 150A-14, HRS; federal funds; grants and gifts; interest earned or accrued on moneys deposited in the fund

Current Program Activities/Allowable Expenses: Operation of pest inspection, quarantine, eradication, and monitoring programs, related facilities and the execution of emergency remedial measures when pests are detected in the course of inspection

Purpose of Proposed Ceiling Increase (if applicable): Increased fringe benefit costs

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,964,898	7,135,741	7,679,965	7,810,040	7,929,402	8,059,477	8,189,552
Beginning Cash Balance	5,441,139	7,777,079	8,816,284	9,630,334	10,161,178	10,692,022	11,222,866
Revenues	5,862,431	5,140,674	5,426,480	6,720,682	6,945,815	7,170,948	7,396,081
Expenditures	3,526,491	4,101,469	4,612,430	6,189,838	6,412,971	6,636,104	6,859,237
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,777,079	8,816,284	9,630,334	10,161,178	10,694,022	11,226,866	11,759,710
Encumbrances		204,905	656,856	870,001	1,000,000	1,000,000	1,000,000
Unencumbered Cash Balance	7,777,079	8,611,379	8,973,478	9,291,177	9,694,022	10,226,866	10,759,710

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Research and Development for Brown Tree Snake
 Legal Authority: Chapter 29-14, HRS

Contact Name: John McHugh
 Phone: 973-9535
 Fund type (MOF) P
 Appropriation Acct. No. S-304-A

Intended Purpose:

Deposit and expend federal funds to prevent entry of the brown tree snake into the state through the flights and cargo originating from Guam.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Inspect cargo, baggage, aircraft, & ships originating from Guam destined to Hawaii with detector dog teams for hitchhiking brown tree snakes.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	262,420	262,420	262,420	262,420	262,420	262,420	262,420
Beginning Cash Balance	44,222	1,369	1,369	1,369	0	0	0
Revenues	100,878	158,148	13,097	0	0	0	0
Expenditures	143,731	158,148	13,097	1,369	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,369	1,369	1,369	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,369	1,369	1,369	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Agricultural Development and Food Security Special Fund
 Legal Authority: Chapter 243-3.5, HRS

Contact Name: Warren Takenaka
 Phone: 973-9609
 Fund type (MOF): Special Fund
 Appropriation Acct. No. S-331-A

Intended Purpose: Fund activities intended to increase agricultural production or processing that may lead to the reduced importation of food, fodder, or feed outside of the state.

Source of Revenues: Portion of the environmental response, energy, and food security tax specified in section 243-3.5, Investment pool interest

Current Program Activities/Allowable Expenses: Awarding of grants to farmers for agricultural production or processing activities; acquisition of real property for agricultural production or processing activity; improvement of real property, irrigation systems and transportation networks necessary to promote agricultural production or processing activity; purchase of equipment necessary for agricultural production or processing activity; conduct of research on and testing of agricultural products and markets; funding of agricultural inspectors within the department of agriculture; promotion and marketing of agricultural products grown or raised in the state; any other activity intended to increase agricultural production or processing that may lead to reduced importation of food, fodder, or feed from outside the state.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	4,822,445	5,304,658	5,664,874	5,802,131	5,646,092	5,446,092	5,246,092
Revenues	3,727,770	3,725,602	3,912,257	3,866,281	3,800,000	3,800,000	3,800,000
Expenditures	0	0	0				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(2,665,386)	(3,365,386)	(3,775,000)	(4,022,320)	(4,000,000)	(4,000,000)	(4,000,000)
Ending Cash Balance	5,884,829	5,664,874	5,802,131	5,646,092	5,446,092	5,246,092	5,046,092
Encumbrances							
Unencumbered Cash Balance	5,884,829	5,664,874	5,802,131	5,646,092	5,446,092	5,246,092	5,046,092

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EB
 Name of Fund: Contribution for Overtime-Plant Quarantine Inspection Services
 Legal Authority: None

Contact Name: John McHugh
 Phone: 973-9535
 Fund type (MOF): Trust Fund
 Appropriation Acct. No. T-902-A

Intended Purpose:

Established to serve as a holding account into which funds paid by shipping companies are deposited and expended to pay for inspections conducted on an overtime basis on cargo entering the State at sites other than at the docks and piers.

Source of Revenues: Shipping companies reimbursing program's overtime costs.

Current Program Activities:

This account supports the off site inspection of containers as requested by Matson and Sealand using off duty inspectors. Funds used to reimburse program's overtime cost to conduct inspections.

Purpose of Proposed Ceiling Increase (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	474,362	474,362	474,362	474,362	474,362	474,362	474,362
Beginning Cash Balance	27,500	36,359	36,359	36,359	36,359	36,359	36,359
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	27,500	36,359	36,359	36,359	36,359	36,359	36,359
Encumbrances							
Unencumbered Cash Balance	27,500	36,359	36,359	36,359	36,359	36,359	36,359

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EC
 Name of Fund: Cooperative National Plant Pest Survey and Detection Program
 Legal Authority: Chapter 29-14, HRS

Contact Name: John McHugh
 Phone: 973-9553
 Fund type (MOF) P
 Appropriation Acct. No. S-201-A

Intended Purpose:

Funds are provided annually by the USDA-APHIS-PPQ for the survey and detection of alien plant pests and diseases and the generation and distribution of related data.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:

The department's survey entomologist coordinates statewide surveys by cooperators in various state and federal agencies to detect new immigrant organisms (insects and other plant pests, including diseases) and the acquisition and dissemination of information is put into the state database and transmitted to USDA-APHIS-PPQ. Funds are used for personnel, equipment, supplies, and travel expenses to meet the requirements of the grant.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 19	FY 20
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	409,629	407,460	262,420	262,420	262,420	262,420	262,420
Beginning Cash Balance	36,957	49,665	11,792	30,766	30,605	30,605	30,605
Revenues	95,108	73,021	60,446	63,044	103,500	103,500	103,500
Expenditures	82,400	110,894	41,472	63,205	103,500	103,500	103,500
Transfers							
List each net transfer in/out/or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	49,665	11,792	30,766	30,605	30,605	30,605	30,605
Encumbrances	4,891		2,925	190			
Unencumbered Cash Balance	44,774	11,792	27,841	30,415	30,605	30,605	30,605

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 122/EC
 Name of Fund: Survey & Detection of the Red Imported Fire Ant in Hawaii
 Legal Authority: Chapter 29-14, HRS

Contact Name: John McHugh
 Phone: 973-9535
 Fund type (MOF): Federal Fund
 Appropriation Acct. No. S-240-A

Intended Purpose:

Funds are for the survey and detection of the red imported fire ant (RIFA) and input of information into the U.S. Department of Agriculture, National Agricultural Pest Information System (NAPIS) database.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

The detection of RIFA will be accomplished through RIFA surveys at high risk areas such as airports, harbors, and nurseries using special baiting techniques and inspections for ant mounds and notifications from other sources of suspected RIFA. Suspected RIFA specimens will be identified by the department's taxonomist, infested areas will be identified, and a RIFA eradication plan will be developed and implemented. Information generated by the surveys and identification process will be documented and distributed to interested parties and the NAPIS database. Funds are used for travel, equipment, and supplies. HDOA has not applied for an extension of these funds in FY2019 and beyond.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	10,000	10,000	10,000	0	0
Beginning Cash Balance	614	6,524	1,319	2,436	2,506	0	0
Revenues	7,744	3,000	5,000	3,246	10,000	0	0
Expenditures	1,834	8,205	3,883	3,176	10,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,524	1,319	2,436	2,506	2,506	0	0
Encumbrances		1,515	2,475				
Unencumbered Cash Balance	6,524	(196)	(39)	2,506	2,506	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 131/DB
 Name of Fund: Animal Quarantine Special Fund
 Legal Authority: Chapter 142-28.5, HRS

Contact Name: Dr. Isaac Maeda
 Phone: 483-7144
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-318-A

Intended Purpose:

Account for revenues and expenditures related to administration and operation of the Animal Quarantine program for dogs and cats.

Source of Revenues: Quarantine fees, vet and medical fees, charges for use of kennels by military, investment pool interest.

Current Program Activities/Allowable Expenses:

Conducts a quarantine program for dogs, cats and other carnivores to prevent the entry of rabies. Funds are used to cover operating costs of the program.

Purpose of Proposed Ceiling Increase (if applicable): Increased fringe benefit costs

Variances: FY 17 expenditure increase from FY 16, and subsequent reduction in FY 18, due primarily to branch's computer system upgrade project and facility repairs during FY 17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,320,081	3,443,169	3,627,701	3,694,907	3,854,907	3,854,907	3,854,907
Beginning Cash Balance	2,099,970	2,184,658	1,996,301	1,949,965	1,238,260	1,138,260	1,138,260
Revenues	3,018,811	2,999,698	3,102,274	3,163,767	3,300,000	3,300,000	3,200,000
Expenditures	2,787,938	3,188,055	3,148,610	3,875,472	3,400,000	3,300,000	3,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,330,843	1,996,301	1,949,965	1,238,260	1,138,260	1,138,260	1,038,260
Encumbrances		105,069	576,785	220,936			
Unencumbered Cash Balance	2,330,843	1,891,232	1,373,180	1,017,324	1,138,260	1,138,260	1,038,260

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 132/DC
 Name of Fund: Animal Health Surveillance -Umbrella
 Legal Authority: Chapter 29-14, HRS

Contact Name: Dr. Raquel Wong
 Phone: 483-7111
 Fund type (MOF) Federal Fund
 Appropriation Acct. No. S-237-A

Intended Purpose:

To conduct animal health surveillance activities, especially regarding foreign animal diseases, zoonotic diseases and other diseases of significant public health or economic significance.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Expenses related to the following objectives: Hire livestock inspector and/or contracted worker for assistance with program activities, Identify waste feeding operations within the State and conduct serosurveillance on these herds, and perform surveillance for foreign animal diseases, zoonotic diseases, Pseudorabies, Avian influenza, Scrapie, Classical Swine Fever and bovine Tuberculosis. Provide for professional development in animal health topics opportunities for veterinary medical officers and producers.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	24,851	36,686	6,050	0	0	0
Revenues	106,501	146,487	117,594	115,654	115,654	115,654	115,654
Expenditures	81,650	134,652	148,230	90,956	115,654	115,654	115,654
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	24,851	36,686	6,050	30,748	0	0	0
Encumbrances			1,444	3,950			
Unencumbered Cash Balance	24,851	36,686	4,606	26,798	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 132/DD
 Name of Fund: Animal Disease Traceability
 Legal Authority: Chapter 29-14, HRS

Contact Name: Dr. Raquel Wong
 Phone: 483-7131
 Fund type (MOF) P
 Appropriation Acct. No. S-245-A

Intended Purpose:

Formerly entitled National Animal Identification System (NAIS), Animal Disease Traceability (ADT) focuses on standardizing animal identification components to facilitate traceback during response activities for significant animal disease outbreaks.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Allowable expenses are related to purchasing equipment to promote radio frequency identification components used in animal disease tracings.

Travel expenses and contract agreements associated with outreach and education of livestock producers to present information regarding official animal identification and disease tracing activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	133,769	133,769	133,769	133,769	133,769	133,769	133,769
Beginning Cash Balance	5,328	12,819	13,759	9,653	0	0	0
Revenues	27,102	27,000	27,019	27,000	26,000	26,000	26,000
Expenditures	19,611	26,060	31,125	22,131	26,000	26,000	26,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	12,819	13,759	9,653	14,522	0	0	0
Encumbrances		335					
Unencumbered Cash Balance	12,819	13,424	9,653	14,522	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Irrigation System Revolving Fund
 Legal Authority: Chapter 167-22, HRS

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Revolving Fund
 Appropriation Acct. No. S-320-A

Intended Purpose:

Deposit revenues generated through the sale of irrigation water and acreage assessments for use in operating and maintaining the irrigation systems.

Source of Revenues: Fees from delivery of irrigation water and acreage assessments.

Current Program Activities/Allowable Expenses:

Operate and maintain the existing State irrigation systems. Funds used to cover expenses related to operating and maintaining program.

Purpose of Proposed Ceiling Increase (if applicable): Fringe benefit increase

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,137,993	1,416,496	1,206,668	1,220,735	1,247,235	1,247,235	1,247,235
Beginning Cash Balance	2,197,352	2,528,355	2,623,536	2,907,269	3,410,744	3,561,026	3,663,789
Revenues	1,160,978	1,086,608	1,115,167	1,171,209	1,022,282	1,022,763	1,023,873
Expenditures	964,246	922,815	831,434	667,734	872,000	920,000	1,020,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,394,084	2,692,148	2,907,269	3,410,744	3,561,026	3,663,789	3,667,662
Encumbrances	190,968	34,104	141,066	453,860			
Unencumbered Cash Balance	2,203,116	2,658,044	2,766,203	2,956,884	3,561,026	3,663,789	3,667,662

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Non-Agricultural Park Lands Special Fund
 Legal Authority: Chapter 166E-7, HRS

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-305-A

Intended Purpose:
 Deposit revenues generated from lease rents for use in operating and maintaining the non-agricultural parks.

Source of Revenues: Rental income from lease of non-agricultural parks land.

Current Program Activities/Allowable Expenses:
 Administer lease terms and conditions of existing non-agricultural parks. Funds are used to cover expenses related to the operation and maintenance of the program.

Purpose of Proposed Ceiling Increase (if applicable): Ceiling increase proposed for fringe benefits

Variances: Expenditures lower than estimated due to delay in hiring.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	757,791	810,106	904,170	915,725	941,170	941,170	941,170
Beginning Cash Balance	1,049,819	1,254,655	1,144,258	1,548,259	1,851,582	1,958,380	1,931,978
Revenues	746,345	700,212	955,204	788,461	984,148	1,034,148	1,034,148
Expenditures	541,509	627,592	551,203	485,138	877,350	1,060,550	1,108,550
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,254,655	1,327,275	1,548,259	1,851,582	1,958,380	1,931,978	1,857,576
Encumbrances	202,279	9,736	66,035	94,055			
Unencumbered Cash Balance	1,052,376	1,317,539	1,482,224	1,757,527	1,958,380	1,931,978	1,857,576

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 141/HA
 Name of Fund: Agricultural Park Special Fund
 Legal Authority: Chapter 166-10, HRS

Contact Name: Brian Kau
 Phone: 973-9473
 Fund type (MOF): Special Fund
 Appropriation Acct. No. S-317-A

Intended Purpose:

Deposit revenues generated from lease rents for use in operating and maintaining the agricultural parks.

Source of Revenues: Rental income from lease of agricultural parks land.

Current Program Activities/Allowable Expenses:

Administer lease terms and conditions of existing agricultural parks. Funds are used to cover expenses related to the operation and maintenance of the program.

Purpose of Proposed Ceiling Increase (if applicable): Increase proposed to cover fringe benefits.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	562,104	562,104	637,847	650,220	658,920	658,920	658,920
Beginning Cash Balance	1,539,524	1,760,026	1,635,651	1,676,703	1,766,979	1,801,829	1,864,079
Revenues	443,054	449,224	507,811	508,191	510,650	560,650	560,650
Expenditures	327,277	380,886	466,759	417,915	475,800	498,400	599,200
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,655,301	1,828,364	1,676,703	1,766,979	1,801,829	1,864,079	1,825,529
Encumbrances	228,531	23,658	33,117	175,398			
Unencumbered Cash Balance	1,426,770	1,804,706	1,643,586	1,591,581	1,801,829	1,864,079	1,825,529

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Egg Product Inspection
 Legal Authority: Chapter 29-14

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF): Federal Fund
 Appropriation Acct. No.: S-202-A

Intended Purpose:

Deposit and expend federal funds to conduct shell egg inspections at eggs farms and packing plants required under USDA Egg Products Inspection Act.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:

Inspect egg farms and packing facilities to assure eggs being sold do not contain excessive restricted eggs, restricted eggs are properly handled and labeled, and required refrigeration temperature. Funds expended into general fund to cover salary costs, fringe benefits, expenses, and administrative costs for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	37,424	37,424	39,424	39,424	39,424	39,424	39,424
Beginning Cash Balance	1,994	1,643	1,224	1,751	3,490	5,190	6,890
Revenues	13,273	9,869	9,185	10,894	10,900	10,900	10,900
Expenditures	13,624	10,288	8,658	9,155	9,200	9,200	9,200
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0		0
Ending Cash Balance	1,643	1,224	1,751	3,490	5,190	6,890	8,590
Encumbrances		1,224	0				
Unencumbered Cash Balance	1,643	0	1,751	3,490	5,190	6,890	8,590

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Seafood Inspection Program
 Legal Authority Chapter 29-14, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) P
 Appropriation Acct. No. S-220-A

Intended Purpose:

To deposit and expend federal funds to conduct seafood inspection services.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Conduct seafood inspection upon request per agreement with U.S. Department of Commerce Seafood Inspection Program. Funds expended into general fund to cover salary costs and other expenses for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Inspections are conducted at request of applicants. Variance due to less than anticipated inspection requests received.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,000	5,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	200	1,332	1,316	1,217	1,839	2,439	3,039
Revenues	5,111	11,835	13,376	11,693	11,700	11,700	11,700
Expenditures	3,979	11,851	13,475	11,071	11,100	11,100	11,100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,332	1,316	1,217	1,839	2,439	3,039	3,639
Encumbrances							
Unencumbered Cash Balance	1,332	1,316	1,217	1,839	2,439	3,039	3,639

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
Prog ID(s): AGR 151/BB
Name of Fund: Meat Grading
Legal Authority: Chapter 29-14, HRS

Contact Name: Jeri Kahana
Phone: 832-0705
Fund type (MOF) P
Appropriation Acct. No. S-221-A

Intended Purpose:

Deposit and expend funds for meat grading service performed and to pay for travel costs of Federal supervisor for supervisory visit.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:

Conduct meat grading services upon request per agreement with U.S. Department of Agriculture, Agricultural Marketing Service, Meat Grading Branch. Portion of funds expended into general fund for salary costs and fringe benefits to perform service, balance of funds held and expended for travel costs of federal supervisor as necessary..

Purpose of Proposed Ceiling Increase (if applicable):

Variances: No requests for meat grading services received.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	10,000	10,000	10,000	10,000	10,000
Beginning Cash Balance	959	959	959	959	959	959	959
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	959	959	959	959	959	959	959
Encumbrances							
Unencumbered Cash Balance	959	959	959	959	959	959	959

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Milk Control Special Fund
 Legal Authority: Chapter 157-29, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) Special Fund
 Appropriation Acct. No. S-315-A

Intended Purpose:
 Account for revenues and expenditures related to administration and operation of the Milk Control Program.

Source of Revenues: License fees collected from producers and processors.

Current Program Activities/Allowable Expenses:
 Regulate milk industry on Oahu and Hawaii; establish minimum price to producers; establish and manage production quotas; determine monthly payroll; evaluate milk disposition and usage and tabulate monthly retail prices for milk. Funds are used to cover salary and expenses related to administration and operation of the Milk Control program. Act 176, SLH 2014, requires a minimum reserve of not less than \$300,000 to be maintained in the account.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: Vacant Milk Control Specialist position. Recruitment to fill has not commenced.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	204,885	204,885	223,968	226,854	226,854	226,854	226,854
Beginning Cash Balance	409,083	348,502	321,404	318,662	401,542	484,342	567,142
Revenues	92,962	87,745	94,486	97,587	97,600	97,600	97,600
Expenditures	153,543	114,843	97,228	14,707	14,800	14,800	14,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	348,502	321,404	318,662	401,542	484,342	567,142	649,942
Encumbrances				491			
Unencumbered Cash Balance	348,502	321,404	318,662	401,051	484,342	567,142	649,942

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Country of Origin Labeling
 Legal Authority: Chapter 29-14, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) P
 Appropriation Acct. No. S-258-A

Intended Purpose:
 To deposit and expend federal funds to conduct country of origin labeling inspections.

Source of Revenues: Federal Grant

Current Program Activities/Allowable Expenses:
 Conduct country of origin labeling inspections upon request per agreement with U.S. Department of Agriculture. Funds expended into general fund to cover salary costs and other expenses for services performed.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	25,000	25,000	35,000	35,000	84,200	84,200	84,200
Beginning Cash Balance		0	5,089	0	0	0	0
Revenues	11,099	21,489	33,800	34,400	34,400	34,400	34,400
Expenditures	11,099	16,400	38,889	34,400	34,400	34,400	34,400
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	5,089	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	5,089	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Certification Services Revolving Fund
 Legal Authority: Chapter 147-101, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF): Revolving Fund
 Appropriation Acct. No. S-302-A

Intended Purpose:

Deposit proceeds derived from certification inspection services. Funds are expended to cover the costs of providing the inspection services, including personnel costs and operating expenses.

Source of Revenues: Certification and inspection fees for food safety, traceability, coffee certification, marketing order, identify and purity of seed.

Current Program Activities/Allowable Expenses:

Activities include the inspection and certification of coffee, purity of seed, agricultural commodities covered under Federal Marketing Order, traceability and food safety. Allowable expenses are salary and fringe benefits for Agricultural Commodity Aids, travel, training, mileage, vehicle and maintenance, equipment and supplies related to activities.

Purpose of Proposed Ceiling Increase (if applicable): Increased fringe benefit costs

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	502,559	508,837	536,020	541,535	567,020	567,020	567,020
Beginning Cash Balance	684,670	835,555	916,184	989,532	1,024,786	1,060,786	1,096,786
Revenues	513,505	331,021	388,531	338,043	339,000	339,000	339,000
Expenditures	362,620	250,392	315,183	302,789	303,000	303,000	303,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	835,555	916,184	989,532	1,024,786	1,060,786	1,096,786	1,132,786
Encumbrances				11,745			
Unencumbered Cash Balance	835,555	916,184	989,532	1,013,041	1,060,786	1,096,786	1,132,786

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 151/BB
 Name of Fund: Temporary Deposit - Marketing
 Legal Authority: Chapter 147-7, HRS

Contact Name: Jeri Kahana
 Phone: 832-0705
 Fund type (MOF) Trust Fund
 Appropriation Acct. No. T-903-A

Intended Purpose:

Established as a temporary holding account to deposit fees collected for federal inspection & certification activities. A certain percentage of the fees are transmitted to the federal government and the balance is credited to the credit of the State general fund.

Source of Revenues:

Fees from federal inspection and certification activities performed

Current Program Activities/Allowable Expenses:

Conduct federal inspection and certification of commodities.

Purpose of Proposed Ceiling Increase (if applicable): NA

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance	126,337	127,244	115,861	104,093	111,609	119,109	126,609
Revenues	48,743	24,126	6,184	17,597	17,600	17,600	17,600
Expenditures	47,836	35,509	17,952	10,081	10,100	10,100	10,100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	127,244	115,861	104,093	111,609	119,109	126,609	134,109
Encumbrances							
Unencumbered Cash Balance	127,244	115,861	104,093	111,609	119,109	126,609	134,109

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 153/CD
 Name of Fund: Aquaculture Development Special Fund
 Legal Authority: Chapter 141-2.7, HRS

Contact Name: Todd Low
 Phone: 587-0030
 Fund type (MOF): Special Fund
 Appropriation Acct. No. S-328-A

Intended Purpose:

To deposit and expend funds to implement the aquatic disease management programs and activities and support research and development programs and activities relating to the expansion of the state aquaculture industry.

Source of Revenues: Percentage of offshore aquaculture operations lease fees (via DLNR) and fees for aquatic animal and plant health diagnostic services and other expert services.

Current Program Activities/Allowable Expenses:

Funds used to support aquaculture research and development activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	125,000	125,000	125,000	125,000	125,000	125,000	125,000
Beginning Cash Balance	196,837	157,835	236,776	254,910	234,103	234,103	234,103
Revenues	24,129	141,828	39,108	41,733	100,000	100,000	100,000
Expenditures	26,316	62,887	20,974	62,540	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	194,650	236,776	254,910	234,103	234,103	234,103	234,103
Encumbrances		1,650	51				
Unencumbered Cash Balance	194,650	235,126	254,859	234,103	234,103	234,103	234,103

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 161/KA
 Name of Fund: Hawaii Agricultural Development Revolving Fund
 Legal Authority: Chapter 163D-17, HRS

Contact Name: James Nakatani
 Phone: 586-0188
 Fund type (MOF): Revolving Fund
 Appropriation Acct. No. S-307-A

Intended Purpose:

Carry out Agribusiness Development Corporation's (ADC) purpose to acquire, preserve and make optimal use of agricultural assets for the economic, environmental, and social benefit of the people of Hawaii.

Source of Revenues: 1) Ag land rents, 2) Other rent (e.g. buildings), 3) use of government land for specific purposes (e.g. removal of soil), 4) sale of services to Navy, 5) WWS administrative fee, 6) investment pool interest, 7) water delivery

Current Program Activities/Allowable Expenses:

1) acquiring ag lands, facilities, infrastructure and conservation easements. 2) Operation, maintenance and repairs of structures, reservoirs and water systems 4) research/studies of new agricultural technology; 5) auxiliary services such as security, processing of required licenses or permits, environmental assessments; and 6) purchase of goods necessary to repair and operate equipment and infrastructure.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,131,609	2,609,099	2,902,721	2,482,204	2,663,609	2,663,609	2,663,609
Beginning Cash Balance	2,377,772	1,773,305	1,294,696	1,143,464	1,278,945	1,787,009	3,162,659
Revenues	1,240,226	1,208,125	1,522,232	2,047,338	2,414,790	3,543,784	3,651,259
Expenditures	1,844,693	1,686,734	1,673,464	1,911,857	1,906,726	2,168,134	2,246,006
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,773,305	1,294,696	1,143,464	1,278,945	1,787,009	3,162,659	4,567,912
Encumbrances	557,942	1,030,738	949,273	1,201,087			
Unencumbered Cash Balance	1,215,363	263,958	194,191	77,858	1,787,009	3,162,659	4,567,912

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 161/KC
 Name of Fund: Waiahole Water System Revolving Fund
 Legal Authority: Chapter 163D-15.5, HRS

Contact Name: James Nakatani
 Phone: 586-1088
 Fund type (MOF) W
 Appropriation Acct. No. S-325-A

Intended Purpose:

Revenues and expenditures related to administration and operation of the Waiahole Water System to ensure reliable delivery of irrigation water to agricultural lands in the central and leeward districts of Oahu and to carry out the purposes of Act 111, SLH 1998.

Source of Revenues: Fees from delivery of water, investment pool interest, administrative fee and periodic assignment to ADC.

Current Program Activities/Allowable Expenses:

Activities include operating, maintaining and repairing the WWS infrastructure; regulating water flow; monitoring water use; repairing access roads; calibrating, repairing and replacing user meters; maintaining easement areas and ADC-owned parcels; billing water users; performing repairs to reservoirs in compliance with Dam Safety rules; and responding to orders from the Commission on Water Resource Management. The fund is also used to pay the debt service on the general obligation reimbursable bonds that were issued to purchase WWS and for ADC administration of WWS.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The variance in expenditure is due to less than estimated repair and maintenance on the system.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,262,082	1,262,082	1,262,082	1,363,535	1,363,535	1,363,535	1,363,535
Beginning Cash Balance	1,544,005	1,694,766	1,847,484	1,905,378	1,650,684	1,605,394	1,546,982
Revenues	1,333,542	1,295,489	1,256,783	892,706	1,308,167	1,327,713	1,347,551
Expenditures	1,182,781	1,142,771	1,198,889	1,147,400	1,353,457	1,386,125	1,370,102
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,694,766	1,847,484	1,905,378	1,650,684	1,605,394	1,546,982	1,524,431
Encumbrances	6,823	8,529	10,518	3,066			
Unencumbered Cash Balance	1,687,943	1,838,955	1,894,860	1,647,618	1,605,394	1,546,982	1,524,431

Additional Information:

Amount Req. for Bond Conveyance	429,752	429,801	425,371	422,948	423,302	421,714	422,100
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 171/BE
 Name of Fund: Seal of Quality Special Fund
 Legal Authority: Act 120, SLH 2007

Contact Name: Sharon Hurd
 Phone: 973-9594
 Fund type (MOF): Special Fund
 Appropriation Acct. No. S-308-A

Intended Purpose:

Deposit and expend revenues from the operation the Seal of Quality program.

Source of Revenues: Participation fees, fines, appropriations made to the fund.

Current Program Activities/Allowable Expenses:

To conduct trade shows, retail shows, conferences, seminars, and other promotional activities. Allowable expenses include expenses for designs, program labels, items and materials, displays, brochures, media advertisements, inspection and review, and investigative activities relating to application and enforcement of the program, printing, mailing airfare and per diem, lei, decors, rental of facilities and audio visual equipment, display and booth fees, participation fees, general supplies, and other expenses necessary to administer the program.

In FY2018, expenditures will include restocking of the SOQ labels/stickers, all sizes and colors, and promotional materials for members.

In FY2019, expenditures will include purchase of SOQ products to be included in the welcome packs for the delegates attending the Western Agricultural State Departments of Agriculture Annual Meeting (WASDA) to be held in Kauai. Included in the estimated expenditures is the contractor fee to purchase, assemble and distribute the welcome kits.

In FY2020, expenditures will include restocking of the SOQ labels/stickers, all sizes and colors, and promotional materials for members.

Purpose of Proposed Ceiling Increase (if applicable):

FY2019 Ceiling increase is requested to accommodate the expected expenditures on behalf of the WASDA Annual Meeting. This is a non-recurring expense.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	44,011	61,435	66,552	34,690	41,921	43,921	30,921
Revenues	19,044	6,723	5,327	8,564	12,000	12,000	12,000
Expenditures	1,620	1,606	37,189	1,333	10,000	25,000	10,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	61,435	66,552	34,690	41,921	43,921	30,921	32,921
Encumbrances		17,500	0				
Unencumbered Cash Balance	61,435	49,052	34,690	41,921	43,921	30,921	32,921

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 846/EE
 Name of Fund: Pesticide Use Revolving Fund
 Legal Authority: Chapter 149A-13.5, HRS

Contact Name: Thomas Matsuda
 Phone: 973-9404
 Fund type (MOF): Revolving Fund
 Appropriation Acct. No. S-324-A

Intended Purpose:

Deposit and expend revolving funds from licensing, certification and education and compliance monitoring activities.

Source of Revenues: Fees for licensing pesticide products prior to sale in the State.

Current Program Activities:

Funding educational programs, such as publishing "The Pesticides Label", travel associated with registration and licensing activities, ground water monitoring expenses, inventory of pesticides wastes and surpluses. Funds are used to cover the operating expenses associated with pesticide registration, certification and monitoring.

Purpose of Proposed Ceiling Increase (if applicable):

Variance:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,101,976	1,503,640	1,701,850	1,791,118	1,861,231	1,861,231	1,861,231
Beginning Cash Balance	664,641	932,775	1,058,638	697,538	325,809	383,659	441,509
Revenues	1,063,823	1,038,357	1,065,980	1,384,541	1,037,850	1,037,850	1,037,850
Expenditures	795,689	912,494	964,542	1,756,270	980,000	980,000	980,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to General fund			(462,538)				
Net Total Transfers	0	0	(462,538)	0	0	0	0
Ending Cash Balance	932,775	1,058,638	697,538	325,809	383,659	441,509	499,359
Encumbrances	80,082	1,085	43,371	48,315	125,000	125,000	125,000
Unencumbered Cash Balance	852,693	1,057,553	654,167	277,494	258,659	316,509	374,359

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: AGRICULTURE
 Prog ID(s): AGR 846/EE
 Name of Fund: Pesticide Enforcement
 Legal Authority: Chapter 29-14, HRS

Contact Name: Thomas Matsuda
 Phone: 973-9405
 Fund type (MOF) P
 Appropriation Acct. No. S-205-A

Intended Purpose:

Deposit and expend federal funds to ensure the effective and safe use of pesticides and to minimize the adverse effects on man and the environment.

Source of Revenues: Federal Fund Grant

Current Program Activities/Allowable Expenses:

Conduct investigations and compliance assistance activities relating to the distribution and use of pesticides. Certify pesticide users determined to be competent to apply restricted use pesticides. Conduct chemical analyses in support of pesticide program activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	455,561	413,129	446,129	446,129	446,129	446,129	446,129
Beginning Cash Balance	57,881	101,742	103,143	85,940	171,148	256,356	341,564
Revenues	337,021	430,536	425,000	459,133	417,533	417,533	417,533
Expenditures	293,160	429,135	442,203	373,925	332,325	332,325	332,325
Transfers							
List each net transfer in/out/or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0		0
Ending Cash Balance	101,742	103,143	85,940	171,148	256,356	341,564	426,772
Encumbrances	14,228	6,909	19,178	16,340			
Unencumbered Cash Balance	87,515	96,234	66,762	154,808	256,356	341,564	426,772

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-881
 Name of Fund: State Foundation on Culture and the Arts
 Legal Authority: Administratively Created

Contact Name: Susan Naanos
 Phone: 586-0773
 Fund type (MOF) Federal Fund (N)
 Appropriation Acct. No. S-XX-203-M

Intended Purpose: To further arts and culture in Hawaii through implementing goals of a partnership with the National Endowment for the Arts.

Source of Revenues: National Endowment for the Arts

Current Program Activities/Allowable Expenses: Arts Education, Biennium Grants, Folk Arts, SFCA operations and initiatives

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: Revenues for FY14, FY15, FY16 & FY17 vary due to variances in amount of grant from NEA every fiscal year. Expenditures vary due to savings in salaries, fringe benefits and other program operating.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,306,936	1,306,936	735,691	747,039	756,802	756,802	756,802
Beginning Cash Balance	66,804	41,825	60,493	43,225	26,911	26,911	26,911
Revenues	623,100	675,430	697,084	669,519	698,800	698,800	674,600
Expenditures	648,079	656,762	714,352	685,833	698,800	698,800	674,600
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	41,825	60,493	43,225	26,911	26,911	26,911	26,911
Encumbrances	50,566	100,007	22,298	43,098			
Unencumbered Cash Balance	(8,741)	(39,514)	20,927	(16,187)	26,911	26,911	26,911

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-879
 Name of Fund: Help America Vote Act of 2002 (HAVA)
 Legal Authority: H.R. 3295/P.L. #107-252

Contact Name: Rhowell Ruiz
 Phone: 453-8683
 Fund type (MOF) Federal Fund (N)
 Appropriation Acct. No. S-XX-227-M

Intended Purpose:

To improve the administration of elections.

Source of Revenues:

General Services Administration (GSA) and interest payments (State investment pool).

Current Program Activities/Allowable Expenses:

Pursuant to Title I, State's shall use funds to: comply with Title III requirements; improve the administration of elections for Federal office; educating voters on voting procedures, voting rights and voting technologies; training election officials, poll workers, and election volunteers; improving, acquiring, leasing, modifying, or replacing voting systems and technology and methods for casting and counting votes; improving the accessibility and quantity of polling places; establishing a toll-free telephone hotline that voters may use to report possible voting fraud and voting rights violations, to obtain general information, and to access detailed automatic information on their registration status, specific polling place locations, and other relevant information.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,473,714	7,673,714	93,116	93,920	3,500,000	99,694	3,500,000
Beginning Cash Balance	5,024,795	5,571,175	5,709,318	4,758,242	4,176,105	3,825,505	3,390,505
Revenues	370,469	25,290	27,369	29,416	15,000	15,000	15,000
Expenditures	91,944	887,147	978,445	611,553	365,600	450,000	365,600
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7177 07/01/14	267,855						
JM0007 07/14/14		1,000,000					
Net Total Transfers	267,855	1,000,000	0	0	0	0	0
Ending Cash Balance	5,571,175	5,709,318	4,758,242	4,176,105	3,825,505	3,390,505	3,039,905
Encumbrances	3,518,582	3,037,327	4,377,175	898,471			
Unencumbered Cash Balance	2,052,593	2,671,991	381,067	3,277,634	3,825,505	3,390,505	3,039,905

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-879
 Name of Fund: Help America Vote Act, Title II
 Legal Authority: H.R. 3295/P.L.#107-252

Contact Name: Rhowell Ruiz
 Phone: 453-8683
 Fund type (MOF) Federal Fund (N)
 Appropriation Acct. No. S-XX-228-M

Intended Purpose:

To improve the administration of elections

Source of Revenues:

General Services Administration (GSA) and interest payments (State investment pool).

Current Program Activities/Allowable Expenses:

Pursuant to Title II, State's shall use funds to meet the requirements of Title III: complying with the voting systems standards; providing provisional voting; meeting voting information requirements; and maintaining a computerized statewide voter registration list.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,326,353	1,062,061	63,612	63,993	64,494	64,894	65,294
Revenues	3,563	1,551	381	501	400	400	400
Expenditures					0	0	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7177 07/01/14	(267,855)						
JM0007 07/14/14		(1,000,000)					
Net Total Transfers	(267,855)	(1,000,000)	0	0	0	0	0
Ending Cash Balance	1,062,061	63,612	63,993	64,494	64,894	65,294	65,694
Encumbrances							
Unencumbered Cash Balance	1,062,061	63,612	63,993	64,494	64,894	65,294	65,694

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-879
 Name of Fund: Voting Access for Individuals with Disabilities
 Legal Authority: H.R. 3295/P.L. #107-252

Contact Name: Rhowell Ruiz
 Phone: 453-8683
 Fund type (MOF): Federal Fund (N)
 Appropriation Acct. No.: S-XX-229-M

Intended Purpose:

To assure voting access for individuals with disabilities

Source of Revenues:

U.S. Department of Health and Human Services, Administration for Children and Families, Administration on Developmental Disabilities

Current Program Activities/Allowable Expenses:

Making polling places, including the path of travel, entrances, exits, and voting areas of each polling place facility accessible to individuals with a full range of disabilities; providing statewide training to voters with the full range of disabilities utilizing trainers with disabilities to embrace privacy and independence in the voting process; developing and implementing training curricula and educational materials for election officials, precinct officials, and election volunteers; and providing individuals with the full range of disabilities with information about the accessibility of polling places.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	102,635	102,919	103,357	103,976	104,791	105,291	105,791
Revenues	284	438	619	815	500	500	500
Expenditures					0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	102,919	103,357	103,976	104,791	105,291	105,791	106,291
Encumbrances							
Unencumbered Cash Balance	102,919	103,357	103,976	104,791	105,291	105,791	106,291

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-231
 Name of Fund: Custodial Services-Reimbursement Account
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF) Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-301-M

Intended Purpose:

Fund is used to recoup operating costs incurred in providing janitorial services and utility consumption expenditures at facilities occupied by the DOT and the Department of Commerce and Consumer Affairs, Health and Public Safety.

Source of Revenues:

Reimbursement from other state agencies - DOT, DCCA, Health and Public Safety.

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

* \$600,000 reimbursement from AAFES Building Occupants(Depts of Health & Public Safety) was to cease at end of FY16, but extended till the middle of FY18.

Variances: Reimbursements from AAFES building occupants tentatively to stop at the end of December 2017 which results in a expected variance for FY 18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,699,084	1,699,084	1,699,084	1,699,084	1,099,084	1,699,084	1,099,084
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,699,084	1,699,083	1,699,084	1,699,084	1,300,000	1,099,084	1,099,084
Expenditures	1,699,084	1,699,083	1,699,084	1,699,084	1,300,000	1,099,084	1,099,084
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

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Department: Accounting and General Services
 Prog ID(s): AGS-244
 Name of Fund: Surplus Federal Property Revolving Fund
 Legal Authority: Section 103D-1107, HRS

Contact Name: Mei Phillips
 Phone: 831-6757
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No. S-XX-304-M

Intended Purpose:

The objective of the program is to reutilize federal and state property declared surplus or excess. The fund is maintained in an amount adequate to defray the costs of procuring, storing, handling, and disposing of surplus property donated to the State under any federal act or State rules making surplus federal and state property available.

Source of Revenues: Service and handling fees are charged for acquired property based on the acquisition cost or fair market value of the donated or transferred property. For vehicles acquired from the federal government, a fixed fee is charged.

Current Program Activities/Allowable Expenses:

Funds are used for all costs (payroll and operating expenses) to support the program.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: In FY 2014-2017, there was less federal property available and less federal vehicles available for purchase. The high ceiling is necessary to accommodate years when property and vehicles are plentiful and state and county agencies have need for used vehicles.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,798,996	1,798,996	1,826,464	1,836,624	1,848,249	1,848,249	1,848,249
Beginning Cash Balance	358,833	257,000	217,562	211,942	289,975	289,975	289,975
Revenues	599,060	905,550	620,516	261,243	1,848,249	1,848,249	1,848,249
Expenditures	700,893	944,988	626,136	183,210	1,848,249	1,848,249	1,848,249
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	257,000	217,562	211,942	289,975	289,975	289,975	289,975
Encumbrances	733	1,324	24,096	2,481			
Unencumbered Cash Balance	256,267	216,238	187,846	287,494	289,975	289,975	289,975

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: Stadium Special Fund
 Legal Authority: Section 109-3, HRS

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF) Special Fund (B)
 Appropriation Acct. No. S-XX-307-M

Intended Purpose:

The stadium special fund accounts for money collected by the Stadium Authority. The money collected is applied, used and disposed of for the purpose of maintaining, operating, and managing Aloha Stadium.

Source of Revenues: Rental fees for facility, advertising revenues, and concession revenues.

Current Program Activities/Allowable Expenses:

Activities include: providing ticket selling services; providing parking and maintaining traffic controls within stadium premises; repairing and constructing improvements to stadium and related facilities; and providing public safety and security.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable

Variances:

Effective 1/14/2016, increase in parking rates of \$2 or \$4 depending on type of event. FY 2017 parking revenue increased \$89,300 over FY 2016. Field naming rights contract effective 9/30/2016 earned \$165,000 in FY 2017 advertising revenue. Swap meet contract ended on 8/31/2016. Upon expiration of the swap meet contract, the remaining balance in the swap meet reserve account becomes Stadium property \$234,300.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,944,121	8,944,121	9,197,434	9,264,861	9,339,347	9,339,347	9,339,347
Beginning Cash Balance	5,153,655	4,637,131	3,905,156	3,504,105	3,825,698	3,823,198	3,820,698
Revenues	6,913,865	6,904,983	6,899,574	7,575,517	7,293,000	7,300,000	7,235,000
Expenditures	7,427,989	7,634,927	7,298,157	7,251,578	7,293,000	7,300,000	7,235,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV 2014-03, 07/01/14 to S-318	(2,500)						
JV 2014-45, 02/03/14 from S-318	100						
JV 2015-04, 7/1/2014 to S-318		(2,500)					
JV 2015-13, 8/20/2014 from S-318		363					
JV 2015-49, 2/3/2014 from S-318		106					
JV 2016-03, 7/1/2015 to S-318			(2,500)				
JV 2016-20, 9/5/2015 from S-318			32				
JV 2017-03, 7/1/2016 to S-318				(2,500)			
JV 2017-70, 6/13/2017 from S-318				154			
Net Total Transfers	(2,400)	(2,031)	(2,468)	(2,346)	(2,500)	(2,500)	(2,500)
Ending Cash Balance	4,637,131	3,905,156	3,504,105	3,825,698	3,823,198	3,820,698	3,818,198
Encumbrances	864,184	752,752	735,953	878,466			
Unencumbered Cash Balance	3,772,947	3,152,404	2,768,152	2,947,232	3,823,198	3,820,698	3,818,198

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Motor Vehicle Rental & Personal Car Mileage/CIP
 Legal Authority: Section 107-1.5, HRS

Contact Name: Lloyd Ogata
 Phone: 586-0520
 Fund type (MOF) Revolving Fund (W)
 Appropriation Acct. No. S-XX-308-M

Intended Purpose:

CIP projects are assessed for transportation requirements for projects.

Source of Revenues: Design and construction projects, interest income.

Current Program Activities/Allowable Expenses:

Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary and DAGS CIP projects. Fund allows mileage reimbursements for project-funded staff in accordance with statutes and collective bargaining agreements, and funds motor pool auto and parking stall rentals, fuel, oil and auto repair parts, and other transportation-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Expenditures have been steadily increasing surpassing revenues due to program needing to both upkeep program's aging vehicles and increase in CIP staffs' personal car mileage expenditures. Adjustments have been made to the project assessments to address this.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	209,131	106,746	47,594	19,856	59,747	64,747	69,747
Revenues	56,989	72,336	76,198	87,086	110,000	110,000	110,000
Expenditures	99,374	101,488	103,936	94,772	105,000	105,000	105,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7460 6/30/14 JS4437 2/19/16	(60,000)		(14,000)				
JS0448 8/21/14 JS5498 4/15/16		(50,000)	14,000				
JS0972 8/26/14 JS0013 7/5/16		50,000		(8,779)			
JSQ522 8/9/16				8,779			
JS5353 3/31/15 JS1958 10/31/16		700		32,953			
JS5353 3/31/15 JS5152 5/15/17		(700)		14,611			
JS5483 3/31/15 J6185 6/30/17		(30,000)		13			
Net Total Transfers	(60,000)	(30,000)	0	47,577	0	0	0
Ending Cash Balance	106,746	47,594	19,856	59,747	64,747	69,747	74,747
Encumbrances	2,012	1,061	1,077	2,212			
Unencumbered Cash Balance	104,734	46,533	18,779	57,535	64,747	69,747	74,747

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-223
 Name of Fund: Office Leasing
 Legal Authority: Administratively Created

Contact Name: Ivan Nishiki
 Phone: 586-0508
 Fund type (MOF): Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-310-M

Intended Purpose:

To receive funds from other departments as reimbursements for office space lease rental payments to landlords, for any tenant improvement costs for office build-outs, all of which are not in DAGS' budget.

Source of Revenues:

Reimbursements from other departments.

Current Program Activities/Allowable Expenses:

Statewide support for departments of the Executive Branch. Corresponding lease rental expenses from G-XX-032-M are charged against the funds in this reimbursement account. The account is also used to pay landlords for user departments' share of office build-out costs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: In FY 2017, revenues were \$669,386 less than appropriation due to limitations in billing departments for federal reimbursements for the Kapolei State Office building.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000	5,500,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	5,499,999	5,500,000	5,235,575	4,830,614	5,500,000	5,500,000	5,500,000
Expenditures	5,499,999	5,500,000	5,235,575	4,830,614	5,500,000	5,500,000	5,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Supplies, Services & Equipment for CIP Projects
 Legal Authority: Section 107-1.5, HRS

Contact Name: Lloyd Ogata
 Phone: 586-0520
 Fund type (MOF) Revolving Fund (W)
 Appropriation Acct. No. S-XX-313-M

Intended Purpose:

CIP projects are assessed for supplies, services and equipment expended for CIP projects.

Source of Revenues:

Design and construction projects, interest income.

Current Program Activities/Allowable Expenses:

Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary, and DAGS CIP projects. Fund provides for project-related expenses such as office supplies, travel, office furniture, computer hardware & software, reference materials, training, and copier rental.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Expenditures have been steadily increasing surpassing revenues due to program needing to both replace program's outdated computer equipment, computer servers, computer software, and contract services (i.e., plan file archiving system, consultants). Adjustments have been made to the project assessments to address this.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	466,217	188,966	98,177	280,647	822,825	847,825	872,825
Revenues	153,810	113,860	526,863	711,081	250,000	275,000	
Expenditures	381,061	201,649	194,393	168,903	225,000	250,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7460 6/30/14 JS3238 12/15/15	(50,000)		(50,000)				
JS0972 8/26/14 JS389Q 1/21/16		67,000	(80,000)				
JS4745 2/24/15 JS4437 2/19/16		(50,000)	(6,000)				
JS4872 2/28/15 JS5498 4/15/16		(10,000)	(14,000)				
JS5353 3/31/15 JS0013 7/5/16		6,300		(140,700)			
JS5353 3/31/15 JsQ522 8/9/16		(6,300)		140,700			
JS5483 3/31/15		(10,000)					
Net Total Transfers	(50,000)	(3,000)	(150,000)	0			
Ending Cash Balance	188,966	98,177	280,647	822,825	847,825	872,825	872,825
Encumbrances	134,495	54,120	39,946	39,591			
Unencumbered Cash Balance	54,471	44,057	240,701	783,234	847,825	872,825	872,825

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Public Works Accrued Vacation/Sick Leave
 Legal Authority: Section 107-1.5, HRS

Contact Name: Lloyd Ogata
 Phone: 586-0520
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No. S-XX-314-M

Intended Purpose: To manage accrued vacation and sick leave benefit funds for non-general funded staff; to fund accrued vacation credits when an employee leaves or retires; to receive accrued vacation credits for project-funded staff transferring into the program.

Source of Revenues: Assessments to design and construction projects & CIP staff costs appropriation; accrued vacation credits for staff transferring into the program from other agencies; interest income.

Current Program Activities/Allowable Expenses: Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary, and DAGS CIP projects. Allowable expenses include payments for accrued vacation and sick leave taken by non-General funded staff, and transfer of the equivalent dollar value of accrued vacation credits upon transfer to another program.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: Expenditures have been steadily increasing surpassing revenues due to program staff vacation, retirement payouts, and increased salaries from collective bargaining agreement adjustments. Adjustments have been made to the project assessments to address this. FY17 balance to transfer to the S-XX-313-M account as the CIP Staff Cost was changed from B-XX-400-M General Obligation Funds to G-XX-400-M /General Funds effective 7/1/16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000	4,000,000
Beginning Cash Balance	164,736	3,303	56,131	47,382	13	13	13
Revenues	560,036	695,943	445,807	207	0	0	0
Expenditures	831,469	676,115	604,556		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7460 6/30/14	JS3238 12/15/15	110,000	50,000				
JS0448 8/21/14	JS389Q 1/21/16	50,000	80,000				
JS0972 8/26/14	JS4437 2/19/16	(50,000)	20,000				
JS0972 8/26/14	JS0013 7/5/16	(67,000)		(17,382)			
	JSQ522 8/9/16			17,382			
JS4745 2/24/15	JS1958 10/31/16	50,000		(32,952)			
JS4872 2/28/15	JS5152 5/17/17	10,000		(14,611)			
JS5483 3/31/15	JS6185 6/30/17	40,000		(13)			
Net Total Transfers	110,000	33,000	150,000	(47,576)	0	0	0
Ending Cash Balance	3,303	56,131	47,382	13	13	13	13
Encumbrances							
Unencumbered Cash Balance	3,303	56,131	47,382	13	13	13	13
Additional Information:							
Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Information Mgmt Technology Services
 Legal Authority: Administratively Created

Contact Name: Christie Ferreira
 Phone: 586-1920 ext 307
 Fund type (MOF): Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-315-M

Intended Purpose:

Reimbursement for Enterprise IT applications and services

Source of Revenues:

Reimbursements from State agencies

Current Program Activities/Allowable Expenses:

Reimbursement for enterprise shared services, network, data circuits, hardware and software licensing and maintenance

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,000,000	25,000,000	25,000,000	25,000,000	25,000,000	25,000,000	25,000,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0			10,000,000	25,000,000
Expenditures	0	0	0			10,000,000	25,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-901
 Name of Fund: General Administrative Services
 Legal Authority: Administratively Created

Contact Name: Kerry Yoneshige
 Phone: 586-0690
 Fund type (MOF) Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-316-M

Intended Purpose: To receive funds from other divisions within the Department for implementing and providing management advisory services relating to recruitment activities, Return to Work program, employee work performance and conduct issues, and equal employment opportunity. To provide administrative services to the Risk Management Program and Automotive Management Division.

Source of Revenues: Transfers from Public Works Division, Automotive Management Division, Stadium Authority, State Foundation on Culture and the Arts and the Risk Management Program.

Current Program Activities/Allowable Expenses: Management and coordination of all personnel programs within DAGS. Salary and fringe benefits.

Administrative services for all programs within DAGS. Salary and fringe benefits.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: The variances in revenue and expenses in FY 2014 is due to the part year vacancy of the accountant position.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	146,503	146,503	167,069	177,895	179,592	179,592	179,592
Beginning Cash Balance	0	0	821	971	522	0	0
Revenues	123,876	159,081	141,354	154,436	179,592	179,592	179,592
Expenditures	123,062	158,260	141,204	154,885	180,114	179,592	179,592
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6513 5/31/14	(814)						
Net Total Transfers	(814)	0	0	0	0	0	0
Ending Cash Balance	0	821	971	522	0	0	0
Encumbrances		821	971	522			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-252
 Name of Fund: State Parking Revolving Fund
 Legal Authority: Section 107-11, HRS

Contact Name: Hugh Sonoda
 Phone: 586-0350
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No.: S-XX-317-M

Intended Purpose:

Assess and collect parking fees, maintain parking facilities, make rules and regulations to control and restrict parking on lands controlled by the Comptroller, and enforce parking rules and regulations.

Source of Revenues:

Assessment-state parking, parking meter collections, investment pool interest, traffic fines and waivers and pCard rebates.

Current Program Activities/Allowable Expenses:

Assessing parking fees, maintaining parking facilities, enforcing parking rules and regulations, and up keeping electronic parking control devices.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: The expenditure variance between FY14 and FY15 is due to the addition of three (3) positions for the Kapolei Parking Control Office.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,532,901	3,591,830	3,671,012	3,675,957	3,744,590	3,744,590	3,744,590
Beginning Cash Balance	1,077,713	1,843,767	1,316,862	1,301,413	1,194,920	1,156,676	1,118,432
Revenues	3,956,599	3,862,364	3,865,393	3,741,476	3,741,476	3,741,476	3,741,476
Expenditures	2,925,256	4,001,393	3,221,375	3,273,013	3,779,720	3,779,720	3,779,720
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0714-01/02, JS2615-07/04, JS0714-02/02, JS2615-08/04, JS6059-01/11, JS0929-04/02, JS0714-03/02, JS1550-04/03, JS1496-04/03, JS2615-09/04, JS3245-04/06, JS4736-02/09, JS5431-04/10, JS6513-01/11, JS6059-02/11	(265,289)						
JS0008-01/13, JS3136-12/13, JS5466-03/14, JS0008-07/14, JS0162-07/14, JS1140-08/14, JS2144-10/14, JS3136-12/14, JS5466-03/15, JS5639-04/15, JS6548-05/15, JS6990-06/15		(387,876)					
JM0352-08/15, JM0352-08/15, JS2361-10/15, JS0020-07/15, JS0124-07/15, JS2361-10/15, JS4783-03/16, JS0124-07/15, JS0099-07/15, JS0020-07/15, JM0352-8/15, JS2361-10/15, JS3919-01/16, JS4782-03/16, JS4745-04/16, JS6629-06/16			(659,467)				
JS1877-01/15, JS3608-01/15, JS0015-01/16, JS0547-01/16, JS5501-01/16, JS0015-02/17, JS0066-04/17, JS0547-02/17, JS1877-02/17, JS1436-04/17, JS3121-04/17, JS3608-02/17, JS3645-04/17, JS4153-04/17, JS5501-02/17				(574,956)			
Net Total Transfers	(265,289)	(387,876)	(659,467)	(574,956)	0	0	0
Ending Cash Balance	1,843,767	1,316,862	1,301,413	1,194,920	1,156,676	1,118,432	1,080,188
Encumbrances	681,093	1,511,022	334,045	527,337			
Unencumbered Cash Balance	1,162,674	(194,160)	967,368	667,583	1,156,676	1,118,432	1,080,188

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: Stadium Manager's Discretionary Fund
 Legal Authority: Administratively Created

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF): Special Fund (B)
 Appropriation Acct. No.: S-XX-318-M

Intended Purpose:

The fund authorizes expenditures at the discretion of the stadium manager for promotion and other stadium purposes.

Source of Revenues:

Funds for the Stadium Manager's Discretionary Fund are allotted by the Legislature and are transferred from the stadium special fund to meet that allotment.

Current Program Activities/Allowable Expenses:

Not Applicable

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: FYE 2015 expenditures include appreciation plaque for mural project, posters for motivational wall, congratulatory gifts to new University of Hawaii Athletic Director and State Comptroller, Skybox counter skirts, and tour refreshments at a cost of \$750.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	132	628	128	526	1,004	1,004	1,004
Revenues							
Expenditures	1,904	2,531	2,070	1,868	2,500	2,500	2,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV 2014-03, 07/01/14 to S-318	2,500						
JV 2014-45, 02/03/14 from S-318	(100)						
JV 2015-04, 7/1/2014 to S-318		2,500					
JV 2015-13, 8/20/2014 from S-318		(363)					
JV 2015-49, 2/3/2014 from S-318		(106)					
JV 2016-03, 7/1/2015 from S-307			2,500				
JV 2016-20, 9/5/2015 to S-307			(32)				
JV 2017-03, 7/1/2016 from S-307				2,500			
JV 2017-70, 6/13/2017 to S-307				(154)			
Net Total Transfers	2,400	2,031	2,468	2,346	2,500	2,500	2,500
Ending Cash Balance	628	128	526	1,004	1,004	1,004	1,004
Encumbrances	266	128	526	1,004			
Unencumbered Cash Balance	362	0	0	0	1,004	1,004	1,004

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-881
 Name of Fund: Works of Art Special Fund
 Legal Authority: Section 103-8.5, HRS

Contact Name: Susan Naanos
 Phone: 586-0773
 Fund type (MOF) Special Fund (B)
 Appropriation Acct. No. S-XX-319-M

Intended Purpose: To integrate art into the built environment.

Source of Revenues: One percent of the cost of construction and renovations to public (State) buildings.

Current Program Activities/Allowable Expenses: Commissioned and relocatable works of art, conservations, maintaining and promoting the Hawaii State Art Museum.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: Revenues for FY14, FY15, FY16 & FY17 vary due to increase in the collection of 1% CIP assessments and FY15 revenues decreased in the collection of 1% CIP assessments. Expenditures vary due to savings in salaries and fringe benefits and because the production schedule of APP projects and contracts changed.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,190,291	4,224,960	4,346,261	4,427,847	4,508,223	4,508,223	4,461,291
Beginning Cash Balance	4,776,956	7,923,823	8,275,414	10,539,513	11,405,571	10,397,348	9,397,348
Revenues	5,976,706	3,446,624	5,450,017	5,095,934	3,500,000	3,500,000	3,500,000
Expenditures	2,740,527	2,855,522	3,175,918	3,244,876	4,508,223	4,500,000	4,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6922-6/19/14	(89,312)						
JS0601-8/16/14, JS3888-1/9/15, JS6151-4/30/15, JS7368-6/29/15		(239,511)					
JS1997-10/13/15 Proj #22-10-0782			(10,000)				
JS2211-11/17/16, JS2212-11/17/16, JS4888-04/28/17, JS5292-5/22/17				(985,000)			
Net Total Transfers	(89,312)	(239,511)	(10,000)	(985,000)	0	0	0
Ending Cash Balance	7,923,823	8,275,414	10,539,513	11,405,571	10,397,348	9,397,348	8,397,348
Encumbrances	2,037,830	2,962,851	3,036,520	2,680,542			
Unencumbered Cash Balance	5,885,993	5,312,563	7,502,993	8,725,029	10,397,348	9,397,348	8,397,348

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-251
 Name of Fund: State Motor Pool Revolving Fund
 Legal Authority: Section 105-11, HRS

Contact Name: Hugh Sonoda
 Phone: 586-0350
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No. S-XX-320-M

Intended Purpose:

Acquire, operate, repair, maintain, store, and dispose of state-owned vehicles assigned to the motor pool.

Source of Revenues:

Rental of motor vehicles, investment pool interest, sale of salvageable materials and pCard rebates.

Current Program Activities/Allowable Expenses:

Acquire, operate, repair, maintain, store, and dispose of state-owned vehicles assigned to the motor pool.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,377,562	2,831,962	3,445,263	3,464,205	2,961,930	2,961,930	2,961,930
Beginning Cash Balance	2,113,099	1,559,757	1,539,183	897,503	1,024,274	494,597	(35,080)
Revenues	2,540,705	2,677,926	2,441,838	2,618,823	2,441,838	2,441,838	2,441,838
Expenditures	3,094,047	2,660,362	3,083,518	2,492,052	2,971,515	2,971,515	2,971,515
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5767-02/13							
JS6990-06/15		(38,138)					
Net Total Transfers	0	(38,138)	0	0	0	0	0
Ending Cash Balance	1,559,757	1,539,183	897,503	1,024,274	494,597	(35,080)	(564,757)
Encumbrances	49,165	89,378	128,334	41,719			
Unencumbered Cash Balance	1,510,592	1,449,805	769,169	982,555	494,597	(35,080)	(564,757)

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-203
 Name of Fund: State Risk Management Revolving Fund
 Legal Authority: Section 41D-4, HRS

Contact Name: Tracy Kitaoka
 Phone: 586-0550
 Fund type (MOF) Revolving Fund (W)
 Appropriation Acct. No. S-XX-321-M

Intended Purpose:

To fund the program's operating costs and to pay for the cost of the statewide property, excess liability, and crime insurance policies; fund the State's self-insured automobile program; pay informal claims against the State; and fund the payment of property claims within the insurance deductible.

Source of Revenues: The program receives revenue from cost allocation assessments from general funds, various State special and trust funded departments and agencies, investment pool earnings, insurance proceeds on claims, and other recoveries.

Current Program Activities/Allowable Expenses:

Payment of the program's operating costs and statewide insurance policies premiums; payment of tort claims \$10,000 and less, automobile, property and crime losses, and payment of other insurance related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: There are no revenue or expenditure variance larger than 10%.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,285,334	25,285,334	25,325,788	25,339,382	25,359,911	25,359,911	25,359,911
Beginning Cash Balance	18,107,498	17,554,635	18,709,183	21,395,494	23,862,823	23,962,823	24,062,823
Revenues	14,059,108	15,391,329	15,372,959	15,186,725	13,600,000	13,600,000	13,600,000
Expenditures	14,612,378	14,236,781	12,686,648	12,719,396	13,500,000	13,500,000	13,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6513 dated 5-31-14	407						
Net Total Transfers	407	0	0	0	0	0	0
Ending Cash Balance	17,554,635	18,709,183	21,395,494	23,862,823	23,962,823	24,062,823	24,162,823
Encumbrances			200	1,267			
Unencumbered Cash Balance	17,554,635	18,709,183	21,395,294	23,861,556	23,962,823	24,062,823	24,162,823

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-131
 Name of Fund: Information Processing and Communication Services
 Legal Authority: Administratively Created

Contact Name: Susan M. Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-323-M

Intended Purpose:

Reimbursement for work performed for Federal and Special funded programs

Source of Revenues:

Reimbursements from Federal and Special funded programs

Current Program Activities/Allowable Expenses:

Reimbursement for analysis, programming, data entry, and information processing work performed for the Department of Labor and Industrial Relations, the Department of Human Services, the Department of the Attorney General, Child Support Enforcement Agency and the Department of Commerce and Consumer Affairs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Revenues and expenditures in this account fluctuates from year to year according to the amount of services requested, the cost to provide those services, and the amounts ICSD bills departments to recover its costs.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,312,584	3,312,584	3,312,584	3,312,584	3,312,584	3,312,584	3,312,584
Beginning Cash Balance	102,978	15,350	1	0	0	0	0
Revenues	2,856,278	2,851,383	2,645,911	2,856,297	3,312,584	3,312,584	3,312,584
Expenditures	2,943,906	2,866,732	2,645,912	2,856,297	3,312,584	3,312,584	3,312,584
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	15,350	1	0	0	0	0	0
Encumbrances	15,350						
Unencumbered Cash Balance	0	1	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-211
 Name of Fund: Land Survey
 Legal Authority: Administratively Created

Contact Name: Reid K. Siarot
 Phone: 586-0390
 Fund type (MOF): Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-325-M

Intended Purpose:

To hire five (5) contract services positions which would enable the program to address the ongoing backlog.

Source of Revenues:

Funds transferred from other agencies.

Current Program Activities/Allowable Expenses:

Two (2) licensed land surveyors were hired on a part-time basis under personal service contracts for FY 2007.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: For FY 15 and FY 16, there are no position variances. The variance in expenditures is due to no contracts for licensed surveyors.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	285,000	285,000	285,000	285,000	285,000	285,000	285,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	285,000	285,000	285,000
Expenditures	0	0	0	0	285,000	285,000	285,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-231
 Name of Fund: Custodial Services
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF) Special Fund (B)
 Appropriation Acct. No. S-XX-326-M

Intended Purpose:

Fund is used to reimburse the program for utility expenses associated with office/museum area assigned to the State Foundation on Culture and the Arts.

Source of Revenues:

Reimbursement from State Foundation on Culture and Arts

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	58,744	58,744	58,744	58,744	58,744	58,744	58,744
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	58,744	58,744	58,744	58,744	58,744	58,744	58,744
Expenditures	58,744	58,744	58,744	58,744	58,744	58,744	58,744
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-233
 Name of Fund: Central Services-Bldg Repairs & Alterations
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF) Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-327-M

Intended Purpose:

Fund is used to recoup maintenance and repair costs incurred at the AAFES Building.

Source of Revenues:

Reimbursement from other state agencies - Office of Hawaiian Affairs, a semi-autonomous state entity.

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

* \$100,000 reimbursement from Office of Hawaiian Affairs for the maintenance of the AAFES Building, approved by 2012 Legislature, MOA ends FY16.

Variances: Reimbursement from OHA to DAGS ended in FY16, no extension of MOA expected.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	100,000	100,000	100,000	0	0	0	0
Expenditures	100,000	100,000	100,000	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-807
 Name of Fund: Physical Plant Operations & Maintenance
 Legal Authority: Act 178, SLH 2005

Contact Name: Eric Akena
 Phone: (808) 274-3033
 Fund type (MOF): Interdepartmental Transfers (U)
 Appropriation Acct. No. S-XX-328-M

Intended Purpose:

To provide additional unbudgeted services and support for the various public schools on the neighbor islands.

Source of Revenues:

Transfer of funds from the Department of Education.

Current Program Activities/Allowable Expenses:

Ensuring a safe and conducive learning environment for the public schools by providing administrative, technical and trade related services to the Department of Education.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: For FY 2015, FY 2016 and FY 2017, expenditure reimbursements were less than the appropriation ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	1,500,000	1,827,500	1,790,434	1,790,434	1,495,934
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,453,324	1,242,458	1,185,295	1,371,276	1,790,434	1,790,434	1,790,434
Expenditures	1,453,324	1,242,458	1,185,295	1,371,276	1,790,434	1,790,434	1,790,434
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Shared Services Technology Special Fund
 Legal Authority: SLH Act 200/10

Contact Name: Susan M. Sweeney
 Phone: (808) 587-9753
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-333-M

Intended Purpose:

Implements the information technology recommendations of Auditor's Report No. 09-06; established a Chief Information Officer (CIO) and Information Technology steering committee to organize, manage, and oversee statewide information technology governance. Special Fund established for Chief Information Officer and Information Technology steering committee including the employment of staff and training, and any other activities deemed necessary by the CIO to carry out purposes of Information Technology, CIO and information technology steering committee.

Source of Revenues:

Three percent of central services fees in addition to funds appropriated in the FY 16 budget appropriation for Department IT projects.

Current Program Activities/Allowable Expenses:

Provides salaries for seven (7) OIMT positions and funds for IT projects for DCCA and DOT.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

The variance between fiscal years FY 14 and FY15 are due to the fluctuations of staff salaries and vacancies. The increase to appropriation ceiling in FY 16 is due to additional appropriation for State Department IT projects. The revenue for these projects remained in the departmental special funds so do not reflect in this fund; as Special fund projects are approved in FY 16, the Special fund appropriation will be delegated to the appropriate department special fund where the revenue is deposited, and expenditure will be out of that fund.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	821,027	1,200,000	3,065,000	2,885,000	1,312,673	1,312,673	1,312,673
Beginning Cash Balance	511,929	1,105,493	1,425,456	1,884,113	2,397,326	2,397,326	2,397,326
Revenues	1,318,263	1,158,385	1,444,655	1,464,983	1,315,623	1,315,623	1,315,623
Expenditures	724,699	838,422	985,998	951,770	1,315,623	1,315,623	1,315,623
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,105,493	1,425,456	1,884,113	2,397,326	2,397,326	2,397,326	2,397,326
Encumbrances			14,362	7,365			
Unencumbered Cash Balance	1,105,493	1,425,456	1,869,751	2,389,961	2,397,326	2,397,326	2,397,326

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-131
 Name of Fund: ICSD-Access Hawaii Committee
 Legal Authority: Act 101, SLH 2010

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-338-M

Intended Purpose: To establish in the State Treasury the Access Hawai'i Committee (AHC) special fund, into which shall be deposited \$8,000 per month of administrative fees collected by ICSD from Hawaii Information Consortium (HIC), LLC for the purpose of supporting the AHC.

Source of Revenues: Assessments for Portal Program Manager, as allowable by Law (Act 101, SLH 2010), including potential 5% annual increases (per Portal Program Manager contract) and interest earned on the fund balance.

Current Program Activities/Allowable Expenses: Expenditures as approved by the AHC to fund a Portal Program Manager and associated support (administrative and operating expenses to administer the program), and for studies of portal direction and services as the portal technology life cycle

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: In June 2013, AHC voted to suspend the \$8,000 per month payment when there is a two-year reserve in place, based on the special fund appropriation ceiling. In July 2014, payments resumed since the two-year reserve threshold increased with increase of appropriation ceiling to \$150,000.

Expenditures: FY14 - accessibility services for public participation in meetings, FY15 - Portal Program Manager hiring expenses.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,016	150,000	158,578	166,788	168,420	168,420	168,420
Beginning Cash Balance	216,387	216,581	305,628	291,697	233,117	175,829	124,098
Revenues	602	89,047	105,830	98,105	111,132	116,689	122,523
Expenditures	408		119,761	156,685	168,420	168,420	168,420
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	216,581	305,628	291,697	233,117	175,829	124,098	78,201
Encumbrances		283					
Unencumbered Cash Balance	216,581	305,345	291,697	233,117	175,829	124,098	78,201

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-891
 Name of Fund: Wireless Enhanced 911 Special Fund
 Legal Authority: Section 138-3, HRS

Contact Name: Courtney Tagupa
 Phone: 391-7971
 Fund type (MOF): Special Fund (B)
 Appropriation Acct. No. S-XX-341-M

Intended Purpose:

The purpose of this fund is to account for the collection of the surcharges from the wireless phone users and distribution of funds to the Public Safety Answering Points (PSAPs) and wireless carriers to upgrade the 911 system to be able to identify and locate wireless 911 callers.

Source of Revenues: A monthly wireless enhanced 911 surcharge is imposed on each commercial mobile radio and VoIP service connection (66 cents per month), collected by the wireless carriers from their customers and deposited into an account outside of the State Treasury.

Current Program Activities/Allowable Expenses: Collect monthly assessments from wireless carriers, disburse qualifying reimbursements to PSAPs and administrative and operational support to the Board in accordance with Section 138-5, HRS.

Purpose of Proposed Ceiling Adjustment (if applicable): No Ceiling requested for FY 2019.

Variance - Revenue : With the exception of FY 2017 we have had no variances exceeding 10%. FY 2017 was a non recurring event that that will not be repeated. Revenues are projected to remain stable since the no. of mobile phone users have peaked in Hawaii.

Variance - Expenditures: Expenditures are a combination of current cash outflow to pay for allowable expenses; the paydown of existing encumbrances and the encumbering of future cash outlays or expenditures. The variances are dependent on many variables that may be difficult to predict. None of the variances should not be interpreted as a departure from sound financial management.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	9,000,000	9,000,000	9,000,000	10,200,000	16,800,000	9,000,000	13,000,000
Beginning Cash Balance	9,347,748	12,504,198	16,789,216	19,469,440	22,458,025	19,341,295	19,328,747
Revenues	9,102,309	9,302,989	9,657,283	11,167,169	10,500,000	10,500,000	10,500,000
Expenditures	5,945,859	5,017,971	6,977,059	8,178,584	13,616,730	10,512,548	10,734,411
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	12,504,198	16,789,216	19,469,440	22,458,025	19,341,295	19,328,747	19,094,336
Encumbrances	3,441,337	7,222,646	6,124,412	10,433,460	12,025,095	11,268,821	11,001,616
Unencumbered Cash Balance	9,062,861	9,566,570	13,345,028	12,024,565	7,316,200	8,059,926	8,092,720

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-252
 Name of Fund: Parking Control Revolving Fund Escrow Acc
 Legal Authority: Act 329 SLH 1997

Contact Name: Hugh Sonoda
 Phone: 586-0350
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No. S-XX-347-M

Intended Purpose:

The escrow account was established to deposit 20% of revenues from parking facilities located on ceded land for the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of revenues from Ceded Lands. Per Exec. Order No. 03-03 dated 2/11/03, transfer to T-07-902-M-1.

Current Program Activities/Allowable Expenses:

The appropriate funds are deposited into the escrow fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: This account has been closed and all funds transferred.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	37,304	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0714-07/02	(37,304)						
Net Total Transfers	(37,304)	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-111
 Name of Fund: State Archives Preservation LT Access SF
 Legal Authority: Act 88 (SLH 2013)

Contact Name: Adam Jansen
 Phone: 586-0310
 Fund type (MOF) Special Fund (B)
 Appropriation Acct. No. S-XX-365-M

Intended Purpose:

To establish and manage a Digital Archives; to collect, protect, and preserve electronic records of enduring value and keep them accessible in a trustworthy, usable state for the good of the public.

Source of Revenues:

The revenue comes from a \$1.00 state archives preservation fee assessed to permanent documents that are filed or registered by members of the public with a governmental agency where a fee is charge for that submission. The state agency that receives the document collects the \$1 fee on behalf of the State Archives. Other revenue collected comes from records storage fees for records storage at the State Records center assessed to non-general funded agencies.

Current Program Activities/Allowable Expenses:

Consultant services, staff positions, administrative and operational costs of the program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable.

Variances: Not Applicable

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		418,320	505,920	510,920	514,436	514,436	514,436
Beginning Cash Balance	0	404,549	566,004	784,877	908,333	837,413	746,493
Revenues	404,549	455,430	512,246	520,856	520,000	520,000	520,000
Expenditures		293,975	293,373	397,400	590,920	610,920	610,920
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	404,549	566,004	784,877	908,333	837,413	746,493	655,573
Encumbrances		44,698	68,726	87,689	50,000	50,000	
Unencumbered Cash Balance	404,549	521,306	716,151	820,644	787,413	696,493	655,573

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Hawaii Health data Center CCIIO CY-III
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF) Other Federal Funds (P)
 Appropriation Acct. No. S-XX-500-M

Intended Purpose:

Obtain use and analyze multiple data streams to form a state-wide data depository that offers unprecedented detail and insight into the state's health care system. The Center for Consumer Information and Insurance Oversight (DDIO) awarded these Cycle II and Cycle IV grants to develop an All Payer Claims Database (ACPD) as a component in the Hawaii Health Data Center (HHDC). The purpose of the HHDC is to develop these technological tools in order to support health insurance rate review, increase transparency in health care pricing and contribute to health care innovation in Hawaii.

Source of Revenues:

Affordable Care Act Grants to States for Health Insurance Premium Review

Current Program Activities/Allowable Expenses:

The Program contracted with the University of Hawaii Telecommunications and Social Informatics Research Program (UH TASI) to provide APCD data depository and analytic services. Program is actively pursuing use of State's data sources. Per federal grant guidelines and the notice of award, the program is allowed to hire employees, contract for goods and services, and purchase equipment and supplies. Additional expenses, such as travel, are allowed when approved by the federal granting agency.

Purpose of Proposed Ceiling Adjustment (if applicable):

None

Variances:

None

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	21,100	11,681	12,648	0	0
Revenues		158,167	850,574	556,136	1,325,100		
Expenditures		137,067	859,993	555,169	1,337,748		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	21,100	11,681	12,648	0	0	0
Encumbrances		109	1,473,411	1,157,680			
Unencumbered Cash Balance	0	20,991	(1,461,730)	(1,145,032)	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Hawaii Health data Center CCIIO CY-IV
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Other Federal Funds (P)
 Appropriation Acct. No.: S-XX-501-M

Intended Purpose:

Obtain use and analyze multiple data streams to form a state-wide data depository that offers unprecedented detail and insight into the state's health care system. The Center for Consumer Information and Insurance Oversight (DDIO) awarded these Cycle II and Cycle IV grants to develop an All Payer Claims Database (ACPD) as a component in the Hawaii Health Data Center (HHDC). The purpose of the HHDC is to develop these technological tools in order to support health insurance rate review, increase transparency in health care pricing and contribute to health care innovation in Hawaii.

Source of Revenues:

Affordable Care Act Grants to States for Health Insurance Premium Review

Current Program Activities/Allowable Expenses:

The Program contracted with the University of Hawaii Telecommunications and Social Informatics Research Program (UH TASI) to provide APCD data depository and analytic services. Program is actively pursuing use of State's data sources. Per federal grant guidelines and the notice of award, the program is allowed to hire employees, contract for goods and services, and purchase equipment and supplies. Additional expenses, such as travel, are allowed when approved by the federal granting agency.

Purpose of Proposed Ceiling Adjustment (if applicable):

None

Variances:

None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	14,575	14,575	0
Revenues			0	28,763	1,446,980	320,000	
Expenditures			0	14,188	1,446,980	334,575	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	14,575	14,575	0	0
Encumbrances				38,613			
Unencumbered Cash Balance	0	0	0	(24,038)	14,575	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-102
 Name of Fund: Employees Sequestered Funds
 Legal Authority: Section 653-11, HRS

Contact Name: Lenora Fisher
 Phone: 586-0660
 Fund type (MOF) Trust (Agency) - Custodial (T)
 Appropriation Acct. No. T-XX-901-M

Intended Purpose:

To accumulate monies garnished from employees' wages and to disburse as ordered by legal documents.

Source of Revenues:

Funds garnished from employees' wages

Current Program Activities/Allowable Expenses:

Disbursements of funds garnished to appropriate entities/individuals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: The variance between the revenues and expenditures are dependent upon the amounts being garnished from employees' paychecks and the subsequent disbursements as ordered by the related legal documents.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	48,624	55,191	63,804	57,456	52,689	52,689	52,689
Revenues	43,551	72,962	60,027	91,114	55,000	55,000	55,000
Expenditures	36,984	64,349	66,375	95,881	55,000	55,000	55,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	55,191	63,804	57,456	52,689	52,689	52,689	52,689
Encumbrances							
Unencumbered Cash Balance	55,191	63,804	57,456	52,689	52,689	52,689	52,689

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-252
 Name of Fund: OHA Ceded Lands Proceeds
 Legal Authority: Administratively Created

Contact Name: Hugh Sonoda
 Phone: 586-0350
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-902-M

Intended Purpose:

Established to record transfer of funds to the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of revenues from Ceded Lands. Per Exec. Order No. 03-03 dated 2/11/03.

Current Program Activities/Allowable Expenses:

The appropriate funds are transferred to the Office of Hawaiian Affairs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	20,855	21,049	20,688	20,528	20,528	20,528	20,528
Expenditures	20,855	21,049	20,688	20,528	20,528	20,528	20,528
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-231
 Name of Fund: Temporary Deposits-Administrative Services Office
 Legal Authority: Administratively Created

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF): Trust Fund (Clearing) (T)
 Appropriation Acct. No. T-XX-904-M

Intended Purpose:

The purpose of this trust fund is to account for the deposits of salary overpayment amounts collected from employees after the employee and the division have reached a mutual agreement regarding the repayment amount.

Source of Revenues:

Employee salary overpayment

Current Program Activities/Allowable Expenses:

N/A

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: In FY17 , variances in both revenues (overpayments received from employees) and expenditures(recoveries completed) from previous estimates reflect favorable collection efforts by the program.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	20,225	13,872	11,054	13,379	10,650	8,150	5,650
Revenues	6,806	12,262	19,139	2,693	5,000	5,000	4,500
Expenditures	13,159	15,080	16,814	5,422	7,500	7,500	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,872	11,054	13,379	10,650	8,150	5,650	5,150
Encumbrances							
Unencumbered Cash Balance	13,872	11,054	13,379	10,650	8,150	5,650	5,150

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-252
 Name of Fund: Temporary Deposits-Automotive Management
 Legal Authority: Administratively Created

Contact Name: Hugh Sonoda
 Phone: 586-0350
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-905-M

Intended Purpose:

Establish an account for gate card deposit fees collected from parking assignees. Deposits are returned to assignees upon cancellation of the parking assignment and the return of the gate card.

Source of Revenues:

Temporary gate card deposits from parking assignees in gate controlled parking lots.

Current Program Activities/Allowable Expenses:

Deposits are collected from assignees, checks are written to assignees who return gate cards upon cancellation of parking assignment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	67,710	69,180	72,770	74,320	76,465	78,015	79,565
Revenues	13,090	15,390	14,600	14,660	14,600	14,600	14,600
Expenditures	11,620	11,800	13,050	12,515	13,050	13,050	13,050
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	69,180	72,770	74,320	76,465	78,015	79,565	81,115
Encumbrances							
Unencumbered Cash Balance	69,180	72,770	74,320	76,465	78,015	79,565	81,115

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Temporary Deposits-Public Works
 Legal Authority: Section 107-8, HRS

Contact Name: Lloyd Ogata
 Phone: 586-0520
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-906-M

Intended Purpose:

To accept bid deposits and deposits for plans and specifications.

Source of Revenues:

Contractors and others bidding on Public Works Division projects.

Current Program Activities/Allowable Expenses:

Various HPLS, UH, UH CC, DOA, DOD, DOH, AGR, PSD, DBEDT, DHHL, DLNR, DLIR, DCCA, Judiciary, and DAGS CIP projects. Allowable expenses include return of bid deposits and plans and specifications deposit amounts to borrowers upon the return of the documents and upon request.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Unclaimed balance by contractors were transferred back to the General Fund account. Current balance reflect temporary lease rental deposits. for PWD Leasing Services Branch.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	32,863	0	360	360	2,668	2,668	2,668
Revenues	0	360	0	2,308	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0645 2/21/14	(32,863)						
Net Total Transfers	(32,863)	0	0	0	0	0	0
Ending Cash Balance	0	360	360	2,668	2,668	2,668	2,668
Encumbrances							
Unencumbered Cash Balance	0	360	360	2,668	2,668	2,668	2,668

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-881
 Name of Fund: State Foundation on Culture and the Arts
 Legal Authority: Administratively Created

Contact Name: Susan Naanos
 Phone: 586-0773
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-908-M

Intended Purpose: To support the SFCA in perpetuating culture and the arts in Hawaii. This is an account into which donations and private contributions, donations and Hawaii State Art Museum facility rental income are deposited.

Source of Revenues: Private donations and HiSAM rental fees

Current Program Activities/Allowable Expenses: All SFCA programs are eligible to deposit funds in the Trust account/Programs and purposes are determined by SFCA executive director and expenditures subject to the approval of the Comptroller.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: Revenues solely depend on facility rentals and donations to HiSAM, increases or decreases depend on rental demand.

Expenditures in FY14, FY15, FY16 & FY17 vary because trust funds generally are only expended as needed.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	268,203	260,795	270,075	264,851	274,548	264,548	254,548
Revenues	50,586	26,101	51,577	34,412	30,000	30,000	30,000
Expenditures	57,994	16,821	56,801	24,715	40,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	260,795	270,075	264,851	274,548	264,548	254,548	244,548
Encumbrances	8,841	1,364	35,202	35,359			
Unencumbered Cash Balance	251,954	268,711	229,649	239,189	264,548	254,548	244,548

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-871
 Name of Fund: Hawaii Election Campaign Fund
 Legal Authority: HRS Sec. 11-421

Contact Name: Jessica Richey
 Phone: (808) 586-0285
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-910-M

Intended Purpose:

To administer the duties and responsibilities of the Campaign Spending Commission, as it applies to the public funding program.

Source of Revenues:

Tax check-off, HRS §11-421; Escheats, HRS §§11-352, 11-353, 11-364; surplus/residual funds; interest; copies of reports

Current Program Activities/Allowable Expenses:

Execute the goals and objectives of the Commission's Strategic Plan, as it relates to the public funding program.

Purpose of Proposed Ceiling Adjustment (if applicable):

The Commission's operating expenses and salaries are generally funded, so the appropriation ceiling estimate is reduced.

Variances: Unpredictability in the number of candidates accepting public funds and the number of state income tax check-offs for the Fund declining.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,108,051	4,683,051	1,149,990	4,739,084	308,062	308,062	4,747,146
Beginning Cash Balance	2,935,180	2,538,669	1,878,164	1,513,543	798,183	1,004,729	995,043
Revenues	224,621	212,342	336,709	211,496	216,469	198,919	216,469
Expenditures	621,132	872,847	701,330	926,856	9,923	208,605	9,923
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,538,669	1,878,164	1,513,543	798,183	1,004,729	995,043	1,201,589
Encumbrances	2,094	9,983	242	2,636			
Unencumbered Cash Balance	2,536,575	1,868,181	1,513,301	795,547	1,004,729	995,043	1,201,589

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: Stadium Authority's Account (Not in S/T)
 Legal Authority: Section 109-6, HRS

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-911-M

Intended Purpose:

This fund accounts for receipts from the sale of admission tickets for events held at Aloha Stadium, including any money deposited with the Authority by users to assure the payment of charges.

Source of Revenues:

Sale of admission tickets for events held at the stadium, including deposits from licensees to assure payment of charges for use of the stadium.

Current Program Activities/Allowable Expenses:

Not applicable

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable

Variances:

Revenues are dependent upon the number of events held, amount of deposit collected, and ticket sales. Expenditures are based on amount due to licensee and out-of-pocket expenses such as ticket takers, ushers, cleanup, electricity, etc.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	292,873	240,722	299,719	405,667	270,908	270,908	270,908
Revenues	2,075,736	1,920,596	2,248,412	2,190,195	2,100,000	2,100,000	2,100,000
Expenditures	2,127,887	1,861,599	2,142,464	2,324,954	2,100,000	2,100,000	2,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	240,722	299,719	405,667	270,908	270,908	270,908	270,908
Encumbrances							
Unencumbered Cash Balance	240,722	299,719	405,667	270,908	270,908	270,908	270,908

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-221
 Name of Fund: Payroll Clearance, Public Works
 Legal Authority: Administratively Created

Contact Name: Lloyd Ogata
 Phone: 586-0520
 Fund type (MOF) Trust Fund (Clearing) (T)
 Appropriation Acct. No. T-XX-912-M

Intended Purpose:

Agency account which was established to facilitate processing of payroll for project-funded staff on a timely basis.

Source of Revenues:

Clearing account only for non-General fund staff payroll.

Current Program Activities/Allowable Expenses:

Clearing account only for non-General fund staff payroll.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: CIP Staff Costs were converted from General Obligation Funds to General Funds effective for FY 2017. No expenditures will be incurred for FY 2018 through FY 2020.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	6,483,208	6,757,521	6,863,616	0	0	0	0
Expenditures	6,483,208	6,757,521	6,863,616	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-111
 Name of Fund: Captain Cook Memorial Fund
 Legal Authority: Section 6E-33, HRS

Contact Name: Adam Jansen
 Phone: 586-0310
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-913-M

Intended Purpose:

To acquire originals or facsimiles of books, pamphlets, documents, or other articles of historical value relating to the life of Captain James Cook or connected with the history, discovery, and exploration of the Hawaiian Islands. To publish books, documents, or pamphlets relating to above.

Source of Revenues:

Donations

Current Program Activities/Allowable Expenses:

Care and maintenance of material acquired above, plus acquisition of new materials.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,850	3,850	3,850	3,850	3,850	3,150	2,900
Revenues	0	0	0	0	500	750	500
Expenditures	0	0	0	0	1,200	1,000	1,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,850	3,850	3,850	3,850	3,150	2,900	1,900
Encumbrances							
Unencumbered Cash Balance	3,850	3,850	3,850	3,850	3,150	2,900	1,900

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-103
 Name of Fund: Central Payroll Clearance
 Legal Authority: Administratively Created

Contact Name: Ladea Nash
 Phone: 586-0599
 Fund type (MOF) Trust Fund (Clearance) (T)
 Appropriation Acct. No. T-XX-915-M

Intended Purpose:

This trust account serves as a clearing account to facilitate the processing, disbursement and reconciliation of the State's payroll.

Source of Revenues:

Reimbursements from funds in and outside the State Treasury for payroll and from individuals for salary overpayments.

Current Program Activities/Allowable Expenses:

Semi-monthly payroll expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Not Applicable

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	55,914	(66,468)	(139,527)	(61,018)	41,011	0	0
Revenues	3,453,618,954	3,577,083,190	3,681,714,504	3,805,057,314	3,900,000,000	4,000,000,000	4,000,000,000
Expenditures	3,453,741,336	3,577,156,249	3,681,635,995	3,804,955,285	3,900,041,011	4,000,000,000	4,000,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(66,468)	(139,527)	(61,018)	41,011	0	0	0
Encumbrances							
Unencumbered Cash Balance	(66,468)	(139,527)	(61,018)	41,011	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-818
 Name of Fund: King Kamehameha Celebration Commission-Donation/Gift
 Legal Authority: Section 8-5, HRS

Contact Name: Kerry Yoneshige
 Phone: 586-0690
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-916-M

Intended Purpose:

To commemorate the legacy of King Kamehameha I through culturally-appropriate, culturally-relevant celebrations that are coordinated throughout various venues statewide.

Source of Revenues:

Program funds are derived through donations, sponsorships and grant applications through community organizations.

Current Program Activities/Allowable Expenses:

Celebrations, statewide, include: parades, hoolaulea, & lei-draping of Kamehameha statues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Variances in expenditures due to LWOP of incumbent due to lack of funds.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	57,874	57,874	61,550	63,866	67,274	67,274	67,274
Beginning Cash Balance	52	1,003	0	1,578	0	0	0
Revenues							
Expenditures	34,123	23,667	66,871	19,449	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV JT0005 7/5/13, JV JT0046 7/17/13, JV JT0156 8/19/13, JV JT0226 9/16/13, JV JT0923 5/14/14, JV JT0927 5/14/14, JV JT0132 8/13/13	35,074						
JV JT0002 7/2/14, JV JT0090 7/28/14, JV JT0155 8/12/14, JV JT0761 3/31/15, JV JT0977 6/8/15		22,664					
JV JT0005 7/9/17, JV JT0031 7/14/15, JV JT0143 8/24/15, JV JT0230 10/1/15, JV JT0353 11/18/15, JV JT0768 5/23/16, JV JT0872 7/1/16			68,449				
JV JT0188 8/18/16, JV JT0301 9/23/16				17,871			
Net Total Transfers	35,074	22,664	68,449	17,871	0	0	0
Ending Cash Balance	1,003	0	1,578	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,003	0	1,578	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-131
 Name of Fund: Hawaii FYI-ICSD
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-917-M

Intended Purpose:

The fund was established to account for a grant from the Ford Foundation. The general purpose of the Ford Foundation grant is expansion and improvement of public access to government information via the Hawaii FYI network.

Source of Revenues: Prior revenue was from a Ford Foundation Grant. Currently there is no revenue for this fund.

Current Program Activities/Allowable Expenses:

The Ford Foundation grant supports activities planned to rollout events and demos/briefings/seminars; replication/development of Information Services Provider (ISP) sites in Hawaii; and staff and staff recognition events and training.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Variance between FY 13 and FY 14 expenditures is due to expenditure in FY 14 that used the remaining balance of the trust fund account.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	6	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: Temporary Deposits - Stadium Authority
 Legal Authority: Administratively Created

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-918-M

Intended Purpose:

This fund was established to temporarily hold scoreboard advertising receipts that will be subsequently distributed to the proper appropriation accounts.

Source of Revenues:

Gross advertising revenues from contractors.

Current Program Activities/Allowable Expenses:

Not applicable

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable

Variances: Revenues are based on amounts collected with regards to the field naming rights contract. Expenditure for FY 16 represents travel subsidies for the University of Hawaii athletics program and purchase of artificial playing surface according to the field naming rights contract. Expenditure for FY 18 represents purchase of a turf scrubber. FY 19 - Projecting purchase of field protective layer.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	615,000	960,000	1,320,000	0	97,619	92,441	0
Revenues	345,000	360,000	180,000	0	0	0	0
Expenditures			150,000	5,068	41,700	92,441	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV 2016-07, 7/8/2015 design			(145,000)				
JV 2016-49, 2/22/2016 construction			(1,205,000)				
JT0441, 10/27/16				102,687			
JM0317, 7/28/2017 return cash					36,522		
Net Total Transfers	0	0	(1,350,000)	102,687	36,522	0	0
Ending Cash Balance	960,000	1,320,000	0	97,619	92,441	0	0
Encumbrances							
Unencumbered Cash Balance	960,000	1,320,000	0	97,619	92,441	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-103
 Name of Fund: Nonpresentment of Warrants and Checks Trust Fund
 Legal Authority: Section 40-68, HRS

Contact Name: Ladea Nash
 Phone: 586-0599
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-919-M

Intended Purpose:

This trust fund was established to pay claims on checks that were not presented for payment within the statutorily prescribed time. The balance at year-end is used to pay claims received in the subsequent year.

Source of Revenues:

Escheated State checks net of transfer to the general fund is required should balance exceed \$500,000.

Current Program Activities/Allowable Expenses:

Claims on State checks not presented for payment within the statutorily prescribed time.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

The increase in FY15 expenditures was due to several large claims. The increase in FY15 expenditures resulted in retention of more revenues from escheated State checks.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Revenues	220,863	508,530	499,997	498,667	400,000	400,000	400,000
Expenditures	220,863	508,530	499,997	498,667	400,000	400,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Encumbrances							
Unencumbered Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: OETS Vac Accr for Fed-Funded Employees
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No.: T-XX-920-M

Intended Purpose:
 Temporary holding account for General Fund vacation accrual transferred with an employee going to a Federal Fund.

Source of Revenues:
 General Fund Vacation Transfer

Current Program Activities/Allowable Expenses:
 Vacation payout upon separation from State Employment. Employee has separated from the State and all funds have been paid out in FY17.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Not Applicable
 Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	6	0	0	31,903	0	0	0
Revenues	0	0	31,903	0	0	0	0
Expenditures	6	0	0	31,903	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	31,903	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	31,903	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-889
 Name of Fund: University of Hawaii Ticket Receipts
 Legal Authority: Administratively Created

Contact Name: Russell Uchida
 Phone: 483-2753
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-921-M

Intended Purpose:

This fund was established to account for ticket receipts collected for events held at University of Hawaii facilities.

Source of Revenues:

Sale of admission tickets for events held at University of Hawaii facilities.

Current Program Activities/Allowable Expenses:

Not applicable

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable

Variances: Revenues are cash ticket sales collected for events held at University of Hawaii facilities. Expenditures are payments to University of Hawaii for cash ticket sales collected. Aloha Stadium is an "outlet" for University of Hawaii events.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues		42	36	0	100	100	100
Expenditures		42	36	0	100	100	100
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-879
 Name of Fund: Donation for Voter Registration Drive
 Legal Authority: Act 301, SLH 1983, Section 100

Contact Name: Rhowell Ruiz
 Phone: 453-8683
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-922-M

Intended Purpose:

The fund was established in 1984 and managed by the Office of the Lieutenant Governor. At the time, the Lieutenant Governor served as the chief election officer. Since the lieutenant governor no longer serves as the chief election officer, the Office of Elections now manages the account. The funds were used for a voter registration campaign, educational programs, and the voter slogan contest.

Source of Revenues:

Monetary donations from business, community organizations, and private individuals.

Current Program Activities/Allowable Expenses: Currently the fund does not support any program activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	153	153	153	153	153	153	153
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	153	153	153	153	153	153	153
Encumbrances							
Unencumbered Cash Balance	153	153	153	153	153	153	153

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-818
 Name of Fund: King Kamehameha Celebration Commission-Donation/Gift
 Legal Authority: Section 8-5, HRS

Contact Name: Kerry Yoneshige
 Phone: 586-0690
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-930-M

Intended Purpose:

To commemorate the legacy of King Kamehameha I through culturally-appropriate, culturally-relevant celebrations that are coordinated throughout various venues statewide.

Source of Revenues:

Program funds are derived through donations, sponsorships and grant applications through community organizations.

Current Program Activities/Allowable Expenses:

Celebrations, statewide, include: parades, hoolaulea, & lei-draping of Kamehameha statues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not Applicable

Variances: Variances due to reduction in donations and grants received. Expenditures fluctuate with the availability of funds.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	26,861	34,993	21,604	7	5,214	5,214	5,214
Revenues	87,650	55,717	76,619	30,200	0	0	0
Expenditures	44,444	46,442	29,767	7,122	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV JT0005 7/5/13, JV JT0046 7/17/13, JV JT0156 8/19/13, JV JT0226 9/16/13, JV JT0923 5/14/14, JV JT0927 5/14/14, JV JT0132 8/13/13	(35,074)						
JV JT0002 7/2/14, JV JT0090 7/28/14, JV JT0155 8/12/14, JV JT0761 3/31/15, JV JT0977 6/8/15		(22,664)					
JV JT0005 7/9/17, JV JT0031 7/14/15, JV JT0143 8/24/15, JV JT0230 10/1/15, JV JT0353 11/18/15, JV JT0768 5/23/16, JV JT0872 7/1/16			(68,449)				
JV JT0188 8/18/16, JV JT0301 9/23/16				(17,871)			
Net Total Transfers	(35,074)	(22,664)	(68,449)	(17,871)	0	0	0
Ending Cash Balance	34,993	21,604	7	5,214	5,214	5,214	5,214
Encumbrances							
Unencumbered Cash Balance	34,993	21,604	7	5,214	5,214	5,214	5,214

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-130
 Name of Fund: Information Technology Trust fund
 Legal Authority: Administratively Created

Contact Name: Susan Maracle-Sweeney
 Phone: (808) 587-9753
 Fund type (MOF): Trust Fund (T)
 Appropriation Acct. No. T-XX-933-M

Intended Purpose:

Salary for two project manager positions within the Office of Information Management and Technology to assist in the implementation of the State Business and Information Technology/Information Resource Management Transformation plan.

Source of Revenues:

Private Grant from Hawaii Community Foundation

Current Program Activities/Allowable Expenses:

Provides salaries for two (2) OIMT positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

none

Variances:

The variance between FY 14 and FY 15 revenue is due to the delay in establishing positions.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	125,287	28,583	0	0	0
Revenues	0	125,287	77,427	122			
Expenditures	0	0	174,131	28,705	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	125,287	28,583	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	125,287	28,583	0	0	0	0

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Accounting and General Services
 Prog ID(s): AGS-231
 Name of Fund: Washington Place Trust Fund
 Legal Authority: Act 113, SLH2013

Contact Name: Wendell Asuka
 Phone: 831-7934
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-951-M

Intended Purpose:

The purpose of this trust fund is to receive and account for proceeds from the use of Washington Place.

Source of Revenues:

Proceeds, rents and fees received for the use and activities conducted at Washington Place.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: variance from estimated amount in FY16 due to more events conducted at Washington Place.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	23,143	27,554	33,867	46,725	56,725	66,725
Revenues	23,143	4,411	30,122	12,858	10,000	10,000	10,000
Expenditures	0	0	23,809	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	23,143	27,554	33,867	46,725	56,725	66,725	76,725
Encumbrances		23,809					
Unencumbered Cash Balance	23,143	3,745	33,867	46,725	56,725	66,725	76,725

Additional Information:

Amount Req. for Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-13-205/S-14-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ended 9/30/2016.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices for operational expenses and subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, for personnel, training, equipment, and operating expenses that are necessary to provide the intended direct services described above to crime victims. Funds are subgranted to the Department of Human Services for case management services for the elderly.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 2,405,568.00	\$ 2,556,144.00	\$ 3,082,145.12	\$ 757,140.33			
Beginning Cash Balance	\$ -	\$ -	\$ -	\$ -			
Revenues	\$ 2,405,568.00	\$ 2,556,143.88	\$ 2,325,004.79	\$ 512,039.00			
Expenditures	\$ 2,164,887.60	\$ 2,333,767.59	\$ 2,082,379.67	\$ 479,258.00			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers	\$ (240,680.40)	\$ (222,376.29)	\$ (242,625.12)	\$ (32,781.00)			
Net Total Transfers	\$ (240,680.40)	\$ (222,376.29)	\$ (242,625.12)	\$ (32,781.00)			
Ending Cash Balance	\$ -	\$ -	\$ -	\$ -			
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ -	\$ -	\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-14-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2014

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
J0479	JS1792	10/02/13	\$ (1,937.34)	09-VA-05
214-040	JS2879	11/18/13	\$ 5,356.78	11-VA-Admin
214-046	JS3333	12/17/13	\$ 938.96	11-VA-Admin
214-088	JS6175	05/15/14	\$ 350.00	11-VA-Admin
R14019	JS0438	08/02/13	\$ 11,913.00	10-VA-05/24
R14030	JS0834	08/19/13	\$ 100.00	10-VA-Admin
R14041	JS1145	09/03/13	\$ 17,939.00	10-VA-05/25
R14055	JS1881	10/02/13	\$ 22,960.00	10-VA-05/26
R14069	JM1827	10/30/13	\$ 22,065.00	10-VA-05/27
R14082	JS3063	12/02/13	\$ 33,008.00	10-VA-05/28
R14089	JS3376	12/18/13	\$ 4,931.00	11-VA-05/4
R14101	JS3560	12/30/13	\$ 15,118.00	11-VA-05/5
R14112	JS4044	01/31/14	\$ 14,599.00	11-VA-05/6
R14126	JS4591	02/28/14	\$ 18,706.00	11-VA-05/7
R14138	JS5189	04/01/14	\$ 17,481.00	11-VA-05/8
R14161	JS6406	05/30/14	\$ 17,481.00	11-VA-05/9
R14169	JS6792	06/18/14	\$ 19,561.00	11-VA-05/10
R14173	JS7261	07/03/14	\$ 100.00	11-VA-Admin
R14173	JS7261	07/03/14	\$ 20,010.00	11-VA-05/11
			\$ 240,680.40	

S-14-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2015

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
215-059	JS2794	11/18/14	\$ 1,801.31	12-VA-Admin
215-072	JS3426	12/16/14	\$ 2,768.99	12-VA-Admin
215-083	JS4305	02/02/15	\$ 1,848.06	12-VA-Admin
215-143	JS6448	05/15/15	\$ 696.93	12-VA-Admin
R15013	JS0393	07/31/14	\$ 23,204.00	11-VA-05/12
R15024	JS1050	09/02/14	\$ 24,484.00	11-VA-05/13
R15045	JS1790	09/30/14	\$ 20,236.00	11-VA-05/14
R15045	JS1790	09/30/14	\$ 468.00	12-VA-05/1
R15058	JS2535	10/31/14	\$ 14,836.00	12-VA-05/2
R15066	JS3115	12/02/14	\$ 13,599.00	12-VA-05/3
R15082	JS3708	01/02/15	\$ 15,725.00	12-VA-05/4
R15091	JS4303	02/02/15	\$ 15,725.00	12-VA-05/5
R15103	JS4947	03/03/15	\$ 10,148.00	12-VA-05/6
R15118	JS5473	03/31/15	\$ 14,856.00	12-VA-05/7
R15132	JS6087	04/29/15	\$ 19,589.00	12-VA-05/8
R15142	JS6708	06/01/15	\$ 19,859.00	12-VA-05/9
R15156	JS7372	06/30/15	\$ 22,532.00	12-VA-05/10
			\$ 222,376.29	

S-14-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
216-041	JS2015	10/15/15	\$ 2,380.19	13-VA-Admin
216-087	JS3710	01/14/16	\$ 1,150.69	13-VA-Admin
216-096	JS4397	02/17/16	\$ 2,481.45	13-VA-Admin
216-102	JS4871	03/16/16	\$ 1,730.79	13-VA-Admin
R16021	JM0502	08/19/15	\$ 22,532.00	12-VA-05/11
R16028	JS1065	09/01/15	\$ 25,975.00	12-VA-05/12
R16041	JS1674	09/29/15	\$ 3,519.00	13-VA-05/1
R16041	JS1674	09/29/15	\$ 19,033.00	13-VA-05/2
R16054	JS2391	10/30/15	\$ 18,088.00	13-VA-05/3
R16064	JS2972	12/04/15	\$ 100.00	13-VA-Admin
R16064	JS2972	12/04/15	\$ 19,522.00	13-VA-05/4
R16082	JS3499	01/04/16	\$ 17,635.00	13-VA-05/5
R16093	JS4029	02/01/16	\$ 15,467.00	13-VA-05/6
R16120	JS5099	03/31/16	\$ 12,716.00	13-VA-05/7
R16120	JS5099	03/31/16	\$ 16,150.00	13-VA-05/8
R16134	JS5756	04/29/16	\$ 19,029.00	13-VA-05/9
R16146	JS6308	06/01/16	\$ 19,029.00	13-VA-05/10
R16162	JS7026	06/29/16	\$ 26,087.00	13-VA-05/11
			\$ 242,625.12	

S-14-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17010	JS0308	07/29/16	\$ 26,087.00	13-VA-05/12
R17019	JM0524	08/15/16	\$ 6,694.00	13-VA-05/13
			\$ 32,781.00	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No.: S-15-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ended 9/30/2017.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices for operational expenses and subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, for personnel, training, equipment, and operating expenses that are necessary to provide the intended direct services described above to crime victims.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)
Appropriation Ceiling			\$ 2,422,394.00	\$ 2,384,983.74	\$ 696,443		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ 37,410.26	\$ 1,688,540.74	\$ 654,143		
Expenditures			\$ 37,410.26	\$ 1,681,730.02	\$ 654,143		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -	\$ -		
Net Total Transfers			\$ -	\$ (6,810.72)	\$ -		
Ending Cash Balance			\$ -	\$ (0.00)	\$ -		
Encumbrances					\$ -		
Unencumbered Cash Balance			\$ -	\$ (0)	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-15-205-N Victims of Crime Act
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
217-039	JS1747	10/19/16	\$ 2,454.41	14-VA-Admin
217-050	JS2204	11/17/16	\$ 3,540.60	14-VA-Admin
217-058	JS2771	12/16/16	\$ 815.71	14-VA-Admin
			\$ 6,810.72	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-16-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ends 9/30/2018.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers to provide direct services to victims.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 8,995,706.00	\$ 7,694,394	\$ 738,825	
Beginning Cash Balance				\$ -	\$ 0	\$ -	
Revenues				\$ 1,301,312.45	\$ 7,694,394	\$ 738,825	
Expenditures				\$ 1,298,278.89	\$ 7,694,394	\$ 738,825	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4479, 4/4/2017				\$ (3,033.56)	\$ -	\$ -	
Net Total Transfers				\$ (3,033.56)	\$ -	\$ -	
Ending Cash Balance				\$ 0.00	\$ -	\$ -	
Encumbrances				\$ -	\$ -	\$ -	
Unencumbered Cash Balance				\$ -	\$ -	\$ -	

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 VOCA Victim Assistance
 Legal Authority: PL 98-473 Victims of Crime Act of 1984

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF): Federal funds (N)
 Appropriation Acct. No. S-17-205

Intended Purpose:

To enhance the quality of justice for crime victims by providing support services such as counseling, group support, crisis hotline, shelters, and support through the criminal justice system and to increase the willingness of victims to cooperate with police and prosecutors after they have reported a crime.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime.

Current Program Activities/Allowable Expenses:

Funds are subgranted to county prosecuting attorney offices victim witness assistance units for operational expenses (personnel, training, equipment, travel) and for subgrants to non-profit agencies, such as domestic violence shelters and sex assault centers, to provide the intended direct services described above to crime victims. In addition, funds are awarded through the Chapter 103F process to non-profit service providers to provide direct services to victims.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					\$ 9,125,845	\$ 8,450,536	\$ 8,450,536
Beginning Cash Balance							
Revenues					\$ 10,147,586	\$ 8,450,536	\$ 8,450,536
Expenditures					\$ 10,147,586	\$ 8,450,536	\$ 8,450,536
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					\$ -	\$ -	\$ -
Net Total Transfers					\$ -	\$ -	\$ -
Ending Cash Balance					\$ -	\$ -	\$ -
Encumbrances					\$ -	\$ -	\$ -
Unencumbered Cash Balance					\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-13-206/S-14-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 1/31/2017.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, drug court, violent crimes, sex offender tracking, technology improvement, specialized units (sex assault, cold case), etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 848,596.77	\$ 2,043,193.23	\$ 2,074,352.00	\$ 919,765.23			
Beginning Cash Balance	\$ 2,647,897.71	\$ 2,724,242.65	\$ 1,796,948.63	\$ 561,394.84			
Revenues	\$ 950,041.71	\$ 12,284.57	\$ 73,860.34	\$ 2,763.56			
Expenditures	\$ 691,055.48	\$ 667,646.38	\$ 1,052,517.05	\$ 334,166.67			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (157,541.29)	\$ (42,729.49)	\$ (102,070.08)	\$ (39,216.63)			
Cash transfers	\$ (25,100.00)	\$ (229,202.72)	\$ (154,827.00)	\$ (190,775.10)			
Net Total Transfers	\$ (182,641.29)	\$ (271,932.21)	\$ (256,897.08)	\$ (229,991.73)			
Ending Cash Balance	\$ 2,724,242.65	\$ 1,796,948.63	\$ 561,394.84	\$ -			
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ 2,724,242.65	\$ 1,796,948.63	\$ 561,394.84	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2014

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV14236	JS3450	12/27/13	\$ (747.76)	10-DJ-03
S-034	JS3945	01/24/14	\$ (20.00)	09-DJ-01
214-040	JS2879	11/18/13	\$ 2,428.00	11-DJ-Admin
214-046	JS3333	12/17/13	\$ 938.00	11-DJ-Admin
214-088	JS6175	05/15/14	\$ 347.00	11-DJ-Admin
R14018	JS0355	08/05/13	\$ 10,435.00	09-DJ-01/33
R14018	JS0355	08/05/13	\$ 23,960.00	10-DJ-03/17
R14040	JS1144	09/03/13	\$ 4,845.00	11-DJ-04/6
R14048	JS1353	09/11/13	\$ 4,938.00	09-DJ-01/34
R14054	JS1640	09/24/13	\$ 21,264.00	09-DJ-01/35
R14054	JS1640	09/24/13	\$ 1,918.00	10-DJ-11/17
R14054	JS1640	09/24/13	\$ 12,713.00	11-DJ-04/7
R14069	JM1827	10/30/13	\$ 4,039.05	09-DJ-01/36
R14069	JM1827	10/30/13	\$ 250.00	11-DJ-04/8
R14082	JS3063	12/02/13	\$ 7,322.00	11-DJ-04/09
R14100	JS3528	12/27/13	\$ 1,075.00	11-DJ-04/10
R14104	JS3757	01/13/14	\$ 10,772.00	10-DJ-07/21
R14111	JS3934	01/23/14	\$ 625.00	11-DJ-04/11
R14125	JS4592	02/28/14	\$ 2,625.00	11-DJ-04/12
R14125	JS4592	02/28/14	\$ 1,209.00	11-DJ-05/13
R14137	JS5120	03/31/14	\$ 5,900.00	11-DJ-05/14
R14144	JS5395	04/10/14	\$ 779.00	10-DJ-07/24
R14147	JS5776	04/24/14	\$ 11,431.00	11-DJ-04/14
R14147	JS5776	04/24/14	\$ 4,815.00	11-DJ-05/15
R14155	JS6106	05/14/14	\$ 8,340.00	10-DJ-07/25
R14160	JS6318	05/22/14	\$ 8,203.00	10-DJ-15/25
R14160	JS6318	05/22/14	\$ 3,250.00	11-DJ-04/15
R14172	JS7157	07/01/14	\$ 3,888.00	11-DJ-04/16
			\$ 157,541.29	

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
Cash Transfers out of the Fund
Fiscal Year 2014

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R14018	JS0355	08/05/13	\$ 2,100.00	10-DJ-09/16
R14040	JS1144	09/03/13	\$ 2,500.00	10-DJ-09/17
R14054	JS1640	09/24/13	\$ 2,500.00	10-DJ-09/18
R14082	JS3063	12/02/13	\$ 2,000.00	10-DJ-09/20
R14100	JS3528	12/27/13	\$ 2,500.00	10-DJ-09/21
R14111	JS3934	01/23/14	\$ 2,500.00	10-DJ-09/22
R14125	JS4592	02/28/14	\$ 3,000.00	10-DJ-09/23
R14137	JS5120	03/31/14	\$ 4,000.00	10-DJ-09/24
R14147	JS5776	04/24/14	\$ 4,000.00	10-DJ-09/25
			\$ 25,100.00	

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2015

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV15282	JS2987	12/02/14	\$ (2,000.00)	11-DJ-03/22
215-059	JS2794	11/18/14	\$ 2,400.92	12-DJ-Admin
215-083	JS4305	02/02/15	\$ 687.00	12-DJ-Admin
R15012	JS0392	07/31/14	\$ 1,428.00	10-DJ-15/27
R15023	JS1031	08/29/14	\$ 5,420.00	10-DJ-15/28
R15023	JS1031	08/29/14	\$ 2,756.00	11-DJ-04/18
R15044	JS1789	09/30/14	\$ 1,088.00	11-DJ-05/20
R15057	JS2388	10/23/14	\$ 1,619.57	10-DJ-15/29
R15057	JS2388	10/23/14	\$ 73.00	11-DJ-05/21
R15065	JS3715	01/05/15	\$ 2,000.00	11-DJ-03/22
R15081	JS3610	12/30/14	\$ 875.00	11-DJ-04/22
R15102	JS4894	03/03/15	\$ 2,355.00	11-DJ-05/25
R15102	JS4894	03/03/15	\$ 2,000.00	12-DJ-01/10
R15126	JS5686	04/15/15	\$ 6,000.00	12-DJ-01/11
R15131	JS5959	04/22/15	\$ 1,830.00	11-DJ-04/26
R15131	JS5959	04/22/15	\$ 1,398.00	11-DJ-05/27
R15141	JS6579	05/22/15	\$ 6,799.00	11-DJ-04/27
R15155	JS7210	06/26/15	\$ 6,000.00	12-DJ-01/14
			\$ 42,729.49	

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
Cash Transfers out of the Fund
Fiscal Year 2015

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R15012	JS0392	07/31/14	\$ 6,278.00	10-DJ-09/28
R15081	JS3610	12/30/14	\$ 15,625.00	11-DJ-07/17
R15090	JS4302	02/02/15	\$ 1,723.00	11-DJ-07/18
R15102	JS4894	03/03/15	\$ 2,225.00	11-DJ-07/19
R15117	JS5452	03/31/15	\$ 156,321.00	12-DJ-12/8
R15141	JS6579	05/22/15	\$ 595.00	11-DJ-07/22
R15155	JS7210	06/26/15	\$ 2,780.00	11-DJ-07/23
R15155	JS7210	06/26/15	\$ 43,679.00	12-DJ-12/11
			\$ 229,226.00	

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
Cash Transfers into the Fund
Fiscal Year 2015

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
215-080	JS3974	01/15/15	\$ (23.28)	10-DJ-09/28
			\$ (23.28)	

TOTAL CASH TRANSFERS \$ 229,202.72

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R16153	JS6494	06/03/16	(22,900.00)	14-DJ-01/2
R16153	JS6494	06/03/16	(11,400.00)	14-DJ-01/3
216-072	JS3239	12/15/15	3,346.97	13-DJ-Admin
R16020	JS0673	08/14/15	14,000.00	12-DJ-01/15
R16027	JS0934	08/25/15	14,569.00	11-DJ-04/30
R16027	JS0934	08/25/15	6,000.00	12-DJ-01/16
R16040	JS1542	09/22/15	611.00	11-DJ-04/31
R16055	JS2190	10/22/15	2,992.00	11-DJ-05/33
R16055	JS2190	10/22/15	2,000.00	12-DJ-01/18
R16063	JS2850	11/23/15	5,000.00	12-DJ-01/19
R16064	JS2972	12/04/15	100.00	13-DJ-Admin
R16081	JS3335	12/22/15	250.00	11-DJ-04/34
R16081	JS3335	12/22/15	5,000.00	12-DJ-01/20
R16092	JS3921	01/22/16	7,000.00	12-DJ-01/21
R16106	JS4479	02/25/16	5,000.00	12-DJ-01/22
R16119	JS5025	03/29/16	399.40	11-DJ-04/37
R16119	JS5025	03/29/16	30,905.00	11-DJ-05/38
R16119	JS5025	03/29/16	5,000.00	12-DJ-01/23
R16133	JS5628	04/22/16	24,005.00	11-DJ-05/39
R16133	JS5628	04/22/16	5,000.00	12-DJ-01/24
R16141	JS5922	05/09/16	191.71	11-DJ-05/40
R16145	JS6307	06/01/16	3,000.00	12-DJ-01/25
R16161	JS6878	06/22/16	2,000.00	12-DJ-01/26
			\$ 102,070.08	

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
Cash Transfers out of the Fund
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R16010	JS0239	07/21/15	\$ 240.00	11DJ07/24
R16027	JS0934	08/25/15	\$ 1,545.00	11DJ07/25
R16040	JS1542	09/22/15	\$ 385.00	11DJ07/26
R16063	JS2850	11/23/15	\$ 1,085.00	11DJ07/28
R16081	JS3335	12/22/15	\$ 785.00	11DJ07/29
R16081	JS3335	12/22/15	\$ 147,951.00	13DJ02/11
R16106	JS4479	02/25/16	\$ 1,211.00	11DJ07/31
R16119	JS5025	03/29/16	\$ 75.00	11DJ07/32
R16133	JS5628	04/22/16	\$ 880.00	11DJ07/33
R16161	JS6878	06/22/16	\$ 670.00	11DJ07/35
			\$ 154,827.00	

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV17238	JS2683	12/09/16	\$ (37.33)	12-DJ-01/28
217-058	JS2771	12/16/16	\$ 1,509.96	13-DJ-Admin
R17009	JS0200	07/22/16	\$ 8,000.00	12-DJ-01/27
R17024	JS0740	08/26/16	\$ 5,000.00	12-DJ-01/28
R17040	JS1254	09/23/16	\$ 3,744.00	12-DJ-01/29
R17108	JM5152	03/30/17	\$ 21,000.00	11-DJ-18/2
			\$ 39,216.63	

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
Cash Transfers out of the Fund
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17009	JS0200	07/22/16	\$ 5,886.00	13-DJ-02/18
R17039	JS1959	11/01/16	\$ 10,983.00	11-DJ-07/38
R17061	JS2427	12/06/16	\$ 17,320.00	11-DJ-07/40
R17073	JS2918	12/29/16	\$ 11,625.00	11-DJ-07/41
R17083	JS3403	01/24/17	\$ 10,120.00	11-DJ-07/42
R17083	JS3403	01/24/17	\$ 23,093.00	11-DJ-17/6
R17083	JS3403	01/24/17	\$ 30,510.00	13-DJ-02/24
R17096	JS3861	03/27/17	\$ 30,545.00	11-DJ-07/43
R17114	JS4304	03/22/17	\$ 4,120.00	11-DJ-07/44
R17135	JS4809	04/25/17	\$ 32,372.00	11-DJ-07/45
R17135	JS4809	04/25/17	\$ 14,201.22	11-DJ-17/9
			\$ 190,775.22	

S-14-206-N Edward J. Byrne Memorial Justice Assistance Grant
Cash Transfers into the Fund
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
-	JS5371	06/01/17	\$ (0.12)	11-DJ-07
			\$ (0.12)	

Net Transfers **\$ 190,775.10**

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-15-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends on 9/30/2018.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, sex offender management training, technology improvement, specialized units (sex assault), police body-worn cameras, etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ -	\$ 999,046.00	\$ 925,907.86	\$ 428,925		
Beginning Cash Balance		\$ -	\$ 908,278.16	\$ 851,627.22	\$ 360,644		
Revenues		\$ 908,278.16	\$ 5,274.20	\$ 6,000.00	\$ 1,600		
Expenditures		\$ -	\$ 27,625.14	\$ 419,572.69	\$ 362,244		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers		\$ -	\$ (34,300.00)	\$ (77,410.17)	\$ -		
Net Total Transfers		\$ -	\$ (34,300.00)	\$ (77,410.17)	\$ -		
Ending Cash Balance		\$ 908,278.16	\$ 851,627.22	\$ 360,644.36	\$ 0		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance		\$ 908,278.16	\$ 851,627.22	\$ 360,644.36	\$ 0		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-15-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R16153	JS6494	06/03/16	\$ 22,900.00	14-DJ-01/2
R16153	JS6494	06/03/16	\$ 11,400.00	14-DJ-01/3
			\$ 34,300.00	

S-15-206-N Edward J. Byrne Memorial Justice Assistance Grant
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV17320	JS3842	02/24/17	\$ (3,000.00)	14-DJ-08
217-068	JS3534	02/01/17	\$ 1,582.20	14-DJ-Admin
217-083	JS4092	03/13/17	\$ 727.97	14-DJ-Admin
R17049	JS1842	10/25/16	\$ 7,000.00	14-DJ-08/3
R17063	JS2428	11/29/16	\$ 25,400.00	14-DJ-08/4
R17073	JS2918	12/29/16	\$ 8,000.00	14-DJ-08/5
R17114	JS4304	03/22/17	\$ 10,500.00	14-DJ-08/8
R17143	JS5086	05/10/17	\$ 10,500.00	14-DJ-08/9
R17150	JS5416	05/31/17	\$ 11,500.00	14-DJ-08/10
R17165	JS6017	06/30/17	\$ 5,200.00	14-DJ-08/11
			\$ 77,410.17	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-16-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends on 9/30/2018.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, violent crimes, untested sex assault kits, specialized units (drug nuisance, sex trafficking, sexual assault), etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling			\$ 908,157.00	\$ 908,157.00	\$ 843,126		
Beginning Cash Balance			\$ -	\$ 799,693.29	\$ 740,777		
Revenues			\$ 799,693.29	\$ 6,114.51	\$ 6,000		
Expenditures			\$ -	\$ 65,031.18	\$ 746,777		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -	\$ -		
Net Total Transfers			\$ -	\$ -	\$ -		
Ending Cash Balance			\$ -	\$ 740,776.62	\$ (0)		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ 740,776.62	\$ (0)		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Edward Byrne Memorial JAG
 Legal Authority: PL 100-690, The Anti-Drug Abuse Act 1988

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-17-206

Intended Purpose:

To assist states and local governments in addressing drugs and violent crimes and to assist in the improvement of the criminal justice system.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance.

Current Program Activities/Allowable Expenses:

The Governor's Committee on Crime reviews and recommends the types of projects to be funded. Grants are awarded to state and county criminal justice agencies for projects addressing issues such as multi-jurisdictional drug interdiction tasks forces, crime laboratory sustainability, specialized units (drug nuisance, sexual assault), etc.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 908,157.00	\$ 908,157	\$ 862,956	\$ 862,956
Beginning Cash Balance				\$ -	\$ 864,440	\$ 840,284	\$ 840,284
Revenues				\$ 864,440.09	\$ 840,284	\$ 840,284	\$ 840,284
Expenditures				\$ -	\$ 864,440	\$ 840,284	\$ 840,284
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -	\$ -	\$ -
Net Total Transfers				\$ -	\$ -	\$ -	\$ -
Ending Cash Balance				\$ 864,440.09	\$ 840,284	\$ 840,284	\$ 840,284
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ 864,440.09	\$ 840,284	\$ 840,284	\$ 840,284

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 CJ
 Name of Fund: FY 2014 SJS Program
 Legal Authority: Justice System Improvement Act of 1979

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-15-208

Intended Purpose:

To support the crime research functions of the Statistical Analysis Center, which is established within CPJAD.

Source of Revenues:

Federal grant received from Department of Justice, Bureau of Justice Statistics. Grant ended 8/31/2015.

Current Program Activities/Allowable Expenses:

Funds will be used for a project that involves the creation of a statistical reporting engine and initial batch of on-demand report templates in an existing Management Information System (MIS) for administrative data on adult criminal offenders supervised in the community. This project arose from the Hawaii Interagency Council on Intermediate Sanctions, for whom the Hawaii SAC provides primary research support. Funds will also be used for travel costs for the SAC director to attend the NCJA National Forum.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling		\$ 25,017.00	\$ 34,125.00				
Beginning Cash Balance		\$ -	\$ 725.00				
Revenues		\$ 25,742.50	\$ 33,399.50				
Expenditures		\$ 1,517.50	\$ 824.50				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Dept. #R15092, Comp #JS4304, 2/2/15		\$ (17,500.00)					
Dept. #R15119, Comp #JS5474, 3/31/15		\$ (6,000.00)					
Dept. #R16030, Comp #JS0935, 8/25/15			\$ (33,300.00)				
Net Total Transfers		\$ (23,500.00)	\$ (33,300.00)				
Ending Cash Balance		\$ 725.00	\$ -				
Encumbrances		\$ -	\$ -				
Unencumbered Cash Balance		\$ 725.00	\$ -				

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 CJ
 Name of Fund: FY 2015 SJS Program
 Legal Authority: Justice System Improvement Act of 1979

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-16-208

Intended Purpose:

To support the crime research functions of the Statistical Analysis Center, which is established within CPJAD.

Source of Revenues:

Federal grant received from Department of Justice, Bureau of Justice Statistics. Grant ended 12/31/2016.

Current Program Activities/Allowable Expenses:

Funds will be used for a project that expands the capability of the State's Offender Management Information System (MIS) to include data on parole and probation officer training and proficiency levels, which are important factors related to offender recidivism. This project arose from the Hawaii Interagency Council on Intermediate Sanctions, for whom the Hawaii SAC provides primary research support. Funds will also be used for travel costs for one SAC staff member to attend the NCJA National Forum.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling			\$ 51,972.00	\$ 27,072.00			
Beginning Cash Balance			\$ -				
Revenues			\$ 24,900.00	\$ 27,072.00			
Expenditures				\$ 2,272.00			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Dept. #R16146, Comp #JS6308, 6/1/16			\$ (13,500.00)	\$ (24,800.00)			
Dept. #R16162, Comp #JS7026, 6/29/16			\$ (11,400.00)				
Net Total Transfers			\$ (24,900.00)	\$ (24,800.00)			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-16-208-N State Justice Statistics Program
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17031	JS1068	09/14/16	\$ 17,100.00	15-BJ-Admin
R17074	JS2998	12/30/16	\$ 5,700.00	15-BJ-Admin
R17078	JS3244	01/18/17	\$ 2,000.00	15-BJ-Admin
			\$ 24,800.00	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 CJ
 Name of Fund: FY 2016 SJS Program
 Legal Authority: Justice System Improvement Act of 1979

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-17-208

Intended Purpose:

To support the crime research functions of the Statistical Analysis Center, which is established within CPJAD.

Source of Revenues:

Federal grant received from Department of Justice, Bureau of Justice Statistics. FY 2016 SJS grant ends 8/31/2018.

Current Program Activities/Allowable Expenses:

This project will expand Hawaii's capacity to produce and consider statistical information pertaining to adult parolees and felony probationers and the State's efforts to reduce recidivism rates among these groups via the use of evidence-based practices. Project will create additional statistical features and functionality for the Interagency Council on Intermediate Sanctions' computerized Management Information System. Funds will also be used for travel costs for one SAC staff member to attend the NCJA National Forum.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 53,988.00	\$ 52,683	\$ 54,000	\$ 54,000
Beginning Cash Balance							
Revenues				\$ 1,305.42	\$ 52,683	\$ 54,000	\$ 54,000
Expenditures				\$ 1,305.42	\$ -	\$ 54,000	\$ 54,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ (52,683)	\$ -	\$ -
Net Total Transfers				\$ -	\$ (52,683)	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-13-213/S-14-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ends 12/31/2017.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 1,253,602.98	\$ 2,183,475.02	\$ 1,482,695.99	\$ 502,605.34	\$ 209,940		
Beginning Cash Balance	\$ -	\$ -	\$ -	\$ -	\$ -		
Revenues	\$ 1,253,602.98	\$ 868,186.03	\$ 980,090.65	\$ 292,665.10	\$ 105,167		
Expenditures	\$ 1,184,841.37	\$ 841,864.55	\$ 926,144.00	\$ 272,162.97	\$ 105,167		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (68,761.61)	\$ (26,321.48)	\$ (53,946.65)	\$ (20,502.13)	\$ -		
Net Total Transfers	\$ (68,761.61)	\$ (26,321.48)	\$ (53,946.65)	\$ (20,502.13)	\$ -		
Ending Cash Balance	\$ (0.00)	\$ -	\$ -	\$ -	\$ -		
Encumbrances	\$ -	\$ -	\$ -	\$ -			
Unencumbered Cash Balance	\$ (0.00)	\$ -	\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-14-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2014

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
214-019	JM1145	10/03/13	\$ 856.36	09-WF-Admin
214-040	JS2879	11/18/13	\$ 2,230.00	10-WF-Admin
214-046	JS3333	12/17/13	\$ 938.00	10-WF-Admin
R14047	JM1056	09/19/13	\$ 26.25	10-WF-Admin
R14069	JM1827	10/30/13	\$ 75.00	10-WF-Admin
R14099	JS3559	01/02/14	\$ 36,654.00	11-WF-10/10
R14117	JS4354	02/14/14	\$ 2,365.00	11-WF-10/11
R14173	JS7261	07/03/14	\$ 17,305.00	10-WF-02/27
R14173	JS7261	07/03/14	\$ 8,312.00	12-WF-07/4
			\$ 68,761.61	

S-14-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2015

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
S-042	JS3151	12/04/14	\$ (13.00)	10-WF-02/27
215-059	JS2794	11/18/14	\$ 2,307.00	11-WF-Admin
215-083	JS4305	02/02/15	\$ 1,087.00	11-WF-Admin
215-098	JS4724	02/19/15	\$ 331.98	11-WF-Admin
R15024	JS1050	09/02/14	\$ 3,300.00	12-WF-07/6
R15043	JM1221	09/30/14	\$ 37.50	11-WF-Admin
R15045	JS1790	09/30/14	\$ 1,650.00	12-WF-07/7
R15075	JS3425	12/16/14	\$ 1,237.00	12-WF-07/9
R15086	JS4301	02/02/15	\$ 4,528.00	12-WF-07/10
R15098	JS4712	02/19/15	\$ 6,825.00	12-WF-07/11
R15109	JS5178	03/17/15	\$ 1,238.00	12-WF-07/12
R15118	JS5473	03/31/15	\$ 1,237.00	12-WF-07/13
R15142	JS6708	06/01/15	\$ 2,285.00	12-WF-07/14
R15142	JS6708	06/01/15	\$ 271.00	12-WF-07/15
			\$ 26,321.48	

S-14-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
216-054	JS2735	11/17/15	\$ 1,829.72	12-WF-Admin
216-124	JS6038	05/16/16	\$ 696.93	12-WF-Admin
R16021	JM0502	08/19/15	\$ 75.00	11-WF-Admin
R16064	JS2972	12/04/15	\$ 7,221.00	11-WF-10/33
R16085	JS3604	01/13/16	\$ 6,600.00	12-WF-07/22
R16107	JS4567	03/01/16	\$ 824.00	12-WF-07/24
R16146	JS6308	06/01/16	\$ 36,700.00	13-WF-09/5
			\$ 53,946.65	

S-14-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
S-008	JS0602	08/17/16	\$ (3,498.00)	13-WF-09/5
217-058	JS2771	12/16/16	\$ 1,373.40	13-WF-Admin
217-068	JS3534	02/01/17	\$ 2,200.00	13-WF-Admin
217-108	JM7420	06/30/17	\$ 2,167.84	13-WF-Admin
R17019	JM0524	08/15/16	\$ 75.00	12-WF-Admin
R17034	JM1440	09/29/16	\$ 19.50	12-WF-Admin
R17034	JM1440	09/29/16	\$ 211.39	12-WF-Admin
R17050	JS1960	11/02/16	\$ 8,341.00	12-WF-07/32
R17084	JS3523	02/01/17	\$ 5,849.00	13-WF-09/13
R17115	JS4369	03/30/17	\$ 3,688.00	13-WF-09/15
R17123	JM5593	04/17/17	\$ 75.00	13-WF-Admin
			\$ 20,502.13	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-15-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ends 3/31/2018.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling			\$ 1,064,059.00	\$ 1,009,966.00	\$ 409,405		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ 65,306.00	\$ 600,561.00	\$ 409,405		
Expenditures			\$ 65,306.00	\$ 520,190.00	\$ 409,405		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ (80,371.00)	\$ -		
Net Total Transfers				\$ (80,371.00)	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-15-213-N Violence Against Women Act
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17062	JS2527	12/01/16	\$ 1,000.00	14-WF-10/6
R17068	JS2770	12/16/16	\$ 30,445.00	14-WF-09/3
R17078	JS3244	01/18/17	\$ 7,843.00	14-WF-09/4
R17097	JM4535	03/01/17	\$ 1,848.00	14-WF-09/6
R17097	JM4535	03/01/17	\$ 2,000.00	14-WF-10/9
R17115	JS4369	03/30/17	\$ 20,000.00	14-WF-10/10
R17136	JS4908	05/02/17	\$ 17,235.00	14-WF-10/11
			\$ 80,371.00	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-16-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ends 6/30/2018.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 1,061,994.00	\$ 1,061,994.00	\$ 1,041,739		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ -	\$ 20,255.00	\$ 1,041,739		
Expenditures			\$ -	\$ 20,255.00	\$ 1,041,739		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -	\$ -		
Net Total Transfers			\$ -	\$ -	\$ -		
Ending Cash Balance			\$ -	\$ -	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG 100
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Violence Against Women Act
 Legal Authority: Violent Crime Control and Law Enforcement Act of 1994

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-17-213

Intended Purpose:

To assist states and local units of government to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women, and to develop and strengthen victim services in cases that involve violent crimes against women.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women.

Current Program Activities/Allowable Expenses:

The major program areas are:

1. Supporting and developing core services for victims of domestic violence, sexual assault, dating violence, and/or stalking.
2. Developing an effective coordinated community response for domestic violence, sexual assault, dating violence, and/or stalking.
3. Supporting underserved/marginalized victim populations.
4. Improving the system response to domestic violence, sexual assault, dating violence, and/or stalking.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 1,061,994.00	\$ 1,061,994	\$ 1,108,872	\$ 1,108,872
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ -	\$ 1,138,212	\$ 1,108,872	\$ 1,108,872
Expenditures				\$ -	\$ 1,138,212	\$ 1,108,872	\$ 1,108,872
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -	\$ -	\$ -
Net Total Transfers				\$ -	\$ -	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances							
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-13-214/S-14-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends 3/31/2018.

Current Program Activities/Allowable Expenses:

Funds are subcontracted to the Department of Public Safety for the "Project Bridge Expansion" project. The project's goals are to decrease the rate of recidivism and parole violations and to reduce the rate of relapse into drug or alcohol use among incarcerated individuals.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 75,305.43	\$ 73,922.44	\$ 309,735.13	\$ 174,637.00	\$ 94,318		
Beginning Cash Balance	\$ -	\$ -	\$ -	\$ -	\$ -		
Revenues	\$ 75,305.43	\$ 73,922.44	\$ 135,098.81	\$ 80,318.66	\$ 84,720		
Expenditures	\$ 6,065.43	\$ 3,422.44	\$ 2,351.20	\$ 292.04	\$ -		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (69,240.00)	\$ (70,500.00)	\$ (132,747.61)	\$ (80,026.62)	\$ (84,720)		
Net Total Transfers	\$ (69,240.00)	\$ (70,500.00)	\$ (132,747.61)	\$ (80,026.62)	\$ (84,720)		
Ending Cash Balance	\$ -	\$ -	\$ -	\$ -	\$ -		
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -		
Unencumbered Cash Balance	\$ -	\$ -	\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-14-214-N RSAT
JV Transfers
Fiscal Year 2014

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
214-040	JS2879	11/18/13	\$ 412.00	10-RT-Admin
R14019	JS0438	08/02/13	\$ 6,426.00	09-RT-01/13
R14041	JS1145	09/03/13	\$ 5,250.00	09-RT-01/14
R14055	JS1881	10/02/13	\$ 8,602.00	09-RT-01/15
R14055	JS1881	10/02/13	\$ 13,050.00	10-RT-01/3
R14117	JS4354	02/14/14	\$ 7,500.00	10-RT-01/7
R14126	JS4591	02/28/14	\$ 8,000.00	10-RT-01/8
R14138	JS5189	04/01/14	\$ 5,000.00	10-RT-01/9
R14148	JS5898	05/01/14	\$ 5,000.00	10-RT-01/10
R14161	JS6406	05/30/14	\$ 5,000.00	10-RT-01/11
R14173	JS7261	07/03/14	\$ 5,000.00	10-RT-01/12
			\$ 69,240.00	

S-14-214-N RSAT
JV Transfers
Fiscal Year 2015

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV15320	JS4487	02/17/15	\$ (7,500.00)	10-RT-01/16
R15020	JS0843	09/02/14	\$ 5,000.00	10-RT-01/13
R15024	JS1050	09/02/14	\$ 5,000.00	10-RT-01/14
R15046	JS1921	10/06/14	\$ 21,000.00	10-RT-01/15
R15058	JS2535	10/31/14	\$ 15,000.00	10-RT-01/16
R15118	JS5473	03/31/15	\$ 5,000.00	10-RT-01/21
R15132	JS6087	04/29/15	\$ 8,000.00	10-RT-01/22
R15142	JS6708	06/01/15	\$ 8,500.00	10-RT-01/23
R15156	JS7372	06/30/15	\$ 10,500.00	10-RT-01/24
			\$ 70,500.00	

S-14-214-N RSAT
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV16246	JS3104	12/07/15	\$ (304.29)	10-RT-01/29
216-096	JS4397	02/17/16	\$ 322.00	11-RT-Admin
R16011	JS0386	07/30/15	\$ 10,000.00	10-RT-01/25
R16028	JS1065	09/01/15	\$ 7,500.00	10-RT-01/26
R16041	JS1674	09/29/15	\$ 7,500.00	10-RT-01/27
R16041	JS1674	09/29/15	\$ 2,000.00	11-RT-01/2
R16054	JS2391	10/30/15	\$ 15,500.00	10-RT-01/28
R16054	JS2391	10/30/15	\$ 8,000.00	11-RT-01/3
R16064	JS2972	12/04/15	\$ 21,100.00	11-RT-01/4
R16065	JS2973	12/01/15	\$ 529.90	10-RT-01/29
R16082	JS3499	01/04/16	\$ 10,000.00	11-RT-01/5
R16093	JS4029	02/01/16	\$ 8,000.00	11-RT-01/6
R16107	JS4567	03/01/16	\$ 5,000.00	11-RT-01/7
R16120	JS5099	03/31/16	\$ 5,000.00	11-RT-01/8
R16134	JS5756	04/29/16	\$ 8,000.00	11-RT-01/9
R16146	JS6308	06/01/16	\$ 8,000.00	11-RT-01/10
R16162	JS7026	06/29/16	\$ 16,600.00	11-RT-01/11
			\$ 132,747.61	

S-14-214-N RSAT
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17001	JM0130	07/19/16	\$ (199.38)	11-RT-Admin
R17010	JS0308	07/29/16	\$ 45,226.00	11-RT-01/12
R17050	JS1960	11/02/16	\$ 5,000.00	12-RT-01/2
R17074	JS2998	12/30/16	\$ 11,000.00	12-RT-01/4
R17084	JS3523	02/01/17	\$ 5,000.00	12-RT-01/5
R17097	JM4535	03/01/17	\$ 5,000.00	12-RT-01/6
R17115	JS4369	03/30/17	\$ 5,000.00	12-RT-01/7
R17136	JS4908	05/02/17	\$ 4,000.00	12-RT-01/8
			\$ 80,026.62	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-15-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends 9/30/2018.

Current Program Activities/Allowable Expenses:

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 53,982.00	\$ 53,982		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ -	\$ 53,982		
Expenditures				\$ -	\$ -		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ -	\$ (53,982)		
Net Total Transfers				\$ -	\$ (53,982)		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-16-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends 9/30/2018.

Current Program Activities/Allowable Expenses:

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 54,181.00	\$ 54,181		
Beginning Cash Balance				\$ -	\$ -		
Revenues				\$ -	\$ 54,181		
Expenditures				\$ -	\$ -		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ -	\$ (54,181)		
Net Total Transfers				\$ -	\$ (54,181)		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Residential Substance Abuse Treatment
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968 (RSAT)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-17-214

Intended Purpose:

To assist states to develop and implement residential substance abuse treatment programs in correctional facilities.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2016 RSAT Grant ends 9/30/2018.

Current Program Activities/Allowable Expenses:

Funds will be subcontracted to the Department of Public Safety to provide substance abuse treatment reentry services to eligible male and female offenders nearing their release from incarceration. Services will assist in facilitating successful reentry into the community and to reduce recidivism. Funds will support key staff positions and program treatments efforts of the Bridge Program.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 54,181.00	\$ 54,181	\$ 63,530	\$ 63,530
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ -	\$ 63,530	\$ 63,530	\$ 64,000
Expenditures				\$ -	\$ -	\$ -	\$ -
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ -	(63,530)	(63,530)	(64,000)
Net Total Transfers				\$ -	(63,530)	(63,530)	(64,000)
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Sex Assault Services Program
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-13-216/S-14-216

Intended Purpose:

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 7/31/2015.

Current Program Activities/Allowable Expenses:

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	\$ 197,904.00	\$ 360,627.00	\$ 122,066.35				
Beginning Cash Balance	\$ -	\$ -	\$ -				
Revenues	\$ 197,904.00	\$ 248,763.65	\$ 94,337.00				
Expenditures	\$ 197,904.00	\$ 248,763.65	\$ 94,337.00				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (196.73)		\$ -				
Net Total Transfers	\$ (196.73)	\$ -	\$ -				
Ending Cash Balance	\$ -	\$ -	\$ -				
Encumbrances	\$ -	\$ -	\$ -				
Unencumbered Cash Balance	\$ -	\$ -	\$ -				

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 Sex Assault Services Program
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-15-216

Intended Purpose:

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 7/31/2016.

Current Program Activities/Allowable Expenses:

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling			\$ 278,648.00	\$ 62,251.00			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 216,397.00	\$ 62,251.00			
Expenditures			\$ 216,397.00	\$ 62,251.00			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -			
Net Total Transfers			\$ -	\$ -			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Sex Assault Services Program
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-16-216

Intended Purpose:

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. Grant ended 8/31/2017.

Current Program Activities/Allowable Expenses:

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling			\$ 308,254.00	\$ 308,254.00	\$ 72,846		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ -	\$ 235,408.00	\$ 72,846		
Expenditures			\$ -	\$ 235,408.00	\$ 72,846		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Sex Assault Services Program
 Legal Authority: P.L. 109-162 VAWA 2005 42 U.S.C. Section 14043G

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-17-216

Intended Purpose:

To provide intervention, advocacy, and accompaniment (e.g., accompanying victims to courts, medical facilities, police departments, etc.), support services, and related assistance for adult, youth, and child victims of sexual assault, family and household members of victims, and those collaterally affected by sexual assault.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office on Violence Against Women. FY 2016 SASP Grant ends 7/31/2018.

Current Program Activities/Allowable Expenses:

By statute, funds under the SASP formula grant program may be used to support the establishment, maintenance, and expansion of rape crisis centers and other programs and projects to assist those victimized by sexual assault.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 308,254	\$ 416,439	\$ 359,490	\$ 359,490
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ -	\$ 416,439	\$ 359,490	\$ 359,490
Expenditures				\$ -	\$ 416,439	\$ 359,490	\$ 359,490
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -	\$ -	\$ -
Net Total Transfers				\$ -	\$ -	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Coverdell National Forensic Science Improvement Act
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-13-228/S-14-228

Intended Purpose:

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 11/30/2015.

Current Program Activities/Allowable Expenses:

Projects that are currently being funded include providing training opportunities to scientific personnel at the Honolulu Police Department and other law enforcement agencies in the state and improving and maintaining forensic science services at the Hawaii Police Department through training for five Hawaii Police Department laboratory personnel and in-house service training for Hawaii Police Department laboratory staff and detectives.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 147,437.41	\$ 58,493.00	\$ 17,119.08				
Beginning Cash Balance	\$ 2,555.07	\$ -	\$ 2,076.32				
Revenues	\$ 144,882.34	\$ 60,568.83	\$ 11,134.16				
Expenditures	\$ 110,046.09	\$ 49,970.60	\$ 13,210.48				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers	\$ (37,391.32)	\$ (8,521.91)	\$ (99.27)				
Cash transfers			\$ 99.27				
Net Total Transfers	\$ (37,391.32)	\$ (8,521.91)	\$ -				
Ending Cash Balance	\$ -	\$ 2,076.32	\$ -				
Encumbrances	\$ -	\$ -	\$ -				
Unencumbered Cash Balance	\$ -	\$ 2,076.32	\$ -				

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-14-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2014

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV14437	JS6096	05/14/14	\$ (133.68)	11-CD-03
R14033	JS0835	08/19/13	\$ 3,000.00	12-CD-01/09
R14055	JS1881	10/02/13	\$ 5,000.00	11-CD-03/23
R14069	JM1827	10/30/13	\$ 4,000.00	11-CD-03/24
R14101	JS3560	12/30/13	\$ 3,000.00	12-CD-01/14
R14126	JS4591	02/28/14	\$ 6,425.00	11-CD-03/28
R14161	JS6406	05/30/14	\$ 1,100.00	12-CD-01/19
R14173	JS7261	07/03/14	\$ 15,000.00	12-CD-01/20
			\$ 37,391.32	

S-14-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2015

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV15340	JS4812	02/27/15	\$ (496.09)	12-CD-01/25
R15046	JS1921	10/06/14	\$ 5,000.00	12-CD-01/23
R15066	JS3115	12/02/14	\$ 4,018.00	12-CD-01/25
			\$ 8,521.91	

**S-14-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2016**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
Fiscal	JS7360	07/14/16	\$ 0.20	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 3.36	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 39.32	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 2.76	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 0.96	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 14.37	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 0.60	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 13.58	13-CD-Admin
Fiscal	JS7360	07/14/16	\$ 24.12	13-CD-Admin
			\$ 99.27	

**S-14-228-N Paul Coverdell National Forensic Act
Cash Transfers into the Fund
Fiscal Year 2016**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
Fiscal	JS7361	07/15/16	\$ 99.27	13-CD-Admin
			\$ 99.27	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 Coverdell National Forensic Science Improvement Act
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-15-228

Intended Purpose:

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 5/31/2016.

Current Program Activities/Allowable Expenses:

Projects to be funded include the Department of Public Safety Narcotics Enforcement Division -- Progression of the NED Forensic Laboratory and the Hawaii Police Department -- Increasing Quality Forensic Services.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 15,757.00	\$ 61,885.54				
Beginning Cash Balance		\$ -	\$ 99.27				
Revenues		\$ 7,595.73	\$ 55,289.41				
Expenditures		\$ 5,496.46	\$ 26,302.68				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Dept. #R15156, Comp #JS7372, 6/30/15		\$ (2,000.00)					
JV Transfers			\$ (28,986.73)				
Cash Transfers			\$ (99.27)				
Net Total Transfers		\$ (2,000.00)	\$ (29,086.00)				
Ending Cash Balance		\$ 99.27	\$ -				
Encumbrances		\$ -	\$ -				
Unencumbered Cash Balance		\$ 99.27	\$ -				

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 Coverdell National Forensic Science Improvement Act
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-16-228

Intended Purpose:

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 3/31/2017.

Current Program Activities/Allowable Expenses:

Projects to be funded include the purchase of portable, field presumptive gunshot residue and explosives detection system for the Maui Police Department, ensuring quality forensic services for the Hawaii Police Department, and the SIS continuing education project for the Honolulu Police Department.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 63,705.00	\$ 55,974.89			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 7,730.11	\$ 55,775.75			
Expenditures			\$ 7,730.11	\$ 55,405.71			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ (370.04)			
Net Total Transfers			\$ -	\$ (370.04)			
Ending Cash Balance			\$ -	\$ 0.00			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ 0.00			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Coverdell National Forensic Science Improvement Act
 Legal Authority: Omnibus Crime Control and Safe Streets Act of 1968, Section 201,
 as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (N)
 Appropriation Acct. No. S-17-228

Intended Purpose:

To improve the quality, timeliness, and credibility of forensic laboratories for criminal justice purposes.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2016 Coverdell ends 12/31/2017.

Current Program Activities/Allowable Expenses:

Funds have been subgranted to the Department of Public Safety/Narcotics Enforcement Division and the Honolulu Police Department.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 71,530.00	\$ 66,255	\$ 71,530	\$ 72,000
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ 5,275.07	\$ 66,255	\$ 71,530	\$ 72,000
Expenditures				\$ 4,925.03	\$ 66,255	\$ 71,530	\$ 72,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ (350.04)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (350.04)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-15-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
Fiscal	JS7360	07/14/16	\$ (99.27)	14-CD-Admin
R16021	JM0502	08/19/15	\$ 3,000.00	14-CD-01/9
R16054	JS2391	10/30/15	\$ 5,000.00	14-CD-01/12
R16093	JS4029	02/01/16	\$ 15,000.00	14-CD-01/15
R16134	JS5756	04/29/16	\$ 6,086.00	14-CD-01/18
			\$ 28,986.73	

S-15-228-N Paul Coverdell National Forensic Act
Cash Transfers out of the Fund
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
Fiscal	JS7361	07/15/16	\$ 99.27	14-CD-Admin
			\$ 99.27	

S-16-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
-	JS0403	08/03/16	\$ 246.70	15-CD-Admin
R17019	JM0524	08/15/16	\$ 20.00	15-CD-Admin
-	JS1524	10/14/16	\$ 103.34	15-CD-Admin
			\$ 370.04	

S-17-228-N Paul Coverdell National Forensic Act
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
-	JS0403	08/03/16	\$ (246.70)	16-CD-Admin
-	JS1524	10/14/16	\$ (103.34)	16-CD-Admin
			\$ (350.04)	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: John R. Justice Grant Program
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-13-257/S-14-257

Intended Purpose:

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 3/31/2015.

Current Program Activities/Allowable Expenses:

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ 124,909.79	\$ 3,067.21	\$ 2,403.41				
Beginning Cash Balance	\$ 916.66	\$ -	\$ -				
Revenues	\$ 123,993.13	\$ 663.80	\$ -				
Expenditures	\$ 124,805.34	\$ 663.80	\$ -				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	\$ (104.45)	\$ -	\$ -				
Net Total Transfers	\$ (104.45)	\$ -	\$ -				
Ending Cash Balance	\$ 0	\$ -	\$ -				
Encumbrances	\$ -	\$ -	\$ -				
Unencumbered Cash Balance	\$ 0.00	\$ -	\$ -				

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 John R. Justice Grant Program
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-15-257

Intended Purpose:

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2016.

Current Program Activities/Allowable Expenses:

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		\$ 30,905.00	\$ 478.20	\$ 478.20			
Beginning Cash Balance		\$ -	\$ -	\$ -			
Revenues		\$ 30,426.80	\$ -	\$ -			
Expenditures		\$ 30,426.80	\$ -	\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ -	\$ -			
Net Total Transfers			\$ -	\$ -			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 John R. Justice Grant Program
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-16-257

Intended Purpose:

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2016.

Current Program Activities/Allowable Expenses:

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 31,216.00	\$ 21.60			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ 31,194.40	\$ -			
Expenditures			\$ 30,768.00	\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			\$ (426.40)	\$ -			
Net Total Transfers			\$ (426.40)	\$ -			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 John R. Justice Grant Program
 Legal Authority: FY 2010 42 USC Section 3797CC-21

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-17-257

Intended Purpose:

Provides loan repayment assistance for local, state, and federal public defenders and local and state prosecutors who commit to continued employment as public defenders and prosecutors for at least three years.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2016 JRJ grant ended 9/30/2017.

Current Program Activities/Allowable Expenses:

Applications are solicited from eligible prosecutors and public defenders. The student loan repayment amount for each beneficiary is dependent on the federal award. Funding is allocated equally between prosecutors and public defenders. A Selection Committee of five members ensures a fair distribution of funding to eligible beneficiaries based on geography and population density. Priority consideration is given to those who have the least ability to repay their loans.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 32,515.00	\$ 32,515	\$ 32,515	\$ 33,000
Beginning Cash Balance				\$ -	\$ -	\$ -	\$ -
Revenues				\$ 32,337.40	\$ 32,515	\$ 32,515	\$ 33,000
Expenditures				\$ 32,064.00	\$ 32,515	\$ 32,515	\$ 33,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ (273.40)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (273.40)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ 0.00	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ 0.00	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-14-257-N Hawaii John R. Justice Grant
JV Transfers
Fiscal Year 2014

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R14167	JM6456	06/18/14	\$ 58.45	13-RJ-Admin
R14167	JM6456	06/18/14	\$ 46.00	13-RJ-Admin
			\$ 104.45	

S-16-257-N Hawaii John R. Justice Grant
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R16123	JM4929	04/15/16	\$ 50.50	15-RJ-Admin
R16123	JM4929	04/15/16	\$ 375.90	15-RJ-Admin
			\$ 426.40	

S-17-257-N Hawaii John R. Justice Grant
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17166	JM7419	06/30/17	\$ 51.00	16-RJ-Admin
R17166	JM7419	06/30/17	\$ 222.40	16-RJ-Admin
			\$ 273.40	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: SORNA Reallocation Project
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-13-260/S-14-260

Intended Purpose:

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 7/31/2016.

Current Program Activities/Allowable Expenses:

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	\$ -	\$ -					
Beginning Cash Balance	\$ -	\$ -					
Revenues	\$ -	\$ 68,158.24					
Expenditures	\$ -	\$ -					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers		\$ (68,158.24)					
Net Total Transfers	\$ -	\$ (68,158.24)					
Ending Cash Balance	\$ -	\$ -					
Encumbrances	\$ -	\$ -					
Unencumbered Cash Balance	\$ -	\$ -					

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 SORNA Reallocation Project
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-15-260

Intended Purpose:

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 7/31/2016.

Current Program Activities/Allowable Expenses:

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling		\$ -	\$ -	\$ -			
Beginning Cash Balance		\$ -	\$ -	\$ -			
Revenues		\$ 1,010.76	\$ 38,125.00	\$ 13,552.24			
Expenditures		\$ -	\$ -				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers		\$ (1,010.76)	\$ (38,125.00)	\$ (13,552.24)			
Net Total Transfers		\$ (1,010.76)	\$ (38,125.00)	\$ (13,552.24)			
Ending Cash Balance		\$ -	\$ -	\$ -			
Encumbrances		\$ -	\$ -	\$ -			
Unencumbered Cash Balance		\$ -	\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 SORNA Reallocation Project
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-16-260

Intended Purpose:

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends 1/31/2018.

Current Program Activities/Allowable Expenses:

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ -	\$ -		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ 8,000.00	\$ 6,500.00	\$ 32,001		
Expenditures			\$ -	\$ -			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers			\$ (8,000.00)	\$ (6,500.00)	\$ (32,001)		
Net Total Transfers			\$ (8,000.00)	\$ (6,500.00)	\$ (32,001)		
Ending Cash Balance			\$ -	\$ -	\$ -		
Encumbrances			\$ -	\$ -	\$ -		
Unencumbered Cash Balance			\$ -	\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 SORNA Reallocation Project
 Legal Authority: FY 12 42 USC 37050 et seq; 42 USC Sec 16925(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-17-260

Intended Purpose:

Reallocated funds from the Edward Byrne Memorial Justice Assistance Grant Program are awarded to states who have not substantially implemented the Sex Offender Registration and Notification Act (SORNA) to assist their state towards SORNA compliance.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2016 SORNA ends 12/31/2017.

Current Program Activities/Allowable Expenses:

Funds are subgranted to the Hawaii Criminal Justice Data Center to support their efforts towards Hawaii's SORNA implementation and compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling					\$ -	\$ -	\$ -
Beginning Cash Balance					\$ -	\$ -	\$ -
Revenues					\$ 50,287	\$ 48,344	\$ 48,344
Expenditures					\$ -	\$ -	\$ -
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Cash Transfers					\$ (50,287)	\$ (48,344)	\$ (48,344)
Net Total Transfers					\$ (50,287)	\$ (48,344)	\$ (48,344)
Ending Cash Balance					\$ -	\$ -	\$ -
Encumbrances					\$ -	\$ -	\$ -
Unencumbered Cash Balance					\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-14-260-N SORNA Reallocation Project
Cash Transfers out of the Funds
Fiscal Year 2015

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R15058	JS2535	10/31/14	\$ 42,829.00	12-DS-01/21
R15103	JS4947	03/03/15	\$ 11,070.00	12-DS-01/25
R15118	JS5473	03/31/15	\$ 14,259.24	12-DS-01/26
			\$ 68,158.24	

S-15-260-N SORNA Reallocation Project
Cash Transfers out of the Funds
Fiscal Year 2015

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R15118	JS5473	03/31/15	\$ 0.76	12-DS-01/26
R15142	JS6708	06/01/15	\$ 253.00	12-DS-01/28
R15156	JS7372	06/30/15	\$ 757.00	12-DS-01/29
			\$ 1,010.76	

S-15-260-N SORNA Reallocation Project
Cash Transfers out of the Funds
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R16093	JS4029	02/01/16	\$ 1,050.00	12-DS-01/36
R16107	JS4567	03/01/16	\$ 655.00	12-DS-01/37
R16120	JS5099	03/31/16	\$ 420.00	12-DS-01/38
R16162	JS7026	06/29/16	\$ 36,000.00	12-DS-01/41
			\$ 38,125.00	

S-15-260-N SORNA Reallocation Project Grant
Cash Transfers out of the Funds
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17010	JS0308	07/29/16	\$ 13,552.24	12-DS-01/42
			\$ 13,552.24	

**Cash Transfers out of the Funds
Fiscal Year 2016**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R16134	JS5756	04/29/16	\$ 6,000.00	15-DS-01/3
R16162	JS7026	06/29/16	\$ 2,000.00	15-DS-01/5
			\$ 8,000.00	

**S-16-260-N SORNA Reallocation Project Grant
Cash Transfers out of the Funds
Fiscal Year 2017**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17039	JS1959	11/01/16	\$ 1,500.00	15-DS-01/8
R17062	JS2527	12/01/16	\$ 3,500.00	15-DS-01/10
R17078	JS3244	01/18/17	\$ 1,500.00	15-DS-01/11
			\$ 6,500.00	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Hawaii HIDTA Award
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-15-504

Intended Purpose:

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

Source of Revenues:

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. Grant ended 12/31/2015.

Current Program Activities/Allowable Expenses:

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling		\$ 278,419.00	\$ 56,822.00				
Beginning Cash Balance		\$ -					
Revenues		\$ 221,597.00	\$ -				
Expenditures		\$ 221,597.00	\$ -				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		\$ -	\$ -				
Ending Cash Balance		\$ -	\$ -				
Encumbrances		\$ -	\$ -				
Unencumbered Cash Balance		\$ -	\$ -				

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Hawaii HIDTA Award
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-15-262

Intended Purpose:

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

Source of Revenues:

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. Grant ended 12/31/2015.

Current Program Activities/Allowable Expenses:

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2013 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling		\$ 212,000.00	\$ 782,049.00				
Beginning Cash Balance		\$ -	\$ -				
Revenues		\$ 209,701.00	\$ 339,455.78				
Expenditures		\$ 209,701.00	\$ 331,505.34				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV Transfers			\$ (7,950.44)				
Net Total Transfers		\$ -	\$ (7,950.44)				
Ending Cash Balance		\$ -	\$ -				
Encumbrances		\$ -	\$ -				
Unencumbered Cash Balance		\$ -	\$ -				

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Hawaii HIDTA Award
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-16-262

Intended Purpose:

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

Source of Revenues:

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. Grant ended 12/31/2016.

Current Program Activities/Allowable Expenses:

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 690,900.00	\$ 417,868			
Beginning Cash Balance			\$ -	\$ 7.44			
Revenues			\$ 387,784.24	\$ 417,887.82			
Expenditures			\$ 383,914.60	\$ 409,786.42			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers			\$ (3,862.20)	\$ (8,081.78)			
Net Total Transfers			\$ (3,862.20)	\$ (8,081.78)			
Ending Cash Balance			\$ 7.44	\$ 27.06			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ 7.44	\$ 27.06			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Hawaii HIDTA Award
 Legal Authority: Public Law 113-6

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-17-262

Intended Purpose:

To reduce drug trafficking and drug production in the United States by: 1) facilitating cooperation among federal, state, local, and tribal law enforcement agencies to share information and implement coordinated enforcement activities; 2) enhancing law enforcement intelligence sharing among agencies; 3) providing reliable law enforcement intelligence needed to design effective enforcement strategies and operations; and 4) supporting coordinated law enforcement strategies which maximize use of available resources to reduce the supply of illegal drugs into HIDTA designated areas. Hawaii has been a HIDTA designated area since 1999.

Source of Revenues:

Federal grant received from the Executive Office of the President Office of National Drug Control Policy. FY 2016 HIDTA Grant ends 12/31/2017.

Current Program Activities/Allowable Expenses:

Funds will be used to support an Employer of Record Services contract for Hawaii HIDTA administrative staff and a prevention initiative.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 862,825.00	\$ 447,000	\$ 847,400	\$ 847,400
Beginning Cash Balance				\$ -	\$ (0)	\$ (0)	\$ -
Revenues				\$ 415,833.87	\$ 447,028	\$ 847,400	\$ 847,400
Expenditures				\$ 415,412.29	\$ 447,028	\$ 847,400	\$ 847,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ (421.58)	\$ -	\$ -	\$ -
Net Total Transfers				\$ (421.58)	\$ -	\$ -	\$ -
Ending Cash Balance				\$ (0.00)	\$ (0)	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ (0.00)	\$ (0)	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-15-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R16068	JM2442	12/04/15	\$ 22.55	G14HI0007A
R16068	JM2442	12/04/15	\$ 125.90	G14HI0007A
R16068	JM2442	12/04/15	\$ 510.27	G14HI0007A
R16068	JM2442	12/04/15	\$ 631.52	G14HI0007A
R16068	JM2442	12/04/15	\$ 1,645.90	G14HI0007A
R16068	JM2442	12/04/15	\$ 5,014.30	G14HI0007A
			\$ 7,950.44	

S-16-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
JV Transfers
Fiscal Year 2016

Dept. No.	Comptroller's	JV Date	Amount	Description/Project
R16116	JM4284	03/17/16	\$ 427.23	G15HI0007A
R16116	JM4284	03/17/16	\$ 583.60	G15HI0007A
R16128	JM5260	04/29/16	\$ 54.00	G15HI0007A
R16128	JM5260	04/29/16	\$ 60.00	G15HI0007A
R16128	JM5260	04/29/16	\$ 151.50	G15HI0007A
R16128	JM5260	04/29/16	\$ 1,155.26	G15HI0007A
R16142	JM5700	05/19/16	\$ 60.00	G15HI0007A
R16142	JM5700	05/19/16	\$ 868.80	G15HI0007A
R16163	JM6483	06/28/16	\$ 36.00	G15HI0007A
R16163	JM6483	06/28/16	\$ 80.00	G15HI0007A
R16163	JM6483	06/28/16	\$ 103.00	G15HI0007A
R16163	JM6483	06/28/16	\$ 282.81	G15HI0007A
			\$ 3,862.20	

S-16-262-N High Intensity Drug Trafficking Areas (HIDTA) Program
JV Transfers
Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17057	JM2658	11/30/16	\$ 18.00	G15HI0007A
R17057	JM2658	11/30/16	\$ 20.00	G15HI0007A
R17057	JM2658	11/30/16	\$ 51.00	G15HI0007A

R17057	JM2658	11/30/16	\$	3,794.76	G15HI0007A
R17069	JM2985	12/16/16	\$	72.00	G15HI0007A
R17069	JM2985	12/16/16	\$	239.43	G15HI0007A
R17069	JM2985	12/16/16	\$	657.42	G15HI0007A
R17069	JM2985	12/16/16	\$	1,330.40	G15HI0007A
R17079	JM3634	01/19/17	\$	1,696.38	G15HI0007A
			\$	8,081.78	

S-17-262-N High Intensity Drug Trafficking Areas (HIDTA) Program

JV Transfers

Fiscal Year 2017

Comptroller's		JV Date	Amount	Description/Project
Dept. No.	No.			
R17079	JM3634	01/19/17	\$ 3.62	G16HI0007A
R17079	JM3634	01/19/17	\$ 18.00	G16HI0007A
R17079	JM3634	01/19/17	\$ 20.00	G16HI0007A
R17079	JM3634	01/19/17	\$ 181.50	G16HI0007A
R17092	JM4534	03/01/17	\$ 20.00	G16HI0007A
R17092	JM4534	03/01/17	\$ 151.40	G16HI0007A
R17109	JM5153	03/30/17	\$ 21.67	G16HI0007A
R17126	JM5778	04/24/17	\$ 5.39	G16HI0007A
			\$ 421.58	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2014 PREA Reallocation Funds
 Legal Authority: FY 14 (BJA-PREA Reallocation) 42 USC 3750
et seq.; 42 USC 15607(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-15-505

Intended Purpose:

Reallocation of penalized funds from the FY 2014 Edward Byrne Memorial Justice Assistance Grant (JAG) Program - State Formula Funds. Funds are to be used to assist Hawaii to achieve full compliance with the Prison Rape Elimination Act (PREA).

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 9/30/2015.

Current Program Activities/Allowable Expenses:

Funds will be subgranted to the Department of Public Safety to support their efforts towards Hawaii's PREA compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling		\$ 15,000.00	\$ 11,344.00				
Beginning Cash Balance		\$ -	\$ -				
Revenues		\$ 15,000.00	\$ 11,344.00				
Expenditures		\$ -	\$ -				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Dept. #R15149, Comp #JS6992, 6/16/15		\$ (15,000.00)					
Dept. #R16011, Comp #JS0386, 7/30/15			\$ (11,344.00)				
Net Total Transfers		\$ (15,000.00)	\$ (11,344.00)				
Ending Cash Balance		\$ -	\$ -				
Encumbrances		\$ -	\$ -				
Unencumbered Cash Balance		\$ -	\$ -				

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 PREA Reallocation Funds
 Legal Authority: FY 14 (BJA-PREA Reallocation) 42 USC 3750
et seq.; 42 USC 15607(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-16-505

Intended Purpose:

Reallocation of penalized funds from the FY 2015 Edward Byrne Memorial Justice Assistance Grant (JAG) Program - State Formula Funds. Funds are to be used to assist Hawaii to achieve full compliance with the Prison Rape Elimination Act (PREA).

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ended 12/31/2016.

Current Program Activities/Allowable Expenses:

Funds will be subgranted to the Department of Public Safety to support their efforts towards Hawaii's PREA compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling			\$ 23,251.00	\$ 23,251.00			
Beginning Cash Balance			\$ -	\$ -			
Revenues			\$ -	\$ 23,251.00			
Expenditures			\$ -	\$ 100.56			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers			\$ -	\$ (23,150.44)			
Net Total Transfers			\$ -	\$ (23,150.44)			
Ending Cash Balance			\$ -	\$ -			
Encumbrances			\$ -	\$ -			
Unencumbered Cash Balance			\$ -	\$ -			

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-16-505-N PREA Reallocation Funds**JV Transfers****Fiscal Year 2017**

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
JV17287	JS3374	01/24/17	\$ (100.00)	15-XT-01
JV17300	JS3460	02/02/17	\$ (0.56)	15-XT-01
R17062	JS2527	12/01/16	\$ 23,251.00	15-XT-01/6
			\$ 23,150.44	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 PREA Reallocation Funds
 Legal Authority: FY 14 (BJA-PREA Reallocation) 42 USC 3750
et seq.; 42 USC 15607(c)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-17-505

Intended Purpose:

Reallocation of penalized funds from the FY 2016 Edward Byrne Memorial Justice Assistance Grant (JAG) Program - State Formula Funds. Funds are to be used to assist Hawaii to achieve full compliance with the Prison Rape Elimination Act (PREA).

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. FY 2016 PREA grant ends 9/30/2017.

Current Program Activities/Allowable Expenses:

Funds will be subgranted to the Department of Public Safety to support their efforts towards Hawaii's PREA compliance.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 25,143	\$ 25,143	\$ 24,172	\$ 24,172
Beginning Cash Balance				\$ -			
Revenues				\$ -	\$ 25,143	\$ 24,172	\$ 24,172
Expenditures				\$ -	\$ -		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV transfers				\$ -	\$ (25,143)	\$ (24,172)	\$ (24,172)
Net Total Transfers				\$ -	\$ (25,143)	\$ (24,172)	\$ (24,172)
Ending Cash Balance				\$ -	\$ -	\$ -	\$ -
Encumbrances				\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance				\$ -	\$ -	\$ -	\$ -

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2015 VOCA VA Training Grant
 Legal Authority PL 98-473 Victims of Crime Act of 1984;
42 USC 10603(a) & (b) and 42 USC 1063(c)(1)(A)

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal funds (P)
 Appropriation Acct. No. S-16-509

Intended Purpose:

The purpose of this grant is to provide each state and territory with funding to support training and technical assistance for victim assistance grantees and others who work with crime victims.

Source of Revenues:

Federal formula grant received from the Department of Justice, Office for Victims of Crime. Grant ends 9/30/2018.

Current Program Activities/Allowable Expenses:

Conduct a comprehensive training needs assessment with key stakeholders; develop a strategic plan for training state victim assistance providers and others who work with crime victims; provide training and technical assistance; and develop a system for receiving, tracking and responding to requests for training and technical assistance, including an evaluation process that allows the Department and OVC to assess user satisfaction of services.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			\$ 147,757.00	\$ 147,757.00	\$ 147,757		
Beginning Cash Balance			\$ -	\$ -	\$ -		
Revenues			\$ -	\$ -	\$ 147,757		
Expenditures			\$ -	\$ -	\$ 147,757		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -		
Net Total Transfers				\$ -	\$ -		
Ending Cash Balance				\$ -	\$ -		
Encumbrances				\$ -	\$ -		
Unencumbered Cash Balance				\$ -	\$ -		

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: FY 2016 Hawaii SAKI
 Legal Authority: Public Law No. 114-113, 129 STAT 2242, 2308

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-17-512

Intended Purpose:

The purpose of this grant is to support multi-disciplinary community response teams engaged in the comprehensive reform of jurisdictions' approaches to sexual assault cases resulting from evidence found in previously unsubmitted sexual assault evidence kits.

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Assistance. Grant ends 9/30/2019.

Current Program Activities/Allowable Expenses:

Creation of coordinated community response that ensures just resolution to these cases whenever possible through a victim-centered approach, as well as to build capacity to prevent the development of conditions that lead to high numbers of unsubmitted sexual assault kits in the future. Funding for a full-time Sexual Assault Kit Initiative Coordinator, testing of unsubmitted sexual assault kits, support for the Hawaii SAKI Team, and training for law enforcement and victim service providers.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				\$ 2,000,000.00	\$ 1,976,026	\$ 1,476,026	
Beginning Cash Balance				\$ -	\$ -	\$ -	
Revenues				\$ 23,974.13	\$ 500,000	\$ 1,476,026	
Expenditures				\$ 22,192.93	\$ 500,000	\$ 1,476,026	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ (1,781.20)	\$ -	\$ -	
Net Total Transfers				\$ (1,781.20)	\$ -	\$ -	
Ending Cash Balance				\$ -	\$ -	\$ -	
Encumbrances							
Unencumbered Cash Balance				\$ -	\$ -	\$ -	

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-17-512-N Hawaii Sexual Assault Kit Initiative (HI SAKI)

JV Transfers

Fiscal Year 2017

Dept. No.	Comptroller's No.	JV Date	Amount	Description/Project
R17109	JM5153	03/30/17	\$ 1,581.20	16-AK-Admin
R17123	JM5593	04/17/17	\$ 200.00	16-AK-Admin
			\$ 1,781.20	

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 CJ
 Name of Fund: FY 2016 Hawaii NIBRS Program
 Legal Authority: Omnibus Crime Control & Safe Street Acts of 1968, as amended

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-17-513

Intended Purpose:

The purpose of this grant is to support the capacity of Hawaii's UCR program to report incident-based crime data to the FBI's National Incident-Based Reporting System (NIBRS).

Source of Revenues:

Federal formula grant received from the Department of Justice, Bureau of Justice Statistics. Grant ends 9/30/2019.

Current Program Activities/Allowable Expenses:

Support the procurement of a state NIBRS repository system and training for state and local agency staff in working with the new repository software and processes.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling				\$ 480,268.00	\$ 480,268	\$ 265,152	
Beginning Cash Balance				\$ -	\$ -	\$ -	
Revenues				\$ -	\$ 215,134	\$ 265,152	
Expenditures				\$ -	\$ 215,134	\$ 265,152	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				\$ -	\$ -	\$ -	
Net Total Transfers				\$ -	\$ -	\$ -	
Ending Cash Balance				\$ -	\$ -	\$ -	
Encumbrances							
Unencumbered Cash Balance				\$ -	\$ -	\$ -	

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100 AC
 Name of Fund: Federal Community Restitution
 Legal Authority: Federal Title II Mandatory Victims Restitution Act of the
Anti-Terrorism and Effective Death Penalty Act of 1996

Contact Name: Amy Tatsuno
 Phone: 586-1152
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-912

Intended Purpose:

To support community efforts for a safe and drug free environment and to prevent further drug-related crimes from occurring.

Source of Revenues:

Federal community restitution for certain drug offenses in which there is no identifiable victim; 65% is distributed to the state entity that administers the crime victim assistance grant. CPJAD administers the crime victim assistance grant in Hawaii. It is difficult to predict the amount of restitution that will be received in future years.

Current Program Activities/Allowable Expenses:

Crime prevention efforts, including training and public awareness, to reduce drug crimes and to restore communities impacted by drug dealing and use.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Beginning Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356
Revenues	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Expenditures	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Ending Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356
Encumbrances	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Unencumbered Cash Balance	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,355.67	\$ 3,356	\$ 3,356	\$ 3,356

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG 100AB
 Name of Fund: Medicaid Investigation Recovery Fund
 Legal Authority: HRS 28-91-5

Contact Name: Thu Nguyen
 Phone: 586-1058
 Fund type (MOF) Special fund (B)
 Appropriation Acct. No. S-302-N

Intended Purpose: Special Fund into which all funds from Medicaid Investigation and Medicaid Fraud Settlements will be deposited
 Money will be used to support a portion of operating expenses of Medicaid Fraud Control Unit.

Source of Revenues: Settlements, Recovery costs from investigations.

Current Program Activities/Allowable Expenses: To cover 25% of payroll & all operating cost of the Medicaid Fraud Control Unit.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	567,318	567,318	593,740	594,155	666,165	666,165	666,165
Beginning Cash Balance	1,964,835	1,430,991	1,365,891	1,243,777	832,024	582,024	382,024
Revenues	840,045	301,395	371,751	83,585	300,000	300,000	300,000
Expenditures	376,389	366,495	496,707	495,338	550,000	500,000	500,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
JM1013, 9/18/14, Act 134, SLH 2014 (from S-14-302 to G-00-000)	(1,000,000)						
JS0086, 7/14/15 (from S-14-302 to S-16-302)			192				
Net Total Transfers	(1,000,000)	0	192	0	0	0	0
Ending Cash Balance	1,428,491	1,365,891	1,241,127	832,024	582,024	382,024	182,024
Encumbrances							
Unencumbered Cash Balance	1,428,491	1,365,891	1,241,127	832,024	582,024	382,024	182,024

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: DNA Registry Special Fund
 Legal Authority: HRS §706-603

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-305N

Intended Purpose:

Pursuant to HRS §706-603, moneys in the DNA registry special fund shall be used for DNA collection, DNA testing, and related costs of recording, preserving, and disseminating DNA information pursuant to chapter 844D.

Source of Revenues:

Pursuant to HRD §706-603, every defendant convicted of a felony offense shall be ordered to pay a monetary assessment of \$500 or the actual cost of the DNA analysis, whichever is less. The court may reduce the monetary assessment if the court finds, based on evidence presented by the defendant and not rebutted by the State, that the defendant is not and will not be able to pay the full monetary

Current Program Activities/Allowable Expenses:

Activities and expenses related to DNA collection, DNA testing, and recording, preserving, and disseminating DNA information pursuant to chapter 844D.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,000	40,000	40,000	40,000	40,000	40,000	40,000
Beginning Cash Balance	128,203	712	30,206	27,607	9,838	1,838	838
Revenues	33,474	35,194	32,362	33,446	35,000	35,000	35,000
Expenditures	160,965	5,700	34,961	51,215	43,000	36,000	35,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	712	30,206	27,607	9,838	1,838	838	838
Encumbrances							
Unencumbered Cash Balance	712	30,206	27,607	9,838	1,838	838	838

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow, Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Department of the Attorney General
 Prog ID(s): ATG100
 Name of Fund: Tobacco Enforcement Special Fund
 Legal Authority: §28-15 Haw. Rev. Stat.

Contact Name: David Moore
 Phone: (808) 586 1289
 Fund type (MOF) Special Fund (B)
 Appropriation Acct. No. S-307N

Intended Purpose: Administer, operate, monitor, and ensure compliance with and enforcement of : Cigarette Tax Stamping program as defined in Chapter 245, HRS, and the Master Settlement Agreement, Chapter 675, HRS, Chapter 486P, HRS, and any other statutes or programs related to the fund.

Source of Revenues: Tobacco Settlement Money in accord with the provisions of §328L-2(a) and Tax Stamp Fees in accord with §245-26, Haw. Rev. Stat.

Current Program Activities/Allowable Expenses: Enforcement of Chapters 675, 486P, and 245, HRS, and related statutes and programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,247,526	1,247,526	1,249,077	1,316,204	1,316,204	1,316,204	1,316,204
Beginning Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Revenues	2,044,023	2,163,706	2,200,432	2,188,819	2,000,000	2,000,000	2,000,000
Expenditures	1,104,182	1,243,795	1,297,312	1,417,321	1,300,000	1,300,000	1,300,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	(939,841)	(919,911)	(903,120)	(771,498)	(700,000)	(700,000)	(700,000)
Ending Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Encumbrances							
Unencumbered Cash Balance	500,000	500,000	500,000	500,000	500,000	500,000	500,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG-100
 Name of Fund: Criminal Forfeiture Revolving Fund
 Legal Authority: §712-16(4), HRS

Contact Name: Mami Bueno
 Phone: 586-1125
 Fund type (MOF): Revolving Fund (W)
 Appropriation Acct. No.: S-320-N

Intended Purpose: To serve an an operating account for the Asset Forfeiture Program

Source of Revenues Revenue includes currency seized from cases where there is a covered offense giving rise to a forfeiture which is ordered forfeited to the State of Hawaii. In addition, sales proceeds from the personal property and motor vehicles auctioned are deposited into this fund.

Current Program Activities/Allowable Expenses: (1) Expenses necessary to seized, maintain, or sell forfeited property and to reimburse federal, state, and county agencies for any expenditures made to perform the foregoing functions; (2) awarded for information leading to civil or criminal proceedings; (3) supplemental funds to state and county agencies for law enforcement purposes; and, (4) expenses arising in connection with programs for the training and education of law enforcement officers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	364,839	182,408	580,736	529,276	600,000	584,930	884,930
Revenues	274,515	1,017,216	591,728	255,119	600,000	600,000	600,000
Expenditures	290,960	272,018	264,494	334,342	300,000	300,000	300,000
Transfers							
List each net transfer in/out; list each account number							
Refer to Attachments A	(184,928)	(342,883)	(314,837)	(165,123)			
Net Total Transfers	(184,928)	(342,883)	(314,837)	(165,123)			
Ending Cash Balance	182,408	592,247	533,277	284,930	584,930	884,930	1,184,930
Encumbrances							
Unencumbered Cash Balance	182,408	592,247	533,277	284,930	584,930	884,930	1,184,930

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information

S-320N

For Fiscal Year 2017

Criminal Forfeiture Revolving Fund
S-320-N

Receiving Agency	JV No.	Date of Transfer	FY 2014 Amount
Honolulu Police Dept.	V214029	7/3/2013	13,069.78
Honolulu Prosecutors	V214029	7/3/2013	13,069.77
Honolulu Police Dept.	V214395	2/5/2014	3,565.02
Honolulu Prosecutors	V214395	2/5/2014	3,565.02
Hawaii County Police Dept.	V214395	2/5/2014	3,384.46
Hawaii County Prosecutors	V214395	2/5/2014	3,384.46
Kauai County Police Dept.	V214395A	2/5/2014	128.03
Kauai County Prosecutors	V214395A	2/5/2014	128.03
Honolulu Police Dept.	V214516	4/1/2014	26,894.67
Honolulu Prosecutors	V214516	4/1/2014	26,894.67
Hawaii County Police Dept.	V214516	4/1/2014	3,407.86
Hawaii County Prosecutors	V214516	4/1/2014	3,407.86
Maui County Police Dept.	V214516	4/1/2014	7,558.25
Maui County Prosecutors	V214516	4/1/2014	7,558.25
Kauai County Police Dept.	V214516	4/1/2014	4,815.00
Kauai County Prosecutors	V214516	4/1/2014	4,815.00
Honolulu Police Dept.	V214562	5/8/2014	24,650.00
Honolulu Prosecutors	V214562	5/8/2014	24,650.00
Honolulu Police Dept.	V214592	5/19/2014	2,732.00
Honolulu Prosecutors	V214592	5/19/2014	2,808.27
Hawaii County Police Dept.	V214592	5/19/2014	1,304.92
Hawaii County Prosecutors	V214592	5/19/2014	1,304.91
Maui County Police Dept.	V214592A	5/19/2014	238.56
Maui County Prosecutors	V214592A	5/19/2014	238.56
Kauai County Police Dept.	V214592A	5/19/2014	677.56
Kauai County Prosecutors	V214592A	5/19/2014	677.56
TOTAL			<u>184,928.47</u>

Report on Non-General Fund Information

S-320N

For Fiscal Year 2017

Criminal Forfeiture Revolving Fund
S-320-N

Receiving Agency	JV No.	Date of Transfer	FY 2015 Amount
DLNR-Division of Conservation and Enforcement	JS2172	5/19/2014	76.26
Honolulu Police Dept.	V215171	10/1/2014	12,339.89
Honolulu Prosecutors	V215171	10/1/2014	12,339.89
Hawaii County Police Dept.	V215171	10/1/2014	7,349.33
Hawaii County Prosecutors	V215171	10/1/2014	7,349.33
Maui County Police Dept.	V215171	10/1/2014	11,840.50
Maui County Prosecutors	V215171	10/1/2014	11,840.50
Kauai County Police Dept.	V215171	10/1/2014	6,512.00
Kauai County Prosecutors	V215171	10/1/2014	6,512.00
Honolulu Police Dept.	V215188	10/9/2014	1,345.25
Honolulu Prosecutors	V215188	10/9/2014	1,345.25
Hawaii County Police Dept.	V215188A	10/9/2014	401.25
Hawaii County Prosecutors	V215188A	10/9/2014	401.25
Kauai County Police Dept.	V215188A	10/9/2014	399.00
Kauai County Prosecutors	V215188A	10/9/2014	399.00
Honolulu Police Dept.	V215392	2/4/2015	26,896.39
Honolulu Prosecutors	V215392	2/4/2015	26,896.39
Hawaii County Police Dept.	V215392	2/4/2015	19,036.02
Hawaii County Prosecutors	V215392	2/4/2015	19,036.02
Maui County Police Dept.	V215392	2/4/2015	17,955.50
Maui County Prosecutors	V215392	2/4/2015	17,955.50
Kauai County Police Dept.	V215392	2/4/2015	8,601.00
Kauai County Prosecutors	V215392	2/4/2015	8,601.00
Honolulu Police Dept.	V215404	2/11/2015	2,442.28
Honolulu Prosecutors	V215404	2/11/2015	2,442.29
Maui County Police Dept.	V215404	2/11/2015	1,017.53
Maui County Prosecutors	V215404	2/11/2015	1,017.53
Kauai County Police Dept.	V215404	2/11/2015	2,103.12
Kauai County Prosecutors	V215404	2/11/2015	2,103.11
Honolulu Police Dept.	V215445	3/6/2015	4,803.76
Honolulu Prosecutors	V215445	3/6/2015	4,803.76
Honolulu Police Dept.	V215573	5/11/2015	19,394.28
Honolulu Prosecutors	V215573	5/11/2015	19,394.28
Hawaii County Police Dept.	V215573	5/11/2015	15,228.80
Hawaii County Prosecutors	V215573	5/11/2015	15,228.80
Maui County Police Dept.	V215573	5/11/2015	5,574.50
Maui County Prosecutors	V215573	5/11/2015	5,574.50
Kauai County Police Dept.	V215573	5/11/2015	8,163.00
Kauai County Prosecutors	V215573	5/11/2015	8,163.00
TOTAL			<u>342,883.06</u>

Report on Non-General Fund Information

S-320N

For Fiscal Year 2017

Criminal Forfeiture Revolving Fund
S-320-N

Receiving Agency	JV No.	Date of Transfer	FY 2016 Amount
Honolulu Police Dept.	V216006	7/17/2015	3,971.05
Honolulu Prosecutors	V216006	7/17/2015	7,354.46
Hawaii County Police Dept.	V216006	7/17/2015	5,520.30
Hawaii County Prosecutors	V216006	7/17/2015	5,520.30
Kauai County Police Dept.	V216006	7/17/2015	4,932.65
Kauai County Prosecutors	V216006	7/17/2015	4,932.65
PSD - Narcotics Enforcement	JM0123	7/20/2015	3,383.41
Honolulu Police Dept.	V216349	1/7/2016	19,643.88
Honolulu Prosecutors	V216349	1/7/2016	19,643.88
Hawaii County Police Dept.	V216349	1/7/2016	12,712.00
Hawaii County Prosecutors	V216349	1/7/2016	12,712.00
Maui County Police Dept.	V216349	1/7/2016	11,849.97
Maui County Prosecutors	V216349	1/7/2016	11,849.97
Kauai County Police Dept.	V216349	1/7/2016	2,795.00
Kauai County Prosecutors	V216349	1/7/2016	2,795.00
Honolulu Police Dept.	V216378A	1/19/2016	305.00
Honolulu Prosecutors	V216378A	1/19/2016	305.00
Hawaii County Police Dept.	V216378A	1/19/2016	410.00
Hawaii County Prosecutors	V216378A	1/19/2016	410.00
Maui County Police Dept.	V216348	1/19/2016	3,234.67
Maui County Prosecutors	V216348	1/19/2016	3,234.67
Kauai County Police Dept.	V216348	1/19/2016	11,928.00
Kauai County Prosecutors	V216348	1/19/2016	11,928.00
Honolulu Police Dept.	V216407	2/9/2016	13,217.22
Honolulu Prosecutors	V216407	2/9/2016	13,217.22
Hawaii County Police Dept.	V216407	2/9/2016	2,834.25
Hawaii County Prosecutors	V216407	2/9/2016	2,834.25
Maui County Police Dept.	V216407	2/9/2016	5,480.50
Maui County Prosecutors	V216407	2/9/2016	5,480.50
Kauai County Police Dept.	V216407	2/9/2016	9,604.75
Kauai County Prosecutors	V216407	2/9/2016	9,604.75
Honolulu Police Dept.	V216600	5/19/2016	30,119.70
Honolulu Prosecutors	V216600	5/19/2016	30,119.70
Hawaii County Police Dept.	V216600	5/19/2016	3,936.58
Hawaii County Prosecutors	V216600	5/19/2016	3,936.58
Maui County Police Dept.	V216600	5/19/2016	4,441.22
Maui County Prosecutors	V216600	5/19/2016	4,441.22
Kauai County Police Dept.	V216600A	5/19/2016	332.95
Kauai County Prosecutors	V216600A	5/19/2016	332.95
Honolulu Police Dept.	V216653	6/23/2016	1,499.39
Honolulu Prosecutors	V216653	6/23/2016	1,499.39
Hawaii County Police Dept.	V216653	6/23/2016	5,266.01
Hawaii County Prosecutors	V216653	6/23/2016	5,266.01
TOTAL			<u>314,837.00</u>

Report on Non-General Fund Information
S-320N
For Fiscal Year 2017

Criminal Forfeiture Revolving Fund
S-320-N

<u>Receiving Agency</u>	<u>JV No.</u>	<u>Date of Transfer</u>	<u>FY 2017 Amount</u>
Honolulu Prosecutors	V217062	8/9/2016	\$13,422.56
Hawaii County Prosecutors	V217062	8/9/2016	\$7,133.00
Hawaii County Police Dept.	V217062	8/9/2016	\$7,133.00
Maui County Police Dept.	V217062	8/9/2016	\$5,615.71
Maui County Prosecutors	V217062	8/9/2016	\$5,615.71
Honolulu Police Dept.	V217062	8/9/2016	\$13,422.56
Honolulu Prosecutors	V217274	11/18/2016	\$3,032.25
Hawaii County Prosecutors	V217274	11/18/2016	\$3,331.75
Hawaii County Police Dept.	V217274	11/18/2016	\$3,331.75
Maui County Police Dept.	V217274	11/18/2016	\$8,627.35
Maui County Prosecutors	V217274	11/18/2016	\$8,627.35
Kauai County Police Dept.	V217274	11/18/2016	\$3,140.13
Kauai County Prosecutors	V217274	11/18/2016	\$3,140.13
Honolulu Police Dept.	V217274	11/18/2016	\$3,032.25
Honolulu Prosecutors	V217280	11/28/2017	\$2,997.25
Hawaii County Prosecutors	V217280	11/28/2017	\$4,938.81
Hawaii County Police Dept.	V217280	11/28/2017	\$4,938.81
Kauai County Police Dept.	V217280	11/28/2017	\$3,971.25
Kauai County Prosecutors	V217280	11/28/2017	\$3,971.25
Maui County Police Dept.	V217280	11/28/2017	\$2,694.25
Maui County Prosecutors	V217280	11/28/2017	\$2,694.25
Honolulu Police Dept.	V217280	11/28/2017	\$2,997.25
Honolulu Prosecutors	V217520A	4/3/2017	\$519.43
Kauai County Police Dept.	V217520A	4/3/2017	\$648.57
Kauai County Prosecutors	V217520A	4/3/2017	\$648.57
Honolulu Police Dept.	V217520A	4/3/2017	\$519.43
DLNR	JM5298	4/4/2017	\$1,822.96
Maui County Prosecutors	V217611A	6/7/2017	\$1,822.96
Kauai County Police Dept.	V217660	6/27/2017	\$607.01
Kauai County Prosecutors	V217660	6/27/2017	\$607.07
Honolulu Prosecutors	V217661	6/27/2017	\$3,991.60
Hawaii County Prosecutors	V217661	6/27/2017	\$13,663.82
Hawaii County Police Dept.	V217661	6/27/2017	\$13,663.82
Maui County Police Dept.	V217661	6/27/2017	\$2,404.00
Maui County Prosecutors	V217661	6/27/2017	\$2,404.00
Honolulu Police Dept.	V217661	6/27/2017	\$3,991.60
TOTAL			<u>\$165,123.46</u>

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Notary Public Revolving Fund
 Legal Authority: HRS 456-9.5

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF) Revolving - W
 Appropriation Acct. No. S-325 N

Intended Purpose:

The funds are used for personnel costs, the acquisition of equipment, operating and administrative costs, travel, and training to administer the notary public program.

