

House District \_\_\_\_\_  
Senate District \_\_\_\_\_

**THE TWENTY-NINTH LEGISLATURE  
APPLICATION FOR GRANTS  
CHAPTER 42F, HAWAII REVISED STATUTES**

Log No: \_\_\_\_\_

For Legislature's Use Only

Type of Grant Request:

GRANT REQUEST – OPERATING

GRANT REQUEST – CAPITAL

"Grant" means an award of state funds by the legislature, by an appropriation to a specified recipient, to support the activities of the recipient and permit the community to benefit from those activities.

"Recipient" means any organization or person receiving a grant

STATE DEPARTMENT OR AGENCY RELATED TO THIS REQUEST (LEAVE BLANK IF UNKNOWN): \_\_\_\_\_

STATE PROGRAM I.D. NO. (LEAVE BLANK IF UNKNOWN): \_\_\_\_\_

**1. APPLICANT INFORMATION:**

Legal Name of Requesting Organization or Individual:  
**Hawaii Swimming Legacy Project**

Dba: \_\_\_\_\_

Street Address: 99-1762 Aiea Heights Dr, Aiea, HI 96701

Mailing Address; same as above: \_\_\_\_\_

**2. CONTACT PERSON FOR MATTERS INVOLVING THIS APPLICATION:**

Name CARL KAWAUCHI

Title President

Phone # 487-1496

Fax # \_\_\_\_\_

E-mail carlkawauchi@outlook.com

**3. TYPE OF BUSINESS ENTITY:**

- NON PROFIT CORPORATION INCORPORATED IN HAWAII  
 FOR PROFIT CORPORATION INCORPORATED IN HAWAII  
 LIMITED LIABILITY COMPANY  
 SOLE PROPRIETORSHIP/INDIVIDUAL  
 OTHER

**6. DESCRIPTIVE TITLE OF APPLICANT'S REQUEST:**

FUNDS TO PERPETUATE THE HAWAIIAN ACQUATIC SPORT THROUGH:

1. CREATION OF THREE (3) FOOT BRASS MEDALLION WITH DUKE KAHANMOKU FOR DISPLAY AT THE K. MARK TAKAI POOL
2. UPDATE THE "AUKAI TO AUKAI" POSTER WHICH WAS CREATED IN 2000 SO THAT RECENT SWIM HISTORY CAN BE CAPTURED
3. CREATION OF POSTER OF POSTHUMOUS INDUCTEES FROM 2002
4. SPONSORSHIP OF ANNUAL INVITATIONAL SWIM MEET AT K. MARK TAKAI POOL

4. FEDERAL TAX ID #: \_\_\_\_\_

5. STATE TAX ID #: \_\_\_\_\_

**7. AMOUNT OF STATE FUNDS REQUESTED:**

FISCAL YEAR 2019: \$ 19,000

**8. STATUS OF SERVICE DESCRIBED IN THIS REQUEST:**

- NEW SERVICE (PRESENTLY DOES NOT EXIST)  
 EXISTING SERVICE (PRESENTLY IN OPERATION)

SPECIFY THE AMOUNT BY SOURCES OF FUNDS AVAILABLE AT THE TIME OF THIS REQUEST:

STATE \$ 19000  
FEDERAL \$ \_\_\_\_\_  
COUNTY \$ \_\_\_\_\_  
PRIVATE/OTHER \$ \_\_\_\_\_

Received 12/2017  
TH

TYPE NAME & TITLE OF AUTHORIZED REPRESENTATIVE:

[Redacted Signature]

AUTHORIZED SIGNATURE

CARL KAWAUCHI, PRESIDENT

NAME & TITLE

12/18/17

DATE SIGNED

Rev 12/2/16

## **Application for Grants**

*If any item is not applicable to the request, the applicant should enter "not applicable".*

### **I. Background and Summary**

This section shall clearly and concisely summarize and highlight the contents of the request in such a way as to provide the State Legislature with a broad understanding of the request. Please include the following:

