

House District(s): (statewide, all)

Senate District(s): (statewide, all)

THE TWENTY-NINTH LEGISLATURE
APPLICATION FOR GRANTS
CHAPTER 42F, HAWAII REVISED STATUTES

Log No:

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant.

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): DEPARTMENT OF HEALTH – MATERNAL & CHILD HEALTH BRANCH
STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): _____

1. APPLICANT INFORMATION:

Legal Name of Requesting Organization or Individual:
Healthy Mothers Healthy Babies Coalition of Hawaii

Dba: Healthy Mothers Healthy Babies Coalition of Hawaii

Street Address: 245 N. Kukui Street, Suite #102A

Mailing Address: 245 N. Kukui Street, Suite #102A,
Honolulu, HI 96817

2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:

Name LISA KIMURA

Title Executive Director

Phone # 808-737-5805

Fax # N/A

E-mail lisak@hmhb-hawaii.org

3. TYPE OF BUSINESS ENTITY:

- NON PROFIT CORPORATION INCORPORATED IN HAWAII
- FOR PROFIT CORPORATION INCORPORATED IN HAWAII
- LIMITED LIABILITY COMPANY
- SOLE PROPRIETORSHIP/INDIVIDUAL
- OTHER

6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:

Hawaii Cribs for Kids:

Reducing Infant Mortality through Safe Sleep Education, Support, and Follow-up to High-risk, Low-Income Families

4. FEDERAL TAX ID #: _____

5. STATE TAX ID #: _____

7. AMOUNT OF STATE FUNDS REQUESTED:

FISCAL YEAR 2019: \$90,000.00

8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:

- NEW SERVICE (PRESENTLY DOES NOT EXIST)
- EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$0 _____

FEDERAL \$0 _____

COUNTY \$0 _____

PRIVATE/OTHER \$20,000 _____

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

LISA KIMURA, EXECUTIVE DIRECTOR
NAME & TITLE

1/18/18
DATE SIGNED

JAN 19 2018 *JRO*
2:18 PM

Application for Grants

Please check the box when item/section has been completed. If any item is not applicable to the request, the applicant should enter "not applicable".

I. Background and Summary

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;
2. The goals and objectives related to the request;
3. The public purpose and need to be served;
4. Describe the target population to be served; and
5. Describe the geographic coverage.

II. Service Summary and Outcomes

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;
2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;
3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and
4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

2. **Facilities**

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

V. Personnel: Project Organization and Staffing

1. **Proposed Staffing, Staff Qualifications, Supervision and Training**

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

2. **Organization Chart**

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

3. **Compensation**

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

VI. Other

1. **Litigation**

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

2. **Licensure or Accreditation**

The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

3. **Private Educational Institutions**

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2018 to June 30, 2019

App

Healthy Mothers Healthy Babies

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
A. PERSONNEL COST				
1. Salaries	49,500			26,000
2. Payroll Taxes & Assessments	2,200			1,520
3. Fringe Benefits	5,200			3,500
TOTAL PERSONNEL COST	56,900			31,020
B. OTHER CURRENT EXPENSES				
1. Airfare, Inter-Island	2,500			1,226
2. Insurance	2,000			1,900
3. Lease/Rental of Equipment	500			500
4. Lease/Rental of Space	9,600			11,504
5. Staff Training	500			850
6. Supplies	17,000			12,000
7. Telecommunication	1,000			1,000
8. Utilities				
9				
10				
11				
12				
13				
14				
15				
16				
17				
18				
19				
20				
TOTAL OTHER CURRENT EXPENSES	33,100			28,980
C. EQUIPMENT PURCHASES				
D. MOTOR VEHICLE PURCHASES				
E. CAPITAL				
TOTAL (A+B+C+D+E)	90,000			60,000
SOURCES OF FUNDING		Budget Prepared By:		
(a) Total State Funds Requested		Katelyn McIntosh 808-737-5805		
(b) Total Federal Funds Requested		Phone		
(c) Total County Funds Requested		1/18/2018		
(d) Total Private/Other Funds Requested		Date		
TOTAL BUDGET		Lisa Kimura, Executive Director Name and Title (Please type or print)		

BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2018 to June 30, 2019

Applicant: Healthy Mothers Healthy Babies

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
N/A			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:			\$ -	
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:			\$ -	
JUSTIFICATION/COMMENTS:				

BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2018 to June 30, 2019

Applicant: Healthy Mothers Healthy Babies

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2016-2017	FY: 2017-2018	FY:2018-2019	FY:2018-2019	FY:2019-2020	FY:2020-2021
PLANS						
LAND ACQUISITION						
DESIGN						
CONSTRUCTION						
EQUIPMENT						
TOTAL:						
JUSTIFICATION/COMMENTS:						

GOVERNMENT CONTRACTS, GRANTS, AND / OR GRANTS IN AID

App: **Healthy Mothers Healthy Babies** Contracts Total: **-**

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	N/A				
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
26					
27					
28					
29					
30					

I. Background and Summary

Background Description

Healthy Mothers Healthy Babies Coalition of Hawaii (HMHB) is a statewide nonprofit 501(c)(3) organization established in 1992; dedicated to improving Hawaii's maternal, child and family health through collaborative efforts in public education, advocacy and partner development. HMHB believes in equal access to quality health care and the importance of a collective voice to facilitate change. Over the past 25 years, HMHB has built strong partnerships with maternal and child health organizations, community leaders and stakeholders throughout the state. HMHB actively collaborates with partners to convene the Perinatal Advocacy Network and the Hawaii Maternal & Infant Health Collaborative; advocating for women's and reproductive health, and leading outreach for establishing a Family Leave Insurance program. In addition, the Hawaii Cribs for Kids program (included in this application) has many community stakeholders who are strongly supportive of the program.

Goals and Objectives

The objective of this program is to educate parents to become more knowledgeable and confident in their parenting skills; protecting their children, as well as elevating the level of community education on safe sleep. Sudden Infant Death Syndrome (SIDS) is the leading cause of accidental death among infants and the third leading cause of infant mortality overall in the United States. In Hawaii, SIDS accounts for 41% of all post-neonatal deaths in the State. The goal of this important program is to reduce the incidence of sleep-related deaths, the most common cause of accidental infant deaths in Hawaii, by providing parents with life-saving information and a free Pack 'n Play crib so each baby has a safe place to sleep.

More than 3,500 infants die from sudden unexpected infant deaths (SUID) every year in the United States; and one child every other week in Hawaii, with approximately half being attributed to SIDS. While the overall number of deaths due to SIDS has declined both nationally and in Hawaii since the recommendation was made for all babies to be placed on their backs to sleep, sleep-related deaths from other causes including suffocation, entrapment and asphyxia have increased.

This program also includes follow-up calls and support (including referrals to complementary programs) to program participants throughout the baby's first year of life so that parents and babies have access to timely services and information for a healthy start in life.

Public Purpose and Need Served

Maintaining both a safe sleep position and environment can reduce the risk of SUID/SIDS and education is a vital preventive component to ensure caregivers know how to reduce risk factors. However, many parents have no idea about American Academy of Pediatrics (AAP) safe sleep recommendations to help keep their baby safe. This program is designed to impart information in a culturally sensitive and appropriate format, while also providing a safe crib for the child to sleep.

For babies up to one year of age, AAP recommends caregivers place baby on their back for every sleep; use a firm sleep surface, such as a safety-approved crib with a mattress that fits the crib, covered with a tightly fitted sheet; sleep in the same room, but not in the same bed, as baby sleeps, and to keep soft objects and loose bedding (such as pillows, blankets, bumper pads, and toys) out of baby's sleep area.

However, many babies in Hawaii do not currently have safe sleeping environments, putting them at serious risk of accidental injury or death. The following facts are from the most recent 2009-2010 Hawaii Pregnancy Risk Assessment Monitoring System (PRAMS) survey.

- **Sleep Position:** Approximately one-quarter (24.3%) of babies in Hawaii are most often laid to sleep in high-risk sleep positions (either on their side or stomach)

Since the program began, over 500 cribs have been distributed to families in 119 classes, and the program is now available at multiple sites on each island.