Source of Revenues:

Fees charged to Notaries

Current Program Activities/Allowable Expenses:

There are approximately 7,000 Notaries currently regulated by the Notary Public Program and the program responds to countless inquiries from consumers, applicants, notaries, and those who work with notaries - banks, mortgage companies, lawyers, etc. The program also administers notary examinations, including flying to neighbor islands; processing notary commissions and renewals; investigates complaints about notaries; maintains notary record books; is in the process of drafting rules to facilitate a more thorough, comprehensive regulatory program for notaries; and seeks to computerize its thousands of records that are now manually kept on index cards.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	172,837	172,837	172,837	172,857	172,857	172,857	172,857
Beginning Cash Balance	54,364	2,579	7,961	63,271	174,660	174,660	174,660
Revenues	84,394	116,808	201,557	267,112	150,000	150,000	150,000
Expenditures	136,179	111,426	146,247	155,723	150,000	150,000	150,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	2,579	7,961	63,271	174,660	174,660	174,660	174,660
Encumbrances							
Unencumbered Cash Balance	2,579	7,961	63,271	174,660	174,660	174,660	174,660

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Solicitation of Funds for Charitable Purposes Speical Fund
 Legal Authority: Section 467B-15, Hawaii Revised Statutes

Contact Name: Gary Sukanuma
 Phone: 586-1479
 Fund type (MOF): Special-B
 Appropriation Acct. No.: S-326N

Intended Purpose: The enforcement of the registration and annual reporting requirements for charitable organizations and professional fundraisers under chapter 467B, HRS, the investigation of unfair and deceptive charitable solicitation practices, and the enforcement thereof; and the development and maintenance of Internet registration, exemption processing, and reporting systems that provide for the dissemination of information to the public, and personnel position necessary to accomplish the foregoing objectives.

Source of Revenues: Registration fees, fines and penalties, attorney's fees, and cost of investigation collected under chapter 467B.

Current Program Activities/Allowable Expenses: Activities and expenses related to the enforcement of chapter 467B, Hawaii Revised Statutes

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	651,991	1,137,287	1,262,334	1,388,535	1,062,278	800,000	800,000
Beginning Cash Balance	1,001,507	1,292,376	1,392,110	1,813,870	1,697,322	1,182,322	667,322
Revenues	931,391	965,027	1,166,043	1,227,756	985,000	985,000	985,000
Expenditures	640,522	865,293	744,283	1,344,304	1,500,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,292,376	1,392,110	1,813,870	1,697,322	1,182,322	667,322	152,322
Encumbrances	50,474	50,474	126,201	49,097			
Unencumbered Cash Balance	1,241,902	1,341,636	1,687,669	1,648,225	1,182,322	667,322	152,322

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG100AB
 Name of Fund: Medicaid Fraud Control Unit Grant - Legal Services
 Legal Authority: P.L. 95-142, 42 CFR 1007 et.seq.

Contact Name: Thu Nguyen
 Phone: 586-1066
 Fund type (MOF) Other Federal fund (P)
 Appropriation Acct. No. S-503-N

Intended Purpose: The Federal Grant Program was established under Title XIX of the Social Security Act to strengthen the capability of government to detect, prosecute and punish fraudulent activities and patient abuse under the Medicaid Program.

Source of Revenues: Federal Grant from U.S. Department of Health & Human Services

Current Program Activities/Allowable Expenses: The MFCU currently investigates and prosecutes medicaid fraud against the Medicaid Program.
 The Unit, also investigates and prosecutes cases of patient abuse. (75% of operating expenses)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Appropriation S-212 was replaced by S-503 effective FY 2014.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,288,783	1,190,468	1,340,868	1,612,712	1,640,720	1,500,000	1,500,000
Beginning Cash Balance	0	0	542	1,130	129		
Revenues	949,738	988,282	1,039,257	1,314,626	1,499,871	1,400,000	1,400,000
Expenditure (Oct-Dec)	949,738	987,740	1,038,669	1,315,627	1,500,000	1,400,000	1,400,000
Expenditure (July-Dec)							
Transfers							
List each net transfer in/out or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	542	1,130	129	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	542	1,130	129	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Litigation Settlement Clearance Account
 Legal Authority: Comptroller's Approval

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-901N

Intended Purpose:

This account was established in 1985 to serve as a clearing account to facilitate the holding and timely disbursement of funds for settlements in litigation cases involving the State and other co-defendants.

Source of Revenues:

Settlements for the State and in certain cases, the co-defendants may prefer to provide the State with funds that are deposited in this account to be used to settle the case.

Current Program Activities/Allowable Expenses:

Settlements and/or intended settlement amounts provided by co-defendants.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	618,000	618,000	618,000	618,000	618,000	618,000	318,000
Beginning Cash Balance	118,596	118,925	119,432	119,553	119,553	119,653	119,753
Revenues	329	507	121	0	100	100	100
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	118,925	119,432	119,553	119,553	119,653	119,753	119,853
Encumbrances							
Unencumbered Cash Balance	118,925	119,432	119,553	119,553	119,653	119,753	119,853

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG-100
 Name of Fund: Criminal Forfeiture Bond Holding Account
 Legal Authority: §§712-10(4) and (9), HRS

Contact Name: Mami Bueno
 Phone: 586-1125
 Fund type (MOF) Trust
 Appropriation Acct. No. T-905-N

Intended Purpose:

Source of Revenues: Bond monies (Cost Bond) posted by a person who has an alleged property interest in property seized for administrative forfeiture.

This fund received no actual revenue because it operates as a trust account.

Current Program Activities/Allowable Expenses:

Disbursements from the fund include Cost Bond amounts returned, in total or in partial, to the owner and transfers of funds into either the Seized Fund Final Disposition Pending Account or the Criminal Forfeiture Revolving Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	300,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	35,493	33,200	12,500	12,500	12,500	23,500	34,500
Revenues	10,700			6,159	6,000	6,000	6,000
Expenditures	(12,993)	(20,700)		(6,159)	(5,000)	(5,000)	(5,000)
Transfers							
List each net transfer in/out; list each account number							
Refer to Attachment A							
Net Total Transfers							
Ending Cash Balance	33,200	12,500	12,500	12,500	23,500	34,500	45,500
Encumbrances							
Unencumbered Cash Balance	33,200	12,500	12,500	12,500	23,500	34,500	45,500

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information

T-905N

For Fiscal Year 2017

Transfers into Account No. T-905-N:

Bond Holding Account

Source:	Date of Transfer	Reference No.	FY 2017 Amount
Claimant (A.G. No. 16-17363)	3/17/2017	TDR306305	\$6,159.22

Transfers out Account No. T-905-N:

Source Agency	Date of Transfer	Reference No.	FY 2017 Amount
Claimant (A.G. No. 16-17363)	3/21/2017	JV217501	\$6,159.22

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG-100
 Name of Fund: Seized Funds - Final Disposition Pending Account
 Legal Authority: Chapter 712A, HRS

Contact Name: Mami Bueno
 Phone: 586-1125
 Fund type (MOF) Trust (T)
 Appropriation Acct. No. T-906-N

Intended Purpose:

Source of Revenue Currency seized for administrative or judicial forfeiture which can be ordered returned to a claimant, forfeited to the State, or divided between the claimant and the State pursuant to an administrative or judicial settlement agreement.

Current Program Activities/Allowable Expenses:

Disbursements from the fund included amounts returned to a claimant and transfers to the Criminal Forfeiture Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	1,133,358	1,286,802	783,274	457,160	580,958	480,958	380,958
Revenues							
Expenditures							
Transfers							
List each net transfer in/out; list each account number							
Refer to Attachment A - Transfer In				348,593			
Refer to Attachment B - Transfer out	153,444	(503,528)	(326,115)	(224,795)			
Net Total Transfers	153,444	(503,528)	(326,115)	123,798	(100,000)	(100,000)	(100,000)
Ending Cash Balance	1,286,802	783,274	457,160	580,958	480,958	380,958	280,958
Encumbrances							
Unencumbered Cash Balance	1,286,802	783,274	457,160	580,958	480,958	380,958	280,958

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
T-906N
For Fiscal Year 2017

Transfers into Account No. T-906-N

Source Agency	Date of Transfer	Reference No.	FY 2017 Amount
Maui County Police Dept.	7/21/2016	TDR300324	\$1,025.00
Honolulu Police Dept.	7/27/2016	TDR300504	\$3,500.00
Honolulu Police Dept.	8/5/2016	TDR300666	\$16,095.00
Honolulu Police Dept.	10/12/2016	TDR302264	\$6,335.00
Honolulu Police Dept.	10/12/2016	TDR302265	\$9,137.00
Kauai County Police Dept.	10/12/2016	TDR302266	\$1,273.00
Honolulu Police Dept.	10/12/2016	TDR302267	\$5,956.00
Maui County Police Dept.	10/12/2016	TDR302268	\$1,087.00
Maui County Police Dept.	11/1/2016	TDR302743	\$2,851.00
Kauai County Police Dept.	11/1/2016	TDR302744	\$91.00
Kauai County Police Dept.	11/4/2016	TDR302933	\$2,422.04
Kauai County Police Dept.	11/4/2016	TDR302933	\$6.00
Honolulu Police Dept.	11/21/2016	TDR303309	\$23,960.00
Honolulu Police Dept.	11/21/2016	TDR303309	\$8,171.00
Honolulu Police Dept.	11/21/2016	TDR303309	\$24,523.00
Honolulu Police Dept.	11/21/2016	TDR303309	\$15,059.00
Honolulu Police Dept.	11/21/2016	TDR303309	\$13,859.00
Maui County Police Dept.	1/13/2017	TDR304723	\$6,772.00
Maui County Police Dept.	1/13/2017	TDR304724	\$1,740.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$200.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$518.69
Hawaii County Police Dept.	1/13/2017	TDR304775	\$1,308.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$14,662.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$9,072.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$1,348.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$4,174.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$544.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$1,560.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$462.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$19,820.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$1,649.00
Hawaii County Police Dept.	1/13/2017	TDR304775	\$14,100.00
Honolulu Police Dept.	1/13/2017	TDR304776	\$250.00
Honolulu Police Dept.	1/13/2017	TDR304776	\$44.00
Honolulu Police Dept.	1/13/2017	TDR304776	\$1,414.00

Report on Non-General Fund Information
T-906N
For Fiscal Year 2017

Transfers into Account No. T-906-N

Honolulu Police Dept.	1/13/2017 TDR304776	\$349.00
Honolulu Police Dept.	1/13/2017 TDR304776	\$2,080.00
Hawaii County Police Dept.	1/13/2017 TDR304777	\$8,689.00
Hawaii County Police Dept.	1/13/2017 TDR304778	\$1,200.00
Hawaii County Police Dept.	1/23/2017 TDR304925	\$161.00
Hawaii County Police Dept.	1/23/2017 TDR304925	\$5,390.00
Honolulu Police Dept.	1/31/2017 TDR305170	\$25,285.00
Kauai County Police Dept.	3/17/2017 TDR306301	\$2,969.00
Kauai County Police Dept.	3/17/2017 TDR306302	\$240.00
Maui County Police Dept.	3/17/2017 TDR306303	\$2,390.00
Kauai County Police Dept.	3/17/2017 TDR306304	\$101.00
Kauai County Police Dept.	3/24/2017 TDR306461	\$690.00
Honolulu Police Dept.	4/18/2017 TDR307161	\$5,140.00
Honolulu Police Dept.	5/1/2017 TDR307483	\$8,228.00
Maui County Police Dept.	5/15/2017 TDR307685	\$14,443.00
Maui County Police Dept.	5/15/2017 TDR307686	\$1,009.00
Kauai County Police Dept.	5/30/2017 TDR308194	\$47.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$18,245.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$4,202.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$259.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$1,591.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$82.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$1,801.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$4,425.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$2,026.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$2,000.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$2,216.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$1,279.00

Report on Non-General Fund Information
T-906N
For Fiscal Year 2017

Transfers into Account No. T-906-N

Hawaii County Police Dept.	6/1/2017 TDR308364	\$8,050.00
Hawaii County Police Dept.	6/1/2017 TDR308364	\$133.00
Maui County Police Dept.	6/16/2017 TDR308821	\$1,380.00
Maui County Police Dept.	6/16/2017 TDR308821	\$1,930.00
Maui County Police Dept.	6/16/2017 TDR308821	\$5,575.00
		\$348,592.73

Report on Non-General Fund Information
T-906N
For Fiscal Year 2017

Transfers out Account No. T-906-N

Source Agency	Date of Transfer	Reference No.	FY 2017 Amount
S17-320	8/5/2016	JM0371	\$11,060.30
Honolulu Police Dept.	8/9/2016	V217061	\$3,026.00
Honolulu Police Dept.	9/8/2016	V217138	\$1,095.00
DLNR	10/31/2016	JT0451	\$1,237.00
Honolulu Police Dept.	11/1/2016	V217241	\$124.00
S17-320	11/7/2016	JM2226	\$113,013.89
DLNR	11/28/2016	JT0553	-\$1,237.00
DLNR	11/28/2016	JT0553	\$420.36
Honolulu Police Dept.	3/20/2017	V217490	\$3,015.00
S17-320	4/24/2017	JM5761	\$85,046.73
Maui County Police Dept.	5/19/2017	V217597	\$3,000.00
S17-320	5/19/2017	JM6360	\$4,994.00
			\$224,795.28

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Antitrust Trust fund
 Legal Authority: HRS §28-13

Contact Name: Rodney I. Kimura
 Phone: 586-1180
 Fund type (MOF): Trust - T
 Appropriation Acct. No. T-908N

Intended Purpose:

To fund expenditures relating to the enforcement of the antitrust laws, including but not limited to expenditures for training, equipment purchases, educational resources, and facilitating participation in antitrust lawsuits and investigations initiated by other states.

Source of Revenues:

Ten percent of any antitrust judgment or settlement received by the State except where the deposit is inconsistent with the court order or settlement agreement relating to the amount.

Current Program Activities/Allowable Expenses:

See intended purpose.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	161,153	161,153	72,504	50,000			
Beginning Cash Balance	123,182	51,602	48,035	47,544	385,309	237,475	237,475
Revenues	271	212	49	339,013	0	0	0
Expenditures	71,851	3,779	354	1,248	0	0	0
Transfers							
List each by JV# and date							
JV JM2733			(186)				
Net Total Transfers	0	0	(186)	0	(147,834)	0	0
Ending Cash Balance	51,602	48,035	47,544	385,309	237,475	237,475	237,475
Encumbrances							
Unencumbered Cash Balance	51,602	48,035	47,544	385,309	237,475	237,475	237,475

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: Litigation Deposits Trust Fund Account
 Legal Authority: HRS §28-16

Contact Name: David Moore
 Phone: 586-1289
 Fund type (MOF): Trust - T
 Appropriation Acct. No. T-909N

Intended Purpose:

To account for moneys received through any civil action in which the State is a party where the settlement amount is \$100,000 or higher.

Source of Revenues:

Litigation settlements

Current Program Activities/Allowable Expenses:

There are no settlements pending.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	311,503	7,131,091	1,286,257	4,736,169	3,063,528	2,563,543	2,063,558
Revenues	8,826,496	8,667,012	6,604,494	25,098	25	25	25
Expenditures	2,006,908	1,378,311	2,754,582	409,711	10	10	10
Transfers							
List each by JV# and date							
JV to DOE; to DOH		(565,750)		(626,559)			
JV to B&F; UH		(12,567,785)		(19,469)			
(4 JVs) various dates (to DLNR)			(400,000)	(642,000)			
Net Total Transfers		(13,133,535)	(400,000)	(1,288,028)	(500,000)	(500,000)	(500,000)
Ending Cash Balance	7,131,091	1,286,257	4,736,169	3,063,528	2,563,543	2,063,558	1,563,573
Encumbrances							
Unencumbered Cash Balance	7,131,091	1,286,257	4,736,169	3,063,528	2,563,543	2,063,558	1,563,573

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG100
 Name of Fund: National Mortgage Settlement
 Legal Authority: USDC Case 1:12-cv-00361-RMC

Contact Name: James C. Paige
 Phone: 586-1194
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-13-916-N

Intended Purpose: Attorney General's Foreclosure Assistance Program

Source of Revenues: 2012 Federal Court Consent Judgment

Current Program Activities/Allowable Expenses: Legal Aid \$33,750

Purpose of Proposed Ceiling Adjustment (if applicable) N/A

N/A

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Beginning Cash Balance	1,324,991	1,066,320	411,285	101,900	99,501	65,951	56,101
Revenues	3,841	0	4,365	914	200	150	140
Expenditures	0	655,035	313,750	3,313	33,750	10,000	10,000
Transfers	0	0	0	0	0	0	0
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,328,831	411,285	101,900	99,501	65,951	56,101	46,241
Encumbrances							
Unencumbered Cash Balance	1,328,831	411,285	101,900	99,501	65,951	56,101	46,241

Additional Information:

Amount Req. by Bond Covenants	0	0	0	0	0	0	0
Amount from Bond Proceeds	0	0	0	0	0	0	0
Amount Held in CODs, Escrow Accounts, or Other Investments	0	0	0	0	0	0	0

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2013 and prior
 Legal Authority: N/A

Contact Name: Laureen Uwaine
 Phone: 587-3110
 Fund type (MOF) Federal - P
 Appropriation Acct. No. S-14-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems.

Activities planned under NCHIP/CITA are to provide electronic delivery of incident report data from the police departments to the appropriate criminal justice and public safety agencies; to share firearms registration data and other criminal justice case information statewide via the HIJIS broker; to make the necessary modifications to the Automated Fingerprint Identification System (AFIS) to participate in Rap Back; to provide electronic delivery of prosecutor charge filing data to the Judiciary; to continue performing audit and validation tasks for the National Protection Order File and National Sex Offender Registry.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	697,972	1,242,840	1,074,654	578,840	470,494		
Beginning Cash Balance	445	42,573	45,325	32,971	4,791	0	0
Revenues	170,185	170,937	86,602	80,166	168,166		
Expenditures	128,057	168,185	98,956	108,346	172,957		
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	42,573	45,325	32,971	4,791	0	0	0
Encumbrances	53,902	422,792		328,518			
Unencumbered Cash Balance	(11,329)	(377,467)	32,971	(323,727)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: COPS Tecnology Grant 2009 and 2010
 Legal Authority: N/A

Contact Name: Laureen Uwaine
 Phone: 587-3110
 Fund type (MOF) Federal - P
 Appropriation Acct. No. S-14-221-N

Intended Purpose:

The Community Oriented Policing Services (COPS) Technology Program provides funding to state, local, and tribal law enforcement agencies and other public and private entities to hire and train community policing professionals, acquire and deploy cutting-edge crime-fighting technologies, and develop and test innovative policing strategies.

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The major activity funded under this program is the Hawaii Integrated Justice Information Sharing (HIJIS) program. Activities planned for are membership and participation in the Open Justice Broker Consortium; CJIS-Hawaii integration, enhancements, and new interface development; provide assistance to the HIJIS partner agencies on development of pilot information exchanges.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,790,406	872,532	643,480	436,911	344,414		
Beginning Cash Balance	13,270	111,810	34,977	22,756	15,726	0	0
Revenues	433,906	229,169	192,708	85,467	92,727		
Expenditures	335,366	306,002	204,929	92,497	108,453		
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	111,810	34,977	22,756	15,726	0	0	0
Encumbrances	206,080	158,762	83,081	83,081			
Unencumbered Cash Balance	(94,270)	(123,785)	(60,325)	(67,355)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2014
 Legal Authority: N/A

Contact Name: Laureen Uwaine
 Phone: 587-3110
 Fund type (MOF) Federal - P
 Appropriation Acct. No. S-15-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems.

Activities planned under NCHIP/CITA are to upgrade livescans at law enforcement agencies to provide them the capability of capturing upper and lower palm prints for submittal to the FBI; provide assistance to partner agencies of the Hawaii Integrated Justice Information Sharing (HIJIS) program to enable desired information exchanges; to continue performing audit and validation tasks for the National Protection Order File and National Sex Offender Registry.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		750,000	564,434	403,032	399,233		
Beginning Cash Balance		0	499	499	4,425	0	0
Revenues		56,664	112,242	7,725	214,740		
Expenditures		56,165	112,242	3,799	219,165		
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	499	499	4,425	0	0	0
Encumbrances				144,273			
Unencumbered Cash Balance	0	499	499	(139,848)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: SMART Grant 2014
 Legal Authority: N/A

Contact Name: Laureen Uwaine
 Phone: 587-3341
 Fund type (MOF): Federal - P
 Appropriation Acct. No.: S-15-244-N

Intended Purpose:

The Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) Office support for Adam Walsh Implementation Grant Program assists state, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement the Sex Offender Registration and Notification Act (SORNA) under the Adam Walsh Act (AWA).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned for are continued funding of positions assigned to the Sex Offender Registration Unit, Criminal Justice Division and Investigations Division, and travel to the neighbor islands for training.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		50,000	400,000	585	400		
Beginning Cash Balance		0	4,994	5,262	5,077	0	0
Revenues		4,994	199,683	0	0		
Expenditures			199,415	185	5,077		
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	4,994	5,262	5,077	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	4,994	5,262	5,077	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2015
 Legal Authority: N/A

Contact Name: Laureen Uwaine
 Phone: 587-3110
 Fund type (MOF) Federal - P
 Appropriation Acct. No. S-16-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems. Activities planned under NCHIP/CITA are to upgrade livescans at law enforcement agencies to provide them the capability of capturing upper and lower palm prints for submittal to the FBI; to provide assistance to partner agencies to address delinquent dispositions in CJIS-Hawaii; to supplement the upgrade of the statewide Automated Fingerprint Identification System (AFIS); to continue work on researching offenders who are not in the FBI file; to have a project manager assess and coordinate resources for all outstanding grant-funded projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,067,197	1,054,646	438,401	171,835	
Beginning Cash Balance		0	0	0	5,347	5,347	0
Revenues			12,550	621,592	266,566	70,445	
Expenditures			12,550	616,245	266,566	75,792	
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	5,347	5,347	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	5,347	5,347	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: SMART Grant 2015
 Legal Authority: N/A

Contact Name: Laureen Uwaine
 Phone: 587-3341
 Fund type (MOF) Federal - P
 Appropriation Acct. No. S-16-244-N

Intended Purpose:

The Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) Office support for Adam Walsh Implementation Grant Program assists state, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement the Sex Offender Registration and Notification Act (SORNA) under the Adam Walsh Act (AWA).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned for are continued funding of positions assigned to the Sex Offender Registration Unit, Criminal Justice Division and Investigations Division; to upgrade the livescans used by registering agencies; to upgrade desktop scanners, laptop and printers used by the Sex Offender Unit personnel.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling			400,000	160,101	3,862		
Beginning Cash Balance		0	0	29,574	3,862	0	0
Revenues			269,473	130,527	0		
Expenditures			239,899	156,239	3,862		
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	29,574	3,862	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	29,574	3,862	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NCHIP 2016
 Legal Authority: N/A

Contact Name: Laureen Uwaine
 Phone: 587-3110
 Fund type (MOF) Federal - P
 Appropriation Acct. No. S-17-210-N

Intended Purpose:

The funds appropriated under this account are those awarded to the State through the National Criminal History Improvement Program (NCHIP). The NCHIP grant program implements the provisions of the Brady Handgun Violence Prevention Act, the National Child Protection Act of 1993, and the 1994 Crime Bill. The program is administered by the U.S. Department of Justice, Bureau of Justice Statistics. This program is continuing under the Crime Identification Technology Act (CITA) of 1998 (P.L. No. 105-251).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

The goals under NCHIP/CITA continue to be the improvement of the states' criminal history systems, implement new information exchanges with other agency systems, and increase the availability of state information to national systems.

Activities planned under NCHIP/CITA are to upgrade livescans at law enforcement agencies to provide them the capability of capturing upper and lower palm prints for submittal to the FBI; to provide assistance to partner agencies and staff to address delinquent dispositions in CJIS-Hawaii; to supplement the upgrade of the statewide Automated Fingerprint Identification System (AFIS); to provide training to those who capture fingerprints in an effort to increase the quality of the captured prints; to continue work on researching offenders who are not in the FBI file; to have a project manager assess and coordinate resources for all outstanding grant-funded projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				600,000	464,287	116,368	67,737
Beginning Cash Balance		0	0	0	10,537	10,537	10,537
Revenues				184,930	347,919	48,631	57,200
Expenditures				174,393	347,919	48,631	67,737
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	10,537	10,537	10,537	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	10,537	10,537	10,537	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: SMART Grant 2016
 Legal Authority: N/A

Contact Name: Laureen Uwaine
 Phone: 587-3341
 Fund type (MOF) Federal - P
 Appropriation Acct. No. S-17-244-N

Intended Purpose:

The Sex Offender Sentencing, Monitoring, Apprehending, Registering, and Tracking (SMART) Office support for Adam Walsh Implementation Grant Program assists state, local, and tribal jurisdictions with developing and/or enhancing programs designed to implement the Sex Offender Registration and Notification Act (SORNA) under the Adam Walsh Act (AWA).

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned for are continued funding of positions assigned to the Sex Offender Registration Unit, Criminal Justice Division and Investigations Division; to provide statewide training on the Sex Offender Registration program for all departments, agencies, and personnel responsible for registration and notifications; to develop an online application that will allow registered offenders to update their registration information before appearing for their in-person verification.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				400,000	189,441		
Beginning Cash Balance		0	0	0	15,360	0	0
Revenues				225,919	912		
Expenditures				210,559	16,272		
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	15,360	0	0	0
Encumbrances				16,071			
Unencumbered Cash Balance	0	0	0	(711)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: NARIP 2016
 Legal Authority: N/A

Contact Name: Laureen Uwaine
 Phone: 587-3110
 Fund type (MOF) Federal - P
 Appropriation Acct. No. S-17-510-N

Intended Purpose:

The National Instant Criminal Background Check System (NICS) Act Record Improvement Program (NARIP) furthers the U.S. Department of Justice's mission by improving the records available to NICS, which is accomplished by helping eligible states and tribes improve completeness, automation, and transmittal of records to state and federal systems.

Source of Revenues:

Funds are drawn down from the Federal Government only as expenses for these project efforts are incurred.

Current Program Activities/Allowable Expenses:

Activities planned are to fund a NARIP Program Manager to convene a statewide NICS Record Improvement Task Force to develop a NICS Record Improvement Plan and to implement projects identified therein; to enhance CJIS-Hawaii for improved processes and efficiency between systems with an emphasis on reporting firearms denial information to the FBI; to replace end-of-life system hardware components, network equipment, and support by vendors to maintain 24x7 services; to assist the county police departments in enhancing their Records Management Systems to enable exchange of firearms denial information with CJIS-Hawaii with the goal of reporting to NICS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenues and expenditures occur as funds are drawdown and expended only as designated projects are worked on.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling				400,000	799,917	240,945	122,814
Beginning Cash Balance		0	0	0	0	0	0
Revenues				18,159	558,972	118,131	122,814
Expenditures				18,159	558,972	118,131	122,814
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				56,840			
Unencumbered Cash Balance	0	0	0	(56,840)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: ATG
 Prog ID(s): ATG-231
 Name of Fund: Criminal History Record Improvement Revolving Fund
 Legal Authority: Act 7, Special Session 1995, HRS 846-10.6

Contact Name: Laureen Uwaine
 Phone: 587-3110
 Fund type (MOF): Revolving - W
 Appropriation Acct. No.: S-321-N

Intended Purpose:

Act 7, Special Session 1995, established the Criminal History Record Improvement Revolving Fund into which fees for services related to criminal history record information would be deposited.

Source of Revenues:

The fees are being collected by the Hawaii Criminal Justice Data Center (HCJDC) and other state and county criminal justice agencies, which disseminate information from the Criminal Justice Information System-Hawaii (CJIS-Hawaii).

*** NOTE: Revenues and expenditures include FBI and NCIC fees that just pass through this fund. ***

Current Program Activities/Allowable Expenses:

The major activities that are undertaken in this program are the collection of fees for services, which include name-based and fingerprint-based searches, Public Access printouts, internet (eCrim) printouts, and expugment application processing; processing of fingerprints to the Federal Bureau of Investigation for authorized programs and services; data quality research on delinquent and missing dispositions; continuing development and enhancement of CJIS-Hawaii; support for the Hawaii Integrated Justice Information Sharing (HIJIS) program; development of a statewide Rap Back program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY16, expenditures increased due to the shortfall in general funds. Funds from this appropriation had to cover essential expenditures for our mission critical systems.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,064,528	2,190,043	3,338,021	3,411,841	3,411,841	3,411,841	3,411,841
Beginning Cash Balance	832,803	1,497,466	1,698,910	1,612,893	1,503,122	1,433,902	1,344,902
Revenues	2,740,291	2,255,551	2,411,676	2,499,431	2,630,780	2,600,000	2,600,000
Expenditures	2,075,628	2,054,107	2,497,693	2,609,202	2,700,000	2,689,000	2,689,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,497,466	1,698,910	1,612,893	1,503,122	1,433,902	1,344,902	1,255,902
Encumbrances			13,132				
Unencumbered Cash Balance	1,497,466	1,698,910	1,599,761	1,503,122	1,433,902	1,344,902	1,255,902

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG500
 Name of Fund: Child Support Enforcement Services
 Legal Authority: HRS-576D Child Support Enforcement Services & Title IV-D Social Security Act

Contact Name: Sheri Wang
 Phone: 808-692-7131
 Fund type (MOF) Other Federal Fund (P)
 Appropriation Acct. No. S-250N

Intended Purpose: The Child Support Enforcement Program, Title IV-D is a shared responsibility of the State and the Federal governments. The S-250N federal fund was established to account for the federal share of the agency's operating costs.

Source of Revenues: Sixty six percent (66%) of the total agency's operational expenditures by way of federal grant

Current Program Activities/Allowable Expenses: Title IV-D services are provided to qualifying individuals. These services include: the establishment of paternity; the establishment and modification of child support obligations; locate services; the enforcement services; the collection and disbursement of support payment; and the maintenance of account balances.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	937,866	456,643	614,266	923,584	969,380	1,069,380	769,380
Revenues	10,844,401	11,915,559	11,354,369	12,230,697	12,300,000	12,300,000	12,300,000
Expenditures	11,325,624	11,757,936	11,045,051	12,184,901	12,200,000	12,600,000	12,600,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	456,643	614,266	923,584	969,380	1,069,380	769,380	469,380
Encumbrances	101,831	59,640	52,790	479,505	480,000	0	0
Unencumbered Cash Balance	354,812	554,626	870,794	489,875	589,380	769,380	469,380

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG500
 Name of Fund: Child Support Enforcement Services
 Legal Authority: HRS-576D Child Support Enforcement Services & Title IV-D Social Security Act

Contact Name: Sheri Wang
 Phone: 808-692-7131
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-902N

Intended Purpose: The Child Support Enforcement Program, Title IV-D is a shared responsibility of the State and the Federal governments. The S-250N federal fund was established to account for the federal share of the agency's operating costs.

Source of Revenues: Sixty six percent (66%) of the total agency's operational expenditures by way of federal grant

Current Program Activities/Allowable Expenses: Title IV-D services are provided to qualifying individuals. These services include: the establishment of paternity; the establishment and modification of child support obligations; locate services; the enforcement services; the collection and disbursement of support payment; and the maintenance of account balances.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2	16,011	37,792	274,195	489,974	89,974	89,974
Revenues	455,838	1,165,896	1,652,440	1,839,616	1,600,000	1,600,000	1,600,000
Expenditures	439,829	1,144,115	1,416,037	1,623,837	2,000,000	1,600,000	1,600,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	16,011	37,792	274,195	489,974	89,974	89,974	89,974
Encumbrances	0	0	0	438,681			
Unencumbered Cash Balance	16,011	37,792	274,195	51,293	89,974	89,974	89,974

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Attorney General
 Prog ID(s): ATG500
 Name of Fund: Child Support Enforcement Services
 Legal Authority: HRS-576D Child Support Enforcement Services & Title IV-D Social Security Act

Contact Name: Sheri Wang
 Phone: 808-692-7131
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-999N

Intended Purpose: The Child Support Enforcement Program, Title IV-D is a shared responsibility of the State and the Federal governments. The S-250N federal fund was established to account for the federal share of the agency's operating costs.

Source of Revenues: Sixty six percent (66%) of the total agency's operational expenditures by way of federal grant

Current Program Activities/Allowable Expenses: Title IV-D services are provided to qualifying individuals. These services include: the establishment of paternity; the establishment and modification of child support obligations; locate services; the enforcement services; the collection and disbursement of support payment; and the maintenance of account balances.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	15,355,733	17,917,455	20,852,692	22,575,274	22,042,809	23,042,809	24,042,809
Revenues	90,231,945	126,292,739	127,918,136	125,209,302	126,000,000	127,000,000	128,000,000
Expenditures	87,670,223	123,357,502	126,195,554	125,741,768	125,000,000	126,000,000	127,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	17,917,455	20,852,692	22,575,274	22,042,809	23,042,809	24,042,809	25,042,809
Encumbrances	0	0	0				
Unencumbered Cash Balance	17,917,455	20,852,692	22,575,274	22,042,809	23,042,809	24,042,809	25,042,809

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: Business, Economic Development and Tourism
Prog ID(s): BED 100 / SM
Name of Fund Hawaii Community-Based Economic Development Revolving Fund
Legal Authority Chapter 210D, HRS

Contact Name: Wayne Thom/Mark Ritchie
Phone: (808) 587-2757
Fund type (MOF) W-Revolving
Appropriation Acct. No. S-344-B

Intended Purpose: To provide training and capacity-building opportunities, and invest in community economic development projects that result in measurable economic impact for some of Hawaii's most socially and economically challenged communities.

Source of Rever Loan principal, loan interest, interest from investment pool, fees from workshops and conferences, and other program related activities.

Current Program Activities/Allowable Expenses: Low interest loans, workshops and conferences that provide capacity-building training and technical assistance.
Purpose of Proposed Ceiling Increase (if applicab N/A)

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	721,915	721,915	721,915	721,915	721,915	721,915	721,915
Beginning Cash Balance	203,519	203,907	158,433	111,085	115,310	117,110	187,110
Revenues	6,090	7,325	14,077	5,667	7,800	8,000	10,000
Expenditures*	25,702	72,969	61,425	36,442	26,000	88,000	88,000
Transfers **	20,000	20,000		35,000		150,000	
List each by JV# and date	JV#: JM4392	JV#: JM4664		JV#: JM7644			
Net Total Transfers	20,000	20,000	0	35,000	20,000	150,000	
Ending Cash Balance	203,907	158,263	111,085	115,310	117,110	187,110	109,110
Encumbrances		70,000	10,000				
Unencumbered Cash Balance	203,907	88,263	101,085	115,310	117,110	187,110	109,110

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

*Expenditures: 2014 - \$25,702 in loans and \$0 in Technical Assistance/Grants
2015 - \$72,969 in loans and \$90,00 in Technical Assistance/Grants (CBED Accelerator)
2016 - \$25,702 in loans and \$0 in Technical Assistance/Grants
2017 - \$72,969 in loans and \$0 in Technical Assistance/Grants
Est. 2018 - \$26,000 in loans and \$0 in Technical Assistance/Grants
Est. 2019 - \$80,000 in loans and \$8,000 in Technical Assistance/Grants
Est. 2020 - \$80,000 in loans and \$8,000 in Technical Assistance/Grants

**Transfers: Assumption of \$150,000 DBEDT budget transfer

Notes: The CBED Revolving Fund can make loans, grants and provide technical assistance to small businesses and non-profits. In the CBED Advisory Council meeting in February 2017, Council members voted to recommend allocation of 90% of CBED Revolving Funds to loans that assist businesses in Hawaii's most socially and economically challenged regions and demographic groups. By stimulating business activity and creating jobs, the CBED Program improves the economic environment and living standards of some of Hawaii's most vulnerable communities, particularly those in rural areas of the State.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED100/SM
 Name of Fund: State Trade and Export Promotion
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF) P
 Appropriation Acct. No. S-204-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Cooperative Agreement No. SBAHQ-11-IT-0042

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	476,948	1,000,000					
Beginning Cash Balance	2,581	0	0	0	0	0	0
Revenues	0	151,120					
Expenditures	844	152,857					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(1,737)	1,737					
Net Total Transfers	(1,737)	1,737	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Business, Economic Development & Tourism
 Prog ID(s): BED100/SM
 Name of Fund: State Trade and Export Promotion
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF) P
 Appropriation Acct. No. S-16-512-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Cooperative Agreement No. SBAHQ-15-IT-0024

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			750,000	420,762			
Beginning Cash Balance		0	0	46,262	29,426	29,426	29,426
Revenues			375,500	370,013			
Expenditures			329,238	386,849			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	46,262	29,426	29,426	29,426	29,426
Encumbrances			101,850				
Unencumbered Cash Balance	0	0	(55,588)	29,426	29,426	29,426	29,426

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Business, Economic Development & Tourism
 Prog ID(s): BED100/SM
 Name of Fund: State Trade Expansion Program
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF) P
 Appropriation Acct. No. S-17-515-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Cooperative Agreement No. SBAHQ-16-IT-0033

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				700,000			
Beginning Cash Balance		0	0	0	18,409	0	0
Revenues				357,719	342,281		
Expenditures				339,310	360,690		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	18,409	0	0	0
Encumbrances				65,000			
Unencumbered Cash Balance	0	0	0	(46,591)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Business, Economic Development & Tourism
 Prog ID(s): BED100/SM
 Name of Fund: State Trade Expansion Program
 Legal Authority: Chapter 201, HRS

Contact Name: Jamie Lum
 Phone: 587-2753
 Fund type (MOF) P
 Appropriation Acct. No. S-18-519-B

Intended Purpose: To assist small businesses in Hawaii with growing their export business in markets around the world

Source of Revenues: Grant Agreement No. SBAHQ-17-IT-0013

Current Program Activities/Allowable Expenses: Export training; trade shows and other export promotion activities; financial assistance to small businesses for export development costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					700,000		
Beginning Cash Balance		0	0	0	0	0	0
Revenues					225,000	175,000	
Expenditures					225,000	175,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 100/SM
 Name of Fund: State Disaster Revolving Fund
 Legal Authority: Part III, Chapter 209, HRS

Contact Name: Mark J. Ritchie/Wayne Thom
 Phone: 587-2785/587-2757
 Fund type (MOF) Revolving Fund (W)
 Appropriation Acct. No. S-353-B

Intended Purpose:

To assist businesses and individuals who suffer damages in a state-declared disaster.

Source of Revenues:

Loan repayments(principal and interest) and interest earned on TCD's and/or State Investment Pool.

Current Program Activities/Allowable Expenses:

None.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Not applicable.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1,100,000	1100000
Beginning Cash Balance	273,000	275,940	276,156	277,478	279,511	279,726	279,941
Revenues	2,961	231	1,325	2,043	230	230	230
Expenditures	21	15	3	10	15	15	15
Transfers							
List each by JV# and date							
JV#25, 12/27/10 Act 192,SLH 2010							
Transfer to State General Fund							
Net Total Transfers							
Ending Cash Balance	275,940	276,156	277,478	279,511	279,726	279,941	280,156
Encumbrances							
Unencumbered Cash Balance	275,940	276,156	277,478	279,511	279,726	279,941	280156

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DBEDT
 Prog ID(s): BED105CI
 Name of Fund: Technical Assistance for Entrepreneurs
 Legal Authority: Federal

Contact Name: David Nada
 Phone: 587-2754
 Fund type (MOF) P
 Appropriation Acct. No. S-511-B

Intended Purpose : To support the creative lab program for the culture and the arts

Source of Revenues: Federal grant

Current Program Activities/Allowable Expenses: Support creative lab program across the State

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	400,000	400,000	400,000	400,000		
Beginning Cash Balance	0	0	0	1,535	13,071	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	28,241	76,720	61,242	246,868	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Federal Grant	0	28,241	78,255	72,778	233,797		
		0			0		
				0			
Net Total Transfers	0	28,241	78,255	72,778	233,797	0	0
Ending Cash Balance	0	0	1,535	13,071	0	0	0
Encumbrances	0	0	0	37,500			
Unencumbered Cash Balance	0	0	1,535	(24,429)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DBEDT
 Prog ID(s): BED105/CI
 Name of Fund: Creative Industries Division Special Fund
 Legal Authority: A49/SL17

Contact Name: Georja Skinner
 Phone: 586-2364
 Fund type (MOF) B
 Appropriation Acct. No. S-309-B

Intended Purpose To support repairs and maintenance of the Film Studio

Source of Revenues: Transfer from the Hawaii Tourism Authority

Current Program Activities/Allowable Expenses: Funds used for routine repairs and maintenance of the film studio.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	30,000	30,000	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
HTA Transfers	0		30,000	30,000	30,000	30,000	30,000
		0					
				0			
Net Total Transfers	0	0	30,000	30,000	30,000	30,000	30,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development & Tourism
Prog ID(s): BED107/BA
Name of Fund: Foreign-Trade Zone Special Fund (FTZSF)
Legal Authority: HRS Section 212-9

Contact Name: Tsurumi Hamasu
Phone: 587-5373
Fund type (MOF) B
Appropriation Acct. No. S-302-B

Intended Purpose: To encourage manufacturing and value-added activities in Hawaii, increase the export competitiveness of Hawaii companies incubate and support small businesses engaged in importing and exporting activities, and attract new investment and job opportunities by operating a statewide Foreign-Trade Zone (FTZ) program that reduces the barriers and costs associated with international trade.

Source of Revenues: The Foreign-Trade Zone (FTZ) obtains all its revenue by charging FTZ users for its services, use of facilities, and equipment. The FTZ program is currently self-sufficient and no general funds are required to run the program.

Current Program Activities/Allowable Expenses: Types of expenditures in accordance with Chapter 212-9, HRS include personnel costs, FTZ office equipment and supplies, facility maintenance and repairs, capital improvement, security costs, landscaping costs, etc.

Purpose of Proposed Ceiling Increase (if applicable): The requested increase is to accommodate the projected increase in collective bargaining and benefits, as well as anticipated increases in operating costs as the FTZ's new International Trade Resource Center wing is opened.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,066,145	2,066,145	2,127,755	2,156,516	2,278,556	2,278,556	2,278,556
Beginning Cash Balance	992,375	1,106,285	996,330	1,130,469	1,419,763	1,419,763	1,419,763
Revenues	1,805,324	1,675,189	2,060,343	2,211,565	2,213,000	2,213,500	2,214,000
Expenditures	1,676,691	1,777,830	1,921,388	1,914,968	2,213,000	2,213,500	2,214,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(14,723)	(7,315)	(4,816)	(7,303)	0	0	0
			0				
Ending Cash Balance	1,106,285	996,330	1,130,469	1,419,763	1,419,763	1,419,763	1,419,763
Encumbrances	181,145	267,151	315,159	310,540	300,000	300,000	300,000
Unencumbered Cash Balance	925,141	729,179	815,310	1,109,223	1,119,763	1,119,763	1,119,763

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

FY 2014	JV75, 10/16/13	4,631.43	FY 2015	JV53, 9/30/14	2737.32	FY 2016	JV67 7/22/2015	1,493.00
	JV137, 1/15/14	4,631.43		JV112, 1/28/15	2737.32		JV256 10/15/2015	557.96
	JV197, 3/31/14	2,737.32		JV182, 4/20/15	1839.88		JV434 1/5/2016	557.96
	JV264, 6/30/14	2,722.32		4th qtr posted in F16 1st qtr			JV655 4/14/2016	2,206.92
		14,722.50			7,314.52		JV 887 6/30/2016	2,206.92
								7,022.76
								Posted in 2016
FY 2017	JV 372 10/11/2016	1,693.46						
	JV 709 1/13/2017	1,706.96						
	JV 1149 4/21/2017	1,279.05						
	JV 1416 6/30/2017	2,623.65						
		7,303.12						

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 113 TO
 Name of Fund: Tourism Special Fund
 Legal Authority: HRS 201B-11

Contact Name: M. Togashi
 Phone: 973-2267
 Fund type (MOF) B - Special
 Appropriation Acct. No. S-348-B / S-398-B

Intended Purpose:

The primary purpose of the Tourism Special Fund is to provide the Hawai'i Tourism Authority with funds to implement the purposes of Chapter 201B, HRS. Program objectives of HTA include: To set tourism policy and direction; develop and implement tourism strategic and brand management plans; manage programs and activities to sustain a healthy tourism economy, including maintaining sufficient air and cruise access, and the global promotion of leisure and business travel; and coordinate tourism-related research, planning, promotional and outreach activities.

Source of Revenues:

HRS 237D provides that beginning July 1, 2013, \$82M of Transient Accommodations Tax shall be allocated to the fund each fiscal year.

Current Program Activities/Allowable Expenses:

Please see program objectives above. Funds will be expended to support tourism promotion, marketing, and development; Hawaii Convention Center marketing, research & statistics; access; branding experiences; Hawaiian culture; natural resources; sports; tourism communication, safety & security; and career development.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

FY 2018's anticipated expenditures include a carryover of unspent funds from FY 2017's budget.

Note:

Unencumbered cash represents funds primarily earmarked for HTA long-term obligations or commitments allocated by the HTA Board, including retirement and non-pension postemployment liabilities, convention center sales activities and other long-term commitments.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	87,152,066	87,152,066	87,251,611	87,334,815	87,334,815	87,334,815	87,334,815
Beginning Cash Balance	37,555,216	50,135,191	66,885,859	64,485,700	70,322,427	63,419,531	60,419,531
Revenues	82,478,062	82,367,645	82,238,695	82,195,175	82,000,000	82,000,000	82,000,000
Expenditures	69,898,086	65,616,977	84,638,854	76,358,448	88,902,896	85,000,000	82,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	50,135,191	66,885,859	64,485,700	70,322,427	63,419,531	60,419,531	60,419,531
Encumbrances	31,729,659	42,995,454	41,918,748	44,294,863	42,000,000	42,000,000	42,000,000
Unencumbered Cash Balance	18,405,533	23,890,405	22,566,952	26,027,564	21,419,531	18,419,531	18,419,531

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 113 TO
 Name of Fund: Convention Center Enterprise Special Fund
 Legal Authority: HRS 201B-11

Contact Name: M. Togashi
 Phone: 973-2267
 Fund type (MOF): B - Special
 Appropriation Acct. No. S-361-B

Intended Purpose:

Moneys in the fund shall be used by the Hawaii Tourism Authority for the payment of debt service and the operation, maintenance, repair, improvement and marketing of the Hawai'i Convention Center.

Source of Revenues:

HRS 237D, \$26.5 in TAT is allocated to the Convention Center Enterprise Special Fund annually.

Current Program Activities/Allowable Expenses:

Sales and marketing programs to highlight the convention center and also to aggressively sell in the asian and corporate markets. Major operational emphasis is high quality operational services and a major repair and maintenance program to keep the facility as a world class facility.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Act 81, 2014 Legislative session, lowered the TAT allocation to the Convention Center fund by \$6.5M annually, from \$33M to \$26.5M, to help pay for the Turtle Bay conservation easement purchase. Under Act 81, the annual debt service to B&F was lowered to \$16.5M from \$26.4M. Act 121, 2015 Legislative session, removed HTA from involvement in the Turtle Bay conservation easement purchase. In doing so, Act 121 created a funding deficit of \$6.4M to the Convention Center fund, by restoring debt payments to \$26.4M annually while maintaining the TAT allocation at \$26.5M. As a result of the funding deficit, HTA is paying a reduced amount of bond debt payments to B&F. HTA is working with B&F to discuss its options and B&F has reflected the reduced payment in their financial plans. The State's debt to its bondholders has been fully repaid.

Note:

Unencumbered cash primarily represents funding earmarked for upcoming significant convention center repair and maintenance projects.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	54,010,232	54,010,232	54,023,007	51,342,752	54,034,480	54,034,480	54,034,480
Beginning Cash Balance	11,572,410	14,825,579	20,244,159	21,331,159	17,932,295	16,092,048	14,592,048
Revenues	44,711,439	39,796,576	47,067,664	41,925,495	41,495,013	41,000,000	41,000,000
Expenditures	41,458,269	34,377,996	45,980,664	45,324,359	43,335,260	42,500,000	42,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	14,825,579	20,244,159	21,331,159	17,932,295	16,092,048	14,592,048	13,092,048
Encumbrances	2,197,975	15,994,162	5,081,320	707,781	0	0	0
Unencumbered Cash Balance	12,627,604	4,249,997	16,249,839	17,224,514	16,092,048	14,592,048	13,092,048

Additional Information:

Amount Req. by Bond Covenants	26,430,000	26,430,000	20,000,000	20,000,000	20,000,000	20,000,000	20,000,000
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED 120
 Name of Fund: Energy Security Special Fund
 Legal Authority: Section 201-12.8, HRS

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) B
 Appropriation Acct. No. S-18-305-B

Intended Purpose: To support the Hawaii Clean Energy Initiative (HCEI) program.

Source of Revenues: Environmental Response, Energy and Food Security Tax; moneys appropriated by the legislature, interest attributable to investment of money in the fund; moneys allotted from other sources.

Current Program Activities/Allowable Expenses: Support the Energy Division, including staff and projects; fund the renewable energy facilitator.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: None.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,944,305	5,889,305	4,792,457	4,665,779	4,739,247	4,739,247	4,739,247
Beginning Cash Balance	5,448,815	4,709,981	3,904,425	3,327,352	2,432,790	1,323,351	638,351
Revenues	3,770,222	3,806,283	3,894,794	3,826,350	3,815,000	3,815,000	3,715,000
Expenditures	4,509,056	4,611,839	4,471,867	4,720,912	4,924,439	4,500,000	4,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,709,981	3,904,425	3,327,352	2,432,790	1,323,351	638,351	(146,649)
Encumbrances	759,436	1,651,774	1,022,273	474,439	0	0	0
Unencumbered Cash Balance	3,950,545	2,252,651	2,305,079	1,958,351	1,323,351	638,351	(146,649)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED 120
 Name of Fund: Renewable Energy Facility Siting Special Fund
 Legal Authority: Section 201N-11, HRS (repealed Act 27, SLH 2016)

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) B
 Appropriation Acct. No. _____

Intended Purpose: To establish a renewable energy facility siting process for state and county permits.

Source of Revenues: Moneys appropriated by the legislature, permit plan application fees collected, moneys allotted from other sources.

Current Program Activities/Allowable Expenses: Oversight of the permit plan process pursuant to 201N-4 (a), HRS and the operation and administration of the renewable energy facility siting process.

Purpose of Proposed Ceiling Adjustment (if applicable): Act 27, SLH 2016 repealed chapter 201N, HRS relating to the renewable energy facility siting process.

Variances: None.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	750,000	750,000	750,000	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0			
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED 120
 Name of Fund: Hawaii Green Infrastructure Bond Fund, Outside State Treasury
 Legal Authority: Section 196-67, HRS

Contact Name: Donna Mau
 Phone: 587-9016
 Fund type (MOF) B
 Appropriation Acct. No. S-18-368-B

Intended Purpose: To secure the payment of bonds, amounts payable to financing parties and bondholders, amounts payable under any ancillary agreement, and other financing costs.

Source of Revenues: Proceeds of the green infrastructure fee; any other proceeds of green infrastructure property; and other moneys .

Current Program Activities/Allowable Expenses: Expenditures include debt service (Principal and Interest), ongoing costs, and cost of issuance.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: The revenue variance between FY 2015 and FY 2016 is because in FY 2015, bond proceeds were recorded and receipt of interest and Green Infrastructure fees began mid-year. The expenditure variance between FY 2015 and FY 2016 is because only Cost of Issuance was recorded in FY 2015, and debt service (Principal and Interest) and ongoing services began in FY 2016.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000,000	20,000,000	0	0	13,300,000	13,300,000	13,300,000
Beginning Cash Balance	0	0	0	8,162,835	7,973,930	7,973,930	7,973,930
Revenues		0	24,202,824	13,150,280	13,300,000	13,300,000	13,300,000
Expenditures		0	16,039,989	13,339,185	13,300,000	13,300,000	13,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	8,162,835	7,973,930	7,973,930	7,973,930	7,973,930
Encumbrances							
Unencumbered Cash Balance	0	0	8,162,835	7,973,930	7,973,930	7,973,930	7,973,930

Additional Information:

Amount Req. by Bond Covenants		0	16,039,989	13,339,185	13,300,000	13,300,000	13,300,000
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED120
 Name of Fund: HI Green Infrastructure Special Fund, Outside State Treasury
 Legal Authority: Section 196-65, HRS

Contact Name: Wendy Yoshinaga
 Phone: 587-3821
 Fund type (MOF) B
 Appropriation Acct. No. S-18-395-B

10/18/2017

Intended Purpose: To fund loans under the GEMS program and cover associated costs

Source of Revenues: Original funding from proceeds of bonds; loan repayments, and investment interest.

Current Program Activities/Allowable Expenses: Making green infrastructure loans and paying related financing costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Variances in revenues due to one-time transfer of bond proceeds for GEMS Loan Program in FY 2015; Expenditure and revenue variances are due to varying amounts in loan disbursements and related receipt of loan repayments.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	50,000,000	50,000,000	96,400,000	50,000,000	50,000,000
Beginning Cash Balance		0	0	142,828,433	136,620,623	82,111,852	36,198,743
Revenues		6,830	97,940	589,347	491,229	1,086,891	3,702,965
Expenditures		1,900	0	4,797,157	55,000,000	45,000,000	35,000,000
Transfers							
List each by JV# and date							
Transfer from HGIA Special Fund		143,250,000	142,730,493				
Transfer to HGIA Special Fund				(2,000,000)		(2,000,000)	
Net Total Transfers		143,250,000	142,730,493	(2,000,000)	-	(2,000,000)	-
Ending Cash Balance	0	143,254,930	142,828,433	136,620,623	82,111,852	36,198,743	4,901,708
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	0	143,254,930	142,828,433	136,620,623	82,111,852	36,198,743	4,901,708

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED 138
 Name of Fund: Hawaii Green Infrastructure Special Fund
 Legal Authority: Section 196-65, HRS

Contact Name: Wendy Yoshinaga 10/19/2017
 Phone: 587-3821 FINAL
 Fund type (MOF) B
 Appropriation Acct. No. S 18 367 B

Intended Purpose: Making green infrastructure loans; paying administrative costs and other costs related to the Hawaii green infrastructure loan program; paying financing costs.

Source of Revenues: Proceeds of bonds net issuance costs and reserves or overcollateralization amount; green infrastructure charges; all other funds received by the department and authority; interest earnings; such other moneys as shall be permitted by an order of the PUC.

Current Program Activities/Allowable Expenses: Making green infrastructure loans; paying administrative costs of the Hawaii green infrastructure loan program; paying any other costs related to the program; and paying financial costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Revenue variance from FY 2015 to FY 2016 was due to the one-time deposit of bond proceeds in FY 2015. Expenditure variance from FY 2015 to FY 2016 was due to the on-time transfer of funds to the program custodian in FY 2015.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		150,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance		0	2,741,805	1,832,583	3,036,112	2,048,112	3,060,112
Revenues		146,640,154	14,990	16,243	12,000	12,000	12,000
Expenditures		648,349	924,212	659,223	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer to Program Custodian		(143,250,000)		(153,491)			
Transfer from Program Custodian				2,000,000		2,000,000	
Net Total Transfers	0	(143,250,000)	0	1,846,509	0	2,000,000	0
Ending Cash Balance	0	2,741,805	1,832,583	3,036,112	2,048,112	3,060,112	2,072,112
Encumbrances		878,642	658,083	363,789			
Unencumbered Cash Balance	0	1,863,163	1,174,500	2,672,323	2,048,112	3,060,112	2,072,112

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds		146,340,961					
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: High Technology Special Fund
 Legal Authority: 206M-15.5

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF): B
 Appropriation Acct. No. S-357-B

Intended Purpose:

For the operation, maintenance, and management of its industrial parks, projects, facilities, services and publications, and to pay the expenses in administering the special purpose revenue bonds of HTDC or in carrying out its project agreements.

Source of Revenues:

All moneys and fees from tenants, qualified persons or other users of the development corporation's industrial parks, projects, other leased facilities, and other services and publications".

Current Program Activities/Allowable Expenses:

Moneys shall be expended for the operation, maintenance, and management of its industrial parks, projects, facilities, services and publications, or in carrying out its project agreements.

The primary expenditures include portions of HTDC's salaries and fringe benefits, routine administrative expenses, project expenses, building and equipment replacements and repairs, and Central Service Assessment. Consulting fees generated by the MEP program are expended back into the program as a "cash match" requirement of the cooperative agreement. HTDC may also use the funds for feasibility studies and/or to develop programs and new tech centers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,755,410	3,755,410	3,789,550	3,805,488	3,858,345	3,880,000	3,900,000
Beginning Cash Balance	2,136,994	1,562,890	2,138,935	1,869,563	1,183,060	1,108,060	1,158,060
Revenues	2,238,822	2,793,839	1,255,057	962,678	1,175,000	1,250,000	1,300,000
Expenditures	2,087,677	2,157,794	1,524,429	1,649,181	1,250,000	1,200,000	1,250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Revenues transferred by asset mgmt. contractor to fund the Bldg & Reserve acct.	8,751	(60,000)					
Transfer to CIP appropriation to fund chiller replacement at MRTC	(734,000)						
Net Total Transfers	(725,249)	(60,000)	0	0	0	0	0
Ending Cash Balance	1,562,890	2,138,935	1,869,563	1,183,060	1,108,060	1,158,060	1,208,060
Encumbrances	852,397	903,772	1,202,111	804,834			
Unencumbered Cash Balance	710,493	1,235,163	667,452	378,226	1,108,060	1,158,060	1,208,060

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: The Hawaii Center for Advanced Transport
 Legal Authority: Act 134, SLH 2013

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-14-234-B

Intended Purpose:

For use for activities in accordance with co-operative agreement FA8650-11-2-5605 with the Air Force Research Laboratory

Source of Revenues:

Grant draws from Federal government (Air Force).

Current Program Activities/Allowable Expenses:

Any activities and operational expenses with projects associated with the co-operative agreement

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Agreement is a reimbursement model, hence negative cash balances. Co-operative agreement scheduled to expire in FY18

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,061,710	15,989,710	20,000,000	962,987	295,705	0	0
Beginning Cash Balance	0	3,694,658	2,270,673	1,031,511	2,095,187	2,023,052	2,023,052
Revenues	3,052,517	5,283,723	2,021,300	9,478,511	328	0	0
Expenditures	743,356	6,707,708	3,260,462	8,414,835	72,463	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(2,049,707)	0	0	0			
Net Total Transfers	(2,049,707)	0	0	0	0	0	0
Ending Cash Balance	259,454	2,270,673	1,031,511	2,095,187	2,023,052	2,023,052	2,023,052
Encumbrances	108,768	4,115,726	2,955,887	3,125,108			
Unencumbered Cash Balance	150,686	(1,845,053)	(1,924,376)	(1,029,921)	2,023,052	2,023,052	2,023,052

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: HTDC Innovate Hawaii
 Legal Authority: Appropriated via Form E-2

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-17-517-B

Intended Purpose:
 Activities that assist local manufacturers
 Source of Revenues:
 Grant from Federal government (NIST)

Current Program Activities/Allowable Expenses:
 Personnel and operational expenses for HTDC's Innovate Hawaii program as approved by NIST

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	250,000	382,956	500,000	500,000
Beginning Cash Balance	0	0	0	0	40,456	0	0
Revenues				157,500	500,000	500,000	500,000
Expenditures				117,044	540,456	500,000	500,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	40,456	0	0	0
Encumbrances				1,425			
Unencumbered Cash Balance	0	0	0	39,031	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: High Technology Development Center
 Legal Authority: Act 134, SLH 2013

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-501-B

Intended Purpose:
 Activities that assist local manufacturers
 Source of Revenues:
 Grant from Federal government (NIST)

Current Program Activities/Allowable Expenses:
 Personnel and operational expenses for HTDC's Innovate Hawaii program as approved by NIST

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:
 Grant agreement ended in FY17

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	1,872,331	1,281,851	1,006,930	0	0	0
Beginning Cash Balance	0	1,978	97,490	23,530	5	5	5
Revenues	379,647	685,991	575,962	450,370	0	0	0
Expenditures	377,670	590,479	649,922	473,895	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,978	97,490	23,530	5	5	5	5
Encumbrances	61,775	92,599	93,788				
Unencumbered Cash Balance	(59,798)	4,891	(70,259)	5	5	5	5

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Entrepreneur Sandbox Collab Ctr, Oahu-Con
 Legal Authority: Appropriated via Form E-2

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) P
 Appropriation Acct. No. S-14-272-B

Intended Purpose:

For construction of a facility for use by HTDC

Source of Revenues:

Matching grant from the Federal government (EDA)

Current Program Activities/Allowable Expenses:

For construction of a facility for use by HTDC

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Reimbursable grant hence, negative unencumbered cash balance.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	3,000,000	3,000,000	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues					1,500,000	1,500,000	
Expenditures					1,500,000	1,500,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances					1,500,000		
Unencumbered Cash Balance	0	0	0	0	(1,500,000)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: High Technology Development Corporation
 Legal Authority: 206M-15.6

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF): W
 Appropriation Acct. No. S-342-B

Intended Purpose:

To invest in technology development in Hawaii

Source of Revenues:

1) Appropriations from the Legislature 2) moneys received as repayments of loans 3) investment earnings 4) royalties 5) premiums or fees or equity charged by HTDC or otherwise received by HTDC 6) loans that are convertible to equity

Current Program Activities/Allowable Expenses:

Any activity for the purpose of investing in technology development in Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	0	0	0	0	6,000	6,000	6,000
Revenues				6,000			
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	6,000	6,000	6,000	6,000
Encumbrances							
Unencumbered Cash Balance	0	0	0	6,000	6,000	6,000	6,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Chiller Repl at MRTC, MAUI-EQP
 Legal Authority: 2011 CIP Project TE0012

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) B
 Appropriation Acct. No. S-11-403-B

Intended Purpose:
 For chiller replacement to MRTC
 Source of Revenues:
 HTDC Special funds

Current Program Activities/Allowable Expenses:
 Chiller replacement with energy efficient technologies at MRTC

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	440,000	0	0	0	0	0	0
Beginning Cash Balance	0	440,000	440,000	230,430	162,023	0	0
Revenues							
Expenditures			209,570	68,407	162,023		
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
From HTDC Special Fund (S-357)	440,000						
Net Total Transfers	440,000	0	0	0	0	0	0
Ending Cash Balance	440,000	440,000	230,430	162,023	0	0	0
Encumbrances	440,000	440,000	230,430	162,023			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED
 Prog ID(s): BED143
 Name of Fund: Alternative Energy Research & Dev Rev FD
 Legal Authority: Act 159, SLH 2015

Contact Name: Ray Gomez
 Phone: 539-3844
 Fund type (MOF) W
 Appropriation Acct. No. S-369-B

Intended Purpose:

To promote the research and development of alternative energy in Hawaii

Source of Revenues:

Appropriation form the Legislature

Current Program Activities/Allowable Expenses:

Matching grants to companies that meet criteria set by the Legislature

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	1,000,000	1,000,000	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures				950,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
From G-359 appropriation				950,000			
Net Total Transfers	0	0	0	950,000	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			1,000,000				
Unencumbered Cash Balance	0	0	(1,000,000)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Brownfields Cleanup Revolving Loan Fund (BCRLF)
 Legal Authority: HRS § 201-18 (Act 20, SLH 2007; Act 173, SLH 2002)

Contact Name: Ruby Edwards
 Phone: 587-2817
 Fund type (MOF) W
 Appropriation Acct. No. S-359-B

Intended Purpose: The BCRLF was established with a \$2 million US EPA grant to provide low-cost loans for the cleanup of eligible contaminated sites. The low-cost loans are intended to facilitate the reuse of sites whose redevelopment is hindered by the potential liability and cost of cleaning up contamination that may harm human and environmental health.

Source of Revenues: A corpus of \$1.977 million in grant funds was available for direct loans. The grant has ended; the primary source of revenue is repayment of loan principal and interest (if interest is charged) and any program fees.

Current Program Activities/Allowable Expenses: Loans for cleanup of contaminated sites. Other program costs related to cleanup, i.e. public notice ads, community involvement and outreach, contracts for technical assistance, DOH VRP fees, confirmation sampling, environmental insurance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	0	0	0	1,236,906	987,583	1,035,208	534,858
Revenues	1,770,000	1,770,000	0	0	547,675	0	76,705
Expenditures		24	533,070	249,323	500,050	500,350	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,770,000)	(1,769,976)	1,769,976	0	0	0	0
Ending Cash Balance	0	0	1,236,906	987,583	1,035,208	534,858	611,563
Encumbrances				12			
Unencumbered Cash Balance	0	0	1,236,906	987,571	1,035,208	534,858	611,563

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY11-12
 Legal Authority: Act 158, SLH 2008

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-09-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA08NOS4190421, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,000	11,000	0				
Beginning Cash Balance	2,200.00	2,200	2,200	2,200	2,200	0	0
Revenues	0	0	0	0	0		
Expenditures	0	0	0	0	2,200		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,200	2,200	2,200	2,200	0	0	0
Encumbrances	0	0	0	0	0		
Unencumbered Cash Balance	2,200	2,200	2,200	2,200	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY11-12
 Legal Authority: Act 164, SLH 2011

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-12-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA11NOS4190095, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,768	0	0				
Beginning Cash Balance	322.11	277	277	277	277	0	0
Revenues	22,570	0	0	0	0		
Expenditures	22,615	0	0	0	277		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	277	277	277	277	0	0	0
Encumbrances	0	0	0	0	0		
Unencumbered Cash Balance	277	277	277	277	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY12-13
 Legal Authority: Act 106, SLH 2012

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-13-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA12NOS4190097, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	936,352	35,349	15,700				
Beginning Cash Balance	7,344	2,003	2,570	(0)	(0)	(0)	(0)
Revenues	753,768	20,217	237				
Expenditures	759,110	19,650	2,807				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			(1)				
Net Total Transfers	0	0	(1)	0	0	0	0
Ending Cash Balance	2,003	2,570	(0)	(0)	(0)	(0)	(0)
Encumbrances	35,349	15,700	0				
Unencumbered Cash Balance	(33,347)	(13,130)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144
 Name of Fund: Hawaii Sub-Regional Ocean Partnership
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-13-218-B

Intended Purpose: To implement the National Ocean Policy by formalizing the Hawaii Sub-Regional Ocean Partnership (SROP) and further developing the partnership that has formed around the implementation of the Hawaii Ocean Resources Management Plan (ORMP), ORMP Policy Group and Working Group.

Source of Revenues: Award No. NA12NOS4730003 National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972, as amended, and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Project administration, convening meetings of the SROP, preparing workplans, proposing alternative organizational structures and goals, implementing the ocean resources management plan.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	175,141	59,049					
Beginning Cash Balance	941	0	0	0	0	0	0
Revenues	115,151	38,489					
Expenditures	116,093	38,489					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY13-14
 Legal Authority: Act 134, SLH 2013

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-14-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA13NOS4190055, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,748,103	1,597,804	680,048	70,798	3,141		
Beginning Cash Balance	0	11,718	5,160	605	1	(0)	(0)
Revenues	1,215,280	911,198	137,463	65,864	0		
Expenditures	1,203,562	917,756	142,018	66,269	1		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11,718	5,160	605	0	(0)	(0)	(0)
Encumbrances	654,354	42,207	70,799	3,141	0		
Unencumbered Cash Balance	(642,636)	(37,047)	(70,194)	(3,141)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Natural Disaster Economic Recovery Strategy
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-14-221-B

Intended Purpose: The purpose of this grant was to prepare a natural disaster economic recovery strategy to address the need for pre-disaster business continuity planning and post-disaster short and long-term disaster economic recovery efforts.

Source of Revenues: Award No. 07-69-06349, Economic Development Administration, U.S. Dept. of Commerce

Current Program Activities/Allowable Expenses: Planning, research, and analysis services and convening of stakeholder groups to develop a natural disaster economic recovery strategy. Allowable expenses included personnel, fringe, contractual services, travel and related costs.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	140,095	129,139	1,985				
Beginning Cash Balance	0	4,425	1,985	0	0	0	0
Revenues	15,351	124,714	0				
Expenditures	10,956	127,154	1,985				
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
	30						
Net Total Transfers	30	0	0	0	0	0	0
Ending Cash Balance	4,425	1,985	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	4,425	1,985	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144 PL
 Name of Fund: CEDS Implementation
 Legal Authority: Act 134, SLH 2013

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-14-265-B

Intended Purpose: The purpose of this project is to provide technical assistance to bring projects proposed in the Hawaii Comprehensive Economic Development Strategy (CEDS) to fruition.

Source of Revenues: Award No. 07-79-06834, Economic Development Administration, U.S. Department of Commerce

Current Program Activities/Allowable Expenses: Planning, research and facilitation services to support the development and implementation of CEDS projects and convening of an informational meeting on permitting requirements.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	113,559	52,512	49,954				
Beginning Cash Balance	0	2,553	14,995	0	0	0	0
Revenues	60,348	15,000	0				
Expenditures	61,047	2,558	14,995				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	3,253						
Net Total Transfers	3,253	0	0	0	0	0	0
Ending Cash Balance	2,553	14,995	0	0	0	0	0
Encumbrances	0	14,995					
Unencumbered Cash Balance	2,553	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Economic Dev. Strategy for Native Hawaiian Communities
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-14-266-B

Intended Purpose: To develop economic development strategies, in partnership with DHHL, suited to native Hawaiian communities to provide a roadmap for economic sustainability into the 21st century.

Source of Revenues: Award No. 07-69-06495, Economic Development Administration, U.S. Dept. of Commerce

Current Program Activities/Allowable Expenses: Planning, research, analysis, facilitation and report-writing services to develop an economic development strategy for native Hawaiian communities in partnership with DHHL.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	120,000	479				
Beginning Cash Balance	0	0	479	0	0	0	0
Revenues	30,000	120,000	0				
Expenditures	30,000	119,521	479				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	479	0	0	0	0	0
Encumbrances	117,620	0					
Unencumbered Cash Balance	(117,620)	479	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144
 Name of Fund: Coastal and Marine Spatial Planning
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-14-506-B

Intended Purpose: To support implementation of the Ocean Resources Mgmt Plan by developing a GIS tool for coastal and marine use and developing a coastal and marine spatial plan.

Source of Revenues: Award No. NA13NOS4730102 National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972, as amended, and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Project administration and technical assistance to develop a GIS tool that can provide coastal and marine spatial data to decision-makers and to develop a coastal and marine spatial plan to examine future uses and offshore activities occurring in the territorial sea. Production of spatial maps and GIS tools, stakeholder input, project administration and related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	156,300	156,300	67,173				
Beginning Cash Balance	0	0	1,500	0	0	0	0
Revenues	0	90,627	24,777				
Expenditures	0	89,127	26,277				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,500	0	0	0	0	0
Encumbrances	148,756	59,629					
Unencumbered Cash Balance	(148,756)	(58,129)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144
 Name of Fund: Hawaii Sub-Regional Ocean Partnership II
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-14-507-B

Intended Purpose: To further support the Hawaii Sub-Regional Ocean Partnership (SROP) by continuing and expanding partnerships to improve ocean and coastal resource management.

Source of Revenues: Award No. NA13NOS4730098 National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972, as amended, and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Project administration, convening meetings of the SROP, preparing workplans, proposing alternative organizational structures and goals, implementing the ocean resources management plan.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	142,500	142,500	128,961	35,237			
Beginning Cash Balance	0	0	479	460	0	0	0
Revenues	0	14,018	15,205	4,818			
Expenditures	0	13,539	15,224	5,278			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	479	460	0	0	0	0
Encumbrances	0	18,461	35,237	0			
Unencumbered Cash Balance	0	(17,982)	(34,777)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY14-15
 Legal Authority: Act 122, SLH 2014

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-15-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA14NOS4190079, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	2,350,000	1,524,769	873,603	76,977		
Beginning Cash Balance	0	0	5,466	927	80,643	(0)	(0)
Revenues		935,700	646,628	171,934	0		
Expenditures		930,234	651,167	94,772	80,643		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				2,554			
Net Total Transfers	0	0	0	2,554	0	0	0
Ending Cash Balance	0	5,466	927	80,643	(0)	(0)	(0)
Encumbrances		728,690	52,924	76,977			
Unencumbered Cash Balance	0	(723,224)	(51,997)	3,666	(0)	(0)	(0)
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144
 Name of Fund: Hawaii National Estuarine Research Reserve
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-15-508-B

Intended Purpose: To prepare the Hawaii Estuarine Research Reserve (NERR) Management Plan and NEPA document in support of reserve designation.

Source of Revenues: Award No. NA14NOS4200130 National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972, as amended, and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Preparation of the NERR Management Plan and NEPA document. Research and recommendations on Hawaii site criteria, develop selection process, solicit proposals, evaluation/selection by Evaluation Committee, document preparation.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling		100,000	73,385	50,943	17,696		
Beginning Cash Balance		0	0	0	0	0	0
Revenues		26,615	22,442	28,303	21,403		
Expenditures		26,615	22,442	28,303	21,403		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		66,513	44,071	17,696			
Unencumbered Cash Balance	0	(66,513)	(44,071)	(17,696)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144
 Name of Fund: Building Code Amendments for Hazards and Climate
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-15-509

Intended Purpose: Adapting building codes to account for coastal hazards and climate impacts in the City and County of Honolulu.

Source of Revenues: Award No. NA14NOS4730152 National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972, as amended, and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Examine existing building codes and recommend modifications; analyze cost and design impacts of proposed building modifications, and develop a model building code ordinance. Technical assistance and expert input. Project administration.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		100,000	100,000	72,126	3,456		
Beginning Cash Balance		0	0	0	3,456	0	0
Revenues		0	27,874	71,602	0		
Expenditures		0	27,874	68,146	3,456		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	3,456	0	0	0
Encumbrances		0	71,602	3,456			
Unencumbered Cash Balance	0	0	(71,602)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: CEDS Update
 Legal Authority: Non Appropriated

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) P
 Appropriation Acct. No. S-15-510-B

Intended Purpose: To update the Hawaii Comprehensive Economic Development Strategy (CEDS) in order to provide goals, objectives and strategies for economic development for 2016-2020 and to remain eligible for certain federal funds.

Source of Revenues: Award No. 07-69-07143, Economic Development Administration, U.S. Dept. of Commerce.

Current Program Activities/Allowable Expenses: Research and analysis of existing and projected economic conditions, identification of industry clusters, convening and facilitation of steering and working groups, development of goals, objectives and strategies.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	180,000	180,000	93,271	0		
Beginning Cash Balance		0	0	6,126	10	0	0
Revenues		0	92,855	87,144	0		
Expenditures		0	86,729	93,260	0		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					(10)		
Net Total Transfers	0	0	0	0	(10)	0	0
Ending Cash Balance	0	0	6,126	10	0	0	0
Encumbrances		134,548	89,548	0			
Unencumbered Cash Balance	0	(134,548)	(83,422)	10	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY15-16
 Legal Authority: Act 119, SLH 2015

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-16-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA15NOS4190105, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			2,350,000	1,487,159	816,260	539,932	
Beginning Cash Balance	0	0	0	39,025	3,257	1,292	(0)
Revenues			904,800	664,467	274,363	19,508	
Expenditures			865,775	670,899	276,328	20,800	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				(29,336)			
Net Total Transfers	0	0	0	(29,336)	0	0	0
Ending Cash Balance	0	0	39,025	3,257	1,292	(0)	(0)
Encumbrances			820,638	74,200	20,000		
Unencumbered Cash Balance	0	0	(781,613)	(70,943)	(18,708)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: Hawaii Coastal Zone Management Program FY16-17
 Legal Authority: Act 124, SLH 2016

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-17-201

Intended Purpose: The Department of Planning and Economic Development developed a management program for Hawaii's coastal resources. The Hawaii CZM Program has formulated legislative objectives and policies, and represents a shared management system with participation by numerous State and County agencies. Portions of the administrative grants are distributed among the various State and County agencies to fulfill their legislative responsibilities in accordance with the State CZM Program.

Source of Revenues: Award No. NA16NOS4190093, National Oceanic and Atmospheric Administration, US Department of Commerce. The Coastal Zone Management Act of 1972 (Section 305, PL 92-583), as amended and Hawaii CZM Act of 1977.

Current Program Activities/Allowable Expenses: Administration and implementation of the Hawaii CZM Act of 1977 including ten objective and policy areas pertaining to coastal and ocean management. Program and project administration, permit administration, policy analysis, public participation, policy and technical studies, legislation, legal and regulatory analysis and recommendations, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				2,413,278	1,654,755	505,366	341,180
Beginning Cash Balance	0	0	0	0	70,160	2,332	(0)
Revenues				801,901	1,081,561	161,854	11,887
Expenditures				758,523	1,149,389	164,186	11,887
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				26,782			
Net Total Transfers	0	0	0	26,782	0	0	0
Ending Cash Balance	0	0	0	70,160	2,332	(0)	(0)
Encumbrances				1,005,501	64,356	5,000	
Unencumbered Cash Balance	0	0	0	(935,341)	(62,024)	(5,000)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BED, Business, Economic Development & Tourism
 Prog ID(s): BED 144/PL
 Name of Fund: CEDS Update
 Legal Authority: Act 124, SLH 2016

Contact Name: Susan Feeney
 Phone: 587-2880
 Fund type (MOF) N
 Appropriation Acct. No. S-17-510-B

Intended Purpose: To allow the Office of Planning to identify suitable State lands and to identify projects which will support Comprehensive Economic Development Strategy (CEDS) targeted emerging growth cluster industries (emerging growth industries) and which can feasibly be developed on State lands near the rail stations.

Source of Revenues: Award No. 07-79-07394, Economic Development Administration, U.S. Dept. of Commerce.

Current Program Activities/Allowable Expenses: Identification, description and mapping of projects and facilities which will support the growth of emerging growth industries on state lands near the rail stations. Organizing and facilitating group meetings and interviews with industry advocates and govt agencies. Presentation by econ. dev.expert. Technical assistance. Recommendations to support cluster industries in TOD.

Purpose of Proposed Ceiling Adjustment (if applicable): NA

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				140,000	140,000	116,949	
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	23,051	106,949	
Expenditures				0	23,051	106,949	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	41,692	0	
Unencumbered Cash Balance	0	0	0	0	(41,692)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DBEDT
 Prog ID(s): BED145/VC
 Name of Fund: Small Bus Credit Initiative
 Legal Authority: Federal

Contact Name: Karl Fooks
 Phone: 587-3830
 Fund type (MOF) P
 Appropriation Acct. No. S-203-B

Intended Purpose : To support a fund of funds investment program in combination with state and private capital that supports Hawaii's developing businesses and helps to diversify that state's economy.

Source of Revenues: Returns on venture capital investments and interest earned on State Investment Pool.

Current Program Activities/Allowable Expenses: HSDC invests in privately managed venture capital limited partnerships which leverage public funds (state and federal) with private capital to create investment pools for Hawaii companies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,100,000	13,168,350	11,596,061	9,603,398	8,230,860		
Beginning Cash Balance	3,620,695	7,137,968	10,080,241	8,146,006	6,834,552	5,364,552	3,884,552
Revenues	163,903	37,324	58,429	61,084	30,000	20,000	10,000
Expenditures	992,185	1,572,289	1,992,663	1,432,099	1,500,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Federal Grant SSBCI	4,345,556						
Federal Grant SSBCI		4,477,238					
Federal Grant SSBCI				59,561			
Net Total Transfers	4,345,556	4,477,238	0	59,561	0	0	0
Ending Cash Balance	7,137,968	10,080,241	8,146,006	6,834,552	5,364,552	3,884,552	2,394,552
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	7,137,968	10,080,241	8,146,006	6,834,552	5,364,552	3,884,552	2,394,552

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DBEDT
 Prog ID(s): BED/VC
 Name of Fund: Hydrogen Investment Capital Special Fund
 Legal Authority: Chapter 211F, HRS

Contact Name: Karl Fooks
 Phone: 587-3830
 Fund type (MOF): B- Special
 Appropriation Acct. No. S-308-B

Intended Purpose: To support the increased use of the State's indigenous renewable energy resources with pathways to use hydrogen as an energy carrier through the implementation of 1) a seed capital/venture capital investment program; 2) a cost-match grant program; and 3) the development of a Hawaii Renewable Hydrogen Program Plan.

Source of Revenues: Returns on venture capital investments and interest earned on State Investment Pool.

Current Program Activities/Allowable Expenses: Funds were expended to support an investment program supporting companies with Hydrogen related projects and to promote Hawaii's efforts in developing Hydrogen as a source of energy.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,608,516	2,608,516	2,608,516	2,608,516	2,608,516		
Beginning Cash Balance	159,052	159,450	141,474	19,616	18,781	12,311	12,341
Revenues	441	672	154	93	80	50	50
Expenditures	42	18,648	122,012	945	6,550	20	20
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	159,450	141,474	19,616	18,765	12,311	12,341	12,371
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	159,450	141,474	19,616	18,765	12,311	12,341	12,371

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DBEDT
 Prog ID(s): BED145/VC
 Name of Fund: Hawaii Strategic Development Corporation Revolving Fund
 Legal Authority: Chapter 211F, HRS

Contact Name: Karl Fooks
 Phone: 587-3830
 Fund type (MOF) W- Revolving
 Appropriation Acct. No. S-343- B

Intended Purpose: To provide access to venture capital investment funds for Hawaii's developing businesses. Public funds are being invested alongside private sector funds as a catalyst to develop a local venture capital industry, assist emerging technology firms, and help diversify the state's economy. HSDC also assists entrepreneurs to attract capital through business mentoring workshops and conferences.

Source of Revenues: Returns on venture capital investments and interest earned on State Investment Pool.

Current Program Activities/Allowable Expenses: HSDC invests in privately managed venture capital limited partnerships which leverage public funds with private capital to create investment pools for Hawaii companies. HSDC supports its operating expenses from this fund, which include costs for organizing workshops and conferences for entrepreneurs and investors.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,289,649	4,289,649	4,307,923	5,314,406	4,321,301		
Beginning Cash Balance	1,680,904	8,040,674	6,904,809	5,310,743	3,425,306	2,075,306	1,275,306
Revenues	1,664,763	37,585	255,087	57,992	150,000	200,000	200,000
Expenditures	1,304,994	1,173,450	1,849,153	1,943,429	1,500,000	1,000,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
General Fund Transfer	6,000,000						
Net Total Transfers	6,000,000	0	0	0	0	0	0
Ending Cash Balance	8,040,674	6,904,809	5,310,743	3,425,306	2,075,306	1,275,306	975,306
Encumbrances	4,905	20,795	108,548	3,311	0	0	0
Unencumbered Cash Balance	8,035,769	6,884,014	5,202,195	3,421,995	2,075,306	1,275,306	975,306

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department:	DBEDT	Contact Name:	Sheryll Kaniho
Prog ID(s):	BED 146/EL	Phone:	808-327-9585 Ext 234
Name of Fund:	Natural Energy Laboratory of Hawaii Authority	Fund type (MOF)	B-Special
Legal Authority	HRS Section 227-D	Appropriation Acct. No.	S-345-B

Intended Purpose: To provide for the operation, maintenance, and management of NELHA projects, facilities and services and for the design and construction of new facilities and the renovation of or addition to existing facilities.

Source of Revenues: Fees collected from tenants in the form of: Land use fees, Laboratory space rent, Royalties, Percentage Rent. Administrative, Electrical, Seawater, Freshwater reimbursement from tenants. Interest income and overhead charges from tenants.

Current Program Activities/Allowable Expenses: Operate and maintain transmission and distribution systems for pumping 55,400 gallons per minute of deep and surface seawater; Market sites and resources to potential new projects and businesses for both the Keahole and Puna sites; Operate a certified water quality laboratory; Provide technical, clerical, operation and construction support to tenants; Operate and maintain construction and operating equipment and the grounds and buildings of both facilities; Provide informational and educational material and lectures.

Purpose of Proposed Ceiling Increase (if applicable): n/a

Variances: 16% variance in expenditures between FY 15 and FY 16; is due to S.F. augmentation to a federal grant project.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,672,917	7,672,917	7,672,917	7,816,399	7,816,399	7,816,399	7,816,399
Beginning Cash Balance	2,101,939	2,315,298	1,572,340	1,725,569	1,307,827	525,916	805,781
Revenues	5,189,758	4,766,277	4,708,107	4,830,409	5,491,000	5,683,185	5,882,096
Expenditures	5,160,873	5,852,215	4,938,436	5,248,151	6,272,911	5,403,320	5,592,436
Transfers							
List each by JV# and date							
See below	184,474	342,980	383,459	369,713			
Net Total Transfers							
Ending Cash Balance	2,315,298	1,572,340	1,725,470	1,307,827	525,916	805,781	1,095,441
Encumbrances	1,061,586	989,790	581,440	1,052,312			
Unencumbered Cash Balance	1,253,712	582,550	1,144,030	255,515	525,916	805,781	1,095,441
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

JV for cash transfer from NELHA Escrow Accts:

S 98 367 B JV198, 4/2/14
S 09 367 B JV198, 4/2/14

JV for ceded land payments to OHA:

JV51, 9/30/14	79,094.75
JV105, 1/8/15	81,007.28
JV171, 4/14/15	84,693.39
JV227, 6/30/15	98,184.68
Total	342,980.10

JV for ceded land payments to OHA:

JV0063_JT0235 9/30/15	93,683.10
JV124_JT0433 12/31/15	79,969.97
JV183_JT0844 4/8/16	96,593.71
JV246_JT0923 6	113,212.45
Total	383,459.23

JV for ceded land payments to OHA:

JV 10/11/16	79,343.71
JV 01/18/17	87,672.78
JV 04/07/17	98,773.10
JV 7/11/17	103,923.44
Total	369,713.03

Report on Non-General Fund Information
for Submittal to the 2016 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED 150KA
 Name of Fund: Hawaii Community Development Revolving Fund
 Legal Authority: Section 206E-16, HRS

Contact Name: Aedward Los Banos
 Phone: 594-0343
 Fund type (MOF): Revolving Funds
 Appropriation Acct. No. S-352-B/S-358-B

Intended Purpose:

Section 206E-16, HRS, requires all revenues and receipts of Hawaii Community Development Authority (HCDA) to be deposited into this fund and used to further HCDA's purposes. Certain purposes are identified Chapter 206E include programs for the development/improvement of public facilities for dedication, reserved housing, and improvement districts - each is further discussed in the sections that follow. By statute, the HCDA is also tasked with long-range planning for its community development districts and the maintenance of these plans. The HCDA must also manage/maintain properties that it owns in each community development district.

Source of Revenues:

The Hawaii Community Development Revolving Fund consists of four sub-accounts established by different sections of Chapter 206E, HRS.

- The Public Facilities Dedication sub-account consists of fees collected from private developers to mitigate the impacts of their developments to be invested in public facilities.
- The Reserved Housing sub-account is made up of fees collected from developers or reserved housing unit owners upon re-sale of their unit, to ensure the continued availability of low-income and affordable housing. Funds may also be used for the development of new low-income and affordable housing units.
- The Improvement District sub-account consists of assessments paid by landowners for their proportionate share of Improvement District project costs.
- The Leasing & Management sub-account consists of monies collected from the leasing and management of HCDA-owned/operated properties.

Current Program Activities/Allowable Expenses:

By statute, funds can only be used for purposes contained in Chapter 206E, HRS. The break-down by subaccount allowable activities/expenses follow:

- Public Facility Dedication - the purchase, creation, expansion or improvement of public facilities within a community development district.
- Reserved Housing - Increase the supply of housing for residents of low- or moderate- income within a community district and administration of the reserved housing program.
- Improvement District - Repayment and administration of ID financings.
- Leasing & Management - Managment and maintenance of HCDA-owned property, long-range planning for community districts, HCDA administrative costs, and all other costs that cannot be funded by any other funding source.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

In FY 2016, revenues hit its peak and is consistent with the local construction cycle peak. Also favorable interest rates and market conditions lead to the resale of reserved housing units. From FY17 going forward we expect to see a decrease in these revenue sources as construction activity declines and the inventory of reserved housing units subject to shared equity requirements decrease. The estimated spike in expenditures in FY18 is due to certain projects that the agency seeks to undertake during the year such as the Heela Community Development Plan and construction of the Kalaeloa energy corridor.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	691,863	4,978,253	7,763,499	776,005	866,658	866,658	866,658
Beginning Cash Balance	19,357,242	15,965,965	18,903,101	26,078,234	26,536,106	24,384,539	23,002,493
Revenues	2,119,818	7,428,441	10,315,065	3,181,752	1,718,327	1,601,004	1,576,000
Expenditures	5,359,159	4,403,783	3,029,356	2,495,778	3,669,894	2,983,050	3,018,495
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(151,936)	(87,522)	(110,576)	(228,102)			
Ending Cash Balance	15,965,965	18,903,101	26,078,234	26,536,106	24,384,539	23,002,493	21,559,998
Encumbrances	4,984,522	3,063,744	2,249,393	3,163,622			
Unencumbered Cash Balance	10,981,443	15,839,357	23,828,841	23,372,484	24,384,539	23,002,493	21,559,998

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2016 Legislature**

Department:	Business, Economic Development & Tourism
Prog ID(s):	BED 150KL
Name of Fund:	Kalaheo Community Development Revolving Fund
Legal Authority	Section 206E-195, HRS

Contact Name: Aedward Los Banos
Phone: 594-0343
Fund type (MOF) Revolving Funds
Appropriation Acct. No. S-326-B/S-356-B

Intended Purpose:

Section 206E-195, HRS, requires all revenues and receipts for the Kalaheoa Community Development District be deposited into this fund and be used to further HCDA's community development objectives for Kalaheoa. Chapter 206E, HRS, identifies programs and objectives similar to those identified for Hawaii Community Development Revolving Fund, appropriation account numbers, S-352-B and S-358-B.

Source of Revenues:

The Kalaeloa Community Development Revolving Fund is set up similar to the Hawaii Community Development Revolving Fund with similar programs established by statute for this district. Currently, all activity of the Kalaeloa Community Development Revolving Fund is captured in the Leasing and Management subaccount as infrastructure constraints have stymied the redevelopment of this district.

Current revenues are limited to assessment payments from all land users, except the federal government, for their fair share of the costs required to administer and operate the Kalaheo Community Development District. Also, leasing and management revenues generated from HCDA owned/operated properties in the district.

Current Program Activities/Allowable Expenses:

The Kalaeoia Community Development Revolving Fund is set up similar to the Hawaii Community Development Revolving Fund with similar programs established by statute for this district. Currently, all activity of the Kalaeoia Community Development Revolving Fund is captured in the Leasing and Management subaccount as infrastructure constraints have stymied the redevelopment of this district.

Current expenses are limited to administrative expenses for the Kalaheo field office and long-range planning activities to yield redevelopment and further economic development in the district.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

The estimated increase in expenditures for FY18 and beyond include long-range planning activities to support the implement the community development plan for the district with a focus on infrastructure, including reliable energy and roads. As infrastructure improvements are made in the district, the HCDA would seek to increase landowner assessments incrementally with the realization of more economic development. In FY18, using legislative CIP appropriations, the HCDA will start construction of the energy corridor down Enterprise Avenue.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	394,955	394,955	425,797	433,700	506,700	506,700	506,700
Beginning Cash Balance	336,920	386,196	313,699	245,135	280,896	16,896	(9,104)
Revenues	221,310	209,253	220,028	202,935	205,000	445,000	455,000
Expenditures	352,034	369,271	399,167	395,276	469,000	471,000	481,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	180,000	87,521	110,575	228,102			
Ending Cash Balance	386,196	313,699	245,135	280,896	16,896	(9,104)	(35,104)
Encumbrances	37,135	0	0				
Unencumbered Cash Balance	349,061	313,699	245,135	280,896	16,896	(9,104)	(35,104)
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2016 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED 150
 Name of Fund: Hawaii Community Development Authority Special Assessment Reserve Fund
 Legal Authority: Section 206E-6(g), HRS

Contact Name: Aedward Los Banos
 Phone: 594-0343
 Fund type (MOF) Revolving Funds
 Appropriation Acct. No. S-349-B

Intended Purpose:

Section 206E-6(g), HRS, requires properties to be assessed a share of the cost of public facilities improvements. The monies collected from property owners for the payment of assessments and interest on improvement district (ID) bonds are required to be placed in separate special fund to be applied to the payment of principal and interest on these bonds and other related costs. Any surplus after debt services shall be used to further HCDA's community development objectives of the Kakaako District.

The installment program ended June 1, 2008, and all outstanding bonds and related costs were paid off by January 1, 2006, the balance of this fund were \$1,000,000.

Source of Revenues:

Collection of assessment payments from private property owners benefited from ID-1, ID-2 and ID-3.

Current Program Activities/Allowable Expenses:

Collecting assessment payments for infrastructure improvement projects.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

The HCDA has no active Improvement District projects. Debt related to previous Improvement District projects has been defeased using revolving funds. The HCDA continues to collect assessments from landowners under previous ID assessments which are currently recognized in the Hawaii Community Development Revolving Fund.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2016 Legislature

Department: Business, Economic Development & Tourism
 Prog ID(s): BED 150
 Name of Fund: Heeia Community Development Revolving Fund
 Legal Authority: Section 206E-204, HRS

Contact Name: Aedward Los Banos
 Phone: 594-0343
 Fund type (MOF): Revolving Funds
 Appropriation Acct. No. S-364-B

Intended Purpose:

Section 206E-204, HRS, requires all revenues and receipts for the Heeia Community Development District be deposited into this fund and be used to further HCDA's community development objectives for Heeia. Objectives include implementation of policies, programs, and projects that preserve and protect the environment and promote agriculture through varied land uses.

Source of Revenues:

Transfer monies from Hawaii Community Development Revolving Fund and lease rent.

Current Program Activities/Allowable Expenses:

The HCDA is currently working to develop a community development plan for this community development district. Following the adoption of a community development plan, the HCDA will work to establish a set of rules for the district and adopt programs necessary for the implementation of the plan.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

In FY18, the HCDA will engage a consultant to develop the community development plan, including the necessary studies, including an environmental impact study for the plan. Some follow-up activity is expected in FY19. The community development plan adopted will inform estimated expenditures beyond FY19.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,841	2,897	2,909	4,391	5,428	(143,072)	(191,572)
Revenues	61	12	1,482	1,037	1,500	1,500	1,500
Expenditures	22,005	0	0	0	150,000	50,000	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	2,897	2,909	4,391	5,428	(143,072)	(191,572)	(190,072)
Encumbrances							
Unencumbered Cash Balance	2,897	2,909	4,391	5,428	(143,072)	(191,572)	(190,072)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department:	<u>Business, Economic Development and Tourism</u>	Contact Name:	<u>Lorraine Egusa</u>
Prog ID(s):	<u>BED 160HF</u>	Phone:	<u>587-0622</u>
Name of Fund:	<u>Home Investment Partnership Program</u>	Fund type (MOF)	<u>Federal Fund "N"</u>
Legal Authority	<u>P.L. 101-625, Title II</u>	Appropriation Acct. No.	<u>S-14-212-B</u>

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues:

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000		4,013,626				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	88,330	4,543,044	1,273,266	1,414,551	750,000	500,000	75,809
Expenditures	88,330	4,543,044	1,273,266	1,414,551	750,000	500,000	75,809
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department:	<u>Business, Economic Development and Tourism</u>	Contact Name:	<u>Lorraine Egusa</u>
Prog ID(s):	<u>BED 160HF</u>	Phone:	<u>587-0622</u>
Name of Fund:	<u>Home Investment Partnership Program</u>	Fund type (MOF)	<u>Federal Fund "N"</u>
Legal Authority	<u>P.L. 101-625, Title II</u>	Appropriation Acct. No.	<u>S-15-212-B</u>

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues:

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,000,000	2,871,237				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	148,763	164,121	2,464,152	240,851	0	0
Expenditures	0	148,763	164,121	2,464,152	240,851	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160HF
 Name of Fund: Home Investment Partnership Program
 Legal Authority: P.L. 101-625, Title II

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Federal Fund "N"
 Appropriation Acct. No. S-16-212-B

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues:

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,002,167				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	193,046	1,266,692	1,000,000	542,429	0
Expenditures	0	0	193,046	1,266,692	1,000,000	542,429	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department:	<u>Business, Economic Development and Tourism</u>	Contact Name:	<u>Lorraine Egusa</u>
Prog ID(s):	<u>BED 160HF</u>	Phone:	<u>587-0622</u>
Name of Fund:	<u>Home Investment Partnership Program</u>	Fund type (MOF)	<u>Federal Fund "N"</u>
Legal Authority	<u>P.L. 101-625, Title II</u>	Appropriation Acct. No.	<u>S-17-212-B</u>

Intended Purpose:

The HOME Investment Partnership Program was created by the National Affordable Housing Act of 1990. This program is intended to be a locally designed and administered program which: 1)expands the supply of decent, safe, affordable, and sanitary housing, with primary attention to low income rental housing; 2)strengthens the abilities of state and local governments to design and implement affordable housing strategies; and 3)provides both federal financing and technical assistance.

Source of Revenues:

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the HOME program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	(actual)	(actual)	(actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling				3,100,000			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	155,917	200,000	1,000,000	1,000,000
Expenditures	0	0	0	155,917	200,000	1,000,000	1,000,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism Contact Name: Lorraine Egusa
 Prog ID(s): BED 160HF Phone: 587-0622
 Name of Fund: National Foreclosure Mitigation Counseling (NFMC) Fund type (MOF) Other Federal Fund
 Legal Authority P.L. 110-289 Appropriation Acct. No. S-YY-213-B

Intended Purpose:

Federal funding for foreclosure mitigation counseling through the HERA approved July 30, 2008. NFMC is intended to support rapid expansion of foreclosure intervention, counseling services in response to nationwide mortgage foreclosure crisis. Grant to be used for foreclosure counseling, legal assistance to homeowners facing foreclosure. Training for foreclosure counselors & admin expenses.

Source of Revenues:

Housing and Economic Recovery Act (HERA)

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from Neighbor Works America and administers the NFMC program, the grant money is then disbursed to non-profit counseling agencies.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)		(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			310,231				
Beginning Cash Balance	49,409	14,586	5,524	5,582	5,582	0	0
Revenues	55,388	5,972	1,730	0	0	0	0
Expenditures	90,211	15,033	1,673	0	5,582	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	14,586	5,524	5,582	5,582	(0)	0	0
Encumbrances							
Unencumbered Cash Balance	14,586	5,524	5,582	5,582	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160 (HF)
 Name of Fund: Housing Trust Fund
 Legal Authority P.L. 110-289

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Other Federal Fund "P"
 Appropriation Acct. No. S-17-514

Intended Purpose:

The Housing and Economic Recovery Act of 2008 (HERA) authorized formula grants for the rehabilitation or new construction of rental and homebuyer housing in order to expand and preserve the supply of affordable housing, particularly rental housing, for extremely low-income and very low-income households.

Source of Revenues:

HERA

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the Housing Trust Fund program, the grant money is then disbursed to the Counties.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
				FY2017 (actual)	FY2018 (estimated)	FY2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling				3,000,000			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	41,379	150,000	500,000	500,000
Expenditures	0	0	0	41,379	150,000	500,000	500,000
Transfers							
List each by JV# and date							
Transfer to Disb.(for admin cost)	0	0	0	0			
Transfer to General Fund							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2016 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160HF
 Name of Fund: Emergency Homeowners' Loan Program (EHLF)
 Legal Authority: Pub. L. 94-50

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Other Federal Fund
 Appropriation Acct. No.: S-YY-206-B

Intended Purpose:

implement the EHLF Program to provide emergency mortgage assistance to homeowners experiencing temporary involuntary loss of employment or underemployment resulting in a substantial reduction in income due to adverse economic conditions, and who consequently are financially unable to make full mortgage payments. NeighborWorks awarded the Corporation a grant to provide counseling services to eligible homeowners through its sub-grantees.

Source of Revenues:

Pub. L. 111-203

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from NeighborWorks; the grant money is then disbursed to the sub-grantee.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data						
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			57,893			
Beginning Cash Balance	4,260	4,729	597	0	0	0
Revenues	6,656	13,307				
Expenditures	6,187	17,439	597			
Transfers						
List each by JV# and date						
Net Total Transfers						
Ending Cash Balance	4,729	597	0	0	0	0
Encumbrances						
Unencumbered Cash Balance	4,729	597	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2016 Legislature

Department:	<u>Business, Economic Development and Tourism</u>	Contact Name:	<u>Lorraine Egusa</u>
Prog ID(s):	<u>BED 160HF</u>	Phone:	<u>587-0622</u>
Name of Fund:	<u>Neighborhood Stabilization Program (NSP)</u>	Fund type (MOF)	<u>Other Federal Fund</u>
Legal Authority	<u>P.L. 110-289</u>	Appropriation Acct. No.	<u>S-YY-214-B</u>

Intended Purpose:

Federal NSP approved July 30, 2008. Created by the Housing & Economic Recovery Act of 2008. Grant is intended to provide to assistance to State & local governments to acquire & redevelop foreclosed properties. Grants may be used to acquire land & prc or rehabilitate abandoned properties and/or to offer down payment & closing cost assistance to low to moderate income homebuy land banks to stabilize neighborhoods. Funds will be allocated in each of the counties of Honolulu, Hawaii, Kauai & Maui for spec

Source of Revenues:

Housing and Economic Recovery Act (HERA)

Current Program Activities/Allowable Expenses:

The Corporation is a conduit and receives the money from HUD and administers the NSP program, the grant money is then disb sub-recipients or contractors for eligible projects.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data						
	FY 2014		FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,677,735		5,807,638	0	0	0
Beginning Cash Balance	0	0	0	0	0	0
Revenues	180,937	165,066	0	0	0	0
Expenditures	180,937	165,066	0	0	0	0
Transfers						
List each by JV# and date						
Net Total Transfers						
Ending Cash Balance	0	0	0	0	0	0
Encumbrances						
Unencumbered Cash Balance	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	<u>Business, Economic Development and Tourism</u>	Contact Name:	<u>Lorraine Egusa</u>
Prog ID(s):	<u>BED 160HA</u>	Phone:	<u>587-0622</u>
Name of Fund:	<u>Fee Simple Residential Revolving Fund</u>	Fund type (MOF):	<u>Special Revolving</u>
Legal Authority	<u>HRS Chapter 516-44</u>	Appropriation Acct. No.	<u>S-YY-374-B</u>

Intended Purpose:

Act 307, SLH 1967, as amended by Act 337, SLH 1987, created this fund and authorized the Corporation to deposit all monies received or collected in connection with the State of Hawaii land reform programs into the fund. The State's land reform programs are aimed at promoting fee simple ownership or residential lots in order to ease the inflation of prices for both fee simple and leasehold residential lots and to disperse ownership of fee simple residential lots to as large a number of people as possible.

Pursuant to Act 237, SLH 2015, this fund has been repealed.

Source of Revenues:

Investment interest and repayment of fee simple purchase costs

Current Program Activities/Allowable Expenses:

Activity in the fund is minimal because of the decreased demand for these services.

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data						
	FY 2014		FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						
Beginning Cash Balance	60,682	60,850	61,109	0	0	0
Revenues	168	259	68	0	0	0
Expenditures	0	0	61,177	0	0	0
			exp: transfer to General Fund			
Transfers						
List each by JV# and date						
Transfer to Disb.(for admin cost)	0	0				
Transfer to General Fund						
Net Total Transfers	0	0	0	0	0	0
Ending Cash Balance	60,850	61,109	0	0	0	0
Encumbrances						
Unencumbered Cash Balance	60,850	61,109	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160-HD
 Name of Fund: Dwelling Unit Revolving Fund (DURF) (incl 314 & 320)
 Legal Authority: HRS Chapter 201H-191

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Special Revolving
 Appropriation Acct. No. S-YY-375-B

Intended Purpose:

The Dwelling Unit Revolving Fund was established in accordance with Act 105, SLH 1970. This Act together with Act 195, SLH 1975, and Act 225, SLH 1976 (which repealed Act 239, SLH 1969) authorized the State to issue general obligation bonds of \$125,000,000 for acquiring, developing, selling & leasing rental residential, commercial & industrial properties; & for providing mortgage, interim construction, down payment, participation mortgage & agreement of sale loans. Act 132, SLH 2016 broadened the use of DURF to also fund regional state infrastructure construction in conjunction with housing and mixed-use transit-oriented development projects.

Source of Revenues:

Repayments on loans; Sales of dwelling units, land & other assets; Rental payments & lease rent payments from dwelling owners; investment inter-

Current Program Activities/Allowable Expenses:

Administration of DURF program, which has been used primarily for interim construction financing of affordable infill housing projects.

Purpose of Proposed Ceiling Increase (if applicable):

Encumbrances: Amount includes unpaid contract amounts primarily for engineering and maintenance for various pro

Variances: Annual swings in revenue and expenditures are primarily based on anticipated loan repayments and loan advances.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,665,906	5,989,197	7,916,902	8,548,945	8,905,848	8,851,848	0
Beginning Cash Balance	88,225,244	67,754,775	85,054,584	95,669,092	91,026,701	85,293,805	47,520,836
Revenues	10,220,344	31,807,593	9,154,873	11,442,603	23,900,000	33,026,000	37,047,000
Expenditures	32,082,650	16,074,419	14,148,714	18,034,374	56,972,152	73,372,150	45,690,698
Transfers							
List each by JV# and date							
Transfer from S-YY-374	0	0	0	0	0	0	0
Transfer from S-YY-376	1,296,598	1,456,523	1,494,848	1,337,601	1,605,121	1,765,633	1,765,633
Transfer from S-YY-377	0	0	0	0	0	0	0
Transfer from S-YY-378	95,239	110,112	113,501	157,527	189,032	207,936	207,936
Transfer from S-YY-380				454,252	545,102	599,613	599,613
Transfer from B-13-404			7,000,000				
Transfer from B-13-406			7,000,000				
Transfer from B-16-411					25,000,000	0	
Transfer from B-17-407							25,000,000
Net Total Transfers	1,391,837	1,566,635	15,608,349	1,949,380	27,339,256	2,573,182	27,573,182
Ending Cash Balance	67,754,775	85,054,584	95,669,092	91,026,701	85,293,805	47,520,836	66,450,320
Encumbrances	3,130,502	3,433,136	2,370,437	3,675,395	2,000,000	2,000,000	2,000,000
Unencumbered Cash Balance	64,624,273	81,621,449	93,298,655	87,351,306	83,293,805	45,520,836	64,450,320

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED-160-HF
 Name of Fund: Housing Finance Revolving Fund (incl S-321)
 Legal Authority: HRS Chapter 201H-80

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Special
 Appropriation Acct. No.: S-YY-376-B

Intended Purpose: The Housing Finance Revolving Fund was created in 1985 by Act 48, SLH 1984, to be used for long-term and other special financing. All monies received and collected by the Corporation, not otherwise pledged or obligated nor required by law to be placed in another fund are deposited in this fund. Transactions for the Low Income Housing Tax Credit, Mortgage Credit Certificate and Down Payment Loan programs are recorded in the fund.
Source of Revenues: Repayment on loans and investment interest.

Current Program Activities/Allowable Expenses: Funds are used for long-term & special financing of the Corporation and for the payment of the necessary administrative expenses of programs which include the Mortgage Credit program, the Kahana Valley Loan program, and the Low-Income Housing Tax Credit Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,208,180	1,208,180	1,925,761	2,140,472	2,140,472	2,140,472	2,140,473
Beginning Cash Balance	4,241,348	5,405,591	5,419,875	6,208,909	7,062,785	6,634,392	5,579,759
Revenues	3,699,688	2,897,879	3,559,417	3,834,452	2,984,000	2,699,000	2,675,000
Expenditures	1,240,638	1,427,073	1,275,535	1,642,975	1,807,273	1,988,000	2,140,473
Transfers							
List each by JV# and date (see attached)							
Transfers to S-YY-321-B	(52,614)	0	0	0	0	0	0
Transfers to Disb.(for Admin Cost)	(1,296,598)	(1,456,522)	(1,494,848)	(1,337,601)	(1,605,121)	(1,765,633)	(1,765,633)
Transfer fm B-YY-XXXX Fund	0		0	0	0	0	0
Transfer from S-YY-325	788	0	0	0	0	0	0
Transfer from S-YY-377	1,003	0	0	0	0	0	0
Transfer from S-YY-321	52,614	0	0	0	0	0	0
Net Total Transfers	(1,294,807)	(1,456,522)	(1,494,848)	(1,337,601)	(1,605,121)	(1,765,633)	(1,765,633)
Ending Cash Balance	5,405,591	5,419,875	6,208,909	7,062,785	6,634,392	5,579,759	4,348,653
Encumbrances	100,257	796,125	222,998	357,373	200,000	200,000	200,000
Unencumbered Cash Balance	5,305,334	4,623,750	5,985,911	6,705,412	6,434,392	5,379,759	4,148,653

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED-160-HF
 Name of Fund: Housing Loan Program Revolving Bond Fund
 Legal Authority: HRS Chapter 201H, Part III. B

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Special
 Appropriation Acct. No. S-YY-397-B

Intended Purpose: The Single Family Mortgage Purchase Revenue Bond Fund was established in accordance with Act 50, SLH 1979, as amended by Act 337, SLH 1987. These Acts, together with Act 288, SLH 1980; Act 13, Special SLH 1981; Act 224, SLH 1984; Act 171, SLH 1991; Act 1, SLH 1995; and Act 189, SLH 1999 authorize the Corporation to issue bonds of up to \$2,275,000,000. The proceeds from bond issues are used to make affordable interest rate mortgage loans to persons & families of low & moderate income for the purchase of owner-occupied detached single-family and condominium dwelling. Funds are held by a trustee outside of the State Treasury.

Source of Revenues: Bond proceeds; repayments on loans; investment interest, and commitment fees.

Current Program Activities/Allowable Expenses: The Corporation has the flexibility to issue bonds from time to time based on the needs of the mortgage lenders & developers. The exact amount of bonds to be issued will be based upon the demand for affordable mortgage money.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Bond issuances and repayments are based on the demand for affordable mortgage money, thus the swings from year to year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	78,779	10,709	141,959	0	0	0	0
Revenues	4,538,353	4,038,814	3,116,126	2,645,960	28,361,000	33,361,000	34,361,000
Expenditures	4,606,423	3,907,564	3,258,085	2,645,960	28,361,000	33,361,000	34,361,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,709	141,959	0	0	0	0	0
Encumbrances	0	0					
Unencumbered Cash Balance	10,709	141,959	(0)	(0)	(0)	(0)	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED-160-HF
 Name of Fund: UH Faculty Hsg Proj Series 1995 Bond Proceed Fund
 Legal Authority: HRS Chapter 201H-80

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Special
 Appropriation Acct. No. S-YY-377-B

Intended Purpose: The U.H. Faculty Housing Project Series 1995 Bond Proceed Fund is the third indenture of the Rental Housing system, which was created under the provisions of Section 201E-57 of the Hawaii Revised Statutes. Act 216, SLH 1987, as amended by Act 390, SLH 1988, authorized the Corporation to issue up to \$75,000,000 of revenue bonds. This authorization was subsequently increased to \$375,000,000 by Act 316, SLH 1989; Act 299, SLH 1990; and Act 172, SLH 1991. The proceeds from the bond issues are used to finance multifamily rental housing projects. The bonds refinanced on 4/16/2009.

Source of Revenues: Investment interest

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,508	1,003	0	0	0	0	0
Revenues	5	0	0				
Expenditures							
Transfers							
List each by JV# and date							
Transfers to Disb. (for audit costs)	(1,510)						
10/10/13 JS2005 Trf to S-YY-376		(1,003)					
Net Total Transfers	(1,510)	(1,003)	0				
Ending Cash Balance	1,003	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,003	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED-160-HF
 Name of Fund: Waiialua Loan/Subside Program
 Legal Authority: Act 30 & Act 31, SSLH 1995 Special Session

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Special
 Appropriation Acct. No. S-YY-325-B

Intended Purpose: Act 30 & Act 31, SSLH 1995, appropriated \$550,000 & \$664,000, respectively, to be used to provide low-interest emergency loans & rental subsidies to former employees & retirees of the Waiialua Sugar Company or their surviving spouses, who, as a result of the plantation closure, require assistance to make mortgage payments on their homes or require rental subsidies for the relocation of displaced workers. The funds are transferred from the Rental Assistance Revolving Fund (RARF) on an as needed basis.

Source of Revenues: Loan interest.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	788	788	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
6/6/14 JS6578 Trf to S-YY-376		(788)					
Net Total Transfers	0	(788)	0				
Ending Cash Balance	788	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	788	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department:	<u>Business, Economic Development and Tourism</u>	Contact Name:	<u>Lorraine Egusa</u>
Prog ID(s):	<u>BED 160-HF</u>	Phone:	<u>587-0622</u>
Name of Fund:	<u>Rental Housing Revolving Fund</u>	Fund type (MOF)	<u>Special Fund</u>
Legal Authority	<u>HRS Chapter 201H-202, as amended by Act 237, SLH 2015 Appropriation Acct. No. S-YY-380-B</u>		

Intended Purpose:

Funding is made available to subsidize the development of affordable rental housing (grant or low-interest, long term loan) by establishing the Rental Housing Trust Fund as a continuous renewable resource to assist very low and low income families and individuals, including the homeless and special needs groups, in obtaining rental housing. Revenues are obtained through collection of miscellaneous income and application fees.

Source of Revenues:

Repayment on loans; Conveyance taxes & Investment interest.

Current Program Activities/Allowable Expenses:

To assist low-income families and individuals, including the homeless and special need groups in obtaining affordable rental housing.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Prior to July 1, 2015, this fund was a Trust Fund, T-XX-930-B.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			88,000,000	88,000,000			
Beginning Cash Balance	0	0	0	125,492,118	156,524,216	164,993,114	73,865,501
Revenues			35,756,402	42,010,597	32,622,000	32,672,000	32,722,000
Expenditures			12,375,686	20,024,247	100,208,000	123,200,000	93,500,000
Transfers							
List each by JV# and date							
Transfer from T-16-930			97,111,402				
Transfer to S-17-314				(454,252)	(545,102)	(599,613)	(599,613)
Transfer from S-17-378				9,500,000			
Transfer from B-14-405			5,000,000				
Transfer from B-15-404					40,000,000		
Transfer from B-16-415					36,600,000		
Transfer from B-17-406		0					25,000,000
Net Total Transfers	0	0	102,111,402	9,045,748	76,054,898	(599,613)	24,400,387
Ending Cash Balance	0	0	125,492,118	156,524,216	164,993,114	73,865,501	37,487,888
Encumbrances							
Unencumbered Cash Balance	0	0	125,492,118	156,524,216	164,993,114	73,865,501	37,487,888

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160-HF
 Name of Fund: Rental Housing Trust Fund
 Legal Authority: HRS Chapter 201H-202, as amended by Act 237, SLH 2015

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Trust Fund
 Appropriation Acct. No. T-YY-930-B

Intended Purpose:

Funding is made available to subsidize the development of affordable rental housing (grant or low-interest, long term loan) by establishing the Rental Housing Trust Fund as a continuous renewable resource to assist very low and low income families and individuals, including the homeless and special needs groups, in obtaining rental housing. Revenues are obtained through collection of miscellaneous income and application fees.

Source of Revenues:

Repayment on loans; Conveyance taxes & Investment interest.

Current Program Activities/Allowable Expenses:

To asset low-income families and individuals, including the homeless and special need groups in obtaining affordable rental housing.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The increase in estimated revenues in FY2015 is due to the increased percentage share of conveyance taxes.

Effective July 1, 2015, this fund was reclassified to a Special Fund, S-YY-380-B.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,923,698	21,923,698	44,923,698				
Beginning Cash Balance	58,736,246	62,535,492	79,037,671	97,111,402	0	0	0
Revenues	19,823,647	24,640,091	51,738,533				
Expenditures	21,024,401	8,137,912	33,664,802	0			
Transfers							
List each by JV# and date							
Transfer from B-12-413-B	5,000,000						
Transfer to S-16-380-B				(97,111,402)			
Transfer from B-XX-XXX-B			0				
Net Total Transfers	5,000,000	0	0	(97,111,402)			
Ending Cash Balance	62,535,492	79,037,671	97,111,402	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	62,535,492	79,037,671	97,111,402	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160 HF
 Name of Fund: OHA CEDED LAND PROCEEDS (HCDCH)
 Legal Authority: Act 134, SLH 2006

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Trust
 Appropriation Acct. No. T-YY-906-B

Intended Purpose: Under Act 178, SLH 2006 and Executive order 06-06, each agency of the State that collects receipts from the lands within the public land trust are required to transfer a portion of those receipts to the Office of Hawaiian Affairs ("OHA") thirty days after the close of each fiscal quarter.

Source of Revenues: Commercial receipts from lands within the public land trusts

Current Program Activities/Allowable Expenses: The Corporation collects lease rents and disburses a portion to the Office of Hawaiian Affairs

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	1,095	0	0	0
Revenues	30,042	40,412	36,501	88,785	70,000	70,000	70,000
Expenditures	30,042	40,412	35,406	89,880	70,000	70,000	70,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,095	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	1

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160 HF
 Name of Fund: Housing Project Bond Special Fund - Multifamily
 Legal Authority: HRS Section 201H Part III A

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Special
 Appropriation Acct. No. S-YY-372-B

Intended Purpose: The Multifamily Housing Revenue bond Fund was created in accordance with Act 291, SLH 1980, which authorized the Corporation to issue revenue bonds of \$122,500,000. This authorization was subsequently increased to \$500,000,000 by Act 304, SLH 1996; Act 185, SLH 2004; Act 231, SLH 2007 and Act 121, SLH 2008. This authorization was further increased to \$750,000,000 by S.B. No. 2740, S.D. 1 in 2012.

Source of Revenues: Bond proceeds, interest from investments and loans, and loan repayments.

Current Program Activities/Allowable Expenses: The proceeds from the bond issues are used to provide interim construction loans and/or permanent financing to facilitate the construction or rehabilitation of affordable rental housing projects and to also finance the purchase of the Kukui Gardens Rental Housing Complex.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Bond issuances and repayments are based on the financing needs of various project developers, thus the swings from year to year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	133,362,316	101,517,402	20,536,515	88,296,165	285,842,000	43,454,000	28,962,000
Expenditures	133,362,316	101,517,402	20,536,515	88,296,165	285,842,000	43,454,000	28,962,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160 HF
 Name of Fund: Housing Loan Prog Revenue Bond Special Fund - HRHSRB
 Legal Authority: HRS Section 201H - 80

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF) Special
 Appropriation Acct. No. S-YY-373-B

Intended Purpose: The Rental Housing System and the State of Hawaii Affordable Program were created under the provision of section 57 of Act 337, SLH 1987. Act 216, SLH 1987, as amended by Act 390, SLH 1988, authorized the Corp to issue up to \$75,000,000 of revenue bonds. This authorization was subsequently increased to \$375,000,000 by Act 316, SLH 1989, Act 299, SLH 1990, and Act 172, SLH 1991. In October 2004, the Corporation issued bonds to refund the outstanding RHS and SHARP bonds. The Hawaii Rental Housing System Revenue Bond (HRHSRB) program was created as a result of the refunding.

Source of Revenues: Interest income from investments, rental income, and parking income.

Current Program Activities/Allowable Expenses: The proceeds from the bond issues are used to finance multifamily rental housing projects.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: There were no significant variances.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,174,998	2,246,208	2,340,938	2,525,654	2,669,880	2,818,433	2,971,442
Revenues	18,776,600	18,995,557	19,862,899	20,533,781	21,149,794	21,784,288	22,437,817
Expenditures	18,705,390	18,900,827	19,678,183	20,389,555	21,001,242	21,631,279	22,280,217
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,246,208	2,340,938	2,525,654	2,669,880	2,818,433	2,971,442	3,129,042
Encumbrances							
Unencumbered Cash Balance	2,246,208	2,340,938	2,525,654	2,669,880	2,818,433	2,971,442	3,129,042

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Business, Economic Development and Tourism
 Prog ID(s): BED 160-HF
 Name of Fund: Rental Assistance Revolving fund
 Legal Authority: HRS Section 201H-123

Contact Name: Lorraine Egusa
 Phone: 587-0622
 Fund type (MOF): Special
 Appropriation Acct. No. S-YY 378-B

Intended Purpose: The Rental Assistance Fund was created by Act 111, SLH 1981 to assist families and individuals of low and moderate income to obtain safe and sanitary rental housing accommodations at reduced rates. The program was amended by Act 307, SLH 1992, to also provide for interim construction financing for rental projects.

Source of Revenues: Interest income from investments and interim loans.

Current Program Activities/Allowable Expenses: This fund also provides interim construction financing for the development of affordable rental units.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	22,243,484	20,739,002	19,321,141	18,131,859	7,469,359	6,004,910	4,201,662
Revenues	458,611	544,696	688,032	627,159	422,000	170,000	115,000
Expenditures	1,867,855	1,852,445	1,763,814	1,632,131	1,697,416	1,765,313	1,835,925
Transfers							
List each by JV# and date							
Schedule attached							
1) Trf to disb acct for admin	(94,553)	(108,268)	(111,695)	(155,693)	(189,032)	(207,936)	(207,936)
2) Trf to disb acct for audit	(685)	(1,844)	(1,805)	(1,835)			
3) Trf to S-380B				(9,500,000)			
Net Total Transfers	(95,238)	(110,112)	(113,500)	(9,657,528)	(189,032)	(207,936)	(207,936)
Ending Cash Balance	20,739,002	19,321,141	18,131,859	7,469,359	6,004,910	4,201,662	2,272,800
Encumbrances							
Unencumbered Cash Balance	20,739,002	19,321,141	18,131,859	7,469,359	6,004,910	4,201,662	2,272,800

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Budget and Finance
 Prog ID(s): BUF 101
 Name of Fund: Emergency and Budget Reserve Fund
 Legal Authority: Section 328L-3 (3), HRS

Contact Name: Neal Miyahira
 Phone: 586-1530
 Fund type (MOF) B
 Appropriation Acct. No. S-354-O

Intended Purpose: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Source of Revenues:

Section 328L-3, HRS, provides that whenever State general fund revenues for each of two successive fiscal years exceeds revenues for each of the preceding fiscal years by 5%, 5% of the State general fund balance at the end of the fiscal year shall be deposited into the EBRF; however, no such transfer shall be made whenever the balance of the EBRF is equal to or more than 10% of general fund revenues for the preceding fiscal year. Article VII, Section 6, of the Hawaii State Constitution, also requires that the general fund balance at the close of each of two successive fiscal years must exceed 5% of general fund revenues for each of the two fiscal years before a deposit into an emergency fund is required. The transfer shall be executed by the director of finance; provided that all moneys deposited into the emergency and budget reserve fund under paragraph paragraph (3) shall be kept in a separate and distinct account.

Current Program Activities/Allowable Expenses: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: None.

Financial Data										
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling										
Beginning Cash Balance		0	0	0	51,534,839	52,023,839	52,512,839	53,001,839	53,490,840	53,979,842
Revenues				51,534,839	489,000	489,000	489,000	489,001	489,002	489,003
Expenditures								0	0	0
Transfers										
List each net transfer in/out/ or projection in/out; list each account number										
Net Total Transfers	0	0	0	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	51,534,839	52,023,839	52,512,839	53,001,839	53,490,840	53,979,842	54,468,845
Encumbrances										
Unencumbered Cash Balance	0	0	0	51,534,839	52,023,839	52,512,839	53,001,839	53,490,840	53,979,842	54,468,845

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Budget and Finance
 Prog ID(s): BUF 101
 Name of Fund: Emergency and Budget Reserve Fund
 Legal Authority: Section 328L-3, HRS

Contact Name: Neal Miyahira
 Phone: 586-1530
 Fund type (MOF) B
 Appropriation Acct. No. S-355-O

Intended Purpose: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Source of Revenues: 15% of tobacco settlement revenues after the first \$350,000 are transferred into the emergency and budget reserve fund (EBRF); FY 14 also reflects \$50M appropriated pursuant to Act 267/13 to recapitalize the EBRF; FY 16 includes a \$1 M apprn to the EBRF (Act 64/16), and FY 17 includes a \$150 M apprn to the EBRF (Act 104/16).

Current Program Activities/Allowable Expenses: Temporary supplemental source of funding for the State during times of emergency, economic downturn, or unforeseen reduction in revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY 14 reflects a deposit to the fund pursuant to Act 267/13. FY 16 includes a \$1 M apprn to the EBRF (Act 64/16) and FY 17 includes a \$150 M apprn to the EBRF (Act 104/16).

Financial Data										
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)	FY 2021 (estimated)	FY 2022 (estimated)	FY 2023 (estimated)
Appropriation Ceiling										
Beginning Cash Balance	24,196,781	83,174,164	90,194,986	100,881,987	259,795,464	267,720,083	275,748,083	283,776,083	291,804,083	299,832,083
Revenues	57,571,001	7,020,822	8,511,234	158,241,073	7,924,619	8,028,000	8,028,000	8,028,000	8,028,000	8,028,000
Expenditures										
Transfers										
List each net transfer in/out/ or projection in/out; list each account number										
11/14/2013 S-11-367-K	1,406,383									
9/22/2015 JM1108			20,039							
10/7/2015 S-11-338-K			25,686							
10/7/2015 S-11-356-K			6,646							
3/1/2016 JS4652			257,412							
4/22/2016 S-09-391-C			967,809							
6/8/2016 JM6139			409,119							
7/9/2016 S-07-318-G			489,055							
9/13/2016 S-11-337-E				3,790						
1/17/2017 JS3227				616,511						
3/3/2017 S-04-330-K				2,500						
3/3/2017 S-06-330-K				44,141						
3/15/2017 JS4175				5,461						
Net Total Transfers	1,406,383	0	2,175,767	672,404	0	0	0	0	0	0
Ending Cash Balance	83,174,164	90,194,986	100,881,987	259,795,464	267,720,083	275,748,083	283,776,083	291,804,083	299,832,083	307,860,083
Encumbrances										
Unencumbered Cash Balance	83,174,164	90,194,986	100,881,987	259,795,464	267,720,083	275,748,083	283,776,083	291,804,083	299,832,083	307,860,083

Additional Information:

Amount Req. by Bond Covenants										
Amount from Bond Proceeds										
Amount Held in CODs, Escrow Accounts, or Other Investments										

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUDGET AND FINANCE
 Prog ID(s): BUF 141
 Name of Fund: Expense Fund
 Legal Authority: Section 88-116,HRS

Contact Name: Thomas Williams / Kanoe Margol
 Phone: 586-1700
 Fund type (MOF) X
 Appropriation Acct. No. S-xx-315-O

Intended Purpose:

The fund which all money shall be credited to pay for the administrative and operational expenses of the ERS.

Source of Revenues:

ERS' interest and investment earnings of the Pension Trust are allocated for the ERS Administrative budget to the ERS Expense Fund.
 All unused funds revert back to the Pension Trust. No cash or investments are held in the ERS Expense Fund.

Current Program Activities/Allowable Expenses:

Under the policy and executive direction of its Board of Trustees, the ERS plans, directs, and coordinates statewide retirement, disability, and survivor benefits program for State and county employees; administers the ERS Member Home Loan Program; and safeguards and accounts for ERS investments in stocks, bonds, foreign securities, real estate, alternative investments and mortgage loans.

Purpose of Proposed Ceiling Adjustment (if applicable):

Decrease in FY 2018 Appropriation Ceiling represents net decrease in Other Costs due to increased computer and automation consultant costs required in FY 2017 for the start of ERS's multi-year project to upgrade the pension administration system. The upgrade does not occur annually. Funding for FY 2018 includes upgrade costs for ERS's accounting system that occurs as technology changes. Largest increases in FY 2018 Other Costs, other than computer projects, includes increase in legal costs for attorneys, internal audit costs, and an increase in computer maintenance due to technology changes and strengthening computer security.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,598,987	11,255,963	13,014,314	20,168,249	17,279,607	15,945,718	15,687,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	16,598,987	11,255,963	13,014,314	20,164,177	17,279,607	15,945,718	15,687,000
Expenditures	16,598,987	11,255,963	13,014,314	20,164,177	17,279,607	15,945,718	15,687,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Interest Earned - Investment Pool
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No.: T-xx-907-O

Intended Purpose:

Account was established as holding account to deposit interest earned from the State Treasury centralized pool investments before distribution to participating accounts.

Source of Revenues:

Interest earned on centralized investment pool investments.

Current Program Activities/Allowable Expenses:

JV's are generated each month to allocate interest earnings to the various appropriation accounts of departments participating in the investment pool.

Interest is allocated monthly by the investment pool system, to approx. 2,500 accounts.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Encumbrances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(23,347)	0	95,012	4,375	6,083,785	0	0
Revenues	11,521,652	18,746,942	27,783,004	44,529,796	36,156,400	40,343,098	38,249,749
Expenditures	0	0	0	0	0	0	0
Transfers							
JV monthly to transfer revenue from T-907 to agencies	(11,498,305)	(18,651,930)	(27,618,283)	(38,450,386)			
8/3/15 JT0089 T-16-907			(130,510)				
7/14/16 JM6984 T-19-907			(124,848)				
Net Total Transfers	(11,498,305)	(18,651,930)	(27,873,641)	(38,450,386)	(42,240,185)	(40,343,098)	(38,249,749)
Ending Cash Balance	0	95,012	4,375	6,083,785	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	95,012	4,375	6,083,785	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Interest Earned - Bond Investment Pool
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-908-O

Intended Purpose:

Account was established as holding account to deposit interest earned from the State Treasury centralized Bond Investment Pool before distribution to participating accounts.

Source of Revenues:

Interest earned on centralized Bond Investment Pool investments.

Current Program Activities/Allowable Expenses:

JV's are generated each month to allocate interest earnings to the various appropriation accounts of departments participating in the Bond pool.

Interest is allocated monthly by the Bond Investment pool system.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Encumbrances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	55,841	1,281	23,385	23,423	603,586	0	0
Revenues	360,547	485,811	1,058,097	2,817,666	1,453,858	1,776,540	2,016,021
Expenditures	0	0	0	0			
Transfers							
List each by JV# and date							
	(415,107)	(461,697)	(1,058,059)	(2,239,513)			
Adj. entry		(2,010)		2,010			
Net Total Transfers	(415,107)	(463,707)	(1,058,059)	(2,237,503)	(2,057,444)	(1,776,540)	(2,016,021)
Ending Cash Balance	1,281	23,385	23,423	603,586	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,281	23,385	23,423	603,586	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: BUF
 Prog ID(s): BUF115 CA
 Name of Fund: Transient Accommodation Tax Trust Fund
 Legal Authority: Section 237D-5.5, HRS (Subsequently repealed by Act 235, SLH 2005)

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-911-O

Intended Purpose:

TAT Trust Fund was repealed by Act 235, SLH 2005 and no longer exists.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Temporary Deposits - Special Purpose Revenue Bond - Security Deposit
 Legal Authority: Adminstratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-914-O

Intended Purpose:

To record non-refundable security deposits from project parties for the purpose of reimbursing the State for all the costs and expenses incurred relating to the issuance of special purpose revenue bonds.

Source of Revenues:

Security deposits from the project parties.

Current Program Activities/Allowable Expenses:

Any balance remaining in the Trust Fund is to be transferred to General Fund.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	15,000	1,000	0	5,000	9,000	0	0
Revenues	1,000	0	5,000	4,000	2,000	2,000	2,000
Expenditures	0	1,000	0	0	0	0	0
Transfers							
List each by JV# and date							
JM0369 dated 07/31/13	(15,000)	0					
Net Total Transfers	(15,000)	0	0	0	(11,000)	(2,000)	(2,000)
Ending Cash Balance	1,000	0	5,000	9,000	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,000	0	5,000	9,000	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Taxes Payable to Other State Agencies
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-916-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of tobacco tax collected by DoTax and distributed per statute to UH per Act 316/SLH2006. It serves as a clearing account for fuel tax revenues pending distribution.

Source of Revenues:

Tobacco Tax collections by state Dept. of Taxation (DoTax)

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer taxes into this account in order to pay UH for their statutory distribution of tobacco tax.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	222,967	0	0	0	0
Revenues	69,557,540	72,966,644	17,020,931	16,860,243	35,615,939	23,165,704	25,213,962
Expenditures	69,557,540	72,743,678	17,243,898	16,860,243	35,615,939	23,165,704	25,213,962
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	222,967	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	222,967	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Taxes Payable to Counties/HTA - TAT
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-917-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of TAT distributed monthly to HTA and semi-annually to the counties. It serves as a clearing account for TAT revenues pending distribution per statute.

Source of Revenues:

Transient Accommodations Tax (TAT) collected by the DoTax.

Current Program Activities/Allowable Expenses:

B&F processes SWV's from this account for payment to HTA and the counties of their statutory distribution of TAT.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	208,000,000	218,000,000	213,000,000	216,000,000	215,666,667	214,888,889	215,518,519
Expenditures	208,000,000	218,000,000	213,000,000	216,000,000	215,666,667	214,888,889	215,518,519
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Taxes Payable to Counties - Fuel Tax
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-918-O

Intended Purpose:

Account was established to enable B7F to generate SWV's on-line for transmittal of liquid fuel tax distributed monthly to the counties. It serves as a clearing account for fuel tax revenues pending distribution per statute.

Source of Revenues:

Liquid Fuel Tax collected by the DoTax.

Current Program Activities/Allowable Expenses:

B&F processes SWV's from this account for payment to the counties of their statutory distribution of fuel tax.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	73,998,948	74,222,590	78,676,804	81,277,583	78,058,992	79,337,793	79,558,123
Expenditures	73,998,948	74,222,590	78,676,804	81,277,583	78,058,992	79,337,793	79,558,123
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Taxes Payable to Counties - GETax surcharge
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No. T-xx-919-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of county GET surcharge collected by DoTax and distributed per statute HRS 248-2.6 to the City & County of Honolulu. It serves as a clearing account for the surcharge pending distribution.

Source of Revenues:

General Excise Tax collections by state Dept. of Taxation (DoTax)

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer taxes into this account in order to pay City & County of Honolulu for their statutory distribution of GETax

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	50,356,185	0	0	0	53,472,882	0	0
Revenues	242,656,503	248,518,158	259,248,034	248,158,469	261,807,184	276,206,580	291,397,941
Expenditures	293,012,688	248,518,158	259,248,034	194,685,587	315,280,066	276,206,580	291,397,941
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	0	0	0	53,472,882	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	53,472,882	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Hawaii Children's Trust Fund
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF): T
 Appropriation Acct. No. T-xx-922-O

Intended Purpose:

Account was established to enable B&F to generate SWV's on-line for transmittal of taxes collected by DoTax and distributed per statute Act 228/SLH2004 to the Hawaii Children's Trust Fund at the Hawaii Community Foundation.

Source of Revenues:

Income tax refund designation by taxpayer.

Current Program Activities/Allowable Expenses:

B&F processes JVs to transfer funds into this account in order to pay HI Community Foundation per income tax refund designation.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8,413	0	0	0	0	0	0
Revenues	151,960	153,525	148,735	154,680	101,138	85,273	62,137
Expenditures	160,373	153,525	148,735	154,680	101,138	85,273	62,137
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115
 Name of Fund: Unclaimed Property Trust Fund
 Legal Authority: Administratively established

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. T-xx-932-O

Intended Purpose:

Account was established to deposit and disburse moneys in Unclaimed Property Program. All funds in excess of \$1.3 million remaining on balance at the end of the fiscal year will be transferred to the general fund.

Source of Revenues:

Property that is held, issued, or owing in the ordinary course of a holder's business and has remained unclaimed by the owner for more than five years after it became payable or distributable is presumed abandoned.

Current Program Activities/Allowable Expenses:

Amounts received from abandoned property Holders are received as Revenue and Claims verified and paid out are disbursed as Expenditures.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	21,658,138	18,433,585	20,214,660	38,698,147	12,939,216	0	0
Revenues	24,831,379	29,614,387	30,581,216	26,825,417	20,000,000	20,000,000	20,000,000
Expenditures	7,713,785	10,794,822	12,097,729	15,193,285	8,000,000	8,000,000	8,000,000
Transfers							
List each net transfer in/out; list each account number							
8/19/13 JM0580 T13-932	(20,324,559)						
8/19/13 JM0580 T13-932	(17,588)						
Prior year cash revert to GF-JV		(18,433,585)					
7/7/2014 JT0005 T-14-932		1,300,000					
Cash balance T-14-932		95,095					
9/6/16 JM0940				(37,391,063)			
Net Total Transfers	(20,342,147)	(17,038,490)	0	(37,391,063)	(24,939,216)	(12,000,000)	(12,000,000)
Ending Cash Balance	18,433,585	20,214,660	38,698,147	12,939,216	0	0	0
Encumbrances	72,628	0	7,084	0			
Unencumbered Cash Balance	18,360,957	20,214,660	38,691,063	12,939,216	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: BUF
 Prog ID(s): BUF115 CA
 Name of Fund: College Savings Program Trust Fund
 Legal Authority: Chapter 256,HRS

Contact Name: Scott Kami
 Phone: 586-1612
 Fund type (MOF) T
 Appropriation Acct. No. N/A *

Intended Purpose:

The College Savings Program Trust Fund was established in 2002 with the commencement of Hawaii's 529 program. Funds are maintained in the Trust Fund on behalf of participants or account owners, and are invested as directed by the participants according to program rules.

Source of Revenues:

Account owner contributions and account investment earnings.

Current Program Activities/Allowable Expenses:

Trust fund for Hawaii's 529 College Savings Program, per program description/rules. Funds may be withdrawn by account owners, intended for designated beneficiaries' college expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable *

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(Actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	58,651,920	66,985,821	69,607,347	72,043,320	77,280,232	82,280,232	87,280,232
Revenues							
Expenditures							
Transfers							
List each by JV# and date							
	8,333,901	2,621,526	2,435,973	5,236,912			
Net Total Transfers	8,333,901	2,621,526	2,435,973	5,236,912	5,000,000	5,000,000	5,000,000
Ending Cash Balance	66,985,821	69,607,347	72,043,320	77,280,232	82,280,232	87,280,232	92,280,232
Encumbrances							
Unencumbered Cash Balance	66,985,821	69,607,347	72,043,320	77,280,232	82,280,232	87,280,232	92,280,232

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* College Savers Trust fund is held outside of the State Treasury.

** Net Transfers represent change in net asset value of the total accounts (contributions and withdrawals by program participants) per QE June program report

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: State Broadband Data and Development Grant Program
 Legal Authority: non-appropriated 1/, CFDA: 11.558 American Recovery and Reinvestment Act-SBDD-Hawaii Department of Commerce Affairs (Award no. 15-50-M09057)

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Federal Stimulus Funds (V)
 Appropriation Acct. No. S-XX-201-R

Intended Purpose:

The grant is to develop an on-line database that can be used by consumers to identify the availability, speed, and location of broadband services throughout Hawaii.

Source of Revenues:

Federal grant from the U.S. Department of Commerce

Current Program Activities/Allowable Expenses:

The initial grant includes \$1,449,940 for broadband data collection and mapping activities over a two-year period and \$500,000 for broadband planning activities. The overall award period is January 1, 2010 - December 31, 2011 for broadband mapping activities and January 1, 2010 - December 31, 2014 for broadband planning activities, if any. The project end-date has been subsequently extended to January 31, 2015.

Subsequently, additional federal funding in the amount of \$2,400,000 was awarded on September 28, 2010 to complete the project to map broadband availability and engage in broadband planning activities for five years.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Variances in the revenues and expenditures each year reflect different projects completed by the Department to map broadband availability and promote and foster broadband use. The grant was terminated on January 31, 2015 and was fully closed out. Thus, there are no future revenues or expenditures.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	206	175	42,716	0	0	0	0
Revenues 2/	944,260	698,869	0	0	0	0	0
Expenditures	944,291	699,044	42,716		0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	175	0	0	0	0	0	0
Encumbrances	0	42,716	0		0	0	0
Unencumbered Cash Balance	175	(42,716)	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor's approval to apply and expend federal grant (federal stimulus funds) were secured on September 17, 2010 and October 18, 2010.

2/ Revenue Projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Compliance Resolution Fund - Cable Television
 Legal Authority: Chapter 440G, HRS, Act 199, SLH 2010

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-302-R

Intended Purpose:

To foster the development of responsive and reliable cable communications services for the people of Hawaii, by promoting the public interest in authorizations by the State regarding cable franchises; regulate basic cable rates and service to ensure compliance with applicable State and Federal law; expand and operate the statewide Institutional Network; and continue the availability of public, education and government cable access. Additional responsibilities due to Act 199 tasked CATV to implement activities to create ubiquitous access to high speed broadband at affordable prices throughout the State.

Source of Revenues:

Franchise Fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

- Issues and enforces franchises for cable communications services.
- Promulgates and enforces rules and regulations governing the practices, procedures, quality and quantity of services including rates, and customer and technical operations of cable communications companies.
- Plans and encourages the development of new, improved and more effective utilization of cable communications services, equipment, and facilities including the State's Institutional Network (INET), and public, educational, and government (PEG) access resources and facilities, which will enhance communications services available to Hawaii's citizens.
- Support public and private efforts to enhance or facilitate deployment; making recommendations to establish affordable, accessible broadband services to unserved and underserved areas; increase usage and demand by facilitating deployment of expanded applications such as telework, telemedicine, e-learning; and assist in implementing recommendations in the Hawaii Broadband Task Force Report of December 2008, and the goals of the Governor's Hawaii Broadband Initiative of August 2011.

Purpose of Proposed Ceiling Increase (if applicable):

None.

Variances:

Variances in revenues from FY14 to FY15 and all future years (e.g. FY16 and forward) are due to the increase in franchise fees collected from cable operators from about 4.64% to the full 5% allowed under federal law which as implemented in FY15. In addition, variances in revenues each year is largely due to changes in the gross revenues of the cable operators in the State and various projects supported by the division. The variances in expenditures from FY17 and future years including FY18 relate to: (1) anticipated management audits and other cable related matters using expert consulting services for work related to the Legislature and to assist in anticipated large proceedings (e.g., cable transfers, franchise renewals and PEG designations); and (2) increased broadband activities required of the Department. Moreover, FY18 expenditures also include FY17 encumbrances for consulting/expert services.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,391,537	2,391,537	2,534,951	2,559,971	2,609,370	2,609,370	2,609,370
Beginning Cash Balance	3,477,137	4,076,170	5,289,972	6,755,529	8,429,071	8,213,335	7,940,502
Revenues 1/	2,102,851	2,772,685	2,873,488	3,160,317	2,741,000	2,741,000	2,741,000
Expenditures	1,068,949	1,168,374	1,048,549	1,160,764	2,609,370	2,609,370	2,609,370
Transfers							
List each by JV# and date							
JS1102 9/16/2016				(81,503)			
JS2346 11/21/2016				(81,503)			
JS3613 2/7/2017				(81,503)			
JS4987 5/5/2017				(81,502)			
Net Total Transfers	(434,869)	(390,509)	(359,382)	(326,011)	(347,366)	(404,463)	(404,463)
Ending Cash Balance	4,076,170	5,289,972	6,755,529	8,429,071	8,213,335	7,940,502	7,667,669
Encumbrances	708,919	513,198	814,413	562,473	820,000	820,000	820,000
Unencumbered Cash Balance	3,367,251	4,776,774	5,941,116	7,866,598	7,393,335	7,120,502	6,847,669

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-102
 Name of Fund: Funds held outside the State Treasury by Time Warner
 Legal Authority: Decision & Order No. 346, Section IV.I.4

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Funds held outside of State Treasury
 Appropriation Acct. No. T-15-907

Intended Purpose:

Franchise fees over the cap amount that is paid by Oceanic Time Warner Cable, LLC ("Oceanic") to Olelo are provided to DCCA for INET/Broadband purposes.

Source of Revenues:

Franchise fees (HAR 16-132) paid by cable tv operators.

Current Program Activities/Allowable Expenses:

Expenses related to expansion and upgrade of the Institutional Network ("INET"); and any other expenses/activities relating to broadband.

Purpose of Proposed Ceiling Increase (if applicable):

None

Variances:

Variance in revenues each year arise due to changes in Oceanic's (now known as Spectrum) gross revenues, which are based upon the number of customers and the kinds of packages to which such customers subscribe. The variance for FY 2015 expenditure reflects the payment made by the Department to the Department of Labor and Industrial Relations for the Capitol Improvement Grant to Hawaii Public Television, authorized by the 2014 Legislature as reflected in the appropriation ceiling increase for FY 2015. Revenues for this account is expected to decrease as Spectrum's overall gross revenues decrease due to changing technologies and preference's of viewers (i.e., such over-the-top service, programs/shows over the internet).

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		2,000,000					
Beginning Cash Balance	6,313,011	7,742,119	6,763,426	7,569,895	8,231,476	8,731,476	9,131,476
Revenues	1,429,108	1,021,307	806,469	661,581	500,000	400,000	350,000
Expenditures		2,000,000	0	0	0	0	0
Transfers							
List each by JV# and date			0				
Net Total Transfers			0				
Ending Cash Balance	7,742,119	6,763,426	7,569,895	8,231,476	8,731,476	9,131,476	9,481,476
Encumbrances					0	0	0
Unencumbered Cash Balance	7,742,119	6,763,426	7,569,895	8,231,476	8,731,476	9,131,476	9,481,476
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-103
 Name of Fund: CRF-PUC Spcl Fnd Allocation-Consumer Advocacy
 Legal Authority: Section 269-33, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-303-R

Intended Purpose:

To fund the operations of the Division of Consumer Advocacy.

Source of Revenues:

Public utility fees paid to the public utilities commission pursuant to HRS §269-30, and placed in the public utilities commission special fund. Pursuant to HRS §269-33, on a quarterly basis, an amount is allocated in accordance with legislative appropriations to the DCA and deposited in the compliance resolution fund.

Current Program Activities/Allowable Expenses:

To represent, advance and protect the interests of consumers of regulated utility and transportation services. The division is a party to every proceeding before the Hawaii Public Utilities Commission. The division participates in, among other things, reviews of utility and transportation companies' requests to increase rates, service reliability investigations, energy utility integrated resource plans, and capital improvement projects for utilities. In addition, the division strives to promote effective competition and consumer protection through contested cases and rule making proceedings regarding telecommunications and electric power infrastructure development.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Revenue variance: In FY2016, new procedures were adopted that resulted in the transfer of monies from the PUC on a quarterly basis, as opposed to prior years where the monies were recorded as an aggregate of revenues. Otherwise, if the reported revenues and transfers are summed, the total revenues are comparable to prior years

Expenditure variance: The actual expenditures in FY17 are fairly similar to prior years (11.7% greater than FY16). However, the actual expenditures in FY17 were lower than expected primarily due to: 1) while the Division has been able to fill certain vacant positions, there are positions that remain vacant that result in lower than expected payroll expenditures; and 2) certain anticipated consultant services and/or contracts were not needed. Expenditures in FY17 were greater than FY16 due to increased activities in various rate case and generic proceedings once the HECO/NextEra proceeding was done as well as filling vacant positions. Expenditures in FY16 and FY15 were greater than prior years primarily due to the HECO/NextEra proceeding and the filling of vacancies. FY18 based on spending to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,031,508	3,830,936	4,159,141	4,241,213	4,230,174	4,138,705	4,138,705
Beginning Cash Balance	4,695,037	5,399,924	6,423,685	7,476,675	8,464,730	7,948,599	7,325,633
Revenues 1/	3,092,514	3,943,435	42,101	57,954	20,000	20,000	20,000
Expenditures	1,835,200	2,290,353	2,574,933	2,876,023	4,230,174	4,138,705	4,138,705
Transfers							
List each by JV# and date							
JS1309 9/29/2016				1,060,303			
JS2910 12/29/2016				1,060,303			
JS4442 4/4/2017				1,060,303			
JS5952 6/29/2017				1,167,496			
JS1102 9/16/2016				(135,570)			
JS2346 11/21/2016				(135,570)			
JS3613 2/7/2017				(135,570)			
JS4987 5/5/2017				(135,571)			
Net Total Transfers	(552,427)	(629,321)	3,585,822	3,806,124	3,694,043	3,495,739	3,495,739
Ending Cash Balance	5,399,924	6,423,685	7,476,675	8,464,730	7,948,599	7,325,633	6,702,667
Encumbrances	1,251,510	1,882,450	1,735,797	2,057,389			
Unencumbered Cash Balance	4,148,414	4,541,235	5,740,878	6,407,341	7,948,599	7,325,633	6,702,667

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department:	Commerce and Consumer Affairs	Contact Name:	Dean Hazama
Prog ID(s):	CCA 104, CCA-110	Phone:	586-2844
Name of Fund:	Multi-state Enforcement and Education Fund/ Multi-state Mortgage Servicer Agreement Fund	Fund type (MOF)	Trust Funds (T)
Legal Authority	Multi-state Mortgage Settlement Agreement, Multi-state Mortgage Servicer Settlement Agreements	Appropriation Acct. No.	T-xx-932-R

Intended Purpose:

- Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams.
- Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.

Source of Revenues:

- Part of a grant from the Dept. of the Attorney General awarded to the DCCA out of Hawaii's share of the National Mortgage Settlement.
- Funds paid by the five mortgage servicers as required by the terms of the multi-state mortgage servicer settlement agreements.

Current Program Activities/Allowable Expenses:

- The fund permits the Office of Consumer Protection to hire additional legal, investigative and support staff to support ongoing enforcement actions, investigations and outreach programs, as well as related operating expenses.
- Funds will be used by the Division of Financial Institutions to support its ability to conduct investigations, examine for compliance with and enforce applicable laws and rules, and to provide consumer education and outreach.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances:

1. Additional infusion of funds from the National Mortgage Settlement. No additional settlement proceeds are anticipated.
2. Increase in DFI program support expenses.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance	2,078,093	1,380,418	1,013,136	712,427	1,037,538	430,440	332,440
Revenues 1/	0	250,505	184,666	501,536	2,000	2,000	2,000
Expenditures	497,675	617,787	485,375	176,425	609,098	100,000	100,000
Transfers							
List each by JV# and date							
Net Total Transfers	(200,000)	0	0				
Ending Cash Balance	1,380,418	1,013,136	712,427	1,037,538	430,440	332,440	234,440
Encumbrances	157,416	157,416	3,522	435,350			
Unencumbered Cash Balance	1,223,002	855,720	708,905	602,188	430,440	332,440	234,440

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: CRF- Financial Institutions
 Legal Authority: Sections 412-2-109, 449-14, 489D-12.5, 454F-18, 454F-23,
454M-11, and 26-9(o), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-320-R

Intended Purpose:

To allow the Commissioner to appoint examiners to supervise financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers; to appoint any necessary administrative support personnel; and to pay for any expenses incurred during supervision and examinations or necessary for the education and training of personnel and other expenses related to examinations and administrative costs as well as to support the entire program of the Division of Financial Institutions (DFI).

Source of Revenues:

Transfer of \$2 million from taxes paid by banks and other financial corporations (HRS 241-7); and application, examination, licensing, and other fees and fines paid by financial institutions, escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Current Program Activities/Allowable Expenses:

Ensures the safety and soundness of state-chartered financial institutions by fairly administering applicable statutes and rules through a program of supervision and regulation through chartering or licensure, examination, application review, off-site monitoring, investigation, and complaint handling and is also responsible for the licensing and supervision of escrow depositories, money transmitters, mortgage loan originators, mortgage loan originator companies, and mortgage servicers.

Purpose of Proposed Ceiling Increase (if applicable):

1. Attorney

An in-house staff attorney will increase DFI's efficiency and capacity and streamline the regulatory process by improving the timely completion of regulatory actions and by building dedicated subject matter expertise within DFI. Accordingly, the position was authorized by Act 149 (2017). The Division notes that 40 states have staff attorneys to assist the bank departments interpret, provide guidance, and draft legislation and enforcement orders. Other state bank departments with fewer than 25 employees also have at least one staff attorney as staff to the department.

Variances:

FY15 revenues increased because DFI chartered a new state bank, and FY15 expenditures increased due to personnel expenditures as Division filled vacant positions. FY17 expenditures will increase due to costs related to FIMS replacement. Revenue decline between FY17 and FY18 due primarily to the national and State trend of declining number of MLOC and MLO applications for licenses. The same is true for mortgage servicer applications for licenses. Expenditure increase from FY17 to FY18 is due primarily to projecting expenses to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,384,920	3,384,920	4,090,957	4,971,852	4,836,792	4,947,992	4,947,992
Beginning Cash Balance	5,276,725	6,416,485	7,860,332	9,480,492	10,910,845	10,142,873	9,477,991
Revenues 1/	4,346,585	4,945,552	5,115,594	5,578,295	4,712,705	5,053,985	4,859,850
Expenditures	2,588,064	2,931,624	2,915,456	3,513,574	4,836,792	4,947,992	4,947,992
Transfers							
List each by JV# and date							
JS1102 9/16/2016				(158,592)			
JS2346 11/21/2016				(158,592)			
JS3613 2/7/2017				(158,592)			
JS4987 5/5/2017				(158,592)			
Net Total Transfers	(618,761)	(570,081)	(579,978)	(634,368)	(643,885)	(770,875)	(770,875)
Ending Cash Balance	6,416,485	7,860,332	9,480,492	10,910,845	10,142,873	9,477,991	8,618,974
Encumbrances	13,850	17,278	30,264	505,001			
Unencumbered Cash Balance	6,402,635	7,843,054	9,450,228	10,405,844	10,142,873	9,477,991	8,618,974

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-104
 Name of Fund: Mortgage Loan Recovery Fund
 Legal Authority: Section 454F-41, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-926-R

Intended Purpose:

To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.

Source of Revenues:

Fees paid by mortgage loan originator companies, branch offices of mortgage loan originator companies, and mortgage loan originators upon initial licensure and at annual renewal.

Current Program Activities/Allowable Expenses:

Licenses and regulates mortgage loan originator companies and mortgage loan originators. Mortgage Loan Recovery Fund (Recovery Fund) was established to protect consumers by making it easier to recover losses caused by persons that violate Chapter 454F, HRS. Allowable expenses are the payment of claims as ordered by a court and expenses related to the administration of the Recovery Fund.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances:

Revenue decreased in FY16 because the Commissioner determined that the Recovery Fund has sufficient funds to pay known claims. The decline in revenue from FY17 to FY18 is due to Commissioner authorization to refrain from assessing and collecting renewal fees. Expenditure increase in FY 18 due to projecting spending to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	110,000	220,000	220,000	220,000	110,000	110,000	110,000
Beginning Cash Balance	803,115	1,124,965	1,429,665	1,578,165	1,813,815	1,793,815	1,773,815
Revenues 1/	321,850	304,700	148,500	235,650	90,000	90,000	90,000
Expenditures	0	0	0	0	110,000	110,000	110,000
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	1,124,965	1,429,665	1,578,165	1,813,815	1,793,815	1,773,815	1,753,815
Encumbrances							
Unencumbered Cash Balance	1,124,965	1,429,665	1,578,165	1,813,815	1,793,815	1,773,815	1,753,815

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F, August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund - PVL
 Legal Authority: Section 26-9, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-305-R

Intended Purpose:

To fund the operations of the Professional and Vocational Licensing Division.

Source of Revenues:

Application, license, and renewal fees.

Current Program Activities/Allowable Expenses:

For 49 regulated areas - process applications for licensure, examine applicants, license, renew, update licensee files, provide information and guidance to the public, applicants and licensees regarding regulatory areas orally and in writing, assist with or adopt or amend rules, propose and respond to legislative measures, liaison between 25 regulatory boards and DCCA, conduct public meetings, public hearings, produce minutes, facilitate decision-making by the boards in ordering disciplinary action against a licensee and granting, denying or otherwise conditioning license applications, network with outside entities for coordinated activities and keeping abreast of current trends, network with other State agencies for compliance (legislative auditor, ethics commission, procurement office).

Purpose of Proposed Ceiling Increase (if applicable):

None.

Variances:

FY 2016 revenue variance: The licensing renewal cycles are primarily bi-annual with some on an annual and triennial cycles.

FY 15 expenditure variance due to ALIAS replacement project costs. FY18 projected expenditures to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,040,488	6,040,488	6,341,895	6,484,103	7,085,895	7,183,623	7,183,623
Beginning Cash Balance	4,892,873	5,581,187	4,639,114	5,467,749	6,715,727	7,849,541	7,549,157
Revenues 1/	6,729,244	6,033,092	7,713,382	7,811,810	9,163,000	8,005,000	9,315,000
Expenditures	4,936,633	5,963,322	5,985,651	5,705,641	7,085,895	7,183,623	7,183,623
Transfers							
List each by JV# and date							
JS1102 9/16/2016				(214,548)			
JS2346 11/21/2016				(214,548)			
JS3613 2/7/2017				(214,548)			
JS4987 5/5/2017				(214,547)			
Net Total Transfers	(1,104,297)	(1,011,843)	(899,096)	(858,191)	(943,291)	(1,121,761)	(1,121,761)
Ending Cash Balance	5,581,187	4,639,114	5,467,749	6,715,727	7,849,541	7,549,157	8,558,773
Encumbrances	444,257	141,186	42,622	148,363			
Unencumbered Cash Balance	5,136,930	4,497,928	5,425,127	6,567,364	7,849,541	7,549,157	8,558,773

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Compliance Resolution Fund
 Legal Authority: Section 465D-6, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-363-R

Intended Purpose: For the Implementation of the Behavior Analyst Program

Source of Revenues: Collection of a \$50 assessment fee upon registration and renewal of behavior analyst.

Current Program Activities/Allowable Expenses: Process applications for registration, renewal and maintenance of registrants and inquiries to the general public. Cash balances/revenues will be folded into S-305. Account S-363 will no longer be in existence from FY 18.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances: Renewals are on a biennial basis resulting in variances between FYs. Specific appropriation for FY 16 and 17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			40,000	40,000			
Beginning Cash Balance	0	0	0	1,980	4,425	0	0
Revenues			6,912	2,576		0	0
Expenditures			4,932	131	4,425	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,980	4,425	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	1,980	4,425	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Recovery Fund
 Legal Authority: Sections 467-16-19, 21-24, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-904-R

Intended Purpose:

As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.

Source of Revenues:

Original real estate license fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

None

Variances:

FY2015 and 2016 expenditure variances due to decrease in legal fees related to claims against the real estate recovery fund. FY2018 expenditure variance based on projection. Revenue variance for FY2015 based on increase in licensees, for FY 2017 based on fee increases enacted.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	502,310	502,310	502,310	504,592	508,128	510,126	510,126
Beginning Cash Balance	718,442	700,568	710,776	740,077	814,981	826,981	838,981
Revenues	64,918	73,590	79,402	121,460	112,000	112,000	113,000
Expenditures	82,792	63,382	50,101	46,556	100,000	100,000	100,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	700,568	710,776	740,077	814,981	826,981	838,981	851,981
Encumbrances							
Unencumbered Cash Balance	700,568	710,776	740,077	814,981	826,981	838,981	851,981

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

DCCA Non-General Fund Information - Real Estate Recovery Fund
Page 2

Current Program Activities/Allowable Expenses:

- Defend and administer claims through contracted attorneys who represent the Commission in actions for claims, including administering contracts and payment for services.
- Provide information, advice, and referral on all inquiries concerning the Real Estate Recovery Trust Fund and claims.
- Receive, process, and act on complaint notices, court filed motions, subpoenas, settlement claims, and court ordered claims including record keeping and monitoring the complaints through the courts.
- Arrange and assist in presentation of settlement claims, court ordered claims, and other issues to the Commission at its monthly scheduled meetings or emergency meetings.
- Administer budget, including development and approval of biennium budget, record keeping, any CPA audit, investment of funds, development of statistical and other periodic reports to licensees, Budget and Finance, Governor, and the Legislature.
- Study and develop case summaries for educational purposes.
- Initiate and develop collection program, continue to administer the State Tax Intercept Program with the Department of Taxation and DAGS.
- Research, develop, print, and distribute a simple plain language brochure for claimants.
- Provide funding for clerical position, who services the program.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Education Fund
 Legal Authority: Sections 467-11-19, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-905-R

Intended Purpose:

See attached.

Source of Revenues:

Original licensing and biennial renewals fees. Registration of schools, providers, courses and instructors. Interest due from the real estate recovery fund.

Current Program Activities/Allowable Expenses:

See Attached

Purpose of Proposed Ceiling Increase (if applicable):

None

Variances:

FY revenue variances between odd and even years based on renewal vs non-renewal. FY16 expenditure variance based on decrease in personnel costs and the cost of the Commission's Continuing Education Core Course. FY17 variance due to increased personnel costs. FY18 onward reflect spending to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	689,052	689,052	705,568	713,214	803,026	851,958	851,958
Beginning Cash Balance	902,791	487,689	754,352	394,194	1,218,073	515,047	1,122,089
Revenues	77,990	772,181	90,264	1,355,680	100,000	1,459,000	101,000
Expenditures	493,092	505,518	450,422	531,801	803,026	851,958	851,958
Transfers							
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	487,689	754,352	394,194	1,218,073	515,047	1,122,089	371,131
Encumbrances	93,324	0	48,797	1,239			
Unencumbered Cash Balance	394,365	754,352	345,397	1,216,834	515,047	1,122,089	371,131

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

DCCA Non-General Fund Information - Real Estate Education Fund
Page 2

Intended Purpose:

As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Real Estate Specialists and clerical positions, who administer the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, real estate licensees, government officials, attorneys, educators, and others via telephone, office walk-ins, written inquiries and applications, and website, providing information, advice and referral.
- Administer real estate website.
- Administer and provide information as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials, and reference materials for public review.
- Arrange and conduct Real Estate Specialists of the Day at neighbor island sites.

Educational and Informational Materials

- Research, develop, publish and distribute the quarterly real estate bulletin to all real estate licensees, government officials, State Libraries, and others in related areas.
- Printing and distribution of Chapter 467, HRS, as amended to all principal brokers and brokers-in-charge.
- School Files, a quarterly bulletin to real estate prelicense schools, prelicense and continuing education instructors and continuing education providers which provides current information on instruction, education, curriculum, testing, application fees and procedures.
- Various publications and informational sheets for consumers and real estate licensees.
- Research, develop and submit articles for the media.
- Research and report on alternative delivery of educational materials.
- Develop and maintain website on the internet for dissemination of information, publications, forms, agendas, etc. to licensees and the general public.
- Distribute new salesperson and broker start-up kits, consisting of information and educational materials.

Administer Education Review Committee, Laws and Rules Review Committee and Real Estate Commission

- Hold monthly meetings for the two standing committees and the Commission with some meetings at neighbor island sites.
- Administration of the committee meetings, including agenda, distribution materials, room and equipment arrangements, oral testifiers, minutes, committee reports, post-meeting responsibilities, etc.
- Conduct and administer periodic symposiums.
- Administration of Education Evaluation Task Force Subcommittee.

Research, develop or update curriculum and instructional materials for courses and administer courses

- Continuing education core course.
- Prelicensing real estate broker course.
- Prelicensing real estate salesperson course.

Research, develop and conduct seminars, workshops and meetings

- Instructor development workshops, course briefings and other meetings for real estate educators.
- Real estate seminars for real estate licensees.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Condominium Education Trust Fund
 Legal Authority Sections 514A-40(c), 514-A-95.1, 514B-71,72,73 HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-906-R

Intended Purpose:

See attached.

Source of Revenues:

Developers' final public report fees, association of unit owners registration and biennial re-registration fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

CETF specialist

Variances:

Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs.

Expenditure variances- FY17 due to increase in personnel costs and contracts. FY18 due to projected spending to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	640,981	640,981	657,587	865,274	962,165	985,835	985,835
Beginning Cash Balance	869,968	785,062	1,889,658	1,634,488	2,548,224	1,653,059	2,420,224
Revenues 1/	306,173	1,515,396	182,933	1,469,887	67,000	1,753,000	70,000
Expenditures	391,079	410,800	438,103	556,151	962,165	985,835	985,835
Transfers							
List each by JV# and date							
			0				
Net Total Transfers		0	0				
Ending Cash Balance	785,062	1,889,658	1,634,488	2,548,224	1,653,059	2,420,224	1,504,389
Encumbrances	22,586		220	1,527			
Unencumbered Cash Balance	762,476	1,889,658	1,634,268	2,546,697	1,653,059	2,420,224	1,504,389

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/Revenue Projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 2

Intended Purpose:

514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium

Current Program Activities/Allowable Expenses:

Funding of Exempt Positions

- Provide funding for Condominium Specialists and clerical positions, who service the programs below.

Information, Advice and Referral

- Respond to inquiries from the public, consumers, condominium owners, board of directors, condominium managing agents, real estate licensees, attorneys,
- Administer condominium website.
- Administer condominium reference library program through State Libraries, other venues and in-house, providing publications and information to condominium owners and the public.
- Administer and provide information, as required under the Uniform Information Practices Act.
- Administer and maintain a public information self-help area in the office for walk-in traffic, which includes public information documents, educational materials and reference materials for public review.
- Arrange and conduct Condominium Specialists of the Day at various neighbor island sites.
- Study the feasibility of finding other means of providing access to condominium public information through computer discs or compact discs, State Libraries, webpage, etc.
- Coordinate educational sessions regarding §§514A and 514B and updates.

Educational Research and Informational Materials

- Research, develop, publish and distribute quarterly condominium bulletin to registered condominium associations and condominium managing agents, government officials, State Libraries and others in related areas.
- Research, develop, publish and distribute stand-alone chapters (brochures) for the Condominium Board of Directors Guide to registered condominium associations, condominium managing agents, government officials, State Libraries and others in related areas.
- Print and distribute information and educational materials including start-up kits to new condominium associations and new condominium managing agents.
- Research, develop and submit various articles for media publication.
- Develop and maintain website on the internet for dissemination of information, forms, publications, etc. for developers, associations, CMA's, condominium owners, and other interested parties.
- Print and distribute amended Chapters 514A and 514B to all registered condominium associations and condominium managing agents.
- Update, print and distribute updated Condominium Board of Directors series.
- Continue with the recodification of the condominium law educational efforts.

Rulemaking

- Research, develop and initiate Chapter 107, HAR rulemaking for Chapters 514A and 514B, HRS, including public workshops with those affected.
- Research, present, and receive recommendations for amendments to Chapter 107, HAR, and discuss and receive comments at the monthly Condominium Review Committee meetings with the condominium community.
- Research, study, and make recommendations concerning fees for Chapter 53, HAR, and assist the Professional and Vocational Licensing Division in the rulemaking process.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

DCCA Non-General Fund Information- Condominium Education Trust Fund
Page 3

Mediation of Governance and Management Problems

- Administer contracts and provide funding for alternative dispute resolution through mediation, through the procured mediation providers for all islands.
- Secure mediation information and develop report to the Legislature and Governor.
- Continue to seek other mediation service vendors.
- Coordinate and administer joint complaint/mediation program with the Regulated Industries Complaints Office including funding of mediations.
- Research, development, publication, and distribution of brochure on dispute resolution alternatives.
- Where requested by the Office of Administrative Hearing, support the Condominium Dispute Resolution Program which is to be sunsetted June 30, 2009.

Arbitration of Condominium Problems

- Administer program through American Arbitration Association and other arbitration organizations.

Condominium Project Registration and Public Reports

- Administer registration of new condominium projects, review of documents, owner- occupants reservation procedures, and public reports, including records management.
- Administer consultant contracts and the review of project documents and public reports.
- Administer public review of condominium project documents and public reports, including requests for copies.
- Conduct periodic meetings and workshops with the condominium consultants, developers and attorneys.

Administer Condominium Review Committee

- Administer and conduct monthly meetings of the Condominium Review Committee, standing committee of the Commission, including meetings at various neighbor island sites.
- Administration includes agenda, distribution of materials, room and equipment arrangements, receipt of testimony, minutes, Committee Reports, post-meeting responsibilities.
- Conduct and administer periodic educational symposiums.

Administer Seminars, Workshops and Meetings

- Research, develop, procure, contract and conduct condominium seminars, workshops and special meetings with those in the condominium community.
- Administer seminar subsidy program, including contract administration.

Interactive Educational and Research Participation

- Provide Educational speakers to organizations, government entities and others.
- Participate, exchange and network with local, state, national and international organizations and other governmental entities.
- Provide briefings to Legislators, testify on legislative bills and participate in other legislative matters.
- Research and report to the Legislature annually on condominium programs, budget and mediation programs.
- Research, coordinate, and implement Recodification Plan for Chapter 514A, HRS.

Administration of Condominium Association, Condominium Managing Agent Registrations, and Condominium Hotel Operators

- Administer registration and biennial re-registration of condominium associations, condominium managing agents and condominium hotel operators, including applications, review/approval process and records management.
- Conduct periodic workshops and meetings with registrants.

Administration of Budget and Biennial Plans

- Development and approval of the Condominium Education Trust Fund budget.
- Administration of budget, investment of funds and any CPA audits.
- Research, development and submission of information and reports required by the Department, Budget and Finance, Governor and the Legislature.
- Research, development, approval and administration of the Biennial Education and Research Plan.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Recovery Fund
 Legal Authority: Section 444-26, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-908-R

Intended Purpose:

Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor.

Source of Revenues:

All new contracting entity licensees (firms/sole proprietors) shall pay \$150 into the fund upon initial licensure and \$10 upon each license renewal. At any time the fund balance falls below \$250,000 the Contractors License Board may assess every contractor a fee not to exceed \$500 annually for deposit into the fund.

Current Program Activities/Allowable Expenses:

Allowable expenses include payments to eligible consumers who have obtained an arbitrated award or court judgment against a contractor, and payment of attorney - consultant fees.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs. Expenditure variances - Dependent upon the amount of claims paid.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	771,673	746,358	838,038	791,515	890,827	790,827	790,827
Revenues 1/	78,570	138,821	76,470	154,495	100,000	200,000	100,000
Expenditures	103,885	47,141	122,993	55,183	200,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	746,358	838,038	791,515	890,827	790,827	790,827	690,827
Encumbrances				4,266			
Unencumbered Cash Balance	746,358	838,038	791,515	886,561	790,827	790,827	690,827

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Contractors' Education Fund
 Legal Authority: Section 444-29, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-909-R

Intended Purpose:

To be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.

Source of Revenues:

The interest from the investments for the contractors' recovery fund shall be deposited to the credit of the contractors' education fund. In addition, all new contracting entity licensees (firms/sole proprietors) shall pay \$10 into the fund upon initial licensure and \$5 upon each license renewal.

Current Program Activities/Allowable Expenses:

Funded activities include: National Association dues; attendance by Executive Officer and Board members at national association meetings; registration fees for attendance at national meetings, travel expense for neighbor island Board members to attend special Board meetings on Oahu; publication of educational materials; and overtime pay for clerical staff as needed.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenue variances - Renewal fees are on a biennial basis resulting in variances between FYs. Expenditure variances - Dependent upon participation at national association meetings, special meetings requiring travel for neighbor island board members and publication of educational materials.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	56,968	16,968	16,968	16,968	16,968	16,968	16,968
Beginning Cash Balance	463,855	462,158	501,185	510,083	551,695	587,727	655,759
Revenues 1/	8,938	41,252	13,238	46,717	53,000	85,000	53,000
Expenditures	10,635	2,225	4,340	5,105	16,968	16,968	16,968
Transfers							
List each by JV# and date							
Net Total Transfers	0	0					
Ending Cash Balance	462,158	501,185	510,083	551,695	587,727	655,759	691,791
Encumbrances	0	0					
Unencumbered Cash Balance	462,158	501,185	510,083	551,695	587,727	655,759	691,791

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-105
 Name of Fund: Real Estate Appraisers
 Legal Authority: Chapter 466K, HRS; Title 16, Chapter 114, HAR

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-919-R

Intended Purpose:
See attached.

Source of Revenues:

The Federal Financial Institutions Examination Council ("FFIEC") mandates that each state collect a \$40 annual fee from each licensed and certified real estate appraiser to maintain the federal national registry. Appraisers must be on the national registry to perform appraisals in connection with federally related real estate transactions.

Current Program Activities/Allowable Expenses:
See attached.

Purpose of Proposed Ceiling Increase (if applicable):
Not Applicable

Variances:

The fees collected for the national registry are collected every other year at renewal thus explaining the large variance every other year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	55,000	55,000	55,000	55,000	55,000	55,000	55,000
Beginning Cash Balance	5,330	6,030	5,330	5,250	4,970	59,970	64,970
Revenues 1/	39,420	2,300	37,720	2,000	55,000	5,000	55,000
Expenditures	38,720	3,000	37,800	2,280			
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	6,030	5,330	5,250	4,970	59,970	64,970	119,970
Encumbrances	0	0					
Unencumbered Cash Balance	6,030	5,330	5,250	4,970	59,970	64,970	119,970

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

DCCA Non-General Fund Information - Real Estate Appraisers Trust Fund
Page 2

Intended Purpose:

The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.

Current Program Activities/Allowable Expenses:

In addition to any other powers and duties authorized by law, the director shall have the following powers and duties:

1. To grant permission to practice as a certified real estate appraiser in this State pursuant to this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto;
2. To adopt, amend, or repeal rules as the director finds necessary to effectuate fully this chapter and 12 United States Code §3301 et seq.;
3. To enforce this chapter and 12 United States Code §3301 et seq. and rules and regulations adopted pursuant thereto;
4. To discipline a certified real estate appraiser for any cause prescribed by this chapter or 12 United States Code §3301 et seq. for any violation of the rules and regulations and refuse to grant a person permission to practice as a certified real estate appraiser for any cause that would be grounds for disciplining a certified real estate appraiser;
5. To act as the designated representative of this State to implement 12 United States Code §3301 et seq.; and
6. To appoint an advisory committee to assist with the implementation of this chapter and 12 United States Code §3301 et seq. and the rules and regulations adopted pursuant thereto.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review-Cycle I
 Legal Authority: Non-appropriated; CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 587-7581
 Fund type (MOF): Federal Funds (N)
 Appropriation Acct. No. S-XX-203-R

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$1.0 million Federal Grant - Department of Health & Human Services; Grant period 8/9/10 to 9/30/12.

Current Program Activities/Allowable Expenses:

Grant has expired. A level II grant is being utilized for a similar purpose.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Variance due to Federal Grant expiring during FY 2012.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	2	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	2	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: State Planning & Establishment Grants for the Affordable Care Act's Exchanges
 Legal Authority: Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 587-7581
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-204-R

Intended Purpose:

To research whether an exchange is needed and whether an exchange is feasible given the State's information technology structure.

Source of Revenues:

\$1.0 million Federal Grant - Department of Health & Human Services; Grant period 9/30/10 to 9/29/13

Current Program Activities/Allowable Expenses:

Research being conducted regarding the implementation of the Patient Protection and Affordable Care Act.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Variances due to grant funds expiring.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	671	0	0	0	0	0	0
Revenues	0	0				0	0
Expenditures	671	0				0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Grants to States for Health Insurance Premium Review Cycle II
 Legal Authority: Non-appropriated; CFDA: 93.511; Section 1003 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 587-7581
 Fund type (MOF) Federal Funds (P)
 Appropriation Acct. No. S-XX-205-R

Intended Purpose:

To enhance the current capacity to review and, to extent permitted by state law, approve or deny rate increases in the individual and group markets.

Source of Revenues:

\$3.0 million Federal Grant - Department of Health & Human Services; Grant period 10/1/11 to 9/30/18

Current Program Activities/Allowable Expenses:

Modification to electronic rate filing system. Hiring of additional rate analysts.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Variances related to the timing in hiring additional rate analysts and finding appropriate vendors for modifying electronic rate filing system.

Financial Data							
	FY 2014 1/ (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	1,000,000	1,000,000	250,000	250,000	250,000	250,000	0
Beginning Cash Balance	65,254	15,998	7,042	0	0	0	0
Revenues	268,753	530,596	630,528	308,857	500,000	250,000	0
Expenditures	318,009	539,552	637,570	308,857	500,000	250,000	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	15,998	7,042	0	0	0	0	0
Encumbrances	64,062	0	0	0	0	0	0
Unencumbered Cash Balance	(48,064)	7,042	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Governor approved expenditure ceiling increase of \$1,747,698.03 on 9-19-14.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Cooperative Agreements to Support Establishment of State-
Operated Health Insurance Exchanges
 Legal Authority Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 587-7581
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-206-R

Intended Purpose:

Establishment of the Hawaii Health Connector under Act 205 (SLH 2011).

Source of Revenues:

\$14.4 million Federal Grant - Department of Health & Human Services; Grant period 11/29/2011 to 11/27/2013.

Current Program Activities/Allowable Expenses:

Working to establish a centralized website to allow individuals and small businesses to shop for health insurance.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Variances:

Variance due to grant funds expiring.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			0	0	0	0	0
Beginning Cash Balance	1,045	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	1,045	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Cooperative Agreements to Support Establishment of State-
Operated Health Insurance Exchanges
 Legal Authority Non-appropriated; CFDA: 93.525; Section 1311 of the Affordable Care Act

Contact Name: Jerry Bump
 Phone: 587-7581
 Fund type (MOF) Federal Funds (N)
 Appropriation Acct. No. S-XX-207-R

Intended Purpose:

Establishment of the Hawaii Health Connector under Act 205 (SLH 2011).

Source of Revenues:

Sub-awardee from Hawaii Health Connector Federal Grant.

Current Program Activities/Allowable Expenses:

Working to establish a centralized website to allow individuals and small businesses to shop for health insurance.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable

Variances:

Variance due to grant funds expiring.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	-	51,583	0	0	0	0	0
Revenues	412,450	78,761	0	0	0	0	0
Expenditures	360,867	130,344	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	51,583	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	51,583	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Driver Education Fund
 Legal Authority: Section 431:10C-115, HRS; Section 431:10G-107, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-309-R

Intended Purpose:

Provide drivers training and education.

Source of Revenues:

An annual fee paid by insurers and self-insurers for each vehicle, motorcycle and motor scooter insured.

Current Program Activities/Allowable Expenses:

All collected revenues disbursed to the Judiciary, Department of Education (DOE), and Department of Transportation (DOT). Judiciary's funds are expended for the operation of the drivers' education program. DOE's funds support drivers' education for high school students. The DOT uses its funds for the operation of a drivers' education program for operators of motorcycles, motor scooters or similar vehicles.

Purpose of Proposed Ceiling Increase (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,900,000	2,900,000	3,100,000	3,100,000	3,600,000	3,600,000	3,600,000
Beginning Cash Balance	150,147	227,292	433,919	548,952	736,675	536,675	436,675
Revenues	2,977,145	3,106,627	3,215,033	3,287,723	3,400,000	3,500,000	3,600,000
Expenditures	0	0	0	0	3,600,000	3,600,000	3,600,000
Transfers							
List each by JV# and date							
JS5153 5/16/2017				(3,100,000)			
Net Total Transfers	(2,900,000)	(2,900,000)	(3,100,000)	(3,100,000)			
Ending Cash Balance	227,292	433,919	548,952	736,675	536,675	436,675	436,675
Encumbrances							
Unencumbered Cash Balance	227,292	433,919	548,952	736,675	536,675	436,675	436,675

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Compliance Resolution Fund - Insurance Regulation Fund
 Legal Authority: Sections 431:2-215 & 431:2-216, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-313-R

Intended Purpose:

The fund permits the Insurance Division to become self-sufficient and eliminates reliance on general funds and revolving funds administered by the Division.

Source of Revenues:

Fees, fines, penalties, assessments, and reimbursements collected under Title 24, Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses:

Used to defray any administrative cost, including personnel cost, associated with all of the programs of the Insurance Division, and incurred by supporting offices and divisions.

Purpose of Proposed Ceiling Increase (if applicable):

None

Variances:

Between FY15 & FY16, revenues increased due to a larger assessment of the insurance industry 1.4M in FY15 to \$2.3M in FY16 to fund division operations. Revenues decreased in FY17 as industry wide assessment was reduced from \$2.3M in FY16 to \$634,000 in FY17. Expenditures increased in FY17 due to personnel increases and contract payments from FY16. FY18 revenue increase based on estimated industry assessment. FY 18 expenditures projected to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,015,536	9,496,660	10,584,735	10,818,376	11,647,396	11,717,505	11,717,505
Beginning Cash Balance	4,122,154	3,910,165	3,536,140	5,055,425	4,374,385	1,568,013	0
Revenues 1/	8,613,693	8,575,611	9,692,453	8,629,084	10,400,810	10,601,000	10,801,000
Expenditures	7,189,767	7,370,927	6,668,473	7,923,193	11,647,396	10,355,963	8,987,950
Transfers							
List each by JV# and date							
JS1102 9/16/2016				(346,733)			
JS2346 11/21/2016				(346,733)			
JS3613 2/7/2017				(346,733)			
JS4987 5/5/2017				(346,732)			
Net Total Transfers	(1,635,915)	(1,578,709)	(1,504,695)	(1,386,931)	(1,559,786)	(1,813,050)	(1,813,050)
Ending Cash Balance	3,910,165	3,536,140	5,055,425	4,374,385	1,568,013	0	0
Encumbrances	1,363,009	955,610	1,158,100	588,767			
Unencumbered Cash Balance	2,547,156	2,580,530	3,897,325	3,785,618	1,568,013	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Revenue Estimates to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Administrative Fund
 Legal Authority: Section 431:19-101.8, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-317-R

Intended Purpose:

The fund shall be expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.

Source of Revenues:

All moneys collected from captive licensees pursuant to article 19, chapter 431, including premium taxes, application and annual licensing fees, examination fees, and other reimbursements.

Current Program Activities/Allowable Expenses:

Licensing, monitoring, regulation, development of captive insurance companies, and promotion of Hawaii as a captive insurance domicile pursuant to article 19, chapter 431, including defrayal of any administrative costs, employment or retention of hearings officers, attorneys, investigators, accountants, examiners, and other necessary professional, technical, and support personnel.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

From FY14 thru F15 revenues rose as number of Captive licensee's grew from 176 to 193.

Between FY14 & FY15, expenditures rose as positions were filled and additional examination work was contracted out.

Between FY17 & FY18, expenditures expected to increase as vacancies become filled.

	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,400,652	2,434,480	2,672,985	2,725,806	2,802,357	2,802,357	2,802,357
Beginning Cash Balance	4,117,661	4,746,720	5,348,686	6,011,624	6,746,665	6,424,308	6,101,951
Revenues 1/	2,143,205	2,516,940	2,421,983	2,519,340	2,480,000	2,480,000	2,480,000
Expenditures	1,514,146	1,914,974	1,759,045	1,784,299	2,802,357	2,802,357	2,802,357
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,746,720	5,348,686	6,011,624	6,746,665	6,424,308	6,101,951	5,779,594
Encumbrances	124,009	26,612	19,530	26,395			
Unencumbered Cash Balance	4,622,711	5,322,074	5,992,094	6,720,270	6,424,308	6,101,951	5,779,594

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Patient's Compensation Fund
 Legal Authority: Act 232, SLH 1984; Act 219, SLH 1976

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-912-R

Intended Purpose:

In 1976 this fund was established to provide doctors medical malpractice insurance for coverage in excess of their underlying policy from a commercial insurer.

Source of Revenues:

None.

Current Program Activities/Allowable Expenses:

Final payments were made in FY14 & FY15, Fund was closed in FY16.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Variances due to final payment of funds on deposit.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	178,618	9,287	0	0	0	0	0
Revenues	490	49	0	0	0	0	0
Expenditures	169,821	9,336	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	9,287	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	9,287	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Premium Taxes Paid Pending Appeal
 Legal Authority: Sections 431:7-202, 431:7-209, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-916-R

Intended Purpose:

Premium taxes paid in protest due to denial of high tech tax credits.

Source of Revenues:

Premium taxes.

Current Program Activities/Allowable Expenses:

Pending result of appeal.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Varies year to year depending on an insurers' request for appeal.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,314,201	2,265,346	2,265,346	2,815,842	1,905,274	1,905,274	1,905,274
Revenues	951,145	0	550,496				
Expenditures	0	0	0	910,568	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			0				
Ending Cash Balance	2,265,346	2,265,346	2,815,842	1,905,274	1,905,274	1,905,274	1,905,274
Encumbrances							
Unencumbered Cash Balance	2,265,346	2,265,346	2,815,842	1,905,274	1,905,274	1,905,274	1,905,274

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Commissioner's Education and Training Fund
 Legal Authority: Section 431:2-214, HRS; Act 348, SLH 1987

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No. T-XX-917-R

Intended Purpose:

This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.

Source of Revenues:

Fees for rate and form filings.

Current Program Activities/Allowable Expenses:

Reimburse staff and personnel for various education and training expenses, including insurance and computer courses, conferences, and seminars. Publish annually, the Report of the Insurance Commissioner for consumer information. Presentations of insurance information to Hawaii consumers. Purchase of books and periodicals for staff education and training.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenues vary depending on the number of rate and form filings.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	982,960	1,079,596	1,146,016	1,218,602	1,344,641	1,301,641	1,258,641
Revenues	169,132	148,392	159,013	182,762	157,000	157,000	157,000
Expenditures	72,496	81,972	86,427	56,723	200,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,079,596	1,146,016	1,218,602	1,344,641	1,301,641	1,258,641	1,215,641
Encumbrances	8,642	45,923	10,105	13,949			
Unencumbered Cash Balance	1,070,954	1,100,093	1,208,497	1,330,692	1,301,641	1,258,641	1,215,641

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Service Contract Provider's Fin Sec Dep
 Legal Authority: Section 481X-4, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-927-R

Intended Purpose:

The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.

Source of Revenues:

Financial security deposits from service contract providers placed in trust with the Insurance Commissioner.

Current Program Activities/Allowable Expenses:

Current activities include the issuance and renewal of service contract providers registration.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Variances due to new or departing service contract provider security deposits.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	41,560	41,560	66,560	50,000	50,000	50,000	50,000
Revenues	0	25,000					
Expenditures			16,560	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	41,560	66,560	50,000	50,000	50,000	50,000	50,000
Encumbrances							
Unencumbered Cash Balance	41,560	66,560	50,000	50,000	50,000	50,000	50,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Insurance Division Restitution
 Legal Authority: Section 431:2-203, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-928-R

Intended Purpose:

The fund will hold deposits placed in trust with the Commissioner by defendants from an enforcement action brought by the Insurance Division. If the defendant does not comply with the terms of the settlement agreement, the Insurance Division then has the power to use these funds to provide restitution to the complainants involved.

Source of Revenues:

Deposits from defendants pursuant to a settlement agreement.

Current Program Activities/Allowable Expenses:

No deposits being held.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers			0	0			
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-106
 Name of Fund: Captive Insurance Companies LOC Escrow
 Legal Authority: Section 431:19-104(b), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-931-R

Intended Purpose:

The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.

Source of Revenues:

Cash draw from Letter of Credits (LOC) issued on behalf of captive licensees.

Current Program Activities/Allowable Expenses:

The Commissioner may draw upon the LOC when the captive licensee is not in compliance with the provisions of article 19 of chapter 431, including but not limited to its minimum capital and surplus requirements, applicable investment provisions, or otherwise in adverse financial condition, or operating in a manner that is detrimental or its condition unsound with respect to the public or to its policyholders. The cash drawn from the LOC is used to cover liabilities and other obligations of the captive licensee, including policy holder claims, operating expenses, taxes, fees, fines, and other expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	513	514	516	519	523	527	531
Revenues	1	2	3	4	4	4	4
Expenditures					0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	514	516	519	523	527	531	535
Encumbrances							
Unencumbered Cash Balance	514	516	519	523	527	531	535

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: DCCA-Insurance Division
 Prog ID(s): CCA-106
 Name of Fund: Hawaii Hurricane Relief Fund
 Legal Authority: Chapter 431P, HRS

Contact Name: Jerry Bump
 Phone: 808-586-0985
 Fund type (MOF) Trust Fund (T)
 Appropriation Acct. No. T-XX-999-R

Intended Purpose: Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.

Source of Revenues: Investment income.

Current Program Activities/Allowable Expenses:

Program activities - investments. Allowable expenses - financial services and management expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances:

Variances related to transfers to/from State General Fund. FY17 variance in revenue due to decline in unrealized gains of investments.

Financial Data							
A/	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Fund Balance	20,794,227	126,645,536	182,440,064	186,936,494	182,159,415	181,974,415	181,784,415
Revenues	1,540,120	2,910,894	7,769,259	(1,311,874)	3,100,000	3,100,000	3,100,000
Expenditures	101,507	175,995	223,441	183,202	185,000	190,000	195,000
Transfers to State General Fund			0				
Transfers from State General Fund	105,500,000	55,500,000	0				
Accrd Interest paid	(1,087,304)	(2,440,371)	(3,049,388)	(3,282,003)	(3,100,000)	(3,100,000)	(3,100,000)
Net Total Transfers	104,412,696	53,059,629	(3,049,388)	(3,282,003)	(3,100,000)	(3,100,000)	(3,100,000)
Ending Fund Balance	126,645,536	182,440,064	186,936,494	182,159,415	181,974,415	181,784,415	181,589,415
Encumbrances							
Unencumbered Cash Balance	21,078,646	182,440,064	186,936,494	182,159,415	181,974,415	181,784,415	181,589,415

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

A/ = Prepared on the accrual basis of accounting as required by the Governmental Accounting Standards Board for Enterprise Funds.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-107
 Name of Fund: Compliance Resolution Fund- HPEAP
 Legal Authority: HRS §305J-19

Contact Name: Bobbi Lum-Mew
 Phone: 586-7327
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-xx-307-R

Intended Purpose:

Per HRS §305J-19 the moneys in the special subaccount shall be used to fund the operations of the department to carry out its duties under this chapter.

Source of Revenues:

Authorization, re-authorization, and complaints administration fees.