1. A brief description of the applicant's background;

The Hawaiian Swimming Legacy Project was started in 2000 to preserve the rich Hawaiian swimming history, and serve as a lever to inspire future Hawaii swimmers. It was started by University of Hawaii professor Hiroshi Yamauchi and Olympian Sonny Tanabe, joined by Olympic medalists Evelyn Kawamoto Konno and Bill Smith, and Keith Arakaki. It received 501 (c) (3) tax exempt organization status from the IRS in 2002. It sponsors the Hawaii Swimming Hall of Fame as a vehicle to recognize great Hawaiian legacy swimmers. It held its first induction of members in 2002, recognizing all the former great Hawaii swimmers that had passed on posthumously, and those still living. Those posthumously inducted included Olympic gold medalists Duke Kahanamoku, Pua and Warren Kealoha, and Eileen Riggins-Soule, and Coaches Soichi Sakamoto, Sparky Kawamoto, and Yoshito Sagawa. The living legends inducted included Keo Nakama, Bill Smith, Ford Konno, Bill Woolsey, Evelyn Kawamoto Konno, and Keala O'Sullivan Watson.

Subsequent inductions were held in 2003, 2005, 2008, 2012, and 2015.

The first public semi-permanent exhibit was placed at Honolulu International Airport in 2004. The second public semi-permanent exhibit was placed at K. Mark Takai pool in 2012.

The first Hall of Fame Invitational swim meet was held at K. Mark Takai pool 2012.

The organization's website was developed in 2014.

2. The goals and objectives related to the request;

Hawaii is only state in the USA that is surrounded by ocean; there are only a few countries in the world surrounded by ocean. We are a uniquely aquatic place, and have a rich history of ties to the ocean. Man came to Hawaii from the ocean, and

the ocean provided food for the early Hawaiians. Swimming was the primary way man moved himself through the water, and the history of competitive swimming in Hawaii is a great one. It is extremely important to keep this legacy and preserve this history, so current and future generations will have awareness and knowledge of our swimming past.

3. The public purpose and need to be served;

The Legacy Project preserves the history and legacy of Hawaiian swimming. By doing so, it provides inspiration for future generations of Hawaiian swimmers

4. Describe the target population to be served; and

The Hawaii Swimming Legacy Project is focused on Hawaii swimming, with emphasis on Hawaii and swimming. Induction requirements are that a swimmer be born in Hawaii, or have lived in Hawaii for a minimum of 5 years.

5. Describe the geographic coverage.

The Hawaii Swimming Legacy Project targets all Hawaii residents with focus on the swimming community. The swimming community includes active swimmers of all ages, former swimmers, future potential swimmers, and supporters of swimming in Hawaii.

## **II. Service Summary and Outcomes**

The Service Summary shall include a detailed discussion of the applicant's approach to the request. The applicant shall clearly and concisely specify the results, outcomes, and measures of effectiveness from this request. The applicant shall:

1. Describe the scope of work, tasks and responsibilities;  
The Legacy Project accomplishes its goals by periodically inducting exceptional swimmers into its Hawaii Swimming Hall of Fame, sponsoring an age-group invitational swim meet annually, maintaining two exhibits at the Honolulu International Airport and at the K. Mark Takai pool at the Central Oahu Regional Park, and operating and maintaining a website.
2. Provide a projected annual timeline for accomplishing the results or outcomes of the service;
  1. Brass medallion; 3' diameter, May 2019
  2. Updated Aukai to Aukai posters, May 2019
  3. Creation of poster of posthumous Inductees from 20002 May 2019

4. Invitational swim meet August 2018, 2019, and 2020

3. Describe its quality assurance and evaluation plans for the request. Specify how the applicant plans to monitor, evaluate, and improve their results; and

Because the proposed grant funds will be used for the construction of a 3' medallion, updating of Aukai to Aukai posters, poster for posthumous inductees from 2002, and the sponsorship of an annual invitational swim meet, the completion dates of the first three provide the quality assurance for the medallion and posters. Holding the annual invitational swim meets will be the proof for the last item.