Cribs for Kids consists of a one-hour interactive educational session on risk prevention including how to create a safe sleep environment and reduce significant SIDS risk factors. The curriculum is based on the American Academy of Pediatrics (AAP) safe sleep recommendations and include both direct factors (e.g. – use of blankets and pillows in the crib; placing baby to sleep on her stomach; placing toys in the crib) and indirect health risks (e.g. – formula feeding instead of breastfeeding; smoking in the same home as an infant; timely immunizations) and identifies how each caregiver can eliminate these risk factors in their home.

Parents also receive information on HMHB’s wrap-around services during each class. These programs include: Text4baby, a free mobile text messaging service with customized health information throughout pregnancy and infancy; HMHB’s website and resource directory; and our MothersCare Line, to help families find information and resources for a healthy pregnancy, birth and baby.

Additionally, parents receive information on “The Period of PURPLE Crying,” and evidence-based program to reduce the incidence of Shaken Baby Syndrome. As parents are learning about safe sleep for their baby in the context of the class, this lesson is integrated to provide parents with a checklist of information to comfort their crying baby, as well as supportive self-soothing techniques for themselves.

Hawaii Cribs for Kids is the first and only of its kind in the State and has been extremely well-received by partner health organizations and participants. This program is the first to offer both a safe sleeping environment (crib) in addition to culturally-appropriate, family-oriented safe sleep education, support and follow-up to high risk, low-income families on every island throughout the entire state.

Projected Timeline

Activities	Months											
	1	2	3	4	5	6	7	8	9	10	11	12
Conduct ongoing training with partner agencies	X	X	X	X	X	X	X	X	X	X	X	X
Identify key staff within each agency to facilitate classes and promote services	X	X	X	X	X	X	X	X	X	X	X	X
Distribute HMHB infant health materials	X	X	X	X	X	X	X	X	X	X	X	X
Accept referrals and schedule parents for classes	X	X	X	X	X	X	X	X	X	X	X	X
Facilitate classes at each location statewide	X	X	X	X	X	X	X	X	X	X	X	X
Analyze results of the project and draft final report											X	X

Quality Assurance and Evaluation Plans

There are three parts to evaluating the quality of program results and outcomes:

- **Knowledge:** participants are given a 20-question quiz on safe sleep practices prior to, and immediately following, completion of the educational class. Questions pertain to general awareness and preventative measures to create a safe sleep environment and how to identify unsafe or hazardous items in a sample crib. This test measures participants’ change in knowledge regarding safe sleep practices and the effectiveness of the class in increasing knowledge of safe sleep practices.
- **Retention and behavior change:** participants are asked to share information on their baby’s health status and the daily safe sleep practices at three-month and one-year follow up calls. This measures the participants’ knowledge retention and how successfully the class created behavior changes (if parents continue following safe sleep practices). This also provides the opportunity to reinforce information and provide referrals.
- **SUID/SIDS rates:** at three months and one year, the health status of the baby is monitored to track the SUID/SIDS rates among participants to measure the effectiveness of the program.

Listing of all state and federal tax credits it has been granted within the prior three years.

HMHB has received zero state and federal tax credits within the past three years.

Listing of all federal, state, and county government contracts, grants, and grants in aid granted within the prior three years and will be receiving for fiscal year 2019 for program funding.

HMHB received a state grant in aid for this program in FY2016. Other private foundation grants include: Atherton Family Foundation, Fred Baldwin Memorial Foundation, Charity Walk (Kauai and Oahu). Currently, we are awaiting confirmation of funding sources for FY2019.

Balance of unrestricted current assets as of December 31, 2017.

As of December 31, 2017, HMHB has a total of \$259,429.78 in unrestricted current assets.