Current Program Activities/Allowable Expenses:

Review and authorize qualified post-secondary educational institutions and receive and process complaints against authorized institutions.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Institutions apply for reauthorization biennially resulting in differences between fiscal years. FY 16 and 17 expenditure variances due to increasing personnel costs.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		263,796	282,233	282,233	288,611	288,611	288,611
Beginning Cash Balance		0	336,583	367,317	228,267	283,253	213,660
Revenues 1/		57,726	241,827	98,755	302,000	139,000	293,000
Expenditures		109,284	171,080	201,077	208,593	208,593	208,593
Transfers							
List each by JV# and date							
JS1102 9/16/2016				(9,182)			
JS2346 11/21/2016				(9,182)			
JS3613 2/7/2017				(9,182)			
JS4987 5/5/2017				(9,182)			
Net Total Transfers		388,141	(40,013)	(36,728)	(38,421)	0	0
Ending Cash Balance	0	336,583	367,317	228,267	283,253	213,660	298,067
Encumbrances		3,104					
Unencumbered Cash Balance	0	333,479	367,317	228,267	283,253	213,660	298,067

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: CRF-Office Of Consumer Protection
 Legal Authority Section 487-2, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Special Funds (B)
 Appropriation Acct. No. S-XX-323-R

Intended Purpose:

To protect Hawaii consumers utilizing investigative resources, civil enforcement actions and consumer education.

Source of Revenues:

Penalties or fines assessed as a result of actions brought by OCP, and penalties, fines, or reimbursement of costs or attorneys' fees assessed as a result of actions brought for violations of chapters 480 and 487 HRS.

Current Program Activities/Allowable Expenses:

OCP receives and investigates consumer complaints, provides consumer education to the public through oral presentations and the dissemination of consumer literature, and enforces consumer protection laws through legal process coordinated by its attorneys.

Purpose of Proposed Ceiling Increase (if applicable):

None

Variances:

Revenue: OCP revenue from year to year may fluctuate greatly due to a number of factors including the absence of settlements in multistate cases and the complexities of some OCP enforcement actions.

Expenditures: Increase in FY15 due to hiring to fill vacant positions and increased fringe benefits. FY 18 projected to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,781,593	1,784,652	2,025,447	2,109,294	2,301,177	2,363,212	2,363,212
Beginning Cash Balance	5,586,878	4,640,406	5,695,453	6,264,470	7,398,673	7,001,458	6,522,825
Revenues 1/	95,772	2,331,058	2,300,911	3,262,847	2,210,300	2,211,000	2,211,000
Expenditures	1,492,728	1,744,937	1,731,894	1,857,842	2,301,177	2,363,212	2,363,212
Transfers							
List each by JV# and date							
JS1102 9/16/2016				(67,700)			
JS2346 11/21/2016				(67,700)			
JS3613 2/7/2017				(67,700)			
JS4987 5/5/2017				(67,702)			
Net Total Transfers	450,484	468,926	0	(270,802)	(306,338)	(326,421)	(326,421)
Ending Cash Balance	4,640,406	5,695,453	6,264,470	7,398,673	7,001,458	6,522,825	6,044,192
Encumbrances	46,186	14,724	20,282	64,630			
Unencumbered Cash Balance	4,594,220	5,680,729	6,244,188	7,334,043	7,001,458	6,522,825	6,044,192

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-110
 Name of Fund: OCP Restitution Fund
 Legal Authority: Section 487:14(c), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF) Trust Funds (T)
 Appropriation Acct. No. T-XX-920-R

Intended Purpose:

To hold and disburse moneys received or recovered by the Office of Consumer Protection payable to consumers as restitution pursuant to settlement or appropriate court orders and judgments.

Source of Revenues:

Consumer restitution paid by respondents and disbursed by OCP pursuant to settlement or court order.

Current Program Activities/Allowable Expenses:

We are continuing to collect and distribute restitution payments from this account pursuant to appropriate court orders and judgments.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenues: Restitution recoveries awarded by a court or through voluntary compliance agreements vary greatly from year to year and the circumstances of each OCP case.

Expenditures: Resitution payments distributed in any given year varies widely based upon the resolution of enforcement actions through settlement or court action as well as the manner in which the restitution payments to consumers is structured.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,681	100,681	100,681	100,681	100,681	100,681	100,681
Beginning Cash Balance	147,521	108,056	84,172	91,184	16,272	16,272	16,272
Revenues 1/	18,210	5,940	15,772	23,403	0	0	0
Expenditures	57,675	29,824	8,760	98,315			
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	108,056	84,172	91,184	16,272	16,272	16,272	16,272
Encumbrances							
Unencumbered Cash Balance	108,056	84,172	91,184	16,272	16,272	16,272	16,272

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue Projections based on B&F Rev Est Aug 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-111
 Name of Fund: Compliance Resolution Fund - Business Registration
 Legal Authority: Section 26-9, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-306-R

Intended Purpose:

To fund the operations of the Business Registration Division.

Source of Revenues:

Chapters 414, 414D, 415A, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 428-482, and 519 HRS. - Business registration fees: Corporation, partnership, limited liability company and cooperative registration fees and trademark, trade name, service mark, publicity right filing fees.

Chapter 482E - Franchise filing fees and penalties for franchise violations.

Chapter 485A - Securities fees and penalties: Securities, broker-dealer, sales agents, investment adviser and investment adviser representative filing fees and penalty fees for securities law violations.

Current Program Activities/Allowable Expenses:

Register and maintain the registry for public access for corporations, partnerships, limited liability companies, cooperatives, trademarks, trade names, service marks and publicity rights. Help the public in their business registration filings through business centers that offer assistance in facilitating, coordinating and expediting filings. Review and approve filing applications and investigate, prosecute and enforce substantive regulatory compliance pursuant to State securities and franchise filing laws.

Purpose of Proposed Ceiling Increase (if applicable):

Deputy Commissioner

Budget ceiling increase requested to add the permanent position of Deputy Securities Commissioner to provide support to the Business Registration Division (BREG). The position would oversee the day-to-day activities of BREG, provide technical support and assistance to the Commissioner of Securities, and provide program continuity and expertise as the Commissioner position is filled by appointment.

Variances:

The variance for FY14-15 actual revenue can be attributed in part to temporary fee reductions for securities registrations from FY13-14 and the lower amount of penalties actually collected during the fiscal year. The variance for FY16-17 actual revenue can be attributed to restoring securities registration fees to its statutory level; the FY 18 variance reflects a return to temporary fee reductions for securities registrations. FY 19 variance reflects restoring fees to statutory level. The variance for FY18 estimated expenditures can be attributed to the projecting expenses to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,649,240	6,649,240	7,317,621	7,414,132	8,584,570	8,669,599	8,669,599
Beginning Cash Balance	11,200,800	11,870,606	11,483,982	11,583,995	15,041,283	11,633,569	11,136,985
Revenues 1/	7,321,288	6,314,503	6,752,319	10,397,463	6,295,100	9,295,100	10,296,000
Expenditures	5,433,372	5,592,877	5,614,881	5,969,240	8,584,570	8,669,599	8,669,599
Transfers							
List each by JV# and date							
JS1102 9/16/2016				(242,733)			
JS2346 11/21/2016				(242,733)			
JS3613 2/7/2017				(242,733)			
JS4987 5/5/2017				(242,736)			
Net Total Transfers	(1,218,110)	(1,108,250)	(1,037,425)	(970,935)	(1,118,244)	(1,122,085)	(1,122,085)
Ending Cash Balance 2/	11,870,606	11,483,982	11,583,995	15,041,283	11,633,569	11,136,985	11,641,301
Encumbrances	181,470	148,786	97,088	78,292			
Unencumbered Cash Balance	11,689,136	11,335,196	11,486,907	14,962,991	11,633,569	11,136,985	11,641,301

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: Compliance Resolution Fund - RICO
 Legal Authority: Section 26-9(o), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-312-R

Intended Purpose:

Complaints receipt, mediation, arbitration, investigation, and prosecution of matters in areas for which a license, registration or certificate is required from the Department or its boards, commissions or regulatory programs.

Source of Revenues:

Compliance Resolution Fund fee; penalties and fines collected; interest earned on fund balances.

Current Program Activities/Allowable Expenses:

Complaints receipt, mediation, investigation, complaint resolution and prosecution related to licenses, registrations or certificates required from the Department or its boards, commissions or programs.

Purpose of Proposed Ceiling Increase (if applicable):

None

Variances:

Division saw an increase in revenue following an emergency fee increase of 23% approved in FY 15 and varying permanent fee increases effective 5/6/2016. Revenues vary due to renewal cycle. Expenditure variance for FY 17 due to increased personnel costs and IT project. FY 18 variance based on projection to ceiling.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,631,030	5,631,030	6,442,820	6,631,429	7,167,144	7,167,144	7,167,144
Beginning Cash Balance	5,850,462	5,496,577	6,755,209	7,542,530	9,767,016	9,485,765	8,665,627
Revenues 1/	5,574,322	7,235,025	7,052,051	9,249,334	7,840,000	7,336,769	7,840,000
Expenditures	4,898,100	5,024,655	5,351,326	6,114,946	7,167,144	7,167,144	7,167,144
Transfers							
List each by JV# and date							
JS1102 9/16/2016				(227,476)			
JS2346 11/21/2016				(227,476)			
JS3613 2/7/2017				(227,476)			
JS4987 5/5/2017				(227,474)			
Net Total Transfers	(1,030,107)	(951,738)	(913,404)	(909,902)	(954,107)	(989,763)	(989,763)
Ending Cash Balance	5,496,577	6,755,209	7,542,530	9,767,016	9,485,765	8,665,627	8,348,720
Encumbrances	31,213	37,334	498,379	555,492	73,647	73,647	73,647
Unencumbered Cash Balance	5,465,364	6,717,875	7,044,151	9,211,524	9,412,118	8,591,980	8,275,073

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-112
 Name of Fund: State Certified Motor Vehicle Arbitration Fund
 Legal Authority: Chapter 481I, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Trust Funds (T)
 Appropriation Acct. No.: T-XX-915-R

Intended Purpose:

Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Source of Revenues:

Filing fees paid by vehicle manufacturers and consumers.

Current Program Activities/Allowable Expenses:

Implementation and administration of the State Certified Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

The Program saw an increase in Demands for Arbitration in FY15. Revenues and expenditures vary depending on arbitration fees received and costs paid for arbitration hearings.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	129,591	124,356	124,177	123,897	124,127	126,127	128,127
Revenues 1/	1,400	4,275	3,100	4,525	2,000	2,000	2,000
Expenditures	6,635	4,454	3,380	4,295	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	124,356	124,177	123,897	124,127	126,127	128,127	130,127
Encumbrances	0	100	0				
Unencumbered Cash Balance	124,356	124,077	123,897	124,127	126,127	128,127	130,127

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue projections based on Rev Est to B&F August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191
 Name of Fund: Compliance Resolution Fund
 Legal Authority: Section 26-9(o), HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No. S-XX-310-R, S-XX-398-R, S-14-360

Intended Purpose:

To enhance program effectiveness and efficiency by providing direction and general support services.

Source of Revenues:

Administrative fee from MCCC claim fees pursuant to HRS §671-11(d), publication/copy fees.

Current Program Activities/Allowable Expenses:

See attached.

Purpose of Proposed Ceiling Increase (if applicable):

1. Program Specialist position trade off from CCA103.

Due to required administrative program oversight, duties and responsibilities and increasing workload, we request approval of the transfer and redescription of a PU Rate Analyst IV position from the Department of Consumer Advocacy (S-303) to the Budget/Management Analysis Branch in the Administrative Services Office.

Variances

Revenue variances: FY15 decrease due to establishment of the Hawaii Post-Secondary Education Authorization Program (HPEAP) under CCA 107. FY16 increase due to transfer of PUC into the department. FY17 and FY18 variances reflect changes in PUC assessments.

Expenditure variance: FY18 reflects spending to ceiling.

Financial Data							
	FY 2014 ^{1/2}	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,259,050	6,880,350	7,595,620	7,761,845	8,110,870	8,195,600	8,195,600
Beginning Cash Balance	2,613,491	3,521,497	2,874,897	3,222,005	3,634,523	3,268,646	3,050,730
Revenues 1/	1,175,247	513,607	951,322	1,209,223	874,372	874,372	874,000
Expenditures	6,411,244	6,543,591	6,777,852	6,882,854	8,110,870	8,195,600	8,195,600
Transfers							
List each by JV# and date							
JS1102 9/16/2016				1,484,037			
JS2346 11/21/2016				1,484,037			
JS3613 2/7/2017				1,484,037			
JS4987 5/5/2017				1,484,038			
JS5595 6/14/2017				150,000			
Net Total Transfers	6,144,003	5,383,384	6,173,638	6,086,149	6,870,621	7,103,312	7,103,312
Ending Cash Balance	3,521,497	2,874,897	3,222,005	3,634,523	3,268,646	3,050,730	2,832,442
Encumbrances	198,299	381,236	210,390	172,417			
Unencumbered Cash Balance	3,323,198	2,493,661	3,011,615	3,462,106	3,268,646	3,050,730	2,832,442

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue based on B&F Rev Est August 2017.

2/ Include Post-Secondary Education Authorization Program established pursuant to Act 180, SLH 2013.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

DCCA Non-General Fund Information - Compliance Resolution Fund (S-310-R, S-398-R)
Page 2

Current Program Activities/Allowable Expenses:

Provide general policy and administrative leadership, supervision and coordination of the various programs of the department. Provide individual programs with financial resources, budgetary direction and control necessary for their operation. Assist individual programs with the supply, development and maintenance of the human resources necessary for staffing their operations. Provide Director with staff assistance in the direction and supervision of the operating division; and provide centralized clerical services and relief necessary to support and supplement the operating division. Plans, directs and coordinates the department's internal and external communications through the sharing and transfer of the information via various mediums. Directs the development, implementation and maintenance of computerized information systems capable of communicating and sharing data and information internally and externally. Administers a program for informed consumerism through the sharing and transfer of information departmentally and statewide.

Provide specialized staff support to all divisions and boards by conducting hearings, as required, to ensure impartial and informed treatment in resolving consumer complaints; and provide a means for prompt and fair disposition or settlement of medical tort claims or claims of professional negligence against an engineer, architect or surveyor licensed under Chapter 464, HRS.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): CCA-191, CCA-110 FY18 and forward
 Name of Fund: MFDR Special Fund
 Legal Authority: Section 667-86, HRS

Contact Name: Dean Hazama
 Phone: 586-2844
 Fund type (MOF): Special Funds (B)
 Appropriation Acct. No.: S-XX-316-R

Intended Purpose:

To give a mortgagor the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.

Source of Revenues:

Fees collected from the mortgagee and the mortgagor.

Current Program Activities/Allowable Expenses:

For all expenses of the mortgage foreclosure dispute resolution program to carry out the purposes of Act 48, SLH 2011 and to reimburse the Compliance Resolution Fund for the seed capital.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenue variances: FY 15- Higher than anticipated filing fees. FY 16- expectation of leveling of fee collections. FY 17- further decrease in filing fees.
 Expenditure variances: FY 15-17 - due to vacancy, and positions are anticipated to be vacant for the out-years due to lack of program activities. FY 18- Projected to maximum spending.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,850,000	306,461	306,461	306,461	242,282	242,282	242,282
Beginning Cash Balance	121,071	238,185	337,232	153,230	59,868	75,735	90,440
Revenues 1/	34,723	105,160	70,247	60,288	58,120	57,720	58,000
Expenditures	117,609	6,113	4,249	3,650	10,000	10,000	10,000
Transfers							
List each by JV# and date							
JS5595 6/14/2017				(150,000)			
Net Total Transfers	200,000	0	(250,000)	(150,000)	(32,253)	(33,015)	(33,015)
Ending Cash Balance	238,185	337,232	153,230	59,868	75,735	90,440	105,425
Encumbrances	97	149	140	137			
Unencumbered Cash Balance	238,088	337,083	153,090	59,731	75,735	90,440	105,425

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

1/ Revenue based on B&F Rev Est August 2017.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Commerce and Consumer Affairs
 Prog ID(s): Various
 Name of Fund: Temporary Deposits
 Legal Authority: _____

Contact Name: Kay Okimoto
 Phone: 586-2831
 Fund type (MOF) Temporary Deposits
 Appropriation Acct. No. T-XX-902-R

Intended Purpose:

The fund was established by DCCA to account for temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.

Source of Revenues:

Various revenue sources (e.g., Moneys for the Medical Claims Conciliation Panel, Design Claims Conciliation Panel, & Hawaii Joint Underwriting Plan are deposited into this account, and when an invoice is received it is paid out from this account).

Current Program Activities/Allowable Expenses:

See above information.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable

Variances:

Revenue and expenditure variances are based on divisions' timing and execution of contracts and projects.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,991,262	1,511,786	1,810,621	1,149,621	1,896,566	1,896,566	1,896,566
Revenues	1,971,185	2,108,604	2,108,673	2,117,028			
Expenditures	2,450,661	1,809,769	2,769,673	1,370,083			
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0				
Ending Cash Balance	1,511,786	1,810,621	1,149,621	1,896,566	1,896,566	1,896,566	1,896,566
Encumbrances	8,812	0	9,575	22,100			
Unencumbered Cash Balance	1,502,974	1,810,621	1,140,046	1,874,466	1,896,566	1,896,566	1,896,566

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Department of Commerce and Consumer Affairs
 Prog ID(s): CCA 901
 Name of Fund: Public Utilities Commission Special Fund
 Legal Authority: Section 269-33

Contact Name: Renee Nakagawa
 Phone: 586-3753
 Fund type (MOF) B
 Appropriation Acct. No. S-18-340-R

Intended Purpose:

To pay for expenses incurred by the Public Utilities Commission ("PUC") and the Division of Consumer Advocacy ("DCA") of the Department Of Commerce and Consumer Affairs ("DCCA") for all expenses incurred in the administration of chapters, 269, 271, 271G, 269E, and 786J and for costs incurred by DCCA to fulfill the department's limited oversight and administrative support functions provided that the expenditures are in accordance with the legislative appropriations.

Source of Revenues:

The Special Fund's sources of income include public utility, motor carrier, application and intervention filing, Hawaii One Call , and duplicating fees; and penalties and interest on citations issued.

Current Program Activities/Allowable Expenses:

Provides effective, proactive, and informed oversight of all regulated entities to ensure that they operate at a high level of performance so as to serve the public fairly, efficiently, safely, and reliably, while addressing the goals and future needs of the State in the most economically, operationally, and environmentally sound manner, and affording the opportunity for regulated entities to achieve and maintain commercial viability. All monies in excess of \$1M remaining on balance in the Special Fund on June 30 of each year shall lapse to the credit of the State General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

None

Variances:

FY17 variance in revenue is attributed to lower than anticipated revenue from the public utilities. FY18 variance based on current collections. FY 19 variance based on historical collections. FY18 expenditure variance based on projecting spending to ceiling.

	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			17,828,567	16,045,272	15,753,197	15,249,248	15,249,248
Beginning Cash Balance	0	0	0	0	5,654,094	6,438,690	11,299,442
Revenues			22,497,217	19,032,684	16,537,793	20,110,000	20,110,000
Expenditures			13,145,207	13,566,973	15,753,197	15,249,248	15,249,248
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0027 S17340R				1,000,000			
JS0722 S17340R				(18,000)			
JS0722 S17340R				(1,124,617)			
JS5904 S17368M				331,000			
Net Total Transfers	0	0	(1,474,888)	188,383	0	0	0
Ending Cash Balance	0	0	7,877,122	5,654,094	6,438,690	11,299,442	16,160,194
Encumbrances			2,009,502	1,012,167	1,618,424	1,418,424	1,418,424
Unencumbered Cash Balance	0	0	5,867,620	4,641,927	4,820,266	9,881,018	14,741,770

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Post-Katrina Emergency Management Reform Act of 2006,
 Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No. S-14-204-G
 Emergency Management
 Performance Grants
 CFDA No. 97.042

Intended Purpose: The Emergency Management Performance Grant Program is to support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Revenues and expenditures in FY16 are much higher than FY17's. All contracts and agreements have been executed before FY17 because the grant has a 3-year performance period. There are variances in both revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,732,522	3,893,983	806,629	0	0	0	0
Beginning Cash Balance	0	5,872	218,153	119,862	(0)	(0)	0
Revenues	2,806,449	3,260,613	495,246	0	0	0	0
Expenditures	2,861,799	3,087,354	408,147	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS3644 12/31/13	61,134						
JS1381 9/11/13	89						
JS5065 3/10/15		633					
JS6599 5/26/15		38,388					
JS0720 8/14/15			(185,390)				
JS2896 12/23/16				(119,862)			
Net Total Transfers	61,223	39,021	(185,390)	(119,862)	0	0	0
Ending Cash Balance	5,872	218,153	119,862	(0)	(0)	(0)	0
Encumbrances	588,324	612,625	0	0	0	0	0
Unencumbered Cash Balance	(582,451)	(394,472)	119,862	(0)	(0)	(0)	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Revenues & expenditures in FY17 are much smaller than FY16's. The grant has a 3-year performance period and the majority of revenues and expenditures were collected and paid to vendors in FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		29,646,030	29,608,567	26,604,008	32,699	0	0
Beginning Cash Balance		0	13,782	109,604	28,947	(0)	(0)
Revenues		127,264	2,514,991	360,783	0	0	0
Expenditures		112,849	2,604,559	561,303	28,947	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5065 3/10/15		(633)					
JS0720 8/14/15			185,390				
JS2896 12/23/16				119,862			
Net Total Transfers		(633)	185,390	119,862	0	0	0
Ending Cash Balance		13,782	109,604	28,947	(0)	(0)	(0)
Encumbrances		0	122,966	32,699	0	0	0
Unencumbered Cash Balance		13,782	(13,362)	(3,753)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No.: S-16-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Revenues & expenditures in FY17 are much higher than FY16's because the grant was awarded in September 2016. It takes time to plan and execute contracts and agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY17. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,548,573	5,876,108	3,084,817	1,264,722	0
Beginning Cash Balance			0	72,015	101,595	201,500	0
Revenues			404,066	2,820,871	1,920,000	1,063,222	
Expenditures			332,051	2,791,291	1,820,095	1,264,722	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance			72,015	101,595	201,500	0	0
Encumbrances			556,937	389,931	201,500	0	0
Unencumbered Cash Balance			(484,922)	(288,336)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No.: S-17-204-G
Emergency Management
Performance Grants
CFDA No. 97.042

Intended Purpose: To support a comprehensive, all-hazard emergency preparedness system by building and sustaining the core capabilities contained in the National Preparedness Goal.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Development of preparedness and assistance, plans, programs, capabilities, and organizations.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling				3,669,612	3,511,118	2,061,118	796,396
Beginning Cash Balance				0	430,859	180,859	266,137
Revenues				709,683	1,200,000	1,350,000	409,928
Expenditures				278,825	1,450,000	1,264,722	676,065
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance				430,859	180,859	266,137	(0)
Encumbrances				668,513	721,064	676,065	0
Unencumbered Cash Balance			0	(237,654)	(540,205)	(409,928)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: The National Earthquake Hazards Reduction Act of 2004,
Public Law 108-360, 42 U.S.C 7704(a)(2)(B)

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No. S-14-207-G
Earth Quake Hazard Reduction

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Variances can't be calculated due to zero revenues and Zero expenditures in FY16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	27,149	0	673	0	0	0	0
Beginning Cash Balance	0	1,389	1,389	1,389	0	0	0
Revenues	24,414	0	0	0	0	0	0
Expenditures	26,476	0	0	1,389	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5367 4/8/14	3,451						
Net Total Transfers	3,451	0	0	0	0	0	0
Ending Cash Balance	1,389	1,389	1,389	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,389	1,389	1,389	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-208-G
 (Port Security Grant)
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Revenues and expenditures in FY16 are much higher than FY17's. All Major contracts and agreements have been executed in FY16 because the grant is only good for 3 years. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	19,120,807	17,310,757	10,556,602	1,820	0	0	0
Beginning Cash Balance	0	9,736	259,452	1,820	(0)	0	0
Revenues	1,819,586	6,765,256	2,087,690	0	0	0	0
Expenditures	1,809,850	6,754,155	2,345,322	1,820	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4077 1/20/15		7,193					
JS4438 2/5/15		174,204					
JS6860 6/9/15		685					
JS1517 9/16/14		56,534					
Net Total Transfers	0	238,615	0	0	0	0	0
Ending Cash Balance	9,736	259,452	1,820	(0)	(0)	0	0
Encumbrances	5,910,435	1,930,298	0	0	0	0	0
Unencumbered Cash Balance	(5,900,699)	(1,670,845)	1,820	(0)	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2002, Public Law 107-295, 46 U.S.C. 70107

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No. S-15-208-G
 (Port Security Grant)
 CFDA No. 97.111

Intended Purpose: To directly support maritime transportation infrastructure security activities

Source of Revenues: Maritime Transportation Security Act

Current Program Activities/Allowable Expenses: Allowable expenditures are based on the NOFO but support governance, maritime domain, IEDs and CBRNE, cybersecurity, security risk mitigation projects for port resilience and recovery, training and exercises and transportation worker identification credentialing.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures the majority of revenues and expenditures were collected and paid to vendors in FY16. The grant closed in FY17 and left a small amount of cash to pay for the outstanding expenditures. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,900,000	3,900,000	3,047,150	0	0	0
Beginning Cash Balance			238,620	8,829	0	0	0
Revenues		238,620	623,059	0	0	0	0
Expenditures		0	852,850	8,829	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	238,620	8,829	0	0	0	0
Encumbrances			8,829	0	0	0	0
Unencumbered Cash Balance	0	238,620	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No. S-14-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Revenues and expenditures in FY16 are much higher than FY17's because the majority of contracts and agreements have been executed before FY16. Less activities take place in FY17. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,992,659	7,909,831	2,142,052	0	0	0	0
Beginning Cash Balance	0	42,999	68,988	20,242	0	0	0
Revenues	8,115,779	5,793,768	1,720,352	28,880	0	0	0
Expenditures	8,082,828	5,767,779	1,769,098	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4313 2/12/14	(67,110)						
JS4586 2/27/14	77,157						
JS2367 11/22/16				(49,122)			
Net Total Transfers	10,047	0	0	(49,122)	0	0	0
Ending Cash Balance	42,999	68,988	20,242	(0)	0	0	0
Encumbrances	502,877	62,434	0	0	0	0	0
Unencumbered Cash Balance	(459,879)	6,554	20,242	(0)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Revenues collected & expenditures paid in both FY16 and FY17 are very close. The variances between FY16 and FY17 are not significant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,733,000	3,652,445	2,128,667	0	0	0
Beginning Cash Balance		0	14	69,840	55,446	0	0
Revenues		80,569	1,993,605	1,665,151	0	0	0
Expenditures		80,555	1,923,779	1,728,667	55,446	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS2367 11/22/16				49,122			
Net Total Transfers	0	0	0	49,122	0	0	0
Ending Cash Balance	0	14	69,840	55,446	0	0	0
Encumbrances		70,935	82,500	0	0	0	0
Unencumbered Cash Balance	0	(70,921)	(12,660)	55,446	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No.: S-16-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Revenues & expenditures in FY17 are much higher than FY16's because the grant was awarded in September 2016. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors in FY17 than in FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,800,000	3,576,674	2,614,045	914,863	0
Beginning Cash Balance			0	36,994	33,677	45,940	(0)
Revenues			194,819	957,708	1,655,999	868,923	0
Expenditures			157,826	962,629	1,699,182	914,863	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1173 9/20/16				1,604			
JSXXXX					55,446		
Net Total Transfers	0	0	0	1,604	55,446	0	0
Ending Cash Balance	0	0	36,994	33,677	45,940	(0)	(0)
Encumbrances			52,994	354,612	732,565	0	0
Unencumbered Cash Balance	0	0	(16,000)	(320,935)	(686,625)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No.: S-17-209-G
 (Homeland Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,734,500	3,725,340	2,775,340	810,340
Beginning Cash Balance					0	35,000	170,000
Revenues				9,160	985,000	2,100,000	640,340
Expenditures				9,160	950,000	1,965,000	810,340
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	35,000	170,000	0
Encumbrances			0	108,747	87,750	250,000	0
Unencumbered Cash Balance	0	0	0	(108,747)	(52,750)	(80,000)	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No. S-15-210-G (Starbase)
 CFDA No. 12.404

Lapsed on 6/30/17

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No variances between FY16 and FY17 because no expenditures incurred in FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	300,000	65,034	65,034	0	0	0
Beginning Cash Balance	0	0	319,606	(0)	(0)	(0)	(0)
Revenues	0	185,821	36,861	0	0	0	0
Expenditures	0	234,966	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0887 8/19/14		368,751					
JS5242 4/6/16			(36,861)				
JS0376 7/29/15			(319,606)				
Net Total Transfers	0	368,751	(356,467)	0	0	0	0
Ending Cash Balance	0	319,606	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	319,606	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-210-G (Starbase)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training, include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures In FY16, the majority of expenses were covered by the cash transferred from FY15 though the program received less revenues in FY16 than in FY17. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0		400,000	119,084	119,084	119,084	0
Beginning Cash Balance	0		0	166,225	0	24,082	0
Revenues	0		90,675	135,111	24,082	0	0
Expenditures	0		280,916	0	0	24,082	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5242 4/6/16			36,861				
JS0376 7/29/15			319,606				
JS3554 1/31/17				(135,111)			
JS0165 7/21/16				(166,225)			
Net Total Transfers	0	0	356,467	(301,336)	0	0	0
Ending Cash Balance	0	0	166,225	0	24,082	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	166,225	0	24,082	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-210-G (Starbase)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				400,000	112,170	112,170	0
Beginning Cash Balance				0	140,500	25,472	0
Revenues				262,105	25,472	0	0
Expenditures				287,830	0	25,472	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0165 7/21/16				166,225			
					(140,500)		
Net Total Transfers	0	0	0	166,225	(140,500)	0	0
Ending Cash Balance	0	0	0	140,500	25,472	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	140,500	25,472	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No. S-14-213-G
 (Pre-Disaster Mitigation)
 CFDA #97.047

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No variances because both FY16 & FY17 have no revenues or expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	445,583	380,636	355,104	0	0	0	0
Beginning Cash Balance	0	0	6,559	6,559	6,559	0	0
Revenues	15,389	25,532	0	0	0	0	0
Expenditures	64,947	25,532	0	0	6,559	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS3589 12/31/13	64,947						
JS3590 12/31/13	(15,389)						
JS6600 5/26/15		6,559					
Net Total Transfers	49,558	6,559	0	0	0	0	0
Ending Cash Balance	0	6,559	6,559	6,559	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	6,559	6,559	6,559	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No. S-14-214-G
 (National Tsunami Hazard
 Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures The grant's performance period ended in FY16. There were revenues and expenditures in FY16. In FY17, no activities during the fiscal year except the cash balance transferred from FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	575,991	394,779	262,618	0	0	0	0
Beginning Cash Balance	0	0	17,906	3,622	(0)	(0)	(0)
Revenues	181,212	124,449	98,641	0	0	0	0
Expenditures	181,212	132,161	123,367	3,622	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4143 1/22/15		9,875					
JS1229 8/31/14		15,743					
JS5271 4/7/16			10,443				
Net Total Transfers	0	25,618	10,443	0	0	0	0
Ending Cash Balance	0	17,906	3,622	(0)	(0)	(0)	(0)
Encumbrances	59,286	126,472	0	0	0	0	0
Unencumbered Cash Balance	(59,286)	(108,566)	3,622	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DOD
Prog ID(s): DEF 110
Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum
Phone: 733-4260
Fund type (MOF): P
Appropriation Acct. No. S-16-214-G
(National Tsunami Hazard Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Revenues & expenditures in FY17 are much higher than FY16's because the grant was awarded in September 2016. It takes time to plan and execute contracts and agreements, so more revenues and expenditures were collected and paid to vendors in FY17 than in FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (estimated)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2019 (estimated)
Appropriation Ceiling			495,943	481,178	233,208	125,685	0
Beginning Cash Balance			0	13,541	5,263	(0)	(0)
Revenues			153,991	239,692	102,260	0	0
Expenditures			140,450	247,970	107,523	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	13,541	5,263	(0)	(0)	(0)
Encumbrances	0	0	229,808	2,563	0	0	0
Unencumbered Cash Balance	0	0	(216,268)	2,700	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2006, Public Law 109-295, 6 U.S.C 762

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No. S-17-214-G
 (National Tsunami Hazard
 Mitigation) - CFDA No. 11.467

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2019
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				625,477	521,364	236,364	63,364
Beginning Cash Balance				0	5,788	80,788	35,788
Revenues				109,901	360,000	128,000	27,576
Expenditures				104,113	285,000	173,000	63,364
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	5,788	80,788	35,788	(0)
Encumbrances	0	0	0	152,288	200,000	0	0
Unencumbered Cash Balance	0	0	0	(146,500)	(119,212)	35,788	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: A164 / SL 11

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No. S-12-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues are collected in both FY16 and FY17. No expenditures incurred in FY17 because the majority of contracts and agreements have been executed and paid before FY16. Since no payments made in FY17, variances in expenditures between FY16 and FY17 can not be calculated.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	2,560,369	401,360	125,768	13,228	2,728	0	0
Beginning Cash Balance	3,081,397	780,142	244,503	92,097	3,000	272	(0)
Revenues						0	0
Expenditures	1,801,255	215,927	44,406.28		2,728	272	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0839 8/19/13	(500,000)						
JS6222 5/6/15		80,288					
JS0550 7/31/14		(400,000)					
JS0376 7/29/15			(108,000)				
JS0165 7/21/16				(89,097)			
Net Total Transfers	(500,000)	(319,712)	(108,000)	(89,097)	0	0	0
Ending Cash Balance	780,142	244,503	92,097	3,000	272	(0)	(0)
Encumbrances	401,360	125,768	13,228	2,728	0	0	0
Unencumbered Cash Balance	378,782	118,735	78,868	272	272	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: A106 / SL 12

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No.: S-13-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues are collected in both FY16 and FY17. No expenditures incurred in FY17 because the majority of contracts and agreements have been executed and paid before FY16. Since no payments made in FY17, variances in expenditures between FY16 and FY17 can not be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,607,234	494,913	111,006	0	0	0	0
Beginning Cash Balance	5,101,750	773,223	147,151	61,275	0	0	0
Revenues	84,468		0	0	0	0	0
Expenditures	4,912,995	373,956	68,875	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4858 3/12/14	1,500,000						
JS0683 8/8/13	(1,000,000)						
JS6222 5/6/15		(152,117)					
JS0550 7/31/14		(100,000)					
JS0376 7/29/15			(17,000)				
JS0165 7/21/16				(61,275)			
Net Total Transfers	500,000	(252,117)	(17,000)	(61,275)	0	0	0
Ending Cash Balance	773,223	147,151	61,275	0	0	0	0
Encumbrances	494,913	111,006		0	0	0	0
Unencumbered Cash Balance	278,310	36,145	61,275	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DOD
Prog ID(s): DEF 110
Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum
Phone: 733-4260
Fund type (MOF): P
Appropriation Acct. No.: S-14-217-G
(Hawaii Army National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues are collected in both FY16 and FY17. Expenditures are getting less in FY17 because the majority of contracts and agreements have been executed and paid before FY16. Thus, there are variances in expenditures between FY16 and FY17.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	31,646,617	17,489,385	10,853,331	10,350,430	10,349,095	0	0
Beginning Cash Balance	0	5,507,858	1,377,990	545,089	158,610	0	0
Revenues	19,906,979	192,967	0	0	0	0	0
Expenditures	14,224,765	6,636,054	502,901	1,335	156,610	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4365 2/14/14	4,944						
JS4858 3/12/14	(1,500,000)						
JS0683 8/8/13	1,000,000						
JS0839 8/19/13	500,000						
JS3166 11/30/13	(179,300)						
JS6222 5/6/15		(1,686,781)					
JS0887 8/19/14		(1,000,000)					
JS2757 11/14/14		5,000,000					
JS0376 7/29/15			(330,000)				
JS0165 7/21/16				(385,144)			
JS0250 7/26/17					(2,000)		
Net Total Transfers	(174,356)	2,313,219	(330,000)	(385,144)	(2,000)	0	0
Ending Cash Balance	5,507,858	1,377,990	545,089	158,610	0	0	0
Encumbrances	7,811,028	1,040,991	157,945	156,610	0	0	0
Unencumbered Cash Balance	(2,303,170)	336,999	387,144	2,000	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures The majority of revenues & expenditures were collected and paid in FY15. Contracts and agreements were signed in FY15 to allow Hawaii Army Guards to build and maintain the facilities. DOD continued to bill NGB for projects completed afterward, but less activities take place after FY15. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		36,389,000	22,365,398	18,151,749	17,970,136	17,969,801	0
Beginning Cash Balance		0	5,302,389	1,958,267	437,397	185,162	0
Revenues		21,278,962	1,569,527	0	0	0	0
Expenditures		14,249,754	4,213,648	181,613	335	185,162	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6222 5/6/15		1,758,610					
JS0550 7/31/14		514,571					
JS0887 8/19/14		1,000,000					
JS2757 11/14/14		(5,000,000)					
JS0376 7/29/15			(700,000)				
JS0165 7/21/16				(1,339,257)			
JS0250 7/26/17					(251,900)		
Net Total Transfers	0	(1,726,819)	(700,000)	(1,339,257)	(251,900)	0	0
Ending Cash Balance	0	5,302,389	1,958,267	437,397	185,162	0	0
Encumbrances		4,917,409	528,042	185,479	185,162	0	0
Unencumbered Cash Balance	0	384,979	1,430,225	251,917	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-217-G
 (Hawaii Army National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures The revenues and expenditures approved by MCA in FY16 are more than double the revenues and expenditures in FY17. In general, the Federal government released the advanced payments through MCA programs within the first 2 fiscal years and the majority of the advanced payments are released in the 1st fiscal year. Therefore, there are variances in revenues & expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			28,500,000	15,966,432	9,447,920	9,092,952	8,687,161
Beginning Cash Balance			0	3,357,259	2,216,066	1,211,598	660,775
Revenues			14,880,397	5,208,680	0	0	0
Expenditures			12,533,568	6,518,512	354,968	405,791	660,775
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4790 3/11/16			(168,638)				
JS6980 6/27/16			24,068				
JS0376 7/29/15			1,155,000				
JS0917 9/6/16				168,638			
JS0250 7/26/17					(649,500)		
JSXXXX						(145,032)	
Net Total Transfers	0	0	1,010,430	168,638	(649,500)	(145,032)	0
Ending Cash Balance	0	0	3,357,259	2,216,066	1,211,598	660,775	(0)
Encumbrances			8,202,553	1,576,867	816,092	660,775	0
Unencumbered Cash Balance	0	0	(4,845,295)	639,199	395,506	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No. S-17-217-G
(Hawaii Army National Guards)
CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, security guard, environmental management, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues or expenditures are in FY16. variances can't be calculated in revenues and in expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				30,000,000	17,948,628	14,379,627	11,579,627
Beginning Cash Balance				0	10,052,430	4,957,309	2,172,205
Revenues				20,229,029	473,880	14,896	0
Expenditures				12,051,372	3,569,001	2,800,000	1,350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0165 7/21/16				1,874,773			
JS0250 7/26/17					(2,000,000)		
Net Total Transfers	0	0	0	1,874,773	(2,000,000)	0	0
Ending Cash Balance	0	0	0	10,052,430	4,957,309	2,172,205	822,205
Encumbrances	0	0	0	9,554,946	4,972,205	2,038,400	0
Unencumbered Cash Balance	0	0	0	497,484	(14,896)	133,805	822,205
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Defense Appropriations Act 2009

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No variances because no revenues or expenditures were received or paid in both FY16 and FY17. The projects have been completed in FY15.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,423,276	1,993,551	1,947,637	0	0	0	0
Beginning Cash Balance	0	768,964	0	0	0	0	0
Revenues	1,722,686	284,960	0	0	0	0	0
Expenditures	1,448,778	45,914	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4365 2/14/14	(4,944)						
JS0231 7/19/13	500,000						
JS6291 5/7/15		(308,011)					
JS0551 7/31/14		(700,000)					
Net Total Transfers	495,056	(1,008,011)	0	0	0	0	0
Ending Cash Balance	768,964	0	0	0	0	0	0
Encumbrances	48,403	0	0	0	0	0	0
Unencumbered Cash Balance	720,561	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures The agreements were signed in FY15 for that year's projects. Less revenues and expenditures collected and paid after FY15. The variances in revenues and expenditures are very small between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		3,100,000	1,984,570	1,930,021	0	0	0
Beginning Cash Balance		0	688,604	2,516	0	0	0
Revenues		796,024	170,571	3,074	0	0	0
Expenditures		1,115,430	54,549	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0551 7/31/14		700,000					
JS6291 5/7/15		308,011					
JS3614 1/8/16			(200,000)				
JS5242 4/6/16			(102,110)				
JS0376 7/29/15			(500,000)				
JS0165 7/21/16				(2,516)			
JS3157 1/11/17				(3,074)			
Net Total Transfers	0	1,008,011	(802,110)	(5,590)	0	0	0
Ending Cash Balance	0	688,604	2,516	0	0	0	0
Encumbrances		56,150	0	0	0	0	0
Unencumbered Cash Balance	0	632,455	2,516	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures The contracts and agreements were signed in FY16 to allow Air Guards to build and maintain the facilities in that year. DOD continued to bill NGB for projects completed afterward, but less activities take place after FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			6,300,000	5,155,195	5,103,631	0	0
Beginning Cash Balance			0	235,340	87,196	0	0
Revenues			578,035	353,247	0	0	0
Expenditures			1,144,805	51,564		0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS3614 1/8/16			200,000				
JS5242 4/6/16			102,110				
JS0376 7/29/15			500,000				
JS3157 1/11/17				(4,000)			
JS0165 7/21/16				(155,827)			
JS0817 8/30/16				(290,000)			
JS0841 8/31/16				(35,000)			
JS0916 9/6/16				35,000			
					(87,196)		
Net Total Transfers	0	0	802,110	(449,827)	(87,196)	0	0
Ending Cash Balance	0	0	235,340	87,196	0	0	0
Encumbrances		0	56,763	0	0	0	0
Unencumbered Cash Balance	0	0	178,577	87,196	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-218-G
 (Hawaii Air National Guards)
 CFDA No. 12.401

Intended Purpose: The National Guard Bureau (NGB) enters into Military Cooperative Agreements with the State of Hawaii to provide support to the Air National Guard (ANG) for the Facilities Operations & Maintenance (FOMA) Activities. They include authorized facilities for leases, real property operations, real property maintenance and repair, environmental program management, hazardous waste management, natural resources actions, fire protection activities, natural and cultural resources management, and etc.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance, environmental management, fire protection activities, clerical support, and installation of telecommunication activities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				6,265,000	5,099,302	5,031,009	0
Beginning Cash Balance				0	601,980	260,927	(0)
Revenues				1,177,151	227,540	0	0
Expenditures				1,165,699	68,292		0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS3157 1/11/17				7,074			
JS3554 1/31/17				135,111			
JS0165 7/21/16				158,343			
JS0817 8/30/16				290,000			
JS0841 8/31/16				35,000			
JS0916 9/6/16				(35,000)			
JS0250 7/26/17					(500,300)		
XXXXXX						(260,927)	
Net Total Transfers	0	0	0	590,527	(500,300)	(260,927)	0
Ending Cash Balance	0	0	0	601,980	260,927	(0)	(0)
Encumbrances		0	0	114,652	45,405	0	0
Unencumbered Cash Balance	0	0	0	487,328	215,522	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 114
 Name of Fur: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-219-G
 (Youth Challenge Academy)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No variances because both FY16 & FY17 have no revenues or expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,584,387	732,043	573,410	0	0	0	0
Beginning Cash Balance	0	495,555	36,922	(0)	(0)	(0)	(0)
Revenues	4,587,899	0	0	0	0	0	0
Expenditures	4,852,344	158,634	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4405 2/19/14	440,000						
JS0548 7/31/13	200,000						
JS2482 10/29/13	120,000						
JS0312 7/24/14		(300,000)					
JS0617 8/10/15			(36,922)				
Net Total Transfers	760,000	(300,000)	(36,922)	0	0	0	0
Ending Cash Balance	495,555	36,922	(0)	(0)	(0)	(0)	(0)
Encumbrances	305,814	64,281	0	0	0	0	0
Unencumbered Cash Balance	189,741	(27,360)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-219-G
(Youth Challenge Academy)
CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures Agreement was signed and funding was released in FY15 for that year's trainings. In FY16, no revenues released except a small amount of outstanding payments for the expenditures incurred in FY15. In FY17, the grant closed out. The variances in revenues or expenditures between FY16 & FY17 can't be calculated because no revenues or expenditures incurred in FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		5,584,387	975,535	864,152	0	0	0
Beginning Cash Balance		0	222,760	(0)	(0)	(0)	(0)
Revenues		4,511,149	0	0	0	0	0
Expenditures		4,608,852	111,383	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0312 7/24/14 (DOD JV15-006)		300,000					
JS1028 8/26/14 (DOD JV15-041)		20,464					
JS4022 1/28/16			3,622				
JS0617 8/10/15			(100,000)				
JS3361 12/23/15			(7,500)				
JS3455 12/30/15			(7,500)				
Net Total Transfers		320,464	(111,378)	0	0	0	0
Ending Cash Balance		222,760	(0)	(0)	(0)	(0)	(0)
Encumbrances		226,469	386	386	386	0	0
Unencumbered Cash Balance	0	(3,709)	(386)	(386)	(386)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 114
 Name of Fun: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-219-G
 (Youth Challenge Academy)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard ChalleNGe Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures The revenues and expenditures approved in FY16 are much more than the revenues and expenditures in FY17. In this case, the Federal government released the advanced payments within the first 2 fiscal years. The majority of the advanced payments are available in the 1st fiscal year. Therefore, there are variances in revenues & expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			5,584,387	942,143	863,212	0	0
Beginning Cash Balance			0	146,235	7,305	(0)	(0)
Revenues			4,640,181	190,000	0	0	0
Expenditures			4,642,245	78,931	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4022 1/28/16			(3,622)				
JS0617 8/10/15			136,922				
JS3361 12/23/15			7,500				
JS3455 12/30/15			7,500				
JS0693 8/22/16				(250,000)			
JS0185 7/21/17					(7,305)		
Net Total Transfers		0	148,299	(250,000)	(7,305)	0	0
Ending Cash Balance		0	146,235	7,305	(0)	(0)	(0)
Encumbrances			134,242	0	0	0	0
Unencumbered Cash Balance	0	0	11,993	7,305	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Author: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-219-G
 (Youth Challenge Academy)
 CFDA No. 12.404

Intended Purpose: To use the National Guard to provide military-based training , include supervised work experience in community service and conservation projects, to civilian youth who cease to attend secondary school after graduating so as to improve the life skills and employment potential of such youth.

Source of Revenues: The agreement between the Secretary of Defense and the Governor of the State may provide for the Secretary to provide funds to the State for civilian personnel costs attributable to the use of civilian employees of the National Guard in the conduct of the National Guard Challenge Program.

Current Program Activities/Allowable Expenses: To account for those activities supported by Master Youth Cooperative Agreement to nurture "at Risk" 16-18 year old students in a military-based, residential environment.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				5,584,387	896,216	778,292	0
Beginning Cash Balance				0	691,524	115,935	0
Revenues				5,429,695	85,031	0	0
Expenditures				4,688,171	117,924	115,935	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6215 6/30/17				(300,000)			
JS0693 8/22/16				250,000			
JS0185 7/21/17					(342,695)		
JS0455 8/9/17					(150,000)		
JS0881 9/6/17					(50,000)		
Net Total Transfers		0	0	(50,000)	(542,695)	0	0
Ending Cash Balance		0	0	691,524	115,935	0	0
Encumbrances			0	262,388	115,935	0	0
Unencumbered Cash Balance	0	0	0	429,136	(0)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Robert T. Stafford Disaster Relief and Emergency Assistance Act,
 Public Law 93-288, as amended, 42 U.S.C. 5121-5206

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-223-G
10/30/2004 Floods - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No variances can be calculated in revenues or expenditures between FY16 and FY17 because There are no revenues or expenditures in FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	252,770	248,623	248,623	0	0	0	0
Beginning Cash Balance	0	12,558	12,558	99	99	0	0
Revenues	2,275	0	43,748	0	0	0	0
Expenditures	4,147	0	56,207	0	99	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS7023 6/24/14	14,429						
Net Total Transfers	14,429	0	0	0	0	0	0
Ending Cash Balance	12,558	12,558	99	99	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	12,558	12,558	99	99	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-226-G
 (Urban Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters for City & County of Honolulu.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: The grant was awarded in late October 2014. It takes time to plan and execute agreements, so there were no activities in FY15. All the revenues and expenditures were collected and paid in both FY16 and FY17. There are variances in revenues and expenditures between FY16 and FY17 because the majority revenues and expenditures were incurred in FY16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,000,000	431,805	0	0	0
Beginning Cash Balance			0	99,245	0	0	0
Revenues			667,440	332,560	0	0	0
Expenditures			568,195	431,805	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	99,245	0	0	0	0
Encumbrances			99,245	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 2015, Public Law 114-4

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No.: S-16-226-G
 (Urban Security Grant)
 CFDA No. 97.067

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters for City & County of Honolulu.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: Revenues & expenditures in FY17 are much higher than FY16's because the grant was awarded in September 2016. It takes time to plan and execute contracts & agreements, so the majority of revenues and expenditures were collected and paid to vendors in FY17. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,500,000	2,980,874	1,570,328	370,328	0
Beginning Cash Balance			0	0	0	0	0
Revenues			19,126	1,410,546	1,200,000	370,328	0
Expenditures			19,126	1,410,546	1,200,000	370,328	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	1,100,981	370,328	0	0
Unencumbered Cash Balance	0	0	0	(1,100,981)	(370,328)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-234-G
Civil Justice Corp Grant
CFDA No. 17.261

Intended Purpose: To help former youth offenders, ages 18-24, reconnect with their communities and find pathways to success through community service and education.

Source of Revenues: U.S. Dept . Of Labor, Employment Training Administration

Current Program Activities/Allowable Expenses: Civic Justice Corp Grant

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances in revenues or expenditures between FY16 and FY17

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	261,428	2,087	0	0	0	0	0
Beginning Cash Balance	0	2,112	25	25	0	0	0
Revenues	298,741	0	0	0	0	0	0
Expenditures	175,718	2,087	0	25	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4754 3/6/14	13,221						
JS1232 8/31/13	(83,598)						
JS6306 5/22/14	(50,534)						
Net Total Transfers	(120,911)	0	0	0	0	0	0
Ending Cash Balance	2,112	25	25	(0)	0	0	0
Encumbrances	2,087	0	0	0	0	0	0
Unencumbered Cash Balance	25	25	25	(0)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-269-G
 (Floods)

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: There are variances in expenditures between FY16 and FY17. But the difference is inmaterial.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	43,583	43,583	43,583	682	0	0	0
Beginning Cash Balance	0	0	44,265	44,265	43,583	(0)	(0)
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	682	43,583	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6601 5/26/15		44,265					
Net Total Transfers	0	44,265	0	0	0	0	0
Ending Cash Balance	0	44,265	44,265	43,583	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	44,265	44,265	43,583	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 114
 Name of Fund: Hawaii Natl Guard Youth Challenge Academy
 Legal Authority: ACT 32, U.S.C. 509; 10 U.S.C. 2193

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-282-G
 Hawaii Natl Guard Youth
 Challenge Academy
 CFDA No. 12.404

Intended Purpose: The National Guard Bureau (NGB) enters into Master Youth Cooperative Agreements with the State of Hawaii to provide support to the Youth Challenge Academy (YCA) for the Railing Replacement, Phase 1.

Source of Revenues: NGB is responsible for contributing funds for the support of the repairs and maintenance under the Master Youth Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Services for military facility repairs and maintenance.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No variances

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling			0	300,000	300,000	0	0
Beginning Cash Balance			0	0	300,000	300,000	0
Revenues			0	0	0	0	0
Expenditures			0	0	0	300,000	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6215 6/30/17				300,000			
Net Total Transfers	0	0	0	300,000	0	0	0
Ending Cash Balance	0	0	0	300,000	300,000	0	0
Encumbrances		0		300,000	300,000	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No. S-14-292-G
 March 2006 Flood - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances. The revenues in FY16 are quite small.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	218,352	218,352	218,352	0	0	0	0
Beginning Cash Balance	0	0	11,254	11,420	11,420	0	0
Revenues	0	0	166	0		0	0
Expenditures	0	0	0	0	11,420	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6602 5/26/15		11,254					
Net Total Transfers	0	11,254	0	0	0	0	0
Ending Cash Balance	0	11,254	11,420	11,420	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	11,254	11,420	11,420	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Earthquake Hazards Reduction Act of 1977,
Public Law 95-124, 42 U.S.C 7701

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-293-G
KIHOLO BAY EARTHQUAKE - PA

Intended Purpose: The purpose of this funding is to 1) deliver and increase awareness and education; 2) development policies, tools, and products; and 3) implementing programs or projects to support risk reduction and resilience activities

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: Purchase specialized equipment by responders to improve Hawaii's response capability to earthquake hazard.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: The majority of work in cleaning up and fixing damaged properties have been completed before FY17. The revenues collected and payments made have declined greatly since FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,395,368	4,730,307	2,260,041	4,333,120	4,326,850	4,137,850	4,111,850
Beginning Cash Balance	0	240,326	1,047,179	168,923	173,982	134,982	206,982
Revenues	1,842,282	3,262,727	548,664	11,329	150,000	98,000	6,982
Expenditures	1,665,578	2,470,266	1,426,921	6,270	189,000	26,000	15,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4972 3/19/14	6						
JS2065 10/11/13	63,616						
JS3920 1/13/15		14,392					
Net Total Transfers	63,622	14,392	0	0	0	0	0
Ending Cash Balance	240,326	1,047,179	168,923	173,982	134,982	206,982	198,964
Encumbrances	460,825	91,147	145,281	309,534	169,312	165,000	198,964
Unencumbered Cash Balance	(220,499)	956,033	23,642	(135,552)	(34,330)	41,982	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-294-G
STORM/SURF/FLOOD/MUD
12/4-7/2007 - PA

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: There are variances in revenues and expenditures between FY16 and FY17. The grant has been opened for 10 years. FY16 is the only year it has activities. Currently, it has cash balance for \$639.00 which needs to be removed.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	635,530	635,530	635,530	0	0	0	0
Beginning Cash Balance	0	0	0	639	639	0	0
Revenues	0	0	394,774	0	0	0	0
Expenditures	0	0	394,135	0	639	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	639	639	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	639	639	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-295-G

SEVERE STORM/FLOOD
DEC 10-16, 2008 - PA

Lapsed on 6/30/2016 - replaced by S-17-295

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	359,949	359,949	338,733	115,202	0	0	0
Beginning Cash Balance	0	0	95,642	95,642	0	0	0
Revenues	0	100,183	0	0	0	0	0
Expenditures	0	21,216	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5391 3/27/15		16,675					
JS6096 6/30/17				(95,642)			
Net Total Transfers	0	16,675	0	(95,642)	0	0	0
Ending Cash Balance	0	95,642	95,642	0	0	0	0
Encumbrances	0	115,202	115,202	0	0	0	0
Unencumbered Cash Balance	0	(19,559)	(19,559)	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-295-G
SEVERE STORM/FLOOD
DEC 10-16, 2008 - PA
S-17-295-G replaced S-14-295-G,
lapsed on 6/30/16

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	220,000	220,000	220,000	220,000
Beginning Cash Balance	0	0	0	0	115,201	115,201	115,201
Revenues	0	0	0	19,559	0	0	0
Expenditures	0	0	0	0	0	0	103,840
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6096 6/30/17				95,642			
XXXXXX							(11,361)
Net Total Transfers	0	0	0	95,642	0	0	(11,361)
Ending Cash Balance	0	0	0	115,201	115,201	115,201	0
Encumbrances	0	0	0	0	103,840	103,840	0
Unencumbered Cash Balance	0	0	0	115,201	11,361	11,361	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-296-G

MARCH 11, 2011 TSUNAMI WAVES-PA

Lapsed on 6/30/2016 - replaced by S-17-296

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: It takes time to estimate the damaged areas and receive assistance from FEMA. The records show that more revenues received and more payments paid out to diaster victims in FY17 than in FY16. It all depends on how fast FEMA approves the application or how fast the disaster victims respond and provide list of damaged properties or legal documents to allow FEMA to do the investigation. Every year varies. Due to the circumstrances, there are variances in both revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,902,743	4,845,950	4,827,789	915,295	0	0	0
Beginning Cash Balance	0	0	116,444	117,544	41,166	41,166	0
Revenues	41,404	148,996	195,455	692,670	0	0	0
Expenditures	56,793	18,160	194,355	735,000	0	41,166	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS3590 12/31/13	15,389						
JS3920 1/13/15		(14,392)					
JS4500 4/5/17				(22,000)			
JS4835 4/26/17				(12,048)			
Net Total Transfers	15,389	(14,392)	0	(34,048)	0	0	0
Ending Cash Balance	0	116,444	117,544	41,166	41,166	0	0
Encumbrances	0	116,621	915,295	0	0	0	0
Unencumbered Cash Balance	0	(177)	(797,751)	41,166	41,166	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DOD
Prog ID(s): DEF 110
Name of Fund: Amelioration of Physical Disasters
Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
Phone: 733-4260
Fund type (MOF): P
Appropriation Acct. No.: S-17-296-G

MARCH 11, 2011 TSUNAMI WAVES-PA
S-17-296-G replaced S-14-296-G,
lapsed on 6/30/16

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling				5,400,000	5,365,952	5,365,952	0
Beginning Cash Balance				0	0	0	0
Revenues					0	22,892	0
Expenditures				34,048	0	22,892	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4500 4/5/17				22,000			
JS4835 4/26/17				12,048			
Net Total Transfers	0	0	0	34,048	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	22,892	0	0
Unencumbered Cash Balance	0	0	0	0	(22,892)	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-297-G
SEVERE STORM/FLOOD MAR 3-11,
2012 - PA

Lapsed on 6/30/2016 - replaced by S-17-297

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: The grant lapsed in FY16. There were no expenditures in FY17. We can see the residual fundings were transferred to S-17-297. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,720,253	2,340,588	2,317,050	0	0	0	0
Beginning Cash Balance	0	0	31,826	32,052	0	0	0
Revenues	379,665	26,122	11,167	60,949	0	0	0
Expenditures	379,665	23,538	10,940	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6604 5/26/15		29,242					
JM3343 12/31/16				(26,500)			
JS6097 6/30/17				(66,501)			
Net Total Transfers	0	29,242	0	(93,001)	0	0	0
Ending Cash Balance	0	31,826	32,052	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	31,826	32,052	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-297-G

SEVERE STORM/FLOOD MAR 3-11,
 2012 - PA
 S-17-297-G replaced S-14-297-G,
 lapsed on 6/30/16

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				2,500,000	2,473,500	2,473,500	2,473,500
Beginning Cash Balance					66,501	66,501	66,501
Revenues					0	0	0
Expenditures				26,500	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JM3343 12/31/16				26,500			
JS6097 6/30/17				66,501			
XXXXXX							(66,501)
Net Total Transfers	0	0	0	93,001	0	0	(66,501)
Ending Cash Balance	0	0	0	66,501	66,501	66,501	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	66,501	66,501	66,501	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - DOD TANF FUNDS
 Legal Authority: A158 / SL08

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): U
 Appropriation Acct. No. S-09-315-G
 HDS - DOD TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	2,921	2,921	2,921	2,921	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	2,921	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,921	2,921	2,921	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2,921	2,921	2,921	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - DOD TANF FUNDS
 Legal Authority: A162 / SL09

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): U
 Appropriation Acct. No. S-10-315-G
 HDS - DOD TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,025	1,025	1,025	1,025	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	1,025	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,025	1,025	1,025	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,025	1,025	1,025	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - DOD TANF FUNDS
 Legal Authority: A180 / SL10

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): U
 Appropriation Acct. No.: S-11-315-G
 HDS - DOD TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0		0	0
Beginning Cash Balance	331,586	331,586	331,586	303,980	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	303,980	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6895 6/22/16			(27,606)				
Net Total Transfers	0	0	(27,606)	0	0	0	0
Ending Cash Balance	331,586	331,586	303,980	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	331,586	331,586	303,980	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - DOD TANF FUNDS
 Legal Authority: A164 / SL11

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): U
 Appropriation Acct. No. S-12-315-G
 HDS - DOD TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	132,775	132,775	132,775	132,775	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	132,775	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	132,775	132,775	132,775	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	132,775	132,775	132,775	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - DOD TANF FUNDS
 Legal Authority: A106 / SL12

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): U
 Appropriation Acct. No. S-13-315-G
 HDS - DOD TANF Funds

Intended Purpose: To provide afterschool positive youth development programs that are designed to help at-risk and not-at-risk youth, ages 10-19, statewide, focus on alternative activities, family strengthening, prevention & reduction of teen pregnancies/drug use.

Source of Revenues: Federal funds from Temporary Assistance to Needy Families (TANF)

Current Program Activities/Allowable Expenses: After-School and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai, Hawaii, Oahu, and Maui.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	18,451	18,451	18,451	18,451	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	18,451	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	18,451	18,451	18,451	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	18,451	18,451	18,451	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DEF
 Prog ID(s): DEF 110
 Name of Fund: DHS(BESSD) - DOD TANF FUNDS
 Legal Authority: A180 / SL10

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): S
 Appropriation Acct. No. S-11-321-G
 TNF State Program

Intended Purpose:

Source of Revenues: Federal funds from Workforce Investment Act (WIA)

Current Program Activities/Allowable Expenses: After-school and year-round customized program designed to meet the youth elements of targeted groups of youth on Kauai.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: Variance can't be calculated due to zero expenditures in FY16.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	71,277	0	0	0
Beginning Cash Balance	71,277	71,277	71,277	71,277	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	71,277	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	71,277	71,277	71,277	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	71,277	71,277	71,277	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DOD
Prog ID(s): DEF 110
Name of Fund: State & Local Implementation Grant
Legal Author: Act of 2012, Public Law 112-96

Contact Name: Candy Lum
Phone: 733-4260
Fund type (MOF): P
Appropriation Acct. No.: S-14-500-G
State & Local Implementation
CFDA No. 11.549

Lapsed on 6/30/16 replaced by S-17-500

Intended Purpose: To provide resources to assist regional, state, local, and tribal government entities as they plan for the nationwide public safety broadband network (PSBN) being developed by the First Responder Network Authority (FirstNet).

Source of Revenues: Middle Class Tax Relief and Job Creation Act of 2012 (Act).

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to coordinate and collaborate with stakeholders to identify needs, gaps, and priorities for public safety wireless broadband.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: The grant lapsed in FY16. The majority of drawdowns (revenues) and payments were completed in the years before FY17. The drawdowns and payments done in FY17 were very small. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	4,000,000	3,975,145	3,657,380	121,943	0	0	0
Beginning Cash Balance	0	87	2,228	11,571	0	0	0
Revenues	24,942	319,907	300,433	26,324	0	0	0
Expenditures	24,855	317,766	291,090	22,486	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0707 8/23/16				(5,973)			
JS1173 9/20/16				(1,604)			
JS2375 11/22/16				(7,831)			
Net Total Transfers				(15,409)	0	0	0
Ending Cash Balance	87	2,228	11,571	0	0	0	0
Encumbrances	160,000	147,152	121,943	0	0	0	0
Unencumbered Cash Balance	(159,913)	(144,924)	(110,372)	0	0	0	0
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: State & Local Implementation Grant
 Legal Author: Act of 2012, Public Law 112-96

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-500-G
State & Local Implementation
CFDA No. 11.549
S-17-500-G replaced S-14-500-G,
lapsed on 6/30/16

Intended Purpose: To provide resources to assist regional, state, local, and tribal government entities as they plan for the nationwide public safety broadband network (PSBN) being developed by the First Responder Network Authority (FirstNet).

Source of Revenues: Middle Class Tax Relief and Job Creation Act of 2012 (Act).

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to coordinate and collaborate with stakeholders to identify needs, gaps, and priorities for public safety wireless broadband.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				334,119	315,599	64,601	0
Beginning Cash Balance				0	11,608	55,905	(0)
Revenues				16,323	295,295	8,696	0
Expenditures				18,520	250,998	64,601	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0707 8/23/16				5,973			
JS2375 11/22/16				7,831			
Net Total Transfers				13,804	0	0	0
Ending Cash Balance	0	0	0	11,608	55,905	(0)	(0)
Encumbrances	0	0	0	133,443	64,601	0	0
Unencumbered Cash Balance	0	0	0	(121,835)	(8,696)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: Regional Cat. Preparedness Grant
 Legal Author: Act, 2009, Public Law 110-329

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-501-G
Regional Catastrophic
Preparedness Program
CFDA No. 97.111

Intended Purpose: RCPGP is intended to support co-ordination of regional, all hazard planning for catastrophic events, including the development of all necessary integrated planning communities, plans, protocols, and procedures.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: To support an integrated planning system that provides all-hazard planning for catastrophic event.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: The grant closed in FY15. No variances because no revenues or expenditures in both FY16 or FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,369,950	1,668,241	634,537	0	0	0	0
Beginning Cash Balance	0	12,000	(0)	(0)	0	0	0
Revenues	788,709	1,021,490	0	0	0	0	0
Expenditures	776,709	1,033,704	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS6860 6/9/15		(685)					
JS1623 9/22/14		899					
Net Total Transfers	0	214	0	0	0	0	0
Ending Cash Balance	12,000	(0)	(0)	(0)	0	0	0
Encumbrances	694,855	0	0	0	0	0	0
Unencumbered Cash Balance	(682,855)	(0)	(0)	(0)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Amelioration of Physical Disasters
 Legal Author: Public Law 93-288, 42 U.S.C 5121

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-502-G

For Homeland Security Grants in FY13
 CFDA No. 97.067

S-502-G was assigned to Homeland Security Grants in FY13 & FY14. Then it was assigned to MCCA in FY15. But MCCA accountant did not like the appropriation number and requested a new appropriation number, S-15-504-G to replace it. S-502-G has not been used since FY2015

Intended Purpose: To build and sustain core capabilities across the Prevention, Protection, Mitigation, Response, and Recovery mission areas from terrorist acts and other types of emergencies or disasters.

Source of Revenues: Department of Homeland Security Appropriations Act, YEAR - the appropriate is the current congressional year.

Current Program Activities/Allowable Expenses: Funds are available based on investments and projects available under the following four categories: equipment, planning, training, or exercises. Department of Homeland Security has published Authorized Equipment List that provides the guide for purchases. Purchases will include law enforcement, emergency medical, emergency management, hazmat, communications, mass care, health and medical, intelligence to name a few. Activities relate to 32 core capabilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: The appropriation has no activities since FY15. To close out the grant, cash balance needs to be removed by increasing the expenditures. In reality, there are no variances between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,957,519	16,882,319	16,882,319	124,897	0	0	0
Beginning Cash Balance	0	124,897	124,897	124,897	0	0	0
Revenues	125,097	0	0	0	0	0	0
Expenditures	200	0	0	124,897	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	124,897	124,897	124,897	(0)	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	124,897	124,897	124,897	(0)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Act of 1978, Section 202, 38 U.S.C 2408

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-14-503-G
 (Gravesite Restoration for Maui Cemetery)
 CFDA No. 64.203

Intended Purpose: To replace the grass, and to clean, readjust and realign the grave markers at the Maui Veterans Cemetery.

Source of Revenues: U.S. Department of Veterans Affairs' (VA) State Cemetery Grant Program

Current Program Activities/Allowable Expenses: Funds provide the state with the resources to renovate cemetery with new grassing and aligned grave markers. So Veterans, family members, and friends of departed veterans on Maui will be able to visit their loved ones.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: The grant closed in FY15. The revenues and expenditures in FY16 are the outstanding balance of contracts or agreements executed in FY15. The variances in revenues and expenditures between FY16 and FY17 can't be calculated since FY17 has no revenues or expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,170,000	1,112,771	269,831	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	57,229	842,939	192,570	0	0	0	0
Expenditures	57,229	842,939	192,570	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	881,780	79,887	0	0	0	0	0
Unencumbered Cash Balance	(881,780)	(79,887)	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Defense Appropriations Act 2009

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-504-G
Army National Guard MCCA
CFDA No. 12.400

Intended Purpose: The National Guard Bureau (NGB) enters into Military Construction Cooperative Agreements (MCCA) with the State of Hawaii to provide support to the Army National Guard (ARNG) for the construction of military facilities, real property improvements, design services and other projects authorized and directed by Congress or the Department of Defense.

Source of Revenues: NGB is responsible for contributing funds for the support of the operations under the Cooperative Agreements with the State of Hawaii.

Current Program Activities/Allowable Expenses: Army National Guard Military Constructions for Facilities

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: Due to federal funding issues, the facility renovation was put on hold. Revenues received in FY17 are used to pay for the contract excuted in FY16, FY17, and FY18. Overall, there are no variances because revenues are equal to expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	2,000,000	2,000,000	1,880,689	1,837,279	1,831,362	0
Beginning Cash Balance	0		0	49,327	5,917	0	0
Revenues	0	0	0	168,638	0	0	0
Expenditures	0	0	119,311	43,410	5,917	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4790 3/11/16			168,638				
JS0917 9/6/16				(168,638)			
Net Total Transfers			168,638	(168,638)	0	0	0
Ending Cash Balance	0	0	49,327	5,917	0	0	0
Encumbrances	0	168,638	49,327	5,917	0	0	0
Unencumbered Cash Balance	0	(168,638)	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-505-G
Tropical Storm Iselle -9/12/14
CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: After the disaster hit in FY15, the spending for fixing damaged properties has gone down tremendously year after year. In FY17, less revenues received and less expenditures incurred than FY16. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	6,000,000	4,631,356	4,561,608	4,539,646	4,534,646	4,531,646
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	1,368,644	69,748	21,962	5,000	3,000	1,500
Expenditures	0	1,368,644	69,748	21,962	5,000	3,000	1,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	1,355,778	1,337,513	1,318,050	1,313,050	1,310,050	1,308,550
Unencumbered Cash Balance	0	(1,355,778)	(1,337,513)	(1,318,050)	(1,313,050)	(1,310,050)	(1,308,550)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DOD
Prog ID(s): DEF 110
Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
Phone: 733-4260
Fund type (MOF): P
Appropriation Acct. No.: S-15-506-G
PUU O'O Lava Flow - 11/3/14
CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotration, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: After the disaster hit in FY15, the spending for fixing damaged properties has gone down tremendously year after year. The majority of payments were made in FY16. After that, the revenues and expenditures become very small. There are huge variances between FY16 and FY17.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	20,000,000	20,000,000	16,764,275	16,751,385	16,749,585	16,748,785
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	3,235,725	12,890	1,800	800	500
Expenditures	0	0	3,235,725	12,890	1,800	800	500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	3,235,725	3,235,725	3,233,925	3,233,125	3,232,625
Unencumbered Cash Balance	0	0	(3,235,725)	(3,235,725)	(3,233,925)	(3,233,125)	(3,232,625)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fun: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-15-507-G
 National Tsunami Hazard
 Mitigation-CFDA No. 11.467
 (8/29/14)

Intended Purpose: To conduct meteorological training, education, professional development, and research and development on issues common to the hydrometeorological community.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from the ocean performance of emergency protective measures; emergency transportation assistance; emergency communications; and restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: Revenues & expenditures in FY17 are much smaller than FY16's because the majority of revenues and expenditures were collected and paid to vendors in FY16. Because of this, there are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	538,760	135,714	0	0	0
Beginning Cash Balance	0	0	40,931	5,099	1,334	(0)	(0)
Revenues	0	40,931	377,656	24,806	0	0	0
Expenditures	0	0	403,046	28,571	1,334	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS5271 4/7/16			(10,443)				
Net Total Transfers			(10,443)	0	0	0	0
Ending Cash Balance	0	40,931	5,099	1,334	(0)	(0)	(0)
Encumbrances	0	0	28,571	0	0	0	0
Unencumbered Cash Balance	0	40,931	(23,472)	1,334	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: AMELIORATION OF PHYSICAL DISASTERS
 Legal Author: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-16-509-G
 Hawaii County Multi-Hazard
 Mitigation Plan-CFDA No. 97.047

Intended Purpose: To provide funding support for pre-disaster mitigation planning and projects primarily addressing natural hazards. This program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Administration of FEMA approved disaster

Current Program Activities/Allowable Expenses: Hazard mitigation planning activities must primarily focus on natural hazards but may also address hazards caused by manmade events. Funding is restricted to a maximum of \$400,000 Federal share for a new mitigation plan. A maximum of \$150,000 for an existing local single jurisdiction plan.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: Both revenues and expenditures in FY16 are much higher than FY17. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	66,781	6,030	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	60,750	45	0	0	0
Expenditures	0	0	60,750	45	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: EDA - BUSINESS RECOVERY CENTER
 Legal Authority: Section 209 of the Public Works and Economic Development Act of 1965, as amended (PWEDA), (42 U.S.C. 3149)

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-510-G
 Economic Adjustment Assistance
 CFDA #11.307

Intended Purpose: To provide a wide range of technical, planning and public works and infrastructure assistance in regions experiencing adverse economic changes that may occur suddenly or over time. This program is designed to respond flexibly to pressing economic recovery issues and is well suited to help address challenges faced by U.S. communities and regions.

Source of Revenues: Economic Development Administration, Department of Commerce

Current Program Activities/Allowable Expenses: The activities include Collaborative Regional Innovation, Public/Private Partnerships, National Strategic Priorities, Global Competitiveness, Environmentally-Sustainable Development, Economically Distressed and Underserved Communities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No variances because both FY16 & FY17 have no revenues or expenditures.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling				1,312,000	1,312,000	677,000	81,000
Beginning Cash Balance				0	0	115,000	81,000
Revenues				0	750,000	562,000	0
Expenditures				0	635,000	596,000	81,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	115,000	81,000	0
Encumbrances	0	0	0	689,506	581,302	23,495	0
Unencumbered Cash Balance	0	0	0	(689,506)	(466,302)	57,505	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fur: Band 14 Incumbent Spectrum Relocation Grant Program
 Legal Author: Under section 6206 of the Act

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No. S-17-512-G
Ban14 Incumbent Spectrum
Relocation Grant Program
CFDA No. 11.014

Intended Purpose: The grant is intended to assist public safety entities that are currently operating on Band 14 to relocate their communications operations to other frequencies allocated by the Federal Communications Commission, and provide the unencumbered spectrum that is necessary for the NPSBN.

Source of Revenues: Middle Class Tax Relief and Job Creation Act of 2012.

Current Program Activities/Allowable Expenses: To govern framework for the development, deployment and operation of the NPSBN created the First Responder Network Authority (FirstNet). Allowable expenses include personnel costs, fringe benefit costs, mileage and per diem costs, costs to develop and modify their Band 14 relocation project plans, consultation/contractual costs, standards-based interoperable communication equipment that will facilitate the transition from the Band 14 spectrum to alternative radio spectrum allocated by the FCC, and ancillary equipment used to facilitate the relocation and confirm capabilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: No variances. Both FY16 and FY17 have no revenues or expenditures.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling				44,425	44,425	0	0
Beginning Cash Balance				0	0	0	0
Revenues				0	44,425	0	0
Expenditures				0	44,425	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	44,425	0	0	0
Unencumbered Cash Balance	0	0	0	(44,425)	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: AMELIORATION OF PHYSICAL DISASTERS
 Legal Authority: Public Law 93-288, 42 U.S.C. 5133

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): N
 Appropriation Acct. No. S-17-513-G
 (Pre-Disaster Mitigation)
 CFDA #97.047

Intended Purpose: To provide funding support to states, Indian tribal governments, territories, and communities for pre-disaster mitigation planning and projects primarily addressing natural hazards.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program promotes implementation of activities designed to reduce injuries, loss of life, and damage and destruction to property from natural hazards.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures No variances because both FY16 & FY17 have no revenues or expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				369,075	369,075	182,575	56,075
Beginning Cash Balance				0	0	7,575	56,075
Revenues				0	194,075	175,000	0
Expenditures				0	186,500	126,500	56,075
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	7,575	56,075	0
Encumbrances	0	0	0	0	149,995	23,495	0
Unencumbered Cash Balance	0	0	0	0	(142,420)	32,580	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: DISASTER PROJECTS - CIVIL DEFENSE
 Legal Authority: Public Law 93-288, 42 U.S.C. 5121 -5206

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): P
 Appropriation Acct. No.: S-17-580-G
 Disaster Grants - Public Assistance
 (Presidentially Declared Disasters)
 CFDA No. 97.036

Intended Purpose: To assist State and local governments in responding to and recovering from the devastating effects of disasters by providing assistance for debris removal, emergency protective measures and the repair, resotation, reconstruction or replacement of public facilities or infrastructure damaged or destroyed.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: State & Local Assistance Program

Current Program Activities/Allowable Expenses: The program activities may include removal of wreckage and debris from private and public lands; performance of emergency protective measures; emergency transportation assistance; emergency communications; and permanent restoration of eligible facilities.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated. (No Presidentialy Declared Disasters in Hawaii in FY17 and part of FY18)

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0			8,000,000	7,993,961	7,993,961	7,993,961
Beginning Cash Balance	0			0	0	0	0
Revenues	0			6,039	0	0	0
Expenditures	0			6,039	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers				0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-13-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	279,163	1,306	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0182 8/27/13	(277,857)						
JT0149 8/22/14		(1,306)					
Net Total Transfers	(277,857)	(1,306)	0	0	0	0	0
Ending Cash Balance	1,306	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
		0					
Unencumbered Cash Balance	1,306	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-14-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	338,926	0	0	0	0	0
Revenues	94,227	0	0	0	0	0	0
Expenditures	33,700	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0523 12/31/13	(70)						
JT0778 3/31/14	(480)						
JT1159 6/30/14	(1,166)						
JT0182 8/27/13	280,435						
JT0361 10/30/13	(220)						
JT0430 11/30/13	(65)						
JT0454 12/13/13	65						
JT0454 12/13/13	(50)						
JT0503 12/30/13	(50)						
JT0149 8/22/14		(338,926)					
Net Total Transfers	278,399	(338,926)	0	0	0	0	0
Ending Cash Balance	338,926	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	338,926	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-15-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances. There are expenditures in FY16, but the amount is very small.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	915,972	0	0	0	0
Revenues	0	633,671	0	0	0	0	0
Expenditures	0	56,712	528	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0512 12/31/14		(300)					
JT0736 3/25/15		(314)					
JT1118 6/30/15		(300)					
JT0149 8/22/14		340,232					
JT0274 9/30/14		(305)					
JT0104 8/5/15			(915,444)				
Net Total Transfers	0	339,012	(915,444)	0	0	0	0
Ending Cash Balance	0	915,972	0	0	0	0	0
Encumbrances	0	2,457	0	0	0	0	0
Unencumbered Cash Balance	0	913,515	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-16-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: Trust Fund account closes at the end of each fiscal year and the balance of its cash is transferred to the next fiscal year to be used for business operations. In FY16, the trust fund account has more revenues and expenditures comparing to FY17 because the majority of revenues and expenditures are processed in the current fiscal year. Only the revenues received and expenditures incurred around the end of 6/30 are processed in the beginnig of the following fiscal year. There are variances in revenues and expenditures between FY16 & FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	978,474	0	0	0
Revenues	0	0	115,173	3,805	0	0	0
Expenditures	0	0	50,835	3,314	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0656 4/13/2016			(360)				
JT0854 6/27/2016			(320)				
JT0104 8/5/2015			915,444				
JT0219 9/25/2015			(329)				
JT0421 12/22/2015			(300)				
JT1211 5/9/17				(3,805)			
JT0067 7/20/2016				(100,000)			
JT0115 7/29/2016				(875,160)			
Net Total Transfers	0	0	914,135	(978,965)	0	0	0
Ending Cash Balance	0	0	978,474	0	0	0	0
Encumbrances	0	2,457	4,468	0	0	0	0
Unencumbered Cash Balance	0	(2,457)	974,006	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Security Deposits - Use Permits
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-17-901-G

Intended Purpose: Offset expenses related to the use of facilities (staff, utilities) and payment to OHA for its share

Source of Revenues: Revenue for use of facilities (gym, parking, billeting and filming)

Current Program Activities/Allowable Expenses: Maintain the facilities and parking structures.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	1,091,266	0	0
Revenues	0	0	0	162,276	0	0	0
Expenditures	0	0	0	47,280	4,273	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				3,805			
				(420)			
JT1238 5/12/17				(440)			
JT1239 5/12/2017				100,000			
JT0067 7/20/2016				875,160			
JT0115 7/29/2016				(1,834)			
JT0375 10/12/16					(1,086,993)		
JT0098 7/31/17							
Net Total Transfers	0	0	0	976,270	(1,086,993)	0	0
Ending Cash Balance	0	0	0	1,091,266	0	0	0
Encumbrances	0	0	0	4,273	0	0	0
Unencumbered Cash Balance	0	0	0	1,086,993	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Hawaii State Veterans Trust Fund
 Legal Authority: S363-2HRS

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No.: T-14-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	16,359	0	0	0	0	0
Revenues	459	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0182 8/27/13	15,900						
JT0149 8/22/14		(16,359)					
Net Total Transfers	15,900	(16,359)	0	0	0	0	0
Ending Cash Balance	16,359	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	16,359	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Hawaii State Veterans Trust Fund
 Legal Authority: S363-2HRS

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No.: T-15-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	16,429	0	0	0	0
Revenues	0	70	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0149 8/22/14		16,359					
JT0104 8/5/15			(16,429)				
Net Total Transfers	0	16,359	(16,429)	0	0	0	0
Ending Cash Balance	0	16,429	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	16,429	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Hawaii State Veterans Trust Fund
 Legal Authority: S363-2HRS

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No.: T-16-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	16,429	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0104 8/5/15			16,429				
JT0115 7/29/16				(16,429)			
Net Total Transfers	0	0	16,429	(16,429)	0	0	0
Ending Cash Balance	0	0	16,429	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	16,429	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Hawaii State Veterans Trust Fund
 Legal Authority: S363-2HRS

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No.: T-17-902-G

Intended Purpose: For the use of the upkeep and beautification of the Hawaii State Veterans Cemetery

Source of Revenues: Donations from family and friends of the deceased veteran

Current Program Activities/Allowable Expenses: Maintenance of the Hawaii State Veterans Cemetery

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	16,429	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0115 7/29/16				16,429			
JT0098 7/31/17					(16,429)		
Net Total Transfers	0	0	0	16,429	(16,429)	0	0
Ending Cash Balance	0	0	0	16,429	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	16,429	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No.: T-14-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	1,090,475	0	0	0	0	0
Revenues	128,279	0	0	0	0	0	0
Expenditures	31,794	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0182 8/27/13	993,989						
JT0149 8/22/14		(1,090,475)					
Net Total Transfers	993,989	(1,090,475)	0	0	0	0	0
Ending Cash Balance	1,090,475	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,090,475	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-15-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: Number of employees hired, retired or transferred in or out from other units are factors for changes in revenues and expenditures. In FY16, more revenues were transferred in and more expenditures were transferred out comparing to FY17. That means that in FY16, more employees transferred in from our units or divisions and more employees quite, retired, or transferred out. In FY17, it's opposite. No employees transferred in or out from other units. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	1,110,406	0	0	0	0
Revenues	0	20,973	0	0	0	0	0
Expenditures	0	3,076	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0654 2/19/15		2,033.87					
JT0149 8/22/14		1,090,475					
JT0104 8/5/15			(1,110,406)				
Net Total Transfers	0	1,092,509	(1,110,406)	0	0	0	0
Ending Cash Balance	0	1,110,406	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	1,110,406	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-16-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: Number of employees hired, retired or transferred in or out from other units are factors for changes in revenues and expenditures. In FY16, more revenues were transferred in and more expenditures were transferred out comparing to FY17. That means that in FY16, more employees transferred in from our units or divisions and more employees quite, retired, or transferred out. In FY17, it's opposite. No employees transferred in or out from other units. There are variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	1,114,842	0	0	0
Revenues	0	0	10,310	0	0	0	0
Expenditures	0	0	5,874	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0104 8/5/15			1,110,406				
JT0115 7/29/16				(1,114,842)			
JT0098 7/31/17					0		
						0	
							0
Net Total Transfers	0	0	1,110,406	(1,114,842)	0	0	0
Ending Cash Balance	0	0	1,114,842	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	1,114,842	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Accumulated Vacation and Sick Leaves
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No.: T-17-903-G

Intended Purpose: Vacation and Sick Leave Payout for Federally-funded employees

Source of Revenues: Accumulation of Vacation and Sick Leave for Federally-funded Employees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No revenues or expenditures in FY16. Variances between FY16 & FY17 can't be calculated.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	1,048,289	0	0
Revenues	0	0	0	2,338	0	0	0
Expenditures	0	0	0	68,891	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0115 7/29/16				1,114,842			
JT0098 7/31/17					(1,048,289)		
Net Total Transfers	0	0	0	1,114,842	(1,048,289)	0	0
Ending Cash Balance	0	0	0	1,048,289	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	1,048,289	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: ASSET FORFEITURE TRUST ACCOUNT
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No.: T-16-905-G

Intended Purpose: An educational program of the Hawaii National Guard is used to educate and encourage youth to be drug free.

Source of Revenues: United States Health and Human Services provide the funding to Hawaii State Department of Health

Current Program Activities/Allowable Expenses: To set up at events to promote a drug free lifestyle, to engage customers in discussions about drug use and its dangers. There are brochures and educational training available in schools and youth service centers statewide.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: There are variances in expenditures between FY16 and FY17, but it's immaterial

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	122,953	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	2,657	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0104 8/5/15			125,610				
JT0115 7/29/16				(122,953)			
					-		
						-	
Net Total Transfers	-	-	125,610	(122,953)	-	-	-
Ending Cash Balance	-	-	122,953	-	-	-	-
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	-	-	122,953	-	-	-	-

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: ASSET FORFEITURE TRUST ACCOUNT
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-17-905-G

Intended Purpose: An educational program of the Hawaii National Guard is used to educate and encourage youth to be drug free.

Source of Revenues: United States Health and Human Services provide the funding to Hawaii State Department of Health

Current Program Activities/Allowable Expenses: To set up at events to promote a drug free lifestyle, to engage customers in discussions about drug use and its dangers. There are brochures and educational training available in schools and youth service centers statewide.

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	122,953	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0115 7/29/16				122,953			
JT0098 7/31/17					(122,953)		
Net Total Transfers	-	-	-	122,953	(122,953)	-	-
Ending Cash Balance	-	-	-	122,953	-	-	-
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	-	-	-	122,953	-	-	-

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: Tsunami & Hurricane Preparedness Efforts
 Legal Authority: ACT 5, SLH 2005

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF) T
 Appropriation Acct. No. T-06-906-G
Tsunami & Hurricane Preparedness Efforts

Intended Purpose: Install & Maintain new siren warning systems, update tsunami evacuation maps, construct additional emergency shelter space and retrofit existing public buildings to serve as emergency shelters, develop statewide residential safe room design standards, provide 24 hour watch capability in the Emergency Operation Center.

Source of Revenues: Interest on the Hawaii Hurricane Reserve Trust Fund Principal

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: No variances in revenues and expenditures between FY16 and FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,181	160,782	127,554	70,190	70,190	(0)	-
Beginning Cash Balance	284,672	195,273	162,045	162,045	162,045	91,855	-
Revenues	-	-	-	-	-	-	-
Expenditures	89,399	33,228	-	-	70,190	91,855	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	195,273	162,045	162,045	162,045	91,855	0	-
Encumbrances	160,782	127,554	70,190	70,190	-		
Unencumbered Cash Balance	34,491	34,491	91,856	91,856	91,855	0	-

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: OHA Ceded Lands Proceeds
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-14-907-G to T-17-907-G

Intended Purpose: Payout to OHA for their share of the use of DOD facilities

Source of Revenues: OHA share for OHA Ceded Lands Revenues for use of DOD facilities

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures:

Prior to FY18, parking rental income DOD collected was reported in T-901-G as revenues and 20% of the total parking rental income DOD paid to OHA was recorded as expenditures in T-901-G. The only transactions shown in T-907-G are 20% of the cash payments DOD paid to OHA. No variances in revenues can be calculated based on the setup. From FY18, T-907-G will be exclusively used to record both the parking rental income DOD collects and expenditures (that is the 20% of the parking rentals DOD collects and paid to OHA) in the same account. Then we can calculate the variances in revenues and expenditures.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	6,300	6,300	6,300
Expenditures	2,036	1,219	1,309	2,694	1,260	1,260	1,260
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0523 12/31/13	70						
JT0778 3/31/14	480						
JT1159 6/30/14	1,166						
JT0361 10/30/13	220						
JT0430 11/30/13	65						
JT0454 12/13/13	(65)						
JT0454 12/13/13	50						
JT0503 12/30/13	50						
JT0512 12/31/14		300					
JT0736 3/25/15		314					
JT1118 6/30/15		300					
JT0274 9/30/14		305					
JT0656 4/13/16			360				
JT0854 6/27/16			320				
JT0219 9/25/15			329				
JT0421 12/22/15			300				
JT1238 5/12/17				420			
JT1239 5/12/17				440			
JT0375 10/12/16				1834			
XXXXXX						5040	
XXXXXX							5040
Net Total Transfers	2,036	1,219	1,309	2,694	-	5,040	5,040
Ending Cash Balance	-	-	-	-	5,040	10,080	10,080
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	5,040	10,080	10,080

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: ACT 87, SLH 2010

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-14-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.
 Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance
 Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster
 Purpose of Proposed Ceiling Increase (if applicable): N/A
 Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	723,894	-	-	-	-	-
Revenues	218,466	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0182 8/27/13	505,427						
JT0149 8/22/14		(723,894)					
Net Total Transfers	505,427	(723,894)	-	-	-	-	-
Ending Cash Balance	723,894	-	-	-	-	-	-
Encumbrances	-			-	-	-	-
Unencumbered Cash Balance	723,894	-	-	-	-	-	-

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: ACT 87, SLH 2010

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No.: T-15-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.
 Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance
 Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster
 Purpose of Proposed Ceiling Increase (if applicable): N/A
 Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	320,018	-	-	-	-
Revenues	-	280,569	-	-	-	-	-
Expenditures	-	684,444	-	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0149 8/22/14		723,894					
JT0104 8/5/15			(320,018)				
Net Total Transfers	-	723,894	(320,018)	-	-	-	-
Ending Cash Balance	-	320,018	-	-	-	-	-
Encumbrances	-			-	-	-	-
Unencumbered Cash Balance	-	320,018	-	-	-	-	-

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: ACT 87, SLH 2010

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-16-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance

Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances can be calculated between FY16 and FY17 because no revenues received and expenditures incurred in FY17.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	366,993	-	-	-
Revenues	-	-	75,610	-	-	-	-
Expenditures	-	-	28,635	-	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0104 8/5/15			320,018				
JT0115 7/29/16				(366,993)			
Net Total Transfers	-	-	320,018	(366,993)	-	-	-
Ending Cash Balance	-	-	366,993	-	-	-	-
Encumbrances	-	-	-	-	-	-	-
Unencumbered Cash Balance	-	-	366,993	-	-	-	-

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: FEMA - MAJOR DISASTER TRUST ACCOUNT
 Legal Authority: ACT 87, SLH 2010

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-17-908-G

Intended Purpose: To allow federal reimbursement monies for disaster relief to be deposited into a trust account for disaster relief.

Source of Revenues: Federal Emergency Management Agency - Comprehensive Agreement: Disaster Assistance

Current Program Activities/Allowable Expenses: To provide for immediate relief in the event of an occurrence of a major disaster

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: No variances in revenues between FY16 and FY17. In expenditures, no variances can be calculated because FY2016 has no expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	-	341,764	(0)	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	25,229	385	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0115 7/29/16				366,993			
JT0098 7/31/17					(341,380)		
Net Total Transfers	-	-	-	366,993	(341,380)	-	-
Ending Cash Balance	-	-	-	341,764	(0)	-	-
Encumbrances	-			385	-	-	-
Unencumbered Cash Balance	-	-	-	341,380	(0)	-	-

Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: NAT'L GOVERNORS' ASSOCIATION GRANT
 Legal Authority: _____

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF) T
 Appropriation Acct. No. T-16-909-G

Intended Purpose: Through this policy academy, the National Governors Association Center for best practices, (NGA Center), in partnership with the Department of Homeland Security's Office of Emergency Communications (OEC), will assist states in development strategies for improving the interoperability of emergency communications.

Source of Revenues: The National Governors Association (NGA)

Current Program Activities/Allowable Expenses: Participate in scheduled conference calls with NGA center staff and other participants States
 Develop Grantee needs and Gap Analysis
 Attend opening policy academy meeting
 Convene in-state policy workshops
 Participate in closing policy academy meeting
 Submit state action plans and lessons learned / outcomes survey to the NGA Center

Purpose of Proposed Ceiling Increase (if applicable): N/A

Variances: FY16 and FY17 Revenues and Expenditures: Funding was received in FY16, but the payments were spread over 2 years. There are variances in expenditures between FY16 and FY17. In terms of revenues, no calculations can be done because no revenues received in FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	-	-	-	24,738	-	-	-
Revenues	-	-	63,500	-	-	-	-
Expenditures	-	-	38,762	24,738	-	-	-
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	-	-	-	-	-	-	-
Ending Cash Balance	-	-	24,738	-	-	-	-
Encumbrances	-		16,000	-	-	-	-
Unencumbered Cash Balance	-	-	8,738	-	-	-	-

Additional Information:							
Amount Req. for Bond Conveyance							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DOD
 Prog ID(s): DEF 110
 Name of Fund: JUVENILE ACCOUNTABILITY BLOCK GRANT
 Legal Authority:

Contact Name: Candy Lum
 Phone: 733-4260
 Fund type (MOF): T
 Appropriation Acct. No. T-12-911-G
 Juvenile Accountability Block Grant

Intended Purpose: The Juvenile Accountability Block Grants (JABG) program is administered by the State Relations and Assistance Division of the Office of Juvenile Justice and Delinquency Prevention (OJJDP), Office of Justice Programs, U.S. Department of Justice. The goal of this program is to provide state & units of local government with means to implement state-of-the-art accountability-based programs.

Source of Revenues: Funding amounts for tribes or local government are based on a formula that takes into account local criminal justice expenditures and the level of violent crime. The JABG Technical Support Center provides comprehensive assistance to states in calculating JABG allocations to local jurisdictions.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY16 and FY17 Revenues and Expenditures: No variances

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	-	-	-	-	-	-	-
Beginning Cash Balance	46,066	46,066	46,066	46,066	46,066	-	-
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JT0231 9/6/17					(46,066)		
Net Total Transfers	-	-	-	-	(46,066)	-	-
Ending Cash Balance	46,066	46,066	46,066	46,066	-	-	-
Encumbrances	-			-	-	-	-
Unencumbered Cash Balance	46,066	46,066	46,066	46,066	-	-	-

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Federal Funds - EDN 100
 Legal Authority: Elementary and Secondary Education Act

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Federal (N)
 Appropriation Acct. No.: S-210-E

Intended Purpose:

Reimbursement for allowable expenditures for lower education.

Source of Revenues:

US Department of Education; US Department of Defense

Current Program Activities/Allowable Expenses:

Impact Aid program expenditures for substitutes and allocation to schools, Title I programs to support schools with high rates of students that qualify for free or reduced price lunch, migrant education programs, neglected and delinquent student programs, improving teacher and administrator programs, math and science partnerships, English language learner programs, community learning centers, and assessment programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	256,404,754	265,398,105	246,960,711	260,830,042	260,830,042	260,830,042	260,830,042
Beginning Cash Balance	102,775,092	78,036,398	60,990,951	76,577,393	66,166,852	61,166,852	56,166,852
Revenues	122,511,468	96,041,521	119,301,168	121,230,754	115,000,000	115,000,000	115,000,000
Expenditures	127,792,828	113,086,968	102,104,066	131,641,290	120,000,000	120,000,000	120,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00028 (From: S-210 / To: S-240)	-						
AJV00272 (From: S-210 / To: S-240)	(8,000,000)						
AJV00452 (From: S-210 / To: S-240)	(3,156,477)						
AJV00453 (From: S-210 / To: S-240)	(678,423)						
AJV01306 (From: S-210 / To: S-230)	(4,390,100)						
AJV01322 (From: S-210 / To: S-230)	(1,189,000)						
AJV03028 (From: S-210 / To: S-220 & S-230)	(2,043,334)						
AJV00128 (From: S-210 / To: S-360 & S-361)			(1,610,660)				
Rounding/misc adjustment				(5)			
Net Total Transfers	(19,457,334)	-	(1,610,660)	(5)			
Ending Cash Balance	78,036,398	60,990,951	76,577,393	66,166,852	61,166,852	56,166,852	51,166,852
Encumbrances	14,546,948	14,090,181	8,996,976	12,135,562			
Unencumbered Cash Balance	63,489,450	46,900,770	67,580,417	54,031,291	61,166,852	56,166,852	51,166,852

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Federal Funds - EDN 150
 Legal Authority: I.D.E.A., SPED Pre-school, and Impact Aid Disabilities

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Federal (N)
 Appropriation Acct. No.: S-215-E

Intended Purpose:
 Reimbursement for allowable expenditures for special education .
 Source of Revenues:
 US Department of Education
 Current Program Activities/Allowable Expenses:
 To provide special education and related services to eligible students with disabilities in accordance with Federal and State regulations.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	67,644,166	73,599,911	68,745,963	67,261,584	67,261,584	67,261,584	67,261,584
Beginning Cash Balance	2,449,504	2,381,195	1,783,129	2,092,570	1,707,369	1,307,369	907,369
Revenues	44,581,897	43,669,534	43,509,460	42,171,587	41,700,000	41,700,000	41,700,000
Expenditures	44,650,205	44,267,600	43,200,019	42,569,458	42,100,000	42,100,000	42,100,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(1)			12,670			
Net Total Transfers	(1)	-	-	12,670			
Ending Cash Balance	2,381,195	1,783,129	2,092,570	1,707,369	1,307,369	907,369	507,369
Encumbrances	1,707,224	1,794,459	1,314,897	3,188,519			
Unencumbered Cash Balance	673,971	(11,330)	777,673	(1,481,150)	1,307,369	907,369	507,369

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Federal Funds - EDN 200
 Legal Authority: Education for Homeless Children & Youth Grant

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-220-E

Intended Purpose:
 Reimbursement for allowable expenditures for lower education.
 Source of Revenues:
 US Department of Education
 Current Program Activities/Allowable Expenses:
 To ensure that homeless children and youth have access to a free and appropriate education.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,762,838	5,943,550	900,580	901,348	901,348	901,348	901,348
Beginning Cash Balance	526,130	408,056	397,436	390,350	442,579	422,579	402,579
Revenues	274,243	4,923,285	202,517	171,397	220,000	220,000	220,000
Expenditures	999,856	4,933,905	209,603	119,168	240,000	240,000	240,000
Transfers							
List each net transfer in/out; list each account number							
AJV03028 (From: S-210 / To: S-220 & S-230)	607,539						
	-						
	-						
Net Total Transfers	607,539	-	-	-			
Ending Cash Balance	408,056	397,436	390,350	442,579	422,579	402,579	382,579
Encumbrances	1,978	-	-	-			
Unencumbered Cash Balance	406,078	397,436	390,350	442,579	422,579	402,579	382,579

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 300
 Name of Fund: Federal Funds - EDN 300
 Legal Authority: P.L. 100-297 National Cooperative Education Statistics

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-230-E

Intended Purpose:

Reimbursement for allowable expenditures for lower education.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

To support activities that will enable State Education Agencies to designate representatives to the Cooperative System who can speak for state interests, provide recommendations about Cooperative System activities, and initiate action at both state and national levels to further the Cooperative System's goals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,684,737	3,596,339	1,036,768	877,647	877,647	877,647	877,647
Beginning Cash Balance	(169,247)	3,745,968	2,176,286	1,571,115	1,275,353	975,353	675,353
Revenues	1,280,549	1,468,264	-	-	-	-	-
Expenditures	4,380,230	3,037,946	605,171	295,763	300,000	300,000	300,000
Transfers							
List each net transfer in/out; list each account number							
AJV01306 (From: S-210 / To: S-230)	4,390,100						
AJV01322 (From: S-210 / To: S-230)	1,189,000						
AJV03028 (From: S-210 / To: S-220 & S-230)	1,435,795						
Rounding/misc adjustment	1						
Net Total Transfers	7,014,896	-	-	-			
Ending Cash Balance	3,745,968	2,176,286	1,571,115	1,275,353	975,353	675,353	375,353
Encumbrances	2,419,013	792,810	288,555	-			
Unencumbered Cash Balance	1,326,955	1,383,476	1,282,560	1,275,353	975,353	675,353	375,353

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Federal Funds - EDN 400
 Legal Authority: USDA Child Nutrition Program

Contact Name: Albert Scales
 Phone: 733-8400
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-240-E

Intended Purpose:

Reimbursement for allowable expenditures for school food services.

Source of Revenues:

US Department of Agriculture; US Department of Education

Current Program Activities/Allowable Expenses:

Child Nutrition programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Reflect anticipated grant revenues and expenditures.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	74,647,721	52,104,968	59,118,967	64,205,300	64,205,300	64,205,300	64,205,300
Beginning Cash Balance	22,170,459	13,661,957	26,370,143	21,694,035	16,007,769	7,470,427	2,802,465
Revenues	463,360	422,993	134,516	53,449,577	54,278,015	54,624,213	55,000,000
Expenditures	76,744,225	52,159,265	57,195,976	61,028,844	62,815,357	59,292,176	60,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00028 (From: S-210 / To: S-240)							
AJV01601 (From: S-241 / To: S-240)							
AJV 595/982/1278 (From: S-240 / To: S-241)							
AJV00272 (From: S-210 / To: S-240)	8,000,000						
AJV00452 (From: S-210 / To: S-240)	3,156,477						
AJV00453 (From: S-210 / To: S-240)	678,423						
AJV00337 (From: S-241 / To: S-240)	15,704,234						
AJV00649 (From: S-241 / To: S-240)	189,587						
AJV00708 (From: S-241 / To: S-240)	5,402,336						
AJV00878 (From: S-241 / To: S-240)	11,390,287						
AJV01145 (From: S-241 / To: S-240)	10,015,686						
AJV01535 (From: S-241 / To: S-240)	11,235,334						
AJV03025 (From: S-241 / To: S-240)	2,000,000						
AJV00068 (From: S-241 / To: S-240)		4,564,253					
AJV00096 (From: S-241 / To: S-240)		12,225,995					
AJV00143 (From: S-241 / To: S-240)		528,081					
AJV00324 (From: S-241 / To: S-240)		224,372					
AJV00571 (From: S-241 / To: S-240)		11,634,184					
AJV00784 (From: S-241 / To: S-240)		5,061,192					
AJV00838 (From: S-241 / To: S-240)		9,193,851					
AJV00944 (From: S-241 / To: S-240)		4,145,384					
AJV01073 (From: S-241 / To: S-240)		5,633,085					
AJV01195 (From: S-241 / To: S-240)		4,792,699					
AJV01357 (From: S-241 / To: S-240)		6,432,950					

AJV00050 (From: S-241 / To: S-240)			5,852,540				
AJV00145 (From: S-241 / To: S-240)			1,071,215				
AJV00212 (From: S-241 / To: S-240)			864,267				
AJV00376 (From: S-241 / To: S-240)			6,090,387				
AJV00533 (From: S-241 / To: S-240)			4,894,481				
AJV00625 (From: S-241 / To: S-240)			11,982,508				
AJV00724 (From: S-241 / To: S-240)			3,865,869				
AJV00840 (From: S-241 / To: S-240)			5,040,019				
AJV00982 (From: S-241 / To: S-240)			6,000,294				
AJV01174 (From: S-241 / To: S-240)			4,171,935				
AJV01372 (From: S-241 / To: S-240)			6,424,940				
AJV00034 (From: S-241 / To: S-240)				5,368,254			
AJV00097 (From: S-241 / To: S-240)				608,512			
AJV00248 (From: S-241 / To: S-240)				208,918			
AJV00571 (From: S-241 / To: S-240)				12,880,606			
AJV00787 (From: S-241 / To: S-240)				(2,804,360)			
AJV00795 (From: S-241 / To: S-240)				7,533,939			
AJV00955 (From: S-241 / To: S-240)				5,377,890			
AJV01110 (From: S-241 / To: S-240)				4,302,552			
AJV01387 (From: S-241 / To: S-240)				4,637,879			
AJV01545 (From: S-241 / To: S-240)				5,355,745			
AJV01690 (From: S-241 / To: S-240)				5,169,414			
AJV01909 (From: S-241 / To: S-240)				5,905,131			
Rounding/misc adjustment	(1)	8,412		(8,414)			
Net Total Transfers	67,772,363	64,444,458	56,258,454	54,536,067			
Ending Cash Balance	13,661,957	26,370,143	25,567,137	20,084,059	7,470,427	2,802,464	(2,197,535)
Encumbrances	3,838,887	1,445,860	2,536,200	2,989,991			
Unencumbered Cash Balance	9,823,070	24,924,283	23,030,937	17,094,068	7,470,427	2,802,464	(2,197,535)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Federal Funds - EDN 400
 Legal Authority: USDA Child Nutrition Program

Contact Name: Sue Uyehara
 Phone: 587-3600
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-241-E

Intended Purpose:

To provide each State agency with funds for its administrative expenses in supervising and giving technical assistance to local schools, school districts and institutions in their conduct of Child Nutrition Programs. State agencies that administer the distribution of USDA Foods to schools or adult care institutions are also provided with State Administrative Expense Funds (SAE). To administer the USDA's Child Nutrition Programs (CNP) at the State Agency (SA) level known as the Hawaii Child Nutrition Programs (HCNP).

Source of Revenues:

US Department of Agriculture

Current Program Activities/Allowable Expenses:

Funding will be expended for HCNP responsibilities such as the state wide administration of all CNP in Hawaii, monitoring, technical assistance, training, audits and general operation expenses including the salary of staff, contracts for goods or services, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

HCNP does not operate in a deficit. We are primarily funded from USDA and receive enough funds to cover this offices' expenditures. The deficit is mainly due to the timing of the

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,185,248	2,287,905	2,233,213	2,749,895	2,749,895	2,749,895	2749895
Beginning Cash Balance	826,617	3,611,642	(1,491,452)	(70,032)	(1,322,825)	(277,551)	2,183,500
Revenues	59,774,121	60,786,183	59,080,385	54,778,711	56,422,072	58,114,735	59858177
Expenditures	1,051,633	1,453,232	1,400,511	1,493,558	1,501,025	1,508,531	1516074
Transfers							
List each net transfer in/out; list each account number							
AJV00337 (From: S-241 / To: S-240)	(15,704,234)	-					
AJV00649 (From: S-241 / To: S-240)	(189,587)	-					
AJV00708 (From: S-241 / To: S-240)	(5,402,336)	-					
AJV00878 (From: S-241 / To: S-240)	(11,390,287)	-					
AJV01145 (From: S-241 / To: S-240)	(10,015,686)	-					
AJV01535 (From: S-241 / To: S-240)	(11,235,334)	-					
AJV03025 (From: S-241 / To: S-240)	(2,000,000)	-					
AJV00068 (From: S-241 / To: S-240)	-	(4,564,253)					
AJV00096 (From: S-241 / To: S-240)	-	(12,225,995)					
AJV00143 (From: S-241 / To: S-240)	-	(528,081)					
AJV00324 (From: S-241 / To: S-240)	-	(224,372)					
AJV00571 (From: S-241 / To: S-240)	-	(11,634,184)					
AJV00784 (From: S-241 / To: S-240)	-	(5,061,192)					
AJV00838 (From: S-241 / To: S-240)	-	(9,193,851)					
AJV00944 (From: S-241 / To: S-240)	-	(4,145,384)					
AJV01073 (From: S-241 / To: S-240)	-	(5,633,085)					
AJV01195 (From: S-241 / To: S-240)	-	(4,792,699)					
AJV01357 (From: S-241 / To: S-240)	-	(6,432,950)					
AJV00050 (From: S-241 / To: S-240)			(5,852,540)				
AJV00145 (From: S-241 / To: S-240)			(1,071,215)				
AJV00212 (From: S-241 / To: S-240)			(864,267)				
AJV00376 (From: S-241 / To: S-240)			(6,090,387)				
AJV00533 (From: S-241 / To: S-240)			(4,894,481)				
AJV00625 (From: S-241 / To: S-240)			(11,982,508)				
AJV00724 (From: S-241 / To: S-240)			(3,865,869)				
AJV00840 (From: S-241 / To: S-240)			(5,040,019)				
AJV00982 (From: S-241 / To: S-240)			(6,000,294)				
AJV01174 (From: S-241 / To: S-240)			(4,171,935)				
AJV01372 (From: S-241 / To: S-240)			(6,424,940)				

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			(5,368,254)		
			(608,512)		
			(208,918)		
			(12,880,606)		
			2,804,360		
			(7,533,939)		
			(5,377,890)		
			(4,302,552)		
			(4,637,879)		
			(5,355,745)		
			(5,169,414)		
			(5,905,131)		
1	1		6,536		
				(5,602,745)	
				(613,206)	
				(198,519)	
				(5,386,088)	
				(6,419,635)	
				(4,735,227)	
				(5,404,780)	
				(4,324,065)	
				(4,661,068)	
				(5,382,524)	
				(5,195,261)	
				(5,934,657)	
					(5,630,759)
					(616,272)
					(199,511)
					(5,413,018)
					(6,451,734)
					(4,776,996)
					(5,431,803)
					(4,345,803)
					(4,684,374)
					(5,409,436)
					(5,221,237)
					(5,964,330)

AJV-2020 (From: S-241 / To: S-240)							(5,686,813.00)
AJV-2020 (From: S-241 / To: S-240)							(619,353.00)
AJV-2020 (From: S-241 / To: S-240)							(200,509.00)
AJV-2020 (From: S-241 / To: S-240)							(5,440,084.00)
AJV-2020 (From: S-241 / To: S-240)							(6,483,992.00)
AJV-2020 (From: S-241 / To: S-240)							(4,800,878.00)
AJV-2020 (From: S-241 / To: S-240)							(5,458,962.00)
AJV-2020 (From: S-241 / To: S-240)							(4,367,414.00)
AJV-2020 (From: S-241 / To: S-240)							(4,707,796.00)
AJV-2020 (From: S-241 / To: S-240)							(5,436,484.00)
AJV-2020 (From: S-241 / To: S-240)							(5,247,344.00)
AJV-2020 (From: S-241 / To: S-240)							(5,994,152.00)
Net Total Transfers	(55,937,463)	(64,436,045)	(56,258,454)	(54,537,945)	(53,875,773)	(54,145,152)	(54,415,878.00)
Ending Cash Balance	3,611,642	(1,491,452)	(70,032)	(1,322,825)	(277,551)	2,183,501	6,109,725
Encumbrances	233,924	236,716	141,770	143,188	144,620	146,066	147,527.00
Unencumbered Cash Balance	3,377,718	(1,728,168)	(211,802)	(1,338,031)	(422,171)	2,037,435	5,962,198

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: Federal Funds - EDN 500
 Legal Authority: P.L. 105-220 Workforce Investment Act (Adult Education)

Contact Name: Deborah Miyao
 Phone: 305-9777
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-250-E

Intended Purpose:
 Reimbursement for allowable expenditures for adult education.
 Source of Revenues:
 US Department of Education
 Current Program Activities/Allowable Expenses:
 Integrated English literacy and civics education services to individuals who are immigrants and other limited proficient populations.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,800,154	6,279,816	5,489,228	5,604,031	5,604,031	5,604,031	5,604,031
Beginning Cash Balance	164,075	189,935	169,802	190,146	188,514	278,881	369,248
Revenues	1,803,480	1,838,712	2,053,609	1,858,911	1,800,911	1,800,911	1,800,911
Expenditures	1,777,619	1,858,845	2,033,265	1,860,544	1,710,544	1,710,544	1,710,544
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(1)						
Net Total Transfers	(1)	-	-	-			
Ending Cash Balance	189,935	169,802	190,146	188,514	278,881	369,248	459,615
Encumbrances	166,654	38,714	28,063	49,649			
Unencumbered Cash Balance	23,281	131,088	162,084	138,864	278,881	369,248	459,615

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 700
 Name of Fund: Federal Funds - EDN 700
 Legal Authority: Head Start (HS) Reauthorization Act of 2007

Contact Name: Christine Jackson
 Phone: 586-3811
 Fund type (MOF) Federal (N)
 Appropriation Acct. No. S-270-E

Intended Purpose: Statewide facilitation of partnerships and service coordination betw between HS and State/local agencies

Source of Revenues: Dept. of Health & Human Services

Current Program Activities/Allowable Expenses: General operating expenses of the Head Start Collaboration Office within the Executive Office on Early Learning

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	-	-	125,628	125,628	125,628	125,628	125,628
Beginning Cash Balance	-	-	-	-	(4,382)	(0)	(0)
Revenues	-	-	16,736	106,488	125,000	125,000	125,000
Expenditures	-	-	16,736	110,870	120,618	125,000	125,000
Transfers							
List each net transfer in/out; list each account number					a		
	-	-	-	-			
	-	-	-	-			
	-	-	-	-			
Net Total Transfers	-	-	-	-			
Ending Cash Balance	-	-	-	(4,382)	(0)	(0)	(0)
Encumbrances	-	-	5,769	11,797			
Unencumbered Cash Balance	-	-	(5,769)	(16,179)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Federal Funds - EDN 100
 Legal Authority: Elementary and Secondary Education Act and Perkins Career and Technical Education Act

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Federal (P)
 Appropriation Acct. No.: S-810-E

Intended Purpose:
 For allowable expenditures for lower education.
 Source of Revenues:
 US Department of Defense and US Department of Education
 Current Program Activities/Allowable Expenses:
 Support for lower education including Advanced Placement Fee Payment, Education of Native Hawaiians, and Vocational Education Programs.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	19,609,972	34,023,611	18,164,946	17,873,511	17,873,511	17,873,511	17,873,511
Beginning Cash Balance	-	(516,186)	2,112,893	2,686,629	2,672,744	1,980,744	2,680,744
Revenues	4,750,509	7,034,756	5,007,142	5,825,311	4,308,000	5,700,000	5,700,000
Expenditures	5,266,695	4,405,677	4,433,406	5,839,196	5,000,000	5,000,000	5,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	(516,186)	2,112,893	2,686,629	2,672,744	1,980,744	2,680,744	3,380,744
Encumbrances	398,170	515,964	1,591,843	2,247,257			
Unencumbered Cash Balance	(914,356)	1,596,929	1,094,786	425,487	1,980,744	2,680,744	3,380,744

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Federal Funds - EDN 150
 Legal Authority: Individuals with Disabilities Education Act

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Federal (P)
 Appropriation Acct. No. S-815-E

Intended Purpose:
 For allowable expenditures for lower education special education services.
 Source of Revenues:
 US Department of Education
 Current Program Activities/Allowable Expenses:
 Support for special education services.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	92,500	10,833,950	10,711,917	10,222,470	-	-	-
Beginning Cash Balance	-	-	(62,774)	(33,547)	(161,857)	38,143	138,143
Revenues	-	24,357	475,839	1,354,175	1,500,000	1,500,000	-
Expenditures	-	87,131	446,611	1,482,485	1,300,000	1,400,000	69,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	-	(62,774)	(33,547)	(161,857)	38,143	138,143	69,143
Encumbrances	-	40,851	76,446	224,091			
Unencumbered Cash Balance	-	(103,625)	(109,993)	(385,948)	38,143	138,143	69,143

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Federal Funds - EDN 200
 Legal Authority: National Assessment of Education Progress (NAEP)

Contact Name: Brian Reiter
 Phone: 733-4100
 Fund type (MOF) Federal (P)
 Appropriation Acct. No. S-820-E

Intended Purpose:
 To fund the NAEP coordinator and related expenses.
 Source of Revenues:
 US Department of Education.
 Current Program Activities/Allowable Expenses:
 NAEP Coordinator and associated costs including attendance at mandatory training sessions.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	187,000	342,058	366,718	1,250,576	228,000	228,000	228,000
Beginning Cash Balance	-	87,243	131,323	162,905	179,641	288,877	334,759
Revenues	119,125	247,496	236,928	226,581	228,847	235,506	237,861
Expenditures	31,882	203,416	205,346	209,844	119,611	189,624	192,148
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	87,243	131,323	162,905	179,641	288,877	334,759	380,472
Encumbrances	-	1,591	2,525	-			
Unencumbered Cash Balance	87,243	129,732	160,380	179,641	288,877	334,759	380,472

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 300
 Name of Fund: Federal Funds - EDN 300
 Legal Authority: P.L. 100-297 National Cooperative Education Statistics

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Federal (P)
 Appropriation Acct. No.: S-830-E

Intended Purpose:
 To contribute to the goal of development comparable, uniform and timely education statistics across states and the nation as a whole.
 Source of Revenues:
 US Department of Education - National Center for Education Statistics.
 Current Program Activities/Allowable Expenses:
 Activities that will enable SEA to designate representatives to the Cooperative System.
 Purpose of Proposed Ceiling Adjustment (if applicable):
 Not applicable.
 Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000	1,182,900	6,684,294	5,390,197	30,000	30,000	30,000
Beginning Cash Balance	-	19,747	24,809	69,012	(1,391,472)	528	528
Revenues	19,747	1,127,962	1,808,365	1,034,000	2,972,000	1,830,000	30,000
Expenditures	-	1,122,900	1,764,162	2,494,484	1,580,000	1,830,000	30,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	19,747	24,809	69,012	(1,391,472)	528	528	528
Encumbrances	-	-	835,934	382,559			
Unencumbered Cash Balance	19,747	24,809	(766,922)	(1,774,032)	528	528	528

Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Regular Instruction - High School
 Legal Authority: Section 302A-420, HRS

Contact Name: Budget Branch
 Phone: 784-6022
 Fund type (MOF) Special
 Appropriation Acct. No. S-301-E

Intended Purpose:

The fund was established in 1921 to hold revenues collected by the Lahainaluna Boarding School and to finance its vocational program.

Source of Revenues:

Revenues are from the sale of agricultural products.

Current Program Activities/Allowable Expenses:

Allowable expenses are Lahainaluna Boarding Program student labor costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	30,000	30,000	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	26,563	28,022	29,531	31,104	31,819	32,219	32,619
Revenues	2,508	1,554	1,664	794	500	500	500
Expenditures	1,049	45	92	79	100	100	100
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-					
Ending Cash Balance	28,022	29,531	31,104	31,819	32,219	32,619	33,019
Encumbrances	-	-	-	-			
Unencumbered Cash Balance	28,022	29,531	31,104	31,819	32,219	32,619	33,019

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: Adult Education Special Fund
 Legal Authority: Section 302A-435, HRS

Contact Name: Deborah Miyao
 Phone: 305-9777
 Fund type (MOF) Special
 Appropriation Acct. No. S-302-E

Intended Purpose:

This fund was established in 1945 to collect tuition for special interest classes and fees for the General Education Development (GED) test in the Adult Education Program..

Source of Revenues:

Revenues are from the Adult Education student tuition for special interest classes and GED test fees.

Current Program Activities/Allowable Expenses:

Allowable expenses include instructor salaries and supplies for Adult Education special interest classes as well as GED tests. The program is also supported by general and Federal funds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,631,000	3,631,000	3,631,000	1,631,000	1,631,000	1,631,000	1,631,000
Beginning Cash Balance	776,042	762,912	730,249	730,246	720,103	725,103	730,103
Revenues	319,444	196,746	234,198	199,903	195,000	195,000	195,000
Expenditures	332,575	229,409	234,201	210,263	190,000	190,000	190,000
Transfers							
List each net transfer in/out; list each account number							
AJV00165 (From: T-913 / To: S-302)				168			
Rounding/misc adjustment	1			49			
Net Total Transfers	1	-		217			
Ending Cash Balance	762,912	730,249	730,246	720,103	725,103	730,103	735,103
Encumbrances	23,809	2,651	4,827	1,901			
Unencumbered Cash Balance	739,103	727,598	725,419	718,202	725,103	730,103	735,103

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: School Food Service
 Legal Authority: Section 302A-405, HRS

Contact Name: Albert Scales
 Phone: 733-8400
 Fund type (MOF) Special
 Appropriation Acct. No. S-304-E

Intended Purpose:

Created in 1960, this fund supports the expense of operating public school cafeterias.

Source of Revenues:

Revenues are from the sale of lunch, breakfast, and snacks primarily to students who pay either regular or reduced prices. Meal sales to others, such as teachers, are also deposited to this fund.

Current Program Activities/Allowable Expenses:

Allowable expenses are those that support the school lunch program. The program is also supported by general and Federal funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,461,923	40,426,578	40,683,429	40,676,578	40,818,357	40,818,357	40,818,357
Beginning Cash Balance	1,700,412	6,988,620	2,586,392	5,472,231	9,208,031	7,357,370	2,808,331
Revenues	23,361,932	22,514,238	24,467,313	23,853,047	23,995,713	25,137,894	25,000,000
Expenditures	18,073,744	26,916,902	21,593,897	19,037,539	25,846,374	29,686,933	30,000,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	20	436		12,581			
Net Total Transfers	20	436		12,581			
Ending Cash Balance	6,988,620	2,586,392	5,459,808	9,206,047	7,357,370	2,808,331	(2,191,669)
Encumbrances	4,081	1,314	158	341			
Unencumbered Cash Balance	6,984,539	2,585,078	5,459,650	9,205,707	7,357,370	2,808,331	(2,191,669)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Comprehensive Student Support Services Human Resources Stipend Program
 Legal Authority: Section 302A-0707, HRS

Contact Name: Sean Arai
 Phone: 441-8344
 Fund type (MOF) Special
 Appropriation Acct. No. S-305-E

Intended Purpose:

This fund was established to create a special fund into which shall be deposited moneys received as repayment from students who have breached their contractual agreements under the Felix stipend program.

Source of Revenues:

Revenues are from moneys received as repayment from students who have breached their contractual agreements under the Felix stipend program.

Current Program Activities/Allowable Expenses:

Allowable expenses are to provide tuition assistance to students for the Felix consent decree recruitment and retention program, or any successor programs, and related costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Requesting to raise the Appropriation Ceiling: 1) to provide additional funds to start-up programs at UH W.Oahu and LCC; 2) to reduce accumulated cash balance from increased collections

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance	239,569	244,633	209,107	355,390	495,605	405,605	405,605
Revenues	73,707	74,245	158,935	147,282	100,000	100,000	100,000
Expenditures	68,643	109,771	12,652	7,067	190,000	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-					
Ending Cash Balance	244,633	209,107	355,390	495,605	405,605	405,605	405,605
Encumbrances	63,264	-	-	90,000			
Unencumbered Cash Balance	181,369	209,107	355,390	405,605	405,605	405,605	405,605

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Teacher Housing
 Legal Authority: Section 302A-833, HRS

Contact Name: Budget Branch
 Phone: 784-6022
 Fund type (MOF): Revolving
 Appropriation Acct. No. S-310-E

Intended Purpose:

The fund was established to fund the activities of the DOE's Teacher Housing Program.

Source of Revenues:

Revenues are from the monthly rental collected from the teacher cottage occupants.

Current Program Activities/Allowable Expenses:

Allowable expenses are for any and all of the purposes of teachers' housing, including the planning, construction, maintenance, and operation of teachers' housing, as well as for the salaries of the necessary personnel in charge thereof.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	450,000	450,000	450,000	480,000	450,000	450,000	450,000
Beginning Cash Balance	339,065	452,517	357,934	348,847	340,367	340,367	340,367
Revenues	322,771	323,292	322,488	340,268	360,000	360,000	360,000
Expenditures	209,319	417,875	331,574	348,748	360,000	360,000	360,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers							
Ending Cash Balance	452,517	357,934	348,847	340,367	340,367	340,367	340,367
Encumbrances	109,128	9,136	10,136	21,284			
Unencumbered Cash Balance	343,389	348,798	338,712	319,083	340,367	340,367	340,367

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Hawaii Teachers Standard Board
 Legal Authority: Section 302A-806, HRS

Contact Name: Lynn Hammonds
 Phone: 586-2602
 Fund type (MOF): Special
 Appropriation Acct. No.: S-321-E

Intended Purpose:

This fund was created in 1998 to support the Hawaii Teachers Standard Board. The board establishes standards governing teacher licensing and credentialing within the department, conducts cyclical review of standards, and suggests revisions for their improvement.

Source of Revenues:

Revenues are primarily from teacher licensing fees.

Current Program Activities/Allowable Expenses:

Allowable expenses are to finance the Board's operational and personnel costs, and any reimbursement for members' travel expenses incurred while on official board business.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,340,720	2,359,364	2,341,086	2,361,169	2,361,169	2,361,169	2,361,169
Beginning Cash Balance	3,034,698	2,618,881	2,070,502	1,679,299	889,826	682,621	647,961
Revenues	602,830	530,867	540,893	475,802	892,795	665,340	665,340
Expenditures	1,018,646	1,079,246	932,096	1,265,334	1,100,000	700,000	625,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(1)	-		60			
Net Total Transfers	(1)	-		60			
Ending Cash Balance	2,618,881	2,070,502	1,679,299	889,826	682,621	647,961	688,301
Encumbrances	120,496	72,597	70,786	95,791			
Unencumbered Cash Balance	2,498,385	1,997,905	1,608,513	794,035	682,621	647,961	688,301

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: Adult Education Revolving Fund
 Legal Authority: Section 302A-435, HRS

Contact Name: Deborah Miyao
 Phone: 305-9777
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-322-E

Intended Purpose:

This fund was established in 1970 to receive fees assessed for Adult Education courses.

Source of Revenues:

Revenues are from the sale of books and supplies to Adult Education students.

Current Program Activities/Allowable Expenses:

Allowable expenses include the purchase of supplies and books for the Adult and Community Education Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	795,000	811,423	809,607	525,903	525,903	525,903	525,903
Beginning Cash Balance	422,697	434,262	439,210	480,143	488,568	495,573	502,578
Revenues	154,677	122,912	124,435	129,005	129,005	129,005	129,005
Expenditures	143,112	117,964	83,502	120,580	122,000	122,000	122,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers							
Ending Cash Balance	434,262	439,210	480,143	488,568	495,573	502,578	509,583
Encumbrances	11,207	2,290	2,509	11,667			
Unencumbered Cash Balance	423,055	436,920	477,633	476,901	495,573	502,578	509,583

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Summer School and Intersession Fund
 Legal Authority: Section 302A-1310, HRS

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Special
 Appropriation Acct. No. S-323-E

Intended Purpose:

The summer school fund was originally established in 1971 to finance summer school programs. Amended in 1996, the fund currently serves intersession programs for year-round school in addition to summer school programs.

Source of Revenues:

Revenues are from summer school and intersession program tuition.

Current Program Activities/Allowable Expenses:

Allowable expenses include summer school and intersession program support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,040,466	6,000,776	6,000,000	4,000,000	4,000,000	4,000,000	4,000,000
Beginning Cash Balance	1,763,401	1,543,922	1,641,301	1,588,665	1,675,760	1,675,760	1,675,760
Revenues	1,746,763	2,051,617	1,832,254	1,772,487	1,800,000	1,800,000	1,800,000
Expenditures	1,967,570	1,956,608	1,887,481	1,687,287	1,800,000	1,800,000	1,800,000
Transfers							
List each net transfer in/out; list each account number							
AJV01116 (From: S-325 / To: S-323)			2,196				
AJV01368 (From: S-325 / To: S-323)			168				
AJV01476 (From: S-325 / To: S-323)			228				
AJV00715 (From: S-348 / To: S-325)				621			
Rounding/misc adjustment	1,328	2,370		1,274			
Net Total Transfers	1,328	2,370	2,591	1,895			
Ending Cash Balance	1,543,922	1,641,301	1,588,665	1,675,760	1,675,760	1,675,760	1,675,760
Encumbrances	1,287,440	39,978	68,743	55,567			
Unencumbered Cash Balance	256,482	1,601,323	1,519,922	1,620,193	1,675,760	1,675,760	1,675,760

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Community Use of School Facilities
 Legal Authority: Section 302A-1148, HRS

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Special
 Appropriation Acct. No. S-325-E

Intended Purpose:

The fund was established in 1982 to collect fees and charges from those who use school buildings, facilities, grounds and equipment for recreational and community purposes.

Source of Revenues:

Revenues are from use of school facility charges.

Current Program Activities/Allowable Expenses:

Allowable expenses include payment of custodial services, replacement of custodial and janitorial supplies, and the repair, maintenance, and replacement of equipment used.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	2,030,000	2,050,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	3,773,538	3,761,474	4,144,573	4,916,405	5,828,506	6,328,506	6,828,506
Revenues	2,188,947	2,253,728	2,286,427	2,522,876	2,300,000	2,300,000	2,300,000
Expenditures	2,200,366	1,871,180	1,512,003	1,611,709	1,800,000	1,800,000	1,800,000
Transfers							
List each net transfer in/out; list each account number							
AJV01116 (From: S-325 / To: S-323)			(2,196)				
AJV01370 (From: S-325 / To: S-323)			(168)				
AJV01476 (From: S-325 / To: S-323)			(228)				
Rounding/misc adjustment	155	551		934			
Net Total Transfers	(645)	551	(2,591)	934			
Ending Cash Balance	3,761,474	4,144,573	4,916,405	5,828,506	6,328,506	6,828,506	7,328,506
Encumbrances	344,882	411,013	273,789	312,494			
Unencumbered Cash Balance	3,416,592	3,733,560	4,642,617	5,516,012	6,328,506	6,828,506	7,328,506

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: School Bus Fare Revolving Fund
 Legal Authority: Section 302A-407.5, HRS

Contact Name: James Kauhi
 Phone: 586-0174
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-326-E

Intended Purpose:

This fund was created in FY2002-03 to deposit school bus fares collected from students, parents, or guardians for state-provided school busing services.

Source of Revenues:

Revenues are from bus fares received from students or student's parents/guardians.

Current Program Activities/Allowable Expenses:

Allowable expenses include bus transportation for students.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,001,490	3,017,457	6,005,011	3,008,886	3,008,886	3,008,886	3,008,886
Beginning Cash Balance	4,874,111	3,882,515	3,533,967	2,182,743	2,173,461	2,146,158	2,118,856
Revenues	2,527,559	2,579,201	2,599,580	2,572,697	2,572,697	2,572,697	2,572,697
Expenditures	3,519,155	2,927,749	3,950,804	2,581,979	2,600,000	2,600,000	2600000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	3,882,515	3,533,967	2,182,743	2,173,461	2,146,158	2,118,856	2,091,553
Encumbrances	176,309	195,656	453,225	780,575			
Unencumbered Cash Balance	3,706,206	3,338,311	1,729,518	1,392,886	2,146,158	2,118,856	2,091,553

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Hawaii School-Level Minor R&M Spl Fnd
 Legal Authority: Section 302A-1504.5, HRS

Contact Name: Riki Fujitani
 Phone: 586-3452
 Fund type (MOF): Special
 Appropriation Acct. No.: S-327-E

Intended Purpose:

This fund was established in 2001 to deposit funds received for school-level minor repairs and maintenance.

Source of Revenues:

Revenues are from funds collected pursuant to section 235-102.5(b), HRS and from grants and donations.

Current Program Activities/Allowable Expenses:

Allowable expenses include school-level minor repairs and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	200,000	200,000	210,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	91,568	117,006	172,687	36,027	5,743	5,743	5,743
Revenues	76,204	74,400	72,333	75,997	76,000	76,000	76,000
Expenditures	50,766	18,719	208,993	106,281	76,000	76,000	76,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-					
Ending Cash Balance	117,006	172,687	36,027	5,743	5,743	5,743	5,743
Encumbrances	5,110	5,221	-	-			
Unencumbered Cash Balance	111,896	167,466	36,027	5,743	5,743	5,743	5,743

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: School Special Fee Revolving Account (Reimb for Lost Textbook & Equip)
 Legal Authority: Section 302A-1130.5-6, HRS

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Special
 Appropriation Acct. No.: S-330-E

Intended Purpose:

This fund was established to deposit fees collected to replace damaged or lost books and supplies.

Source of Revenues:

Revenues are from fees collected from students who negligently break, damage, lose, or destroy equipment, textbooks, library books, and supplies.

Current Program Activities/Allowable Expenses:

Allowable expenses include the replacement of textbooks, library books, and supplies that are either broken, damaged, lost, or destroyed.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000
Beginning Cash Balance	1,283,744	1,341,176	1,375,542	1,436,674	1,492,365	1,542,365	1,592,365
Revenues	181,143	165,716	175,244	167,137	170,000	170,000	170,000
Expenditures	123,877	131,365	114,113	111,839	120,000	120,000	120,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	166	15		393			
Net Total Transfers	166	15		393			
Ending Cash Balance	1,341,176	1,375,542	1,436,674	1,492,365	1,542,365	1,592,365	1,642,365
Encumbrances	33,039	28,134	30,444	15,520			
Unencumbered Cash Balance	1,308,137	1,347,408	1,406,230	1,476,845	1,542,365	1,592,365	1,642,365

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): Not applicable - specific appropriation bill
 Name of Fund: Families for R.E.A.L.
 Legal Authority: Act 191, SLH 2010 / HRS 328L-Emer & Budget Reserve Fund

Contact Name: Budget Branch
 Phone: 586-2861
 Fund type (MOF) Special
 Appropriation Acct. No. S-337-E

Intended Purpose:

In Session 2010, via Act 191, SLH 2010, the Legislature provided \$300,000 or so much thereof as may be necessary for FY2010-11, from the emergency and budget reserve fund (EBRF), for the Families for R.E.A.L program.

Source of Revenues:

The appropriation of EBRF special funds.

Current Program Activities/Allowable Expenses:

Payment to the Families for R.E.A.L program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a			
Beginning Cash Balance	3,790	3,790	3,790	3,790	-	-	-
Revenues	-		-	(3,790)	-	-	-
Expenditures	-		-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-						
Ending Cash Balance	3,790	3,790	3,790	-	-	-	-
Encumbrances			-	-			
Unencumbered Cash Balance	3,790	3,790	3,790	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Education Design and Construction Project Assessment Fund
 Legal Authority: Section 302A-1508, HRS

Contact Name: Budget Branch
 Phone: 784-6022
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-339-E

Intended Purpose:

Pursuant to Act 51, SLH 2004 this fund was transferred from the Department of Accounting and General Services to the DOE effective July 1, 2005. The fund was established to defray the costs of carrying out construction projects.

Source of Revenues:

Revenues are from assessed fees based on the superintendent's evaluation of capital improvement, repair and maintenance, and alteration costs.

Current Program Activities/Allowable Expenses:

Allowable expenses are for carrying out construction projects managed by the department.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	2,970,000	2,970,000	2,970,000	2,970,000
Beginning Cash Balance	1,343,754	1,254,645	2,427,515	3,045,459	3,156,374	3,156,374	3,156,374
Revenues	1,082,684	2,686,153	3,264,147	2,201,942	2,970,000	2,970,000	2,970,000.00
Expenditures	1,171,792	1,513,283	2,646,204	2,091,025	2,970,000	2,970,000	2,970,000.00
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(1)	-		(2)			
Net Total Transfers	(1)	-		(2)			
Ending Cash Balance	1,254,645	2,427,515	3,045,459	3,156,374	3,156,374	3,156,374	3,156,374
Encumbrances	288,535	698,145	670,733	761,854			
Unencumbered Cash Balance	966,110	1,729,370	2,374,726	2,394,519	3,156,374	3,156,374	3,156,374

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Department: EDN

Contact Name: Budget Branch

Department: EDN

Contact Name: Keenan Chang

Prog ID(s): EDN 100

Phone: 783-5063

Name of Fund: State Educational Facil Improvement Special Fund

Fund type (MOF) Special

Legal Authority: HRS Section 36-32

Appropriation Acct. No. S-340-E

Intended Purpose:

The State Educational Facilities Improvement (SEFI) Special Fund is used solely to plan, design, acquire lands for, and to construct public school facilities and to provide equipment and technology infrastructure to improve public schools and other facilities under the jurisdiction of the DOE, except public libraries. In addition, activities of the DOE intended to eliminate the gap between the facility needs of Source of Revenues:

Revenues are from the deposit of state funds, either general funds or general obligation bond funds.

Current Program Activities/Allowable Expenses:

The State Educational Facilities Improvement (SEFI) Special Fund is used solely to plan, design, acquire lands for, and to construct public school facilities and to provide equipment and technology infrastructure to improve public schools and other facilities under the jurisdiction of the DOE, except public libraries.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a			
Beginning Cash Balance	11,355,457	26,168,044	26,404,622	133,440,875	87,317,295	52,317,295	32,317,295
Revenues	211,000,000	138,000,000	222,100,000	-			
Expenditures	-	-	-	-	35,000,000	20,000,000	20000000
Transfers							
List each net transfer in/out; list each account number							
AJV00022	(108,350)						
AJV00035	(1,000,000)						
AJV00237	(65,000)						
AJV00269	20,923						
AJV00426	(1,200,000)						
AJV00854	(802,935)						
AJV00857	(1,740,000)						
AJV00884	(118,490)						
AJV00888	(49,970)						
AJV01149	(80,396)						
AJV01650	(10,000)						
SEFI - Cash Out	(191,033,195)						

AJV00046		(614,670)					
AJV00215		3,021					
AJV00933		(50,000)					
AJV01224		(303,350)					
AJV01312		(9,000)					
AJV01374		2,000					
SEFI - Cash Out		(136,791,424)					
AJV00210			2,050				
AJV00408			(34,000)				
AJV00483			144				
AJV00524			8,646				
SEFI - Cash Out			(115,040,587)				
AJV00665				2,653			
AJV01756				4,784			
AJV01554				(2,000)			
SEFI - Cash Out				(46,129,016)			
Rounding/misc adjustment		1	-	(1)			
Net Total Transfers	(196,187,413)	(137,763,422)	(115,063,747)	(46,123,580)			
Ending Cash Balance	26,168,044	26,404,622	133,440,875	87,317,295	52,317,295	32,317,295	12,317,295
Encumbrances	-	-	-	-			
Unencumbered Cash Balance	26,168,044	26,404,622	133,440,875	87,317,295	52,317,295	32,317,295	12,317,295

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 150
 Name of Fund: Recovery of Federal Reimbursement
 Legal Authority: Section 353C-007, HRS

Contact Name: Miguel Perez
 Phone: 586-5365
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-345-E

Intended Purpose:

The fund was established to enhance the health and welfare of Hawaii's public school children by creating a revolving fund for the collection and disbursement of generated revenue to support the administration and operations of the DOE revenue maximization program.

Source of Revenues:

Revenues are from Federal reimbursements received by the department relating to the the Medicaid Program.

Current Program Activities/Allowable Expenses:

Allowable expenses are to meet the state match requirement for Federal grants and costs associated with Federal grants and costs associated with Federal grant reporting requirements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	3,504,738	3,503,408	3,503,657	3,506,485	3,506,485	3,506,485	3,506,485
Beginning Cash Balance	1,267,117	877,581	1,169,331	886,674	1,107,529	1,627,529	2,347,529
Revenues	997,999	1,175,002	940,971	596,211	900,000	1,100,000	1,300,000
Expenditures	1,387,535	883,252	1,223,628	375,355	380,000	380,000	380,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-					
Ending Cash Balance	877,581	1,169,331	886,674	1,107,529	1,627,529	2,347,529	3,267,529
Encumbrances	539,613	616,620	-	3,842			
Unencumbered Cash Balance	337,968	552,711	886,674	1,103,688	1,627,529	2,347,529	3,267,529

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 500
 Name of Fund: After-School Plus Program Revolving Fund
 Legal Authority: Section 302A-1149.5, HRS

Contact Name: Jenna Pak
 Phone: 305-0692
 Fund type (MOF): Revolving / Interdepartmental Xfer
 Appropriation Acct. No.: S-346-E

Intended Purpose:

The fund was established in 2004 to deposit fees collected to support the after-school program.

Source of Revenues:

Revenues are from fees for after-school program participants and from DHS reimbursements.

Current Program Activities/Allowable Expenses:

Allowable expenses include operating the after-school program including personnel and operating costs with Federal grant reporting requirements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	10,204,186	10,200,000	11,200,000	11,200,000	11,200,000	11,200,000	11,200,000
Beginning Cash Balance	2,702,893	6,163,334	6,448,985	5,976,920	6,702,839	7,491,032	8,350,163
Revenues	10,265,706	6,748,372	6,798,808	8,553,966	9,323,823	10,162,967	10,162,967
Expenditures	6,805,265	7,412,848	7,270,873	7,830,853	8,535,630	9,303,836	9,303,836
Transfers							
List each net transfer in/out; list each account number							
AJV00222 (From: S-355 / To: S-346)		950,127					
AJV00135 (From: S-355 / To: S-346)				2,807			
Net Total Transfers	-	950,127	-	2,807			
Ending Cash Balance	6,163,334	6,448,985	5,976,920	6,702,839	7,491,032	8,350,163	9,209,294
Encumbrances	687,214	351,541	226,321	468,515			
Unencumbered Cash Balance	5,476,120	6,097,444	5,750,599	6,234,324	7,491,032	8,350,163	9,209,294

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Federal Grants Search, Development, and Application Revolving Fund
 Legal Authority: Section 302A-1405, HRS

Contact Name: Kendra Oishi
 Phone: 586-3800
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-347-E

Intended Purpose:

This fund was established in FY2000-01 to deposit the recovery of administrative or central service costs incurred to carry out Federal grant awards through an indirect cost assessment authorized by the Federal government.

Source of Revenues:

Revenues are from indirect costs assessed on discretionary competitive Federal grants awarded to the department.

Current Program Activities/Allowable Expenses:

Allowable expenses are not limited to search and development applications but can be used for administrative purposes, such as developing program applications to secure additional revenue for the department.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,399,571	2,417,023	2,383,143	2,385,967	2,385,967	2,385,967	2,385,967
Beginning Cash Balance	2,845,255	3,278,134	3,092,296	1,571,916	591,538	456,538	321,538
Revenues	1,015,747	1,183,270	14,425	16,124	15,000	15,000	15000
Expenditures	582,868	1,369,108	1,543,997	998,119	150,000	150,000	150000
Transfers							
List each net transfer in/out; list each account number							
AJV01531 (Federal indirect cost assessments)							
AJV00158 (Federal indirect cost assessments)							
AJV01039 (Federal indirect cost assessments)							
AJV01135 (Federal indirect cost assessments)							
AJV00772 (Federal indirect cost assessments)	1,051,280						
AJV00637 (Federal indirect cost assessments)		1,088,627					
AJV00164 (Federal indirect cost assessments)			8,964				
AJV00698 (Federal indirect cost assessments)			111				
AJV00799 (Federal indirect cost assessments)			116				
AJV00184 (Federal indirect cost assessments)				377			
AJV01729 (Federal indirect cost assessments)				1,243			
AJV - Various	(35,534)	94,643					
Rounding/misc adjustment	1	-		(3)			
Net Total Transfers	1,015,747	1,183,270	9,191	1,617			
Ending Cash Balance	3,278,134	3,092,296	1,571,916	591,538	456,538	321,538	186,538
Encumbrances	85,290	156,723	119,975	10,087			
Unencumbered Cash Balance	3,192,844	2,935,573	1,451,941	581,451	456,538	321,538	186,538

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Education Research and Development Revolving Fund
 Legal Authority: Section 302A-305, HRS

Contact Name: Budget Branch
 Phone: 784-6022
 Fund type (MOF) Revolving
 Appropriation Acct. No. S-348-E

Intended Purpose:

This fund was established in 2001 to deposit revenues from the commercial exploitation of products and services developed by the department.

Source of Revenues:

Revenues are from the sale of products and services developed by the department. For example, the fund has received royalties from the marketing and sublicensing of the department's Integrated Special Education System.

Current Program Activities/Allowable Expenses:

Allowable expenses include support for research and development activities within the department that will result in innovative curriculum, instructional materials, and information systems that can be marketed and sold to consumers outside the system.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,009,947	1,009,947	1,009,947	n/a			
Beginning Cash Balance	120,883	120,883	120,883	91,363	0	0	0
Revenues	-	-	652	269	-	-	-
Expenditures	-	-	30,172	91,011	-	-	-
Transfers							
List each net transfer in/out; list each account number							
AJV00715 (From: S-348 / To: S-325)				(621)			
Net Total Transfers	-	-		(621)			
Ending Cash Balance	120,883	120,883	91,363	0	0	0	0
Encumbrances	-	-	91,011	-			
Unencumbered Cash Balance	120,883	120,883	352	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Driver Education Fund
 Legal Authority: Section 431:10C-115 and 431:10G-107, HRS

Contact Name: Jan Meeker
 Phone: 305-9773
 Fund type (MOF) Interdepartmental Transfer
 Appropriation Acct. No. S-350-E

Intended Purpose:

This fund was never created by statute, but was established in 1987 by the department to deposit fees collected by the insurance commissioner from motor vehicle insurers under Section 431:10C-115, HRS.

Source of Revenues:

Revenues are primarily received from the Department of Commerce and Consumer Affairs in accordance with Section 431:10C-115 and 431:10G-107, HRS.

Current Program Activities/Allowable Expenses:

Allowable expenses support the drivers education program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,999,991	4,003,468	4,004,133	3,995,605	3,995,605	3,995,605	3,995,605
Beginning Cash Balance	3,003,283	3,322,882	3,550,770	3,664,861	3,872,924	3,472,924	3,072,924
Revenues	1,904,400	1,904,216	2,054,910	2,054,110	2,000,000	2,000,000	2,000,000
Expenditures	1,584,800	1,676,328	1,940,819	1,846,047	2,400,000	2,400,000	2,400,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(1)	-					
Net Total Transfers	(1)	-					
Ending Cash Balance	3,322,882	3,550,770	3,664,861	3,872,924	3,472,924	3,072,924	2,672,924
Encumbrances	234,705	325,988	183,962	230,740			
Unencumbered Cash Balance	3,088,177	3,224,782	3,480,898	3,642,184	3,472,924	3,072,924	2,672,924

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 200
 Name of Fund: Arts in Public Places
 Legal Authority: Section 302A-420

Contact Name: Una Chan
 Phone: 305-9709
 Fund type (MOF) Interdepartmental Transfer
 Appropriation Acct. No. S-353-E

Intended Purpose:

This fund was established to deposit funds received from the Works of Art Special Fund to implement an integrated visual arts in education program.

Source of Revenues:

Revenues are from the Works of Art Special Fund.

Current Program Activities/Allowable Expenses:

Allowable expenses include establishing and implementing an integrated visual arts in education program as joint collaboration between the DOE, Artist-in-the-School Program, and State Foundation on Culture and the Arts.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	252,604	254,368	266,380	270,031	270,031	270,031	270,031
Beginning Cash Balance	(14,480)	4,735	58,231	18,688	19,155	19,155	19,155
Revenues	144,767	202,012	147,826	215,368	215,000	215,000	215,000
Expenditures	125,551	148,516	187,369	214,901	215,000	215,000	215,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(1)	-					
Net Total Transfers	(1)	-					
Ending Cash Balance	4,735	58,231	18,688	19,155	19,155	19,155	19,155
Encumbrances	-	9,588	19	-			
Unencumbered Cash Balance	4,735	48,643	18,668	19,155	19,155	19,155	19,155

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): _____
 Name of Fund: _____
 Legal Authority: _____

Contact Name: Budget Branch
 Phone: 784-6022
 Fund type (MOF) Interdepartmental Transfer
 Appropriation Acct. No. S-360-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling			1,600,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance			-	476,025	1,002,149	1,202,149	1,402,149
Revenues			1,156,525	1,445,673	1,300,000	1,300,000	1,300,000
Expenditures			1,353,593	919,549	1,100,000	1,100,000	1,100,000
Transfers							
List each net transfer in/out; list each account number							
AJV00128 (From: S-210 / To: S-360)			673,093				
Net Total Transfers			673,093				
Ending Cash Balance			476,025	1,002,149	1,202,149	1,402,149	1,602,149
Encumbrances			-	-			
Unencumbered Cash Balance			476,025	1,002,149	1,202,149	1,402,149	1,602,149

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: _____
 Prog ID(s): _____
 Name of Fund: _____
 Legal Authority: _____

Contact Name: Budget Branch
 Phone: 784-6022
 Fund type (MOF) Interdepartmental Transfer
 Appropriation Acct. No. S-361-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling			1,900,000	2,500,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance			-	1,046,604	1,114,655	1,194,655	1,274,655
Revenues			195,395	173,531	180,000	180,000	180,000
Expenditures			86,358	105,479	100,000	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
AJV00128 (From: S-210 / To: S-361)			937,567				
Net Total Transfers			937,567				
Ending Cash Balance			1,046,604	1,114,655	1,194,655	1,274,655	1,354,655
Encumbrances			-	-			
Unencumbered Cash Balance			1,046,604	1,114,655	1,194,655	1,274,655	1,354,655

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: OHA Ceded Land Proceeds
 Legal Authority: Executive Order No. 03-03

Contact Name: Trisha Kaneshiro
 Phone: 586-2861
 Fund type (MOF) Trust
 Appropriation Acct. No. T-901-E

Intended Purpose:

This fund temporarily holds assessments made on the Department's ceded lands, which are remitted to the Office of Hawaiian Affairs (OHA) on a quarterly basis.

Source of Revenues:

Revenues are from ceded land proceeds.

Current Program Activities/Allowable Expenses:

Funds are remitted to the Office of Hawaiian Affairs

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a			
Beginning Cash Balance	97,411	86,286	85,199	83,901	82,858	82,858	82,858
Revenues	105,100	111,834	112,294	120,205	115,000	115,000	115,000
Expenditures	116,225	112,921	113,592	121,247	115,000	115,000	115,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-				
Ending Cash Balance	86,286	85,199	83,901	82,858	82,858	82,858	82,858
Encumbrances			-	-			
Unencumbered Cash Balance	86,286	85,199	83,901	82,858	82,858	82,858	82,858

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Donations - Operating
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No. T-902-E

Intended Purpose:

This fund was established in 1961 to account for donations made to schools for specific purposes.

Source of Revenues:

Revenues are from funds donated to schools for specific purposes.

Current Program Activities/Allowable Expenses:

Allowable expenses are those specified by the donations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	7,260,000	1,650,000	5,060,000	4,410,000	4,410,000	4,410,000	4,410,000
Beginning Cash Balance	7,782,622	9,009,040	9,495,706	11,478,157	14,342,919	15,842,919	17,342,919
Revenues	1,961,661	2,507,797	3,055,879	4,264,891	3,000,000	3,000,000	3,000,000
Expenditures	735,243	2,021,131	1,044,858	1,402,230	1,500,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out; list each account number							
AJV00089 (From: T-902 / To: T-913)	-	-	(28,576)				
AJV01230 (From: T-913 / To: T-902)			6				
AJV01295 (From: T-913 / To: S-902)				1,500			
Rounding/misc adjustment				601			
Net Total Transfers	-	-	(28,570)	2,101			
Ending Cash Balance	9,009,040	9,495,706	11,478,157	14,342,919	15,842,919	17,342,919	18,842,919
Encumbrances	1,702,302	547,756	387,051	171,287			
Unencumbered Cash Balance	7,306,738	8,947,950	11,091,106	14,171,633	15,842,919	17,342,919	18,842,919

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Donations - Facilities
 Legal Authority: _____

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Trust
 Appropriation Acct. No. T-903-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling				100,000	100,000	100,000	100,000
Beginning Cash Balance				-	48,784	-	-
Revenues				48,784	-	-	-
Expenditures				-	48,784	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance				48,784	-	-	-
Encumbrances				48,784			
Unencumbered Cash Balance				-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Foundations & Other Grants - Operating
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Trust
 Appropriation Acct. No. T-913-E

Intended Purpose:

This fund was established in 1961 to account for grants received from foundations, other non-profit organizations, and other state agencies for various projects.

Source of Revenues:

Revenues are from grants received from foundations and other non-profit organizations and agencies for various programs and projects.

Current Program Activities/Allowable Expenses:

Allowable expenses are those specified by the grants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	8,678,000	18,900,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	10,835,108	11,272,710	12,919,394	8,645,779	7,487,177	6,487,177	5,487,177
Revenues	4,157,556	12,734,897	4,719,878	3,989,796	4,000,000	4,000,000	4,000,000
Expenditures	3,725,196	11,142,702	9,007,869	5,092,293	5,000,000	5,000,000	5,000,000
Transfers							
List each net transfer in/out; list each account number							
AJV00089 (From: T-902 / To: T-913)			28,576				
AJV01230 (From: T-913 / To: T-902)			(6)				
AJV00496 (From: T-913 / To: S-275)			(14,193)				
AJV00165 (From: T-913 / To: S-302)				(168)			
AJV00793 (From: T-913 / To: T-936)				(28)			
AJV01295 (From: T-913 / To: S-902)				(1,500)			
Various AJVs	5,266	-	-	-			
Rounding/misc adjustment	(24)	54,489		(54,410)			
Net Total Transfers	5,242	54,489	14,377	(56,106)			
Ending Cash Balance	11,272,710	12,919,394	8,645,779	7,487,177	6,487,177	5,487,177	4,487,177
Encumbrances	1,393,636	6,951,064	2,118,712	1,159,484			
Unencumbered Cash Balance	9,879,074	5,968,330	6,527,068	6,327,693	6,487,177	5,487,177	4,487,177

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Foundations & Other Grants - Facilities
 Legal Authority: _____

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Trust
 Appropriation Acct. No. T-914-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				n/a			
Beginning Cash Balance				-	-	-	-
Revenues				-	-	-	-
Expenditures				-	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment							
Net Total Transfers							
Ending Cash Balance				-	-	-	-
Encumbrances				-			
Unencumbered Cash Balance				-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Athletic Trust Fund
 Legal Authority: Section 302A-1122, HRS

Contact Name: Raymond Fujino
 Phone: 421-4394
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-915-E

Intended Purpose:

This fund was established 30 years ago to account for funds collected from athletic event-related activities.

Source of Revenues:

Revenues are from athletic event admission fees, league shares, activity book sales, and donations.

Current Program Activities/Allowable Expenses:

Allowable expenses are for school athletic programs. Funds are expended for supplies, equipment, travel, and other sports program expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,332,048	1,520,338	1,530,438	1,350,000	1,350,000	1,350,000	1,350,000
Beginning Cash Balance	1,293,573	1,204,725	1,123,582	1,190,794	1,252,014	1,241,314	1,230,614
Revenues	1,056,515	871,097	1,004,408	837,127	942,300	942,300	942,300
Expenditures	1,145,362	952,240	937,195	775,908	953,000	953,000	953,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(1)						
Net Total Transfers	(1)						
Ending Cash Balance	1,204,725	1,123,582	1,190,794	1,252,014	1,241,314	1,230,614	1,219,914
Encumbrances	115,471	172,092	128,402	71,907			
Unencumbered Cash Balance	1,089,254	951,490	1,062,392	1,180,107	1,241,314	1,230,614	1,219,914

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: OLELO-Educational Program Public TV
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No. T-916-E

Intended Purpose:

This fund was established in 1992 to account for funds received under contract with Olelo: The Corporation for Community Television.

Source of Revenues:

Revenues are from funds received under contract with Olelo

Current Program Activities/Allowable Expenses:

Allowable expenses are for educational programs for the community. Expenses are for (1) live and interactive instruction, (2) supplementary classroom instructions, (3) instructional programs for home viewers, (4) student exhibits and school productions, and (5) information dissemination and networking.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000	1,200,000
Beginning Cash Balance	847,773	871,385	979,759	1,126,316	1,387,353	1,537,353	1,687,353
Revenues	616,181	735,332	745,130	761,255	700,000	700,000	700,000
Expenditures	592,568	626,958	598,573	500,216	550,000	550,000	550,000
Transfers							
List each net transfer in/out; list each account number							
Rounding/misc adjustment	(1)			(2)			
Net Total Transfers	(1)	-	-	(2)			
Ending Cash Balance	871,385	979,759	1,126,316	1,387,353	1,537,353	1,687,353	1,837,353
Encumbrances	45,702	15,378	27,025	33,324			
Unencumbered Cash Balance	825,683	964,381	1,099,291	1,354,028	1,537,353	1,687,353	1,837,353

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN500
 Name of Fund: Adult Education Enrollment/Testing Fund
 Legal Authority: Act 164, SLH 2011 (Executive Biennium Budget)

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No. T-921-E

Intended Purpose: Adult community education.

Source of Revenues: Fees

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: None

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	4,000,000	4,000,000	4,000,000	4,000,000	2,260,000	2,260,000	2,260,000
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues	-	-	-	-	-	-	-
Expenditures	-	-	-	-	-	-	-
Transfers							
List each net transfer in/out; list each account number	-	-					
Net Total Transfers	-	-	-	-			
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances	-	-	-	-			
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Office of Hawaiian Affairs
 Legal Authority: Section 302A-1122, HRS

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF): Trust
 Appropriation Acct. No. T-936-E

Intended Purpose:

This fund was established in 1991 to account for grants received from the Office of Hawaiian Affairs (OHA) for tutorial and other educational projects at various schools.

Source of Revenues:

Revenues are from OHA.

Current Program Activities/Allowable Expenses:

Allowable expenses are those spent for students of Hawaiian ancestry. The funds support tutorial and other educational programs at various schools focused on improving and uplifting educational conditions for students of Hawaiian ancestry.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	511,669	471,939	439,177	402,921	390,811	360,811	330,811
Revenues	-	-	-	-	-	-	-
Expenditures	39,730	32,762	36,256	12,137	30,000	30,000	30,000
Transfers							
List each net transfer in/out; list each account number							
AJV00793 (From: T-916 / To: T-936)				28			
Net Total Transfers	-	-	-	28			
Ending Cash Balance	471,939	439,177	402,921	390,811	360,811	330,811	300,811
Encumbrances	-	-	199	1,403			
Unencumbered Cash Balance	471,939	439,177	402,722	389,408	360,811	330,811	300,811

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Alu Like Projects
 Legal Authority: Section 302A-1122

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Trust
 Appropriation Acct. No. T-938-E

Intended Purpose:

This fund was established in 1992 to account for moneys received through a memorandum of agreement with Alu Like, Inc.'s Native Hawaiian Vocational Education Program.

Source of Revenues:

Revenues are from funds received under contract with Alu Like.

Current Program Activities/Allowable Expenses:

Allowable expenses are for students of Hawaiian ancestry who are participating in vocational educational programs. Educational programs provide career education counseling for students, staff development programs, and curriculum development.

Purpose of Proposed Ceiling Adjustment (if applicable):

To allow the expenditure of trust funds for allowable purposes under the MOA.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	230,000	340,000	300,000	330,000	330,000	330,000	330,000
Beginning Cash Balance	70,036	62,709	49,428	55,849	60,286	50,286	40,286
Revenues	198,152	302,254	288,537	269,491	260,000	260,000	260,000
Expenditures	205,479	315,535	282,116	265,055	270,000	270,000	270,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	62,709	49,428	55,849	60,286	50,286	40,286	30,286
Encumbrances	7,089	4,449	3,586	5,325			
Unencumbered Cash Balance	55,620	44,979	52,263	54,961	50,286	40,286	30,286

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 100
 Name of Fund: Settlements - Operating
 Legal Authority:

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Trust
 Appropriation Acct. No. T-968-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	-	600,000	n/a	400,000	400,000	400,000	400,000
Beginning Cash Balance	-	-	564,781	368,675	370,538	0	0
Revenues	-	565,750	-	1,863	-	-	-
Expenditures	-	969	196,106	-	370,538	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	-	-	-	-			
Ending Cash Balance	-	564,781	368,675	370,538	0	0	0
Encumbrances	-	-	-	-			
Unencumbered Cash Balance	-	564,781	368,675	370,538	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): EDN 400
 Name of Fund: Settlements - Facilities
 Legal Authority

Contact Name: Budget Branch
 Phone: 784-6030
 Fund type (MOF) Trust
 Appropriation Acct. No. T-969-E

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	-	-	-	250,000	250,000	250,000	250,000
Beginning Cash Balance	-	-	-	-	143,177	-	-
Revenues	-	-	-	143,177	-	-	-
Expenditures	-	-	-	-	143,177	-	-
Transfers							
List each net transfer in/out; list each account number	-	-	-				
	-	-	-				
	-	-	-				
Net Total Transfers	-	-	-	-			
Ending Cash Balance	-	-	-	143,177	-	-	-
Encumbrances	-	-	-	143,177			
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: EDN
 Prog ID(s): not applicable
 Name of Fund: Agency Fund
 Legal Authority: 302A-1130

Contact Name: Trisha Kaneshiro
 Phone: 586-2861
 Fund type (MOF) Trust
 Appropriation Acct. No. T-999-E

Intended Purpose:

This Trust Account exists to allow schools to collect and expend funds for co-curricular activities.

Source of Revenues:

Revenues include school registration fees, field trip fees, fundraisers, club dues, sport team concession revenue, athletic activity books, yearbooks, uniforms, student association or student government dues, class dues, other authorized fees.

Current Program Activities/Allowable Expenses:

Funds collected are to be used to pay for student activities which are authorized by the school principal and which complement classroom instruction by providing learning experiences which meet individual needs and develop citizenship skills and positive attitudes in a less formal educational settings.

Purpose of Proposed Ceiling Adjustment (if applicable):

Not applicable.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	n/a	n/a	n/a	n/a			
Beginning Cash Balance	23,313,952	23,837,422	25,276,097	25,904,565	27,107,086	28,107,086	29,107,086
Revenues	30,796,659	30,482,319	32,609,769	32,713,724	33,000,000	33,000,000	34,000,000
Expenditures	30,273,189	29,043,644	31,981,301	31,511,203	32,000,000	32,000,000	33,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	23,837,422	25,276,097	25,904,565	27,107,086	28,107,086	29,107,086	30,107,086
Encumbrances							
Unencumbered Cash Balance	23,837,422	25,276,097	25,904,565	27,107,086	28,107,086	29,107,086	30,107,086

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Libraries Services & Technology Act
 Legal Authority: LSTA P.L. 104-208

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF) Special (P)
 Appropriation Acct. No. S-247-E

Intended Purpose: Support the LSTA goals of establishing electronic linkages among and between libraries; assists libraries in accessing information through electronic networks; paying costs for libraries to acquire or share computer systems/ telecommunications technologies.

Source of Revenues: Federal Agency, Institute of Library and Museum Services

Current Program Activities/Allowable Expenses: Subscriptions to online database, computer hardwares & softwares, systems maintenance.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY14 & FY15 Expenditures: Revenue variances due to less grant received and expenditure variance due to less computer repair & mtnc cost.
 FY15 & FY16 Revenues and Expenditures: Variances due to increase cost of online database subscriptions and systems maintenance.
 FY16 & FY17 Revenues & Expenditures: Revenue variance is due to extra grant received in FY17; expenditure variance is due to acquisition of more e-books.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,639,760	1,365,244	1,365,244	1,365,244	1,365,244	1,365,244	1,365,244
Beginning Cash Balance	66,793	44,852	10,896	208	359,304	359,304	359,304
Revenues	1,655,900	1,035,941	1,151,422	1,653,735	1,000,000	1,000,000	1,000,000
Expenditures	1,677,841	1,069,897	1,162,110	1,294,639	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	44,852	10,896	208	359,304	359,304	359,304	359,304
Encumbrances	507,775	46,211	190,169	326,774	0	0	0
Unencumbered Cash Balance	(462,923)	(35,315)	(189,961)	32,530	359,304	359,304	359,304

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Libraries Special Fund
 Legal Authority: 312-3.5 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Special (B)
 Appropriation Acct. No.: S-335-E

Intended Purpose: The purpose of this fund is to allow the library system to maintain its collection level, since lost or overdue books reduce the collection and resources that are available to the public.

Source of Revenues: Collection of overdue fines and lost book charges from patrons.

Current Program Activities/Allowable Expenses: The Statutes only permit for the purchase of books and library materials from this fund.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY14 & FY15 Expenditures: Variance is due to increased online and ebooks subscription in FY15.
 FY15 & FY16 Revenue & Expenses: Revenue variance is due to decreased in collected fines; expense variance is due to less expenses due to lesser income. FY16 & FY17 Revenues and Expenses: Revenue variance is due to decreased in collected fines; expense variance is due to increased cost of library materials.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Beginning Cash Balance	970,779	1,527,202	633,236	1,558,063	1,083,771	1,083,771	1,083,771
Revenues	1,063,378	1,006,246	963,477	873,709	850,000	850,000	850,000
Expenditures	506,955	1,900,212	1,038,650	1,348,001	850,000	850,000	850,000
Transfers							
List each net transfer in/out; list each account number							
JS 4511, 02/22/16			1,000,000				
Net Total Transfers	0	0	1,000,000	0	0	0	0
Ending Cash Balance	1,527,202	633,236	1,558,063	1,083,771	1,083,771	1,083,771	1,083,771
Encumbrances	120,000	126,333	96,947	42,056	0	0	0
Unencumbered Cash Balance	1,407,201	506,904	1,461,116	1,041,715	1,083,771	1,083,771	1,083,771

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Library Fee for Enhanced Services
 Legal Authority: 312-21 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Special (B)
 Appropriation Acct. No.: S-336-E

Intended Purpose: The purpose of this program is to provide enhanced services to the patrons. The fund is to be used to maintain current services and initiate new services.

Source of Revenues: Collection of various fees for enhanced library services from patrons.

Current Program Activities/Allowable Expenses: Ongoing operation of its fee for enhanced services program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY14 & FY15 Revenue and Expenditures: Revenue variance due to decreased in video rental income; expense variance is due to increased online and ebooks subscription. FY15 and FY16 Expenses: Variance is due to decreased expenses due to lesser purchased of library materials. FY16 & FY17 Expenditures: Variance due to increased online and ebooks subscription.

Financial Data

	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,125,000	1,125,000	1,125,000	1,125,000	1,125,000	1,125,000	1,125,000
Beginning Cash Balance	3,160,022	3,536,039	3,275,678	2,322,778	1,237,315	1,237,315	1,237,315
Revenues	1,098,608	995,881	927,768	911,837	850,000	850,000	850,000
Expenditures	722,591	1,256,242	880,669	1,997,300	850,000	850,000	850,000
Transfers							
List each net transfer in/out; list each account number							
JS 4511, 02/22/16			(1,000,000)				
Net Total Transfers	0	0	(1,000,000)	0	0	0	0
Ending Cash Balance	3,536,039	3,275,678	2,322,778	1,237,315	1,237,315	1,237,315	1,237,315
Encumbrances	210,433	72,283	36,179	92,296			
Unencumbered Cash Balance	3,325,606	3,203,395	2,286,599	1,145,019	1,237,315	1,237,315	1,237,315

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Oahu Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-906-E

Intended Purpose: Donations to libraries to purchase books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY14 & FY15 Revenues and Expenditures: FY13 expenditures were reimbursed by UH's BTOP grant in FY14. Due to insufficient expenditures in FY 14, it was classified as revenues. FY14 & FY15 Expenditures: Variance in expenses due to purchase of more library materials in FY15. FY18 Estimated Expenditure: Will use donations to purchase library books and materials for this fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	283,104	372,705	368,772	373,890	379,697	290,697	293,697
Revenues	77,213	13,770	15,927	27,327	15,000	15,000	15,000
Expenditures	10,551	17,702	10,809	21,520	104,000	12,000	12,000
Transfers							
List each net transfer in/out; list each account number							
JT0895, 4/30/14	22,938						
Net Total Transfers	22,938	0	0	0	0	0	0
Ending Cash Balance	372,705	368,772	373,890	379,697	290,697	293,697	296,697
Encumbrances							
Unencumbered Cash Balance	372,705	368,772	373,890	379,697	290,697	293,697	296,697

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: HSPLS Computer Supplies - Donation
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-907-E

Intended Purpose: Purchase of computer printer toner and paper.

Source of Revenues: Donations by individuals.

Current Program Activities/Allowable Expenses: Computer supplies.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 Expenses: Variance is due to repair & maintenance of computer equipment and purchase of computer supplies.
 FY18 Estimated Expenditure: Will use donations to purchase library books and materials for this fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	455,419	525,822	580,998	638,995	698,982	408,982	468,982
Revenues	109,224	115,342	120,640	126,112	120,000	120,000	120,000
Expenditures	38,820	60,166	62,643	66,125	410,000	60,000	60,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	525,822	580,998	638,995	698,982	408,982	468,982	528,982
Encumbrances							
Unencumbered Cash Balance	525,822	580,998	638,995	698,982	408,982	468,982	528,982

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Maui & Kauai Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-911-E

Intended Purpose: Purchase of books, library materials, equipment or servies.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: FY15 & FY16 Revenues: Variance in revenue due to donations received from private individuals, DA and Friends of the Library of Hawaii in FY16.
 Library of Hawaii in FY13. Variance in expenditure is due to libraries expending less than expected.
 FY18 Estimated Expenditure: Will use donations to purchase library books and materials for this fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	153,136	145,875	143,786	144,967	131,488	128,488	125,488
Revenues	2,505	5,141	10,083	2,451	2,000	2,000	2,000
Expenditures	9,766	7,230	8,903	15,930	5,000	5,000	5,000
Transfers							
List each net transfer in/out; list each account number							
JT0099, 7/26/12							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	145,875	143,786	144,967	131,488	128,488	125,488	122,488
Encumbrances							
Unencumbered Cash Balance	145,875	143,786	144,967	131,488	128,488	125,488	122,488

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Hawaii Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-912-E

Intended Purpose: Purchase of books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY14 & FY15 Expense: Variance due to decreased purchase of library materials in FY15.
 FY18 Estimated Expenditure: Will use donations to purchase library books and materials for this fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	111,335	100,639	96,437	99,439	185,301	129,301	128,301
Revenues	2,003	3,799	5,302	93,462	4,000	4,000	4,000
Expenditures	12,700	8,000	2,300	7,600	60,000	5,000	5,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	100,639	96,437	99,439	185,301	129,301	128,301	127,301
Encumbrances							
Unencumbered Cash Balance	100,639	96,437	99,439	185,301	129,301	128,301	127,301

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	10,764	10,764	10,764	10,764			

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: HSL & LBPH Public Libraries - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-917-E

Intended Purpose: Purchase of books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Accordance to the terms and conditions of the donation.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY13 & FY14 Revenues and Expenditures: Variance in revenue due to large donations from the Friends of the Library of Hawaii in FY14. Variance in expenditures due to libraries expended more than expected. FY15 & FY16 Expenses: Variance is due to decreased purchase of library materials in FY16. FY17 Revenues: Variance due to a \$230k single donation received by LBPH from a Trust Fund.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	353,283	340,737	312,454	328,192	577,353	592,353	607,353
Revenues	60,012	24,368	28,084	274,931	40,000	40,000	40,000
Expenditures	49,620	52,652	12,346	25,770	25,000	25,000	25,000
Transfers							
List each net transfer in/out; list each account number							
JT0895, 4/30/14	(22,938)						
Net Total Transfers	(22,938)	0	0	0	0	0	0
Ending Cash Balance	340,737	312,454	328,192	577,353	592,353	607,353	622,353
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	340,737	312,453	328,191	577,352	592,352	607,352	622,352

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Friends of the Library of Hawaii - Donations & Gifts
 Legal Authority: 312-1 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-918-E

Intended Purpose: Purchase of books, library materials, equipment or services.

Source of Revenues: Donations by individuals or groups.

Current Program Activities/Allowable Expenses: Development, use, support and maintenance of libraries and library services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY14 & FY15 Revenues: Variance in revenue due to two large donations from the Friends of the Library of Hawaii.

FY16 Revenues: Increased in revenue due to the \$35,272 donation received for the Na'alehu Portable Project.

FY18 Estimated Expenditure: Will use donations to purchase library books and materials for this fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	403,941	340,509	336,214	369,166	399,448	273,748	298,748
Revenues	1,052	1,597	37,276	33,702	30,000	30,000	30,000
Expenditures	64,484	5,892	4,323	3,420	155,700	5,000	5,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	340,509	336,214	369,166	399,448	273,748	298,748	323,748
Encumbrances			2,149				
Unencumbered Cash Balance	340,509	336,214	367,018	399,448	273,748	298,748	323,748

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Hawaii Public Library System - Payroll Collections
 Legal Authority: 41D-4 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-919-E

Intended Purpose: To accumulate monies collected back from payroll overpayment before turning it over to DAGS Central Payroll.

Source of Revenues: Monies collected back from payroll overpayment to former HSPLS employees.

Current Program Activities/Allowable Expenses: Return funds to DAGS Central Payroll.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY18 Estimated Expenditure: Will turn over collected funds back to DAGS Central Payroll.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	2,778	0	0
Revenues	0	0	0	2,778	924	0	0
Expenditures	0	0	0	0	3,702	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2,778	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	2,778	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: DOE (HSPLS)
 Prog ID(s): EDN 407
 Name of Fund: Risk Management - Fire & Casualty Losses - HSPLS
 Legal Authority: 41D-4 HRS

Contact Name: Sonia Mejes
 Phone: (808) 586-3700
 Fund type (MOF): Trust
 Appropriation Acct. No.: T-967-E

Intended Purpose: Funds will be used for the purpose identified in the settlement agreement.

Source of Revenues: Monies received from settlements or claims or losses to HSPLS.

Current Program Activities/Allowable Expenses: Repair/replace damages/losses.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY14: Revenue and expenditure variances due to damage settlements.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,649	7,617	7,667	7,061	6,648	6,648	6,648
Revenues	5,837	50	0	813	0	0	0
Expenditures	3,869	0	606	1,226	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,617	7,667	7,061	6,648	6,648	6,648	6,648
Encumbrances							
Unencumbered Cash Balance	7,617	7,667	7,061	6,648	6,648	6,648	6,648

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Loan Fund
 Legal Authority: Section 213(b), Hawaiian Homes Commission Act of 1920 as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) W
 Appropriation Acct. No. S-302-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,000,000					
Beginning Cash Balance	2,414,975	2,430,751	2,571,114	2,732,907	2,483,602	2,483,602	2,483,602
Revenues	190,034	150,192	161,793	141,645			
Expenditures	174,258	9,829	0	390,950			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	2,414,976	930,752		1,500,000			
	(2,414,976)	(930,752)		(1,500,000)			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,430,751	2,571,114	2,732,907	2,483,602	2,483,602	2,483,602	2,483,602
Encumbrances							
Unencumbered Cash Balance	2,430,751	2,571,114	2,732,907	2,483,602	2,483,602	2,483,602	2,483,602

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Loan Fund (S-302)

Means of Financing: Revolving Fund

1. Description and Purpose of Fund (including revenue source):

The Hawaiian Home Loan Fund was established in 1921 as a revolving fund. Section 4 of the Hawaii Admissions Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) made the Hawaiian Homes Commission Act (HHCA) a State law by compact with the United States. Under this compact, the Hawaiian Home Loan Fund may not be the subject of amendments that impair or reduce its powers and benefits without the consent of Congress.

Section 4 of the Hawaii Admissions Act, states in part:

"the Hawaiian home-loan fund, the Hawaiian home-operating fund, and the Hawaiian home-development fund shall not be reduced or impaired by any such amendment, whether made in the constitution or in the manner required for State legislation, and the encumbrances authorized to be placed on Hawaiian home lands by officers other than those charged with the administration of said Act, shall not be changed except with the consent of the United States" (emphasis added).

REVENUE LINE ITEMS:

Loan Principal Repayment - Pursuant to section 213(b) of the Hawaiian Homes Commission Act (HHCA), any principal repayment arising out of loans from the Hawaiian Home Loan Fund are to be credited to and deposited into the Hawaiian Home Loan Fund.

The Hawaiian Home Loan Fund is used principally to pay the net proceeds when a homestead lease is canceled or surrendered or when a lessee dies without leaving a qualified successor.

2. Statutory Basis of Fund:

Section 213 (b), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home General Loan Fund
 Legal Authority: Section 213(c), Hawaiian Homes Commission Act of 1920 as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) W
 Appropriation Acct. No. S-323-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	14,806,231	14,359,820	16,825,479	21,687,197	27,251,763	27,251,763	27,251,763
Revenues	211,151	7,015,808	5,562,684	4,461,176			
Expenditures	2,617,541	4,550,149	4,680,966	3,596,610			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	16,766,212	17,045,103	20,856,741	14,700,000			
	(14,806,233)	(17,045,103)	(16,876,741)	(10,000,000)			
Net Total Transfers	1,959,979	0	3,980,000	4,700,000	0	0	0
Ending Cash Balance	14,359,820	16,825,479	21,687,197	27,251,763	27,251,763	27,251,763	27,251,763
Encumbrances							
Unencumbered Cash Balance	14,359,820	16,825,479	21,687,197	27,251,763	27,251,763	27,251,763	27,251,763

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home General Loan Fund (S-323)

Means of Financing: Revolving Fund

1. Description and Purpose of Fund (including revenue source):

Act 249, passed by the 1986 State Legislature consolidated all remaining loan funds other than the Hawaiian home-loan fund into the Hawaiian home-general loan fund. These loan funds were established through various amendments to the Act since statehood. The major source of receipts for this fund is through principal repayment of loans.

The department can make loans from the revolving funds for the following purposes:

1. Repair, maintenance, purchase or erection of dwellings on any tract and any permanent improvements.
2. Purchase of livestock and farm equipment (including aquaculture).
3. Assistance in developing land.
4. Farm loans not to exceed \$20,000.
5. Commercial loans.

In addition, the department may:

6. Use money in the Hawaiian home-operating fund to secure matching funds from federal, state or county agencies.
7. Loan or guarantee the repayment of loans up to fifty percent (50%) of the maximum single-residence loan amount allowed in Hawaii by the United States Department of Housing and Urban Development, Federal Housing Administration (FHA).
8. Permit loans to lessees by government agencies or private lenders, where the department assures payment of such loans in case of default.
9. Secure, pledge, or otherwise guarantee the repayment of money borrowed by the department from government agencies or private lenders. Money borrowed by the department or on departmental guarantees of loan shall at no time exceed \$100,000,000.

10. Use available loan fund monies or other funds as cash guarantees when required by lenders.
 11. Exercise the functions of a lender of money in all direct loans made by government agencies or private lenders to lessees of which repayment is guaranteed by the department.
 12. Pledge receivables of loan accounts outstanding as collateral to secure loans made by government agencies or private lenders to the department, the proceeds of which shall be used to make new loans.
 13. Transfer into the Hawaiian home-trust fund any available money from any loan fund, except the Hawaiian home-loan fund, for use as cash guarantees or reserves when required by a federal agency authorized to insure or guarantee loans to lessees.
- 2. Statutory Basis of Fund:**

Section 213 (c), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Operating Fund
 Legal Authority: Section 213(e), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-905-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	157,015,612	10,756,146	11,037,323				
Beginning Cash Balance	894,165	1,101,638	1,599,294	2,088,540	3,715,502	3,715,502	3,715,502
Revenues	6,252	0	93				
Expenditures	3,898,779	2,271,623	2,510,847	373,038			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	4,523,353	3,740,184	3,000,000	3,599,387			
	(423,353)	(970,905)	0	(1,599,387)			
Net Total Transfers	4,100,000	2,769,279	3,000,000	2,000,000	0	0	0
Ending Cash Balance	1,101,638	1,599,294	2,088,540	3,715,502	3,715,502	3,715,502	3,715,502
Encumbrances	0	38,478		1,418,576	1,418,576	1,418,576	1,418,576
Unencumbered Cash Balance	1,101,638	1,560,816	2,088,540	2,296,927	2,296,927	2,296,927	2,296,927

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Operating Fund (T-905, T-906, T-915)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

The Hawaiian Home-Development Fund, established in 1941 as a special fund, and the Hawaiian Home Operating Fund, established in 1948 as a revolving fund, were merged into one fund, the Hawaiian Home Operating Fund, in 1986. Section 4 of the Hawaii Admissions Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) made the Hawaiian Homes Commission Act (HHCA) a State law by compact with the United States. Under this compact, the Hawaiian Home Development Fund and the Hawaiian Home Operating Fund may not be the subject of amendments that impair or reduce them without the consent of Congress. Consequently, the Hawaiian Home Operating Fund is managed as two separate portions, the operating portion and the development portion, to retain the characteristics and substance of the predecessors of this fund.

Section 4 of the Hawaii Admissions Act, states in part:

"the Hawaiian home-loan fund, the Hawaiian home-operating fund, and the Hawaiian home-development fund shall not be reduced or impaired by any such amendment, whether made in the constitution or in the manner required for State legislation, and the encumbrances authorized to be placed on Hawaiian home lands by officers other than those charged with the administration of said Act, shall not be changed except with the consent of the United States" (emphasis added).

REVENUE LINE ITEMS:

- 1-2) Loan Interest/ Investment Interest - Pursuant to section 213(b) of the Hawaiian Homes Commission Act (HHCA), any interest or earnings arising out of investments from the Hawaiian Home Loan Fund are to be credited to and deposited into the Hawaiian Home Operating Fund.
- 3) Miscellaneous - Pursuant to section 213(e) of the HHCA, all moneys received by the department from any other source, other than those prescribed in other funds of the HHCA, are deposited into the Hawaiian Home Operating Fund. This includes receipts collected from the Molokai and Anahola Water systems, hall rentals, and homestead lease payments.
- 4) Refund/ Reimbursables - Refunds and reimbursements of expenditures are deposited back to the fund of origination.

EXPENDITURE LINE ITEMS:

(1-14) Uses of the operating portion of the Hawaiian Home Operating Fund include:

1. Construction operation and maintenance of revenue-producing activities (such as the Molokai Water System, and the Anahola Farm Lots Water System, on the island of Kauai) that are intended to serve principally occupants on Hawaiian home lands.
2. Purchase of goods and services to be resold, rented or furnished on a charge basis to occupants of Hawaiian home lands. The department operates a community hall in Waimea on the island of Hawaii.
3. Cost of appraisals, studies, consultant services or other staff services, including those in section 202(b) of the HHCA. Some of DHHL temporary exempt hires are funded from this portion of the Operating Fund.

The uses of the development portion of the Hawaiian Home Operating Fund, which require approval of the Governor, include:

1. Improvement and development necessary to serve present and future occupants of Hawaiian home lands.
2. Improvements, additions, and repairs to all assets owned or leased by the department excluding structures or improvements that the department is obligated to acquire under Section 209 of the HHCA.
3. Engineering, architectural and planning services to maintain and develop properties.
4. For consultant services.
5. For purchase or lease of equipment.
6. For acquisition or lease of real property; and
7. Improvements constructed for the benefit of the beneficiaries of the HHCA and not otherwise permitted in the loan funds or Administration Account.

OTHER CHANGES IN FUND BALANCE:

- 1) The Hawaiian Homes Commission authorizes the quarterly transfer of moneys from the Hawaiian Home Receipts Fund to the Hawaiian Home Operating Fund.

- 2) Any amount in the Hawaiian Home Administration Account which is in excess of the amount approved by the State Legislature or made available for the fiscal period may be transferred to the Hawaiian Home Operating Fund.

2. Statutory Basis of Fund:

Section 213 (e), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Operating Fund
 Legal Authority: Section 213(e), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-906-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	269,477	269,477	199	0	0	0	0
Revenues	0	0	0				
Expenditures	0	0	199				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(269,278)					
Net Total Transfers	0	(269,278)	0	0	0	0	0
Ending Cash Balance	269,477	199	0	0	0	0	0
Encumbrances	52,213	0					
Unencumbered Cash Balance	217,264	199	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Operating Fund (T-905, T-906, T-915)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

The Hawaiian Home-Development Fund, established in 1941 as a special fund, and the Hawaiian Home Operating Fund, established in 1948 as a revolving fund, were merged into one fund, the Hawaiian Home Operating Fund, in 1986. Section 4 of the Hawaii Admissions Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) made the Hawaiian Homes Commission Act (HHCA) a State law by compact with the United States. Under this compact, the Hawaiian Home Development Fund and the Hawaiian Home Operating Fund may not be the subject of amendments that impair or reduce them without the consent of Congress. Consequently, the Hawaiian Home Operating Fund is managed as two separate portions, the operating portion and the development portion, to retain the characteristics and substance of the predecessors of this fund.

Section 4 of the Hawaii Admissions Act, states in part:

"the Hawaiian home-loan fund, the Hawaiian home-operating fund, and the Hawaiian home-development fund shall not be reduced or impaired by any such amendment, whether made in the constitution or in the manner required for State legislation, and the encumbrances authorized to be placed on Hawaiian home lands by officers other than those charged with the administration of said Act, shall not be changed except with the consent of the United States" (emphasis added).

REVENUE LINE ITEMS:

- 1-2) Loan Interest/ Investment Interest - Pursuant to section 213(b) of the Hawaiian Homes Commission Act (HHCA), any interest or earnings arising out of investments from the Hawaiian Home Loan Fund are to be credited to and deposited into the Hawaiian Home Operating Fund.
- 3) Miscellaneous - Pursuant to section 213(e) of the HHCA, all moneys received by the department from any other source, other than those prescribed in other funds of the HHCA, are deposited into the Hawaiian Home Operating Fund. This includes receipts collected from the Molokai and Anahola Water systems, hall rentals, and homestead lease payments.
- 4) Refund/ Reimbursables - Refunds and reimbursements of expenditures are deposited back to the fund of origination.

EXPENDITURE LINE ITEMS:

(1-14) Uses of the operating portion of the Hawaiian Home Operating Fund include:

1. Construction operation and maintenance of revenue-producing activities (such as the Molokai Water System, and the Anahola Farm Lots Water System, on the island of Kauai) that are intended to serve principally occupants on Hawaiian home lands.
2. Purchase of goods and services to be resold, rented or furnished on a charge basis to occupants of Hawaiian home lands. The department operates a community hall in Waimea on the island of Hawaii.
3. Cost of appraisals, studies, consultant services or other staff services, including those in section 202(b) of the HHCA. Some of DHHL temporary exempt hires are funded from this portion of the Operating Fund.

The uses of the development portion of the Hawaiian Home Operating Fund, which require approval of the Governor, include:

1. Improvement and development necessary to serve present and future occupants of Hawaiian home lands.
2. Improvements, additions, and repairs to all assets owned or leased by the department excluding structures or improvements that the department is obligated to acquire under Section 209 of the HHCA.
3. Engineering, architectural and planning services to maintain and develop properties.
4. For consultant services.
5. For purchase or lease of equipment.
6. For acquisition or lease of real property; and
7. Improvements constructed for the benefit of the beneficiaries of the HHCA and not otherwise permitted in the loan funds or Administration Account.

OTHER CHANGES IN FUND BALANCE:

- 1) The Hawaiian Homes Commission authorizes the quarterly transfer of moneys from the Hawaiian Home Receipts Fund to the Hawaiian Home Operating Fund.

- 2) Any amount in the Hawaiian Home Administration Account which is in excess of the amount approved by the State Legislature or made available for the fiscal period may be transferred to the Hawaiian Home Operating Fund.

2. Statutory Basis of Fund:

Section 213 (e), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Operating Fund
 Legal Authority Section 213 (e), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-915-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	15,390,105	15,616,295	18,204,556	34,860,588	31,896,171	31,896,171	31,896,171
Revenues	1,043,346	6,791,121	4,708,796	983,542			
Expenditures	1,784,698	1,702,860	2,187,035	1,901,225			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	9,367,542	8,750,000	30,838,434	10,576,034			
	(8,400,000)	(11,250,000)	(16,704,163)	(12,622,768)			
Net Total Transfers	967,542	(2,500,000)	14,134,271	(2,046,734)	0	0	0
Ending Cash Balance	15,616,295	18,204,556	34,860,588	31,896,171	31,896,171	31,896,171	31,896,171
Encumbrances	3,260,857	2,872,557	4,285,077	3,892,954	3,892,954	3,892,954	3,892,954
Unencumbered Cash Balance	12,355,438	15,331,999	30,575,511	28,003,217	28,003,217	28,003,217	28,003,217

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Operating Fund (T-905, T-906, T-915)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

The Hawaiian Home-Development Fund, established in 1941 as a special fund, and the Hawaiian Home Operating Fund, established in 1948 as a revolving fund, were merged into one fund, the Hawaiian Home Operating Fund, in 1986. Section 4 of the Hawaii Admissions Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) made the Hawaiian Homes Commission Act (HHCA) a State law by compact with the United States. Under this compact, the Hawaiian Home Development Fund and the Hawaiian Home Operating Fund may not be the subject of amendments that impair or reduce them without the consent of Congress. Consequently, the Hawaiian Home Operating Fund is managed as two separate portions, the operating portion and the development portion, to retain the characteristics and substance of the predecessors of this fund.

Section 4 of the Hawaii Admissions Act, states in part:

"the Hawaiian home-loan fund, the Hawaiian home-operating fund, and the Hawaiian home-development fund shall not be reduced or impaired by any such amendment, whether made in the constitution or in the manner required for State legislation, and the encumbrances authorized to be placed on Hawaiian home lands by officers other than those charged with the administration of said Act, shall not be changed except with the consent of the United States" (emphasis added).

REVENUE LINE ITEMS:

- 1-2) Loan Interest/ Investment Interest - Pursuant to section 213(b) of the Hawaiian Homes Commission Act (HHCA), any interest or earnings arising out of investments from the Hawaiian Home Loan Fund are to be credited to and deposited into the Hawaiian Home Operating Fund.
- 3) Miscellaneous - Pursuant to section 213(e) of the HHCA, all moneys received by the department from any other source, other than those prescribed in other funds of the HHCA, are deposited into the Hawaiian Home Operating Fund. This includes receipts collected from the Molokai and Anahola Water systems, hall rentals, and homestead lease payments.
- 4) Refund/ Reimbursables - Refunds and reimbursements of expenditures are deposited back to the fund of origination.

EXPENDITURE LINE ITEMS:

(1-14) Uses of the operating portion of the Hawaiian Home Operating Fund include:

1. Construction operation and maintenance of revenue-producing activities (such as the Molokai Water System, and the Anahola Farm Lots Water System, on the island of Kauai) that are intended to serve principally occupants on Hawaiian home lands.
2. Purchase of goods and services to be resold, rented or furnished on a charge basis to occupants of Hawaiian home lands. The department operates a community hall in Waimea on the island of Hawaii.
3. Cost of appraisals, studies, consultant services or other staff services, including those in section 202(b) of the HHCA. Some of DHHL temporary exempt hires are funded from this portion of the Operating Fund.

