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PRESENTATION OF
THE DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS
BEFORE THE
SENATE COMMITTEE ON WAYS AND MEANS
BIENNIUM BUDGET REQUEST FOR FISCAL BIENNIUM 2017-2019
THE TWENTY-NINTH LEGISLATURE
INTERIM OF 2016
JANUARY 9, 2017

Honorable Chair Tokuda, Vice Chair Dela Cruz, and Members of the Senate Committee on Ways and Means.

I am Catherine Awakuni Colón, and I am the Director of the Department of Commerce and Consumer Affairs (“DCCA” or “Department”). I appreciate this opportunity to discuss the Department’s fiscal biennium 2017-2019 (“FB17-19”) budget with the members of the Committee.

Overview

The Department's mission is to promote a strong and healthy business environment by upholding fairness and public confidence in the marketplace, and by increasing knowledge and opportunity for our businesses and citizens.

DCCA’s funding structure was established by the Legislature in 1996 through the creation of the compliance resolution fund (“CRF”). The CRF is the primary funding source for DCCA’s programs. The Department’s financial strategy is based on ensuring that divisions have revenues to pay their expenses and that they contribute equitably to departmental overhead costs, while maintaining sufficient reserves to address unexpected contingencies. The Legislature’s foresight to develop this self-sufficiency model has benefitted our customers and the public we serve and the State over many years. Self-sufficiency notwithstanding, strong fiscal oversight continues to be important to the Department. Consistent with applicable law, the fees and revenue collected by the Department are provisioned for the purpose of delivering important commerce and consumer protection related services.

Toward that end, I appreciate the Committee's thorough review and consideration of the Department's budget.

Impact of Current Economic and Fiscal Conditions

The Department and its divisions continued throughout fiscal year ("FY") 2016 to address the challenges of operating in a quickly evolving consumer and business environment affected by technological advances and increased consumer needs. A number of critical decisions were reached by DCCA divisions and attached agencies during the past year, and the Department is working to ensure that its operational systems are shored up and any backlogs that may have built up in its different regulatory areas are receiving due attention. Major activity in communications (Oceanic Time Warner-Charter Communications merger), consumer safety prosecutions (Takata airbag case), energy/utilities (Next Era-Hawaiian Electric proposed merger), and health care (insurance rate determinations) were priorities of the Department this year, and these efforts required many of the responsible divisions and agencies to allocate added resources to resolving those issues while also handling their traditional regulatory responsibilities. In addition, consumer activity has been bolstered by a healthy economy in the State, resulting in greater demands on the Department to respond to consumer complaints and to process and review applications to engage in business activity by DCCA's professional and vocational licensing staff and enforcement arms like the Regulated Industries Complaints Office and the Office of Consumer Protection.

The past year also brought about a number of developments on the national political stage that may result in significant changes to existing programs and legal structures over legislative sessions to come, including potential overhauls of the nation's Affordable Care Act, energy and environmental regulation, and aspects of banking and financial services regulation put in place to build consumer protections in the wake of recent global economic downturns. The full impacts of these possible changes on Hawaii and its businesses and consumers are difficult to anticipate at this time, but each will certainly require careful monitoring to ensure those living and operating in the State are impacted in as positive a manner as possible.

The Department, thus, continues to take a selective approach when developing its budget that aims at addressing the essential needs of the Department, including general cost adjustments felt by all agencies, and makes requests to utilize resources in a manner that most efficiently allows DCCA to carry out its mission.

Federal Funds

None of the Department's programs have lost or are at risk of losing federal funds. One of the Department's federal grants that provide funding for work in the area of health insurance – Grants to Support States in Health Insurance Rate Review – has been extended multiple times and is currently anticipated to expire on September 30, 2017 when all funds are scheduled to be exhausted.

Budget Request

The Department formulated a budget that provides the resources necessary for our programs to perform their functions and meet their goals and objectives. Pursuant to instructions in Finance Memorandum 16-12 issued by the Department of Budget and Finance (“B&F”), notable requests in the current budget include:

- 1. Adding \$3,144,640 in FY18 and \$2,620,676 in FY19 to strengthen and extend the State’s INET communications network and to expand broadband for unserved/underserved areas through a pilot WiFi project.**

The Cable Television Division requests approval to expend trust funds of \$5,765,316 during FB17-19 (\$3,144,640 in FY18 and \$2,620,676 in FY19) to provide access to available resources to support projects and programs to (1) strengthen and extend the State’s Institutional Network (“INET”) for state and county government, and (2) facilitate the expansion of broadband access to unserved and underserved areas across the State. Enabling greater use of INET/broadband-dedicated funds will further statewide efforts to modernize the government’s capabilities and to foster growth by upgrading and expanding the State’s broadband/communications infrastructure, enabling government agencies at all levels to better serve and provide for the safety and welfare of the public.

The proposed funding source is monies from cable subscribers that have been collected and are being held by Oceanic Time Warner Cable LLC (“OTWC”) specifically for such purposes. Under the franchise agreement with OTWC, the funds in this account are restricted and can only “be used for INET/broadband connections or interconnections and equipment as directed by” the DCCA Director.¹

Highlights of this budget request are:

- a. \$4,755,316 to upgrade, strengthen, and extend the INET and to fund the purchase of INET end-user equipment for state and county agency INET users through (1) \$3,150,316 for reconfiguration of INET on-island fiber optic networks in strategic locations on the islands of Hawaii and Maui and related equipment replacement and other DCCA-authorized INET upgrades, reconfigurations, equipment, or extensions; (2) \$1,005,000 for new and replacement equipment and infrastructure to support the INET and to expand the number of INET locations and INET bandwidth capacity across the State; and (3) \$600,000 to fund the design, purchase, and installation of user end-equipment for INET connections across the State via one-time capital allocations to be administered by each county.

¹See Decision and Order No. 346, issued by DCCA on January 14, 2010.

- b. \$1,010,000 to extend access to Internet services through a Department-led pilot project to provide public WiFi hotspots at state or county locations across the State, with an emphasis placed on locations that can provide Internet access for residents in unserved and underserved rural communities and in socio-economic high risk areas. Deployment targets of this Wifi pilot project include the establishment of twenty (20) total WiFi hotspot locations spread equally across the four (4) major counties, as well as the funding of additional INET network enhancement work throughout all parts of the State.

INET improvements and enhancements will benefit Hawaii's government, as a whole, at both the state and county levels by directly assisting the information technology programs and projects of the State through the various INET partners including the Office of Enterprise Technology Services, the Department of Education, the University of Hawaii, the Hawaii State Public Library System, as well as each of the counties. Deploying INET funding through partnerships with the counties further boosts the overall benefits to the people of Hawaii by first achieving statewide INET goals and also doing so in a way that strengthens the counties' respective communications networks and supports them in carrying out their public safety functions.

Funding for DCCA's WiFi pilot project will bolster statewide efforts to provide public Internet access to Hawaii residents at public facilities, particularly in unserved and underserved rural communities and in socio-economic high risk areas, by utilizing partnerships and facilities in each county to enhance the public services provided by state and county agencies to residents across the State and to increase civic participation in state and county government.

2. Adding or converting various positions throughout the Department to improve operations and services provided to the public in critical areas.

DCCA includes various position-related requests as part of its overall FB17-19 budget submission, each of which is intended to either improve an important aspect of the Department's current functioning or to strengthen DCCA services in areas where greater workload demands are anticipated. Overall, the Department is seeking creation of eight full-time permanent positions, creation of two full-time temporary positions, the transfer of one full-time investigator position to becoming a staff attorney, and the conversion of one position to a permanent position. Collective ceiling increases for these position items are \$464,881 in FY18 and \$852,321 in FY19 across all divisions.

The Department believes that each of these requests will increase the level of services that DCCA's programs provide to the public by either adding resources to areas that currently lack sufficient staffing, such as records and licensing application processing, or by adding positions to areas that have been lacking sufficient action, such as public education and outreach in the real estate area, or by adding or refocusing

positions in areas that will enable the Department to more quickly and effectively investigate and prosecute in cases of consumer harm, such as investigations and legal actions by the Regulated Industries Complaints Office and the Office of Consumer Protection.

For example, the Department is seeking the addition of one permanent position and ceiling increase of \$68,145 in FY18 and \$130,180 in FY19 for a staff attorney position in the Office of Consumer Protection to provide additional legal expertise within the division without taking away from the work of existing staff attorneys representing the State in other areas of consumer protection. As evidenced by recent successful actions by the Office of Consumer Protection, including the Takata airbag case and the coordinated settlement of Volkswagen emissions standards violations in conjunction with the Department of the Attorney General, proactive efforts in this area can significantly boost both the level of consumer protections to the people of the State and the amount of restitution received by harmed Hawaii consumers.

To improve its internal operations the Professional and Vocational Licensing Division is requesting the addition of four positions specifically to increase staffing in its records section, which will translate to improved application and request processing times experienced by the public. The Division and Department anticipate greater demand for application and licensee requests flowing through this section as the amount of license types and overall license applications continues to increase year after year, and the level and resource requirements of new processing requirements increase – such as the addition of criminal background check requirements for large licensing populations in the health field (i.e., nurse applications). While the current licensing staff has worked hard to maintain a satisfactory level of service despite consistently rising demand, the requested staffing increases are necessary to ensure the Division does not fall far behind in responding to processing requests from the licensing public.

Requests to add two temporary exempt staff members to the Real Estate Branch of the Professional and Vocational Licensing Division are aimed at improving consumer education and outreach for real estate and condominium education. Adding one exempt position (Condominium Specialist) and resources necessary, pursuant to HRS sections 514B-63 and -73, will help effectively manage the Condominium Licensing program by assisting the Hawaii Real Estate Commission's educational outreach in performing strategic planning and implementation of educational outreach regarding condominium related issues. Also, the request for one exempt position (Real Estate Program Specialist) and resources necessary, pursuant to HRS section 467-5, will help effectively manage the Real Estate Licensing program by providing support for educational activities as necessary. The filling of the position is critical in providing timely services of the Real Estate Branch to the public, consumers, applicants, licensees, and others.

The remaining position requests are also intended to each improve DCCA

operations by addressing specific division or program needs, including the addition of a Deputy position for the Business Registration Division and the conversion of an investigator position to an attorney position within the Regulated Industries Complaints Office.

3. Adding \$942,000 in FY18 to provide the Public Utilities Commission with resources to acquire consultant support services and to provide staff training in the regulated utilities area.

As Hawaii continues to transform its energy sector, the Public Utilities Commission is responsible for administering key energy initiatives through several major legislative mandates, including the Renewable Portfolio Standards, the Energy Efficiency Portfolio Standards, the Public Benefit Fee, and the Community-Based Renewable Energy Program. The State remains committed to some of the nation's most aggressive clean energy goals, which makes Hawaii a worldwide model and testbed for innovation in both energy technology and legal/regulatory structures. While the proceeding concerning the offer by Next-Era Energy to acquire Hawaii's major electric utilities recently concluded, the sense of urgency and the work necessary for Hawaii to hit its critical clean energy targets continues unabated. In order to keep pace with desired change, the Commission continues to push forward to resolve a large list of key energy-related dockets and issues that were heavily impacted since late 2014 by the resource-consuming merger proposal of Next-Era Energy and the Hawaiian Electric Companies.

Commission resources were reallocated during calendar year 2015 and the first half of 2016 from critical energy dockets toward resolving the NextEra proceeding. The dockets impacted include the review of the electric utilities' Power Supply Improvement Plans, creation of a cohesive Distributed Energy Resources policy for the State, and the establishment of a workable Community-Based Renewable Energy tariff structure and program, each of which have received a renewed level of time and attention. As a result, the Commission is currently in the situation of restarting the work on the postponed dockets while getting prepared for calendar year 2017, a year in which it is anticipated that there will be five major rate cases. Hawaiian Electric Light Company's (Hawaii Island) and Hawaiian Electric Company's (Oahu) applications have already been filed, and Maui Electric Company Limited's application is anticipated in mid-2017 along with general rate increase applications from Hawaii Gas and Kauai Island Utility Cooperative.

The Commission's request for added funds to both hire consultants with advanced technical and regulatory expertise and to quickly train a continuously expanding staff in the intricacies of energy and general utilities regulation will greatly assist the Commission to address its heavy backlog of important proceedings and in continuing to increase its internal capacity as the State marches toward its statutory target of 100% renewable energy by 2045.

Additional Information

Table 1: Department Functions

The Prioritized List of Functions encompasses all of the major functions and associated activities performed by the Department's programs.

Table 2: Department-Wide Totals

The Department's FY17 operating budget is \$78,584,094 (\$75,458,365 in special funds; \$2,875,729 in trust funds; \$250,000 in competitive federal grants). The Department's proposed FY18 operating budget is \$86,323,959 (\$79,964,751 in special funds; \$6,109,208 in trust funds; \$250,000 in competitive federal grants). The Department's proposed FY19 operating budget is \$84,591,486 (\$78,690,242 in special funds; \$5,651,244 in trust funds; \$250,000 in competitive federal grants).

Table 3: Program ID Totals

The Department's total appropriated amount for FY17 in Act 124 (SLH 2016) is \$78,584,094 (\$75,458,365 in special funds; \$2,875,729 in trust funds; \$250,000 in competitive federal grants). The FY18 amount proposed is \$86,323,959 (\$79,964,751 in special funds; \$6,109,208 in trust funds; \$250,000 in competitive federal grants), an increase of 10% as compared to the FY17 appropriation. The FY19 amount proposed is \$84,591,486 (\$78,690,242 in special funds; \$5,651,244 in trust funds; \$250,000 in competitive federal grants), an increase of 8% as compared to the FY17 appropriation.

Table 4: Budget Decisions

The Department's requests, B&F's recommendations, and the Governor's decisions are listed.

Table 5: Proposed Budget Reductions

The Department's non-recurring and proposed budget reductions are listed.

Table 6: Proposed Budget Additions

1. **Special Funds**

- CCA-104: \$61,011 for FY 18 and FY19 for training for the Division of Financial Institutions.
- CCA-105: \$97,728 for FY 18 and \$195,456 for FY 19 for four permanent positions for the Professional and Vocational Licensing Division.
- CCA-106: \$70,109 for FY 18 and \$140,218 for FY 19 for two permanent positions for the Insurance Division.
- CCA-106: \$135,000 for FY 18 and FY19 for rent for the Insurance Division.
- CCA-106: \$500,000 for FY 18 and FY19 for funds transfers for the Insurance Division.

- CCA-110: \$68,145 for FY 18 and \$130,180 for FY 19 for one permanent position for the Office of Consumer Protection.
- CCA-111: \$91,568 for FY 18 and \$183,136 for FY 19 for one permanent position for Business Registration.
- CCA-111: \$420,000 for FY 18 and \$70,000 for FY 19 for an IT project for Business Registration.
- CCA-112: \$35,331 for FY 18 and FY 19 for a position conversion for the Regulated Industries Complaints Office.
- CCA-191: \$20,000 for FY 18 and FY 19 for chiller maintenance for the Director's Office & Administration.
- CCA-901: \$303,949 for FY 18 for non-recurring expenses for the Public Utilities Commission.
- CCA-901: \$942,000 for FY 18 for training and consultant services costs for the Public Utilities Commission
- CCA-901: \$35,800 for FY 18 and FY 19 for recurring expenses for the Public Utilities Commission.
- \$461,713 for FY 18 and FY 19 for Central Services Assessment Expenses.
- \$1,722,171 for FY 18 and FY 19 for fringe benefits.
- \$1,018,323 for FY 18 and FY 19 for collective bargaining.

2. Trust Funds

- CCA-102: \$3,144,640 for FY 18 and \$2,620,676 for FY 19 for INET/Broadband projects for the Cable Television Division.
- CCA-105: \$102,000 for FY 18 and \$168,000 for FY 19 for two temporary positions for the Professional and Vocational Licensing Division.
- \$70,549 for FY 18 and FY 19 for fringe benefits.
- \$26,290 for FY 18 and FY 19 for collective bargaining.

Table 7: Current Year (FY17) Restrictions

None.

Table 8: Emergency Appropriation Requests

None.

Table 9: Expenditures Exceeding Appropriation Ceilings

None.

Table 10: Intradepartmental Transfer of Funds

None.

Table 11: Non-General Fund Balances (excluding Federal Funds)

Estimated unencumbered cash balances for specific funds totals (\$56,759,770 compliance resolution fund; \$186,711,494 hurricane reserve trust fund; \$12,266,755 all other trust funds; and \$18,414,531 all other special funds) and excess balance, if any, are provided.

Table 12: Vacancy Summary

Vacancy summaries on November 30, 2016 are listed by Program IDs.

Table 13: Positions Filled

Positions filled for the period of July 1, 2015 to November 30, 2016 are listed by Program IDs.

Table 14: Positions Authorized/Established by Other Acts

None.

Table 15: Overtime Expenditures

The percent of overtime expenditures incurred in FY16 was 0.4% of base salary for special funds, and 0.0% for trust funds; FY17 estimated overtime expenditures are 0.6% for special funds and 0.7% for trust funds; budgeted amounts for FY18 are 0.7% and 3.4% of base salary for special funds and trust funds, respectively.

Table 16: Contract Costs

Listing of active contracts by Program ID and MOF is provided.

Table 17: Capital Improvement Program ("CIP") Requests

None.

Table 18: CIP Lapses

None.

Table 19: Program ID Sub-Organizations

Sub-Organizations are listed by Program ID.

Table 20: Organizational Changes and Charts

The Department's organizational chart for the current year (FY17) is attached. All changes that were made are indicated.

Attached to this briefing is information presented in the tables in your prescribed

format. My program administrators and I are available to answer any questions you and your committee members may have concerning our programs and the materials submitted for this presentation.