4. List the measure(s) of effectiveness that will be reported to the State agency through which grant funds are appropriated (the expending agency). The measure(s) will provide a standard and objective way for the State to assess the program's achievement or accomplishment. Please note that if the level of appropriation differs from the amount included in this application that the measure(s) of effectiveness will need to be updated and transmitted to the expending agency.

There are no quantitative measures of effectiveness that are applicable to the construction of the medallion and the updating of the posters as there is no survey mechanism for the citizens who view these three items. The measure of effectiveness of the invitational swim meet can be the number of participants from the first year of the meet in 2018 through 2020.

### III. Financial

#### Budget

1. The applicant shall submit a budget utilizing the enclosed budget forms as applicable, to detail the cost of the request.

See attached page 5

2. The applicant shall provide its anticipated quarterly funding requests for the fiscal year 2019.

Quarter 1	Quarter 2	Quarter 3	Quarter 4	Total Grant
1500			17500	19000

3. The applicant shall provide a listing of all other sources of funding that they are seeking for fiscal year 2018.

Induction banquet ticket sales	\$34,000
Induction banquet program advertising	<u>1,100</u>

Total revenue \$35,100

4. The applicant shall provide a listing of all state and federal tax credits it has been granted within the prior three years. Additionally, the applicant shall provide a listing of all state and federal tax credits they have applied for or anticipate applying for pertaining to any capital project, if applicable.

Applicant is an IRS 501C3 non profit organization and therefore has not applied for nor received any tax credits.

5. The applicant shall provide a listing of all federal, state, and county government contracts and grants it has been and will be receiving for program funding.

Applicant has not nor will not be receiving any other federal, state, or county contracts of grants.

6. The applicant shall provide the balance of its unrestricted current assets as of December 31, 2017.

Unrestricted current assets is cash in bank of approximately \$6,500.

## **IV. Experience and Capability**

### **A. Necessary Skills and Experience**

The applicant shall demonstrate that it has the necessary skills, abilities, knowledge of, and experience relating to the request. State your experience and appropriateness for providing the service proposed in this application. The applicant shall also provide a listing of verifiable experience of related projects or contracts for the most recent three years that are pertinent to the request.

The Legacy Project has a Board of Directors, Executive Officers, and an Advisory Board. All Executive Officers and Advisory Board Chairman are members of the Board of Directors; there are separate Directors on the Board as well.

The Executive Officers are: President, Vice-President, Secretary, and Treasurer.

The Advisory Board consists of Chairman of various swimming categories: Swimming (pool), Water Polo, Diving, Master's Swimming, Ocean Swimming, and Channel Swimming.

The Board of Directors are tasked to provide the organization with long-range strategic direction, as well as providing operational and financial guidance.

The Executive Officers are tasked to operate the organization and execute the direction provided by the Board of Directors.

#### 1.1 Management Team

The management team is comprised of the following positions staffed with the individuals noted who all serve on a volunteer basis.

Director

Director Sonny Tanabe is retired teacher with Bachelor of Education and Master of Education degrees. He is co-founder of Legacy Project, Olympian, and was inducted into the Hall in 2003 in the Swimming category.

Officers

President is Carl Kawauchi. He is a retired Electrical Engineer, with Bachelor of Science and Master of Science degrees in Electrical Engineering, an Master of Business Administration, and Professional Engineer certification. He was inducted into the Hall in 2005, in the Channel Swimming category.

Vice President is Norman Kawachika. He is Vice-President of the engineering consulting firm SSFM, is an Electrical Engineer with Bachelor of Science, Master of Science, and Doctor of Engineering degrees, and Professional Engineer certification.

Secretary is Alton Motobu. He is a computer programmer analyst with the State of Hawaii, and has BBA degree in management.