The uses of the development portion of the Hawaiian Home Operating Fund, which require approval of the Governor, include:

1. Improvement and development necessary to serve present and future occupants of Hawaiian home lands.
2. Improvements, additions, and repairs to all assets owned or leased by the department excluding structures or improvements that the department is obligated to acquire under Section 209 of the HHCA.
3. Engineering, architectural and planning services to maintain and develop properties.
4. For consultant services.
5. For purchase or lease of equipment.
6. For acquisition or lease of real property; and
7. Improvements constructed for the benefit of the beneficiaries of the HHCA and not otherwise permitted in the loan funds or Administration Account.

OTHER CHANGES IN FUND BALANCE:

- 1) The Hawaiian Homes Commission authorizes the quarterly transfer of moneys from the Hawaiian Home Receipts Fund to the Hawaiian Home Operating Fund.

- 2) Any amount in the Hawaiian Home Administration Account which is in excess of the amount approved by the State Legislature or made available for the fiscal period may be transferred to the Hawaiian Home Operating Fund.

2. Statutory Basis of Fund:

Section 213 (e), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Administration Account
 Legal Authority: Section 213(f), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) B
 Appropriation Acct. No. S-325-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,030,827	13,517,243	13,517,243				
Beginning Cash Balance	19,134,898	28,359,287	33,334,120	29,844,497	43,904,271	43,904,271	43,904,271
Revenues	15,790,556	15,519,340	13,351,879	16,262,711			
Expenditures	2,563,667	5,267,010	5,568,100	2,200,409			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				(2,500)	to S-398-I		
	13,900,000	16,178,652	19,229,098	0			
	(17,902,500)	(21,456,149)	(30,502,500)	0			
Net Total Transfers	(4,002,500)	(5,277,497)	(11,273,402)	(2,500)	0	0	0
Ending Cash Balance	28,359,287	33,334,120	29,844,497	43,904,271	43,904,271	43,904,271	43,904,271
Encumbrances	867,885	2,655,915	2,223,181	2,313,024	2,313,024	2,313,024	2,313,024
Unencumbered Cash Balance	27,491,402	30,678,205	27,621,316	41,591,247	41,591,247	41,591,247	41,591,247

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Administration Account (S-325, S-326, S-305)

Means of Financing: Special Fund

1. Description and Purpose of Fund (including revenue source):

In 1959, the Hawaii Admission Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) provided that ownership of Hawaiian home lands (HHL) be transferred from the United States to the State of Hawaii. The Admission Act also provided that the HHL, as well as proceeds and income therefrom were to be held by the State in trust for native Hawaiians and administered in accordance with the Act, and that use of HHL for any other purpose would constitute a breach of trust for which suit may be brought by the United States.

Section 4 of the Hawaii Admissions Act, states in part:

"(3) that all proceeds and income from the "available lands" as defined by said Act, shall be used only in carrying out the provisions of said Act" (emphasis added).

Section 5(f) of the Hawaii Admissions Act, states in part:

"Such lands, proceeds, and income shall be managed and disposed of for one or more of the foregoing purposes in such manner as the constitution and laws of said State may provide, and their use for any other object shall constitute a breach of trust for which suit may be brought by the United States." (emphasis added).

REVENUE LINE ITEMS:

- 1) The entire receipts from any leasing or other dispositions of "available lands" are deposited into this account. Any interest or other earnings arising out of investments from this fund are to be credited to this fund.

Receipts of this fund are from general leases, licenses, revocable permits, rights of entry, and other dispositions of Hawaiian home lands. The Admission Act and the Constitution stipulate that all proceeds and income from "available lands," as defined by the HHCA, "shall be used only in carrying out the provisions of said Act."

EXPENDITURE LINE ITEMS:

- 1) The monies are to be expended by the department for salaries and all other administrative expenses of the department, excluding capital improvements, in the absence of general funds appropriated for operating and administrative costs.

Funds of the Administration Account must be incorporated in the Executive Budget and appropriated by the legislature before they can be used for salaries and operating costs.

OTHER CHANGES IN FUND BALANCE:

- 1) The HHCA provides that the excess of the receipts deposited into the Hawaiian Home Administration Account over the amount approved or made available by the legislature may be transferred to the Hawaiian Home Operating Fund.
- 2) All revenues from "available" lands are pledged to the repayment of DHHL revenue bonds. Monies in excess of the reserve and debt service requirements may be transferred back to the Administration Account upon Commission approval.

2. Statutory Basis of Fund:

Section 213(f), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Administration Account
 Legal Authority: Section 213(f), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) B
 Appropriation Acct. No. S-326-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	997,245	960,206	231,499	234,487	234,487	234,487	234,487
Revenues	0		2,988	0			
Expenditures	37,039	3,707		0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
		(725,000)		0			
Net Total Transfers	0	(725,000)	0	0	0	0	0
Ending Cash Balance	960,206	231,499	234,487	234,487	234,487	234,487	234,487
Encumbrances	75,379	71,672	0	0			
Unencumbered Cash Balance	884,827	159,827	234,487	234,487	234,487	234,487	234,487

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Administration Account (S-325, S-326, S-305)

Means of Financing: Special Fund

1. Description and Purpose of Fund (including revenue source):

In 1959, the Hawaii Admission Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) provided that ownership of Hawaiian home lands (HHL) be transferred from the United States to the State of Hawaii. The Admission Act also provided that the HHL, as well as proceeds and income therefrom were to be held by the State in trust for native Hawaiians and administered in accordance with the Act, and that use of HHL for any other purpose would constitute a breach of trust for which suit may be brought by the United States.

Section 4 of the Hawaii Admissions Act, states in part:

"(3) that all proceeds and income from the "available lands" as defined by said Act, shall be used only in carrying out the provisions of said Act" (emphasis added).

Section 5(f) of the Hawaii Admissions Act, states in part:

"Such lands, proceeds, and income shall be managed and disposed of for one or more of the foregoing purposes in such manner as the constitution and laws of said State may provide, and their use for any other object shall constitute a breach of trust for which suit may be brought by the United States." (emphasis added).

REVENUE LINE ITEMS:

- 1) The entire receipts from any leasing or other dispositions of "available lands" are deposited into this account. Any interest or other earnings arising out of investments from this fund are to be credited to this fund.

Receipts of this fund are from general leases, licenses, revocable permits, rights of entry, and other dispositions of Hawaiian home lands. The Admission Act and the Constitution stipulate that all proceeds and income from "available lands," as defined by the HHCA, "shall be used only in carrying out the provisions of said Act."

EXPENDITURE LINE ITEMS:

- 1) The monies are to be expended by the department for salaries and all other administrative expenses of the department, excluding capital improvements, in the absence of general funds appropriated for operating and administrative costs.

Funds of the Administration Account must be incorporated in the Executive Budget and appropriated by the legislature before they can be used for salaries and operating costs.

OTHER CHANGES IN FUND BALANCE:

- 1) The HHCA provides that the excess of the receipts deposited into the Hawaiian Home Administration Account over the amount approved or made available by the legislature may be transferred to the Hawaiian Home Operating Fund.
- 2) All revenues from "available" lands are pledged to the repayment of DHHL revenue bonds. Monies in excess of the reserve and debt service requirements may be transferred back to the Administration Account upon Commission approval.

2. Statutory Basis of Fund:

Section 213(f), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Administration Account
 Legal Authority: Administratively Created

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) B
 Appropriation Acct. No. S-305-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	4,000,000	6000000	20,500,000				
	(4,000,000)	(6,000,000)	(20,500,000)				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Administration Account (S-325, S-326, S-305)

Means of Financing: Special Fund

1. Description and Purpose of Fund (including revenue source):

In 1959, the Hawaii Admission Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) provided that ownership of Hawaiian home lands (HHL) be transferred from the United States to the State of Hawaii. The Admission Act also provided that the HHL, as well as proceeds and income therefrom were to be held by the State in trust for native Hawaiians and administered in accordance with the Act, and that use of HHL for any other purpose would constitute a breach of trust for which suit may be brought by the United States.

Section 4 of the Hawaii Admissions Act, states in part:

"(3) that all proceeds and income from the "available lands" as defined by said Act, shall be used only in carrying out the provisions of said Act" (emphasis added).

Section 5(f) of the Hawaii Admissions Act, states in part:

"Such lands, proceeds, and income shall be managed and disposed of for one or more of the foregoing purposes in such manner as the constitution and laws of said State may provide, and their use for any other object shall constitute a breach of trust for which suit may be brought by the United States." (emphasis added).

REVENUE LINE ITEMS:

- 1) The entire receipts from any leasing or other dispositions of "available lands" are deposited into this account. Any interest or other earnings arising out of investments from this fund are to be credited to this fund.

Receipts of this fund are from general leases, licenses, revocable permits, rights of entry, and other dispositions of Hawaiian home lands. The Admission Act and the Constitution stipulate that all proceeds and income from "available lands," as defined by the HHCA, "shall be used only in carrying out the provisions of said Act."

EXPENDITURE LINE ITEMS:

- 1) The monies are to be expended by the department for salaries and all other administrative expenses of the department, excluding capital improvements, in the absence of general funds appropriated for operating and administrative costs.

Funds of the Administration Account must be incorporated in the Executive Budget and appropriated by the legislature before they can be used for salaries and operating costs.

OTHER CHANGES IN FUND BALANCE:

- 1) The HHCA provides that the excess of the receipts deposited into the Hawaiian Home Administration Account over the amount approved or made available by the legislature may be transferred to the Hawaiian Home Operating Fund.
- 2) All revenues from "available" lands are pledged to the repayment of DHHL revenue bonds. Monies in excess of the reserve and debt service requirements may be transferred back to the Administration Account upon Commission approval.

2. Statutory Basis of Fund:

Section 213(f), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Homes Receipts Fund
 Legal Authority: Section 213(g), Hawaiian Homes Commission of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-916-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	472,026	343,677	343,677	307,656	673,337	673,337	673,337
Revenues	3,299,171	3,634,714	3,943,979	5,065,681			
Expenditures	0	0	0				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(3,427,520)	(3,634,714)	(3,980,000)	(4,700,000)			
Net Total Transfers	(3,427,520)	(3,634,714)	(3,980,000)	(4,700,000)	0	0	0
Ending Cash Balance	343,677	343,677	307,656	673,337	673,337	673,337	673,337
Encumbrances							
Unencumbered Cash Balance	343,677	343,677	307,656	673,337	673,337	673,337	673,337

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Receipts Fund (T-916)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

All interest monies from loans or investments received by the department from any fund (except the borrowed money loans in the Hawaiian home-general loan fund and the Hawaiian home-loan fund) are deposited into this fund. In essence, the fund was to serve as a clearing fund at the end of each quarter, all monies in this fund are transferred to the Hawaiian home-operating fund, the Hawaiian home-administration account, the Hawaiian home-trust fund and any loan fund in accordance with rules adopted by the department. Since this a clearing account and monies are transferred quarterly, as required by the Hawaiian Homes Commission Act, there are no beginning and ending balances at start and end of quarter. Consequently, there are no prior year or end of year balances.

2. Statutory Basis of Fund:

Section 213 (g), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Trust Fund
 Legal Authority Section 213(h), Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-917-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8,592,559	11,250,100	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530
Revenues	6,355,465	1,122,716					
Expenditures	4,097,924	1,122,716					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	8,992,559	12,199,530		12,199,530			
	(8,592,559)	(11,250,100)		(12,199,530)			
Net Total Transfers	400,000	949,430	0	0	0	0	0
Ending Cash Balance	11,250,100	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530
Encumbrances							
Unencumbered Cash Balance	11,250,100	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530	12,199,530

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Trust Fund (T-917)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

Monies deposited into this fund are available for transfers into any other fund or account authorized by the Act or for any public purpose to further the purposes of the Act. Public purpose includes using the Hawaiian home trust fund as a reserve for loans insured or guaranteed by the Federal Housing Administration, Veteran Administration or any other federal agency authorized to insure or guarantee loans. A major deposit in the Hawaiian home-trust fund is the reserve for loans insured by the Federal Housing Administration.

2. Statutory Basis of Fund:

Section 213(h), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Native Hawaiian Rehabilitation Fund
 Legal Authority Section 213(i), Hawaiian Homes Commission of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-924-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,629,777	5,849,259	5,934,451	6,214,700	6,630,193	6,630,193	6,630,193
Revenues	660,627	555,346	544,304	582,035			
Expenditures	441,145	470,154	264,055	213,280			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	1,050,000	1,500,000		4,517,655			
	(1,050,000)	(1,500,000)		(4,470,917)			
Net Total Transfers	0	0	0	46,738	0	0	0
Ending Cash Balance	5,849,259	5,934,451	6,214,700	6,630,193	6,630,193	6,630,193	6,630,193
Encumbrances	2,209,403	1,375,579	282,593	253,606	253,606	253,606	253,606
Unencumbered Cash Balance	3,639,856	4,558,872	5,932,107	6,376,587	6,376,587	6,376,587	6,376,587

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Native Hawaiian Rehabilitation Fund (T-924)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

The Native Hawaiian Rehabilitation Fund (NHRF) was created by the 1978 Constitutional Convention to finance various activities intended to exclusively benefit native Hawaiians. This includes, but is not limited to, educational, economic, political, social, and cultural processes by which the general welfare and conditions of native Hawaiians are improved and perpetuated. The specific sources of NHRF funds vary, but are primarily derived from certain lands labeled "ceded lands" which were conveyed from the federal government to the State when it was admitted into the Union.

REVENUE LINE ITEMS:

- 1) Thirty percent of the state receipts, derived from lands previously cultivated and cultivated as sugarcane lands and from water licenses, are deposited into this fund.
- 2) Any payments of principal, interest or other earnings arising out of the loan or investments from the fund are credited and deposited into this fund.

EXPENDITURE LINE ITEMS:

- 1) NHRF has been used for interim home construction. The fund is reimbursed by private lenders that provide permanent takeout loans (e.g. FHA loans).
- 2) NHRF has also been involved in the financing of community based projects and an education scholarship program within the native Hawaiian community.

2. Statutory Basis of Fund:

Section 213(i), Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: DHHL Revenue Bond Special Fund
 Legal Authority: Section 213.5, Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) B
 Appropriation Acct. No. S-350-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,200,000						
Beginning Cash Balance	7,663,241	13,128,254	13,034,736	13,093,714	10,173,277	10,173,277	10,173,277
Revenues	727,607	3,049,690	3,070,477	91,913			
Expenditures	3,631,297	3,143,208	3,011,499	3,012,350			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	12,000,000	15,123,043		10,580,444			
	(3,631,297)	(15,123,043)		(10,580,444)			
Net Total Transfers	8,368,703	0	0	0	0	0	0
Ending Cash Balance	13,128,254	13,034,736	13,093,714	10,173,277	10,173,277	10,173,277	10,173,277
Encumbrances	283,849	340,641	529,140	700,000	700,000	700,000	700,000
Unencumbered Cash Balance	12,844,405	12,694,095	12,564,574	9,473,277	9,473,277	9,473,277	9,473,277

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Department of Hawaiian Home Lands Revenue Bond Special Fund (S-350)

Means of Financing: Special Fund

1. Description and Purpose of Fund (including revenue source):

In 1959, the Hawaii Admission Act (Act of March 18, 1959, Pub. L. 86-3, 73 Stat 4) provided that ownership of Hawaiian home lands (HHL) be transferred from the United States to the State of Hawaii. The Admission Act also provided that the HHL, as well as proceeds and income therefrom were to be held by the State in trust for native Hawaiians and administered in accordance with the Act, and that use of HHL for any other purpose would constitute a breach of trust for which suit may be brought by the United States.

Section 4 of the Hawaii Admissions Act, states in part:

"(3) that all proceeds and income from the "available lands" as defined by said Act, shall be used only in carrying out the provisions of said Act" (emphasis added).

Section 5(f) of the Hawaii Admissions Act, states in part:

"Such lands, proceeds, and income shall be managed and disposed of for one or more of the foregoing purposes in such manner as the constitution and laws of said State may provide, and their use for any other object shall constitute a breach of trust for which suit may be brought by the United States." (emphasis added).

In October 1991, the department sold \$18 million of revenue bonds. All revenues from "available" lands were pledged to the repayment of these bonds. Monies in excess of the reserve and debt service requirements may be transferred back to the Administration Account upon Commission approval.

Pursuant to section 213.5 of the HHCA, the department is required to establish a Revenue Bond Special Fund for each undertaking financed by revenue bonds. A special fund was established for the revenue bonds issued in 1991. Sources of monies for this fund are:

1. Revenues committed for payment of debt service and maintenance of reserve accounts.
2. Interest from investments of reserve accounts.

The monies are primarily used to repay the debt service on the bonds and to maintain a reserve account as required by the bond resolution.

In 2009, DHHL issued \$42.5 million in revenue bonds.

2. Statutory Basis of Fund:

Section 213.5, Hawaiian Homes Commission Act of 1920, as amended

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Hawaiian Home Land Trust Fund
 Legal Authority: Section 213.6, Hawaiian Homes Commission Act of 1920, as amended

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF): T
 Appropriation Acct. No. T-902-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	131,626,526	152,662,698	165,611,032	156,673,500	146,060,630	146,060,630	146,060,630
Revenues	19,574,055	34,043,769	2,140,035	1,464,615			
Expenditures	28,537,883	21,152,230	11,077,567	12,077,485			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	60,000,000	20,000,000	83,768,825	54,272,330			
	(30,000,000)	(19,943,205)	(83,768,825)	(54,272,330)			
Net Total Transfers	30,000,000	56,795	0	0	0	0	0
Ending Cash Balance	152,662,698	165,611,032	156,673,500	146,060,630	146,060,630	146,060,630	146,060,630
Encumbrances	36,900,526	28,219,337	15,347,575	12,605,042	12,605,042	12,605,042	12,605,042
Unencumbered Cash Balance	115,762,172	137,391,695	141,325,925	133,455,588	133,455,588	133,455,588	133,455,588

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Hawaiian Home Lands

Name of Fund: Hawaiian Home Lands Trust Fund (T-902)

Means of Financing: Trust Fund

1. Description and Purpose of Fund (including revenue source):

Act 14, Special Session 1995, (DHHL Land Claims Settlement Act) settles all claims made on behalf of the Hawaiian Home Lands Trust against the State between August 21, 1959 and July 1, 1988. It requires that the State make twenty annual deposits of \$30,000,000 or their discounted equivalent into the Hawaiian Home Lands Trust Fund. Proceeds of the fund may be used by DHHL for capital improvements and to meet the requirements of the Hawaiian Homes Commission Act of 1920, as amended.

2. Statutory Basis of Fund:

Section 213.6, Hawaiian Homes Commission Act of 1920, as amended.

Department: Hawaiian Home Lands
 Prog ID(s): HHL 602
 Name of Fund: Security Deposits Clearing Account
 Legal Authority: Administratively Created

Contact Name: Rodney Lau
 Phone: 620-9531
 Fund type (MOF) T
 Appropriation Acct. No. T-901-I

Intended Purpose: See attachment

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,365,372	2,598,035	1,643,528	2,937,905	2,721,203	2,721,203	2,721,203
Revenues	343,652		1,294,377	124,498			
Expenditures	110,989	954,507		341,200			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	1,050,000	(2,070,154)		(2,288,781)			
	(1,050,000)	2,070,154		2,288,781			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,598,035	1,643,528	2,937,905	2,721,203	2,721,203	2,721,203	2,721,203
Encumbrances	2,209,403			42,380	42,380	42,380	42,380
Unencumbered Cash Balance	388,632	1,643,528	2,937,905	2,678,823	2,678,823	2,678,823	2,678,823

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Human Services
 Prog ID(s): HMS 401
 Name of Fund: Health Care Payments
 Legal Authority: 42CFR 431 10

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF): M
 Appropriation Acct. No. S-229-K

Intended Purpose: Provide medical assistance payments for those under fee for service and manage care program.

Source of Revenues: Federal quarterly grant awards, federal share from various refunds like TPL, estate/probate, drug rebates

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipient.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,070,428,431	1,130,428,431	1,418,471,863	1,546,210,329	1,698,390,124	1,698,390,124	1,698,390,124
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	996,696,170	1,112,837,257	1,371,048,074	1,374,839,846	1,400,000,000	1,400,000,000	1,400,000,000
Expenditures	1,059,364,132	1,128,431,457	1,405,684,564	1,455,972,529	1,460,000,000	1,460,000,000	1,460,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	62,725,004	67,060,697	60,467,595	82,180,209	60,000,000	60,000,000	60,000,000
Ending Cash Balance	57,042	51,466,498	25,831,105	1,047,526	0	0	0
Encumbrances	1,777,987	1,162,712	13,269,527	2,756,554			
Unencumbered Cash Balance	(1,720,945)	50,303,785	12,561,578	(1,709,028)	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Human Services
 Prog ID(s): HMS 902
 Name of Fund: General Support for Health Care Payments
 Legal Authority: 42CFR 43110

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF): NI
 Appropriation Acct. No.: S-233-K

Intended Purpose: Provide medical assistance payments for those under Fee For Service and Managed Care.

Source of Revenues: Federal Quarterly Grant Awards

Current Program Activities/Allowable Expenses: Administrative support to Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY 2016	FY2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	45,617,000	44,231,490	33,234,892	33,234,892	33,234,892	33,234,892	33,234,892
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	4,329	29,134	11,047	1,586			
Expenditures	13,105,700	11,096,939	16,693,594	16,635,794	33,300,000	33,300,000	33,300,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	13,101,372	15,253,108	16,741,344	16,634,208	33,300,000	33,300,000	33,300,000
Ending Cash Balance	1	4,185,303	58,797	0	0	0	0
Encumbrances	16,103,465	31,664,531	10,511,732	25,419,143			
Unencumbered Cash Balance	(16,103,464)	(27,479,227)	(10,452,935)	(25,419,143)	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Human Services
 Prog ID(s): HMS 401
 Name of Fund: Nursing Facility Sustainability Special Fund
 Legal Authority: _____

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF): B
 Appropriation Acct. No. S-372-K

Intended Purpose: Provide medical assistance payments for medicaid recipients and the uninsured.

Source of Revenues: Nursing Home Sustainability Fees

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			14,000,000	14,000,000	14,000,000	14,000,000	14,000,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues			10,973,859	10,439,857	10,500,000	10,500,000	10,500,000
Expenditures			9,990,135	10,439,857	10,500,000	10,500,000	10,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	983,724	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	983,724	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Human Services
 Prog ID(s): HMS 401
 Name of Fund: Hospital Sustainability Special Fund
 Legal Authority: _____

Contact Name: Eric Nouchi
 Phone: 692-7956
 Fund type (MOF): B
 Appropriation Acct. No. S-374-K

Intended Purpose: Provide medical assistance payments for medicaid recipients and the uninsured.

Source of Revenues: Hospital Sustainability fees

Current Program Activities/Allowable Expenses: Make direct medical payments to providers and health care plans on behalf of the eligible recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues			47,424,018	28,654,082	30,000,000	30,000,000	30,000,000
Expenditures			47,424,018	22,570,686	30,000,000	30,000,000	30,000,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	6,083,396	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	6,083,396	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Human Services
 Prog ID(s): _____
 Name of Fund: Medical Assistance Program Temporary Dep
 Legal Authority: 42CFR 43110

Contact Name: Eric Nouchi - - - - -
 Phone: 692-7956
 Fund type (MOF) T
 Appropriation Acct. No. 1-910-K - - - - -

Intended Purpose: This trust account was established as temporary holding account for checks and money orders received for medical assistance programs.

Source of Revenues: Penalty for noncompliance with Medicare/Medical requirement and donations for long term care research projects.

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		1,043,782	11,505	1,078,909	0	0	0
Revenues							
Expenditures							
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0			0	0	0	0
Ending Cash Balance	0	1,043,782	11,505	1,078,909	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	1,043,782	11,505	1,078,909	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Human Services
Prag ID(s): HMS 501
Name of Fund: Juvenile Accountability Block Grant
Legal Authority: PL 107-273

Contact Name: Ker.yk@abu
Phone: 587-5714
Fund type (MOF) N
Appropriation Acct. No. s-212-K

Intended Purpose:

To support states and units of local government in implementing their respective juvenile crime re-education plan in any of twelve areas to assure that as a result of their wrongdoing, juvenile offenders face individualized consequences that makes them aware of and accountable for the loss, damage or injury perpetuated on the victim.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention. Plus the interest earned from the state's investment pool account.

Current Program Activities/Allowable Expenses:

The Office of Youth Services (OYS) continues the planning and program development functions for youth services as mandated by Act 375, SLH 2009.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	397,927	163,274	9,112	0	0	0	0
Beginning Cash Balance	357,875	335,221	168,579	28,103	0	0	0
Revenues	308,360	1,663	904	0	0	0	0
Expenditures	331,014	168,306	141,380	28,103	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	335,221	168,578	28,103	0	0	0	0
Encumbrances	173,810	168,579	24,648	0	0	0	0
Unencumbered Cash Balance	161,411	(1)	3,455	0	0	0	0
<u>Additional Information:</u>							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in coos, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislati1,1re

Department: Human Services
 Prog ID(s): HMS 501
 Name of Fund: Social Services Block Grant
 Legal Authority: Social Security Act, Title XX, as amended; Omnibus Budget Reconciliation Act of 1981, as amended, PL 97-35; Jobs Training Bill, PL 98-8 and 473; Medicaid and Medicare Patient and Program Act of 1987; Omnibus Budget Reconciliation Act of 1987, PL 10P-203; Family Support Act of 1998, PL 100-485, Omnibus Budget Reconciliation Act of 1993, PL 106-66, 42 U.S.C. 1397 ET seq.

Contact Name: Keny, Kiyabu -----
 Phone: 587-5714 -----
 Fund type (MOF) N -----
 Appropriation Acct. No. s-223-K -----

Intended Purpose:

Provide income eligible and current recipients of public assistance an array of services.

Source of Revenues:

U.S. Dept of Health and Human Services, Title XX Social Services Block Grant. Subgranted from Dept of Human Services, Social Services Division.

Current Program Activities/Allowable Expenses:

Act 375, SLH1989 mandated the to OYS provide a continuum of services ranging from prevention to secure care and assume the responsibilities for juvenile corrections. To that end, on July 1, 1991, the OYS assumed the responsibility for the Hawaii Youth Correctional Facility Program. During the FY 95-97, the OYS continued planning and program development functions for a continuum of services which included community alternative and aftercare components for this target population.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000,000	2,211,703	2,674,321	1,908,803	1,752,620	1,752,620	1,752,620
Beginning Cash Balance	30,446	9,891	20,780	16,972	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	1,348,763	1,260,432	1,306,160	1,908,803	1,752,620	1,752,620	1,752,620
Transfers							
List each by JV# and date	1,328,208	1,271,323	1,302,352				
Net Total Transfers	1,328,208	1,271,323	1,302,352	1,891,831	1,752,620	1,752,620	1,752,620
Ending Cash Balance	9,891	20,782	16,972	0	0	0	0
Encumbrances	588,940	502,227	594,338	438,155	438,155	438,155	438,155
Unencumbered Cash Balance	(579,049)	(481,445)	(577,366)	(438,155)	(438,155)	(438,155)	(438,155)

Additional Information:

Amount Rea. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Human Services	Contact Name:	Kerry Kyabu
Prog ID(s):	HMS 501	Phone:	587-5714
Name of Fund:	Special Fund	Fund type (MOF):	N
Legal Authority:	S-224-K contains the special funds of US Dept of Justice grants and the US Dept of Education grant. This is the cumulative financial data form. (From FY2013 only US DOJ.)		
		Appropriation Acct. No.:	S-224-K - Comb

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,589,103	943,996	937,502	650,630	506,500	506,500	506,500
BeQinninQ Cash Balance	33,985	6,696	6,696	771	0	0	0
Revenues	819,429	652,574	652,574	556,353	406,500	406,500	406,500
Expenditures	833,699	658,499	658,499	557,124	406,500	406,500	406,500
Transfers	0	0	0				
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,715	771	771	0	0	0	0
Encumbrances	375,418	244,130	244,130	100,000	100,000	100,000	100,000
Unencumbered Cash Balance	(355,703)	(243,359)	(243,359)	(100,000)	(100,000)	(100,000)	(100,000)

Additional Information-

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Human Services
 Prog ID(s): HMS 501
 Name of Fund: Juvenile Justice and Delinquency Prevention - Title I
 Formula Grants
 Legal Authority: Juvenile Justice and Delinquency Prevention Act of 2002,
 Sections 221-223, 42 U.S.C. Sections 5631 - 5633

Contact Name: Ken Kiyabu
 Phone: 587-5714

Fund type (MOF) N
 Appropriation Acct. No. S -2_2_4_-K(1_-4)----

Intended Purpose:

To support State and local delinquency prevention and intervention efforts and juvenile justice system improvements.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention.

Current Program Activities/Allowable Expenses:

Program Activities include: Planning and administration, State Advisory Group allocation, compliance monitoring; juvenile justice issues for American Indian tribes; prevention of substance abuse by juveniles, prevention of serious and violent crimes by juveniles, prevention of juvenile gang involvement and illegal youth gang activities; prevention of delinquency acts and identification of youth at risk of delinquency; and improvement of juvenile justice system operations, policies, and procedures including establishing a system of graduated sanctions, treatment programs, and aftercare.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Apportionment Ceiling	1,469,465	1,582,538	931,008	644,130	500,000	500,000	500,000
Beginning Cash Balance	19,139	33,985	6,696	771	0	0	0
Revenues	805,584	818,522	652,574	543,359	400,000	400,000	400,000
Expenditures	790,738	832,792	658,499	544,130	400,000	400,000	400,000
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0		
Ending Cash Balance	33,985	19,715	771	0	0	0	0
Encumbrances	552,264	375,418	244,130	100,000	100,000	100,000	100,000
Unencumbered Cash Balance	(518,279)	(355,703)	(243,359)	(100,000)	(100,000)	(100,000)	(100,000)

Additional Information:

Amount Rea. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Human Services
 Prog ID(s): HMS 501
 Name of Fund: Title V - Delinquency Prevention Program
 Legal Authority: Incentive Grants for Local Delinquency Prevention
Programs Act of 2002

Contact Name: Kerry Kiabu -----
 Phone: 587-5714
 Fund type (MOF)N-----
 Appropriation Acct. No. _S_-2_2_4_-K (2_- 4) ----

Intended Purpose:

To support states and units of local government in implementing their respective juvenile crime re-education plan in any of twelve areas to assure

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Office of Juvenile Justice and Delinquency Prevention.

Current Program Activities/Allowable Expenses:

This program includes to reduce risks and enhance protective factors to prevent at-risk youth from entering the juvenile justice system and to intervene with first-time and non-serious offenders to keep them out of the juvenile justice system. In October 2011 Congress did not appropriate funds for this program. Therefore, the last award received was the 2011 award and it will be spent in state fiscal years 2014 and 2015.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	1,434	0	0	0	0	0	0
Revenues	18,824	0	0	0	0	0	0
Expenditures	20,258	0	0	0	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Human Services
 Prog ID(s): HMS 501
 Name of Fund: Safe and Drug-Free Schools and Communities, State Grants
 Legal Authority: FL 101-297 Elementary and Secondary Education Act of 1965,
as amended

Contact Name: Kerry Kiyabu
 Phone: 587-5714
 Fund type (MOF)=N.....
 Appropriation Acct. No. S-224-K-(3-4)

Intended Purpose:

Support community-based and other programs to prevent substance abuse among high-risk and other youths.

Source of Revenues:

U.S. Department of Education. The 2009 award was the last one received and final closeout occurred in FY2012.

Current Program Activities/Allowable Expenses:

Act 375, SLH 1989 established the Office of Youth Services as the state agency responsible to provide services and programs for youth at risk under one umbrella agency in order to facilitate optimum service delivery, to prevent delinquency, and to reduce the incidence of recidivism among juveniles through the provision of prevention, rehabilitation, and treatment services. In addition, the OYS is also responsible for program planning and development, intake/assessment, oversight, as well as consultation, technical assistance and staff training relating to the delivery of services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	<u>Human Services</u>	Contact Name: <u>Kerry Kyabu</u>
Prog ID(s):	<u>HMS 501</u>	Phone: <u>587-5714</u>
Name of Fund:	<u>PREA Program: Demonstration Projects to Establish "Zero Tolerance" Cultures for Sexual Assault in Correctional Facilities</u>	Fund type (MOF) <u>N</u>
Legal Authority	<u>Prison Rape Elimination Act of 2003, PL 108-79, as amended, codified at 42 USC 15801, et seq.</u>	Appropriation Acct. No. <u>S-224-K4-4</u>

Intended Purpose:

To assist correctional facilities in implementing comprehensive prevention, identification, and response mechanisms that will reduce the incidence of sexual abuse in confinement facilities; promote a culture of "zero tolerance" toward sexual abuse; and support facilities' efforts to achieve compliance with the PREA standards.

Source of Revenues:

U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Assistance

Current Program Activities/Allowable Expenses:

The PREA Program supports comprehensive demonstration projects with program design elements that are intended to reduce sexual victimization in correctional facilities, promote a "zero tolerance" environment, and assist correctional facilities in meeting the requirements of the PREA standards. Grants may be used to support the following types of design elements as part of a comprehensive approach: 1) policy and practice review and revision; 2) preventative infrastructure and technology enhancements; 3) inmate/detainee/resident education; 4) victim support services; 5) leadership and assessment of organizational culture; 6) data collection and performance measurements; 7) staffing support and training; 8) evaluation; and 9) PREA audit activities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	FY2021
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,032	6,565	6,494	6,500	6,500	6,500	6,500
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	6,125	907	0	12,994	6,500	6,500	6,500
Expenditures	6,125	907	0	12,994	6,500	6,500	6,500
Transfers							
List each by JV# and date	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Human Services
 Prog ID(s): HMS 503/RA (HYCF)
 Name of Fund: Youth Correctonal Facility's Benefit Fund
 Legal Authority: Section 352-21 HRS

Contact Name: Kerry Kijabu
 Phone: 587-5714
 Fund type (MOF): T
 Appropriation Acct. No. T-901-K

Intended Purpose:

Source of Revenues:

(1) Donations, (2) Any unauthorized money found in the possession of a ward or on the facility premises.

Current Program Activities/Allowable Expenses:

The fund is used for activities that would benefit the welfare and recreation of youth¹ committed to the Hawaii Youth Correctional Facility.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,452	4,452	9,577	9,577	9,577	9,577	9,577
Revenues	1,000	5,125	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	4,452	9,577	9,577	9,577	9,577	9,577	9,577
Encumbrances							
Unencumbered Cash Balance	4,452	9,577	9,577	9,577	9,577	9,577	9,577

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Human Services
 Prog ID(s): HMS 503/RA (HYCF)
 Name of Fund: Hawaii Youth Correctional Facility Trust Fund
 Legal Authority: Section 352-18, HRS

Contact Name: Kery Kyabu -----
 Phone: 587-5714 -----
 Fund type (MOF) T -----
 Appropriation Acct. No. T-998-K -----

Intended Purpose:

Source of Revenues:

(1) Money given to wards by their parents, relatives, etc., (2) cash earned by wards through the Behavior Modification Program, and (3) pay earned by wards that are on a work-release plan.

Current Program Activities/Allowable Expenses:

Withdrawals from a ward's account may be permitted: to pay legal obligations to dependents, to pay court-ordered restitution, etc. Any balance remaining at the Director's termination of legal custody will transfer to the ward or whomever has legal custody.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	/estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
BeQinninQ Cash Balance	764	674	603	579	579	579	579
Revenues	920	364	402	0	0	0	0
Expenditures	1,010	435	426	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	674	603	579	579	579	579	579
Encumbrances							
Unencumbered Cash Balance	674	603	579	579	579	579	579

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Human Services
 Prog ID(s): HMS 206
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF): -c-N
 Appropriation Acct. No.: S-XX-204-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications for energy crisis intervention and credit.

Source of Revenues: DHHS - Administration for Children and Families, Low Income Home Energy Assistance Program (LIHEAP.)

Current Program Activities/Allowable Expenses: Provides funds for LIHEAP payments.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,958,106	6,158,927	5,553,592	9,956,189	5,553,592	5,553,592	5,553,592
BeQinninQ Cash Balance	364,767	1,830,515	1,752,419	98,125	81,836	81,836	81,836
Revenues	6,851,076	5,462,375	3,278,428	5,691,509	5,000,000	5,000,000	5,000,000
Expenditures	5,174,301	5,540,471	4,932,722	5,707,798	5,000,000	5,000,000	5,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(211,027)		0	0	0	0	0
EndinQ Cash Balance	1,830,515	1,752,419	98,125	81,836	81,836	81,836	81,836
Encumbrances	42,585	449,418					
Unencumbered Cash Balance	1,787,930	1,303,001	98,125	81,836	81,836	81,836	81,836

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 211
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF) -N-
 Appropriation Acct. No. -S-XX-201-K-

Intended Purpose: Provides financial aid to state Temporary Assistance for Needy Families (TANF) Program.

Source of Revenues: DHHS -Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Assistance payments to qualified TANF recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	44,000,000	44,000,000	44,000,000	159,708,340	44,000,000	44,000,000	44,000,000
Beginning Cash Balance	3,122,393	7,271,667	7,864,360	9,385,906	1,211,281	1,211,281	1,211,281
Revenues	41,271,585	34,771,967	20,739,390	16,998,241	25,172,800	25,172,800	25,172,800
Expenditures	37,196,059	34,179,274	19,217,844	25,172,866	25,172,800	25,172,800	25,172,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	73,748	0	0	0	0	0	0
Ending Cash Balance	7,271,667	7,864,360	9,385,906	1,211,281	1,211,281	1,211,281	1,211,281
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	7,271,667	7,864,360	9,385,906	1,211,281	1,211,281	1,211,281	1,211,281

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS-224
 Name of Fund: Emergency Solutions Grant
 Legal Authority: _____

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-216-K (1 of 6)

Intended Purpose: Provides resources to deal with the special needs segments of the homeless population.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides one-time grants for emergency needs to neighbor island homeless.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Variances:

Financial Data							
	FY2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	423,453	452,036	609,636	677,918	677,918	677,918	677,918
Beginning Cash Balance	134	204	261	3,050	157	157	157
Revenues	433,009	417,097	373,920	503,199	504,000	504,000	504,000
Expenditures	432,939	417,040	371,131	506,092	504,000	504,000	504,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	204	261	3,050	157	157	157	157
Encumbrances	0	133,051	167,090	100,387	0	0	0
Unencumbered Cash Balance	204	(132,790)	(164,040)	(100,230)	157	157	157

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: HPRP (ARRA)
 Legal Authority: ARRA Act of 2009, P.L. 111-5

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-217-K (2 of 6)

Intended Purpose: Homeless Prevention and Rapid Rehousing Program - these funds will provide temporary financial assistance and housing relocation and stabilization services to individuals and families that are homeless or would be homeless without this assistance.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The grant has ended. Expended the balance of the grant in 2014.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	5,670	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	5,670	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): HMS 224
 Name of Fund: HOPWA
 Legal Authority: _____

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-222-K (3 of 6)
 SFY13 Apprn Acct. No. S-XX-216-K

Intended Purpose: Housing Opportunities for People With AIDS - provides funding to address the needs of homeless persons afflicted with HIV or AIDS.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides resources and services to qualified homeless recipients.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Due to a change in practice of having a separate appropriation per grant award. Prior year encumbrances were paid using the original appropriation account, revenue and expenditures were also reflected there. As of 2015, transaction oo through the S-222-K account.

Financial Data							
	FY2014	FY 2015	FY 2016	FY2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	203,453	205,107	243,296	230,597	230,597	230,597	230,597
Beginning Cash Balance	317	0	0	12,372	0	0	0
Revenues	164,858	167,320	233,118	172,497	173,000	173,000	173,000
Expenditures	165,175	167,320	220,746	184,869	173,000	173,000	173,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	12,372	0	0	0	0
Encumbrances	19,138	25,207	16,025	37,005	0	0	0
Unencumbered Cash Balance	(19,138)	(25,207)	(3,653)	(37,005)	0	0	0

Additional Information-

Amount Rea. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Shelter Plus Care Program Grant
 Legal Authority: _____

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-500-K {4 of 6}
 SFY13 Apprn Acct. No. S-XX-216-K

Intended Purpose: Provides resources to deal with the special needs segments of the homeless population.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding and services to address the needs of homeless persons afflicted with a disability.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Starting in 2014, the new Shelter Plus Care Program grant funds have been combined with the new Continuum of Care grant. The older Shelter Plus Care Program grants are winding down and should be fully expended by fiscal year 2018.

Financial Data							
	FY2014	FY2015	FY2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,095,084	2,366,839	366,264	99,692	99,692	99,692	99,692
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	615,336	167,320	170,287	99,692	99,692	99,692	99,692
Expenditures	615,336	167,320	170,287	99,692	99,692	99,692	99,692
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	284,265	0	0	0	0	0
Unencumbered Cash Balance	0	(284,265)	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Supportive Housing Program Grant
 Legal Authority: _____

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-500-K (5 of 6)
 SFY13 Appm Acct. No. S-XX-216-K

Intended Purpose: Provides resources to deal with the special needs segments of the homeless population.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: As of Fiscal Year 2015, the Supportive Housing Program grant has merged with the Continuum of Care grant. So this does not exist as a separate grant any more.

Financial Data							
	FY 2014	FY2015	FY2016	FY 2017	FY 2018	FY2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	271,755	0	0				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	87,411	0	0	0	0	0	0
Expenditures	87,411	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Continuum of Care
 Legal Authority: _____

Contact Name: Derek Oshiro - - - - -
 Phone: 586-5630
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-500-K (6 of 6) -

Intended Purpose: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Source of Revenues: US Department of Housing and Urban Development

Current Program Activities/Allowable Expenses: Provides funding source to establish shelter housing units or social and economic self-sufficiency program for the homeless.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Due to a change in practice of having a separate appropriation per grant award. Prior year encumbrances were paid using the original

Financial Data							
	FY 2014	FY 2015	FY2016	FY2017	FY2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,095,084	2,366,839	2,910,014	4,953,938	4,953,938	4,953,938	4,953,938
Beginning Cash Balance	0	0	0	22,196	69	69	69
Revenues	1,083,536	1,316,840	1,496,418	1,497,980	1,498,000	1,498,000	1,498,000
Expenditures	1,083,536	1,316,840	1,474,222	1,520,107	1,498,000	1,498,000	1,498,000
Transfers							
List each net transfer in/ouV or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	22,196	69	69	69	69
Encumbrances	839,640	983,942	717,667	2,262,349	0	0	0
Unencumbered Cash Balance	(839,640)	(983,942)	(695,471)	(2,262,280)	69	69	69

Additional Information-

Amount Rea. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR CHAPTER II, P.L. 104-193

Contact Name: Emily Ung
 Phone: -5-86-- 5-63-7 -----
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236.(1 of 7.) -
 SFY14 Apprn Acct. No. S-XX-232-K -

Intended Purpose: Provides monetarily supports and strengthens family life, especially those of needy children, and prepares adults w/ dependent

Source of Revenues: DHHS, ACF Tant Title IV-A.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance programs.

Purpose of Proposed Ceiling Adjustment {if applicable):

90

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation CeilinQ	2,363,426	3,558,085	3,335,167	2,391,384	2,391,384	2,391,384	2,391,384
BeQinninQ Cash Balance	0	0	0	0	0	0	0
Revenues	830,954	1,347,152	1,286,764	1,130,584	1,517,044	1,517,044	1,517,044
Expenditures	830,954	1,347,152	1,286,764	1,130,584	1,517,044	1,517,044	1,517,044
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Supplemental Nutrition Assistance Program
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung
 Phone: -5-86--5-6-37
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236-K (2 of 7)
 SFY14 Apprn Acct. No. S-XX-236-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Determines eligibility for food stamps assistance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,022,747	17,516,123	28,635,657	19,921,412	19,921,412	19,921,412	19,921,412
Beginning Cash Balance	777	24	0	0	0	0	0
Revenues	9,134,537	9,362,389	13,588,621	11,358,713	15,523,082	15,523,082	15,523,082
Expenditures	9,135,290	9,362,412	13,588,621	11,358,713	15,523,082	15,523,082	15,523,082
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	24	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	24	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Medical Assistance Program
 Legal Authority: Sec. 1102, 49 Stat. 647 (42 U.S.C 1302)

Contact Name: Emily Ung...
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236-K (3 of 7)
 SFY 14 Apprn Acct. No. S-XX-234-K

Intended Purpose: Provides financial assistance to state for payments of medical assistance on behalf of cash assistance recipients and other

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance for payment of medical assistance on behalf of cash

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,126,739	175,000	175,000	348,187	348,187	348,187	348,187
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	995,993	5,082	1,812	958	175,000	175,000	175,000
Expenditures	995,993	5,082	1,812	958	175,000	175,000	175,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
EndinQ Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 5-8-6-5-6-4-7
 Fund type (MOF) N
 Appropriation Acct. No. S-X-236(4d7)
 SFY14 Apprn Acct. No. S-X-231-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,496,341	7,859,506	10,929,528	8,623,292	5,180,526	5,180,526	5,180,526
Beginning Cash Balance	0	0	0	0	289,836	289,836	289,836
Revenues	3,739,803	3,742,977	3,429,888	4,177,760	4,183,000	4,183,000	4,183,000
Expenditures	3,739,803	3,742,977	3,429,888	3,887,924	4,183,000	4,183,000	4,183,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	289,836	289,836	289,836	289,836
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	289,836	289,836	289,836	289,836

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-236 (5 of 7)
 SFY14 Apprn Acct. No. S-XX-230-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	130,739	214,092	266,776	267,316	143,813	143,813	143,813
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	40,247	33,784	44,025	126,078	43,995	43,995	43,995
Expenditures	40,247	33,784	44,025	126,078	43,995	43,995	43,995
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Refugee Resettlement Program
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung
 Phone: 5-8-6-5-6-37
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236 (6 of 7)
 SFY14 Appm Acct. No. S-XX-226-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic

Source of Revenues: DHHS - Administration for Children and Families, Refugee Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,592	16,500	32,460	45,079	45,079	45,079	45,079
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,139	8,753	725	19,916	16,500	16,500	16,500
Expenditures	1,139	8,753	725	19,916	16,500	16,500	16,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 236
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Emily Young
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-236 (7-5d7)
 SFY14 Apprn Acct. No.: S-XX-296-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program

Current Program Activities/Allowable Expenses: Provides funds for Low Income Home Energy Assistance Program (LIHEAP) payments.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,300	6,500	1,000	1,000	1,000	1,000	1,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	100	100	100
Expenditures	0	0	0	0	100	100	100
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Rea. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 237
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99-198

Contact Name: Lance Tanaka
 Phone: 586-5645
 Fund type (MOF): N
 Appropriation Acct. No. S-545-K
eff FY 15 appr S-206 & S-545

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: U.S. Department of Agriculture - Food and Nutrition Service
 Food Stamp Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components and in employment. The latter activity is stressed and may occur concurrently with the provision of other program services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable
 Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		624,809	1,119,584	1,647,646	938,008	938,008	938,008
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues		170,225	409,945	597,011	938,008	938,008	938,008
Expenditures		170,225	409,945	597,011	938,008	938,008	938,008
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		76,022	328,882	340,184			
Unencumbered Cash Balance	0	(76,022)	(328,882)	(340,184)	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legis(ature)

Department: HMS
 Prog ID(s): HMS 237
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99-198

Contact Name: Lance Tanaka
 Phone: 5865645
 Fund type (MOF) N
 Appropriation Acct. No. S-206-K
eff FY 15 appr S-206 & S-545

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: U. S. Department of Agriculture - Food and Nutrition Service
 Food Stamp Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components and in employment. The latter activity is stressed and may occur concurrently with the provision of other program services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	699,734	307,742	307,742	545,138	307,742	307,742	307,742
Beginning Cash Balance	0	0	1,140	1,140	0	0	0
Revenues	687,448	566,250	254,741	234,199	211,316	211,316	211,316
Expenditures	687,448	565,110	254,741	235,339	211,316	211,316	211,316
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,140	1,140	0	0	0	0
Encumbrances	149,802	0	0	0	0	0	0
Unencumbered Cash Balance	(149,802)	1,140	1,140	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): AN
 Appropriation Acct. No. S-249-K
 S-207-K (1 of 14)

Intended Purpose:

Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide 13nadequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,982,086	16,210,000	22,823,252	18,429,648	18,429,648	18,429,648	18,429,648
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	10,462,388	10,883,841	11,985,824	12,392,070	16,661,606	16,661,606	16,661,606
Expenditures	10,462,388	10,883,841	11,985,824	12,392,070	16,661,606	16,661,606	16,661,606
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	195,658	112,723	0	7,460	0	0	0
Unencumbered Cash Balance	(195,658)	(112,723)	0	(7,460)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-255-K
S-207-K (2 of 14)

Intended Purpose:

Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Revenue and expenditure went up were due to increase in penetration rate.

Financial Data							
	FY2014	FY 2015	FY2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	710,875	529,000	1,161,195	1,256,318	1,256,318	1,256,318	1,256,318
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	412,322	477,847	593,550	554,412	892,900	892,900	892,900
Expenditures	412,322	477,847	593,550	554,412	892,900	892,900	892,900
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF) N
 Appropriation Acct. No. S-281-K
S-207-K (3 of 14)

Intended Purpose:

Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	247,762	150,300	330,471	283,280	283,280	283,280	283,280
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	108,906	140,870	253,210	234,585	283,000	283,000	283,000
Expenditures	108,906	140,870	253,210	234,585	283,000	283,000	283,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-502-K
 S-207 (4 of 14)

Intended Purpose:

Strengthen and improve the federally supported programs to encourage adoptions of children with special needs and encourage support for the family.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Incentive Program)

Current Program Activities/Allowable Expenses: To strengthen & improve the federally supported programs for adoption of needy and dependent children

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY2016	FY2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	158,398	516,400	400,000	855,093	855,093	855,093	855,093
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	176,000	0	0	400,000	400,000	400,000
Expenditures	0	176,000	0	0	400,000	400,000	400,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 5-8-6-5-6-3-7
 Fund type (MOF): N
 Appropriation Acct. No. S-288-K
 S-207-K (5 of 14)

Intended Purpose: Determination and redetermination of a child's eligibility for the Hawaii Medquest Plan.

Source of Revenues: Title XIX (CMS)

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	119,000	188,000	317,359	339,607	339,607	339,607	339,607
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	114,507	118,970	113,566	82,889	229,800	229,800	229,800
Expenditures	114,507	118,970	113,566	82,889	229,800	229,800	229,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
EndinQ Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: P.L. 93-647 Title XX SSA

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) - N - - - - -
 Appropriation Acct. No. S-207-K (6 of 14)

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families
 Title XX (Social Services Block Grant)

Current Program Activities/Allowable Expenses: Provide income eligible and current recipients of public assistance an array of services which will effectively assist these persons as prescribed on public welfare. Services are oriented toward achievement thru agency staff or thru purchase of services
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,407,545	20,555,125	25,507,768	24,114,103	25,507,768	25,507,768	25,507,768
Beginning Cash Balance	4,139,335	3,327,936	4,759,077	2,785,367	1,663,852	0	0
Revenues	12,010,408	16,720,793	8,732,719	11,986,121	8,700,000	8,700,000	8,700,000
Expenditures	12,821,807	15,289,652	10,706,429	13,107,636	10,363,852	8,700,000	8,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,327,936	4,759,077	2,785,367	1,663,852	0	0	0
Encumbrances	403,322	2,822,277	1,672,075	1,001,029			
Unencumbered Cash Balance	2,924,614	1,936,800	1,113,292	662,823	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVB, Social Security Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) - N - - - - -
 Appropriation Acct. No. S-240-K (7 of 14)
prior to SY 2014 appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families -
 Title IVB (Child Welfare)

Current Program Activities/Allowable Expenses: Establishes, extends and strengthens services provided by state and local public welfare programs for development & preventive or protective services which will prevent the neglect, abuse, exploitation or delinquency of children

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,407,545	1,125,000	2,106,050	904,200	1,125,000	1,125,000	1,125,000
Beginning Cash Balance	(500,828)	(425,592)	(262,913)	569,866	447,302	0	0
Revenues	1,263,544	676,523	2,157,167	759,406	1,125,000	1,125,000	1,125,000
Expenditures	1,188,308	513,844	1,324,388	881,970	1,572,302	1,125,000	1,125,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
EndinQ Cash Balance	(425,592)	(262,913)	569,866	447,302	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(425,592)	(262,913)	569,866	447,302	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVB, Social Security Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-247-K (8 of 14) -
prior to FY 2014, appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families -
 Title MB Part 2 (Family Preservation & Support)

Current Program Activities/Allowable Expenses: Fund planning and design of a continuum of services responsive to the diverse needs of children and families and establishment of community-based family support (preventive services) and family preservation (services for families at risk or in crisis) services.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,138,962	985,000	1,758,372	1,550,013	1,044,346	1,044,346	1,044,346
Beginning Cash Balance	(246,299)	(321,524)	(304,250)	51,310	17,288	0	0
Revenues	490,174	950,942	1,419,830	1,076,846	1,044,346	1,044,346	1,044,346
Expenditures	565,399	933,668	1,064,270	1,110,868	1,061,634	1,044,346	1,044,346
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(321,524)	(304,250)	51,310	17,288	0	0	0
Encumbrances	14,749	295,369	218,978	106,095			
Unencumbered Cash Balance	(336,273)	(599,619)	(167,668)	(88,807)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVB, Social Security Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-246-K (9 of 14)
prior to FY 2014 appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families -
 Title IVB Part 2 (Family Preservation & Support-Caseworker Visitation)

Current Program Activities/Allowable Expenses: Fund planning and design of a continuum of services responsive to the diverse needs of children and families and establishment of community-based family support (preventive services) and family preservation (services for families at risk or in crisis) services.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	98,275	65,000	124,549	129,072	65,784	65,784	65,784
Beginning Cash Balance	0	0	1	2	0	0	0
Revenues	39,347	57,297	61,263	126,062	65,784	65,784	65,784
Expenditures	39,347	57,296	61,262	126,064	65,784	65,784	65,784
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1	2	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	1	2	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: P.L. 92-272 Title IVE, Section 477

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-248-K (10 of 14)
prior to FY 2014 appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Independent Living Initiative

Current Program Activities/Allowable Expenses: Assist youth in foster care, ages 16-21, make the transition to independent living.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	870,805	728,532	500,000	500,000	500,000
Beginning Cash Balance	(37,415)	(37,411)	(37,084)	567	584	0	0
Revenues	180,165	550,541	487,887	433,578	500,000	500,000	500,000
Expenditures	180,161	550,214	450,236	433,561	500,584	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(37,411)	(37,084)	567	584	0	0	0
Encumbrances	24,920	208,569	42,413	1,540			
Unencumbered Cash Balance	(62,331)	(245,653)	(41,846)	(956)	0	0	0

Additional Information:

Amount Recd. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: P.L. 92-272

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) - N - - - - -
 Appropriation Acct. No. S-239-K (11 of 14)
prior to FY 2014 appn is S-207

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Education Voucher and Training

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	119,038	115,777	212,796	204,246	126,545	126,545	126,545
Beginning Cash Balance	(26,472)	(26,472)	(26,472)	0	0	0	0
Revenues	96,389	49,249	103,346	78,591	126,545	126,545	126,545
Expenditures	96,389	49,249	76,874	78,591	126,545	126,545	126,545
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
EndinQ Cash Balance	(26,472)	(26,472)	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(26,472)	(26,472)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Development-Child Abuse and Neglect Basic State Grant, Part 1
 Legal Authority: P.L. 100-294

Contact Name: Lance Tanaka
 Phone: 5865645
 Fund type (MOF): NI
 Appropriation Acct. No. S-242-K (12 of 14)

Intended Purpose: Financial support for demonstration projects designed to prevent, identify and treat child abuse and neglect.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families
 Child Abuse Prevention and Treatment Act

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	471,368	191,100	492,065	792,065	191,100	191,100	191,100
Beginning Cash Balance	1	1	4	2	2	0	0
Revenues	171,870	26,109	0	0	140,000	140,000	140,000
Expenditures	171,870	26,106	0	0	140,002	140,000	140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
EndinQ Cash Balance	1	4	2	2	0	0	0
Encumbrances	26,109	0	0	0			
Unencumbered Cash Balance	(26,108)	4	2	2	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Children's Justice Act
 Legal Authority: P.L. 100-294

Contact Name: ~~Lance Tanaka~~ - - - - -
 Phone: 586-5645
 Fund type (MOF): P
 Appropriation Acct. No. S-508-K (13 of 14) -

Intended Purpose: To improve legal and administrative, civil and criminal proceedings relating to the investigation and prosecution of child abuse cases; to create model programs for testing innovative approaches; to reform state laws to provide protection for children.

Source of Revenues: U.S. Department of Health and Human Services - Administration for Children and Families
 Children's Justice Act Grant

Current Program Activities/Allowable Expenses: Case finding & outreach; child abuse/neglect investigation; 24-hour crisis intervention; homebased placement prevention; case management; multi-disciplinary team diagnostic consultation; individual & family counseling; group treatment; intra-family sex abuse treatment; mothers & infants-at-risk treatment; permanency planning & adoption; setting of licensing standards for child caring & child placing organizations; recruiting, training, certifying, re-certifying, monitoring & supporting foster parents & relative caregivers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	322,207	140,400	342,234	333,063	140,400	140,400	140,400
Beginning Cash Balance	(13,812)	(13,812)	(13,812)	0	1	0	0
Revenues	58,056	71,408	117,722	111,799	178,000	178,000	178,000
Expenditures	58,056	71,408	117,722	111,798	178,001	178,000	178,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	(13,812)	(13,812)	0	1	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(13,812)	(13,812)	0	1	0	0	0

Additional Information:

Amount Rea. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS 301
 Prog ID(s): HMS 301
 Name of Fund: Family Violence Prevention and Services
 Legal Authority: P.L. 100-294

Contact Name: Lance Tanaka - - - - -
 Phone: 586-5645
 Fund type (MOF) N
 Appropriation Acct. No. S-284-R (14 of 14) -

Intended Purpose:

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY2016	FY 2017	FY 2018	FY 2019	FY2020
	{actual}	{actual}	(actual)	{actual}	(estimated)	{estimated}	(estimated)
Appropriation Ceiling	2,242,515	1,114,100	1,962,066	1,710,230	1,114,100	1,114,100	1,114,100
Beginning Cash Balance	(47,708)	(35,181)	(33,030)	9,105	4	0	0
Revenues	762,073	745,232	785,691	881,373	888,400	888,400	888,400
Expenditures	749,546	743,081	778,737	890,474	888,404	888,400	888,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	(35,181)	(33,030)	9,105	4	0	0	0
Encumbrances	109,128	220,387	25,342	10,909			
Unencumbered Cash Balance	(144,309)	(253,417)	(16,237)	(10,905)	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in coos, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 302
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF) N
 Appropriation Acct. No. 586-5847-15-K (1 of 3)

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Supportive services, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,883,987	10,966,433	11,177,045	17,573,630	8,983,987	8,983,987	8,983,987
Beginning Cash Balance	584,128	769,681	3,099,069	440,029	339,428	339,428	339,428
Revenues	6,243,845	10,335,066	5,410,245	9,727,101	9,750,000	9,750,000	9,750,000
Expenditures	7,903,133	8,005,678	8,069,285	9,827,702	9,750,000	9,750,000	9,750,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,844,841		0	0	0	0	0
Ending Cash Balance	769,681	3,099,069	440,029	339,428	339,428	339,428	339,428
Encumbrances	2,664,126	4,286,602	2,828,880	3,804,969			
Unencumbered Cash Balance	(1,894,445)	(1,187,533)	(2,388,851)	(3,465,541)	339,428	339,428	339,428

Additional Information:

Amount Rea. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 302
 Name of Fund: Head Start Collaboration Grant
 Legal Authority: 42USC 9801 ET SEQ

Contact Name: Lynn S. Iyema
 Phone: 586-5847
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-215-K (2 of 3)

Intended Purpose: Facilitate collaboration among Head Start agencies and entities that carry out activities to benefit low-income children from birth to school entry; provide a structure and process for the Office of Head Start, and implement and improve policies and practices.

Source of Revenues: DHHS - Administration for Children and Families, Office of Head Start

Current Program Activities/Allowable Expenses: Personnel costs, administrative costs, and travel costs to carry out the work activities of the Head Start Collaboration Office.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,599						
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	10,599						
Expenditures	10,599						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 302
 Name of Fund: Head Start State Advisory Council Grant (ARRA)
 Legal Authority: ARRA Act of 2009, P.L. 111-5

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF): N
 Appropriation Acct. No. sxx-215-K(3 of 3)

Intended Purpose: Establish a State Advisory Council on Early Childhood Education and Care.

Source of Revenues: DHHS - Administration for Children and Families, Head Start Discretionary Grant

Current Program Activities/Allowable Expenses: Services to improve coordination and collaboration among early childhood education and care programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	366,843						
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	366,843						
Expenditures	366,843						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers		0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2014 Legislature

Department: HMS
 Prog ID(s): HMS303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 5 8 6 -- 5 - 6 - 3 7 -----
 Fund type (MOF): N 280 K -----
 Appropriation Acct. No. 5-280-K -----

Intended Purpose:

Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues:

Title IV-E, Socail Security Act (ACF_ Foster Care Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide and adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,122,071	3,981,000	5,156,620	4,608,543	4,608,543	4,608,543	4,608,543
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,813,886	3,746,959	3,703,077	3,658,878	4,430,000	4,430,000	4,430,000
Expenditures	2,813,886	3,746,959	3,703,077	3,658,878	4,430,000	4,430,000	4,430,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS
 Prog ID(s): HMS303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: s203-K

Intended Purpose:

Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues:

Title IV-E, Social Security Act (ACF Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide and adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,558,521	18,449,626	20,118,034	15,728,565	15,728,565	15,728,565	15,728,565
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	12,691,985	14,070,150	15,266,341	14,556,856	14,556,856	14,556,856	14,556,856
Expenditures	12,691,985	14,070,150	15,266,341	14,556,856	14,556,856	14,556,856	14,556,856
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Rea. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS
 Prog ID(s): HMS303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5737
 Fund type (MOF) . . . , N - - , - , - - - - -
 Appropriation Acct. No. S-512-K
 FY13 appro no. S-203-k

Intended Purpose:

Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues:

Title IV-E, Social Security Act (ACF_ Guardianship Program)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide and adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue and expenditure went up were due to increase in room & board rate age group and increase in IV-E eligible children & FMAP rate is higher for FY 2017 and expected to be the same for future years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,285,170	1,520,263	1,934,982	2,128,384	2,128,384	2,128,384	2,128,384
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	948,907	1,297,255	1,542,423	2,062,932	2,062,932	2,062,932	2,062,932
Expenditures	948,907	1,297,255	1,542,423	2,062,932	2,062,932	2,062,932	2,062,932
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS
 Prog ID(s): HMS303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act - ARRA

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S205-K

Intended Purpose:

Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Supplements - Title IV-E, Social Security Act (ACF - Foster Care and Adoption Assistance)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: ARRA ended June 2011.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,576.00	0	0	0	0	0	0
Expenditures	2,576.00	-	-	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: HMS
 Prog ID(s): HMS303
 Name of Fund: Child Placement Board & Related Client
 Legal Authority: Title IV-E, Social Security Act - ARRA

Contact Name: Emily Ung
 Phone: 586-563, 7
 Fund type (MOF) N
 Appropriation Acct. No. S285-K

Intended Purpose:

Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Supplements - Title IV-E, Social Security Act (ACF - Foster Care and Adoption Assistance)

Current Program Activities/Allowable Expenses: Providing caregivers the means to provide an adequate standard of living for the children in their care by setting and maintaining reimbursement rates for the children's basic living costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: ARRA ended June 2011.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	{actual}	{actual}	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,274	0	0	0	0	0	0
Expenditures	1,274	-	-	0	0	0	0
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Rea. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 305
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5847
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-225-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of sue an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Child care subsidies and assistance payments to qualified recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	38,530,754	38,530,754	38,530,754	93,227,249	25,359,764	25,359,764	25,359,764
BeQinninQ Cash Balance	7,347,561	18,648,875	34,620,490	33,342,508	2,376,623	2,376,623	2,376,623
Revenues	29,458,341	28,209,102	5,732,631	(1,398,975)	30,000,000	30,000,000	30,000,000
Expenditures	16,069,313	12,237,487	7,010,613	29,566,910	30,000,000	30,000,000	30,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(2,087,714)		0	0	0	0	0
Ending Cash Balance	18,648,875	34,620,490	33,342,508	2,376,623	2,376,623	2,376,623	2,376,623
Encumbrances	0	0					
Unencumbered Cash Balance	18,648,875	34,620,490	33,342,508	2,376,623	2,376,623	2,376,623	2,376,623

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 93-647 Title XX SSA

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) N
 Appropriation Acct. No. S-221K-----

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Administration for Children and Families
 Title XX (Social Services Block Grant)

Current Program Activities/Allowable Expenses: Provide income eligible and current recipients of public assistance an array of services which will effectively prevent premature institutionalization of elderly/disabled adults; can be provided thru agency staff or thru purchase of services

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,984,228	3,491,919	3,324,405	3,468,705	725,550	725,550	725,550
Beginning Cash Balance	(87,725)	(108,646)	254,041	365,732	411,488	0	0
Revenues	483,547	1,413,666	649,389	695,153	725,550	725,550	725,550
Expenditures	504,468	1,050,979	537,698	649,397	1,137,038	725,550	725,550
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(108,646)	254,041	365,732	411,488	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(108,646)	254,041	365,732	411,488	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: Sec 1102, 49 stat, 647 (42 US 1302)

Contact Name: _____
 Phone: Carmen Hollister
 Fund type (MOF) 586-5643
 Appropriation Acct. No. N
S-292-K
prior to FY 2014 appn is S-221

Intended Purpose:

Source of Revenues: U.S. Department of Health & Human Services - Centers for Medicare & Medicaid Services - Medical
 Title XIX

Current Program Activities/Allowable Expenses: Provide financial assistance for payment of medical assistance on behalf of cash assistance recipients and other medically needy, who except for income and resources are categorically eligible.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	463,587	463,587	48,163	0	48,163	48,163	48,163
Beginning Cash Balance	284,496	250,577	262,955	12,380	9,524	0	0
Revenues	414,004	72,621	(212,026)	0	0	0	0
Expenditures	447,923	60,243	38,549	2,856	9,524	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	250,577	262,955	12,380	9,524	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	250,577	262,955	12,380	9,524	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Respite Companion Program
 Legal Authority: Older Americans Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): R - - - - -
 Appropriation Acct. No. S-528-K - - - - -
prior to FY 2014 appn is S-318

Intended Purpose:

Source of Revenues: Corporation for National & Community Service - Senior Companion Program

Current Program Activities/Allowable Expenses: Volunteer opportunities with stipends for low-income senior citizens age 60 or over to provide supportive services based on a care plan to service older adults with health-related problems in their own homes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	465,534	420,873	554,241	848,807	400,089	400,089	400,089
Beginning Cash Balance	0	7,180	33,777	86,186	84,337	0	0
Revenues	400,089	350,844	329,124	388,724	400,089	400,089	400,089
Expenditures	392,909	324,247	276,715	390,573	484,426	400,089	400,089
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,180	33,777	86,186	84,337	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	7,180	33,777	86,186	84,337	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 93-113 Title II Sec 211

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N - - - - -
 Appropriation Acct. No. S-509-K - - - - -
prior to FY 2014 appn is S-221

Intended Purpose:

Source of Revenues: Corporation for National & Community Service - Foster Grandparent Program

Current Program Activities/Allowable Expenses: Provide part-time volunteer opportunities with stipends for low-income persons age 60 or over. To render supportive person-to-person services in health, education, welfare and related residential and other settings to children having exceptional needs.
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	556,768	456,768	574,486	601,799	456,781	456,781	456,781
Beginning Cash Balance	40,095	39,494	68,419	103,360	140,228	0	0
Revenues	456,768	401,545	439,396	443,906	456,781	456,781	456,781
Expenditures	457,369	372,620	404,455	407,038	597,009	456,781	456,781
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	39,494	68,419	103,360	140,228	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	39,494	68,419	103,360	140,228	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Adult Community Care Services
 Legal Authority: P.L. 16-579

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): N
 Appropriation Acct. No. S-510-K
prior to FY 2014 appn is S-221

Intended Purpose:

Source of Revenues: Subgrant from the Dept of the Attorney General for the Case Management for Elderly Victims of Grines

Current Program Activities/Allowable Expenses: Improve services to elderly victims of abuse

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	241,370	217,905	296,056	57,180			
Beginning Cash Balance	(2,213)	40,095	39,325	57,833	67,919	0	0
Revenues	238,259	215,261	240,322	32,781	0		
Expenditures	195,951	216,031	221,814	22,695	67,919		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	40,095	39,325	57,833	67,919	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	40,095	39,325	57,833	67,919	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Respite Companion Program
 Legal Authority: Older Americans Act

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): P - - - - -
 Appropriation Acct. No. S-528-K - - - - -
prior to FY 2014 appn is S-318

Intended Purpose:

Source of Revenues: Subgrant from the State Department of Labor & Industrial Relations

Current Program Activities/Allowable Expenses: Limited personal care services to frail elderly clients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	217,718	200,844	286,511	349,094	190,129	190,129	190,129
Beginning Cash Balance	12,365	13,766	83,444	139,181	221,146	0	0
Revenues	72,877	180,855	203,222	201,786	190,129	190,129	190,129
Expenditures	71,476	111,177	147,485	119,821	411,275	190,129	190,129
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,766	83,444	139,181	221,146	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	13,766	83,444	139,181	221,146	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 888
 Name of Fund: Commission on the Status of Women
 Legal Authority: Act 147, SLH 2005

Contact Name: Lance Tanaka
 Phone: 586-5645
 Fund type (MOF): T - - - - -
 Appropriation Acct. No.: T-926-K - - - - -

Intended Purpose:

Source of Revenues: Donations

Current Program Activities/Allowable Expenses: Expenditures that support the programs for the Commission on the Status of Women and assist the commission on becoming more self-sufficient.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,364	3,364	3,364	3,364	3,364	3,364	3,364
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,364	3,364	3,364	3,364	3,364	3,364	3,364
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	3,364	3,364	3,364	3,364	3,364	3,364	3,364

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): HMS 888
 Name of Fund: Paid Leave Analysis Grant
 Legal Authority: P.L. 113-128

Contact Name: Lance Tanaka
 Phone: 5865645
 Fund type (MOF): P
 Appropriation Acct. No. S-546-K

Intended Purpose: To conduct paid leave analysis and research in the State

Source of Revenues: U.S Department of Labor - Employment and Training Administration

Current Program Activities/Allowable Expenses: Grant projects include: Economic analysis and paid leave benefit modeling; a feasibility and implementation study; public polling; and focus groups

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				240,000	126,819		
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	113,181	126,819	0	0
Expenditures	0	0	0	113,181	126,819	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung - - - - -
 Phone: 586-5637
 Fund type (MOF) No.: - - - - -
 Appropriation Acct. No.: S-244K - - - - -

Intended Purpose: Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care, Adoption Assistance & Guardianship Assistance Programs)

Current Program Activities/Allowable Expenses: Administration of programs for foster care of needy and dependent children, adoption of children with special needs and guardianship assistance.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY 15 revenue and expenditure went up were due to: 1. paid for S-14-249 lapse due to allotment shortage, 2. anticipating increase in expenditure expenditure for future year due to the implementation of the Waiver Program

Financial Data							
	FY2014	FY2015	FY 2016	FY2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,578,190	1,570,539	3,330,530	4,157,868	4,157,868	4,157,868	4,157,868
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	467,109	1,444,339	650,838	1,214,390	1,975,154	1,975,154	1,975,154
Expenditures	467,109	1,444,339	650,838	1,214,390	1,975,154	1,975,154	1,975,154
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	19,706	0	0	0	0	0	0
Unencumbered Cash Balance	(19,706)	0	0	0	0	0	0

Additional Information-

Amount Rea. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-513-K
 FY appro no.: S-244-k

Intended Purpose: Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance Program)

Current Program Activities/Allowable Expenses: Administration of programs for adoption of needy and dependent children. adoption of children with special special needs and guardianship assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Revenue and expenditure went up were due to increase in penetration rate & expected this trend to be continued for the future years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	52,009	56,000	89,810	90,751	90,751	90,751	90,751
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	27,853	33,055	42,995	48,988	64,685	64,685	64,685
Expenditures	27,853	33,055	42,995	48,988	64,685	64,685	64,685
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-514-k
 FY13 appro no.: S-244-k

Intended Purpose: Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Guardianship Assistance Program)

Current Program Activities/Allowable Expenses: Administration of programs for guardianship of needy and dependent children.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY 2016	FY2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,191	25,567	30,733	27,907	27,907	27,907	27,907
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	7,881	21,800	20,749	20,994	20,994	20,994	20,994
Expenditures	7,881	21,800	20,749	20,994	20,994	20,994	20,994
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 901
 Name of Fund: Child Welfare Services
 Legal Authority: Title IVE, Social Security Act

Contact Name: Emily Un Q
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-515
 FY13 appro no.: S-244

Intended Purpose: Determination and redetermination of a child's eligibility for the Hawaii Medquest Plan.

Source of Revenues: Title XIX (CMS)

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,149	16,000	30,021	34,144	34,144	34,144	34,144
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	10,091	15,261	8,566	6,819	22,059	22,059	22,059
Expenditures	10,091	15,261	8,566	6,819	22,059	22,059	22,059
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2018 Legislature

Department:	HMS
Prog ID(s):	HMS 903
Name of Fund:	Public Assistance-Maintenance Assistance
Legal Authority	Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Emily Ung
Phone: 586-456 37

Fund type (MOF) _____ N-----
Appropriation Acct. No. S-XX-227-K { 1 of 11 }
SFY14 Apprm acct. No. S-XX-530-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of those programs.

Source of Revenues: DHHS, ACF Tanf Title IV-A

Current Program Activities/Allowable Expenses: Administrative support to public welfare programs, including program development, training, investigative and recovery services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY2018	FY2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,168,181	4,466,729	5,342,127	5,686,040	5,686,040	5,686,040	5,686,040
Beginning Cash Balance	0	0	0	62,271	0	0	0
Revenues	1,910,029	4,996,219	2,939,880	3,530,157	2,821,525	2,821,525	2,821,525
Expenditures	1,910,029	4,996,219	2,877,609	3,592,428	2,821,525	2,821,525	2,821,525
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	62,271	0	0	0	0
Encumbrances	539,896	413,686	674,119	275,183	0	0	0
Unencumbered Cash Balance	(539,896)	(413,686)	(611,848)	(275,183)	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Supplemental Nutrition Assistance Program
 Legal Authority: SNAP Act 1964, Title 7, Chapter II, C

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-227-K (2 of 11)
 SFY14 Apprn acct. No.: S-XX-227-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Determines eligibility for food stamps assistance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY 2016	FY2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,310,342	13,784,515	37,530,244	36,411,919	36,411,919	36,411,919	36,411,919
Beginning Cash Balance	64,500	278	0	0	0	0	0
Revenues	4,330,708	6,144,967	3,954,462	9,950,060	8,975,170	8,975,170	8,975,170
Expenditures	4,394,931	6,145,245	3,954,462	9,950,060	8,975,170	8,975,170	8,975,170
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	278	0	0	0	0	0	0
Encumbrances	969,088	613,065	1,338,836	1,351,054	0	0	0
Unencumbered Cash Balance	(968,811)	(613,065)	(1,338,836)	(1,351,054)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in coos, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Medical Assistance Program
 Legal Authority: Sec. 1102, 49 Stat. 647 (42 U.S.C 1302)

Contact Name: Emily Ung
 Phone: 586-5537
 Fund type (MOF): ---N-----
 Appropriation Acct. No. S-XX-27-K(3 of 11) --
 SFY14 Apprn acct. No. S-XX-518-K

Intended Purpose: Provides financial assistance to states for payments of medical assistance on behalf of cash assistance recipients and other medically needy who, except for income and resources, are categorically eligible.

Source of Revenues: DHHS, CMS, Title **XIX**.

Current Program Activities/Allowable Expenses: Determines eligibility for financial assistance for payment of medical assistance on behalf of cash assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY2018	FY 2019	FY2020
	(actual)	(actual)	{actual}	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,056,900	323,145	482,854	498,658	498,658	498,658	498,658
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	410,907	21,297	11,004	2,554	250,000	250,000	250,000
Expenditures	410,907	21,297	11,004	2,554	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	4,429	0	0	0	0	0	0
Unencumbered Cash Balance	(4,429)	0	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-227-K (4 of 11)
 SFY14 Appm acct. No.: S-XX-529-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS -Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Adjustment (if applicable):
 Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY 2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	68,964,054	81,546,988	151,822,753	175,043,173	35,133,387	35,133,387	35,133,387
Beginning Cash Balance	(40,631)	24,684	8,375	37,074	792,859	792,859	792,859
Revenues	14,873,608	10,244,943	11,541,665	22,341,886	22,350,000	22,350,000	22,350,000
Expenditures	15,004,620	10,261,253	11,512,966	21,586,101	22,350,000	22,350,000	22,350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(171,643)	8,375	37,074	792,859	792,859	792,859	792,859
Encumbrances	5,484,833	9,836,890	6,709,014	6,988,800			
Unencumbered Cash Balance	(5,656,476)	(9,828,515)	(6,671,940)	(6,195,941)	792,859	792,859	792,859

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in coos, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Lynn Sugiyama
 Phone: 586-5647
 Fund type (MOF) N
 Appropriation Acct. No. SXX-27-K (5 of 11)
 SFY14 Apprn acct. No. SXX-516-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY 2016	FY 2017	FY 2018	FY2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	443,980	684,615	813,135	787,869	449,728	449,728	449,728
Beginning Cash Balance	0	0	0	0	94	94	94
Revenues	192,693	140,917	62,687	287,293	300,000	300,000	300,000
Expenditures	192,693	140,917	62,687	287,199	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	94	94	94	94
Encumbrances	15,626	19,968	33,732	38,059			
Unencumbered Cash Balance	(15,626)	(19,968)	(33,732)	(37,965)	94	94	94

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: PL 97-35

Contact Name: EmLy Ung
 Phone: 586-5637
 Fund type {MOF}: N
 Appropriation Acct. No. S-XX-227-K (6 of 11)
 SFY14 Appm acct. No. S-XX-519-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS • ACF • Low Income Home Energy Assistance Program

Current Program Activities/Allowable Expenses: Provides funds for Low Income Home Energy Assistance Program (LIHEAP) payments.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY2016	FY 2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	776,230	1,086,895	1,524,267	1,375,244	1,375,244	1,375,244	1,375,244
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	207,790	388,308	423,023	686,991	596,068	596,068	596,068
Expenditures	207,790	388,308	423,023	686,991	596,068	596,068	596,068
Transfers							
List each net transfer in/ouV or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	30,447	324,114	22,443	138,866	0	0	0
Unencumbered Cash Balance	(30,447)	(324,114)	(22,443)	(138,866)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99- 198

Contact Name: Emily Ung...
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-X-227-K, (7 of 10)
 SFY14 Apprn acct. No. S-XX-517-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: USDA - FNS, SNAP Employment and Training

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY2016	FY2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	89,900	154,437	168,312	39,316	39,316	39,316	39,316
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	20,237	25,391	18,880	22,081	30,000	30,000	30,000
Expenditures	20,237	25,391	18,880	22,081	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or orojection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		82	72	92	0	0	0
Unencumbered Cash Balance	0	(82)	(72)	(92)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR Chapter 11,P.L. 94-23.

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-227-K(8 of 11)
 SFY14 Apprn acct. No. S-XX-531-K

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of those programs.

Source of Revenues: DHHS, ACF Tanf Title IV-A

Current Program Activities/Allowable Expenses: Administrative support to public welfare programs, including program development, training, investigative and recovery services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY2016	FY2017	FY2018	FY2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	296,464	614,771	698,550	615,264	615,264	615,264	615,264
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	165,365	626,515	29,158	38,483	311,288	311,288	311,288
Expenditures	165,365	626,515	29,158	38,483	311,288	311,288	311,288
Transfers							
List each net transfer in/out/ or orrection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		19,671	31,801	35,751	0	0	0
Unencumbered Cash Balance	0	(19,671)	(31,801)	(35,751)	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: SNAP - Obesity Prevention
 Legal Authority: P.L.111-296

Contact Name: Lance Tanaka
 Phone: 586-5645
 Fund type (MOF): N - - - - -
 Appropriation Acct. No. S-XX-520-K (9 of 11)

Intended Purpose: To improve the likelihood that persons eligible for SNAP will make healthy food choices within a limited budget and choose physically self-sufficiency via an array of community - based programs & services.
 in addition to nutrition education.

Source of Revenues: USDA - FNS, SNAP

Current Program Activities/Allowable Expenses: Develop a nutrition education program for Hawaii's low-income households to improve making healthy food choices and choosing physically active lifestyles.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY 2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	988,000	1,192,073	1,190,888	1,642,640	988,000	988,000	988,000
Beginning Cash Balance	0	0	0	1,409	0	0	0
Revenues	243,412	541,699	672,006	1,149,860	988,000	988,000	988,000
Expenditures	243,412	541,699	670,597	1,151,269	988,000	988,000	988,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,409	0	0	0	0
Encumbrances	604,751	785,962	238,050	278,605			
Unencumbered Cash Balance	(604,751)	(785,962)	(236,640)	(278,605)	a	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2018 Legislature

Department:	HMS
Prog ID(s):	HMS 903
Name of Fund:	Refugee Resettlement Program
Legal Authority	Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung
 Phone: 58-6---56_3_7-----
 Fund type (MOF) N-----
 Appropriation Acct. No. 55-27-K(10 of 11)-
 SFY14 Apprn acct. No. 55-27-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic self-sufficiency via an array of community - based programs & services.

Source of Revenues: DHHS - Administration for Children and Families, Refugee Resettlement Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY2017	FY 2018	FY2019	FY 2020
	(actual)	(actual)	{actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation CeilinQ	598	3,000	3,000	3,992	3,992	3,992	3,992
BeQinning Cash Balance	a	0	0	0	0	a	0
Revenues	89	514	25	104	1,000	1,000	1,000
Expenditures	89	514	25	104	1,000	1,000	1,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	a	a	a	a	0	a	0
Ending Cash Balance	0	a	0	a	a	0	0
Encumbrances	18	0	0	a	0	0	0
Unencumbered Cash Balance	(18)	a	0	a	a	a	a

Additional Information-

Amount ReQ. by Bond Covenants							
Amount from Bond Proceeds.,							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 903
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Lym S. Williams
 Phone: 586-5647
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-227-K (1 of 1)
 SFY14 Apprn acct. No. S-XX-532-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS - Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY 2016	FY2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Apportionment Ceiling	0	1,453,785	1,750,256	1,889,441	1,040,115	1,040,115	1,040,115
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	489,858	438,631	898,526	900,000	900,000	900,000
Expenditures	0	489,858	438,631	898,526	900,000	900,000	900,000
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		1,875	12,480	13,100			
Unencumbered Cash Balance	0	(1,875)	(12,480)	(13,100)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): HMS 904
 Name of Fund: Public Assistance-Maintenance Assistance
 Legal Authority: Title IV-A, 45 CFR Chapter II, P.L. 94-23.

Contact Name: Eml yUn g -----
 Phone: 586-5637 -----
 Fund type (MOF).....: N
 Appropriation Acct. No. S-XX-291-K (1 of 11) -----
 SFY14 Apprn Acct. No. S-XX-534-K -----

Intended Purpose: Provides financial aid to state for aid to families with dependent children, assistance to repatriated U.S. nationals and administration of these program.

Source of Revenues: DHHS, ACF Tanf Title IV-A.

Current Program Activities/Allowable Expenses: Administrative support for the Aid to Families with Dependent Children.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY2016	FY2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	298,411	322,152	532,854	471,928	471,928	471,928	471,928
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	201,179	190,598	186,257	224,699	319,068	319,068	319,068
Expenditures	201,179	190,598	186,257	224,699	319,068	319,068	319,068
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): HMS 904
 Name of Fund: Supplemental Nutrition Assistance Program
 Legal Authority: SNAP Act 1964, Title 7, Chapter 11,C

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-291-K (2 of 11)
 SFY14 Apprn Acct. No.: S-XX-291-K

Intended Purpose: Improves diets of low-income households by supplementing food purchasing power of eligible low-income families through the issuance of food stamps.

Source of Revenues: USDA - FNS - SNAP

Current Program Activities/Allowable Expenses: Administrative support to the Supplemental Nutrition Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY 2015	FY 2016	FY2017	FY2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,334,892	1,581,039	2,800,205	2,109,233	2,109,233	2,109,233	2,109,233
Beginning Cash Balance	0	0	(0)	(0)	(0)	(0)	(0)
Revenues	946,851	1,214,536	932,814	1,300,304	1,695,974	1,695,974	1,695,974
Expenditures	946,851	1,214,536	932,814	1,300,304	1,695,974	1,695,974	1,695,974
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	(0)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	(0)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Medical Assistance Program
 Legal Authority: Sec. 1102, 49 Stat. 647 (42 U.S.C 1302)

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF):N.....
 Appropriation Acct. No. S-XX-291-K (3 of 11)
 SFY14 Apprn Acct. No. S-XX-523-K

Intended Purpose: Provides financial assistance to states for payments of medical assistance on behalf of cash assistance recipients and other medically needy who, except for income and resources, are categorically eligible.

Source of Revenues: DHHS, CMS, Title XIX.

Current Program Activities/Allowable Expenses: Administrative support to the Medical Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY2016	FY 2017	FY2018	FY2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	801,833	410,000	718,221	1,693,826	718,221	718,221	718,221
Beginning Cash Balance	0	0	0	0	(0)	(0)	(0)
Revenues	458,480	166,285	270,174	214,036	504,435	504,435	504,435
Expenditures	458,480	166,285	270,174	214,036	504,435	504,435	504,435
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	180,000	0	0	0
Unencumbered Cash Balance	0	0	0	(180,000)	(0)	(0)	(0)

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Low Income Home Energy Assistance Program (LIHEAP)
 Legal Authority: P.L. 97-35

Contact Name: Emily Ung
 Phone: 5-86- 5-63 7
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (4 of 11)
 SFY14 Apprn Acct. No. S-XX-524-K

Intended Purpose: Provides for cash payments to households that meet income and categorical qualifications.

Source of Revenues: DHHS - ACF - Low Income Home Energy Assistance Program.

Current Program Activities/Allowable Expenses: Administrative support to the Low Income Home Energy Assistance Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY 2015	FY2016	FY 2017	FY2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,737	19,998	40,921	23,731	23,731	23,731	23,731
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	3,238	571	965	7,298	7,298	7,298	7,298
Expenditures	3,238	571	965	7,298	7,298	7,298	7,298
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Employment and Training Program
 Legal Authority: P.L. 99-198

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (5 of 11)
 SFY14 Apprn Acct. No. S-XX-522-K

Intended Purpose: Assist food stamp recipients in gaining skills, training, or experience that will increase their ability to obtain un-subsidized employment.

Source of Revenues: USDA • FNS, SNAP Employment and Training.

Current Program Activities/Allowable Expenses: Provision of employability assessment and employment plan development services. Placement in educational components.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY 2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	19,332	22,173	42,482	12,139	12,139	12,139	12,139
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,220	3,609	5,129	4,831	4,831	4,831	4,831
Expenditures	1,220	3,609	5,129	4,831	4,831	4,831	4,831
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Temporary Assistance for Needy Families (TANF)
 Legal Authority: Title II Section 201, P.L. 101-31 and P.L. 104-193

Contact Name: Emily Ling
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No.: S-XX-291-K (6 of 11)
 SFY14 Apprn Acct. No.: S-XX-533-K

Intended Purpose: First-to-Work Program - to assure that needy families with children obtain the education, training, and employment that will help them avoid long term welfare dependence.

Source of Revenues: DHHS -Administration for Children and Families, TANF Grant

Current Program Activities/Allowable Expenses: Provide qualified TANF recipients with education, training, and other supportive services; admin costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	40,996	127,895	195,586	158,047	158,047	158,047	158,047
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	21,132	43,777	36,544	48,056	157,303	157,303	157,303
Expenditures	21,132	43,777	36,544	48,056	157,303	157,303	157,303
Transfers							
List each net transfer in/ouV or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Care Development Fund (CCDF)
 Legal Authority: Title VI, PRWORA, P.L. 104-193

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (7 of 11)
 SFY14 Apprn Acct. No. S-XX-521-K

Intended Purpose: Provide child care assistance under the State welfare program, families who are attempting through work activities to transition off of such an assistance program, and families who are at risk of becoming dependent on such assistance.

Source of Revenues: DHHS - Administration for Children and Families, CCDF Grant

Current Program Activities/Allowable Expenses: Provide child care subsidies, resource and referral services to qualified recipients, administrative and other operating costs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY2016	FY2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	19,710	62,708	116,991	74,993	74,993	74,993	74,993
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	9,933	17,366	20,345	25,261	25,261	25,261	25,261
Expenditures	9,933	17,366	20,345	25,261	25,261	25,261	25,261
Transfers							
List each net transfer in/out/ or orjection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Refugee Resettlement Program
 Legal Authority: Act 1980, SEC 414 (a) (6)

Contact Name: Emily Ung
 Phone: 586-5637 -----
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (8 of 11)
 SFY14 Appm Acct. No. S-XX-235-K

Intended Purpose: Assist Hawaii's low-income, immigrant & refugee populations to overcome and alleviate workforce barriers to economic

Source of Revenues: DHHS - Administration for Children and Families, Refugee Program.

Current Program Activities/Allowable Expenses: Determine eligibility for Refugee Program.

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY2018 (estimated)	FY2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	785	1,500	1,500	1,500	1,500	1,500	1,500
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	500	0	0	500	500	500
Expenditures	0	500	0	0	500	500	500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: 586-5637
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-291-K (9 of 11)
For fy 14 new Appro S-14-525

Intended Purpose: Strengthen and improve the federally supported programs for adoption assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Adoption Assistance).

Current Program Activities/Allowable Expenses: Administration of programs for adoption assistance on needy and dependent children and adoption of children with special needs.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years

Financial Data							
	FY 2014	FY 2015	FY2016	FY 2017	FY2018	FY2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,218	3,400	15,945	17,808	17,808	17,808	17,808
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	491	2,765	6,599	4,980	12,000	12,000	12,000
Expenditures	491	2,765	6,599	4,980	12,000	12,000	12,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: -5-86---5-63-7-----
 Fund type (MOF): -N-----
 Appropriation Acct. No. S-XX-291-K (10 of 11)
For fy 14 new Appro S-14-526

Intended Purpose: Strengthen and improve the federally supported programs for foster care of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF - Foster Care).

Current Program Activities/Allowable Expenses: Administration of programs for foster care on needy and dependent children.
and recovery services.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services
and this trend are expected to be continue for future years.

Financial Data							
	FY 2014	FY2015	FY2016	FY2017	FY2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	34,151	63,911	115,789	116,896	116,896	116,896	116,896
Beginning Cash Balance	0	0	0	0	(0)	(0)	(0)
Revenues	13,817	51,591	61,128	47,725	83,000	83,000	83,000
Expenditures	13,817	51,591	61,128	47,725	83,000	83,000	83,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	(0)	(0)	(0)	(0)
Encumbrances	1,303	0	0	0	0	0	0
Unencumbered Cash Balance	(1,303)	0	0	(0)	(0)	(0)	(0)

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 904
 Name of Fund: Child Welfare Services
 Legal Authority: Title IV-E, Social Security Act

Contact Name: Emily Ung
 Phone: -5-86-- 5-6-37 -----
 Fund type (MOF) -N- - - - -
 Appropriation Acct. No. S-XX-291-K (11 of 11)
For fy 14 new Appro S-14-527

Intended Purpose: Strengthen and improve the federally supported programs for guardianship assistance of needy and dependent children.

Source of Revenues: Title IV-E, Social Security Act (ACF • Guardianship Assistance).

Current Program Activities/Allowable Expenses: Administration of programs for guardianship assistance on needy and dependent children.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY15 revenue and expenditure went up were due to: More kids qualified for medicaid determination/redetermination for Child Welfare Services and this trend are expected to be continue for future years

Financial Data							
	FY 2014	FY2015	FY 2016	FY2017	FY2018	FY 2019	FY2020
	{actual}	{actual}	{actual}	{actual}	{estimated}	{estimated}	{estimated}
Appropriation Ceiling	736	1,050	4,017	3,932	3,932	3,932	3,932
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	137	852	2,718	2,007	2,800	2,800	2,800
Expenditures	137	852	2,718	2,007	2,800	2,800	2,800
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Dev/Implementation of Hsg First Pilot Pgm
 Legal Authority: Act 191, SLH 2010

Contact Name: Derek Oshiro
 Phone: 586-563 0
 Fund type (MOF) B
 Appropriation Acct. No. S-11-338-K

Intended Purpose: Helping the chronically homeless from the streets and shelters into housing.

Source of Revenues: General funds.

Current Program Activities/Allowable Expenses: Develop and implement a housing first pilot program through collaboration between the Hawaii Public Housing Authority and the Department of Human Services for chronically homeless individuals and to provide housing and treatment services for the chronically homeless individuals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balance of the funds (\$25,686) returned in SFY 2016.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	25,686	25,686	25,686	0	0	0	0
Revenues	0	0	(25,686)	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25,686	25,686	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	25,686	25,686	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 224
 Name of Fund: Shelter Plus Care Program
 Legal Authority: Act 191, SLH 2010

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): B
 Appropriation Acct. No.: S-11-356-K

Intended Purpose: Grants for rental assistance, in combination with supportive services from other sources to assist hard-to-serve homeless persons
 With disabilities.

Source of Revenues: General funds.

Current Program Activities/Allowable Expenses: Provide rental assistance for homeless people with disabilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Balance of the funds (\$6,646) returned in SFY 2016.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	6,646	6,646	6,646	0	0	0	0
Revenues	0	0	(6,646)	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,646	6,646	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	6,646	6,646	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 238
 Name of Fund: Disability Determination
 Legal Authority: Social Security Act, Title II 1954 and Title XVI 1972

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF): N
 Appropriation Acct. No.: 5238K

Intended Purpose:

To determine the eligibility of applicants for disability insurance and supplemental security income by establishing whether or not an applicant is totally disabled for a period of not less than 12 consecutive months for substantial employment.

Source of Revenues:

Social Security Administration Disability Programs

Current Program Activities/Allowable Expenses:

In addition to determining eligibility for benefits, the program refers appropriate clients for vocational rehabilitation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,801,190	7,325,287	11,929,977	10,052,483	10,052,483	10,052,483	10,052,483
Beginning Cash Balance	40,353	37,167	15,936	1,502	91,106	0	0
Revenues	5,828,614	6,295,247	6,523,547	6,583,420	6,655,000	6,655,000	6,655,000
Expenditures	5,831,800	6,316,478	6,537,981	6,493,816	6,746,106	6,655,000	6,655,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	37,167	15,936	1,502	91,106	0	0	0
Encumbrances		511,943	429,639	549,395			
Unencumbered Cash Balance	37,167	(496,007)	(428,137)	(458,289)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Independent Living Services for Older Blind Individuals
 Legal Authority: Rehabilitation Act of 1973

Contact Name: Wallace Ma - - - - -
 Phone: 586-5631
 Fund type (MOF): N - - - - -
 Appropriation Acct. No. S-282-K (eff FY 2014, S-294-K)
 (1 of 7)

Intended Purpose:

Provide services to older blind individuals to help them attain their goals of living independently

Source of Revenues:

U.S. Department of Education Grant - Independent Living Services for Older Individuals who are Blind

Current Program Activities/Allowable Expenses:

Provide independent living skills training in money management, travel, meal preparation, self care, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	225,000	225,000	394,868	431,009	431,009	431,009	431,009
Beginning Cash Balance	0	93,920	39,192	22,707	26,951	0	0
Revenues	217,220	64,873	68,430	155,514	225,000	225,000	225,000
Expenditures	123,300	119,601	84,915	151,270	251,951	225,000	225,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	93,920	39,192	22,707	26,951	0	0	0
Encumbrances							
Unencumbered Cash Balance	93,920	39,192	22,707	26,951	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Hawaii
 Legal Authority: P.L. 100-407

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF) N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-297-K)
 (2 of 7)

Intended Purpose:

Supportive technology system for persons with disabilities

Source of Revenues:

U.S. Department of Health and Human Services Grant - State Grants for Assistive Technology

Current Program Activities/Allowable Expenses:

Development of a statewide model system to deliver technology-related services and devices to person with disabilities

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	438,108	439,901	582,275	522,185	522,185	522,185	522,185
Beginning Cash Balance	0	1	81,047	0	0	0	0
Revenues	396,188	505,486	428,787	371,007	438,000	438,000	438,000
Expenditures	396,187	424,440	509,834	371,007	438,000	438,000	438,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1	81,047	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1	81,047	0	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Social Security Program Income
 Legal Authority: P.L. 97-35 OBRA 1981

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF): N
 Appropriation Acct. No. S-241-K, S-282-K
 (3 of 7)

Intended Purpose:

Reimbursement funds are received from the Social Security Administration for rehabilitating Social Security clients

Source of Revenues:

Social Security Administration

Current Program Activities/Allowable Expenses:

The current activities include: 1) the processing of applications, 2) the development of rehabilitation plans, 3) placement of individuals in rehabilitation programs and 4) placement of individuals in jobs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	34,472,552	11,358,372	14,569,009	14,569,009	14,662,011	14,662,011	14,662,011
Beginning Cash Balance	67,723	27,368	32,492	160,302	4,421	0	0
Revenues	4,441	32,492	286,977	149,830	0	0	0
Expenditures	44,796	27,368	159,167	305,711	4,421	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	27,368	32,492	160,302	4,421	0	0	0
Encumbrances							
Unencumbered Cash Balance	27,368	32,492	160,302	4,421	0	0	0

Additional Information:

Amount Rea. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Supported Employment
 Legal Authority: P.L. 99-506

Contact Name: Wafae Ma
 Phone: 586-5631
 Fund type (MOF): N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-295-K)
 (4 of 7)

Intended Purpose:

Provide services to individuals with severe disabilities in attaining job opportunities and employment in the private sector, which would not normally have been offered to them.

Source of Revenues:

U.S. Department of Education Grant - Supported Employment services for individuals with servere disabilities.

Current Program Activities/Allowable Expenses:

Place and train persons with the most severe disabilities to enable them to maintain competitive employment in integrated settings

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY2017 (actual)	FY 2018 (estimated)	FY2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling	300,000	300,000	361,400	553,887	553,887	553,887	553,887
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	338,100	290,100	407,513	253,887	300,000	300,000	300,000
Expenditures	338,100	290,100	407,513	253,887	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Rea. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Independent Living
 Legal Authority: P.L. 99-506

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF): N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-293-K)
 (5 of 7)

Intended Purpose:

Provide services to severely disabled persons so they may attain their goal of functioning independently outside of institutions.

Source of Revenues:

U.S. Department of Health and Human Services Grant - Independent Living - State Grants

Current Program Activities/Allowable Expenses:

1. Process applications for services; 2. Assess needs; 3. Develop service plan; 4. Provide services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Geiling	311,766	305,350	385,350	471,577	471,577	471,577	471,577
Beginning Cash Balance	0	0	52,346	0	0	0	0
Revenues	227,197	175,654	166,776	310,384	312,000	312,000	312,000
Expenditures	227,197	123,308	219,122	310,384	312,000	312,000	312,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	52,346	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	52,346	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in GODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Training (Grant closed 09/30/15)
 Legal Authority: P.L. 93-112

Contact Name: Wallace Ma
 Phone: 586-5631
 Fund type (MOF): N
 Appropriation Acct. No. S-282-K (eff FY 2014, S-298-K)
 (6 of 7)

Intended Purpose:

To increase the number of personnel trained in providing vocational rehabilitation services to handicapped individuals.

Source of Revenues:

U.S. Department of Education Grant - Rehabilitation training - State Vocational Rehabilitation Unit In-Service Training

Current Program Activities/Allowable Expenses:

Plan for and provide training to improve the program's capacity to meet the employment needs of persons with disabilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY2016	FY 2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,757	18,883	11,216	2,053	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	5,501	18,994	9,163	0	0	0	0
Expenditures	5,501	18,994	9,163	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Rehabilitation Services and Facilities - Basic Support
 Legal Authority: P.L. 95-602

Contact Name: Wallace Ma
 Phone: 586-563 1
 Fund type (MOF): N
 Appropriation Acct. No. S-282-K
 (7 of 7)

Intended Purpose:

Provide vocational rehabilitation services to persons with mental and physical handicaps to achieve gainful employment.

Source of Revenues:

U.S. Department of Education Grant - Rehabilitation services - Vocational Rehabilitation grants to States

Current Program Activities/Allowable Expenses:

The current activities include: 1) the processing of applications, 2) the development of rehabilitation plans, 3) placement of individuals in rehabilitation programs and 4) placement of individuals in jobs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY 2015	FY 2016	FY2017	FY2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling:	34,472,552	11,358,372	34,072,377	26,678,824	26,678,824	26,678,824	26,678,824
Beginning Cash Balance	2,515,520	1,668,987	2,710,525	2,289,901	2,571,092	0	0
Revenues	13,225,945	13,694,472	13,900,558	16,128,198	12,700,000	12,700,000	12,700,000
Expenditures	14,072,478	12,652,934	14,321,182	15,847,007	15,271,092	12,700,000	12,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,668,987	2,710,525	2,289,901	2,571,092	0	0	0
Encumbrances		400,948	47,344				
Unencumbered Cash Balance	1,668,987	2,309,577	2,242,557	2,571,092	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): HMS 802
 Name of Fund: Blind Shop Revolving & Handicraft Fund
 Legal Authority: HRS 347-12 (Abolished by Act 042, SLH 2016)

Contact Name: Wallace Ma
 Phone: 586-563 1
 Fund type (MOF): W
 Appropriation Acct. No.: 5301K

Intended Purpose:

The fund is to be used for workshop or home labor purposes for the blind that will benefit by such experience. Moneys in the fund may be expended for materials, machinery, and payment of compensation. All proceeds derived from the sale of products or home labor shall be deposited in the fund.

Source of Revenues:

Sale of products or home labor.

Current Program Activities/Allowable Expenses:

The program operates the Ho'opono workshop for the blind. Participants make or package items for resale to fund the operation of the workshop.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Aooropriation Ceiling	1,330,200	1,330,200	1,330,200	71,330			
Beginning Cash Balance	71,330	71,330	71,330	71,330	0	0	0
Revenues							
Expenditures				71,330			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	71,330	71,330	71,330	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	71,330	71,330	71,330	0	0	0	0

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 301
 Name of Fund: Child Welfare Services
 Legal Authority: Act 232/94 HRS 346-7.5

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): B
 Appropriation Acct. No.: S-314-K

Intended Purpose:

Source of Revenues: DOH - fees remitted for issuance of marriage license and fees remitted for certified copies of birth, marriage and death certificates. (Spouse and Child Abuse)

Current Program Activities/Allowable Expenses: Funding used for services that support or provide spouse or child abuse intervention or prevention

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY 2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,007,587	1,007,587	1,007,587	1,087,587	1,007,587	1,007,587	1,007,587
Beginning Cash Balance	431,712	760,853	1,138,549	413,536	162,060	162,060	162,060
Revenues	407,579	491,138	412,103	595,015	846,191	846,191	846,191
Expenditures	78,438	113,442	1,137,116	846,491	846,191	846,191	846,191
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	760,853	1,138,549	413,536	162,060	162,060	162,060	162,060
Encumbrances	5,236	0	80,000	1,984			
Unencumbered Cash Balance	755,617	1,138,549	333,536	160,076	162,060	162,060	162,060

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Randolph Sheppard Account (Blind Vendors)
 Legal Authority: Act 070/91

Contact Name: Wallace Ma - - - - -
 Phone: 586-5631
 Fund type (MOF): W - - - - -
 Appropriation Acct. No. S-350-K - - - - -

Intended Purpose:

Income from vending machines on federal property to be disbursed to blind vendors and for upgrading vending facility program.

Source of Revenues:

Income from vending machines on Federal property.

Current Program Activities/Allowable Expenses:

Assist blind vendors and promote vending machine sites in government buildings.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY 2015	FY2016	FY2017	FY2018	FY 2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,330,200	1,330,200	1,330,232	1,330,200	1,330,200	1,330,200	1,330,200
Beginning Cash Balance	948,171	1,245,030	1,271,017	1,252,303	1,312,701	1,312,701	1,312,701
Revenues	922,584	742,395	625,224	777,429	240,000	240,000	240,000
Expenditures	625,725	716,408	643,938	717,031	240,000	240,000	240,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,245,030	1,271,017	1,252,303	1,312,701	1,312,701	1,312,701	1,312,701
Encumbrances							
Unencumbered Cash Balance	1,245,030	1,271,017	1,252,303	1,312,701	1,312,701	1,312,701	1,312,701

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): Not Applicable
 Name of Fund: Special Deposits
 Legal Authority: Administratively established

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): T
 Appropriation Acct. No.: 1-903-K

Intended Purpose: To hold Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) in trust for foster children until they reach the age of majority.
 Source of Revenues: Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) from the Social Security Administration on behalf of children in foster care.
 Current Program Activities/Allowable Expenses: Cost of maintenance of foster children in out-of home care under the placement responsibility of DHS.
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beinnina Cash Balance	353,329	363,351	393,479	414,732	440,686	462,525	484,364
Revenues	30,204	82,058	42,988	26,454	45,426	45,426	45,426
Expenditures	20,182	51,930	21,735	500	23,587	23,587	23,587
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	363,351	393,479	414,732	440,686	462,525	484,364	506,203
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	363,351	393,479	414,732	440,686	462,525	484,364	506,203

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): Not Applicable
 Name of Fund: SSI Dedicated Funds
 Legal Authority: Administratively Established

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF): T
 Appropriation Acct. No.: TXX-905K

Intended Purpose: To hold Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) in trust for foster children until they reach the age of majority.
 Source of Revenues: Supplemental Security Income (SSI) and Retirement Survivor and Disability Insurance (RSDI) from the Social Security Administration on behalf of children in foster care.
 Current Program Activities/Allowable Expenses: Cost of maintenance of foster children in out-of-home care under the placement responsibility of DHS. Approval has to be received by the Social Security Administration before any disbursements are made.
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY 2016	FY2017	FY2018	FY 2019	FY 2020
	{actual}	(actual)	{actual}	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	12,216	10,606	10,606	10,606	10,606	10,204	9,802
Revenues	0	0	0	8,994	2,249	2,249	2,249
Expenditures	1,610	0	0	8,994	2,651	2,651	2,651
Transfers							
List each net transfer in/out or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	10,606	10,606	10,606	10,606	10,204	9,802	9,400
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	10,606	10,606	10,606	10,606	10,204	9,802	9,400

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): _____
 Name of Fund: Donations - Homeless Programs
 Legal Authority: Act 180, SLH 2010

Contact Name: Derek Oshiro - - - - -
 Phone: 586-5630
 Fund type (MOF): T - - - - -
 Appropriation Acct. No. TXX-906-K - - - - -

Intended Purpose: Operating Support - Office of Homelessness

Source of Revenues: Donations from Hawaii Community Foundation (HCF)

Current Program Activities/Allowable Expenses: Expenditures that support the Governor's Coordinator on Homelessness (Example: salaries and fringes for the Special Assistant for Real Estate Development and Program Specialist, staff travel for interagency council meetings and conferences, other expenditures including postage, copying, reports and miscellaneous).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY2015	FY 2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	22,787	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Exoenditures	22,787	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or orrection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 802
 Name of Fund: Donations for Sight Conservation
 Legal Authority: HRS 347-10

Contact Name: Wallace Ma - - - - -
 Phone: 586-5631
 Fund type (MOF) T -
 Appropriation Acct. No. T-908-K -

Intended Purpose:
 Donation account for sight conservation.
 Source of Revenues:
 Donations.
 Current Program Activities/Allowable Expenses:
 Expend or distribute donations, eye glasses and other services for sight conservation and for assistance to blind and visually handicapped persons.
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	-(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	65,935	69,680	74,635	373,641	375,384	375,384	375,384
Revenues	11,000	16,535	300,806	6,743	5,000	5,000	5,000
Expenditures	7,255	11,580	1,800	5,000	5,000	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	69,680	74,635	373,641	375,384	375,384	375,384	375,384
Encumbrances							
Unencumbered Cash Balance	69,680	74,635	373,641	375,384	375,384	375,384	375,384

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Recruitment of Foster Parents (Respite Companion Svc Prog Acct)
 Legal Authority: Section 346-14 & 346-56, HRS

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): I - - - - -
 Appropriation Acct. No.: I-915-K - - - - -

Intended Purpose:

Source of Revenues: Financed by federal funds from the U.S. Department of Labor

Current Program Activities/Allowable Expenses: This was established to pay part time respite companions their accumulated vacation credits in the event that this grant is discontinued.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY2016	FY2017	FY 2018	FY2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	6,110	6,110	6,110	6,110	0	0	0
Revenues		0	0	0	0	0	0
Expenditures	0	0	0	6,110	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,110	6,110	6,110	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	6,110	6,110	6,110	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prag ID(s): Not Applicable
 Name of Fund: Donations for Social Services
 Legal Authority: Not Applicable

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF) I - - - - -
 Appropriation Acct. No. I-918-K - - - - -

Intended Purpose:

Source of Revenues: Donations.

Current Program Activities/Allowable Expenses: Funds are disbursed to meet the acute emergency needs of clients who need immediate cash to purchase food or other goods or services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	402,478	557,078	763,553	907,624	907,631	907,631	907,631
Revenues	564,500	339,000	411,843	444,753	304,347	304,347	304,347
Expenditures	409,900	132,525	267,772	304,347	304,347	304,347	304,347
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	557,078	763,553	907,624	1,048,030	907,631	907,631	907,631
Encumbrances	0	81,972	0	0			
Unencumbered Cash Balance	557,078	681,581	907,624	1,048,030	907,631	907,631	907,631

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): Not Applicable
 Name of Fund: Temporary Deposits-Payroll Overpayments
 Legal Authority: Administratively Established

Contact Name: Derek Oshiro
 Phone: 808-583-5300
 Fund type (MOF): J
 Appropriation Acct. No.: 1220922-12

Intended Purpose: Temporary holding account for payroll overpayments.

Source of Revenues: Reimbursements from staff who were overpaid.

Current Program Activities/Allowable Expenses: When all overpayments have been received from an employee, the funds are reimbursed to the account from which the overpayment occurred.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY2014	FY 2015	FY2016	FY2017	FY2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	42,621	43,911	54,616	49,801	54,071	56,871	59,671
Revenues	14,939	39,302	22,206	18,602	23,700	23,700	23,700
Expenditures	13,649	28,597	27,021	14,332	20,900	20,900	20,900
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	43,911	54,616	49,801	54,071	56,871	59,671	62,471
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	43,911	54,616	49,801	54,071	56,871	59,671	62,471

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 211, 237, 305 and 903
 Name of Fund: HANA Electronic Benefit Transfer (EBT) Account
 Legal Authority: N/A

Contact Name: Derek Oshiro
 Phone: 5866630
 Fund type (MOF): FF
 Appropriation Acct. No.: TX-92-K

Intended Purpose: This trust account was established to accommodate the Electronic Benefit Transfer (EBT) transactions. Funds are transferred into this account when financial benefits are authorized to the Department's clients and are then transferred daily to the DHS' contractor who issues the actual benefits to the clients when they access their accounts electronically.

Source of Revenues: DHHS - ACF, TANF Grant for TANF Cash Assistance (AF-F). The general fund is the source of revenue for the TAONF (AF-State). Amounts represent combined federal and general funds. The federal portion of TANF Cash Assistance (AF-F) is included in Appropriation Account S-XX-201-K.

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0				
Beginning Cash Balance	574,737	622,098	614,095	595,698	10,845,514	645,514	645,514
Revenues	85,098,187	81,529,425	73,148,928	66,522,572	66,500,000	66,500,000	66,500,000
Expenditures	85,050,826	81,537,428	73,167,325	56,272,756	76,700,000	66,500,000	66,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	622,098	614,095	595,698	10,845,514	645,514	645,514	645,514
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	622,098	614,095	595,698	10,845,514	645,514	645,514	645,514

Additional Information-

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 601
 Name of Fund: Foster Grandparent Program Account
 Legal Authority: N/A

Contact Name: Carmen Hollister
 Phone: 586-5643
 Fund type (MOF): T - - - - -
 Appropriation Acct. No. J-924-K - - - - -

Intended Purpose:

Source of Revenues: Bretzlaff Endowment Foundation through the Hawaii Justice Foundation

Current Program Activities/Allowable Expenses: Monies used to increase the number of foster grandparents and to defray related expenses
 purchase food or other goods or services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY2015	FY 2016	FY 2017	FY 2018	FY2019	FY2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	47,765	27,565	36,554	36,097	34,088	34,088	34,088
Revenues	9,000	16,740	9,250	9,080	11,089	11,089	11,089
Expenditures	29,200	7,751	9,707	11,089	11,089	11,089	11,089
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	27,565	36,554	36,097	34,088	34,088	34,088	34,088
Encumbrances	0	292	0	0			
Unencumbered Cash Balance	27,565	36,262	36,097	34,088	34,088	34,088	34,088

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): HMS 211,237,305 and 903
 Name of Fund: HANA Electronic Benefit Transfer (EBT) Account
 Legal Authority: N/A

Contact Name: Derek Chiro
 Phone: 586-5630
 Fund type (MOF): T
 Appropriation Acct. No.: TXX-925K

Intended Purpose: This trust account was established to accommodate the Electronic Benefit Transfer (EBT) transactions. Funds are transferred into this account when financial benefits are authorized to the Department's clients and are then transferred daily to the OHS' contractor who issues the actual benefits to the clients when they access their accounts electronically.

Source of Revenues: Amounts represent combined federal and general funds. The federal portion of HMS 211 (Temporary Assistance for Needy Families) is funded by DHHS -ACF, TANF Grant and is included in Appropriation Account S-XX-201-K. HMS 237 (Supplemental Nutrition Assistance Program) is funded by the US Department of Agriculture - Food & Nutrition Service and is included in Appropriation Account S-XX-206-K. HMS 305 (Child Care Subsidy Program) is funded by DHHS - ACF, CCDF Grant and is included in Appropriation Account S-XX-225-K. HMS 903 (TANF - FTW Program) is funded by DHHS - ACF, TANF Grant and is included in Appropriation Account S-XX-227-K.

Current Program Activities/Allowable Expenses: Assistance payments to qualified program recipients.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY2020 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	37,805	44,225	57,749	72,723	3,160,755	60,755	60,755
Revenues	32,388,194	33,038,675	28,503,471	27,296,844	27,200,000	27,200,000	27,200,000
Expenditures	32,381,774	33,025,151	28,488,497	24,208,812	30,300,000	27,200,000	27,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	44,225	57,749	72,723	3,160,755	60,755	60,755	60,755
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	44,225	57,749	72,723	3,160,755	60,755	60,755	60,755

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HMS
 Prog ID(s): _____
 Name of Fund: State Commission on Fatherhood
 Legal Authority: Act 156, SLH 2003

Contact Name: Derek Oshiro
 Phone: 586-5630
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-928-K

Intended Purpose: To provide for a statewide program to promote healthy family relationships between parents and children.

Source of Revenues: Donations and TANF Grant

Current Program Activities/Allowable Expenses: Expenditures that support the programs, and contracts relating to children and families.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The comission expended their funds in fiscal year 2014.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,922	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	1,922	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HRD
 Prog ID(s): HRD 102
 Name of Fund: Human Resources Development Special Fund
 Legal Authority: Act 123, SLH 2001

Contact Name: Mary Ann Teshima
 Phone: 587-1150
 Fund type (MOF) B
 Appropriation Acct. No. S-18-310-P

Intended Purpose:

The purpose of this fund is to support and facilitate the Department's entrepreneurial initiatives, training activities, and programs for government employees.

Source of Revenues:

Fees assessed for services provided under entrepreneurial initiatives; participant fees for in-service training programs; and moneys directed to the Department from other sources such as gifts, grants, and awards.

Current Program Activities/Allowable Expenses:

The fund covers expenses related to entrepreneurial activities such as providing workers compensation claims management and premium conversion plan administration services to other agencies; developing and conducting employee training programs; and administrative costs associated with special funds.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: The variances are due to several factors: (a) continuation of agreements to provide workers compensation claims management and employee benefits plan administration services, (b) increase in training registrations, (c) more timely receipt of administrative fees, and (d) implementation of IT projects.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	700,000	700,000	700,000	700,000	700,000	700,000
Beginning Cash Balance	1,284,900	1,374,643	1,391,850	1,623,933	1,790,190	1,807,493	1,597,369
Revenues	226,910	207,568	323,736	229,756	189,584	62,186	62,186
Expenditures	137,167	190,361	91,653	63,499	172,281	272,310	272,310
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,374,643	1,391,850	1,623,933	1,790,190	1,807,493	1,597,369	1,387,245
Encumbrances	96,926	19,437					
Unencumbered Cash Balance	1,277,717	1,372,413	1,623,933	1,790,190	1,807,493	1,597,369	1,387,245

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HRD
 Prog ID(s): _____
 Name of Fund: State Deferred Compensation Plan for Part-time,
 Temporary, Seasonal/Casual Employees Trust Fund
 Legal Authority: Section 88F-7, HRS

Contact Name: Cynthia Akiyoshi
 Phone: 587-1063
 Fund type (MOF) T
 Appropriation Acct. No. T-18-995-P

Intended Purpose: The Deferred Compensation Plan for Part-time, Temporary, and Seasonal/Casual Employees ("PTS Plan"), is an eligible deferred compensation plan under Sections 457 and 3121 of the U.S. Internal Revenue Code of 1986, as amended. The PTS Plan was established in 1997 as a tax deferred alternative retirement program for part-time, temporary, and seasonal/casual employees of the State (and participating counties) who are not eligible for membership in the Employees' Retirement System. Participation in the PTS Plan is mandatory and there is a mandatory payroll deduction of 7.5 percent of the employee's gross monthly wages (in lieu of social security contributions) that are contributed to the Plan. The employee contributions and any interest earned are held in trust for the exclusive benefit of the participants and their beneficiaries.

Source of Revenues: Participant contributions and changes in the amount of interest earned.

Current Program Activities/Allowable Expenses: The fund supports all of the PTS Plan's expenses: daily administration and marketing costs, third-party administrator fees, custodial fees; and distributions taken by participants and their designated beneficiaries.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	96,894,872	103,034,629	107,531,492	112,625,802	118,946,488	#VALUE!	#VALUE!
Revenues	12,261,706	9,229,258	12,689,118	12,864,973	not available	not available	not available
Expenditures	6,121,949	4,732,395	7,594,808	6,544,287	not available	not available	not available
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	103,034,629	107,531,492	112,625,802	118,946,488	#VALUE!	#VALUE!	#VALUE!
Encumbrances							
Unencumbered Cash Balance	103,034,629	107,531,492	112,625,802	118,946,488	#VALUE!	#VALUE!	#VALUE!

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HRD
 Prog ID(s): _____
 Name of Fund: State Deferred Compensation Plan Trust Fund
 Legal Authority: Section 88E-9, HRS

Contact Name: Cynthia Akiyoshi
 Phone: 587-1063
 Fund type (MOF) T
 Appropriation Acct. No. T-18-999-P

Intended Purpose: The Deferred Compensation Plan, an eligible plan under section 457 of the U.S. Internal Revenue Code, is a voluntary tax-deferred supplemental retirement savings plan. The State Deferred Compensation Plan Trust Fund was established in 1983 to separately hold employee contributions and any investment earnings for the exclusive benefit of the participants and their beneficiaries. The Plan is open to all employees who are members of the State's Employees' Retirement System and employed by either the State, County of Hawaii, County of Maui, or County of Kauai.

Source of Revenues: Participant contributions and changes in the value of the Plan's investment product options.

Current Program Activities/Allowable Expenses: The fund supports all of the Plan's expenses: daily administration and marketing costs, third-party administrator fees, custodial fees, investment consultant fees, investment product fees; and distributions taken by participants and their designated beneficiaries.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,506,842,139	1,558,179,101	1,558,179,101	1,558,179,101	1,701,779,515	#VALUE!	#VALUE!
Revenues	73,015,695	0	0	317,260,218	not available	not available	not available
Expenditures	21,678,733	0	0	173,659,804	not available	not available	not available
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,558,179,101	1,558,179,101	1,558,179,101	1,701,779,515	#VALUE!	#VALUE!	#VALUE!
Encumbrances							
Unencumbered Cash Balance	1,558,179,101	1,558,179,101	1,558,179,101	1,701,779,515	#VALUE!	#VALUE!	#VALUE!

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOH
 Prog ID(s): HTH131DC
 Name of Fund: Hawaii Immunization and Vaccines For Children Program
 Legal Authority: PHS 317, 42 USC Sec 247(B)

Contact Name: John Istvan
 Phone: (808) 587-6592
 Fund type (MOF): N
 Appropriation Acct. No.: S-200 H

Intended Purpose: Prevent and control the transmission of vaccine-preventable diseases in persons of all ages; increase and maintain high immunization coverage.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Activities include: vaccine coverage assessments, promotion of vaccinations, vaccine storage, and improving the distribution and inventory system.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances primarily due to timing issues.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,500,000	4,500,000	3,100,000	3,100,000	3,100,000	3,100,000	3,100,000
Beginning Cash Balance	0	727,970	81,611	34,849	57	115,057	230,057
Revenues	3,011,344	2,781,888	2,644,596	3,054,658	3,015,000	3,015,000	3,015,000
Expenditures	2,283,374	2,765,786	2,691,358	3,089,450	2,900,000	2,900,000	2,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	727,970	744,072	34,849	57	115,057	230,057	345,057
Encumbrances			398,818				
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Nonpoint Source Management Program
 Legal Authority PL 92-500, federal Water Pollution Control Act;
Clean Water Act Section 319

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 201 H

Intended Purpose: Support State implementation of its nonpoint source management program developed under the Clean Water Act Section 319.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Development and implementation of watershed plans and total maximum daily loads, including projects and work to achieve restoration of nonpoint source impaired waters as well as implementation of Coastal Nonpoint Source program under Coastal Zone Act Reauthorization.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All federal funds in HTH 840 were part of S 201 H prior to FY 2014. From FY 14 on, only one grant is included. Expenditures vary according to grant workplan.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,836,984	1,262,300	1,326,000	1,729,650	1,258,950	1,302,000	1,302,000
Beginning Cash Balance	0	87,194	33,782	35,464	47,265	47,265	47,265
Revenues	1,811,334	1,343,755	1,611,539	1,384,695	1,258,950	1,302,000	1,302,000
Expenditures	1,501,546	1,397,167	1,615,041	1,372,894	1,258,950	1,302,000	1,302,000
Transfers							
List each net transfer in/out; list each account number							
Various JV		0	5,183	0			
		0	0				
Net Total Transfers		0	5,183	0			
Ending Cash Balance	309,788	33,782	35,464	47,265	47,265	47,265	47,265
Encumbrances	2,785,826	2,543,399	2,543,399	1,992,089	1,992,089	1,992,089	1,992,089
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Community Mental Health Services Block Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) N
 Appropriation Acct. No. S 202 H

Intended Purpose: The purpose of this fund is to provide financial assistance for the State to carry out the State's plan for providing comprehensive community mental health services for adults with a serious mental illness.

Source of Revenues: 1) Community Mental Health Services Block Grant; 2) PATH Formula Grant (FY 2014)

Current Program Activities/Allowable Expenses: Activities include the purchase of residential, treatment, and other support services for adults with severe and persistent mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 variances are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,332,230	1,332,230	1,332,230	1,332,230	1,332,230	1,167,581	1,167,581
Beginning Cash Balance	7,510	60	200	18,417	21	21	21
Revenues	475,876	1,159,280	1,398,800	976,437	1,332,230	1,167,581	1,167,581
Expenditures	483,326	1,159,140	1,380,583	994,833	1,332,230	1,167,581	1,167,581
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	60	200	18,417	21	21	21	21
Encumbrances	309,676	367,131	359,253	340,766			
Unencumbered Cash Balance	(309,616)	(366,931)	(340,836)	(340,745)	21	21	21

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>HTH</u>	Contact Name: <u>Edward Mersereau</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7507</u>
Name of Fund: <u>Substance Abuse Prevention and Treatment (SAPT) Block Grant</u>	Fund type (MOF) <u>N</u>
Legal Authority: <u>Subparts II & III, Part B, Title XIX, Public Health Service Act:</u>	Appropriation Acct. No. <u>S 203 H (FY14, FY16)</u>
<u>Department of Health & Human Services, Substance Abuse & Mental Health Services Administration;</u>	<u>S 581 H (FY15, FY17, FY18)</u>
<u>SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)</u>	

Intended Purpose:

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug abusers, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. The new appropriation for the SAPT Block Grant is S-581-H and S-203-H will remain the parent appropriation. FY16 variance is due to the S-581-H appropriation inadvertently not included on the Federal Funds report therefore not assigned to S-581-H.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,915,082	8,150,210	8,212,595				
Beginning Cash Balance	665,350	453,104	451,467	561,028	489,005	489,005	489,005
Revenues	8,772,337	1,298,205	6,562,388	1,581,050	0	0	0
Expenditures	8,984,583	1,299,842	6,452,827	1,653,073	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	453,104	451,467	561,028	489,005	489,005	489,005	489,005
Encumbrances	1,316,733		1,411,129				
Unencumbered Cash Balance	(863,629)	451,467	(850,101)	489,005	489,005	489,005	489,005

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Synectics Behavioral Health Services Information System State Agreement
 Legal Authority: Sec 505 of Public Health Service Act (42.U.S.C. 290aa-4)

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 204 H

Intended Purpose:

To continue the development of Hawaii's capacity to collect and report mental health treatment services data.

Source of Revenues:

Contract between Synectics for Management Decisions, Inc and SAMHSA.

Current Program Activities/Allowable Expenses:

Personnel cost of the Research & Evaluation Specialist position, travel for one person to attend a mainland training, and WICHE membership fee.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

No revenues and expenditures were recoded during FY2015 due to delay in the contract modification process.

The fund was provided through AMHD from FY2017 and was not allocated directly in CAMHD accounts.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	323,079	315,609	315,609		0	0	0
Beginning Cash Balance	69,480	13,000	13,000	13,000	13,000	13,000	13,000
Revenues	212,065	0	0	0	0	0	0
Expenditures	268,545	0	74,682	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/2015			24,060				
JS 6982 dated 6/28/2016			50,622				
Net Total Transfers	0	0	74,682	0	0	0	0
Ending Cash Balance	13,000	13,000	13,000	13,000	13,000	13,000	13,000
Encumbrances	0	90,022	17,180				
Unencumbered Cash Balance	13,000	(77,022)	(4,180)	13,000	13,000	13,000	13,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, & CHILDREN (WIC)

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) N

Legal Authority: Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786.
 Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C
 1746.

Appropriation Acct. No. S 206 H

Intended Purpose: This fund contains HTH 560 GI federal grant funds. The purpose is to provide WIC families with supplemental nutrition, nutrition education and referrals.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Service

Current Program Activities/Allowable Expenses: Supplemental nutrition; nutrition education & referrals to WIC families.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variance in revenues and expenditures between FY 2014-15 and FY 2015-16 is due to actual cash drawdowns for expenditures incurred in FY 2015 and FY 2016. The variance in revenues and expenditures between FY 2016-17 reflects the decrease in participation and redemption of food instrument at the grocery store, reduced federal grant expenditures due to the receipt of state general funds and subsequent reduction in spending for contracted providers.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	35,703,564	35,703,564	35,703,564	35,703,564	35,703,564	28,465,220	28,465,220
Beginning Cash Balance	579,290	309,760	600,530	387,868	487,538	487,538	487,538
Revenues	34,135,801	30,166,202	31,850,984	26,185,612	31,050,000	28,465,220	28,465,220
Expenditures	34,405,331	29,875,432	32,063,646	26,085,942	31,050,000	28,465,220	28,465,220
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	309,760	600,530	387,868	487,538	487,538	487,538	487,538
Encumbrances	3,019,340	2,157,984	1,872,109	1,334,660	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: RYAN WHITE CARE ACT TITLE II
 Legal Authority: Public Health Service Act, 42,
as amended by P.L. 111-87.

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) N
 Appropriation Acct. No. S 207 H

Intended Purpose:

To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii

Source of Revenues:

U.S Department of Heath & Human Service/Health Resources and Services Administration

Current Program Activities/Allowable Expenses:

Assistance in health care and support activities to those affected by HIV infection.

Purpose of Proposed Ceiling Increase (if applicable):

Variations: FY14 is when only Ryan White Grant included in S 207. In prior years, all federal grants of HTH100 were included in S 207. Starting FY16, grant has significant rebate funds increase compare to prior years and the trend increased again in FY17 and FY18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,507,482	3,507,482	3,830,015	4,572,267	4,572,267	7,646,270	7,646,270
Beginning Cash Balance	141,123	518,457	1,010,428	1,970,547	1,041,385	1,041,385	1,041,385
Revenues	2,839,273	3,685,889	5,478,699	4,323,934	7,646,270	7,646,270	7,646,270
Expenditures	2,807,445	2,905,565	4,518,581	5,253,096	7,646,270	7,646,270	7,646,270
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	518,457	1,010,428	1,970,547	1,041,385	1,041,385	1,041,385	1,041,385
Encumbrances			250,000	182,871			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOH
 Prog ID(s): HTH131DB
 Name of Fund: see below
 Legal Authority: see below

Contact Name: John Istvan
 Phone: (808) 587-6592
 Fund type (MOF): N
 Appropriation Acct. No.: S 208 H

S-14-208

Name of Fund: TP12-1201 HPP and PHEP Cooperative Agreements
 Legal Authority: SEC 391(A), 317(K) of PHS, 42 USC SEC 241(A), 247(B)

Intended Purpose: The program's goal is to build, maintain, and improve upon capabilities to support our state to prepare for, respond to, and recover from public health emergencies and disasters. The program works statewide across sectors including public health, healthcare, emergency mgmt., and other public and private organizations to achieve measurable, sustainable progress to save lives and build resilient individuals and communities.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds are used to support activities including emergency planning for all hazards, training and exercises, public information, education, and outreach, information sharing, disease surveillance and epidemiological investigation, laboratory testing, medical countermeasures management and distribution, volunteer management, and other activities to increase the state's preparedness.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: In FY 2015, CDC issued two additional grants to address the Ebola outbreak. In FY 2014, there was a 33% change in the award for the Hospital Preparedness Program. While the grant expired on 6/30/2017, we did receive authorization to continue to draw down the remaining funds until 6/30/2018.

S-18-208

Name of Fund: Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) Aligned Cooperative Agreements
 Legal Authority: Sec 319C-1 & Sec 319C-2 of Public Health Services Act

Intended Purpose: The program's goal is to build, maintain, and improve upon capabilities to support the population in Hawaii to prepare for, respond to, and recover from public health emergencies and disasters. The program works statewide across sectors including public health and healthcare, emergency management, business, education, public, and private organizations to achieve measurable and sustainable progress to save lives and build resilient individuals and communities.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: FFunds are used to support activities including emergency planning for all hazards, training and exercises, public information, education, and outreach, information sharing, disease surveillance and epidemiological investigation, laboratory testing, medical countermeasures management and distribution, volunteer management, and other activities to increase the state's preparedness.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable. New five year grant covering 07/01/2017 to 06/30/2022.

	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	8,500,000	8,000,000	6,000,000		30,000,000	0	0
Beginning Cash Balance	2,921,455	321,281	330,141	329,323	0	0	0
Revenues	6,577,479	7,337,478	6,295,028	6,115,594	6,000,000	6,000,000	6,000,000
Expenditures	8,265,010	7,328,618	6,295,846	6,261,857	6,000,000	6,000,000	6,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,233,924	330,141	329,323	183,060	0	0	0
Encumbrances			486,802	311,888			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Audit Sub-award to DLNR/CWRM
 Legal Authority: PL 92-500, federal Water Pollution Control Act;
 Clean Water Act Section 319; Act 169, SLH 2016;
 Request to Expend Non-Appropriated Federal
 Funds--Governor approved 5/16/17

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 209 H

Intended Purpose: Support State implementation of its nonpoint source management program developed under the Clean Water Act Section 319.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Development and implementation of watershed plans and total maximum daily loads, including projects and work to achieve restoration of nonpoint source impaired waters as well as implementation of Coastal Nonpoint Source program under Coastal Zone Act Reauthorization.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Non-appropriated.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	450,000	150,000	0
Expenditures	0	0	0	0	450,000	150,000	0
Transfers							
List each net transfer in/out; list each account number							
		0	0	0			
		0	0				
Net Total Transfers		0	0	0			
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 905AH
 Name of Fund: Developmental Disabilities Council
 Legal Authority: P.L. 106-402 and Chapter 333E, HRS

Contact Name: Waynette Cabral
 Phone: 586-8100
 Fund type (MOF) N
 Appropriation Acct. No. S-15-210, S-16-210, S-17-210

Intended Purpose: Federally funded program that supports the State Council on Developmental Disabilities (DD) to engage in advocacy, capacity building and systemic change activities that contribute to a coordinated, consumer-and family-centered, consumer-and family-directed, comprehensive system of community services, individualized supports, and other forms of assistance that enable individuals with DD to live self-determined lives, be independent, productive, and integrated and included in all facets of community life.

Source of Revenues: Federal U.S. Department of Health and Human Services, Administration on Community Living

DD Assistance and Bill of Rights Act of 2000 (P.L. 106-402)

Current Program Activities/Allowable Expenses: Funds are used to carryout the DD Council's Five-Year State Plan activities in the areas of community supports; transition and employment; public awareness, education and training; health and children and youth; and self-advocacy and self-determination.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Variance in FY2015 due to one time increase in federal allotment and late encumbrances carried over to the next FY. Variance in FY2018 due to estimated revenues and expenditures. Program received only partial federal allotment for FY2018. Remaining allotment to be appropriated after Congress passes the budget.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	478,797	478,797	487,511	499,452	486,307	486,307	486,307
Beginning Cash Balance	16,486	16,557	17,404	16,788	50	50	50
Revenues	425,726	499,377	468,784	426,875	486,307	486,307	486,307
Expenditures	425,655	498,530	469,400	443,613	486,307	486,307	486,307
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	16,557	17,404	16,788	50	50	50	50
Encumbrances	18,929	15,056	200				
Unencumbered Cash Balance	(2,372)	2,348	16,588	50	50	50	50

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Senior Medicare Patrol Project
 Legal Authority: 42 USC 3031-3037B

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 211 H

Intended Purpose: Empowers seniors through increase awareness and understanding of healthcare programs.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	28,757	0	0	0	0	0	0
Expenditures	28,757	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: PATH Formula Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF): N
 Appropriation Acct. No.: S 212 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: PATH Formula Grant

Current Program Activities/Allowable Expenses: Activities include the purchase of homeless outreach services for individuals with severe and persistent mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 variances are attributed to delays in the execution of purchase of service contracts.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		300,000	300,000	300,000	300,000	300,000	300,000
Beginning Cash Balance	0	0	0	0	2	2	2
Revenues		390,332	11,333	79,189	300,000	300,000	300,000
Expenditures		390,332	11,333	79,188	300,000	300,000	300,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2	2	2	2
Encumbrances		44,146	130,183	41,271			
Unencumbered Cash Balance	0	(44,146)	(130,183)	(41,269)	2	2	2

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Block Grants for Community Mental Health Services
 Legal Authority: Subparts I&III, B, Title XIX, PHS Act/45 CFR Part96

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 214 H

Intended Purpose:

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues:

Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses:

Providing mental health services and promoting programs for public awareness on mental health

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and Expenditure increased from FY2014 to FY2015 due to the increase in the award amount.

The grant was assigned to S259 in FY2016.

The grant was assigned back to S214 in FY2017 and it resulted in the increase of revenues and expenditures.

The revenue and expenditure are estimated to increase due to the increase in the award amount in FY2018.

The revenue and expenditure are estimated to decrease due to the decrease in the award amount in FY2019.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	870,586	1,256,472	475,499	969,261	1,404,645	1,039,108	1,039,108
Beginning Cash Balance	0	19	86	0	9,176	9,176	9,176
Revenues	696,062	781,040	315,778	803,490	1,404,645	1,039,108	1,039,108
Expenditures	696,043	780,973	315,864	794,314	1,404,645	1,039,108	1,039,108
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19	86	0	9,176	9,176	9,176	9,176
Encumbrances	145,085	356,445	30	112,580			
Unencumbered Cash Balance	(145,066)	(356,359)	(30)	(103,403)	9,176	9,176	9,176

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Office of Rural Health (SORH)
 Legal Authority: PHS Act, Title III, Section 338J

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) N
 Appropriation Acct. No. S 218 H

Intended Purpose: The grant program coordinates and implements activities to support priority health needs of Hawaii's rural communities.

Source of Revenues: US Department of Health and Human Services, Health Resources and Services Administration, Office of Rural Health Policy.

Current Program Activities/Allowable Expenses: The program allocates its resources towards the implementation of the following goals: (1) collect and disseminate information; (2) coordinate rural health care activities in state in order to avoid duplication; and (3) provide technical assistance to public and non-profit private entities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant was in appropriation account S 523 H until FY 2017.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	200,000	200,000	200,000	200,000
Beginning Cash Balance	0	0	0	0	35	35	35
Revenues	0	0	0	153,650	200,000	200,000	200,000
Expenditures	0	0	0	153,615	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	35	35	35	35
Encumbrances	0	0	0	1,002	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Title III Older Americans Act
 Legal Authority: Older Americans Act PL 106-501

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 221 H

Intended Purpose: Provide advocacy, planning program development, and a coordinated system of opportunity and services for adults 60+ and family caregivers.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	8,503,429	13,811,869	13,268,894	13,533,312	13,533,312	13,533,312	13,533,312
Beginning Cash Balance	4,374,347	258,057	209,151	82,780	72,962	(0)	(0)
Revenues	5,848,732	6,627,862	6,045,549	5,583,040	5,847,313	5,920,275	5,920,275
Expenditures	4,116,290	6,676,768	6,171,920	5,592,858	5,920,275	5,920,275	5,920,275
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,106,789	209,151	82,780	72,962	(0)	(0)	(0)
Encumbrances			5,597,861	3,936,758			
Unencumbered Cash Balance	6,106,789	209,151	(5,515,081)	(3,863,796)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: Title XVIII (CLIA)
 Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Keith Ridley
 Phone: 692-7227
 Fund type (MOF) P
 Appropriation Acct. No. S 539 H

Intended Purpose: To meet contractual obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578 between the State of Hawaii, Department of Health (DOH) and the Centers for Medicare and Medicaid Services (CMS)

Source of Revenues: The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress despite the level of funding requested by OHCA.

Current Program Activities/Allowable Expenses:

On behalf of CMS, perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii. Despite any changes to the federal funding, CMS expects the state to meet its contractual obligations of performing Medicare certification surveys on healthcare facilities and organizations within the program's jurisdiction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	157,039	0	0	0	0	0	0
Revenues	(88,610)						
Expenditures	68,429						
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: PPHS Block Grant
 Legal Authority: Preventive Health and Health Services Block Grant

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S 225 H

Intended Purpose: Alleviate or eliminate barriers to accessing healthcare for immigrants. Integrate data between chronic disease programs.

Source of Revenues: Preventive Health and Health Services Block Grant.

Current Program Activities/Allowable Expenses: Assist newly arrived immigrants to access health services in their native languages. Integrate data between chronic disease programs.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Original appropriation included numerous other Federal programs which have been assigned unique appropriation codes. Due to start of new project period, revenues and expenditures to be recorded in separate appropriation account. Per B&F, appropriation account S-16-574-H assigned for FY 2017. Per B&F, appropriation account S-17-225-H assigned for FY 2018. Per Betty Wood, award increase for FY 2019.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,365,098	165,000	165,000		165,000	195,000	165,000
Beginning Cash Balance	144,871	96,219	67,251	63,578		0	0
Revenues	2,724,930	1,431,690	48,889	0	165,000	195,000	165,000
Expenditures	2,773,582	1,460,658	52,562	63,578	165,000	195,000	165,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	96,219	67,251	63,578	(0)	0	0	0
Encumbrances							
Unencumbered Cash Balance	96,219	67,251	63,578	(0)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Vital Statistics Cooperative Program
 Legal Authority: Act 134-2013

Contact Name: Alvin T. Onaka Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) P
 Appropriation Acct. No. S-226-H

Intended Purpose: Support the collection and delivery of vital statistics information

Source of Revenues: Contracts with the US Government for vital statistics information

Current Program Activities/Allowable Expenses: Contracts with the Centers for Disease Control and Prevention (CDC) and Social Security Administration of the Vital Statistics Cooperative Program's.

Purpose of Proposed Ceiling Adjustment (if applicable): To match anticipated federal funds amount.

Variances: Revenues can fluctuate for additional competitive award added to the base contract amount. FY15 to FY16 variance due to personnel costs. FY16 to FY17 variance due to maintenance costs.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	234,870						
Beginning Cash Balance	344,733	302,573	473,071	748,965	1,070,275	1,213,775	1,237,275
Revenues	86,495	299,360	452,424	560,465	443,500	333,500	333,500
Expenditures	128,655	128,862	176,531	239,155	300,000	310,000	310,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	302,573	473,071	748,965	1,070,275	1,213,775	1,237,275	1,260,775
Encumbrances	16,355		12,000	103,950			
Unencumbered Cash Balance	286,218	473,071	736,965	966,325	1,213,775	1,237,275	1,260,775

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: ARRA -Behavioral Risk Factor Surveillance System CPPW
 Legal Authority: American Recovery & Reinvestment Act (ARRA) of 2009

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) V
 Appropriation Acct. No. S 227 H

Intended Purpose: Serve as an economic stimulus and allow for increased data collection and data quality control that would facilitate enhancement of Statewide Department of Health programs .

Source of Revenues: ARRA Funds from US Department of Health and Human Services, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: The program increased data collection efforts and utilized the additional data and findings for improving and/or implementing agency health programs.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Program ended 4/30/13; appropriation closed

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,991	0	0	0	0	0	0
Revenues	20,856						
Expenditures	22,847						
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): 907 AP
 Name of Fund: Strengthening Public Health Infrastructure
 Legal Authority: Act 164 SLH 2011, amended Act 16 SLH 2012
Public Health Service Act, various sections, as amended.

Contact Name: Lorin Kim
 Phone: 586-4189
 Fund type (MOF) P
 Appropriation Acct. No. S 228-H
(S-213-H Grant was included in S-228, effective FY14)

Intended Purpose:

Strengthening Public Health Infrastructure for Improved Health Outcomes (SPHI) - This grant program permits grant monies to be used by systematically increase the performance management capacity of public health departments in order to ensure that public health goals are effectively and efficiently met.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. This grant ended 9/30/15.

Current Program Activities/Allowable Expenses:

SPHI grant funds currently related to salaries, consultants, equipment, and services to achieve grant goals.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Variances: Revenue: FY13-FY14 difference is due to carry over amounts for two Grants overlapping (S-213-H & S-228-H). FY14-FY15 only one grant in FY15. FY15-FY16 carry over amount in FY16 and only 3 months grant period. Expenses: FY14-FY15 reporting two appropriation in FY14 to one in FY15. FY16 only 3 month of expenses.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,501,830	514,168	159,683				
Beginning Cash Balance	359,866	64,278	73,675	70,647	58,370	58,370	58,370
Revenues	1,001,242	363,882	476,129	381,430			
Expenditures	1,296,830	354,485	479,157	393,706			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	64,278	73,675	70,647	58,370	58,370	58,370	58,370
Encumbrances				13,725			
Unencumbered Cash Balance	64,278	73,675	70,647	44,645	58,370	58,370	58,370

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): 907 AP
 Name of Fund: Strengthening Public Health Infrastructure
 Legal Authority: Act 164 SLH 2011, amended Act 16 SLH 2012
Public Health Service Act, various sections, as amended.

Contact Name: Lorin Kim
 Phone: 586-4189
 Fund type (MOF) P
 Appropriation Acct. No. S-14-228-H
(S-213-H Grant was included in S-228, effective FY14)

Intended Purpose:

Strengthening Public Health Infrastructure for Improved Health Outcomes (SPHI) - This grant program permits grant monies to be used by systematically increase the performance management capacity of public health departments in order to ensure that public health goals are effectively and efficiently met.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. This grant ended 9/30/15.

Current Program Activities/Allowable Expenses:

SPHI grant funds currently related to salaries, consultants, equipment, and services to achieve grant goals.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Variances: Revenue: FY13-FY14 difference is due to carry over amounts for two Grants overlapping (S-213-H & S-228-H). FY14-FY15 only one grant in FY15. FY15-FY16 carry over amount in FY16 and only 3 months grant period. Expenses: FY14-FY15 reporting two appropriation in FY14 to one in FY15. FY16 only 3 month of expenses.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,501,830	514,168	159,683				
Beginning Cash Balance	359,866	64,278	73,675	0	0	0	0
Revenues	1,001,242	363,882	476,129				
Expenditures	1,296,830	354,485	479,157				
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	64,278	73,675	70,647	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	64,278	73,675	70,647	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 907
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS
 Legal Authority: Act 164, SLH 2011, as amended by ACT 106, SLH 2012
Public Health Service Act, various sections, as amended.

Contact Name: Betty Wood
 Phone: 586-4530
 Fund type (MOF) P
 Appropriation Acct. No. S -15-228-H

Intended Purpose:

Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. This grant number was S-228-H then changed to S-588-H. Going forward the assumption is this grant number will be S-588-H. This grant period ended 9/30/ 2016.

Current Program Activities/Allowable Expenses:

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			600,603				
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Maternal and Child Health Services Block Grant
 Legal Authority: Title V of the SSA, as amended

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) N
 Appropriation Acct. No. S 229 H

Intended Purpose: To assure women, especially mothers and children including children with special health needs (CSHN) and in particular those with low income or with limited availability of health services, access to quality maternal and child health services. This includes improving birth outcomes for women, improved health outcomes and health status for children of all ages including CSHN and supporting administrative activities that maintain and improve the health care system through needs assessment, surveillance, planning, policy development, systems building, and program support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: a) Administration - Supports administrative positions, which provide the infrastructure for the Division, Maternal and Child Health (MCH) and CSHN Branches to carry out its mandate and functions, and allow for integration and planning between sections, Branches, the Department, and the community at-large; b) Epidemiology and Data Support - provide funds to CDC-assigned epidemiologist and research statistician positions at the MCH and CSHN Branches for data collection and analysis used for program planning, evaluation, and policy development; c) Child Health and Family Support Services - provides funds to this section, which is responsible for planning for issues related to child/adolescent health and family strengthening services to assist in the assurance of these services statewide, including program planning and technical support to purchase of service contractors. Needs assessments, planning and development activities are accomplished through surveys, studies, and community networking. Collaborates with providers and community stakeholders to assure a system of services and established standards of care; and d) Perinatal Services-fund use include: 1) Program planning and technical support statewide to POS contractors and programs, which reduce maternal and infant mortality and morbidity; and on Hawaii Island, provide staffing to support high-risk women and children; 2) Provides advocacy and educational efforts to improve and enhance birth outcomes, disseminates perinatal information, and promotes networking among providers, agencies, and individuals associated with perinatal issues; and 3) Maintains a statewide information and referral phone line and website to assist pregnant women to access the perinatal services system (including Medicaid, primary care centers, OB/GYN, prenatal classes) and to encourage early and continuous prenatal care.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

The variance in revenues between 2017-18 is due to lower than estimated revenues in FY 2017. Estimated revenues in FY 2018 and beyond are based on the most current grant award amount. (This grant has a two year budget and expenditure period).

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,960,930	2,829,180	2,829,180	2,829,180	2,829,180	2,829,180	2,829,180
Beginning Cash Balance	0	72,155	76,057	93,037	1,327	79,048	156,769
Revenues	1,812,451	1,913,639	1,823,594	1,793,075	1,977,721	1,977,721	1,977,721
Expenditures	1,740,296	1,909,737	1,806,614	1,884,785	1,900,000	1,900,000	1,900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	72,155	76,057	93,037	1,327	79,048	156,769	234,490
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	72,155	76,057	93,037	1,327	79,048	156,769	234,490

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 849
 Name of Fund: Various EPA Grants
 Legal Authority: Various

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 236 H

Intended Purpose: Fund split-funded positions in HTH 849 FA, FB, FC and FD.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Personal services and other current expense costs in HTH 849 FA, FB, FC, and FD.

Purpose of Proposed Ceiling Increase (if applicable): Proposed decrease in appropriation ceiling to more closely match anticipated award amounts on Form FF. The programs in HTH 849 have no MOF N federal grants, but positions are funded by MOF N grants in HTH 840. Ceiling included for budgetary purposes since positions are funded.

Variances: All federal funds in HTH 849 were part of S 236 H prior to FY 2014. For FY15 and beyond, positions are directly funded from source grants, because new federal funds methodology impedes spending. Ceiling is included since positions are funded.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	712,500	570,000	570,000	575,500	296,103	296,103	296,103
Beginning Cash Balance	0	27,591	31,181	25,192	25,192	25,192	25,192
Revenues	631,666	62,207	1,372	0	0	0	0
Expenditures	630,262	58,617	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Various JVs			(7,361)	0	0	0	0
Net Total Transfers			(7,361)	0			
Ending Cash Balance	1,404	31,181	25,192	25,192	25,192	25,192	25,192
Encumbrances	278,034	85,974	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote:

Prior to FY14, S-236 was the parent account for all appropriated federal funds in HTH 849. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-513, S-546, S-547, S-548, S-549.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOH
 Prog ID(s): HTH131DC
 Name of Fund: Prevention and Pulbich Health Fund
 Legal Authority Public Health Service Act, Sections 301(A) and 317 (K) (2), as amended

Contact Name: John Istvan
 Phone: (808) 587-6592
 Fund type (MOF) N
 Appropriation Acct. No. S-14-242 H

Intended Purpose: To assist grantees in meeting specific needs and making the changes necessary to be more effective in the Affordable Care Act environment. It will allow enhancement of the technology infrastructure of the Hawaii Immunization Registry (HIR) that captures and shares comprehensive life span immunization data among authorized users to aid, coordinate, and promote effective and cost-efficient disease prevention and control efforts through interoperability with electronic health records in provider offices. It also allows for the development of a vaccine ordering module in the HIR that interfaces with CDC's VTrckS vaccine ordering and management system.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Enhance interoperability between electronic health records and the Hawaii Immunization Registry and the use of HL7 standard messaging for data flow from provider offices to the Hawaii Immunization Registry. In addition, the development of a vaccine ordering module in the Hawaii Immunization Registry to interface with the vaccine ordering and management system by CDC called VTrckS which allows for provider offices to order vaccines electronically for greater efficiency and accountability.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances primarily due to timing issues.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9,904	97	33	0	0	0	0
Revenues	99,975	89,739	30,265	4,372			
Expenditures	109,782	89,803	30,298	4,372			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	97	33	0	0	0	0	0
Encumbrances	102,656	119,280	16,982	16,982			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Senior Medicare Patrol Capacity Building Grant
 Legal Authority: 42 USC 3031-3037-B

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 244 H

Intended Purpose: To expand the capacity of the Senior Medicare Patrol Project to reach larger numbers of Medicare beneficiaries, their caregivers and family members with the SMP message of fraud prevention.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	157,453	98,218	23,463	0	0	0	0
Beginning Cash Balance	17,715	1,036	3,918	0	0	0	0
Revenues	77,775	71,585	18,786	0	0	0	0
Expenditures	16,679	68,703	22,704	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	78,811	3,918	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	78,811	3,918	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Mental Health Transformation Grant
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 245 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Mental Health Transformation Grant: Implementing a Trauma-Informed System of Care

Current Program Activities/Allowable Expenses: Enhancement and development of the core adult mental health service array and the infrastructure that supports those services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 variances are attributed to the completion of the grant included in this appropriation account.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	937,748	731,827	0	0	0	0	0
Beginning Cash Balance	50,198	17,864	17,735	10,303	10,303	10,303	10,303
Revenues	375,580	310,734	143,873	2,575			
Expenditures	407,914	310,863	151,305	2,575			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17,864	17,735	10,303	10,303	10,303	10,303	10,303
Encumbrances	10,287	3,497	18,316				
Unencumbered Cash Balance	7,577	14,238	(8,013)	10,303	10,303	10,303	10,303

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Air Pollution Control Program Support
 Legal Authority: PL 95-95; Clean Air Act Sections 103 & 105

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 255 H

Intended Purpose: Establish indoor air quality program; provide information and educational material to managers, owners, and occupants of publicly-owned buildings and assist managers and owner-occupants of publicly-owned buildings to identify, assess and correct indoor air pollution problems.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Plan and develop comprehensive indoor air quality program; public outreach and networking.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All grants in HTH 610 were included in S 255 H prior to FY 2014. From FY 14 on, it contains only one grant, which is a subaward. New federal funds methodology impedes spending, so many expenditures were coded directly to the prime grant award in HTH 840/FF, S-559-H.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	224,607	144,233	152,000	158,000	158,000	158,000	158,000
Beginning Cash Balance	0	41,760	39,533	39,607	31,479	31,479	31,479
Revenues	54,213	77,763	21,050	238,219	158,000	158,000	158,000
Expenditures	41,740	79,990	20,976	246,346	158,000	158,000	158,000
Transfers							
List each net transfer in/out; list each account number							
			0				
Net Total Transfers	0	0	0				
Ending Cash Balance	12,473	39,533	39,607	31,479	31,479	31,479	31,479
Encumbrances	16,441	0	38	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Footnote: Prior to FY14, S-255 was the parent account for all appropriated federal funds in HTH 610. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award and MOF P has been added as a means of financing. Reference S-507 and S-536.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: ARRA - Special Supp. Nutrition Program for WIC
 Legal Authority: P.L. 111-5

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) V
 Appropriation Acct. No. S 258 H

Intended Purpose: The Technology grant would allow WIC to procure contracted services to: 1) conduct a feasibility study of transferring other WIC information systems including State Agency Models; 2) develop the Implementation Advance Planning Document (IAPD); 3) develop a Request for Proposal (RFP) for the replacement WIC information system; and 4) contract a Project Manager to oversee the systems transfer implementation.

Source of Revenues: U.S. Department of Agriculture, Food & Nutrition Service

Current Program Activities/Allowable Expenses: Hawaii WIC's contract ASO Log No. 10-193-ARRA with Burger Carroll & Assoc. (BCA) to conduct the feasibility study, develop the IAPD, and create a scope of work for the RFP for transfer data system has been completed.

Purpose of Proposed Ceiling Increase (if applicable): Not applicable.

Variances: Feasibility study, IAPD and Scope of Work for RFP was completed by BCA on October 27, 2011. Remaining balance of ARRA grant was used to pay for MIS costs to Maximus Health Services, Inc. on ASO Log No. 13-060. Project and federal grant closed out.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	12,152	0	0	0	0	0	0
Expenditures	12,152	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Block Grants for Community Mental Health Services
 Legal Authority: Subparts I&III,B,Title XIX,PHS Act/45 CFR Part96

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 259 H

Intended Purpose:

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues:

Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses:

Providing mental health services and promoting programs for public awareness on mental health

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The grant was previously assigned to S214 but it was assigned to S259 in FY2016.

The grant was assigned back to S214 in FY2017 and it resulted in the decrease of revenues and expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,045,765	0	0	0	0
Beginning Cash Balance	0	0	0	18,265	0	0	0
Revenues			742,975	276,074	0	0	0
Expenditures			724,710	294,339	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	18,265	0	0	0	0
Encumbrances			255,785				
Unencumbered Cash Balance	0	0	(237,520)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Aging and Disability Resource Center Expansion
 Legal Authority: 42 USC 241; 24 CFR 52

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 262 H

Intended Purpose: To develop a hospital discharge planning model.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:
Grant ended

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	44,136	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	204,419	0	0	0	0	0	0
Expenditures	204,144	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	275	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	275	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Hawaii's System of Care Expansion Implementation Cooperative Agreements
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 273 H

Intended Purpose:

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues:

Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses:

Implementing the strategies developed through the System of Care Expansion Planning Grant. Strategies include development of an interagency architecture for problem-solving, specialized services, collaborative training initiatives, and the promotion of social inclusion through social media campaigns.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and expenditures increased from FY2014 to FY2015 due to a new service contract. Revenues and expenditures increased from FY2015 to FY2016 due to the increase of services provided in one of the contracts. The grant was in the no-cost extension in FY2017-FY2018 and ended as of 9/29/2017. During this time, the grant was using only the remaining amount, thus the decrease in the revenues and expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,813,171	2,260,623	1,579,192	1,237,402	445,777	0	0
Beginning Cash Balance	925	19,821	15,490	30,995	209	209	209
Revenues	574,558	677,100	1,126,464	760,839	300,000	0	0
Expenditures	555,663	681,432	1,110,959	791,625	300,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,821	15,490	30,995	209	209	209	209
Encumbrances	25,500	49,171	177,415	13,699			
Unencumbered Cash Balance	(5,679)	(33,681)	(146,420)	(13,490)	209	209	209

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Hawaii's Lifespan Respite
 Legal Authority: PL 109-442

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 280 H

Intended Purpose: To assist family caregivers in accessing affordable high quality respite care.

Source of Revenues: Administration on Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended 7/31/15

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	151,423	74,295	0	0	0	0	0
Beginning Cash Balance	2,941	0	3,348	0	0	0	0
Revenues	74,187	59,292	10,002	0	0	0	0
Expenditures	77,128	55,944	13,349	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	3,348	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	3,348	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Hawaii's System of Care Expansion Planning Grant
 Legal Authority: Section 561 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) N
 Appropriation Acct. No. S 282 H

Intended Purpose:

To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues:

Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses:

Developing a strategic plan that meets the needs of children and youth with co-occurring mental health needs and developmental disabilities through interagency collaboration, the provision of coordinated services., and focus group meetings.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

This grant ended in FY2014.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	29,550	0	0	0	0	0	0
Beginning Cash Balance	1,238	0	0	0	0	0	0
Revenues	(9,180)	0	0		0	0	0
Expenditures	10,906	0	0		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(18,848)	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	(18,848)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: Upgrading Hawaii's Criminal Background Check System
 Legal Authority: Act 071, SLH 2015

Contact Name: Keith Ridley
 Phone: 692-7227
 Fund type (MOF) P
 Appropriation Acct. No. S 284 H

Intended Purpose: To upgrade Hawaii's Criminal Background Check system and subsequently enhance the state's ability to meet its obligations under the Social Security Act, Section 1864, and U.S. Public Law 100-578

Source of Revenues: The source of revenues are from Federal grant award

Current Program Activities/Allowable Expenses: Develop a "rap back" program within the Hawaii Criminal Justice Data Center (HCJDC). Rap back is the term used for a system designed to determine whether an individual who had previously obtained a clearance on a criminal history background check but subsequently was arrested or convicted would continue to qualify for employment in certain health care work positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The majority of the grant work began in FY 2016 and will carry through FY 2017.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	797,000	797,000	797,000	797,000	797,000		
Beginning Cash Balance		0	56	0	0	0	0
Revenues		60,465	231,450	170,074	9,000		
Expenditures		60,409	231,506	170,074	9,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	56	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	56	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Program for Infants and Toddlers with Handicaps
 Legal Authority: P.L. 108-446, Individuals with Disabilities Act, Part C

Contact Name: William L. Aakhus
 Phone: 733-9062
 Fund type (MOF) N
 Appropriation Acct. No. S 292 H

Intended Purpose: To provide a statewide, comprehensive, multidisciplinary system of early intervention services for infants and toddlers with special needs (age 0 to 3) with the State Department of Health as the lead agency in Hawaii.

Source of Revenues: U.S. Department of Education, Office of Special Education Programs

Current Program Activities: These funds are currently supporting multiple activities within that system of services, including administrative personnel, psychological, nutritional, and other professional support; and other required federal activities.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues and expenditures between FY 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the drawdown of \$2,634,871 in grant funds to cover actual expenditures incurred for FY 2015. Variance in expenditures between FY 2015-16 reflects a decrease in expenditures for FY 2016. The variance in revenue between FY 2016-17 is due to lower than estimated revenues in FY 2016. The variance in expenditures between FY 2016-17 is due to the drawdown of \$2,453,078 in grant funds to cover actual expenditures incurred for FY 2017. Estimated revenues and expenditures in FY 2018 and beyond are based on the most current grant award amount.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,771,557	2,771,557	2,771,557	2,771,557	2,771,557	2,771,557	2,771,557
Beginning Cash Balance	0	60,784	69,089	5	22	22	22
Revenues	1,521,387	2,634,871	1,835,717	2,453,078	2,500,000	2,500,000	2,500,000
Expenditures	1,460,603	2,626,566	1,904,802	2,453,060	2,500,000	2,500,000	2,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	60,784	69,089	5	22	22	22	22
Encumbrances	410,052	117,843	300,532	5,133	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/a	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
Prog ID(s): HTH 560

Contact Name: SUSAN KANOUR
Phone: 586-8190

Name of Fund: BREASTFEEDING PEER COUNSELING (WIC BFPC)

Fund type (MOF) N

Legal Authority Child Nutrition Act of 1966, as amended, Section 17, 42 U.S.C. 1786. Healthy, Hunger-Free Kids Act of 2010, Public Law 111-296, 7 U.S.C 1746.

Appropriation Acct. No. S 293 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide breastfeeding peer counseling to WIC women.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Breastfeeding peer counseling services to WIC women.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variance in revenues between FY 2015-16 is due to the draw-down of \$258,427 for expenditures incurred in FY 2015. The variance in expenditures between FY 2015-16 is due to the addition of the outstanding encumbrances of \$39,147 from FY 2015 to the estimated expenditures for FY 2016, less the remaining balance of outstanding encumbrances of \$86,456 at 6/30/2016. The variance in revenues and expenditures from 2016-2017 is due to increase in grant funding and increase in expenditures by contracted providers and BU 10 & 13 salary increases.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	382,144	382,144	382,144	382,144	382,144	382,144	382,144
Beginning Cash Balance	0	8,366	10,028	1,129	1,129	1,129	1,129
Revenues	182,393	258,427	251,897	288,780	319,043	319,043	319,043
Expenditures	174,027	256,765	260,796	287,255	319,043	319,043	319,043
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,366	10,028	1,129	2,654	1,129	1,129	1,129
Encumbrances	46,214	39,147	86,456	38,343	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).							
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Community Based Child Abuse Prevention (CBCAP) Grant (project: 000270)
 Legal Authority: Title II of the Child Abuse Prevention and Treatment Act
(72 U.S.C. 5116 et seq.), amended by P.L. 108-36

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF) N
 Appropriation Acct. No. S 294 H

Intended Purpose: To support community-based efforts to develop, operate, expand, enhance, and coordinate initiatives, programs, and activities to prevent child abuse and neglect and to support the coordination of resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

Source of Revenues: DHHS, Administration on Children, Youth and Families

Current Program Activities/Allowable Expenses:

- A. Support the Hawaii Children's Trust Fund (HCTF) in preventing child abuse and neglect through the development, implementation and evaluation of the HCTF Public Awareness Campaign statewide.
- B. Provide technical assistance to HCTF grantees and state service providers to develop outcome-based programs which incorporate evidence-based practices to strengthening families and to prevent child abuse and neglect.
- C. Lead and collaborate with the Title V Child Abuse and Neglect (CAN) workgroup and the Maternal Child Health Branch (MCHB) Violence Collaborative to include strength based approaches, promotion of protective factors, comprehensive data collection, analysis and evaluation of MCHB prevention programs.
- D. Use a community based participatory planning process to design and implement a statewide CAN prevention plan.
- E. Collaborate and partner with existing networks to build the capacity of: culturally appropriate focused models for disparate populations; data and surveillance; and parent engagement initiatives.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures from FY 2014 to FY 2017 is due to the figures being calculated on a cash basis in the state fiscal year. Each fiscal year can include revenues and expenditures from multiple federal budget years. FY 2018 through FY 2020 is based on the latest award received which is an increase from previous awards.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	801,520	801,520	801,520	801,520	801,520	801,520	801,520
Beginning Cash Balance	0	3,578	3,662	5,372	82	82	82
Revenues	186,937	148,813	344,928	250,564	454,196	454,196	454,196
Expenditures	183,359	148,729	343,218	255,854	454,196	454,196	454,196
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,578	3,662	5,372	82	82	82	82
Encumbrances	7,778	83,345	0	85,602	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Family Planning Services Grant (project: 000239)
 Legal Authority: Title X of the Public Health Service (PHS) Act, as amended; 42 CFR 59

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF) N
 Appropriation Acct. No. S 295 H

Intended Purpose: To assure statewide family planning services and related preventive health education, education, counseling and referrals in accordance to Title X Program requirements for all people of reproductive age (primarily low-income and hard-to-reach individuals that are most under-served).

Source of Revenues: U.S. Department of Health & Human Services (DHHS), PHS

Current Program Activities/Allowable Expenses:

A. Maintain availability, through Purchase of Service (POS) contracts, of affordable, high quality family planning services to those in greatest need and with no other access to preventive medical services (i.e. populations including low-income individuals at-risk of costly unintended pregnancy, and hard-to-reach individuals such as homeless, teens, at-risk youths, males, disabled, substance abusers, etc.).

B. Evaluate medical services and community, participation, education and project promotion services provided through POS contracts.

C. Facilitate training opportunities for clinical and administrative, financial and community, participation, education and project promotion providers and promote standards of care for family planning services and related preventive health services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the drawdown of only \$2,084,422 for expenditures incurred in FY 2015. The variance in expenditures between FY 2015-16 is due to the addition of the outstanding encumbrances of \$661,276 from FY 2015 to the estimated expenditures for FY 2016. The variance in expenditures and revenues between FY 2016-17 is due to the liquidation of FY 2016 expenditures in FY 2017. The FY 2018 through FY 2020 Expenditure and Revenues are based on the latest grant award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,459,927	2,459,927	2,459,927	2,459,927	2,459,927	2,459,927	2,459,927
Beginning Cash Balance	0	1,699	308,673	17,999	97	97	97
Revenues	1,822,314	2,084,422	2,000,489	2,312,874	1,555,000	1,555,000	1,555,000
Expenditures	1,820,615	1,777,448	2,291,163	2,330,776	1,555,000	1,555,000	1,555,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,699	308,673	17,999	97	97	97	97
Encumbrances	311,907	661,276	412,700	243,813	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>HEALTH</u> Prog ID(s): <u>HTH 560</u> Name of Fund: <u>Preventive Hlth and Hlth Svcs Block Grant-Sexual Assault</u> Prev Program Legal Authority: <u>Part A, Title XIX, PHS Act, as amended</u>	Contact Name: <u>Rowena Eddins</u> Phone: <u>733-9026</u> Project No. <u>35203</u> Fund type (MOF) <u>N</u> Appropriation Acct. No. <u>S 297 H</u>
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Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence on college campuses.

Source of Revenues: DHHS, CDC
 Current Program Activities/Allowable Expenses:

- A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and young adults to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.
- B. To collaborate and plan with the University of Hawaii systems and their sexual violence prevention task forces to implement effective primary prevention strategies.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between 2014-15 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues between FY 2015-16 is due to the draw-down of only \$18,578 in grant funds to cover the FY 2015 liquidations. This grant is now under appropriation S 589.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	82,481	52,336	52,336	52,336	0	0	0
Beginning Cash Balance	0	761	0	787	0	0	0
Revenues	7,762	18,578	22,350	16,633	0	0	0
Expenditures	7,001	19,339	21,563	17,420	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	761	0	787	0	0	0	0
Encumbrances	20,000	0	11,612	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Pollution Control - Surface Water
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 298 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Water Act that regulates protection of the environment and public health.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, permitting, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public participation, data management, training for delegated programs, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling to match anticipated award amounts on Form FF. The adjustment is primarily due to the extension of the award for the Water Pollution Control-Surface Water (WPC-SW) grant, making it a four-year award. A large ceiling amount will be needed in FY 19, when the grant will start a new project period.

Variances: Grant previously had a 2-year budget/project period, but current award has instead been extended, and it has been uncertain as to when a new award period will begin. Ceiling included each year for budgetary purposes, because positions are funded by this grant. Expenditures vary according to grant workplan.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,365,634	4,003,530	2,050,000	4,204,000	5,549,888	6,289,943	2,080,418
Beginning Cash Balance	0	37,877	49,175	47,388	84,524	84,524	84,524
Revenues	1,081,855	1,510,614	1,464,707	1,474,271	2,030,884	2,080,418	2,080,418
Expenditures	1,145,978	1,499,316	1,466,494	1,437,135	2,030,884	2,080,418	2,080,418
Transfers							
List each net transfer in/out; list each account number							
			0	0	0	0	0
Net Total Transfers			0				
Ending Cash Balance	(64,123)	49,175	47,388	84,524	84,524	84,524	84,524
Encumbrances	167,944	111,314	69,490	76,914	76,914	76,914	76,914
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Pollution Control - Monitoring Initiative
 Legal Authority: PL 92-500, federal Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 299 H

Intended Purpose: Prevent, reduce, and eliminate water pollution.

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Surface water monitoring, providing public access to monitoring data, and participating in training pertinent to surface water monitoring.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Current total grant award period was uncertain, but seemed to be changing to a 1-year grant. However, newer information is that it will remain a 5-year grant. Expenditures vary according to grant workplan. Positions are not funded by this grant, so ceiling is needed only in first year of award period.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	610,242	277,695	292,000	539,000	0	0	0
Beginning Cash Balance	0	754	8,796	417	35,758	71,099	106,440
Revenues	253,750	148,589	127,019	151,121	151,121	151,121	151,121
Expenditures	252,996	140,547	132,567	115,780	115,780	115,780	115,780
Transfers							
List each net transfer in/out; list each account number							
Various JV			(2,831)				
Net Total Transfers	0	0	(2,831)	0			
Ending Cash Balance	754	8,796	417	35,758	71,099	106,440	141,781
Encumbrances	2,395	37,833	94	216,864	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Emergency Medical Services Special Fund
 Legal Authority: Section 321-234, 249-31 (b), 245-15, 245-3, HRS.

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) B
 Appropriation Acct. No. S 301 H

Intended Purpose: Support for operating a state comprehensive emergency medical services system.

Source of Revenues: Fees from annual motor vehicle registration and cigarette tax imposed pursuant to Section 245-15 and 245-3, HRS.

Current Program Activities/Allowable Expenses: Emergency medical services; education and training of emergency medical personnel statewide.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3, Note 4, and Note 5 below.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,196,503	14,196,503	14,796,503	14,796,503	14,796,503	14,796,503	14,796,503
Beginning Cash Balance	22,560,179	22,888,806	24,021,862	26,085,245	29,231,949	16,352,044	16,207,288
Revenues	14,235,735	14,005,640	15,490,367	14,721,055	14,651,747	14,651,747	14,651,747
Expenditures	13,307,108	12,401,842	13,426,984	11,574,350	27,531,652	14,796,503	14,796,503
Transfers							
JS1418 dated 09/12/2013	(600,000)						
JS4528 dated 02/10/2015		129,258					
JS6351 dated 05/11/2015		(600,000)					
Net Total Transfers	(600,000)	(470,742)	0	0	0	0	0
Ending Cash Balance	22,888,806	24,021,862	26,085,245	29,231,949	16,352,044	16,207,288	16,062,532
Encumbrances	8,326,628	9,308,103	10,217,202	12,735,149	0	0	0
Unencumbered Cash Balance	14,562,177	14,713,759	15,868,043	16,496,801	16,352,044	16,207,288	16,062,532

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2017 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2017 Encumbrances (Unliquidated Balance): MBP490-A, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FYs 2018-20 include projected revenue from vehicle registration fees, cigarette sales, and investment pool account and are based on revenues recorded in FAMIS as of: 10/19/2017. Pursuant to ACT 238, SLH 2015, effective July 1, 2015 the rate for tax collection for cigarette sales continues at \$0.0125 and is scheduled to remain in effect for the continuing years, but not more than \$8,800,000 in a fiscal year shall be deposited to the credit of the emergency medical services special fund.

Note 4: The estimated expenditures for FYs 2018 -20 are equal to fully expending estimated revenue available within the appropriation ceiling and expenditures for encumbrances remaining from the prior year.

Note 5: The transfer of funds in FY 2014 and FY 2015 are pursuant to the purposes of ACT 242, SLH 2013.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Newborn Metabolic Screening Special Fund
 Legal Authority: Section 321-291, HRS

Contact Name: William L. Aakhus
 Phone: 733-9062
 Fund type (MOF) B
 Appropriation Acct. No. S 302 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including, but not limited to laboratory testing, follow-up testing, educational materials, continuing education, quality assurance, equipment, and indirect cost.

Source of Revenues: Per the Hawaii Administrative Rules, the amount collected for each metabolic screening (testing) kit sold in Hawaii (current charge per kit is \$99 eff. 5/27/2017) is deposited to this special fund. Prior to 5/27/2017 the price per kit was \$55.

Current Program Activities/Allowable Expenses: The Newborn Metabolic Screening Program (NBMSPP) has statewide responsibilities for assuring that infants born in the State of Hawaii are satisfactorily tested for 32 disorders which can cause mental and growth retardation and even death, if not detected and treated early in the newborn period. NBMSPP tracks and follows-up to assure that infants with detected diseases are provided with appropriate and timely treatment.

Purpose of Proposed Ceiling Adjustment (if applicable): In the FY 2017 Supplemental Budget a ceiling increase of \$250,000 was approved.

Variances: The variance in expenditures between FY 2016 to FY 2017 is primarily due to using general funds rather than special funds for the Oregon Health Authority contract while waiting for the price of the kits to increase. This resulted in a savings of approximately \$700,000 in FY 2017. Revenues and expenditures are projected to increase from FY 2018 onward.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,234,569	1,234,569	1,234,569	1,234,569	1,484,569	1,484,569	1,484,569
Beginning Cash Balance	1,175,795	1,151,679	1,049,158	601,079	928,014	978,014	1,028,014
Revenues	1,240,500	1,155,373	844,582	1,030,871	1,450,000	1,450,000	1,450,000
Expenditures	1,264,616	1,257,894	1,292,661	703,936	1,400,000	1,400,000	1,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,151,679	1,049,158	601,079	928,014	978,014	1,028,014	1,078,014
Encumbrances	193,956	107,019	286,589	3,498	100,000	100,000	100,000
Unencumbered Cash Balance	957,723	942,139	314,490	924,517	878,014	928,014	978,014

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Birth Defects Special Fund
 Legal Authority: Section 321-426, HRS

Contact Name: William L. Aakhus
 Phone: 733-9062
 Fund type (MOF) B
 Appropriation Acct. No. S 304 H

Intended Purpose: This fund is used for payment of its lawful operating expenditures, including indirect costs.

Source of Revenues: \$10 of each marriage license fee collected by the Hawaii State Department of Health is deposited to this special fund.

Current Program Activities/Allowable Expenses: This fund enables the State to have a continuous, reliable and timely statewide information and monitoring source for ascertaining the number of births with specific disabilities and abnormalities due to birth defects, trends, and changes over time.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues between FY 2016 to FY 2017 reflects the actual deposits to the special fund from the marriage license fees. The variance in expenditures between FY 2016 to FY 2017 is primarily due to increased personal service expenditures in FY 2017.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	395,000	413,853	413,853	413,853	413,853	413,853	413,853
Beginning Cash Balance	1,010,266	870,773	761,431	668,801	485,900	345,900	205,900
Revenues	258,064	255,001	259,485	215,019	260,000	260,000	260,000
Expenditures	397,557	364,343	352,115	397,920	400,000	400,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	870,773	761,431	668,801	485,900	345,900	205,900	65,900
Encumbrances	43,713	18,038	10,000	0	25,000	25,000	40,000
Unencumbered Cash Balance	827,060	743,393	658,801	485,900	320,900	180,900	25,900

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Community Health Centers Special Fund
 Legal Authority: Section 321-1.65, HRS

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) B
 Appropriation Acct. No. S 305 H

Intended Purpose: Funds to be used by the Department of Health for the operations of Federally Qualified Health Centers (FQHCs).

Source of Revenues: Cigarette tax assessed and collected pursuant to Section 245-3(a), HRS.

Current Program Activities/Allowable Expenses: (1) Purchase of service (POS) contracts to 13 FQHCs to provide medical (perinatal, pediatric, adult primary care) & support services (svcs) to un- and under-insured individuals that are at or below 250% of the federal poverty level. Optional svcs include behavioral health care, dental treatment, & pharmaceutical svcs. (2) POS contract to Hana Health (an FQHC) for the provision of urgent care (24/7), & for comprehensive primary care svcs. (3) POS contract to Waianae Coast Comprehensive Health Ctr (an FQHC) for the provision of 24-hr emergency room svcs.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The 2015 Legislature capped the revenues to \$8.8 million effective 7/1/15. The decrease in expenditures from FY 2014 to FY 2015 is due to a decrease in the number of uninsured due to the Affordable Care Act (ACA). The decrease in expenditures from FY 2016 to FY 2017 can be attributed to a higher amount of outstanding encumbrances. The projected increase in expenditures between FY 2017-2018 and beyond is due to an expansion of services to the uninsured besides basic medical care.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,341,526	12,341,526	12,341,526	12,341,526	12,341,526	12,341,526	12,341,526
Beginning Cash Balance	5,068,995	7,413,632	11,633,389	15,070,359	19,403,371	17,611,845	15,820,319
Revenues	8,788,789	9,382,599	8,805,985	8,800,000	8,800,000	8,800,000	8,800,000
Expenditures	6,444,152	5,162,842	5,369,015	4,466,988	10,591,526	10,591,526	10,591,526
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,413,632	11,633,389	15,070,359	19,403,371	17,611,845	15,820,319	14,028,793
Encumbrances	2,208,503	2,950,744	3,205,278	3,707,219	1,750,000	1,750,000	1,750,000
Unencumbered Cash Balance	5,205,129	8,682,645	11,865,081	15,696,151	15,861,845	14,070,319	12,278,793

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: TITLE XIX Med QUEST Carveout/General Outpatient
 Legal Authority: Section 334-115, HRS

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) B
 Appropriation Acct. No. S 306 H

Intended Purpose:

To deposit revenues collected from treatment services rendered by mental health and substance abuse programs operated by the state.

Source of Revenues:

Investment pool interest, reimbursement through DHS for administrative cost claims, reimbursement for Medicaid eligible current services, and refund/reimbursement of prior period expenditures.

Current Program Activities/Allowable Expenses:

Program Activities include assuring a comprehensive array of mental health services for children and adolescents as well as the funding of salaries for Quality Assurance Specialists at the CAMHD Family Guidance Centers.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues increased from FY2015 to FY2016 due to the increase of the reimbursement of mainland placement costs.

Revenues decreased from FY2016 to FY2017 due to the decrease of the MedQuest reimbursement.

Expenditures decreased from FY2016 to FY2017 due to the decrease of the contract payments.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,985,824	14,985,824	15,043,973	15,070,731	15,093,233	15,093,233	15,093,233
Beginning Cash Balance	2,040,140	2,161,023	2,533,306	5,138,052	6,638,362	7,638,362	8,638,362
Revenues	8,363,982	9,012,059	10,664,966	8,946,909	9,000,000	9,000,000	9,000,000
Expenditures	8,243,099	8,639,776	8,060,220	7,446,600	8,000,000	8,000,000	8,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,161,023	2,533,306	5,138,052	6,638,362	7,638,362	8,638,362	9,638,362
Encumbrances	1,386,068	1,395,327	1,382,417	3,261,194			
Unencumbered Cash Balance	774,955	1,137,979	3,755,635	3,377,168	7,638,362	8,638,362	9,638,362

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Drug Demand Reduction Assessments Special Fund (DDRA)
 Legal Authority: Section 706-650(3), HRS

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF): B
 Appropriation Acct. No.: S 307 H

Intended Purpose:

Deposits to the Drug Demand Reduction Assessments (DDRA) Special Fund are intended "to supplement drug treatment and other drug demand reduction programs." The DDRA Special Fund allows additional resources to be committed to support needed alcohol and substance abuse treatment services to individuals at risk of further involvement in the criminal justice and correctional system. Also, as the State's "Driving Under the Influence (DUI)" rate continues to increase, it is vital that DDRA funds be used to develop and implement a range of strategies that directly addresses this important community

Source of Revenues: Impositions of monetary assessments in cases involving persons convicted of an offenses related to drugs and intoxicating compounds under Part IV of Chapter 712, HRS.

Current Program Activities/Allowable Expenses:

Current services funded by the DDRA Special Fund provide adult substance abuse treatment services to offenders referred by the Intake Service Center on the island of Oahu (i.e., Oahu Community Correctional Center); evaluation services and support for the Strategic Prevention Framework; and diversion of high-risk youth engaged in minor delinquent behavior identified by the Judiciary' Family Court.

Purpose of Proposed Ceiling Adjustment (if applicable): The requested expenditure ceiling increase of \$250,000 in FY2019 will address the increasing need to provide adult substance abuse treatment services to offenders in the criminal justice system. It is imperative that the DDRA special fund continue to be used to provide a range of programs and services to address the overall demand for drugs by supporting effective substance abuse intervention and prevention services within our communities.

Variances: Increase in Appropriation Ceiling from \$500,000 to \$750,000 per Act 119, SLH2015. FY15-FY18 revenue variances are due to the decrease in monetary assessments in cases involving persons convicted of drug related offenses. FY15 expenditure variance due to provider submission of late invoices. FY16 expenditure variance is due to delayed start in a new contract and delayed invoicing from the provider.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	750,000	750,000	750,000	750,000	750,000
Beginning Cash Balance	1,145,508	1,434,083	1,499,579	1,513,166	1,209,387	1,209,387	1,209,387
Revenues	654,358	579,814	510,673	526,705	750,000	750,000	750,000
Expenditures	365,783	514,318	497,086	830,484	750,000	750,000	750,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,434,083	1,499,579	1,513,166	1,209,387	1,209,387	1,209,387	1,209,387
Encumbrances	326,605	118,490	341,932	160,344			
Unencumbered Cash Balance	1,107,478	1,381,089	1,171,234	1,049,044	1,209,387	1,209,387	1,209,387

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 906
 Name of Fund: State Health Planning and Development Fund
 Legal Authority: Section 323D - 12.6 HRS

Contact Name: Gayle Ogawa
 Phone: 587-0787
 Fund type (MOF) B
 Appropriation Acct. No. S 309 H

Intended Purpose: Support expenses association with Agency duties as mandated by Chapter 323D HRS.

Source of Revenues: Certificate of Need application fees.

Current Program Activities/Allowable Expenses:

Expenses associated with the administration of the State Certificate of Need (CON) program as mandated in chapter 323D HRS. Expenses associated with maintaining and revising the State's Health Plan as mandated in chapter 323D HRS. Expenses associated with ACT 219 SLH 2007 that requires all public reviews related to CON application for Maui be heard on Maui instead of Honolulu.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances:

Variances in Revenues between FY15 and FY17 are due to key punch error by DAGS, funds belonging to MedQuest and OSHM was deposited in the SHPDA special funds by mistake. The error has been corrected in FY18. Normal expenditures run between \$25,000 and \$30,000 depending on the number of with Certificate of Need (CON) applications received, reconsideration of CON decisions, CON received for Maui County, and fluctuation of office related costs. The difference between FY2014 and FY2015 is that funds were expended to accommodate overtime payment in 2014. Difference in expenditures between FY15 and FY16 is fewer CON during that period and that some of the costs i.e., xerox, telephone, travel was charged to general funds. Difference in expenditures between FY16 and FY17 is that program purchased computers.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	114,000	114,000	114,000	114,000	114,000	114,000	114,000
Beginning Cash Balance	456,461	551,452	530,855	582,104	694,914	719,914	744,914
Revenues	148,159	9,229	71,759	145,017	55,000	55,000	55,000
Expenditures	53,168	29,826	20,510	32,207	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	551,452	530,855	582,104	694,914	719,914	744,914	769,914
Encumbrances	2,000	532	0	0			
Unencumbered Cash Balance	549,452	530,323	582,104	694,914	719,914	744,914	769,914

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: Office of Health Care Assurance Special Fund
 Legal Authority: Section 321-1.4, HRS

Contact Name: Keith Ridley
 Phone: 692-7227
 Fund type (MOF) B
 Appropriation Acct. No. S 310 H

Intended Purpose:

The Hospital and Medical Facilities special fund was enacted in the 1999 Legislature and amended in the 2002 Legislature to allow for deposit of all monies collected by the department in licensing fees and penalties to be expended to assist in offsetting educational program expenses to enhance the capacity of the program to improve public outreach efforts and consultations to industries, educate the public, department staff and providers by the Office of Health Care Assurance (OHCA).

Source of Revenues: Licensing fees and penalties.

Current Program Activities/Allowable Expenses: OHCA has the responsibility of managing the State licensure and Federal certification of medical and health care facilities, agencies and services provided throughout the State in order to ensure acceptable standards of care are provided.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: OHCA implemented licensing fees beginning in FY 2017. Fee collection will increase in subsequent fiscal years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	406,000	406,000	406,000	406,000	406,000	406,000	
Beginning Cash Balance	50,243	44,522	54,468	20,980	44,544	169,544	394,544
Revenues	457	20,244	14,229	24,758	150,000	250,000	
Expenditures	6,178	10,308	5,262	1,184	25,000	25,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Amt adjusted to Beg Bal		10	(42,455)	(10)			
Net Total Transfers	0	10	(42,455)	(10)	0	0	0
Ending Cash Balance	44,522	54,468	20,980	44,544	169,544	394,544	394,544
Encumbrances							
Unencumbered Cash Balance	44,522	54,468	20,980	44,544	169,544	394,544	394,544

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Trauma System Special Fund
 Legal Authority: Section 321-22.5, 245-15, 245-3, 291-, HRS

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF): B
 Appropriation Acct. No. S 311 H

Intended Purpose: Support for the continuing development and operation of a comprehensive state trauma system.

Source of Revenues: Tax pursuant to Section 245-15 and 245-3, HRS. Fines/surcharges pursuant to Section 291-, HRS (ACT 231, SLH 2007).

Current Program Activities/Allowable Expenses: Personnel cost, under/uncompensated trauma care costs incurred by hospitals.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3, Note 4, and Note 5 below.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,876,371	12,220,204	7,428,363	7,433,731	7,433,731	7,433,731	7,433,731
Beginning Cash Balance	10,225,792	14,463,189	14,106,557	15,223,501	11,309,674	8,190,129	8,313,370
Revenues	10,653,010	11,403,444	7,602,792	7,603,277	7,538,464	7,582,203	7,582,203
Expenditures	6,436,686	11,760,076	6,485,847	6,070,517	10,658,010	7,458,962	7,471,156
Transfers							
JM3061 dated 12/20/16 (FYs 2016-20, per Act 238, SLH 2015. See Note 3 below)	0	0	0	(5,446,587)			
Net Total Transfers	0	0	0	(5,446,587)			
Ending Cash Balance	14,442,116	14,106,557	15,223,501	11,309,674	8,190,129	8,313,370	8,424,417
Encumbrances	2,476,805	2,179,741	2,376,915	3,211,804	0	0	0
Unencumbered Cash Balance	11,965,312	11,926,816	12,846,587	8,097,871	8,190,129	8,313,370	8,424,417

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2017 Beginning Balance, Receipts, and Expenditures: MBP477-A OPTION:2, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2017 Encumbrances (Unliquidated Balance): MBP490-A, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenues for FYs 2018-20 include projected revenue from cigarette sales, traffic fines, and investment pool account and are based on revenues recorded in FAMIS as of: 10/19/2017. The revenue for FY 2017 includes \$15,174.37 for refund/reimbursement of prior period expenditures. Pursuant to ACT 238, SLH 2015, the rate for tax collection for cigarette sales decreased from \$0.0150 to \$0.01125 effective July 1, 2015 and is scheduled to remain in effect for the continuing years, but not more than \$7,400,000 in a fiscal year shall be deposited to the credit of the trauma system special fund. The unexpended and unencumbered moneys in the fund in excess of \$7,400,000 on June 30 of each fiscal year shall be transferred by the director of finance into and become a realization of the general fund on that date.

Note 4: The estimated expenditures for FYs 2018-20 are equal to fully expending the estimated balance (or estimated revenue) from the prior year, up to the limit of the appropriation ceiling for the FY, and the liquidation of outstanding prior year encumbrance.

Note 5: The actual and estimated transfer of funds are pursuant to ACT 238, SLH 2015, that states "The unexpended and unencumbered moneys in the fund in excess of \$7,400,000 on June 30 of each fiscal year shall be transferred by the director of finance into and become a realization of the general fund on that date." For FY16, \$5,446,586.59 was transferred from S-16-311-H-467 to G-00-000-H-467 (ref: JV #JM3061). For FY 17, \$697,870.67 will be transferred from S-17-311-H-467 to G-00-000-H-467 (ref: Program request for preparation of JV, dated 10/26/17).

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Deposit Beverage Container Special Fund
 Legal Authority: Section 342G-104 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 313 H

Intended Purpose: Increase participation and recycling rates for specified deposit beverage containers; provide a connection between manufacturing decisions and recycling program management; reduce litter; reimburse consumers 5 cents on redeemed glass, plastic and aluminum containers.