Department of Commerce and Consumer Affairs
 Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
Business Registration & Securities Regulation	Ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other business registration filings, and provide public access to this information; ensure compliance with and enforcement of securities and franchise laws; educate the public regarding aspects of investment fraud, precautions and where victims can get help, as part of securities enforcement and compliance efforts; and run business centers that offer personalized assistance to small and start-up businesses.	<ul style="list-style-type: none"> • Corporations, partnerships, trade names, limited liability companies, etc. Determine that all documents are filed in compliance with applicable statutory requirements. Assist the public in accessing information regarding documents that have been successfully filed with the Division, including implementing online access to public registration services and public documents. Provide personalized assistance to businesses registering with the Division. • Sales of securities and franchises. Examine applications for registration of securities and franchises to determine that security or franchise offerings comply with statutory requirements; register securities, sales agents, broker-dealers, investment advisers, investment adviser representatives and franchises; examine financial reports and records of broker-dealers and investment companies; conduct investigations of reported fraud and other securities or franchise violations and prosecute violations; and conduct investor education outreach to communities throughout Hawaii. 	CCA 111	Chapters 26-9(g), 414, 414D, 415A, 417E, 419, 420D, 421, 421C, 421H, 421I, 421J, 423, 424, 425, 425E, 428, 474, 482, 482E, 485A, and 519, HRS.
Insurance Regulatory Services	Regulatory oversight of the insurance industry.	<ul style="list-style-type: none"> • Examine insurers, health maintenance organizations, rating organizations, mutual and fraternal benefit societies, advisory organizations, agencies, independent claims organizations, guaranty associations. • Investigate complaints of alleged violations of insurance statutes and rules and other consumer requests for assistance. • Conduct qualification examinations and license insurers, health maintenance organizations and all categories of producers to transact insurance. • Approve/disapprove policies and rate filings. • License, examine and regulate captive insurance companies and develop the captive industry in Hawaii. • Review insurance entities' financial, operating and tax statements. • Regulatory surveillance for market conduct and financial condition of all insurers, health maintenance organizations, and mutual and fraternal benefit societies for compliance with insurance laws. • Investigate of violations and enforce motor vehicle claims fraud law. • Administer the Hawaii Joint Underwriting Plan, including the development and promulgation of motor vehicle insurance rates. • Implement Federal Health Care reforms relating to Health Care Exchanges and Premium Rate Review. 	CCA 106	Chapter 287, 386, 386A, 392, 393, 431, 431E, 431K, 431L, 431M, 431N, 431P, 432, 432C, 432D, 432E, 435C, 435E, 448D, 481R, 481X and 488, HRS.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
Office of Consumer Protection	Maintain Complaint Database; Investigate Complaints; Prosecute Legal Actions; Legislation; Consumer Education; Landlord-Tenant Hotline.	<ul style="list-style-type: none"> Investigation of Complaints. Receive all complaints on a statewide basis: walk-ins, mail and telephone; evaluate complaints in relation to investigation threshold criteria; for cases meeting threshold requirements, determine all facts of complaint; determine from facts whether or not there is a violation of any consumer protection law, refer cases which, on their face, fall within the jurisdiction of another agency, and inform complainant of findings of fact and course of action to be taken, if any, by this office or another governmental agency; coordinate fact finding functions with other governmental agencies; monitor advertisements; subpoena witnesses for investigation of facts. 	CCA 110	Chapters 209, 290, 436M, 437, 437D, 446, 446E, 457G, 476, 477, 477E, 480, 480D, 480E, 480F, 481A, 481B, 481C, 481D, 481F, 481H, 481K, 481L, 481M, 481P, 486N, 487, 487A, 487J, 487N, 487R, 506, and 521, HRS.
		<ul style="list-style-type: none"> Prosecution. Determine adequacy of evidence to prove violation prior to filing a complaint in court; negotiate Assurance of Voluntary Compliance or Consent Judgment prior to the filing of a complaint in court; take court action against entities that violate consumer protection law; obtain injunction, civil penalty and restitution for consumers in court actions and settlement of cases. 		
		<ul style="list-style-type: none"> Landlord-Tenant Cases. Provide Landlord-Tenant code information to callers. 		
		<ul style="list-style-type: none"> Legislation. Promulgate rules and regulations to protect consumers; draft consumer protection legislation for the Department; recommend consumer protection legislation to the Legislature; and offer testimony on other consumer protection legislation. 		
Consumer Advocate for Comm, Util & Tran Svcs	Ensure the provision of reliable regulated service at reasonable cost to ratepayers, and educate consumers regarding aspects of public utility regulation.	<ul style="list-style-type: none"> Advocate positions on behalf of consumers of utility and transportation services before the State of Hawaii Public Utilities Commission ("PUC"), the Federal Communications Commission ("FCC"), and other federal, state and local regulatory agencies. Positions are developed and advocated concerning: (a) Applications for authority to offer new services; (b) Rate and fare proposals; (c) Revenue and earnings requirements of regulated utilities; (d) Financing of equipment, plant, and other facilities, (e) Review of capital improvement projects; (f) Transfers, extensions, and cancellations of permits, franchises, and certificates; (g) Regulations governing regulated industries; (h) Formulation of policies and long range planning for telecommunications and energy utilities; (i) service quality; and (j) agreements and contracts between a regulated company and suppliers and/or other parties. 	CCA 103	Sections 269-51 through 269-55, HRS.
		<ul style="list-style-type: none"> Compliance investigations are conducted regarding the adequacy and efficiency of utility services. 		
		<ul style="list-style-type: none"> Education of consumers relating to utility regulation and consumer rights through web site, newsletters, sponsored events, and attendance at trade-shows, fairs, business and community meetings, etc. 		

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> • Advance and advocate clean energy and broadband initiative goals. 		
Financial Services Regulation	Supervise and regulate financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators, and mortgage loan originator companies	<ul style="list-style-type: none"> • License, examine and supervise state-chartered and licensed banks, trust companies, savings banks, savings and loan associations, financial services loan companies, credit unions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies. 	CCA 104	Chapters 412, 449, 454F, 454M, 489D, HRS.
Professional & Vocational Licensing	Receive and review applications for professional and vocational licenses, condominium, real estate subdivision and time share projects; prepare & analyze legislative proposals to amend professional and vocational licensing laws; and update and evaluate examinations.	<ul style="list-style-type: none"> • License and regulate 50 different professions and vocations. Provide administrative support to 25 regulatory licensing boards and, on behalf of the director of the department, administer 25 regulatory licensing programs. • The trust funds are dedicated to specific statutory purposes to educate the licensees who are the contributors to the fund, serve as a source of monetary recovery for injured consumers, or support increased government service demands by licensees. One fund is federally mandated to collect fees on its behalf, with revenues going to the federal agency. 	CCA 105	Chapters 373, 436B, 436E, 436H, 437, 437B, 438, 439, 440, 440E, 441, 442, 443B, 444, 447, 448, 448E, 448F, 448H, 451A, 451D, 451J, 452, 453, 453D, 455, 457, 457A, 457B, 457G, 458, 459, 460, 460J, 461, 461J, 462A, 463, 463E, 464, 465, 465D, 466, 466D, 466K, 467, 467E, 468E, 468L, 468M, 471, 472, 481E, 484, 514A, 514B and 514E, HRS.
Regulated Industries Complaints Office	Investigation and prosecution of possible (professional and vocational) licensing law violations.	<ul style="list-style-type: none"> • Receives complaints, conducts investigations, and prosecutes cases alleging violations of Hawaii's professional and vocational licensing laws. Also investigates and prosecutes unlicensed activity cases. • Provides educational information to consumers and industry members; provides real time liceninsing, business registration, and complaint history information to the public. • Administers the State Certified Arbitration Program (lemon law program). 	CCA 112	Section 26-9(h), HRS.
Cable Television	Compliance with Hawaii's cable television law and rules.	<ul style="list-style-type: none"> • Ensure quality service for cable television subscribers by issuing new franchises, franchise renewals and transfers of franchises. • Ensure expansion and enhancement of the Institutional Network (INET) 	CCA 102	Chapter 440G, HRS.

Department of Commerce and Consumer Affairs
Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> • Identify new and improved services, future community needs and interests and enhancing technologies.(i.e., DBS, broadband, etc) • Oversee technical inspections and establish reporting requirements. • Designate public, educational, and governmental (PEG) organizations to provide PEG services and enter into contracts for such services; and provide support for PEG access and PEG services. • Establish a work group to develop procedures for streamlined permitting functions applicable to the development of broadband services or technology. • Implement activities to promote ubiquitous access to high speed broadband at affordable prices throughout the State. • Develop plans and recommend legislation to expand and accelerate deployment of broadband infrastructure; support public-private efforts to develop broadband infrastructure; work with government agencies, broadband providers, and other stakeholders to advance the provision of broadband services to un-served and under-served areas in the State. 		
Hawaii Post-Secondary Education Authorization Program	Authorization of accredited, degree-granting, post-secondary educational institutions in Hawaii and review and processing of student	<ul style="list-style-type: none"> • Review applications from post-secondary educational institutions, issue authorizations and subsequent reauthorizations on a biennial basis. 	CCA 107	Chapter 305J, HRS.
		<ul style="list-style-type: none"> • Provide information to post-secondary educational institutions seeking authorization or clarification of the law and provide information to students regarding the complaints process. 		
		<ul style="list-style-type: none"> • Review complaints, investigate and initiate enforcement action as appropriate. 		
General Support	Administration of the Department; conducts administrative hearings for the Department, administers the Medical Inquiry and Conciliation Panel, and the Design Claims Conciliation Panel; administration of the Mortgage Dispute Resolution Program; and assists in information technology needs of the Department.	<ul style="list-style-type: none"> • Provides: (1) general policy and administrative leadership, supervision and coordination of the various programs of the Department; (2) assistance in the direction and supervision of operating divisions; and (3) centralized personnel management and organizational analysis support services to operating divisions. • Provides: (1) centralized budgeting and accounting services; (2) centralized clerical services; (3) building management; and (4) other administrative support services. • Conducts contested case hearings for all divisions within DCCA that are required by law to provide contested case hearings under the provisions of HRS Chapter 91. • Conducts contested case hearings under Chapter 103D, HRS (procurement law). • Conducts contested case hearings for the Department of Education relating to the Individuals With Disabilities Education Act. 	CCA 191	Section 26-9, HRS.

Department of Commerce and Consumer Affairs
 Functions

Table 1

Division	Description of Function	Activities	Prog ID(s)	Statutory Reference
		<ul style="list-style-type: none"> ● Convenes panels of qualified attorneys and medical professionals to review inquiries regarding health care practitioners under the provisions of HRS Chapter 671. 		
		<ul style="list-style-type: none"> ● Convenes panels of qualified attorneys and design professionals to review claims against design professionals under the provisions of HRS Chapter 672 		
		<ul style="list-style-type: none"> ● Conducts contested case hearings for the Employees' Retirement System of the State of Hawaii regarding disputes concerning benefits under the provisions of HAR Title 6, Chapters 20 and 23. 		
		<ul style="list-style-type: none"> ● Administers program providing the services of neutral parties in effort to resolve non-judicial foreclosures against properties of owner-occupants under the Mortgage Dispute Resolution Program established by Act 48 of the 2011 Legislature. 		
		<ul style="list-style-type: none"> ● Provides all divisions of DCCA with: (1) information technology hardware, software and custom programming support; (2) DCCA website support, (3) telephone, and other communication services support. 		
Public Utilities Commission (transferred to DCCA from B&F as an attached agency pursuant to	Ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate of return.	<ul style="list-style-type: none"> ● Establish rules and regulations pertaining to service standards. ● Render decisions on rates, fares, and charges. 	CCA 901	Section 269-33, HRS
		<ul style="list-style-type: none"> ● Process applications, issue certificates to regulated companies, and conduct investigations and audits of regulated companies. 		
		<ul style="list-style-type: none"> ● Conduct investigations of complaints filed against public utilities, other regulated entities, and companies utilizing services under the Commission's jurisdiction. 		
		<ul style="list-style-type: none"> ● Maintain the fiscal integrity of the Public Utilities Commission Special Fund. 		

Department of Commerce and Consumer Affairs
Department-Wide Totals

Table 2

Fiscal Year 2017				
Act 124/16 Appropriation	Restriction	Emergency Appropriations	Total FY17	MOF
\$ 75,458,365.00			\$ 75,458,365.00	B
\$ 250,000.00			\$ 250,000.00	P
\$ 2,875,729.00			\$ 2,875,729.00	T
			\$ -	
			\$ -	
			\$ -	
\$ 78,584,094.00	\$ -	\$ -	\$ 78,584,094.00	Total
Fiscal Year 2018				
Act 124/16 Appropriation	Reductions	Additions	Total FY18	MOF
\$ 75,458,365.00	\$ (1,476,462.00)	\$ 5,982,848.00	\$ 79,964,751.00	B
\$ 250,000.00			\$ 250,000.00	P
\$ 2,875,729.00	\$ (110,000.00)	\$ 3,343,479.00	\$ 6,109,208.00	T
\$ 78,584,094.00	\$ (1,586,462.00)	\$ 9,326,327.00	\$ 86,323,959.00	Total
Fiscal Year 2019				
Act 124/16 Appropriation	Reductions	Additions	Total FY19	MOF
\$ 75,458,365.00	\$ (1,476,462.00)	\$ 4,708,339.00	\$ 78,690,242.00	B
\$ 250,000.00			\$ 250,000.00	P
\$ 2,875,729.00	\$ (110,000.00)	\$ 2,885,515.00	\$ 5,651,244.00	T
			\$ -	
			\$ -	
			\$ -	
\$ 78,584,094.00	\$ (1,586,462.00)	\$ 7,593,854.00	\$ 84,591,486.00	Total

Department of Commerce and Consumer Affairs
 Program ID Totals

Table 3

Table 3	Prog ID	Program Title	MOF	As budgeted in Act 124/16 (FY17)			Governor's Submittal (FY18)				Governor's Submittal (FY19)			
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$	Pos (P)	Pos (T)	\$\$\$	Percent Change of \$\$\$\$
	CCA102	Cable Television	B	8.00	-	\$ 2,559,971	8.00	-	\$ 2,609,370	2%	8.00	-	\$ 2,609,370	2%
	CCA102	Cable Television	T	-	-	\$ -	-	-	\$ 3,144,640	#DIV/0!	0	0	2620676	#DIV/0!
	CCA103	Consumer Advocate for Comm, Util, & Tran Svc	B	24.00	-	\$ 4,241,213	24.00	-	\$ 4,230,174	0%	24.00	-	\$ 4,230,174	0%
	CCA104	Financial Services Regulation	B	39.00	-	\$ 4,971,852	39.00	-	\$ 4,836,792	-3%	39.00	-	\$ 4,836,792	-3%
	CCA104	Financial Services Regulation	T	-	-	\$ 220,000	-	-	\$ 110,000	-50%	-	-	\$ 110,000	-50%
	CCA105	Professional & Vocational Licensing	B	56.00	10.00	\$ 6,484,103	60.00	11.00	\$ 7,085,895	9%	60.00	11.00	\$ 7,183,623	11%
	CCA105	Professional & Vocational Licensing	T	8.00	3.00	\$ 2,355,048	8.00	5.00	\$ 2,553,887	8%	8.00	5.00	\$ 2,619,887	11%
	CCA106	Insurance Regulatory Services	B	92.00	2.00	\$ 16,644,182	95.00	1.00	\$ 18,049,753	8%	95.00	1.00	\$ 18,119,862	9%
	CCA106	Insurance Regulatory Services	P	-	4.00	\$ 250,000	-	4.00	\$ 250,000	0%	-	4.00	\$ 250,000	0%
	CCA106	Insurance Regulatory Services	T	-	-	\$ 200,000	-	-	\$ 200,000	0%	-	-	\$ 200,000	0%
	CCA 107	Hawaii Post-Secondary Education Authorization Program	B	2.00	-	\$ 288,611	2.00	-	\$ 288,611	0%	2.00	-	\$ 288,611	0%
	CCA 110	Office of Consumer Protection	B	17.00	1.00	\$ 2,109,294	18.00	2.00	\$ 2,543,459	21%	18.00	2.00	2,605,494.00	24%
	CCA 110	Office of Consumer Protection	T	-	-	\$ 100,681	-	-	\$ 100,681	0%	-	-	\$ 100,681	0%
	CCA 111	Business Registration and Securities Regulation	B	71.00	8.00	\$ 7,414,132	72.00	8.00	\$ 8,491,686	15%	72.00	8.00	8,233,254.00	11%
	CCA 112	Regulated Industries Complaints Office	B	66.00	1.00	\$ 6,631,429	66.00	1.00	\$ 7,167,144	8%	66.00	1.00	\$ 7,167,144	8%
	CCA 191	General Support	B	44.00	8.00	\$ 8,068,306	44.00	6.00	\$ 8,130,870	1%	44.00	6.00	\$ 8,130,870	1%
	CCA 901	Public Utilities Commission (PUC)	B	65.00	-	\$ 16,045,272	65.00	-	\$ 16,530,997	3%	65.00	-	\$ 15,285,048	-5%
		Special Fund Total	B	484.00	30.00	\$ 75,458,365	493.00	29.00	\$ 79,964,751	6%	493.00	29.00	\$ 78,690,242	4%
		Trust Fund Total	T	8.00	3.00	\$ 2,875,729	8.00	5.00	\$ 6,109,208	112%	8.00	5.00	\$ 5,651,244	97%
		Other Federal Fund Total	P	-	4.00	\$ 250,000	-	4.00	\$ 250,000	0%	-	4.00	\$ 250,000	0%
		TOTAL		492.00	37.00	\$ 78,584,094	501.00	38.00	\$ 86,323,959	10%	501.00	38.00	\$ 84,591,486	8%

