

House District(s) 21
Senate District(s) 47

THE TWENTY-NINTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST - OPERATING

GRANT REQUEST - CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): _____

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:

Puma Punku Ocean Clean Up, Inc.

Street Address: 86-210 Leihua Street
Waianae, HI 96792

Mailing Address: 86-210 Leihua Street
Waianae, HI 96792

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name EDGAR PALAFOX

Title DIRECTOR / OPERATIONS OFFICER

Phone # 808-282-8629

Fax # _____

E-mail edgar808@gmail.com

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
 FOR PROFIT CORPORATION INCORPORATED IN HAWAII
 LIMITED LIABILITY COMPANY
 SOLE PROPRIETORSHIP/INDIVIDUAL
 OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

Puma Punku Ocean Clean Up, Inc. (PPOCU) a non-profit 501 C 3 is please to submit the following request for funding support from The Twenty-ninth Legislature

Our Mission is about the love and future of the Hawaiian Islands, "Our hope is that our hard work, the Keiki (children) of this and future generations may enjoy, explore and learn to take care of the Moana (ocean) as our Kupunas (elders) once did". A clean ecosystem within Hawaii's ocean, beaches and waterways.

4. FEDERAL TAX ID #: _____

5. STATE TAX ID #: _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2019:
\$ 298,173

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ _____

FEDERAL \$ _____

COUNTY \$ _____

PRIVATE/OTHER \$ _____

VE

EDGAR PALAFOX DIRECTOR / OPERATIONS OFFICER

NAME & TITLE

1/15/18

DATE SIGNED

PUMA PUNKU OCEAN CLEAN UP, INC.

January 15, 2018

The Twenty-ninth Legislature
Application for Grants
Chapter 42F, Hawaii Revised Statutes

To Whom It May Concern:

I. Background Summary

Puma Punku Ocean Clean Up, Inc. (PPOCU) a non-profit 501 C 3 is please to submit the following request for funding support from The Twenty-ninth Legislature.

Our Mission is about the love and future of the Hawaiian Islands, "Our hope is that our hard work, the Keiki (children) of this and future generations may enjoy, explore and learn to take care of the Moana (ocean) as our Kupunas (elders) once did". A clean ecosystem within Hawaii's ocean, beaches and waterways.

PPOCU cleanups are currently focused at Ala Wai Boat Harbor which started the ending of 2015 which pulled out 4 tons of plastic and debris. Our next four cleanups in netted another 18 tons of plastic and debris. With our limited resources Ala Wai Boat harbor is our stepping-stone for bigger and challenging projects, since we have Matson crane operators and longshoreman donating their time and expertise.

With all the attention of the hard work of PPOCU volunteers at the Ala Wai Boat Harbor, we were featured 10 times on KHNL, KITV, KGMB and KHON news channels. Also, a glowing review in an article in the MidWeek newspaper by Ron Mizutani and on John Nolan's radio show 4 times.

II. Service Summary and Outcomes

- 1) Phase I: Entails cleanup on a larger scale with rentals of small cranes and barges to target ocean and waterway debris not accessible by land. PPOCU has experience and license volunteer crane operators and ship captains. Operating on all the Hawaiian Islands as needed and special projects requested by the community leaders
- 2) Phase II: Community outreach with educational commercials and programs with friends and supporters (Nainoa Thompson and Bruce Blankenfeld – Hokule'a Captains; Clyde Aikau; retired ship captains; ocean lifeguards; koa canoe builders, etc.)
- 3) Phase III: Create an educational, interactive learning environment for community volunteers and children in schools about taking care of the ocean, waterways and beaches. Not only picking up and cleaning the debris but also learning about the impact to Hawaii's ocean environment and mariners.

Our plan to purchase safety & cleaning equipment, computers for educational and training. Our goal is to build a solid and strategic foundation for sustainability.

III. Financial

PPOCU currently operates from private and personal donations. Our sustainability business model may also include fundraisers from music entertainment concerts (we have many friends and family members that are professional entertainers) donate their time singing and playing music.