Source of Revenues: Beverage container deposit and container fees

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting, and personnel.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies based on amount of deposits and container fees collected from customers and sent to DOH from businesses selling beverage items in containers covered by the HI-5 program. Decrease in expenditures is due to lower container redemption rate by consumers, which results in lower expenditures to redemption centers for deposit reimbursements and handling fees.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,059,796	71,059,796	71,124,958	71,147,889	71,174,271	71,174,271	71,174,271
Beginning Cash Balance	15,789,810	15,483,202	22,289,136	25,235,235	29,742,414	29,742,414	29,742,414
Revenues	59,658,362	62,696,920	58,396,621	57,390,806	60,000,000	60,000,000	60,000,000
Expenditures	59,964,970	55,890,986	55,450,523	52,883,626	60,000,000	60,000,000	60,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	15,483,202	22,289,136	25,235,235	29,742,414	29,742,414	29,742,414	29,742,414
Encumbrances	10,791,222	13,464,828	15,930,614	15,906,690	13,464,828	13,464,828	13,464,828
Unencumbered Cash Balance	4,691,980	8,824,308	9,304,620	13,835,724	16,277,586	16,277,586	16,277,586

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 501
 Name of Fund: Neurotrauma Special Fund
 Legal Authority: Section 321H-4, HRS

Contact Name: Vanessa Lau
 Phone: 587-6043
 Fund type (MOF) B
 Appropriation Acct. No. S 314 H

Intended Purpose: Funding and contracting for services relating to neurotrauma; for education; assistance to individuals and families to identify and obtain access to services; maintenance of a registry of neurotrauma injuries within Hawaii; and necessary administrative expenses not to exceed two percent (2 %) of the total amount collected.

Source of Revenues: Surcharges levied under Sections 291-11.5, 291-11.6, 291C-12, 291C-12.5, 291C-12.6, 291C-102 and 291E-61, HRS.

Current Program Activities/Allowable Expenses: Contracts in the area of education awareness with 1) the Queens Medical Center (Statewide Stroke Network); 2) University of Hawaii (Neurotrauma Registry); and 3) University of Hawaii (Head, Neck, and Spine Educational Resource).

Purpose of Proposed Ceiling Adjustment (if applicable): none

Variances:

FY 2016 & FY 2017: In July 2015, the Neurotrauma program and SF were transferred from DDD's Outcome and Compliance Branch (OCB) to the Community Resource Branch (CRB). CRB renegotiated the existing contracts before pursuing new projects, therefore total expenditures decreased in FY 2016. Also, an ongoing contract with Queen's Medical Center (QMC), Hawaiian Islands Regional Stoke Network, ended in February 2016. A new contract with QMC had a retro start date of March 1, 2016 but was not fully executed until August 2016, QMC; could not begin to invoice until FY 2017. In FY 2017, DDD's expenditures reached its budget ceiling.

FY 2017: There was decrease in source of revenues (surcharges levied under Sections 291-11.5, 291-11.6, 291C-12, 291C-12.5, 291C-12.6, 291C-102 and

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,038,992	1,038,992	1,038,992	1,038,992	1,053,448	1,053,448	1,053,448
Beginning Cash Balance	2,459,415	2,228,554	2,070,798	2,153,642	2,002,017	1,857,461	1,712,905
Revenues	801,742	785,029	784,351	705,444	705,444	705,444	705,444
Expenditures	1,032,603	942,784	701,507	857,070	850,000	850,000	850,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,228,554	2,070,798	2,153,642	2,002,017	1,857,461	1,712,905	1,568,349
Encumbrances	1,146,012	827,852	783,712	616,450			
Unencumbered Cash Balance	1,082,542	1,242,947	1,369,930	1,385,567	1,857,461	1,712,905	1,568,349

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: Interdepartmental Transfer
 Legal Authority: Item E-8, Act 162, 2009 SLH, as amended by Act 180, 2010 SLH

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) U
 Appropriation Acct. No. S 316 H

Intended Purpose: Fund used for participation in the State Nutrition Assistance Program nutrition education program (SNAP-Ed; formerly called Food Stamp Nutrition Education or FSNE) enables the State to get reimbursements based on non-federal dollars spent for approved nutrition education activities with populations that qualify for the federal nutrition assistance program.

Source of Revenues: Interdept'l transfer of US Department of Agriculture (USDA) reimbursements through Department of Human Services

Current Program Activities/Allowable Expenses: The funds can only be received and expended for activities approved on a state plan that is submitted prior to the beginning of each federal fiscal year to provide nutrition education to populations that meet the federal SNAP eligibility criteria. USDA provides updated guidance yearly for the development of state plans.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The USDA instituted a change in their method of funding from matching funds to a grant funded award. This change caused the difference in funding amount which is reflected in the award/ceiling and a minor difference in expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,589,845	610,000	610,000	610,000	610,000	610,000	610,000
Beginning Cash Balance	267,545	289,682	294,853	137,323	415,506	415,506	415,506
Revenues	345,170	293,351	229,930	663,439	610,000	610,000	610,000
Expenditures	323,033	288,180	387,460	385,256	610,000	610,000	610,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	289,682	294,853	137,323	415,506	415,506	415,506	415,506
Encumbrances	315,324	289,358	117,000	366,166			
Unencumbered Cash Balance	(25,642)	5,495	20,323	49,341	415,506	415,506	415,506

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 907
 Name of Fund: GENERAL ADMINISTRATION
 Legal Authority: ACT 119/SLH 2015

Contact Name: Scott Gardner
 Phone: 586-4553
 Fund type (MOF) B
 Appropriation Acct. No. S 17 317

Intended Purpose: For relocation of the Disability and Communication Access Board and Developmental Disabilities Council to the Kamamalu Building.

Source of Revenues: Funding from S 334 H

Current Program Activities/Allowable Expenses: Relocation of the Disability and Communication Access Board and Developmental Disabilities Council

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				207,000			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0			
Expenditures				188,660			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1006 dated 01/17/176				207,000			
JS6103 dated 06/30/2017				(18,340)			
Net Total Transfers	0	0	0	188,660	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Electronic Device Recycling Fund
 Legal Authority: Act 13/2008

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 319 H

Intended Purpose: Encourage recycling of electronic devices sold in the State to divert waste and leaching of possible hazardous materials components from landfills.

Source of Revenues: Initial registration and annual renewal fees from all manufacturers' brands of covered electronic devices.

Current Program Activities/Allowable Expenses: Administration, rules development and amendment, program accounting and reporting, personnel, and supporting county electronics collections (Act 130, HSL 2017).

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary depending on the scope of services for contracts with Hawaii, Kauai, and Maui counties to provide electronic device recycling programs.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	497,288	497,288	505,997	509,062	510,948	510,948	510,948
Beginning Cash Balance	1,162,407	1,231,460	1,410,083	1,602,752	1,624,291	1,474,291	1,324,291
Revenues	365,115	382,849	391,565	389,968	360,000	360,000	360,000
Expenditures	296,062	204,226	198,896	368,429	510,000	510,000	510,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,231,460	1,410,083	1,602,752	1,624,291	1,474,291	1,324,291	1,174,291
Encumbrances	415,491	601,380	630,752	227,761	601,380	601,380	601,380
Unencumbered Cash Balance	815,969	808,703	972,000	1,396,530	872,911	722,911	572,911

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Domestic Violence and Sexual Assault Special Fund
 Legal Authority: Section 321-1.3, HRS

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF) B
 Appropriation Acct. No. S 321 H

Intended Purpose: For use by the Department of Health to fund programs or purchases of service supporting/providing domestic violence and sexual violence intervention and/or prevention.

Source of Revenues: Fees from birth, marriage, divorce and death certificates; and income tax designations per §235-102.5, HRS.

Current Program Activities/Allowable Expenses:

A. Salary: Domestic Violence Prevention Program Specialist

B. Domestic Violence Fatality Review: meetings, including travel.

C. University of Hawaii Women's Center PAU Violence Program: violence prevention task forces activities on 10 campuses statewide

D. Sex Abuse Treatment Center: sexual violence prevention education, awareness and training.

E. Hawaii Law Clinic dba Ala Kuola: Coaching Boys into Men curriculum for high schools.

F. Trainings to prevent domestic and sexual violence.

G. Hawaii State Coalition Against Domestic Violence: Domestic Violence and Developmental Disabilities Curriculum

Variances: The variance in revenues between FY 2014-15 and FY 2015-16 is reflective of various revenues received. The variance in expenditures between FY 2014-15 and FY 2015-16 is based on project expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	602,712	634,615	634,615	634,615	634,615	634,615	634,615
Beginning Cash Balance	423,798	380,438	550,380	554,732	539,056	530,056	521,056
Revenues	292,595	380,375	301,694	297,608	341,000	341,000	341,000
Expenditures	335,955	210,433	297,342	313,284	350,000	350,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	380,438	550,380	554,732	539,056	530,056	521,056	512,056
Encumbrances	109,778	141,175	226,404	192,470			
Unencumbered Cash Balance	422,557	321,652	346,586	530,056	521,056	512,056	512,056

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Asbestos and Lead Abatement Special Fund
 Legal Authority: Act 242/1998 and 342-P, HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 323 H

Intended Purpose: Support mandated functions and activities of the federal National Emissions Standards for Hazardous Air Pollutants, Asbestos-in-Schools

Source of Revenues: Fees for demolition/renovation and accreditation of asbestos training.

Current Program Activities/Allowable Expenses: Inspections; public outreach and training for compliance and certification of lead-based paint abatement contractors.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending on the number of asbestos demolition/abatement projects and the number of workers on those projects each year. Expenditures for FY15 and FY16 increased primarily due to higher personnel costs from step movements and using more special funds for program activities since the federal grant award for the asbestos program decreased. FY18 and beyond include one additional position that was changed from federal funding to this special fund.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	224,074	224,074	288,412	275,575	327,049	327,049	327,049
Beginning Cash Balance	336,238	357,320	382,256	365,683	362,763	253,304	133,845
Revenues	212,450	245,535	223,605	246,539	220,000	220,000	220,000
Expenditures	191,368	220,599	240,178	249,459	329,459	339,459	349,459

Transfers

List each net transfer in/out; list each account number

Net Total Transfers	0	0	0				
Ending Cash Balance	357,320	382,256	365,683	362,763	253,304	133,845	4,385
Encumbrances	7,778	553	1,642	707	707	707	707
Unencumbered Cash Balance	349,542	381,703	364,041	362,056	252,597	133,138	3,678

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Asbestos and Lead Abatement Special Fund
 Legal Authority: Act 242/1998 and 342-P, HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 323 H

Intended Purpose: Support mandated functions and activities of the federal National Emissions Standards for Hazardous Air Pollutants, Asbestos-in-Schools and Lead-Based Paint Abatement programs.

Source of Revenues: Fees for demolition/renovation and accreditation of asbestos training.

Current Program Activities/Allowable Expenses: Inspections; public outreach and training for compliance and certification of lead-based paint abatement contractors.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending on the number of asbestos demolition/abatement projects and the number of workers on those projects each year. Expenditures for FY15 and FY16 increased primarily due to higher personnel costs from step movements and using more special funds for program activities since the federal grant award for the asbestos program decreased. FY18 and beyond include one additional position that was changed from federal funding to this special fund.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	224,074	224,074	288,412	275,575	327,049	327,049	327,049
Beginning Cash Balance	336,238	357,320	382,256	365,683	362,763	387,699	412,635
Revenues	212,450	245,535	223,605	246,539	245,535	245,535	245,535
Expenditures	191,368	220,599	240,178	249,459	220,599	220,599	220,599
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	357,320	382,256	365,683	362,763	387,699	412,635	437,571
Encumbrances	7,778	553	1,642	707	707	707	707
Unencumbered Cash Balance	349,542	381,703	364,041	362,056	386,992	411,928	436,864

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 520
 Name of Fund: Special Parent Information Network (SPIN)
 Legal Authority: HRS §348F-7

Contact Name: Kristine Pagano
 Phone: 447-1397
 Fund type (MOF) U (Interdepartmental transfer)
 Appropriation Acct. No. S 324 H 070

Intended Purpose: A Memorandum of Agreement between the Department of Education and the Department of Health- Disability and Communication Access Board to provide support in the operation and support of the Special Parent Information Network (SPIN) and the Special Education Advisory Council (SEAC).

Source of Revenues: Department of Education

Current Program Activities/Allowable Expenses: Two (2.0) positions and operating costs for SPIN and SEAC.

Purpose of Proposed Ceiling Adjustment (if applicable): Increase in ceiling in FY 2016 to FY 2020 is due to collective bargaining.

Variances:

The variance (decrease) in the revenues in FY 2016 to FY 2020 is due to a decrease in the amount of the Memorandum of Agreement with the Department of Education for the Special Parent Information Network. The variance (decrease) in the expenditures in FY 2016 to FY 2020 is due to the decrease of revenues to cover the costs for salaries, collective bargaining, operating costs of the SEAC, and the annual SPIN conference. Every FY, there is an increase of SPIN conference attendees and costs. There was an increase in revenues for FY15 and FY18 from conference fees. The FY15 increased in expenditures due to cost of flights for neighbor island families with special needs children to attend and other conference expenses.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	253,338	253,338	273,411	280,848	275,000	275,000	275,000
Beginning Cash Balance	92,637	91,365	144,499	149,687	117,383	122,383	127,383
Revenues	174,800	275,992	217,460	188,390	220,000	220,000	220,000
Expenditures	176,072	222,858	212,272	220,694	215,000	215,000	215,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	91,365	144,499	149,687	117,383	122,383	127,383	132,383
Encumbrances	1,012	5,986	2,957	1,752	3,000	3,500	4,000
Unencumbered Cash Balance	90,353	138,513	146,730	115,631	119,383	123,883	128,383

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Violence Prevention Program, Child Death Review (CDR).
 Legal Authority: Act 162, SLH 2009, as amended by Act 180, SLH 2010

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) U
 Appropriation Acct. No. S 325 H

Intended Purpose: To provide for a CDR Nurse Coordinator position to implement the statewide CDR System

Source of Revenues: Interdepartmental transfer from the Department of Human Services' (DHS) federal Child Abuse and Neglect State Grant funds and its Spouse and Child Abuse Special Fund.

Current Program Activities/Allowable Expenses:• Provides staffing to the State CDR Council who is responsible to analyze and evaluate data, to develop public health policy and to expand prevention programs to impact and strengthen the system to prevent further child deaths.

• Provides staffing and assistance to the six local CDR Teams including the Department of Defense. The purpose of the local teams is to review the circumstances and causes of child deaths and to identify gaps in the delivery system and communication between organizations and to identify environmental, educational and organizational issues related to the prevention of child deaths.

• Prepares reports in collaboration with the Council members to synthesize the data obtained from the team reviews.

• Assists in CDR training and education activities.

• Supports and collaborates with the research statistician to maintain a CDR surveillance system.

• Maintains and updates CDR Policies and Procedures Manual.

Purpose of Proposed Ceiling Adjustment (if applicable): Function was eliminated by the 2014 Legislature.

Variances: The last revenues were in FY 2013. Expenditures will be to spend down the cash balance.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	203,441	203,441	203,441	203,441	203,441	203,441	203,441
Beginning Cash Balance	255,333	222,797	216,438	216,438	175,303	155,303	135,303
Revenues	0	0	0	0	0	0	0
Expenditures	32,536	6,359	0	41,135	20,000	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	222,797	216,438	216,438	175,303	155,303	135,303	115,303
Encumbrances	0	0	0	19,585	0	0	0
Unencumbered Cash Balance	222,797	216,438	216,438	155,718	155,303	135,303	115,303

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 610 FN
 Name of Fund: Vector Control, U-account
 Legal Authority: Act 259/2001 as amended by Act 177/2002; Act 213/2007

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) U
 Appropriation Acct. No. S 327 H

Intended Purpose: Prevent introduction of air- and sea-borne vectors and diseases to the State.

Source of Revenues: State Department of Transportation transfer of funds for vector control work at major ports of entry.

Current Program Activities/Allowable Expenses: Identify potential breeding grounds and harborage sites and maintain traps and poison at stations at major airports and seaports under Department of Transportation jurisdiction.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Delay in transfer of funds in FY 14-17; two new U-funded positions added by Act 119, SLH 2015, with half-year salary in FY 16 and full-year salary in FY 17 and beyond.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	55,481	55,481	122,183	191,279	231,850	231,850	231,850
Beginning Cash Balance	54,535	871	538	2,869	0	0	0
Revenues	0	55,481	65,000	0	231,850	231,850	231,850
Expenditures	53,664	55,814	62,669	2,868	231,850	231,850	231,850
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	871	538	2,869	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	871	538	2,869	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: TITLE IV-E Reimbursement (Interdepartmental transfer from DHS)
 Legal Authority: Act 259/01, as amended by Act 177/02; Act 200/03

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) U
 Appropriation Acct. No. S 328 H

Intended Purpose:

To provide training and skill development to foster parents/prospective foster parents licensed by child care (placement) organizations (CPO) that are licensed by Department of Human Services (DHS). Many of these youth are cared for through foster services and thus the Title IV-E funds are reimbursed to DOH through DHS. Also reimbursable are training activities provided to staff of CPOs with respect to their activities involving the licensing and training of foster homes.

Source of Revenues:

Reimbursement through DHS for eligible Title IV-E training.

Current Program Activities/Allowable Expenses:

Training and skill development of foster parents/prospective foster parents on an on-going basis.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues increased from FY2014 to FY2015 due to the Hospital Billing Clerk position being filled.

Revenues decreased from FY2015 to FY2016 due to the decrease of billings processed.

Revenues increased from FY2016 to FY2017 due to the increase of billings processed.

Revenues are estimated to increase from FY2017 to FY2018 due to the projected increase of billings to be processed.

Expenditures decreased from FY2014 to FY2015 due to the no training activities charged to this account.

Expenditures increased from FY2016 to FY2017 due to the increase in the training activities charged to this account.

Expenditures are estimated to decrease from FY2017 to FY2018 due to the no training activities planned to be charged to this account.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,264,888	2,264,888	2,275,159	2,281,992	2,281,992	2,281,992	2,281,992
Beginning Cash Balance	226,964	229,934	306,352	350,674	483,605	633,605	783,605
Revenues	5,605	76,417	44,323	134,182	150,000	150,000	150,000
Expenditures	2,635	0	0	1,250	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	229,934	306,352	350,674	483,605	633,605	783,605	933,605
Encumbrances	0	0	0	35			
Unencumbered Cash Balance	229,934	306,352	350,674	483,570	633,605	783,605	933,605

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: PHN, Farrington High School (DOE) U-Account
 Legal Authority: Act 162/2009, as amended by Act 180/2010

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) U
 Appropriation Acct. No. S 329 H (FY14-20)

Intended Purpose:

U funding currently for: Registered Nurse IV (#118858) in the Public Health Nursing Branch (PHNB) for Farrington High School Transition Center; funding from Department of Education (DOE).

Source of Revenues:

U-funding (inter-agency) from the DOE.

Current Program Activities/Allowable Expenses:

PHNB provides a 1.00 FTE Registered Nurse IV (#118858), funded by the DOE, to Farrington High School Transition Center.

Variances: Late revenue deposit in FY16 which resulted in more revenue deposited in FY17. For FY18, the salary and the ceiling for the appropriation has increased compared to FY18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	131,746	131,746	131,746	142,627	178,291	178,291	178,291
Beginning Cash Balance	152,522	138,881	76,306	1,571	77,478	77,478	77,484
Revenues	126,195	75,417	75,176	228,535	178,291	178,297	178,297
Expenditures	139,836	137,992	142,884	152,628	178,291	178,291	178,291
Transfers							
List each by JV# and date							
			(6,837)				
			(191)				
Net Total Transfers			(7,028)				
Ending Cash Balance	138,881	76,306	1,571	77,478	77,478	77,484	77,490
Encumbrances							
Unencumbered Cash Balance	138,881	76,306	1,571	77,478	77,478	77,484	77,490

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank Fund
 Legal Authority: Section 342L-51 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 330 H

Intended Purpose: Respond to petroleum releases from underground storage tanks or tank systems in a manner consistent with Chapter 342L, HRS.

Source of Revenues: Fees from field citations or enforcement actions pursuant to Chapter 342L, HRS.

Current Program Activities/Allowable Expenses: Deposit fees and utilize funds to cover costs incurred in responding to petroleum releases per Sections 342L-8(g) and 342L-53(d), HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenue varies depending upon compliance and enforcement.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	1,081,878	1,092,078	1,101,828	1,127,680	1,146,988	1,156,738	1,166,488
Revenues	10,200	9,750	25,852	19,307	9,750	9,750	9,750
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,092,078	1,101,828	1,127,680	1,146,988	1,156,738	1,166,488	1,176,238
Encumbrances				0			
Unencumbered Cash Balance	1,092,078	1,101,828	1,127,680	1,146,988	1,156,738	1,166,488	1,176,238

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Early Intervention Special Fund
 Legal Authority: § 321-355, HRS

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) B
 Appropriation Acct. No. S 331 H

Intended Purpose: To generate revenues to reinvest into the early intervention services for the at-risk, zero-to-three population; and to expand and enhance early intervention services for infants and toddlers with special needs

Source of Revenues: Federal reimbursements from Medicaid and Title IV E and any other program income or grants earned by this fund.

Current Program Activities/Allowable Expenses: Program activities include community based, family centered early intervention services to infants and toddlers with developmental

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variances in revenues between FY 2014 and FY 2017 and beyond are due to fluctuations in revenues derived from the Random Moment Survey and the number of Medicaid clients served by the Early Intervention Services Program. There is an anticipated increase in expenditures from FY 2018 and beyond due to collective bargaining and

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044	3,095,044
Beginning Cash Balance	1,085,496	1,278,773	1,276,429	1,472,106	1,502,409	1,477,409	1,452,409
Revenues	547,066	371,579	531,594	368,989	400,000	400,000	400,000
Expenditures	353,789	373,923	335,917	338,685	425,000	425,000	425,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,278,773	1,276,429	1,472,106	1,502,409	1,477,409	1,452,409	1,427,409
Encumbrances	16,310	0	0	0	16,000	16,000	16,000
Unencumbered Cash Balance	1,262,463	1,276,429	1,472,106	1,502,409	1,461,409	1,436,409	1,411,409

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 520
 Name of Fund: DCAB Special Fund
 Legal Authority: HRS §348F-7

Contact Name: Kristine Pagano
 Phone: 447-1397
 Fund type (MOF) B
 Appropriation Acct. No. S 334 H 070

Intended Purpose: Payment of costs associated with the sign language interpreter credentialing program and for operating and payment of costs associated with blueprint reviews under HRS §103-50.

Source of Revenues: Sign language interpreter testing and blueprint review fees.

Current Program Activities/Allowable Expenses: Ability to expend monies for sign language interpreter credentialing and for blueprint review costs.

Purpose of Proposed Ceiling Adjustment (if applicable): FY 2017 increase in ceiling due to transfer of additional 3.0 positions from the general fund to special fund. FY 2018 to FY 2020 increase in ceiling due to increase of one FTE position and collective bargaining.

Variances: The variance (increase) in revenues for FY 2014 is due to the beginning of the collection of fees for blueprints reviews under HRS §103-50.

The variance (decrease) in revenues for FY 2015 is due to fluctuations in construction projects reviewed under HRS §103-50.

The variance (increase) in revenues for FY 2016 is due to increase of construction projects reviewed under HRS §103-50.

The variance (decrease) expenditures in FY 2015 is due to decrease in sign language interpreter tests and the implementation of a Continuing Education Program.

The variance (increase) expenditures in FY 2016 to FY 2020 is due to increase of staff, operating and payment of costs associated with blueprint reviews under HRS §103-50. The variance (increase) in expenditures in FY 2017 is due to moving expenses.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000	10,000	588,878	952,734	924,000	975,000	1,025,000
Beginning Cash Balance	191,128	1,270,903	2,192,563	3,047,031	3,436,477	3,736,477	4,086,477
Revenues	1,083,565	923,848	1,192,074	1,174,496	1,200,000	1,300,000	1,400,000
Expenditures	3,790	2,188	337,606	596,391	900,000	950,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS1006 dated 01/17/176				(207,000)			
JS6103 dated 06/30/2017				18,340			
Net Total Transfers	0	0	0	(188,660)	0	0	0
Ending Cash Balance	1,270,903	2,192,563	3,047,031	3,436,477	3,736,477	4,086,477	4,486,477
Encumbrances	1,920	2,470	2,960	15,649	4,000	5,000	6,000
Unencumbered Cash Balance	1,268,983	2,190,093	3,044,071	3,420,828	3,732,477	4,081,477	4,480,477

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: Tobacco Settlement Special Fund
 Legal Authority: Section 328L-2, HRS

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) B
 Appropriation Acct. No. S 335 H

Intended Purpose: Per Act 118, SLH 2015, the fund's purpose is only to distribute the receipts from the Master Settlement Agreement.

Source of Revenues: Tobacco Master Settlement Agreement

Current Program Activities/Allowable Expenses: Program is only responsible for disbursement of funds pursuant to section 328L-2, HRS

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Revenue fluctuations occur as the amount is based on actual profits of the tobacco industry of which the State receives a set percentage.
 Expenditures will vary based on the available ceiling at the time of disbursement of funds; the percentage disbursed is pursuant to section 328L-2, HRS.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,299,643	50,299,643	50,965,644	48,616,356	48,616,356	48,616,356	48,616,356
Beginning Cash Balance	26,267,354	23,909,672	20,603,807	19,927,634	18,333,697	18,333,697	18,333,697
Revenues	52,310,019	46,880,993	49,059,604	48,528,609	47,229,075	35,914,586	35,914,586
Expenditures	26,997,621	47,186,859	49,780,777	50,122,547	47,229,075	35,914,586	35,914,586
Transfers							
List each net transfer in/out; list each account number							
JS4370 dtd 02/04/2015	(24,670,080)		45,000				
	(3,000,000)	(3,000,000)					
Net Total Transfers	(27,670,080)	(3,000,000)	45,000				
Ending Cash Balance	23,909,672	20,603,806	19,927,634	18,333,697	18,333,697	18,333,697	18,333,697
Encumbrances	6,133,520	6,133,520	7,223,294	1,107,372	0	0	0
Unencumbered Cash Balance	17,776,152	14,470,286	12,704,340	17,226,325	18,333,697	18,333,697	18,333,697

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FK
 Name of Fund: Wastewater Treatment Certification Board Special Fund
 Legal Authority: Act 238/SLH 1999

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 336 H

Intended Purpose: Establish and collect fees for applications, conduct examinations, issue or renew certificates pursuant to 340B, HRS.

Source of Revenues: Fees for certification of wastewater treatment personnel

Current Program Activities/Allowable Expenses: Implement duties and powers of the Board pursuant to 340B-11, HRS

Purpose of Proposed Ceiling Increase (if applicable):

Variances: All wastewater treatment operators are required to renew their licenses every other year. The revenue projections reflect this pattern of revenue.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	21,021	21,021	28,021	28,021	28,021	28,021	28,021
Beginning Cash Balance	75,789	67,259	69,727	59,841	62,060	46,334	40,038
Revenues	12,325	21,630	11,326	21,704	12,274	21,704	12,274
Expenditures	20,855	19,162	21,212	19,485	28,000	28,000	28,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0			
Ending Cash Balance	67,259	69,727	59,841	62,060	46,334	40,038	24,312
Encumbrances	120	35	1,335	1,176	1,176	1,176	1,176
Unencumbered Cash Balance	67,139	69,692	58,506	60,884	45,158	38,862	23,136

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: Organ and Tissue Education Special Fund
 Legal Authority: Sec. 327-5.6, HRS

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) B
 Appropriation Acct. No. S 337 H

Intended Purpose: The fund consists of a \$1.00 donation collected at the time of motor vehicle registration and those funds shall be used exclusively for public education programs and activities on organ, tissue and eye donations.

Source of Revenues: \$1.00 donation at the time of motor vehicle registration.

Current Program Activities/Allowable Expenses: Funds are requested and used by the Organ Donor Center of Hawaii for public education activities on the lifesaving benefits of the Organ Donor Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Revenue/expenditures is dependent on number of motor vehicle registrations for the year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	40,000	15,000	40,000	40,000	40,000
Beginning Cash Balance	22,610	44,919	61,087	44,224	46,375	36,375	26,375
Revenues	42,309	32,982	23,101	32,151	30,000	30,000	30,000
Expenditures	20,000	16,814	39,964	29,999	40,000	40,000	40,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	44,919	61,087	44,224	46,375	36,375	26,375	16,375
Encumbrances							
Unencumbered Cash Balance	44,919	61,087	44,224	46,375	36,375	26,375	16,375

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Vital Statistics Improvement Special Fund
 Legal Authority: HRS 338-14.6

Contact Name: Alvin T. Onaka Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) B
 Appropriation Acct. No. S-338-H

Intended Purpose:

For the modernization and automation of the vital statistics system in this State. May also be used to assist in offsetting costs of the daily operations of the system of vital statistics.

Source of Revenues:

Fees for certified copies of birth, marriage, and death records.

Current Program Activities/Allowable Expenses:

Enhancement of Electronic Death & Electronic Birth Registration systems.

Purpose of Proposed Ceiling Adjustment (if applicable):

None.

Variances: FY14, FY15 & FY16 expenditure variance due to enhancements, major enhancements are expected to decrease. FY18 to FY19 requesting to change MOF for two (2) positions for FY19. Revenues expected to remain around 260,000 pending any proposed fee increases, which are being discussed.

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(ACTUAL)	(estimated)	(estimated)
Appropriation Ceiling	583,608	660,155	646,822		660,466	660,466	660,466
Beginning Cash Balance	638,892	751,711	597,032	426,922	194,883	61	(163,798)
Revenues	401,637	265,682	362,266	274,306	260,758	260,000	260,000
Expenditures	288,818	420,362	532,376	506,345	455,580	423,859	236,084
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	751,711	597,031	426,922	194,883	61	(163,798)	(139,882)
Encumbrances	8,335	11,929	3,682	99,500			
Unencumbered Cash Balance	743,376	585,102	423,240	95,383	61	(163,798)	(139,882)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 610 FL/FQ and HTH 849 FA
 Name of Fund: Sanitation and Environmental Health Special Fund
 Legal Authority: Section 321-27 HRS
HTH 610 apprn \$2,147,467; HTH 849 apprn \$77,234

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 340 H and S 315 H

Intended Purpose: Enhance capacity of environmental health programs to improve public outreach, educate public and professionals, plan for future and emerging needs, and provide trainings to maintain competencies among environmental health professionals

Source of Revenues: Fees from food establishment inspections, temporary food permits, licenses for embalmers and tattoo artists, plan review and swimming pool permits, etc.

Current Program Activities/Allowable Expenses: Enhance updated code for licenses and permits granted to food establishments; establish mechanisms for other fee-generating programs through promulgation of rules; enhance educational and public outreach programs; improve electronic systems infrastructure and capabilities; develop partnerships to enhance program operations; develop emergency response capabilities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Increase in fees, due to HAR revisions, started in FY 14 partial, and then in FY 15 and on as food establishments renew permits under the new system of higher annual fees; expenditures will increase in future as new hires are allocated up to authorized position level as they gain experience, as well as from pay increases, step movements, and fringe benefit rate increases.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,137,042	1,442,346	1,830,641	1,846,538	2,224,701	2,224,701	2,224,701
Beginning Cash Balance	710,303	695,771	1,707,998	2,404,367	4,249,177	4,997,475	5,793,773
Revenues	979,401	2,165,291	2,148,307	3,718,303	2,972,999	3,020,999	2,972,999
Expenditures	993,933	1,153,064	1,453,963	1,873,493	2,224,701	2,224,701	2,224,701
Transfers							
List each net transfer in/out; list each account number							
			2,025				
Net Total Transfers	0	0	2,025	0			
Ending Cash Balance	695,771	1,707,998	2,404,367	4,249,177	4,997,475	5,793,773	6,542,071
Encumbrances	32,360	3,427	268,146	70,067	70,067	70,067	70,067
Unencumbered Cash Balance	663,411	1,704,571	2,136,221	4,179,110	4,927,408	5,723,706	6,472,004

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FK and HTH 849 FB
 Name of Fund: Water Pollution Control Revolving Fund
 Legal Authority: Clean Water Act and Section 342D-83 HRS
HTH 840 apprn \$101,289,651; HTH 849 apprn \$772,860

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 341 H and S 387 H

Intended Purpose: Provide low interest loans to counties and private entities for wastewater project construction including non-point source pollution projects.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Process and service loans; revise standards and procedures to streamline loan review process; monitor special conditions and payments of existing loans; process payments to counties; and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Revenues, expenditures, and encumbrances will fluctuate depending on level and scheduling of construction loans and the pace of construction, which is affected by economic conditions. Revenues will actually increase later on as more loans are issued and more repayments are received.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	101,283,121	101,326,429	101,535,514	101,753,515	102,062,511	102,062,511	102,062,511
Beginning Cash Balance	148,844,338	128,692,666	143,384,694	154,394,788	152,457,918	117,857,918	78,957,918
Revenues	41,627,424	43,300,192	57,194,058	46,562,064	47,200,000	46,800,000	45,200,000
Expenditures	61,779,096	28,608,164	46,183,964	48,498,934	81,800,000	85,700,000	46,400,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	128,692,666	143,384,694	154,394,788	152,457,918	117,857,918	78,957,918	77,757,918
Encumbrances	37,314,071	17,959,056	30,339,861	47,031,744	80,000,000	75,000,000	67,000,000
Unencumbered Cash Balance	91,378,595	125,425,638	124,054,927	105,426,174	37,857,918	3,957,918	10,757,918

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD, HTH 840 FE, FG, FH, FJ
 Name of Fund: Environmental Response Revolving Fund
 Legal Authority: Section 128D-2, HRS; also see Section 342, HRS
 HTH 849 apprn \$1,363,895; HTH 840 apprn \$412,212

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S-342-H, S-339-H

Intended Purpose: Fund emergency response actions as well as oil spill planning, prevention, preparedness, education, research, training, removal, and remediation. Includes accounts for (1) Local Emergency Planning Committees (LEPCs) by means of the Emergency Planning and Community Right to Know Act (EPCRA) filing fees used for administration and oversight of Chapter 128D, HRS; and (2) Voluntary Response Program which allows for voluntary actions taken by a party in response to hazardous substance releases and threats of diseases with a provision for relief from liability for an eligible party used for the purpose of administration and oversight pursuant to Section 128D-2, HRS. Per Section 128D-2, moneys from the fund shall be expended by the department for the following: (1) Removal, remediation, and detection of oil and pollutant or contaminant releases; (2) Removal and remediation of hazardous waste and any other solid, liquid, or gaseous substance that may harm the environment; and (3) The payment of costs listed under section 128D-4(c).

Source of Revenues: Tax of 5 cents per barrel of petroleum, fines/penalties, and Chemical Tier II reporting fees. 5 cents of the \$1.05 Environmental Response, Energy, and Food Security Tax is deposited to ERRF. The only consistent and reliable source of revenue is the oil tax. Airline fuel assessments are not included in the oil tax.

Current Program Activities/Allowable Expenses: Oil spill removal and remediation activities; respond to and investigate hazardous materials incidents; coordinate emergency response and related trainings. Conduct related activities in HTH 840, Environmental Management Division, Clean Water, Safe Drinking Water, and Solid and Hazardous Waste branches.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Over the past several years, Hawaii has imported fewer barrels of oil as it relies more heavily on renewable energy, which in turn has decreased the amount of income for the ERRF at the rate of about 5% per year. Expenditures are much lower from FY 16 since positions are no longer funded by ERRF, in order to maintain solvency of fund. Higher revenue in FY17 due to some unusually large fines.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,212,361	5,212,361	1,712,734	1,790,391	1,776,107	1,776,107	1,776,107
Beginning Cash Balance	2,392,375	1,782,550	922,837	1,319,060	4,289,624	4,289,624	4,289,624
Revenues	3,066,834	2,543,690	1,517,180	3,821,107	1,000,000	1,000,000	1,000,000
Expenditures	3,676,659	3,403,403	1,120,958	850,542	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,782,550	922,837	1,319,060	4,289,624	4,289,624	4,289,624	4,289,624
Encumbrances	1,414,001	493,138	493,138	419,317	419,317	419,317	419,317
Unencumbered Cash Balance	368,549	429,699	825,922	3,870,307	3,870,307	3,870,307	3,870,307

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH & HTH 849 FB
 Name of Fund: Drinking Water Treatment Revolving Loan Fund
 Legal Authority: Section 340E-35 HRS
HTH 840 apprn \$106,899,187; HTH 849 apprn \$656,907

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) W
 Appropriation Acct. No. S 344 H and S 386 H

Intended Purpose: Provide low interest loans to improve public and private drinking water systems in Hawaii.

Source of Revenues: Loan repayments, federal capitalization grants, loan fees and interest, investment interest, capital projects funds (state match)

Current Program Activities/Allowable Expenses: Review technical, financial and managerial viabilities of loan applicants; process and serve loans, and analyze future revenues and cash flow.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Increased pace of lending in the near-term to expend more loan funds and thus reduce the amount of unliquidated federal grant funds; revenues, expenditures, and encumbrances are dependent on the pace of construction

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	61,945,377	62,073,980	107,213,598	107,365,216	107,556,094	107,556,094	107,556,094
Beginning Cash Balance	64,924,030	69,440,601	46,981,972	52,390,982	42,671,782	15,971,782	6,671,782
Revenues	31,383,432	30,105,205	38,390,278	41,809,445	30,400,000	22,100,000	22,600,000
Expenditures	26,866,861	52,563,834	32,981,267	51,528,645	57,100,000	31,400,000	23,000,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	69,440,601	46,981,972	52,390,982	42,671,782	15,971,782	6,671,782	6,271,782
Encumbrances	42,865,385	38,249,407	59,332,737	56,505,801	20,000,000	10,000,000	10,000,000
Unencumbered Cash Balance	26,575,216	8,732,565	(6,941,754)	(13,834,019)	(4,028,218)	(3,328,218)	(3,728,218)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 420
 Name of Fund: Mental Health and Substance Abuse Special Fund
 Legal Authority: Section 334-15, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) B
 Appropriation Acct. No. S 346 H

Intended Purpose: The purpose of the fund is to deposit all revenue collected from treatment services rendered by mental health and substance abuse programs operated by the State.

Source of Revenues: The source of revenue include payments from Medicaid, Medicare, and patients.

Current Program Activities/Allowable Expenses: Activities include the provision of community-based outpatient services, case management services, psychosocial rehabilitation services, crisis services, residential services, and treatment services. Allowable expenses are expenses incurred to provide or support the provision of program activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 revenue variance is attributed to a delay in the receipt of payments from Medicaid. The FY 2017 expenditure variance is attributed to the delay in the execution of purchase of service contracts.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	11,610,000	11,795,000	11,610,000	11,610,000	11,610,000	11,610,000	11,610,000
Beginning Cash Balance	26,329,598	39,726,061	44,907,928	44,682,080	45,287,732	43,287,732	41,287,732
Revenues	14,484,578	6,986,969	5,573,238	4,544,014	8,000,000	8,000,000	8,000,000
Expenditures	1,088,115	1,805,102	5,799,086	3,938,362	10,000,000	10,000,000	10,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	39,726,061	44,907,928	44,682,080	45,287,732	43,287,732	41,287,732	39,287,732
Encumbrances	275,390		1,678,360	5,621,821			
Unencumbered Cash Balance	39,450,671	44,907,928	43,003,720	39,665,910	43,287,732	41,287,732	39,287,732

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Environmental Management Special Fund
 Legal Authority: Sec 342G-63 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 348 H

Intended Purpose: Fund statewide education, demonstration, market development programs; provide training for municipal solid waste operators; & fund glass recovery programs. Amended by Act 173/00 to collect motor vehicle tire surcharge (Sec 342I-B HRS) & fines or penalties for violations pursuant to Sec 342I-J HRS. Amended by Act 176/02 to fund statewide beverage container redemption & recycling program in a separate special fund S 313 H 372, the Deposit Beverage Container Special Fund.

Source of Revenues: By statute, three separate revenue sources to be used solely for respective functions: advance glass disposal fee; solid waste disposal surcharge (tipping fee); and tire surcharge fee.

Current Program Activities/Allowable Expenses: Provide technical assistance to counties; municipal solid waste landfill permitting, closure review, groundwater monitoring, inspection and enforcement. Administer county glass recovery grant, conduct public outreach and administer demonstration projects. Pursuant to Act 173/00, for the period 1/1/00 to 12/31/05, moneys may be used to support permitting, monitoring and enforcement activities; promote market development and reuse for recovered motor vehicle tires, reuse through education, research etc.; support program for illegal dumping, clean-ups and the like. Conduct recycling education and demonstration projects; promote recyclable market development activities; hire personnel to oversee implementation of the program; and fund associated office expenses.

Purpose of Proposed Ceiling Increase (if applicable): Add one (1.00) permanent Environmental Health Specialist IV position and one (1.00) permanent Planner IV position to enforce State glass container recovery and recycling regulations and improve implementation of the Glass Advance Disposal Fee Program.

Variances: Revenue from solid waste disposal surcharge (tipping fees) and advance glass disposal fees fluctuates with economic conditions, e.g. construction activities. Revenues are estimated at same level throughout; decreasing due to diverting waste from landfills, but increasing due to more construction activities.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,205,787	5,158,521	5,219,475	2,279,626	2,294,202	2,378,935	2,463,668
Beginning Cash Balance	2,418,900	2,205,757	2,066,273	2,371,788	2,502,471	2,551,266	2,600,060
Revenues	1,309,842	1,466,242	1,430,540	1,479,334	1,479,334	1,479,334	1,479,334
Expenditures	1,522,985	1,605,726	1,125,025	1,348,650	1,430,540	1,430,540	1,430,540
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	2,205,757	2,066,273	2,371,788	2,502,471	2,551,266	2,600,060	2,648,854
Encumbrances	163,440	240,027	505,444	263,653	169,466	169,466	169,466
Unencumbered Cash Balance	2,042,317	1,826,246	1,866,344	2,238,818	2,381,800	2,430,594	2,479,388

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: Clean Air Special Fund
 Legal Authority: Sec 342B-32 HRS

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) B
 Appropriation Acct. No. S 349 H

Intended Purpose: Establish and collect fees from covered, noncovered and agricultural burning permit sources.

Source of Revenues: Permit fees from covered (major) and noncovered (minor) sources of air pollution.

Current Program Activities/Allowable Expenses: Develop and administer the air program and the permitting, monitoring and enforcement requirements pursuant to Title V of the Clean Air Act.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: 1) Revenues were lower in FY 14, since the Clean Air Branch (CAB) extended the deadline for larger facilities to submit their fees, which thus increased revenue received in FY 15 and FY 16. This extension was granted due to the implementation of the program's Emissions Inventory Program in August 2014. 2) New greenhouse gas (GHG) rules were implemented in FY 14, with new fees effective 1/1/15. The new GHG fees increased revenue by about \$1 million in FY 16, with half that amount of increase in FY 15. 3) Variance in FY16 to FY17 revenue is due to the timing of the submittal and processing of larger covered source annual fees. Annual fees are normally due 120 days after the end of the calendar year. However, the CAB may grant extensions on a case by case basis. As such, certain annual fees may not be received and processed until after July 1, in the next State FY. 4) It is anticipated that overall revenue will be decreasing in future years due to the increasing use of renewable energy, such as solar and wind. Expenditures will steadily increase with the continued establishment and filling of eight positions, which commenced after approval of reorganization, as well as salary increases, step movements, and inflation.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,284,243	4,324,560	4,681,831	5,294,739	5,384,424	5,384,424	5,384,424
Beginning Cash Balance	10,182,864	7,438,342	7,798,602	8,301,210	9,791,145	10,695,177	11,262,849
Revenues	448,438	3,328,550	4,385,376	5,031,725	4,800,000	4,600,000	4,400,000
Expenditures	3,192,960	2,968,290	3,882,768	3,541,790	3,895,969	4,032,327	4,173,459
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	7,438,342	7,798,602	8,301,210	9,791,145	10,695,177	11,262,849	11,489,391
Encumbrances	148,813	50,316	108,078	405,516	405,516	405,516	405,516
Unencumbered Cash Balance	7,289,529	7,748,286	8,193,132	9,385,629	10,289,661	10,857,333	11,083,875

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Clean Water Branch
 Legal Authority: Act 134/SLH 2013

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) U
 Appropriation Acct. No. S 362 H

Intended Purpose: Comply with federal mandates of Environmental Protection Agency Clean Water Act that regulates protection of the environment and public health.

Source of Revenues: State Department of Transportation

Current Program Activities/Allowable Expenses: Program development, technical assistance, permitting, enforcement, data management, and regulation of permitted water pollution sources.

Purpose of Proposed Ceiling Increase (if applicable):

Variances: For expenditures, due to delays in establishing and filling new positions in FY 13 and FY 14 and one position vacancy for part of FY 15. For revenues, due to timing of reimbursement from DOT.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	174,454	174,454	174,454	174,454	235,454	235,454	235,454
Beginning Cash Balance	43,613	36,778	60,600	49,136	67,288	67,288	67,288
Revenues	89,190	112,666	156,648	188,951	235,454	235,454	235,454
Expenditures	96,025	88,844	168,112	170,798	235,454	235,454	235,454
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	36,778	60,600	49,136	67,288	67,288	67,288	67,288
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	36,778	60,600	49,136	67,288	67,288	67,288	67,288

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Early Childhood Obesity Special Fund
 Legal Authority: Act 106, SLH 2012

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) B
 Appropriation Acct. No. S 363 H

Intended Purpose: The purpose of these funds is to: 1) collect and analyze Hawaii specific early childhood obesity data to identify children at risk; 2) increase awareness of the health implications of early childhood obesity; and 3) promote best practices throughout community-based initiatives to improve healthy life choices.

Current Program Activities/Allowable Expenses: Funds will be used for obesity prevention programs such a training to child care providers, developing community-based

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: A biennium budget request to eliminate the appropriation ceiling commencing in FY 2016 was submitted by the Department of Health.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	0	0	0	0	0
Beginning Cash Balance	173,428	24,642	24,642	0	0	0	0
Revenues	700	0	0	0	0	0	0
Expenditures	149,486	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS0254 dated 07/23/2015			(24,642)				
Net Total Transfers	0	0	(24,642)	0	0	0	0
Ending Cash Balance	24,642	24,642	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	24,642	24,642	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Medical Marijuana Registry Special Fund
 Legal Authority: HRS Sect 321-59 (Act 177/SLH 2013)

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) B
 Appropriation Acct. No. S 364 H

Intended Purpose: (1) To offset the cost of the processing and issuance of patient registry identification certificates and primary caregiver registration certificates; (2) To fund positions authorized by the legislature; (3) To establish and manage a secure and confidential database; and (4) For any other expenditure necessary, as authorized by the legislature, to implement a medical marijuana registry program.

Source of Revenues:

Medical marijuana registration fee of no more than \$35

Current Program Activities/Allowable Expenses:

The Medical Marijuana (MMJ) Registry program is currently being set up and transitioning from the Department of Public Safety to Department of Health. Staff are being hired and training and system are being installed to take over the registry program effective 1/1/15.

Variations: FY14 is the first year of the appropriation but there was no expenditure. FY15 is when the MMJ Registry Program became operational and has expenditures. Beginning with FY16, the revenue increase with the increase of number of patient registry due to the opening of marijuana dispensary. The increase continue in FY17 and is anticipated to stabilize from FY18 forward.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	150,000	494,869	331,869	518,226	662,761	726,850	726,850
Beginning Cash Balance		328,037	530,799	669,038	897,384	984,695	1,007,917
Revenues	0	192,431	532,478	682,893	750,072	750,072	750,072
Expenditures	0	190,915	394,239	454,547	662,761	726,850	726,850
Transfers	328,037						
JS4116 dtd 01/22/2015		201,246					
Net Total Transfers	328,037	201,246	0				
Ending Cash Balance	328,037	530,799	669,038	897,384	984,695	1,007,917	1,031,139
Encumbrances	0	67,743	5,444	75,029			
Unencumbered Cash Balance	328,037	463,056	663,594	822,354	984,695	1,007,917	1,031,139

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: OHCA SF - Civil Monetary Penalties (CMP)
 Legal Authority: Act 071, SLH 2015

Contact Name: Keith Ridley
 Phone: 692-7227
 Fund type (MOF) B
 Appropriation Acct. No. S 374 H

Intended Purpose: The purpose of this special fund is for the deposit of federal civil monetary penalty (CMP) moneys received from the U.S. Department of Health and Human Services' Centers for Medicare and Medicaid Services (CMS). Moneys in the CMP Special Fund shall be used by the Department of Health as approved by CMS to support activities that benefit patients or residents who use services at Medicare certified health care facilities or agencies.

Source of Revenues: Revenues are from federal fines assessed against Medicare certified facilities or agencies during federal certification surveys conducted by the Department of Health's Office of Health Care Assurance (OHCA) on behalf of CMS.

Current Program Activities/Allowable Expenses:

OHCA has the responsibility of managing the State licensure and Federal certification of medical and health care facilities, agencies and services provided throughout the State in order to ensure acceptable standards of care are provided. CMP funds, upon approval of CMS, are to support activities that benefit that benefit patients or residents who use services at Medicare certified health care facilities or agencies. These activities can be for educational or quality of care improvement activities conducted by individual or groups of facilities or agencies that ultimately benefit the patients or residents.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: OHCA has received increased CMP assessments from CMS. Expenditures are grant awards as approved by OHCA and CMS.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			30,000	15,000	50,000	50,000	50,000
Beginning Cash Balance		0	98,832	141,286	258,910	273,910	288,910
Revenues				117,624	20,000	20,000	15,000
Expenditures				0	5,000	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			42,454				
Net Total Transfers	0	0	42,454	0	0	0	0
Ending Cash Balance	0	0	141,286	258,910	273,910	288,910	298,910
Encumbrances							
Unencumbered Cash Balance	0	0	141,286	258,910	273,910	288,910	298,910

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Chapter 42F
 Legal Authority Act 191 SLH 2010

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) B
 Appropriation Acct. No. S 374 H

Intended Purpose: Chapter 42F Grant in aid.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Account closed

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	198,218	198,218	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4652 dtd 3/4/16			(198,218)				
Net Total Transfers	0	0	(198,218)	0	0	0	0
Ending Cash Balance	0	198,218	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	198,218	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Health Aging Partnership
 Legal Authority: Act 191 SLH 2010

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) B
 Appropriation Acct. No. S 381 H

Intended Purpose: Healthy aging.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Account closed

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	0	5,570	5,570	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS4652 dtd 3/4/16			(5,570)				
Net Total Transfers	0	0	(5,570)	0	0	0	0
Ending Cash Balance	0	5,570	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	5,570	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: Medical Marijuana Registry and Regulation Special Fund
 Legal Authority: Section 321-30.1, HRS

Contact Name: Keith Ridley
 Phone: 692-7227
 Fund type (MOF) B
 Appropriation Acct. No. S 397 H

Intended Purpose:

Pursuant to Act 241, SLH 2015, the purpose of this special fund is for the deposit of licensing fees and other related revenue from the medical marijuana dispensary licensing program.

Source of Revenues: Licensing of medical marijuana dispensaries

Current Program Activities/Allowable Expenses:

OHCA has the responsibility of licensing the medical marijuana dispensaries in the state and ensuring compliance with and pursuant to Act 241, SLH 2015. Currently, funds are to be used for the implementation and on-going operations of a regulated statewide dispensary system for medical marijuana.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			750,000	750,000	890,000	890,000	890,000
Beginning Cash Balance		0	0	1,361,141	1,503,241	1,013,241	523,241
Revenues			1,605,000	727,733	400,000	400,000	400,000
Expenditures			243,859	585,633	890,000	890,000	890,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,361,141	1,503,241	1,013,241	523,241	33,241
Encumbrances				116,616			
Unencumbered Cash Balance	0	0	1,361,141	1,386,625	1,013,241	523,241	33,241

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Comprehensive STD Prevention Projects
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL 111-148

Contact Name: Philip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 500 H (FY14-20)

Intended Purpose:

To prevent and reduce the incidence of STD in the State of Hawaii

Source of Revenues:

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses:

STD disease intervention and detection

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The variance between FY14 and FY15 was due to several vacancies in FY15.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	344,154			380,079	390,000	390,000	390,000
Beginning Cash Balance		13,454	11,713	12,560	56	56	56
Revenues	405,237	353,184	327,875	372,000	386,146	386,146	386,146
Expenditures	391,783	354,925	327,029	384,504	386,146	386,146	386,146
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	13,454	11,713	12,560	56	56	56	56
Encumbrances		151		70			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOH
Prog ID(s): HTH131DJ
Name of Fund: See below
Legal Authority: See below

Contact Name: John Istvan
Phone: (808) 587-6592
Fund type (MOF): P
Appropriation Acct. No. S-501-H

S-14-501-H

Name of Fund: HI's Epidemiology & Laboratory Capacity for Infectious Diseases
Legal Authority: 42 USC 241, 31 USC 6305, 42 CFR 52

Intended Purpose: Develop, coordinate, implement, and evaluate the statewide Hawaii Adult Viral Hepatitis Prevention Program (AVHPP) in accordance with CDC's recommendations and guidelines, as well as the US DHHS 2014 Viral Hepatitis Action Plan.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to pay for the Viral Hepatitis Prevention Coordinator and travel expenses.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable

S-15-501-H

Name of Fund: HI's Epidemiology & Laboratory Capacity for Infectious Diseases
Legal Authority: 42 USC 241, 31 USC 6305, 42 CFR 52

Intended Purpose: The ELC grant funds are used to improve and protect the health of Hawaii's citizens and visitors by providing DOH the tools and skills to improve surveillance for and respond to infectious diseases and other public health threats.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to maintain personnel to accomplish key objectives for the ELC grant and support and improve the following capacities to detect, mitigate, and prevent infectious diseases for the population of Hawaii: epidemiology, laboratory, and health information systems. Additional funding in FY 17 supports arboviral response, including Zika virus, as well as, addressing antimicrobial resistance and

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures is generally due to variances in award receipt date, components, amounts, and timing of payments.

S-17-501-H

Name of Fund: Enhanced Testing to Improve Hawaii's Hepatitis B and C Care Cascades
Legal Authority: AWARD AUTHORITY NOT DEFINED FOR PS10-10136.NU2G

Intended Purpose: Develop, coordinate, implement, and evaluate the statewide Hawaii Adult Viral Hepatitis Prevention Program (AVHPP) in accordance with CDC's recommendations and guidelines, as well as the US DHHS 2014 Viral Hepatitis Action Plan.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to pay for the Viral Hepatitis Prevention Coordinator and travel expenses.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOH
 Prog ID(s): HTH131DJ
 Name of Fund: See below
 Legal Authority: See below

Contact Name: John Istvan
 Phone: (808) 587-6592
 Fund type (MOF) P
 Appropriation Acct. No. S-501-H

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	1,500,000	1,500,000	4,000,000	4,000,000	4,000,000	4,000,000
Beginning Cash Balance	163,304	3,038	30,050	37,291	2,275	2,275	2,275
Revenues	955,794	892,127	1,139,461	2,250,593	4,000,000	4,000,000	4,000,000
Expenditures	955,671	865,115	1,132,220	2,285,609	4,000,000	4,000,000	4,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	163,427	30,050	37,291	2,275	2,275	2,275	2,275
Encumbrances		21,936	166,077	262,363			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Project Kealahou - A New Pathway for Girls
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 503 H

Intended Purpose: To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persiste

Source of Revenues: Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses:

Providing services to nurture positive relationships, emotional well-being and community connections for girls with mental illness.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and expenditures decreased from FY2014 to FY2015 due to the decrease in the award amount and activities.

The grant was in the no cost extension in FY2016-FY2017 and ended as of 9/29/2016.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,191,795	1,440,032	1,485,427	0	0	0	0
Beginning Cash Balance	0	48,994	29,396	21,527	(0)	(0)	(0)
Revenues	1,800,757	845,636	808,893	345,468	0	0	0
Expenditures	1,751,763	865,234	816,762	366,995	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0				
Ending Cash Balance	48,994	29,396	21,527	(0)	(0)	(0)	(0)
Encumbrances	141,794	180,253	45,842				
Unencumbered Cash Balance	(92,800)	(150,857)	(24,315)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Mental Health Data Infrastructure Grant of Quality Improvement
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 504 H

Intended Purpose: The purpose of the grant is to provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Hawaii's State Mental Health Data Infrastructure Grant for Quality Improvement

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for the continued collection of mental health client-level data elements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 revenue variance is attributed to the expedited receipt of grant funds due to a change in the contracted grant administrator.
 The FY 2017 expenditure variance is attributed to difficulties in filling the grant funded position.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	77,942	0	137,363	137,363	137,363	137,363	137,363
Beginning Cash Balance	0	48,193	146,008	188,910	275,003	272,366	269,729
Revenues	126,134	133,504	137,363	171,703	137,363	137,363	137,363
Expenditures	77,941	35,689	19,779	85,610	140,000	140,000	140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JS 1244 dated 9/9/15			(24,060)				
JS 6982 dated 6/28/16			(50,622)				
Net Total Transfers	0	0	(74,682)	0	0	0	0
Ending Cash Balance	48,193	146,008	188,910	275,003	272,366	269,729	267,092
Encumbrances	48,193	146,008	1,940	38			
Unencumbered Cash Balance	0	0	186,970	274,965	272,366	269,729	267,092

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Systems Development Initiative (SSDI)
 Legal Authority: SSA, Title V, Section 502(a)(1), as amended; 42 USC 702

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) P
 Appropriation Acct. No. S 505 H

Intended Purpose: The purpose of this grant is to improve data analysis capacity for programs. The grant focuses on the development of key MCH datasets through the establishment of the data linkages between birth records and agency data (including Medicaid files and hospital discharge data), as well as improving access to data from surveys and registries. The analysis of the data will open new paths to investigate health problems and to evaluate programs and policies for more effective Title V MCH program planning in Hawaii.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Data collection, analysis, and publication related to the MCH population are carried out on an ongoing basis. These data are used for continuing needs assessment, annual performance/outcome measurement, program planning and evaluation, and policy development. Currently, data linkages have been made between infant birth and death certificates, birth records and newborn screening files, and birth records and WIC records. Direct access to key MCH survey and registry data has also been achieved. Annual data reports are developed and disseminated to policy makers and MCH stakeholders.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2017-18 is due to a projected increase in the grant award for FY 2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	99,984	105,992	105,992	105,992	105,992	105,992	105,992
Beginning Cash Balance	0	1,366	1,419	1,317	2	2	2
Revenues	86,313	94,380	91,134	90,425	100,000	100,000	100,000
Expenditures	84,947	94,327	91,236	91,740	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,366	1,419	1,317	2	2	2	2
Encumbrances	5,817	6,904	2,418	350	6,000	6,000	6,000
Unencumbered Cash Balance	(4,451)	(5,485)	(1,101)	(348)	(5,998)	(5,998)	(5,998)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: National Cancer Prevention & Control Program
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S 506 H

Intended Purpose: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured; Develop and implement statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation; coordination and integration between HBCCCP, HCCCP, and Coordinated Chronic Disease efforts.

Source of Revenues: Centers for Disease Control, Division of Cancer Prevention and Control

Current Program Activities/Allowable Expenses: Cancer screening, diagnostics, monitoring, followup, treatment, education, and outreach; maintain coalitions, surveillance and implementation of the State Plan; support advocacy and awareness initiatives; gain efficiency and enhance effectiveness of cancer prevention and control activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Grant ended 6/29/17

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,338,281	1,295,578	1,437,431	1,288,234			
Beginning Cash Balance	0	13,125	10,358	11,015			
Revenues	821,917	1,235,080	1,080,900	799,588			
Expenditures	808,792	1,237,847	1,080,243	810,556			
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	13,125	10,358	11,015	47	0	0	0
Encumbrances	510,211	342,362	388,696	577,469			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information: Project ended 6/29/2017; New project period 6/30/2017 - 6/29/2022; NOA received 7/20/2017 for 1st year; new appropriation S-17-604 for FY 2018 and beyond.

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Non-General Fund Information
Chronic Disease Prevention and Health Promotion Division
National Cancer Prevention and Control Programs**

INTENDED PURPOSE

1. National Cancer Prevention and Control Programs
 - a. Breast and Cervical Cancer Control Program: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured. Funds for treatment of women who are diagnosed with cancer in this program are available through a federal and state breast and cervical cancer treatment
 - b. Comprehensive Cancer Control Program: Develop and implement a statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation.
 - c. Management, Leadership and Coordination: Enhance capacity for coordination and integration between HBCCCP, HCCCP, and Coordinated Chronic Disease efforts.

SOURCE OF REVENUES:

1. National Cancer Prevention and Control Programs (a, b, and c): CDC, Division of Cancer Prevention and Control.

CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:

1. National Cancer Prevention and Control Program
 - a. Breast and Cervical Cancer Control Program: Contract for statewide cancer screening and diagnostic services; monitor service providers for appropriate and timely follow-up and treatment; provide professional education to service providers and community outreach workers; provide public education materials and activities to promote early detection services; assure that women receive high quality and appropriate care through the program; maintain surveillance of population trends in the state to help plan outreach activities.
 - b. Comprehensive Cancer Control Program: Maintain the Hawaii Comprehensive Cancer Coalition; maintain the Comprehensive Cancer Surveillance System; disseminate the State Cancer Plan; maintain and facilitate the action teams identified in the State Plan to foster implementation of the plan. Support Cancer Advocacy and Awareness Initiatives.
 - c. Management, Leadership and Coordination: Collaborate across cancer control programs, and with other chronic disease programs to gain efficiency and enhance effectiveness of cancer prevention and control activities: 1) Enhance coordination and integration of long-standing cancer activities across the HCCCP and BCCCP and with other chronic disease programs; and 2) Encourage innovation to achieve greater outcomes in areas of policy, systems, and environmental change, quality-clinical preventive services, surveillance, community-clinical linkages and health-systems change.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: State Lead Program Grants
 Legal Authority Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 507 H

Intended Purpose: Implement lead-based training and certification program pursuant to Toxic Substances Control Act, Title IV, Sections 402 and 404

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, enforcement, training, certification

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling for P-Other Federal Funds to match anticipated award amounts on Form FF for Toxic Substances Control Act (TSCA) Compliance Monitoring (asbestos) and State Lead grants.

Variances: For FY17, lower expenditures due to one vacant position being redescribed to implement reorganization that was acknowledged 3/14/17. Higher award anticipated in future years for full funding of the redescribed position.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	319,012	209,519	220,000	220,000	268,600	268,600	268,600
Beginning Cash Balance	0	11,014	11,963	12,247	29,010	29,010	29,010
Revenues	233,249	212,127	226,338	202,547	268,600	268,600	268,600
Expenditures	222,235	211,178	226,054	185,785	268,600	268,600	268,600
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	11,014	11,963	12,247	29,010	29,010	29,010	29,010
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
Prog ID(s): HTH 710
Name of Fund: Food Emergency Response Network Cooperative Agreement Program
Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
Phone: 453-6667
Fund type (MOF): P
Appropriation Acct. No. S-14-508-H (FY14-15)
S-16-508-H (FY16-18)

Intended Purpose: This Cooperative Agreement grant will enhance and expand Department's laboratory capacity and capabilities to detect microbiological threat agents in foods, develop screening technologies and to provide timely lab response to a local or nationwide foodborn pathogens.

Source of Revenues: Dept. of Health & Human Services (DHHS), Food & Drug Administration (FDA), Food Emergency Response Network (FERN)

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The decrease in revenues for FY16 is due to decrease in salaries and wages result of one vacant position.

The fluctuations in expenditures are due to extended service agreements for the purchased equipment.

The increase in revenue and expenditures from FY16 to FY17 is due the the filling of the one vacant position.

The

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	330,322			300,000	300,000	300,000	300,000
Beginning Cash Balance	0	6,704	6,942	6,355	9,587	9,587	9,587
Revenues	212,886	229,873	199,858	269,148	257,000	257,000	257,000
Expenditures	206,182	229,635	200,445	265,915	257,000	257,000	257,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	6,704	6,942	6,355	9,587	9,587	9,587	9,587
Encumbrances		9,658	3,952	0			
Unencumbered Cash Balance	6,704	(2,716)	2,403	9,587	9,587	9,587	9,587

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: Title XVIII (Medicare)
 Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Keith Ridley
 Phone: 692-7227
 Fund type (MOF) P
 Appropriation Acct. No. S 509 H

Intended Purpose:

To complete federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress.

Current Program Activities/Allowable Expenses:

Perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,519,721	1,674,477	1,286,080	1,485,239	1,485,239	1,485,239	1,485,239
Beginning Cash Balance	0	249,370	100,745	134,626	145,331	145,331	145,331
Revenues	1,170,862	1,025,161	1,222,821	1,246,349	800,000	800,000	800,000
Expenditures	921,492	1,173,786	1,188,940	1,235,644	800,000	800,000	800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	249,370	100,745	134,626	145,331	145,331	145,331	145,331
Encumbrances	42,864	79,336	69,092	75,145			
Unencumbered Cash Balance	206,506	21,409	65,534	70,186	145,331	145,331	145,331

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Core Violence & Injury Prevention Program
 Legal Authority: SEC 301, 317, & 319A 42USC241, 247B & 280B-B3

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF): P
 Appropriation Acct. No. S-14-510 H, S-17-510 H

Intended Purpose: Provide support to increase effectiveness in reducing and preventing the leading cause of injuries.

Source of Revenues: Dept of Health & Human Services Centers for Disease Control & Prevention National Center for Injury Prevention & Control.

Current Program Activities/Allowable Expenses: Coordination & integration of injury prevention & surveillance efforts/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	215,328	325,255	252,997	750,000	250,000	250,000	250,000
Beginning Cash Balance	0	2,964	2,866	3,233	21	(0)	(0)
Revenues	193,037	109,659	146,338	147,867	309,936	250,000	250,000
Expenditures	190,073	109,758	145,971	151,080	309,957	250,000	250,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,964	2,866	3,233	21	(0)	(0)	(0)
Encumbrances	4,509	37,602	36,336	59,957	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2017 Beginning Balance: MBP477-A OPTION:2, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2017 Encumbrances (Unliquidated Balance): MBP490-A, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenue for FY 2018 is equal to the amount in the notice of grant award for FY 2018 plus the remaining encumbrance for FY 2017 minus the beginning cash balance for FY 2018. The estimated expenditure for FY 2018 is equal to fully expending the amount in the notice of grant award for FY 2018 and the remaining encumbrance for FY 2017. The estimated expenditure for FYs 2018-20 is equal to fully expending the amount in the anticipated notice of grant award for each year.

Note 4: In FYs 2011 through FY 2013, this grant was reported with other grants in appropriation S 241 H, MOF "N." The supplemental budget for FY 15, ACT 122, SLH 2014, includes an appropriation of \$150,000 for this grant that aligns with the anticipated federal award of \$150,000 per year. The budget for FY 15-17 includes an increase in the ceiling in FY 2017 to include the anticipated award for the anticipated project period 08/01/2016 -07/31/2021 (\$150,000 x 5 years = \$750,000). The actual award amount for 08/01/2016-07/31/2017 is \$250,000. The amount recommended for each year is \$250,000. Governor Approval to add \$500,000 to ceiling of \$750,000 is dated 10/11/16. In the budget for FY 2017-19, the ceiling was adjusted to \$250,000 for FY 2018 and for FY 2019.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Comprehensive HIV Prevention Projects
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL 111-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 511 H (FY14-20)

Intended Purpose:

To prevent and reduce the incidence of STD in the State of Hawaii

Source of Revenues:

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses:

HIV counseling and testing, education and risk reduction

Purpose of Proposed Ceiling Increase (if applicable):

Variances: From FY17, a major part of the grant was removed and the award has significant been decreased.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,264,441	798,881	755,416	755,416	755,416
Beginning Cash Balance		1,841	30,105	23,096	47	47	47
Revenues	1,400,627	1,374,263	1,279,607	869,524	755,416	755,416	755,416
Expenditures	1,439,434	1,345,999	1,286,616	892,573	755,416	755,416	755,416
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	(38,806)	30,105	23,096	47	47	47	47
Encumbrances		340,389	1,852	77,783			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Beach Monitoring and Notification Program
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 512 H

Intended Purpose: Implement State's beach monitoring and notification program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, technical assistance, monitoring, risk assessment, enforcement, disease surveillance and investigation, laboratory capabilities and certification, public response and notification, data management and reporting

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to workplan.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	807,024	312,000	328,000	328,000	324,450	278,250	278,250
Beginning Cash Balance	0	11,976	6,916	6,934	46,252	46,252	46,252
Revenues	264,620	294,237	238,850	362,323	324,450	278,250	278,250
Expenditures	252,644	299,297	240,547	323,005	324,450	278,250	278,250
Transfers							
List each net transfer in/out; list each account number							
Various JVs			1,715	0			
Net Total Transfers			1,715	0			
Ending Cash Balance	11,976	6,916	6,934	46,252	46,252	46,252	46,252
Encumbrances	63,813	3,150	74,889	288,489			
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 849 FA
 Name of Fund: Exchange Network
 Legal Authority: P.L. 111-88; 40 CFR Part 3

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 513 H

Intended Purpose: Develop and implement various data systems for Environmental Health Administration programs

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, reporting, and technical assistance

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has multi-year award periods and expenditures vary according to workplan. Starting in FY16, a change in EPA guidance on allowable costs for this grant program has led to delayed pace of payroll expenditures that may be charged to this grant. In FY17, new budget execution policies and requirements for approvals by ETS and within DOH have delayed project initiation, resulting in lower expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	460,262	300,000	315,000	315,000	315,000	330,750	330,750
Beginning Cash Balance	0	8,220	4,066	3,955	8,113	8,113	8,113
Revenues	239,496	249,399	42,332	128,187	315,000	330,750	330,750
Expenditures	231,276	253,553	42,443	124,029	315,000	330,750	330,750
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	8,220	4,066	3,955	8,113	8,113	8,113	8,113
Encumbrances	5,464	8,675	276,981	225,000			
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Senior Medicare Patrol Project
 Legal Authority: 42 USC 3031-3037B

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 514 H

Intended Purpose: Empowers seniors through increase in awareness and understanding of healthcare programs. This knowledge is used to protect from the economic and health related consequences of Medicare fraud, error, and abuse.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	171,438	663,668	488,450	4,524,801	4,524,801	4,524,801	4,524,801
Beginning Cash Balance	0	0	6,331	6,925	8,261	9,597	0
Revenues	154,577	181,549	204,318	224,502	224,502	242,104	242,104
Expenditures	154,577	175,218	203,724	223,166	223,166	251,701	242,104
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	6,331	6,925	8,261	9,597	0	0
Encumbrances	329		1,540	38,355			
Unencumbered Cash Balance	(329)	6,331	5,385	(30,094)	9,597	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: HIV/AIDS
 Legal Authority: Public Law 112-74, Patient Protection and Affordable Care Act, PL 111-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 515 H (FY14-20)

Intended Purpose:

To prevent and reduce the incidence of HIV/AIDS in the State of Hawaii

Source of Revenues:

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses:

HIV/AIDS surveillance

Purpose of Proposed Ceiling Increase (if applicable):

Variances: The variance in revenues and expenditures between FY 2017 and FY 2018 is due to a projected increase in the grant award for FY2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				255,297	312,870	312,870	312,870
Beginning Cash Balance		6,148	6,118	6,622	40	40	40
Revenues	188,998	193,778	201,318	230,415	312,870	312,870	312,870
Expenditures	182,850	193,808	200,814	236,998	312,870	312,870	312,870
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	6,148	6,118	6,622	40	40	40	40
Encumbrances		800					
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Prospective Comparison of TST vs. IGRAs
 Legal Authority: Public Law 112-74, Patient Protection and Affordable
 Care Act, PL 111-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 516 H (FY14-20)

Intended Purpose: Compare the performance of Interferon Gamma Release Assays (IGRAs) and Tuberculin Skin Test (TST) in a large and heterogeneous cohort of patients in order to identify the method that best predicts progression to TB disease, overall and in important subgroups of patients.

Source of Revenues: U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: Conducting a study to compare TST and IGRAs in diagnosing Latent Tuberculosis Infection (LTBI) and in predicting progression from LTBI to TB disease.

Variances: The variance in revenues and expenditures between FY 2014-15 is due to an increase in the grant award for FY15. The variance in revenues and expenditures between FY2015-16 is due to not fully spending the grant funds in FY16. The program was able to expend more grant funds in FY17, compare to FY16, and estimates increased expenditure again in FY18 and FY19. There is also a significant projected decrease in the award in FY2020.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				472,403	474,058	477,750	194,079
Beginning Cash Balance		20,784	107,163	144,001	197,823	197,823	197,823
Revenues	303,449	438,206	340,794	379,236	474,058	477,750	194,079
Expenditures	282,665	351,827	303,956	325,414	474,058	477,750	194,079
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	20,784	107,163	144,001	197,823	197,823	197,823	197,823
Encumbrances		1,545	0	0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Hansen's Disease National Ambulatory Care Program
 Legal Authority: P.L. 99-117

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 518 H (FY14-20)

Intended Purpose:

To prevent and reduce the incidence of Hansen's Disease (HD)

Source of Revenues:

U.S. Dept. of Health & Human Services/*Community Program Reimbursement

Current Program Activities/Allowable Expenses:

HD services include medical, nursing, laboratory, educational, social, and rehabilitative services.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,096,993	1,065,157	1,065,157	1,065,157	1,065,157	1,065,157	1,065,157
Beginning Cash Balance		59,649	95,998	96,865	21,763	832,381	1,642,999
Revenues	738,038	937,767	911,241	898,523	1,810,618	1,810,618	1,810,618
Expenditures	923,045	901,418	910,374	973,625	1,000,000	1,000,000	1,000,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	(185,007)	95,998	96,865	21,763	832,381	1,642,999	2,453,617
Encumbrances				0			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii Tobacco State Enforcement Contract
 Legal Authority: HHSF223201110148C

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 520 H

Intended Purpose: To ensure states maintain compliance with and enforce certain provisions of the Federal Tobacco Control Act regulations (21 CFR, Part 1140).

Source of Revenues: Department of Health and Human Services -Food and Drug Administration (FDA)

Current Program Activities/Allowable Expenses: Conduct unannounced tobacco inspections of retail outlets for compliance with respect to federal regulations,

Purpose of Proposed Ceiling Adjustment (if applicable): Request to increase the appropriation ceiling due to increase in new contract award.

Variances: Prior three year contract from 09/30/14 to 09/29/17. New three year contract from 09/30/17 to 09/29/20. FY16 and FY17 variance is due to the delayed contract modification execution in FY16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	285,518	355,876	386,603	386,603	386,603	386,603	386,603
Beginning Cash Balance	0	12,997	86,189	23,259	10,240	10,240	10,240
Revenues		298,118	176,980	350,310	501,754	523,741	548,733
Expenditures		224,926	194,910	363,330	501,754	523,741	548,733
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
JS7135 dated 06/30/2016			(45,000)				
Net Total Transfers	0	0	(45,000)	0	0	0	0
Ending Cash Balance	0	86,189	23,259	10,240	10,240	10,240	10,240
Encumbrances		131,388	257,094	150,253			
Unencumbered Cash Balance	0	(45,199)	(233,835)	(140,013)	10,240	10,240	10,240

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Primary Care Office
 Legal Authority: Sections 330(k), 330(m), and 333(d) of the PHS Act

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) P
 Appropriation Acct. No. S 521 H

Intended Purpose: The goal of this grant is to improve primary care service delivery and workforce availability to meet the needs of underserved populations in Hawaii. Primary partners in this endeavor include the Bureau of Health Professions and Clinician and Recruitment Services of HRSA, DHHS; FHSD; Health Resources Administration, Hawaii State Department of Health, and the Hawaii Primary Care association (HPCA)

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: The program's five required overarching goals that strengthen the statewide primary care system are to: (1) Demonstrate organizational effectiveness and foster collaboration by establishing and maintaining public and private partnerships, participation in national conference calls initiated by the Bureau of Clinician and Recruitment Services, and the Shortage Designation Branch, convening of the Primary Care Provider Network forum, and attendance at required meetings held with the PCO Project Officer, PCO annual meeting, and HRSA's all grantee meeting throughout the project period; (2) Provide technical assistance to organizations/communities wishing to expand access to primary care for underserved populations; (3) Conduct primary care needs assessment for the production of the primary care needs assessment databook, and sharing data with the HPCA and other entities; (4) Facilitate workforce development for the National Health Service Corps (NHSC) and safety net/health center network through the evaluation and recommendation of recruitment and retention assistance applications, NHSC site monitoring to evaluate compliance with agreements, maintenance of an inventory of eligible NHSC placement sites with current site profiles, and facilitation of placement of NHSC providers according to needs of Health Center Network; and (5) submission of Health Professional Shortage Area designations and re-designation applications.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between in FY 2016-17 is due to an additional award amount during that budget year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	178,179	178,179	178,179	178,179	178,179	178,179	178,179
Beginning Cash Balance	0	5,983	5,377	5,556	53	53	53
Revenues	164,045	157,682	152,235	177,142	167,994	167,994	167,994
Expenditures	158,062	158,288	152,056	182,645	167,994	167,994	167,994
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,983	5,377	5,556	53	53	53	53
Encumbrances	9,015	954	1,520	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Maternal & Child Health Bureau Early Childhood Comprehensive Systems (ECCS)
 Legal Authority: SSA, Title V, Section 502(a)(1), as amended; 42 U.S.C. 702

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) P
 Appropriation Acct. No. S 522 H

Intended Purpose: The ECSS Grant is funded in order to accomplish the Maternal and Child Health Bureau's Strategic Plan for Early Childhood Health across all states. This implementation grant requires setting incremental goals and objectives for Hawaii to develop an early childhood comprehensive system. Achievement of outcomes in the following key areas are to be addressed: a) access to health insurance and medical homes; b) mental health and social-emotional development; c) early childcare and education; d) parent education; and family support.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses: Strategic management team consists of members from Hawaii's Departments of Health, Education, and Human Services, as well as early childhood stakeholders whom meet quarterly to provide oversight of implementation.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-15 is reflective of an increase in drawdowns and expenditures in FY 2015. The variance in revenues and expenditures between FY 2017 and beyond is due to a substantial increase in the ECCS grant awarded to the State.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	140,000	140,000	140,000	426,600	426,600	426,600	426,600
Beginning Cash Balance	0	3,630	4,450	3,434	42	42	42
Revenues	120,435	153,947	112,731	133,128	140,000	140,000	140,000
Expenditures	116,805	153,127	113,747	136,520	140,000	140,000	140,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	3,630	4,450	3,434	42	42	42	42
Encumbrances	6,225	302	25,203	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Office of Rural Health (SORH)
 Legal Authority: PHS Act, Title III, Section 338J

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) P
 Appropriation Acct. No. S 523 H

Intended Purpose: The grant program coordinates and implements activities to support priority health needs of Hawaii's rural communities.

Source of Revenues: US Department of Health and Human Services, Health Resources and Services Administration, Office of Rural Health Policy.

Current Program Activities/Allowable Expenses: The program allocates its resources towards the implementation of the following goals: (1) collect and disseminate information; (2) coordinate rural health care activities in state in order to avoid duplication; and (3) provide technical assistance to public and non-profit private entities.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. Variance in revenues and expenditures between FY 2015-16 is due to the draw-down of \$233,562 in grant funds to cover the liquidation of expenditures incurred in FY 2015, whereas in FY 2016, both revenues and expenditures are projected to be lower. The decrease in expenditures and revenues beginning in FY 2017 is due to this grant transferring to appropriation account S 218 H.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	0	3,988	23,978	4,171	0	0	0
Revenues	142,207	233,562	147,011	32,003	0	0	0
Expenditures	138,219	213,572	166,818	36,174	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,988	23,978	4,171	0	0	0	0
Encumbrances	38,535	20,546	15,165	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Rural Hospital Flexibility Program (FLEX)
 Legal Authority: Balanced Budget Act of 1997, Section 4201, PL 105-33

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) P
 Appropriation Acct. No. S 524 H

Intended Purpose: This grant program is a Federal initiative that provides funding to State Governments to strengthen rural health. This program: (1) Allows small hospitals the flexibility to reconfigure operations and be licensed as Critical Access Hospital (CAHs), (2) Offers cost-based reimbursement for Medicare acute and skilled inpatient and outpatient services, (3) Encourages the development of rural-centric health networks, (4) Offers grants to States to help implement a CAH program in the context of broader initiatives to strengthen the rural health care infrastructure.

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: The FLEX program works with Hawaii's nine CAHs on improving quality and performance, developing health information technology plans, and conversion to ICD-10; with rural communities on developing integrated systems of care; with the Emergency Medical Systems and Injury Prevention Branch on improving trauma care in rural areas; and providing community health needs assessments in rural areas.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 are being charged to the S 206 H parent account. The variance in revenues and expenditures between FY 2015-2016 is due to a decrease in draw-downs and expenditures occurring in FY 2016.

Revenues and expenditures increased in FY 2017 and are planned to increase again in FY 2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	437,240	437,240	437,240	437,240	437,240	437,240	437,240
Beginning Cash Balance	0	3,962	5,388	5,745	61	61	61
Revenues	263,022	431,576	252,779	375,654	419,316	419,316	419,316
Expenditures	259,060	430,150	252,422	381,338	419,316	419,316	419,316
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	3,962	5,388	5,745	61	61	61	61
Encumbrances	70,006	60,612	178,728	129,421	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Small Rural Hospital Improvement Grant (SHIP)
 Legal Authority: SSA, Section 1820(g)(3), 42 USC 1395i-4

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) P
 Appropriation Acct. No. S 525 H

Intended Purpose: This grant program is designed to help small rural hospitals comply with provision of the Patient Protection and Affordable Care Act. Grant monies may be used to prepare along three broad categories: (1) Value-Base Purchasing; (2) Accountable Care Organization/Shared Savings; and (3) Payment Bundling/PPS improvements.

Source of Revenues: US Department of Health and Human Services, Health Resource Services Administration, Office of Rural Health Policy

Current Program Activities/Allowable Expenses: Funds are used to provide HCAHPS satisfaction surveys and quality improvement and benchmark training for the CAHs and ICD-10 implementation for the eligible non-CAH hospital.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. The variance in expenditures between FY 2015-16 is mainly due to the addition of the outstanding encumbrances of \$8,786 from FY 2015 to the estimated expenditures for FY 2016. The variance in revenues and expenditures between FY 2017-2018 is due to an anticipated increase in expenditures for FY 2017 and an increase in drawdowns (revenues) as a result.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	94,500	94,500	94,500	94,500	94,500	94,500	94,500
Beginning Cash Balance	0	2,501	33	0	0	0	0
Revenues	36,500	77,419	62,193	67,172	90,000	90,000	90,000
Expenditures	34,000	79,887	62,226	67,172	90,000	90,000	90,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,501	33	0	0	0	0	0
Encumbrances	37,867	8,786	67,172	69,887	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Regional Genetics Networks
 Legal Authority: Social Security Act (SSA) Title V, Section 502(a)(1), as amended

Contact Name: William L. Aakhus
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 526 H

Intended Purpose: To plan, develop, and evaluate a regional practice model that improves access to specialty genetic services, comprehensive primary care, and care coordination for Hawaii, Idaho, and Oregon children with heritable conditions and to increase the capacity of Alaska, California, Hawaii, Idaho, Nevada, Oregon, Washington, and Guam genetics and newborn screening programs to perform their assessment.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) improve access to specialty metabolic services for children with metabolic conditions; 2) improve access to clinical genetic specialty services for children with metabolic conditions and congenital malformations; 3) improve access to comprehensive primary care; 4) improve access to public services; 5) develop strategies to monitor health outcomes; 6) promote third party reimbursement of genetic services; 7) assist Alaska to assess medical management and coordination needs; 8) assist Guam to develop a public health genetics plan; and 9) facilitate coordination between genetic services providers, families, primary care providers, state genetic programs workers to identify and implement strategies to increase the availability of pediatric subspecialty care for children with heritable conditions living outside urban areas.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. The variance in revenues and expenditures between FY 2016-17 is due to late contract execution date resulting in less draw down. Increased revenues and expenditures projected for FY 2018.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	750,000	750,000	750,000	750,000	750,000	750,000	750,000
Beginning Cash Balance	0	2,247	8,925	1,170	24	24	24
Revenues	21,754	525,841	580,562	483,628	600,000	600,000	600,000
Expenditures	19,507	519,163	588,317	484,774	600,000	600,000	600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,247	8,925	1,170	24	24	24	24
Encumbrances	451,228	469,569	215,791	222,232	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Universal Newborn Hearing Screening
 Legal Authority: Section 399M of the Public Health Service (PHS) Act, as amended

Contact Name: William L. Aakhus
 Phone: 733-9062
 Fund type (MOF) N
 Appropriation Acct. No. S 527 H

Intended Purpose: To further develop and refine the system of screening, assessment, and early intervention services for young children with hearing loss, so that all young children with hearing loss will reach developmentally appropriate milestones for language and communication.

Source of Revenues: U.S. Department of Health and Human Services (DHHS), Health Resources and Services Administration (HRSA)

Current Program Activities: These funds are used to: 1) complete implementation of universal newborn hearing screening program components by establishing a state advisory committee and an evaluation component; 2) improve existing components of screening, linkage with medical home, audiological assessment, data/tracking system, and professional and public educations; and 3) refine family support and early intervention services to meet the needs of young children with hearing impairment and their families.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Variance in revenues between FY 2016 and FY 2017 reflects the grant award. The variance in expenditures between FY 2016-2017 reflects an increase in personal services and other current expenditures for FY 2017.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000	450,000	450,000	450,000	450,000	450,000
Beginning Cash Balance	0	2,935	3,180	3,319	45	45	45
Revenues	130,441	131,750	123,542	167,629	200,000	200,000	200,000
Expenditures	127,506	131,505	123,403	170,903	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,935	3,180	3,319	45	45	45	45
Encumbrances	7,072	19,625	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Abstinence Only Education Grant (project: 000273)
 Legal Authority: SSA, Title V, Section 510

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF) P
 Appropriation Acct. No. S 528 H

Intended Purpose: To provide States with funding for additional tools to address the rates of teen pregnancy among those groups who are most likely to bear children out of wedlock.

Current Program Activities/Allowable Expenses: This 2 year abstinence education grant is no longer active. The intent of the grant was to build the sexual health teaching capacity on each of the six major islands using a Hawaii developed, abstinence-based teen pregnancy prevention and positive youth development curriculum. Capacity building activities included: on-line and in-person training by trainers; access to scripts, lesson plans and interactive tools; provision of an on-island health educator and technical assistance from the Department of Health; and ongoing community and administration coordination by the University of Hawaii at Manoa. Hawaii's Asian and Pacific Islander adolescents 11 to 13 years of age across the state and particularly on the neighbor islands will be the focal population.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. The variance in revenues and expenditures between FY 2016 and FY 2017 is due to the projected expenditure of the entire grant award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	0	34	0	0	11	11	11
Revenues	87,400	39,331	102,406	125,693	156,881	156,881	156,881
Expenditures	87,366	39,365	102,406	125,682	156,881	156,881	156,881
Transfers							
List each net transfer in/out/ or projection in/out; list each							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	34	0	0	11	11	11	11
Encumbrances	38,550	120,000	159,594	44,631	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000602)
 Legal Authority: Patient Protection and Affordable Care Act, P.L. 111-148

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF) P
 Appropriation Acct. No. S 529 H

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. This grant has multiple budget periods operating concurrently. The variance in revenues and expenditures between FY 2016 and FY 2017 is due to the appropriation changing to S 596 H.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,645,730	1,645,730	1,645,730	1,645,730	1,645,730	1,645,730	1,645,730
Beginning Cash Balance	0	244	5,807	45,775	0	20	20
Revenues	1,350,456	990,845	807,988	398,554	1,000,000	1,000,000	1,000,000
Expenditures	1,350,212	985,282	768,020	444,329	999,980	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	244	5,807	45,775	0	20	20	20
Encumbrances	431,209	194,066	352,632	44,996	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000629)
 Legal Authority: Patient Protection and Affordable Care Act, P.L. 111-148

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF) P
 Appropriation Acct. No. S 530 H

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN. This competitive grant award builds upon and expands the activities of the formula grant award. In addition to the HHVN development, these funds provide additional resources to support direct home visiting services to HHVN partner/providers.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance is due to grant ending on 9/30/2014.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,135,981	3,135,981	0	0	0	0	0
Beginning Cash Balance	0	16,325	0	0	0	0	0
Revenues	1,534,892	1,363,670	0	0	0	0	0
Expenditures	1,518,567	1,379,995	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	16,325	0	0	0	0	0	0
Encumbrances	1,432,744	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Sexual Violence Prevention and Education (project: 000278)
 Legal Authority: 42 USC 241, 243, 247b-4

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF): P
 Appropriation Acct. No.: S 531 H

Intended Purpose: To provide sexual violence primary prevention services through activities, such as education and workshops, that increase awareness of sexual violence and reduce attitudes that condone sexual violence; to collaborate with, plan with, and provide technical support to public and private agencies, community stakeholders, and community-based organizations; and to increase the capacity of community-based organizations to engage in sexual violence primary prevention initiatives, activities, and partnerships.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

The funding provides for a program coordinator position that is responsible for statewide sexual violence prevention efforts including collaboration, planning, and support, as well as monitoring and evaluation services, for the following:

- A. Convene and maintain a Sexual Violence Prevention Work Group that consists of public and private agencies.
- B. Implement the Sexual Violence Primary Prevention Plan.
- C. Gain and sustain public and private support for the Sexual Violence Primary Prevention Plan and its implementation by increasing awareness of such Plan among policy-makers, legislators, foundations, and local entrepreneurs in positions to provide funding or other resources for implementation.
- D. Provide technical assistance and support for state-wide sexual violence primary prevention community teams, consisting of various public and private agencies, individuals, and community stakeholders, in their efforts to (1) increase awareness of sexual violence and (2) reduce attitudes that condone sexual violence in their specific communities.
- E. Coordinate and collaborate with the Hawaii Coalition Against Sexual Assault to provide public awareness and prevention education activities in the community.
- F. Support private and public agencies to provide sexual violence primary prevention activities that (1) increase awareness of sexual violence and (2) increase understanding of healthy relationships through education to youth and young adults through trainings and technical assistance to youth-serving agencies.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances in revenues and expenditures from FY 2014 to FY 2016 is due to the figures being calculated on a cash basis in the state fiscal year. Each state fiscal year can include revenues and expenditures from multiple federal budget years. The variance in revenues between FY 2016 to FY 2017 is due to a projected increase in the grant amount.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	249,477	249,477	249,477	255,086	255,086	294,919	249,447
Beginning Cash Balance	0	2,797	4,900	2,948	7	7	7
Revenues	122,113	183,430	169,825	281,759	255,086	255,086	255,086
Expenditures	119,316	181,327	171,777	284,699	255,086	255,086	255,086
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,797	4,900	2,948	7	7	7	7
Encumbrances	4,275	4,836	120	129,095	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Disparities in Perinatal Health – Border Initiatives (project: 000286)
 Legal Authority: Sections 330(h) and 751 of the PHS Act, as amended

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF) P
 Appropriation Acct. No. S 532 H

Intended Purpose: To improve birth outcomes and other measures of perinatal, post-partum, infant and interconception health status among high-risk women residing in Hawai'i County. This is to be accomplished through the development and implementation of a model of care for pregnant and postpartum women and their infants which is specific to the county's disparate populations and which integrates best practices, resources, and cultural/clinical expertise of the program and its partners. This project is a collaborative effort of the Department of Health's MCHB and Hawaii District Health Office in partnership with local perinatal and infant health care and social service providers, civic organizations, businesses, and cultural groups.

Source of Revenues: DHHS, HRSA

Current Program Activities/Allowable Expenses:

A. Implementing culturally competent core services which include outreach and client recruitment, screening and referral, health education and case management for pregnant women and continuing through a two year post-partum/interconception period. This is currently being accomplished through a local purchase of services contractor. The target population includes Native Hawaiian, Hispanic, Marshallese, Micronesian, other Pacific Islander, and Filipino women over age 18 and adolescents through age 18, regardless of ethnicity.

B. Support and monitor progress of local and island-wide project consortia as they provide input, expertise and advice in developing a sustainable model of care.

C. Developing procedures and protocols for program implementation, using information gathered from focus groups, cultural leaders, and program partners.

D. Utilizing program forms and a participant tracking database to evaluate program impact and report progress and required prenatal/postpartum/interconception care indicators to HRSA.

E. Improving knowledge, skills, and awareness of the standards of care and best practices through training forums. Topics include, but are not limited to: basic prenatal/postpartum/interconception care, including breastfeeding and birth spacing; case management related to risk factors not limited to depression, domestic violence, substance abuse, and other environmental factors; supporting medical and psycho-social needs of the family during childbearing; infant growth and development; parenting; immunization status; and cultural practices related to pregnancy and child rearing.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-15 is reflective of the close-out. This grant was terminated in FY 2015.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	920,801	920,801	0	0	0	0	0
Beginning Cash Balance	0	19,940	0	0	0	0	0
Revenues	346,751	71,750	0	0	0	0	0
Expenditures	326,811	91,690	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,940	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Pregnancy Risk Assessment Monitoring System (project: 000319)
 Legal Authority: Sections 301(a) & 317(k) of PHS Act; 42 USC 241(A) & 247B (K)

Contact Name: Leighton Tamura
 Phone: 733-8365
 Fund type (MOF) P
 Appropriation Acct. No. S 533 H

Intended Purpose: The Hawai'i Pregnancy Risk Assessment Monitoring System (PRAMS) Program is a population-based surveillance system designed to identify and monitor maternal experiences, attitudes, and behaviors from preconception, through pregnancy and into the interconception period. The goal of the Hawaii PRAMS program is to improve the health of mothers and infants by reducing adverse outcomes such as low birth weight, infant mortality and morbidity, and maternal morbidity. PRAMS provides Hawaii-specific data for planning and assessing health programs and for describing maternal experiences that may contribute to maternal and infant health.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention & Health Promotion, Division of Reproductive
Current Program Activities/Allowable Expenses:

- A. Maintain a surveillance system to collect information from a defined sample of new mothers with live born infants.
- B. Conduct analysis and trend analysis of data to understand the relationship between behaviors, attitudes, and experiences relating to pregnancy and early infancy.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2015-16 is due to an increase in the grant award.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	195,445	195,445	195,445	195,445	195,445	195,445	195,445
Beginning Cash Balance	0	5,266	5,030	4,653	319	319	319
Revenues	151,847	148,700	162,717	154,916	163,000	163,000	163,000
Expenditures	146,581	148,936	163,094	159,250	163,000	163,000	163,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,266	5,030	4,653	319	319	319	319
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Personal Responsibility Education Grant (PREP) (project: 000613)
 Legal Authority: SSA, Title V, Section 513

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF) P
 Appropriation Acct. No. S 534 H

Intended Purpose: To enable States to support personal responsibility education programs that replicate evidence-based effective program models that have scientifically been provided to change behavior such as delaying sexual activity, increasing condom or contraceptive use, or reducing pregnancy among youth.

Source of Revenues: DHHS, Administration for Children and Families

Current Program Activities/Allowable Expenses: This year grant will support the training and implementation of an evidence-based, teen pregnancy prevention and positive youth development curriculum. It is designed to provide adolescents a comprehensive set of life skills for educational and career success, to develop healthy habits and to know what healthy relationships are in order to prevent pregnancies, HIV/AIDS and sexually transmitted infections. The grant targets high school dropouts statewide, 16 to 18 years of age that attend the Department of Defense Hawaii National Guard Youth Challenge Academy in Hilo and Kalaeloa.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2013 expenditures that were liquidated in FY 2014 is being charged to the S 206 H parent account. The variance in revenues between FY 2015-16 is because only \$169,001 was drawn down to cover the FY 2015 expenditure liquidations. Variance in expenditures between FY 2015-16 is due to the addition of the outstanding encumbrances of \$94,960 from FY 2015 to the estimated expenditures for FY 2016. The variance from FY 2016-17 can be attributed to higher outstanding encumbrances in FY 2017.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	351,689	351,689	351,689	351,689	351,689	351,689	351,689
Beginning Cash Balance	0	2,886	3,043	2,971	29	29	29
Revenues	107,040	169,001	178,334	147,602	250,000	250,000	250,000
Expenditures	104,154	168,844	178,406	150,544	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,886	3,043	2,971	29	29	29	29
Encumbrances	124,600	94,960	34,099	122,558	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: Collaborative State Public Health Actions
 Legal Authority: Act 134, SLH 2013

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S 14 535 H

Intended Purpose: Address prevention of diabetes and its complications; develop tracking system and population-based interventions for prevention of heart disease and stroke; improve healthful eating and physical activity to prevent obesity and other chronic diseases; promote the adoption of policies and systems changes in the school setting to increase healthy eating and physical activity.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Promotion/adoption/implementaion of food service guidelines/nutrition standards, physical education/activity in schools and early child care education and worksites, awareness of high blood pressure and pre-diabetes, participation and use of self-management programs, and use of lifestyle intervention programs in the communities.

Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Approval of no-cost-extension resulted in additional revenues being drawn down and additional expenditures in FY 2017.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	505,956	1,105,210	1,105,210	1,074,216	1,105,210	1,105,210	1,105,210
Beginning Cash Balance	0	14,489	8,634	11,286	1,094	1,094	1,094
Revenues	384,557	432,351	388,519	868,364	1,105,210	1,105,210	1,105,210
Expenditures	370,068	438,206	385,867	878,556	1,105,210	1,105,210	1,105,210
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	14,489	8,634	11,286	1,094	1,094	1,094	1,094
Encumbrances	17,437	134,573	547,259	601,031			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Non-General Fund Information
Chronic Disease Prevention and Health Promotion Division
Hawaii State Department of Health Healthy Hawaii Initiative**

INTENDED PURPOSE

1. Hawaii State Department of Health Healthy Hawaii Initiative
 - a. Diabetes Prevention and Control Program: Address the prevention of diabetes and its complications, with a focus on reducing disparities among high-risk populations, through collaboration with state diabetes health system partners.
 - b. Heart Disease and Stroke Prevention Program: Develop state tracking system for and develop population-based interventions for the prevention of heart disease and stroke.
 - c. Obesity and Associated Risk Factors - Nutrition and Physical Activity: Improve healthful eating, and physical activity to prevent obesity and other chronic diseases by implementing population-based strategies and interventions.
 - d. School Health: Promote the adoption of policies and systems changes in the school setting to increase healthy eating and physical activity.

SOURCE OF REVENUES:

1. Hawaii State Department of Health Healthy Hawaii Initiative: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:

1. Hawaii State Department of Health Healthy Hawaii Initiative: Basic and Plus Grant from the CDC funding provides for the four program areas to work across the seven strategies below to promote:
 1. Adoption and implementation of food service guidelines/nutrition standards, which include sodium reduction.
 2. Adoption of physical education/physical activity in schools.
 3. Adoption of physical activity in early child care and education and worksites.
 4. Reporting of blood pressure and A1C measures; and initiate activities that promote clinical innovations, team-based care, and self-monitoring of blood pressure.
 5. Awareness of high blood pressure among patients.
 6. Awareness of pre-diabetes among people at high risk for type 2 diabetes.
 7. Participation in American Diabetes Association-recognized, American Association of Diabetes Educator-accredited, state-accredited/certified, and/or Stanford licensed Diabetes Self-Management Education programs.
 8. Creation of supportive nutrition environments in schools.
 9. Implementation of quality improvement processes in health systems.
 10. Use of team-based care in health systems.
 11. Use of diabetes self-management programs in community settings.
 12. Use of lifestyle intervention programs in community settings for primary prevention of type 2 diabetes.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 610 FR
 Name of Fund: Toxic Substance Compliance Monitoring
 Legal Authority: Toxic Substances Control Act, Section 28; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 536 H

Intended Purpose: Implement asbestos monitoring, inspection and enforcement pursuant to Toxic Substances Control Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Administration, program development, data management, inspection, risk assessment and enforcement

Purpose of Proposed Ceiling Increase (if applicable): Adjust appropriation ceiling for P-Other Federal Funds to match anticipated award amounts on Form FF for Toxic Substances Control Act (TSCA) Compliance Monitoring (asbestos) and State Lead grants.

Variances: This EPA grant program is being severely reduced.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	207,959	170,920	160,000	96,000	91,000	95,550	95,550
Beginning Cash Balance	0	3,822	4,197	3,807	5,000	5,000	5,000
Revenues	159,056	131,423	57,256	44,008	91,000	95,550	95,550
Expenditures	155,234	131,048	57,646	42,814	91,000	95,550	95,550
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance	3,822	4,197	3,807	5,000	5,000	5,000	5,000
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 710
 Name of Fund: Food Safety Inspection Service Cooperative Agreement Program
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-14-537-H
S-15-537-H
S-16-537-H

Intended Purpose: This Cooperative Agreement grant will enhance and expand Department's laboratory capacity and capabilities to detect microbiological threat agents in foods, develop screening technologies and to provide timely lab response to a local or nationwide foodborn pathogens.

Source of Revenues: United States Department of Agriculture (USDA), Food Safety and Inspection Service (FSIS).

Current Program Activities/Allowable Expenses: The fund will be used for laboratory support personnel, to procure equipment and other scientific supplies, to pay for extended service agreements for the purchased equipment that will be used to perform the tasks required in order to meet the Federal Cooperative Agreement.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: The variances (decreases) in FY16 revenues and expenditures are due to decrease in grant amount for the Budget Period.
 The decrease in FY17 revenue and expense is due a decrease in expenditures due to it being the final year of the grant award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	159,880		0	0	0	0	0
Beginning Cash Balance	0	2,933	3,418	2,633	(0)	(0)	(0)
Revenues	121,106	123,905	98,791	15,334	0	0	0
Expenditures	118,173	123,419	99,576	17,968	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,933	3,419	2,633	(0)	(0)	(0)	(0)
Encumbrances	577	7,642					
Unencumbered Cash Balance	2,356	(4,223)	2,633	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: Title XIX (Medicaid)
 Legal Authority: Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Keith Ridley
 Phone: 692-7227
 Fund type (MOF) P
 Appropriation Acct. No. S 538 H

Intended Purpose:

Complete federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress.

Current Program Activities/Allowable Expenses:

Perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	587,229	680,000	680,000	837,513	837,513	837,513	837,513
Beginning Cash Balance	0	86,255	88,139	354,751	379,064	379,064	379,064
Revenues	641,171	686,848	916,233	693,132	430,000	430,000	430,000
Expenditures	554,916	684,964	649,621	668,819	430,000	430,000	430,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	86,255	88,139	354,751	379,064	379,064	379,064	379,064
Encumbrances							
Unencumbered Cash Balance	86,255	88,139	354,751	379,064	379,064	379,064	379,064

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 720
 Name of Fund: Title XVIII (CLIA)
 Legal Authority Social Security Act, Section 1864, and U.S. Public Law 100-578

Contact Name: Keith Ridley
 Phone: 692-7227
 Fund type (MOF) P
 Appropriation Acct. No. S 539 H

Intended Purpose:

To complete federal survey & certification activities to enable health care providers, suppliers, and clinical laboratories in qualifying for Medicare/Medicaid reimbursement in Hawaii.

Source of Revenues:

The source of revenues are from Federal contracts. The contract funding is relatively stable from year to year but can increase or decrease based on the funding levels appropriated by Congress.

Current Program Activities/Allowable Expenses:

Perform Medicare certification surveys by conducting initial, re-certification, follow-up, complaint, and/or validation on-site surveys of health care providers and suppliers (ambulatory surgical centers, comprehensive rehabilitation facilities, end-stage renal disease facilities, home health agencies, hospices, hospitals, intermediate care facilities for the mentally retarded, nursing facilities, outpatient physical therapy/speech pathology clinics, portable x-ray facilities, and rural health clinics), and clinical laboratories (CLIA); and investigate allegations of abuse, neglect, and/or misappropriation of resident property against certified nurse aides (CNA) in certified nursing homes according to federal regulations, policies, and procedures in Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	158,886	122,645	122,645	128,480	128,480	128,480	128,480
Beginning Cash Balance	0	0	2,033	373	279	279	279
Revenues	125,424	89,900	109,843	15,661	70,000	70,000	70,000
Expenditures	119,524	87,867	111,503	15,755	70,000	70,000	70,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	5,900	2,033	373	279	279	279	279
Encumbrances				1,010			
Unencumbered Cash Balance	5,900	2,033	373	(732)	279	279	279

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Injury Prevention and Control, PHHS Block Grant
 Legal Authority: Part A, Title XIX, Public Health Service Act

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S-15-541 H

Intended Purpose: Develop, promote, coordinate & evaluate projects & policies that lead to the reduction of injury deaths, hospitalizations & costs.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Collect, analyze, report data on causes of injury morbidity & mortality/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	198,816	58,846	90,000	29,242	0	0	0
Beginning Cash Balance	0	2,143	0	2,462	0	0	0
Revenues	142,113	22,090	63,220	23,542	0	0	0
Expenditures	139,970	24,233	60,758	26,004	0	0	0
Transfers							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,143	0	2,462	0	0	0	0
Encumbrances	0	0	4,800	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2017 Beginning Balance: MBP477-A OPTION:2, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2017 Encumbrances (Unliquidated Balance): MBP490-A, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated expenditure for FYs 2018-2020 is equal to zero (0), as the grant was assigned S-16-587 for the period that began 10/01/2016.

Note 4: In FYs 2011 through FY 2013, this grant was reported with other grants in appropriation S 241 H, MOF "N." The supplemental budget for FY 2015, ACT 122, SLH 2014, includes an appropriation of \$82,710 for this grant that aligns with an allocation of \$82,710 from the federal award. In FY 2015 (10/1/14), funding for this grant is reported in assigned appropriation S 587 H. In FY 2016 (10/1/15), funding for this grant is reported in S541. For FYs 2017-2020 (10/1/16) funding for this grant is reported in assigned appropriation S 587 H.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FG
 Name of Fund: Water Quality Management Planning
 Legal Authority: PL 92-500, Water Pollution Control Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 542 H

Intended Purpose: Implement State Water Quality Planning and Management Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Water quality standards amendments, bio-assessments, visual assessments, and other monitoring for Clean Water Act section 303(d) listing, development of Total Maximum Daily Loads, data management, and holding public information meetings and hearings

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Expenditures vary according to workplan.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	400,133	110,000	116,000	115,000	224,700	224,700	115,763
Beginning Cash Balance	0	21,390	3,734	27,692	624	624	624
Revenues	57,631	117,271	126,405	99,750	110,250	115,763	115,763
Expenditures	36,241	134,927	105,740	126,818	110,250	115,763	115,763
Transfers							
List each net transfer in/out; list each account number							
Various JVs			3,293	0			
Net Total Transfers			3,293	0			
Ending Cash Balance	21,390	3,734	27,692	624	624	624	624
Encumbrances	54,202	163,408	74,038	53,253	53,253	53,253	53,253
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank - Corrective Action
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 543 H

Intended Purpose: Decrease the number of open leaking underground storage tanks and restore these sites in order to protect public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Oversight and management of Leaking Underground Storage Tank sites and implementation of procedures to compel reporting, assessment and remediation of releases from underground storage tanks by the responsible owner or operator

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	732,016	3,745,000	768,000	768,000	493,500	518,175	3,745,000
Beginning Cash Balance	0	22,868	26,308	20,940	43,004	43,004	43,004
Revenues	549,243	477,503	614,825	524,801	493,500	518,175	518,175
Expenditures	526,375	474,063	620,193	502,736	493,500	518,175	518,175
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	22,868	26,308	20,940	43,004	43,004	43,004	43,004
Encumbrances	356	5,347	15,021	4,621	4,621	4,621	4,621
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Leaking Underground Storage Tank - Prevention
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 544 H

Intended Purpose: Develop and operate an inspection, compliance and enforcement program to prevent and detect releases from underground storage tanks pursuant to the Solid Waste Disposal Act

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Facility inspections at all operating facilities at least once every three years, referrals to compliance team for enforcement of violations, notices of compliance to owner/operators, implementing public records, secondary containment, delivery prohibition to non-compliant facilities and operator training requirements of the Solid Waste Disposal Act as amended by the Energy Policy Act of 2005

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 5-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	531,928	1,738,000	332,000	353,000	348,600	366,030	1,738,000
Beginning Cash Balance	0	5,769	29,604	5,082	8,478	8,478	8,478
Revenues	155,614	358,154	197,718	144,093	348,600	366,030	366,030
Expenditures	149,845	334,319	222,240	140,697	348,600	366,030	366,030
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	5,769	29,604	5,082	8,478	8,478	8,478	8,478
Encumbrances	9,610	12,254	10,456	9,941	9,941	9,941	9,941
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: State Clean Diesel Grant-Diesel Retrofit
 Legal Authority: PL 109-58, Energy Policy Act of 2005

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 545 H

Intended Purpose: Reduce emissions from in-use diesel engines

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Develop and administer a program to reduce diesel emissions

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Current total grant award period is unknown, but was previously a 5-year grant, so is budgeted in that manner. Expenditures vary according to the contract schedule with the agency converting its diesel engines.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	151,145	847,586	82,000	205,000	409,412	356,226	356,226
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	138,877	0	0	0	100,000	100,000	100,000
Expenditures	138,877	0	0	0	100,000	100,000	100,000
Transfers							
List each net transfer in/out; list each account number							
			0				
Net Total Transfers			0				
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Department of Defense and State MOA
 Legal Authority P.L. 96-510, Comprehensive Environmental
Response, Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 546 H

Intended Purpose: Enable State to participate in assessment and remediation of contamination at military facilities

Source of Revenues: Reimbursement from U.S. Department of Defense

Current Program Activities/Allowable Expenses: Assessment and remediation

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	440,000	801,500	292,000	842,000	787,500	1,614,375	787,500
Beginning Cash Balance	0	14,930	97,765	20,959	107,809	107,809	107,809
Revenues	268,842	418,662	178,300	283,492	787,500	807,188	807,188
Expenditures	253,912	335,827	255,106	196,642	787,500	807,188	807,188
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	14,930	97,765	20,959	107,809	107,809	107,809	107,809
Encumbrances	68,380	18,552	14,704	14,668	14,668	14,668	14,668
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: State Response Program
 Legal Authority Comprehensive Environmental Response,
Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 547 H

Intended Purpose: Develop, implement, and maintain State Response Program

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Improve capacity to provide a public record, survey and inventory Brownfield sites, enhance oversight and enforcement authorities, provide meaningful opportunities for public participation, improve mechanisms for cleanup approval, verification of site cleanup, and management of institutional controls

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,699,015	1,917,150	1,030,000	2,014,000	1,006,504	1,508,807	2,063,333
Beginning Cash Balance	0	15,923	34,553	15,665	5,280	5,280	5,280
Revenues	910,943	886,221	1,030,365	991,885	1,006,504	1,031,667	1,031,667
Expenditures	895,020	867,591	1,049,253	1,002,271	1,006,504	1,031,667	1,031,667
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	15,923	34,553	15,665	5,280	5,280	5,280	5,280
Encumbrances	372,923	180,745	99,360	163,401	163,401	163,401	163,401
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Preliminary Assessment/Site Inspection
 Legal Authority: Comprehensive Environmental Response,
Compensation, and Liability Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 548 H

Intended Purpose: Site discovery and site screening activities to enable remediation and use of land with environmental contamination

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Site discovery, preliminary assessments, assistance with site inspections to determine which sites might be listed on the Superfund National Priority List

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	386,051	235,000	769,000	289,000	303,188	909,564	303,188
Beginning Cash Balance	0	10,396	21,306	8,942	23,284	23,284	23,284
Revenues	229,748	266,155	206,964	304,267	303,188	303,188	303,188
Expenditures	219,352	255,245	219,328	289,925	303,188	303,188	303,188
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	10,396	21,306	8,942	23,284	23,284	23,284	23,284
Encumbrances	35,013	16,320	50,035	44,529	44,529	44,529	44,529
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 849 FD
 Name of Fund: Hazardous Materials Emergency Preparedness
 Legal Authority: 49 U.S.C. 5101

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 549 H

Intended Purpose: Provide hazardous materials training

Source of Revenues: Federal grant funds from U. S. Department of Transportation

Current Program Activities/Allowable Expenses: Provide training, support planning studies, travel to conferences

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Due to timing of activities and reimbursements.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	225,408	112,704	111,000	130,165	410,020	130,165	119,095
Beginning Cash Balance	0	0	95,505	0	22,257	22,257	22,257
Revenues	51,035	134,692	48,975	120,451	113,424	119,095	119,095
Expenditures	51,035	39,187	144,480	98,194	113,424	119,095	119,095
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	95,505	0	22,257	22,257	22,257	22,257
Encumbrances	26,792	55,840	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 849 FC
 Name of Fund: Japan Tsunami Debris Removal
 Legal Authority: Request to Expend Non-Appropriated Other
Federal Funds--Governor approved 12/18/12

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 550 H

Intended Purpose: Remove Japan tsunami debris

Source of Revenues: Federal grant funds from National Oceanic and Atmospheric Administration

Current Program Activities/Allowable Expenses: Administrative, contract oversight, reporting, contracts to non-profit organizations to perform marine debris cleanup activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: One-time grant award

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000	0	0	0	0	0	0
Beginning Cash Balance	0	240	0	0	0	0	0
Revenues	19,690	20,434	0	0	0	0	0
Expenditures	19,450	20,674	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	240	0	0	0	0	0	0
Encumbrances	30,486	9,519	9,519	9,519			
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: State Health Insurance Assistance Program
 Legal Authority: 42 USC 3031-3037B

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 552 H

Intended Purpose: Provides free in depth one on one insurance counseling and assistance to Medicare beneficiaries, their families, friends, and caregivers.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	367,271	155,428	155,428	368,627	303,122	303,122	303,122
Beginning Cash Balance	0	466	4,042	3,971	4,673	0	0
Revenues	196,226	158,555	225,066	181,416	298,449	242,104	242,104
Expenditures	195,760	154,979	225,137	180,714	303,122	242,104	242,104
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	466	4,042	3,971	4,673	0	0	0
Encumbrances		449	680	37,824			
Unencumbered Cash Balance	466	3,593	3,291	(33,151)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Hawaii ADRC
 Legal Authority: 42 USC 3031-3037B

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 553 H

Intended Purpose: Supporting VDHCBs implementation and TCARE pilot.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended 9/30/15

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	183,883	294,332	0	0	0	0	0
Beginning Cash Balance	0	84	84	0	0	0	0
Revenues	73,518	57,018	21,791	0	0	0	0
Expenditures	73,434	57,018	21,874	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	84	84	0	0	0	0	0
Encumbrances		35,117					
Unencumbered Cash Balance	84	(35,033)	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Title VII Ombudsman and Elder Abuse Prevention
 Legal Authority: PL 109-365

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 554 H

Intended Purpose: Addresses the need for strong advocacy to protect and enhance the basic rights and benefits of vulnerable people.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	103,193	159,947	158,675	166,555	166,555	166,555	166,555
Beginning Cash Balance	0	2,091	6,080	3,738	9,376	0	0
Revenues	71,471	108,407	91,675	107,887	107,887	166,555	166,555
Expenditures	69,380	104,418	94,017	102,249	117,263	166,555	166,555
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,091	6,080	3,738	9,376	0	0	0
Encumbrances			1,912	1,140			
Unencumbered Cash Balance	2,091	6,080	1,826	8,236	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: Nutrition Services Incentive Program
 Legal Authority: PL 109-365

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) N
 Appropriation Acct. No. S 555 H

Intended Purpose: Rewards state agencies on aging that effectively deliver nutritious meals to older adults.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	739,203	1,150,109	409,291	651,681	484,828	484,828	484,828
Beginning Cash Balance	0	0	121	0	0	0	0
Revenues	38,891	415,439	689,674	402,130	484,828	484,828	484,828
Expenditures	38,891	415,318	689,795	402,130	484,828	484,828	484,828
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	121	0	0	0	0	0
Encumbrances	354,357	20,039	167,046				
Unencumbered Cash Balance	(354,357)	(19,918)	(167,046)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Public Water System Supervision
 Legal Authority: PL 93-523, Safe Drinking Water Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 556 H

Intended Purpose: Protect public health by ensuring safe drinking water

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure that public water systems provide safe drinking water through compliance with national primary drinking water regulations

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	678,130	492,437	518,000	498,000	496,650	360,414	750,000
Beginning Cash Balance	0	1,095	14,271	13,874	34,075	34,075	34,075
Revenues	436,770	385,776	472,851	498,075	496,650	496,650	496,650
Expenditures	435,675	372,600	473,247	477,874	496,650	496,650	496,650
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	1,095	14,271	13,874	34,075	34,075	34,075	34,075
Encumbrances	4,110	3,762	0	0			
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FH
 Name of Fund: Water Pollution Control - Groundwater
 Legal Authority: PL 92-500, Clean Water Act, Section 106

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 557 H

Intended Purpose: Prevent, reduce, and eliminate groundwater pollution

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Implement State Groundwater Protection Program and wellhead protection activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a 2-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	663,565	630,000	330,000	662,000	839,261	155,981	839,261
Beginning Cash Balance	0	14,727	5,218	4,661	9,900	9,900	9,900
Revenues	320,684	338,985	196,369	188,100	329,521	330,701	330,701
Expenditures	305,957	348,494	196,926	182,861	329,521	330,701	330,701
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers			0				
Ending Cash Balance	14,727	5,218	4,661	9,900	9,900	9,900	9,900
Encumbrances	202,123	62,111	10,076	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Hazardous Waste Management Program
 Legal Authority: PL 94-580, Resource Conservation and Recovery Act

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 558 H

Intended Purpose: Implement regulations of the Resource Conservation and Recovery Act (RCRA)

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Ensure RCRA compliance at hazardous waste generation and management facilities, issue permits to hazardous waste management facilities, manage clean-up of contaminated sites, and develop and maintain programs aimed at reducing waste and increasing recycling

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant is changing from 1-year to 2-year award period with new awards anticipated to start in FY18 and FY20.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	801,643	529,254	556,000	536,000	566,328	573,331	1,146,661
Beginning Cash Balance		16,942	23,656	19,844	1,148	1,148	1,148
Revenues	447,671	550,284	570,198	536,695	566,328	573,331	573,331
Expenditures	430,729	543,570	574,010	555,391	566,328	573,331	573,331
Transfers	List each net transfer in/out; list each account number						
Net Total Transfers			0				
Ending Cash Balance	16,942	23,656	19,844	1,148	1,148	1,148	1,148
Encumbrances	14,517	17,519	0	1,411	1,411	1,411	1,411
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF/FO
 Name of Fund: Air Pollution Control Program
 Legal Authority: Clean Air Act, Section 105; 40 CFR Parts 31 & 35
Subpart A

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) N
 Appropriation Acct. No. S 559 H

Intended Purpose: Protect and improve air quality and reduce the risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Strategic planning and evaluation, compliance assistance, developing state implementation plans, monitoring air emissions, rule-making, issuing permits and other other program-related activities

Purpose of Proposed Ceiling Increase (if applicable):

Variances: From FY18, all expenditures to this grant will be coded to S-559-H, since new federal funds methodology impedes spending.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,208,007	798,879	839,000	919,000	838,823	838,823	838,823
Beginning Cash Balance	0	22,329	45,934	17,657	9,304	9,304	9,304
Revenues	707,114	672,357	656,696	534,184	838,823	838,823	838,823
Expenditures	684,785	648,752	684,972	542,537	838,823	838,823	838,823
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	22,329	45,934	17,657	9,304	9,304	9,304	9,304
Encumbrances	15,217	12,439	11,186	3,497	3,497	3,497	3,497
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: PM 2.5 Monitoring Network
 Legal Authority: Clean Air Act, Section 103; 40 CFR Part 31

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 560 H

Intended Purpose: Improve air quality and reduce risks to public health and the environment

Source of Revenues: Federal grant funds from Environmental Protection Agency

Current Program Activities/Allowable Expenses: Monitor fine particulate matter to determine compliance with the PM 2.5 National Ambient Air Quality Standards and determine reductions in air emissions

Purpose of Proposed Ceiling Increase (if applicable):

Variances: Grant has a multi-year budget/project period, but ceiling included each year for budgetary purposes, because positions are funded by this grant. Variances are primarily due to timing of equipment replacement, repair, and maintenance, amount of inter-island travel needed, and travel costs for off-island conference/training

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	349,408	200,000	210,000	255,000	768,573	191,763	191,763
Beginning Cash Balance	0	9,723	6,581	3,964	8,243	8,243	8,243
Revenues	91,285	134,258	113,990	174,780	191,763	191,763	191,763
Expenditures	81,562	137,400	116,607	170,501	191,763	191,763	191,763
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	9,723	6,581	3,964	8,243	8,243	8,243	8,243
Encumbrances	84	2,009	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 849 FC
 Name of Fund: NOAA Japan Tsunami Marine Debris Clean Up
 Legal Authority: Request to Expend Non-Appropriated Other Federal
Federal Funds--Governor approved 9/9/13

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 561 H

Intended Purpose: To provide the State of Hawaii with funding to conduct marine debris activities on state, tribal, or federal lands or waters, in response to the Great East Japan Earthquake of March 2011

Source of Revenues: Federal award from the National Oceanic and Atmospheric Administration (NOAA); funds are part of a financial gift from the government of Japan to the U.S. for Japan tsunami marine debris (JTMD) removal

Current Program Activities/Allowable Expenses: Salary and fringe benefits for State JTMD Coordinator; equipment, supplies, and other expenses for marine debris-related response actions, including for travel and contracts

Purpose of Proposed Ceiling Increase (if applicable):

Variances: One-time award. Funds were received by DOH upon approval by NOAA of the Statement of Work submitted by DLNR and DOH; funds were transferred from DOH to DLNR, as DLNR conducts JTMD removal activities and incurs the expenses for these activities.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	0	0	0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	250,000	0	0	0	0	0	0
Expenditures	250,000	0	0	0	0	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: State Oral Disease Prevention Program Grant
 Legal Authority: 301A, 311BC, 317K2 (42USC241A, 243BC247BK2)

Contact Name: Gordon Takaki
 Phone: 733-8365
 Fund type (MOF) P
 Appropriation Acct. No. S 563 H

Intended Purpose: An oral health program is a critical part of all state public health departments, however the DOH Dental Health Division was eliminated as part of the 2009 budget restricts. This grant will be used to help rebuild the DOH's public dental health infrastructure.

Source of Revenues: DHHS, Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses: The funding will be used to improve basic state oral health services, including support for program leadership and limited supported staff, monitoring oral disease levels and risk factors for oral disease, developing strong partnerships, educating state residents on ways to prevent oral diseases, and developing and evaluating prevention programs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: The variance in revenues and expenditures between FY 2014-2015 is due to the fact that the FY 2014 expenditures reflects only 9 months of the grant funds being liquidated. The variance in revenues between FY 2015-16 is due to the draw-down of only \$156,766 in grant funds to cover the liquidation of expenditures incurred in FY 2015. The variance in expenditures between FY 2016-17 is due to vacancies being filled during FY 2017.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	250,000	250,000	250,000	250,000	250,000	250,000
Beginning Cash Balance	0	17	10,839	453	35	35	35
Revenues	60,500	156,766	111,821	237,009	250,000	250,000	250,000
Expenditures	60,483	145,944	122,207	237,427	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	17	10,839	453	35	35	35	35
Encumbrances	134,147	16,477	57,439	56,571	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Strategic Prevention Framework-Partnerships for Success (HI Partnerships for Success)
 Legal Authority: Section 516 PHS Act as amended

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 565 H

Intended Purpose: Implementation of the Strategic Prevention Framework process at the state and community levels to promote alignment and coordination of resources to better address substance abuse prevention priorities.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses: In collaboration with state and community level stakeholders, use data-driven decision making processes to develop and implement effective prevention strategies and sustainable prevention infrastructures to address underage drinking among persons ages 12

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: Five year award project from 09/30/13 to 09/29/18. Variance in FY14/15/16 due to delay in starting grant project. FY17 variance is due to delays in the execution of purchase of service contracts to providers.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,776,772	1,767,725	1,776,772	1,776,772	1,776,772	0	0
Beginning Cash Balance	0	276	2,720	3,343	46	46	46
Revenues	2,900	161,559	247,652	834,975	1,776,772	0	0
Expenditures	2,624	159,115	247,029	838,271	1,776,772	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	276	2,720	3,343	46	46	46	46
Encumbrances		52,894	117,806	977,906			
Unencumbered Cash Balance	276	(50,174)	(114,463)	(977,859)	46	46	46

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department:	HTH	Contact Name:	Edward Mersereau
Prog ID(s):	HTH 440	Phone:	692-7507
Name of Fund:	Collaborative Agreement to Benefit Homeless Individuals (Hawaii Pathways Project)	Fund type (MOF)	P
Legal Authority	Section 509 and 520A Public Health Service Act, as amended	Appropriation Acct. No.	S-566-H

Intended Purpose: The intent of the CABHI-Hawaii Pathways Project (HPP) is to strengthen the infrastructure, partnerships, and service system to provide permanent housing to individuals, families, and veterans living on Oahu. HPP will assist chronically homelessness individuals with substance use or co-occurring substance use and mental health disorder through assertive outreach, case management, and treatment services. Specifically, HPP will provide the Pathways Housing First model, the only evidence-based program recognized by the National Registry of Evidence- Based Programs and Practices that provides a comprehensive housing and treatment model without preconditions of the individual's alcohol or drug use. The HPP will also assist in building sustainable partnerships, infrastructure, and practices through a partnership with the Hawaii Interagency Council on Homelessness (HICH) and the development of a Statewide Plan.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT)

Current Program Activities/Allowable Expenses: Implementation of the Pathways Housing First model; including clinical services, intensive case management, housing, vocational, peer support and recovery support services.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Three year project period from 09/30/13 to 09/29/16 plus one year no cost extension from 09/30/16 to 09/30/17. Variance in FY14/15/16 due to delay in starting grant project. FY17 variance is due to increased homeless wrap around services during the no-cost extension period of this award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	711,818	1,202,294	1,209,203	1,209,203	1,209,203	0	0
Beginning Cash Balance	0	0	2,907	3,000	7	7	7
Revenues		347,388	837,781	918,649	0	0	0
Expenditures		344,481	837,688	921,642	0	0	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,907	3,000	7	7	7	7
Encumbrances		825,021	528,378	656,656			
Unencumbered Cash Balance	0	(822,114)	(525,378)	(656,649)	7	7	7

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: 2015 WIC INFRASTRUCTURE

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) P

Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C.

Legal Authority 1786.

Appropriation Acct. No. S 567 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to provide funding for facility renovation to Waianae Coast Comprehensive Health Center WIC and for outreach for a statewide child retention campaign.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services

Current Program Activities/Allowable Expenses: Facility renovation expenditures and outreach activities for child retention.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variances between FY 2016 and 2017 is partly due to awarded contractor not wanting to enter into a contract for this project, because of limited time between award, execution of contract and completion of project and State staff used partial funding for the child retention campaign proposed project. Draft contract not executed.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	351,500	351,500	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	25,668	0	0	0
Expenditures	0	0	0	25,668	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: No Wrong Door
 Legal Authority: PL 109-442

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 568 H

Intended Purpose: Implementation of the No Wrong door System for all populations and all payers.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	850,000	2,496,642	2,496,642	645,792	645,792
Beginning Cash Balance	0	0	0	9,106	119,318	0	0
Revenues	0	0	49,948	868,108	365,682	645,792	645,792
Expenditures	0	0	40,842	757,896	485,000	645,792	645,792
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	9,106	119,318	(0)	0	0
Encumbrances	0	0	321,523	376,408			
Unencumbered Cash Balance	0	0	(312,417)	(257,090)	(0)	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: WIC REGIONAL INFRASTRUCTURE
Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child
Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition
Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C.
 Legal Authority 1786.

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) P

Appropriation Acct. No. S 569 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to transfer a legacy MIS system and implement a new MIS system for the WIC program.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services. Federal Technology funding.

Current Program Activities/Allowable Expenses: Second funding for Phase II - Transfer and Replacement of MIS database.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable

Variances: Not applicable. Grant drawn-down completed by 10/31/2017, MIS replacement project rolled-out and completed.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	1,229,182	1,229,182	1,229,182	0	0
Beginning Cash Balance	0	0	0	61,052	358,355	0	0
Revenues	0	0	312,448	910,902	318,280	0	0
Expenditures	0	0	251,396	613,599	379,332	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	61,052	358,355	297,303	0	0
Encumbrances		0	260,376	357,250	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Drug and Alcohol Services Information System (DASIS)
 Legal Authority: Section 505 Public Health Service Act (42.U.S.C. 290aa-4)

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 571 H

Intended Purpose: To provide substance abuse treatment data to meet the Substance Abuse and Mental Health Services Administration (SAMHSA)

Source of Revenues: U.S. Department of Justice, Office of Juvenile Justice and Delinquency Prevention (OJJDP)

Current Program Activities/Allowable Expenses: Data infrastructure enhancements for continued collection of substance abuse prevention and treatment data elements.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per new accounting methodology for federal funds, a unique appropriation has been assigned to each federal award. FY17 variance due to no expenditures during this period.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			37,538	405,724	405,724	405,724	405,724
Beginning Cash Balance	0	0	0	0	37,538	37,538	37,538
Revenues	0	0	37,538	37,538	37,538	37,538	37,538
Expenditures	0	0	37,538	0	37,538	37,538	37,538
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	37,538	37,538	37,538	37,538
Encumbrances							
Unencumbered Cash Balance	0	0	0	37,538	37,538	37,538	37,538

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: PHHSBG-Chronic Disease Prevention
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S-16-574-H

Intended Purpose:

Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. CDPHPD is a sub-recipient. These funds were originally included under appropriation account S-225-H and has subsequently been assigned a separate account, S-574-H.

Current Program Activities/Allowable Expenses:

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances: Original appropriation included numerous other Federal programs which have been assigned unique appropriation codes. Due to start of new project period, revenues and expenditures to be recorded in separate appropriation account. Per B&F, appropriation account S-574-H assigned for FY 2017. Per B&F, appropriation account S-225-H assigned for FY 2018. Per Betty Wood, award increase for FY 2019. Vacancies filled and procurement of goods and services in FY 2017.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			165,000	165,000			
Beginning Cash Balance			0	3,272	1,355	1,355	1,355
Revenues			72,440	146,437			
Expenditures			69,168	148,353			
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	3,272	1,355	1,355	1,355	1,355
Encumbrances				18,000			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: National Asthma Control Program
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S 15 575 H

Intended Purpose: Expand access and awareness of evidence based asthma self-management programs, with a focus on reducing disparities among high-risk population.

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Planning, delivery, and evaluation of public health activities and collaboration with health care systems; Expand access to comprehensive asthma control services through home-based and/or school-based strategies; Coordinate with health care organizations to improve coverage, delivery, and use of clinical and other services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: In FY 2017, primarily due to position vacancies (3), and terminated/completed agreements in FY 2016 which weren't renewed in FY 2017.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		500,000	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance		0	8,009	11,180	26	26	26
Revenues		177,800	533,806	265,609	500,000	500,000	500,000
Expenditures		169,791	530,635	276,763	500,000	500,000	500,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	8,009	11,180	26	26	26	26
Encumbrances		31,119	138,003	115,052			
Unencumbered Cash Balance	0	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Non-General Fund Information
Chronic Disease Prevention and Health Promotion Division
Asthma Control Program**

INTENDED PURPOSE

1. Hawaii State Department of Health Asthma Control Program
Expand access and awareness of evidence based asthma self-management programs, with a focus on reducing disparities among high-risk population. Through a network of partnerships, the project is dedicated to creating comprehensive and coordinated collaborations with health care systems; linking schools and communities to health care facilities; and collaborating with other DOH chronic disease programs to provide strategic communications and coordinated efforts to address patient-centered medical homes and health care reimbursement.

SOURCE OF REVENUES:

1. Hawaii State Department of Health Asthma Control Program: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:

1. Hawaii State Department of Health Asthma Control Program: The CDC provides funding for the program to work across three overarching strategies – Infrastructure Strategies, Services Strategies, and Health Systems Strategies. Under each of the three main strategies are specific and targeted strategies, as listed below.
 1. Infrastructure Strategies: Encompasses ongoing activities essential to the planning, delivery, and evaluation of public health activities and collaboration with health care systems. Infrastructure Strategies include:
 - i. Leadership
 - ii. Strategic Partnerships
 - iii. Strategic Communications
 - iv. Surveillance
 - v. Evaluation
 2. Services Strategies: Expand access to comprehensive asthma control services through home-based and/or school-based strategies. Services Strategies include:
 - i. Self-management education
 - ii. Linkages to Care
 - iii. Education for Caregivers
 - iv. Policies Supportive of Asthma Control
 3. Health Systems Strategies: Coordinate with health care organizations to improve coverage, delivery, and use of clinical and other services. Health Systems Strategies include:
 - i. Quality Improvement
 - ii. Team-based Care
 - iii. Coverage and Reimbursement
 - iv. System-level Linkages

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: Behavioral Risk Factor Surveillance System
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S 15 576 H

Intended Purpose: To provide financial and technical assistance to collect data on health risk behaviors, health status, health care access, and preventive health practices. The Behavioral Risk Factors Surveillance System (BRFSS) is the only continuous, state-based, health surveillance system conducted

Source of Revenues: Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Funding is used to construct a state-specific BRFSS questionnaire; collect and submit data using surveillance methodologies and protocols; form and maintain collaborations; participate in CDC-sponsored training opportunities; disseminate data and

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Experienced delays in contract/mod executions resulting in drop in expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		123,778	400,000	400,000	400,000	400,000	400,000
Beginning Cash Balance	0	0	3,503	3,729	3	3	3
Revenues		16,800	232,646	148,848	400,000	400,000	400,000
Expenditures		13,297	232,420	152,574	400,000	400,000	400,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	3,503	3,729	3	3	3	3
Encumbrances		97					
Unencumbered Cash Balance	0	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information: Project period started 3/29/2015

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: Heart Disease & Stroke, Diabetes and Obesity Prevention
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S 15 578 H

Intended Purpose: Support implementation of population-wide and priority population approaches to prevent obesity, diabetes, and heart disease and stroke and reduce health disparities in these areas among adults.

Source of Revenues: CDC National Center for Chronic Disease Prevention and Health Promotion

Current Program Activities/Allowable Expenses: Implement nutrition and beverage standards, strengthen healthier food access and sales, community promotion and development and/or implementation of transportation and community plans to promote physical activity; support of healthy lifestyles and diabetes and heart disease and stroke prevention efforts; health system interventions to improve the quality of health care delivery to populations with the highest hypertension and prediabetes disparities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Approval of no-cost-extensions resulted in increased revenue/spending in FY 2017. Grant ends 6/29/2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		2,750,813	2,750,813	2,750,813	2,750,814		
Beginning Cash Balance	0	0	7,520	3,683	109	109	109
Revenues		47,700	1,299,679	3,283,460	2,750,814		
Expenditures		40,180	1,303,516	3,287,034	2,750,814		
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	7,520	3,683	109	109	109	109
Encumbrances		2,063,684	3,095,242	2,681,852			
Unencumbered Cash Balance	0	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Non-General Fund Information
Chronic Disease Prevention and Health Promotion Division
Strategies to Prevent Obesity, Diabetes, and Heart Disease and Stroke
Among Adults in Hawaii

INTENDED PURPOSE

1. Strategies to Prevent Obesity, Diabetes, and Heart Disease and Stroke Among Adults in Hawaii
 - a. Support implementation of population-wide and priority population approaches to prevent obesity, diabetes, and heart disease and stroke and reduce health disparities in these areas among adults.
 - b. Component 1 is to support environmental and system approaches to promote health, support and reinforce healthful behaviors, and build support for lifestyle improvements for the general population and particularly for those with uncontrolled high blood pressure and those at high risk for developing type 2 diabetes. Populations at high risk for type 2 diabetes include those with prediabetes or those who have a sufficient number of risk factors on evidence-based risk tests that put them in a high risk category.
 - c. Component 2 will support health system interventions and community-clinical linkages that focus on the general population and priority populations. Priority populations are those population subgroups with uncontrolled high blood pressure or at high risk for type 2 diabetes who experience racial/ethnic or socioeconomic disparities, including inadequate access to care, poor quality of care, or low income.

SOURCE OF REVENUES:

1. Strategies to Prevent Obesity, Diabetes, and Heart Disease and Stroke Among Adults in Hawaii:
 CDC National Center for Chronic Disease Prevention and Health Promotion

CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:

1. Strategies to Prevent Obesity, Diabetes, and Heart Disease and Stroke Among Adults in Hawaii:
 Grant from the CDC funding provides for the four program areas to work across the seven strategies below to promote:
 - Component 1a: Environmental strategies to promote health and support and reinforce healthful behaviors
 1. Implement nutrition and beverage standards including sodium standards in public institutions, worksites and other key locations such as hospitals.
 2. Strengthen healthier food access and sales in retail venues and community venues through increased availability, improved pricing, placement, and promotion.
 3. Strengthen community promotion of physical activity through signage, worksite policies, social support, and joint use agreements in communities and jurisdictions.
 4. Develop and/or implement transportation and community plans that promote walking.
 - Component 1b: Strategies to build support for healthy lifestyles, particularly for those at high risk, to support diabetes and heart disease and stroke prevention efforts
 5. Plan and execute strategic data-driven actions through a network of partners and local organizations to build support for lifestyle change.
 6. Implement evidence-based engagement strategies to build support for lifestyle change.
 7. Increase coverage for evidence-based supports for lifestyle change by working with network partners.
 - Component 2a: Health system Interventions to improve the quality of health care delivery to populations with the highest hypertension and prediabetes disparities
 1. Increase electronic health records (EHR) adoption and the use of health information technology (HIT) to improve performance.

2. Increase the institutionalization and monitoring of aggregated/standardized quality measures at the provider level.
3. Increase engagement of non-physician team members in hypertension management in community health care systems.
4. Increase use of self-measured blood pressure monitoring tied with clinical support.
5. Implement systems to facilitate identification of patients with undiagnosed hypertension and people with prediabetes.

Component 2b: Community clinical linkage strategies to support heart disease and stroke and diabetes prevention efforts

6. Increase engagement of community health workers to promote linkages between health systems and community resources for adults with high blood pressure and adults with prediabetes or at high risk for type 2 diabetes.
7. Increase engagement of community pharmacists in the provision of medication-/self-management for adults with high blood pressure.
8. Implement systems and increase partnerships to facilitate bi-directional referral between community resources and health systems, including lifestyle change programs.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 710
 Name of Fund: USDA Swine Surveillance Cooperative Agreement
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-16-579-H

Intended Purpose: The purpose of this Agreement is to provide Federal financial assistance to conduct "Swine Surveillance" activities.

Source of Revenues: United States Department of Agriculture (USDA), Animal and Plant Health Inspection Services (APHIS) and Veterinary Services (VS).

Current Program Activities/Allowable Expenses: The fund will be used to conduct "Swine Surveillance" activities as requested by USDA on swine.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			6,500	0	50,000	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			0	0	50,000	0	0
Expenditures			0	0	50,000	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 710
 Name of Fund: USDA APHIS Federal Swine Testing for IAV-S
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S-16-580-H

Intended Purpose: This Cooperative Agreement will help monitor the safety of the food supply by checking for diseases and pests in swine.

Source of Revenues United States Department of Agriculture (USDA), Food Safety and Inspection Service (FSIS).

Current Program Activities/Allowable Expenses: The fund will be used for laboratory tests as requested by the United States Department of Agriculture on swine.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	10,000	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	10,000	0	0
Expenditures				0	10,000	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>HTH</u>	Contact Name: <u>Edward Mersereau</u>
Prog ID(s): <u>HTH 440</u>	Phone: <u>692-7507</u>
Name of Fund: <u>Substance Abuse Prevention and Treatment (SAPT) Block Grant</u>	Fund type (MOF) <u>N</u>
Legal Authority: <u>Subparts II & III, Part B, Title XIS, Public Health Service</u>	Appropriation Acct. No. <u>S-15-581 (FY15, FY17, FY18)</u>
<u>Act: Department of Health & Human Services, Substance Abuse & Mental Health Services Administration; SAPT Block Grant, Interim Final Rule (Title 45, Code of Federal Regulations, Part 96)</u>	<u>S-203-H (FY14, FY16)</u>

Intended Purpose:

Enables the State to plan, implement, and evaluate activities to treat and prevent substance abuse and other related authorized activities. The intent is to develop, maintain and improve the State's community-based substance abuse service system for the treatment and prevention of substance abuse. Also, the State must maintain compliance with a broad range of Federal statutory and regulatory provisions that govern expenditure and service requirements. Major SAPT Block Grant treatment requirements include the provision of substance abuse services for intravenous drug abusers, pregnant women and women with dependent children, and the provision of HIV early intervention services (subject to certain conditions) at substance abuse treatment sites. Not less than 20% of the SAPT Block Grant must be used to develop and implement a comprehensive prevention program of activities and services provided in a variety of settings for the general population as well as targeting sub-groups who are at high risk for substance abuse. Prevention activities include conducting annual random, unannounced inspections of retail outlets selling tobacco to minors, in compliance with the Synar Amendment and Tobacco Regulation for the SAPT Block Grant. The SAPT Block Grant is also used to ensure the provision of treatment and prevention services for Native Hawaiians pursuant to Block Grant requirements for Hawaii.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The funding is used primarily for substance abuse prevention and treatment services through purchase of service contracts from the Request for Proposal, monitoring and contract utilization management, and complying with reporting requirement as stipulated in the SAPT Block Grant.

Purpose of Proposed Ceiling Adjustment (if applicable): Increase the federal fund appropriation by \$46,035 due to increase in the Notice of Award.

Variances: Prior to FY14, S-203 was the parent account for all appropriated federal funds in HTH 440. As of FY14, per a new accounting methodology for federal awards, a unique appropriation has been assigned to each federal award. FY17 variance is due to this appropriation inadvertently not included on the Federal Fund report therefore not assigned to S-581-H.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				8,562,595	8,562,595	8,562,595	8,562,595
Beginning Cash Balance	0	0	66,770	0	30	30	30
Revenues		6,638,612	1,456,809	5,912,700	8,515,901	8,515,901	8,515,901
Expenditures		6,571,842	1,523,579	5,912,670	8,515,901	8,515,901	8,515,901
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	66,770	0	30	30	30	30
Encumbrances		1,483,299		2,281,345			
Unencumbered Cash Balance	0	(1,416,529)	0	(2,281,315)	30	30	30

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOH
 Prog ID(s): HTH131DC
 Name of Fund: Hawaii Immunization Registry/VTRCKS
 Legal Authority: PHS Act, Sec 317, 42 USC 247(b)

Contact Name: John Istvan
 Phone: (808) 587-6592
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-582 H

Intended Purpose: Enhance an Immunization Information System to interface with CDC's VTRCKS Vaccine Ordering and Management System.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: IT costs.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Short-termed grant. Expiring this year. Variances due to timing of payments.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		510,000	300,000				
Beginning Cash Balance	0	0	0	189	0	0	0
Revenues		510,000	219,376	206,133	0	0	0
Expenditures			219,187	206,322			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	510,000	189	0	0	0	0
Encumbrances			208,521	2,199			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 720 Office of Health Care Assurance
 Name of Fund: Health Care Services (CTA)
 Legal Authority: Act 093 (SLH 2012)

Contact Name: Keith Ridley
 Phone: 692-7227
 Fund type (MOF) P
 Appropriation Acct. No. S 583H

Intended Purpose: Transfer of funds for payments to CTA.

Source of Revenues: DHS

Current Program Activities/Allowable Expenses: Contracted services with CTA.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling						316,495	
Beginning Cash Balance				26,375	52,749		
Revenues				342,869	316,494		
Expenditures				316,494	342,869		
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	0	0	0	52,749	26,375	0	0
Encumbrances				52,749	26,375		
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: WIC EBT TRANSFER PROJECTS

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) P

Legal Authority: Healthy, Hunger Free Kids Act of 2010, Section 17(h)(10)(A) of the Child Nutrition Act of 1966, as amended. Section 17(h)(10)(B) of the Child Nutrition Act of 1966, as amended, Title 7, Part 246, Public Law 111-296, 42 U.S.C. 1786.

Appropriation Acct. No. S 584 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to plan for implementation of electronic benefits transfer (EBT) and prepare an Implementation Advance Planning Document (IAPD) for submission to the USDA and subsequent application for grant funding to be used for project implementation by 2020.

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services. Technology funding.

Current Program Activities/Allowable Expenses: Planning project for implementation of electronic benefits transfer (EBT) contract deliverables completed. Remaining contract balance encumbered by ASO Log No. 15-077-M1 in the amount of \$14,974 is expected to be recovered by the USDA.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	250,000	250,000	250,000	250,000	0	0
Beginning Cash Balance	0	0	0	761	3,086	3,086	3,086
Revenues	0	0	4,716	226,501	0	0	0
Expenditures	0	0	3,955	224,176	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	761	3,086	3,086	3,086	3,086
Encumbrances		246,848	244,296	21,663	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: Tobacco Quitline
 Legal Authority: Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S 15 585 H

Intended Purpose: Expand the capacity of the Tobacco Prevention and Education Program to implement evidence-based cessation interventions as it relates to the Hawaii Tobacco Quitline.

Source of Revenues: • CDC National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health - financed solely by the Prevention and Public Health Fund

Current Program Activities/Allowable Expenses: Ensure infrastructure for State Quitline; improve Quitline capacity; participate in surveillance and evaluation efforts; identify and target disparate populations; improve sustainability; increase media efforts; enhance Quitline protocol and operations; improve understanding of comprehensive cessation coverage for Medicaid recipients; promote health systems change.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		57,958	57,958	57,958	57,958	57,958	57,958
Beginning Cash Balance		0	7,250	0	3	3	3
Revenues		7,250	33,702	29,854	57,958	57,958	57,958
Expenditures			40,952	29,851	57,958	57,958	57,958
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	7,250	0	3	3	3	3
Encumbrances				7,375			
Unencumbered Cash Balance	0	7,250	0	(7,372)	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
Chronic Disease Prevention and Health Promotion Division
Tobacco Use Prevention – Public Health Approaches for Ensuring Quitline Capacity

INTENDED PURPOSE

1. Hawaii State Department of Health Tobacco Prevention and Education Program (TPEP):
 - As it applies to the Centers for Disease Control and Prevention (CDC), Prevention and Public Health Fund grant, Tobacco Use Prevention – Public Health Approaches for Ensuring Quitline Capacity – to continue to expand the capacity of TPEP to implement evidence-based cessation interventions as it relates to the Hawaii Tobacco Quitline.

SOURCE OF REVENUES:

1. Hawaii State Department of Health Tobacco Prevention and Education Program:
 - CDC National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health - financed solely by the Prevention and Public Health Fund

CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:

1. Hawaii State Department of Health Tobacco Prevention and Education Program (TPEP): Ensuring Quitline capacity grant from CDC/Prevention and Public Health Fund funding provides enhancement for the Hawaii Tobacco Quitline by implementing the evidence-based cessation interventions listed below:
 - a. Ensure infrastructure for state Quitline.
 - b. Improve Quitline capacity.
 - c. Participate in surveillance and evaluation efforts.
 - d. Identify and target disparate populations.
 - e. Improve sustainability.
 - f. Increase media efforts.
 - g. Enhance Quitline protocol and operations.
 - h. Improve understanding of comprehensive cessation coverage for Medicaid recipients.
 - i. Promote health systems change.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: Tobacco Program Control
 Legal Authority Act 122, SLH 2014

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S 15 586 H

Intended Purpose: • To support the implementation of evidence-based environmental, policy, and systems interventions, strategies, and activities to reduce tobacco use, secondhand smoke exposure, tobacco-related disparities and associated disease, disability, and death.

Source of Revenues: • Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health

Current Program Activities/Allowable Expenses: Implementation of: State and community interventions; mass-reach health communication interventions; cessation interventions; surveillance and evaluation; infrastructure, administration, and management.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Primarily due to one-time advertising costs in FY 2016 to implement project; various additional activities are undertaken during the fiscal year based on availability of funds.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		857,590	835,823	900,000	900,000	900,000	900,000
Beginning Cash Balance		0	426	18,525	17	17	17
Revenues		72,250	848,943	693,913	900,000	900,000	900,000
Expenditures		71,824	830,844	712,421	900,000	900,000	900,000
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	426	18,525	17	17	17	17
Encumbrances		201,432	1,466	882			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Report on Non-General Fund Information
Chronic Disease Prevention and Health Promotion Division
National State-Based Tobacco Control Programs – Hawaii State Department of Health Tobacco
Prevention and Education Program**

INTENDED PURPOSE

1. Hawaii State Department of Health Tobacco Prevention and Education Program (TPEP):
 - To support the implementation of evidence-based environmental, policy, and systems interventions, strategies, and activities to reduce tobacco use, secondhand smoke exposure, tobacco-related disparities and associated disease, disability, and death.

SOURCE OF REVENUES:

1. Hawaii State Department of Health Tobacco Prevention and Education Program:
 - Centers for Disease Control and Prevention (CDC), National Center for Chronic Disease Prevention and Health Promotion, Office on Smoking and Health

CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:

1. Hawaii State Department of Health Tobacco Prevention and Education Program: CDC National State-Based Tobacco Control Program grant supports a comprehensive tobacco control program in Hawaii to achieve four national goals: 1) prevent initiation of tobacco use among youth and young adults; 2) promote quitting among adults and youth; 3) eliminate exposure of secondhand smoke; and 4) identify and eliminate tobacco-related disparities among population groups through the implementation of:
 - a. State and community interventions.
 - b. Mass-reach health communication interventions.
 - c. Cessation interventions.
 - d. Surveillance and evaluation.
 - e. Infrastructure, administration, and management.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: Injury Prevention and Control, PHHS Block Grant
 Legal Authority: Part A, Title XIX, Public Health Service Act

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S-16-587 H, S-17-587-H

Intended Purpose: Develop, promote, coordinate & evaluate projects & policies that lead to the reduction of injury deaths, hospitalizations & costs.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Collect, analyze, report data on causes of injury morbidity & mortality/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	90,000	48,884	90,000	90,000	90,000	90,000
Beginning Cash Balance	0	0	2,584	0	0	0	0
Revenues	0	43,700	46,102	48,950	90,000	90,000	90,000
Expenditures	0	41,116	48,686	48,879	90,000	90,000	90,000
Transfers							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	2,584	0	71	0	0	0
Encumbrances	0	4,185	0	10,166	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2017 Beginning Balance: MBP477-A OPTION:2, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2017 Encumbrances (Unliquidated Balance): MBP490-A, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenue for FYs 2018-20 is equal to the amount anticipated to be allocated from the federal award. The estimated expenditure is equal to fully expending the estimated revenue available within the appropriation ceiling.

Note 4: In FYs 2011 through FY 2013, this grant was reported with other grants in appropriation S 241 H, MOF "N." The supplemental budget for FY 15, ACT 122, SLH 2014, includes an appropriation of \$82,710 for this grant that aligns with an allocation of \$82,710 from the federal award. In FY 2015 (10/1/14), funding for this grant is reported in assigned appropriation S 587 H. In FY 2016 (10/1/15), funding for this grant is reported in S541. For FYs 2017-2020 (10/1/16) funding for this grant is reported in assigned appropriation S-587 H.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 907
 Name of Fund: Various Federal Grants from the US DHHS/HRSA/PHS
 Legal Authority: Act 164, SLH 2011, as amended by ACT 106, SLH 2012
Public Health Service Act, various sections, as amended.

Contact Name: Betty Wood
 Phone: 586-4530
 Fund type (MOF) P
 Appropriation Acct. No. S 588

Intended Purpose:

Preventive Health and Health Services Block Grant (PFFSBG) - This grant program was established in 1982 to help states and local communities focus on achieving the health objectives for the nation, identified in Healthy People 2000. States receiving block grant dollars must develop health plans, report to the federal government about their activities, and target public health interventions to populations in need. The flexible provisions of the grant allow each state to address health problems unique to that state. Examples of current funding areas include emergency epidemic response, chronic disease prevention and health promotion, emergency medical services, environmental health, infectious disease control, rape prevention, injury prevention, and disease and risk factor surveillance.

Source of Revenues:

US Department of Health and Human Services and Public Health Service. This grant number was S-228-H then changed to S-588-H. Going forward the assumption is this grant number will be S-588-H. This grant period ends annually on 9/30.

Current Program Activities/Allowable Expenses:

PHHSBG activities include the ongoing development of a Data and Information System Integration project; an Injury Prevention Initiative; support for statewide coordination of public education and awareness to Prevent Sexual Assault; and support for various special data projects as deemed appropriate.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	171,438		600,603		600,603	600,603	600,603
Beginning Cash Balance	0	0	5,029	(0)	63	63	63
Revenues	154,577	323,500	328,267	270,850	920,000	920,000	920,000
Expenditures	154,577	318,471	333,297	270,787	920,000	920,000	920,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	5,029	(0)	63	63	63	63
Encumbrances				93,911			
Unencumbered Cash Balance	0	5,029	(0)	(93,848)	63	63	63

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
Prog ID(s): HTH 560

Contact Name: Rowena Eddins
Phone: 733-9026

Name of Fund: Preventive Hlth and Hlth Svcs Block Grant – Sexual Assault Prev Prog (project: 0nn203)
Legal Authority: Part A, Title XIX, PHS Act, as amended

Fund type (MOF) P
Appropriation Acct. No. S 589 H

Intended Purpose: To provide support and coordination of statewide sexual violence prevention education services and to provide technical assistance to increase public awareness of sexual violence.

Source of Revenues: DHHS, CDC

Current Program Activities/Allowable Expenses:

A. Manage purchase of service contracts for sexual assault primary prevention services for the general public and/or for selected populations such as youth and immigrants to increase awareness of sexual violence and to reduce attitudes that tolerate sexual violence.

B. Collaborate on public awareness activities with Hawaii Coalition Against Sexual Assault.

C. To collaborate and plan with the Sexual Violence Primary Prevention Planning Committee for such activities as a needs assessment of attitudes about sexual violence among selected communities.

Purpose of Proposed Ceiling Adjustment (if applicable): Effective July 1, 2014, this grant is now appropriated in S 589 H.

Variances: The variances from FY 2015 to FY 2017 is due to changes in program activities. The variance in revenues and expenditures between FY 2017 and FY 2018 is due to the planned expenditure of the entire subaward amount in FY 2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	40,000	40,000	40,000	40,000	40,000	40,000
Beginning Cash Balance	0	0	730	0	22	22	22
Revenues	0	11,350	26,661	12,040	40,000	40,000	40,000
Expenditures	0	10,620	27,391	12,018	40,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	730	0	22	22	22	22
Encumbrances	0	22,000	0	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 560
 Name of Fund: WIC MIS PROJECT FUNDING
Healthy, Hunger Free Kids Act of 2010, Section
17(h)(10)(A) of the Child Nutrition Act of 1966, as
amended. Section 17(h)(10)(B) of the Child Nutrition Act
of 1966, as amended, Title 7, Part 246, Public Law 111-
296, 42 U.S.C. 1786.

Contact Name: SUSAN KANOUR
 Phone: 586-8190
 Fund type (MOF) P

Legal Authority 296, 42 U.S.C. 1786. Appropriation Acct. No. S 590 H

Intended Purpose: The fund contains HTH 560 GI federal grant funds. The purpose is to implement a MIS system to transfer existing data from and replace WIC's database called "SWICH".

Source of Revenues: USDA/Western Region Office/Food and Nutrition Services Technology funding.

Current Program Activities/Allowable Expenses: Initial funding for Phase I - Transfer and Replacement of MIS database. Funding expended, Phase I completed, project rolled out by 5/31/2017.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Not applicable.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	1,495,818	1,495,818	1,495,818	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	1,345,549	150,269	0	0	0
Expenditures	0	0	1,345,549	150,269	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances		1,495,818	150,269	0	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment).

Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 495
 Name of Fund: Hawaii Primary and Behavioral Health Care Integration Project
 Legal Authority: Section 334-7, HRS

Contact Name: Amy Yamaguchi
 Phone: 586-4682
 Fund type (MOF) P
 Appropriation Acct. No. S 592 H

Intended Purpose: The purpose of the grant is to improve the physical health status of adults who have severe and persistent mental illness through the provision of primary and specialty care medical services.

Source of Revenues: Hawaii's Primary and Behavioral Health Care Integration Project grant

Current Program Activities/Allowable Expenses: Activities include the provision of primary and specialty care medical services and support services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: The FY 2017 variances are attributed to delays in the establishment and filling of grant funded positions.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	0	0	0	0	0
Beginning Cash Balance		0	204	672	0	0	0
Revenues		3,200	14,653	18,334	150,000	300,000	
Expenditures		2,996	14,185	19,005	150,000	300,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	204	672	0	0	0	0
Encumbrances		696	2,647	2,817			
Unencumbered Cash Balance	0	(492)	(1,975)	(2,816)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 760
 Name of Fund: Hawaii National Violent Death Reporting System (NVDRS) Project
 Legal Authority: Centers for Disease Control's National Violence Death Reporting Project

Contact Name: Alvin T. Onaka Ph.D.
 Phone: (808) 586-4600
 Fund type (MOF) N
 Appropriation Acct. No. S-593-H

Intended Purpose:

Improve violent death data collection and reporting in the State of Hawaii

Source of Revenues:

Competitive federal grant award

Current Program Activities/Allowable Expenses:

Activities include working with local law enforcement, the Medical Examiner's office on Oahu and Medical coroners on the neighbor islands to discuss the collection and reporting of violent death (ie: murders and suicides) information. This includes meetings on all islands and data sharing agreements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 to FY16 pending carryover request due to start-up delay. FY16 to FY17 due to delays in procurement.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	337	20	5	5	5
Revenues		1,550	15,293	126,628	160,060	148,000	0
Expenditures		1,213	15,610	126,643	160,060	148,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	337	20	5	5	5	5
Encumbrances		1,289	175,381	25,000			
Unencumbered Cash Balance	0	(952)	(175,361)	(24,995)	5	5	5

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 100
 Name of Fund: Tuberculosis Control & Elimination
 Legal Authority: Public Law 112-74, Patient Protection and Affordable
 Care Act, PL 111-148

Contact Name: Phillip Nguyen
 Phone: 586-4581
 Fund type (MOF) P
 Appropriation Acct. No. S 517 H (FY14-15)
S 594 H (FY16-FY20)

Intended Purpose:

To prevent and reduce the incidence of tuberculosis (TB) in the State of Hawaii

Source of Revenues:

U.S Department of Health & Human Service/Centers for Disease Control and Prevention

Current Program Activities/Allowable Expenses:

TB outbreak and control activities, laboratory and direct observed therapy services

Purpose of Proposed Ceiling Increase (if applicable):

Variances: From FY15, the grant switched from S 517 to S 594. The variance between FY16 and FY17 was due to an increase in the grant award.

The variance between FY17 and FY18 is due to a projected increase again in the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				985,163	1,125,296	1,125,296	1,125,296
Beginning Cash Balance		0	18,631	22,100	49	49	49
Revenues	735,771	200,500	893,177	1,067,245	1,125,296	1,125,296	1,125,296
Expenditures	721,412	181,869	889,708	1,089,296	1,125,296	1,125,296	1,125,296
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	14,359	18,631	22,100	49	49	49	49
Encumbrances			3,441	210			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000602)
 Legal Authority: Patient Protection and Affordable Care Act, P.L. 111-148

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF) P
 Appropriation Acct. No. S 595 H

Intended Purpose: To strengthen and improve home visiting programs, improve service coordination for at risk communities, and identify and provide comprehensive evidence-based home visiting services to families who reside in at risk communities.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families

Current Program Activities/Allowable Expenses:

These funds are currently supporting the development of a Hawaii Home Visiting Network (HHVN). This is a public/private partnership with existing home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. This project will also build back a hospital based Early Identification program for families at risk for child maltreatment. Identified families will be offered home visiting services through the HHVN.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: This grant is identical to S 529 but we had established a separate appropriation code in FY 2015 since we received two \$1,000,000 grant in the same state fiscal year. The variance between FY 2016 to FY 2017 and FY 2018 is due to the projected expenditure of the remainder of the entire award in FY 2017 and FY 2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	1,000,000	0	0	0	0	0
Beginning Cash Balance	0	0	0	80	117	(0)	(0)
Revenues	0	0	966	727,741	271,293	0	0
Expenditures	0	0	886	727,704	271,410	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	80	117	(0)	(0)	(0)
Encumbrances	0	0	0	132,219	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Maternal, Infant and Early Childhood Home Visiting Program (MIECHV) (proj: 000753)
 Legal Authority: Patient Protection and Affordable Care Act, P.L. 111-148

Contact Name: Rowena Eddins
 Phone: 733-9026
 Fund type (MOF): P
 Appropriation Acct. No.: S 596 H

Intended Purpose: To continue to make significant progress under the MIECHV program towards implementing a high-quality home visiting program as part of a comprehensive early childhood system and maintain existing home visiting programs which have proven to be effective for eligible families or communities or incrementally expand the capacity to address additional unmet need.

Source of Revenues: U.S. Department of Health and Human Services, Health Resources and Services Administration, Administration for Children and Families
Current Program Activities/Allowable Expenses: These funds provide ongoing support to high-quality evidence-based home visiting programs and expand evidence-based home visiting programs, in whole or in part, through the MIECHV program by increasing enrollment and retention of families served. MIECHV funds support the Hawaii Home Visiting Network (HHVN), a public/private partnership of evidence-based home visiting programs to build capacity for home visiting services within the community and to address program sustainability for the future. The Department of Health (DOH) uses MIECHV funds to support Early Identification (EID) services prenatally and in birthing hospitals which screen families for child maltreatment risk factors.

Families who are identified to be at risk are voluntarily referred to HHVN partners who provide a comprehensive array of services that promote parental competence and successful early childhood health and development. In addition to supporting the HHVN EID and direct home visiting services, these funds provide expanded resources to: embarking on two year evaluation of home visiting program expansion, recruitment, enrollment, retention and quality improvement with Johns Hopkins University; launching a Brand Awareness/Social Media Campaign to increase recruitment, enrollment and retention; create a training institute with established Home Visitor Competencies. Strengthening relationships between home visiting, Department of Human Services, Child Welfare Services and early childhood community through shared experiences in the training institute and implementation of the State's Continuous Quality Improvement (CQI) Plan.

Purpose of Proposed Ceiling Adjustment (if applicable): Ceiling to be established in the Budget Details in the Supplement budget effective 7/1/16.

Variances: This MIECHV Expansion grant began 3/1/15. The entire grant award is 8,430,783 but doesn't expire until 9/30/2017. Depending on when activities are performed, expenditures and revenues in the state fiscal years covered by this grant will fluctuate. It is projected that the entire grant award will be spent down.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	8,430,783	8,430,783	8,430,783	2,836,945	8,430,783	8,430,783
Beginning Cash Balance	0	0	0	131,947	38	(2)	(2)
Revenues	0	0	2,352,218	3,241,657	2,836,906	0	0
Expenditures	0	0	2,220,271	3,373,566	2,836,946	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	131,947	38	(2)	(2)	(2)
Encumbrances	0	0	1,626,846	2,032,817	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOH
 Prog ID(s): HTH131DB
 Name of Fund: Hospital Preparedness Program (HPP) Ebola Preparedness and Response Activities
 Legal Authority: PHSA, SEC 311, 42 USC 243

Contact Name: John Istvan
 Phone: (808) 587-6592
 Fund type (MOF): N
 Appropriation Acct. No.: S-15-597 H

Intended Purpose: In partnership with referral hospitals and the local healthcare coalition, DOH will conduct an Ebola Virus Disease/infectious disease of high consequence preparedness and response program to improve the State healthcare system's readiness for a possible Ebola or high consequence infectious disease outbreak.

Source of Revenues: Federal funds.

Current Program Activities/Allowable Expenses: Funds will be used to support healthcare facilities and the healthcare coalition to develop consistent plans and capacity regarding identification, isolation, transport, and management of suspected or confirmed patients infected with Ebola or other high consequence infectious disease.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Variance due to timing of payments. The bulk of the funds were distributed in FY 2016.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			831,511				
Beginning Cash Balance		0	0	0	0	0	0
Revenues			677,745	13,016	40,000	35,000	10,338
Expenditures			677,745	13,016	40,000	35,000	10,338
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			143,764	130,748			
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii SBIRT
 Legal Authority Section 509 Public Health Service Act, as amended.

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 600 H

Intended Purpose: To implement screening, brief intervention, and referral to treatment (SBIRT) services for adults in primary care and community health
 Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to serve a minimum of 35,000 residents. Project services are designed to develop, expand, and enhance infrastructure to fully integrate SBIRT in six Federally Qualified Health Centers (FQHC) in Hawaii and up to twenty-five small group primary care practices (PCP) over five years and to establish the SBIRT model as a standard of care statewide. The SBIRT program seeks to address behavioral health disparities by encouraging the implementation of strategies, such as SBIRT, to decrease the differences in access, service use, and outcomes among the populations served. Implementing the SBIRT will aid in improving overall health outcomes, reducing the negative impact on health, and reducing healthcare costs. The HI-SBIRT has three goals: 1) Implement SBIRT in six FQHCs and twenty-five small group primary care practices; 2) Develop and expand State and community infrastructure to improve linkages and coordination between primary care and SUD treatment providers; and, 3) Expand existing behavioral health integration efforts which includes a plan to disseminate SBIRT to small primary care practices throughout the State.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: New five year award (project period 09/30/2016-09/29/2021) at \$1,658,375 per year. FY18 variance due to delayed start of purchase of service contracts to providers.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,658,375	1,658,375	1,658,375	1,658,375
Beginning Cash Balance	0	0	0	12,840	25,680	25,680	25,680
Revenues				12,840	1,658,375	1,658,375	1,658,375
Expenditures	0	0	0	0	1,658,375	1,658,375	1,658,375
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	25,680	25,680	25,680	25,680
Encumbrances				1,419,488			
Unencumbered Cash Balance	0	0	0	(1,393,807)	25,680	25,680	25,680

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: DOH
 Prog ID(s): HTH131DB
 Name of Fund: Zika Preparedness and Response Activities for the State of Hawaii
 Legal Authority: SEC391(A)317(K)OPPHS42U.S.C.SEC241A 247B

Contact Name: John Istvan
 Phone: (808) 587-6592
 Fund type (MOF): P
 Appropriation Acct. No. S-17-602 H

Intended Purpose: DOH will use Zika supplemental funding to build capacity and strengthen the state's ability to rapidly and effectively prepare for and respond to a Zika or other mosquito-borne disease outbreak. Goals include developing and implementing prevention strategies, coordinating statewide training and exercises, public information/outreach, disease surveillance, and related efforts to protect against local transmission.

Source of Revenues: Department of Health and Human Services, Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Current Program Activities/Allowable Expenses: Funds support the development and exercising of a joint statewide mosquito-borne disease outbreak response plan, public education materials (print, digital, broadcast media), visitor and community outreach, information sharing, strengthened vector control assets management, clinical outreach, electronic disease surveillance enhancements, and overall preparedness and response coordination efforts.

Purpose of Proposed Ceiling Adjustment (if applicable): Not applicable.

Variances: Expenses pertaining to activities that were planned for during the first year (conferences, SNS storage facility upgrade [Waimanu Home Road], tabletop exercises, etc.) are now being incurred and paid for.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	1,100,000			
Beginning Cash Balance	0	0	0	0	50	0	0
Revenues	0	0	0	264,950	778,332		
Expenditures	0	0	0	264,900	778,332		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	50	50	0	0
Encumbrances	0	0	0	19,002			
Unencumbered Cash Balance	0	0	0	(18,952)	50	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH 560
 Name of Fund: Hawaii Birth Defects Surveillance, Intervention, and Follow-Up for Zika Virus
 Legal Authority: Sections 243, 247b (k) and 247b-4 of the Public Health Service (PHS) Act, as amended

Contact Name: William L. Aakhus
 Phone: 733-9062
 Fund type (MOF) P
 Appropriation Acct. No. S 603 H

Intended Purpose: Surveillance, intervention, and referral to services activities for infants with microcephaly or other adverse outcomes linked with the Zika virus

Source of Revenue: DHHS, Centers for Disease Control and Prevention

Current Program Activities: Case ascertainment; implementation of a secure web based birth defects data system; integration of BD data system with other EI and child services' data systems; clinical case reviews by a clinical geneticist; coordinating communication and activities in the Family Health Services Division to promote Zika-related information and access to programs for infants and children with birth defects associated with Zika; referral to services; and infant follow-up until one year of age for developmental outcomes of babies identified with microcephaly and select CNS defects.

Purpose of Proposed Ceiling Adjustment (if applicable): Raised the ceiling from \$400,000 to \$600,000 in FY 2017 after receiving a \$200,000 supplemental award

Variances: The award was originally for a 3-years, \$400,000 per year with a Project Period of 8/1/16 - 7/31/19. In FY 2017 an additional \$200,000 Supplemental Award was received on 12/17/16. In FY 2018 the Centers for Disease Control and Prevention (CDC) announced that funding for the Year 2 Continuation is not available with Instructions for closeout effectively ending the budget/project period on 7/31/17. Subsequently, a 24-month No-Cost extension was approved to draw down the remaining funding. Current budget/project period end date is 7/31/2019.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	0	600,000	600,000	600,000	600,000
Beginning Cash Balance	0	0	0	0	4	4	4
Revenues	0	0	0	41,225	250,000	250,000	58,775
Expenditures	0	0	0	41,221	250,000	250,000	58,779
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	4	4	4	0
Encumbrances	0	0	0	20,803	0	0	0
Unencumbered Cash Balance	0	0	0	N/A	N/A	N/A	N/A

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HEALTH
 Prog ID(s): HTH590
 Name of Fund: National Cancer Prevention & Control Program
 Legal Authority: Various sections of the Public Health Service Act, as amended

Contact Name: Lola Irvin
 Phone: 586-4488
 Fund type (MOF) P
 Appropriation Acct. No. S 604 H

Intended Purpose: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured; Develop and implement statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation.

Source of Revenues: Centers for Disease Control, Division of Cancer Prevention and Control

Current Program Activities/Allowable Expenses: Cancer screening, diagnostics, monitoring, followup, treatment, education, and outreach; maintain coalitions, surveillance and implementation of the State Plan; support advocacy and awareness initiatives.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling					1,252,168	1,252,168	1,252,168
Beginning Cash Balance					0	0	0
Revenues					0		
Expenditures					0		
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	N/A	N/A	N/A	N/A	N/A	N/A

Additional Information: Project ended 6/29/2017; New project period 6/30/2017 - 6/29/2022; NOA received 7/20/2017 for 1st year; new appropriation S-17-604 for FY 2018 and beyond.

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Non-General Fund Information
Chronic Disease Prevention and Health Promotion Division
National Cancer Prevention and Control Programs**

INTENDED PURPOSE

1. National Cancer Prevention and Control Programs
 - a. Breast and Cervical Cancer Control Program: Provide free breast and cervical cancer outreach, screening, diagnostic, and case management services to high-risk women aged 50 and older who are low income and are uninsured or underinsured. Funds for treatment of women who are diagnosed with cancer in this program are available through a federal and state breast and cervical cancer treatment
 - b. Comprehensive Cancer Control Program: Develop and implement a statewide, integrated comprehensive cancer plan to reduce the incidence, morbidity and mortality of cancer through prevention, early detection, treatment, rehabilitation and palliation.

SOURCE OF REVENUES:

1. National Cancer Prevention and Control Programs (a, b, and c): CDC, Division of Cancer Prevention and Control.

CURRENT PROGRAM ACTIVITIES/ALLOWABLE EXPENSES:

1. National Cancer Prevention and Control Program
 - a. Breast and Cervical Cancer Control Program: Contract for statewide cancer screening and diagnostic services; monitor service providers for appropriate and timely follow-up and treatment; provide professional education to service providers and community outreach workers; provide public education materials and activities to promote early detection services; assure that women receive high quality and appropriate care through the program; maintain surveillance of population trends in the state to help plan outreach activities.
 - b. Comprehensive Cancer Control Program: Maintain the Hawaii Comprehensive Cancer Coalition; maintain the Comprehensive Cancer Surveillance System; disseminate the State Cancer Plan; maintain and facilitate the action teams identified in the State Plan to foster implementation of the plan. Support Cancer Advocacy and Awareness Initiatives.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 460
 Name of Fund: Wraparound Program for Youth In or At-Risk of Mainland Placement
 Legal Authority: Section 561 thru 565 of the Public Health Services Act As Amended

Contact Name: Wakaba Stephens
 Phone: 733-9866
 Fund type (MOF) P
 Appropriation Acct. No. S 605 H

Intended Purpose: To provide additional needed resources for the provision of comprehensive mental health services for individuals with severe and persistent mental illness.

Source of Revenues: Federally funded grant from SAMHSA.

Current Program Activities/Allowable Expenses:

Returning children and youth who are currently placed in out-of-state residential treatment facilities back to their home communities and preventing impending out-of-state placements when possible, utilizing a team decision-making model, fostering family and client strengths, creative problem-solving, as well as, providing clinical resources and consultation to ensure implementation of evidence based treatment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The grant did not start until the end of FY2017 and expected revenues and expenditures to increase from FY2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,523,715	1,938,629	2,318,223	2,318,223
Beginning Cash Balance	0	0	0	0	55	55	55
Revenues				80,900	1,500,000	2,000,000	2,000,000
Expenditures				80,845	1,500,000	2,000,000	2,000,000
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	55	55	55	55
Encumbrances				175,017			
Unencumbered Cash Balance	0	0	0	(174,962)	55	55	55

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 730
 Name of Fund: The Hawaii DPPI P&D Project
 Legal Authority: SEC 301, 317, & 391A 42USC241, 247B & 280B-B3

Contact Name: Terry Joyce
 Phone: 733-9217
 Fund type (MOF) P
 Appropriation Acct. No. S-17-607 H

Intended Purpose: Advance state-level prevention for drug abuse, misuse and overdose, the leading mechanism of injury-related mortality in Hawaii.

Source of Revenues: Department of Health and Human Services, Public Health Service Centers for Disease Control and Prevention.

Current Program Activities/Allowable Expenses: Improve epidemiology of opioid poisonings & strategic planning for their prevention/Personnel and other costs.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable.

Variances: See Note 3 and Note 4 below.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	870,000	290,000	290,000	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	31,825	411,449	290,000	0
Expenditures	0	0	0	31,789	411,449	290,000	0
Transfers							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	36	0	0	0
Encumbrances	0	0	0	121,449	0	0	0
Unencumbered Cash Balance	N/A	N/A	N/A	N/A	N/A	N/A	N/A

(Note: For federal funds, although funds are encumbered, federal funds are not drawn down until just prior to payment processing (approximately three days prior to payment.)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note 1: Data Source Reference for Actual FY 2017 Beginning Balance: MBP477-A OPTION:2, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 2: Data Source Reference for Actual FY 2017 Encumbrances (Unliquidated Balance): MBP490-A, CY17, CM06, as of 06/30/17, State of Hawaii Financial Accounting & Management Information System.

Note 3: The estimated revenue for FYs 2018-20 is equal to the amount anticipated to be allocated from the federal award. The estimated expenditure is equal to fully expending the estimated revenue available within the appropriation ceiling.

Note 4: The Project Period for this grant is from 09/01/2017 through 08/31/2018.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FF
 Name of Fund: Multipurpose Grant Program-Clean Air
 Legal Authority: Consolidated Appropriations Act 2016; Public Law No: 114-113; 2 CFR 200, 2 CFR 1500, and 40 CFR 33; Request to Expend Non-Appropriated Other Federal Funds--Governor approved 10/11/16

Contact Name: Nancy Bartter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 608 H

Intended Purpose: Ensure air pollution sources are properly regulated and are in compliance with all permit conditions, standards, and regulations.

Source of Revenues: Federal grant funds from Environmental Protection Agency.

Current Program Activities/Allowable Expenses: Replace, operate, and maintain ambient air quality monitoring equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New grant award in FY 17. One-time grant award. Non-appropriated.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	185,460	0	0
Expenditures				0	185,460	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA (Priority Area 1) AAA
 Legal Authority: PL 110-275

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 609 H

Intended Purpose: To help low-income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	33,278	33,278	33,278	33,278
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	33,278	33,278	33,278
Expenditures	0	0	0	0	33,278	33,278	33,278
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA (Priority Area 1) ADRC
 Legal Authority: PL 110-275

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 610 H

Intended Purpose: To help low-income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	28,145	28,145	28,145	28,145
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	28,145	28,145	28,145
Expenditures	0	0	0	0	28,145	28,145	28,145
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Health
 Prog ID(s): HTH 904-AJ
 Name of Fund: MIPPA (Priority Area 1) SHIPs
 Legal Authority: PL 110-275

Contact Name: S.Chun
 Phone: 586-7323
 Fund type (MOF) P
 Appropriation Acct. No. S 611 H

Intended Purpose: To help low-income Medicare beneficiaries apply for programs that make Medicare affordable.

Source of Revenues: Administration for Community Living

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	91,432	91,432	91,432	91,432
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	91,432	91,432	91,432
Expenditures	0	0	0	0	91,432	91,432	91,432
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	37,511			
Unencumbered Cash Balance	0	0	0	(37,511)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 840 FJ
 Name of Fund: Multipurpose Grant Program-SHWB
 Legal Authority: Consolidated Appropriations Act 2016; Public Law No: 114-113; 2 CFR 200, 2 CFR 1500, and 40 CFR 33; Request to Expend Non-Appropriated Other Federal Funds--Governor approved 4/27/17

Contact Name: Nancy Barter
 Phone: 586-7567
 Fund type (MOF) P
 Appropriation Acct. No. S 613 H

Intended Purpose: Provide resources related to implementation of the Red Hill Bulk Fuel Storage Facility Administrative Order on Consent.

Source of Revenues: Federal grant funds from Environmental Protection Agency.

Current Program Activities/Allowable Expenses: Personnel, travel, equipment, supplies, and other costs to attend meetings, review and comment on draft documents, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: New grant award in FY 17. One-time grant award. Non-appropriated.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	0	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	99,863	0	0
Expenditures				0	99,863	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	NA	NA	NA	NA	NA	NA	NA

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii Opioid STR
 Legal Authority: Section 1003 21st Century Cures Act, as amended

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF) P
 Appropriation Acct. No. S 614 H

Intended Purpose: To implement the Hawaii State Targeted Response to the Opioid Crisis (Hawaii STR).

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to increase access to opioid treatment, and reduce opioid overdose related deaths through the provision of prevention, treatment, and recovery activities for opioid use disorder (OUD) (including prescription opioids as well as illicit drugs such as heroin). The Hawaii STR grant seeks to prevent further effects of opioid use and avert further opioid crisis in the State of Hawaii.

The Hawaii STR has three goals: 1) Increase opioid treatment for over 400 individuals; 2) Expand services to areas in the state with the most unmet need such as Kauai Island; 3) Implement and expand proven and effective policies and strategies related to opioids, such as use of Prescription Drug Monitoring Program (PDMP).

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable. Short term federal award.

Variances: New two year award (project period 05/01/2017-04/30/2019) at \$2,000,000 per year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				2,000,000	2,000,000		
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 440
 Name of Fund: Hawaii YT-I
 Legal Authority: Section 509 Public Health Service Act, as amended

Contact Name: Edward Mersereau
 Phone: 692-7507
 Fund type (MOF): P
 Appropriation Acct. No. S 615 H

Intended Purpose: To implement screening, brief intervention, and referral to treatment (SBIRT) services for adults in primary care and community health settings for substance misuse and substance use disorders.

Source of Revenues: Substance Abuse and Mental Health Services Administration (SAMHSA)

Current Program Activities/Allowable Expenses:

The project expects to serve a minimum of 35,000 residents and services are designed to develop, expand, and enhance infrastructure to fully integrate SBIRT in six Federally Qualified Health Centers (FQHC) in Hawaii and up to twenty-five small group primary care practices (PCP) over five years and to establish the SBIRT model as a standard of care statewide. The SBIRT program seeks to address behavioral health disparities by encouraging the implementation of strategies, such as SBIRT, to decrease the differences in access, service use, and outcomes among the populations served. Implementing the SBIRT will aid in improving overall health outcomes, reducing the negative impact on health, and reducing healthcare costs.

The HI-SBIRT has three goals: 1) Implement SBIRT in six FQHCs and twenty-five small group primary care practices; 2) Develop and expand State and community infrastructure to improve linkages and coordination between primary care and SUD treatment providers; and, 3) Expand existing behavioral health integration efforts which includes a plan to disseminate SBIRT to small primary care practices throughout the State.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable.

Variances: New four year award (project period 09/30/2017-09/29/2021) at \$760,000 per year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				760,000	760,000	760,000	760,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: HTH
 Prog ID(s): HTH 710
 Name of Fund: HI NAHLN FY 17 Level 3 Member Lab Agreem
 Legal Authority: Act 49, SLH 2017

Contact Name: Marion Wong
 Phone: 453-6667
 Fund type (MOF) P
 Appropriation Acct. No. S 618 H

Intended Purpose: The purpose of this agreement is to provide infrastructure funding to help support participation in NAHLN

Source of Revenues United States Department of Agriculture (USDA), Animal and Plant Health Inspection Service (APHIS)

Current Program Activities/Allowable Expenses: The fund will be used for travel, equipment and software to enhance lab participation in NAHLN.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: New Award

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				0	50,000	0	0
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	45,668	0	0
Expenditures				0	45,668	0	0
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: HTH
 Prog ID(s): HTH 210 and HTH 212 (HTH 212 from FY 2010)
 Name of Fund: Hawaii Health Systems Corporation - Corporate Office / Regions
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Special
 Appropriation Acct. No. S-xx-320-H and S-xx-356-H
 which also include 303,350,351,352,353,354,
 355, 371, 358, 359, 373, 312, and 365

Intended Purpose:

The purpose of this account is to deposit all fees, proceeds, reimbursements, and other that is owed to or received by the Corporation.

Source of Revenues:

Medicare/Medicaid, HMSA, Quest, Kaiser, Other Third Party, Patients, Sale of Meals, and other Miscellaneous Sources

Current Program Activities/Allowable Expenses:

Corporation: The major activities carried out by HHSC Corporation include policy formulation, hospital system governance, business development, quality assurance, strategic direction, planning and coordination, financial management, legal counsel, personnel management, materials management, information systems, and technical services to support its community hospitals.

Regions: The major activities and service provided by the twelve community facilities constitute the primary hospital acute care provider on the neighbor islands, and, in most instances, the only inpatient hospital services in rural locations. Acute inpatient services include surgical, medical, critical care, obstetrics, pediatric, and psychiatric care. Outpatient care services include ambulatory surgery, home health, and emergency room services. Clinical services include nursing, anesthesiology, central supply, radiology, oncology, pathology, respiratory therapy, physical and occupational therapy, social services, pharmacy, and dietary. Support services include administration, admitting, business, personnel, data processing, medical records, logistics, housekeeping, and maintenance.

Purpose of Proposed Ceiling Increase (if applicable):

No special fund ceiling increase is being requested

Variances

Expenditure variance is due to collective bargaining pay raises.

Financial Data (amounts in 000s)							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	521,093	521,093	554,137	560,080	582,539	582,539	N/A
Beginning Cash Balance	34,309	55,687	86,485	102,833	121,830	80,678	0
Revenues	591,882	635,491	645,687	631,561	389,138	360,689	
General Funds	120,540	118,440	109,172	109,266	107,500	105,700	
Expenditures	675,524	709,049	718,305	714,556	539,741	482,990	
Repayment on Capital Lease Obligation, long-term debt, interest	20,515	38,639	21,998	14,181	11,159	11,076	
Other - Issuance of long-term debt	(24,801)	(12,347)					
Other - Short-term investment	17,306	(14,208)	(1,792)		(4,838)	(4,832)	
Other - Settlement of Maui Region Obligations					(12,219)		
Other - Principal pymt on amount owed to SOH	2,500	2,000					
Other - Estimated Net Change in Cash (detail to be provided later)				6,907		1,329	
Transfers							
Transfer of Appropriations from B&F for Maui severance					30,167		
Net Total Transfers							
Ending Cash Balance	55,687	86,485	102,833	121,830	80,678	49,498	0

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Encumbrances							
Unencumbered Cash Balance	55,687	86,485	102,833	121,830	80,678	49,498	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

**Beginning FY 2010, the Legislature separated the Corporate Office from the Regions and created HTH 212 for the Regions and left HTH 210 for the Corporate Office.

Effective July 1, 2017 Maui Memorial Medical Center, Kula Hospital and Lanai Community became Maui Health Systems, a Kaiser Foundation, LLC

Report on Non-General Fund Information
for Submittal to the 2016 Legislature

Department: HTH
 Prog ID(s): HTH 210
 Name of Fund: Weinberg Grand Fund
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Trust
 Appropriation Acct. No. funds held outside of Treasury

Intended Purpose:

The Hawaii Health Systems Corporation was awarded a grant from the Harry and Jeannette Wienberg Foundation for telemedicine and telehealth infrastructure equipment and related expenses.

Source of Revenues:

Grant from the Harry and Jeannette Weinberg Foundation

Current Program Activities/Allowable Expenses:

The account remains open, but for the past few years there have been no transaction with the exception of interest and account analysis fee.

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	44,562	44,383	44,219	44,055	43,896	43,737	43,578
Revenues							
Interest	9	9	9	9	9	9	9
Expenditures	188	173	173	168	168	168	168
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	44,383	44,219	44,055	43,896	43,737	43,578	43,419
Encumbrances							
Unencumbered Cash Balance	44,383	44,219	44,055	43,896	43,737	43,578	43,419

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2016 Legislature

Department: HTH
 Prog ID(s): HTH 212
 Name of Fund: Leahi Hospital, Salary Overpayment Trust Account
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Trust
 Appropriation Acct. No. T-909-H

Intended Purpose:

Established as a temporary holding account for recoveries until the debt is satisfied. Funds are then subsequently transferred to the program's special funds with an adjustment to the Department of Accounting and General Services' payroll system.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances

Financial Data (amounts in 000s)							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9	9	9	9	8	8	8
Revenues	2	-	-	-	-	-	-
Interest							
Expenditures	2	-	-	1	-	-	-
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	9	9	9	8	8	8	8
Encumbrances							
Unencumbered Cash Balance	9	9	9	8	8	8	8

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2016 Legislature

Department: HTH
 Prog ID(s): HTH 212
 Name of Fund: Patients' Safekeeping Trust Funds (Kona and Leahi)
 Legal Authority 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Trust
 Appropriation Acct. No. T-915 and T-925

Intended Purpose:

Established to manage and safeguard long-term care patients' monies, which included pension, retirement, social security, and miscellaneous income. The monies are expended from these accounts with the patients' consent to meet their personal needs.

Source of Revenues:

Patients

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances

Financial Data (amounts in 000s)							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	83	83	85	85	85	85	85
Revenues		2					
Interest							
Expenditures	0						
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	83	85	85	85	85	85	85
Encumbrances							
Unencumbered Cash Balance	83	85	85	85	85	85	85

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2016 Legislature

Department: HTH
 Prog ID(s): HTH 212
 Name of Fund: Donations, Gifts, and Grant Accounts (Maluhia, Hamakua, SMMH, Kau, KVMH)
 Legal Authority: 323F-7

Contact Name: Nadine Asai
 Phone: 733-4026
 Fund type (MOF) Trust
 Appropriation Acct. No. T-911, T-918, T-919, T-921, and T-923

Intended Purpose:

Established to receive donations, gifts and grants that are restricted by the grantor or donor or designated for specific purpose.

Source of Revenues:

Private foundations and individuals

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Increase (if applicable):

N/A

Variances

Financial Data (amounts in 000s)							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	36	36	36	36	36	36	36
Revenues							
Interest							
Expenditures							
Transfers							
List each net transfer in/out; list each account number							
Net Total Transfers							
Ending Cash Balance	36	36	36	36	36	36	36
Encumbrances							
Unencumbered Cash Balance	36	36	36	36	36	36	36

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Low Income Home Energy Assistance Program
 Legal Authority: Energy Policy Act of 2005, P.L. 109-58

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-203-L
CFDA 93.568

Intended Purpose: To assist eligible low income households to reduce their home energy costs by providing energy conservation education and by installing cost saving energy efficient measures into their homes.

Source of Revenues: U.S. Department of Health and Human Services, Administration for Children and Families
 Sub-award from State of Hawaii, Department of Human Services.

Current Program Activities/Allowable Expenses:

This grant provides administrative and program funds for the Low Income Home Energy Assistance Program (LIHEAP)

Purpose of Proposed Ceiling Adjustment (if applicable):

A new appropriation was established in FY18 for this newly funded award from State DHS. We are requesting a ceiling increase in subsequent years for this recurring federal grant.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					460,000	460,000	460,000
Beginning Cash Balance					0	0	0
Revenues					400,000	460,000	460,000
Expenditures					400,000	460,000	460,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number.							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PA
 Name of Fund: Work Opportunity Tax Credit (WOTC)
 Legal Authority: _____

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF) N
 Appropriation Acct. No. S-208-L

Intended Purpose: This federal tax credit was designed to help individuals from certain target groups who consistently face significant barriers to employment move from economic dependency to self-sufficiency by encouraging businesses to hire target group members and be eligible to claim tax credits against the wages paid to the new hires during the first year of employment.

Source of Revenues: CFDA17-271

Current Program Activities/Allowable Expenses: States are to use these formula grants for: accepting WOTC applications from employers; determining eligibility of individuals as members of the target groups; issuing employer certifications or denials; developing working agreements with partner agencies in American Job Centers (also called One-Stop Career Centers) or other State agencies to verify or document eligibility of new hires, including issuing Conditional Certifications; and coordinating efforts to promote WOTC with employers, job seekers and other Workforce innovation and Opportunity Act (WIOA) partners.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	0	0	206,000	209,102	210,000	210,000	210,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	65,586	98,102	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			65,586	98,102	100,000	100,000	100,000
Net Total Transfers	0	0	65,586	98,102	100,000	100,000	100,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PA
 Name of Fund: Alien Labor Certification Program
 Legal Authority: Administratively Created

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF): P
 Appropriation Acct. No. S-209-L

Intended Purpose: To provide greater protection for U.S. and foreign workers while assisting U.S. employers seeking to hire temporary foreign workers when no able, willing, and qualified U.S. workers are available. To ensure that adequate working and living conditions are provided for foreign and domestic workers.