Department of Commerce and Consumer Affairs
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Initial Department Requests					
				FY18			FY19		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA-191	AA	Subtract position and funds for transfer out	B		(1.00)	(64,179)		(1.00)	(64,179)
CCA-105	GA	Add position and funds for Professional and Vocational Licensing Division	B		1.00	64,179		1.00	64,179
CCA-191	AA	Subtract position and funds for transfer out	B		(1.00)	(242,282)		(1.00)	(242,282)
CCA-110	DA	Add position and funds for Office of Consumer Protection	B		1.00	242,282		1.00	242,282
CCA-107	IA	Trade-off from Other Current Expenses for fringe benefits	B			-			-
CCA-110	DA	Add permanent position and ceiling	B	1.00		68,145	1.00		130,180
CCA-112	AB	Convert permanent position and add ceiling Transfer investigator and rediscrbe to attorney.	B			35,331			35,331
CCA-105	GA	Add 4 permanent positions and ceiling	B	4.00		97,728	4.00		195,456
CCA-111	CA	Add permanent position and ceiling	B	1.00		91,568	1.00		183,136
CCA-106	EA	Convert position from Temporary to Permanent	B	1.00	(1.00)		1.00	(1.00)	
CCA-106	EA	Add 2 permanent positions and ceiling	B	2.00		70,109	2.00		140,218
CCA-105	GA	Add 1 temporary position and ceiling	T		1.00	51,000		1.00	84,000
CCA-105	GA	Add 1 temporary position and ceiling	T		1.00	51,000		1.00	84,000
CCA-102	FA	Increase Ceiling for INET/Broadband projects	T			3,144,640			2,620,676
CCA-901	MA	Increase ceiling for Other Current Expenses (Recurring)	B			123,423			123,423
CCA-901	MA	Increase ceiling for Other Current Expenses (Non-Recurring)	B			303,949			
CCA-106	EA	Increase ceiling for rent	B			135,000			135,000
CCA-104	BA	Increase ceiling for training	B			61,011			61,011
CCA-901	MA	Increase ceiling for consultants and training.	B			942,000			942,000
CCA-111	CA	Increase Ceiling for IT project	B			420,000			70,000
CCA-106	EA	Increase ceiling for fund transfer	B			500,000			500,000
CCA-191	AA	Increase ceiling for chiller maintenance	B			20,000			20,000
CCA-104	BA	Increase ceiling for CSA	B			98,463			98,463
CCA-105	GA	Increase ceiling for CSA	B			91,000			91,000
CCA-106	EA	Increase ceiling for CSA	B			150,000			150,000
CCA-112	AB	Increase ceiling for CSA	B			122,250			122,250
CCA-102	FA	Increase ceiling for fringe benefits	B			46,759			46,759
CCA-103	HA	Decrease ceiling for other current expenses	B			(39,852)			(39,852)
CCA-104	BA	Increase ceiling for fringe benefits	B			86,732			86,732
CCA-105	GA	Increase ceiling for fringe benefits	B			97,969			97,969
CCA-105	GA	Increase ceiling for fringe benefits	T			70,549			70,549
CCA-106	EA	Increase ceiling for fringe benefits	B			435,236			435,236
CCA-110	DA	Increase ceiling for fringe benefits	B			96,897			96,897
CCA-111	CA	Increase ceiling for fringe benefits	B			256,269			256,269
CCA-112	AB	Increase ceiling for fringe benefits	B			272,341			272,341
CCA-191	AA	Increase ceiling for fringe benefits	B			87,827			87,827
CCA-191	AH	Increase ceiling for fringe benefits	B			25,795			25,795
CCA-191	AI	Increase ceiling for fringe benefits	B			123,126			123,126
CCA-901	MA	Increase ceiling for fringe benefits	B			193,220			193,220

Department of Commerce and Consumer Affairs
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Budget and Finance Recommendations					
				FY18			FY19		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA-191	AA	Subtract position and funds for transfer out	B		(1.00)	(64,179)		(1.00)	(64,179)
CCA-105	GA	Add position and funds for Professional and Vocational Licensing Division	B		1.00	64,179		1.00	64,179
CCA-191	AA	Subtract position and funds for transfer out	B		(1.00)	(242,282)		(1.00)	(242,282)
CCA-110	DA	Add position and funds for Office of Consumer Protection	B		1.00	242,282		1.00	242,282
CCA-107	IA	Trade-off from Other Current Expenses for fringe benefits	B			-			-
CCA-110	DA	Add permanent position and ceiling	B	1.00		68,145	1.00		130,180
CCA-112	AB	Convert permanent position and add ceiling Transfer investigator and rediscibe to attorney.	B			35,331			35,331
CCA-105	GA	Add 4 permanent positions and ceiling	B	-		-	-		-
CCA-111	CA	Add permanent position and ceiling	B	1.00		91,568	1.00		183,136
CCA-106	EA	Convert position from Temporary to Permanent	B	1.00	(1.00)		1.00	(1.00)	
CCA-106	EA	Add 2 permanent positions and ceiling	B	2.00		70,109	2.00		140,218
CCA-105	GA	Add 1 temporary position and ceiling	T		1.00	51,000		1.00	84,000
CCA-105	GA	Add 1 temporary position and ceiling	T		1.00	51,000		1.00	84,000
CCA-102	FA	Increase Ceiling for INET/Broadband projects	T			-			-
CCA-901	MA	Increase ceiling for Other Current Expenses (Recurring)	B			35,800			35,800
CCA-901	MA	Increase ceiling for Other Current Expenses (Non-Recurring)	B			303,949			-
CCA-106	EA	Increase ceiling for rent	B			135,000			135,000
CCA-104	BA	Increase ceiling for training	B			61,011			61,011
CCA-901	MA	Increase ceiling for consultants and training.	B			-			-
CCA-111	CA	Increase Ceiling for IT project	B			420,000			70,000
CCA-106	EA	Increase ceiling for fund transfer	B			500,000			500,000
CCA-191	AA	Increase ceiling for chiller maintenance	B			20,000			20,000
CCA-104	BA	Increase ceiling for CSA	B			98,463			98,463
CCA-105	GA	Increase ceiling for CSA	B			91,000			91,000
CCA-106	EA	Increase ceiling for CSA	B			150,000			150,000
CCA-112	AB	Increase ceiling for CSA	B			122,250			122,250
CCA-102	FA	Increase ceiling for fringe benefits	B			46,759			46,759
CCA-103	HA	Decrease ceiling for other current expenses	B			(39,852)			(39,852)
CCA-104	BA	Increase ceiling for fringe benefits	B			86,732			86,732
CCA-105	GA	Increase ceiling for fringe benefits	B			97,969			97,969
CCA-105	GA	Increase ceiling for fringe benefits	T			70,549			70,549
CCA-106	EA	Increase ceiling for fringe benefits	B			435,236			435,236
CCA-110	DA	Increase ceiling for fringe benefits	B			96,897			96,897
CCA-111	CA	Increase ceiling for fringe benefits	B			256,269			256,269
CCA-112	AB	Increase ceiling for fringe benefits	B			272,341			272,341
CCA-191	AA	Increase ceiling for fringe benefits	B			87,827			87,827
CCA-191	AH	Increase ceiling for fringe benefits	B			25,795			25,795
CCA-191	AI	Increase ceiling for fringe benefits	B			123,126			123,126
CCA-901	MA	Increase ceiling for fringe benefits	B			193,220			193,220

Department of Commerce and Consumer Affairs
Budget Decisions

Table 4

Prog ID	Sub-Org	Description of Request	MOF	Governor's Decisions					
				FY18			FY19		
				Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA-191	AA	Subtract position and funds for transfer out	B		(1.00)	(64,179)		(1.00)	(64,179)
CCA-105	GA	Add position and funds for Professional and Vocational Licensing Division	B		1.00	64,179		1.00	64,179
CCA-191	AA	Subtract position and funds for transfer out	B		(1.00)	(242,282)		(1.00)	(242,282)
CCA-110	DA	Add position and funds for Office of Consumer Protection	B		1.00	242,282		1.00	242,282
CCA-107	IA	Trade-off from Other Current Expenses for fringe benefits	B			-			-
CCA-110	DA	Add permanent position and ceiling	B	1.00		68,145	1.00		130,180
CCA-112	AB	Convert permanent position and add ceiling Transfer investigator and rediscibe to attorney.	B			35,331			35,331
CCA-105	GA	Add 4 permanent positions and ceiling	B	4.00		97,728	4.00		195,456
CCA-111	CA	Add permanent position and ceiling	B	1.00		91,568	1.00		183,136
CCA-106	EA	Convert position from Temporary to Permanent	B	1.00	(1.00)		1.00	(1.00)	
CCA-106	EA	Add 2 permanent positions and ceiling	B	2.00		70,109	2.00		140,218
CCA-105	GA	Add 1 temporary position and ceiling	T		1.00	51,000		1.00	84,000
CCA-105	GA	Add 1 temporary position and ceiling	T		1.00	51,000		1.00	84,000
CCA-102	FA	Increase Ceiling for INET/Broadband projects	T			3,144,640			2,620,676
CCA-901	MA	Increase ceiling for Other Current Expenses (Recurring)	B			35,800			35,800
CCA-901	MA	Increase ceiling for Other Current Expenses (Non-Recurring)	B			303,949			-
CCA-106	EA	Increase ceiling for rent	B			135,000			135,000
CCA-104	BA	Increase ceiling for training	B			61,011			61,011
CCA-901	MA	Increase ceiling for consultants and training.	B			942,000			-
CCA-111	CA	Increase Ceiling for IT project	B			420,000			70,000
CCA-106	EA	Increase ceiling for fund transfer	B			500,000			500,000
CCA-191	AA	Increase ceiling for chiller maintenance	B			20,000			20,000
CCA-104	BA	Increase ceiling for CSA	B			98,463			98,463
CCA-105	GA	Increase ceiling for CSA	B			91,000			91,000
CCA-106	EA	Increase ceiling for CSA	B			150,000			150,000
CCA-112	AB	Increase ceiling for CSA	B			122,250			122,250
CCA-102	FA	Increase ceiling for fringe benefits	B			46,759			46,759
CCA-103	HA	Decrease ceiling for other current expenses	B			(39,852)			(39,852)
CCA-104	BA	Increase ceiling for fringe benefits	B			86,732			86,732
CCA-105	GA	Increase ceiling for fringe benefits	B			97,969			97,969
CCA-105	GA	Increase ceiling for fringe benefits	T			70,549			70,549
CCA-106	EA	Increase ceiling for fringe benefits	B			435,236			435,236
CCA-110	DA	Increase ceiling for fringe benefits	B			96,897			96,897
CCA-111	CA	Increase ceiling for fringe benefits	B			256,269			256,269
CCA-112	AB	Increase ceiling for fringe benefits	B			272,341			272,341
CCA-191	AA	Increase ceiling for fringe benefits	B			87,827			87,827
CCA-191	AH	Increase ceiling for fringe benefits	B			25,795			25,795
CCA-191	AI	Increase ceiling for fringe benefits	B			123,126			123,126
CCA-901	MA	Increase ceiling for fringe benefits	B			193,220			193,220

Department of Commerce and Consumer Affairs
Proposed Budget Reductions

Table 5

Prog ID	Sub-Org	Description of Reduction	Impact of Reduction	MOF	FY18			FY19			FY17
					Pos (P)	Pos (T)	\$\$\$\$	Pos (P)	Pos (T)	\$\$\$\$	Restriction (Y/N)
Adjustments to Act 124/16 for base budget:											
CCA 104	BA	Non-recurring reduction for other current expenses	No impact	B			\$ 400,000			\$ 400,000	N
CCA 104	BA	Non-recurring reduction for victim's compensation account	No impact	T			\$ 110,000			\$ 110,000	N
CCA 901	MA	Non-recurring reduction for other current expenses and equipment	Impact on completion of renovation project.	B			\$ 1,036,610			\$ 1,036,610	N
Proposed reductions to base budget, FB2017-19:											
CCA-103	HA	Decrease ceiling for other current expenses	No impact	B	-	-	\$ 39,852	-	-	\$ 39,852	N

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Table 6

Prog. ID	Sub- Org	Addition Type	Prog ID. Priority	Dept- Wide. Priority	Description of Addition	Justification	MOF	FY18			FY19		
								Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
Adjustments to Act 124/16 for base budget:													
					Collective	Negotiated settlements	B	-	-	\$ 1,018,323	0	0	\$ 1,018,323
					Collective	Negotiated settlements	T	-	-	\$ 26,290	0	0	\$ 26,290
					Total					\$ 1,044,613			\$ 1,044,613
Proposed additions to base budget, FB2017-19:													
CCA-110	DA	AR	1	1	Add permanent position and ceiling	The purpose of this request is to provide the Office of Consumer Protection (OCP) with sufficient legal resources to effectively prosecute individuals and companies who engage in unfair or deceptive trade practices in the State of Hawaii.	B	1.00		68,145	1.00		130,180
CCA-112	AB	AR	1	2	Convert permanent position and add ceiling Transfer investigator and rediscrbe to attorney.	<p>The purpose of this request is to transfer one position count (Pos. # 103178) from the Investigations Branch to the Legal Branch and fill the position as a Staff Attorney.</p> <p>The Regulated Industries Complaints Office (RICO) is the enforcement arm for the various professions and vocations administratively attached to the Department of Commerce and Consumer Affairs (DCCA). RICO investigators investigate both allegations of professional misconduct by licensees and allegations of unlicensed activity. RICO staff attorneys review completed investigations and take the legal actions necessary to ensure that licensing violations and unlicensed activity violations are appropriately addressed.</p> <p>RICO currently has ten staff attorneys and a supervising attorney, 26 field investigators and 11 intake investigators. From 1984 to 2013, the number of licensees has increased from 86,000 to 138,000. At the same time, there are more cases that involve serious and complex licensing issues that require the participation of a Staff Attorney. Increased caseloads in the Legal Section are affecting the timeliness of case processing and detracting from positive work being done by the Division. Transferring a position from the Investigations Branch to the Legal Branch will provide for a better ratio of investigators to attorneys, which will lead to more efficient, effective and timely licensing enforcement.</p> <p>The purpose of this request is to transfer one position count (Pos. # 103178) from the Investigations Branch to the Legal Branch and fill the position as a Staff Attorney.</p> <p>The Regulated Industries Complaints Office (RICO) is the enforcement arm for the various professions and vocations administratively attached to the Department of Commerce and Consumer Affairs (DCCA). RICO investigators investigate</p>	B			35,331			35,331
CCA-105	GA	AR	1	3	Add 4 permanent positions and ceiling	Due to the increased workload in the Professional and Vocational Licensing Division's ("PVLD") Licensing Branch's ("LB") Applications Section and Records Section, we are requesting to establish and request for funding for four (4) positions (2 OA Vs; and 2 OA IVs). Ten (10) new licensing types have been added to the PVLD within the past seven (7) years: Respiratory Therapists; Physical Therapist Assistants; Behavior Analysts; Mixed Martial Arts; Firm Permit to Practice; Guard Employees; Athletic Trainers; Emergency Medical Technicians Advanced; Occupational Therapist Assistants; and Veterinary	B	4.00		97,728	4.00		195,456
CCA-111	CA	AR	2	4	Add permanent position and ceiling	<p>The purpose of this request is to provide the Business Registration Division (BREG) with a Deputy Securities Commissioner, who would oversee the day-to-day activities of BREG, provide technical advice and assistance to the Commissioner of Securities, and provide program continuity and expertise as the Commissioner's position is filled by appointment.</p> <p>Fully staffed, BREG consists of 79 employees, who carry out BREG's program, which includes maintaining the state's business registry; reviewing, examining and licensing broker-dealers, agents, investment advisers, and investment adviser representatives; reviewing and ensuring franchise applications are in compliance with state laws; investigating and prosecuting violations of state securities and franchise laws; providing community outreach through the Investor Education Program; and running the Business Action Center on Oahu, Maui, and providing services in Hilo and Kona.</p> <p>Maintaining the state's business registry involves the registration, filing, and recording of corporations, partnerships, limited liability companies, limited liability partnerships, trade names, trade marks, and service marks. More than 145,000 documents are filed, reviewed and processed annually.</p> <p>The Securities Compliance Branch (SEC) currently receives, reviews and processes nearly 38,000 licensing applications and filings annually. The Investor Education Program (IEP) conducts investor education presentations and events throughout the state. The IEP conducted more than 155 outreach activities and reached 30,000 people last year in addition to being the Hawaii LifeSmarts sponsor and state coordinator. The Securities Enforcement Branch (SEB) investigates and prosecutes violations of the state securities and franchise laws. The majority of these cases are complex and involve lengthy investigations and require specialized financial expertise.</p>	B	1.00		91,568	1.00		183,136
CCA-106	EA	AR	1	5	Convert position from Temporary to Permanent	Position No. 120468E, INS Property & Casualty Actuary, was transferred from CCA 191 (General Support) to CCA 106 (Insurance Regulatory Services) on July 1, 2011, pursuant to Section 114 of Act 162, Session Laws of Hawaii (SLH) 2009, and as amended by Act 180, SLH 2010. A permanent transfer was authorized under Act 164, SLH 2011. The position was	B	1.00	(1.00)		1.00	(1.00)	