- See Attached (Projected Cost by Quarter)

IV. Experience and Capabilities

- See attached Our Leaders
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V. Personnel: Projected Organization and Staffing

- a. Staffing, Qualifications,
- b. Organization Chart -- See attached
- c. Compensation -- See attached
 - i. (Budget Justification Personnel Salaries)

VI. Other

- a. Litigation - None
- b. Licensure or Accreditation
- c. Private Educational Institutions – N/A
- d. Future Sustainability Plan
- e. Certificate of Good Standing
- f. Declaration Statement
- g. Public Purpose



BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2018 to June 30, 2019

Applicant: Puma Punku Ocean Clean Up, Inc

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	65,000			
2. Payroll Taxes & Assessments	4,973			
3. Fringe Benefits	9,750			
TOTAL PERSONNEL COST	79,723			
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	3,000			
2. Insurance	1,500			
3. Lease/Rental of Equipment	205,100			
4. Lease/Rental of Space	3,600			
5. Staff Training	1,500			
6. Supplies	750			
7. Telecommunication	1,800			
8. Utilities	1,200			
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	218,450			
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	298,173			
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested	298,173	Edgar Palafox 808-282-8629		
(b) Total Federal Funds Requested		Phone		
(c) Total County Funds Requested		1/15/18		
(d) Total Private/Other Funds Requested		Date		
TOTAL BUDGET	298,173	Edgar Palafox <u>Director, Operations Officer</u> Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2018 to June 30, 2019

Applicant: Puma Punku Ocean Clean Up, Inc

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
			\$ -	
NONE			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:			\$ -	
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
NONE			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:			\$ -	
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2018 to June 30, 2019

Applicant: Puma Punku Ocean Clean Up, Inc

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2016-2017	FY: 2017-2018	FY:2018-2019	FY:2018-2019	FY:2019-2020	FY:2020-2021
PLANS			298173			
LAND ACQUISITION						
DESIGN						
CONSTRUCTION						
EQUIPMENT						
TOTAL:			298,173			
JUSTIFICATION/COMMENTS: No Funds requested prior to 2018						

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

Applicant: Puma Punku Ocean Clean Up, Inc

Contracts Total: -

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1					
2	None				
3					
4					
5					
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**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:


- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.

- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.

- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Puma Punku Ocean Clean Up, Inc
(Type Name)  _____
(Signature) 1/15/18
(Date)

Edgar Palafox Director / Operations Officer
(Typed Name) (Title)