Treasurer is Susan Nishioka. She is a retired teacher, with Bachelor of Education and Master of Science in Math degrees. She was inducted into the Hall in 2005 in the Water Polo category.

Advisory Board

Chairman of Swimming Advisory Board Keith Arakaki is a retired teacher with Bachelor of Education degree. He is coach of the Hawaii Swim Club.

Chairman of Water Polo Advisory Board is Susan Nishioka; she is also Treasurer.

Chairman of Diving Advisory Board is Mike Brown. He is head coach of Diving at University of Hawaii, is a teacher with Bachelor of Education and Master of Education degrees. He was inducted into the Hall in 2015 in the Coaching category.

Chairman of Masters Swimming Advisory Board is Joe Lileikis. He is a teacher with a Bachelor of Education degree. He was inducted into the Hall in 2005 in Masters Swimming.

Chairman of Ocean Swimming, and Channel Swimming Advisory Boards is Carl Kawauchi; he is also President.

Webmaster is Keith Arakaki; he is also Swimming Advisory Board Chairman.

**B. Facilities**

The applicant shall provide a description of its facilities and demonstrate its adequacy in relation to the request. If facilities are not presently available, describe plans to secure facilities.

The organization is fortunate to be able to utilize space at the Daniel K. Inouye International Airport and at the K. Mark Takai Pool for its exhibits. It also utilizes the K. Mark Takai Pool for its annual invitational swim meet.

## **V. Personnel: Project Organization and Staffing**

### **A. Proposed Staffing, Staff Qualifications, Supervision and Training**

The applicant shall describe the proposed staffing pattern and proposed service capacity appropriate for the viability of the request. The applicant shall provide the qualifications and experience of personnel for the request and shall describe its ability to supervise, train and provide administrative direction relative to the request.

No staffing is proposed and all initiatives in this request will be completed by the volunteer directors and officers of the organization.

### **B. Organization Chart**

The applicant shall illustrate the position of each staff and line of responsibility/supervision. If the request is part of a large, multi-purpose organization, include an organization chart that illustrates the placement of this request.

The organization has no paid staff and its volunteer board, advisory committee, and directors are described in item IV.A.1.1 above.

### **C. Compensation**

The applicant shall provide the annual salaries paid by the applicant to the three highest paid officers, directors, or employees of the organization by position.

The organization has no paid officers, directors, or employees.

## **VI. Other**

### **A. Litigation**

The applicant shall disclose any pending litigation to which they are a party, including the disclosure of any outstanding judgement. If applicable, please explain.

The organization has no pending litigation.

### **B. Licensure or Accreditation**



The applicant shall specify any special qualifications, including but not limited to licensure or accreditation that the applicant possesses relevant to this request.

There are no licensure or accreditation requirements for this organization or its activities.

**C. Private Educational Institutions**

The applicant shall specify whether the grant will be used to support or benefit a sectarian or non-sectarian private educational institution. Please see Article X, Section 1, of the State Constitution for the relevance of this question.

This section is not applicable to this request.

**D. Future Sustainability Plan**

The applicant shall provide a plan for sustaining after fiscal year 2018-19 the activity funded by the grant if the grant of this application is:

- (1) Received by the applicant for fiscal year 2018-19, but

The proceeds of the proposed grant in aid is not required to sustain the organization. The proceeds will be used to primarily to create a 3' medallion and update its posters for recent events, neither of which are required to sustain the organization. The funds for the annual invitational swim meet are to reimburse the Hawaii Swim Club for costs it incurs in holding this swim meet.

- (2) Not received by the applicant thereafter.

As noted above, these funds will not be required to sustain the organization. The organization has sustained itself since 2000.

**E. Certificate of Good Standing (If the Applicant is an Organization)**

If the applicant is an organization, the applicant shall submit one (1) copy of a certificate of good standing from the Director of Commerce and Consumer Affairs that is dated no earlier than December 1, 2017.