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$	
CCA-106	EA	AR	2	6	Add 2 permanent positions and ceiling	<p>The Financial Surveillance section of the Insurance Division's Financial Surveillance and Examination Branch (FS&E) conducts a continuous program of financial analyses and examinations of Hawaii-domiciled insurance companies, agencies, and mutual benefit societies, to determine financial condition, solvency, and compliance with applicable laws and administrative rules in an effort to safeguard consumer interests and maintain integrity in the industry. The Insurance Division is subject to review by the National Association of Insurance Commissioners' (NAIC) Financial Regulation Standards and Accreditation Program, which requires state insurance departments to regulate domestic insurers' corporate and financial affairs. The Financial Surveillance sections recommends the adoption of legislation and administrative rules to ensure that the Insurance Division meets the NAIC's Accreditation Program Requirements and that the Insurance Commissioner has adequate statutory and administrative authority and the necessary resources to carry out that authority.</p> <p>Over the past several years in response to the U.S. and global financial crisis in 2008, the NAIC's Accreditation Program implemented its Solvency Modernization Initiative (SMI). The SMI is a critical self-examination to update the insurance solvency regulation framework in the United States and includes a review of international developments regarding insurance supervision, banking supervision, and international accounting standards and their potential use in insurance regulation in the United States. The SMI scope includes the entire financial regulatory system in this country and all aspects relative to the financial condition of an insurer, and is not limited to the evaluation of solvency-related areas. The SMI focuses on key issues, such as capital requirements, governance and risk management, group supervision, statutory accounting and financial reporting, and reinsurance.</p> <p>At the heart of the SMI, through its Accreditation Program, the NAIC requires regulators to be able to assess the enterprise risk within a holding company system and its impact or contagion upon the insurers within that group. Therefore, the NAIC wanted regulators to enhance certain features of group supervision within the model laws and monitoring practices, providing clearer windows into group operations, while building upon the existing walls that provide solvency protection for insurers. The concepts addressed in the enhanced "windows and walls" approach include: (1) communication between regulators; (2) supervisory colleges; (3) access to and collection of information; (4) enforcement measures; (5) group capital assessment; and (6) accreditation.</p>	B	2.00		70,109	2.00			140,218
CCA-105	GA	AR	2	7	Add 1 temporary position and ceiling	<p>The purpose of this request is to provide the Professional and Vocational Licensing Division's Real Estate Branch with staffing, pursuant to Hawaii Revised Statutes §§ 514B-63 and -73, and resources necessary to effectively manage the Condominium Licensing program, that has seen significant industry complexity in recent years.</p> <p>Due to the increased work complexity and expanding of the program's responsibilities, approval for the creation of a</p>	T		1.00	51,000	1.00		84,000	
CCA-105	GA	AR	3	8	Add 1 temporary position and ceiling	<p>The purpose of this request is to provide the Professional and Vocational Licensing Division's Real Estate Branch with staffing, pursuant to Hawaii Revised Statutes §467-5, and resources necessary to effectively manage the Real Estate Licensing program, that has seen significant industry complexity in recent years.</p> <p>Due to the increased work complexity and expanding of the program's responsibilities, approval for the creation of a Real</p>	T		1.00	51,000	1.00		84,000	
CCA-102	FA	AR	1	9	Increase Ceiling for INET/Broadband projects	<p>The purpose of the ceiling request is to provide access to available resources to support projects and programs to (1) strengthen and extend the INET for state and county government; and (2) facilitate the expansion of broadband access to unserved and underserved areas across the State. These activities will further the department's role in supporting the expansion and strengthening of the INET, and the department's efforts to support expanded access to Internet services</p>	T			3,144,640			2,620,676	
CCA-9D1	MA	AR	3	10	Increase ceiling for Other Current	<p>The PUC requires the approval of this request to provide additional and updated communication equipment and connectivity services to PUC's expanded staff so they can effectively perform their daily duties and responsibilities. This request also includes costs for: 1) the increased monthly cost for 44 existing phones that will be upgraded and the current</p>	B			35,800			35,800	
CCA-9D1	MA	NR	2	11	Increase ceiling for Other Current Expenses (Non-Recurring)	<p>Due to the changing regulatory environment, the Legislature recognized the Public Utilities Commission ("PUC") required additional resources in order to adequately transform the agency to meet the increasing levels of work and cutting edge concepts and trends that was developing in the energy industries. The legislature authorized a much needed reorganization in 2007 that was modified in 2008 ("Reorganization"). The Governor approved the PUC's office space expansion project in the State's Kekuanaoa Building ("Project") on April 19, 2012. The Project's completion, that is anticipated to be in early 2018, will provide an additional 6,979 square feet ("sf") that will allow for all 62 full-time PUC</p>	B			303,949			-	
CCA-106	EA	AR	4	12	Increase ceiling for rent	<p>With the growth in positions related to the regulation of the federal affordable care act and the increased examiners needed for Hawaii's captive insurance industry, the Insurance Division had been working with DAGS the last few years to locate a suitable office space outside the fully occupied King Kalakaua building. With the recent liquidation of the Hawaii</p>	B			135,000			135,000	
CCA-104	BA	AR	1	13	Increase ceiling for training	<p>Accreditation criteria establishes a minimum level for training of examiners.</p> <p>Accordingly, the division requests that its training budget increase from \$88,898 to approximately \$150,000 to maintain the level</p>	B			61,011			61,011	

Department of Commerce and Consumer Affairs
Proposed Budget Additions

Table 6

Prog ID	Sub-Org	Addition Type	Prog ID Priority	Dept-Wide Priority	Description of Addition	Justification	MOF	Pos (P)	Pos (T)	\$\$\$	Pos (P)	Pos (T)	\$\$\$
CCA-901	MA	NR	1	14	Increase ceiling for consultants and training.	The changing regulatory environment, specifically as it applies to the State of Hawaii's energy efficient initiatives, requires highly qualified consultants with specific subject matter experience, knowledge, and familiarity with all relevant Hawaii Public Utilities Commission ("PUC" or "Commission") docket proceedings. Additionally, PUC staff assigned to or leading the highly technical and complex dockets and/or have oversight of consultant work output relating to renewable energy	B			942,000			
CCA-111	CA	AR	1	15	Increase Ceiling for IT project	Increase in ceiling in Other Expenditures for application replacement that will allow BREG to replace the current ineffective CMS application and provide a solution to modernize the current manual system of tracking the intake and review of franchise and securities filings and applications and field examinations. A new application is needed to efficiently be able to manage the caseload of the Securities Enforcement Branch (SEB) and to allow for data analysis in order to provide for proper data reporting and management. The current CMS application consists of over 2,600 cases, involving more than 1,800 complainants, nearly 4,000 respondents and over 550 legal actions. Nearly \$40 million in assessed civil penalties and fines is tracked through this current system. The CMS system was not built with the specific needs of the SEB in mind. The SEB cases are often complex and involve multiple respondents and numerous legal actions occur in one case. The current CMS system does not have the capacity for adequate data mining and analysis of case data that is required for proper data reporting and management of cases. The current system also does not allow for the Securities Compliance Branch (SEC) to view case information that is essential to the review and approval of securities applications and filings. An application is needed to provide a solution to modernize with technology the current manual system of tracking the intake and review of franchise and securities filings and applications and field examinations. There are currently approximately 108,000 broker-dealers, agents, investment advisers, investment adviser representatives and franchisees	B			420,000			70,000
CCA-106	EA	AR	6	16	Increase ceiling for fund transfer	This request consists of increasing the Special Fund ceiling by \$500,000 for the Drivers Education Fund. Fees collected and deposited into the Drivers Education Fund are transferred to the Dept. of Education, Dept. of Transportation, and the Judiciary. In recent years the fees collected have exceeded the base allocation amount available for transfer to the various departments and this is projected to continue into future years. In FY15 and FY16, fees collected were \$3.11 million and	B			500,000			500,000
CCA-191	AA	AR	1	17	Increase ceiling for chiller	Currently DAGs is covering 100% of the cost to maintain the condenser water system. This cost should be shared by both the USPS (Post Office) and DCCA. As a possible remedy, it has been suggested that this cost be transferred from DAGs to	B			20,000			20,000
CCA-104	BA	AR	2	18	Increase ceiling for CSA	Central services assessment is based on a percentage of collected revenue, which continues to increase. As such, an increase in the ceiling is needed for the increased assessment.	B			98,463			98,463
CCA-105	GA	AR	4	19	Increase ceiling for CSA	Central services assessment is based on a percentage of collected revenue, which continues to increase. As such, an increase in the ceiling is needed for the increased assessment.	B			91,000			91,000
CCA-106	EA	AR	3	20	Increase ceiling for CSA	Central services assessment is based on a percentage of collected revenue, which continues to increase. As such, an increase in the ceiling is needed for the increased assessment.	B			150,000			150,000
CCA-112	AB	AR	2	21	Increase ceiling for CSA	Central services assessment is based on a percentage of collected revenue, which continues to increase. As such, an increase in the ceiling is needed for the increased assessment.	B			122,250			122,250
CCA-102	FA	FC	2	22	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			46,759			46,759
CCA-104	BA	FC	3	24	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			86,732			86,732
CCA-105	GA	FC	5	25	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			97,969			97,969
CCA-105	GA	FC	6	26	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	T			70,549			70,549
CCA-106	EA	FC	5	27	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			435,236			435,236
CCA-110	DA	FC	2	28	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			96,897			96,897
CCA-111	CA	FC	3	29	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			256,269			256,269
CCA-112	AB	FC	3	30	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			272,341			272,341
CCA-191	AA	FC	2	31	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			87,827			87,827
CCA-191	AH	FC	1	32	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			25,795			25,795
CCA-191	AI	FC	1	33	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			123,126			123,126
CCA-901	MA	FC	4	34	Increase ceiling for fringe	Pursuant to Finance Memorandum No. 16-12, the State's fringe benefit assessment rate for non-general fund programs increases to 60% for FY 18 and FY 19 due primarily to the projected costs for the prefunding of other post-employment	B			193,220			193,220

Department of Commerce and Consumer Affairs
 FY17 Restrictions

Table 7

<u>Prog ID</u>	<u>Sub-Org</u>	<u>MOF</u>	<u>Budgeted by Dept</u>	<u>Restriction</u>	<u>Difference Between Budgeted & Restricted</u>	<u>Percent Difference</u>	<u>Impact</u>
None							

Department of Commerce and Consumer Affairs
Emergency Appropriation Requests

Table 8

<u>Prog ID</u>	<u>Description of Request</u>	<u>Explanation of Request</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>
None						

Department of Commerce and Consumer Affairs
Expenditures Exceeding Appropriation Ceilings in FY16 and FY17

Table 9

<u>Prog ID</u>	<u>MOF</u>	<u>Date</u>	<u>Appropriation</u>	<u>Amount Exceeding Appropriation</u>	<u>Percent Exceeded</u>	<u>Reason for Exceeding Ceiling</u>	<u>Legal Authority</u>	<u>Recurring (Y/N)</u>	<u>GF Impact (Y/N)</u>
None									

Department of Commerce and Consumer Affairs
 Intradepartmental Transfers in FY16 and FY17

Table 10

<u>Actual or Anticipated Date of Transfer</u>	<u>MOF</u>	<u>Pos (P)</u>	<u>Pos (T)</u>	<u>\$\$\$</u>	<u>From Prog ID</u>	<u>Percent of Program ID Appropriation Transferred From</u>	<u>To Prog ID</u>	<u>Percent of Receiving Program ID Appropriation</u>	<u>Reason for Transfer</u>	<u>Recurring (Y/N)</u>
None										

Department of Commerce and Consumer Affairs
Non-General Funds

Table 11

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY17 Unencumbered Cash Balance	Estimated FY17 Revenues	Estimated FY17 Expenditures and Encumbrances	Estimated FY17 Net Transfers	Estimated FY17 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Behavior Analyst Program	For the implementation of the Behavior Analyst Program	Act 199,SLH 2015	B	\$ 1,980	\$ 1,500	\$ 3,000	\$ -	\$ 480	\$ -
Captive Insurance Administrative Fund	Funds expended by the Insurance Commissioner to carry out his/her duties and obligations under article 19 of chapter 431, HRS.	Section 431:19-101.8, HRS, Act 261, 1997 SLH; Act 131, SLH 1999; Act 1, 2005 Special Session; Act 213, SLH 2007; Act 158 SLH 2008	B	\$ 5,992,094	\$ 2,330,000	\$ 2,725,806	\$ -	\$ 5,615,818	\$ -
Compliance Resolution Fund	The CRF, in existence since July 1, 1996, evolved into the primary funding source for the various DCCA programs as the department moved its operations away from support by general tax revenues to funding by fees and charges generated by its various programs. Pursuant to section 26-9(o), Hawaii Revised Statutes, fees shall be assessed and deposited into the CRF for the issuance of a license, permit, certificate, or registration, subsequent renewals, together with all other fines, income, and penalties collected or reimbursement of costs or attorneys' fees assessed as a result of actions brought by the department. The director may use the moneys in the fund to employ and train hearings officers, investigators, attorneys, accountants, and other necessary personnel for CRF funded operations, and the fund shall defray all other administrative costs, including costs of operating the supporting offices of DCCA. Any other activity related to compliance resolution may also be funded by the CRF.	Section 26-9(o), 269-33, 412:2-109, 431:2-215, 431:2-216, 449-14, 454F-18, 454F-23, 454M-11, 485A (BREG), 487-2, 489D-12.5, & Chapter 440G, and section 305J-19, (HPEAP) HRS ACT XXX (PVL BEHAVIOR ANALYSTS)	B	\$ 58,610,832	\$ 41,545,849	\$ 52,557,792	\$ -	\$ 56,759,770	\$ -
Driver Education Fund Underwriter's Fee	Provide drivers training and education.	Section 431:10C-115, HRS; Section 431:10G-107, HRS	B	\$ 548,952	\$ 3,305,000		\$ (3,100,000)	\$ 753,952	\$ -
Mortgage Foreclosure Dispute Resolution Special Fund	To give a mortgagor the power to require a foreclosing mortgagee to engage in dispute resolution with the mortgagor prior to a nonjudicial mortgage foreclosure in an effort to avoid foreclosure or mitigate damages if foreclosure is unavoidable.	Act 48, SLH 2011, Section 39	B	\$ 153,090	\$ 88,900	\$ 100,000	\$ (39,000)	\$ 103,130	\$ -
Public Utilities Commission Special Fund	To pay for expenses incurred by the Public Utilities Commission ("PUC") and the Department of Commerce and Consumer Affairs' Division of Consumer Advocacy ("DCA").	Section 269-33, HRS	B	\$ 5,867,620		\$ 16,045,272		\$ 11,941,151	\$ -
		Subtotal "B" Funds		\$ 71,174,568	\$ 47,271,249	\$ 71,431,870	\$ (3,139,000)	\$ 75,174,301	\$ -

Department of Commerce and Consumer Affairs
Non-General Funds

Table 11

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY17 Unencumbered Cash Balance	Estimated FY17 Revenues	Estimated FY17 Expenditures and Encumbrances	Estimated FY17 Net Transfers	Estimated FY17 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Captive Insurance Companies Loc Escrow	The fund shall be used to maintain cash drawn from Letters of Credit (LOC) issued on behalf of captive insurance licensees and in favor of the Insurance Commissioner.	Section 431:19-104(b), HRS	T	\$ 519	\$ -	\$ -	\$ -	\$ 519	\$ -
Commissioner's Education & Training Fund	This fund is used for the education and training of Insurance Division staff and personnel, and to pay for the cost of consumer education and information.	Section 431:2-214, HRS; Act 348, SLH 1987	T	\$ 1,208,497	\$ 156,000	\$ 200,000	\$ -	\$ 1,174,602	\$ -
Condominium Education Trust Fund	514B-71, HRS, the Condominium Education Trust Fund is intended to finance or promote: "(1) Education and research in the field of condominium management, condominium registration, and real estate for the benefit of the public and those required to be registered under this chapter; (2) The improvement and more efficient administration of condominium associations; and (3) Expedient and inexpensive procedures for resolving condominium association disputes."	Sections 514A-40(c), 514-A-95.1, 514B-71,72,73 HRS	T	\$ 1,634,268	\$ 1,661,000	\$ 865,274	\$ -	\$ 2,430,214	\$ -
Contract Service Providers	The fund will hold financial security deposits placed in trust with the Commissioner by service contract providers for all service contracts issued and in force in this State.	Section 481X-4, HRS	T	\$ 50,000	\$ -	\$ -	\$ -	\$ 50,000	\$ -
Contractors Education Fund	To be used for consumers, licensees, Contractors License Board members and staff; to be used for publications, media exposure, participation in national association meetings, classes and other educational purpose the Board deems necessary.	Section 444-29, HRS	T	\$ 510,083	\$ 85,000	\$ 16,968	\$ -	\$ 578,115	\$ -
Contractors Recovery Fund	Provide fund from which a person injured by an act, representation, transaction, or conduct of a duly licensed contractor may recover by an order of the court, an amount of not more than \$12,500 per contract and \$25,000 per contractor.	Section 444-26, HRS	T	\$ 791,515	\$ 200,000	\$ 200,000	\$ -	\$ 791,515	\$ -
Hurricane Reserve Trust Fund	Provide hurricane insurance in the event that such coverage is unavailable in the private insurance market.	Chapter 431P HRS	T	\$ 186,936,494	\$ 3,100,000	\$ 225,000	\$ (3,100,000)	\$ 186,711,494	\$ -
Insurance Division Restitution	The fund will hold deposits placed in trust with the Commissioner by defendants from an enforcement action brought by the Insurance Division. If the defendant does not comply with the terms of the settlement agreement, the Insurance Division then has the power to use these funds to provide restitution to the complainants involved.	Section 431:2-203, HRS	T	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Department of Commerce and Consumer Affairs
Non-General Funds

Table 11

Name of Fund	Purpose	Statutory Reference	MOF	Beginning FY17 Unencumbered Cash Balance	Estimated FY17 Revenues	Estimated FY17 Expenditures and Encumbrances	Estimated FY17 Net Transfers	Estimated FY17 Ending Unencumbered Cash Balance	Balance in Excess of Program Needs
Mortgage Loan Recovery Fund	To allow consumers harmed by persons that violate Chapter 454F, HRS, to recover damages sustained by the fraud, misrepresentation, or deceit of such persons.	Section 454F-41, HRS	T	\$ 1,578,165	\$ 104,350	\$ 220,000	\$ -	\$ 1,462,515	\$ -
Multi-State Mortgage Servicer Agreement Fund	Provide support of general consumer protection enforcement efforts, including but not limited to, mortgage rescue fraud, and to educate homeowners about mortgage rescue fraud scams. Monitor compliance with the terms of the multi-state mortgage servicer settlement agreements, supervise and regulate the mortgage loan industry, and provide consumer education.	Multi-state Mortgage Settlement Agreement/Multi-state Mortgage Servicer Settlement Agreements	T	\$ 708,905	\$ 100,000	\$ 500,000	\$ -	\$ 312,427	\$ -
Premium Taxes Paid Pending Appeal	Premium taxes paid in protest due to denial of high tech tax credits.	Sections 431:7-202, 431:7-209, HRS	T	\$ 2,815,842	\$ -	\$ -	\$ -	\$ 2,815,842	\$ -
Real Estate Appraisers Registry Fee	The Legislature finds that the regulation of real estate appraisers is reasonably necessary to protect consumers. The Legislature further finds that 12 United States Code §3301 et seq. requires that real estate appraisals utilized in connection with federally related transactions be performed by individuals who are licensed or certified appraisers. The purposes of this chapter (466K, HRS) are to implement the requirements of 12 United States Code Sec §3301 et seq. and to require that all real estate appraisals be performed by licensed or certified appraisers. The requirements of this chapter do not apply to any real estate appraiser employed by any county for purposes of valuing real property for ad valorem taxation.	Chapter 466K, HRS; Title 16, Chapter 114, HAR	T	\$ 5,250	\$ 5,000	\$ 10,250	\$ -	\$ -	\$ -
Real Estate Education Fund	As stated in §467-19(b), HRS, the Real Estate Education Trust Fund is intended to "promote the advancement of education and research in the field of real estate for the benefit of the public and those licensed under the provisions of this chapter and the improvement and more efficient administration of the real estate industry."	Sections 467-11-19, HRS	T	\$ 345,397	\$ 817,000	\$ 640,000	\$ -	\$ 517,194	\$ -