Puma Punku Ocean Clean Up, Inc

2018-19 Projected Cost

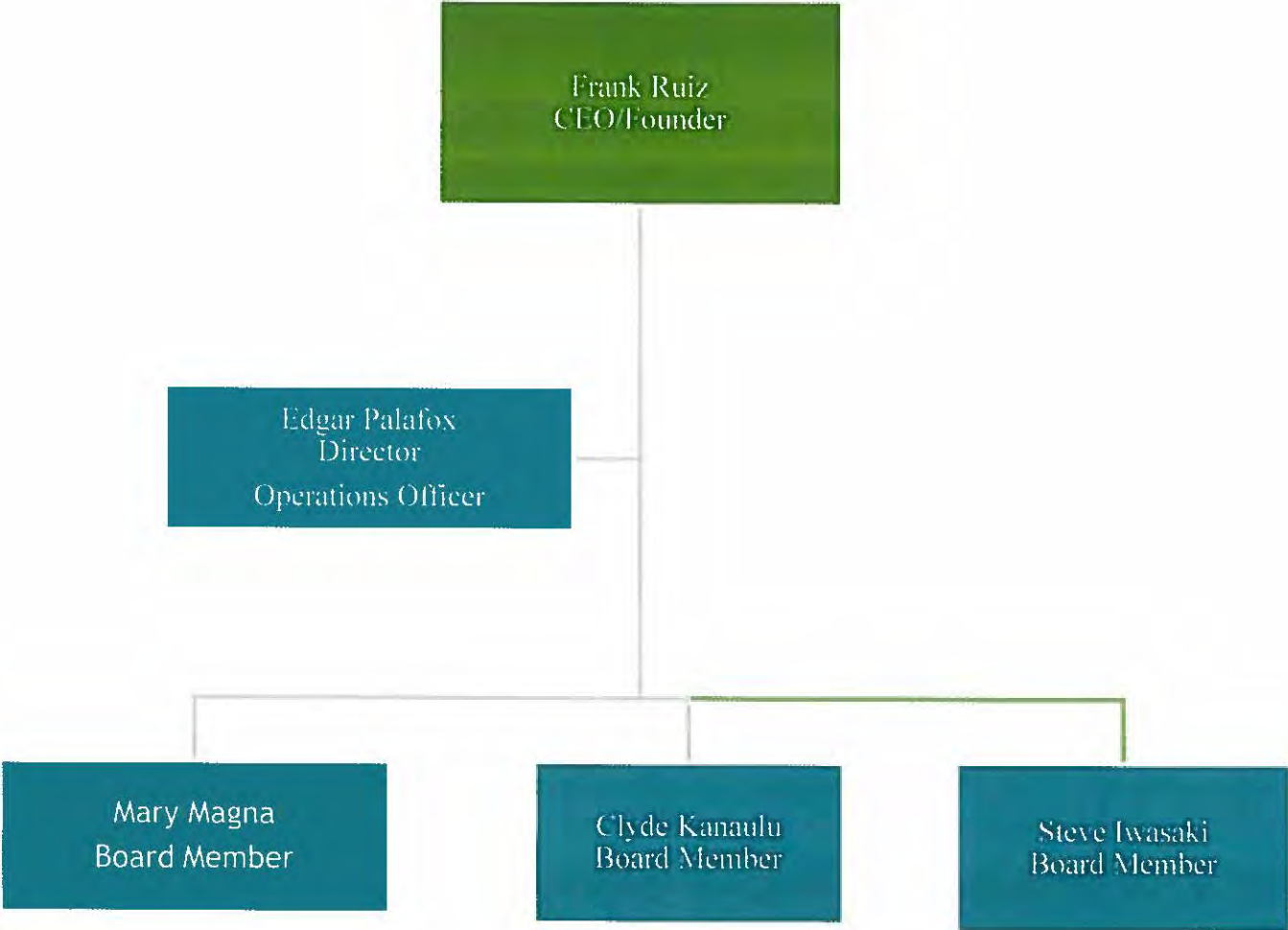
	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Grant Total
Salary	\$ 19,930.75	\$ 19,930.75	\$ 19,930.75	\$ 19,930.75	\$ 79,723.00
Airfare	\$ 1,500.00		\$ 1,500.00		\$ 3,000.00
Insurance	\$ 1,500.00				\$ 1,500.00
Lease/Rental of Equipment		\$ 102,550.00		\$ 102,550.00	\$ 205,100.00
Lease/Rental of Space	\$ 900.00	\$ 900.00	\$ 900.00	\$ 900.00	\$ 3,600.00
Staff Training		\$ 750.00		\$ 750.00	\$ 1,500.00
Supplies	\$ 375.00		\$ 375.00		\$ 750.00
Telecommunication	\$ 450.00	\$ 450.00	\$ 450.00	\$ 450.00	\$ 1,800.00
Utilities	\$300.00	\$300.00	\$300.00	\$300.00	\$ 1,200.00
TOTAL					\$ 298,173.00

Hawaiian Tug & Barge	
Cost per day	
Tug	\$ 12,000.00
Fuel	\$ 250.00
Barge	\$ 2,000.00
Crane	\$ 400.00
Total	\$ 14,650.00

Note: We have volunteer Crane Operators

14 days of Ocean Clean up	\$ 205,100.00
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Puma Punku Ocean Clean Up, Inc.