IV. Experience and Capability

Necessary Skills and Experience

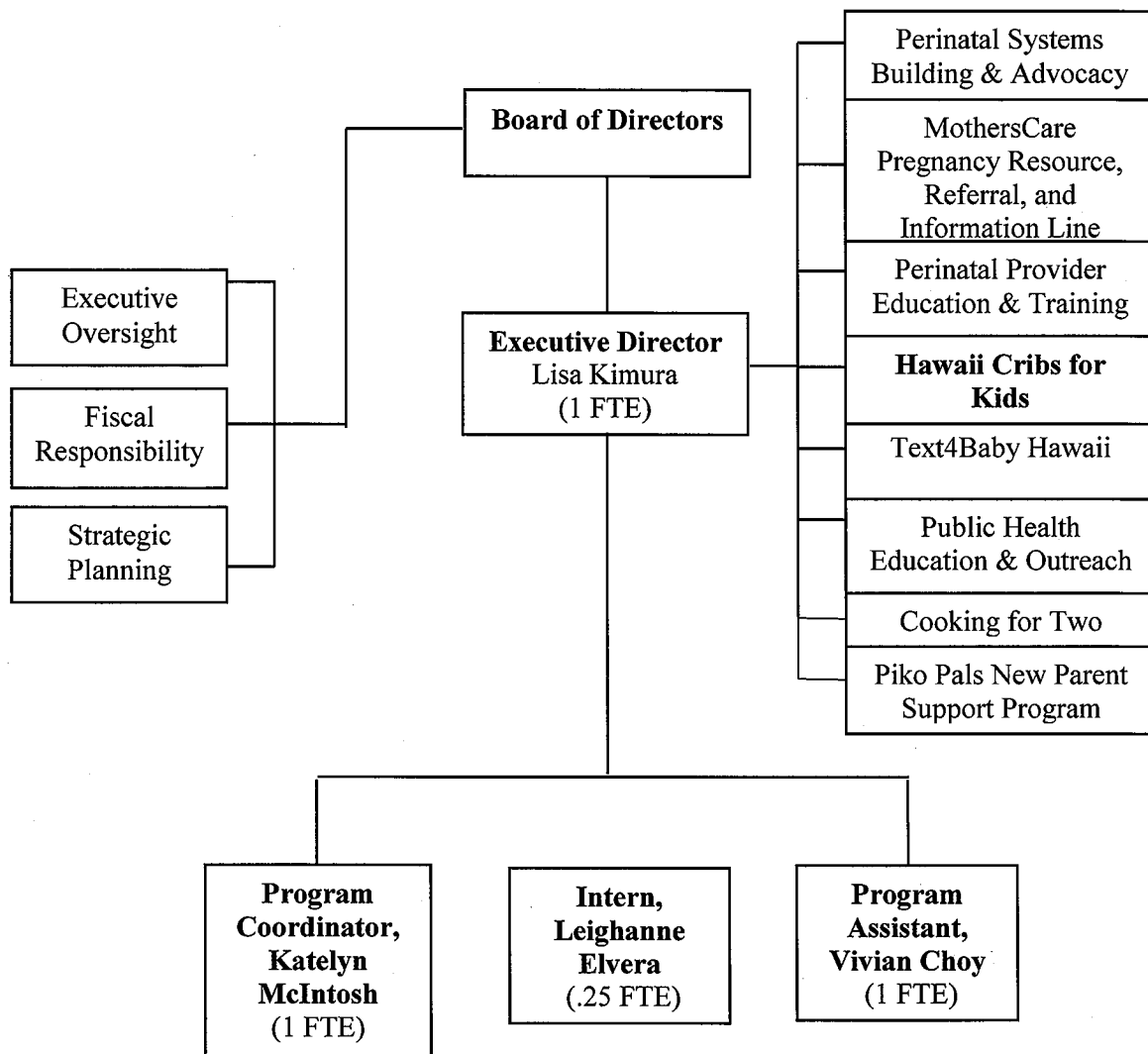
HMHB currently has three full time and three part-time staff members with ample maternal, child and public health experience and the leadership skills necessary to successfully carry out the requirements of the proposed project. This program has been operating with increasing capacity and service area since the launch in January 2013; demonstrating both the commitment of the staff and organization, as well as the longevity of the program and its community investment.