Department of Commerce and Consumer Affairs
Non-General Funds

Table 11

<u>Name of Fund</u>	<u>Purpose</u>	<u>Statutory Reference</u>	<u>MOF</u>	<u>Beginning FY17 Unencumbered Cash Balance</u>	<u>Estimated FY17 Revenues</u>	<u>Estimated FY17 Expenditures and Encumbrances</u>	<u>Estimated FY17 Net Transfers</u>	<u>Estimated FY17 Ending Unencumbered Cash Balance</u>	<u>Balance in Excess of Program Needs</u>
Real Estate Recovery Fund	As stated in §467-16(a), HRS, the Real Estate Recovery Trust Fund is intended to provide recovery to persons "aggrieved by an act, representation, transaction, or conduct of a duly licensed real estate broker, or real estate salesperson, upon the grounds of fraud, misrepresentation, or deceit". The statute establishes limits of \$25,000 per transaction and \$50,000 per licensee.	Sections 467-16-19, 21-24, HRS	T	\$ 740,077	\$ 50,000	\$ 504,592	\$ -	\$ 285,485	\$ -
Restitution for Consumers	To hold and disburse moneys received or recovered by the Office of Consumer Protection which are due to consumers as restitution.	Section 487:14 (c), HRS	T	\$ 91,184	\$ 15,000	\$ -	\$ -	\$ 106,184	\$ -
St Certified Motor Vehicle Arbitration Fd	Administration of the State Certified Motor Vehicle Arbitration Program (Lemon Law) created pursuant to Chapter 481I, HRS.	Chapter 481I, HRS	T	\$ 123,897	\$ 2,000	\$ -	\$ -	\$ 125,897	\$ -
Temp Deposits-Commerce/Consumer Affairs	For temporary deposits. Moneys deposited in this fund may be later transferred to the appropriate fund.		T	\$ 1,140,046	\$ 1,988,280	\$ 1,521,655	\$ -	\$ 1,616,246	\$ -
		Subtotal "T" Funds		\$ 198,680,139	\$ 8,283,630	\$ 4,903,739	\$ (3,100,000)	\$ 198,978,249	\$ -
Funds held outside the State Treasury by Time Warner	Franchise fees over the cap amount that is paid by TWE to Olelo are provided to DCCA for INET/Broadband purposes.	Decision & Order No. 346, Section IV.1.4		\$ 7,569,895	\$ 800,000	\$ -	\$ -	\$ 8,369,895	\$ -

Department of Commerce and Consumer Affairs
 Vacancy Report as of November 30, 2016

Table 12

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Priority # to Retain
CCA 103	HA	09/01/16	01/01/17	100117	EXECUTIVE DIRECTOR, DCA	Y	NA	93	P	1.00	B	\$ 115,556.00	\$ 115,008.00	N	N	--	1
CCA 106	EA	01/30/16	06/30/17	120421	INS HEALTH ACTUARY	Y	NA	13	T	1.00	P	\$ 168,498.00	\$ 115,008.00	Y	N	--	2
CCA 112	AB	08/10/16	1/31/2017	102729	STAFF ATTORNEY	Y	NA	73	P	1.00	B	\$ 64,349.00	\$ 71,820.00	Y	N	--	3
CCA 105	GA	05/19/16	02/28/17	26720	REG BDS/COMMS ADMIN ASST	N	22	13	P	1.00	B	\$ 51,930.00	\$ 49,056.00	Y	N	--	4
CCA 104	BA	06/14/16	05/01/17	106295	CRF FINANCIAL INST EXAMINER	Y	NA	13	P	1.00	B	\$ 76,911.00	\$ 55,236.00	Y	N	--	5
CCA 111	CA	04/16/15	02/16/17	38510	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 27,768.00	\$ 27,768.00	Y	N	--	6
CCA 191	AA	10/01/16	4/30/2017	38871	ACCOUNT CLERK IV	N	13	03	P	1.00	B	\$ 32,460.00	\$ 33,720.00	Y	Y	1	7
CCA 112	AB	09/16/11	6/1/2017	118464	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 49,914.00	\$ 57,024.00	Y	N	--	8
CCA 111	CA	06/26/15	03/16/17	102159	CRF STAFF ATTORNEY	Y	NA	73	T	1.00	B	\$ 77,606.00	\$ 70,608.00	Y	N	--	9
CCA 104	BA	03/21/15	05/01/17	17398	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 58,440.00	\$ 53,364.00	Y	N	--	10
CCA 105	GA	09/16/16	01/31/17	43689	OFFICE ASSISTANT V	N	12	03	P	1.00	B	\$ 37,980.00	\$ 39,492.00	Y	N	--	11
CCA 106	EA	10/18/16	04/01/17	102732	IERF INSURANCE EXAMINER III	Y	NA	13	P	1.00	B	\$ 85,037.00	\$ 83,580.00	N	N	--	12
CCA 103	HA	--	03/01/17	40834	PU RATE ANALYST VII *	N	28	23	P	1.00	B	\$ 68,364.00	\$ 55,764.00	Y	N	--	13
CCA 191	AH	06/01/16	06/30/17	119020	LEGAL CLERK	N	14	03	T	1.00	B	\$ 49,968.00	\$ 33,720.00	Y	N	--	14
CCA 111	CA	11/01/16	02/16/17	117393	SECURITIES EDUCATION ASST	Y	NA	03	P	1.00	B	\$ 33,720.00	\$ 33,720.00	N	N	--	15
CCA 105	GA	06/28/16	04/30/17	43735	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 29,988.00	\$ 32,460.00	Y	N	--	16
CCA 106	EA	12/31/14	06/01/17	9579	INSURANCE EXAMINER II	N	24	13	P	1.00	B	\$ 83,184.00	\$ 78,996.00	Y	N	--	17
CCA 112	AB	10/24/15	6/1/2017	119164	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 51,940.00	\$ 47,400.00	Y	N	--	18
CCA 104	BA	03/01/16	05/01/17	119531	CRF FINANCIAL INST EXAMINER	Y	NA	13	P	1.00	B	\$ 68,368.00	\$ 67,188.00	Y	N	--	19
CCA 103	HA	11/01/13	03/01/17	15984	PU RATE ANALYST V	N	24	13	P	1.00	B	\$ 56,202.00	\$ 53,364.00	N	N	--	20
CCA 112	AB	04/01/15	6/1/2017	120369	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 57,617.00	\$ 53,364.00	Y	N	--	21
CCA 111	CA	05/17/16	02/01/17	102775	CRF INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 71,100.00	\$ 69,876.00	Y	N	--	22
CCA 104	BA	07/29/16	05/01/17	120670	CRF FINANCIAL INST EXAMINER	Y	NA	13	P	1.00	B	\$ 68,368.00	\$ 67,188.00	Y	N	--	23
CCA 105	GA	02/08/14	06/30/17	120701	OFFICE ASSISTANT IV	N	10	03	T	1.00	B	\$ 28,872.00	\$ 27,756.00	Y	N	--	24
CCA 106	EA	07/14/16	04/01/17	118103	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 70,971.00	\$ 69,756.00	Y	N	--	25
CCA 103	HA	--	06/01/17	21377	PU RATE ANALYST V *	N	24	13	P	1.00	B	\$ 56,202.00	\$ 47,436.00	Y	N	--	26
CCA 105	GA	--	06/30/17	121241	SECRETARY II	N	14	03	T	1.00	B	\$ 35,904.00	--	Y	N	--	27
CCA 106	EA	09/09/15	04/01/17	120950	CRF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 65,739.00	\$ 62,424.00	Y	N	--	28
CCA 111	CA	11/03/16	05/01/17	102086	CRF INVESTIGATOR IV	Y	NA	13	T	1.00	B	\$ 56,198.00	\$ 55,236.00	N	N	--	29
CCA 112	AB	06/01/16	6/1/2017	103178	RI INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 49,918.00	\$ 49,056.00	N	N	--	30
CCA 104	BA	10/17/16	05/01/17	121528	CRF FINANCIAL INST EXAMINER	Y	NA	13	P	1.00	B	\$ 63,212.00	\$ 57,432.00	Y	N	--	31
CCA 106	EA	04/14/16	04/01/17	120904	INS HEALTH INSURANCE INVES	Y	NA	13	P	1.00	B	\$ 54,037.00	\$ 59,736.00	Y	N	--	32
CCA 105	GA	--	04/30/17	122199	OFFICE ASSISTANT IV	N	10	03	T	1.00	B	\$ 30,036.00	--	Y	N	--	33
CCA 104	BA	--	05/01/17	121997	CRF FINANCIAL INST EXAMINER	Y	NA	13	P	4.00	B	\$ 64,628.00	--	Y	N	--	34
CCA 111	CA	11/02/15	04/01/17	29153	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 33,720.00	\$ 36,468.00	Y	N	--	35
CCA 105	GA	08/01/13	04/30/17	11250	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 28,872.00	\$ 36,516.00	N	N	--	36
CCA 111	CA	04/11/16	05/01/17	12819	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 36,468.00	\$ 36,468.00	Y	N	--	37
CCA 104	BA	10/29/16	05/01/17	26272	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 26,700.00	\$ 26,700.00	Y	N	--	38
CCA 106	EA	08/01/14	06/30/17	116592	CIAF INSURANCE EXAMINER III	Y	NA	13	P	1.00	B	\$ 81,764.00	\$ 80,748.00	Y	N	--	39
CCA 105	GA	12/01/15	06/30/17	13237	LICENSING EXAMINER V	N	24	23	P	1.00	B	\$ 79,998.00	\$ 75,960.00	Y	N	--	40
CCA 106	EA	07/18/15	06/01/17	110211	IRF RATE & POLICY ANALYST	Y	NA	13	P	1.00	B	\$ 51,927.00	\$ 49,308.00	Y	N	--	41
CCA 112	AB	10/31/15	6/1/2017	118627	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 26,700.00	\$ 27,768.00	Y	N	--	42
CCA 111	CA	12/01/11	04/16/17	29154	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 35,112.00	\$ 49,344.00	Y	N	--	43
CCA 111	CA	08/16/16	05/01/17	49515	SECURITIES EXAMINER IV	N	22	13	p	1.00	B	\$ 63,198.00	\$ 64,608.00	Y	N	--	44
CCA 106	EA	03/17/16	05/01/17	120976	CIAF INSURANCE EXAMINER I	Y	NA	13	P	1.00	B	\$ 60,786.00	\$ 59,736.00	Y	N	--	45
CCA 111	CA	07/18/16	04/01/17	26208	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 36,468.00	\$ 37,980.00	Y	N	--	46
CCA 106	EA	07/01/16	06/01/17	112456	CIAF INSURANCE EXAMINER II	Y	NA	13	P	1.00	B	\$ 65,739.00	\$ 64,608.00	Y	N	--	47

Department of Commerce and Consumer Affairs
Vacancy Report as of November 30, 2016

Table 12

Prog ID	Sub-Org	Date of Vacancy	Expected Fill Date	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	Perm Temp (P/T)	FTE	MOF	Budgeted Amount	Actual Salary Last Paid	Authority to Hire (Y/N)	Occupied by 89 Day Hire (Y/N)	# of 89 Hire Appts	Priority # to Retain
CCA 111	CA	06/01/11	04/16/17	35709	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 35,112.00	\$ 46,176.00	Y	N	--	48
CCA 106	EA	09/21/16	04/01/17	103232	INS FRAUD INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 60,786.00	\$ 59,736.00	N	N	--	49
CCA 111	CA	10/01/13	05/16/17	32897	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 35,112.00	\$ 49,932.00	Y	N	--	50
CCA 106	EA	03/05/16	02/01/17	103231	FORENSIC INVESTIGATOR IV	Y	NA	13	P	1.00	B	\$ 46,139.00	\$ 45,348.00	Y	N	--	51
CCA 111	CA	08/01/15	03/01/17	46584	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 32,460.00	\$ 33,720.00	Y	N	--	52
CCA 111	CA	08/01/15	02/16/17	119244	LEGAL CLERK	N	14	03	P	1.00	B	\$ 48,024.00	\$ 49,968.00	Y	N	--	53
CCA 111	CA	11/08/16	03/01/17	46581	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 26,700.00	\$ 28,872.00	Y	Y	1	54
CCA 111	CA	08/16/16	05/01/17	34971	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 44,388.00	\$ 48,024.00	Y	N	--	55
CCA 111	CA	11/01/16	05/16/17	17722	BUSINESS REGISTRATION ASST	N	15	03	P	1.00	B	\$ 46,188.00	\$ 48,024.00	N	N	--	56
* Position was restored, pursuant to Act 130, SLH 2010, effective July 1, 2010.																	
Positions with offers accepted/final stages of recruitment:																	
CCA 104	BA	06/16/15	01/03/17	4296	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 73,747.00	\$ 67,512.00	Y	N	--	offer accepted
CCA 104	BA	06/01/16	12/05/16	19652	FINANCIAL INST EXAMINER II	N	24	13	P	1.00	B	\$ 79,998.00	\$ 78,624.00	Y	N	--	offer accepted 12/5.
CCA 104	BA	08/06/16	12/12/16	118396	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 26,700.00	\$ 26,700.00	Y	N	--	offer accepted 12/12.
CCA 105	GA	10/17/16	12/01/16	118940	OFFICE ASSISTANT IV	N	10	03	P	1.00	T	\$ 32,460.00	\$ 36,468.00	Y	N	--	offer accepted 12/1.
CCA 106	EA	09/14/13	12/27/16	110317	CRF RATE & POLICY ANALYST III	Y	NA	13	P	1.00	B	\$ 56,186.00	\$ 53,352.00	Y	N	--	offer accepted 12/27.
CCA 106	EA	06/16/16	01/04/17	110445	IRF STAFF ATTORNEY III	Y	NA	73	P	1.00	B	\$ 78,415.00	\$ 80,196.00	Y	N	--	offer accepted
CCA 106	EA	05/05/15	12/01/16	110705	IRF PROGRAM ANALYST	Y	NA	13	P	1.00	B	\$ 63,212.00	\$ 71,592.00	Y	N	--	offer accepted 12/1.
CCA 106	EA	05/23/15	12/19/16	119027	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 41,064.00	\$ 41,064.00	Y	N	--	offer accepted 12/19.
CCA 106	EA	12/16/14	01/16/17	120977	CIAF INSURANCE EXAMINER I	Y	NA	13	P	1.00	B	\$ 71,098.00	\$ 67,512.00	Y	N	--	offer accepted.
CCA 111	CA	02/28/15	03/16/17	40362	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 27,768.00	\$ 27,768.00	Y	N	--	offer accepted
CCA 112	AB	11/07/16	1/20/2017	118554	OFFICE ASSISTANT III	N	08	03	P	1.00	B	\$ 27,768.00	\$ 26,700.00	Y	N	--	candidate identified
CCA 112	AB	08/01/16	1/20/2017	119156	OFFICE ASSISTANT IV	N	10	03	P	1.00	B	\$ 46,188.00	\$ 46,188.00	Y	N	--	candidate identified
CCA 191	AA	07/01/16	12/16/16	117842	BUSINESS MANAGEMENT OFFI	N	EM-5	35	P	1.00	B	\$ 88,216.00	\$ 83,472.00	Y	N	--	offer accepted 12/16.
Incumbent is on leave without pay to accept exempt appointment to Pos.# 100690, NTE 12/3/18:																	
CCA 106	EA	--	12/03/18	5907	CHIEF DEPUTY INS COMMISSIO	N	EM7	35	P	1.00	B	\$ 106,391.00	\$ 99,204.00	Y	N	--	
Public Utilities Commission transferred to CCA on 7-1-15 from BUF pursuant to Act 108/14																	
CCA 901	MA	07/26/16	01/31/17	117634	PUC ATTORNEY I	Y	NA	73	P	1.00	B	\$ 68,424.00	\$ 74,832.00	Y	N	--	1
CCA 901	MA	--	02/15/17	121821	PUC SUPERVISING UTILITY ANA	Y	NA	23	P	1.00	B	\$ 60,786.00	--	Y	N	--	2
CCA 901	MA	07/15/16	02/01/17	121820	PUC SUPERVISING ECONOMIST	Y	NA	23	P	1.00	B	\$ 60,786.00	\$ 88,404.00	Y	N	--	3
CCA 901	MA	08/05/16	02/15/17	121748	PUC ECONOMIST II	Y	NA	13	P	1.00	B	\$ 60,786.00	\$ 75,288.00	Y	N	--	4
CCA 901	MA	07/01/10	02/01/17	106174	INVESTIGATOR IV	N	22	13	P	1.00	B	\$ 49,918.00	\$ 43,824.00	Y	N	--	5
CCA 901	MA	--	01/25/17	121798	PUC RESEARCH ASSISTANT I	Y	NA	13	P	1.00	B	\$ 52,250.00	--	Y	N	--	6
CCA 901	MA	--	01/15/17	100958	HUMAN RESOURCES ASSISTAN	N	13	63	P	1.00	B	\$ 86,028.00	--	Y	N	--	7
Positions with offers accepted/final stages of recruitment:																	
CCA 901	MA	09/01/15	12/01/16	106173	PUC ECONOMIST I	Y	NA	13	P	1.00	B	\$ 64,920.00	\$ 84,480.00	Y	N	--	offer accepted 12/1.
CCA 901	MA	11/01/16	12/01/16	118029	ENGINEER IV (PU)	N	24	13	P	1.00	B	\$ 54,030.00	\$ 78,624.00	Y	N	--	offer accepted 12/1.
CCA 901	MA	07/07/16	12/19/16	118031	PUC UTILITY ANALYST II	Y	NA	13	P	1.00	B	\$ 64,920.00	\$ 75,288.00	Y	N	--	offer accepted 12/19.
CCA 901	MA	--	12/19/16	120736	PUC LEGAL ASSISTANT	Y	NA	13	P	1.00	B	\$ 36,070.00	--	Y	N	--	offer accepted 12/19.
CCA 901	MA	--	01/17/17	121797	PUC RESEARCH ASSISTANT I	Y	NA	13	P	1.00	B	\$ 52,250.00	--	Y	N	--	offer accepted 1/17.