See Attached "Certificate of Good Standing"

Applicant: **Hawaii Swimming Legacy Project**

**6.  Declaration Statement**

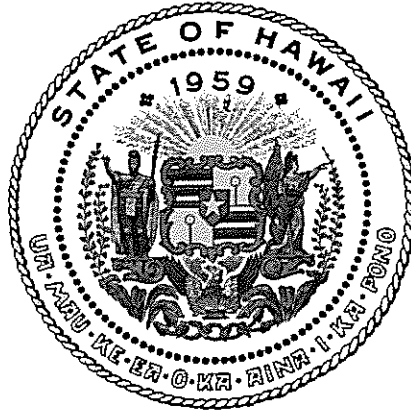
The applicant shall submit a declaration statement affirming its compliance with Section 42F-103, Hawaii Revised Statutes. ([Link](#))

The Hawaii Swimming Legacy Project affirms that the organization is in compliance with parameters outlined Section 42F-103.

**7.  Public Purpose**

The applicant shall specify whether the grant will be used for a public purpose pursuant to Section 42F-102, Hawaii Revised Statutes. ([Link](#))

This was section was answered in Item I. number 3 of this section.



## Department of Commerce and Consumer Affairs

### CERTIFICATE OF GOOD STANDING

I, the undersigned Director of Commerce and Consumer Affairs of the State of Hawaii, do hereby certify that

HAWAII SWIMMING LEGACY PROJECT

was incorporated under the laws of Hawaii on 07/19/2002 ; that it is an existing nonprofit corporation; and that, as far as the records of this Department reveal, has complied with all of the provisions of the Hawaii Nonprofit Corporations Act, regulating domestic nonprofit corporations.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Commerce and Consumer Affairs, at Honolulu, Hawaii.

Dated: December 14, 2017

Director of Commerce and Consumer Affairs



## BUDGET REQUEST BY SOURCE OF FUNDS

Period: July 1, 2018 to June 30, 2019

Applicant: Hawaii Swimming Legacy Project

BUDGET CATEGORIES	Total State Funds Requested (a)	Total Federal Funds Requested (b)	Total County Funds Requested (c)	Total Private/Other Funds Requested (d)
<b>A. PERSONNEL COST</b>				
1. Salaries	0	0	0	0
2. Payroll Taxes & Assessments				
3. Fringe Benefits				
<b>TOTAL PERSONNEL COST</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>B. OTHER CURRENT EXPENSES</b>				
1. Airfare, Inter-Island				
2. Insurance				
3. Lease/Rental of Equipment				
4. Lease/Rental of Space				
5. Staff Training				
6. Supplies				
7. Telecommunication				
8. Utilities				
9. Induction banquet costs				30,800
10. Exhibit maintenance				200
11. Brass medalion				9,000
12. Updated posters				5,000
13. Invitational swim meet sponsorship				1,500
14.				
15.				
16.				
17.				
18.				
19.				
20.				
<b>TOTAL OTHER CURRENT EXPENSES</b>				<b>46,500</b>
<b>C. EQUIPMENT PURCHASES</b>				
<b>D. MOTOR VEHICLE PURCHASES</b>				
<b>E. CAPITAL</b>				
<b>TOTAL (A+B+C+D+E)</b>				<b>46,500</b>
<b>SOURCES OF FUNDING</b>		Budget Prepared By:		
(a) Total State Funds Requested	19,000	Carl Kawajchi	487-1496	
(b) Total Federal Funds Requested		Name (Please type or print) Phone		
(c) Total County Funds Requested		Signature of Authorized Official Date		
(d) Total Private/Other Funds Requested	35,100	Name and Title (Please type or print)		
<b>TOTAL BUDGET</b>	<b>54,100</b>			



## BUDGET JUSTIFICATION - EQUIPMENT AND MOTOR VEHICLES

Period: July 1, 2018 to June 30, 2019

Applicant: Hawaii Swimming Legacy Project

DESCRIPTION EQUIPMENT	NO. OF ITEMS	COST PER ITEM	TOTAL COST	TOTAL BUDGETED
Organization has no equipment			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