Source of Revenues: CFDA17-273

Current Program Activities/Allowable Expenses: Employers may, upon meeting certain conditions of employment, use this assistance to seek the admission of foreign workers for positions in the United States, provided that the employment of such foreign workers will not adversely affect the wages and working conditions of similarly-employed U.S. workers

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	141,564	160,964	170,000	170,000	170,000
Beginning Cash Balance		0	0	0	0	0	0
Revenues		0	0	0	0	0	0
Expenditures		0	53,638	112,865	120,000	120,000	120,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			53,638	112,865	120,000	120,000	120,000
Net Total Transfers	0	0	53,638	112,865	120,000	120,000	120,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: Unemployment Insurance Administration
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF) N
 Appropriation Acct. No. S-211-L

Intended Purpose: To administer the statewide Unemployment Insurance Program.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: Payment of Administrative costs to administer the Unemployment Insurance Program

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000,000	20,000,000	22,022,060	21,000,000	15,000,000	17,000,000	17,000,000
Beginning Cash Balance	14,708	21,103	356,590	622,182	2,702,428	2,202,428	2,202,428
Revenues	15,259,468	14,752,386	15,162,741	15,168,162	15,000,000	15,000,000	15,000,000
Expenditures	15,253,073	14,333,898	14,904,083	11,906,940	14,000,000	14,000,000	14,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(83,001)	6,934	(1,180,976)	(1,500,000)	(1,000,000)	(1,000,000)
Net Total Transfers	0	(83,001)	6,934	(1,180,976)	(1,500,000)	(1,000,000)	(1,000,000)
Ending Cash Balance	21,103	356,590	622,182	2,702,428	2,202,428	2,202,428	2,202,428
Encumbrances							
Unencumbered Cash Balance	21,103	356,590	622,182	2,702,428	2,202,428	2,202,428	2,202,428

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 143
 Name of Fund: Occupational Safety & Health
 Legal Authority: _____

Contact Name: Naohiro Miyajima
 Phone: 586-8902
 Fund type (MOF) P
 Appropriation Acct. No. S-215-L

Intended Purpose: To fund federally approved comprehensive State occupational safety and health programs that are "at least as effective" as the Federal program.

Source of Revenue: CFD 17-503

Current Program Activities/Allowable Expenses: Grants to States to administer and enforce State programs for occupational safety and health, limited to States having federally approved plans.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,598,591	2,858,528	2,386,065	2,374,125	3,028,000	3,028,000	3,028,000
Beginning Cash Balance	14,010	7,871	82,794	120,385	128,008	128,008	128,008
Revenues	1,648,576	2,150,137	1,890,247	1,693,596	3,028,000	3,028,000	3,028,000
Expenditures	1,764,716	1,995,164	1,527,428	1,577,302	3,028,000	3,028,000	3,028,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	110,001	(80,050)	(325,228)	(108,671)			
Net Total Transfers	110,001	(80,050)	(325,228)	(108,671)	0	0	0
Ending Cash Balance	7,871	82,794	120,385	128,008	128,008	128,008	128,008
Encumbrances							
Unencumbered Cash Balance	7,871	82,794	120,385	128,008	128,008	128,008	128,008

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PA
 Name of Fund: Trade Adjustment Assistance
 Legal Authority: _____

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF) P
 Appropriation Acct. No. S-216-L

Intended Purpose: The Trade Adjustment Assistance (TAA) for Workers Program is a federal entitlement program that assists workers impacted by foreign trade. Through the provision of a number of employment-related benefits and services, the TAA Program provides trade-affected workers with opportunities to obtain the support, resources, skills, and credentials they need to return to the workforce in a good job in an in-demand industry. The program services include training, employment and case management services, job search allowances, relocation allowances, wage supplements for workers aged 50 and older, and Trade Readjustment Allowances (TRA).

Source of Revenues: CFDA17-245

Current Program / Activities / Allowable Expenses: The weekly subsistence payments and wage supplements of TRA and TRA-V are administered under the Unemployment Insurance program (see CFDA 17.225). State unemployment compensation and extended benefits must be exhausted before TRA is paid to eligible claimants. Trade impacted workers are eligible to receive job search and relocation allowances in addition to the costs of training. They may be paid subsistence and transportation allowances to attend approved training outside the normal commuting distance of a worker's regular place of residence.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	447,266	3,287,239	3,300,000	3,300,000	3,300,000
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	130,075	638,051	650,000	650,000	650,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			130,075	638,051	650,000	650,000	650,000
Net Total Transfers	0	0	130,075	638,051	650,000	650,000	650,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111/ PB
 Name of Fund: Older American Program
 Legal Authority: Administratively Created

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF) N
 Appropriation Acct. No. S-222-L

Intended Purpose To provide employment and training services to Older Americans

Source of Revenue Federal Older Americans Program Funs (CFDA17.235)

Current Program Activities/Allowable Salaries, fringe benefits and training cost for older individuals placed in positions at government or non-profit agencies

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,814,197	2,231,455	2,224,268	2,316,676	1,846,110	1,846,110	1,846,110
Beginning Cash Balance	0	1,548	651	146,856	0	0	0
Revenues	1,430,400	1,852,400	1,723,517	238,662	0	0	0
Expenditures	1,428,852	1,853,297	1,577,311	1,951,630	1,700,000	1,700,000	1,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				1,566,112	1,700,000	1,700,000	1,700,000
Net Total Transfers	0	0	0	1,566,112	1,700,000	1,700,000	1,700,000
Ending Cash Balance	1,548	651	146,856	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	1,548	651	146,856	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Labor and Industrial Relations
 Prog ID(s): LBR 143
 Name of Fund: EA Consultation Agreements
 Legal Authority: Occupational Safety and Health Act, Section 21(d),

Contact Name: Naohiro Miyajima
 Phone: 586-8902
 Fund type (MOF) P
 Appropriation Acct. No. S-223-L

Intended Purpose: To fund consultative workplace safety and health services, targeting smaller employers with more hazardous operations.

Source of Revenues: US Department of Labor/ Occupational Safety and Health Administration

Current Program Activities/Allowable Expenses: Cooperative Agreements to States to provide occupational safety and health consultative services to

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				521,365	661,837	483,655	483,655
Beginning Cash Balance	-	-	-	-	-	-	-
Revenues							
Expenditures				325,228	432,227	483,655	483,655
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				325,228	432,227	483,655	483,655
Net Total Transfers	-	-	-	325,228	432,227	483,655	483,655
Ending Cash Balance	-	-	-	-	-	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	-	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: Reemployment Services & Eligibility Assessment (RESEA)
 Legal Authority: Workforce Innovation and Opportunity Act, P.L 113-28

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF) N
 Appropriation Acct. No. S-227-L

Intended Purpose: Funds are appropriated for Unemployment Insurance RESEA to conduct in-person reemployment and eligibility assessments and to provide reemployment services and referrals to training, as appropriate.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: Funds used to pay PSPB for UI Staff and NPS to provide RESEA services to UI Claimants and to cover expenditures under an MOU between UI and WDD to provide the reemployment services and referrals of training to UI claimants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		500,000	500,000	1,100,000	1,300,000	1,300,000	1,300,000
Beginning Cash Balance		0	0	0			
Revenues							
Expenditures			310,396	862,812	900,000	900,000	900,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			from S-16-211	From S-17-211	From S-18-211	From S-19-211	From S-20-211
			310,396	862,812	900,000	900,000	900,000
Net Total Transfers	0	0	310,396	862,812	900,000	900,000	900,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135
 Name of Fund: WIA Youth/Adult/Dislocated Workers Formula
 Legal Authority: Administratively Created

Contact Name: Kim Saito
 Phone: 6-8903
 Fund type (MOF) N
 Appropriation Acct. No. S-231-L (including S-14 to S-17)

Intended Purpose: To provide employment and training services to dislocated workers and disadvantaged adults and youth.

Source of Revenues: Federal Workforce Innovation and Opportunity Act Formula Programs (WIOA)

Current Program Activities/Allowable Expenses: Administrative and program expenses to carry out the functions of WIOA at the state and county levels.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	29,049,898	30,461,281	31,983,428	35,158,021	20,582,089	16,395,538	16,784,486
Beginning Cash Balance	197,108	287,295	437,815	498,083	118,339	129,498	96,879
Revenues	7,369,129	7,639,627	5,163,268	5,410,663	7,335,000	6,131,052	8,100,000
Expenditures	7,278,941	7,489,107	5,103,000	5,790,407	7,323,841	6,163,671	8,105,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	287,295	437,815	498,083	118,339	129,498	96,879	91,879
Encumbrances							
Unencumbered Cash Balance	287,295	437,815	498,083	118,339	129,498	96,879	91,879

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: Labor and Industrial Relations
 Prog ID(s): LBR 901
 Name of Fund: OSHA Bureau of Labor Statistic Program
 Legal Authority: Public Law 62-426, 71-537, 91-596 and 94-206, 29 CFDA 17.005

Contact Name: Naohiro Miyajima
 Phone: 586-8902
 Fund type (MOF) P
 Appropriation Acct. No. S-232-L

Intended Purpose: To provide, analyze, and publish a diverse set of measures of employee compensation, including cost, wages, and benefits and work-

Source of Revenues: Federal Project Grants from US Department of Labor, Bureau of Labor Statistics

Current Program Activities/Allowable Expenses: This program provides the following information: (A) level and trend data on employee wages and

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: None

Financial Data							
	FY 2013	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				125,000	148,657	90,400	90,400
Beginning Cash Balance		-	-	-	-		
Revenues				-			
Expenditures				64,443	75,730	90,400	90,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number from appropriation 291							
				64,443	75,730	90,400	90,400
Net Total Transfers	-	-	-	64,443	75,730	90,400	90,400
Ending Cash Balance	-	-	-	-	(0)	-	-
Encumbrances							
Unencumbered Cash Balance	-	-	-	-	(0)	-	-

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Refugee Cash and Medical Assistance Program
 Legal Authority: SECTION 412(E)(5) P.L.82-414,
IMMIGRATION AND NATIONALITY ACT (8 USC 1522)

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-234-L
CFDA 93.566

Intended Purpose:

To provide cash and medical assistance to refugees, asylees, victims of a severe form of trafficking during their first eight months after their arrival in this country.

Source of Revenues: U.S. Department of Health & Human Services-ACF

Current Program Activities/Allowable Expenses:

This grant provides funding for programmatic and administrative expenses for this program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	20,000	20,000	25,000	25,000	25,000	25,000
Beginning Cash Balan	0	0	0	0	0	0	0
Revenues		26,621	15,037	8,201	10,000	10,000	10,000
Expenditures		26,621	15,036	8,201	10,000	10,000	10,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Refugee Social Services Programs
 Legal Authority SECTION 412(E)(5) P.L.82-414,
IMMIGRATION AND NATIONALITY ACT (8 USC 1522)

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-235-L
CFDA 93.566

Intended Purpose:

To provide refugees with less than five years of United States residency since their date of entry with assistance for employment and other social services.

Source of Revenues: U.S. Department of Health & Human Services-ACF

Current Program Activities/Allowable Expenses:

This grant provides funds to support program and administrative expenses for this program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	75,000	75,000	75,000	75,000	75,000	75,000	80,000
Beginning Cash Balance	0	0	3,595	8,169	6,236	(0)	(0)
Revenues		68,268	54,401	77,677	75,000	75,000	80,000
Expenditures		64,673	49,827	79,610	75,000	75,000	80,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
cash transfer out to G-00-000 PY exp					(6,236)		
Net Total Transfers	0	0	0	0	(6,236)	0	0
Ending Cash Balance	0	3,595	8,169	6,236	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash	0	3,595	8,169	6,236	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Low Income Weatherization Assistance Program
 Legal Authority: Energy Policy Act of 2005, P.L. 109-58

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-236-L
CFDA 81.042

Intended Purpose: To Improve home energy efficiency for low income families through the most cost-effective measure possible.

Source of Revenues: U.S. Department of Energy - Energy Efficcy & Renewable Energy Office

Current Program Activities/Allowable Expenses:

This grant provides administrative and program funds for the Weatherization Assistance Program (WAP).

Purpose of Proposed Ceiling Adjustment (if applicable):

The FFY18 WAP grant is a three (3) year grant; US DOE will fund on an annual basis. A new appropriation of \$210,000 for FY18 and FY19 will provide adequate ceiling to accommodate this multi-year grant.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	76,406	161,218	195,448	206,123	210,000	210,000	210,000
Beginning Cash Balan	0	0	3,771	0	0	0	0
Revenues		102,283	133,559	184,716	210,000	210,000	210,000
Expenditures		98,512	137,330	184,716	210,000	210,000	210,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	3,771	0	0	0	0	0
Encumbrances							
Unencumbered Cash	0	3,771	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Temporary Emergency Food Assistance Program
 Legal Authority: Temporary Emergency Food Assistance Act of 1983

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF): N
 Appropriation Acct. No. S-XX-237-L
CFDA 10.568

Intended Purpose:

TO HELP SUPPLEMENT THE DIETS OF LOW-INCOME PERSONS BY MAKING FUNDS AVAILABLE TO STATES FOR PROCESSING, STORAGE AND DISTRIBUTION COSTS INCURRED BY STATE AGENCIES AND LOCAL ORGANIZATIONS, IN PROVIDING FOOD ASSISTANCE TO NEEDY PERSONS.

Source of Revenues: U.S.D.A. - Food and Nutrition Service

Current Program Activities/Allowable Expenses:

This grant provides funding to cover administrative costs incurred in the operation of the Food Commodities/Entitlement Program CFDA 10.569

Purpose of Proposed Ceiling Adjustment (if applicable):

An appropriation of \$239,243 for FY 2018 and 2019 will provide sufficient appropriation to cover the anticipated grant. FFY17 we were awarded \$179,554. We anticipate an increase in funding in subsequent years.

Variances:

The FY 17 appropriation was increased in the Supplemental Budget based on the FFY 2015 grant total. This grant allows food funds to be transitioned to administrative funds, which was done in FFY 15. The grant allocated to Hawaii (without transitioning food funds to administrative funds) in FFY 15/16 was \$170,318 and in FFY 16/17 was \$179,554.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	147,192	146,100	169,261	239,243	239,243	239,243	239,243
Beginning Cash Balance	0	0	3,612	(0)	(0)	(0)	(0)
Revenues		146,098	197,390	159,090	180,000	200,000	239,243
Expenditures		142,486	201,002	159,090	180,000	200,000	239,243
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	3,612	(0)	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash	0	3,612	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Seniors Farmers Market Nutrition Program
 Legal Authority: P.L. 107-171, 109-97, U.S. Department of Agriculture,
Food and Nutrition Services, and 110-246

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-238-L
CFDA 10.576

Intended Purpose:

(1) TO PROVIDE FRESH, NUTRITIOUS, UNPREPARED, LOCALLY GROWN FRUITS, VEGETABLES, HERBS AND HONEY FROM FARMERS MARKETS, ROADSIDE STANDS AND COMMUNITY SUPPORTED AGRICULTURE PROGRAMS TO LOW-INCOME SENIORS. (2) TO INCREASE THE CONSUMPTION OF AGRICULTURAL COMMODITIES BY EXPANDING, DEVELOPING, OR AIDING IN THE DEVELOPMENT AND EXPANSION OF DOMESTIC FARMERS MARKETS, ROADSIDE STANDS, AND COMMUNITY SUPPORTED AGRICULTURE PROGRAMS.

Source of Revenues: U.S.D.A. - Food and Nutrition Service

Current Program Activities/Allowable Expenses:

This grant provides administration and program funding for this program. Two non-profit organizations presently participate in this program.

Purpose of Proposed Ceiling Adjustment (if applicable):

The estimated FY 18 appropriation was based on the actual FFY 17 funding.

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	476,732	498,063	467,799	497,231	500,000	500,000	520,000
Beginning Cash Balance	0	13,455	5,735	0	0	0	0
Revenues	446,179	469,426	448,323	483,573	500,000	500,000	520,000
Expenditures	432,723	477,146	454,058	483,573	500,000	500,000	520,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13,455	5,735	0	0	0	0	0
Encumbrances							
Unencumbered Cash	13,455	5,735	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: UI Fraud Detection System
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF) N
 Appropriation Acct. No. S-245-L

Intended Purpose: To detect and prevent fraudulent UI overpayments.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: To design and implement applications to detect and prevent fraudulent UI overpayments.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling					500,000	500,000	500,000
Beginning Cash Balance		0	0	0			
Revenues							
Expenditures					250,000	250,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					From S-18-211	From S-18-211	
					250,000	250,000	
Net Total Transfers	0	0	0	0	250,000	250,000	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: UI Program Integrity and Performance and System Improvements
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF) N
 Appropriation Acct. No. S-257-L

Intended Purpose: To design and implement applications to 1) prevent overpayments of UI benefits to individuals who are incarcerated and not qualified to receive UI benefits and 2) to procure and develop a report and payment receiving solution to improve UI program integrity, reduce the improper payment rate and improve overpayment recovery. Funds will be used to implement 2014 Program Integrity and Performance and System Improvements under Section 302 of the Social Security Act activities for worker misclassification.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: 1) To hire a contractor to design an application to crossmatch a UI file of active claims with files obtained from the Department of Public Safety containing newly incarcerated individuals. 2) To hire a contractor to design a full scale UI Employer Web Application which allows liable employers to view UI account history and file quarterly wage data online and make contribution payments through an ACH process.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			598,390	598,390	598,390	598,390	
Beginning Cash Balance		0	0	0			
Revenues							
Expenditures			112,842	358,762	126,786		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			from S-16-211	From S-17-211	From S-18-211		
			112,842.00	358,762.00	126,786		
Net Total Transfers	0	0	112,842.00	358,762.00	126,786	0	0
Ending Cash Balance	0	0	0.00	0.00	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0.00	0.00	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: UI Accessibility Automation for Limited English Proficiency
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF) N
 Appropriation Acct. No. S-258-L

Intended Purpose: To improve service to Hawaii's Limited English Proficiency (LEP) customers and ensure equal, effective and meaningful access to benefit rights afforded under the UI program. Funds will be used to implement 2015 Program Integrity and Performance and System Improvements under Section 302 of the Social Security Act.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: To expand and enhance the UI claimant web filing process to enable certain Limited English Proficiency (LEP) individuals to apply for benefits online.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			500,000	500,000	500,000	500,000	
Beginning Cash Balance		0	0	0			
Revenues							
Expenditures					250,000	250,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					From S-18-211	From S-18-211	
					250,000	250,000	
Net Total Transfers	0	0	0	0	250,000	250,000	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Social Services Block Grant
 Legal Authority: SOCIAL SECURITY ACT, TITLE XX

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-262-L
CFDA 93.667

Intended Purpose: To furnish social services best suited to the needs of the individuals residing in the State. Federal block grant funds may be used to provide services directed toward one of the following five goals specified in the law: (1) To prevent, reduce, or eliminate dependency; (2) to achieve or maintain self-sufficiency; (3) to prevent neglect, abuse, or exploitation of children and adults; (4) to prevent or reduce inappropriate institutional care; and (5) to secure admission or referral for institutional care when other forms of care are not appropriate.

Source of Revenues: U.S. Department of Health and Human Services, Administration for Children and Families, Title XX grant.
 Sub-award from State of Hawaii, Department of Human Services.

Current Program Activities/Allowable Expenses:

This appropriation is for the Kids 100 and Kids 200 Legal Advocacy Program to provide legal services to protect the rights of families/caregivers and their children. 100% of the funds provided to LBR 903 from Hawaii DHS are used to contract with a non-profit organization to administer and deliver services under this program.

Purpose of Proposed Ceiling Adjustment (if applicable):

This program is funded through two different funding sources at Hawaii DHS: TANF and CWS Title XX. DHS transfers \$150K in CWS Title XX funds to OCS for administration and it administers \$132K in TANF funds. This appropriation can be reduced to \$150K, the CWS Title XX funding that is transferred to LBR 903 for administration.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				282,000	282,000	282,000	150,000
Beginning Cash Balance	0	0	0	26,471	52,490	0	0
Revenues		150,000	150,000	150,000	150,000	150,000	150,000
Expenditures		150,000	123,529	123,981	202,490	150,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	26,471	52,490	0	0	0
Encumbrances							
Unencumbered Cash	0	0	26,471	52,490	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Community Services Block Grant
 Legal Authority: Community Services Block Grant Act 1981,
Public Law 97-34, amended by P.L. 106-113, 45 CFR Part 96,
OMB Circular A-87

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-293-L
CFDA 93.569

Intended Purpose:

To provide assistance through network of CAA for the reduction of poverty, the revitalization of low income communities and the empowerment of low income families in rural and urban areas to become fully self-sufficient.

Source of Revenues: U.S. Department of Health & Human Services-ACF

Current Program Activities/Allowable Expenses:

This grant provides administrative and program funding to the State and four (4) designated Community Action Agencies.

Purpose of Proposed Ceiling Adjustment (if applicable):

The FY 2018 appropriation estimate (\$3.8 million) was based on the actual FFY16 award \$3,757,000 for this program. We anticipated a slight increase in FY 18 and FY 19. Actual FFY17 award was \$3,674,800.

Variances:

In reviewing old accounts, we discovered that S-14-293 has a cash balance of \$423,443. We researched this balance and were unable to identify the source of the cash (CSBG, other Federal programs, or old JVs) or potential payables. Thus, we are proposing to transfer the cash balance to G-00-000 to clear this account. In the event that payables associated to this cash are discovered, we will need to request a general fund appropriation.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,561,406	3,517,270	3,756,815	3,674,796	3,800,000	3,800,000	3,800,000
Beginning Cash Balance		418,182	423,833	423,443	429,667	(0)	(0)
Revenues		3,434,797	3,273,402	3,112,611	3,693,776	3,800,000	3,800,000
Expenditures		3,429,145	3,273,792	3,106,387	3,700,000	3,800,000	3,800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
transfer out to G-00-000 PYs cash					(423,443)		
Net Total Transfers	0	0	0	0	(423,443)	0	0
Ending Cash Balance	418,182	423,833	423,443	429,667	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash	418,182	423,833	423,443	429,667	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 183/DA
 Name of Fund: Special Compensation Fund (SCF)
 Legal Authority: Chapter 386-151, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF) Special (B), Trust (T)
 Appropriation Acct. No. S-302-L, T-907-L

Intended Purpose: To pay benefits to injured workers for second injuries, uninsured and insolvent employers, benefit adjustment, attendant services and concurrent employment.

Source of Revenues: Special assessment on the gross premiums of employers' workers' compensation (WC) insurance, interest earned on investments, fines, forfeits, and penalties.

Current Program Activities/Allowable Expenses: Payment of WC benefits, WC claims facilitator branch, litigation costs, audit fees, and legal services through the Department of Attorney General.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	23,821,406	23,851,406	23,851,406	23,851,406	23,937,031	23,937,031	23,937,031
Beginning Cash Balance	15,270,458	13,457,014	12,456,536	13,477,500	16,066,612	16,066,612	16,066,612
Revenues	13,732,481	15,058,666	15,859,015	16,956,975	16,000,000	16,000,000	16,000,000
Expenditures	15,545,925	16,059,144	14,838,051	14,367,863	16,000,000	16,000,000	16,000,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	13,457,014	12,456,536	13,477,500	16,066,612	16,066,612	16,066,612	16,066,612
Encumbrances			83,940	65,363			
Unencumbered Cash Balance	13,457,014	12,456,536	13,393,560	16,001,249	16,066,612	16,066,612	16,066,612

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 183/DA
 Name of Fund: Special Compensation Fund For Temporary Disability Benefits
 Legal Authority: Chapter 392-61, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Special (B), Trust (T)
 Appropriation Acct. No. S-303-L, T-906-L

Intended Purpose: To pay temporary disability benefits for disabilities resulting from non-work related injuries or illnesses to individual who become disabled when unemployed, and to employees of insolvent or non-complying employers.

Source of Revenues: Interest earned on investment

Current Program Activities/Allowable Expenses: Payment of temporary disability benefits.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	100,000	100,000	100,000	100,000	100,000
Beginning Cash Balance	2,463,155	2,458,615	2,459,134	2,457,228	2,480,495	2,475,495	2,470,495
Revenues	12,782	10,257	14,877	34,018	15,000	15,000	15,000
Expenditures	17,322	9,738	16,783	10,751	20,000	20,000	20,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	2,458,615	2,459,134	2,457,228	2,480,495	2,475,495	2,470,495	2,465,495
Encumbrances							
Unencumbered Cash Balance	2,458,615	2,459,134	2,457,228	2,480,495	2,475,495	2,470,495	2,465,495

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 183/DA
 Name of Fund: Premium Supplemental Fund (PHC)
 Legal Authority: Chapter 393-41, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF) Special (B), Trust (T)
 Appropriation Acct. No. S-308-L, T-908-L

Intended Purpose: To pay premium supplementation to qualified employers and the payment of health care expenses to eligible employees of non-complying or insolvent employers.

Source of Revenues: Interest earned on investment

Current Program Activities/Allowable Expenses: Payment of premium supplementation and health care expenses.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	500,000	500,000	500,000	500,000	500,000	500,000
Beginning Cash Balance	1,177,477	765,261	270,480	72,958	73,065	73,065	73,065
Revenues	3,020	2,696	1,312	107	0	0	0
Expenditures	415,236	497,477	198,834	0	0	0	0
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	765,261	270,480	72,958	73,065	73,065	73,065	73,065
Encumbrances							
Unencumbered Cash Balance	765,261	270,480	72,958	73,065	73,065	73,065	73,065

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 902/AA
 Name of Fund: Reduced Ignition Propensity Cigarette Program Spec Fund
 Legal Authority: Section 132C-4, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF) Special (B)
 Appropriation Acct. No. S-311-L

Intended Purpose: Funds to monitor and ensure only reduced propensity cigarettes be sold in the state.

Source of Revenues: Certification fees from cigarette manufacturers, and interest on investments.

Current Program Activities/Allowable Expenses: Personnel and operating costs to enforce only reduced propensity cigarette are sold in the State.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	459,298	469,952	459,914	486,134	617,817	485,817	435,817
Revenues	10,654	129,907	139,328	157,557	150,000	150,000	150,000
Expenditures	0	139,945	113,108	25,874	282,000	200,000	200,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	469,952	459,914	486,134	617,817	485,817	435,817	385,817
Encumbrances			7,284	151,883			
Unencumbered Cash Balance	469,952	459,914	478,850	465,934	485,817	435,817	385,817

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171
 Name of Fund: Unemployment Insurance Fund
 Legal Authority: Chapter 383-127, HRS

Contact Name: Ferdinand B. Casabay
 Phone: 586-8905
 Fund type (MOF) Special (B)
 Appropriation Acct. No. S-313-L (prev app S-314)
 Effective 7/1/16, reported as S-313--L

Intended Purpose: Payment of refunds, interest, and expenditures deemed necessary in the administration of thi chapter for which no allocation of federal adminstration funds have been made.

Source of Revenue: Interest, fines and penatities collected pursuant to Chapter 383 Hawaii Revised Statutes.

Current Program Activities/Allowable Expenses: Payment of various expenses relating to the administration of the unemployment insurance program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	931,310	2,000,000	2,000,000	3,191,310	3,000,000	3,500,000	3,500,000
Beginning Cash Balance	6,213,885	7,573,564	8,871,703	9,500,879	9,349,983	10,349,983	11,349,983
Revenues	1,354,605	1,429,860	923,699	1,561,001	1,200,000	1,200,000	1,200,000
Expenditures	0	5,550	14	2,105,912	200,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Misc Trf fr S-314				394,000			
CW E09339 adj				14			
Net Total Transfers	(121,097)	(126,171)	(294,509)	394,015	0	0	0
Ending Cash Balance	7,447,393	8,871,703	9,500,879	9,349,983	10,349,983	11,349,983	12,349,983
Encumbrances							
Unencumbered Cash Balance	7,447,393	8,871,703	9,500,879	9,349,983	10,349,983	11,349,983	12,349,983

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 111 / Placement Services
 Name of Fund: Employment and Training Special Fund
 Legal Authority: Chapter 383-128, HRS

Contact Name: Sam Ngo
 Phone: 586-9973
 Fund type (MOF): Special (B)
 Appropriation Acct. No. S-316-L

Prior to 7/1/16, reported as S-318-L
and S-315-L

Effective 7/1/16, reported as S-316-L

Intended Purpose: To provide grants and subsidies to public, private and non-profit organizations for employment, education and training.

Source of Revenues: Employer contributions

Current Program Activities/Allowable Expenses: A) Programs to create a more diversified base for businesses; B) Programs for high-growth industries with critical shortages; C) Programs to retrain unemployed workers; D) Programs for individuals who do not qualify for federal or state programs; E) Programs for individuals to improve career employment prospects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Revenue - Employment and training fund revenues are based on assessments imposed at a rate of .01 per cent of taxable wages. Variances are attributed to fluctuations in employer assessments. Expenses - services are adjusted to ensure continued solvency of the fund.

	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,643,786	3,642,288	3,642,288	5,940,010	5,940,010	5,940,010	5,940,010
Beginning Cash Balance	2,460,679	2,887,928	2,635,711	3,100,495	2,815,279	2,515,279	2,215,279
Revenues	1,417,646	1,129,425	1,549,751	986,292	1,000,000	1,000,000	1,000,000
Expenditures	990,397	1,381,642	1,084,968	1,271,508	1,300,000	1,300,000	1,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,887,928	2,635,711	3,100,495	2,815,279	2,515,279	2,215,279	1,915,279
Encumbrances							
Unencumbered Cash Balance	2,887,928	2,635,711	3,100,495	2,815,279	2,515,279	2,215,279	1,915,279

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 143/EA
 Name of Fund: Hoisting Machine Operators' Certification Revolving Fund
 Legal Authority: Chapter 396-20, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF) Revolving (W)
 Appropriation Acct. No. S-330-L

Intended Purpose: Certification of hoisting machine operators

Source of Revenues: Certification fee, penalties, fines, and interest earned on investments.

Current Program Activities/Allowable Expenses: Personnel and operating expenses for an executive director for the hoisting machine operators advisory board, preparation and dissemination of public information on certification and training, and preparation of annual reports on activities and accomplishments.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	70,000	70,000	70,000	70,000	70,000	70,000	70,000
Beginning Cash Balance	333,580	352,007	360,898	349,435	330,650	310,650	290,650
Revenues	49,275	43,451	35,447	32,293	30,000	30,000	30,000
Expenditures	30,848	34,560	53,816	51,078	50,000	50,000	50,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	352,007	360,898	342,529	330,650	310,650	290,650	270,650
Encumbrances							
Unencumbered Cash Balance	352,007	360,898	342,529	330,650	310,650	290,650	270,650

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 143/EB
 Name of Fund: Boiler and Elevator Special Fund
 Legal Authority: Chapter 397-20, HRS

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF) Special (B)
 Appropriation Acct. No. S-331-L

Intended Purpose: Personnel and operating costs to inspect and certify boilers and elevators in the state of Hawaii.

Source of Revenues: Inspection fees, penalties, fines, and interest on investments.

Current Program Activities/Allowable Expenses: Personnel and operating costs to inspect and certify boilers and elevators, staff training and certification fees, preparation and dissemination of public information on safe installation and use of equipment.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,867,932	2,867,932	2,940,342	2,972,676	3,002,955	3,002,955	3,002,955
Beginning Cash Balance	1,001,867	1,157,854	1,057,599	1,030,247	570,620	570,620	570,620
Revenues	1,976,893	1,719,376	1,662,460	1,924,217	2,000,000	2,000,000	2,000,000
Expenditures	1,820,906	1,819,631	1,689,812	2,383,844	2,000,000	2,000,000	2,000,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	1,157,854	1,057,599	1,030,247	570,620	570,620	570,620	570,620
Encumbrances			482,091	447			
Unencumbered Cash Balance	1,157,854	1,057,599	548,156	570,173	570,620	570,620	570,620

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: _____
 Legal Authority: _____

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) U
 Appropriation Acct. No. S-XX-332-L

Intended Purpose: COFA

Source of Revenues:

Current Program Activities/Allowable Expenses:

This "U" fund appropriation is being used to clear out accounting adjustments that were made by prior administrations.

Purpose of Proposed Ceiling Adjustment (if applicable):

The appropriation reduction is being requested because the final accounting adjustments will be corrected in the FY 2018 & FY 2019 biennium. We anticipate to close this appropriation by 6/30/2018 by transferring remaining cash balance to G-00-000.

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,200,000	1,200,000	1,200,000	1,200,000	500,000	0	0
Beginning Cash Balan	1,369,462	1,913,980	1,574,854	902,369	739,799	0	0
Revenues	1,343,756	759,799		0	0	0	0
Expenditures	799,237	1,111,945	672,485	162,569	387,348	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
transfer to G-00-000		13,020	0	0	(352,452)		
Net Total Transfers	0	13,020	0	0	(352,452)	0	0
Ending Cash Balance	1,913,980	1,574,854	902,369	739,799	0	0	0
Encumbrances			527,315	364,746	0		
Unencumbered Cash	1,913,980	1,574,854	375,054	375,054	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Human Trafficking Victim Services Fund
 Legal Authority: Section 1, Chapter 706, HRS

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) Special (B)
 Appropriation Acct. No. S-XX-350-L, S-XX-338-L

Intended Purpose: Criminal fees collected by the Judiciary to service human trafficking victims.

Source of Revenues: District Courts Fines, Forfeits, and Penalties Fines.

Current Program Activities/Allowable Expenses: This special fund was created by the 2014 Legislature to provide a source of funds to supplement programs, grants or purchase of service contracts that support or provide comprehensive services to victims of labor trafficking crimes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	0	0	500	600	1,080	2,080	3,080
Revenues		500	100	480	1,000	1,000	1,000
Expenditures		0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	500	600	1,080	2,080	3,080	4,080
Encumbrances							
Unencumbered Cash Balance	0	500	600	1,080	2,080	3,080	4,080

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 111 / PB
 Name of Fund: Job-Driven (JD) National Emergency Grant
 Legal Authority: Administratively Created

Contact Name: Kim Saito
 Phone: 6-8903
 Fund type (MOF): N
 Appropriation Acct. No. S-15-502-L
grant ended 6/30/17

Intended Purpose: To provide employment services to long-term unemployed dislocated workers.

Source of Revenues: Federal Job-Driven National Emergency Grant

Current Program Activities/Allowable Expenses: Training costs, supportive services, assessments, outreach, recruitment, employer engagement expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		897,420	879,736	684,192	495,277		
Beginning Cash Balance	0	0	516	39,372	26,457	(0)	(0)
Revenues		18,200	234,400	176,000	47,194		
Expenditures		17,684	195,544	188,915	73,651		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	516	39,372	26,457	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	516	39,372	26,457	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171 / LA
 Name of Fund: UI Worker Misclassification Prevention & Detection
 Legal Authority: Social Security Act, As Amended

Contact Name: Nora Iba
 Phone: 586-9970
 Fund type (MOF) N
 Appropriation Acct. No. S-503-L

Intended Purpose: To enable Hawaii UI to better meet USDOL requirements for misclassification detection and increase our enforcement activities for worker misclassification.

Source of Revenues: CFDA 17.225

Current Program Activities/Allowable Expenses: To implement a new UI Tax Field Audit Application to improve the efficiency of the audit performance and reduce audit processing time. The application will enhance the audit selection process by identifying employers and directing audits towards employers most likely to have misclassified workers or underreported wages.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			500,000	500,000	500,000	500,000	
Beginning Cash Balance		0	0	0			
Revenues							
Expenditures			64,763	190,313	244,924		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			from S-16-211	From S-17-211	From S-18-211		
			64,763	190,313	244,924		
Net Total Transfers	0	0	64,763	190,313	244,924	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Commodity Supplemental Food Program
 Legal Authority: Agriculture and Consumer Protection Act of 1973

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF): P
 Appropriation Acct. No. S-XX-506-L S-XX-504-L
CFDA 10.565

Intended Purpose:

TO IMPROVE THE HEALTH OF LOW-INCOME ELDERLY PERSONS AT LEAST 60 YEARS OF AGE BY SUPPLEMENTING THEIR DIETS WITH NUTRITIOUS USDA FOODS, WHICH ARE DISTRIBUTED THROUGH PUBLIC AND NON-PROFIT PRIVATE LOCAL AGENCIES SUCH AS FOOD BANKS AND COMMUNITY ACTION ORGANIZATIONS.

Source of Revenues: U.S.D.A. - Food and Nutrition Service

Current Program Activities/Allowable Expenses:

This grant pays for the administrative expenses for the non-profit organizations that distribute food under the CSFP program. Initially the grant was based on the caseload assigned to Hawaii (\$74.53/unit x 2,400 units). Midway through FFY17, the Hawaii caseload increased from 2,400 units/month to 4,000 units/month. The increase enabled this program to expand beyond Oahu to serve all islands. It increased the associated authorized administrative expenditures from \$178,872 to \$297,410.

Purpose of Proposed Ceiling Adjustment (if applicable):

The estimated FY 18 appropriation was based on the actual FFY 17 funding. It is anticipated that this will increase annually. Thus, the appropriation requested for FY 18 and FY 19 is requested to be \$300,000.

Variances:

FY15 Non-Appropriated FED funds. APPN S-15-504 \$132,803 award received 2/24/15. performance period ends 9/30/2015.
 FY16 Non-Appropriated FED funds. APPN S-16-506. Total award received \$178,871. performance period 10/1/15-9/30/16
 FY17 APPN S-17-507, total award \$297,410. Expenditures for the expansion increase will be reflected in FY18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		0	0	137,803	300,000	300,000	320,000
Beginning Cash Balance	0	0	746	0	0	0	0
Revenues		8,269	145,872	139,410	300,000	300,000	320,000
Expenditures		7,523	146,618	139,410	300,000	300,000	320,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	746	0	0	0	0	0
Encumbrances							
Unencumbered Cash	0	746	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	<u>Labor and Industrial Relations</u>	Contact Name:	<u>Karen Takemoto</u>
Prog ID(s):	<u>LBR 903/NA</u>	Phone:	<u>586-3956</u>
Name of Fund:	<u>Comprehensive Service for Human Trafficking Victims</u>	Fund type (MOF)	<u>P</u>
Legal Authority	<u>The Trafficking Victims Protection Act of 2000, as amended by the Reauthorization Act of 2008, 22 U.S.C. 7105 (b)(2)(A)</u>	Appropriation Acct. No.	<u>S-16-507-L (S-14-239, S-15-239) CFDA 16.320</u>

Intended Purpose:

TO PROVIDE ASSISTANCE TO VICTIMS OF SEVERE FORMS OF TRAFFICKING (I.E., SEX TRAFFICKING IN WHICH A COMMERCIAL SEX ACT IS INDUCED BY FORCE, FRAUD, OR COERCION, OR IN WHICH THE PERSON INDUCED TO PERFORM SUCH ACT HAS NOT ATTAINED 18 YEARS OF AGE; OR THE RECRUITMENT, HARBORING, TRANSPORTATION, PROVISION OR OBTAINING OF A PERSON FOR LABOR OR SERVICES, THROUGH THE USE OF FORCE, FRAUD, OR COERCION FOR THE PURPOSE OF SUBJECTION TO INVOLUNTARY SERVITUDE, PEONAGE, DEBT BONDAGE, OR SLAVERY) WITHOUT REGARD TO THE IMMIGRATION STATUS OF THE VICTIM.

Source of Revenues: U.S. Department of Justice - Office for Victims of Crime

Current Program Activities/Allowable Expenses:

This grant provides administration and program funding. Three non-profit organizations provide services under this grant. OCS was awarded \$584,000 for a period of performance of 10/1/2015 - 9/30/2018.

Purpose of Proposed Ceiling Adjustment (if applicable):

LBR 903 anticipates reapplying for this grant in 2018.

Variances: Non-Appropriated FED funds. Received \$584,000 3 year grant award on 10/1/15.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling			0	0	0	0	
Beginning Cash Balance	0	0	6,982	(0)	(0)	0	0
Revenues		167,165	83,828	148,442	320,000	108,000	0
Expenditures		160,183	90,810	148,442	320,000	108,000	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	6,982	(0)	(0)	0	0	0
Encumbrances							
Unencumbered Cash	0	6,982	(0)	(0)	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: <u>Labor and Industrial Relations</u> Prog ID(s): <u>LBR 903/NA</u> Name of Fund: <u>State Planning and Establishment Grants for the Affordable Care Act (ACA Fund type (MOF) P</u> Legal Authority: <u>SECTION 1311 OF THE AFFORDABLE CARE ACT,</u> <u>HEALTH INSURANCE EXCHANGE</u>	Contact Name: <u>Karen Takemoto</u> Phone: <u>586-3956</u> Appropriation Acct. No. <u>S-XX-508-L</u> <u>CFDA 93.525</u>
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Intended Purpose: TO PROVIDE ASSISTANCE FOR ACTIVITIES RELATED TO ESTABLISHING A HEALTH INSURANCE EXCHANGE THAT FACILITATES THE PURCHASE OF QUALIFIED HEALTH PLANS, PROVIDES FOR THE ESTABLISHMENT OF A SMALL BUSINESS HEALTH OPTIONS PROGRAM (SHOP EXCHANGE), AND MEETS THE REQUIREMENTS SET FORTH BY THE SECRETARY AND THE AFFORDABLE CARE ACT

Source of Revenues: U.S. Department of Health and Human Services, Centers for Medicare & Medicaid Service

Current Program Activities/Allowable Expenses:
This one time grant includes funding for staff, Marketplace Assister Organizations (MAOs), IT consulting services, and other wind down expenses related to the Hawaii Health Connector.

Purpose of Proposed Ceiling Adjustment (if applicable): Not Applicable

Variances: Non-Appropriated FED funds. Received \$6,946,737 grant award. Period of performance 3/1/16 - 12/31/16.
Federal Grant closed 12/31/2016. Unexpended funds of \$4,691,778.77 deobligated by Federal awarding agency

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	2	0	0	0
Revenues			846,100	1,408,858	0	0	0
Expenditures			846,098	1,408,860	0	0	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	2	0	0	0	0
Encumbrances							
Unencumbered Cash	0	0	2	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135
 Name of Fund: WIOA Implementation Activities
 Legal Authority: Administratively Created

Contact Name: Kim Saito
 Phone: 6-8903
 Fund type (MOF) N
 Appropriation Acct. No. S-16-509-L
grant ends 6/30/18

Intended Purpose: To provide support for the implementation of the Workforce Innovation and Opportunity Act.

Source of Revenues: Federal WIA Dislocated Worker National Reserve Funds

Current Program Activities/Allowable Expenses: Costs to transition/upgrade systems to meet WIOA requirements.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				114,852	31,628	1,628	
Beginning Cash Balance	0	0	0	0	376	376	0
Revenues				83,600	30,000	1,252	
Expenditures				83,224	30,000	1,628	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	376	376	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	376	376	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 903/NA
 Name of Fund: Defense Industry Adjustment
 Legal Authority: 10. U.S. Code 2391

Contact Name: Karen Takemoto
 Phone: 586-3956
 Fund type (MOF) P
 Appropriation Acct. No. S-XX-510-L
CFDA 12.617

Intended Purpose:

To provide technical and financial assistance to a State, or an entity of State government, to enhance its capacities to assist communities, businesses, and workers affected by Defense program activity to plan and carry out community adjustment and economic diversification activities; support local adjustment and diversification efforts; and stimulate cooperation between statewide and local adjustment and diversification efforts.

Source of Revenues: U.S. Department of Defense - Office of Economic Adjustment

Current Program Activities/Allowable Expenses:

This is a one year grant awarded to LBR 903 by US DOD.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Non-Appropriated FED funds. Received \$763,856 grant award. Period of performance 7/1/16 - 6/30/17.
 Received a no cost extension to extend grant period of performance through 12/31/2017

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues				388,190	375,666	0	0
Expenditures				388,190	375,666	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants						
Amount from Bond Proceeds						
Amount Held in CODs, Escrow Accounts, or Other Investments						

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Labor & Industrial Relations
 Prog ID(s): LBR 135
 Name of Fund: WIOA Implementation Activities
 Legal Authority: Administratively Created

Contact Name: Kim Saito
 Phone: 6-8903
 Fund type (MOF): N
 Appropriation Acct. No. S-17-513-L
grant ends 9/30/18

Intended Purpose: To provide system integration support for the Workforce Innovation and Opportunity Act.

Source of Revenues: Federal WIA Dislocated Worker National Reserve Funds

Current Program Activities/Allowable Expenses: Costs to develop and implement technological integration for WIOA systems.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,100,000	1,098,903	398,903	
Beginning Cash Balance	0	0	0	0	803	803	0
Revenues				1,900	700,000	398,100	
Expenditures				1,097	700,000	398,903	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	803	803	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	803	803	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 183/DA
 Name of Fund: Temporary Deposits Insurance Account
 Legal Authority: None

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF) Trust (T)
 Appropriation Acct. No. T-902-L

Intended Purpose: This account was established in 1970 to account for excessive or unauthorized temporary disability insurance (TDI) and prepaid health care premium (PHC) withholdings that were owed to terminated employees who could not be located by their employers.

Source of Revenues: Excessive or unauthorized TDI and PHC withholdings

Current Program Activities/Allowable Expenses: Terminated employees receive refunds if they are located. However, if the employee cannot be located after two years, moneys are deposited into the Trust Fund for Disability Benefits or the Premium Supplementation Trust Fund.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	8,960	26,345	44,269	35,447	40,016	35,016	30,016
Revenues	21,438	17,924	0	4,569	0	0	0
Expenditures	4,053	0	8,822	0	5,000	5,000	5,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	26,345	44,269	35,447	40,016	35,016	30,016	25,016
Encumbrances							
Unencumbered Cash Balance	26,345	44,269	35,447	40,016	35,016	30,016	25,016

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 152/CA
 Name of Fund: Wage Claim Fund Account
 Legal Authority: None

Contact Name: Francis Kagawa
 Phone: 586-8897
 Fund type (MOF): Trust (T)
 Appropriation Acct. No.: T-904-L

Intended Purpose: This account was established in 1987 as a holding account for backpay collections and disbursements owed to employees.

Source of Revenues: Back wages are collected from employers as a result of investigation by the Wage Standards Division.

Current Program Activities/Allowable Expenses: Back wages are collected from employers and disbursed to employees as a result of investigations conducted by the Wage Standards Division. If an employee is owed back wages and cannot be located within one year, the back wages are deposited into the state treasury.

Purpose of Proposed Ceiling Increase (if applicable): None

Variances: None

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	3,157,960	4,132,443	2,518,885	1,266,161	1,576,924	1,376,924	1,176,924
Revenues	1,192,184	233,228	127,511	632,255	100,000	100,000	100,000
Expenditures	217,701	1,846,786	1,380,235	321,492	300,000	300,000	300,000
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	4,132,443	2,518,885	1,266,161	1,576,924	1,376,924	1,176,924	976,924
Encumbrances							
Unencumbered Cash Balance	4,132,443	2,518,885	1,266,161	1,576,924	1,376,924	1,176,924	976,924

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Labor and Industrial Relations
 Prog ID(s): LBR 171
 Name of Fund: Unemployment Insurance Fund
 Legal Authority: Chapter 383-121, HRS

Contact Name: Ferdinand Casabay
 Phone: 586-8905
 Fund type (MOF): Trust (T)
 Appropriation Acct. No. T-912-L, T-913-L (Effective 07/01/16)
 (Previous Appropriation S-312, S-313)

Intended Purpose: All contributions pursuant to this chapter shall be paid into the fund and compensation and benefits payable pursuant to this chapter shall be paid from the fund.
 Source of Revenue: Contributions collected pursuant to Chapter 383 Hawaii Revised Statutes, interest earned on moneys in the fund, property or securities and earnings of such property of securities, and moneys credited pursuant to Section 903 of the Social Security Act.
 Current Program Activities/Allowable Expenses: Payment of unemployment insurance benefits and for refunds of contributions, and payment of Administrative expenses from money credited pursuant to Section of the Social Security Act.
 Purpose of Proposed Ceiling Adjustment (if applicable): None

Variances: Unemployment claims decrease from 3.3% to 2.9%, UI Tax Rate from Schedule C

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	360,000,000	358,058,908	358,000,000	358,000,000	358,000,000	358,000,000	358,000,000
Beginning Cash Balance	190,754,451	358,741,288	435,363,280	504,586,106	513,503,937	533,503,937	553,503,937
Revenues	410,278,253	260,401,589	212,812,591	687,300,487	220,000,000	220,000,000	220,000,000
Expenditures	242,291,416	183,779,597	143,589,765	173,796,550	200,000,000	200,000,000	200,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Trf fr S-312				(504,160,664)			
Trf fr S-313				(425,442)			
Net Total Transfers	0	0	0	(504,586,106)	0	0	0
Ending Cash Balance	358,741,288	435,363,280	504,586,106	513,503,937	533,503,937	553,503,937	573,503,937
Encumbrances							
Unencumbered Cash Balance	358,741,288	435,363,280	504,586,106	513,503,937	533,503,937	553,503,937	573,503,937

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 153
 Name of Fund: Sport Fish Restoration
 Legal Authority: Chapter 171-HRS

Contact Name: Kim Holland of UH/Michael Fujimoto
 Phone: 808-587-0085
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-202

Intended Purpose:

Statewide Marine Fisheries Development
 Source of Revenues:
 U.S Fish and Wildlife Service (CFDA No. 15.605)
 Current Program Activities/Allowable Expenses:
 Funds various fisheries project having significant benefit to recreational and commercial fishermen.
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	386,041	330,000	330,000	420,000	420,000	420,000	420,000
Beginning Cash Balance	289,683	146,625	129,697	129,697	250,147	250,147	250,147
Revenues	227,067	256,662	276,385	593,801	420,000	420,000	420,000
Expenditures	310,125	312,055	276,385	473,351	420,000	420,000	420,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(60,000)	38,465	0	0			
Net Total Transfers	(60,000)	38,465	0	0	0	0	0
Ending Cash Balance	146,625	129,697	129,697	250,147	250,147	250,147	250,147
Encumbrances	117,614	129,952	183,567	130,216	200,000	220,000	240,000
Unencumbered Cash Balance	29,011	(255)	(53,870)	119,931	50,147	30,147	10,147

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Sport Fishing Restoration Program Coordination
 Legal Authority: 187A-9, HRS

Contact Name: Michael Fujimoto
 Phone: 808-587-0085
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-203-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, to provide coordination and oversight of HI's Sport Fish Restoration grant management processes in order to maintain the Department's program eligibility requirements.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and administrative costs for the coordination of the Statewide Sport Fish Restoration Program; establish and maintain effective management and oversight controls adequate to meet Program requirements for participating in the SFR Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	120,000	127,000	132,000	150,000	207,000	255,000	260,000
Beginning Cash Balance	0	22,258	45,265	33,077	65,634	95,634	120,634
Revenues	106,882	127,507	110,065	118,458	165,000	190,000	220,000
Expenditures	75,644	127,622	122,253	85,901	135,000	165,000	185,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(8,980)	23,122	0	0			
Net Total Transfers	(8,980)	23,122	0	0	0	0	0
Ending Cash Balance	22,258	45,265	33,077	65,634	95,634	120,634	155,634
Encumbrances	28,509	20,774	16,811	22,588	91,315	115,000	120,000
Unencumbered Cash Balance	(6,251)	24,491	16,266	43,046	4,319	5,634	35,634

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Forests and Wildlife Resources
 Legal Authority: Act 200, SLH 2003

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Federal - N (Parent Account)
 Appropriation Acct. No. S-204-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service for the implementation of Hawaii Non-Game Management Program.

Source of Revenues:

U.S. Department of Agriculture, U.S. Forest Service and U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grants closed FY 17

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,928,155						
Beginning Cash Balance	434,095	221,128	141,063	59,534	43,783	43,783	43,783
Revenues	617,513	228,642	27,606	0			
Expenditures	2,340,821	463,643	109,135	15,751			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	1,510,341	154,936	0	0			
Net Total Transfers	1,510,341	154,936	0	0	0	0	0
Ending Cash Balance	221,128	141,063	59,534	43,783	43,783	43,783	43,783
Encumbrances	912,469	285,340	94,993	7,563			
Unencumbered Cash Balance	(691,341)	(144,277)	(35,459)	36,220	43,783	43,783	43,783

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Hi Hunter Education Program
 Legal Authority: Act 78, SLH 2011

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-205-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the implementation of a Statewide Hunter Safety Training Program.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Conduct statewide certification courses, seminars, and advanced training in hunter education, which include responsibility, conservation and outdoor safety. Issue completion certificates and exemption letters.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	413,000				0	0	0
Beginning Cash Balance	506,472	356,266	83,259	16,351	16,351	16,351	16,351
Revenues	52,582	0	1,288	0	0	0	0
Expenditures	1,429	73,893	68,196	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(201,359)	(199,114)	0	0			
Net Total Transfers	(201,359)	(199,114)	0	0	0	0	0
Ending Cash Balance	356,266	83,259	16,351	16,351	16,351	16,351	16,351
Encumbrances	157,151	68,196	0	0	0	0	0
Unencumbered Cash Balance	199,115	15,063	16,351	16,351	16,351	16,351	16,351

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Forests Recreation
 Legal Authority: Act 200, SLH 2003

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-206-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, to administer the Statewide Federal Aid in Wildlife Restoration (Pittman-Robertson) Program, which includes projects for development and operations, game research and surveys, non-game and endangered species and technical guidance. This was also established to account for federal grant monies from US Federal Highways Administration for Recreational Trails Program.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Construct and maintain hiking trails' inventory and conduct surveys of game birds and mammals; construct and maintain other forest recreational facilities; research and develop new public hunting areas.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant closed FY 16

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	307,731	0	0				
Beginning Cash Balance	90,665	58,830	28,001	53,605	3,488	3,488	3,488
Revenues	663,811	247,743	0	0			
Expenditures	1,206,072	294,072	5,896	50,117			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	510,426	15,500	31,500	0			
Net Total Transfers	510,426	15,500	31,500	0	0	0	0
Ending Cash Balance	58,830	28,001	53,605	3,488	3,488	3,488	3,488
Encumbrances	559,977		83,695	11,895			
Unencumbered Cash Balance	(501,147)	28,001	(30,090)	(8,407)	3,488	3,488	3,488

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Statewide Marine Research and Surveys
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-207-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of marine research and surveys.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Staff and operating costs necessary to monitor recreational fishing success and harvest levels with creel censuses, conduct ulua movement patterns study and life histories of marine fishes, and evaluate the effectiveness of bottomfish restricted fishing areas.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	981,746	807,750	791,250	950,000	990,000	975,000	1,050,000
Beginning Cash Balance	380,369	231,450	404,001	446,548	565,027	575,027	585,027
Revenues	834,263	741,105	808,424	861,530	820,000	850,000	960,000
Expenditures	972,432	668,554	765,877	743,051	810,000	840,000	920,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(10,750)	100,000					
Net Total Transfers	(10,750)	100,000	0	0	0	0	0
Ending Cash Balance	231,450	404,001	446,548	565,027	575,027	585,027	625,027
Encumbrances	490,967	549,936	484,067	506,407	428,246	520,000	555,000
Unencumbered Cash Balance	(259,517)	(145,935)	(37,519)	58,620	146,781	65,027	70,027

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Hi Hunter Education Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-208-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the implementation of a Statewide Hunter Safety Training Program.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Conduct statewide certification courses, seminars, and advanced training in hunter education, which include responsibility, conservation and outdoor safety. Issue completion certificates and exemption letters.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in Revenue is due to anticipated increase in public interest in attending Hunter Education Program classes.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	520,687	520,687	965,000	1,057,775	1,026,167	1,026,167	1,026,167
Beginning Cash Balance	0	65,003	260,618	363,972	468,279	468,279	468,279
Revenues	361,307	439,094	596,301	604,442	500,000	500,000	500,000
Expenditures	446,304	417,593	492,947	500,135	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	150,000	174,114	0	0			
Net Total Transfers	150,000	174,114	0	0	0	0	0
Ending Cash Balance	65,003	260,618	363,972	468,279	468,279	468,279	468,279
Encumbrances	16,601	65,422	90,509	3,367			
Unencumbered Cash Balance	48,402	195,196	273,463	464,912	468,279	468,279	468,279

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 802
 Name of Fund: Hawaii Historic Preservation Special Fund
 Legal Authority: Act 200, SLH 2003

Contact Name: Randolph M. K. Lee III
 Phone: 692-8033
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-209-C

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, National Park Service, to administer the Federal Historic Preservation Grant-in-Aid projects.

Source of Revenues:

U.S. Department of Interior, National Park Service

Current Program Activities/Allowable Expenses:

Review development projects for their impacts on historic properties; inventory, register and protect historic properties; inform and educate the public with regards to Hawaii's heritage and protect historic preservation concerns; prepare the State Historic Preservation Functional Plan and other preservation plans,

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	575,000	575,000	649,065	574,000	618,813	618,813	618,813
Beginning Cash Balance	380,267	200,443	184,525	182,137	307,987	307,987	307,987
Revenues	664,862	741,795	247,342	320,866	585,000	550,000	550,000
Expenditures	844,686	757,713	249,730	495,016	585,000	550,000	550,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3709, 01/24/17				300,000			
Net Total Transfers	0	0	0	300,000	0	0	0
Ending Cash Balance	200,443	184,525	182,137	307,987	307,987	307,987	307,987
Encumbrances	64,146	31,583	3,762	4,999	58,500	55,000	55,000
Unencumbered Cash Balance	136,297	152,942	178,375	302,988	249,487	252,987	252,987

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Hi Hunter Education Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-210-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the implementation and construction of shooting range facilities

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Land acquisition, coordination, development and construction of shooting range facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in expenditures is due to equipment purchase for the enhancement of the shooting range facilities in Hawaii County.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,272,890						
Beginning Cash Balance	0	49,681	136,924	140,163	173,415	173,415	173,415
Revenues	0	62,393	170,676	64,439	250,000	250,000	254,277
Expenditures	319	150	167,437	31,187	250,000	250,000	254,277
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	50,000	25,000	0	0			
Net Total Transfers	50,000	25,000	0	0	0	0	0
Ending Cash Balance	49,681	136,924	140,163	173,415	173,415	173,415	173,415
Encumbrances	0	0	38,636	51,189			
Unencumbered Cash Balance	49,681	136,924	101,527	122,226	173,415	173,415	173,415

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Statewide Marine fisheries Development
 Legal Authority: Section 187A-9, HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-214-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of conducting statewide marine fisheries development activities.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Staff and operating costs necessary to obtain permits for new artificial reef sites as well as existing sites and add additional structures to provide additional habitat and evaluate the effectiveness of added structures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to increase in grant award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			450,000	675,000	675,000	675,000	675,000
Beginning Cash Balance			0	7,100	7,100	82,100	117,100
Revenues			21,290	19,779	350,000	420,000	480,000
Expenditures			14,190	19,779	275,000	385,000	460,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	7,100	7,100	82,100	117,100	137,100
Encumbrances					0	0	0
Unencumbered Cash Balance	0	0	7,100	7,100	82,100	117,100	137,100

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: Water Audits of Public Water Systems
 Legal Authority: Act 169, Session Laws of Hawaii 2016

Contact Name: Michael Yoshinaga
 Phone: 587-0241
 Fund type (MOF) Federal Funds - N
 Appropriation Acct. No. S-217-C

Intended Purpose:

Implementation of a standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Source of Revenues:

\$600,000 (Federal Funds) and \$100,000 (Private Matching Funds)

Current Program Activities/Allowable Expenses:

Establishment by the Commission on Water Resource Management of a five-year program to provide technical assistance to public water systems to conduct standardized water audits of public water systems in accordance with the method adopted by the American Water Works Association's Water Audits and Loss Control Programs, Manual of Water Supply Practices - M36, as amended.

Funds appropriated used to establish and implement the program to conduct standardized water audits of public water systems.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Variance in Expenditures between FY 2017 and FY 2018 due to private matching funds being used first as payment for services.

Variance in Expenditures between FY 2018 and FY 2019 due to differences in deliverables in Water Audit contract compensation and payment schedule.

Variance in Expenditures between FY 2019 and FY 2020 due to differences in deliverables in Water Audit contract compensation and payment schedule.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				200,000	250,000	150,000	
Beginning Cash Balance		0	0	0	0	250,000	150,000
Revenues				0	0	0	0
Expenditures				0	200,000	250,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0	450,000	150,000	0
Net Total Transfers	0	0	0	0	450,000	150,000	0
Ending Cash Balance	0	0	0	0	250,000	150,000	0
Encumbrances				200,000	250,000	150,000	
Unencumbered Cash Balance	0	0	0	(200,000)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: RECOVERING YELLOW-FACED BEES
 Legal Authority: Unappropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Federal Funds - N
 Appropriation Acct. No. S-218

Intended Purpose:

This fund was established to receive and expend federal grant monies - landscape-scale conservation and management of Kau Forest Reserve

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - endangered plant protection within the the natural and watersheds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant is expended over the life of the grant

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				249,272			
Beginning Cash Balance				0			
Revenues				0	125,000	124,272	
Expenditures				0	125,000	124,272	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				61,546	0		
Unencumbered Cash Balance	0	0	0	(61,546)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Statewide Freshwater Fisheries Development
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-224-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of conducting freshwater fisheries development projects.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salaries and operating expenses to manage and evaluate the effectiveness of the freshwater public fishing areas and fishery management areas; stock, monitor and assess trout fishing at Kokee, Kauai, Public Fishing Area

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	223,500	187,500	210,000	270,000	264,375	270,000	270,000
Beginning Cash Balance	0	48,076	48,708	57,860	108,479	138,479	148,479
Revenues	250,809	185,179	180,987	238,703	240,000	250,000	250,000
Expenditures	222,733	184,547	171,835	188,084	210,000	240,000	240,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	20,000	0					
Net Total Transfers	20,000	0	0	0	0	0	0
Ending Cash Balance	48,076	48,708	57,860	108,479	138,479	148,479	158,479
Encumbrances	3,410	3,746	9,285	23,159	7,225	52,000	56,000
Unencumbered Cash Balance	44,666	44,962	48,575	85,320	131,254	96,479	102,479

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: NATURAL AREA RESERVES & WATERSHED MGMT
 Legal Authority: A162/SL 09, A164/SL 11, A106/SL 12

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Federal Funds - N
 Appropriation Acct. No. S-240

Intended Purpose:

This fund is established to account for federal grant monies from U.S. Dept. of Interior Fish and Wildlife Service for the implementation of various programs.

Source of Revenues:

Federal Grants from U.S. Department of the Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grants within the natural areas and watersheds Statewide.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grants closed

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance				24,517			
Revenues				0			
Expenditures				0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	24,517	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	24,517	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: LANDSCAPE-SCALE CONS MGMT-KAU FOREST RES
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Federal Funds - N
 Appropriation Acct. No. S-241

Intended Purpose:

This fund was established to receive and expend federal grant monies - landscape-scale conservation and management of Kau Forest Reserve

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - endangered plant protection within the the natural and watersheds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant is expended over the life of the grant. Grant ended 6/30/17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000						
Beginning Cash Balance	0	0	5,610	5,808	1,843		
Revenues	0	74,224	79,293	44,800	51,684		
Expenditures	0	68,614	79,095	48,765	53,527		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	5,610	5,808	1,843	0	0	0
Encumbrances	222,000	153,912	88,620	53,370			
Unencumbered Cash Balance	(222,000)	(148,302)	(82,812)	(51,527)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: PREVENT EXTINCT OF RARE HAWN LAND SNAILS
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-4170
 Fund type (MOF) Federal Funds - N
 Appropriation Acct. No. S-242

Intended Purpose:

This fund was established to receive and expend federal grant monies to prevent extinction of rare hawaiian land snails.

Source of Revenues:

U.S, Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - prevent extinction of rare Hawaiian land snails

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant is expended over the life of the grant. Grant ends 12/30/17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	249,952						
Beginning Cash Balance	0	0	4,222	7,398	4,407		
Revenues	0	14,850	114,747	107,221	13,134		
Expenditures	0	10,628	111,572	110,212	17,541		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	4,222	7,398	4,407	0	0	0
Encumbrances		59,302	119,616	17,490			
Unencumbered Cash Balance	0	(55,080)	(112,219)	(13,083)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Recreational Boating Safety Program
 Legal Authority: Section 248-8, HRS

Contact Name: Kevin Yim
 Phone: 587-1979
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-250-C

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Coast Guard to conduct a State Recreational Boating Safety program.

Source of Revenues:

United States Coast Guard

Current Program Activities/Allowable Expenses:

Conducting public education in boating safety; enforcing boating safety rules on the water and small boat harbors; and maintaining navigational aids.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to anticipated increase in grant award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,017,610	523,200	1,059,460	792,081	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	927,194	528,650	539,009	721,053	1,159,952	1,109,952	1,059,952
Revenues	1,129,403	1,070,592	1,241,504	934,451	950,000	950,000	950,000
Expenditures	1,522,941	1,060,233	1,059,460	495,552	1,000,000	1,000,000	1,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(5,006)			0			
Net Total Transfers	(5,006)	0	0	0	0	0	0
Ending Cash Balance	528,650	539,009	721,053	1,159,952	1,109,952	1,059,952	1,009,952
Encumbrances	78,103	10,544	10,544	9,904	80,000	50,000	10,000
Unencumbered Cash Balance	450,547	528,465	710,509	1,150,048	1,029,952	1,009,952	999,952

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Freshwater Fisheries Research and Surveys
 Legal Authority: 187A-9, HRS

Contact Name: Annette Tagawa/Michael Fujimoto
 Phone: 808-587-0087/808-587-0085
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-251-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for coordination of the Statewide Sport Fish Restoration (Dingell-Johnson) Program, which includes projects in development and operation, research, surveys and inventories, technical guidance, aquatic resources education and boating access in the freshwater, and estuarine and marine areas.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and administrative costs for the coordination of the Statewide Sport Fish Restoration Program; maintaining State eligibility, maximizing obligations; submitting all grant documents and annual reports; assuring Federal, State and County compliances.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	48,750	48,750	60,000	60,000	66,625	75,000	80,000
Beginning Cash Balance	0	12,718	33,643	42,792	52,453	54,078	55,078
Revenues	55,014	41,205	66,647	33,848	66,625	69,000	75,000
Expenditures	21,939	20,280	57,498	24,187	65,000	68,000	72,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(20,357)	0					
Net Total Transfers	(20,357)	0	0	0	0	0	0
Ending Cash Balance	12,718	33,643	42,792	52,453	54,078	55,078	58,078
Encumbrances	0	0	0	819	0	0	0
Unencumbered Cash Balance	12,718	33,643	42,792	51,634	54,078	55,078	58,078

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Freshwater Technical Guidance
 Legal Authority: 187A-9, HRS

Contact Name: Glenn Higashi/Michael Fujimoto
 Phone: 808-587-0112
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-252-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of providing freshwater technical guidance.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to review environmental impact statements, permit applications, legislation, investigate fish kills, provide environmental guidance to State, County and private agencies to mitigate freshwater environmental disturbances.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	60,000	60,000	81,000	90,000	90,000	90,000	95,000
Beginning Cash Balance	0	6,234	18,747	30,495	63,273	68,273	69,273
Revenues	52,770	61,464	32,304	66,837	87,000	84,000	93,000
Expenditures	49,536	48,951	20,556	34,059	82,000	83,000	87,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	3,000						
Net Total Transfers	3,000	0	0	0	0	0	0
Ending Cash Balance	6,234	18,747	30,495	63,273	68,273	69,273	75,273
Encumbrances	1,941	0	0	12,129	0	0	0
Unencumbered Cash Balance	4,293	18,747	30,495	51,144	68,273	69,273	75,273

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Marine Technical Guidance
 Legal Authority: 187A-9, HRS

Contact Name: Katherine Gewecke/Michael Fujimoto
 Phone: 808-587-0092
 Fund type (MOF): Federal - N
 Appropriation Acct. No. S-253-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of providing marine technical guidance.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to review environmental impact statements, permit applications, legislation, investigate fish kills, provide environmental guidance to State, County and private agencies to mitigate environmental disturbances.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to higher reclassing of payroll.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	150,000	150,000	180,000	180,000	180,000	180,000
Beginning Cash Balance	0	10,752	38,539	110,527	195,187	208,187	213,187
Revenues	117,234	130,115	131,485	148,604	178,000	180,000	180,000
Expenditures	96,482	102,328	59,497	63,944	165,000	175,000	175,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(10,000)						
Net Total Transfers	(10,000)	0	0	0	0	0	0
Ending Cash Balance	10,752	38,539	110,527	195,187	208,187	213,187	218,187
Encumbrances	0	0	360	431	0	0	0
Unencumbered Cash Balance	10,752	38,539	110,167	194,756	208,187	213,187	218,187

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Statewide Marine Research and Surveys
 Legal Authority: 187A-9, HRS

Contact Name: Michael Fujimoto
 Phone: 808-587-0085
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-254-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, to conduct statewide marine research and survey projects.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to conduct marine research and surveys to improve recreational fishing, e.g. investigations of estuarine habitats, bottomfish movements, and development and improvement of an aquatic resources database.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increased grant award in FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	680,459	777,000	829,950	1,233,625	1,116,375	1,245,000	1,245,000
Beginning Cash Balance	0	146,281	212,750	265,806	530,671	765,671	815,671
Revenues	689,830	669,202	689,487	1,071,776	1,100,000	1,200,000	1,200,000
Expenditures	370,927	667,733	636,431	806,911	865,000	1,150,000	1,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(172,622)	65,000					
Net Total Transfers	(172,622)	65,000	0	0	0	0	0
Ending Cash Balance	146,281	212,750	265,806	530,671	765,671	815,671	915,671
Encumbrances	263,306	267,588	340,666	750,438	762,447	810,000	910,000
Unencumbered Cash Balance	(117,024)	(54,838)	(74,860)	(219,767)	3,224	5,671	5,671

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Aquatic Resources Education
 Legal Authority: 187A-9, HRS

Contact Name: Randy Honebrink/Michael Fujimoto
 Phone: 808-587-0111
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-255-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration (Dingell-Johnson) Program, to conduct a statewide aquatic resources education project.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salary and operational costs to perform education and outreach: conducting fishing education classes, teacher's workshops, educational presentations, public service announcements, displays at appropriate events, presentations to fishing clubs, civic groups, disbuting printed materials related to marine and freshwater resources and watershed-based approaches to fisheries management.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to increased grant award and encumbrances being paid in FY17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	337,500	337,500	375,000	432,000	420,000	420,000	420,000
Beginning Cash Balance	0	6,061	28,365	197,798	326,829	326,829	326,829
Revenues	311,847	339,931	321,962	506,518	420,000	420,000	420,000
Expenditures	280,786	237,875	152,529	377,487	420,000	420,000	415,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(25,000)	(79,752)					
Net Total Transfers	(25,000)	(79,752)	0	0	0	0	0
Ending Cash Balance	6,061	28,365	197,798	326,829	326,829	326,829	331,829
Encumbrances	9,326	2,520	103,984	24,092	136,063	149,000	145,000
Unencumbered Cash Balance	(3,265)	25,845	93,814	302,737	190,765	177,829	186,829

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Forests and Wildlife Resources
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Federal - N (Sub Account)
 Appropriation Acct. No. S-256-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Nongame Management Program - to manage, preserve and protect native avifauna and their habitats.

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - operating and maintenance, population and habitat management and facilities construction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance between FY 15 to FY 17 is due to increased in grant award amount that resulted in increased grant project revenues and expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	695,003	833,601	2,776,766	0	1,200,000	1,200,000
Beginning Cash Balance	0	62,663	104,606	45,971	17,237	17,237	17,237
Revenues	240,365	518,601	693,559	1,052,613	1,100,000	1,100,000	1,100,000
Expenditures	217,702	351,658	752,194	1,081,347	1,100,000	1,100,000	1,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	40,000	(125,000)	0	0			
Net Total Transfers	40,000	(125,000)	0	0	0	0	0
Ending Cash Balance	62,663	104,606	45,971	17,237	17,237	17,237	17,237
Encumbrances	16,988	157,305	197,141	194,998	17,237	17,237	17,237
Unencumbered Cash Balance	45,675	(52,699)	(151,170)	(177,761)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Forests and Wildlife Resources
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Federal - N (Sub Account)
 Appropriation Acct. No. S-258-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Nongame Management Program - to manage, preserve and protect native avifauna and their habitats.

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - operating and maintenance, population and habitat management and facilities construction.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance in revenues and expenditures between FY 16 & 17 is due to FY 16 encumbrances that was paid in FY 17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	550,000	478,777	490,638	590,528	490,525	550,000	550,000
Beginning Cash Balance	0	55,321	15,866	69,484	85,791	85,791	85,791
Revenues	341,858	343,256	418,000	525,572	530,000	530,000	530,000
Expenditures	254,105	377,711	365,382	509,265	530,000	530,000	530,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(32,432)	(5,000)	1,000	0			
Net Total Transfers	(32,432)	(5,000)	1,000	0	0	0	0
Ending Cash Balance	55,321	15,866	69,484	85,791	85,791	85,791	85,791
Encumbrances	187,029	240,269	330,636	329,734	85,791	85,791	85,791
Unencumbered Cash Balance	(131,708)	(224,403)	(261,152)	(243,943)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Hawaii Game Management Program
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF): Federal - N
 Appropriation Acct. No.: S-261-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, to administer the Statewide Federal Aid in Wildlife Restoration (Pittman-Robertson) Program, which includes projects for development and operations, game research and surveys, non-game and endangered species and technical guidance.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Construct and maintain hiking trails' inventory and conduct surveys of game birds and mammals; construct and maintain other forest recreational facilities;
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance in revenues and expenditures between FY 14 & FY 17 is due to encumbrances that was paid in the following year/years. Also, there was an increased in grant spending between those years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	800,000	2,354,811	3,051,188	4,996,685	0	2,200,000	2,200,000
Beginning Cash Balance	0	49,866	13,740	29,616	10,166	110,166	210,166
Revenues	1,095,534	1,446,158	1,998,425	2,282,204	2,400,000	2,400,000	2,400,000
Expenditures	1,014,558	1,516,784	1,951,049	2,301,654	2,300,000	2,300,000	2,300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(31,110)	34,500	(31,500)				
Net Total Transfers	(31,110)	34,500	(31,500)	0	0	0	0
Ending Cash Balance	49,866	13,740	29,616	10,166	110,166	210,166	310,166
Encumbrances	655,786		1,365,946	1,111,303	100,000	200,000	300,000
Unencumbered Cash Balance	(605,920)	13,740	(1,336,330)	(1,101,137)	10,166	10,166	10,166

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: National Recreational Trails
 Legal Authority: Act 134, SLH 2013

Contact Name: Moana Rowland
 Phone: 587-0057
 Fund type (MOF) Federal - N
 Appropriation Acct. No. S-262-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S Federal Highways Administration for the Recreational Trails Program

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Statewide rehabilitation, maintenance and development of multi-use trails, access roads and OHV Parks.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance in revenues and expenditures between FY 14 & FY 17 is due to encumbrances that was paid in the following year/years. Also, there was an increased in grant spending between those years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,239,957	1,200,000	1,332,855	1,028,680	1,129,256	1,300,000	1,300,000
Beginning Cash Balance	0	24,725	164,602	221,577	269,484	269,484	269,484
Revenues	357,534	1,003,158	1,193,915	1,473,050	1,500,000	1,500,000	1,500,000
Expenditures	172,810	863,281	1,136,940	1,425,143	1,500,000	1,500,000	1,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(160,000)						
Net Total Transfers	(160,000)	0	0	0	0	0	0
Ending Cash Balance	24,725	164,602	221,577	269,484	269,484	269,484	269,484
Encumbrances	404,834	505,930	758,362	836,150	200,000	200,000	200,000
Unencumbered Cash Balance	(380,109)	(341,328)	(536,785)	(566,666)	69,484	69,484	69,484

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Forests and Wildlife Resources
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federa-P (Parent Account)
 Appropriation Acct. No. S-504-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Interior, Fish and Wildlife Service for Statewide Wildlife Restoration Program.. This fund also receives monies from U.S. Department of Agriculture for the implementation of various NRCS Grants/Agreements

Source of Revenues:

U.S. Department of Interior, Fish & Wildlife Service, U.S. Department of Agriculture

Current Program Activities/Allowable Expenses:

Research and control forest insect disease, increase the population of endangered species and develop unique ecosystem.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance in revenues and expenditures between FY 16 & 17 reflects increased in project spending in FY 17. Grants are ending FY 18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,536,355						
Beginning Cash Balance	0	3,159	179,177	192,579	158,799	0	0
Revenues	2,072,393	927,717	300,803	1,381,379	1,600,000		
Expenditures	652,132	875,699	274,901	1,415,159	1,758,799		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(1,417,102)	124,000	(12,500)				
Net Total Transfers	(1,417,102)	124,000	(12,500)	0	0	0	0
Ending Cash Balance	3,159	179,177	192,579	158,799	0	0	0
Encumbrances	307,330	271,894	263,405	1,412,480			
Unencumbered Cash Balance	(304,171)	(92,717)	(70,826)	(1,253,681)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: JEA
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-505-C

Intended Purpose:
 Parent account for other federal fund appropriations
 Source of Revenues:
 Federal funds grants roll-over
 Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:
 Parent Account, federal grants fully accounted in separate appropriations.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500,000						
Beginning Cash Balance	0	586,355	509,548	508,344	223,565	223,565	223,565
Revenues	289,891	0	0	0			
Expenditures	443,880	76,807	1,204	284,779			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	740,344	0	0	0			
Net Total Transfers	740,344	0	0	0	0	0	0
Ending Cash Balance	586,355	509,548	508,344	223,565	223,565	223,565	223,565
Encumbrances	255,097	16,980	72	0			
Unencumbered Cash Balance	331,258	492,568	508,272	223,565	223,565	223,565	223,565

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Natural Area Reserves & Watershed Management
 Legal Authority: Act 134, SLH 2013

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-507-C (Parent Account)

Intended Purpose:

This fund is established to account for federal grant monies from U.S. Dept. of Interior Fish and Wildlife Service for the implementation of various programs.

Source of Revenues:

Federal Grants from U.S. Department of the Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grants within the natural areas and watersheds Statewide.

All expenses relating to the YCC (AmeriCorps) grant; support of invasive species control and other approved federal projects within the Natural Area Reserves (NAR) and Watershed Areas Statewide.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends FY 18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	900,000	248,536					
Beginning Cash Balance	0	3,177	170,467	93,324	86,275	86,275	86,275
Revenues	792,505	123,775	136,893	990	25,000		
Expenditures	156	29,485	123,036	8,039	25,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(789,173)	73,000	(91,000)	0			
Net Total Transfers	(789,173)	73,000	(91,000)	0	0	0	0
Ending Cash Balance	3,177	170,467	93,324	86,275	86,275	86,275	86,275
Encumbrances	46,837	131,086	12,809				
Unencumbered Cash Balance	(43,660)	39,381	80,515	86,275	86,275	86,275	86,275

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Statewide Marine Fisheries Development
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-508-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of conducting statewide marine fisheries development activities.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salaries and operating costs for the development and maintenance of man-made shelters and structures (artificial reefs) for attracting and sustaining marine life to new fishing areas, thus improving recreational fishing opportunities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000					
Beginning Cash Balance	0	202,199	0	0	0	0	0
Revenues	242,164	1,946					
Expenditures	30,715	0					
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(9,250)	(204,145)					
Net Total Transfers	(9,250)	(204,145)	0	0	0	0	0
Ending Cash Balance	202,199	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	202,199	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 153
 Name of Fund: WPACFIN
 Legal Authority: 187A-8, HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-509-C (Parent Account)

Intended Purpose:

This fund (app. changed to S-17-596) was established to account for federal grant monies from the U.S. Department of Commerce's National Oceanographic and Atmospheric Administration (NOAA) to operate and continue improving the Fisheries Information System of Hawaii; prepare summary reports of commercial landings.

Source of Revenues:

NOAA Pacific Fisheries Data Program grant (CFDA 11.437) Western Pacific Fisheries Information Network

Current Program Activities/Allowable Expenses:

Salaries and operating costs for licensing, collecting/recording/processing commercial fish catch and dealer reports, summarizing collected fish catch data.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	84,075	84,075	0	0			
Beginning Cash Balance		29,861	26,558	46,541	46,541	46,541	46,541
Revenues	88,499	80,772	104,058	0			
Expenditures	83,638	84,075	84,075	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	25,000	0	0	0			
Net Total Transfers	25,000	0	0	0	0	0	0
Ending Cash Balance	29,861	26,558	46,541	46,541	46,541	46,541	46,541
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	29,861	26,558	46,541	46,541	46,541	46,541	46,541

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Prevention of Natural Disasters
 Legal Authority: Act 134, SLH 2013

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF) Other Federal Funds- P
 Appropriation Acct. No. S-510-C

Intended Purpose:

Parent account for roll-over federal funds received from the Federal Emergency Management Agency to carry out Flood Plain Management activities and develop a flood hazard mitigation plan to strengthen the State's role in the National Flood Insurance Program, dam safety grants from the National Dam Safety Program and NOAA grants for flood mitigation.

Source of Revenues:

Roll-over funds

Current Program Activities/Allowable Expenses:

Program activities, including but not limited to: maintain/update of the Statewide Flood Control plan; National Flood Insurance Program; prepare post-flood reports; collect flood data; coordinate stream maintenance activities; inspect existing dams and reservoirs; and provide technical support to state, federal and private dam owners.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	275,408	0	0	0	0	0	0
Beginning Cash Balance	0	48,381	62,548	28,002	28,002	28,002	28,002
Revenues	323,726	361,612	0		0	0	0
Expenditures	145,620	111,597	323		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(129,725)	(235,848)	(34,223)	0	0	0	0
Net Total Transfers	(129,725)	(235,848)	(34,223)	0	0	0	0
Ending Cash Balance	48,381	62,548	28,002	28,002	28,002	28,002	28,002
Encumbrances	112,757	323			0	0	0
Unencumbered Cash Balance	(64,376)	62,225	28,002	28,002	28,002	28,002	28,002

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Aquatic Resources
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-511-C (Parent Account)

Intended Purpose:
 Parent account for maintenance of federal grants
 Source of Revenues:
 Rollover funds from NOAA and USFWS grants
 Current Program Activities/Allowable Expenses:
 Salary and operating costs for various projects previously approved by B&F and Legislature.
 Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:
 Appropriation account not extended.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,052,000						
Beginning Cash Balance	0	104,747	353,916	374,915	375,699	375,699	375,699
Revenues	593,815	795,992	2,279	784			
Expenditures	213,136	474,269	44,215	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(275,932)	(72,554)	62,935				
Net Total Transfers	(275,932)	(72,554)	62,935	0	0	0	0
Ending Cash Balance	104,747	353,916	374,915	375,699	375,699	375,699	375,699
Encumbrances	284,482	181,561	236	156	156		
Unencumbered Cash Balance	(179,735)	172,355	374,679	375,543	375,543	375,699	375,699

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forestry Resource Management & Dev
 Legal Authority: Section 195F-4; HRS

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-512-C (Parent Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of various programs under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

Operate the Central Tree Nursery in Kamuela; plant trees,; review and update forest resource inventory, and provide private landowners with funds, seedlings and technical forestry assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grants ended in FY 17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,530,254						
Beginning Cash Balance	0	86,621	168,843	195,459	215,656	210,450	210,450
Revenues	897,684	766,121	378,392	41,991	0		
Expenditures	227,063	686,899	180,276	21,794	5,206		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(584,000)	3,000	(171,500)				
Net Total Transfers	(584,000)	3,000	(171,500)	0	0	0	0
Ending Cash Balance	86,621	168,843	195,459	215,656	210,450	210,450	210,450
Encumbrances	672,220	158,524	29,564	5,206			
Unencumbered Cash Balance	(585,599)	10,319	165,895	210,450	210,450	210,450	210,450

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forestry Resource Management & Dev
 Legal Authority: Section 195F-4, HRS

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-513-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of various programs under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

Operate the Central Tree Nursery in Kamuela; plant trees,; review and update forest resource inventory, and provide private landowners with funds, seedlings and technical forestry assistance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The anticipated decrease in revenues and expenditures in the next Fiscal Years is due to the anticipated decrease in grant awards in the next years.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	300,000	290,000	242,312	0	133,050	200,000	200,000
Beginning Cash Balance	0	35,870	60,361	88,067	35,159	25,159	15,159
Revenues	359,441	204,949	277,028	251,149	200,000	200,000	200,000
Expenditures	148,571	180,458	250,322	304,057	210,000	210,000	210,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(175,000)	0	1,000	0			
Net Total Transfers	(175,000)	0	1,000	0	0	0	0
Ending Cash Balance	35,870	60,361	88,067	35,159	25,159	15,159	5,159
Encumbrances	13,710	64,462	147,542	72,134	25,159	15,159	5,159
Unencumbered Cash Balance	22,160	(4,101)	(59,475)	(36,975)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forest Legacy - Administration
 Legal Authority: Act 134, SLH 2013

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-516-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Forest Legacy Administration Grant under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

Forest Legacy Program protects "working forests" those that protect water quality, provide habitat, forest products opportunities for recreation and other benefits through conservation acquisitions. A primary focus of this Program is to educate private landowners on the importance of protecting their forest lands and acquire threatened forests.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	35,000		60,000	60,000	0	38,237	40,000
Beginning Cash Balance	0	2,433	30,593	43,361	26,068	28,068	13,068
Revenues	2,433	29,248	16,984	55,478	50,000	35,000	35,000
Expenditures	0	1,088	4,216	72,771	48,000	50,000	45,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,433	30,593	43,361	26,068	28,068	13,068	3,068
Encumbrances	5,334			17,400	28,068	13,068	3,068
Unencumbered Cash Balance	(2,901)	30,593	43,361	8,668	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forest Stewardship Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-517-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Forest Stewardship Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

Forest Stewardship Program Provides technical assistance, through State Forestry Agency partners, to non-industrial private owners to encourage and enable active long-term forest management. A primary focus of the program is the development of comprehensive, multi-resource management plans that provide landowners with the information they need to manage their forests for a variety of products and service.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	100,000	100,000	297,000	0	0	100,000	100,000
Beginning Cash Balance	0	37,314	34,703	25,962	46,083	62,083	71,083
Revenues	53,545	73,667	58,533	91,962	95,000	95,000	95,000
Expenditures	67,035	86,278	82,274	71,841	79,000	86,000	95,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	50,804	10,000	15,000	0	0	0	0
Net Total Transfers	50,804	10,000	15,000	0	0	0	0
Ending Cash Balance	37,314	34,703	25,962	46,083	62,083	71,083	71,083
Encumbrances	0	0	9,513	112	62,083	71,083	71,083
Unencumbered Cash Balance	37,314	34,703	16,449	45,971	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Cooperative Lands Forest Health
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Hauff
 Phone: 587-4174
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No. S-518-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - monitoring and control of forests pests statewide

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in revenues and expenditures in FY 14-17 reflects increased grant spending during the grant period.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	205,000	266,519	1,060,000	0	0	200,000	200,000
Beginning Cash Balance	0	151,357	118,779	88,996	66,372	41,372	41,372
Revenues	233,899	115,730	157,326	306,870	275,000	300,000	300,000
Expenditures	32,542	148,308	187,109	329,494	300,000	300,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(50,000)	0	0	0			
Net Total Transfers	(50,000)	0	0	0	0	0	0
Ending Cash Balance	151,357	118,779	88,996	66,372	41,372	41,372	41,372
Encumbrances	50,939	142,801	125,099	287,741	41,372	41,372	41,372
Unencumbered Cash Balance	100,418	(24,022)	(36,103)	(221,369)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forestry Health Protection - Invasive Plants
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Hauff
 Phone: 587-4174
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-519-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - monitoring and control of forests pests statewide

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends 12/31/17, Division is not anticipating any grant at this point in time.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	400,000	515,000	515,000	0	0		
Beginning Cash Balance	0	169,474	4,706	7,722	12,511	0	0
Revenues	362,252	605,627	112,349	342,572	10,556		
Expenditures	0	770,395	210,833	337,783	23,067		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(192,778)	0	101,500	0			
Net Total Transfers	(192,778)	0	101,500	0	0	0	0
Ending Cash Balance	169,474	4,706	7,722	12,511	0	0	0
Encumbrances	386,101	108,005	377,865	39,411			
Unencumbered Cash Balance	(216,627)	(103,299)	(370,143)	(26,900)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 153
 Name of Fund: Interjurisdictional Fisheries Act
 Legal Authority: 187A-8, HRS

Contact Name: Reginald Kokubun
 Phone: 587-0084
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-520-C (Sub- Account)

Intended Purpose:

This fund was established to account for federal grant funds from the U.S. Department of Commerce's National Oceanographic and Atmospheric Administration (NOAA) to operate and maintain the Commercial Marine Licensing System.

Source of Revenues:

NOAA Pacific fisheries Data Program grant (CFDA 11.437), funds from the Interjurisdictional Fisheries Act

Current Program Activities/Allowable Expenses:

Funds are used to maintain and improve the State of Hawaii's on-line Commercial Marine Licensing System and Fishing Reports; includes software, maintenance, internet licensing fees, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	47,880	66,594	77,782	85,461	83,603	92,000	95,000
Beginning Cash Balance	0	4,998	10,255	10,255	13,654	18,654	26,154
Revenues	30,003	42,519	35,784	42,054	52,000	60,000	80,000
Expenditures	35,005	37,262	35,784	38,655	47,000	52,500	70,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	10,000	0					
Net Total Transfers	10,000	0	0	0	0	0	0
Ending Cash Balance	4,998	10,255	10,255	13,654	18,654	26,154	36,154
Encumbrances	2,618	1,982	10,471	10,471	17,670	25,000	35,000
Unencumbered Cash Balance	2,380	8,273	(216)	3,183	984	1,154	1,154

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Humpback What National Marine Sanctuary
 Legal Authority: 187A-9, HRS

Contact Name: Alton Miyasaka/Ray Uchimura
 Phone: 808-587-0092/808-587-0096
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-523-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program, to provide suport for the State of Hawaii co-management of Hawaiian Islands Humpback Whale National Marine Sanctuary.

Source of Revenues:

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program MOA (CFDA 11.429)

Current Program Activities/Allowable Expenses:

Salary and operating costs for personnel co-managing the Humpback Whale National Marine Sanctuary.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to varying grant award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	85,500	0	100,000	120,000	120,000	120,000
Beginning Cash Balance	0	31,292	2,214	24,773	33,995	33,995	33,995
Revenues	0	139,651	63,849	9,222	120,000	120,000	120,000
Expenditures	79,421	129,360	25,833	0	120,000	120,000	110,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	110,713	(39,369)	(15,457)	0			
Net Total Transfers	110,713	(39,369)	(15,457)	0	0	0	0
Ending Cash Balance	31,292	2,214	24,773	33,995	33,995	33,995	43,995
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	31,292	2,214	24,773	33,995	33,995	33,995	43,995

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Papahānaumokuākea Monument
 Legal Authority: 187A-8, HRS

Contact Name: Maria Carnevale
 Phone: 808-587-0099
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-524-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program, to provide support for State of Hawaii co-management of the Papahānaumokuākea Marine National Monument.

Source of Revenues:

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Marine Sanctuary Program MOA (CFDA 11.429)

Current Program Activities/Allowable Expenses:

Salary and operating costs for personnel co-managing the Papahānaumokuākea Marine National Monument.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to varying grant award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	189,000	76,000	0	190,000	95,000	100,000	100,000
Beginning Cash Balance	0	67,678	9,137	5,305	35,082	31,406	30,756
Revenues	117,749	115,951	133,320	97,777	119,669	100,000	100,000
Expenditures	122,931	83,470	89,673	68,000	123,345	100,650	105,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	72,860	(91,022)	(47,479)	0			
Net Total Transfers	72,860	(91,022)	(47,479)	0	0	0	0
Ending Cash Balance	67,678	9,137	5,305	35,082	31,406	30,756	25,756
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	67,678	9,137	5,305	-35,082	31,406	30,756	25,756

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Hawaii Marine Recreational Fishing Survey
 Legal Authority: 187A-8, HRS

Contact Name: Tom Ogawa/Michael Fujimoto
 Phone: 808-587-0093
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-525-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) for collecting fisheries catch data.

Source of Revenues:

NOAA, Pacific Fisheries Data Program Grant (CFDA 11.437)

Current Program Activities/Allowable Expenses:

Salary and operating costs needed to collect current fish catch and effort data from non-commercial fishers via intercept or creel surveys in the main Hawaiian Islands (O'ahu, Kaua'i, Maui, Moloka'i and Hawai'i) and to provide the data and/or any analyses upon request to various resource management agencies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	290,000	330,000	285,000	285,000	285,000	285,000	285,000
Beginning Cash Balance	0	233	83,178	61,287	104,324	179,324	199,324
Revenues	205,351	390,231	335,572	307,774	360,000	340,000	320,000
Expenditures	186,190	360,465	357,463	264,737	285,000	320,000	310,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(18,928)	53,179	0				
Net Total Transfers	(18,928)	53,179	0	0	0	0	0
Ending Cash Balance	233	83,178	61,287	104,324	179,324	199,324	209,324
Encumbrances	103,811	73,345	0	20,263	160,000	150,000	189,000
Unencumbered Cash Balance	(103,578)	9,833	61,287	84,061	19,324	49,324	20,324

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Monk Seals & Sea Turtles Management
 Legal Authority: 187A-8, HRS

Contact Name: Kristen Kelly/Ray Uchimura
 Phone: 808-295-6483/808-587-0096
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-526-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) grant to provide support for State of Hawaii co-management of monk seals and sea turtles in the Hawaiian Islands Humpback National Marine Sanctuary.

Source of Revenues:

U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA), Unallied Science Program grant (CFDA 11.472)

Current Program Activities/Allowable Expenses:

Salaries and operating costs for management, outreach and education programs for monk seals and sea turtles. One continuing grant approved for FY14-FY16; however, funds are dispersed on an annual basis; DO NOT ISSUE SEPARATE YEARLY APPROPRIATION CODES.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	964,443	0	0	0		1,100,000	
Beginning Cash Balance	0	26,717	68,019	75,084	87,825	88,825	152,825
Revenues	63,985	23,216	374,799	447,025	87,000	350,000	150,000
Expenditures	141,149	40,414	367,734	434,284	86,000	286,000	145,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	103,881	58,500	0	0			
Net Total Transfers	103,881	58,500	0	0	0	0	0
Ending Cash Balance	26,717	68,019	75,084	87,825	88,825	152,825	157,825
Encumbrances	187,506	801	358,848	39,497	88,000	150,000	150,000
Unencumbered Cash Balance	(160,789)	67,218	(283,764)	48,328	825	2,825	7,825

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: HI State ANS Management Plan Support
 Legal Authority: 187A-8, HRS

Contact Name: Brian Neilson
 Phone: 808-587-0113
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-529-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, to implement Hawaii's Aquatic Invasive Species Management Plan

Source of Revenues:

U.S. Department of the Interior, Fish and Wildlife Service, Fish and Wildlife Management Assistance Grant (CFDA 15.608)

Current Program Activities/Allowable Expenses:

Salary and operating costs for implementing the State of Hawaii Aquatic Invasive Species Management Plan, which includes investigating, monitoring, controlling and eradicating invasive species; identifying new invasives.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,000	24,875	25,315	48,895	46,600	50,000	60,000
Beginning Cash Balance	0	5,000	25,905	30,021	29,252	32,252	35,252
Revenues	0	19,625	8,871	26,190	45,000	48,000	55,000
Expenditures	0	23,720	4,755	26,959	42,000	45,000	49,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	5,000	25,000	0	0			
Net Total Transfers	5,000	25,000	0	0	0	0	0
Ending Cash Balance	5,000	25,905	30,021	29,252	32,252	35,252	41,252
Encumbrances	24,286	566	0	25,352	0	0	0
Unencumbered Cash Balance	(19,286)	25,339	30,021	3,900	32,252	35,252	41,252

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: State Fire Assistance
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Hauff
 Phone: 587-4174
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-530-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture , Forest Service for the implementation of Cooperative Fire Protection State Fire Assistance/Voluntary Fire Assistance

Source of Revenues:

U.S. Department of Agriculture - Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - State Fire Assistance/Voluntary Fire Assistance

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance between FY 14 to FY 16 is due to encumbrances paid and increased spending. The variance between FY 16 & 17 revenues and expenditures is due to decrease in grant amount in FY 17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	900,000	775,000	400,000	300,000	346,448	500,000	500,000
Beginning Cash Balance	0	67,383	175,939	269,636	166,780	96,780	46,780
Revenues	172,772	684,695	673,850	340,518	380,000	400,000	440,000
Expenditures	215,389	577,203	580,153	443,374	450,000	450,000	450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	110,000	1,064	0	0			
Net Total Transfers	110,000	1,064	0	0	0	0	0
Ending Cash Balance	67,383	175,939	269,636	166,780	96,780	46,780	36,780
Encumbrances	63,238	344,693	168,557	55,647	96,780	46,780	36,780
Unencumbered Cash Balance	4,145	(168,754)	101,079	111,133	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Multi-species Habitat Conservation Plan-Kauai
 Legal Authority: Act 134, SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF): Other Federal - P (Sub Account)
 Appropriation Acct. No.: S-533-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Interior, Fish & Wildlife Service for the implementation of Multi-Species Habitat Conservation Plan - Kauai

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - protection and recovery of trust resources of the islands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant is expended over the life of the grant. Grant closed FY 17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	445,331	118,447	0	0			
Beginning Cash Balance	0	7,444	2,120	32,090	11,500	11,500	11,500
Revenues	62,333	182,525	247,860	59,836			
Expenditures	29,874	187,849	229,390	80,426			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(25,015)		11,500	0			
Net Total Transfers	(25,015)	0	11,500	0	0	0	0
Ending Cash Balance	7,444	2,120	32,090	11,500	11,500	11,500	11,500
Encumbrances	261,003	310,402	80,428	0			
Unencumbered Cash Balance	(253,559)	(308,282)	(48,338)	11,500	11,500	11,500	11,500

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Endangered Wildlife Program
 Legal Authority: Act 134. SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-535-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Endangered Wildlife Program.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - funding for programs for supporting the conservation and recovery of the Alala, Koloa, Kauai forest birds and endangered insect and snail species, as well as operations and maintenance of the captive breeding facilities for four endangered birds on Maui and Hawaii Islands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant is expended over the life of the grant. This is a recurring grant.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	850,000	865,055	833,925	781,208	758,774	800,000	800,000
Beginning Cash Balance	0	72,342	66,732	150,458	131,757	131,757	131,757
Revenues	651,634	827,499	885,823	658,855	700,000	700,000	700,000
Expenditures	554,792	833,109	802,097	677,556	700,000	700,000	700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(24,500)	0	0	0			
Net Total Transfers	(24,500)	0	0	0	0	0	0
Ending Cash Balance	72,342	66,732	150,458	131,757	131,757	131,757	131,757
Encumbrances	402,988	402,190	294,872	342,012	131,757	131,757	131,757
Unencumbered Cash Balance	(330,646)	(335,458)	(144,414)	(210,255)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Domestic Cannabis Eradication/surpress
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-538-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Justice, Drug Enforcement Administration, to supplement State funding for the eradication and suppression of domestic cannabis. Funds are also provided by the U.S. Department of Justice via the Department of Attorney General's Office.

Source of Revenues:

U.S. Department of Justice, Drug Enforcement Administration

Current Program Activities/Allowable Expenses:

Herbicide eradication of marijuana on State lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue decrease is due to decrease in federal award monies for eradication purposes.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000	213,363	170,690	105,000	105,000	105,000	105,000
Beginning Cash Balance	0	357,977	314,150	281,806	212,397	212,397	212,397
Revenues	270,000	213,363	170,690	105,000	105,000	105,000	105,000
Expenditures	12,023	257,190	203,034	174,409	105,000	105,000	105,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	100,000	0	0	0			
Net Total Transfers	100,000	0	0	0	0	0	0
Ending Cash Balance	357,977	314,150	281,806	212,397	212,397	212,397	212,397
Encumbrances	48,747	315	2,179	40,140			
Unencumbered Cash Balance	309,230	313,835	279,627	172,257	212,397	212,397	212,397

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Joint Enforcement Agreement (JEA)
 Legal Authority: Act 134, SLH 2013

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-539-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the Joint Enforcement Agreement between the U.S. Department of Commerce, Commerce, National Oceanic Atmospheric Administration and the State Dept of Land and Natural Resources

Source of Revenues:

U.S. Department of Commerce, National Oceanic and Atmospheric Administration

Current Program Activities/Allowable Expenses:

This fund was established to account for federal grant monies received from the Joint Enforcement Agreement between the U.S. Department of Commerce, Commerce, National Oceanic Atmospheric Administration and the State Dept of Land and Natural Resources

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and Expenditures increase is due to increased award monies to purchase a patrol vessel.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	700,000	629,743	598,184	631,864	595,625	595,625	595,625
Beginning Cash Balance	0	271,926	420,678	590,838	473,611	473,611	473,611
Revenues	270,259	250,808	689,485	533,734	595,625	595,625	595,625
Expenditures	98,333	102,056	519,325	650,961	595,625	595,625	595,625
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	100,000	0	0	0			
Net Total Transfers	100,000	0	0	0	0	0	0
Ending Cash Balance	271,926	420,678	590,838	473,611	473,611	473,611	473,611
Encumbrances	11,864	11,767	15,859	17,898			
Unencumbered Cash Balance	260,062	408,911	574,979	455,713	473,611	473,611	473,611

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Statewide Endangered Plant Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-541-C (Sub Account)

Intended Purpose:

This fund was established to receive and expend federal grant monies - endangered plant program protection in the natural areas and watersheds statewide.

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - endangered plant protection within the the natural and watersheds statewide.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance between FY 14 & FY 16 in revenues and expenditures reflects FY 14 encumbrances paid in FY 15. The variance in FY 15 & 16 revenues and expenditures reflects increase in grant amount in FY 16 and the variance between FY 16 & FY 17 revenues and expenditures reflects the decrease in federal funding in FY 17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	750,000	754,682	1,040,296	725,686	758,775	800,000	800,000
Beginning Cash Balance	0	15,999	67,722	26,810	23,619	23,619	23,619
Revenues	112,722	650,323	849,765	652,947	700,000	750,000	800,000
Expenditures	60,393	490,600	981,677	656,138	700,000	750,000	800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(36,330)	(108,000)	91,000	0			
Net Total Transfers	(36,330)	(108,000)	91,000	0	0	0	0
Ending Cash Balance	15,999	67,722	26,810	23,619	23,619	23,619	23,619
Encumbrances	632,257	948,827	666,038	884,071	23,000	23,000	23,000
Unencumbered Cash Balance	(616,258)	(881,105)	(639,228)	(860,452)	619	619	619

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Yellow - Faced Bee Species
 Legal Authority: Act 134, SLH 2013

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-542-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service for the Yellow-Faced Bee Grant - to conduct collaborative research in the area of Species of Concern and Declining Species and Habitat.

Source of Revenues:

U.S. Department of the Army

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - funding for the research done for one year.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant closed

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	87,902						
Beginning Cash Balance	0	3,952	3,952	62,270	7,028	7,028	7,028
Revenues	53,952	0	84,355	3,547			
Expenditures	0	0	26,037	58,789			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(50,000)						
Net Total Transfers	(50,000)	0	0	0	0	0	0
Ending Cash Balance	3,952	3,952	62,270	7,028	7,028	7,028	7,028
Encumbrances	84,825	84,825	58,789	0			
Unencumbered Cash Balance	(80,873)	(80,873)	3,481	7,028	7,028	7,028	7,028

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: KALUANUI PROTECTIVE FENCING
 Legal Authority: A134/SLH 2013

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-544-C (Sub-Account)

Intended Purpose:

This fund was established to receive and expend federal grant monies for Kaluanui Protective Fencing

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for Kaluanui Protective Fencing

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends 9/20/18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		200,000					
Beginning Cash Balance	0	0	0	0	430	5,430	0
Revenues		0	0	14,945	150,000	35,055	
Expenditures		0	0	14,515	145,000	40,485	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	430	5,430	0	0
Encumbrances		0	0	93,048	0		
Unencumbered Cash Balance	0	0	0	(92,618)	5,430	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Prevention of Natural Disasters
 Legal Authority: Act 134, SLH 2013

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF) Other Federal Funds- P
 Appropriation Acct. No. S-545-C

Intended Purpose:

Operating federal fund for LNR 810 program. This fund was established to account for federal grant monies received from the Federal Emergency Management Agency for Community Assistance Program grant activities

Source of Revenues:

FEMA Community Assistance Program federal grant

Current Program Activities/Allowable Expenses:

Payroll, program operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	97,730	98,105	98,176	95,449	100,000	100,000	100,000
Beginning Cash Balance	0	11,197	57,720	103,908	115,713	115,713	115,713
Revenues	0	95,313	123,894	71,206	100,000	100,000	100,000
Expenditures	53,803	85,790	77,706	59,401	100,000	100,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	65,000	37,000			0	0	0
Net Total Transfers	65,000	37,000	0	0	0	0	0
Ending Cash Balance	11,197	57,720	103,908	115,713	115,713	115,713	115,713
Encumbrances	14,098	22,768	16,100		0	0	0
Unencumbered Cash Balance	(2,901)	34,952	87,808	115,713	115,713	115,713	115,713

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: National Dam Safety Program
 Legal Authority: Act 134, SLH 2013

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF) Other Federal Funds- P
 Appropriation Acct. No. S-546-C

Intended Purpose:

Operating federal fund for LNR 810 program. This fund was established to account for federal grant monies received from the Federal Emergency Management Agency to carry out dam safety grant activities.

Source of Revenues:

FEMA Dam Safety federal grant

Current Program Activities/Allowable Expenses:

Program operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	57,791	85,602	55,843	59,058	53,936	60,000	60,000
Beginning Cash Balance	0	23,711	17,871	57,302	59,224	59,224	59,224
Revenues	2,833	59,906	102,028	41,472	53,936	60,000	60,000
Expenditures	4,122	65,746	96,820	39,550	53,936	60,000	60,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	25,000	0	34,223	0	0	0	0
Net Total Transfers	25,000	0	34,223	0	0	0	0
Ending Cash Balance	23,711	17,871	57,302	59,224	59,224	59,224	59,224
Encumbrances	2,339	43,940	0		0	0	0
Unencumbered Cash Balance	21,372	(26,069)	57,302	59,224	59,224	59,224	59,224

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Cooperating Technical Partners
 Legal Authority: Act 134, SLH 2013

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF) Other Federal Funds- P
 Appropriation Acct. No. S-547-C

Intended Purpose:

Operating federal fund for LNR 810 program. This fund was established to account for federal grant monies received from the Federal Emergency Management Agency for Cooperating Technical Partners grant activities.

Source of Revenues:

FEMA Cooperating Technical Partners federal grant

Current Program Activities/Allowable Expenses:

Payroll, program operating expenses

Purpose of Proposed Ceiling Adjustment (if applicable):

Ceiling increase due to availability of additional grant funding and FEMA approval of proposed tasks/activities.

Variances:

Program will expand outreach and technical assistance activities due to increased grant funding.

Revenue and expenditure estimates reflect increase in grant funding.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	140,000	140,000	140,000	140,000	200,000	200,000	200,000
Beginning Cash Balance	0	16,903	24,809	18,603	58,884	108,884	108,884
Revenues	1,044	26,830	61,636	261,308	200,000	200,000	200,000
Expenditures	9,141	28,924	67,842	221,027	150,000	200,000	200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	25,000	10,000		0	0	0	0
Net Total Transfers	25,000	10,000	0	0	0	0	0
Ending Cash Balance	16,903	24,809	18,603	58,884	108,884	108,884	108,884
Encumbrances	10,146	8,843	99,493	104,670	100,000	100,000	100,000
Unencumbered Cash Balance	6,757	15,966	(80,890)	(45,786)	8,884	8,884	8,884

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Poamoho Watershed Protection Program
 Legal Authority: Unappropriated

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-550-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Poamoho Watershed Protection Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Poamoho Watershed Protection Program

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends 10/27/17. Grant award expended over the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	300,000		0				
Beginning Cash Balance	0	0	0	0	32,172	0	0
Revenues	0	0	113,596	82,465	103,939		
Expenditures	0	0	113,596	50,293	136,111		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	32,172	0	0	0
Encumbrances	0	113,596	0	105,707			
Unencumbered Cash Balance	0	(113,596)	0	(73,535)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: HI FY17-18 Coral Reef Management
 Legal Authority: 187A-8, HRS

Contact Name: Ray Uchimura
 Phone: 808-587-0096
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-551-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA) for use in supporting coral reef projects.

Source of Revenues:

NOAA, National Ocean Service, Coral Reef Conservation Program Grant (CFDA 11.482)

Current Program Activities/Allowable Expenses:

Salary and operating costs to conduct coral reefs projects such as day use mooring buoys, Kona coral reef monitoring; DAR legal support; South Kohala Implementaiton Coordination; South Kohala and West Maui reduction of pollutant loans, Moon calendar; West Maui coral reef resilience; assessment of reef settlement; conducting stream corridor assessments, supporting Makai Watch, and understanding coral health.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to varying grant award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	662,690	628,713	1,417,000	0	715,000		1,400,000
Beginning Cash Balance	0	17,891	66,929	100,774	152,493	222,493	227,493
Revenues	64,573	495,766	551,960	863,263	450,000	250,000	475,000
Expenditures	144,816	479,718	518,115	811,544	380,000	245,000	390,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	98,134	32,990	0	0			
Net Total Transfers	98,134	32,990	0	0	0	0	0
Ending Cash Balance	17,891	66,929	100,774	152,493	222,493	227,493	312,493
Encumbrances	412,800	393,936	741,055	467,848	220,000	220,000	300,000
Unencumbered Cash Balance	(394,909)	(327,007)	(640,281)	(315,355)	2,493	7,493	12,493

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Ka'u Forest Invasives Control
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-554-C (Sub-Account)

Intended Purpose:

This fund was established to receive and expend federal grant monies - Ka'u Forest Invasives Control

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Ka'u Forest Invasives Control

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends 9/30/18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000						
Beginning Cash Balance		0	246	568	252	0	0
Revenues		7,272	33,448	7,769	1,511		
Expenditures		7,026	33,126	8,085	1,763		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	246	568	252	0	0	0
Encumbrances	46,000	38,973	8,097	0			
Unencumbered Cash Balance	(46,000)	(38,727)	(7,529)	252	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Pu'u Wa-awa'a Forest Reserve Prot & Rest
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-555-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - Pu'u wa'a-wa'a Forest Reserve Protection and Restoration

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - endangered plant protection within the the natural and watersheds statewide

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000						
Beginning Cash Balance		1,158	1,237	3,737	11,573	0	0
Revenues	1,158	58,199	116,352	22,872	1,419		
Expenditures	0	58,120	113,852	15,036	12,992		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,158	1,237	3,737	11,573	0	0	0
Encumbrances	58,121	126,938	14,941	0			
Unencumbered Cash Balance	(56,963)	(125,701)	(11,204)	11,573	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Maui Dry Forest Service
 Legal Authority: Unappropriated

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No. S-560-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Maui Dryland Forest Revival Project under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended 7/02/17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		225,000					
Beginning Cash Balance		0	39,880	2,471	0	0	0
Revenues		110,472	80,227	29,296			
Expenditures		70,592	117,636	31,767			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	39,880	2,471	0	0	0	0
Encumbrances		49,408	31,770	0			
Unencumbered Cash Balance	0	(9,528)	(29,299)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Nurseries and Seeds Forest Service
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH 2014

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-561-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - nurseries and seeds forest service.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends 6/30/18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		79,000	69,100	58,900	0	0	0
Beginning Cash Balance		0	1,550	0	4,198	4,198	0
Revenues		1,550	36,636	76,247	75,000	17,567	
Expenditures		0	38,186	72,049	75,000	21,765	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	1,550	0	4,198	4,198	0	0
Encumbrances			0	25,000	4,198		
Unencumbered Cash Balance	0	1,550	0	(20,802)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Improved Koa Forest Service
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH 2014

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-562-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Koa Forest service.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ended 6/30/16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		150,000					
Beginning Cash Balance		0	687	1,973	15,123	15,123	15,123
Revenues		57,055	79,246	13,698			
Expenditures		56,368	77,960	548			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	687	1,973	15,123	15,123	15,123	15,123
Encumbrances		78,632	671	0			
Unencumbered Cash Balance	0	(77,945)	1,302	15,123	15,123	15,123	15,123

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Kau Forest Service
 Legal Authority: Act 134, SLH 2013 as amended by Act 122, SLH

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-563-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of Cooperative Lands Forest Health Program under the Cooperative Forestry Assistance Act.

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Kau Forest Service

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends FY 19 (7/02/18)

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		300,000					
Beginning Cash Balance		0	0	716	14,448	4,448	0
Revenues		0	9,170	170,913	100,000	19,917	
Expenditures		0	8,454	157,181	110,000	24,365	
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	716	14,448	4,448	0	0
Encumbrances		10,188	213,884	78,390	4,448		
Unencumbered Cash Balance	0	(10,188)	(213,168)	(63,942)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Recruitment Dynamics of Scleractinian Corals along Kona
 Legal Authority: 187A-8, HRS

Contact Name: William Walsh/Ray Uchimura
 Phone: 808-587-0096
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-564-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior National Park Service for use in supporting Scleractinian Corals along the Kona Coast of the Big Island of Hawaii.

Source of Revenues:

U.S. Department of Interior, National Park Service, Scleractinian Corals along the KONA Coast (CFDA 15.944)

Current Program Activities/Allowable Expenses:

Salary and operating costs for the use in providing a center for the preservation, interpretation, and perpetuation of traditional native Hawaiian activities and culture, and to demonstrate historic land use patterns as well as to provide a needed resource for the education, enjoyment, and appreciation of such traditional native Hawaiian activities and culture by local residents and visitors.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		134,359			74,528	0	75,000
Beginning Cash Balance		0	0	7,657	7,431	10,431	11,431
Revenues		0	22,878	32,256	52,000	45,000	35,000
Expenditures		0	27,350	32,482	49,000	44,000	41,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			12,129				
Net Total Transfers	0	0	12,129	0	0	0	0
Ending Cash Balance	0	0	7,657	7,431	10,431	11,431	5,431
Encumbrances		0	40,179	7,745	9,500	10,000	4,500
Unencumbered Cash Balance	0	0	(32,522)	(314)	931	1,431	931

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Statewide Marine Fisheries Development
 Legal Authority: 187A-9, HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF) Other Federal - N
 Appropriation Acct. No. S-565-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the U.S. Department of Interior, Fish and Wildlife Service, Sport Fish Restoration Program, for the purpose of conducting statewide marine fisheries development activities - was changed to S-214.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Sportfish Restoration Grant (CFDA 15.605)

Current Program Activities/Allowable Expenses:

Salaries and operating costs for the development and maintenance of man-made shelters and structures (artificial reefs) for attracting and sustaining marine life to new fishing areas, thus improving recreational fishing opportunities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Appropriation account changed.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		450,000	0				
Beginning Cash Balance		0	113,767	140,643	140,643	140,643	140,643
Revenues		9,621	26,876	0			
Expenditures				0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		104,146					
Net Total Transfers	0	104,146	0	0	0	0	0
Ending Cash Balance	0	113,767	140,643	140,643	140,643	140,643	140,643
Encumbrances		0	0	0			
Unencumbered Cash Balance	0	113,767	140,643	140,643	140,643	140,643	140,643

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Endangered Invertebrate Program
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-567-C (Sub Account)

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the endangered invertebrate program.

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the endangered invertebrate program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance between FY 15 - FY 17 revenues and expenditures reflects encumbrances paid in FY 16 & 17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		200,000	235,000	265,000	365,000	365,000	365,000
Beginning Cash Balance		0	8,955	25,355	24,562	24,562	24,562
Revenues		5,555	157,068	247,970	250,000	270,000	290,000
Expenditures		6,600	140,668	248,763	250,000	270,000	290,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		10,000					
Net Total Transfers	0	10,000	0	0	0	0	0
Ending Cash Balance	0	8,955	25,355	24,562	24,562	24,562	24,562
Encumbrances		89,900	246,102	228,771	24,000	24,000	24,000
Unencumbered Cash Balance	0	(80,945)	(220,747)	(204,209)	562	562	562

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: SEPP PREDATOR PROOF EXCLOSURE STRUCTURE
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-568-C

Intended Purpose:

This fund was established to receive and expend federal grant monies for the SEPP Predator Proof Exclosure Structure

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - SEPP Predator Proof Exclosure Structure

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends 9/30/19

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		100,000					
Beginning Cash Balance		0	484	484	202	0	0
Revenues		484	394	2,954	40,000	40,000	16,168
Expenditures		0	394	3,236	40,202	40,000	16,168
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	484	484	202	0	0	0
Encumbrances		0	0	0	0	0	
Unencumbered Cash Balance	0	484	484	202	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Endangered Wildlife Program
 Legal Authority: Non- Appropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Non-Appropriated)
 Appropriation Acct. No. S-569-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Nongame Management Program - to manage, preserve and protect native avifauna and their habitats.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		65,000	134,684	148,161			
Beginning Cash Balance	0	0	327	25,517	0	0	0
Revenues		327	95,086	111,182	115,000	26,250	
Expenditures			69,896	136,699	115,000	26,250	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	327	25,517	0	0	0	0
Encumbrances		62,888	122,102	131,999	0		
Unencumbered Cash Balance	0	(62,561)	(96,585)	(131,999)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Mauna Kea Fire Vehicles
 Legal Authority: Act 134. SLH 2013

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-570-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Hawaii Nongame Management Program - to manage, preserve and protect native avifauna and their habitats.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Mauna Kea Fire Vehicles

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant closed.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		471,389					
Beginning Cash Balance	0	0	462,708	25,654	13,357	0	0
Revenues		471,389	0	0			
Expenditures		8,681	437,054	12,297	13,357		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	462,708	25,654	13,357	0	0	0
Encumbrances		436,879	19,176	0			
Unencumbered Cash Balance	0	25,829	6,478	13,357	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Ahihi Kinau Coastal Wetlands
 Legal Authority: Non-Appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-571-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the Ahihi Kinau Coastal Wetlands

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Ahihi Kinau Coastal Wetlands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends 6/30/17

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		344,400					
Beginning Cash Balance		0	22,986	32,560	22,839	0	0
Revenues		26,266	120,453	186,164	11,517		
Expenditures		3,280	110,879	195,885	34,356		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	22,986	32,560	22,839	0	0	0
Encumbrances		250,000	194,335	0			
Unencumbered Cash Balance	0	(227,014)	(161,775)	22,839	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: HI Hunter Ed Grant-Online Registration
 Legal Authority: Act 124, SLH2016

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-572-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish and Wildlife Service, for the supplemental online registration option for Basic Hunter Education Courses.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

Expenses associated with the maintenance of the online registration system of the Hunter Education Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		77,195	0	79,920			
Beginning Cash Balance		0	0	0	35	35	35
Revenues				34,066			
Expenditures				34,031			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	35	35	35	35
Encumbrances				26,108			
Unencumbered Cash Balance	0	0	0	(26,073)	35	35	35

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: SEPP Strategic Plan
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-573-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the SEPP Strategic Plan.

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the SEPP Strategic Plan

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. The variance between FY 16 & 17 in revenues and expenditures reflects the FY 16 encumbrances paid in FY 17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		306,000		243,202	0	0	0
Beginning Cash Balance		0	0	0	11,224	11,224	0
Revenues		0	78,213	332,811	85,000	53,178	
Expenditures		0	78,213	321,587	85,000	64,402	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	11,224	11,224	0	0
Encumbrances			190,801	64,547			
Unencumbered Cash Balance	0	0	(190,801)	(53,323)	11,224	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: Kahana Stream Restoration Project
 Legal Authority: Non-Appropriated

Contact Name: Michael Yoshinaga
 Phone: 587-0241
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-574

Intended Purpose:

Matching funds for fish habitat restoration and hau tree removal project on Kahana Stream, Oahu.

Source of Revenues:

U.S. Fish and Wildlife Management Assistance Award.

Current Program Activities/Allowable Expenses:

Funds are used for vegetation removal and outplanting supplies (e.g., equipment rental, herbicide, weed control fabric, etc.) and contracting vegetation removal professionals.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Variance in Revenues between FY 2017 and FY 2018 due to unexpended funds remaining from federal grant for project completion.

Variance in Expenditures between FY 2017 and FY 2018 due to unexpended balance remaining upon project completion in September 2017.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			70,000				
Beginning Cash Balance		0	0	180	0		0
Revenues			453	37,773	32,047		
Expenditures			273	37,953	27,543		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	180	0	4,504	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	180	0	4,504	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: S&PF Competitive: Kauai Hardwoods
 Legal Authority: Act 119, SLH 2015

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-576-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of State & Private Forestry Competitive: Kauai's Native Hardwoods

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Kaua's Native Hardwoods

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends 6/30/18

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			75,000				
Beginning Cash Balance		0	0	1,210	990	3,990	0
Revenues			1,371	30,124	33,000	10,505	
Expenditures			161	30,344	30,000	14,495	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,210	990	3,990	0	0
Encumbrances			3,135	8,505	3,990		
Unencumbered Cash Balance	0	0	(1,925)	(7,515)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: S & PF Competitive - Leeward Haleakala
 Legal Authority: A119/SL 2015

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No.: S-577-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of State & Private Forestry Competitive: Leeward Haleakala

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Leeward Haleakala

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in revenues and expenditures between FY 17 & 18 reflects that FY 17 encumbrances be paid by FY 18. Grant ends FY 19 (6/30/19)

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			226,600				
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	14,841	100,000	111,759	0
Expenditures			0	14,841	100,000	111,759	0
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
			0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	100,167	0	0	
Unencumbered Cash Balance	0	0	0	(100,167)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: Species Recovery/Education (False Killer Whales)
 Legal Authority: 187A-8, HRS

Contact Name: Earl Miyamoto/Ray Uchimura
 Phone: 808-832-5017
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-580-C (Sub Account)

Intended Purpose:

This fund was established to account for federal grant monies received from the Department of National Oceanic and Atmospheric Administration, to support the conservation and recovery of False Killer whales (*Pseudorca crassidens*), as well as other endangered cetaceans, in the MHI.

Source of Revenues:

National Oceanic and Atmospheric Administration (NOAA), Unallied Science Program (CFDA 11.472)

Current Program Activities/Allowable Expenses:

Salary and Operating Costs for the use of enhancing conservation and recovery of the insular false killer whale in the main Hawaiian Islands by learning more about the temporal and spatial use patterns of the insular false killer whale population and by targeting outreach to fishers, boaters, and tour operators.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to varying grant award.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			909,914		258,762		1,200,000
Beginning Cash Balance		0	0	1,302	42,004	112,004	122,004
Revenues			18,777	278,724	450,000	420,000	410,000
Expenditures			17,475	238,022	380,000	410,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	1,302	42,004	112,004	122,004	132,004
Encumbrances			273,656	429,810	110,000	120,000	128,000
Unencumbered Cash Balance	0	0	(272,354)	(387,806)	2,004	2,004	4,004

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: REINTRODUCTION AND RECOVERY OF THE ALALA
 Legal Authority: A119/SL 15

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-581-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Reintroduction and Recovery of the Alala.

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Restoration of Mauna Kea Dry Forest

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The grant is expended over the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			41,577				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			0	387	37,000	4,964	
Expenditures			0	387	37,000	4,964	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	34,523			
Unencumbered Cash Balance	0	0	0	(34,523)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Restoration of Mauna Kea Dry Forest
 Legal Authority: A119/SL 15

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF): Other Federal - P (Sub Account)
 Appropriation Acct. No. S-582-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Restoration of Mauna Kea Dry Forest

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Restoration of Mauna Kea Dry Forest

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant is expended over the life of the grant. Grant ends 6/30/18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			249,496				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			0	144,869	104,627		
Expenditures			0	144,869	104,627		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			112,955	34,177			
Unencumbered Cash Balance	0	0	(112,955)	(34,177)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Puaahala Acquisition
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-583

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the Puaahala Acquisition

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Puaahala Acquisition

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Land Acquisition - one time transaction

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,000,000				
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	0	1,000,000		
Expenditures			0	0	1,000,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Kohala Mountain Biodiversity Protection
 Legal Authority: A119/SL 2015

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-16-584

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the Kohala Mountain Biodiversity Protection

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Kohala Mountain Biodiversity Protection

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends 8/31/18

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			250,000				
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	0	200,000	50,000	
Expenditures			0	0	200,000	50,000	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	242,500	0		
Unencumbered Cash Balance	0	0	0	(242,500)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Enhancing Rare Native Invertebrates
 Legal Authority: A119/SL 2015

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-585

Intended Purpose:

This fund was established to receive and expend federal grant monies - for enhancing rare native invertebrates.

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for enhancing rare native invertebrates.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			248,949				
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	0	125,000	123,949	
Expenditures			0	0	125,000	123,949	
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			58,800	135,652	0		
Unencumbered Cash Balance	0	0	(58,800)	(135,652)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Puuahala Watershed Acquisition
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-588

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the Puuahala Watershed Acquisition.

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Puuahala Watershed Acquisition

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Land Acquisition - one time transaction

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			1,566,875				
Beginning Cash Balance		0	0	0	0	0	0
Revenues			0	0	1,566,875		
Expenditures			0	0	1,566,875		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: KAUAI SEABIRD HCP COORDINATION FY16
 Legal Authority: Unappropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-589-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Kauai Seabird HCP Coordination FY 16

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Kauai Seabird HCP Coordination

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The grant is expended at the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			559,990				
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			0	183,957	376,033		
Expenditures			0	183,957	376,033		
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances			0	326,141			
Unencumbered Cash Balance	0	0	0	(326,141)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: OHIA Wilt
 Legal Authority: Non-Appropriated

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-590-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Forest Service for the implementation of State & Private Forestry Competitive ; Ohia Wilt

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, Forest Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Ohia Wilt

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ends FY 19 (12/31/18)

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			250,000	160,000	128,000		
Beginning Cash Balance		0	0	5,067	37,884	2,884	0
Revenues			12,178	110,517	250,000	165,305	
Expenditures			7,111	77,700	285,000	168,189	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	5,067	37,884	2,884	0	0
Encumbrances			83,610	207,093	2,884		
Unencumbered Cash Balance	0	0	(78,543)	(169,209)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Hawaii CREP Planners
 Legal Authority: Non-Appropriated

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-592-C

Intended Purpose:

This fund was established to account for federal grant monies from U.S. Department of Agriculture, Natural Resources Conservation Service (NRCS) for

Source of Revenues:

Federal Grant from U.S. Department of Agriculture, NRCS

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - Hawaii CREP Planners

Expenditures related to the management of land.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant. Grant ended 8/31/17.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			49,995	69,000	0	0	0
Beginning Cash Balance			0		10,095	0	0
Revenues			20,242	37,849	60,904		
Expenditures			20,242	27,753	70,999		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	10,095	0	0	0
Encumbrances			27,753	64,664			
Unencumbered Cash Balance	0	0	(27,753)	(54,569)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: Iao Stream Fish Passage Project
 Legal Authority: Non-Appropriated

Contact Name: Michael Yoshinaga
 Phone: 587-0241
 Fund type (MOF): Other Federal - P
 Appropriation Acct. No. S-593-C

Intended Purpose:

Matching funds to improve biological connectivity and restore native ecosystem functions at specific sites on Wailuku River (formerly Iao Stream), Maui.

Source of Revenues:

U.S. Fish and Wildlife Management Assistance Award.

Current Program Activities/Allowable Expenses:

Funds will be used at specific sites for professional services and materials to modify existing stream diversion structures and/or identified barriers to fish migration on Wailuku River.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Variance in Revenues between FY 2017 and FY 2018 due to altering schedule of tasks to be performed.

Variance in Expenditures between FY 2017 and FY 2018 due to altering schedule of tasks to be performed.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			25,000		25,000		
Beginning Cash Balance		0	0	0	0	0	0
Revenues					25,000		
Expenditures					25,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
				0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: HI Anchialine Habitats Inventory
 Legal Authority: 187A-8, HRS

Contact Name: Troy Sakihara
 Phone: 808-933-3447
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-594-C (Non-Appropriated)

Intended Purpose:

This fund was established to account for federal grant monies received from the Department of National Oceanic and Atmospheric Administration,

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service, Coastal Program (CFDA 15.630)

Current Program Activities/Allowable Expenses:

Operating Cost to conduct Comprehensive Inventory of Anchialine Habitats throughout Maui County and Oahu.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			11,944		8,000	20,000	20,000
Beginning Cash Balance		0	0	0	11,769	0	0
Revenues			0	11,944	8,000	20,000	20,000
Expenditures			0	175	19,769	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0				
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	11,769	0	0	0
Encumbrances			0	890	0	0	0
Unencumbered Cash Balance	0	0	0	10,879	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 153
 Name of Fund: WPACFIN
 Legal Authority: A124/SL 16

Contact Name: Reginald Kokubun
 Phone: 587-0084
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-596-C (Sub-Account)

Intended Purpose:

This fund was established to account for federal grant monies from the U.S. Department of Commerce's National

Oceanographic and Atmospheric Administration (NOAA) to operate and continue improving the Fisheries Information System of Hawaii; prepare summary summary reports of commercial landings.

Source of Revenues:

NOAA Pacific Fisheries Data Program grant (CFDA 11.437) Western Pacific Fisheries Information Network

Current Program Activities/Allowable Expenses:

Salaries and operating costs for licensing, collecting/recording/processing commercial fish catch and dealer reports, summarizing collected fish catch data.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling				109,140	109,140	109,140	109,140
Beginning Cash Balance		0	0	0	0	0	0
Revenues				100,474	109,140	109,140	109,140
Expenditures				100,474	109,140	109,140	109,140
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
					0		
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances					0		
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: SONG-METERS FOR KAUAI FOREST BIRDS
 Legal Authority: Unappropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-601-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Ground-truthing Model Predictions for song-meters for Kauai Forest Birds

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Kauai Seabird HCP Coordination

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The grant is expended at the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				27,209			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			0	23,467	3,742		
Expenditures			0	23,467	3,742		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: LIDAR ACQUISITION FOR ALAKAI
 Legal Authority: Unappropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-602-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Lidar Acquisition for Alakai

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Lidar Acquisition for Alakai

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The grant is expended over the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				85,952			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			0	77,184	8,768		
Expenditures			0	77,184	8,768		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Pupukea Mauka Recovery Land Acquisition
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF): Other Federal Funds - P
 Appropriation Acct. No.: S-603-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the Pupukea Mauka Recovery Land Acquisition

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Pupukea Mauka Recovery Land Acquisition

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Land Acquisition - one time transaction

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				1,183,750			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	1,183,750		
Expenditures				0	1,183,750		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: #REF!
 Legal Authority: Unappropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P (Sub Account)
 Appropriation Acct. No. S-604-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Genetic Analysis of Akikiki and Akekee

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Genetic Analysis of Akikiki and Akekee

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The grant is expended over the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				14,504			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues			0	9,905	4,599		
Expenditures			0	9,905	4,599		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				2,450			
Unencumbered Cash Balance	0	0	0	(2,450)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Creating Yellow Faced Bees Nest Habitat
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-605-C

Intended Purpose:

This fund was established to receive and expend federal grant monies for blackburns sphinx moth genetics and habitat assessment

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - blackburns sphinx moth genetics and habitat assessment

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance between FY 17 & FY 18 reflects FY 17 encumbrances paid in FY 18

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				53,009			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				513	25,000	27,496	
Expenditures				513	25,000	27,496	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				46,226	0		
Unencumbered Cash Balance	0	0	0	(46,226)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Creating Yellow Faced Bees Nest Habitat
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-606-C

Intended Purpose:

This fund was established to receive and expend federal grant monies in creating yellow faced bee nest habitat

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects in creating yellow faced bee nest habitat

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance between FY 17 & FY 18 reflects FY 17 encumbrances paid in FY 18

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				95,992			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	50,000	45,992	
Expenditures				0	50,000	45,992	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				23,700	0		
Unencumbered Cash Balance	0	0	0	(23,700)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Kauai Seabird KIUC HCP
 Legal Authority: Unappropriated

Contact Name: James Cogswell
 Phone: 587-4187
 Fund type (MOF) Other Federal - P
 Appropriation Acct. No. S-607-C

Intended Purpose:

This fund was established to account for federal grant monies received from U.S. Department of Interior, Fish & Wildlife Service, Kauai Seabird KIUC HCP

Source of Revenues:

U.S. Department of Interior, Fish and Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the Kauai Seabird KIUC HCP

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends 6/30/18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				906,150			
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues				0	906,150		
Expenditures				0	906,150		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				46,500			
Unencumbered Cash Balance	0	0	0	(46,500)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: RESTRE & REINTRO EWA CHAFF-FLWR & AKOKO
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-608-C

Intended Purpose:

This fund was established to receive and expend federal grant monies - for the restoration and reintroduction of Ewa Chaff-flower and Akoko

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects - for the restoration and reintroduction of Ewa Chaff-flower and Akoko

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant award expended over the life of the grant.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				158,424			
Beginning Cash Balance		0	0	0	0	2,000	0
Revenues				9,851	75,000	73,573	
Expenditures				9,851	73,000	75,573	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	2,000	0	0
Encumbrances				0	2,000		
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 407
 Name of Fund: Olaa Kilauea Forest Protection
 Legal Authority: Non-appropriated

Contact Name: Emma Yuen
 Phone: 587-0054
 Fund type (MOF) Other Federal Funds - P
 Appropriation Acct. No. S-610-C

Intended Purpose:

This fund was established to receive and expend federal grant monies for the Olaa Kilauea Forest Protection

Source of Revenues:

U.S. Department of the Interior, Fish & Wildlife Service

Current Program Activities/Allowable Expenses:

All expenses relating to the implementation of Federal Grant approved projects for the Olaa Kilauea Forest Protection

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Grant ends 6/30/18

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				175,000			
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	175,000		
Expenditures				0	175,000		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Conservation and Resources Enforcement
 Legal Authority: Act 296, SLH 1996

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-302-C

Intended Purpose:

This fund was administratively established to receive funds from the Boating Special Fund to fund marine patrol responsibilities that were transferred from the Department of Public Safety pursuant to Act 296, SLH 1996.

Source of Revenues:

Funds received from the Boating Special Fund.

Current Program Activities/Allowable Expenses:

Expenses associated with the enforcement of marine boating and ocean recreation rules relating to boating safety, conservation, and search and rescue.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increased expenditures is due to payment of encumbrances.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,626,083	1,626,083	1,952,117	1,952,117	2,111,339	2,111,339	2,111,339
Beginning Cash Balance	40,060	10,521	46,180	100,367	154,180	0	0
Revenues	345	402	504	3,137	3,000	3,000	3,000
Expenditures	1,281,179	1,408,386	1,509,989	1,861,830	2,111,339	2,111,339	2,111,339
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	1,251,295	1,443,643	1,563,672	1,912,506	1,954,159	2,108,339	2,108,339
Net Total Transfers	1,251,295	1,443,643	1,563,672	1,912,506	1,954,159	2,108,339	2,108,339
Ending Cash Balance	10,521	46,180	100,367	154,180	0	0	0
Encumbrances	18,499	51,527	125,589	169,022			
Unencumbered Cash Balance	(7,978)	(5,347)	(25,222)	(14,842)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR405	B	2	S	2016	302	DA	20,000.00	JRNL	971		00JS0085	7/14/2016
LNR405	B	2	S	2016	302	DA	20,000.00	JRNL	971		00JS0064	7/12/2016
LNR405	B	2	S	2016	302	DA	45,000.00	JRNL	971		00JS0389	7/31/2016
LNR405	B	2	S	2017	302	DA	(12,494.00)	JRNL	972	RISK MGT TRA	00JM3404	1/6/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS3111	1/9/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS3153	1/11/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS3381	1/24/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS3472	1/30/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS3446	1/27/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS3795	2/17/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS3884	2/24/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS4188	3/16/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS4211	3/17/2017
LNR405	B	2	S	2017	302	DA	100,000.00	JRNL	971		00JS4375	3/30/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS4536	4/7/2017
LNR405	B	2	S	2017	302	DA	100,000.00	JRNL	971		00JS4844	4/26/2017
LNR405	B	2	S	2017	302	DA	55,000.00	JRNL	971		00JS5127	5/12/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS5223	5/18/2017
LNR405	B	2	S	2017	302	DA	10,000.00	JRNL	971		00JS5248	5/19/2017
LNR405	B	2	S	2017	302	DA	45,000.00	JRNL	971		00JS5329	5/25/2017
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS5490	6/5/2017
LNR405	B	2	S	2017	302	DA	40,000.00	JRNL	971		00JS5662	6/16/2017
LNR405	B	2	S	2017	302	DA	40,000.00	JRNL	971		00JS5955	6/27/2017
LNR405	B	2	S	2017	302	DA	40,000.00	JRNL	971		00JS6079	6/30/2017
LNR405	B	2	S	2017	302	DA	150,000.00	JRNL	971		00JS0100	7/15/2016
LNR405	B	2	S	2017	302	DA	45,000.00	JRNL	971		00JS0700	8/22/2016
LNR405	B	2	S	2017	302	DA	90,000.00	JRNL	971		00JS0748	8/25/2016
LNR405	B	2	S	2017	302	DA	90,000.00	JRNL	971		00JS0864	8/31/2016
LNR405	B	2	S	2017	302	DA	45,000.00	JRNL	971		00JS0890	8/31/2016
LNR405	B	2	S	2017	302	DA	45,000.00	JRNL	971		00JS1280	9/27/2016
LNR405	B	2	S	2017	302	DA	45,000.00	JRNL	971		00JS1750	10/19/2016
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS1865	10/26/2016
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS1940	10/31/2016
LNR405	B	2	S	2017	302	DA	100,000.00	JRNL	971		00JS2156	11/14/2016
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS2624	12/7/2016
LNR405	B	2	S	2017	302	DA	50,000.00	JRNL	971		00JS2800	12/19/2016
							1,912,506.00					

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 141
 Name of Fund: Water and Land Development
 Legal Authority: Act 49, SLH 2017

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-303-C

Intended Purpose:

Operating special fund for geothermal/mineral resource management responsibilities and address public safety related to rockfalls or slope movement on lands under the jurisdiction of DLNR.

Source of Revenues:

Investment Pool proceeds; transfer of vacation earned with other departments.

Current Program Activities/Allowable Expenses:

Payroll, fringe benefits and operating expenses for program activities

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	613,103	702,663	709,916	709,916	722,550	722,550	722,550
Beginning Cash Balance	1,590,270	1,611,636	1,730,949	1,901,096	1,341,396	1,671,946	1,802,496
Revenues	4,676	7,640	4,040	12,714	8,000	8,000	8,000
Expenditures	568,276	587,876	485,409	379,126	400,000	600,000	600,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	584,966	699,549	651,516	(193,288)	722,550	722,550	722,550
Net Total Transfers	584,966	699,549	651,516	(193,288)	722,550	722,550	722,550
Ending Cash Balance	1,611,636	1,730,949	1,901,096	1,341,396	1,671,946	1,802,496	1,933,046
Encumbrances	531,978	379,327	212,480	141,746	200,000	200,000	200,000
Unencumbered Cash Balance	1,079,658	1,351,622	1,688,616	1,199,650	1,471,946	1,602,496	1,733,046

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR141	B	2	S	2017	303	DO	(1,616.00)	JRNL	972	RISK MGT TRANS-ENG	00JM3404	1/6/2017
LNR141	B	2	S	2017	303	DO	354,958.00	JRNL	971		00JS3171	1/12/2017
LNR141	B	2	S	2017	303	DO	(800,000.00)	JRNL	972		00JS4979	5/5/2017
LNR141	B	2	S	2017	303	DO	354,958.00	JRNL	971		00JS0991	9/12/2016
LNR141	B	2	S	2017	303	DO	(101,588.00)	JRNL	972		00JS0993	9/12/2016
							(193,288.00)			193,288.00		

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 806
 Name of Fund: Park Development and Operation
 Legal Authority Administratively Established within Special Funds, 184-3.4 HRS
Funded directly by 237D-6.5 HRS, as amended by Act 161 SLH 2013

Contact Name: Piikea Tomczyk
 Phone: 7-0304
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-305-C

Intended Purpose:

Act 120, SLH 2000, established the State Park Special Fund to maintain and operate the State Parks system.

Source of Revenues:

Transient Accommodations tax

Current Program Activities/Allowable Expenses:

To supplement the general funds for land-related repairs and maintenance of State Parks facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance is due to the updated method of recording the Transient Accommodations Tax per Act 49, SLH2017 allotment received by the Division.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,800,000	2,500,000	2,500,000	2,000,000	2,000,000	2,500,000	2,500,000
Beginning Cash Balance	577,089	220,344	136,287	74,726	982,776	1,261,914	1,357,217
Revenues	1,298	780	281	2,004,878	4,878	5,000	5,000
Expenditures	358,043	84,837	61,842	1,096,828	1,625,740	1,809,697	1,809,697
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
					1,900,000	1,900,000	1,900,000
Net Total Transfers	0	0	0	0	1,900,000	1,900,000	1,900,000
Ending Cash Balance	220,344	136,287	74,726	982,776	1,261,914	1,357,217	1,452,520
Encumbrances	94,442	31,343	26,360	416,043	600,000	600,000	600,000
Unencumbered Cash Balance	125,902	104,944	48,366	566,733	661,914	757,217	852,520

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Na Ala Hele Program
 Legal Authority: Act 200, SLH 2003

Contact Name: Moana Rowland
 Phone: 587-0057
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-306-C

Intended Purpose:

This fund was established by Act 67, SLH 1988, to collect proceeds from hunting license fees, law violation fines, hunter training fees and charges for use of

Source of Revenues:

Funds collected via the Highway Fuel Tax under Chapter 243, Hawaii Revised Statutes (HRS), to implement the Hawaii Statewide Trail and Access Program

Current Program Activities/Allowable Expenses:

Activities include the planning, developing, acquiring land or rights for public use of land, constructing, restoring, engaging in coordination activities and

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance in FY 16 & 17 expenditures is due to the payment of FY15 encumbrances in FY 16.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,012,912	1,207,912	1,082,471	1,098,414	1,155,431	1,155,431	1,155,431
Beginning Cash Balance	442,500	46,226	437,150	119,623	160,726	196,726	232,726
Revenues	304,667	272,730	283,077	283,553	286,000	286,000	286,000
Expenditures	697,841	629,085	845,051	486,369	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3404, 01/06/17	(3,100)	747,279	244,447	(6,081)	250,000	250,000	250,000
00JS0992, 9/12/16				250,000			
Net Total Transfers	(3,100)	747,279	244,447	243,919	250,000	250,000	250,000
Ending Cash Balance	46,226	437,150	119,623	160,726	196,726	232,726	268,726
Encumbrances	25,442	263,127	100,868	82,636	100,000	100,000	100,000
Unencumbered Cash Balance	20,785	174,023	18,755	78,090	96,726	132,726	168,726

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 906
 Name of Fund: LNR - Natural Physical Environment
 Legal Authority: Act 200, SLH 2003

Contact Name: Grace L. Teves
 Phone: 587-0335
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-308-C

Intended Purpose:

This fund was established to account for risk management costs imposed on special funds; it is also the operating account for position funded by special funds.

Source of Revenues:

Transfer from various Special fund accounts and administrative fees collected from enforcement activities under Civil Resource Violations System.

Current Program Activities/Allowable Expenses:

Personal services and other costs associated with special funded positions and risk management costs imposed on special funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in expenditures is due to increase in fringe benefit rate and collective bargaining costs.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,082,431	1,174,291	1,431,618	1,663,511	1,958,011	1,958,011	1,958,011
Beginning Cash Balance	660,421	610,348	606,702	664,152	465,032	358,032	251,032
Revenues	40,538	39,755	55,046	45,135	43,000	43,000	43,000
Expenditures	922,248	1,213,404	1,027,324	1,354,480	1,450,000	1,450,000	1,450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	831,638	1,170,003	1,029,728	1,110,225	1,300,000	1,300,000	1,300,000
Net Total Transfers	831,638	1,170,003	1,029,728	1,110,225	1,300,000	1,300,000	1,300,000
Ending Cash Balance	610,349	606,702	664,152	465,032	358,032	251,032	144,032
Encumbrances	11,116	2,583	35,868	121,493	100,000	100,000	100,000
Unencumbered Cash Balance	599,233	604,119	628,284	343,539	258,032	151,032	44,032

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR906	B	2	S	2017	308	DA	80,906.00	JRNL	971	RISK MGT-TRANSFER IN	00JM3404	1/6/2017
LNR906	B	2	S	2017	308	DA	433,876.00	JRNL	971		00JS0991	9/12/2016
LNR906	B	2	S	2017	308	DA	372,155.00	JRNL	971		00JS0993	9/12/2016
LNR906	B	2	S	2017	308	DA	223,288.00	JRNL	971		00JS1413	10/5/2016
							1,110,225.00					

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 111
 Name of Fund: Bureau of Conveyances Special Fund
 Legal Authority: Section 508-8, HRS

Contact Name: Leslie Kobata
 Phone: 587-0023
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-309-C

Intended Purpose:

This fund was established to pay for the daily operating expense of the Bureau of Conveyances (BOC) and allows for the continual improvement of services to the public through the implementation of an electronic land records system, the ongoing digitization and preservation of our physical records, increasing efficiencies with workflow optimization and the forward planning and implementation for disaster recovery contingencies.

Source of Revenues:

Monies received from recording fees, miscellaneous service fees and legislatively mandated "transaction fees" (Act 120, SLH 2009) on Regular System recordings.

Current Program Activities/Allowable Expenses:

Activities include planning, design, equipment/software acquisition and systems implementation as well as staffing, training and workflow improvements and the digitization, preservation and accessibility of all land records, maps and miscellaneous documents under the BOC's responsibility.

Purpose of Proposed Ceiling Adjustment (if applicable):

Continue with the digitization, preservation and access to the public land records, improve and preserve the physical records and support facilities at the Bureau as a business/cultural resource and to implement plans that streamline the Land Court certification workflow.

Variances:

The increase in expenditures in each fiscal year are for the anticipated continuation of the digitization and land records management system projects.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,779,956	5,004,966	5,763,443	6,026,606	6,498,148	7,325,312	9,658,268
Beginning Cash Balance	2,769,768	2,592,162	2,066,398	785,871	1,294,287	2,425,580	2,729,647
Revenues	4,278,117	4,115,138	4,292,920	6,110,953	7,630,679	7,630,679	7,630,679
Expenditures	4,382,342	4,528,239	5,454,424	5,498,913	6,498,148	7,325,312	9,658,268
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3404, 01/06/17	(73,381)	(112,663)	(119,023)	(1,179)	(1,238)	(1,300)	(1,365)
00JS1413, 10/15/16				(102,445)			
Net Total Transfers	(73,381)	(112,663)	(119,023)	(103,624)	(1,238)	(1,300)	(1,365)
Ending Cash Balance	2,592,162	2,066,398	785,871	1,294,287	2,425,580	2,729,647	700,693
Encumbrances	291,671	738,268	901,077	230,222	500,000	0	0
Unencumbered Cash Balance	2,300,491	1,328,130	(115,206)	1,064,065	1,925,580	2,729,647	700,693

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 806
 Name of Fund: State Parks Special Funds
 Legal Authority: Section 184-3.4, HRS

Contact Name: Piikea Tomczyk
 Phone: 7-0304
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-312

Intended Purpose:

Act 120, SLH 2000, established the State Park Special Fund to maintain and operate the State Parks system.

Source of Revenues:

Camping entry and other park user fees, leases, and licensing.

Current Program Activities/Allowable Expenses:

To supplement the general funds for land-related repairs and maintenance of State Parks facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,189,444	5,561,885	6,475,547	6,563,662	6,858,452	6,858,452	6,858,452
Beginning Cash Balance	1,921,068	2,424,364	1,758,207	1,446,788	1,727,686	1,289,073	1,387,460
Revenues	4,521,880	4,155,579	4,986,318	4,790,296	4,800,000	4,800,000	4,800,000
Expenditures	4,714,215	5,258,503	5,143,973	4,375,613	4,912,613	4,375,613	4,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3404, 01/06/17	695,631	436,767	(153,764)	(37,730)	(326,000)	(326,000)	(326,000)
00JS0993, 09/12/16				(96,055)			
Net Total Transfers	695,631	436,767	(153,764)	(133,785)	(326,000)	(326,000)	(326,000)
Ending Cash Balance	2,424,364	1,758,207	1,446,788	1,727,686	1,289,073	1,387,460	1,361,460
Encumbrances	411,315	736,453	263,867	280,325	300,000	300,000	300,000
Unencumbered Cash Balance	2,013,049	1,021,754	1,182,921	1,447,361	989,073	1,087,460	1,061,460

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 153
 Name of Fund: Commercial Fisheries Special Fund
 Legal Authority: Chapter 171-HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-313

Intended Purpose:

Act 220, SLH 1996, established the Commercial Fisheries Special Fund to develop and conduct programs and activities for projects concerning aquatic life used for commercial purposes. Revenues from commercial fishing licenses, permits, fees, etc., are deposited into this account. Act 121, SLH 2000, permanently established the Commercial Fisheries Special Fund for the management and conservation of aquatic life used for commercial purposes.

Source of Revenues:

All fees collected from the sale of commercial fishing licenses and other permits related to the commercial use of aquatic resources and its investment pool.

Current Program Activities/Allowable Expenses:

Developing and conducting resource monitoring programs and studies to determine sustainable use of aquatic life for commercial purposes. Also, to implement research programs and activities concerning the conservation and management of aquatic life for commercial purposes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Projected revenue increases will begin this year and increase significantly next year and beyond along with expenditures and encumbrances due to expected increase in the commercial marine license fee from the current \$50 to \$150 sometime in FY 18.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	303,474	641,399	306,750	346,163	368,306	390,000	415,000
Beginning Cash Balance	750,585	819,288	574,137	389,008	249,080	174,080	124,080
Revenues	335,135	292,335	249,157	201,335	250,000	350,000	450,000
Expenditures	237,044	481,937	296,345	267,226	250,000	300,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3404, 01/06/17	(29,388)	(55,549)	(137,941)	(3,330)	(75,000)	(100,000)	(125,000)
00JS0993, 9/12/16				(70,707)			
Net Total Transfers	(29,388)	(55,549)	(137,941)	(74,037)	(75,000)	(100,000)	(125,000)
Ending Cash Balance	819,288	574,137	389,008	249,080	174,080	124,080	49,080
Encumbrances	148,014	212,544	133,992	38,553	40,000	75,000	40,000
Unencumbered Cash Balance	671,274	361,593	255,016	210,527	134,080	49,080	9,080

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Special Land and Development Fund
 Legal Authority: Section 171-19, HRA

Contact Name: Russell Y. Tsuji
 Phone: 587-0422
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-316, S-318

Intended Purpose:

To fund the operations of the Land Management Division and to assist with funding for the operations and maintenance of public lands under the management jurisdiction of the Board and Department of Land and Natural Resources.

Source of Revenues:

Primary sources of funding for the Special Land and Development Fund include rents from leases and land dispositions of the Land Management Division.

Current Program Activities/Allowable Expenses:

Key activities include sales in fee, sale of general leases, issuance of revocable permits and the maintenance of public lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Transient accomodation tax, \$3,000,000.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	7,061,341	8,469,163	9,223,150	11,149,738	12,606,981	13,700,000	13,700,000
Beginning Cash Balance	10,506,869	13,791,611	12,221,047	13,653,067	16,647,735	20,695,149	20,942,563
Revenues	13,382,396	12,313,732	12,378,399	15,847,414	18,347,414	18,347,414	18,347,414
Expenditures	6,223,491	6,586,987	6,676,145	9,245,606	9,800,000	10,800,000	10,800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	(3,874,163)	(7,297,309)	(4,270,234)	(3,607,140)	(4,500,000)	(7,300,000)	(7,300,000)
Net Total Transfers	(3,874,163)	(7,297,309)	(4,270,234)	(3,607,140)	(4,500,000)	(7,300,000)	(7,300,000)
Ending Cash Balance	13,791,611	12,221,047	13,653,067	16,647,735	20,695,149	20,942,563	21,189,977
Encumbrances	987,067	1,350,219	3,180,527	3,033,514	3,300,000	4,000,000	4,500,000
Unencumbered Cash Balance	12,804,544	10,870,828	10,472,540	13,614,221	17,395,149	16,942,563	16,689,977

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

[illegible]

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Land Conservation Fund
 Legal Authority: HRS, Chapter 173A

Contact Name: David G. Smith
 Phone: (808) 587-4181
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-317-C

Intended Purpose:

To provide funding to county agencies, state agencies, and non-profit organizations for the acquisition (interests in fee simple or conservation easement) of lands having value as a resource to the State.

Source of Revenues:

Each fiscal year, ten percent or \$6,800,000, whichever is less, of the State real property Conveyance Tax, shall be paid into the land conservation fund pursuant to section 173A-5.

Current Program Activities/Allowable Expenses:

- (1) The acquisition of interests or rights in land having value as a resource to the State, whether in fee title or through the establishment of permanent conservation easements under chapter 198 or agricultural easements;
- (2) The payment of any debt service on state financial instruments relating to the acquisition of interests or rights in land having value as a resource to the State;
- (3) Annual administration costs for the fund, not to exceed five per cent of annual fund revenues of the previous year; and]
- (4) Costs related to the operation, maintenance, and management of lands acquired by way of this fund that are necessary to protect, maintain, or or restore resources at risk on these lands, or that provide for greater public access and enjoyment of these lands; provided that the costs related to the operation, maintenance, and management of lands acquired by way of this fund do not exceed five per cent of annual fund revenues of the previous year.

Purpose of Proposed Ceiling Adjustment (if applicable):

Provide additional funding for (1) the acquisition of land having value as a resource to the State and for the protection of resources for public benefit.

Variances:

Variances in projected expenditures are a function of estimated timelines for closing pending, encumbered real estate transactions.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,109,644	5,019,644	5,147,006	5,117,250	5,117,250	6,965,748	6,965,748
Beginning Cash Balance	10,589,433	16,278,836	22,415,656	19,429,704	20,567,919	20,568,027	21,685,963
Revenues	7,515,186	7,975,148	6,742,309	6,965,748	6,965,748	6,965,748	6,965,748
Expenditures	1,805,783	1,869,784	9,728,261	5,827,533	4,965,640	5,847,812	5,410,870
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(20,000)	31,456	0	0	(2,000,000)	0	0
Net Total Transfers	(20,000)	31,456	0	0	(2,000,000)	0	0
Ending Cash Balance	16,278,836	22,415,656	19,429,704	20,567,919	20,568,027	21,685,963	23,240,841
Encumbrances	9,520,997	11,554,163	4,695,000	3,968,531	2,904,860	4,757,936	4,751,503
Unencumbered Cash Balance	6,757,839	10,861,493	14,734,704	16,599,388	17,663,167	16,928,027	18,489,338

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 802
 Name of Fund: Hawaii Historic Preservation Special Fund
 Legal Authority: Section 6E-16, HRS

Contact Name: Randolph M. K. Lee III
 Phone: 692-8033
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-321-C

Intended Purpose:

This fund was established by Act 388, SLH 1989, to account for appropriations from the Legislature, gifts, donations, grants, and interest income to provide financial assistance to public and private agencies in accordance with Chapter 42Fm HRS, involved in historic preservation activities other than those covered in by Section 6E-9, HRS.

Source of Revenues:

Fees charged to archaeology firms for Division review of reports and submittal, as well as for archaeology permit fees.

Current Program Activities/Allowable Expenses:

To provide State Grant-in-Aid and for historic preservation activities expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues reflect the projected fee increases for report reviews, permits and submittals; Expenditure increase in FY 2016 is due to expenditures from S-209 being transferred into S-321.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	77,283	59,783	264,316	318,030	350,509	350,509	350,509
Beginning Cash Balance	63,539	90,982	140,029	3,985	53,320	64,595	74,595
Revenues	75,541	75,684	73,827	137,789	111,275	50,000	50,000
Expenditures	47,182	23,638	208,582	87,104	100,000	40,000	45,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3404, 01/06/17	(916)	(2,999)	(1,289)	(1,350)			
Net Total Transfers	(916)	(2,999)	(1,289)	(1,350)	0	0	0
Ending Cash Balance	90,982	140,029	3,985	53,320	64,595	74,595	79,595
Encumbrances		3,679	5,053	1,305	5,000	5,000	5,000
Unencumbered Cash Balance	90,982	136,350	(1,068)	52,015	59,595	69,595	74,595

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Prevention of Natural Disasters
 Legal Authority: Act 49, SLH 2017

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-323-C

Intended Purpose:

Operating special fund for LNR 810 program for expenses related to flood control and dam safety activities

Source of Revenues:

Investment Pool proceeds; transfer of vacation earned with other departments/agencies.

Current Program Activities/Allowable Expenses:

Payroll, fringe benefits and operating expenses for program activities

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	795,158	702,314	819,450	839,896	950,203	950,203	950,203
Beginning Cash Balance	203,182	213,055	188,743	263,179	320,729	422,932	425,135
Revenues	907	1,371	4,448	2,343	2,000	2,000	2,000
Expenditures	753,168	694,761	749,462	784,689	850,000	950,000	950,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS3171, 1/12/174	762,134	669,078	819,450	419,948	950,203	950,203	950,203
00JS0991, 9/12/16				419,948			
Net Total Transfers	762,134	669,078	819,450	839,896	950,203	950,203	950,203
Ending Cash Balance	213,055	188,743	263,179	320,729	422,932	425,135	427,338
Encumbrances			601	33	100	100	100
Unencumbered Cash Balance	213,055	188,743	262,578	320,696	422,832	425,035	427,238

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Beach Restoration Special Fund
 Legal Authority: Section 171-156, HRS, Act 84, SLH 199

Contact Name: Sam Lemmo
 Phone: 587-0391
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-325-C

Intended Purpose:

Act 84, SLH 1999 established this fund to provide for the restoration of public beach lands in those instances in which such restoration is considered to benefit the people of the State.

Source of Revenues:

Monies received from lease or development of public lands; fines for unauthorized shoreline structures, donations, fees for processing applications, and grants. Funds also received from the Transient Accommodations Tax.

Current Program Activities/Allowable Expenses:

Develop plans and programs for the restoration and protection of beach lands of the state and implementation of beach restoration projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in expenditures is due to monies received from Transient Accommodations Tax.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	260,000	600,000	600,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	1,110,151	1,498,883	1,189,382	1,143,028	1,702,113	1,702,113	1,702,113
Revenues	542,865	403,811	166,524	846,935	800,000	800,000	800,000
Expenditures	154,133	311,312	212,878	287,694	800,000	800,000	800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3404, 01/06/17		(402,000)		(156)			
Net Total Transfers	0	(402,000)	0	(156)	0	0	0
Ending Cash Balance	1,498,883	1,189,382	1,143,028	1,702,113	1,702,113	1,702,113	1,702,113
Encumbrances	152,162	186,246	145,162	113,475			
Unencumbered Cash Balance	1,346,721	1,003,136	997,866	1,588,638	1,702,113	1,702,113	1,702,113

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: Water Resource Management Fund
 Legal Authority: Section 174C-5.5, HRS

Contact Name: Michael Yoshinaga
 Phone: 587-0241
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-326

Intended Purpose:

Act 200, SLH 2000 established the Water Resource Management Fund, to be used for the following: 1) Monitoring program and activities concerning water resource quality, protection and management, 2) Research programs and activities concerning water conservation and investigation of alternative sources of water, 3) Preparation and dissemination of information to the public concerning activities authorized under Chapter 174, HRS, 4) Data collection, development and update of long-range planning documents, and 5) Any other protection, management, operational or maintenance function authorized and deemed necessary by the Commission on Water Resource Management. From FY 02, funds for the Special Land and Development Fund (S-304-C) have been deposited into the Water Resource Management Fund as needed, to revise and update the Hawaii Water Plan.

Source of Revenues:

Water permits filling fees, fines and penalties, copying costs, 25% of fees derived from water licenses.

Current Program Activities/Allowable Expenses:

Monitoring program activities, research program and activities, plan and studies, data collection.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Variance in Revenues between FY 2018 and FYs 2019/2020 due to fee increases for water use permits issued by the Commission.

Variance in Expenditures between FY 2017 and FY 2018 due to contested cases being pushed back.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	691,818	866,878	978,575	356,474	356,474	356,474	356,474
Beginning Cash Balance	1,577,582	1,454,062	1,468,833	1,242,796	1,056,131	774,657	523,183
Revenues	61,858	67,249	66,132	74,455	75,000	105,000	105,000
Expenditures	492,905	511,831	245,500	225,701	356,474	356,474	356,474
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3404, 01/06/17	307,527	459,353	(46,669)	(665)			
00JS0993, 09/12/16				(34,754)			
Net Total Transfers	307,527	459,353	(46,669)	(35,419)	0	0	0
Ending Cash Balance	1,454,062	1,468,833	1,242,796	1,056,131	774,657	523,183	271,709
Encumbrances	343,866	497,814	451,056	353,891	0	0	0
Unencumbered Cash Balance	1,110,196	971,019	791,740	702,240	774,657	523,183	271,709

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 810
 Name of Fund: Dam and Reservoir Safety Special Fund (DRSSF)
 Legal Authority: Act 262, SLH 2007

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-328-C

Intended Purpose:

Provide funding to improve the safety of dams and reservoirs in the State.

Source of Revenues:

Appropriations by the legislature; fees and administrative charges collected under Act 262, SLH 2007; fines or penalties imposed under act 262, SLH 2007;

Current Program Activities/Allowable Expenses:

Use of the DRSSF includes, but is not limited to, conducting investigations, research, and collection of data; monitoring and inspection programs and

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000	1,300,000
Beginning Cash Balance	1,477,237	1,930,336	2,357,262	2,765,906	2,908,932	3,133,932	3,358,932
Revenues	622,942	644,484	748,557	608,976	725,000	725,000	725,000
Expenditures	169,843	205,488	339,913	465,950	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	(12,070)	0	0			
Net Total Transfers	0	(12,070)	0	0	0	0	0
Ending Cash Balance	1,930,336	2,357,262	2,765,906	2,908,932	3,133,932	3,358,932	3,583,932
Encumbrances		387,977	1,278,285	1,115,270	1,200,000	1,200,000	1,200,000
Unencumbered Cash Balance	1,930,336	1,969,285	1,487,621	1,793,662	1,933,932	2,158,932	2,383,932

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 804
 Name of Fund: Wildlife Revolving Fund
 Legal Authority: Section 183D-10.5, HRS

Contact Name: Shaya Honarvar
 Phone: 587-4198
 Fund type (MOF) Revolving - W
 Appropriation Acct. No. S-343-C

Intended Purpose:

This fund was established by Act 67, SLH 1988, to collect proceeds from hunting license fees, law violation fines, hunter training fees and charges for use of public target ranges. Authorized expenditures include matching funds for federal grants-in-aid.

Source of Revenues:

License fees

Current Program Activities/Allowable Expenses:

Current activities include education, trail maintenance and research to develop new public hunting areas.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance between FY 15 & FY 16 revenues is due to the increase in hunting licence fees (required conservation and game bird stamp), and charges for tags. The variance between FY 15 & FY 16 expenditures is due to the expansion of the hunting program for better access and hunting opportunity.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	572,088	572,088	588,820	635,428	637,857	637,857	637,857
Beginning Cash Balance	115,909	16,091	58,228	296,841	445,340	582,840	680,340
Revenues	178,790	222,194	580,042	607,913	600,000	600,000	600,000
Expenditures	278,607	180,057	341,429	425,425	460,000	500,000	540,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00js5021, 05/08/17	0	0	0	(33,989)	(2,500)	(2,500)	(2,500)
Net Total Transfers	0	0	0	(33,989)	(2,500)	(2,500)	(2,500)
Ending Cash Balance	16,091	58,228	296,841	445,340	582,840	680,340	737,840
Encumbrances	25,969	18,332	59,151	68,448	75,000	75,000	75,000
Unencumbered Cash Balance	(9,878)	39,896	237,690	376,892	507,840	605,340	662,840

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Conservation & Resources Enforcement
 Legal Authority: Section 183D-10.5, HRS

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Revolving - W
 Appropriation Acct. No. S-344-C

Intended Purpose:

This fund was established by Act 67, SLH 1988, to collect proceeds from hunting license fees, law violation fines, hunter training fees and charges for use of public target ranges. Authorized expenditures include matching funds for federal grants-in-aid.

Source of Revenues:

Hunting license fees, law violation fines, hunter training fees and charges for use of public target ranges.

Current Program Activities/Allowable Expenses:

Matching funds for federal grants-in-aid.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase is due to increase in hunter license sales by the Hunter Education Program.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	108,114	32,333	32,333	32,333	32,333	32,333	32,333
Beginning Cash Balance	486	486	487	487	34,476	34,476	34,476
Revenues	0	1	0	2,132	2,200	2,200	2,200
Expenditures	0	0	0	2,132	2,200	2,200	2,200
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS5021, 5/8/17				33,989			
Net Total Transfers	0	0	0	33,989	0	0	0
Ending Cash Balance	486	487	487	34,476	34,476	34,476	34,476
Encumbrances							
Unencumbered Cash Balance	486	487	487	34,476	34,476	34,476	34,476

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forest Stewardship Fund
 Legal Authority: Section 195F-4, HRS

Contact Name: Irene Sprecher
 Phone: 587-4172
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-347

Intended Purpose:

This fund was established by Act 327, SLH 1991, to provide funding to private landowners to manage, protect, and restore important watersheds, timber resources, fish and wildlife habitats, isolated population of rare and endangered plants, native vegetation.

Source of Revenues:

Sale of forestry products, timber; special use permits and fees

Current Program Activities/Allowable Expenses:

The key activity is to provide financial and technical assistance to landowners to manage, protect, and restore important natural resources in Hawaii's resources in Hawaii's forested and formerly forested lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in expenditures between FY 15, 16 & 17 is due to Act 084. Another account was created for DOFAW-Special Land Development Fund.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,637,996	3,637,997	1,000,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	2,732,239	1,876,648	2,158,128	1,000,637	766,488	666,488	606,488
Revenues	249,415	340,310	418,841	363,434	400,000	440,000	480,000
Expenditures	3,700,707	4,501,163	1,532,755	468,724	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JM3404, 01/06/17	2,595,701	4,442,333	(43,577)	(8,016)			
00js1413, 10/05/16				(120,843)			
Net Total Transfers	2,595,701	4,442,333	(43,577)	(128,859)	0	0	0
Ending Cash Balance	1,876,648	2,158,128	1,000,637	766,488	666,488	606,488	586,488
Encumbrances	1,578,980	1,651,251	569,591	417,801	400,000	400,000	400,000
Unencumbered Cash Balance	297,668	506,877	431,046	348,687	266,488	206,488	186,488

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 805
 Name of Fund: Sport Fish Special Fund
 Legal Authority: 187A-9.5, HRS

Contact Name: Brian Kanenaka
 Phone: 587-0332
 Fund type (MOF) Special Funds - B
 Appropriation Acct. No. S-348-C

Intended Purpose:

This fund was established by Act 143, SLH 1993, to insure compliance with the Federal Aid Sport Fish Restoration Act (Dingell-Johnson/Wallop-Breaux) for the matching of State funds. Revenues collected from sport fish license fees, permits and interest income are used for sport fish projects.

Source of Revenues:

Monies received from collection of sport fish license fees, permits and interest income

Current Program Activities/Allowable Expenses:

Monitor recreational fishing success and harvest levels with creel censuses, maintain the statewide system of open-water fish aggregating devices, and maintain and improve existing artificial reefs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: No significant variance expected.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	76,131	76,131	99,400	99,400	101,456	101,456	101,456
Beginning Cash Balance	73,091	75,008	74,409	63,495	65,798	67,798	68,798
Revenues	28,720	28,374	25,304	27,013	27,000	27,000	27,000
Expenditures	26,803	28,973	36,218	24,710	25,000	26,000	27,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	75,008	74,409	63,495	65,798	67,798	68,798	68,798
Encumbrances	162	9,799	13,000	18,093	17,000	17,000	17,000
Unencumbered Cash Balance	74,846	64,610	50,495	47,705	50,798	51,798	51,798

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 405
 Name of Fund: Conservation and Resources Enforcement
 Legal Authority: Act 78, SLH 2011

Contact Name: Robert Farrell
 Phone: 587-0066
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-349-C

Intended Purpose:

This fund was established to deposit grants, awards, donations, gifts, transfers or monies derived from public or private sources for purposes of enforcing the provisions of Title 12, Chapters 6D, 6E, and 6K.

Source of Revenues:

Grants, awards, donations, gifts, transfers or monies including fees, reimbursements, administrative charges, penalties collected from enforcement activities, interest, dividend, or other income; Transient Accommodations Tax.

Current Program Activities/Allowable Expenses:

Expenditures include, but not limited to training, equipment, IT, safety, wireless communication, travel, work performed in cooperation with enforcement authorities of the state, counties, and federal government, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15/FY16: Decrease in Revenue is due to decrease in funding to support community fisheries enforcement unit; FY16/FY17. Increase is due to incoming TAT funds.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	550,000	550,000	550,000	550,000	550,000	550,000	550,000
Beginning Cash Balance	414,061	297,176	298,325	280,622	514,723	514,723	514,723
Revenues	201,022	68,828	412	402,417	550,000	550,000	402,000
Expenditures	317,907	65,679	18,115	168,316	550,000	550,000	550,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
		(2,000)	0	0			
Net Total Transfers	0	(2,000)	0	0	0	0	0
Ending Cash Balance	297,176	298,325	280,622	514,723	514,723	514,723	366,723
Encumbrances	118,582	0	0	140,286			
Unencumbered Cash Balance	178,594	298,325	280,622	374,437	514,723	514,723	366,723

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Forest Stewardship Fund
 Legal Authority: Act 164 SLH 2011

Contact Name: Irene Sprecher
 Phone: 587-4172
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-353-C

Intended Purpose:

This fund was established by Act 327, SLH 1991, to provide funding to private landowners to manage, protect, and restore important watersheds, timber resources, fish and wildlife habitats, isolated population of rare and endangered plants, native vegetation.

Source of Revenues:

Sale of forestry products, timber; special use permits and fees

Current Program Activities/Allowable Expenses:

The key activity is to provide financial and technical assistance to landowners to manage, protect, and restore important natural resources in Hawaii's forested and formerly forested lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

no revenue is anticipated FY 18 onwards

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			955,475	955,475	955,475	955,475	955,475
Beginning Cash Balance		0	0	642,453	690,632	696,108	701,583
Revenues			0	4,985	0	0	0
Expenditures			313,022	912,282	950,000	950,000	950,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS3172, 01/12/17			955,475	955,476	477,738	955,475	955,475
00JS0992, 09/12/16					477,738		
Net Total Transfers	0	0	955,475	955,476	955,476	955,475	955,475
Ending Cash Balance	0	0	642,453	690,632	696,108	701,583	707,058
Encumbrances			642,453	656,792	696,107	701,582	707,057
Unencumbered Cash Balance	0	0	0	33,840	1	1	1

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 404
 Name of Fund: CWRM-SLDF
 Legal Authority: Section 174C-5.5, HRS

Contact Name: Michael Yoshinaga
 Phone: 587-0241
 Fund type (MOF): Special - B
 Appropriation Acct. No. S-354-C SLDF

Intended Purpose:

To cover salaries and Surface Water Program expenses of the Commission on Water Resource Management.

Source of Revenues:

Funds transferred from the Special Land and Development Fund (S-316-C) into this subaccount.

Current Program Activities/Allowable Expenses:

Aside from salaries, funds are used to cover Surface Water Program expenses relating to hydrological studies/investigation, data collection, and travel.

Purpose of Proposed Ceiling Adjustment (if applicable):

To cover Collective Bargaining pay raises for positions funded by the S-354-C account.

Variances:

Variance in Revenues between FY 2017 and FY 2018 due to nonrecurring source of revenue collected in FY 2017.

Variance in Expenditures between FY 2017 and FY 2018 due to anticipated filling of all vacant positions in FY 2018 and expending all FY 2017 encumbrances in FY 2018.

Variance in Expenditures between FY 2018 and FYs 2019/2020 due to expending all FY 2017 encumbrances in FY 2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			622,101	632,143	700,122	700,122	700,122
Beginning Cash Balance		0	0	255,243	417,626	317,052	317,052
Revenues			0	2,786	0	0	0
Expenditures			366,858	472,546	800,696	700,122	700,122
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
00JS3172, 01/12/17			622,101	261,071	700,122	700,122	700,122
00JS0992, 09/12/16				371,072			
Net Total Transfers	0	0	622,101	632,143	700,122	700,122	700,122
Ending Cash Balance	0	0	255,243	417,626	317,052	317,052	317,052
Encumbrances			64,169	100,574			
Unencumbered Cash Balance	0	0	191,074	317,052	317,052	317,052	317,052

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 806
 Name of Fund: State Parks - SLDF
 Legal Authority: _____

Contact Name: Piikea Tomczyk
 Phone: 7-0304
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-355-C SLDF

Intended Purpose:
 Lifeguard Services at Keawa'ula Beach, Kaena Point State Park, Oahu

Source of Revenues:
 Transfer of funds from Special Land Development Fund to State Parks Special Fund sub-account.
 Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:
 Variance is due to the increase in lifeguard services.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			584,216	584,216	697,085	697,085	697,085
Beginning Cash Balance			0	0	146,054	0	0
Revenues			0	0	0		0
Expenditures			584,216	438,162	843,139	697,085	697,085
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			584,216	584,216	697,085	697,085	697,085
Net Total Transfers	0	0	584,216	584,216	697,085	697,085	697,085
Ending Cash Balance	0	0	0	146,054	0	0	0
Encumbrances				146,054			
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Special Fund
 Legal Authority: Section 248-8, HRS

Contact Name: Kevin Yim
 Phone: 587-1979
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-359, 360-C

Intended Purpose:

This fund was established pursuant to Section 248-8, HRS, to collect revenues to implement the boating and ocean recreation programs.

Source of Revenues:

Monies received from collection of recreational and commercial boaters for the use of boating facilities, overseeing the ocean waters of the state, and for the Current Program Activities/Allowable Expenses:

Improving and expanding the capacity of existing mooring and launching facilities; operating, administering, maintaining and policing boating harbors and launching ramps; constructing new facilities; registering boats and maintaining a centralized vessel registration file; regulating the commercial use of boating facilities; administering a marine casualty and investigation program; constructing and maintaining navigation aids for boating facilities; conducting public education in boating safety; and overseeing the ocean waters of the state of Hawaii.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,829,958	17,152,948	19,070,872	19,223,178	20,189,440	20,189,440	20,189,440
Beginning Cash Balance	7,572,186	9,371,428	9,259,261	11,891,244	10,047,810	8,047,810	6,147,810
Revenues	15,035,549	15,664,684	16,548,229	16,969,497	16,500,000	16,600,000	16,600,000
Expenditures	11,871,813	14,148,468	12,173,138	16,812,208	16,500,000	16,500,000	16,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list	(1,364,494)	(1,628,383)	(1,743,108)	(2,000,723)	(2,000,000)	(2,000,000)	(2,000,000)
Net Total Transfers	(1,364,494)	(1,628,383)	(1,743,108)	(2,000,723)	(2,000,000)	(2,000,000)	(2,000,000)
Ending Cash Balance	9,371,428	9,259,261	11,891,244	10,047,810	8,047,810	6,147,810	4,247,810
Encumbrances	3,301,356	3,342,465	3,403,282	4,023,209	4,000,000	4,000,000	4,000,000
Unencumbered Cash Balance	6,070,072	5,916,796	8,487,962	6,024,601	4,047,810	2,147,810	247,810

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR801	B	2	S	2015	359	KEV	(522,452.89)	JRNL	972		00JS0391	7/31/2016
LNR801	B	2	S	2015	359	KEV	(17,736.10)	JRNL	972		00JS2403	11/23/2016
LNR801	B	2	S	2016	359	KEV	116,410.62	JRNL	971		00JS0085	7/14/2016
LNR801	B	2	S	2016	359	KEV	247,591.85	JRNL	971		00JS0064	7/12/2016
LNR801	B	2	S	2016	359	KEV	(3,000,000.00)	JRNL	972		00JS0100	7/15/2016
LNR801	B	2	S	2016	359	KEV	(2,000.00)	JRNL	972		00JS0433	8/4/2016
LNR801	B	2	S	2017	359	KEV	(6,672.00)	JRNL	972	RISK MGT	00JM3404	1/6/2017
LNR801	B	2	S	2017	359	KEV	57,257.52	JRNL	971		00JS3111	1/9/2017
LNR801	B	2	S	2017	359	KEV	(50,000.00)	JRNL	972		00JS3153	1/11/2017
LNR801	B	2	S	2017	359	KEV	189,857.94	JRNL	971		00JS3202	1/13/2017
LNR801	B	2	S	2017	359	KEV	192,551.79	JRNL	971		00JS3262	1/19/2017
LNR801	B	2	S	2017	359	KEV	118,289.49	JRNL	971		00JS3381	1/24/2017
LNR801	B	2	S	2017	359	KEV	463,490.66	JRNL	971		00JS3392	1/24/2017
LNR801	B	2	S	2017	359	KEV	71,279.54	JRNL	971		00JS3447	1/27/2017
LNR801	B	2	S	2017	359	KEV	92,827.54	JRNL	971		00JS3472	1/30/2017
LNR801	B	2	S	2017	359	KEV	(50,000.00)	JRNL	972		00JS3446	1/27/2017
LNR801	B	2	S	2017	359	KEV	91,943.02	JRNL	971		00JS3525	1/31/2017
LNR801	B	2	S	2017	359	KEV	147,125.96	JRNL	971		00JS3595	2/6/2017
LNR801	B	2	S	2017	359	KEV	183,791.78	JRNL	971		00JS3666	2/10/2017
LNR801	B	2	S	2017	359	KEV	465,341.96	JRNL	971		00JS3771	2/16/2017
LNR801	B	2	S	2017	359	KEV	163,751.59	JRNL	971		00JS3795	2/17/2017
LNR801	B	2	S	2017	359	KEV	90,973.40	JRNL	971		00JS3812	2/21/2017
LNR801	B	2	S	2017	359	KEV	286,701.12	JRNL	971		00JS3884	2/24/2017
LNR801	B	2	S	2017	359	KEV	247,778.69	JRNL	971		00JS3986	2/28/2017
LNR801	B	2	S	2017	359	KEV	428,295.71	JRNL	971		00JS4021	3/7/2017
LNR801	B	2	S	2017	359	KEV	53,153.12	JRNL	971		00JS4190	3/16/2017
LNR801	B	2	S	2017	359	KEV	(50,000.00)	JRNL	972		00JS4188	3/16/2017
LNR801	B	2	S	2017	359	KEV	86,833.61	JRNL	971		00JS4211	3/17/2017
LNR801	B	2	S	2017	359	KEV	395,264.63	JRNL	971		00JS4375	3/30/2017
LNR801	B	2	S	2017	359	KEV	330,484.47	JRNL	971		00JS4513	4/6/2017
LNR801	B	2	S	2017	359	KEV	158,782.01	JRNL	971		00JS4536	4/7/2017
LNR801	B	2	S	2017	359	KEV	52,461.13	JRNL	971		00JS4571	4/10/2017
LNR801	B	2	S	2017	359	KEV	97,661.24	JRNL	971		00JS4591	4/11/2017
LNR801	B	2	S	2017	359	KEV	26,340.32	JRNL	971		00JS4627	4/13/2017
LNR801	B	2	S	2017	359	KEV	623,493.89	JRNL	971		00JS4844	4/26/2017

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR801	B	2	S	2017	359	KEV	243,183.97	JRNL	971		00JS4892	4/30/2017
LNR801	B	2	S	2017	359	KEV	107,027.25	JRNL	971		00JS4980	5/5/2017
LNR801	B	2	S	2017	359	KEV	60,050.48	JRNL	971		00JS5077	5/10/2017
LNR801	B	2	S	2017	359	KEV	(55,000.00)	JRNL	972		00JS5127	5/12/2017
LNR801	B	2	S	2017	359	KEV	51,686.21	JRNL	971		00JS5176	5/16/2017
LNR801	B	2	S	2017	359	KEV	66,506.89	JRNL	971		00JS5223	5/18/2017
LNR801	B	2	S	2017	359	KEV	315,840.94	JRNL	971		00JS5248	5/19/2017
LNR801	B	2	S	2017	359	KEV	138,666.19	JRNL	971		00JS5272	5/22/2017
LNR801	B	2	S	2017	359	KEV	194,462.41	JRNL	971		00JS5329	5/25/2017
LNR801	B	2	S	2017	359	KEV	205,010.14	JRNL	971		00JS5342	5/26/2017
LNR801	B	2	S	2017	359	KEV	405,963.43	JRNL	971		00JS5395	5/31/2017
LNR801	B	2	S	2017	359	KEV	52,138.55	JRNL	971		00JS5462	5/31/2017
LNR801	B	2	S	2017	359	KEV	398,326.01	JRNL	971		00JS5490	6/5/2017
LNR801	B	2	S	2017	359	KEV	53,128.46	JRNL	971		00JS5663	6/16/2017
LNR801	B	2	S	2017	359	KEV	176,006.70	JRNL	971		00JS5664	6/16/2017
LNR801	B	2	S	2017	359	KEV	189,028.60	JRNL	971		00JS5662	6/16/2017
LNR801	B	2	S	2017	359	KEV	88,866.94	JRNL	971		00JS5765	6/20/2017
LNR801	B	2	S	2017	359	KEV	42,738.38	JRNL	971		00JS5766	6/20/2017
LNR801	B	2	S	2017	359	KEV	106,230.90	JRNL	971		00JS5955	6/27/2017
LNR801	B	2	S	2017	359	KEV	290,556.25	JRNL	971		00JS5956	6/27/2017
LNR801	B	2	S	2017	359	KEV	120,255.62	JRNL	971		00JS5992	6/29/2017
LNR801	B	2	S	2017	359	KEV	133,270.07	JRNL	971		00JS6080	6/30/2017
LNR801	B	2	S	2017	359	KEV	(40,000.00)	JRNL	972		00JS6079	6/30/2017
LNR801	B	2	S	2017	359	KEV	81,786.94	JRNL	971		00JS6121	6/30/2017
LNR801	B	2	S	2017	359	KEV	508,594.16	JRNL	971		00JS6167	6/30/2017
LNR801	B	2	S	2017	359	KEV	2,850,000.00	JRNL	971		00JS0100	7/15/2016
LNR801	B	2	S	2017	359	KEV	522,452.89	JRNL	971		00JS0391	7/31/2016
LNR801	B	2	S	2017	359	KEV	128,159.40	JRNL	971		00JS0389	7/31/2016
LNR801	B	2	S	2017	359	KEV	134,589.65	JRNL	971		00JS0700	8/22/2016
LNR801	B	2	S	2017	359	KEV	456,942.25	JRNL	971		00JS0748	8/25/2016
LNR801	B	2	S	2017	359	KEV	134,591.14	JRNL	971		00JS0782	8/26/2016
LNR801	B	2	S	2017	359	KEV	405.57	JRNL	971		00JS0810	8/30/2016
LNR801	B	2	S	2017	359	KEV	332,330.14	JRNL	971		00JS0864	8/31/2016
LNR801	B	2	S	2017	359	KEV	160,575.16	JRNL	971		00JS0890	8/31/2016
LNR801	B	2	S	2017	359	KEV	81,209.22	JRNL	971		00JS0975	9/9/2016

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR801	B	2	S	2017	359	KEV	(69,051.00)	JRNL	972		00JS0993	9/12/2016
LNR801	B	2	S	2017	359	KEV	375,246.28	JRNL	971		00JS1188	9/21/2016
LNR801	B	2	S	2017	359	KEV	142,781.69	JRNL	971		00JS1282	9/27/2016
LNR801	B	2	S	2017	359	KEV	206,056.40	JRNL	971		00JS1280	9/27/2016
LNR801	B	2	S	2017	359	KEV	711,389.04	JRNL	971		00JS1412	10/5/2016
LNR801	B	2	S	2017	359	KEV	99,508.00	JRNL	971		00JS1470	10/7/2016
LNR801	B	2	S	2017	359	KEV	113,300.25	JRNL	971		00JS1614	10/14/2016
LNR801	B	2	S	2017	359	KEV	103,785.84	JRNL	971		00JS1750	10/19/2016
LNR801	B	2	S	2017	359	KEV	163,143.39	JRNL	971		00JS1786	10/21/2016
LNR801	B	2	S	2017	359	KEV	49,492.74	JRNL	971		00JS1865	10/26/2016
LNR801	B	2	S	2017	359	KEV	150,076.11	JRNL	971		00JS1891	10/27/2016
LNR801	B	2	S	2017	359	KEV	106,283.65	JRNL	971		00JS1940	10/31/2016
LNR801	B	2	S	2017	359	KEV	14,080.75	JRNL	971		00JS2046	11/4/2016
LNR801	B	2	S	2017	359	KEV	645,860.12	JRNL	971		00JS2156	11/14/2016
LNR801	B	2	S	2017	359	KEV	145,903.18	JRNL	971		00JS2352	11/21/2016
LNR801	B	2	S	2017	359	KEV	232,993.39	JRNL	971		00JS2402	11/23/2016
LNR801	B	2	S	2017	359	KEV	17,736.10	JRNL	971		00JS2403	11/23/2016
LNR801	B	2	S	2017	359	KEV	302,194.70	JRNL	971		00JS2446	11/25/2016
LNR801	B	2	S	2017	359	KEV	102,521.77	JRNL	971		00JS2514	11/30/2016
LNR801	B	2	S	2017	359	KEV	180,093.17	JRNL	971		00JS2624	12/7/2016
LNR801	B	2	S	2017	359	KEV	65,426.52	JRNL	971		00JS2643	12/8/2016
LNR801	B	2	S	2017	359	KEV	312,426.62	JRNL	971		00JS2800	12/19/2016
LNR801	B	2	S	2017	359	KEV	57,000.60	JRNL	971		00JS2830	12/20/2016
LNR801	B	2	S	2017	359	KEV	48,064.67	JRNL	971		00JS2869	12/22/2016
							14,792,768.50					
LNR801	B	2	S	2016	360	KEV	(136,410.62)	JRNL	972		00JS0085	7/14/2016
LNR801	B	2	S	2016	360	KEV	(267,591.85)	JRNL	972		00JS0064	7/12/2016
LNR801	B	2	S	2016	360	KEV	2,000.00	JRNL	971		00JS0433	8/4/2016
LNR801	B	2	S	2016	360	KEV	(173,159.40)	JRNL	972		00JS0389	7/31/2016
LNR801	B	2	S	2016	360	KEV	(405.57)	JRNL	972		00JS0810	8/30/2016
LNR801	B	2	S	2017	360	KEV	(107,257.52)	JRNL	972		00JS3111	1/9/2017
LNR801	B	2	S	2017	360	KEV	(189,857.94)	JRNL	972		00JS3202	1/13/2017
LNR801	B	2	S	2017	360	KEV	(192,551.79)	JRNL	972		00JS3262	1/19/2017
LNR801	B	2	S	2017	360	KEV	(168,289.49)	JRNL	972		00JS3381	1/24/2017

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR801	B	2	S	2017	360	KEV	(463,490.66)	JRNL	972		00JS3392	1/24/2017
LNR801	B	2	S	2017	360	KEV	(71,279.54)	JRNL	972		00JS3447	1/27/2017
LNR801	B	2	S	2017	360	KEV	(142,827.54)	JRNL	972		00JS3472	1/30/2017
LNR801	B	2	S	2017	360	KEV	(91,943.02)	JRNL	972		00JS3525	1/31/2017
LNR801	B	2	S	2017	360	KEV	(147,125.96)	JRNL	972		00JS3595	2/6/2017
LNR801	B	2	S	2017	360	KEV	(183,791.78)	JRNL	972		00JS3666	2/10/2017
LNR801	B	2	S	2017	360	KEV	(465,341.96)	JRNL	972		00JS3771	2/16/2017
LNR801	B	2	S	2017	360	KEV	(213,751.59)	JRNL	972		00JS3795	2/17/2017
LNR801	B	2	S	2017	360	KEV	(90,973.40)	JRNL	972		00JS3812	2/21/2017
LNR801	B	2	S	2017	360	KEV	(336,701.12)	JRNL	972		00JS3884	2/24/2017
LNR801	B	2	S	2017	360	KEV	(247,778.69)	JRNL	972		00JS3986	2/28/2017
LNR801	B	2	S	2017	360	KEV	(428,295.71)	JRNL	972		00JS4021	3/7/2017
LNR801	B	2	S	2017	360	KEV	(53,153.12)	JRNL	972		00JS4190	3/16/2017
LNR801	B	2	S	2017	360	KEV	(136,833.61)	JRNL	972		00JS4211	3/17/2017
LNR801	B	2	S	2017	360	KEV	(495,264.63)	JRNL	972		00JS4375	3/30/2017
LNR801	B	2	S	2017	360	KEV	(330,484.47)	JRNL	972		00JS4513	4/6/2017
LNR801	B	2	S	2017	360	KEV	(52,461.13)	JRNL	972		00JS4571	4/10/2017
LNR801	B	2	S	2017	360	KEV	(208,782.01)	JRNL	972		00JS4536	4/7/2017
LNR801	B	2	S	2017	360	KEV	(97,661.24)	JRNL	972		00JS4591	4/11/2017
LNR801	B	2	S	2017	360	KEV	(26,340.32)	JRNL	972		00JS4627	4/13/2017
LNR801	B	2	S	2017	360	KEV	(723,493.89)	JRNL	972		00JS4844	4/26/2017
LNR801	B	2	S	2017	360	KEV	(243,183.97)	JRNL	972		00JS4892	4/30/2017
LNR801	B	2	S	2017	360	KEV	(107,027.25)	JRNL	972		00JS4980	5/5/2017
LNR801	B	2	S	2017	360	KEV	(60,050.48)	JRNL	972		00JS5077	5/10/2017
LNR801	B	2	S	2017	360	KEV	(51,686.21)	JRNL	972		00JS5176	5/16/2017
LNR801	B	2	S	2017	360	KEV	(116,506.89)	JRNL	972		00JS5223	5/18/2017
LNR801	B	2	S	2017	360	KEV	(325,840.94)	JRNL	972		00JS5248	5/19/2017
LNR801	B	2	S	2017	360	KEV	(138,666.19)	JRNL	972		00JS5272	5/22/2017
LNR801	B	2	S	2017	360	KEV	(239,462.41)	JRNL	972		00JS5329	5/25/2017
LNR801	B	2	S	2017	360	KEV	(205,010.14)	JRNL	972		00JS5342	5/26/2017
LNR801	B	2	S	2017	360	KEV	(405,963.43)	JRNL	972		00JS5395	5/31/2017
LNR801	B	2	S	2017	360	KEV	(448,326.01)	JRNL	972		00JS5490	6/5/2017
LNR801	B	2	S	2017	360	KEV	(52,138.55)	JRNL	972		00JS5462	5/31/2017
LNR801	B	2	S	2017	360	KEV	(229,028.60)	JRNL	972		00JS5662	6/16/2017
LNR801	B	2	S	2017	360	KEV	(53,128.46)	JRNL	972		00JS5663	6/16/2017

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR801	B	2	S	2017	360	KEV	(176,006.70)	JRNL	972		00JS5664	6/16/2017
LNR801	B	2	S	2017	360	KEV	(88,866.94)	JRNL	972		00JS5765	6/20/2017
LNR801	B	2	S	2017	360	KEV	(42,738.38)	JRNL	972		00JS5766	6/20/2017
LNR801	B	2	S	2017	360	KEV	(290,556.25)	JRNL	972		00JS5956	6/27/2017
LNR801	B	2	S	2017	360	KEV	(146,230.90)	JRNL	972		00JS5955	6/27/2017
LNR801	B	2	S	2017	360	KEV	(120,255.62)	JRNL	972		00JS5992	6/29/2017
LNR801	B	2	S	2017	360	KEV	(133,270.07)	JRNL	972		00JS6080	6/30/2017
LNR801	B	2	S	2017	360	KEV	(81,786.94)	JRNL	972		00JS6121	6/30/2017
LNR801	B	2	S	2017	360	KEV	(508,594.16)	JRNL	972		00JS6167	6/30/2017
LNR801	B	2	S	2017	360	KEV	(179,589.65)	JRNL	972		00JS0700	8/22/2016
LNR801	B	2	S	2017	360	KEV	(546,942.25)	JRNL	972		00JS0748	8/25/2016
LNR801	B	2	S	2017	360	KEV	(134,591.14)	JRNL	972		00JS0782	8/26/2016
LNR801	B	2	S	2017	360	KEV	(422,330.14)	JRNL	972		00JS0864	8/31/2016
LNR801	B	2	S	2017	360	KEV	(205,575.16)	JRNL	972		00JS0890	8/31/2016
LNR801	B	2	S	2017	360	KEV	(81,209.22)	JRNL	972		00JS0975	9/9/2016
LNR801	B	2	S	2017	360	KEV	(375,246.28)	JRNL	972		00JS1188	9/21/2016
LNR801	B	2	S	2017	360	KEV	(251,056.40)	JRNL	972		00JS1280	9/27/2016
LNR801	B	2	S	2017	360	KEV	(142,781.69)	JRNL	972		00JS1282	9/27/2016
LNR801	B	2	S	2017	360	KEV	(711,389.04)	JRNL	972		00JS1412	10/5/2016
LNR801	B	2	S	2017	360	KEV	(99,508.00)	JRNL	972		00JS1470	10/7/2016
LNR801	B	2	S	2017	360	KEV	(113,300.25)	JRNL	972		00JS1614	10/14/2016
LNR801	B	2	S	2017	360	KEV	(148,785.84)	JRNL	972		00JS1750	10/19/2016
LNR801	B	2	S	2017	360	KEV	(163,143.39)	JRNL	972		00JS1786	10/21/2016
LNR801	B	2	S	2017	360	KEV	(99,492.74)	JRNL	972		00JS1865	10/26/2016
LNR801	B	2	S	2017	360	KEV	(150,076.11)	JRNL	972		00JS1891	10/27/2016
LNR801	B	2	S	2017	360	KEV	(156,283.65)	JRNL	972		00JS1940	10/31/2016
LNR801	B	2	S	2017	360	KEV	(14,080.75)	JRNL	972		00JS2046	11/4/2016
LNR801	B	2	S	2017	360	KEV	(745,860.12)	JRNL	972		00JS2156	11/14/2016
LNR801	B	2	S	2017	360	KEV	(145,903.18)	JRNL	972		00JS2352	11/21/2016
LNR801	B	2	S	2017	360	KEV	(302,194.70)	JRNL	972		00JS2446	11/25/2016
LNR801	B	2	S	2017	360	KEV	(232,993.39)	JRNL	972		00JS2402	11/23/2016
LNR801	B	2	S	2017	360	KEV	(102,521.77)	JRNL	972		00JS2514	11/30/2016
LNR801	B	2	S	2017	360	KEV	(230,093.17)	JRNL	972		00JS2624	12/7/2016
LNR801	B	2	S	2017	360	KEV	(65,426.52)	JRNL	972		00JS2643	12/8/2016
LNR801	B	2	S	2017	360	KEV	(362,426.62)	JRNL	972		00JS2800	12/19/2016

[illegible]

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Turtle Bay Conservation Easement Special Fund
 Legal Authority: Act 121, SLH 2015

Contact Name: Grace L. Teves
 Phone: 587-0335
 Fund type (MOF) Special - B
 Appropriation Acct. No. S-375

Intended Purpose:

Reimburse the state general fund for payment of debt service on reimbursable general obligation bonds issued to acquire the conservation easement and other real property interests in Turtle Bay, Oahu.