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2015 to November 30, 2016

Table 13

Prog ID	Sub-Org	Effective Date Position Filled	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary	Occupied by 89 Day Hire (Y/N)
CCA 102	FA	07/01/15	102774	CATV Staff Attorney	Y	NA	73	P	B	1.00	\$80,867.00	1.00	\$72,000.00	N
CCA 102	FA	10/19/16	120246	CATV Program Specialist	Y	NA	13	P	B	1.00	\$73,916.00	1.00	\$64,008.00	N
CCA 102	FA	05/09/16	121425	CATV Staff Attorney	Y	NA	73	P	B	1.00	\$80,439.00	1.00	\$80,004.00	N
CCA 103	HA	08/03/15	6318	Legal Clerk	N	14	03	P	B	1.00	\$44,388.00	1.00	\$41,064.00	N
CCA 103	HA	11/23/15	21378	Office Assistant IV	N	10	03	P	B	1.00	\$35,112.00	1.00	\$33,720.00	N
CCA 103	HA	07/01/16	28849	Engineer IV	N	24	13	P	B	1.00	\$56,202.00	1.00	\$55,236.00	N
CCA 103	HA	06/01/16	102758	DCA Research Analyst V	Y	NA	13	P	B	1.00	\$38,523.00	1.00	\$65,016.00	N
CCA 103	HA	04/01/16	106291	DCA Research Analyst V	Y	NA	13	P	B	1.00	\$74,273.00	1.00	\$65,016.00	N
CCA 103	HA	04/27/16	110990	DCA Education Specialist	Y	NA	13	P	B	1.00	\$54,933.00	1.00	\$47,400.00	N
CCA 103	HA	07/07/16	120189	DCA Research Analyst V	Y	NA	13	P	B	1.00	\$48,377.00	1.00	\$65,016.00	N
CCA 103	HA	10/05/15	121559	PU Staff Attorney III	Y	NA	73	P	B	1.00	\$71,225.00	1.00	\$70,008.00	N
CCA 104	BA	10/19/15	123	Secretary III	N	16	63	P	B	1.00	\$58,416.00	1.00	\$36,468.00	N
CCA 104	BA	02/01/16	26271	Financial Institution Examiner II	N	24	13	P	B	1.00	\$54,300.00	1.00	\$55,236.00	N
CCA 104	BA	04/18/16	26272	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 104	BA	01/26/16	106292	CRF Financial Inst Examiner IV	Y	NA	13	P	B	1.00	\$77,289.00	1.00	\$81,756.00	N
CCA 104	BA	03/07/16	106293	FIERF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$63,516.00	1.00	\$62,112.00	N
CCA 104	BA	07/16/15	106294	CRF Financial Inst Examiner III	Y	NA	13	P	B	1.00	\$61,062.00	1.00	\$60,012.00	N
CCA 104	BA	12/01/15	106295	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$74,310.00	1.00	\$53,364.00	N
CCA 104	BA	05/23/16	118396	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 104	BA	08/22/16	118658	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$73,916.00	1.00	\$62,112.00	N
CCA 104	BA	09/06/16	118659	CRF Financial Inst Examiner I	Y	NA	13	P	B	1.00	\$63,199.00	1.00	\$55,236.00	N
CCA 104	BA	03/16/16	121947	CRF Investigator IV	Y	NA	13	P	B	1.00	\$33,028.00	1.00	\$67,188.00	N
CCA 104	BA	10/17/16	121947	CRF Investigations F I Examiner II	Y	NA	13	P	B	1.00	\$67,212.00	1.00	\$57,432.00	N
CCA 104	BA	03/16/16	121948	CRF Financial Inst Examiner I	Y	NA	13	P	B	1.00	\$31,758.00	1.00	\$51,036.00	N
CCA 104	BA	08/16/16	121984	CRF Financial Inst Examiner II	Y	NA	13	P	B	1.00	\$64,628.00	1.00	\$62,112.00	N
CCA 104	BA	08/08/16	121996	CRF Financial Inst Examiner I	Y	NA	13	P	B	1.00	\$64,628.00	1.00	\$55,236.00	N
CCA 105	GA	07/01/16	6777	Office Assistant V	N	12	03	P	B	1.00	\$42,684.00	1.00	\$31,236.00	N
CCA 105	GA	12/01/15	7933	Secretary II	N	14	03	P	B	1.00	\$44,388.00	1.00	\$33,720.00	N
CCA 105	GA	11/16/16	8394	Secretary IV	N	18	63	P	B	1.00	\$58,416.00	1.00	\$39,492.00	N
CCA 105	GA	09/16/16	11284	Clerical Supervisor III	N	14	04	P	B	1.00	\$48,024.00	1.00	\$42,684.00	N
CCA 105	GA	10/01/15	12746	Office Assistant IV	N	10	03	P	B	1.00	\$28,872.00	1.00	\$28,872.00	N
CCA 105	GA	10/16/15	22646	Office Assistant IV	N	10	03	P	B	1.00	\$29,988.00	1.00	\$28,872.00	N
CCA 105	GA	01/25/16	34812	Secretary II	N	14	03	P	B	1.00	\$48,024.00	1.00	\$35,112.00	N
CCA 105	GA	05/16/16	36375	Secretary II	N	14	03	P	B	1.00	\$50,172.00	1.00	\$33,720.00	N
CCA 105	GA	06/16/16	102457	Condo Program Specialist	Y	NA	13	P	T	1.00	\$64,884.00	1.00	\$80,196.00	N
CCA 105	GA	07/20/15	118939	Office Assistant III	N	08	03	P	T	1.00	\$29,988.00	1.00	\$26,700.00	N
CCA 105	GA	08/08/16	118941	Office Assistant IV	N	10	03	P	T	1.00	\$28,872.00	1.00	\$28,872.00	N
CCA 105	GA	10/21/15	118944	Office Assistant IV	N	10	03	P	T	1.00	\$28,872.00	1.00	\$35,112.00	N
CCA 105	GA	10/17/16	118944	Office Assistant V	N	12	03	P	T	1.00	\$28,872.00	1.00	\$39,492.00	N
CCA 105	GA	12/01/15	120700	Office Assistant V	N	12	03	T	B	1.00	\$31,236.00	1.00	\$31,236.00	N

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2015 to November 30, 2016

Table 13

Prog ID	Sub-Org	Effective Date Position Filled	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary	Occupied by 89 Day Hire (Y/N)
CCA 105	GA	06/06/16	120700	Office Assistant V	N	12	03	T	B	1.00	\$31,236.00	1.00	\$31,236.00	N
CCA 105	GA	07/11/16	121530	Office Assistant V	N	12	03	T	B	1.00	\$35,904.00	1.00	\$31,236.00	N
CCA 106	EA	06/16/16	15542	Insurance Rate & Policy Analyst I	N	16	13	P	B	1.00	\$50,172.00	1.00	\$38,772.00	N
CCA 106	EA	06/20/16	25147	Insurance Rate & Policy Analyst III	N	20	13	P	B	1.00	\$44,348.00	1.00	\$49,056.00	N
CCA 106	EA	05/24/16	42122	Office Assistant III	N	08	03	P	B	1.00	\$27,768.00	1.00	\$28,872.00	N
CCA 106	EA	08/08/16	42124	Insurance Rate & Policy Analyst I	N	16	13	P	B	1.00	\$59,256.00	1.00	\$38,772.00	N
CCA 106	EA	11/16/16	102731	IERF Insurance Examination Mgr	Y	NA	23	P	B	1.00	\$93,567.00	1.00	\$91,956.00	N
CCA 106	EA	06/16/16	102736	IERF Clerk Typist II	Y	NA	03	P	B	1.00	\$41,064.00	1.00	\$46,188.00	N
CCA 106	EA	01/20/16	102738	CRF Insurance Examiner II	Y	NA	13	P	B	1.00	\$66,056.00	1.00	\$72,648.00	N
CCA 106	EA	04/01/16	108005	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$62,222.00	1.00	\$69,876.00	N
CCA 106	EA	08/19/15	110212	CRF Multi-Media Education Spclt	Y	NA	13	P	B	1.00	\$52,210.00	1.00	\$64,920.00	N
CCA 106	EA	08/01/16	110212	CRF Multi-Media Education Spclt	Y	NA	13	P	B	1.00	\$54,037.00	1.00	\$51,036.00	N
CCA 106	EA	04/01/16	112455	CIAF Insurance Examiner II	Y	NA	13	P	B	1.00	\$68,693.00	1.00	\$69,876.00	N
CCA 106	EA	07/01/16	118637	CIAF Insurance Program Specialist	Y	NA	13	P	B	1.00	\$55,488.00	1.00	\$67,188.00	N
CCA 106	EA	01/20/16	119029	Insurance Licensing Clerk *	N	11	63	P	B	1.00	\$36,468.00	1.00	\$14.42	Y
CCA 106	EA	07/25/16	119029	Insurance Licensing Clerk	N	11	03	P	B	1.00	\$36,468.00	1.00	\$29,988.00	N
CCA 106	EA	12/07/15	119185	Office Assistant III	N	08	03	P	B	1.00	\$33,720.00	1.00	\$26,700.00	N
CCA 106	EA	08/16/16	120468	INS Property & Casualty Actuary	Y	NA	13	T	B	1.00	\$168,498.00	1.00	\$130,200.00	N
CCA 106	EA	08/17/15	120528	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	\$42,168.00	1.00	\$43,812.00	N
CCA 106	EA	08/01/16	120529	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	\$54,025.00	1.00	\$49,056.00	N
CCA 106	EA	05/02/16	120530	INS Health Premium Rate Analyst	Y	NA	13	T	P	1.00	\$63,594.00	1.00	\$62,112.00	N
CCA 107	IA	11/03/15	121759	HPEAP Program Specialist	Y	NA	13	P	B	1.00	\$46,374.00	1.00	\$53,988.00	N
CCA 110	DA	07/16/15	21811	Investigator V	N	24	23	P	B	1.00	\$80,376.00	1.00	\$75,960.00	N
CCA 110	DA	02/01/16	25073	Investigator IV	N	22	13	P	B	1.00	\$65,326.00	1.00	\$69,876.00	N
CCA 110	DA	02/02/16	101721	Staff Attorney II	Y	NA	73	T	B	1.00	\$80,842.00	1.00	\$77,124.00	N
CCA 110	DA	03/01/16	120993	CRF Investigator I	Y	NA	13	P	B	1.00	\$38,120.00	1.00	\$38,772.00	N
CCA 111	CA	08/16/16	21379	Business Registration Supervisor	N	17	04	P	B	1.00	\$54,012.00	1.00	\$51,924.00	N
CCA 111	CA	11/02/15	29152	Clerical Supervisor III	N	14	04	P	B	1.00	\$41,064.00	1.00	\$41,064.00	N
CCA 111	CA	11/16/15	32896	Office Assistant III *	N	08	63	P	B	1.00	\$26,700.00	1.00	\$12.84	Y
CCA 111	CA	03/01/16	32896	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 111	CA	04/11/16	38868	Office Assistant III	N	08	03	P	B	1.00	\$28,872.00	1.00	\$33,720.00	N
CCA 111	CA	02/17/16	40362	Office Assistant III *	N	08	63	P	B	1.00	\$27,768.00	1.00	\$12.84	Y
CCA 111	CA	11/21/16	46581	Office Assistant III *	N	08	63	P	B	1.00	\$26,700.00	1.00	\$12.84	Y
CCA 111	CA	10/19/15	46583	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 111	CA	05/02/16	49514	Securities Examiner IV	N	22	13	P	B	1.00	\$44,580.00	1.00	\$64,608.00	N
CCA 111	CA	10/16/15	49520	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 111	CA	07/18/16	49520	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$35,112.00	N
CCA 111	CA	08/01/16	49535	Office Assistant IV	N	10	03	P	B	1.00	\$28,872.00	1.00	\$28,872.00	N
CCA 111	CA	08/01/16	102529	CRF Investigator IV	Y	NA	13	T	B	1.00	\$51,927.00	1.00	\$67,188.00	N
CCA 111	CA	01/15/16	102532	CRF Staff Attorney	Y	NA	73	T	B	1.00	\$76,313.00	1.00	\$77,004.00	N

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2015 to November 30, 2016

Table 13

Prog ID	Sub-Org	Effective Date Position Filled	Position Number	Position Title	Exempt (Y/N)	SR Level	BU Code	T/P	MOF	Budgeted FTE	Budgeted Salary	Actual FTE	Actual Salary	Occupied by 89 Day Hire (Y/N)
CCA 111	CA	08/16/16	111372	Supervising Securities Examiner	N	24	23	P	B	1.00	\$73,920.00	1.00	\$72,648.00	N
CCA 111	CA	11/01/16	120455	Securities Multi-Media Educ Spct I	Y	NA	13	P	B	1.00	\$39,492.00	1.00	\$38,772.00	N
CCA 112	AB	11/07/16	12624	Investigator I	N	16	13	P	B	1.00	\$73,920.00	1.00	\$38,772.00	N
CCA 112	AB	09/16/15	12731	Investigator IV	N	22	13	P	B	1.00	\$50,172.00	1.00	\$55,488.00	N
CCA 112	AB	03/01/16	101312	RI Investigator IV	Y	NA	13	P	B	1.00	\$46,374.00	1.00	\$49,056.00	N
CCA 112	AB	11/10/15	101319	RI Investigator IV	Y	NA	13	P	B	1.00	\$56,459.00	1.00	\$60,012.00	N
CCA 112	AB	06/01/16	118461	Investigator IV	N	22	13	P	B	1.00	\$48,228.00	1.00	\$57,432.00	N
CCA 112	AB	07/19/16	118479	Investigator IV	N	22	13	P	B	1.00	\$73,920.00	1.00	\$49,056.00	N
CCA 112	AB	02/16/16	118554	Office Assistant III	N	08	03	P	B	1.00	\$27,768.00	1.00	\$26,700.00	N
CCA 112	AB	08/17/15	119164	RI Investigator IV	Y	NA	13	P	B	1.00	\$50,183.00	1.00	\$47,400.00	N
CCA 112	AB	09/07/16	119168	Legal Clerk	N	14	03	P	B	1.00	\$39,492.00	1.00	\$39,492.00	N
CCA 112	AB	09/01/16	119169	Legal Clerk	N	14	03	P	B	1.00	\$46,188.00	1.00	\$33,720.00	N
CCA 112	AB	03/01/16	119170	Legal Secretary	N	18	03	P	B	1.00	\$60,780.00	1.00	\$54,012.00	N
CCA 112	AB	09/01/16	120232	Investigator IV	N	22	13	P	B	1.00	\$49,914.00	1.00	\$49,056.00	N
CCA 112	AB	08/04/15	120233	RI Investigator IV	Y	NA	13	T	B	1.00	\$50,183.00	1.00	\$47,400.00	N
CCA 112	AB	05/17/16	120370	Investigator III	N	20	13	P	B	1.00	\$43,812.00	1.00	\$45,348.00	N
CCA 191	AA	12/16/15	17744	Office Assistant IV *	N	10	63	P	B	1.00	\$28,872.00	1.00	\$13.88	Y
CCA 191	AA	05/03/16	17744	Office Assistant IV *	N	10	63	P	B	1.00	\$28,872.00	1.00	\$13.88	Y
CCA 191	AA	06/21/16	17744	Office Assistant IV	N	10	03	P	B	1.00	\$28,872.00	1.00	\$28,872.00	N
CCA 191	AA	11/02/15	30388	Cashier I	N	10	03	P	B	1.00	\$29,988.00	1.00	\$28,872.00	N
CCA 191	AA	10/03/16	38871	Account Clerk IV *	N	13	63	P	B	1.00	\$32,460.00	1.00	\$15.61	Y
CCA 191	AA	03/02/16	45090	Account Clerk IV *	N	13	63	P	B	1.00	\$32,460.00	1.00	\$15.61	Y
CCA 191	AA	06/06/16	45090	Account Clerk IV *	N	13	63	P	B	1.00	\$32,460.00	1.00	\$15.61	Y
CCA 191	AA	09/16/16	45090	Account Clerk IV	N	13	03	P	B	1.00	\$32,460.00	1.00	\$32,460.00	N
CCA 191	AA	07/16/15	45091	Account Clerk IV	N	13	03	P	B	1.00	\$39,492.00	1.00	\$32,460.00	N
CCA 191	AA	05/16/16	45091	Account Clerk IV	N	13	03	P	B	1.00	\$39,492.00	1.00	\$33,720.00	N
CCA 191	AA	03/09/16	102754	CCA Communications Officer	Y	NA	73	T	B	1.00	\$85,250.00	1.00	\$75,588.00	N
CCA 191	AA	03/01/16	117842	Business Management Officer I	N	EM-5	35	P	B	1.00	\$84,420.00	1.00	\$83,472.00	N
CCA 191	AH	02/29/16	119019	Legal Clerk *	Y	14	63	T	B	1.00	\$41,064.00	1.00	\$16.21	Y
CCA 191	AH	06/01/16	119019	Legal Clerk	N	14	03	T	B	1.00	\$41,064.00	1.00	\$33,720.00	N
CCA 191	AH	11/02/15	119020	Legal Clerk	N	14	03	T	B	1.00	\$49,968.00	1.00	\$33,720.00	N
CCA 191	AH	06/01/16	119020	Legal Clerk *	N	14	63	T	B	1.00	\$49,968.00	1.00	\$16.21	Y
CCA 191	AA	11/01/16	120945	CCA Office Assistant IV	Y	NA	03	T	B	1.00	\$39,480.00	1.00	\$28,872.00	N
CCA 901	MA	02/16/16	29556	Office Assistant IV	N	10	03	P	B	1.00	\$28,872.00	1.00	\$29,988.00	N
CCA 901	MA	06/06/16	36923	Engineer III *	N	22	73	P	B	1.00	\$68,694.00	1.00	\$23.58	Y
CCA 901	MA	07/18/16	36923	Engineer III (PU)	N	22	13	P	B	1.00	\$71,100.00	1.00	\$51,036.00	N
CCA 901	MA	03/16/16	36924	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 901	MA	09/20/16	36924	Office Assistant III	N	08	03	P	B	1.00	\$26,700.00	1.00	\$26,700.00	N
CCA 901	MA	08/22/16	42690	Investigator III	Y	20	13	P	B	1.00	\$48,230.00	1.00	\$45,348.00	N
CCA 901	MA	07/26/16	100542	Chief Counsel	Y	NA	73	P	B	1.00	\$108,096.00	1.00	\$86,064.00	N
CCA 901	MA	07/01/16	100955	Commissioner, PUC	Y	NA	00	P	B	1.00	\$112,572.00	1.00	\$117,132.00	N

Department of Commerce and Consumer Affairs
Positions Filled from July 1, 2015 to November 30, 2016