HMHB staff and partners are familiar with the requirements necessary to successfully conduct the proposed work and prior Hawaii Cribs for Kids classes have successfully demonstrated an increased awareness of risk factors for SIDS among participating high-risk low-income pregnant women and their families.

Additionally, HMHB has expertise providing numerous community services in collaboration with community partners, including:

- A free MothersCare phone line designed to help pregnant women, new mothers and their families find the information and resources necessary to have a healthy pregnancy, birth and baby.
- Quarterly trainings for perinatal support service providers at community health clinics statewide on topics directly relating to improving the health of pregnant women, new mothers and infants.
- Coordination of quarterly Perinatal Advocacy Network to increase awareness of perinatal issues in Hawaii, expand advocacy efforts for perinatal health issues and legislative actions, and increase networking and collaborative partnerships among state perinatal health providers.
- Creation and distribution of culturally-appropriate educational materials for Hawaii's pregnant women, mothers and their families (including topics such as safe sleep/SIDS prevention, Fetal Alcohol Spectrum Disorders (FASD), Postpartum Depression (PPD), breastfeeding, and nutrition, as well as a "Healthy & Hapai" educational pregnancy calendar to help women keep track of their prenatal appointments and to find resources for a healthy pregnancy).
- Maintaining a dynamic online perinatal resource directory searchable by service and location.
- Updating families and providers on current recalls, maternal and child health news, and local resources/events in the maternal and child health community through social media.
- Promotion of the Text4baby program, providing free text messages to alert expectant and new parents of health information throughout their pregnancy and their baby's first year.
- Administer the Piko Pals New Parent Support Program, 12 weeks of social and emotional support for parents of newborns.

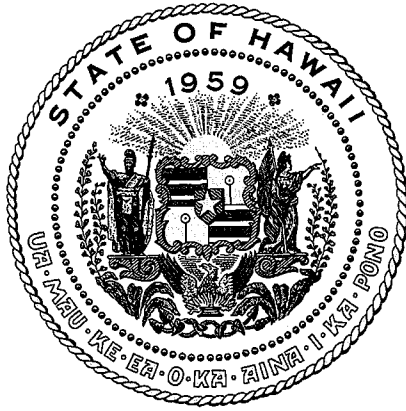
Organization Chart



Compensation

Annual salaries paid to the three highest paid employees of the organization by position:

- Executive Director, Lisa Kimura: \$70,000
- Program Coordinator, Katelyn McIntosh: \$45,000
- Program Assistant, Vivian Choy: \$40,000



Department of Commerce and Consumer Affairs

CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs
of the State of Hawaii, do hereby certify that

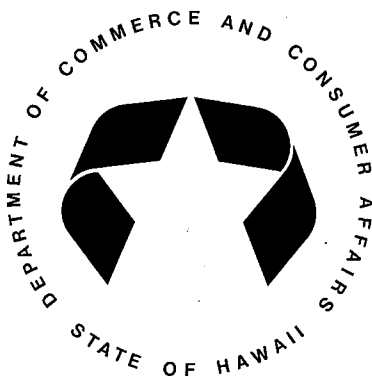
HEALTHY MOTHERS, HEALTHY BABIES COALITION OF HAWAII

was incorporated under the laws of Hawaii on 08/31/1992 ;
that it is an existing nonprofit corporation; and that,
as far as the records of this Department reveal, has complied
with all of the provisions of the Hawaii Nonprofit Corporations
Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set
my hand and affixed the seal of the
Department of Commerce and Consumer
Affairs, at Honolulu, Hawaii.

Dated: January 18, 2018

Director of Commerce and Consumer Affairs



**DECLARATION STATEMENT OF
APPLICANTS FOR GRANTS PURSUANT TO
CHAPTER 42F, HAWAII REVISED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
 - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
 - c) Agrees not to use state funds for entertainment or lobbying activities; and
 - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is incorporated under the laws of the State; and
 - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawaii Revised Statutes:
 - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
 - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawaii Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

Healthy Mothers Healthy Babies
(Typed Name of Individual or Organization)



1/18/18

(Date)

Lisa Kimura
(Typed Name)

Executive Director
(Title)