Source of Revenues:

Transient accommodations tax revenues and funds from Land Conservation Fund.

Current Program Activities/Allowable Expenses:

Reimbursement of State General Fund for debt service charges on general obligation bonds for Turtle Bay conservation easement.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance between FY 2017 and FY 2018 revenues and expenditures is due to appropriation for the reimbursement of the state general fund for the payment of debt service not being allotted and has lapsed.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			3,000,000	0	2,459,570	2,535,069	2,531,169
Beginning Cash Balance		0	0	0	6,000,000	6,540,430	7,005,361
Revenues			0	3,375,000	3,000,000	3,000,000	3,000,000
Expenditures			0	0	2,459,570	2,535,069	2,531,169
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
See attached list				2,625,000			
Net Total Transfers	0	0	0	2,625,000	0	0	0
Ending Cash Balance	0	0	0	6,000,000	6,540,430	7,005,361	7,474,192
Encumbrances			0	0			
Unencumbered Cash Balance	0	0	0	6,000,000	6,540,430	7,005,361	7,474,192

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR101	B	2	S	2017	375	DO	1,500,000.00	JRNL	971		00JS5126	5/12/2017
LNR101	B	2	S	2017	375	DO	1,125,000.00	JRNL	971		00JS5126	5/12/2017
LNR101	B	2	S	2017	375	DO	1,500,000.00	JRNL	971		00JS5945	6/27/2017
LNR101	B	2	S	2017	375	DO	(1,500,000.00)	JRNL	971		00JS6315	6/30/2017
							2,625,000.00					

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Lands Proceeds - Oahu
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-901

Intended Purpose:

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland water licenses) are required to be deposited into this fund.

Source of Revenues:

20% of revenues derived from ceded lands.

Current Program Activities/Allowable Expenses:

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	846,917	739,055	813,086	904,702	905,000	905,000	905,000
Expenditures	846,917	739,055	813,086	904,702	905,000	905,000	905,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Lands Proceeds - Hawaii
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-903

Intended Purpose:

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland leases and water licenses) are required to be deposited into this fund.

Source of Revenues:

20% of revenues derived from ceded lands.

Current Program Activities/Allowable Expenses:

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues	1,596,970	1,401,110	1,336,771	1,554,619	1,700,000	1,700,000	1,700,000
Expenditures	1,596,970	1,401,110	1,336,771	1,554,619	1,700,000	1,700,000	1,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Lands Proceeds - Maui
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-902

Intended Purpose:

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland water licenses) are required to be deposited into this fund.

Source of Revenues:

20% of revenues derived from ceded lands.

Current Program Activities/Allowable Expenses:

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues	355,350	392,405	369,581	378,438	380,000	380,000	380,000
Expenditures	355,350	392,405	369,581	378,438	380,000	380,000	380,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Lands Proceeds - Kauai
 Legal Authority: Section 171-18,HRS

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-904

Intended Purpose:

This fund was established by Act 32, SLH 1962, in accordance with the requirements of the Admission Act. All revenues derived from the sale of ceded public lands (including ceded land remnants) and the rents from leases, licenses, and permits of ceded lands (less the 30 percent derived from sugarland leases and water licenses) are required to be deposited into this fund.

Source of Revenues:

20% of revenues derived from ceded lands.

Current Program Activities/Allowable Expenses:

Under the provisions of the Admissions Act and Section 10-13.5, HRS, as amended by Act 271, SLH 1980, 20 percent of the income from the Public Land Trust is to be paid to the Office of Hawaiian Affairs to be used for betterment of native Hawaiians. The remainder of the revenues are transferred into the State General Funds.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues	568,780	478,723	479,564	511,912	520,000	520,000	520,000
Expenditures	568,780	478,723	479,564	511,912	520,000	520,000	520,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Temporary Deposits
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-905

Intended Purpose:

This fund was created to account for temporary deposits such as security deposits for leases, permits and licenses, and also for the payment of appraisal fees chargeable to the applicant.

Source of Revenues:

Temporary Deposits

Current Program Activities/Allowable Expenses:

This trust fund is being used as a holding account.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,460,294	1,521,439	1,671,191	1,577,338	1,717,961	1,717,961	1,717,961
Revenues	249,781	343,594	212,018	297,488	290,000	290,000	290,000
Expenditures	188,636	193,842	305,871	156,865	290,000	290,000	290,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,521,439	1,671,191	1,577,338	1,717,961	1,717,961	1,717,961	1,717,961
Encumbrances	48,782	33,610	27,250	15,700			
Unencumbered Cash Balance	1,472,657	1,637,581	1,550,088	1,702,261	1,717,961	1,717,961	1,717,961

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 906
 Name of Fund: Temporary Deposits - Undistributed Proceeds
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-906

Intended Purpose:

This trust fund was established to deposit land rental and water license revenues that are collected by DLNR for, and later transferred to, the Department of Hawaiian Home Lands, the Department of Agriculture, the Department of Transportation, and the Hawaii Community Development Authority.

Source of Revenues:

Revenues generated by rentals and water license fees managed by DLNR for other State agencies.

Current Program Activities/Allowable Expenses:

This fund serves as a holding account for revenues generated by the rental of property or from water licenses managed by DLNR for other State agencies.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	475,980	478,284	598,129	549,776	574,509	674,509	774,509
Revenues	993,937	821,056	584,502	610,447	800,000	800,000	800,000
Expenditures	991,633	701,211	632,855	585,714	700,000	700,000	700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	478,284	598,129	549,776	574,509	674,509	774,509	874,509
Encumbrances	0	0	0	13,245			
Unencumbered Cash Balance	478,284	598,129	549,776	561,264	674,509	774,509	874,509

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 906
 Name of Fund: Donations, Gifts, & Grants from Private a& Governmental Entities
 Legal Authority: N/A

Contact Name: _____
 Phone: _____
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-907

Intended Purpose:

This fund was created to account for donations, gifts and grants from private entities to be spent for specific purposes.

Source of Revenues:

Donations, gifts, settlement proceeds, etc.

Current Program Activities/Allowable Expenses:

Used primarily as a holding account for various receipts and expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,880,198	5,987,858	16,147,939	19,194,511	18,105,494	18,105,494	18,105,494
Revenues	512,360	11,100,838	3,604,857	1,993,085	800,000	800,000	800,000
Expenditures	392,905	940,757	558,285	2,982,253	800,000	800,000	800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(11,795)			(99,849)			
Net Total Transfers	(11,795)	0	0	(99,849)	0	0	0
Ending Cash Balance	5,987,858	16,147,939	19,194,511	18,105,494	18,105,494	18,105,494	18,105,494
Encumbrances	974,366	1,537,013	1,394,943	3,118,188	700,000	700,000	700,000
Unencumbered Cash Balance	5,013,492	14,610,926	17,799,568	14,987,306	17,405,494	17,405,494	17,405,494

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Program ID	MOF	Appn Type	Fund	FY	Account	Function	Net Amount	Trans Type	Trans Code	Opt Dept Data	Doc No	Doc Date
LNR906	T	3	T	2005	907	ZDC	(4,474.00)	JRNL	972		00JT1248	5/15/2017
LNR906	T	3	T	2014	907	ZDC	(2,931.93)	JRNL	972		00JT1248	5/15/2017
LNR906	T	3	T	2016	907	ZDC	(1,622.15)	JRNL	972		00JT1248	5/15/2017
LNR906	T	3	T	2017	907	ZDC	9,028.08	JRNL	971		00JT1248	5/15/2017
LNR906	T	3	T	2017	907	ZDC	(99,849.70)	JRNL	972		00JT0110	7/28/2016
							(99,849.70)					

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Preservation of Endangered Plants
 Legal Authority: N/A

Contact Name: James Cogswell
 Phone: 808-587-8714
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-908-C

Intended Purpose:

To account for donated revenues for activities related to endangered plants.

Source of Revenues:

Revenues consist of donations from the Hawaii Credit Union League which are required to be used for the preservation of Hawaii's endangered plants.

Current Program Activities/Allowable Expenses: n/a

Expenses related to development, production and issuance of endangered plant tags, and protection and management and endangered plants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					0	0	0
Beginning Cash Balance	2,341	228	228	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	2,113	0	228	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	228	228	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	228	228	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 906
 Name of Fund: Kaho'olawe Rehabilitation Trust Fund
 Legal Authority: N/A

Contact Name: Michael Nahoopii
 Phone: (808) 243-5020
 Fund type (MOF): Trust - T
 Appropriation Account: T-909

Intended Purpose:

This fund was established to receive federal funds, legislative appropriations, and moneys from grants, donations or proceeds for the rehabilitation and environmental restoration of the Island of Kaho'olawe.

Source of Revenues:

Monies received via State and Federal appropriations, and monies from grants, donations, or proceeds for the rehabilitation and environmental restoration of the Island of Kaho'olawe.

Current Program Activities/Allowable Expenses:

Expenses related to the operation of the Kaho'olawe Island Reserve Commission for the preservation, protection, rehabilitation, revegetation and education of the island.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

Annual revenues are dependent to the amount and number of competitive grants that the KIRC is able to secure annually. Annual trust fund expenditures are dependent on the amount of trust funds needed to balance the KIRC's mandated operations less the amount of general funds authorized annually by the legislature.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,574,767	3,119,589	509,183	522,585	415,046	378,364	278,364
Revenues	288,988	463,164	720,529	394,205	366,000	250,000	250,000
Expenditures	2,744,166	3,073,570	707,127	501,744	402,682	350,000	350,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,119,589	509,183	522,585	415,046	378,364	278,364	178,364
Encumbrances	1,358,352	185,667	174,081	13,718	50,000	50,000	50,000
Unencumbered Cash Balance	1,761,237	323,516	348,504	401,328	328,364	228,364	128,364

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Land Div - Water Monitoring Services
 Legal Authority: N/A

Contact Name: Jean Daguio
 Phone: 587-0357
 Fund type (MOF): Trust - T
 Appropriation Acct. No. T-911

Intended Purpose:

This trust account was created to account for donations received from various subsidiaries of sugar plantations. The donations were to be used for the operation and maintenance of sugarcane irrigation stations. Currently, no donations, gifts, or grants are being made to this account.

Source of Revenues:

Private parties pay for stream gauging services rendered by the United States Geological Survey (USGS).

Current Program Activities/Allowable Expenses:

This account serve as a clearing account for private parties to obtain and pay for stream gauging services rendered by USGS.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8,728	9,361	9,376	9,576	19,616	96	96
Revenues	18,583	18,575	18,960	19,520	0	0	0
Expenditures	17,950	18,560	18,760	9,480	19,520	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,361	9,376	9,576	19,616	96	96	96
Encumbrances	9,280	9,280	9,480	19,520	0	0	0
Unencumbered Cash Balance	81	96	96	96	96	96	96

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Special Deposits
 Legal Authority: N/A

Contact Name: Kevin Yim
 Phone: 587-1979
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-915

Intended Purpose:

This trust account was transferred from the Department of Transportation on July 1, 1992. This fund was created to account for security deposits collected from the boating tenants of small boat harbors.

Source of Revenues:

Monies received as security deposits from the boating tenants of small boat harbors. The security deposits are usually equivalent to three months' rent.

Current Program Activities/Allowable Expenses:

This account is being used as a holding account and the security deposits are returned when the rental agreements are terminated.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,482,364	1,640,311	1,702,770	1,806,528	1,972,967	2,137,967	2,302,967
Revenues	163,407	134,554	153,642	218,441	220,000	220,000	220,000
Expenditures	5,460	72,095	49,884	52,002	55,000	55,000	55,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,640,311	1,702,770	1,806,528	1,972,967	2,137,967	2,302,967	2,467,967
Encumbrances							
Unencumbered Cash Balance	1,640,311	1,702,770	1,806,528	1,972,967	2,137,967	2,302,967	2,467,967

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Preservation of Endangered Plants
 Legal Authority: N/A

Contact Name: James Cogswell
 Phone: 808-587-4187
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-919-C

Intended Purpose:

Funds will be used to conserve aquatic life, wildlife, and land plants.

Source of Revenues:

To account for monies provided for habitat conservation plans.

Current Program Activities/Allowable Expenses:

Preparation and implementation of habitat conservation plans and safe harbor agreements (mitigation, minimization habitat restoration and protection, species recovery). Also, to provide additional incentives for private landownersto recover and protect threatened and endangered species on their lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,178,551	2,681,318	3,369,856	3,499,682	4,312,907	5,012,907	5,612,907
Revenues	1,779,420	2,112,279	2,016,364	2,632,194	2,600,000	2,600,000	2,600,000
Expenditures	1,276,653	1,423,741	1,886,538	1,818,969	1,900,000	2,000,000	2,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,681,318	3,369,856	3,499,682	4,312,907	5,012,907	5,612,907	6,112,907
Encumbrances	1,207,964	1,907,488	1,445,769	2,516,483	2,500,000	2,750,000	3,000,000
Unencumbered Cash Balance	1,473,354	1,462,368	2,053,913	1,796,424	2,512,907	2,862,907	3,112,907

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 402
 Name of Fund: Endangered Species Trust Fund
 Legal Authority Act 164, SLH 2011 as amended by Act 106, SLH 2012

Contact Name: James Cogswell
 Phone: 808-587-4187
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-920-C

Intended Purpose:

Funds will be used to provide management for threatened and endangered species.

Source of Revenues:

Fees for technical services related to the development of Habitat Conservation Plans and Safe Harbor Agreements, processing applications for incidental take licenses and monitoring .

Current Program Activities/Allowable Expenses:

Division is responsible for processing and providing assistance for applications of incidental take licenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

We are expecting increase in revenues and will have expenditures in FY 18 onwards.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	136,197	99,755	192,520	180,545	180,545	180,545	180,545
Beginning Cash Balance	21,500	33,870	51,982	63,331	73,856	80,856	85,856
Revenues	12,370	18,112	11,349	10,525	27,000	25,000	25,000
Expenditures	0	0	0	0	20,000	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	33,870	51,982	63,331	73,856	80,856	85,856	90,856
Encumbrances	0	0	0	0	10,000	10,000	10,000
Unencumbered Cash Balance	33,870	51,982	63,331	73,856	70,856	75,856	80,856

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Ceded Land Proceeds - Oahu
 Legal Authority: N/A

Contact Name: Kevin Yim
 Phone: 587-1979
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-921

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the island of Oahu.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	188,242	123,611	149,893	215,648	260,268	300,268	340,268
Revenues	406,502	439,083	505,053	589,028	600,000	600,000	600,000
Expenditures	471,133	412,801	439,298	544,408	560,000	560,000	580,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	123,611	149,893	215,648	260,268	300,268	340,268	360,268
Encumbrances							
Unencumbered Cash Balance	123,611	149,893	215,648	260,268	300,268	340,268	360,268

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Ceded Land Proceeds - Maui
 Legal Authority: N/A

Contact Name: Kevin Yim
 Phone: 587-1979
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-922

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the island of Maui.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	330,729	194,349	248,849	246,405	316,115	384,115	414,115
Revenues	618,666	648,955	678,056	777,903	778,000	780,000	780,000
Expenditures	755,046	594,455	680,500	708,193	710,000	750,000	760,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	194,349	248,849	246,405	316,115	384,115	414,115	434,115
Encumbrances							
Unencumbered Cash Balance	194,349	248,849	246,405	316,115	384,115	414,115	434,115

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Ceded Land Proceeds - Hawaii
 Legal Authority: N/A

Contact Name: Kevin Yim
 Phone: 587-1979
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-923

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation's ceded lands on the Island of Hawaii.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	62,205	36,420	43,515	56,542	77,658	97,658	117,658
Revenues	124,180	131,703	151,430	168,429	170,000	170,000	170,000
Expenditures	149,965	124,608	138,403	147,313	150,000	150,000	150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	36,420	43,515	56,542	77,658	97,658	117,658	137,658
Encumbrances							
Unencumbered Cash Balance	36,420	43,515	56,542	77,658	97,658	117,658	137,658

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 801
 Name of Fund: Boating Ceded Land Proceeds - Kauai
 Legal Authority: N/A

Contact Name: Kevin Yim
 Phone: 587-1979
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-924

Intended Purpose:

To comply with Executive Orders 03-03 and 06-06, which set up the procedures for the transfer of ceded land receipts of the Office of Hawaiian Affairs (OHA).

Source of Revenues:

20% of revenues derived from the Division of Boating and Ocean Recreation 's ceded lands on the island of Kauai.

Current Program Activities/Allowable Expenses:

At the end of each quarter, funds accumulated in this account are transferred to OHA.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	60,958	43,717	47,058	49,453	61,265	71,265	76,265
Revenues	156,174	152,287	144,069	147,386	150,000	150,000	150,000
Expenditures	173,415	148,946	141,674	135,574	140,000	145,000	145,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	43,717	47,058	49,453	61,265	71,265	76,265	81,265
Encumbrances							
Unencumbered Cash Balance	43,717	47,058	49,453	61,265	71,265	76,265	81,265

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 172
 Name of Fund: Asistance in Managing Land Fund
 Legal Authority: N/A

Contact Name: Irene Sprecher
 Phone: 587-4167
 Fund type (MOF): Trust - T
 Appropriation Acct. No. T-926-C (Sub Account)

Intended Purpose:

This account will be used to assist the Office of Hawaiian Affairs (OHA) in the management of the 25,856 acre Wao Kele O Puna Forest Reserve on the Island of Hawaii.

Source of Revenues:

Funds from OHA to manage the land.

Current Program Activities/Allowable Expenses:

Expenditures related to the management of land.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	225,663	167,722	125,760	124,837	124,929	124,729	124,529
Revenues	385	532	181	771	800	800	800
Expenditures	58,326	42,494	1,104	679	1,000	1,000	1,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
			0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	167,722	125,760	124,837	124,929	124,729	124,529	124,329
Encumbrances	52,861	10,420	9,316	0			
Unencumbered Cash Balance	114,861	115,340	115,521	124,929	124,729	124,529	124,329

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 141
 Name of Fund: Accrued Vacation and Sick Leave Fund
 Legal Authority: Act 119, SLH 2015

Contact Name: Alyson Yim
 Phone: 587-0259
 Fund type (MOF): Trust - T
 Appropriation Acct. No. T-930-C

Intended Purpose:

Receive, hold and payout funds for vacation leave for capital improvement program-funded staff.

Source of Revenues:

Investment Pool proceeds; vacation payouts for employees who transfer into a capital improvement project-funded position from other departments/agencies

Current Program Activities/Allowable Expenses:

Vacation payouts for employees leaving a capital improvement program-funded position.

Purpose of Proposed Ceiling Adjustment (if applicable):

N/A

Variances:

N/A

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			188,181	188,181	197,827	197,827	197,827
Beginning Cash Balance		0	0	394,602	391,311	391,311	391,311
Revenues			445,860	36,521	50,000	50,000	50,000
Expenditures			51,258	39,812	50,000	50,000	50,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	394,602	391,311	391,311	391,311	391,311
Encumbrances			49,151	11,590	10,000	10,000	10,000
Unencumbered Cash Balance	0	0	345,451	379,721	381,311	381,311	381,311

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Land Proceeds, GF Portion - Oahu
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF) Trust - T
 Appropriation Acct. No. T-931

Intended Purpose:

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

Source of Revenues:

20% of revenues derived from the use of ceded lands on the Island of Oahu.

Current Program Activities/Allowable Expenses:

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,886,152	7,730,326	8,627,853	9,453,719	10,500,643	11,600,643	12,700,643
Revenues	844,174	897,527	825,866	1,046,924	1,100,000	1,100,000	1,100,000
Expenditures	0	0	0		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	7,730,326	8,627,853	9,453,719	10,500,643	11,600,643	12,700,643	13,800,643
Encumbrances					0	0	0
Unencumbered Cash Balance	7,730,326	8,627,853	9,453,719	10,500,643	11,600,643	12,700,643	13,800,643

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Land Proceeds, GF Portion - Maui
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No. T-932

Intended Purpose:

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

Source of Revenues:

20% of revenues derived from the use of ceded lands on the Island of Maui.

Current Program Activities/Allowable Expenses:

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,062,669	2,592,633	3,198,687	3,735,743	4,244,772	4,644,772	5,044,772
Revenues	529,964	606,054	537,056	509,029	400,000	400,000	400,000
Expenditures	0	0	0		0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2,592,633	3,198,687	3,735,743	4,244,772	4,644,772	5,044,772	5,444,772
Encumbrances					0	0	0
Unencumbered Cash Balance	2,592,633	3,198,687	3,735,743	4,244,772	4,644,772	5,044,772	5,444,772

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Land Proceeds, GF Portion - Hawaii
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No. T-933

Intended Purpose:

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

Source of Revenues:

20% of revenues derived from the use of ceded lands on the Island of Hawaii.

Current Program Activities/Allowable Expenses:

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	5,797,707	6,702,325	7,629,895	8,729,358	10,061,679	11,361,679	12,661,679
Revenues	904,618	927,570	1,099,463	1,332,321	1,300,000	1,300,000	1,300,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	6,702,325	7,629,895	8,729,358	10,061,679	11,361,679	12,661,679	13,961,679
Encumbrances					0	0	0
Unencumbered Cash Balance	6,702,325	7,629,895	8,729,358	10,061,679	11,361,679	12,661,679	13,961,679

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 101
 Name of Fund: Ceded Land Proceeds, GF Portion - Kauai
 Legal Authority: N/A

Contact Name: Lea Reyes
 Phone: 587-0346
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-934

Intended Purpose:

To comply with Executive Order 06-06, which carries out the requirements of Act 178, SLH 2006.

Source of Revenues:

20% of revenues derived from the use of ceded lands on the Island of Kauai.

Current Program Activities/Allowable Expenses:

Upon direction from the Governor or the Director of Department of Budget and Finance, funds accumulated in this account will be used to make up for any shortfall in \$3,775,000 to be transferred to OHA at the end of each fiscal quarter.

Purpose of Proposed Ceiling Adjustment (if applicable):

n/a

Variances:

n/a

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,246,644	3,712,077	4,153,669	4,613,132	5,060,568	5,560,568	6,060,568
Revenues	465,433	441,592	459,463	447,436	500,000	500,000	500,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,712,077	4,153,669	4,613,132	5,060,568	5,560,568	6,060,568	6,560,568
Encumbrances					0	0	0
Unencumbered Cash Balance	3,712,077	4,153,669	4,613,132	5,060,568	5,560,568	6,060,568	6,560,568

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Land and Natural Resources
 Prog ID(s): LNR 401
 Name of Fund: In-Lieu Fee Mitigation Program
 Legal Authority: N/A

Contact Name: Ray Uchimura
 Phone: 587-0096
 Fund type (MOF): Trust - T
 Appropriation Acct. No.: T-935

Intended Purpose:

To account for the Department's In-Lieu Fee Mitigation Program. The 2008 Federal Mitigation Rule requires the establishment of a separate account for this program.

Source of Revenues:

Fees from permittees, fines, penalties, grants, interest income.

Current Program Activities/Allowable Expenses:

The account may be used for the selection, design, acquisition, implementation, and management of in-lieu compensatory mitigation projects, and administrative expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances: Expenditures and encumbrances gradually decline from this year (FY 18), next year (FY 19) and the following year (FY 20) due to completion of projects and at present no plans for new projects.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8,280,022	7,229,459	6,609,851	6,331,459	6,174,689	6,080,023	5,997,023
Revenues	59,983	29,448	11,893	35,740	35,000	35,000	35,000
Expenditures	1,122,341	649,056	290,285	192,510	129,666	118,000	100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	11,795						
Net Total Transfers	11,795	0	0	0	0	0	0
Ending Cash Balance	7,229,459	6,609,851	6,331,459	6,174,689	6,080,023	5,997,023	5,932,023
Encumbrances	462,336	579,840	487,031	401,074	389,000	300,000	250,000
Unencumbered Cash Balance	6,767,123	6,030,011	5,844,428	5,773,615	5,691,023	5,697,023	5,682,023

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Jared Redulla
Prog ID(s):	PSD 502 - Narcotics Enforcement Division	Phone:	837-8740
Name of Fund:	Domestic Cannabis Eradication Suppression	Fund type (MOF)	Federal (P)
Legal Authority	Act 119, SLH 15/ AM Act 124, SLH 16	Appropriation Acct. No.	S-17-237

Intended Purpose: The purpose is to fund the Division's participation in marijuana eradication and suppression operations and training statewide.

Source of Revenues: The source of revenue is federal monies provided to the Division in accordance with an agreement between the Department of Public Safety and the Federal Government.

Current Program Activities/Allowable Expenses:

Current program activities include: marijuana eradication operations, training for eradication operations and coordination meetings. Allowable expenses include: helicopter rentals, travel and per diem costs, overtime, purchases of safety equipment and operational supplies.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances: Variances between fiscal years are dependent on the amount/availability of Federal funds applied and awarded. Revenues and expenditures are based on this amount and balances may be carried over to the next fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	200,000	200,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	793.67	793.67	816.17	(3,820.85)	39,486.99	49,486.99	59,486.99
Revenues	141,555.04	150,000.00	86,482.50	45,000.00	100,000.00	100,000.00	100,000.00
Expenditures	141,555.04	149,977.50	91,119.52	1,692.16	90,000.00	90,000.00	90,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	793.67	816.17	(3,820.85)	39,486.99	49,486.99	59,486.99	69,486.99
Encumbrances	0.00	0.00	1,454.47				
Unencumbered Cash Balance	793.67	816.17	(5,275.32)	39,486.99	49,486.99	59,486.99	69,486.99

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

ederal government

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Sherman M. Takao</u>
Prog ID(s):	<u>PSD 900 - General Administration</u>	Phone:	<u>587-3474</u>
Name of Fund:	<u>Justice Reinv Tech Assist For State Govt</u>	Fund type (MOF)	<u>N</u>
Legal Authority	<u>Administrative Authority</u>	Appropriation Acct. No.	<u>S-17-259-V1</u>

Intended Purpose:

The Justice Reinvestment Act was the product of a "justice reinvestment" approach which consisted of a comprehensive, data-driven analysis of Hawaii's criminal justice system, for which the CSG Justice Center served as a technical assistance provider with guidance from a high-level inter-agency, inter-branch working group, combined with extensive engagement of criminal justice system stakeholders.

The legislation makes significant improvements to public safety by requiring programming and parole release decisions to be based on an objective risk and needs assessment, by focusing supervision resources on those most likely to reoffend, and by holding offenders more accountable to victims.

Source of Revenues:

Grant from the Council of State Governments

Current Program Activities/Allowable Expenses:

To support the implementation and capacity building activities of the Justice Reinvestment Work Group. The State would provide requisite training and reimbursement for travel that is necessary to educate and develop skilled workforce related to the Justice Reinvestment Act.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances: Variances between fiscal years are dependent on the amount/availability of Federal funds applied and awarded. Revenues and expenditures are based on this amount and balances may be carried over to the next fiscal year. Grant projected to end upon final expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	367,767	367,767	367,767	367,767	367,767		
Beginning Cash Balance	0.00	0.41	0.35	41,197.25	34,374.00	0.00	0.00
Revenues	159,801.00	59,919.00	99,272.25				
Expenditures	159,800.59	59,919.06	58,075.35	6,823.25	34,374.00		
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	0.41	0.35	41,197.25	34,374.00	0.00	0.00	0.00
Encumbrances	50,000.00	50,000.00	50,000.00	6,823.25			
Unencumbered Cash Balance	(49,999.59)	(49,999.65)	(8,802.75)	27,550.75	0.00	0.00	0.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Pamela Ferguson-Brey
Prog ID(s):	PSD 613 - Crime Victim Compensation Commission	Phone:	587-1143
Name of Fund:	Victim of Crime Act (VOCA) Grant	Fund type (MOF)	Federal (P)
Legal Authority	Administrative Authority	Appropriation Acct. No.	S-17-264-V1

Intended Purpose:

This account was established to deposit proceeds from the VOCA Grant. The VOCA Grant provides financial assistance and reimbursements to violent Source of Revenues:

Federal fund proceeds from the VOCA Grant.

Current Program Activities/Allowable Expenses:

Funds are primarily used to pay compensation to victims of violent crimes. The grant allows the Crime Victim Compensation Commission to use 5% of the grant for administrative purposes such as personnel costs and office supplies.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The FY 2013 increase in revenue for this special fund account was due to the fact that the Commission needed to spend the balance of funds from the federal grants set to expire on September 30, 2012, and September 30, 2013. The FY 2014 decrease in revenue for the account was due to the fact that the Commission expenditures compensations cases are less in FY 14. The FY 2013 increase in expenditures directly correlates to the increase in revenue drawn down from the federal grants. Funds are requested to be drawn from the federal grant to match compensation expenditures already calculated. Therefore, the FY 14 decrease in expenditures also directly correlates to the decrease in revenue. The projections for the out years were based on the available grants balance.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	859,315	859,315	859,315	859,315	859,315	859,315	859,315
Beginning Cash Balance	2,519.34	2,294.34	847.79	27.34	20,077.34	20,077.34	20,077.34
Revenues	296,859.14	278,678.19	341,025.00	170,050.00	200,000.00	200,000.00	300,000.00
Expenditures	297,084.14	280,124.74	341,845.45	150,000.00	200,000.00	200,000.00	300,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	2,294.34	847.79	27.34	20,077.34	20,077.34	20,077.34	20,077.34
Encumbrances	0.00	0.00	0.00				
Unencumbered Cash Balance	2,294.34	847.79	27.34	20,077.34	20,077.34	20,077.34	20,077.34

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD 422 - Hawaii Correctional Industries
 Name of Fund: Correctional Industries Revolving Fund
 Legal Authority: Section 354D-10, HRS

Contact Name: _____
 Phone: _____
 Fund type (MOF) _____
 Appropriation Acct. No. _____

Robert Mahaffey
587-3475
Revolving (W)
S-17-306-V1

Intended Purpose:

To establish a comprehensive work program for inmates that provides them with training and work skills that increases their employment prospects after release.

Source of Revenues:

Moneys collected by the Department from the sale or disposition of goods and services produced in accordance with Section 354D-10, HRS.

Current Program Activities/Allowable Expenses:

The Correctional Industries Revolving Fund is used for the purchase or lease of capital resources, salaries of staff and inmates in the operation of correctional industries programs in accordance with Section 354D-10, HRS.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The sales increased in FY 14. In FY 15 the program established a sales team to bring business to the industries. New sales team has continued the growth in sales into FY16, and is confident it will have a positive effect on our revenues for the outyears.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	9,887,705	9,887,705	10,135,780	10,151,991	10,232,054	10,232,054	10,232,054
Beginning Cash Balance	1,327,717.07	1,375,327.41	746,450.17	510,758.71	1,184,440.05	1,184,440.05	1,184,440.05
Revenues	4,523,319.47	4,902,442.68	5,516,268.79	5,892,845.53	7,500,000.00	7,500,000.00	7,500,000.00
Expenditures	4,475,709.13	5,531,319.92	5,751,960.25	5,219,164.19	7,500,000.00	7,500,000.00	7,500,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0	0	0	0	0
Ending Cash Balance	1,375,327.41	746,450.17	510,758.71	1,184,440.05	1,184,440.05	1,184,440.05	1,184,440.05
Encumbrances	456,764.31	312,292.73	847,320.67	280,333.59			
Unencumbered Cash Balance	918,563.10	434,157.44	(336,561.96)	904,106.46	1,184,440.05	1,184,440.05	1,184,440.05

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Tessie V. Fernandez
Prog ID(s):	PSD 407 - Oahu Community Correctional Center	Phone:	587-1239
Name of Fund:	OCCC Inmate Store	Fund type (MOF)	Revolving (W)
Legal Authority	Section 353-31, HRS	Appropriation Acct. No.	S-17-315-V1

Intended Purpose:

The account was established for the purpose of purchasing items to be resold to inmates at the Oahu Community Correctional Center (OCCC).

Source of Revenues:

All moneys received from the resale of allowable items in the OCCC inmate store.

Current Program Activities/Allowable Expenses:

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The projections for the out years were based on the previous actual revenues and expenditures. The projections for the out years were based on the previous actual. This account has been inactive for an extended period of time and will be closed within the next few Fiscal Years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00	30,000.00
Beginning Cash Balance	424.00	424.00	424.00	424.00	424.00	424.00	424.00
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	424.00	0.00	0.00	0.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	424.00	424.00	424.00	0.00	424.00	424.00	424.00
Encumbrances	0.00	0.00	0.00				
Unencumbered Cash Balance	424.00	424.00	424.00	0.00	424.00	424.00	424.00

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD 402 - Halawa Correctional Facility
 Name of Fund: HCF Inmate Store
 Legal Authority: Section 353-31, HRS

Contact Name:
 Phone:
 Fund type (MOF)
 Appropriation Acct. No.

Tessie V. Fernandez
 587-1239
 Revolving (W)
 S-17-316-V1

Intended Purpose:

The account was established for the purpose of purchasing items to be resold to inmates at the Halawa Correctional Facility (HCF).

Source of Revenues:

All moneys received from the resale of allowable items in the HCF inmate store.

Current Program Activities/Allowable Expenses:

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

paid in FY 2013. Projections for the out years were based on the previous actual. This account slowed activity for an extended period of time and will be closed within the next few Fiscal Years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,719.00	28,719.00	28,719.00	28,719.00	28,719.00	28,719.00	28,719.00
Beginning Cash Balance	24,446.21	48,077.80	65,690.18	65,690.18	65,690.18	28,718.90	28,718.90
Revenues	23,631.59	17,612.38	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	36,971.28	0.00	0.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	48,077.80	65,690.18	65,690.18	65,690.18	28,718.90	28,718.90	28,718.90
Encumbrances	0.00	0.00	0.00	28,717.90			
Unencumbered Cash Balance	48,077.80	65,690.18	65,690.18	36,972.28	28,718.90	28,718.90	28,718.90

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD 404 - Waiawa Correctional Facility
 Name of Fund: WCF Inmate Store
 Legal Authority: Section 353-31, HRS

Contact Name: _____
 Phone: _____
 Fund type (MOF) _____
 Appropriation Acct. No. _____

Tessie V. Fernandez
587-1239
Revolving (W)
S-17-319-V1

Intended Purpose:

The account was established for the purpose of purchasing items to be resold to inmates at the Waiawa Correctional Facility (WCF).

Source of Revenues:

All moneys received from the resale of allowable items in the WCF inmate store.

Current Program Activities/Allowable Expenses:

Proceeds of this fund shall be used only for purchasing items to be resold to inmates and for purchasing goods and services for the operation of the inmate store that will benefit inmate needs.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The expenditure variance is due to unpaid expenditures in FY 13 that were paid in FY 2014. This account has been inactive for an extended period of time and will be closed within the next few Fiscal Years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000	15,000	15,000	15,000	15,000	15,000	15,000
Beginning Cash Balance	3,593.73	739.35	739.35	2.15	2.15	(0.00)	(0.00)
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	2,854.38	0.00	737.20	0.00	2.15	0.00	0.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	3,593.73	739.35	2.15	2.15	(0.00)	(0.00)	(0.00)
Encumbrances	0.00	0.00	0.00				
Unencumbered Cash Balance	3,593.73	739.35	2.15	2.15	(0.00)	(0.00)	(0.00)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD 613 - Crime Victim Compensation Commission</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>CVCC Special Fund</u>	Fund type (MOF)	<u>Special (B)</u>
Legal Authority	<u>Act 206, SLH 1998</u>	Appropriation Acct. No.	<u>S-17-323-V1</u>

Intended Purpose:

Act 206, SLH 1998 established this account and a system of compensation fees to generate revenue to fund the operation of the Crime Victim Compensation Commission (CVCC).

Source of Revenues:

Funds received pursuant to Section 354D-12(b)(1), 351-35, 351-62.6, 351-63, 706-605, and 853-1.

Current Program Activities/Allowable Expenses:

Moneys received are used for compensation payments, operating expenses, and to fund positions as authorized by the legislature.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The increase in revenue for FY 2013 is related to an increase in restitution reimbursed to the Commission for cases in which the Commission previously paid the victim. Restitution collection increased due to the start of the Justice Reinvestment (JRI) project and the focus by the commission's JRI section on restitution collection. The increase also included an increase in compensation fees collected by the Commission's JRI section. The increase in revenue for FY 2014 is related to a significant increase in compensation fee collection from the Judiciary after a legislative request for the Judiciary to monitor the compensation fee collections and report back to them. Additional revenue increases were for collection of inmate wages from the facilities and an increase in restitution reimbursements. The FY 2013 increase in expenditures is due to the payment by the Commission for two years worth of admin and assessment fees for FY 2012 and FY 2013. The decrease in expenditures for FY 2014 from FY 2013 reflect regular expenses less the admin and assessment fees.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,892,173	1,892,173	2,080,151	2,098,552	2,113,547	2,113,547	2,113,547
Beginning Cash Balance	888,858.42	1,023,921.55	1,119,360.28	1,239,738.30	1,205,997.77	1,205,997.77	1,205,997.77
Revenues	1,043,449.57	902,667.87	967,205.26	1,011,397.95	1,000,000.00	1,000,000.00	1,000,000.00
Expenditures	908,386.44	807,229.14	846,827.24	1,045,138.48	1,000,000.00	1,000,000.00	1,000,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	1,023,921.55	1,119,360.28	1,239,738.30	1,205,997.77	1,205,997.77	1,205,997.77	1,205,997.77
Encumbrances	1,237.50	347.90	714.72				
Unencumbered Cash Balance	1,022,684.05	1,119,012.38	1,239,023.58	1,205,997.77	1,205,997.77	1,205,997.77	1,205,997.77

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Jared Redulla
Prog ID(s):	PSD 502 - Narcotics Enforcement Division (NED)	Phone:	837-8470
Name of Fund:	Controlled Substance Registration Revolving Fund	Fund type (MOF)	Revolving (W)
Legal Authority	Act 268, SLH 1996	Appropriation Acct. No.	S-17-325-V1

Intended Purpose:

This revolving fund was established mainly for the purpose of offsetting the cost of the electronic prescription accountability system, the NED forensic drug laboratory facility, and the registration, investigation, and control of the manufacture, distribution, prescription, and dispensation of controlled substances and regulated chemicals within the State.

Source of Revenues:

All fees collected pursuant to Sections 329-31, 329-67, and 329-123(b).

Current Program Activities/Allowable Expenses:

The fund is expended for its intended purpose and to fund positions authorized by the legislature. The NED ensures the annual registration of all persons who handle controlled substances and regulated chemicals in the State, and all patients authorized by their physician to utilize marijuana for medical purposes. On 12/31/2014 the medical marijuana program will be transferred to Department of Health.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The revenue increased in FY 2014 is attributed to a late deposit in FY 2013 medical marijuana and controlled substance registration check into the account. On 6/25/13 Governor Abercrombie signed into law Act 177 authorized the transfer of the medical marijuana program from Department of Public Safety to the Department of Health on 12/31/14 (HB6668 CD1.) With this transfer, the Department has experienced a dramatic loss in revenue in FY16. However, revenues are expected to nearly double beginning the second half of FY17 due to the increase in fee schedule for the Prescription Monitoring Program from \$60 to \$115.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	812,737	812,737	921,675	934,078	937,850	937,850	937,850
Beginning Cash Balance	518,378.34	369,701.55	158,170.73	46,767.18	56,027.85	56,027.85	56,027.85
Revenues	745,668.16	587,277.11	382,683.36	413,732.88	764,000.00	764,000.00	764,000.00
Expenditures	566,308.28	597,561.72	494,086.91	404,472.21	764,000.00	764,000.00	764,000.00
Transfers							
List each by JV# and date							
JS1198 - 9/30/14	(253,526.67)						
JS2885 - 11/18/13	(74,510.00)						
JS4116 - 1/22/15		(201,246.21)					
Net Total Transfers	(328,036.67)	(201,246.21)	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	369,701.55	158,170.73	46,767.18	56,027.85	56,027.85	56,027.85	56,027.85
Encumbrances	56,040.00	60,291.61	14,010.00				
Unencumbered Cash Balance	313,661.55	97,879.12	32,757.18	56,027.85	56,027.85	56,027.85	56,027.85

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>James Hirano</u>
Prog ID(s):	<u>PSD 406 - Maui Community Correctional Center</u>	Phone:	<u>808-243-5860</u>
Name of Fund:	<u>Maui County Grant</u>	Fund type (MOF)	<u>County Grant (S)</u>
Legal Authority	<u>Administrative Authority</u>	Appropriation Acct. No.	<u>S-17-331-V1</u>

Intended Purpose:

Funds are awarded by the Maui County council to the Maui Community Correctional Center (MCCC) as a partnership to enable MCCC inmates to participate in valuable community activities that assist in the reintegration process.

Source of Revenues:

Maui County Council grant award to MCCC.

Current Program Activities/Allowable Expenses:

Funds are used to pay for expenses incurred by the MCCC inmate workline in areas of light construction.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The expenditure variance is due to unpaid expenditures in FY 13 that were paid in FY 2014.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	209,721	209,721	209,721	209,721	209,721	209,721	209,721
Beginning Cash Balance	230,933.07	194,007.28	197,218.57	187,328.01	278,168.44	89,972.17	89,972.17
Revenues	115,383.89	117,086.55	96,508.74	195,041.53	117,000.00	117,000.00	117,000.00
Expenditures	152,309.68	113,875.26	106,399.30	104,201.10	117,000.00	117,000.00	117,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	194,007.28	197,218.57	187,328.01	278,168.44	278,168.44	89,972.17	89,972.17
Encumbrances	733.45	2,491.06	13,589.81	13,416.03			
Unencumbered Cash Balance	193,273.83	194,727.51	173,738.20	264,752.41	278,168.44	89,972.17	89,972.17

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0.02066

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Tessie Fernandez
Prig ID(s):	PSD 900 - General Administration	Phone:	587-1239
Name of Fund:	Federal Reimbursement Maximization Special Fund	Fund type (MOF)	Special (B)
Legal Authority	Act 172, SLH 2001	Appropriation Acct. No.	S-17-345-V1

Intended Purpose:

The purpose of this grant is to continue building on PSD's success in operating the substance abuse treatment and reentry programs. The funds were used for two Office Assistant III positions (one at Oahu Community Correctional Center and another at Women's Community Correctional Center). The funding of

Source of Revenues:

All federal reimbursements received relating to the State Criminal Alien Assistance Program (SCAAP).

Current Program Activities/Allowable Expenses:

Funds are currently being used to meet the state match requirement for various grants, vehicle replacement and radios.

Purpose of Proposed Ceiling Increase (if applicable):

Not applicable.

Variances: The revenue for this account is based on federal requirements to report the number of non-US citizens incarcerated for three or more days within the fiscal year. The revenue changes every year based on data received from all 50 States. If States do no report within the required timeframe, more revenue is divided up to the States that had reported the data. Higher expenditures in FY 2014 is due to increased in usage of this fund for corrections purposes.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	667,984	667,984	667,984	667,984	667,984	667,984	667,984
Beginning Cash Balance	1,665,801.17	1,676,962.94	1,485,245.17	1,369,840.69	1,587,113.05	1,587,113.05	1,587,113.05
Revenues	311,540.00	240,868.00	227,572.00	334,737.00	300,000.00	300,000.00	300,000.00
Expenditures	300,378.23	432,585.77	342,976.48	117,464.64	300,000.00	300,000.00	300,000.00
Transfers	0.00	0.00					
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	1,676,962.94	1,485,245.17	1,369,840.69	1,587,113.05	1,587,113.05	1,587,113.05	1,587,113.05
Encumbrances	319,579.83	440,607.15	587,528.82	404,658.00			
Unencumbered Cash Balance	1,357,383.11	1,044,638.02	782,311.87	1,182,455.05	1,587,113.05	#VALUE!	1,587,113.05

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

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Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Tessie Fernandez
Prog ID(s):	PSD 900 - General Administration	Phone:	587-1239
Name of Fund:	Automated Victim Information and Notification System	Fund type (MOF)	Special (B)
Legal Authority	Act 190,SLH 2012	Appropriation Acct. No.	S-17-350-V1

Intended Purpose:

This fund was established as the Automated Victim Information & Notification System Special Fund.

Source of Revenues:

4% surcharge on any item purchased by an In-State or Out-of-State inmate from a correctional facility commissary.

Purpose of Proposed Ceiling Increase (if applicable)

All proceeds or revenues that are derived fro any commission that is realized pursuant to a telephone service agreement executed by the Department for telephone services.

Purpose of Proposed Ceiling Increase (if applicable): Not Applicable

Variances: Act 190, SLH 2012 established this account. It took time to accumulate revenues to ensure sufficient funds are available to fund expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	250,000	250,000	200,000	200,000	200,000	200,000	200,000
Beginning Cash Balance	140,729.01	330,503.95	446,050.38	572,895.92	728,943.40	728,943.40	728,943.40
Revenues	246,274.94	232,535.44	252,869.84	273,896.00	273,896.00	273,896.00	273,896.00
Expenditures	56,500.00	116,989.01	126,024.30	117,848.52	273,896.00	273,896.00	273,896.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	330,503.95	446,050.38	572,895.92	728,943.40	728,943.40	728,943.40	728,943.40
Encumbrances	0.00	10,500.00	10,500.00	10,500.00			
Unencumbered Cash Balance	330,503.95	435,550.38	562,395.92	718,443.40	728,943.40	728,943.40	728,943.40
		105,046.43					

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Tessie Fernandez
Prog ID(s):	PSD 900 - General Administration	Phone:	587-1239
Name of Fund:	CF/CCC- Administrator/Inmate Activity Fund	Fund type (MOF)	Trust (T)
Legal Authority	Section 353-20, HRS	Appropriation Acct. No.	T-17-902-V1

Intended Purpose:

This account was established to provide a mechanism to deposit funds that can be used for the benefit of all inmates at the facilities.

Source of Revenues:

Interest derived from the Prisoner Trust Account, 25% of net annual profit from facilities that operate an inmate store with inventory, and 100% of the net annual profit from facilities that operate an inmate store with contracted services.

Current Program Activities/Allowable Expenses:

Funds are used to purchase food supplies for special inmate activities, repair and maintenance of recreational equipment, musical instruments, TVs, VCRs, and video tape purchases.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: Significant decrease is due to change in the main source of revenue; inmate stores. Expenditures decrease accordingly based on revenue intake.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	75,065	75,065	75,065	75,065	75,065	75,065	75,065
Beginning Cash Balance	89,719.73	80,798.07	73,961.71	83,324.09	78,354.77	78,354.77	78,354.77
Revenues	16,118.20	18,839.06	36,987.01	23,247.32	15,000.00	15,000.00	15,000.00
Expenditures	25,039.86	25,675.42	27,624.63	28,216.64	15,000.00	15,000.00	15,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	80,798.07	73,961.71	83,324.09	78,354.77	78,354.77	78,354.77	78,354.77
Encumbrances	2,082.51	0.00	8,662.42				
Unencumbered Cash Balance	78,715.56	73,961.71	74,661.67	78,354.77	78,354.77	78,354.77	78,354.77

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Jared Redulla
Prog ID(s):	PSD 502 - Narcotics Enforcement Division	Phone:	837-8470
Name of Fund:	Narcotics Enforcement Agency Trust Account - FedeFund type (MOF)		Trust (T)
Legal Authority	Section 329-55 & Chapter 712A, HRS	Appropriation Acct. No.	T-17-904-V1

Intended Purpose:

This trust fund was established for the federal forfeiture proceeds derived directly or indirectly from or realized through unlawful activities.

Source of Revenues:

Federal forfeiture proceeds.

Current Program Activities/Allowable Expenses:

Funds are used for the purpose of narcotics enforcement activities only. Federal guidelines mandate that funds be used to supplement and not supplant existing state funding. Funds are used for training, purchase of equipment, and operational supplies that enhance narcotics law enforcement operations.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The Narcotics Enforcement Division (NED) during FY 2013 through FY 2015 has had to rely on it T-904 account more heavily due to replacement of necessary investigative equipment and training cost as authorized under this program while also decreasing its participation in Federal taskforces and reduction in the use of the S-235 funds. In FY 2016 NED will formally partner with the DEA and FBI in conducting pharmaceutical controlled substance investigations. This taskforce should bring additional revenues from Federal pharmaceutical controlled substance diversion cases resulting in forfeiture.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	929,996.96	1,015,248.60	1,202,019.17	1,102,834.34	1,422,134.85	1,422,134.85	1,422,134.85
Revenues	190,435.18	323,428.91	115,251.59	342,031.81	100,000.00	100,000.00	100,000.00
Expenditures	105,183.54	136,658.34	214,436.42	22,731.30	100,000.00	100,000.00	100,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	1,015,248.60	1,202,019.17	1,102,834.34	1,422,134.85	1,422,134.85	1,422,134.85	1,422,134.85
Encumbrances	19,524.84	88,688.46	220,809.53	70,349.20			
Unencumbered Cash Balance	995,723.76	1,113,330.71	882,024.81	1,351,785.65	1,422,134.85	1,422,134.85	1,422,134.85

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Jared Redulla
Prog ID(s):	PSD 502 - Narcotics Enforcement Division	Phone:	837-8470
Name of Fund:	Drug Law Enforcement Equip Procurement Prgm	Fund type (MOF)	Trust (T)
Legal Authority	National Defense Authorization Act of 1993, Section 1122	Appropriation Acct. No.	T-17-905-V1

Intended Purpose:

The National Defense Authorization Act of 1993, Section 1122, established a requirement that the Department of Defense develop procedures enable State and Local governments to purchase law enforcement equipment suitable for counter-drug activities through the federal procurement. This account was established to temporarily hold funds collected from the State and other agencies until ordered goods are received and paid.

Source of Revenues:

Funds collected from State and local law enforcement agencies awaiting receipt of goods purchased through federal procurement.

Current Program Activities/Allowable Expenses:

On March 31, 1997, the administrator of the Narcotics Enforcement Division (NED) was designated by the Governor to be the State Point of Contact (SPOC) for this program. The SPOC screens all purchase requests and guarantees that the requesting agencies has funds to pay for the ordered equipment. To facilitate this, NED established the Drug Law Enforcement Equipment Procurement program Trust Account to temporarily hold funds collected from State and other agencies until ordered goods are received and paid.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The projections for the out years were based on the previous actual revenues and expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	34,035.13	34,035.13	34,035.13	8,055.13	8,055.13	8,055.13	8,055.13
Revenues	0.00	0.00	0.00	0.00	150,000.00	150,000.00	150,000.00
Expenditures	0.00	0.00	25,980.00	0.00	150,000.00	150,000.00	150,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	34,035.13	34,035.13	8,055.13	8,055.13	8,055.13	8,055.13	8,055.13
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	34,035.13	34,035.13	8,055.13	8,055.13	8,055.13	8,055.13	8,055.13

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	Department of Public Safety	Contact Name:	Clifford Asato
Prog ID(s):	PSD 900 - General Administration	Phone:	587-2520
Name of Fund:	Temporary Deposits - Payroll Assignment	Fund type (MOF)	Trust (T)
Legal Authority	Section 331 of the State Accounting Manual	Appropriation Acct. No.	T-17-907-V1

Intended Purpose:

This trust account was established for the collection of salary overpayments made to individuals on Leave Without Pay or no longer employed by the Department. The intent is to identify the individuals and to collect payroll overpayment in a timely manner.

Source of Revenues:

Salary overpayments made to individuals on Leave Without Pay or no longer employed by the Department.

Current Program Activities/Allowable Expenses:

Moneys collected are reverted back to the General Fund for prior year overpayment collections and to the specific program appropriation for current year overpayment collections.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The variance in revenue is due to higher salary overpayment collections. The expenditure variance is due to the funds collected not being returned to the States.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	866,056.15	907,657.15	826,424.25	500,100.92	424,751.91	424,751.91	424,751.91
Revenues	41,708.62	22,208.16	44,822.19	20,265.31	22,000.00	22,000.00	22,000.00
Expenditures	107.62	103,441.06	371,145.52	95,614.32	22,000.00	22,000.00	22,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	907,657.15	826,424.25	500,100.92	424,751.91	424,751.91	424,751.91	424,751.91
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	907,657.15	826,424.25	500,100.92	424,751.91	424,751.91	424,751.91	424,751.91

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Jared Redulla</u>
Prog ID(s):	<u>PSD 502 - Narcotics Enforcement Division</u>	Phone:	<u>837-8470</u>
Name of Fund:	<u>Narcotics Enforcement Agency Trust Account - State Fund type (MOF)</u>	Trust (T)	<u>Trust (T)</u>
Legal Authority	<u>Section 329-55 & Chapter 712A, HRS</u>	Appropriation Acct. No.	<u>T-17-908-V1</u>

Intended Purpose:

This trust fund was established for the state forfeiture proceeds derived directly on indirectly from or realized through unlawful activities.

Source of Revenues:

State forfeiture proceeds.

Current Program Activities/Allowable Expenses:

Funds are used for the purpose of narcotics enforcement activities only. Funds are used for training, purchase of equipment, and operational supplies that enhance narcotics law enforcement operations.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The projections for the out years were based on the previous actual revenues and expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	39,176.47	39,176.47	39,176.47	31,275.43	31,275.43	31,275.43	31,275.43
Revenues	0.00	0.00	3,383.41	0.00	1,000.00	1,000.00	1,000.00
Expenditures			11,284.45	0.00	1,000.00	1,000.00	1,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	39,176.47	39,176.47	31,275.43	31,275.43	31,275.43	31,275.43	31,275.43
Encumbrances	0.00	0.00	4,807.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	39,176.47	39,176.47	26,468.43	31,275.43	31,275.43	31,275.43	31,275.43

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: Department of Public Safety
 Prog ID(s): PSD 900 General Administration
 Name of Fund: Gifts to the Department of Public Safety
 Legal Authority: Section 353-32, HRS

Contact Name:
 Phone:
 Fund type (MOF)
 Appropriation Acct. No.

Tessie Fernandez
 587-1240
 Trust (T)
 T-17-910-V1

Intended Purpose:

This account was established to deposit any gift money given to the Department of Public Safety and expended in accordance with the law and any terms and conditions that may pertain to the gift.

Source of Revenues:

Moneys given, bequeathed, devised, or in any other manner provided from sources other than the legislature or the federal government to the Department of Public Safety.

Current Program Activities/Allowable Expenses:

Any expenditure is subject to the approval of the Director of Public Safety and according to the terms or conditions imposed by the donor.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The projections for the out years were based on the previous actual expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	7,666.89	7,666.89	7,666.89	7,666.89	7,666.89	6,666.89	5,666.89
Revenues	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Expenditures	0.00	0.00	0.00	0.00	1,000.00	1,000.00	1,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	7,666.89	7,666.89	7,666.89	7,666.89	6,666.89	5,666.89	4,666.89
Encumbrances	3,906.00	3,906.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	3,760.89	3,760.89	7,666.89	7,666.89	6,666.89	5,666.89	4,666.89

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department:	<u>Department of Public Safety</u>	Contact Name:	<u>Pamela Ferguson-Brey</u>
Prog ID(s):	<u>PSD 613 - Crime Victim Compensation Commission</u>	Phone:	<u>587-1143</u>
Name of Fund:	<u>Victim Restitution Trust Fund</u>	Fund type (MOF)	<u>Trust (T)</u>
Legal Authority	<u>Administrative Authority</u>	Appropriation Acct. No.	<u>T-17-915-V1</u>

Intended Purpose:

This account was established as part of a Pilot Project in accordance with Section 353-22.6, HRS, Victim Restitution.

Source of Revenues:

Restitution collected from inmates and other offenders pursuant to their court orders.

Current Program Activities/Allowable Expenses:

Court ordered restitution collected from inmates and other offenders are disbursed to crime victims as stipulated or reimbursed to CVCC in cases where CVCC has already provided compensation to crime victims from crime related expenses.

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The FY 2013 increase in revenue for the trust account is due to an increase in the collection of payments of restitution by inmates and parolees as a result of the start of the Justice Reinvestment (JRI) project and the commission's focus on restitution collection. Both the FY 2013 and FY 2014 increases in expenditures are directly related to the increase in revenue. This trust account was set up to be a repository for restitution funds. As such, restitution paid by offenders is deposited into the account and then paid out to their victims. An increase in revenue correlates directly to an increase in expenditures. The projections for the out years were based on the 1st QTR actual revenue collections in FY 15.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	206,092.17	153,461.29	151,433.34	185,874.26	322,119.12	322,119.12	322,119.12
Revenues	746,517.76	295,875.54	679,712.54	588,338.55	250,000.00	250,000.00	250,000.00
Expenditures	799,148.64	297,903.49	645,271.62	452,093.69	250,000.00	250,000.00	250,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Ending Cash Balance	153,461.29	151,433.34	185,874.26	322,119.12	322,119.12	322,119.12	322,119.12
Encumbrances	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Unencumbered Cash Balance	153,461.29	151,433.34	185,874.26	322,119.12	322,119.12	322,119.12	322,119.12

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017

Department: Department of Public Safety
Prog ID(s): PSD 900 - General Administration
Name of Fund: Prisoner's Trust Account
Legal Authority Section 353-20, HRS

Contact Name: _____
Phone: _____
Fund type (MOF) _____
Appropriation Acct. No. _____

Clifford Asato
587-2520
Trust (T)
T-17-998-V1

Intended Purpose:

The account was established to deposit moneys earned by a committed person, moneys given by family, and other authorized sources. The Department maintains an individual ledger account for each committed person and issues statements showing credits and debits.

Source of Revenues:

Moneys earned by a committed person, moneys given by family, and other authorized sources.

Current Program Activities/Allowable Expenses:

The Department shall allow any committed person under its direction to draw from funds in the committed person's account such amounts and for such purpose as it may deem proper. Upon parole or discharge of a committed person, the department shall pay the

Purpose of Proposed Ceiling Increase (if applicable):

Not Applicable.

Variances: The variance is due to inmate accounts for all facilities.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	1,265,064.92	1,246,538.10	1,427,457.04	1,421,200.14	5,215,137.84	5,215,137.84	5,215,137.84
Revenues	4,659,774.97	4,620,608.09	5,665,640.90	5,591,057.74	5,500,000.00	5,500,000.00	5,500,000.00
Expenditures	4,678,301.79	4,439,689.15	5,671,897.80	1,797,120.04	5,500,000.00	5,500,000.00	5,500,000.00
Transfers							
List each by JV# and date							
Net Total Transfers	0.00	0.00					
Ending Cash Balance	1,246,538.10	1,427,457.04	1,421,200.14	5,215,137.84	5,215,137.84	5,215,137.84	5,215,137.84
Encumbrances							
Unencumbered Cash Balance	1,246,538.10	1,427,457.04	1,421,200.14	5,215,137.84	5,215,137.84	5,215,137.84	5,215,137.84

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: TAX
 Prog ID(s): TAX 107
 Name of Fund: TAX ADMINISTRATION SPECIAL FUND
 Legal Authority: HRS 235-20.5

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) B
 Appropriation Acct. No. S-327-T

Intended Purpose: To offset costs associated with administering sections 235-20, 235-110.9, and 235-110.91; to fund operations of the Special Enforcement Section (SES) and taxpayer education programs.

Source of Revenues: Fees collected under sections 235-20, 235-110.9 and 235-110.91; revenues collected by SES pursuant to section 235-85; fines assessed pursuant to section 237D-4.

Current Program Activities/Allowable Expenses: Issuing comfort letters, letter rulings, written opinions, and other guidance to taxpayers; issuing certificates under sections 235-110.9 and 235-110.91; administering the operations of SES; and developing, implementing, and providing taxpayer education programs, including tax publications.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Variances in the revenues were due to cases involving transient accommodations tax (TAT).

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	775,875	791,104	797,097	800,669	800,669	800,669
Beginning Cash Balance	931,798	471,859	1,953,704	2,641,947	4,900,692	9,315,415	13,643,968
Revenues	20,250	1,663,126	2,674,245	4,817,767	5,000,000	5,000,000	5,000,000
Expenditures	380,189	321,670	532,298	585,277	585,277	671,447	671,447
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(100,000)						
		140,389					
			(1,453,704)				
JM4775				(1,974,245)			
JS0254				500			
Net Total Transfers	(100,000)	140,389	(1,453,704)	(1,973,745)	0	0	0
Ending Cash Balance	471,859	1,953,704	2,641,947	4,900,692	9,315,415	13,643,968	17,972,521
Encumbrances	0	868	0	0			
Unencumbered Cash Balance	471,859	1,952,836	2,641,947	4,900,692	9,315,415	13,643,968	17,972,521

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-17-327

	+	-	Balance
Beginning cash for S-17-327	2,641,947.18		2,641,947.18

Adjustment: Cash transfer of
1,974,244.93 from S-16-327 to
G00 per Section 235-20.5 (2)
HRS (JM4775). Balance of
667,702.25 transferred from S-
16-327 (JS0254).

		1,974,244.93	667,702.25
S-17-327 Revenues	4,817,767.22		5,485,469.47
Cash Transfer:			5,485,469.47
From S-15-327 (JS0254)	500.00		5,485,969.47
Expenditures		585,277.46	4,900,692.01

FAMIS - Account Summary

Department	Division	Program ID	MOF	Appn Type	Fund	Fiscal Year	Appn Acct (F-FY-ACCT)	AS OF	
T (TAX) <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	S-17-327 <input type="button" value="v"/>	PY <input type="button" value="v"/>	<input type="button" value="Submit"/> <input type="button" value="Download"/>
									Records: 1

Account Title TAX ADMINISTRATION SPECIAL FUND

Appn Type 2

Appropriation 797,097.00

Beginning Cash 0.00

Appropriation Transfer 0.00

+ Revenues 4,817,767.22

[Details](#) [By Source Code](#)

Allotment 585,277.46

+ Cash Transfer 668,202.25

[Details](#) [List Cash Transfers](#)

Appropriation Balance 0.00

- Expenditures 585,277.46

[Details](#) [By Object Code](#)

Allotment 10 Balance 0.00

= Ending Cash 4,900,692.01

Allotment 20 Balance 0.00

Encumbrance

Contracts Balance 0.00

[Details](#) [List Contracts](#)

Claims (POs) Balance 0.00

[Details](#) [List Claims \(POs\)](#)

Total Records Found: 1

DATA STATUS: Data is Balanced

FAMIS as of: 11/07/2017

PAYROLL as of: 11/03/2017

v5.4:5(RC1)

FAMIS - CashTransfer Listing

Department Division Program ID MOF Appn Type Fund Fiscal Year Appn Acct (F-FY-ACCT) AS OF
T (TAX) -ALL- -ALL- -ALL- -ALL- -ALL- S-17-327 PY Download Back

Account Title TAX ADMINISTRATION SPECIAL FUND

Appn Type 2

<u>PROC DATE</u>	<u>F_FY ACCT</u>	<u>TRANS FY</u>	<u>TRANS FM</u>	<u>TRANS CODE</u>	<u>REVERSE</u>	<u>TRANS AMOUNT</u>
		COUNT			1	668,202.25
07/27/2016	S-17-327	2017	01	971		668,202.25
		COUNT			1	668,202.25
DATA STATUS: Data is Balanced		FAMIS as of: 11/07/2017		PAYROLL as of: 11/03/2017		v5.4:5(RC1)

STATE OF HAWAII JOURNAL VOUCHER

Department No: JV17-013

Department Date: 07/22/2016

Comptroller No: _____

Comptroller Date: 07 27 16 ^{XXXXXXXX}

SPECIAL

FUND

MM/DD/YY

[illegible]

EXPLANATION:

To transfer cash balance from S-15-327 and S-16-327 to S-17-327.

cc: ~~Taxation~~ - ASO

REF: Datamart 07/22/16

DEPARTMENTAL CERTIFICATION:

COMPTROLLER APPROVAL:

for HEAD OF DEPARTMENT

COMPTROLLER

STATE OF HAWAII JOURNAL VOUCHER

Department No: JV17-053

Department Date: 03/07/2017

MULTIPLE

Comptroller No: JM 4775

Comptroller Date: 03 13 17

[illegible]

EXPLANATION: 01 & 02) To reverse the transfer of ending cash balance of Appropriation Acct S-16-327 (SES) to General Fund Acct due to wrong department code.
03 & 04) To correct the transfer of ending cash balance of Appropriation Acct S-15-327 (SES) to General Fund Acct using the right department code.
Per Section 235-20.5 (2) HRS

CC: B&F -- BPPM
TAX -- ASO

DEPARTMENTAL CERTIFICATION:

COMPTROLLER APPROVAL

for HEAD OF DEPARTMENT

for COMPTROLLER

JM 4087

Comptroller No: _____

MULTIPLE

Comptroller Date: 02 09 17

FUND

VER
 VV 17-
 OVB

CC: B&F --- BPPM
TAX --- ASO

張

for HEAD OF DEPARTMENT

Signatures:

Henry A. V. L.
for COMPTROLLER

STATE OF HAWAII JOURNAL VOUCHER

Department No: JV17-012

Department Date: 08/02/2016

Comptroller No: JM 0381

Comptroller Date: 080516 ^{XXXXXXXX}

MULTIPLE

FUND

[illegible]

EXPLANATION:

To transfer ending cash balance of Appropriation Account S-16-327-107 to General Fund Account.

cc: Taxation - ASO

REF: Datamart 07/22/16

DEPARTMENTAL CERTIFICATION:

COMPTROLLER APPROVAL:

for HEAD OF DEPARTMENT

COMPTROLLER

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: TAX
 Prog ID(s): TAX 107
 Name of Fund: CIGARETTE TAX STAMP ADMINISTRATIVE SPECIAL FUND
 Legal Authority: HRS 245-41.5

Contact Name: EMILL ACOSTA
 Phone: 587-1501
 Fund type (MOF) B
 Appropriation Acct. No. S-325-T

Intended Purpose: To provide funding to administer and operate the cigarette tax stamp program.

Source of Revenues: The allocated portion of the stamp fee designated to pay for the cost to the State of providing the stamps as provided by section 245-26.

Current Program Activities/Allowable Expenses: Monies in the fund are used to purchase stamps from the manufacturer and to pay for the cost of distributing stamps through a financial institution.

Purpose of Proposed Ceiling Adjustment (if applicable): N/A

Variances: Variances in expenditures from FY16 to FY17 were due to a reduction of stamp purchases from the vendor.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	547,875	272,000	272,000	272,000	272,000	272,000	272,000
Beginning Cash Balance	108,799	517,698	505,995	593,611	785,562	972,094	1,158,626
Revenues	219,079	233,681	225,117	205,988	252,000	252,000	252,000
Expenditures	166,238	135,311	87,502	65,468	65,468	65,468	65,468
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	356,058						
		(110,073)					
			(49,999)				
JS 0253				51,431			
Net Total Transfers	356,058	(110,073)	(49,999)	51,431	0	0	0
Ending Cash Balance	517,698	505,995	593,611	785,562	972,094	1,158,626	1,345,158
Encumbrances	36,268	47,006	72,468	57,834			
Unencumbered Cash Balance	481,430	458,989	521,143	727,728	972,094	1,158,626	1,345,158

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

S-17-325

	+	-	Balance
Beginning cash for S-17-325	593,610.56		593,610.56
Adjustment: Beginning cash for S-17-325 less contract balance. Cash transfer of 521,142.56 from S-16-325 (JS0253)		72,468.00	521,142.56
S-17-325 Revenues	205,988.42		727,130.98
Cash Transfer:			727,130.98
From S-14-325 (JS0253)	396.00		727,526.98
From S-15-325 (JS0253)	123,502.49		851,029.47
Expenditures		65,467.83	785,561.64
Journal Voucher JS 0253	645,041.05		645,041.05
Less: Beginning cash balance for S-17-325		593,610.56	51,430.49

FAMIS - Account Summary

Department	Division	Program ID	MOF	Appn Type	Fund	Fiscal Year	Appn Acct (F-FY-ACCT)	AS OF	
T (TAX) <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	-ALL- <input type="button" value="v"/>	S-17-325 <input type="button" value="v"/>	PY <input type="button" value="v"/>	<input type="button" value="Submit"/> <input type="button" value="Download"/>
									Records: 1

Account Title CIGARETTE TAX STAMP ADMIN SPECIAL FUND

Appn Type 2

Appropriation 272,000.00

Beginning Cash 0.00

Appropriation Transfer 0.00

+ Revenues 205,988.42

Details By Source Code

Allotment 123,301.83

+ Cash Transfer 645,041.05

Details List Cash Transfers

Appropriation Balance 0.00

- Expenditures 65,467.83

Details By Object Code

Allotment 10 Balance 0.00

= Ending Cash 785,561.64

Allotment 20 Balance 0.00

Encumbrance

Contracts Balance 57,834.00

Details List Contracts

Claims (POs) Balance 0.00

Details List Claims (POs)

Total Records Found: 1

DATA STATUS: Data is Balanced

FAMIS as of: 11/07/2017

PAYROLL as of: 11/03/2017

v5.4:5(RC1)

FAMIS - CashTransfer Listing

Department Division Program ID MOF Appn Type Fund Fiscal Year Appn Acct (F-FY-ACCT) AS OF
 T (TAX) ▼ -ALL- ▼ -ALL- ▼ -ALL- ▼ -ALL- ▼ -ALL- ▼ -ALL- ▼ S-17-325 ▼ PY ▼ Download Back

Account Title CIGARETTE TAX STAMP ADMIN SPECIAL FUND

Appn Type 2

PROC DATE	F_FY ACCT	TRANS FY	TRANS FM	TRANS CODE	REVERSE	TRANS AMOUNT
		COUNT			1	645,041.05
07/27/2016	S-17-325	2017	01	971		645,041.05
		COUNT			1	645,041.05
DATA STATUS: Data is Balanced		FAMIS as of: 11/07/2017		PAYROLL as of: 11/03/2017		v5.4:5(RC1)

STATE OF HAWAII JOURNAL VOUCHER

Department No: JV17-011

Department Date: 07/22/2016

Comptroller No: JS 9455

Comptroller Date: 07 27 16

SPECIAL

FUND

MM/DD/YY

[illegible]

EXPLANATION:

To transfer cash balances from the following appropriation account:

FROM --S-14-325; S-15-325; S-16-325

TO ---, S-17-325

cc: Taxation - ASO

REF: Datamart 07/20/16

DEPARTMENTAL CERTIFICATION:

EJ

COMPTROLLER APPROVAL:

Sign: Grimaldius

for HEAD OF DEPARTMENT

for COMPTROLLER

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: General Administration
 Legal Authority: Act 124 / SLH 16

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) B
 Appropriation Acct. No. S-001-D

Intended Purpose:

Operating Funds for Administration

Source of Revenues:

Assessments from the other three Divisions (Airports, Harbors and Highways)

Current Program Activities/Allowable Expenses:

Departmental Administration and staff operations, payroll, non-labor expenses, protocol fund, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	17,536,442	17,314,203	17,668,916	18,270,201			
Beginning Cash Balance	7,341,574	1,161,706	11,515,829	6,668,718	9,549,755	9,549,755	9,549,755
Revenues	388,105	492,476	227,866	196,316			
Expenditures	12,030,367	13,481,553	14,007,162	14,276,135			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-010 dtd 07/18/16				(2,500)			
JV-015 dtd 07/21/16				4,542,729			
JV-027 dtd 08/08/16				(4,056,845)			
JV-044 dtd 09/20/16				5,281,208			
JV-091 dtd 12/21/16				4,208,146			
JV-110 dtd 01/25/17				(700,000)			
JV-146 dtd 03/28/17				4,238,118			
JV-195 dtd 06/23/17				3,400,000			
JV-199 dtd 06/28/17				50,000			
Net Total Transfers	14,462,394	14,343,200	8,932,185	16,960,856	0	0	0
Ending Cash Balance	10,161,706	11,515,829	6,668,718	9,549,755	9,549,755	9,549,755	9,549,755
Encumbrances	1,894,495	1,666,707	1,858,319	1,357,702			
Unencumbered Cash Balance	8,267,211	9,849,122	4,810,400	8,192,053	9,549,755	9,549,755	9,549,755

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 695
 Name of Fund: Aloha Tower Development Corporation
 Legal Authority: Act 124 / SLH 2016

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) B
 Appropriation Acct. No. S-088-D

Intended Purpose:

ATDC was placed within the Department of Transportation for administrative purposes, pursuant to section 26-35

Source of Revenues:

Monthly lease payments

Current Program Activities/Allowable Expenses:

The monies on deposit in the fund shall be used for lease payments to the Department of Transportation and for the development, re-development or improvement of the Honolulu Waterfront located seaward of Nimitz Highway between Pier 4 and Pier 11

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,800,368	1,829,736	1,839,565	1,842,173			
Beginning Cash Balance	552,318	901,946	684,673	1,465,143	1,863,084	1,863,084	1,863,084
Revenues	617,769	7,727	1,005,674	1,010,441			
Expenditures	268,141	225,000	225,204	612,500			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	901,946	684,673	1,465,143	1,863,084	1,863,084	1,863,084	1,863,084
Encumbrances							
Unencumbered Cash Balance	901,946	684,673	1,465,143	1,863,084	1,863,084	1,863,084	1,863,084

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 U.S.C. SEC 5309 - NEW STARTS
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-213-D (S-14-213-D)

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5309. These funds assist in financing the acquisition, construction reconstruction, and improvement of facilities and equipment for use in mass transportation in urban areas.

Source of Revenues:

FTA Grant HI-03-0038

Current Program Activities/Allowable Expenses:

Pass thru payments to DLNR

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			11,970,430	8,461,747			
Beginning Cash Balance		0	0	1,400,000	0	0	0
Revenues			998,687	3,768,684	2,500,000	1,900,000	
Expenditures			998,687	3,768,684	2,500,000	1,900,000	
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
JV-195 dtd 06/23/17				(1,400,000)			
Net Total Transfers	0	0	1,400,000	(1,400,000)	0	0	0
Ending Cash Balance	0	0	1,400,000	0	0	0	0
Encumbrances			2,509,996	0			
Unencumbered Cash Balance	0	0	(1,109,996)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 U.S.C. SEC 5311 - NONURBANIZED AREA FORMULA
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-231-D

Intended Purpose:

Federal Transit Administration (FTA) Capital Improvement grant under Section 5311. These funds provide financial, operating, and technical assistance in providing public transportation in non-urbanized areas.

Source of Revenues:

FTA Grant HI-18-X032, HI-2016-004

Current Program Activities/Allowable Expenses:

Pass thru payments to Counties of Maui, Kauai and Hawaii

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			500,000	257,337			
Beginning Cash Balance		0	0	0	0	0	0
Revenues			242,663	459,237			
Expenditures			242,663	459,237			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: SPR - State Planning and Research
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-236-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

Source of Revenues:

FHWA Grant SPR-0010 (33) / (34) / (35)

Current Program Activities/Allowable Expenses:

Staff time, Travel

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		540,000	509,000				
Beginning Cash Balance		0	34,924	34,924	(0)	(0)	(0)
Revenues		30,847	0	0			
Expenditures		30,847	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-128 dtd 02/21/17				34,924			
JV-179 dtd 06/01/17				(69,849)			
Net Total Transfers	0	34,924	0	(34,924)	0	0	0
Ending Cash Balance	0	34,924	34,924	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	34,924	34,924	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-240-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization

Source of Revenues:

PL-0052(038)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling			4,695,244	4,649,294			
Beginning Cash Balance		0	0	43,127	112,346	112,346	112,346
Revenues			30,909	161,485			
Expenditures			45,950	92,265			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	58,168	0	0	0	0
Ending Cash Balance	0	0	43,127	112,346	112,346	112,346	112,346
Encumbrances			310,198	217,933			
Unencumbered Cash Balance	0	0	(267,071)	(105,587)	112,346	112,346	112,346

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: SPR - State Planning and Research
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-255-D

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for the Statewide Planning & Research program

Source of Revenues:

FHWA Grant SPR-0010 (36) / (37) / (38) / (39)

Current Program Activities/Allowable Expenses:

Staff time, Travel

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		72,744	68,643	68,643			
Beginning Cash Balance		0	18,234	22,684	58,878	58,878	58,878
Revenues		2,335	4,450	37,471			
Expenditures		4,101	0	1,277			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	20,000	0	0	0	0	0
Ending Cash Balance	0	18,234	22,684	58,878	58,878	58,878	58,878
Encumbrances							
Unencumbered Cash Balance	0	18,234	22,684	58,878	58,878	58,878	58,878

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Intermodel Surface Transportation Efficiency Programs
 Legal Authority Act 134, SLH 2013/Act 122, SLH 2014/Act 119, SLH 2015
Act 124, SLH 2016/Act 49, SLH 2017

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-256-D

Intended Purpose:
 Mother Account for Federal Appropriations
 Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,118,158	6,513,574	11,793,093				
Beginning Cash Balance	16,140	31,566	0	0	0	0	0
Revenues	151,324	3,359	0	0			
Expenditures	57,767	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(78,131)	(34,925)	0	0	0	0	0
Ending Cash Balance	31,566	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	31,566	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: 49 U.S.C. SEC 5310 - Capital Assistance
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-257-D (S-14-257-D)

Intended Purpose:

Federal Transit Act Capital Assistance grant under Section 5310. These funds will aid in financing capital items for private nonprofit groups which will help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310. Appropriation S-xx-335-D provides the 20% private contributions, but for FY16, there were no private contributions.

Current Program Activities/Allowable Expenses:

FTA Grant No. HI-16-0034, -0035, -0036, & -0037. Financing capital items under Section 5310 for various non-profit organizations in the State of Hawaii. For FY 16 only staff time was charged.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,486,419	2,138,940	2,124,860	2,107,276			
Beginning Cash Balance	0	63,049	97,630	97,630	132,554	132,554	132,554
Revenues	1,300,396	68,661	17,584	15,637			
Expenditures	1,347,478	14,080	17,584	15,637			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-128 dtd 02/21/17				(34,924)			
JV-199 dtd 06/28/17				69,849			
Net Total Transfers	110,131	(20,000)	0	34,924	0	0	0
Ending Cash Balance	63,049	97,630	97,630	132,554	132,554	132,554	132,554
Encumbrances				174,055			
Unencumbered Cash Balance	63,049	97,630	97,630	(41,501)	132,554	132,554	132,554

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: FTA (Federal Transit Authority)
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-258-D (S-14-258-D)

Intended Purpose:

Federal Transit Administration (FTA) Funds - to account for pre-FY14 FTA grants which are still active.

Source of Revenues:

Federal Transit Administration (FTA) Funds.

Current Program Activities/Allowable Expenses:

FTA Grant Nos. HI-18-X031 & HI-03-0038 & HI-04-0004,-0009, -0010, -0013, -0014 & HI-37-X006, -X008 & HI-57-X008 & HI-80-X019, -0021 & HI-34-0001.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,882,626	17,263,920	9,912,334	6,802,205			
Beginning Cash Balance	556,984	542,282	680,565	1,984,317	680,157	680,157	680,157
Revenues	10,896,462	9,729,411	3,113,881	4,965,984			
Expenditures	10,879,164	9,591,128	3,110,129	4,970,144			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-195 dtd 06/23/17				(1,300,000)			
Net Total Transfers	(32,000)	0	1,300,000	(1,300,000)	0	0	0
Ending Cash Balance	542,282	680,565	1,984,317	680,157	680,157	680,157	680,157
Encumbrances	2,739,542	120,871	2,710,079	46,316			
Unencumbered Cash Balance	(2,197,260)	559,694	(725,762)	633,841	680,157	680,157	680,157

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Oahu Metropolitan Plan Org Tech Study Grant
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-259-D (S-84-259-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

Federal Highway Administration (FHWA) Funds.

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,328,274	111,430	48,943				
Beginning Cash Balance	76,079	80,121	55,133	256	(0)	(0)	(0)
Revenues	238,602	57,499	52,234	0			
Expenditures	234,560	62,487	48,943	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-048 dtd 09/28/16				(256)			
Net Total Transfers	0	(20,000)	(58,168)	(256)	0	0	0
Ending Cash Balance	80,121	55,133	256	(0)	(0)	(0)	(0)
Encumbrances	111,430	48,943	0	0			
Unencumbered Cash Balance	(31,309)	6,190	256	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Oahu Metropolitan Plan Org - Exp Clearing Acct
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) W
 Appropriation Acct. No. S-334-D (S-84-334-D)

Intended Purpose:

For Oahu Metropolitan Planning Organization

Source of Revenues:

FHWA funds

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs (local share)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	500,631	111,418	401,720	100,711	10,210	10,210	10,210
Revenues	863,562	2,061,181	66,881	0			
Expenditures	1,252,775	1,740,879	67,890	10,004			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-048 dtd 09/28/16				(80,497)			
Net Total Transfers	0	(30,000)	(300,000)	(80,497)	0	0	0
Ending Cash Balance	111,418	401,720	100,711	10,210	10,210	10,210	10,210
Encumbrances	29,701	40,482	20,214	10,210			
Unencumbered Cash Balance	81,717	361,238	80,497	(0)	10,210	10,210	10,210

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: URBAN MASS TRANSPORTATION ACT OF 1964
 Legal Authority Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) R
 Appropriation Acct. No. S-335-D

Intended Purpose:

To receive private non-profit group's 20% contribution toward the purchase of capital items for private non-profit groups who help meet the transportation needs of the elderly and persons with disabilities in the State of Hawaii.

Source of Revenues:

The 20% private contributions toward the purchase of capital items for private non-profit groups. FTA funds provide 80% matching share under various FTA capital assistance grants under section 5310 (S-257-D).

Current Program Activities/Allowable Expenses:

Purchases of capital items (vehicles such as buses, vans, etc.)

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	55,092	55,092	55,092
Revenues				55,092			
Expenditures				0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	55,092	55,092	55,092	55,092
Encumbrances							
Unencumbered Cash Balance	0	0	0	55,092	55,092	55,092	55,092

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Protocol fund for Executive Heads
 Legal Authority Act 200 Section143/SLH 2003

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) B
 Appropriation Acct. No. S-398-D

Intended Purpose:

Provide discretionary funds for the head of the department.

Source of Revenues:

\$2,500.00 is transferred from the "General Administration Fund, S-XX-001-D" to fund this appropriation

Current Program Activities/Allowable Expenses:

To provide discretionary funds for the head of the department in accordance with Section 143 of Act 200, SLH 2003 per Comptroller's Memorandum 2003-22 dated 09/23/03.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500	2,500	2,500	2,500			
Beginning Cash Balance	1,824	2,112	2,048	1,136	2,291	2,291	2,291
Revenues							
Expenditures	388	452	1,393	239			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-010 dtd 07/18/16				2,500			
JV-027 dtd 08/08/16				(1,106)			
Net Total Transfers	676	388	481	1,394	0	0	0
Ending Cash Balance	2,112	2,048	1,136	2,291	2,291	2,291	2,291
Encumbrances		29	30	134			
Unencumbered Cash Balance	2,112	2,019	1,106	2,156	2,291	2,291	2,291

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-505-D (S-15-505-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

PL-0052(036)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		2,879,201	2,872,185	2,829,608			
Beginning Cash Balance		0	12,984	15,412	20,256	20,256	20,256
Revenues			45,005	169,394			
Expenditures		7,016	42,577	164,806			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
JV-048 dtd 09/28/16				256			
Net Total Transfers	0	20,000	0	256	0	0	0
Ending Cash Balance	0	12,984	15,412	20,256	20,256	20,256	20,256
Encumbrances		112,984	469,803	304,997			
Unencumbered Cash Balance	0	(100,000)	(454,391)	(284,741)	20,256	20,256	20,256

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Non-Appropriated
 Legal Authority: Administratively Created

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) N
 Appropriation Acct. No. S-513-D (S-17-513-D)

Intended Purpose:

Federal monies received from FHWA will be deposited into this account as reimbursement for Oahu Metropolitan Planning Organization.

Source of Revenues:

PL-0052(039)

Current Program Activities/Allowable Expenses:

OahuMPO consultant contract costs - federal portion

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	39,011	39,011	39,011
Revenues				39,011			
Expenditures				0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	39,011	39,011	39,011	39,011
Encumbrances				792,000			
Unencumbered Cash Balance	0	0	0	(752,989)	39,011	39,011	39,011

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: Bid Bond Deposits - Administration
 Legal Authority: _____

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) T
 Appropriation Acct. No. T-905-D

Intended Purpose:

Receipt and disbursement of Bid Bond Deposits for contracts that are put out to bid.

Source of Revenues:

Receipt of bid bond deposits for contracts that are put out to bid. Interest revenue from the Budget & Finance investment Pool program.

Current Program Activities/Allowable Expenses:

Procurement & contracting.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	188,365	63,561	49,425	7,400	67,400	67,400	67,400
Revenues	326,161	165,552	10,343	60,000			
Expenditures	412,155	179,688	52,368	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(38,810)	0	0	0	0	0	0
Ending Cash Balance	63,561	49,425	7,400	67,400	67,400	67,400	67,400
Encumbrances							
Unencumbered Cash Balance	63,561	49,425	7,400	67,400	67,400	67,400	67,400

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: TRN
 Prog ID(s): TRN 995
 Name of Fund: WASHTO Quality Award Program
 Legal Authority: _____

Contact Name: Clarita Hironaka
 Phone: 587-2211
 Fund type (MOF) T
 Appropriation Acct. No. T-908-D

Intended Purpose:

Receipt and disbursement of cash awards for the Employees Incentive Awards Program.

Source of Revenues:

WASHTO

Current Program Activities/Allowable Expenses:

Cash awards for the Employees Incentive Awards Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Revenues	3,000	3,000	3,000	3,000			
Expenditures	3,000	3,000	3,000	3,000			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000
Encumbrances							
Unencumbered Cash Balance	3,000	3,000	3,000	3,000	3,000	3,000	3,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund (O & M)
 Legal Authority: HRS 248-8/261-5/261-7

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-060-D TO S-XX-087-D

Intended Purpose:
 Operating Appropriations
 Source of Revenues:
 Airport Operating Revenues and Interest Income
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses including state surcharge and debt service
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	495,993,189	554,091,772	435,788,768	506,282,987	511,320,109	525,867,419	
Beginning Cash Balance	165,864,009	180,459,375	227,550,661	237,572,369	232,765,328	232,765,328	232,765,328
Revenues	121,507	61,061	4,264,906	156,632			
Expenditures	314,396,297	328,938,312	348,122,414	383,913,461	388,096,000	419,128,000	443,898,000
Transfers	328,870,156	375,968,536	353,879,216	378,949,789			
List each by JV# and date							
Net Total Transfers	328,870,156	375,968,536	353,879,216	378,949,789	388,096,000	419,128,000	443,898,000
Ending Cash Balance	180,459,375	227,550,661	237,572,369	232,765,328	232,765,328	232,765,328	232,765,328
Encumbrances	102,342,323	127,413,112	155,728,384	141,565,202			
Unencumbered Cash Balance	78,117,052	100,137,548	81,843,985	91,200,126	232,765,328	232,765,328	232,765,328

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: Act 106, SLH 2012, Section 9.1 and 9.2

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-308-D

Intended Purpose:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Payment of Interest and principal on rental motor vehicle customer facility charge revenue bonds. Transfer from Administration

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in debt service costs due to sale of bonds

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,900,000	30,900,000	10,507,207	1,140,000	36,319,000	36,319,000	
Beginning Cash Balance	0	442,195	0	79	(0)	(0)	(0)
Revenues				0			
Expenditures		442,195	523,645	813,952	5,069,000	23,961,000	31,926,000
Transfers			523,724	813,873			
List each by JV# and date							
Net Total Transfers	0	0	523,724	813,873	5,069,000	23,961,000	31,926,000
Ending Cash Balance	0	0	79	(0)	(0)	(0)	(0)
Encumbrances							
Unencumbered Cash Balance	0	0	79	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport Revenue Fund
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-361-D

Intended Purpose:
 Airport Revenue Fund
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Transferred operating revenues to fund operating activities. No allowable expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Projected incremental increases based on projected increases in passenger traffic and inflation

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	130,960,086	149,161,962	105,786,091	102,272,805	115,687,885	131,663,904	126,083,904
Revenues	331,504,699	347,457,609	359,063,294	402,407,463	416,057,000	443,153,000	471,705,000
Expenditures							
Transfers	(301,016,462)	(378,468,594)	(349,790,139)	(377,514,391)			
List each by JV# and date							
5% surcharge (per audited F/S)	(12,286,361)	(12,364,886)	(12,786,441)	(11,477,992)			
Net Total Transfers	(313,302,823)	(390,833,479)	(362,576,580)	(388,992,383)	(400,080,981)	(448,733,000)	(487,103,000)
Ending Cash Balance	149,161,962	105,786,091	102,272,805	115,687,885	131,663,904	126,083,904	110,685,904
Encumbrances							
Unencumbered Cash Balance	149,161,962	105,786,091	102,272,805	115,687,885	131,663,904	126,083,904	110,685,904

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Passenger Facility Charge Special Fund
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-363-D

Intended Purpose:

Used for Passenger Facility Charge Program (Inception date 10/01/01)

Source of Revenues:

Passenger Facility Charge (PFC) Collections and related interest income

Current Program Activities/Allowable Expenses:

Collection for above intended purposes. To fund eligible PFC funded CIP projects approved by the Federal Aviation Administration (FAA).

Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	91,830,518	99,107,951	108,276,897	134,522,929	134,300,103	153,935,103	173,278,103
Revenues	34,218,366	36,483,697	38,866,936	38,953,765	41,409,000	42,402,000	43,481,000
Expenditures							
Transfers	(26,940,933)	(27,314,750)	(12,620,904)	(39,176,590)			
List each by JV# and date							
Net Total Transfers	(26,940,933)	(27,314,750)	(12,620,904)	(39,176,590)	(21,774,000)	(23,059,000)	(8,538,000)
Ending Cash Balance	99,107,951	108,276,897	134,522,929	134,300,103	153,935,103	173,278,103	208,221,103
Encumbrances							
Unencumbered Cash Balance	99,107,951	108,276,897	134,522,929	134,300,103	153,935,103	173,278,103	208,221,103

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Rental Motor Vehicle Customer Fac Chg Special Fund
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-364-D

Intended Purpose: Monies collected from the motor vehicle customer facility charge shall be used for the enhancement, renovation, operation, and maintenance of existing rental motor vehicle customer facilities and related services at state airports

Source of Revenues:

Collection of rental motor vehicle customer facility charge

Current Program Activities/Allowable Expenses:

Collection of above intended purposes. To fund improvements to the rental motor vehicle customer facilities at state airports

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	49,371,707	21,313,337	110,083,199	167,808,469	64,030,122	0	0
Revenues	55,299,432	64,366,563	69,216,192	70,035,526	70,990,000	72,060,000	73,131,000
Expenditures							
Transfers	(83,357,802)	24,403,299	(11,490,922)	(173,813,873)			
List each by JV# and date							
Net Total Transfers	(83,357,802)	24,403,299	(11,490,922)	(173,813,873)	(135,020,122)	(72,060,000)	(73,131,000)
Ending Cash Balance	21,313,337	110,083,199	167,808,469	64,030,122	0	0	0
Encumbrances							
Unencumbered Cash Balance	21,313,337	110,083,199	167,808,469	64,030,122	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Prepaid Airport Use Charge Fund
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-365-D

Intended Purpose:

To provide for overpayments of Airport Use Charges

Source of Revenues:

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

Current Program Activities/Allowable Expenses:

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Payment out is to clear overpayment balance due as agreed to in the payment plan.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	40,671,687	21,797,541	0	0	0	0	0
Revenues							
Expenditures		0					
Transfers	(18,874,146)	0	0	0			
List each by JV# and date							
Net Total Transfers	(18,874,146)	(21,797,541)	0	0	0	0	0
Ending Cash Balance	21,797,541	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	21,797,541	0	0	0	0	0	0

Account Closed. Balance Transferred to Trust Account T-XX-963

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Future CIP
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-366-D

Intended Purpose:
 Provide a reserve for future Airport System Capital Improvement Projects
 Source of Revenues:
 Airport operating revenues and interest income
 Current Program Activities/Allowable Expenses:
 Transferred to fund the Airport System Capital Improvement Projects
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	57,638,527	53,214,466	58,104,972	34,173,696	27,349,252	18,232,835	9,116,417
Revenues							
Expenditures							
Transfers	(4,424,061)	4,890,506	(23,931,276)	(6,824,443)			
List each by JV# and date							
Net Total Transfers	(4,424,061)	4,890,506	(23,931,276)	(6,824,443)	(9,116,417)	(9,116,417)	(9,116,417)
Ending Cash Balance	53,214,466	58,104,972	34,173,696	27,349,252	18,232,835	9,116,417	0
Encumbrances							
Unencumbered Cash Balance	53,214,466	58,104,972	34,173,696	27,349,252	18,232,835	9,116,417	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for Airline Rate Mitigation
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-367-D

Intended Purpose:

To provide a reserve for payment of interest on revenue bonds and to be in compliance with revenue bond covenants

Source of Revenues:

Aiport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Used to meet the calculation of net revenue and taxes. To provide funding for debt service - Interest portion based on airport/airline lease agreement

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues							
Expenditures							
Transfers	0	0	0	0			
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Reserve for O & M Expenses
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-368-D

Intended Purpose: Providing a reserve for payment of operating and maintenance expenses. Also for deficiencies in any other account for lawful airports system purpose. Amount on deposit should be equal to 25% of the budgeted operating and maintenance expenses.

Source of Revenues:

Aiport operating revenues and interest income.

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	67,110,506	69,420,151	77,857,740	84,064,428	84,064,428	91,016,428	91,016,428
Revenues							
Expenditures							
Transfers	2,309,645	8,437,589	6,206,688	0			
List each by JV# and date							
Net Total Transfers	2,309,645	8,437,589	6,206,688	0	6,952,000	0	5,653,000
Ending Cash Balance	69,420,151	77,857,740	84,064,428	84,064,428	91,016,428	91,016,428	96,669,428
Encumbrances							
Unencumbered Cash Balance	69,420,151	77,857,740	84,064,428	84,064,428	91,016,428	91,016,428	96,669,428

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Debt Service Funded Coverage
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-369-D

Intended Purpose:

To cover shortfalls in debt service coverage requirements. Minimum deposit must be 25% of current year debt service

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Reserve only. No current activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,223,252	19,311,268	19,311,268	22,338,019	22,338,019	21,802,000	24,446,000
Revenues							
Expenditures							
Transfers							
List each by JV# and date	(4,911,984)	0	3,026,751	0			
Net Total Transfers	(4,911,984)	0	3,026,751	0	(536,019)	2,644,000	2,626,000
Ending Cash Balance	19,311,268	19,311,268	22,338,019	22,338,019	21,802,000	24,446,000	27,072,000
Encumbrances							
Unencumbered Cash Balance	19,311,268	19,311,268	22,338,019	22,338,019	21,802,000	24,446,000	27,072,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Interest Account
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-375-D

Intended Purpose:

Used for payment of interest on outstanding bonds

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Payment of interest on outstanding revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	23,068,731	21,672,006	20,757,862	35,445,920	27,942,602	27,942,602	27,942,602
Revenues	43,033,987	41,515,725	60,346,535	50,416,476	46,454,000	55,199,000	63,598,000
Expenditures	43,810,662	42,274,856	40,735,372	52,685,366	46,454,000	55,199,000	63,598,000
Transfers	(620,050)	(155,012)	(4,923,105)	(5,234,429)			
List each by JV# and date							
Net Total Transfers	(620,050)	(155,012)	(4,923,105)	(5,234,429)			
Ending Cash Balance	21,672,006	20,757,862	35,445,920	27,942,602	27,942,602	27,942,602	27,942,602
Encumbrances							
Unencumbered Cash Balance	21,672,006	20,757,862	35,445,920	27,942,602	27,942,602	27,942,602	27,942,602

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Serial & Principal Account
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-376-D

Intended Purpose:

Used for principal payments on outstanding serial bonds

Source of Revenues:

Airport operating revenues and interest income

Current Program Activities/Allowable Expenses:

Payment of principal on outstanding serial revenue bonds

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase is dependent on bond sale for airport improvement program

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	40,305,000	34,210,000	35,725,000	37,290,000	38,935,000	38,935,000	38,935,000
Revenues	34,210,000	35,725,000	37,290,000	38,935,000	40,755,000	42,585,000	44,690,000
Expenditures	40,305,000	34,210,000	35,725,000	37,290,000	40,755,000	42,585,000	44,690,000
Transfers							
List each by JV# and date							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	34,210,000	35,725,000	37,290,000	38,935,000	38,935,000	38,935,000	38,935,000
Encumbrances							
Unencumbered Cash Balance	34,210,000	35,725,000	37,290,000	38,935,000	38,935,000	38,935,000	38,935,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Debt Service Reserve
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-378-D

Intended Purpose: Used as a reserve for the payment of principal, interest, and premium for bonds outstanding. The amount on deposit should be equal to the maximum aggregate of debt outstanding for all bonds in any future year.

Source of Revenues:

Proceeds for the sale of Airport System Revenue Bonds

Current Program Activities/Allowable Expenses:

Principal interest and premiums relating to bonds outstanding. No activity

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Increase in revenue dependent on bond sale for airport improvement program

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	120,863,008
Revenues						23,970,000	25,845,000
Expenditures							
Transfers				0			
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	120,863,008	146,708,008
Encumbrances							
Unencumbered Cash Balance	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	120,863,008	146,708,008

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments	96,893,008	96,893,008	96,893,008	96,893,008	96,893,008	120,863,008	146,708,008

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Airport System Major Maintenance, Renewal/Replacement
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) D
 Appropriation Acct. No. S-XX-379-D

Intended Purpose: To support deficiencies in the interest account, serial bond principal account, and the sinking fund. Also to fund major maintenance and repair projects not recurring annually in nature.

Source of Revenues:

Airport operating revenues and interest account

Current Program Activities/Allowable Expenses:

To fund eligible projects meeting the funding criteria for major maintenance, renewal and replacement account in accordance with the bond resolution

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on ongoing and future projects for airport programs

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	59,980,198	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000
Revenues							
Expenditures	101,075	26,808	0	0	500,000	3,000,000	3,000,000
Transfers	19,802	127,883	0	0			
List each by JV# and date							
Net Total Transfers	19,802	127,883	0	0	500,000	3,000,000	3,000,000
Ending Cash Balance	59,898,925	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000
Encumbrances	54,909						
Unencumbered Cash Balance	59,844,016	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000	60,000,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: DOT
 Prog ID(s): TRN - 195
 Name of Fund: Airport System Legis Claims Against The State-Airports
 Legal Authority: A 19/SL 17

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-395-D

Intended Purpose:

To satisfy claims for legislative release. (Helix Electric, Inc. v. DCK Pacific Construction, LLC.)

Source of Revenues:

Airport Revenue Fund

Current Program Activities/Allowable Expenses:

Payments to subcontractors and suppliers for claims: judgements, settlements, or other liabilities.

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Estimated expenditures dependent on claims

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				10,080,000			
Beginning Cash Balance	0	0	0	0	10,080,000	0	0
Revenues							
Expenditures	0	0	0	0	10,080,000	0	0
Transfers	0	0	0	10,080,000			
List each by JV# and date							
Net Total Transfers	0	0	0	10,080,000	0	0	0
Ending Cash Balance	0	0	0	10,080,000	0	0	0
Encumbrances	0						
Unencumbered Cash Balance	0	0	0	10,080,000	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Bond Revenue CIP Accounts
 Legal Authority: HRS 39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) E
 Appropriation Acct. No. B-XX-XXX

Intended Purpose:
 Bond funded capital improvement projects
 Source of Revenues:
 Sale of Bond
 Current Program Activities/Allowable Expenses:
 Funded capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):
 New capital improvement projects will be implemented
 Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	213,417,000	536,540,000	251,743,000	348,919,000	500,437,000	153,017,000	0
Beginning Cash Balance	213,141,261	144,748,530	43,114,377	205,066,630	172,555,085	0	0
Revenues			250,006,365	0		250,000,000	292,489,000
Expenditures	68,392,731	101,634,153	88,054,112	75,511,545	172,555,085	250,000,000	292,489,000
Transfers				43,000,000			
List each by JV# and date							
Net Total Transfers	0	0	0	43,000,000	0	0	0
Ending Cash Balance	144,748,530	43,114,377	205,066,630	172,555,085	0	0	0
Encumbrances	483,912,419	405,371,526	813,157,977	0			
Unencumbered Cash Balance	(339,163,889)	(362,257,150)	(608,091,347)	172,555,085	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds			250,006,365	0	0	250,000,000	292,489,000
EB-5							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 114
 Name of Fund: General Obligation Bond Fund
 Legal Authority: HRS 39

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) C
 Appropriation Acct. No. B-XX-700/B-XX-701

Intended Purpose:
 Various Improvements to Ellison Onizuka Space Museum/KONA Airport Fed Inspection Station
 Source of Revenues:
 General Obligation Bond
 Current Program Activities/Allowable Expenses:
 Capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	2,500,000	2,500,000	2,500,000	
Beginning Cash Balance			0	0	0	0	0
Revenues						2,500,000	
Expenditures						2,500,000	
Transfers							
List each by JV# and date							
Net Total Transfers			0	0	0	0	0
Ending Cash Balance			0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance			0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds					0	2,500,000	
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP
 Legal Authority: HRS 248-8/261-5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) B
 Appropriation Acct. No. S-XX-7XX-D, S-XX-8XX-D

Intended Purpose:
 Special funded capital improvement projects
 Source of Revenues:
 Airport operating revenue
 Current Program Activities/Allowable Expenses:
 Funded capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	12,470,000	11,450,000	15,300,000	61,730,000	26,203,000	69,020,000	
Beginning Cash Balance	71,730,029	62,176,381	64,690,663	60,885,348	71,212,914	50,996,332	47,887,749
Revenues		0		0			
Expenditures	13,977,709	24,827,418	6,810,741	(3,503,123)	29,333,000	12,225,000	87,000
Transfers	4,424,061	27,341,700	3,005,426	6,824,443			
List each by JV# and date							
Net Total Transfers	4,424,061	27,341,700	3,005,426	6,824,443	9,116,417	9,116,417	9,116,417
Ending Cash Balance	62,176,381	64,690,663	60,885,348	71,212,914	50,996,332	47,887,749	56,917,167
Encumbrances	40,350,438	57,466,425	92,060,265	94,859,694			
Unencumbered Cash Balance	21,825,943	7,224,239	(31,174,917)	(23,646,780)	50,996,332	47,887,749	56,917,167

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: HRS 261-5.5

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) X
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:
 Used to fund projects funded by the Passenger Facility Charge Program & other contributions
 Source of Revenues:
 Passenger Facility Charges Funds
 Current Program Activities/Allowable Expenses:
 Funded approved capital improvement projects
 Purpose of Proposed Ceiling Increase (if applicable):
 New capital improvement project will be implemented
 Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	46,204,000	100,000	125,000	0	19,325,000	28,125,000	
Beginning Cash Balance	10,278,289	29,831,970	9,559,053	14,519,350	15,703,315	4,488,887	4,488,887
Revenues				0			
Expenditures	7,387,252	16,103,989	28,697,307	37,341,808	32,988,427	23,059,000	8,538,000
Transfers	26,940,933	(4,168,928)	33,657,604	38,525,773			
List each by JV# and date							
Net Total Transfers	26,940,933	(4,168,928)	33,657,604	38,525,773	21,774,000	23,059,000	8,538,000
Ending Cash Balance	29,831,970	9,559,053	14,519,350	15,703,315	4,488,887	4,488,887	4,488,887
Encumbrances	61,808,632	6,008,372	27,726,316	11,214,427			
Unencumbered Cash Balance	(31,976,662)	3,550,681	(13,206,966)	4,488,887	4,488,887	4,488,887	4,488,887

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: HRS 261-5.6

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) X/B
 Appropriation Acct. No. S-XX-9XX-D; S-XX-7XX-D

Intended Purpose:

Used to fund projects funded by the Consolidated Rent A Car Facility Charge Program

Source of Revenues:

Rent A Car Facility Charges (CFC) Funds

Current Program Activities/Allowable Expenses:

Funded approved capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented

Variances:

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,000,000	42,000,000	86,811,000	0			
Beginning Cash Balance	28,792,886	106,478,703	71,946,658	48,431,290	79,322,128	183,244,250	139,295,250
Revenues		34,910,142		41,089,858	230,000,000	127,000,000	0
Expenditures	5,671,985	43,848,165	34,623,020	140,199,020	256,029,000	219,048,000	14,214,000
Transfers	83,357,802	(25,594,022)	11,107,652	130,000,000			
List each by JV# and date							
Net Total Transfers	83,357,802	(25,594,022)	11,107,652	130,000,000	129,951,122	48,099,000	41,205,000
Ending Cash Balance	106,478,703	71,946,658	48,431,290	79,322,128	183,244,250	139,295,250	166,286,250
Encumbrances	222,748,193	179,715,500	256,601,553	284,968,311			
Unencumbered Cash Balance	(116,269,490)	(107,768,842)	(208,170,263)	(205,646,183)	183,244,250	139,295,250	166,286,250

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
EB-5		34,910,142					
CFC					230,000,000	127,000,000	
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal CIP
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-16X-D to S-XX-19X-D;
S-XX-26X-D to S-XX-29X-D;

Intended Purpose:

Capital improvement projects

Source of Revenues:

Federal Grants

Current Program Activities/Allowable Expenses:

Capital improvement projects

Purpose of Proposed Ceiling Increase (if applicable):

New capital improvement project will be implemented; additional funds required for for existing appropriations

Variances

Revenue and expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	64,025,000	12,810,000	47,875,000	44,205,000	11,002,000	3,000	
Beginning Cash Balance	7,722,885	13,463,387	13,910,085	15,463,906	13,269,320	13,269,320	13,269,320
Revenues	58,613,115	40,078,813	28,892,579	11,757,789	26,419,000	26,524,000	26,630,000
Expenditures	56,138,751	39,556,205	25,813,439	13,663,472	26,419,000	26,524,000	26,630,000
Transfers	3,266,138	(75,911)	(1,525,319)	(288,903)			
List each by JV# and date							
Net Total Transfers	3,266,138	(75,911)	(1,525,319)	(288,903)	0	0	0
Ending Cash Balance	13,463,387	13,910,085	15,463,906	13,269,320	13,269,320	13,269,320	13,269,320
Encumbrances	40,766,888	40,521,771	45,701,388	51,143,021			
Unencumbered Cash Balance	(27,303,501)	(26,611,687)	(30,237,482)	(37,873,702)	13,269,320	13,269,320	13,269,320

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2015 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Federal O & M
 Legal Authority: Title 49 United State Code (Grants)

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) N
 Appropriation Acct. No. S-XX-20X-D to S-XX-25X-D
S-XX-50X-D

Intended Purpose:
 Federal portion of projects matched by operating funds
 Source of Revenues:
 Federal grants
 Current Program Activities/Allowable Expenses:
 Operating and maintenance expenses
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Expenditures are dependent on the status of various construction projects ongoing for the airport modernization program

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,450,000	7,037,250	9,695,545	10,881,000	8,244,750	3,606,000	
Beginning Cash Balance	4,422,415	1,327,075	1,131,366	1,032,386	1,251,256	1,251,256	1,251,256
Revenues	2,178,911	251,975	270,728	1,907,150	2,000,000	2,000,000	2,000,000
Expenditures	2,008,113	523,595	1,895,027	1,977,184	2,000,000	2,000,000	2,000,000
Transfers	(3,266,138)	75,911	1,525,319	288,903			
List each by JV# and date							
Net Total Transfers	(3,266,138)	75,911	1,525,319	288,903	0	0	0
Ending Cash Balance	1,327,075	1,131,366	1,032,386	1,251,256	1,251,256	1,251,256	1,251,256
Encumbrances	857,718	4,743,121	4,989,448	0			
Unencumbered Cash Balance	469,357	(3,611,755)	(3,957,062)	1,251,256	1,251,256	1,251,256	1,251,256

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Special State CIP - Funded by Others
 Legal Authority: Legislative Acts & Prior Year Rollovers

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) R
 Appropriation Acct. No. S-XX-9XX-D

Intended Purpose:
 Used to fund project funded by private contribution
 Source of Revenues:

Current Program Activities/Allowable Expenses:
 Funded approved capital improvement project
 Purpose of Proposed Ceiling Increase (if applicable):

Variances

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				3,995,000			
Beginning Cash Balance	131	131	0	0	0	0	0
Revenues	0	0					
Expenditures	0	0					
Transfers	0	(131)	0	0			
List each by JV# and date							
Net Total Transfers	0	(131)	0	0	0	0	0
Ending Cash Balance	131	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	131	0	0	0	0	0	0

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 195
 Name of Fund: Temporary Deposits
 Legal Authority: HRS 261-5/261-7

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-961

Intended Purpose:
 Security and Other Deposits
 Source of Revenues:
 Customer security and other deposits
 Current Program Activities/Allowable Expenses:
 Received and refunded security and other deposits
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	6,491,195	5,866,733	55,541,397	7,387,041	8,452,929	8,452,929	8,452,929
Revenues	851,445	202,145,900	1,647,910	1,450,957			
Expenditures	1,475,907	152,471,236	431,613	385,070			
Transfers			(49,370,653)	0			
List each by JV# and date							
Net Total Transfers			(49,370,653)	0			
Ending Cash Balance	5,866,733	55,541,397	7,387,041	8,452,929	8,452,929	8,452,929	8,452,929
Encumbrances							
Unencumbered Cash Balance	5,866,733	55,541,397	7,387,041	8,452,929	8,452,929	8,452,929	8,452,929

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Prepaid Airport Use Charge Fund
 Legal Authority: HRS 248-8/261-5/261-7/39-53/39-61

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-963-D

Intended Purpose:

To provide for overpayments of Airport Use Charges

Source of Revenues:

Airport Use Charge (AUC) overpayment based on airport/airline lease agreement

Current Program Activities/Allowable Expenses:

To refund AUC overpayment based on agreed signatory airlines payment plan as approved by the Director of Transportation

Purpose of Proposed Ceiling Increase (if applicable):

Variances

Payment out is to clear overpayment balance due as agreed to in the payment plan.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	8,591,873	9,245,460	10,350,275	9,350,275	8,350,275
Revenues	0	0	4,653,587	1,104,816			
Expenditures	0	0	4,000,000	0	1,000,000	1,000,000	1,000,000
Transfers		8,591,873		0			
List each by JV# and date							
Net Total Transfers		8,591,873					
Ending Cash Balance	0	8,591,873	9,245,460	10,350,275	9,350,275	8,350,275	7,350,275
Encumbrances							
Unencumbered Cash Balance	0	8,591,873	9,245,460	10,350,275	9,350,275	8,350,275	7,350,275

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2017 Legislature

Department: DOT
 Prog ID(s): TRN 102 - TRN 195
 Name of Fund: Energy Savings Performance Contract
 Legal Authority: HRS 36-41

Contact Name: Kurt Yamasaki
 Phone: 838-8646
 Fund type (MOF) T
 Appropriation Acct. No. T-XX-964-D

Intended Purpose:
 ESCO/ESPC Energy Savings
 Source of Revenues:
 Savings from Utilities and Interest Income
 Current Program Activities/Allowable Expenses:
 Payment of P&I, COPS Issuance costs and trustee fees
 Purpose of Proposed Ceiling Increase (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
			(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	40,778,779	24,306,466	69,085,173	16,774,000	16,774,000
Revenues	0	0	8,090,011	51,576,368			
Expenditures	0	0	24,562,324	6,797,661	52,311,173		
Transfers		40,778,779		0			
List each by JV# and date							
Net Total Transfers		40,778,779					
Ending Cash Balance	0	40,778,779	24,306,466	69,085,173	16,774,000	16,774,000	16,774,000
Encumbrances							
Unencumbered Cash Balance	0	40,778,779	24,306,466	69,085,173	16,774,000	16,774,000	16,774,000

Additional Information:

Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department:	TRN		Contact Name: Kendrick Au				
Prog ID(s):	TRN 301-395		Phone: 587-1893				
Name of Fund:	Revenue Bonds		Fund type (MOF) Bond Funds				
Legal Authority	A345/SL 86 - A124/SL 16		Appropriation Acct. No. B-86-651-D to B-17-670				
Intended Purpose: To Fund CIP Projects in Accordance with Bond Covenants							
Source of Revenues: Revenue Bond Proceeds							
Current Program Activities/Allowable Expenses: CIP Projects							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances: Expenditures are expected to increase from FY2018 through FY2020 due to the increased construction activity related to the "Build-out" of the KMR Piers and Container Yard Facilities at the Honolulu Harbor.							
Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	155,538,578	144,147,370	111,761,268	81,310,073	127,610,233	58,659,698	194,333,640
Revenues	-	-				250,000,000	
Expenditures	11,391,208	32,386,102	30,451,195	23,699,840	78,950,535	114,542,057	60,000,000
Transfers							
List each by JV# and date							
Transfer for the Purpose to Loan Special Funds Cash Financing				70,000,000	10,000,000	15,000,000	
Transfer from Bond Proceeds to Debt Service Reserve per Requirements of Debt Issuance						(14,784,000)	
Net Total Transfers	0	0	0	70,000,000	10,000,000	216,000	0
Ending Cash Balance	144,147,370	111,761,268	81,310,073	127,610,233	58,659,698	194,333,640	134,333,640
Encumbrances	74,016,134	86,529,524	62,783,710	34,495,171	123,201,755	135,457,943	
Unencumbered Cash Balance	70,131,236	25,231,744	18,526,363	93,115,062	(64,542,057)	58,875,697	134,333,640
Additional Information:							
Amount Req. for Bond Conveyance							
Amount Held in CODs, Escrow Accounts, or Other Investments		-				14,784,000	
Form 37-47 (rev. 9/27/16)							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>							
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>							
Name of Fund: <u>Federal Operating Allot (Type A)</u>	Fund type (MOF) <u>Special Funds (N & V Funds)</u>							
Legal Authority <u>Fed Fund</u>	Appropriation Acct. No. <u>S-09-248-D to S-16-511-D</u>							
Intended Purpose: <u>State Funds Match Required by Federal Grants</u>								
Source of Revenues: <u>Loans from Harbors Special Funds</u>								
Current Program Activities/Allowable Expenses: <u>Port Security Improvements</u>								
Purpose of Proposed Ceiling Increase (if applicable):								
Variances								
Financial Data								
		FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
		(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling								
Beginning Cash Balance		2,075,029	2,075,033	2,075,039	1,023,818	105,456	0	0
Revenues		4	6					
Expenditures				160,273		105,456		
Transfers								
List each by JV# and date								
Loan from Harbors Special Fund					103,505			
Repayment of Loans Made by Harbors Special Fund				(890,948)	(1,021,867)			
Net Total Transfers				(890,948)	(918,362)			
Ending Cash Balance		2,075,033	2,075,039	1,023,818	105,456	0	0	0
Encumbrances								
Unencumbered Cash Balance		2,075,033	2,075,039	1,023,818	105,456	0	0	0
<u>Additional Information:</u>								
Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow								

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>
Name of Fund: <u>State CIP</u>	Fund type (MOF) <u>Special Funds (B & R Funds)</u>
Legal Authority <u>A296/SL 91 - A124/SL 16</u>	Appropriation Acct. No. <u>S-91-627-D to S-17-620-D</u>

Intended Purpose: To Fund CIP Projects with Specific Appropriations

Source of Revenues: Harbors Special Fund

Current Program Activities/Allowable Expenses: CIP Projects

Purpose of Proposed Ceiling Increase (if applicable):

Variances: FY2018 CIP expenditures for projects funded by Harbors Cash Balances, other than Harbors Modernization Projects, are planned to proceed.

Financial Data								
		FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
		(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		20,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000
Beginning Cash Balance		42,909,731	39,200,359	35,641,152	40,939,836	39,217,990	46,188,546	41,684,546
Revenues			4,821	900,000				
Expenditures		3,128,892	9,009,775	4,790,326	6,651,846	17,091,444	15,000,000	15,000,000
Transfers In			5,445,747	9,189,010				
List each by JV# and date								
Trf fm State Operating to CIP Per Sch FY09 CIP Trf								
Reversion of Lapsed CIP Funds FY09 JS1038								
Net Transfer / Reversion of CIP Funds		(580,480)						
Trf fm State Operating to CIP per FY18 Multi-Year					4,930,000	24,062,000	10,496,000	28,109,000
Net Total Transfers		(580,480)	5,445,747	9,189,010	4,930,000	24,062,000	10,496,000	28,109,000
Ending Cash Balance		39,200,359	35,641,152	40,939,836	39,217,990	46,188,546	41,684,546	54,793,546
Encumbrances		15,309,780	8,852,183	18,841,578	17,091,444	15,000,000	15,000,000	15,000,000
Unencumbered Cash Balance		23,890,579	26,788,969	22,098,258	22,126,546	31,188,546	26,684,546	39,793,546
Additional Information:								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow Accounts, or Other Investments								

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>	
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>	
Name of Fund: <u>Federal CIP</u>	Fund type (MOF): <u>Special Funds (N & V Funds)</u>	
Legal Authority: <u>Fed Fund - A91/SL99 - A124/SL 16</u>	Appropriation Acct. No. <u>S-94-269-D to S-14-650-D</u>	
Intended Purpose: Cash Allotments to Provide for State Funds to Match Federal Grant Funds		
Source of Revenues:		
Current Program Activities/Allowable Expenses:		
Purpose of Proposed Ceiling Increase (if applicable):		
Variances		

Financial Data								
		FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
		(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling								
Beginning Cash Balance		13,131,554	13,131,554	1,575,295	328,525	328,525	328,525	328,525
Revenues		948,492	284,458	0	0	0	0	0
Expenditures		948,492	284,458	217,450	0	0	0	0
Transfers								
List each by JV# and date								
Other Transfer			(11,556,259)					
Loans In from State Operating Allot								
Loans In from State Operating Allot								
TIGER ARRA								
Repayment of Loans Made by Harbors								
Special Fund				(1,029,320)				
Net Total Transfers		0	(11,556,259)	(1,029,320)	0	0	0	0
Ending Cash Balance		13,131,554	1,575,295	328,525	328,525	328,525	328,525	328,525
Encumbrances		75,585	75,585	75,585	75,585	75,585	75,585	75,585
Unencumbered Cash Balance		13,055,969	1,499,710	252,940	252,940	252,940	252,940	252,940

Additional Information:								
Amount Req. for Bond Conveyance								
Amount from Bond Proceeds								
Amount Held in CODs, Escrow								
Form 37-47 (rev. 10/14/15)								

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>						
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>						
Name of Fund: <u>Revolving Funds</u>	Fund type (MOF) <u>Special Funds (W & B Funds)</u>						
Legal Authority <u>Bond Resoln & S266-19 HRS</u>	Appropriation Acct. No. <u>S-97-386-D to S-17-349-D</u>						
Intended Purpose: <u>Debt Service Cash Reserves to Comply With Bond Certificate of the DOT Director for Revenue Bonds Issued</u>							
Source of Revenues: <u>Transfers of Funds from TRN 395 (Harbors Administration Operating Unit)</u>							
Current Program Activities/Allowable Expenses: <u>Repay Revenue Bond Debt</u>							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances: <u>Expenditures increase from FY2018 to FY2019 due to the increased debt service related to the 2019 Revenue Bond Issue to fund the KMR Piers and Container Yard.</u>							
Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	42,574,852	42,696,495	42,836,819	43,030,157	42,450,070	42,450,070	57,234,070
Revenues	32,101,220	31,416,215	31,401,847	30,596,323	29,113,000	32,923,000	44,889,000
Expenditures	31,979,577	31,275,891	31,186,685	31,176,410	29,113,000	32,923,000	44,889,000
Transfers							
List each by JV# and date							
Transfer to Increase Stores Acct	0	0	(21,824)				
Trfs for Debt Svc Reserve:							
Amounts Held in Reserve per 10th Suppl Certificate, 2019 Bond Issue	0	0	0	0	0	14,784,000	0
Monthly Trfs to Bond Debt Svc Reserve from State Operating Allot for TRN395 All Revenue Bond					-		
Net Total Transfers	0	0	(21,824)	0	0	14,784,000	0
Ending Cash Balance	42,696,495	42,836,819	43,030,157	42,450,070	42,450,070	57,234,070	57,234,070
Encumbrances							
Unencumbered Cash Balance	42,696,495	42,836,819	43,030,157	42,450,070	42,450,070	57,234,070	57,234,070
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds						14,784,000	
Accounts, or Other Investments							

Report on Non-General Fund Information for Submittal to the 2018 Legislature

Department: TRN		Contact Name: Kendrick Au					
Prog ID(s): TRN 301-395		Phone: 587-1893					
Name of Fund: Federal Operating Appropriation Type F		Fund type (MOF) Special Funds (N Funds)					
Legal Authority: Fed Fund		Appropriation Acct. No. S-97-296					
Intended Purpose: To Fund Projects for Disaster Recovery from Hurricane Iniki; Cash Balances Were Transferred in FY2015 Because This Purpose Has Expired.							
Source of Revenues: Loans from Harbors Special Funds							
Current Program Activities/Allowable Expenses:							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances							
Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2,802	2,802	0	0	0	0	0
Revenues							
Expenditures		2,802					
Transfers							
List each by JV# and date		0					
Net Total Transfers		0					
Ending Cash Balance	2,802	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	2,802	0	0	0	0	0	0
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>						
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>						
Name of Fund: <u>Harbor Special Fund</u>	Fund type (MOF) <u>Special Funds (B Funds)</u>						
Legal Authority <u>S266-19 HRS</u>	Appropriation Acct. No. <u>S-13-341-D to S-17-341-D</u>						
Intended Purpose: Parent Fund for the Commercial Harbors System into							
Source of Revenues: User Fees Assessed to Harbor Users, Primarily Wharfage Fees, Port Entry Fees, Dockage Fees and Rents							
Current Program Activities/Allowable Expenses: Transfers of Funds to Operating Units and for Cash Funded CIP Projects							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances:							
Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,618,881	128,489,000	109,143,801	109,143,801	114,578,643	115,005,333	115,005,333
Beginning Cash Balance	97,638,817	129,921,778	144,140,819	145,442,722	91,604,678	78,901,035	91,243,702
Revenues Estimates Reflect Revenues per MYFP of 10/12/17	111,770,283	111,124,534	120,043,711	131,996,682	135,937,000	152,844,000	158,360,000
Expenditures	0	0	0	0	0	0	0
Transfers							
List each by JV# and date							
Transfer to Increase Stores Acct							
Net Transfer / Reversion of CIP Funds	580,480	33,074,914					
Trf to State CIP per FY18 Multi-Year	0			(4,930,000)	(24,062,000)	(10,496,000)	(28,109,000)
Trf to Program Appropriations for Harbors Operations	(77,974,837)	(127,834,407)	(107,079,151)	(110,052,123)	(111,969,643)	(112,344,333)	(111,842,000)
Other Transfer Departmental Pro-Rata Share to TRN 995	(2,104,373)	(2,146,000)	(2,473,647)	(2,557,828)	(2,609,000)	(2,661,000)	(2,714,000)
Trf to State CIP Restricted Cash			(9,189,010)	1,705,225			
Other Transfers - Net	11,408			0			
Loan Out to Federal CIP TIGER ARRA Pier 29 & ARRA PSGP Fence							
Loans Out to Fed Operating Funds Appropriation Type A							
Loans of Special Funds to Fund Revenue Bond Fund Appropriations				(70,000,000)	(10,000,000)	(15,000,000)	
Repayment of Loans Made to Federal Appropriations	0						
Revenue Bond Funds Originally Advanced from State Operating Funds to HMP							
Net Total Transfers	(79,487,322)	(96,905,493)	(118,741,808)	(185,834,726)	(148,640,643)	(140,501,333)	(142,665,000)
Ending Cash Balance	129,921,778	144,140,819	145,442,722	91,604,678	78,901,035	91,243,702	106,938,702
Encumbrances							
Unencumbered Cash Balance	129,921,778	144,140,819	145,442,722	91,604,678	78,901,035	91,243,702	106,938,702
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>TRN</u>	Contact Name: <u>Kendrick Au</u>						
Prog ID(s): <u>TRN 301-395</u>	Phone: <u>587-1893</u>						
Name of Fund: <u>State Operating Allotment</u>	Fund type (MOF) <u>Special Funds (B Funds)</u>						
Legal Authority <u>A345/SL86 - A124/SL16</u>	Appropriation Acct. No. <u>S-87-055-D to S-17-055-D</u>						
Intended Purpose: Funds Appropriated by Legislature for the Operating Costs of the State of Hawaii Commercial Harbors System							
Source of Revenues: Transfers of Funds from the Harbors Special Fund							
Current Program Activities/Allowable Expenses: Operating Costs of the Commercial Harbors System							
Purpose of Proposed Ceiling Increase (if applicable):							
Variances: Increase in projected expenditures for FY2018 over FY2017 actuals due to FY18 expenditures of \$5.3 million for Equipment Replacement and \$1.2 Million for Vehicle Re							
FY18 increase in the Central Services Assesment of approximately \$1.5 Million and increase in FY18 Sepcial Maintenance of approximately \$2.5 Million.							
Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,618,881	98,489,000	109,143,801	109,143,801	114,578,643	115,005,333	115,005,333
Beginning Cash Balance	35,844,423	37,509,546	62,264,535	89,331,325	117,326,954	135,803,954	147,816,954
Revenues	38,392	58,088	57,691	67,714	0	0	0
Expenditures	40,991,712	41,741,236	47,957,843	48,147,065	60,999,025	64,027,525	66,435,550
Transfers							
List each by JV# and date							
Trf to Program Appropriations for Harbors Operations from Harbors Special Fund S-FY-341-D	77,974,837	101,235,404	109,749,442	110,052,123	111,969,643	112,344,333	111,842,000
Debt Service - Reimbursable General Obligation Bonds	(3,380,687)	(3,381,053)	(3,380,653)	(3,380,820)	(3,380,618)	(3,380,808)	(3,380,783)
Net Transfer / Reversion of CIP Funds							
Trfs to Debt Svc Reserve	(31,975,707)	(31,416,215)	(31,401,847)	(30,596,323)			
Trfs for Debt Svc Reserve FY18 MultiYear		0			(29,113,000)	(32,923,000)	(44,889,000)
Other Transfer							
Net Total Transfers	42,618,443	66,438,136	74,966,942	76,074,980	79,476,025	76,040,525	63,572,217
Ending Cash Balance	37,509,546	62,264,535	89,331,325	117,326,954	135,803,954	147,816,954	144,953,621
Encumbrances	23,608,303	30,949,609	27,695,890	30,032,396	30,032,396	30,032,396	30,032,396
Unencumbered Cash Balance	13,901,243	31,314,926	61,635,435	87,294,558	105,771,558	117,784,558	114,921,225
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: <u>TRN</u>		Contact Name: <u>Kendrick Au</u>
Prog ID(s): <u>TRN 301-395</u>		Phone: <u>587-1893</u>
Name of Fund: <u>Operating Trust</u>		Fund type (MOF) <u>Trust & Agency (T Funds)</u>
Legal Authority <u></u>		Appropriation Acct. No. <u>T-93-941-D to T-17-941-D</u>
Intended Purpose: Security Deposits from Leases and Contracts & Insurance Proceeds for Kawaihae Harbor Earthquake Damage		
Source of Revenues: Insurance Proceeds for Kawaihae Harbor Earthquake Damage Received in FY08 and Security Deposits		
Current Program Activities/Allowable Expenses: N/A		
Purpose of Proposed Ceiling Increase (if applicable):		
Variances		

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3,511,881	2,627,206	2,636,830	4,601,712	5,865,361	5,865,361	5,865,361
Revenues	11,509,539	13,010,972	14,035,705	14,818,397	15,100,000	15,100,000	15,100,000
Expenditures-Pmts to OHA & Others	11,509,317	12,683,923	12,000,921	13,539,173	15,100,000	15,100,000	15,100,000
Expenditures - Payments to Refund Tenant Security Deposits Primarily KMR Tenants	884,897	317,425	69,902	15,575	-	-	-
Transfers							
List each by JV# and date							
Net Total Transfers							
Ending Cash Balance	2,627,206	2,636,830	4,601,712	5,865,361	5,865,361	5,865,361	5,865,361
Encumbrances	2,540	2,000	2,000	2,000	2,000	2,000	2,000
Unencumbered Cash Balance	2,624,666	2,634,830	4,599,712	5,863,361	5,863,361	5,863,361	5,863,361
Additional Information:							
Amount Req. for Bond Conveyance							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Revenue Bonds
 Legal Authority: Various (Latest: ACT 122 SLH 2014)

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Revenue Bond Funds (E)
 Appropriation Acct. No. B-00-529, B-04-500 etc

Intended Purpose:

Source of Revenues:

Issuance of Highway Revenue Bonds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	136,721,000	155,955,000	89,538,000	75,344,000	87,300,000	168,860,000	64,522,000
Beginning Cash Balance	27,180,265	4,150,304	85,377,254	47,276,159	135,007,271	101,958,103	188,578,443
Revenues	0	120,683,617	0	120,503,062		120,000,000	
Expenditures	23,779,961	37,456,667	38,101,095	32,721,949	33,049,168	33,379,660	33,713,457
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	750,000	(2,000,000)	0	(50,000)			
Net Total Transfers	750,000	(2,000,000)	0	(50,000)	0	0	0
Ending Cash Balance	4,150,304	85,377,254	47,276,159	135,007,271	101,958,103	188,578,443	154,864,987
Encumbrances	149,507,206	104,271,678	139,113,962	87,586,559	88,462,425	89,347,049	90,240,519
Unencumbered Cash Balance	(145,356,902)	(18,894,424)	(91,837,803)	47,420,713	13,495,678	99,231,394	64,624,467

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-597
 Name of Fund: Federal Operating Allotment
 Legal Authority: FHS Act PL 89-564, 1966/HRS 286-2

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Other Federal Funds
 Appropriation Acct. No. S-xx-215, 203, 204, 205, 206, 225 etc

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Federal Reimbursement - Federal Clearing Accounts for O&M and county pass thru funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,006,585	22,533,524	19,709,737	21,751,705	19,770,424	10,581,401	10,581,401
Beginning Cash Balance	5,760,843	15,568,634	17,715,551	18,776,368	19,873,344	48,496,034	77,404,952
Revenues	69,518,050	38,887,561	38,026,235	33,311,777	33,644,895	33,981,344	34,321,157
Expenditures	5,208,412	4,256,780	4,847,273	4,972,479	5,022,204	5,072,426	5,123,150
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(54,501,847)	(32,483,864)	(32,118,145)	(27,242,323)			
Net Total Transfers	(54,501,847)	(32,483,864)	(32,118,145)	(27,242,323)	0	0	0
Ending Cash Balance	15,568,634	17,715,551	18,776,368	19,873,344	48,496,034	77,404,952	106,602,960
Encumbrances	4,405,158	5,848,044	4,862,187	4,810,241	4,858,343	4,906,926	4,955,995
Unencumbered Cash Balance	11,163,476	11,867,507	13,914,181	15,063,103	43,637,691	72,498,026	101,646,964

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: State CIP
 Legal Authority: Various (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Special Funds (including county and private)
 Appropriation Acct. No. Various Appropriations

Intended Purpose:

Source of Revenues:

Special Funds

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,669,000	26,200,000	17,266,000	53,000,000	16,000,000	16,000,000	16,000,000
Beginning Cash Balance	3,405,714	3,390,004	3,816,898	1,918,020	2,565,809	(11,556,743)	(25,820,521)
Revenues	0	0	0	0	0	0	0
Expenditures	12,467,473	9,646,454	9,626,644	13,982,725	14,122,552	14,263,778	14,406,416
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	12,451,763	10,073,348	7,727,766	14,630,514			
Net Total Transfers	12,451,763	10,073,348	7,727,766	14,630,514	0	0	0
Ending Cash Balance	3,390,004	3,816,898	1,918,020	2,565,809	(11,556,743)	(25,820,521)	(40,226,937)
Encumbrances	17,290,458	13,313,801	15,209,497	12,408,763	12,532,851	12,658,180	12,784,762
Unencumbered Cash Balance	(13,900,454)	(9,496,903)	(13,291,477)	(9,842,954)	(24,089,594)	(38,478,700)	(53,011,698)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

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Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Federal CIP
 Legal Authority: Title 23 U.S.C. As Amended (Latest: ACT 122, SLH 2014)

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF): Other Federal Funds (N.V.)
 Appropriation Acct. No. S-85-221, S-93-237, S-94-240 ott

Intended Purpose:

Source of Revenues:

Federal Reimbursements

Current Program Activities/Allowable Expenses:

Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	89,145,000	358,326,000	97,652,000	166,855,000	261,400,000	455,794,000	163,136,000
Beginning Cash Balance	96,573,693	83,740,576	28,225,459	33,161,185	41,821,337	14,728,234	(12,635,799)
Revenues	97,289,948	139,976,559	113,006,078	80,788,654	81,596,541	82,412,506	83,236,631
Expenditures	148,772,475	195,936,728	148,343,135	107,613,508	108,689,643	109,776,539	110,874,304
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	38,649,410	45,109,339	40,272,782	35,485,006			
Net Total Transfers	38,649,410	45,109,339	40,272,782	35,485,006	0	0	0
Ending Cash Balance	83,740,576	28,225,459	33,161,185	41,821,337	14,728,234	(12,635,799)	(40,273,472)
Encumbrances	602,350,709	527,907,140	150,219,591	324,154,009	327,395,549	330,669,504	333,976,199
Unencumbered Cash Balance	(518,610,133)	(499,681,681)	(117,058,406)	(282,332,673)	(312,667,315)	(343,305,303)	(374,249,671)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

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Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Revolving & OHA
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Revolving Funds
 Appropriation Acct. No. S-xx-322, 332, etc

Intended Purpose:

Source of Revenues:

Transfer in from other funds

Current Program Activities/Allowable Expenses:

Payroll and administration activities. Clearing accounts for payroll, fringes and construction and admin programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	39,718,677	40,509,241	44,228,956	21,568,680	43,895,882	36,479,656	28,989,268
Revenues	48,267,158	53,772,208	33,602,071	71,823,237	72,541,469	73,266,884	73,999,553
Expenditures	58,596,595	60,992,493	70,967,348	79,166,035	79,957,695	80,757,272	81,564,845
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	11,120,000	10,940,000	14,705,000	29,670,000			
Net Total Transfers	11,120,000	10,940,000	14,705,000	29,670,000	0	0	0
Ending Cash Balance	40,509,241	44,228,956	21,568,680	43,895,882	36,479,656	28,989,268	21,423,976
Encumbrances	43,425	36,357	65,430	94,448	95,392	96,346	97,309
Unencumbered Cash Balance	40,465,816	44,192,600	21,503,250	43,801,434	36,384,264	28,892,922	21,326,667

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-597
 Name of Fund: State Operating Allotment
 Legal Authority: HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH B1999

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Special Funds
 Appropriation Acct. No. S-xx-321, 010, 020, 026, 029, 032, 035, 036,

Intended Purpose:

Source of Revenues:

Fuel Taxes, Vehicle Registration Fee, Motor Vehicle Weight, Rental Motor Vehicle Surcharge Tax and other income

Current Program Activities/Allowable Expenses:

Roadway - Design, ROW, Construction and Planning Programs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	274,336,981	285,782,442	263,068,584	307,427,392	309,145,882	284,923,954	286,818,566
Beginning Cash Balance	109,073,034	110,916,164	147,881,763	171,366,281	155,457,094	475,958,291	799,664,500
Revenues	257,788,813	299,027,588	280,196,636	317,327,918	320,501,197	323,706,209	326,943,271
Expenditures	238,828,328	223,204,823	220,333,222	274,350,463			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	(17,117,355)	(38,857,166)	(36,378,896)	(58,886,642)			
Net Total Transfers	(17,117,355)	(38,857,166)	(36,378,896)	(58,886,642)	0	0	0
Ending Cash Balance	110,916,164	147,881,763	171,366,281	155,457,094	475,958,291	799,664,500	1,126,607,771
Encumbrances	120,132,079	140,226,894	147,091,268	129,882,401	131,181,225	132,493,037	133,817,967
Unencumbered Cash Balance	(9,215,915)	7,654,869	24,275,012	25,574,693	344,777,066	667,171,463	992,789,804

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

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Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-595
 Name of Fund: Operating Trust
 Legal Authority: Non Lapsing

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Trust Funds
 Appropriation Acct. No. T-15-921, 931, T-15-923 etc

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	0	0	0	0	0	0	0
Beginning Cash Balance	22,735,958	17,324,153	15,157,231	13,099,038	10,663,237	8,203,078	5,718,317
Revenues	743,374	209,838	1,185,208	133,027	134,357	135,701	137,058
Expenditures	6,155,179	2,376,760	3,243,401	2,568,828	2,594,516	2,620,461	2,646,666
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	0	0	0	0			
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	17,324,153	15,157,231	13,099,038	10,663,237	8,203,078	5,718,317	3,208,710
Encumbrances	10,145,446	8,286,612	9,294,217	7,052,284	7,122,807	7,194,035	7,265,975
Unencumbered Cash Balance	7,178,707	6,870,619	3,804,821	3,610,953	1,080,271	(1,475,718)	(4,057,266)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: Transportation - Highways
 Prog ID(s): TRN 501-597
 Name of Fund: Safe Routes to School Program
 Legal Authority: Section 291C-4, HRS

Contact Name: Alma Calucag
 Phone: 587-2256
 Fund type (MOF) Special Funds
 Appropriation Acct. No. S-xx-318

Intended Purpose:

Source of Revenues:

Cash Receipts

Current Program Activities/Allowable Expenses:

Trust and Agency Funds

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,647,065	1,465,500	1,465,500	631,500	636,679	606,679	606,679
Beginning Cash Balance	417,666	844,379	837,084	986,655	765,310	541,751	315,957
Revenues	629,165	707,370	733,578	646,723	653,190	659,722	666,319
Expenditures	204,480	712,637	589,007	868,068	876,749	885,516	894,371
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
	2,029	(2,029)	5,000	0			
Net Total Transfers	2,029	(2,029)	5,000	0	0	0	0
Ending Cash Balance	844,379	837,084	986,655	765,310	541,751	315,957	87,905
Encumbrances	0	43,232	582,635	291,318	294,231	297,173	300,145
Unencumbered Cash Balance	844,379	793,852	404,019	473,992	247,520	18,783	(212,240)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department of Transportation Highways Division
Non-General Funds (excluding Federal Funds)

Table 8

<u>Name of Fund</u>	<u>Unencumbered Cash Balance</u>	<u>MOF</u>	<u>Statutory Reference</u>
Revenue Bonds	(91,837,802.63)	Issuance of Highway Revenue Bonds	ACT 252 SLH 1994 ACT 287 SLH 1996 ACT 116 SLH 1998 ACT 259 SLH 2001
Federal Operating Allotment	13,914,180.97	Federal Reimbursements	FHS Act PL 89-564, 1966/HRS 286-2
State CIP	(13,291,476.80)	Special Funds	A200/SL 03, A178/SL 05, A200/SL 03
Federal CIP	(117,058,406.18)	Federal Reimbursements	Title 23 U.S.C. As Amended
Revolving & OHA	21,503,249.73	Transfer in from other funds.	Non Lapsing
State Operating Allotment	24,275,012.02	Fuel Taxes, Vehicle Registration Fee, M	HRS 243-4 HRS 249-31 HRS 249-33 ACT 223 SLH 1999
Operating Trust	3,804,820.66	Cash Receipts	Non Lapsing
Safe Route to School Program	404,019.46	Speeding And Traffic Violation Fines	Section 291C-4, HRS

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Federal Work Study Program
 Legal Authority: 304A-2003, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

Through the Federal Work Study (FWS) Program, undergraduate and graduate students work part-time to help pay for the cost of their post-secondary education.

Source of Revenues:

U.S. Department of Education

Current Program Activities/Allowable Expenses:

The FWS program provides assistance to approximately 400 students in on-campus and off-campus employment. Some employment positions are community service based.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193
Expenditures	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193	1,051,193
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Federal Grants-In-Aid For Natural Resources - Experiment Stations
 Legal Authority: Hatch Act (P.L. 84-352) - Multi State

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

Regional research fund to support cooperative regional research by State Agricultural Experiment Stations (SAES) by stimulating and facilitating inter-state cooperation on research of a regional and national character both among SAES and within the U.S. Department of Agriculture (USDA).

Source of Revenues:

**Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	464,270	524,650					
Beginning Cash Balance ***	(82,889)	(17,270)	(16,741)	(41,663)	(144,628)	(144,628)	(144,628)
Revenues	571,694	549,377	483,043	433,167	500,000	500,000	500,000
Expenditures	506,075	548,848	507,965	536,132	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(17,270)	(16,741)	(41,663)	(144,628)	(144,628)	(144,628)	(144,628)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(17,270)	(16,741)	(41,663)	(144,628)	(144,628)	(144,628)	(144,628)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority Hatch Act, As Amended (P.L. 84-352)

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To promote the efficient production, marketing, distribution, and utilization of farm products to assure a position in research equal to that of industry.

Source of Revenues:

**Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY. and is subject to change.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	1,021,964	1,128,911					
Beginning Cash Balance ***	(161,875)	(122,234)	(11,887)	(21,650)	(352,815)	(352,815)	(352,815)
Revenues	1,025,683	1,364,616	1,141,569	914,241	1,150,000	1,150,000	1,150,000
Expenditures	986,042	1,254,270	1,151,332	1,245,406	1,150,000	1,150,000	1,150,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(122,234)	(11,887)	(21,650)	(352,815)	(352,815)	(352,815)	(352,815)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(122,234)	(11,887)	(21,650)	(352,815)	(352,815)	(352,815)	(352,815)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority: McIntire-Stennis Act (P.L. 87-788)

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To promote research in Forestry in Land Grant Colleges and Agricultural Experiment Stations

Source of Revenues:

**Funds are appropriated by USDA per the Formula Fund Grant process. Appropriation ceiling is the award funding amount for each FY.
 and is subject to change.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	226,585	251,000					
Beginning Cash Balance ***	(28,079)	(13,331)	(2,628)	(643)	(13,680)	(13,680)	(13,680)
Revenues	245,155	272,736	267,027	284,614	275,000	275,000	275,000
Expenditures	230,407	262,033	265,042	297,651	275,000	275,000	275,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(13,331)	(2,628)	(643)	(13,680)	(13,680)	(13,680)	(13,680)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(13,331)	(2,628)	(643)	(13,680)	(13,680)	(13,680)	(13,680)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Experiment Stations
 Legal Authority P.L. 95-113 Section 1433

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To conduct research on animal health and disease.

Source of Revenues:

**Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	1,358	2,363					
Beginning Cash Balance ***		0	0	0	0	0	0
Revenues	1,358	2,363	10,147	11,334	12,000	12,000	12,000
Expenditures	1,358	2,363	10,147	11,334	12,000	12,000	12,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Agricultural Extension Service
 Legal Authority Smith-Lever Act, As Amended (7 U.S.C)

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To diffuse among the people of the United States useful and practical information on subjects relating to agriculture and home economics, and to encourage the application of the same.

Source of Revenues:

**Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses include payroll and non-payroll expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to (1) federal fiscal year does not coincide with state fiscal year, therefore, there is an overlap of 2 federal fiscal years per state fiscal year and (2) due to congressional budget problems, funding allocation received late. The delay in allocation subsequently postponed project awards and resulted in increased spending toward end of state fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	1,228,310	1,378,329					
Beginning Cash Balance ***	(379,273)	(366,982)	(12,563)	(212,276)	(522,581)	(522,581)	(522,581)
Revenues	1,547,032	1,576,864	1,218,925	1,364,748	1,385,000	1,385,000	1,385,000
Expenditures	1,534,741	1,222,445	1,418,638	1,675,053	1,385,000	1,385,000	1,385,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(366,982)	(12,563)	(212,276)	(522,581)	(522,581)	(522,581)	(522,581)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(366,982)	(12,563)	(212,276)	(522,581)	(522,581)	(522,581)	(522,581)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: University of Hawaii
 Prog ID(s): UOH 100-UH-Manoa
Federal Grants-In-Aid For Natural Resources -
 Name of Fund: Agricultural Extension Service
 Legal Authority: Civil Service Retirement Act (8/21/20)

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) N
 Appropriation Acct. No. S 202 F

Intended Purpose:

To fund the employer share of federal civil service retirement contributions.

Source of Revenues:

**Funds are appropriated from US Department of Agriculture Appropriations and are subject to change each FY.

Current Program Activities/Allowable Expenses:

Activities are as indicated in the intended purpose above. Allowable expenses in accordance with the USDA guidelines and policies.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Difference in expenditures due to reduction in liability for FY 2015. Payments are based on number of retirees and amounts to be paid out vary for each FY.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling **	5,012	4,027					
Beginning Cash Balance ***	0	(1,112)	0	0	0	0	0
Revenues	6,642	7,986	3,900	0	0	0	0
Expenditures	7,754	6,874	3,900	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(1,112)	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	(1,112)	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

***Beginning cash balance represents the carryover funding from prior fiscal years as allowed by USDA. This balance represents the amount available for expending in a specific fiscal year in addition to the annual allocation (Appropriation Ceiling) and does not necessarily represent actual cash on hand.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: State Higher Education Loan Fund
 Legal Authority: 304A-2160, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-350-F

Intended Purpose:

Per statute, all interest and payments received on account of principal shall be credited to this fund. Loans shall be disbursed to needy students that meet eligibility requirements.

Source of Revenues:

Repayment of loans from borrowers and interest earned.

Current Program Activities/Allowable Expenses:

Awarding and issuance of loans to eligible students with amount of loan being determined based on need for financial aid and academic promise.

Purpose of Proposed Ceiling Adjustment (if applicable):

General Accounting and Loan Collection (GALC) provides Financial Aid Services an allocation to award the State Higher Education loan to students during each academic year.

Variances:

FY 2017 decrease in SHEL loans issued due to increase in Perkins loans issued.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	550,000	550,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	434,676	592,439	634,701	648,699	1,259,421	1,259,421	1,259,421
Revenues	631,565	665,637	680,159	668,948	650,000	650,000	650,000
Expenditures	473,802	623,375	666,160	379,878	650,000	650,000	650,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	321,651	0	0	0
Ending Cash Balance	592,439	634,701	648,699	1,259,421	1,259,421	1,259,421	1,259,421
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	592,439	634,701	648,699	1,259,421	1,259,421	1,259,421	1,259,421

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Commercial Enterprises RF (Architecture -
 Name of Fund: HIDESIGN Community Design Center)
 Legal Authority 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

All revenues are received from the State of Hawaii based on MOU's with the different departments e.g. Dept. of Land and Natural Resources, Dept. of Public Safety, Office of State Planning and the University of Hawaii. MOU's are sent to the University of Hawaii's General Counsel for final review before execution.

Current Program Activities/Allowable Expenses:

Projects for Dept of Land & Natural Resources, Dept of Public Safety, Office of State Planning, and the University of Hawai'i based on MOUs

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	36,718	36,718	36,718
Revenues				60,500	800,000	960,000	400,000
Expenditures				23,782	800,000	960,000	400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	36,718	36,718	36,718	36,718
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	36,718	36,718	36,718	36,718

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (Athletics)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

For the H Zone stores and online operations. The H Zone stores opened on July 1, 2014 under the Mānoa Athletics Department. An agreement was made effective July 1, 2017, to return operations of the H-Zone stores to the Manoa Bookstore. The Ward store was closed in May 2017.

Source of Revenues:

Revenues are from the sales of merchandise (Hawai'i Athletics apparel and accessories).

Current Program Activities/Allowable Expenses:

The current program activities/allowable expenses are the H Zone stores and online operations. Expenses include the cost of the merchandise sold, rent and associated expenses paid for the Ward Center store, personnel expenses, and other expenses needed to run a retail and online retail operation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The H Zone stores opened on July 1, 2014. Expenses incurred in FY 2014 included personnel expenses to set up the store, the purchase of the point of sale system and other supplies needed to set up a retail operation. FY 2015 was the first full year of operations causing increased revenue and expenses. Expenses were especially high in 2015 due to the purchasing of inventory to have in stores. Revenues increased in FY 2016 due to the men's and women's basketball teams good performance and selection to the NCAA tournament. Expenses decreased in FY 2017 due to two full time H-Zone employees resigning and not filling their positions. Also, due to the closing of the Ward store in May 2017 and the transfer of operations back to the Manoa Bookstore, less inventory was purchased in FY 2017 than in prior years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000						
Beginning Cash Balance	0	(109,967)	(373,034)	(441,674)	(88,106)	(56,106)	(6,106)
Revenues	(4,015)	1,057,475	1,168,770	1,173,925	50,000	50,000	50,000
Expenditures	105,952	1,320,543	1,237,410	820,356	18,000	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	(109,967)	(373,034)	(441,674)	(88,106)	(56,106)	(6,106)	43,894
Encumbrances	364,540	69,425	38,125	2,278	0	0	0
Unencumbered Cash Balance	(474,506)	(442,459)	(479,799)	(90,383)	(56,106)	(6,106)	43,894

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (Auxiliary Services)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

To account for revenues and expenses generated from conferences coordinated through Conference Center on behalf of its sponsors and H-Zone stores, previously under the Manoa Athletics Dept. from July 1, 2014 - June 30, 2017.

Source of Revenues:

Revenues are generated through sponsor contributions and exhibitor fees for Conference Center operations.

Revenues are generated from the sales of merchandise for H-Zone operations.

Current Program Activities/Allowable Expenses:

The Conference Center provides services in organizing conferences for a variety of sponsors. Services include coordination of registration and management of revenues and expenses for conferences and workshops.

Allowable expenses include payroll, daily operating expenses, renovating and leasing commercial space, meeting room rental, food and beverages, non-personal services, and conference related supplies.

The H-Zone provides emblematic apparel to the general public, supporting UH athletics. Expenses include the cost of merchandise sold, personnel expenses, and other fees related to in-store and online retail operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in Revenues and Expenditures in FY15 is due to the closure of all Rainbowtique locations at the end of FY2014.

Decrease in Revenues and Expenditures in FY15 to FY17 is due to budget constraints campus wide as well as other government agencies, resulting in lower demand for Conference Services. FY15-FY17 also does not include H-Zone, formerly known as Rainbowtique, operations.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000	6,000,000
Beginning Cash Balance	(960,155)	(1,047,564)	(1,024,902)	(1,226,251)	(1,289,180)	(1,282,533)	(1,275,753)
Revenues	2,423,906	1,020,469	689,468	495,075	962,728	981,983	1,001,622
Expenditures	2,425,194	997,205	874,817	412,238	856,081	873,203	890,667
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3400290					(100,000)	(102,000)	(104,040)
Net Total Transfers	(86,120)	(602)	(16,000)	(145,767)	(100,000)	(102,000)	(104,040)
Ending Cash Balance	(1,047,564)	(1,024,902)	(1,226,251)	(1,289,180)	(1,282,533)	(1,275,753)	(1,268,838)
Encumbrances	279,533	256,598	39,058	(8,884)	50,000	50,000	50,000
Unencumbered Cash Balance	(1,327,096)	(1,281,500)	(1,265,309)	(1,280,296)	(1,332,533)	(1,325,753)	(1,318,838)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (Discoveries & Inventions)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

Manoa revenue derived from patents and licenses royalties arranged through OTTED

Current Program Activities/Allowable Expenses:

To support various activities associated with revenue generating project, other operating costs, program activities and research and development.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and expenditures will fluctuate depending on the level of patent and royalty income earned by the respective projects and related support costs or expenditures that occur with the available of funds.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	81,073	84,100	113,385	104,827	112,659
Revenues			158	0	0	0	0
Expenditures			35,275	30,017	40,390	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	81,073	38,143	59,303	31,832	7,832	7,832
Ending Cash Balance	0	81,073	84,100	113,385	104,827	112,659	120,491
Encumbrances		0	0	0			
Unencumbered Cash Balance	0	81,073	84,100	113,385	104,827	112,659	120,491

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Informationfor Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (Division Food Service)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

The UHM Student Affairs Dining Services program was established with the objective of providing innovative dining services programs to satisfy the nutritional needs of the University of Hawai'i at Mānoa community. The program, which falls under the Office of Student Affairs, is a partnership between Student Housing Services and Student Life and Development. It is managed by Sodexo as a financially self-sustaining operation, meaning the revenue generated at the various residence dining halls, retail operations in the student union, and other student affairs-related facilities goes towards the continual improvement of the program.

Source of Revenues:

Mandatory and voluntary meal plan sales, flash cash dining card sales, and all credit and cash sales recorded at the dining locations.

Current Program Activities/Allowable Expenses:

Monthly expenditure reimbursements according to authorized purchases made through the Sodexo management contract. This includes day to day food and beverage inventory purchasing as well as facility maintenance and supply needs in order to operate.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Transfer in is from Student Activities RF fund of the same name. Commercial Enterprise statute was deemed more appropriate for the fund.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling					8,200,000	8,200,000	8,200,000
Beginning Cash Balance		0	0	0	0	8,613,074	9,863,074
Revenues					10,250,000	10,250,000	10,250,000
Expenditures					9,000,000	9,000,000	9,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	7,363,074	0	0
Ending Cash Balance	0	0	0	0	8,613,074	9,863,074	11,113,074
Encumbrances					900,000	900,000	900,000
Unencumbered Cash Balance	0	0	0	0	7,713,074	8,963,074	10,213,074

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (OSA - Mānoa One Card)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues: Interest income, purchase of guest ID cards & transaction fee rate established at 5% per transaction in accordance with the Memorandum of Agreement with authorized Campus Cash vendors.

Current Program Activities/Allowable Expenses: Monthly reimbursements to authorized Campus Cash vendors in accordance with Memorandum of Agreements and copier/computer lab sales recorded at Hamilton & Sinclair libraries. Also includes monthly credit card merchant fees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	19,255	41,255	66,255
Revenues				7,972	8,200	8,700	9,500
Expenditures				(11,284)	(13,800)	(16,300)	(20,500)
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	19,255	41,255	66,255	96,255
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	19,255	41,255	66,255	96,255

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Commercial Enterprises RF (UH Press)
 Legal Authority: 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Intended purpose are revenues and expenses associated with the acquisition, production, distribution and marketing of books, journals and other media produced by or distributed by the University of Hawaii Press.

Source of Revenues:

The UH Press publishes in permanent form books, journals, recordings, films and electronic communication forms of high merit which add to the sum or foster human wisdom, particularly those which reflect the regional or special interests and responsibilities of the University and other scholarly research organizations in the State of Hawai'i. The Press currently publishes books on Hawai'i, Asia and the Pacific and provides printing services. Revenues to this fund are from sales of publications and production services (printing).

Current Program Activities/Allowable Expenses:

Allowable expenses are fixed and variable expenses associated with the acquisition, production, distribution and marketing of books, journals and other media produced by or distributed by the University of Hawai'i Press.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY14 was down year in revenues. FY15 revenues returned to more normal levels. FY16 revenues down.

For FY14 expenses were up due to several new recruitments in preparation for multiple retirements, investment in electronic book publishing, and investment in increasing book and journal output. In addition for FY15, investment in technology infrastructure was made. All increases in expenses are investments towards future increase in revenue. FY16 expenses reduced slightly despite increase in salaries.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	5,079,839	5,709,839	5,709,839	5,709,839	5,709,839	5,709,839	5,709,839
Beginning Cash Balance	4,127,781	3,721,970	3,063,771	2,413,123	2,015,043	1,815,043	1,815,043
Revenues	4,231,092	4,657,229	4,490,924	4,305,674	4,500,000	4,700,000	4,900,000
Expenditures	4,636,903	5,287,428	5,141,571	4,703,754	4,700,000	4,700,000	4,800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(28,000)	0	0	0	0	0
Ending Cash Balance	3,721,970	3,063,771	2,413,123	2,015,043	1,815,043	1,815,043	1,915,043
Encumbrances	823,023	1,394,052	1,343,490	1,342,272	1,100,000	1,100,000	1,100,000
Unencumbered Cash Balance	2,898,947	1,669,718	1,069,633	672,770	715,043	715,043	815,043

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: Research and Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditure decrease in FY 2017 due to collective conservative actions in anticipation of declining available resources.

Revenues increase in FY 2017 due to overall increase in IDC generated by sponsored projects as compared to FY 2016.

Revenues decrease in FY 2018: \$4.267M, of which \$3.2M includes assessments against Manoa for Risk Mgt Fund, and cash deficit recovery at VPRI level.

Transfers:

Transfers out in FY 2017 include \$1,924,775 for debt service.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341	33,317,341
Beginning Cash Balance	23,350,727	20,531,207	19,135,420	19,076,332	23,288,067	23,059,720	25,200,507
Revenues	35,546,189	33,134,035	30,889,197	32,313,813	28,047,148	30,547,148	30,699,883
Expenditures	36,584,022	32,166,296	28,401,958	26,177,302	26,308,189	26,439,730	26,571,929
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Biomed Bond Payment					(1,541,284)	(1,540,555)	(1,541,282)
Sinclair Bond Payment					(426,022)	(426,076)	(425,937)
Net Total Transfers	(1,723,210)	(2,363,526)	(2,546,328)	(1,924,775)	(1,967,306)	(1,966,631)	(1,967,219)
Ending Cash Balance	20,589,684	19,135,420	19,076,332	23,288,067	23,059,720	25,200,507	27,361,242
Encumbrances	2,337,274	2,284,137	1,561,220	3,337,213	0	0	0
Unencumbered Cash Balance	18,252,410	16,851,283	17,515,112	19,950,854	23,059,720	25,200,507	27,361,242

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Student Health Center RF
 Legal Authority: 304A-2255, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, this fund was established to pay the cost of operations of the Student Health Center services and shall be replenished through charges made for medical services and other related goods and services, or through transfers from other accounts or funds.

Allows program to provide certain essential medical services, supplies, drugs and lab services as required in medical treatment and the ability to assess certain costs for reimbursement.

Source of Revenues:

Fees for services, sales of medical supplies/prescriptions, mandatory student fees, insurance reimbursements.

Current Program Activities/Allowable Expenses:

Provide primary medical care to university community and promote good health practices.

Allowable expenses are costs incurred to provide these services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY2014, Phase I of renovation to facility initiated thus incurring design/architectural costs. Also, personnel costs and pharmaceuticals needs increased.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
Beginning Cash Balance	2,811,966	3,621,079	4,699,528	5,911,499	7,232,494	7,876,294	6,055,509
Revenues	4,224,477	4,617,376	4,696,454	5,098,880	5,500,000	5,665,000	5,834,950
Expenditures	3,415,364	3,538,926	3,484,484	3,777,885	4,856,200	7,485,785	6,570,244
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3,621,079	4,699,528	5,911,499	7,232,494	7,876,294	6,055,509	5,320,215
Encumbrances	86,789	72,647	75,064	24,045	4,117,800	1,552,325	50,000
Unencumbered Cash Balance	3,534,290	4,626,882	5,836,435	7,208,449	3,758,494	4,503,184	5,270,215

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Transcript and Diploma RF
 Legal Authority: 304A-2256, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Means to defray or recover costs for the preparation, ordering and issuance of transcripts and diplomas and their covers upon requests from students.

Source of Revenues:

Transcript fees, diploma fees, interest earned.

Current Program Activities/Allowable Expenses:

Issues transcripts of academic records and issues diplomas and certificates to graduates.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY17 purchased replacement computers and increased order for diploma covers.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	425,000	425,000	425,000	425,000	425,000	425,000	425,000
Beginning Cash Balance	390,936	313,320	292,967	326,140	280,689	230,689	170,689
Revenues	364,405	357,867	363,377	334,468	350,000	350,000	350,000
Expenditures	442,021	378,220	330,205	379,918	400,000	410,000	425,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	313,320	292,967	326,140	280,689	230,689	170,689	95,689
Encumbrances	14,334	12,927	6,712	168	0	0	0
Unencumbered Cash Balance	298,986	280,040	319,428	280,521	230,689	170,689	95,689

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Associated Students of the University of Hawaii)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

ASUH is the University Board of Regents chartered organization responsible for undergraduate student governance on the Mānoa campus. ASUH's purpose as articulated in its constitution, is to "(1) create a body responsive to the needs and desires of its constituents; (2) provide for administrative continuity, and (3) develop a responsible as well as critical awareness of prevalent attitudes and actions through participation in co-curricular activities...". Because the nature of this organization is one of student self-governance and decision-making, there is a great potential for annual shifts and changes to the group's subprograms and activities.

Source of Revenues:

Mandatory student fees, interest income, stock dividends.

Current Program Activities/Allowable Expenses:

ASUH Travel Fund, grant funding for Registered Independent Organization of the UH, sponsoring of one-day events, speakers, programs and advocacy of student interests with UH administrators, BOR representatives and legislators.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, funding grants, travel, stipends, advertising, food and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2014, ASUH requested \$200,000 from the Stadium Endowment fund vs. \$275,000 in FY 2015 and received less in student fees from prior FY.

In FY 2016, ASUH received more student fees than the previous year and also withdrew more from the Stadium Endowment fund.

In FY 2017, ASUH requested \$250,000 from the Stadium Endowment fund vs. \$325,000 in FY 2016.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	436,187	436,187	436,187	436,187	436,187	436,187	436,187
Beginning Cash Balance	107,901	26,107	17,895	71,548	37,022	82,022	102,022
Revenues	311,645	383,754	431,277	352,789	425,000	400,000	400,000
Expenditures	390,438	388,967	372,624	384,316	377,000	377,000	377,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(3,000)	(3,000)	(5,000)	(3,000)	(3,000)	(3,000)	(3,000)
Ending Cash Balance	26,107	17,895	71,548	37,022	82,022	102,022	122,022
Encumbrances	0	426	12,633	27,366	28,177	20,000	20,000
Unencumbered Cash Balance	26,107	17,468	58,916	9,656	53,845	82,022	102,022

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Broadcast Communication Authority)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Broadcast Communication Authority is the chartered student organization whose purposes are to (1) govern and operate broadcast facilities for the education and entertainment of the University community and the public, and (2) provide an avenue for the training and education of individuals involved in student broadcast programs of the organization.

Source of Revenues:

Mandatory student fees, interest income

Current Program Activities/Allowable Expenses:

KTUH 90.3 FM - the campus radio station operating with a FCC license to provide alternative musical, cultural and educational programming, 24 hours per day. Student Video Filmmakers Association - SVFA provides students with technical and management training in video and film production. SVFA produces a monthly one-half hour program, UH Magazine, on public access television.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, advertising, travel, stipends, food and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Given the evolution of media technology, the BCA has plans to enhance student involvement and activities, thereby increasing operational expenses. Increased program activity is occurring for the video production service known as UH Productions. This requires more expensive ongoing equipment expenses.

Variances:

In FY 2016, student assistants costs decreased and UHP paid out fewer stipends compared with FY 2015. UHP also did not collect as much for production of advertising videos as in the previous year.

In FY 2017, costs decreased for advertising, supplies and postage from prior FY and KTUH spent \$14,000 less for stipends.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	220,000	220,000	220,000	220,000	220,000	220,000	220,000
Beginning Cash Balance	222,157	163,900	122,043	90,316	90,241	84,441	84,441
Revenues	117,482	125,270	111,727	112,991	109,200	110,000	110,000
Expenditures	175,739	167,127	143,454	113,066	115,000	110,000	110,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	163,900	122,043	90,316	90,241	84,441	84,441	84,441
Encumbrances	642	50	0	0	0	0	0
Unencumbered Cash Balance	163,258	121,993	90,316	90,241	84,441	84,441	84,441

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Board of Publications)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Board of Publications is responsible for all student publications supported by funds derived from student activity fees. The board strives to provide the best journalistic services possible while providing an educational environment for students through on-the-job training in the publications field.

Source of Revenues:

Mandatory student fees, interest income, advertising revenue, subscriptions, printing revenue.

Current Program Activities/Allowable Expenses:

Ka Leo O Hawai'i - daily student newspaper; Hawai'i Review - UH Mānoa literary journal; Student Planner - annual planner/handbook; Beau Press - printing facility dedicated to BOP publications and UH system printing jobs.

Allowable expenses include personnel costs, general operating expenses, repairs & maintenance costs, equipment, printing expenses, stipends, travel, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2016, revenue generating display advertising contracts expired and were not renewed awaiting the roll out of a new mobile app and web redesign. Staff vacancies, decrease in stipends and lower printing costs attributed to the decline in expenditures between FY 2015 and FY 2016.

In FY 2017, although the mobile app was developed to increase revenue, Ka Leo's printing schedule dropped from once a week to twice a month and could not generate the same amount of revenue for BOP as in the past FY.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300	1,003,300
Beginning Cash Balance	535,367	444,010	314,793	297,544	209,471	193,471	183,471
Revenues	755,159	695,710	603,340	513,919	492,000	490,000	490,000
Expenditures	846,515	824,928	620,589	601,993	508,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	444,010	314,793	297,544	209,471	193,471	183,471	173,471
Encumbrances	79,196	45,356	90,113	48,007	45,007	40,000	40,000
Unencumbered Cash Balance	364,815	269,438	207,431	161,464	148,464	143,471	133,471

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Campus Center Board)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Campus Center Board is responsible for setting policy regarding the operations of the Campus Center complex, provides directional input into the planning of the Center's Master Plan, and provides a learning experience to its members by interfacing with a diverse group of people. The Campus Center Activities Council presents co-curricular programs for the University community under four major committees: Culture, Educational Support, Personal Wellness and Recreation.

Source of Revenues:

Mandatory student fees, interest income

Current Program Activities/Allowable Expenses:

The CC Activities Council sponsors programs for the University community. Programs vary depending upon the student programmers. Some programs include speakers, musical performers, Homecoming Scholar Award, and the All Nighter events each semester.

Allowable expenses include personnel costs, general operating expenses, repair and maintenance costs, equipment, stipends, advertising, travel, food and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Due to the opening of the new Recreation Center and the implementation of the One Card program, increase in funding is necessary.

Variances:

In FY 2015, the number of events hosted by the Campus Center Board decreased and they did not sponsor the Aloha Bash concert that was featured in FY 2014 and prior years. The increase in expenditures from the prior fiscal year was attributed to sponsoring of the Aloha bash concert and the programming committees organizing and hosting more events in FY 2016.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000	1,029,000
Beginning Cash Balance	982,813	614,844	709,612	611,162	461,245	440,934	425,934
Revenues	558,423	540,001	560,198	524,791	505,919	505,000	505,000
Expenditures	908,962	439,545	658,649	674,708	526,230	520,000	520,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(17,430)	(5,688)	0	0	0	0	0
Ending Cash Balance	614,844	709,612	611,162	461,245	440,934	425,934	410,934
Encumbrances	12,331	9,689	33,678	1,981	2,000	2,000	2,000
Unencumbered Cash Balance	602,513	699,924	577,485	459,264	438,934	423,934	408,934

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Co-Curricular Activities)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

This major appropriation consists of a number of miscellaneous program accounts established for different student activity programs as authorized by HRS 304A-2257. These accounts serve a myriad of student needs including orientation and transition programs, leadership education for students and student groups, registered independent clubs and organizations, student leadership training and development system-wide, student transportation services, and program equipment reserve and replacement.

Source of Revenues:

Mandatory student fees, interest income, program registration fees

Current Program Activities/Allowable Expenses:

Personnel costs, general operating expenses, repairs and maintenance costs, equipment, printing expenses, stipends, travel, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2015, revenue increase is attributable to increase in the number of students registering to attend New Student Orientation.

Also, added One Card program account. In FY 2015, a payment of \$42,539.86 was inadvertently charged to the One Card program but not corrected until FY16. Also, personnel, advertising & rental cost decreased for New Student Orientation in FY 2016.

In FY 2017, revenue for the One Card Program is reported separately and the number of students enrolled in New Student Orientation also decreased.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	627,146	535,826	482,131	483,294	451,450	425,680	399,910
Revenues	222,077	266,210	240,090	192,371	190,000	200,000	200,000
Expenditures	317,626	324,136	243,156	228,445	220,000	230,000	230,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	4,230	4,230	4,230	4,230	4,230	4,230	4,230
Ending Cash Balance	535,826	482,131	483,294	451,450	425,680	399,910	374,140
Encumbrances	95,513	161,502	27,140	13,699	15,000	10,000	10,000
Unencumbered Cash Balance	440,313	320,629	456,154	437,750	410,680	389,910	364,140

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Division Food Service)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The UHM Student Affairs Dining Services program was established with the objective of providing innovative dining services programs to satisfy the nutritional needs of the University of Hawai'i at Mānoa community. The program, which falls under the Office of Student Affairs, is a partnership between Student Housing Services and Student Life and Development. It is managed by Sodexo as a financially self-sustaining operation, meaning the revenue generated at the various residence dining halls, retail operations in the student union, and other student affairs-related facilities goes towards the continual improvement of the program.

Source of Revenues:

Mandatory and voluntary meal plan sales, flash cash dining card sales, and all credit and cash sales recorded at the dining locations.

Current Program Activities/Allowable Expenses:

Monthly expenditure reimbursements according to authorized purchases made through the Sodexo management contract. This includes day to day food and beverage inventory purchasing as well as facility maintenance and supply needs in order to operate.

Purpose of Proposed Ceiling Adjustment (if applicable):

With the task of managing a food service contract, an increase in the expenditure ceiling is a necessity to provide optimum goods and services.

Variances:

In FY 2015, revenue decreased from prior year due to the drop in sales for mandatory, voluntary and other meal plans. The expenditure variance was attributed to additional distributions to Campus Center & Student Housing programs in FY 2014. No distribution was made in FY 2015.

In FY 2016, only ten payments were processed to Sodexo compared to twelve for FY 2015.

In FY 2017, twelve payments were processed to Sodexo compared to ten payments in FY 2016.

Transfer out is to the Commercial Enterprise RF fund of the same name. Commercial Enterprise statute was deemed more appropriate for the fund.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		8,200,000	8,200,000	8,200,000			
Beginning Cash Balance	3,288,209	4,432,922	5,316,007	7,372,094	7,363,074	0	0
Revenues	11,517,116	10,155,962	10,126,210	10,226,776			
Expenditures	10,332,403	9,272,877	8,070,123	10,235,795			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(40,000)	0	0	0	(7,363,074)	0	0
Ending Cash Balance	4,432,922	5,316,007	7,372,094	7,363,074	0	0	0
Encumbrances	553,922	50	1,695,261	906,451	0	0	0
Unencumbered Cash Balance	3,879,000	5,315,957	5,676,833	6,456,623	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Graduate Student Organization)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

GSO is the University Board of Regents chartered organization responsible for graduate student governance on the Mānoa campus. GSO's purpose, as articulated in its constitution, is "(a) to provide representative input on policies affecting UHM graduate students in general or any subgroups thereof; (b) to provide an advisory body for the Dean of the Graduate Division of the University of Hawai'i System; (c) to provide an organization from which graduate student representatives can be selected for recommendation to serve upon campus-wide committees; and (d) to initiate and maintain co-curricular programs that are relevant to UH graduate students". This appropriation also consists of the student affairs division food service.

Source of Revenues:

Mandatory student fees, interest income, food service meal plan and declining balance sales

Current Program Activities/Allowable Expenses:

The Graduate Student Organization sponsors a Grants & Awards Program that supports graduate student research activities, participates in the orientation of new graduate students, offers social and other networking opportunities, and provides funding for advertising, travel, stipends, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

The Graduate Student Organization has increased the allocation for their grants and awards program which is approx. two-thirds of their annual expenditures. As such, their expenditure ceiling needs to be increased.

Variances:

In FY 2015, the amount for stipended positions were reduced by 10% from FY 2014 and not all positions were filled throughout the year.

Also, requests for grants and awards decreased in FY 2015 compared to FY 2014.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	179,300	179,300	179,300	179,300	179,300	179,300	179,300
Beginning Cash Balance	31,996	45,600	67,280	86,479	92,289	94,089	96,089
Revenues	118,054	112,441	106,629	101,633	98,200	98,000	98,000
Expenditures	104,450	90,761	87,430	95,823	96,400	96,000	96,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	45,600	67,280	86,479	92,289	94,089	96,089	98,089
Encumbrances	0	0	450	925	0	0	0
Unencumbered Cash Balance	45,600	67,280	86,029	91,364	94,089	96,089	98,089

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Student Athletic Fee)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Student Athletic Fee Committee is responsible for the planning, implementation and funding of events to encourage students to participate in athletic events and improve campus spirit. The committee also allocates funds to the Manoa Maniacs to provide activities related to athletics.

Source of Revenues:

Mandatory student fee of 8% of the Athletic fee from the Athletic Department.

Current Program Activities/Allowable Expenses:

Sponsoring athletic related activities for UHM students. Allowable expenses include transportation costs, advertising, rental fees, stipends, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

In FY 2015, SAFC expended approximately \$32,000 on musical instruments for the UH Band which accounted for the variance between FY 2014 & FY 2015. The difference in expenditures from FY 2015 to FY 2016, is due to the amount of participation from RIOs & Athletics with Manoa Maniacs. Every year the collaboration with groups on campus fluctuates based on their availability to consistently meet & work with students to host events. In FY 2017, the SAFC awarded more funding than in the previous fiscal year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	129,732	110,794	58,735	137,317	216,495	296,495	371,495
Revenues	142,469	138,949	133,213	128,103	115,000	120,000	120,000
Expenditures	147,965	176,987	28,381	48,925	35,000	45,000	45,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(13,443)	(14,020)	(26,250)	0	0	0	0
Ending Cash Balance	110,793	58,735	137,317	216,495	296,495	371,495	446,495
Encumbrances	0	1,343	2,100	2,426	2,000	2,000	2,000
Unencumbered Cash Balance	110,793	57,392	135,217	214,069	294,495	369,495	444,495

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Student Activities RF (Student Activity &
 Name of Fund: Program Fee Board)
 Legal Authority 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The Student Activity and Program Fee Board affords students the opportunity to provide input into the allocation of fees to support a variety of co-curricular programs which benefit students, including but not limited to, intercollegiate athletics, intramurals, university theater, registered student clubs and organizations and University programs.

Source of Revenues:

Mandatory student fees, interest income

Current Program Activities/Allowable Expenses:

A grant-funding program is conducted twice a year where intercollegiate athletics, intramurals, university theater, registered student clubs and organizations, and University programs can apply for monies to conduct co-curricular programs which contribute to the quality of campus life.

Allowable expenses include personnel costs, general operating expenses, repairs and maintenance, advertising, travel, stipends, food, and other program-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

The board increased student fees in 2011 and since then has made a concerted effort to increase funding to various organizations. As such, their expenditure ceiling is anticipated to be exceeded as organizations make use of their grant funds.

Variances:

In FY 2015, Kennedy Theater was appropriated and spent \$85,000 compared to \$120,000 in FY 2014 and overall requests for funding decreased.

In FY 2016, SAPFB increased their funding by approximately 57% which significantly attributed to the increase in expenditures.

The expenditures in FY 2017 decreased due to less funding awarded and expended in the areas of services, travel, food, advertising and operating supplies.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	408,200	408,200	408,200	408,200	408,200	408,200	408,200
Beginning Cash Balance	524,991	453,399	484,628	336,255	233,644	223,014	211,784
Revenues	430,915	418,643	404,825	394,990	350,000	350,000	350,000
Expenditures	501,277	386,184	551,969	496,372	359,400	360,000	360,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,230)	(1,230)	(1,230)	(1,230)	(1,230)	(1,230)	(1,230)
Ending Cash Balance	453,399	484,628	336,255	233,644	223,014	211,784	200,554
Encumbrances	7,659	1,164	22,189	17,494	10,000	5,000	5,000
Unencumbered Cash Balance	445,740	483,464	314,066	216,150	213,014	206,784	195,554

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Student Activities RF (UPASS Transportation
Program)
 Name of Fund: Program)
 Legal Authority 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

The UPASS program includes both mandatory and opt-in revenues collected for student bus passes according to an established memorandum of agreement between the Department of Transportation Services, the City and County of Honolulu, and the University of Hawai'i at Manoa (UHM). The program is intended provide affordable public transportation to UHM students and contributing to the reduction of traffic.

Source of Revenues:

Revenue is collected from the UPASS mandatory student fees and opt-in payments collected at the SLD Ticket and Information Office.

Current Program Activities/Allowable Expenses:

Fall/Spring Mandatory UPASS payments and monthly Opt-in payments to the City and County of Honolulu.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The cost of the UPASS increased for Fall 2015 and Spring 2016 from \$30 to \$40 and expenditures increased relative to the revenue.

In FY 2017, the cost of the UPASS increased from \$40 to \$50 and expenditures increased relative to the revenue.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Beginning Cash Balance	286,059	371,215	360,324	324,430	307,859	297,859	287,859
Revenues	1,220,926	1,172,408	1,416,421	1,634,209	1,630,000	1,630,000	1,630,000
Expenditures	1,135,770	1,183,300	1,452,315	1,650,780	1,640,000	1,640,000	1,640,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	371,215	360,324	324,430	307,859	297,859	287,859	277,859
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	371,215	360,324	324,430	307,859	297,859	287,859	277,859

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF (Lab School)
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

To support the extra-curricular student activities and services at the Education Laboratory School that cannot otherwise be funded through the State General Fund.

Source of Revenues:

Parental contributions of students attending the Education Laboratory School.

Current Program Activities/Allowable Expenses:

The Education Laboratory School (ELS) Student Activity accounts are funded solely through parental contributions of students who attend the ELS.

The funds are used by ELS student government and to support student activities that cannot otherwise be funded through the State General Fund.

These include: school activities (dances, proms, awards, yearbook, field trips, graduation, etc.), athletics (uniforms, league fees, awards, etc.) and class activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	35	35	35	0	0	0	0
Revenues	0	0	0	0			
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(35)	0	0	0	0
Ending Cash Balance	35	35	0	0	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	35	35	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: Lab School has become a charter school outside the University. Fund will be closed.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Student Activities RF - National Student Exchange
 Legal Authority: 304A-2257, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

For FY 2010-2012 (Fall 2011 only): To collect tuition and fees from National Student Exchange consortium students.

Effective Spring 2012: To collect fees from National Student Exchange consortium students. Tuition to be collected through BANNER.

Source of Revenues:

FY 2010 - FY 2012 (Fall 2011 only) tuition and student fees. Effective Spring 2012, student fees.

Current Program Activities/Allowable Expenses:

Consortium obligations (student placement fees and institutional membership fees, travel expenses for annual placement conference), and direct operating expenses (student assistants, telecom, duplicating, mailing, supplies, etc.).

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15- Start to pay NSE fee in same fiscal year that fees are collected from students to provide a more accurate budget picture, resulting in two payments to National NSE office for student application fees. Unit also required upgrade of computer and replacement of air conditioner.

FY17-The significant decrease in revenues was due to the decrease in NSE enrollment and recruitment. The NSE Director was on medical leave for the entire fiscal year, therefore enrollment and recruitment efforts were halted. Increase of expenditures was for additional student help hours needed to maintain NSE office while the NSE Director was out on leave.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	24,234	25,947	18,850	19,383	8,475	11,975	15,475
Revenues	19,663	18,513	11,593	2,064	13,500	13,500	13,500
Expenditures	17,950	25,610	11,060	12,972	10,000	10,000	10,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25,947	18,850	19,383	8,475	11,975	15,475	18,975
Encumbrances	0	200	0	0	0	0	0
Unencumbered Cash Balance	25,947	18,650	19,383	8,475	11,975	15,475	18,975

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Housing Assistance RF (repealed)
 Legal Authority: 304A-2258, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

The UH Housing Assistance RF was repealed pursuant to Act 124, SLH 2011.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(510)	0	0	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	(510)	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	23	23	(23)	(23)	0	0	0
Unencumbered Cash Balance	(22)	(23)	23	23	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Graduate Application RF (Grad Division)
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

Received application fees collected from individuals applying for various graduate programs within the University of Hawai'i. Revenues from application fees shall be used to pay for costs of processing applications to all graduate programs.

Source of Revenues:

Application fee to graduate programs.

Current Program Activities/Allowable Expenses:

Applicants to the Graduate Division's graduate programs are charged a \$100 application fee. The costs of processing applications, cost of applications, and the development of a web-based application process are being funded by these revenues.

Purpose of Proposed Ceiling Adjustment (if applicable):

The Graduate Application Revolving fund is requesting an expenditure ceiling increase from \$350,000 to \$600,000.

The expenditure ceiling has remained at \$350,000 since 2008. Because of both rising operational expenses and the decrease in G Fund allocations, the Graduate Application Revolving Fund had to absorb more of the associated costs related to the graduate application process.

We anticipate this trend to continue indefinitely.

Variances:

FY13 revenues- The graduate application fee was increased from \$70 to \$100 in Spring 2013, resulting in increase revenues.

FY14 expenditures- Due to rising operating costs and decrease in G fund allocations, expenses related to the application process was absorbed by RF.

FY15 expenditures- Alternate fund sources were available to cover graduate application fee operating costs.

FY17 expenditure decrease are due to a shift of personnel expenses back to General Funds.

FY18-20 estimated expenditure increase is due decrease in tuition operating and performance funds from OVCAA, therefore operating expenses are to be absorbed by RF

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	331,054	310,978	301,027	297,204	451,418	320,000	224,000
Revenues	447,258	405,727	410,593	430,288	404,000	404,000	404,000
Expenditures	467,334	415,678	414,416	276,074	535,418	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	310,978	301,027	297,204	451,418	320,000	224,000	128,000
Encumbrances	1,290	2,212	937	2,603	0	0	0
Unencumbered Cash Balance	309,688	298,815	296,267	448,815	320,000	224,000	128,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Graduate Application RF (Law School)
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

To defray costs associated with Law School student services function related to admissions, applications, new student recruitment, etc.

Source of Revenues:

Law School fees from processing of applications for admittance to the William S. Richardson School of Law.

Current Program Activities/Allowable Expenses:

Expenses related to the processing of Law School applications.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2017 variance was due to the departure of 2 Admissions Office Assistants who graduated, but had been employed with the school for the past 4 years. In FY 2018, the Director of Admissions will take a 1 year sabbatical, so we hired 2 part-time employees to manage the Admissions Office for the fiscal year, resulting in greater payroll expenses. We don't expect to continue these positions after the first quarter of FY 2019, when the Director returns.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	72,000	72,000	72,000	72,000	72,000	72,000	72,000
Beginning Cash Balance	24,174	23,473	3,718	2,660	18,418	1,418	2,018
Revenues	35,241	32,837	32,424	32,442	33,000	33,000	33,000
Expenditures	35,941	52,592	33,482	16,683	50,000	32,400	33,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	23,473	3,718	2,660	18,418	1,418	2,018	1,618
Encumbrances	75	75	75	75	0	0	0
Unencumbered Cash Balance	23,398	3,643	2,585	18,343	1,418	2,018	1,618

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Graduate Application RF (Shidler)
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

To defray costs associated with Shidler College of Business student services function related to graduate admissions and applications.

Source of Revenues:

Graduate Application fees paid by applicants to the Shidler College of Business Graduate Programs and any interest earned.

Current Program Activities/Allowable Expenses:

Expenses related to the processing of Shidler Graduate applications such as postage, printing, and processing of applications.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance from FY2014 to FY2015 is due to a decrease in applications to programs and the timing of the deadlines. The expenditures spent in FY2014 were high due to not spending in FY2013 and covering costs related to processing applications.

The expenditures decreased in FY2015 in order to end with around \$2,500 cash balance.

The decrease in expenditures in FY2016 is due to limiting costs for an anticipated lower revenue projection toward the end of the fiscal year.

The variance from FY2016 to FY2017 is due to a decrease in applications to programs and an implementation of waivers directed by the Dean and Assistant Dean for Student Services to the Office of Student Academic Services - Graduate Programs. The decrease in expenditures in FY2017 is due to covering costs with tuition funds with the anticipation of lower revenues in the future years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	14,394	4,228	2,647	6,436	21,380	3,880	3,880
Revenues	33,248	25,590	25,868	15,320	20,000	20,000	20,000
Expenditures	43,414	27,171	22,080	375	37,500	20,000	20,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,228	2,647	6,436	21,380	3,880	3,880	3,880
Encumbrances	1,498	1,441	1,493	1,472	0	0	0
Unencumbered Cash Balance	2,730	1,206	4,943	19,908	3,880	3,880	3,880

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Seed Distribution Program RF
 Legal Authority: 304A-2263, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, this fund was established to enable the seed distribution program to operate at a level that will adequately meet the demand for seeds (from farmers, homeowners, and seed companies).

Source of Revenues:

Revenues are generated from farmers, seed companies, garden shops, educational institutions and homeowners.

Current Program Activities/Allowable Expenses:

Current program activities include cultivation and production of vegetables and garden seeds which are sold to the public. Allowable expenses include labor, materials, supplies and equipment that are necessary for the cultivation and production of the various vegetables and garden seeds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in revenues due to increase in sales of seeds. Increase in expenditures due to hiring of staff as a result of increased seed production/sales.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	68,264	68,264	68,264	68,264	68,264	68,264	68,264
Beginning Cash Balance	12,648	14,810	9,329	16,692	13,598	13,598	13,598
Revenues	62,027	64,135	54,342	47,733	50,000	50,000	50,000
Expenditures	59,865	69,616	46,979	50,828	50,000	50,000	50,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	14,810	9,329	16,692	13,598	13,598	13,598	13,598
Encumbrances	0	256	0	0	0	0	0
Unencumbered Cash Balance	14,810	9,073	16,692	13,598	13,598	13,598	13,598

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UHM Conference Center RF
 Legal Authority: 304A-2264, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

The UHM Conference Center RF was repealed pursuant to Act 124, SLH 2011.

Source of Revenues:

Current Program Activities/Allowable Expenses:

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(141)	(141)	(84)	(0)	(0)	(0)	(0)
Revenues	(0)	(0)	(0)	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	57	84	0	0	0	0
Ending Cash Balance	(141)	(84)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(141)	(84)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (CRCH)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Source of Revenues:

Various fees are collected for short-term use of facilities

Current Program Activities/Allowable Expenses:

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	2,401	8,461	14,061	19,661
Revenues			2,401	6,386	6,000	6,000	6,000
Expenditures			0	326	400	400	400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	2,401	8,461	14,061	19,661	25,261
Encumbrances			0	471	0	0	0
Unencumbered Cash Balance	0	0	2,401	7,990	14,061	19,661	25,261

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (CTAHR)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

To account for revenue generated from lease/rental of facilities to offset expenses of facilities.