Our Leaders

Frank Ruiz, CEO/Founder

Matson Leaderman of Wharf gang 65; longshoreman for 27 years

Edgar Palafox, Director/ Operations Officer

Former Matson supervisor and Instructor of Management & Human Resources at Hawaii Pacific University

Mary Magana, Board Member

Community Leader and business entrepreneur

Clyde Kanaulu, Board Member

Matson machine operator for 35 years

Steve Iwasaki, Board Member

Matson machine operator for 30+ years



CURRENTS *Ron Mizutani*

One Man's Trash Another Man's Passion

Frank Ruiz hopes one day soon his phone will ring off the hook when a big storm hits the islands and debris empties into the ocean.

Until that happens, he won't give up on his new mission in life.

"I've been surfing since I was 6 and I have always had a love for the ocean, so when I see trash (in the ocean) it gets to me," says 61-year-old Ruiz. "I want to keep the ocean clean for the next generation."

Ruiz is president of a new nonprofit group called Puma Punku Ocean Clean Up Inc. He and his crew, made up mainly of longshoremen, are dedicated to keeping our ocean clean.

The retired longshoreman says that, after 27 years on the waterfront, he sadly wit-

nessed the decay of our ocean firsthand as garbage washed up on shorelines.

In 2012, he and a few friends decided they wanted to make a difference.

"My mom gave me \$5,000 and I used \$9,000 of my own money to start up this effort to clean our ocean," says Ruiz, who moved to Hawaii from California in 1972. "We know there are many great causes out there, but we believe this is a great cause too. The ocean is a gift and if we don't take care of it, everything is going to fall apart."

Ruiz says his vision actually came to him in 2001 while he was riding his Jet Ski off the Waianae coast.

"I saw plastic bags in the ocean and started picking them up, and ended up filling my



Volunteers pulled 4 tons of trash from Ala Wai Harbor
PHOTO COURTESY FRANK RUIZ

truck," he recalls. "I thought myself, turtles think these bags are jellyfish and they're dying. That's what started it off."

Ruiz dove in headfirst and created a website. He says surfing legend Clyde Aikau donated four small catamarans to help in the cleanup effort.

Ruiz then came up with nonprofit's unique name.

"Puma Punku is a temple in Bolivia and I always thought it was an interesting place," says Ruiz. "I thought, 'Why not be different?' -- and that's how I chose the name."

The group's first project was in September at Ala Wai Small Boat Harbor, and it was a huge success.

"We gathered 4 tons of trash," Ruiz says with great pride. "We had a lot of helping hands and the boaters were very thankful."

But as fast as they cleaned up the harbor, Mother Nature delivered more trash and debris a few weeks later with another big storm.

Ruiz and his crew went back to work Nov. 14 and 15 and brought in 8 tons of trash.

"We got some help from a boater and two volunteers, including one from Switzer-

land," says Ruiz. "McCabe Hamilton and Renny let us borrow a high-lift to help us move the dumpsters."

But, as Ruiz has quickly learned, these cleanup efforts aren't cheap. His long-term goal is to have branches of the nonprofit on all the islands, but that won't happen without funding.

"We've received a few donations, \$20 here, \$20 there," says Ruiz. "But we need serious money to keep this going, money to buy equipment and to rent trash bins. We need some rich people to step forward."

Ruiz recently reached out to two of his "rich" high school classmates from Burbank, California.

"I went to school with Ron Howard and Rene Russo, and asked if they'd help, but I ha-

ven't heard back yet," he says.

They aim to return to Ala Wai harbor Dec. 12 and 13 with a goal of gathering 16 tons of trash.

"Jay Atkins, the husband of a longtime secretary at McCabe Hamilton and Renny, volunteered to let us borrow an excavator for our next job," says Ruiz. "This is Hawaii's company, not mine. We're trying to keep the ocean clean, and keep it clean for a long time. I'm keeping the faith we can keep this going."

For more information on the nonprofit organization, go to pumapunkuohawaii.com.

rmizutani@gmail.com



Debris was checked the harbor



PumaPunku Ocean Clean Up inc.