Table 13

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Effective Date Position Filled</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>Budgeted FTE</u>	<u>Budgeted Salary</u>	<u>Actual FTE</u>	<u>Actual Salary</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
CCA 901	MA	03/07/16	102100	PUC Utility Analyst I	Y	NA	13	P	B	1.00	\$64,920.00	1.00	\$70,788.00	N
CCA 901	MA	07/15/16	102437	PUC Research & Policy Officer	Y	NA	93	P	B	1.00	\$91,008.00	1.00	\$92,832.00	N
CCA 901	MA	05/18/16	102438	PUC Attorney I	Y	NA	73	P	B	1.00	\$91,092.00	1.00	\$72,648.00	N
CCA 901	MA	07/16/15	102680	PUC Utility Analyst	Y	NA	13	P	B	1.00	\$67,512.00	1.00	\$64,992.00	N
CCA 901	MA	09/02/16	117097	Information Tech Spclt IV	N	22	13	P	B	1.00	\$50,172.00	1.00	\$47,400.00	N
CCA 901	MA	06/01/16	117631	PUC Education & Enforcement Spclt	Y	NA	13	P	B	1.00	\$55,812.00	1.00	\$63,000.00	N
CCA 901	MA	11/16/15	117632	Secretary I	N	12	03	P	B	1.00	\$30,036.00	1.00	\$32,460.00	N
CCA 901	MA	08/01/16	117632	Secretary I	N	12	03	P	B	1.00	\$30,036.00	1.00	\$31,236.00	N
CCA 901	MA	05/09/16	117642	PUC Education & Enforcement Spclt	Y	NA	13	P	B	1.00	\$47,412.00	1.00	\$57,432.00	N
CCA 901	MA	08/18/15	117643	Office Assistant III	N	08	03	P	B	1.00	\$27,768.00	1.00	\$26,700.00	N
CCA 901	MA	03/01/16	117643	Office Assistant III	N	08	03	P	B	1.00	\$27,768.00	1.00	\$26,700.00	N
CCA 901	MA	07/16/15	120768	Consumer Affairs & Compl Ofcr	Y	NA	13	P	B	1.00	\$61,524.00	1.00	\$64,920.00	N
CCA 901	MA	08/01/16	121799	PUC Attorney	Y	NA	73	P	B	1.00	\$61,750.00	1.00	\$70,188.00	N
CCA 901	MA	09/01/16	121819	PUC Supervising Attorney	Y	NA	73	P	B	1.00	\$58,448.00	1.00	\$99,978.00	N
CCA 901	MA	09/01/16	121820	PUC Supervising Economist	Y	NA	13	P	B	1.00	\$58,730.00	1.00	\$85,416.00	N
CCA 901	MA	11/09/15	121852	PUC Attorney I	Y	NA	73	P	B	1.00	\$58,730.00	1.00	\$72,300.00	N
CCA 901	MA	05/18/16	121861	Legal Clerk	N	14	03	P	B	1.00	\$30,803.00	1.00	\$33,720.00	N
CCA 901	MA	03/16/16	121918	Procurement & Contract Specialist	Y	NA	13	P	B	1.00	\$30,803.00	1.00	\$53,100.00	N
				* - Employee hired into the position on an 89-day non-civil service appointment.										

Department of Commerce and Consumer Affairs
 Positions Established by Acts other than the State Budget as of November 30, 2016

Table 14

<u>Prog ID</u>	<u>Sub-Org</u>	<u>Date Established</u>	<u>Legal Authority</u>	<u>Position Number</u>	<u>Position Title</u>	<u>Exempt (Y/N)</u>	<u>SR Level</u>	<u>BU Code</u>	<u>T/P</u>	<u>MOF</u>	<u>FTE</u>	<u>Annual Salary</u>	<u>Filled (Y/N)</u>	<u>Occupied by 89 Day Hire (Y/N)</u>
None														

Department of Commerce and Consumer Affairs
Overtime Expenditure Summary

Table 15

Prog ID	Sub-Org	Program Title	MOF	FY16 (actual)			FY17 (estimated)			FY18 (budgeted)		
				Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent	Base Salary \$\$\$\$	Overtime \$\$\$\$	Overtime Percent
CCA102	FA	Cable Television	B	\$ 527,427	\$ -	0.0%	\$ 655,637	\$ 5,000	0.8%	\$ 653,051	\$ 5,000	0.8%
CCA103	HA	Consumer Advocate for Communication, Utilities & Transportation Service	B	\$ 1,085,178	\$ 19,772	1.8%	\$ 1,553,463	\$ 20,000	1.3%	\$ 1,678,779	\$ 25,000	1.5%
CCA104	BA	Financial Services Regulation		\$ 1,723,046	\$ -	0.0%	\$ 2,633,855	\$ 13,000	0.5%	\$ 2,567,000	\$ 13,000	0.5%
CCA105	GA	Professional & Vocational Licensing	B	\$ 2,984,953	\$ 18,558	0.6%	\$ 3,671,751	\$ 20,000	0.5%	\$ 3,848,801	\$ 70,101	1.8%
CCA105	GA	Professional & Vocational Licensing	T	\$ 475,838	\$ -	0.0%	\$ 546,439	\$ 4,000	0.7%	\$ 630,049	\$ 21,360	3.4%
CCA106	EA	Insurance Division	B	\$ 3,646,754	\$ 3,825	0.1%	\$ 6,086,590	\$ 38,072	0.6%	\$ 6,195,675	\$ 21,836	0.4%
CCA107	IA	Hawaii Post-Secondary Education Authorization Program	B	\$ 105,476	\$ -	0.0%	\$ 124,086	\$ -	0.0%	\$ 135,229	\$ -	0.0%
CCA110	DA	Protection of the Consumer	B	\$ 1,027,543	\$ -	0.0%	\$ 1,140,629	\$ -	0.0%	\$ 1,254,678	\$ -	0.0%
CCA111	CA	Business Registration	B	\$ 3,163,300	\$ 38,487	1.2%	\$ 3,782,327	\$ 60,000	1.6%	\$ 3,999,332	\$ 60,000	1.5%
CCA112	AB	Regulated Industries Complaints Office	B	\$ 3,194,955	\$ 485	0.0%	\$ 3,878,449	\$ 15,000	0.4%	\$ 3,944,303	\$ 15,000	0.4%
CCA191	AA	Director's Office & Administration	B	\$ 1,333,044	\$ 165	0.0%	\$ 1,621,381	\$ 20,000	1.2%	\$ 1,559,533	\$ 20,000	1.3%
CCA191	AH	General Support/Office of Administrative Hearings	B	\$ 434,006	\$ -	0.0%	\$ 648,199	\$ 5,000	0.8%	\$ 636,860	\$ 5,000	0.8%
CCA191	AI	Info Systems & Communications Office	B	\$ 1,080,039	\$ 9,432	0.9%	\$ 1,109,156	\$ 2,000	0.2%	\$ 1,138,520	\$ 2,000	0.2%
CCA901	MA	Public Utilities Commission	B	\$ 3,300,652	\$ -	0.0%	\$ 4,011,562	\$ -	0.0%	\$ 4,421,923	\$ -	0.0%
		Totals	B	\$ 23,606,373	\$ 90,724	0.4%	\$ 30,917,085	\$ 198,072	0.6%	\$ 32,033,684	\$ 236,937	0.7%
			T	\$ 475,838	\$ -	0.0%	\$ 546,439	\$ 4,000	0.7%	\$ 630,049	\$ 21,360	3.4%

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2016

Table 16

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	Term of Contract		Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S
							From	To					
CCA102	B	VAR	O	200,000.00	142,301.44	7/1/2011	7/1/2014	6/30/2015	MERINA & COMPANY, LLP	CONTRACTOR PROVIDES ACCOUNTING, AUDITING, AGREED UPON PROCEDURES, FINANCE CONSULTING SVCS IN ITS ASSESSMENT OF THE FINANCIAL & OPERATING POLICIES	Monthly invoices reviewed by CATV staff.	Y	S
CCA102	B	VAR	O	200,000.00	200,000.00	7/1/2011	7/1/2015	6/30/2016	MERINA & COMPANY, LLP	CONTRACTOR PROVIDES ACCOUNTING, AUDITING, AGREED UPON PROCEDURES, FINANCE CONSULTING SVCS IN ITS ASSESSMENT OF THE FINANCIAL & OPERATING POLICIES	Monthly invoices reviewed by CATV staff.	Y	S
CCA102	B	VAR	O	120,000.00	62,098.98	7/1/2011	7/1/2016	6/30/2017	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices reviewed by CATV staff.	Y	S
CCA102	B	VAR	O	200,000.00	200,000.00	7/1/2013	7/1/2015	6/30/2016	MERINA & COMPANY, LLP	CONTRACTOR PROVIDES ACCOUNTING, AUDITING, AGREED UPON PROCEDURES, FINANCE CONSULTING SVCS IN ITS ASSESSMENT OF THE FINANCIAL & OPERATING POLICIES	Monthly invoices reviewed by CATV staff.	Y	S
CCA102	B	VAR	O	120,000.00	120,000.00	7/1/2013	7/1/2016	6/30/2017	MERINA & COMPANY, LLP	PROVIDE ACCOUNTING & REVIEW OF FILLINGS SUBMITTED BY THE CABLE OPERATORS FOR PURPOSES OF RATE INCREASES &/OR APPEALS, NEW FRANCHISES, FRANCHISE	Monthly invoices reviewed by CATV staff.	Y	S
CCA102	B	VAR	M	250,000.00	88,818.98	6/30/2008	7/1/2014	6/30/2015	SQUIRE PATTON BOGGS (US) LLP	PROVIDE ADVICE & COUNSEL TO THE STATE (INCLUDING BUT NO LIMITED TO THE CABLE TELEVISION DIV OF THE DCCA ON ANY MATTER RELATING TO CABLE TELEVISION	Monthly invoices monitored by AG's office and CATV staff.	Y	C
CCA102	B	VAR	M	250,000.00	250,000.00	6/30/2008	7/1/2015	6/30/2016	SQUIRE PATTON BOGGS (US) LLP	PROVIDE ADVICE & COUNSEL TO THE STATE (INCLUDING BUT NO LIMITED TO THE CABLE TELEVISION DIV OF THE DCCA ON ANY MATTER RELATING TO CABLE TELEVISION	Monthly invoices monitored by AG's office and CATV staff.	Y	C
CCA102	B	VAR	M	250,000.00	250,000.00	6/30/2008	7/1/2016	6/30/2017	SQUIRE PATTON BOGGS (US) LLP	PROVIDE ADVICE & COUNSEL TO THE STATE (INCLUDING BUT NO LIMITED TO THE CABLE TELEVISION DIV OF THE DCCA ON ANY MATTER RELATING TO CABLE TELEVISION	Monthly invoices monitored by AG's office and CATV staff.	Y	C
CCA103	B	VAR	O	25,000.00	4,120.00	10/31/2011	10/31/2011	-	HILL, STEPHEN G.	SVCS WITH PUC REVIEW & EVALUATION OF THE APPLICATION FILED BY MECO FOR GENERAL RATE INCREASE-DOCKET-#2011-0092.	monthly review	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2016

Table 16

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S
CCA103	B	VAR	O	200,000.00	155,598.28	6/1/2008	6/1/2008	12/31/2014	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SERVICES IN CONNECTION W/ HAWAII PUBLIC UTILITIES COMMISSION' INVESTIGATION INTO THE IMPLEMENTATION OF INTRA-GOVERNMENT WHEELING OF	monthly review	Y	S
CCA103	B	VAR	O	12,500.00	74.71	9/26/2011	9/26/2011	-	SAWVEL AND ASSOCIATES, INC.	PROVIDE SVCS IN CONNECTION WITH THE HI PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF APPLICATION FILED BY MAUI ELECTRIC CO, LIMITED	monthly review	Y	S
CCA103	B	VAR	O	106,000.00	66,522.35	6/26/2012	6/26/2012	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/THE PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF APPLICATION FILED BY HAWAII ELECTRIC LIGHT COMPANY,	monthly review	Y	S
CCA103	B	VAR	O	122,900.00	122,900.00	6/27/2014	6/27/2014	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	monthly review	Y	S
CCA103	B	VAR	O	35,000.00	0.96	12/15/2014	12/15/2014	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION WITH THE HAWAII PUBLIC UTILITIES COMMISSION REVIEW & EVALUATION OF THE APPLICATION FILED BY HAWAII ELECTRIC COMPANY	monthly review	Y	S
CCA103	B	VAR	O	260,000.00	187,950.01	6/1/2015	6/1/2015	-	SAWVEL AND ASSOCIATES, INC.	CONTRACTOR TO PROVIDE SVCS SUCH AS GENERAL PREP TO SERVE AS EXPERT WITNESS IN POSSIBLE OR ACTUAL LITIGATION IN THE COURSE OF DIV'S EVAL OF	monthly review	Y	S
CCA103	B	VAR	O	165,000.00	165,000.00	11/28/2016	11/28/2016	-	SAWVEL AND ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/HAWAII ELECTRIC LIGHT CO. INC'S APPLICATION F/APPVL OF GENERAL RATE INCREASE AND REVISED RATED SCHEDULES & RULE	monthly review	Y	S
CCA103	B	VAR	O	170,000.00	159,393.84	6/21/2012	6/21/2012	-	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/ THE PUBLIC UTILITIES COMMISSION (HERE-AFTER "COMMISSION") TO EXAMINE & CONDUCT INTEGRATED RESOURCES PLANNING	monthly review	Y	S
CCA103	B	VAR	O	75,000.00	61,481.59	5/4/2014	5/4/2014	-	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/PROCEEDING OPENED BY THE HI PUBLIC UTITIES COMMISSION TO REEXAMINE THE EXISTING DECOUPLING MECHANISMS	monthly review	Y	S
CCA103	B	VAR	O	60,000.00	60,000.00	5/4/2014	5/4/2014	-	SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/PROCEEDING OPENED BY THE HI PUBLIC UTILITIES COMMISSION TO REEXAMINE THE EXISTING DECOUPLING MECHANISMS	monthly review	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2016

Table 16

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S
CCA103	B	VAR	O	22,000.00	18,880.03	5/5/2014	5/5/2014		SYNAPSE ENERGY ECONOMICS, INC.	TO PROVIDE SVCS IN CONNECTION W/HECO, HELCO, MECO & NEXTERA ENERGY, INC' APPLICATION FOR APPROVAL OF PROPOSED CHANGE OF CONTROL & RELATED MATTERS	monthly review	Y	S
CCA103	B	VAR	O	22,000.00	12,815.00	6/22/2012	6/22/2012		TECHNICAL ASSOCIATES, INC.	TO PROVIDE SVCS IN CONNECTION W/THE PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF APPLICATION FILED BY HAWAII ELECTRIC LIGHT COMPANY,	monthly review	Y	S
CCA103	B	VAR	O	33,622.00	33,622.00	1/1/2017	1/1/2017	12/31/2017	UNIVERSITY OF HAWAII	TO PERFORM GENERAL PREPARATION FOR RESEARCH AND CONSULTING SVCS FOR THE DCCA IN THE EVALUATION OF THE ISSUES TO BE ADDRESSED IN THE PROCEEDINGS	monthly review	Y	S
CCA103	B	VAR	O	40,000.00	24,782.67	9/14/2011	9/14/2011		UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/THE HI PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF APPLICATION FILED BY MAUI ELECTRIC CO., LTD FOR	monthly review	Y	S
CCA103	B	VAR	O	205,600.00	41,707.00	8/23/2012	8/23/2012		UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION WITH HAWAII PUBLIC UTILITIES COMMISSION'S REVIEW & EVALUATION OF THE APPLICATION FILED BY HI ELECTRIC LIGHT CO., LTD	monthly review	Y	S
CCA103	B	VAR	O	35,000.00	9,170.70	1/6/2014	1/6/2014		UTILITECH, INC.	TO PROVIDE SERVICES IN CONNECTION WITH KAUAI ISLAND UTILITY COOPERATIVE' (KIUC) REQUEST FOR APPROVAL TO IMPLEMENT KIUC'S PROPOSED DECOUPLING	monthly review	Y	S
CCA103	B	VAR	O	115,000.00	115,000.00	1/6/2014	1/6/2014		UTILITECH, INC.	TO PROVIDE SVCS AS AN EXPERT WITNESS ON BEHALF OF DCA IN THE HECO RATE CASE, DOCKET NO. 2013-0373.	monthly review	Y	S
CCA103	B	VAR	O	135,152.00	96,381.94	3/20/2014	3/20/2014		UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO., INC., HI	monthly review	Y	S
CCA103	B	VAR	O	231,975.00	188,938.20	6/27/2014	6/27/2014		UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HI PUBLIC UTILITIES COMMISSION'S REEXAMINATION OF EXISTING DECOUPLING MECHANISMS FOR HWN ELECTRIC CO., INC., HI	monthly review	Y	S
CCA103	B	VAR	O	50,000.00	29,015.85	3/17/2015	3/17/2015		UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION WITH HECO, HELCO, MECO & NEXTERA ENERGY, IN APPLICATION FOR PROPOSED CHG OF CONTROL & RELATED MATTERS IN DOCKET	monthly review	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2016