Source of Revenues:

Revenue is generated from leases and facilities rentals.

Current Program Activities/Allowable Expenses:

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	125,000	125,000	125,000	125,000	125,000	125,000	125,000
Beginning Cash Balance	98,760	103,892	110,590	117,341	124,196	131,196	138,196
Revenues	6,988	6,698	6,751	6,855	7,000	7,000	7,000
Expenditures	1,855	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	103,892	110,590	117,341	124,196	131,196	138,196	145,196
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	103,892	110,590	117,341	124,196	131,196	138,196	145,196

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (OPF)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of infrastructure support for the Maunakea observatories.

Source of Revenues:

Annual rent due per Operating and Site Development Agreements for telescope facilities on Maunakea.

Current Program Activities/Allowable Expenses:

Supplies, repair and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	2	4	6
Revenues				2	2	2	2
Expenditures				0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	2	4	6	8
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	2	4	6	8

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (OPF)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Use of facilities for private, non-eleemosynary (non-charitable) organizations and for purposes which are not consistent with the objective of higher education.

Source of Revenues:

Various fees are collected for short-term use of facilities: e.g., facility use charges, lease agreements, commercial filming charges and deposits for the use of building keys by contractors.

Current Program Activities/Allowable Expenses:

Planned expenditures include the repair/replacement of classroom furnishings as well as supplies and services needed to repair and maintain the campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY12-13: \$11,700 key deposits incorrectly deposited to 0702 OC, will be corrected; \$24,250 additional fees collected from Verizon for COW lease fees.

FY13-14: \$19,000 key deposits incorrectly deposited to 0702 OC, will be corrected; \$6,826 from Nextel not received.

FY14-15: The increase in expenditures is due to the hire of a Safety Officer in response to HIOSH findings.

FY16-17: Increase in revenues: \$6,445 revenues received for Charlot House usage, \$7,851 received from leases for FY17, increased facilities use.

Decrease in expenditures: Planned purchases postponed for evaluation.

FY17-18: Increase in expenditures, due to planned facilities equipment purchases.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	450,000	450,000	450,000	450,000	450,000	450,000	450,000
Beginning Cash Balance	603,406	553,575	408,796	395,249	756,173	696,173	776,173
Revenues	224,104	206,249	240,814	526,987	240,000	240,000	240,000
Expenditures	125,935	203,028	98,361	68,632	300,000	160,000	160,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(148,000)	(148,000)	(156,000)	(97,431)	0	0	0
Ending Cash Balance	553,575	408,796	395,249	756,173	696,173	776,173	856,173
Encumbrances	298	298	2,621	734	0	0	0
Unencumbered Cash Balance	553,277	408,498	392,628	755,439	696,173	776,173	856,173

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Real Property & Facilities Use RF (SOEST)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Source of Revenues:

Various fees are collected for short-term use of facilities

Current Program Activities/Allowable Expenses:

Current program activities include land leases, facilities, rentals.

Allowable expenses include materials, supplies and labor necessary to partially maintain off-campus facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in revenue due to lease agreement ending mid-fiscal year (March 2018).

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	41,703	45,555	0	0
Revenues			183,367	153,852	122,826		
Expenditures			141,664	150,000	168,381		
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	41,703	45,555	0	0	0
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	41,703	45,555	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Hawaii Educator Loan Program RF
 Legal Authority: 304A-2276, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Renumbered from 304A-2161, HRS

Intended Purpose:

Per statute, this fund was established for the purpose of providing loans pursuant to section 304A-701, HRS.
 Tool to recruit college students to become educators and ensure that these graduates teach and remain in the Hawai'i public school system.

Source of Revenues:

Repayments of loans made by borrowers; interest earned to be used for future loans.

Current Program Activities/Allowable Expenses:

Loans made to eligible students.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues based on student loan repayments. Should students meet the loan requirements they are not required to repay the loan.
 In FY17 no student loans were issued; increase in student loan payments.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Beginning Cash Balance	14,741	9,402	6,014	9,267	19,973	10,973	1,973
Revenues	8,661	1,212	6,902	10,706	1,000	1,000	1,000
Expenditures	14,000	4,600	3,650	0	10,000	10,000	2,973
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	9,402	6,014	9,267	19,973	10,973	1,973	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	9,402	6,014	9,267	19,973	10,973	1,973	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: UH Tuition and Fees SF (Manoa Regular Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F; S-185-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Revenues are derived from the collection of regular credit tuition and tuition-related course and fee charges.

Current Program Activities/Allowable Expenses:

Funds deposited into the fund shall be expended to maintain and improve the University's programs and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 Expenditure variance is due to campuswide initiative to reduce spending and achieve campus reserve requirements.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	155,989,733	182,989,733	182,989,733	182,989,733	182,989,733	182,989,733	182,989,733
Beginning Cash Balance	22,740,077	(1,538,439)	2,232,069	(29,939,094)	(33,258,308)	(35,502,956)	(36,533,287)
Revenues	172,472,636	181,270,092	184,424,739	188,662,920	182,678,952	182,500,000	182,500,000
	159,502,636	137,903,695	134,450,823	135,752,083	137,650,000	139,600,000	141,550,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Act 236					(3,473,308)	(787,031)	1,791,969
Assessments					(43,800,292)	(43,143,300)	(43,143,300)
Net Total Transfers	(37,236,233)	(39,595,925)	(82,038,276)	(56,230,050)	(47,273,600)	(43,930,331)	(41,351,331)
Ending Cash Balance	(1,526,156)	2,232,032	(29,832,292)	(33,258,308)	(35,502,956)	(36,533,287)	(36,934,618)
Encumbrances	9,430,387	10,630,301	9,336,485	8,828,504	9,000,000	9,000,000	9,000,000
Unencumbered Cash Balance	(10,956,543)	(8,398,269)	(39,168,777)	(42,086,813)	(44,502,956)	(45,533,287)	(45,934,618)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$472,697.25. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/17) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: UH Tuition and Fees SF (Manoa Summer Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Revenues are derived from tuition and fees assessed to students enrolling in summer credit based courses offered through Outreach College.

Current Program Activities/Allowable Expenses:

Program develops and offers University credit courses, in cooperation with other University of Hawaii academic departments and outside sponsors, to students from Hawaii and around the world during the summer terms.

Allowable expenses include personnel cost, goods and services associated with the offering of credit based courses through Outreach College.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY13-14 Revenue variance is due to a Continuing Ed account incorrectly coded as a Summer Session account. Attribute was corrected for FY14.

FY14 Expenditure variance is due to increases in Utilities and other program expenditures.

FY15 Revenue variance - Variance due to adjustment in account attributes between Summer and CCECS-Credit. FY15 shows 7% increase in revenue, matching increase in tuition fees.

FY15 Expenditure variance - Total expenditures is the sum total of various departments. Some departments had significant increases in expenditure, e.g. Library Services, Natural Sciences. While other departments saw significant decreases e.g. SPAS and VCAA. Increase in Library Services expenditures attributed to Student Success initiatives and improvements.

FY16 Expenditure Variance - Total expenditures is the sum total of various departments. Several departments had significant decreases in expenditures likely due to enforced expenditure restrictions and 5% reserve requirement.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007
Beginning Cash Balance	21,849,312	19,240,116	16,504,766	15,504,918	17,522,663	19,862,097	21,940,428
Revenues	12,142,318	15,054,248	16,066,322	16,595,782	16,537,853	16,537,853	16,537,853
Expenditures	13,211,213	15,471,932	12,989,625	13,094,816	13,086,897	13,348,000	13,615,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
044-Cash Transfer to offset AR Transfer				86,752			
012 - Mais Nursing courses 2016				8,122			
002-201633 CTAHR Balance Transfer				5,888			
073 - HIST Tsfr Budget for Vietnam Conf				2,000			
073 - MUS Tsfr Funds-Vietnamese Musician				1,000			
044-201633 Coll of Engineering Balance				944			
044 TO CLEAR DEFICIT IN ACCT 2220482				(10)			
044 TRF DEAN SUMR ADM ACCT TO 201640 OP				(480)			
044-Transfer FYE16 Cash Balance				(2,628)			
103-Transfer of funds to CSS				(6,000)			
001 Transfer of funds				(16,655)			
001 Transfer of funds				(26,341)			
044-FY17 OER Budget				(135,813)			
044-Summer 2017 Scholarship				(1,400,000)			
Net Total Transfers	(5,474,888)	(2,317,665)	(4,183,348)	(1,483,221)	(1,111,522)	(1,111,522)	(1,111,522)
Ending Cash Balance	15,305,529	16,504,766	15,398,115	17,522,663	19,862,097	21,940,428	23,751,759
Encumbrances	361,823	601,988	124,358	534,327	535,000	535,000	535,000
Unencumbered Cash Balance	14,943,707	15,902,778	15,273,757	16,988,336	19,327,097	21,405,428	23,216,759

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$472,697.25. Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/17) and before the close of Fiscal Period 12.

**Report on Non-General Fund Information
for Submittal to the 2018 Legislature**

Department: UOH
 Prog ID(s): UOH-100 and UOH-110
 Name of Fund: UH Tuition and Fees SF (Outreach CCECS-Credit)
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Revenues are derived from tuition and fees assessed to students enrolling in credit based courses offered through Outreach College, including Extension terms, Evening and Weekend and Off-island credit course offerings.

Current Program Activities/Allowable Expenses:

Program develops and offers University credit courses, in cooperation with other University of Hawaii academic departments and outside sponsors, to non-traditional students on Oahu and the neighbor islands (Outreach) during evening/weekend terms. Activities include developing and implementing distance education programs.

Allowable expenses include personnel cost, goods and services associated with the offering of credit based courses through Outreach College.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY13 Revenue variance is due to a Continuing Ed account incorrectly coded as a Summer Session account. Attribute was corrected for FY14. Also, Service Fee revenue was incorrectly recorded as negative revenue, erroneously reducing the FY13 revenue total. Corrections were processed in FY14, resulting in an inflated revenue amount for FY14.
 FY14 Expenditure variance due to incorrect recording of Service Fees as a negative expenditure in FY13, understating the total expenditures for the fiscal year. Corrections were processed in FY14, resulting in an inflated expenditure amount for the fiscal year. There was also an overall increase in expenditures in non-Outreach College departments.
 FY15 Revenue Variance - Variance due to adjustment in account attributes between Summer and CCECS-Credit. Overall increase less than tuition increase due to lower enrollment for AY1415.
 FY15 Expenditure Variance - Total expenditures is the sum total of various departments and variance from FY14 to FY15 is not attributed to a single factor. Some departments had significant increases in expenditure, e.g. Natural Sciences and Arts & Humanities while other departments had significant decreases decreases e.g. Social Science. Overall decrease in expenditure likely due to FY15 budget restrictions.
 FY16 Expenditure Variance - Total expenditures is the sum total of various departments. Several departments had significant decreases in expenditures likely due to enforced expenditure restrictions and 5% reserve requirement.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007	14,320,007
Beginning Cash Balance	24,017,206	21,556,095	21,993,326	26,894,120	26,043,576	25,352,859	24,304,859
Revenues	21,957,747	19,633,734	21,435,946	21,468,660	21,462,050	21,462,000	21,462,000
Expenditures	23,431,550	19,053,818	16,300,140	16,030,949	17,755,308	18,110,000	18,472,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
044-FY17 OER Grant (Lynham)				5,000			
044-FY17 OER Grant (Menchaca)				5,000			
044-FY17 OER Grant (Griswold)				4,900			
021 Trf Surplus Balance				1,339			
044 TRF DEAN SUMR ADM ACCT TO 201640 OP				480			
044 TRF SURPLUS TO CREDIT ADMIN ACCT				400			
044-201633 Coll of Engineering Balance				(944)			
073 - Tstr Funds to Asia Pac Dance Fest				(1,000)			
002-201633 CTAHR Balance Transfer				(5,888)			
012 - Mais Nursing courses 2016				(8,122)			
044-Cash Transfer to offset AR Transfer				(86,752)			
044-Transfer Funds to Clear Deficit				(560,000)			
044-FY17 Admin Support				(2,663,941)			
044-Transfer FYE16 Cash Balance				(2,978,728)			
Net Total Transfers	2,948,622	(142,685)	(235,012)	(6,288,256)	(4,397,459)	(4,400,000)	(4,400,000)
Ending Cash Balance	25,492,025	21,993,326	26,894,120	26,043,576	25,352,859	24,304,859	22,894,859
Encumbrances	101,866	102,454	129,477	177,822	177,000	177,000	177,000
Unencumbered Cash Balance	25,390,159	21,890,872	26,764,643	25,865,753	25,175,859	24,127,859	22,717,859

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$472,697.25 Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/17) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 UH Tuition & Fee SF (Outreach College
 Name of Fund: Summer Session (Acad Support)
 Legal Authority: 304A-2153, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Academic support does not generate revenues. When revenues appear under this fund it is usually because we post reimbursement of current year expenses (a revenue subcode) instead of posting a reversal of expense (i.e., REX).

Current Program Activities/Allowable Expenses:

Providing administrative support for programs and activities of the Outreach College. Administrative services currently provide all fiscal and personnel services, student services, marketing and computer services. Allowable expenses include personnel costs, goods and services associated with providing support to Outreach.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 Variance due to creation of new BPID 1403 for Outreach College Academic Support under legal authority 304A-2153. No prior year to compare. 4% increase from FY14 total expenditures for Academic Support under legal authority 304A-2156. No significant variance.

FY16 Revenue Variance : Revenue collected in FY15 was for one time reimbursement for prior year expenditure. Revenue is not normally collected in the academic support accounts.

FY17 Expenditure Variance : Mainly due to increase in payroll and cost of lease for off campus office space.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		2,801,615	2,801,615	2,801,615	2,801,615	2,801,615	2,801,615
Beginning Cash Balance	0	1,413	(2,365,754)	(2,522,454)	(152,063)	142,516	142,516
Revenues		1,859	0	0	0	0	0
Expenditures		2,366,526	2,506,765	2,936,917	3,102,880	3,164,000	3,227,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
044-Transfer FYE16 Cash Balance				2,522,453			
044-FY17 OER Budget				135,813			
044-FY17 OER Grant (Griswold)				(4,900)			
044-FY17 OER Grant (Menchaca)				(5,000)			
044-FY17 OER Grant (Lynham)				(5,000)			
044-FY17 Admin Support				2,663,941			
Net Total Transfers	0	(2,500)	2,350,066	5,307,307	3,397,459	3,164,000	3,227,000
Ending Cash Balance	0	(2,365,754)	(2,522,454)	(152,063)	142,516	142,516	142,516
Encumbrances		180,500	14,531	142,516	142,516	142,516	142,516
Unencumbered Cash Balance	0	(2,546,254)	(2,536,985)	(294,579)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Manoa 304A-2153, HRS has four categories (Regular, Outreach-Summer, Outreach-CCECS and Outreach-Academic Support), with a total Unencumbered Cash Balance of \$472,697.25 Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/17) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Library Special Fund
 Legal Authority: 304A-2155,HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

To provide photocopying services of library books and journals; access to printing from library databases; interlibrary loans; document delivery services; preservation services and other library services to library users, faculty, researchers, and other educational institutions. To replace and repair lost, stolen, and damaged library materials.

Source of Revenues:

Revenues from library fines and service fees; document delivery fees; preservation fees; coin-op/debit card operated copy machines; printers; library system cost recovery service fees.

Current Program Activities/Allowable Expenses:

Provides photocopying; document delivery and preservation services from the Library External Services Program; and other library services to library users, faculty, researchers, systemwide libraries, and other educational institutions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue: The library gave up its internal debit card system in January 2017, making public printing and photocopying cash-type transactions.

Estimated amount for FY2018 should be the new baseline for future revenue.

Expenditures: The photocopier lease expires in FY2019 and we anticipate greatly reducing the number of copiers going forward as the demand has dropped. We will also be changing to a new computerized cataloging system and anticipate tailoring the expenses accordingly.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534	1,094,534
Beginning Cash Balance	501,477	647,277	361,971	413,370	320,779	130,901	20,901
Revenues	620,958	503,745	659,265	403,784	300,000	290,000	280,000
Expenditures	438,034	789,051	542,300	463,662	475,000	400,000	300,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
From 2220682 to ITS					(14,878)		
Net Total Transfers	(37,124)	(0)	(65,566)	(32,713)	(14,878)	0	0
Ending Cash Balance	647,277	361,971	413,370	320,779	130,901	20,901	901
Encumbrances	121,819	86,927	73,752	65,705	0	0	0
Unencumbered Cash Balance	525,457	275,043	339,618	255,074	130,901	20,901	901

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Law School Library Fees and Fines
 Legal Authority: 304A-2155, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

To defray costs associated with Law Library functions related to the cost of books, microform, reader/printer services and copying costs.

Source of Revenues:

Fees and fines collected from the delinquent return of library reference materials

Current Program Activities/Allowable Expenses:

Expenses related to the collection of library fees and fines.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,000	2,000	2,000	2,000	2,000	2,000	2,000
Beginning Cash Balance	0	0	0	0	36	1,143	1,143
Revenues	0	0	0	36	1,000	1,000	1,000
Expenditures	0	0	0	0	12,958	1,000	1,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	13,065	0	0
Ending Cash Balance	0	0	0	36	1,143	1,143	1,143
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	0	36	1,143	1,143	1,143

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (Theatre Group)
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

The Theatre Group fund includes the special fund activities for both the Dept. of Theatre and the Dept. of Music. Box office receipts are used to support production expenses, student assistant support, and fund a reserve for future production start-up costs.

Source of Revenues:

Revenues come from box office receipts from the sale of theatre tickets, performances, music performances, and festivals.

Current Program Activities/Allowable Expenses:

Kennedy Theatre Mainstage, Prime Time, Kennedy Theatre Special Events, Late Night Theatre Performances, Music Concerts, Recitals and Festivals.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Theatre & Dance (Kennedy Theatre):

Theatre production expenses vary widely depending on a large number of factors including design, materials available for recycling, special equipment needed, and the demands of the play, dance production, or musical. Special production expenses for 2014 also included honorariums for guest artists. For 2014-15, the difference was mainly due to our first Hawaiian Theatre production, Lā'ieikawai. The box office receipts were much higher than anticipated, and our expenses for that production were largely covered by our Asian Theatre fund as well as external grants, so we did not need to use our production fund. In 2015-16, we were back to a more "normal" year in terms of overall expenditures and income. In FY 2017, we had to look at doing some things outside of our norm throughout the season due to the impending renovation of Kennedy Theater. This required every production to be planned for and executed in an alternate location or setting, which impacted both our available seats to be sold to audiences and impacted our audience's ability to come to our productions, which ultimately affected our income.

Music Dept Activity:

The amount of revenue will depend on the number of performances scheduled and how many tickets are sold for that event. This will vary every year. Expenses for our performances are for student help, printing of tickets, posters, program and for some performers, 70% of their tickets sales will be paid to them. Most of our venue rentals come from high school auditoriums, but some years, we might have performances at the Blaisdell, which will be a big rental expense.

Band Activity:

The amount of revenue will depend on the amount of bands participating in the Rainbow Invitational marching Band Festival and also how many tickets each band sells. It will also depend on the amount of judges we bring in as well as where they are from because of the airfare cost and the amount of days they will be here in Oahu.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	260,000	260,000	260,000	260,000	260,000	260,000	260,000
Beginning Cash Balance	209,068	191,726	252,090	238,434	212,352	131,824	160,754
Revenues	159,849	189,326	141,138	119,825	162,194	174,930	161,500
Expenditures	177,190	128,963	154,794	145,907	242,722	146,000	146,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	191,726	252,090	238,434	212,352	131,824	160,754	176,254
Encumbrances	3,957	5,035	0	14,150	0	0	0
Unencumbered Cash Balance	187,770	247,055	238,434	198,202	131,824	160,754	176,254

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Community Services SF (Agricultural
 Name of Fund: Diagnostic Service Center)
 Legal Authority 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To cover the cost of materials, supplies, student helpers, and other operating expenses necessary to provide agricultural diagnostic services to the public.

Source of Revenues:

Revenues are generated by the collection of fees charged for the analysis of soil, water, plant tissue, disease, insects and pests, and feed and forage for State, Federal, and County agencies, agribusinesses, farmers and homeowners.

Current Program Activities/Allowable Expenses:

Activities include sample analysis of soil, water, plant tissue, diseases, insects and pests, and feed and forage. Allowable expenses include materials, supplies, technicians, student assistants and other operational expenses that are necessary to provide the various analyses requested.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variances in revenue and expenditures due to fluctuations in amount of services provided to customers and the types of analysis done by the facility.

Although the number of analysis increased (increased revenue), the types of analysis did not require much technical man-hours (lower expenditures).

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	358,242	358,242	358,242	358,242	358,242	358,242	358,242
Beginning Cash Balance	41,195	33,792	20,794	22,484	57,811	57,811	57,811
Revenues	169,590	170,620	146,252	210,739	175,000	175,000	175,000
Expenditures	176,993	183,618	144,562	175,413	175,000	175,000	175,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	33,792	20,794	22,484	57,811	57,811	57,811	57,811
Encumbrances	151	2,801	396	0	0	0	0
Unencumbered Cash Balance	33,641	17,993	22,088	57,811	57,811	57,811	57,811

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (LLL HELP)
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

The Hawai'i English Language Program provides full-time intensive English language training for international students and scholars preparing to begin undergraduate or studies, or for use in a scholarly professional setting.

Source of Revenues:

Fees collected from students.

Current Program Activities/Allowable Expenses:

HELP offers English courses in four eight-week sessions and two six-week summer sessions. HELP also offers weekly workshops and study sessions, and offers TOEFL exams each quarter. Also authorized to issue I-20 AB forms for applicants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

There are fluctuations in the demand for HELP programs year to year and although the program Director actively recruits for the program annually, enrollments vary over the years based on the demand, market, student finances, competition, and the political climate. The revenue for HELP has increased over the years, with a significant increase in FY 2016, due to a new agreement with a prestigious Women's University in Japan. There was a decrease in revenue in FY 2017, when compared to the successful year FY 2016, due to decreased enrollment. This can be attributed to having a more challenging time encouraging students to attend the program in the US due to the cost of the program, political climate, and the current views of the US on international students. The changes in expenditures are reflective of the respective increase/decrease in revenues.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,104,000	1,269,600	1,269,600	1,269,600	1,269,600	1,269,600	1,269,600
Beginning Cash Balance	463,276	509,460	869,368	1,303,502	1,500,038	1,675,038	1,725,038
Revenues	847,015	1,172,185	1,422,372	1,075,300	1,100,000	1,200,000	1,250,000
Expenditures	800,831	812,276	988,238	878,764	925,000	1,150,000	1,200,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	509,460	869,368	1,303,502	1,500,038	1,675,038	1,725,038	1,775,038
Encumbrances	1,536	530	11,802	7,527	0	0	0
Unencumbered Cash Balance	507,923	868,839	1,291,700	1,492,511	1,675,038	1,725,038	1,775,038

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Community Services SF (LLL Special Account)
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To enable the College to provide telecommunications support to UH systems and agencies of the State of Hawai'i using our technical resources and language expertise.

Source of Revenues:

Usage of facilities and equipment.

Current Program Activities/Allowable Expenses:

Satellite uplinks and downlinks, high quality recording of radio and television programs, language tapes and facilities rental.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The need for use of rooms and computer labs and corresponding revenues vary year to year depending upon whether conferences/workshops/classes are held on campus which require certain IT needs. Expenditures decreased significantly because earlier years saw a big increase due to renovation projects done to upgrade the facilities. The improvements include new furniture, painting and soundproofing rooms, and computer upgrades/replacement. Normally the expenditures are relatively low since the annual upkeep of the facilities is minimal. However, every five years or so, large expenditures occur in order to keep the facilities current and up-to-date.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	50,000	50,000	50,000	50,000	50,000	50,000	50,000
Beginning Cash Balance	52,243	24,400	33,889	44,965	51,268	56,268	36,268
Revenues	9,522	10,888	11,218	6,958	10,000	10,000	10,000
Expenditures	37,365	1,399	141	656	5,000	30,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	24,400	33,889	44,965	51,268	56,268	36,268	41,268
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	24,400	33,889	44,965	51,268	56,268	36,268	41,268

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 UH Community Services SF (Outreach College
 Name of Fund: Summer Session - Acad Support)
 Legal Authority: 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.
 To account for expenses generated by administrative support services for Outreach College.

Source of Revenues:

Academic support does not generate revenues. When revenues appear under this fund it is usually because we post reimbursement of current year expenses (a revenue subcode) instead of posting a reversal of expense (i.e., REX).

Current Program Activities/Allowable Expenses:

Providing administrative support for programs and activities of the Outreach College. Administrative services currently provide all fiscal and personnel services, student services, marketing and computer services. Allowable expenses include personnel costs, goods and services associated with providing support to Outreach.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue Variance : Increase mainly due to Reimbursement (0825) to Marketing account 2238822. Applicable to both Fiscal Years.

Expenditure Variance : Variance due to overall increase to expenditures.

FY15 Expenditure variance due to transfer of accounts from legal authority 304A-2156 to legal authority 304A-2153 effective FY15. Expenditures recorded under new account effective FY15. 4% increase in expenditures for Academic Support, no significant variance.

FY16 Variance: Expenditure total includes BPID other than Outreach College 1230. Effective FY15 accounts were moved from legal authority 304A-2156 and new accounts were established 304A-2153. No new Outreach College expenditures or revenues are expected for FY17. Majority of revenue was collected under BPID 001358-SOC SCI OUTREACH ADMIN - NON-CREDIT.

Transfers:

Account 2242072 - \$1,439,487.15 - transfer out - consolidate cash balances for Outreach Admin Support accounts, balance as of FYE 14

Account 2242072 - \$1,962,383.33 - transfer out- consolidate cash balances for Outreach Admin Support accounts, balances as FYE 13

Account 2300211 - \$1,962,383.33 - transfer in - consolidate cash balances for Outreach Admin Support accounts, balance as of FYE 13

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,451,615	2,451,615	2,451,615	2,451,615	2,451,615	2,451,615	2,451,615
Beginning Cash Balance	(3,850,735)	(6,071,894)	(5,889,016)	(5,874,814)	(4,869,968)	(3,991,950)	(2,991,950)
Revenues	12,155	22,504	6,886	6,099	29,596	0	0
Expenditures	2,273,841	70,139	98,985	104,403	151,578	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
044 COLLEGE OF A&H UH MOA 3582 IPS				11,596			
044 NAT SCI TRF SUM 16 MATH BOOT CAMP				1,830			
044 TO CLEAR DEFICIT IN ACCT 2220482				10			
044-MOA 3311 TRANSFER OF SURPLUS BALANCE				24,558			
044-MOA 3386 TRANSFER OF SURPLUS BALANCE				111			
044-MOA 3387 TRANSFER OF SURPLUS BALANCE				6,417			
044-MOA 3390 TRANSFER OF SURPLUS BALANCE				388			
044-MOA 3394 TRANSFER OF SURPLUS BALANCE				214			
044-MOA 3395 TRANSFER OF SURPLUS BALANCE				8,080			
044-MOA 3398 TRANSFER OF SURPLUS BALANCE				822			
044-MOA 3401 TRANSFER OF SURPLUS BALANCE				171			
044-MOA 3404 REIMBURSE DEFICIT BALANCE				(36)			
044-MOA 3408 TRANSFER OF SURPLUS BALANCE				265			
044-MOA 3417 TRANSFER OF SURPLUS BALANCE				3,097			
044-MOA 3427 TRANSFER OF SURPLUS BALANCE				6,383			
044-MOA 3428 TRANSFER OF SURPLUS BALANCE				3,779			
044-MOA 3529 TRANSFER OF SURPLUS BALANCE				13,313			
044-MOA 3555 TRANSFER OF SURPLUS BALANCE				108			
044-MOA 3615 TRANSFER OF SURPLUS BALANCE				6,767			
044-Transfer Funds to Clear Deficit				560,000			
044-Transfer FYE16 Cash Balance				456,275			
073 - MUS Tsrfr Funds-Vietnamese Musician				(1,000)			
Net Total Transfers	40,596	230,512	106,502	1,103,149	1,000,000	1,000,000	1,000,000
Ending Cash Balance	(6,071,825)	(5,889,016)	(5,874,814)	(4,869,968)	(3,991,950)	(2,991,950)	(1,991,950)
Encumbrances	458,853	1,486	1,550	6,513	0	0	0
Unencumbered Cash Balance	(6,530,678)	(5,890,502)	(5,876,364)	(4,876,481)	(3,991,950)	(2,991,950)	(1,991,950)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 UH Community Services SF (Outreach College
 Name of Fund: Summer Session and CCECS - Non-Credit)
 Legal Authority 304A-2156, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

To account for revenues and expenses generated from noncredit programs, public events and the International Programs.

Source of Revenues:

Revenues are earned through course fees charged for Non-Credit Programs, International Programs, and through ticket sales for Community Program artistic performances.

Current Program Activities/Allowable Expenses:

Programs develop and offer University noncredit courses and programs, sometimes in cooperation with outside sponsors. Community Programs includes performances and public events held for the benefit of the community beyond the University. Noncredit programs develops noncredit courses in subject areas that range from professional development to personal enrichment. International programs assist international students in developing their English language skills and assists those students who wish to apply to the University by ensuring that the student meets the University's academic requirements prior to entrance. Allowable expenses include personnel costs, good and services associated with the offering of courses and artistic performances.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditure Variance : Variance due to overall increase to expenditures.

FY 2016 Revenue Variance : Variance due to overall decrease in Professional Program and International Program enrollment.

FY 2016 Revenue Variance : Variance due to overall decrease in Professional Program and International Program enrollment.

FY 2017 Expenditure Variance : Variance due to reduction in expenses. Lower enrollment in programs resulted in decrease in course offerings which led to a decrease in supply and personnel expenditures.

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161	5,100,161
Beginning Cash Balance	(570,688)	(577,594)	(742,224)	(1,227,370)	(1,675,877)	(2,401,659)	(3,185,659)
Revenues	2,767,650	2,906,457	2,500,698	2,189,673	2,298,000	2,298,000	2,298,000
Expenditures	2,734,697	2,827,026	2,879,813	2,553,544	2,948,782	3,007,000	3,067,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
044 COLLEGE OF A&H UH MOA 3582 IPS				(11,596)			
044 NAT SCI TRF SUM 16 MATH BOOT CAMP				(1,830)			
044-Transfer FYE16 Cash Balance				2,628			
044 - Corr Object Code-AR Inv1996792				(6,158)			
044 TRF SURPLUS TO CREDIT ADMIN ACCT				(400)			
044-MOA 3311 TRANSFER OF SURPLUS BALANCE				(24,558)			
044-MOA 3386 TRANSFER OF SURPLUS BALANCE				(111)			
044-MOA 3387 TRANSFER OF SURPLUS BALANCE				(6,417)			
044-MOA 3390 TRANSFER OF SURPLUS BALANCE				(388)			
044-MOA 3394 TRANSFER OF SURPLUS BALANCE				(214)			
044-MOA 3395 TRANSFER OF SURPLUS BALANCE				(8,080)			
044-MOA 3398 TRANSFER OF SURPLUS BALANCE				(822)			
044-MOA 3401 TRANSFER OF SURPLUS BALANCE				(171)			
044-MOA 3404 REIMBURSE DEFICIT BALANCE				36			
044-MOA 3408 TRANSFER OF SURPLUS BALANCE				(265)			
044-MOA 3417 TRANSFER OF SURPLUS BALANCE				(3,097)			
044-MOA 3427 TRANSFER OF SURPLUS BALANCE				(6,383)			
044-MOA 3428 TRANSFER OF SURPLUS BALANCE				(3,779)			
044-MOA 3529 TRANSFER OF SURPLUS BALANCE				(13,313)			
044-MOA 3555 TRANSFER OF SURPLUS BALANCE				(108)			
044-MOA 3615 TRANSFER OF SURPLUS BALANCE				(6,767)			
044-NONCREDIT REIMBURSE DEFICIT				6,158			
044-COMMUNITY PROGRAM SPONSOR FUNDS				8,394			
044-REV COMMUNITY PROGRAM SPONSOR FUNDS				(8,394)			
073 - Tsfr Funds to Asia Pac Dance Fest				1,000			
Net Total Transfers	(39,859)	(244,061)	(106,031)	(84,636)	(75,000)	(75,000)	(75,000)
Ending Cash Balance	(577,594)	(742,224)	(1,227,370)	(1,675,877)	(2,401,659)	(3,185,659)	(4,029,659)
Encumbrances	183,713	216,749	189,314	136,676	136,676	13,676	13,676
Unencumbered Cash Balance	(761,307)	(958,973)	(1,416,684)	(1,812,553)	(2,538,335)	(3,199,335)	(4,043,335)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF - Art
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.
 The Art Auxiliary Enterprise Fund includes the special funds activities for all areas in the Dept. of Art & Art History. Proceeds are used to support the Art Gallery operations and other department activities.

Source of Revenues:

Proceeds are collected from the sale of art, art gallery catalogues, and other related activities. Student art supplies fees collected are now part of the regular tuition schedule, so revenue projections are considerably lower.

Current Program Activities/Allowable Expenses:

Art Gallery Exhibitions, Art Department Sales.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues have gone down since the Gallery hasn't had a traveling exhibit to generate rental income nor catalogs for exhibits the last couple of years or so. The Gallery director started two years ago and we had casual hires after the previous Director was terminated. Since they haven't done catalogs for a while, the only sales would be for older catalogs which is why there aren't many sales. This is the only account of the three that would have revenue since we have lab fees now which go into another account.

Majority of the expenditure variances occur within the Art Gallery. Exhibitions and programming costs vary a lot based on the scope of each project.

Factors that contribute to variances include printing, travel and accommodations for visiting artists/scholars.

For FY2014: For the Convergence exhibit, the artist was invited to come so there were travel costs. For the Yuan exhibit, the artist was invited to come so there were travel costs. Supplies for her exhibit had to be purchased but no shipping costs were incurred.

For FY2015: For the RE/Charting exhibit, some supplies were purchased and there were shipping costs to return exhibit items. The incoming shipping was done at the end of the previous fiscal year. For the Bindings exhibit, there were shipping and crating costs since artwork from several artists were brought in and returned. The exhibit supplies costs were higher mostly due to the complicated vinyl signage required for the exhibit.

For FY2016: The revenues were higher since they received more orders for old catalogs. They also had a catalog for the Fall New NY exhibit.

The expenses were lower since they received other funding for the New NY exhibit.

For FY2017: The revenues were higher since they received large orders for an old catalog. The expenses were lower since they received several grants for the Imayo exhibit.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	190,000	190,000	190,000	190,000	190,000	190,000	190,000
Beginning Cash Balance	53,051	25,195	12,117	7,743	7,332	6,832	6,332
Revenues	2,569	55	691	1,325	1,000	1,000	1,000
Expenditures	30,424	13,133	5,065	1,736	1,500	1,500	1,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	25,195	12,117	7,743	7,332	6,832	6,332	5,832
Encumbrances	1,568	1,568	0	0	0	0	0
Unencumbered Cash Balance	23,627	10,549	7,743	7,332	6,832	6,332	5,832

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Auxiliary Services Admin)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Responsible for administering various Auxiliary Services programs and to implement the UH Faculty Housing Assistance Master Plan by providing financial assistance loans and rental housing units to faculty and staff of UH.

Source of Revenues:

Revenue is derived from overhead assessment, rental and parking fees, laundry commissions, and interest earned.

Current Program Activities/Allowable Expenses:

The rental housing program consists of 29 condominiums at the Kauikahaloe Iki (K-Iki) housing project.

Other expenses include payroll and operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in expenditures from FY14 to FY15 due to over estimate of overhead assessment calculation

Increase in expenditures from FY15 to FY16 due to a decrease in overhead assessment

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914	1,782,914
Beginning Cash Balance	4,740,298	5,045,906	5,475,714	5,731,801	6,001,392	6,362,633	6,809,934
Revenues	640,448	650,973	676,868	686,649	728,299	752,108	777,360
Expenditures	334,841	221,164	423,176	434,669	367,057	304,808	521,776
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	2,396	17,611	0	0	0
Ending Cash Balance	5,045,906	5,475,714	5,731,801	6,001,392	6,362,633	6,809,934	7,065,517
Encumbrances	7,242	28,179	12,407	6,416	0	0	0
Unencumbered Cash Balance	5,038,664	5,447,535	5,719,394	5,994,975	6,362,633	6,809,934	7,065,517

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Transportation Services)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Responsible for the purchasing and maintenance of motor vehicles, and various motor vehicle services for official use by University personnel.

Source of Revenues:

Revenue is derived from vehicle rentals and leases, operating gas pumps, vehicle repairs and maintenance.

Current Program Activities/Allowable Expenses:

Payroll, gas, oil and supplies for vehicle repairs and maintenance.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337	2,544,337
Beginning Cash Balance	1,745,499	1,530,260	1,225,394	990,836	907,944	1,044,355	1,154,823
Revenues	1,137,558	1,145,987	1,100,563	1,203,935	1,339,533	1,324,501	1,324,501
Expenditures	1,352,797	1,452,852	1,335,121	1,294,242	1,203,122	1,214,033	1,239,060
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	2,000	0	7,415	0	0	0
Ending Cash Balance	1,530,260	1,225,394	990,836	907,944	1,044,355	1,154,823	1,240,264
Encumbrances	495,075	585,433	356,973	253,502	200,000	200,000	200,000
Unencumbered Cash Balance	1,035,185	639,961	633,863	654,442	844,355	954,823	1,040,264

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Lab School Cafeteria)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To support the operations of the Education Laboratory School Cafeteria. The primary objective of the cafeteria is to provide governmental standard lunches and breakfasts to students at the school, a service which is universal in public schools in Hawai'i and across the nation.

Source of Revenues:

Cafeteria sales, federal reimbursements.

Current Program Activities/Allowable Expenses:

The Education Laboratory School is a special state public school (charter school) providing a test base for research activities of the Curriculum Research and Development Group. Under HRS 303-26, the Education Laboratory School is included in the State's national School Lunch program in the same manner as any other public school.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	13	13	13	13	13	0	0
Revenues	0	0	0	0			
Expenditures	0	0	0	0	13		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	13	13	13	13	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	13	13	13	13	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Note: Lab School has become a charter school outside the University. Fund was closed at the end of FY 2012.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 UH Auxiliary Enterprises SF (Animal and
 Name of Fund: Veterinary Services)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To support the Manoa and Kaka'ako animal facilities.

Source of Revenues:

Revenues are from biomedical and behavioral research contracts and grants. Researchers who house their animals at AVSP pay a per diem for each animal that the staff cares for, and for any technical or veterinary services provided by AVSP.

Current Program Activities/Allowable Expenses:

Any expenses necessary to provide animal housing and husbandry for biomedical research and the system-wide administration and oversight for the care and use of vertebrate animals at UH.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

For FY13-FY14, the average daily cage counts dropped by 562 resulting in decreased revenues.

For FY14-FY15, the average daily cage counts dropped by 916 resulting in decreased revenues.

For FY14-FY15, moved \$188,858 in expenditures to RTRF to make up for projected shortfall.

Effective March 1, 2016, the Office of Research Compliance transferred from UH Manoa OVCR to the UH System OVPRI.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,310,000	2,200,000	2,200,000				
Beginning Cash Balance	(53,657)	(184,467)	(1,399)	72,469	24	0	0
Revenues	1,042,088	875,712	836,990	24	0	0	0
Expenditures	1,172,898	692,644	763,123	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
edoc #2297858; 07/17/2017					(24)		
Net Total Transfers	0	0	0	(72,469)	(24)	0	0
Ending Cash Balance	(184,467)	(1,399)	72,469	24	0	0	0
Encumbrances	33,745	21,604	33,824	0			
Unencumbered Cash Balance	(218,212)	(23,003)	38,645	24	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Dental Hygiene Clinic -Nrsg)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Augments department's instructional allocation to operate the Dental Hygiene clinic.

Source of Revenues:

\$25.00 Patient Fee assessed for Dental Hygiene services. Dental Hygiene received \$24.50 and \$0.50 is paid to the Campus Center for handling cash collection of fee and depositing into special fund.

Current Program Activities/Allowable Expenses:

Payment for personnel/lecturer costs to assist with coverage for the Dental Hygiene Clinic

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Difference between FY 2015 and FY 2016 expenditures are due to additional lecturers needed in FY 2015 to assist with coverage for the Dental Hygiene Clinic as program prepares for accreditation in early FY 2016.

Difference between FY 2016 and FY 2017 expenditures are due to increase in actual fringe benefits from 41% in FY 2016 to 51% in FY 2017 resulting in approximately \$2,900 difference

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	90,822	30,000	30,000	30,000	30,000	30,000	30,000
Beginning Cash Balance	97,280	50,782	3,063	12,968	16,060	16,560	17,060
Revenues	44,324	42,464	46,040	43,120	40,000	40,000	40,000
Expenditures	90,822	90,184	36,135	40,028	39,500	39,500	39,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	50,782	3,063	12,968	16,060	16,560	17,060	17,560
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	50,782	3,063	12,968	16,060	16,560	17,060	17,560

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 UH Auxiliary Enterprises SF (Center for Student
 Name of Fund: Development - OSA)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Provide means to recover costs for providing educational and vocational counseling and testing services to students and other members of the community.

Source of Revenues:

Various testing fees.

Current Program Activities/Allowable Expenses:

Administers various tests such as interest tests and national standardized tests.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY2014, graduate assistant resigned 6/30/13 and no replacement hired so payroll costs significantly reduced in comparison to FY2013.

FY2015, more tests were administered than anticipated generating more revenue. Testing companies provided revenues to UH for proctoring services.

FY2015, with more tests administered, required to purchase more testing materials. UH now responsible for paying individuals for proctoring testing hours.

FY2016, revenues decreased because less tests were administered due to a decline in computer based testing and class testing (career component of Business 315 is no longer mandatory). Expenditures increased due to MBTI training and replacement of 6 computers in the lab.

For 2017, expenditures decreased due to purchases in the prior year for 6 computers and MBTI training for 6 faculty/staff.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	130,000	130,000	130,000	130,000	130,000	130,000	130,000
Beginning Cash Balance	144,274	173,659	199,882	195,372	211,142	190,142	162,142
Revenues	62,704	80,400	61,069	57,558	45,000	45,000	45,000
Expenditures	33,319	54,177	65,578	41,789	66,000	73,000	76,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	173,659	199,882	195,372	211,142	190,142	162,142	131,142
Encumbrances	294	1,184	3	0	0	0	0
Unencumbered Cash Balance	173,365	198,698	195,369	211,142	190,142	162,142	131,142

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Manoa Career Center)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): B
 Appropriation Acct. No.: S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Provide the service of creating and maintaining credential files for classified students and alumni of UHM.

This service is used in support of student/alumni efforts to secure employment, gain admission to graduate or professional schools.

Source of Revenues:

User fees

Current Program Activities/Allowable Expenses:

Credential files are used in support of efforts to secure teaching and other academically-related employment, applying for admission to graduate or post baccalaureate professional schools and applications for fellowships, grants and other awards. A credential file is a collection of original letters/ documents of appraisal solicited by the student or alumnus from professors and others who can provide a professional evaluation or recommendation of the student's performance potential.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance of \$153 in revenue was due to fewer students requesting for credential file.

Expenditure variance due to non-recurring expenditure of supporting travel for two faculty to attend a College & Employers conference.

FY 2017 revenues were lower than FY 2016 revenues due to less students requesting for credential file. Expenditures were higher than the prior year due to purchase of a digital time stamp.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	34,983	34,210	31,296	32,071	32,612	33,162	33,712
Revenues	894	741	878	716	700	700	700
Expenditures	1,668	3,656	102	175	150	150	150
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	34,210	31,296	32,071	32,612	33,162	33,712	34,262
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	34,210	31,296	32,071	32,612	33,162	33,712	34,262

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (Psy Clinic Fees)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Deposit all revenues to support clinic-related and administrative activities.

Source of Revenues:

Clinic Fees

Current Program Activities/Allowable Expenses:

Funds deposited into the fund shall be expended to maintain and support clinic activities. Includes office equipment, supplies, testing equipment, treatment manuals, stipends/honorarium for grad students working in the clinic, clinic-related research activities, other admin costs, student travel and related expenses to conferences or workshops.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 14 revenues declined due to a reduced number of clients served from previous year. FY 14 expenditures increased significantly due to late start up of clinic activities.

FY 15 revenues declined due to a reduced number of clients served from previous year. FY 15 expenditures decreased due to college-wide implementation of reduced expenditures.

FY 16 revenues declined significantly due to lower student training needs for the type of assessment and treatment services provided and charged to clients. We anticipate significant year-to-year variance given change in student training needs.

FY 16 expenditures declined due to lower demand for student research-related support due to student success at securing research related funds from other sources. We anticipate significant year-to-year variance given change in student research support needs and varying availability of other funding sources.

FY 17 revenues increase due to student request for learning opportunities that were best met by clients using the sliding fee system. Such learning opportunities included ongoing therapy and specialized psychological assessments.

FY 17 expenditures increased slightly due to higher amount of research/learning enhancement support awarded to students.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	20,000	20,000	20,000	20,000
Beginning Cash Balance	5,600	4,049	3,672	2,692	7,722	10,222	12,722
Revenues	4,395	2,320	290	6,495	5,000	5,000	5,000
Expenditures	5,947	2,696	1,270	1,465	2,500	2,500	2,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,048	3,672	2,692	7,722	10,222	12,722	15,222
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	4,048	3,672	2,692	7,722	10,222	12,722	15,222

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Auxiliary Enterprises SF (UHM VCAA)
 Legal Authority: 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To cover costs associated with providing immigration and federal compliance services for entrepreneurial and non-credit training programs.

Source of Revenues:

Administrative fees assessed for immigration services for entrepreneurial and non-credit training programs.

Current Program Activities/Allowable Expenses:

Direct expenses incurred in providing immigration and federal compliance services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY13 expenditure- HGEA salary savings returned to unit for operating expenses. Therefore, no expenses were incurred on SF.

FY14 revenue - Increase in number of program participants requiring immigration services resulted in increase revenue.

FY14 expenditure- HGEA salary savings not available resulting in no additional funds. Therefore, expenses for visa related costs were incurred on SF.

FY15 expenditure- \$2578 visa related travel expenses incurred in June were paid in July due to fiscal year end deadlines.

FY17 revenue --Increase in number of program participants requiring immigration services resulted in increase revenue.

FY17 expenditure- NAFSA conference travel for two staff were paid through operating funds from OVCAA.

FY18 - FY20 projected expenditures -- expenditures are expected to be greater than previous years due to decrease in operating funds from OVCAA

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	28,646	32,722	39,213	46,012	64,780	69,380	73,980
Revenues	13,144	13,769	13,500	22,260	15,000	15,000	15,000
Expenditures	9,069	7,277	6,701	3,492	10,400	10,400	10,400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	32,722	39,213	46,012	64,780	69,380	73,980	78,580
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	32,722	39,213	46,012	64,780	69,380	73,980	78,580

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (UHM Athletics
 Name of Fund: Tuition Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

To cover the cost of Mānoa Athletics Department scholarship expenses for student-athletes. Funds were initially allocated through the Star program, but were unable to be processed through the Star program. The Star program allocation for Manoa Athletics was decreased by \$1,104,874 and the same amount was transferred to Manoa Athletics. This was a one time transfer.

Source of Revenues:

Transfer from Mānoa Chancellor's Office through a decrease in the Star program.

Current Program Activities/Allowable Expenses:

Scholarship expenses for Mānoa Athletics student-athletes.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The expenditures and transfers in 2014 were a one time expense/transfer due to the change in accounting for non-resident student-athletes. There should not be any more expenses or transfers in this account from 2015 on.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	1	1	1	1	1	1
Revenues	0	0	0	0	0	0	0
Expenditures	1,104,873	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,104,874	0	0	0	0	0	0
Ending Cash Balance	1	1	1	1	1	1	1
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1	1	1	1	1	1	1

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (CBA Executive
 Name of Fund: MBA Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.
 To provide financial assistance to qualified students enrolled at the UHM Shidler College of Business Full-time MBA Program.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of merit-based scholarship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The variance in FY2014 and FY2015 is due the initial creation of this scholarship account and only partial awards given.

The variance in FY2016 continues to be attributed to only awarding scholarships to a limited amount of students. The remainder is being awarded by other UH and UHF sources.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	29,236	200,000	250,000	0	0	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	18,543	273,326	343,773	372,725	250,000	250,000	250,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	189,307	323,326	93,773	372,725	250,000	250,000	250,000
Ending Cash Balance	200,000	250,000	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	200,000	250,000	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (Law
 Name of Fund: Scholarships)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover the expenditures.

Current Program Activities/Allowable Expenses:

Grants and Scholarships

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0			
Expenditures				476,500	457,500	460,000	450,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	476,500	457,500	460,000	450,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (Manoa Tuition
 Name of Fund: Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Grants and scholarships.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(586,427)	684,428	2,585,401	6,500	(226,160)	(0)	(0)
Revenues	0	0	0	0			
Expenditures	29,243,137	31,850,312	34,072,390	34,378,639	33,743,729	33,068,854	32,407,477
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	30,513,992	33,751,285	31,493,489	34,145,979	33,969,889	33,068,854	32,407,477
Ending Cash Balance	684,428	2,585,401	6,500	(226,160)	(0)	(0)	(0)
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	684,428	2,585,401	6,500	(226,160)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (Outreach
 Name of Fund: Tuition Scholarship)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.
 To account for scholarship expenditures awarded to students during the Mānoa Summer terms and the Outreach College Extension terms.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of need-based and merit-based scholarship. Allowable expenses include scholarships and financial aid.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY15 Expenditure - increase in scholarship allocation

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	(612,392)	(517,910)	(475,866)	(24,652)	(66,428)	0	0
Revenues	0	0	0	0			
Expenditures	638,805	863,982	1,293,687	1,441,776	1,400,000	1,400,000	1,400,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	733,287	906,026	1,744,901	1,400,000	1,466,428	1,400,000	1,400,000
Ending Cash Balance	(517,910)	(475,866)	(24,652)	(66,428)	0	0	0
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	(517,910)	(475,866)	(24,652)	(66,428)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Scholarship & Assistance SF (VCAA ISS
 Name of Fund: Scholarship Overaward)
 Legal Authority 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

Not applicable. Fund acts more as a clearing account for scholarships in excess of just tuition (i.e., cost of attendance). Transfers from other accounts will clear out the expenditures that are posted to this fund to cover these scholarship expenses.

Current Program Activities/Allowable Expenses:

Cost of attendance tuition scholarship expenditures.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY16 Student overawards were not claimed in a timely manner before the end of the FY, resulting in a positive balance.

FY17 Student overawards were not claimed in a timely manner before the end of the FY, resulting in a positive balance.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	(853)	6,672	8,939	(1)	(1)
Revenues		0	0	0	0	0	0
Expenditures		853	(7,525)	(2,267)	8,939		
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	(853)	6,672	8,939	(1)	(1)	(1)
Encumbrances		0	0	0	0	0	0
Unencumbered Cash Balance	0	(853)	6,672	8,939	(1)	(1)	(1)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Center for Nursing SF
 Legal Authority: 304A-2163, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, any legislative appropriations, federal or private grants, or any other funds collected for the purposes of the Center for Nursing are deposited in this fund and shall be expended to support the Center's activities.

Source of Revenues:

\$40.00 special Nursing License fee collected from new and renewal (every two years) of LPN/RN nursing fee.

Current Program Activities/Allowable Expenses:

All operational expenses allowable under Hawaii Revised Statutes. Budget for the Center is maintained on a biennial contract due to the legislatively mandated nurse license fee occurring biennially. Carryover on even years when the license renewal fees are received are used to sustain personnel and efforts during odd years.

Purpose of Proposed Ceiling Adjustment (if applicable):

FY 2017-2020 Request for increase in appropriation ceiling due to filling of Executive Director position, UHPA collective bargaining increases, expanded program activities.

Variances:

FY 2014 - Special fees from DCCA incorrectly reported in "Revenue" line; FY 2015-2020 only actual and estimated interest revenue report as revenue; interest revenues fluctuate based on interest rate and cash during applicable fiscal years

FY 2015-2020 - \$40 special nursing fee reported in "Transfer" line from DCCA;

Revenue/transfers from DCAA vary every other year due to the renewal cycle for the licenses with higher volume in even FYs (FY14 \$949,029, FY 16 \$1,024,840, FY 18 proj \$1,015,000 & FY 20 proj \$980,000) and lower volume in odd FYs (FY15 \$133,440, FY17 \$124,280 & FY19 proj \$150,000).

Difference between FY 2014 and FY 2015 expenditures are due to larger amount of reimbursements for expenditures (\$49,988) received in FY 2015 (compared to FY 2014) for workshops and other administrative costs.

Difference between FY 2015 and FY 2016 expenditures are due to having an Executive Director (started late April 2015) for entire FY 2016.

Difference between FY 2016 and FY 2017 expenditures are due to CB increases and additional personnel hired in FY 2017

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	463,306	463,306	463,306	463,306	463,306	463,306	463,306
Beginning Cash Balance	267,548	867,363	673,281	1,285,966	877,960	1,108,467	568,137
Revenues	949,029	1,298	2,609	2,983	2,000	2,000	2,000
Expenditures	384,534	328,820	414,764	535,269	786,493	692,330	710,143
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers from State DCCA					1,015,000	150,000	980,000
Net Total Transfers	35,320	133,440	1,024,840	124,280	1,015,000	150,000	980,000
Ending Cash Balance	867,363	673,281	1,285,966	877,960	1,108,467	568,137	839,994
Encumbrances	3,258	21,989	20,435	5,484	15,000	15,000	15,000
Unencumbered Cash Balance	864,105	651,292	1,265,531	872,477	1,093,467	553,137	824,994

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Bookstore)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

The primary purpose of the Bookstore system is to provide the required textbooks utilized in courses offered and educational and instructional materials for faculty and staff in their academic fields of study. In addition, the Bookstore system provides general office supplies, sundries, computer hardware, software, peripherals, printing & copying services and other merchandise for the convenience of the campus community.

Source of Revenues:

Revenues are generated through the sales of goods and services.

Current Program Activities/Allowable Expenses:

The Bookstore system provides required textbooks and course materials, general reference books, magazines, enrichment materials, technical reference, supplementary materials, and recreational and leisure reading materials. In support of the expanding use of technology in academics, the Bookstore system offers a wide array of computer hardware, software and peripherals along with the repair and servicing of computer hardware. The Bookstore system also provides school and office supplies, sundries, souvenirs, emblematic merchandise and other merchandise to meet the needs of the various campuses. Emblematic and souvenir items were also available via the Internet on the Bookstores' websites.

All expenditures are for the Bookstore system's cost of goods sold and operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173	31,885,173
Beginning Cash Balance	3,373,530	4,988,041	5,895,483	5,234,130	5,582,097	5,723,089	5,874,111
Revenues	25,815,567	24,469,181	23,053,477	22,487,545	22,245,905	22,690,823	23,144,640
Expenditures	23,846,706	23,207,730	23,380,495	21,785,716	21,750,907	22,185,925	22,629,644
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2262752					(80,000)	(80,000)	(80,000)
2262862					(274,006)	(273,876)	(274,006)
Net Total Transfers	(354,349)	(354,009)	(334,336)	(353,861)	(354,006)	(353,876)	(354,006)
Ending Cash Balance	4,988,041	5,895,483	5,234,130	5,582,097	5,723,089	5,874,111	6,035,101
Encumbrances	1,246,952	2,283,920	1,794,608	1,776,768	1,762,285	1,797,531	1,833,481
Unencumbered Cash Balance	3,741,089	3,611,563	3,439,522	3,805,329	3,960,804	4,076,580	4,201,620

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Faculty Housing)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide rental housing units to faculty and staff at UH Mānoa.

Source of Revenues:

Revenue is derived from rental and parking fees, laundry commissions, and interest earned.

Current Program Activities/Allowable Expenses:

The rental housing program consists of 208 rental apartment units at the Waahila and Kauikahaloa Nui (K-Nui) housing projects with a wait list of faculty and staff.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,361,491	1,361,491	1,361,491	1,361,491	1,361,491	1,361,491	1,361,491
Beginning Cash Balance	3,944,573	4,148,428	4,476,822	4,771,393	5,267,736	4,497,235	4,839,072
Revenues	2,758,758	2,831,474	2,905,824	3,004,582	2,959,814	3,042,644	3,064,405
Expenditures	1,435,615	1,389,292	1,497,091	1,394,826	3,730,315	2,700,807	1,585,807
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
\$212,831.25 transfer-out for debt service (2262682)							
\$700,000.00 transfer-out for debt service (2262682)							
\$200,581.25 transfer-out for debt service (2262682)							
Net Total Transfers	(1,119,288)	(1,113,788)	(1,114,163)	(1,113,413)	0	0	0
Ending Cash Balance	4,148,428	4,476,822	4,771,393	5,267,736	4,497,235	4,839,072	6,317,670
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	4,148,428	4,476,822	4,771,393	5,267,736	4,497,235	4,839,072	6,317,670

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Wa'ahila (017263) and K-Nui (017273) Fac Hsg Sec Dep accounts contain funds belonging to faculty housing tenants. Funds are a liability and are returned to tenant upon completion of lease.

* Expenditures in projected years include repair and replacement projects charged to accounts which do not count against the expenditure ceiling.

Projected R&R Costs by FY:

2,306,000 1,226,000 111000

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Food Services)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.
 Responsible for maintaining and operating food services on the Mānoa campus.

Source of Revenues:

Revenue is derived from Paradise Palms, mobile vendors and vending operation rebates.

Current Program Activities/Allowable Expenses:

Payroll and daily operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in expenses from FY15 to FY16 due to reduced payroll cost (FTE left in April and did not hire new casual hire in FY16) and utilities
 Decrease in revenues from FY16 to FY17 due to outstanding rebates and unreported sales by The Curb as well as the switch between Pepsi to Coke which impacted the beverage vending rebates.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	553,441	553,441	553,441	553,441	553,441	553,441	553,441
Beginning Cash Balance	612,824	557,023	596,062	743,114	767,307	584,158	751,784
Revenues	757,156	787,763	821,984	670,425	690,538	711,254	732,591
Expenditures	495,421	514,714	461,908	451,152	873,687	543,628	742,987
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(317,536)	(234,010)	(213,024)	(195,079)	0	0	0
Ending Cash Balance	557,023	596,062	743,114	767,307	584,158	751,784	741,389
Encumbrances	35,971	47,331	46,108	57,821	0	0	0
Unencumbered Cash Balance	521,052	548,731	697,006	709,486	584,158	751,784	741,389

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Expenditures in projected years include repair and replacement projects charged to accounts which do not count against the expenditure ceiling.
 Projected R&R Costs by FY: 409,000 65,000 250000

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Parking Operations)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.
 Responsible for maintaining and operating the parking facilities for the Mānoa campus.

Source of Revenues:

Revenue is derived from parking permits and passes, gate receipts and parking citations.

Current Program Activities/Allowable Expenses:

Payroll and daily operating expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

To cover higher recurring expenditures caused by increases in utilities, payroll, contracted services and a proposed bus pass subsidy program.

Variances:

Increase in revenues from FY14 to FY15 due return of stalls lost in Zone 13 IT building construction, increase in upper campus permit sales, Lab school late payment for FY14 passes, increase in citations fines paid, AD Walls made no commission payment in FY14 and increase in Enterprises commissions.
 Decrease in expenditures from FY14 to FY15 due decrease in contracted services, student workers, supplies and Ceded Land payment.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,250,000	6,750,845	6,750,845	6,750,845	6,750,845	6,750,845	6,750,845
Beginning Cash Balance	2,586,237	2,209,612	3,363,446	4,081,048	4,634,112	3,659,746	3,589,126
Revenues	5,943,634	6,605,358	6,356,205	6,038,538	6,370,906	6,370,906	6,370,906
Expenditures	6,258,763	5,393,159	5,577,563	5,421,557	7,345,272	6,441,526	6,246,574
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(61,496)	(58,365)	(61,039)	(63,917)	0	0	0
Ending Cash Balance	2,209,612	3,363,446	4,081,048	4,634,112	3,659,746	3,589,126	3,713,458
Encumbrances	2,379,106	2,899,854	2,806,202	2,807,192	2,800,000	2,800,000	2,800,000
Unencumbered Cash Balance	(169,494)	463,592	1,274,846	1,826,921	859,746	789,126	913,458

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Proposal to increase parking rates beginning in FY15 is pending BOR approval

* Expenditures in projected years include repair and replacement projects charged to accounts which do not count against the expenditure ceiling.

Projected R&R Costs by FY: 1500000 400,000 0

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
UH Revenue Undertakings Fund (Campus Center
 Name of Fund: Operations & Recreation Services)
 Legal Authority 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

This major appropriation provides funding to cover principal and interest payments of the State General Obligation & Revenue bonds sold to provide construction, renovation, and repair funds for student life facilities including Campus Center, Hemenway Hall, and Student Recreation Services. Funds are also used to cover costs of operations and maintenance of student life facilities, programs, services, and activities designed to meet the social, intellectual, recreational and cultural needs of campus community members served.

Source of Revenues:

Mandatory student fees, interest income, room rental income, leisure class fees, game commissions, ticket sales, league registration fees, equipment rental fees, passport handling fees.

Current Program Activities/Allowable Expenses:

Daily operations of the Campus Center and Hemenway Halls including the Ticket & Information Desk, Gamesroom, Computer Lab, Marketing & Graphics, Meeting & Events Services, Building Operations, Grounds Maintenance, Leisure Classes, Leisure Rentals, Recreational Sports Program. Allowable expenses include personnel, general operating expenses, repair & maintenance costs, utilities, equipment, advertising, travel, food, and other operations-related expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

With the completion of the new Recreation Center, operational and personnel cost are expected to increase significantly.

Variances:

Increase of expenditures in FY 2015 was mainly attributed to custodial supplies and personnel costs for the Warrior Recreation Center.

Increase in Revenues for FY 2014 was attributed mainly to the opening of the Warrior Recreation Center and revenue for the membership fees.

In FY 2017, repairs and maintenance for the Campus Center complex were minimal compared to FY 2016.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,740,835	5,740,835	5,740,835	5,740,835	5,740,835	5,740,835	5,740,835
Beginning Cash Balance	12,768,482	15,029,298	14,695,577	15,081,625	15,545,344	15,745,344	15,945,344
Revenues	8,145,384	7,631,615	7,852,524	7,776,478	7,700,000	7,700,000	7,700,000
Expenditures	3,859,798	4,535,813	5,606,467	4,746,478	5,000,000	5,000,000	5,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(2,024,770)	(3,429,523)	(1,860,008)	(2,566,281)	(2,500,000)	(2,500,000)	(2,500,000)
Ending Cash Balance	15,029,298	14,695,577	15,081,625	15,545,344	15,745,344	15,945,344	16,145,344
Encumbrances	287,283	1,528,469	399,142	666,269	500,000	500,000	500,000
Unencumbered Cash Balance	14,742,014	13,167,108	14,682,484	14,879,075	15,245,344	15,445,344	15,645,344

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (MCO SODA FUND)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Provision of food services for MCO events.

Source of Revenues:

Revenue is derived from vending operation rebates.

Current Program Activities/Allowable Expenses:

Food and beverage expenses for MCO sponsored events.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	0	0	0	0	74,500	38,000
Revenues							
Expenditures					35,000	36,500	38,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	109,500	0	0
Ending Cash Balance	0	0	0	0	74,500	38,000	0
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	74,500	38,000	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Student Housing - OSA)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor. Student Housing Services is a self-supporting University Project. It is an integral part of the educational program and academic support services. It assists in the recruitment and retention of students by providing safe and reasonably priced accommodations conducive to student learning and affords opportunities for personal growth and individual development. Voluntary and Mandatory R&R reserves are established to maintain the physical infrastructure and appearance of the Student Housing residence halls and apartments. As part of the University Bond System, Student Housing is required to fund and maintain reserves for projects related to renovation, repair and maintenance.

Source of Revenues:

Revenues are derived from rental income, application fees, commissions and rebates, investment income and miscellaneous fees and fines.

Current Program Activities/Allowable Expenses:

On-campus accommodations are available for approximately 3,900 students. Approximately 52% are Hawai'i residents and 48% from the mainland and foreign countries. Student Housing offers wellness halls, honors floors, freshmen year experience halls and a variety of resident programs and projects throughout the academic year. All expenses associated with the operation of residence halls are paid from revenues, including personal services, utilities, materials and supplies, repair and maintenance, etc. Voluntary R&R reserve funds can be used for general repair, renovation and replacement of facilities' systems, furniture, exterior/interior envelopes. Funds can also be used for emergency issues and to fund large scale projects in lieu of having to use bond monies and associated interest. Mandatory R&R reserve funds can be used for major repair, renovation and replacement of capital assets.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

- (1) Increase in FY 2014 revenues vs. FY 2013 is primarily due to 5% Board approved rate increase, along with higher average occupancy.
- (2) Decrease in FY 2015 expenditures vs. FY 2014 is primarily due to completion of Windows General Repairs project in FY 2015 (\$1,861,312 spent in FY 2014 vs. \$207,111 spent in FY 2015).

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,212,179	17,412,179	17,412,179	17,412,179	17,412,179	17,412,179	17,412,179
Beginning Cash Balance	13,318,968	14,413,222	18,830,630	24,488,733	30,242,840	30,210,918	23,310,070
Revenues	24,109,333	25,686,122	26,187,607	26,737,941	23,271,087	21,075,234	21,362,430
Expenditures	15,696,330	14,025,906	13,439,616	13,894,919	16,517,185	21,500,000	23,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfers-out for debt service		(7,242,808)	(7,089,889)	(7,088,914)	(6,785,824)	(6,476,082)	(6,418,491)
Net Total Transfers	(7,318,749)	(7,242,808)	(7,089,889)	(7,088,914)	(6,785,824)	(6,476,082)	(6,418,491)
Ending Cash Balance	14,413,222	18,830,630	24,488,733	30,242,840	30,210,918	23,310,070	14,754,009
Encumbrances	2,160,492	1,223,231	1,137,633	1,461,296	1,500,000	1,500,000	1,500,000
Unencumbered Cash Balance	12,252,730	17,607,399	23,351,099	28,781,544	28,710,918	21,810,070	13,254,009

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Expenditures exceed the ceiling during FY 2019 - FY 2020 because the following expenditures are projected to be made from the Voluntary R&R funds:

FY 2019 (estimated)	FY 2020 (estimated)
5,000,000	7,000,000

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Telecommunications)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To fund Telecommunication operations

Source of Revenues:

To fund Telecommunication operations

Current Program Activities/Allowable Expenses:

Supplies and equipment to support the Telecommunication operations

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and Expenditures for FY16 - Continued network infrastructure upgrade of buildings on the Manoa Campus which was completed in May 2016

Decrease in Revenues and Expenditures for FY17 is the result of the completion of the network infrastructure upgrade of buildings on the Manoa Campus in FY16

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065	4,291,065
Beginning Cash Balance	9,148,281	7,836,352	5,799,266	4,917,173	4,003,711	2,924,661	3,138,400
Revenues	7,591,379	4,860,360	3,856,673	3,369,285	3,854,824	3,400,000	3,400,000
Expenditures	7,688,251	5,681,262	3,520,390	3,067,945	3,721,204	3,000,000	3,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,215,058)	(1,216,185)	(1,218,375)	(1,214,802)	(1,212,670)	(186,261)	0
Ending Cash Balance	7,836,352	5,799,266	4,917,173	4,003,711	2,924,661	3,138,400	3,538,400
Encumbrances	3,840,673	1,856,295	2,049,801	1,636,990	1,500,000	1,500,000	1,500,000
Unencumbered Cash Balance	3,995,679	3,942,970	2,867,372	2,366,722	1,424,661	1,638,400	2,038,400

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Debt Svc Trf * 1,217,261 1,216,186 1,218,376 1,214,802 1,212,670 186,261

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (UHRUF Administration)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Administration of the University Revenue-Undertakings Fund and the University Bond System (UBS).

Source of Revenues:

Interest income and transfer from Department of Health for Refunding Series 2006A, Series 2015D(R) and Series 2015E(R) revenue bond debt service (Sect 328L-2, HRS)

Current Program Activities/Allowable Expenses:

Develops and implements policies, rules, and procedures to ensure the self-financing nature of the bond projects. Prepares prospectus and financing models; complies and analyzes financial data relating to the sale of university revenue bonds; administers the service of debt; and performs required post-issuance financial disclosures and federal tax compliance on issued revenue bonds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues - pool interest distribution

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	9,930,637	9,928,846	9,926,669	9,563,907	11,856,374	10,814,147	9,773,439
Revenues	2,896	2,344	4,374	7,937	7,900	7,900	7,900
Expenditures	0	0	0	0			
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
transfer in - tobacco settlement				11,799,348	8,412,295	8,412,295	8,412,295
transfer out - debt service				(9,514,819)	(9,462,422)	(9,460,903)	(9,463,064)
Net Total Transfers	(4,687)	(4,521)	(367,136)	2,284,530	(1,050,127)	(1,048,608)	(1,050,769)
Ending Cash Balance	9,928,846	9,926,669	9,563,907	11,856,374	10,814,147	9,773,439	8,730,570
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	9,928,846	9,926,669	9,563,907	11,856,374	10,814,147	9,773,439	8,730,570

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							
Amount Required for Debt Service	9,925,950	9,924,325	9,548,715	9,462,422	9,460,903	9,463,064	9,456,871

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Ching Field)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To account for all revenues and costs of the university project (Ching Field Project) as defined by section 304A-2671, HRS.

Source of Revenues:

Income, revenues, or moneys received by the university including appropriations related to university projects.

Current Program Activities/Allowable Expenses:

Funds are applied to costs of construction, operation, repair, and maintenance of university projects, university systems, or networks; to pay principal and interest on revenue and general obligation bonds; to reimburse the university for expenses related to issuance of revenue bonds; or to provide reserve for renewal and replacement of university projects, university systems, or networks.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in revenues from FY14 to FY15 due to decrease in interest

Increase in expenditures from FY14 to FY15 due to increase in Rating Agency Fees, Arbitrage Report Fee, and Audit cost

Increase in revenues from FY15 to FY16 due to increase in interest

Decrease in expenditures from FY15 to FY16 due to decrease in Rating Agency Fees, Arbitrage Report Fee, and Audit cost

Decrease in revenues from FY16 to FY17 due to decrease in interest

Increase in expenditures from FY16 to FY17 due to increase in expenses to upkeep facility

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling							
Beginning Cash Balance	(212)	(0)	(1)	53,966	108,599	134,699	154,799
Revenues	85	2	138	65	100	100	100
Expenditures	386	430	376	5,241	34,000	40,000	40,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	512	428	54,205	59,808	60,000	60,000	60,000
Ending Cash Balance	(0)	(1)	53,966	108,599	134,699	154,799	174,899
Encumbrances	0	0	0	32,900	0	0	0
Unencumbered Cash Balance	(0)	(1)	53,966	75,699	134,699	154,799	174,899

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Cancer Center)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

CRCH Project Construction Operating Account

Source of Revenues:

Bond System

Current Program Activities/Allowable Expenses:

None

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	8	0	3,119,244	6,219,374	6,231,613	6,243,613	6,255,613
Revenues	0	0	7,783	17,638	12,000	12,000	12,000
Expenditures	0	0	6,965	5,399	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(8)	3,117,934	3,099,312	0	500,000	500,000	500,000
Ending Cash Balance	0	3,117,934	6,219,374	6,231,613	6,243,613	6,255,613	6,267,613
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	3,117,934	6,219,374	6,231,613	6,243,613	6,255,613	6,267,613

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (IFA - PANSTARRS 2)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for costs of construction, operation, repair, and maintenance of the Pan-STARRS 2 telescope on Haleakala, Maui.

Source of Revenues:

Interest

Current Program Activities/Allowable Expenses:

Debt service charges

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	0	(67)	0	175	335	343	325
Revenues	0	0	6	11	10	10	10
Expenditures	327	357	331	293	400	400	400
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
MA-3389252	113,002	112,952	112,965	112,859	111,800	110,700	110,700
MA-8100015	(61,327)	(61,106)	(61,042)	(60,976)	(59,902)	(58,810)	(58,810)
MA-8100017	(38,657)	(40,044)	(41,893)	(43,836)	(45,685)	(47,812)	(50,217)
MA-8100019	(12,759)	(11,378)	(9,530)	(7,605)	(5,815)	(3,706)	(1,255)
Net Total Transfers	260	425	500	442	398	372	418
Ending Cash Balance	(67)	0	175	335	343	325	353
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(67)	0	175	335	343	325	353

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Law - Exp/Mod Oper)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Source of Revenues:

Revenues are generated from interest distributions from the revenue bond.

Current Program Activities/Allowable Expenses:

Expenses related to the construction of the Law School's Clinical Building, including expenses related to the issuance and maintenance of revenue bonds such as rating fees, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The account was established in FY 2016 and did not reflect an entire year's worth of revenue / expenses. The figures from FY 2017 forward should reflect the annual revenue and expenditures that include rating agency fees and audit costs.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	34	(0)	(0)
Revenues			13	34	42	45	40
Expenditures			116	382	400	412	410
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	103	382	324	367	370
Ending Cash Balance	0	0	0	34	(0)	(0)	(0)
Encumbrances			0	0	0	0	0
Unencumbered Cash Balance	0	0	0	34	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (Regional Biolab)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Design and construct a Pacific Regional Biosafety laboratory

Source of Revenues:

Revenue Bonds

Current Program Activities/Allowable Expenses:

None - The Project was canceled.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	2	2	1	3	3	3	3
Revenues	0	0	2	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	2	2	3	3	3	3	3
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	2	2	3	3	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Revenue Undertakings Fund (OVCRGE Biomed)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide for all costs of construction, operation, repair, and maintenance of a university project.

Source of Revenues:

Revenue Bonds

Current Program Activities/Allowable Expenses:

Bond related expenses such as bond audit, rating agency fees, arbitrage reports, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance due to adjustments to annual audit agency fees for the Sinclair Library and CMORE bond debt service payments.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	102	3	0	2	2	0	0
Revenues	13	(0)	(6)	72	72	72	72
Expenditures	1,664	1,948	1,791	1,422	1,422	1,422	1,422
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,550	1,946	1,799	1,350	1,348	1,350	1,350
Ending Cash Balance	3	0	2	2	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3	0	2	2	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Hawaii Cancer Research SF
 Legal Authority: 304A-2168, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, the moneys in this fund shall be used by the University of Hawaii for the Cancer Research Center of Hawaii's research and operating expenses and capital expenditures.

Source of Revenues:

State revenues from taxes on the purchase of cigarettes.

Current Program Activities/Allowable Expenses:

Current year research, operating, and capital expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Needed for OCI Planning and Design contracts, for the construction of the new Cancer Center building.

Variances:

FY 2015 expenditures are negative as (\$20.4M) adjustment was posted as exp to record Kakaako construction proj closeout

Other expenditure variances are due to an increase in tuition allocation to offset expenditures on the special fund and the return of the governor's restriction in FY2017 and 2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000,000	26,000,000	26,000,000	26,000,000	26,000,000	26,000,000	26,000,000
Beginning Cash Balance	28,865,113	20,782,020	29,363,149	20,688,472	20,086,765	18,483,957	14,281,187
Revenues	15,831,448	14,871,188	14,294,600	14,468,289	14,448,328	14,303,845	14,160,365
Expenditures	16,006,565	(4,719,978)	11,993,673	7,196,242	7,678,968	10,132,547	10,289,198
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt service (2265392)		(7,892,579)	(7,876,293)	(7,873,753)	(7,872,168)	(7,874,068)	(7,874,068)
Repair reserve (2265392)		(3,117,458)	(3,092,347)	0	(500,000)	(500,000)	(500,000)
Net Total Transfers	(7,907,976)	(11,010,037)	(10,975,605)	(7,873,753)	(8,372,168)	(8,374,068)	(8,374,068)
Ending Cash Balance	20,782,020	29,363,149	20,688,472	20,086,765	18,483,957	14,281,187	9,778,286
Encumbrances	1,602,792	1,003,934	1,145,085	358,120	0	0	0
Unencumbered Cash Balance	19,179,228	28,359,215	19,543,386	19,728,645	18,483,957	14,281,187	9,778,286

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
Energy Systems Development SF (OPF -
 Name of Fund: Sustainability Initiative)
 Legal Authority 304A-2169.1, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the purpose of developing an integrated approach to and portfolio management of renewable energy and energy efficiency technology projects that will reduce Hawaii's dependence on fossil fuel, imported oil, and other imported energy resources and move Hawai'i toward energy self-sufficiency.

Source of Revenues:

The ESDSF derives income from rebates, grants or incentives associated with energy conservation measures executed on UH projects. Also capital appropriations made by the legislature, investment/revenue bond earnings, gifts and donations or other income received by UH are eligible sources of revenue.

Current Program Activities/Allowable Expenses:

Our current program shall use the revenues of the fund to support project planning, design and implementation in the areas of energy efficiency/conservation, renewable energy, and sustainability including personnel, equipment costs for the benefit of the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	14,588	0	0
Revenues				5,588	25,400	0	0
Expenditures				(9,000)	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
To Transfer to Systems					(39,988)		
Net Total Transfers	0	0	0	0	(39,988)	0	0
Ending Cash Balance	0	0	0	14,588	0	0	0
Encumbrances				0			
Unencumbered Cash Balance	0	0	0	14,588	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Energy Systems Development SF (SOEST - HNEI)
 Legal Authority: 304A-2169.1, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the purpose of developing an integrated approach to and portfolio management of renewable energy and energy efficiency technology projects that will reduce Hawaii's dependence on fossil fuel, imported oil, and other imported energy resources and move Hawaii toward energy self-sufficiency.

Source of Revenues:

\$.10 from each dollar of the barrel tax authorized under Act 73, 2010; Re-established under Act 107, 2014.

Current Program Activities/Allowable Expenses:

Obtaining matching funds from federal and private sources for research, development, and demonstration of renewable energy sources; awarding contracts or grants to develop and deploy technologies that will reduce Hawaii's dependence on imported energy resources and oil; manage the portfolio of projects commissioned under the fund.

Purpose of Proposed Ceiling Adjustment (if applicable):

Although established in July 2007, no funds were allocated to this fund until July 2010 when under ACT 73, 10 cents of the tax on each barrel of oil was to be deposited into the account. As specified in HRS304A-1892, the energy systems development special fund was to be managed by HNEI. However, the authorization to access those funds was included in the Budget Worksheets under Program ID# BED120, under the Department of Business, Economic Development and Tourism. The authorization to access these new funds has since been resolved and UH is now proceeding as intended.

Variances:

The variance in expenditures was caused by delays in securing agreements with subcontractors and project partners for planned projects. Program is continuing to negotiate these agreements in FY18. The variance in revenue (Net Total Transfers) can only be explained by an increased use of oil within the State of Hawaii during the past fiscal year; revenue is derived from a 10 cents tax on each barrel of imported oil.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	199,495	398,045	1,628,556	3,846,786	4,916,949	5,018,949	4,620,949
Revenues	519	2,032	6,138	12,692	2,000	2,000	2,000
Expenditures	3,181	822,080	572,148	1,478,501	2,000,000	2,500,000	2,500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	201,212	2,050,559	2,784,240	2,535,972	2,100,000	2,100,000	2,100,000
Ending Cash Balance	398,045	1,628,556	3,846,786	4,916,949	5,018,949	4,620,949	4,222,949
Encumbrances	0	0	0	9,263	0	0	0
Unencumbered Cash Balance	398,045	1,628,556	3,846,786	4,907,686	5,018,949	4,620,949	4,222,949

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

The Energy Systems Development Special Fund included a sunset date of June 30, 2013, effectively ending the University's authorization to use the remaining funds. Act 107, SLH 2014 re-established the Energy Systems Development Special Fund effective July 1, 2014. The projected revenues reflect the anticipated interest revenue that will be earned by the fund's annual ending cash balance. Estimated expenditures in FY16 reflect the planned expenditures for FY16 by HNEI and its project partners. Planned expenditures include external subcontracts and MOAs with other UH departments. The collection of this fund is made by another State agency (B&F) and transferred to UH; these collections are reflected under Net Total Transfers.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 UH CIP Project Assessment SF (OPF - Other
 Name of Fund: Special CIP Assessment Special Fund)
 Legal Authority: 304A-2172, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the purpose of defraying the costs involved in:

- Carrying out capital improvements program projects managed by the university;
- Equitably assessing, collecting, and distributing moneys for current and other expenses associated with capital improvements program projects, repair, and maintenance projects, and major renovation projects;
- Managing the payment of expenses assessable against capital improvements program projects managed by or through the university, such as printing, employee transportation requirements, project-related travel costs, travel per diem, and car mileage reimbursements, in accordance w/applicable laws & collective bargaining agreement; and
- Managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the capital improvements program projects managed by the university.

Source of Revenues:

Assessments are made based on a pre-existing schedule generated by the Department of Accounting and General Services to assess capital appropriations. Assessments are made for the design and construction phases of projects.

Current Program Activities/Allowable Expenses:

Expenditures from the UH CIP Project Assessment Special Fund are limited to operational expenses of University facilities offices. Allowable operational expenses include, but are not limited to, project and professional related travel, training, telecommunication charges, office supplies, computer hardware and software, motor vehicle use and maintenance, dues, subscriptions, printing, postage, and other operational expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	30,000	30,000	30,000
Expenditures				0	30,000	30,000	30,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: Child Care Programs SF
 Legal Authority: 304A-2173, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund was established for the operation of child care programs and the construction and renovation of child care centers established by the University of Hawaii.

Fees charged are deposited into this fund.

Source of Revenues:

Fees for services, application and comprehensive fees.

Current Program Activities/Allowable Expenses:

Program provides quality integrated child care services and provides training opportunities for the development of competent professionals by serving as a training site.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2014, supplemental time off salary reduction concluded on 6/30/13 and salary restoration and new salary schedule was implemented, thereby, large increase in personnel costs.

FY 2015, approved 3% fee increase effective 01/01/2015 generated additional revenues.

FY 2016, decrease in expenditures due to lower Personnel costs and non-recurring \$24,000 purchase of playground equipment in FY 2015.

FY 2017, increase in expenditures due to the reimbursement of \$30,000 from Child Nutrition program recorded 7/2017 and 4% across the board pay raise for personnel.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,375,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	159,953	103,265	159,395	373,496	390,920	400,920	405,920
Revenues	1,035,734	1,193,039	1,120,281	1,035,375	1,150,000	1,175,000	1,200,000
Expenditures	1,092,422	1,136,909	906,180	1,017,950	1,140,000	1,170,000	1,195,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	103,265	159,395	373,496	390,920	400,920	405,920	410,920
Encumbrances	83,206	16,157	33,349	35,450	21,000	23,000	25,000
Unencumbered Cash Balance	20,059	143,238	340,147	355,471	379,920	382,920	385,920

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
Prog ID(s): UOH-100
Name of Fund: UHM Intercollegiate Athletics SF
Legal Authority: 304A-2176, HRS

Contact Name: Bob Nagao
Phone: 956-4557
Fund type (MOF) B
Appropriation Acct. No. S-306-F

Intended Purpose:

Per statute, this fund shall be used to receive, deposit, disburse, and account for funds from the activities of the intercollegiate athletic programs. The Athletics Department Special Fund is used to account for the financial transactions of the UH Manoa Intercollegiate Athletics programs. Financial supports cover all activities (administrative, support services, and sports) necessary and proper to operate and maintain an intercollegiate sports program at the NCAA Division 1 level with both male and female student participants. Unlike General Funds, the Special Fund is unique and flexible and therefore intangible factors considered during establishment were: (1) provides flexibility to address unanticipated expenditures which may require a considerable appropriation over the original ceiling in the late fourth quarter of the fiscal year; (2) permit expenditures that are common and necessary to athletic operations that normally are not authorized by General Fund policies; and (3) allow the Athletic Department to provide over 500 student-athletes the opportunity and support to participate at the highest level of collegiate competition.

Source of Revenues:

The Athletics Department Special Fund earns revenue through a variety of sources. Tickets to Intercollegiate Athletic events for football, men's and women's basketball and volleyball, and baseball are sold. Revenue is also earned from television and radio broadcast rights relating to the intercollegiate athletic events. Corporate sponsorships are another source of revenue where sponsorships and tickets are sold to corporations and businesses. Opposing teams also pay guarantees to the Athletic Department for contests played at opposing teams' sites. The National Collegiate Athletic Association and the Mountain West and Big West Conferences with the Athletic Department participated in also pay out funds for the Department's participation. Revenue is also earned from concession sales at the Stan Sheriff Center and the Les Murakami Stadium. Donations are another source or revenue. Also, students of UH Mānoa pay an athletic fee of which the Athletics Department retains 92% of the amount collected. FY 2017-FY 2019 revenues assume that the department receives \$2.7M in general funds from the legislature and \$0.5M additional from Mānoa in general funds for student support positions.

Current Program Activities/Allowable Expenses:

The Athletic Department's Special Fund expenses include payment to employees for services, scholarship and medical expenses of student-athletes, travel for student-athletes, coaches and staff to competitions and meetings, recruiting of prospective student-athletes, equipment, materials and supplies needed for operations, payments to officials, dues to the Big West and Mountain West Conferences, payment of guarantees to/for visiting teams, credit card and transaction fees for ticket sales and other operating expenses. FY 2017-FY 2019 expenses assume that the department receives \$2.7M in general funds from the legislature and \$0.5M additional from Mānoa in general funds for student support positions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues decreased by 13% from 2012 to 2013 due to decreased ticket sales, concessions, tv revenue and corporate partnerships. Revenues increased by 29.6% from 2014 to 2015 due to donations from AKA being deposited to the special fund instead of the restricted fund because AKA revised what their annual donation could be used for. In the past AKA's donation could only be used for scholarship and medical expenses, but the beginning in 2014, their donation could be used for any expenses that support the Athletics Department. Also, \$1.7 million of AKA's FY 2014 was not received until FY 2015 causing FY 2015 revenue to be higher.

Increase in expenses from 2012 to 2013 due to joining the Big West Conference and Mountain West Conference and having to pay travel subsidies.

Increase in expenses from 2014 to 2015 due to paying scholarship expenses from the special fund instead of the restricted fund. See note above relating to the AKA donation. Increase also due to higher team travel, guarantee and personnel expenses.

Decrease in revenue of \$4.2M from FY 2015 to FY 2016 due to ticket sales declining \$0.4M due to the poor performance of our football team. Decrease also due to not receiving the Ahahui Koa Ānuenue (AKA) donation of \$2.1M by June 30, 2016. Donation was received after fiscal year end, but was booked as a receivable at 6/30/16. Decrease also due to receiving \$1.7M of the FY 2014 AKA donation in FY 2015, although amount was booked as a receivable as of 06/30/14.

Revenues increased in 2017 due to receiving a one time distribution of \$794,000 from the NCAA in 2017. Also, increase due to \$2.1M in AKA donation for 2016 in FY 2017. Decrease in expenses due to receiving \$2.7M from the legislature in general funds which were use to pay for team travel and game guarantees, decreasing the amount paid from the special fund. Decrease also due to receiving an additional \$500k from Manoa in general funds to be used for student support personnel salaries, decreasing the amount paid from the special fund.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000	30,000,000
Beginning Cash Balance	(12,482,514)	(15,353,905)	(16,166,321)	(23,306,842)	(22,113,783)	(23,818,422)	(27,422,330)
Revenues	18,267,168	23,677,540	19,487,240	24,659,323	21,889,534	21,539,160	21,448,952
Expenditures	22,415,379	24,837,053	26,800,447	23,600,480	24,294,173	25,843,068	27,617,118
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	1,276,820	347,098	172,686	134,216	700,000	700,000	700,000
Ending Cash Balance	(15,353,905)	(16,166,321)	(23,306,842)	(22,113,783)	(23,818,422)	(27,422,330)	(32,890,496)
Encumbrances	586,089	530,317	544,176	523,388	0	0	0
Unencumbered Cash Balance	(15,939,994)	(16,696,638)	(23,851,018)	(22,637,171)	(23,818,422)	(27,422,330)	(32,890,496)
Additional Information:							
Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow							
Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH at Manoa Malpractice SF
 Legal Authority: 304A-2152, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, this fund shall be used for costs arising from the defense and settlement of claims against the University, its students, or its faculty for professional malpractice in programs that provide professional services.

To maintain a reserve with which to pay expenses related to malpractice claims filed against John A. Burns School of Medicine faculty physicians.

Source of Revenues:

Interest earned from investment of available cash by the University of Hawai'i and charging faculty physicians' funding agencies their fair share of the reserve contribution.

Current Program Activities/Allowable Expenses:

Judgements, settlements, attorney fees and other costs related to the defense against malpractice claims filed against faculty physicians and entities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues FY 2014: Variance due to increase in malpractice premiums billed to UCERA/Hospital

Expenditures FY 2014: Variance due to drop in claims expense.

Revenues FY 2015: Variance due to centralization and timing of malpractice premiums received from UCERA/Hospital

Expenditures FY 2015: Variance due to increase in claims cost and admin salaries.

Expenditures FY 2017: Variance due to increase in claims cost, insurance premiums and admin salaries.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	700,000	700,000	700,000	700,000	700,000	700,000
Beginning Cash Balance	1,132,867	1,297,416	1,591,624	1,404,108	1,132,520	1,132,520	1,132,520
Revenues	260,773	872,796	457,198	449,259	500,000	500,000	500,000
Expenditures	96,224	578,589	644,715	720,846	500,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	1,297,416	1,591,624	1,404,108	1,132,520	1,132,520	1,132,520	1,132,520
Encumbrances	0	22,531	0	0	0	0	0
Unencumbered Cash Balance	1,297,416	1,569,093	1,404,108	1,132,520	1,132,520	1,132,520	1,132,520

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-110
UH Auxiliary Enterprises SF (JABSOM Hyperbaric
 Name of Fund: Treatment Center)
 Legal Authority 304A-2157, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.
 For the operation of the Hyperbaric Treatment Center which was transferred from the Department of Health to the University of Hawai'i in the FY 1998 appropriations act.
 JABSOM will be moving HTC operations over to UHP/UCERA in FY2018. \$500K State funds received in support of HTC will be paid to UHP/UCERA on ongoing basis to support operations.

Source of Revenues:

Revenues to the fund are generated from patients who are treated at the center for hyperbaric oxygen services for diving accidents and medical health treatments. Payments for these services come from insurance companies and patients. Interest earned from investment of available cash is another source of revenue.

Current Program Activities/Allowable Expenses:

Expenses related to the operation of the HTC including salaries and fringe, office and medical supplies, communications, equipment, repairs, janitorial and laundering services, patient meals, travel, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues FY 2013/FY 2014: Service revenues are recorded on UCERA (physician practice plan) books effective FY2013.
 Revenues FY2015: Service revenues gradually dropping on collections of aged account receivables
 Expenditures FY 2013/FY 2014: Drop in operating expenditures due to insufficient funds remaining in this special fund. Expenditures now posted to UCERA's books and JABSOM tuition account.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	525,000	525,000	525,000	525,000	525,000	525,000	525,000
Beginning Cash Balance	1,616	1,879	2,017	2,191	2,393	2,593	2,793
Revenues	262	138	174	202	200	200	200
Expenditures	0	0	0	0	350,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in from Tuition or RTRF					350,000	500,000	500,000
Net Total Transfers	0	0	0	0	350,000	500,000	500,000
Ending Cash Balance	1,879	2,017	2,191	2,393	2,593	2,793	2,993
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	1,879	2,017	2,191	2,393	2,593	2,793	2,993

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-100
 Name of Fund: UH Scholarship & Assistance SF (JABSOM Scholarship)
 Legal Authority: 304A-2159, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Per BOR policy, a percentage of tuition revenue earned by the University must be made available to students in the form of merit-based scholarship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues				0	0	0	0
Expenditures				931,450	950,000	950,000	950,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2302134 transfer in from TSF				931,450	950,000	950,000	950,000
Net Total Transfers	0	0	0	931,450	950,000	950,000	950,000
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances				0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH Revenue Undertakings Fund (JABSOM)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To support the medical school's operations and train and graduate new physicians until June 30, 2015.

Source of Revenues:

Interest earned from investment of available cash by the University of Hawaii.

Current Program Activities/Allowable Expenses:

Funds are to be used to cover operating expenses related to the education and training of medical students.

This includes lease and utility costs at our medical education sites.

Purpose of Proposed Ceiling Adjustment (if applicable):

Special fund ceiling is being transferred from UH Manoa to JABSOM beginning in FY 2013.

Variances:

Revenues FY 2014: Variance represents overspend of tobacco settlement funds

Revenues FY 2016: Tobacco Settlement fund sunsets as of FYE 2015.

Expenditures FY 2015: Variance due to reduction of tobacco settlement funds received.

Expenditures FY 2016: Tobacco Settlement fund effective sunset date was July 1, 2015.

Expenditures FY 2017: Agency rating fees charged to account. Future fees will be charged to revolving fund.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,284,040	2,000,000	0				
Beginning Cash Balance	79	34	20	(0)	0	0	0
Revenues	34	20	(0)	(0)	0	0	0
Expenditures	2,643,717	1,706,997	3,119	3,502	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	2,643,638	1,706,963	3,099	3,502	0	0	0
Ending Cash Balance	34	20	(0)	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	34	20	(0)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-110
John A. Burns School of Medicine SF -
 Name of Fund: Physician Workforce Assessment
 Legal Authority 304A-2171, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-185-F

Intended Purpose:

Per statute, this fund was established to support JABSOM's activities related to physician workforce assessment and planning.
 To maintain accurate physician workforce assessment information and provide or update personal and professional information to be maintained in a secure database.

Source of Revenues:

Interest earned from investment of available cash by the University of Hawaii and medical license renewal fees charged to physicians.

Current Program Activities/Allowable Expenses:

To support physician workforce assessment and planning activities in Hawaii provided that expenditures are limited to no more than \$245,000 annually

Purpose of Proposed Ceiling Adjustment (if applicable):

To support retention of physicians in accordance with SB 240.

Variances:

Revenues FY2013/FY2014: Physician license renewal fees are collected/received biennially.

Revenues FY 2015: Physician license renewal fees are collected/received biennially.

Revenues FY 2017: Physician license renewal fees are collected/received biennially.

Expenditures FY 2013: Variance due to increase in payroll.

Expenditures FY 2014: Variance due to increase in vendor services and casual hires

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	245,000	245,000	245,000	245,000	245,000	245,000	245,000
Beginning Cash Balance	582,575	338,286	609,990	860,734	665,913	923,163	680,413
Revenues	711	516,500	488,259	48,423	502,250	2,250	502,250
Expenditures	245,000	244,796	237,514	243,245	245,000	245,000	245,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	338,286	609,990	860,734	665,913	923,163	680,413	937,663
Encumbrances	41	204	624	85,729	86,000	86,000	86,000
Unencumbered Cash Balance	338,245	609,786	860,110	580,184	837,163	594,413	851,663

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH Graduate Application RF (JABSOM)
 Legal Authority: 304A-2260, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF): W
 Appropriation Acct. No.: S-381-F

Intended Purpose:

Per statute, application processing fees shall be deposited into this fund and shall be used to pay the costs of processing applications to all graduate programs.

Source of Revenues:

Required application fee paid by all applicants to the John A. Burns School of Medicine and to the medical electives program, and interest earned from investment of available cash.

Current Program Activities/Allowable Expenses:

Expenses related to the distribution, collection and processing of the applications, including salaries and fringe, operating supplies, equipment, repairs, printing, communications, parking fees, travel, etc.

Purpose of Proposed Ceiling Adjustment (if applicable):

Increase needed to cover portion of Director of Admissions salary and full time support staff for medical student admissions. Additional ceiling space needed to cover new admission software cost effective FY 2018.

Variances:

Revenues FY 2014: Variance due to increase in volume of applicants.

Revenues FY 2015: Variance due to reduction in application fees.

Revenues FY 2016: Variance due to increase in volume of applicants.

Revenues FY 2017: Variance due to decrease in volume of applicants.

Expenditures FY 2014: Variance due to hiring of support staff.

Expenditures FY 2015: Variance due to hiring of support staff.

Expenditures FY 2016: Transfer out of support staff cost.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	200,000	200,000	200,000	230,000	238,000	245,000
Beginning Cash Balance	79,988	127,771	91,659	198,493	230,385	208,175	177,965
Revenues	233,721	202,485	283,715	207,494	207,790	207,790	207,790
Expenditures	185,937	238,597	176,881	175,601	230,000	238,000	245,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	127,771	91,659	198,493	230,385	208,175	177,965	140,755
Encumbrances	1,649	4,036	6,576	7,382	7,400	7,400	7,400
Unencumbered Cash Balance	126,122	87,623	191,917	223,004	200,775	170,565	133,355

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-110
 Name of Fund: UH Real Property & Facilities Use RF (JABSOM)
 Legal Authority: 304A-2274, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) W
 Appropriation Acct. No. S-381-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Facilities usage revolving fund to cover expenses related to the rental and usage of JABSOM facilities.

Source of Revenues:

Revenues collected for use of JABSOM facilities which include land, buildings, grounds, furnishings and equipment. Interest earned from investment of available cash is another source of revenue.

Current Program Activities/Allowable Expenses:

Expenses related to operating JABSOM facilities including repairs and maintenance, contractor services, supplies, security, janitorial services, utilities and other operational expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Propose increase in fund ceiling due to escalating parking costs which is expected to increase nearly threefold in FY19.

Variances:

Revenues FY 2014: Variance due to parking lot C revenues collected from users by JABSOM on behalf of HCDA.

Revenues FY 2015: Variance due to increased lease revenues.

Revenues FY 2017: Variance due to loss of 2nd food vendor.

Expenditures FY 2014: Variance due to parking lot lease paid to HCDA for Lot C.

Expenditures FY 2015: Variance due to increase in parking lot lease paid to HCDA for Lot C.

Expenditures FY 2016: Variance due to hiring of support staff.

Expenditures FY 2017: Variance due to hiring of support staff.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	160,000	160,000	160,000	300,000	500,000	500,000
Beginning Cash Balance	108,504	98,553	196,544	214,861	115,633	85,633	95,633
Revenues	134,729	257,148	264,229	226,387	300,000	510,000	510,000
Expenditures	144,680	159,157	242,793	322,113	330,000	500,000	500,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	(3,119)	(3,502)	0	0	0
Ending Cash Balance	98,553	196,544	214,861	115,633	85,633	95,633	105,633
Encumbrances	3,500	8,739	9,197	13,608	0	0	0
Unencumbered Cash Balance	95,053	187,805	205,664	102,025	85,633	95,633	105,633

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Tuition and Fees SF (Regular Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations. Credit courses are offered to both in-state and out-of-state students during the regular academic year (fall/spring).

Source of Revenues:

Tuition and fees for regular session.

Current Program Activities/Allowable Expenses:

Funds are expended for instruction, academic support, student support and institutional support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in expenditures in FY 2016 is due to the timing of expending funds. Some acquisition of goods and services were carried over to FY 2017.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	29,126,841	31,657,396	31,074,004	29,966,043	29,966,043	30,766,830	30,766,830
Beginning Cash Balance	14,388,940	16,038,924	16,160,017	1,485,745	3,466,365	2,508,404	2,246,981
Revenues	35,508,765	36,074,899	35,596,848	35,253,397	34,452,249	34,500,000	34,500,000
Expenditures	27,271,349	27,619,837	24,707,218	24,209,202	26,696,266	26,700,000	26,700,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in for Summer Session		356,866	376,852	435,342	420,000	428,400	437,828
Transfer out for URUF Debt Service		(716,524)	(538,102)	(909,716)	(903,960)	(704,000)	(704,000)
Transfer out for URUF R&R		(40,000)	(37,705)	(104,536)	(40,000)	(40,000)	(40,000)
Transfer out for Scholarships		(6,350,000)	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)	(6,550,000)
Transfer out for System Assessments		(873,279)	(902,460)	(839,325)	(839,325)	(840,000)	(840,000)
Transfer out for Programmatic Support		(711,212)	(514,108)	(308,942)	(282,500)	(282,500)	(282,500)
Transfer out for Act 236			(17,398,378)	(786,398)	(518,159)	(73,323)	(70,000)
Net Total Transfers	(6,587,576)	(8,334,149)	(25,563,901)	(9,063,575)	(8,713,944)	(8,061,423)	(8,048,672)
Ending Cash Balance	16,038,779	16,159,837	1,485,745	3,466,365	2,508,404	2,246,981	1,998,309
Encumbrances	1,998,066	2,686,773	3,449,728	5,045,730	4,000,000	3,500,000	3,000,000
Unencumbered Cash Balance	14,040,714	13,473,065	(1,963,983)	(1,579,365)	(1,491,596)	(1,253,019)	(1,001,691)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Hilo 304A-2153, HRS has two categories (Regular and Summer/CCECS), with a total Unencumbered Cash Balance of \$139,011.34.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/17) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Tuition and Fees SF (Summer Session, CCECS)
 Legal Authority: 304A-2153, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Credit courses are offered to both in-state and out-of-state students by CCECS and during the summer.

Source of Revenues:

Tuition and fees for summer session and CCECS credit courses.

Current Program Activities/Allowable Expenses:

Funds are expended for instruction, academic support, student support and institutional support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The revenue increase in FY 2016 is a result of the fluctuations of course offerings and enrollment during the Summer Session.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	891,534	1,040,534	1,057,534	1,182,534	1,182,534	1,182,534	1,182,534
Beginning Cash Balance	1,950,314	1,917,123	1,804,128	1,881,238	1,726,596	1,500,596	1,262,019
Revenues	1,232,293	1,282,767	1,460,383	1,374,250	1,480,780	1,510,396	1,540,604
Expenditures	966,472	976,554	1,006,551	1,093,183	1,032,666	1,073,973	1,116,932
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(299,011)	(419,208)	(376,722)	(435,709)	(674,114)	(675,000)	(675,000)
Ending Cash Balance	1,917,123	1,804,128	1,881,238	1,726,596	1,500,596	1,262,019	1,010,691
Encumbrances	15,338	11,727	6,490	8,219	9,000	9,000	9,000
Unencumbered Cash Balance	1,901,785	1,792,400	1,874,748	1,718,377	1,491,596	1,253,019	1,001,691

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH Hilo 304A-2153, HRS has two categories (Regular and Summer/CCECS), with a total Unencumbered Cash Balance of \$139,011.34.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/17) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Library SF
 Legal Authority: 304A-2155, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

Source of Revenues:

Revenue generated from the collection of fines and user fees for use of the library services.

Current Program Activities/Allowable Expenses:

Purchase of replacement books and periodicals, and also materials and supplies in support of the library operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue generated is based on usage and collection of fines which will fluctuate from year to year. Expenditures are based on the need to purchase new and/or replacement books and materials. Large purchases are made periodically when funds are available and new or replacement materials, books and supplies are necessary.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	41,309	41,309	21,309	21,309	21,309	21,309	21,309
Beginning Cash Balance	26,766	33,218	7,704	4,671	4,157	3,957	3,973
Revenues	15,371	13,779	9,769	9,479	10,800	11,016	11,236
Expenditures	8,919	39,293	12,802	9,993	11,000	11,000	11,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	33,218	7,704	4,671	4,157	3,957	3,973	4,209
Encumbrances	0	832	223	44			
Unencumbered Cash Balance	33,218	6,872	4,448	4,113	3,957	3,973	4,209

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Community Services SF
 Legal Authority: 304A-2156, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

UH Hilo offers community members access to the university's faculty and resources, and for developing community educational opportunities.

Source of Revenues:

Revenues are generated from tuition and fees charged to students for community service programs (i.e., non-credit classes).

Current Program Activities/Allowable Expenses:

Funds are expended for the purpose of providing community educational programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in revenue and expenditures is a result of increased marketing and development of the non-credit courses available to the public.

Additional marketing, advertising and development of non-credit programs added to the cost of operations and also salary costs increased due to collective bargaining negotiations. Expenditures in FY 2015 included one time investments to promote the CCEC's non-credit programs.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	416,860	716,860	553,315	529,736	529,736	529,736	529,736
Beginning Cash Balance	389,058	333,660	160,637	97,985	155,479	235,828	316,658
Revenues	143,017	187,057	193,122	225,486	213,134	221,659	230,526
Expenditures	522,007	754,974	541,461	468,677	443,950	452,829	461,886
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	323,592	394,894	285,686	300,685	311,165	312,000	312,000
Ending Cash Balance	333,660	160,637	97,985	155,479	235,828	316,658	397,299
Encumbrances	36,925	38,207	3,772	8,756	10,000	10,000	10,000
Unencumbered Cash Balance	296,736	122,430	94,213	146,723	225,828	306,658	387,299

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Auxiliary Enterprises SF
 Legal Authority: 304A-2157, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Source of Revenues:

Revenues generated from rental fees and charges imposed for the use of or services furnished by University programs.

Current Program Activities/Allowable Expenses:

Funds are expended for repairs and maintenance, replacement, operation and administration of the facilities/programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in revenue in FY 2016 is due to commissions from the food service provider at Imiloa and increased rentals and sale of services.

The increase in expenditures in FY 2016 is primarily due to the utility costs incurred by the food service provider.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	505,999	505,999	655,999	730,999	730,999	730,999	730,999
Beginning Cash Balance	340,294	396,441	411,915	517,762	557,618	613,645	656,836
Revenues	526,695	516,432	739,484	665,996	725,821	733,079	740,410
Expenditures	471,469	502,696	633,638	626,139	669,794	689,888	710,584
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	922	1,737	1	0	0	0	0
Ending Cash Balance	396,441	411,915	517,762	557,618	613,645	656,836	686,662
Encumbrances	112,103	152,100	127,767	137,771	135,000	135,000	135,000
Unencumbered Cash Balance	284,339	259,815	389,994	419,847	478,645	521,836	551,662

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Scholarship & Assistance SF
 Legal Authority: 304A-2159, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

These accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Funds are expended for student tuition scholarships.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	6,153,000	6,354,348	6,554,348	6,754,348	6,754,348	6,754,348	6,754,348
Beginning Cash Balance	(987)	0	469,209	700,194	641,307	641,307	641,307
Revenues	0	0	0	0			
Expenditures	5,603,971	5,880,791	6,319,015	6,608,887	6,550,000	6,550,000	6,550,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Transfer in from Tuition and Fees		6,350,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000
Net Total Transfers	5,604,958	6,350,000	6,550,000	6,550,000	6,550,000	6,550,000	6,550,000
Ending Cash Balance	0	469,209	700,194	641,307	641,307	641,307	641,307
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	469,209	700,194	641,307	641,307	641,307	641,307

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: University Revenue Undertakings Fund
 Legal Authority: 304A-2167.5, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Fund is used to account for revenues and expenditures of the student housing and food services operations.

Source of Revenues:

Revenues generated from dorm rentals, application fees and food services.

Current Program Activities/Allowable Expenses:

Funds are expended for payroll, materials, supplies, services, debt service, R&M and equipment for the student housing and food service operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in expenditures in FY 2015 is the result of payments for prior year outstanding charges and also for the replacement of food service equipment. The decrease in expenditures in FY 2016 is due to both FY 2015 being higher than normal and overall lower student enrollment.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	4,304,744	4,404,744	4,724,267	5,458,514	5,458,514	5,458,514	5,458,514
Beginning Cash Balance	3,582,607	4,054,172	3,784,013	4,284,146	5,082,472	5,149,167	5,139,859
Revenues	5,842,383	6,093,147	5,901,662	5,993,743	6,048,208	6,169,172	6,292,556
Expenditures	4,009,572	5,607,205	4,540,802	4,394,561	4,902,384	5,098,479	5,302,419
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(1,361,246)	(756,101)	(860,728)	(800,856)	(1,079,130)	(1,080,000)	(1,080,000)
Ending Cash Balance	4,054,172	3,784,013	4,284,146	5,082,472	5,149,167	5,139,859	5,049,997
Encumbrances	821,211	461,260	425,158	439,682	450,000	450,000	450,000
Unencumbered Cash Balance	3,232,961	3,322,754	3,858,989	4,642,790	4,699,167	4,689,859	4,599,997

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UHH Mauna Kea Lands Management SF
 Legal Authority: 304A-2170, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, the proceeds of this fund shall be used for managing the Mauna Kea lands and enforcing administrative rules adopted relating to the Mauna Kea lands.

Source of Revenues:

Fees and charges for the use of land and facilities within the Mauna Kea Lands.

Current Program Activities/Allowable Expenses:

Salaries, utilities, security, and other operational expenses to manage the Mauna Kea Lands.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in expenditures in FY 2015 is due to the FY 2014 expenditures being higher than normal. In FY 2014 payment was made to the Office of Hawaiian Affairs for the use of ceded land for the current year as well as previous years beginning FY 2007. Beginning FY 2015, the University began receiving payments for the sublease with TMT resulting in an increase in revenue. Expenditures increased in FY 2016 due to an increased need for ranger service. Revenue decreased in FY 2017 due to a court order to defer TMT payments until a resolution is determined.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	350,000	726,000	726,000	756,000	756,000	756,000	756,000
Beginning Cash Balance	696,776	176,206	325,826	289,232	83,072	83,072	86,823
Revenues	424,454	660,043	655,972	510,957	375,100	382,602	390,254
Expenditures	945,024	510,659	692,567	717,117	375,100	378,851	382,640
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	237	(0)	0	0	0	0
Ending Cash Balance	176,206	325,826	289,232	83,072	83,072	86,823	94,438
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	176,206	325,826	289,232	83,072	83,072	86,823	94,438

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UHH CIP Project Assessment SF
 Legal Authority: 304A-2172, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, this fund was established to defray costs involved in 1) carrying out capital improvements program (CIP) projects managed by the University; 2) equitably assessing, collecting, and distributing moneys for current and other expenses associated with CIP projects, repair and maintenance projects, and major renovation projects; 3) managing the payment of expenses assessable against CIP projects managed by or through the University; and 4) managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the CIP projects managed by the University.

Source of Revenues:

Assessment on capital improvements program projects, repair and maintenance projects and major renovation projects.

Current Program Activities/Allowable Expenses:

Expenses related to central management, oversight and administration of the projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue and expenditures are based on capital improvement program projects, repair and maintenance projects, and major renovation projects that are managed by the University which fluctuates from year to year resulting in the variances.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	25,000	5,000	5,000	5,000	5,000	5,000	5,000
Beginning Cash Balance	17,611	4,394	3,281	711	711	961	961
Revenues	0	5,000	0	0	5,000	5,000	5,000
Expenditures	13,217	6,113	2,570	0	4,750	5,000	5,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	4,394	3,281	711	711	961	961	961
Encumbrances	280	273	137	237	200	200	200
Unencumbered Cash Balance	4,114	3,008	574	474	761	761	761

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Hilo Intercollegiate Athletics SF
 Legal Authority: 304A-2176, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, this fund shall be used to receive, deposit, disburse, and account for funds from the activities of the intercollegiate athletic programs.

Source of Revenues:

Ticket sales, sponsorships and other related income.

Current Program Activities/Allowable Expenses:

Expenses in support of the athletic programs and sports camps.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The fluctuation of revenue is the result of the level of public interest in attending sporting events. Ticket sales, concession and merchandise sales will vary from year to year depending on the number of fans attending home games. Expenditures will vary based on the number of road trips each team has scheduled.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	292,789	192,789	292,789	267,789	267,789	267,789	267,789
Beginning Cash Balance	36,652	37,069	129,545	135,452	155,638	147,938	157,530
Revenues	218,630	221,564	180,273	204,981	182,300	189,592	197,176
Expenditures	218,213	146,189	185,118	197,827	200,000	190,000	195,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	17,101	10,753	13,032	10,000	10,000	10,000
Ending Cash Balance	37,069	129,545	135,452	155,638	147,938	157,530	169,706
Encumbrances	4,795	13,629	19,446	7,010	10,000	10,000	10,000
Unencumbered Cash Balance	32,275	115,916	116,006	148,628	137,938	147,530	159,706

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH-Hilo Theatre SF
 Legal Authority: 304A-2178, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) B
 Appropriation Acct. No. S-312-F

Intended Purpose:

Per statute, admissions, advertising sales, corporate sponsorships, marketing, merchandising, donation, fundraising, fees, charges, and other moneys collected in conjunction with the UH Hilo theatre program shall be deposited in this fund, and may be expended for all costs associated with the theatre program.

Source of Revenues:

Theatre ticket sales.

Current Program Activities/Allowable Expenses:

Expenses related to programs and performances held at the Theatre.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The fluctuation in the revenue and expenditures is a result of the number and size of recitals and performances scheduled for each year. Depending on the mix of activities in a given fiscal year, the revenue and expenditures will fluctuate.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	130,035	130,035	170,035	170,035	170,035	170,035	170,035
Beginning Cash Balance	120,495	97,859	52,309	26,009	27,560	15,750	19,067
Revenues	144,444	133,020	114,094	180,222	128,190	133,318	138,650
Expenditures	167,080	178,570	140,394	178,671	140,000	130,000	135,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	97,859	52,309	26,009	27,560	15,750	19,067	22,718
Encumbrances	7,702	4,764	3,559	0			
Unencumbered Cash Balance	90,157	47,546	22,450	27,560	15,750	19,067	22,718

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Commercial Enterprises RF
 Legal Authority: 304A-2251, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Activities of the Testing Center and College of Business and Economics consultant service is supported by this fund.

Source of Revenues:

Testing fees and service charges.

Current Program Activities/Allowable Expenses:

Expenses in support of the Testing Center and CoBE services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2014 was a transition year for the testing center when we experienced a change in the responsible department. As a result of this change in oversight, activity at the center increased resulting in increased revenue and expenses as reflected in FY 2016. However, in FY 2017, there were decreases again due to a period of inactivity when the testing center relocated to another building, compounded by problems with connectivity.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	28,207	28,207	28,207	28,207	28,207	28,207	28,207
Beginning Cash Balance	8,135	15,595	13,199	12,285	16,756	22,968	24,468
Revenues	7,690	8,587	18,093	12,421	14,412	16,000	18,000
Expenditures	230	10,982	19,008	7,951	8,200	14,500	15,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	15,595	13,199	12,285	16,756	22,968	24,468	26,968
Encumbrances	0	0	0	0			
Unencumbered Cash Balance	15,595	13,199	12,285	16,756	22,968	24,468	26,968

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Research and Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue generated is determined by the level of research and training extramural spending. As research and training awards fluctuate, so will the indirect overhead revenue generated. Spending will follow the same pattern.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,952,912	3,952,912	4,398,912	3,998,912	4,156,089	4,156,089	4,156,089
Beginning Cash Balance	788,461	693,795	463,308	673,627	781,177	250,000	300,000
Revenues	3,820,891	2,830,493	3,829,747	3,828,088	2,363,070	2,400,000	2,400,000
Expenditures	3,582,328	3,420,628	4,106,985	3,919,643	3,841,096	3,100,000	3,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(333,471)	359,649	487,557	199,105	946,849	750,000	750,000
Ending Cash Balance	693,554	463,308	673,627	781,177	250,000	300,000	350,000
Encumbrances	75,183	59,382	67,791	68,273	70,000	70,000	70,000
Unencumbered Cash Balance	618,370	403,926	605,836	712,903	180,000	230,000	280,000

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Transcript & Diploma RF
 Legal Authority: 304A-2256, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Source of Revenues:

Fees collected for transcript requests and diploma applications.

Current Program Activities/Allowable Expenses:

Expenses for the issuance of transcripts and diplomas, and costs associated with the support of these functions.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in expenditures is due to the hiring of personnel to assist with maintaining accurate student records and verification of course completion.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	114,337	139,337	139,337	139,337	139,337	139,337	139,337
Beginning Cash Balance	189,028	193,159	146,948	110,485	65,161	22,046	26,958
Revenues	64,390	59,265	60,122	62,416	65,300	67,912	70,628
Expenditures	60,259	105,476	96,584	107,741	108,415	63,000	65,500
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	193,159	146,948	110,485	65,161	22,046	26,958	32,086
Encumbrances	345	745	1,718	4,070			
Unencumbered Cash Balance	192,814	146,203	108,768	61,091	22,046	26,958	32,086

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Student Activities RF
 Legal Authority: 304A-2257, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

Source of Revenues:

Fees collected, ticket sales and other related income.

Current Program Activities/Allowable Expenses:

Expenses necessary to offer activities and programs to the students.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in expenditures in FY 2016 is due to the decrease in overall student activities due to the decrease in student enrollment.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,005,355	2,400,355	2,000,355	2,370,355	2,370,355	2,370,355	2,370,355
Beginning Cash Balance	2,325,469	1,820,873	1,660,417	1,817,555	2,003,082	1,984,241	1,788,698
Revenues	1,588,559	1,725,290	1,827,773	1,786,037	1,958,905	1,821,758	1,858,193
Expenditures	2,093,155	1,887,811	1,670,635	1,600,510	1,977,746	2,017,301	2,057,647
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	2,065	0	0	0	0	0
Ending Cash Balance	1,820,873	1,660,417	1,817,555	2,003,082	1,984,241	1,788,698	1,589,244
Encumbrances	59,202	96,577	72,844	41,389	50,000	50,000	50,000
Unencumbered Cash Balance	1,761,672	1,563,839	1,744,711	1,961,693	1,934,241	1,738,698	1,539,244

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Career and Technical Training Projects RF
 Legal Authority: 304A-2268, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, receipts from fees for services, supplies, and use of equipment provided by or in connection with the career and technical training projects shall be deposited in this fund, and shall be expended for vocational and technical training projects, and supplies, equipment, and services related thereto.

Source of Revenues:

Receipts from fees for services and sale of supplies provided by or in connection with these projects.

Current Program Activities/Allowable Expenses:

Expenses in support of projects.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The fluctuation in revenue is due to the unpredictability of farm products available for sale. The fluctuation of expenditures is due to the periodic need to purchase large cost items, such as tractors or replacement computers for the labs. **This fund has been repealed as of 7/1/2015.**

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	16,000	46,000	0	0	0	0	0
Beginning Cash Balance	56,904	41,387	3	3	(0)	(0)	(0)
Revenues	3,145	2	0	0	0	0	0
Expenditures	18,661	41,386	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	(3)	0	0	0
Ending Cash Balance	41,387	3	3	(0)	(0)	(0)	(0)
Encumbrances	40,913	0	0	0	0	0	0
					0	0	0
Unencumbered Cash Balance	475	3	3	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Hawaiian Language College RF
 Legal Authority: 304A-2270, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, revenues from the sale of Hawaiian language materials shall be deposited in this fund, and shall be expended to support the Hawaiian Language College at UH Hilo.

Source of Revenues:

Sales of published materials and other related items.

Current Program Activities/Allowable Expenses:

Expenses in support of the college's operation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The increase in revenue is due to a higher demand for publications. The increase in expenditures in FY 2015 is the result of hiring personnel to help support the development of these published materials and an increase in the printing costs associated with producing these publications. The decrease in expenditures in FY 2016 is due to the majority of development and printing costs being charged in the prior fiscal year. Expenditures increased in FY 2017 as the printing costs continued and salary expenses for personnel were no longer split with other funds.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	72,135	122,135	122,135	152,135	152,135	152,135	152,135
Beginning Cash Balance	95,470	102,800	81,302	108,470	62,010	38,510	42,110
Revenues	67,974	76,896	92,421	99,993	90,000	93,600	97,344
Expenditures	60,645	98,393	65,253	146,453	113,500	90,000	92,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	102,800	81,302	108,470	62,010	38,510	42,110	47,454
Encumbrances	0	3,094	33,634	8,322			
Unencumbered Cash Balance	102,800	78,208	74,836	53,688	38,510	42,110	47,454

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: Conference Center RF - UH Hilo
 Legal Authority: 304A-2272, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all fees, charges, and other moneys collected in conjunction with the UH Hilo Conference Center program shall be deposited in this fund, and may be expended on costs associated with conducting conferences, seminars, and courses offered by the program.

Source of Revenues:

Conference fees and other related income.

Current Program Activities/Allowable Expenses:

Expenditures related to the administration of conferences, workshops, seminars and other educational activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance	3	3	3	3	3	3	3
Revenues	0	0	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	3	3	3	3	3	3	3
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3	3	3	3	3	3	3

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Real Property & Facilities Use RF
 Legal Authority: 304A-2274, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Activities of the Small Business Incubator and University Research Park is recorded in this fund.

Source of Revenues:

Rental income.

Current Program Activities/Allowable Expenses:

Expenses related to the oversight of the Small Business Incubator and University Research Park.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and expenditures will fluctuate based on the number of tenants occupying the facilities during the course of the year.

The increase of expenditures in FY 2016 and FY 2017 were also due to needed repairs and maintenance.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	71,046	71,046	71,046	81,046	81,046	81,046	81,046
Beginning Cash Balance	124,152	154,384	169,162	193,084	201,411	191,016	178,977
Revenues	67,659	55,921	79,071	77,471	61,405	62,633	63,886
Expenditures	37,427	40,906	55,149	69,144	71,800	74,672	77,659
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(237)	0	0	0	0	0
Ending Cash Balance	154,384	169,162	193,084	201,411	191,016	178,977	165,204
Encumbrances	670	620	8,833	9,403			
Unencumbered Cash Balance	153,714	168,542	184,251	192,008	191,016	178,977	165,204

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: UH Parking RF
 Legal Authority: 304A-2275, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) W
 Appropriation Acct. No. S-317-F

Intended Purpose:

Per statute, all fees, fines, or other moneys collected from a University parking facility may be deposited in this fund.

Source of Revenues:

Parking fees and fines.

Current Program Activities/Allowable Expenses:

Expenditures made in support of providing parking services.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The decrease in the FY 2016 expenditures is due to the reduction of guard service at designated campus entrances.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	489,130	489,130	491,674	491,674	491,674	491,674	491,674
Beginning Cash Balance	153,532	141,303	126,705	183,750	245,403	335,403	423,623
Revenues	241,873	251,010	268,374	265,923	269,000	274,380	279,868
Expenditures	254,101	265,607	211,330	204,270	179,000	186,160	193,606
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	141,303	126,705	183,750	245,403	335,403	423,623	509,885
Encumbrances	24,745	26,609	100,946	26,538	25,000	25,000	25,000
Unencumbered Cash Balance	116,558	100,096	82,803	218,865	310,403	398,623	484,885

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-210
 Name of Fund: College Work Study Program
 Legal Authority: 304-8, HRS

Contact Name: Lois Fujiyoshi
 Phone: 932-7664
 Fund type (MOF) N
 Appropriation Acct. No. S-266-F

Intended Purpose:

Fund is used to account for the allocation and expenditure related to our Federal Work Study program.

Source of Revenues:

US Department of Education

Current Program Activities/Allowable Expenses:

Salaries for eligible student assistants.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditures will fluctuate depending on the number of students working who qualify for the Federal Work Study program. Qualification is based on the student's financial need.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	418,990	443,962	443,962	443,962	443,962	443,962	443,962
Beginning Cash Balance	0.00	0.00	0.00	(16.00)	0.00	0	0
Revenues	294,872	326,400	275,289	341,314	341,000	341,000	341,000
Expenditures	294,872	326,400	275,305	341,298	341,000	341,000	341,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	(16)	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	(16)	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Tuition & Fees SF (Regular Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Funds are used to pay for personnel and other expenditures to support the mission of the university.

Source of Revenues:

Revenues collected by the university for regular credit tuition, tuition-related course and fee charges, and any other charges to students.

Current Program Activities/Allowable Expenses:

To maintain and improve the university's programs, services, and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Higher than projected enrollment increased revenues. Enrollment increased by 12.7% from Fall 2013 to 2014, increased by 1.2% from Fall 2014 to Fall 2015, and increased by 9.2% from Fall 2015 to 2016. With the 89 FTE positions UHWO received in 2014, many temporary hires were converted to permanent positions thus a decrease in TFSF expenditures in FY15. In FY16, as permanent positions were filled, general funds shortfall for payroll was charged to TFSF. Increase in faculty overload in FY16 to account for growing enrollment. FY17 experienced a higher than projected revenue due to increase in enrollment.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	18,134,958	18,500,958	15,456,479	15,767,979	16,500,000	16,750,000	17,000,000
Beginning Cash Balance	(1,232,499)	984,082	3,246,762	(2,064,099)	(1,993,015)	(1,291,081)	(845,771)
Revenues	10,590,609	12,682,401	14,091,424	16,120,348	16,603,958	17,274,758	17,972,658
Expenditures	6,019,700	4,414,754	7,381,256	7,076,341	7,217,867	7,362,225	7,509,469
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Service trans-out	(1,817,702)	(2,858,865)	(4,286,155)	(3,817,662)	(3,374,865)	(3,308,687)	(3,297,429)
Scholarship trans-out	(115,590)	(2,937,327)	(2,266,615)	(2,315,961)	(2,320,000)	(2,343,200)	(2,366,632)
EB-5 & Other System Assess.	(424,489)	(208,776)	(116,229)	(114,825)	(193,357)	(200,000)	(200,000)
Act 236			(5,352,029)	(2,724,475)	(2,795,935)	(3,615,336)	(4,185,451)
Net Total Transfers	(2,357,781)	(6,004,967)	(12,021,028)	(8,972,923)	(8,684,157)	(9,467,223)	(10,049,512)
Ending Cash Balance	980,629	3,246,762	(2,064,099)	(1,993,015)	(1,291,081)	(845,771)	(432,094)
Encumbrances	297,040	322,618	738,203	463,800	473,076	482,537	492,188
Unencumbered Cash Balance	683,589	2,924,144	(2,802,302)	(2,456,815)	(1,764,157)	(1,328,308)	(924,282)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH West Oahu 304A-2153, HRS has two categories (Regular and Summer), with a total Unencumbered Cash Balance of \$39,825.84.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/17) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Tuition & Fees SF (Summer Session)
 Legal Authority: 304A-2153, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

To provide courses during the summer months to compliment the regular academic year calendar.

Source of Revenues:

Revenues collected for tuition and fee charges associated with summer courses.

Current Program Activities/Allowable Expenses:

To maintain and improve the university's programs, services, and operations.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in enrollment for summer 2015 and 2016 generated higher than projected revenue and expenditures.

In Summer 2015, portion of expenses charged to other funds. In FY16 & 17, increase in personnel costs to accommodate enrollment growth.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,727,521	2,500,000	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Beginning Cash Balance	1,634,918	1,828,127	2,411,201	2,828,427	2,496,641	1,767,157	1,331,308
Revenues	547,282	940,002	1,053,837	1,087,854	1,142,246	1,199,358	1,259,326
Expenditures	350,803	141,198	381,611	444,145	453,028	462,088	471,330
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Services				(467,890)	(911,002)	(920,319)	(939,223)
EB-5 Interest trans-out		(127,500)	(255,000)	(255,000)	(255,000)		
R&R Reserve				(250,000)	(250,000)	(250,000)	(250,000)
Emrgncy Siren & Bond Assess.		(88,231)		(2,605)	(2,700)	(2,800)	(2,800)
Net Total Transfers	0	(215,731)	(255,000)	(975,495)	(1,418,702)	(1,173,119)	(1,192,023)
Ending Cash Balance	1,831,397	2,411,201	2,828,427	2,496,641	1,767,157	1,331,308	927,282
Encumbrances	0	0	5,400	0	3,000	3,000	3,000
Unencumbered Cash Balance	1,831,397	2,411,201	2,823,027	2,496,641	1,764,157	1,328,308	924,282

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* UH West Oahu 304A-2153, HRS has two categories (Regular and Summer), with a total Unencumbered Cash Balance of \$39,825.84.

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/17) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Library SF
 Legal Authority: 304A-2155, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all fines, fees and other revenue derived from the UH libraries' operations shall be deposited in this fund, to be used to support and improve the services provided by the libraries.

To purchase/replace books, serials, periodicals, and to support library services.

Source of Revenues:

Fines, fees, and other revenue derived from UHWO Library operations.

Current Program Activities/Allowable Expenses:

To purchase, replace or repair library materials and to support and improve the services provided by the library.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

With the transfer to the new Kapolei campus library in Fall 2012, library revenue and expenditures increased mainly attributed by the income generated from library copy cards. Library also received a one time assistance from RTRF in FY14 to offset expenditures. No RTRF allocation provided in FY15 and 16 thus the increase in expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	20,000	25,000	25,000	25,000	26,000
Beginning Cash Balance	10,009	19,273	18,211	13,666	10,435	7,553	5,259
Revenues	14,616	15,290	19,463	20,673	21,499	22,574	23,929
Expenditures	5,352	16,353	24,008	23,903	24,381	24,869	25,366
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	19,273	18,211	13,666	10,435	7,553	5,259	3,821
Encumbrances	688	4,185	6,313	5,214	3,750	3,750	3,750
Unencumbered Cash Balance	18,585	14,025	7,353	5,221	3,803	1,509	71

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Community Services SF
 Legal Authority: 304A-2156, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenues derived and collected from the University's provision of public service programs shall be deposited into this fund to be used for the costs of providing public service programs.

Source of Revenues:

All revenues including interest, derived and collected from the university's provision of public service programs.

Current Program Activities/Allowable Expenses:

Expenses related to managing public service programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY14 increase in revenue received from the Institute for Reading Development (IRD). IRD program discontinued in FY15. Starting FY16, UHWO initiated non-credit programs such as Project Lead the Way and International Program resulting in an increase in revenue and expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	250,000	180,000	190,000	190,000	195,000
Beginning Cash Balance	73,769	103,073	39,507	95,737	84,743	73,529	62,091
Revenues	33,205	10,432	146,715	168,521	171,891	175,329	178,836
Expenditures	3,901	28,910	90,485	179,515	183,105	186,767	190,502
Transfers	List each net transfer in/out/ or projection in/out; list each account number						
Trans to Revolving Fund		(45,089)					
Net Total Transfers	0	(45,089)	0	0	0	0	0
Ending Cash Balance	103,073	39,507	95,737	84,743	73,529	62,091	50,424
Encumbrances	0	8,833	37,808	10,202	10,406	10,614	10,826
Unencumbered Cash Balance	103,073	30,674	57,929	74,541	63,123	51,477	39,598

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Auxiliary Enterprises SF
 Legal Authority: 304A-2157, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

To provide auxiliary services to students, faculty, staff and others

Source of Revenues:

All revenue to include interest, food services and vending machines

Current Program Activities/Allowable Expenses:

Expenses related to the management of auxiliary services

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in vending operations in FY2015 - FY2016 resulted in an increase in revenue and expenditures.

FY2017 decrease in expenditures to adjust for slight decrease in revenues.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	100,000	75,000	25,000	25,000	25,000	25,000
Beginning Cash Balance	(2,549)	8,598	20,302	34,460	48,988	63,807	78,922
Revenues	18,373	21,686	25,864	24,750	25,245	25,749	26,264
Expenditures	7,227	9,982	11,706	10,222	10,426	10,635	10,847
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	8,598	20,302	34,460	48,988	63,807	78,922	94,339
Encumbrances	6,786	1,500	246	0	200	200	200
Unencumbered Cash Balance	1,812	18,802	34,214	48,988	63,607	78,722	94,139

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Scholarship & Assistance SF
 Legal Authority: 304A-2159, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, this fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawaii.

Source of Revenues:

Scholarship accounts do not generate revenue. Cash will be transferred from the appropriate TFSF account to cover expenses.

Current Program Activities/Allowable Expenses:

Tuition scholarships to students attending the university

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in expenditures is related to the increase in scholarship awards and a higher distribution of scholarships based on tuition revenue.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	2,000,000	2,000,000	2,300,000	2,063,810	2,085,810	1,909,810
Beginning Cash Balance	(929,379)	(1,765,411)	(55,482)	(142,059)	(99,577)	0	0
Revenues	0	0	0	0	0	0	0
Expenditures	912,324	1,227,398	2,353,192	2,273,479	2,320,000	2,343,200	2,343,201
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Scholarship trans-in from TFSF	76,292	2,937,327	2,266,615	2,315,961	2,419,577	2,343,200	2,343,201
Net Total Transfers	76,292	2,937,327	2,266,615	2,315,961	2,419,577	2,343,200	2,343,201
Ending Cash Balance	(1,765,411)	(55,482)	(142,059)	(99,577)	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	(1,765,411)	(55,482)	(142,059)	(99,577)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH West Oahu SF
 Legal Authority: 304A-2166, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, proceeds of this fund shall be used for planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of UH West Oahu; and planning, land acquisition, design, improvement, and construction of infrastructure and other public or common facilities necessary for the development of the campus.

Source of Revenues:

Revenue derived from land sales and leases

Current Program Activities/Allowable Expenses:

Planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of the University of Hawai'i - West O'ahu in Kapolei, including infrastructure and other public or common facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue (final payment of land sale to Tokai University) was recorded by GALC in FY14 (13 month), but deposited in FY12 and expended in FY13. Starting FY16, transferred deposits to the UH Real Property and Facilities Use revolving fund account. In FY17, utilized RF account (HRS 304A-2274) for expenditures.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,000,000	10,000,000	200,000	2,000	2,000	90,000	0
Beginning Cash Balance	1,139,052	120,826	269,876	86,603	85,070	85,070	0
Revenues	(787,954)	4,050	(24,555)	273	0	-	0
Expenditures	(1,582,913)	0	158,717	1,807	0	85,070	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Debt Services	(1,813,185)						
EB-5 Interest		(15,000)					
Emergency Siren		160,000					
Net Total Transfers	(1,813,185)	145,000	0	0	0	0	0
Ending Cash Balance	120,826	269,876	86,603	85,070	85,070	(0)	(0)
Encumbrances	31,665	190,482	1,807	0	0	0	0
Unencumbered Cash Balance	89,161	79,393	84,796	85,070	85,070	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Revenue Undertakings SF (WO Campus Development)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.
 To allow the university to develop the new campus in Kapolei.

Source of Revenues:

Proceeds from the sale of public lands, all net rents from leases, licenses, and permits; or all proceeds derived from the development rights of public lands.

Current Program Activities/Allowable Expenses:

Planning, land acquisition, design, construction, and equipment necessary for the development of the permanent campus of the University of Hawai'i - West O'ahu in Kapolei including infrastructure and other public or common facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenue generated equals share of interest income. Expenditures based on actual bond assessment costs.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,000,000	4,000	1,000	2,500	3,000	3,000	3,000
Beginning Cash Balance	2,000,004	382,070	259	21	250,527	500,527	750,527
Revenues	254	259	278	503	500	500	500
Expenditures	2,002,759	3,231	3,154	2,603	3,000	3,000	3,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Rating Agent and Other Fees			2,638	2,605	2,500	2,500	2,500
Debt Services/BABS	384,571	(378,839)					
R&R Reserve				250,000	250,000	250,000	250,000
Net Total Transfers	384,571	(378,839)	2,638	252,605	252,500	252,500	252,500
Ending Cash Balance	382,070	259	21	250,527	500,527	750,527	1,000,527
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	382,070	259	21	250,527	500,527	750,527	1,000,527

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Henry Giugni Moving Image Archives SF
 Legal Authority: 304A-2180, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) B
 Appropriation Acct. No. S-335-F

Intended Purpose:

Per statute, any legislative appropriation, federal or private grants, and any other funds collected for the purposes of the 'Ulu'ulu: The Henry Giugni Moving Image Archive shall be deposited in this fund. Moneys shall be expended to support the activities of the moving image archive.

Source of Revenues:

State legislative appropriations, federal and private grants, and other funds.

Current Program Activities/Allowable Expenses:

Expenditures to support the activities of the moving image archive.

Purpose of Proposed Ceiling Adjustment (if applicable):

Act 90, SLH 2012 established this special fund without an appropriation.

Variances:

In FY2015, agreement signed to transfer \$500,000 to UHWO as part of Oceanic Cable franchise fee obligation to the State of Hawaii Cable Television Division. Revenue per Agreement: FY16 \$250,000; FY17 \$200,000; FY18 \$175,000. Payroll and other costs incurred to support funding purpose.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling		50,000	500,000	200,000	200,000	110,000	120,000
Beginning Cash Balance		0	500,000	682,695	800,466	876,791	768,249
Revenues		500,000	250,000	200,000	175,000	0	0
Expenditures		0	67,305	82,229	98,675	108,542	119,396
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	500,000	682,695	800,466	876,791	768,249	648,853
Encumbrances		23,246	21,266	16,017	19,221	21,143	23,257
Unencumbered Cash Balance	0	476,754	661,429	784,449	857,571	747,106	625,596

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Commercial Enterprises RF
 Legal Authority: 304A-2251, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

All revenues including interest, derived and collected from the university's commercial enterprises.

Current Program Activities/Allowable Expenses:

Expenses related to the cost associated with the operation of the enterprises.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

UHWO Bookstore operations transferred to system.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	20,000	0	0	0	0	0
Beginning Cash Balance	12,269	12,285	3	0	0	0	0
Revenues	16	3	0	0	0	0	0
Expenditures	0	0	0	0	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(12,286)	(3)	0	0	0	0
Ending Cash Balance	12,285	3	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	12,285	3	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Research and Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University.

The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

One time agreement to support HUD activities and library databases in FY2014. With increase in revenue, expenditures also increased to fulfill allocation.

In FY2015 received one time \$65,000 for PV project, which remained encumbered at the end of FY2015 and expended in FY2016 thus the spike in expenditures. In FY2017, new Chancellor transferred RTRF revenue to UHWO.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	150,000	150,000	225,000	225,000	300,000	325,000	325,000
Beginning Cash Balance	(38,916)	7,437	88,488	7,595	30,016	92,577	89,577
Revenues	105,465	148,595	140,203	190,521	292,561	250,000	252,500
Expenditures	98,410	61,642	221,136	168,100	230,000	253,000	278,300
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
RTRF Adjustment	39,298	(5,903)	40				
Net Total Transfers	39,298	(5,903)	40	0	0	0	0
Ending Cash Balance	7,437	88,488	7,595	30,016	92,577	89,577	63,777
Encumbrances	0	65,000	0	0	0	0	0
Unencumbered Cash Balance	7,437	23,488	7,595	30,016	92,577	89,577	63,777

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Transcript & Diploma RF
 Legal Authority: 304A-2256, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, this fund was established to defray the cost of transcripts and diplomas, and shall be replenished through charges made for transcripts and diplomas or through transfers from other accounts or funds.

Source of Revenues:

Revenue is derived from fees collected for transcript and diploma requests.

Current Program Activities/Allowable Expenses:

Expenses related to managing the cost of transcripts and diplomas.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in requests for transcripts and diplomas in FY2014 - FY2016 resulted in an increase in revenue.

Increase in student help payroll, printing costs and subscription costs led to an overall increase in expenditures in FY2015 - FY2016.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	100,000	100,000	50,000	70,000	75,000	80,000	80,000
Beginning Cash Balance	44,120	47,752	55,018	62,290	70,627	79,230	88,080
Revenues	19,802	22,826	25,837	28,378	30,648	33,100	35,748
Expenditures	16,170	15,561	18,565	20,041	22,045	24,249	26,674
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	47,753	55,018	62,290	70,627	79,230	88,080	97,154
Encumbrances	1,033	1,350	4,711	9,388	10,327	11,360	12,496
Unencumbered Cash Balance	46,720	53,668	57,580	61,239	68,903	76,720	84,658

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Student Activities RF
 Legal Authority: 304A-2257, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

Source of Revenues:

Funds assessed as compulsory student activity fees collected by the University of Hawai'i on behalf of chartered student organizations and student activity programs.

Current Program Activities/Allowable Expenses:

Expenses related to each respective chartered student organization or student activity program for any purpose which it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Effective FY2014, student fees increased from \$5/student to \$120/student. In FY2014, a \$64/student refund was issued to all fall students who paid the \$120 in student fees. Expenditures increased to provide services per new fee schedule and to serve the growing student population.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	500,000	1,000,000	815,000	815,000	883,139	883,139	883,139
Beginning Cash Balance	39,643	249,040	487,845	739,109	1,010,774	1,218,844	1,348,244
Revenues	380,338	572,633	603,312	655,147	668,250	681,615	695,247
Expenditures	170,941	333,828	352,048	383,482	460,179	552,215	662,657
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	249,040	487,845	739,109	1,010,774	1,218,844	1,348,244	1,380,834
Encumbrances	442	4,872	37,460	5,010	6,011	7,214	8,656
Unencumbered Cash Balance	248,598	482,973	701,649	1,005,764	1,212,833	1,341,031	1,372,177

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Center for Labor Education & Research RF - CLEAR
 Legal Authority: 304A-2267, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, all fees, charges, and other moneys collected in conjunction with the Center for Labor Education and Research shall be deposited in this fund, and expended to defray the cost of operating the CLEAR, excluding compensation of the permanent staff.
 To provide labor-related education, labor-related research, and education services.

Source of Revenues:

Class fees and services to sustain the operation of the unit.

Current Program Activities/Allowable Expenses:

Funds are used to support the operational expenses for the Center for Labor Education and Research.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in revenue and expenditures were higher than projected as CLEAR continues to provide services to the community.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	20,000	10,000	10,000	15,000	20,000	20,000	20,000
Beginning Cash Balance	3,760	2,238	4,387	6,847	10,616	14,762	19,323
Revenues	5,761	6,632	7,803	11,330	12,463	13,709	15,080
Expenditures	7,283	5,574	5,343	7,561	8,317	9,148	10,063
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	1,091	0	0	0	0	0
Ending Cash Balance	2,239	4,387	6,847	10,616	14,762	19,323	24,340
Encumbrances	2,237	2,462	2,385	3,041	3,345	3,679	4,047
Unencumbered Cash Balance	1	1,925	4,462	7,576	11,418	15,644	20,293

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: UH Real Property & Facilities Use RF
 Legal Authority: 304A-2274, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) W
 Appropriation Acct. No. S-348-F

Intended Purpose:

Per statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

Source of Revenues:

All revenues collected for the use of university real property and facilities.

Current Program Activities/Allowable Expenses:

Expenses related to managing and operating university facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Increase in revenue during FY2015 and FY 2016 received from external MOAs, concession contracts, and facilities use agreements. Expenses increased to support campus facilities.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,909,900	2,420,000	900,000	875,000	785,000	755,000	755,000
Beginning Cash Balance	30,714	119,360	174,577	337,334	472,304	766,974	665,345
Revenues	180,208	181,117	259,915	269,139	448,964	325,810	332,326
Expenditures	91,562	107,184	97,161	134,169	154,294	427,438	204,054
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	(18,716)	3	0	0	0	0
Ending Cash Balance	119,360	174,577	337,334	472,304	766,974	665,345	793,618
Encumbrances	6,064	26,386	19,309	24,303	27,949	32,141	36,962
Unencumbered Cash Balance	113,296	148,190	318,025	448,001	739,025	633,205	756,656

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-700
 Name of Fund: Federal Work Study
 Legal Authority: 304A-2003, HRS

Contact Name: Linda Saiki
 Phone: 689-2503
 Fund type (MOF) N
 Appropriation Acct. No. S-205-F

Intended Purpose:

To provide need-based financial aid to students through employment.

Source of Revenues:

Federal Work Study Program

Current Program Activities/Allowable Expenses:

Expenses related to providing students with financial aid through employment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Less than anticipated students worked under the Federal Work Study Program in FY 2014.

Efforts in place to promote and encourage students to participate in the FWS program.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	26,772	33,544	33,544	33,544	802,037	802,037	802,037
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	9,289	18,925	45,774	30,050	33,055	36,361	39,997
Expenditures	9,289	18,925	45,774	30,050	33,055	36,361	39,997
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
Prog ID(s): UOH-800
Name of Fund: UH Tuition and Fees SF
Legal Authority: 304A-2153, HRS

Contact Name: Michael Unebasani
Phone: 956-6280
Fund type (MOF): B
Appropriation Act. No.: S-300-F

Intended Purpose:

The fund is used to account for revenues from tuition-related course and fee charges which are expended to maintain or improve the university's programs and operations.

Source of Revenues:

Revenues are from tuition-related course and fee charges collected by the University from students.

Current Program Activities/Allowable Expenses:

To recover part of the cost of instruction from resident and non-resident students in the form of tuition, late and change in registration fees, application fees, and out-of-state or non-resident fees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014 (actual)	FY 2015 (actual)	FY 2016 (actual)	FY 2017 (actual)	FY 2018 (estimated)	FY 2019 (estimated)	FY 2020 (estimated)
Appropriation Ceiling	71,167,365	76,183,293	76,201,367	76,230,929	76,230,929	76,230,929	76,230,929
Beginning Cash Balance	16,686,249	15,826,470	16,219,438	5,470,004	7,429,149	7,497,018	7,497,018
Revenues	64,717,309	66,258,757	67,058,990	65,335,477	62,981,438	66,924,852	66,263,349
Expenditures	59,634,922	59,140,477	52,426,782	53,251,874	63,657,828	63,636,242	65,174,739
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Act 236 transfer from UH System				19,430,607	23,836,964	17,472,933	14,841,771
2231722 Trf to UH System for Presidents Emerging Leaders Prog				(1,000)			
2232672 Trf to UH System for Presidents Emerging Leaders Prog				(2,000)			
2218832 Trf to UH System for Presidents Emerging Leaders Prog				(5,000)			
2228362 Trf to UH System for Presidents Emerging Leaders Prog				(1,000)			
2226672 Trf to UH System for Presidents Emerging Leaders Prog				(4,000)			
2301486 Trf to UH System for Presidents Emerging Leaders Prog				(2,000)			
2246482 Trf to UH System for Presidents Emerging Leaders Prog				(1,000)			
2301502 Trf to UH System for Presidents Emerging Leaders Prog				(1,000)			
2235542 Trf to UH System for Presidents Emerging Leaders Prog				(2,000)			
2230272 Trf for debt service				(444,818)			
2301502 Trf to CCSF for UHCC reserve				(44,774)			
2224502 Trf for debt service				(165,034)			
2224502 Trf to RUF for interest and Bond System expenses				(418)			
2221652 Trf to UH System for UH Foundation assessment							
2301485 Trf to UH System for UH Foundation assessment				(81,182)			
2301486 Trf to UH System for UH Foundation assessment				(124,922)			
2301487 Trf to UH System for UH Foundation assessment				(56,247)			
2301493 Trf to UH System for UH Foundation assessment				(45,724)			
2301502 Trf to UH System for UH Foundation assessment				(49,376)			
2301515 Trf to UH System for UH Foundation assessment				(131,749)			
2301516 Trf to UH System for UH Foundation assessment				(47,494)			
2301485 Trf to UH System for Risk Mgmt assessment				(76,926)			
2301486 Trf to UH System for Risk Mgmt assessment				(22,710)			
2301487 Trf to UH System for Risk Mgmt assessment				(11,826)			
2301493 Trf to UH System for Risk Mgmt assessment				(76,683)			
2301502 Trf to UH System for Risk Mgmt assessment				(23,459)			
2301515 Trf to UH System for Risk Mgmt assessment				(57,150)			
2301516 Trf to UH System for Risk Mgmt assessment				(45,284)			
2301485 Trf to UH System for Kualifiancial system assessment				(187,134)			
2301486 Trf to UH System for Kualifiancial system assessment				(187,855)			
2301487 Trf to UH System for Kualifiancial system assessment				(75,422)			
2301493 Trf to UH System for Kualifiancial system assessment				(304,019)			
2301502 Trf to UH System for Kualifiancial system assessment				(120,444)			
2301515 Trf to UH System for Kualifiancial system assessment				(496,060)			
2301516 Trf to UH System for Kualifiancial system assessment				(436,309)			
2215392 Trf to UH System for eBuilder system assessment				(51,365)			
2265142 Trf to UH System for NeoGov assessment				(49,833)			
2286922 Trf for debt service				(1,854,632)			
2301857 Trf from UH System for Academy for Creative Media				3,048			
2230272 Trf to RUF for interest and Bond System expenses				(548)			
2300966 Trf to UH System for centralization of financial aid project				(210,000)			
2215392 Trf to RUF for interest and Bond System expenses				(3,500)			
2219442 Trf to CCSF for Aviation program				(140,000)			
Act 236 transfer to UH System				(23,836,964)	(17,472,933)	(14,841,771)	(12,210,909)
Net Transfer Projection (excl. Act 236)				(5,719,772)	(5,719,772)	(5,719,772)	(5,719,772)
Net Total Transfers	(5,941,200)	(6,725,364)	(25,379,642)	(10,124,458)	744,259	(3,088,610)	(3,088,610)
Ending Cash Balance	15,827,436	16,219,386	5,470,004	7,429,149	7,497,018	7,497,018	7,497,018
Encumbrances	6,534,917	7,036,216	5,458,428	7,497,018	7,497,018	7,497,018	7,497,018
Unencumbered Cash Balance	9,292,519	9,183,170	11,576	(67,869)	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Community Colleges 304A-2153, HRS ended FY 2017 with a total Unencumbered Cash Balance of (\$67,869).

Remaining balance is due to cash transactions posted after the transfer to UOH-900 required by Act 236, SLH 2015 (on 07/01/17) and before the close of Fiscal Period 12.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
Prog ID(s): UOH-800
Name of Fund: Community Colleges SF
Legal Authority: 304A-2162, HRS

Contact Name: Michael Unebasami
Phone: 956-6280
Fund type (MOF): B
Appropriation Acct. No.: S-300-F

Intended Purpose:

Per statute, this fund was established to receive, disburse, and account for funds of programs and activities of the community colleges that help make available the resources of the community colleges to the communities they serve.
The fund is used to account for receipts and disbursements related to special programs and activities including off-campus programs, summer session programs, overseas program study abroad, exchange programs, cultural enrichment programs, and consultative services which help make available the resources of the community colleges to the communities they serve. Special fund deposits relate to a wide variety of programs and activities.

Source of Revenues:

Revenues are from fees for special programs and activities including off-campus programs, summer session programs, overseas programs, evening sessions, study abroad, exchange programs, cultural enrichment programs, and consultative services which help make available the resources of the community colleges to the communities they serve.

Current Program Activities/Allowable Expenses:

The fund is used to account for all costs associated with conducting special programs and activities such as non-credit continuing education programs, special community service programs, special credit programs, summer session, parking operation, facilities use, transcript and diploma services, library operation, vocational and technical projects, vending machine operation, and related support services and facilities.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	22,049,621	22,049,621	22,049,621	22,049,621	23,536,988	23,536,988	23,536,988
Beginning Cash Balance	27,758,890	25,753,480	27,946,455	19,884,344	21,386,600	18,954,283	18,954,283
Revenues	19,771,020	18,329,237	17,354,509	18,629,257	15,064,624	17,496,941	17,496,941
Expenditures	21,222,128	17,104,334	15,544,706	15,995,088	16,917,814	16,917,814	16,917,814
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2301803 Admin assessment transfer from Conf Ctr RF				794			
2238122 Trf for debt service				(590,189)			
2282922 Trf from TFSF for UHCC reserve				44,774			
2238122 Trf to RUF for bond system expenses				(736)			
2238122 Trf to CCSF for Utilities and Telephone charges				(29,653)			
2238122 Trf to CCSF for Culinary Inst of Pacific electrical system				(37,352)			
2261852 Distribution of royalties from UH System				7,970			
2301776 Trf to Conf Ctr RF Leadership Seminar remaining balance				(2,839)			
2244932 Trf to RUF for startup funding for Leahi restaurant				(600,000)			
2277222 Error correction trf from CCSF Honda Int'l Center				(13,087)			
2282862 Trf to UH System TFSF for UHCC Reserve				(4,161)			
2282882 Trf to UH System TFSF for UHCC Reserve				(781)			
2282902 Trf to UH System TFSF for UHCC Reserve				(615)			
2282922 Trf to UH System TFSF for UHCC Reserve				(45,024)			
2282932 Trf to UH System TFSF for UHCC Reserve				(365)			
2282942 Trf to UH System TFSF for UHCC Reserve				(419)			
2282952 Trf to UH System TFSF for UHCC Reserve				(230)			
2245532 Trf from TFSF for Aviation program				140,000			
Net Transfer Projection					(579,127)	(579,127)	(579,127)
Net Total Transfers	(544,426)	968,072	(9,871,914)	(1,131,913)	(579,127)	(579,127)	(579,127)
Ending Cash Balance	25,763,356	27,946,455	19,884,344	21,386,600	18,954,283	18,954,283	18,954,283
Encumbrances	3,835,037	2,678,816	1,722,765	1,556,676	1,556,676	1,556,676	1,556,676
Unencumbered Cash Balance	21,928,319	25,267,639	18,161,579	19,829,924	17,397,607	17,397,607	17,397,607

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: University Revenue Undertakings Fund
 Legal Authority: 304A-2167.5, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF): B
 Appropriation Acct. No. S-300-F

Intended Purpose:

Per statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

Source of Revenues:

Revenues are all income, revenue, or moneys received by the university, including any appropriation related to university projects, university systems, or networks.

Current Program Activities/Allowable Expenses:

Funds are applied to costs of construction, operation, repair, and maintenance of university projects, systems, or networks; to pay for principal and interest on revenue or general obligation bonds; to reimburse the university for expenses related to issuance of revenue bonds; or to provide a reserve for renewal and replacement of university projects, systems, or networks.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decrease in revenues are due to interest charges incurred during the fiscal year. \$2,738 of the expenses are an allocated share of University bond system expenses such as audit cost, rating agency fees, arbitrage report, and US Bank administration/paying agent fees. The expenses are for the Culinary Institute of the Pacific, the Waianae Education Center acquisition, the Palama Nui campus at West Hawai'i, and various energy conservation/alternative energy projects for all campuses. The remaining \$67,091 of the expenses are utilities and telephone charges for the Culinary Institute of the Pacific facility.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	184,559	184,559	184,559	184,559	184,559	184,559	184,559
Beginning Cash Balance	(2,302)	(66)	286	37,782	38,167	38,167	38,167
Revenues	(2,280)	(2,266)	(1,369)	(1,787)			
Expenditures	2,909	3,309	76,390	69,829	4,996	4,996	4,996
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
2300437 trf from CCSF for interest and Bond System expenses				737			
2300437 trf from CCSF for Utilities and Telephone charges				29,653			
2300437 trf from CCSF for Culinary Inst of Pacific electrical system				37,352			
2271642 trf from TFSF for interest and Bond System expenses				418			
2300471 trf from TFSF for interest and Bond System expenses				341			
2276702 trf from TFSF for interest and Bond System expenses				3,500			
Net Transfer Projection					4,996	4,996	4,996
Net Total Transfers	7,425	5,927	115,255	72,001	4,996	4,996	4,996
Ending Cash Balance	(66)	286	37,782	38,167	38,167	38,167	38,167
Encumbrances	0	0	37,352	37,352	37,352	37,352	37,352
Unencumbered Cash Balance	(66)	286	430	815	815	815	815

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: UH Commercial Enterprises RF
 Legal Authority: 304A-2251, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

The fund is used to account for receipts and disbursements related to commercial enterprise activities including sponsorship in private, cultural, and athletic performances, goods produced by university programs, or goods bearing the University logo.

Source of Revenues:

Revenues deposited into the fund are from the operation of commercial enterprises that are related and incidental to the primary purposes of the university, including private, cultural and athletic performances and the sale of goods produced by the university or goods bearing the university logo.

Current Program Activities/Allowable Expenses:

Funds are expended for all costs and expenses associated with the operations of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies and equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The revenue, expenditure, and transfer variances are attributable to the Leahi at the Parc restaurant at the Waikiki Parc Hotel. The revenue and expenditure amounts are restaurant sales and operational expenses and the cash transfer is startup funding. The restaurant opportunity became available for a year as a result of a renovation delay at the hotel and opened for business in May 2017. The restaurant is part of Kapi'olani CC's culinary program and provides students with classroom as well as practical experience in an operating restaurant. The restaurant operates as a concept kitchen, creating multiple dining concepts that will become the model for the forthcoming restaurant at the Culinary Institute of the Pacific.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	80,000	80,000	80,000	80,000	80,000	80,000	80,000
Beginning Cash Balance	46,833	46,891	46,998	47,091	544,363	544,363	544,363
Revenues	58	76	92	129,546	1,500,000	0	
Expenditures	0	0	0	232,274	1,500,000	0	
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3400261 Startup funding from CCSF for Leahi at the Parc restaurant				600,000			
Net Total Transfers	0	31	1	600,000	0	0	0
Ending Cash Balance	46,891	46,998	47,091	544,363	544,363	544,363	544,363
Encumbrances	0	0	0	25,231	25,231	25,231	25,231
Unencumbered Cash Balance	46,891	46,998	47,091	519,132	519,132	519,132	519,132

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Research & Training RF
 Legal Authority: 304A-2253, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Revenues are from indirect overhead revenues generated by the University from research and training programs.

Current Program Activities/Allowable Expenses:

The fund is used to account for expenses related to cost sharing or matching requirements of grants or contracts, research or training seed money, travel grants for faculty and staff, start-up requirements, and operational expenses related to research and training at the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

A large multiyear Community College Career Training (C3T) grant ended, resulting in lower overhead revenue for the year. Expenditures increased slightly over the previous year due to increases in utilities and other expenses in support of training activities.

The amount of overhead revenue from extramural awards varies from year to year depending on the number of extramural awards received for the year. In addition, the fluctuation in spending throughout the life of the award, and funding periods which may cross fiscal years, further contribute to annual revenue fluctuations.

Expenditures of overhead revenue generally increase or decrease with the level of revenue received. However the timing of the expenditures are governed by the timing of opportunities for new awards, by startup, cost sharing or matching requirements of existing awards, or by the need for other operational expenses in support of research or training at the Community Colleges.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,273,543	2,275,314	2,275,314	2,275,314	2,718,307	2,718,307	2,718,307
Beginning Cash Balance	991,745	1,262,414	2,440,709	2,930,088	2,549,967	1,349,967	1,349,967
Revenues	1,726,836	2,855,548	2,699,622	2,251,493	1,224,731	1,224,731	1,224,731
Expenditures	1,424,525	1,753,549	2,244,183	2,661,614	2,424,731	1,224,731	1,224,731
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3399382 Reimb from Hawai'i P20 prog for temp pers svcs				30,000			
Net Total Transfers	(32,124)	76,296	33,940	30,000	0	0	0
Ending Cash Balance	1,261,932	2,440,709	2,930,088	2,549,967	1,349,967	1,349,967	1,349,967
Encumbrances	39,064	10,358	163,002	289,169	289,169	289,169	289,169
Unencumbered Cash Balance	1,222,868	2,430,351	2,767,086	2,260,798	1,060,798	1,060,798	1,060,798

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: UH Student Activities RF
 Legal Authority: 304A-2257, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, all funds assessed as compulsory student activity fees and collected by the University of Hawaii and revenues received by chartered student organizations and student activity programs shall be deposited in this fund. Funds may be withdrawn and expended by each respective chartered student organization or student activity program for any purpose that it deems necessary and proper to carry out and achieve its educational responsibilities, programs, and related activities, per statutory guidelines.

Source of Revenues:

Revenues are from compulsory student activity fees collected on behalf of chartered student organizations and student activity programs.

Current Program Activities/Allowable Expenses:

The fund is used to account for receipts and expenses associated with student oriented activities, programs, and services developed and implemented by chartered student organizations and student activity programs such as student government, student publications, social or cultural activities, honor society activities, new student orientation.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues are from student activity fees for credit students enrolled during the fall and spring semesters and generally follow enrollment trends. During FY 2017, enrollment decreased slightly (5.5%, headcount) resulting in lower revenue from student fees. Expenditures for student activities also decreased in correlation with the reduction in revenue but to a lesser extent due to liquidation of prior year encumbrances.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,739,439	1,739,439	1,739,439	1,739,439	1,789,875	1,789,875	1,789,875
Beginning Cash Balance	1,493,531	1,865,968	1,922,903	2,097,216	1,960,142	1,918,575	1,918,575
Revenues	1,944,905	1,733,030	1,714,558	1,536,006	1,727,333	1,768,900	1,768,900
Expenditures	1,607,782	1,676,095	1,540,245	1,673,080	1,768,900	1,768,900	1,768,900
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	35,314	0	0	0	0	0	0
Ending Cash Balance	1,865,968	1,922,903	2,097,216	1,960,142	1,918,575	1,918,575	1,918,575
Encumbrances	111,105	124,994	148,527	117,602	117,602	117,602	117,602
Unencumbered Cash Balance	1,754,863	1,797,909	1,948,689	1,842,540	1,800,973	1,800,973	1,800,973

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Community College Conference Center RF
 Legal Authority: 304A-2273, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) W
 Appropriation Acct. No. S-380-F

Intended Purpose:

Per statute, this fund was established for conference center programs conducted by the various community colleges.

The fund is used to account for receipts and disbursements related to conferences, seminars, and courses.

Source of Revenues:

Revenues are from fees paid by participants and/or sponsors for conferences, seminars, and courses.

Current Program Activities/Allowable Expenses:

The fund is used to account for receipts and expenses associated with conducting conference center activities, including but not limited to, expenses for honoraria, hotel and room rentals, food and refreshment, printing and mailing, airfare and per diem, leis, rental of audio visual equipment, and conference supplies and materials.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Decreases in revenue and expenditures are the result of smaller or lower number of conferences during FY 2017. The magnitude of the fluctuations from year to year vary based on the number, size, duration, and complexity of the conferences. Timing differences between the collection of conference fees and disbursement of funds for conference arrangements which cross fiscal years may also contribute to differences in the level of revenues and expenditures between fiscal years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	950,000	950,000	950,000	950,000	950,000	950,000	950,000
Beginning Cash Balance	840,714	860,725	901,273	682,705	707,395	707,395	707,395
Revenues	283,641	619,415	586,505	285,025	495,700	495,700	495,700
Expenditures	263,630	578,867	600,461	275,467	495,700	495,700	495,700
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
3330802 Admin assessment trf to CCSF control acct				(794)			
3330132 Leadership Seminar remaining balance trf from CCSF				2,839			
3400078 Error correction trf from CCSF Honda Intl Center				13,087			
Net Total Transfers	0	0	(204,612)	15,132	0	0	0
Ending Cash Balance	860,725	901,273	682,705	707,395	707,395	707,395	707,395
Encumbrances	50,855	31,309	37,597	40,871	40,871	40,871	40,871
Unencumbered Cash Balance	809,870	869,964	645,108	666,524	666,524	666,524	666,524

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Federal Work Study Program
 Legal Authority: 304A-2003, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) N
 Appropriation Acct. No. S-200-F

Intended Purpose:

The fund is used to account for receipts and disbursement related to the Federal Work Study Financial Aid Program.

Source of Revenues:

Revenues are from the US Department of Education, which reimburses the University for student wages paid to students who qualify for financial aid under the Federal Work Study Program.

Current Program Activities/Allowable Expenses:

The fund is used to account for reimbursements from the US Department of Education and disbursements for student wages paid to students who qualify for financial aid under the Federal Work Study Program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The Federal Work Study program is a need based financial aid program in which the US Department of Education reimburses the university for a portion of student wages paid to students who qualify. Revenues and expenditures are based on the number of students who qualify and the amount of financial aid each student can receive which may vary from year to year depending on the financial resources of individual students.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	540,927	540,927	540,927	540,927	540,927	540,927	540,927
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	348,080	425,956	366,615	384,964	430,000	430,000	430,000
Expenditures	348,080	425,956	366,615	384,964	430,000	430,000	430,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-800
 Name of Fund: Carl D. Perkins Voc & Applied Technology Act of 1990
 Legal Authority: 304A-2403, HRS

Contact Name: Michael Unebasami
 Phone: 956-6280
 Fund type (MOF) N
 Appropriation Acct. No. S-200-F

Intended Purpose:

The fund is used to account for receipts and disbursements related to the Federal Perkins Vocational and Applied Technology Program which provides funding for courses directly related to preparing individuals for employment in current or emerging occupations requiring other than a baccalaureate or advanced degree. Programs include competency-based applied learning which contributes to an individual's academic knowledge, higher-order reasoning, problem-solving skills, and the occupational-specific skills.

Source of Revenues:

Revenues are from the US Department of Education, which reimburses the University for disbursements related to the Federal Perkins Vocational and Applied Technology Program.

Current Program Activities/Allowable Expenses:

The fund is used to maintain, extend, improve, and develop new vocational education programs at the community college campuses. Allowable expenses include occupationally-relevant equipment, vocational curriculum materials, materials for learning labs, curriculum development or modification, staff development, career counseling and guidance activities, efforts for academic-vocational integration, supplemental services for special populations, hiring vocational staff, remedial classes, and expansion of tech prep programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues and expenditures for the Federal Perkins Vocational and Applied Technology Program may vary from year to year based on the number of students who are enrolled in courses for vocational or technical programs, the number of classes offered to accommodate student demand, and changes in curriculum, counseling, and student support activities.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,870,635	3,887,369	3,887,369	3,887,369	3,887,369	3,887,369	3,887,369
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	2,586,254	2,881,405	3,179,811	2,329,352	3,100,000	3,100,000	3,100,000
Expenditures	2,586,254	2,881,405	3,179,811	2,329,352	3,100,000	3,100,000	3,100,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-881
 Name of Fund: State Aquarium SF
 Legal Authority: 304A-2165, HRS

Contact Name: Bob Nagao
 Phone: 956-4557
 Fund type (MOF) B
 Appropriation Acct. No. S-304-F

Intended Purpose:

Per statute, all revenues derived from all fees for admission and all fees for the use of the aquarium facilities and programs collected in conjunction with the operation of the state aquarium shall be deposited in this fund.

The purpose of this fund is to support the operations of the Waikiki Aquarium by providing a fund to receive revenue and process expenditures that occur in the daily operations.

Source of Revenues:

Revenues are derived from admission fees, education program fees, rental of the facility, and other miscellaneous activities that occur at the Aquarium site.

Current Program Activities/Allowable Expenses:

Expenses are incurred for the general operations of the Aquarium including salaries of non-general funded employees.

Funds are also used to assist in the maintenance of the Waikiki Aquarium facility as needed.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

FY 2014 Revenues: This variance can be attributed to our recent admission fee increase approved by BoD 2013

FY 2014 Expenditures: Staff Recruitment(s) as well as energy costs are attributed to this variance

10.4% variance in revenue attributed to increased facility rentals.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141	3,117,141
Beginning Cash Balance	210,892	170,818	173,761	83,087	291,054	491,054	591,054
Revenues	2,388,987	2,578,805	2,606,500	2,876,841	2,900,000	2,900,000	3,000,000
Expenditures	2,429,062	2,575,862	2,697,174	2,668,874	2,700,000	2,800,000	2,800,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	170,818	173,761	83,087	291,054	491,054	591,054	791,054
Encumbrances	43,910	35,642	80,585	129,019			
Unencumbered Cash Balance	126,908	138,119	2,502	162,035	491,054	591,054	791,054

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-881
 UH Commercial Enterprises RF (Hanauma Bay
 Gift Shop)
 Name of Fund: Gift Shop)
 Legal Authority 304A-2251, HRS

Contact Name: Bob Nagao
 Phone: 956-4557

Fund type (MOF) W
 Appropriation Acct. No. S-351-F

Intended Purpose:

Per statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Fund is established for the operation of the Hanauma Bay gift shop including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

Source of Revenues:

Revenue is earned through sales of marine-related merchandise at the Hanauma Bay gift shop.

Current Program Activities/Allowable Expenses:

Expenses are incurred for the general operations of the gift shop, including salaries of non-general funded employees.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

The aquarium no longer operates this concession. However, plans are underway to change the name to Gift Shop allowing us to take over operations of the Aquariums Gift Shop.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	996,499	996,499	996,499	996,499	996,499	996,499	996,499
Beginning Cash Balance	421,633	106,551	81,194	45,185	19,766	9,766	4,766
Revenues	1,731	156	113	80	0	0	0
Expenditures	316,813	25,512	36,123	25,498	10,000	5,000	4,766
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	106,551	81,194	45,185	19,766	9,766	4,766	0
Encumbrances	32,002	68,367	38,071	11,368			
Unencumbered Cash Balance	74,549	12,828	7,114	8,399	9,766	4,766	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Risk Management Special Fund
 Legal Authority: 304A-2151, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, moneys in this fund may be expended for costs and expenses associated with the administration and operation of the risk management program.

To pay for settlements and judgments, claims, insurance premiums, legal fees & costs for the University of Hawai'i .

Source of Revenues:

Assessment of the campuses within the University system.

Current Program Activities/Allowable Expenses:

To pay for costs and expenses associated with the administration and operation of the Risk Management program, including but not limited to, insurance premiums, retention payments, claims administration & operation, settlements, payment of judgments, other obligations and legal fees and costs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

This is not a revenue generating account, but the revenue in FY 2014, FY 2016, and FY 2017 were due to settlements in the University's favor.

FY 2015 revenues were also derived from settlements in the University's favor but were largely due to insurance proceeds received from the fire settlement. Revenues in FY 2018 are primarily attributed to a settlement for the Pacific Ocean Sciences and Technology building recovery costs.

Expenditures will vary from year to year depending on how many legal claims of loss and settlements are received for that year.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
Beginning Cash Balance	3,478,052	5,212,971	6,104,192	5,686,968	3,747,808	2,462,173	3,352,197
Revenues	990,592	1,919,938	107,000	94,417	986,491	0	0
Expenditures	1,202,568	1,862,027	2,459,968	3,962,897	4,201,445	1,041,437	3,068,593
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
*See below							
Net Total Transfers	1,946,895	833,309	1,935,744	1,929,320	1,929,318	1,931,461	1,930,033
Ending Cash Balance	5,212,971	6,104,192	5,686,968	3,747,808	2,462,173	3,352,197	2,213,637
Encumbrances	1,049,931	1,646,866	1,171,075	1,081,654	1,299,865	1,184,198	1,188,572
Unencumbered Cash Balance	4,163,041	4,457,326	4,515,894	2,666,154	1,162,308	2,167,999	1,025,065

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Transfers: \$1,929,320 transfer-in from the campuses for the annual Risk Management Special Fund assessment.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Tuition & Fees Special Fund
 Legal Authority: 304A-2153, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, all revenue collected by the University for regular, summer, and continuing education credit tuition, tuition-related course and fee charges, and any other charges for students shall be deposited in this fund, to be expended to maintain or improve the University's programs and operations.

Source of Revenues:

Late registration fees, interest income, transfers.

Current Program Activities/Allowable Expenses:

Funds are expended to maintain and improve the University's programs and operations, including a variety of personnel, operating, equipment and utility expenses; funds are also used for promoting alumni relations and generating private donations for deposit into the University of Hawai'i Foundation for the purposes of the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues are derived from late registration fees, tuition payment plan fees, and interest income. Revenues rose markedly in FY 2017 due to interest income earned from a diversification in investments which gained a higher yield and a slight increase in cash balances. Due to insufficient General Funds in FY 2015, the Tuition & Fees Special Fund was utilized to satisfy rising expenditures in growing Systemwide programs. Expenditures are projected to rise from FY 2018 to FY 2020 due to a new document imaging project and payments on prior year encumbrances.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	15,000,000	15,000,000	15,000,000	15,000,000	15,000,000	15,000,000	15,000,000
Beginning Cash Balance	5,171,583	7,614,207	7,767,702	105,121,164	127,554,755	126,477,617	125,137,284
Revenues	766,584	821,903	963,008	1,579,286	1,517,940	1,502,404	1,507,583
Expenditures	6,512,847	9,255,888	6,846,379	7,756,078	12,445,729	12,948,430	12,941,143
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
*See below							
Net Total Transfers	8,188,886	8,587,480	103,236,833	28,610,382	9,850,651	10,105,693	7,995,028
Ending Cash Balance	7,614,207	7,767,702	105,121,164	127,554,755	126,477,617	125,137,284	121,698,752
Encumbrances	4,395,504	3,747,594	3,955,710	6,663,645	4,250,992	4,341,528	4,390,281
Unencumbered Cash Balance	3,218,704	4,020,108	101,165,454	120,891,111	122,226,625	120,795,756	117,308,471
			**	**	**	**	**

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

* Transfers: \$3,000,000.00 transfer-in for the UH Foundation Assessment, \$6,588,571.48 transfer-in for the Banner Assessment, \$32,486,013.36 transfer-in from UH programs; \$1,581,471.38 transfer-out for debt service and \$11,882,730.98 transfer-out to UH programs.

** Includes 304A-2153, HRS unencumbered cash balances from all campuses, transferred to UOH-900 at the end of FY pursuant to Act 236, SLH 2015.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Systemwide Information Technology Services SF
 Legal Authority: 304A-2154, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, revenues collected from users of information technology services and any user fees shall be deposited in this fund, and used in support of systemwide information technology and services including personnel, equipment costs, and other expenses, as well as planning, design, and implementation of information technology infrastructure within the university.

Source of Revenues:

Recharge of UH departments for software licenses purchased and made available by ITS for distribution systemwide.

Current Program Activities/Allowable Expenses:

Purchase of site licenses and payroll cost for administrative support.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Variance in FY 2014 expenditures is due to one time purchases in furniture and moving expenses to the new IT building.

Increase in FY 2016 revenue is due to a contract payment received once every five years.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000	1,600,000
Beginning Cash Balance	3,312,884	2,264,272	1,870,518	3,745,433	3,783,661	3,779,083	3,717,083
Revenues	1,590,783	1,502,674	2,765,633	1,955,217	1,751,801	1,700,000	1,700,000
Expenditures	2,675,271	1,896,428	1,464,844	1,949,701	1,756,379	1,762,000	1,762,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	35,876	0	574,125	32,713	0	0	0
Ending Cash Balance	2,264,272	1,870,518	3,745,433	3,783,661	3,779,083	3,717,083	3,655,083
Encumbrances	490,924	222,123	673,564	405,789	400,000	400,000	400,000
Unencumbered Cash Balance	1,773,348	1,648,396	3,071,869	3,377,872	3,379,083	3,317,083	3,255,083

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Auxiliary Enterprises SF
 Legal Authority: 304A-2157, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, all revenues collected from the University's provision of auxiliary services shall be deposited into this fund and shall be expended solely for the costs of providing these services.

Revenues collected shall be deposited into this fund and shall be expended for the costs of providing support for the Manoa and Kaka'ako animal facilities.

Source of Revenues:

Revenues are from biomedical and behavioral research contract and grants. Researchers who house their animals at the Animal and Veterinary Services Program pay a per diem for each animal that the staff cares for, and for any technical or veterinary services provided.

Current Program Activities/Allowable Expenses:

Any expenses necessary to provide animal housing and husbandry for biomedical research and the systemwide administration and oversight for the care and use of vertebrate animals at the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling				2,200,000	2,200,000	2,200,000	2,200,000
Beginning Cash Balance		0	0	0	(56,991)	(90,121)	(100,617)
Revenues				735,087	756,000	871,200	903,648
Expenditures				864,547	789,130	881,696	879,893
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	72,469	0	0	0
Ending Cash Balance	0	0	0	(56,991)	(90,121)	(100,617)	(76,862)
Encumbrances				41,832	20,000	20,000	20,000
Unencumbered Cash Balance	0	0	0	(98,823)	(110,121)	(120,617)	(96,862)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Scholarship & Assistance Special Fund
 Legal Authority: 304A-2159, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

The fund shall be used to provide financial assistance to qualified students enrolled at any campus of the University of Hawai'i. It is administered pursuant to the authority of the Board of Regents, as specified under section 304A-501, HRS.

Source of Revenues:

Revenues deposited into this fund include, but are not limited to, state, federal, and private funds, funds transferred by the University from the Tuition and Fees Special Fund pursuant to 340A-2153, HRS, and appropriated for any scholarship program, including, but not limited to, the B Plus Scholarship program.

Current Program Activities/Allowable Expenses:

Funds are used for the B Plus Scholarship program, including scholarships to students and expenses necessary to administer the program.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

B+ Scholarships are awarded before each academic year. However, eligibility of students from year to year will vary, and those that are on this scholarship may lose eligibility if their grades are not maintained, they change to part-time, or drop out of school.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Beginning Cash Balance	4,124,549	3,636,908	3,341,872	3,370,451	3,379,631	3,388,931	3,398,231
Revenues	9,862	9,777	8,589	9,270	9,300	9,300	9,300
Expenditures	3,497,503	3,304,813	2,980,011	3,000,090	3,000,000	3,000,000	3,000,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
*See below							
Net Total Transfers	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
Ending Cash Balance	3,636,908	3,341,872	3,370,451	3,379,631	3,388,931	3,398,231	3,407,531
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	3,636,908	3,341,872	3,370,451	3,379,631	3,388,931	3,398,231	3,407,531

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

*Transfers: \$3,000,000 transfer-in from FY 2017 appropriated General Funds for the B Plus Scholarship Program under Act 213, Sec 94, SLH 2007.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: University Revenue Undertakings Fund (UHRUF Admin)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.
 Administration of the University Revenue-Undertakings Fund and the University Bond System (UBS). Directs, manages, and oversees UBS projects including: (1) budget preparation, (2) generation and accounting of revenues, and (3) expenditure control.

Source of Revenues:

Interest Income, transfer from Tuition & Fees, and transfer from Department of Health for Series 2002A and Refunding Series 2006A revenue bond debt service (Sect. 328L, HRS). Revenue also received from assessments.

Current Program Activities/Allowable Expenses:

Develops and implements policies, rules, and procedures to ensure the self-financing nature of bond projects. Prepares prospectus and financing models; compiles and analyzes financial data relating to the sale of university revenue bonds; administers the service of debt; and performs required post-issuance financial disclosures and federal tax compliance on issued revenue bonds. UBS covers the following projects: UH Manoa Student Housing, UH Manoa Faculty Housing, UH Manoa Campus Center, UH Manoa Parking Operations, UH Manoa Food Service, University Bookstores System, UH Hilo Student Housing, UH Hilo Food Service, and Telecommunications System.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues are primarily derived from interest income. Revenue rose in FY 2017 due to interest on Series 2015E funds. Revenue is anticipated to rise in FY 2018 from Revenue Bond Series 2018. Expenditures in FY 2018 are primarily attributed to a contract payment. Expenditures are decreasing beginning in FY 2018 due to a change in source of funds for payroll costs. Projected FY 2018 transfers are from assessments to the projects or from other funds.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	10,188,272	10,188,272	10,188,272	10,188,272	10,188,272	10,188,272	10,188,272
Beginning Cash Balance	1,137,097	1,321,987	1,098,900	907,077	596,945	1,348,845	1,309,745
Revenues	6,819	4,742	4,399	39,074	169,700	4,700	4,700
Expenditures	174,190	227,829	410,516	312,272	212,800	43,800	45,300
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	352,262	0	214,294	(36,933)	795,000	0	0
Ending Cash Balance	1,321,987	1,098,900	907,077	596,945	1,348,845	1,309,745	1,269,145
Encumbrances	116,618	94,074	109,678	28,745	0	0	0
Unencumbered Cash Balance	1,205,369	1,004,826	797,399	568,200	1,348,845	1,309,745	1,269,145

Additional Information:

Amount Req. by Bond Covenants	1,492,226	1,476,957	1,479,263	1,479,263	1,479,263	1,479,263	1,479,263
Amount from Bond Proceeds			214,294		165,000		
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: University Revenue Undertakings Fund (ITS Building)
 Legal Authority: 304A-2167.5, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, the fund was established to provide for all costs of construction, operation, repair, and maintenance of a University project, University system network, or any combination thereof, including reserves therefor.

To provide for all costs of construction, operation, repair and maintenance of the ITS Building.

Source of Revenues:

Transfer from Tuition & Fees, interest income.

Current Program Activities/Allowable Expenses:

All costs related to construction and operation of the ITS Building, including the repayment of revenue bonds.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenditures are set by an amortization schedule. Interest income revenues fluctuate with interest rates and balance.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	1,500	1,500	1,500	1,500	1,500	1,500	1,500
Beginning Cash Balance	652	0	(11)	(0)	(0)	(0)	(0)
Revenues	(79)	(117)	110	5	0	0	0
Expenditures	1,227	1,407	1,547	1,220	0	0	0
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	654	1,512	1,448	1,214	0	0	0
Ending Cash Balance	0	(11)	(0)	(0)	(0)	(0)	(0)
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	0	(11)	(0)	(0)	(0)	(0)	(0)

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH CIP Project Assessment Special Fund
 Legal Authority: 304A-2172, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) B
 Appropriation Acct. No. S-340-F

Intended Purpose:

By statute, this fund was established to defray costs involved in 1) carrying out capital improvements program (CIP) projects managed by the University; 2) equitably assessing, collecting, and distributing moneys for current and other expenses associated with CIP projects, repair and maintenance projects, and major renovation projects; 3) managing the payment of expenses assessable against CIP projects managed by or through the University; and 4) managing funds representing accumulated vacation and sick leave credits and retirement benefits for non-general funded employees under the CIP projects managed by the University.

Source of Revenues:

Assessments on capital improvements program projects, repair and maintenance projects and major renovation projects managed by the University.

Current Program Activities/Allowable Expenses:

Personnel costs, printing, employee transportation requirements, project-related travel costs, travel per diem, car mileage, and other expenses incurred in carrying out the CIP projects managed by the University.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Expenses for this fund are directly correlated to CIP projects. Therefore, it will vary depending how many projects are currently managed, and where in the construction project you are at will drive expense.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	350,000	350,000	350,000	350,000	350,000	350,000	350,000
Beginning Cash Balance	222,003	201,710	175,735	152,679	118,751	152,751	186,751
Revenues	0	0	0	20,000	84,000	84,000	84,000
Expenditures	20,293	25,975	23,056	53,928	50,000	50,000	50,000
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	201,710	175,735	152,679	118,751	152,751	186,751	220,751
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	201,710	175,735	152,679	118,751	152,751	186,751	220,751

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Commercial Enterprises Revolving Fund
 Legal Authority: 304A-2251, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF): W
 Appropriation Acct. No.: S-355-F

Intended Purpose:

By statute, all revenues derived from the operation of commercial enterprises by University programs shall be deposited in this fund, and may be expended for all costs and expenses associated with the operation of the enterprises, including hiring personnel, renovating commercial space, and purchasing merchandise, supplies, and equipment.

For the operation of commercial enterprises by University programs, including the formal licensing program for the commercial use of the University's names and trademarks, the purchasing card program, the hosting of IT workshops and trainings, and other services provided by UH. To develop technologies which have potential commercial value, support the administration of technology transfer activities and facilitate economic development through education and research undertaken at the University for the Office of Technology Transfer and Economic Development (OTTED).

Source of Revenues:

Revenues are generated through the assessment of licensing royalties and other various program fees, including registration fees.

Revenue proceeds also taken from the technology services that generate commercial value through education and research, license issue fees, maintenance fees, and reimbursements.

Current Program Activities/Allowable Expenses:

Current Commercial Enterprise activities conducted by UH system programs include the operation and monitoring of the Collegiate Licensing program and the University's P-Card Operations program. OTTED assesses the commercial potential of new inventions, secures intellectual property rights with commercial potential, and markets and licenses those inventions to the industry. Allowable expenses include expenditures for the associated operating expenses of the various programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

SLH2015, Act 106 repealed the Discoveries and Inventions Special Fund effective July 1, 2015. Discoveries and Inventions accounts were created in the UH Commercial Enterprises Revolving Fund, HRS304A-2251 and revenues and expenditures were moved from Special to Revolving Funds, resulting in the variances in FY 2016. Revenues and expenditures will also vary greatly depending on how many patents are applied for and how many are sold.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000
Beginning Cash Balance	1,575,085	1,952,122	2,065,916	2,252,109	2,478,168	2,556,446	2,727,441
Revenues	839,610	707,474	1,185,800	1,056,400	964,976	972,077	988,877
Expenditures	113,505	761,279	703,495	748,984	636,523	644,315	663,599
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	(349,068)	167,599	(296,112)	(81,356)	(250,175)	(156,767)	(150,805)
Ending Cash Balance	1,952,122	2,065,916	2,252,109	2,478,168	2,556,446	2,727,441	2,901,914
Encumbrances	44,950	313,007	243,530	314,005	37,815	37,815	37,815
Unencumbered Cash Balance	1,907,173	1,752,909	2,008,579	2,164,164	2,518,631	2,689,626	2,864,099

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

NOTE: Auxiliary Services CE accounts being reported in System through FY2012; FY2013 and forward will be reported in Manoa.

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Research and Training Revolving Fund
 Legal Authority: 304A-2253, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) W
 Appropriation Acct. No. S-355-F

Intended Purpose:

By statute, one hundred percent of the total amount of indirect overhead revenues generated by the University from research and training programs shall be deposited in this fund, and may be expended on:

- (1) Research and training purposes that may result in additional research and training grants and contracts; and
- (2) Facilitating research and training at the university.

Source of Revenues:

Indirect overhead revenue generated by the University from research and training extramural awards.

Current Program Activities/Allowable Expenses:

The fund is used to support various offices by providing services and resources that enhance research, training, and other sponsored programs and activities, such as sponsor cost sharing or matching requirements, faculty start-up packages, repairs and maintenance of research buildings and equipment, and other operational expenses related to research and training at the University. The fund is also used to ensure University compliance with federal, state, and sponsor requirements for programmatic, regulatory, fiscal, and property stewardship.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Indirect cost overhead support will fluctuate with increases or decreases in the number of extramural grants serviced by the University.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	13,000,000	13,000,000	13,000,000	13,000,000	13,000,000	13,000,000	13,000,000
Beginning Cash Balance	(2,321,688)	(597,145)	553,759	(800,941)	2,980,540	1,653,606	2,576,325
Revenues	14,984,876	13,051,406	13,151,409	19,582,662	20,781,740	15,000,000	15,000,000
Expenditures	14,022,221	11,758,665	14,538,476	15,419,802	17,766,465	15,057,523	12,570,023
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	699,103	(141,837)	32,367	(381,379)	(4,342,209)	980,242	(1,507,258)
Ending Cash Balance	(659,931)	553,759	(800,941)	2,980,540	1,653,606	2,576,325	3,499,044
Encumbrances	1,587,490	1,560,030	2,231,007	1,591,682	500,000	500,000	500,000
Unencumbered Cash Balance	(2,247,421)	(1,006,271)	(3,031,948)	1,388,858	1,153,606	2,076,325	2,999,044

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: UH Real Property & Facilities Use Revolving Fund
 Legal Authority: 304A-2274, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) W
 Appropriation Acct. No. S-355-F

Intended Purpose:

By statute, all revenues collected by the University for the use of real property and facilities shall be deposited in this fund, and shall be expended to pay the costs of operating University facilities.

The fund was established for the use of University real property and facilities, including the sale, lease, or use of University real property and facilities, which include land, buildings, grounds, furnishings, and equipment.

Source of Revenues:

Inter-departmental transfers and transfers.

Current Program Activities/Allowable Expenses:

Allowable expenses including the payment of the costs of operating University facilities, including maintenance, administrative expenses, salaries, wages and benefits, contractor services, supplies, security, equipment, insurance, utilities and other operational expenses.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Revenues in FY 2014, FY 2015 and FY 2016 are derived from interest income, which fluctuate based on interest rates and balances. Revenue and transfer variances in FY 2017 were due to an account that rightfully should have been reported under UOH-100. Correction to the account to be made in FY 2018.

Expenditures decreased in FY 2017 due to personnel vacancy; however the position is expected to be filled in FY 2018.

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	175,000	175,000	175,000	175,000	175,000	175,000	175,000
Beginning Cash Balance	352,286	414,387	443,566	503,332	675,309	737,009	763,809
Revenues	455	628	838	111,362	1,200	1,200	1,200
Expenditures	157,354	190,450	171,172	103,500	169,600	216,700	216,700
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	219,000	219,000	230,100	164,116	230,100	242,300	242,300
Ending Cash Balance	414,387	443,566	503,332	675,309	737,009	763,809	790,609
Encumbrances	0	0	0	0	0	0	0
Unencumbered Cash Balance	414,387	443,566	503,332	675,309	737,009	763,809	790,609

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Professional Student Exchange Program RF
 Legal Authority: 304A-2277, HRS

Contact Name: N/A
 Phone: N/A
 Fund type (MOF) W
 Appropriation Acct. No. N/A

Intended Purpose:

The purpose of this fund is to support the professional student exchange program's activities, including the provision of financial support to participants at Western Interstate Commission on Higher Education receiver institutions; and enforce the collection of delinquent obligations.

Source of Revenues:

Principal and interest on loan repayments generated from student exchange programs

Current Program Activities/Allowable Expenses:

No funds have been appropriated nor revenues generated from these programs.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling							
Beginning Cash Balance		0	0	0	0	0	0
Revenues							
Expenditures							
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances							
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							

Report on Non-General Fund Information
for Submittal to the 2018 Legislature

Department: UOH
 Prog ID(s): UOH-900
 Name of Fund: Carl D. Perkins Career & Technical Education
 Legal Authority: 304A-2403, HRS

Contact Name: Blanche Fountain
 Phone: 956-5090
 Fund type (MOF) N
 Appropriation Acct. No. S-225-F

Intended Purpose:

Funds used for the administration and supervision of the State's Career & Technical Education Program as funded under the Carl D. Perkins Education Act of 2006.

Source of Revenues:

Federal appropriation.

Current Program Activities/Allowable Expenses:

Statewide leadership and planning, policy implementation, administration and supervision, technical assistance, and State level coordination and evaluation of services and activities delineated in the State Plan for Career & Technical Education/Personal Services, Other Current Expenses, and Equipment.

Purpose of Proposed Ceiling Adjustment (if applicable):

Variances:

Financial Data							
	FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019	FY 2020
	(actual)	(actual)	(actual)	(actual)	(estimated)	(estimated)	(estimated)
Appropriation Ceiling	909,175	909,175	950,311	957,327	1,094,875	1,094,875	1,094,875
Beginning Cash Balance	0	0	0	0	0	0	0
Revenues	331,713	285,409	265,802	192,984	358,873	358,873	358,873
Expenditures	331,713	285,409	265,802	192,984	358,873	358,873	358,873
Transfers							
List each net transfer in/out/ or projection in/out; list each account number							
Net Total Transfers	0	0	0	0	0	0	0
Ending Cash Balance	0	0	0	0	0	0	0
Encumbrances	0	0	0				
Unencumbered Cash Balance	0	0	0	0	0	0	0

Additional Information:

Amount Req. by Bond Covenants							
Amount from Bond Proceeds							
Amount Held in CODs, Escrow Accounts, or Other Investments							