DESCRIPTION OF MOTOR VEHICLE	NO. OF VEHICLES	COST PER VEHICLE	TOTAL COST	TOTAL BUDGETED
Organization has no motor vehicles			\$ -	
			\$ -	
			\$ -	
			\$ -	
			\$ -	
TOTAL:				
JUSTIFICATION/COMMENTS:				

## BUDGET JUSTIFICATION - CAPITAL PROJECT DETAILS

Period: July 1, 2018 to June 30, 2019

Applicant: Hawaii Swimmng Legacy Project

FUNDING AMOUNT REQUESTED						
TOTAL PROJECT COST	ALL SOURCES OF FUNDS RECEIVED IN PRIOR YEARS		STATE FUNDS REQUESTED	OF FUNDS REQUESTED	FUNDING REQUIRED IN SUCCEEDING YEARS	
	FY: 2015-2016	FY: 2016-2017	FY:2017-2018	FY:2017-2018	FY:2018-2019	FY:2019-2020
PLANS	Not applicable, no CIP funding required					
LAND ACQUISITION						
DESIGN						
CONSTRUCTION						
EQUIPMENT						
<b>TOTAL:</b>						
<b>JUSTIFICATION/COMMENTS:</b>						

**GOVERNMENT CONTRACTS AND / OR GRANTS**

Applicant: Hawaii Swimming Legacy Project

Contracts Total: -

	CONTRACT DESCRIPTION	EFFECTIVE DATES	AGENCY	GOVERNMENT ENTITY (U.S. / State / Haw / Hon / Kau / Mau)	CONTRACT VALUE
1	Not applicable, organization has no government contacts and/or grants				
2					
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**DECLARATION STATEMENT OF  
APPLICANTS FOR GRANTS PURSUANT TO  
CHAPTER 42F, HAWAII REVISIED STATUTES**

The undersigned authorized representative of the applicant certifies the following:

- 1) The applicant meets and will comply with all of the following standards for the award of grants pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is licensed or accredited, in accordance with federal, state, or county statutes, rules, or ordinances, to conduct the activities or provide the services for which a grant is awarded;
  - b) Complies with all applicable federal and state laws prohibiting discrimination against any person on the basis of race, color, national origin, religion, creed, sex, age, sexual orientation, or disability;
  - c) Agrees not to use state funds for entertainment or lobbying activities; and
  - d) Allows the state agency to which funds for the grant were appropriated for expenditure, legislative committees and their staff, and the auditor full access to their records, reports, files, and other related documents and information for purposes of monitoring, measuring the effectiveness, and ensuring the proper expenditure of the grant.
- 2) If the applicant is an organization, the applicant meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is incorporated under the laws of the State; and
  - b) Has bylaws or policies that describe the manner in which the activities or services for which a grant is awarded shall be conducted or provided.
- 3) If the applicant is a non-profit organization, it meets the following requirements pursuant to Section 42F-103, Hawai'i Revised Statutes:
  - a) Is determined and designated to be a non-profit organization by the Internal Revenue Service; and
  - b) Has a governing board whose members have no material conflict of interest and serve without compensation.

Pursuant to Section 42F-103, Hawai'i Revised Statutes, for grants used for the acquisition of land, when the organization discontinues the activities or services on the land acquired for which the grant was awarded and disposes of the land in fee simple or by lease, the organization shall negotiate with the expending agency for a lump sum or installment repayment to the State of the amount of the grant used for the acquisition of the land.

Further, the undersigned authorized representative certifies that this statement is true and correct to the best of the applicant's knowledge.

**Hawaii Swimming Legacy Project**

\_\_\_\_\_  
(Typed Name of Individual or Organization)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

**Carl  
Kawauchi**  
\_\_\_\_\_  
(Typed Name)

**President**  
\_\_\_\_\_  
(Title)