Table 16

Prog ID	MOF	Amount	Frequency (M/A/O)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S
CCA103	B	VAR	O	12,000.00	10,750.21	3/17/2015	3/17/2015	-	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION WITH HELCO, HELCO, MECO & NEXTERA ENERGY, IN APPLICATION FOR PROPOSED CHG OF CONTROL & RELATED MATTERS IN DOCKET	monthly review	Y	S
CCA103	B	VAR	O	175,000.00	14,243.58	4/8/2016	4/8/2016	-	UTILITECH, INC.	TO SERVE AS AN EXPERT WITNESS FOR DCA IN DOCKET NO 2016-0014, YOUNG BROS LTD., APPLICATION FOR APPVL OF A GENERAL RATE INCREASE AND CERTAIN	monthly review	Y	S
CCA103	B	VAR	O	265,000.00	224,573.30	9/6/2016	9/6/2016	-	UTILITECH, INC.	TO PROVIDE SVCS IN CONNECTION W/HELCO INC'S APPLICATION F/APPVL OF GEN RATE INCREASE AND REV RATE SCHEDULE AND RULES IN DOC NO.2015-070. THE	monthly review	Y	S
CCA105	B	VAR	M	19,440.00	1,754.67	8/19/2013	8/19/2015	8/18/2016	CENTURY COMPUTERS, INC.	SCANNING & IMAGING LICENSING DOCUMENTS FOR PVL IFB13-001-O	Staff monitors	Y	S
CCA105	B	VAR	M	19,440.00	16,957.63	8/19/2013	8/19/2016	8/18/2017	CENTURY COMPUTERS, INC.	SCANNING & IMAGING LICENSING DOCUMENTS FOR PVL IFB13-001-O	Staff monitors	Y	S
CCA106	B	VAR	M	360,000.00	90,221.03	2/15/2016	2/15/2016	2/14/2017	ACCUTY LLP	CONNECTION WITH FINANCIAL EXAMOF HMAA, MILILANI LIFE INS. CO. LTD, MUTUAL BENEFIT ASN OF HI & VOLUNTARY EMPLOYEES BENEFIT ASN OF HI	Bi-weekly status reports received and completed reports reviewed	Y	S
CCA106	B	VAR	M	50,000.00	31,624.64	4/17/2009	4/17/2009	-	DALEY & HEFT, LLP	PROVIDE LEGAL SVCS TO THE SOH IN THE FOLLOWING LEGAL ACTION, SUPERIOR CT OF THE STATE OF CA FOR THE COUNTY OF ORANGE#30-200900119128 FILLED2/28/D	Completed Work reviewed for accuracy and completeness	Y	C
CCA106	B	8,922.90	M	162,136.20	162,136.20	1/1/2016	11/1/2016	6/30/2017	EMPLOYEES' RETIREMENT SYSTEM	OFFICE SPACE LEASE FOR INSURANCE HEALTH BRANCH - MEMORANDUM OF AGREEMENT LEASE NO.72-30-0612 HOLD OVER CLAUSE	n/a	N	L
CCA106	B	VAR	O	40,000.00	40,000.00	6/1/2016	6/1/2016	5/31/2017	INS REGULATORY INSURANCE	PERFORM THE ACTUARIAL SVCS, ANALYZING RATES, RESERVES, & RELATED MATTERS ACT - PC16	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	M	280,000.00	91,511.89	12/1/2009	12/1/2009	-	KOBAYASHI, SUGITA & GODA, LLP	TO REPRESENT DEFEND & ADVISE THE STATE & THE STATE'S INSURANCE COMMISSIONER & INSURANCE DIVISION IN THE FIRST CIRCUIT COURT SOH CIVIL #95-2513-07	Completed Work reviewed for accuracy and completeness	Y	C
CCA106	B	VAR	O	75,000.00	24,516.45	6/12/2014	6/12/2015	6/11/2016	TAYLOR & MULDER INC.	PERFORM THE ACTUARIAL SERVICES FOR THE INS DIV INCLUDING BUT NOT LIMITED TO ANALYZING RATES AND RELATED MATTERS THAT ALL SVCS REQUIRED BY STATE	Completed Work reviewed for accuracy and completeness	Y	S

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2016

Table 16

Prog ID	MOF	Amount	Frequency (M/A/D)	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S
CCA106	B	VAR	O	75,000.00	75,000.00	6/12/2014	6/12/2016	6/11/2017	TAYLOR & MULDER INC.	PERFORM THE ACTUARIAL SERVICES FOR THE INS DIV INCLUDING BUT NOT LIMITED TO ANALYZING RATES AND RELATED MATTERS THAT ALL SVCS REQUIRED BY STATE	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	M	90,000.00	58,648.00	11/21/2014	11/21/2015	11/21/2016	WYMAN, OLIVER ACTUARIAL	CONTRACTOR SHALL PERFORM & PROVIDE ALL SVCS REQUIRED BY STATE IN A TIMELY MANNER W/IN THE LIMITATIONS AGREED UPON BY STATE & CONTRACTOR	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	M	200,000.00	158,893.00	11/21/2014	11/21/2016	11/21/2017	WYMAN, OLIVER ACTUARIAL	ANALYZE RATES ON HEALTH INSURANCE PROVIDE ACTUARIAL ANALYSIS OF HEALTH INSURANCE LEGISLATION AND APPEAR AS EXPERT WITNESS REPRESENTING COMM TO	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	M	90,000.00	90,000.00	4/15/2016	4/15/2016	4/14/2018	WYMAN, OLIVER ACTUARIAL	CONTRACTOR SHALL PERFORM & PROVIDE ALL SVCS REQUIRED BY STATE IN A TIMELY MANNER W/IN THE LIMITATIONS AGREED UPON BY STATE & CONTRACTOR	Completed Work reviewed for accuracy and completeness	Y	S
CCA106	B	VAR	M	8,000.00	8,000.00	10/3/2016	10/3/2016	3/31/2017	WYMAN, OLIVER ACTUARIAL	TO PERFORM RISK FOCUSED EXAMINATION PROCEDURES FOR PHASES 1 THRU 7 IN THE AREA OF RESERVE LIABILITIES AND PROVIDE EXAMINATION PROGRESS REPORTS	Completed Work reviewed for accuracy and completeness	Y	S
CCA110	B	2,409.05	M	28,049.88	14,647.91	10/1/2012	8/1/2016	7/30/2017	NEW KAIKO'O BUILDING, INC.	OFFICE SPACE LEASE FOR THE RICO HILO BRANCH & OCP HILO BRANCH LEASE AGREEMENT NO.71-30-0591	Review monthly invoice and pay	N	L
CCA111	B	VAR	O	33,500.00	18,218.75	12/1/2010	12/1/2015	11/30/2016	HAWAII COUNCIL ON ECONOMIC	PROVIDE SVCS FOA A'REAL LIFE' INTERACTIVE EDUCATIONAL TOOL STOCK MARKET SIMULATION (SMS) APPROVED BY THE STATE THAT WILL TEACH HAWAII'S STUDENTS (3-1	Investor education staff monitor and participate in teachers training program.	Y	S
CCA111	B	VAR	M	60,000.00	47,868.32	1/1/2007	7/1/2016	6/30/2017	RONIN PROPERTIES, LLC	OFFICE SPACE LEASE DAGS LSH# 92-30-0058 / DCCA - BUSINESS ACTION CENTER.	Monthly rental payments are regular payments. Utility bills are monitored by supervisor of the BAC.	N	L
CCA112	B	1,320.30	M	15,845.72	2.12	6/1/2015	6/1/2015	5/31/2016	PUUONE DEVELOPMENT	LEASE OFFICE SPACE FOR RICO-MAUI BRANCH FOR THE PERIOD OF JUNE 1, 2015 THROUGH MAY 31, 2021, LEASE NO.75-30-014	Review monthly invoices and pay	N	L
CCA112	B	1,320.30	M	15,845.60	9,244.10	6/1/2015	6/1/2016	5/31/2017	PUUONE DEVELOPMENT	LEASE OFFICE SPACE FOR RICO-MAUI BRANCH FOR THE PERIOD OF JUNE 1, 2015 THROUGH MAY 31, 2021, LEASE NO.75-30-014	Review monthly invoices and pay	N	L
CCA112	B	2,162.03	M	25,946.36	16,891.29	12/1/2009	8/1/2016	7/31/2017	SIGNATURE SERVICES	LEASE OFFICE SPACE FOR RICO-KONA BRANCH DAGS LEASE # 71-30-0579	Review monthly invoices and pay	N	L

Department of Commerce and Consumer Affairs
Active Contracts as of December 1, 2016

Table 16

Prog ID	MOF	Amount	Frequency [M/A/O]	Max Value	Outstanding Balance	Date Executed	From	To	Entity	Contract Description	Explanation of How Contract is Monitored	POS Y/N	Category E/L/P/C/G/S
CCA901	B	\$ 1,001,210	M	\$ 1,211,960.00	\$ 210,750.00	6/12/2013	6/30/2013	6/30/2017	DAYHUFF GROUP LLC, THE	Provide system maintenance services for the PUC's docket management system (DMS).	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 17,059	M	\$ 54,500.00	\$ 37,441.32	10/22/2014	10/22/2014	10/22/2017	EXETER ASSOCIATES, INC.	Consultant services for proceedings related to Docket No. 2013-0373.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 15,481	M	\$ 120,000.00	\$ 104,518.95	3/10/2016	4/1/2016	3/31/2018	HAIKU DESIGN AND ANALYSIS LLC	Consultant services for proceedings related to utility resource planning and regulation support and analysis.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 40,084	M	\$ 120,000.00	\$ 79,916.30	3/22/2016	4/1/2016	3/31/2018	HAIKU DESIGN AND ANALYSIS LLC	Consultant services for proceedings related to decoupling matters and mechanisms.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 10,170	M	\$ 300,000.00	\$ 289,829.85	7/7/2016	7/15/2016	7/14/2018	JPG ENERGY CONSULTING LLC	Consultant services for proceedings related to major energy planning dockets.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 25,837	M	\$ 65,000.00	\$ 39,162.80	9/15/2013	9/15/2013	12/30/2016	MUNRO TULLOCH, INC.	Consultant services for proceedings related to Docket No. 2013-0007.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 55,000	M	\$ 396,000.00	\$ 341,000.00	6/6/2016	7/1/2016	6/30/2019	ONE CALL CONCEPTS, INC.	Provider to continue operations of the Hawaii One Call Center under the administration of the PUC	Contract Administrator reviews monthly report of contract measurables to ensure performance to standard.	Y	S
CCA901	B	\$ 10,189	M	\$ 160,000.00	\$ 149,811.25	7/28/2016	8/1/2016	7/31/2018	STRATEGEN CONSULTING LLC	Consultant services for proceedings related to distributed energy resources and community-based renewable energy.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 267,771	M	\$ 443,340.00	\$ 175,568.73	1/31/2014	1/31/2014	1/30/2017	PUBLIC FINANCIAL MANAGEMENT, INC.	Financial advisor services to review specialized financing matters related to Hawaii On-Bill Financing (OBF) & Hawaii Green Energy Market Securitization (GEMS) programs.	Contract Administrator reviews time sheets, description of services rendered, reports, summaries, analysis, etc. for performance to agency expectations.	Y	S
CCA901	B	\$ 6,493	M	\$ 10,497.50	\$ 4,004.06	1/1/2016	1/1/2016	11/30/2020	2200 MAIN LLC	Maui District Office DAGS Lease No. 75-30-0611	Contract Administrator reviews invoice.	Y	L
CCA901	B	\$ 1,490	M	\$ 7,844.31	\$ 6,354.41	7/1/2015	7/1/2015	5/31/2020	TOLEDO, RICHARD & JOAN	Hilo District Office DAGS Lease No. 71-30-0055.	Contract Administrator reviews invoice.	Y	L

Department of Commerce and Consumer Affairs
 Capital Improvements Program (CIP) Requests

Table 17

<u>Prog ID</u>	<u>Prog ID</u> <u>Priority</u>	<u>Dept-</u> <u>Wide</u> <u>Priority</u>	<u>Senate</u> <u>District</u>	<u>Rep.</u> <u>District</u>	<u>Project Title</u>	<u>MOE</u>	<u>FY18 \$\$\$</u>	<u>FY19 \$\$\$</u>
None								

Department of Commerce and Consumer Affairs
CIP Lapses

Table 18

<u>Prog ID</u>	<u>Act/Year of Appropriation</u>	<u>Project Title</u>	<u>MOE</u>	<u>Lapse Amount \$\$\$\$</u>	<u>Reason</u>
None					

Program ID Sub-Organizations

Program ID	Sub-Org Code	Name	Objective
CCA 102	FA	Cable Television	To foster the development of responsive and reliable cable television communications services for the people of Hawaii, by promoting the public interest in authorizations by the state regarding cable television franchises; regulate basic cable television rates and service to ensure compliance with applicable state and federal law; expand the statewide institutional network ("INET"); continue the availability of public, education and government ("PEG") cable access; and to engage in activities promoting the expansion of, and accelerating, the deployment of broadband infrastructure.
CCA 103	HA	Consumer Advocate for Comm, Util, & Tran Svc	Through advocacy, education, and long-range planning, to ensure sustainable, reliable, safe and quality communications, utility, and transportation services at fair cost for Hawaii's consumers for the short and long-term.
CCA 104	BA	Financial Services Regulation	To ensure the safety and soundness of state-chartered and state-licensed financial institutions, and ensure regulatory compliance by state-licensed financial institutions, escrow depositories, money transmitters, mortgage servicers, mortgage loan originators and mortgage loan originator companies, by fairly administering applicable statutes and rules, in order to protect the rights and funds of depositors, borrowers, consumers and other members of the public.
CCA 105	GA	Professional & Vocational Licensing	To ensure that the individual is provided with professional, vocational, and personal services meeting acceptable standards of quality, equity and dependability by establishing and enforcing appropriate service standards; to regulate activities for the protection, welfare and safety of the participants as well as the public.
CCA 106	EA	Insurance Regulatory Services	To ensure that consumers are provided with insurance services meeting acceptable standards of quality, equity, and dependability at fair rates by establishing and enforcing appropriate service standards and fairly administering the Insurance Code.

Program ID Sub-Organizations

Program ID	Sub-Org Code	Name	Objective
CCA 107	IA	Hawaii Post-Secondary Education Authorization Program	To ensure the soundness of accredited degree-granting post-secondary educational institutions by fairly administering applicable statutes and rules in order to protect students, consumers, and other members of the community.
CCA 110	DA	Office of Consumer Protection	To protect consumers by investigating alleged violations of consumer protection laws, taking legal action to stop unfair or deceptive trade practices in the marketplace, and educating consumers and businesses about their respective rights and obligations in the marketplace under Hawaii consumer protection laws.
CCA 111	CA	Business Registration and Securities Regulation	To ensure that business registration information is accurately maintained for corporations, partnerships, limited liability companies, trade names, trademarks, service marks and other entities; to run business centers to provide personalized assistance to small and startup businesses; and to ensure compliance with and enforcement of securities and franchise laws.
CCA 112	AB	Regulated Industries Complaints Office	To assist the general public through consumer education and by enforcing the state's licensing laws.
CCA 191	AA	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AH	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 191	AI	General Support	To uphold fairness and public confidence in the marketplace, and promote sound consumer practices by increasing knowledge and opportunity for our businesses and citizens.
CCA 901*	MA	Public Utilities Commission	To ensure that regulated companies efficiently and safely provide their customers with adequate and reliable services at just and reasonable rates, while providing regulated companies with a fair opportunity to earn a reasonable rate.

Department of Commerce and Consumer Affairs
Organization Changes

Table 20

<u>Year of Change</u> <u>FY18/FY19</u>	<u>Description of Change</u>
2017	Redescription of exempt position, Position No. 120945, from MFDR Office Assistant IV, to CCA Office Assistant IV, effective September 6, 2016.
2017	Redescription of exempt position, Position No. 120204, from Securities Multi-Media Assistant, to Securities Multi-Media Education Specialist I, effective September 26, 2016.
2017	Redescription of exempt position, Position No. 120204, from BREG Program Assistant, to BREG Program Specialist, effective October 3, 2016.
2017	Reallocation of civil service position, Position No. 28755, from Financial Institution Examiner I, SR-22, to Financial Institution Examiner II, SR-24, effective July 1, 2016.
2017	Redescription of exempt position, Position No. 118658, from CRF Financial Institution Examiner III, to CRF Financial Institution Examiner II, effective July 7, 2016.
2017	Redescription of exempt position, Position No. 118659, from CRF Financial Institution Examiner II, to CRF Financial Institution Examiner I, effective July 11, 2016.
2017	Redescription of exempt position, Position No. 121996, from CRF Financial Institution Examiner II, to CRF Financial Institution Examiner I, effective July 11, 2016.
2017	Redescription of exempt position, Position No. 119531, from CRF Financial Institution Examiner II, to CRF Financial Institution Examiner I, effective October 3, 2016.
2017	Redescription of exempt position, Position No. 121947, from CRF Investigator IV, to CRF Investigations Financial Institution Examiner II, effective October 3, 2016.
2017	Redescription of exempt position, Position No. 103111, from ISCO Computer Technician III, to ISCO Data Processing Systems Analyst III, effective August 16, 2016.
2017	Redescription of exempt position, Position No. 102778, from MV Insurance Fraud Administrator, to Insurance Fraud Administrator, effective July 1, 2016.
2017	Redescription of exempt position, Position No. 110611, from MV Investigator V, to INS Fraud Investigator V, effective July 1, 2016.
2017	Redescription of exempt position, Position No. 118127, from MV Staff Attorney, to INS Staff Attorney, effective July 1, 2016.
2017	Reallocation of civil service position, Position No. 42124, from Insurance Rate and Policy Analyst IV, SR-22, to Insurance Rate and Policy Analyst I, SR-16, effective July 1, 2016.

Department of Commerce and Consumer Affairs
Organization Changes

Table 20

<u>Year of Change</u> FY18/FY19	<u>Description of Change</u>	
2017	Redescription of exempt position, Position No. 110317, CRF Rate and Policy Analyst IV, to CRF Rate and Policy Analyst III, effective November 21, 2016.	
2017	Reallocation of civil service position, Position No. 118944, from Office Assistant IV, SR-10, to Office Assistant V, SR-12, effective July 18, 2016.	
2017	Reallocation of civil service position, Position No. 11250, from Office Assistant IV, SR-10, to Office Assistant V, SR-12, effective August 8, 2016.	
2017	Establishment of new temporary civil service position, Position No. 122199 (Pseudo No. 92002R), Office Assistant IV, SR-10, effective November 1, 2016, NTE June 30, 2017.	
2017	Reallocation of civil service position, Position No. 12624, from Investigator IV, SR-22, to Investigator I, SR-16, effective October 31, 2016.	
2017	Redescription of exempt position, Position No. 102155, from PUC Attorney I, to PUC Attorney II, effective August 1, 2016.	
2017	Redescription of exempt position, Position No. 120768, from Chief of Consumer Affairs & Compliance, to Consumer Affairs and Compliance Officer, effective September 1, 2016.	
2017	Redescription of exempt position, Position No. 106173, from PUC Economist III, to PUC Economist I, effective November 16, 2016.	
2017	Redescription of exempt position, Position No. 118031, from PUC Utility Analyst II, to PUC Utility Analyst I, effective November 21, 2016.	
	Following is the link to our organizational charts:	
	https://hawaiioint-my.sharepoint.com/personal/dhazama_dcca_hawaii_gov/Documents/Leg/DCCA%20Organization%20Charts updated 6-30-16.pdf?web=1	